

# cLFV and New Physics Searches at BESIII

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(for BESIII Collaboration)



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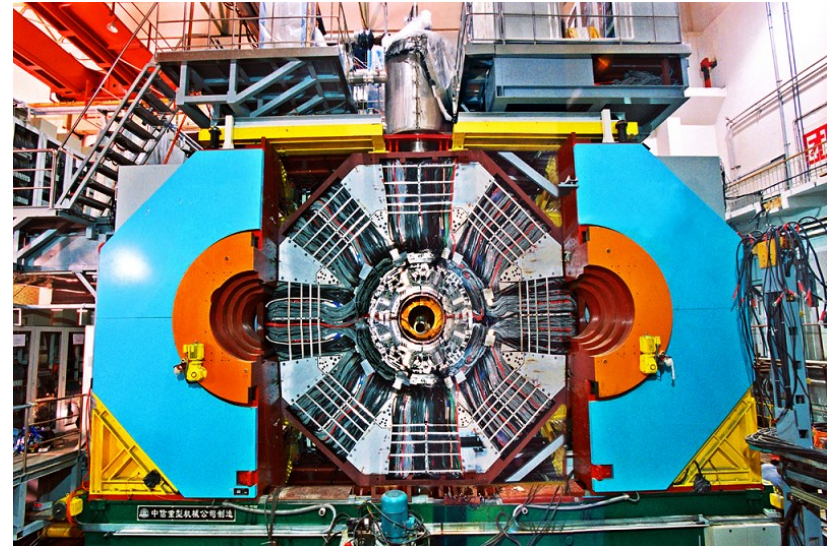
PEKING UNIVERSITY

CLFV2016@UVA, Jun 22 2016

- About BESIII Exp
- $J/\psi \rightarrow e\mu$  Analysis
- Ongoing and Potential cLFV Studies
- Other New Physics searches
- Summary

# About BESIII Exp

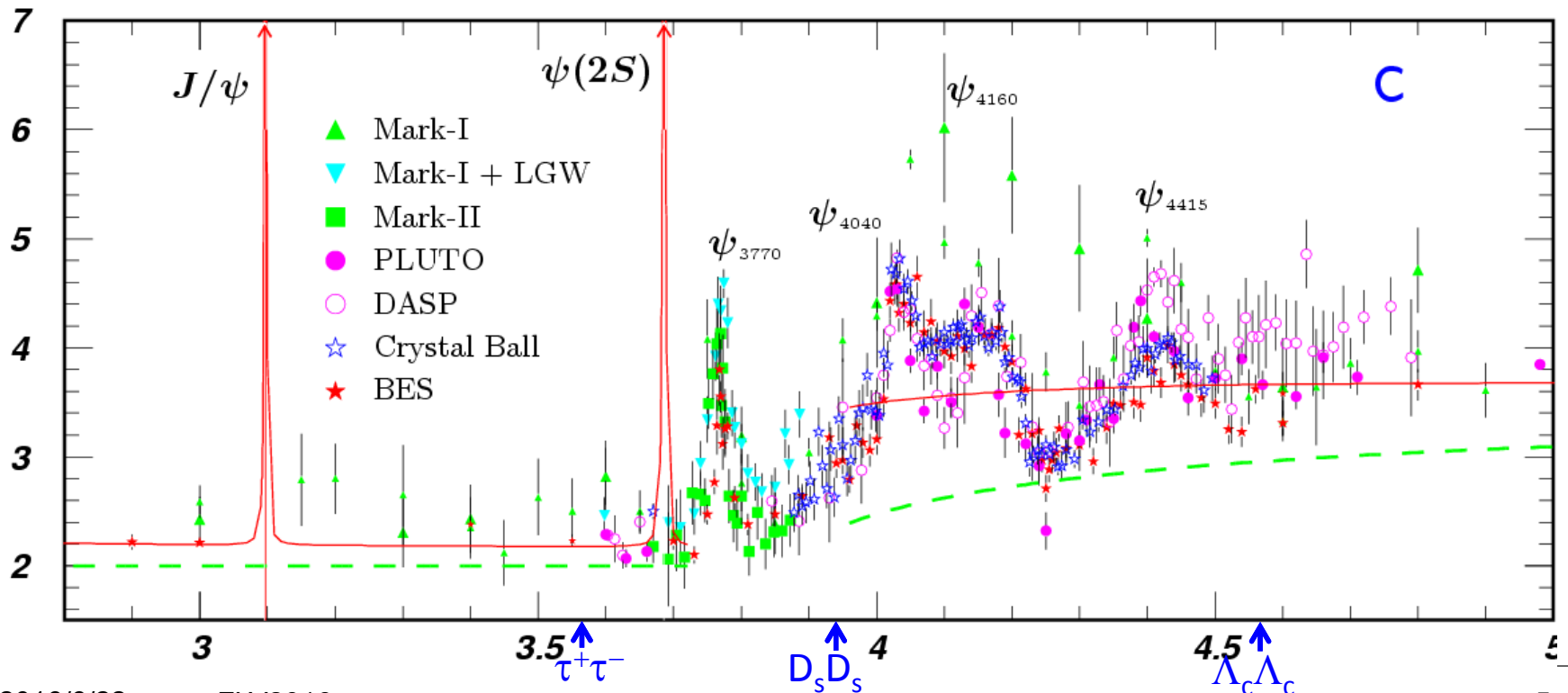
- Introduction
- BEPCII and BESIII
- Data sets and Physics Scope



- BEPCII is the only collider currently running at  $\tau$ -charm energy
- First collision in 2008, physics run started in 2009
- **BEPCII reached peak lumi of  $1 \times 10^{33} \text{ cm}^{-2} \text{ s}^{-1}$  @ 1.89 GeV in April 2016**
- BESIII collaboration includes 31 Chinese institutes , 13 European ones , 6 US ones and 6 from other Asian countries, ~300 collaborators

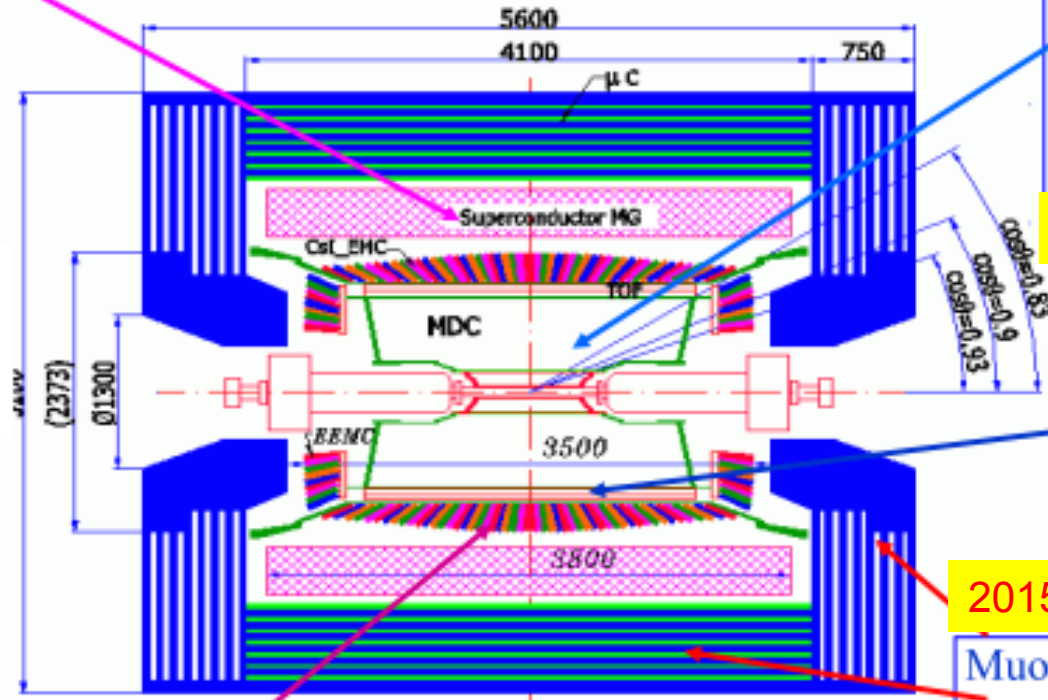
- Rich of **resonances**, charmonia and charmed mesons.
- **Threshold** characteristics (pairs of  $\tau$ , D,  $D_s$ , charmed baryons...).
- **Transition** between perturbative and non-perturbative **QCD**.
- **New hadrons**: glueballs, hybrids, multi-quark states
- **New Physics**: high lumi, large datasets, hermetic detector with good performance

R



Solenoid Magnet: 1 T Super conducting

Ref:  
NIM A614,  
345 (2010)



MDC: small cell & He gas  
 $\sigma_{xy} = 130 \mu\text{m}$   
 $\delta p/p = 0.5\% @ 1\text{GeV}$   
 $dE/dx = 6\%$

2017-18: Inner upgrade

TOF:  
 $\sigma_T = 90 \text{ ps}$  Barrel  
 $110 \text{ ps}$  Endcap

2015 ETOF upgrade: 70ps

Muon ID: 8~9 layer RPC  
 $\sigma_{R\phi} = 1.4 \text{ cm} \sim 1.7 \text{ cm}$

EMCAL: CsI crystal  
 $\Delta E/E = 2.5\% @ 1 \text{ GeV}$   
 $\sigma_{\phi,z} = 0.5 \sim 0.7 \text{ cm}/\sqrt{E}$

Data Acquisition:  
 Event rate = 3 kHz  
 Throughput ~ 50 MB/s

Trigger: Tracks & Showers  
 Pipelined; Latency = 6.4  $\mu\text{s}$

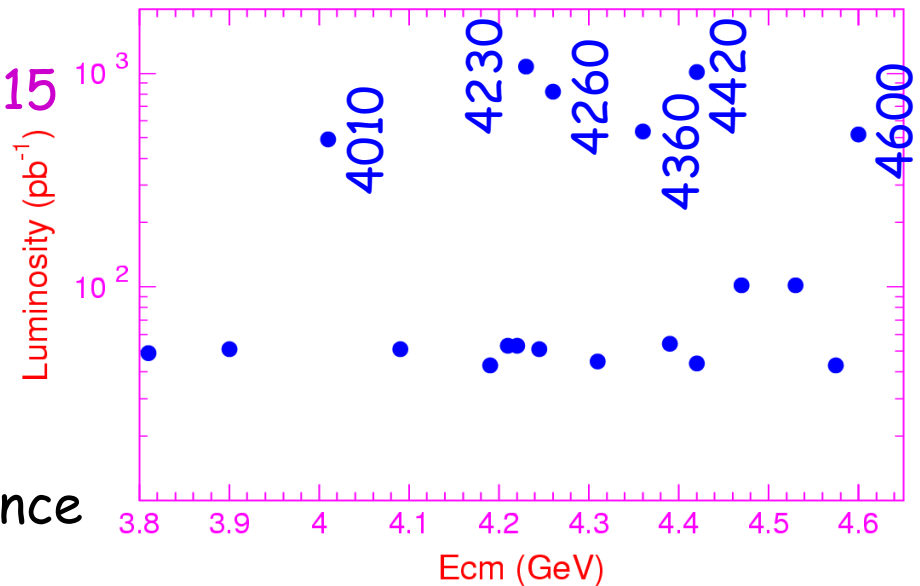
Clean environment and high luminosity at BESIII are helpful for indirect probe of new physics



- ~ 0.5 B      $\psi(3686)$  events     ~ 24×CLEO-c
- ~ 1.3 B      $J/\psi$  events     ~ 21×BESII
- ~ 2.9/fb      $\psi(3770)$      ~ 3.5×CLEO-c
- ~ 5/fb     XYZ states above 4 GeV     Unique

- 20 points for R & QCD Scan:  
500/pb finished in May 1st, 2015
- $Y(2175)$  resonance: 100 /pb :  
finished in June 15, 2015
- 2016: just finish 3/fb Ds data at  
4170 MeV ~ 5×CLEO-c

~ other data sets: tau,  $\Lambda_c$ , resonance scan and continuum, etc.



