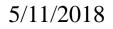


Ab initio Folding Potentials for Proton-Nucleus Scattering based on NCSM One-Body Densities

Ch. Elster

M. Burrows, K. Launey, P. Maris, A. Nogga, G. Popa, S.P. Weppner

Supported by











National Energy Research Scientific Computing Center



Today's challenge: Determine effective interactions V_{eff}

- V_{eff} is effective interaction between n+A and should describe elastic scattering p+A
- V_{nA} and V_{pA} are effective interactions

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- Mostly used: phenomenological approaches
 - Global optical potential fits to elastic scattering data
 - Most data available for stable nuclei
 - Extrapolation to exotic nuclei questionable
- Microscopic approaches need to be developed or existing ones refined and adapted for exotic nuclei
 - Microscopic approaches were developed for A being a closed shell nucleus.



 V_{nA}

nA optical potential

A

NN

pA voptical potential

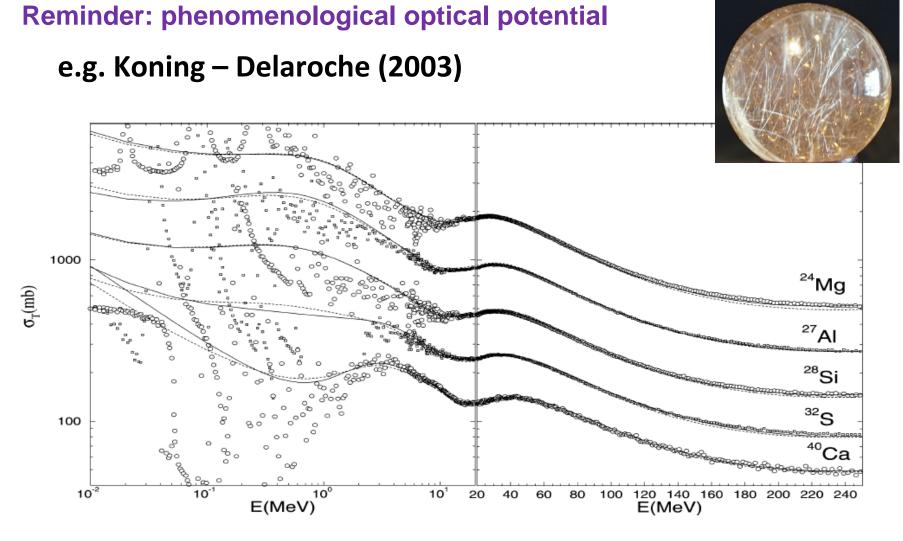


Fig. 2. Comparison of predicted neutron total cross sections and experimental data, for nuclides in the Mg-Ca mass region, for the energy range 10 keV-250 MeV.

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Remark: Same importance as NN phase shift analysis





Microscopic effective Potentials

"Folding Models" for closed shell nuclei

~1990's

Watson Multiple Scattering

- Elster, Weppner, Chinn, Thaler, Tandy, Redish
 - Separation of p-A and n-A optical potential
 - Based on NN t-matrix as interaction input
 - Treating of interaction with (A-1)-core via mean field and as implicit three-body problem

• Kerman-McManus-Thaler (KMT)

- Crespo, Johnson, Tostevin, Thompson
 - Based on NN t-matrix as input
 - Couple explicitly to (A-1) core
 - Introduce cluster ansatz for halo targets within coupled channels

• G-matrix folding

- Arellano, Brieva, Love
 - Based on NN g-matrix
 - Improving local density approximation
- Picked up by Amos, Karataglidis and extended to exotic nuclei

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Microscopic effective Potentials "Folding Models" for closed shell nuclei

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Serious obstacle in 1990's:

NO consistency between description of nuclear structure and nuclear scattering part.





Theory: `Simplest' scattering problem:

$$p \rightarrow A$$



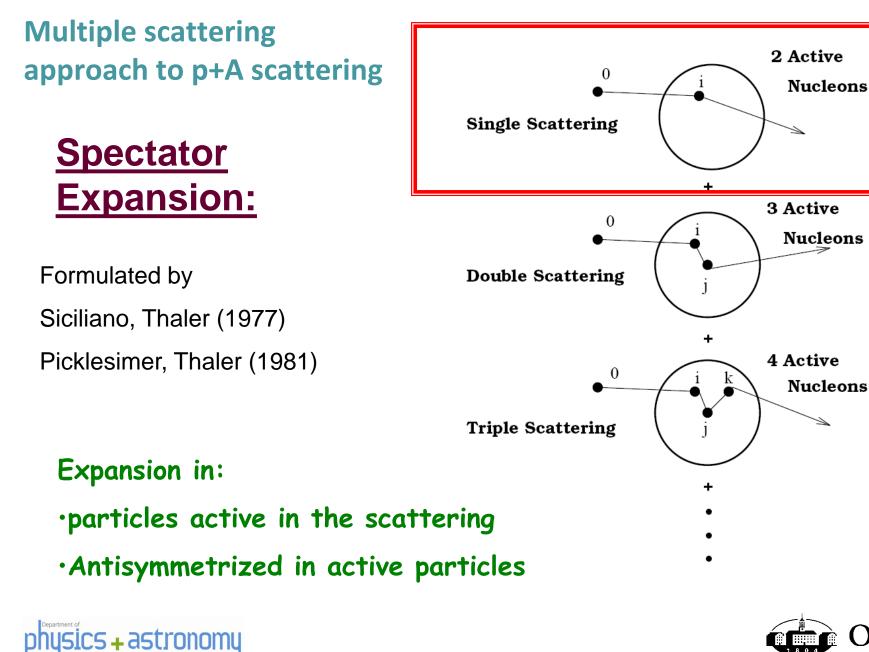
- Hamiltonian: $H = H_0 + V$
- Free Hamiltonian: $H_0 = h_0 + H_A$
- Assume: two-body interactions dominant

(nuclear force strong and short ranged)

- V: interactions between projectile '0' and target nucleons 'i'
- $V = \Sigma^{A}_{i=0} v_{0i}$
- Transition Amplitude for scattering: $T = V + V G_0 T$
- Multiple Scattering Expansion as ordering principle

<u>Example</u>: in 3-body system Faddeev eqs "order" according to sub-systems







Spectator Expansion in equations

$$T = \sum_{i=1}^{A} t_{0i} + \sum_{i < j} (t_{ij} - t_{0i} - t_{0j}) \qquad \text{Scattering from pairs}$$

$$+ \sum_{i < j < k} (t_{ijk} - t_{ij} - t_{ik} - t_{jk} + t_{0i} + t_{0j} + t_{0k}) + \dots$$
2nd orde term: $t_{ij} = (v_{0i} + v_{0j}) + (v_{0i} + v_{0j})G_0(E)t_{ij},$
Faddeev amplitudes
Single scattering term





Comment to original spectator expansion

- Expands transition amplitude T (similar to a Born expansion)
- Very useful for theoretical considerations
- Scattering with strong interactions in nonrelativistic regime: iterate interaction to all orders either in momentum space Lippmann-Schwinger eq. or coordinate space Schrödinger eq.

