

CMS Experiment at LHC, CERN  
Data recorded: Sat Jul 23 20:06:27 2016 PDT  
Run/Event: 277194 / 2573527294  
Lumi section: 1454  
Orbit/Crossing: 381129082 / 549

# Search for supersymmetry in multijet events with missing transverse momentum in proton- proton collisions at 13 TeV

Kevin Pedro (FNAL)

July 31, 2017

[arXiv:1704.07781](https://arxiv.org/abs/1704.07781)

Accepted by *Phys. Rev. D*

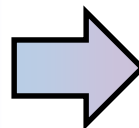


# Outline

## Motivation

- Beyond the Standard Model
- SUSY Models

Slides 3–6



## Strategy

- Goals
- Signal region definition

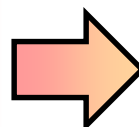
Slides 7–8



## Backgrounds

- SM processes
- Estimation techniques

Slides 9–12



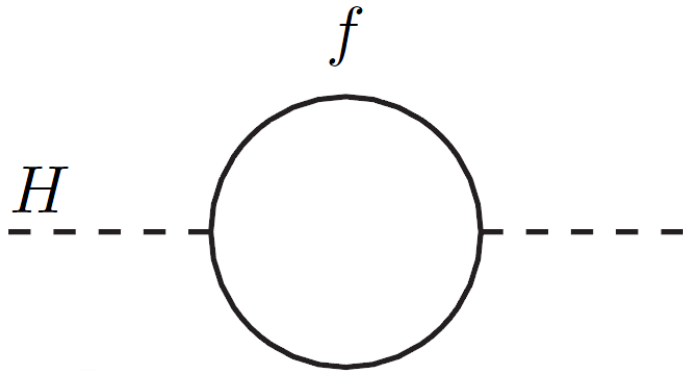
## Results

- Observed data
- Limits

Slides 13–16

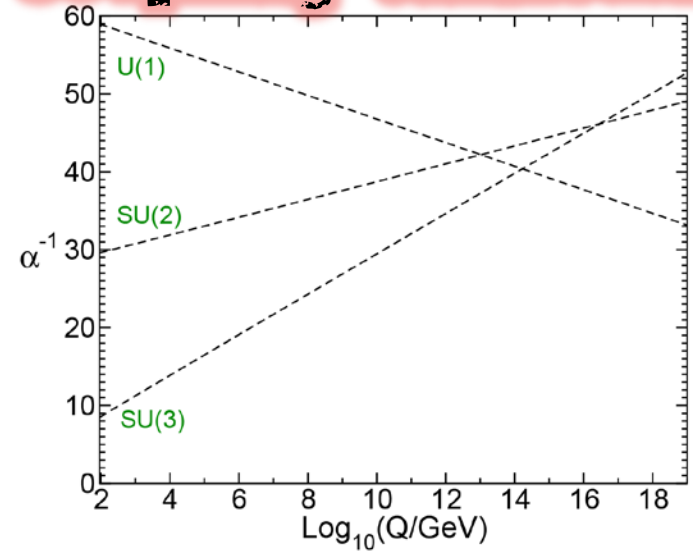
# Beyond The Standard Model

## Gauge Coupling Unification



## Hierarchy Problem

$$\Delta_f m_H^2 = -\frac{|y_f|^2}{8\pi^2} \Lambda_{UV}^2 + \dots$$

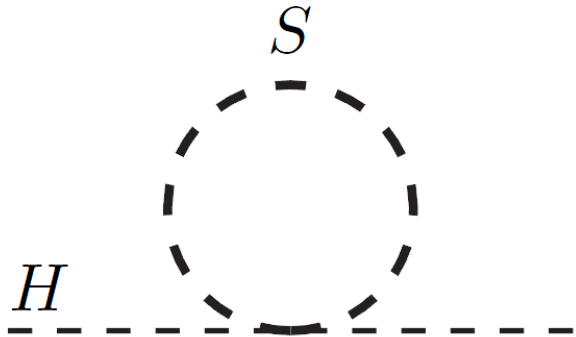


## Dark Matter



# SUSY Solves It All...

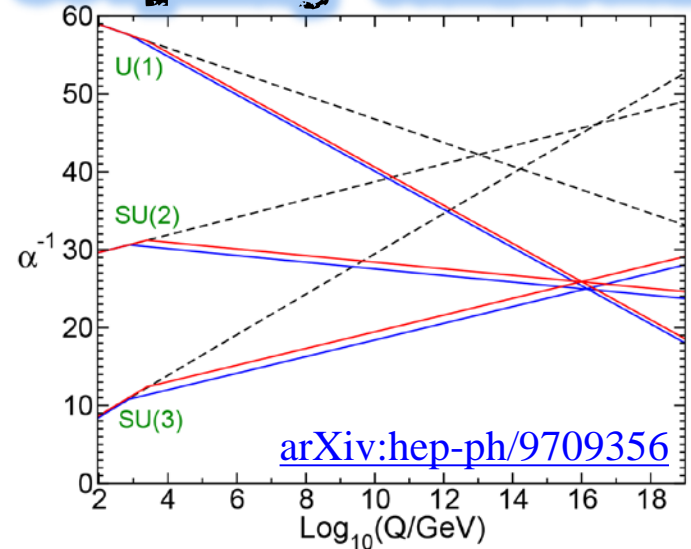
## Gauge Coupling Unification



## Hierarchy Problem

$$\Delta_S m_H^2 = \frac{y_S}{16\pi^2} \Lambda_{UV}^2 + \dots$$

- ✓ Scalar partner(s) for every fermion  
→ natural cancellation of Higgs mass divergences



## Dark Matter

- ✓ Conserved symmetry between SM and SUSY particles implies lightest supersymmetric particle (LSP)

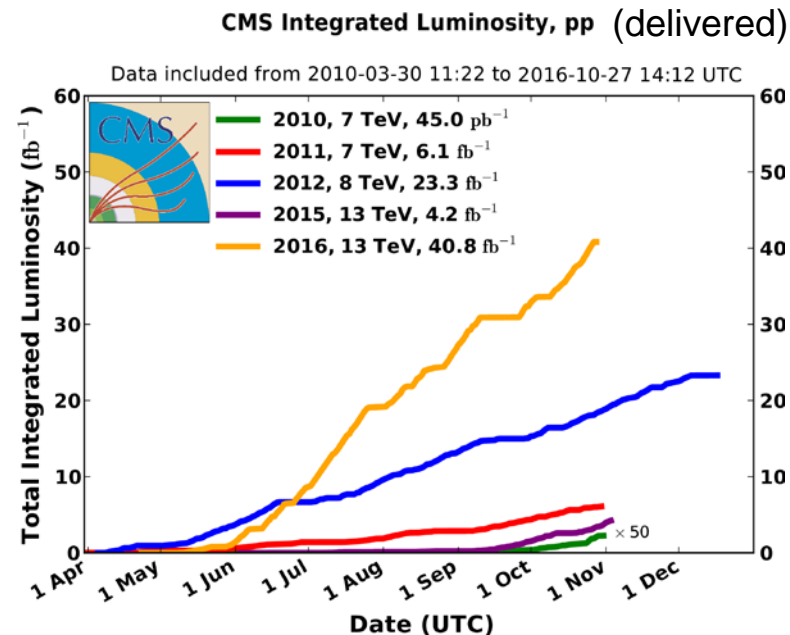
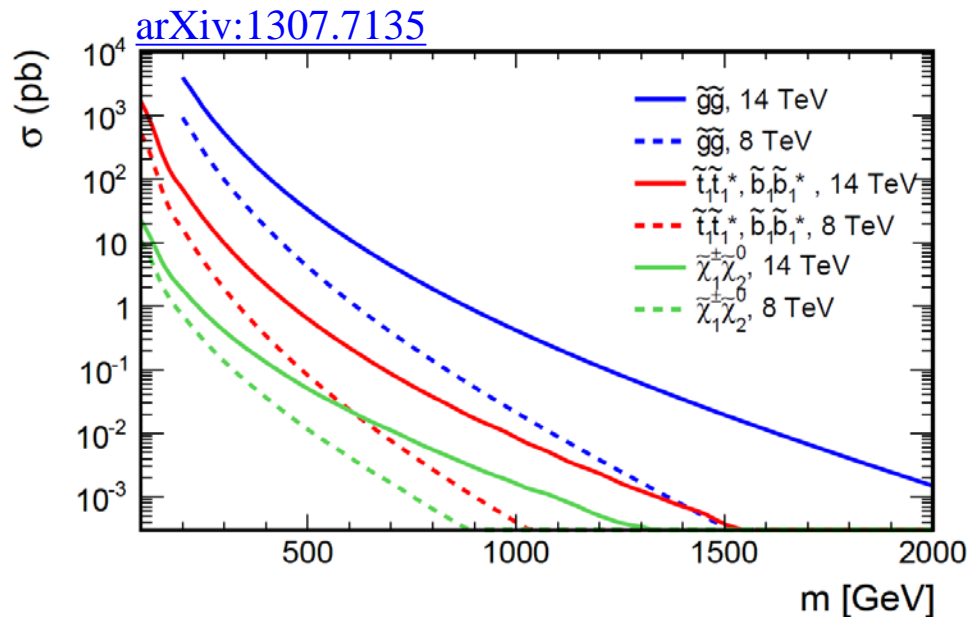
→ dark matter candidate: stable, weakly interacting, massive



... if we can find it!

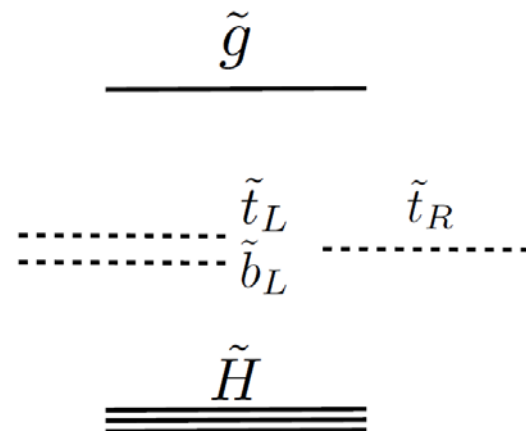


# Search at 13 TeV



- Gluino pair production expected to have highest cross section at LHC (squarks also strongly produced)
- Record-breaking LHC performance in 2016:  
~36  $\text{fb}^{-1}$  of 13 TeV data recorded by CMS and ATLAS
- Naturalness is one motivation to expect light<sup>†</sup> gluinos, stops, sbottoms, and higgsinos

<sup>†</sup>(electroweak scale)

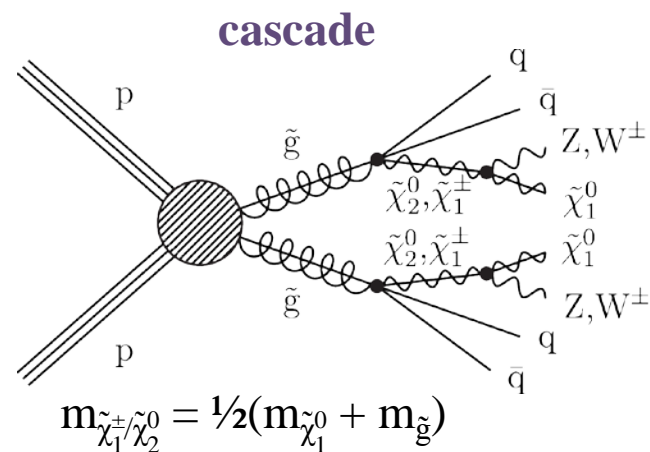
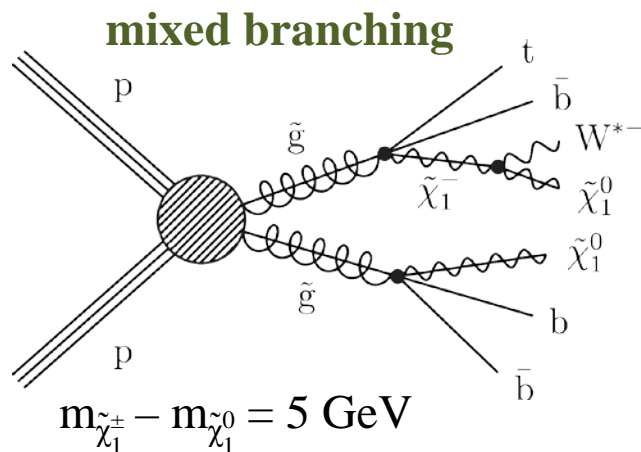
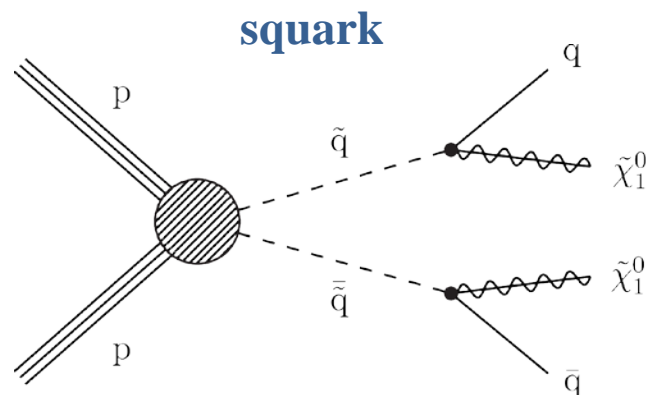
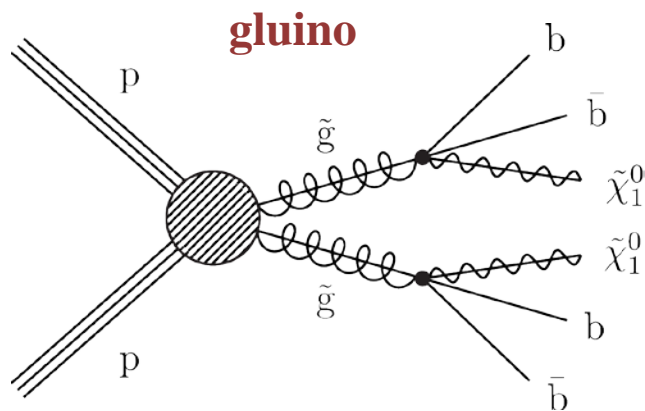


natural SUSY

[arXiv:1110.6926](https://arxiv.org/abs/1110.6926)

# Simplified Model Spectrum

- Minimal supersymmetric model (MSSM) has 120 parameters
- *Simplified models*: only a few parameters, e.g.  $m_{\tilde{g}}$ ,  $m_{\text{LSP}}$
- Most SUSY particles given high masses  $\rightarrow$  don't participate in interactions
- Isolate *important kinematics and topologies*
- LSP is taken to be the lightest neutralino  $\tilde{\chi}_1^0$

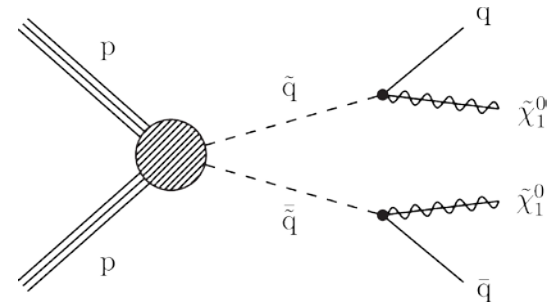
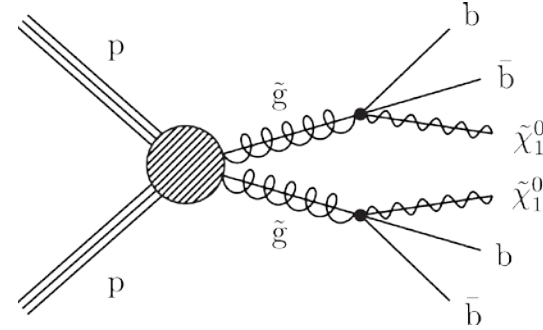


# Multijets + Missing Energy Analysis

- Goal: cover many SUSY models and parameters
  - Conduct a *general* and *inclusive* analysis
- Target hadronic final states (largest branching fractions)
- Prototypical signals:  $\tilde{g}/\tilde{q} \rightarrow \text{SM} + \tilde{\chi}_1^0$  (pair production)
- Design a search to exploit fundamental event properties:

**multiplicity, flavor, visible energy, invisible energy**

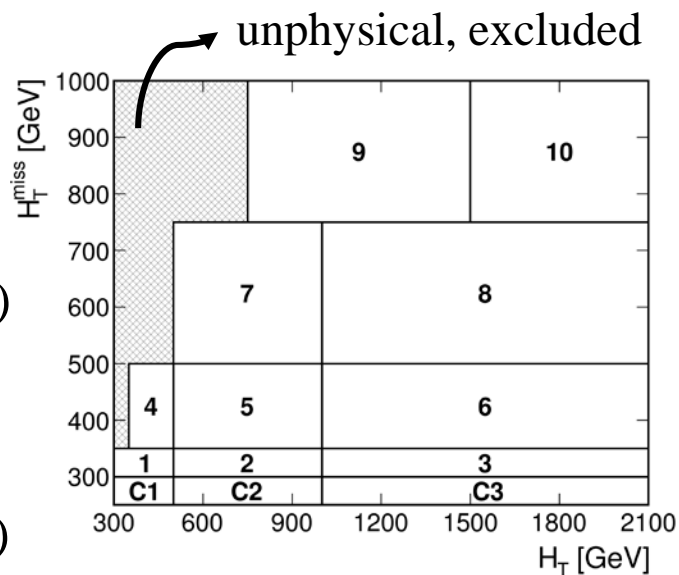
- Previous CMS results (13 TeV):
  - [CMS PAS SUS-16-014](#) (2016, ICHEP, 12.9 fb<sup>-1</sup>)
  - [Phys. Lett. B 758 \(2016\) 152](#) (2015, 2.3 fb<sup>-1</sup>)



# Strategy

Define four important variables:

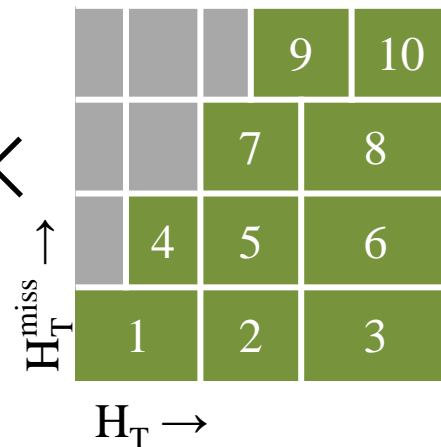
- $N_{\text{jet}} = \# \text{ of jets}$
  - $N_{\text{b-jet}} = \# \text{ of b-tagged jets}$
  - $H_T = \sum p_T^{\text{jet}}$
  - $H_T^{\text{miss}} = |-\sum \vec{p}_T^{\text{jet}}|$
- (  $p_T > 30 \text{ GeV}$ ,  $|\eta| < 2.4$  )
- (  $p_T > 30 \text{ GeV}$ ,  $|\eta| < 5.0$  )



Bin the search region in these four variables:

$$N_{\text{jet}}: \begin{array}{|c|c|c|c|c|} \hline 2 & 3-4 & 5-6 & 7-8 & 9+ \\ \hline \end{array} \times N_{\text{b-jet}}: \begin{array}{|c|c|c|c|} \hline 0 & 1 & 2 & 3+ \\ \hline \end{array} \times$$

$$= 174 \text{ analysis bins}$$



(no  $N_{\text{b-jet}}=3+$  for  $N_{\text{jet}}=2$ , exclude  $H_T-H_T^{\text{miss}}$  bins 1 and 4 for  $N_{\text{jet}} \geq 7$ )

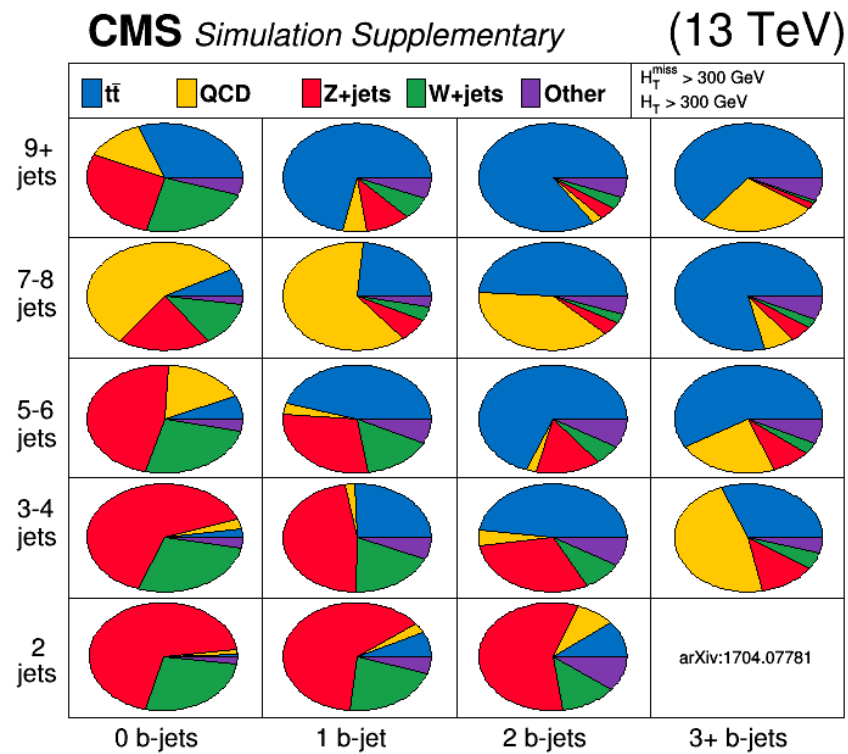
# Backgrounds

## Irreducible:

- **$Z \rightarrow \nu\bar{\nu}$  (+ jets)**
  - Real missing energy from neutrinos
  - Most prevalent at low multiplicity

