

## Light-cone PDFs from lattice QCD

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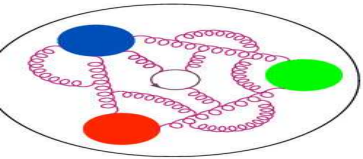
Fernanda Steffens (Univ. of Bonn)



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# Outline of the talk

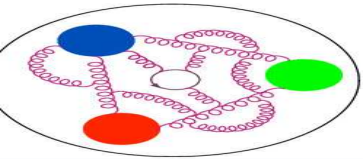


1. PDFs and quasi-PDFs
2. Procedure
3. Renormalization
4. Matching
5. Systematic effects
  - excited states

**Continued in next talk  
by Aurora Scapellato**

Based on:

- C. Alexandrou, K. Cichy, M. Constantinou, K. Jansen, A. Scapellato, F. Steffens, “Transversity parton distribution functions from lattice QCD”, arXiv: 1807.00232 [hep-lat]
- C. Alexandrou, K. Cichy, M. Constantinou, K. Jansen, A. Scapellato, F. Steffens, “Reconstruction of light-cone parton distribution functions from lattice QCD simulations at the physical point”, arXiv: 1803.02685 [hep-lat]
- C. Alexandrou, K. Cichy, M. Constantinou, K. Hadjiyianakou, K. Jansen, H. Panagopoulos, F. Steffens, “A complete non-perturbative renormalization prescription for quasi-PDFs”, Nucl. Phys. B923 (2017) 394-415 (Frontier Article)
- M. Constantinou, H. Panagopoulos, “Perturbative Renormalization of quasi-PDFs”, Phys. Rev. D96 (2017) 054506
- C. Alexandrou, K. Cichy, M. Constantinou, K. Hadjiyianakou, K. Jansen, F. Steffens, C. Wiese, “Updated Lattice Results for Parton Distributions”, Phys. Rev. D96 (2017) 014513



# PDFs

## Outline of the talk

### PDFs

Quasi-PDFs

Procedure

Renormalization

Matching

Systematics

Computation setup

Momentum

smearing

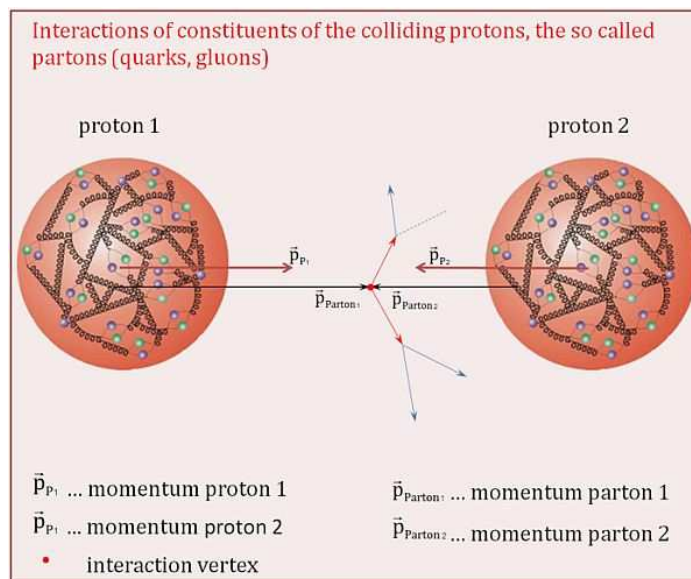
Dispersion relation

Excited states

Bare ME

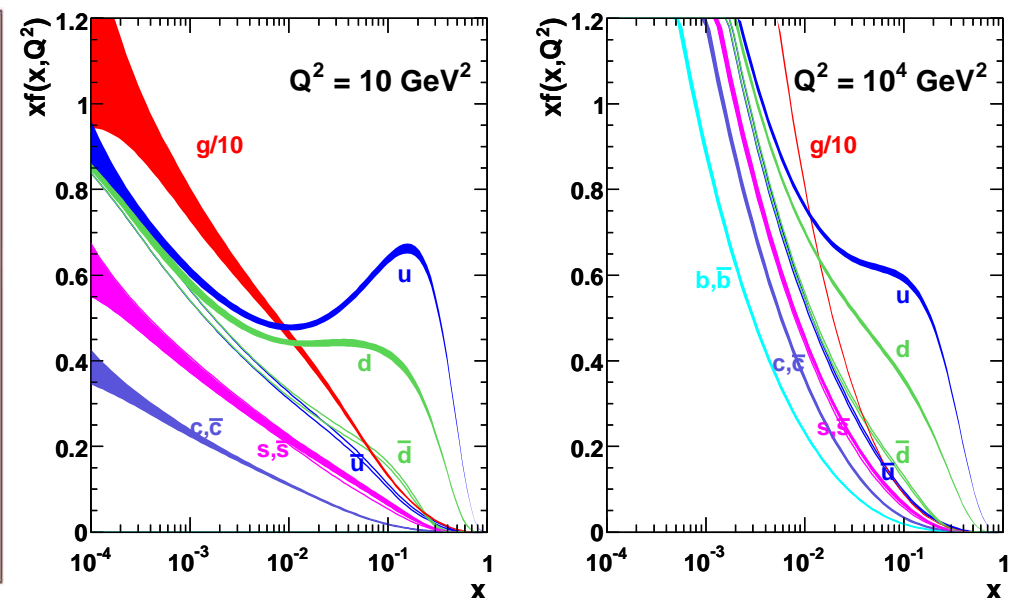
- Hadrons are complicated systems with properties resulting from the strong dynamics of quarks and gluons inside them.
- This dynamics is characterized in terms of, among others, parton distribution functions (PDFs).
- PDFs are essential in making predictions for collider experiments.

$$\sigma_{AB} = \sum_{a,b=q,g} \sigma_{ab} \otimes f_{a|A}(x_1, Q^2) \otimes f_{b|B}(x_2, Q^2)$$

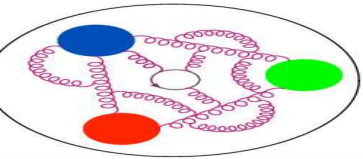


Source: LHC, CERN

MSTW 2008 NLO PDFs (68% C.L.)



MSTW2008, Eur. Phys. J. C63, 189



# PDFs – why is it difficult on the lattice?



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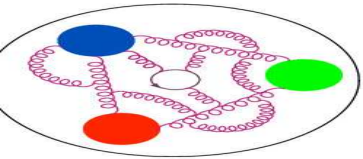
Bare ME

- PDFs have non-perturbative nature  $\Rightarrow$  LATTICE?
- But: PDFs given in terms of non-local light-cone correlators – intrinsically Minkowskian – problem for the lattice!

$$q(x) = \frac{1}{2\pi} \int d\xi^- e^{-ixp^+\xi^-} \langle N | \bar{\psi}(\xi^-) \Gamma \mathcal{A}(\xi^-, 0) \psi(0) | N \rangle,$$

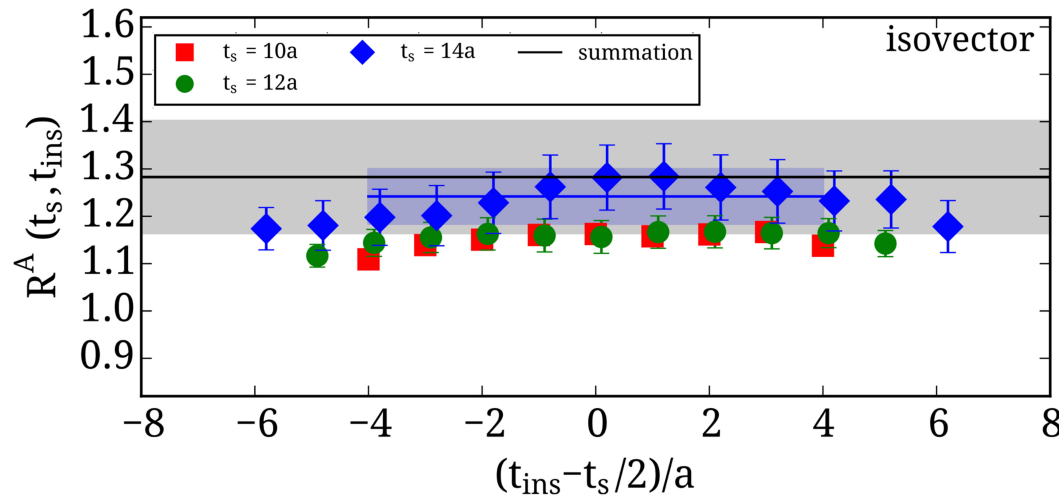
where:  $\xi^- = \frac{\xi^0 - \xi^3}{\sqrt{2}}$  and  $\mathcal{A}(\xi^-, 0)$  is the Wilson line from 0 to  $\xi^-$ .

