

**μBooNE**

**Deep Learning Techniques**

in

**MicroBooNE**

**LArTPC Detector**

Joint DUNE/SBN Meeting: Lessons Learned

Kazuhiro Terao @ Nevis, Columbia University

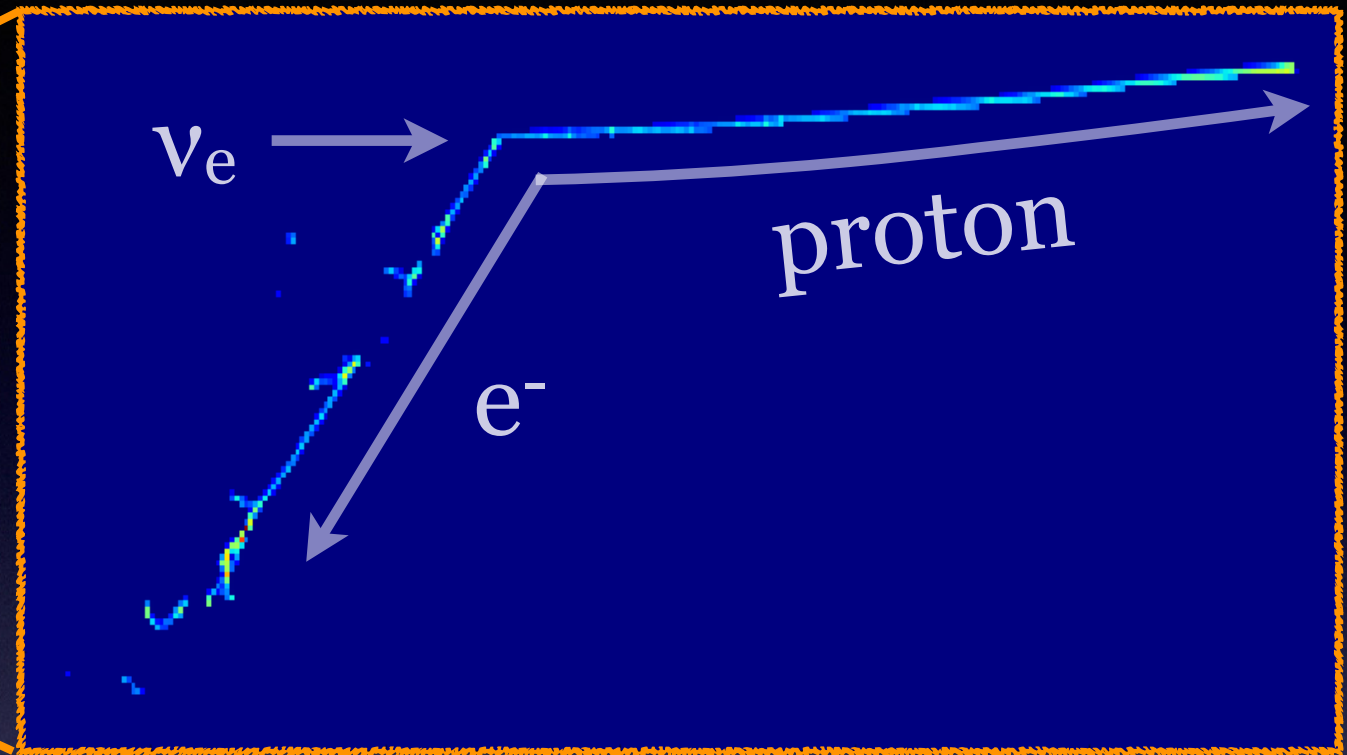
55 cm



NEVIS LABORATORIES  
COLUMBIA UNIVERSITY



Hey!  
I found  
my Ph.D!



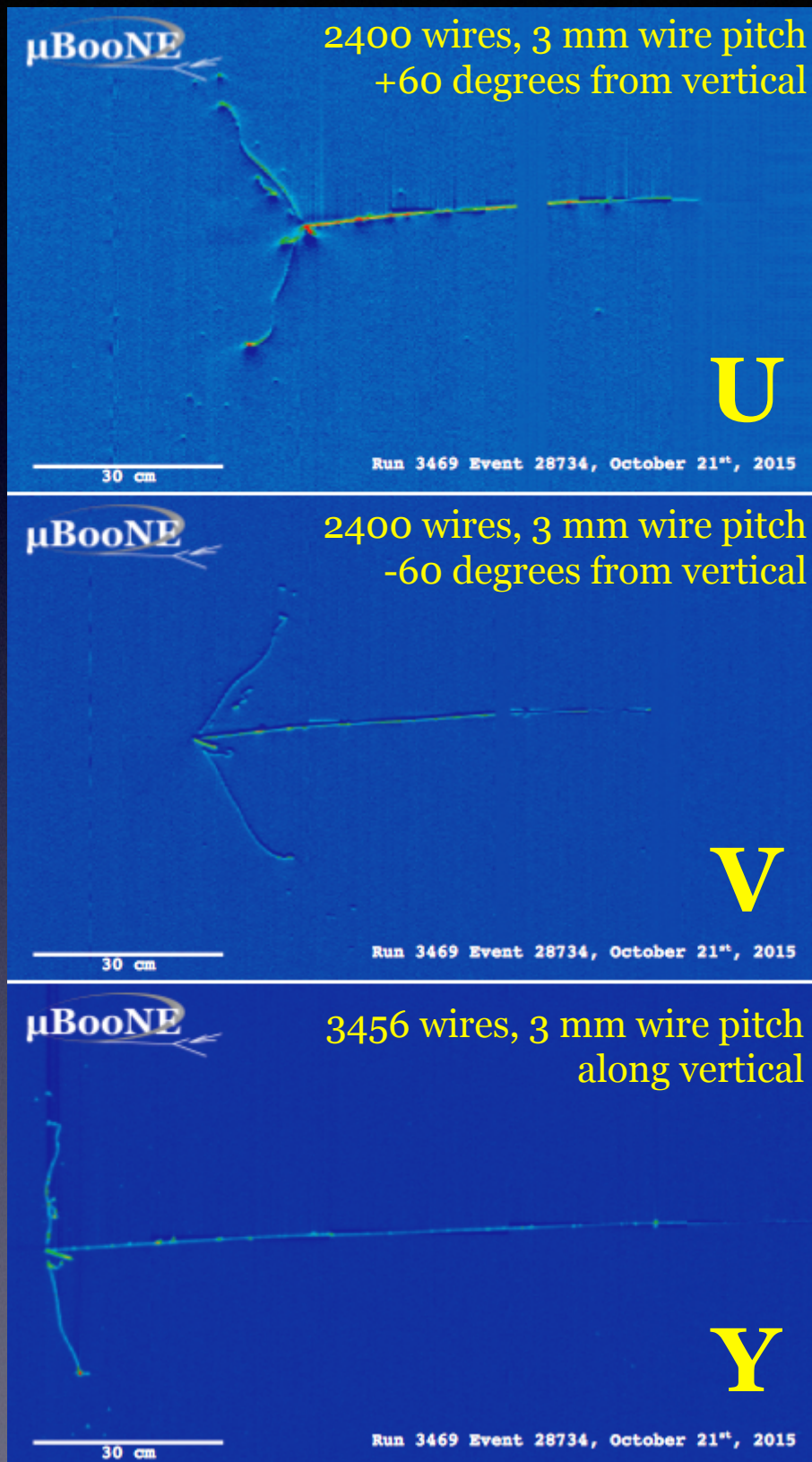
You need to automate that.

## Outline

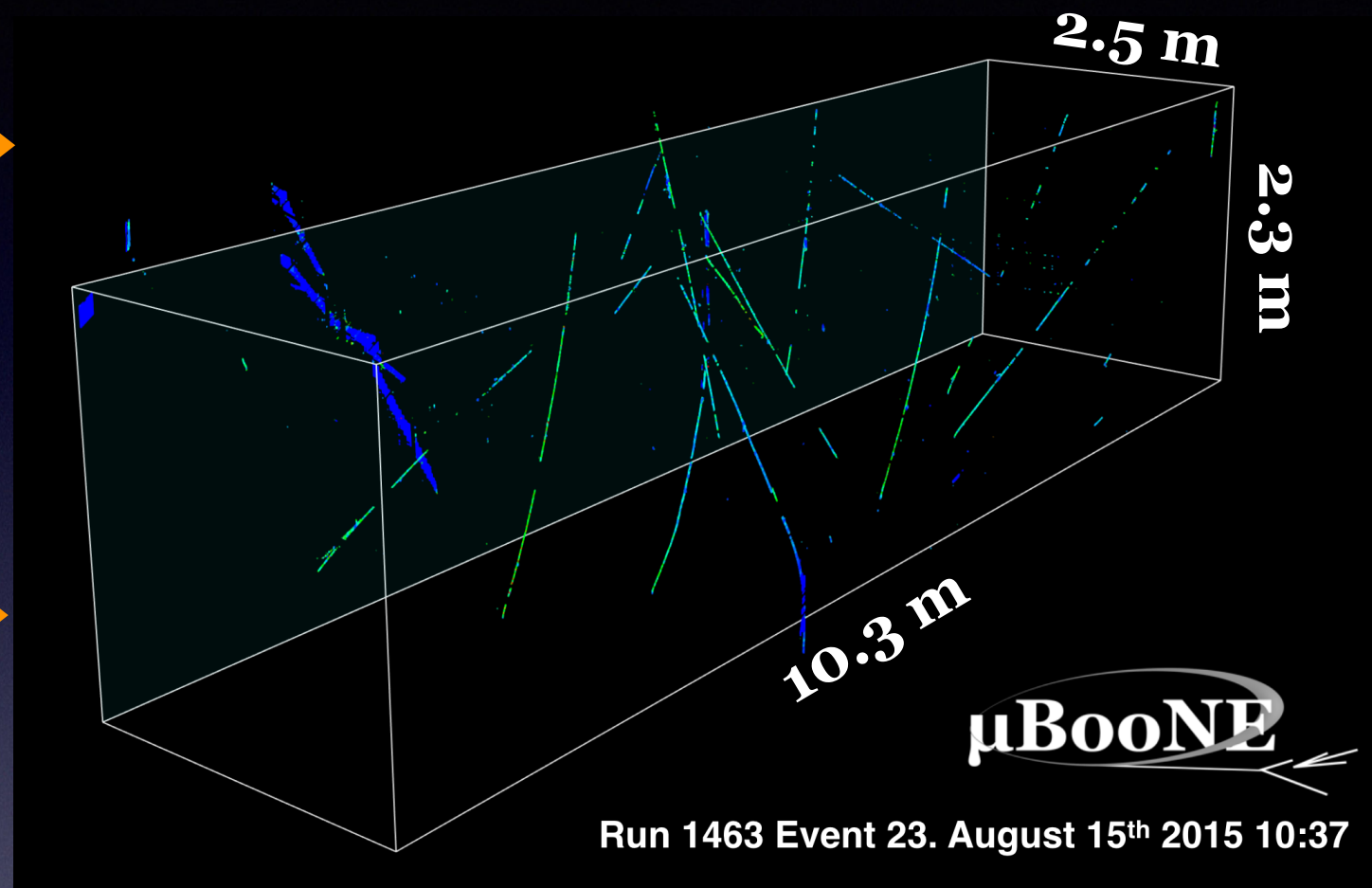
- **MicroBooNE and Deep Neural Networks**
- Deep Learning “lessons learned”
- Deep Learning “lessons learning”
- Summary



# LArTPC: Particle Imaging Machine



## Reconstructed 3D View



WireCell 3D reconstruction

Reconstruction is a  
challenging task...

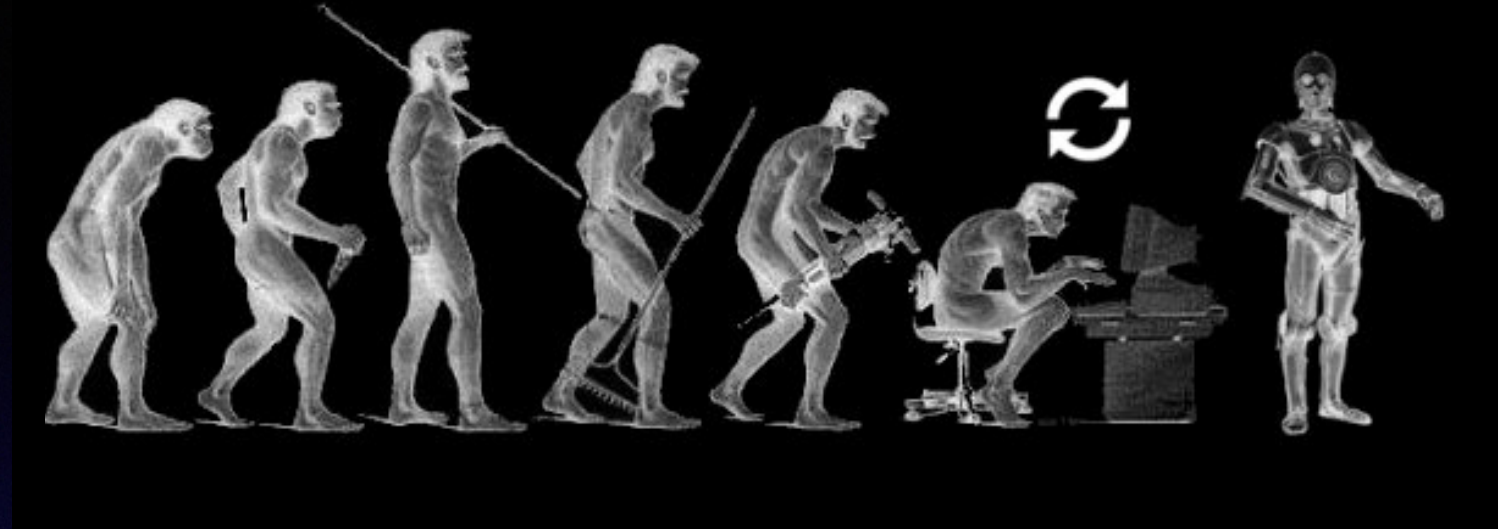
... so is analysis!

Three 2D Views



# Data Reconstruction / Analysis Challenge

## Solutions?



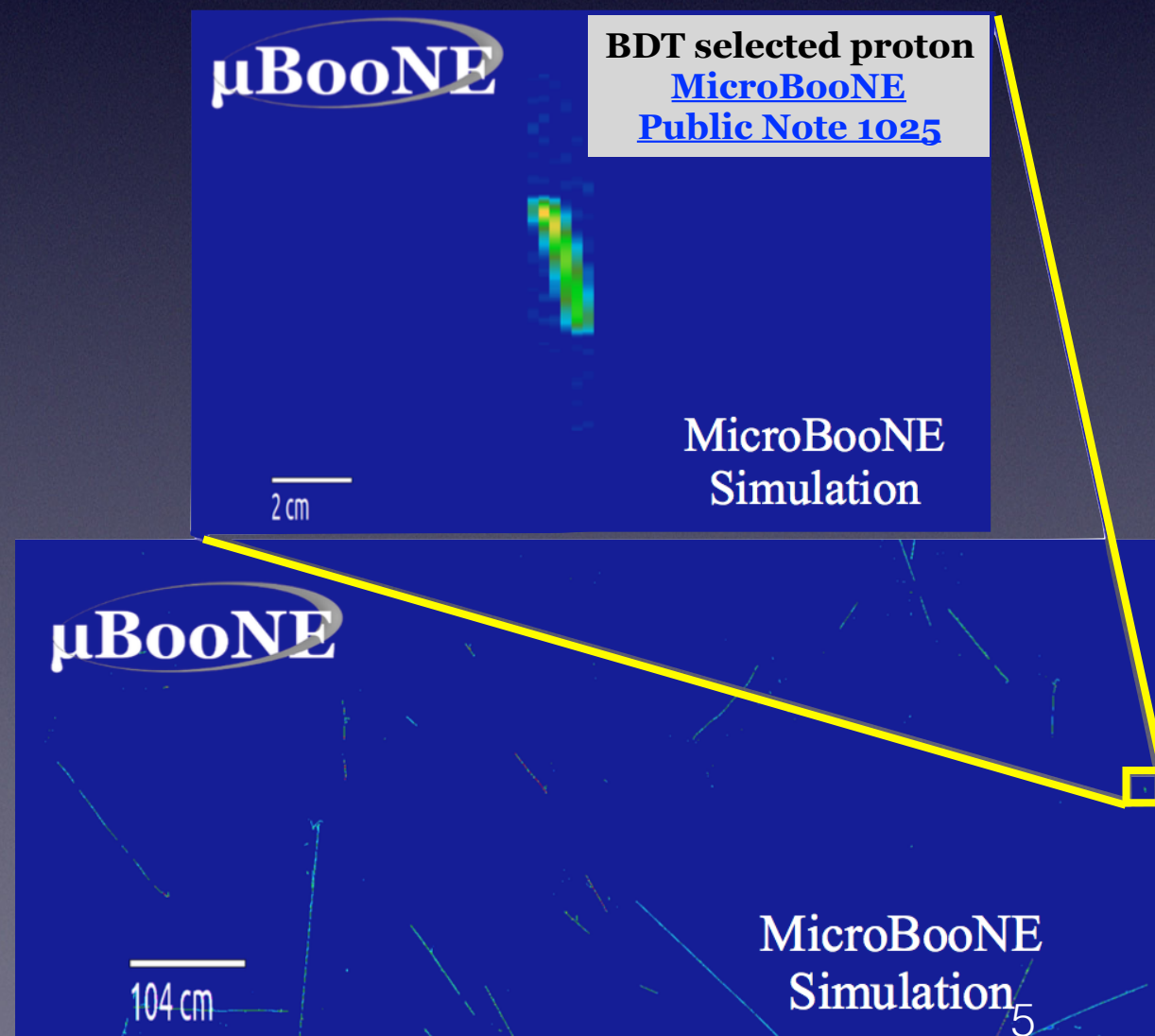
- **Path A: “traditional path”**
  - Hand-engineered reconstruction algorithms
- **Path B: machine learning**
  - “**Deep Learning**”
    - ▶ In particular...
      - ▶ **Convolutional Neural Networks (CNNs)**
      - ▶ Scalable technique, generalizable to various tasks
      - ▶ Superb performance on image data analysis



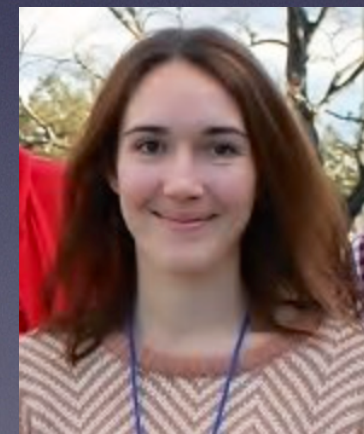
# Machine Learning Techniques in UB

## Boosted Decision Tree

- Used for low energy ( $>40$  MeV) single proton search
- Input: reconstructed parameters (length, angle, etc...)
- Analysis details available in [UB public note page](#)

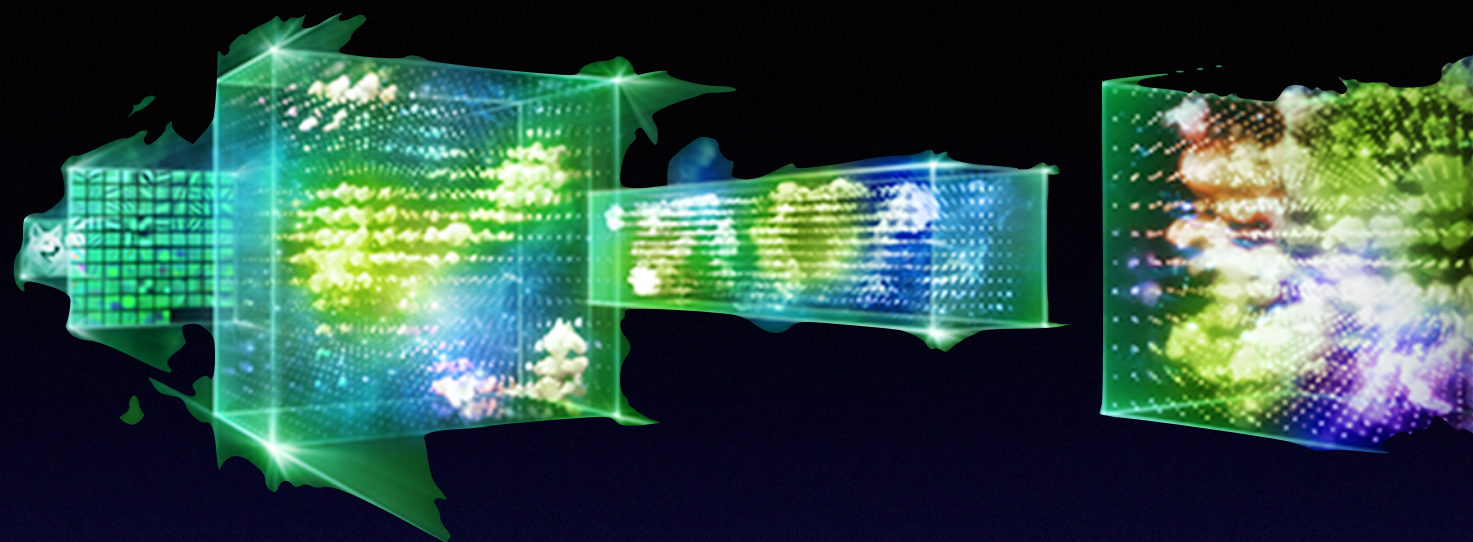
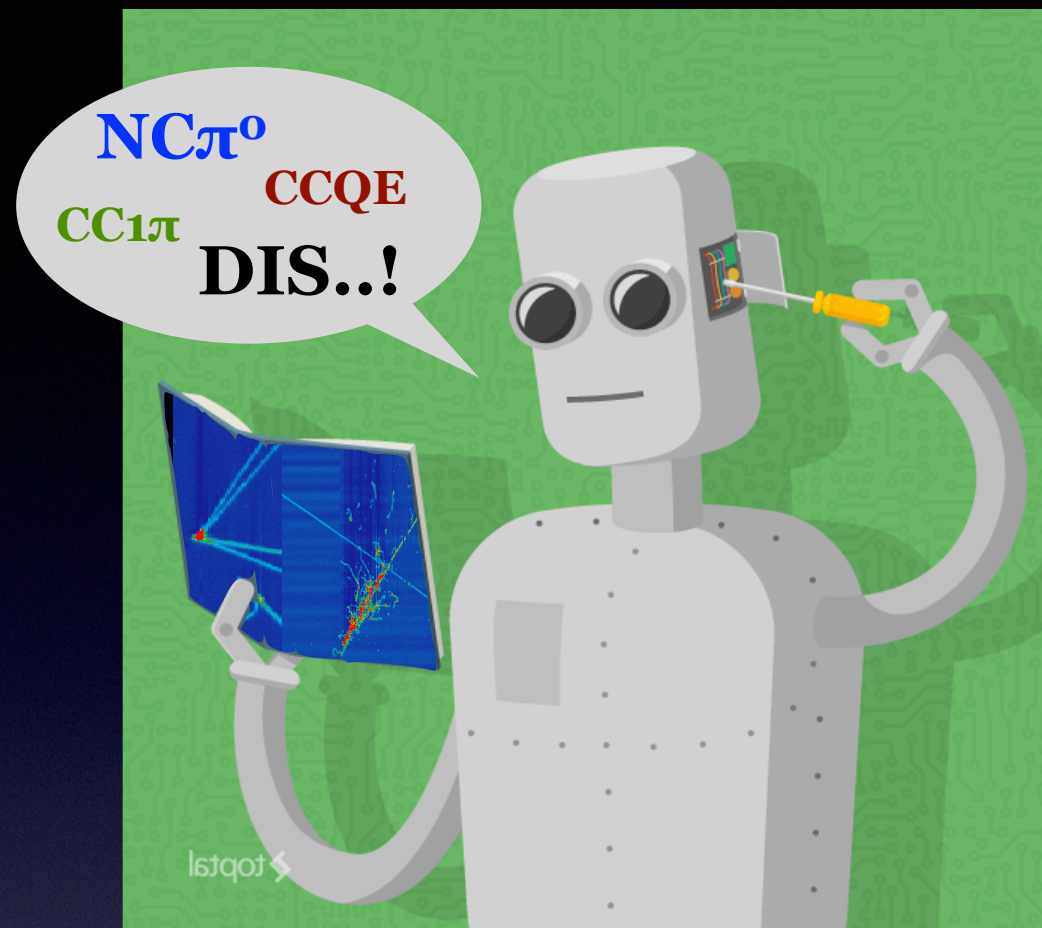


Developed by



Katherine Woodruff  
(NMSU)





# Convolutional Neural Networks for

# LArTPC Analysis

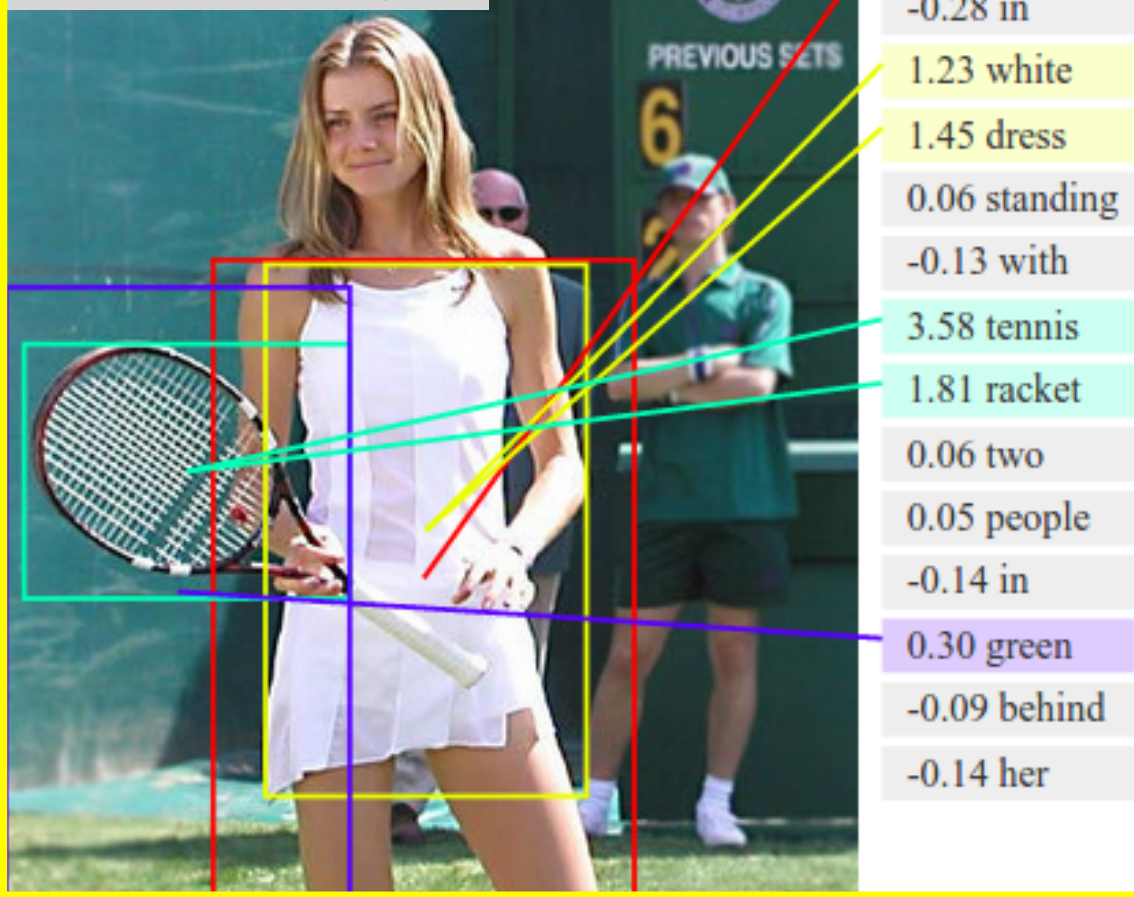
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- MicroBooNE and Deep Neural Networks
- **Deep Learning “lessons learned”**
- Deep Learning “lessons learning”
- Summary

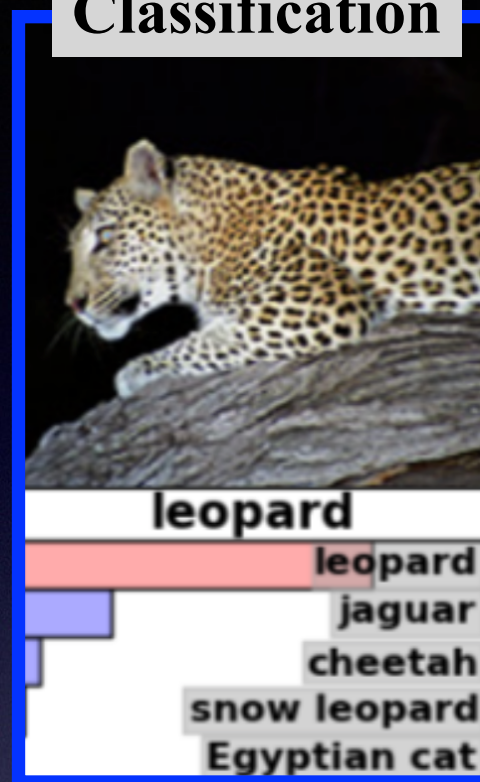


# CNNs for Image Analysis

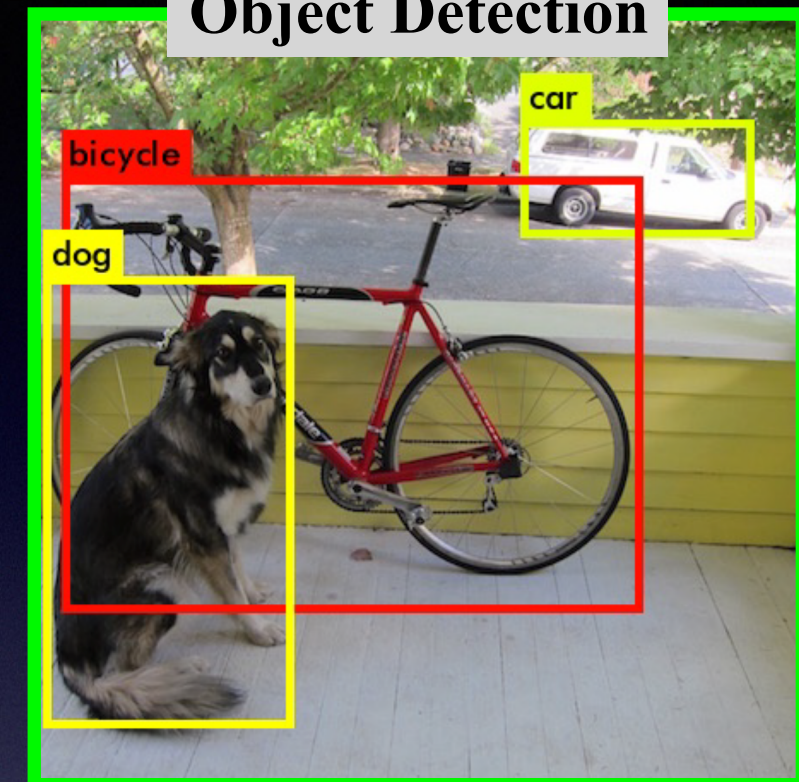
## Context Analysis



## Classification

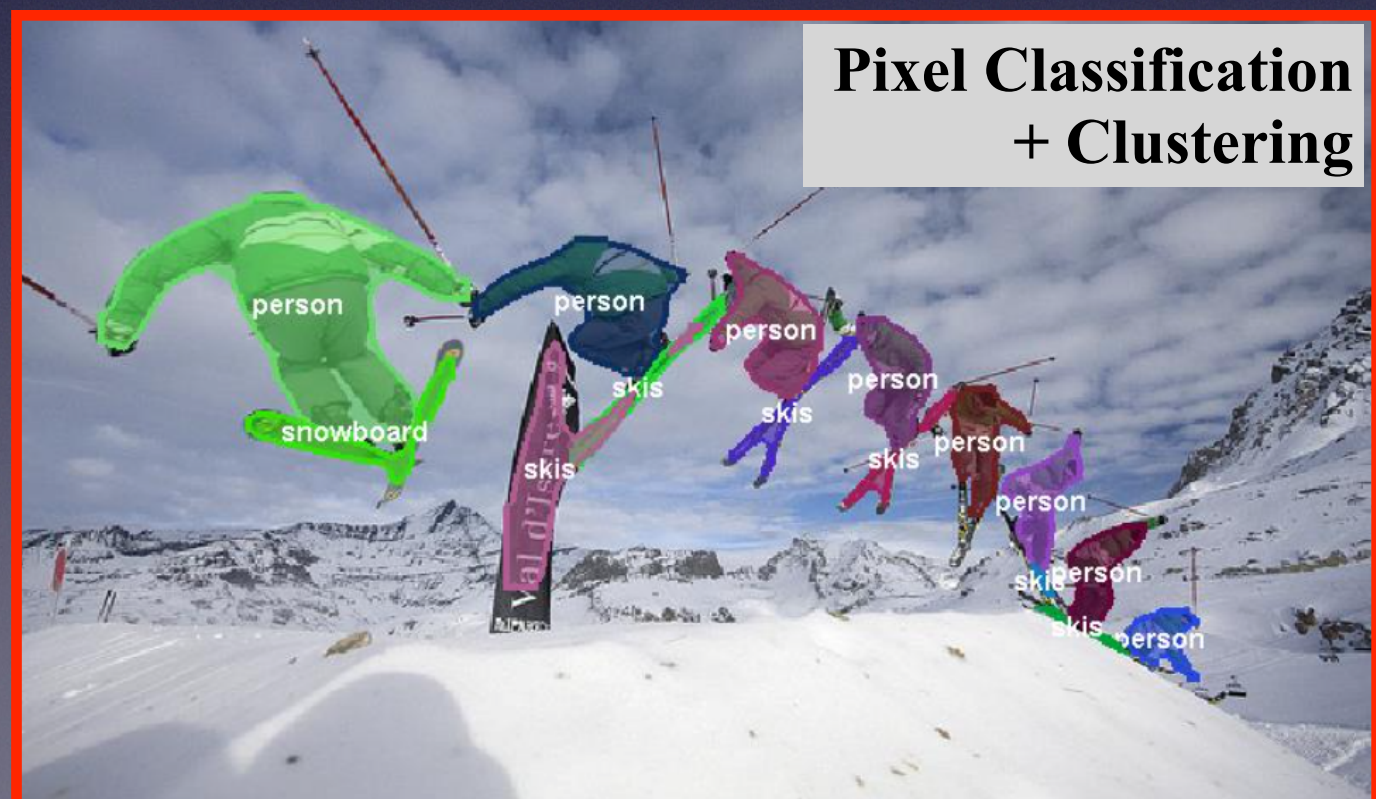


## Object Detection



- Superb image analysis capabilities
- Trainable from raw data (large tensor)

