



# Reactions with Clusters in Harmonic Oscillator Basis



# Reactions with Clusters in Harmonic Oscillator Basis

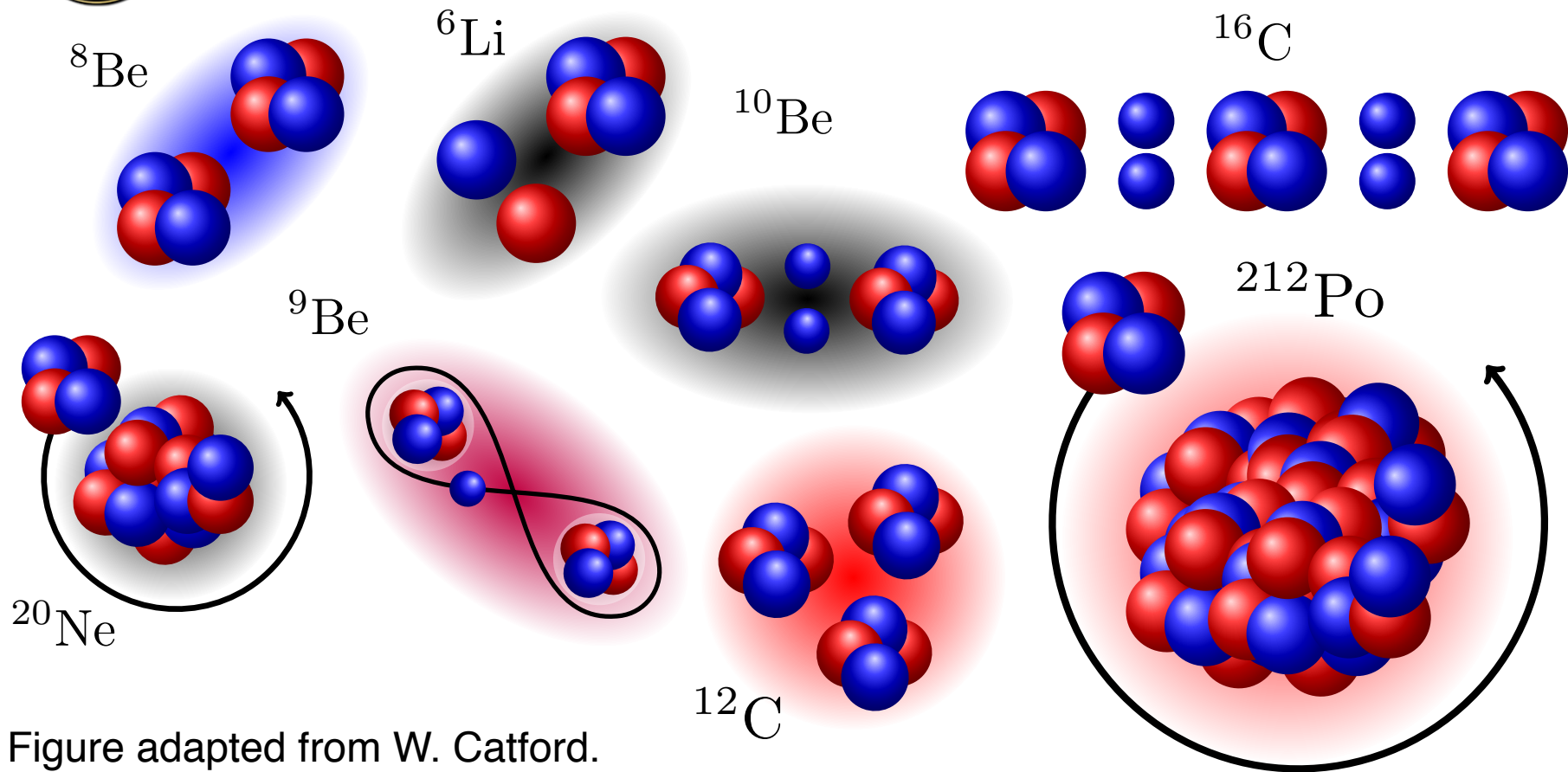


Figure adapted from W. Catford.



