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Surface impedance and optimum surface resistance of a superconductor with imperfect surface

Alex Gurevich^{1,*} and Takayuki Kubo^{1,2,3,†}

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TTC Topical Workshop -RF superconductivity: Pushing Cavity Performance Limits Fermilab, IL, USA (2017) The surface resistance of an SRF cavity is usually written as the summation of

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Rothers : others Damaged layer Metallic sub-oxide Subgap states Dielectric losses

etc

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 $R_s = R_{MB} + R_{flux} + R_{others}$

R_{flux}

Today, R_{flux} can be substantially reduced by cooling down a cavity with a large temperature gradient.

- A. Romanenko, et al., Appl. Phys. Lett. 105, 234103 (2014).
- S. Posen et al., J. Appl. Phys. **119**, 213903 (2016)
- S. Huang, T. Kubo, and R. Geng, Phys. Rev. Accel. Beams 19, 082001 (2016)

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 R_{MB}

Understanding this part is becoming important more and more!

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 R_{MB}

Would contain information on the meaning of surface processing recipes. R_{MB}

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etc

Based on the BCS theory we calculate Rs simultaneously taking into account both the contributions.



Structures, parameters, and theoretical tool

superconductors with imperfect surface

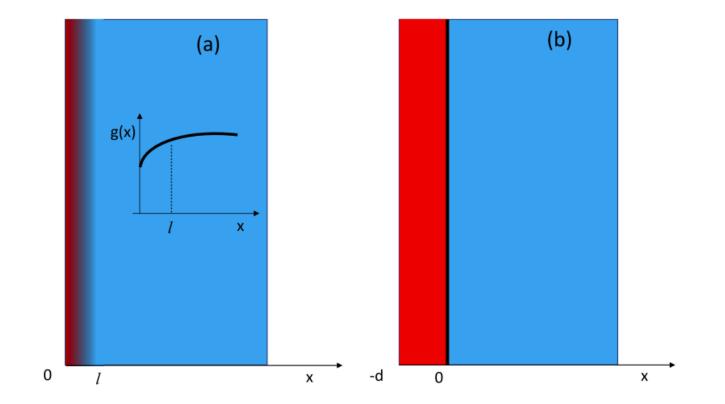


FIG. 1. (a) A surface layer of gradually reduced BCS pairing constant g(x). Inset shows a profile of g(x). (b) A superconductor covered with a normal layer of thickness d. The vertical black line in (b) shows the S-N interface giving rise to the contact resistance R_B .

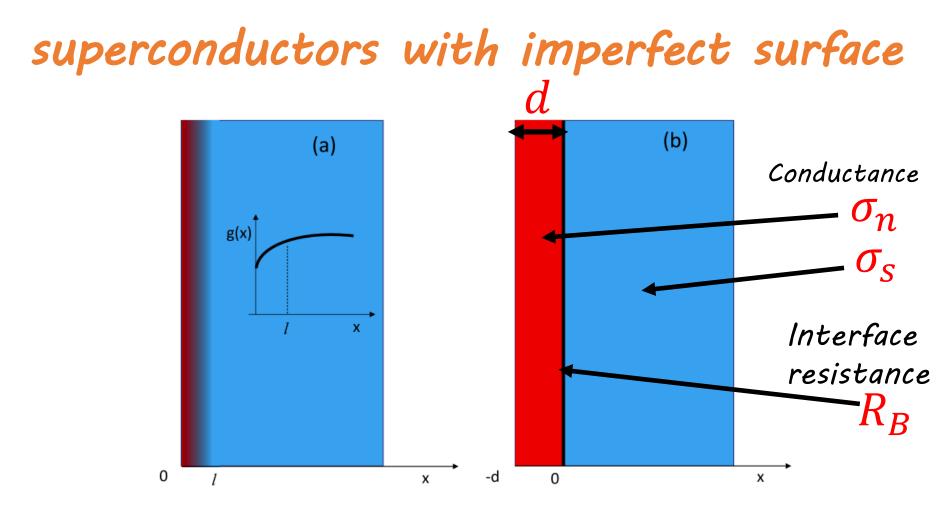
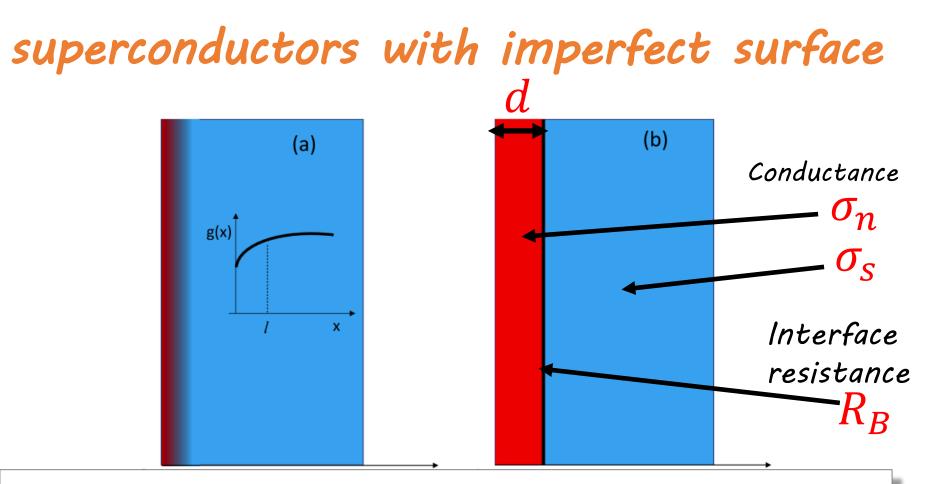


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These structures model realistic surfaces of superconducting materials which can contain **oxide layers, absorbed impurities or nonstoichiometric composition**.

We use the quasiclassical theory in the diffusive limit.

• Usadel equation $\xi_j^2 \theta'' = -\frac{\Delta}{\Delta_\infty} \cos \theta + \frac{\hbar \omega_n}{\Delta_\infty} \sin \theta$ $\xi_j \equiv \sqrt{\hbar D_j / 2 \Delta_\infty} \ (j = N, S)$

- Self-consistency condition $\Delta(x) = 2\pi k_B T g(x) \sum \sin \theta(x)$.
- Boundary conditions

K. D. Usadel, Phys. Rev. Lett. 25, 507 (1970).M. Yu. Kuprianov and V. F. Lukichev, Sov. Phys. JETP 67, 1163 (1988).

It is convenient to define the following dimensionless parameters:

$$\begin{aligned} \theta'|_{\text{surface}} &= 0, & \sigma_n R_B \theta'_- = \sin(\theta_0 - \theta_0) \\ \theta(\infty) &= \theta_\infty, & \sigma_n \theta'_- = \sigma_s \theta'_0, \end{aligned}$$

 ω_n

$$\alpha = \frac{N_n}{N_s} \frac{d}{\xi_S} \qquad \beta = \frac{4e^2}{\hbar} R_B N_n \Delta d,$$

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$$\alpha = \frac{N_n}{N_s} \frac{d}{\xi_s} = 0.05$$

when
$$d = 1 nm$$
, $\xi_s = 20 nm$, and $N_n = N_s$)

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$$\begin{aligned} \text{ving} \quad \alpha &= \frac{N_n}{N_n} \frac{d}{d_n} & \beta &= \frac{4e^2}{L} R_B N_n \Delta d, \end{aligned}$$

 ω_n

$$= \frac{N_n}{N_s} \frac{d}{\xi_S} \qquad \beta = \frac{4e^2}{\hbar} R_B N_n \Delta d,$$

$$\alpha = \frac{N_n}{N_s} \frac{d}{\xi_s} = 0.05 \qquad \text{(when d = 1nm, } \xi_s = 20nm, \text{ and } N_n = N_s\text{)}$$
$$\beta = \frac{4e^2}{\hbar} R_B N_n \Delta d = \frac{16d}{\xi_0} \frac{R_B}{R_K \lambda_F^2} \sim \frac{R_B}{10^{-14} \Omega m^2} \qquad \text{(when d = 1nm, } \xi_0 = 40nm\text{)}$$

For example, R_B of YBCO/Ag obtained in [J. W. Ekin et al., Appl. Phys. Lett. 62, 369 (1993)] is $R_B^{-10^{-13}}$ -10⁻¹² Ω m2, which yields β^{-10} -100.

 $\theta(\infty)$

 α

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$$\begin{array}{ll} \text{ving} & N_n \ d & 4e^2 \end{array}$$

 ω_n

$$= \frac{N_n}{N_s} \frac{d}{\xi_S} \qquad \beta = \frac{4e^2}{\hbar} R_B N_n \Delta d,$$

Normal and anomalous Quasiclassical Matsubara Green functions T. Matsubara, Prog. Theor. Phys. 14, 351 (1955).

$$G = \cos \theta \qquad F = \sin \theta$$



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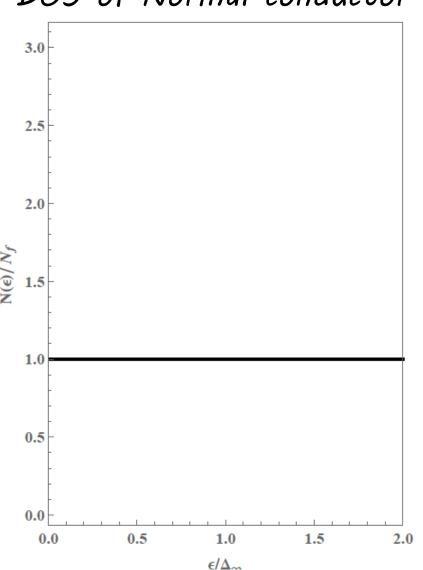


Retarded normal and anomalous Quasiclassical Green functions $G^R = \cosh \theta$ $F^R = \sinh \theta$

