



SINGLE-STATE METHOD  
WITHIN THE HORSE (J-MATRIX)  
FORMALISM

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# GENERAL IDEA:

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❖ NCSM + HORSE = continuum spectrum

# No-core Shell Model

- NCSM is a standard tool in *ab initio* nuclear structure theory
- NCSM: antisymmetrized function of all nucleons
- Wave function:  $\Psi = \mathcal{A} \prod_i \phi_i(r_i)$
- Traditionally single-particle functions  $\phi_i(r_i)$  are harmonic oscillator wave functions
- $N_{\max}$  truncation makes it possible to separate c.m. motion

# No-core Shell Model

- NCSM is a bound state technique, no continuum spectrum; not clear how to interpret states in continuum above thresholds – how to extract resonance widths or scattering phase shifts
- HORSE ( $J$ -matrix) formalism can be used for this purpose
- Other possible approaches: NCSM+RGM; Gamov SM; Continuum SM; SM+Complex Scaling; ...
- All of them make the SM much more complicated. Our goal is to interpret directly the SM results above thresholds obtained in a usual way without additional complexities and to extract from them resonant parameters and phase shifts at low energies.
- **I will discuss a more general interpretation of SM results**

# $J$ -matrix (Jacobi matrix) formalism in scattering theory

- Two types of  $L^2$  bases:
- Laguerre basis (atomic hydrogen-like states) — atomic applications
- Oscillator basis — nuclear applications
- Other titles in case of oscillator basis:

HORSE (harmonic oscillator representation of scattering equations),

Algebraic version of RGM

# *J*-matrix formalism

- Initially suggested in atomic physics (E. Heller, H. Yamani, L. Fishman, J. Broad, W. Reinhardt) :  
H.A.Yamani and L.Fishman, J. Math. Phys **16**, 410 (1975).  
Laguerre and oscillator basis.
- Rediscovered independently in nuclear physics (G. Filippov, I. Okhrimenko, Yu. Smirnov):  
G.F.Filippov and I.P.Okhrimenko, Sov. J. Nucl. Phys. **32**, 480 (1980). Oscillator basis.

# HORSE:

- Schrödinger equation:

$$H^l \Psi_{lm}(E, r) = E \Psi_{lm}(E, r)$$

- Wave function is expanded in oscillator functions:

$$\Psi_{lm}(E, \mathbf{r}) = \frac{1}{r} u_l(E, r) Y_{lm}(\hat{\mathbf{r}}),$$
$$u_l(E, r) = \sum_{n=0}^{\infty} a_{nl}(E) R_{nl}(r),$$

- Schrödinger equation is an infinite set of algebraic equations:

$$\sum_{n'=0}^{\infty} (H_{nn'}^l - \delta_{nn'}) a_{nn'}(E) = 0.$$

where  $H=T+V$ ,

$T$  — kinetic energy operator,

$V$  — potential energy



# HORSE:

- Potential energy matrix elements:

$$|nlm\rangle \equiv \phi_{nlm}(\mathbf{r}) = \frac{1}{r} R_{nl}(r) Y_{lm}(\hat{\mathbf{r}}),$$

$$V_{nn'}^{ll'} \equiv \langle nlm|V|n'l'm'\rangle = \int \phi_{nlm}(\mathbf{r}) V \phi_{n'l'm'}(\mathbf{r}) d^3\mathbf{r}$$

- For central potentials:

$$V_{nn'}^{ll'} = V_{nn'}^l = \delta_{mm'} \delta_{ll'} \int R_{nl}(r) V R_{n'l}(r) dr$$

- **Note!** Potential energy tends to zero as  $n$  and/or  $n'$  increases:

$$V_{nn'}^{ll'} \rightarrow 0, \quad n, n' \rightarrow \infty$$

- Therefore for **large  $n$  or  $n'$** :

$$T_{nn'}^l \gg V_{nn'}^{ll'}, \quad n \text{ or/and } n' \gg 1$$

A reasonable approximation when  **$n$  or  $n'$  are large**

$$H_{nn'}^l = T_{nn'}^l + V_{nn'}^l \approx T_{nn'}^l, \quad n \text{ or/and } n' \gg 1.$$

# HORSE:

- In other words, it is natural to truncate the potential energy:

$$\tilde{V}_{nn'}^l = \begin{cases} V_{nn'}^l & \text{if } n \text{ and } n' \leq N; \\ 0 & \text{if } n \text{ or } n' > N. \end{cases}$$

- This is equivalent to writing the potential energy operator as

$$V = \sum_{n=0}^N \sum_{n'=0}^N \sum_{l,l',m,m'} |nlm\rangle V_{nn'}^{ll'} \langle n'l'm'|$$

- For **large**  $n$ , the Schrödinger equation

$$\sum_{n'=0}^{\infty} (H_{nn'}^l - \delta_{nn'} E) a_{n'l}(E) = 0$$

takes the form

$$\sum_{n'=0}^{\infty} (T_{nn'}^l - \delta_{nn'} E) a_{n'l}(E) = 0, \quad n \geq N + 1$$

# General idea of the HORSE formalism

Infinite set of algebraic equations

$$\sum_{n'=0}^N (T_{nn'}^l + V_{nn'}^l - \delta_{nn'} E) a_{n'l}(E) = 0, \quad n \leq N - 1$$

Matching condition at  $n = N$ :

$$\sum_{n'=0}^N [(T_{Nn'}^l + V_{Nn'}^l - \delta_{Nn'} E) a_{n'l}(E)] + T_{N,N+1}^l a_{N+1,l}(E) = 0$$

$$\sum_{n'=0}^{\infty} (T_{nn'}^l - \delta_{nn'} E) a_{n'l}(E) = 0, \quad n \geq N + 1$$

$$T_{n,n-1}^l a_{n-1,l}(E) + (T_{nn}^l - E) a_{nl}(E) + T_{n,n+1}^l a_{n+1,l}(E) = 0$$

And this looks like a natural extension of SM where both potential and kinetic energies are truncated

**T + V**

**T**

This is an exactly solvable algebraic problem!

# Asymptotic region $n \geq N$

- Schrödinger equation takes the form of three-term recurrent relation:

$$T_{n,n-1}^l a_{n-1,l}(E) + (T_{nn}^l - E) a_{nl}(E) + T_{n,n+1}^l a_{n+1,l}(E) = 0$$

- This is a second order finite-difference equation. It has two independent solutions:

$$S_{nl}(E) = \sqrt{\frac{\pi r_0 n!}{\Gamma(n+l+3/2)}} q^{l+1} \exp\left(-\frac{q^2}{2}\right) L_n^{l+\frac{1}{2}}(q^2),$$

$$C_{nl}(E) = (-1)^l \sqrt{\frac{\pi r_0 n!}{\Gamma(n+l+3/2)}} \frac{q^{-l}}{\Gamma(-l+1/2)} \exp\left(-\frac{q^2}{2}\right) \\ \times \Phi(-n-l-1/2, -l+1/2; q^2)$$

where dimensionless momentum  $q = \sqrt{\frac{2E}{\hbar\omega}}$

For derivation, see S.A.Zaytsev, Yu.F.Smirnov, and A.M.Shirokov, Theor. Math. Phys. **117**, 1291 (1998)

# Asymptotic region $n \geq N$

- Schrödinger equation:

$$T_{n,n-1}^l a_{n-1,l}(E) + (T_{nn}^l - E) a_{nl}(E) + T_{n,n+1}^l a_{n+1,l}(E) = 0$$

- Arbitrary solution  $a_{nl}(E)$  of this equation can be expressed as a superposition of the solutions  $S_{nl}(E)$  and  $C_{nl}(E)$ , e.g.:

$$a_{nl}(E) = \cos \delta(E) S_{nl}(E) + \sin \delta(E) C_{nl}(E)$$

- Note that

$$\sum_{n=M}^{\infty} S_{Nl}(E) R_{nl}(r) \xrightarrow{r \rightarrow \infty} j_l(qr) \sim \sin\left(qr - \frac{\pi l}{2}\right),$$
$$\sum_{n=M}^{\infty} C_{Nl}(E) R_{nl}(r) \xrightarrow{r \rightarrow \infty} -n_l(qr) \sim \cos\left(qr - \frac{\pi l}{2}\right)$$

# Asymptotic region $n \geq N$

- Therefore our wave function

$$u_l(E, r) = \sum_{n=0}^{\infty} a_{nl}(E) R_{nl}(r) \xrightarrow{r \rightarrow \infty} \sin\left(qr + \delta - \frac{\pi l}{2}\right)$$

- Reminder: the ideas of quantum scattering theory.
- Cross section

$$\sigma \sim \sin^2 \delta$$

- Wave function

$$\Psi \xrightarrow{r \rightarrow \infty} \sin\left(qr + \delta - \frac{\pi l}{2}\right)$$

- $\delta$  in the HORSE approach is the phase shift!

# HORSE solutions

- Schrödinger equation

$$\sum_{n'=0}^N H_{nn'}^l \langle n' | \lambda \rangle = E_\lambda \langle n | \lambda \rangle, \quad n \leq N$$

- Inverse Hamiltonian matrix:

$$(H - E)_{nn'}^{-1} \equiv -\mathcal{G}_{nn'} = \sum_{\lambda'=0}^N \frac{\langle n | \lambda' \rangle \langle \lambda' | n' \rangle}{E_{\lambda'} - E}$$

- Phase shifts:

$$\tan \delta(E) = - \frac{S_{Nl}(E) - \mathcal{G}_{NN} T_{N,N+1}^l S_{N+1,l}(E)}{C_{Nl}(E) - \mathcal{G}_{NN} T_{N,N+1}^l C_{N+1,l}(E)}$$

- $S_{Nl}(E)$  and  $C_{Nl}(E)$  are the functions which can be expressed analytically

# $J$ -matrix, $P$ -matrix, $R$ -matrix

- HORSE is a discrete analogue of the  $P$ -matrix approach,  $P = R^{-1}$ .
- Oscillator expansion:  $\Psi = \sum_N a_N \varphi_N$ .
- At large quanta  $N$ , the oscillator function  $\varphi_N$  is a high-oscillating function at distances up to the classical turning point  $b^{cl} = r_0 \sqrt{2N + 3}$  and rapidly decreases at  $r > b^{cl}$ ; hence only the vicinity of  $b^{cl}$  contributes to the integral  $\int \varphi_N(r) f(r) dr$  and
  - $$\varphi_N(r) \xrightarrow{N \rightarrow \infty} A_N \delta(r - r_0 \sqrt{2N + 3}).$$
- Truncating potential matrix within HORSE at very large  $N$  is equivalent to  $P$ -matrix formalism with channel radius  $b = r_0 \sqrt{2N + 7}$ . If  $N$  is not extremely large, HORSE is a discrete analogue of the  $P$ -matrix formalism with a natural channel radius  $b = r_0 \sqrt{2N + 7}$ ; the oscillator function  $\varphi_N$  differs essentially from the  $\delta$ -function, but the matching to free solutions is defined not in the coordinate space but in the discrete space of oscillator functions that seems to be more natural for RGM, shell model and other approaches utilizing oscillator basis  
(see details in Bang, Mazur, AMS, Smirnov, Zaytsev, Ann. Phys. (NY), **280**, 299 (2000))



# HORSE: charged particle scattering

J. M. Bang, A. I. Mazur, A. M. Shirokov, Yu. F. Smirnov and S. A. Zaytsev,  
Ann. Phys. (N.Y.) 280, 299 (2000)

*Auxiliary short-range potential*

$$V^{sh} = \begin{cases} V^{nucl} + V^{Cl}, & r \leq R', \quad R' > R^{nucl} \\ 0, & r > R' \end{cases}$$

*Within HORSE one can calculate phase shift  $\delta_l^{sh}$ .*

$$\tan \delta_l^{sh} = -\frac{S_{Nl} - G_{NN} T_{N,N+1}^l S_{N+1,l}}{C_{Nl} - G_{NN} T_{N,N+1}^l C_{N+1,l}}$$

*Extension HORSE*

$$\tan \delta_l = -\frac{W_{R'}(j_l, F_l) - W_{R'}(n_l, F_l) \tan \delta_l^{sh}}{W_{R'}(j_l, G_l) - W_{R'}(n_l, G_l) \tan \delta_l^{sh}}$$

*Quasi-Wronskian*

$$W_{R'}(j_l, F_l) = \left( \frac{d}{dr} [j_l(kr)] F_l(\eta, kr) - j_l(kr) \frac{d}{dr} [F_l(\eta, kr)] \right) \Big|_{r=R'}$$

$$j_l(kr), \quad n_l(kr)$$

$$F_l(\eta, kr), \quad G_l(\eta, kr)$$

$$\eta = \frac{\mu Z_1 Z_2 e^2}{k}$$

Bessel and Neumann functions;

Coulomb functions;

Sommerfeld parameter.

Natural channel radius  $R' = b^{cl} = r_0 \sqrt{2N + 7}$  is the optimal choice for  $R'$ .

# HORSE applicability

- HORSE was successfully used within RGM
- HORSE was successfully used in various cluster models, e.g.,  $^{11}\text{Li}$  disintegration
- Coulomb interaction can be accounted for within HORSE
- Inverse scattering HORSE theory has been developed and used, e.g., for constructing JISP16 *NN* interaction
- However there are problems with a direct HORSE extension of modern shell model calculations

# Problems with direct HORSE application to NCSM

$$\tan \delta(E) = -\frac{S_{Nl}(E) - \mathcal{G}_{NN} T_{N,N+1}^l S_{N+1,l}(E)}{C_{Nl}(E) - \mathcal{G}_{NN} T_{N,N+1}^l C_{N+1,l}(E)}$$

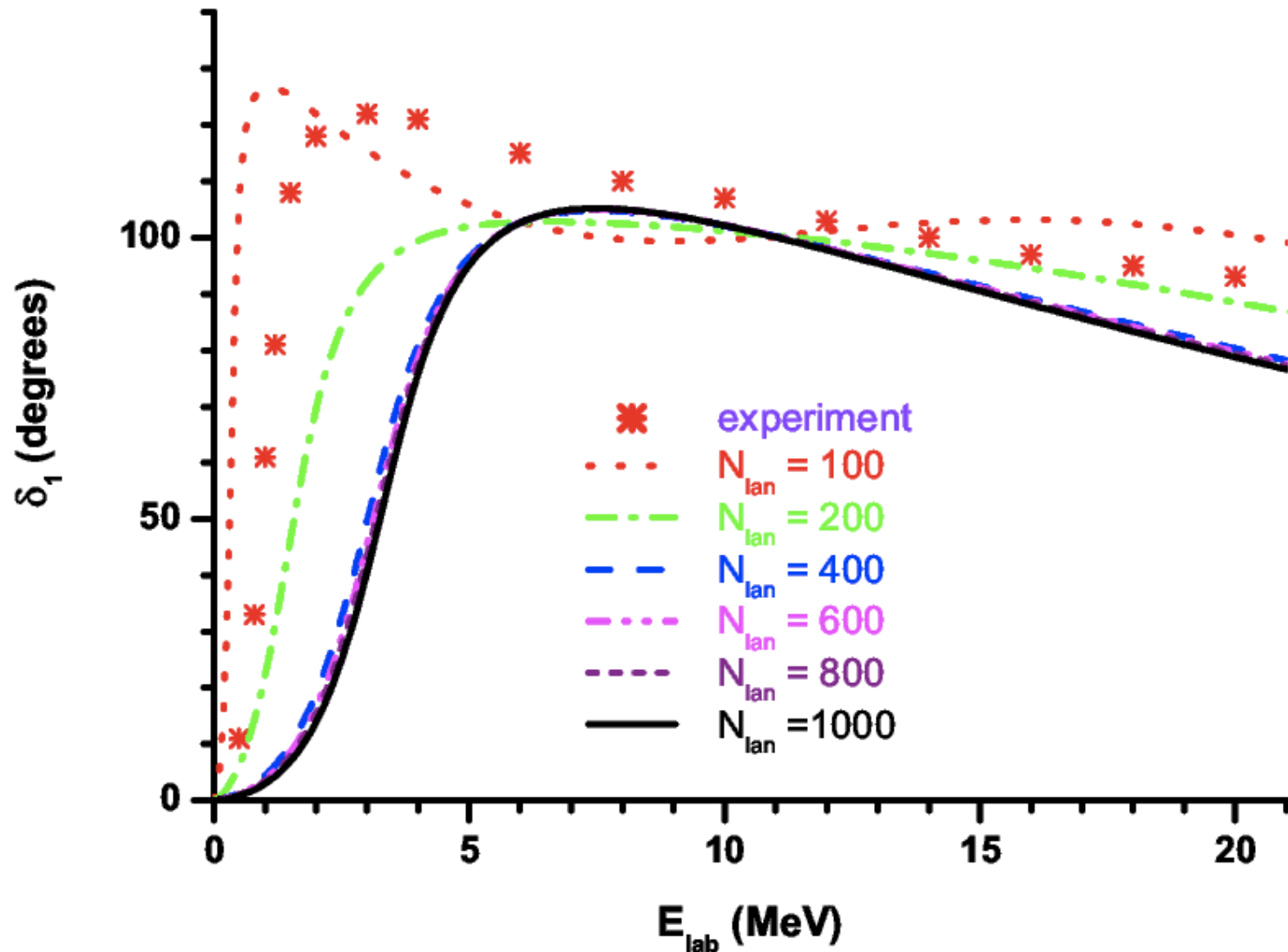
- A lot of  $E_\lambda$  eigenstates needed while SM codes usually calculate few lowest states only
- One needs highly excited states and to get rid from CM excited states.

