Flux measurements with DUNE ND: v+e elastic & low-v

Chris Marshall Lawrence Berkeley National Laboratory Fermilab ND workshop 28 March, 2017



From 35,000 feet

- We want to measure the flux at the near detector
- Generally:

$$\Phi(E_{\nu}^{true}) = \frac{\int D(E_{\nu}^{true}, E_{\nu}^{reco}) N(E_{\nu}^{reco}) dE_{\nu}^{reco}}{\epsilon(E_{\nu}^{true}) \sigma(E_{\nu}^{true})}$$

- Ideally, identify a subsample with known σ(E) and simple, well-understood energy smearing matrix *D*
- Alternatively, use two samples:
 - 1) Known absolute $\sigma(E)$
 - 2) Known $\sigma(E)$ *shape*, simple matrix **D**



Sample 1: v+e elastic scattering

• Purely electroweak process with known cross section*:

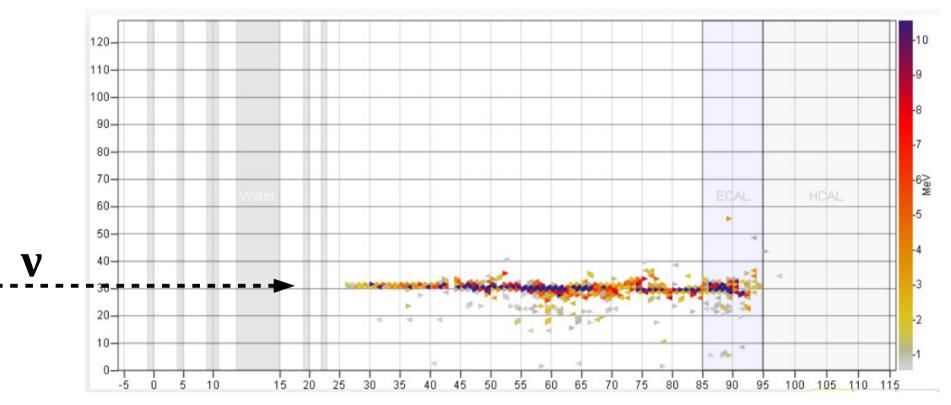
$$\frac{d\sigma}{dy} = \frac{G_F^2 m_e E_\nu}{2\pi} \left(\left(\frac{1}{2} - \sin^2 \theta_W\right) + \sin^4 \theta_W (1-y)^2 \right)$$

- No nuclear effects!
- Straightforward measurement of total flux
- Theoretically possible to measure Φ(E), but extracting y is very challenging
 - More on this later

*radiative corrections need to be calculated and implemented in GENIE



v+e scattering

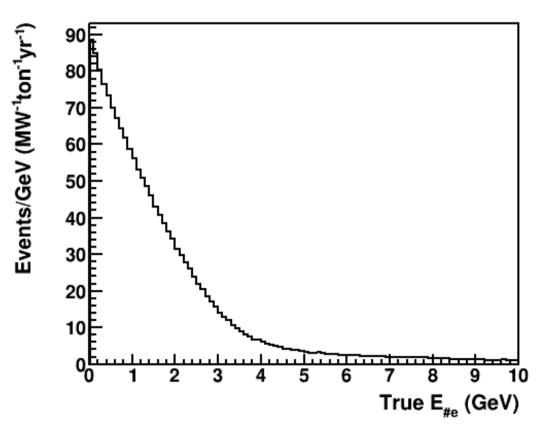


- Example from MINERvA shown
- Signal is very forward electron and no other activity
- $E_e \theta^2 = 2m_e (1-y) < 2m_e$



