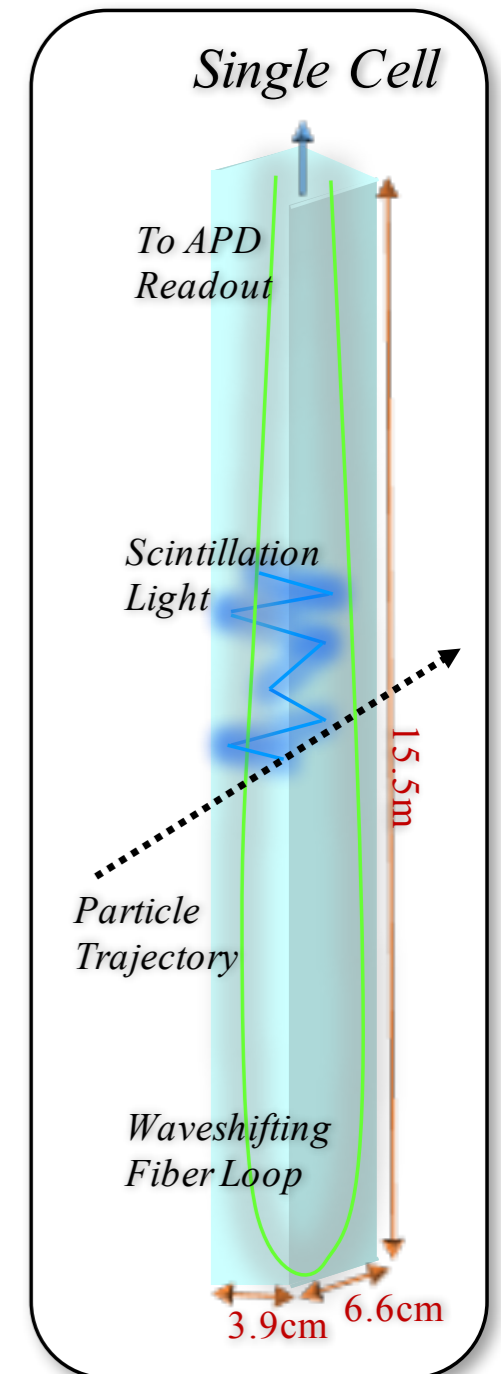
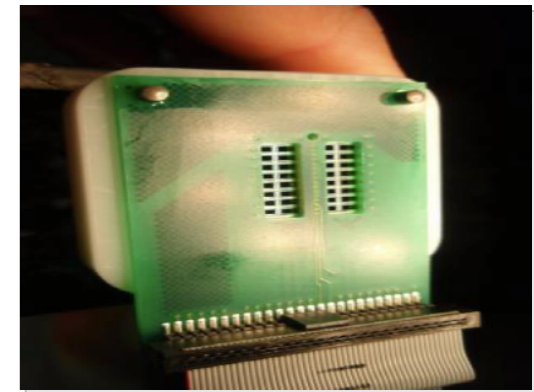
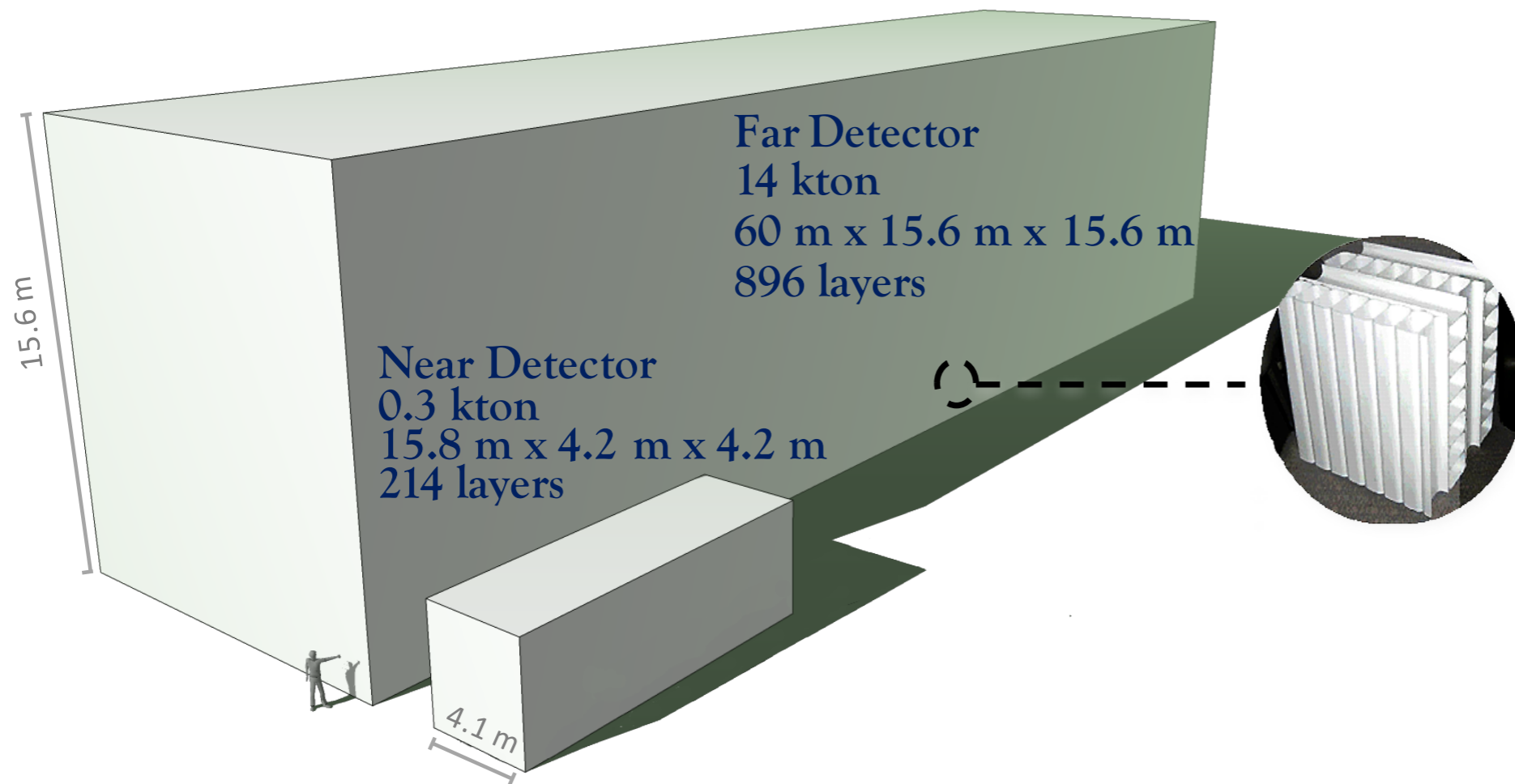


# NOvA Reconstruction

Evan Niner, Fermilab

9/28/16

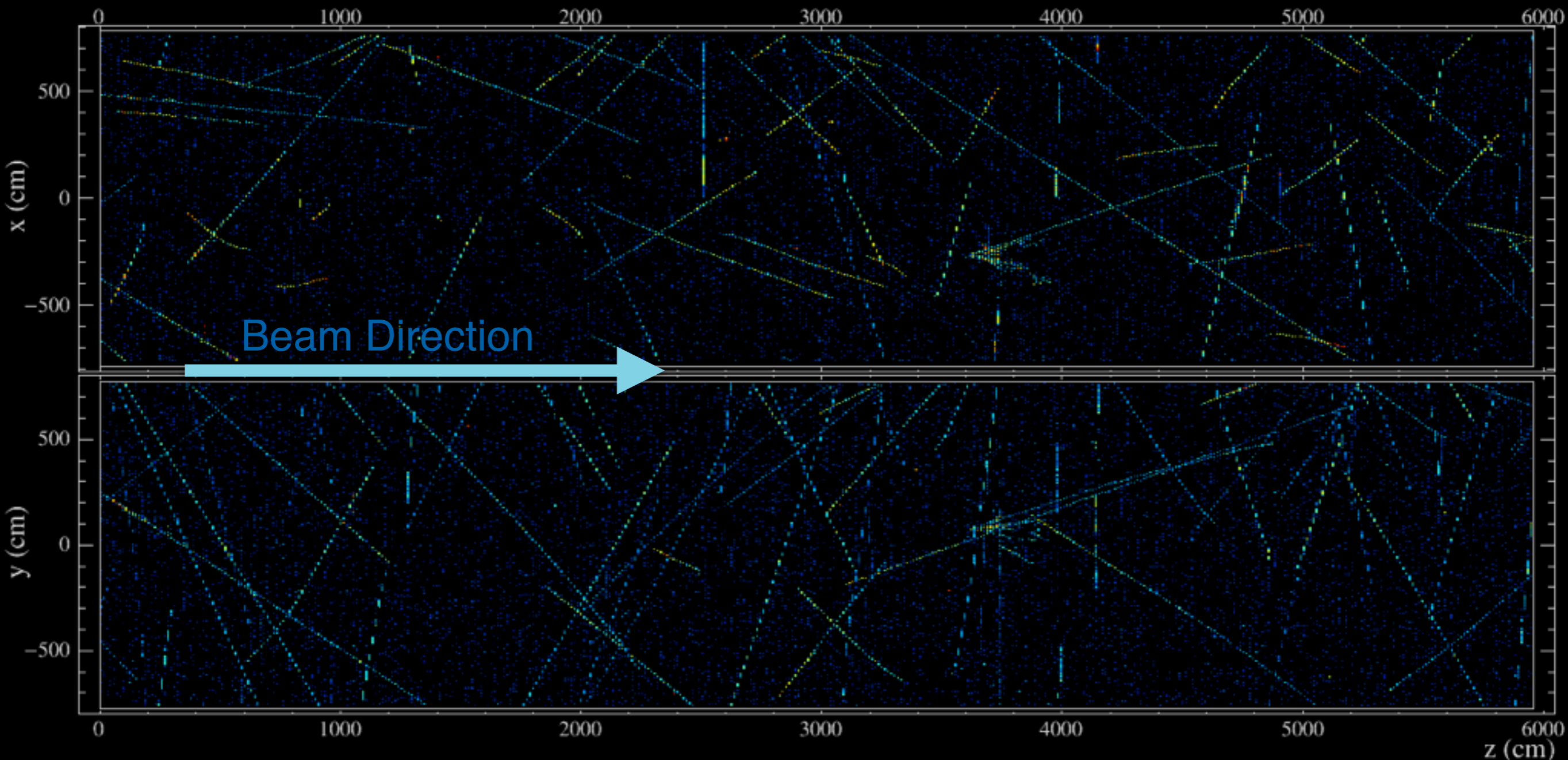
# NOvA Overview



- Alternate horizontal and vertical planes of liquid scintillator filled cells
- radiation length of 38 cm (6 cell widths, 10 cell depths)
- read out 500 us windows, 10 microsecond NuMI beam
- ND 100 meters underground, FD on surface

# Far Detector 550 $\mu$ s Readout Window

Cell hits colored by  
charge deposition



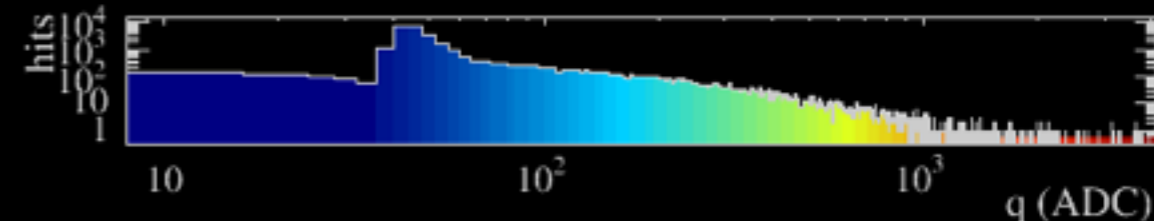
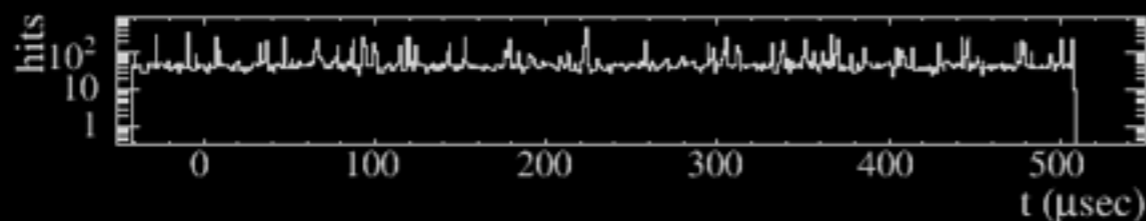
NOvA - FNAL E929

