

Simulation of Scalar Field Theories with Complex Actions

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Outline

- PT Symmetry and a new algorithm
- Models: Bose gas, positivity violations and pattern formation
- The special problem of $i\phi^3$

Acknowledgements

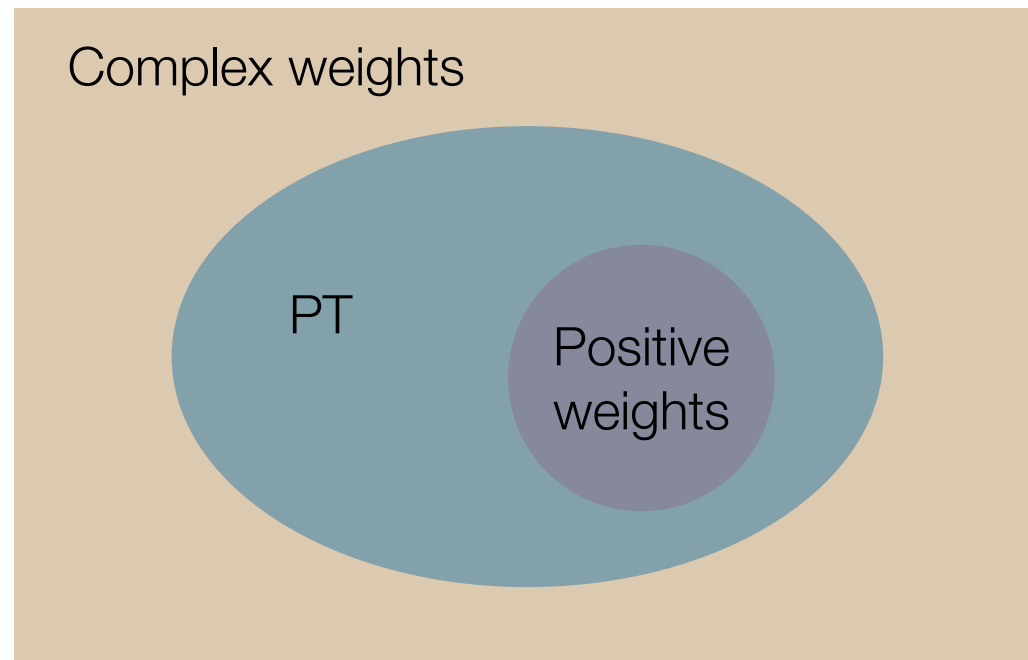
- Leandro Medina: this work arxiv:1712.02842
- Kamal Pangeni and Hiro Nishimura: PNJL and related models, strong-coupling lattice models at finite density: 1401.7982, 1411.4959, 1512.09131, 1612.09575
- Stella Schindler and Moses Schindler: current work on 1st-order transitions
- Carl Bender and Zohar Nussinov: advice and discussions

The Sign Problem

Within the general class of problems with complex weights, there is a distinguished class: those with a

PT symmetry:

$$\mathcal{L}(\chi) = \mathcal{L}(-\chi)^*$$



Bender & Boettcher, PRL 1998
Meisinger & mco 1208.5077

- Motivated by Lee-Yang theory and the $i\phi^3$ field theory
- Many favorite sign problem models are PT-symmetric, e.g., the charged Bose gas and QCD at finite density. In those cases, the symmetry is CK where C is charge conjugation and K is complex conjugation.
- Unbroken PT symmetry implies that transfer matrix eigenvalues are either real or form a complex conjugate pair. Z is real but not necessarily positive.

An algorithm for PT-symmetric models

- Any PT symmetric theory can be transformed into a form where all weights are real.
- Key steps are rewriting the kinetic and potential terms as Fourier transforms. This can be understood as a partial duality transform.
- Local, easy to implement, works in any dimension
- ***For models satisfying the positive weight condition, the sign problem is completely solved.***

$$S(\chi) = \sum_x \left[\frac{1}{2} (\partial_\mu \chi(x))^2 + V(\chi(x)) - ih(x)\chi(x) \right]$$

$$\text{PT Symmetry: } V(\chi)^* = V(-\chi)$$

$$\exp \left[\frac{1}{2} (\partial \chi_x)^2 \right] = \int d\pi_\mu(x) \exp \left[\frac{1}{2} \pi_\mu(x)^2 + i\pi_\mu(x) \partial_\mu \chi_x \right]$$

$$w(\chi) \equiv \exp[-V(\chi)]$$

$$w(\chi)^* = w(-\chi) \implies \tilde{w}(\tilde{\chi}) \equiv F[w] \in R$$

$$\tilde{w} > 0 \implies \tilde{V} \equiv -\log[\tilde{w}] \in R$$

$$Z = \int \prod_x d\pi_\mu(x) \exp \left\{ - \sum_x \left[\frac{1}{2} \pi_\mu^2(x) + \tilde{V}(\partial \cdot \pi(x) - h(x)) \right] \right\}$$

Two Components and the Bose Gas

The Bose gas at finite density has the same anti-linear symmetry as QCD: CK where C is charge conjugation and K is complex conjugation. The key is treatment of the temporal derivative term:

$$\Psi = \frac{1}{\sqrt{2}} (\phi + i\chi) \quad \text{PT Symmetry: } \mathcal{L}(\phi, \chi)^* = \mathcal{L}(\phi, -\chi)$$

Key: Fourier transform
time derivative and
mass term together

$$K_0 = (e^\mu \Psi'^* - \Psi^*) (e^{-\mu} \Psi' - \Psi) + \frac{1}{2} m^2 (\Psi'^* \Psi' + \Psi^* \Psi)$$

After Fourier transformation of χ :