Density of states and surface resistance

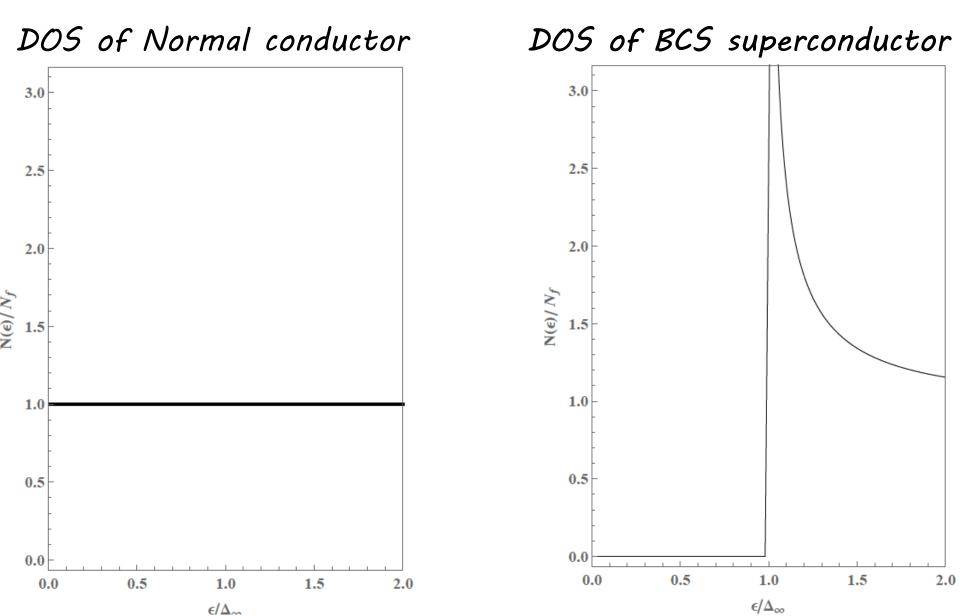
Density of States

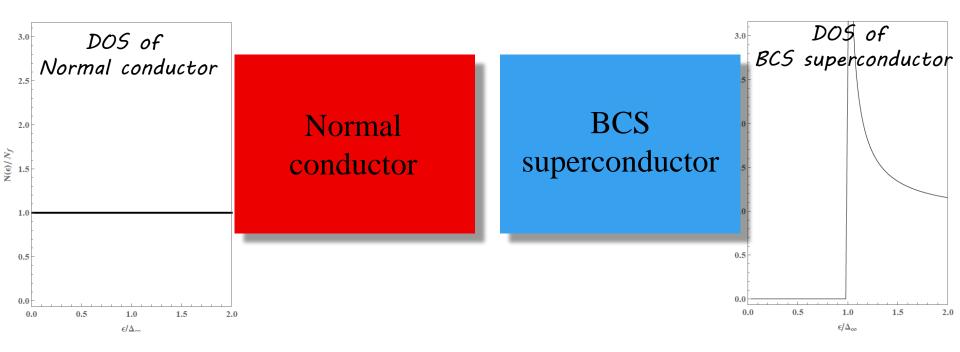
Density of states

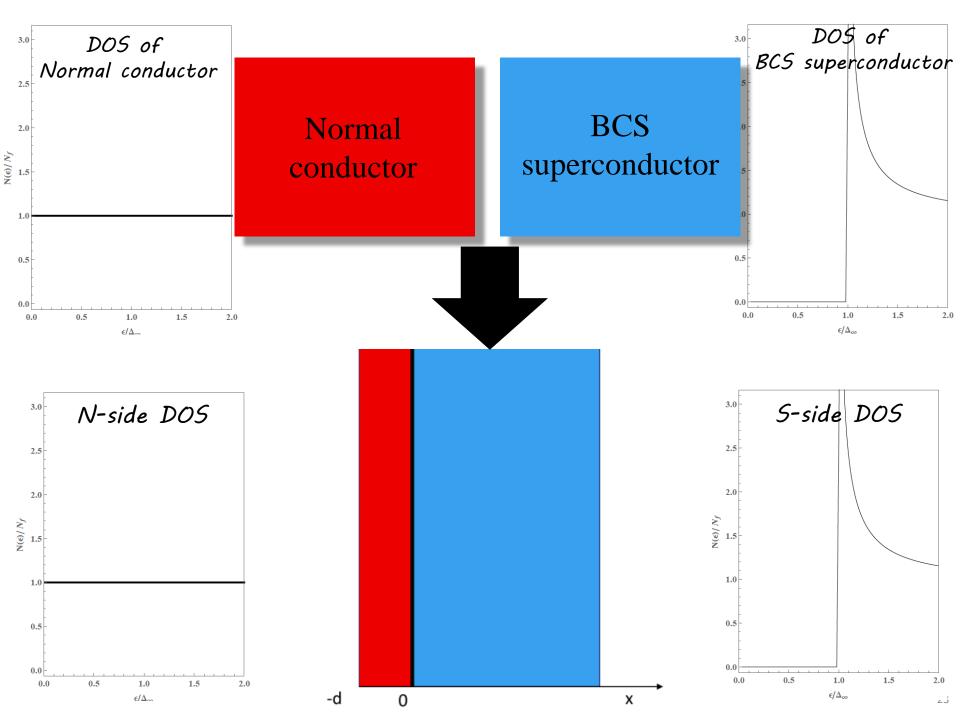


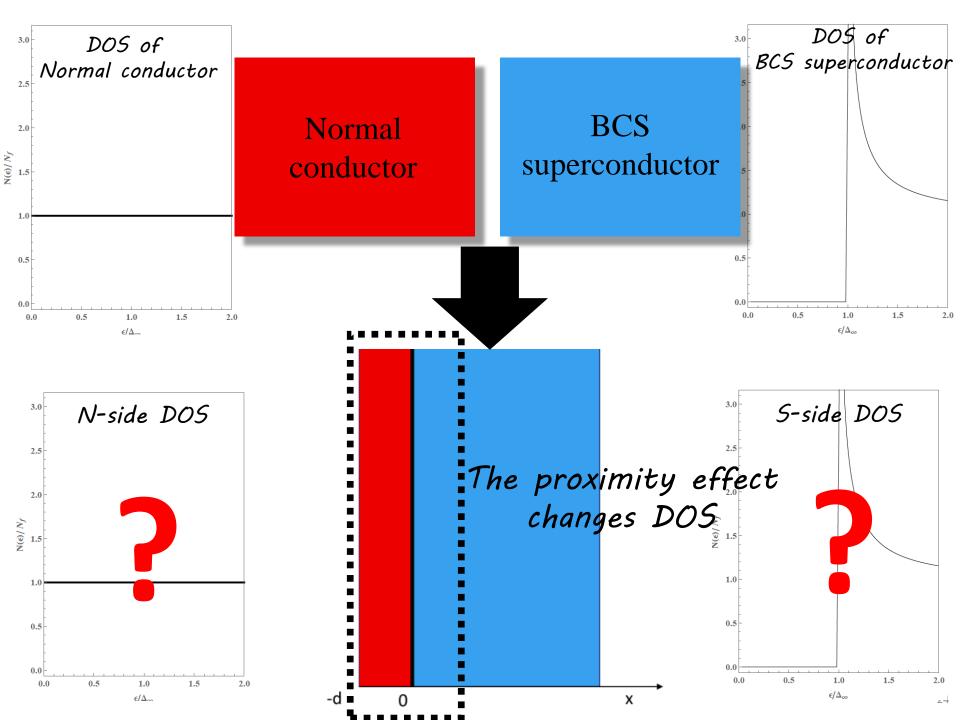
DOS of Normal conductor

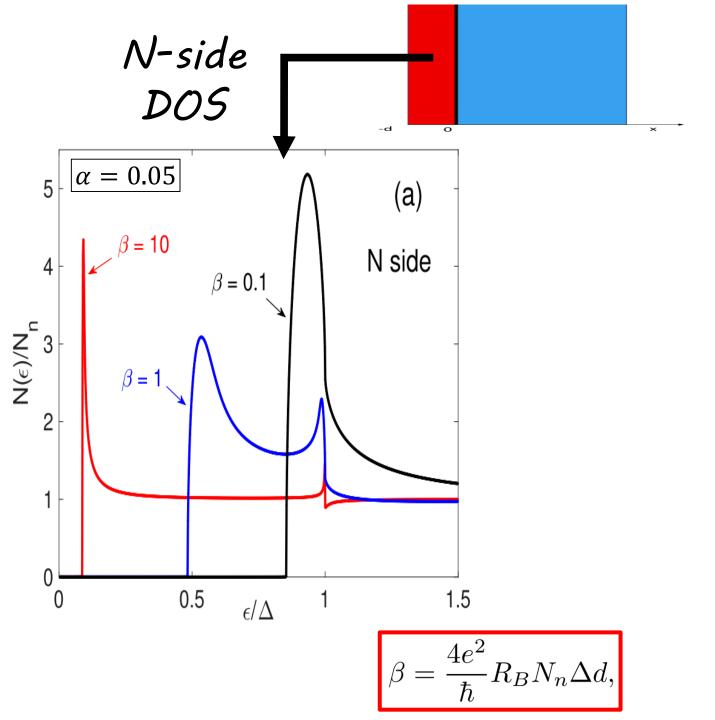
Density of states

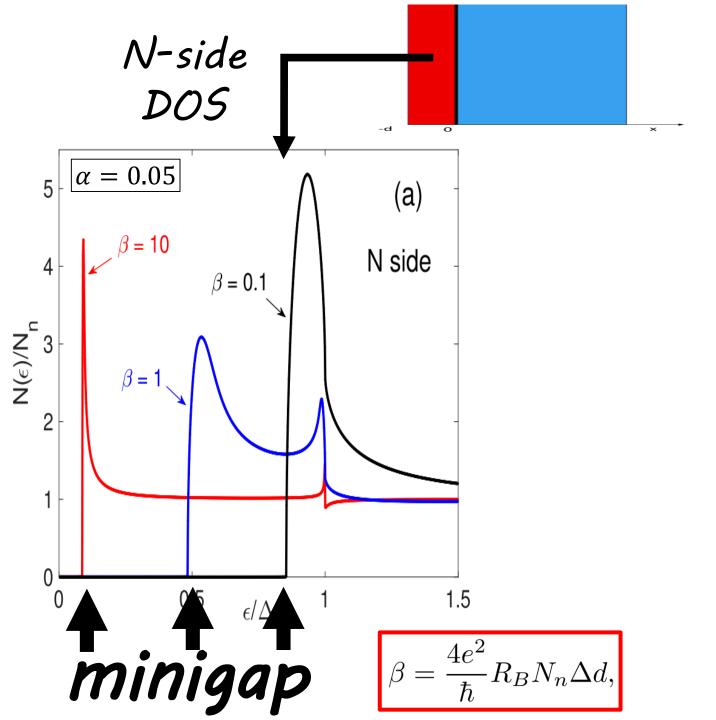


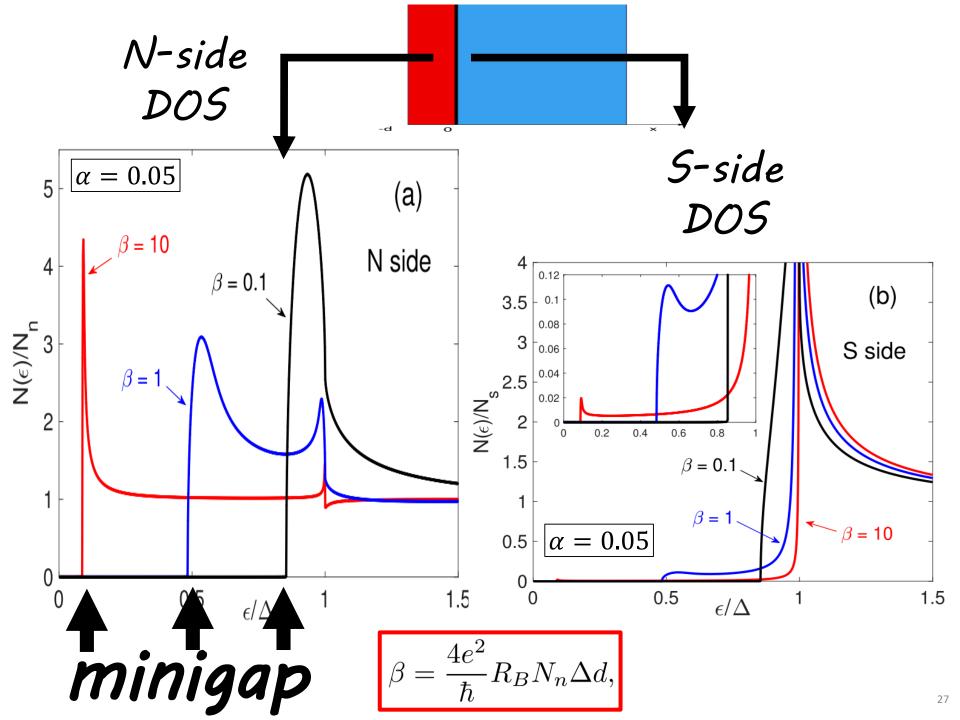




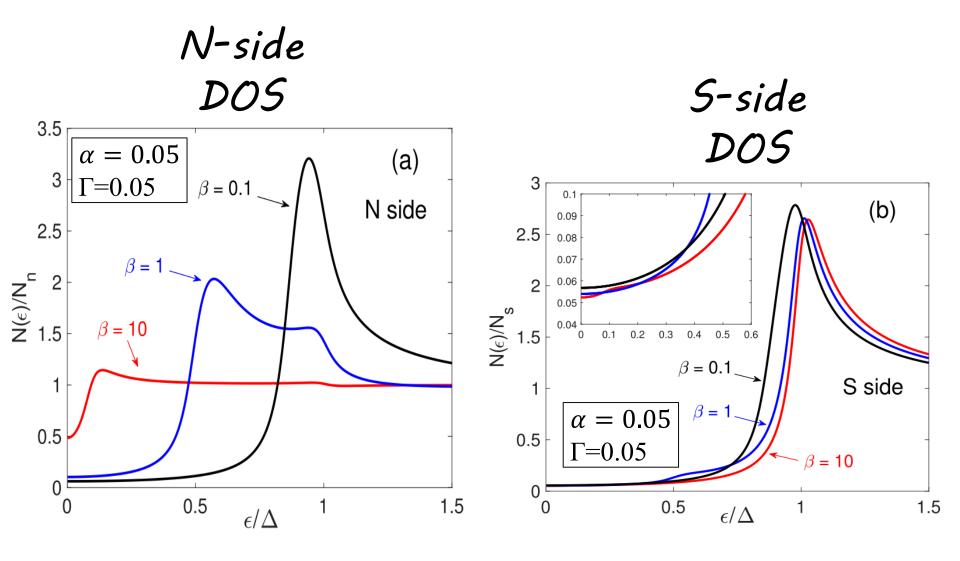








Taking into account a finite quasi particle life time $(\varepsilon \rightarrow \varepsilon + i\Gamma)$ smears out the cusps.



DOS for the right figure (SC with a surface layer of gradually reduced BCS pairing constant) can also be calculated.

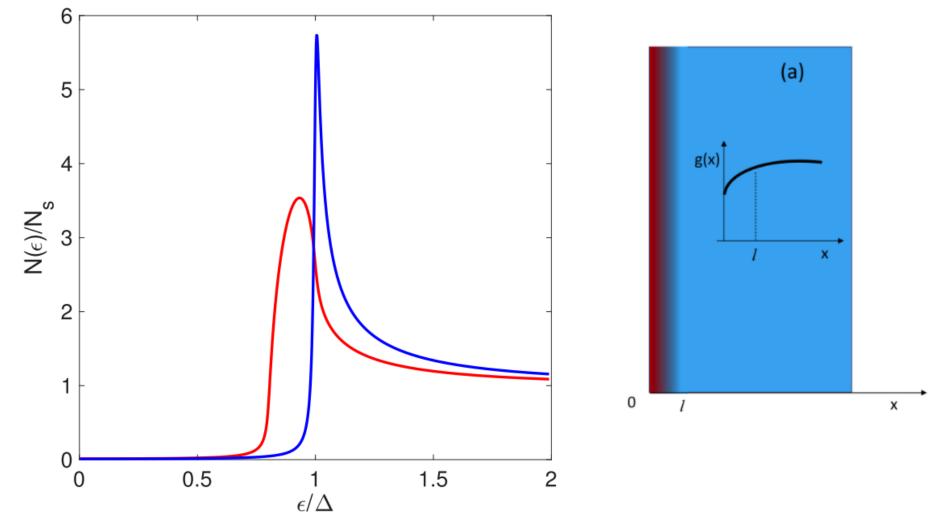


FIG. 2. Density of states at the surface calculated for $\Psi = 0.2$ and $\Gamma = 0.01$ (red line). The blue line shows DOS in the bulk.

Temperature dependence of penetration Depth

Without subgap states

$$\frac{1}{\lambda^2} = \frac{\pi\mu_0\Delta}{\hbar\rho_s} \tanh\frac{\Delta}{2k_BT}$$

Exponential T dependence at any temperature