- This expression is light-cone dominated – needs  $\xi^2 = \vec{x}^2 + t^2 \sim 0$  – very hard due to non-zero lattice spacing!
- Accessible on the lattice – moments of the distributions, but ...
  - ★ higher derivatives noisy,
  - ★ operator mixing.

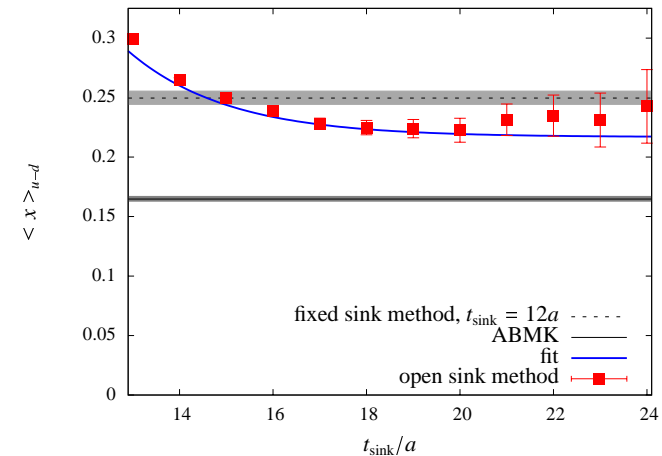


# Moments of PDFs on the lattice

There is, however, an important lesson to be learned from moments calculations:

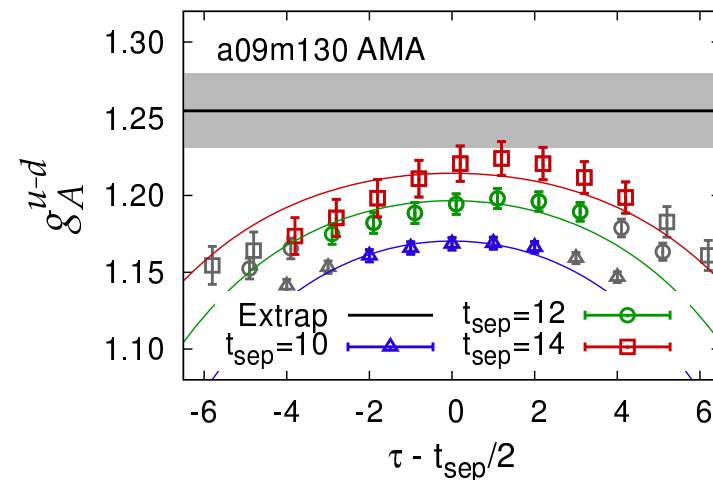


ETMC, C. Alexandrou et al.  
Phys. Rev. D93, 039904 (2016)

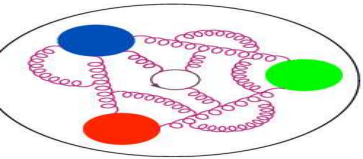


ETMC, S. Dinter et al.  
Phys. Lett. B704, 89 (2011)

- source-sink separation  $T_s$  has to be at least 1 fm!
- important to verify excited states contamination between different methods
- 2-state fits make sense **only** if one can get the **safe=large**  $T_s$  with good precision
- else, no comparison to the plateau method possible



PNDME, T. Bhattacharya et al.  
Phys. Rev. D94, 054508 (2016)



# Quasi-PDFs

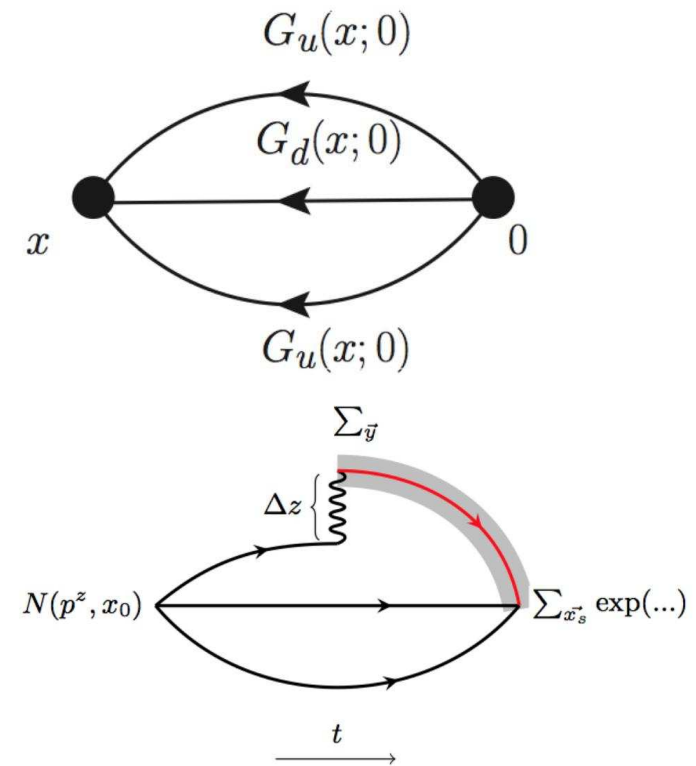
- Quasi-PDF approach:

*X. Ji, Parton Physics on a Euclidean Lattice, Phys. Rev. Lett. 110 (2013) 262002*

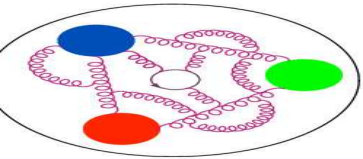
- Compute a **quasi distribution**  $\tilde{q}$ , which is **purely spatial** and uses **nucleons with finite momentum**:

$$\tilde{q}(x, \mu^2, P_3) = \int \frac{dz}{4\pi} e^{ixP_3 z} \langle N | \bar{\psi}(z) \Gamma \mathcal{A}(z, 0) \psi(0) | N \rangle.$$

- $z$  – distance in any *spatial* direction  $z$ ,
- $P_3$  – momentum boost in this direction.
- $\Gamma = \gamma_0, \gamma_3$  – unpolarized,  $\Gamma = \gamma_5 \gamma_3$  – helicity,  $\Gamma = \sigma_{31}, \sigma_{32}$  – transversity
- Theoretically very appealing and intuitive!
- Differs from light-front PDFs by  $\mathcal{O}\left(\frac{\Lambda_{\text{QCD}}^2}{P_3^2}, \frac{m_N^2}{P_3^2}\right)$ .
- The highly non-trivial aspect: how to relate  $\tilde{q}(x, \mu^2, P_3)$  to the light-front PDF  $q(x, \mu^2)$  (infinite momentum frame)  $\Rightarrow$  **LaMET**







# Quasi-PDFs on the lattice



Outline of the talk  
PDFs

**Quasi-PDFs**

Procedure

Renormalization

Matching

Systematics

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Momentum

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Bare ME

Beautiful idea and solid theoretical framework!

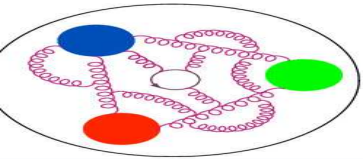
**BUT:** lattice realization far from trivial!

- Signal for the relevant nucleon 2-pt and 3-pt function depends on:
  - ★ nucleon momentum  $P_3$  – exponentially decaying with  $P_3$ !
  - ★ source-sink separation  $T_s$  – exponentially decaying with  $t_s$ !
  - ★ quark mass – worsens for smaller masses.
- Many systematics to control!

Spectrum becomes denser at larger nucleon momenta

⇒ Careful analysis of excited states contamination required at least at the largest employed  $P_3$ .

2-state fits need to be checked against the plateau method with good precision of large  $T_s$ .



