

# The CALICE highly granular scintillator calorimeters



**DUNE ND WS**  
Fermilab, March 2017

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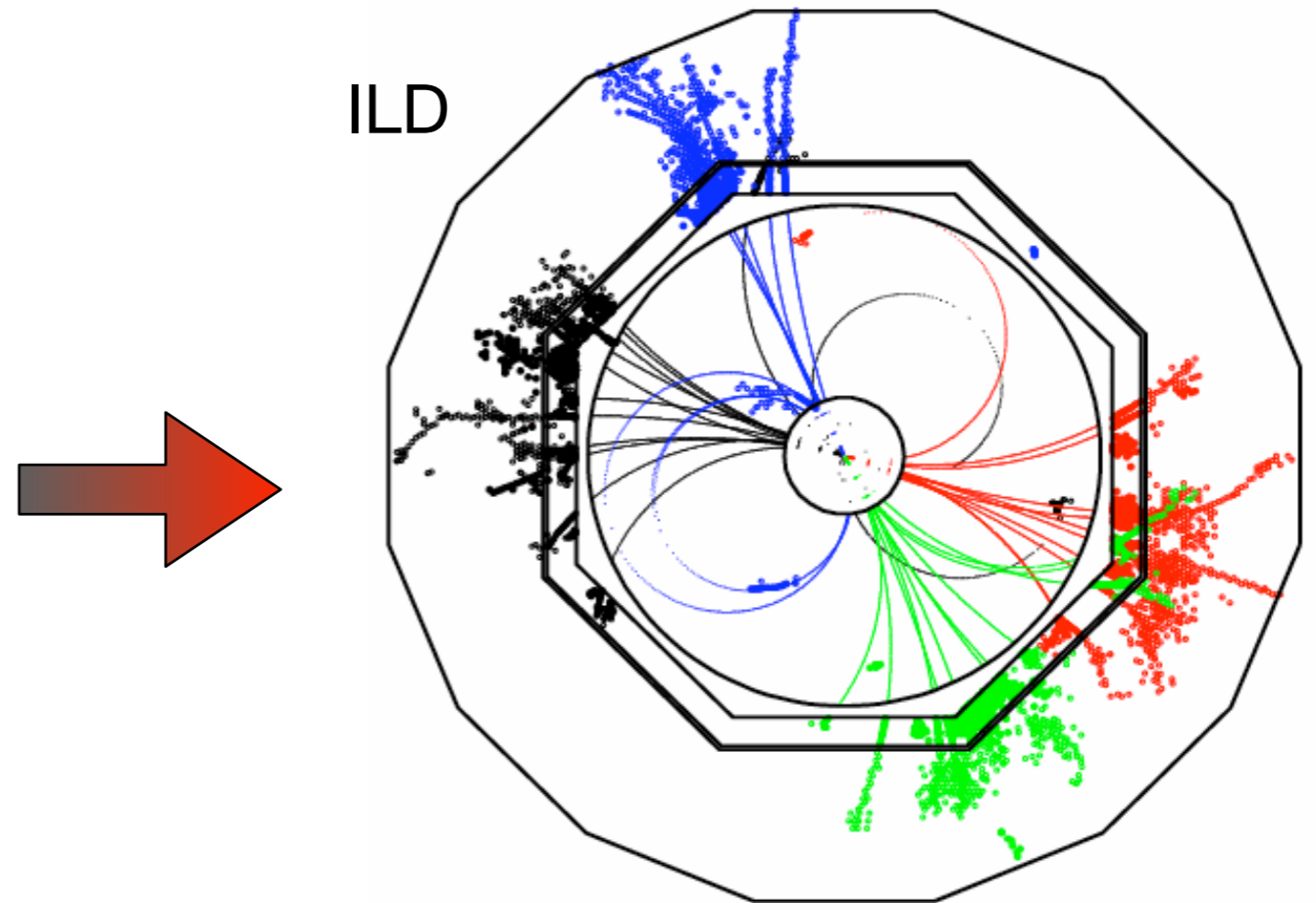
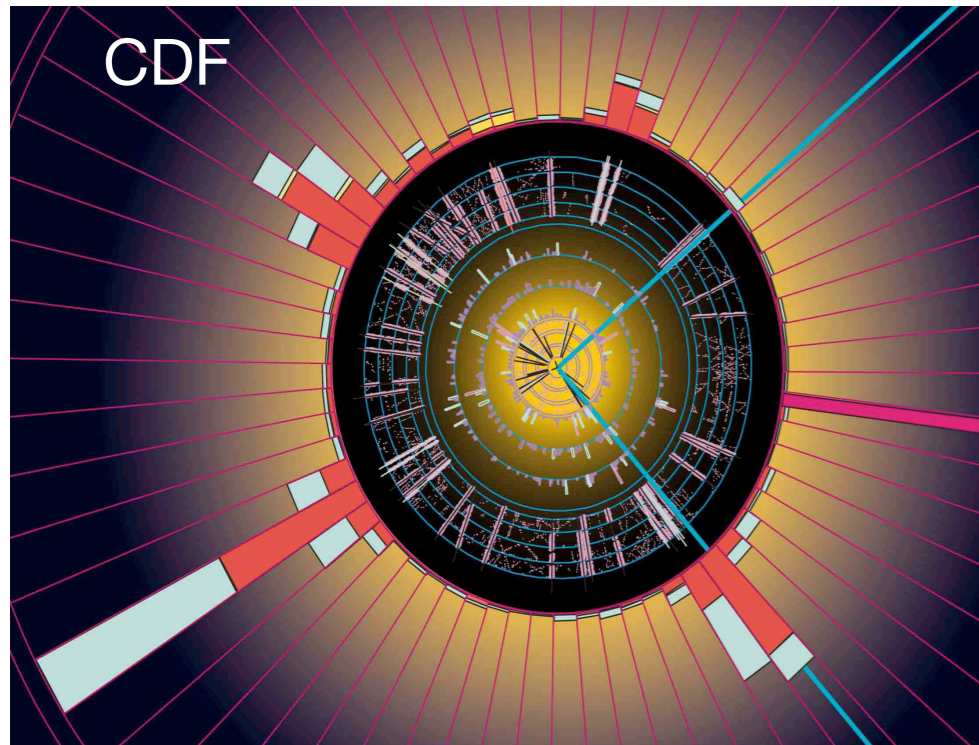
# Outline

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- Introduction: The CALICE Context
- The CALICE scintillator-based calorimeter prototypes - Overview
- Focus on the analog hadron calorimeter:
  - The technological prototype - hardware details
  - Latest results: QA, timing
  - A few words on simulations
  - Ideas beyond the standard design
- Conclusions

# Introduction: Event Reconstruction in HEP

- From towers to particles:



- The ideal event reconstruction in HEP: 4-vectors of individual final-state particles instead of jets formed from calorimeter towers - “*Particle Flow*”
  - ⇒ Opens up new possibilities in jet reconstruction, background rejection, ...
  - ▶ Requires the connection of information from all sub-detectors - and the separation of energy depositions of close-by particles in the calorimeters
    - ▶ Best achieved with highly granular detectors

# A Few Words on CALICE

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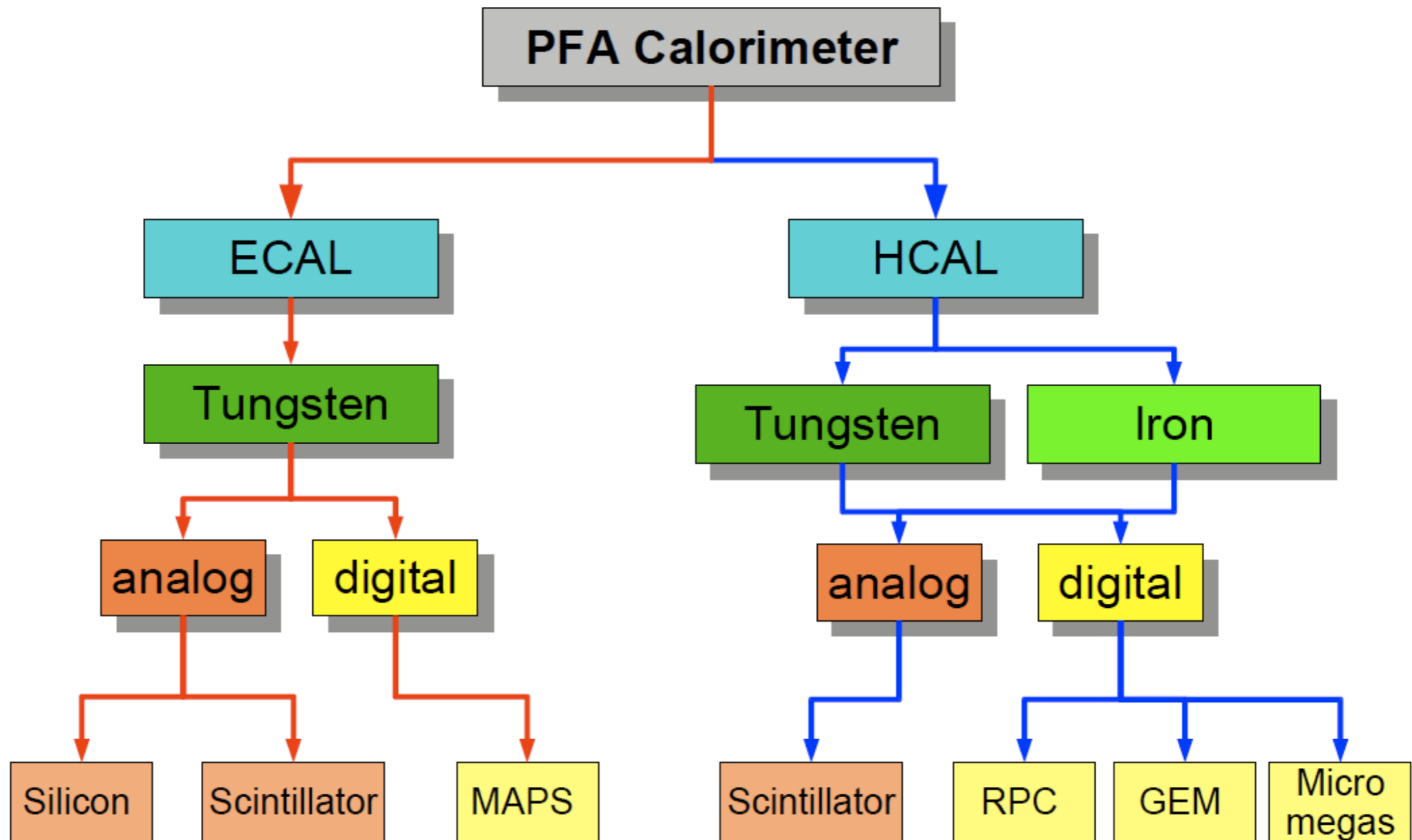
- Highly granular calorimeters were initially motivated by the requirements imposed by the physics program of future high-energy linear  $e^+e^-$  colliders - but the corresponding calorimeter technology was not available
- ⇒ Dedicated R&D program started to address this issue in 2001 - initially as DESY PRC R&D 01/02 in the context of TESLA
- Completion of the formal founding of the CALICE collaboration in 2005

## CALICE today:

- 55 institutes in 19 countries (4 continents)
- ~ 350 members
- not exclusive to linear colliders: Also groups from ALICE and with generic calorimetry interest, strong links to LHC Phase 2 Upgrades
  - ... and open to new activities.

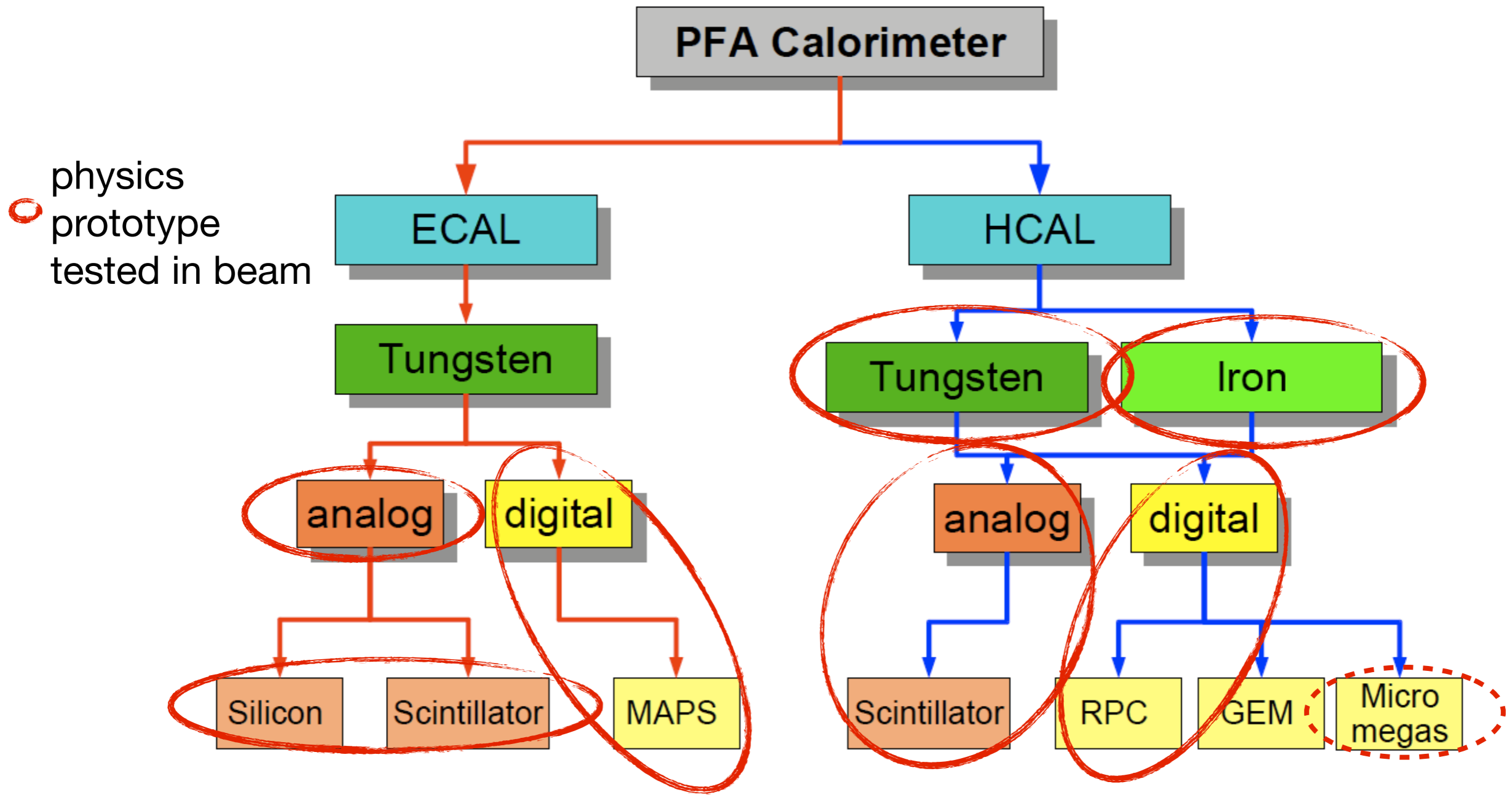
# The CALICE Prototypes

- A rich program exploring the full spectrum of imaging calorimeter technologies



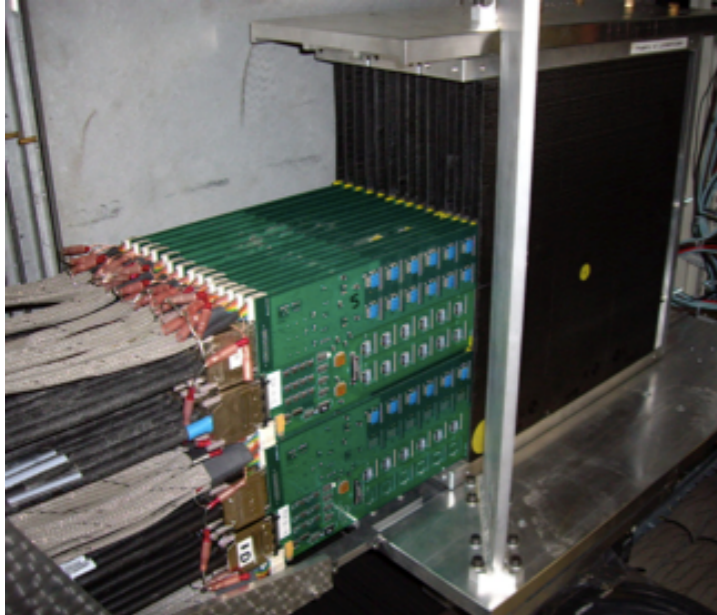
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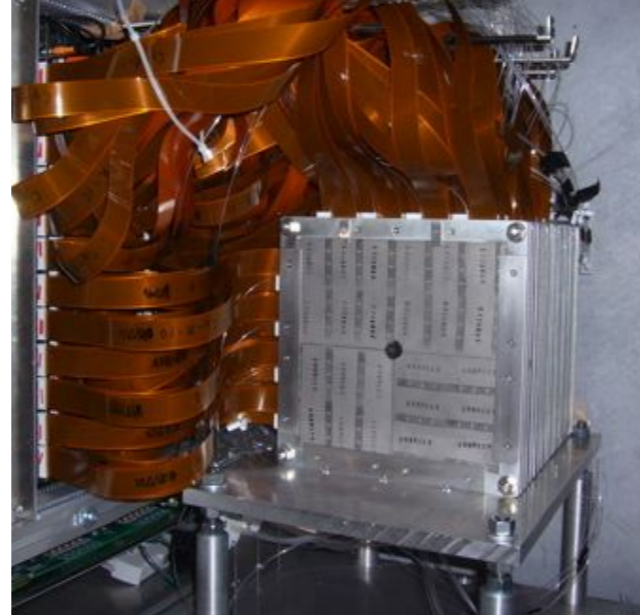


# The CALICE Prototypes

- SiW ECAL



- ScintW ECAL



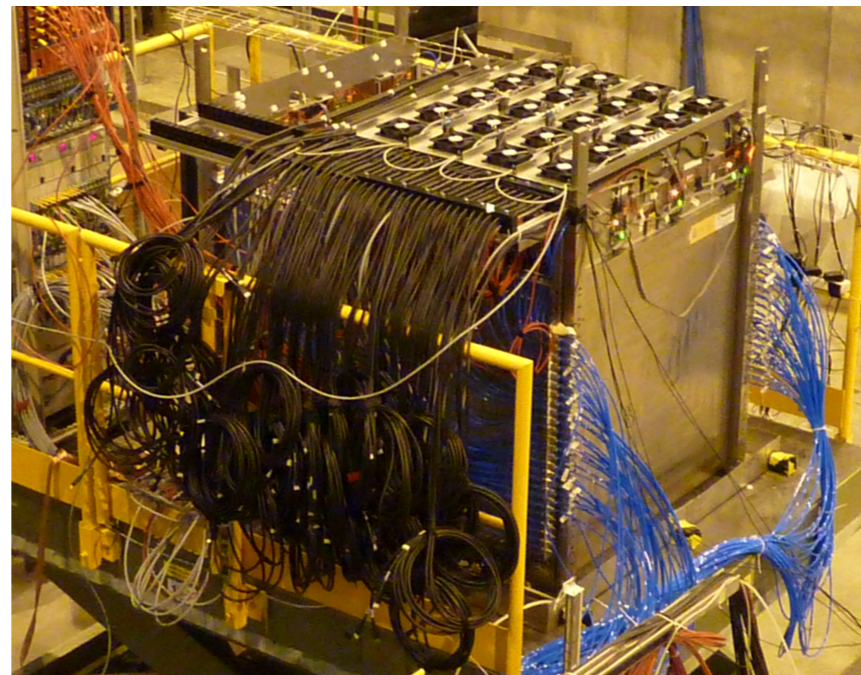
- AHCAL (Fe & W)



- DHCAL (Fe & W)



- SDHCAL



+ a few layers of Micromegas, dedicated experiments to study timing, and a few layers of technological ECAL and HCAL prototypes

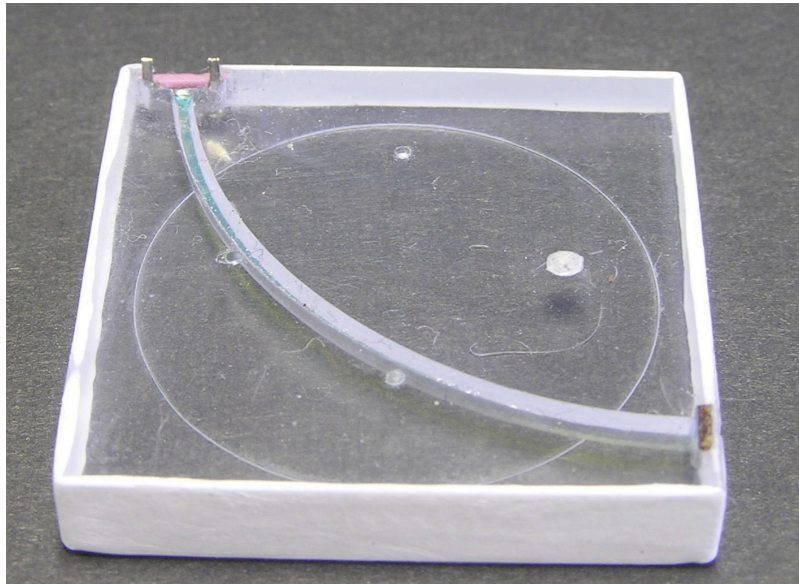
# The Scintillator-based Physics Prototypes