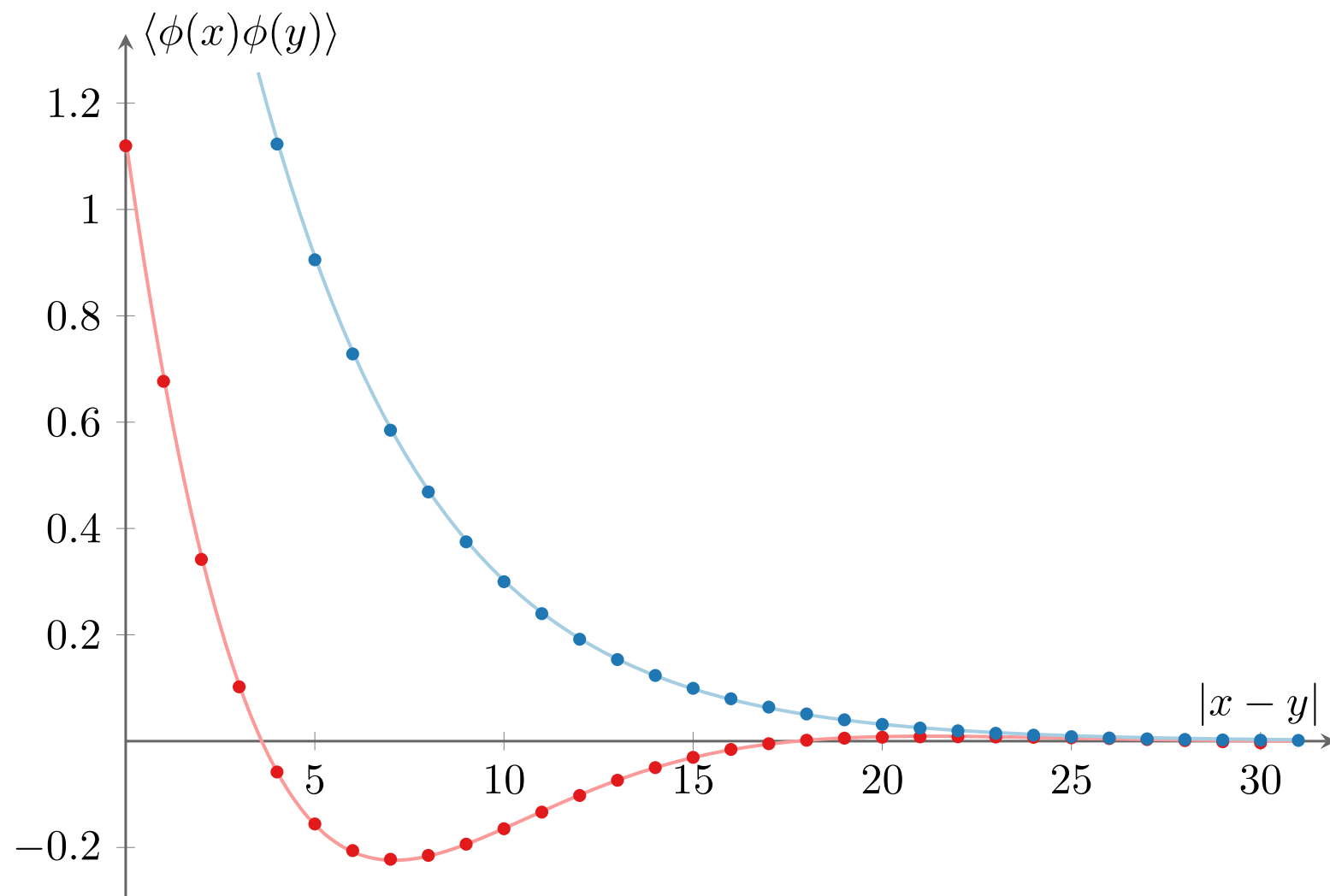
$$\tilde{S} = \frac{1}{2} \cosh \mu (\partial_4 \phi)^2 + \frac{1}{2} (\nabla \phi)^2 + \frac{1}{2} m^2 \phi^2 + \frac{1}{2} \pi_\mu^2 + \tilde{V}(\phi, \pi_\mu)$$

$$\tilde{V}(\phi, \pi_\mu) = \frac{1}{2m^2} \left(\cosh \mu (\partial_4 \pi_4) + \nabla \cdot \vec{\pi} - \sinh \mu [\phi(x + \hat{e}_4) - \phi(x - \hat{e}_4)] \right)^2$$

Exactly solvable quadratic model (ICQ)

$$V(\phi, \chi) = m_\phi^2 \phi^2 / 2 + m_\chi^2 \chi^2 / 2 - ig\phi\chi$$

$$\tilde{V}(\phi, \partial \cdot \pi) = m_\phi^2 \phi^2 / 2 + (\partial \cdot \pi - g\phi)^2 / 2m_\chi^2$$

