



## Neutrino Experiments in 2026

Peter Shanahan

SAC Neutrino Working Group

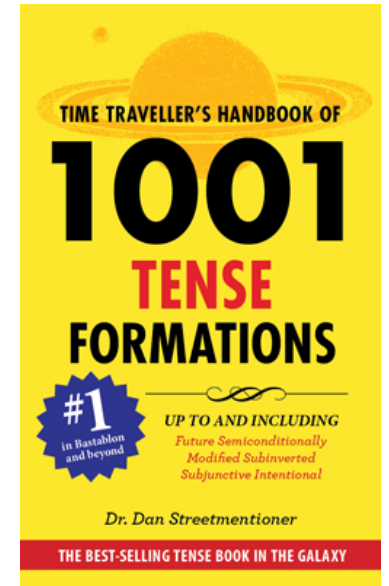
5 April 2018

# Overview

- Request from SAC Neutrino WG was to present the future
  - What we will know in 2026

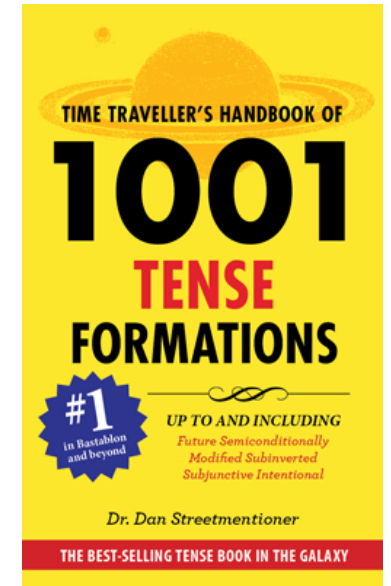
# Overview

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  - What we will know in 2026
  - What we know we will likely have learned in the decade thereafter



# Overview

- Request from SAC Neutrino WG was to present the future
  - What we will know in 2026
  - What we know we will likely have learned in the decade thereafter
- Caveat: Prognostications fall in a spectrum



## Informed Guess



## Guess





# The Important Questions

- Explicit or implicit in the P5 Science Driver:

## Understand the Physics Associated with Neutrino Mass

- What is the origin of neutrino masses?
- What is the structure of the mixing?

- Is  $\theta_{23}$  maximal ( $\pi/4$ )?

If not, what is the octant? Lower:  $\theta_{23} < \pi/4$  more  $\nu_\tau$  in  $\nu_3$ , Upper:  $\theta_{23} > \pi/4$ , more  $\nu_\mu$  in  $\nu_3$

- What is the ordering of the masses?

- $\nu_3$  heavier (normal) or heavier (inverted) than  $\nu_1, \nu_2$

- What are the (absolute) masses?

- Do neutrinos violate CP symmetry?

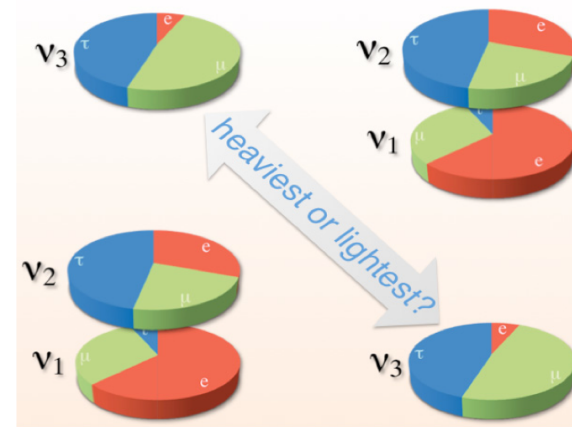
- CP-phase  $\delta$  nontrivial?

- Are neutrinos their own antiparticle?

- Majorana Particles vs. Dirac

- Are there additional neutrino types and interactions?

- BSM interactions, sterile neutrinos?