## Reactions with Clusters in Harmonic Oscillator Basis

### Goals:

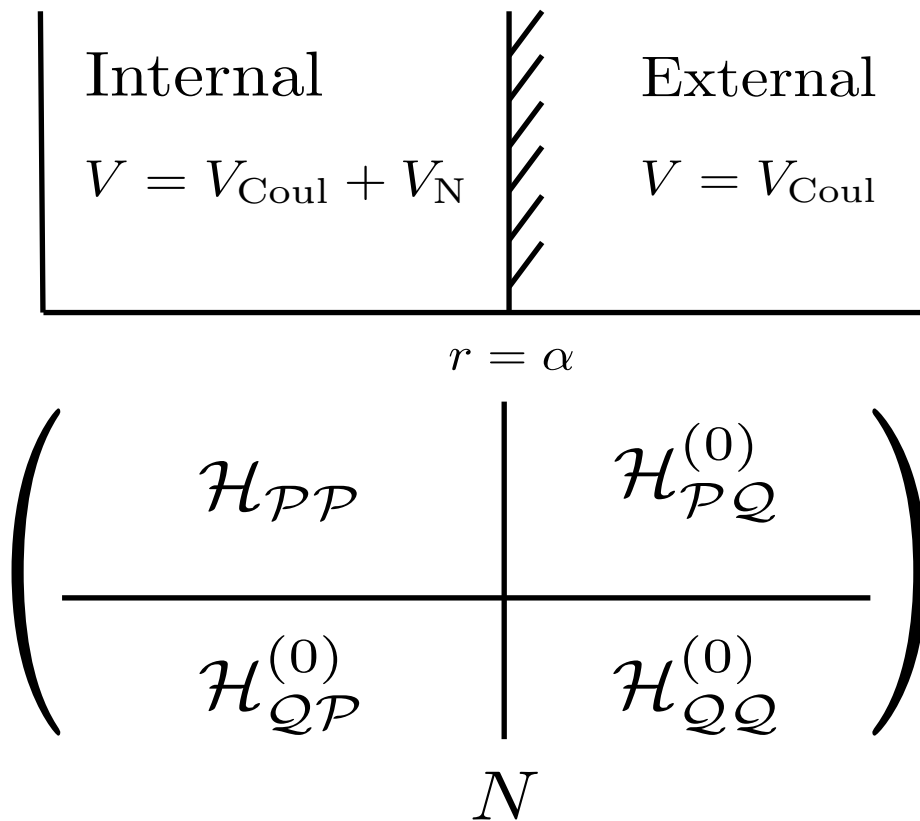
- Starting from a bound state method (square integrable basis expansion), obtain scattering properties of the system.
- Generalize for scattering properties of many-body systems.

### Outline:

- Introduction
- Scattering in Finite Bases
- Regularization
- Coulomb Scattering
- Simple example
- Channel Construction
- Many-body examples.



# Reactions with Clusters in Harmonic Oscillator Basis



Internal P-space Hamiltonian contains interaction potential

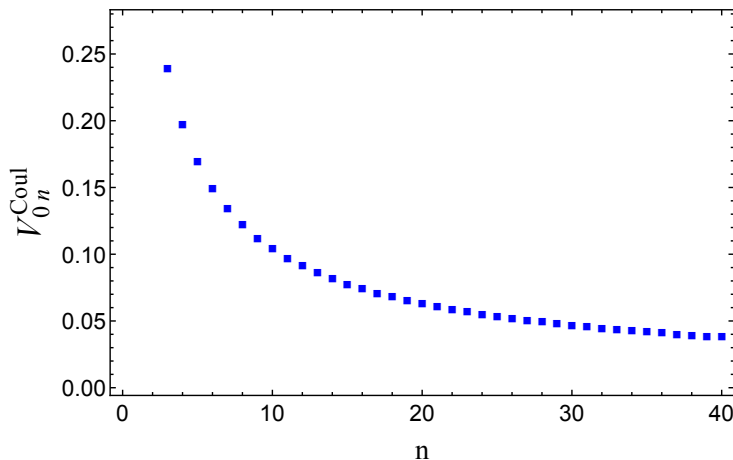
External Q-space only has free components:  $T + V_{\text{Coul}}$

Matching condition at some basis limit  $N$ . Potential matrix elements at limit  $\sim 0$



$$\left( \begin{array}{c|c} \mathcal{H}_{PP} & \mathcal{H}_{PQ}^{(0)} \\ \hline \mathcal{H}_{QP}^{(0)} & \mathcal{H}_{QQ}^{(0)} \end{array} \right)$$

$N$



## Implications

- Solving an approximate potential:

$$V = \sum_{nn'}^N |n\rangle V_{nn'} \langle n'|$$

- Coulomb matrix elements do not fall-off fast enough to ignore.
- Solution requires knowledge of expansion coefficients.



## Reactions with Clusters in Harmonic Oscillator Basis

In order to match the internal part, we need to know what the free part looks like.

Three-term recursion for neutral particle; infinite terms for charged.

For the regular solution, coefficients obtained through direct integration with basis function.

The irregular solution cannot be obtained as an expansion due to behavior near the origin.

