

# Needs from NDK/Atmospheric Physics point of view

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On behalf of the DUNE NDK/Atmospheric/Cosmogenic Physics WGs

ProtoDUNEs Science Workshop

28-30 June 2016, CERN

# Workshop goals

*From the Indico page*

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The workshop goals are to:

- provide well-defined parameters of the beam (particle types, rates, momentum resolution, PID) to execute a successful measurement programme
  - identify a prioritized list of measurements and analyses and a plan to develop the required tools and analysis algorithms
  - define and discuss benchmark measurements to evaluate the detector performance
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- This talk aims to contribute to these goals, from the perspective of Nucleon Decay and Atmospheric Neutrino Physics at DUNE

# ProtoDUNE's measurements for Nucleon Decay Physics

# NDK searches: where do pDUNE's measurements enter?

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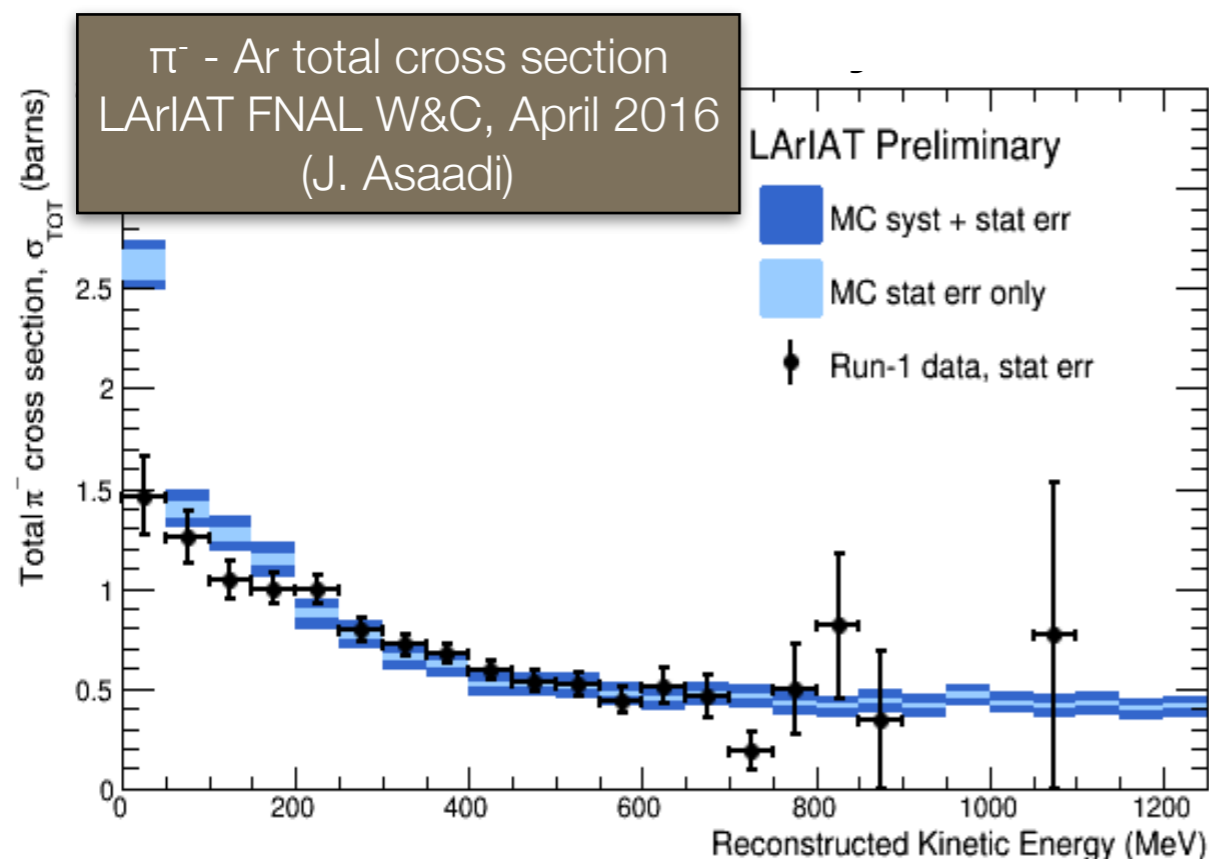
$$\tau/B = n_{p/n} \cdot \epsilon \cdot Mt / (N_{\text{obs}} - N_{\text{bgr}})$$

- $n_{p/n}$ : number of p or n per unit mass [1 / kton]
- $\epsilon$ : signal detection efficiency [1]
- $Mt$ : detector exposure [kton·yr]
- $N_{\text{obs}} - N_{\text{bgr}}$ : (upper limit on) number of signal events [1]
- $\tau/B$ : (lower limit on) partial lifetime sensitivity [yr]

- ProtoDUNE measurements will affect  $\epsilon$ ,  $N_{\text{bgr}}$  estimates through:
  - $\pi$ -Ar and K-Ar cross section measurements
  - Track/shower reconstruction performance
  - Particle ID performance
- ProtoDUNE data may also permit to refine NDK event selection in a LAr-TPC

# $K^\pm$ -Ar and $\pi^\pm$ -Ar cross section measurements

- Tune hadron-nucleus interaction models in NDK generator (**GENIE**) and detector simulation (**Geant4**)
  - Understand irreducible signal efficiency losses, affect background rates
- Not just total cross sections, but **exclusive** measurements needed
  - absorption, charge exchange, inelastic, elastic
- Particularly interested in **0.1-0.5 GeV/c** incident meson momenta
  - **Caveat:** LArIAT is a better match in momentum for this

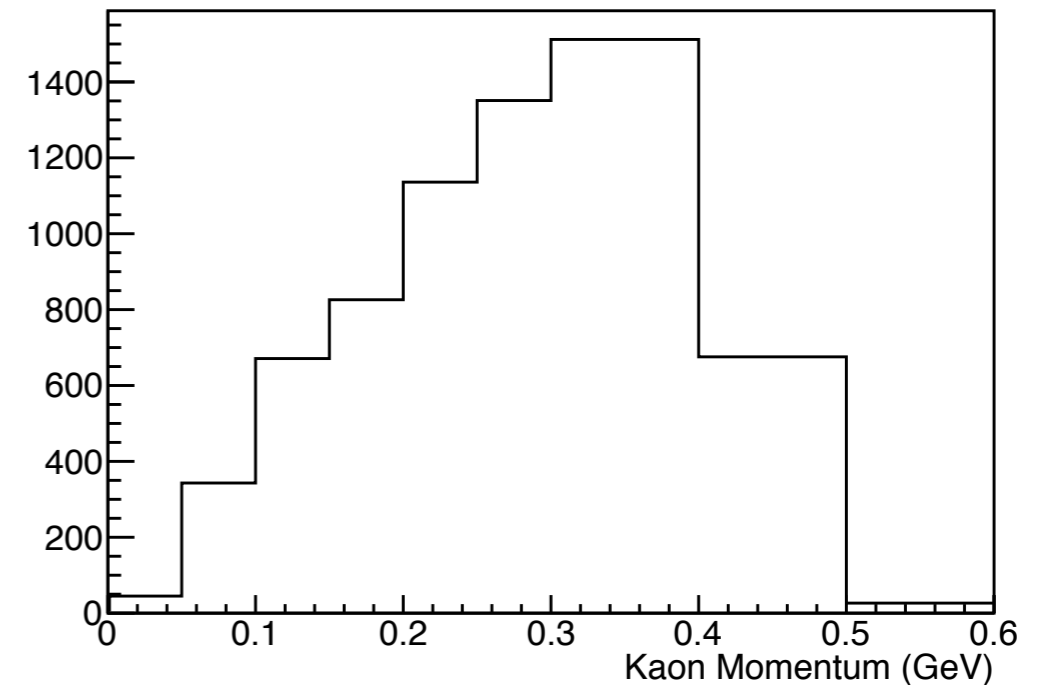


*pDUNES: need measurement of low-momentum  $K^\pm$ -Ar and  $\pi^\pm$ -Ar cross sections. Cross-check LArIAT*