v+e signal spectrum

80GeV 3-horn optimized flux Ar target @ 574m



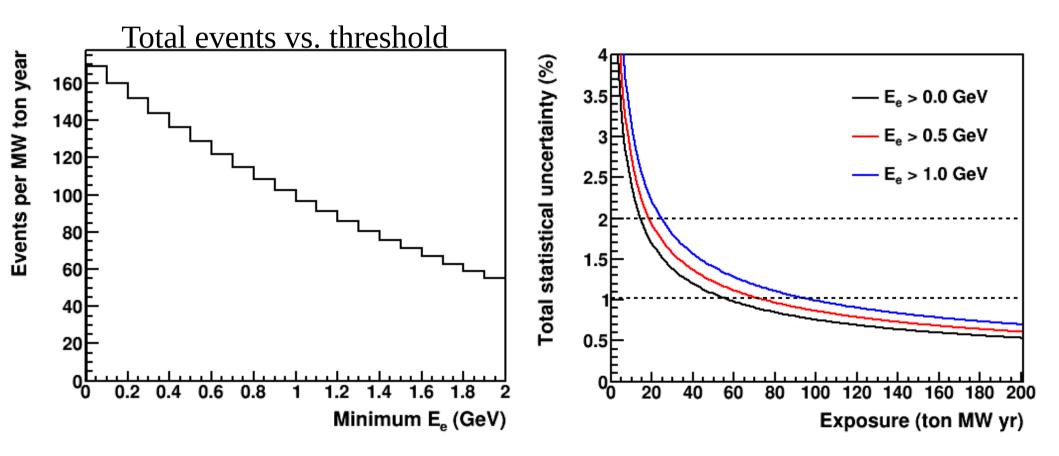
- Spectrum falls with electron energy
- Reconstruction will be very challenging at very low energies, and there will be some minimum shower energy
- In MINERvA this was
 0.8 GeV, but DUNE ND should do better





v+e event rate in DUNE flux

80GeV 3-horn optimized flux Ar target @ 574m Step function efficiency

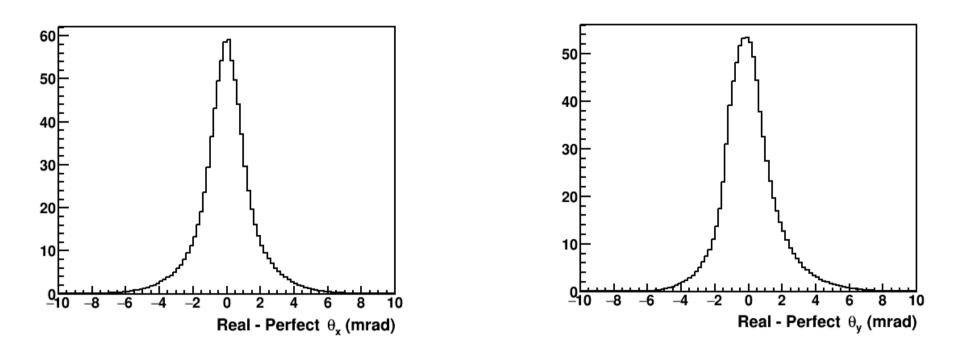


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Beam angle at 574m

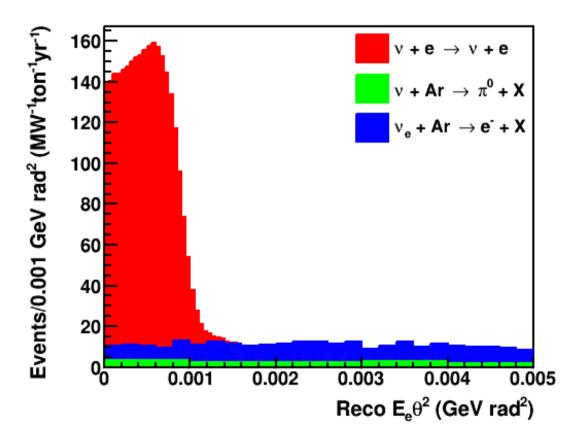


- "Perfect" is if you knew the incoming neutrino direction exactly event-by-event
- "Real" is correcting for the mean beam angle only



v+e background

 $\sigma(E) = 5\%, \ \sigma(\theta) = 2 \text{ mrad}, \ E_e > 0.5 \text{ GeV}$



- Background events with Σ(charged hadron KE + EM total E) < 20 MeV
- π⁰ events have second photon energy < 50 MeV, or photon opening angle < 2 mrad
- Assumes 10x rejection of γ (green), FGT CDR claims 100x



$\mathbf{E}\mathbf{\theta}^2$ cut in DUNE

Events/0.001 GeV rad² (MW⁻¹ton⁻¹yr⁻¹) $\sigma(\theta) = 0 \text{ mrad}$ $\sigma(\theta) = 1 \text{ mrad}$ 140 $\sigma(\theta) = 2 \text{ mrad}$ $\sigma(\theta) = 4 \text{ mrad}$ 120 $\sigma(\theta) = 7 \text{ mrad}$ $\sigma(\theta) = 10 \text{ mrad}$ 100 80 60 40 20 0.001 0.002 0.003 0.004 0.005 Reco $E_e \theta^2$ (GeV rad²)