## Pixel Classification + Clustering

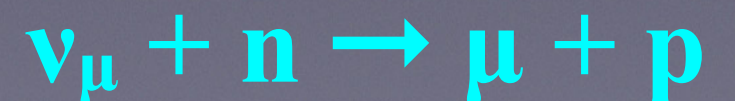
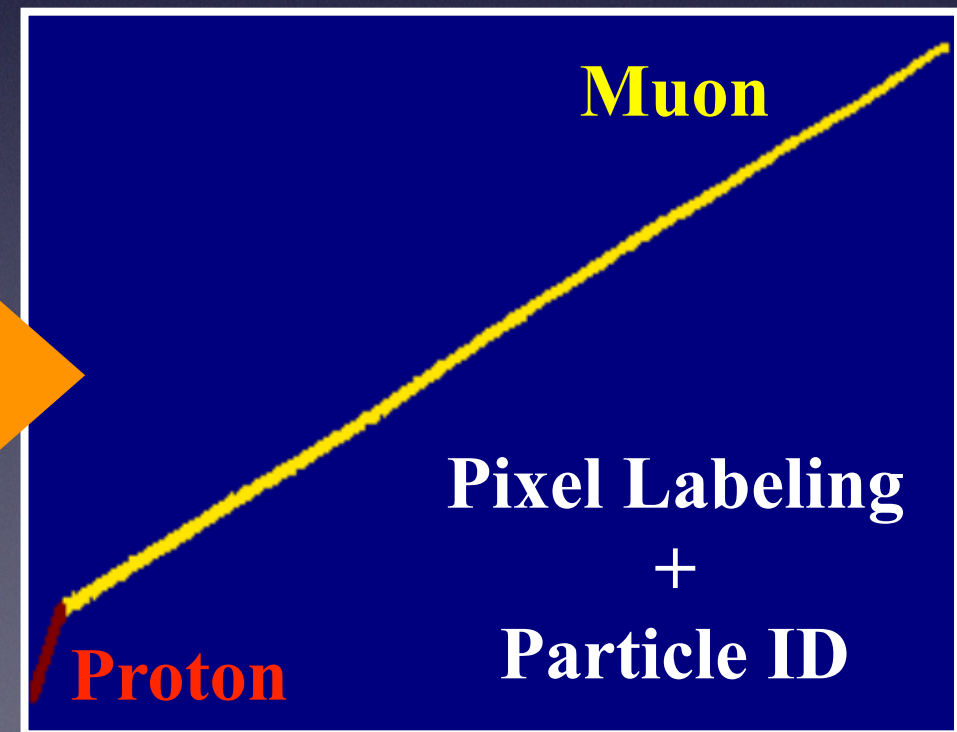
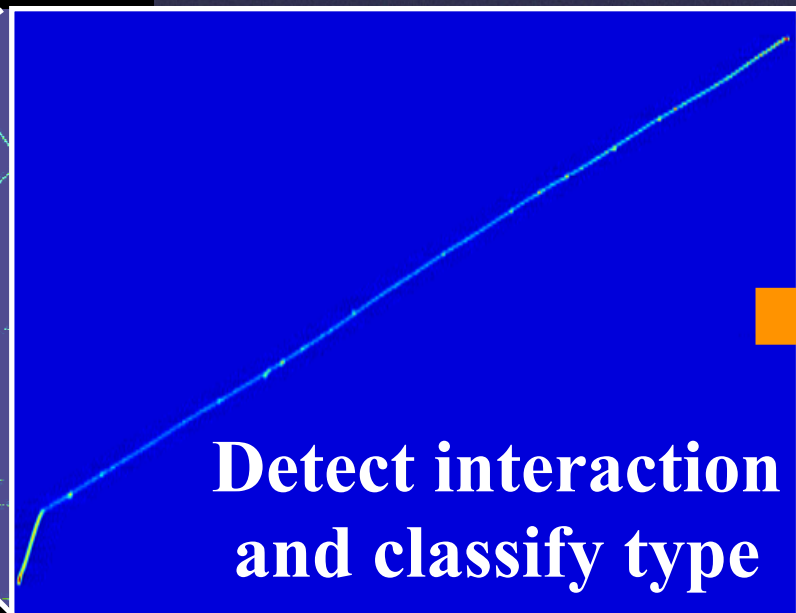
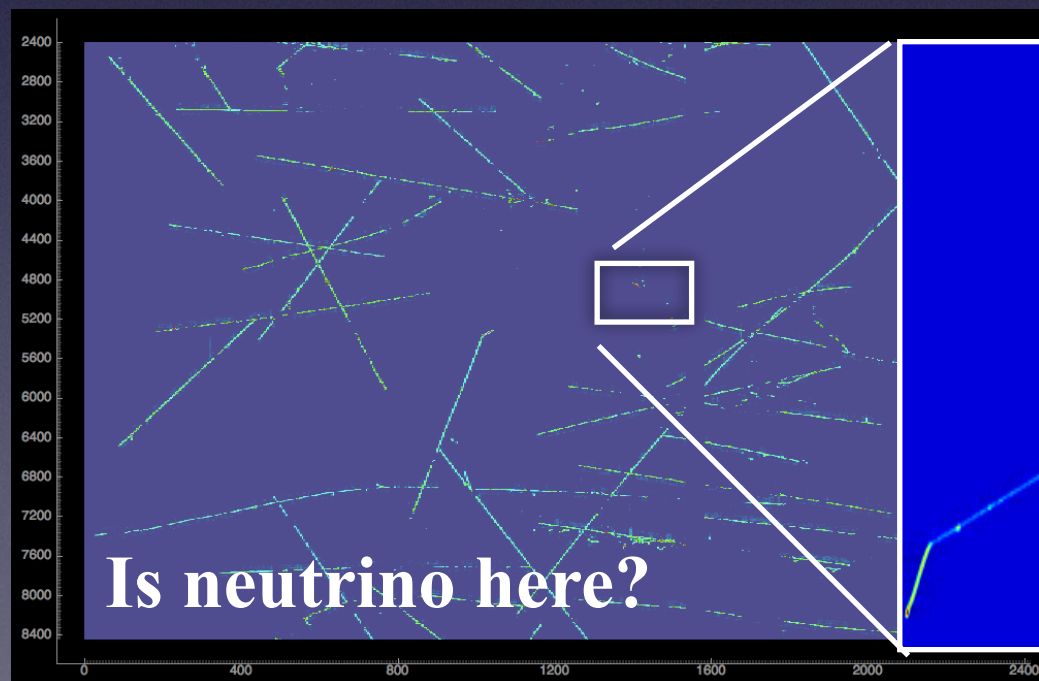




# CNN for Event Reconstruction

## CNN-based reconstruction tools in MicroBooNE

- **Event selection** (image classification)
- **Vertex finding** (object detection)
- **Clustering** (semantic segmentation)
- **Particle identification** (image classification)

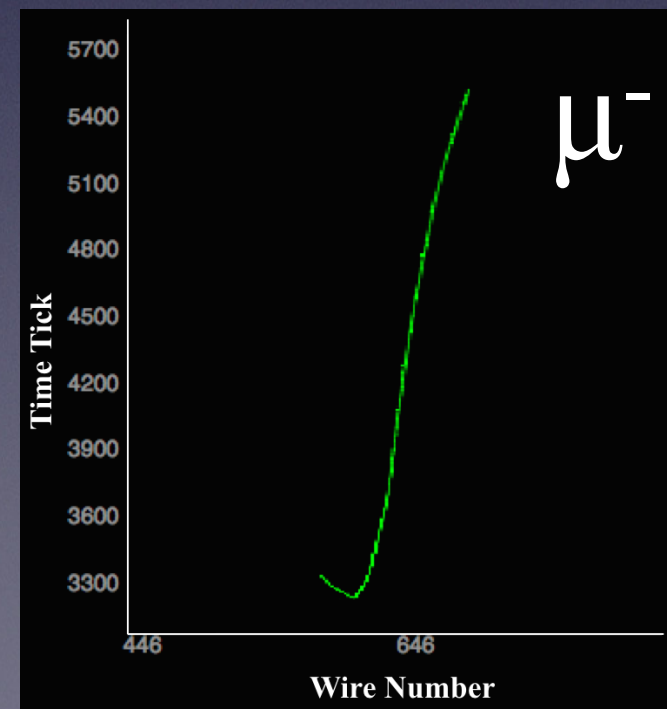
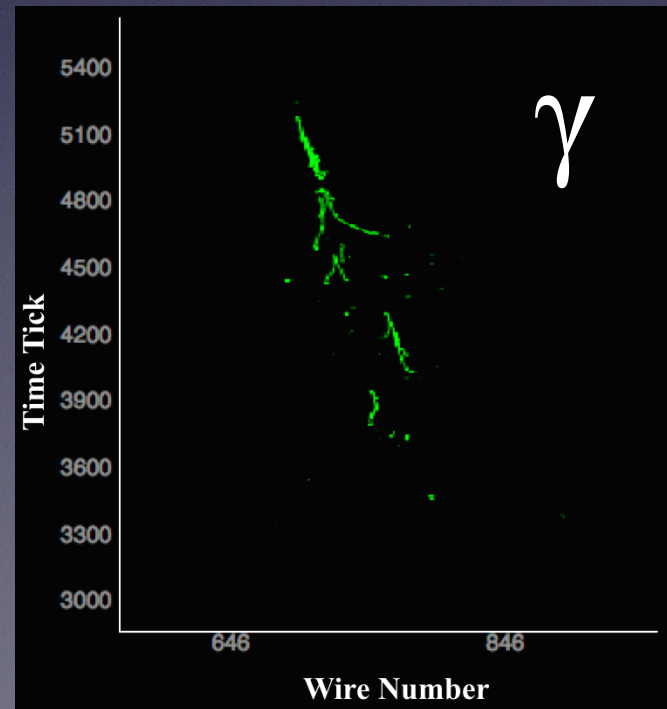
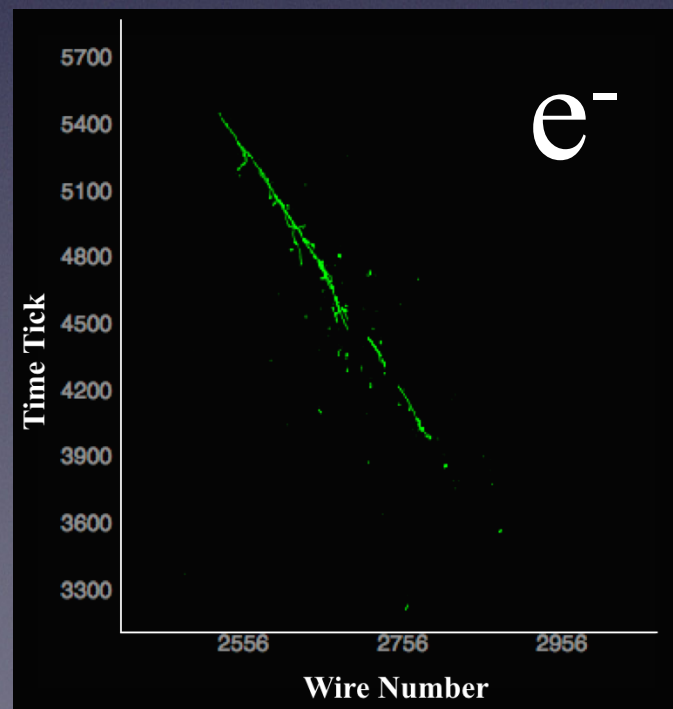
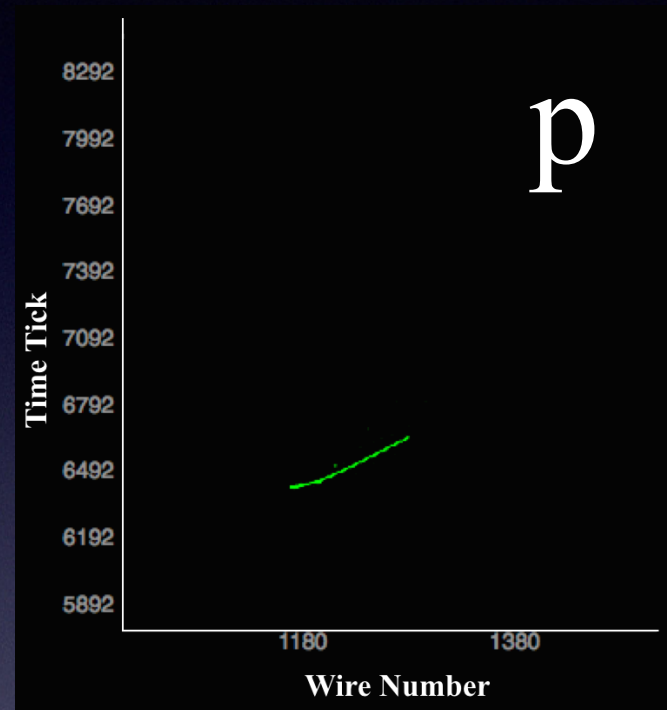
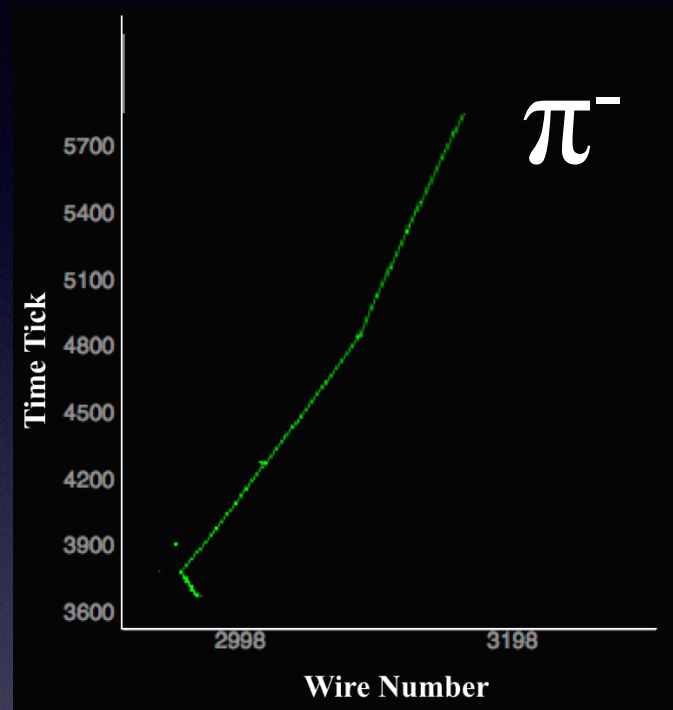




# CNN in UB: Image Classification (I)

## Particle identification

Trained a network to distinguish 5 particle types



- **Simulated particles**
  - using 1 (collection) plane
- **Supervised training**
  - 22,000 images / type
- **Flat momentum dist.**
  - Uniform position
  - Isotropic [100, 1000] MeV/c



# CNN in UB: Image Classification (I)

## Particle identification

Trained a network to distinguish 5 particle types

Particle	Correct Fraction	Typical Mis-ID
$e^-$	0.778	$\gamma$ ... 0.20
$\gamma$	0.834	$e^-$ ... 0.15
$\mu^-$	0.897	$\pi^-$ ... 0.054
$\pi^-$	0.710	$\mu^-$ ... 0.226
proton	0.912	$\mu^-$ ... 0.046

## Further improvement?

- ~5 to 10% improvement by exploring network architectures - network width, effective depth
- more improvement by combining 3 plane information

[JINST 10.1088/1748-9221](https://arxiv.org/abs/10.1088/1748-9221)

## Resource Usage

Architecture study include performance vs. speed!

Current architecture choice ~7 ms/image (@ Titan X GPU)

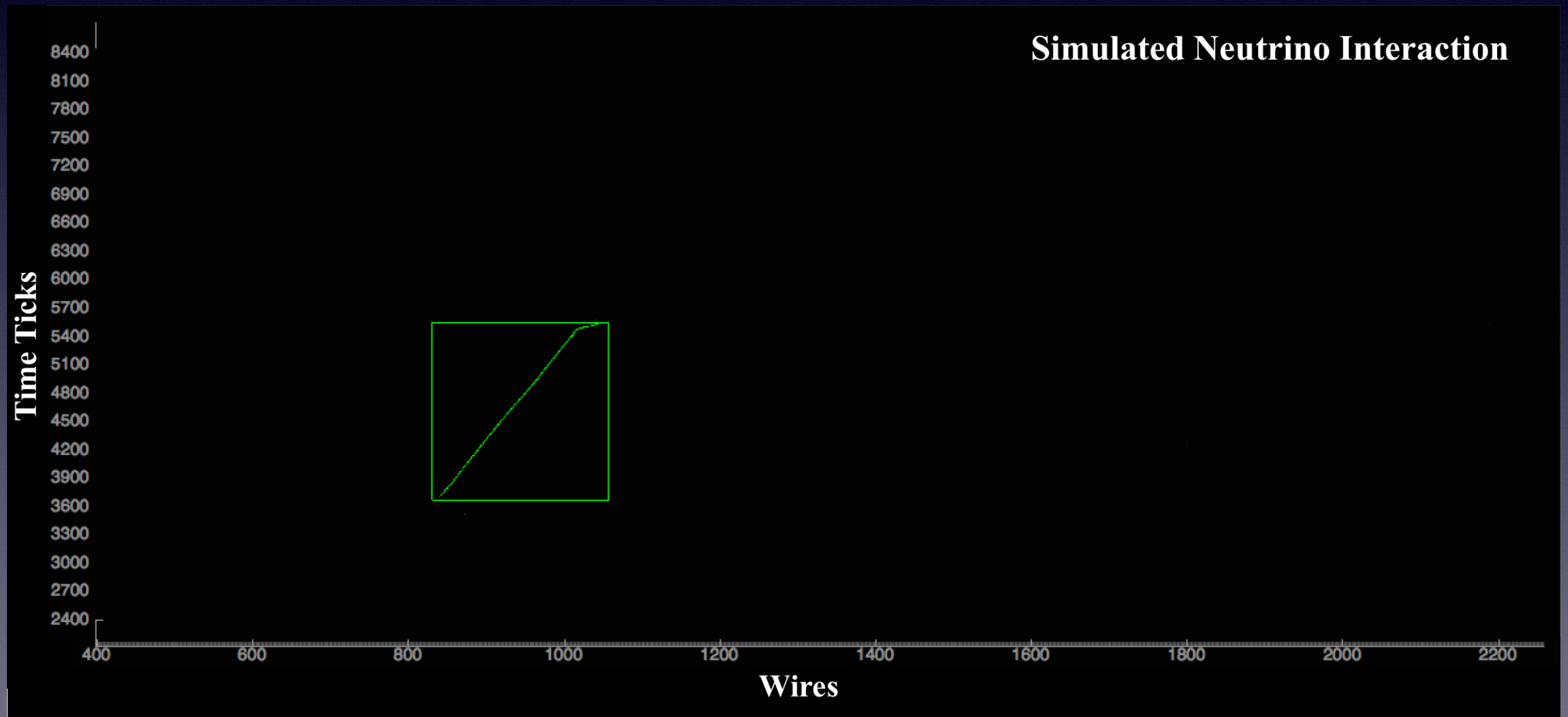


# CNN in UB: Image Classification (II)

## Neutrino event selection

Distinguish neutrino+cosmic vs. cosmic-only events

- Training sample uses simulated neutrino + cosmic data image



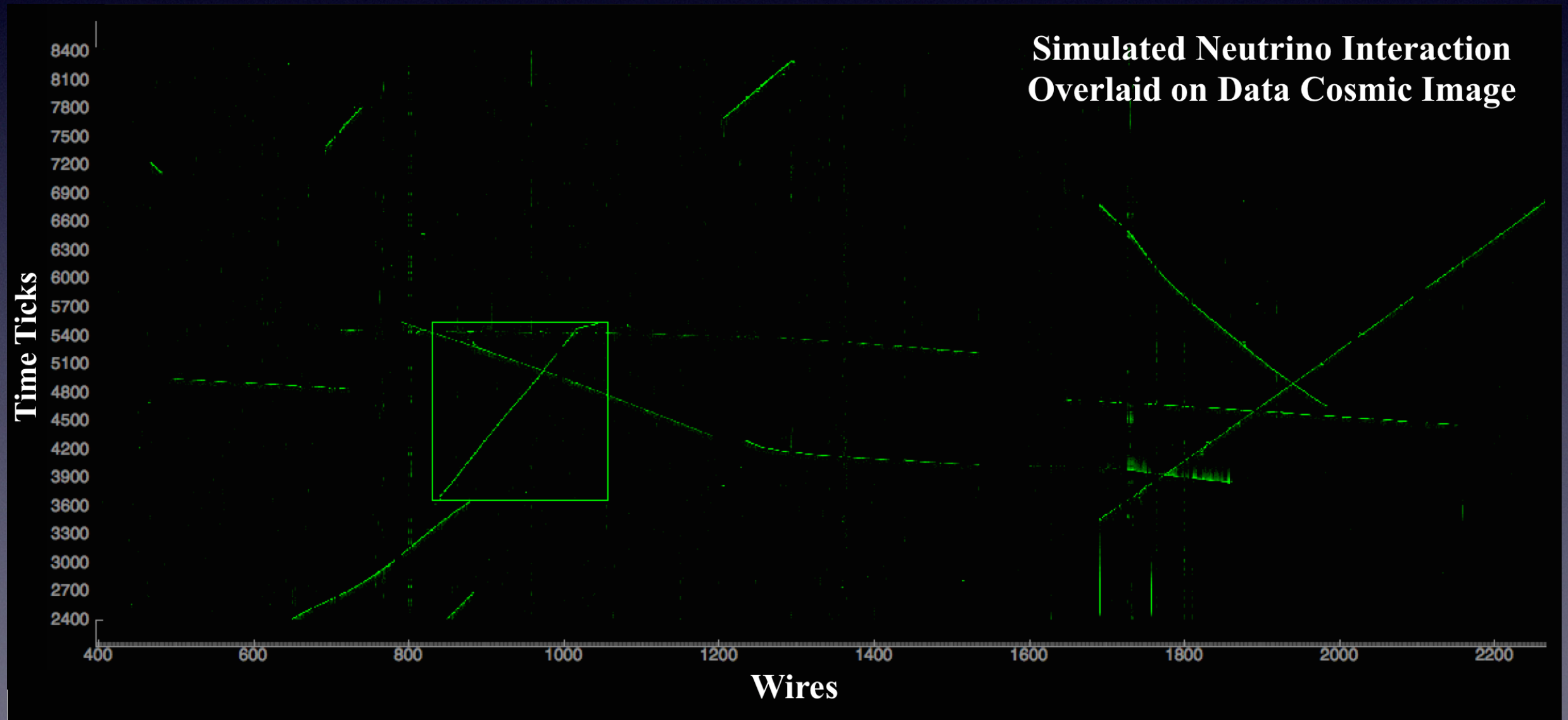


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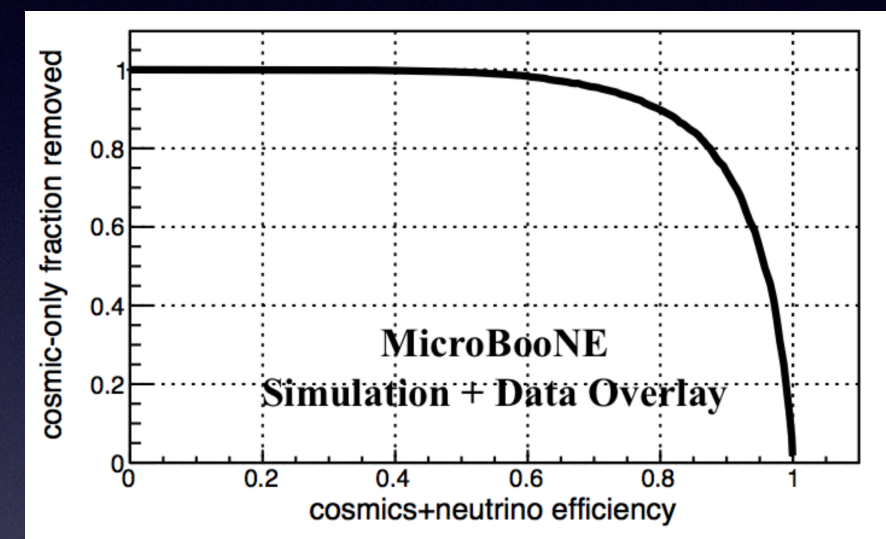
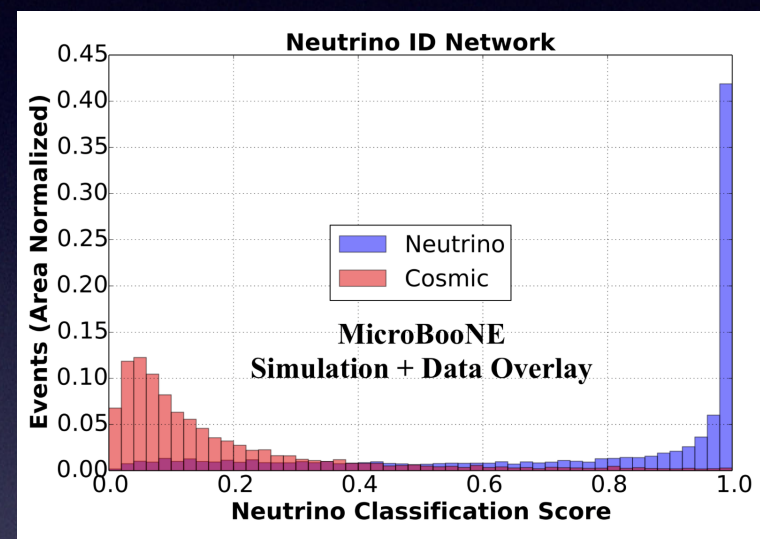
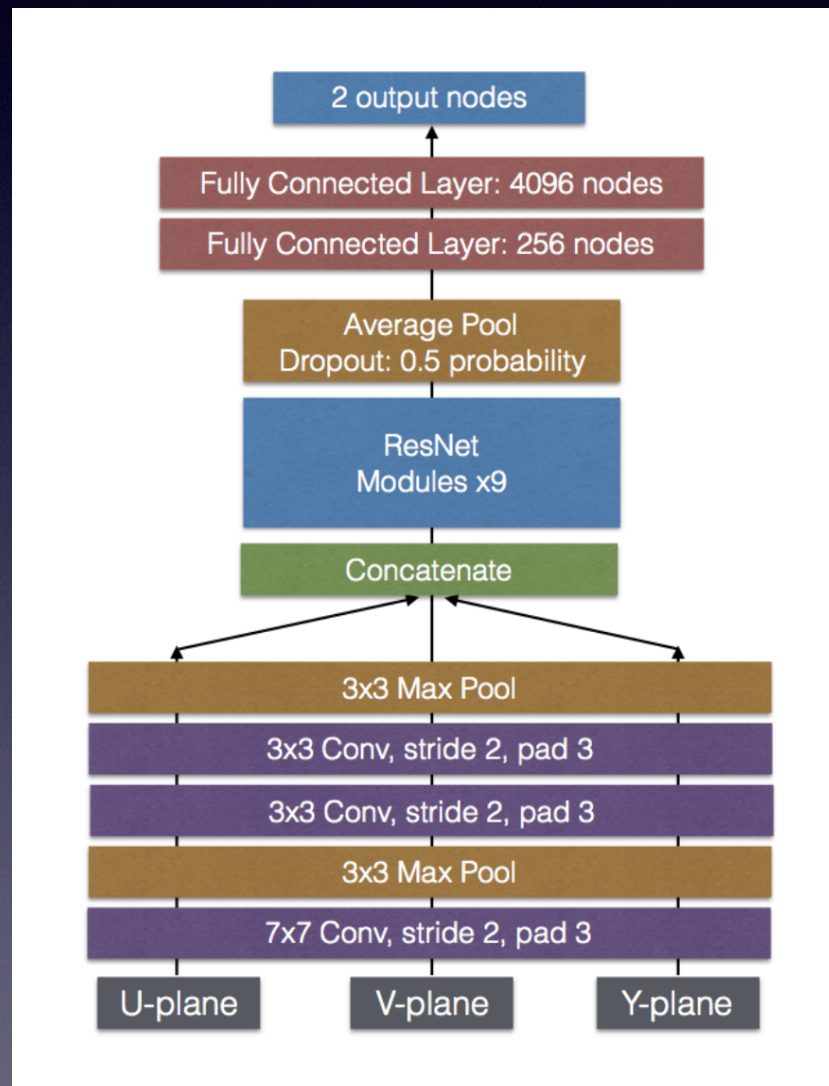


# CNN in UB: Image Classification (II)

## Neutrino event selection

Distinguish neutrino+cosmic vs. cosmic-only events

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## Take aways

- Successfully combined 3 planes
- Poorer performance on real data
  - Tested with CC-inclusive selection sample from traditional reco
  - Importance to test/study with real data

[JINST 10.1088/1748-9221](https://doi.org/10.1088/1748-9221)