$$(H - E)_{nn'}^{-1} \equiv -\mathcal{G}_{nn'} = \sum_{\lambda'=0}^N \frac{\langle n|\lambda'\rangle\langle\lambda'|n'\rangle}{E_{\lambda'} - E}$$

$$\sum_{n'=0}^N H_{nn'}^l \langle n'|\lambda\rangle = E_\lambda \langle n|\lambda\rangle, \quad n \leq N$$

- $\langle n'|\lambda\rangle$  are normalized for all states including the CM excited ones, hence renormalization is needed.
- We need  $\langle n'|\lambda\rangle$  for the relative  $n$ -nucleus coordinate  $r_{nA}$  but NCSM provides  $\langle n'|\lambda\rangle$  for the  $n$  coordinate  $r_n$  relative to the nucleus CM. Hence we need to perform Talmi-Moshinsky transformations for all states to obtain  $\langle n'|\lambda\rangle$  in relative  $n$ -nucleus coordinates.
- Concluding, the direct application of the HORSE formalism in  $n$ -nucleus scattering is unpractical.

# Example: $n\alpha$ scattering



# Single-state HORSE (SS-HORSE)

$$\sum_{n'=0}^N H_{nn'}^l \langle n' | \lambda \rangle = E_\lambda \langle n | \lambda \rangle, \quad n \leq N$$

$$(H - E)^{-1}_{nn'} \equiv -\mathcal{G}_{nn'} = \sum_{\lambda'=0}^N \frac{\langle n | \lambda' \rangle \langle \lambda' | n' \rangle}{E_{\lambda'} - E}$$

$$\tan \delta(E) = -\frac{S_{Nl}(E) - \mathcal{G}_{NN} T_{N,N+1}^l S_{N+1,l}(E)}{C_{Nl}(E) - \mathcal{G}_{NN} T_{N,N+1}^l C_{N+1,l}(E)}$$

Suppose  $E = E_\lambda$ :

$$\tan \delta(E_\lambda) = \frac{S_{N+1,l}(E_\lambda)}{C_{N+1,l}(E_\lambda)}$$

Calculating a set of  $E_\lambda$  eigenstates with different  $\hbar\Omega$  and  $N_{\max}$  within SM, we obtain a set of  $\delta(E_\lambda)$  values which we can approximate by a smooth curve at low energies.

# Single-state HORSE (SS-HORSE)

$$\sum_{n'=0}^N H_{nn'}^l \langle n' | \lambda \rangle = E_\lambda \langle n | \lambda \rangle, \quad n \leq N$$

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Suppose  $E = E_\lambda$ :

$$\tan \delta(E_\lambda) = \frac{S_{N+1,l}(E_\lambda)}{C_{N+1,l}(E_\lambda)}$$

Note, information about wave function disappeared in this formula, any channel can be treated

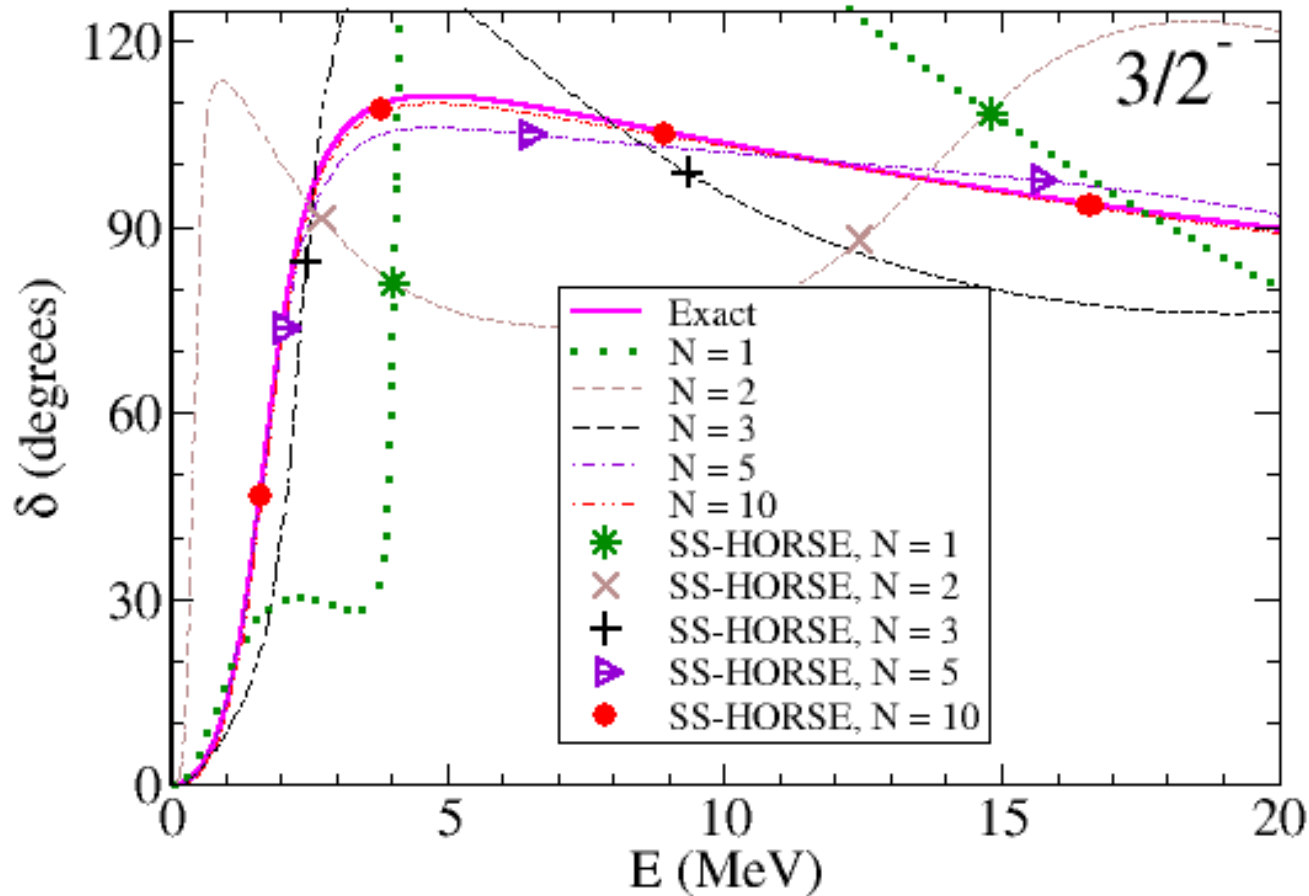
Calculating a set of  $E_\lambda$  eigenstates with different  $\hbar\Omega$  and  $N_{\max}$  within SM, we obtain a set of  $\delta(E_\lambda)$  values which we can approximate by a smooth curve at low energies.

# Convergence: model problem

$$\tan \delta(E) = -\frac{S_{Nl}(E) - \mathcal{G}_{NN} T_{N,N+1}^l S_{N+1,l}(E)}{C_{Nl}(E) - \mathcal{G}_{NN} T_{N,N+1}^l C_{N+1,l}(E)}$$

$$(H - E)_{nn'}^{-1} \equiv -\mathcal{G}_{nn'} = \sum_{\lambda'=0}^N \frac{\langle n|\lambda'\rangle \langle \lambda'|n'\rangle}{E_{\lambda'} - E}$$

$$\sum_{n'=0}^N H_{nn'}^l \langle n'|\lambda\rangle = E_{\lambda} \langle n|\lambda\rangle, \quad n \leq N$$

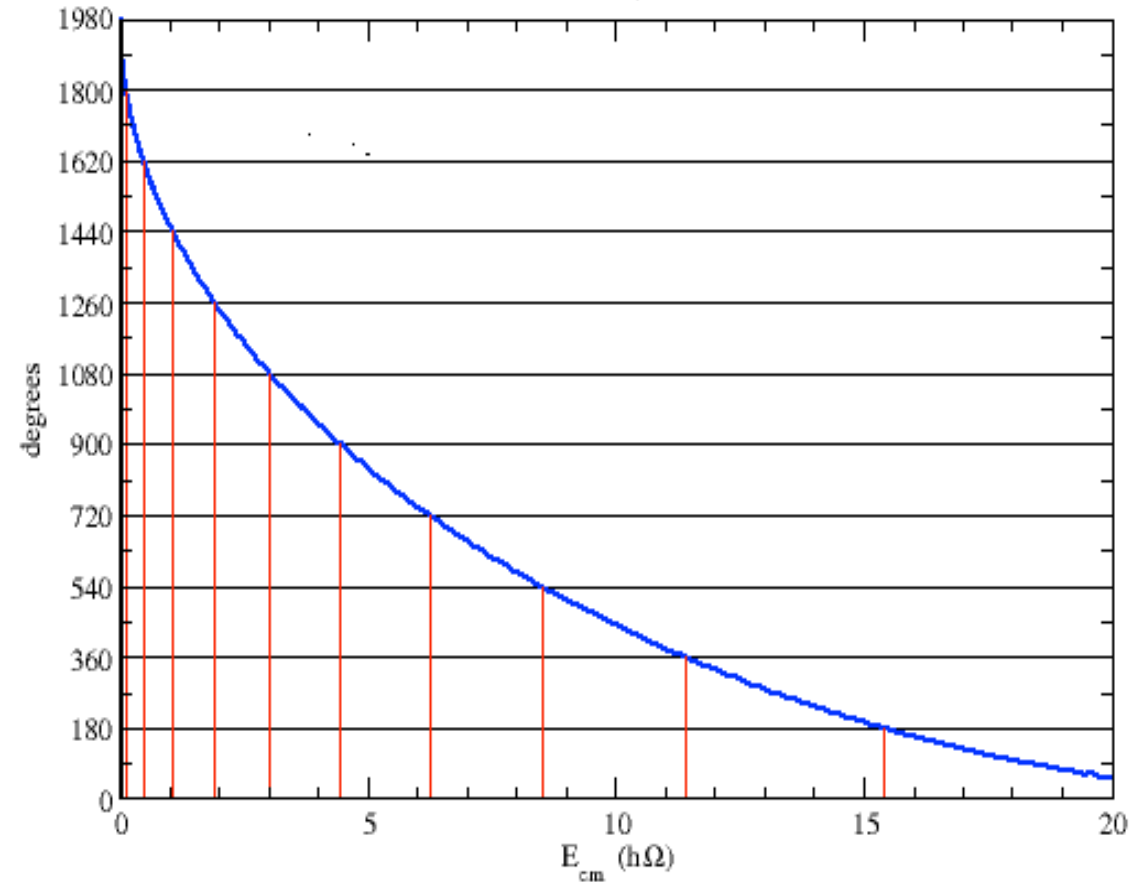


# Universal function

$$\arctan(-S_{N+1,l}/C_{N+1,l})$$

$N+1=10, l=0$

$$f_{nl}(E) = \arctan\left(-\frac{S_{nl}(E)}{C_{nl}(E)}\right)$$





$$f_{nl}(E) = \arctan\left(-\frac{S_{nl}(E)}{C_{nl}(E)}\right) \quad \text{scaling property}$$

Limit  $n \rightarrow \infty$  :

$$n \gg \sqrt{\frac{2E}{\hbar\Omega}}$$

$$\begin{aligned} S_{nl}(q) &\approx q\sqrt{r_0} (n + l/2 + 3/4)^{\frac{1}{4}} j_l(2q\sqrt{n + l/2 + 3/4}) \\ &\approx \sqrt{r_0} (n + l/2 + 3/4)^{-\frac{1}{4}} \sin[2q\sqrt{n + l/2 + 3/4} - \pi l/2] \end{aligned}$$

$$\begin{aligned} C_{nl}(q) &\approx -q\sqrt{r_0} (n + l/2 + 3/4)^{\frac{1}{4}} n_l(2q\sqrt{n + l/2 + 3/4}) \\ &\approx \sqrt{r_0} (n + l/2 + 3/4)^{-\frac{1}{4}} \cos[2q\sqrt{n + l/2 + 3/4} - \pi l/2] \end{aligned}$$

$$q = \sqrt{\frac{2E}{\hbar\Omega}}$$

So  $f_{n+1,l}(E) = \arctan\left(\frac{S_{n+1,l}(E)}{C_{n+1,l}(E)}\right)$  is a function of  $\varepsilon = \frac{E_{cm}}{s}$ ,

where scaling parameter  $s = \frac{\hbar\Omega}{2n+l+7/2} = \frac{\hbar\Omega}{N+7/2}$

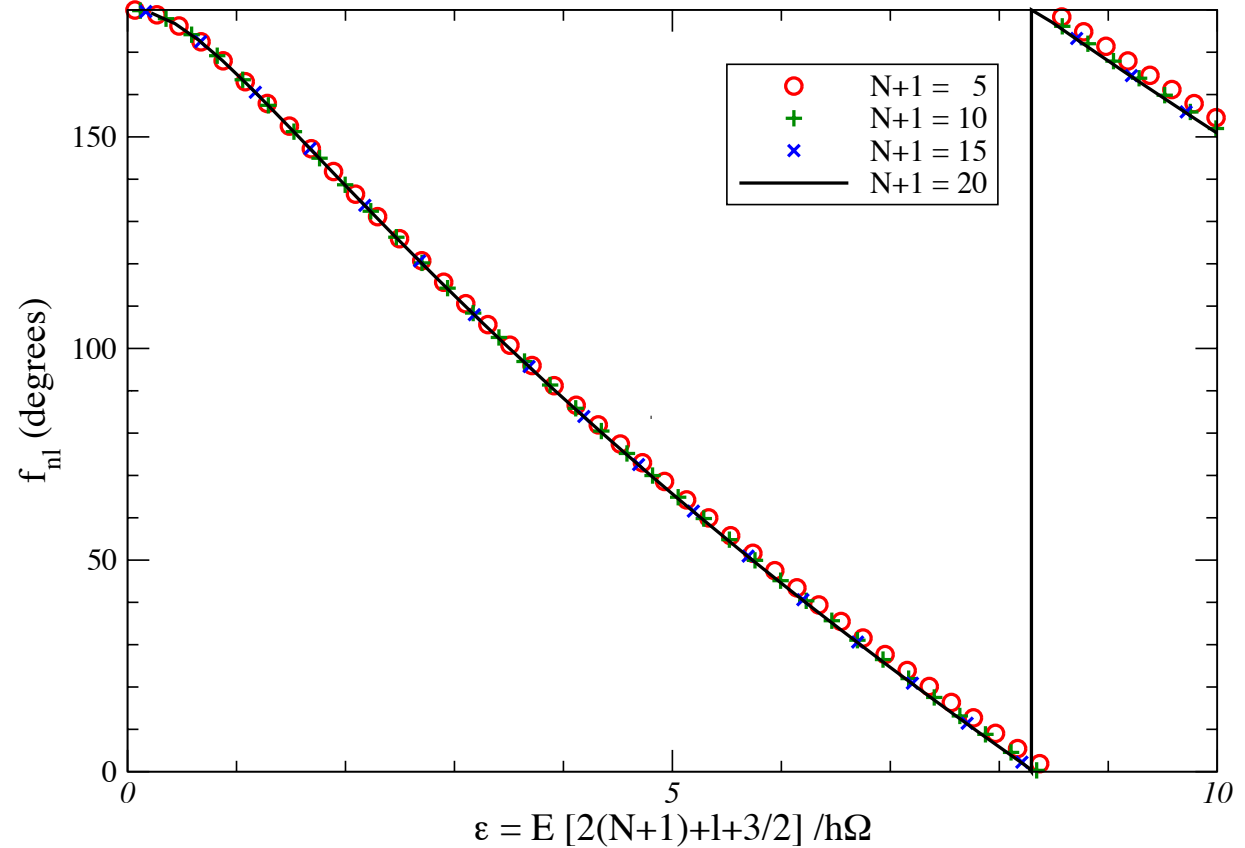
# Universal function scaling

$$E_{cm} \text{ (MeV)} \Rightarrow \varepsilon = \frac{E_{cm} [2(N+1) + l + 3/2]}{\hbar\Omega}$$