Run: 18620 / 13

Event: 178402 / --

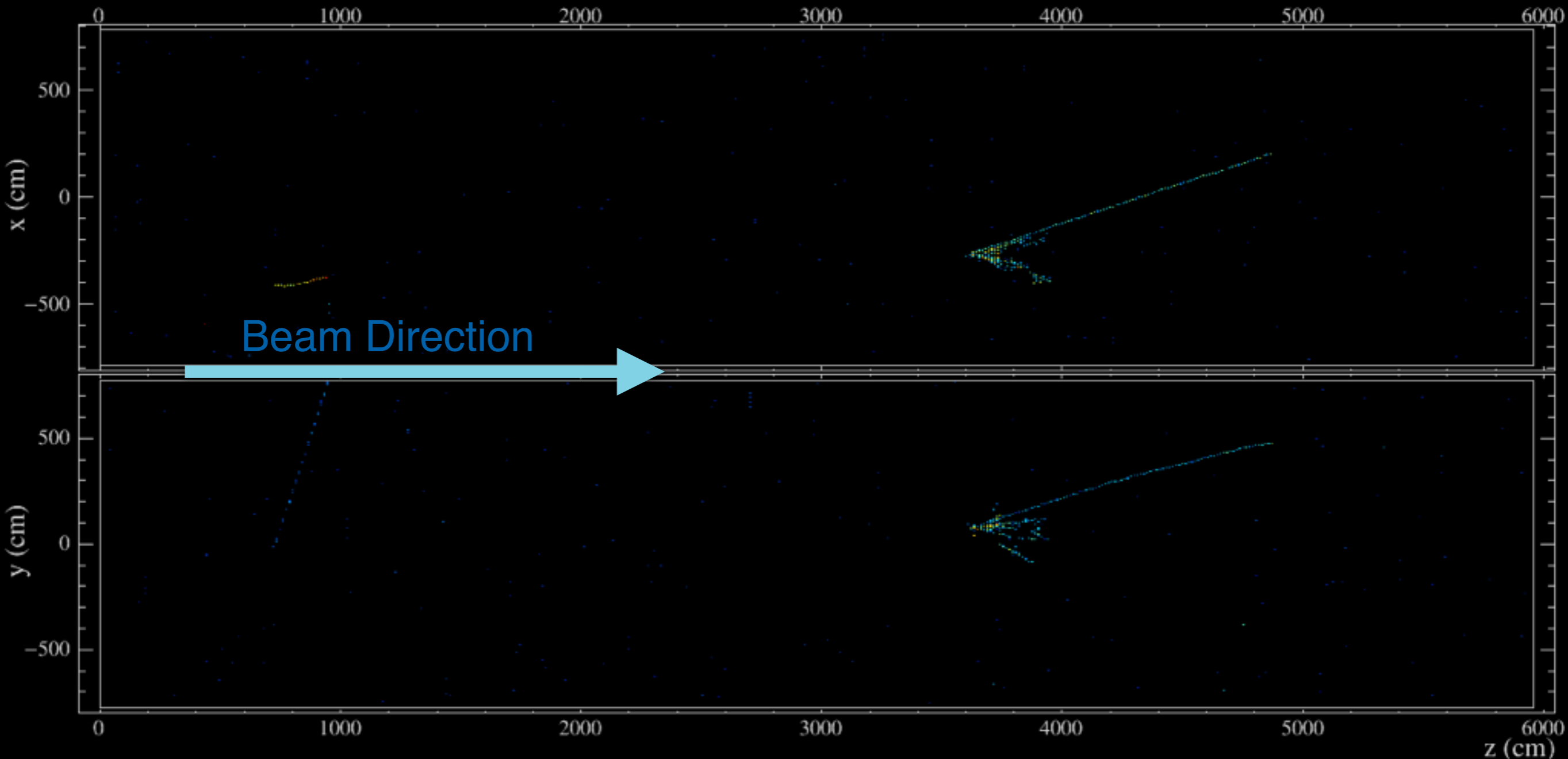
UTC Fri Jan 9, 2015

00:13:53.087341608



# Far Detector 10 $\mu$ s NuMI Beam Window

Cell hits colored by  
charge deposition



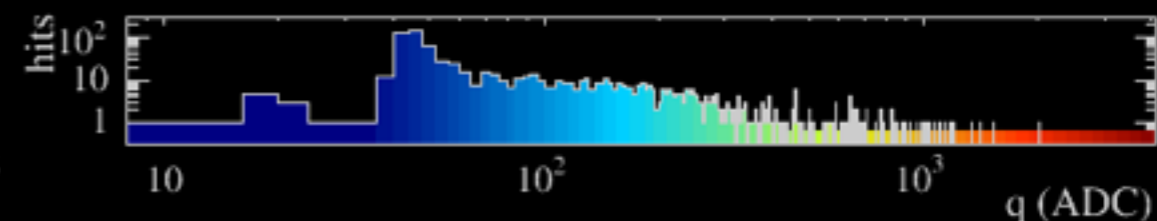
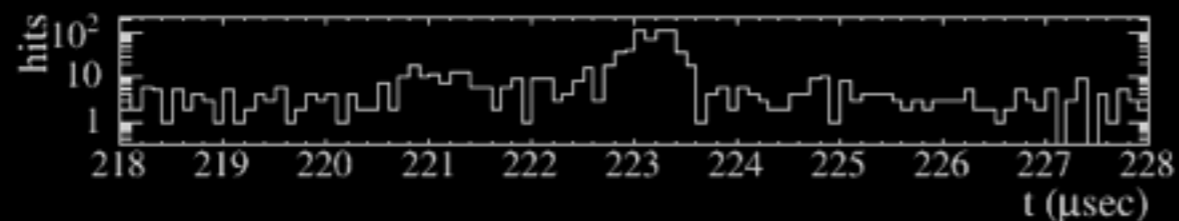
NOvA - FNAL E929

Run: 18620 / 13

Event: 178402 / --

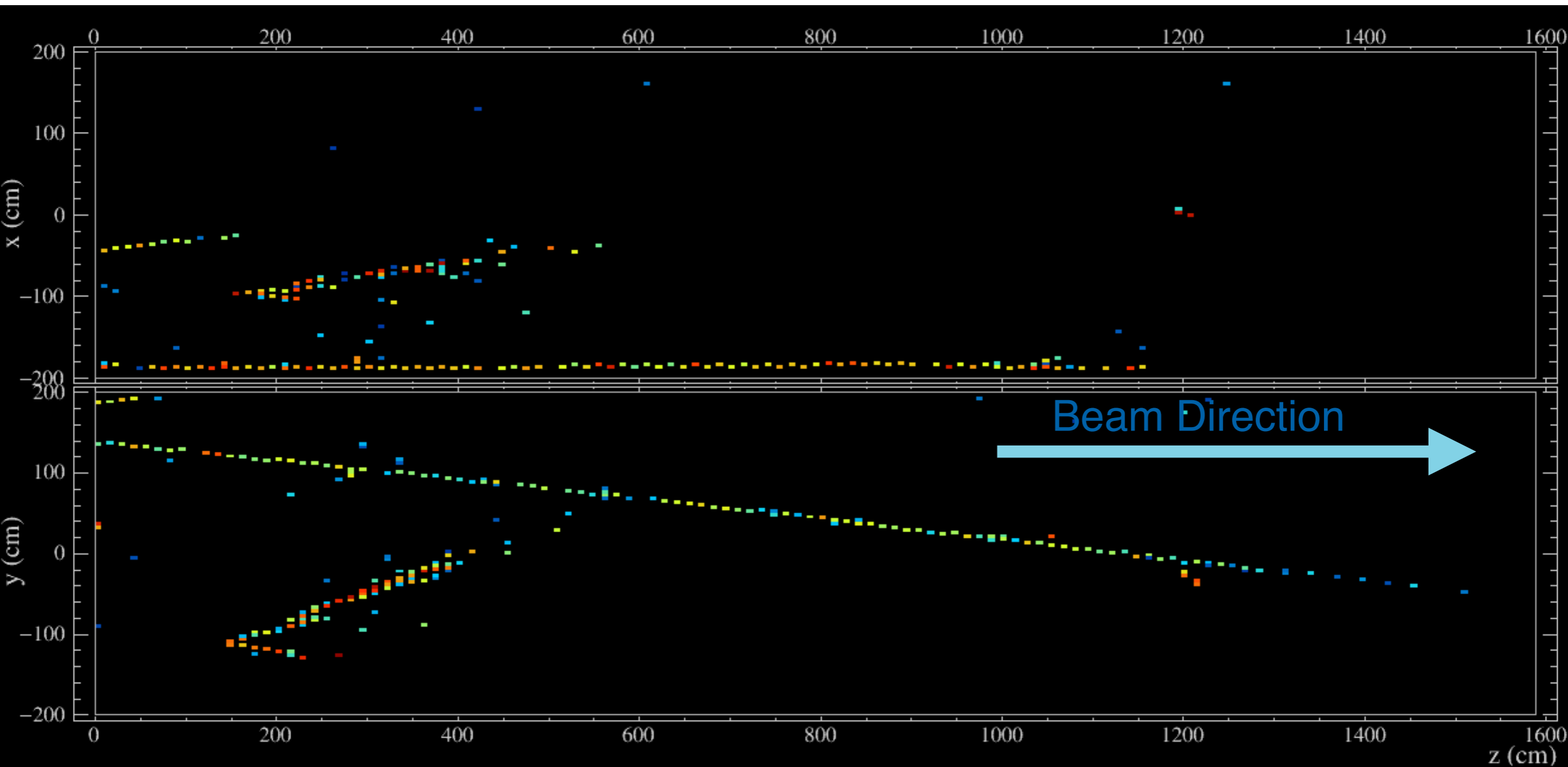
UTC Fri Jan 9, 2015

00:13:53.087341608



# Near Detector 10 $\mu$ s NuMI Beam Window

Cell hits colored by  
charge deposition



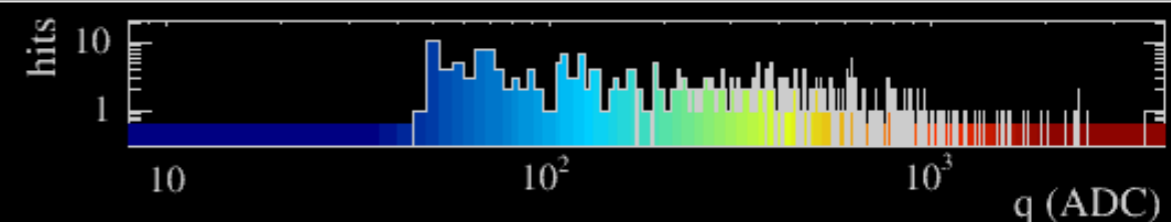
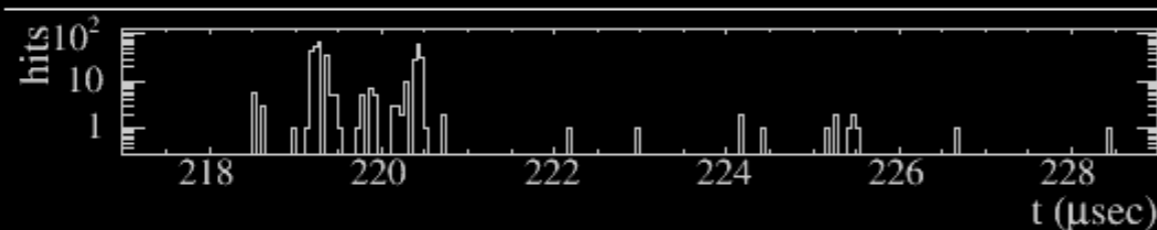
NOvA - FNAL E929