# $J/\psi \rightarrow e\mu$ Analysis

*PRD87, 112007 (2013)*

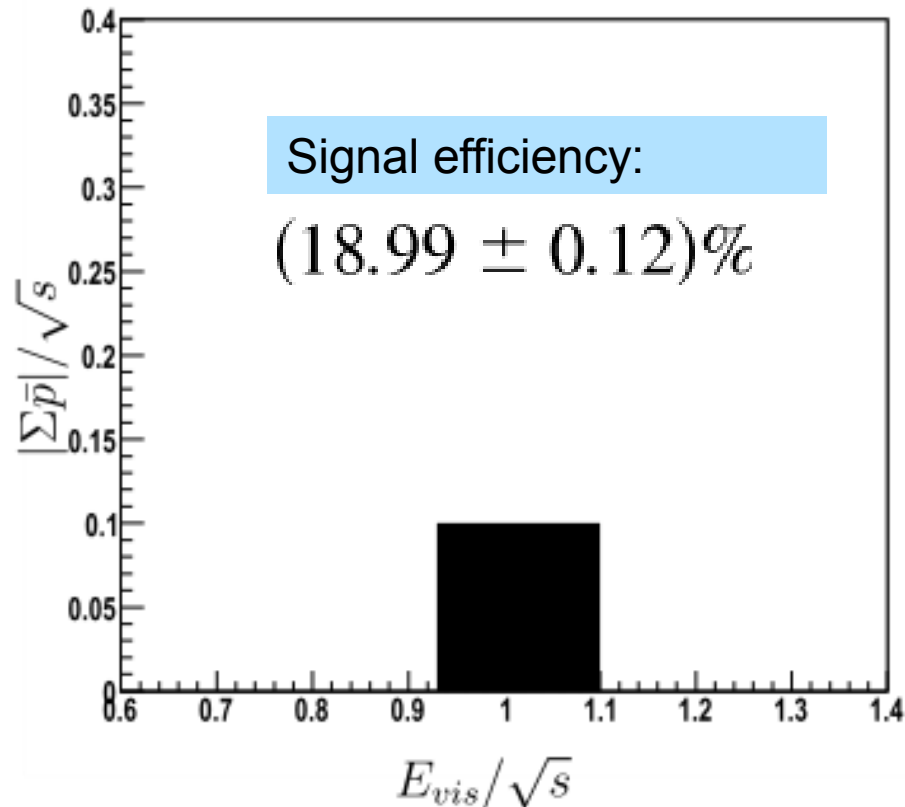
- Event selection
- Background estimation
- Systematics
- Results



- With finite neutrino masses included, Lepton Flavor Violation (LFV) is allowed, but the smallness of the mass leads to the predicted branching fraction well beyond current experimental sensitivity.
- However, there are various theoretical models such as SUSY may enhance LFV effects up to a detectable level.
- Any detection of a LFV decay indicates the existence of new physics.
- The LFV decay have been searched in lepton decay, pseudoscalar meson decay and vector meson decay. It is equally important to search it in heavy quarkonium decays.

$$|\Sigma\bar{p}|/\sqrt{s} \pm 2\sigma \text{ and } E_{vis}/\sqrt{s} \pm 2\sigma,$$

$$0.93 \leq E_{vis}/\sqrt{s} \leq 1.10 \text{ and } |\Sigma\bar{p}|/\sqrt{s} \leq 0.1$$

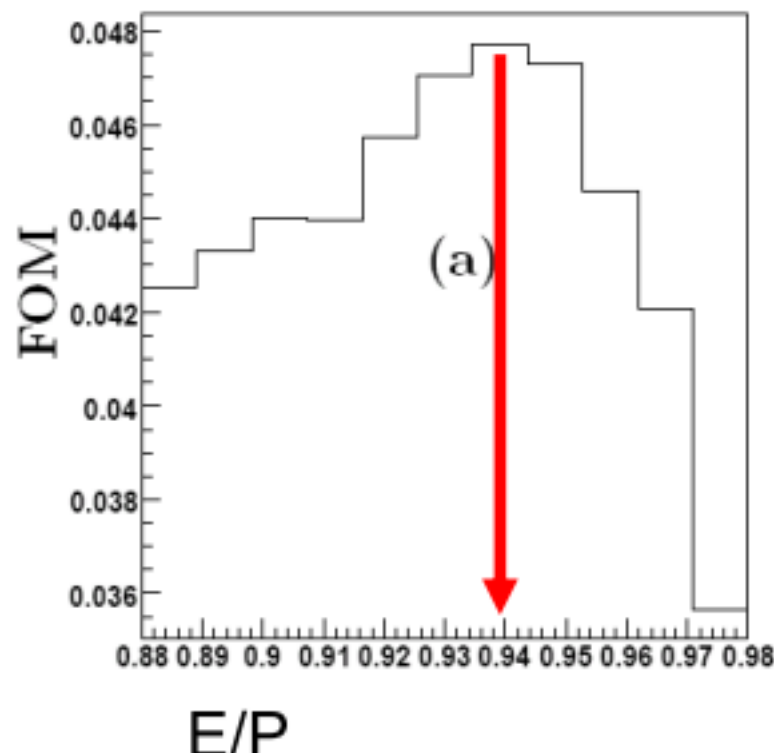


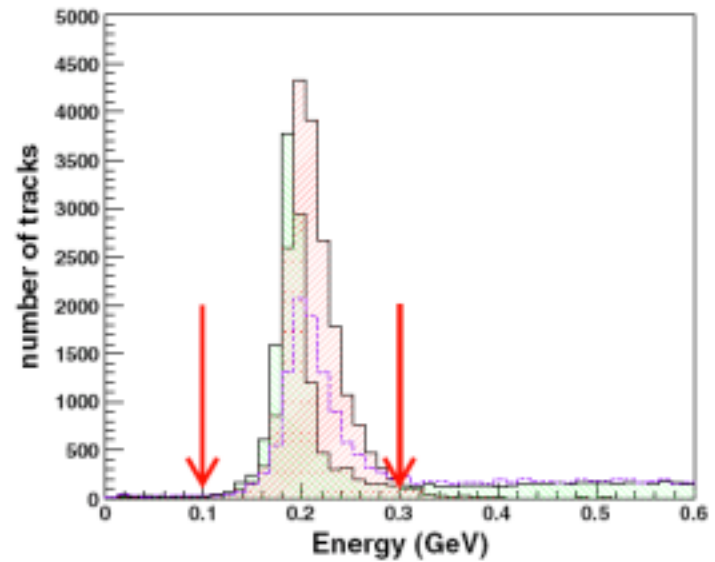
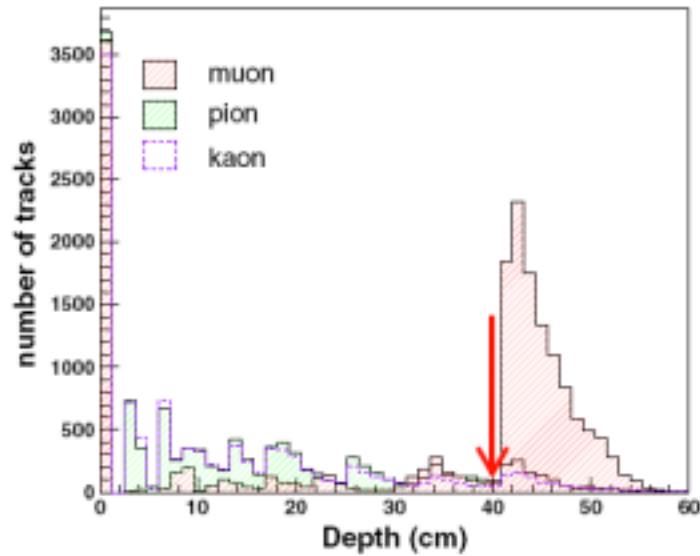
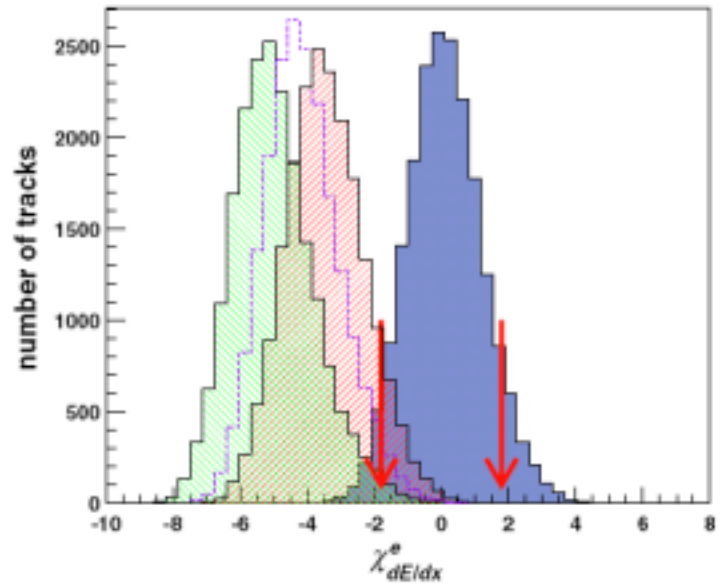
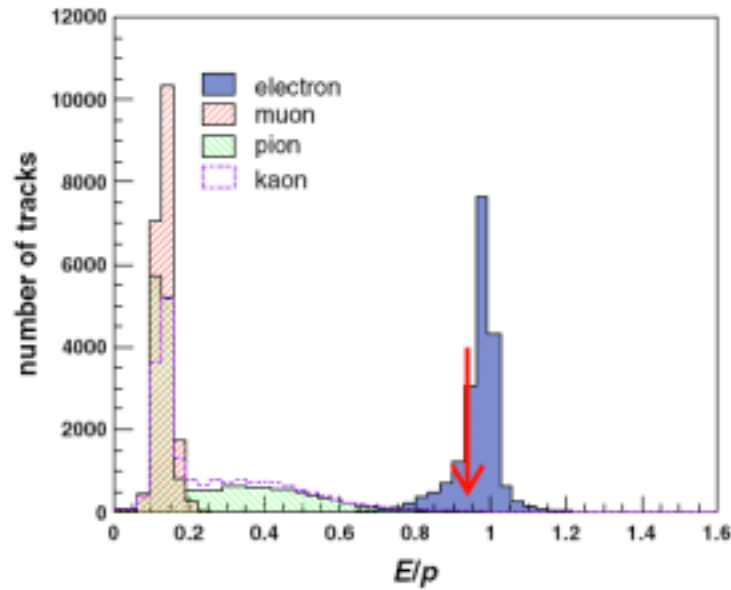
- The optimization method

$$\text{FOM} = \frac{\epsilon}{\sum_{N_{\text{obs}}=0}^{\infty} P(N_{\text{obs}}|N_{\text{exp}}) \cdot UL(N_{\text{obs}}|N_{\text{exp}})}$$

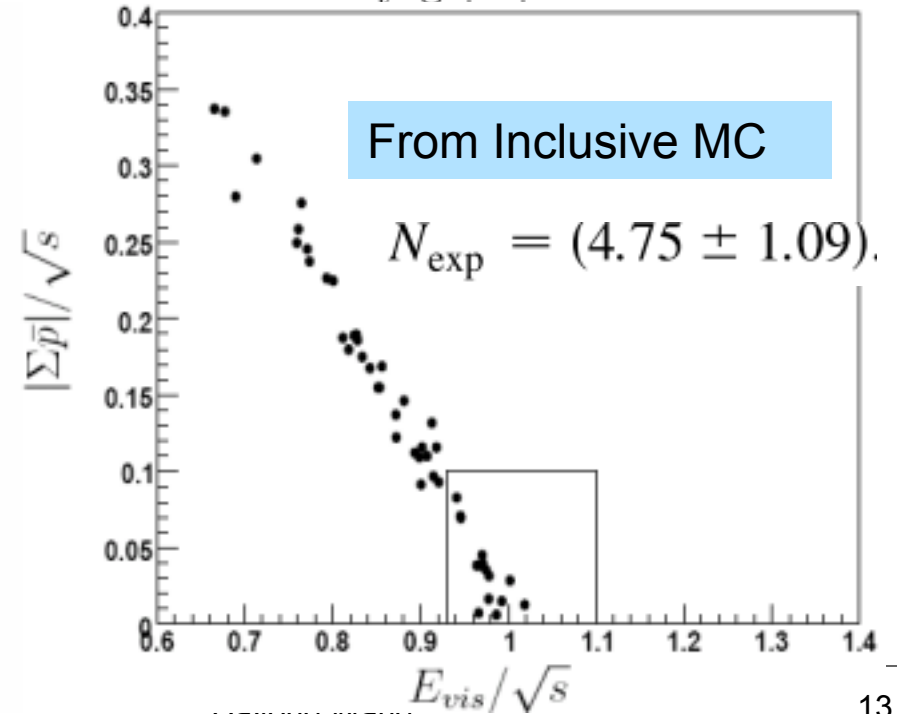
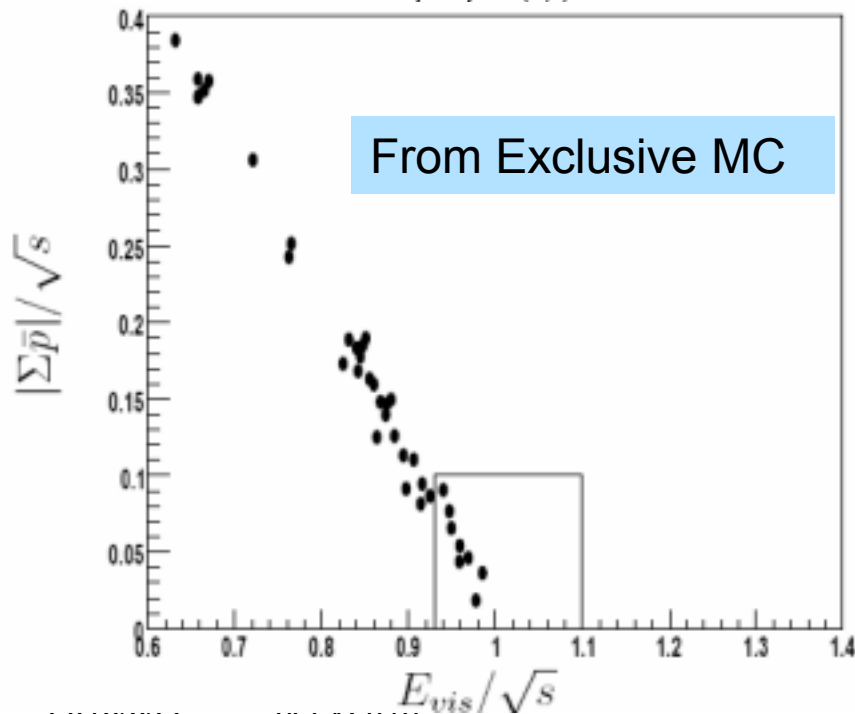
The optimized cuts after maximizing the FOM