Without subgap states

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Exponential T dependence at any temperature

Effect of subgap states

$$\frac{1}{\lambda^2} = \frac{2\mu_0 \Delta}{\hbar \rho_s} \left[\tan^{-1} \frac{\Delta}{\Gamma} - \frac{\pi^2 k_B^2 T^2 \Gamma \Delta}{3(\Gamma^2 + \Delta^2)^2} \right] \qquad T \ll T_c$$

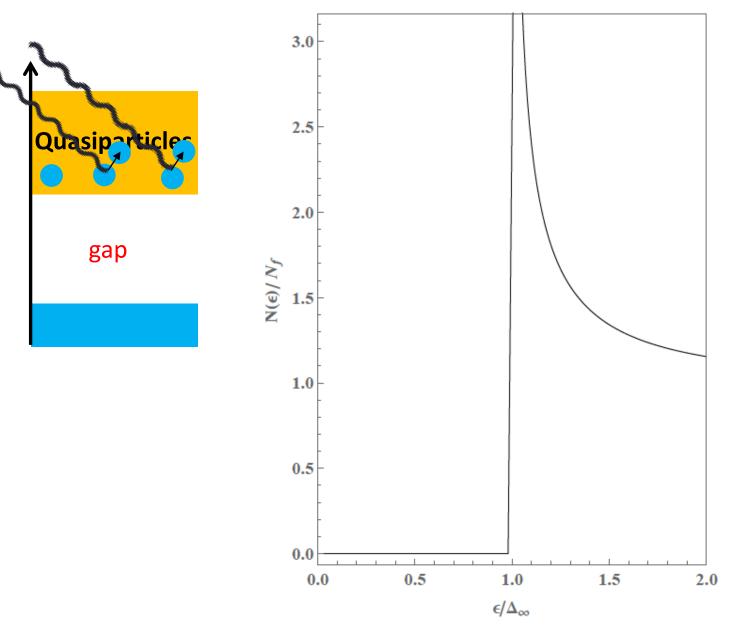
quadratic T dependence at a low temperature

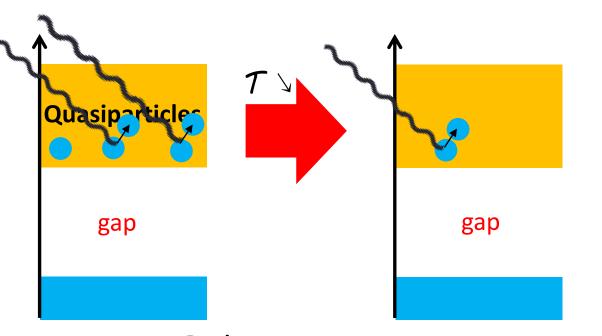
Surface Resistance

(1)Ideal surface <u>without</u> subgap states
 (2)Ideal surface <u>with</u> subgap states
 (3)normal thin layer on the surface

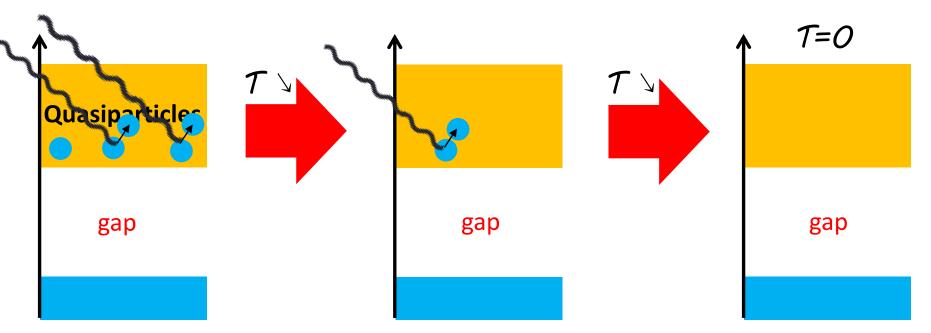
Surface Resistance (1)Ideal surface <u>without</u> subgap states

D05



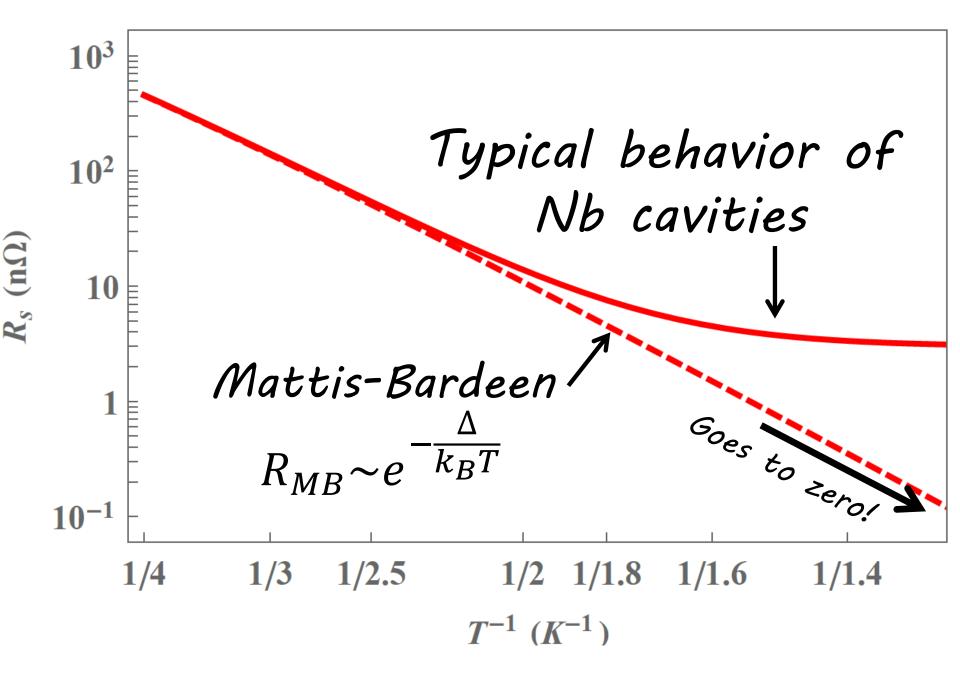


As T decreases, a number of quasiparticles exponentially decrease $R_S \propto e^{-\frac{\Delta}{kT}}$



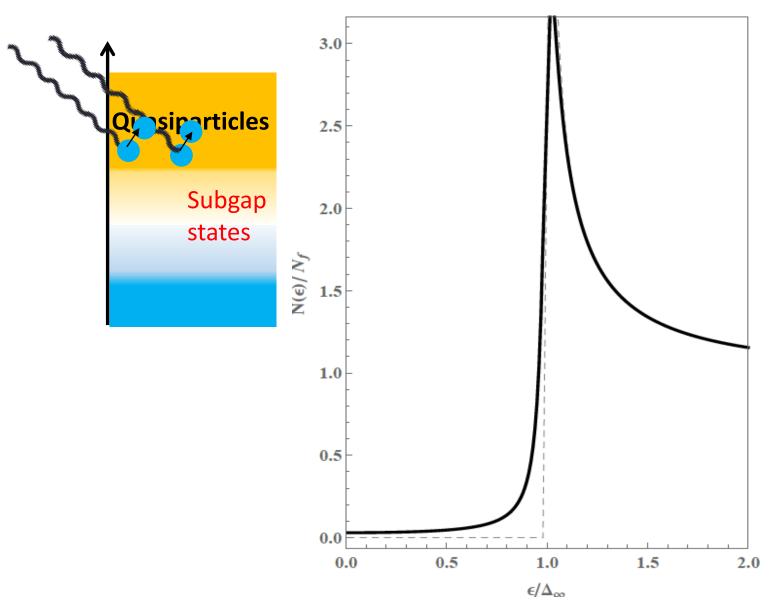
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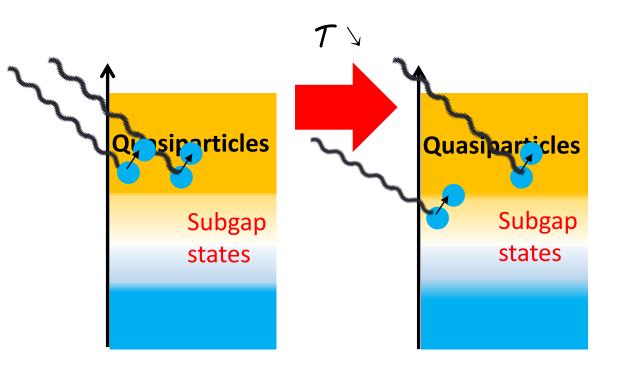
$$R_s \propto e^{-\frac{\Delta}{kT}} \xrightarrow[T \to 0]{} (R_i = 0)$$