Regular Solution    Irregular Solution  
 $F_\ell(\eta, kr)$      $G_\ell(\eta, kr)$

$$\sum_{n'=0}^{\infty} (H_{nn'}^{(0)} - E\delta_{nn'}) F_{n'\ell}(\eta, k) = 0$$

$$F_{n\ell}(\eta, k) = \int \phi_n^*(r) F_\ell(\eta, kr) dr$$

$$G_\ell(\eta, kr) \sim r^{-\ell}$$



At “infinity” (large  $n$ ) the irregular expansion coefficients should obey the same recursion relations. At the “origin” ( $n = 0$ ) we add an inhomogeneity

$$\sum_{n'=0}^{\infty} (H_{nn'}^{(0)} - E\delta_{nn'}) G_{n'\ell}(\eta, k) = \beta\delta_{n0}$$

In  $r$ -space there is only one choice that preserves the form of the discrete equations

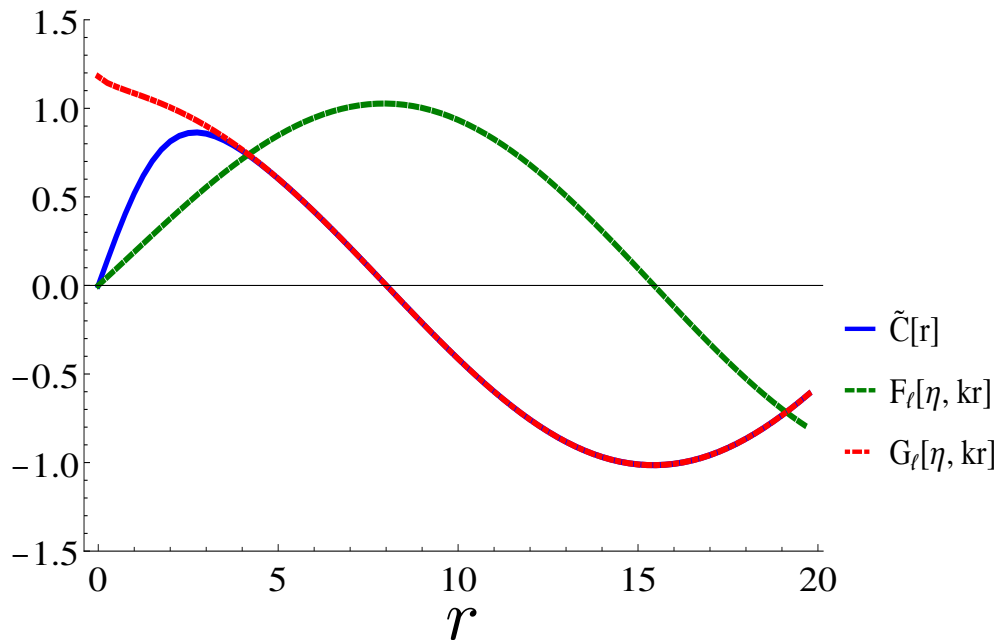
$$\left( H^{(0)} - E \right) \tilde{C}_\ell(\eta, r) = \beta\phi_0(r)$$

Solve  $r$ -space problem via a Green's function

$$G(r, r') \sim F_\ell(\eta, kr_{<}) G_\ell(\eta, kr_{>})$$



# Reactions with Clusters in Harmonic Oscillator Basis



Good behavior at large distances,  
no divergence at small distances.

Strength of inhomogeneity fixed  
by requiring:

$$\tilde{C}_\ell(\eta, r \rightarrow \infty) = G_\ell(\eta, kr)$$

$$\beta \sim 1/F_{0\ell}(\eta, k)$$

Coefficients can now be obtained  
by direct integration

$$G_{n\ell}(\eta, k) = \int \phi_{n\ell}^*(r) \tilde{C}_\ell(\eta, r) dr$$





## Reactions with Clusters in Harmonic Oscillator Basis

The internal part is governed by the (matrix) equation:

$$\mathcal{H}_{PP'}\Psi_{P'} + \mathcal{H}_{PQ}^{(0)}\Psi_Q = E\Psi_P$$

For any P-space component we can use the resolvent

$$\mathcal{G}_{pp'} = [(\mathbf{E}\mathbf{I} - \mathbf{H})^{-1}]_{pp'}$$

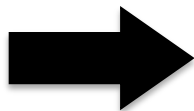
$$\left( \begin{array}{c|c} \mathcal{H}_{PP} & \mathcal{H}_{PQ}^{(0)} \\ \hline \mathcal{H}_{QP}^{(0)} & \mathcal{H}_{QQ}^{(0)} \end{array} \right)_N$$

$$\Psi_p = \sum_{\substack{p'=0 \\ q \in Q}}^N \mathcal{G}_{pp'} H_{p'q}^{(0)} \Psi_q$$



Matching at the boundary N  
(summation implied)

$$\Psi_N = \mathcal{G}_{Np} H_{pq}^{(0)} \Psi_q$$



In the Q-space (outside) we have

$$\Psi_q = a F_{q\ell}(\eta, k) + b G_{q\ell}(\eta, k)$$

On the inside, we require that

$$\Psi_N = a F_{N\ell}(\eta, k) + b G_{N\ell}(\eta, k)$$

$$\tan \delta_\ell = \frac{b}{a} = - \frac{F_{N\ell} - \mathcal{G}_{Np} H_{pq}^{(0)} F_{q\ell}}{G_{N\ell} - \mathcal{G}_{Np} H_{pq}^{(0)} G_{q\ell}}$$



