

**3D Pattern Recognition**  
Using  
**Deep Neural Networks**  
for  
**Liquid Argon Time Projection Chambers**  
(LArTPCs)

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*SLAC National Accelerator Laboratory*

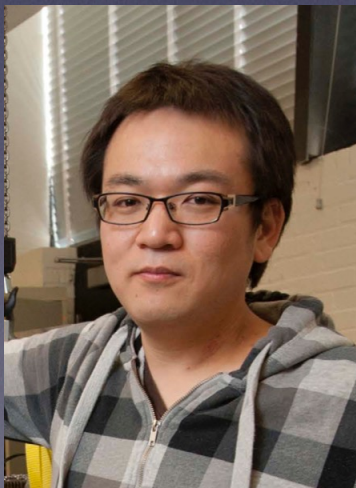


# Introduction

## This **workshop's charge**:

This meeting will focus on the options of the magnet, comparison of the performance between the low-mass tracking options, electromagnetic calorimeters, and gain better understanding of the scientific potential of the 3-d scintillator detector and the PRISM concept in DUNE.

**Disclaimer:** this talk does not contain any “result,” but my research focus = “alternative” data reconstruction path using machine learning technique



+20 lbs.  
after Ph.D

**About me:** Kazuhiro Terao (Kazu), 4 yrs in MicroBooNE, just joined SLAC and DUNE ND.

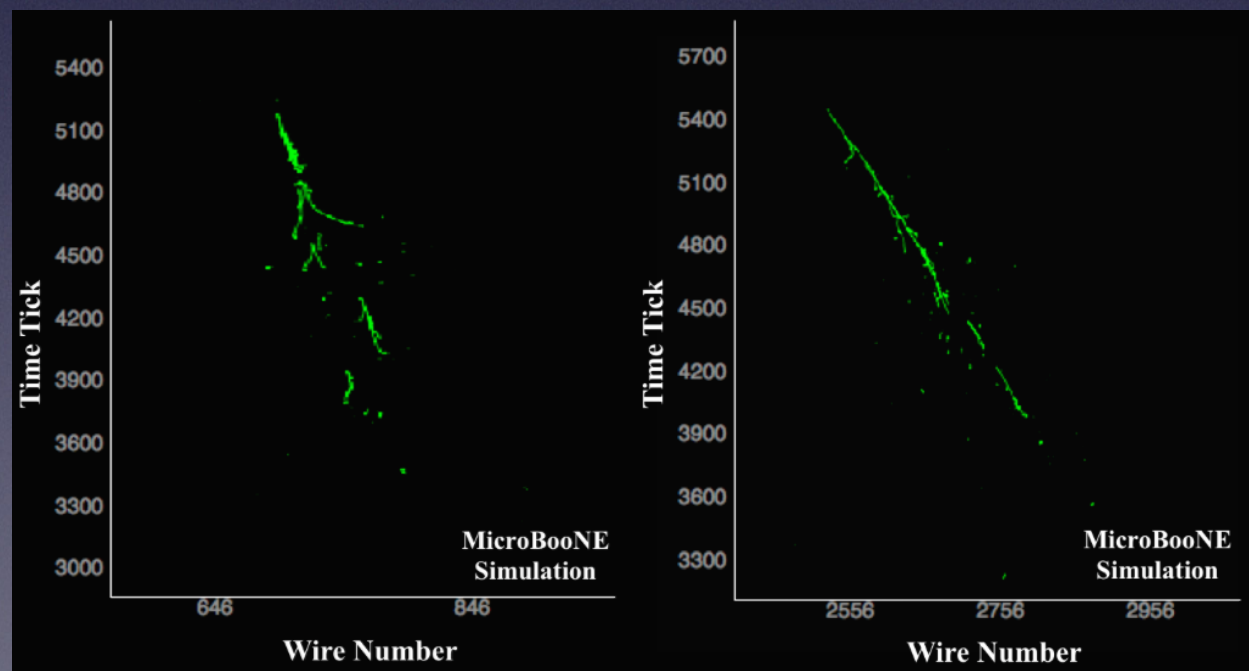
**Interest:** deep neural network (DNN) technique R&D for LArTPC detectors



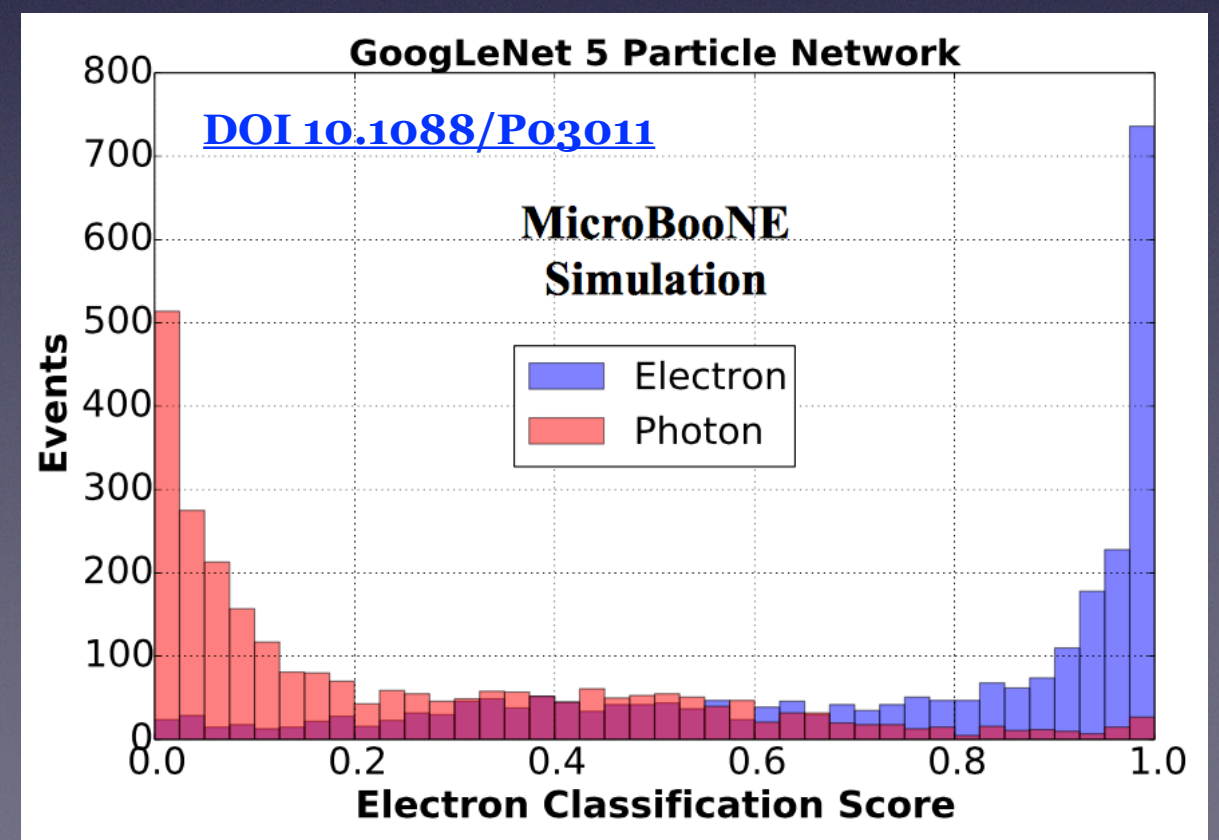
# DNN for LArTPC Data Analysis

## Why DNN?

- Modern solution for pattern recognition in computer vision (CV), the heart of LArTPC reconstruction
- Machine learning = natural support for algorithm optimization. Can combine many tasks (end-to-end).
- Works for LArTPC: demonstration in MicroBooNE



electron vs. gamma

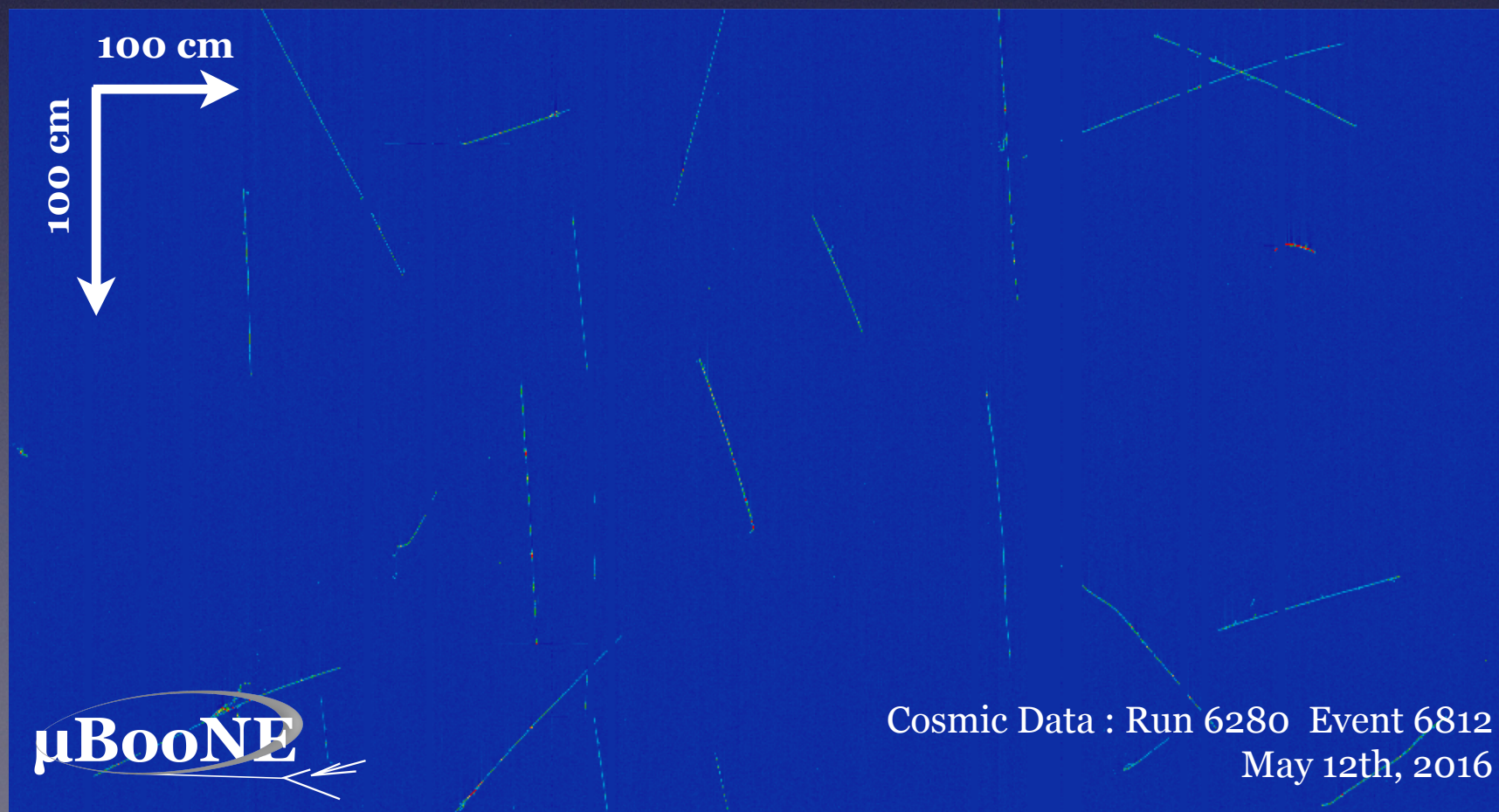




# DNN for LArTPC Data Analysis

## Popular application: image classifier

- First applications in the field
  - **NoVA's** neutrino event classifier, **MicroBooNE's** signal (neutrino) vs. background (cosmic) classifier & particle ID
- **Concern:** A huge information reduction step (millions of pixels down to 1 variable!) makes DNN a big black box.