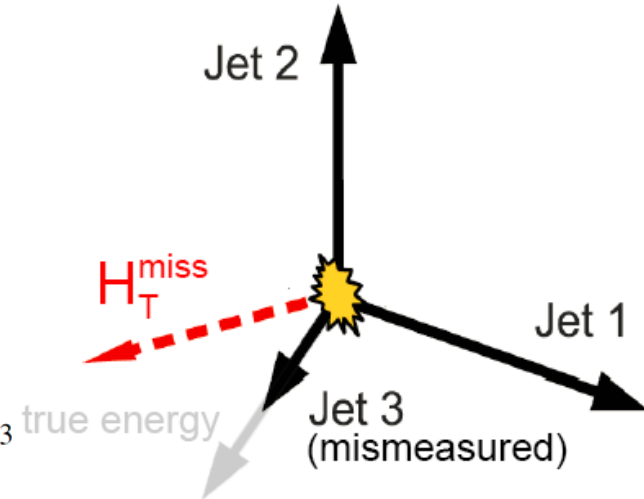
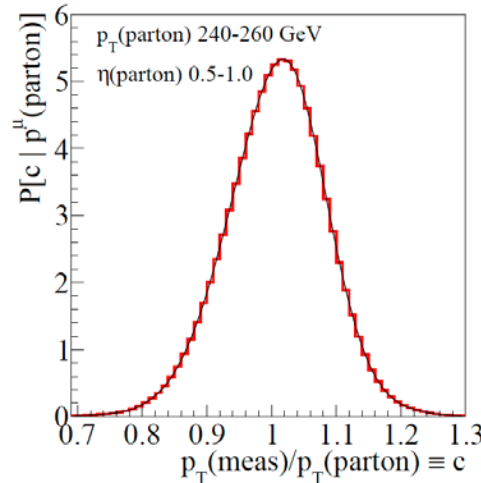
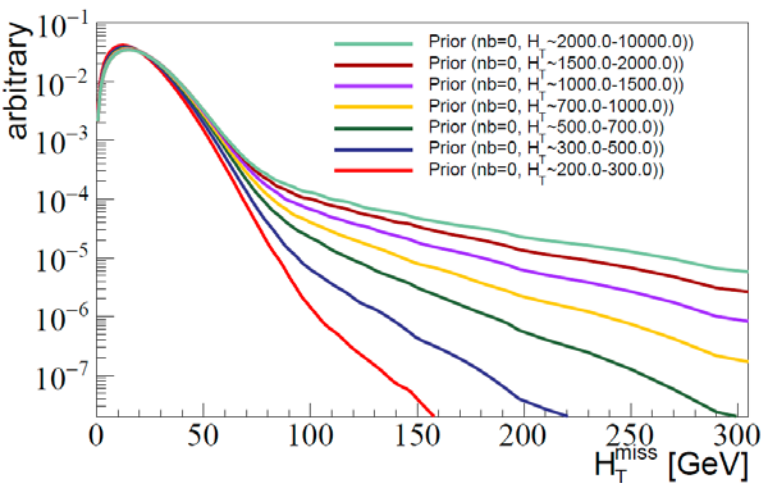
## Reducible:

- **QCD multijets**
  - Mismeasured jet energy  $\rightarrow$  “fake”  $H_T^{\text{miss}}$
  - Reduce w/  $\Delta\phi(H_T^{\text{miss}}, j_{1,2,3,4})$  requirements
- **$t\bar{t}$ ,  $W$ +jets (also single top)**
  - $\nu$  from  $W$  creates  $H_T^{\text{miss}}$
  - **Lepton ( $e/\mu$ )**: reduce w/ lepton, lepton track vetoes
  - **Hadronic Tau**: reduce w/ pion track veto
  - 50% of overall SM background, 90% of background with high multiplicity
- **Instrumental background**
  - Reduce w/ event cleaning (Jet ID,  $E_T^{\text{miss}}$  filters)





# QCD Background Estimation



New method for 2016 result!

Bayesian Rebalance & Smear approach:

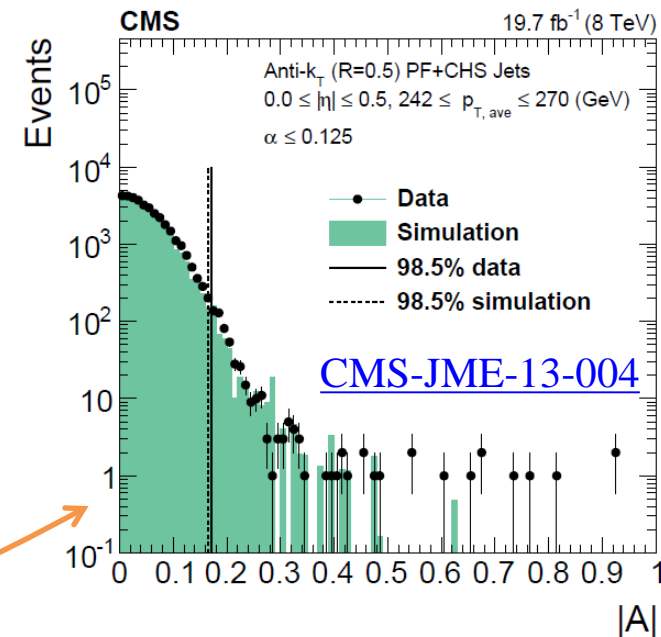
1. Rebalance:

$$P(\vec{J}_{\text{part}} | \vec{J}_{\text{meas}}) \sim \pi(\vec{J}_{\text{part}}) \cdot P(\vec{J}_{\text{meas}} | \vec{J}_{\text{part}})$$

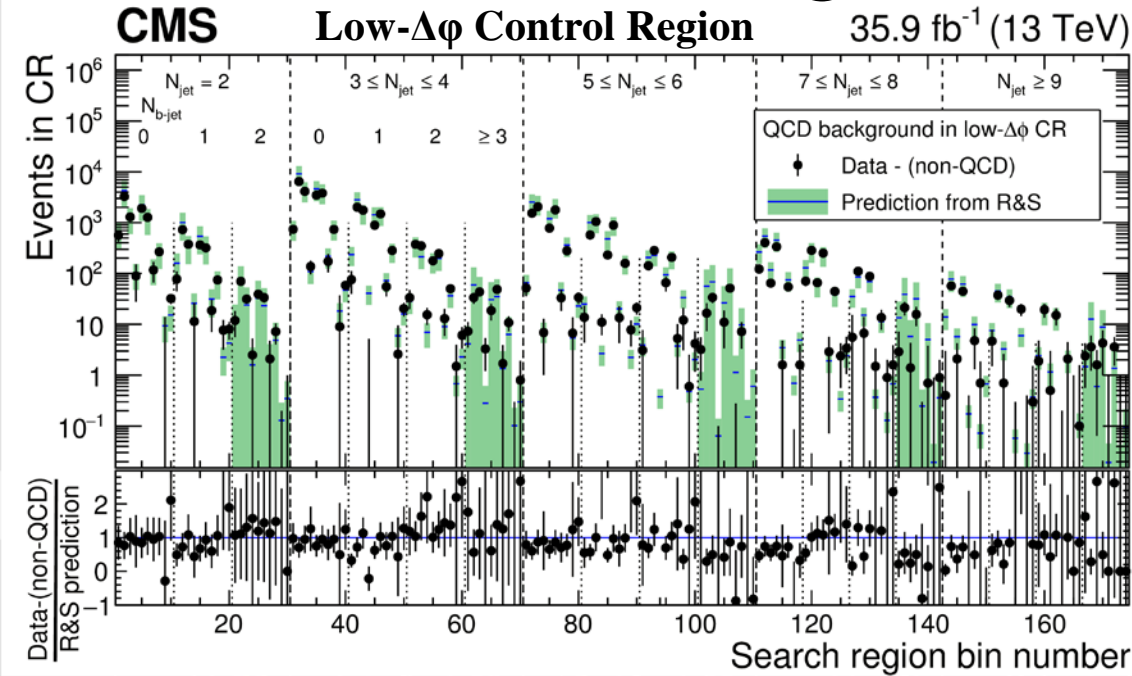
2. Smear:

Jet response templates corrected for resolution in data using dijet asymmetry measurements

$$\mathcal{A} = \frac{p_{T, 1\text{st jet}} - p_{T, 2\text{nd jet}}}{p_{T, 1\text{st jet}} + p_{T, 2\text{nd jet}}}$$

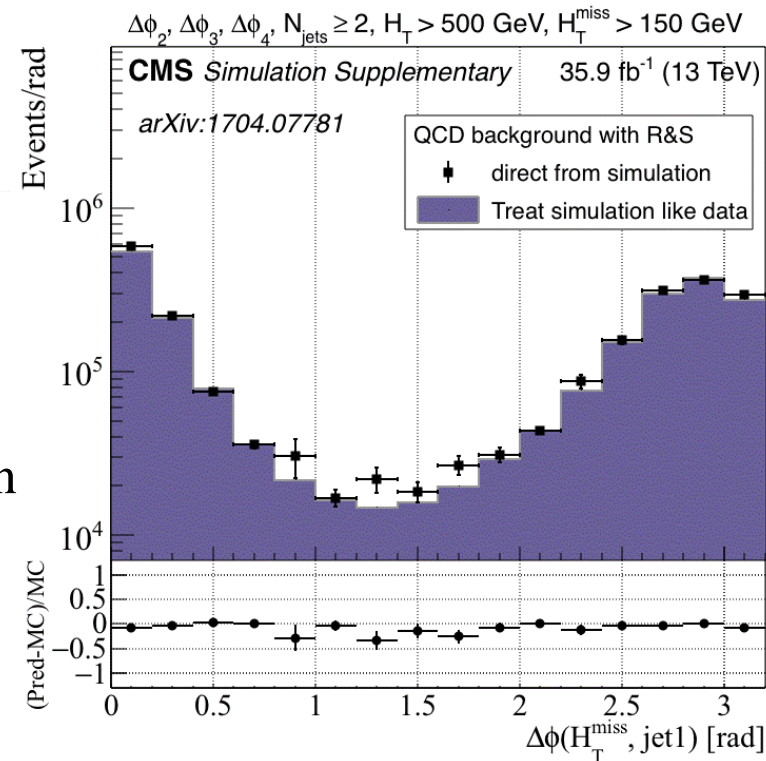
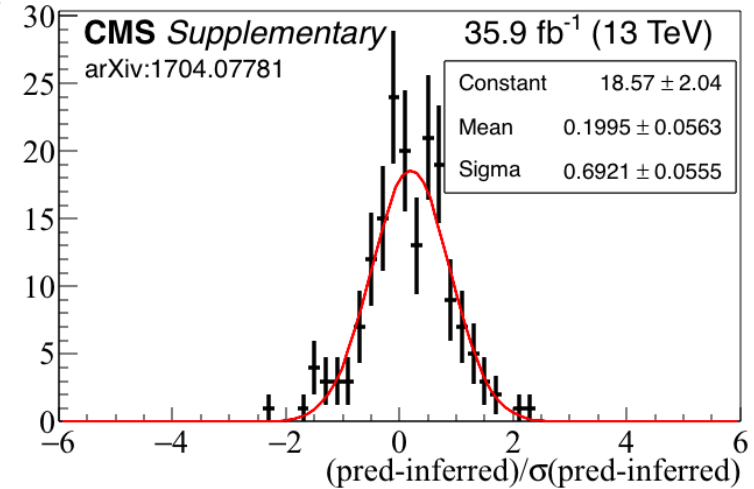


# QCD Background Validation



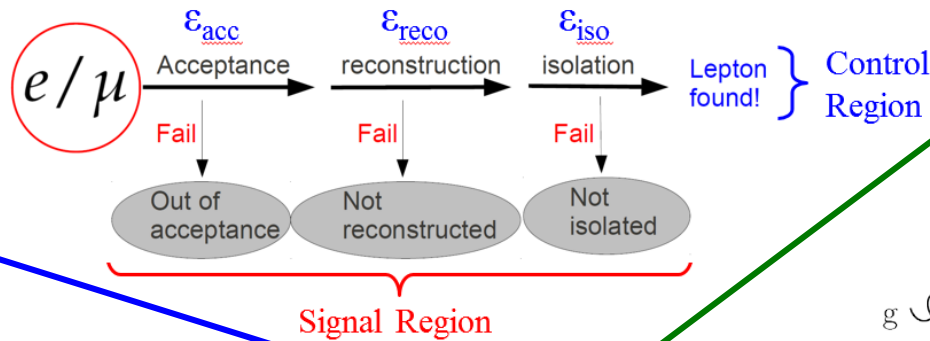
- Models complex distributions like  $\Delta\phi(H_T^{\text{miss}}, j)$
- Compare to data in low- $\Delta\phi$  control region<sup>†</sup>: good agreement (pull plot in upper right)
- Crosscheck in signal region w/  $\Delta\phi$  extrapolation method (used for earlier results)

<sup>†</sup>QCD-enriched



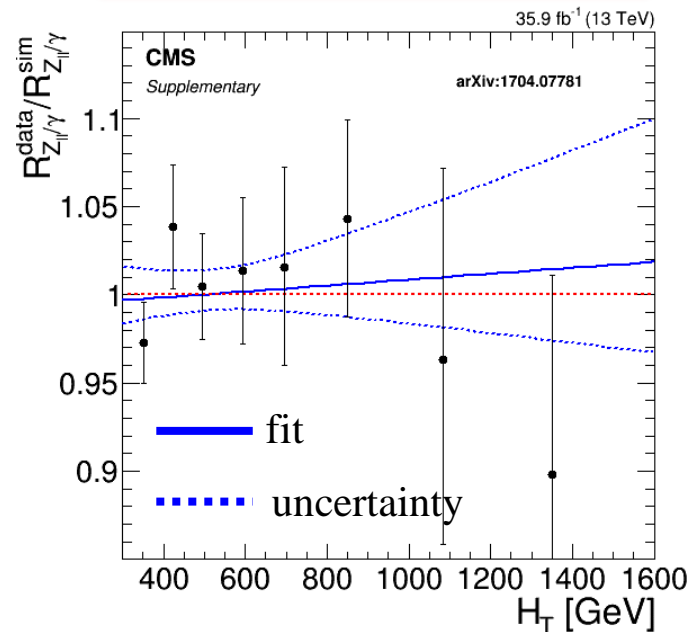
# Other Background Estimations

## Lost Lepton

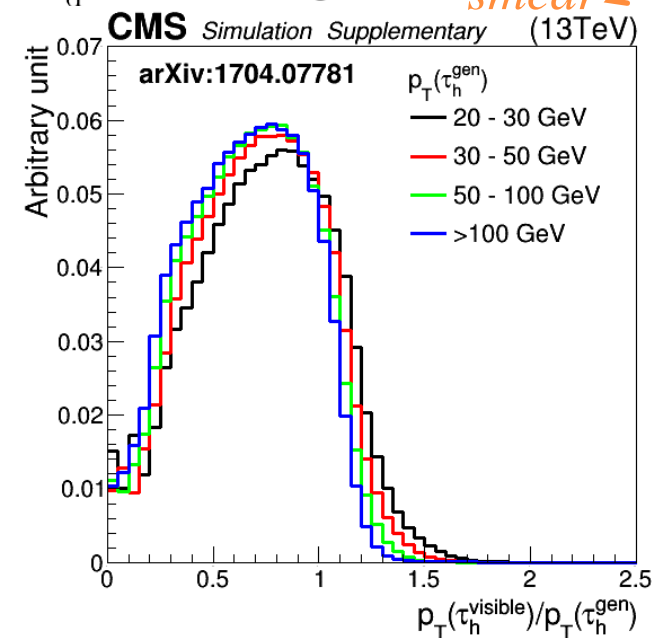
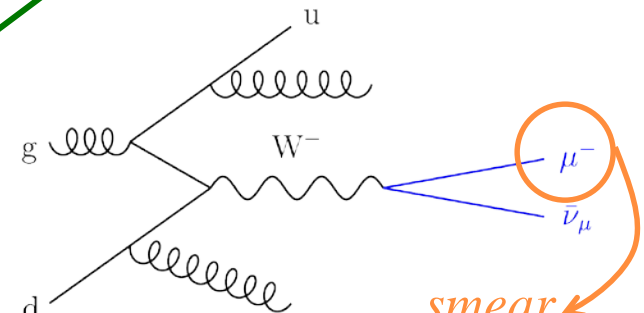


$Z \rightarrow \nu\bar{\nu}$

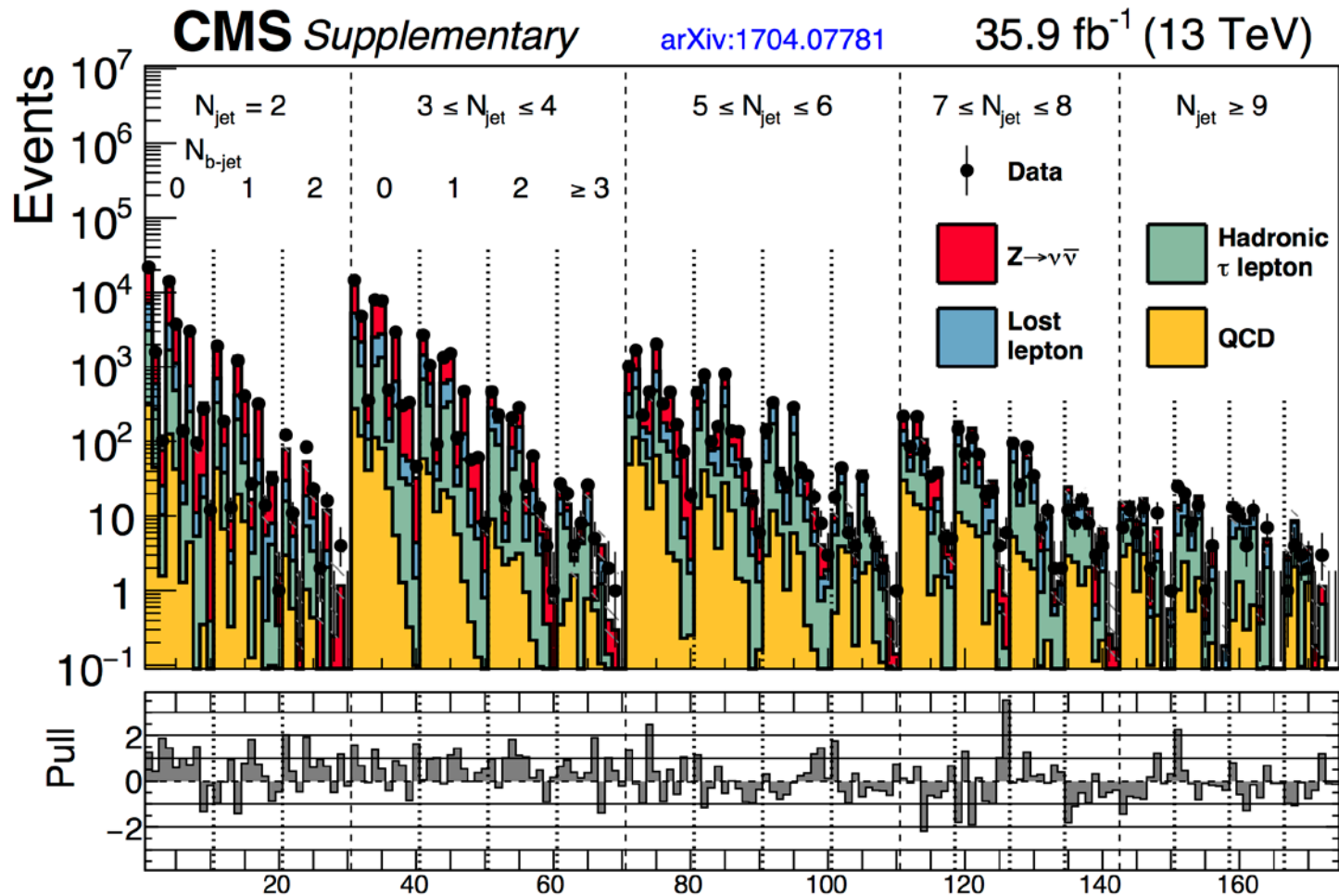
$$\sigma(\gamma+jets)/\sigma(Z\rightarrow\nu\nu) \approx 5$$