# Summary of the procedure

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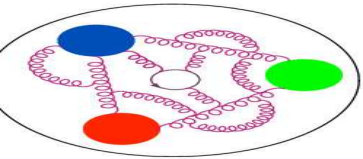
The procedure to obtain light-cone PDFs from the lattice computation can be summarized as follows:

1. Compute bare matrix elements:  $\langle N | \bar{\psi}(z) \Gamma \mathcal{A}(z, 0) \psi(0) | N \rangle$
2. Compute vertex functions and the resulting renormalization functions in the intermediate RI'-MOM scheme  $Z^{\text{RI}'}(z, \mu)$ .
3. Convert the renormalization functions to the  $\overline{\text{MS}}$  scheme and evolve to  $\bar{\mu} = 2$  GeV.
4. Apply the renormalization functions to the bare matrix elements, obtaining renormalized matrix elements in the  $\overline{\text{MS}}$  scheme.
5. Calculate the Fourier transform, obtaining quasi-PDFs:

$$\tilde{q}(x, \mu^2, P_3) = \int \frac{dz}{4\pi} e^{ixP_3 z} \langle N | \bar{\psi}(z) \Gamma \mathcal{A}(z, 0) \psi(0) | N \rangle.$$

6. Relate quasi-PDFs to light-cone PDFs via a matching procedure.
7. Apply target mass corrections to eliminate residual  $m_N/P_3$  effects.





# Renormalization



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Bare matrix elements  $\langle N | \bar{\psi}(z) \Gamma \mathcal{A}(z, 0) \psi(0) | N \rangle$  contain divergences that need to be removed:

- standard logarithmic divergence w.r.t. the regulator,  $\log(a\mu)$ ,
- power divergence related to the Wilson line; resums into a multiplicative exponential factor,  $\exp(-\delta m |z|/a + c|z|)$   
 $\delta m$  – strength of the divergence, operator independent,  
 $c$  – arbitrary scale (fixed by the renormalization prescription).

Proposed renormalization programme described in:

C. Alexandrou, K. Cichy, M. Constantinou, K. Hadjiyiannakou, K. Jansen, H. Panagopoulos, F. Steffens, “A complete non-perturbative renormalization prescription for quasi-PDFs”, Nucl. Phys. B923 (2017) 394-415 (Frontiers Article)

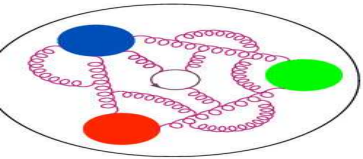
Important insights also from the lattice perturbative paper:

M. Constantinou, H. Panagopoulos, “Perturbative Renormalization of quasi-PDFs”, Phys. Rev. D96 (2017) 054506

→ mixing of  $\Gamma = \gamma_3$  and  $\Gamma = \mathbf{1}$ , important guidance to non-pert. renormalization!

Non-perturbative renormalization scheme: **RI'-MOM**.

G. Martinelli et al., Nucl. Phys. B445 (1995) 81



RI'-MOM renormalization conditions (for cases without mixing):  
for the operator:

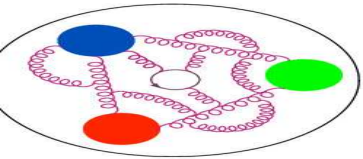
$$Z_q^{-1} Z_O(z) \frac{1}{12} \text{Tr} \left[ \mathcal{V}(p, z) (\mathcal{V}^{\text{Born}}(p, z))^{-1} \right] \Big|_{p^2 = \bar{\mu}_0^2} = 1 ,$$

for the quark field:

$$Z_q = \frac{1}{12} \text{Tr} \left[ (S(p))^{-1} S^{\text{Born}}(p) \right] \Big|_{p^2 = \bar{\mu}_0^2} .$$

- momentum  $p$  in the vertex function is set to the RI' renormalization scale  $\bar{\mu}_0$
- $\mathcal{V}(p, z)$  – amputated vertex function of the operator,
- $\mathcal{V}^{\text{Born}}$  – its tree-level value,  $\mathcal{V}^{\text{Born}}(p, z) = i\gamma_3\gamma_5 e^{ipz}$  for helicity,
- $S(p)$  – fermion propagator ( $S^{\text{Born}}(p)$  at tree-level).

This prescription handles all divergences that are present and applies the necessary finite renormalization related to the lattice regularization.



# Matching to light-front PDFs (unpolarized, helicity)



The matching formula can be expressed as:

$$q(x, \mu) = \int_{-\infty}^{\infty} \frac{d\xi}{|\xi|} C \left( \xi, \frac{\mu}{xP_3} \right) \tilde{q} \left( \frac{x}{\xi}, \mu, P_3 \right)$$

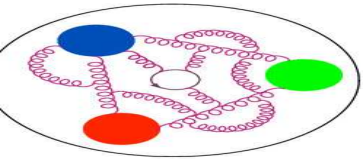
$C$  – matching kernel: [C. Alexandrou et al., arXiv:1803.02685 [hep-lat]]

$$C \left( \xi, \frac{\xi\mu}{xP_3} \right) = \delta(1 - \xi) + \frac{\alpha_s}{2\pi} C_F \begin{cases} \left[ \frac{1 + \xi^2}{1 - \xi} \ln \frac{\xi}{\xi - 1} + 1 + \frac{3}{2\xi} \right]_+ & \xi > 1, \\ \left[ \frac{1 + \xi^2}{1 - \xi} \ln \frac{x^2 P_3^2}{\xi^2 \mu^2} (4\xi(1 - \xi)) - \frac{\xi(1 + \xi)}{1 - \xi} + 2\iota(1 - \xi) \right]_+ & 0 < \xi < 1, \\ \left[ -\frac{1 + \xi^2}{1 - \xi} \ln \frac{\xi}{\xi - 1} - 1 + \frac{3}{2(1 - \xi)} \right]_+ & \xi < 0, \end{cases}$$

$\iota=0$  for  $\gamma_0$  and  $\iota=1$  for  $\gamma_3/\gamma_5\gamma_3$ .

Plus prescription at  $\xi=1$ :

$$\int \frac{d\xi}{|\xi|} \left[ C \left( \xi, \frac{\xi\mu}{xP_3} \right) \right]_+ \tilde{q} \left( \frac{x}{\xi} \right) = \int \frac{d\xi}{|\xi|} C \left( \xi, \frac{\xi\mu}{xP_3} \right) \tilde{q} \left( \frac{x}{\xi} \right) - \tilde{q}(x) \int d\xi C \left( \xi, \frac{\mu}{xP_3} \right).$$



# Matching to light-front PDFs (unpolarized, helicity)



Alternative matching: [T. Izubuchi et al., arXiv:1801.03917 [hep-ph]]

$$C\left(\xi, \frac{\xi\mu}{xP_3}\right) = \delta(1-\xi) + \frac{\alpha_s}{2\pi} C_F \left\{ \begin{array}{ll} \left[ \frac{1+\xi^2}{1-\xi} \ln \frac{\xi}{\xi-1} + 1 + \frac{3}{2\xi} \right]_{+(1)}^{[1,\infty]} - \frac{3}{2\xi} & \xi > 1, \\ \left[ \frac{1+\xi^2}{1-\xi} \ln \frac{x^2 P_3^2}{\xi^2 \mu^2} (4\xi(1-\xi)) - \frac{\xi(1+\xi)}{1-\xi} + 2\nu(1-\xi) \right]_{+(1)}^{[0,1]} & 0 < \xi < 1 \\ \left[ -\frac{1+\xi^2}{1-\xi} \ln \frac{\xi}{\xi-1} - 1 + \frac{3}{2(1-\xi)} \right]_{+(1)}^{[-\infty,0]} - \frac{3}{2(1-\xi)} & \xi < 0, \end{array} \right.$$

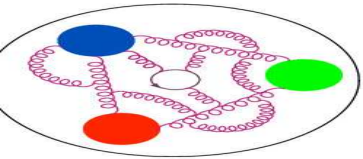
$$+ \frac{\alpha_s C_F}{2\pi} \delta(1-\xi) \left( \frac{3}{2} \ln \frac{\mu^2}{4y^2 P_3^2} + \frac{5}{2} \right)$$

violates particle number conservation:

$$\int_{-\infty}^{\infty} dx q(x, \mu) \neq \int_{-\infty}^{\infty} dx \tilde{q}(x, \mu, P_3) \quad \text{and} \quad \int_{-\infty}^{\infty} d\xi C(\xi, \xi\mu/xP_3) \neq 1,$$

which **increases** with growing  $P_3$  (around 8% at  $P_3 = 10\pi/48$ ).

