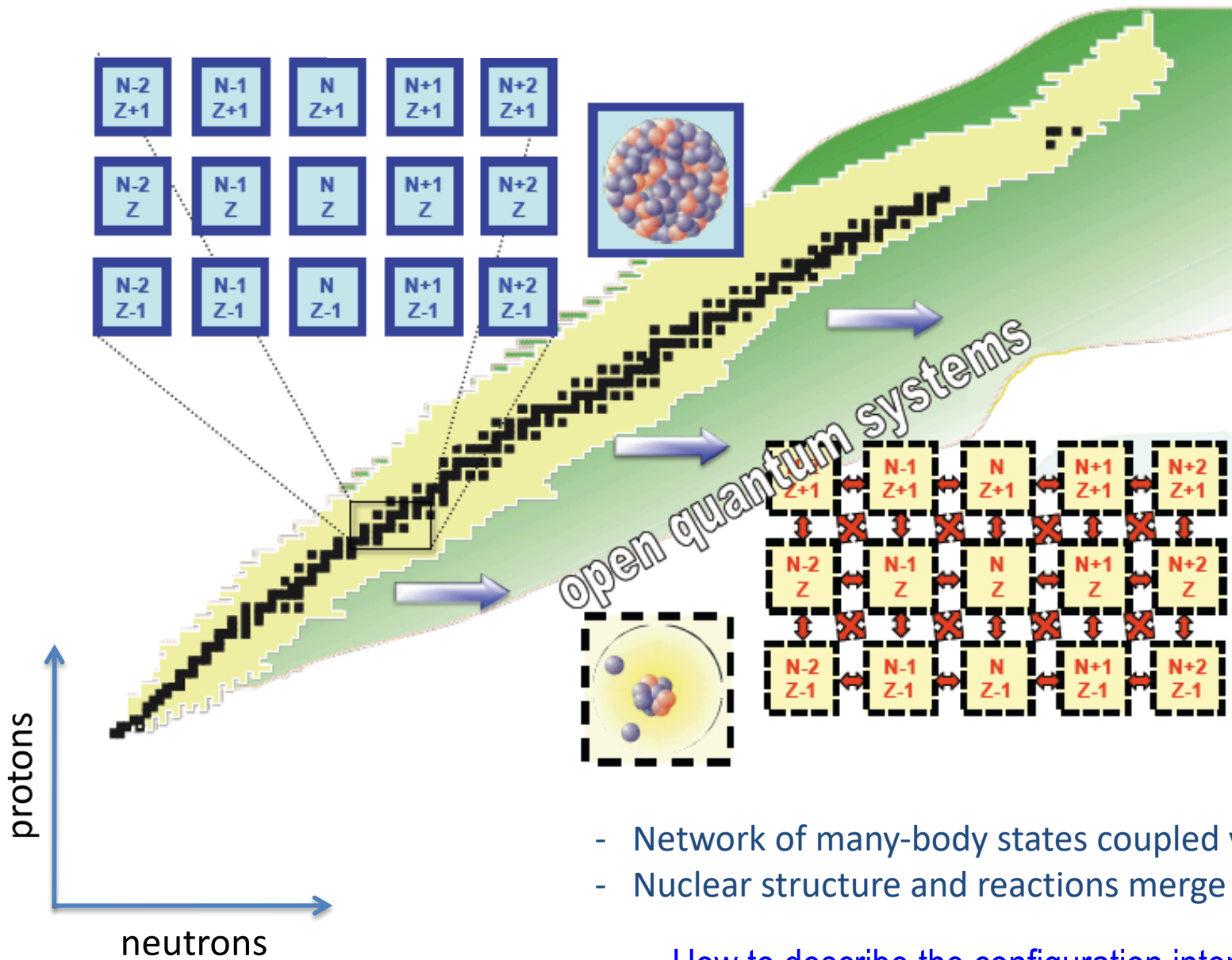


# Continuum shell model: the unified approach to nuclear structure and reactions

Marek Płoszajczak (GANIL)

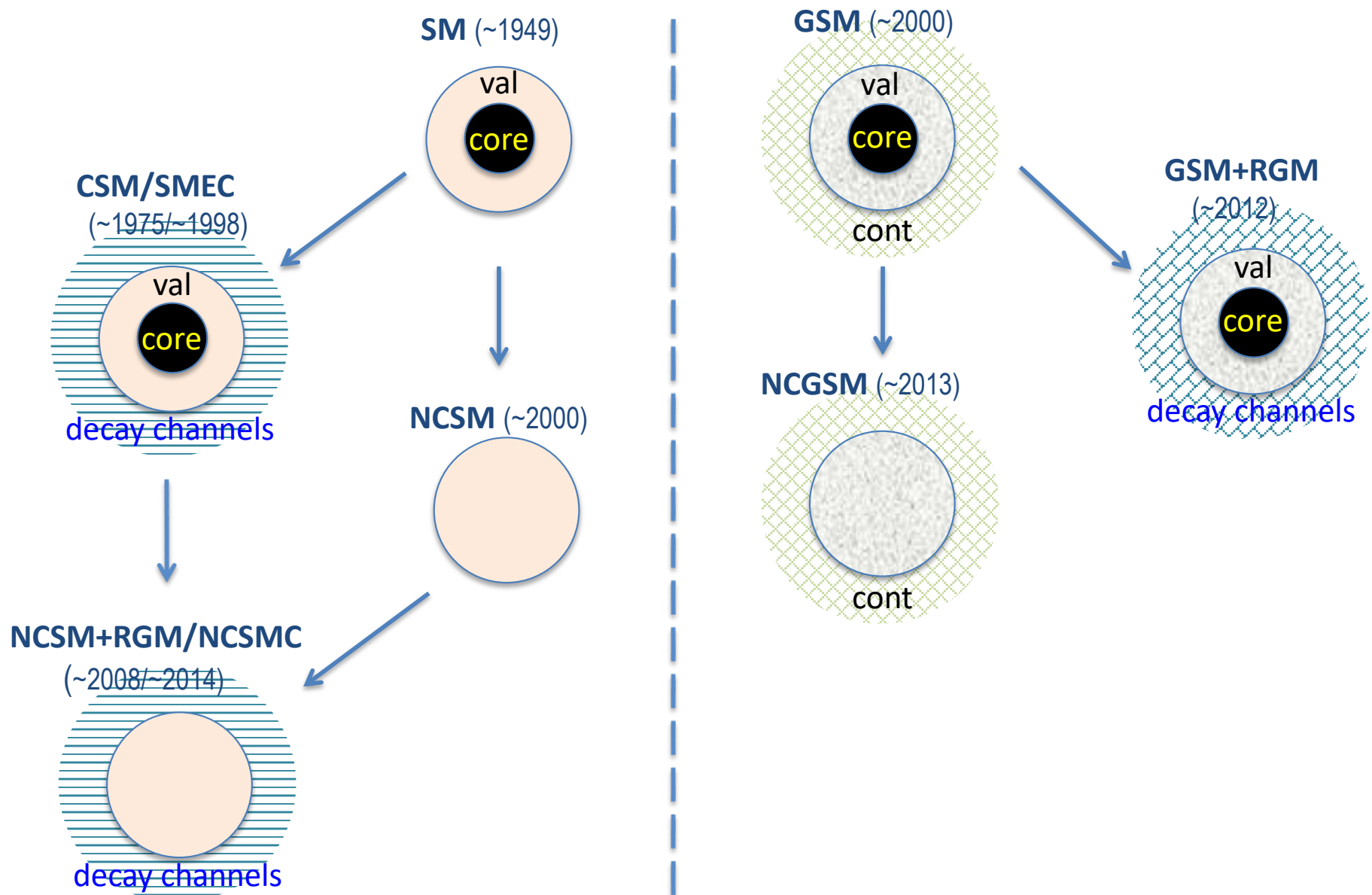
1. Nuclear theory: Evolution of paradigms
2. Gamow Shell Model
  - $L^2$  basis for s.p. resonances
3. Shell Model Embedded in the Continuum
4. Coupled channel formulation of the Gamow Shell Model
5. Complex-symmetric eigenvalue problem in Continuum Shell Model
6. Configuration mixing in weakly bound/unbound states
7. Continuum coupling correlation energy
  - 'Fortuitous' near-threshold states
  - Near-threshold collectivization of electromagnetic transitions
8. Unified description of structure and reactions in the Gamow Shell Model
  - $p+^{18}\text{Ne}$  excitation function at different angles
  - $p+^{14}\text{O}$  excitation function and spectroscopy of  $^{15}\text{F}$
  - Mirror radiative capture cross sections
  - Role of the non-resonant reaction channels
  - $^{40}\text{Ca}(d,p)$  transfer reaction
9. Outlook

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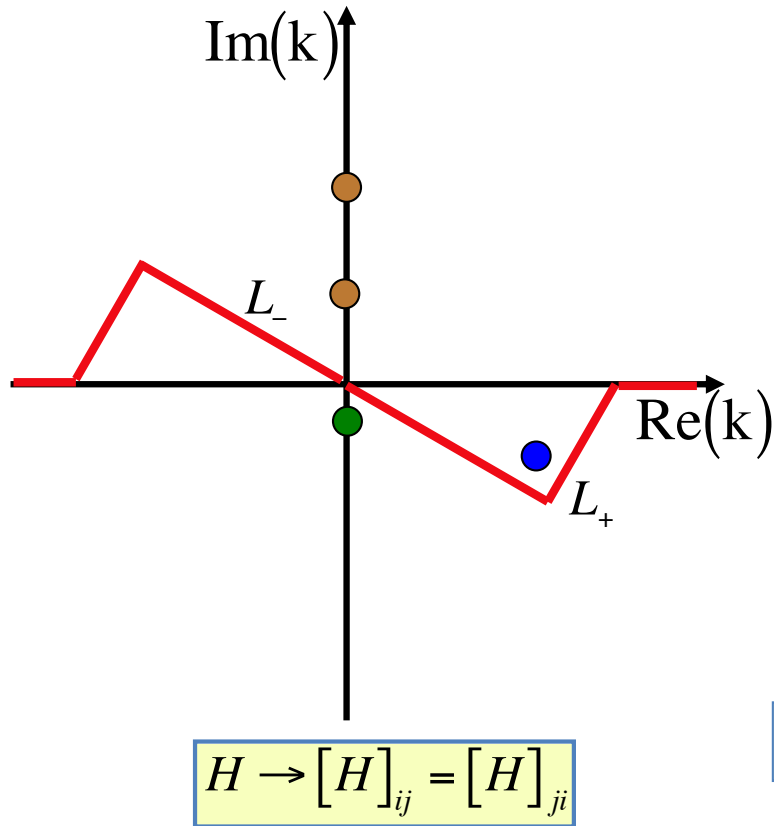


How to describe the configuration interaction in open quantum systems?