Run: 10508 / 9

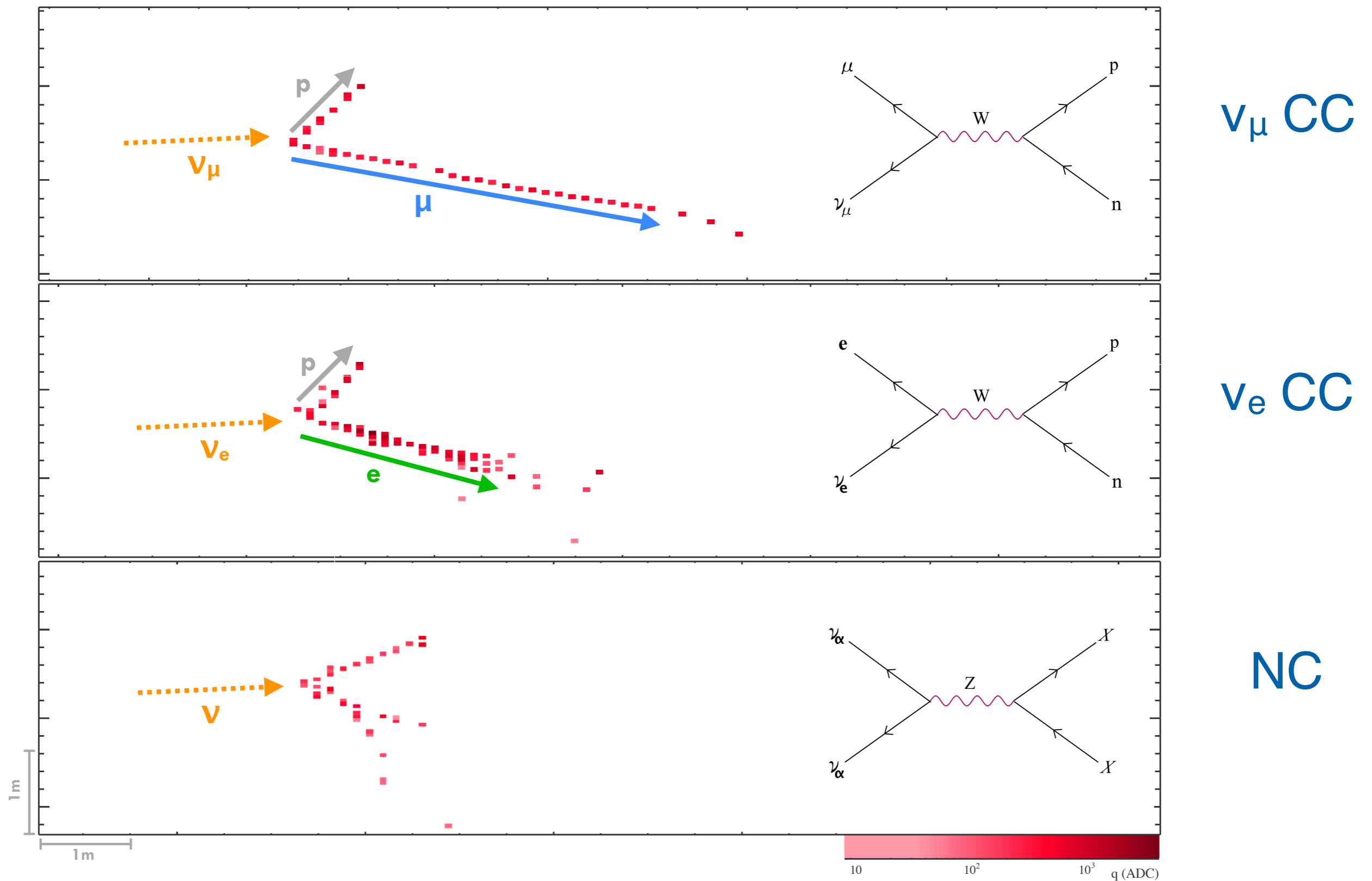
Event: 1142702 / --

UTC Tue Oct 28, 2014

12:22:5.908143168

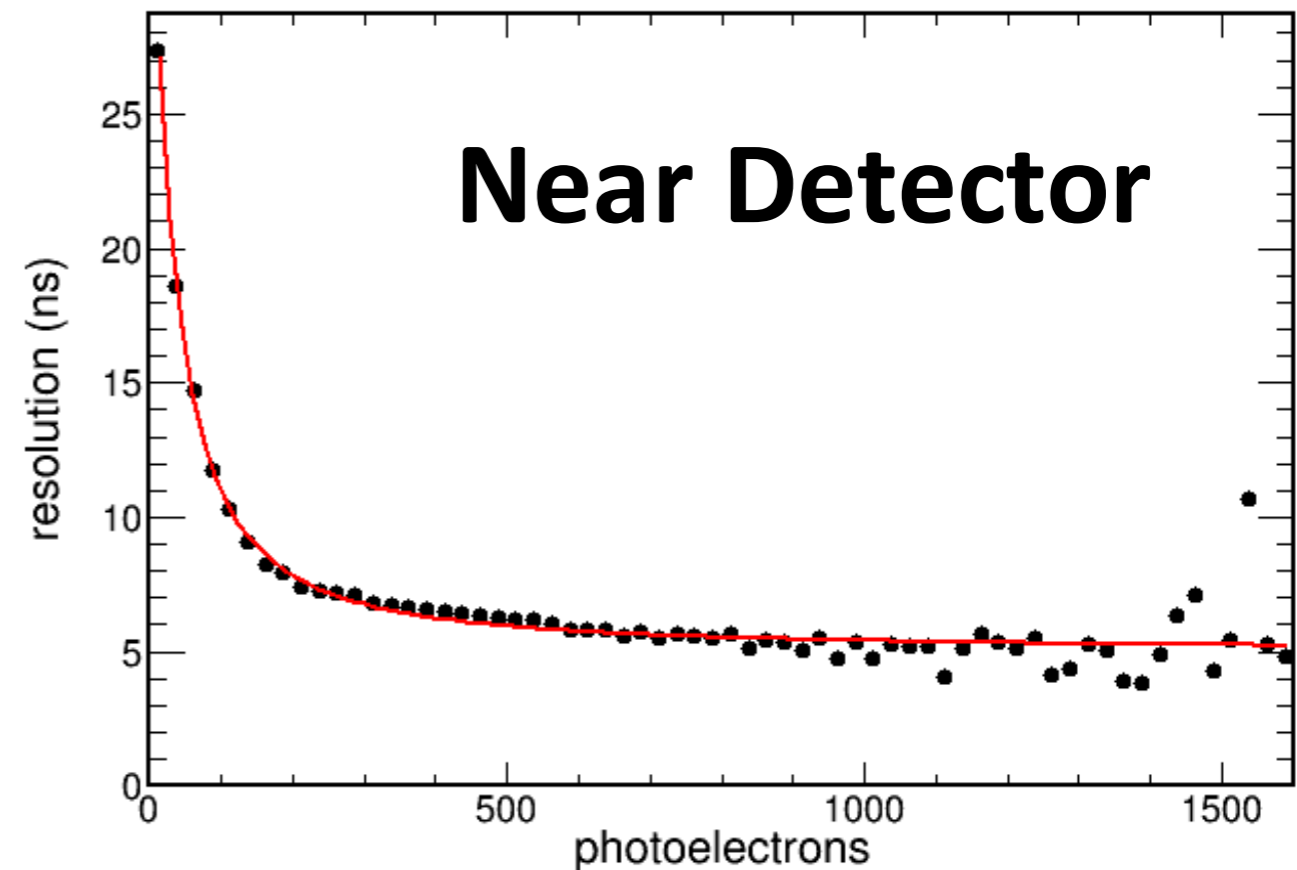
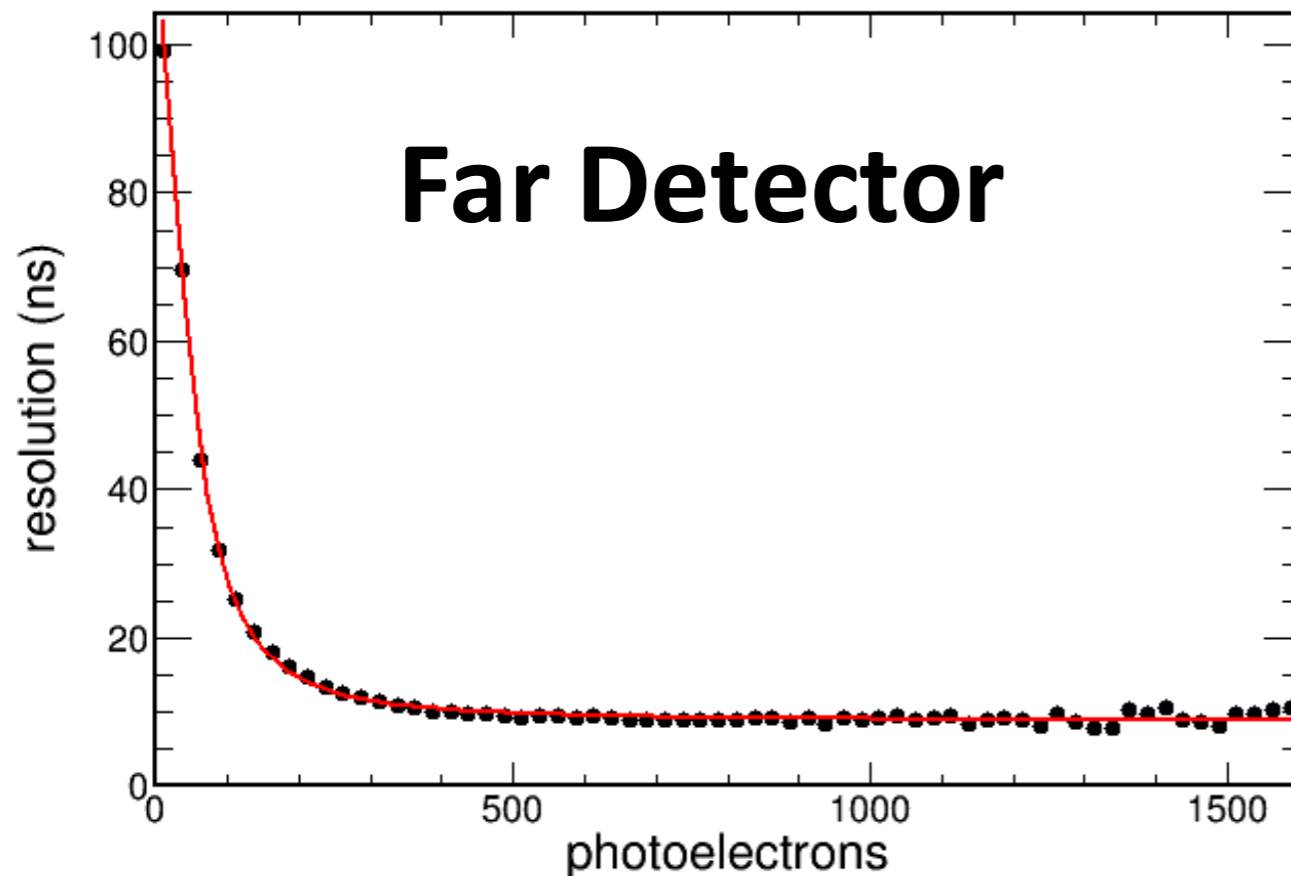
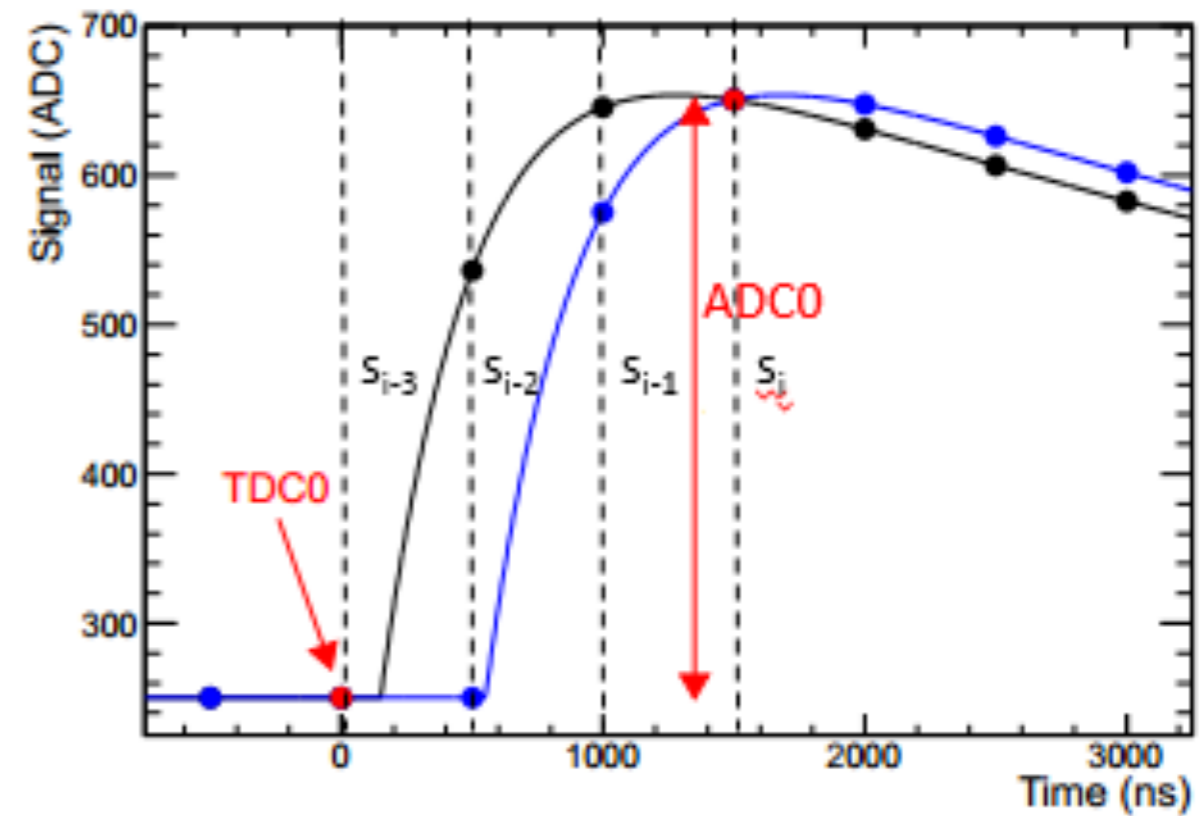