MicroBooNE  
Collection Plane

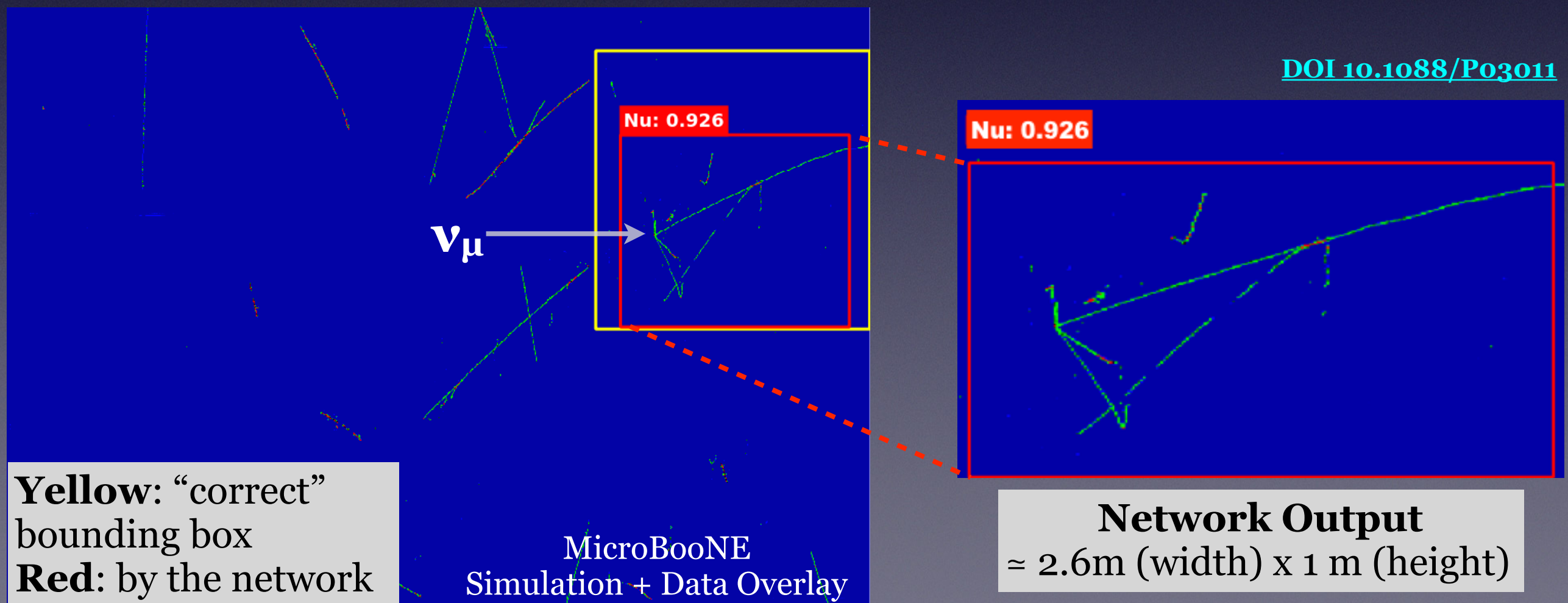
3456 wires x 9600 ticks  
≈ 33e6 pixels (variables)



# DNN for LArTPC Data Reconstruction

## Reconstruction Using DNN

- **True strengths:** learns & extracts essential features in data for problem solving.
- Beyond image classification: can extract “features” in more basic physical observables, like “vertex location”, “particle trajectory (clustering)”, etc. ... “**reconstruction**”!



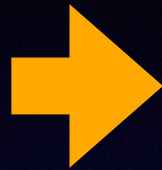


# DNN for LArTPC Data Reconstruction

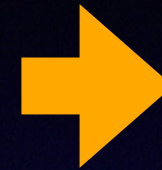
## Development of chain

- Develop DNN to perform reconstruction step-by-step

Pre-processing  
(noise removal, etc)

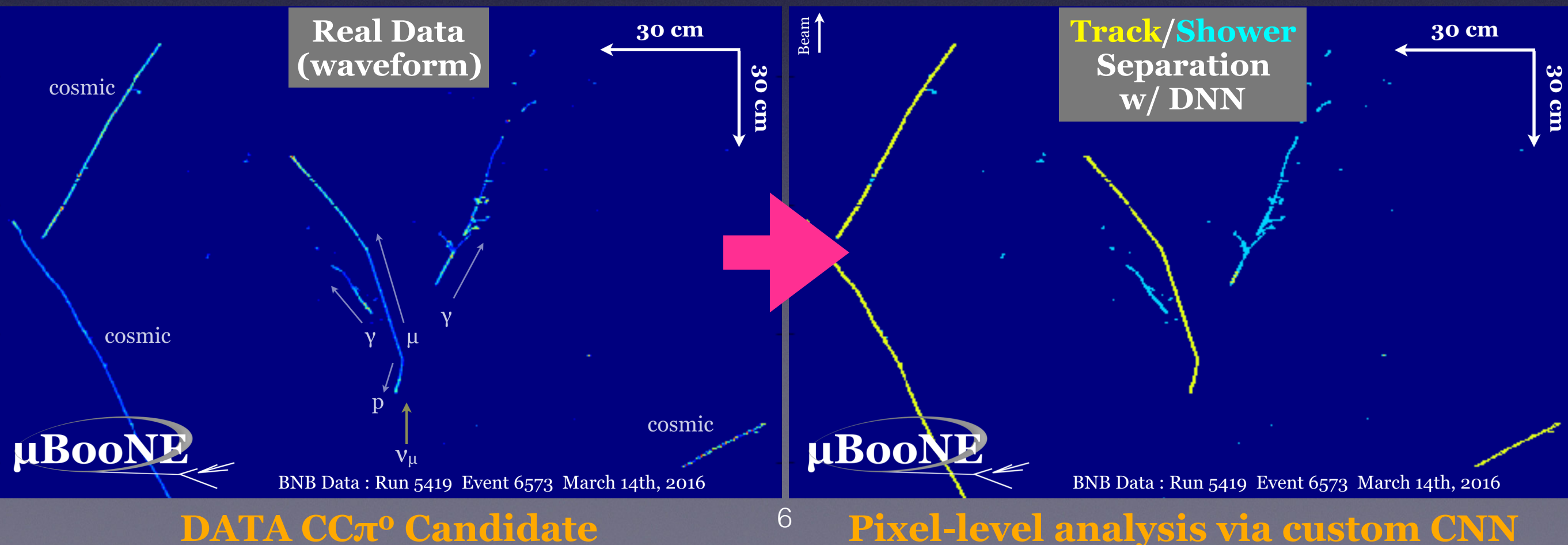


Vertex Detection  
Particle Clustering



Particle  
Identification

- Data/simulation validation at each stage
- Whole chain optimization (end-to-end training) by combining multiple networks

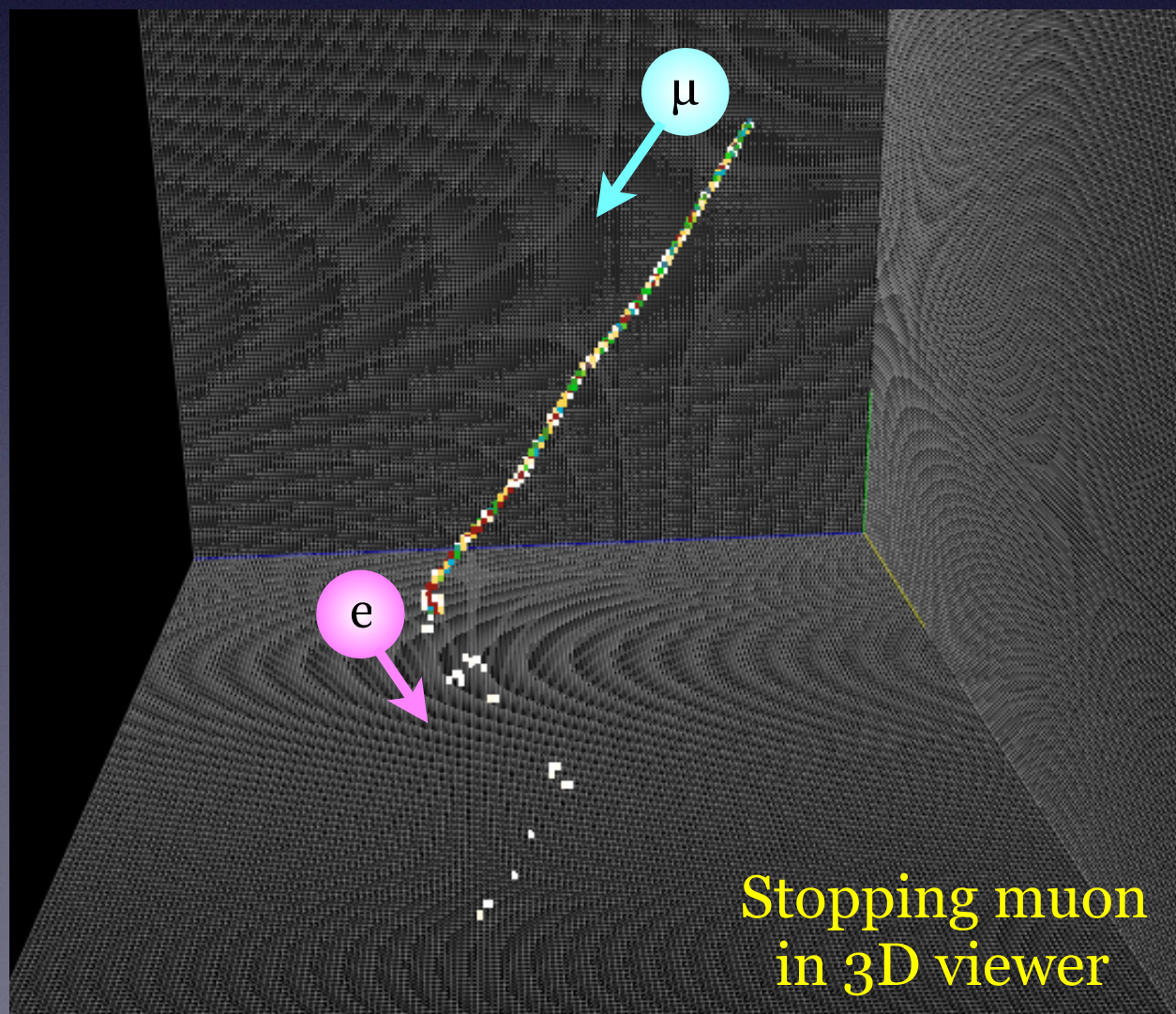




# Development Toward 3D Reconstruction

## Current focus: 2 types of DNNs

- **Smoothing/Filtering**: makes a better 3D voxel (point) prediction, remove/fixes “ghost points”
- **3D Pattern Recognition**: find 3D interaction vertex + particle clustering of 3D charge depositions



## Software Tools

**LArCV** ... standalone C++ software with extensive Python support for image and volumetric (2D/3D) data storage & processing. Fast data loading API to open source DNN softwares + Qt/OpenGL based 2D/3D data visualization

**DeepLearnPhysics** ... github group supports cross-experiment software and DNN architecture development ([link](#))



# Current Status & Near Term Milestones

- **Finished 3D voxel data support**
  - Trained 3D DNN for single particle ID (same as UB paper) with 1cm cubic voxels for  $\approx 2 \text{ m}^3$  volume (works)
- **3D vertex finding** with track/shower separation
  - Immediate target, training starts this week
- **3D voxel “smoothing”** network
  - Interest from wire detectors, clear path forward
  - Need to understand more for multiplex pixel detectors
- **3D particle clustering** network
  - Requires 3D object detection network to work first
  - After 3D vertex finding network

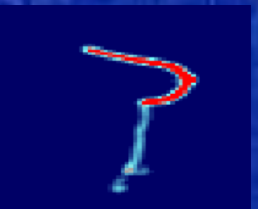
Plan to **benchmark performance with ArgonCUBE** (**LArPix/PixLAr**) data as we go. Plan to **utilize simulation tools by LBL** (**Dan & Chris**)



$\mu$ BooNE

Thank you  
for  
your attention!