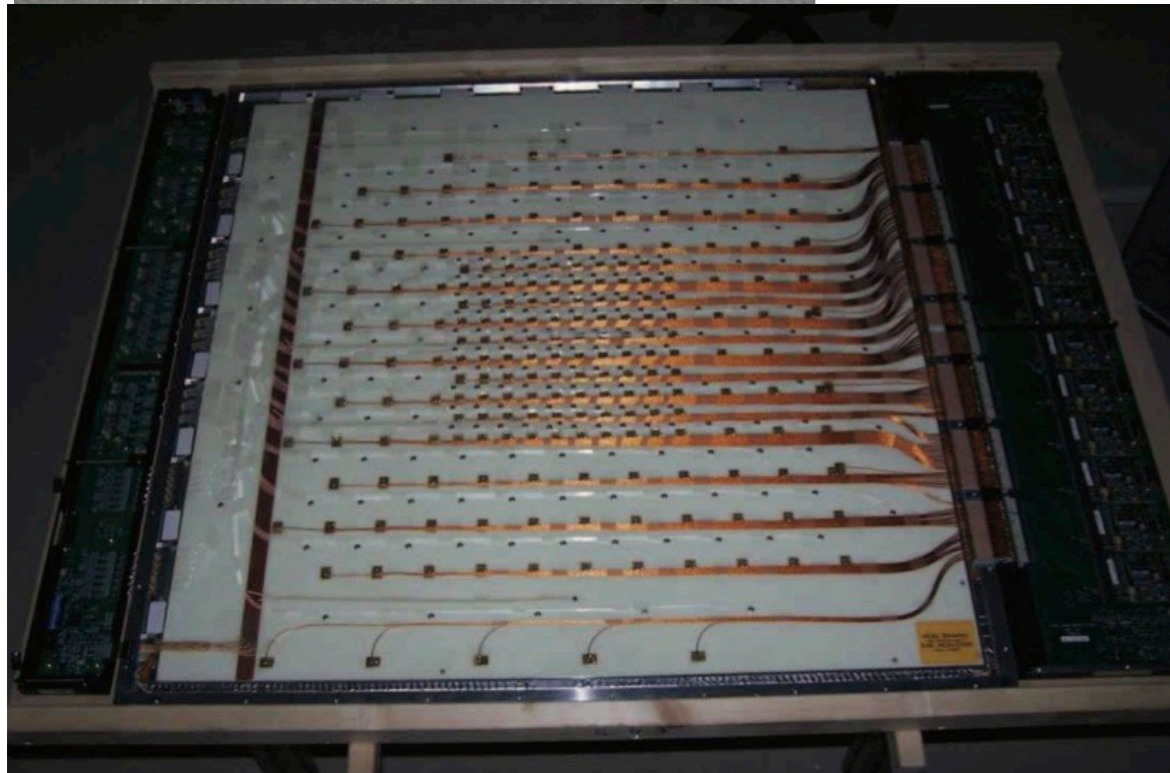
# The CALICE AHCAL

- The first large scale use of SiPMs in HEP: A 8000 channel detector, first operated in beam in 2006, used in various configurations until 2011 at DESY, CERN and FNAL

JINST 5 P05004 (2010)



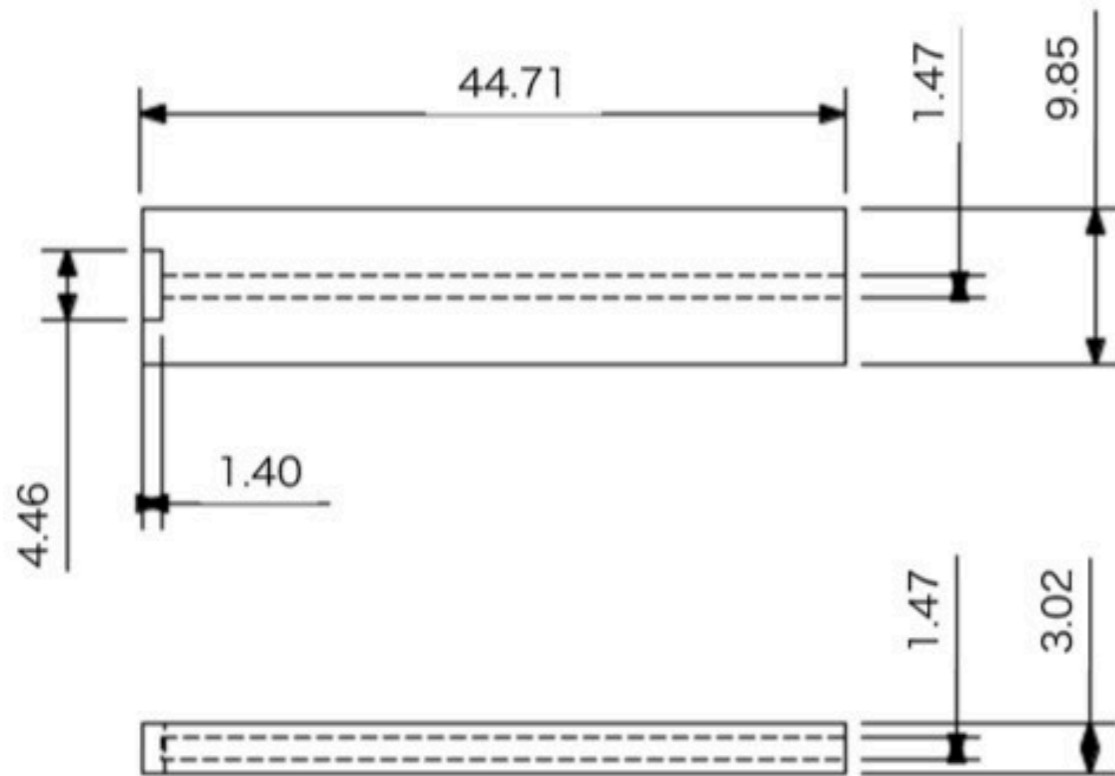
- Based on 3 x 3 x 0.5 cm<sup>3</sup> polystyrene scintillator tiles with WLS fiber in a machined groove (also using 6 x 6 and 12 x 12 cm<sup>2</sup> in outer / rear region)
- MEPhi/PULSAR SiPM, 1.1 x 1.1 mm<sup>2</sup>, 1152 pixels (32 x 32 μm<sup>2</sup>)



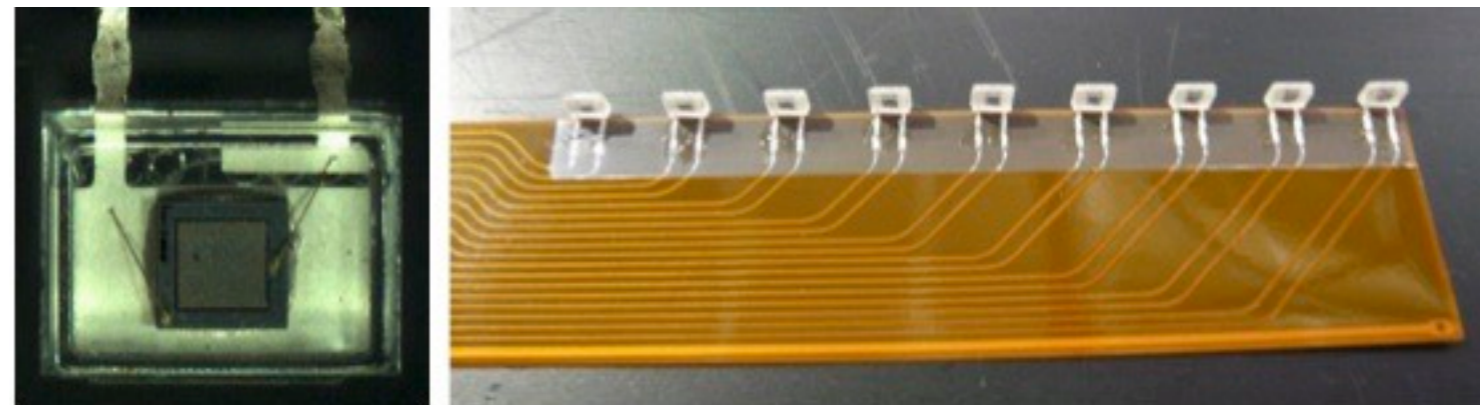
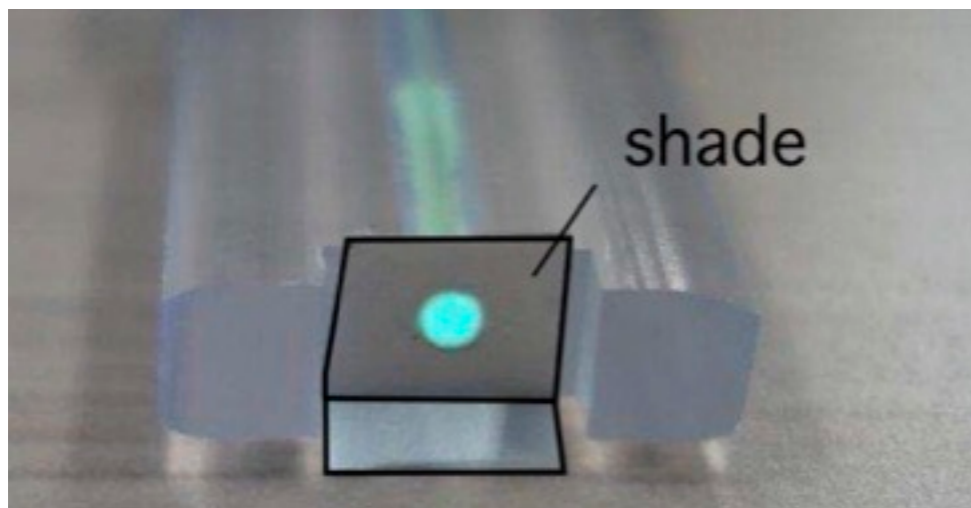
- Signals digitized with custom electronics outside of detector, connected via micro-coax cables up to 1 m long
- LED-based calibration system, UV light delivered to each tile via optical fibers from calibration board outside of detector
- 21.7 mm steel absorber per layer (~ 1 X<sub>0</sub>)

# The CALICE Scintillator ECAL

- Scintillator-Strip ECAL - with 3.5 mm thick Tungsten Carbide absorber plates ( $\sim 0.7 X_0$ )
- Operated at Fermilab in 2009, together with CALICE AHCAL

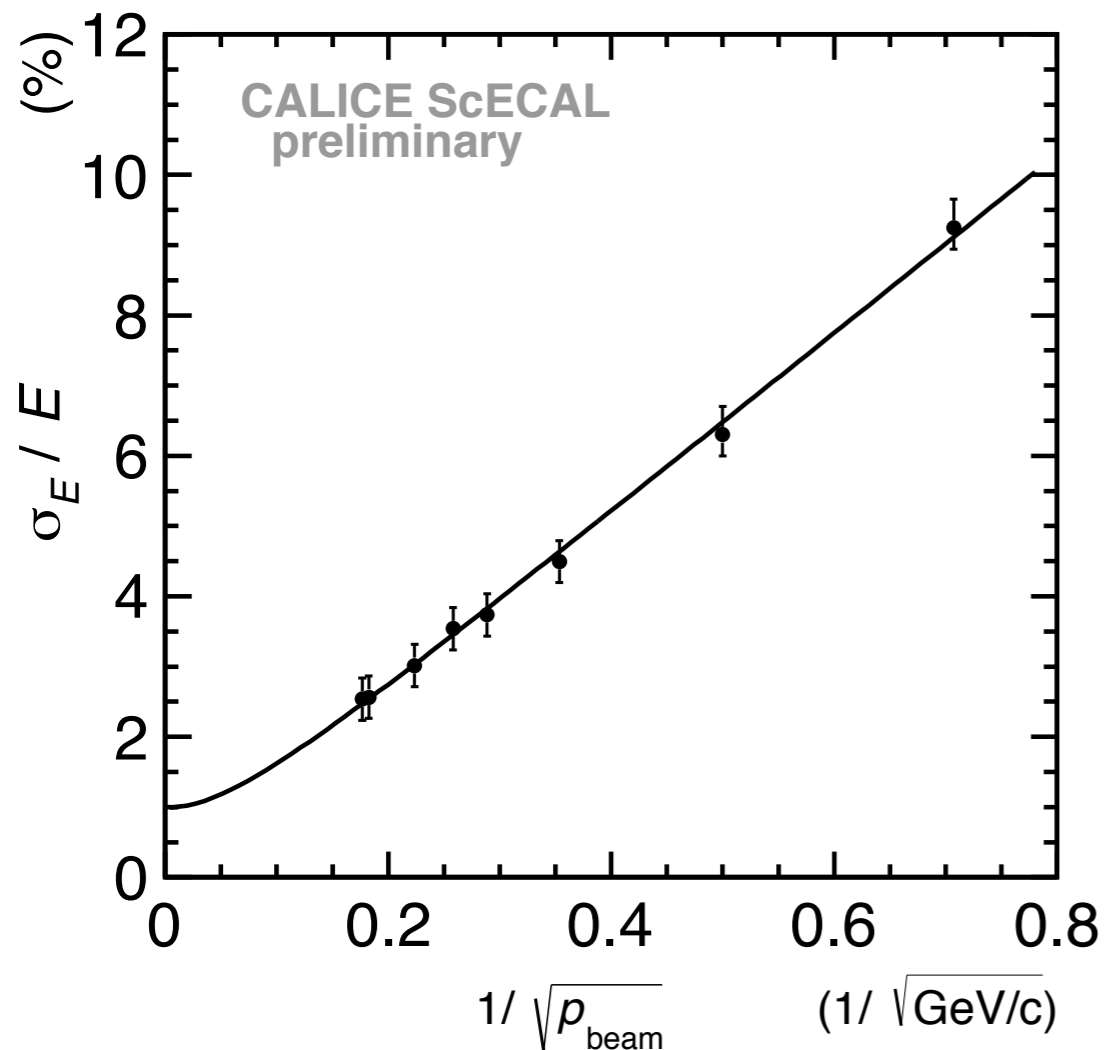


- Extruded PS scintillator strips with embedded WLS fiber
- Hamamatsu MPPC, equivalent of S10362-11-25P
- crossed strip orientation in adjacent layers, effective  $1 \times 1 \text{ cm}^2$  granularity



# A rich Harvest - Performance and Shower Physics

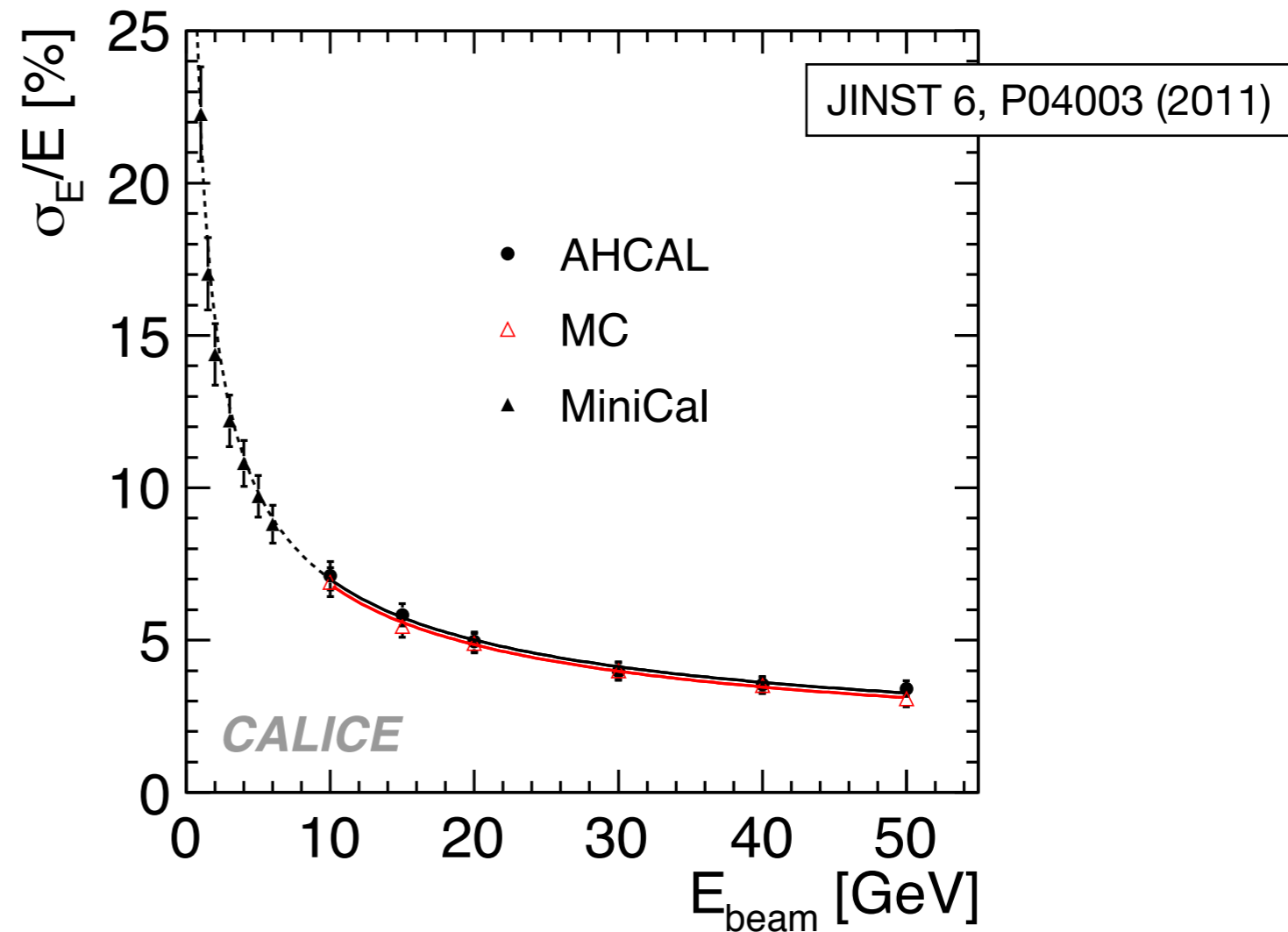
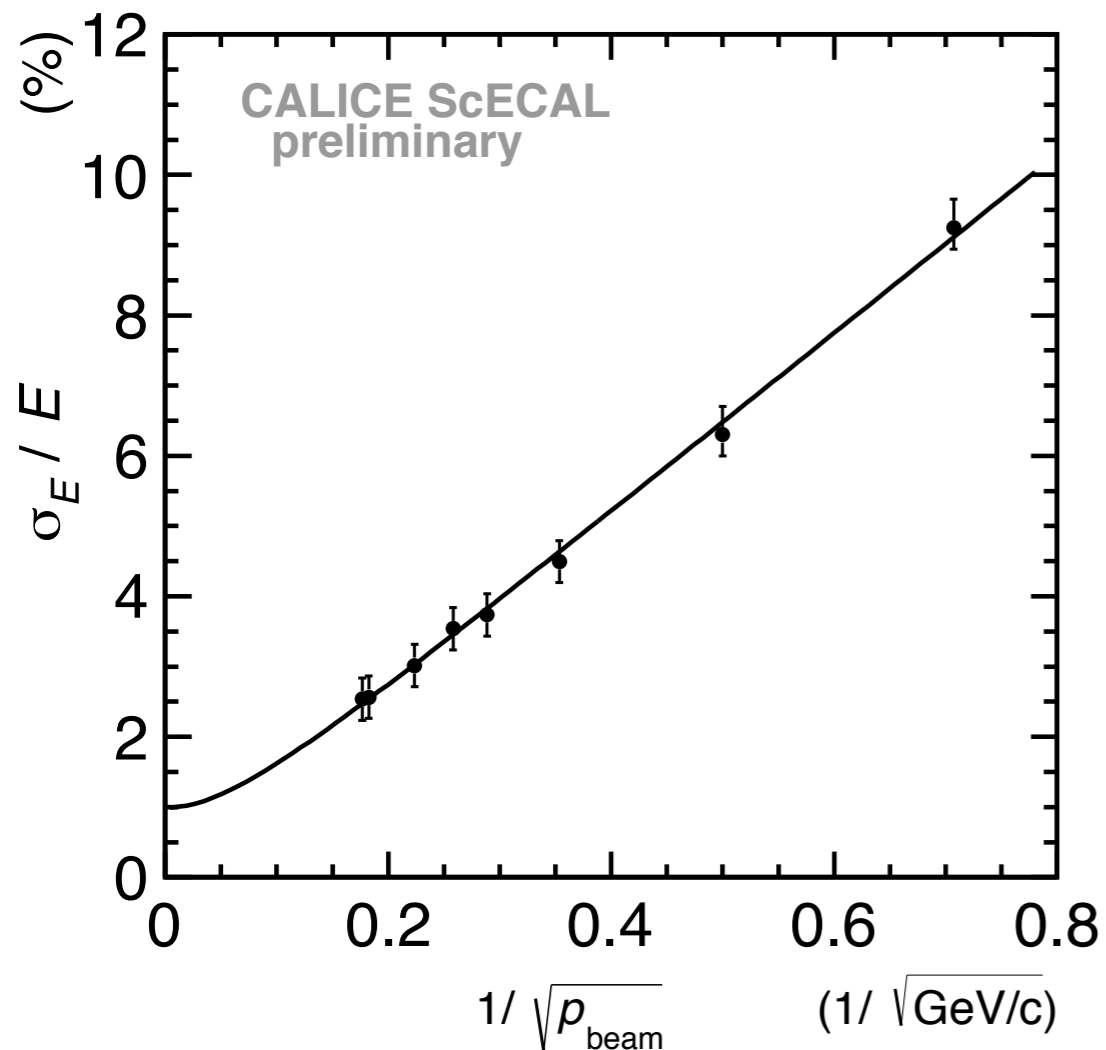
- Energy resolution (electromagnetic)
  - NB: Optimized on granularity / shower separation rather than single particle resolution



- Linear within  $\sim 1.5$  %
- Energy resolution  $12.8\%/\sqrt{E} \oplus 1.0\%$