In our procedure, particle number is **conserved**. This amounts to a modification of the  $\overline{\text{MS}}$  scheme; modification **decreases** with growing  $P_3$ .



# Matching to light-front PDFs (transversity)

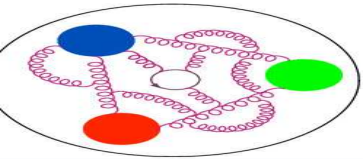


Recently we derived the matching formula for transversity PDFs ( $\overline{\text{MS}} \rightarrow \overline{\text{MS}}$ ):

[C. Alexandrou et al., arXiv:1807.00232 [hep-lat]]

$$\delta C \left( \xi, \frac{\xi \mu}{x P_3} \right) = \delta(1 - \xi) + \frac{\alpha_s}{2\pi} C_F \begin{cases} \left[ \frac{2\xi}{1-\xi} \ln \frac{\xi}{\xi-1} + \frac{2}{\xi} \right]_+ & \xi > 1, \\ \left[ \frac{2\xi}{1-\xi} \left( \ln \frac{x^2 P_3^2}{\xi^2 \mu^2} (4\xi(1-\xi)) \right) - \frac{2\xi}{1-\xi} \right]_+ & 0 < \xi < 1, \\ \left[ -\frac{2\xi}{1-\xi} \ln \frac{\xi}{\xi-1} + \frac{2}{1-\xi} \right]_+ & \xi < 0, \end{cases}$$

Formula for the transverse momentum cutoff scheme derived in: [X. Xiong et al., Phys. Rev. D 90, 014051]



# Systematics



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PDFs

Quasi-PDFs

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**Systematics**

Computation setup

Momentum  
smearing

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Bare ME

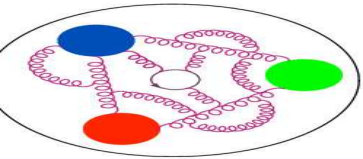
Different systematic effects still need to be addressed:

- pion mass ✓
- cut-off effects ✓✗
- finite volume effects ✓✗
- contamination by excited states ✓✗
- higher-twist effects ✓✗
- truncation of conversion, evolution and matching ✗
- lattice artifacts in renormalization functions ✓✗
- ...

Biggest challenge:

Reach large momenta at large source-sink separations





# Computation setup

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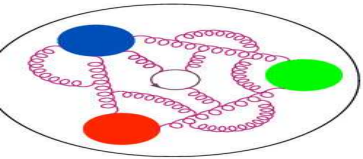
- fermions:  $N_f = 2$  twisted mass fermions + clover term
- gluons: Iwasaki gauge action,  $\beta = 2.1$

$\beta=2.10,$	$c_{\text{SW}}=1.57751,$	$a=0.0938(3)(2) \text{ fm}$
$48^3 \times 96$	$a\mu = 0.0009$	$m_N = 0.932(4) \text{ GeV}$
$L = 4.5 \text{ fm}$	$m_\pi = 0.1304(4) \text{ GeV}$	$m_\pi L = 2.98(1)$

For each gauge field configuration, we use:

- 6 directions of Wilson line:  $\pm x, \pm y, \pm z$
- 16 source positions: 1 HP inversion, 16 LP inversions
- Bias from the LP inversions corrected using the Covariant Approximation Averaging technique (CAA)

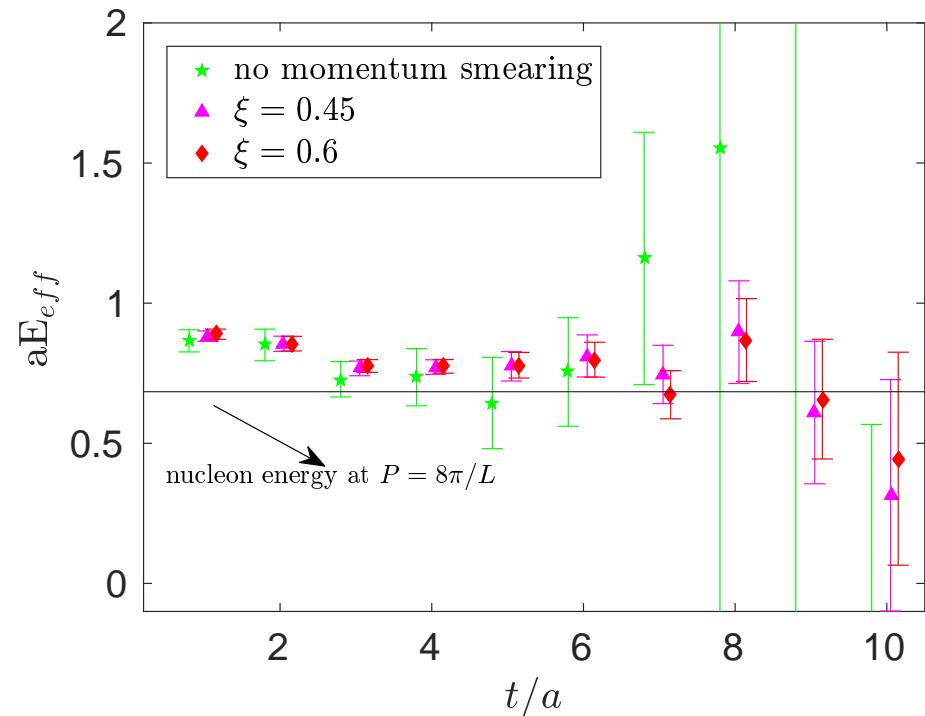
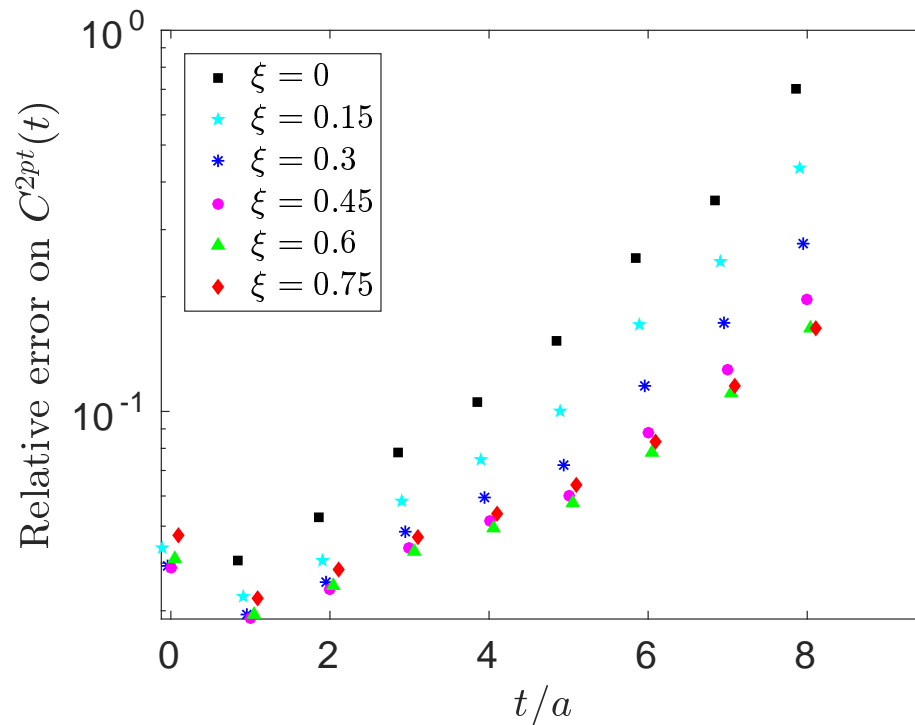
[E. Shintani et al., Phys. Rev. D91, 114511 (2015)]