Instead: perform a spectator expansion in the effective interaction

Chinn, Elster, Thaler, Phys. Rev. C47, 2242 (1993)

$$U^W = \sum_{i=1}^A \left[\tau_i + \tau_i G_0 Q \sum_{j \neq i} \tau_j + \cdots \right]$$

A

Difference visible for light nuclei

do/dw(mb/sr 10 10 10 10 'He (p,p) 200 MeV 10 10 0.5 Ą 0.0 -0.5-1.00.5 0.0 Q -0.5-1.060 80 100 0 2040 $\theta_{\rm c.m.}(\rm deg)$

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Scattering Amplitude: Lippmann-Schwinger Equation

- $T = V + V G_0 T$ (integral equation in momentum space)
- Hamiltonian: $H = H_0 + V$
- Free Hamiltonian: $H_0 = h_0 + H_A$
 - h₀: kinetic energy of projectile '0'
 - H_A: target Hamiltonian with H_A $|\Phi\rangle$ = E_A $|\Phi\rangle$
- V: interactions between projectile '0' and target nucleons 'i' $V = \Sigma^{A}_{i=0} V_{0i}$ (no interactions v_{ij})
- Propagator is (A+1) body operator

-
$$G_0(E) = (E - h_0 - H_A + i\epsilon)^{-1}$$





Elastic Scattering

- In- and Out-States have the target in ground state Φ_0
- Projector on ground state **P** = $|\Phi_0\rangle\langle\Phi_0|$
 - With **1=P+Q** and **[P,G₀]=0**
- For elastic scattering one needs: **PTP = PUP + PUPG**₀(E) **PTP**
- Or

- $T = U + U G_0(E) P T$ - $U = V + V G_0(E) Q U \Leftarrow `optical' potential$

Up to here exact

Spectator Expansion of U : $U^{(1)} \approx \Sigma^{A}_{i=0} \tau_{0i}$ (1st order)

Chinn, Elster, Thaler, PRC 47, 2242 (1993)







 $\tau_{0i} = \mathbf{v}_{0i} + \mathbf{v}_{0i} \mathbf{G}_0(\mathbf{E}) \mathbf{Q} \tau_{0i}$

• $G_0(E) = (E - h_0 - H_A + i\epsilon)^{-1}$

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(A+1) body operator

- Standard "impulse approximation":
- Average over $H_A \Rightarrow constant$
- \rightarrow G₀(e) ==: two body operator

Going beyond impulse approximation (in 1990s):

Three-body problem with particles: \circ o - i - (A-1)-core o - i : NN interaction

i - (A-1) core : e.g. mean field force "medium modification"

Chinn, Elster, Thaler, PRC48, 2956 (1993) Chinn, Elster, Thaler, Weppner, PRC51 1418 (1995)





 $\tau_{0i} = v_{0i} + v_{0i} G_0(E) Q \tau_{0i}$

- Deal with Q (this prevents to use NN t-matrix here)
 - Define "two-body" operator t_{0i}^{free} by
 - $t_{0i}^{\text{free}} = v_{0i} + v_{0i} G_0(e) t_{0i}^{\text{free}}$

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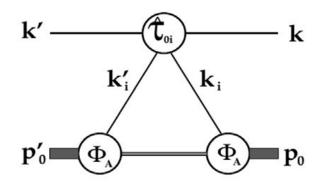
- and relate via integral equation to τ_{oi}
- $\tau_{oi} = t_{0i}^{free} t_{0i}^{free} G_0(e) \tau_{oi}$ [integral equation]
- keeps iso-spin character of optical potential

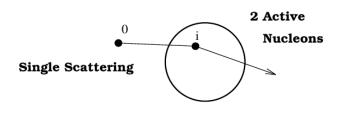
$$U^{(1)} = \Sigma^{A}_{i=1} \tau_{oi} =: N \tau_{n} + Z \tau_{p}$$

$$\mathbf{t}_{pp} \neq \mathbf{t}_{np}$$
 and $\rho_p \neq \rho_n$



<u>Computing</u> the first order folding potential $U^{(1)} \approx \Sigma^{A}_{i=0} \tau_{0i}$





NN interaction

Nuclear density

$$U_{el}(\mathbf{q}, \mathbf{K}) = \sum_{i=n,p} \int d\mathbf{P} \ \eta(\mathbf{q}, \mathbf{K}, \mathbf{P}) \ \hat{\tau}_{0i} \left(\mathbf{q}, \frac{1}{2} (\frac{A+1}{A}\mathbf{K} - \mathbf{P}), \mathcal{E}\right) \rho_i \left(\mathbf{P} - \frac{A-1}{2A}\mathbf{q}, \mathbf{P} + \frac{A-1}{2A}\mathbf{q}\right)$$

$$\mathbf{K} \equiv \frac{\mathbf{k} + \mathbf{k}'}{2}$$
$$\mathbf{P} \equiv \frac{\mathbf{k}_i + \mathbf{k}'_i}{2} + \frac{\mathbf{K}_i}{A}$$

Same NN Interaction can

now be used for NN t-matrix and one-body density matrix

Elster, Cheon, Redish, Tandy, Phys. Rev. C41, 814 (1990).