# Events in NOvA



# NOvA Timing

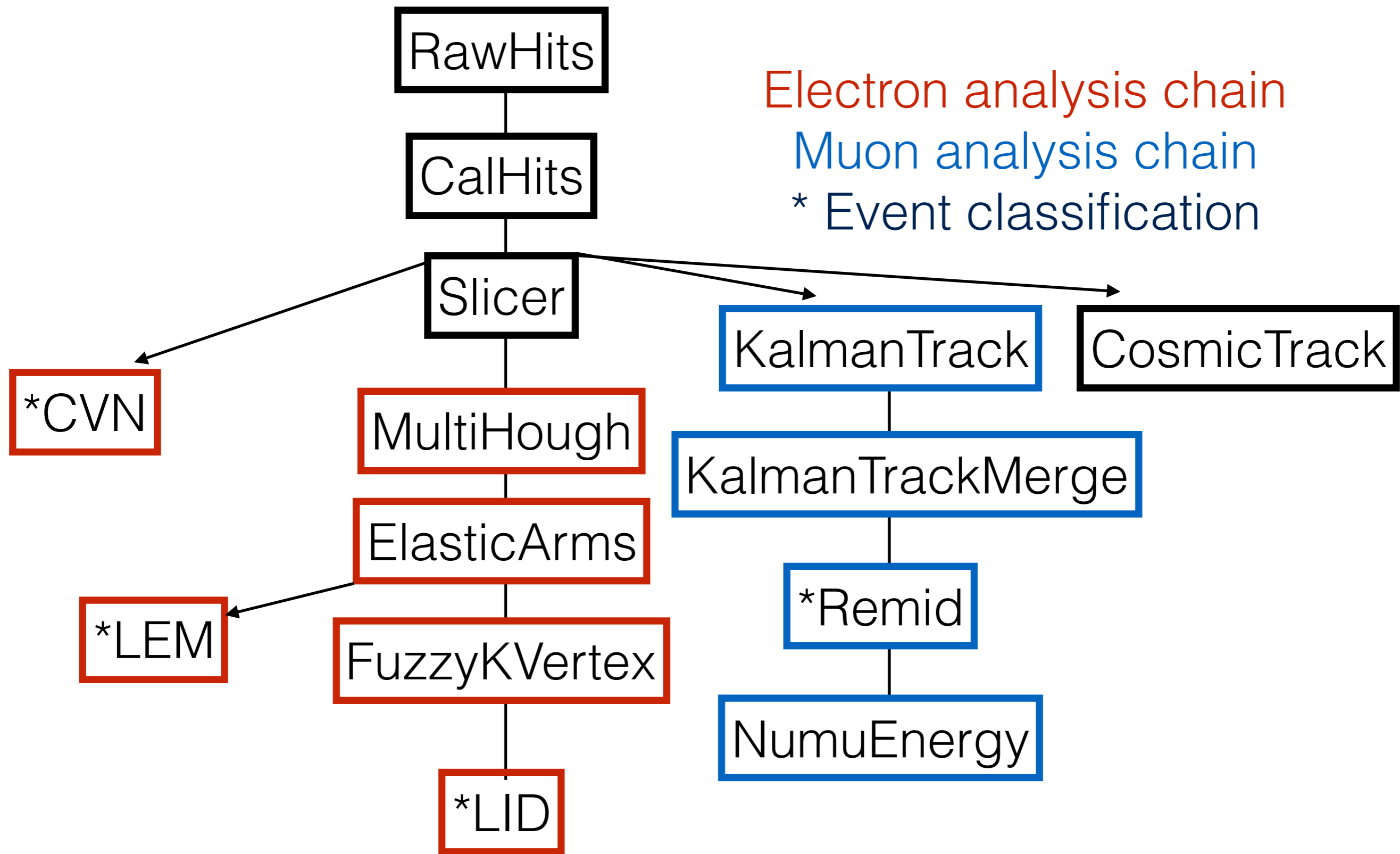
- Sample APD at 2 MHz (FD) or 8 MHz (ND)
- Read out four samples for hits over threshold, fit for pulse-height and time



# Reconstruction Challenges

- Far Detector is on the surface, sees 150 kHz of cosmics, need  $10^7$  cosmic rejection
- Near Detector sees 3-4 neutrino interactions per 10 microsecond beam spill.
- Our algorithms work well, have been through two analyses. Currently evaluating a few reconstruction improvements for the next cycle.

# Reconstruction Chains



# Slicing

- Reconstruction foundation. Downstream reco assumes each slice is one physics interaction
- Current: DBSCAN algorithm<sup>1</sup> clusters based on 4D space-time metric

$$D_n = \left( \frac{|\Delta T| - |\Delta \vec{r}|/c}{T_{res}} \right)^2 + \left( \frac{\Delta Z}{D_{pen}} \right)^2 + \left( \frac{\Delta XY}{D_{pen}} \right)^2$$

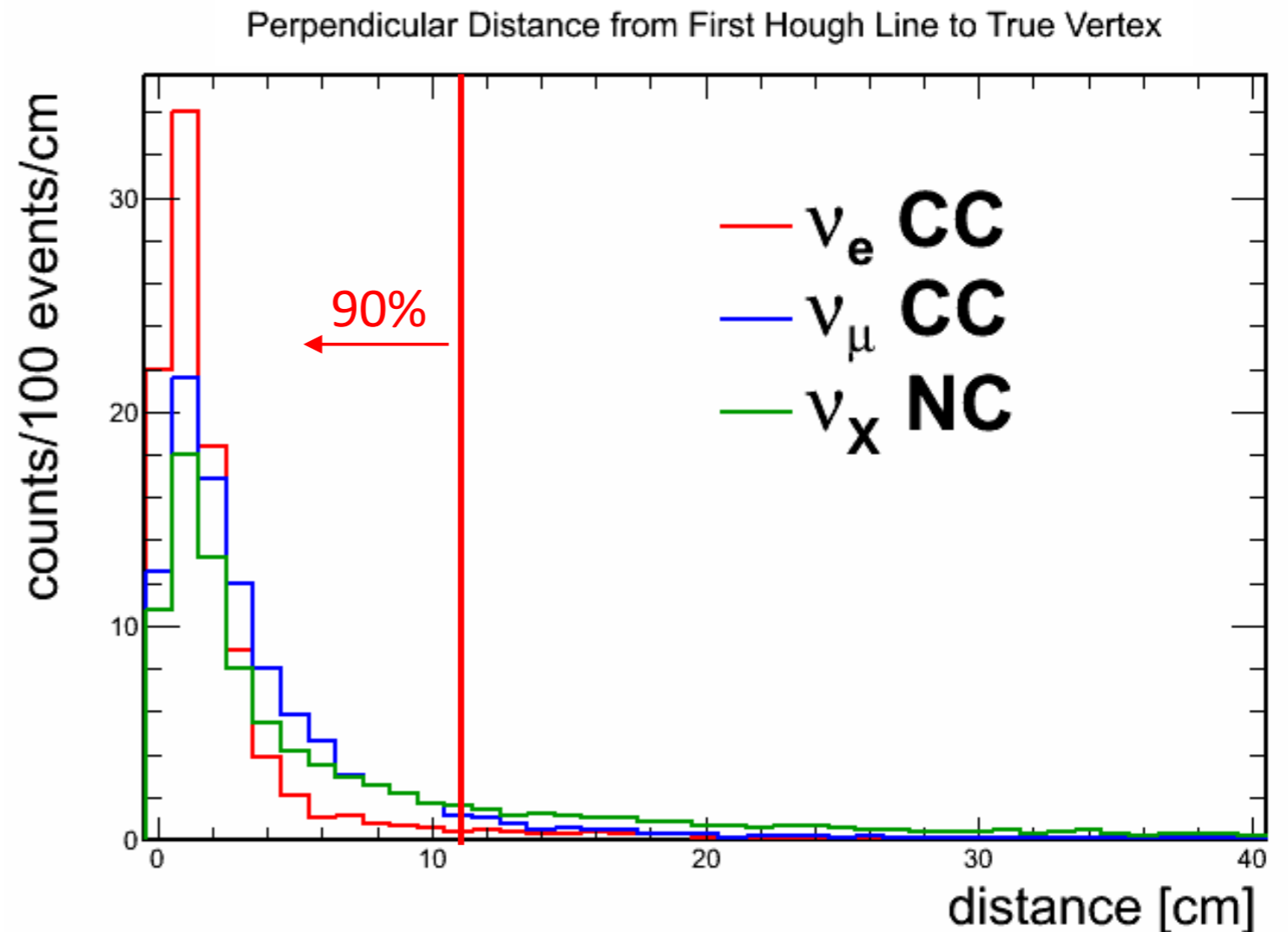
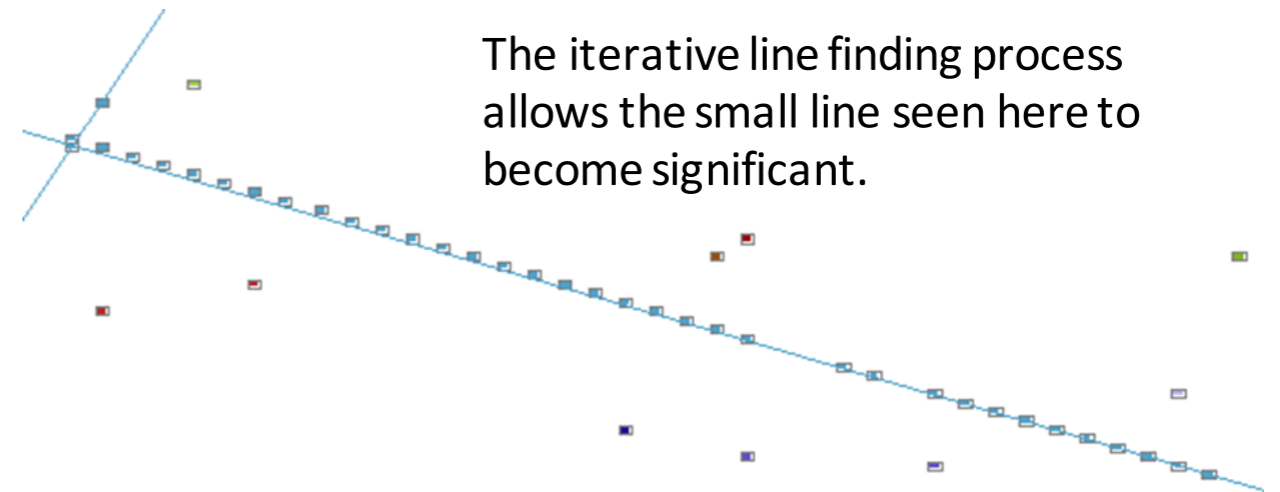
- Produces 3D clusters. Have seen a couple percent effect of multiple interactions being merged, largely due to triangle-inequality failures of the metric for slices aligned in time and Z, but spatially separated in X and Y.