σ**(E) = 5%**

• For 0.5 GeV threshold

- Not very sensitive to electron energy resolution
- For < 4mrad angular resolution, can cut at 0.0015 and reduce background by a factor of 2 compared to MINERvA



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Potential for shape information

- 2-body scattering
- For known neutrino direction, can reconstruct neutrino energy from lepton kinematics
- Requires excellent angular resolution

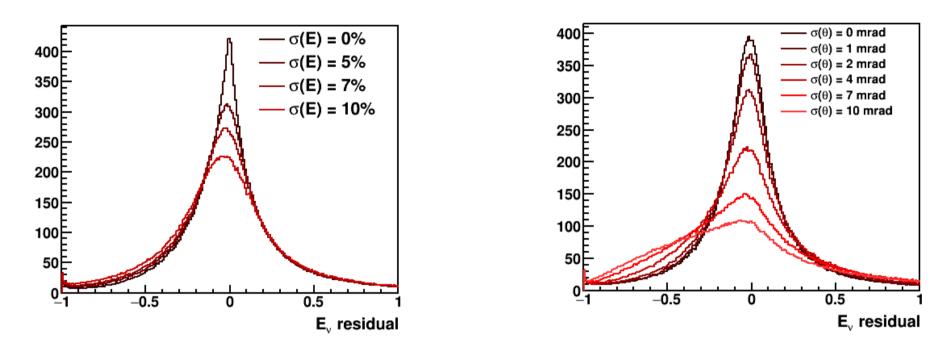
$$E_{\nu} = \frac{E_e}{1 - \frac{E_e(1 - \cos\theta)}{m}} \approx \frac{E_e}{1 - \frac{E_e\theta^2}{2m}}$$

Neutrino energy residuals

 $\sigma(\theta) = 2 \text{ mrad}$

σ**(E) = 5%**

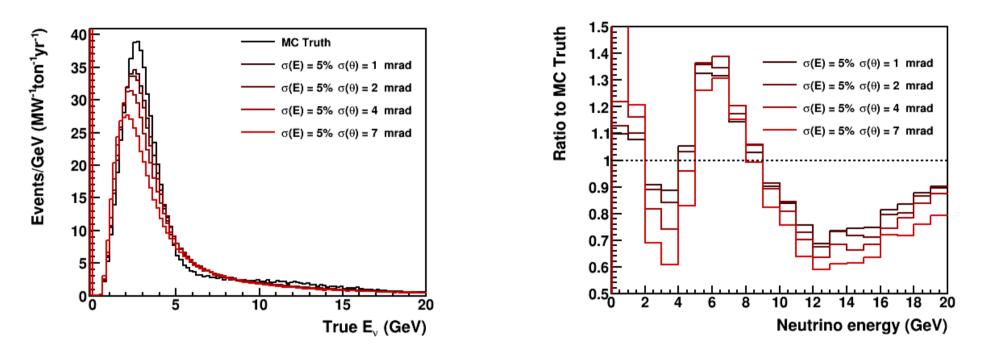
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- (Reco True)/True neutrino energy
- Left: varying electron energy resolution
- Right: varying electron angle resolution

Reconstructed neutrino energy



- y reconstructs negative when $E\theta^2 > 2 m_e$
- Even with ~2mrad angular resolution, there are ~20% corrections to the spectrum



v+e conclusions

- 1% statistical uncertainty in ~100 ton MW yrs at 574m
- Background systematic of ~0.5% is realistic with expected improvements to generators
- Need < 0.6% uncertainty on efficiency to get to 1% systematic
- 5% energy resolution and 4-7 mrad angular resolution is good enough for rate measurement
- Shape is difficult, even with 1-2 mrad angular resolution
- At 360m, intrinsic neutrino direction dispersion roughly doubles



Sample 2: Charged-current low-v

• Differential cross section can be written as:

$$\frac{d\sigma}{d\nu} = A + B\frac{\nu}{E} - C\frac{\nu^2}{2E^2} \qquad \lim_{\frac{\nu}{E} \to 0} \frac{d\sigma}{d\nu} = A$$