Nonlocal one-body densities from the No-Core-Shell-Model (NCSM)

$$\rho_{sf}(\vec{r},\vec{r'}) = \langle \Psi' | \sum_{i=1}^{A} \delta^{3}(\vec{r}_{i}-\vec{r})\delta^{3}(\vec{r'}_{i}-\vec{r'}) | \Psi \rangle$$
$$\rho_{sf}(\vec{p},\vec{p'}) = \sum_{Kll'} (-1)^{J'-M} \begin{pmatrix} J' & K & J \\ -M & 0 & M \end{pmatrix} \mathcal{Y}_{K0}^{*l'l}(\hat{p},\hat{p'})\rho_{ll'K}(p,p')$$

$$\rho_{ll'K}(p,p') = \sum_{njn'j'} \hat{j}\hat{j}'(-1)^{\frac{l-l'}{2}} (-1)^{j+\frac{1}{2}} \left\{ \begin{array}{cc} l' & l & K \\ j & j' & \frac{1}{2} \end{array} \right\} R_{n'l'}(p') R_{nl}(p) \left\langle A\lambda'J' \left| \left| (a^{\dagger}_{n'l'j'} \tilde{a}_{nlj})^{(K)} \right| \right| A\lambda J \right\rangle$$

Change variables to remove center-of-mass contribution:

$$\vec{q} = \vec{p}' - \vec{p} \qquad \vec{\zeta} = \frac{1}{2}(\vec{r} + \vec{r}') \qquad \zeta = \zeta_{rel} + \zeta_{c.m.}$$

$$\vec{\mathcal{K}} = \frac{1}{2}(\vec{p}' + \vec{p}) \qquad \vec{Z} = \vec{r}' - \vec{r},$$

 $R_{n'l'}(p')R_{nl}(p)\mathcal{Y}_{KM}^{l'l}(\hat{p},\hat{p}') = \sum_{n_q,n_{\mathcal{K}},l_q,l_{\mathcal{K}}} \langle n_{\mathcal{K}}l_{\mathcal{K}}, n_ql_q : K|n'l',nl : K \rangle_{d=1} R_{n_{\mathcal{K}}l_{\mathcal{K}}}(\mathcal{K})R_{n_ql_q}(q)\mathcal{Y}_{KM}^{l_{\mathcal{K}}l_q}(\hat{q},\hat{\mathcal{K}})$





Nonlocal one-body densities from NCSM translationally invariant

$$\rho_{sf}(\vec{q},\vec{\mathcal{K}}) = \frac{1}{(2\pi)^3} \left\langle \Psi'J'M \left| \sum_{i=1}^{A} e^{-i\vec{q}\cdot(\vec{\zeta}_{rel,i}+\vec{\zeta}_{c.m.})} e^{-i\vec{\mathcal{K}}\cdot\vec{Z}_i} \right| \Psi JM \right\rangle$$

$$\rho_{sf}(\vec{q},\vec{\mathcal{K}}) = \left\langle \phi_{cm}0s | e^{-i\vec{q}\cdot\vec{\zeta}_{c.m.}} | \phi_{cm}0s \right\rangle \frac{1}{(2\pi)^3} \left\langle \Psi'_{ti}J'M \left| \sum_{i} e^{-i\vec{q}\cdot\vec{\zeta}_{rel,i}} e^{-i\vec{\mathcal{K}}\cdot\vec{Z}_i} \right| \Psi_{ti}JM \right\rangle$$

$$\rho_{ti}(\vec{q},\vec{\mathcal{K}}) \equiv \frac{1}{(2\pi)^3} \left\langle \Psi_{ti}' J' M \left| \sum_{i} e^{-i\vec{q}\cdot\vec{\zeta}_{rel,i}} e^{-i\vec{\mathcal{K}}\cdot\vec{Z}_{i}} \right| \Psi_{ti} J M \right\rangle$$

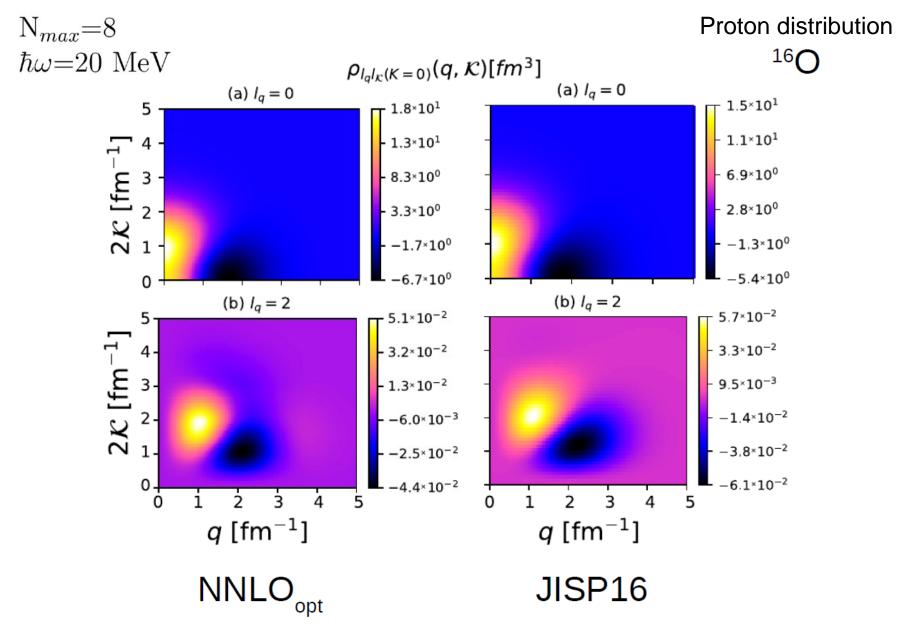
Translationally Invariant

$$\hat{\rho}(\vec{q},\vec{\mathcal{K}}) = e^{\frac{1}{4A}b^2q^2} \rho_{sf}(\vec{q},\vec{\mathcal{K}})$$

Burrows, Elster, Popa, Launey, Nogga, Maris, PRC 97, 024325 (2018)