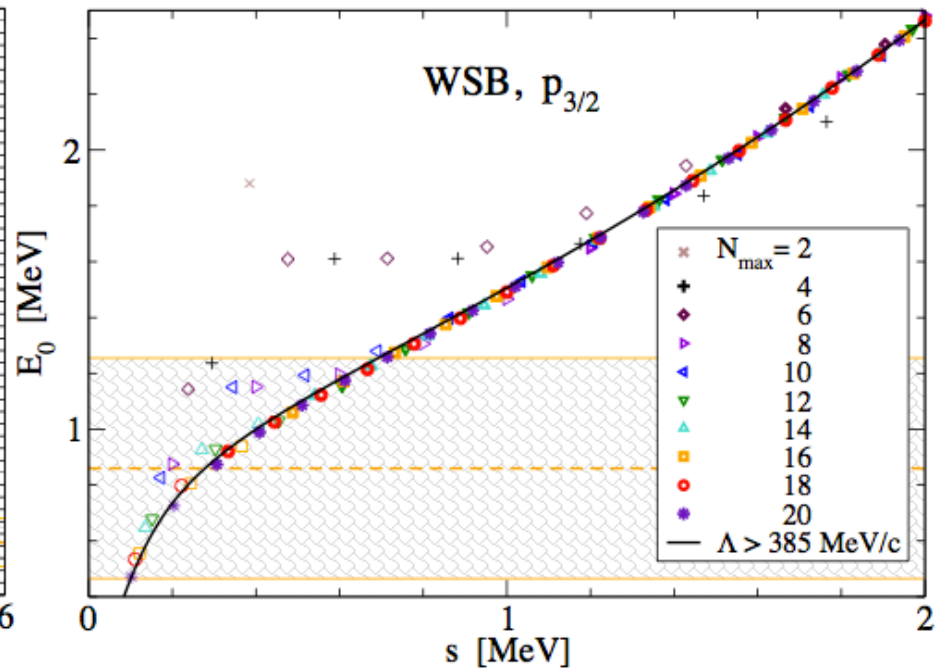
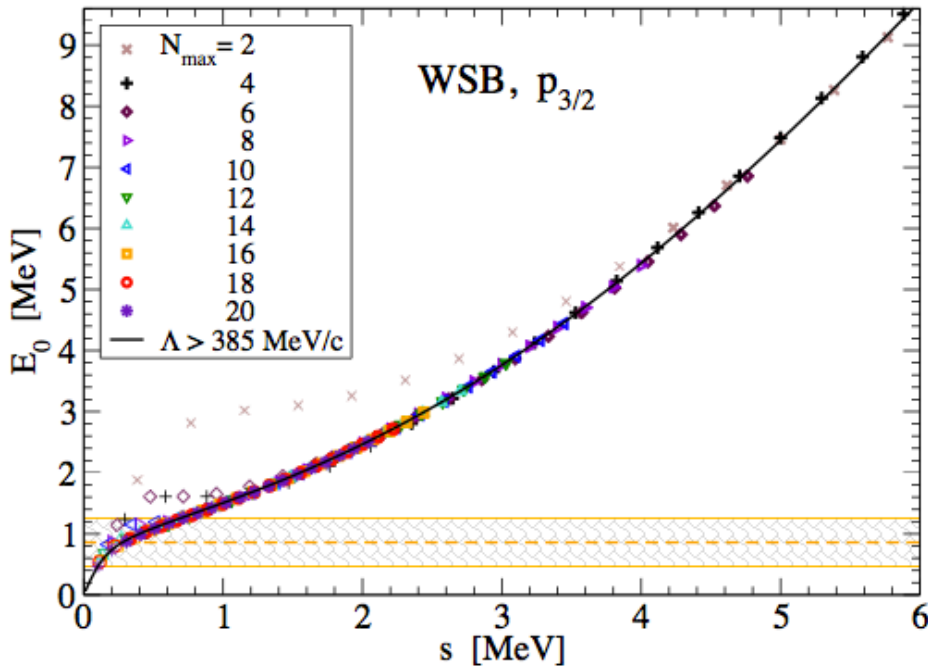
$$f_{N+1,l} = -\arctan(S_{N+1,l}/C_{N+1,l})$$

$$\hbar\Omega = 20 \quad l=2$$

$l=2$



# Eigenstate behavior in the presence of resonance



$$s = \frac{\hbar\Omega}{2n + l + 7/2} = \frac{\hbar\Omega}{N + 7/2}$$

$$\tan \delta(E_\lambda) = \frac{S_{N+1,l}(E_\lambda)}{C_{N+1,l}(E_\lambda)}$$

# S-matrix at low energies

Symmetry property: 
$$S(-k) = \frac{1}{S(k)}$$

$$S(k) = \exp 2i\delta$$

Hence 
$$\delta(-k) = -\delta(k), \quad k \sim \sqrt{E},$$

$$\delta \simeq C\sqrt{E} + D(\sqrt{E})^3 + F(\sqrt{E})^5 + \dots$$

As  $k \rightarrow 0$ : 
$$\delta_\ell \sim k^{2\ell+1} \sim (\sqrt{E})^{2\ell+1}$$

Bound state: 
$$S_b^{(i)}(k) = \frac{k + ik_b^{(i)}}{k - ik_b^{(i)}}$$

$$\delta_0 \simeq \pi - \arctan \sqrt{\frac{E}{|E_b|}} + c\sqrt{E} + d(\sqrt{E})^3 + f(\sqrt{E})^5 \dots$$

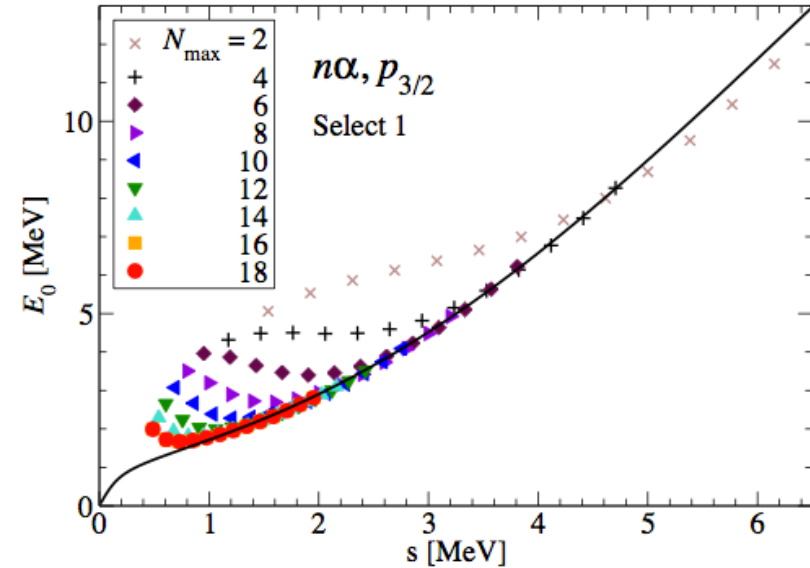
Resonance: 
$$S_r^{(i)}(k) = \frac{(k + \kappa_r^{(i)})(k - \kappa_r^{(i)*})}{(k - \kappa_r^{(i)})(k + \kappa_r^{(i)*})}$$

$$\delta_1 \simeq -\arctan \frac{a\sqrt{E}}{E - b^2} + c\sqrt{E} + d(\sqrt{E})^3 + \dots, \quad c = -\frac{a}{b^2}.$$

**How it works**

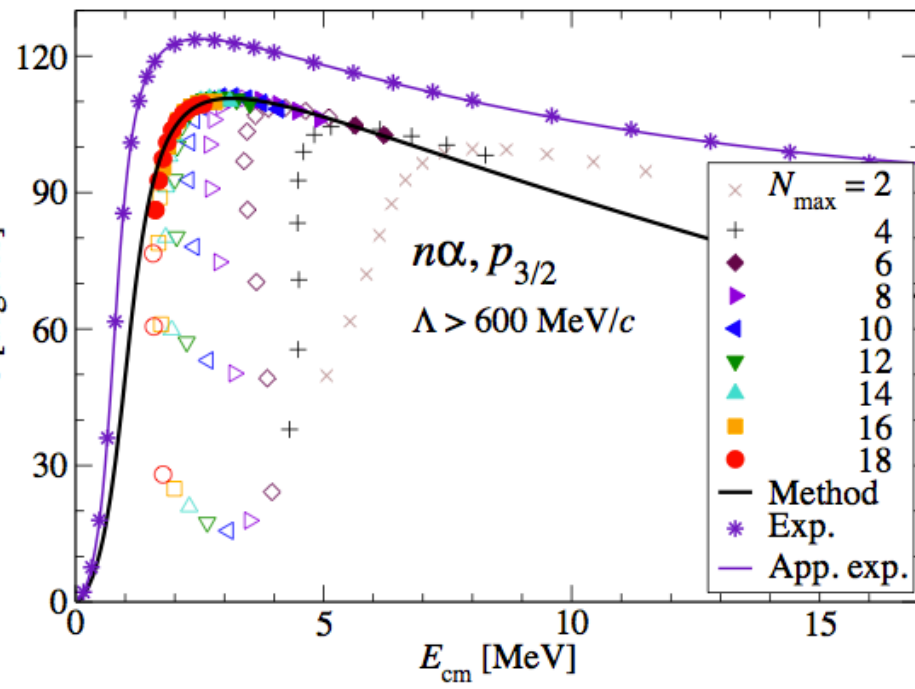
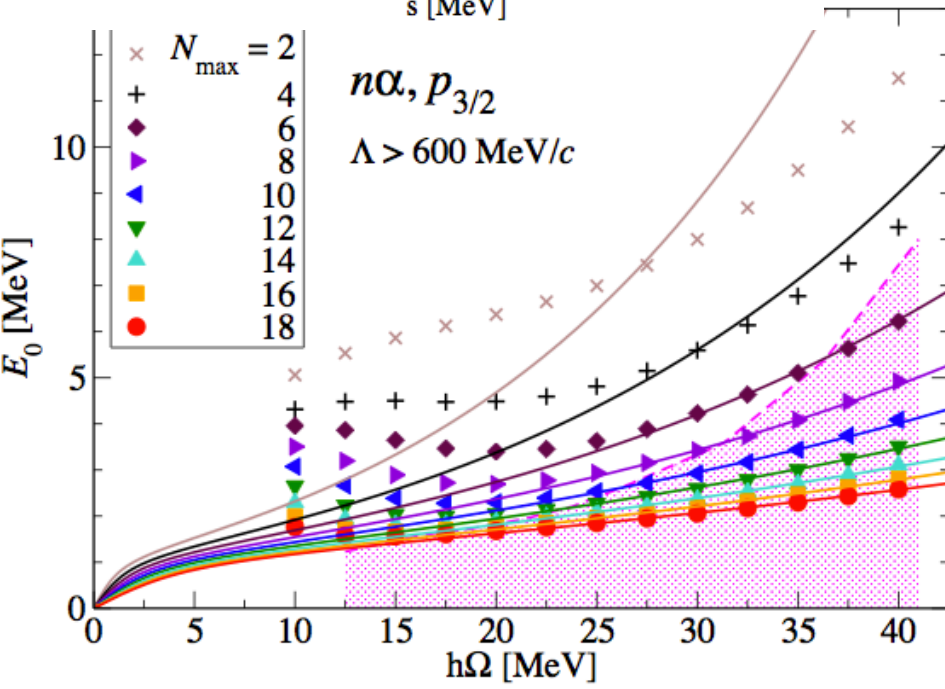
# $n\alpha$ scattering: NCSM, JISP16

$$E_\lambda(\hbar\Omega, N_{\max}) = E_\lambda^{A=5}(\hbar\Omega, N_{\max}) - E_\lambda^{A=4}(\hbar\Omega, N_{\max})$$



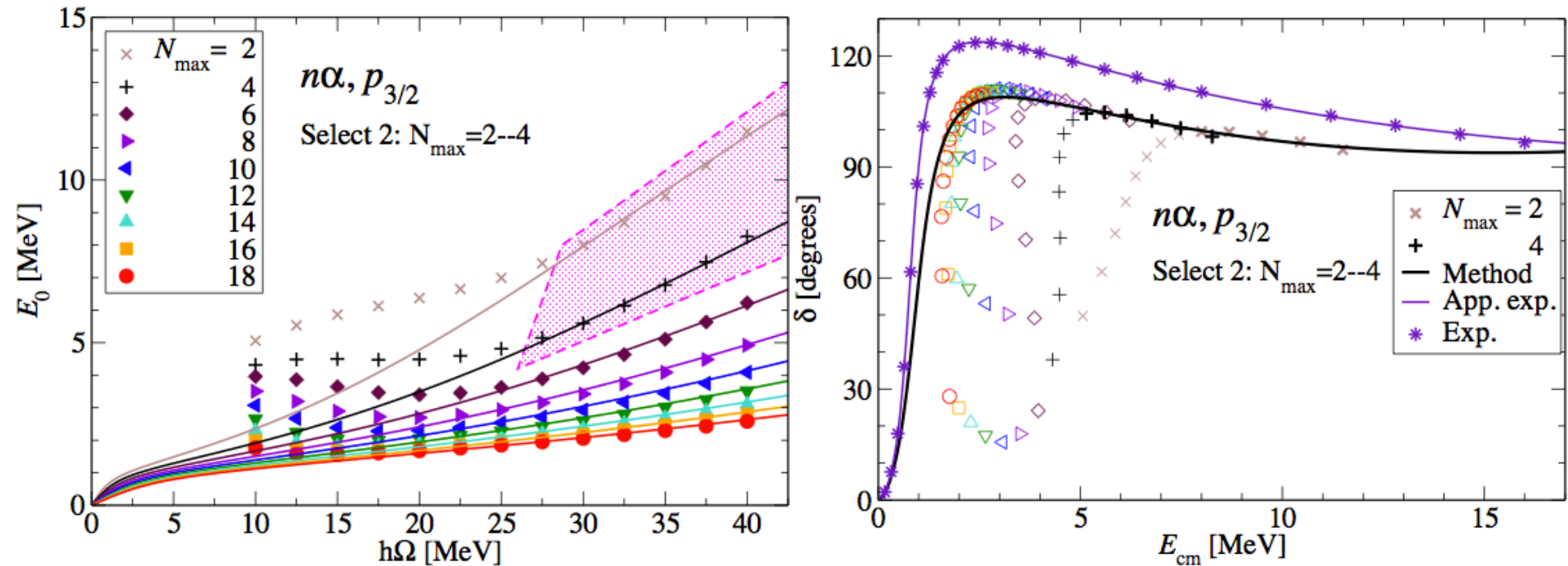
$$s = \frac{\hbar\Omega}{(N_{\max} + 2 + \ell + 3/2)}$$

$$\tan \delta(E_\lambda) = \frac{S_{N+1,l}(E_\lambda)}{C_{N+1,l}(E_\lambda)}$$



# $n\alpha$ scattering: NCSM, JISP16

$$E_\lambda(\hbar\Omega, N_{\max}) = E_\lambda^{A=5}(\hbar\Omega, N_{\max}) - E_\lambda^{A=4}(\hbar\Omega, N_{\max})$$