# Evolution of paradigms



# Gamow Shell Model



Complex-symmetric eigenvalue problem for hermitian Hamiltonian

$$\sum_n |u_n\rangle\langle\tilde{u}_n| + \int_{L_+} |u_k\rangle\langle\tilde{u}_k| dk = 1; \langle u_i | \tilde{u}_j \rangle = \delta_{ij}$$

bound states  
resonances

non-resonant  
continuum

$$|SD_i\rangle = |u_{i_1} \dots u_{i_A}\rangle \rightarrow \sum_k |SD_k\rangle\langle\tilde{SD}_k| \cong 1$$

Gamow Shell Model

N. Michel et al, PRL 89 (2002) 042502  
R. Id Betan et al, PRL 89 (2002) 042501  
N. Michel et al, PRC 70 (2004) 064311

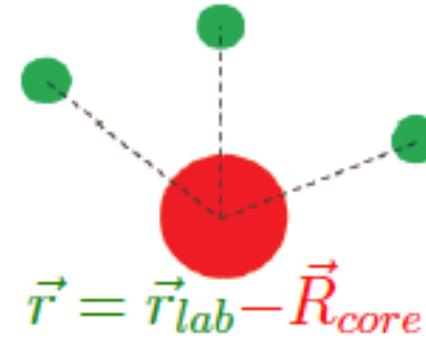
**No identification of reaction channels**  
 → GSM in this representation is a tool *par excellence* for nuclear structure studies

- Center of mass treatment: Cluster Orbital Shell Model relative coordinates

Y. Suzuki, K. Ikeda, PRC 38 (1998) 410

$$H = \sum_{i=1}^{A_v} \left( \frac{\mathbf{p}_i^2}{2\mu} + U_i \right) + \sum_{i<j}^{A_v} \left( V_{ij} + \frac{\mathbf{p}_i \mathbf{p}_j}{A_c} \right)$$

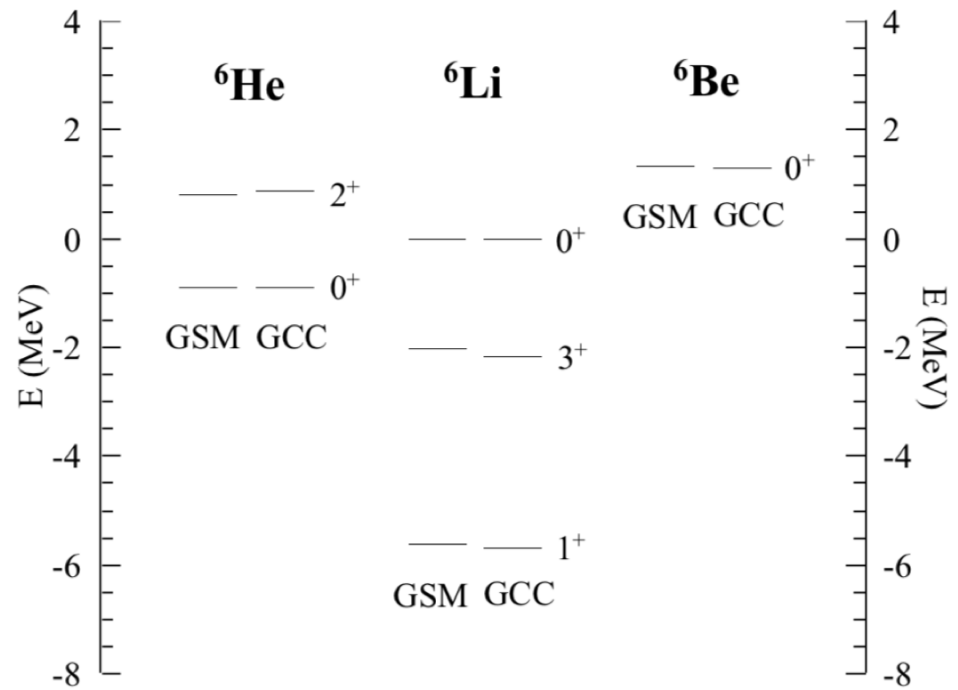
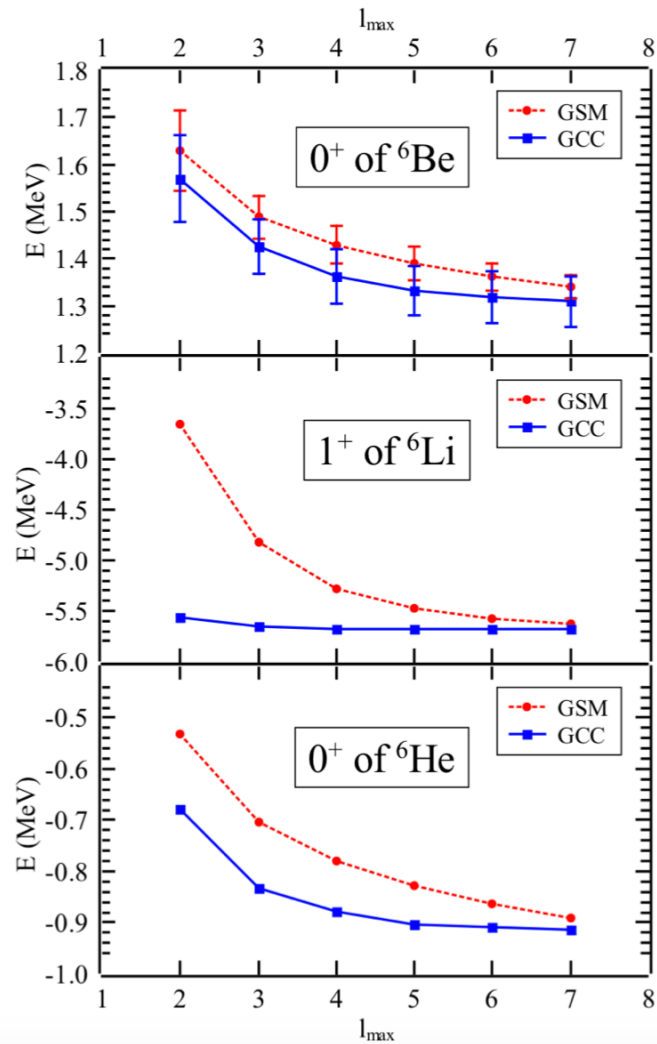
“Recoil” term coming from the expression of H in the COSM coordinates. No spurious states



- Center of mass treatment: Cluster Orbital Shell Model relative coordinates

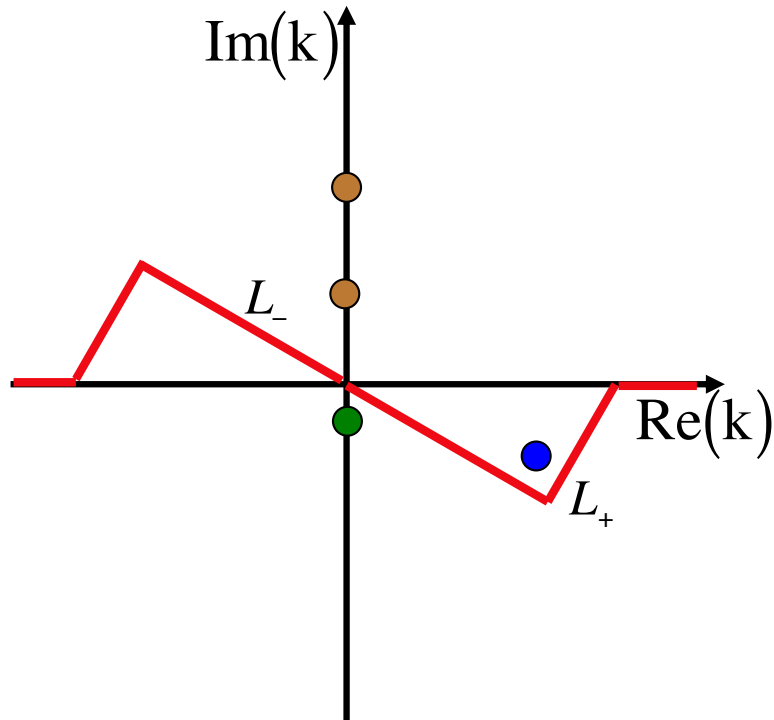
Y. Suzuki, K. Ikeda, PRC 38 (1998) 410

Jacobi vs COSM coordinates



S.M. Wang et al, PRC 96, 044307 (2017)

# Coupled channel formulation of the Gamow shell model



$$|\Psi\rangle = \sum_c \int_0^\infty dr \frac{u_c(r)}{r} r^2 \hat{A} |CS\rangle_c$$



GSM channel state

Channel basis:  $\{c\} = \{A_T, J_T; a_P, \ell_P, J_{\text{int}}, J_P\}$

$$\hat{A} |CS\rangle_c \equiv |(c, r)\rangle = \hat{A} \left[ |\Psi_T^{J_T}\rangle \otimes |r, \ell_P, J_{\text{int}}, J_P\rangle \right]_{M_A}^{J_A}$$

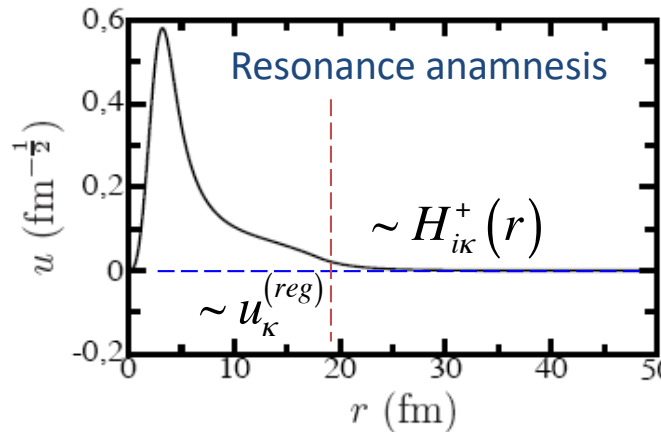
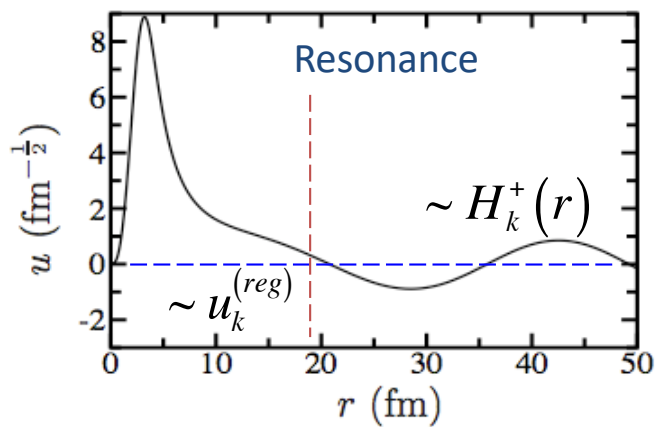
Y. Jaganathen et al, PRC 88, 044318 (2014)