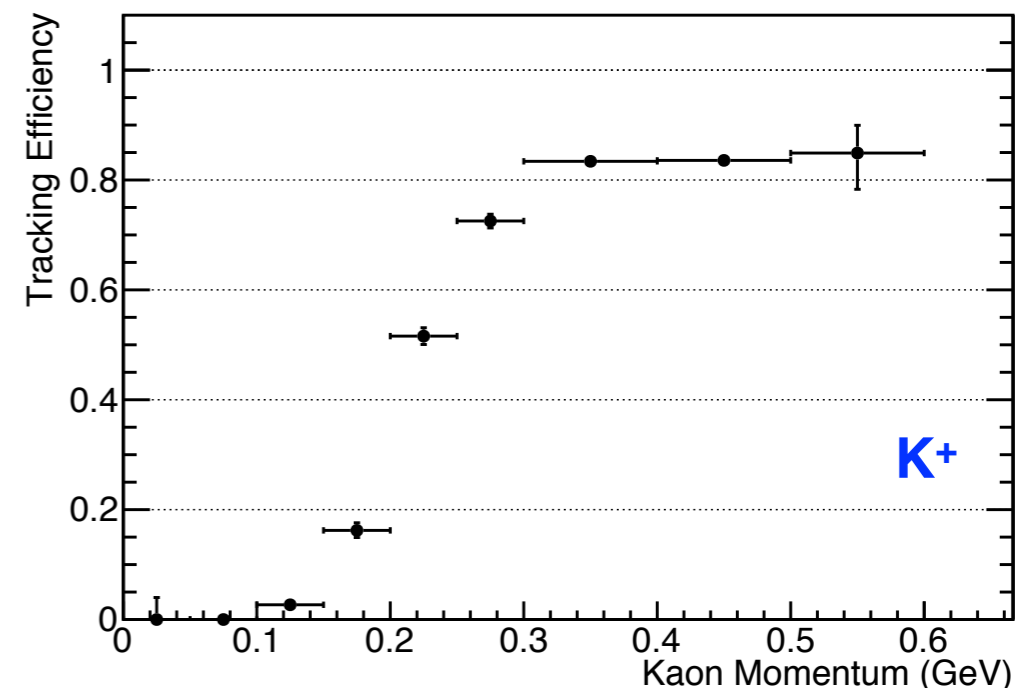
# Track/shower reconstruction performance

## Single-particle reconstruction efficiencies, resolutions

- Single-particle momentum/angular resolutions not so important, considering nuclear Fermi motion
- Single-track **efficiencies** very useful to validate



$K^+$  momentum distribution and tracking efficiency in  $p \rightarrow \bar{\nu} K^+$  MC (A. Higuera)



*pDUNES: measure single-particle reconstruction efficiencies in LAr-TPC as similar as possible to DUNE FD*

# Track/shower reconstruction performance

## “Multi-particle” reconstruction for NDK signal

- “**Multi-particle reco**”: reconstruction of observables involving multiple particles
  - **Examples**: invariant mass of 2-body system, decay vertex position
- **Invariant mass** and **vertex** reconstruction important, since many NDK searches involve reconstructing decays of neutral particles
- **Vertex/position** resolution also needed to quantify signal efficiency due to fiducial requirement

Examples:

- $K_S^0 \rightarrow \pi^+ \pi^-$  in  $p \rightarrow \mu^+ K^0$
- $\pi^0 \rightarrow \gamma \gamma$  in  $p \rightarrow e^+ \pi^0$
- $\eta \rightarrow \gamma \gamma, 3\pi^0$  in  $p \rightarrow e^+ \eta$

*pDUNES: use pion charge exchange events to understand invariant mass and vertex reconstruction?*

LArIAT Data

LArIAT FNAL W&C, April 2016  
(J. Asadi)

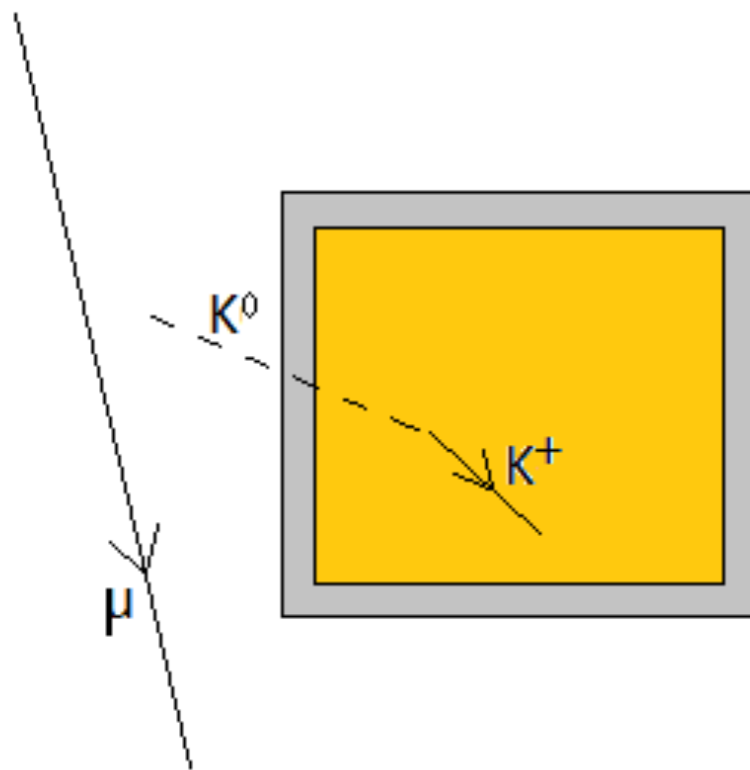
Candidate  $\pi^\pm \rightarrow \pi^0$  charge exchange

10 cm

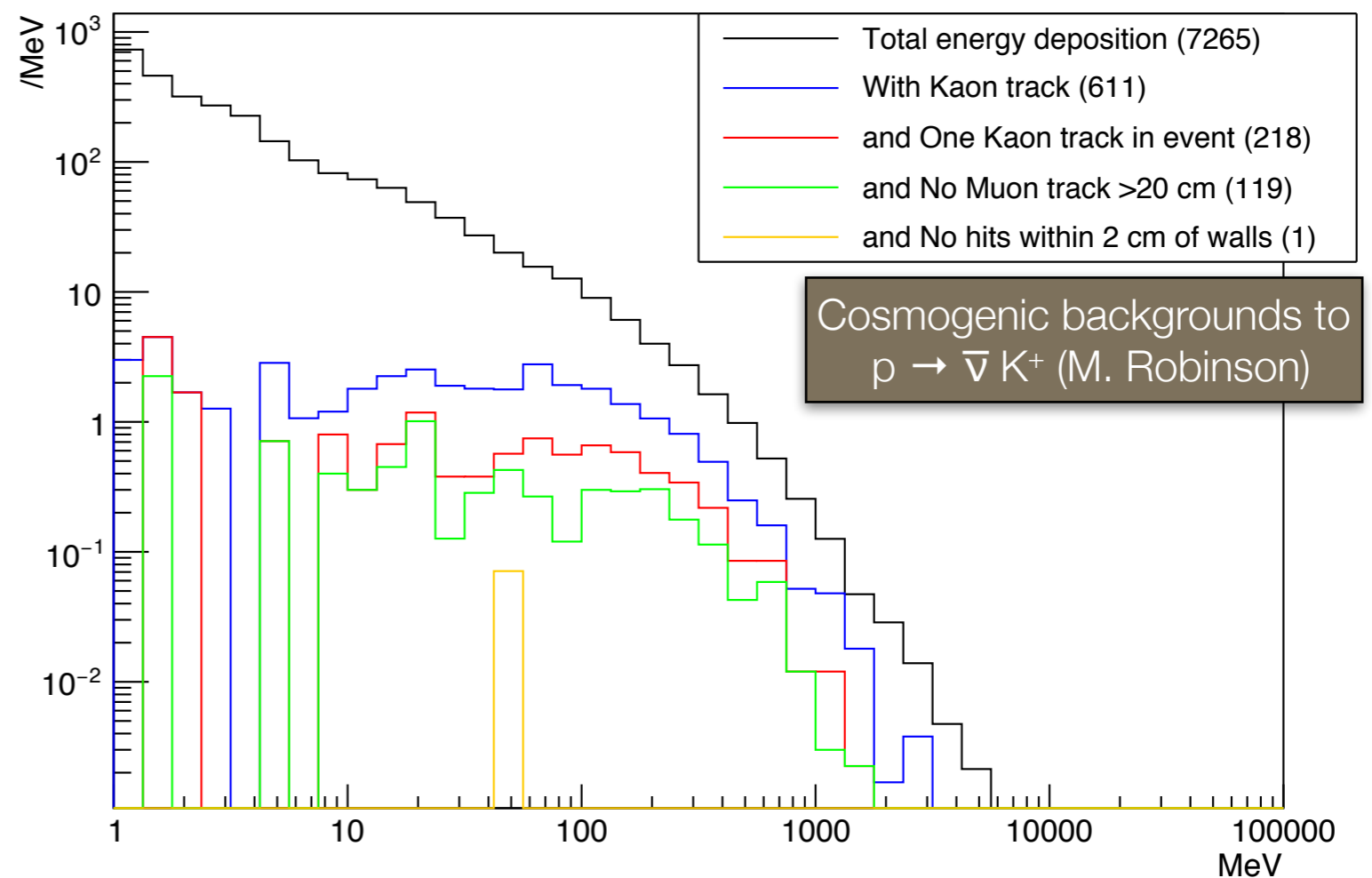
# Track/shower reconstruction performance