## Special case: HORSE method

$$\tan \delta_\ell = - \frac{F_{N\ell} - \mathcal{G}_{Np} H_{pq}^{(0)} F_{q\ell}}{G_{N\ell} - \mathcal{G}_{Np} H_{pq}^{(0)} G_{q\ell}}$$

Diagram illustrating the HORSE method structure:

- Top:  $T_{NN+1}$  (with an upward arrow from the denominator)
- Left:  $S_N(E)$  (with an arrow from the numerator)
- Right:  $S_{N+1}(E)$  (with an arrow from the denominator)
- Bottom-Left:  $C_N(E)$  (with an arrow from the numerator)
- Bottom-Right:  $C_{N+1}(E)$  (with an arrow from the denominator)
- Center:  $T_{NN+1}$  (with a downward arrow from the denominator)
- Center:  $\mathcal{G}_{NN}$  (with arrows pointing to the  $\mathcal{G}_{Np}$  terms in both numerator and denominator)

Recover HORSE  
exactly for neutral case.



## But what about Coulomb?

Phase shifts for Coulomb problem require summation of F, G amplitudes over the infinite Q-space.

$$H_{pq}^{(0)} F_{q\ell} = \left( E\delta_{pp'} - H_{pp'}^{(0)} \right) F_{p'\ell}$$
$$H_{pq}^{(0)} G_{q\ell} = \left( E\delta_{pp'} - H_{pp'}^{(0)} \right) G_{p'\ell} + \beta\delta_{0p}$$

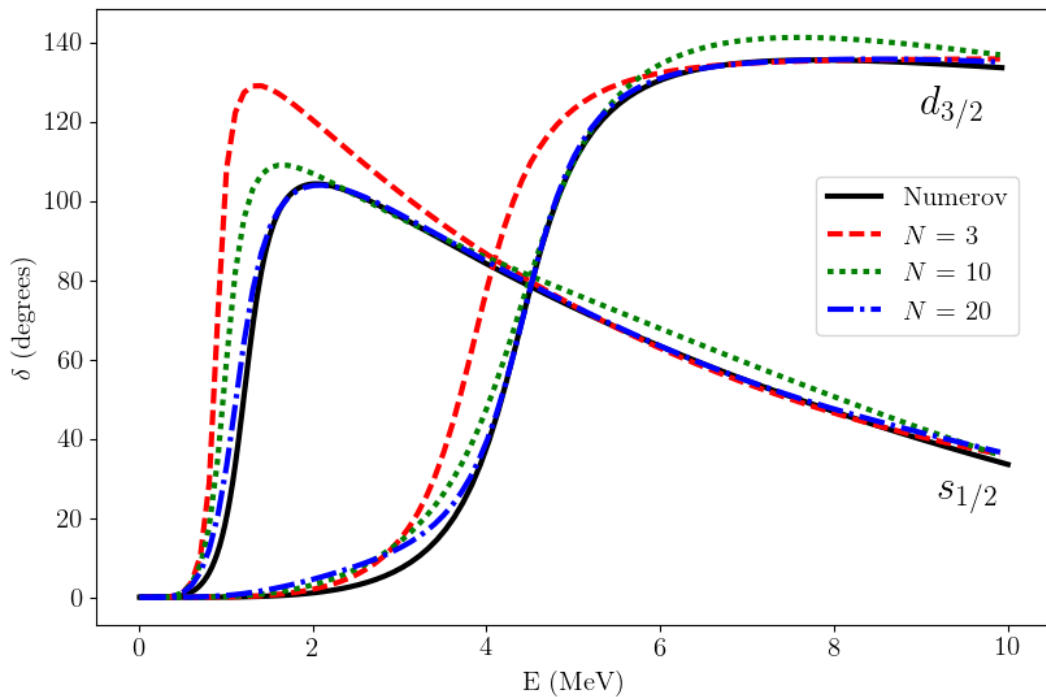
Summations over infinite Q-space can be converted to summations over finite P-space.

We are left with only P-space quantities that are known (semi-)analytically.

$$\tan \delta_\ell = - \frac{F_{N\ell} - \mathcal{G}_{Np} \left( EF_{p\ell} - H_{pp'}^{(0)} F_{p'\ell} \right)}{G_{N\ell} - \mathcal{G}_{Np} \left( (E + \beta\delta_{0p})G_{p\ell} - H_{pp'}^{(0)} G_{p'\ell} \right)}$$



## Does it work?



Woods-Saxon potential phase shifts converge with increasing size of basis.

Extrapolate resonance positions and widths from smaller calculations?

Can we modify matrix elements to accelerate convergence?

Note: We never specified a basis.



# Why Harmonic Oscillator then?

Simple answer: Translational Invariance of Many-Body wave function.

Our goal is to describe scattering with clusters; we need to maintain translational invariance when constructing the relative motion channels.