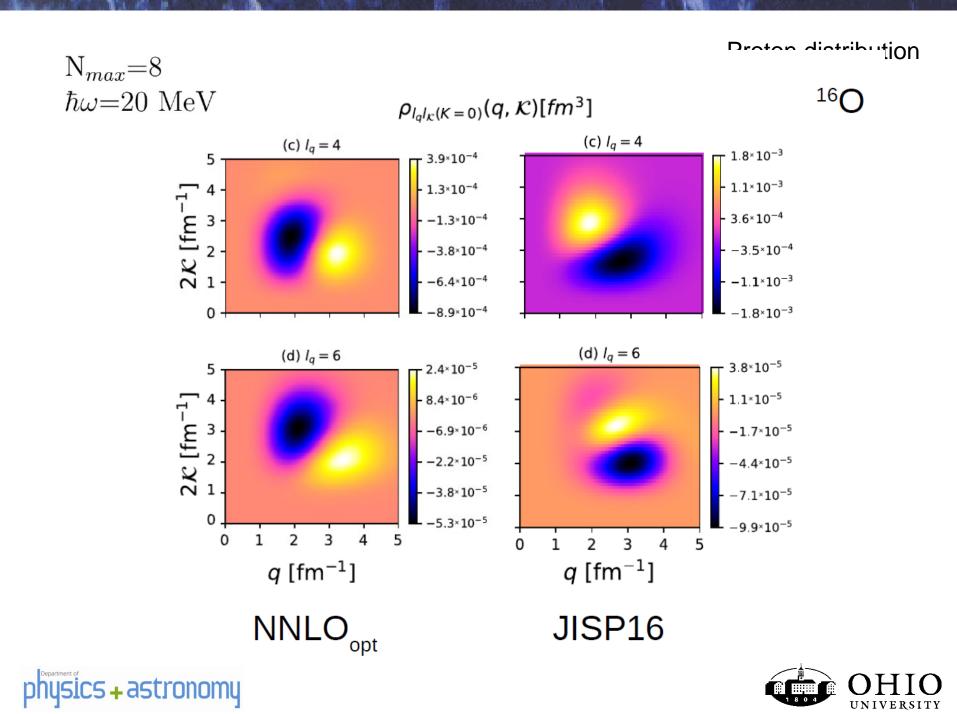












NN amplitude: $f_{NN}(k'k;E) = C \langle k' | t_{NN}(E) | k \rangle$

Variables (E,k',k, ϕ) \Rightarrow (E, q, K, θ)

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with q = k' - k $K = \frac{1}{2} (k' + k)$

NN t-matrix in Wolfenstein representation:

Projectile "0" : plane wave basis Struck nucleon "*i*" : target basis

Most general form



NN amplitude: $f_{NN}(k'k;E) = C \langle k' | t_{NN}(E) | k \rangle$

Variables (E,k',k, ϕ) \Rightarrow (E, q, K, θ)

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with q = k' - k $K = \frac{1}{2} (k' + k)$

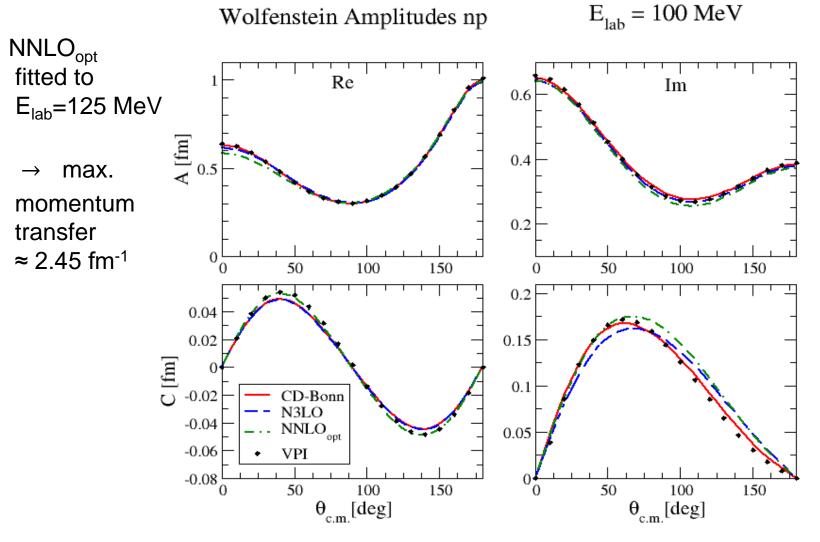
NN t-matrix in Wolfenstein representation:

Closed shell nuclei Projectile "0" : plane wave basis Struck nucleon "*i*" : target basis



Off-shell

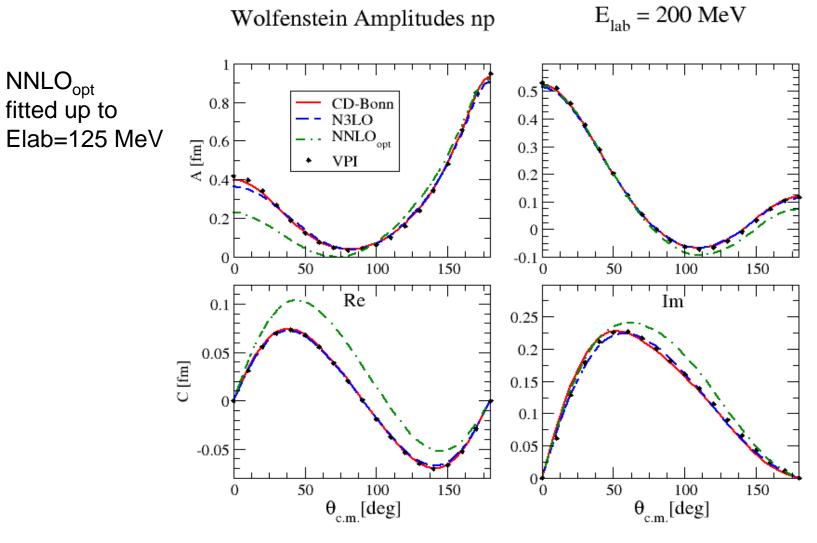
Wolfenstein Amplitudes A and C





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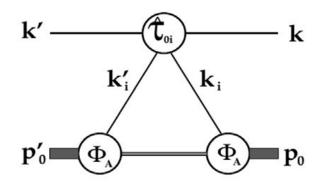
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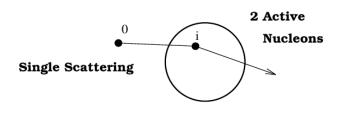






<u>Computing</u> the first order folding potential $U^{(1)} \approx \Sigma^{A}_{i=0} \tau_{0i}$





NN interaction

Nuclear density

$$U_{el}(\mathbf{q}, \mathbf{K}) = \sum_{i=n,p} \int d\mathbf{P} \ \eta(\mathbf{q}, \mathbf{K}, \mathbf{P}) \ \hat{\tau}_{0i} \left(\mathbf{q}, \frac{1}{2} (\frac{A+1}{A}\mathbf{K} - \mathbf{P}), \mathcal{E}\right) \rho_i \left(\mathbf{P} - \frac{A-1}{2A}\mathbf{q}, \mathbf{P} + \frac{A-1}{2A}\mathbf{q}\right)$$