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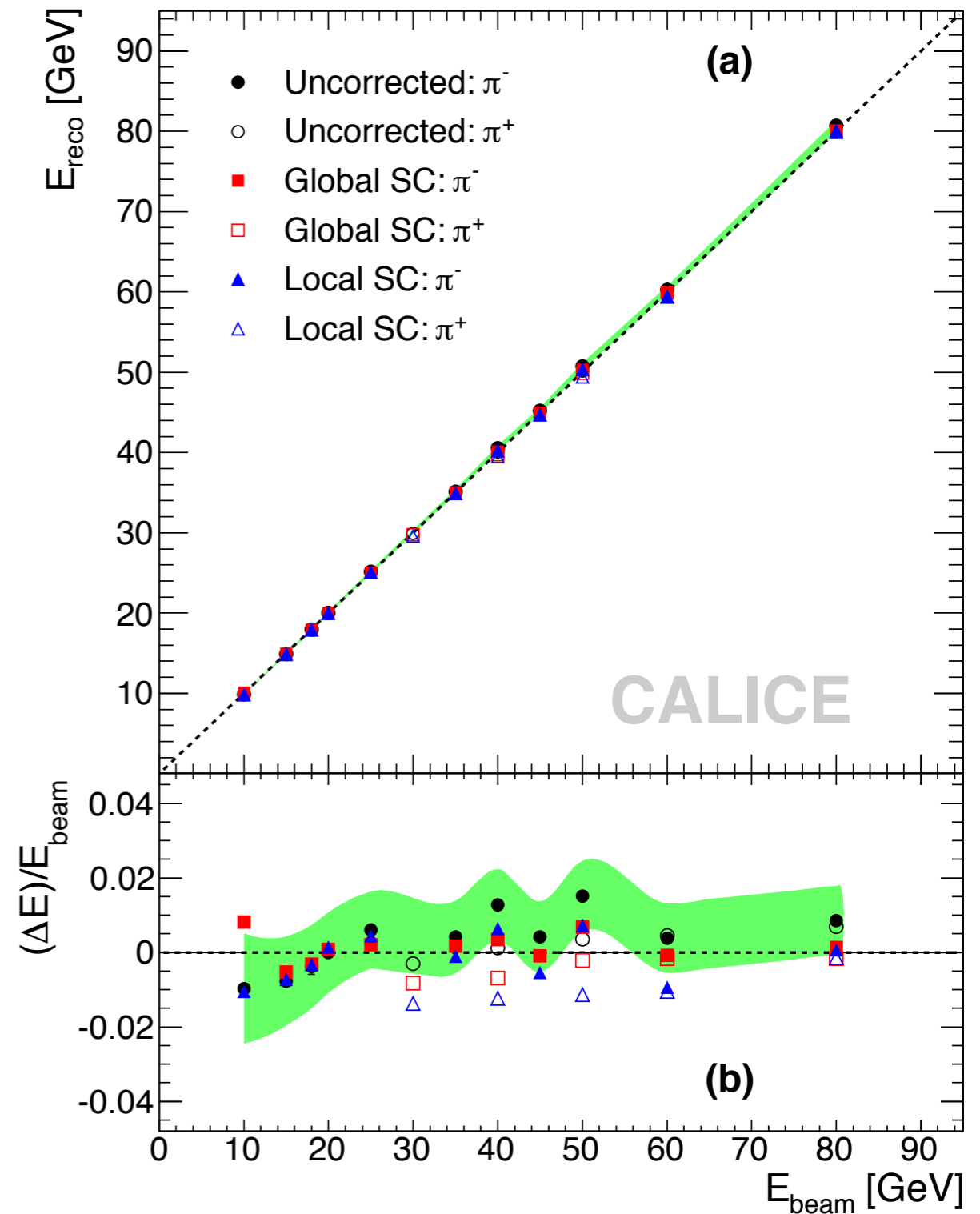
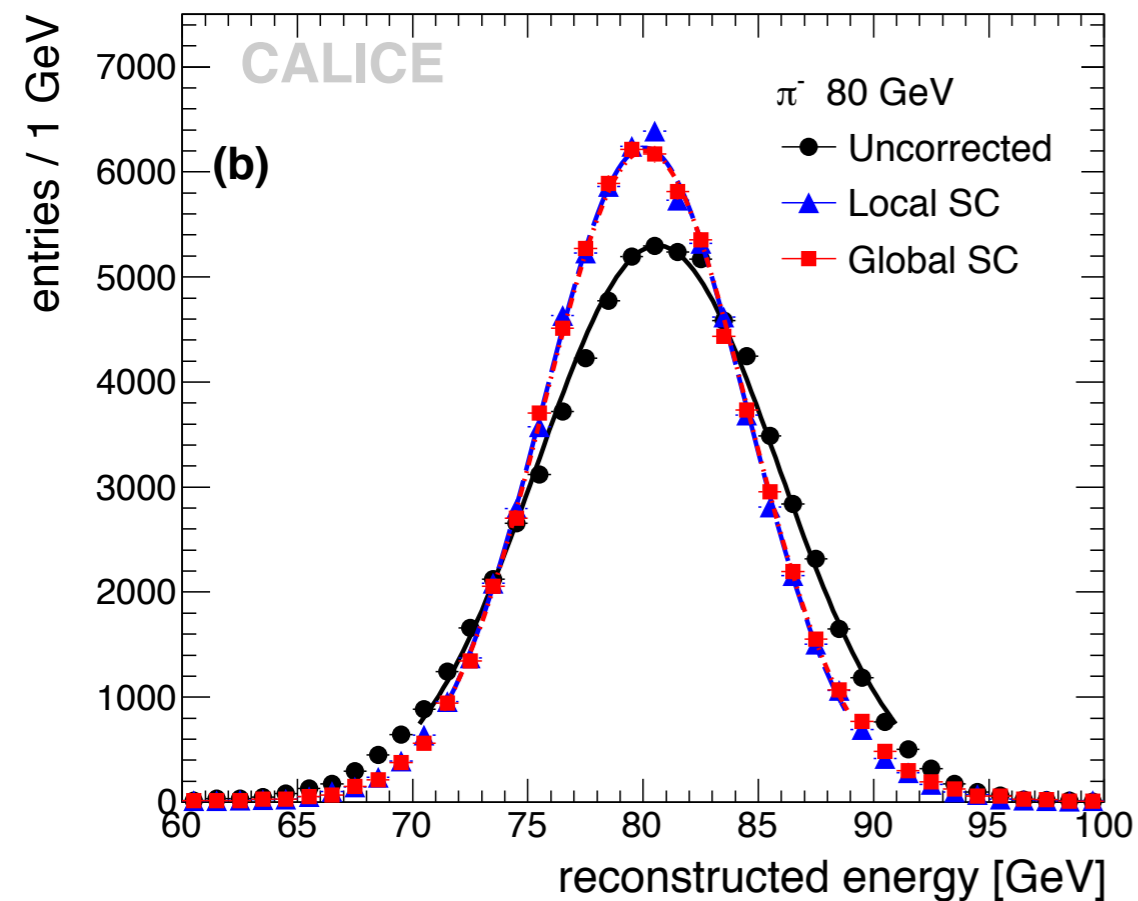


- Linear within  $\sim 1.5$  %
- Energy resolution  $12.8\% / \sqrt{E} \oplus 1.0\%$

- Linear within  $\sim 1$  % up to 30 GeV
- Energy resolution  $21.9\% / \sqrt{E} \oplus 1.0\%$

# Hadronic Energy Reconstruction

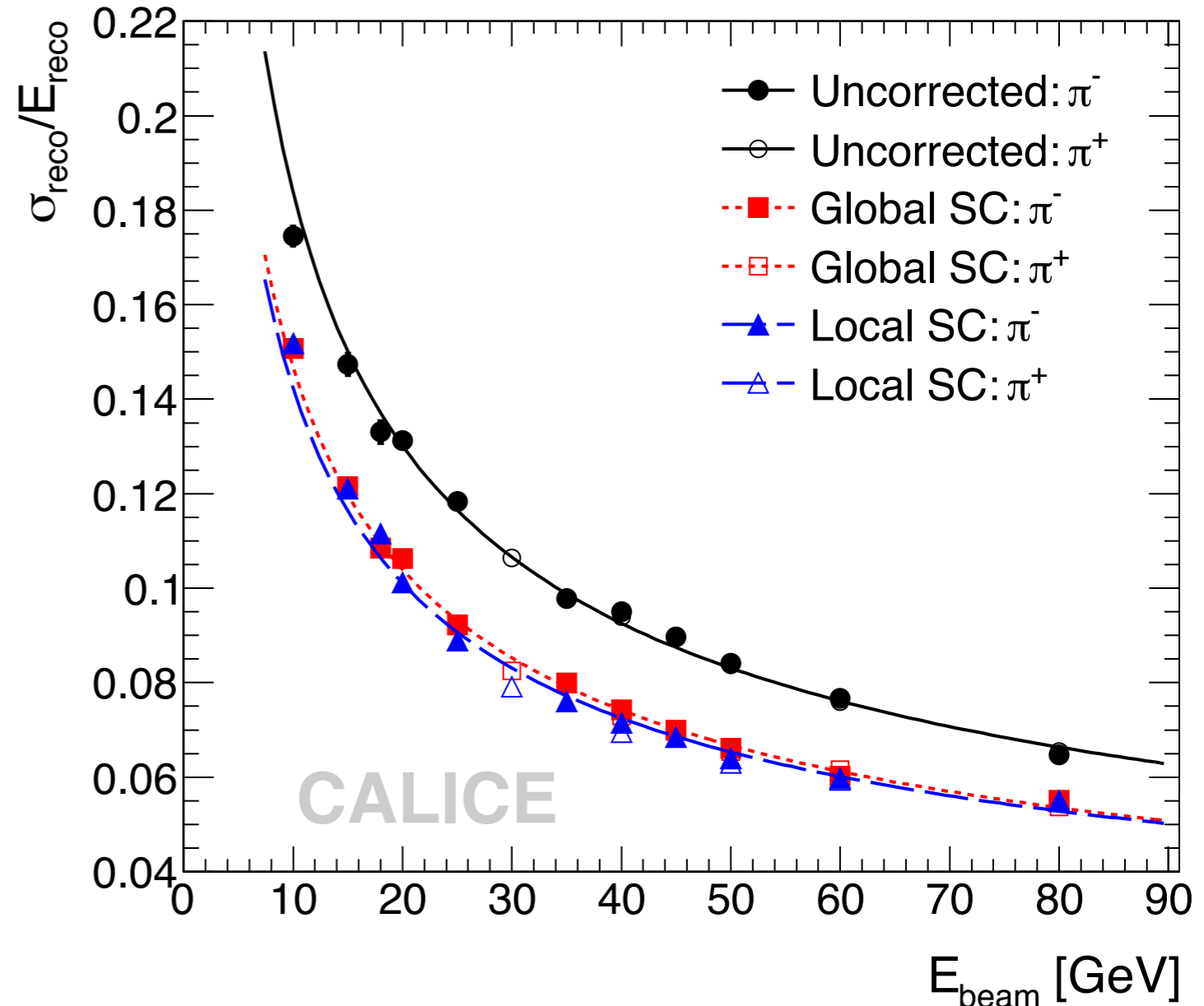
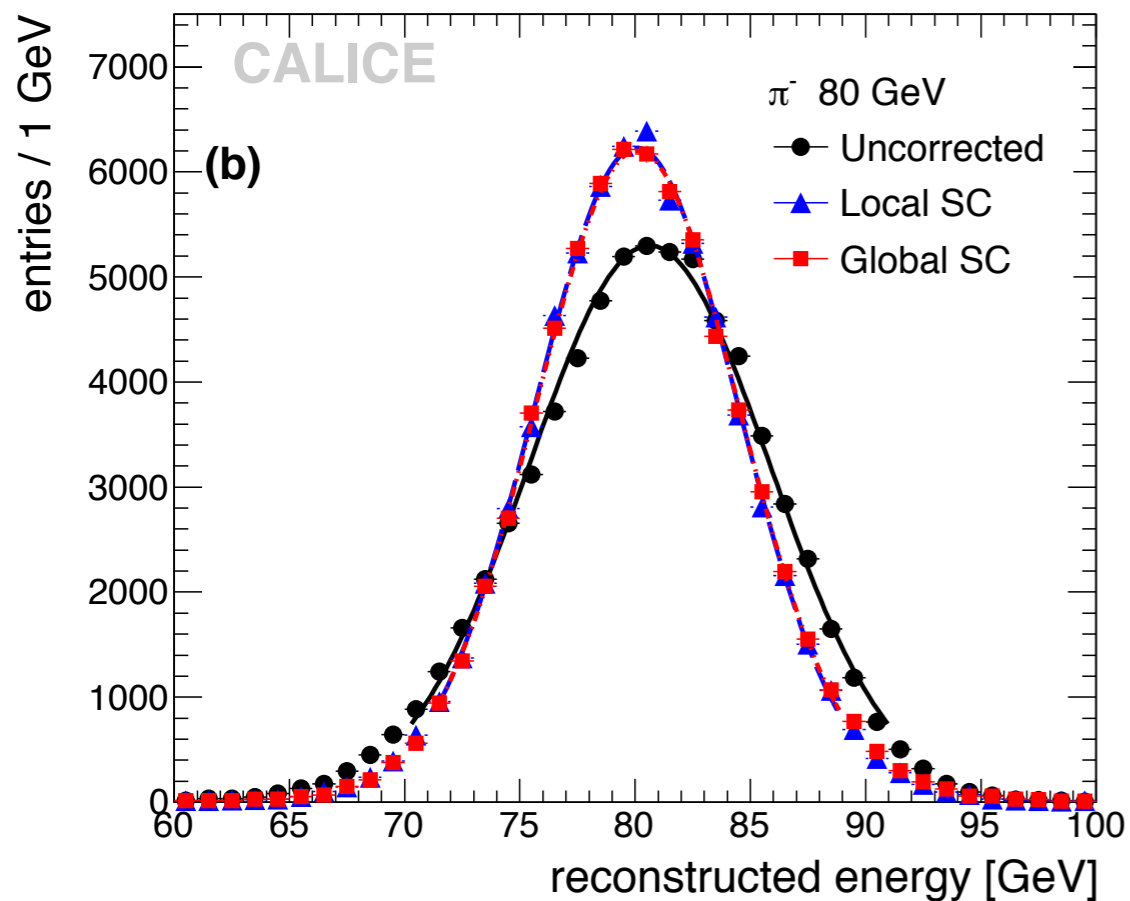
- Linear energy response of AHCAL + TCMT: within  $\pm 1.5\%$
- High granularity allows software compensation: Use shower density to correct for different response to em and purely hadronic showers



JINST 7, P09017 (2012)

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- High granularity allows software compensation: Use shower density to correct for different response to em and purely hadronic showers



Excellent energy resolution:  
 $45\%/\sqrt{E} \oplus 1.8\%$

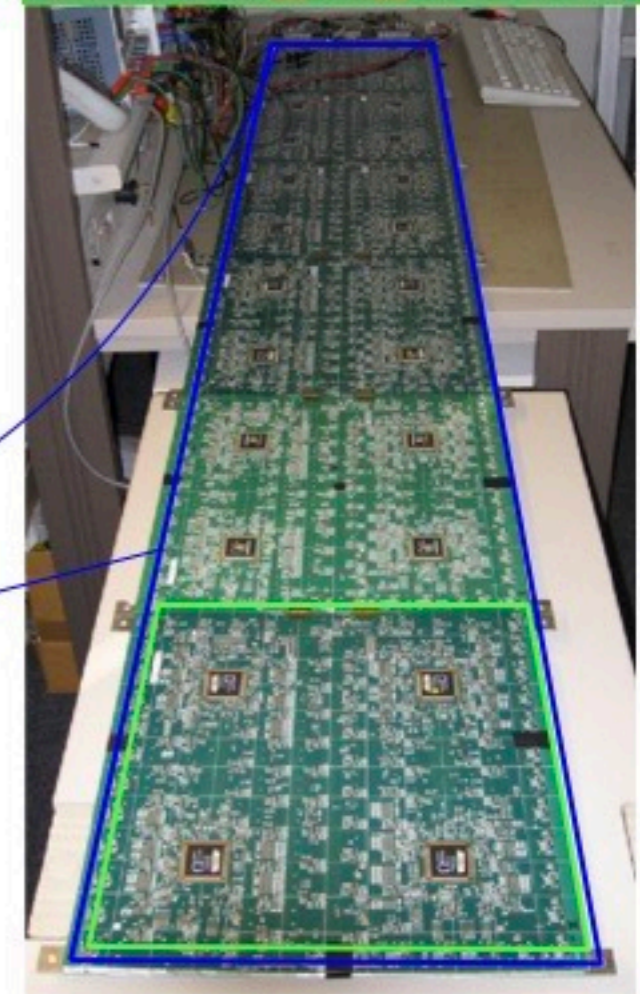
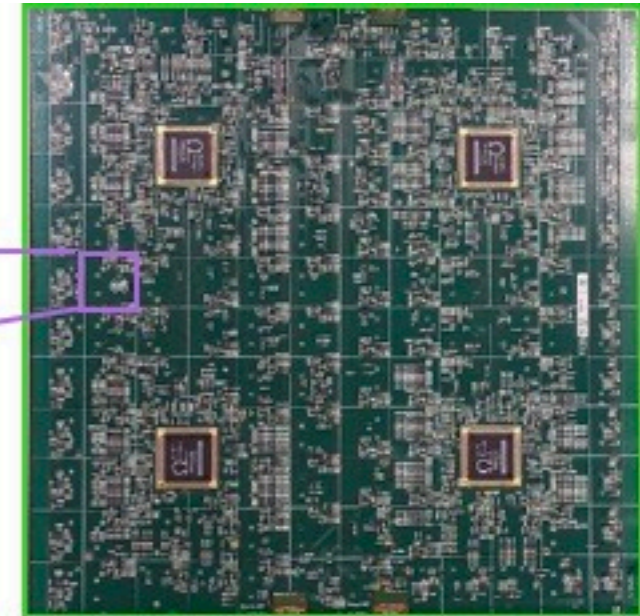
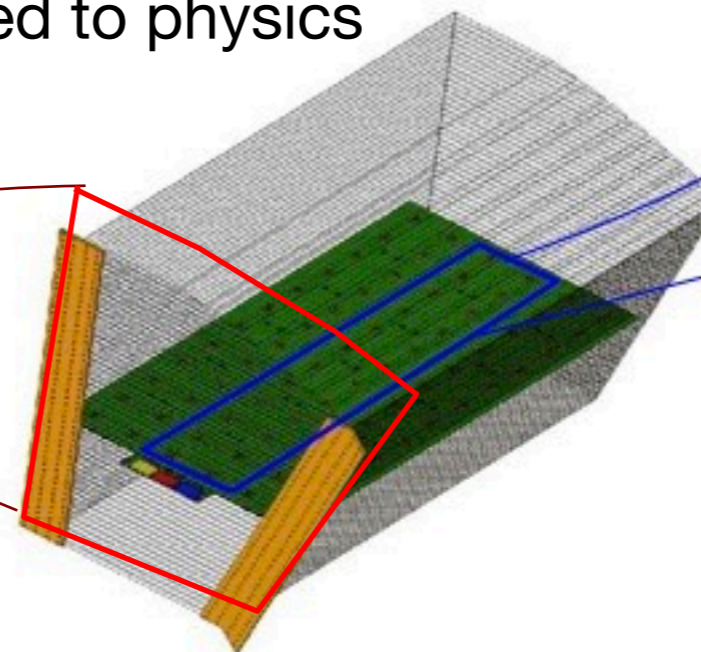
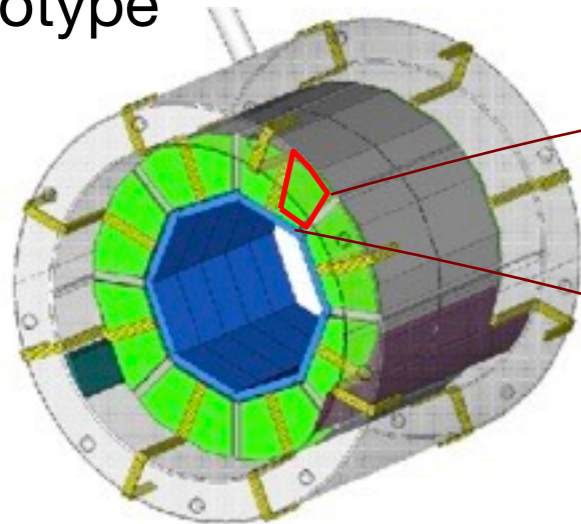
JINST 7, P09017 (2012)

# The AHCAL Technical Prototype - A Closer Look

- CERN
- DESY
- University Hamburg
- University Heidelberg
- University Mainz
- MPP Munich
- Lebedev Moscow
- Omega Palaiseau
- Prague
- University Wuppertal

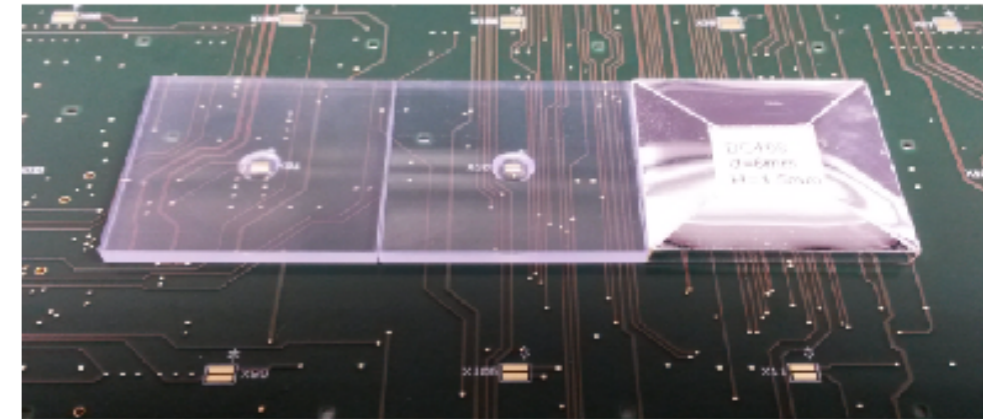
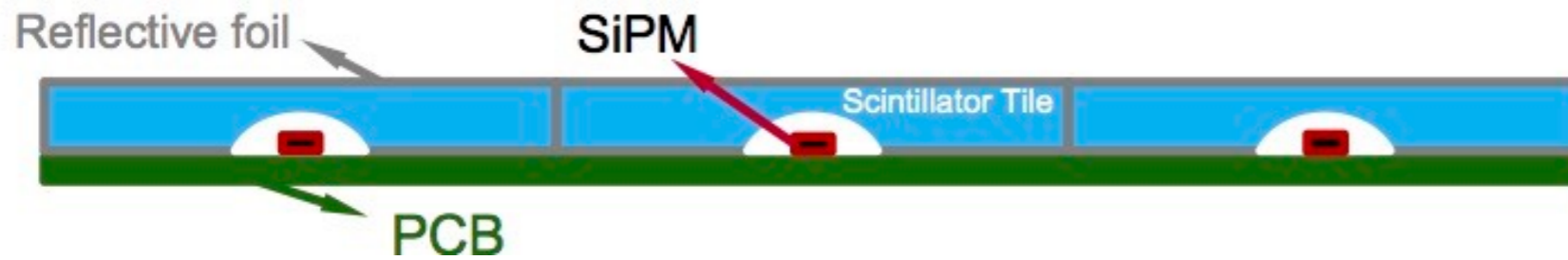
# Overall Detector Concept