K. Fosse et al., PRC 91, 034609 (2015)

- Entrance and exit reaction channels defined
  - Unification of nuclear structure and reactions
- Scattering wave functions  $|\Psi_{\text{GSM}}(A-p) \otimes \Phi_{\text{proj}}(p)\rangle$  are the many-body states
- Antisymmetry handled exactly
- Core arbitrary



# $L^2$ basis for s.p. resonances



$$k \rightarrow \kappa = \sqrt{\mathcal{R}((k)^2)}$$

$$\mathcal{W}(u_k^{(reg)}, H_{i\kappa}^+)(r)|_{r=R} = 0$$

Bound states and resonance anamneses form together a discrete subset  $\{|\tilde{u}_n\rangle\}$  of the complete set of basis states in Hilbert space

$$\hat{h} \rightarrow \hat{\tilde{h}} = \sum_n |\tilde{u}_n\rangle \tilde{e}_n \langle \tilde{u}_n| + \hat{p} \hat{h} \hat{p}$$

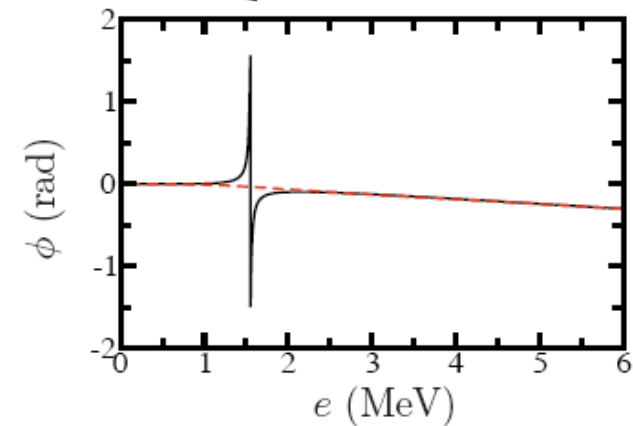
$$\tilde{e}_n = \begin{cases} e_n \\ e_n^{(res)} = \hbar^2 \kappa^2 / 2\mu \end{cases} \quad \hat{p} = 1 - \sum_n |\tilde{u}_n\rangle \langle \tilde{u}_n|$$

Discrete states

Scattering states

$$\tilde{e}_n : \left( \tilde{e}_n - \hat{\tilde{h}} \right) |\tilde{u}_n\rangle = 0$$

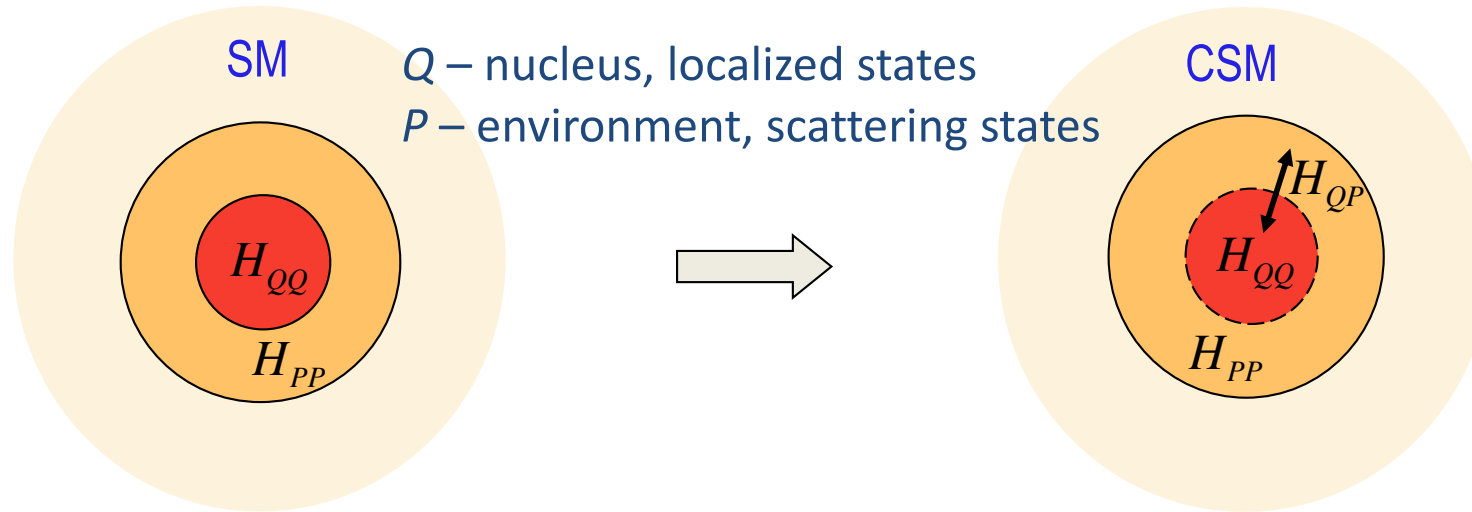
$$\{\tilde{e}\} : \left( \tilde{e} - \hat{p} \hat{h} \hat{p} \right) |\tilde{u}\rangle = 0$$



$$\sum_n |\tilde{u}_n\rangle \langle \tilde{u}_n| + \int_{R^+} |\tilde{u}_k\rangle \langle \tilde{u}_k| = 1 ; \langle \tilde{u}_i | \tilde{u}_j \rangle = \delta_{ij}$$

$$|SD_i\rangle = |\tilde{u}_{i_1} \dots \tilde{u}_{i_A}\rangle ; \sum_k |SD_k\rangle \langle SD_k| \cong 1$$

# Shell Model Embedded in the Continuum (SMEC)



$$H_{QQ} \rightarrow \mathcal{H}_{QQ}^{eff}(E) = H'_{QQ}(E) - \frac{i}{2} V(E) V^T(E)$$

closed quantum system      open quantum system

$$= \underbrace{H_{QQ}^{(SM)} + u_{QQ}(E)}_{\text{hermitian}} - \underbrace{\frac{i}{2} w_{QQ}(E)}_{\text{anti-hermitian}}$$

C. Mahaux, H.A. Weidenmüller, Shell Model Approach to Nuclear Reactions (1969)  
 H.W.Bartz et al, Nucl. Phys. A275 (1977) 111  
 R.J. Philpott, Nucl. Phys. A289 (1977) 109  
 K. Bennaceur et al, Nucl. Phys. A651 (1999) 289  
 J. Rotureau et al, Nucl. Phys. A767 (2006) 13

$$H\Psi = E\Psi$$

$$H_{QQ}\Phi_i = E_i\Phi_i \quad (E - H_{PP})\omega_i^+ = H_{PQ}\Phi_i \quad (E - H_{PP})\xi = 0$$

$$\omega_i^+ = G_P^+ H_{PQ} \Phi_i$$

Discrete states :  $\langle \Phi_i | H_{QQ} + \underbrace{H_{QP} G_P^+(E) H_{PQ}}_{\omega_j^+} | \Phi_j \rangle = E_{ij} \delta_{ij} + \underbrace{\langle \omega_i | \omega_j \rangle}_{\langle \Phi_i | H_{QP}}$   $\delta_{E_i E} \delta_{E_j E}$

$$|\Psi_k\rangle = \sum_i c_k^i |\Phi_i\rangle, \quad E_k(E) = E$$

Scattering solutions :  $|\Psi\rangle = |\xi\rangle + \underbrace{(Q + G_P^+(E) H_{PQ})}_{\text{non-resonant part}} \frac{1}{E - \mathcal{H}_{QQ}^{\text{eff}}(E)} \underbrace{H_{QP}}_{\text{resonant part}} |\xi\rangle$

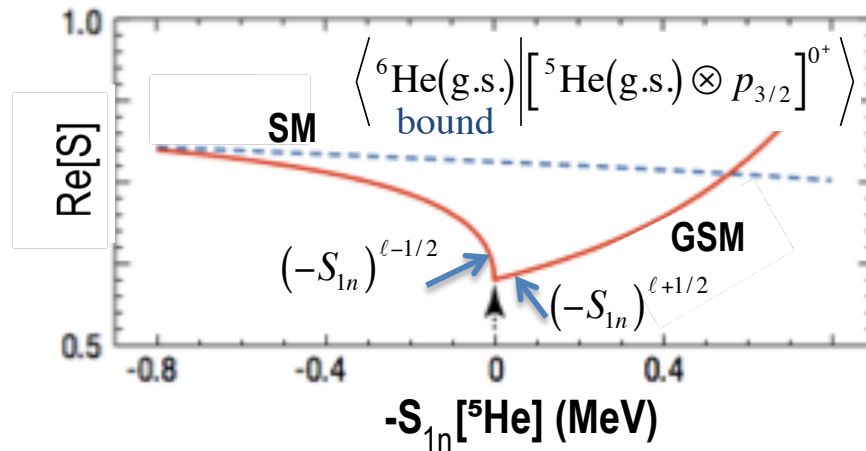
- Shell model and reaction theory reconciled
- Coupling of 'internal' (in Q) and 'external' (in P) states induces effective A-particle correlations

# Complex-symmetric eigenvalue problem in Continuum Shell Model (GSM/SMEC)

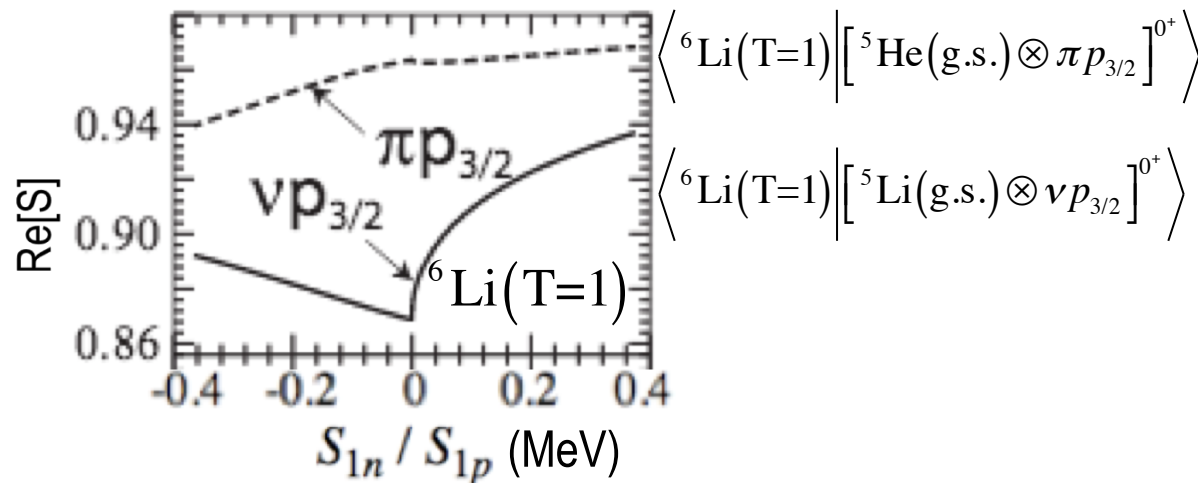
**Coupling to the environment of scattering states and decay channels does not reduce to the adjustment of (hermitian) Hamiltonian and leads to new (collective) phenomena**

- resonance trapping and super-radiance phenomenon
- modification of spectral fluctuations
- multichannel coupling effects in reaction cross-sections and shell occupancies
- anti-odd-even staggering of separation energies in odd-Z isotopic chains
- clustering
- exceptional points
- violation of orthogonal invariance and channel equivalence
- matter (charge) distribution (pairing anti-halo effect)
- ....