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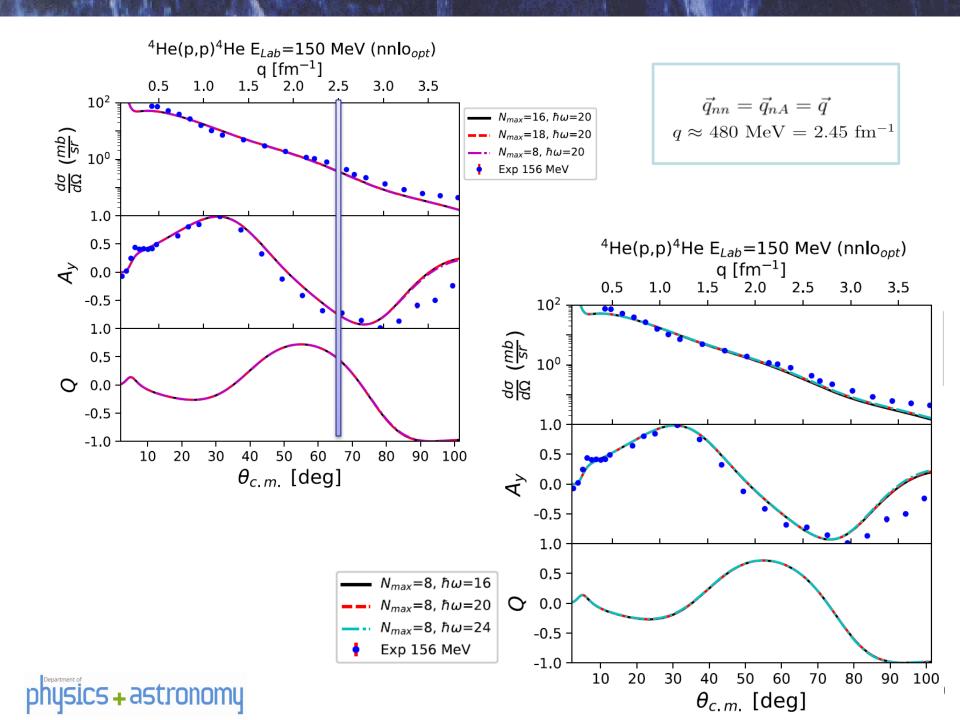
Same NN Interaction can

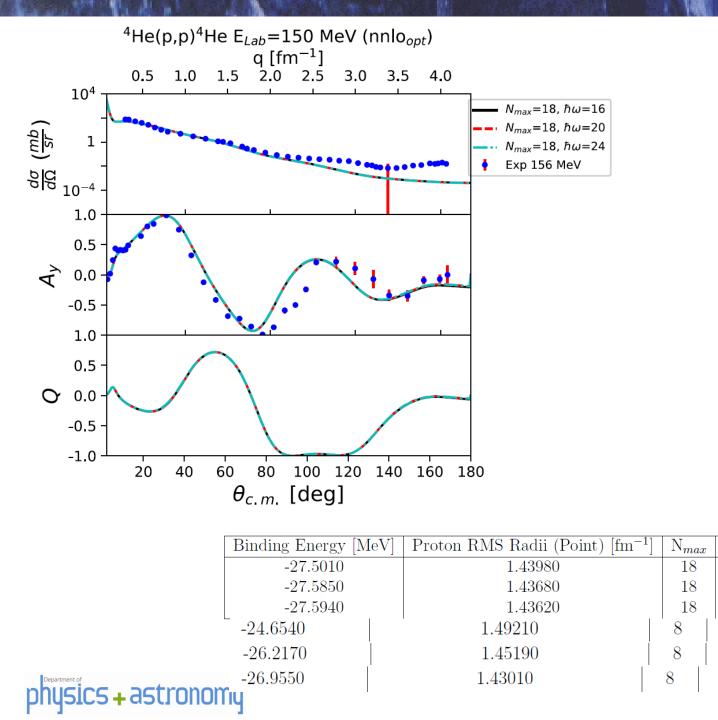
now be used for NN t-matrix and one-body density matrix

Elster, Cheon, Redish, Tandy, Phys. Rev. C41, 814 (1990).