Criteria	optimized value
$ \Delta\theta  <$	$0.9^\circ$
$ \Delta\phi  <$	$1.4^\circ$
egam $<$	15 MeV
egam1 $<$	50 MeV
egam2 $<$	15 MeV
for e: $E/P >$	0.94
for e: $ \chi_{dE/dx}^e  <$	1.8
for $\mu$ : $\chi_{dE/dx}^e <$	-1.8
for $\mu$ : Depth $>$	40 cm





Background channel	normalized number	Model
$J/\psi \rightarrow e^+e^-$	$2.7 \times 10^7$	PHOTOS and VLL[13]
$J/\psi \rightarrow \mu^+\mu^-$	$2.7 \times 10^7$	PHOTOS and VLL[13]
$J/\psi \rightarrow \pi^+\pi^-$	$1.0 \times 10^5$	PHSP[13]
$J/\psi \rightarrow K^+K^-$	$7.0 \times 10^4$	PHSP[13]
$e^+e^- \rightarrow e^+e^-(\gamma)$	$2.7 \times 10^7$	Babayaga[15]
$e^+e^- \rightarrow \mu^+\mu^-(\gamma)$	$1.0 \times 10^5$	Babayaga[15]



Sources	Error
$e^\pm$ tracking	1.00
$\mu^\pm$ tracking	1.00
$e^\pm$ ID	0.62
$\mu^\pm$ ID	0.04
Acollinearity, acoplanarity	5.36
Photon veto	1.19
$N_{J/\psi}$	1.24
Total	5.84

$\psi' \rightarrow \pi^+\pi^- J/\psi, J/\psi \rightarrow e^+e^-, \mu^+\mu^-$

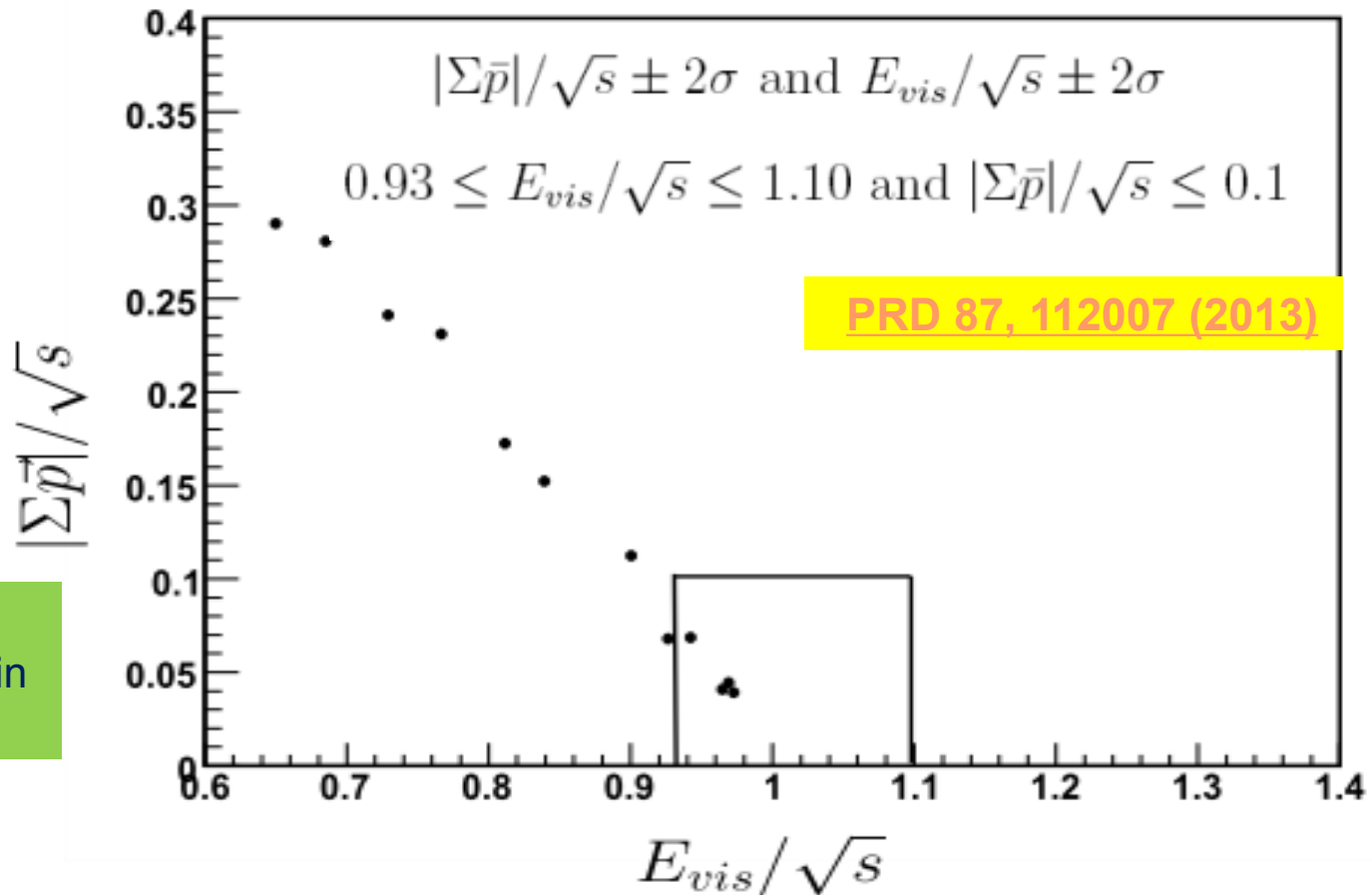
$\Rightarrow J/\psi \rightarrow ee =$

$\Rightarrow J/\psi \rightarrow \mu\mu$

$\Rightarrow J/\psi \rightarrow \mu\mu$

$\Rightarrow J/\psi \rightarrow \pi\pi$

**Relative, most from control samples, in percentage**



Among 225M J/ψ, 4 events in the signal box

$$\mathcal{B}(J/\psi \rightarrow e\mu) < 1.6 \times 10^{-7} \text{ (90\% C.L.)}$$



# Ongoing and Potential cLFV Studies

- $\psi \rightarrow e \tau / \mu \tau$  (going on)
- $\psi \rightarrow \gamma e \tau / \gamma \mu \tau$  (started)
- FLV in mesons:  $D, \eta, \eta'$  (to be further investigated)

Could non-trivial Yukawa Coupling be a new interaction?  
 => Flavor Changing rates be enhanced

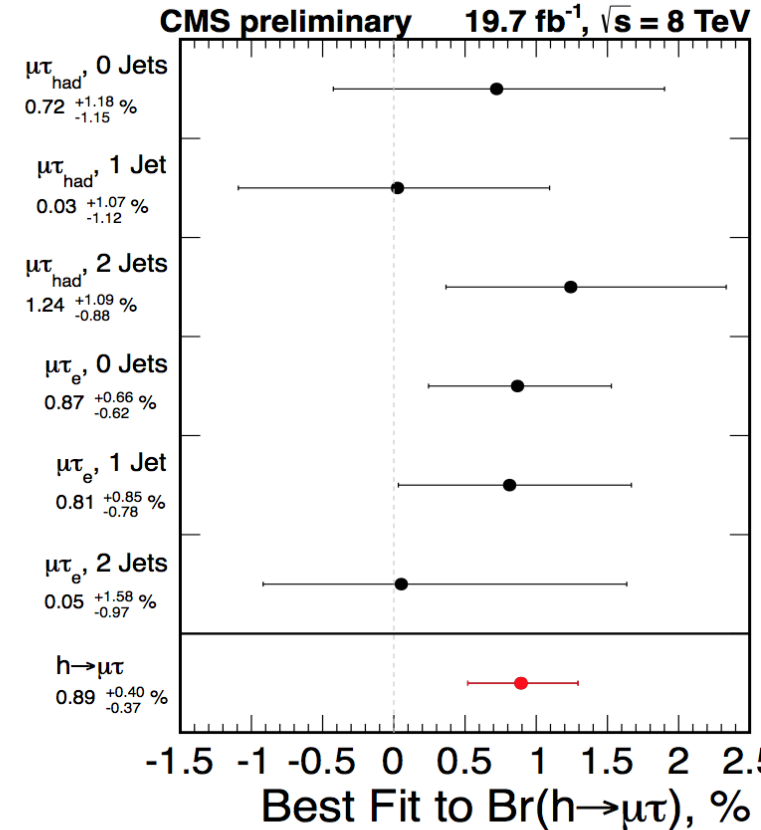
$$BR(h \rightarrow \tau^\pm \mu^\mp) < 1.57\% \text{ (95\% C.L.)}$$

$$|y_{\tau\mu}| \leq 3.6 \times 10^{-3}$$

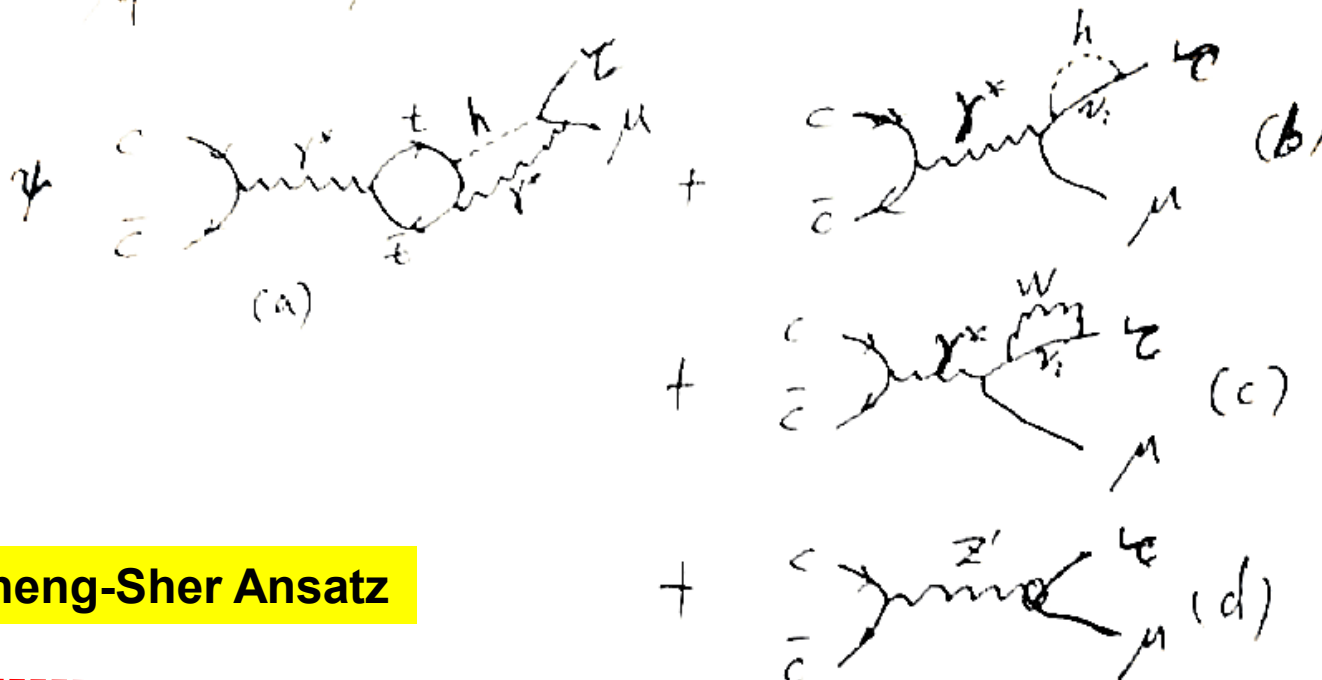
$$BR(h \rightarrow \tau^\pm \mu^\mp) = 0.89^{+0.40}_{-0.37} \% (2.46\sigma)$$

$$|y_{\tau\mu}| \simeq 2.7 \times 10^{-3}$$

$$\sim \sqrt{y_\tau y_\mu} = 2.48 \times 10^{-3}$$



$$J/\psi \rightarrow \tau \mu$$

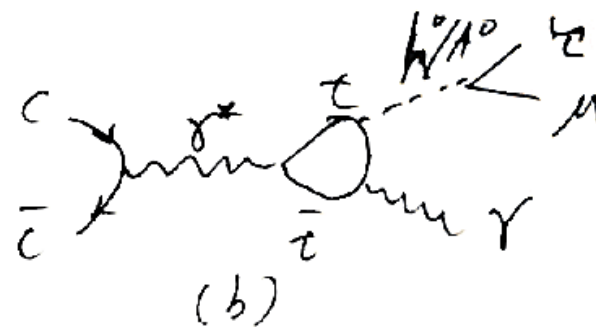
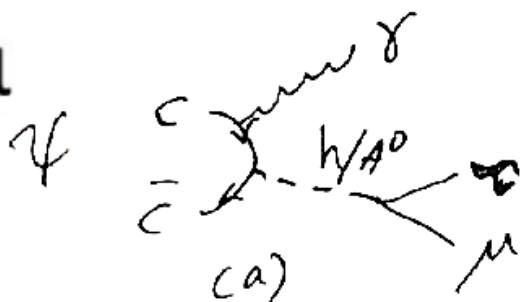


$$\Psi \rightarrow \tau \mu$$

Inspired by Cheng-Sher Ansatz

$$J/\psi \rightarrow \gamma \tau \mu$$

$$\Psi \rightarrow \gamma \tau \mu$$



$$J/\psi \rightarrow e^+ \tau^- \quad \tau^- \rightarrow \mu^- \bar{\nu}_\mu \nu_\tau + cc.$$

$$J/\psi \rightarrow \mu^+ \tau^- \quad \tau^- \rightarrow e^- \bar{\nu}_e \nu_\tau + cc.$$

$$J/\psi \rightarrow \gamma e^+ \tau^- \quad \tau^- \rightarrow \mu^- \bar{\nu}_\mu \nu_\tau + cc.$$

$$J/\psi \rightarrow \gamma \mu^+ \tau^- \quad \tau^- \rightarrow e^- \bar{\nu}_e \nu_\tau + cc.$$