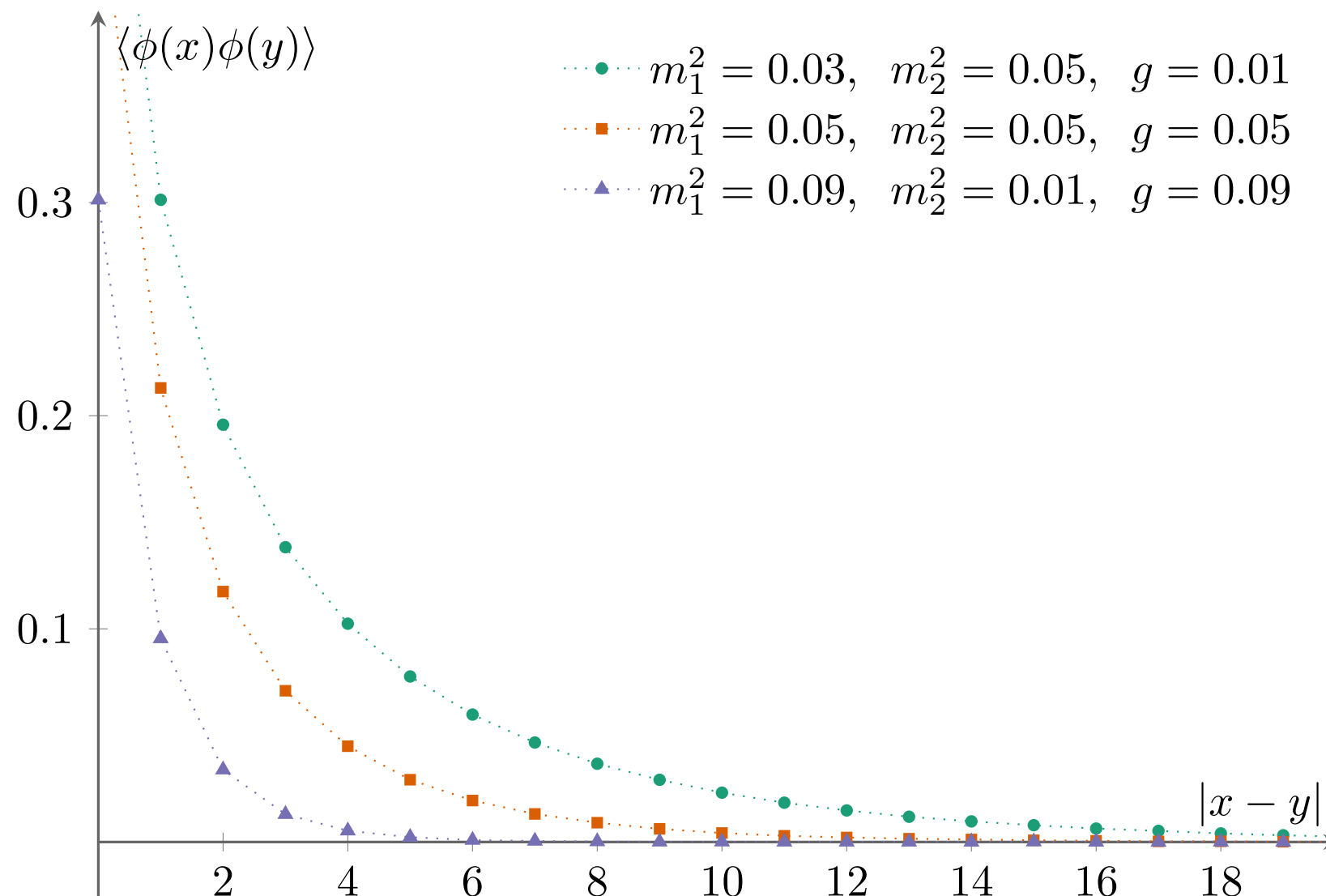


- Exactly solvable in any dimension
- PT symmetry implies masses are either both real OR form a conjugate pair
- Spectral positivity **always** broken
- Data points: 1d simulations, error bars negligible
- Lines: exact continuum solution

Imaginary Yukawa coupling (ICY)

$$V(\phi, \chi) = m_\phi^2 \phi^2 / 2 + m_\chi^2 \chi^2 / 2 - ig \chi \phi^2$$

$$\tilde{V}(\phi, \pi_\mu) = m_\phi^2 \phi^2 / 2 + (\partial \cdot \pi - g \phi^2)^2 / 2m_\chi^2$$



- No sign of any complex mass pairs in d=1,2 or 3.
- This model goes smoothly into a phi fourth model in a scaled limit where g and m chi go to infinity.

Double Well with imaginary coupling (ICDW)

local & complex form:

$$S = \sum_x \left[\frac{1}{2} (\partial \phi_x)^2 + \frac{1}{2} (\partial \chi_x)^2 + \lambda(\phi^2 - v^2)^2 + m_\chi^2 \chi^2 / 2 - ig \chi \phi \right]$$

local & real form:

$$\tilde{S} = \sum_x \left[\frac{1}{2} (\partial \phi_x)^2 + \frac{1}{2} \pi_{x\mu}^2 + \frac{1}{2m^2} (\partial \cdot \pi - g\phi)^2 + \lambda(\phi^2 - v^2)^2 \right]$$

nonlocal real form:

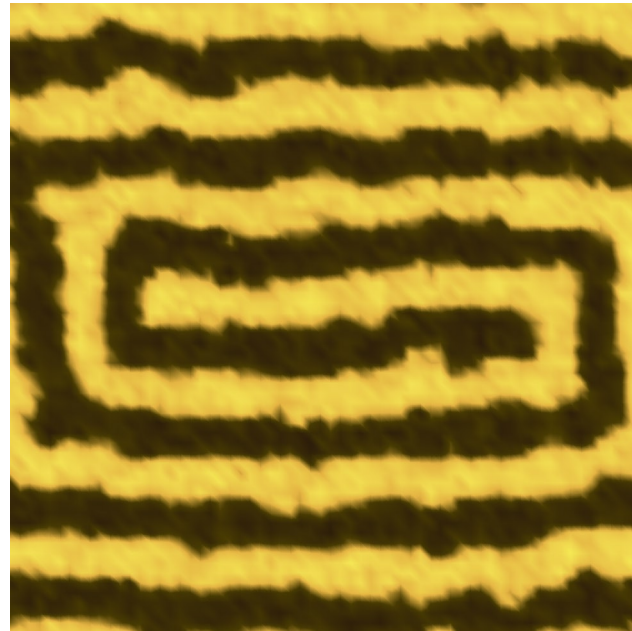
$$S_{eff} = \sum_x \left[\frac{1}{2} (\partial_\mu \phi(x))^2 + U(\phi) \right] + \frac{g^2}{2} \sum_{x,y} \phi(x) \Delta(x-y) \phi(x)$$