## Configuration mixing in weakly bound/unbound states



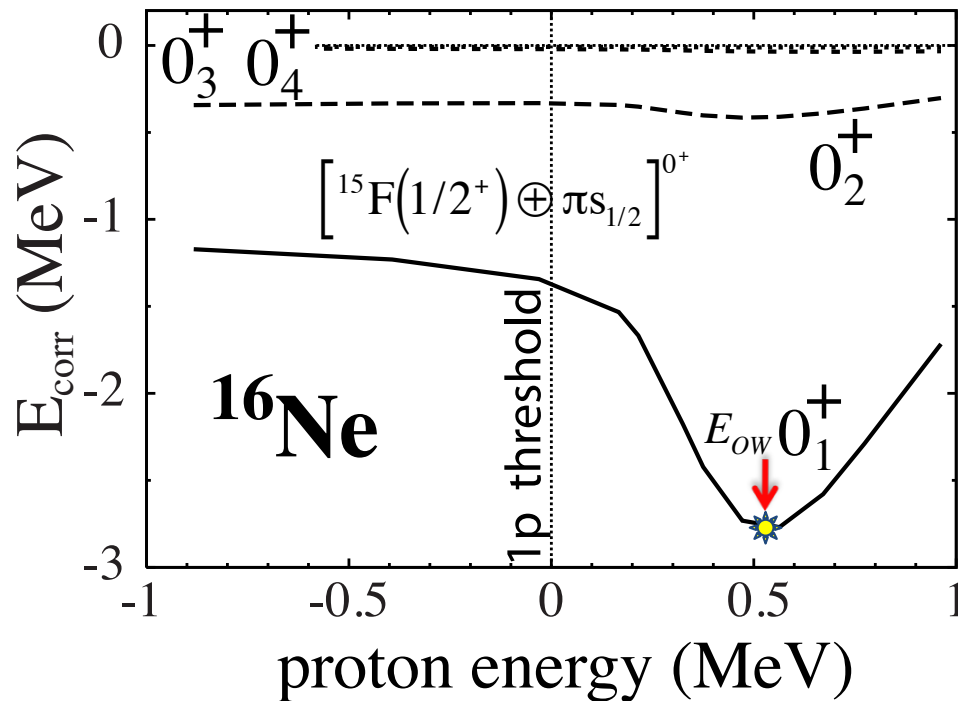
- Analogy with the Wigner threshold phenomenon for reaction cross-sections
- The interference phenomenon between resonant states and non-resonant continuum in the vicinity of the particle emission threshold



Near-threshold configuration mixing acts differently at the proton and neutron drip lines

## Continuum coupling correlation energy

$$E_{corr;i}(E) = \text{Re} \left\{ \left\langle \Psi_i^A \left| \mathcal{H}_{QQ}^{\text{eff}}(E) - H_{QQ} \right| \Psi_i^A \right\rangle \right\}$$



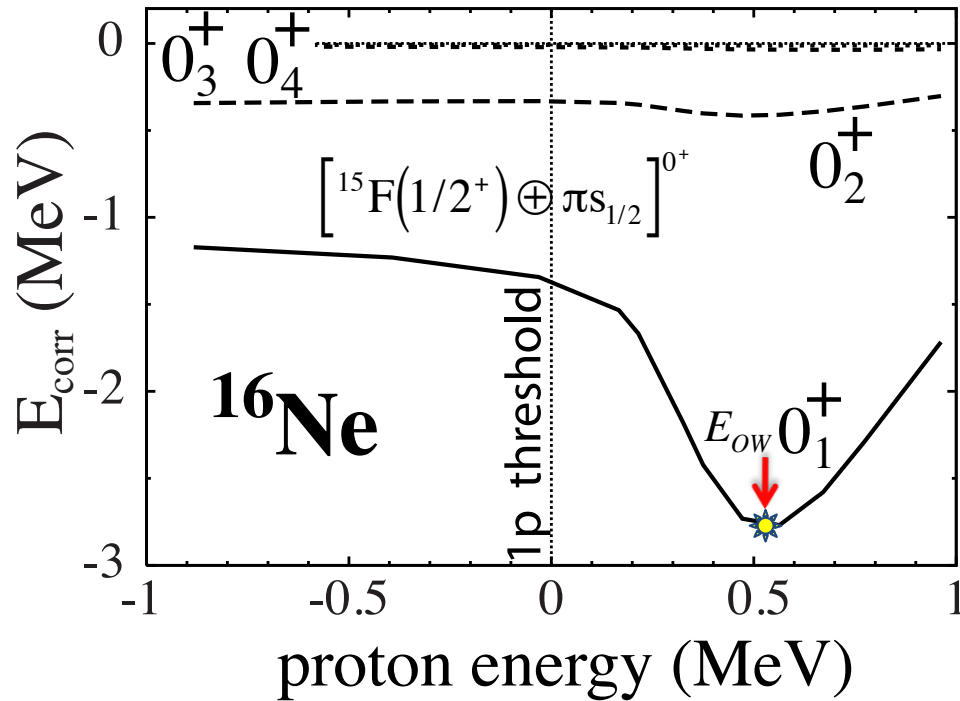
Okolowicz et al., Prog. Theor. Phys. Suppl. 196 (2012) 230  
Fortschr. Phys. 61 (2013) 66

- Interaction through the continuum leads to the formation of the **collective eigenstate** ('aligned state') which couples strongly to the decay channel and carries many of its characteristics
- Aligned state is a superposition of SM eigenstates having the same quantum numbers
- **Point of the strongest collectivity** (centroid of the 'opportunity energy window') is determined by an interplay between the competing forces of **repulsion** (Coulomb and centrifugal int.) and **attraction** (continuum coupling)

→ Emergence of new energy scale related to the **external configuration mixing** via decay channel(s)

# Continuum coupling correlation energy

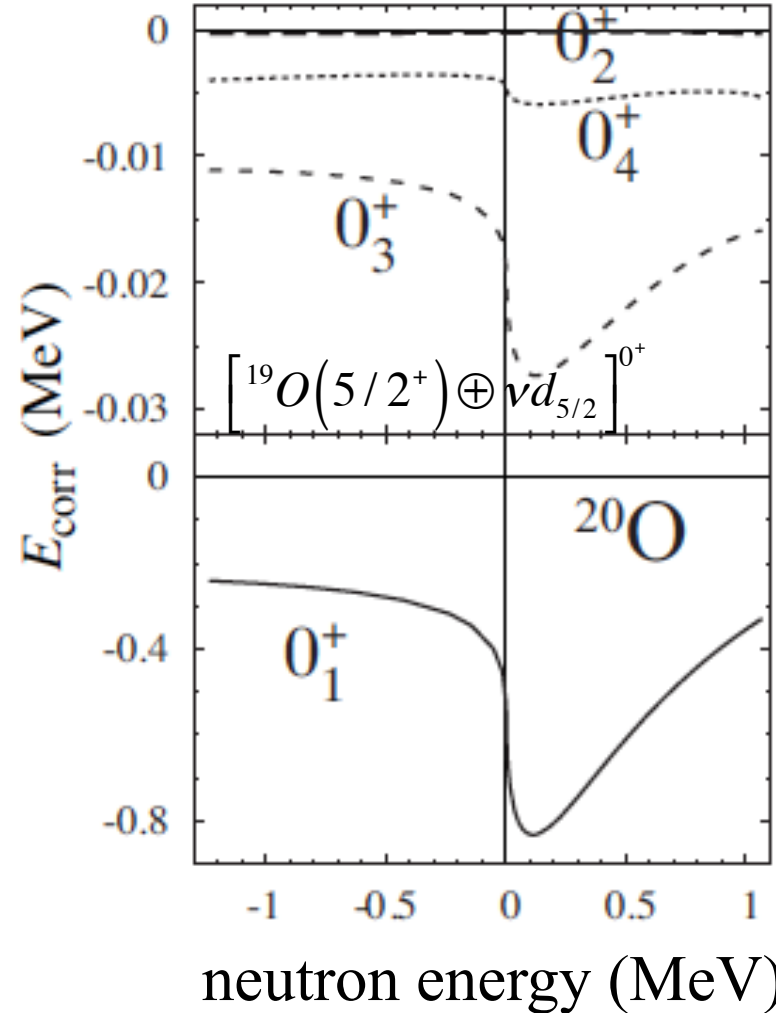
$$E_{corr;i}(E) = \text{Re} \left\{ \langle \Psi_i^A | \mathcal{H}_{QQ}^{eff}(E) - H_{QQ} | \Psi_i^A \rangle \right\}$$



J. Okolowicz et al., Prog. Theor. Phys. Suppl. 196 (2012) 230

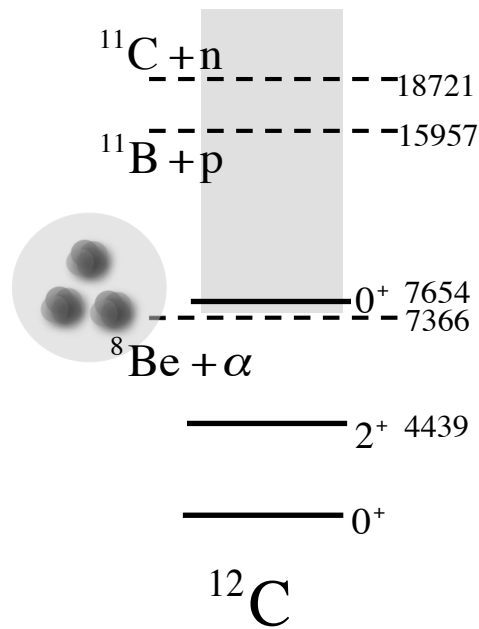
Fortschr. Phys. 61 (2013) 66

J. Okolowicz et al., APP B45, 331 (2014)

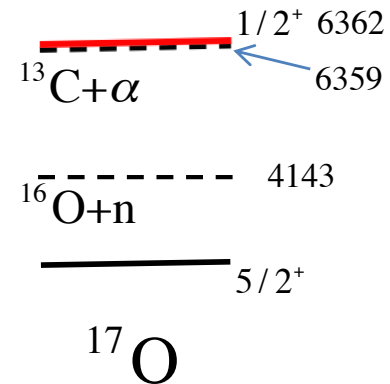


→ In contrast to charged particle case, the strong (multi)neutron correlations exist also in heavy nuclei

This generic phenomenon in open quantum systems explains why so many states, both on and off the nucleosynthesis path, exist 'fortuitously' close to open channels