# $n\alpha$ scattering: NCSM, JISP16

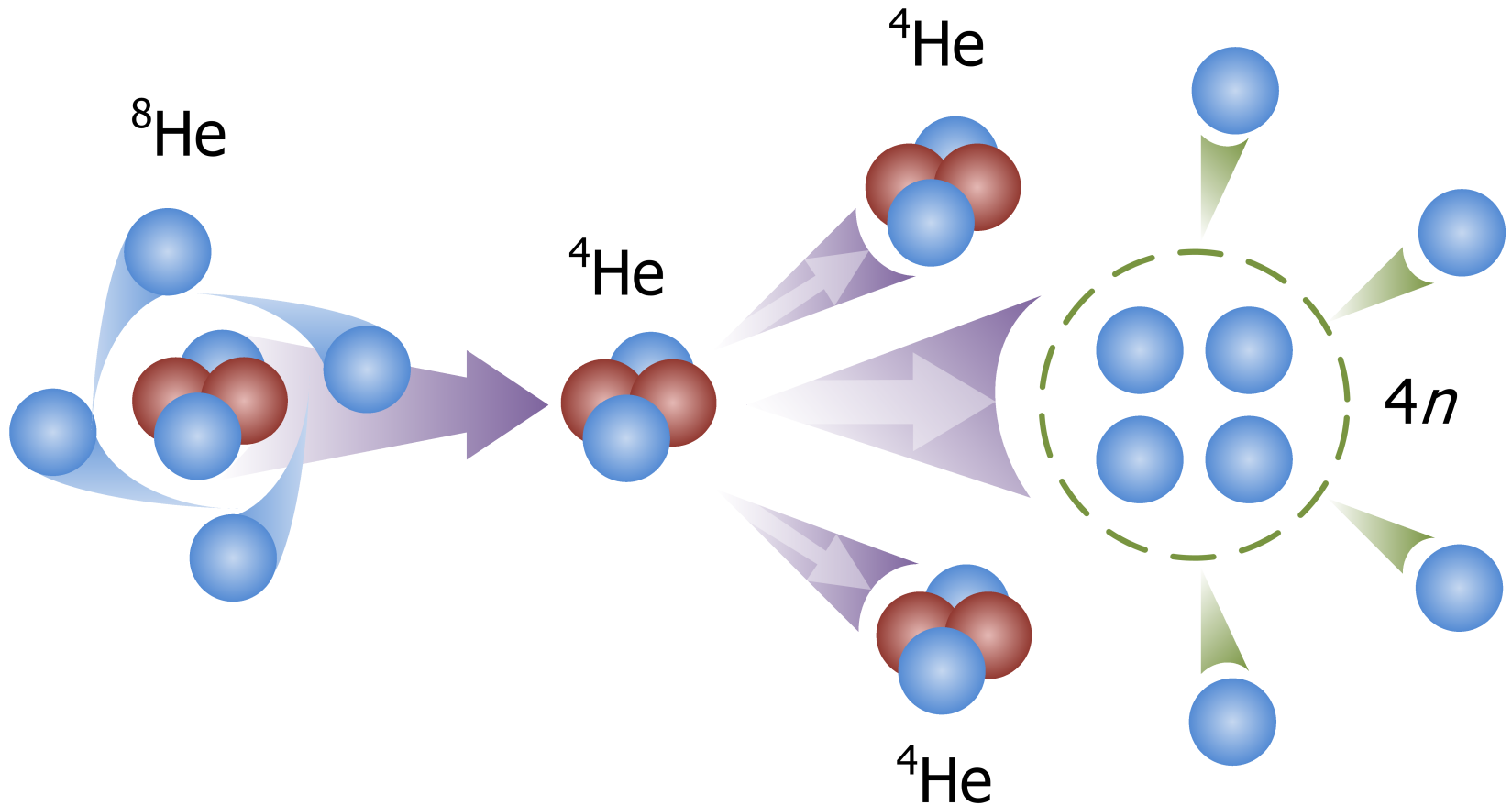
$$E_\lambda(\hbar\Omega, N_{\max}) = E_\lambda^{A=5}(\hbar\Omega, N_{\max}) - E_\lambda^{A=4}(\hbar\Omega, N_{\max})$$

$$\delta_1 \simeq -\arctan \frac{a\sqrt{E}}{E - b^2} + c\sqrt{E} + d(\sqrt{E})^3 + \dots, \quad c = -\frac{a}{b^2}.$$

$3/2^-, n-^4\text{He}$	$a, \text{MeV}^{\frac{1}{2}}$	$b^2, \text{MeV}$	$d, \text{MeV}^{-\frac{3}{2}}$	$E_r, \text{MeV}$	$\Gamma, \text{MeV}$	$\sqrt{\frac{\chi^2}{\text{datum}}}, \text{MeV}$	# pts.
$\Lambda > 600, N_{\max} = 6 \div 18$	0.505	1.135	-0.00009	1.008	1.046	0.031	46
<b>Select 1</b>	0.506	1.054	+0.00647	0.926	1.008	0.053	63
<b>Select 1</b> + ( $N_{\max} = 2$ )	0.506	1.019	+0.00932	0.891	0.989	0.070	68
<b>Select 2:</b> $N_{\max} = 2 \div 4$	0.515	1.025	+0.0101	0.892	1.008	0.106	11
<b>Select 2:</b> $N_{\max} = 2 \div 6$	0.512	1.022	+0.00988	0.891	1.002	0.097	18
<b>Select 3:</b> $N_{\max} = 12$	0.469	1.307	-0.0265	1.197	1.050	0.011	8
<i>R</i> -matrix [3]				0.80	0.65		
<i>J</i> -matrix [4]				0.772	0.644		
Fit with exp. data	0.358	0.839	+0.00559	0.774	0.643	0.21 [deg]	26



# Tetraneutron



Experiment: K. Kisamori et al., Phys. Rev. Lett. 116, 052501 (2016):  
 $E_R = 0.83 \pm 0.63(\text{statistical}) \mp 1.25(\text{systematic}) \text{ MeV}$ ; width  $\Gamma \leq 2.6 \text{ MeV}$

# Tetraneutron

- Democratic decay (no bound subsystems)
- Hyperspherical harmonics:

$$\Psi(\mathbf{r}_1, \mathbf{r}_2, \dots, \mathbf{r}_A) = \Phi(\rho) \mathcal{Y}_{k\nu}(\Omega), \quad \rho = \sqrt{\sum_{i=1}^A (\mathbf{r}_i - \mathbf{R})^2},$$

$$\Phi_{nK} \equiv \Phi_n^{\mathcal{L}}(\rho) = \rho^{-(3A-4)/2} \varphi_{nK}(\rho), \quad \mathcal{L} = K + \frac{3A-6}{2};$$

$$\frac{\hbar^2}{2m} \left[ -\frac{d^2}{d^2\rho} + \frac{\mathcal{L}(\mathcal{L}+1)}{\rho^2} \right] \Phi_n^{\mathcal{L}}(\rho) + \sum_{\mathcal{L}'} V_{\mathcal{L},\mathcal{L}'} \Phi_n^{\mathcal{L}'}(\rho) = E \Phi_n^{\mathcal{L}}(\rho).$$

Approximation:

the only open channel is with  $\mathcal{L} = \mathcal{L}_{\min} = K_{\min} + 3 = 5$ .

All possible  $\mathcal{L}$  ( $K$ ) values are accounted for in diagonalization of the NCSM Hamiltonian

# Tetraneutron

$S$ -matrix:  $S = \exp 2i\delta^{\mathcal{L}}$

$$\delta^{\mathcal{L}} = C\sqrt{E} + D(\sqrt{E})^3 + F(\sqrt{E})^5 + \dots$$

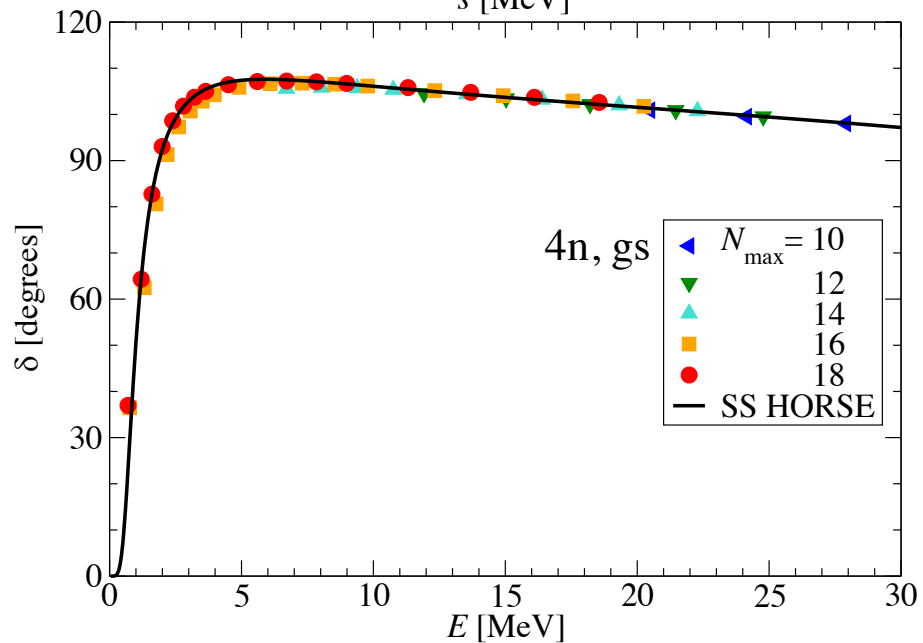
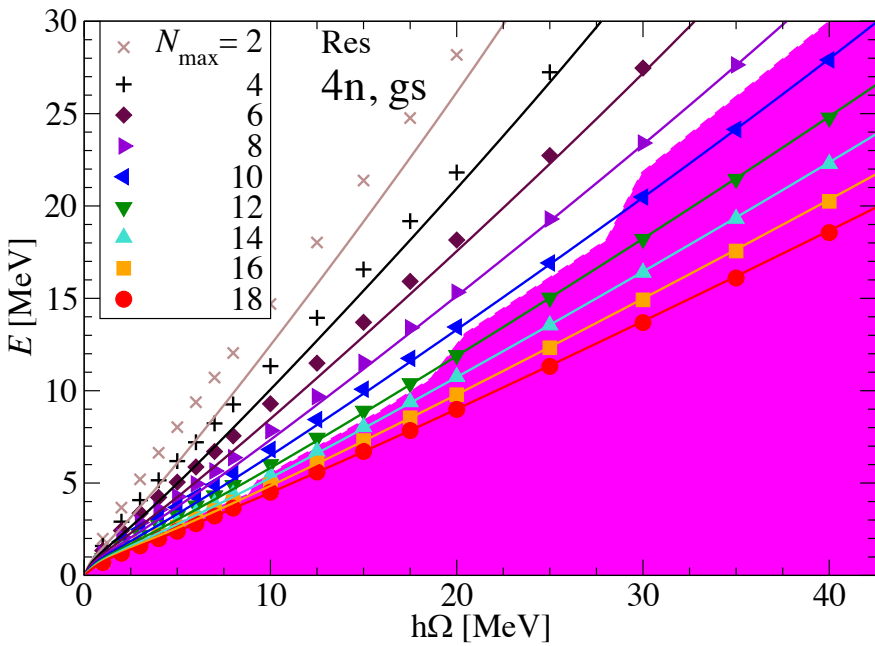
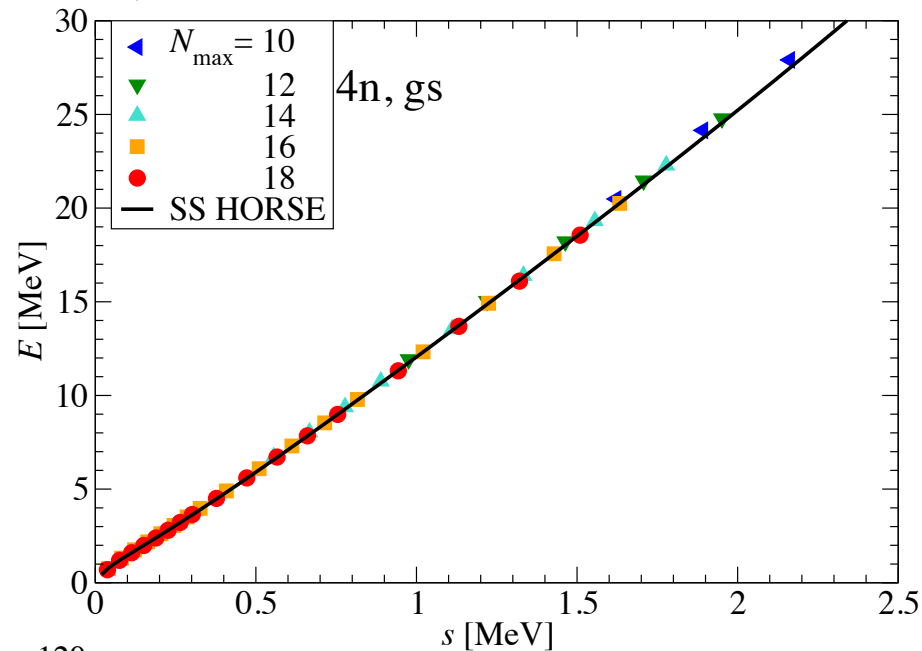
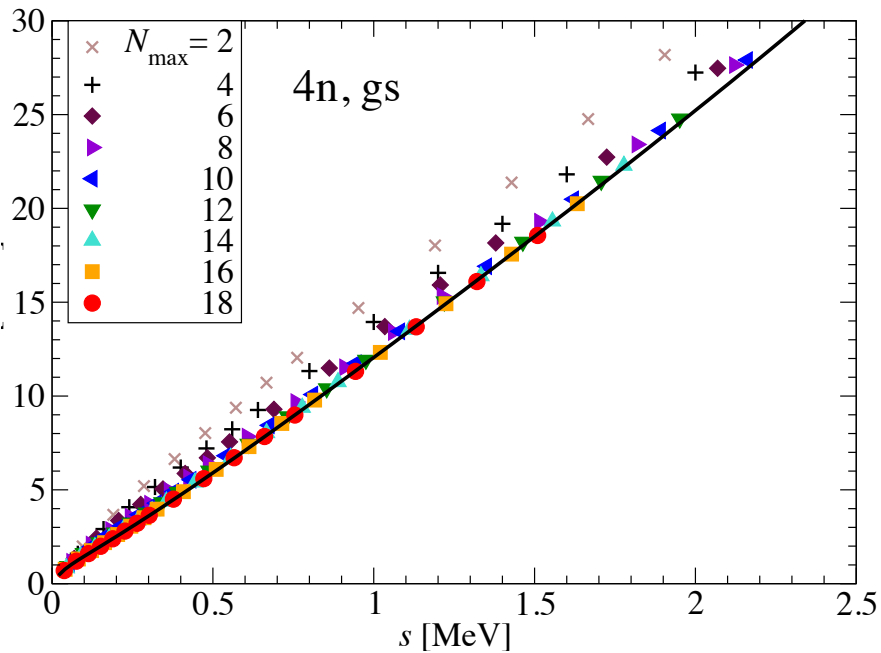
As  $E \rightarrow 0$ :  $\delta^{\mathcal{L}} \sim (\sqrt{E})^{2\mathcal{L}+1} \sim (\sqrt{E})^{11}$  – huge power!