Configuration snapshots of ICDW model

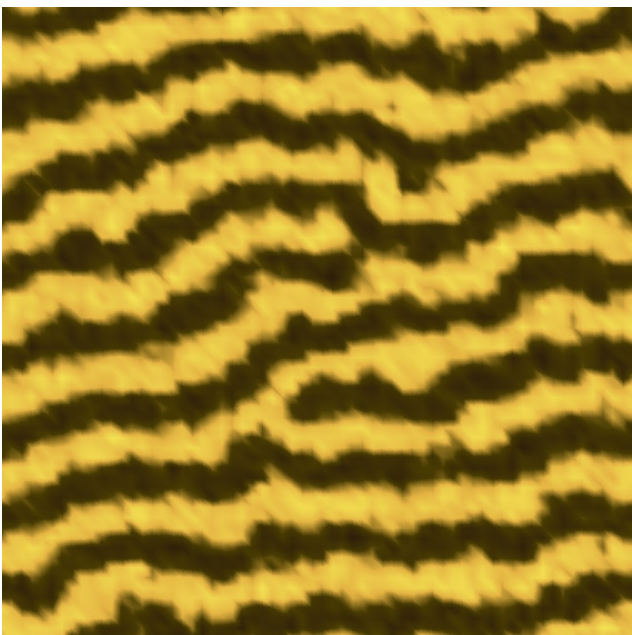
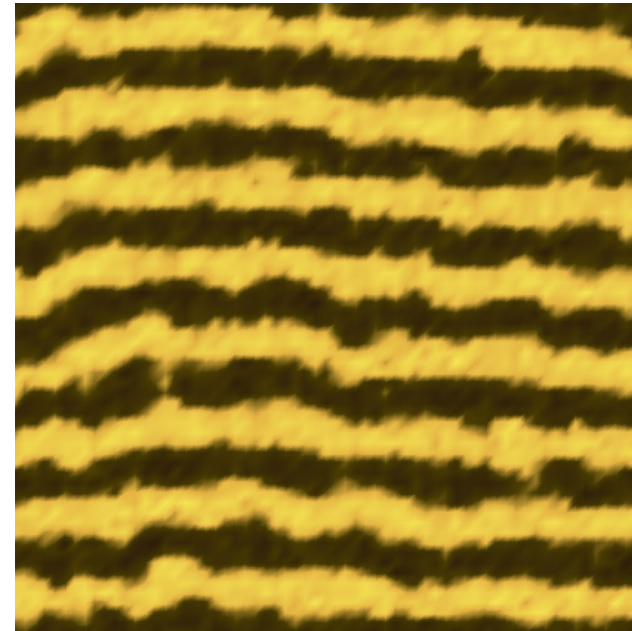
$g = 0.9$