## Hadronic Tau



# Prediction vs. Observation



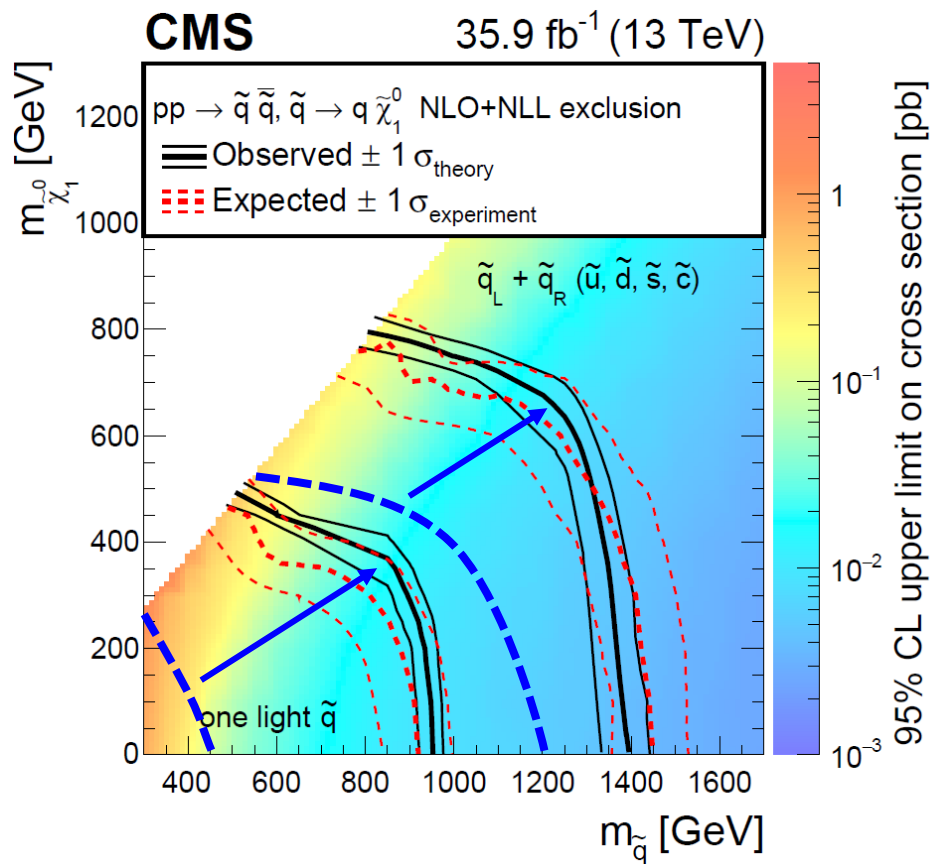
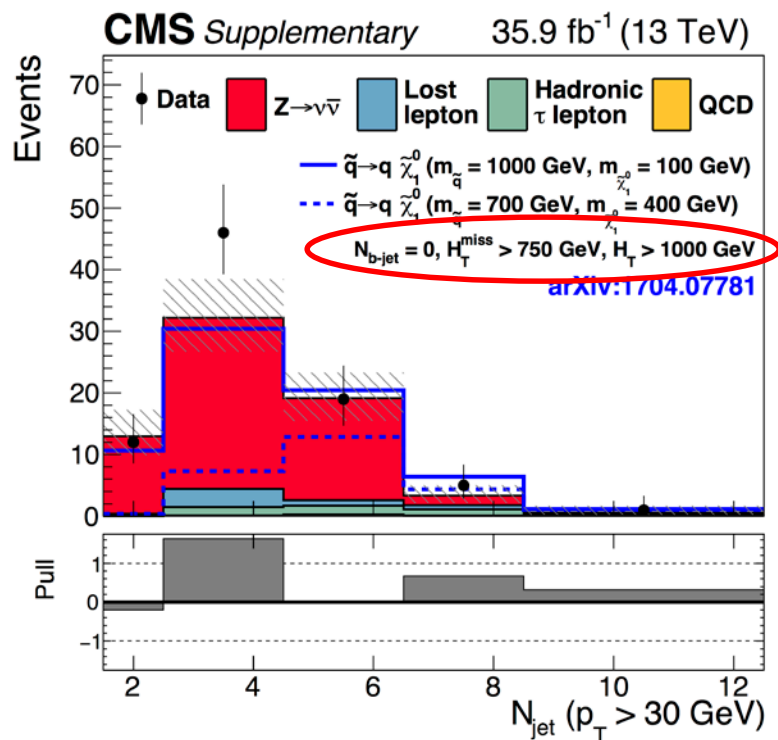
$$\text{pull} = (N_{\text{Obs.}} - N_{\text{Pred.}}) / \sqrt{N_{\text{Pred.}} + (\delta N_{\text{Pred.}})^2}$$

Search region bin number

- Good agreement between observation and SM background predictions
- Proceed to set limits on SUSY simplified models

# Squark Limits

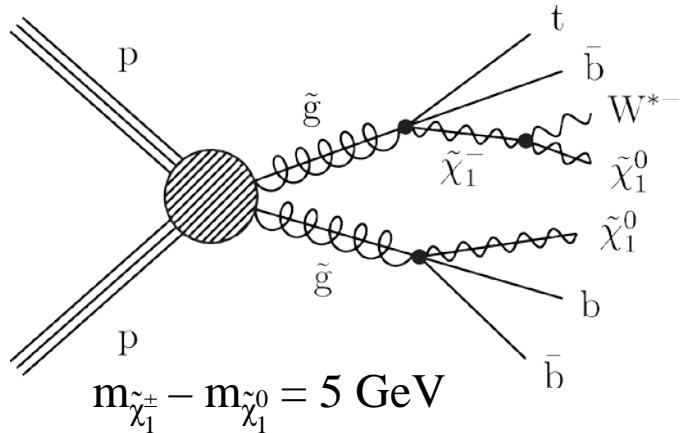
--- ICHEP2016 limits (12.9 fb<sup>-1</sup>, no N<sub>jet</sub>=2 bin)



- N<sub>jet</sub>=2 category significantly improves sensitivity vs. previous results
- “One light  $\tilde{q}$ ” limits now competitive with third-generation squark limits



# Gluino Limits



- Mix and match gluino decays:

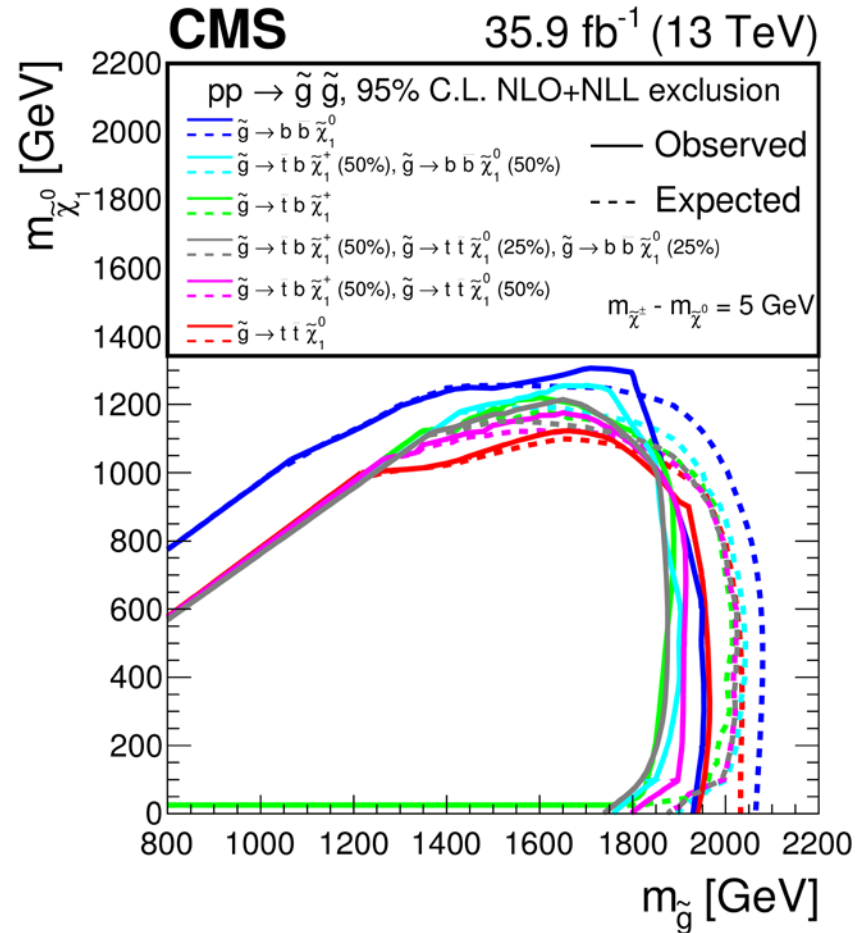
$$\tilde{g} \rightarrow t \, b \, \tilde{\chi}_1^+, \tilde{g} \rightarrow b \, \bar{b} \, \tilde{\chi}_1^0, \tilde{g} \rightarrow t \, \bar{t} \, \tilde{\chi}_1^0$$

- Depicted above:

$$\text{one gluino decaying as } \tilde{g} \rightarrow t \, b \, \tilde{\chi}_1^+$$

$$\text{one gluino decaying as } \tilde{g} \rightarrow b \, \bar{b} \, \tilde{\chi}_1^0$$

- High sensitivity to generic “natural” case:  
gluinos, Higgsinos accessible with third-generation decays
- Exclude gluinos w/ masses up to 1850–1880 GeV



# Conclusions

CMS Experiment at LHC, CERN

Data recorded: Sat Jul 23 20:06:27 2016 PDT

Run/Event: 277194 / 2573527294

Orbit/Crossing: 381129082 / 549

- Hadronic multijet +  $H_T^{\text{miss}}$  analysis has broad and competitive performance
- Covers large areas of SMS phase space:
  - different decay flavors and branching fractions
  - mass splittings between SUSY particles
- **Gluino** mass limits range from **1800–1960 GeV** (for low  $m_{\tilde{\chi}_1^0}$ )
- **Squark** mass limits range from **960–1390 GeV** (for low  $m_{\tilde{\chi}_1^0}$ )
- ❖ First SUSY analysis submitted w/ full 13 TeV dataset:  
[arXiv:1704.07781](https://arxiv.org/abs/1704.07781) (accepted by *Phys. Rev. D*)
  - Supplementary material available at [SUS-16-033](https://arxiv.org/abs/1704.07781)  
(including efficiency maps & covariance matrices for reinterpretations)
- Ongoing and future work:
  - Reinterpretations (e.g. pMSSM, [arXiv:1707.05783](https://arxiv.org/abs/1707.05783) from David Shih et al.)
  - “Spinoff” analyses targeting different signatures/models
  - Updated analysis with full Run2 dataset at 13 TeV (expect  $\sim 100 \text{ fb}^{-1}$ )

MHT = 1821 GeV

Backup

# The CMS Detector

## *SILICON TRACKER*

Pixels ( $100 \times 150 \mu\text{m}^2$ )  
 $\sim 1\text{m}^2$   $\sim 66\text{M}$  channels  
 Microstrips ( $80\text{-}180\mu\text{m}$ )  
 $\sim 200\text{m}^2$   $\sim 9.6\text{M}$  channels

## *BRIL*

Luminosity Telescope:  $\sim 200\text{k}$  Si pixels ( $100 \times 150 \mu\text{m}^2$ )  
 Beam Monitors: 80 diamond sensors, 40 quartz counters

## *CRYSTAL ELECTROMAGNETIC CALORIMETER (ECAL)*

$\sim 76\text{k}$  scintillating  $\text{PbWO}_4$  crystals

## *PRESHOWER*

Silicon strips ( $6\text{cm} \times 2\text{mm}$ )  
 $\sim 16\text{m}^2$   $\sim 137\text{k}$  channels

## *FORWARD CALORIMETER*

Steel + quartz fibres  
 $\sim 2\text{k}$  channels

## *MUON CHAMBERS*

Barrel: 250 Drift Tube & 480 Resistive Plate Chambers  
 Endcaps: 473 Cathode Strip & 432 Resistive Plate Chambers

## *HADRON CALORIMETER (HCAL)*

Brass + plastic scintillator  
 $\sim 7\text{k}$  channels

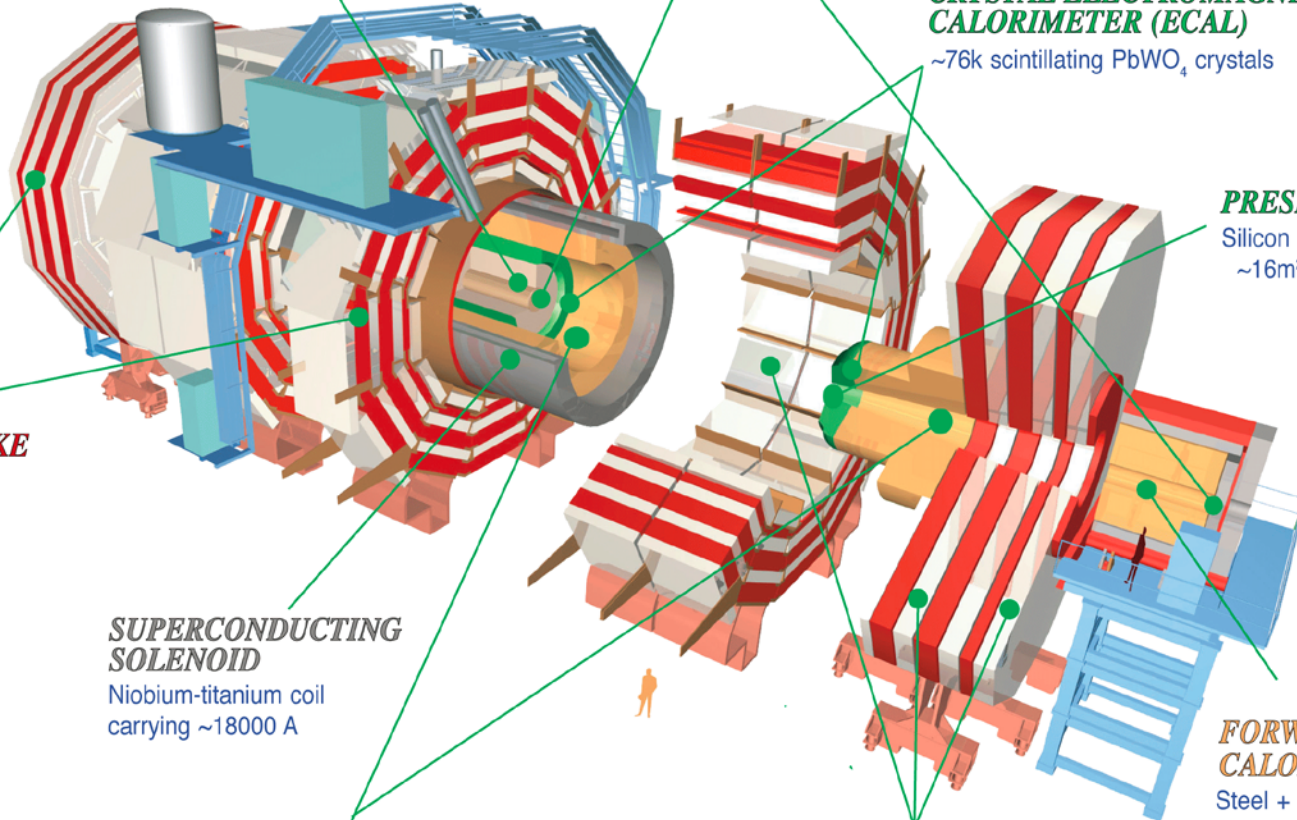
## *SUPERCONDUCTING SOLENOID*

Niobium-titanium coil  
 carrying  $\sim 18000\text{ A}$

## *STEEL RETURN YOKE*

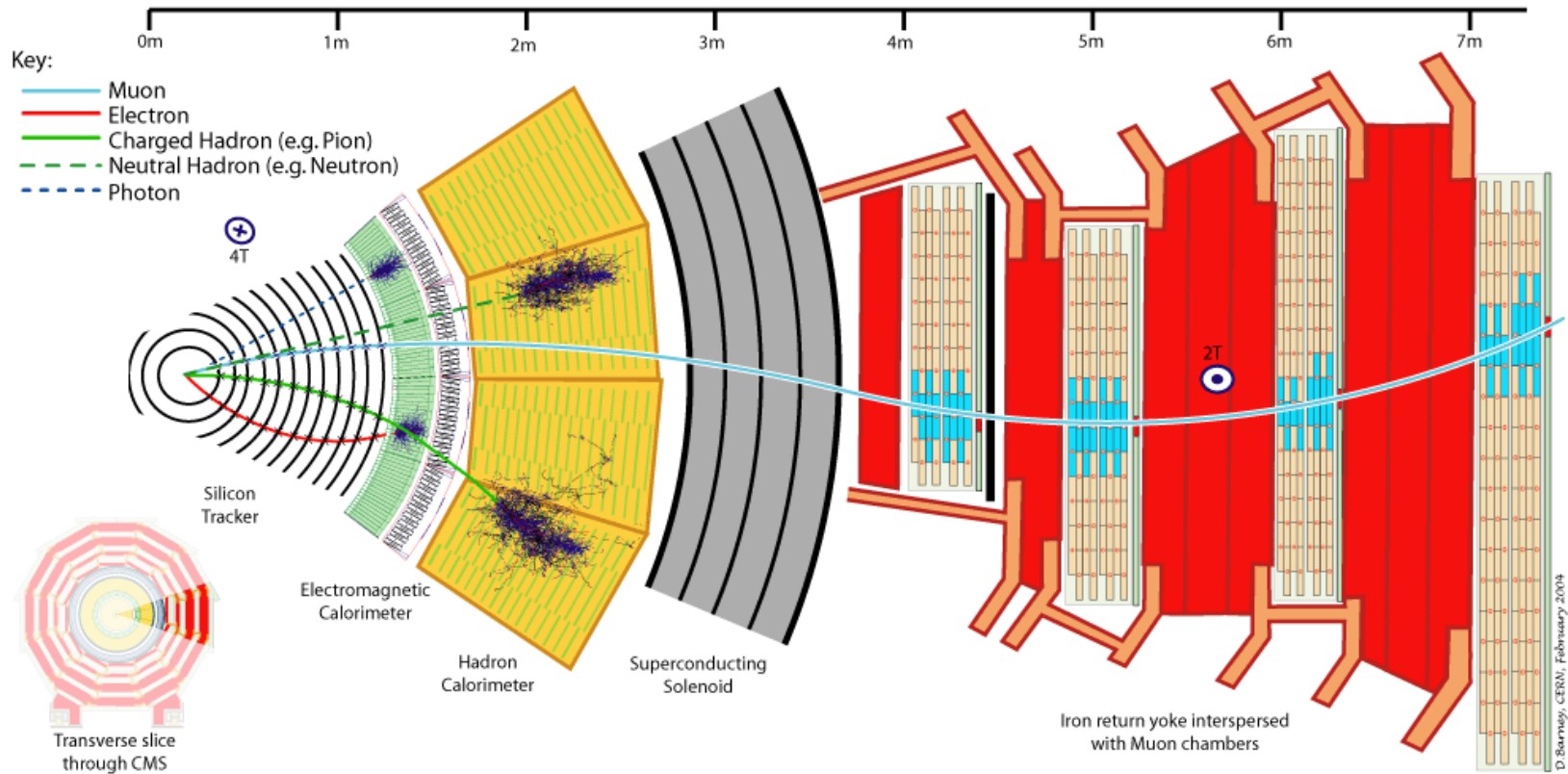
$\sim 13000$  tonnes

**BRIL**  
 Pixels  
 Tracker  
**ECAL**  
**HCAL**  
 Solenoid  
 Steel Yoke  
 Muons



**Total weight** : 14000 tonnes  
**Overall diameter** : 15.0 m  
**Overall length** : 28.7 m  
**Magnetic field** : 3.8 T

# CMS Reconstruction

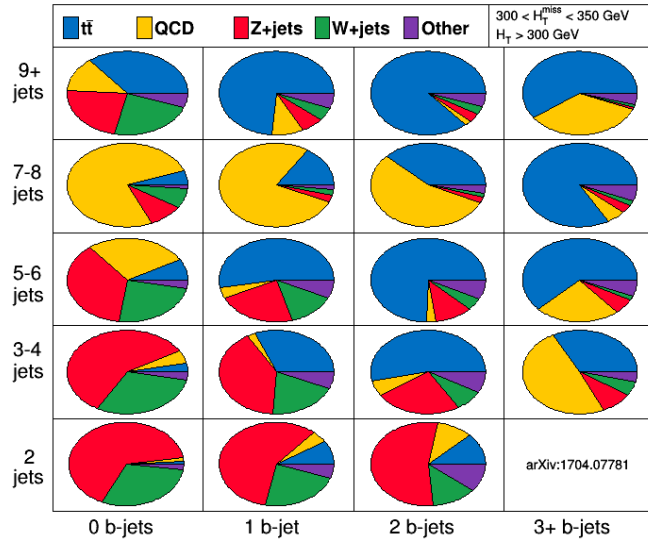


Tracker hits → charged tracks	} linking →	Candidates (particle flow): electrons, muons, photons, charged hadrons, neutral hadrons
ECAL hits → clusters		
HCAL hits → clusters		
Muon hits → muon tracks		

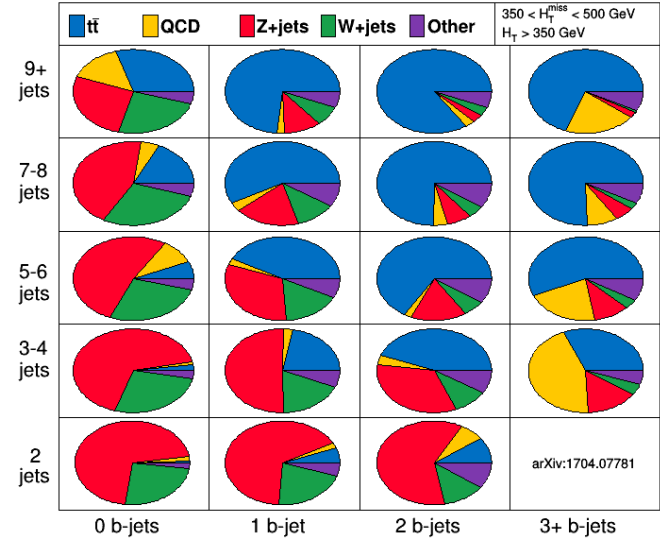


# Backgrounds in $H_T$ , $H_T^{\text{miss}}$ bins

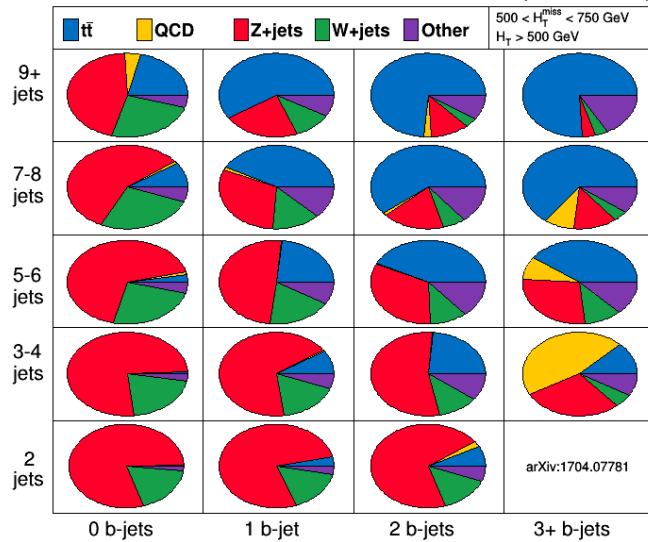
**CMS Simulation Supplementary** (13 TeV)