- Sandwich calorimeter based on scintillator tiles ( $3 \times 3 \text{ cm}^2$ ) readout using Silicon Photomultipliers (SiPM)
- Fully integrated electronics
- HCAL Base Unit (HBU):  $36 \times 36 \text{ cm}^2$ ,
  - 144 channels readout by 4 ASIC chips
- In total 8M channels (full collider detector), challenge for data concentration
- Technological prototype: demonstrate scalability to full detector
- Improvement in all aspects compared to physics prototype

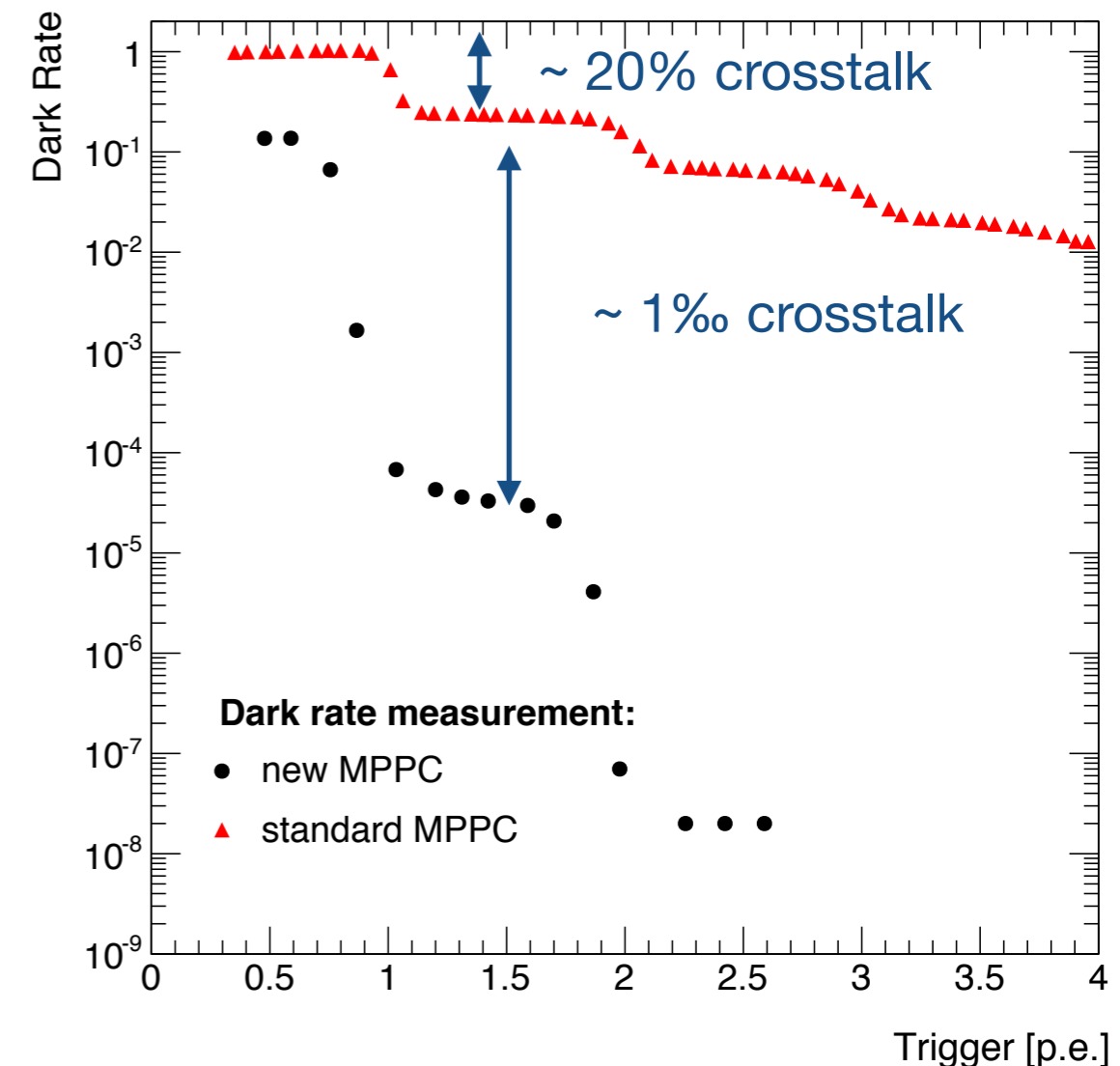




# Technological Choices



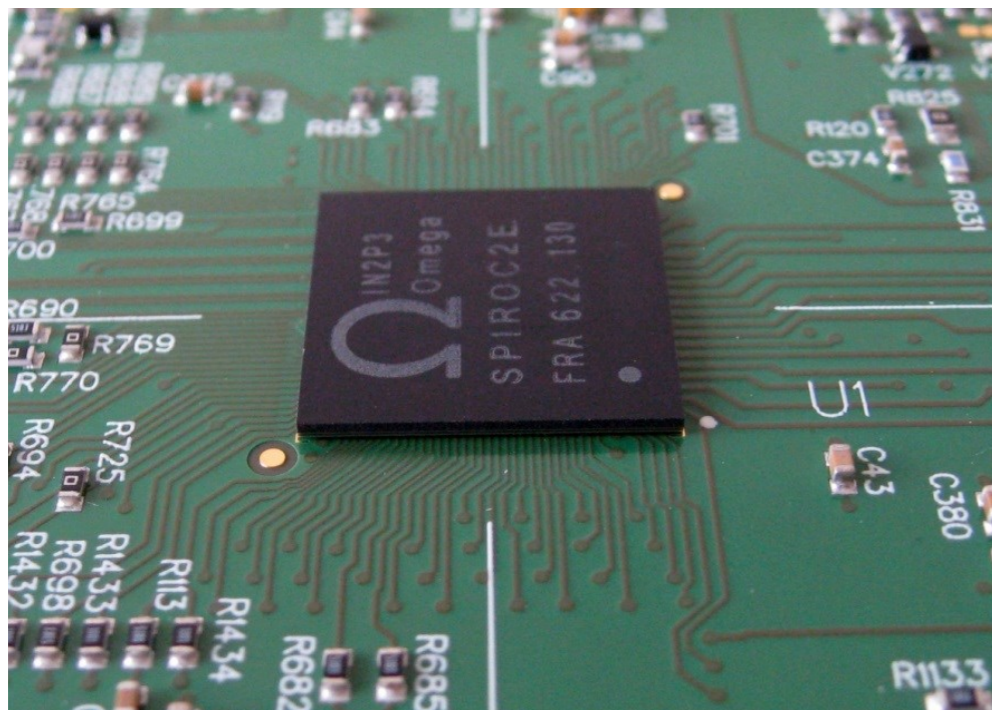
- Current SiPMs are blue-sensitive: No need for WLS fiber (apart from light collection)
- Latest generation available in SMD package: coupling from bottom rather than from the side
- Latest generation of MPPCs has very effective cross-talk suppression: Full auto-triggered system possible for light yields of  $\sim 15$  photons / MIP
  - In technological prototype: MPPC S13360-1325PE
  - Very good device-to-device uniformity: power full modules with one bias voltage setting



# Electronics

- Fully integrated electronics for mass production
  - Latest generation read-out ASIC SPIROC2E (OMEGA group, Palaiseau) successfully tested, interesting developments also in Heidelberg (KLauS chip)
  - reduced power consumption and many improvements
- BGA package of ASIC leads to significant PCB cost reduction and easier soldering
- HBU designed for surface mounted SiPMs & suitable for automated tile assembly
- LED driver circuit improved channel uniformity: minimise time for test and calibration runs

HCAL Base Unit (HBU)

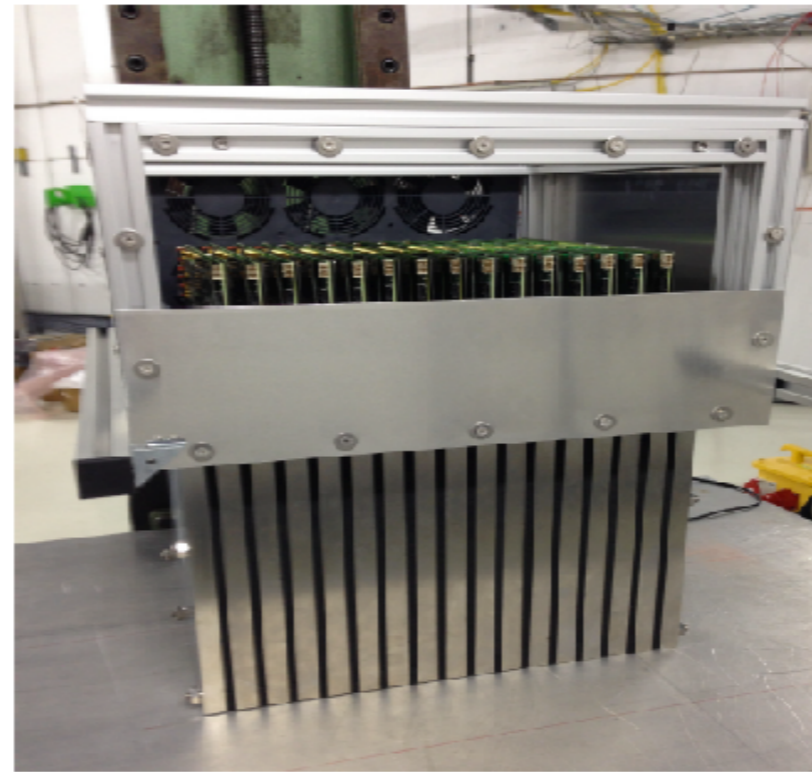


# The Prototype - Different Configurations

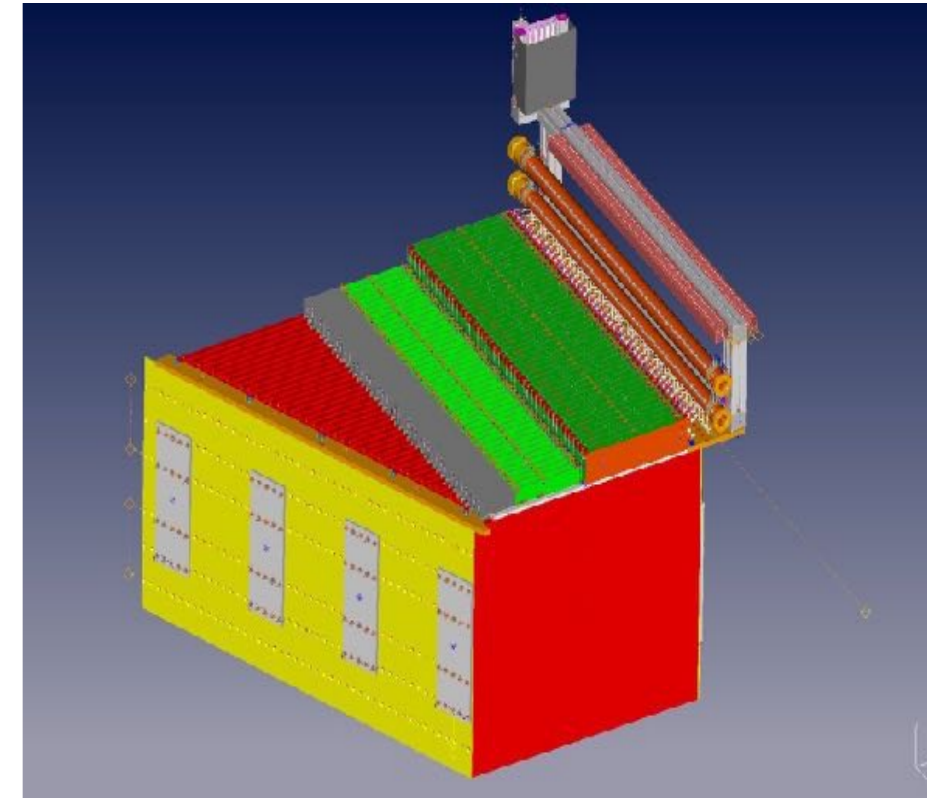
Single HBU - 144 channels



15 layer “em prototype”,  
1 HBU / layer - 2k channels



40 - 48 layer “em prototype”,  
2 x 2 HBUs / layer - 23k - 30k channels



- component tests
- assembly procedures
- magnetic field test
- commissioning

- electromagnetic & hadronic showers
- particle showers in magnetic field up to 3 T
- system integration, cooling, power

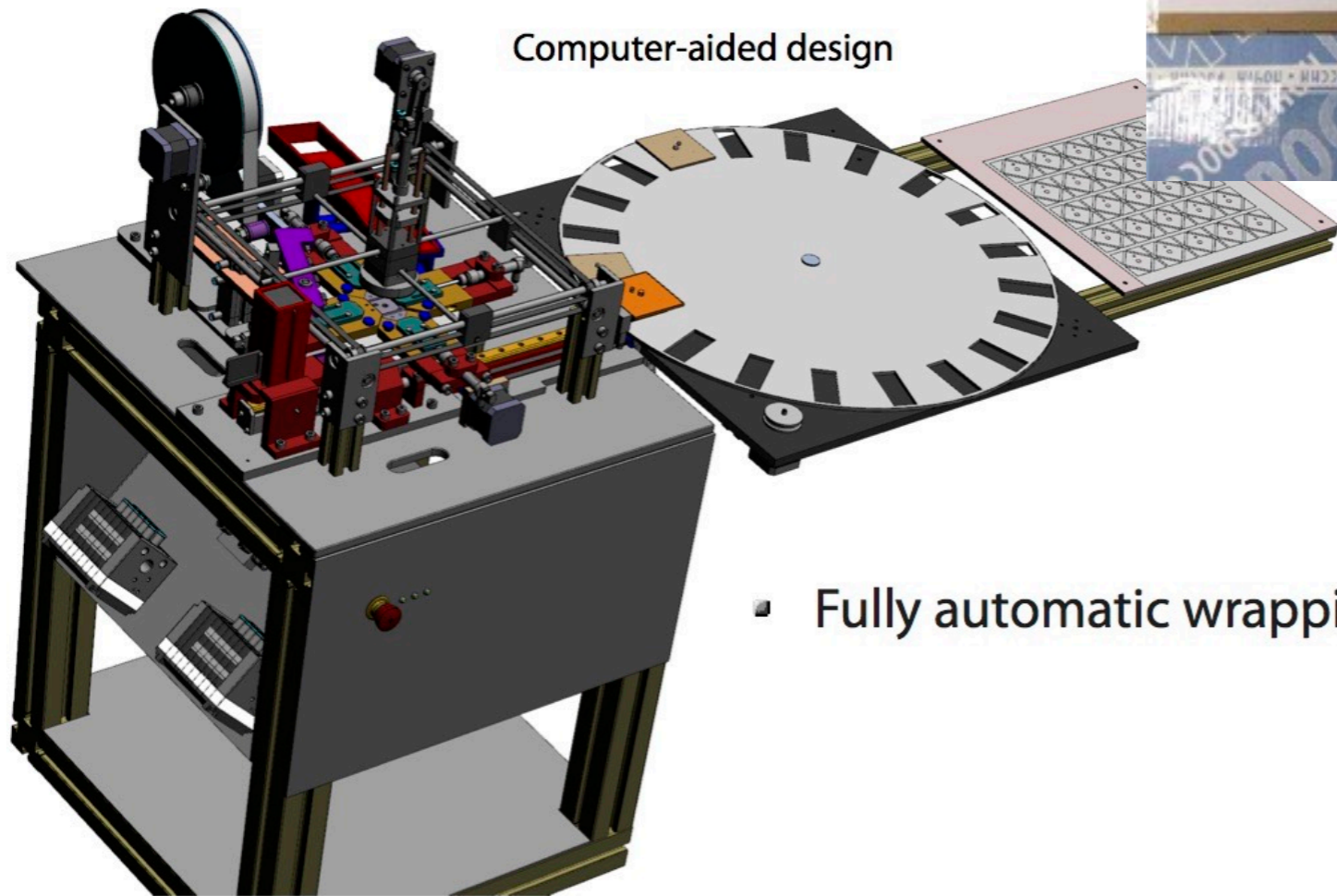
- full containment of hadronic showers
- large-scale production, integration, operation
- scalability to full detector
- rich physics program

# Working with large Numbers

- 28 000 scintillator tiles for TP produced in Russia, now delivered to Hamburg
- Automatic wrapping in development

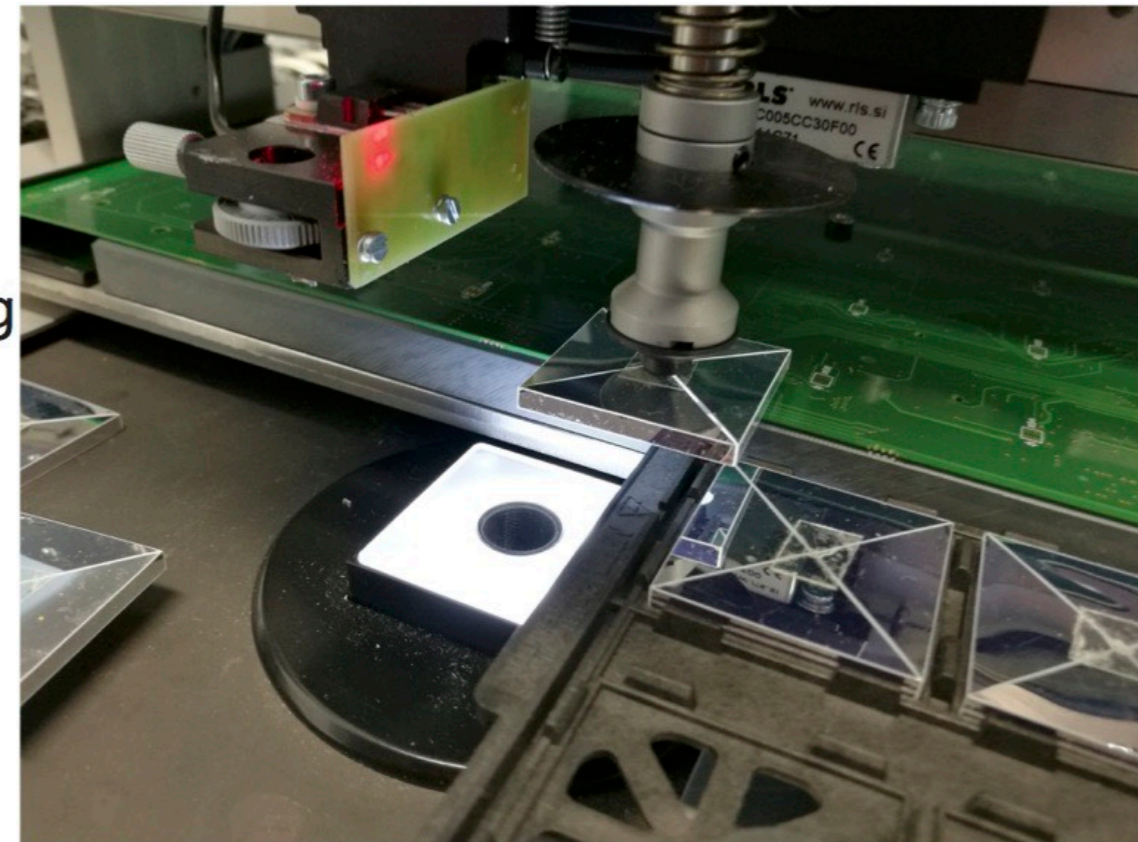


Computer-aided design



- Fully automatic wrapping

- Placement and gluing of tiles on electronics with pick-and-place machine



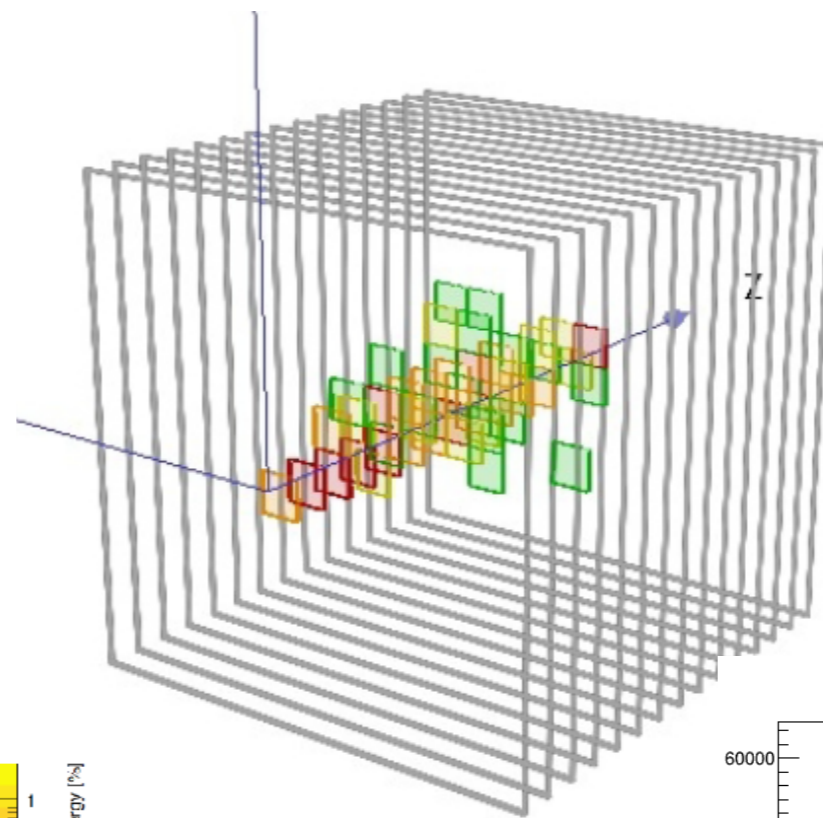
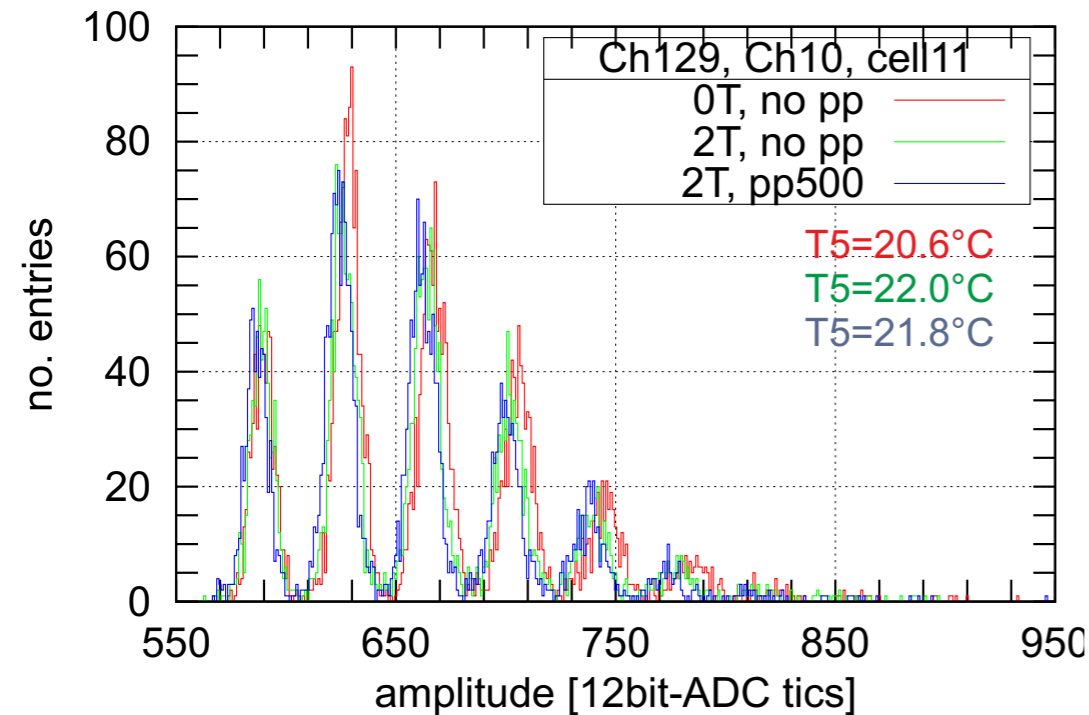
# First Results - Beam, Magnetic Field