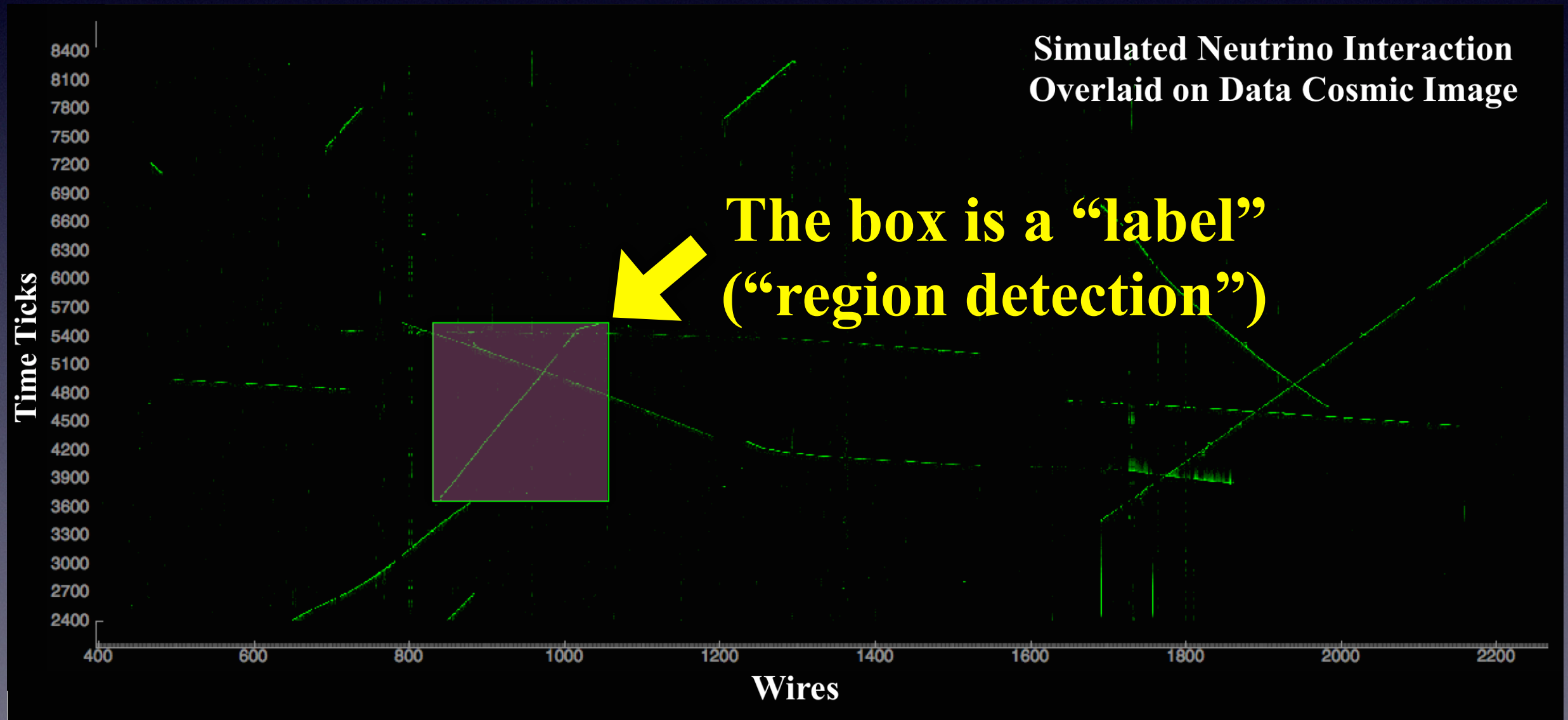


# CNN in UB: Object Detection

## Event vertex detection

Trained a network to find neutrino interaction region

- Training sample uses simulated neutrino + cosmic data image
- Supervised training using  $\approx 100,000$  collection plane images (1-plane)



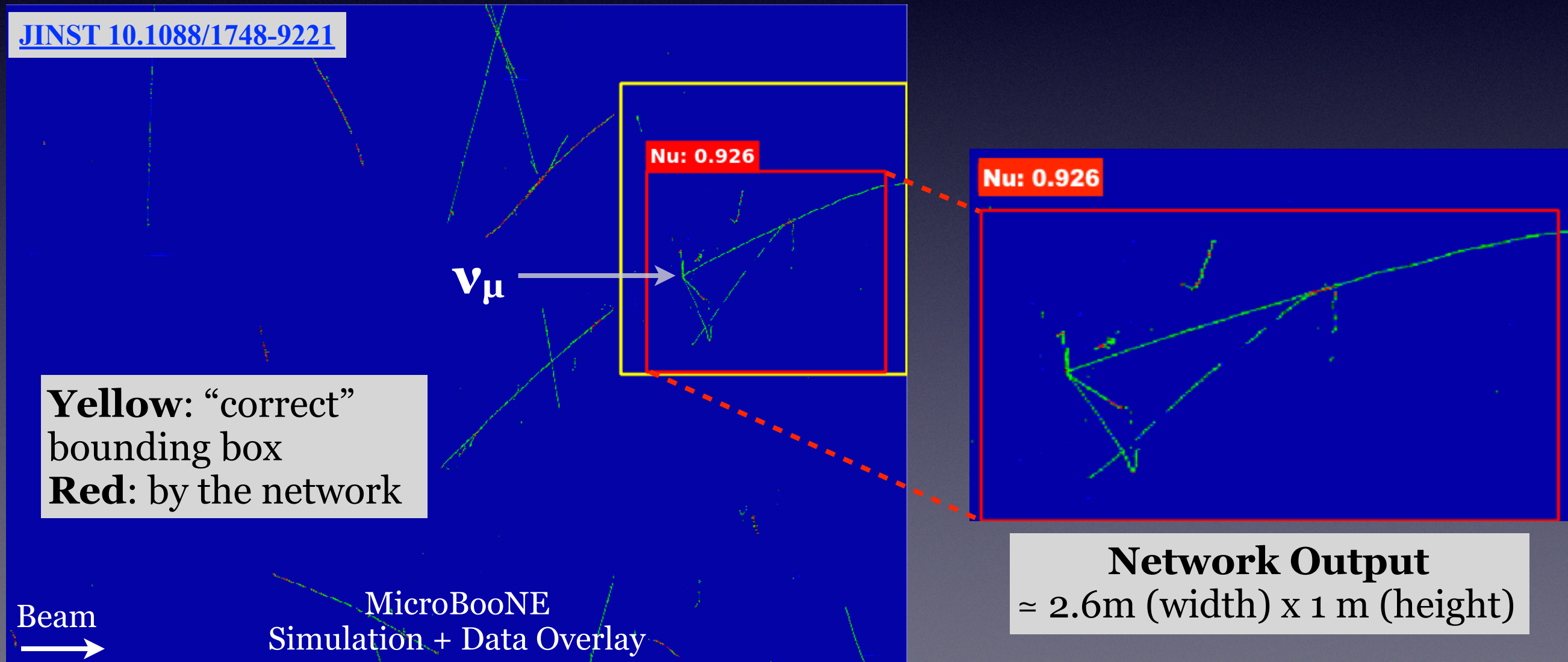


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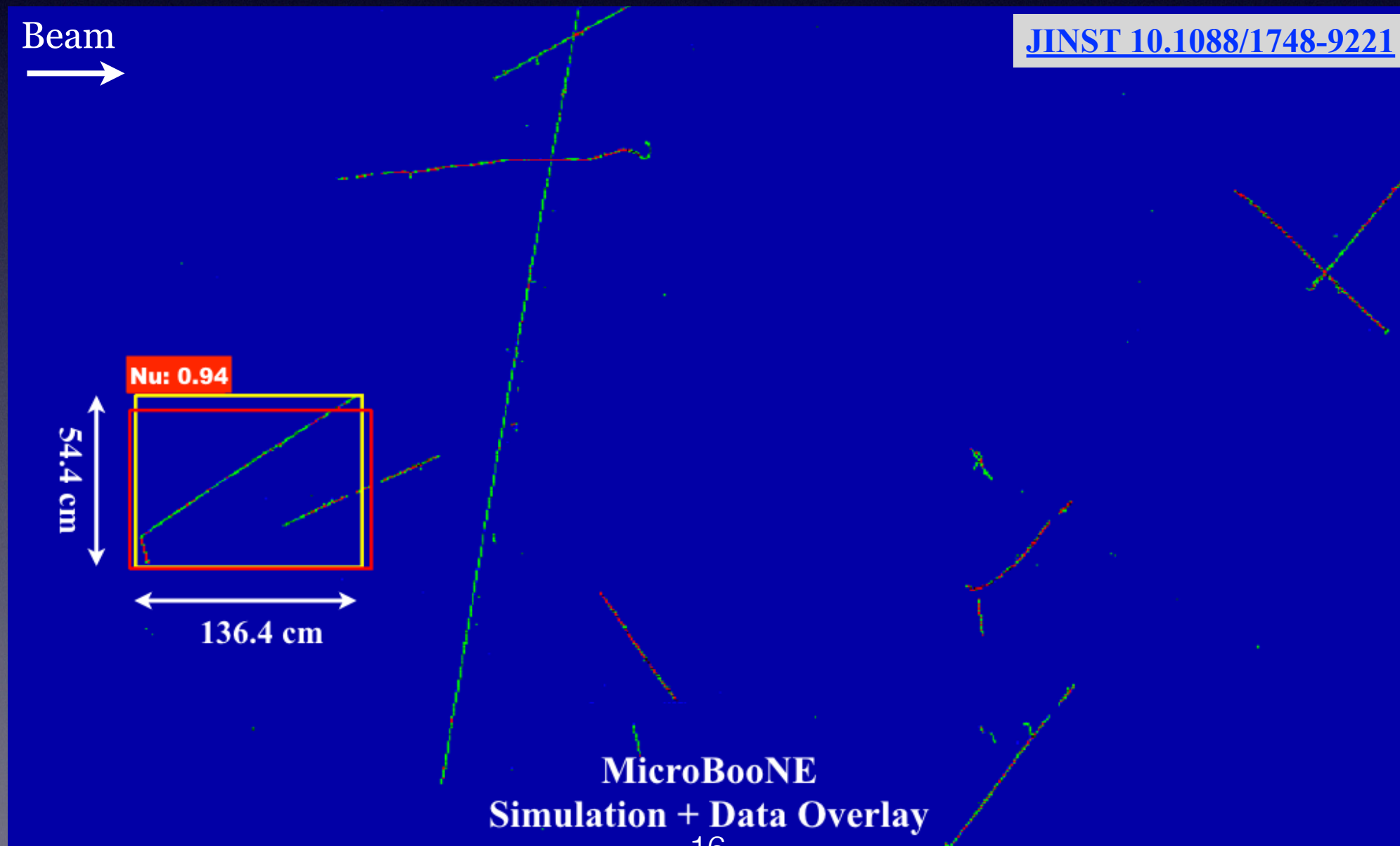


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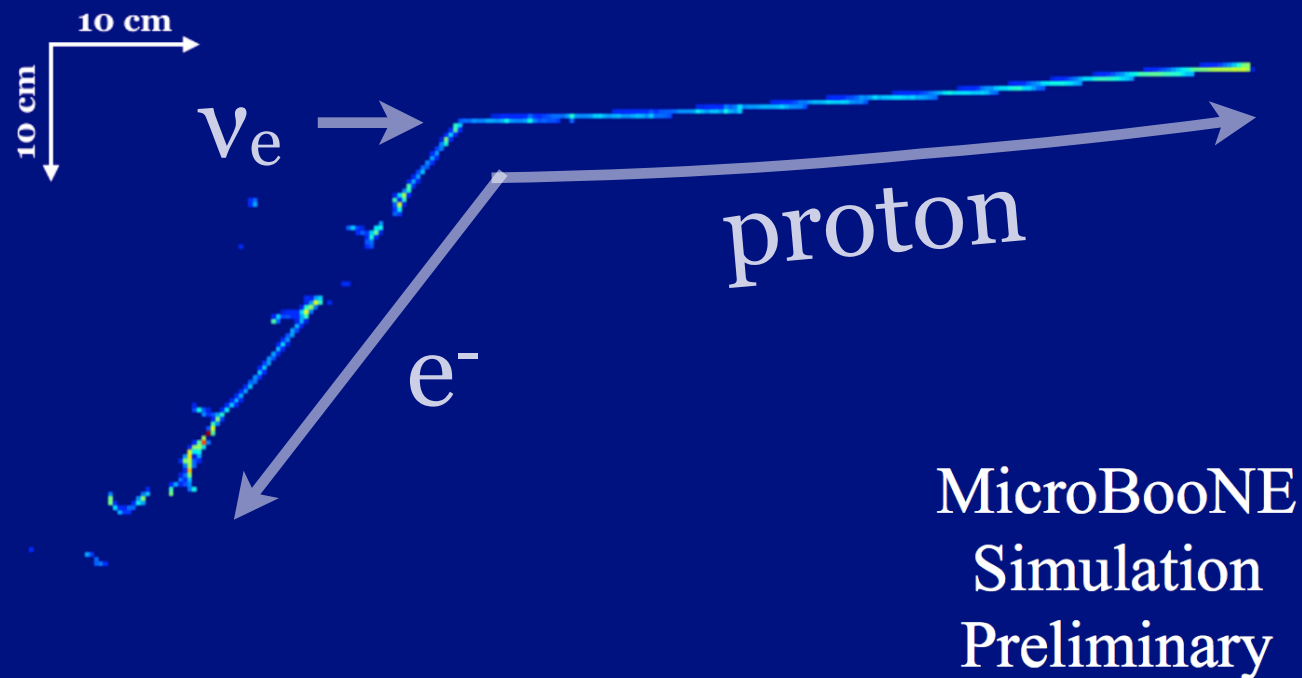


# CNN in UB: Semantic Segmentation

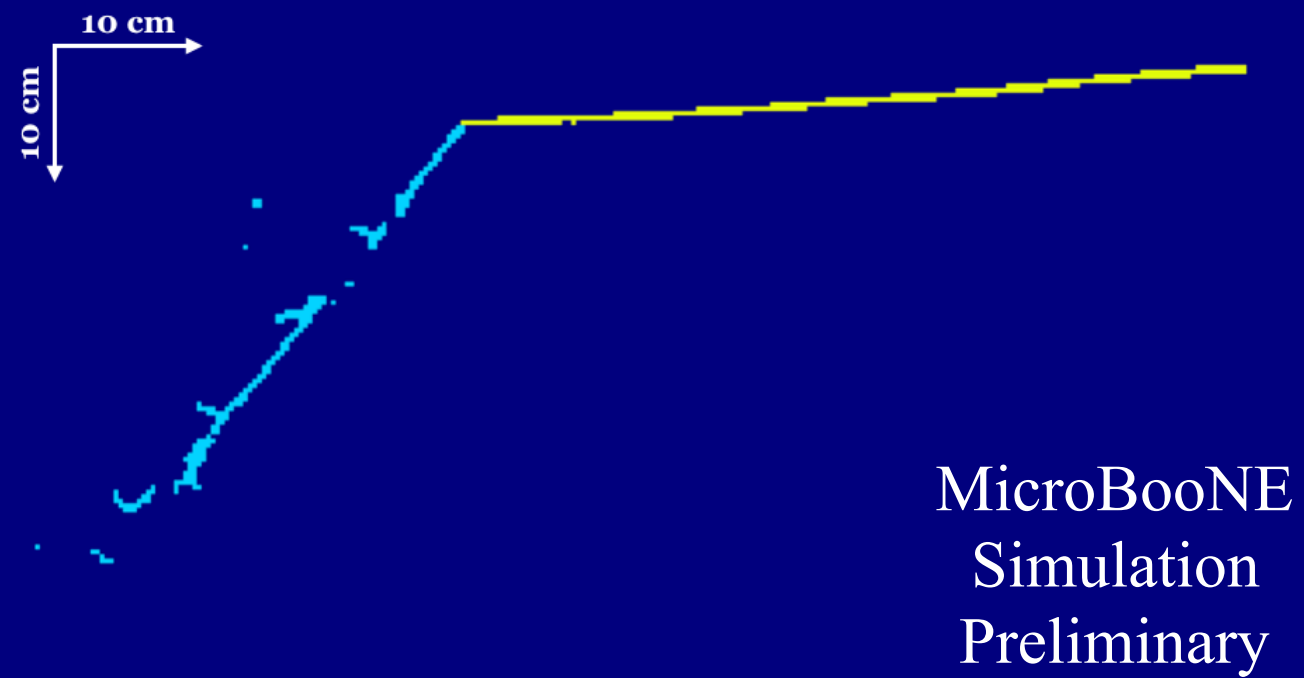
## Particle clustering using a network

CNN designed to **segment pixels by predefined semantics**

- Current semantics: [background, shower, track]
- Supervised training on purely simulated images
  - **Custom training technique** to improve performance
  - On-going work: particle-wise pixel clustering



**ADC Image**



**Label Image**

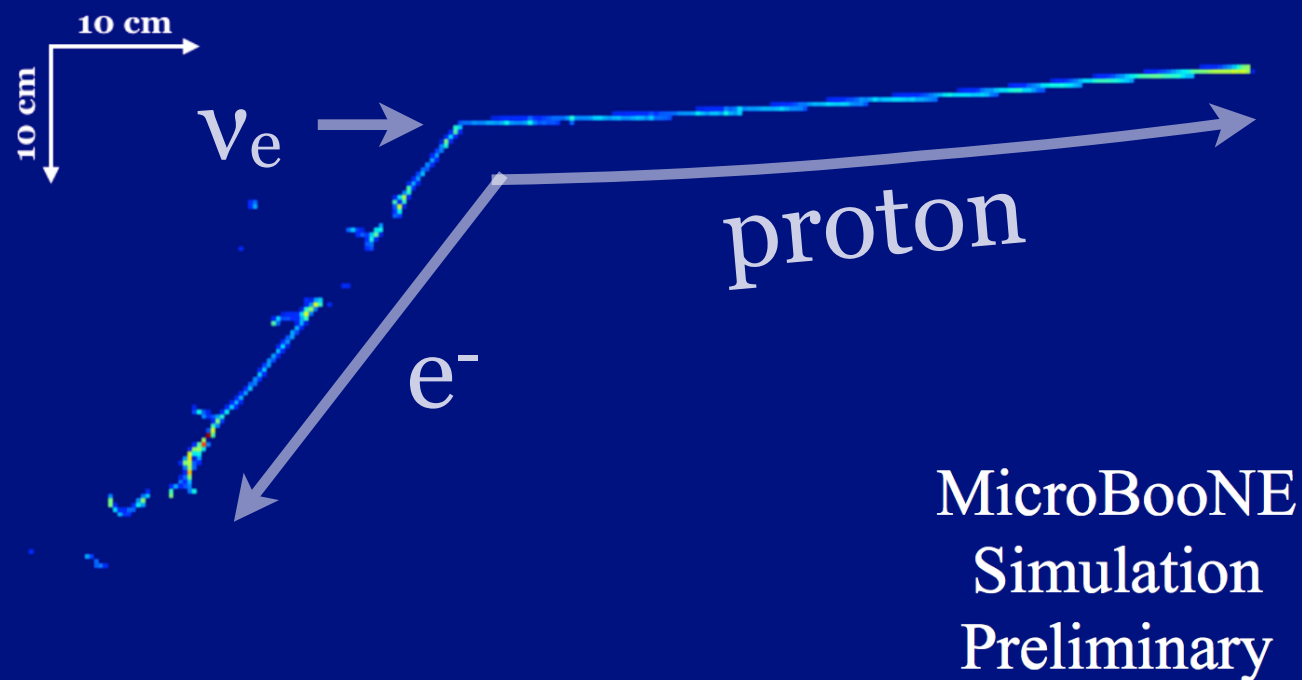


# CNN in UB: Semantic Segmentation

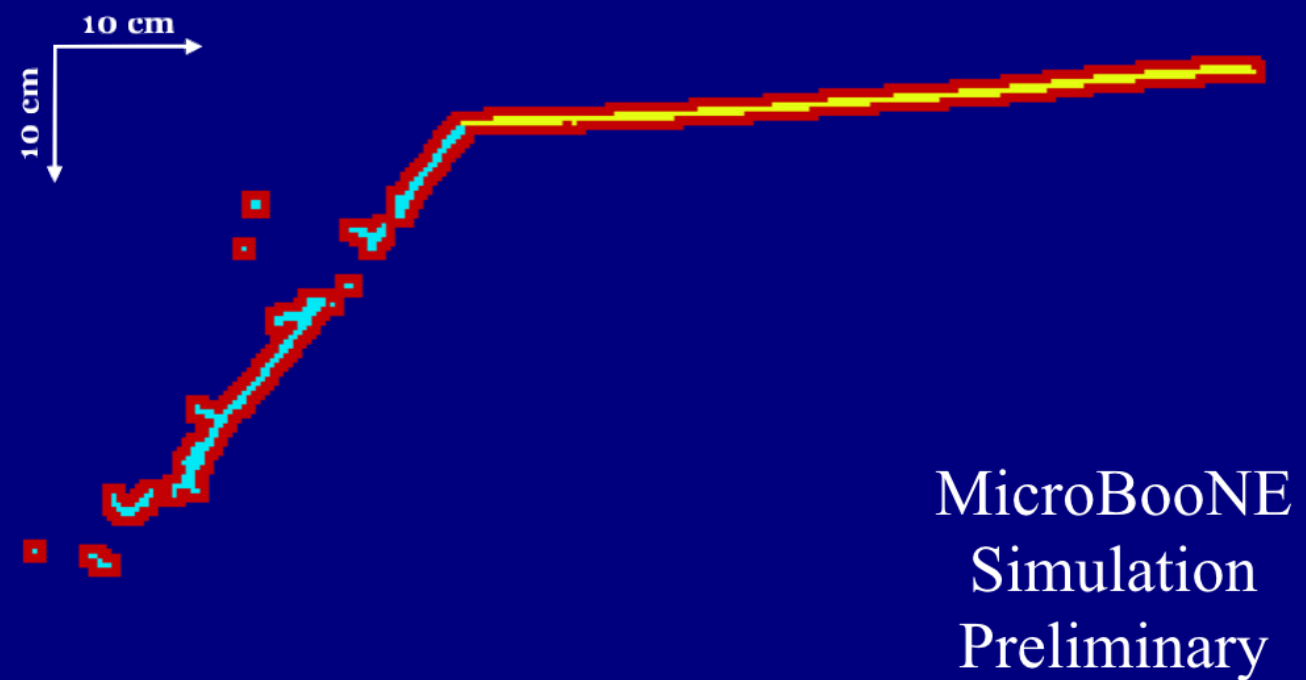
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**ADC Image**



**Error Weight Image**

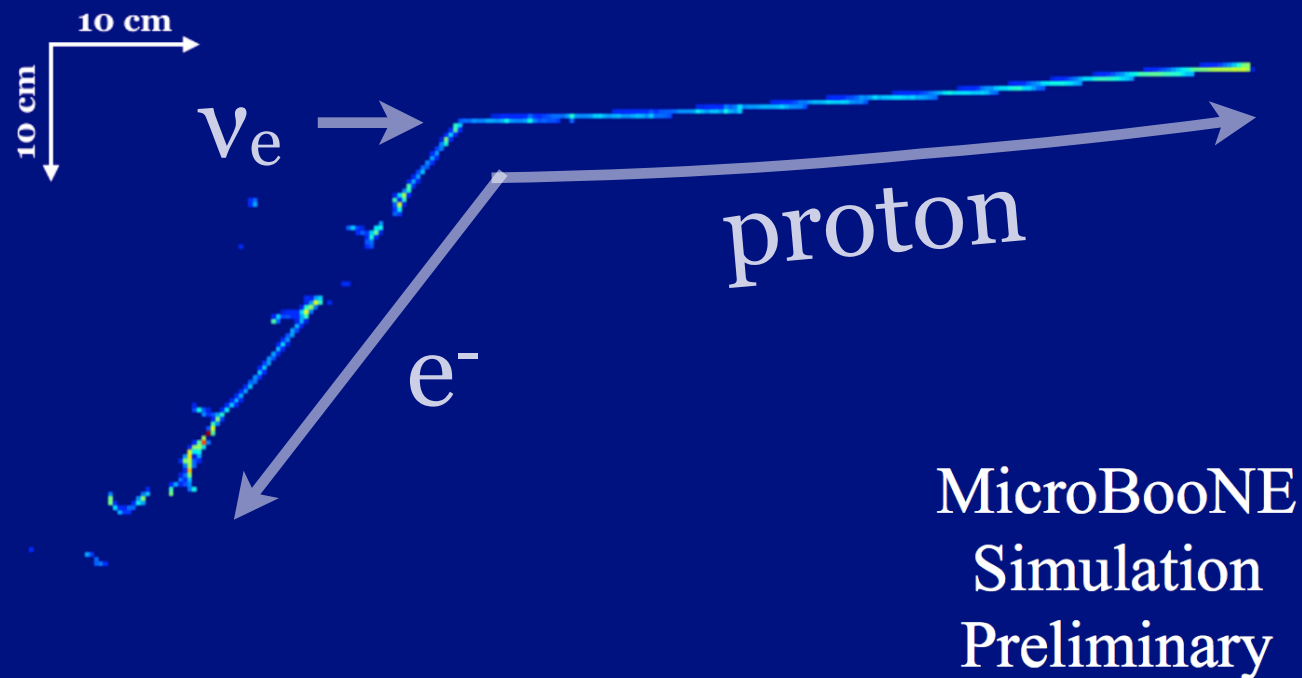


# CNN in UB: Semantic Segmentation

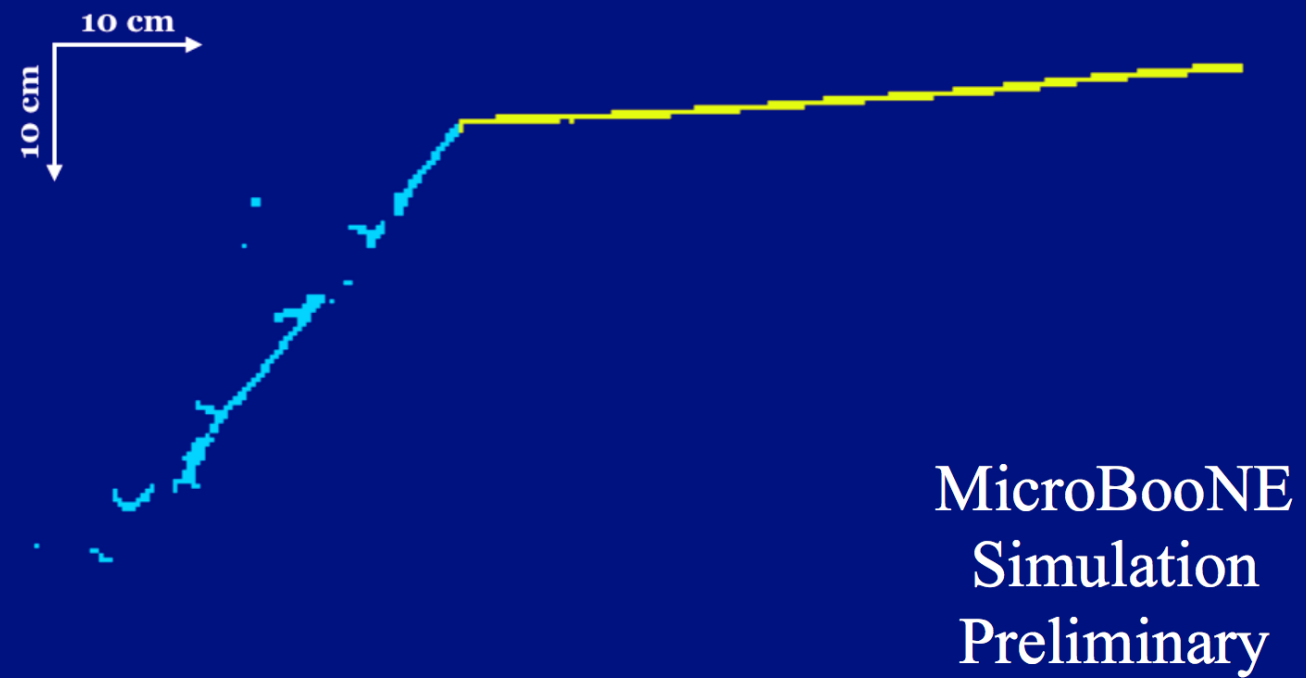
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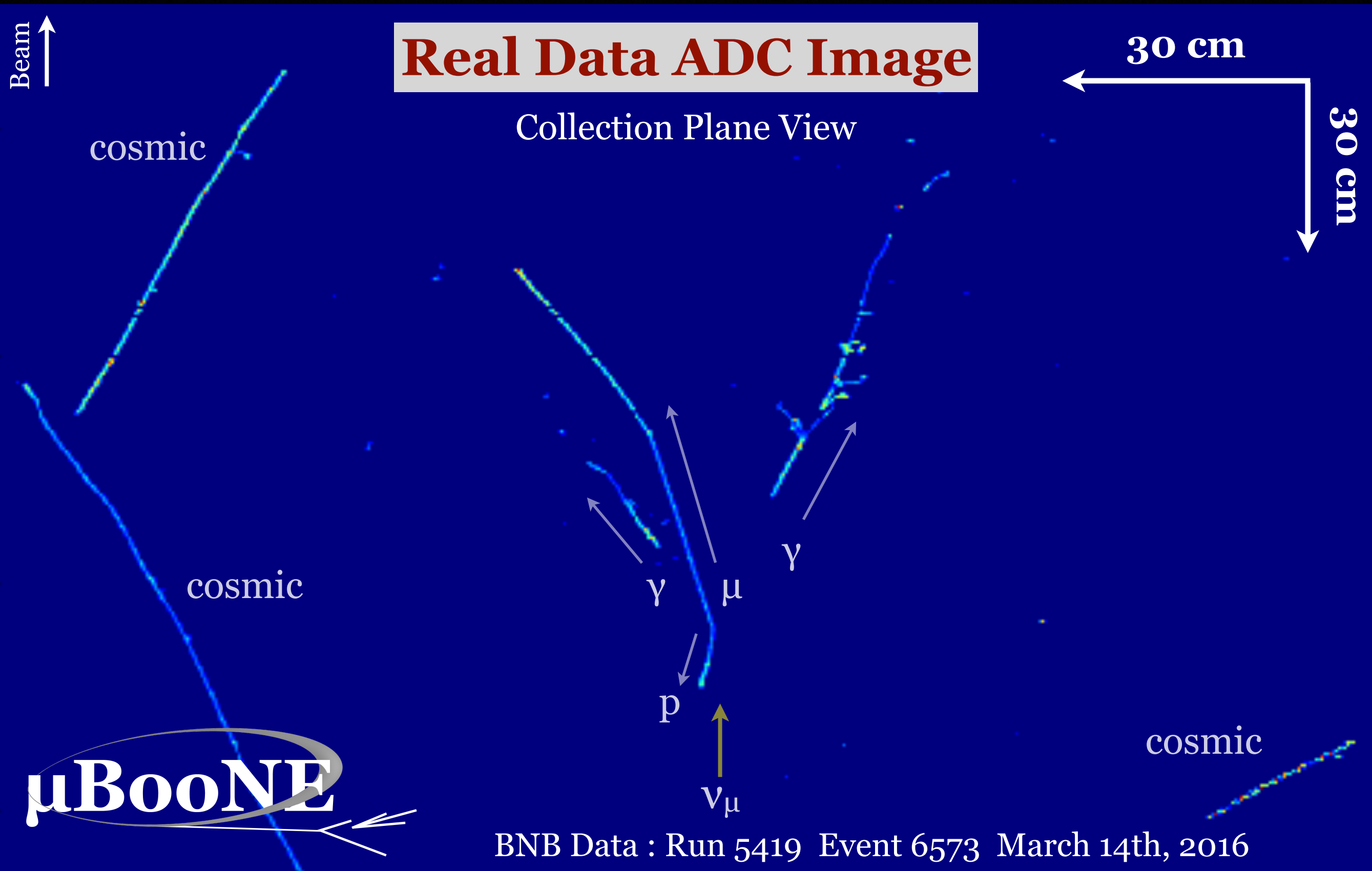
ADC Image



Network Output

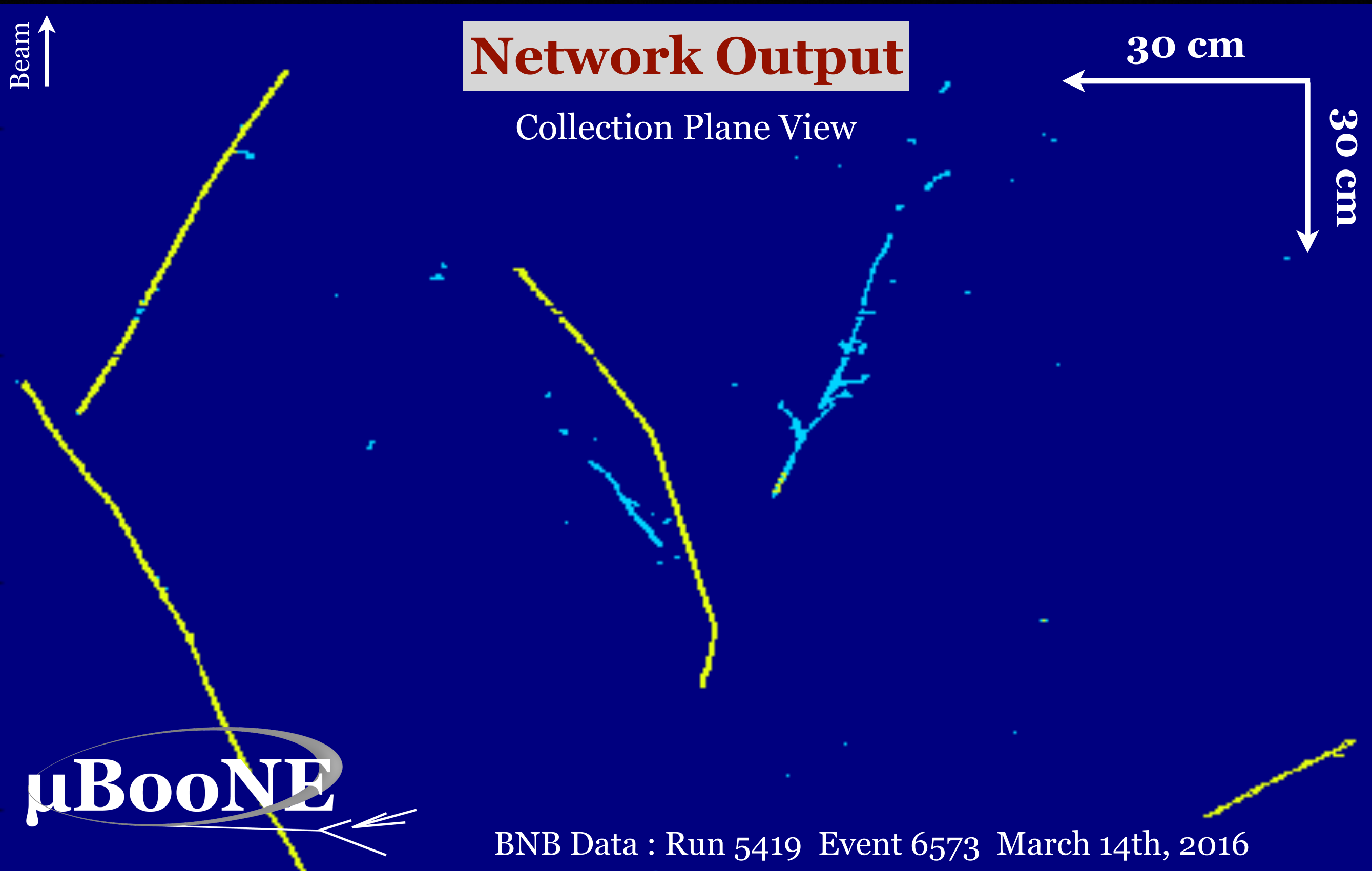


# CNN in UB: Semantic Segmentation





# CNN in UB: Semantic Segmentation





# End-to-End Reconstruction Training

## Optimize multiple tasks together

“Multi-task Network Cascade” can introduce task dependencies

- Allows to optimize the whole chain together



... sorry for my parenthood ...