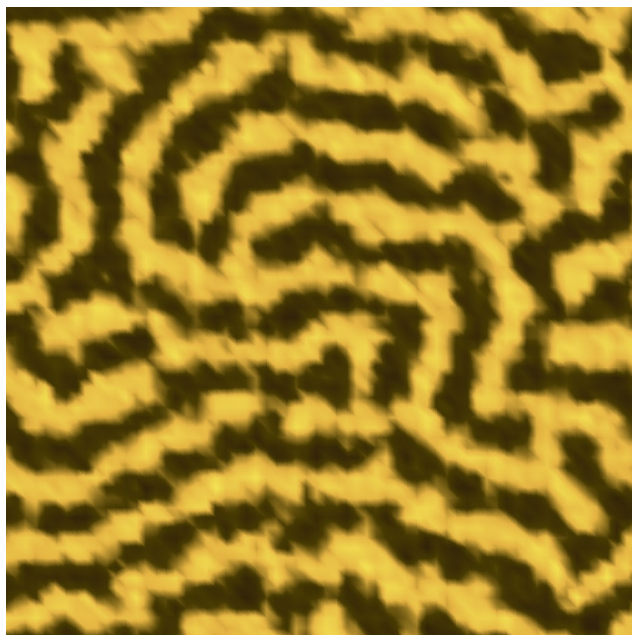
$g = 1.0$



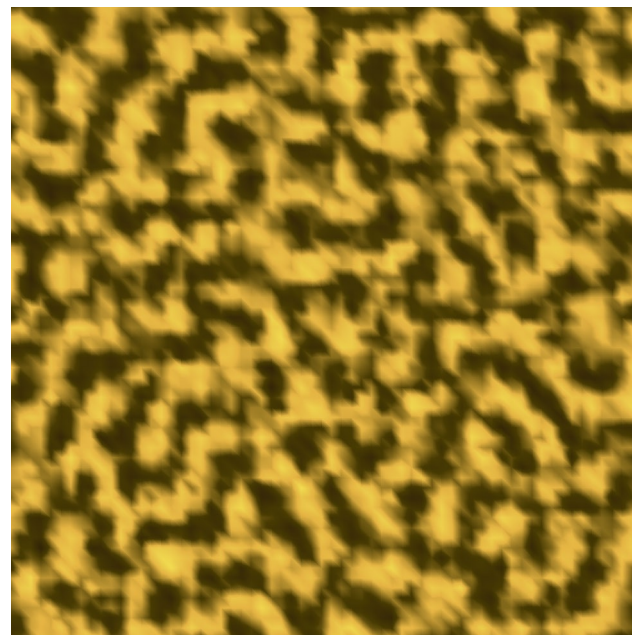
$g = 1.1$



$g = 1.2$



$g = 1.3$



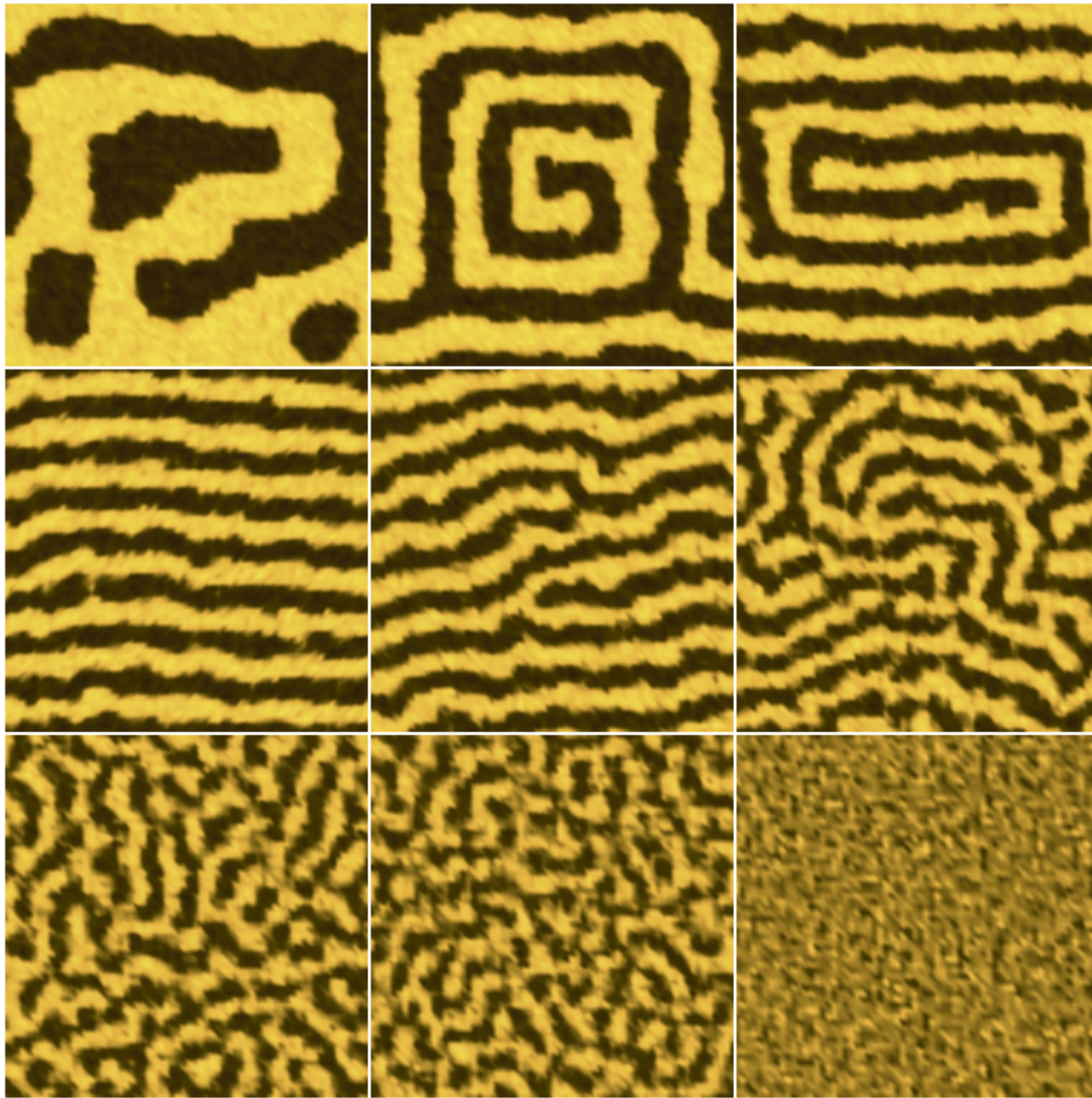
$g = 1.5$

$$m_{\chi}^2 = 0.5, \lambda = 0.1 \text{ \& } \nu = 3$$

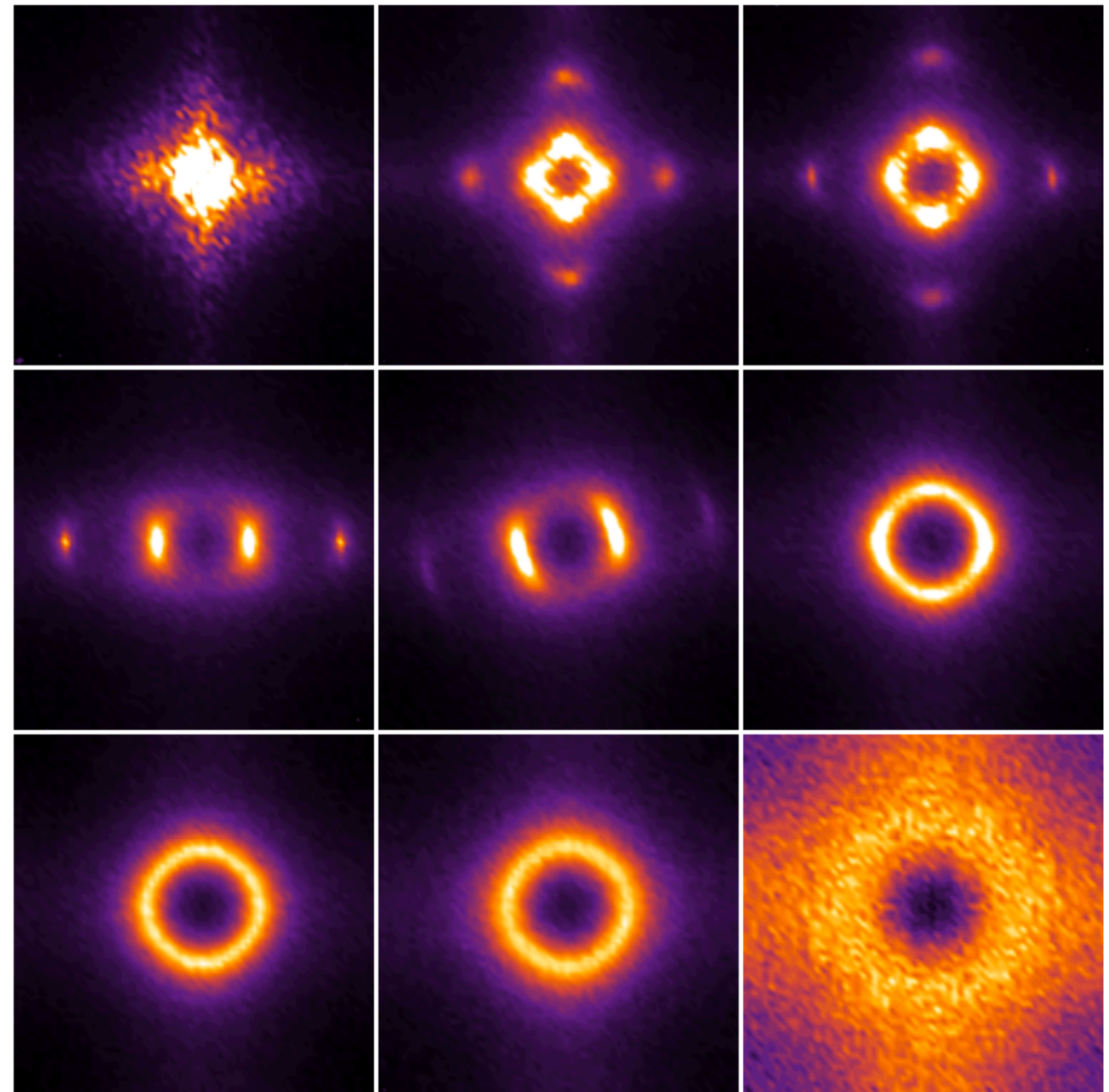
- Many different striping behaviors
- Rotational symmetry breaking effects obvious at smaller g
- Larger g , behavior is similar to that seen in spinodal decomposition
- These patterns change very slowly in the course of simulations, and this is physical:
slow modes