$$\delta = -\arctan \frac{a\sqrt{E}}{E - b^2} - \phi_{3,6}(E),$$

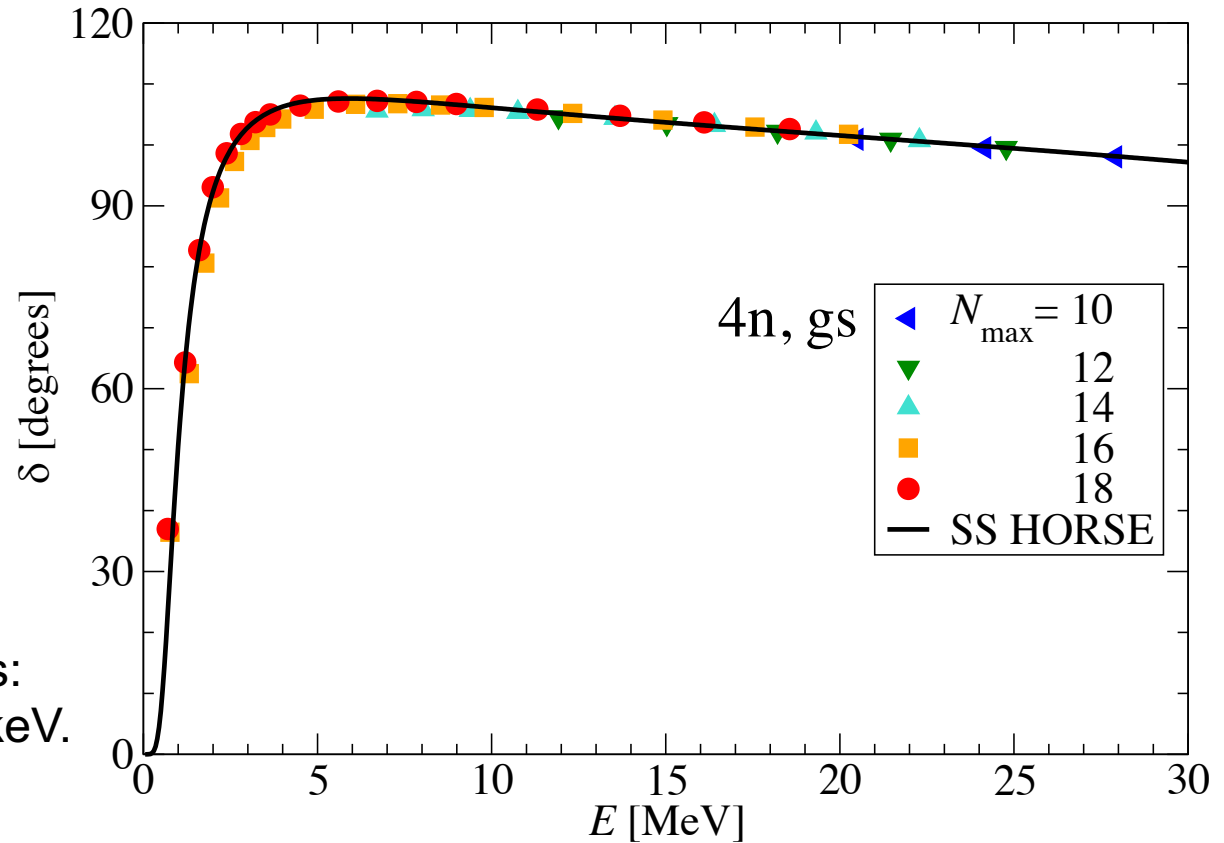
$$\phi_{3,6}(E) = \frac{w_1\sqrt{E} + w_3(\sqrt{E})^3 + c(\sqrt{E})^5}{1 + w_2E + w_4E^2 + w_6E^3 + dE^4},$$

$$\phi_{3,6}(E) = M_9(\sqrt{E}) + O\left(\left(\sqrt{E}\right)^{11}\right).$$

# Tetraneutron, JISP16



# Tetraneutron, JISP16

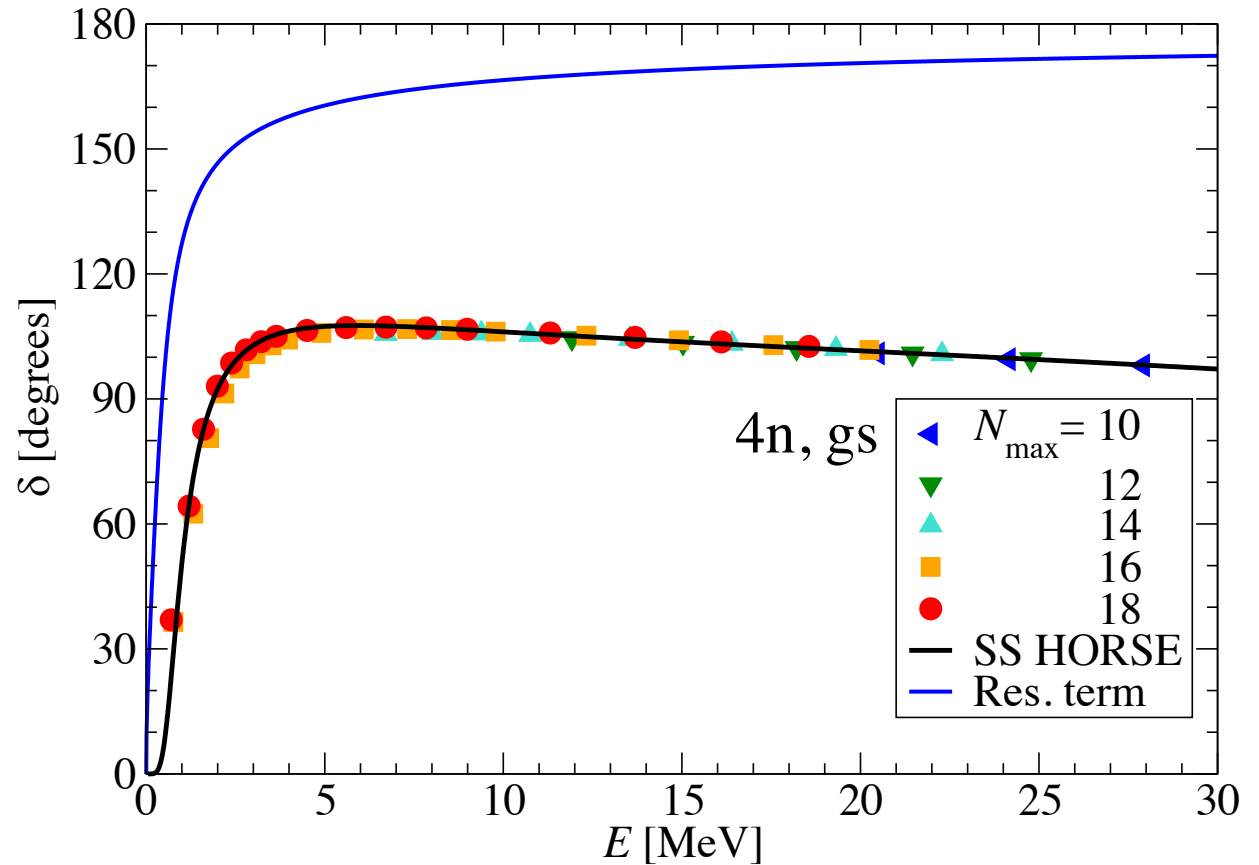


Resonance parameters:  
 $E_r = 186$  keV,  $\Gamma = 815$  keV.

A resonance around  
 $E_r = 850$  keV with width  
around  $\Gamma = 1.3$  MeV is  
expected!

# Tetraneutron, JISP16

Resonance parameters:  
 $E_r = 186$  keV,  $\Gamma = 815$  keV.



A resonance around  
 $E_r = 850$  keV with width  
around  $\Gamma = 1.3$  MeV is  
expected!

Can it be a virtual state?

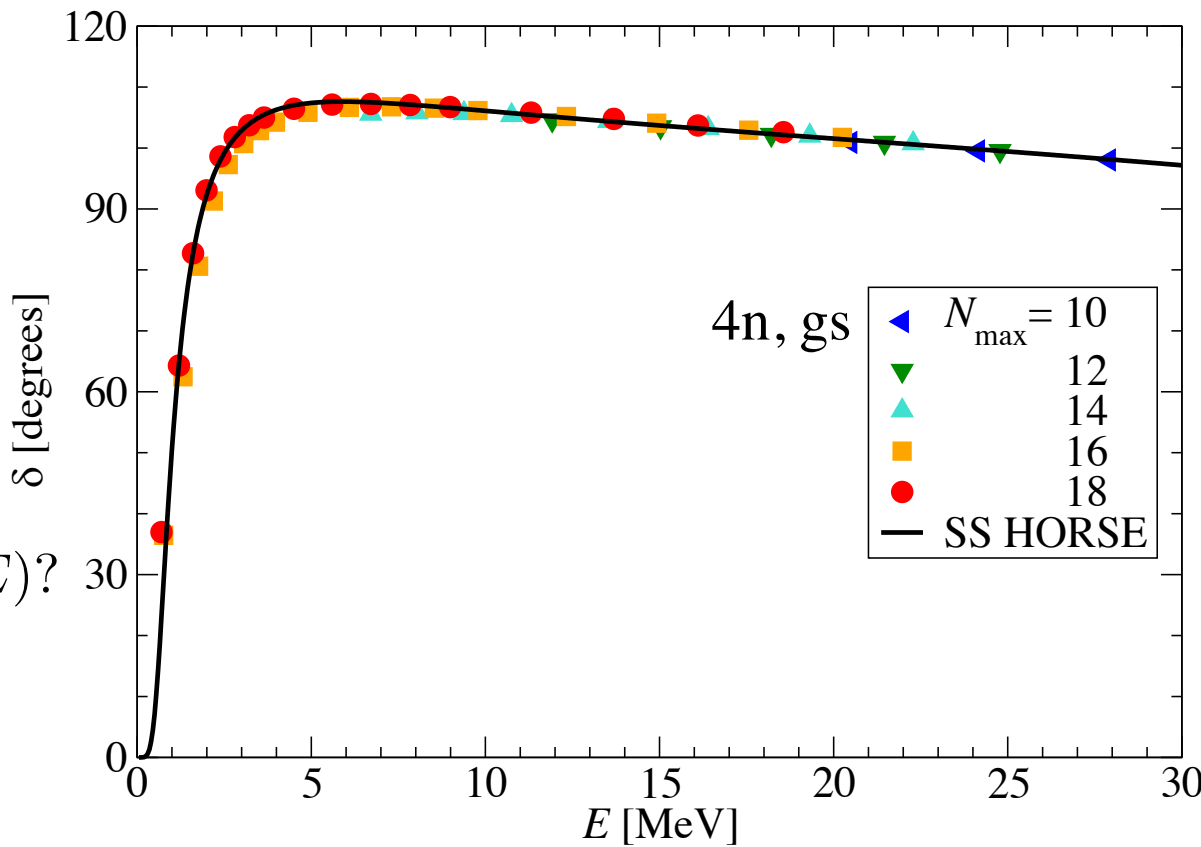
No.

# Tetraneutron, JISP16

Can it be a combination of a  
false pole and resonant pole:

$$\delta = -\arctan \frac{a\sqrt{E}}{E - b^2}$$

$$-\arctan \sqrt{\frac{E}{|E_f|}} - \phi_{3,6}(E)?$$



# Tetraneutron, JISP16

Can it be a combination of a false pole and resonant pole:

$$\delta = -\arctan \frac{a\sqrt{E}}{E - b^2}$$

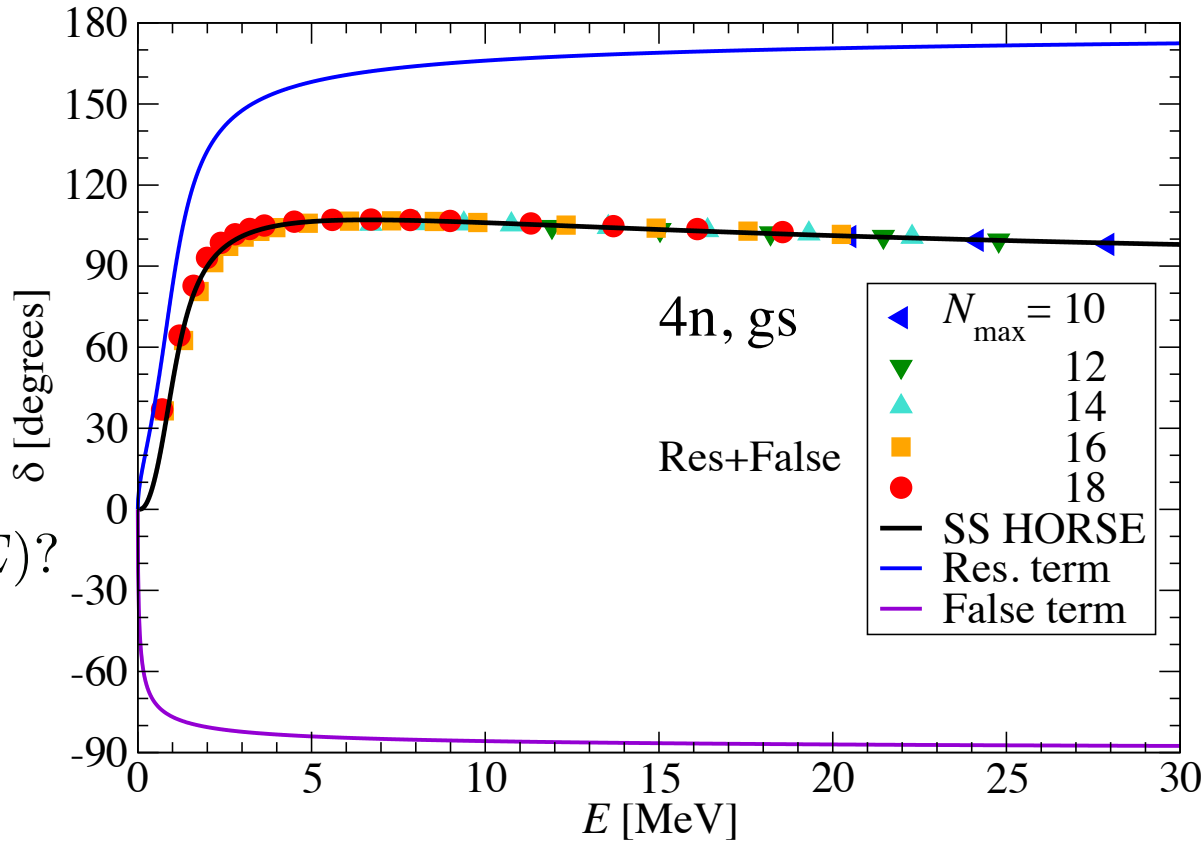
$$-\arctan \sqrt{\frac{E}{|E_f|}} - \phi_{3,6}(E)?$$

Yes!