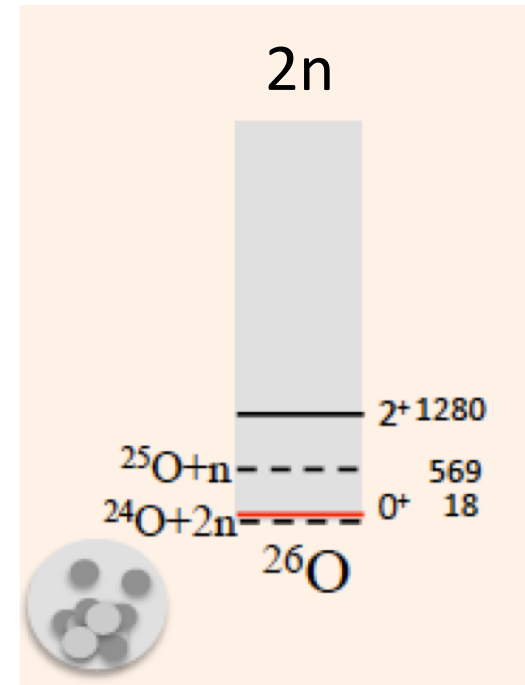
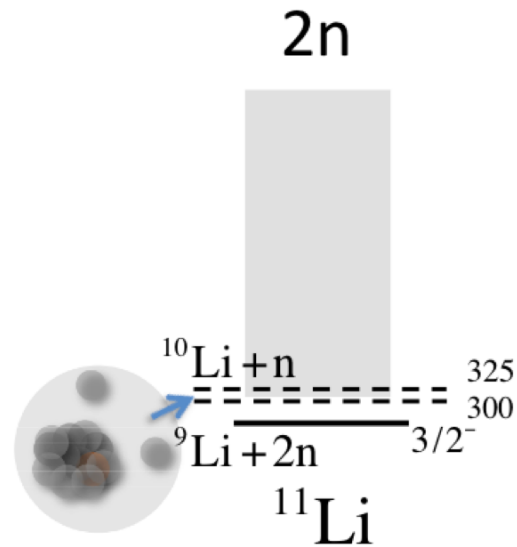
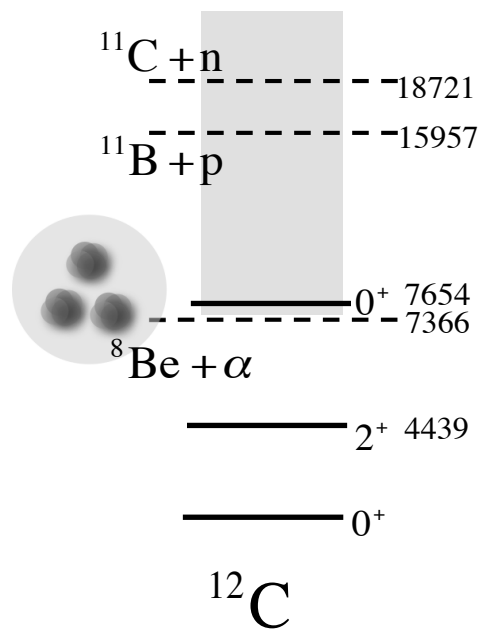
$\Gamma_\gamma$  branch of  $0^+_2$  decay to particle-bound state(s) of  $^{12}\text{C}$  forms a seed for the synthesis of heavier elements

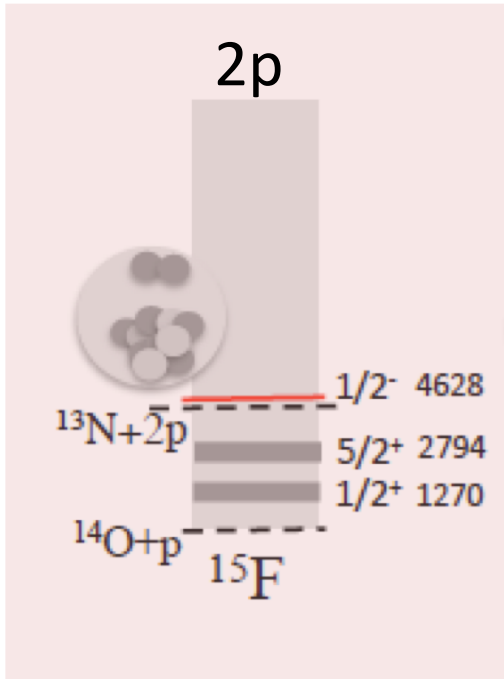


$1/2^+$  resonance lying <3 keV above  $^{13}\text{C} + \alpha$  threshold enables slow neutron-capture process

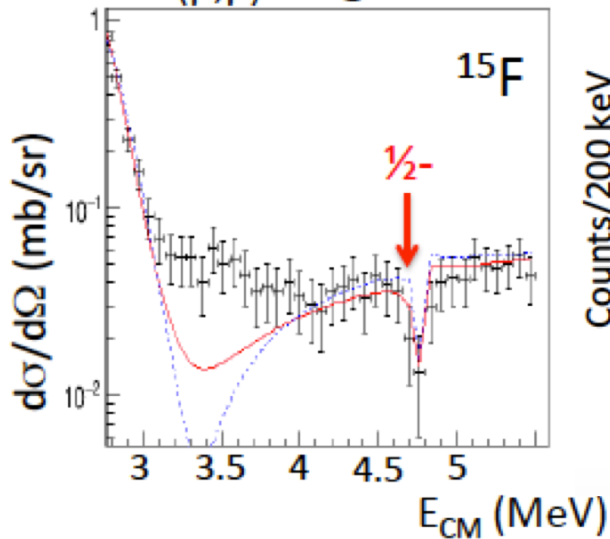


This generic phenomenon in open quantum systems explains why so many states, both on and off the nucleosynthesis path, exist 'fortuitously' close to open channels

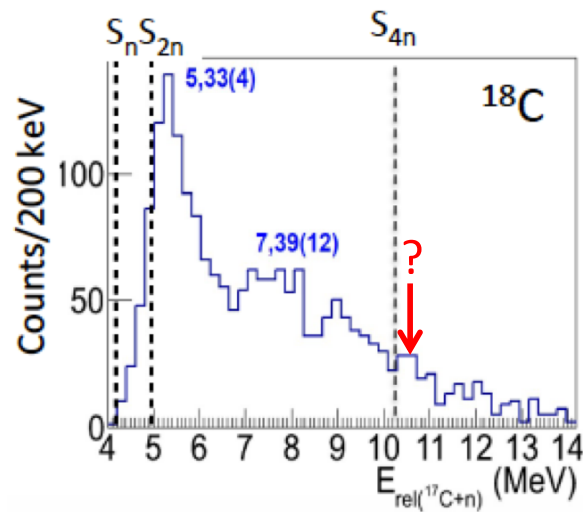
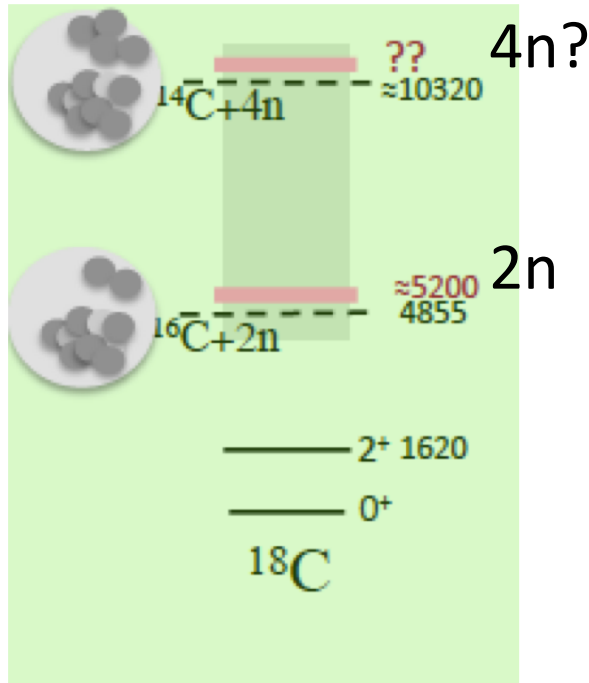




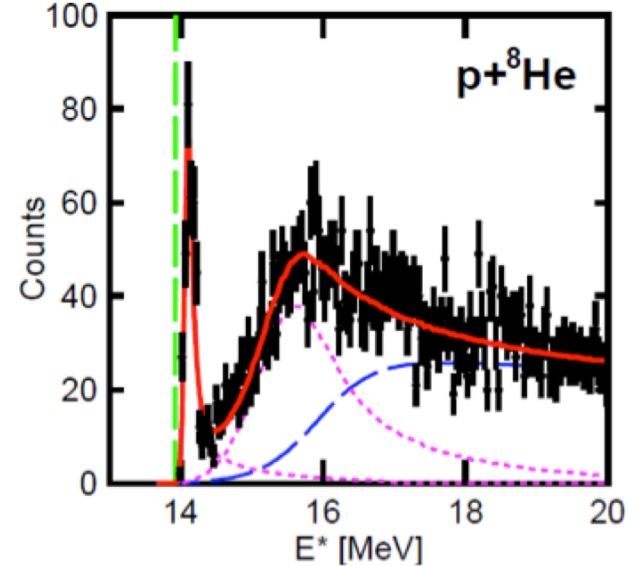
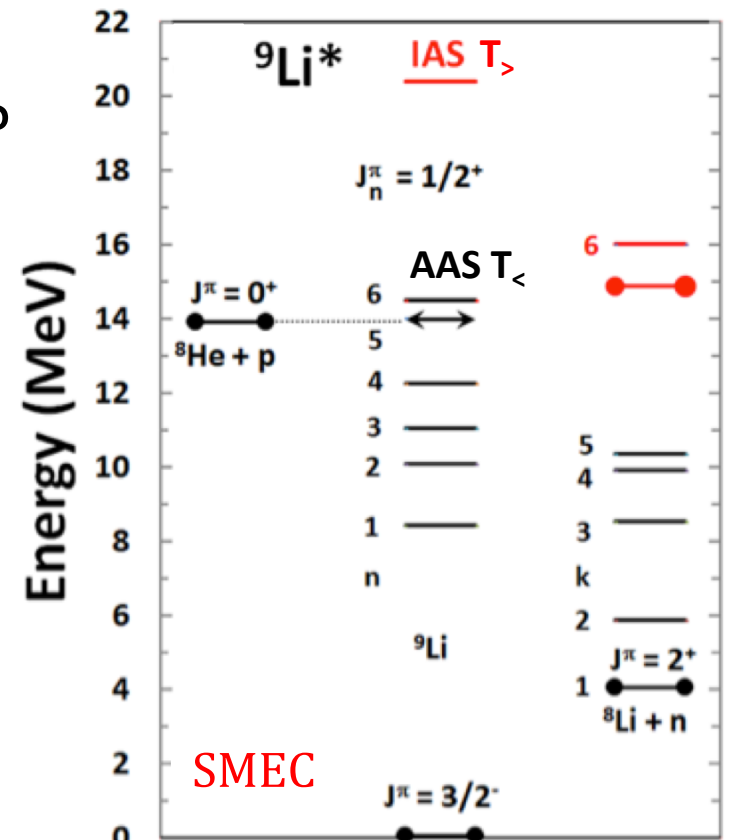
$^{14}\text{O}(p,p)^{14}\text{O}$  @ SPIRAL1