Any Questions

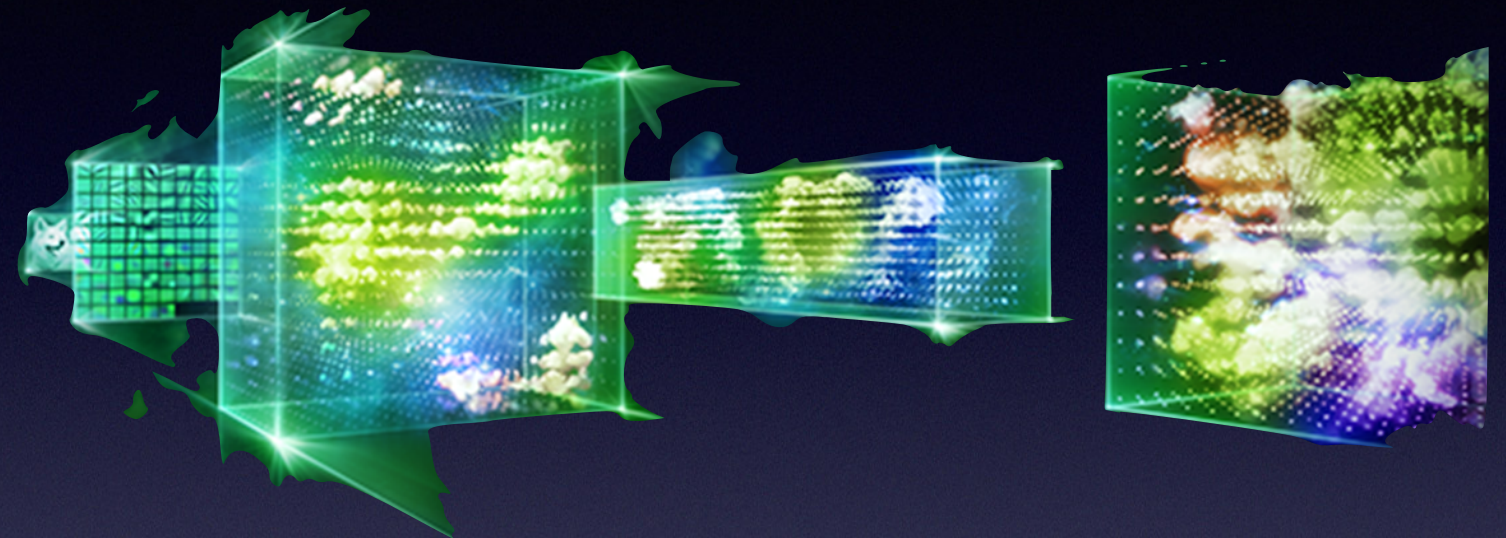
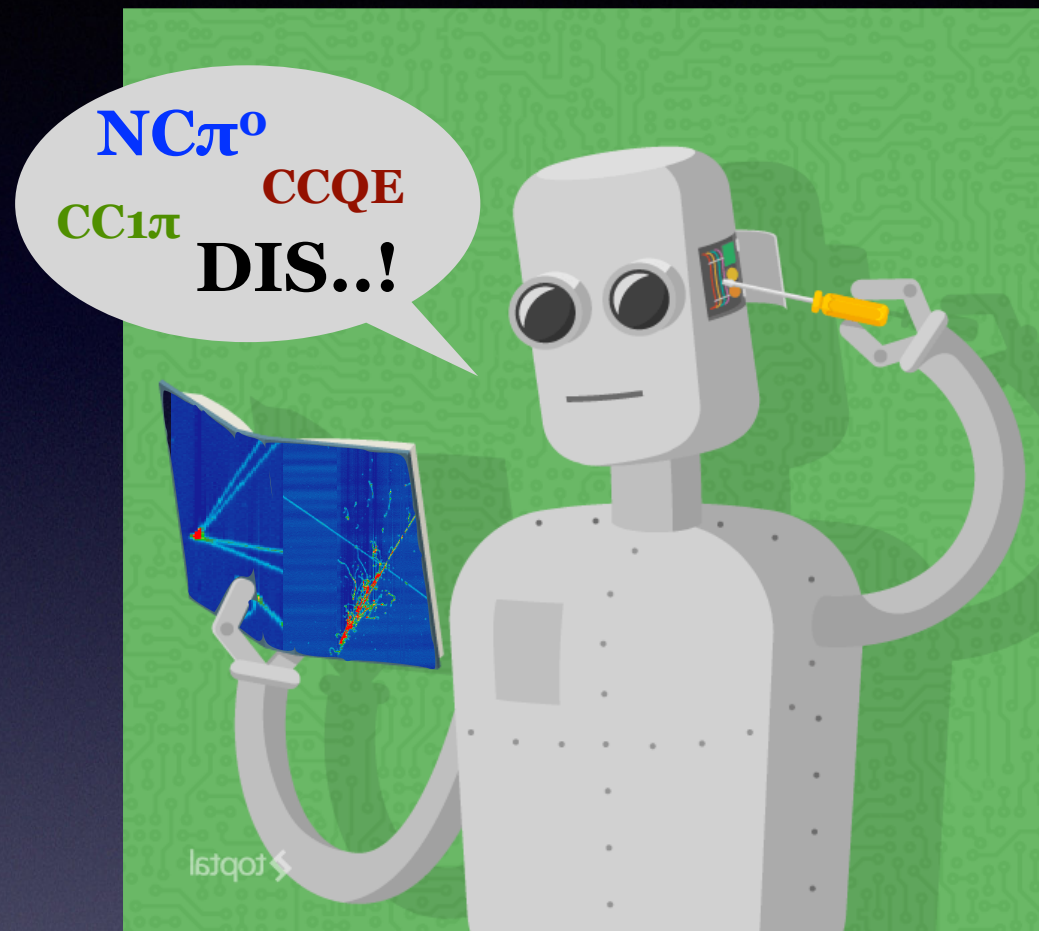


Run 3493 Event 41075, October 23<sup>rd</sup>, 2015



# Back ups





# Convolutional Neural Networks How Does It Work?

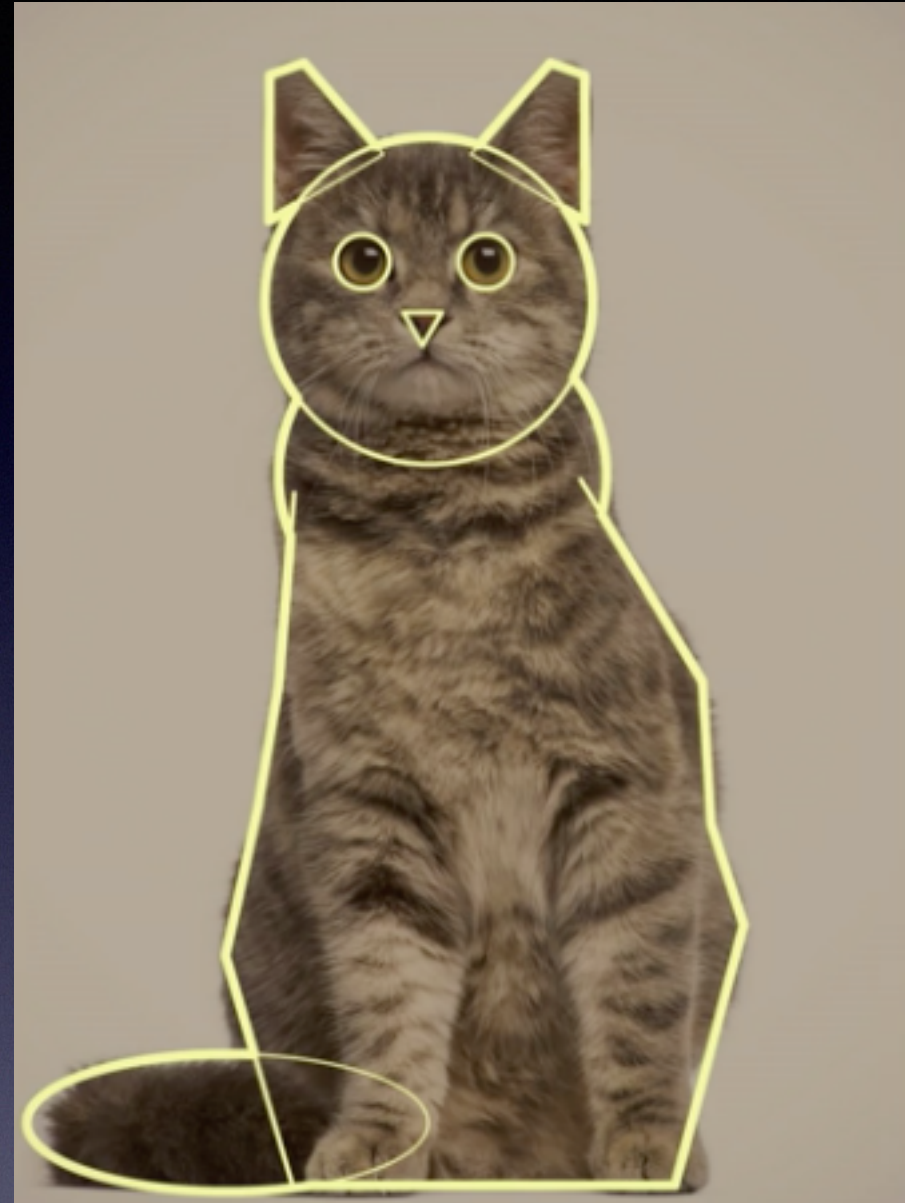


# Image Analysis: Identifying a Cat





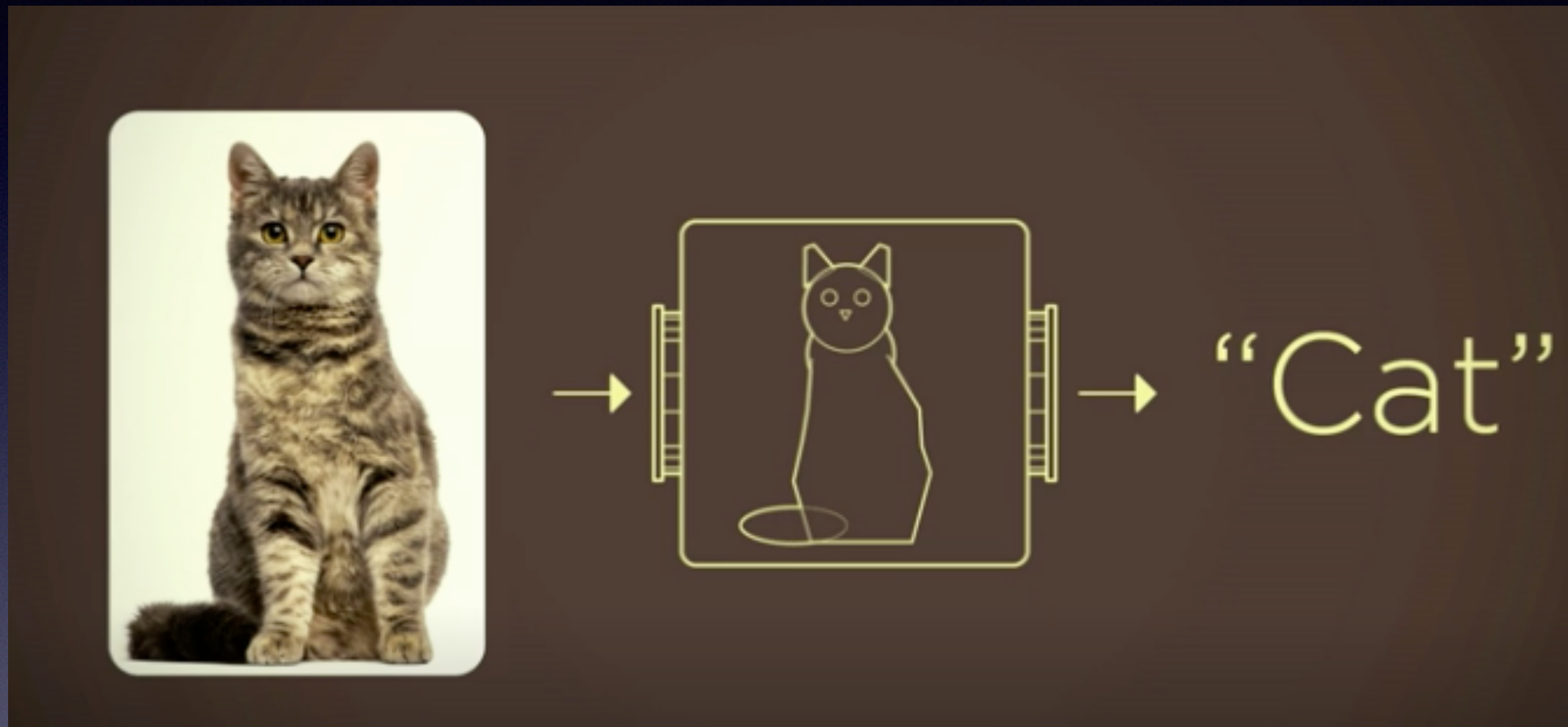
# Image Analysis: Identifying a Cat



A cat = collection of certain shapes  
(object modeling in early days)



# Image Analysis: Identifying a Cat



A cat = collection of certain shapes  
(object modeling in early days)



# Image Analysis: Identifying a Cat

**... how about this?**



Take into account for a view point



# Image Analysis: Identifying a Cat

**... how about this?**



... and maybe more shapes



# Image Analysis: Identifying a Cat

... gets way worse ...