# CNN in MicroBooNE

Some studies published!

- **Event selection** (image classification)
- **Vertex finding** (object detection)
- **Clustering** (semantic segmentation)
- **Particle identification** (image classification)

Feel free  
to contact us  
for details!

Cornell University  
Library

arXiv.org > physics > arXiv:1611.05531

Physics > Instrumentation and Detectors

**Convolutional Neural Networks Applied to Neutrino Events in a Liquid Argon Time Projection Chamber**

MicroBooNE collaboration: R. Acciarri, C. Adams, R. An, J. Asaadi, M. Auger, L. Bagby, B. Baller, G. Barr, M. Bass, F. Bay, M. Bishai, A. Blake, T. Bolton, L. Bugel, L. Camilleri, D. Caratelli, B. Carls, R. Castillo Fernandez, F. Cavanna, H. Chen, E. Church, D. Cianci, G. H. Collin, J. M. Conrad, M. Convery, J. I. Crespo-Anadón, M. Del Tutto, D. Devitt, S. Dytman, B. Eberly, A. Ereditato, L. Escudero Sanchez, J. Esquivel, B. T. Fleming, W. Foreman, A. P. Furmanski, G. T. Garvey, V. Genty, D. Goeldi, S. Gollapinni, N. Graf, E. Gramellini, H. Greenlee, R. Grosso, R. Guenette, A. Hackenburg, P. Hamilton, O. Hen, J. Hewes, C. Hill, J. Ho, G. Horton-Smith, C. James, J. Jan de Vries, C.-M. Jen, L. Jiang, R. A. Johnson, B. J. P. Jones, J. Joshi, H. Jostlein, D. Kaleko, G. Karagiorgi, W. Ketchum, et al. (75 additional authors not shown)

(Submitted on 17 Nov 2016)

We present several studies of convolutional neural networks applied to data coming from the MicroBooNE detector, a liquid argon time projection chamber (LArTPC). The algorithms studied include the classification of single particle images, the localization of single particle and neutrino interactions in an image, and the detection of a simulated neutrino event overlaid with cosmic ray backgrounds taken from real detector data. These studies demonstrate the potential of convolutional neural networks for particle identification or event detection on simulated neutrino interactions. We also address technical issues that arise when applying this technique to data from a large LArTPC at or near ground level.

MicroBooNE's 1st paper  
[JINST 10.1088/1748-9221  
arXiv 1611.05531](https://arxiv.org/abs/1611.05531)

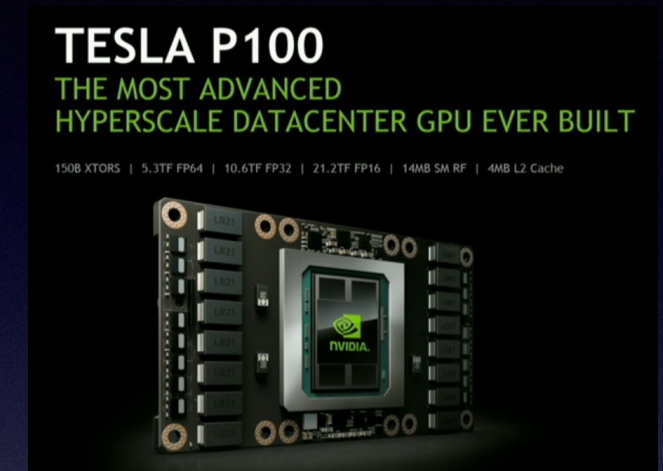


# CNN in MicroBooNE

## Hardware resource!

PIs responded our voice to **expand GPU resource for R&D**

- MIT, Columbia, Yale, UM Ann Arbor, PNNL
- Want more! (and more!) (and more!)



## DL Interface Software

Generic **image processing software** (no need to be LArTPC)

- Written in C++, extensive Python support
- Interface to C++/Python DL softwares (caffe, TensorFlow, etc.)
  - Fast, threaded IO to maximally utilize GPUs
  - Can bridge LArSoft (or any `std::vector<float>`) and DL software w/o file format conversion for running inference.



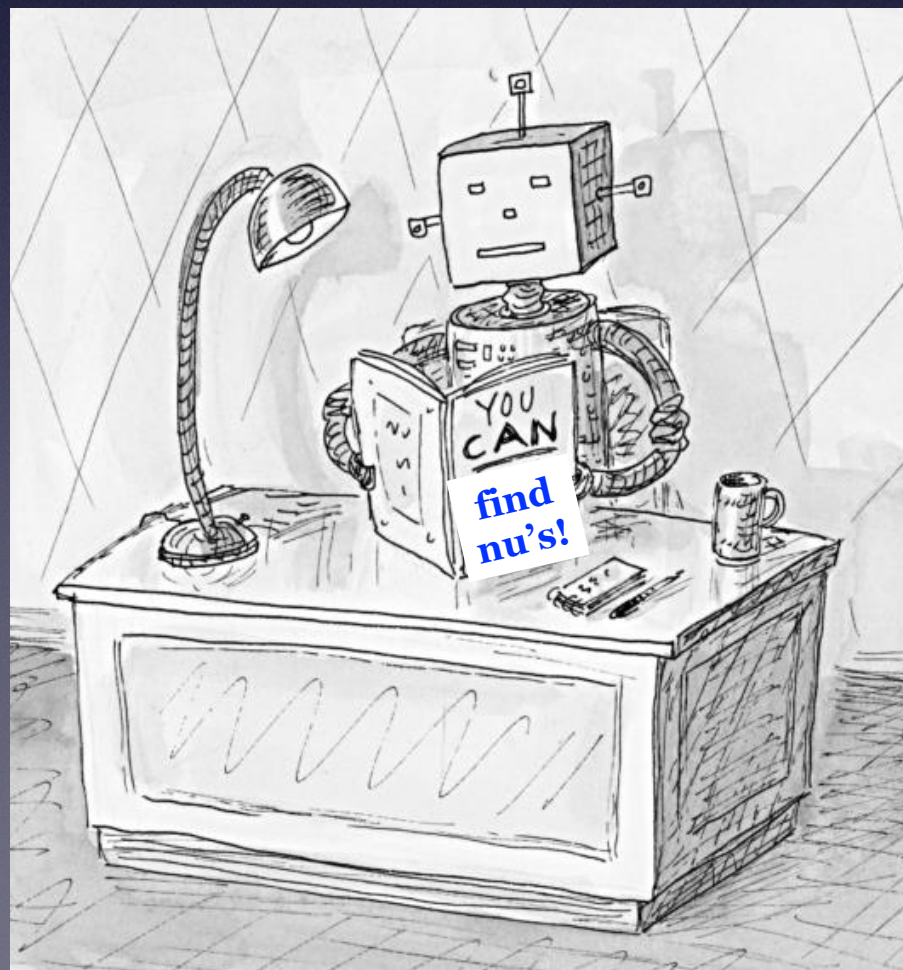


# Lessons Learned

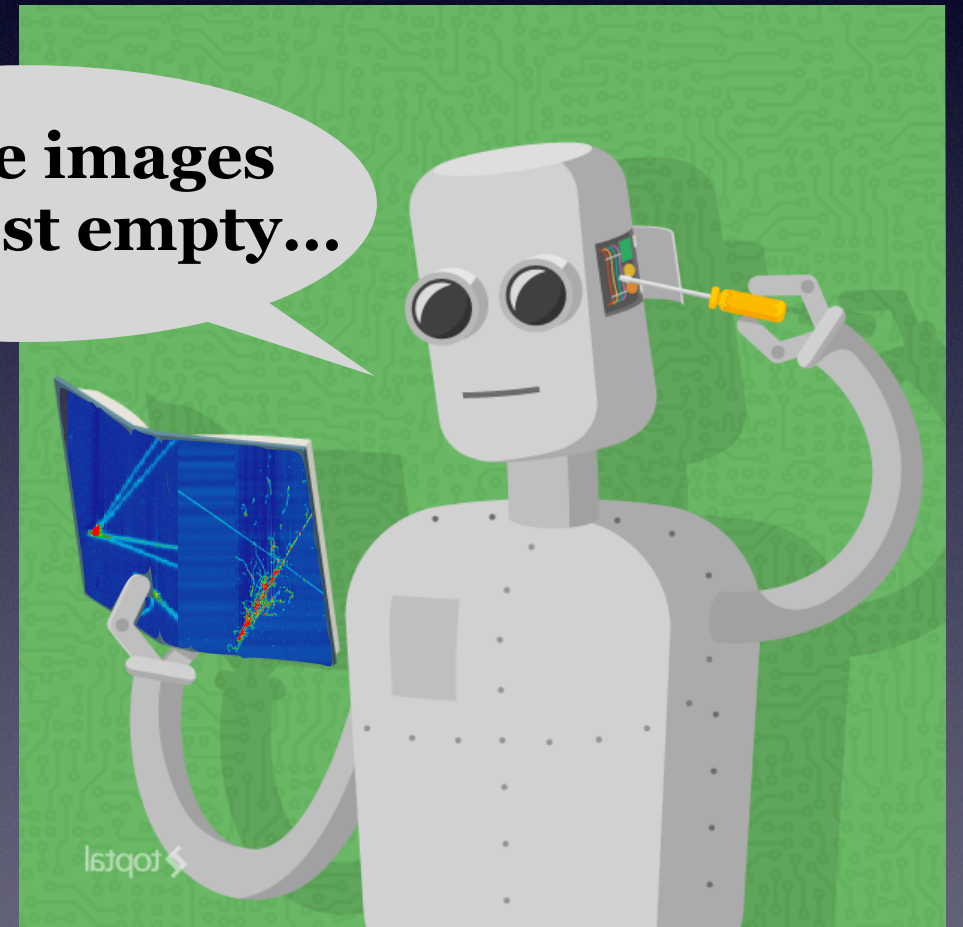
- CNNs can perform **reconstruction tasks**
  - Classification, object detection, and pixel clustering
- **CNNs are promising** techniques for LArTPC
  - Low information density: custom techniques can be helpful
- Important to **analyze response on real data**
  - Topological feature learning seems more immune
  - Building labeled image database from our data
  - Explore weak-supervision training & adversarial network
- **Initial challenges** = software & hardware (GPU)
  - Happy to advise on your GPU needs (\$4k~)
  - Happy to share our software (public github)
  - Planning software workshops (please request!)



# Lessons We're Learning DeepLearning Projects for LArTPC Analysis



... these images  
are almost empty...





# $\nu$ Reconstruction



Taritree W.  
MIT



Adrien H.  
MIT



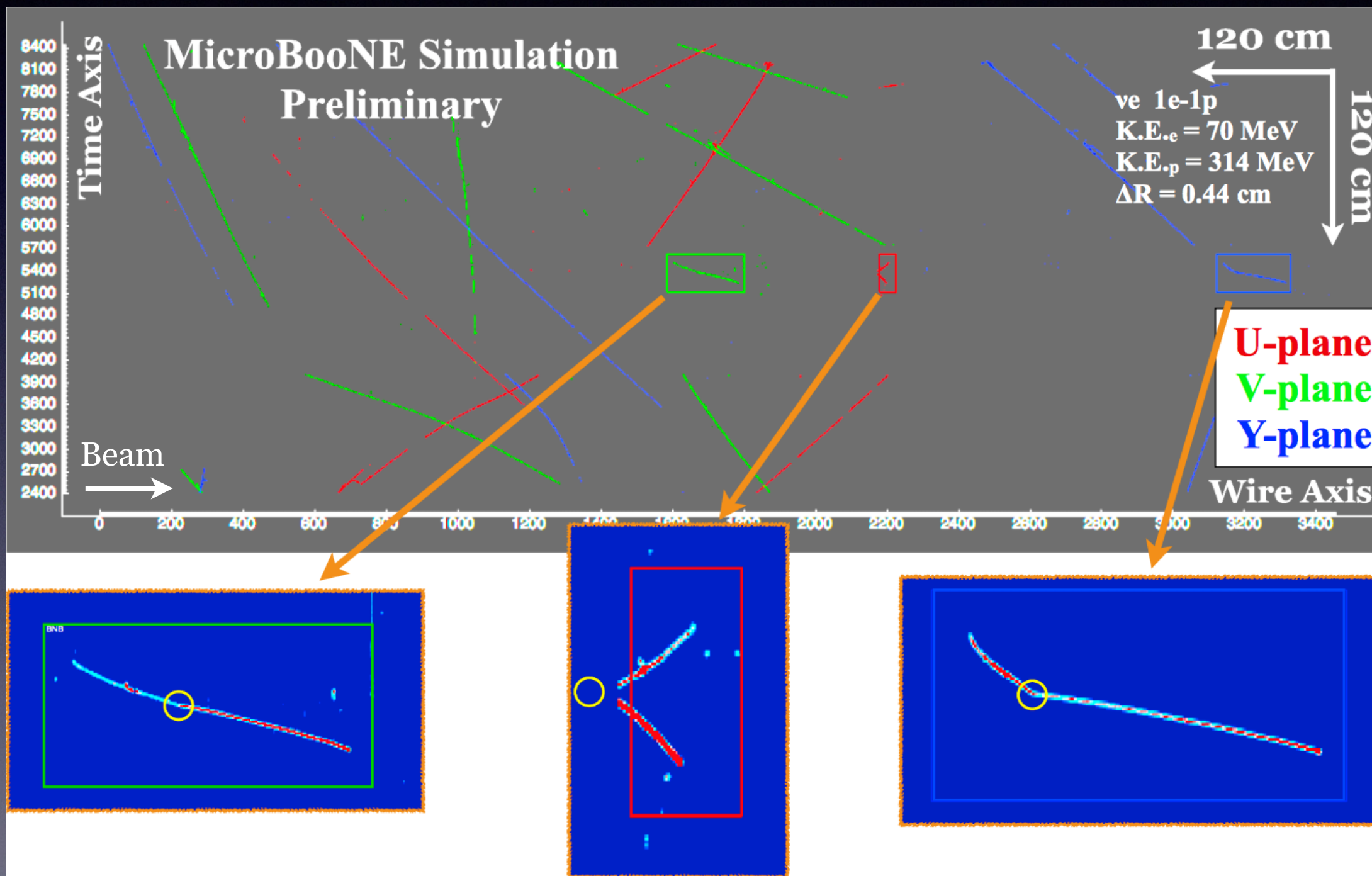
Jarret M.  
MIT



Lauren Y.  
MIT



Victor G.  
Columbia U.



Rui A.  
IIT



Christopher B.  
U. Michigan



Jessica E.  
Syracuse U.

$\nu_e$  reconstruction (courtesy of Adrien Hourlier @ IPA)



# n- $\bar{n}$ Oscillation



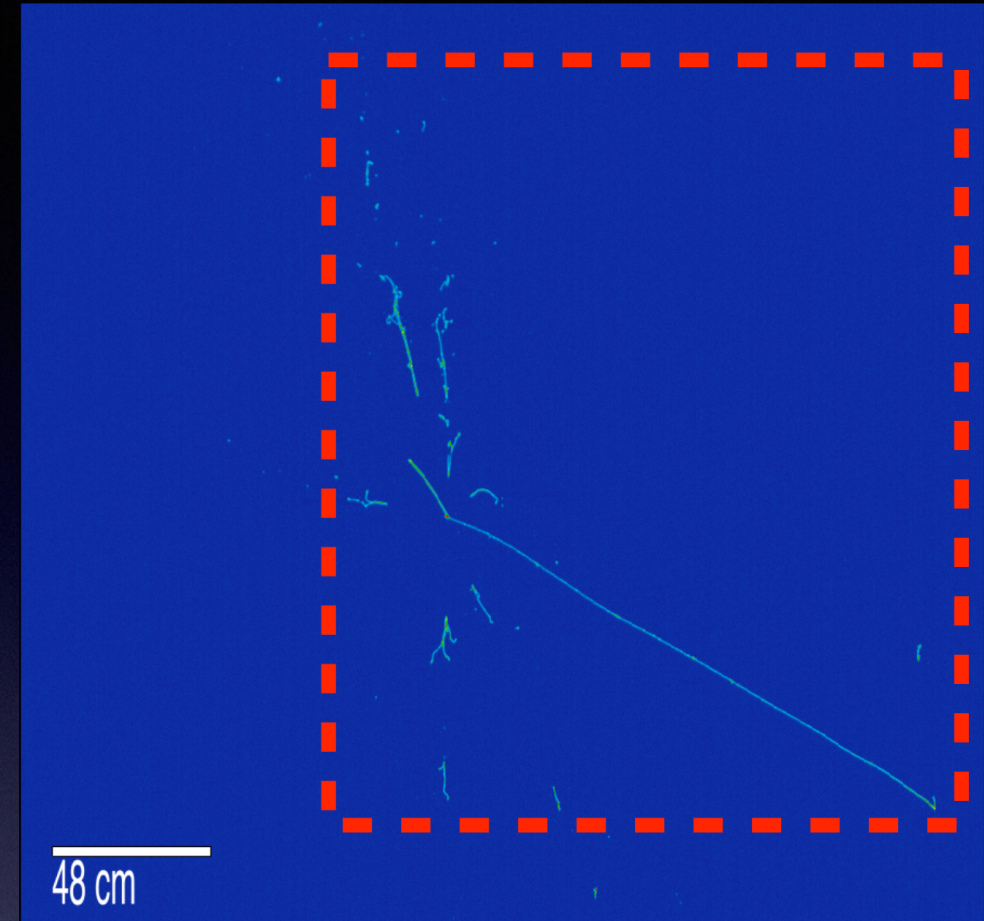
Jeremy Hewes  
U. Manchester



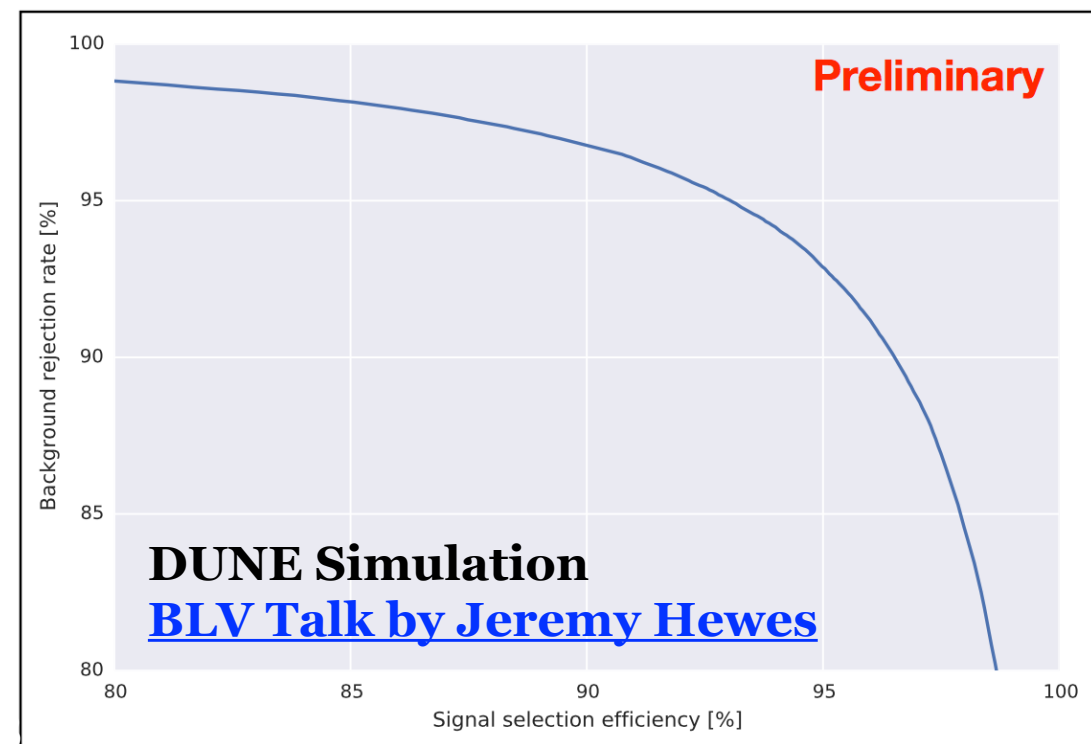
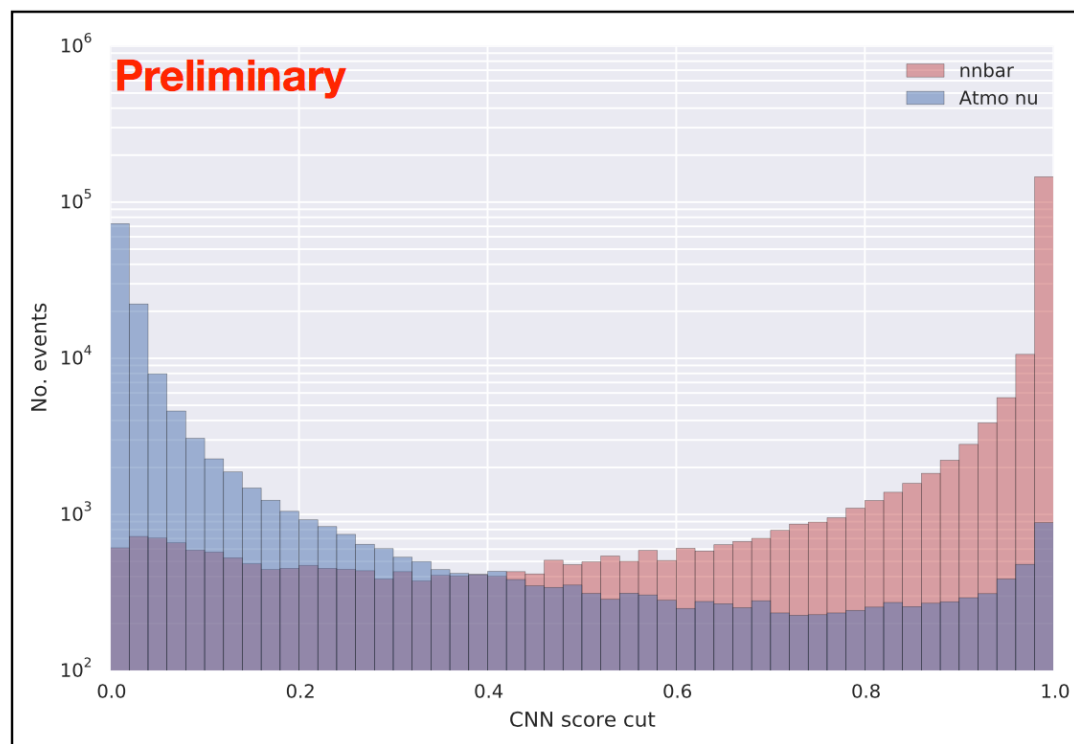
Georgia Karagiorgi  
Columbia U.

DUNE Simulation  
[BLV Talk by Jeremy Hewes](#)

- New physics!
- Signal vs. background (atm.  $\nu$ 's)
- Developed from UB for DUNE
- Rich event topology, suited for CNN pattern recognition power

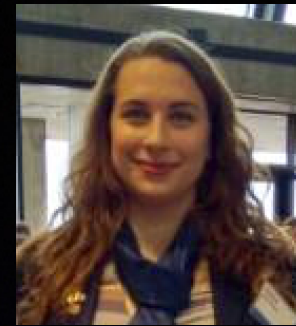


## Benchmarking CNN performance on 200k event samples





# Proton Decay



Elena Gramellini  
Yale U.



Kevin Wierman  
PNNL

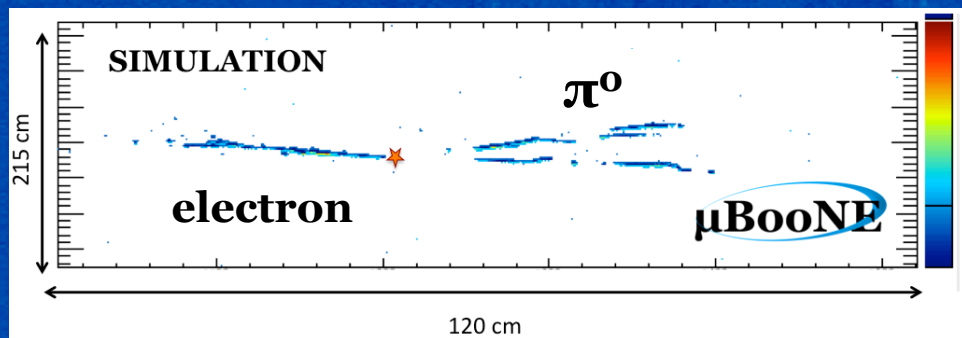


Eric Church  
PNNL

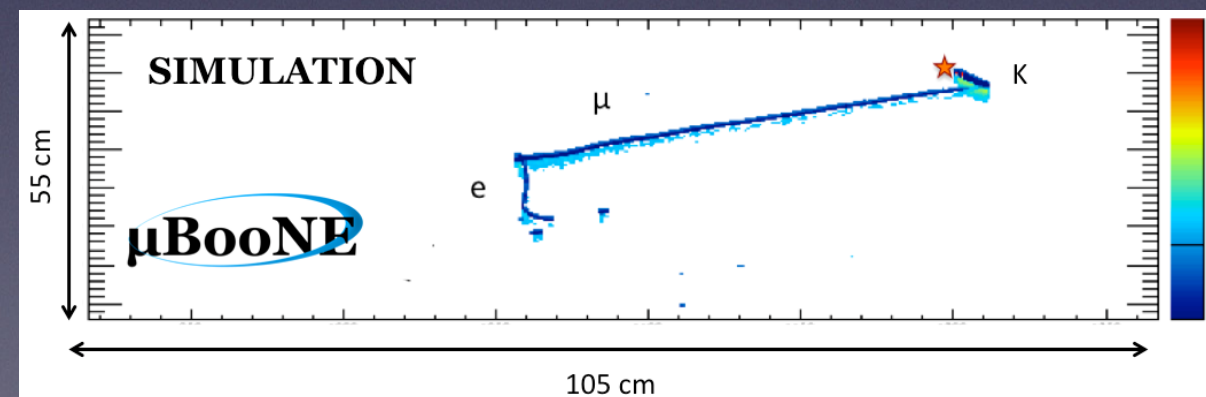
**μBooNE**

15 cm

32 cm



- New physics!
- Starting from UB work, real application @ DUNE
- Current focus on  $K^+/\pi^+$  decay channel (PNNL)
- Topology classification



Run 1530 Event 30.  
August 17th 2015

PDK background study in UB  
(Elena G. @ TAUP 2015) 29

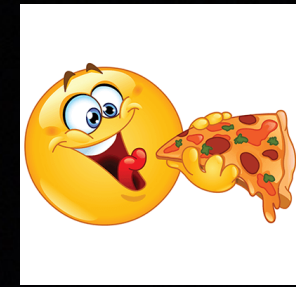
Example Kaon decay channel  
(Kevin W./Eric C. @ PNNL)



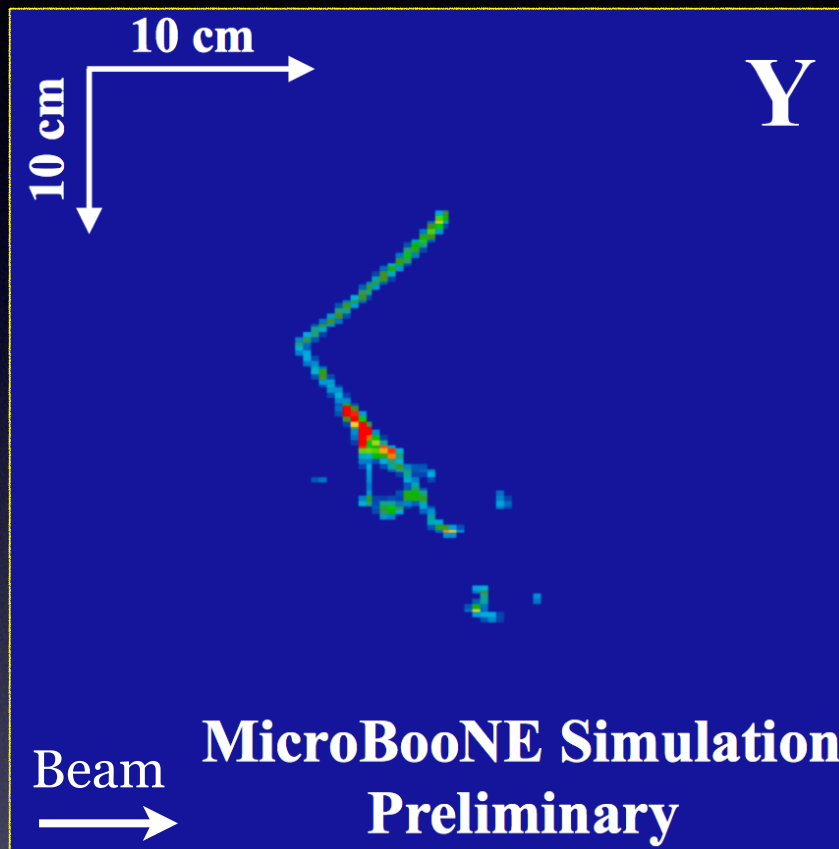
# PID for Neutrino Analysis



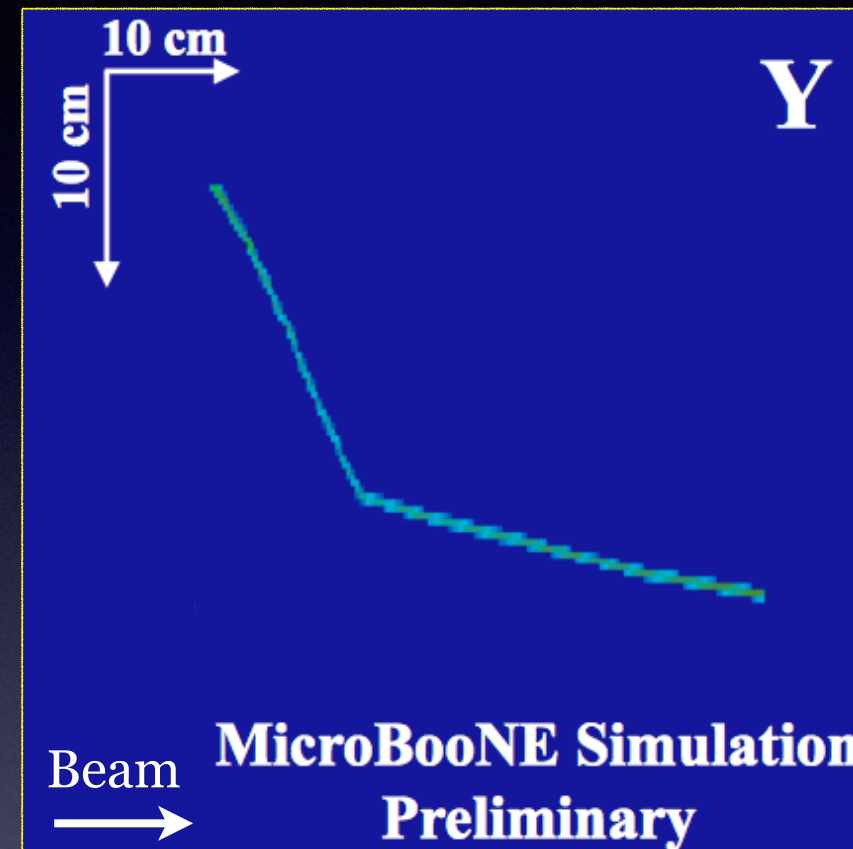
Summer Student  
A



Summer Student  
B



1  $e^-$  & 1 proton



1  $\mu^-$  & 1 proton

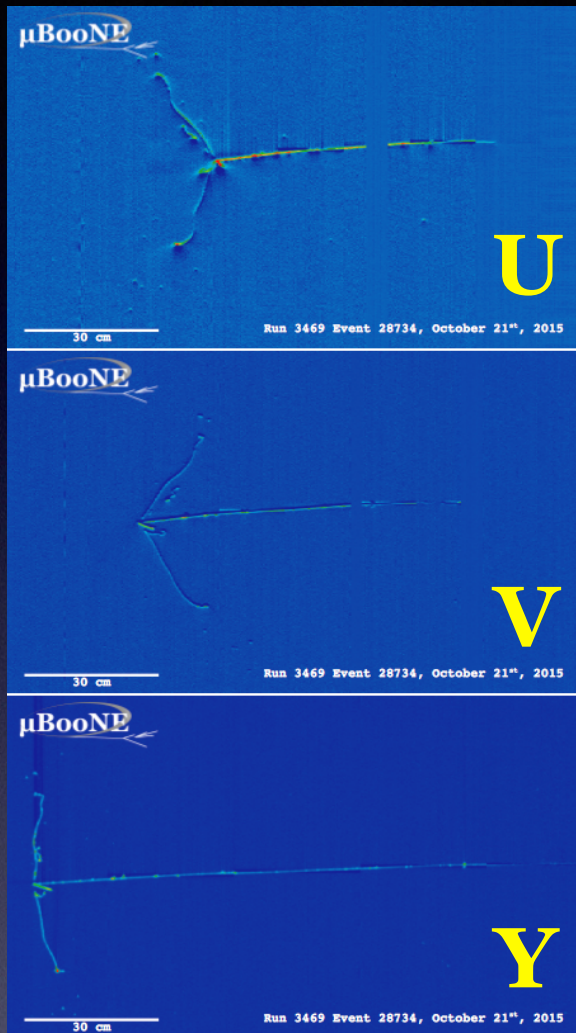
- Predict multiplicity and types of particles involved
- Train on randomly generated multi-particle images
  - Avoid using an event generator with a certain model