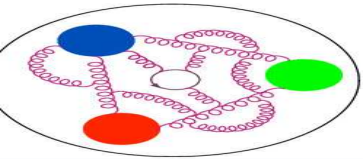
# Momentum smearing

$$S_{\text{mom}}\psi(x) = \frac{1}{1 + 6\alpha} \left( \psi(x) + \alpha \sum_{j=\pm 1}^{\pm 3} U_j(x) e^{i\xi \hat{j}} \psi(x + \hat{j}) \right)$$

[G. Bali et al., Phys. Rev. D93, 094515 (2016)]

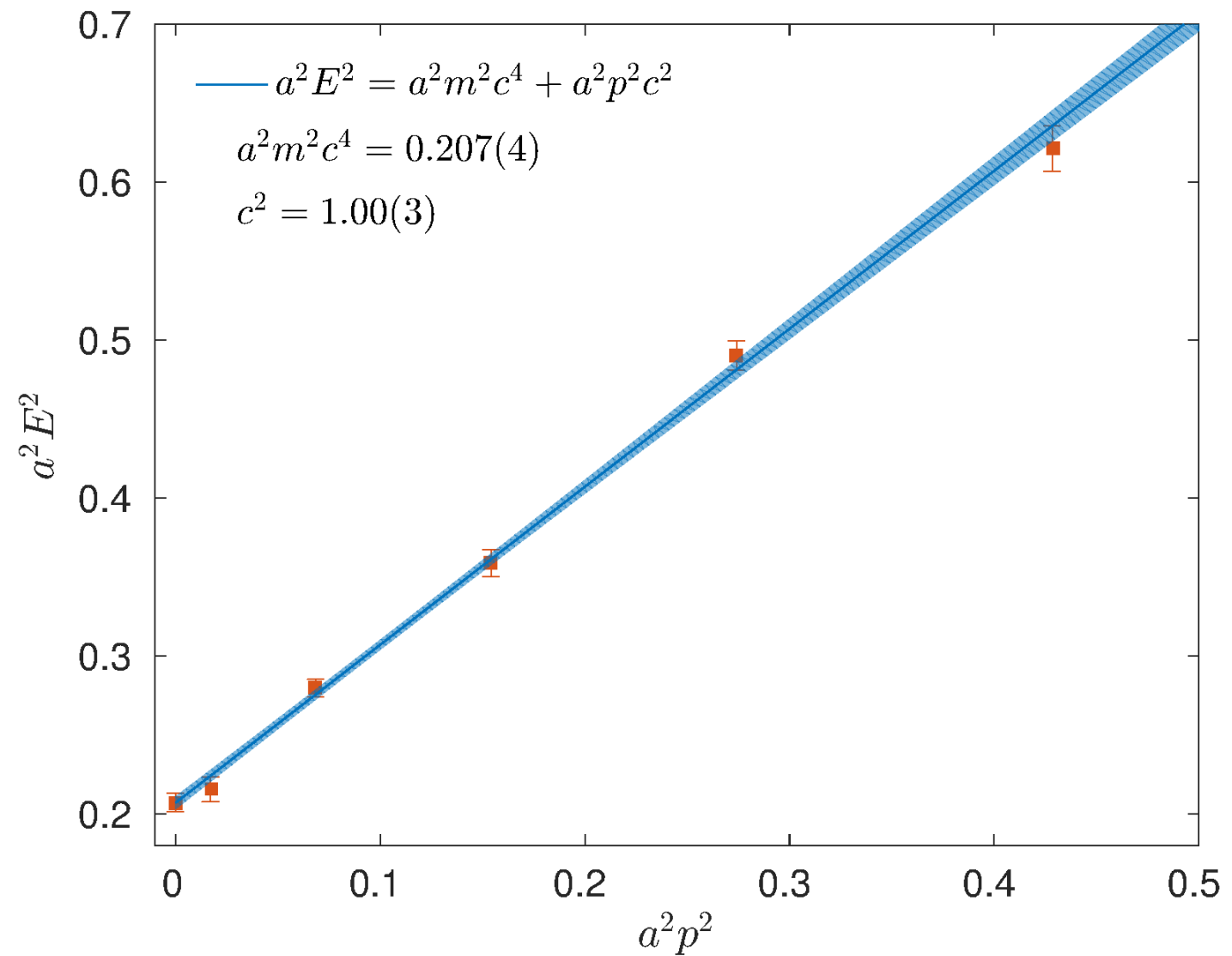


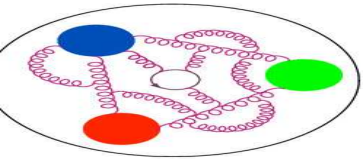
50 iterations of (Gaussian) momentum smearing,  $\alpha = 4$



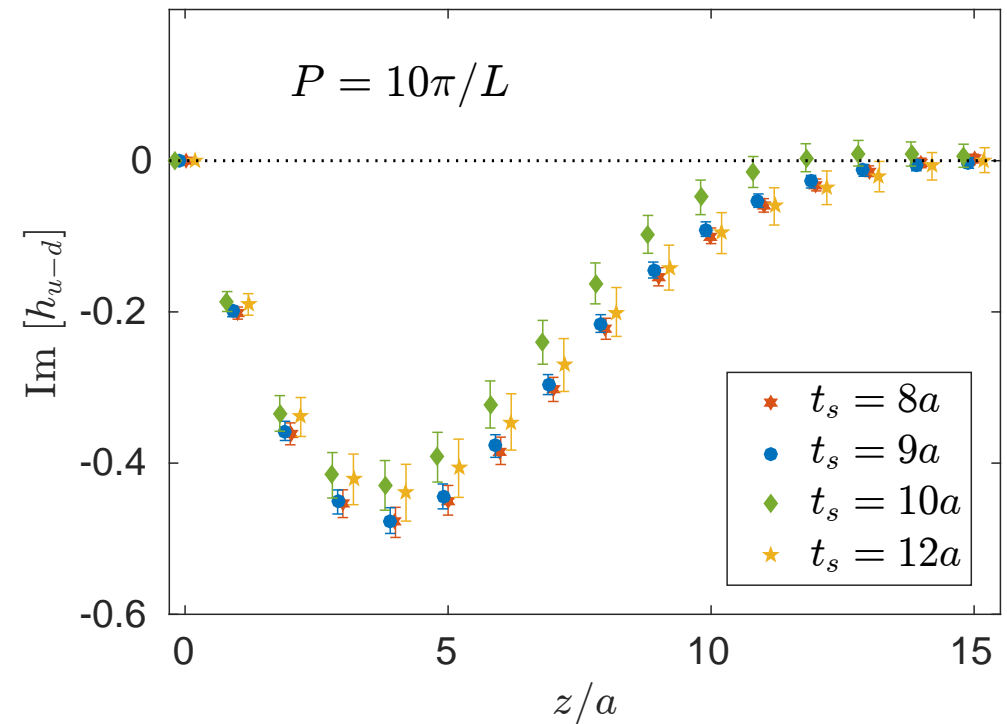
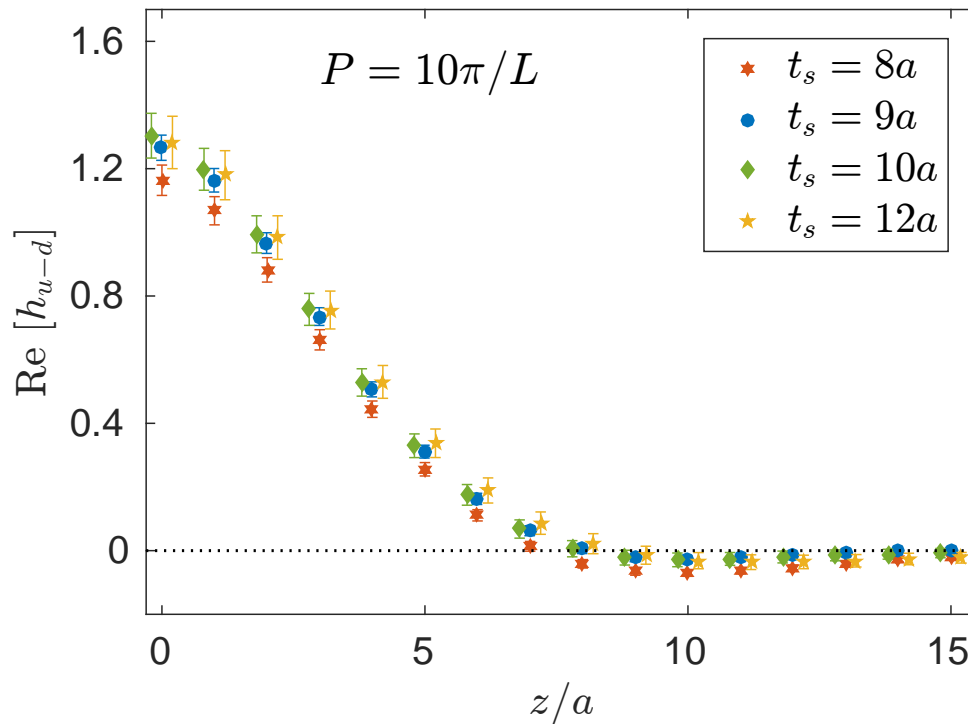
# Dispersion relation