- ✓ From preliminary MC studies, the efficiencies could reach ~30-35%, and the BR sensitivity could be in the level of 1E-8 to 1E-7
- ✓ Better up limit could be achieved if QED description & PID on muons improved

- ✓ **Detailed analysis with data without photons is going on (J/ψ → e τ/μ τ)**
- ✓ **Further study on the channel with photons is started (J/ψ → γ e τ/γ μ τ)**

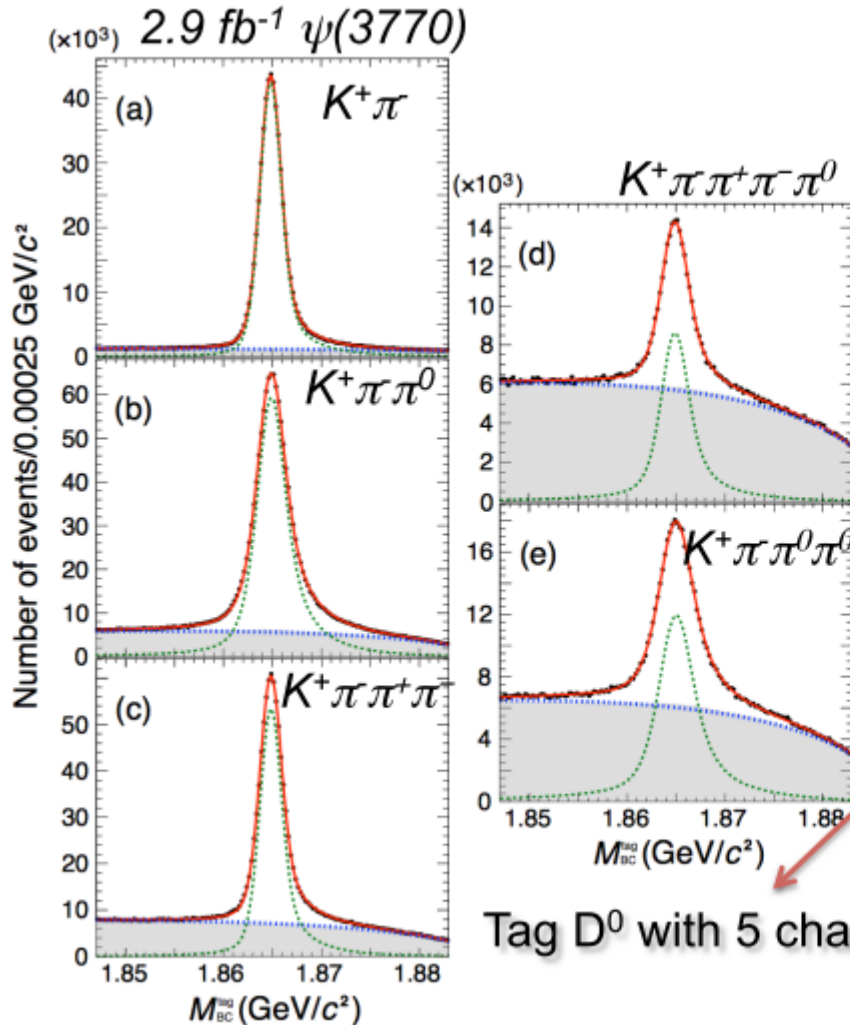
In Both Charmonium and Charm meson decays

- Lepton Flavor Violation (LFV) processes
- Lepton Number Violation (LNV) processes
- Baryon Number Violation (BNV) processes

BESIII is more competitive in channels with low energy electron/photons, neutrons, pi0's

cLFV processes from D, η and η' decays are also possibly to search at BESIII, esp for final datasets

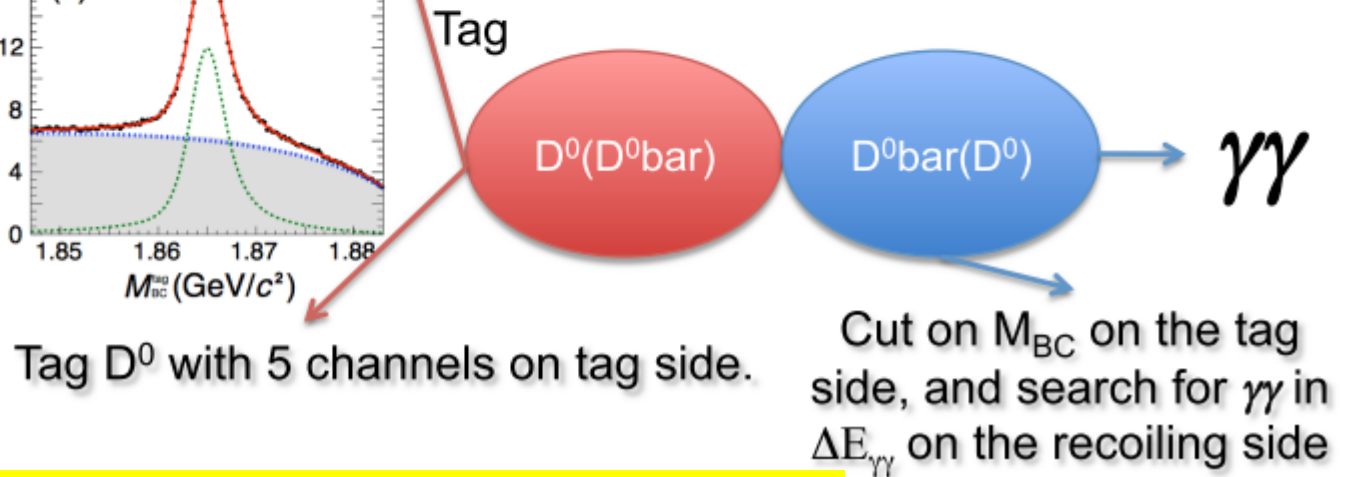
$\mu^\pm e^\mp$	LFV
$\pi^0 e^\pm \mu^\mp$	LFV
$\eta e^\pm \mu^\mp$	LFV
$\pi^+ \pi^- e^\pm \mu^\mp$	LFV
$\rho e^\pm \mu^\mp$	LFV
$\omega e^\pm \mu^\mp$	LFV
$K^+ K^- e^\pm \mu^\mp$	LFV
$\phi e^\pm \mu^\mp$	LFV
$K^0 e^\pm \mu^\mp$	LFV
$K^- \pi^+ e^\pm \mu^\mp$	LFV
$K^{*0} e^\pm \mu^\mp$	LFV
$\pi^\mp \pi^\mp e^\pm e^\pm$	LNV
$\pi^\mp \pi^\mp \mu^\pm \mu^\pm$	LNV
$K^\mp \pi^\mp e^\pm e^\pm$	LNV
$K^\mp \pi^\mp \mu^\pm \mu^\pm$	LNV
$K^\mp K^\mp e^\pm e^\pm$	LNV
$K^\mp K^\mp \mu^\pm \mu^\pm$	LNV
$\pi^\mp \pi^\mp e^\pm \mu^\pm$	LNV
$K^\mp \pi^\mp e^\pm \mu^\pm$	LNV
$K^\mp K^\mp e^\pm \mu^\pm$	LNV
$pe^-$	BNV+LNV
$pe^+$	BNV+LNV



The  $\psi(3770)$  resonance is below the threshold for  $D\bar{D}\pi$  production, so the events from  $e^+e^- \rightarrow \psi(3770) \rightarrow D\bar{D}$  have  $D$  mesons with energies equal to the beam energy ( $E_{beam}$ ) and known momentum. Thus, to identify  $\bar{D}^0$  candidate, we define the two variables  $\Delta E$  and  $M_{BC}$ , the beam-constrained mass:

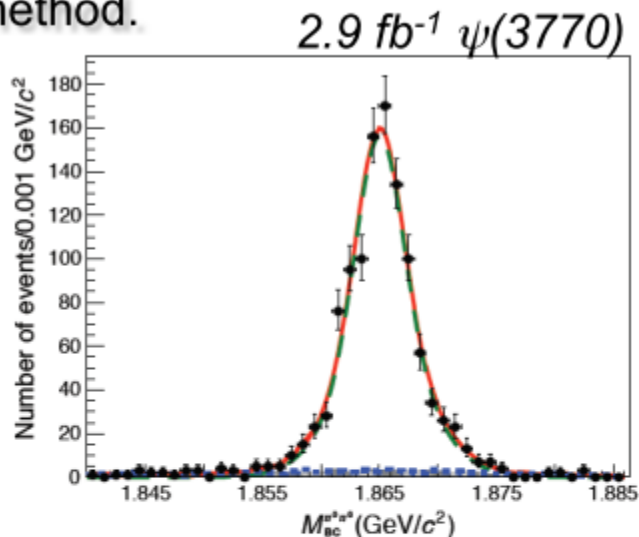
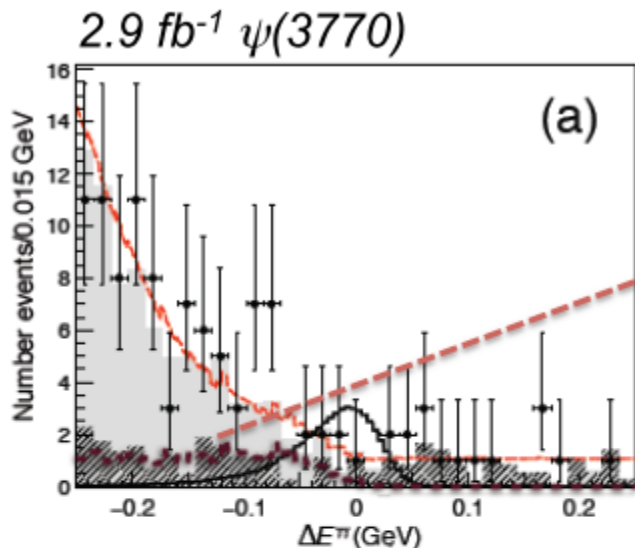
$$\Delta E \equiv \sum_i E_i - E_{beam},$$

$$M_{BC} \equiv \sqrt{E_{beam}^2 - |\sum_i \vec{p}_i|^2},$$



**We could use similar technique to perform the D(Ds) LFV search, and estimate the sensitivity**

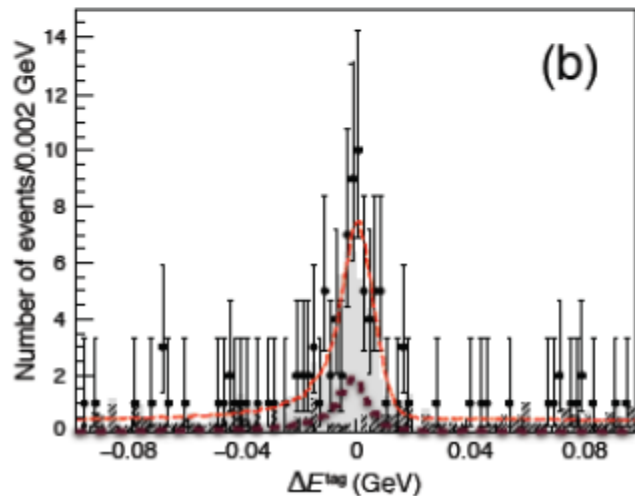
Major background  $D^0 \rightarrow \pi^0 \pi^0$  is determined in data with similar double-tag method.



$$B(D^0 \rightarrow \pi^0 \pi^0) = (8.24 \pm 0.21 \pm 0.30) \times 10^{-4}$$

2-D fit to  $\Delta E$  in both tag side and  $\gamma\gamma$  sides to determine  $D^0 \rightarrow \gamma\gamma$  yield.