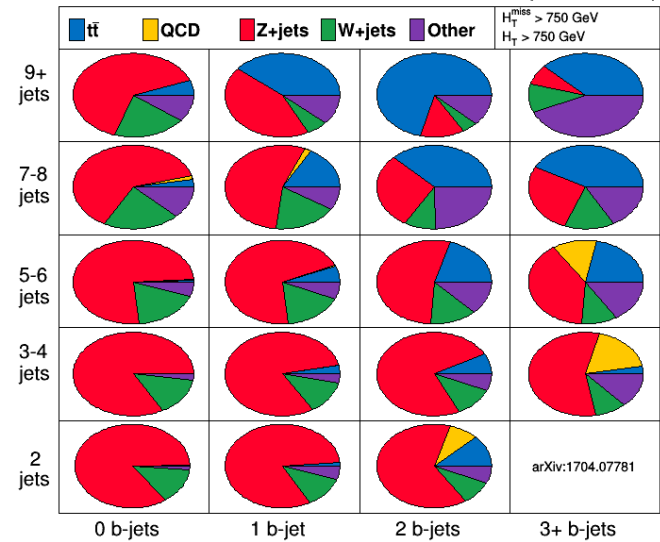
**CMS Simulation Supplementary** (13 TeV)



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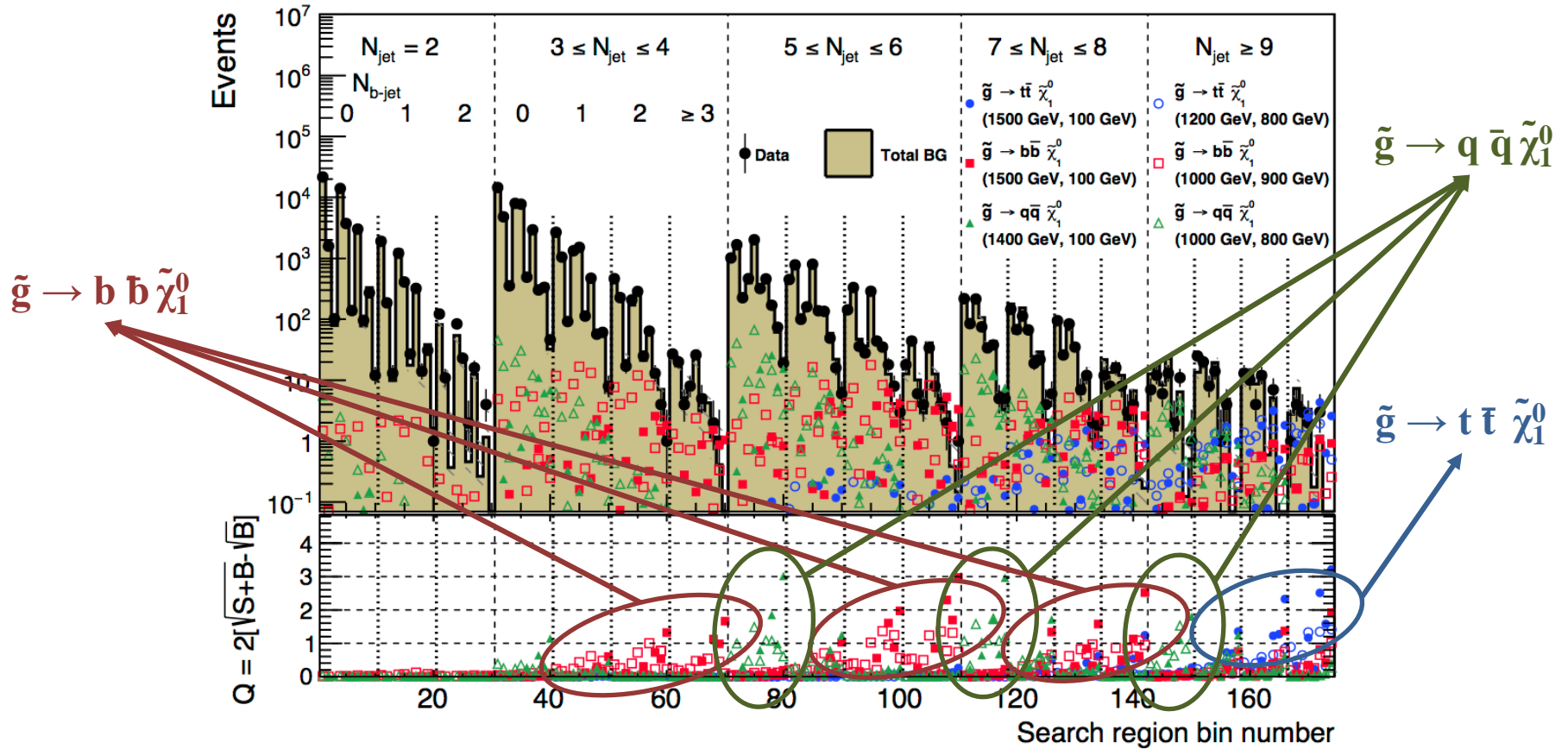


# Sensitivity (gluinos)

**CMS** Supplementary

arXiv:1704.07781

35.9 fb<sup>-1</sup> (13 TeV)

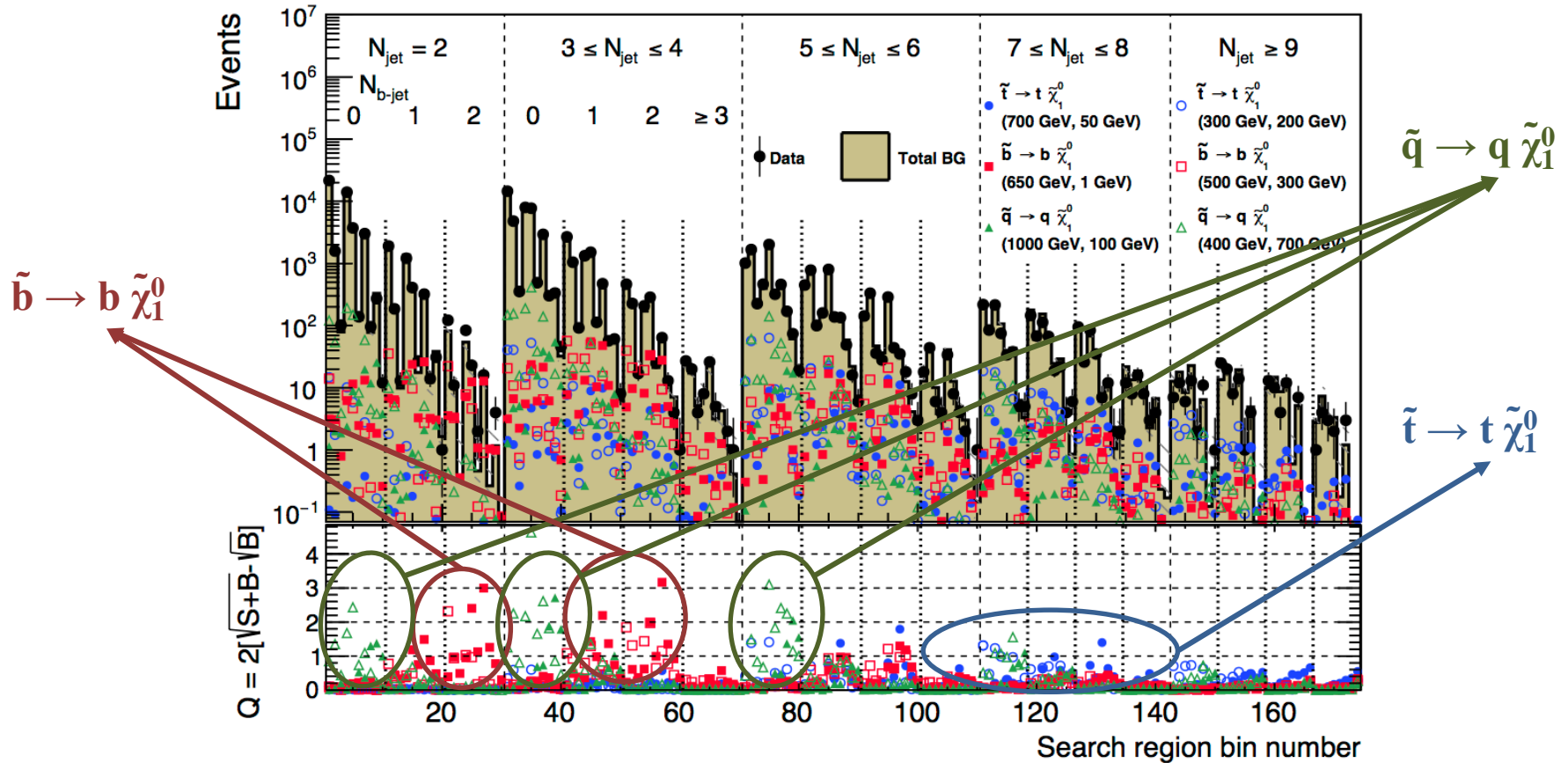


# Sensitivity (squarks)

CMS Supplementary

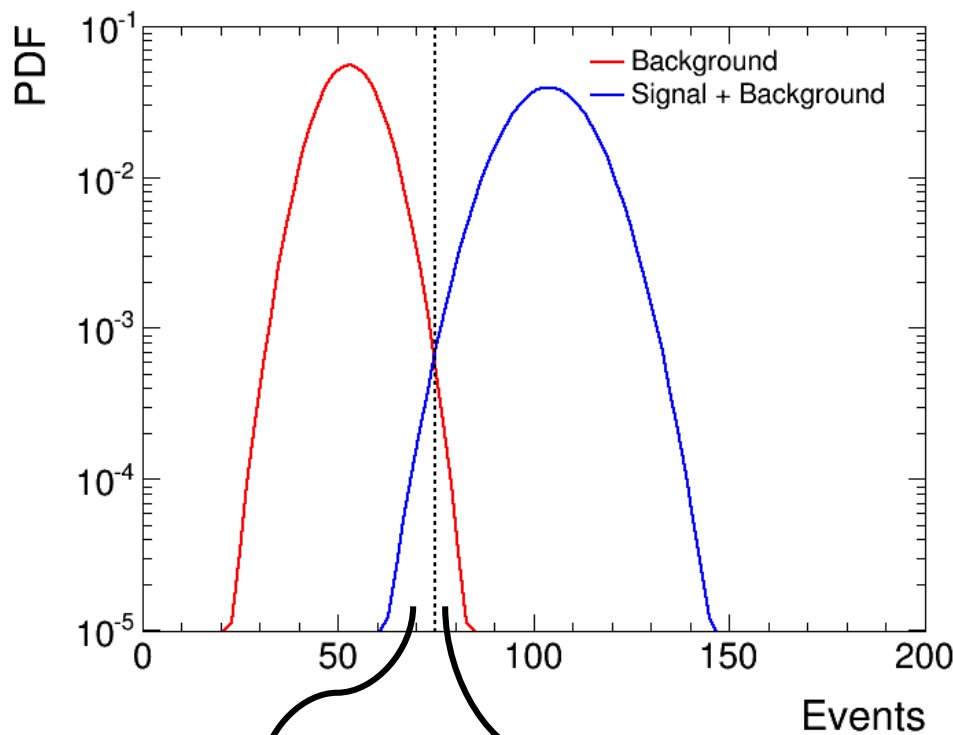
arXiv:1704.07781

35.9 fb<sup>-1</sup> (13 TeV)



- Sensitivity comes from lower  $N_{\text{jet}}$  and  $N_{\text{b-jet}}$  bins

$$Q = 2[\sqrt{(S+B)} - \sqrt{(B)}]$$



New physics looks  
like standard physics

Standard physics looks  
like new physics

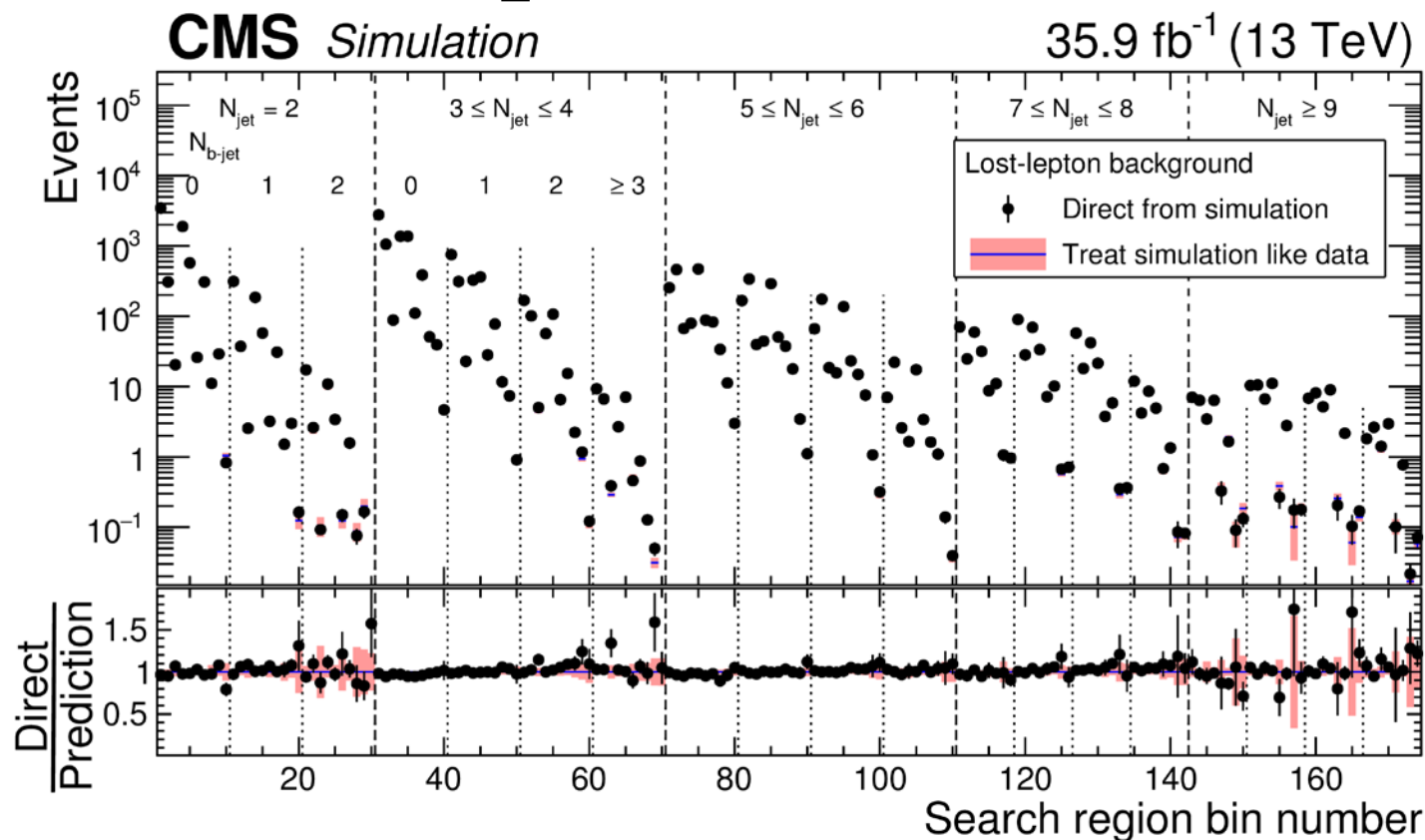
- Common area = probability that “new physics” can be described by “standard physics”

$$\kappa = \alpha + \beta = 1 - \text{erf} \left( \frac{\sigma_2 - \sigma_1}{\sqrt{2}} \right)$$

$$Q = \sigma_2 - \sigma_1 = \sqrt{S+B} - \sqrt{B}$$

- (factor 2 included by convention)
- Full derivation:  
[arXiv:physics/9811025](https://arxiv.org/abs/physics/9811025)

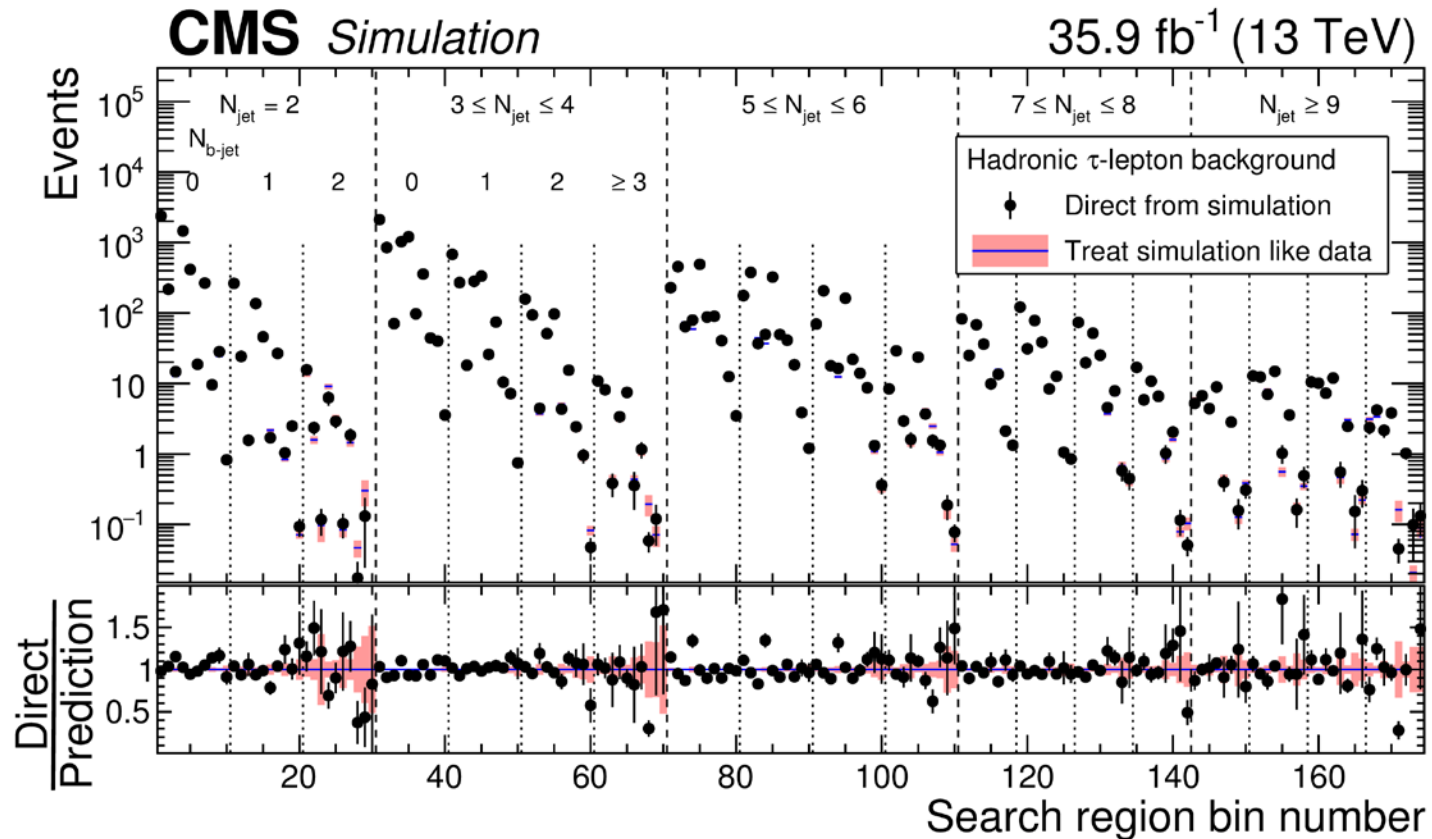
# Lost Lepton Closure Test



- Test parametrization of efficiencies in simulation
- “Zero Lepton” signal region vs. extrapolation from Single Lepton control region (only  $t\bar{t}$ ,  $W$ +jets, single top samples)
- Largest systematic uncertainty: statistical uncertainty of control region