... I (a human) am *never taught exactly how cat should look like* by anyone, but I somehow *can recognize them really well*.



# Image Analysis: Identifying a Cat

**... gets way worse ...**

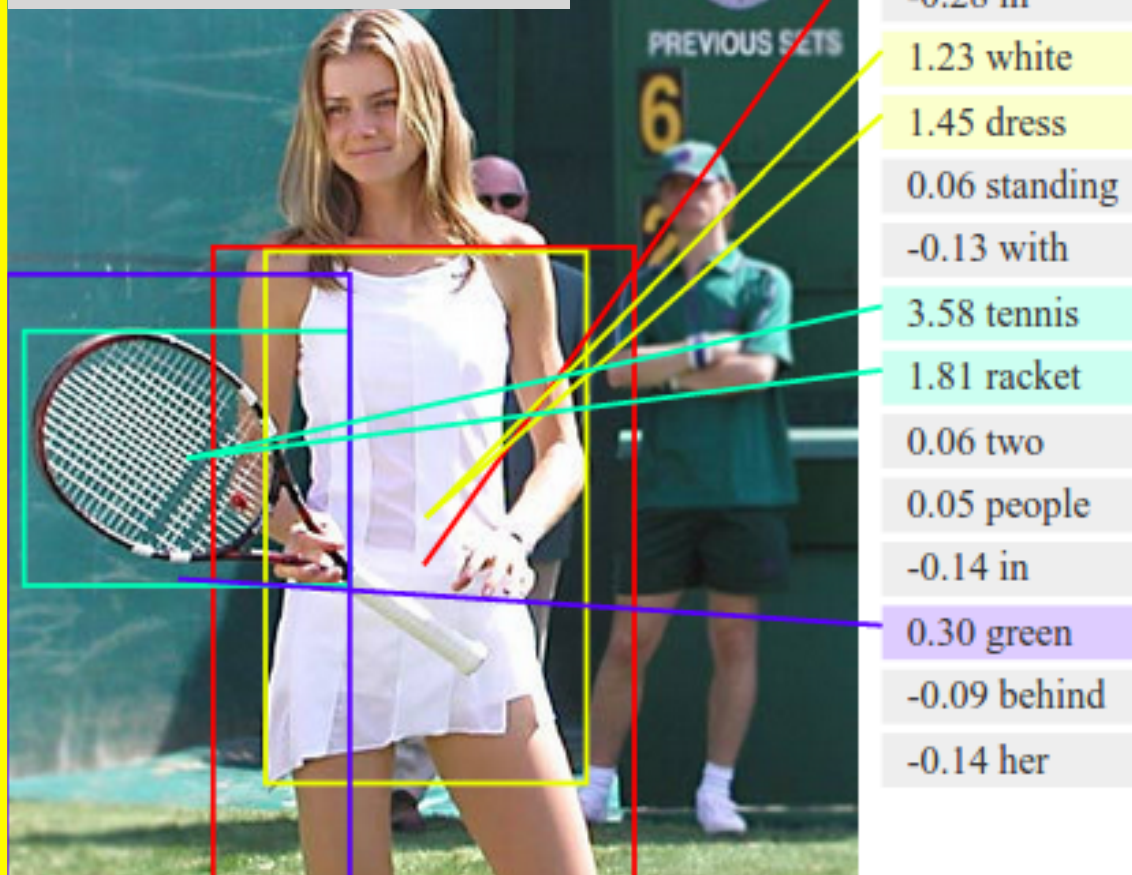


A breakthrough: a machine learning algorithm that forms (trains) itself by sampling a large set of data to “learn” how cat looks like (distribution)



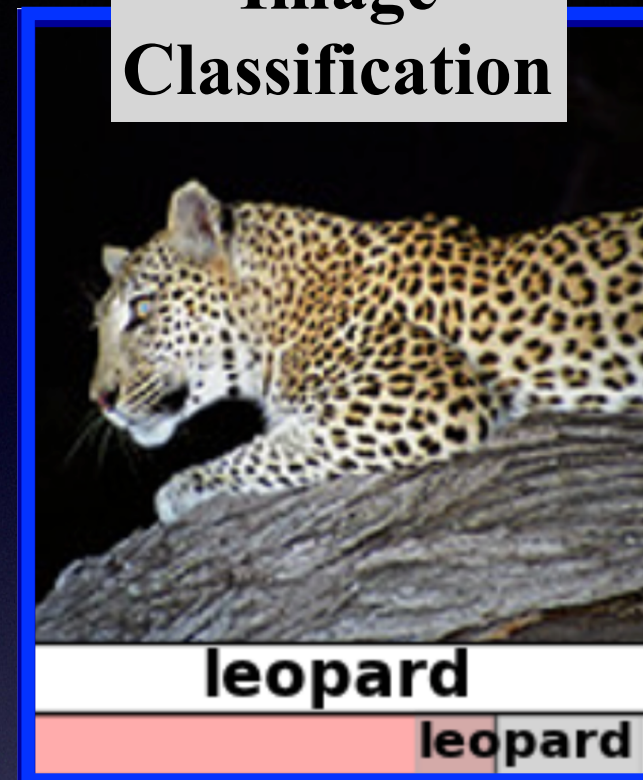
# Introduction to CNNs (I)

## Context Analysis

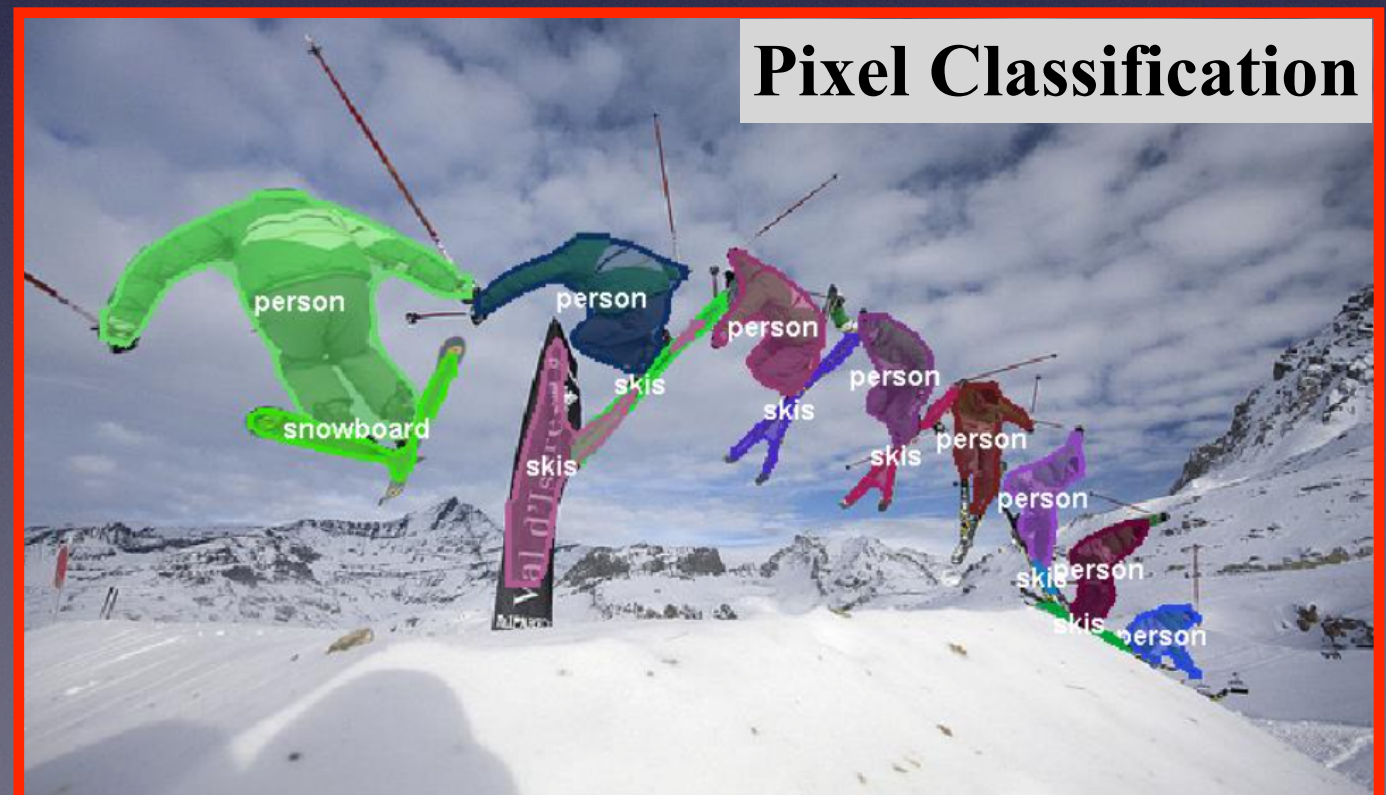


self-driving car,  
image captioning,  
playing a boardgame,  
... and more!

## Image Classification



## Pixel Classification



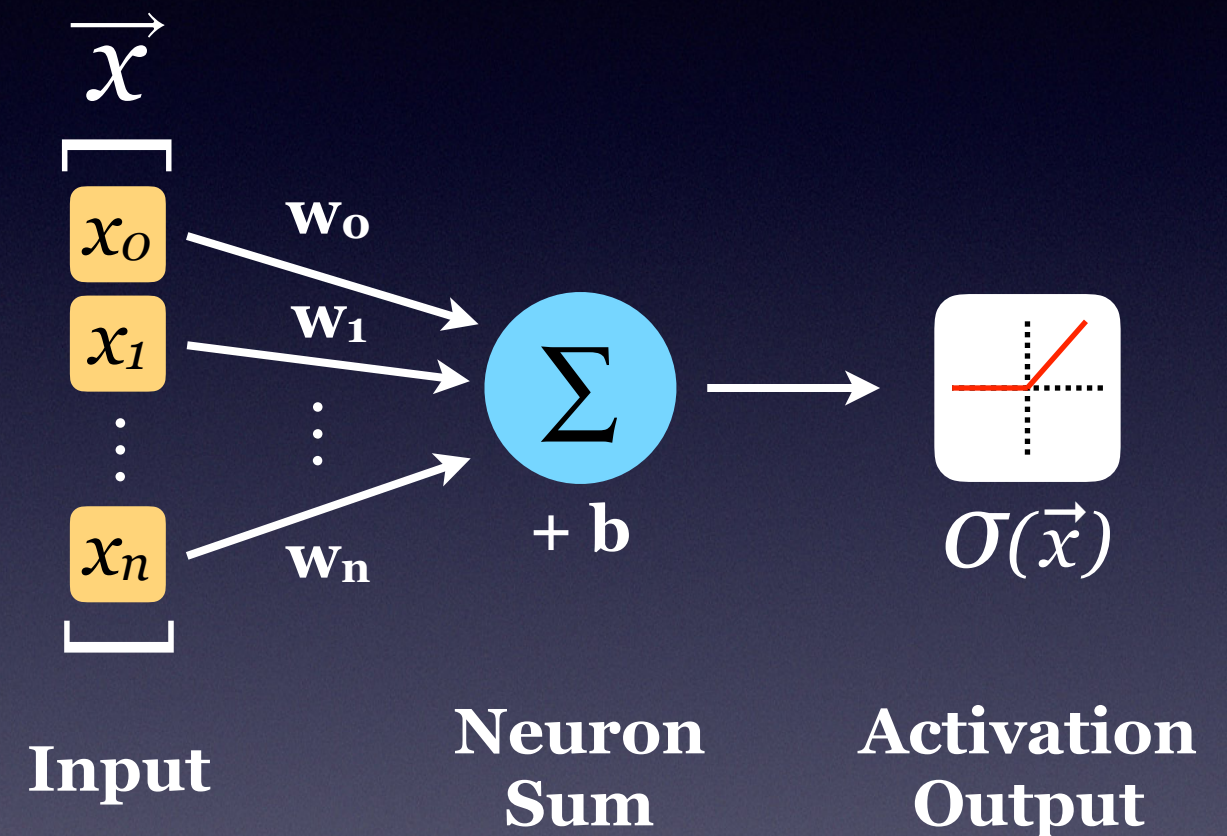


# Introduction to CNNs (II)

## Background: Neural Net

The basic unit of a neural net is the *perceptron* (loosely based on a real neuron)

Takes in a vector of inputs ( $x$ ). Commonly inputs are summed with weights ( $w$ ) and offset ( $b$ ) then run through activation.