Many-body channels are no longer orthogonal.

$$\mathcal{H}_{\mathcal{P}\mathcal{P}'}\Psi_{\mathcal{P}'} + \mathcal{H}_{\mathcal{P}\mathcal{Q}}^{(0)}\Psi_{\mathcal{Q}} = E\mathcal{N}_{\mathcal{P}\mathcal{P}'}\Psi_{\mathcal{P}'}$$

Norm Kernel imposes a second limit to the matching “radius”.



$$b_{\mu}^{\dagger} = \frac{1}{\sqrt{2m\omega\hbar}}(m\omega r_{\mu} - ip_{\mu})$$

$$r_{\mu} = \sqrt{\frac{\hbar}{2m\omega}}(b_{\mu}^{\dagger} + b_{\mu})$$

Control single cluster  
CM quantum numbers

$\ell, m$  with  $\mathcal{B}_m^{\dagger}$

nodes with  $[\mathcal{B}^{\dagger} \times \mathcal{B}^{\dagger}]_0^{(0)}$

$\mathcal{L}_m = [\mathcal{B}^{\dagger} \times \mathcal{B}]_m^{(1)}$

But first...

Many body case



Recouple CM excited clusters  
with Moshinsky Brackets

$$R_{\mu} = \sqrt{\frac{\hbar}{2Am\omega}}(\mathcal{B}_{\mu}^{\dagger} + \mathcal{B}_{\mu})$$

$$D_{\mu} = \sqrt{\frac{4\pi}{3}}R_{\mu}$$

$$\psi_0(\mathbf{R})\mathcal{A}\psi_{n\ell\mu}(\rho)\Psi'_{\alpha}\Psi'_{\mathbf{D}} = \mathcal{A} \sum_{\substack{n_1 l_1 \\ n_2 l_2}} \mathcal{M}_{n_1 l_1 n_2 l_2}^{n\ell 00; \ell} [\psi_{n_1 l_1}(\mathbf{R}_{\alpha}) \times \psi_{n_2 l_2}(\mathbf{R}_{\mathbf{D}})]_{\mu}^{\ell} \Psi'_{\alpha}\Psi'_{\mathbf{D}}$$

Many-body basis channels constructed with the boosting method are translationally invariant, fully antisymmetric and have definite HO relative motion.



# Back to treating the Norm Kernel



$$\mathcal{H}_{PP'}\Psi_{P'} + \mathcal{H}_{PQ}^{(0)}\Psi_Q = E\mathcal{N}_{PP'}\Psi_{P'}$$

The P-Space resolvent now becomes:

$$\mathcal{G}_{pp'} = [ (E\mathcal{N} - H)^{-1} ]_{pp'}$$

Need to be careful about summation  
only over Pauli allowed channels.

No change for asymptotic amplitudes  
F, G (only reduced mass).

Asymptotic matching:

Outside the matching “radius” assume  
Norm Kernel is Unit Matrix

$$\Psi_Q = aF_Q + bG_Q$$

$$\mathcal{G}_{Np}H_{pq}^{(0)}F_q = \mathcal{G}_{Np}(EF_p - H_{pp'}^{(0)}F_{p'})$$