- Fully equipped HBUs have already been tested in up to 2T - also with power pulsing

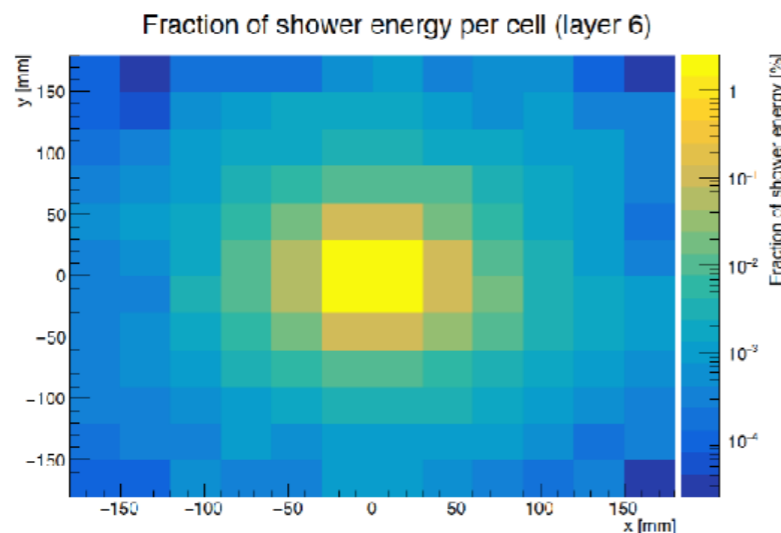
HBU5\_BGA test in 2T magnetic field

First Result, DESY, March 3rd, 2017

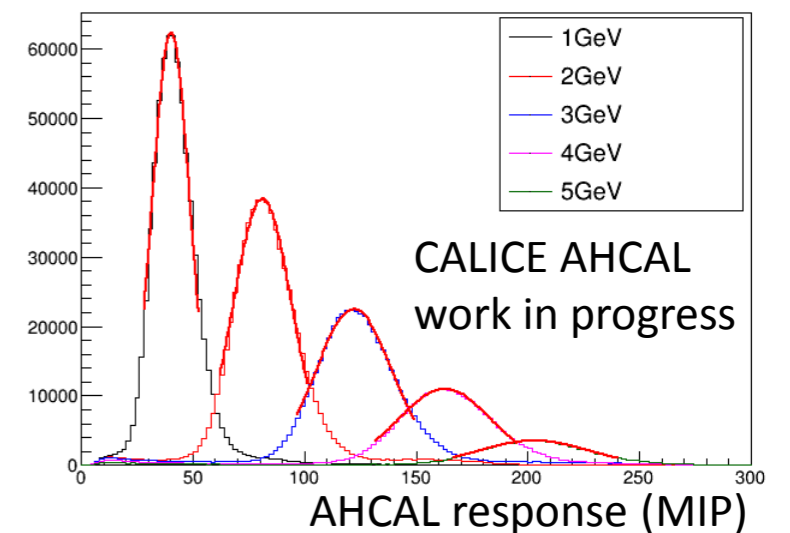
Test w/o beam; beam test with multiple layers in 3T coming up in May at CERN SPS



Beam test at DESY, previous electronics / tile design (side coupling)

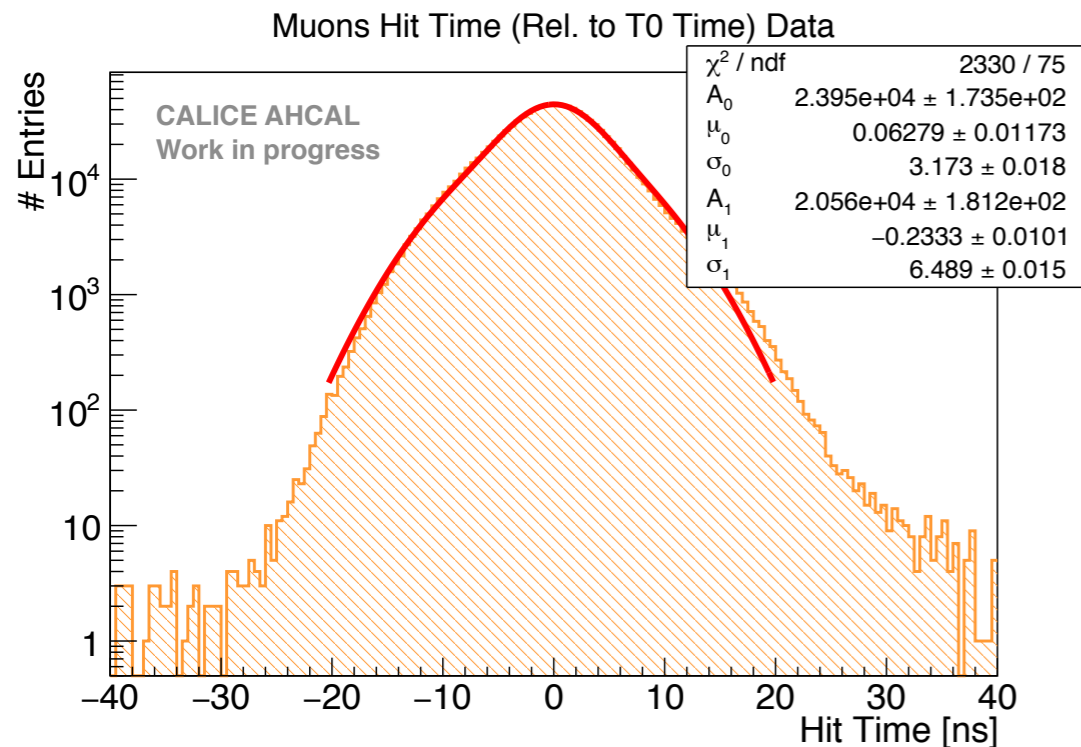


energy spectrum for 1-5 GeV



# A Word on Timing

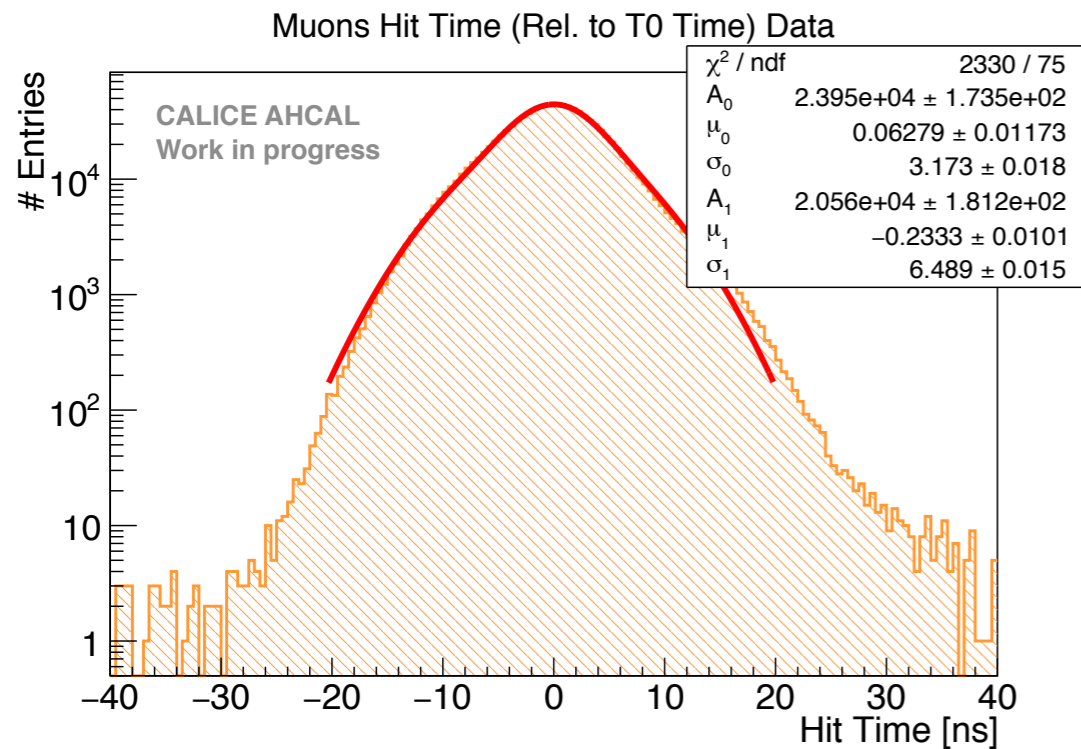
- CALICE AHCAL electronics provide capability for sub-ns timing - in “standard” test beam environment operated with x 20 slower TDC ramp, reduced resolution



- Single cell resolution for MIP-like amplitudes ~ 5.5 ns in test beam mode - obtained at CERN SPS with 80 GeV muons
  - Somewhat worse resolution in showers due to electronics problem - presumably fixed in new generation, will know more in a few months

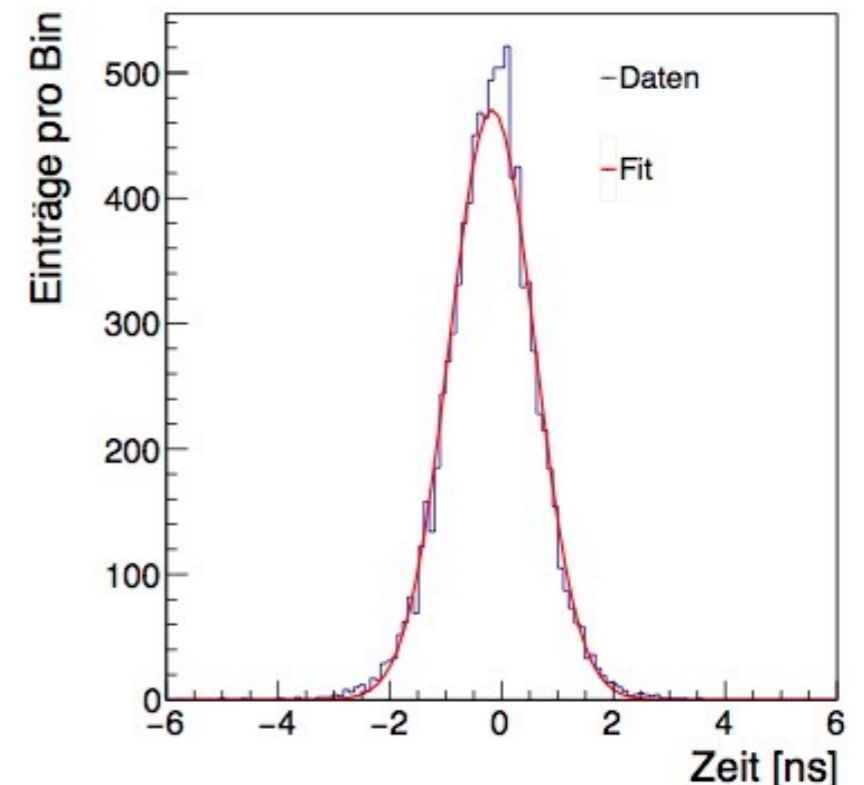
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- Tested single scintillator tiles with SMD MPPCs read out with oscilloscope with 0.8 ns sampling (CALICE “spin-off” used in SuperKEKB commissioning)
  - $\Delta t \sim 0.8$  ns for two-tile setup:  $\sim 500$  ps single tile resolution for MIPs (including oscilloscope sampling)



# Simulating the AHCAL - Focus on Digitization

- Simulation plays a key role in CALICE:
  - Demonstrates understanding of detectors with em showers
  - Enables comparison to / validation & improvement of G4 hadronic physics models
  - Crucial for studies of full detector performance, development of algorithms,...
- Precise modeling of a highly granular SiPM-based calorimeter needs multiple steps:
  - raw calibration: G4 Energy  $\rightarrow$  MIP
  - timing cuts to model electronics / analysis time windows
  - single cell amplitude thresholds
  - light yield: number of fired pixels / MIP
  - number of pixels per SiPM: saturation effects
  - electronic noise, pixel-to-pixel non-uniformity

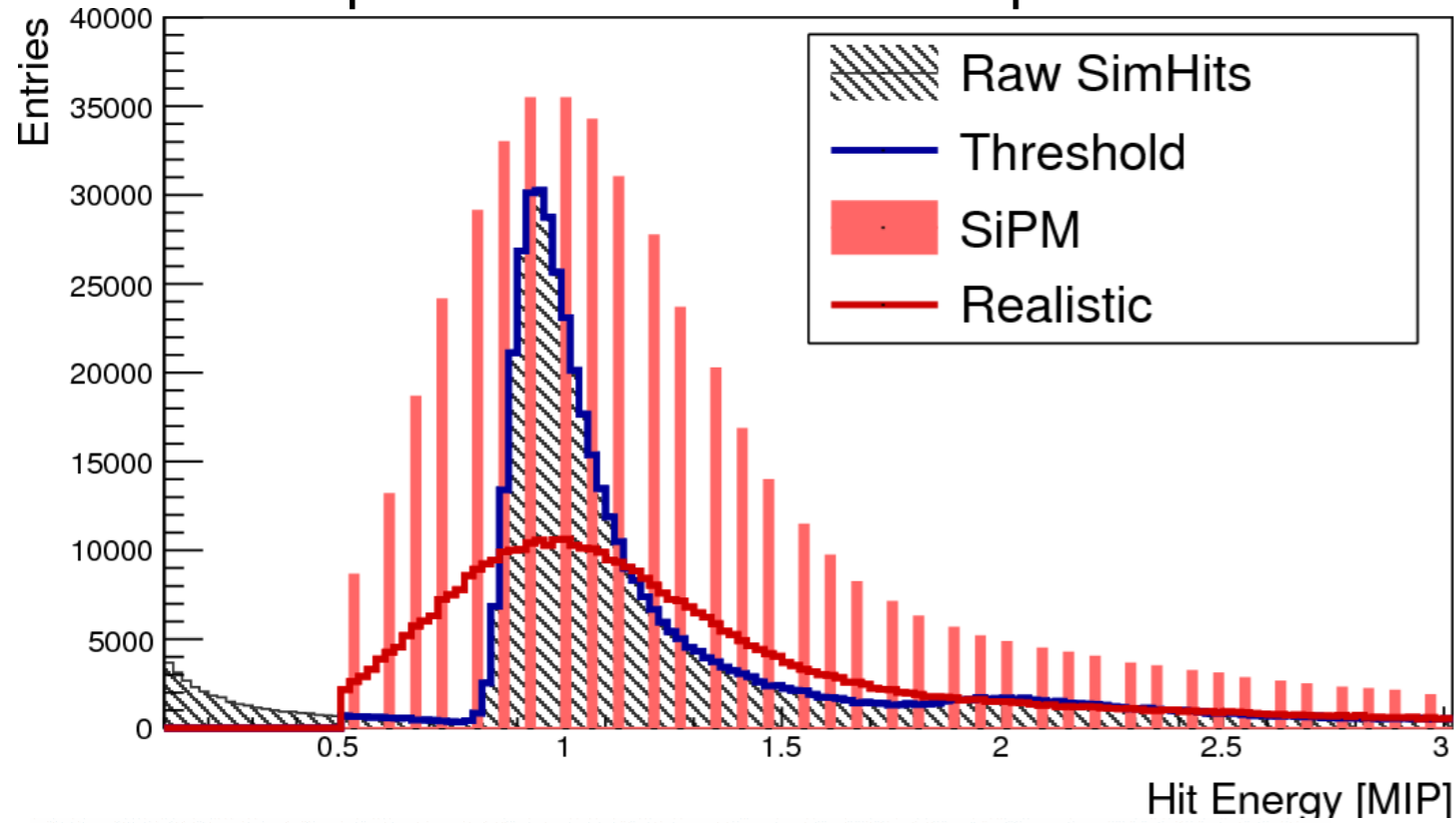


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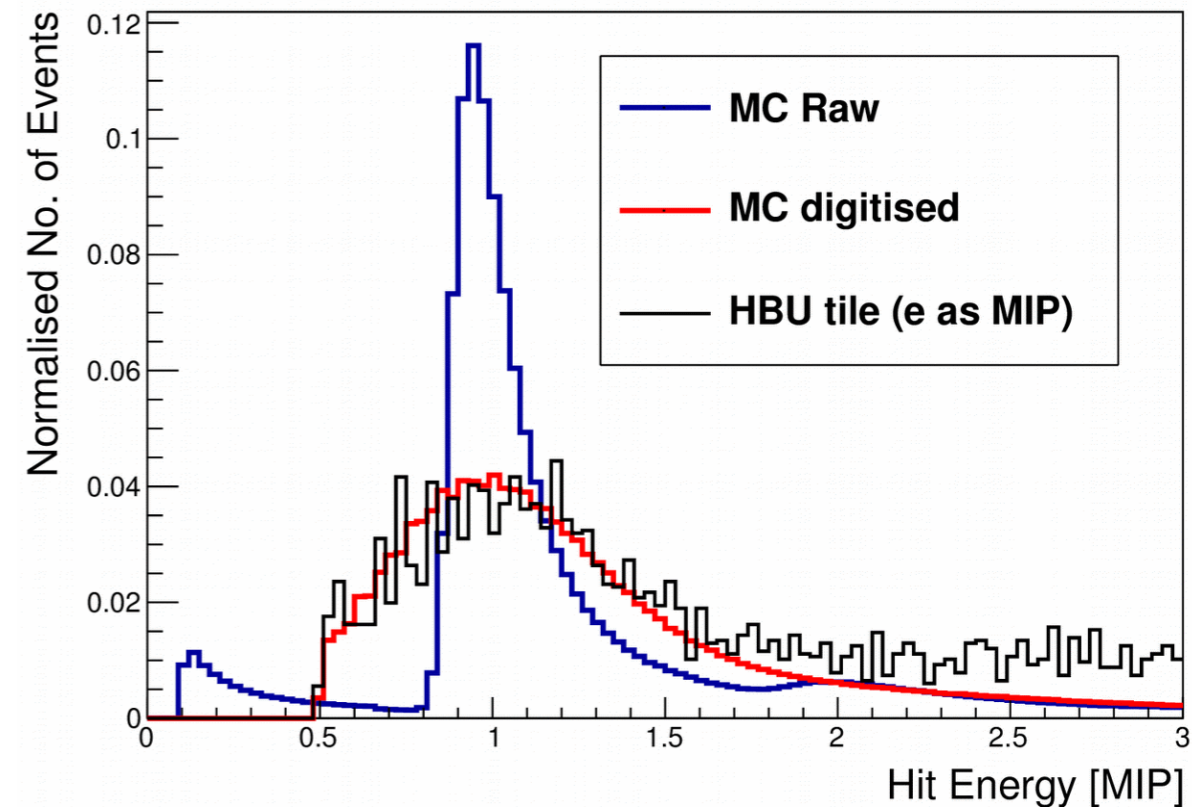
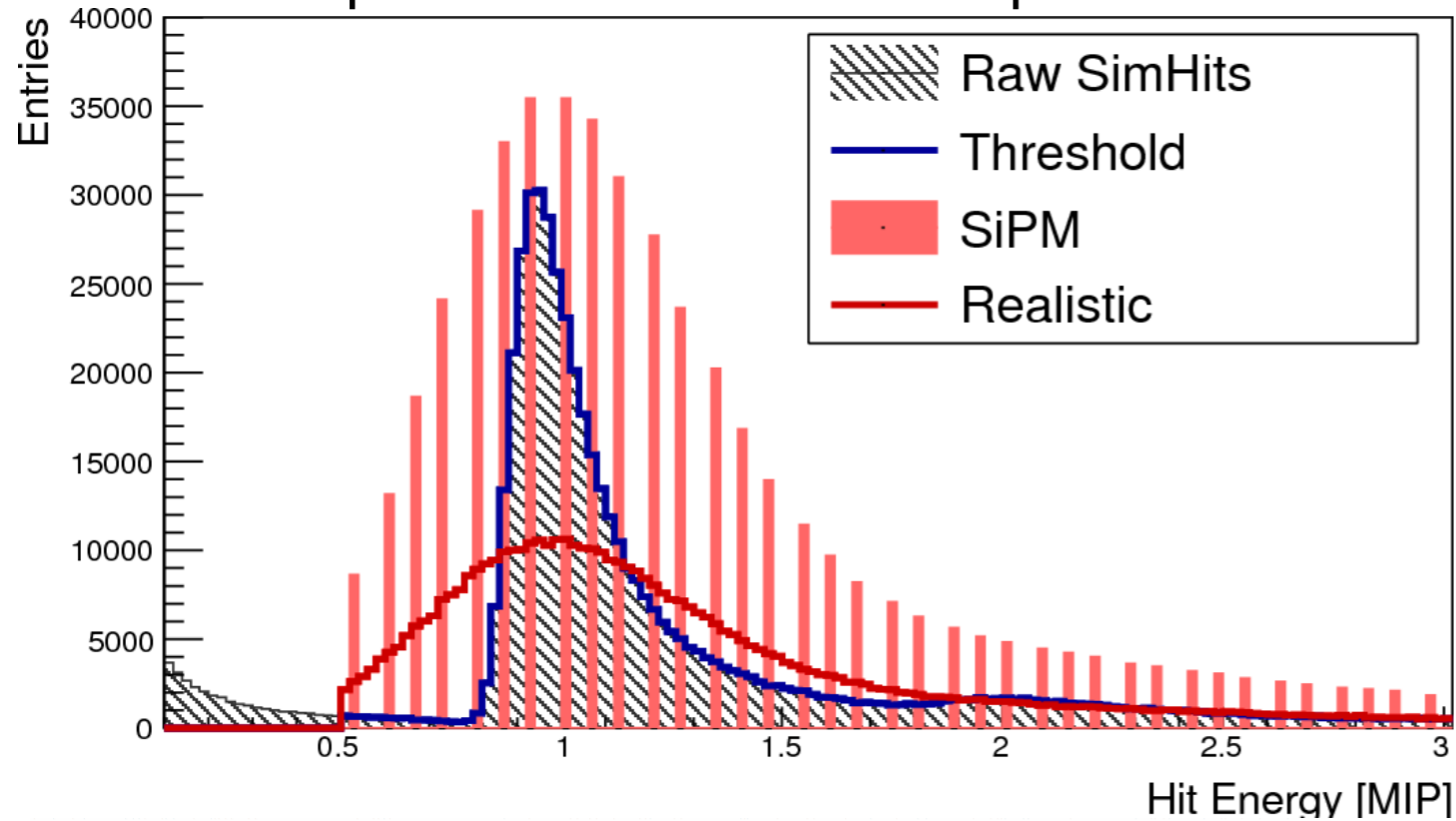
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- The impact of the different steps on the raw signal:



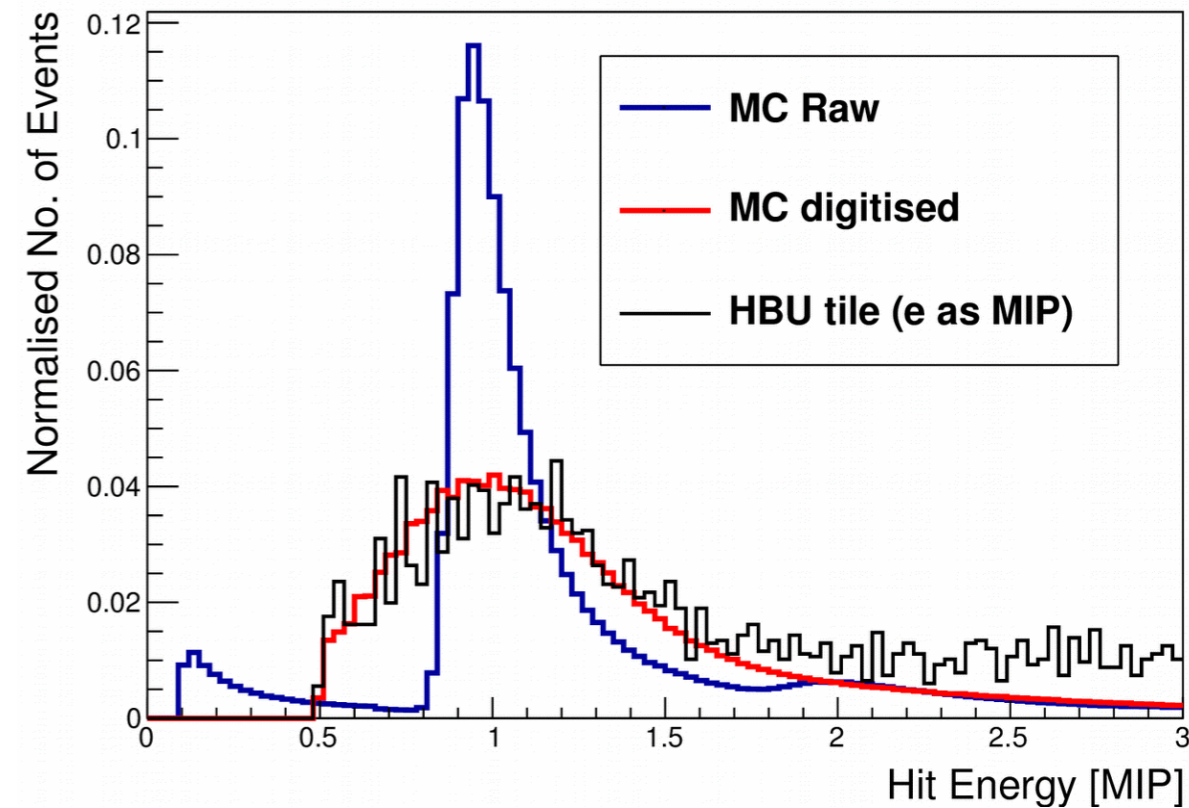
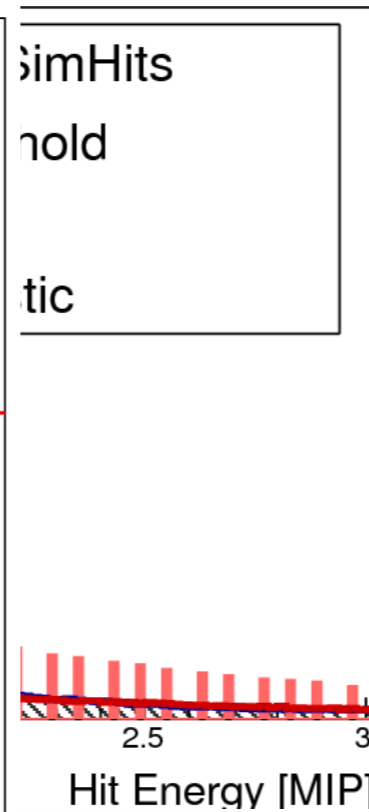
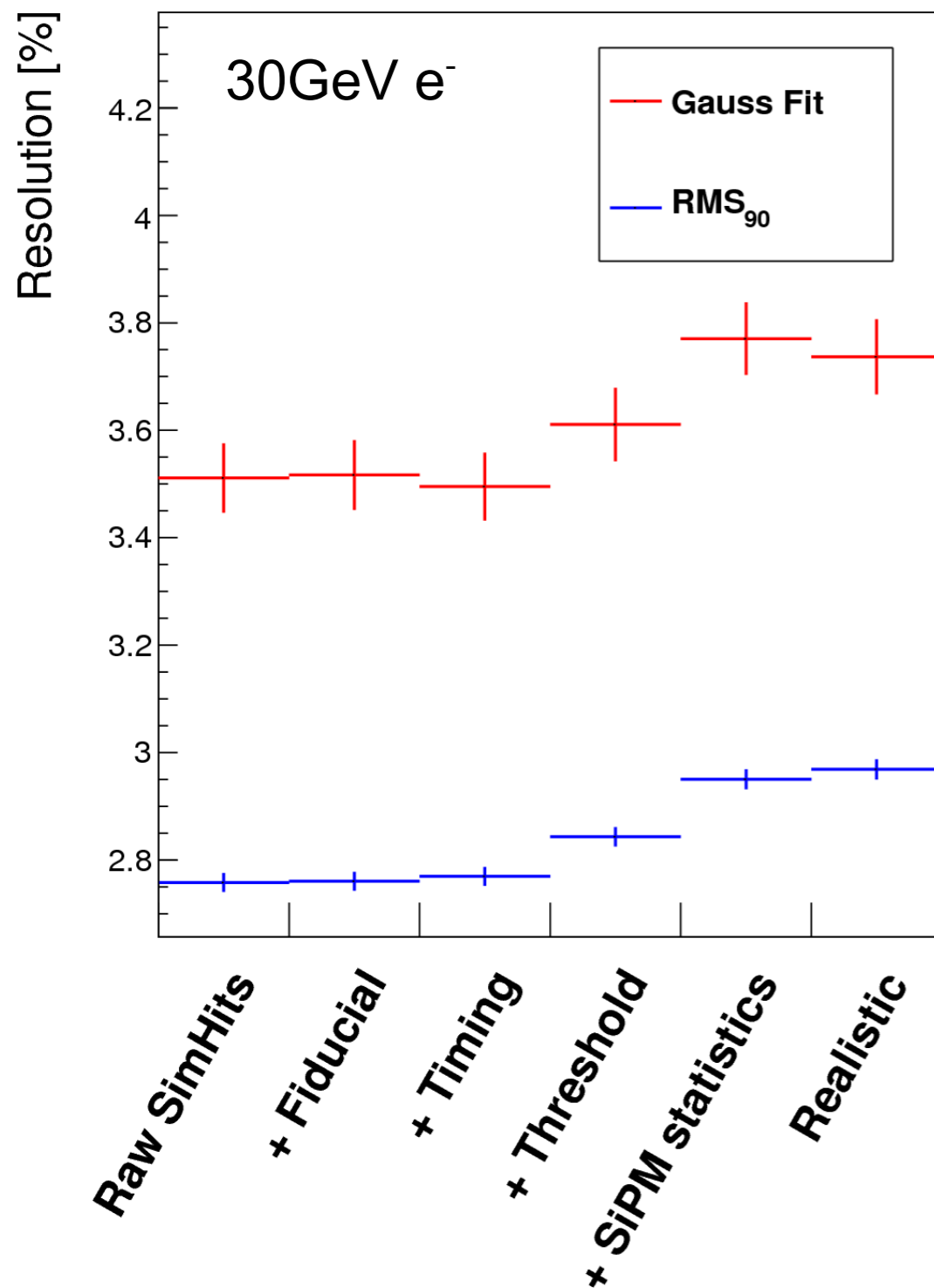
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# Simulating the AHCAL - Focus on Digitization

- The impact of the different steps on the raw signal:



- ... and the consequence on resolution
  - for em showers, threshold and SiPM most relevant - effects grow with energy
  - for hadronic showers fiducial volume, timing and thresholds main drivers

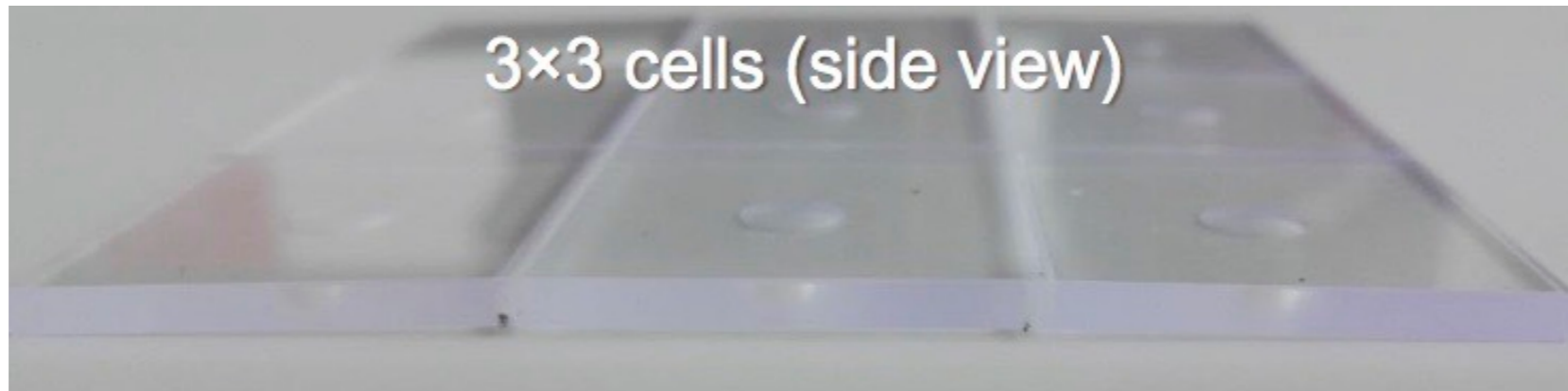
# Scintillator Readout Schemes beyond Baseline

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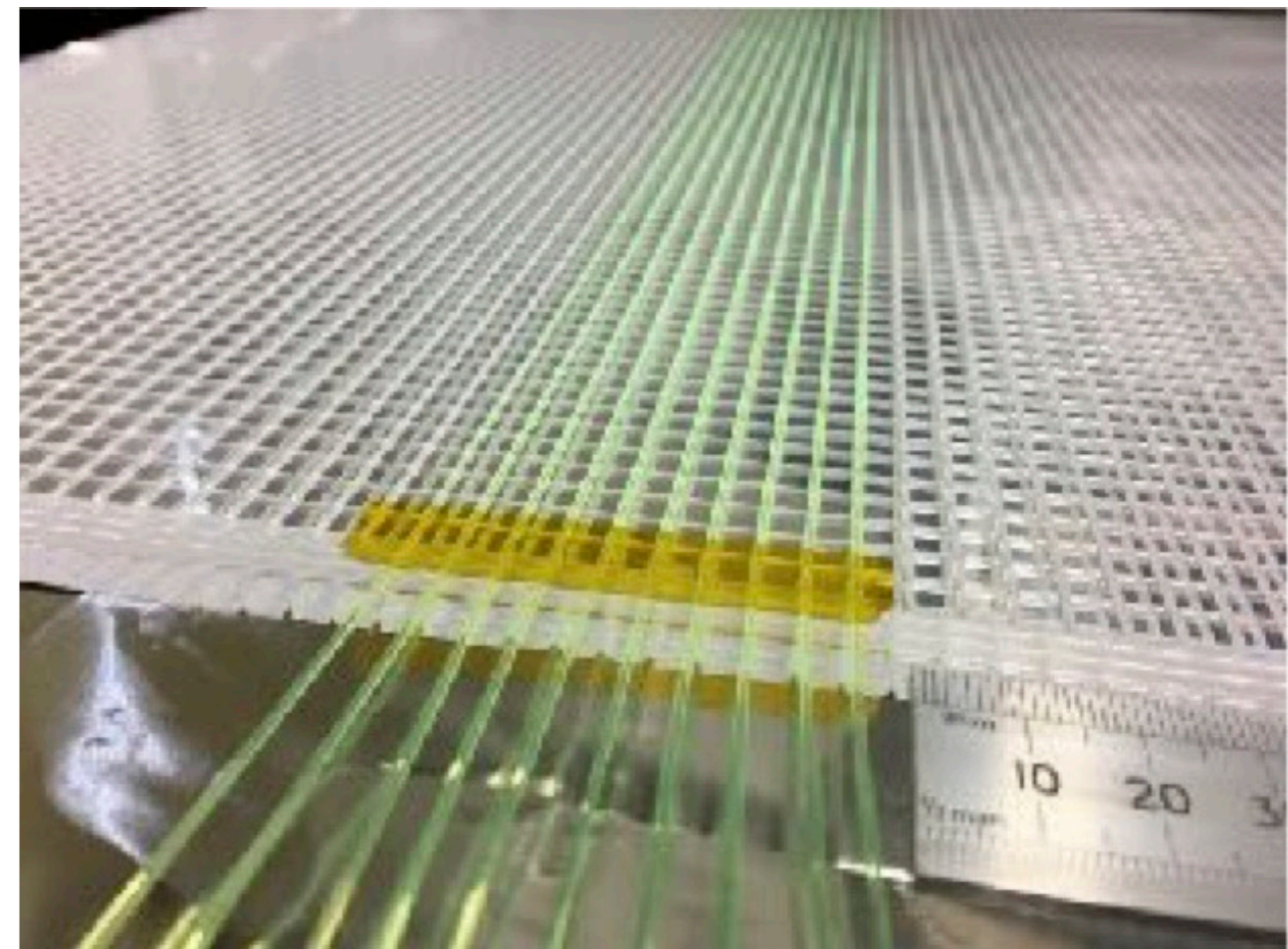
- Alternatives to individually wrapped tiles are also being explored:
  - megatiles - larger plates optically separated into square tiles

# Scintillator Readout Schemes beyond Baseline

- Alternatives to individually wrapped tiles are also being explored:
  - megatiles - larger plates optically separated into square tiles



- Larger scintillator plates with orthogonal groves machined into top and bottom - light read out via WLS fibers
  - Position resolution on each coordinate from multiple fiber signals



U Tokyo

# Conclusions

# How is this relevant for DUNE?

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- The CALICE calorimeters are primarily developed for energy-frontier colliders - different location in “optimization space” than detectors for neutrino beams - but:
- The technology is a good match - with obvious modifications
  - thinner absorbers to improve em resolution
  - less granularity required - but potentially maintaining / increasing effective granularity through crossed strips / crossed readout
  - time resolution to reject pileup / enable exotic searches
  - embedded electronics probably not required - relaxed space constraints



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  - requirements for and benefits of granularity - separation of  $e$ ,  $\gamma$ ; pointing accuracy of reconstructed showers, ...
  - requirements for timing, resolution (em, hadrons)
  - mechanical constraints

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Start a first simulation effort at MPP, complemented by some scintillator prototyping

# Summary

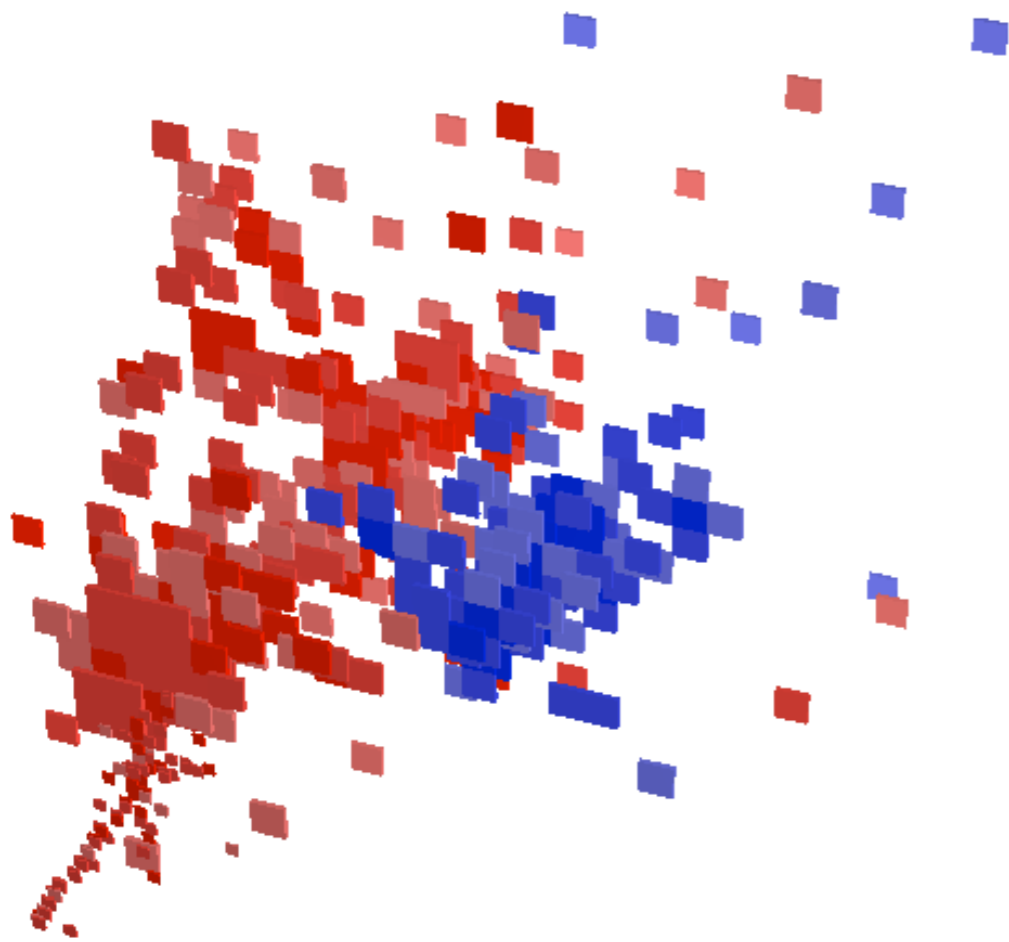
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- CALICE: very extensive experience with highly granular calorimeters for energy-frontier colliders with a wide variety of technologies
- Now being adopted in many different projects: LHC Phase 2 upgrades (approved or under consideration for all four experiments), spin-offs used also at SuperKEKB, ...
- Also a key motivation for PandoraPFA
  
- CALICE analog HCAL technology potentially very relevant for Near Detector ECAL - with suitable modifications
  - Currently gauging interest among contributing groups
  - First studies about to begin at MPP - ideas & suggestions very welcome!