<sup>1</sup>M. Ester, et. al., A Density-Based Algorithm for Discovering Clusters in Large Spatial Databases with Noise (1996)

# Hough Transform

- Modified algorithm where pairs of points are mapped into hough space, more robust against noise.
- Points near lines are removed in an iterative process in order to find finer structure.

In 90 % of all charged current events the prominent hough line comes within 11 cm of the event vertex.



# Vertexing

- The algorithm fits a model of a single vertex and N “arms” to the event by minimizing the energy function below.

$$E = \sum_{i=1}^K \sum_{a=1}^N V_{ia} M_{ia} + \lambda \sum_{i=1}^K \left( \sum_{a=1}^N V_{ia} - 1 \right)^2 + \frac{2}{\lambda_v} \sum_{a=1}^N D_a$$

Least squares      Noise penalty      Displaced prong penalty

hits  $\rightarrow K$       arms  $\rightarrow N$

Association strength of hit  $i$  to arm  $a$  (points to  $V_{ia}$ )

$\chi^2$  distance from hit  $i$  to arm  $a$  (points to  $M_{ia}$ )

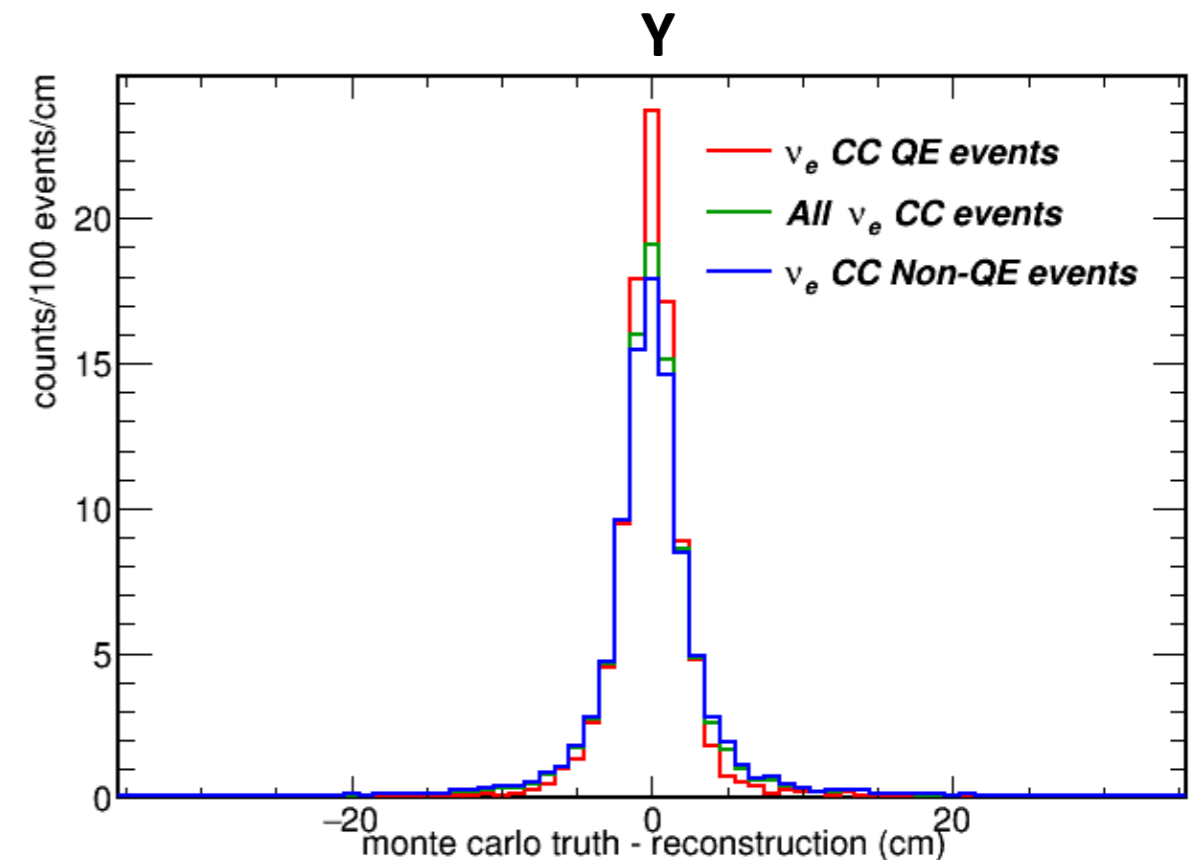
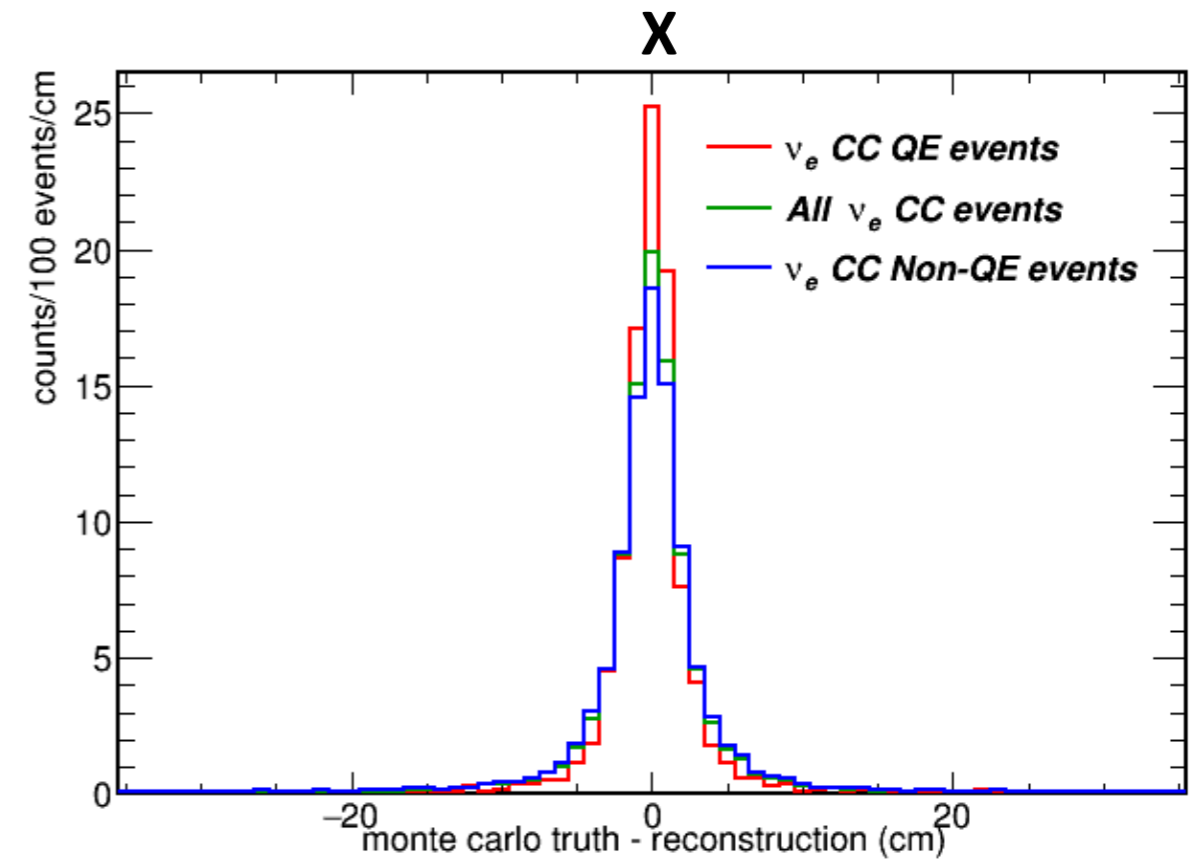
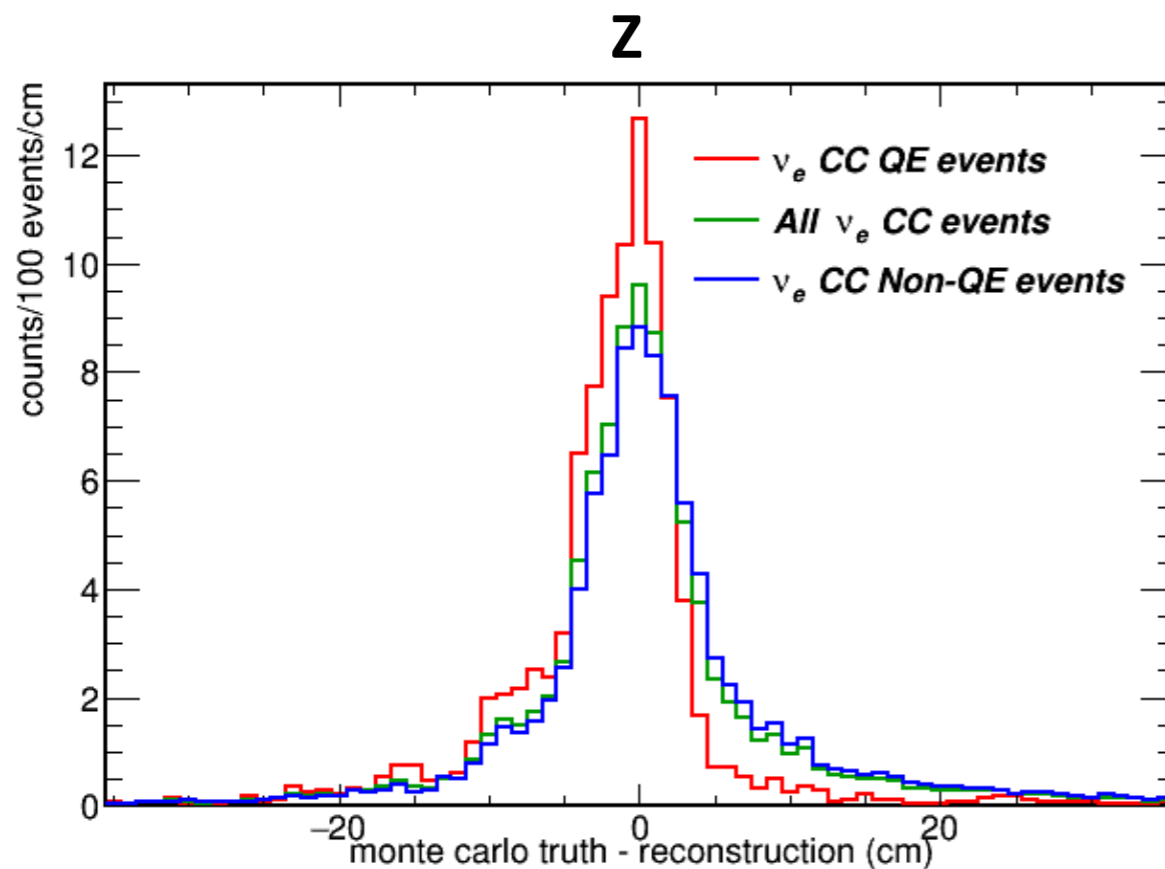
Distance from vertex to first hit in prong (points to  $D_a$ )

Photon conversion distance scale (points to  $\lambda_v$ )

- Hough lines and intersections are used as seeds for arms and vertex.

# Vertexing

## Far Detector Simulation



The vertex resolution for all  $\nu_e$  cc events is less than 5cm (one cell) in X and Y, and 8 cm in Z.

# Shower Reconstruction: Possibilistic Fuzzy K-means clustering

- “Fuzzy”: Individual hits are allowed to have membership in multiple clusters.
- “Possibilistic”: A cells total membership cannot exceed one, but it is not normalized, allowing noise hits to be unclustered.
- Cluster number not known a priori, start with 1 cluster and iterate until all hits are accounted for.
- Clustering is done separately in each view of the detector and then matches are made based on cluster characteristics.