## *Cosmogenic background to NDK*

- Understanding position resolution also important to suppress cosmogenic backgrounds



Charged Kaon Spectrum,  $10^8$  filtered muons

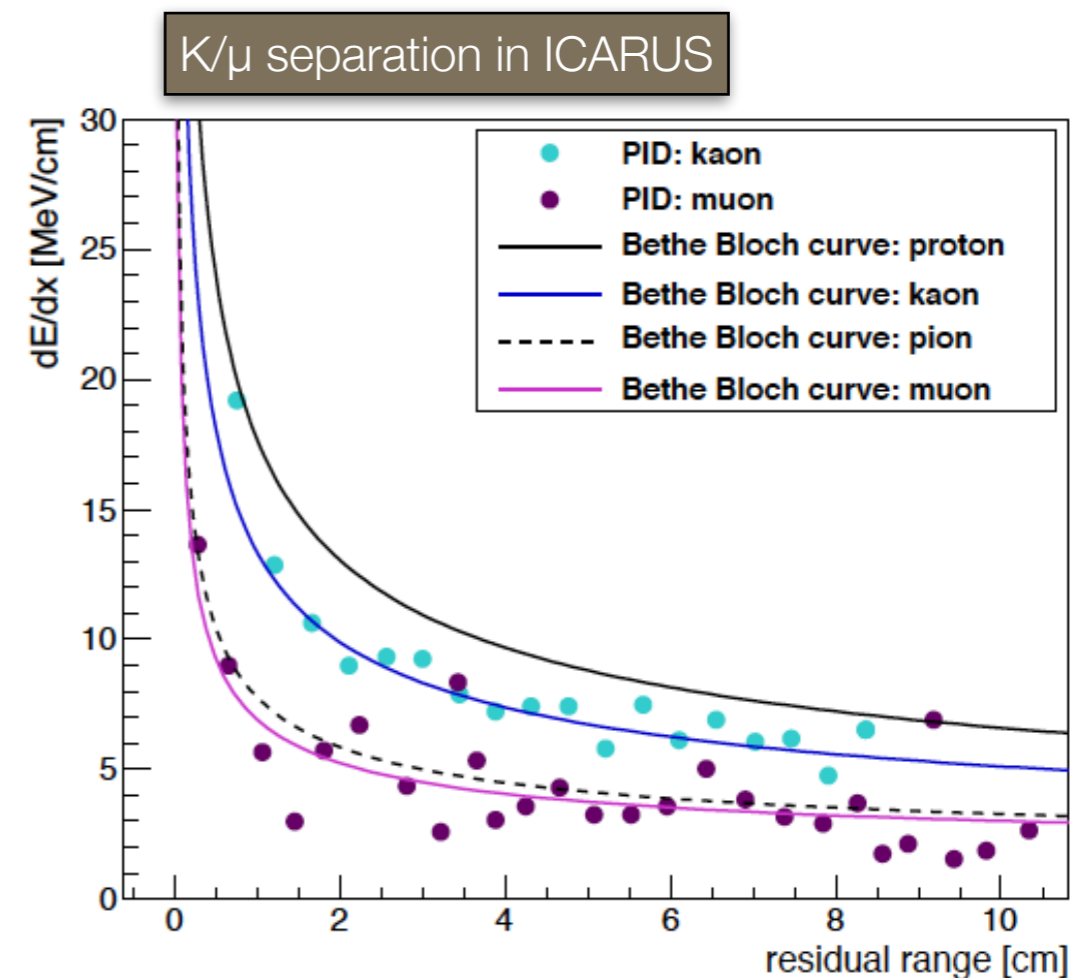




# Particle ID performance

*dE/dx of stopping particles*

- Understand **PID** performance (ID efficiencies, mis-ID rates) based on **dE/dx of stopping particles**
  - Several separations needed: e/ $\mu$ / $\pi$ /K/p
  - What mis-ID rates can we tolerate?
  - Need better understanding of NDK backgrounds from mis-reconstruction via full MC study within NDK WG (6 months away?)

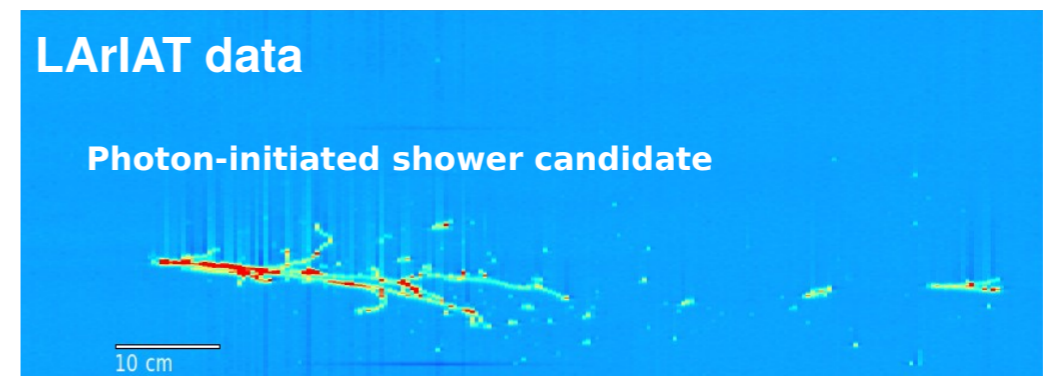
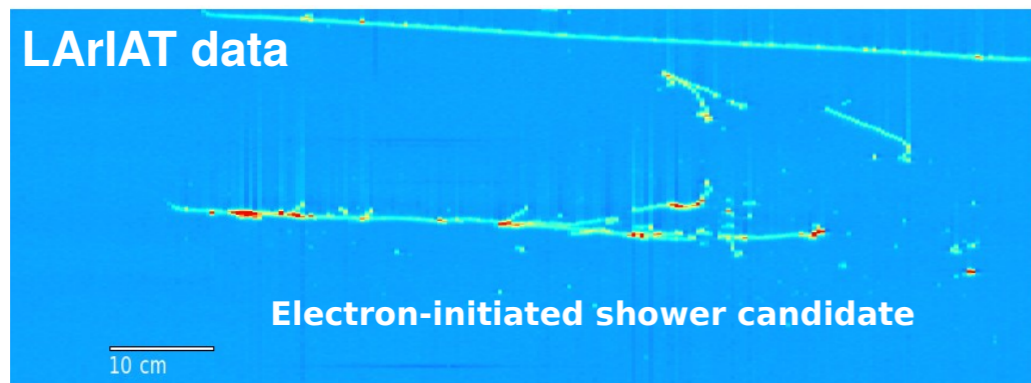