Resonance parameters:

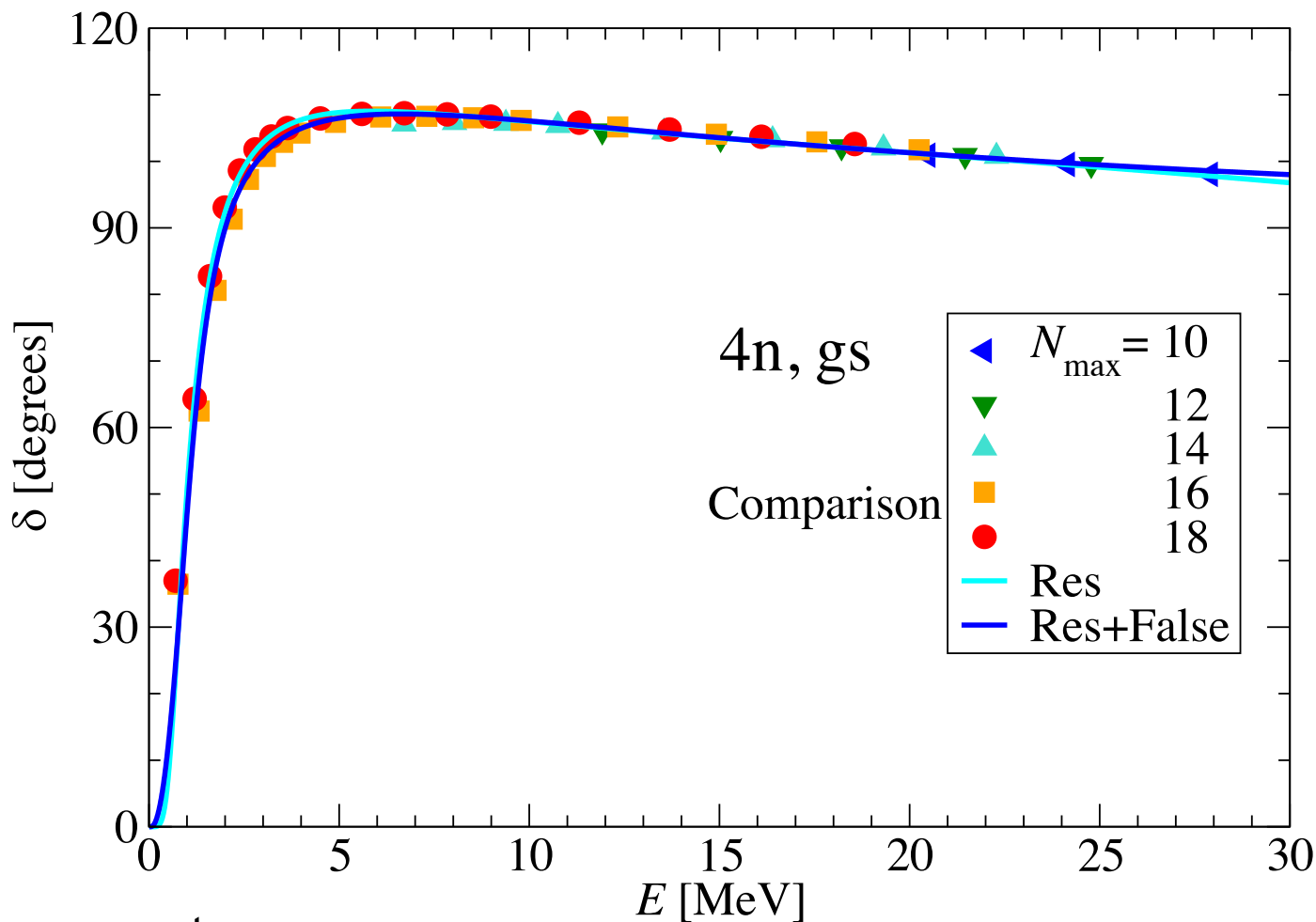
$E_r = 844$  keV,  $\Gamma = 1.378$  MeV,

$E_{false} = -55$  keV.





# Tetraneutron, JISP16



Options:

Resonance parameters:

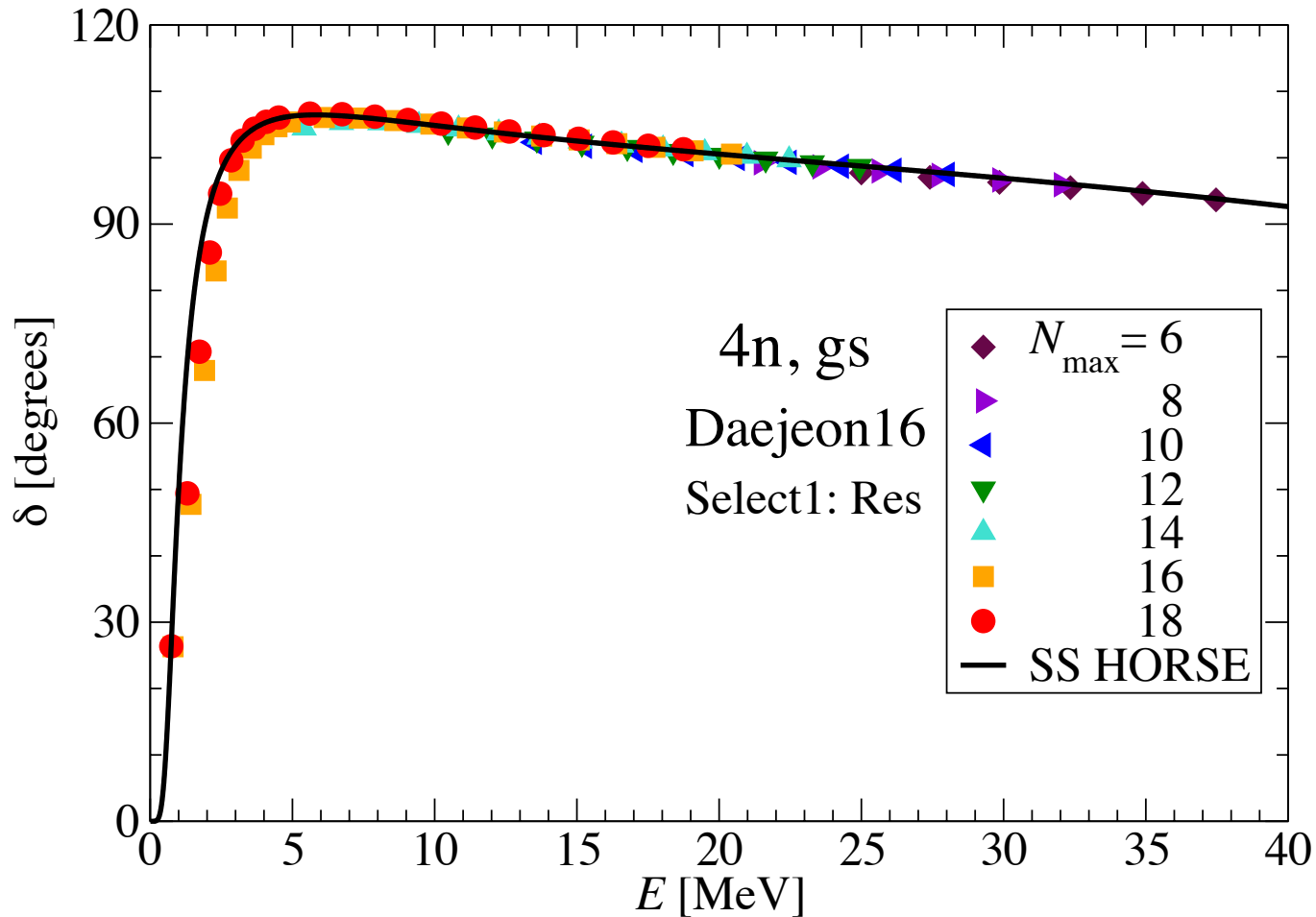
$E_r = 844$  keV,  $\Gamma = 1.378$  MeV,

$E_{false} = -55$  keV.

Or

$E_r = 186$  keV,  $\Gamma = 815$  keV ???

# Tetraneutron, Daejeon16



Resonance parameters:  
 $E_r = 0.997$  MeV,  $\Gamma = 1.60$  MeV,  
 $E_{false} = -63.4$  keV.

Similar results with  
SRG-evolved Idaho N3LO

# The 2018 development

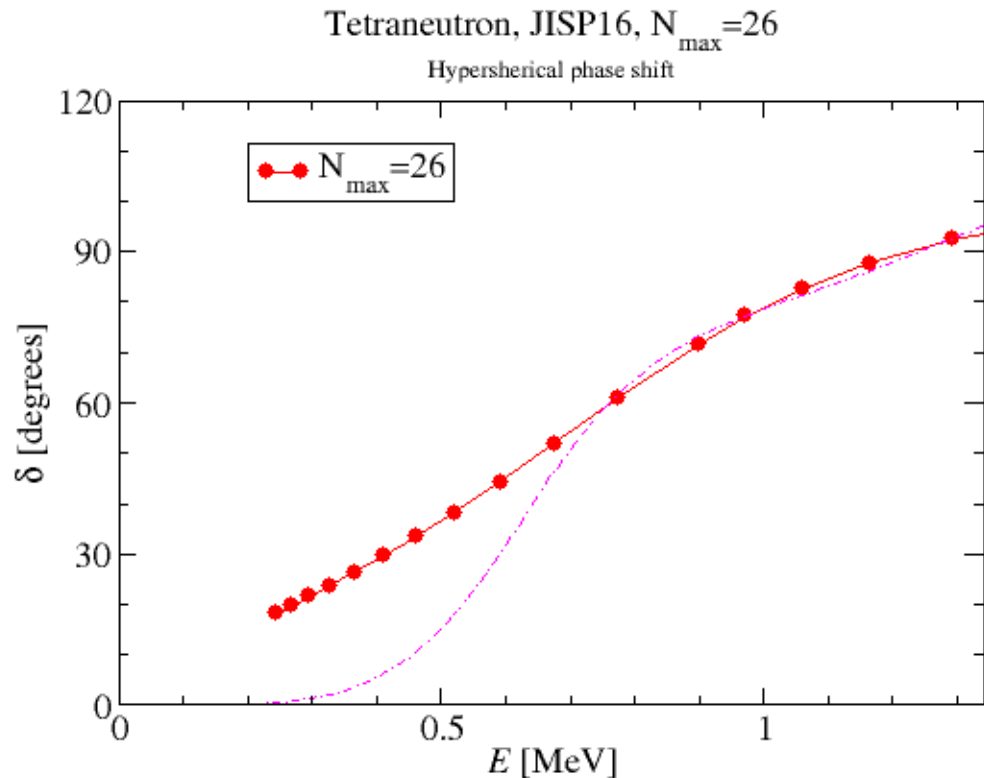
Larger model spaces (up to  $N_{\max} = 26$ ) and smaller  $\hbar\Omega$  values:  
We get phase shifts at smaller energies and find that it is impossible to fit  $\delta \sim k^{11}$  at low energies

Origin:

Hyperspherical potentials are long-ranged:  $V \sim \rho^{-3}$  for 3 bodies, for 4 bodies?

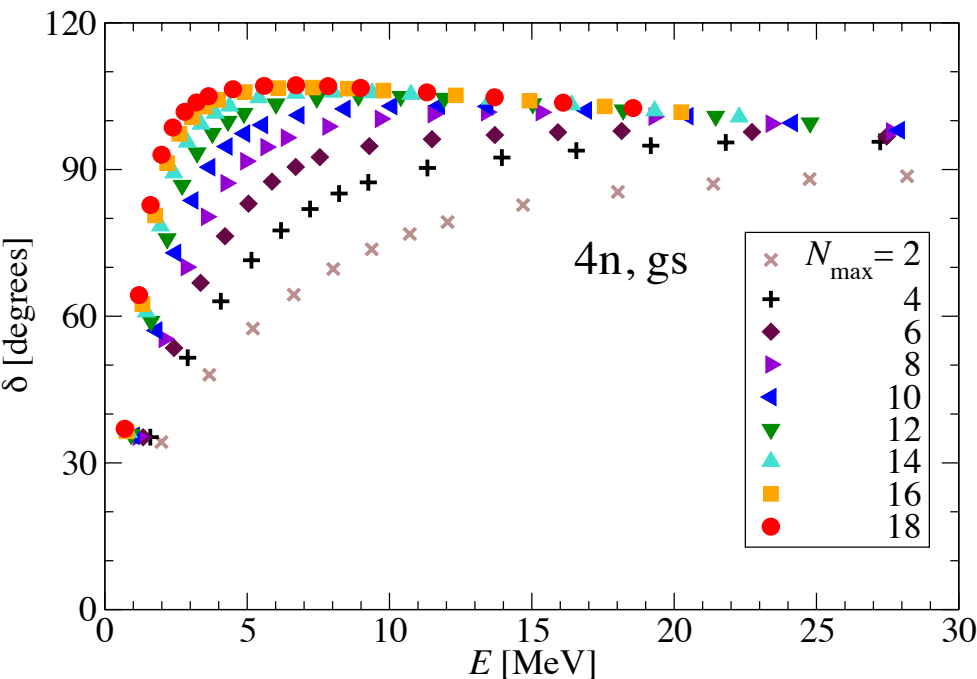
Such a slow decrease of the interaction spoils the phase shifts at low energies

The long-range  $V \sim \rho^{-3}$  (?) behavior of hyperspherical potentials spoils the phase shifts at low energies and results in convergence problems at large  $N_{\max}$



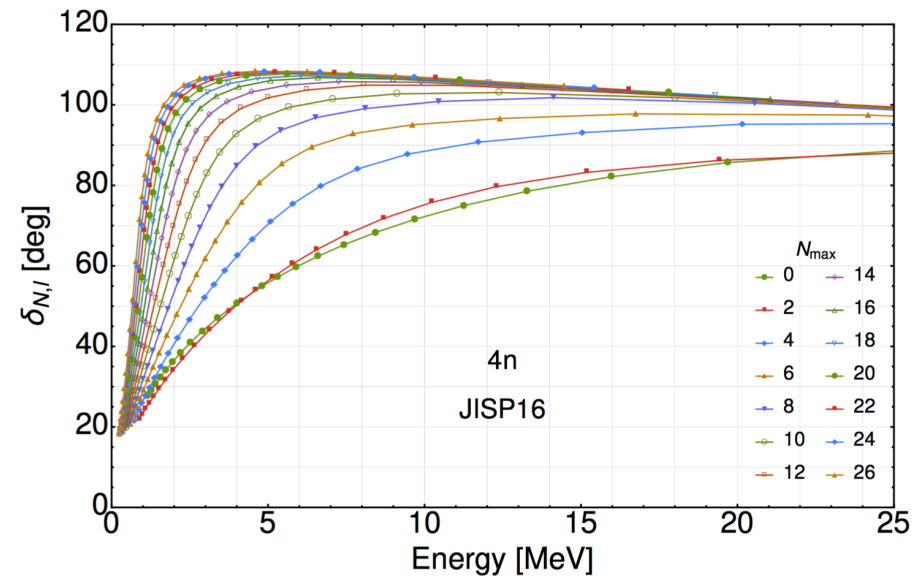
# The 2018 results with JISP16

Before 2018: convergence seems to be achieved at  $N_{\max} \leq 18$



At 2018: convergence seems to be **not** achieved when larger  $N_{\max}$  were calculated