F. De Grancey et al, PLB 758, 26 (2016)

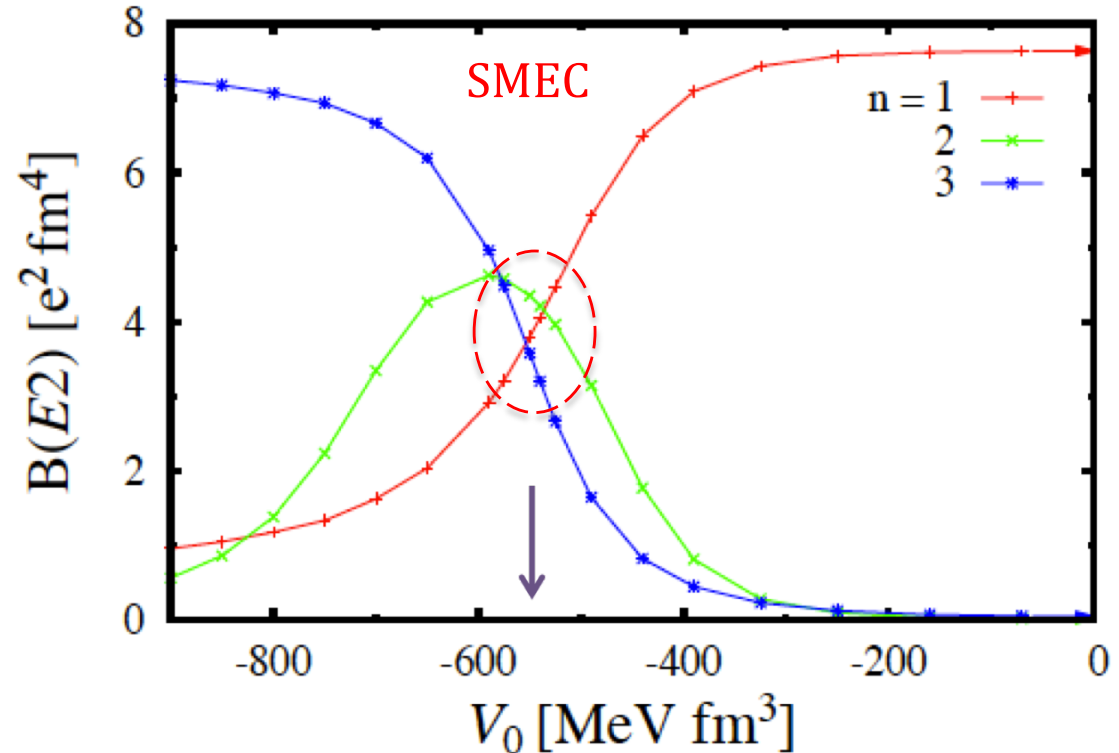
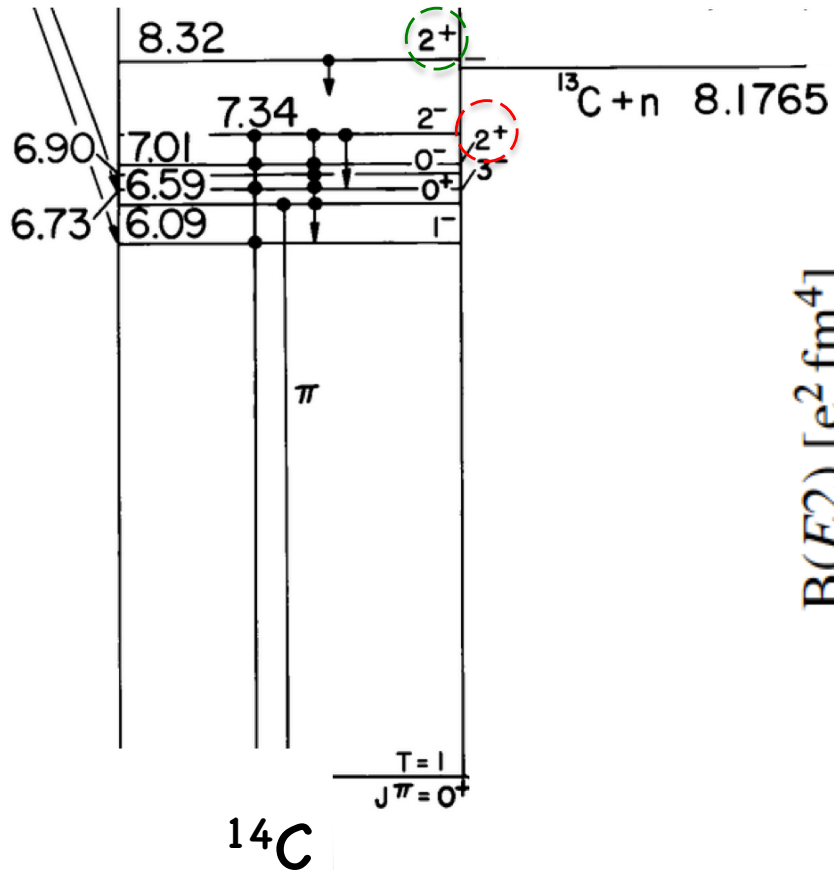


Courtesy of O. Sorlin



Exp: R.J. Charity et al, PRC 78, 054307 (2008)  
Th: J. Okolowicz et al., PRC 97, 044303 (2018)

## Near-threshold collectivization of electromagnetic transitions



- Strong collectivization of the  $B(E2)$  in  $^{14}\text{C}$  from the near-threshold resonance  $2^+_2$  to the ground state  $0^+_1$
- Another example: strong collective  $B(E1)$  transition between halo state  $1/2^-_1$  ( $S_n=181$  keV) and the ground state  $1/2^+_1$  in  $^{11}\text{Be}$

# Unified description of structure and reactions in the Gamow Shell Model

## $p+^{18}\text{Ne}$ excitation function

Y. Jaganathan, et al., PRC 89 (2014) 034624

$^{18}\text{Ne}$	EXP	GSM	GSM-CC	
$0_+$	0.00	0.00		$S_p=3.921$ MeV
$2_+$	1.89	1.56		$S_n=19.237$ MeV
$^{19}\text{Na}$				
$5/2_+$	0.32	0.28	0.29	$S_p=-0.32$ MeV
$3/2_+$	0.44	0.25	0.27	$S_n=20.18$ MeV
$1/2_+$	1.07	1.08	1.13	

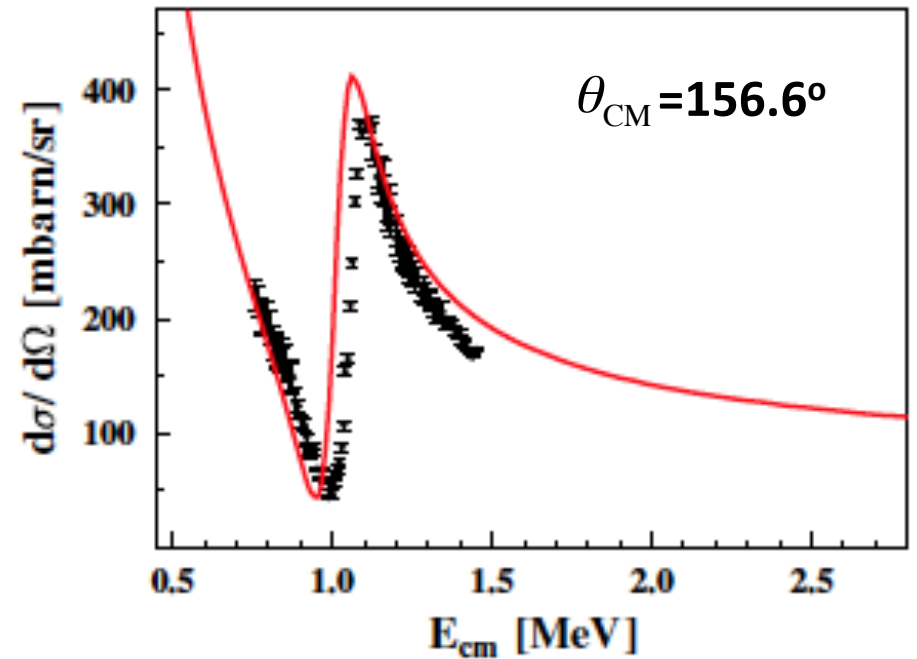
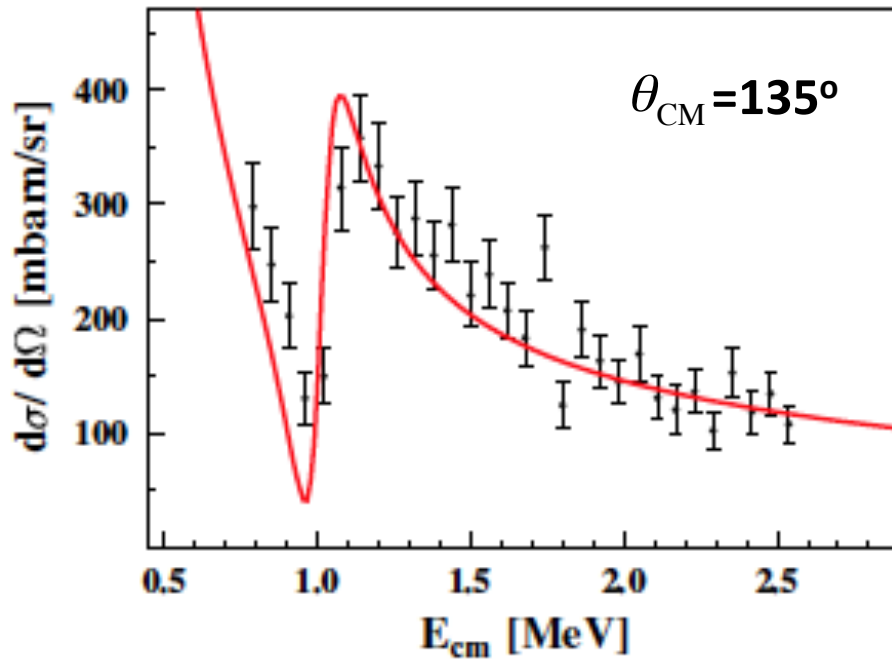
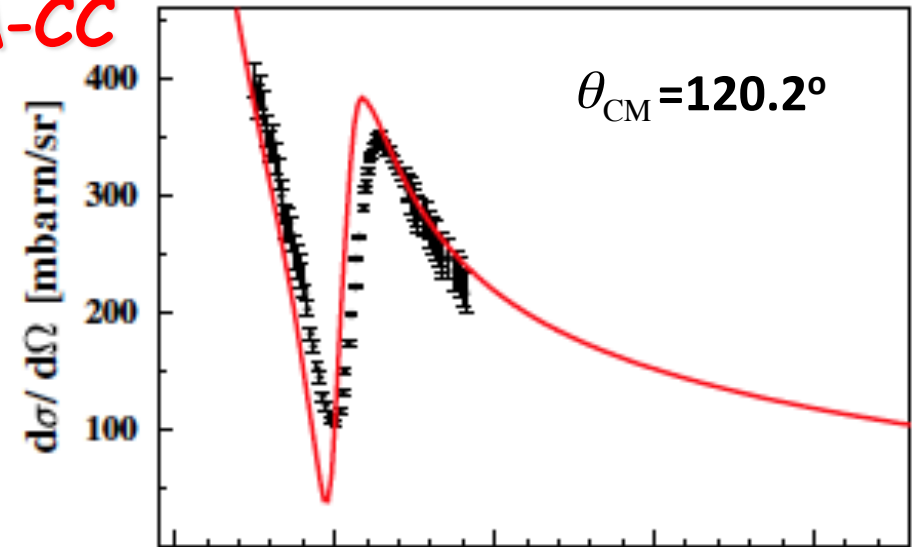
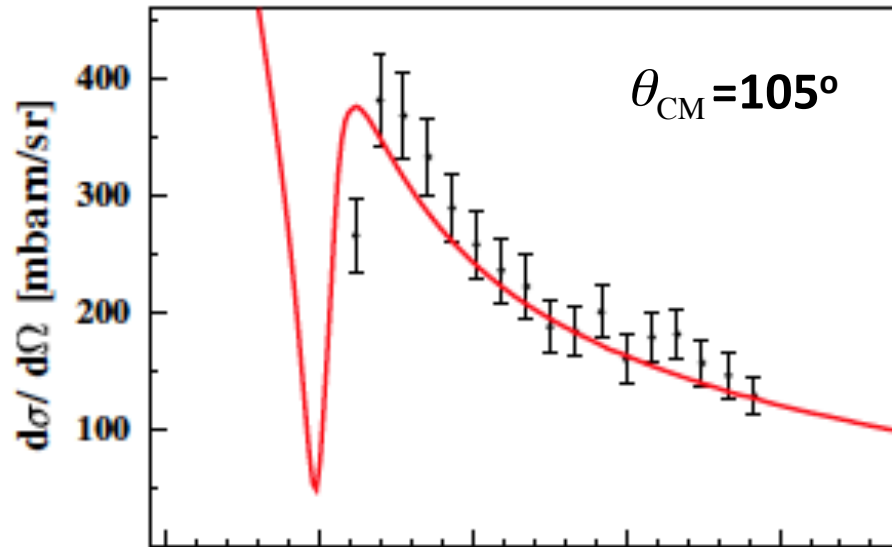
Interaction: FHT finite-range interaction:  $V(ij)=V^C + V^{SO} + V^T + V^{\text{Coul}}$