$B(D^0 \rightarrow \gamma\gamma) < 3.8 \times 10^{-6}$   
 consistent with BaBar result,  
 will update with a 4X larger sample.

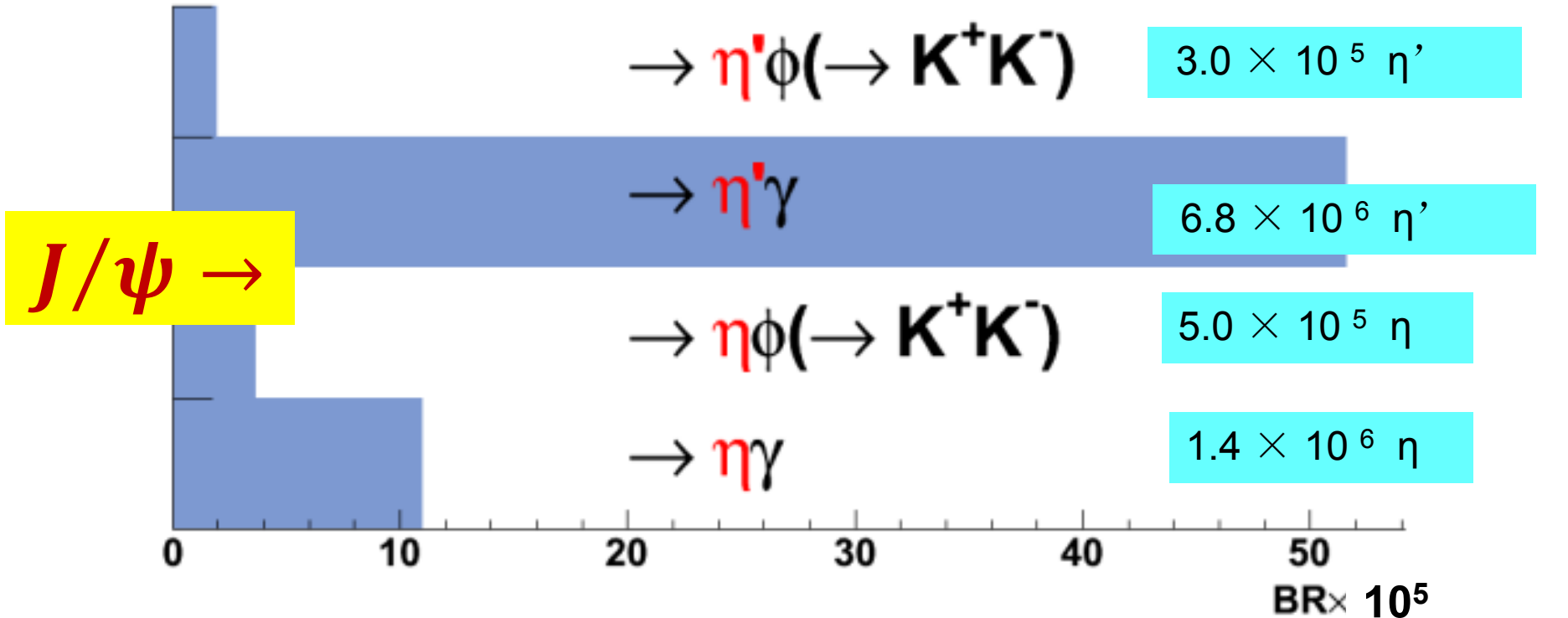


PRD91, 112015 (2015)



$\eta$  and  $\eta'$  yields with  $J/\psi$  data set

In 1.3B  $J/\psi(09+12)$



We could use same phi tag to perform the study, the sensitivity could be estimated similarly

# Other New Physics Searches

- Charmonium weak decays
- Charm FCNC and LNV rare decays
- **Search for meson invisible decays**
- **Search for CP-odd Light Higgs**
- **Search for Dark Photons**

- **Rare Charmonia Decays**
  - ◆ Semileptonic weak decays
  - ◆ Two-body weak hadronic decays
  - ◆ C/P violation decays
  - ◆ Invisible decays
  - ◆ LFV, INV, BNV decays

$$Br(J/\psi \rightarrow D_s^- e^+ \nu_e + c.c.) < 1.3 \times 10^{-6}$$

$$Br(J/\psi \rightarrow D_s^{*-} e^+ \nu_e + c.c.) < 1.8 \times 10^{-6}$$

$$Br(J/\psi \rightarrow D_s^- \rho^+) < 1.3 \times 10^{-5}$$

$$Br(J/\psi \rightarrow \bar{D}^0 \bar{K}^{*0}) < 2.5 \times 10^{-6}$$

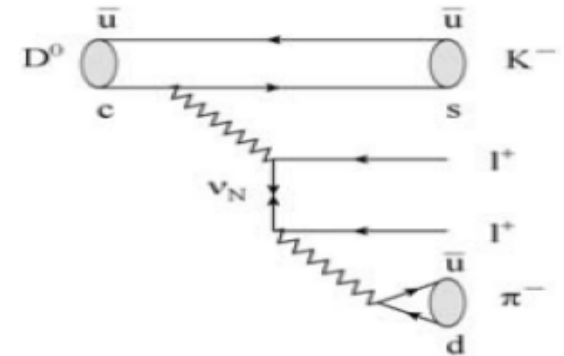
$$Br(J/\psi \rightarrow \gamma\gamma) < 2.7 \times 10^{-7}$$

$$Br(J/\psi \rightarrow \gamma\phi) < 1.4 \times 10^{-6}$$

- **Rare Charm decays**

- LNV :  $c \rightarrow u \mu^+ \mu^+$  forbidden in SM

- ✓ Majorana neutrino:  $\sim 10^{-30 \sim -23}$  level, PRD64 (2001) 114009
- ✓ May be greatly enhanced:  $\sim 10^{-5 \sim -6}$  with EPJC71 (2011) 1715)



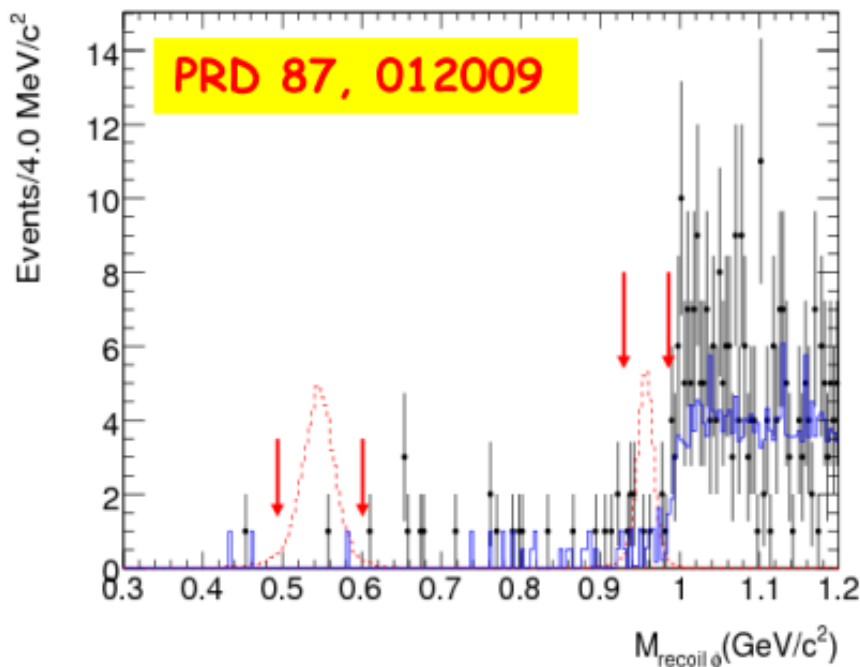
(a)  $D^0 \rightarrow K^- \ell^+ \ell^+ \pi^-$  (CF)

H.R. Dong, F. Feng and H.B. Li, Chin. Phys. C **39** 013101 (2015)

- FCNC :  $c \rightarrow u \mu^+ \mu^-$  highly suppressed in SM by GIM mechanism  $BF_{th} \sim 10^{-9}$  [PRD64 (2001) 114009] while can be enhanced by physics BSM [PRD 76 (2007) 074010]

- Rare FCNC:  $D^0 \rightarrow \gamma\gamma$

- $\eta/\eta'$  decay play special role in low energy scale QCD theory.
- Invisible and radiative decays offer a window for new physics beyond the SM.
- The observation of the invisible final states provide information for light dark matter states  $\chi$ , spin-0 axions, and light spin-1 U bosons.
- Huge  $J/\psi$  sample, large branching fraction of  $J/\psi \rightarrow (\gamma/\phi)\eta/\eta'$  and narrow intermediate meson widths provide clean, large  $\eta/\eta'$  sample.



$$\begin{aligned} \text{Br}(\eta' \rightarrow \text{invisible}) / \text{Br}(\eta' \rightarrow \gamma\gamma) &< 2.39 \times 10^{-2} \\ \text{Br}(\eta \rightarrow \text{invisible}) / \text{Br}(\eta \rightarrow \gamma\gamma) &< 2.58 \times 10^{-4} \end{aligned}$$



$$\begin{aligned} \text{Br}(\eta' \rightarrow \text{invisible}) &< 5.21 \times 10^{-4} @ 90\% \text{C.L.} \\ \text{Br}(\eta \rightarrow \text{invisible}) &< 1.01 \times 10^{-4} @ 90\% \text{C.L.} \end{aligned}$$

**Improved PDG Values**

$$\begin{aligned} \text{PDG : } \text{Br}(\eta' \rightarrow \text{invisible}) &< 9 \times 10^{-4} @ 90\% \text{C.L.} \\ \text{Br}(\eta \rightarrow \text{invisible}) &< 6 \times 10^{-4} @ 90\% \text{C.L.} \end{aligned}$$

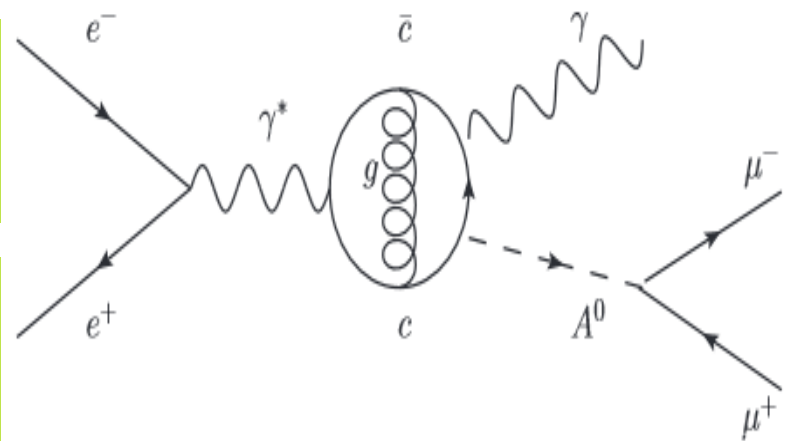
$$\begin{aligned} \text{Theory : } \text{Br}(\eta' \rightarrow \chi\chi) &\sim 8.1 \times 10^{-7} \\ \text{Br}(\eta \rightarrow \chi\chi) &\sim 7.4 \times 10^{-5} \end{aligned}$$