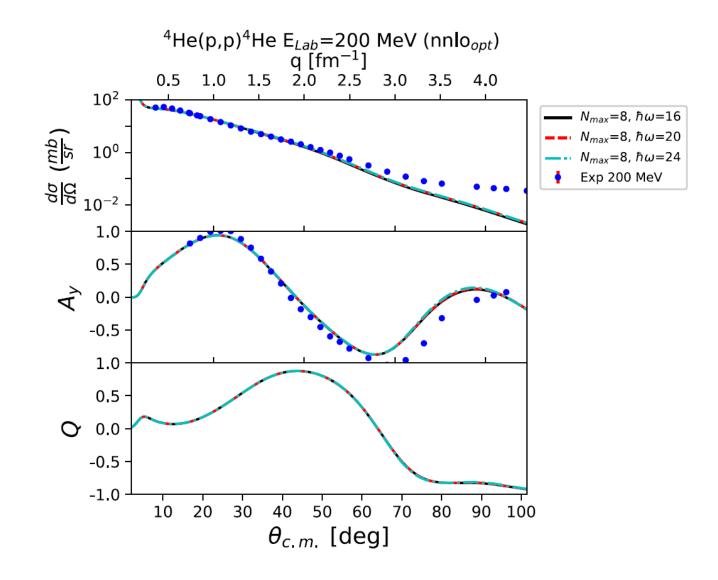






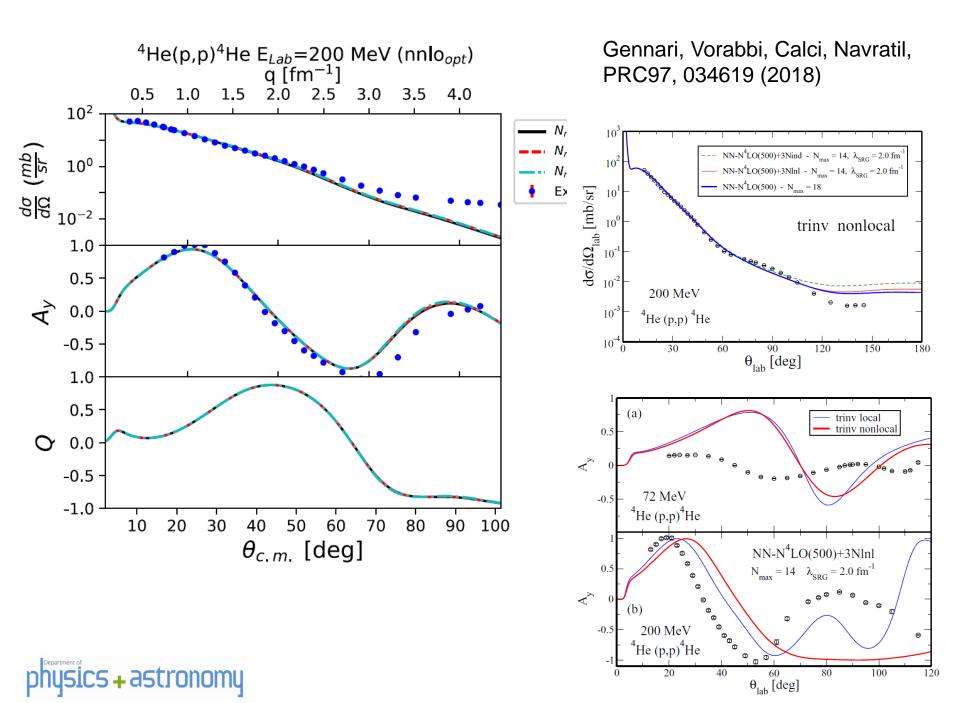
 $\hbar\omega$ [MeV]

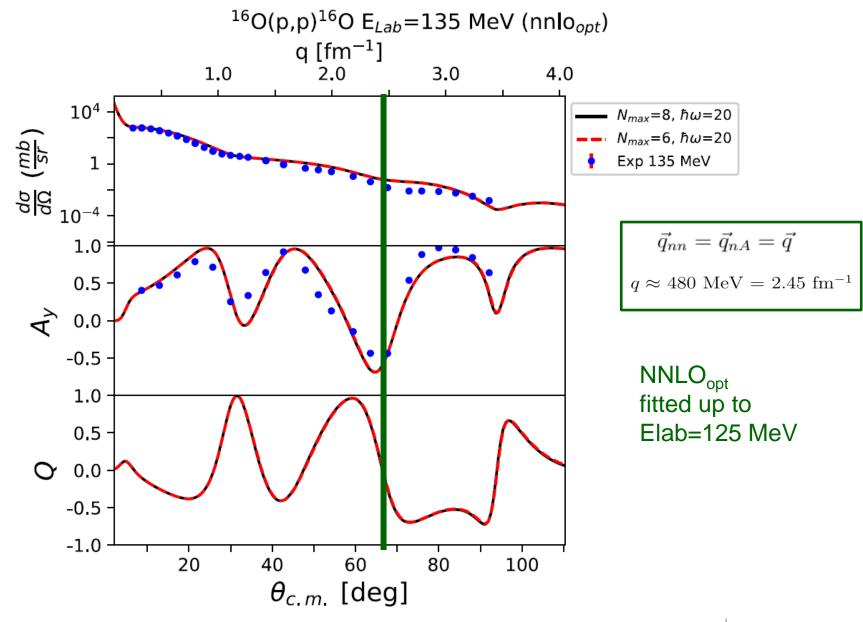
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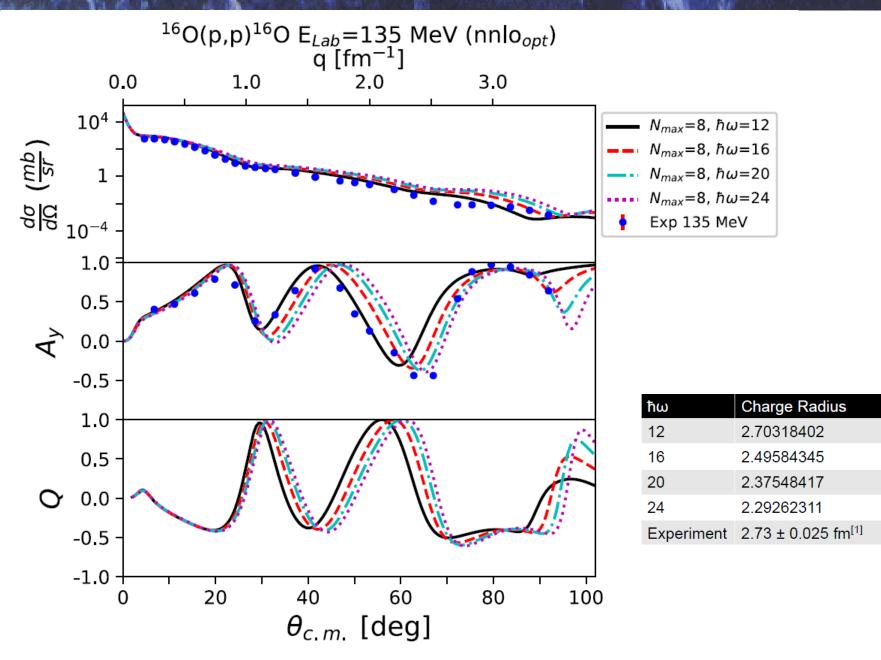