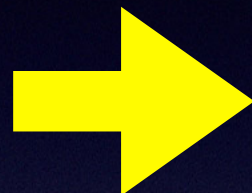
# 3D Point Prediction

*More Summer 2017 Projects!*

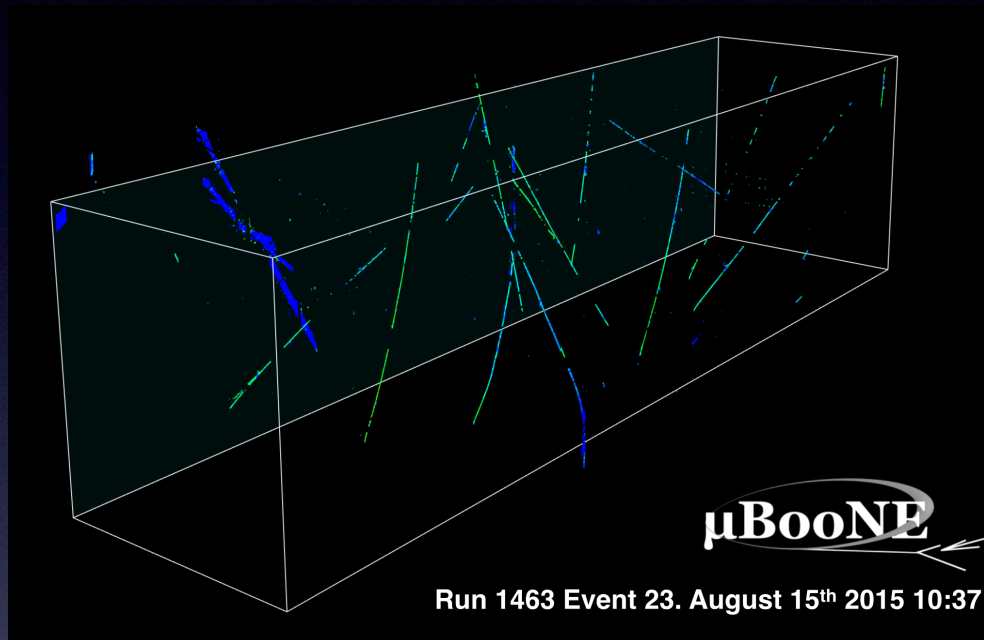
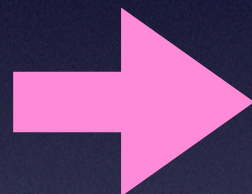
**μBooNE**



**WireCell**

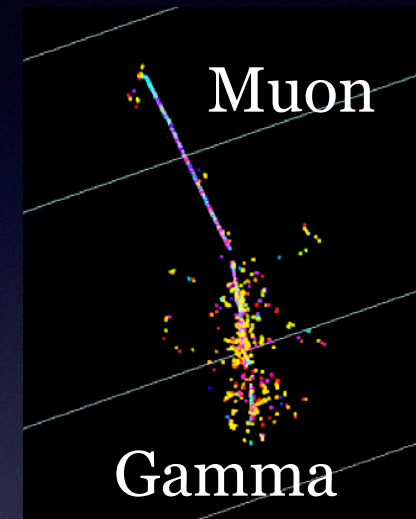
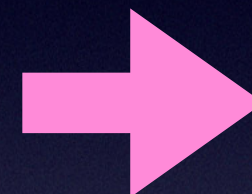


**Network**



**Reconstructed  
3D View**

**Network**



**3D Clustering  
+ PID**

## 2D Views

- Predict 3D point with charge from 2D plane views
- 3D feature recognition (3D point clustering + PID)



# Lessons Learning

- **Fully CNN-based reconstruction**
  - similar to staged LArSoft reconstruction steps
  - allows stage-by-stage comparison
  - WireCell-like 3D reconstruction + analysis path
- Applying CNNs for **physics analysis**
  - neutrino analysis
  - rare event search:  $\bar{\nu}\nu$  oscillation, proton decay





... **wrapping up** ...

## Outline

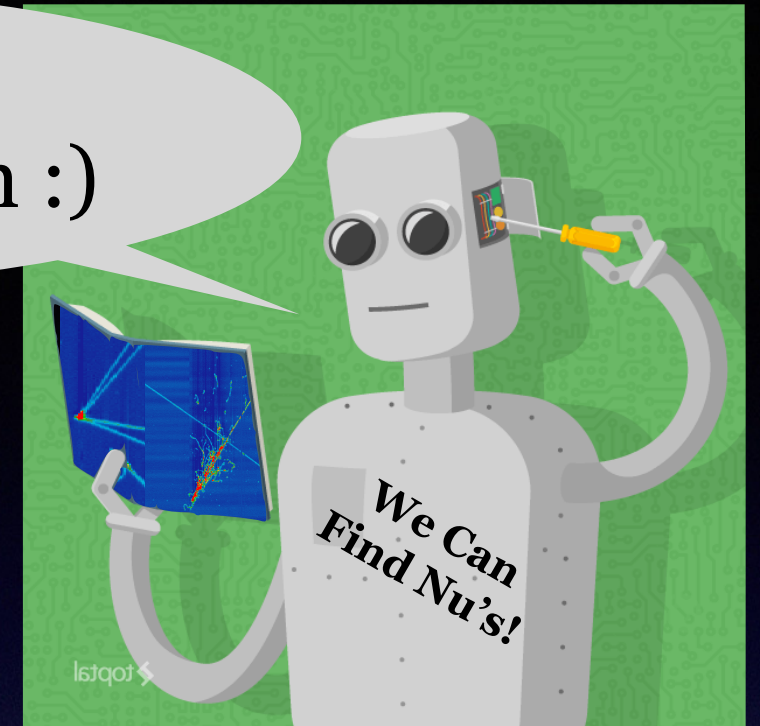
- MicroBooNE and Deep Neural Networks
- Deep Learning “lessons learned”
- Deep Learning “lessons learning”
- **Summary**





**I want Ph.D!  
I want a job!**

**Thank you!**  
for your attention :)



## Take Away Messages

1. **LArTPCs** need **advanced pattern recognition algorithms**
2. **MicroBooNE** develops **CNN-based reconstruction tools**
3. **MicroBooNE** applies **CNN techniques to physics analysis**
4. **MicroBooNE** studies **network response on real data**
5. **MicroBooNE** shares **tools developed and knowledge learnt**



# Extracurricular Lessons Learned

## Remember what happen“ed” with AI



**THE VERGE** TECH SCIENCE CULTURE CARS REVIEWS LONGFORM VIDEO MORE

GOOGLE SCIENCE TECH

### Google's DeepMind pits AI against AI to see if they fight or cooperate

Unsurprisingly, they do both

by James Vincent | @jvincent | Feb 9, 2017, 6:20am EST

*“... the cleverer AI decided it was better to be aggressive in all situations.”*

**We try to be careful :)**



Back up

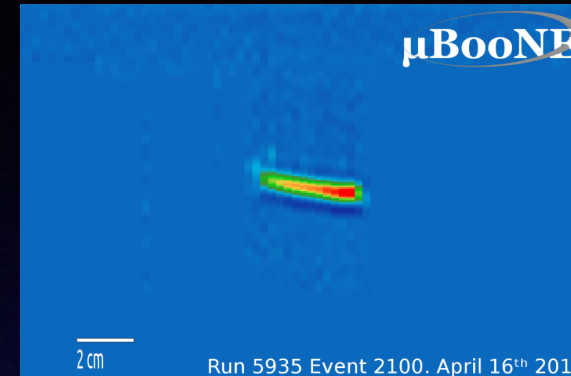


**More Projects?**

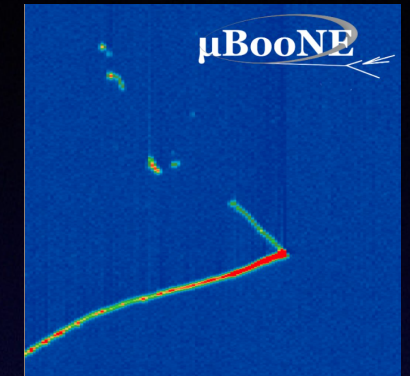


# Training on Data

- Labeled image database
  - Labeling software tools
  - “Chimera” image maker
- Weakly supervised training



Proton

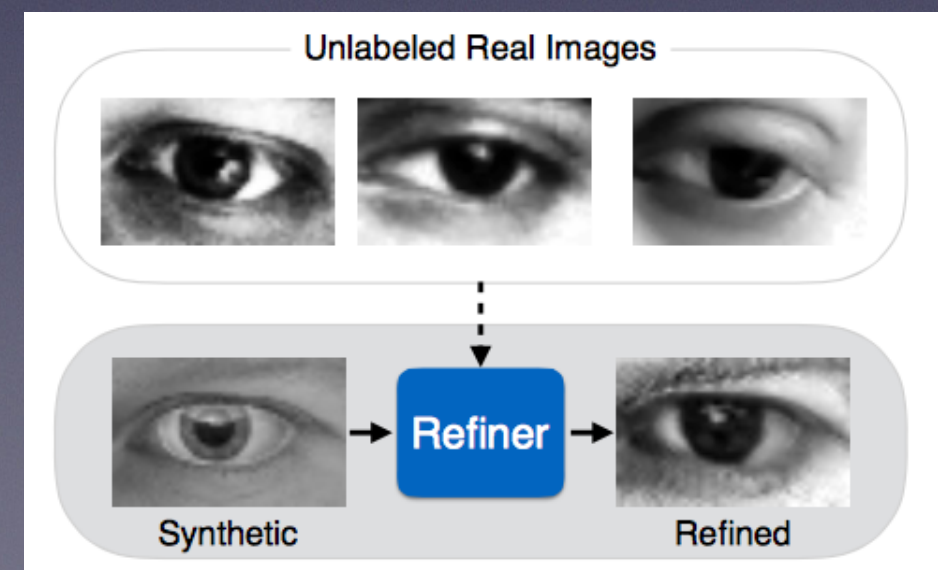


Michel

# Data/Sim. Discrepancy

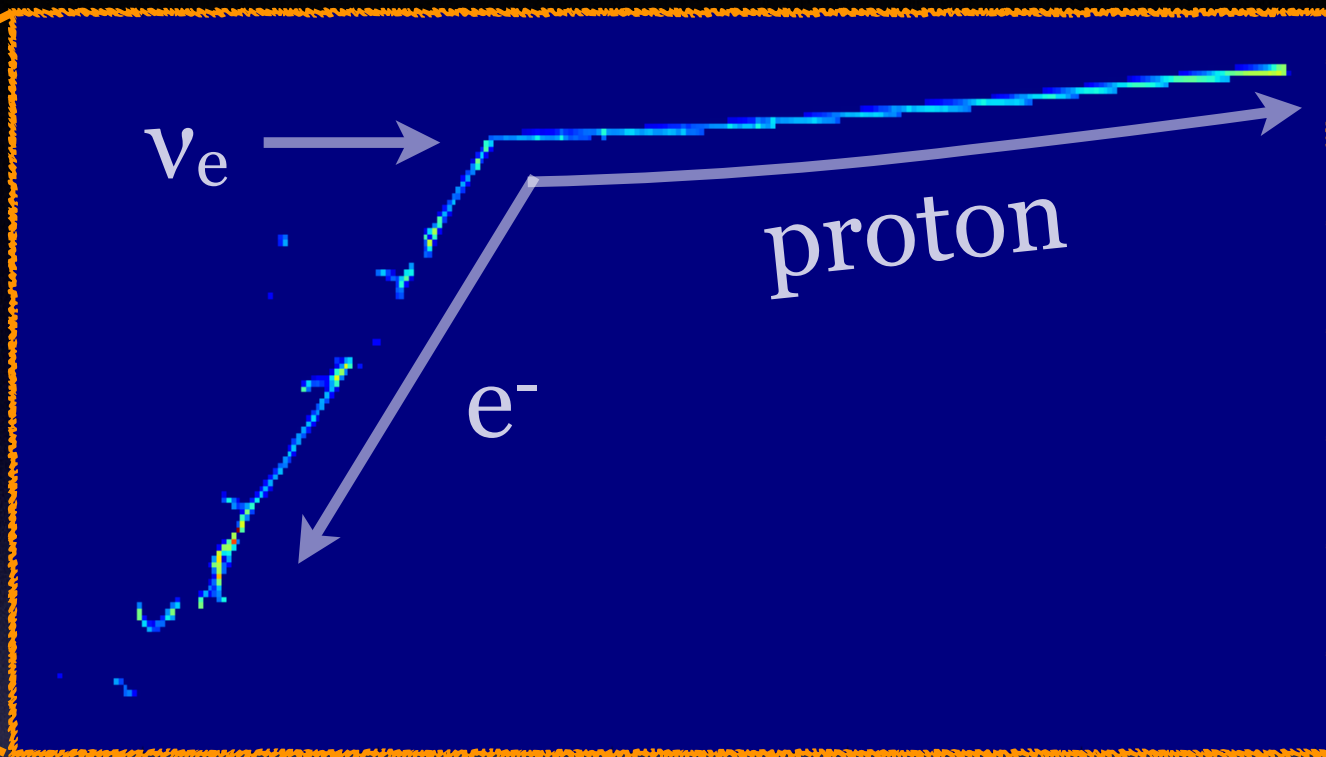
- Train discriminator, study the cause
- Generative Adversarial Network
  - Refine MC image to look like data
  - Train analysis CNN on refined sim.

“**encoder**” for human eye illustration  
by Apple research team  
[arXiv:1612.07828](https://arxiv.org/abs/1612.07828)





Hey!  
I found  
my Ph.D!



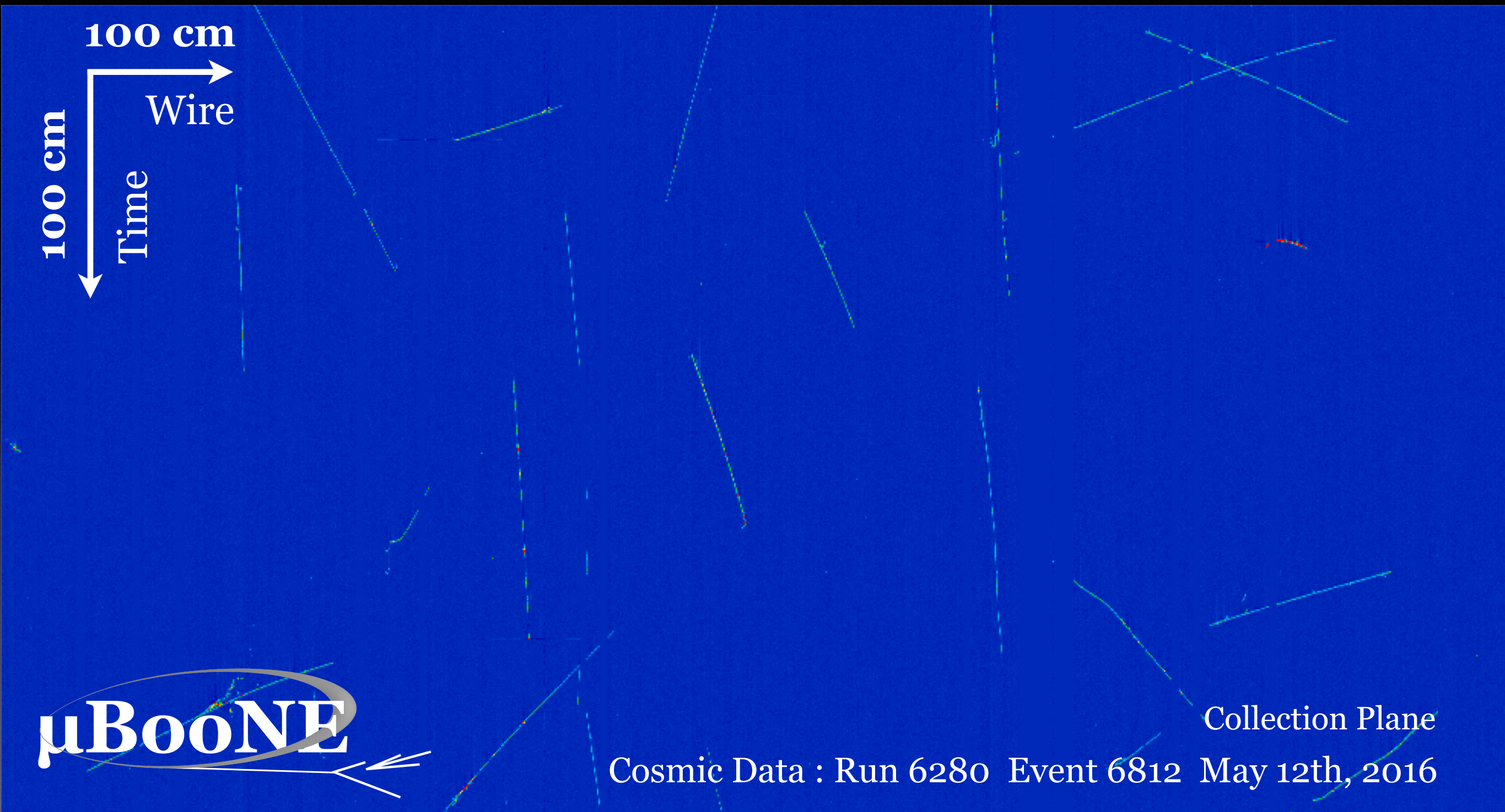
You need to automate that.

## Outline

- Intro: what is deep learning?
- **Event reconstruction + analysis challenges**
- Deep neural network applications
- Summary



# Challenges for Neutrino Analysis (I)

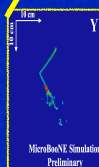
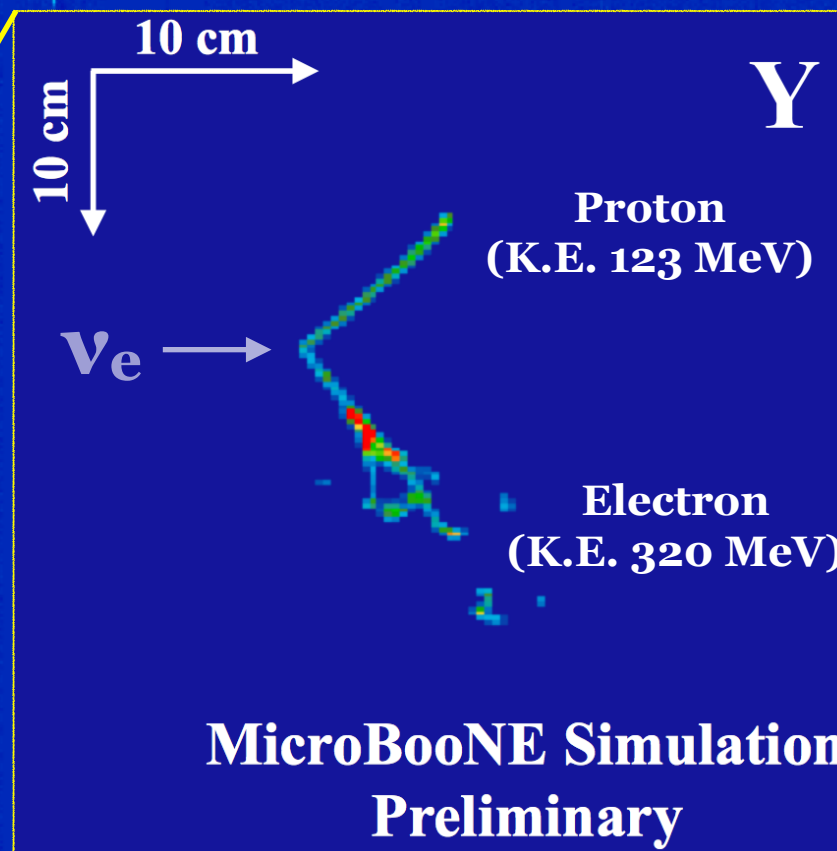


**Challenge 1:** our detector is **filled with cosmics** and **neutrino is rare**



# Challenges for Neutrino Analysis (I)

100 cm  
Wire  
Time



**μBooNE**

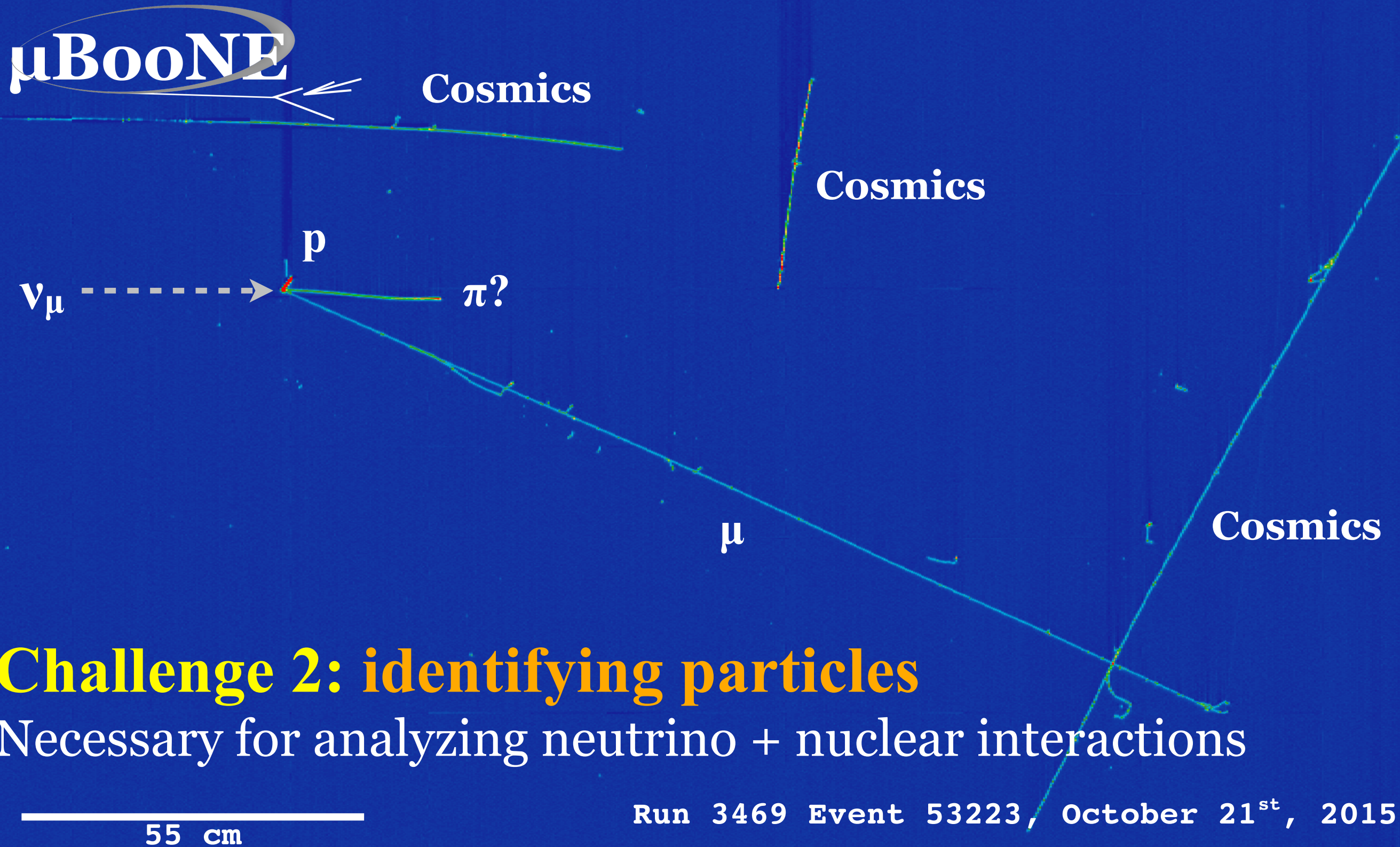
Collection Plane

Cosmic Data : Run 6280 Event 6812 May 12th, 2016

**Challenge 1:** our detector is **filled with cosmics** and **neutrino is rare** ... and **signal is small**



# Challenges for Neutrino Analysis (II)



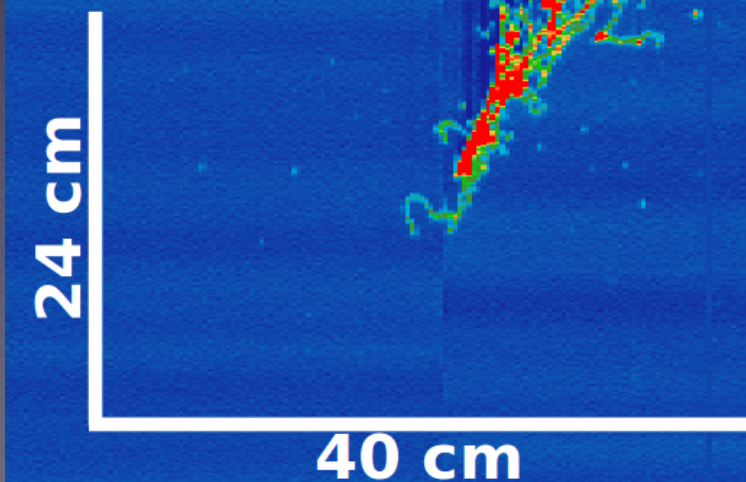


# Challenges for Neutrino Analysis (III)

$\mu$ BooNE

## Challenge 3: Clustering

Reconstruction is already hard, and one must cluster all scattered charges



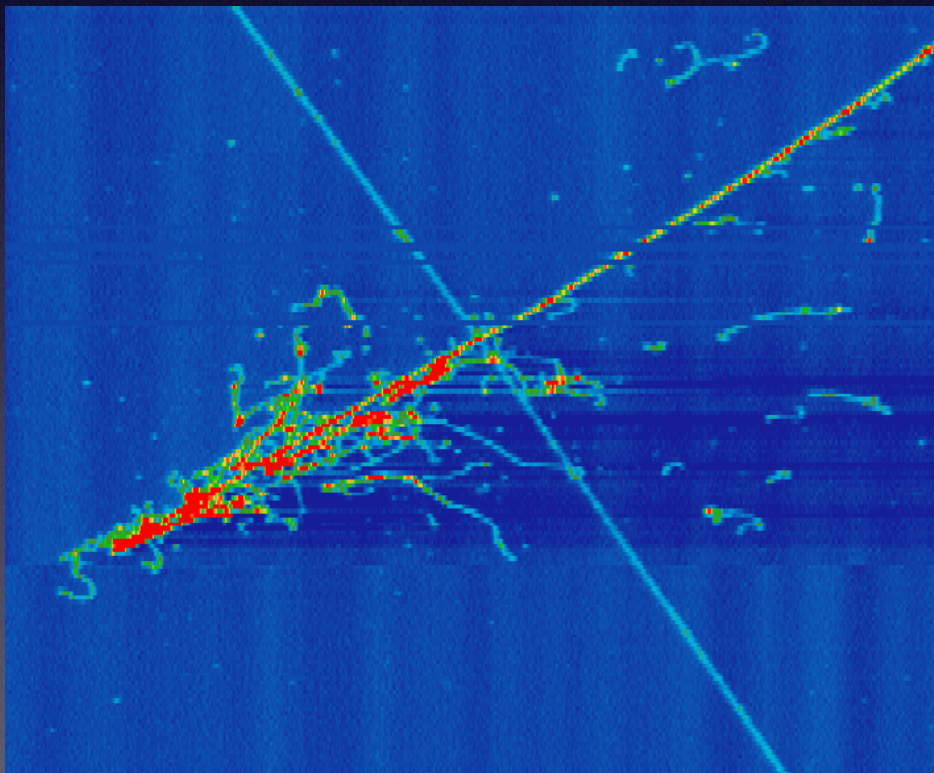
Run 1153 Event 40. August 6<sup>th</sup> 2015 21:07



# Challenges for Neutrino Analysis (IV)

## Challenge 4: programming is not easy

Need efficient, fast pattern recognition algorithms and a framework to run a chain (or multiple chains) of them



Our data is an “image”,  
a matrix of numbers

*we wish*



**Not** how it looks in C++

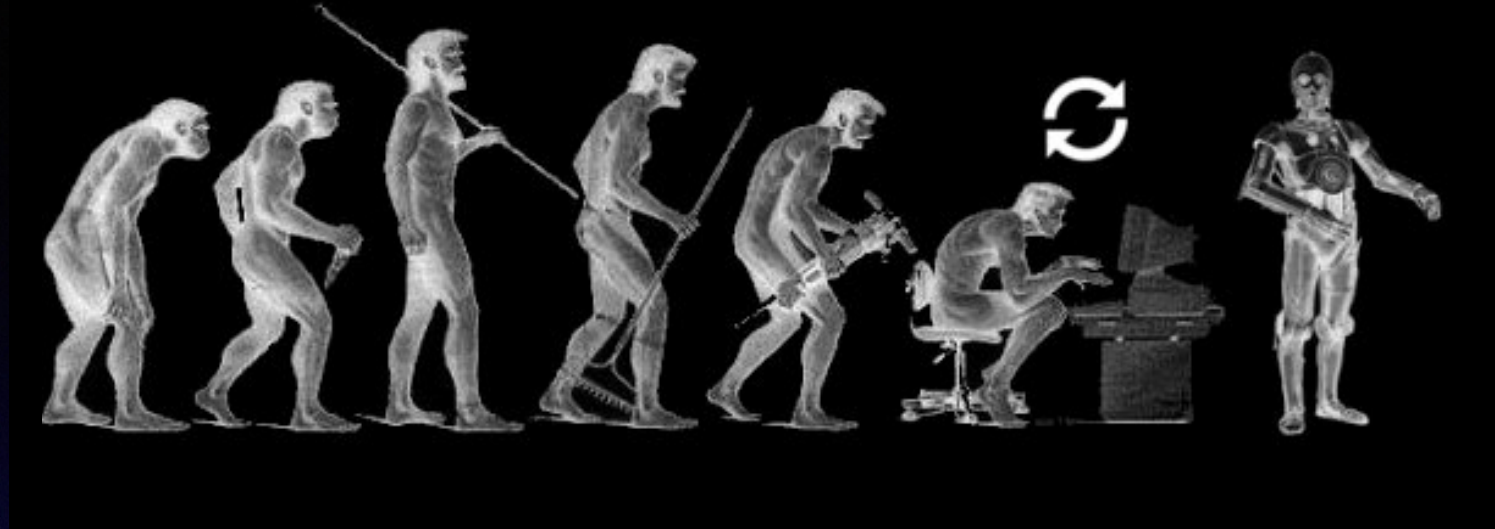
*in reality*

```
01101010100101011010101001011010  
10111010101001010100010010101101  
0101001011010101001010110101010  
01011010101001010110101010101101  
0101001010110101010010110101010  
01011010101001010110101010010110  
10101001010110101010101101010100  
10101101010100110101101010100101
```

This is how it looks in C++



# ... enough challenges ...



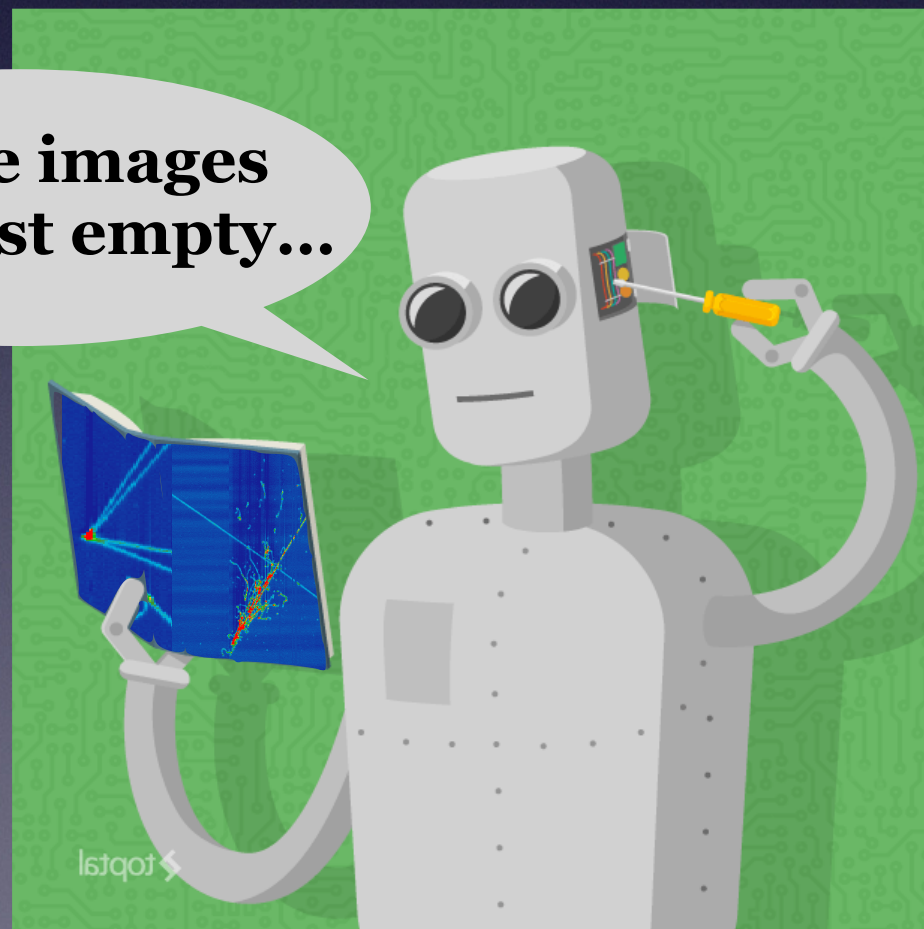
## Solutions?