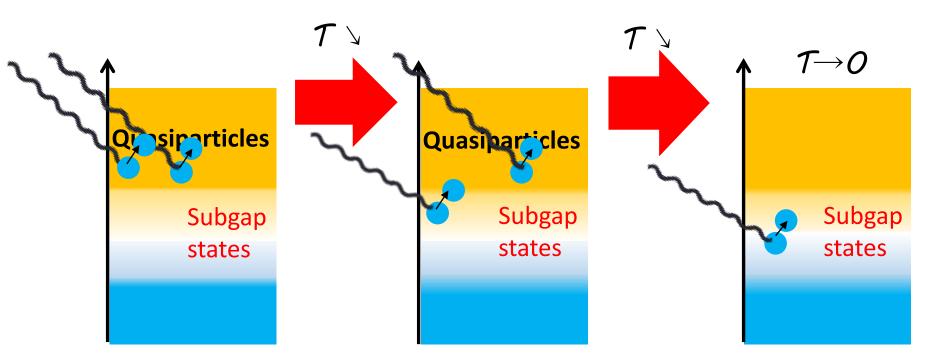


Surface Resistance (2)Ideal surface <u>with</u> subgap states

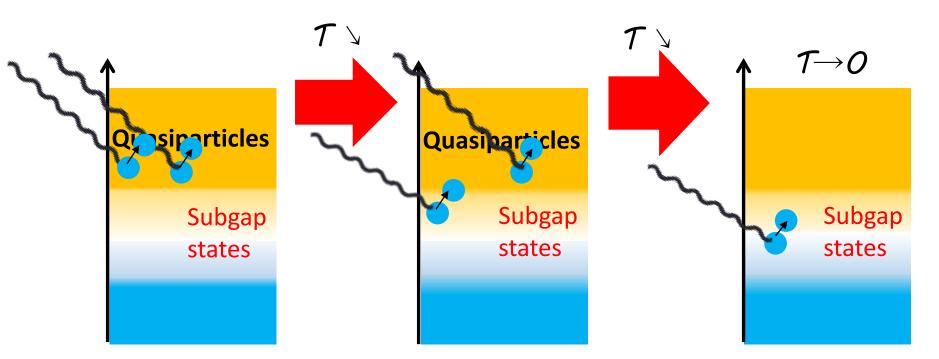
D05







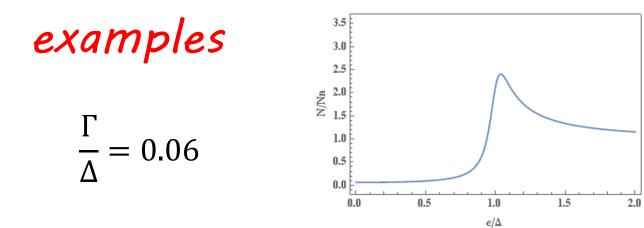
Even at $T \rightarrow 0$, quasiparticles can be excited by the microwave field when finite subgap states exist.

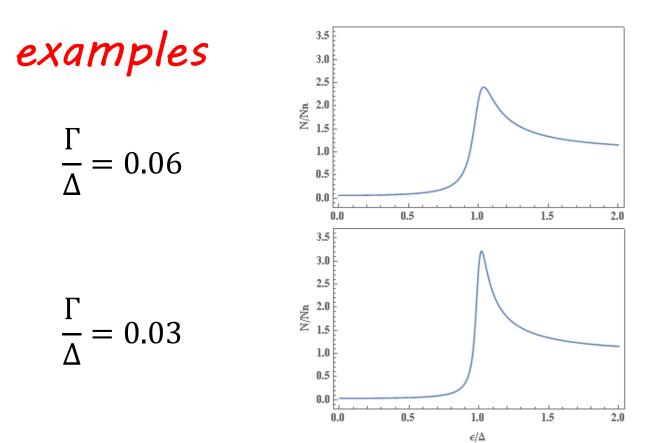


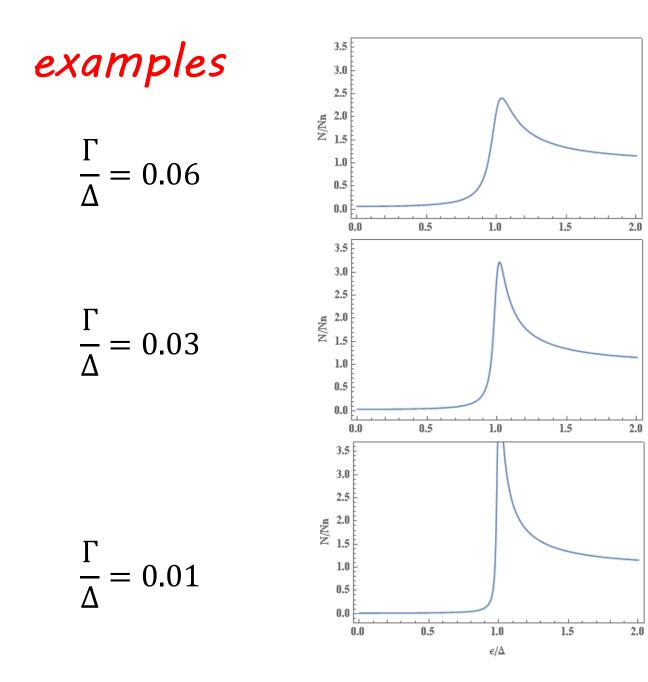
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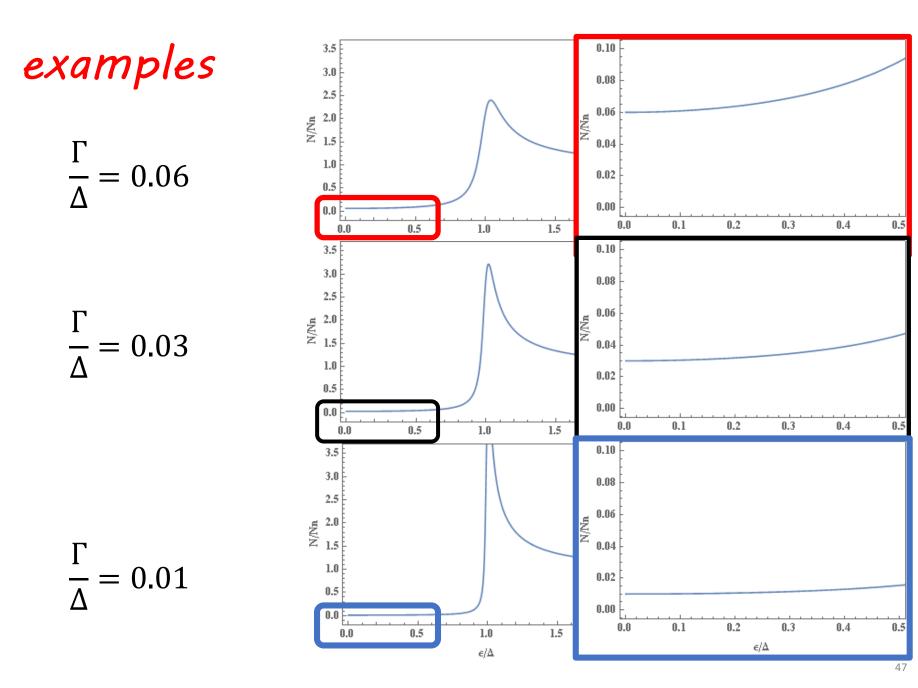
$$R_{i}(T) = \frac{\mu_{0}^{2}\omega^{2}\lambda^{3}\Gamma^{2}}{2\rho_{n}(\Delta^{2} + \Gamma^{2})} \left[1 + \frac{4\pi^{2}k_{B}^{2}T^{2}\Delta^{2}}{3(\Delta^{2} + \Gamma^{2})^{2}}\right]$$