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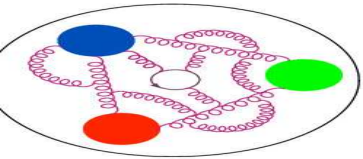
# Excited states – plateau method



## Statistics:

- $t_s = 8a$  – 4320 measurements,
- $t_s = 9a$  – 8820 measurements,
- $t_s = 10a$  – 9000 measurements,
- $t_s = 12a$  – 72990 measurements.

Increasing  $t_s$  by 1 lattice spacing worsens the signal by a factor **2-3**!

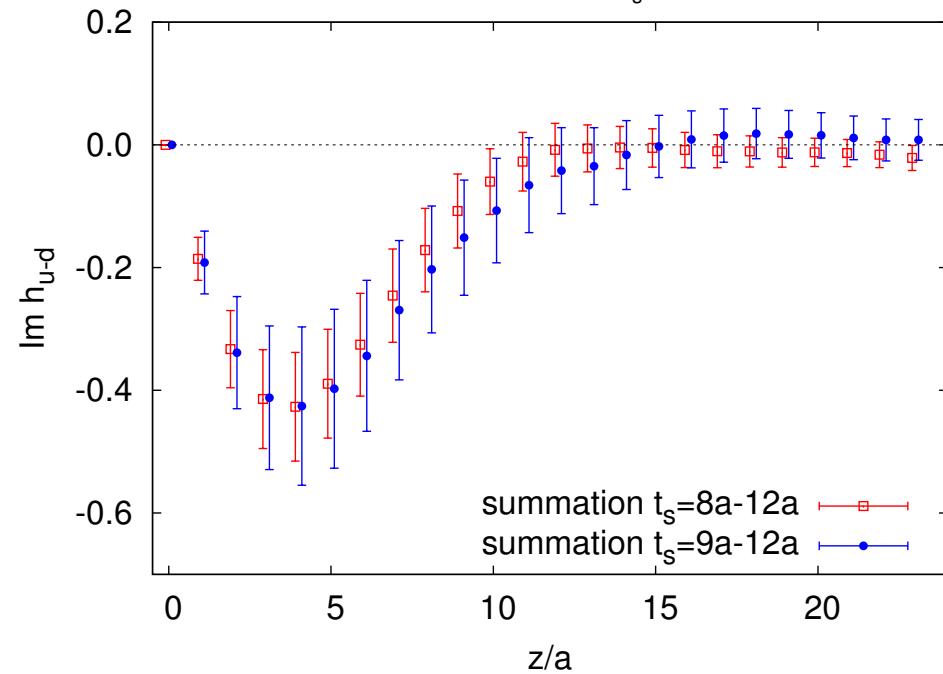
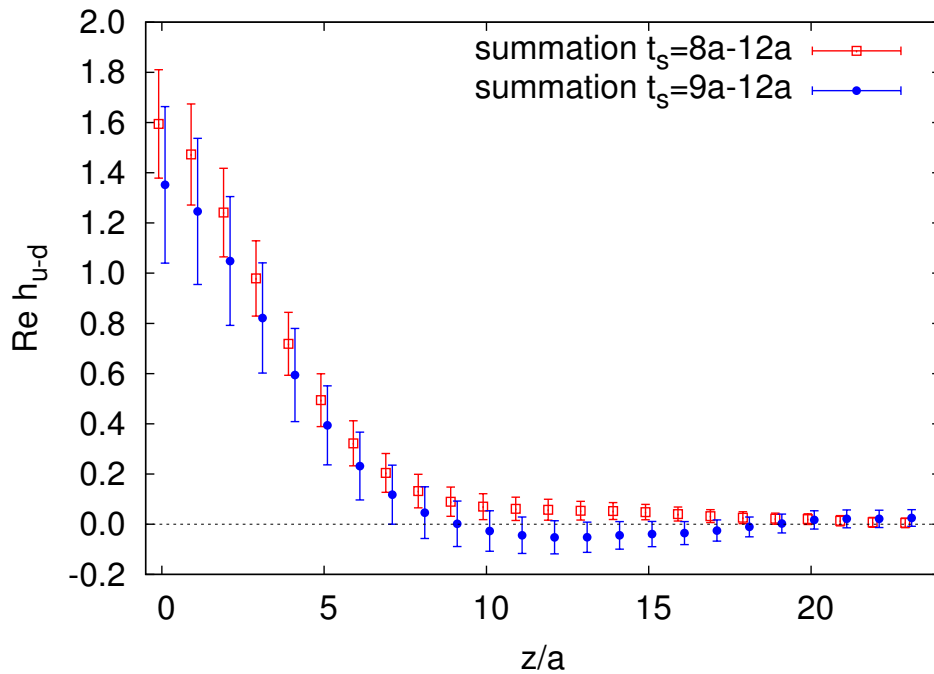
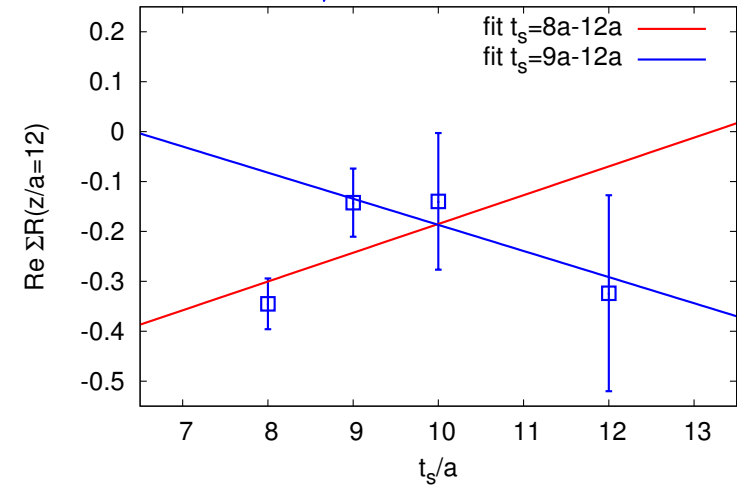


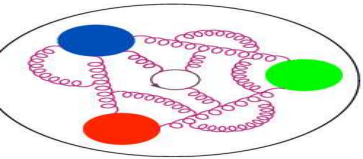
# Excited states – summation method

$$\mathcal{R}(P; t_s) \equiv \sum_{\tau=a}^{t_s-a} \frac{C^{3pt}(P; t_s, \tau)}{C^{2pt}(P_i; t_s)} =$$