- **Path A: “traditional path”**
  - Hand-engineered reconstruction algorithms
- **Path B: machine learning**
  - “**Deep Learning**”
    - ▶ In particular...
      - Convolutional Neural Networks (CNNs)**
      - ▶ Scalable technique, generalizable to various tasks
      - ▶ Superb performance on image data analysis



# CNN for LArTPC Image Analysis

... these images  
are almost empty...



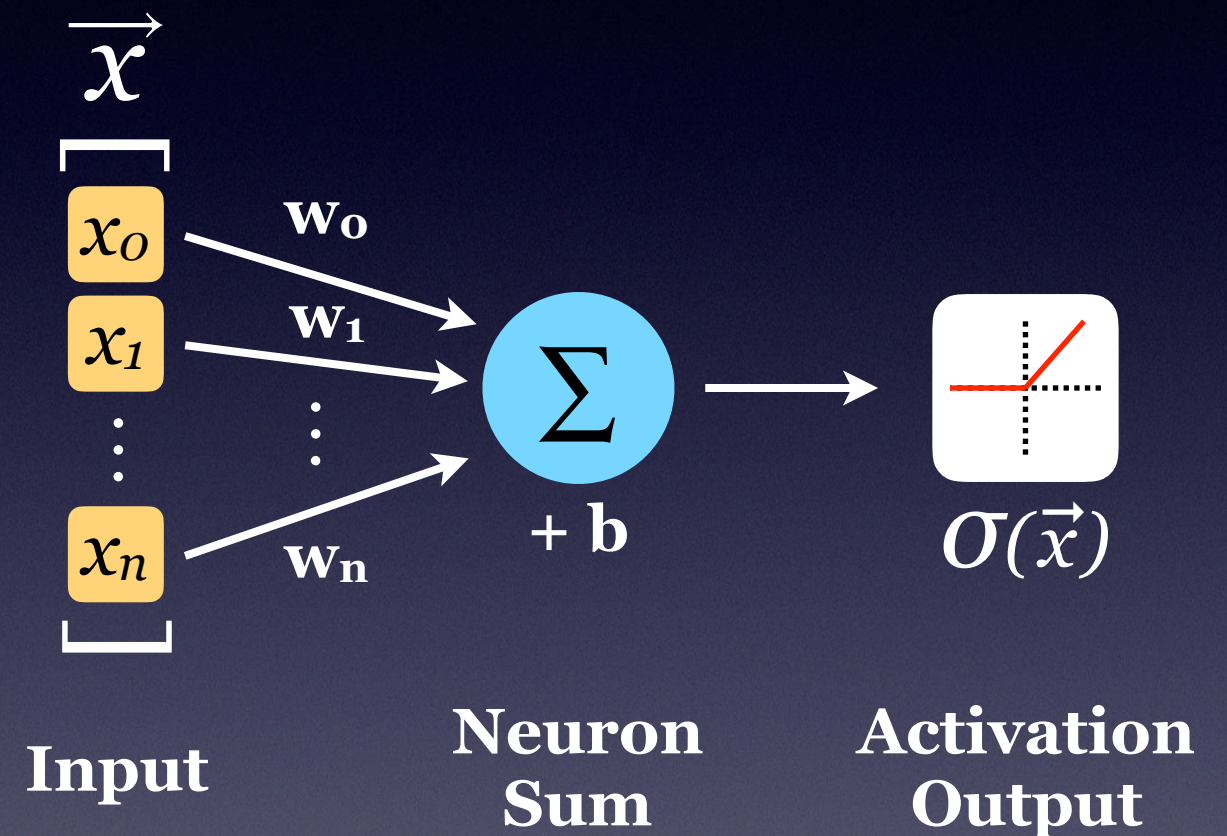


# Introduction to CNNs (II)

## Background: Neural Net

The basic unit of a neural net is the *perceptron* (loosely based on a real neuron)

Takes in a vector of inputs ( $x$ ). Commonly inputs are summed with weights ( $w$ ) and offset ( $b$ ) then run through activation.



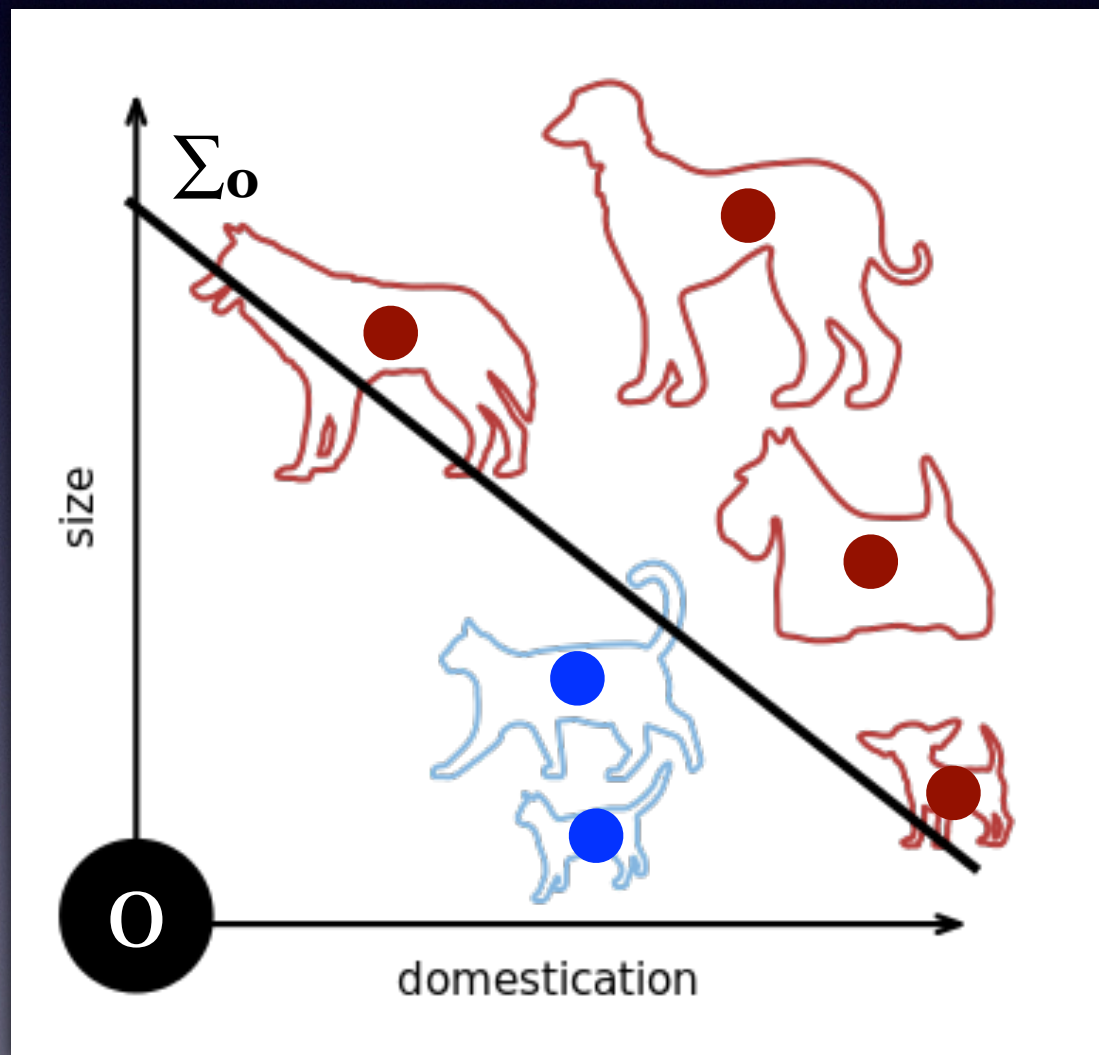
$$\sigma(\vec{x}) = \begin{cases} \vec{w}_i \cdot \vec{x} + b_i & \vec{w}_i \cdot \vec{x} + b_i \geq 0 \\ 0 & \vec{w}_i \cdot \vec{x} + b_i < 0. \end{cases}$$



# Introduction to CNNs (II)

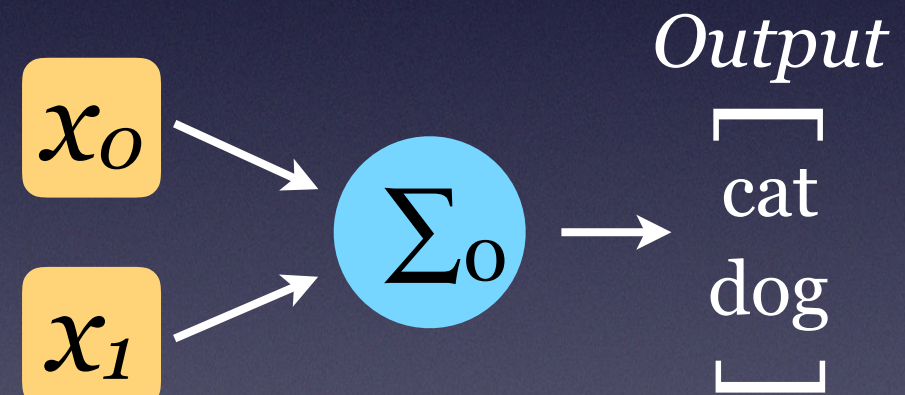
## Perceptron 2D Classification

Imagine using two features to separate cats and dogs



from [wikipedia](#)

$$\sigma(\vec{x}) = \begin{cases} \vec{w}_i \cdot \vec{x} + b_i & \vec{w}_i \cdot \vec{x} + b_i \geq 0 \\ 0 & \vec{w}_i \cdot \vec{x} + b_i < 0. \end{cases}$$



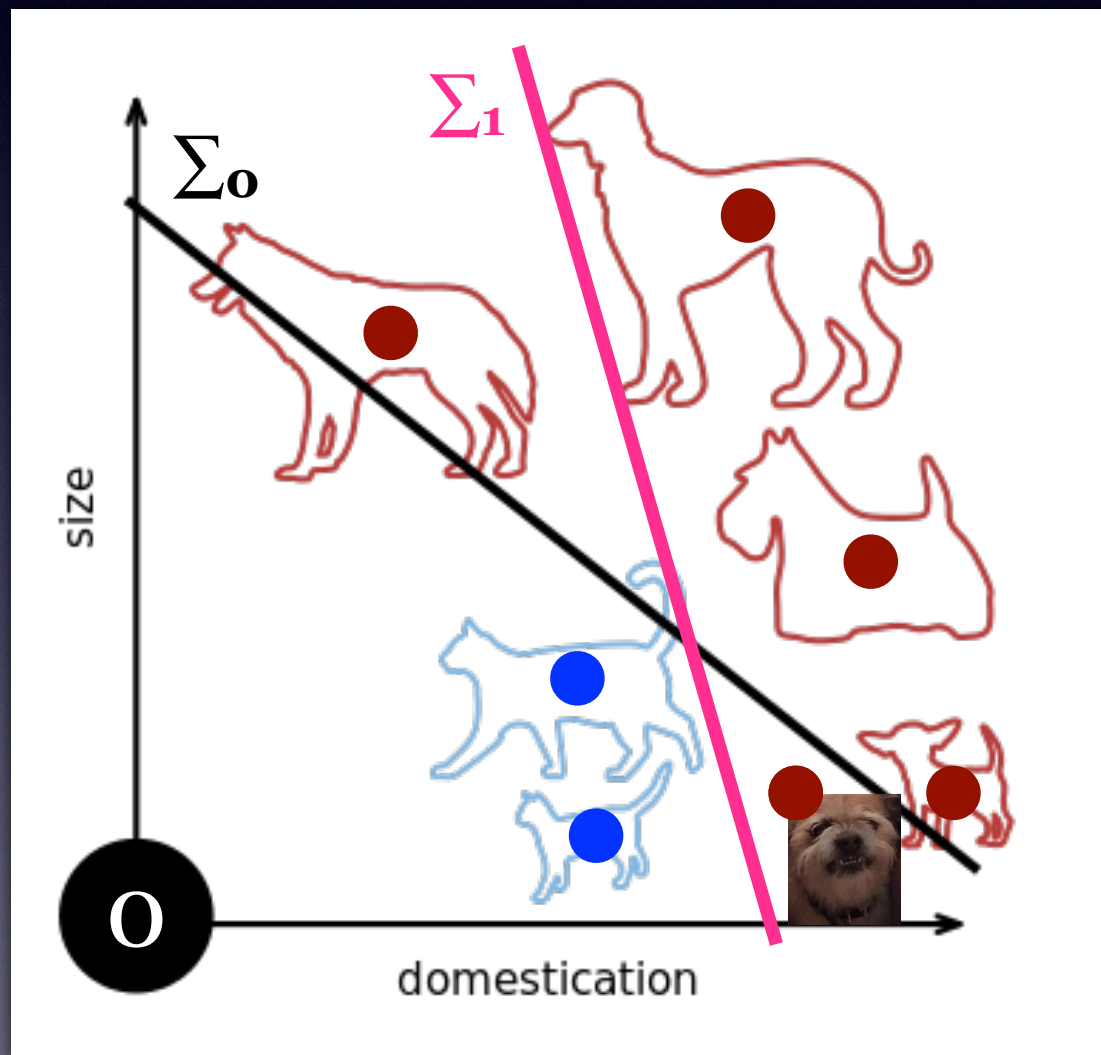
By picking a value for  $w$  and  $b$ ,  
we define a boundary  
between the two sets of data



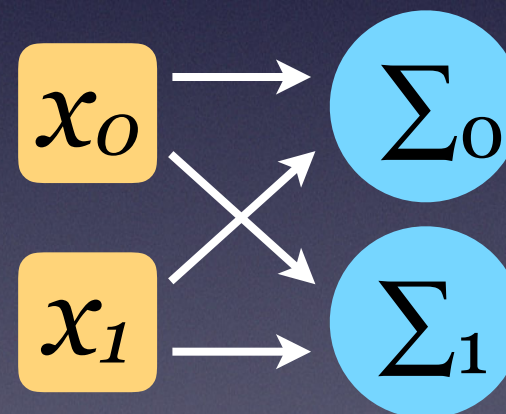
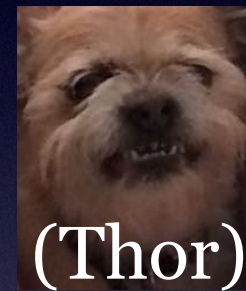
# Introduction to CNNs (II)

## Perceptron 2D Classification

Maybe we need to do better: assume new data point  
(My friend's dog — small but not as well behaved)



from [wikipedia](https://en.wikipedia.org/wiki/Perceptron)



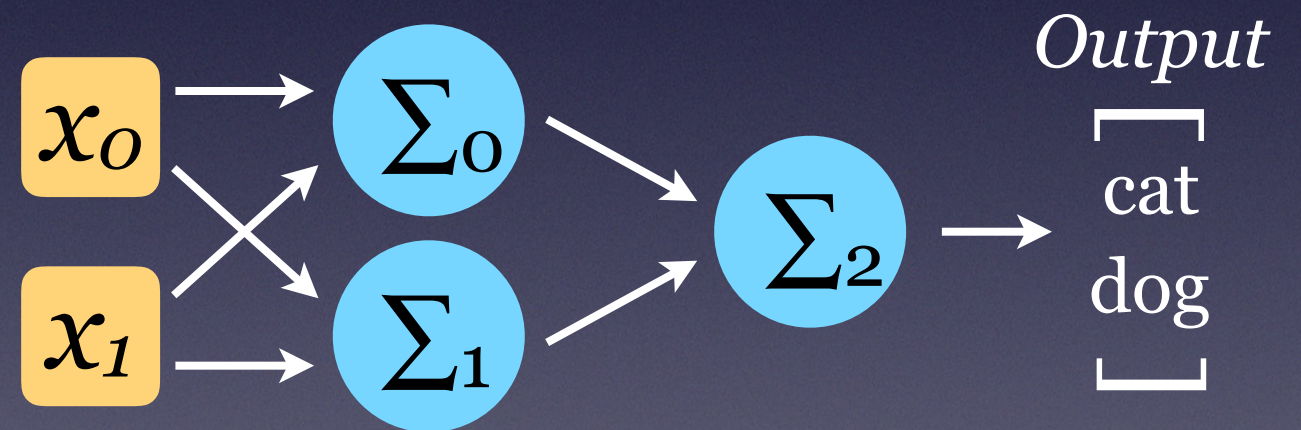
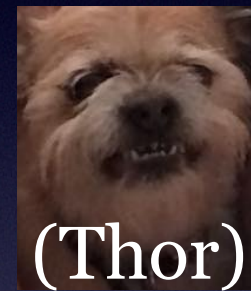
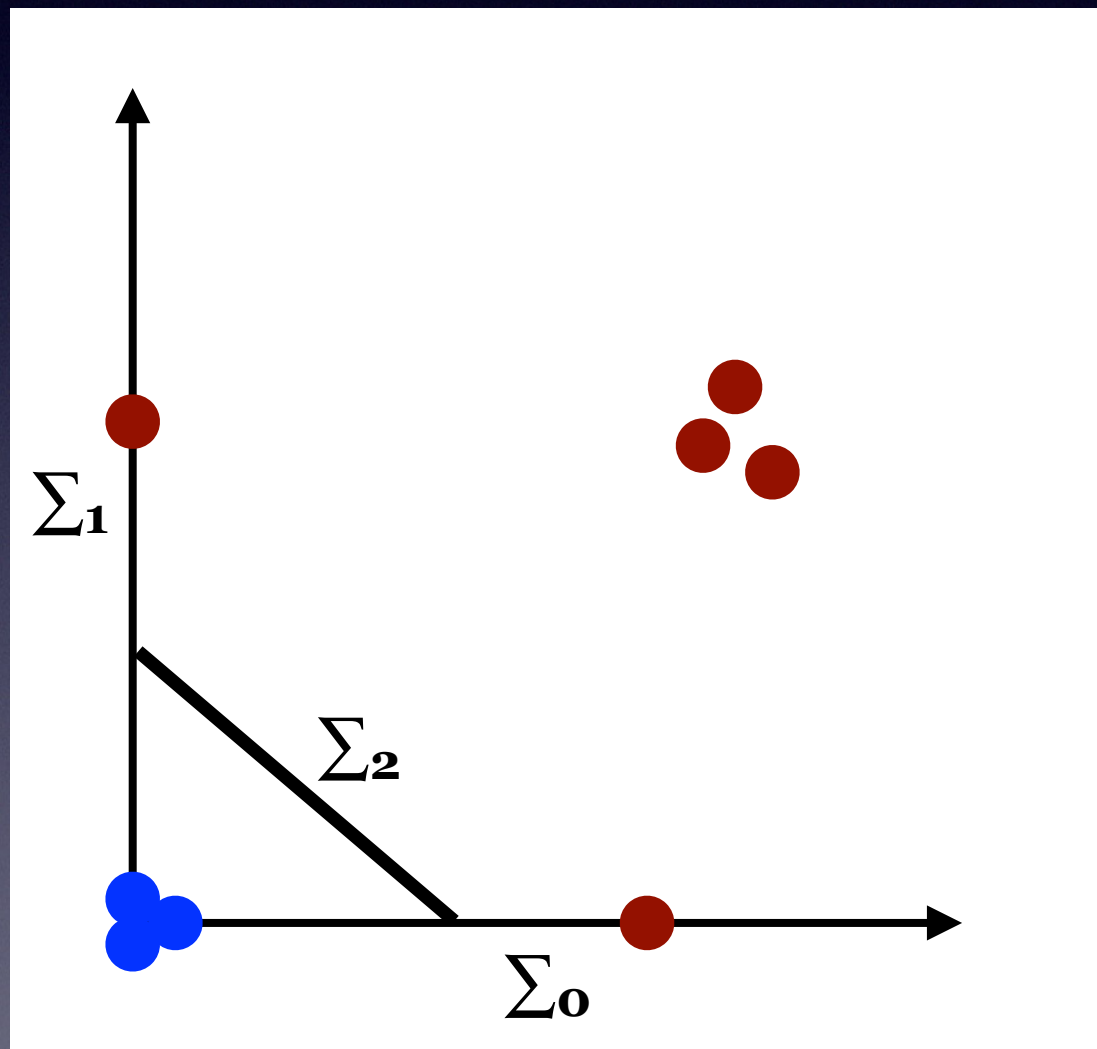
We can add another perceptron  
to help classify better



# Introduction to CNNs (II)

## Perceptron 2D Classification

Maybe we need to do better: assume new data point  
(My friend's dog — small but not as well behaved)



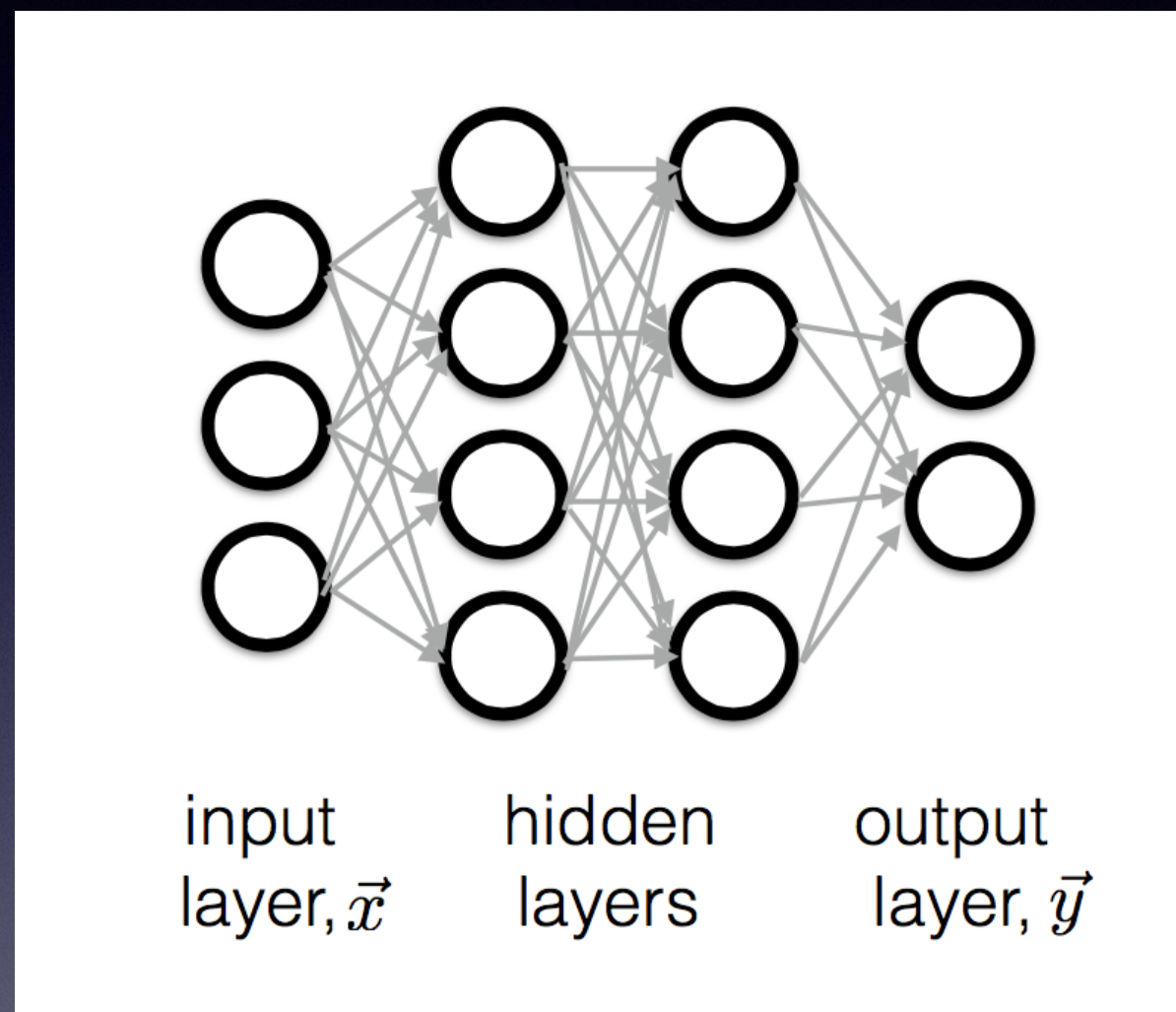
Another layer can classify based on  
preceding feature layer output



# Introduction to CNNs (III)

“Traditional neural net” in HEP

## Fully-Connected Multi-Layer Perceptrons



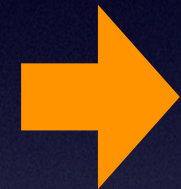
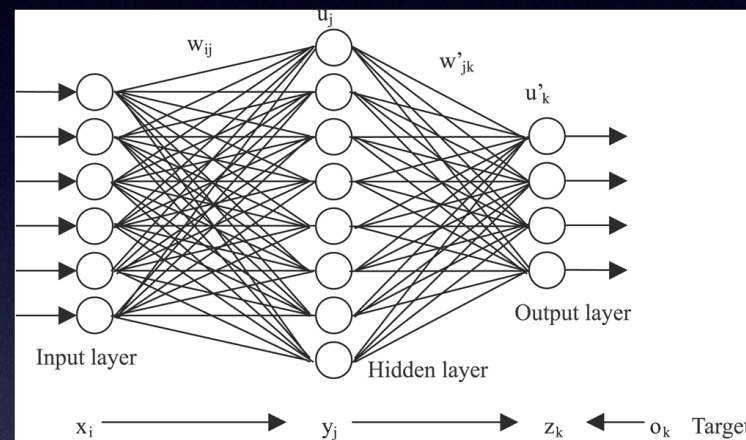
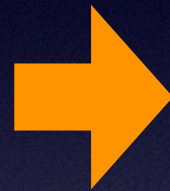
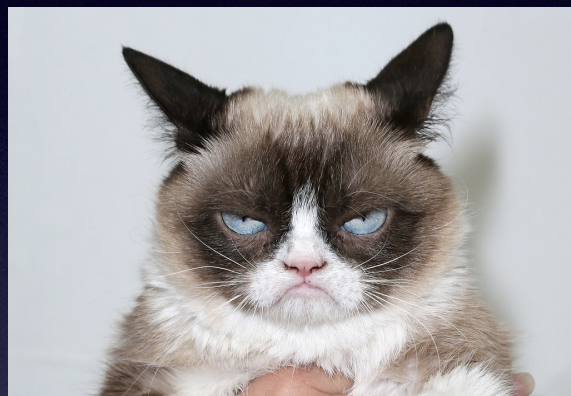
A traditional neural network consists of a stack of layers of such neurons where each neuron is **fully connected** to other neurons of the neighbor layers



# Introduction to CNNs (III)

## “Traditional neural net” in HEP Problems with it...

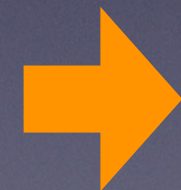
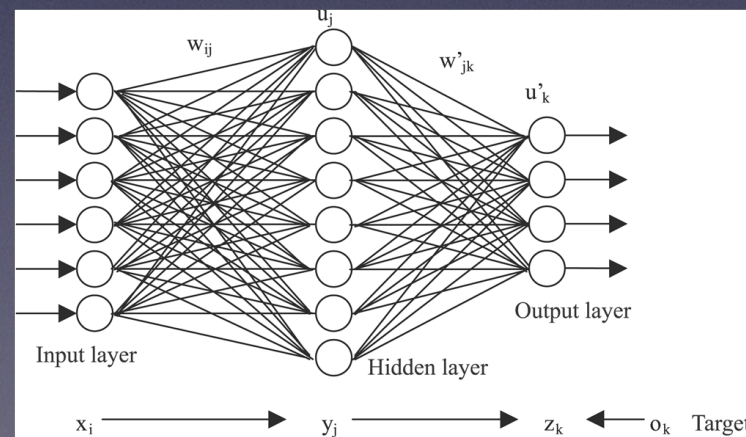
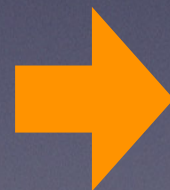
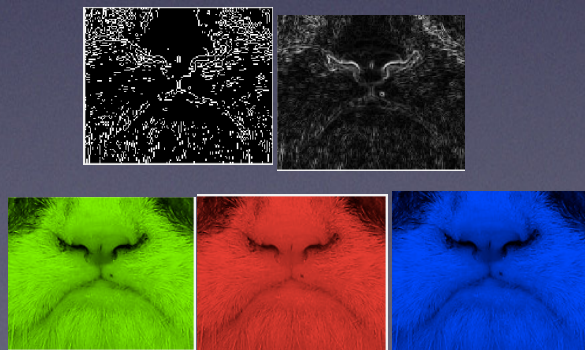
### Feed in entire image



Cat?