## Distance to cluster centers

$$d_{ij} = \left( \frac{\theta_j - \bar{\theta}_i}{\sigma_j} \right)^2$$

Cell hit j

Cluster center i

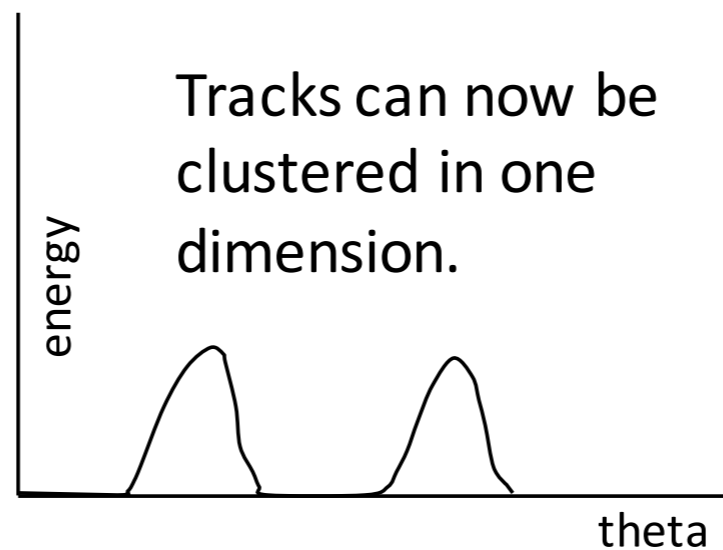
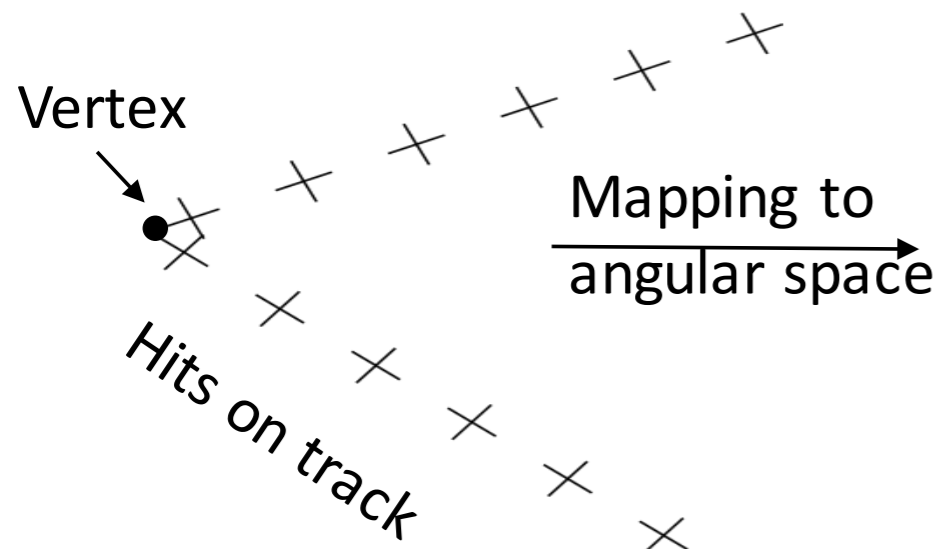
Angular uncertainty, derived from simulation

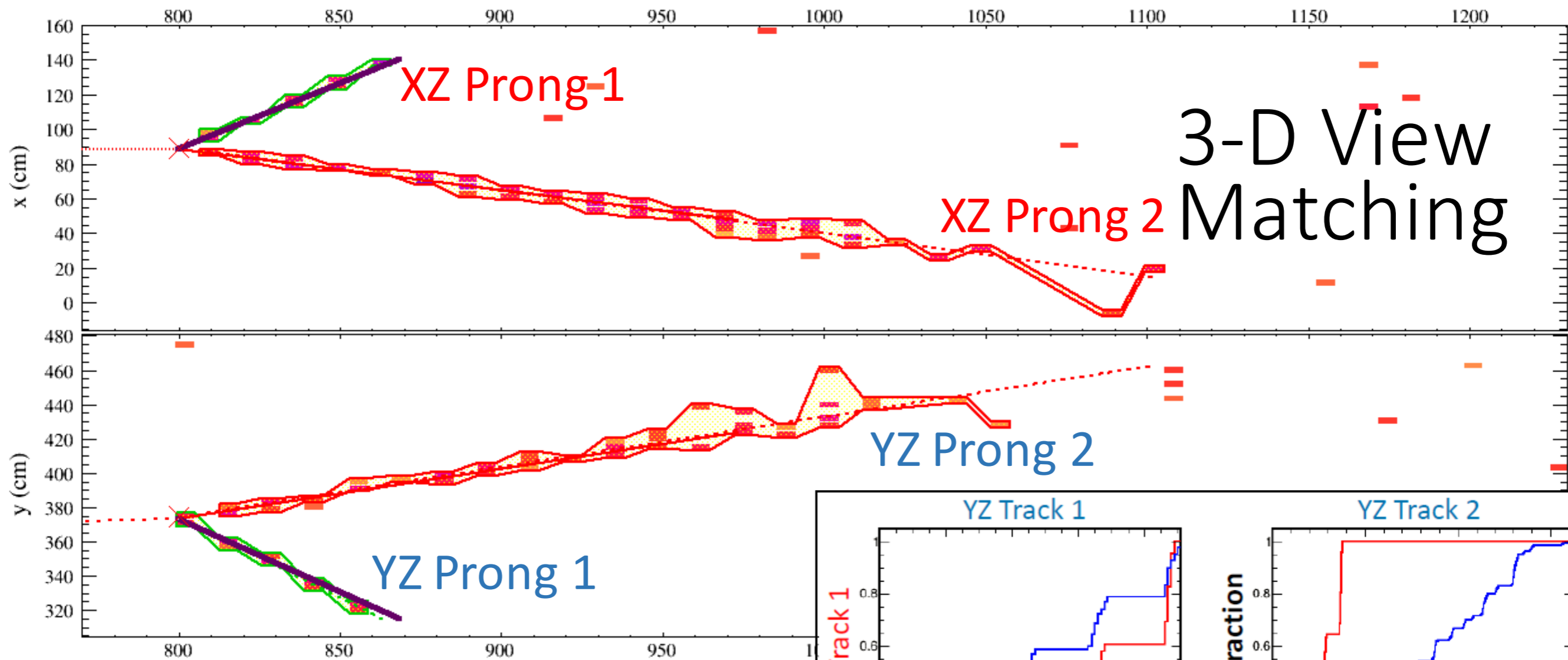
## Cluster Membership

$$U_{ij} = e^{-\frac{m\sqrt{c}d_{ij}}{\beta}}$$

## Updating cluster centers

$$\bar{\theta}'_i = \bar{\theta}_i + \frac{\sum_{j=1}^K \frac{U_{ij}^m}{\sigma_j^2} (\theta_j - \bar{\theta}_i)}{\sum_{j=1}^K \frac{U_{ij}^m}{\sigma_j^2}}$$