H. Furutani, H. Horiuchi, R. Tamagaki, PTP 60 (1978) 307; 62 (1979) 981

GSM and GSM-CC results (almost) identical  $\rightarrow$  **Scattering states**  
 $J=0^+, 1^+, 2^+, \dots$  and higher lying (bound) states in  $^{18}\text{Ne}$  **are less important** for the completeness of channel basis

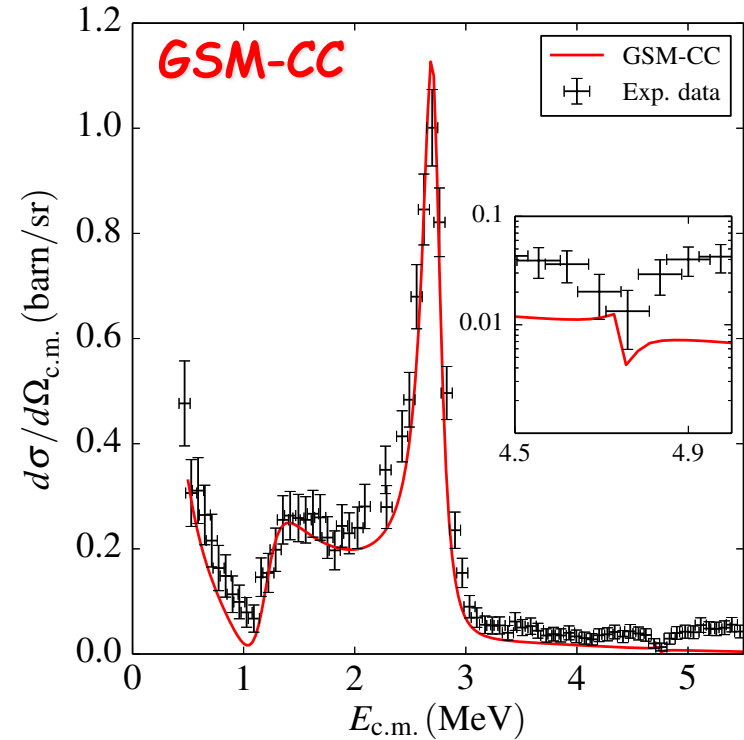
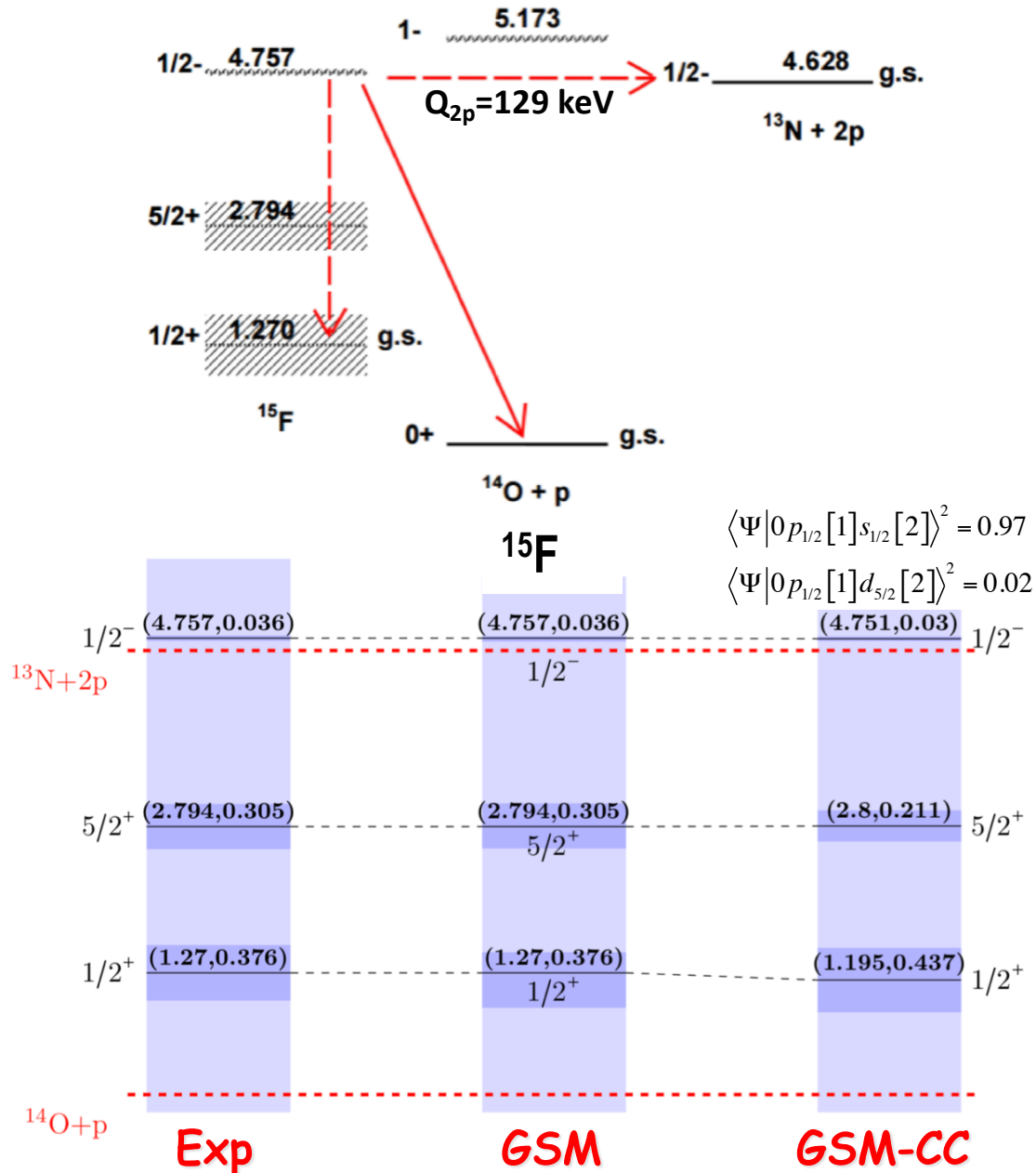
# $p+^{18}\text{Ne}$ excitation function at different angles

**GSM-CC**



Exp: F. de Oliveira Santos et al., Eur. Phys. J. A24, 237 (2005)  
B. Skorodumov et al., Phys. Atom. Nucl. 69, 1979 (2006)  
C. Angulo et al., PRC 67, 014308 (2003)