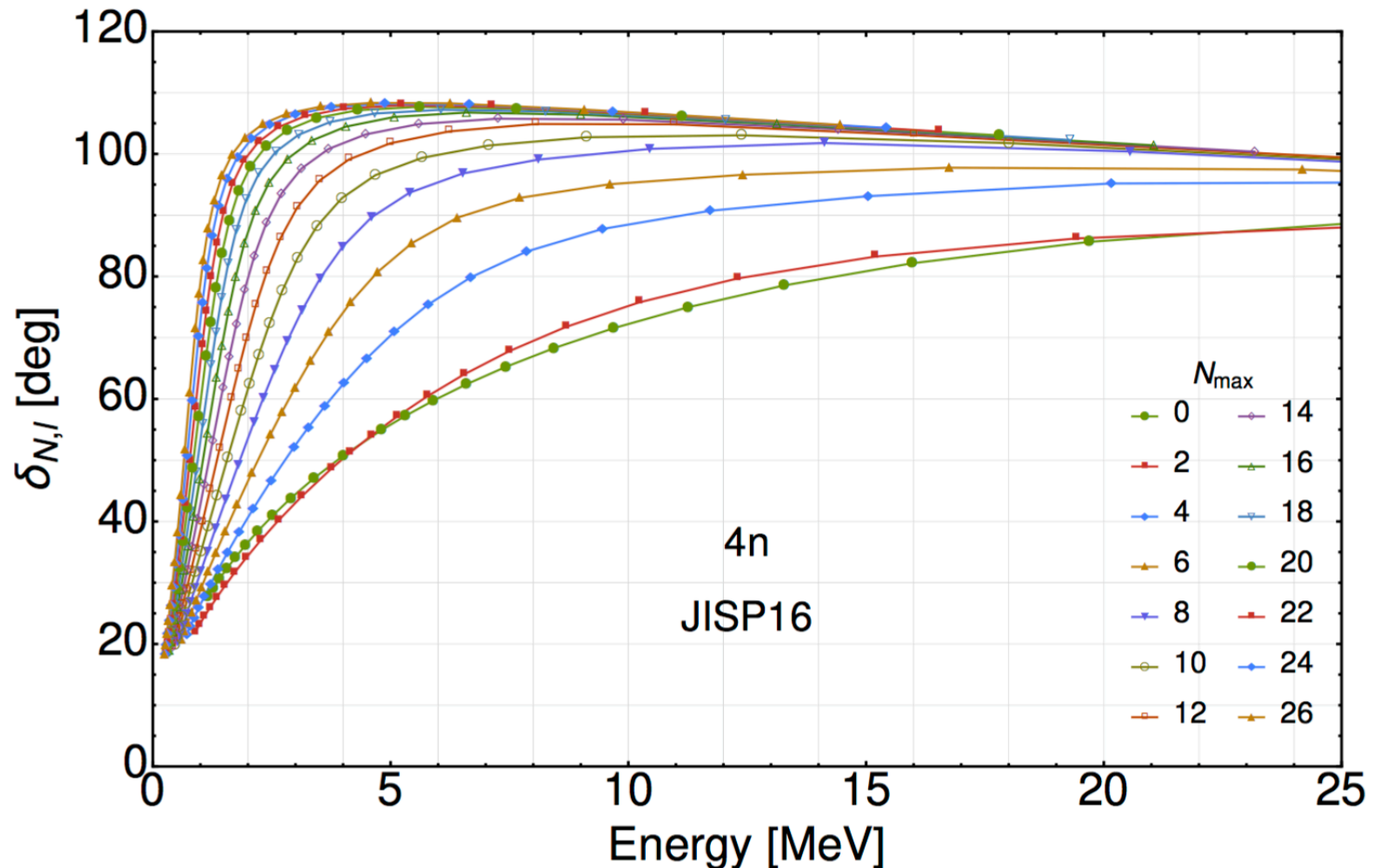
## Phase Shift - Convergence



# The 2018 results with JISP16

At 2018: however, the convergence seems to be achieved at the smallest energies

## Phase Shift - Convergence

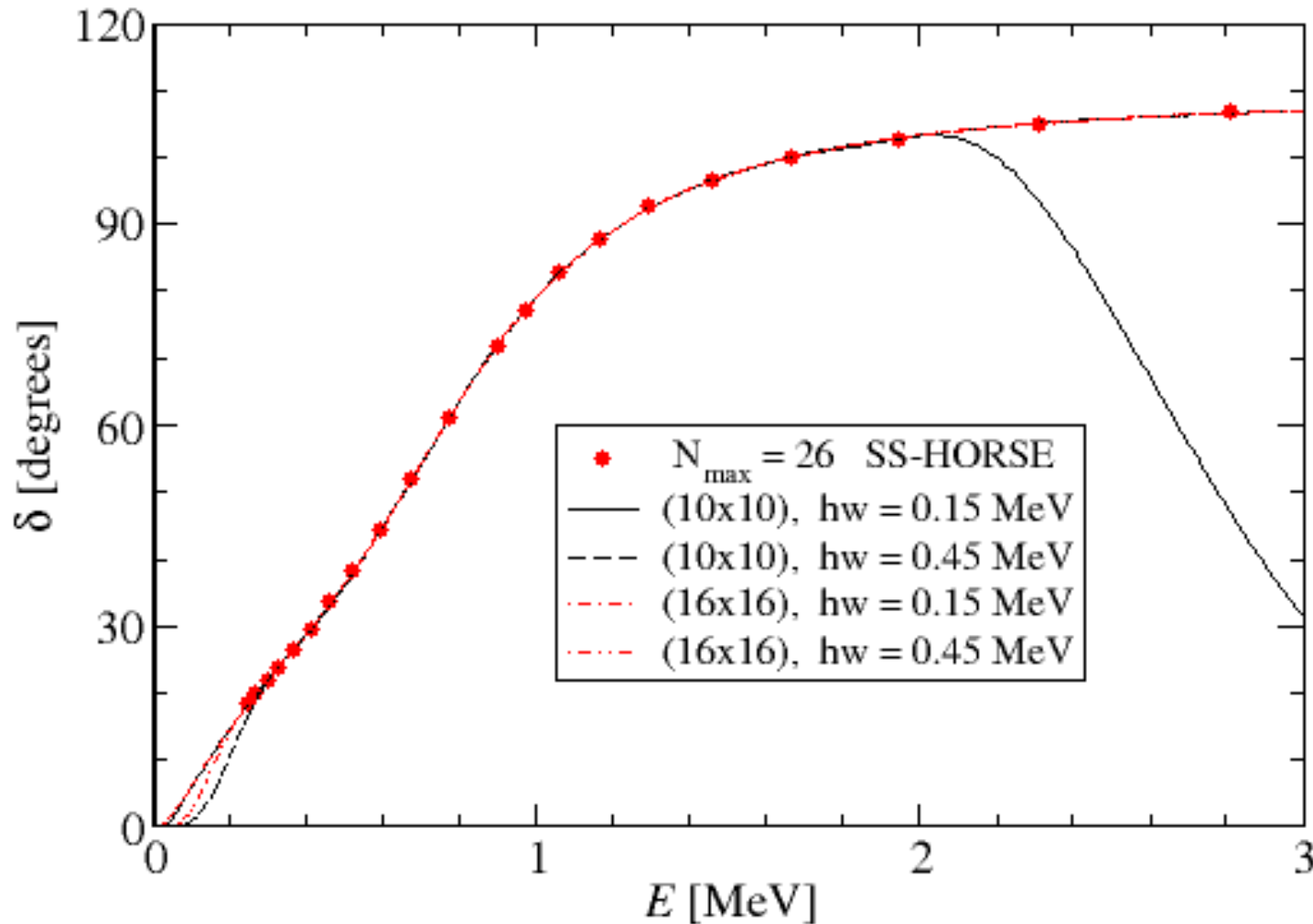


# The 2018 development

- To resolve this problem we use the  $J$ -matrix inverse scattering approach (S. A. Zaytsev, Theor. Math. Phys. **115**, 575 (1998); AMS *et al*, PRC **70**, 044005 (2004); PRC **79**, 014610 (2009)); i.e., we construct an interaction as a finite tridiagonal matrix in the oscillator basis describing our SS-HORSE hyperspherical phase shifts obtained with some  $N_{\max}$  value and search numerically for the  $S$ -matrix poles.
- Ideally we need to construct the infinite potential matrix to guarantee the description of the long-range  $\rho^{-3}$  interaction tail, but ...
- So, we construct a set of interaction matrices of increasing rank  $N$ , obtain the poles and extrapolate the resonant energies and widths supposing their exponential convergence with  $N$ .

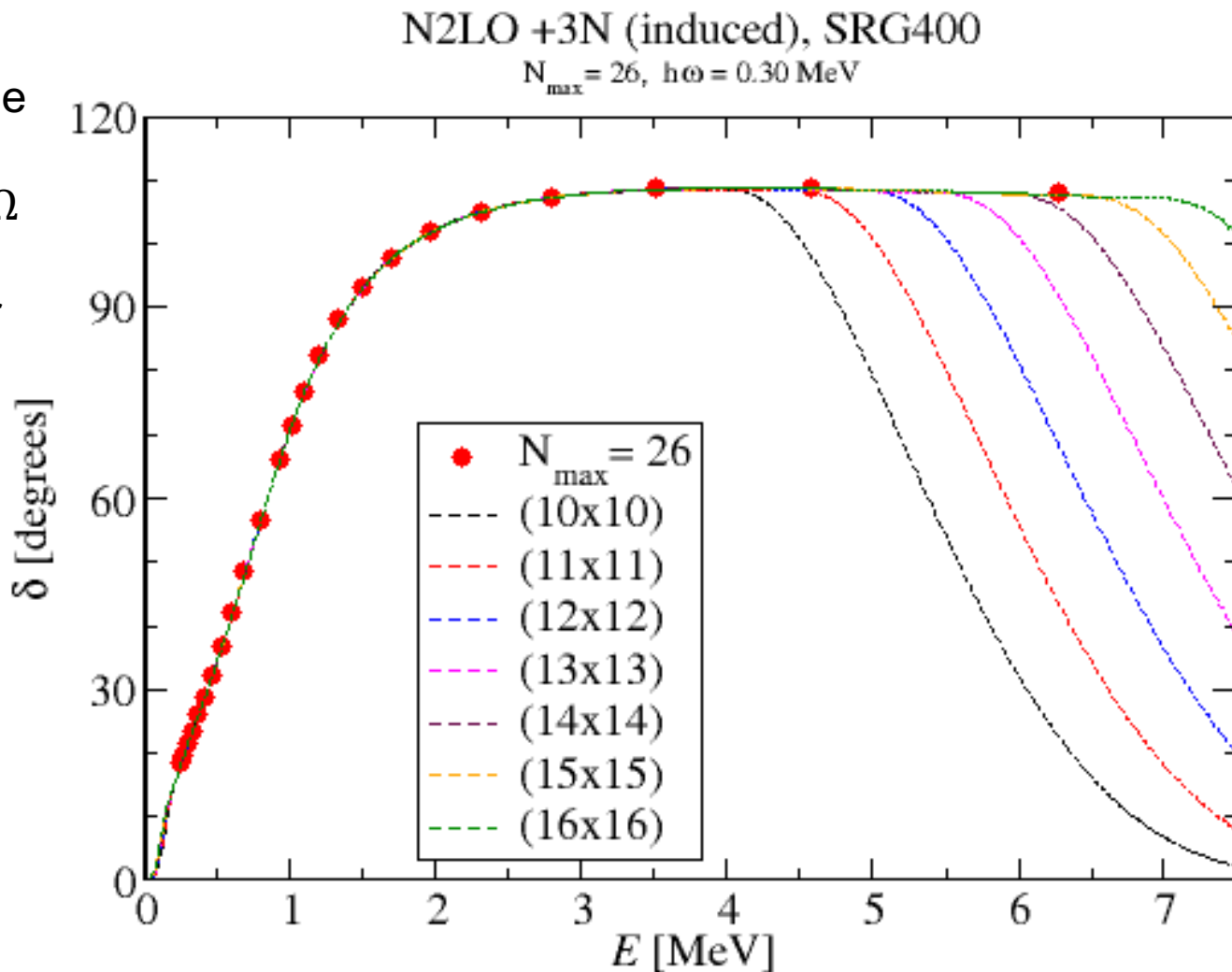
# The 2018 results: inverse scattering phase shifts

4n JISP16



# The 2018 results: inverse scattering phase shifts

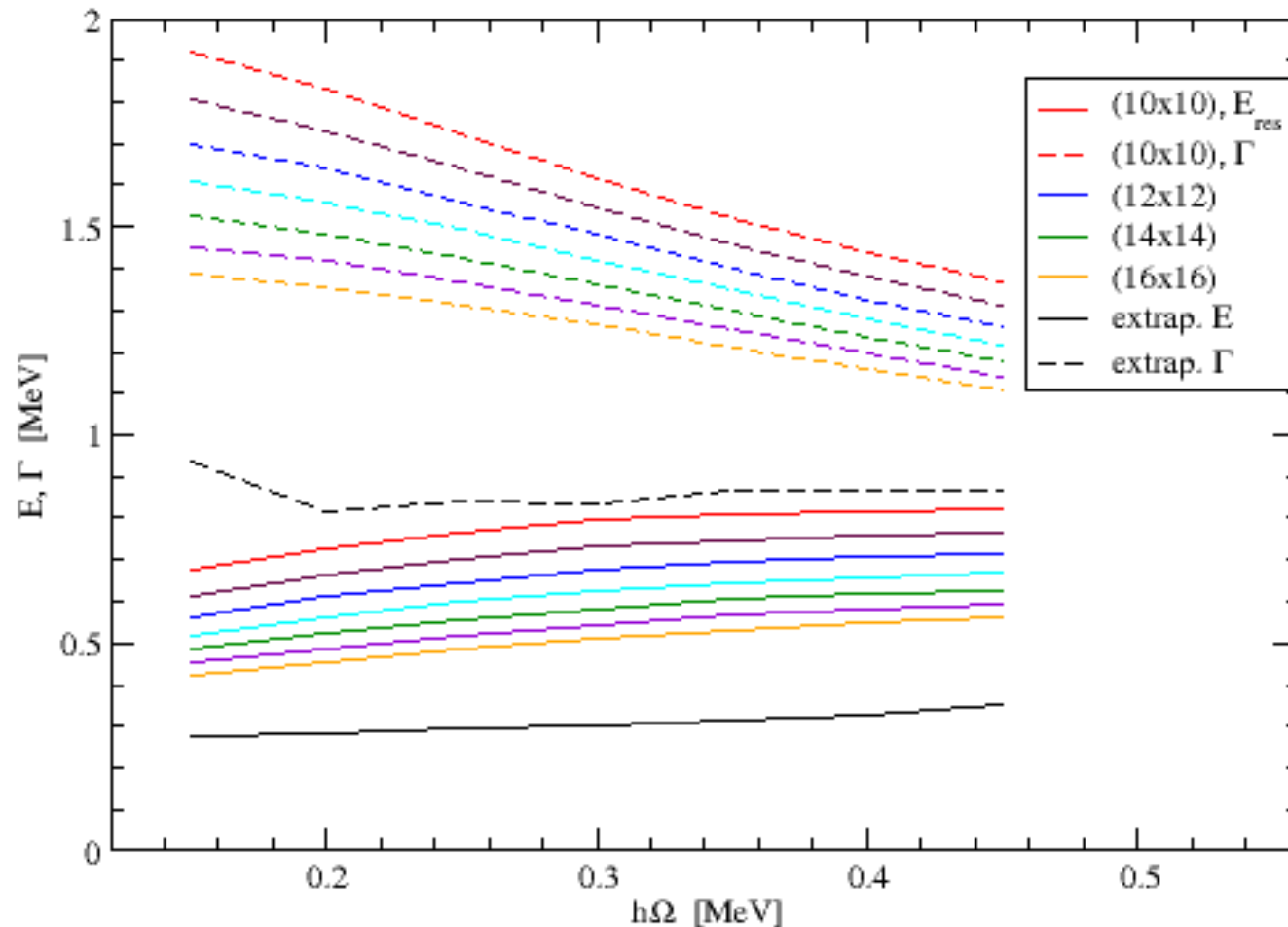
With larger matrix of the inverse scattering potential (and larger  $\hbar\Omega$  value) we describe phase shifts in a larger energy interval