*pDUNES: characterise dE/dx vs range in LAr-TPC as similar as possible to DUNE FD*

# Particle ID performance

## *e/γ separation*

- **e/γ separation** also important, since many NDK searches involve electrons and gammas simultaneously
  - **Example:**  $p \rightarrow e^+ \eta$ ,  $\eta \rightarrow \gamma\gamma$
- Possible from dE/dx at shower beginning (first few cm): single vs double ionisation



*pDUNES: measure e/γ separation performance. Photon-initiated showers from electron bremsstrahlung events?*

# Prioritized list of pDUNE's measurements for NDK physics

*For discussion*

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## 1. Particle ID performance

- PID from  $dE/dx$  of stopping particles. Full  $e/\mu/\pi/K/p$  separation
- $e/\gamma$  separation
- Muon charge sign

## 2. Track/shower reconstruction performance

- Single-particle reconstruction efficiency
- Decay vertex reconstruction
- Invariant mass in neutral particles' decays

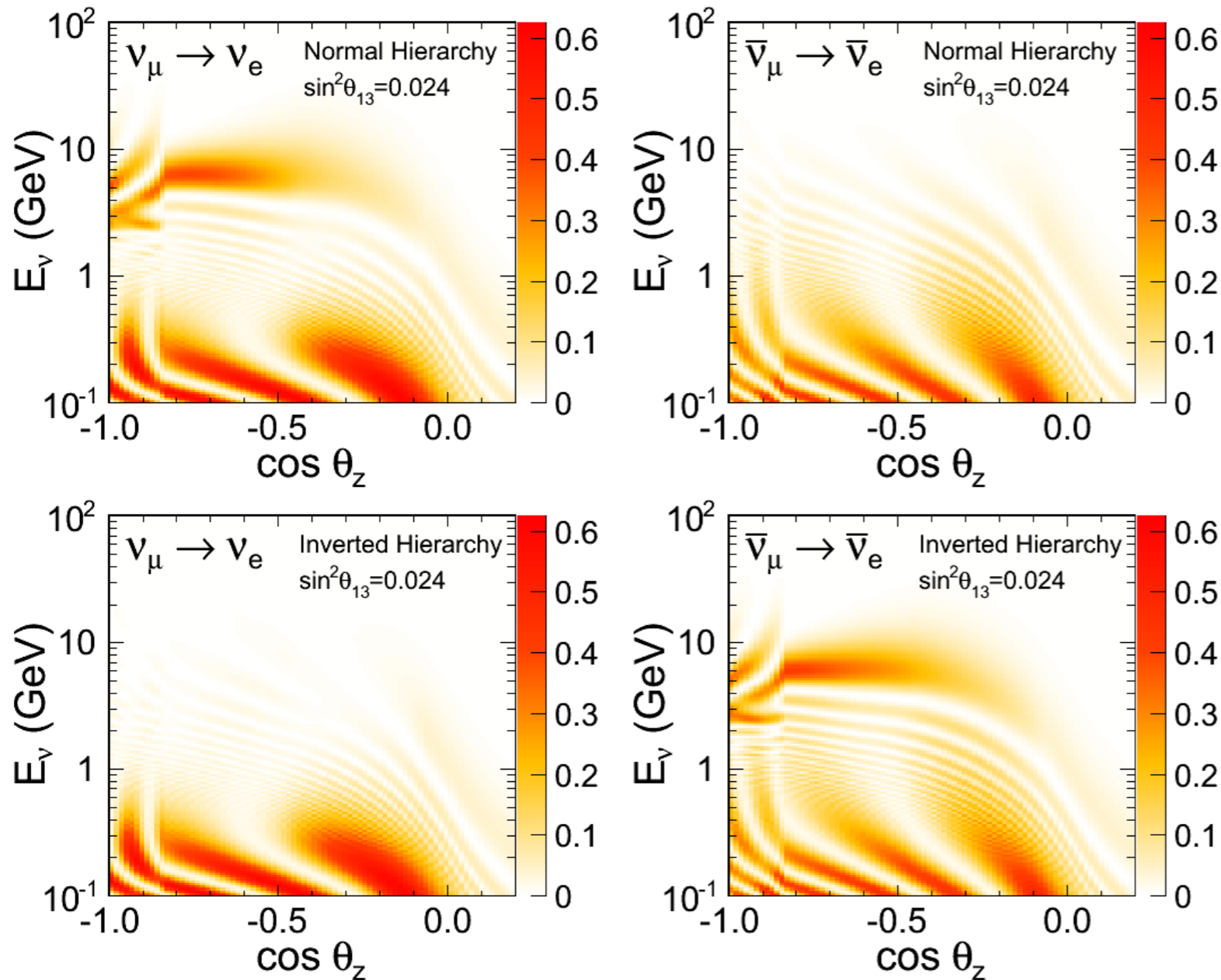
## 3. $\pi^\pm$ -Ar and $K^\pm$ -Ar cross section measurements

- Few 100 MeV kinetic energies



# ProtoDUNE's measurements for Atmospheric Neutrino Physics

# Atmospheric measurements: $\nu_\mu \rightarrow \nu_e$ channel



**Goal:** measurement of MH and other oscillation parameters

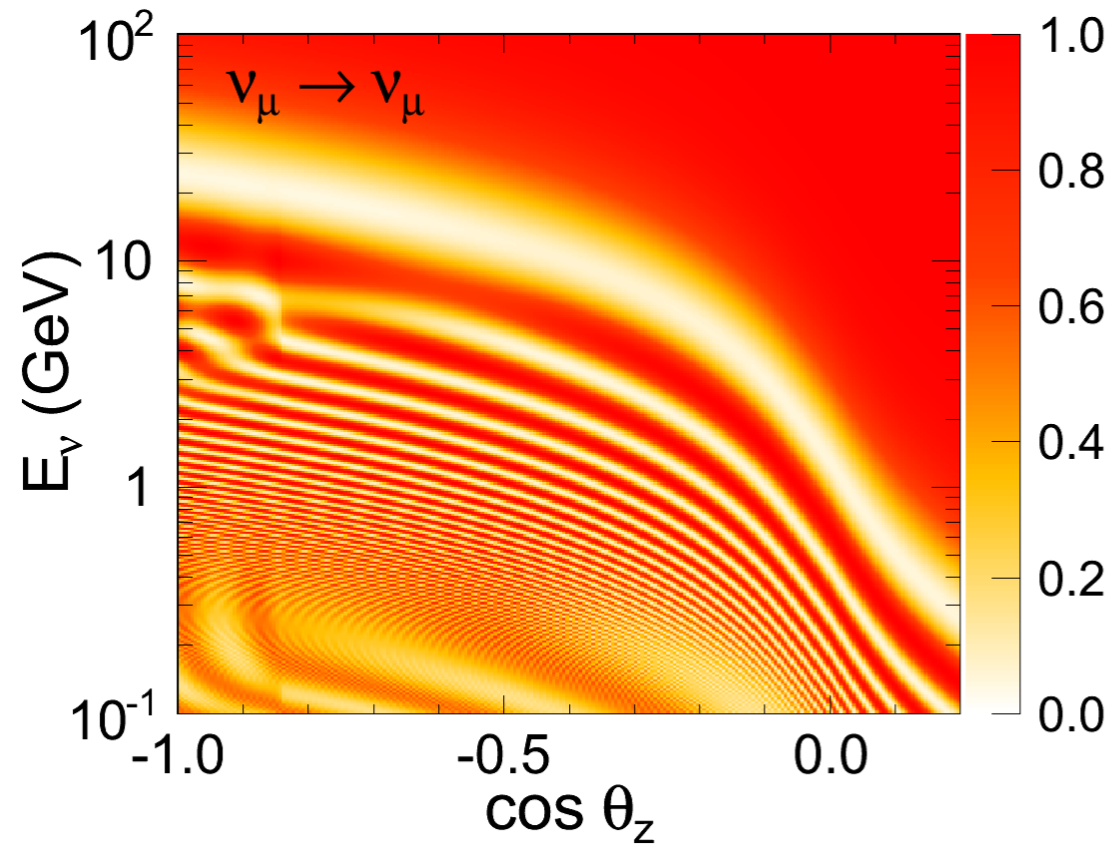
Neutrino is reconstructed as lepton plus hadronic system as a whole