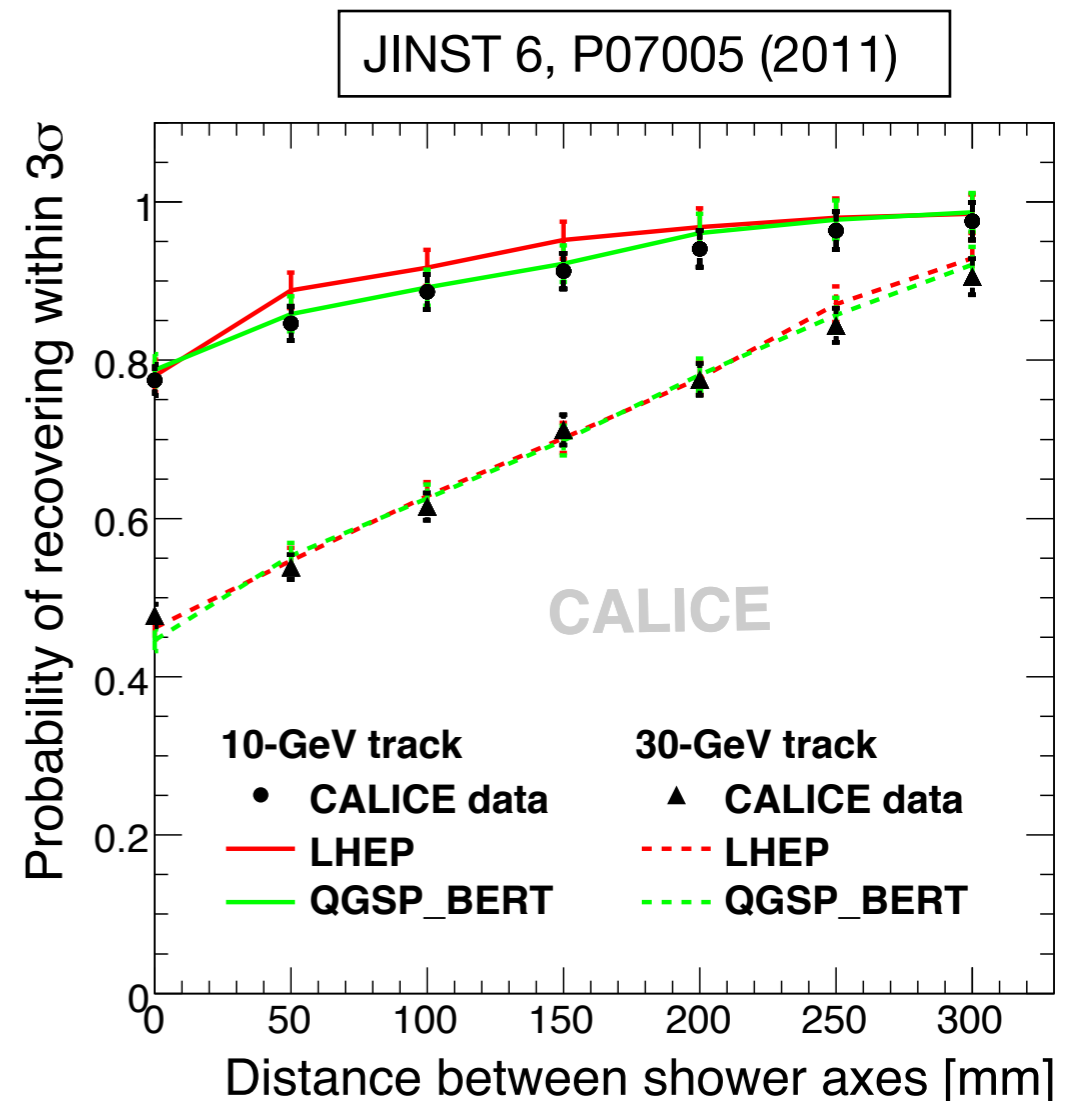
# Extras

# Combined System: PFA Performance

- A key performance criterion: Separation of showers
  - NB: Full PFA performance essentially impossible to validate in test beams: requires magnetic field, tracking, “jets” with realistic particle distribution
- ▶ Use CALICE data projected into full detector geometry, apply PFA (PandoraPFA code) to separate neutral from charged hadrons - validate MC prediction

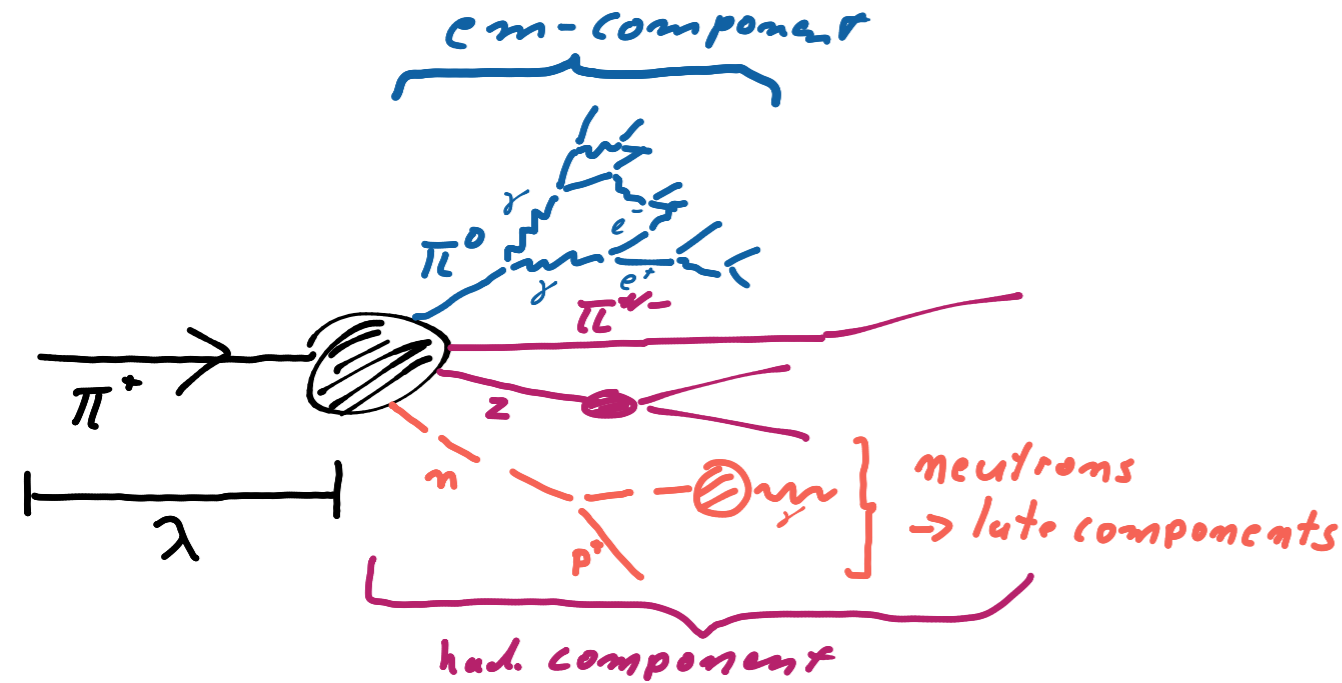


Excellent reproduction of two-particle separation in SiW ECAL + Scint. AHCAL



# Studying and Simulating Hadronic Showers

- Hadronic showers exhibit a complex structure



compact - characterizes regions close to inelastic interactions

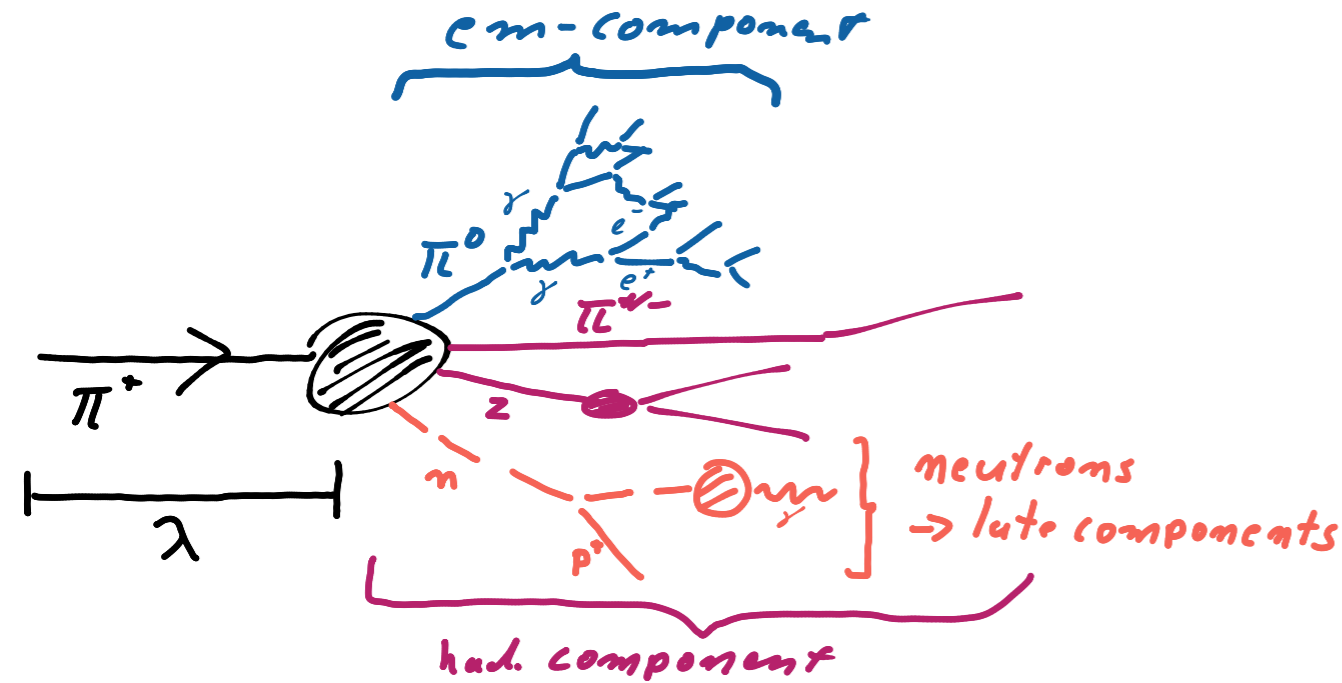
sparse - results in MIP-like particles connecting regions of higher activity

extended in time:

- few 10 ns from travel time of MeV-scale neutrons
- longer delays up to  $\mu\text{s}$  (and more) from thermal neutron capture and subsequent photon emission

# Studying and Simulating Hadronic Showers

- Hadronic showers exhibit a complex structure



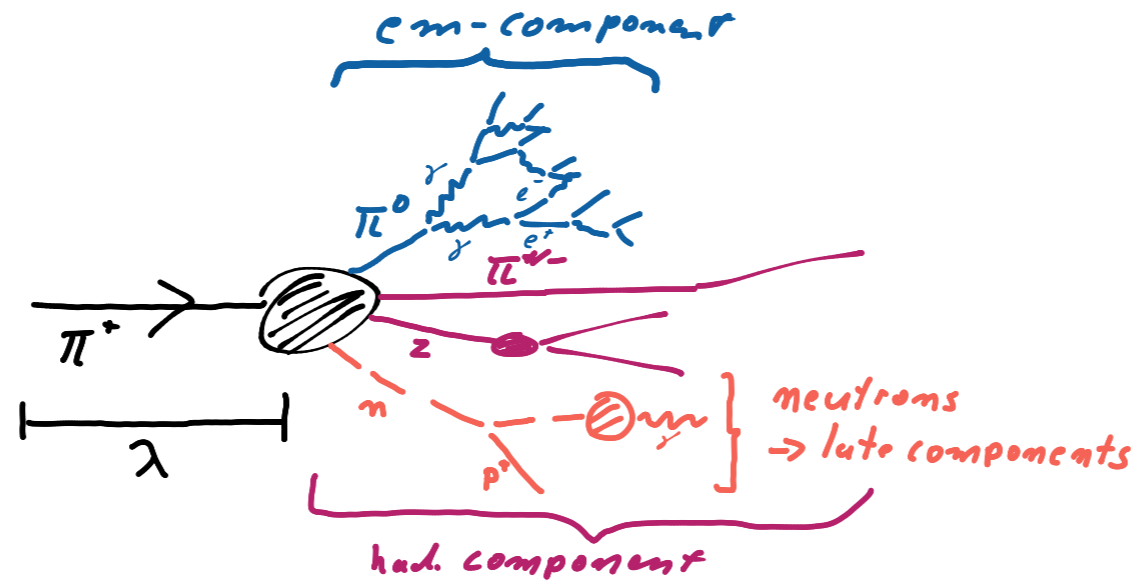
compact - characterizes regions close to inelastic interactions

sparse - results in MIP-like particles connecting regions of higher activity

extended in time:

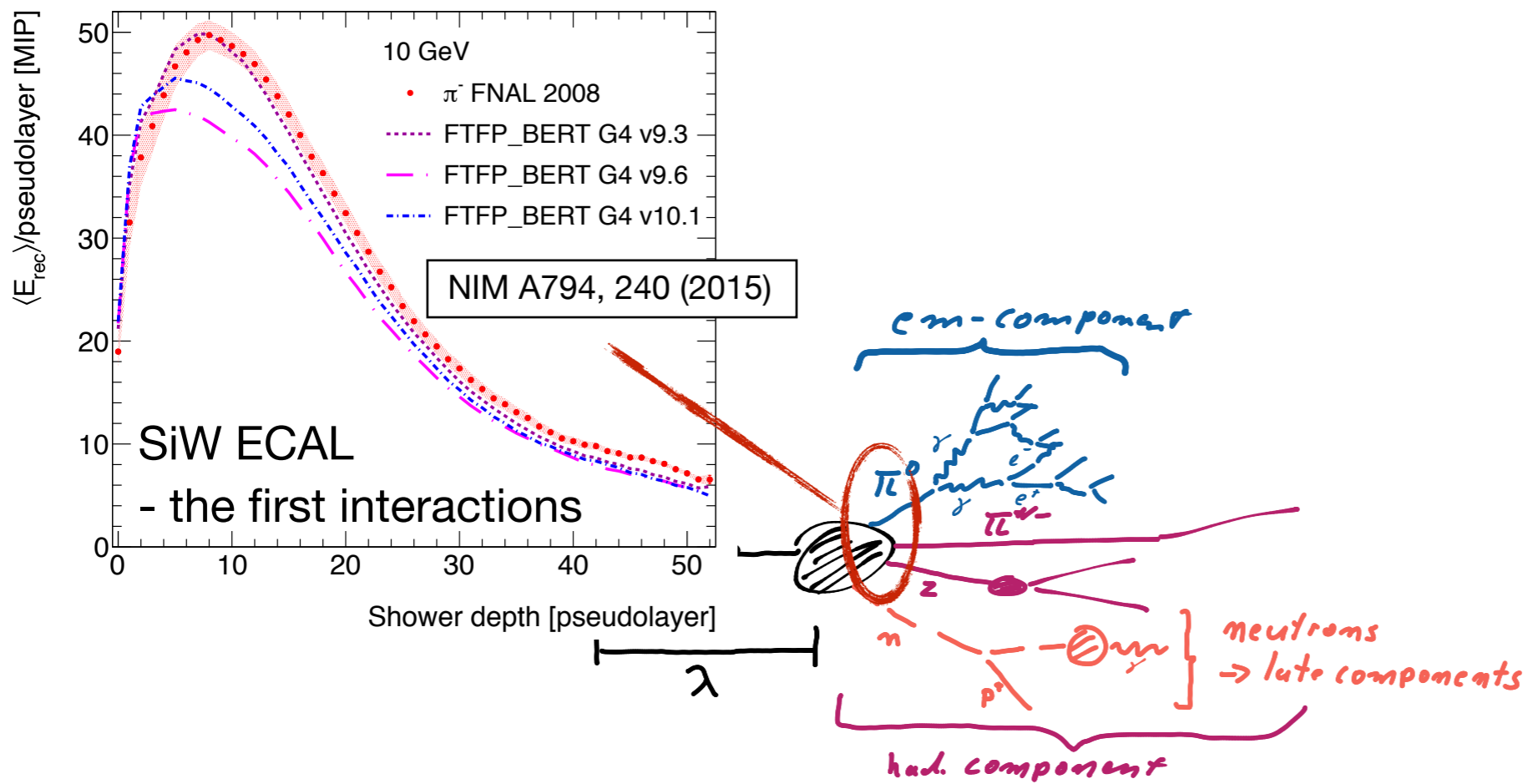
- few 10 ns from travel time of MeV-scale neutrons
  - longer delays up to  $\mu$ s (and more) from thermal neutron capture and subsequent photon emission
- Simulation is crucial to optimise detectors and to analyse data
  - ▶ CALICE data with unprecedented granularity provides a new level of information to improve modelling of showers in GEANT4

# Understanding Hadronic showers

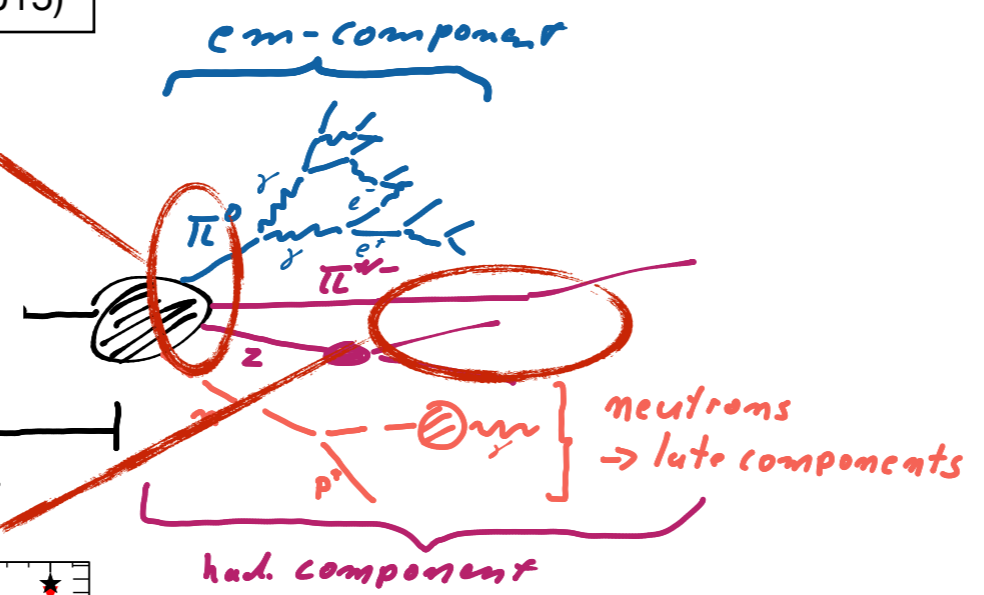
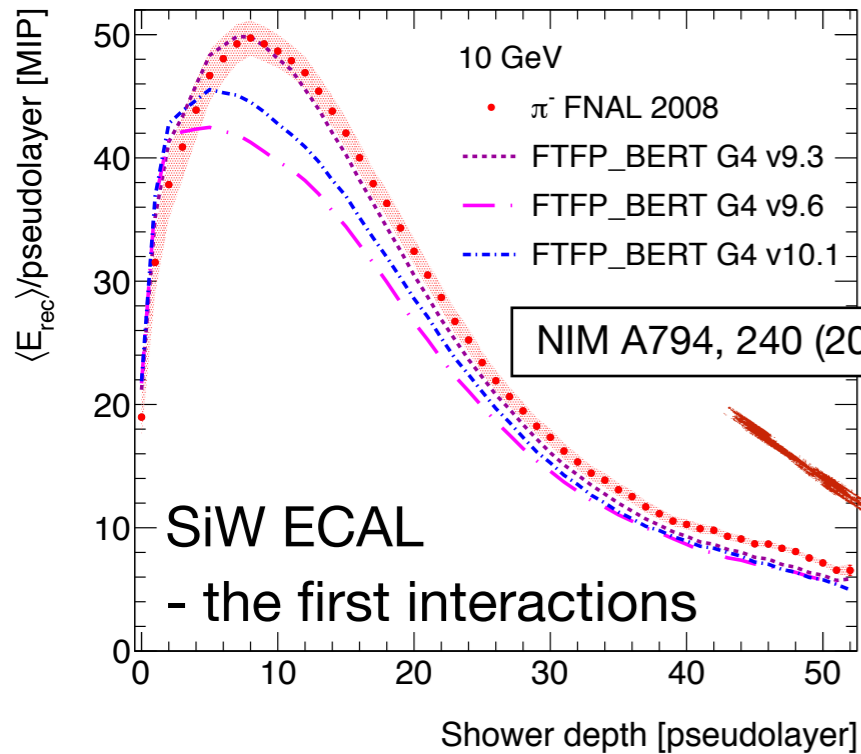