physics + astronomy

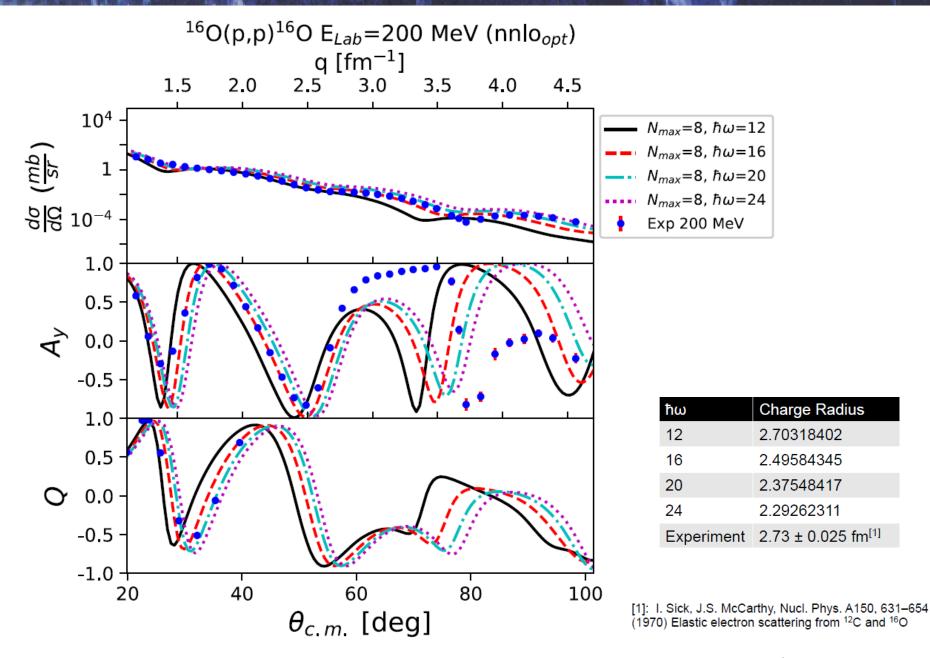




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 [1]: I. Sick, J.S. McCarthy, Nucl. Phys. A150, 631–654 (1970) Elastic electron scattering from ¹²C and ¹⁶O

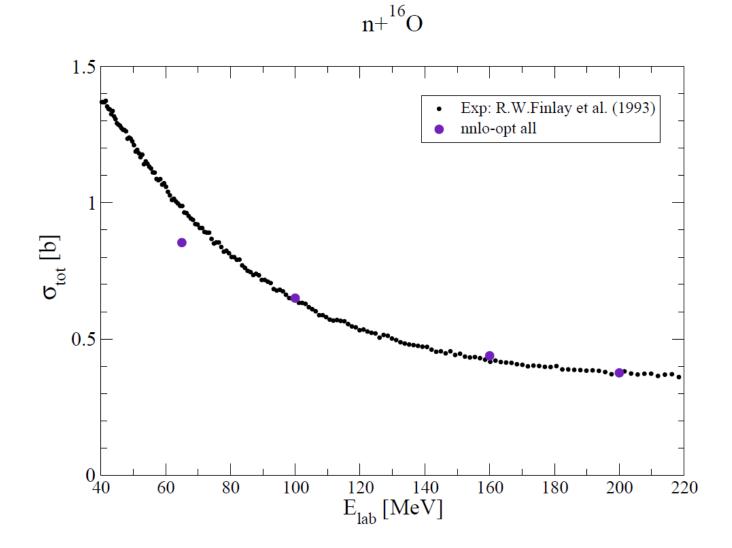




physics + astronomy

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Total cross section for neutron scattering

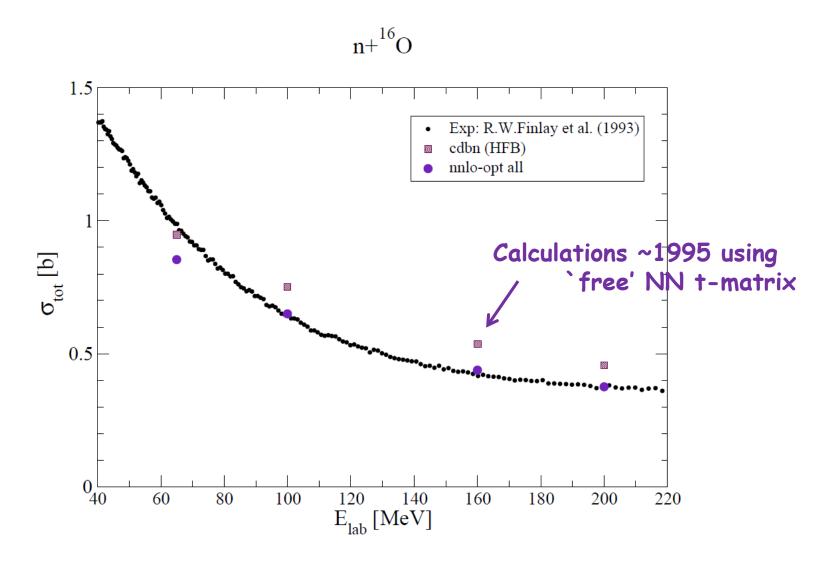




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Total cross section for neutron scattering

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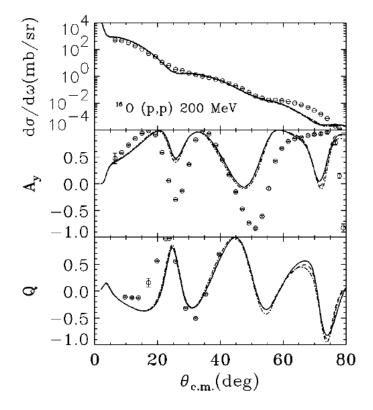


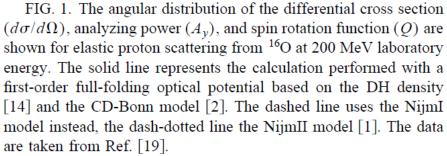
Chinn, Elster, Thaler, Weppner, PRC51, 1033 (1995)



Review of previous calculations

Weppner, Elster, Hüber, PRC 57, 1378 (1998)





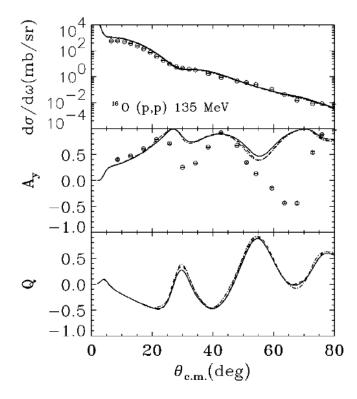


FIG. 5. Same as Fig. 1, except that the projectile energy is 135 MeV. The data are taken from Ref. [22].