# The Important Questions and Long-baseline Oscillations

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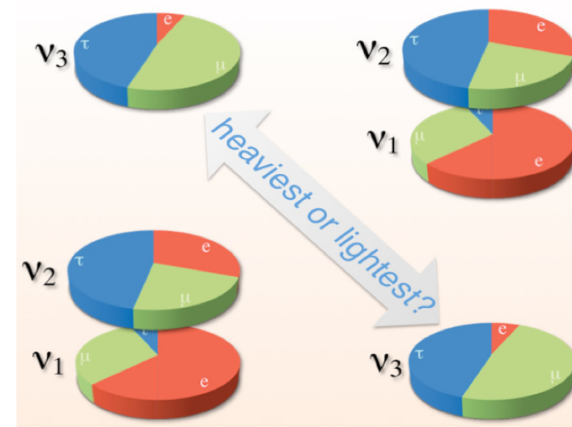
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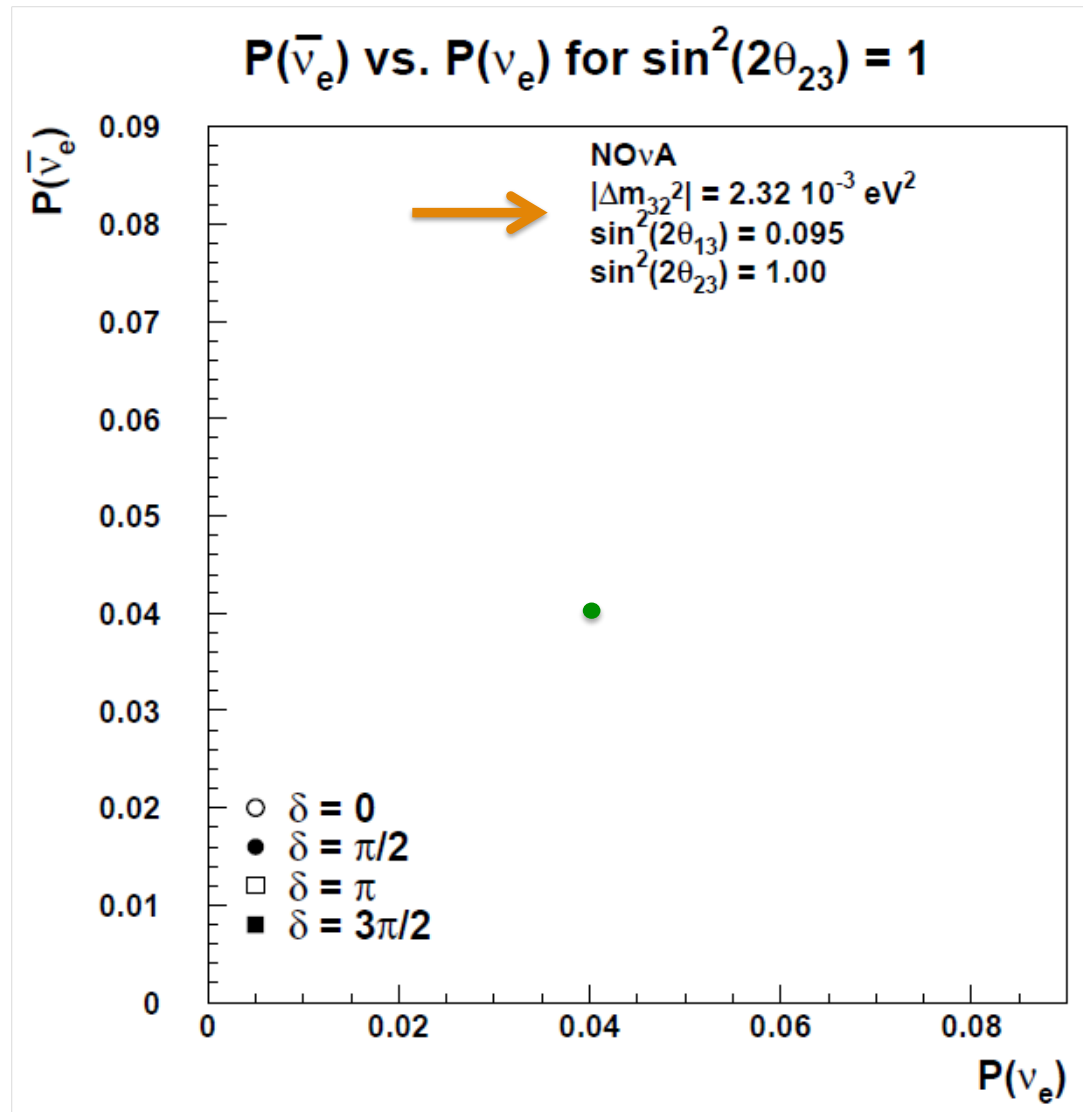
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# The Open Questions and Long-baseline Oscillation Experiments

- Long-baseline Muon Neutrino Disappearance
  - Primarily sensitive to  $|\Delta m_{31}^2|$ ,  $\sin^2(2\theta_{23})$
  - Not sensitive to Mass Hierarchy
  - Probes maximality/degree of non-maximality, but not octant of  $\theta_{23}$
  - Not sensitive to CP violation (unless CPT is violated)
- Electron (anti)Neutrino Appearance
  - Sensitive to
    - CP violation through effect of  $\delta_{CP}$
    - Mass Hierarchy via the matter effect
    - $\theta_{23}$  octant due to  $\sin(\theta_{23})$  in leading term of appearance probability