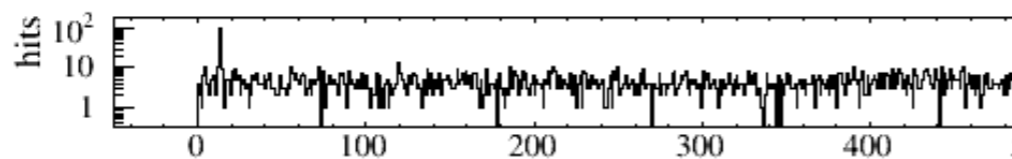
NOvA - FNAL E929

Run: 1 / 1

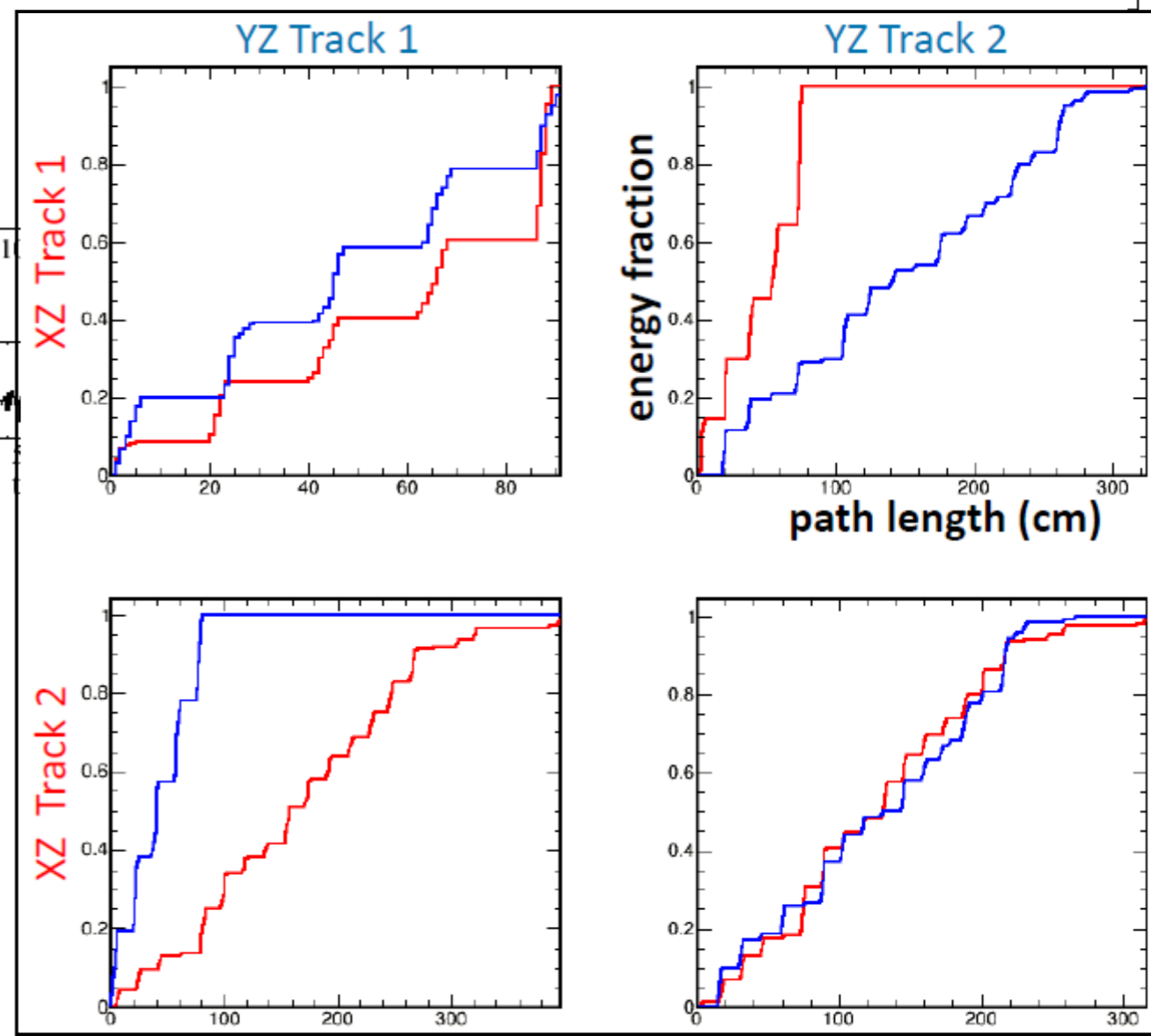
Event: 8 / NuMI

UTC Thu Jan 1, 1970

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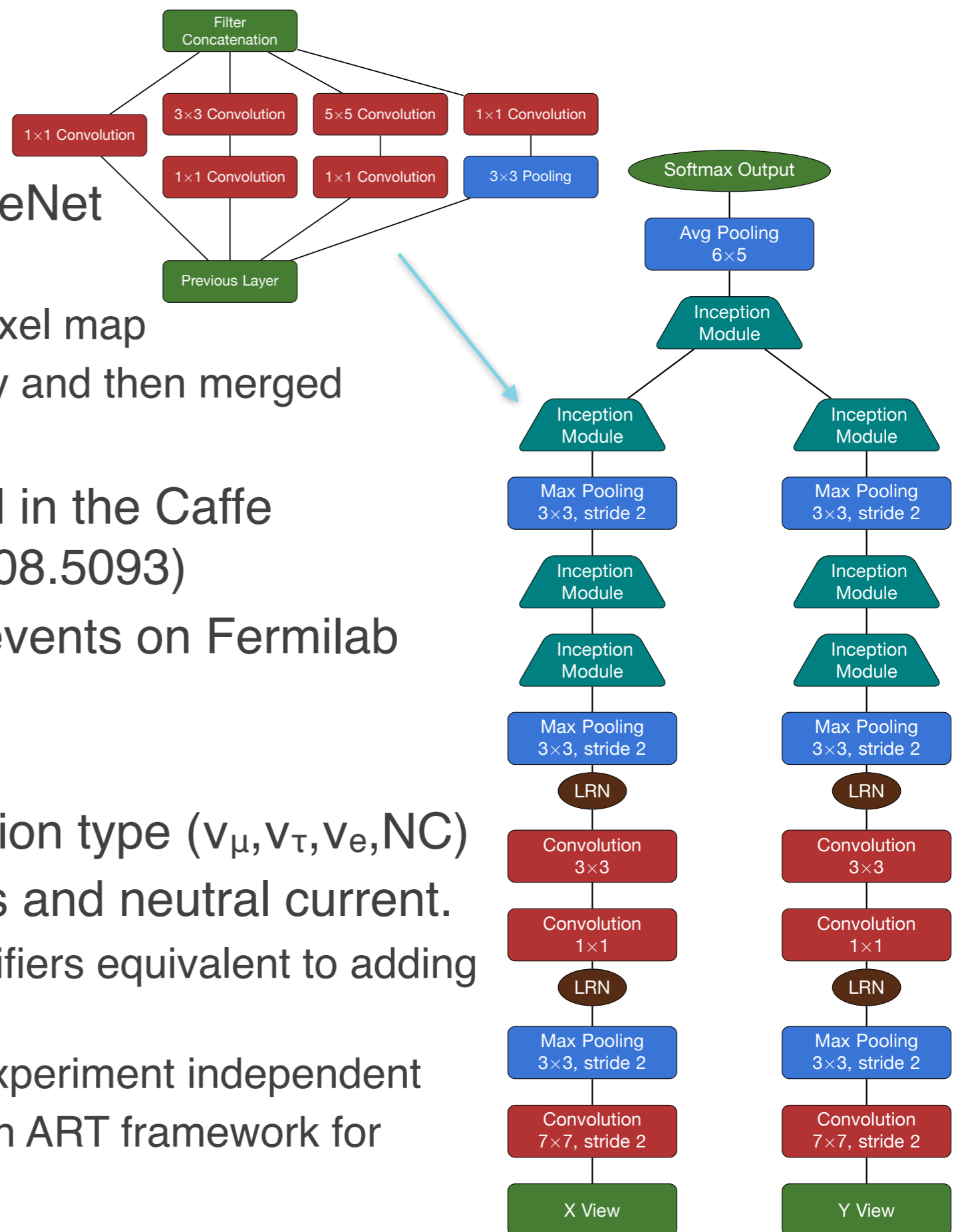


Algorithm does not yet  
support many-to-one  
matching between views



# CVN

- Architecture adapted from GoogLeNet
  - C. Szegedy et al., arXiv:1409.4842
  - Input is 80 cell x 200 plane detector pixel map
  - Each event view processed separately and then merged
- Network implemented and trained in the Caffe Framework (Y. Jia et al., arXiv:1408.5093)
- Trained on 4.7 million simulated events on Fermilab GPU cluster
- Output classifies neutrino interaction type ( $\nu_\mu, \nu_\tau, \nu_e, NC$ )
- Used in electron neutrino analysis and neutral current.
  - Performance gain over previous classifiers equivalent to adding 30% more detector mass
- Caffe framework available on Scisoft, experiment independent
- We have deployed our Caffe networks in ART framework for evaluation



# CVN Plans

- Developing Prong-based network. ID each individual prong as a particle
- Go one step further with semantic segmentation. ID each pixel by particle and then reconstruct objects
- Exploring network speed optimizations
- Adding timing information to network (upward-going muons, michel tagging, information from other slices).
- and more

# What are we working on?

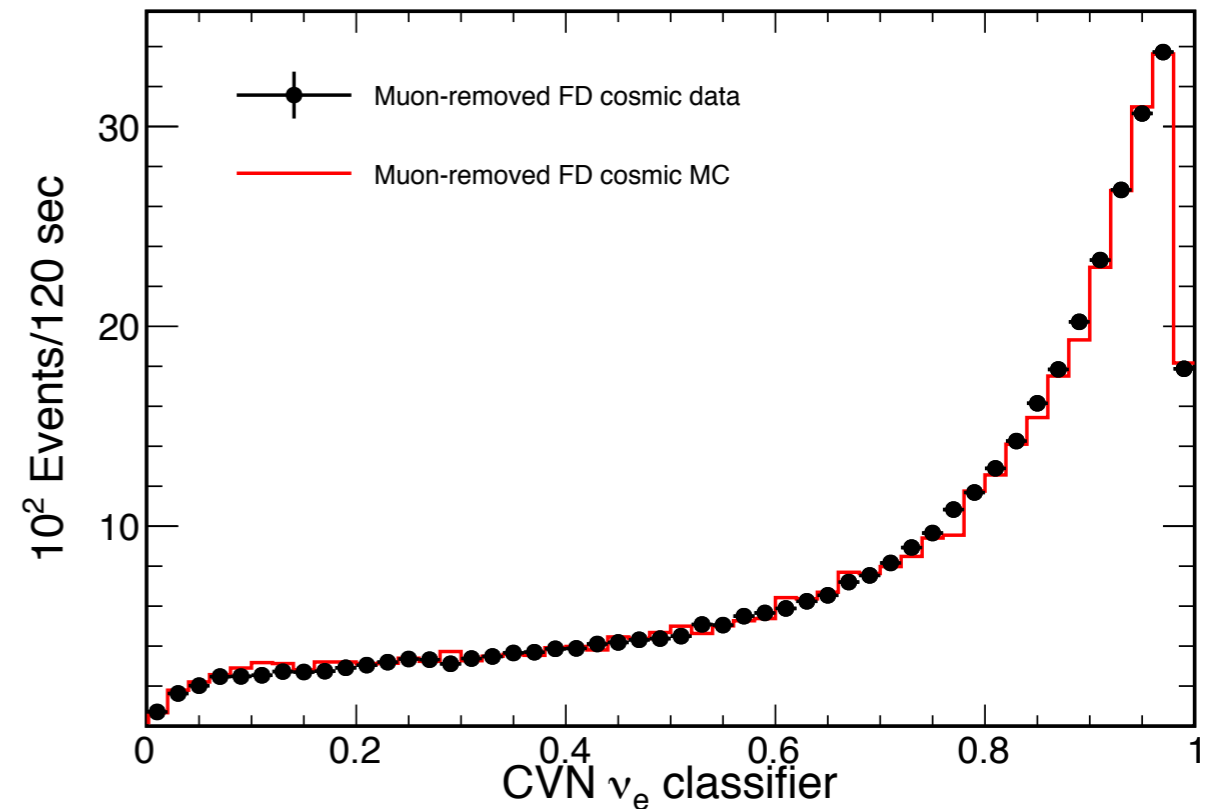
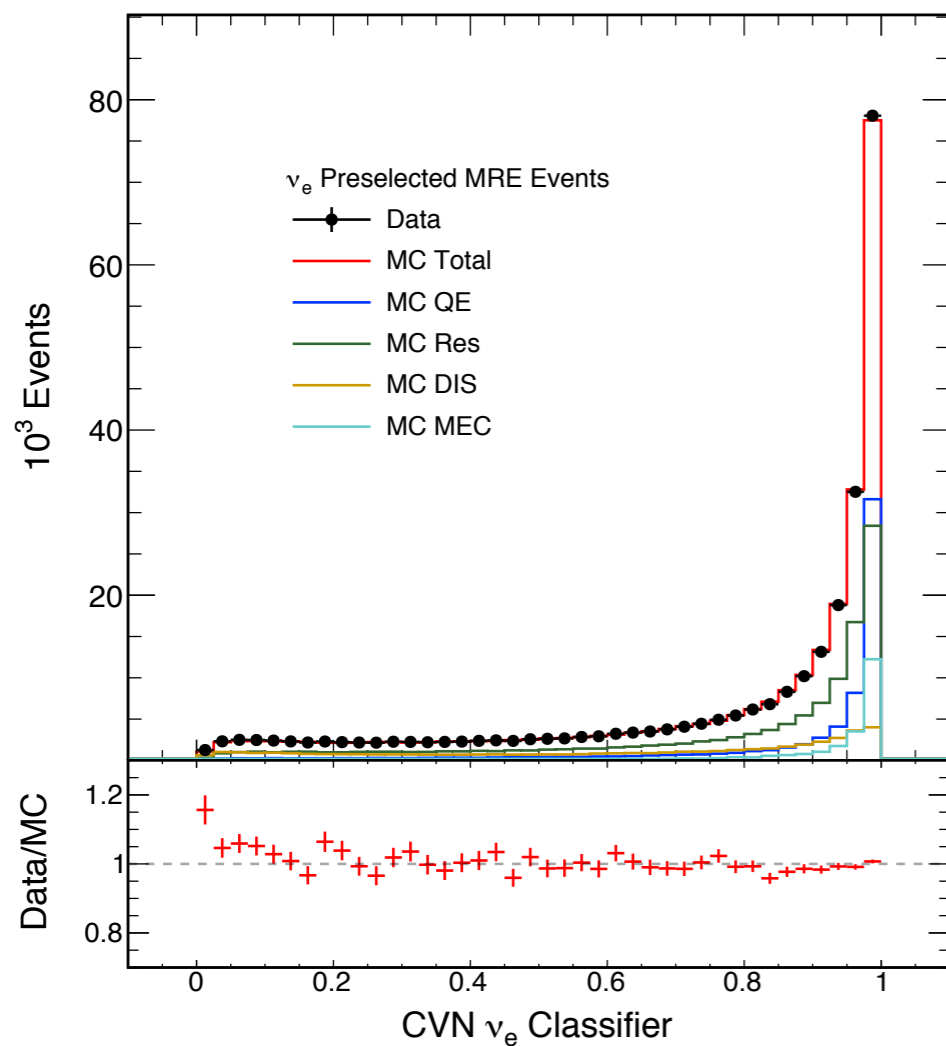
- Evaluating new slicing algorithm. 2D temporal clustering, followed by spatial clustering and view matching to reduce some pile-up effects
- New vertex algorithm (algebraic hough transform, improves speed and resolution, reduces 2-step process to one)
- Improve 2D->3D merging of showers (allow multiple-to-one matching, consider 3D from the start)
- CVN developments

# Backup

# Evaluating Signal Efficiency

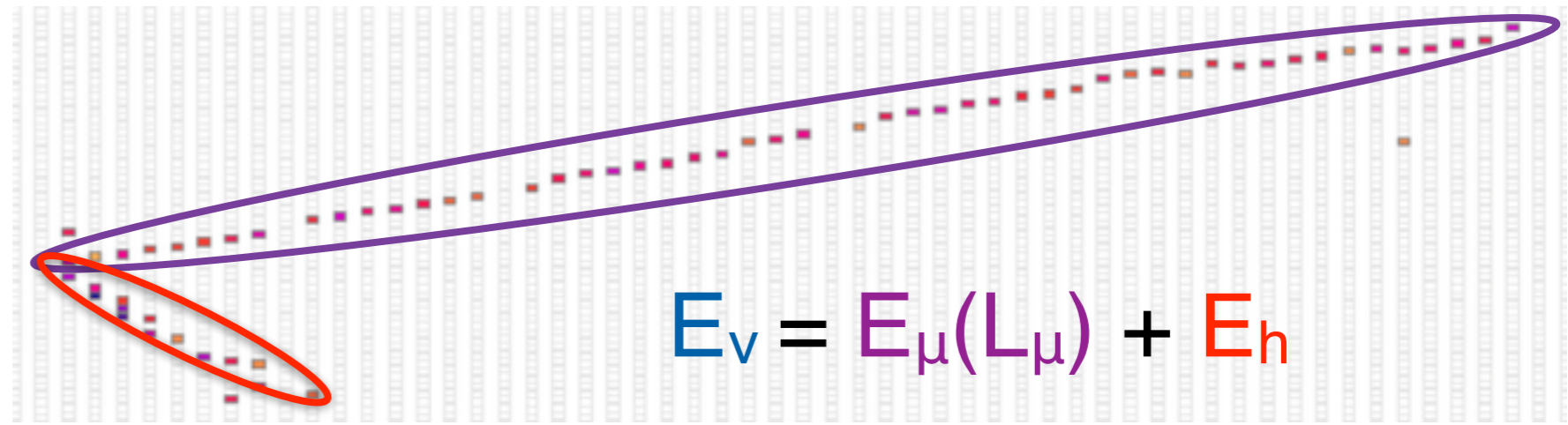
- Remove cosmic ray muon from FD events in data and simulation
- Apply selection to remaining bremsstrahlung shower to benchmark simulation of electron selection