Need to measure at least:

- lepton flavor = e
- energy (e + h)
- direction (e + h)

# Atmospheric measurements: $\nu_\mu \rightarrow \nu_\mu$ and $\nu_\mu \rightarrow \nu_\tau$ channels

$\nu_\mu$  disappearance

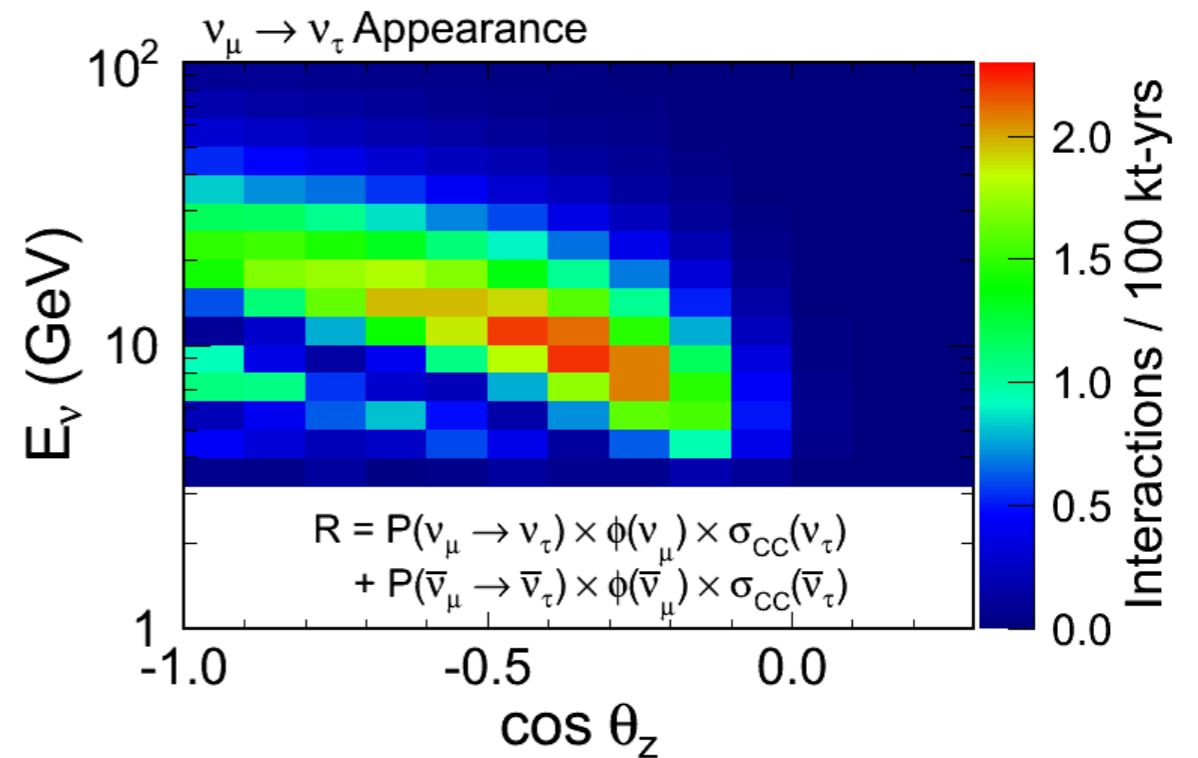


**Goal:** measurement of MH and other oscillation parameters, test of flux models

Need to measure at least:

- lepton flavor =  $\mu$
- energy ( $\mu + h$ )
- direction ( $\mu + h$ )

$\nu_\tau$  appearance



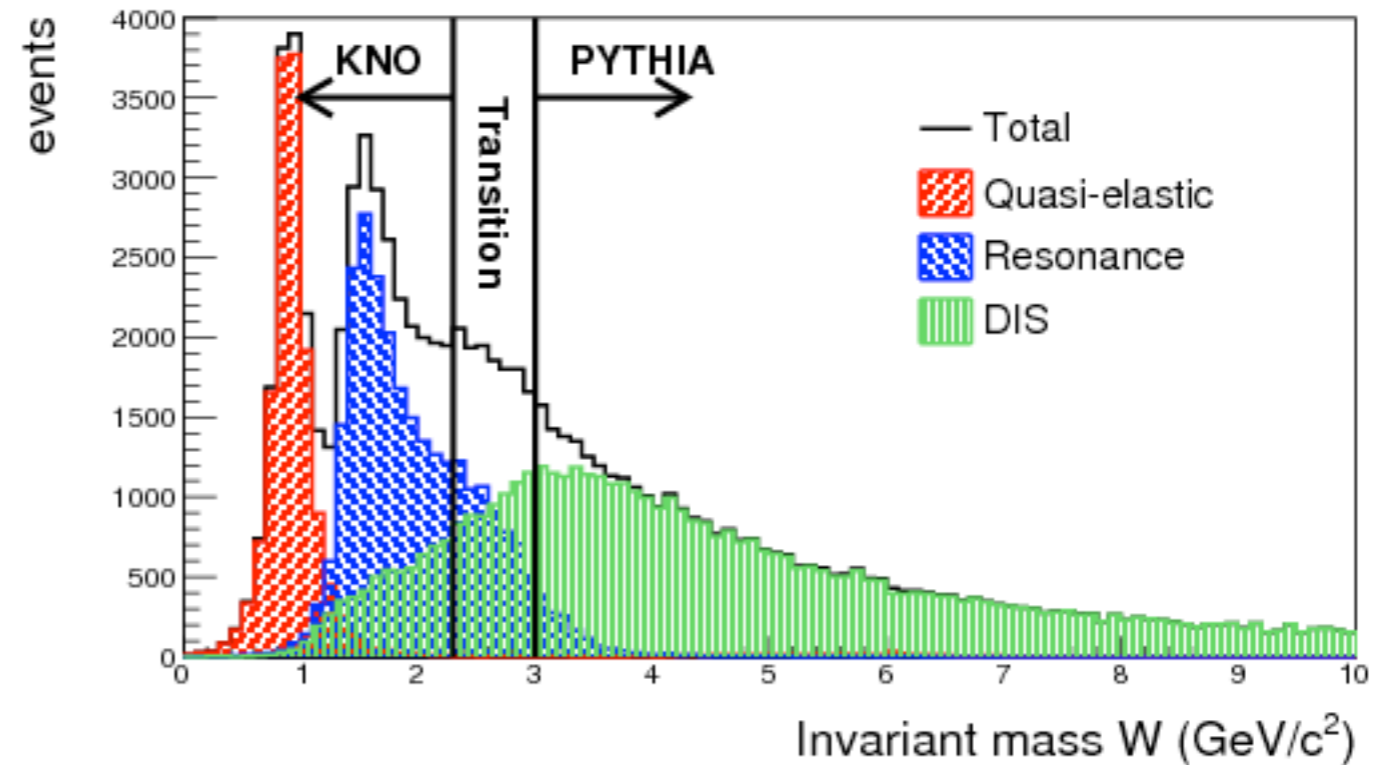
**Goal:** unitarity tests

Need to measure at least (focus on inclusive hadronic channels):