$$= C + \mathcal{M} t_s + \mathcal{O}(e^{-(E_1 - E_0)t_s})$$

Example:  $z/a = 12$ , real part

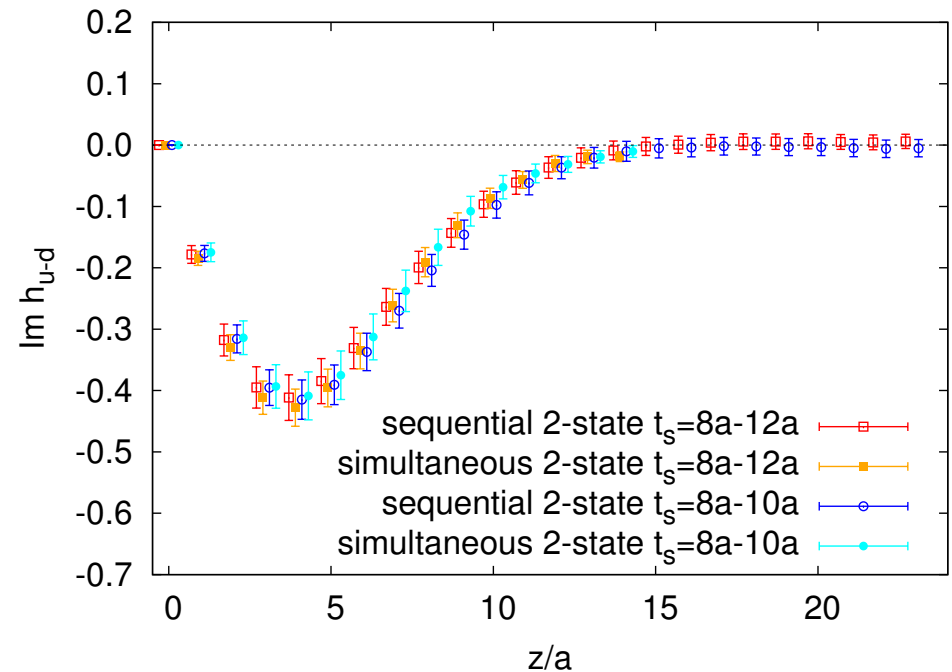
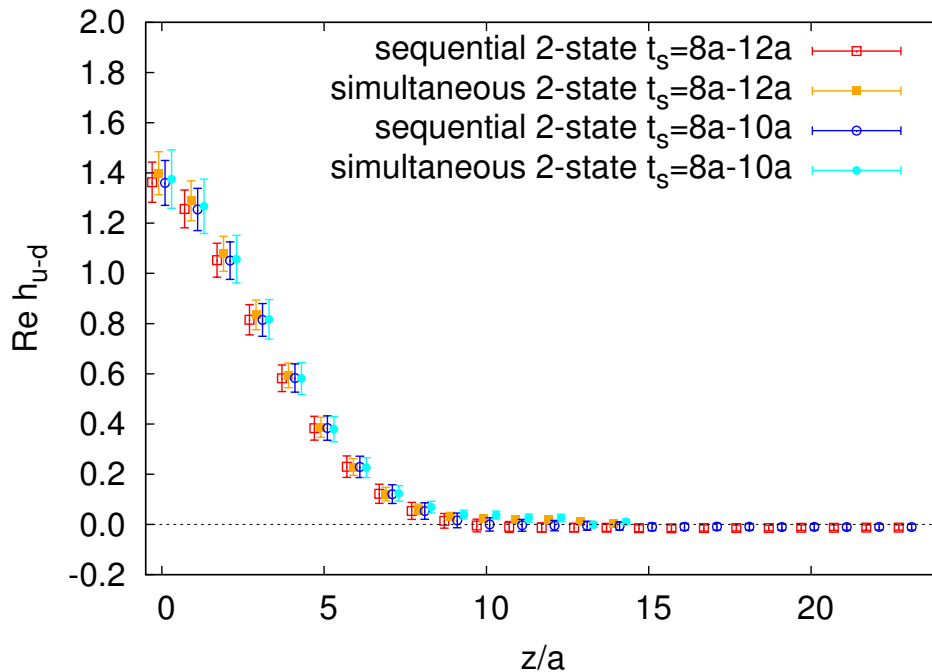




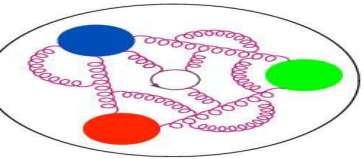
# Excited states – 2-state fits

$$C^{2\text{pt}}(P; t) = |A_0|^2 e^{-E_0 t} + |A_1|^2 e^{-E_1 t}$$

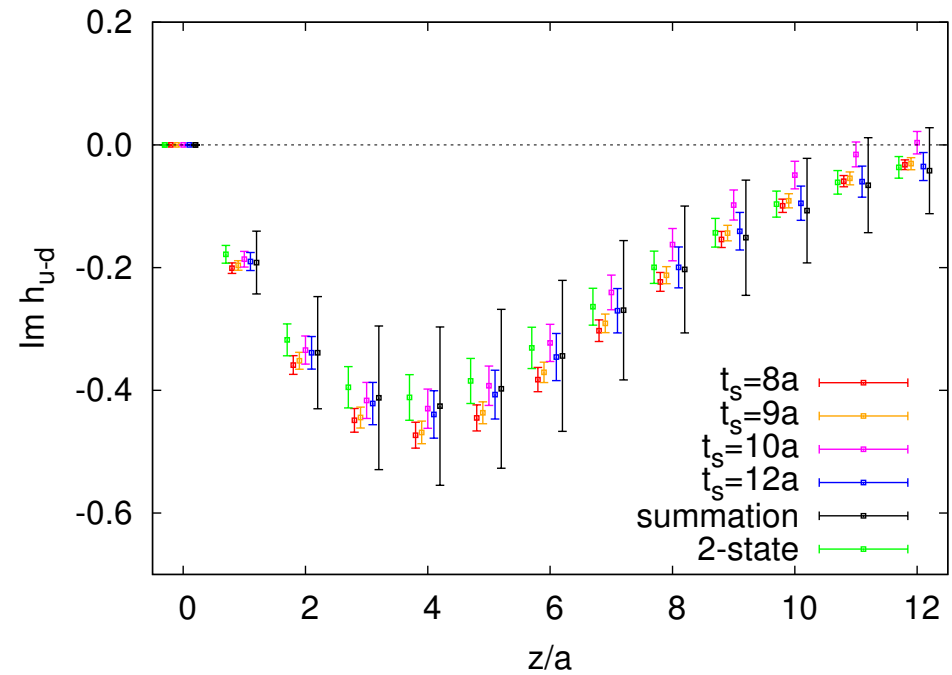
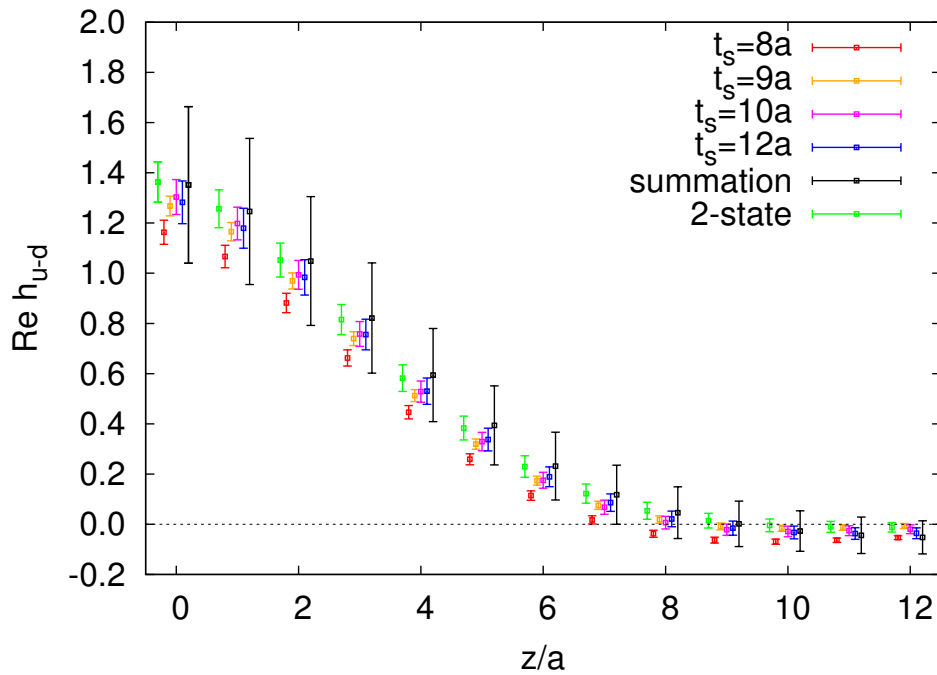
$$\begin{aligned} C^{3\text{pt}}(P; t_s, \tau) = & |A_0|^2 \langle 0 | \mathcal{O} | 0 \rangle e^{-E_0 t_s} + A_0^* A_1 \langle 1 | \mathcal{O} | 0 \rangle e^{-E_1 \tau} e^{-E_0 (t_s - \tau)} \\ & + A_0 A_1^* \langle 0 | \mathcal{O} | 1 \rangle e^{-E_0 \tau} e^{-E_1 (t_s - \tau)} + |A_1|^2 \langle 1 | \mathcal{O} | 1 \rangle e^{-E_1 t_s} \end{aligned}$$