ICDW & the Ring of Fire

Configurations

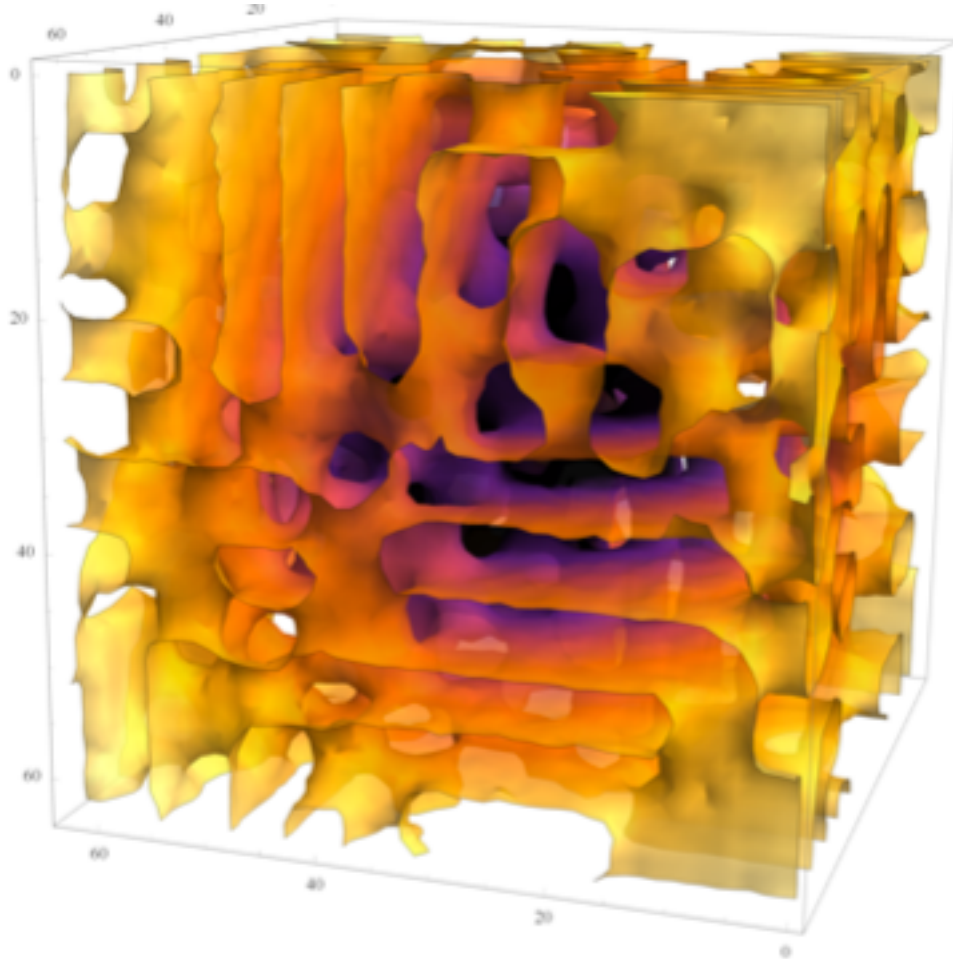


Averaged Fourier transform of configurations

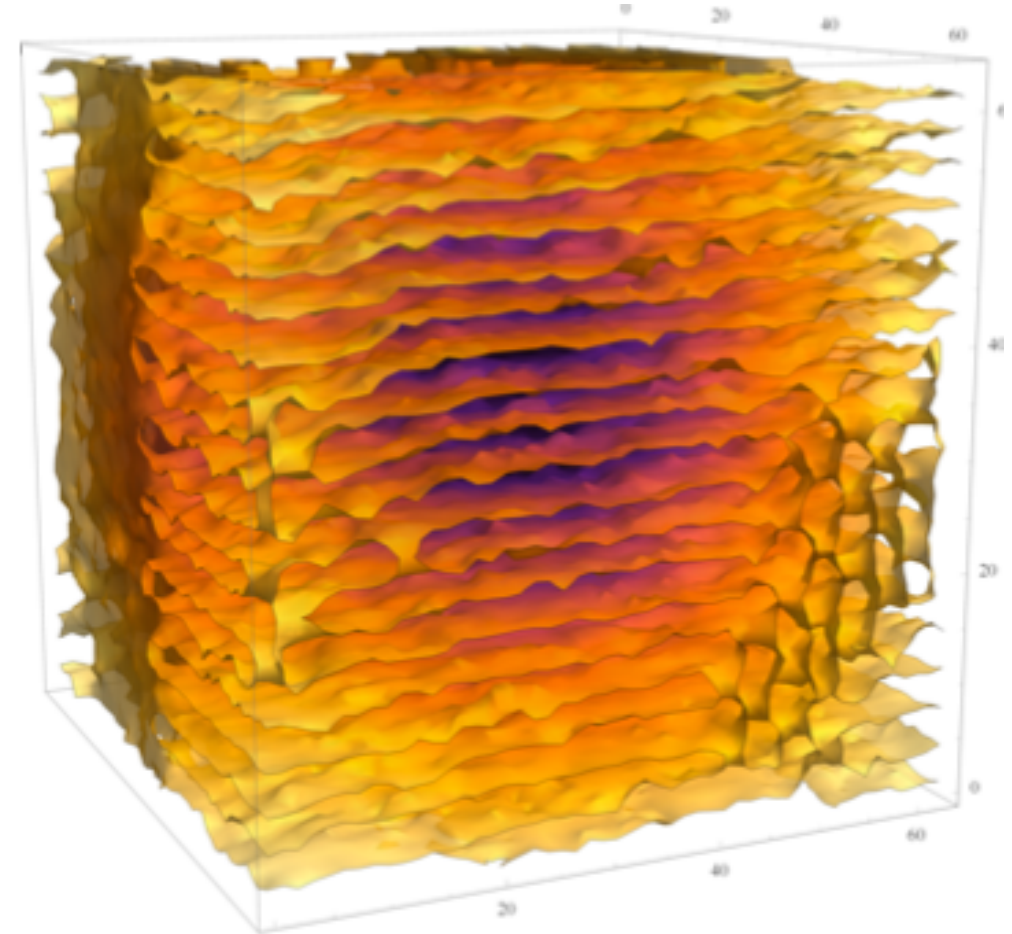


Pattern formation due to a tachyonic instability!

3d Pattern formation



$g=1.0$



$g=1.4$

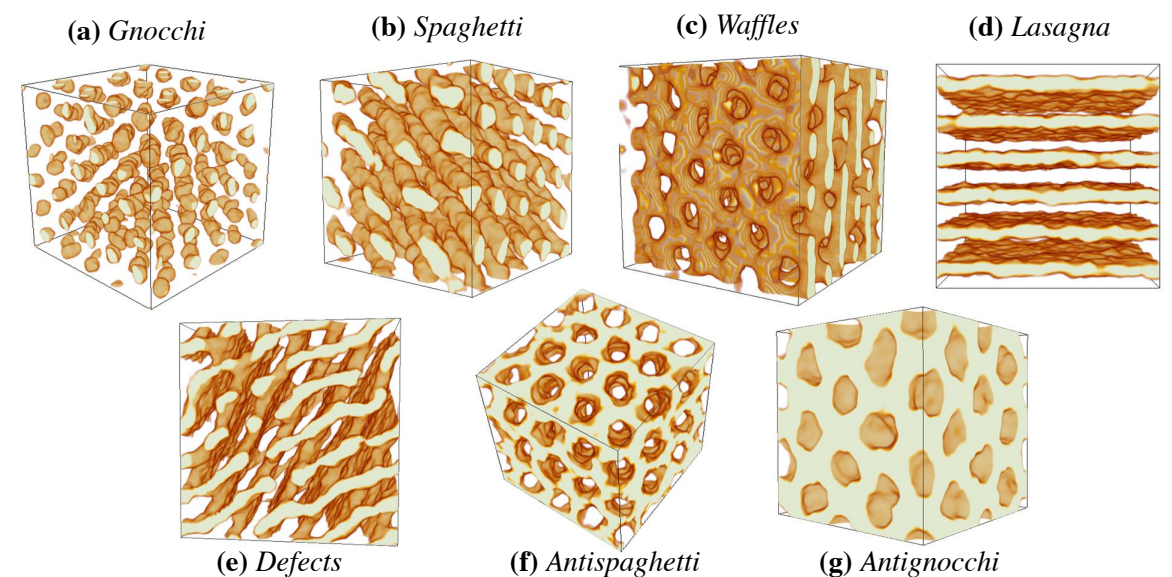
Configuration snapshots of ϕ in the three-dimensional ICDW model on a 64^3 lattice two values of g . The other parameters are $m_\chi^2 = 0.5$, $\lambda = 0.1$ and $\nu = 3$. The surfaces represent the domain walls between $\phi > 0$ and $\phi < 0$ regions. The color has no meaning and is meant only to guide the eye.

Connections

Nuclear pasta

- Predicted in inner crust of neutron stars
- Due to competition between nuclear and Coulomb forces.