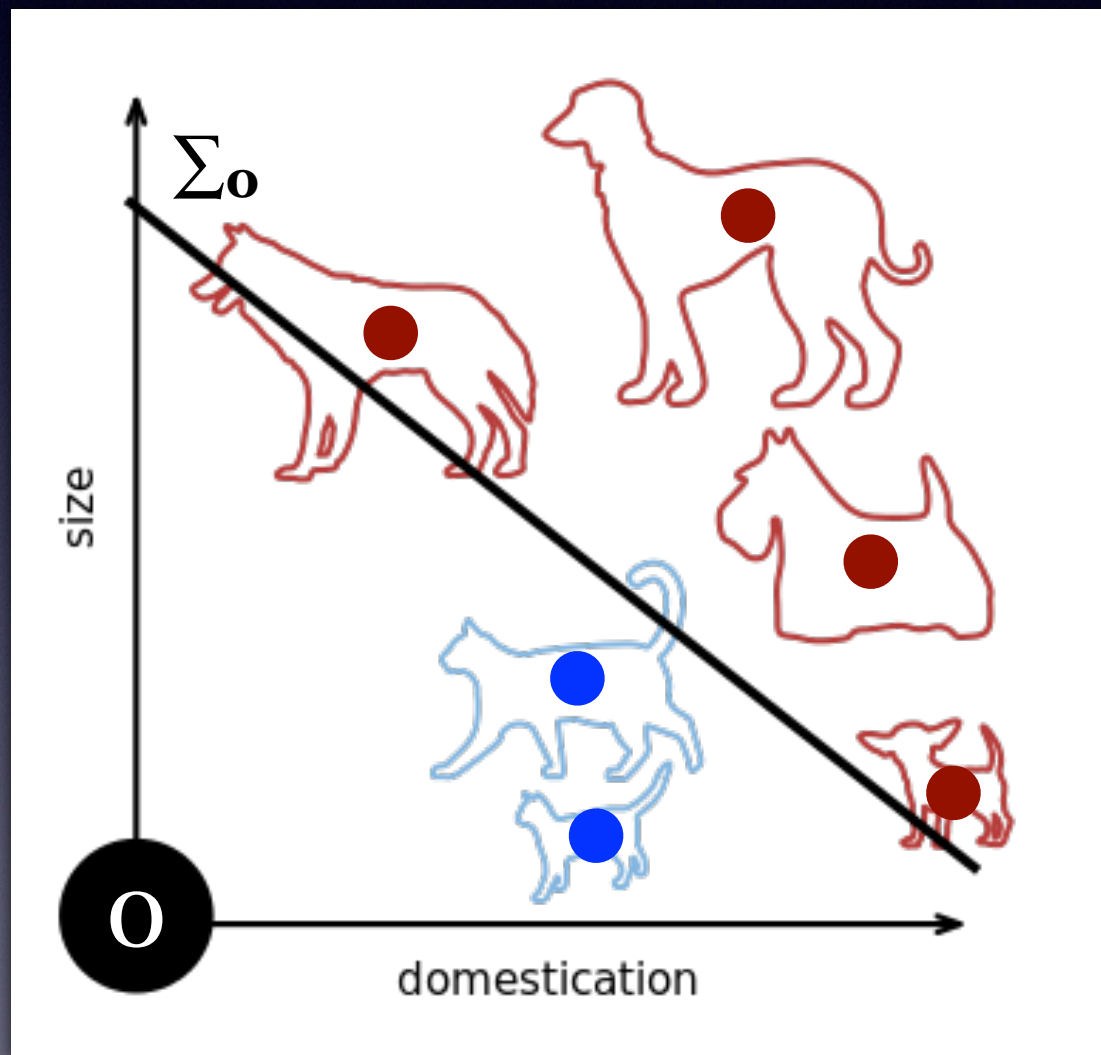
$$\sigma(\vec{x}) = \begin{cases} \vec{w}_i \cdot \vec{x} + b_i & \vec{w}_i \cdot \vec{x} + b_i \geq 0 \\ 0 & \vec{w}_i \cdot \vec{x} + b_i < 0. \end{cases}$$



# Introduction to CNNs (II)

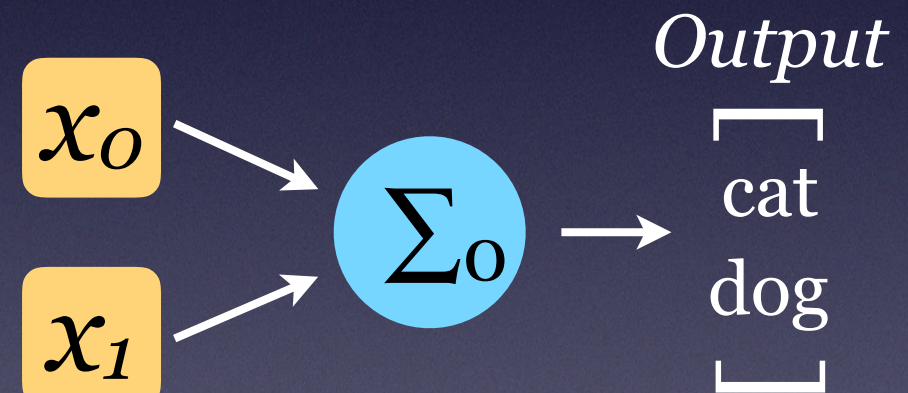
## Perceptron 2D Classification

Imagine using two features to separate cats and dogs



from [wikipedia](https://en.wikipedia.org/wiki/Perceptron)

$$\sigma(\vec{x}) = \begin{cases} \vec{w}_i \cdot \vec{x} + b_i & \vec{w}_i \cdot \vec{x} + b_i \geq 0 \\ 0 & \vec{w}_i \cdot \vec{x} + b_i < 0. \end{cases}$$



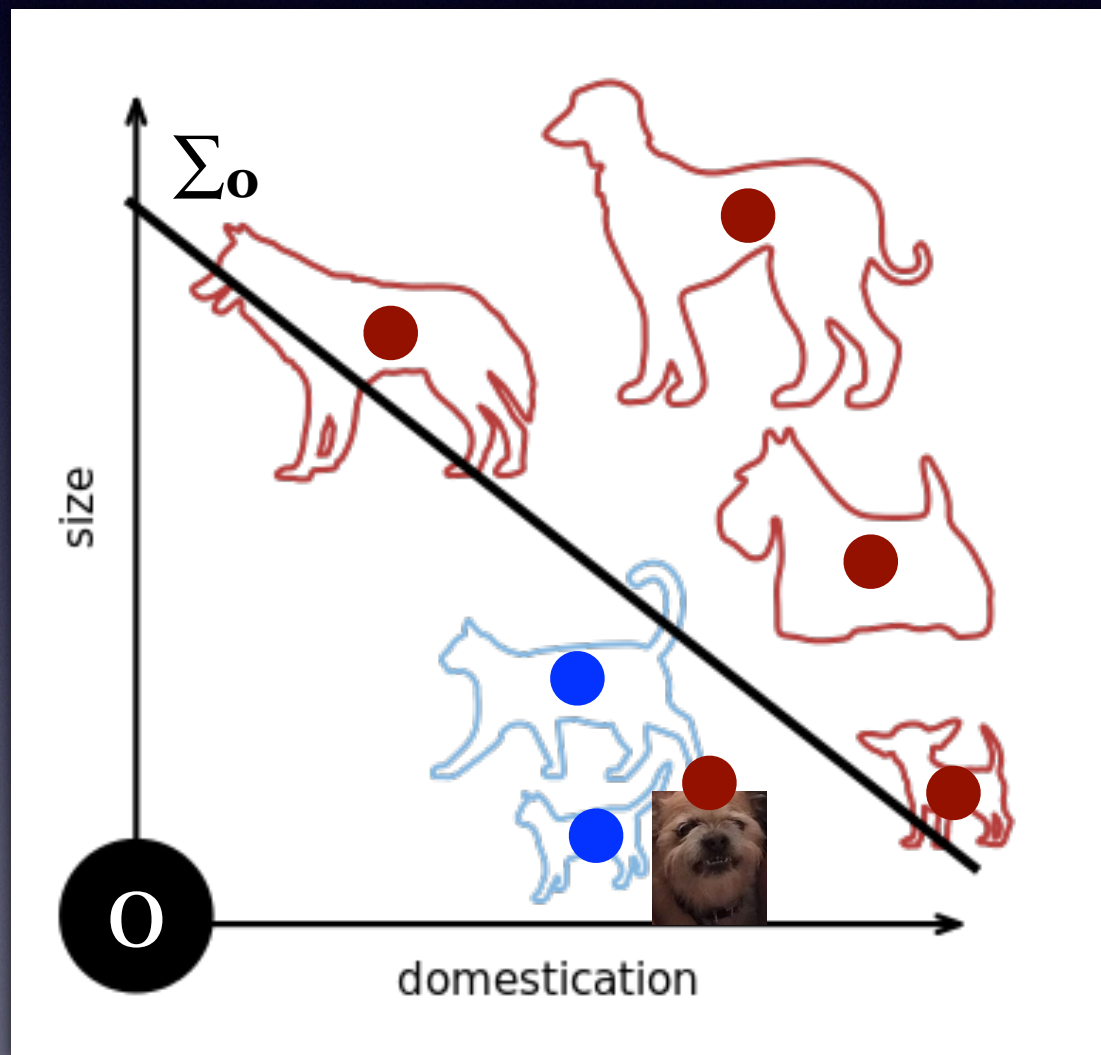
By picking a value for  $w$  and  $b$ ,  
we define a boundary  
between the two sets of data



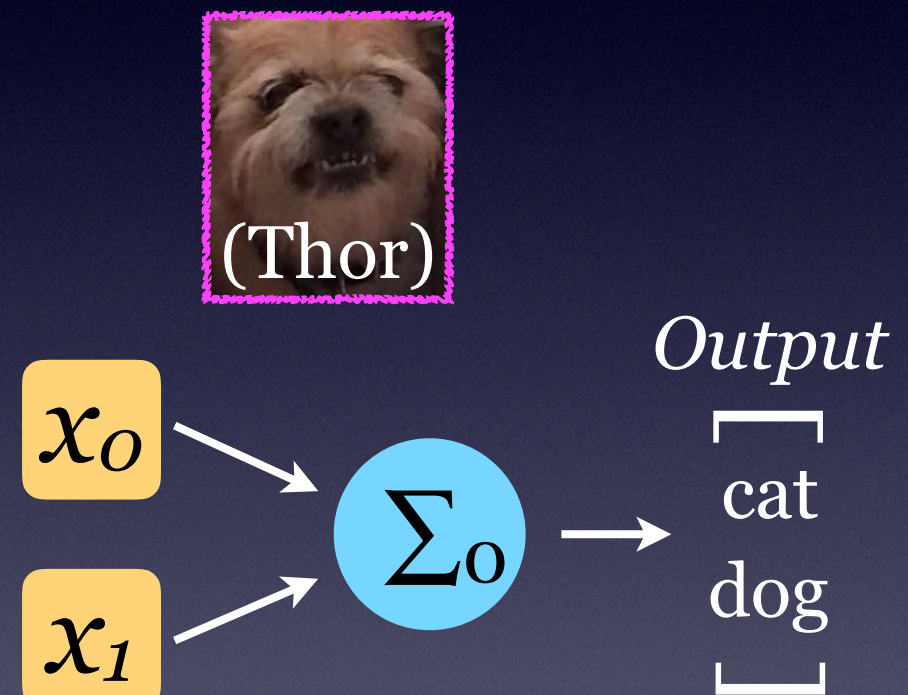
# Introduction to CNNs (II)

## Perceptron 2D Classification

Maybe we need to do better: assume new data point  
(My friend's dog — small but not as well behaved)



from [wikipedia](#)