- A, B, and C are integrals of structure functions
- $v = E_{had} = E_v E_{lep}$
- In practice, the low-v sample is defined with some finite cut v0 << $E_{\rm v}$

$$S(E) = \frac{\sigma(E)^{\nu < \nu_0}}{\sigma(E)^{\nu \to 0}} = \frac{\sigma(E)^{\nu < \nu_0}}{\sigma(E \to \infty)^{\nu < \nu_0}}$$

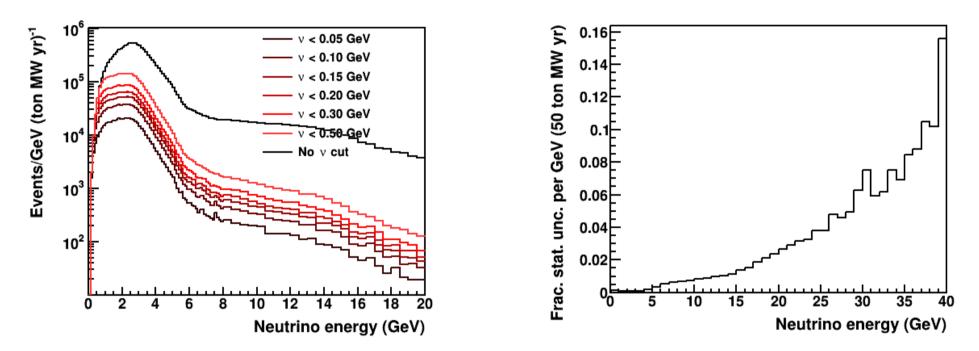
Low-v analysis

- Used by CCFR, NuTeV, NOMAD, MINOS, MINERvA
- Challenging at low neutrino energy, because v/E must be small
- MINOS, MINERvA used variable v cut to increase statistics at higher E_v, with additional systematics
- Signal is forward muon, very little else
- Systematics to worry about:
 - Theoretical correction for B and C terms
 - Hadronic energy resolution smearing events into or out of low-v sample, especially neutrons
 - Muon energy resolution



Low-v statistics

v < 0.10 GeV



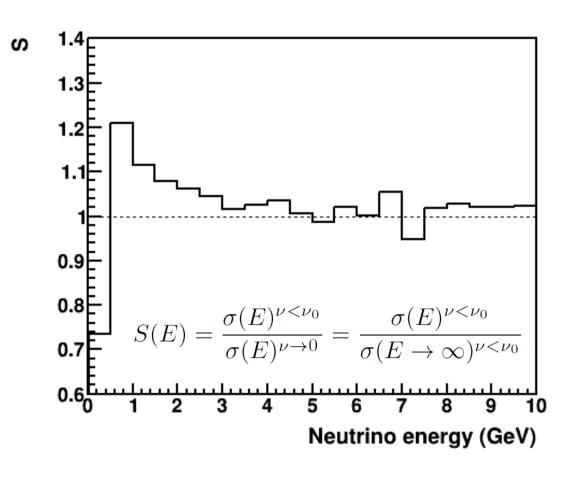
- High statistics few 100s of events per GeV per ton MW year in the tail with $\nu < 100 \ MeV$
- 50 ton MW yr exposure gives ~1-2% statistical uncertainty per GeV in the tail
- Low-v sample will be systematics dominated in DUNE

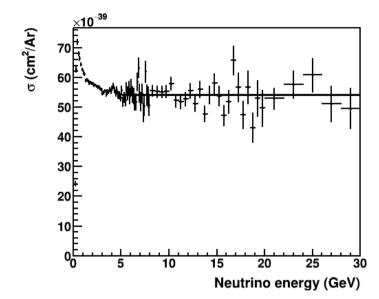


Theoretical correction

v < 0.10 GeV

ν < **0.10 GeV**





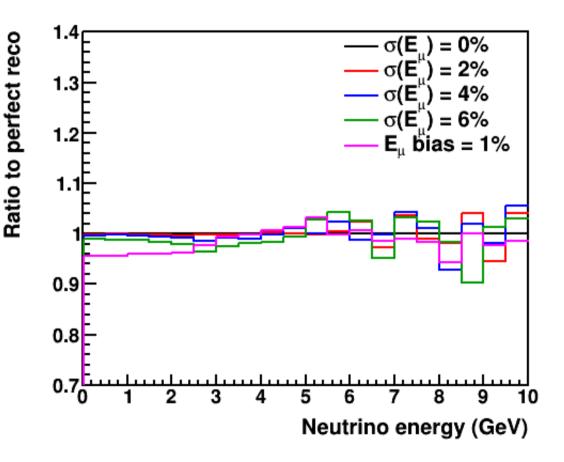
 Event distribution divided by flux prediction and highenergy cross section