B. McElrath, PRD 72, 103508 (2005)

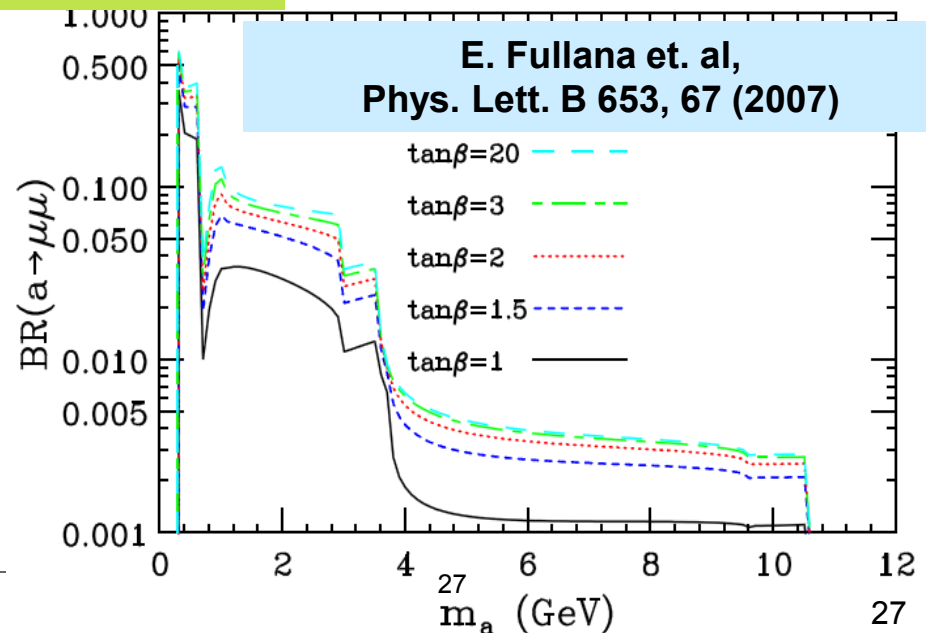
➤ Coupling of fermions and the CP-odd Higgs  $A^0$  in the NMSSM:

$$L_{\text{int}}^{f\bar{f}} = -\cos\theta_A \tan\beta \frac{m_f}{v} A^0 \bar{d}(i\gamma_5)d, \quad d = d, s, b, e, \mu, \tau$$

$$L_{\text{int}}^{f\bar{f}} = -\cos\theta_A \cot\beta \frac{m_f}{v} A^0 \bar{u}(i\gamma_5)u, \quad u = u, c, t, \nu_e, \nu_\mu, \nu_\tau$$



$$\tan\beta = \frac{v_u}{v_d}$$

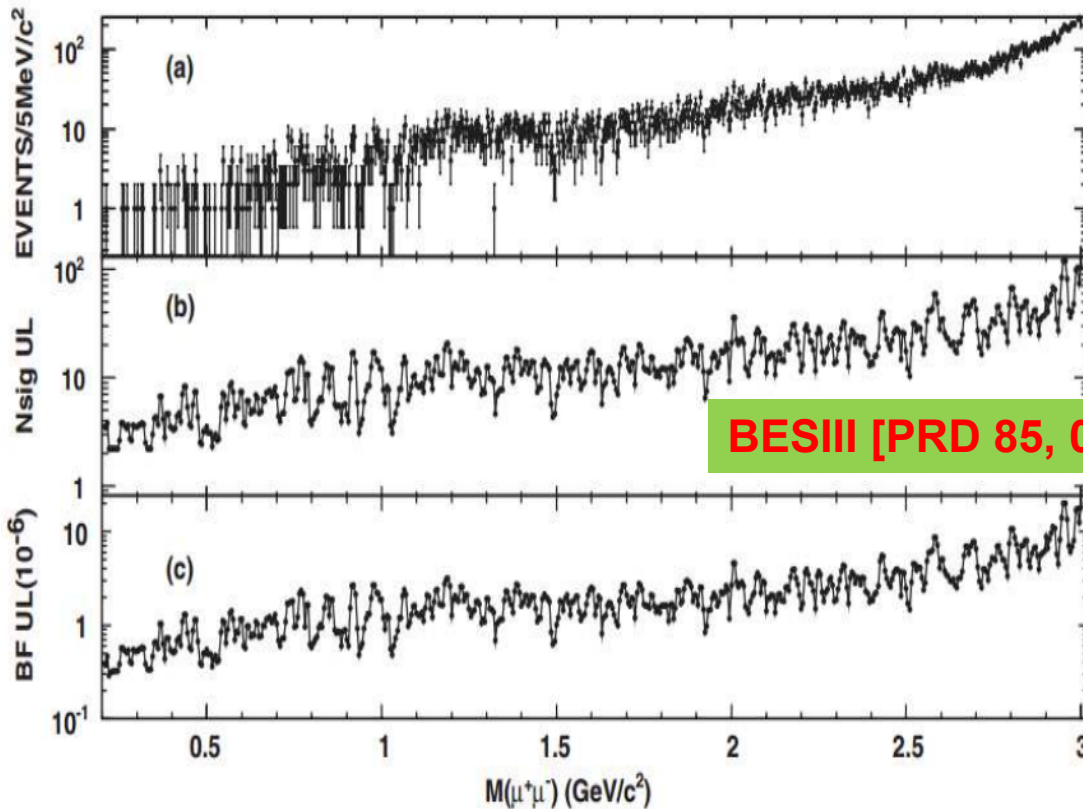


$\psi' \rightarrow \pi\pi J/\psi, J/\psi \rightarrow \gamma A^0, A^0 \rightarrow \mu^+\mu^-$

Coupling of c-quark to the  $A^0$

Expected BF:  $10^{-7} - 10^{-9}$

[PRD 76, 051105 (2007)]

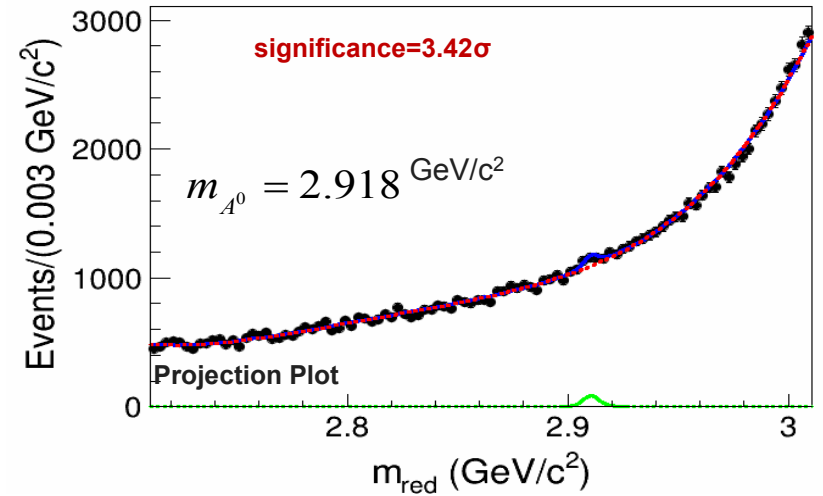
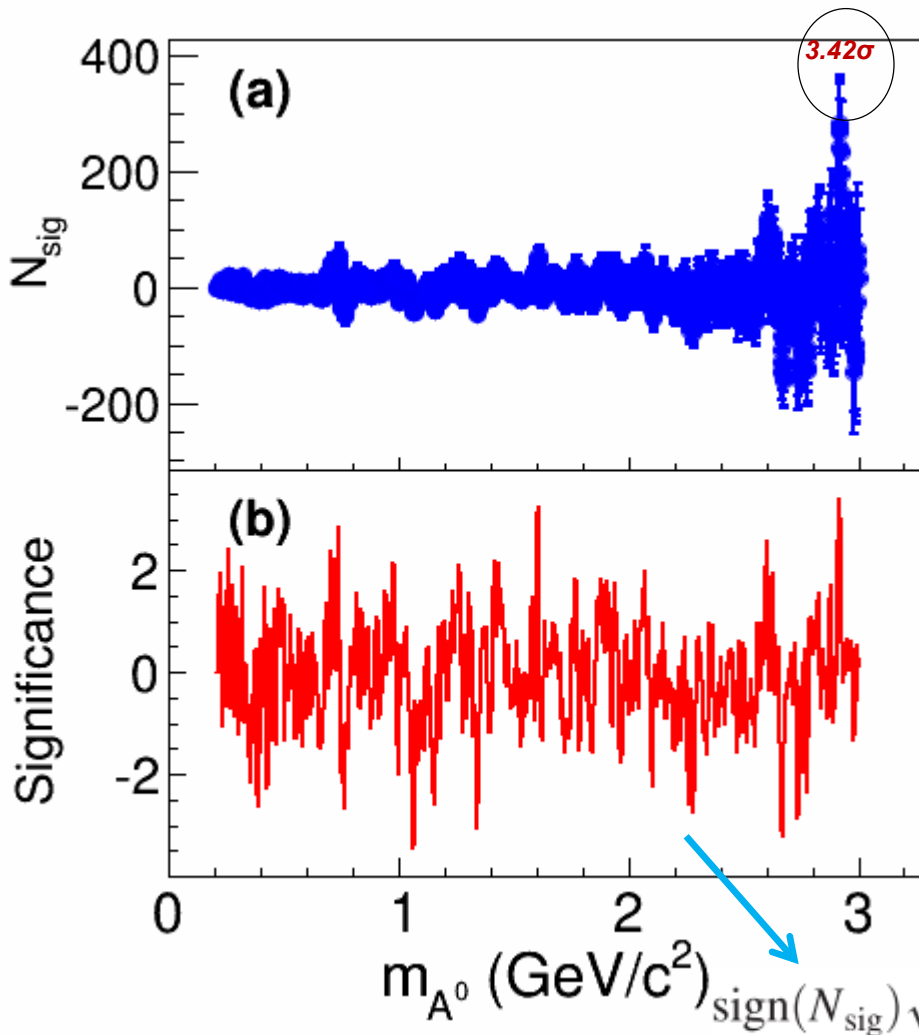


BESIII [PRD 85, 092012 (2012)]

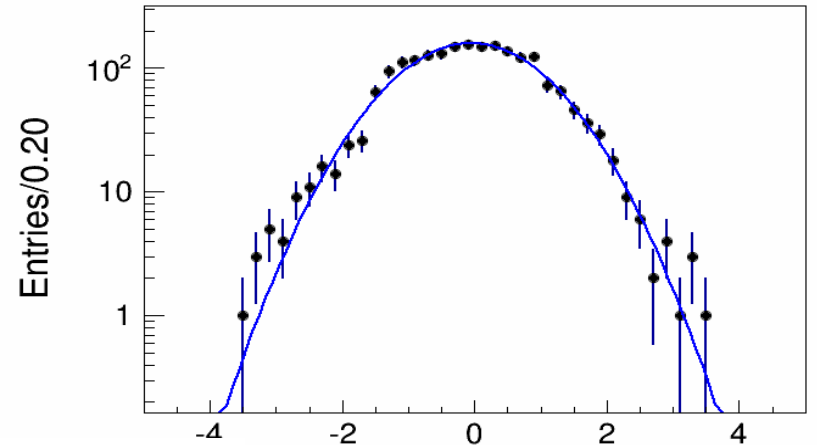
106M  $\psi'$  data

**BESIII exclusion limit ranges from  $4 \times 10^{-7}$  -  $2.1 \times 10^{-5}$  depending on  $A^0$  mass points.**

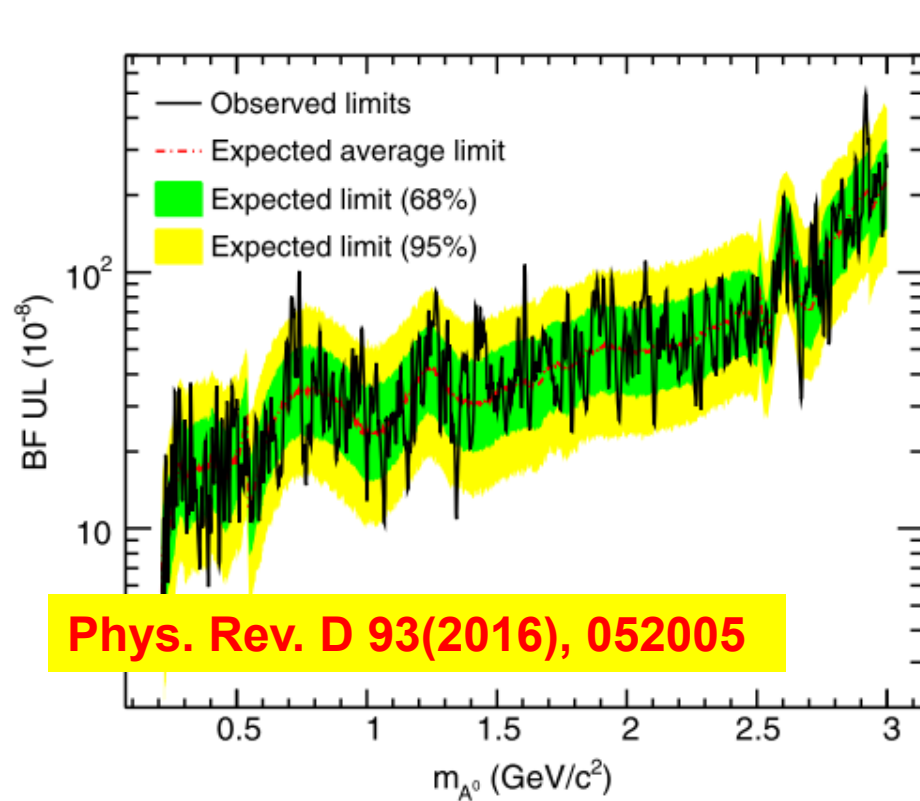
➤ Perform the ML fit to the  $m_{\text{red}}$  distribution at 2035 mass points in the steps of 1-2 MeV/c<sup>2</sup>.