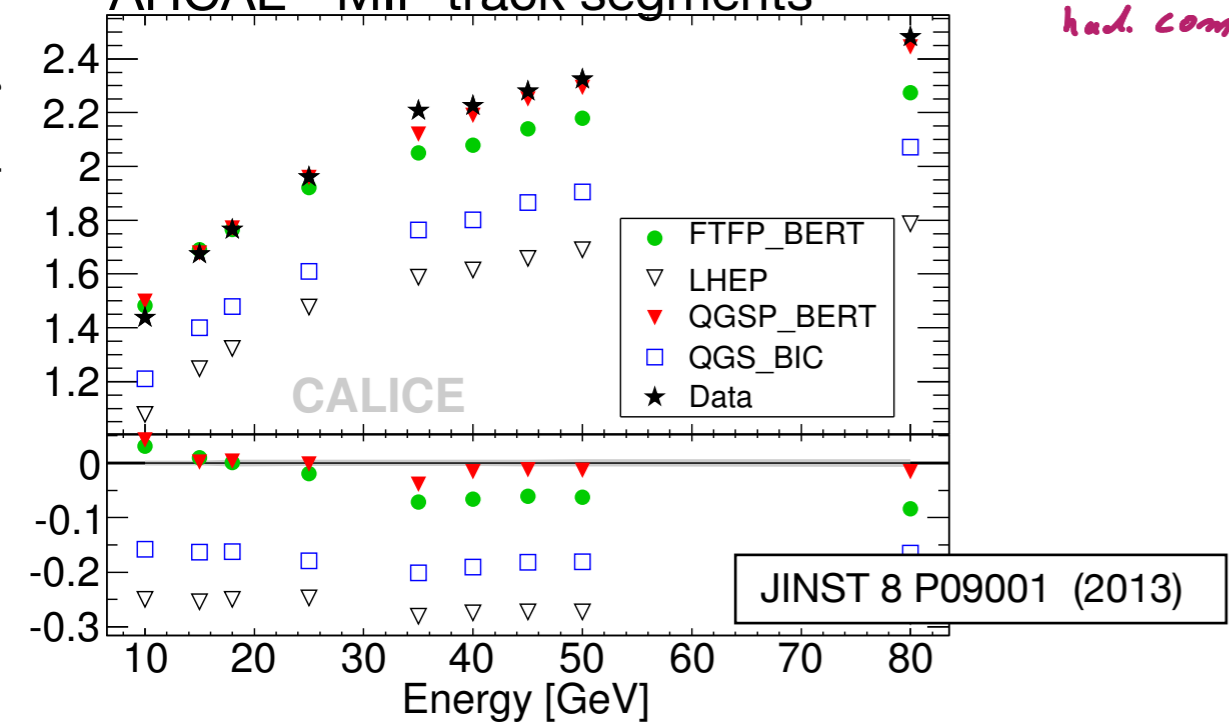
# Understanding Hadronic showers



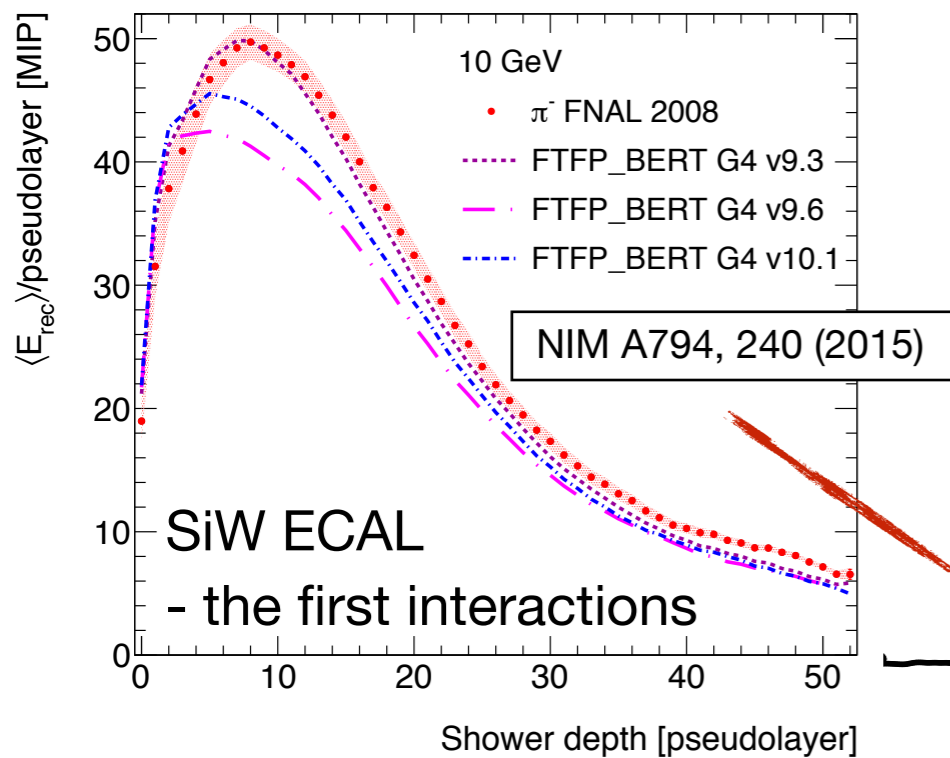
# Understanding Hadronic showers



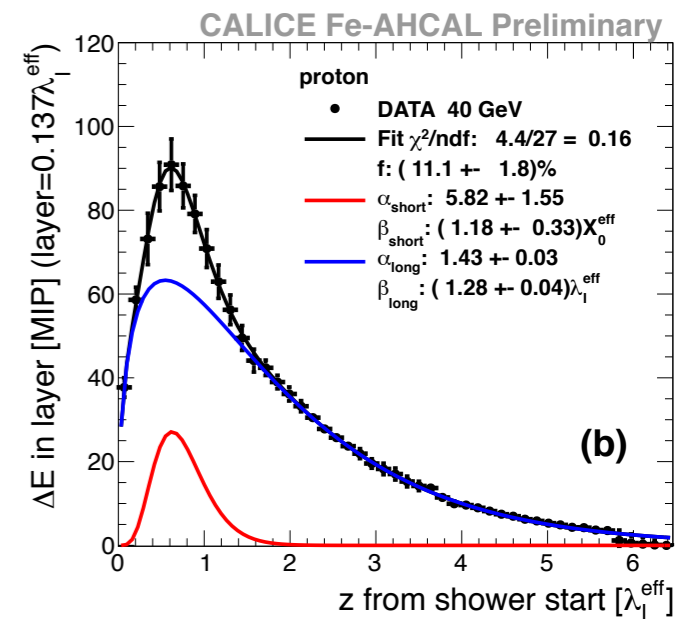
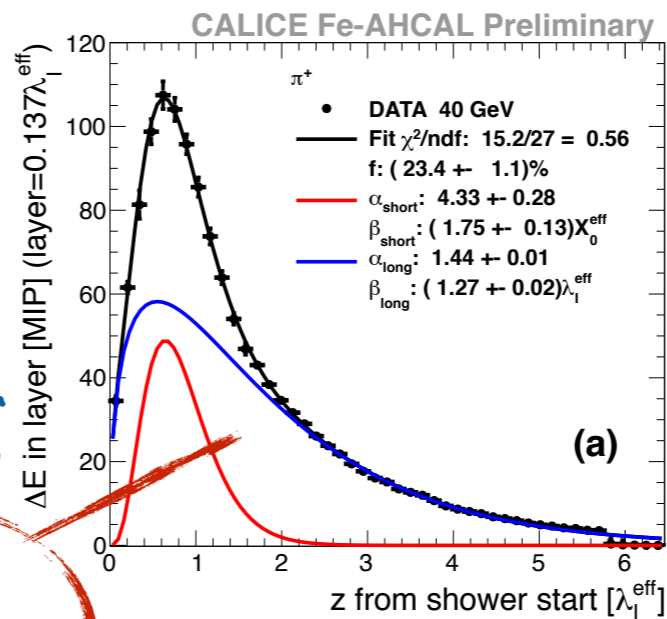
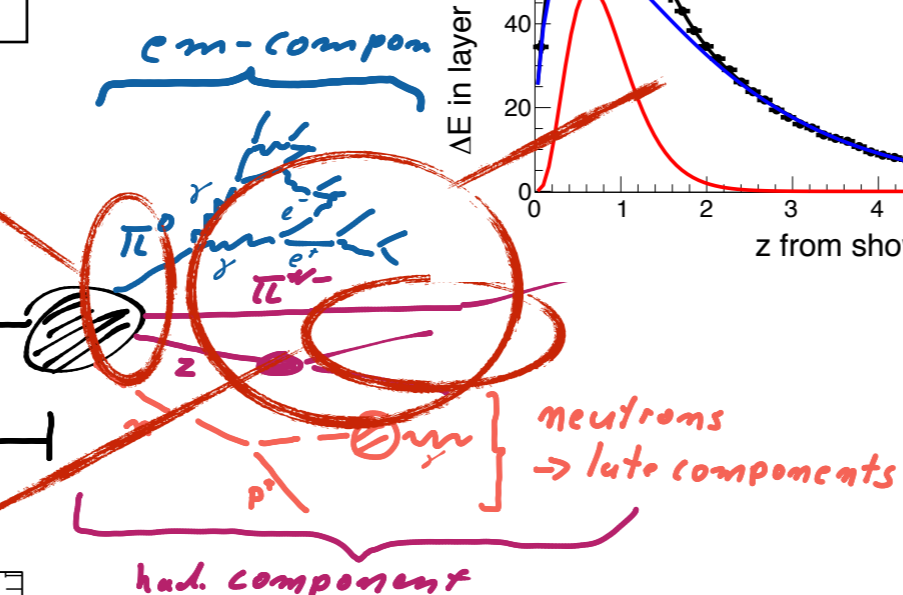
AHCAL - MIP track segments



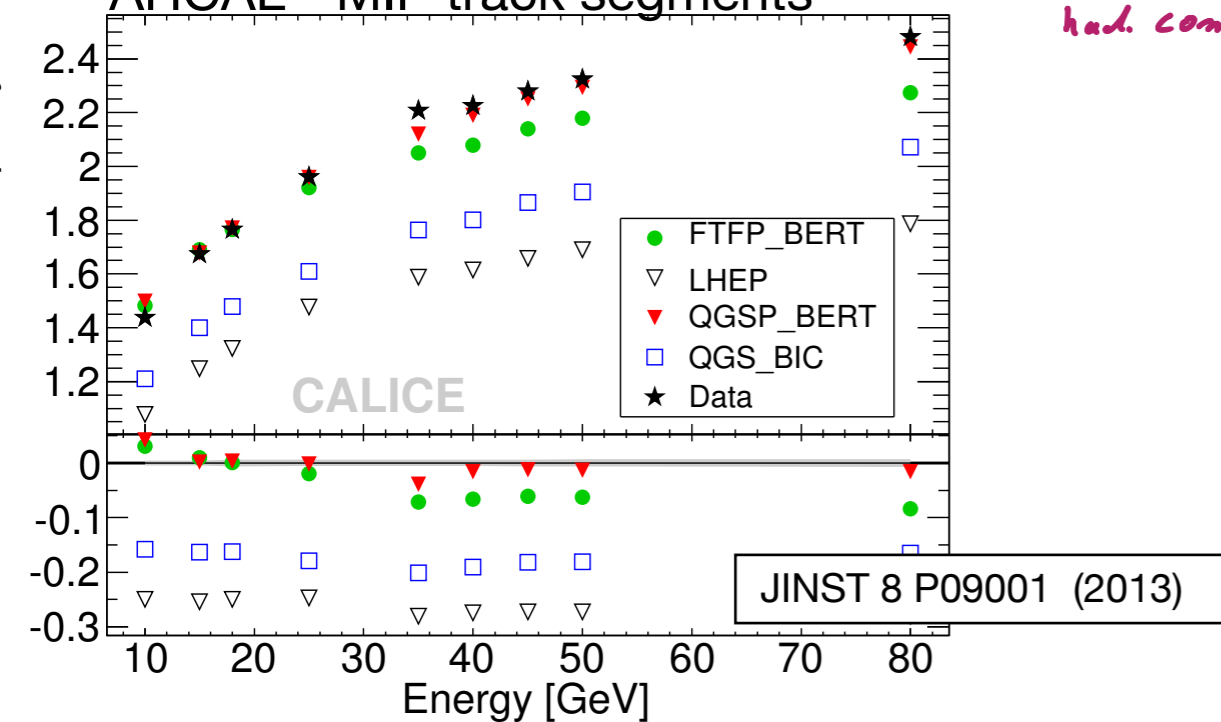
# Understanding Hadronic showers



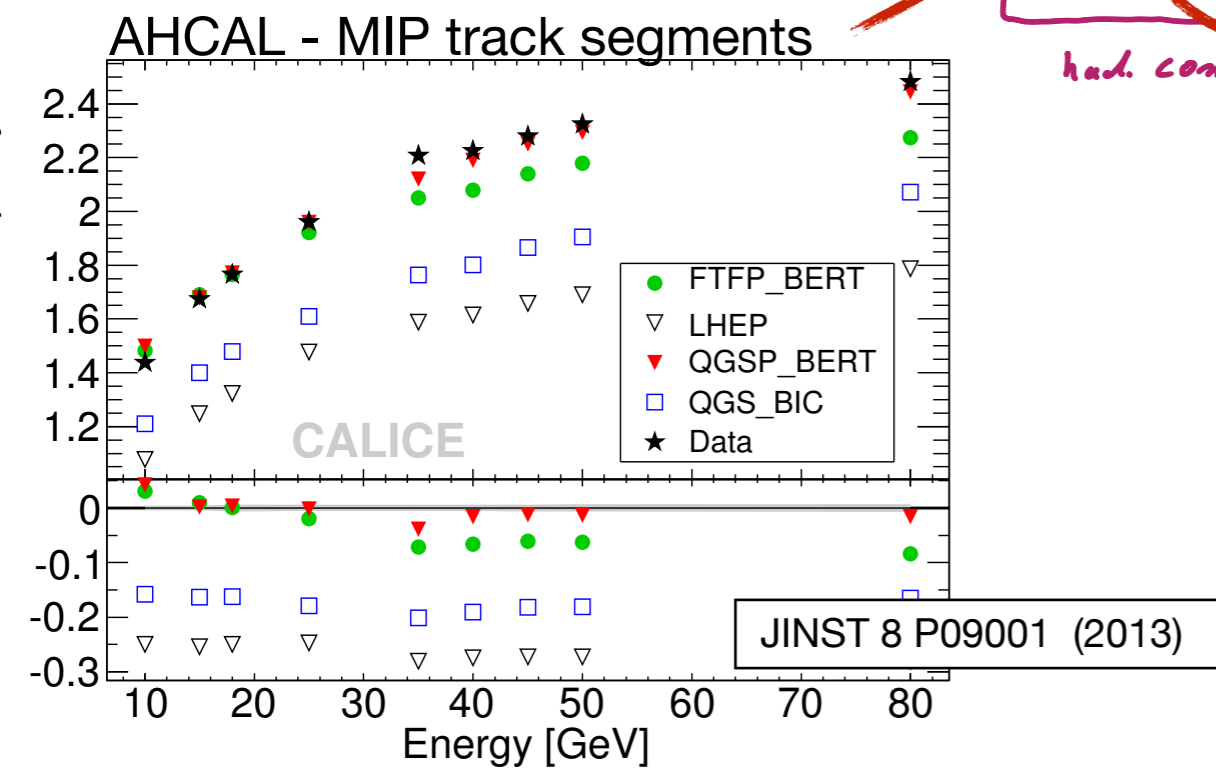
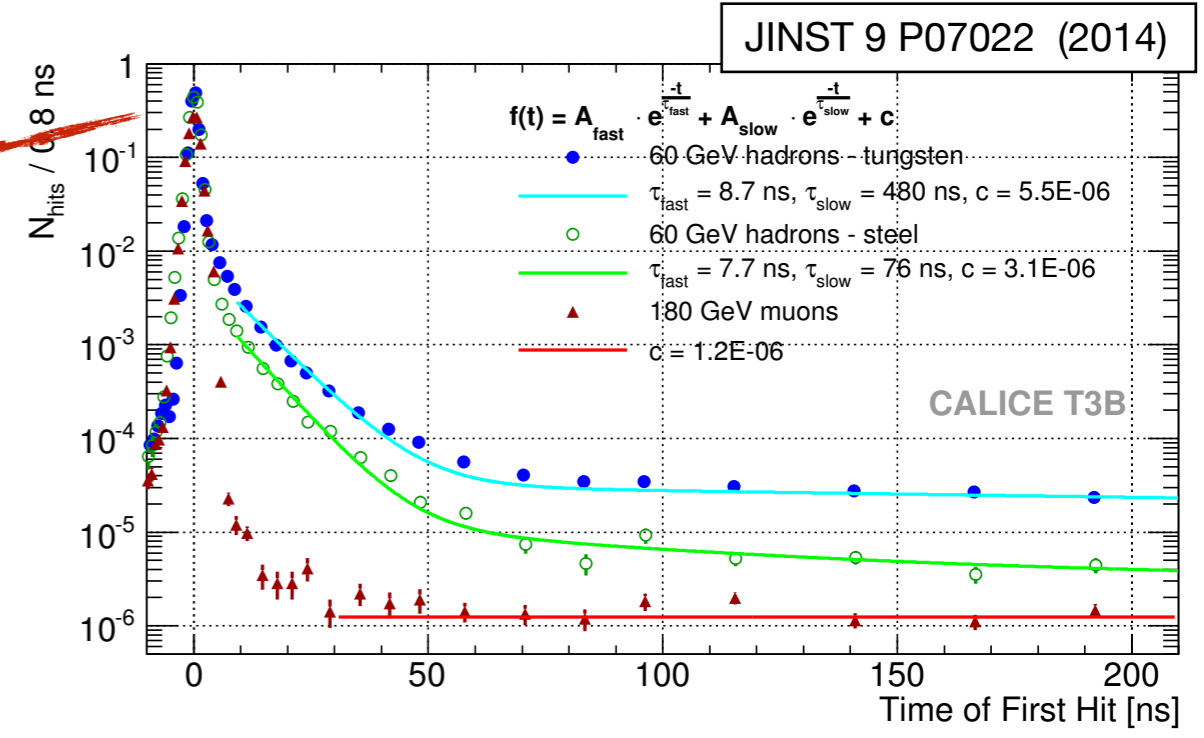
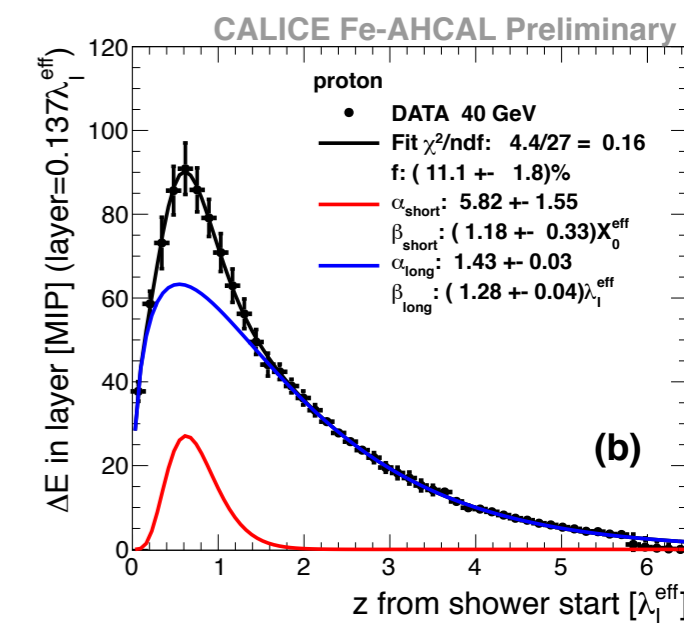
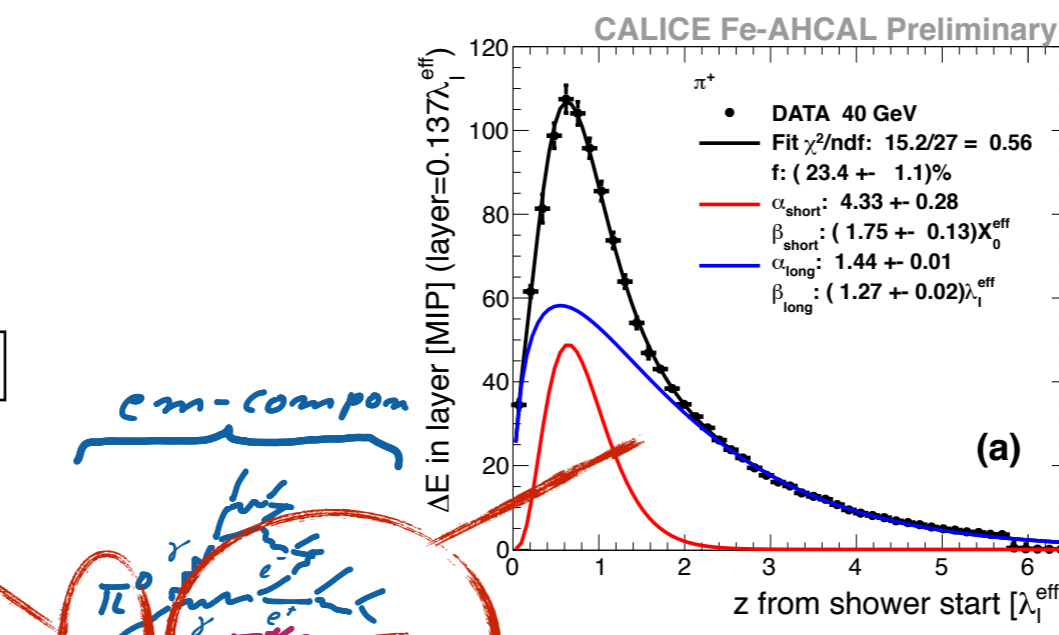
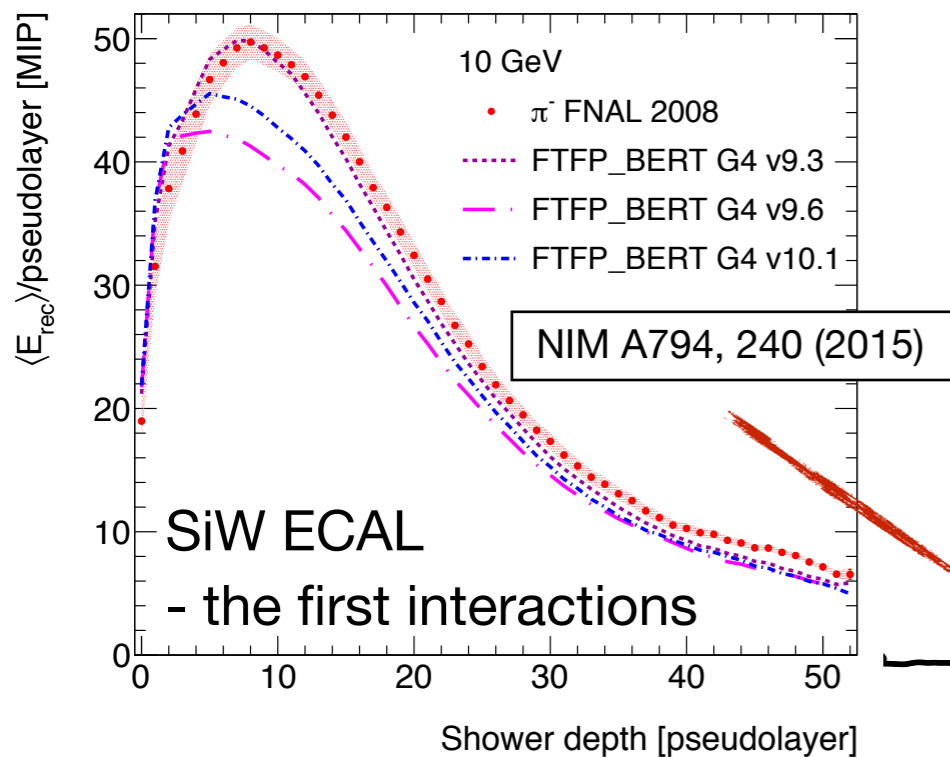
SiW ECAL  
- the first interactions



AHCAL - MIP track segments



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