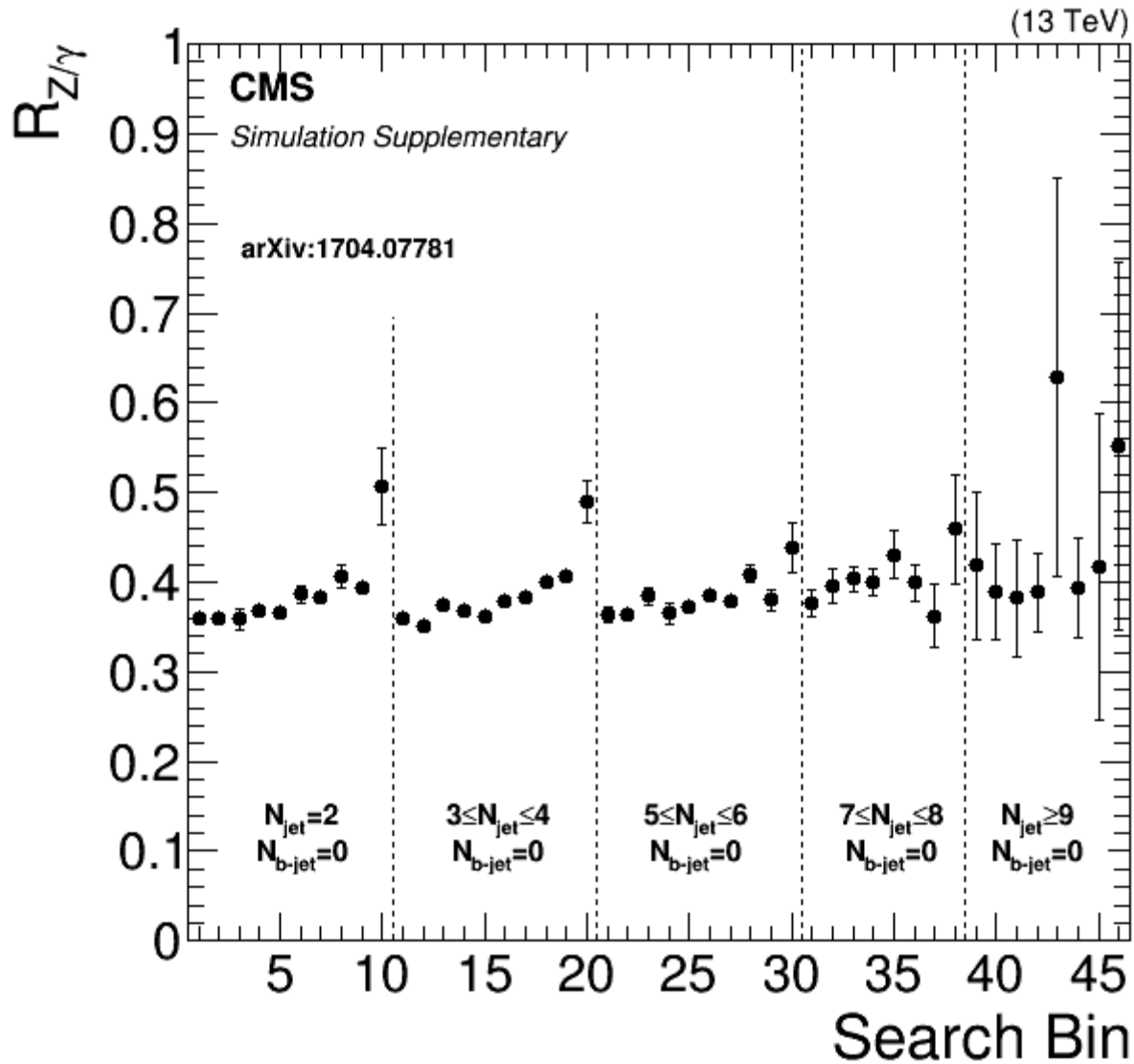


# Hadronic Tau Closure Test

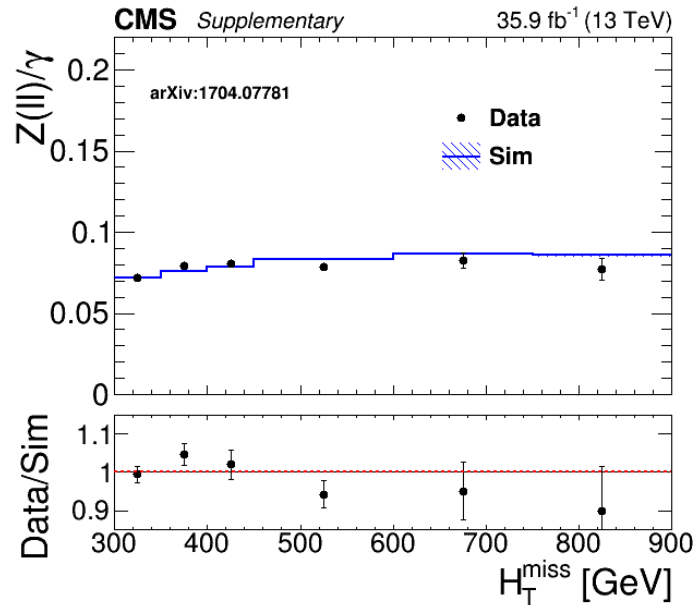
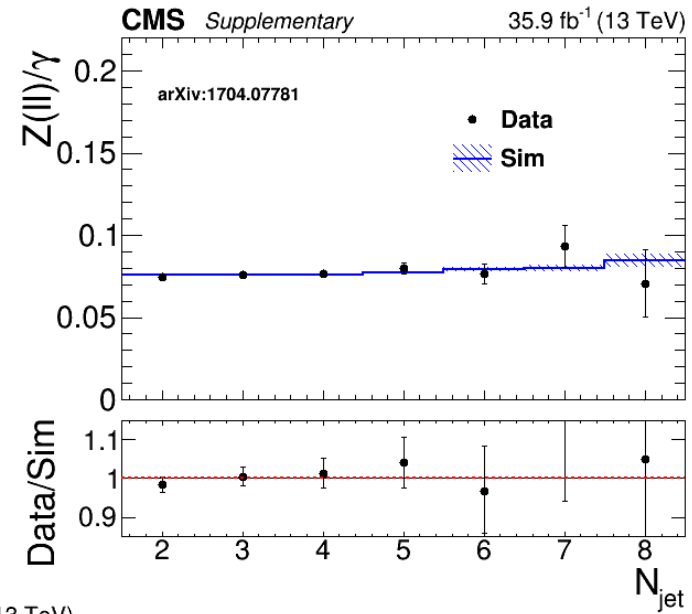
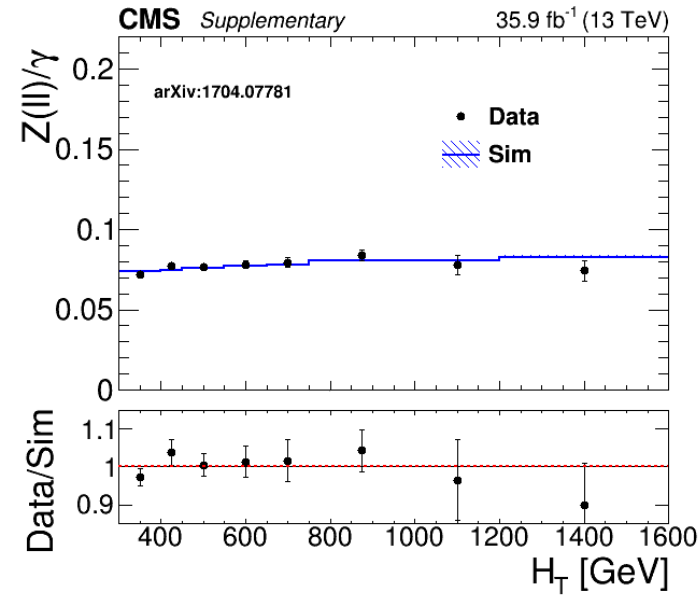


- Test accuracy of  $p_T$  template smearing in simulation
- Account for misidentification of  $\tau_h$  jets as b jets
- “Zero Lepton” signal region vs. extrapolation from Single Muon control region (only  $t\bar{t}$ ,  $W$ +jets, single top samples)
- Largest systematic uncertainty: statistical uncertainty of control region

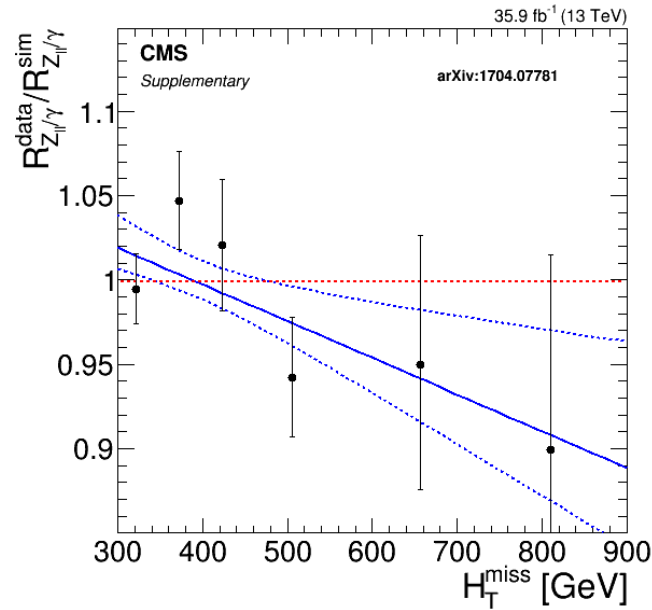
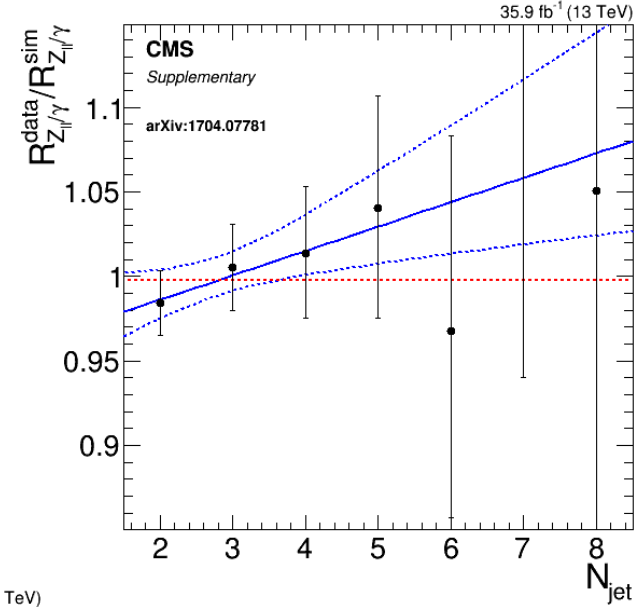
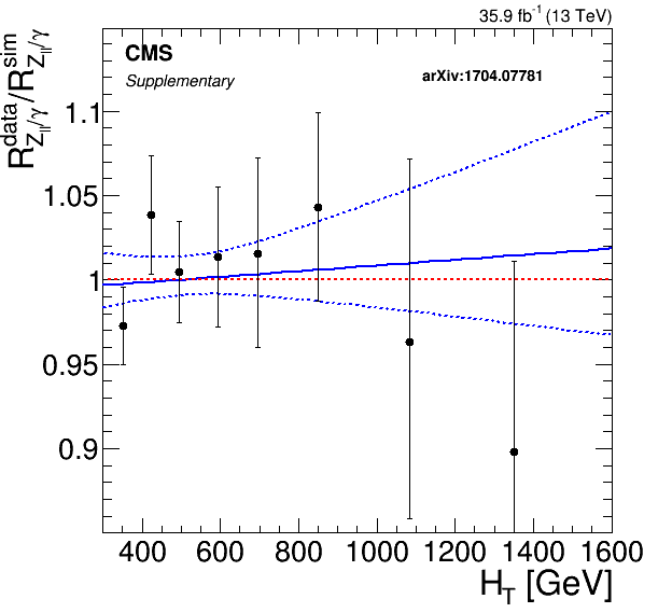
# $(Z \rightarrow \nu\nu)/\gamma$ Ratio



# $(Z \rightarrow \ell\ell)/\gamma$ Ratios



# $(Z \rightarrow \ell\ell)/\gamma$ Double Ratios (Data/MC)



# $Z \rightarrow \nu\nu$ Background, $N_{\text{b-jet}} > 0$

## $\gamma$ +jets Control Region

- ✓  $\sigma(\gamma\text{+jets})/\sigma(Z\rightarrow\nu\nu) \approx 5$
- ✗ Different couplings (EM vs. weak)
- ✗ Different masses (0 vs.  $\neq 0$ )
- Smaller statistical uncertainty but larger systematic uncertainties

## $Z\rightarrow\ell\ell$ Control Regions

- ✗  $\mathcal{B}(Z\rightarrow\ell\ell)/\mathcal{B}(Z\rightarrow\nu\nu) \approx 1/3$
- ✓ Same couplings (weak)
- ✓ Same masses ( $\neq 0$ )
- Larger statistical uncertainty but smaller systematic uncertainties

$$\left(N_{Z\rightarrow\nu\nu}^{\text{pred}}\right)_{j,b,k} = \left(N_{Z\rightarrow\nu\nu}^{\text{pred}}\right)_{j,0,k} \mathcal{F}_{j,b}$$

From  $\gamma$ +jets method

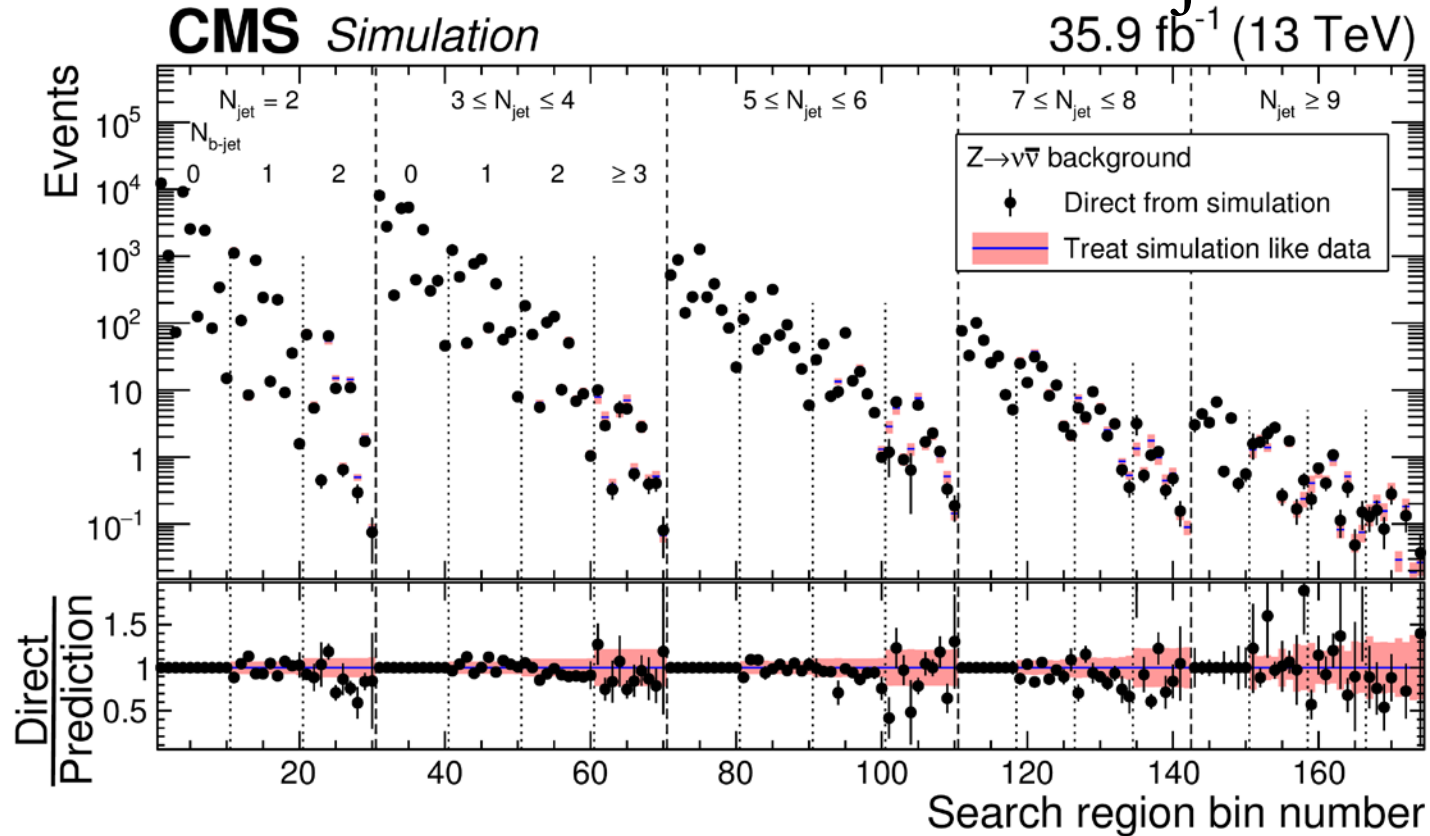
$$\mathcal{F}_{j,b} = \left(N_{Z\rightarrow\ell^+\ell^-}^{\text{data}} - \beta_{\ell\ell}^{\text{data}}\right)_{j,b} / \left(N_{Z\rightarrow\ell^+\ell^-}^{\text{data}} - \beta_{\ell\ell}^{\text{data}}\right)_{j,0}; \quad j = 0, 1, 2, 3$$

$$\mathcal{F}_{4,b} = \mathcal{F}_{3,b} \left(\mathcal{F}_{4,b}^{\text{sim}} / \mathcal{F}_{3,b}^{\text{sim}}\right)$$

$N_{\text{b-jet}}$  extrapolation factor  
based on MC for highest  $N_{\text{jet}}$  bin  
(limited # events in data)

$N_{\text{jet}}$  extrapolation factor  
calculated from data  
 $\beta$ : correction for  $N_{\text{b-jet}}$ -dependent purity

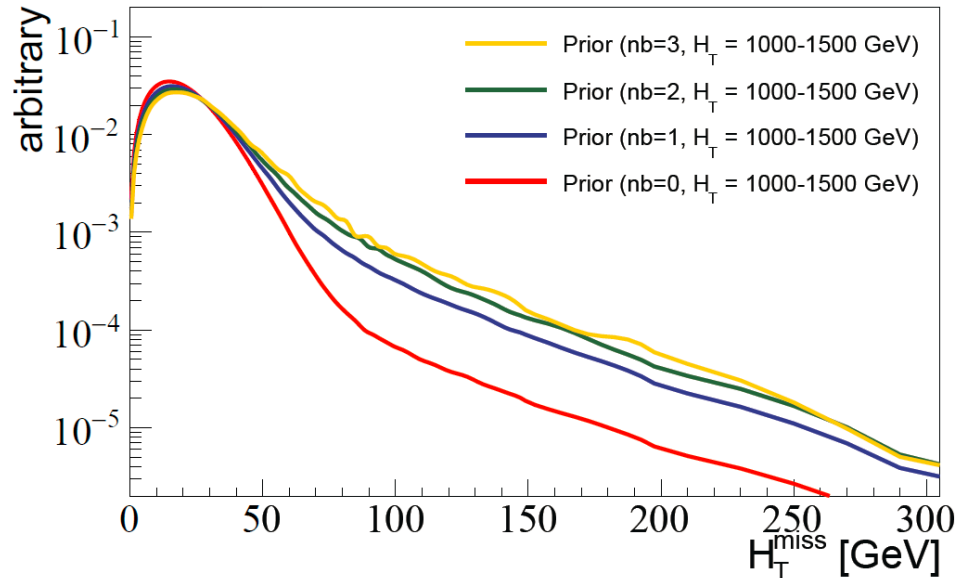
# $Z \rightarrow \nu\bar{\nu}$ Closure Test ( $N_{b\text{-jet}} > 0$ )



- Test accuracy of  $N_{b\text{-jet}}$  extrapolation from  $Z \rightarrow \ell\bar{\ell}$  control regions
- $N_{b\text{-jet}} = 0$  bins are exact by construction of  $R_{Z \rightarrow \nu\bar{\nu}/\gamma}$  from simulation
- Systematic uncertainty dominated by limited number of events in CRs

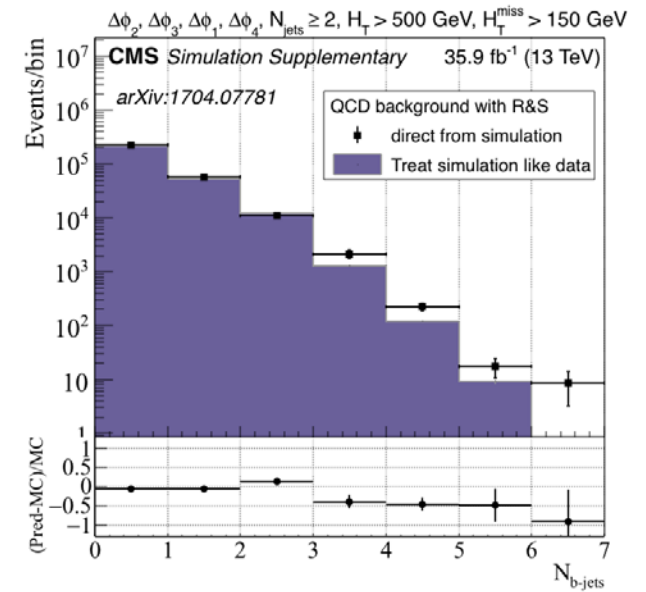
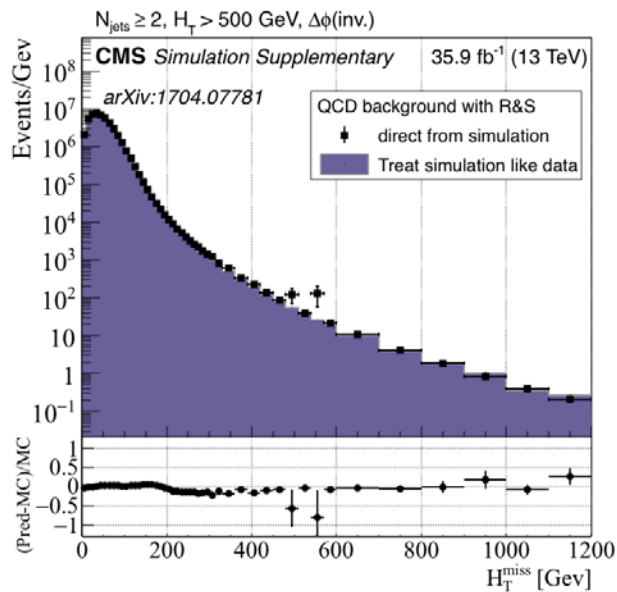
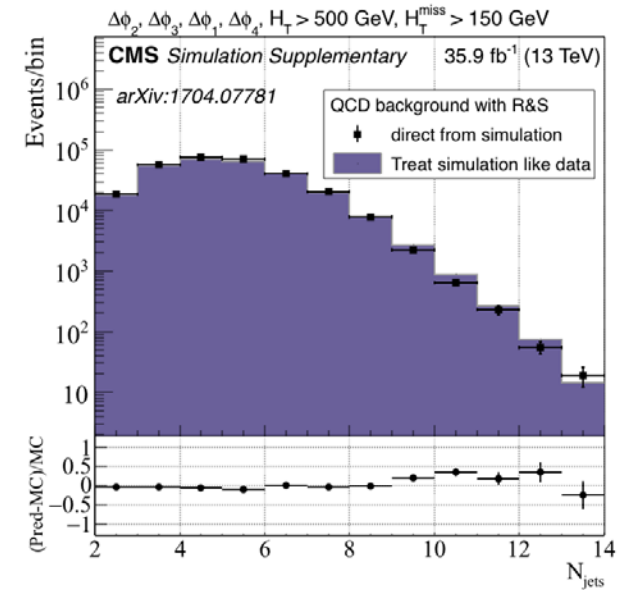
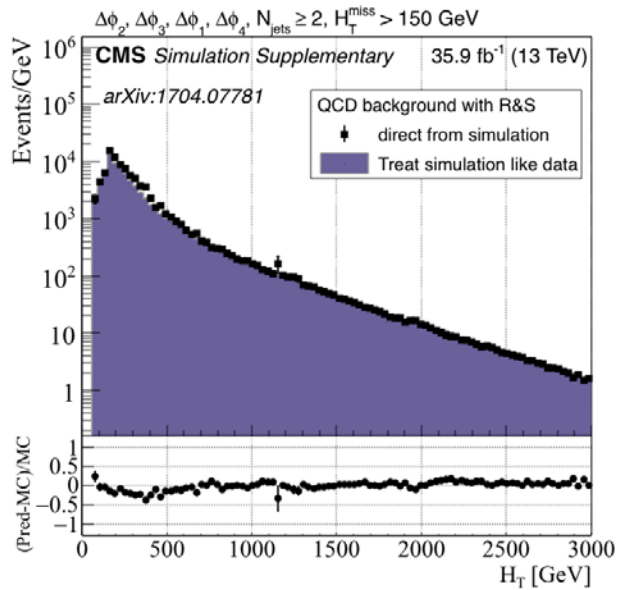