Ravenhall *et al.*, 1983; Hashimoto *et al.* 1984; Horowitz *et al.* 2004

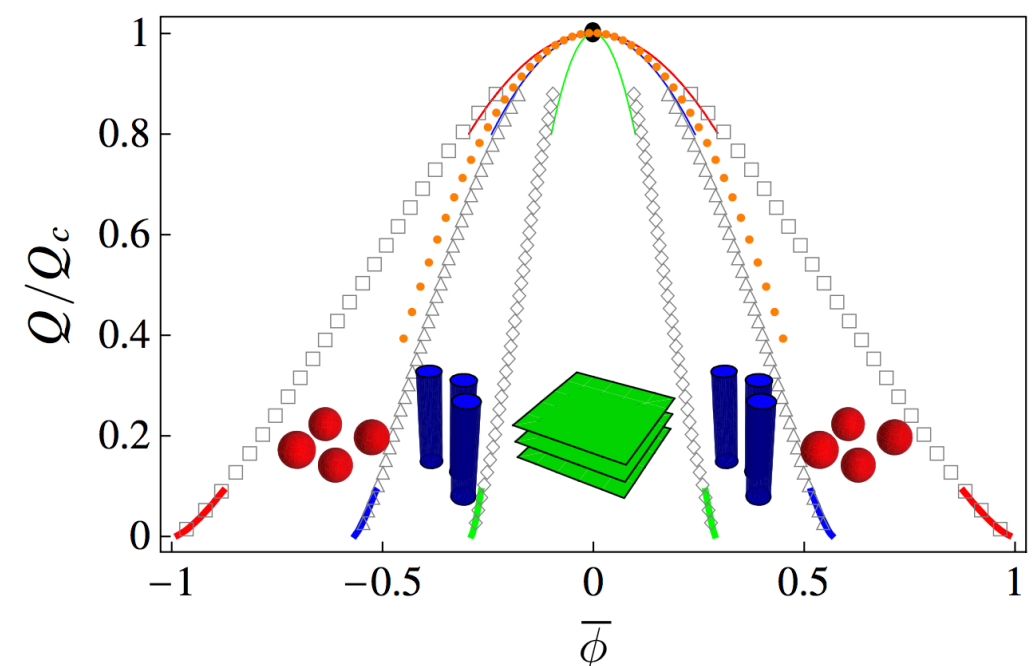


Caplan and Horowitz 1606.03646

Other complex systems

Seul and Andelman, Science 1995

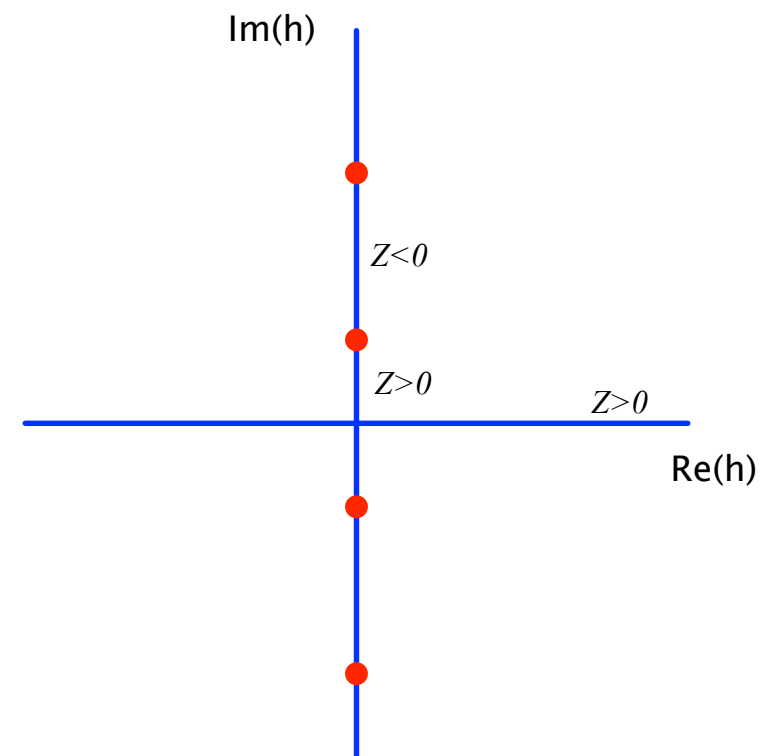
Common Feature: slow modes



Ortiz *et al.*, PRL .100.246402 (2008)

The case of $i\phi^3$ transitions

- Models in the $i\phi^3$ universality class are problematic. The original case of the Ising model in an imaginary field is instructive: the partition function must vary in sign as Lee-Yang zeros are crossed.
- This behavior has a natural explanation using PT symmetry: zeros arise when the largest eigenvalues of the transfer matrix form a conjugate pair.
- See also: [de Forcrand & Rindlisbacher, 1711.00042](#)



$$Z = \sum_p \left(\lambda_p^N + \lambda_p^{*N} \right) + \sum_r \lambda_r^N$$
$$Z \approx \lambda_0^N + \lambda_0^{*N} = \left| \lambda_0 \right|^N 2 \cos(N\phi_0)$$

Spin models: Z(3)

Generic spin model
with complex spins

$$Z = \text{Tr}_z \exp \left[\bar{z}_x G_{xy}^{-1} z_y + h_{Rx} z_{Rx} + i h_{Ix} z_{Ix} \right] \quad \tilde{G} \simeq J/(q^2 + m^2)$$

Real representation

$$\tilde{S} = \frac{1}{2J} (\partial \phi_x)^2 + \frac{J}{2} \pi_{x\mu}^2 + \frac{m^2}{2J} \phi_x^2 + \frac{J}{2m^2} (\partial \cdot \pi_x)^2 + \sum_x \tilde{U}(\phi_x, \partial \cdot \pi_x)$$

$$\exp \left[-\tilde{U}(\phi_x, \tilde{\chi}_x) \right] = \text{Tr}_{z_x} \exp \left[\frac{J}{2m^2} z_{Ix}^2 + (h_{Rx} + \phi_x) z_{Rx} + i \left(h_{Ix} - \frac{J}{m^2} \tilde{\chi} \right) z_{Ix} \right]$$

$$Z(3): \quad \exp \left[-\tilde{U}(\phi_x, \tilde{\chi}_x) \right] = 1 + \exp \left[\frac{3J}{4m^2} - \frac{3}{2} (h_{Rx} + \phi_x) \right] 2 \cos \left[\frac{\sqrt{3}}{2} \left(h_{Ix} - \frac{J}{m^2} \tilde{\chi} \right) \right]$$

- This factor becomes negative when ϕ_x becomes sufficiently negative. These regions can be eliminated by a mild deformation of the model.
- Models that have $i\phi^3$ transitions somewhere in their parameter space will typically have negative contributions to Z which dominate when $Z < 0$.
- For $Z(3)$, the $i\phi^3$ transition is obtained when h_R becomes very negative.

Conclusions

- There is a simple, local algorithm that allows for simulation of a large class of scalar models with complex actions. This provides benchmark results for all proposed algorithms. The presence of an $i\phi^4$ transition embedded within a particular model's parameter space is an obstacle to a successful resolution of a sign problem.
- Simulation indicates that pattern formation is an expected feature associated with models with sign problems and a phase transition. It can be driven by a tachyonic instability of the global translation-invariant phase. This is closely related to spinodal decomposition.
- Simulation of models with features in common with QCD at finite density indicate pattern formation around critical region.
- Pattern formation gives a new perspective on computational complexity but represents an opportunity to connect lattice field theory with other areas of physics.