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• 10% correction at 1 GeV

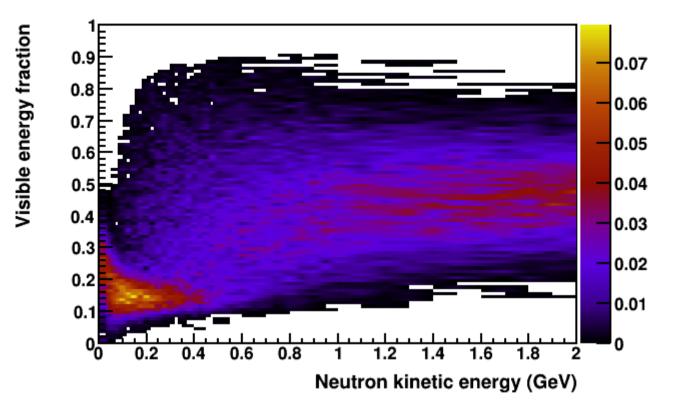
Muon reconstruction

True v < 0.10 GeV



- Muon energy resolution of 4-6% gives 1-2% dip in the peak due to smear-out
- Energy scale bias of 1% is a 4% effect from 1-2 GeV
- No acceptance effects simulated – perfect acceptance is assumed

Neutrons

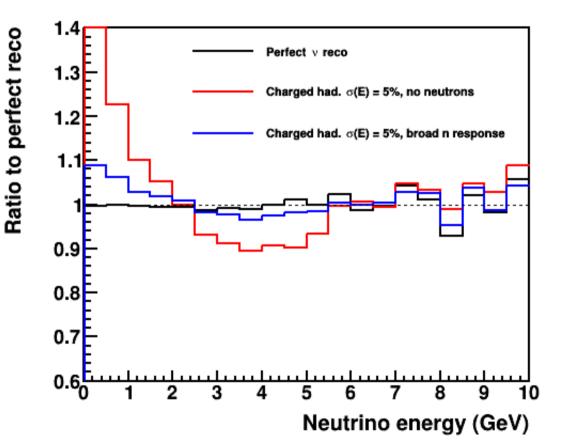


- Simulate neutrons in LAr in Geant4 at different initial energies
- Visible energy is all charged particle deposits, mostly due to knock-out protons



Hadron reconstruction

$$v < 0.10, \sigma(E_{\mu}) = 4\%$$



- Charged hadron reconstruction is a small effect
- Neutrons give a ~20% shape between 1-4 GeV
- For ~3% shape error, need uncertainty on neutron response to be < 15%

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Low-v conclusions

- Sufficiently high statistics to use flat v < 0.1 GeV cut
- Theoretical correction is ~10% in oscillation region
- For 3% shape uncertainty, need:
 - Uncertainty on theory correction < 20%
 - Uncertainty on muon energy scale normalization < 1%
 - Uncertainty on neutron response < 15%