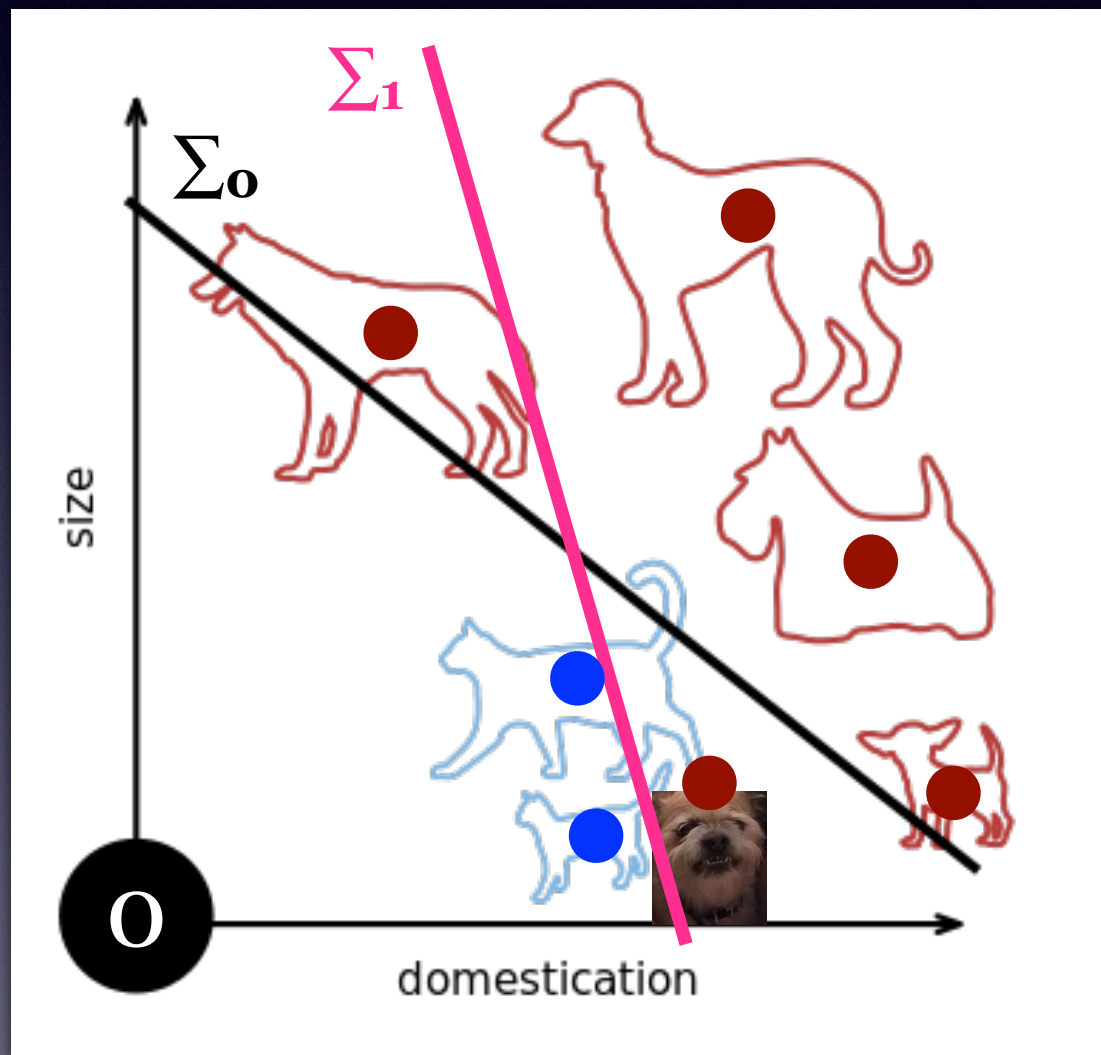




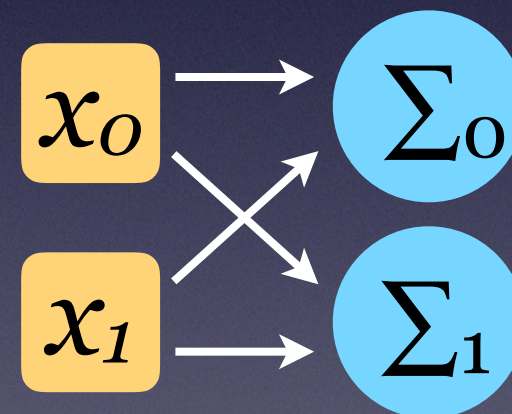
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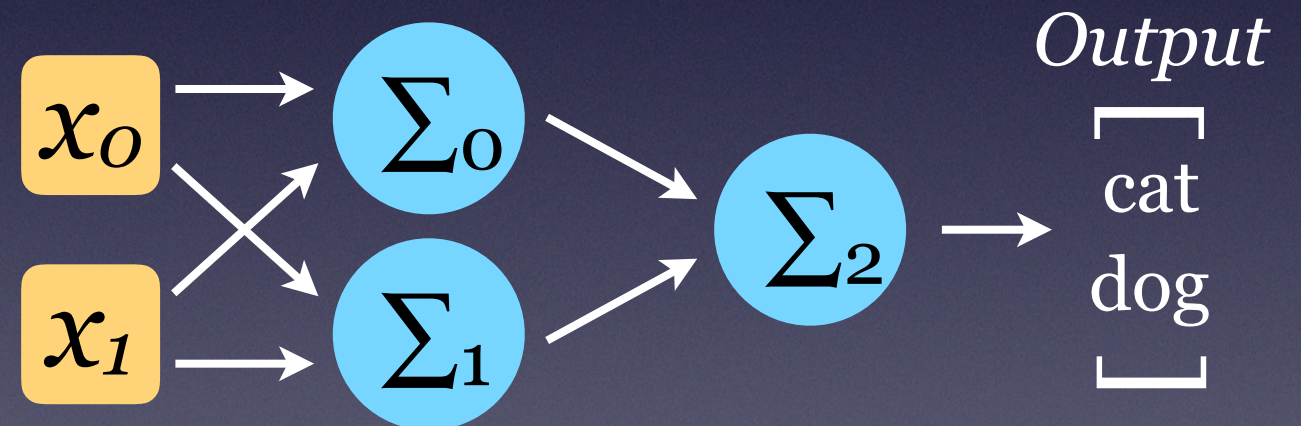
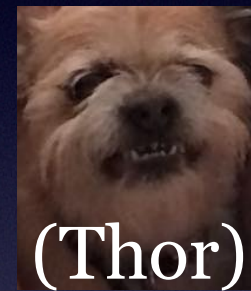
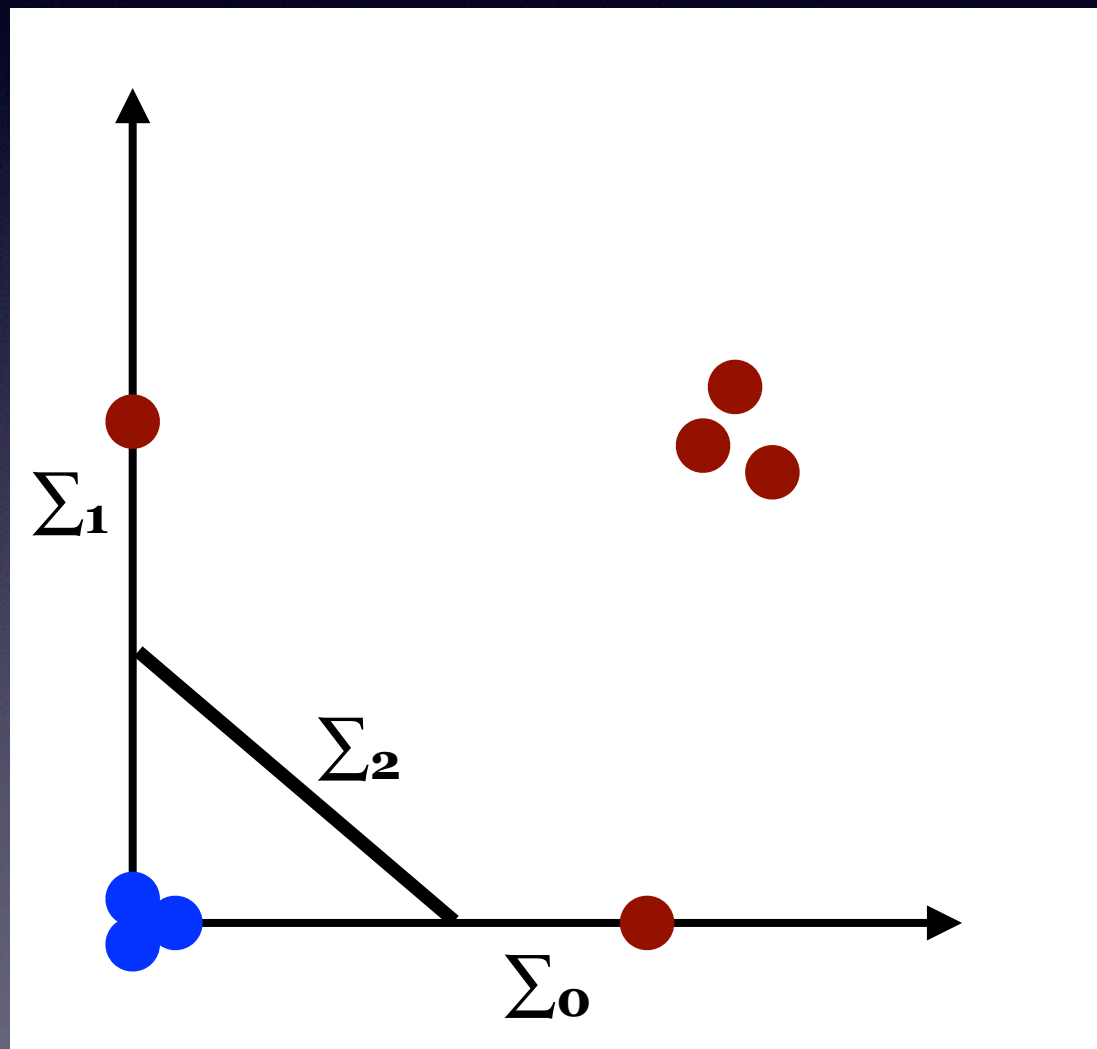
We can add another perceptron  
to help (but does not yet solve  
the problem)



# Introduction to CNNs (II)

## Perceptron 2D Classification

Maybe we need to do better: assume new data point  
(My friend's dog — small but not as well behaved)



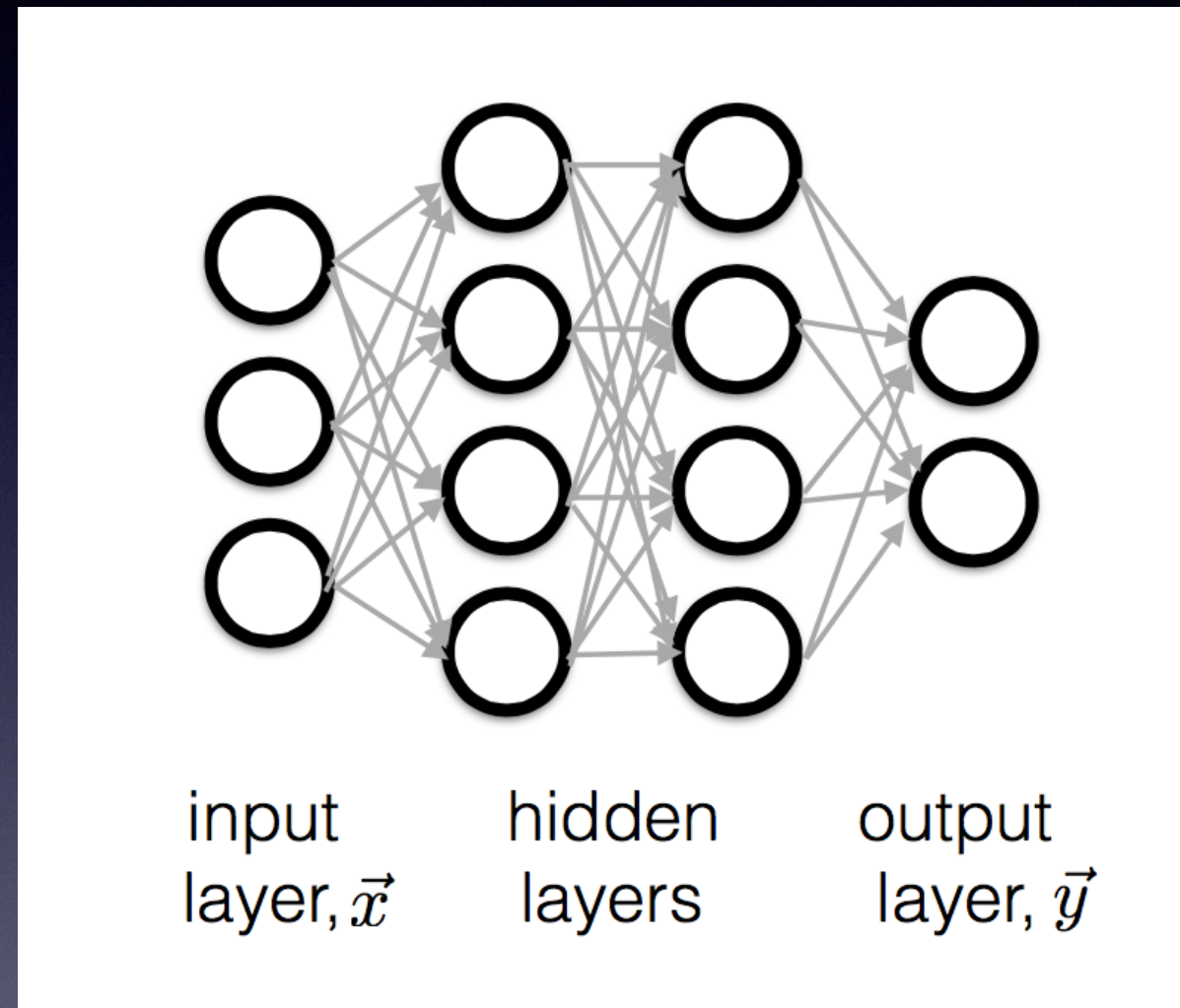
Another layer can classify based on  
preceding feature layer output