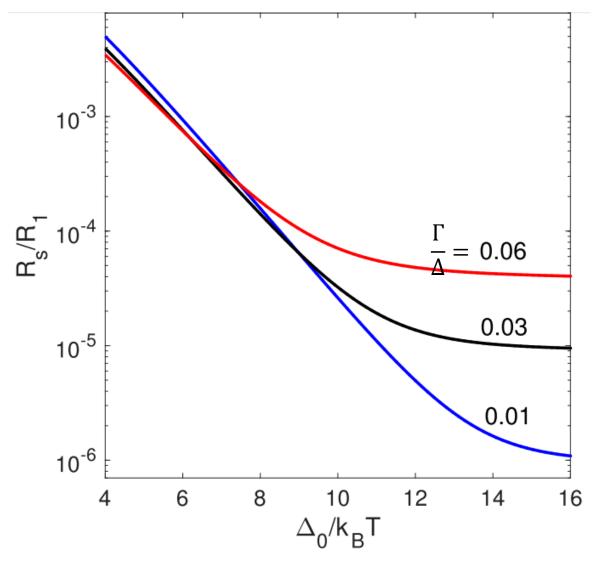
at $k_B T \lesssim \Gamma$

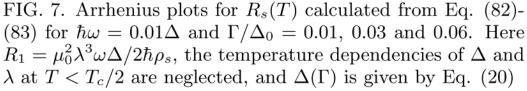


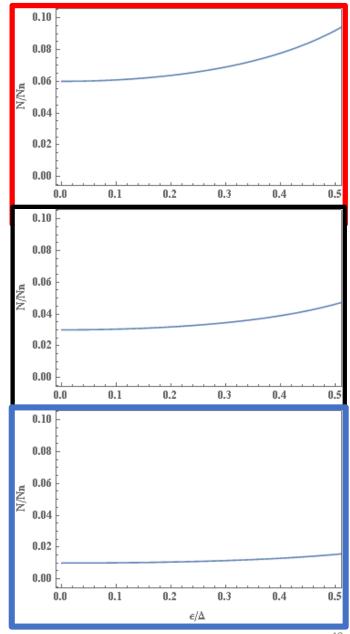


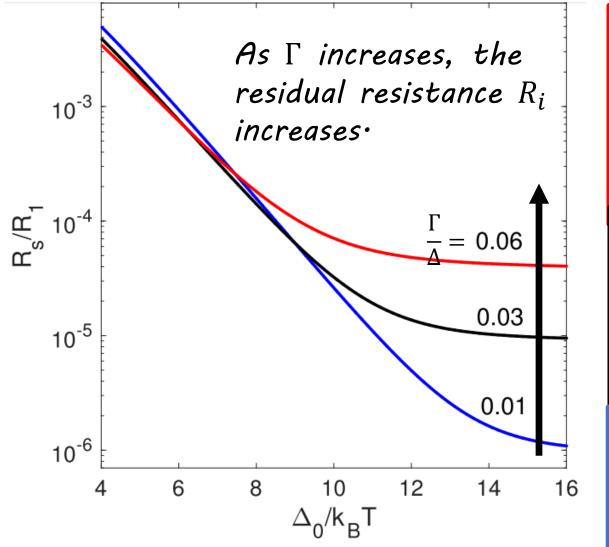


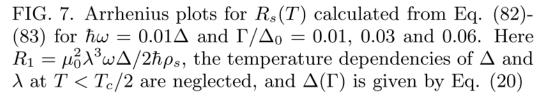


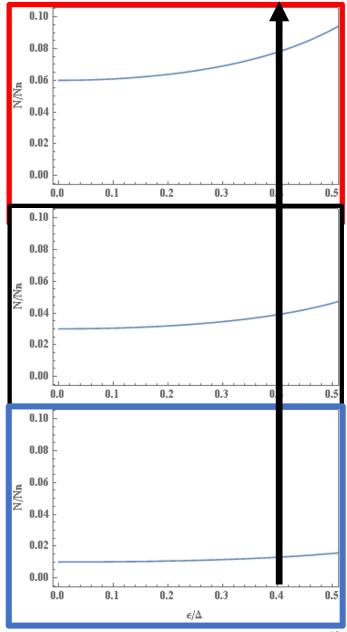


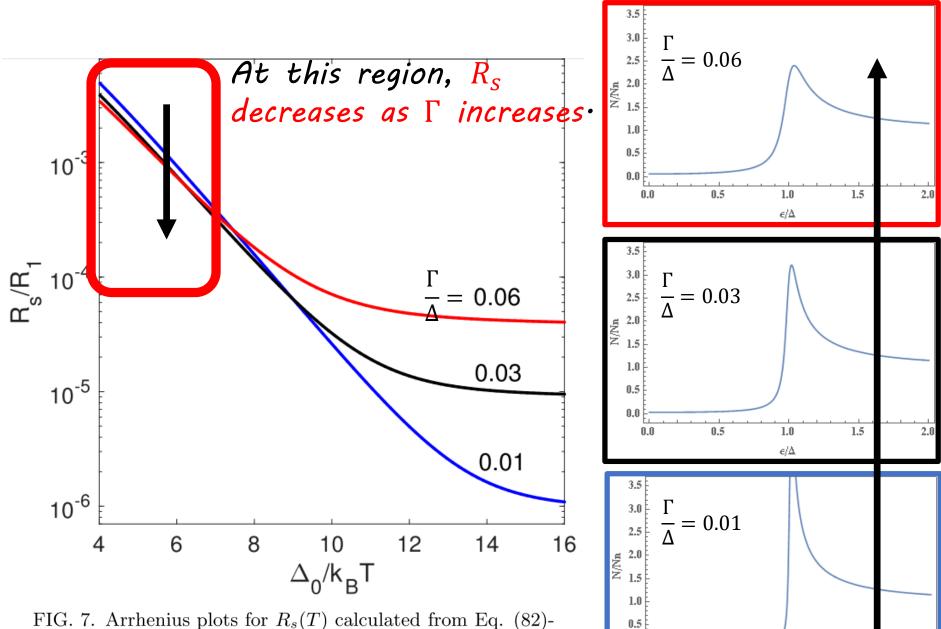












0.0

0.0

0.5

1.0

 ϵ/Δ

1.5

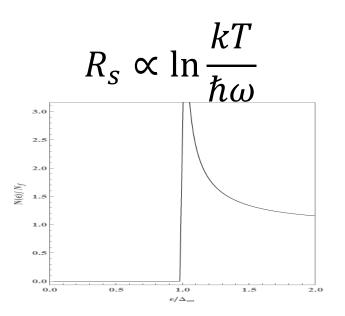
2.0

FIG. 7. Arrhenius plots for $R_s(T)$ calculated from Eq. (82)-(83) for $\hbar\omega = 0.01\Delta$ and $\Gamma/\Delta_0 = 0.01$, 0.03 and 0.06. Here $R_1 = \mu_0^2 \lambda^3 \omega \Delta/2\hbar \rho_s$, the temperature dependencies of Δ and λ at $T < T_c/2$ are neglected, and $\Delta(\Gamma)$ is given by Eq. (20)

Why does R_s decrease as Γ increases?

A. Gurevich, Phys. Rev. Lett. **113**, 087001 (2014) A. Gurevich, Supercond. Sci. Technol. **30**, 034004 (2017)

$R_s \sim \int d\epsilon N(\epsilon) N(\epsilon + \hbar \omega) e^{-\epsilon/kT}$ we have



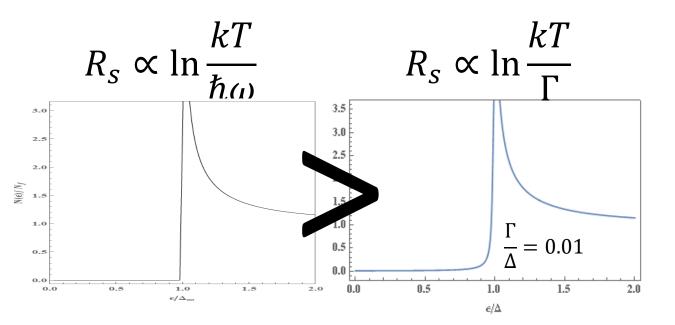
Since

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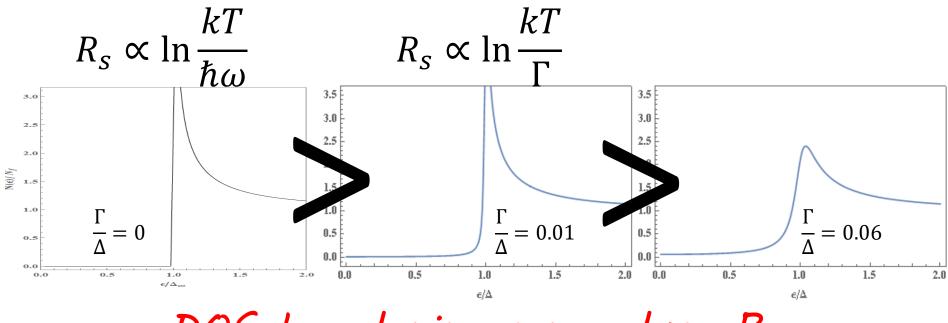


Why does R_s decrease as Γ increases?

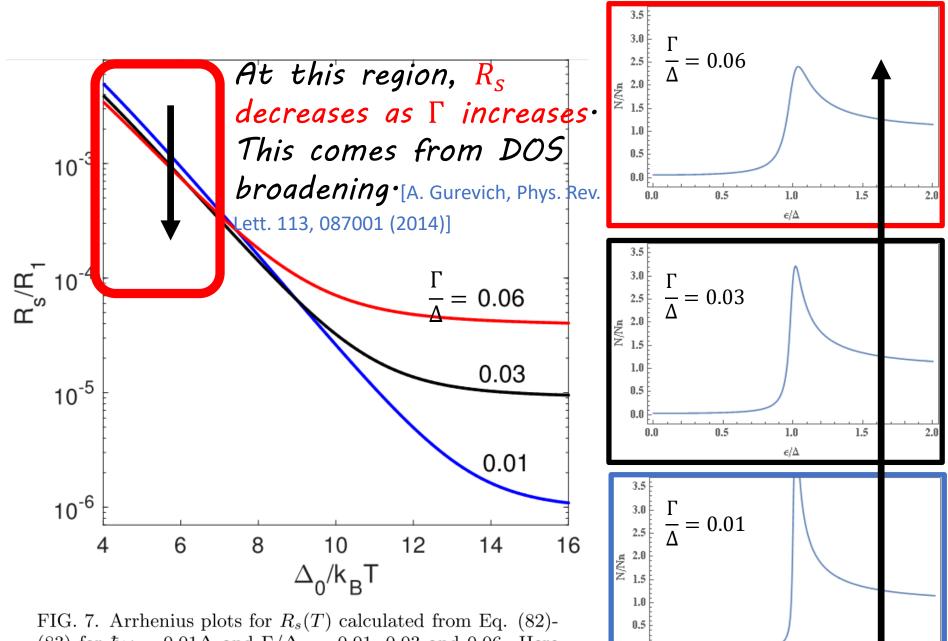
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DOS broadening can reduce R_s



0.0

0.0

0.5

1.0

 ϵ / Δ

1.5

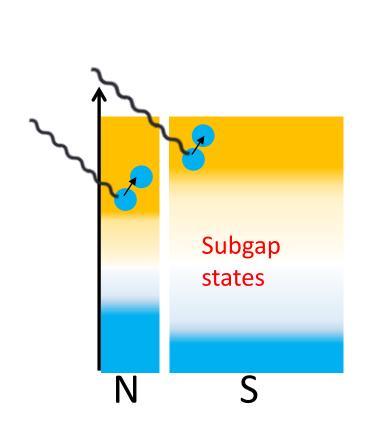
2.0

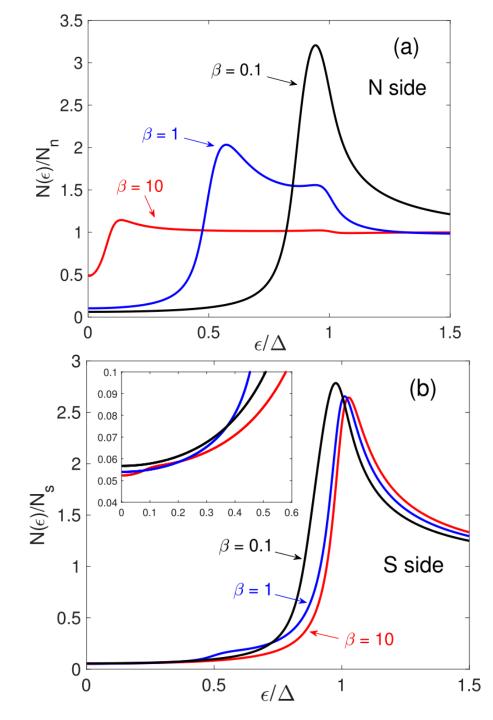
(83) for $\hbar\omega = 0.01\Delta$ and $\Gamma/\Delta_0 = 0.01$, 0.03 and 0.06. Here $R_1 = \mu_0^2 \lambda^3 \omega \Delta/2\hbar \rho_s$, the temperature dependencies of Δ and λ at $T < T_c/2$ are neglected, and $\Delta(\Gamma)$ is given by Eq. (20)

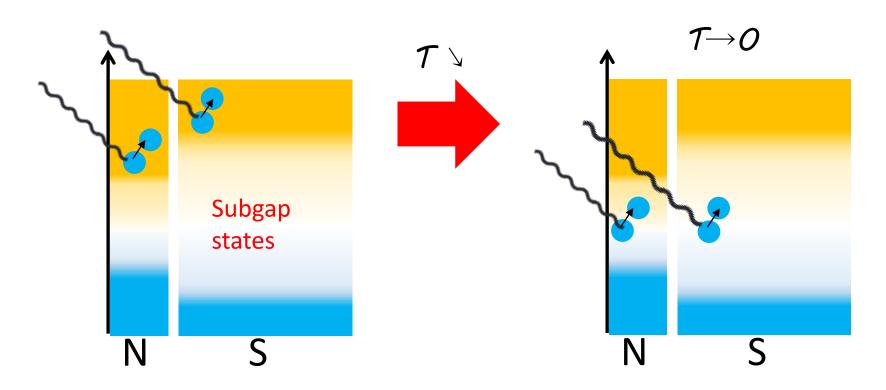
Surface Resistance

(1) Ideal surface without subgap states
 (2) Ideal surface with subgap states
 (3) normal thin layer on the surface

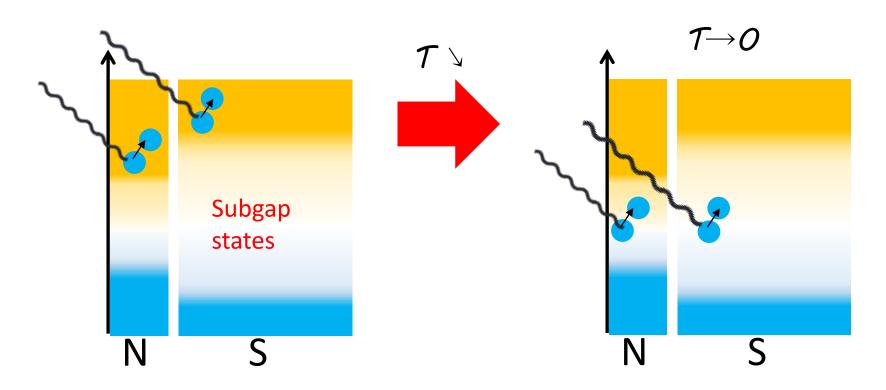
As seen in the above, DOS is broaden due to proximity effect. Thus we can expect R_s can be reduced by the same mechanism as before: R_s reduction by the broadening of DOS peak.