- $\pi^\pm$  ID
- energy
- direction

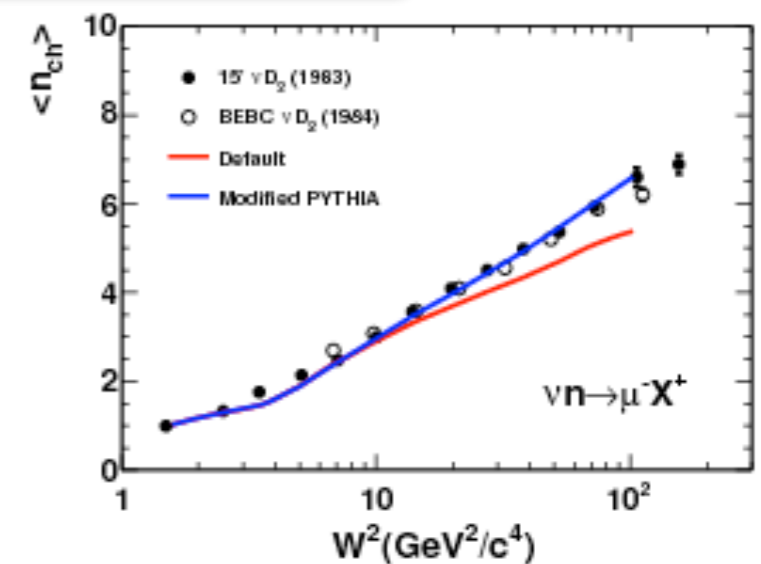
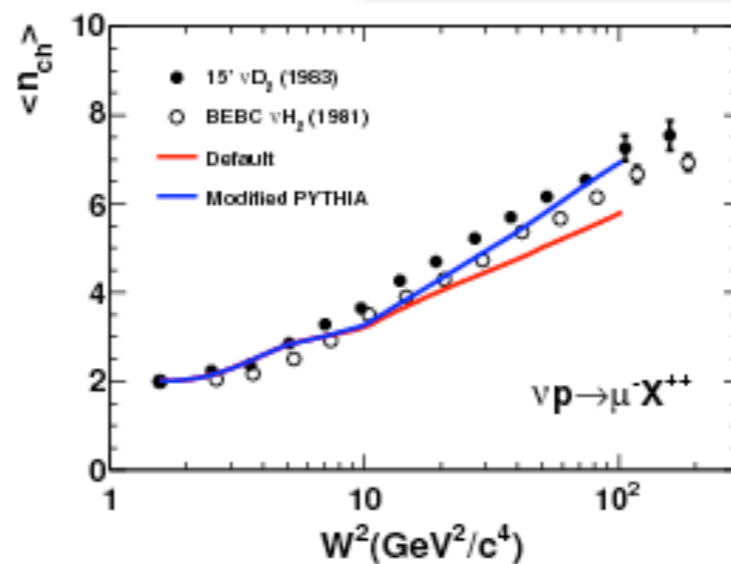
# Hadron multiplicities in atmospheric neutrinos

- Invariant mass distribution from atmospheric neutrino flux



T. Katori, S. Mandalia, arXiv:1412.4301

- 1-5 hadrons in final state typically expected



# Detector performance assumptions

*From lbne-doc-7184*

Angular Resolution	Electron	1 deg
	Muon	1 deg
	Hadronic System	10 deg
Energy resolution	Stopping muon	3%
	Exiting muon	15%
	Electron	$1\%/\sqrt{E} + 1\%$
	Hadronic system	$30\%/\sqrt{E}$
Signal Acceptance	Electrons	90%
	Muons	100%
Background rejection	e-like ( $\pi^0$ , $\gamma$ )	95%
	$\mu$ -like ( $\pi^+$ , $\pi^-$ )	99%



# MH sensitivity versus detector performance

From *lbne-doc-7039*

DPA	Nominal	Better Angular Resolutions	Better Energy Resolutions	Improved FCE	Improved FCMU	Improved PCMU	Improved Hadrons
Nu/Nubar separation	None	None	None	None	None	None	None
Muon Energy	15% exiting 3% contained	15% exiting 3% contained	7.5% exiting 1.5% contained	15% exiting 1.5% contained	15% exiting 1.5% contained	7.5% exiting 3% contained	15% exiting 3% contained
EM shower energy	1% $\oplus$ 1%/ sqrt(E)	1% $\oplus$ 1%/ sqrt(E)	.5% $\oplus$ .5%/ sqrt(E)	.5% $\oplus$ .5%/ sqrt(E)	1% $\oplus$ 1%/ sqrt(E)	1% $\oplus$ 1%/ sqrt(E)	1% $\oplus$ 1%/ sqrt(E)
Hadronic resolution	30%/sqrt(E)	30%/sqrt(E)	15%/sqrt(E)	30%/sqrt(E)	30%/sqrt(E)	30%/sqrt(E)	15%/sqrt(E)
Lep angular resolution	2°	1°	2°	2°	1°	1°	2°
Had angular resolution	10°	5°	10°	10°	10°	10°	5°
MH Sensitivity ( $\sigma$ ) $\delta_{CP}=0$	1.983 (beam ~4.2)	1.987	2.314	2	1.981	2.107	2.382

# Impact of hadrons on atmospheric neutrino physics

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- Since hadrons are the most difficult part of the event to measure, they dominate our resolution in neutrino energy and angle
- For the MH measurement, we are talking about hadronic systems with **few 100 MeV - few GeV**
- **Caveat:** neutrino-induced hadronic showers will be different from those produced by single particle test beams
  - *However, the same nuclear models to simulate the former can be applied to the latter (ie, run GENIE in hadron-scattering mode)*
  - *Understanding the level of agreement in test beam data will be crucial for validating at least part of the models*

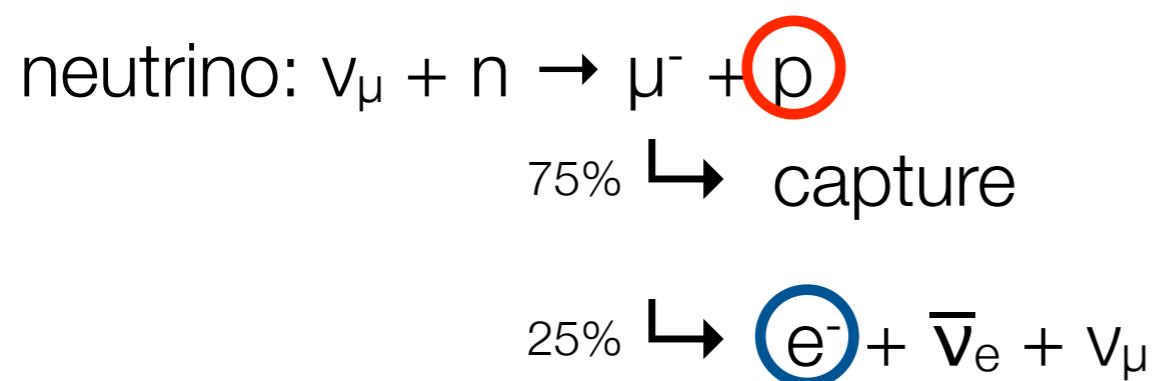
*pDUNES: need measurement of energy and direction resolutions for hadrons. Study of multi-hadron final states important*

# Bonus: neutrino/antineutrino separation in atmospheric

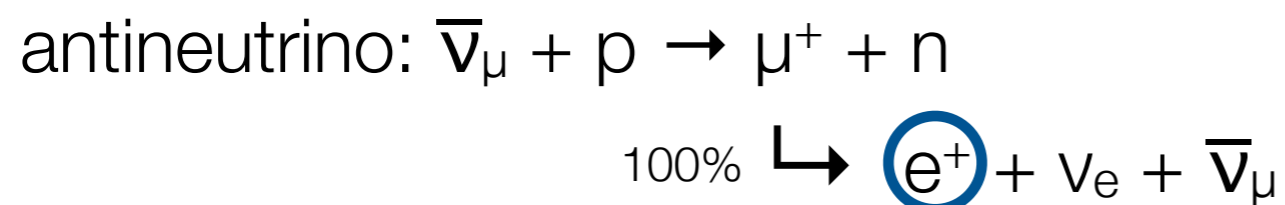
Separating neutrinos from antineutrinos would greatly enhance DUNE's sensitivity to MH

- Full separation could be achieved with B-field. In non-magnetised detector as DUNE, statistical discrimination still possible with **proton tag** or **decay electron tag**

[Fechner and Walter, JHEP 0911 (2009) 040]



Tag	Efficiency
Proton	100% if p KE > 50 MeV
Decay electron	25% $\mu^-$ , 100% $\mu^+$



*pDUNES: need measurement of p and decay e tagging efficiencies*

# Atmospherics and interaction models

From Y. Hayato's talk at ANW'16

## Summary ~ what has to be understood

Neutrino – nucleus interactions

Major sources of the systematic errors

Axial coupling

Treatment of 'bound' nucleon

→ Hadron multiplicities

Re-interaction of hadrons in nucleus

→ pion interactions

→ re-scattering of nucleon etc..