# Introduction to CNNs (III)

“Traditional neural net” in HEP

## Fully-Connected Multi-Layer Perceptrons



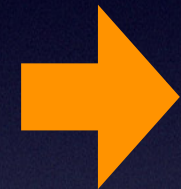
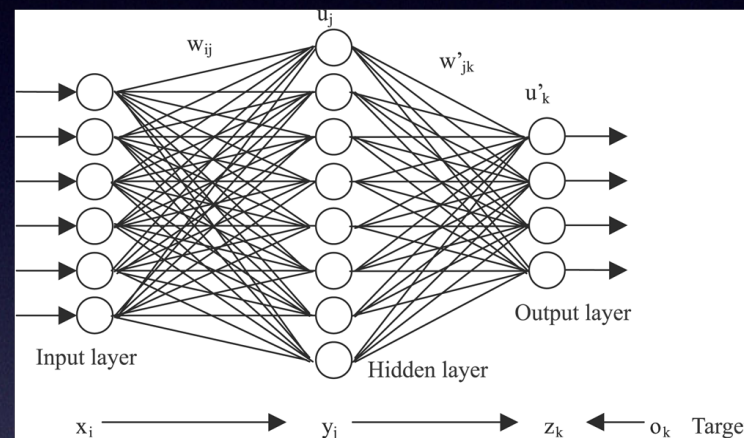
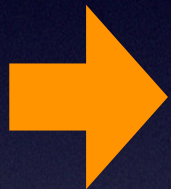
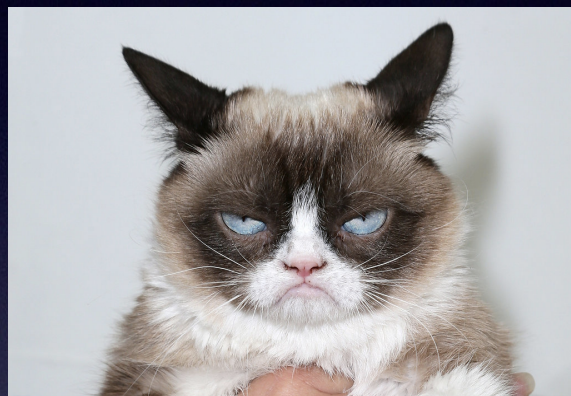
A traditional neural network consists of a stack of layers of such neurons where each neuron is *fully connected* to other neurons of the neighbor layers



# Introduction to CNNs (III)

## “Traditional neural net” in HEP Problems with it...

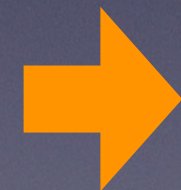
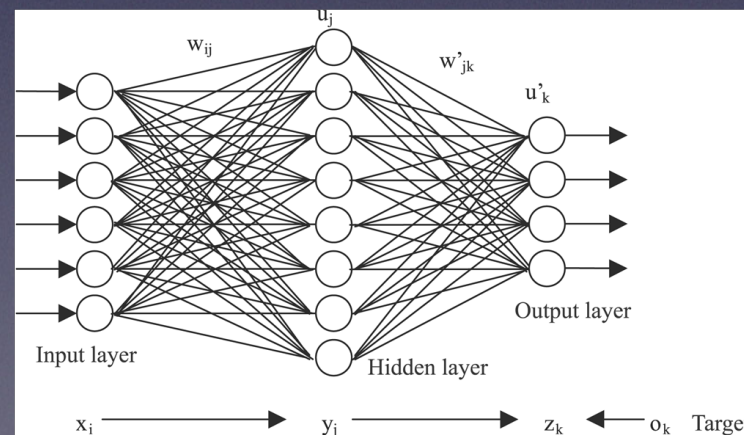
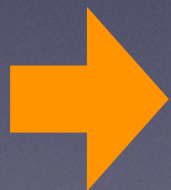
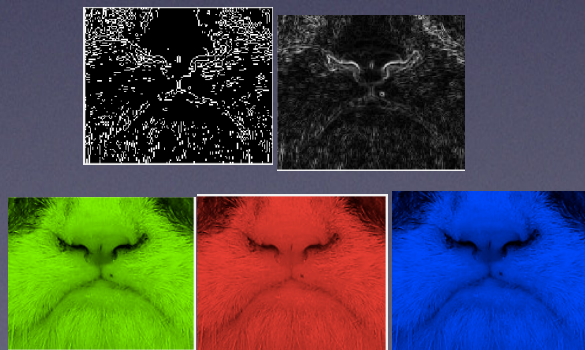
### Feed in entire image



Cat?

Problem: scalability

### Use pre-determined features



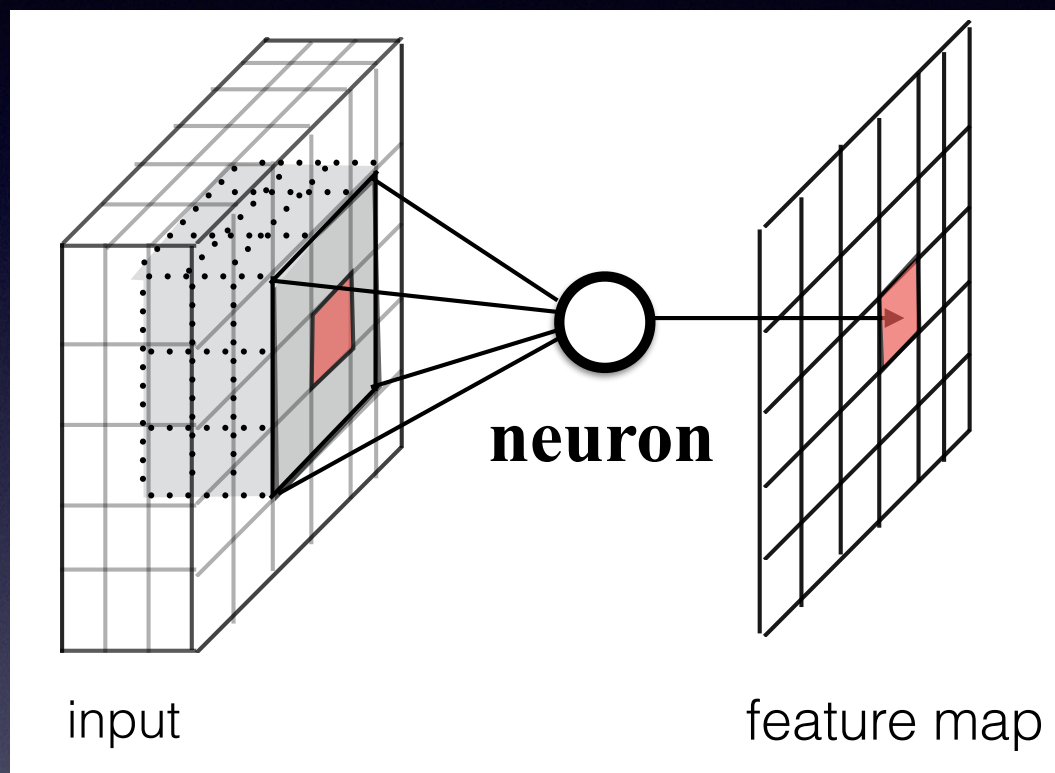
Cat?

Problem: generalization



# Introduction to CNNs (III)

CNN introduce a **limitation** by forcing the network to look at only **local, translation invariant features**



$$f_{i,j}(X) = \sigma \left( W_i \cdot X_j + b_i \right),$$

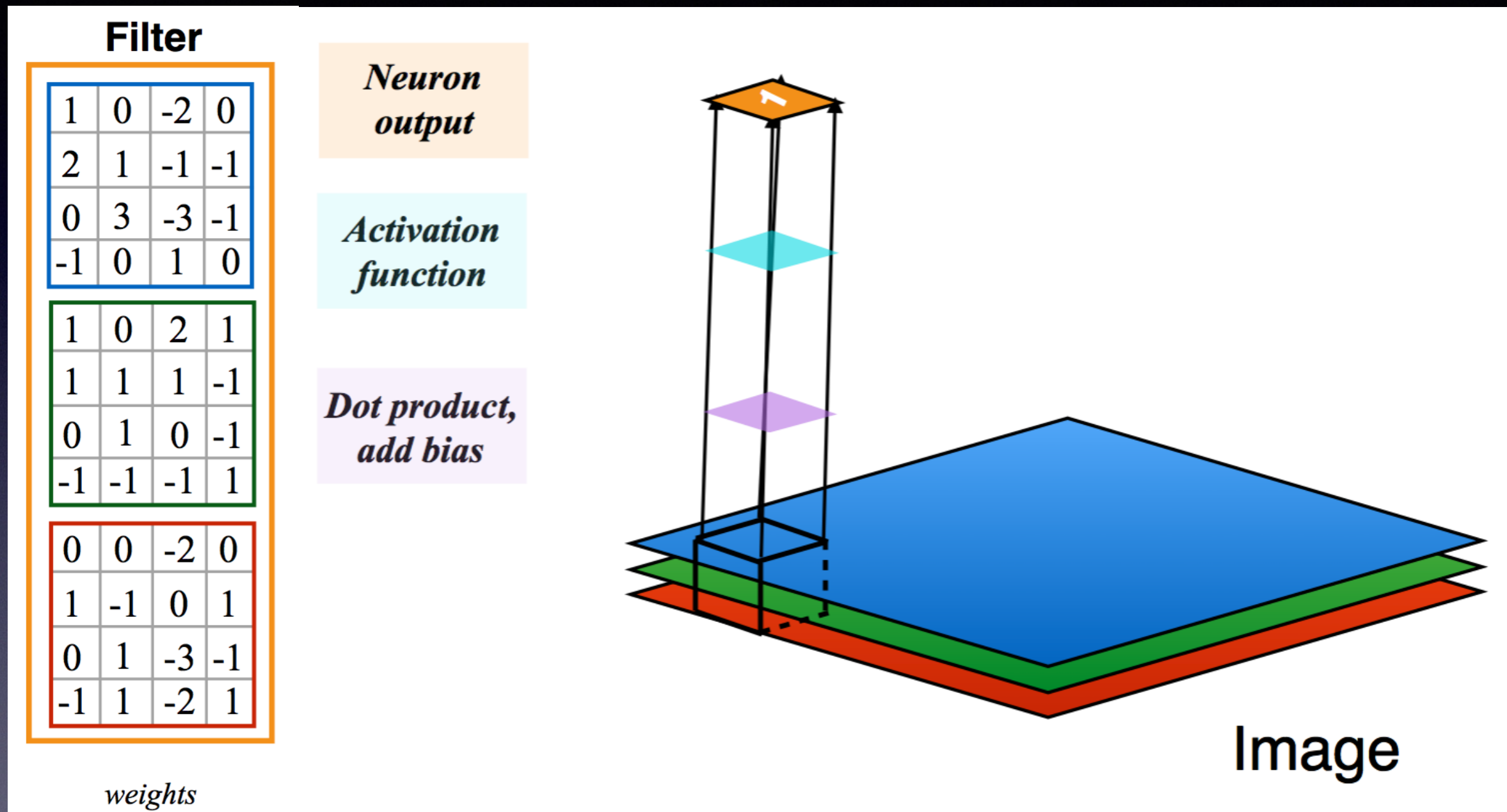
Activation of a neuron depends on the element-wise product of 3D weight tensor with 3D input data and a bias term