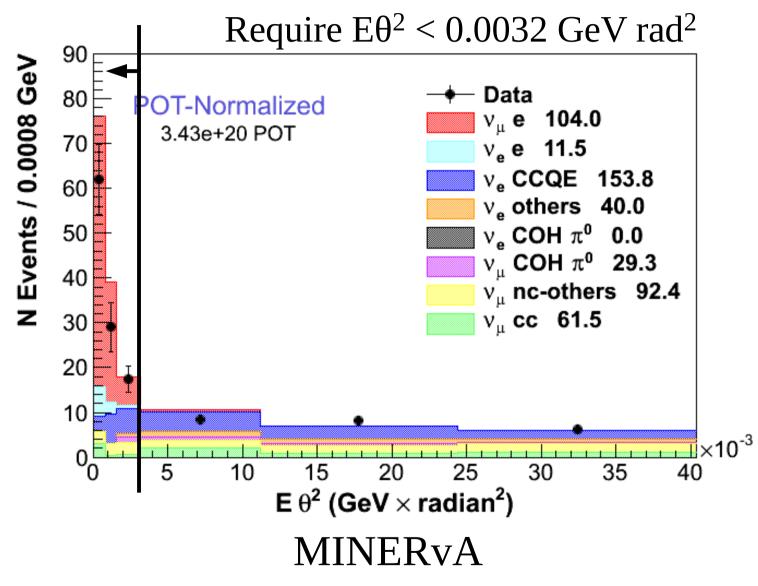


Backups

• There are hundreds of interesting plots in the zip files associated with this talk



MINERvA Eθ² cut





v+e systematics

- MINERvA LE measurement (124 events) had 5.1% systematic with 12.2% statistical uncertainty
- Leading systematics are background prediction (v_e CCQE Q² shape, NC π⁰), and detector (efficiency vs. electron energy)
- Must reduce:
 - Background uncertainties
 - Detector uncertainties

	Fractional
Source	Uncertainty
Flux (simulated background)	0.2%
GENIE (not including CCQE)	2.3%
CCQE shape	3.1%
Beam angle	0.2%
Electromagnetic energy scale	1.8%
Reconstruction Efficiency	2.7%
Total Systematic Uncertainty	5.1%
Statistical Uncertainty	12.2%

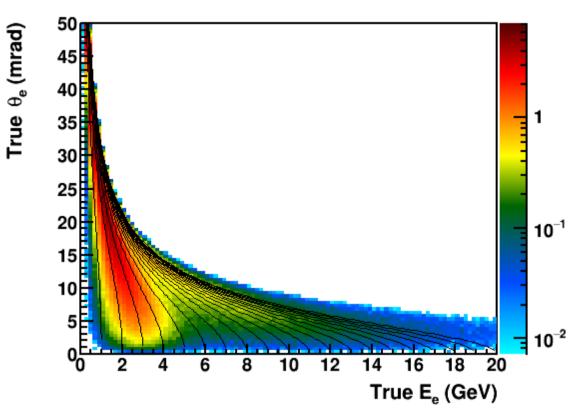


v+e background systematics

- 90% sample purity with $E\theta^2 < 0.0015$
 - Background reduced by ~2x compared to MINERvA
- Need uncertainty on v_e CCQE extrapolation from mid-E θ^2 sideband reduced by ~2x compared to MINERvA to get background uncertainty < 0.5%
 - Doable with improved models for 2p2h, etc.
- If detector is magnetized, NC π^0 should be negligible
- For 1% total systematic, need uncertainty on efficiency < 0.6%



Energy vs. angle



- Lines of constant neutrino energy
- Beam angle smearing only perfect detector

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Acceptance

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v < 0.10 GeV 0.3 50 g Muon angle (degrees) 45 0.25 40 35 0.2 30 25 0.15 20 0.1 15 10 0.05 5 00 0 20 2 18 8 16 10 12 14 Neutrino energy (GeV)

 Columnnormalized to unity

- Very forward for E_v > 2 GeV
- Down to 1 GeV muon angle goes up to ~45 degrees

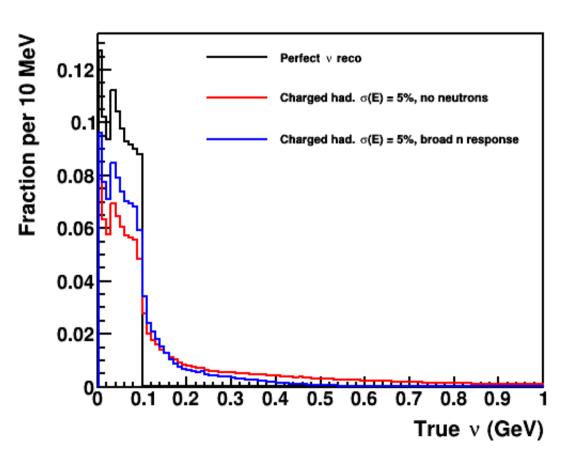
Detector effects

- Used fast MC to study these detector effects:
 - Finite muon energy resolution
 - Muon energy scale bias
 - v reconstruction: $\sigma(p) = 5\%$ for p/π , $\pi \pm$ KE only, no neutrons
 - Add neutrons based on Geant4 LAr study
- Plot event rate / (flux prediction * high-energy cross section * S)
- Takes out all cross section effects result is 1.0 for perfect detector