# QCD R+S Further Details

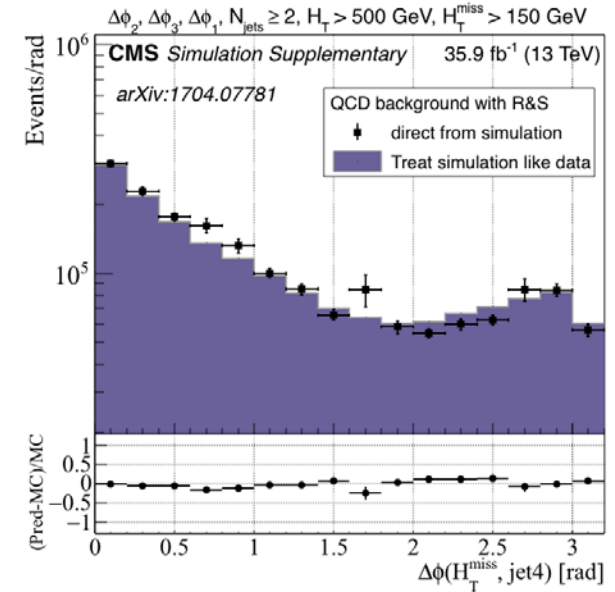
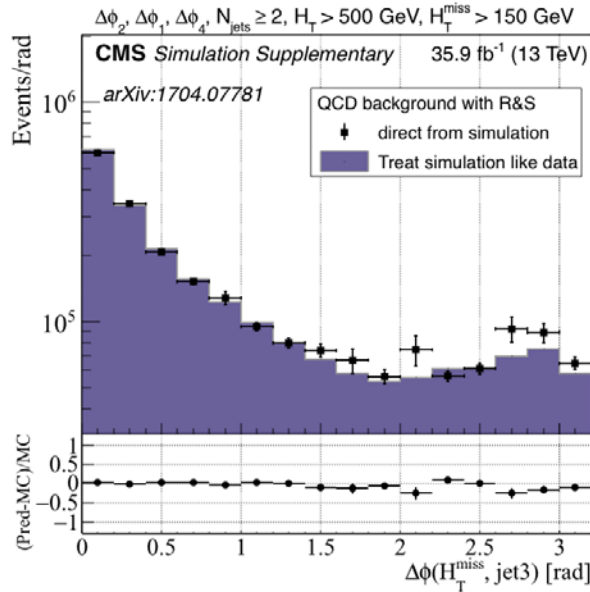
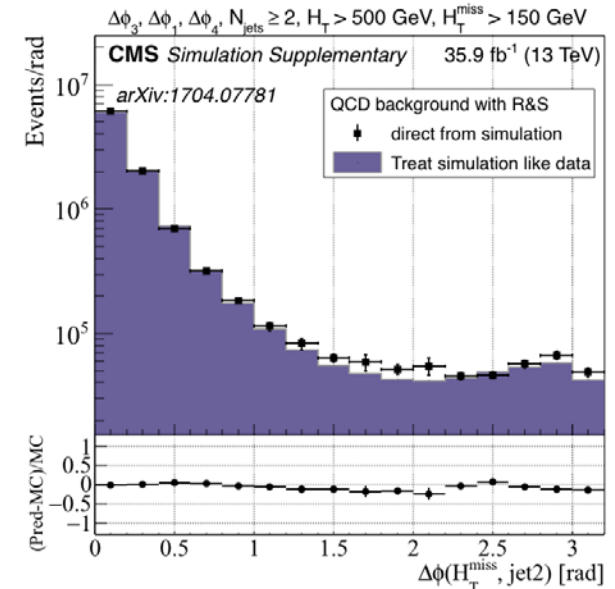
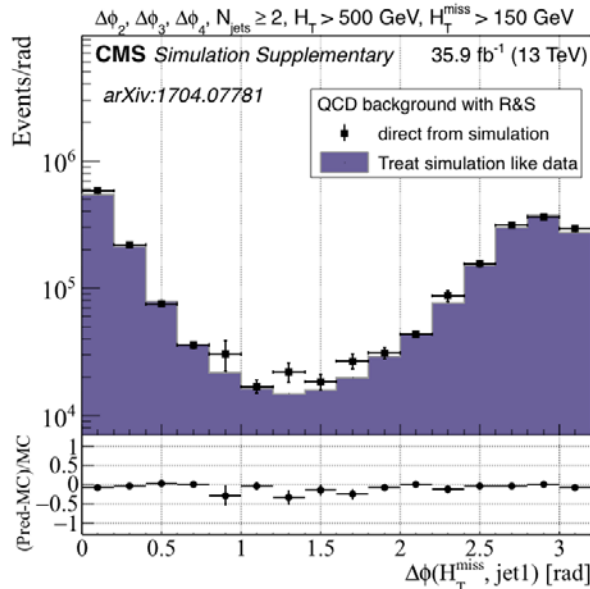


- Use jets w/  $p_T < 15$  GeV and  $|\eta| < 5.0$
  - $H_T^{\text{miss}}$  prior binning in  $H_T$  accounts for jets failing selection
  - $H_T^{\text{miss}}$  prior distribution also binned in  $N_{\text{b-jet}}$  (see above), accounts for neutrinos in heavy flavor decays
  - Prior  $\pi(\vec{J}_{\text{part}})$  also includes distribution of  $\Delta\phi(H_T^{\text{miss}}, j_1)$  if  $N_{\text{b-jet}} = 0$ ,  $\Delta\phi(H_T^{\text{miss}}, \text{leading b-jet})$  if  $N_{\text{b-jet}} > 0$
- Consider magnitude *and* direction of  $H_T^{\text{miss}}$

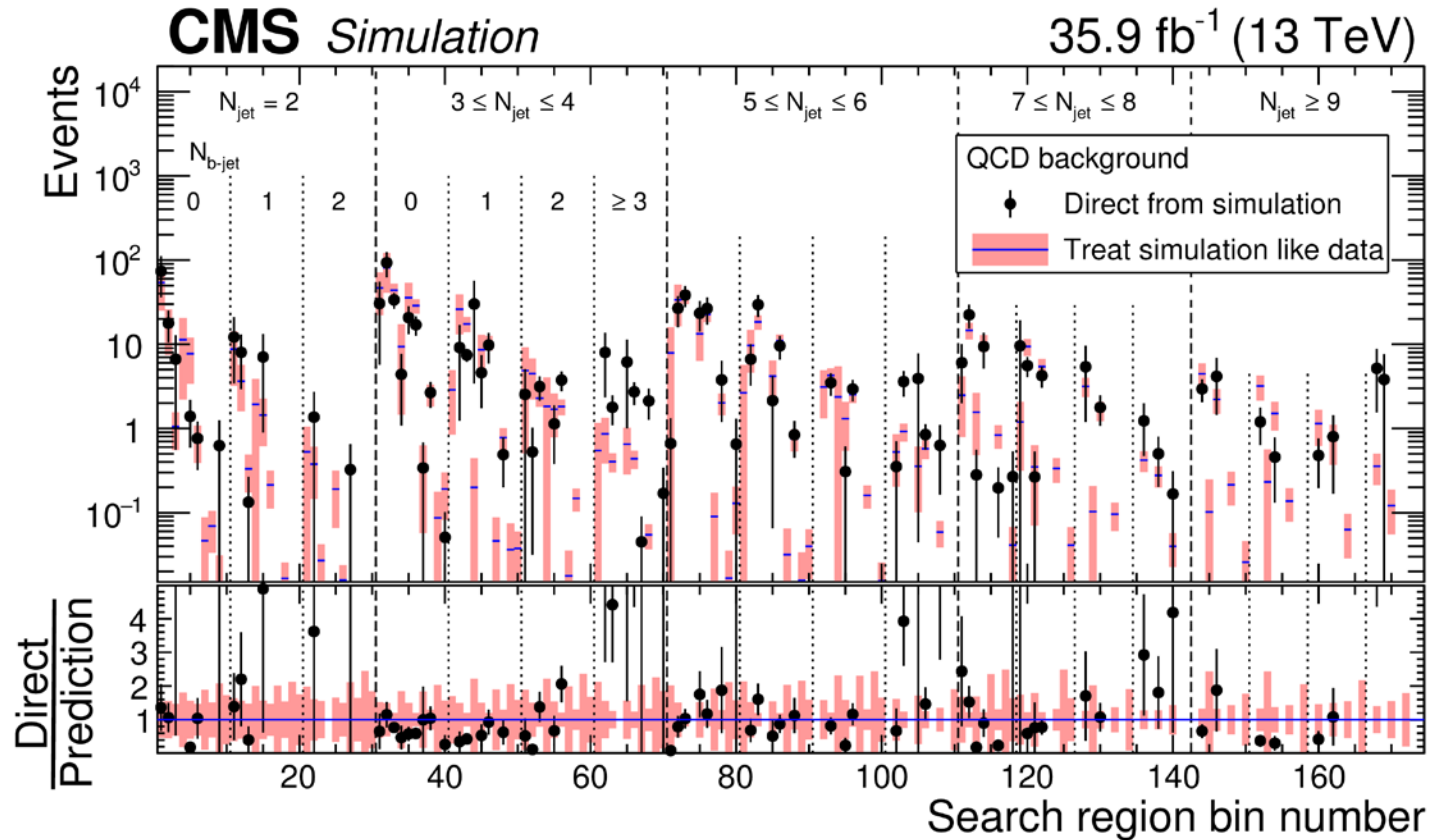
# QCD R+S Closure Plots (1)



# QCD R+S Closure Plots (2)

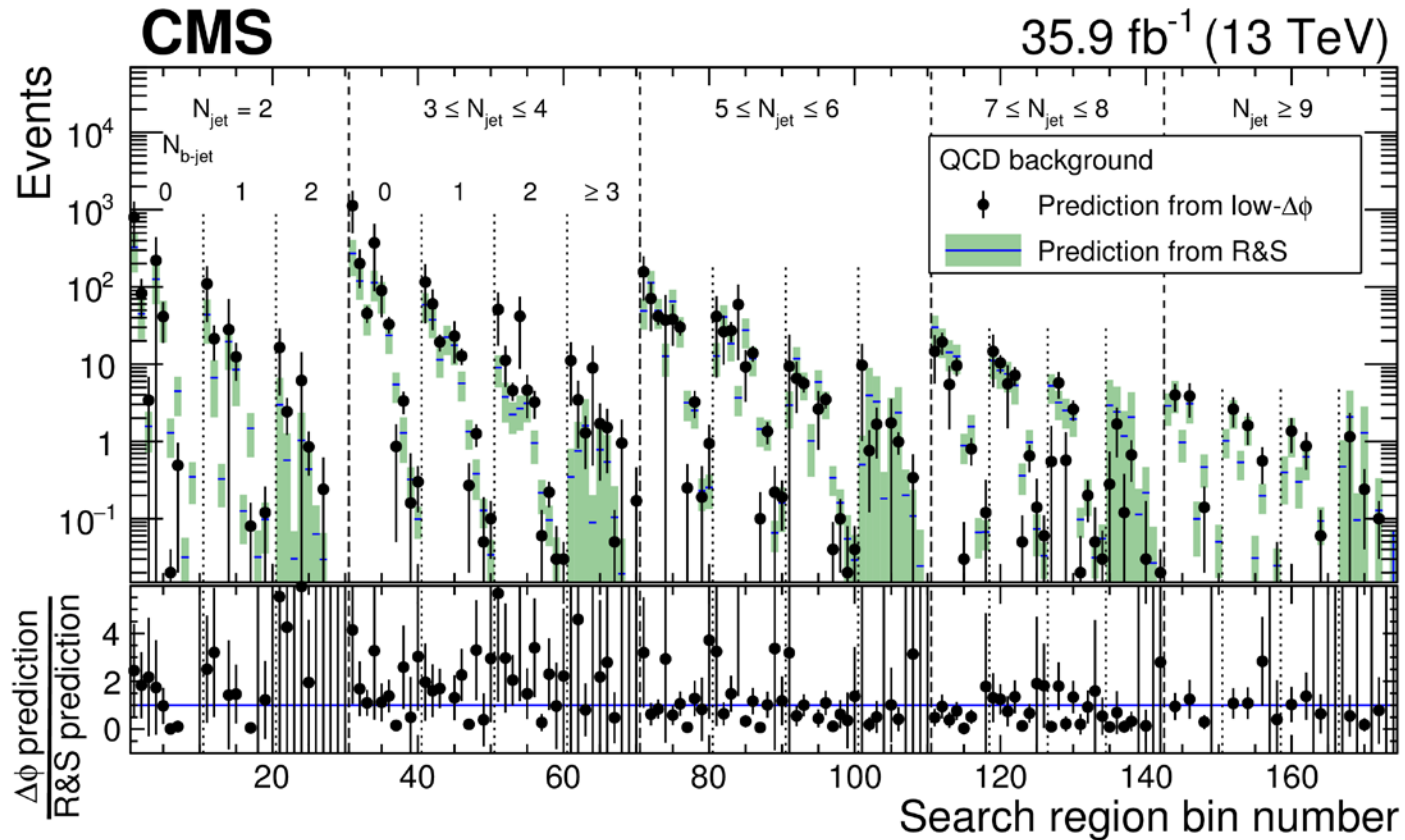


# QCD Closure Test ( $\Delta\phi$ extrapolation)



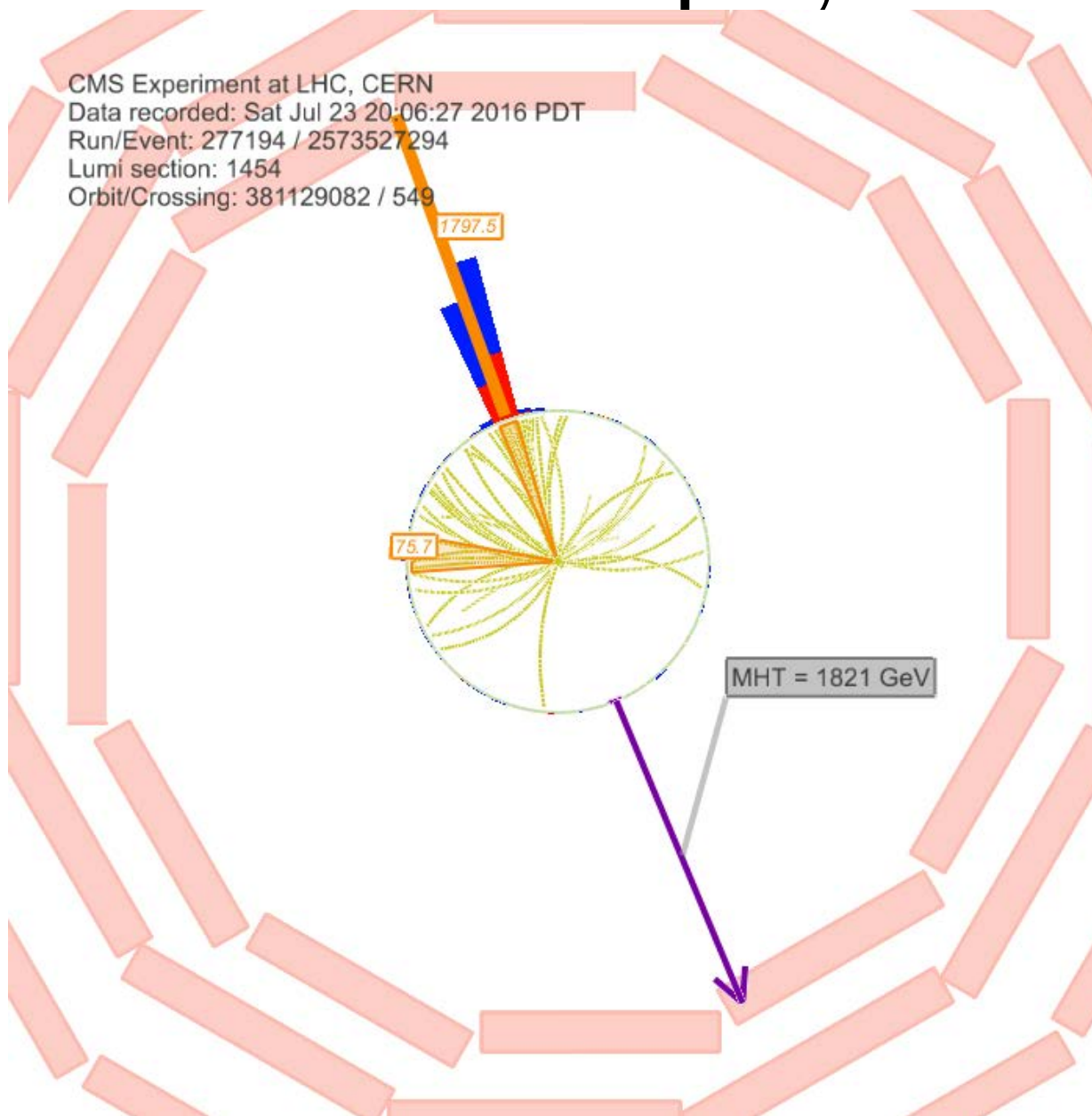
- Test parametrization of high/low  $\Delta\phi$  ratio:  $R_{i,j,k}^{\text{QCD}} = K_{ij}^{\text{data}} S_{ik}^{\text{sim}}$
- $K^{\text{data}}$  from low- $H_T^{\text{miss}}$  sideband;  $i, j, k = H_T, N_{\text{jet}}, H_T^{\text{miss}}$  indices
- Systematic uncertainties from statistical uncertainty in QCD MC & estimations of magnitude of jet mismeasurements

# QCD Crosscheck (R+S vs. $\Delta\phi$ )



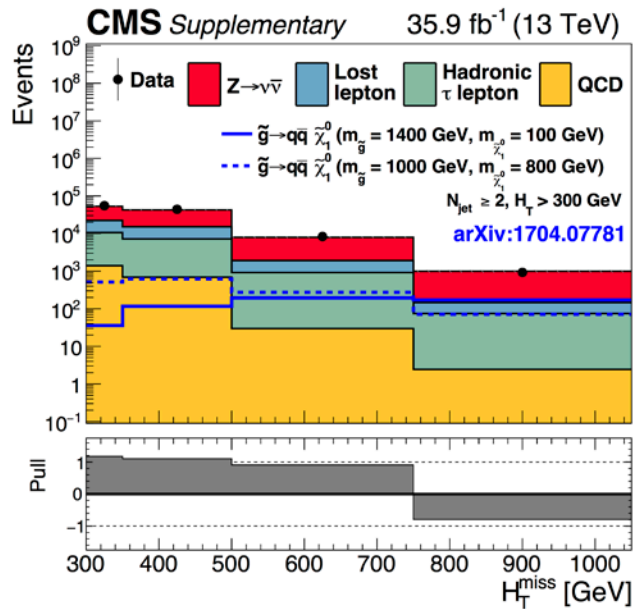
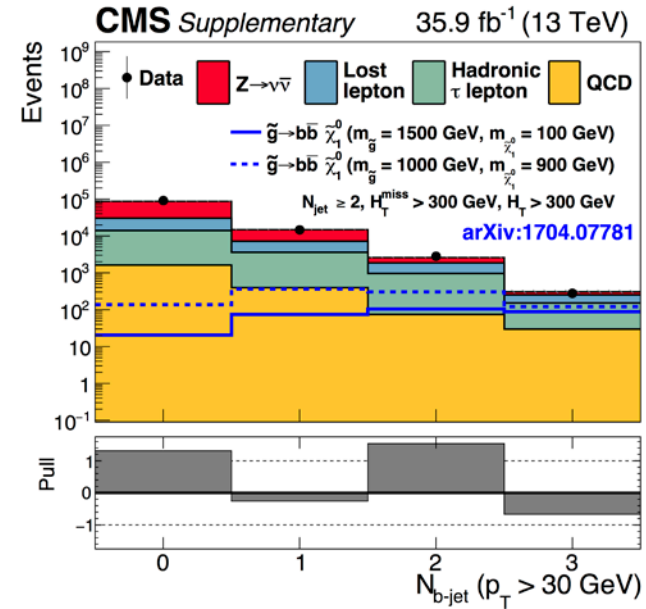
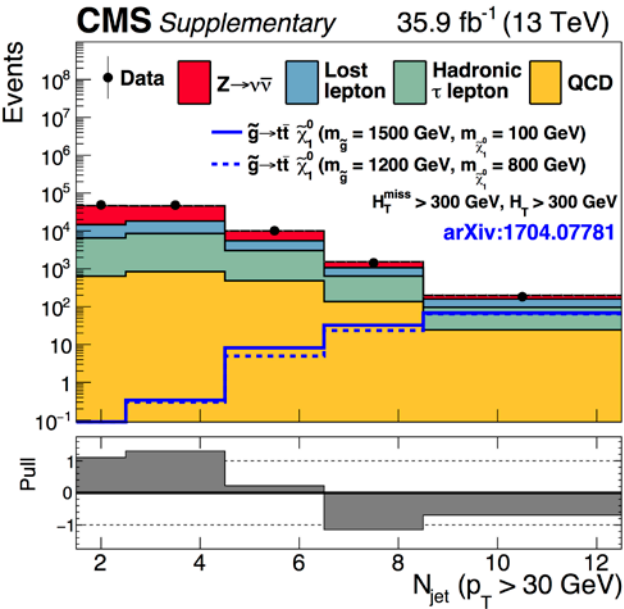
- Good agreement between two methods (within uncertainties)