This P-Space summation  
has no forbidden channels

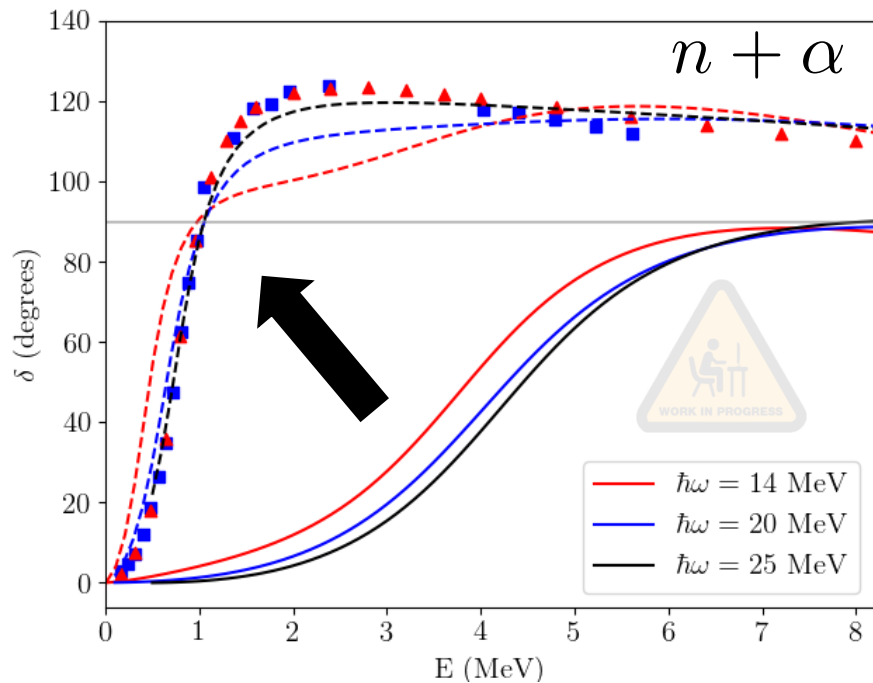
Internal part of matching

$$\Psi_N = \mathcal{N}_{Np}^{-1/2} (aF_p + bG_p)$$

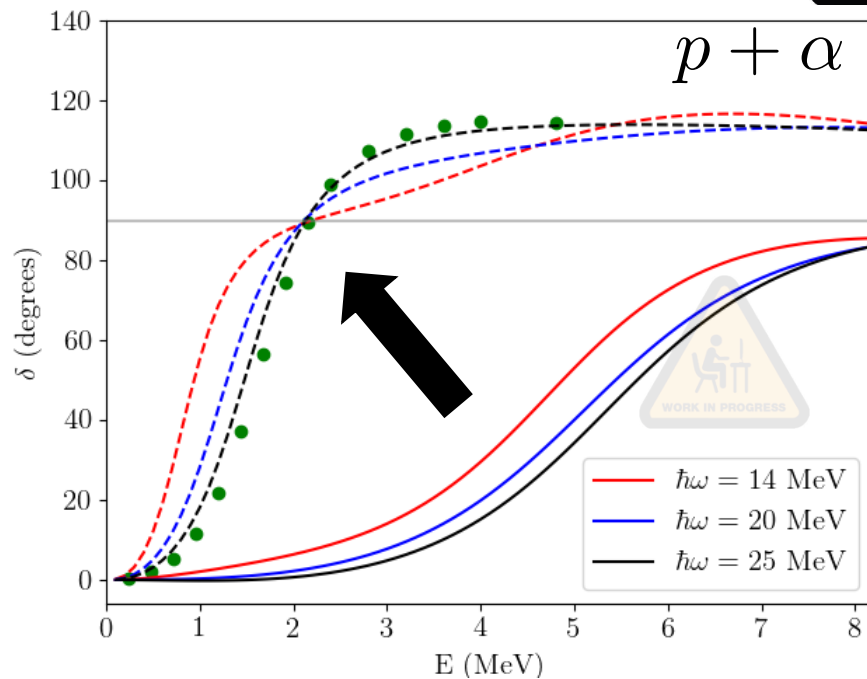




# Does it still work?



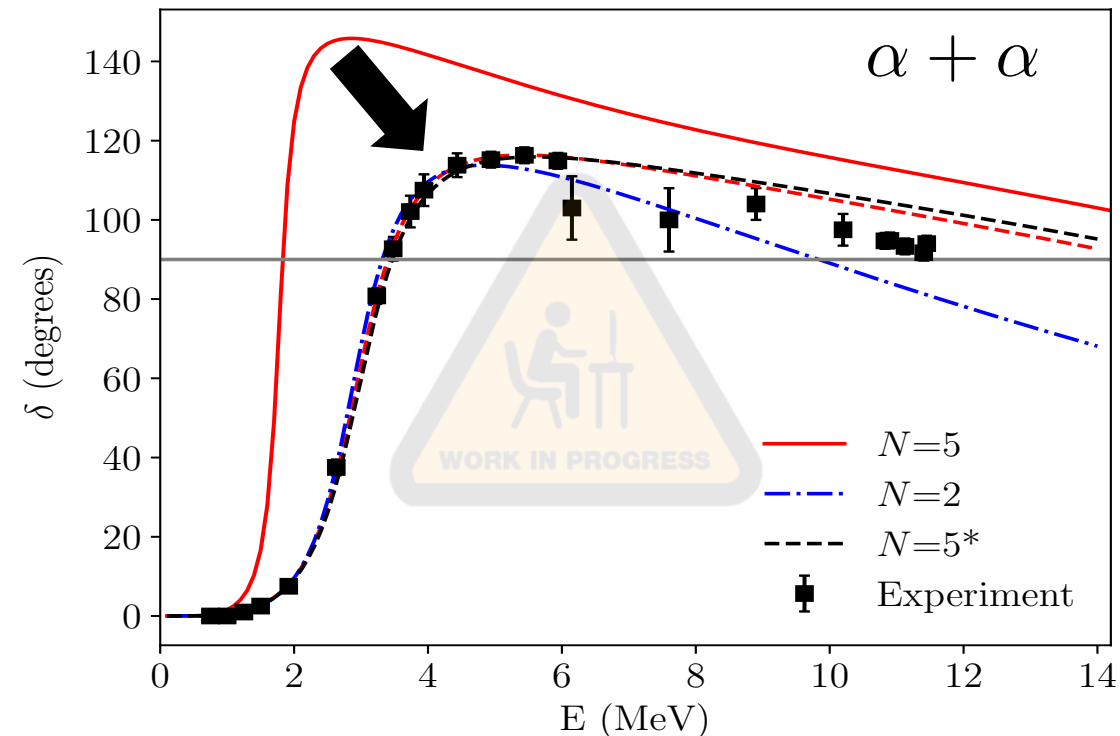
Experiment: Phys. Rev. 168, 1114 (1968)  
Nucl. Phys. A287, 317 (1977)