NOvA Preliminary



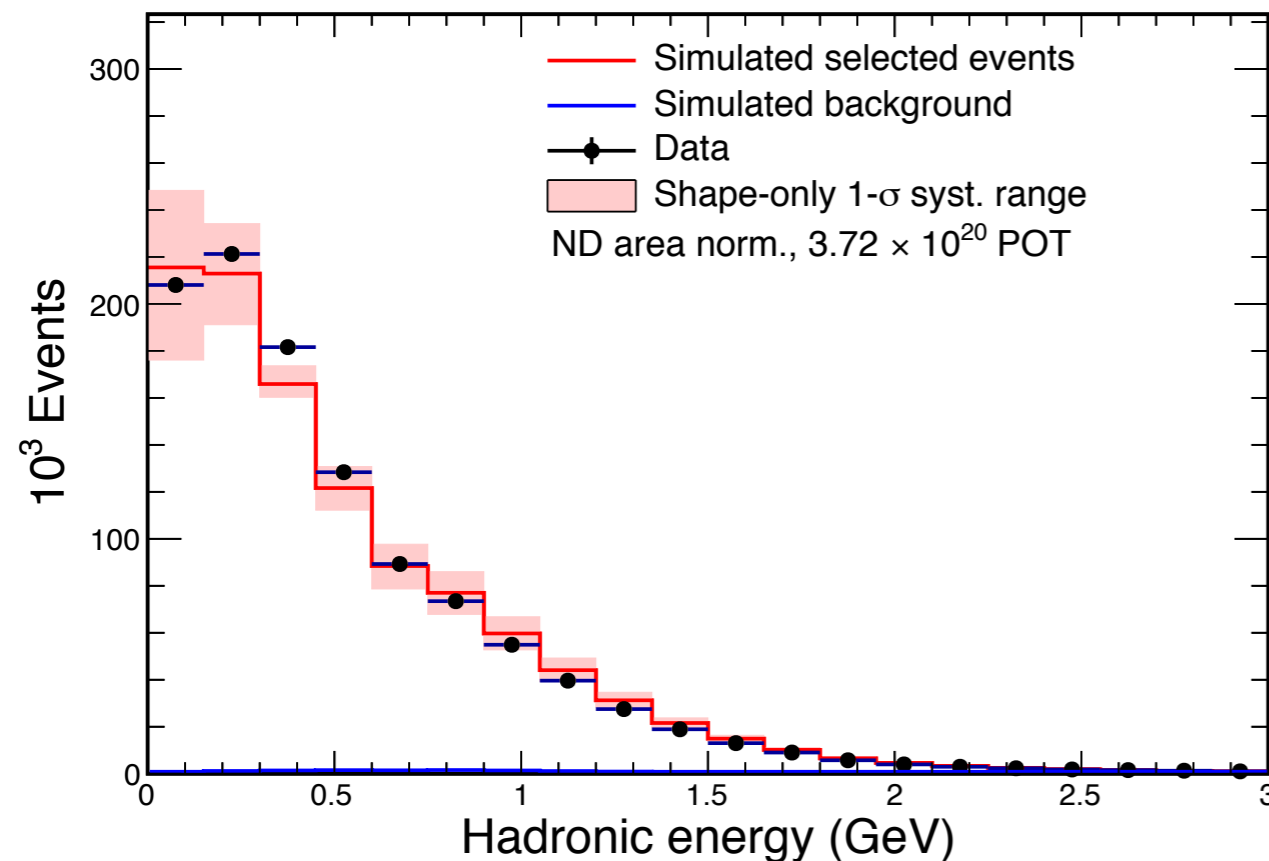
- EM showers should be well modeled, check if selection efficiency differences come from hadronic side
- Remove reconstructed muons from selected ν<sub>μ</sub> events, replace with simulated electron (MRE)
- better than 1% agreement between efficiency for selecting data MRE events and efficiency for selecting MC MRE events

# Energy Estimation

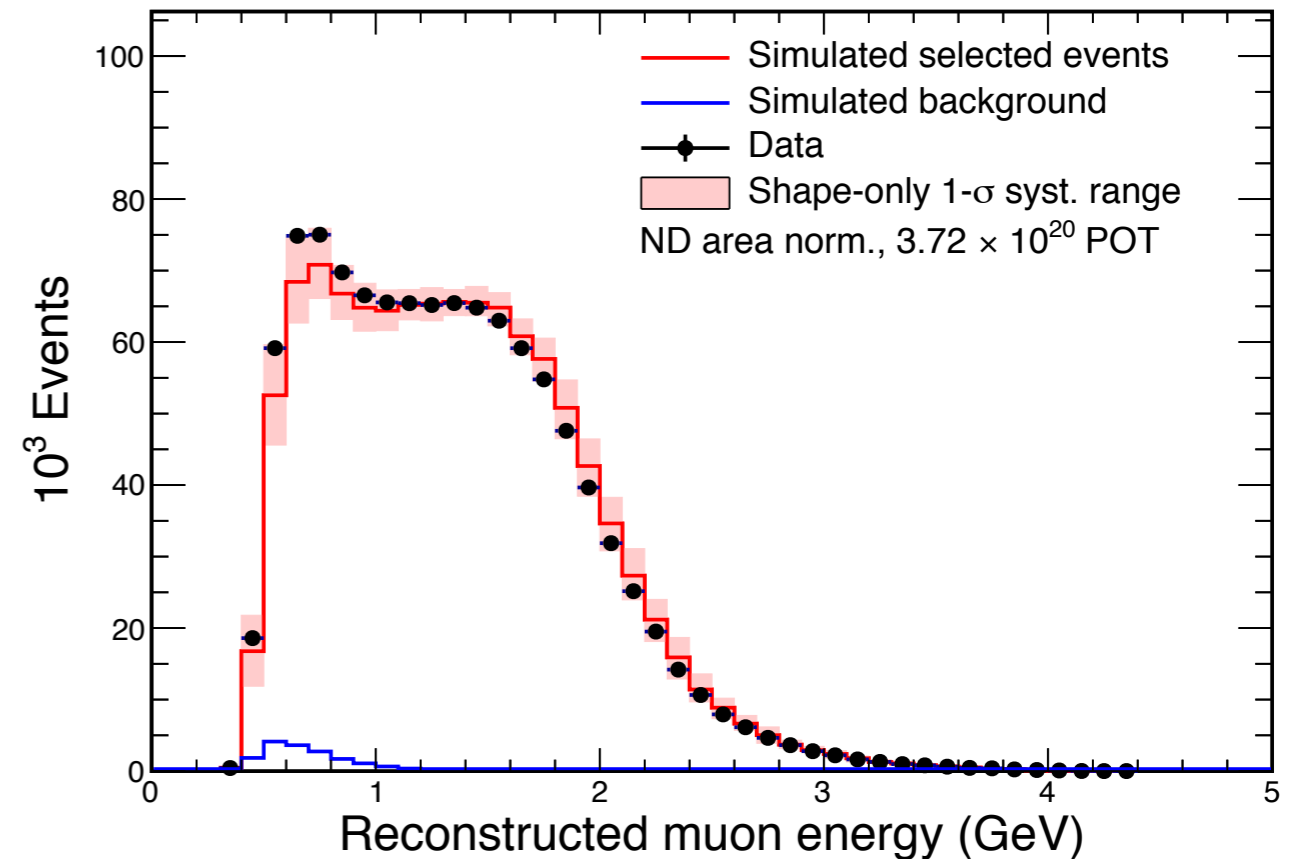


- Muon dE/dx used in length-to-energy conversion
- Hadronic energy estimated calorimetrically from off-track hits
- $\sim 7\%$  resolution on neutrino energy

NOvA Preliminary

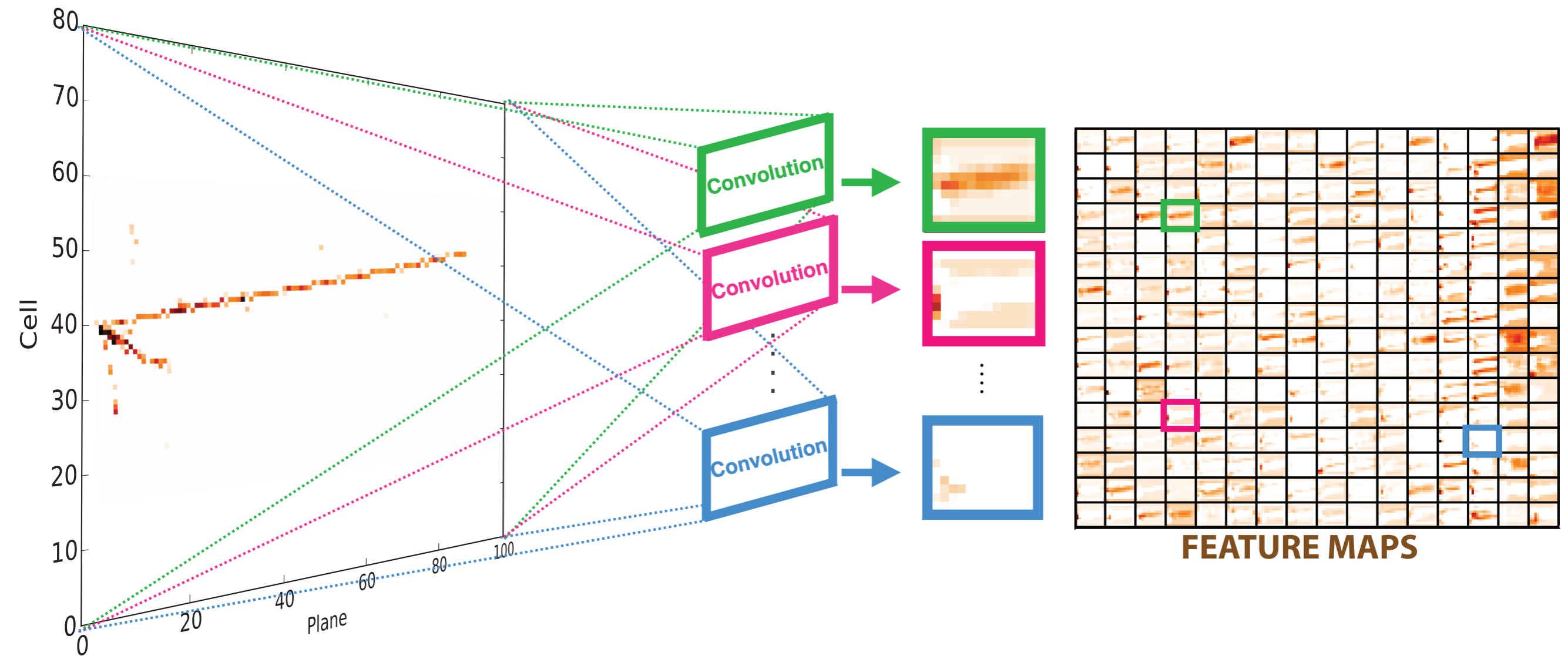


NOvA Preliminary



# Convolutional Neural Networks

- Showing a muon neutrino interaction and the first layer of feature maps extracted from the convolutional kernels



# Convolutional Neural Networks

- Showing a electron neutrino interaction and the first layer of feature maps extracted from the convolutional kernels
- The strong features extracted are the shower as opposed to the muon track