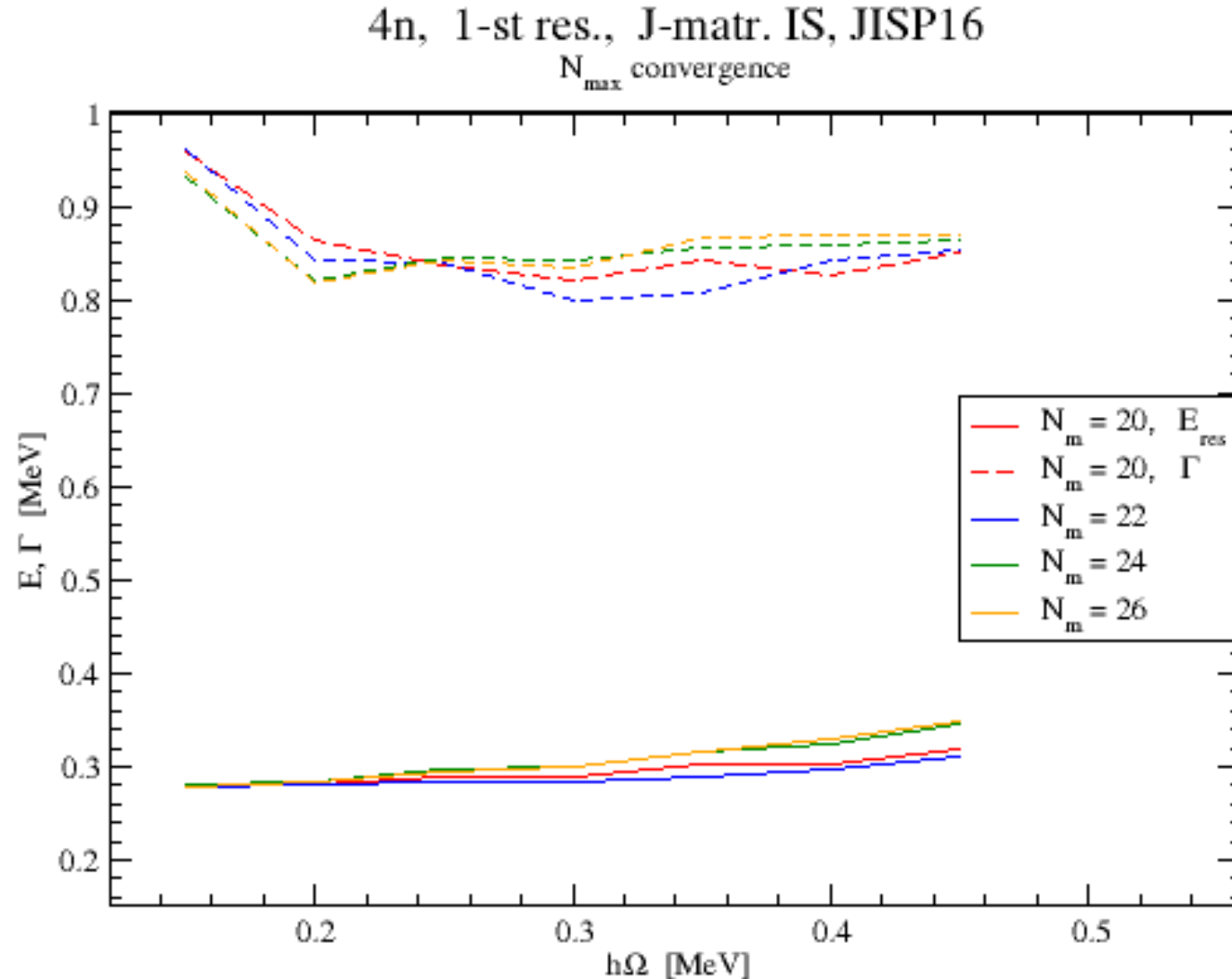


# The 2018 results: energy and width for $N_{\max} = 26$

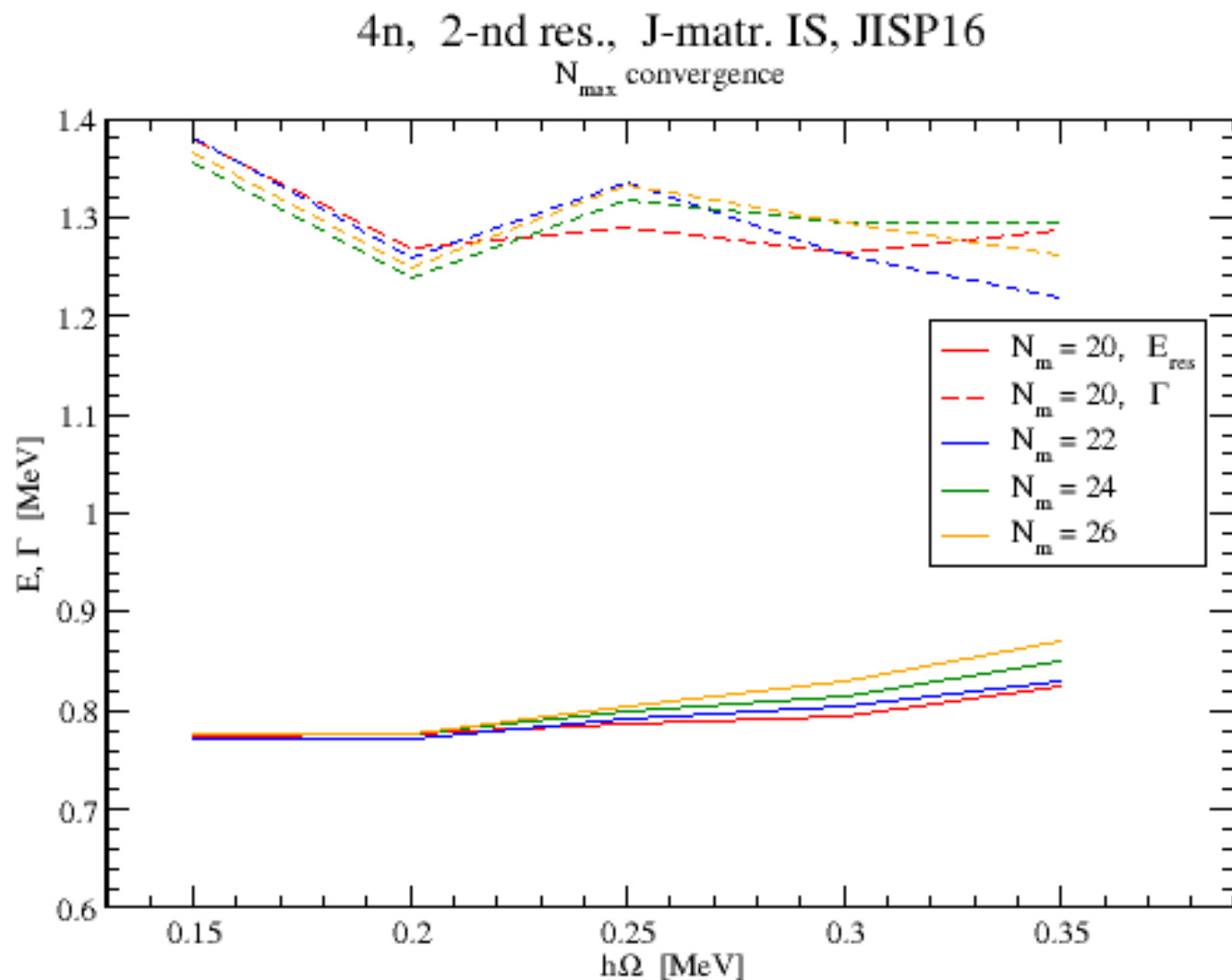
4n, 1-st res., J-matr. IS, JISP16  
 $N_{\max} = 26$



# The 2018 results: energy and width for various $N_{\max}$

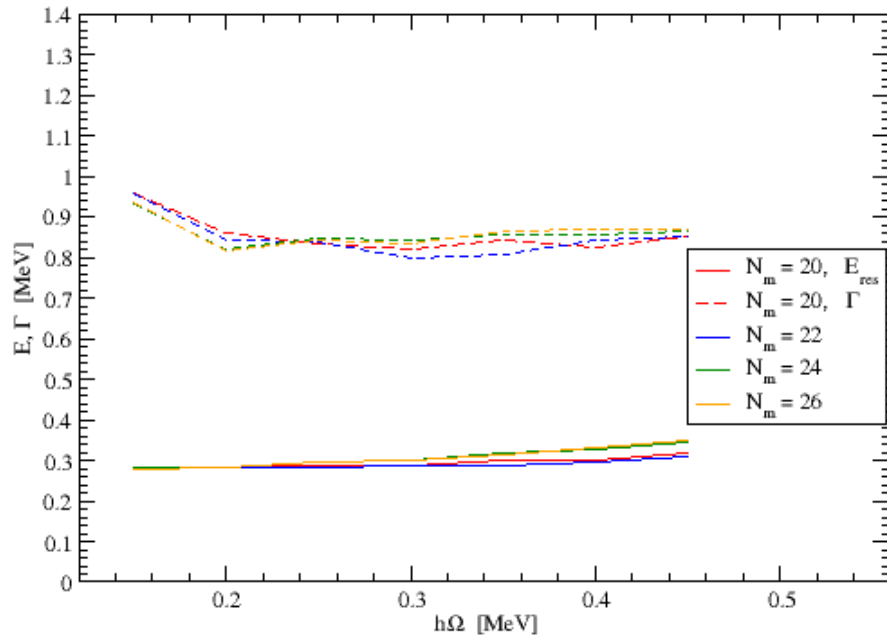


# The 2018 results: surprisingly, we have two resonances



# The 2018 JISP16 results: extrapolated resonance energies and widths

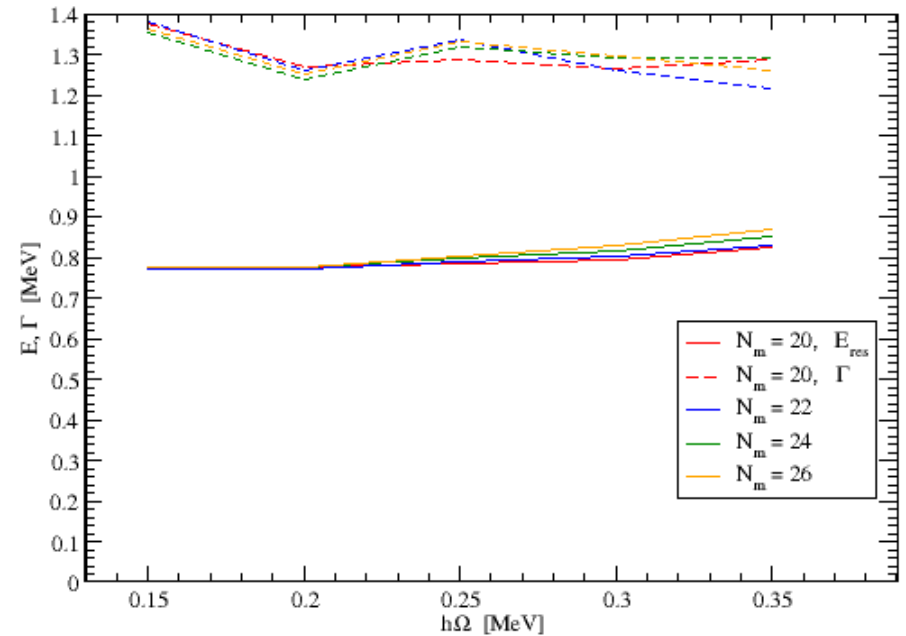
4n, 1-st res., J-matr. IS, JISP16  
 $N_{\max}$  convergence



$E \approx 0.29$  MeV,  $\Gamma \approx 0.85$  MeV

$E_r = 186$  keV,  $\Gamma = 815$  keV

4n, 2-nd res., J-matr. IS, JISP16  
 $N_{\max}$  convergence



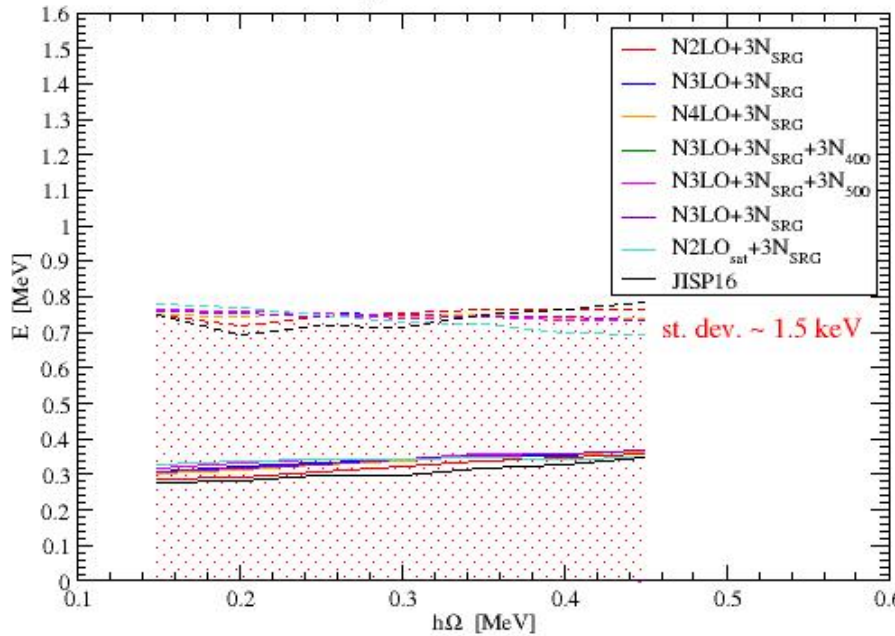
$E \approx 0.8$  MeV,  $\Gamma \approx 1.3$  MeV

Before we had:

$E_r = 844$  keV,  $\Gamma = 1.378$  MeV,  
 $E_{false} = -55$  keV

# The 2018: extrapolated resonance energies and widths with various interactions

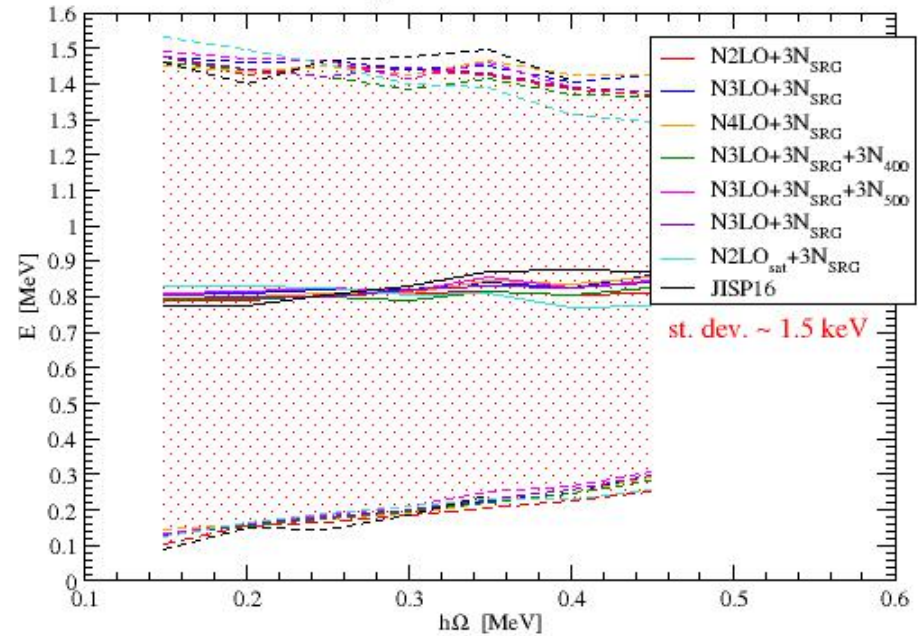
4n, 1<sup>st</sup> res., J-matr. Inv.  
 $N_{\max} = 26$ , various interactions



$E \approx 0.3 \text{ MeV}, \Gamma \approx 0.85 \text{ MeV}$

$E_r = 186 \text{ keV}, \Gamma = 815 \text{ keV}$

4n, 2<sup>nd</sup> res., J-matr. Inv.  
 $N_{\max} = 26$ , various interactions



$E \approx 0.8 \text{ MeV}, \Gamma \approx 1.3 \text{ MeV}$

Before we had:

$E_r = 844 \text{ keV}, \Gamma = 1.378 \text{ MeV},$   
 $E_{false} = -55 \text{ keV}$

# Summary

- HORSE can successfully used within RGM, cluster models, etc.
- Within the NCSM, the SS-HORSE version seems to be more practical.
- For three- or four-body democratic decays one needs additional efforts like inverse-scattering parametrization to allow for long-range interaction.

# Workshop questions

- We were discussing what do the people doing reactions need from the people doing the nuclear structure. However structure guys also have some requests for reaction experts.
- Using nuclear structure + scattering theory (but not *reaction theory*) methods we obtained some  $S$ -matrix resonant poles for tetraneutron. How do they manifest themselves in the cross section of the reaction  ${}^4\text{He}({}^8\text{He}, {}^8\text{Be}){}^4\text{n}$ ? What is the mechanism of this reaction? Can it be that the increase of the  ${}^4\text{He}({}^8\text{He}, {}^8\text{Be}){}^4\text{n}$  reaction cross section is associated not with the  $S$ -matrix poles but with the reaction mechanism, e.g., can it be a threshold effect? How to link our  $S$ -matrix poles, states in the continuum, etc., to the reaction cross sections?

# Thank you!