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$$R_{i} = \frac{1}{2}\mu_{0}^{2}\omega^{2}\lambda^{3}\sigma_{s}\left[\frac{\Gamma^{2}}{\Delta^{2}+\Gamma^{2}} + \frac{\tilde{\alpha}\Gamma^{2}\left(\Delta+\beta\sqrt{\Delta^{2}+\Gamma^{2}}\right)^{2}}{(\Delta^{2}+\beta^{2}\Gamma^{2})(\Delta^{2}+\Gamma^{2}) + 2\beta\Gamma^{2}\Delta\sqrt{\Delta^{2}+\Gamma^{2}}}\right]$$

 R_s as functions of T^{-1}

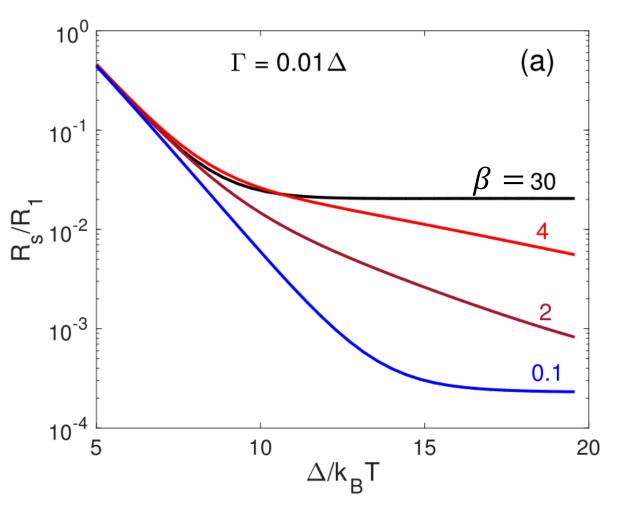


FIG. 10. Arrhenius plots calculated from Eqs. (79)-(81) for $\alpha = 0.05$, $\lambda = 4\xi_S$, $\hbar\Omega = 11\Delta$, $D_n = D_s/3$, $\beta = 0.1, 2, 4, 30$, and (a) $\Gamma = 0.01\Delta$, (b) $\Gamma = 0.05\Delta$. Here $R_1 = \mu_0^2 \omega^2 \xi_S \lambda^2 / 2\rho_s$.

R_s as functions of T^{-1}

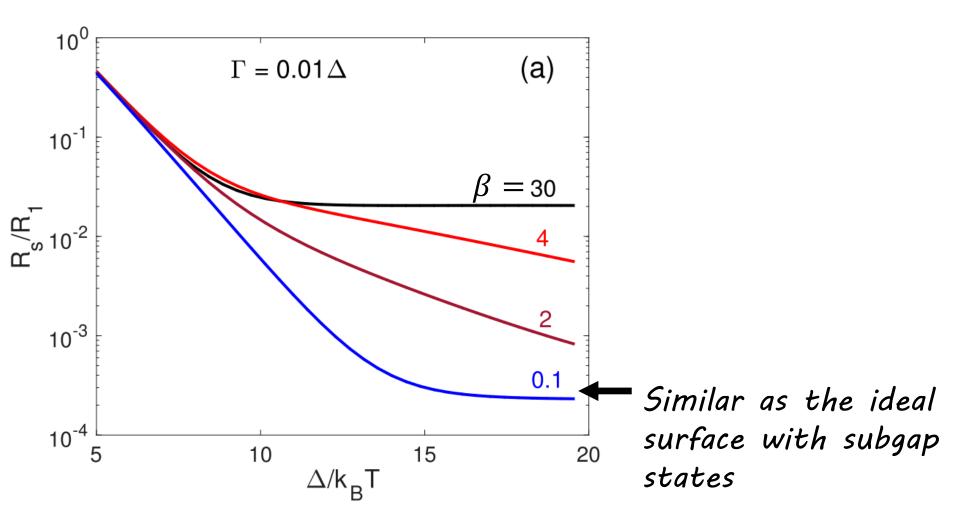


FIG. 10. Arrhenius plots calculated from Eqs. (79)-(81) for $\alpha = 0.05$, $\lambda = 4\xi_S$, $\hbar\Omega = 11\Delta$, $D_n = D_s/3$, $\beta = 0.1, 2, 4, 30$, and (a) $\Gamma = 0.01\Delta$, (b) $\Gamma = 0.05\Delta$. Here $R_1 = \mu_0^2 \omega^2 \xi_S \lambda^2 / 2\rho_s$.

R_s as functions of T^{-1}

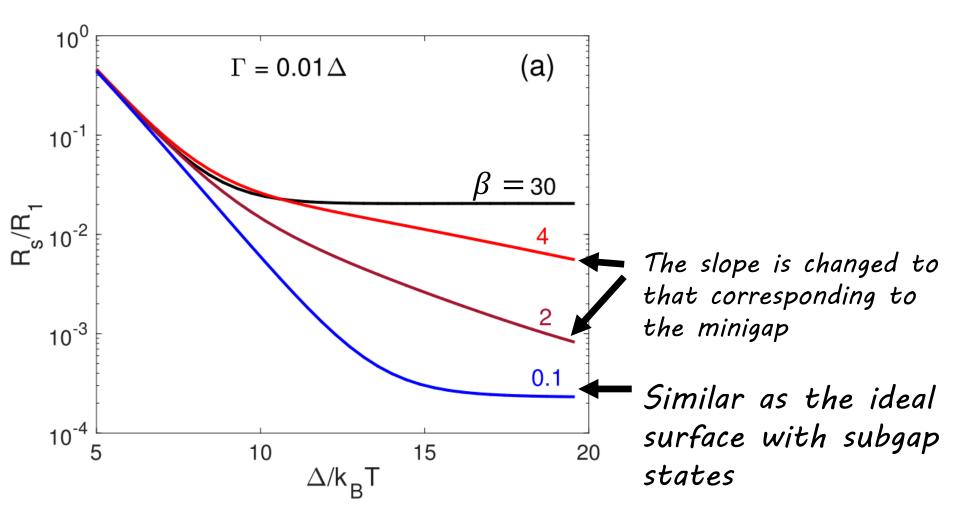


FIG. 10. Arrhenius plots calculated from Eqs. (79)-(81) for $\alpha = 0.05$, $\lambda = 4\xi_S$, $\hbar\Omega = 11\Delta$, $D_n = D_s/3$, $\beta = 0.1$, 2, 4, 30, and (a) $\Gamma = 0.01\Delta$, (b) $\Gamma = 0.05\Delta$. Here $R_1 = \mu_0^2 \omega^2 \xi_S \lambda^2 / 2\rho_s$.

 R_{c} as functions of T^{-1}

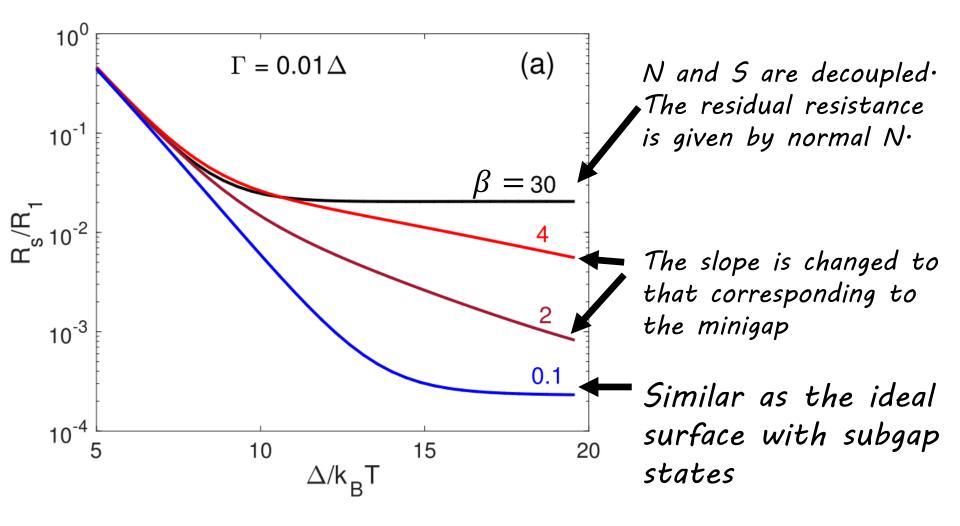
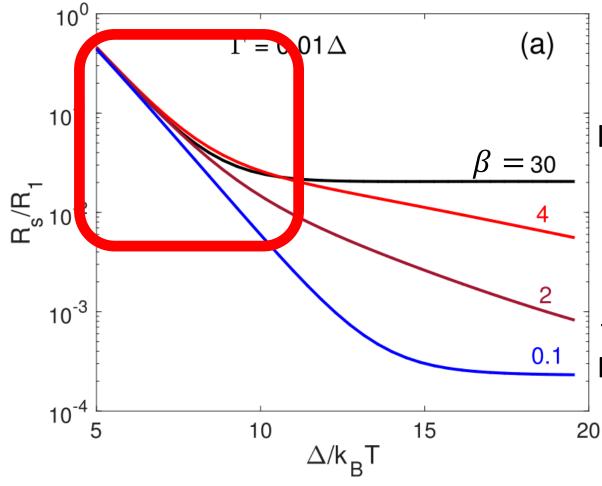


FIG. 10. Arrhenius plots calculated from Eqs. (79)-(81) for $\alpha = 0.05$, $\lambda = 4\xi_S$, $\hbar\Omega = 11\Delta$, $D_n = D_s/3$, $\beta = 0.1, 2, 4, 30$, and (a) $\Gamma = 0.01\Delta$, (b) $\Gamma = 0.05\Delta$. Here $R_1 = \mu_0^2 \omega^2 \xi_S \lambda^2 / 2\rho_s$.