✓ Significance distribution: consistent with unit-width Gaussian

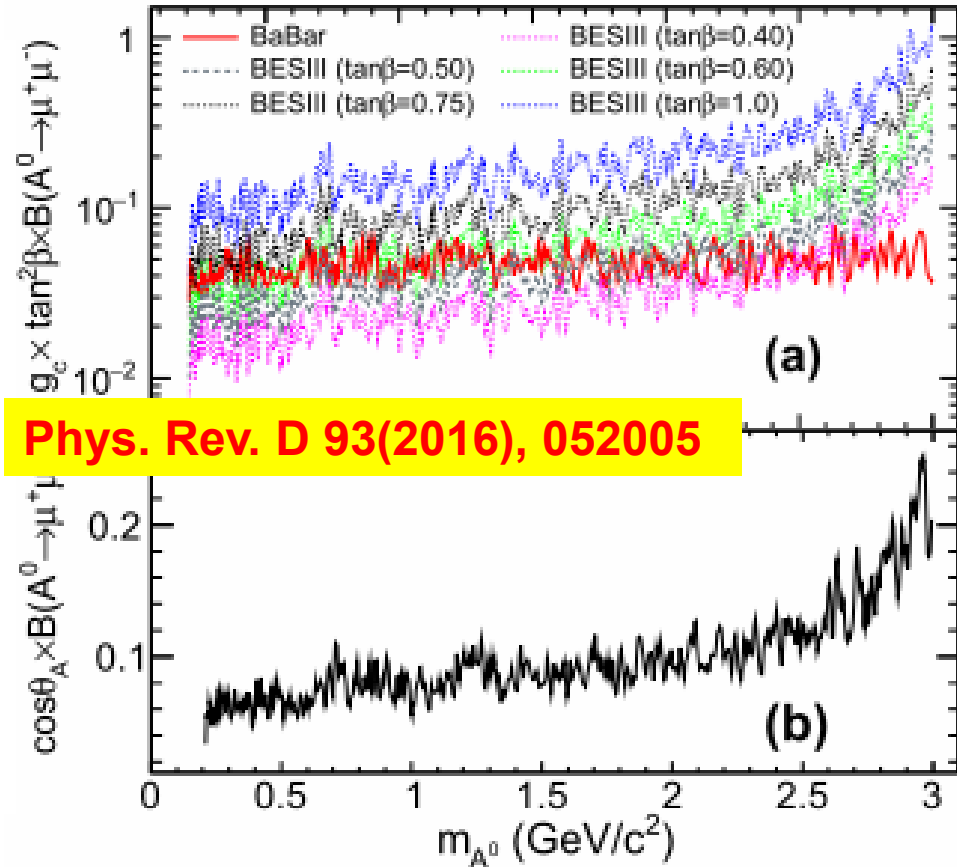






Phys. Rev. D 93(2016), 052005

The new limits are five times below our previous results (2012, Psip)



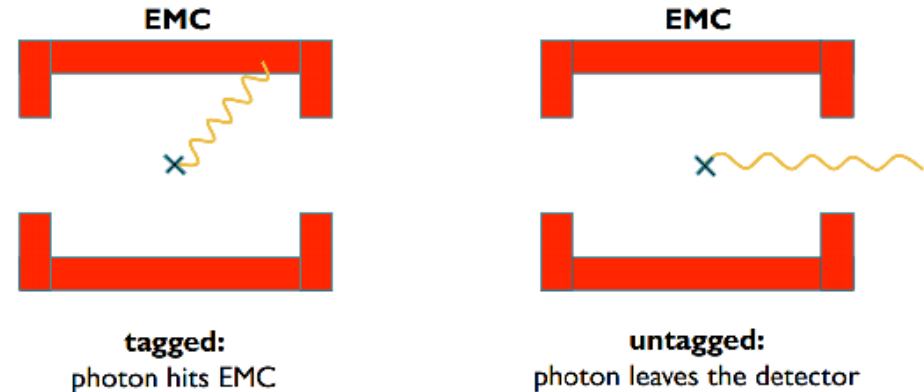
PRD 87, 031102 (R) (2013) (BaBar experiment)

BESIII vs. BaBar measurements comparison and combination,  $A_0$  is mostly singlet

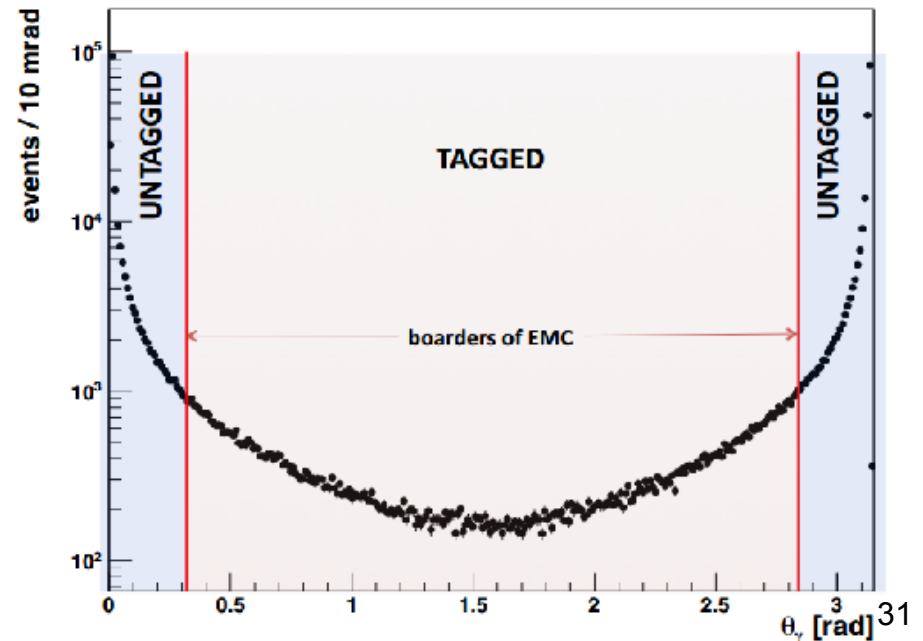
Dark Photon ( $A'$ ) as dark-force carrier is EM-equivalent expected in the dark sector. It couples to SM particles via kinetic mixing. The idea sparked world-wide efforts

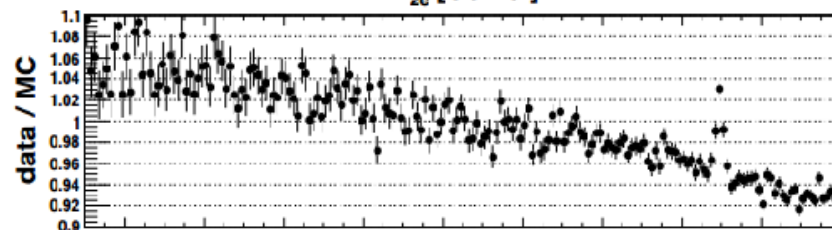
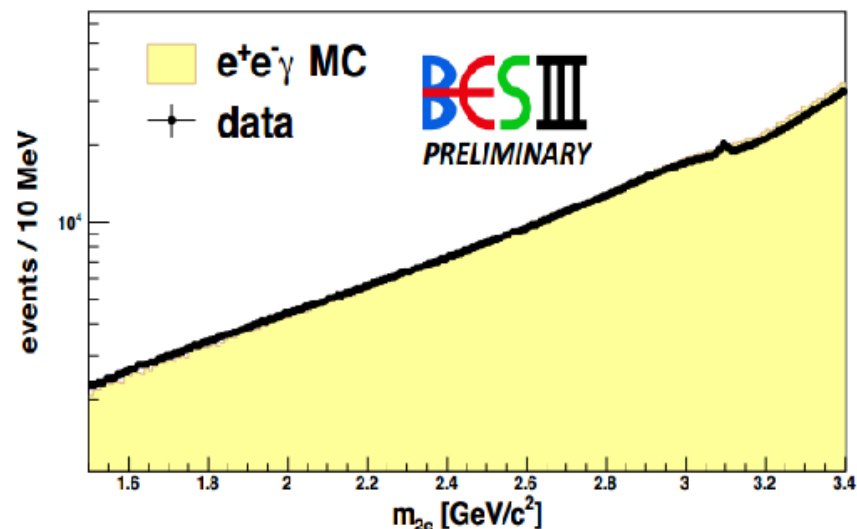
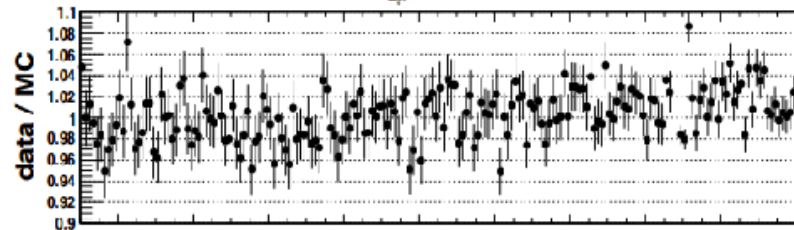
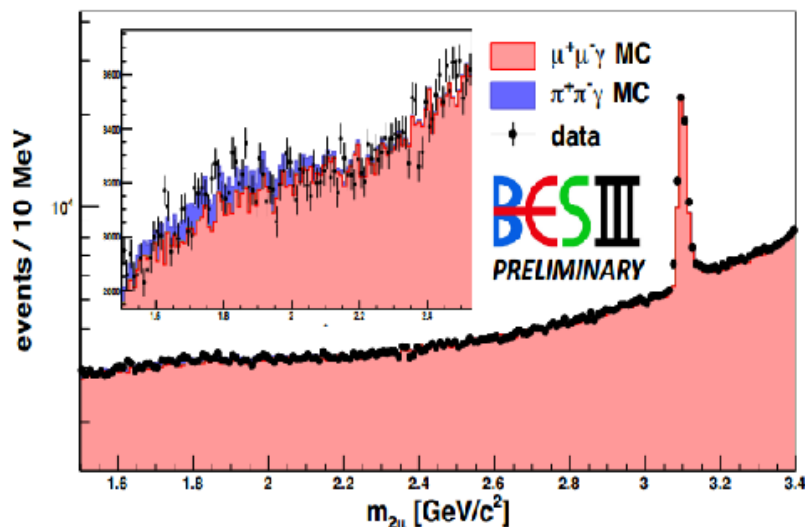
- Use an untagged photon method to perform this analysis.

Event selection:  $e^+e^- \rightarrow \mu^+\mu^-\gamma_{ISR}$  and  $e^+e^- \rightarrow e^+e^-\gamma_{ISR}$



distance to interaction point	$R_{xy} < 1.0 \text{ cm}$ $R_z < 10.0 \text{ cm}$
acceptance	$0.4 \text{ rad} < \theta < \pi - 0.4 \text{ rad}$
to suppress background	PID
# charged tracks	= 2
total charge	= 0
# photons	= 0 (untagged analysis)
missing photon angle	$< 0.1 \text{ rad}$ or $> \pi - 0.1 \text{ rad}$
1C kinematic fit	$\chi^2_{1C} < 20$