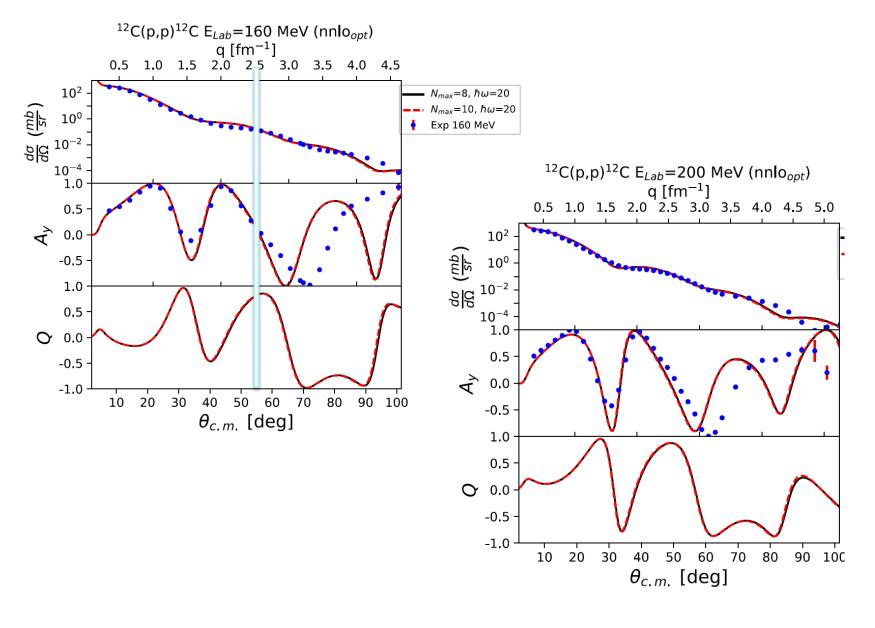
Theory in current implementation strictly valid only for closed shell nuclei

NN t-matrix in Wolfenstein representation:

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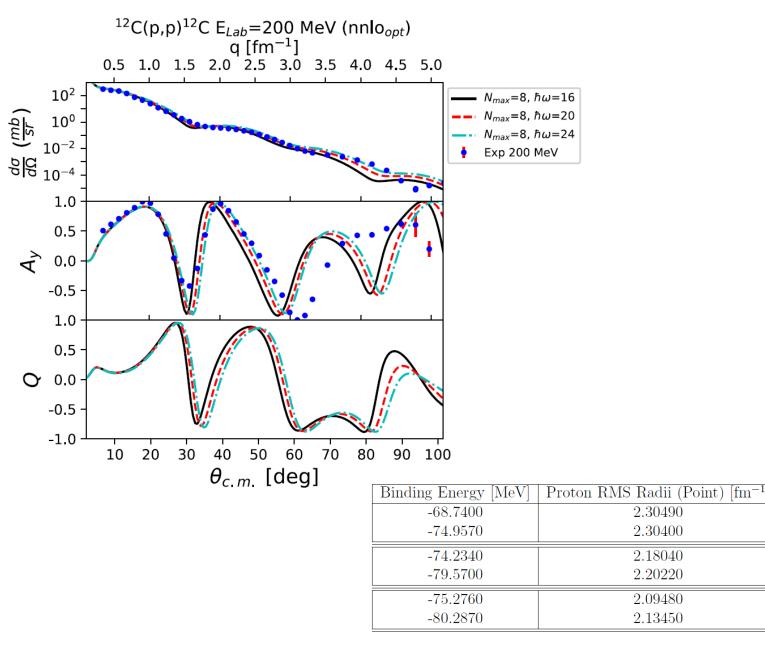
Projectile "0" : plane wave basis Closed shell nuclei Struck nucleon "*i*" : target basis















 N_{max}

 $\hbar\omega$ [MeV



p+A and n+A effective interactions (optical potentials) for closed shell nuclei

- Renewed urgency in reaction theory community for microscopic input to e.g. (d,p) reaction models .
- Most likely complementary approaches needed for different energy regimes
- First consistent calculation of first order term in spectator expansion in impulse approximation carried out (Same NN interaction for structure and NN t-matrix)
- Promising calculations for ⁴He and ¹⁶O for proton (neutron) scattering between 100 and 200 MeV proton energy
- Reasonable results for ¹²C

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- Further studies needed what determines quality of pA observables
- Role of SRG evolved interactions in this approach.
- Investigate energy dependence in NN t-matrix

 $\mathcal{E} = E_{NA} - \frac{\left[(A-1)/A\mathbf{K} + \mathbf{P} \right]^2}{4m_N}.$

Full folding integral has same character as integral in Faddeev kernel



Extension: p+A and n+A effective interactions (optical potentials) for open shell nuclei

NSCM OBDM
(current):
$$\left\langle n'(l's')j' \left\| \frac{\delta(r_i - r_s)}{r_s^2} \frac{\delta(r'_i - r'_s)}{r'_s^2} \mathcal{Y}_K^{\mu\mu'}(\hat{r}_i, \hat{r'}_i) \right\| n(ls)j \right\rangle = \hat{K}\hat{j}\hat{j'} \left\{ \begin{array}{c} l' & l & K \\ s' & s & 0 \\ j' & j & K \end{array} \right\} \left\langle n'l' \left\| \frac{\delta(r_i - r_s)}{r_s^2} \frac{\delta(r'_i - r'_s)}{r'_s^2} \mathcal{Y}_K^{\mu\mu'}(\hat{r}_i, \hat{r'}_i) \right\| nl \right\rangle \left\langle s' \left\| \hat{1} \right\| s \right\rangle$$

Scalar in spin space \rightarrow closed shell nuclei

 $\left\langle S m_s \mid \tau_{k_s q_s}^{(i)}(S) \mid S' m'_s \right\rangle$ Needed: vector in spin space

$$\tau_{k_s,q_s}^{(i)} \left(S = \frac{1}{2} \right) : \quad \tau_{10}^{(i)} = 2s_z$$

$$\tau_{1,\pm 1}^{(i)} = \mp \frac{2}{\sqrt{2}} (S_x \pm iS_y)$$

Formulation proposed in Orazbayev, Elster, Weppner, PRC 88, 034610 (2013)

Could not be implemented due to microscopic (NCSM) density not being available at the time. Now we can be serious about it.

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Theory in current implementation strictly valid only for closed shell nuclei

NN t-matrix in Wolfenstein representation:

:5 + astronomy

Projectile "0" : plane wave basis Closed shell nuclei Struck nucleon "*i*" : target basis



p+A and n+A effective interactions (optical potentials)

- In the multiple scattering approach not even the first order term is fully explored: **all** work concentrates on closed-shell nuclei
- Today one can start to explore importance of open-shells in light nuclei: full complexity of the NN interactions enters
- Longer term future (regime ~ 50 MeV lab kinetic energy):

Re-thinking of propagator modification in first order term

Exploring of 2nd order term: three-body calculation with two-body density matrix (Faddeev type calculations)

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Influence of CM on scattering observables