# Event Display

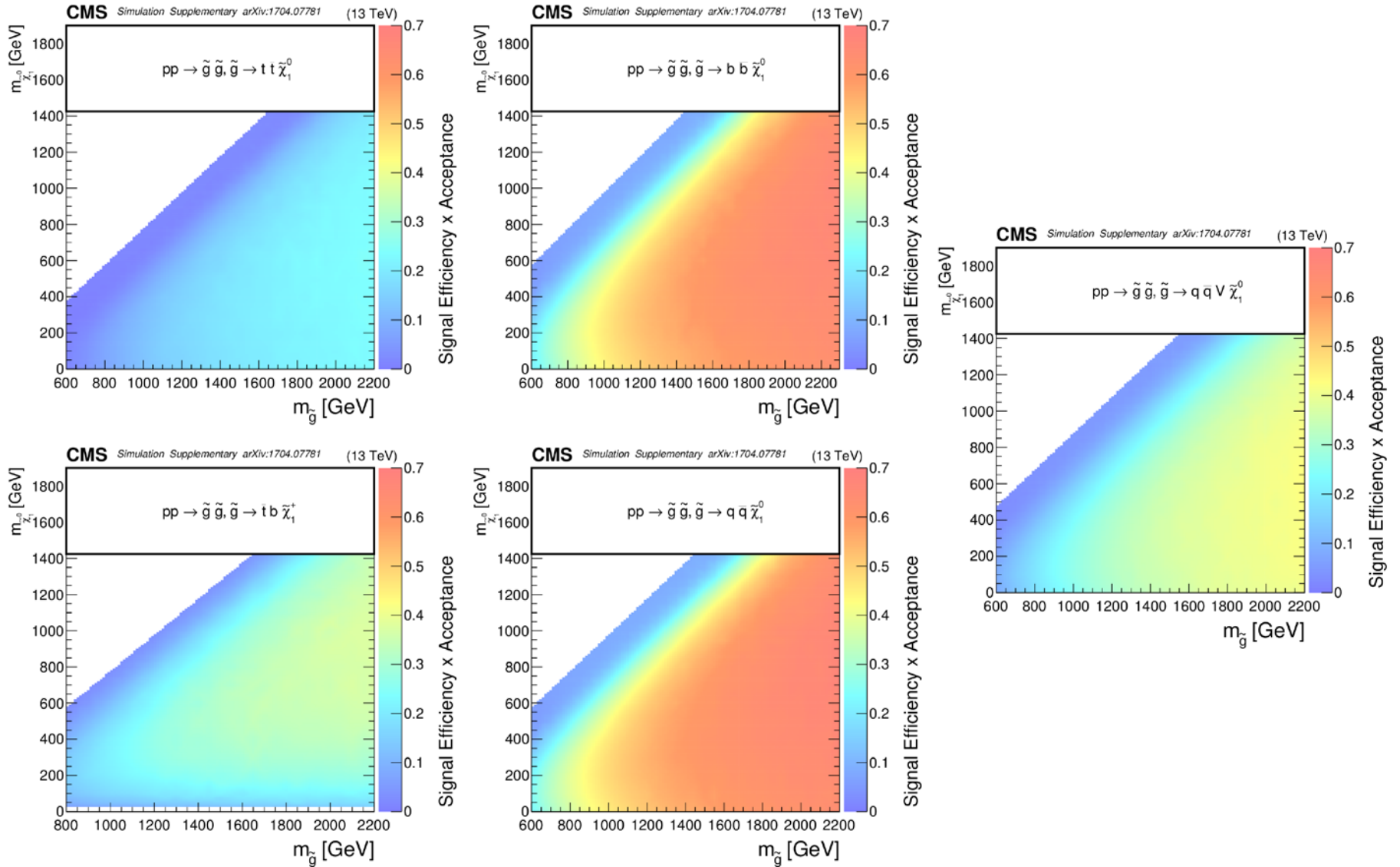




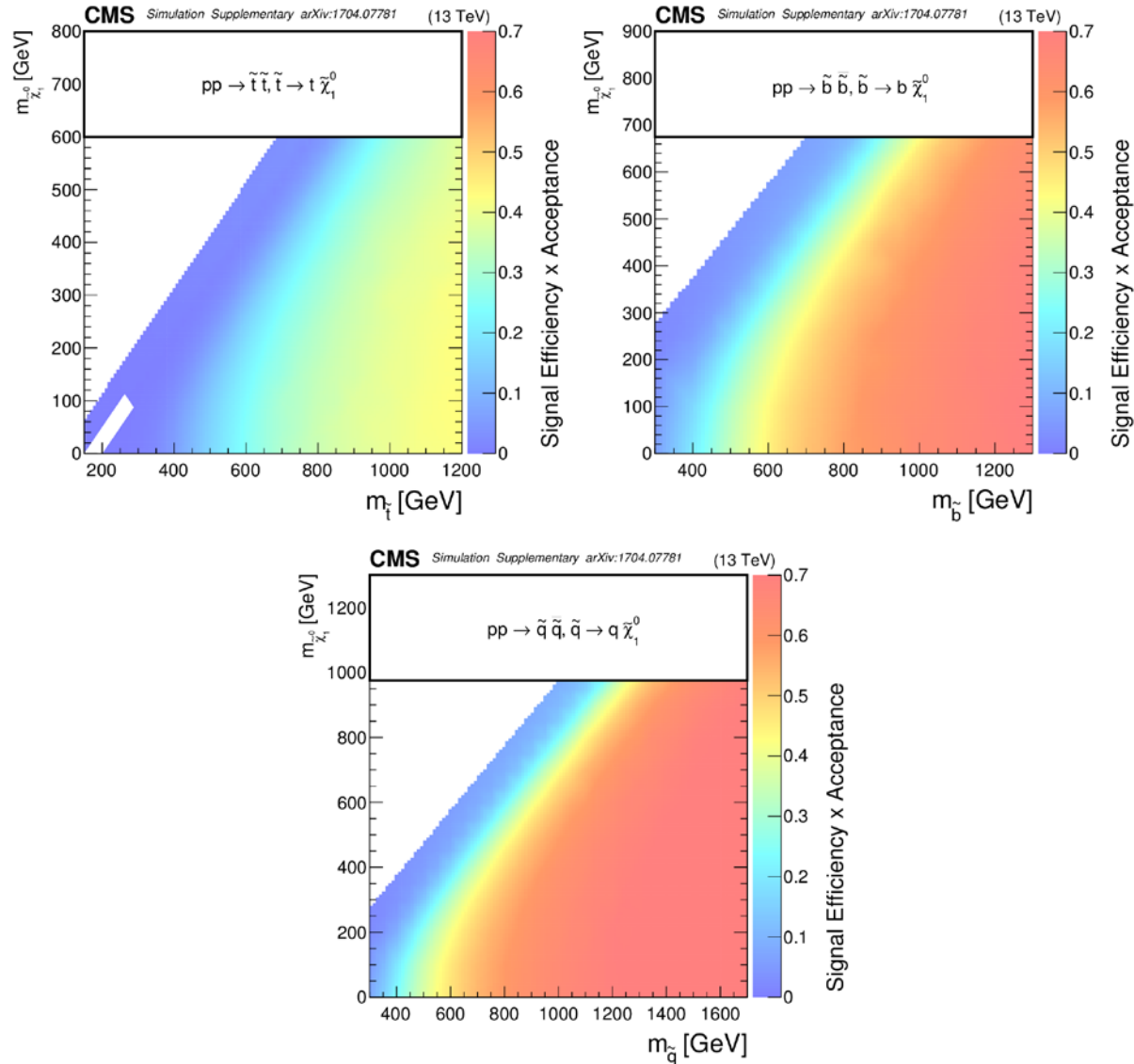
# Results: 1D Projections



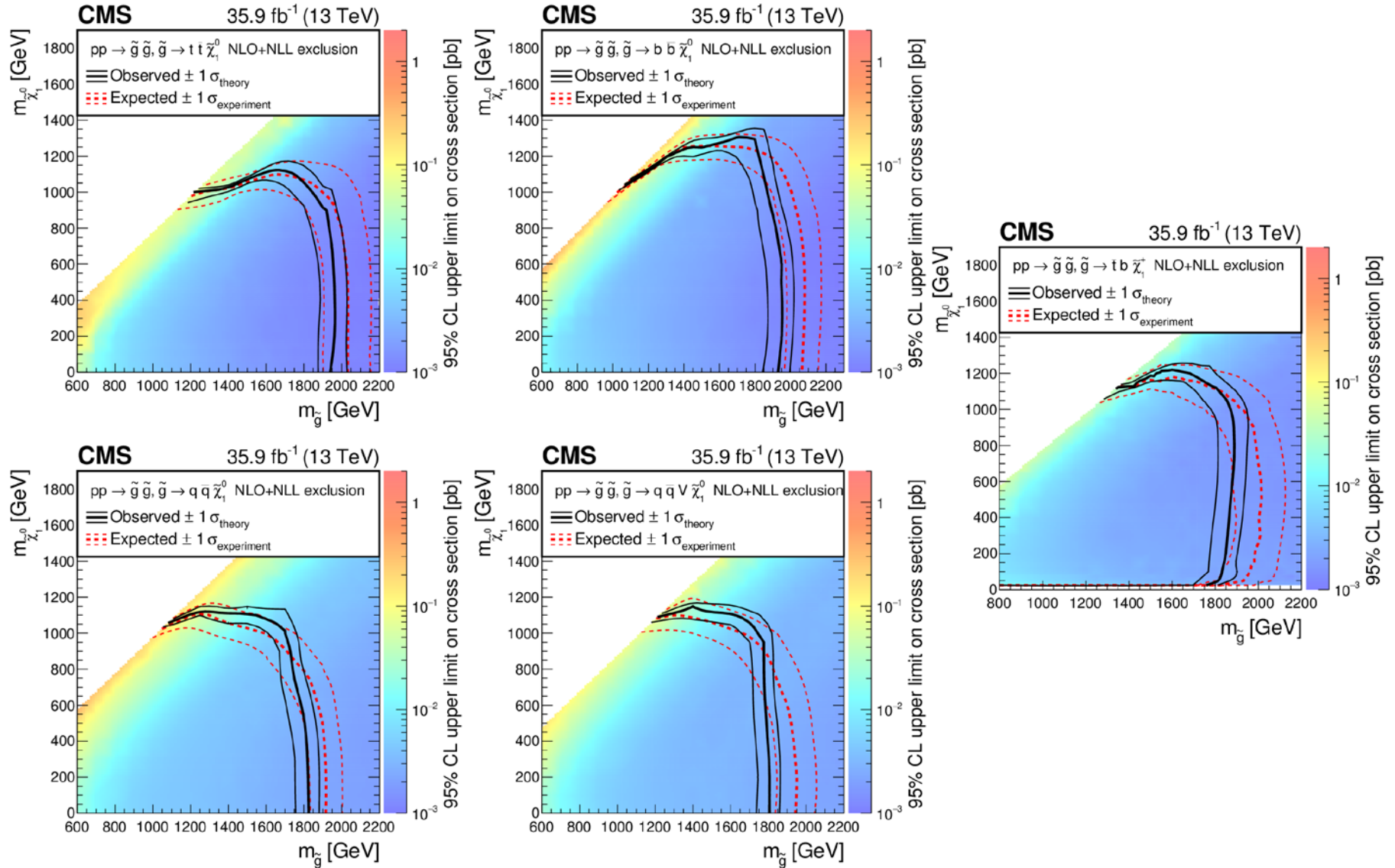
# Signal Efficiencies (gluinos)



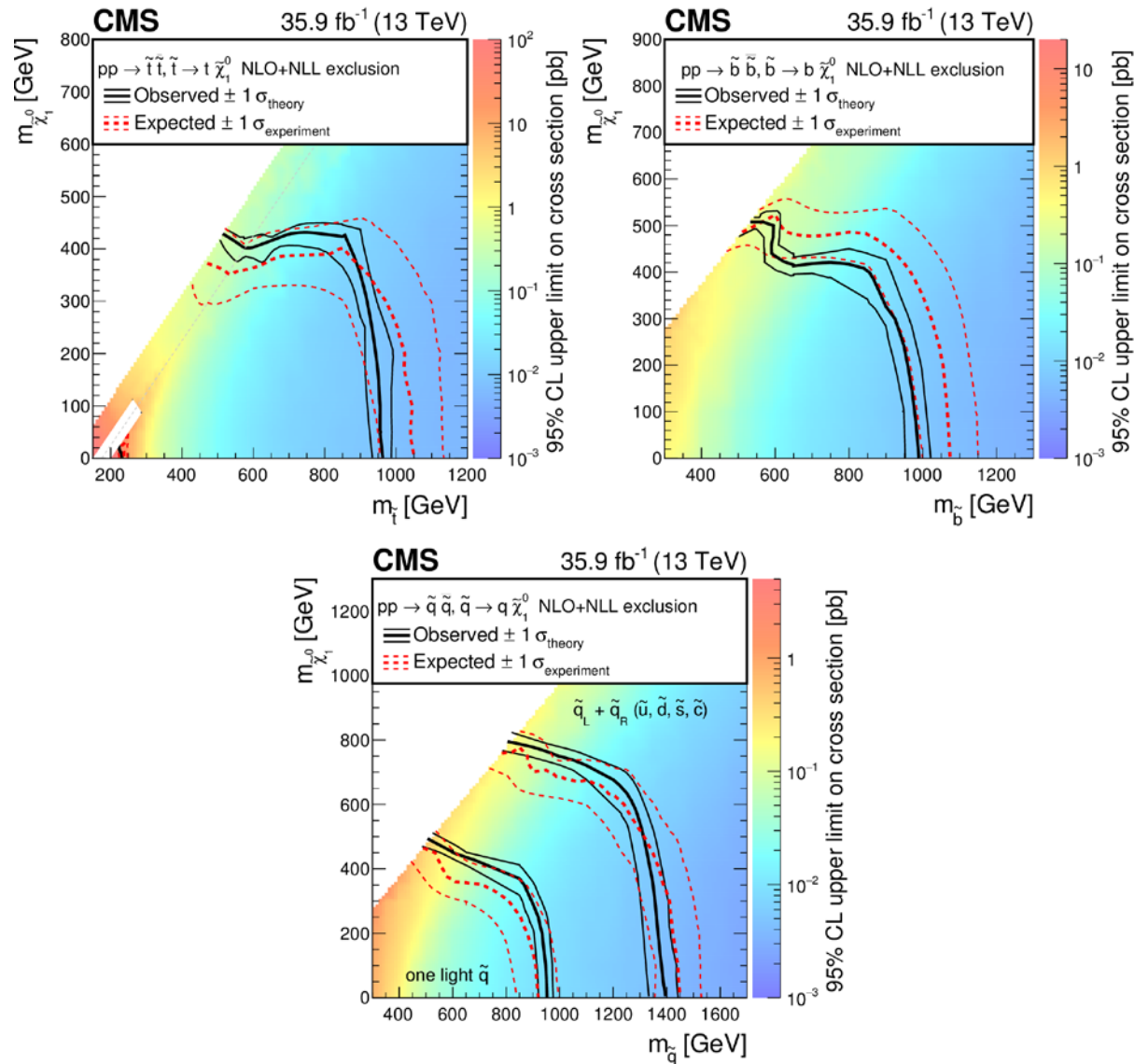
# Signal Efficiencies (squarks)



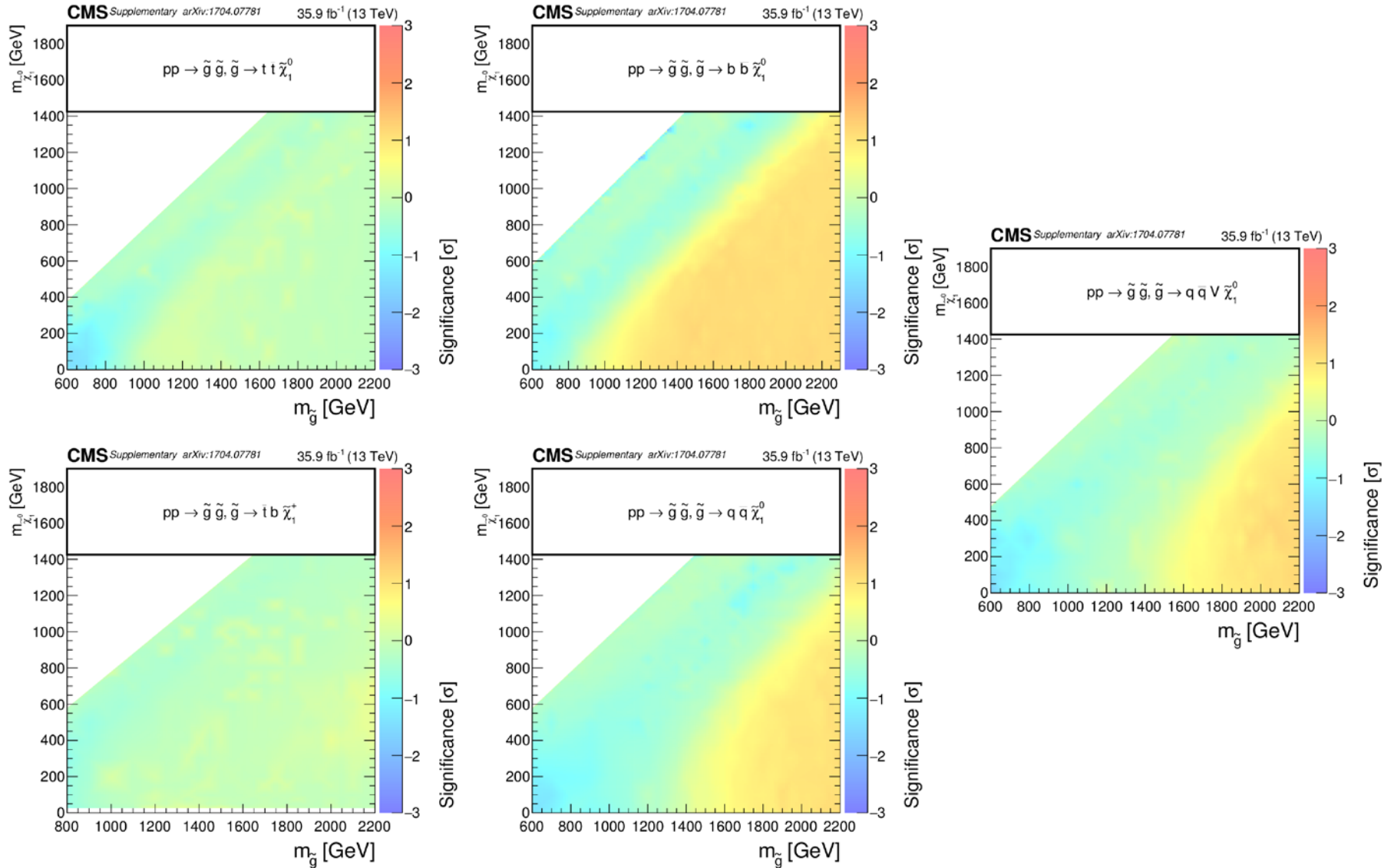
# Limits (gluinos)