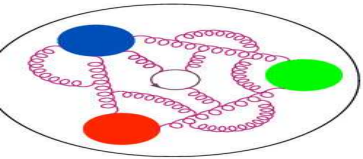




# Excited states – comparison

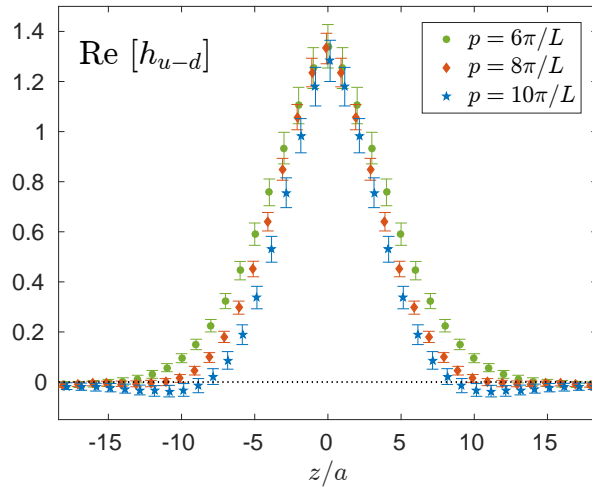


- $t_s = 8a$  clearly off, excited states totally uncontrolled
- $t_s = 9a, 10a$  also show some tension
- $t_s = 12a \approx 1.1 \text{ fm}$  seems to be the best justifiable choice, i.e. it should be safe from excited states at the  $\sim 10\%$  level.
- Robust statements about excited states because of **consistency between all methods**.
- Careful analysis needs to be repeated when aiming for larger momenta (increased excited states contamination!) or better precision.

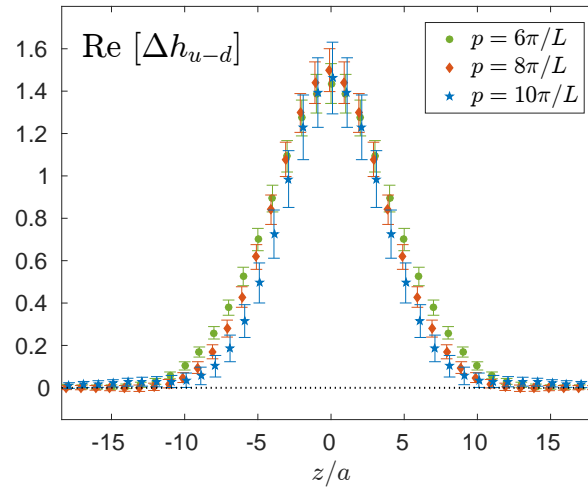


# Bare matrix elements at $t_s = 12a$

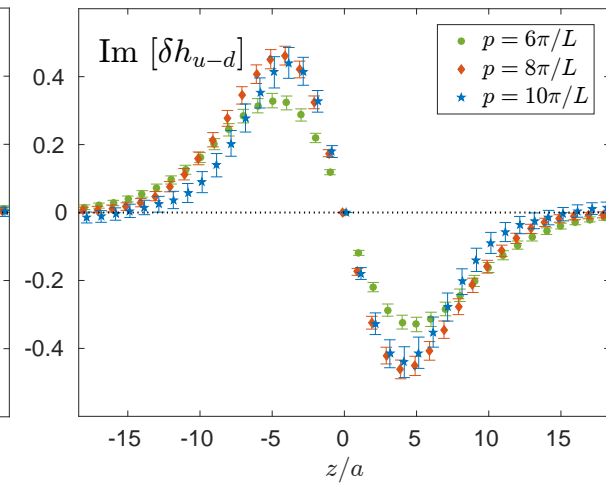
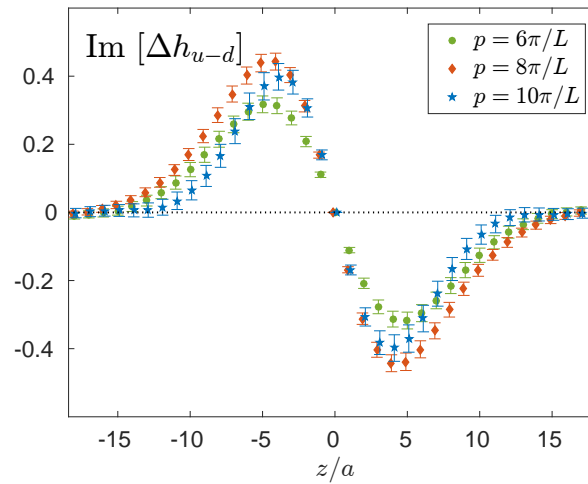
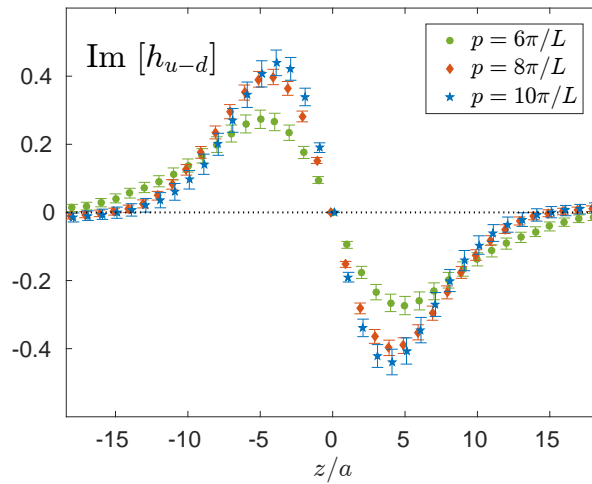
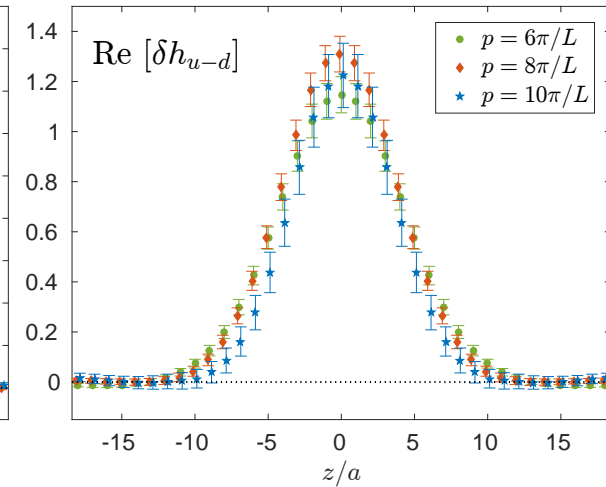
unpolarized ( $\gamma_0$ )



helicity ( $\gamma_5 \gamma_3$ )



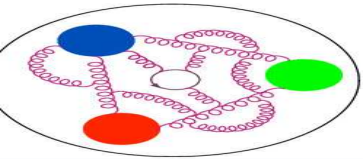
transversity ( $\sigma_{3i}$ )



STATISTICS:  $P_3 = \frac{6\pi}{L}$  – 4800 meas.  
 $P_3 = \frac{8\pi}{L}$  – 38250 meas.  
 $P_3 = \frac{10\pi}{L}$  – 72990 meas.

$P_3 = \frac{6\pi}{L}$  – 6240 meas.  
 $P_3 = \frac{8\pi}{L}$  – 38250 meas.  
 $P_3 = \frac{10\pi}{L}$  – 72990 meas.

$P_3 = \frac{6\pi}{L}$  – 9600 meas.  
 $P_3 = \frac{8\pi}{L}$  – 38250 meas.  
 $P_3 = \frac{10\pi}{L}$  – 72990 meas.



End of part I



# To be continued in next talk

- Outline of the talk
- PDFs
- Quasi-PDFs
- Procedure
- Renormalization
- Matching
- Systematics
- Computation setup
- Momentum smearing
- Dispersion relation
- Excited states
- Bare ME**

Thank you for your attention!