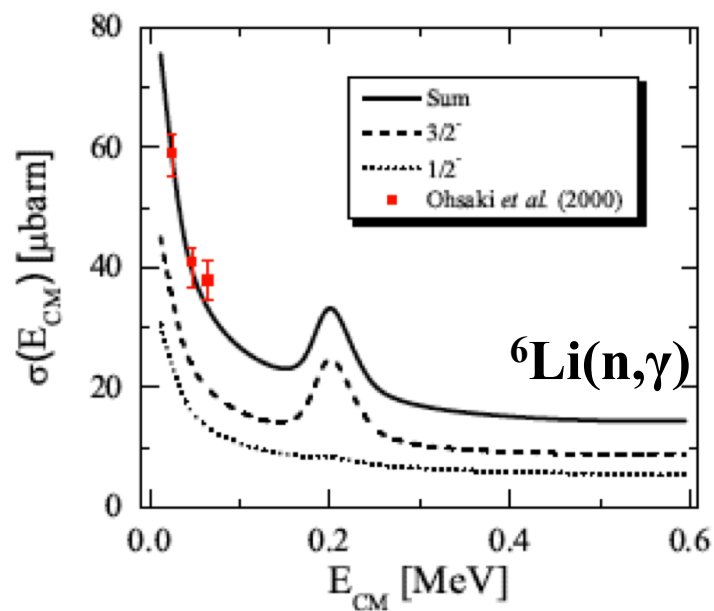
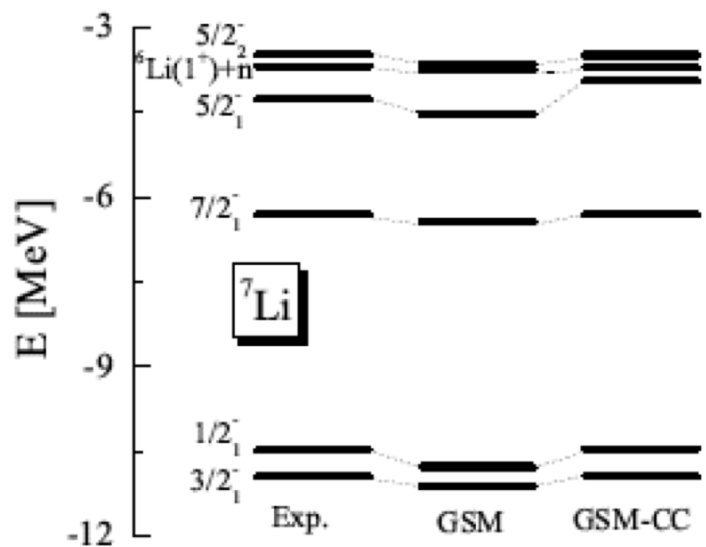
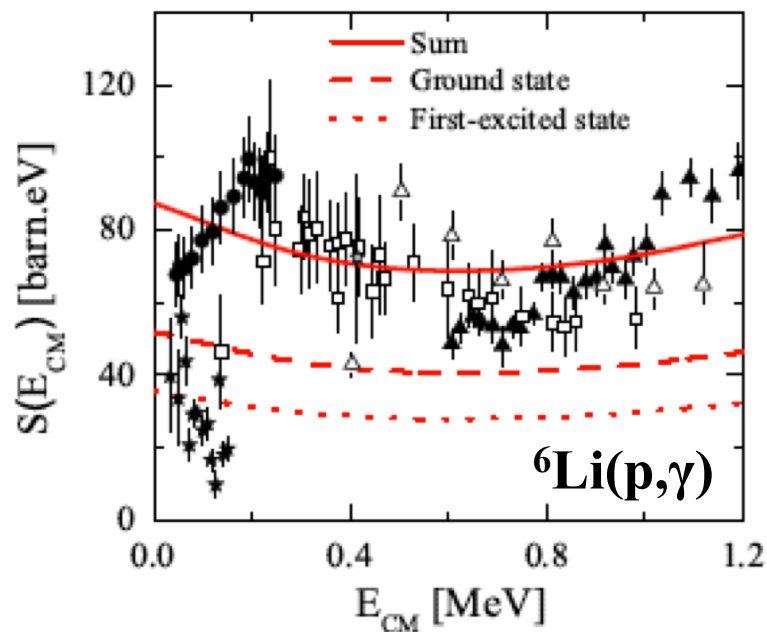
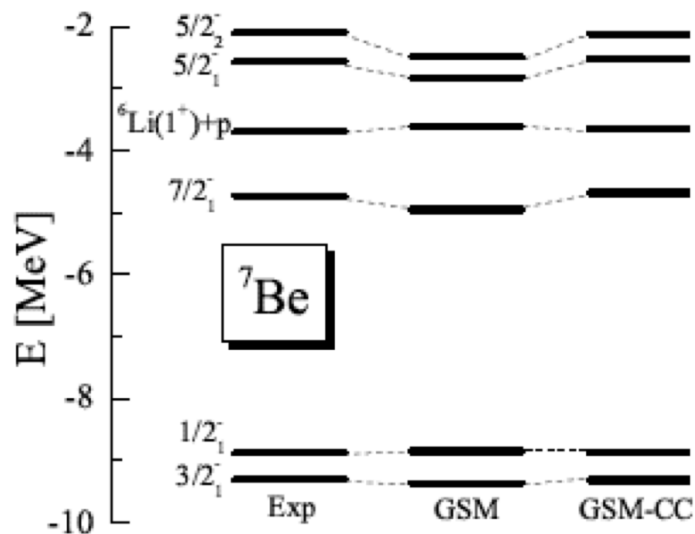
# $p+^{14}\text{O}$ excitation function and spectroscopy of $^{15}\text{F}$



F. De Grancey, A. Mercenne, et al, PLB 758, 26 (2016)

**Scattering states are important for the completeness of channel basis**

# Mirror radiative capture cross sections



Role of the non-resonant reaction channels  $\left[ \left| J_{scat}^{\pi} (A-1) \right\rangle \otimes \left| j^{\pi} (n) \right\rangle \right]^{J^{\pi}(A)}$

## $^{42}\text{Sc}$

	$2^+$ $\frac{-10.999}{-11.044}$	$2^+$ $\frac{-10.758}{-}$	$2^+$ $\frac{-11.084}{-}$
	$5^+$ $\frac{-11.122}{-}$	$5^+$ $\frac{-10.969}{-}$	$5^+$ $\frac{-11.089}{-}$
	$3^+$ $\frac{-11.122}{-}$	$3^+$ $\frac{-11.229}{-}$	$3^+$ $\frac{-11.42}{-}$
	$7^+$ $\frac{-11.789}{-}$	$7^+$ $\frac{-11.74}{-}$	$7^+$ $\frac{-11.873}{-}$
	$1^+$ $\frac{-12.172}{-}$	$1^+$ $\frac{-11.966}{-}$	$1^+$ $\frac{-12.091}{-}$
	$0^+$ $\frac{-12.632}{-}$	$0^+$ $\frac{-12.095}{-}$	$0^+$ $\frac{-12.549}{-}$
	$E_{\text{GSM}}$	$E_{\text{GSM-CC}}$	$E_{\text{GSM-CC(NRC)}}$

Channels:

$^{40}\text{Ca}+d$

$^{41}\text{Ca}+p$

$^{41}\text{Sc}+n$

Non-resonant channels

built of continuum states:

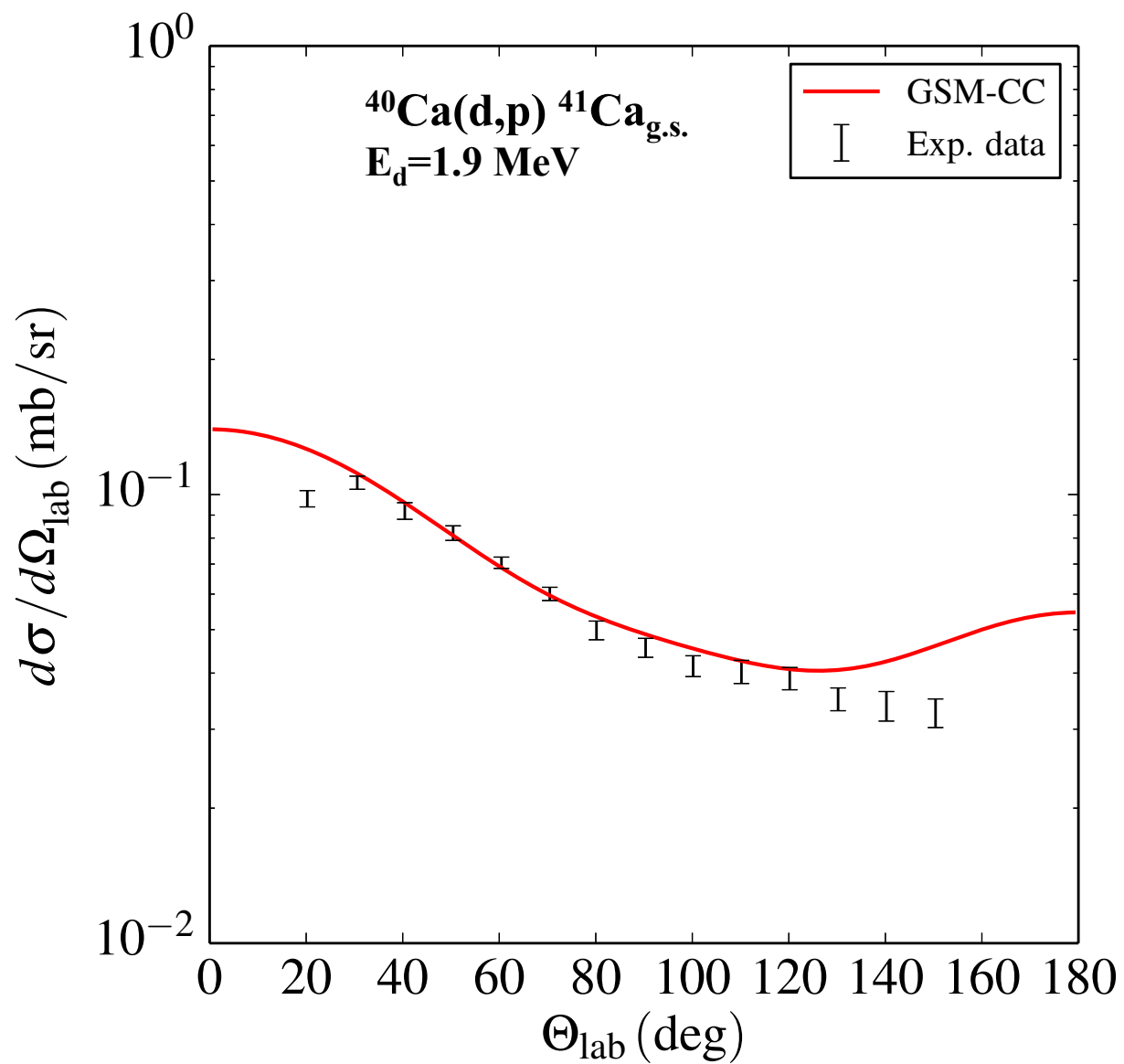
$1/2^+$ ,  $3/2^+$ ,  $5/2^+$ ,  $7/2^+$ ,  $9/2^+$

$1/2^-$ ,  $3/2^-$ ,  $5/2^-$ ,  $7/2^-$

in  $^{41}\text{Sc}$  and  $^{41}\text{Ca}$



# $^{40}\text{Ca}(d,p)$ transfer reaction



Exp: I. Fodor et al., Nucl. Phys.. 73, 155 (1965)

Th: A. Mercenne, et al., (2018), in preparation

# Outlook

- Shell model treatment of weakly bound/unbound states → unification of nuclear structure and reactions
  - Crucial role of non-resonant reaction channels
- Collectivization of nuclear wave functions due to:
  - **internal mixing by interactions**: rotational and vibrational states
  - **external mixing via the decay(s) channels**: coherent enhancement/suppression of radiation, multi-channel effects in shell occupancies and reaction cross-sections, ...
  - **interplay of internal and external mixing**: near-threshold cluster/correlated states, breaking of the mirror/isospin symmetry, near-threshold collectivization of electromagnetic transitions, exceptional points, anti-odd-even effect in binding energies, ...
- Future challenges:
  - how effective NN interactions are modified in weakly-bound/unbound states
  - $\gamma$ -selection rules for in- and out- band transitions in the resonance bands
  - new kinds of multi-nucleon correlations and clustering in the vicinity of particle emission thresholds
  - effects of exceptional points in nuclear spectroscopy and reactions
  - ... ..