# Limits (squarks)

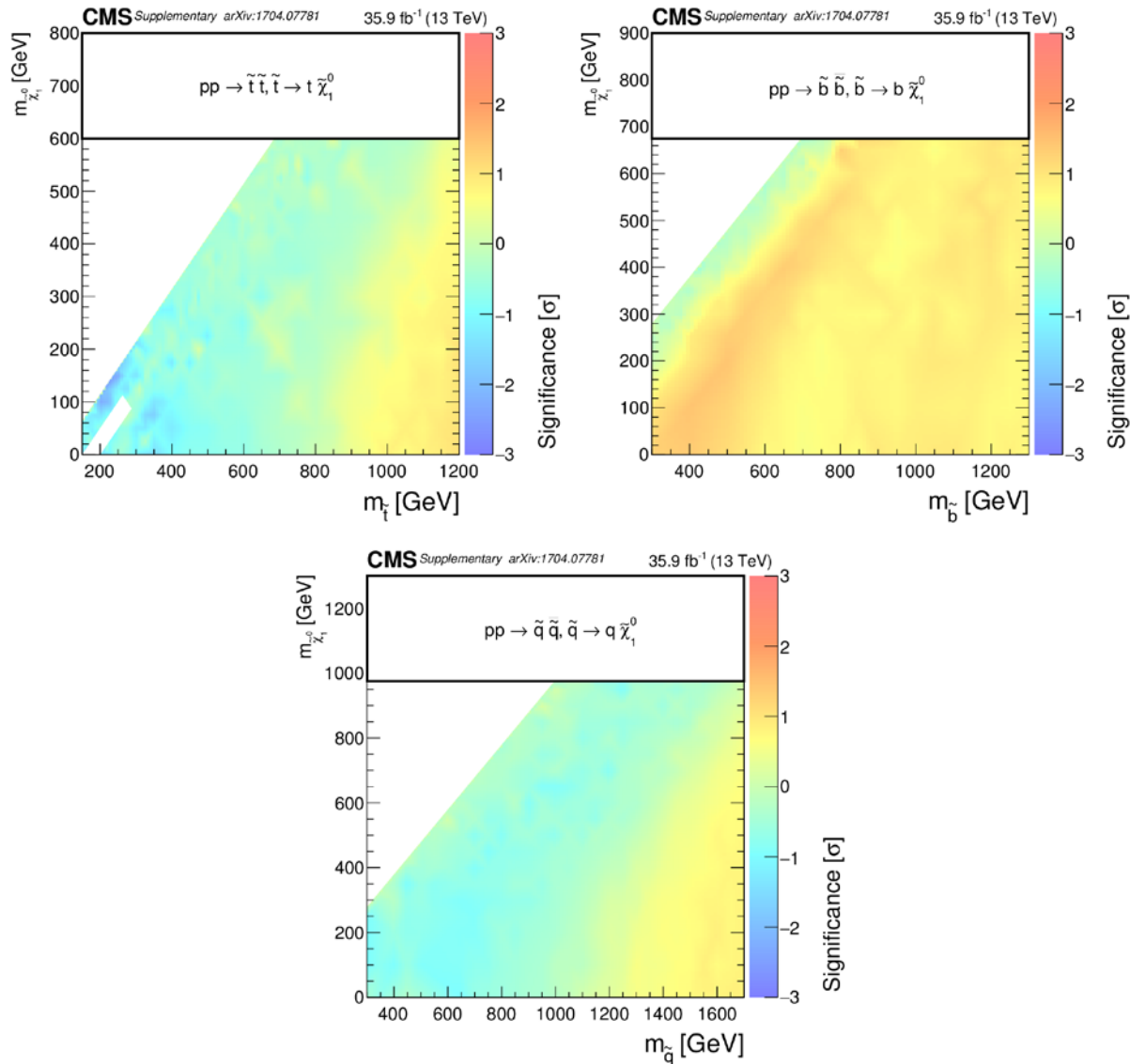


# Significances (gluinos)





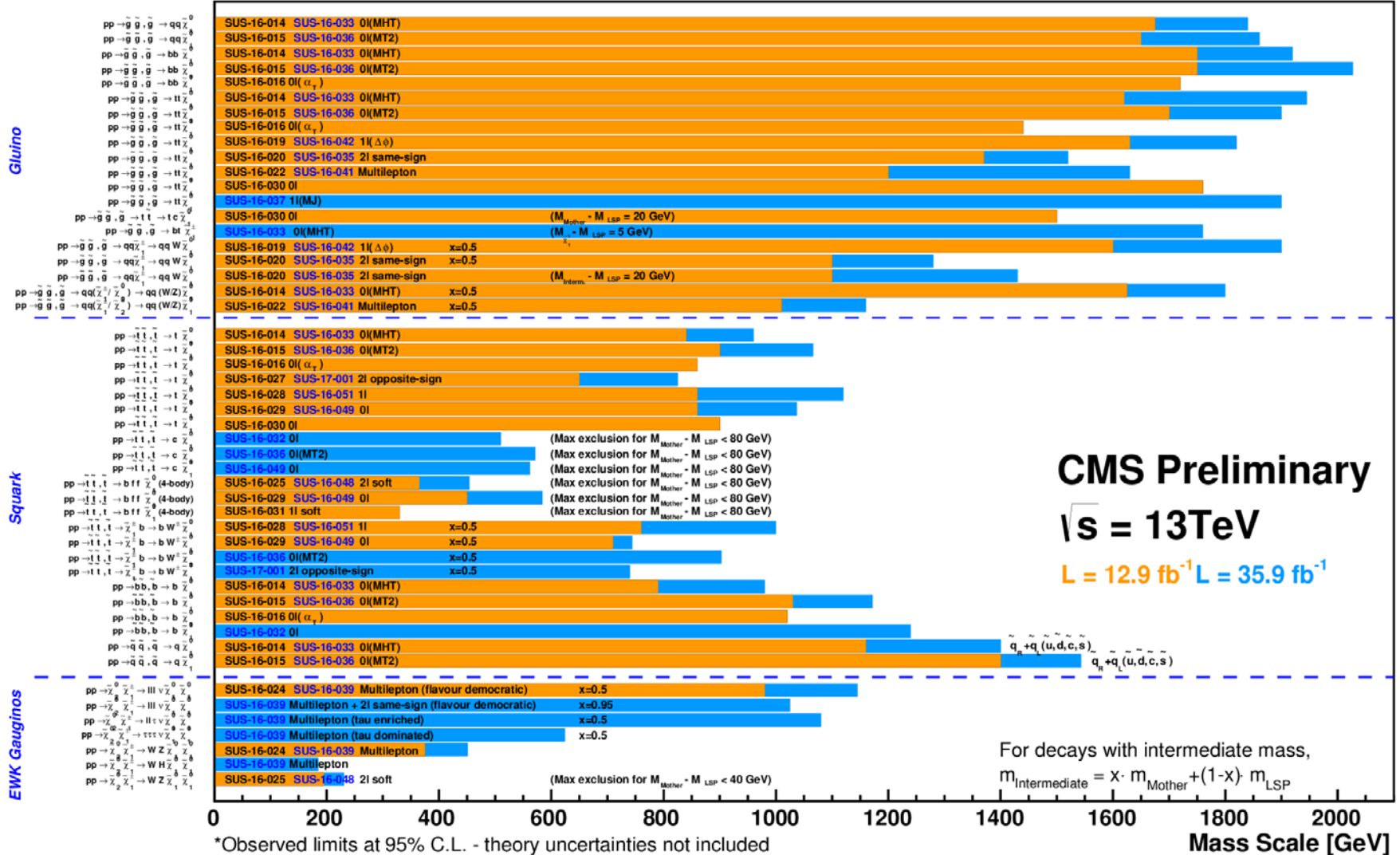
# Significances (squarks)



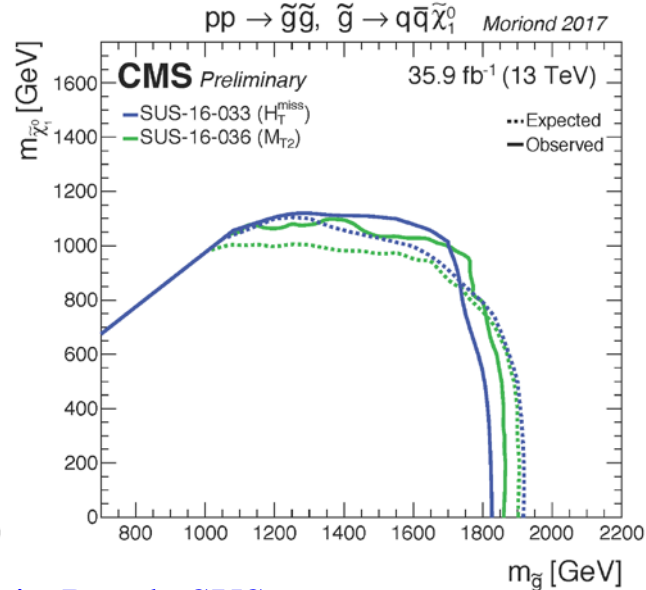
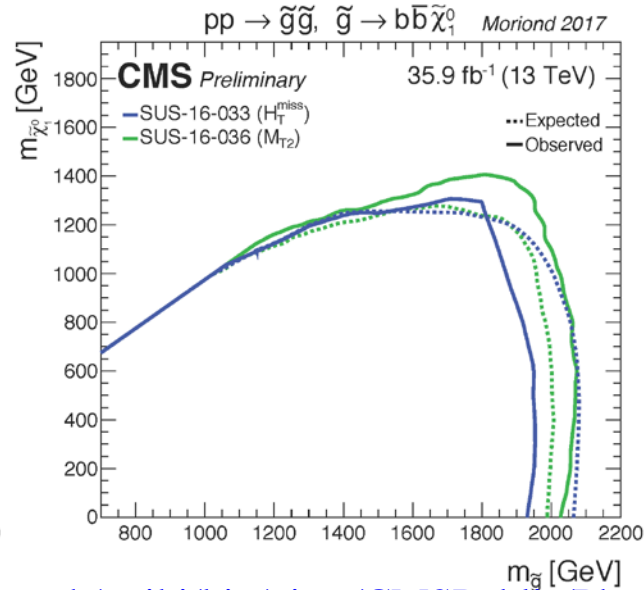
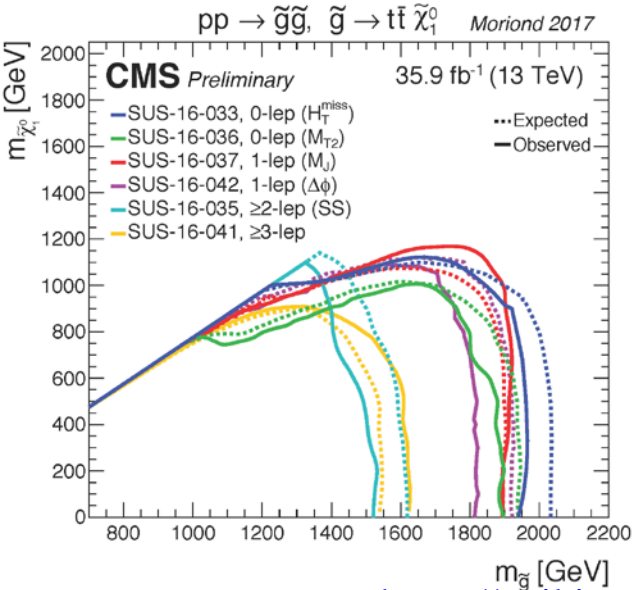
# SUSY 13 TeV Summary (CMS)

Selected CMS SUSY Results\* - SMS Interpretation

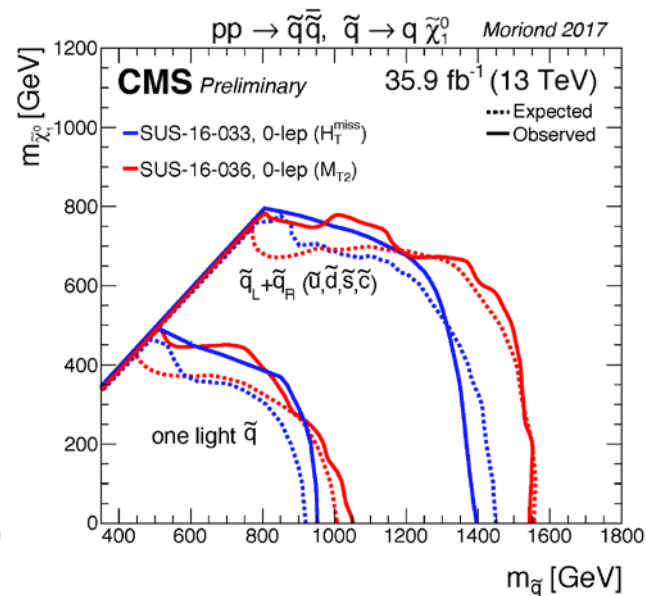
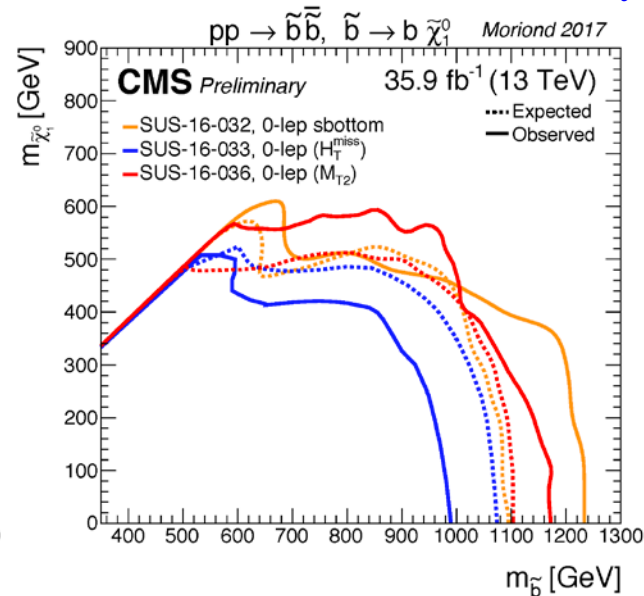
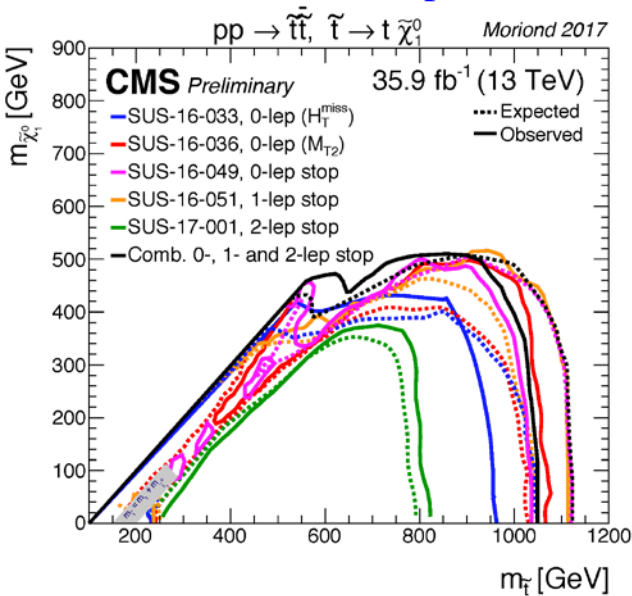
ICHEP '16 - Moriond '17



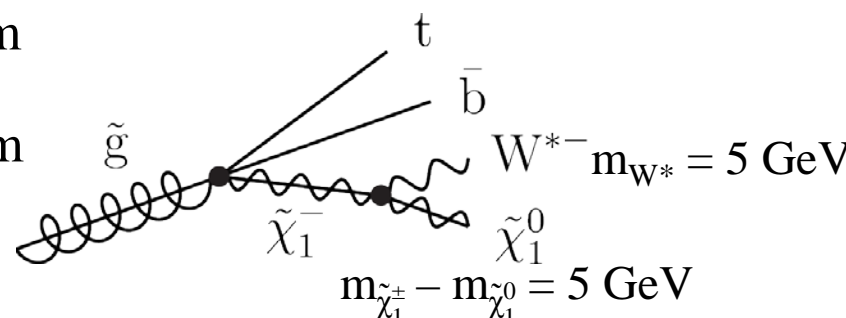
# Limit Comparisons (CMS)



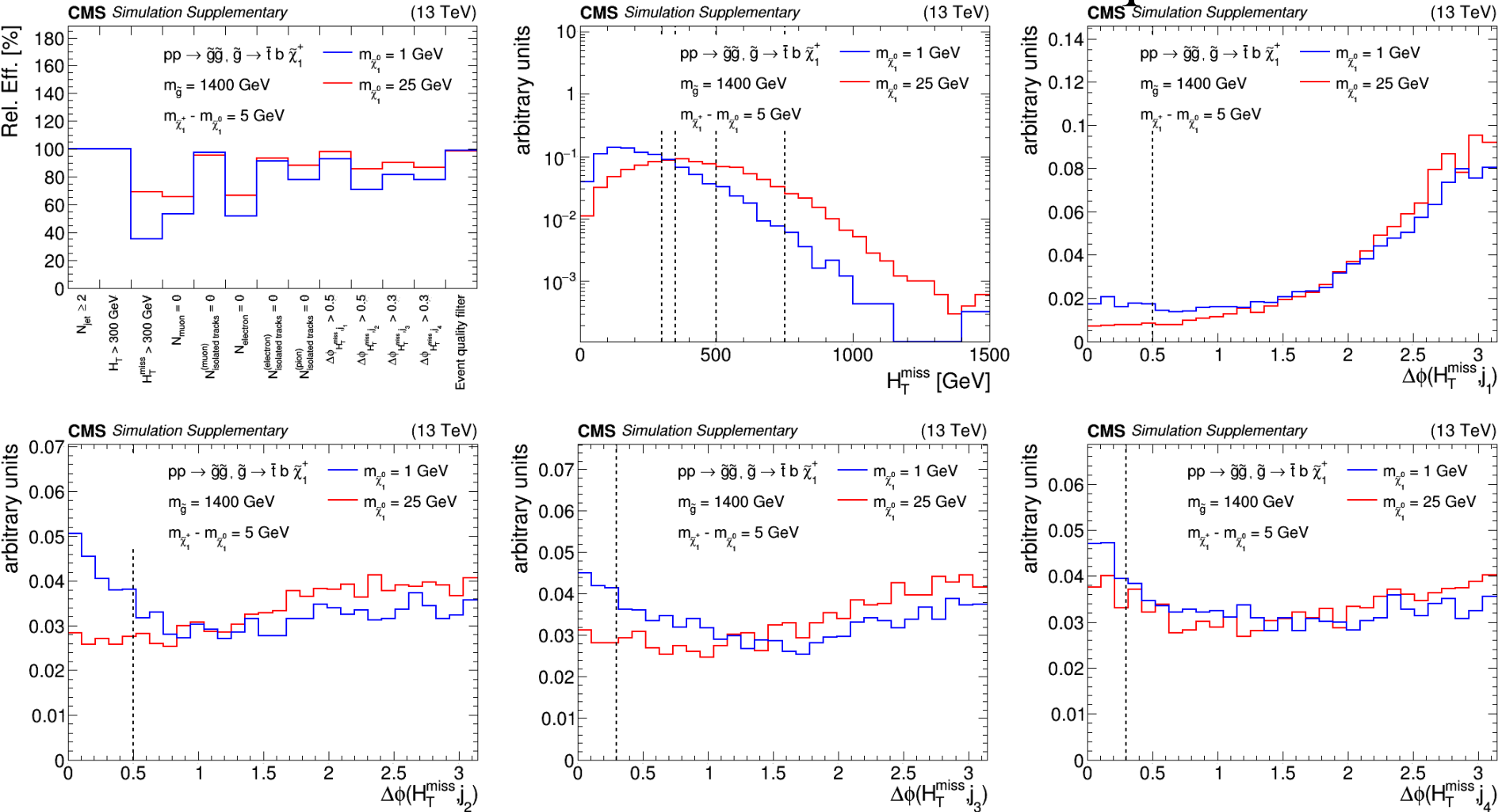
<https://twiki.cern.ch/twiki/bin/view/CMSPublic/PhysicsResultsSUS>



# Details: $\tilde{g} \rightarrow t \bar{b} \tilde{\chi}_1^\pm$ with $m_{\tilde{\chi}_1^0} \rightarrow 0$

- Near-degeneracy between  $\tilde{\chi}_1^\pm$  and  $\tilde{\chi}_1^0$ 
    - As  $m_{\tilde{\chi}_1^0} \rightarrow 0$ ,  $m_{W^*} \approx m_{\tilde{\chi}_1^0}$
  - $\tilde{\chi}_1^0$  carries smaller fraction of  $\tilde{\chi}_1^\pm$  momentum
  - $W^*$  carries larger fraction of  $\tilde{\chi}_1^\pm$  momentum
  - $\tilde{\chi}_1^\pm$  mass is lower  $\rightarrow$  more boosted
- 
- The diagram illustrates the decay of a gluino ( $\tilde{g}$ ) into a top quark ( $t$ ), an anti-bottom quark ( $\bar{b}$ ), and a stauino ( $\tilde{\chi}_1^-$ ). The gluino is represented by a thick curly line on the left. It splits into a top quark and an anti-bottom quark via a vertex. The remaining part of the gluino line continues as a wavy line representing a  $W^{*-}$  boson, which then decays into a stauino ( $\tilde{\chi}_1^-$ ) and a selectron ( $\tilde{e}$ ). The stauino is shown as a thin solid line, and the selectron as a thick solid line. The mass difference between the stauino and the selectron is indicated as  $m_{\tilde{\chi}_1^\pm} - m_{\tilde{\chi}_1^0} = 5 \text{ GeV}$ . The mass of the  $W^{*-}$  boson is indicated as  $m_{W^*} = 5 \text{ GeV}$ .
- **Softer  $H_T^{\text{miss}}$  spectrum**  $\rightarrow H_T^{\text{miss}}$  cut rejects more signal
  - $W^*$  produces **harder leptons**  $\rightarrow$  lepton veto rejects more signal (pion track veto acts as hadronic  $\tau$  veto)
  - $W^*$  produces **jets aligned with  $H_T^{\text{miss}}$**  from  $\tilde{\chi}_1^0 \rightarrow \Delta\phi$  cuts reject more signal
  - ❖ When both gluinos decay as  $\tilde{g} \rightarrow t \bar{b} \tilde{\chi}_1^\pm$ , signal contamination in control regions becomes comparable to signal yield in signal region

# Plots: $\tilde{g} \rightarrow t b \tilde{\chi}_1^\pm$ with $m_{\tilde{\chi}_1^0} \rightarrow 0$



Dotted lines indicate search region bin boundaries or cut values