Problem: scalability

### Use pre-determined features



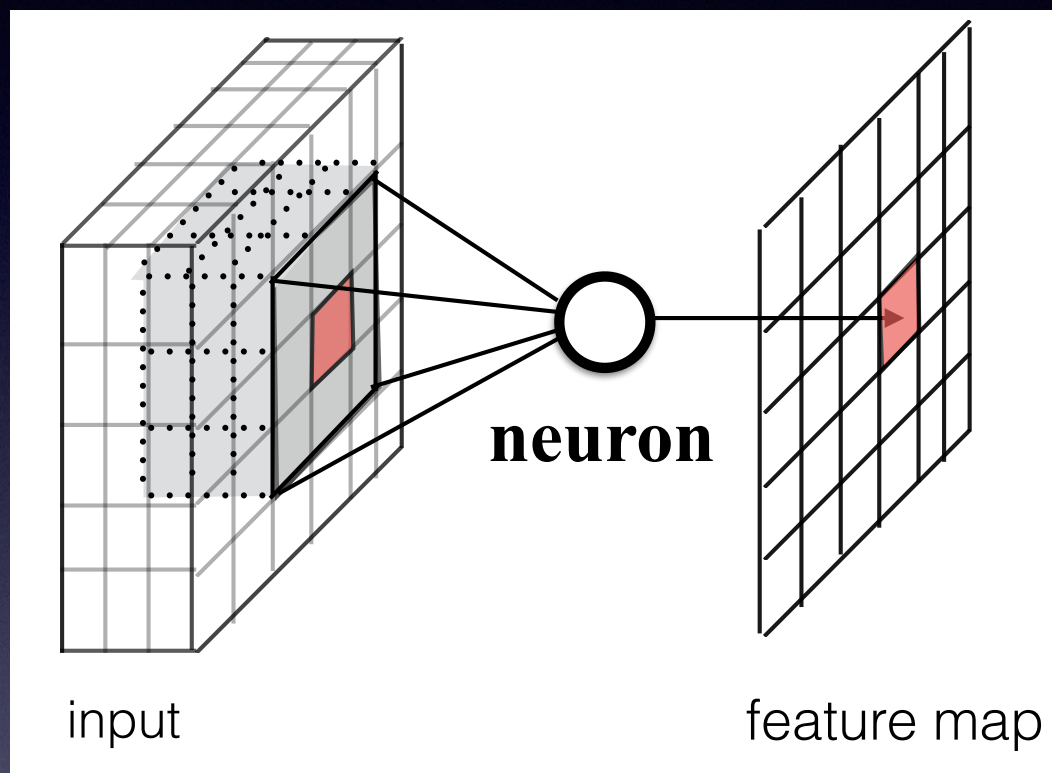
Cat?

Problem: generalization



# Introduction to CNNs (III)

CNN introduce a **limitation** by forcing the network to look at only **local, translation invariant features**



$$f_{i,j}(X) = \sigma(W_i \cdot X_j + b_i),$$

Activation of a neuron depends on the element-wise product of 3D weight tensor with 3D input data and a bias term

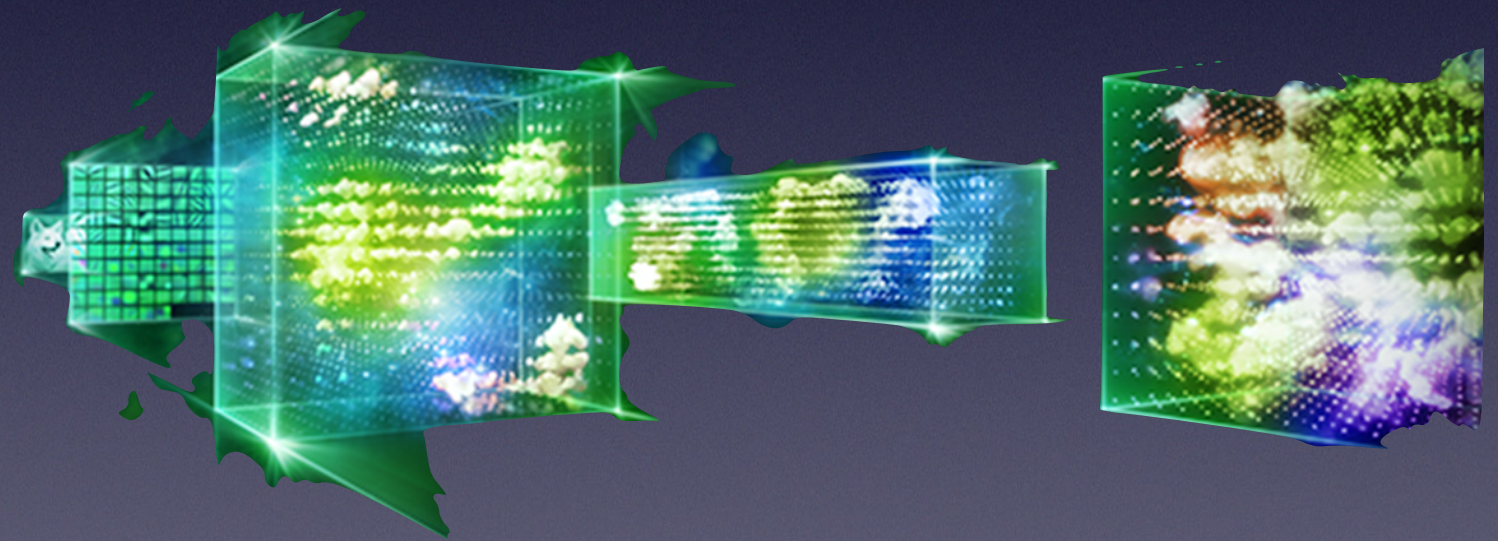
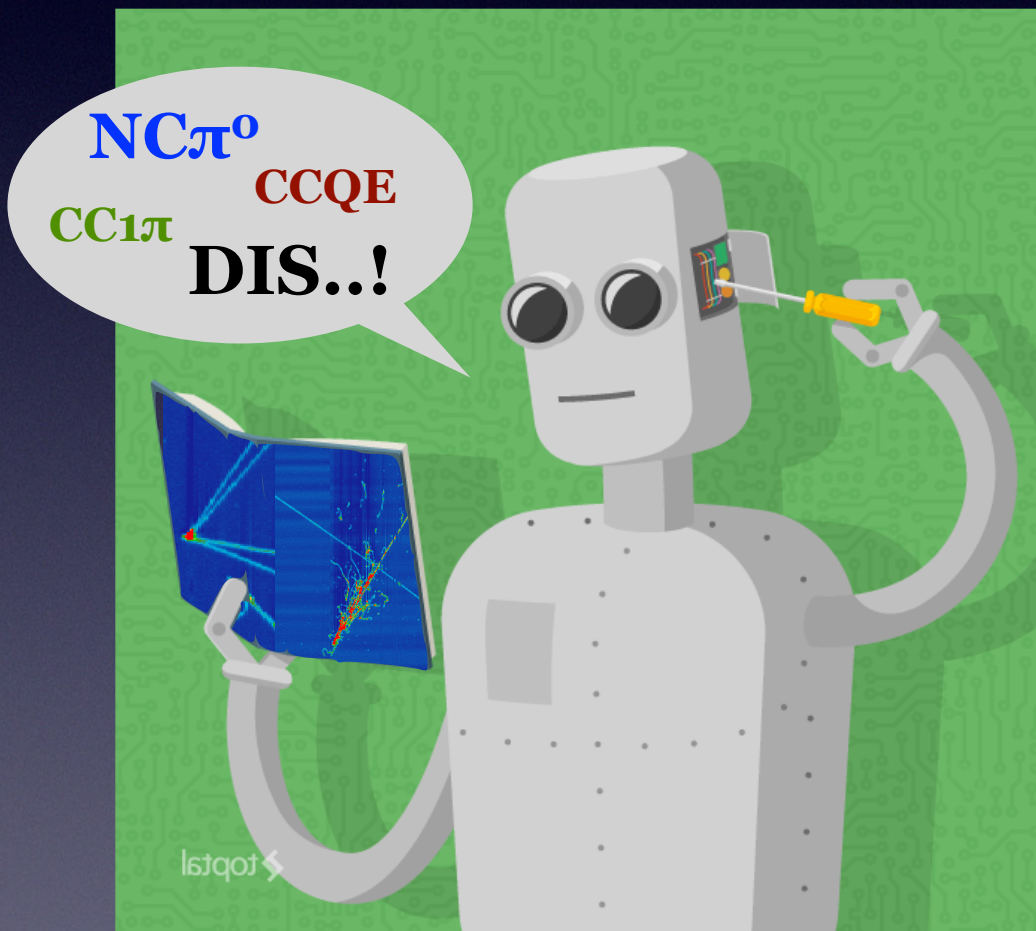
- Translate over 2D space to process the whole input
- Neuron **learns translation-invariant features**
- Applicable for a “homogeneous” detector like LArTPC

**Want more details?  
Feel free to ask me later!**



# Track/Shower Pixel Labeling

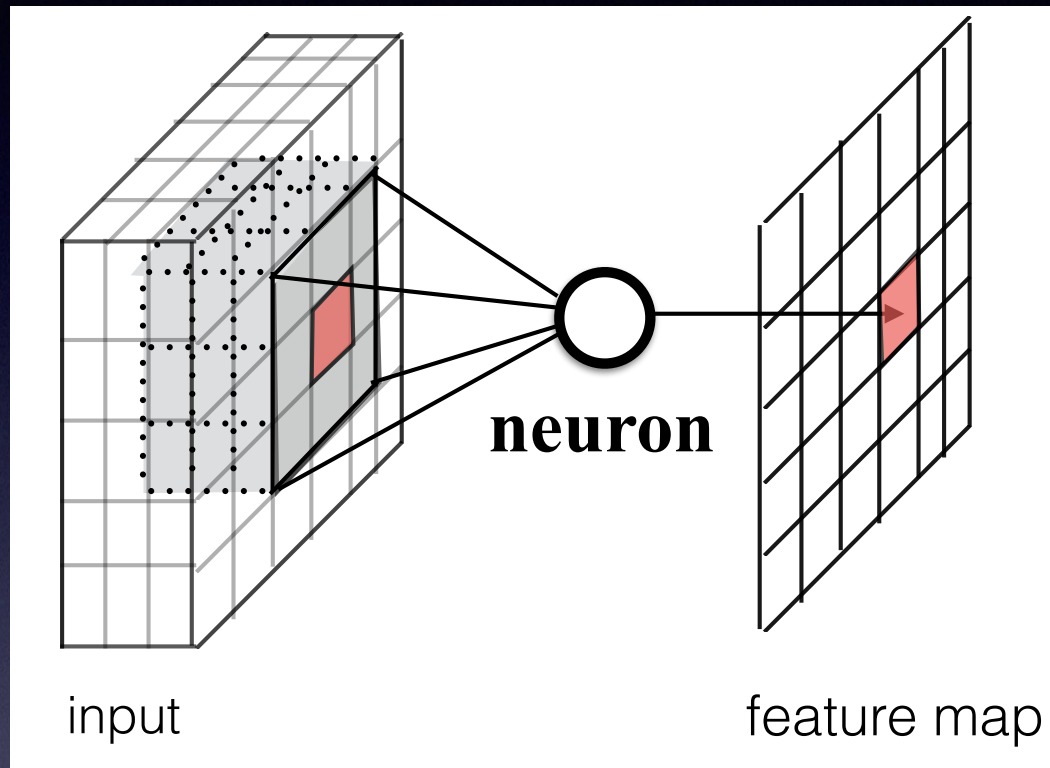
*~ How Does SSNet Work? ~*





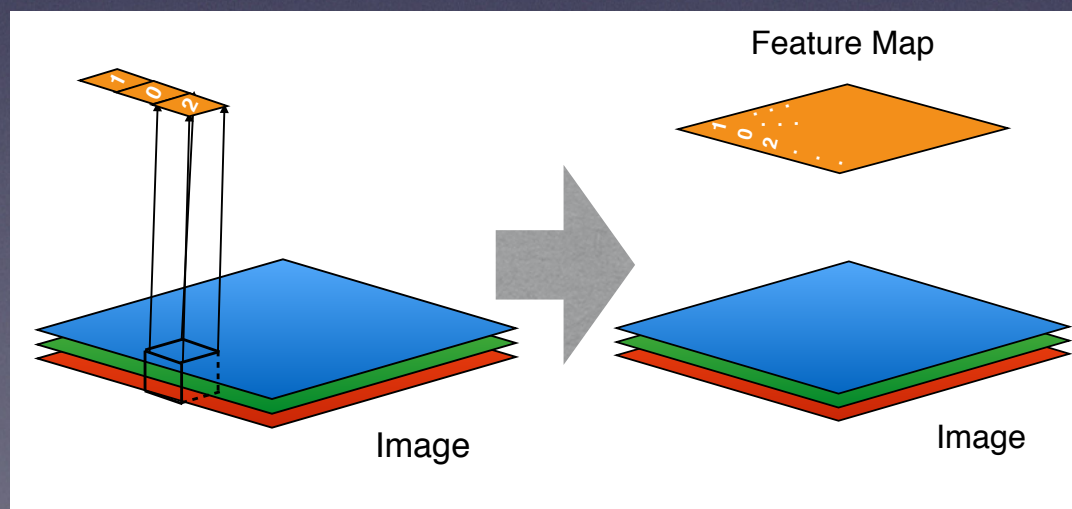
# Quick Recap on CNN

CNN is a neural network formed with multiple convolution layers of neurons



$$f_{i,j}(X) = \sigma(W_i \cdot X_j + b_i),$$

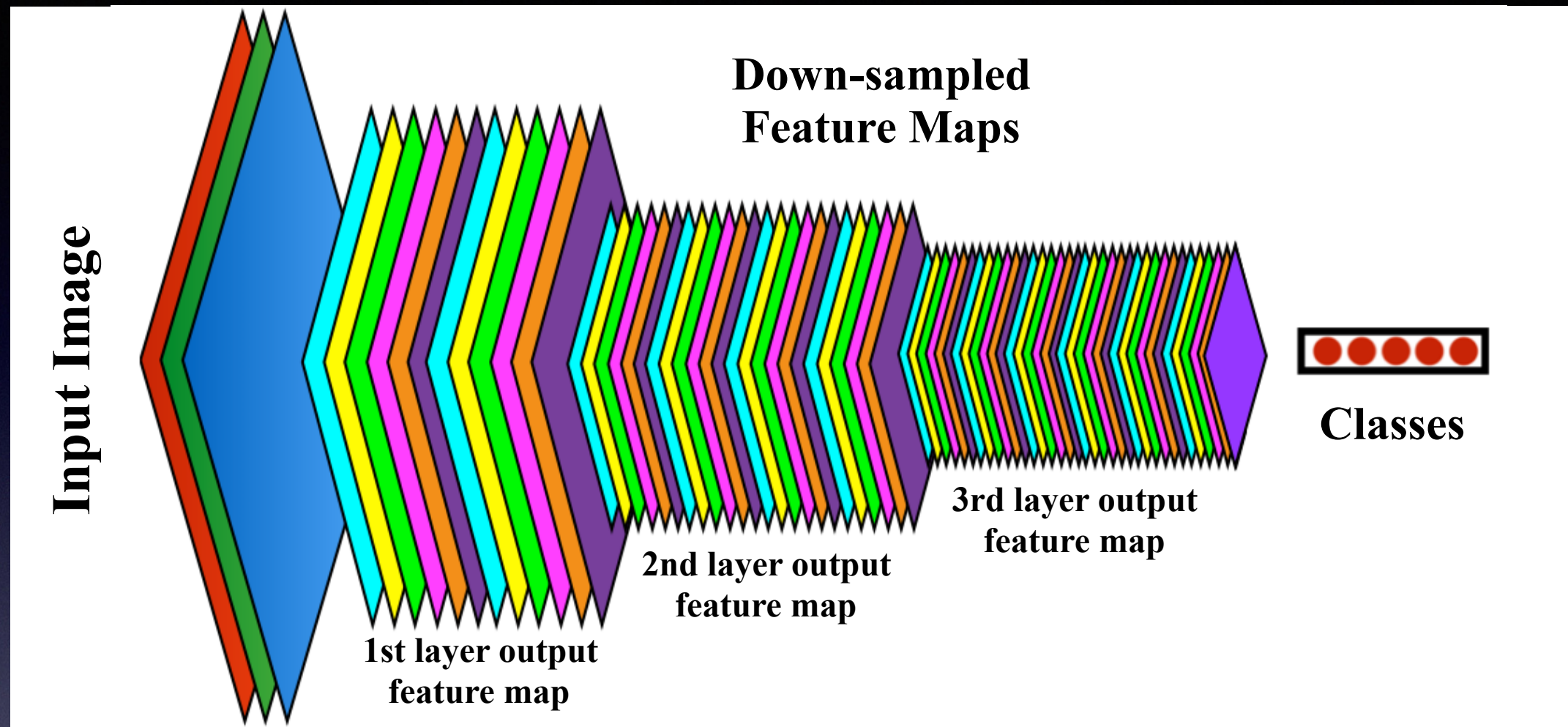
Activation of a neuron depends on the element-wise product of 3D weight tensor with 3D input data and a bias term



Each **filter** (neuron) translates over 2D space to process the whole input, producing a “*feature map*”.



# Quick Recap on CNN



## CNN for image classification

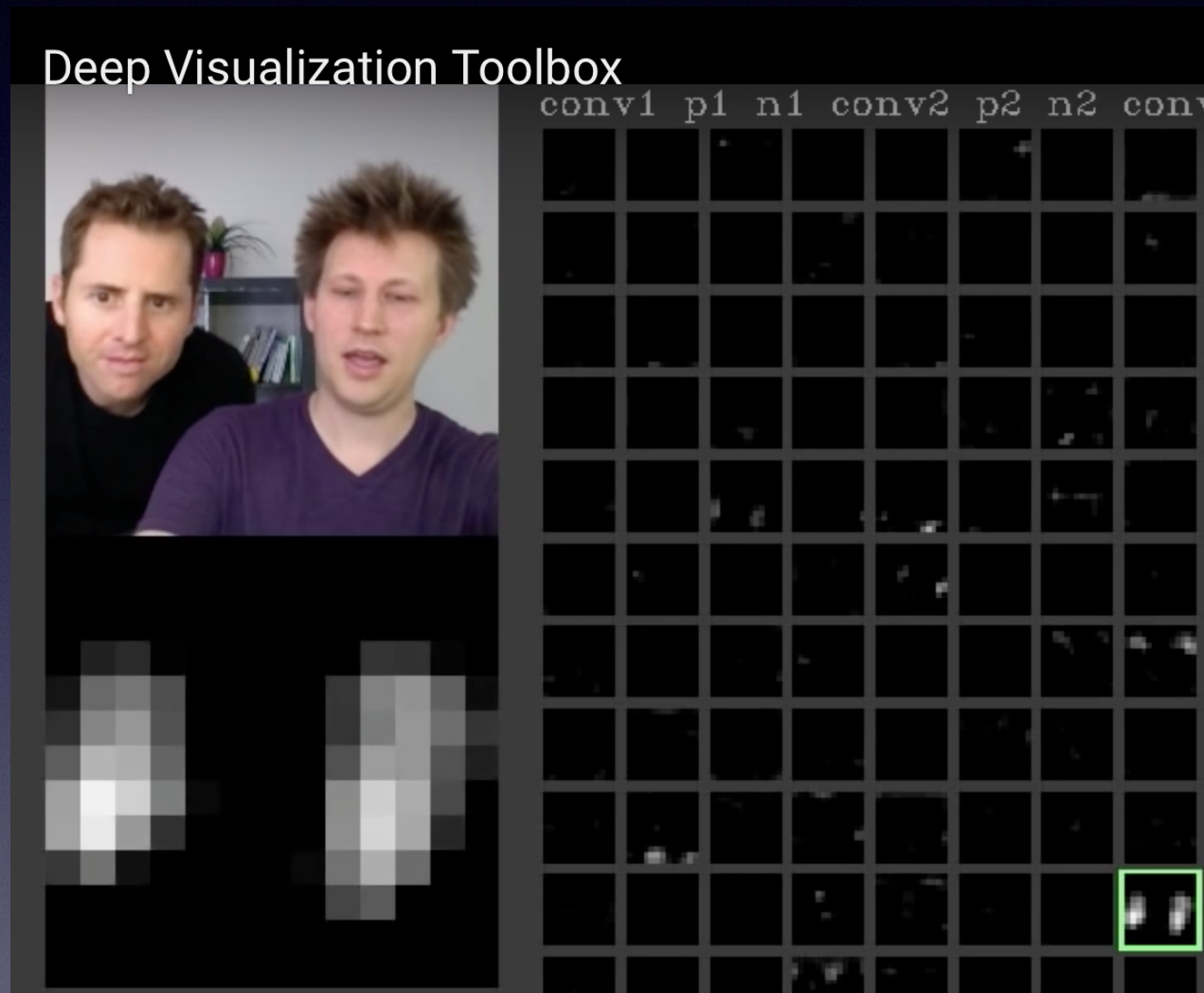
- **Goal:** provide a single label for the whole image
- **How:** transform the higher spatial resolution input (i.e. image) into a vector of image features, ultimately a 1D array of feature parameters useful for the whole image labeling, by a successful chain of convolutional and pooling operations.



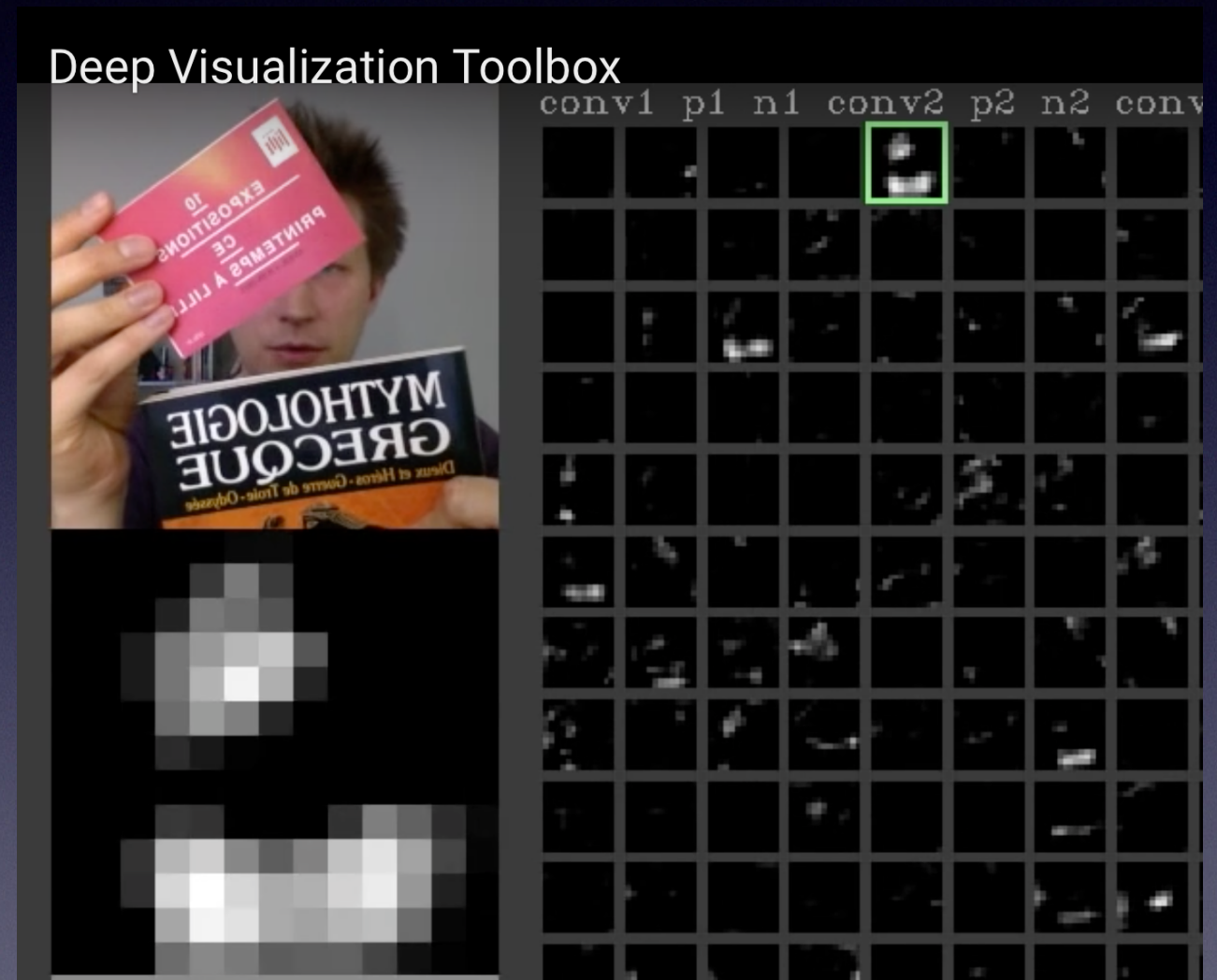
# Quick Recap on CNN

## Feature map visualization example

- <https://www.youtube.com/watch?v=AgkfIQ4IGaM>



Neuron concerning face



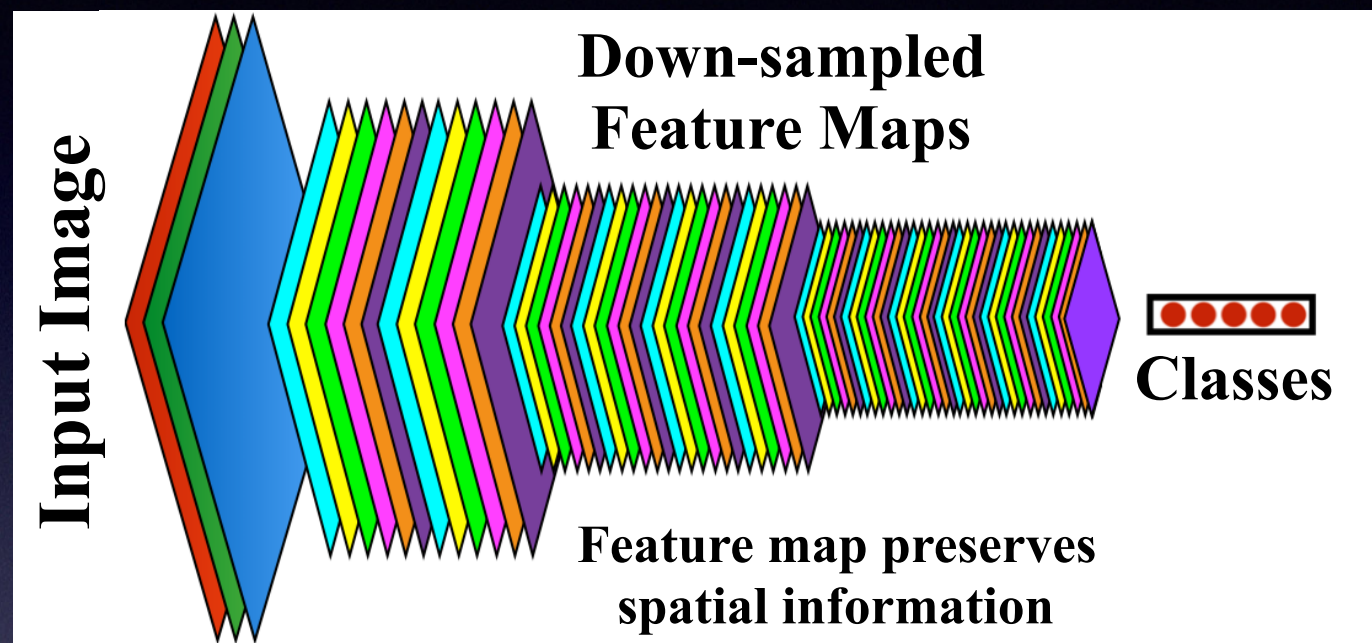
Neuron loving texts



# Semantic Segmentation Network

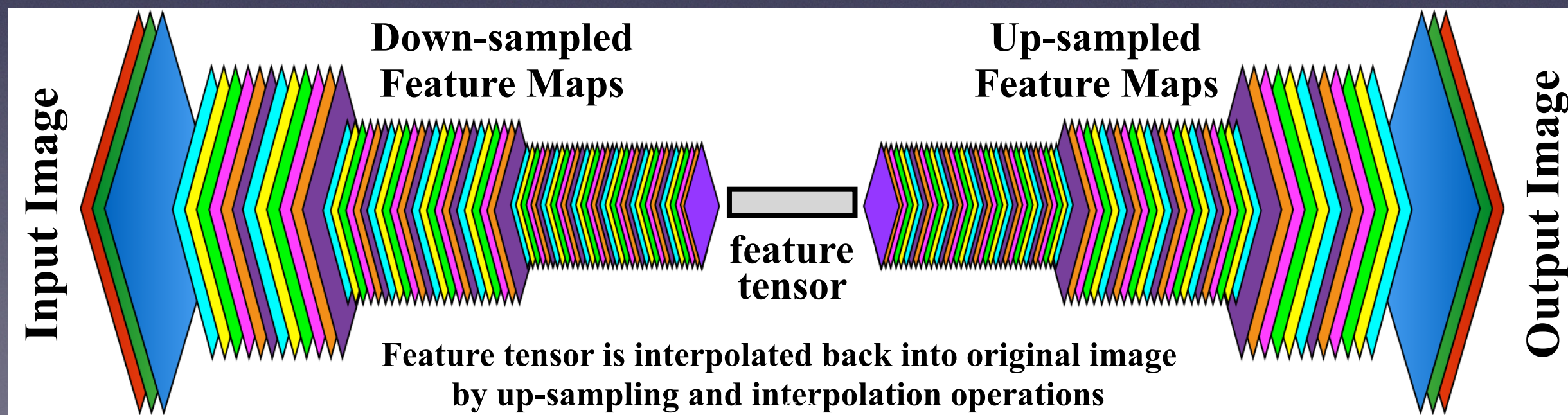
How is it different from *Image Classification*?