R_s as functions of T^{-1}



Extrapolating the results obtained in a limited temperature window may lead to a wrong conclusion.

e.g. At Δ/kT < 10, the curves for β=4 and 30 are nearly the same: the traditional fitting based on R_s=R_{MB}+R_i would suggest Ri at β=30, while their actual T dependence are much different at a lower T.

FIG. 10. Arrhenius plots calculated from Eqs. (79)-(81) for $\alpha = 0.05$, $\lambda = 4\xi_S$, $\hbar\Omega = 11\Delta$, $D_n = D_s/3$, $\beta = 0.1, 2, 4, 30$, and (a) $\Gamma = 0.01\Delta$, (b) $\Gamma = 0.05\Delta$. Here $R_1 = \mu_0^2 \omega^2 \xi_S \lambda^2 / 2\rho_s$.

 R_s as functions of T^{-1}

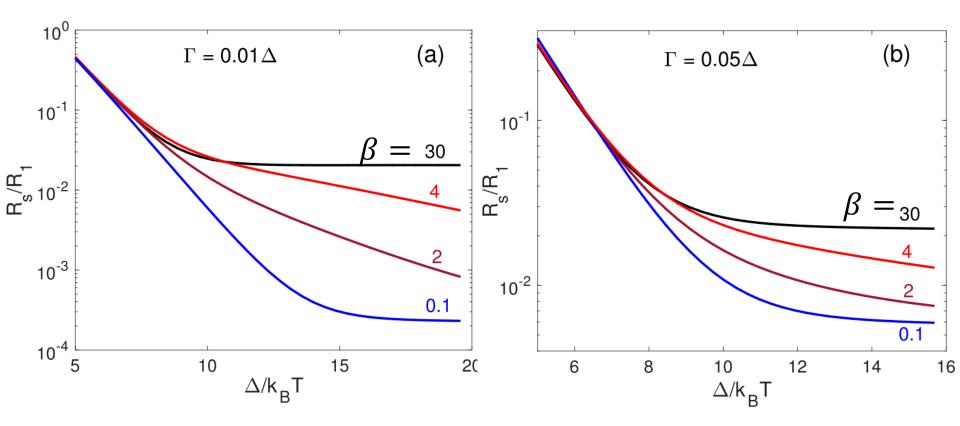
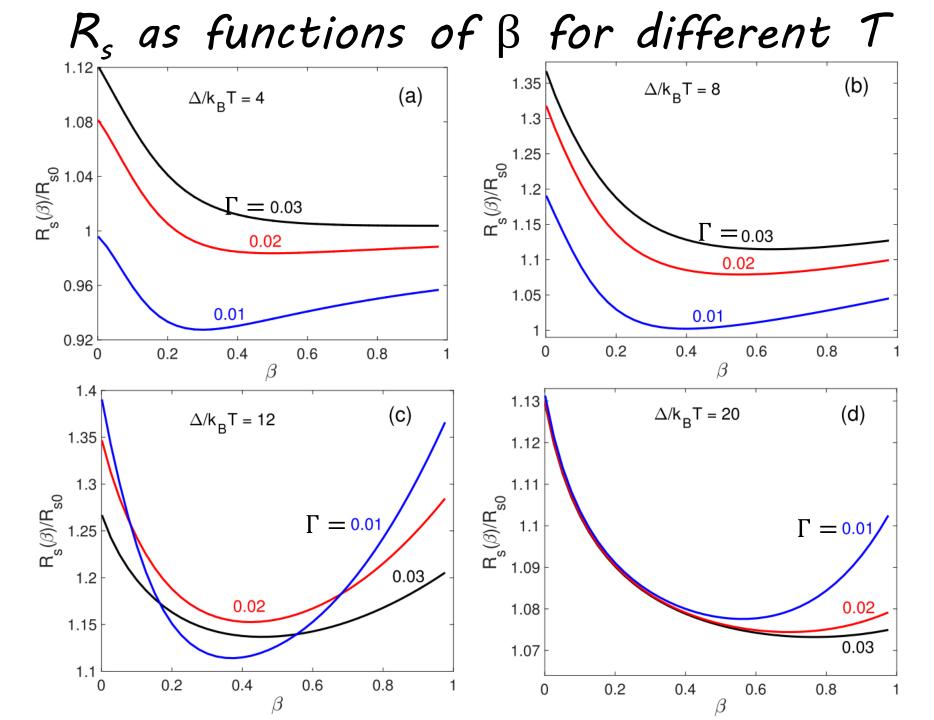
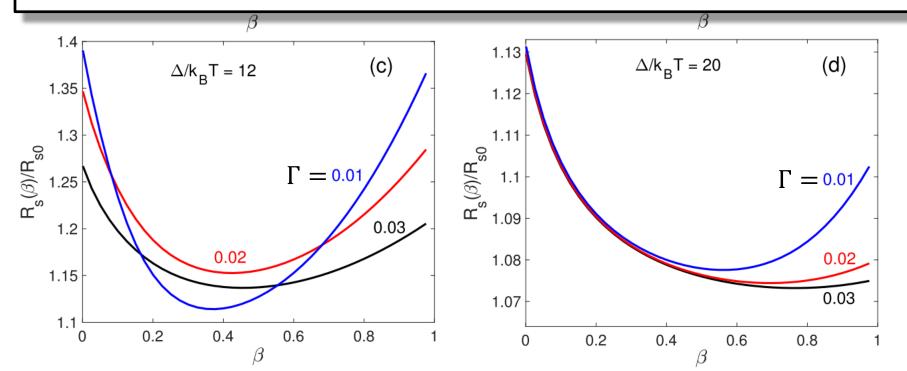


FIG. 10. Arrhenius plots calculated from Eqs. (79)-(81) for $\alpha = 0.05$, $\lambda = 4\xi_S$, $\hbar\Omega = 11\Delta$, $D_n = D_s/3$, $\beta = 0.1$, 2, 4, 30, and (a) $\Gamma = 0.01\Delta$, (b) $\Gamma = 0.05\Delta$. Here $R_1 = \mu_0^2 \omega^2 \xi_S \lambda^2 / 2\rho_s$.



The minimum in $Rs(\beta)$ mainly results from interplay of two effects.

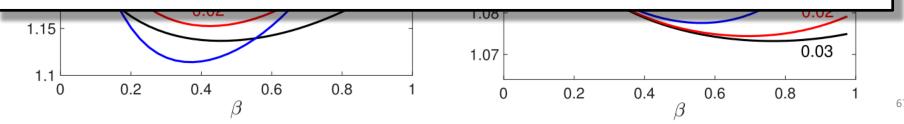
<u>The first effect</u> which causes Rs to increase with β is rather transparent: as the barrier parameter β increases the proximity-induced superconductivity in N layer weakens, so the RF dissipation and Rs increase.

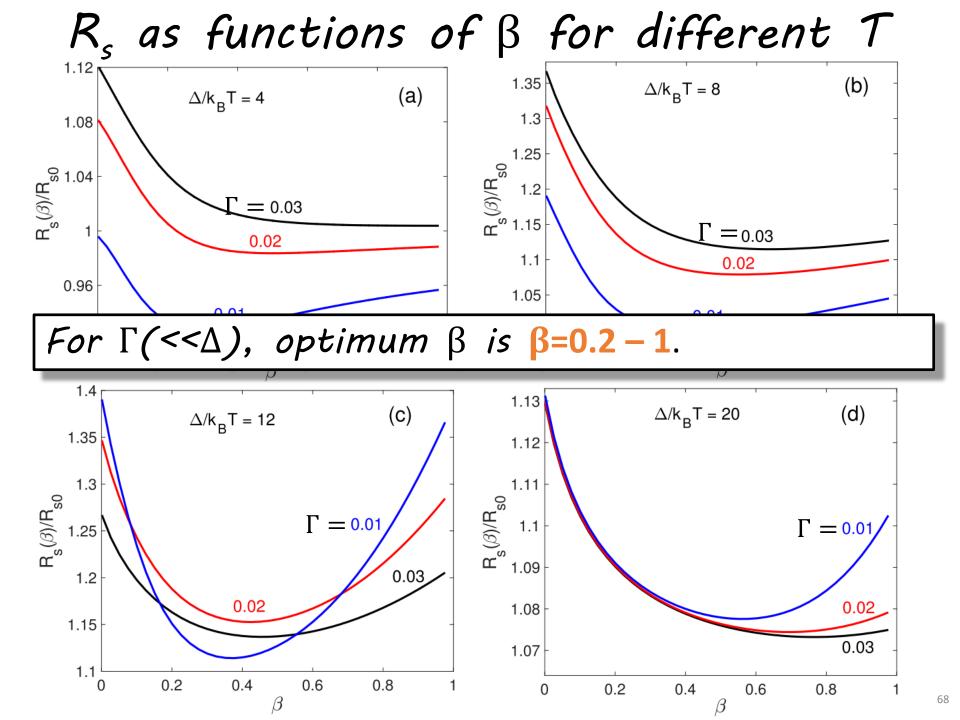


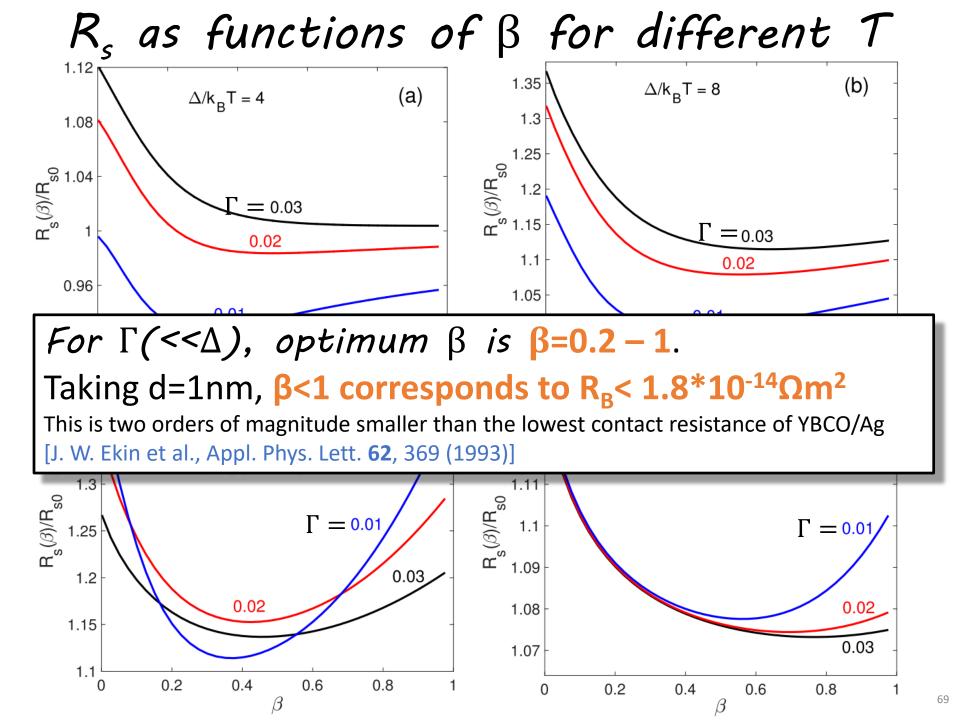
The minimum in $Rs(\beta)$ mainly results from interplay of two effects.

<u>The first effect</u> which causes Rs to increase with β is rather transparent: as the barrier parameter β increases the proximity-induced superconductivity in N layer weakens, so the RF dissipation and Rs increase.