Experiment: Nucl. Phys. A180, 225(1972)



# What about heavier systems?



RGM Hamilton Kernel is  
heavily tri-diagonal

$$\begin{pmatrix} -31.8 & 21.9 & 0.15 & 0.50 & 0.03 \\ 21.9 & 6.12 & 38.0 & 0.40 & 0.77 \\ 0.15 & 38.0 & 41.0 & 53.6 & 0.67 \\ 0.50 & 0.40 & 53.6 & 73.4 & 68.5 \\ 0.03 & 0.77 & 0.67 & 68.5 & 104 \end{pmatrix}$$

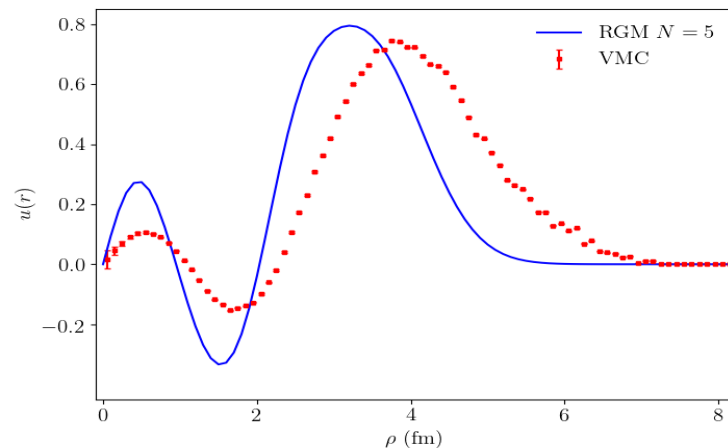
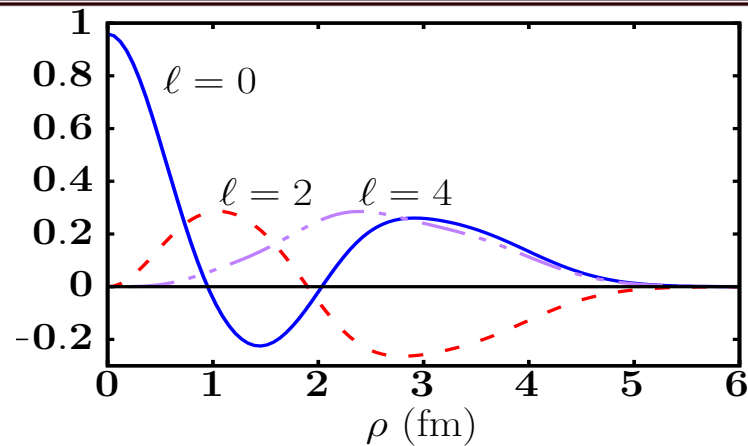
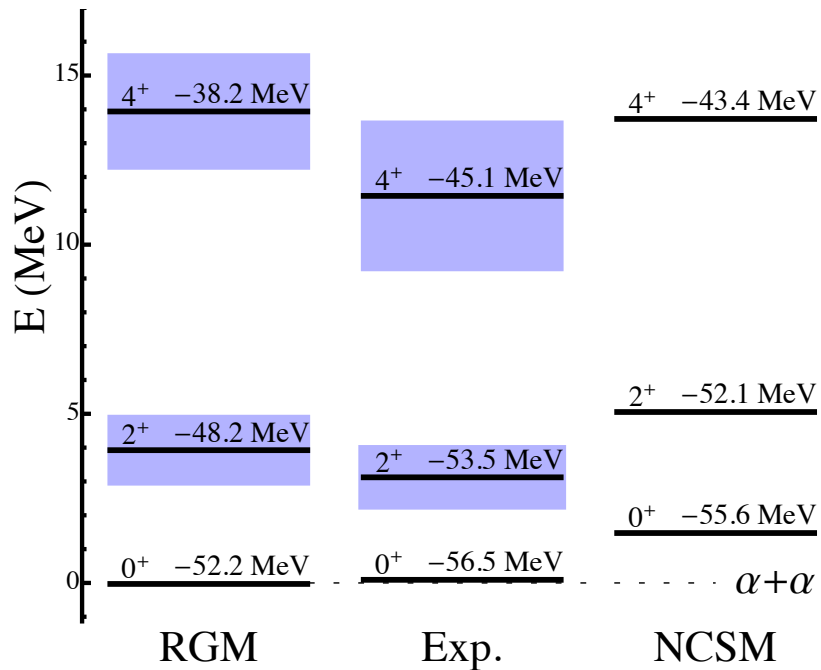
Do the small off-tridiagonal  
matrix elements affect  
dynamics?