Example CNN for Image Classification



- Classification network reduces the whole image into final “class” 1D array
- SSNet, after extracting class feature tensor, interpolates back into original image size

Example CNN for Semantic Segmentation

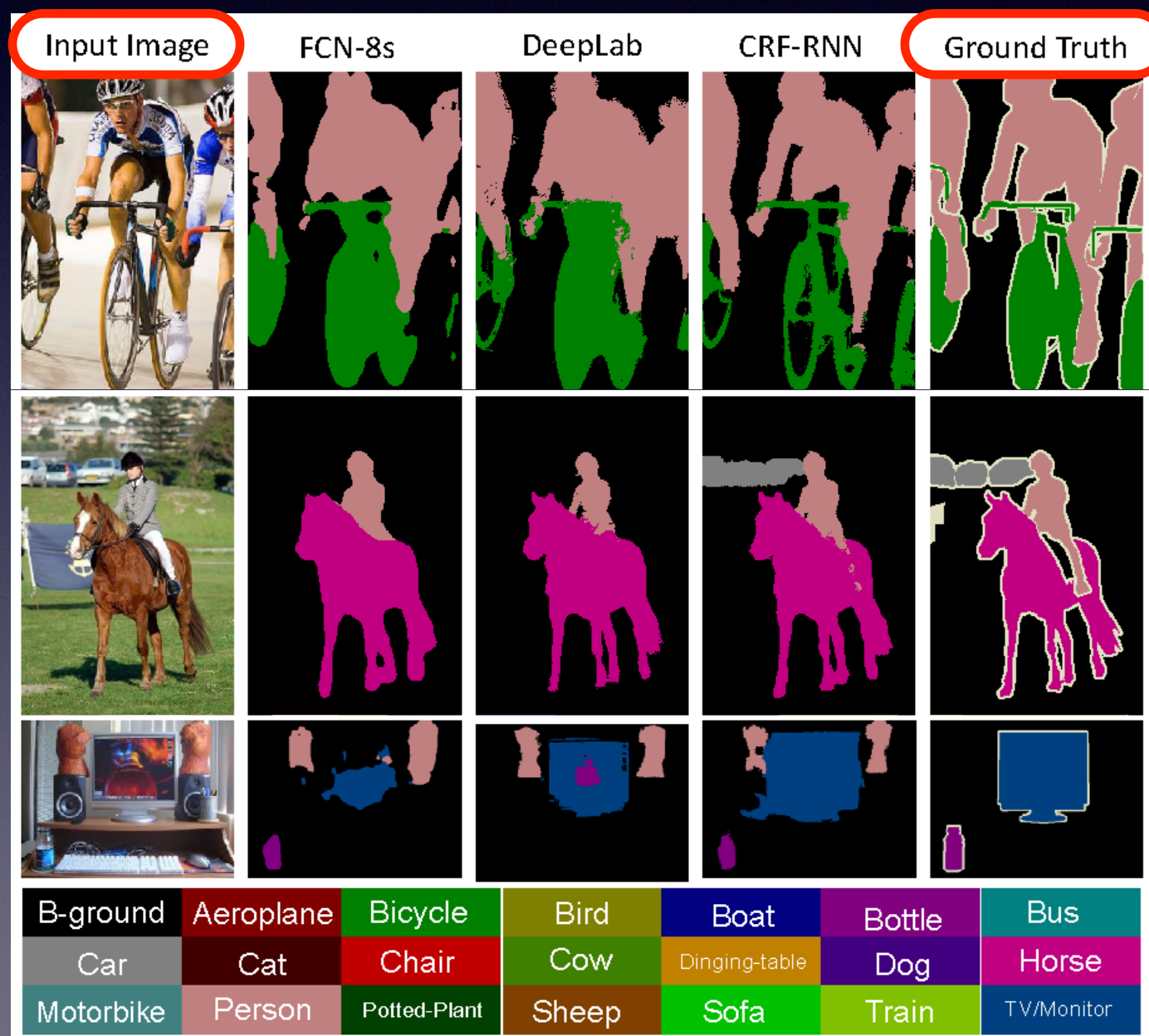




# Semantic Segmentation Network

## How to train SSNet?

*Supervised training*, like image classification  
But the *labels (and errors) are pixel-wise*





# Semantic Segmentation Network



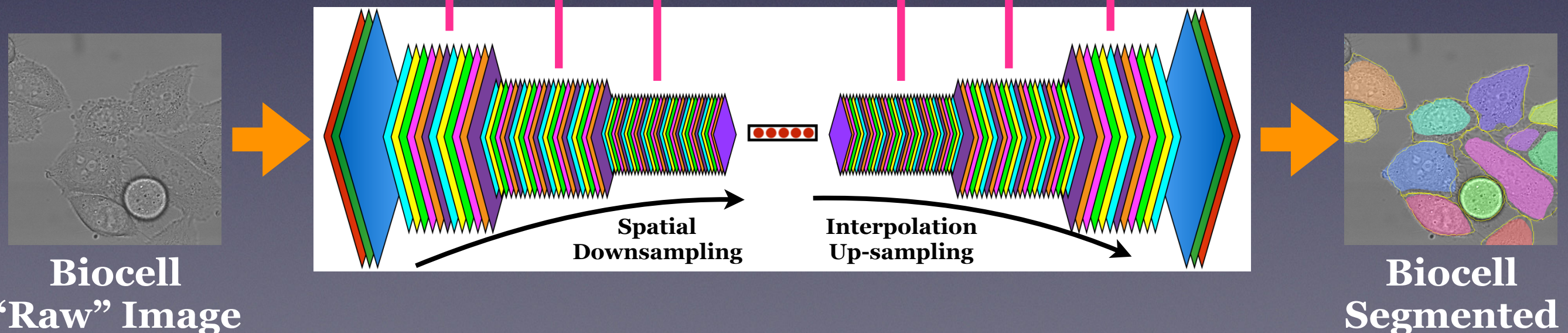


# SSNet UB Analysis

## U-Net + ResNet module design

- Developed for bio-medical research
  - ... to mask pixels of living cells (for automatized image analysis)
  - Designed for better spatial accuracy to get cells' boundary correct
- Use ResNet architecture for convolution layers

“U” shape if formed by concatenating feature maps



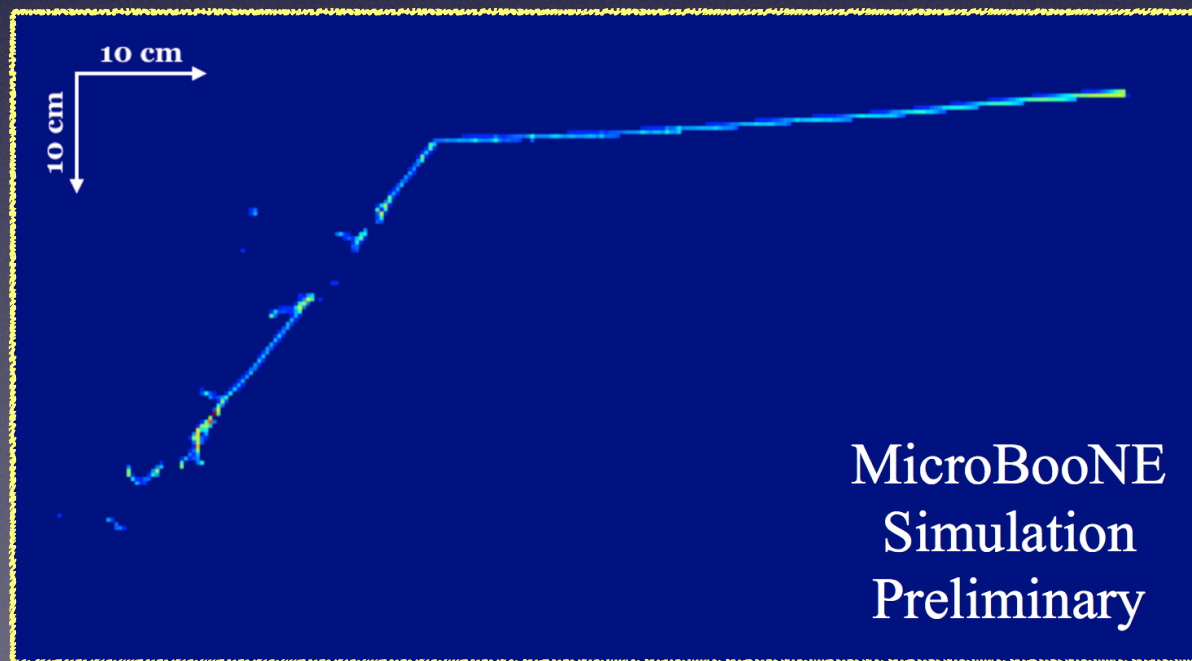
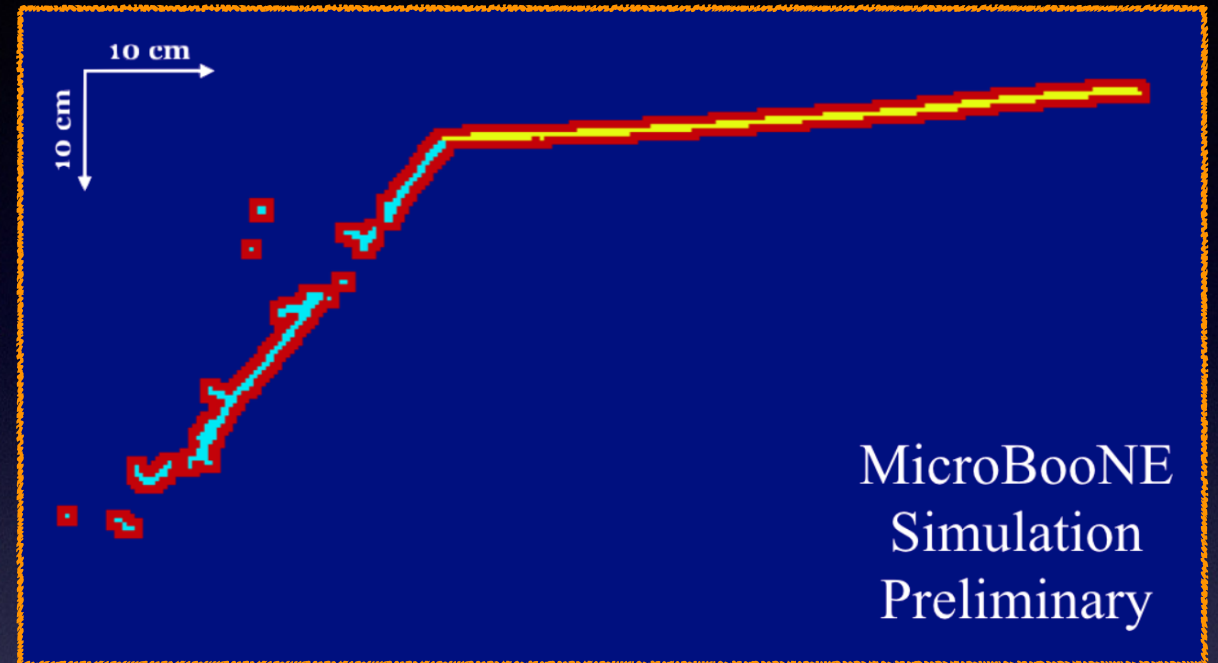


# Training SSNet

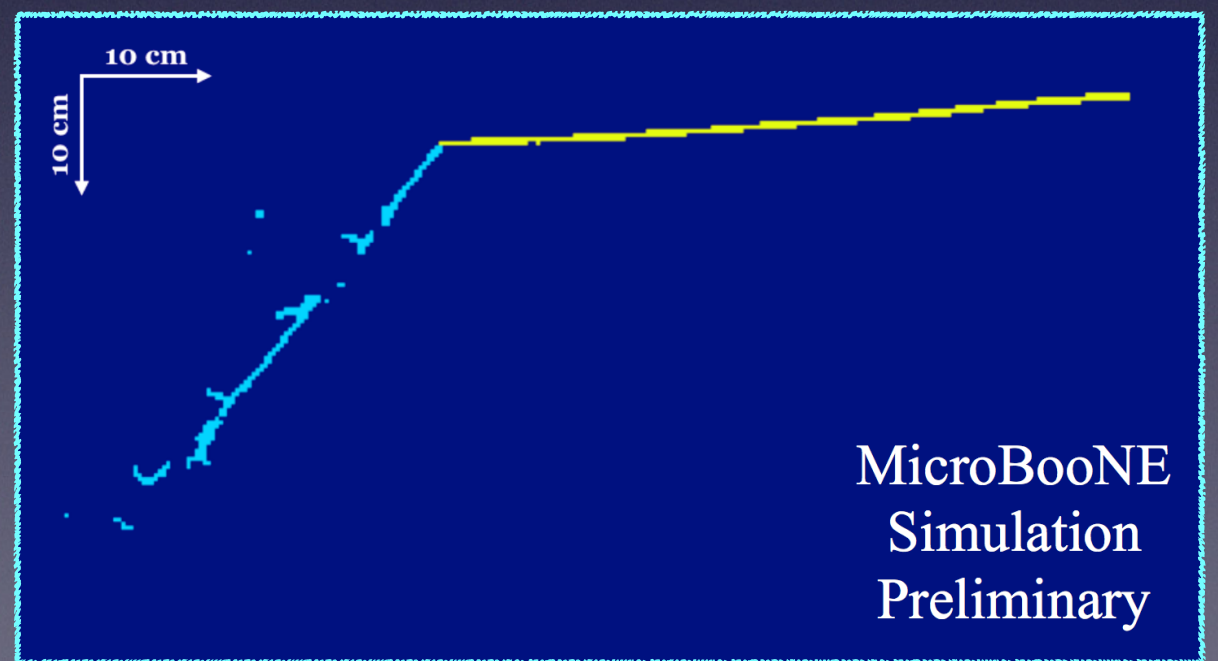
## “Pixel Weight” for training

- Assign pixel-wise “weight” to penalize mistakes
- Weights inversely proportional to each type of pixel count
- Useful for LArTPC images ( low information density)

## “Weight” Image (for training)



**Input Image**

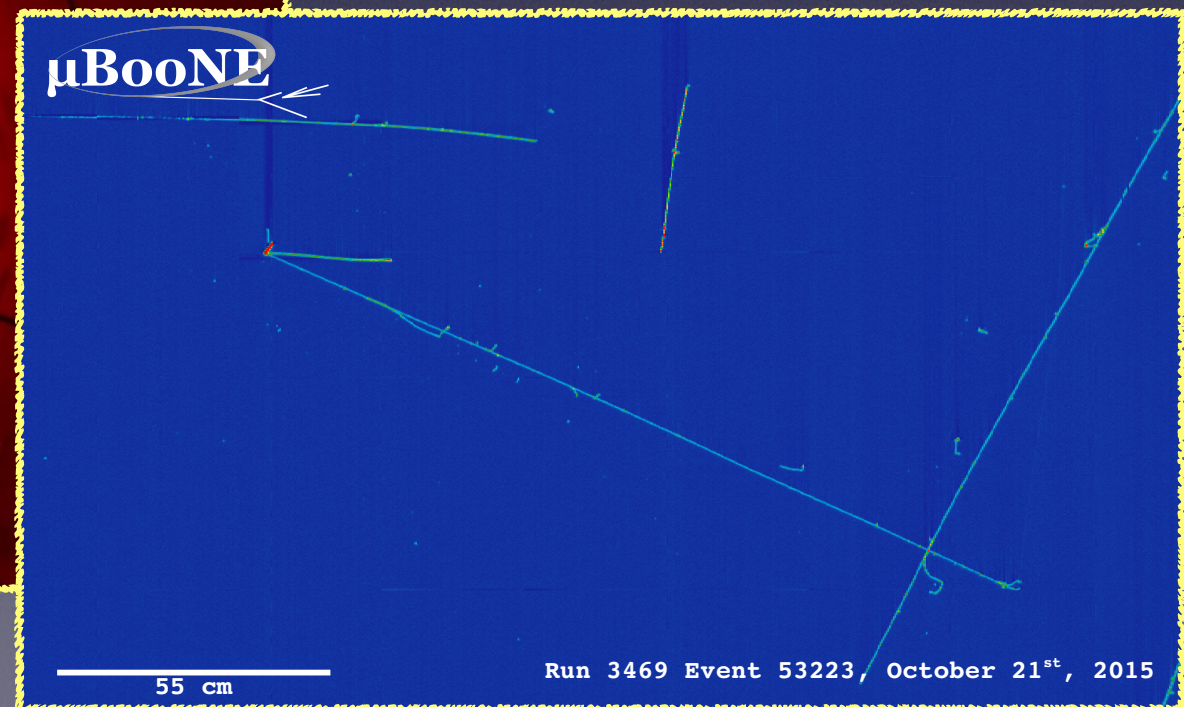


**“Label” Image  
(for training)**





# MicroBooNE LArTPC Detector Quick Guide





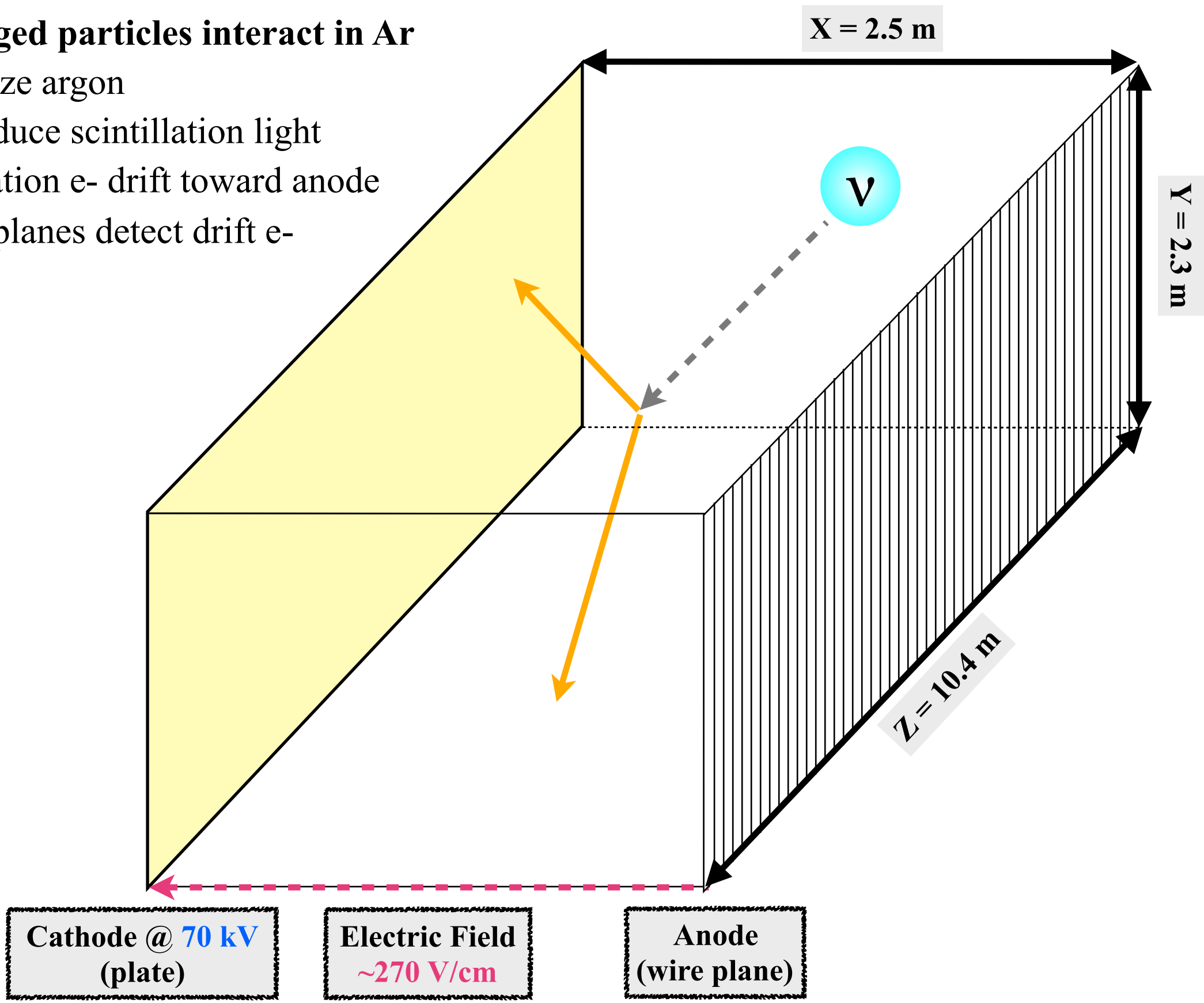
# TPC Working Principle (I)

## 1. Charged particles interact in Ar

- Ionize argon
- Produce scintillation light

## 2. Ionization e- drift toward anode

## 3. Wire planes detect drift e-





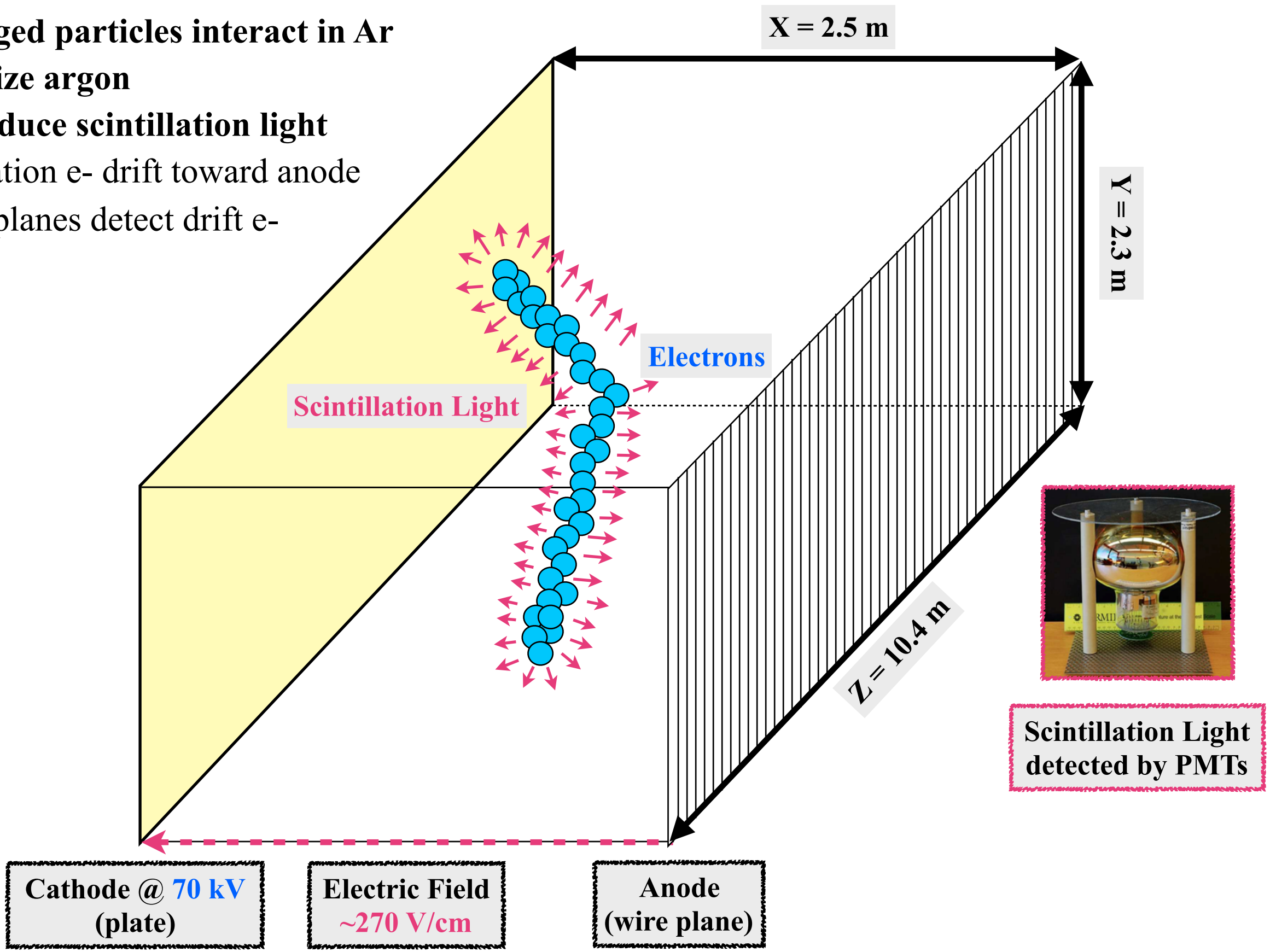
# TPC Working Principle (II)

## 1. Charged particles interact in Ar

- Ionize argon
- Produce scintillation light

## 2. Ionization e- drift toward anode

## 3. Wire planes detect drift e-

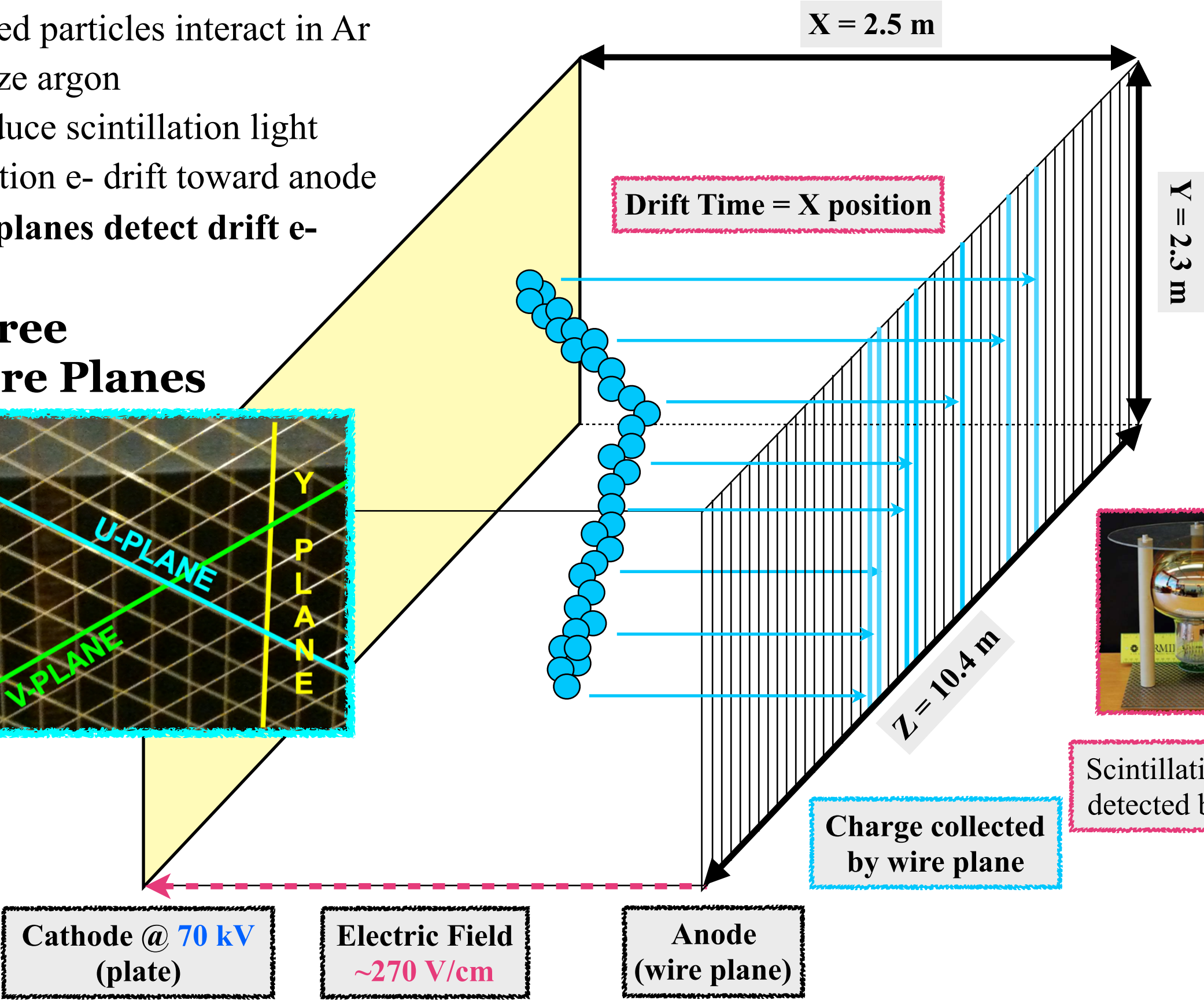
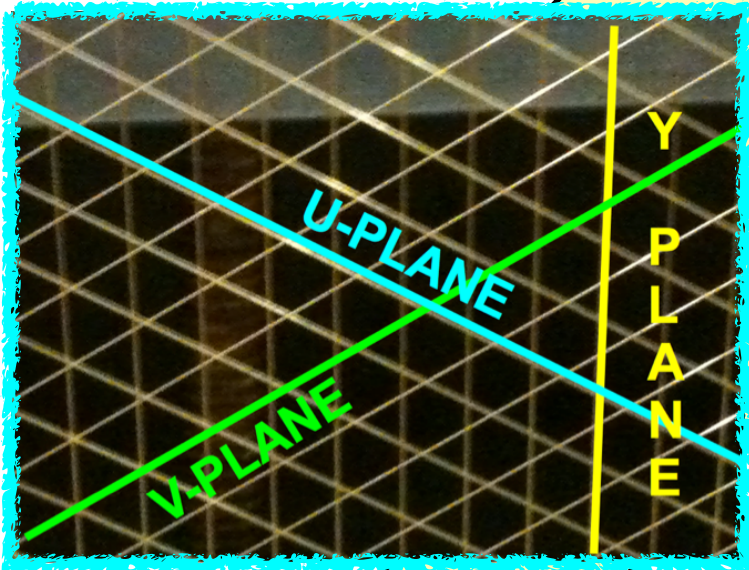




# TPC Working Principle (IV)

1. Charged particles interact in Ar
  - Ionize argon
  - Produce scintillation light
2. Ionization e- drift toward anode
3. Wire planes detect drift e-

## Three Wire Planes



Scintillation Light detected by PMTs



# MicroBooNE TPC & Cryostat



**Anode Wire Plane**



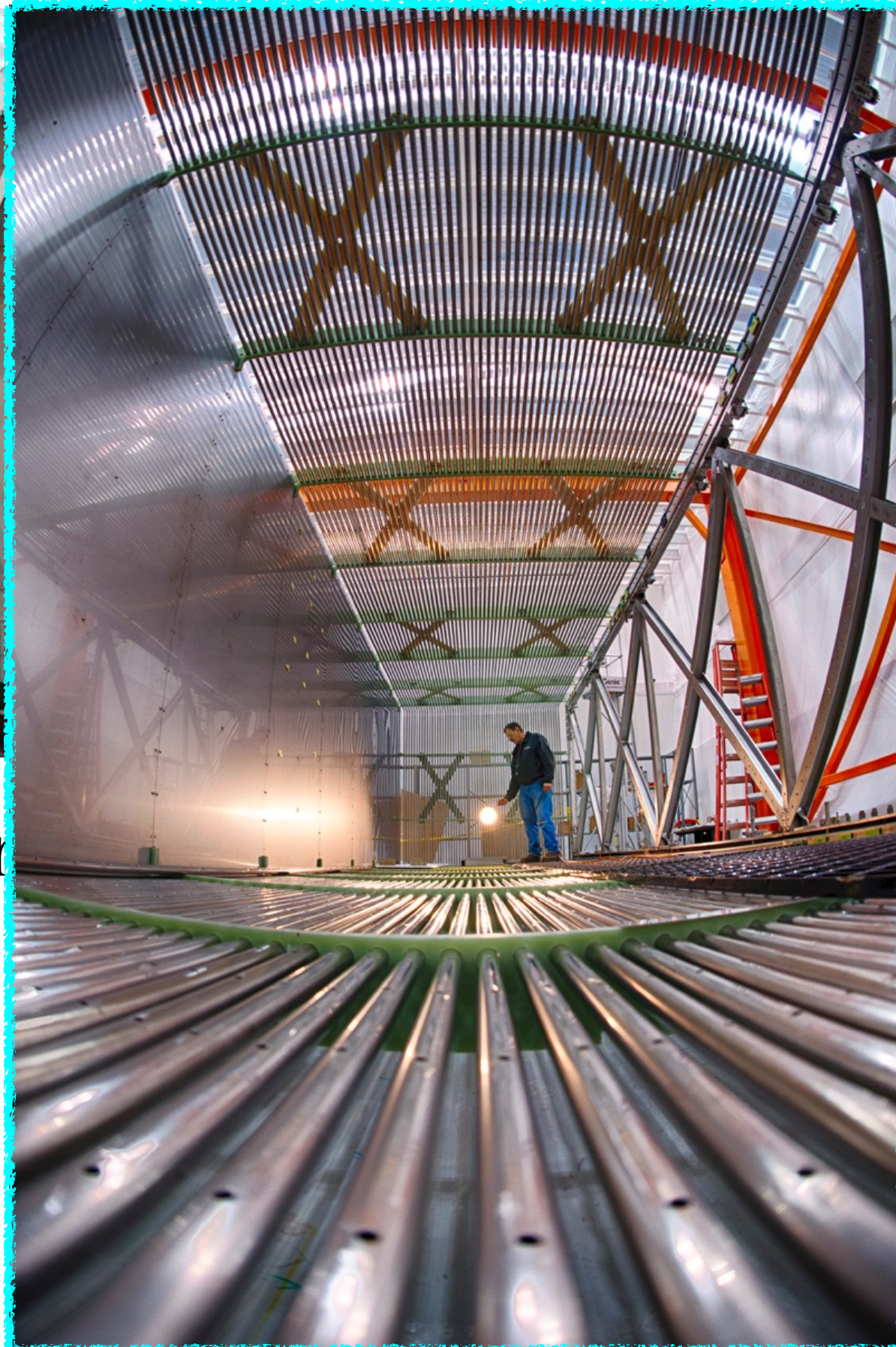
**Cathode Plate**



# MicroBooNE TPC & Cryostat



**Anode Wire**



**Anode Plate**



# MicroBooNE TPC & Cryostat





# What's Deep Learning?



# Deep Learning ... What & Why

## What is *Deep Learning*?

- A buzz word to gain attention from job recruiters

Dear Kazuhiro Terao,

Happy Friday! I wanted to reach out to you because my client, a Proprietary Global Market Maker, trading on major financial markets around the world, is looking for a Data Scientist to join their team. They are looking for an experienced Data Scientist to join their Chicago Office.

They would like someone who has 3 + years of work or post-doc experience applying Reinforcement Learning or Deep Learning techniques and is proficient with Python or C.

**Hey, lessons learned!**



# Deep Learning ... What & Why

## What is **Deep Learning**?

- ~~A buzz word to gain attention from job recruiters~~
- Collective term for **neural network (NN) architectures**
  - Consists of **large number of layers (*deep*)**
  - **Breakthrough in computer vision (2012)**, now AI and more...
- It is **a non-linear functional approximation**
  - NN with millions of parameters to **map *input* to *output* space**

Not in the talk:

What's different from "traditional" NN?

How is it better?

(Feel free to ask later or during questions)



# Deep Learning ... What & Why

## What is *Deep Learning*?

- ~~A buzz word to gain attention from job recruiters~~
- Collective term for **neural network (NN) architectures**
  - Consists of **large number of layers (*deep*)**
  - **Breakthrough in computer vision (2012), now AI and more...**
- It is **a non-linear functional approximation**
  - NN with millions of parameters to **map *input* to *output* space**

## Why *Deep Learning*?

- LArTPC image data analysis = feature recognition
- Explore the technique for reconstruction/analysis
  - [1st MicroBooNE collaboration paper on CNN](#)