Nuclear parton distribution function

Actual systematic uncertainties in the neutrino experiments

Neutrino – nucleus interactions

Hadron interactions in nucleus

→ pion interactions in the detector

→ nucleon interactions

high energy nucleon ~ particle production

*pDUNES: need  
measurement of  $\pi^\pm$ -Ar  
and p-Ar cross sections*

- <http://indico.universe-cluster.de/indico/conferenceDisplay.py?ovw=True&confId=3533>

# Prioritized list of pDUNE's measurements for atmospheric physics

*For discussion*

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## 1. Track/shower reconstruction performance

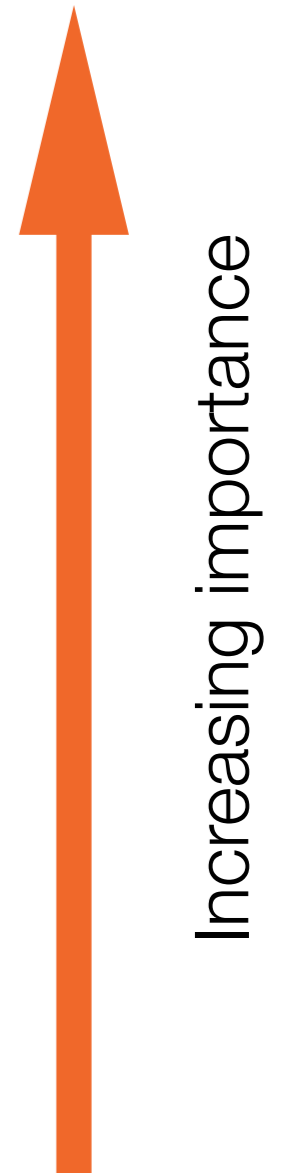
- Energy and direction resolution for single hadrons
- Energy and direction resolution for multi-particle hadronic system
- Reconstruction efficiency
- Vertex resolution
- Lepton track sense (up/down)

## 2. Particle ID performance

- Efficiencies and contamination for  $e / \mu$ ,  $e / \gamma$ ,  $\mu / \pi$  separation
- Particle / anti-particle ID via decay electron and proton tagging efficiencies

## 3. $\pi^\pm$ -Ar and $K^\pm$ -Ar cross section measurements

- Few 100 MeV - few GeV kinetic energies



# pDUNEs measurements for NDK/Atmospherics

*Summary of priorities (tentative)*

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Physics topic	h-Ar xsecs	Track/shower reco	Particle ID
NDK	✓	✓✓	✓✓✓
Atmospherics	✓	✓✓✓	✓✓

# ProtoDUNE's datasets and non-TPC instrumentation

# ProtoDUNEs test beam requests

*From SP proposal, DP TDR*

pDUNE-SP  
(DUNE-doc-186)

Particle	Momenta (GeV/c)	Sample Size	Purpose
$\pi^+$	0.2, 0.3, 0.4, 0.5, 0.7, 1, 2, 3, 5, 7	10k	hadronic cal, $\pi^0$ content
$\pi^-$	0.2, 0.3, 0.4, 0.5, 0.7, 1	10k	hadronic cal, $\pi^0$ content
$\pi^+$	2	600k	$\pi^0/\gamma$ sample
proton	0.7, 1, 2, 3	10k	response, PID
proton	1	1M	mis-ID, PD, recombination
$e^+$ or $e^-$	0.2, 0.3, 0.4, 0.5, 1, 2, 3, 5, 7	10k	$e-\gamma$ separation/EM shower
$\mu^-$	(0.2), 0.5, 1, 2	10k	$E_\mu$ , charge sign
$\mu^+$	(0.2), 0.5, 1, 2	10k	$E_\mu$ , Michel el., charge sign
$\mu^-$ or $\mu^+$	3, 5, 7	5k	$E_\mu$ MCS
anti-proton	low-energy tune	(100)	anti-proton stars
$K^+$	1	(13k)	response, PID, PD
$K^+$	0.5, 0.7	(5k)	response, PID, PD
$\mu$ , e, proton	1 (vary angle $\times 5$ )	10k	reconstruction

pDUNE-DP  
(arXiv:1409.4405)

Type	Momentum [GeV/c]	Rate [kHz]	Total	Time est. [hrs]
Muon tracks				
$\mu^{+/-}$	0.8, 1.0, 1.5, 2.0, 5.0, 10.0, 20.0	0.1	$5 \times 10^6 \times 14$	200
Shower reconstruction				
$\pi^{+/-}$	0.5, 0.7, 1.0, 2.0, 5.0, 10.0, 20.0	0.1	$5 \times 10^6 \times 14$	200
$e$	0.5, 0.7, 1.0, 2.0, 5.0, 10., 20.0	0.1	$5 \times 10^6 \times 7$	100



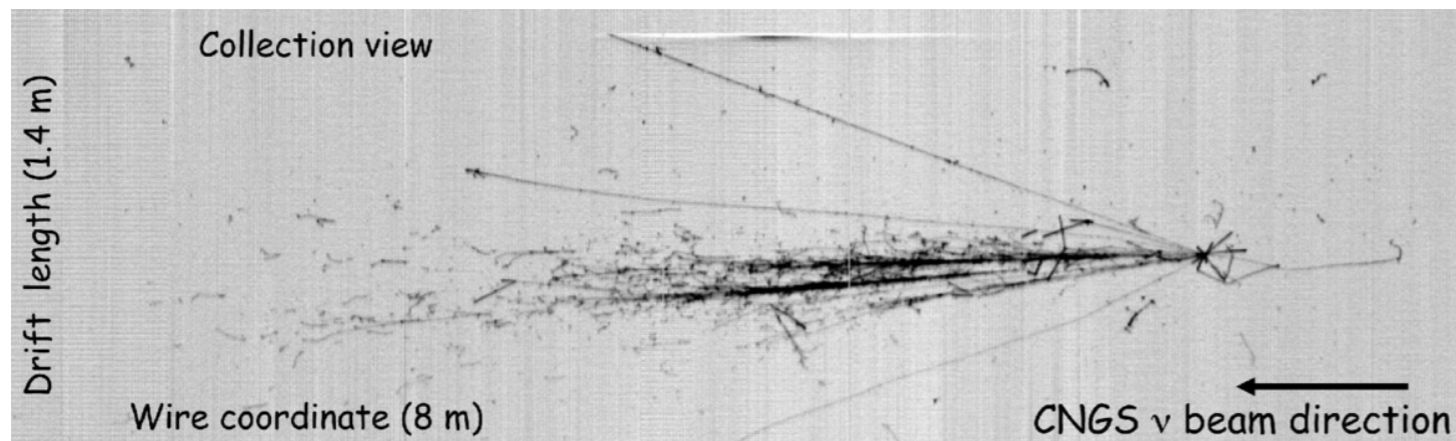
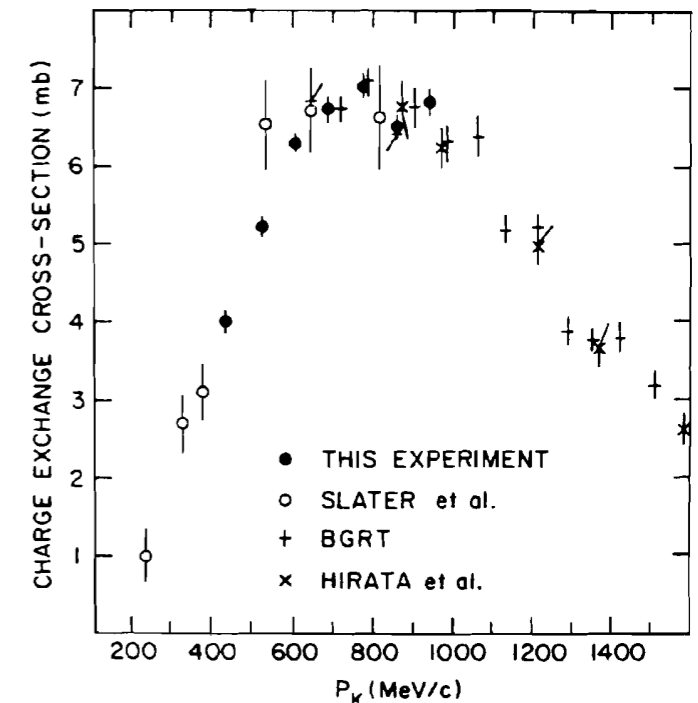
# Beam datasets