Experiment: Rev. Mod. Phys. 41, 247 (1969)



# Reactions with Clusters in Harmonic Oscillator Basis

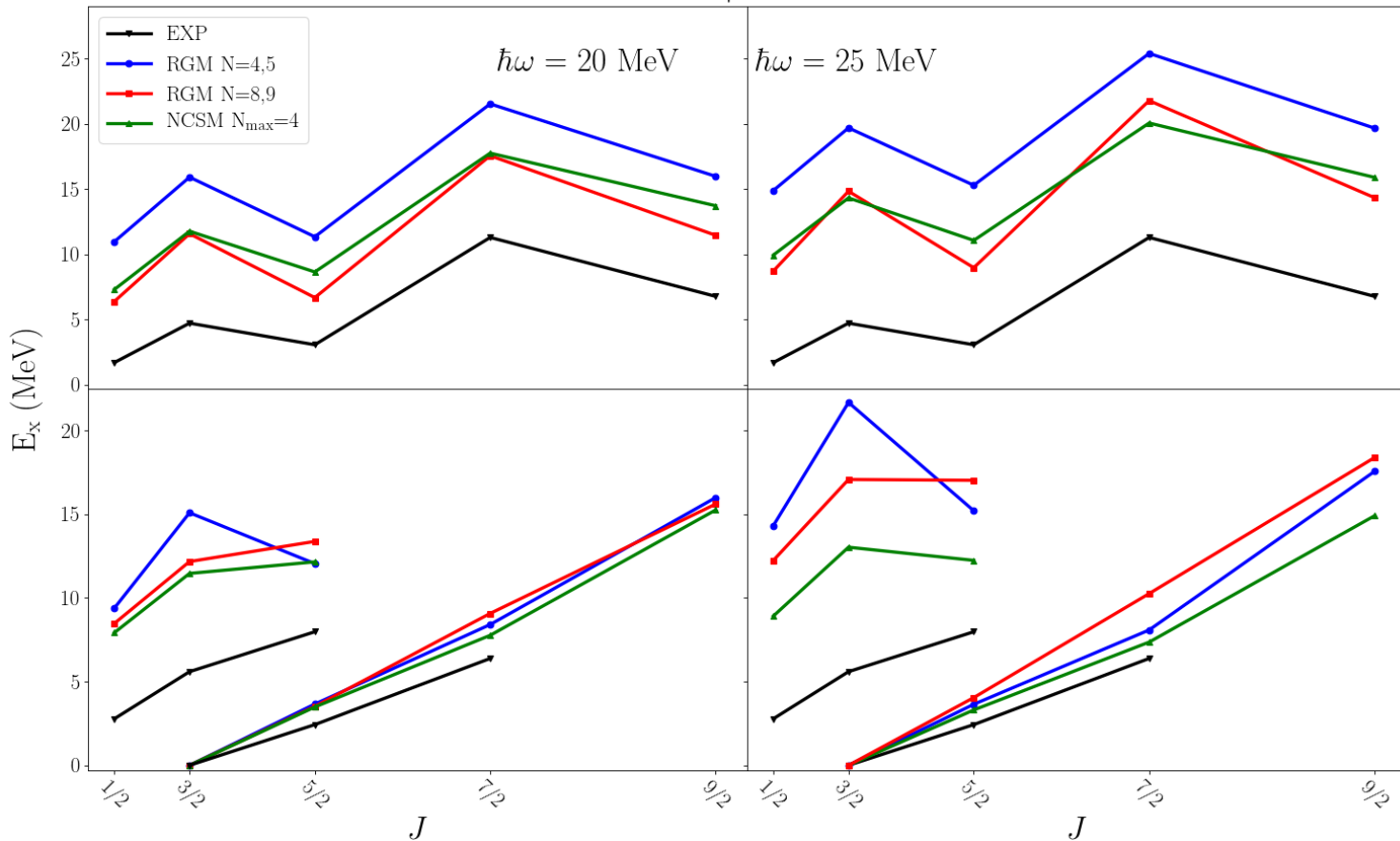
$$\Gamma = 2P_L(\rho_c)|g(\rho_c)|^2$$





# Reactions with Clusters in Harmonic Oscillator Basis

$\alpha + {}^5\text{He}$



Need full three body extension for proper scattering study.



## Reactions with Clusters in Harmonic Oscillator Basis

### Summary:

- Successfully treated Coulomb part of the interaction in a square integrable basis expansion
- Using the boosting method, constructed many-body channels and evaluated phase shifts.

### Outlook:

- Multi-channel S-matrix
- Ternary cluster systems

Coulomb wave functions:  
Michel, CPC 176, 232 (2007)

### Some References:

- Moshinsky & Smirnov, Harmonic Oscillator in Modern Physics
- Alhaidari et al., *The J-matrix Method*
- Bang et al., Ann. Phys. (NY) **280**, 299 (2000)
- Shirokov et al., PRC 94, 064320 (2016)
- Heller & Yamani, Phys. Rev. A **9**, 1201 (1974)
- Yamani & Fishman, J Math Phys 16, 410 (1975)
- Alhaidari et al., Phys Lett A 364, 372 (2007)

### Thanks to:

Theory: A. Volya, A. Shirokov, R. Wiringa  
Interactions: J. Vary