- Translate over 2D space to process the whole input
- Neuron **learns translation-invariant features**
- Applicable for a “homogeneous” detector like LArTPC

**Want more details?  
Feel free to ask me later!**



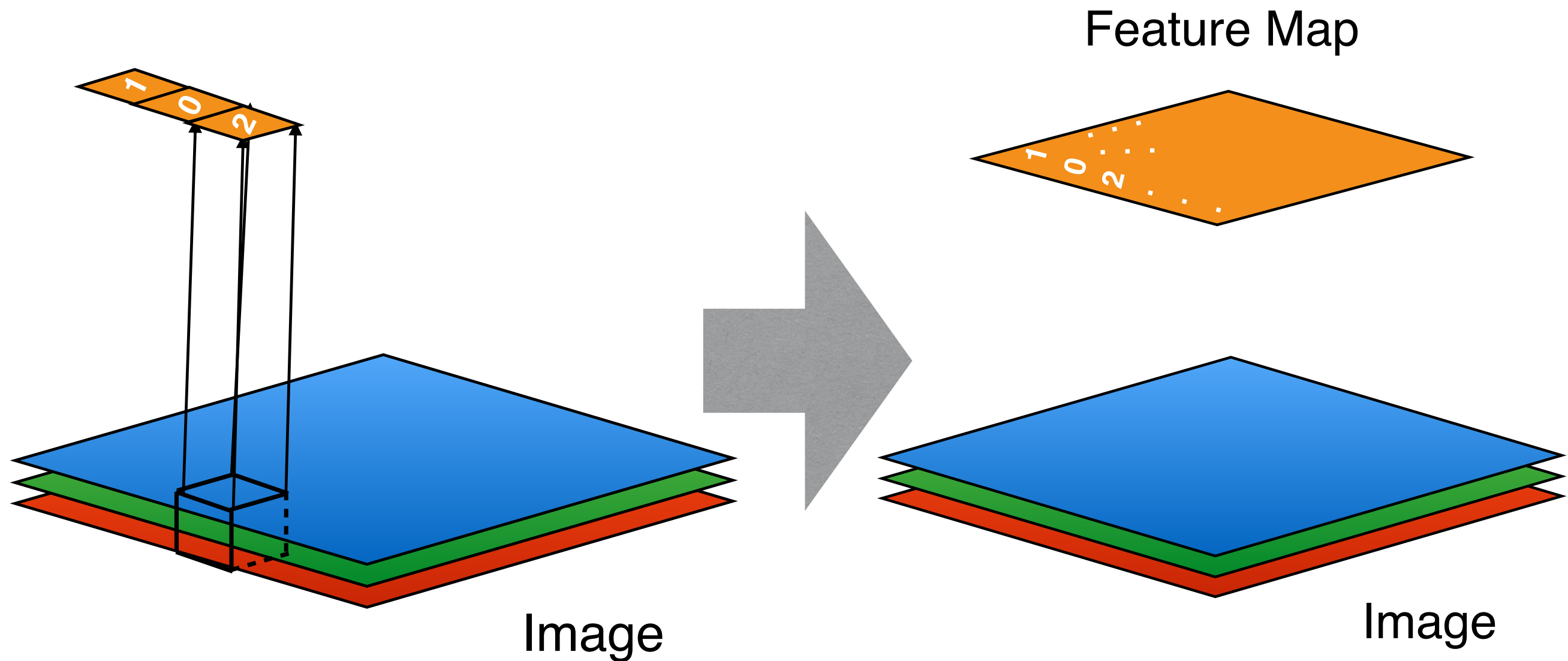
# Convolutional Neural Networks



Toy visualization of the CNN operation



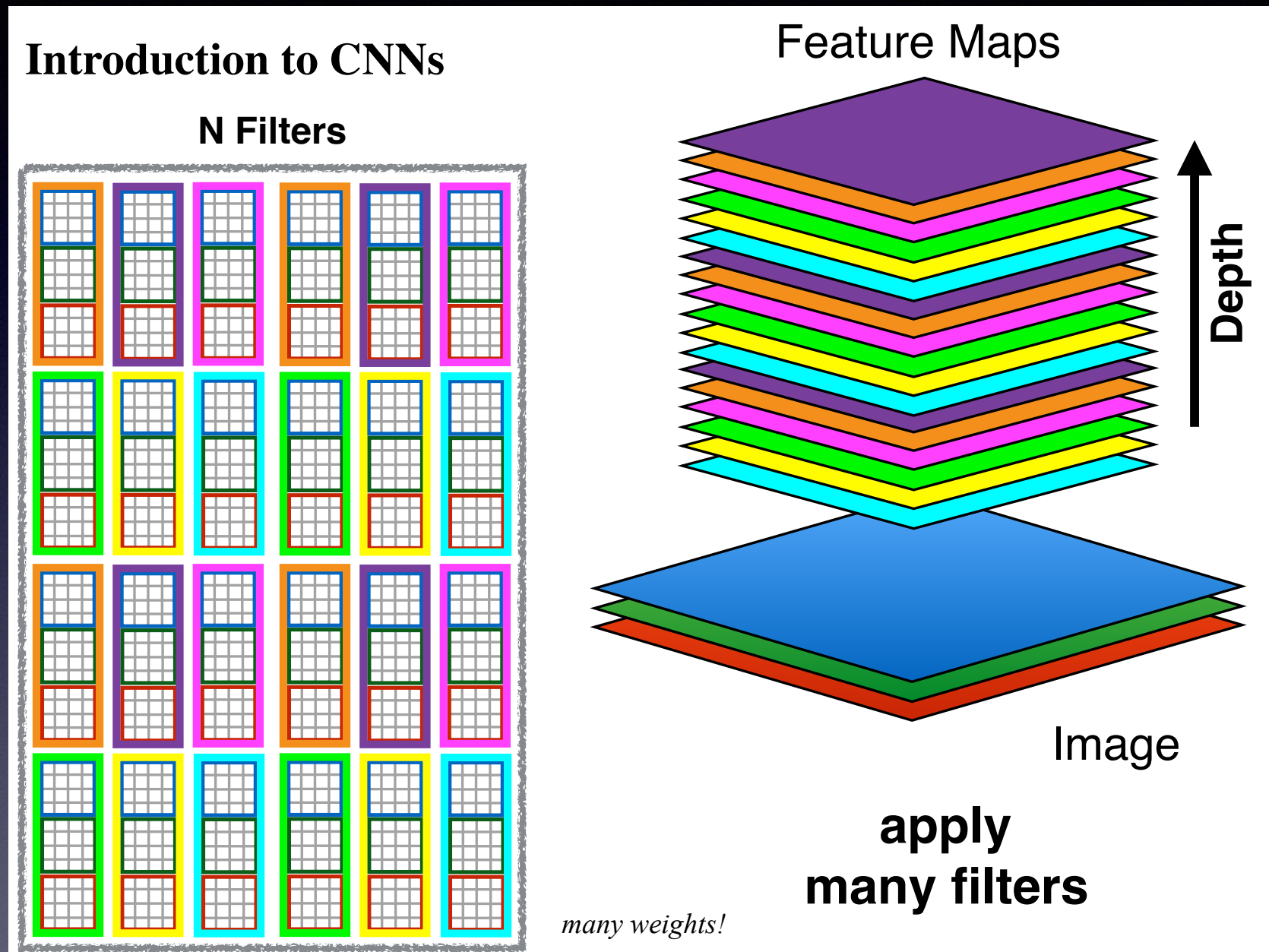
# Convolutional Neural Networks



Toy visualization of the CNN operation



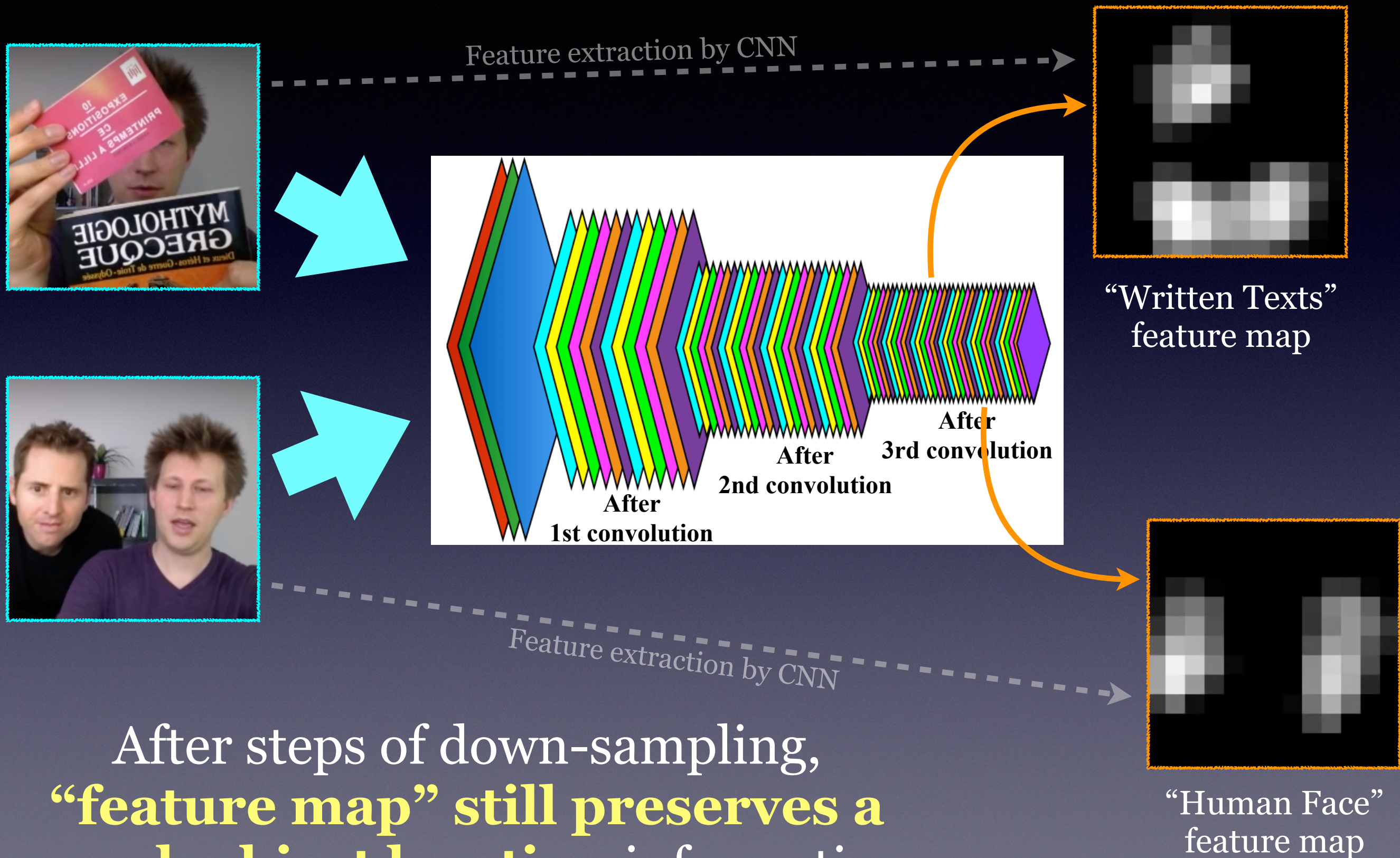
# Convolutional Neural Networks



Toy visualization of the CNN operation



# How Classification Network Works



After steps of down-sampling,  
**“feature map” still preserves a  
rough object location** information



# How SSNet Works

**Goal:** recover precise, pixel-level location of objects

## 1. Up-sampling

- Expand spatial dimensions of feature maps

## 2. Convolution

- Smoothing (interpolation) of up-sampled feature maps