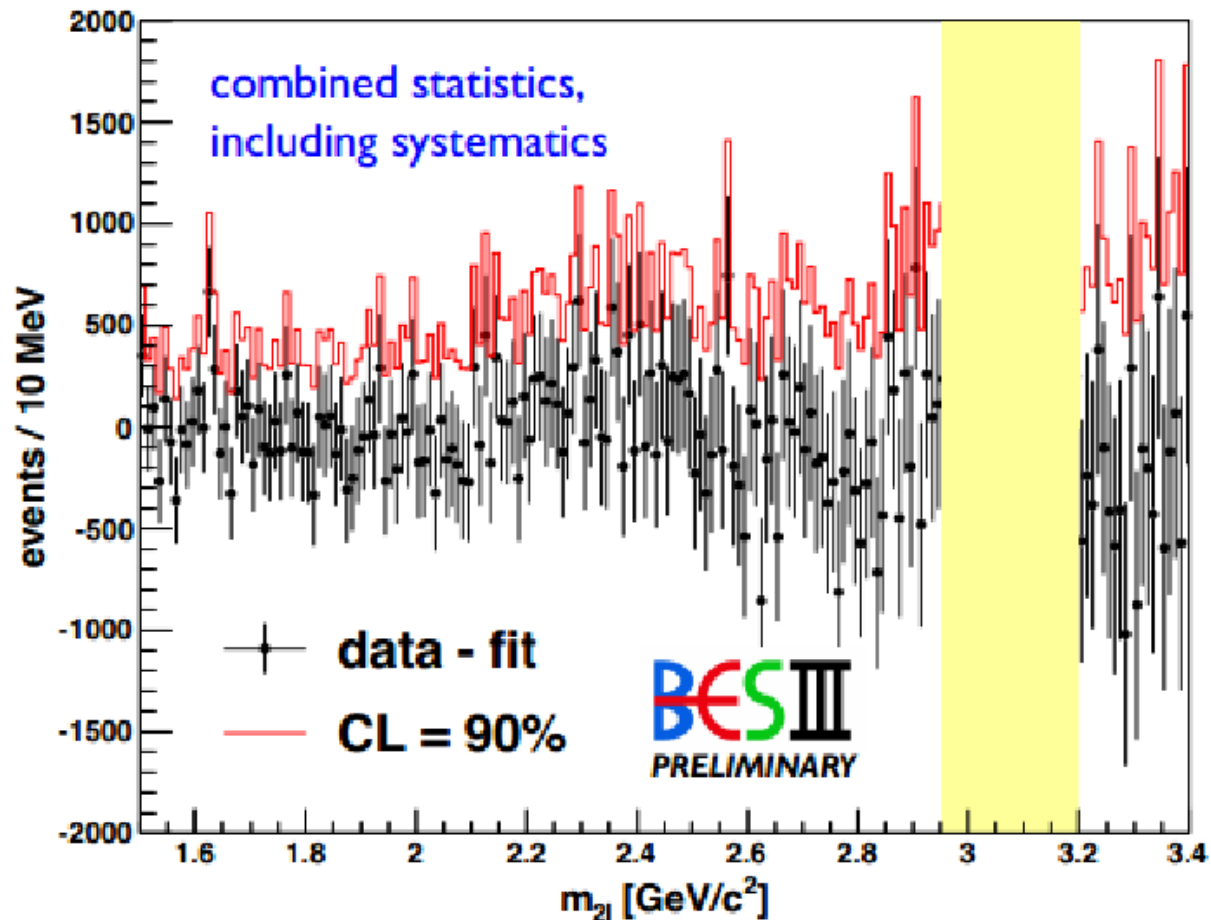


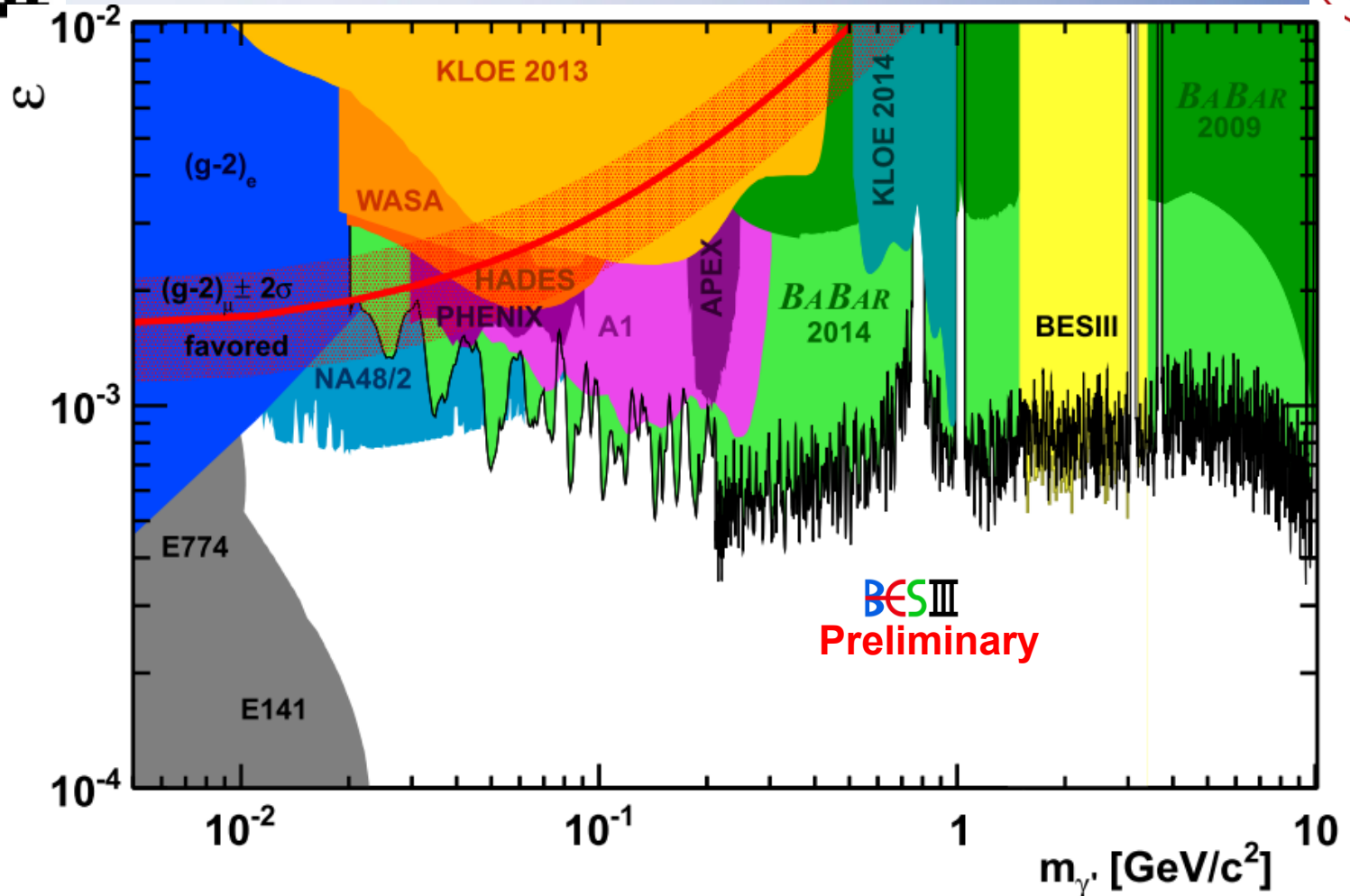


2.9fb-1  $\psi(3770)$

- Set the 90% C.L. upper limit using TRolke method.

Nucl.Instrum.Meth., A551, 493-503 (2005)





Some searches with meson decays are also going on with BESIII data, but less competitive

- High lumi and good detector enables BESIII sensitive to LFV in meson decays
- The published results on  $J/\psi \rightarrow e\mu$  yield the best limit from Heavy Quarkonium decays
- LFV  $J/\psi$  decays involving  $\tau$  are going on, would be more close to probe some models
- FLV in other mesons:  $D$ ,  $D_s$ ,  $\eta$ ,  $\eta'$ ,  $V$ 's are also possible, to be further investigated
- Other New Physics searches from BESIII are also active, more to come

# Thanks!

Extra slides...



$$\mathcal{B}(\tau^- \rightarrow \mu^- \mu^+ \mu^-) < 4.6 \times 10^{-8} \text{ at 90\% CL}$$

$$\mathcal{B}(B^0 \rightarrow e^\pm \mu^\mp) < 2.8 \times 10^{-9} \text{ at 90\% CL}$$

$$\mathcal{B}(B_s^0 \rightarrow e^\pm \mu^\mp) < 1.1 \times 10^{-9}$$

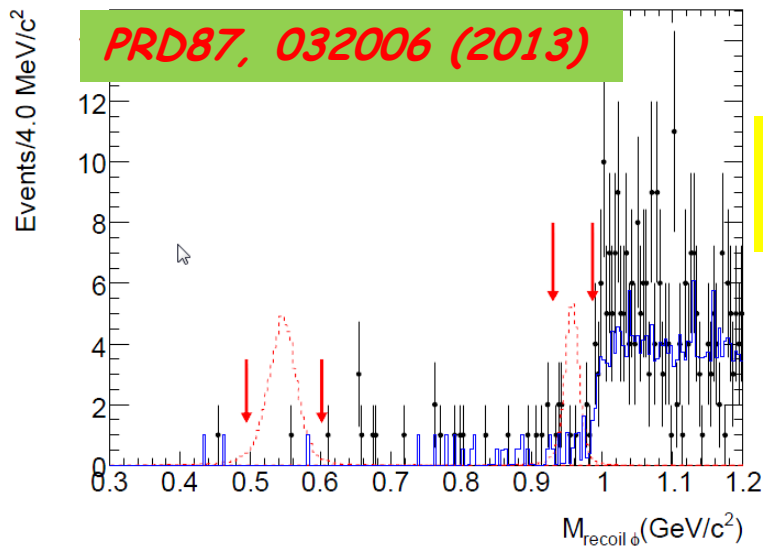
$$\mathcal{B}(D^0 \rightarrow e^\pm \mu^\mp) < 1.5 \times 10^{-8} \text{ at 90\% CL}$$

$$\mathcal{B}(D^+ \rightarrow \pi^- \mu^+ \mu^+) < 2.2 \cdot 10^{-8} \text{ at 90\% CL}$$

$$\mathcal{B}(D_s^+ \rightarrow \pi^- \mu^+ \mu^+) < 1.1 \cdot 10^{-8}$$

# Probing NP with Charmonia and Charmed mesons

Symmetry breaking, Invisible decays, FCNC ...



$B(\eta \rightarrow \text{invisible}) < 1.0 \times 10^{-4}$   
 $B(\eta' \rightarrow \text{invisible}) < 5.3 \times 10^{-4}$

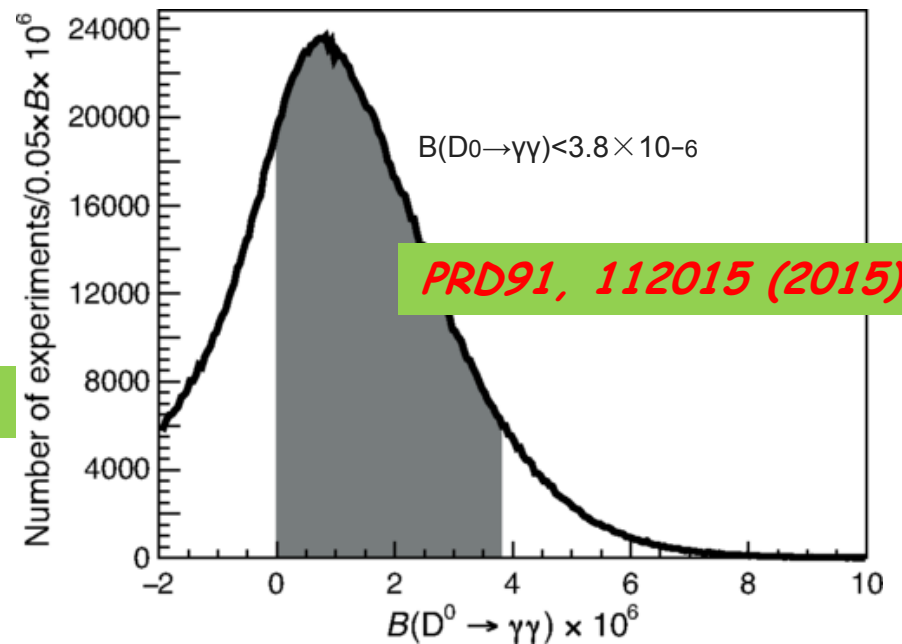
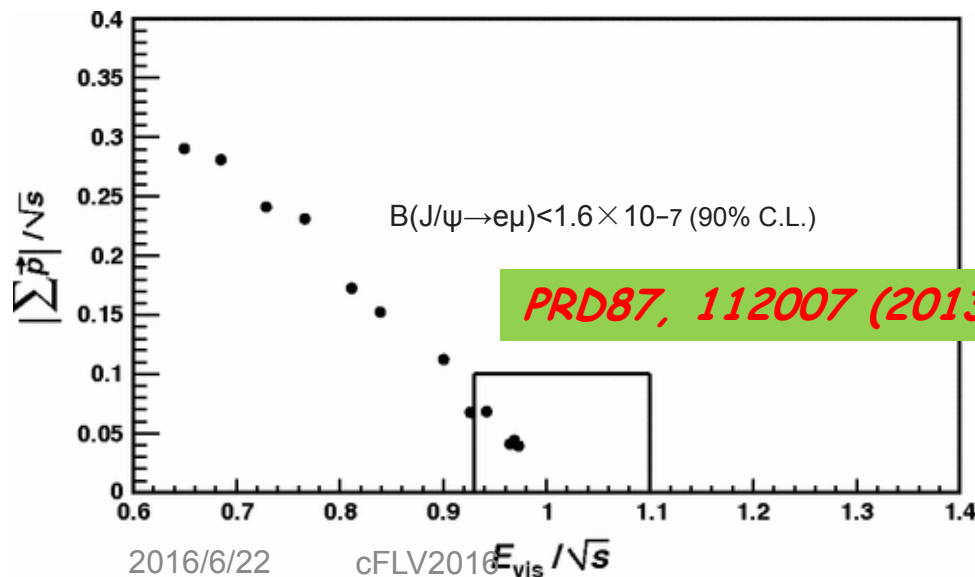
@90% C.L.

Theory:

PRD 72, 103508(2005)

$BR(\eta \rightarrow \chi\chi) \sim 7.4 \times 10^{-5}$

$BR(\eta' \rightarrow \chi\chi) \sim 8.1 \times 10^{-7}$



- FCNC mode, forbidden at tree level
  - Larger GIM suppression
  - Short distance:  $BF \sim 10^{-11}$  [PRD66 (2002) 014009]
  - Long distance due to VMD:  $BF \sim 10^{-8}$  [PRD66 (2002) 014009]
  - MSSM up to  $BF \sim 10^{-6}$  [PLB500(2001)304], i.e.  $c \rightarrow u\gamma$  via gluino exchange

- BaBar (PRD85, 091107(R) (2012)):
  - Reconstruct through  $D^{*+} \rightarrow D^0(\rightarrow \gamma\gamma) \pi^+$ , normalized by  $D^{*+} \rightarrow D^0(\rightarrow K_S \pi^0) \pi^+$ .
  - Peaking background from  $D^0 \rightarrow \pi^0 \pi^0$ .
  - $B(D^0 \rightarrow \gamma\gamma) < 2.2 \times 10^{-6}$  @ 90% C.L.