<u>The second effect</u> which causes the initial decrease of Rs with β results from the change in DOS around N layer· A moderate broadening of the gap peaks in N(ϵ) eliminates the BCS logarithmic divergence at $\omega \rightarrow 0$ and reduces Rs ·







Surface Resistance Bulk magnetic impurities

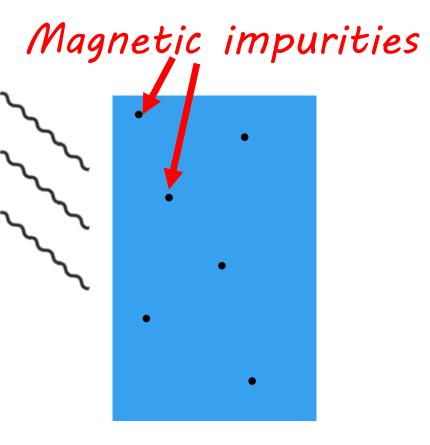
We will see broadening of DOS peak due to magnetic impurities can also reduce R_s.

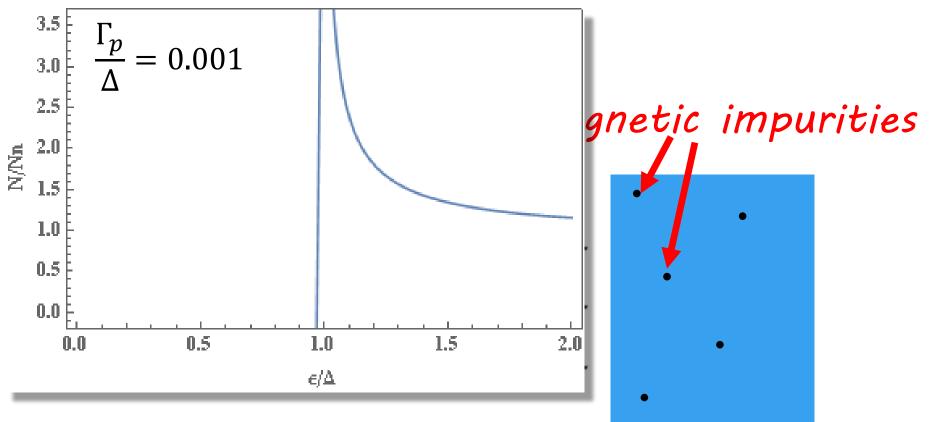
We use the quasiclassical theory in the diffusive limit (Usadel eq·)·

 $\epsilon \sinh \theta + i\Gamma_p \cosh \theta \sinh \theta = \tilde{\Delta} \cosh \theta$ $G^R = \cosh \theta \qquad F^R = \sinh \theta$ $\tilde{\Delta} = \Delta - \frac{\pi}{4}\Gamma_p, \qquad \Gamma_p \ll \Delta$

$$\Gamma_p = \frac{\hbar v_F}{2\ell_p}$$

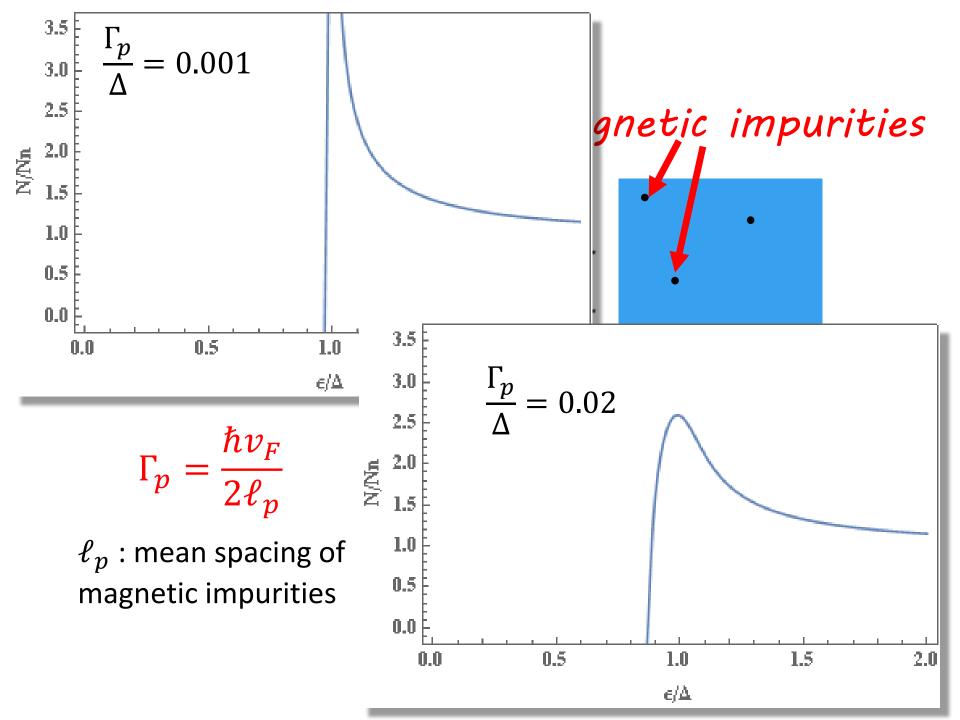
 ℓ_p : mean spacing of magnetic impurities



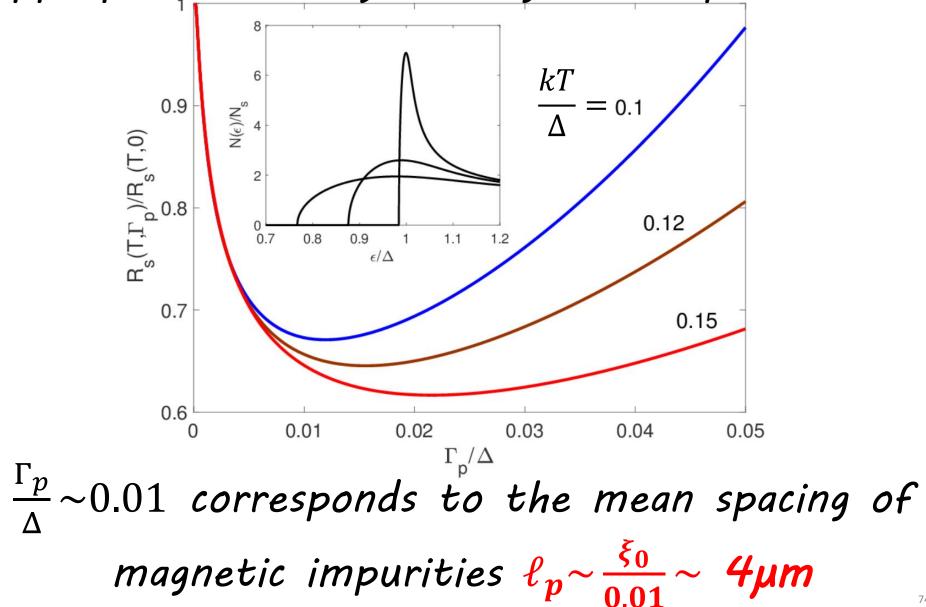


$$\Gamma_p = \frac{\hbar v_F}{2\ell_p}$$

 ℓ_p : mean spacing of magnetic impurities



The surface resistance can be reduced by an appropriate density of magnetic impurities!

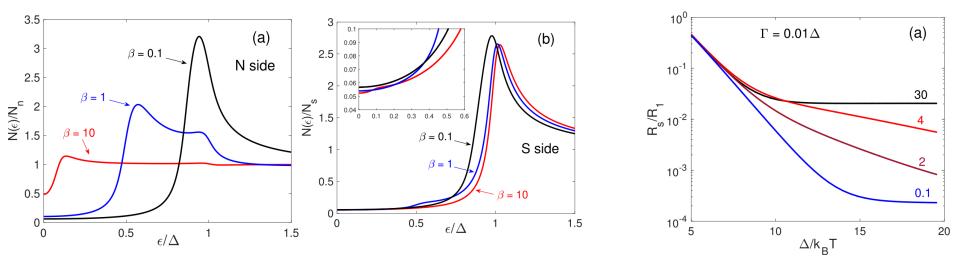


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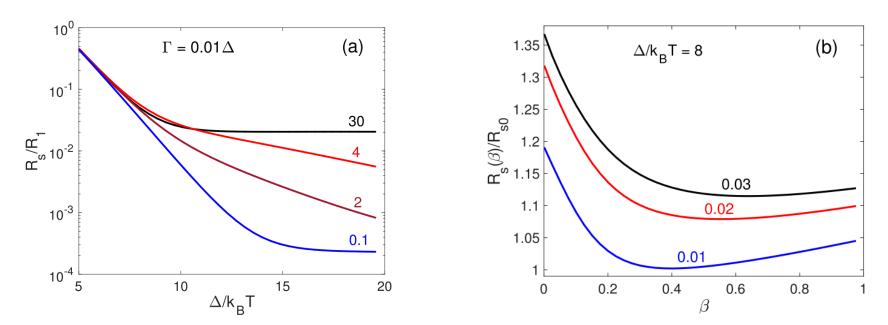


- The main broadening effect can occur in a layer much thinner than λ: DOS in the bulk can be much sharper than the surface.
- \bullet Tunneling surface probes such as STM do not give all information about DOS in $x\lesssim \lambda\cdot$
- Fitting the tunneling data of DOS with Dynes formula and extracting Γ to describe the low-T surface impedance Z can be misleading. A combination of tunneling measurement and Z in a sufficiently broad T range may offer a possibility to separate the surface and bulk contributions.



Summary (cont.)

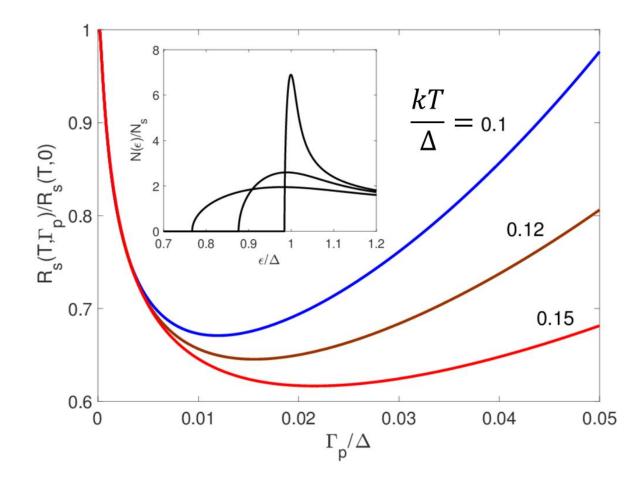
- A thin pairbreaking layer or a weakly-coupled normal layer at the surface can radically (by orders of magnitude) increase R_i as compared to an ideal surface with only bulk broadening mechanisms.
- However, $R_s(T)$ can be reduced by optimizing DOS at the surface by tuning the properties of a proximity-coupled N layer at the surface $[\beta<1 \text{ corresponds to } R_B<1.8*10^{-14}\Omega m^2$ for Nb with d=1nm normal layer]



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Summary (cont.)

• Introducing a tiny density of magnetic impurities $(l_p \sim \mu m)$ for Nb) leads to moderator broaden DOS and reduces Rs.



Backup

Units of temperature and surface resistance for Nb case

$$\frac{k_B T}{\Delta} \approx \frac{T}{17.5 \text{K}}$$

$$R_1 = \frac{\mu_0^2 \lambda^3 \omega \Delta}{2\hbar \rho_s} \sim 10^{-4} \Omega \qquad \text{(Slide 48)}$$

$$R_1 = \frac{\mu_0^2 \omega^2 \lambda^2 \xi_s}{2\rho_s} \sim 10^{-7} \Omega \qquad \text{(Slide 59)}$$