Hadron reconstruction

Reco v < 0.10 GeV

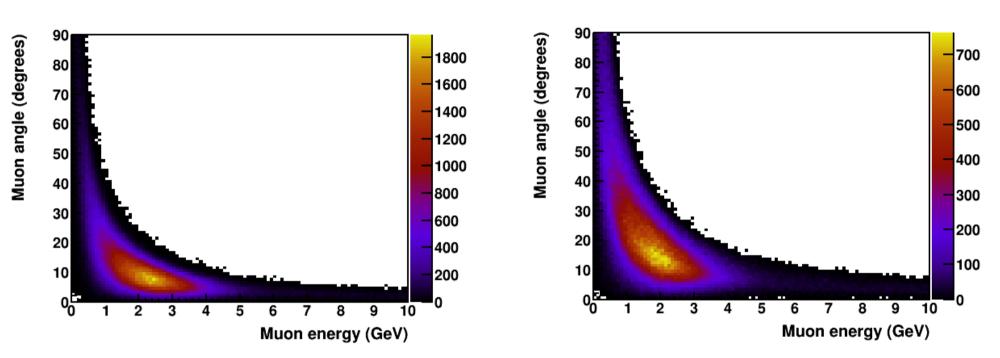


- For cut on reco v < 0.1 GeV, true v distributions for different assumptions
- Red loses all neutron energy
- Blue keeps energy based on previous slide

Muon kinematics

0.0 < true v < 0.1

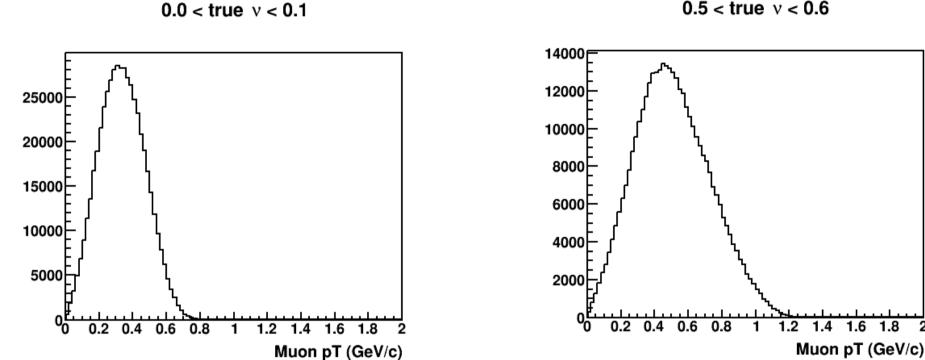
0.5 < true v < 0.6



- To first order, for low-v sample, reco $E_v \approx E_{\mu}$
- Could you reject high-energy neutrons with missing p_T?



Muon kinematics

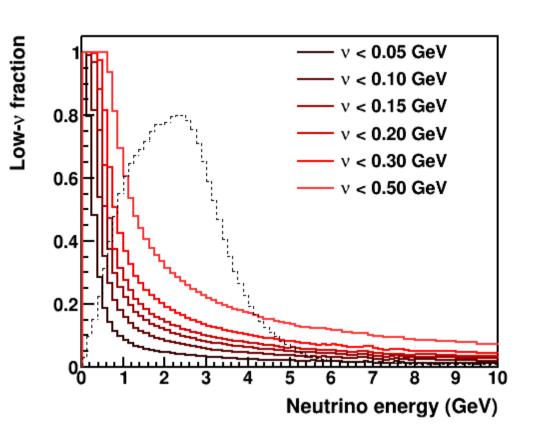


• Could reject events with muon p_T above ~0.7 GeV, but that would only reject ~20% of events with missed neutrons and true $v \sim 0.5 \text{ GeV}$



1.6

Low-v fraction



- Fit every event once
- Use separate low-v sample that is not used to constrain v-Ar cross sections
- For v < 0.1 GeV, low-v sample is 5-10% of CC sample in DUNE flux