## Particle types and momenta

Overall, initial test beam request from pDUNEs is a good match to NDK/Atmospherics physics goals

- Specific comments:
  - **K<sup>+</sup> beams** would be valuable. Not just **K<sup>+</sup>** non-interacting events. Also **K<sup>+</sup>** charge-exchange events to study **K<sup>0</sup><sub>S</sub>** decay topologies
  - **μ<sup>+</sup> vs μ<sup>-</sup> beams** to study decay electron tag efficiency
  - **π<sup>±</sup> beam** data sets over **extended** momentum range valuable, covering from **single track topologies** to **hadronic showers**

K<sup>+</sup>n → K<sup>0</sup>p charge exchange



~10 GeV  $\nu_\mu$  CC interaction in ICARUS

# Beamline instrumentation

## *Momentum resolution*

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Ideally, beamline instrumentation should provide **better** momentum and PID selection/measurement compared to the ones we wish to perform in pDUNEs

- Current pDUNEs goal to measure **momentum** of incoming particles with **1-5% accuracy** should be **sufficient** for NDK/Atmospherics purposes

# Beamline instrumentation

## PID

Ideally, beamline instrumentation should provide **better** momentum and PID selection/measurement compared to the ones we wish to perform in pDUNES

- **PID** requirements for NDK/Atmospherics still to be quantified. Preliminary numbers:
  - (e+ $\mu$ + $\pi$ ) / K / p separation with **>99% purity** (and >99% efficiency) via dE/dx from Bueno et al. (arXiv:0701101) assumptions for NDK searches

*Current pDUNES goal to provide **>3 $\sigma$**   $\pi$ /K separation over full momentum range should be maintained, even if for only a subset of events*

- LBNE atmospheric studies assume **99%**  $\pi^\pm$  suppression in  $\mu$ -like sample

*Possible to limit muon contamination in  $\pi^\pm$  datasets to **1%** at most?*

- Also need “good” (tbd) e /  $\mu$  /  $\pi$  separation

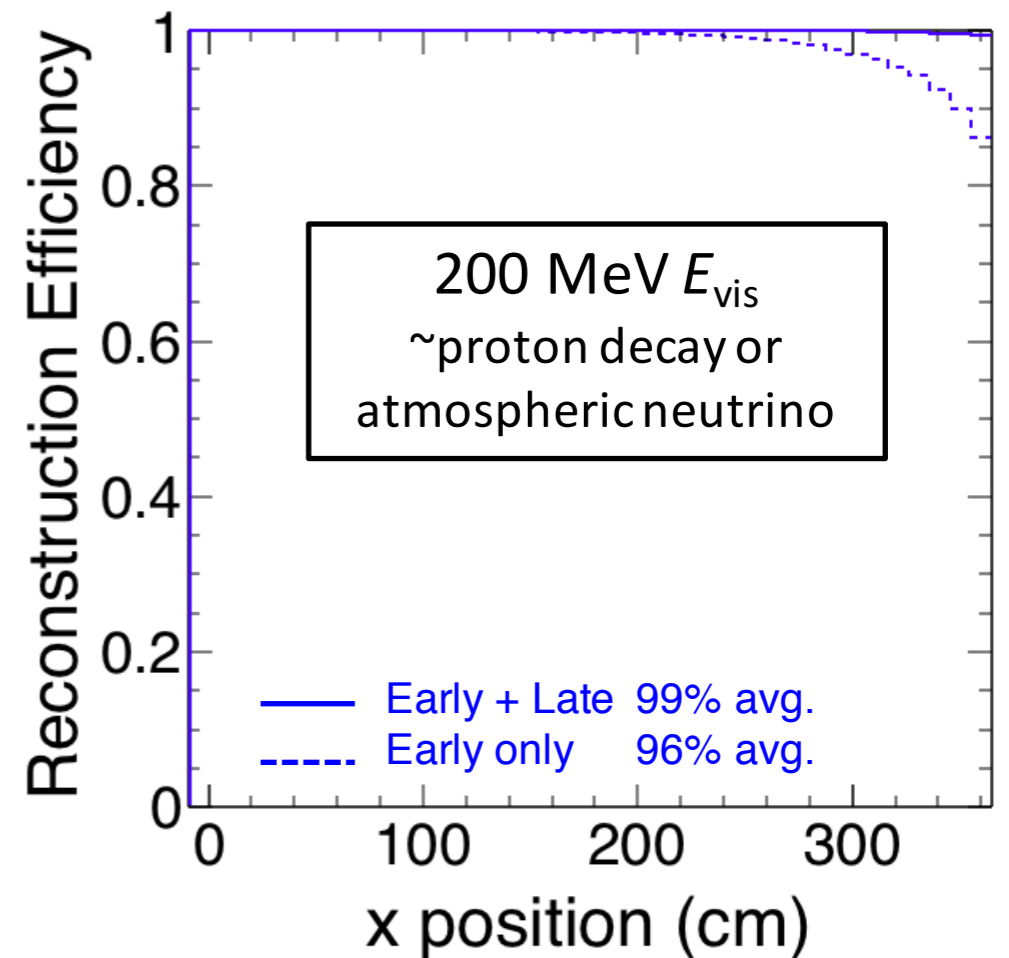
# Photon detector system

- Slide from Alex Himmel for DUNE FD

*pDUNES: validate MC expectations for PDS light yields*

## How much light do we need?

- Assume 0.32% detector efficiency, 5 PE threshold summed across all PDs.
- Look at proton decay or atm. neutrino-like energy depositions.
- Good efficiency (>99%) across the whole detector.
  - Seeing ~80 photons from the far side of the detector.
- Note: basically a calculation, not a full event simulation and reconstruction!



# Cosmic-ray sample

## *And related instrumentation*

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- Instrumentation enabling cosmic ray muon sample very useful for pDUNEs **calibration**
- May also provide information for NDK/Atmospherics/Cosmogenic **physics** that is **complementary** to beam data samples
  - Photon detector system trigger efficiency vs deposited energy, position
  - Neutrons?

# Summary

# Summary

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- Have tried to provide a prioritized list of pDUNE's measurements of particular relevance for Nucleon Decay / Atmospheric Neutrino Physics
- Started to think about pDUNE's beam requirements from NDK/Atmospherics point of view. To be made more quantitative
- Natural for people involved in NDK/Atmospherics/Cosmogenic Physics WGs to also be involved in related pDUNE's analyses
  - *TPC PID performance, hadron track/shower reco, charge sign discrimination, photon detector light yields, etc.*