# $\nu_e$ and $\bar{\nu}_e$ Appearance Probabilities

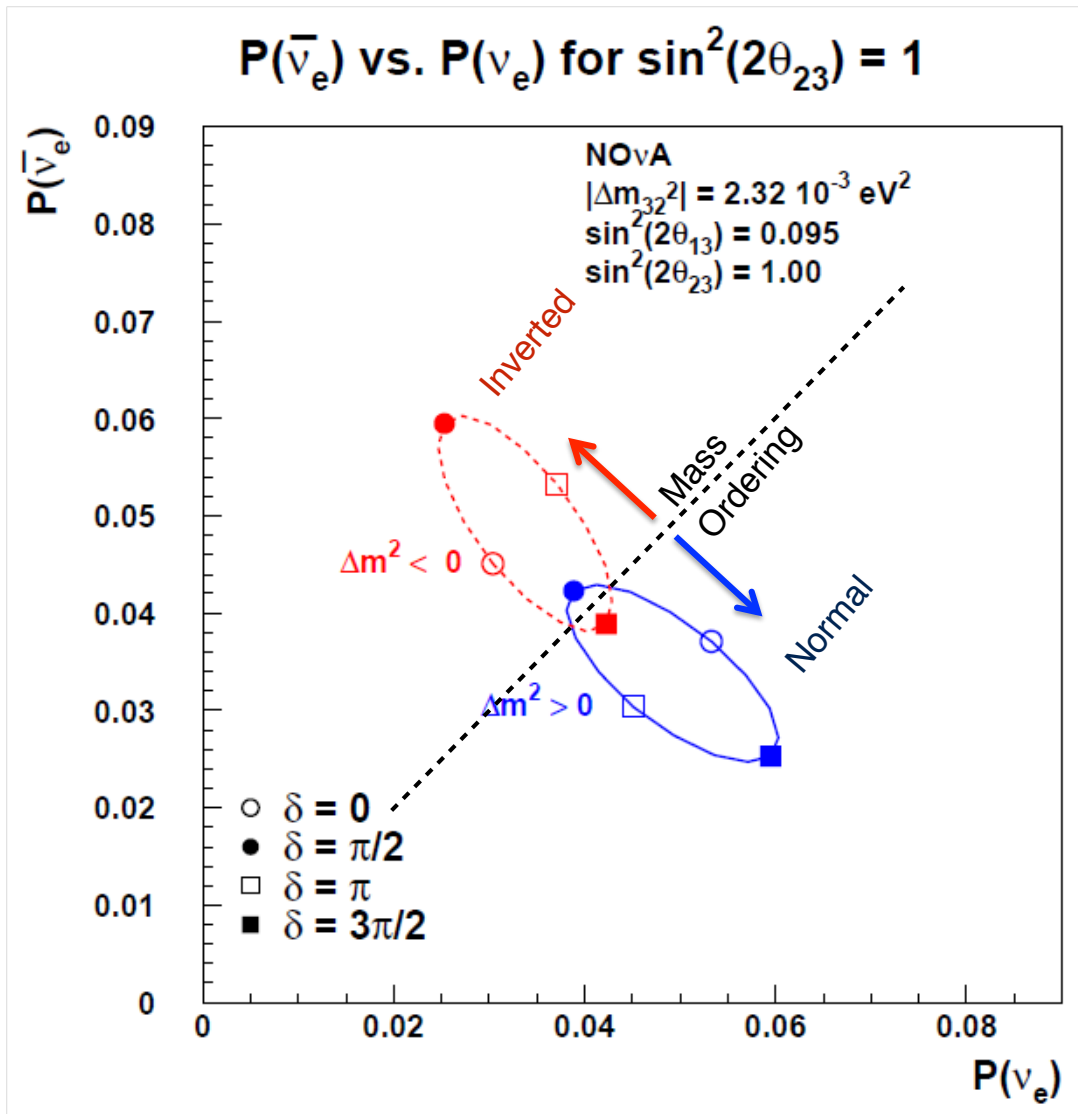


Comparison of neutrino and antineutrino appearance for a specific baseline and energy

### Assuming

- No Matter Effect
- No CP Violation
- Maximal  $\mu$ - $\tau$  mixing

# CP Violation and Neutrino Mass Ordering

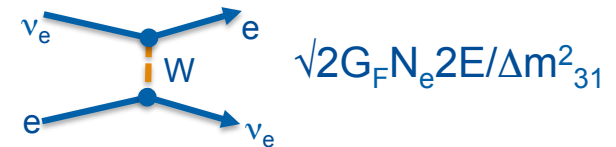


## CP Violation

- CPT theorem requires  $\nu_\mu$  and  $\bar{\nu}_\mu$  disappearance to be equal in vacuum
- $\nu_e$  appearance probabilities vary on an ellipse with  $\delta_{CP}$

## Mass Ordering

- $\nu_\mu$  disappearance largely sensitive to  $|\Delta m^2|$
- $\nu_e$  appearance is sensitive to  $\text{sign}(\Delta m^2)$  via matter effect
- due to presence of electrons in matter

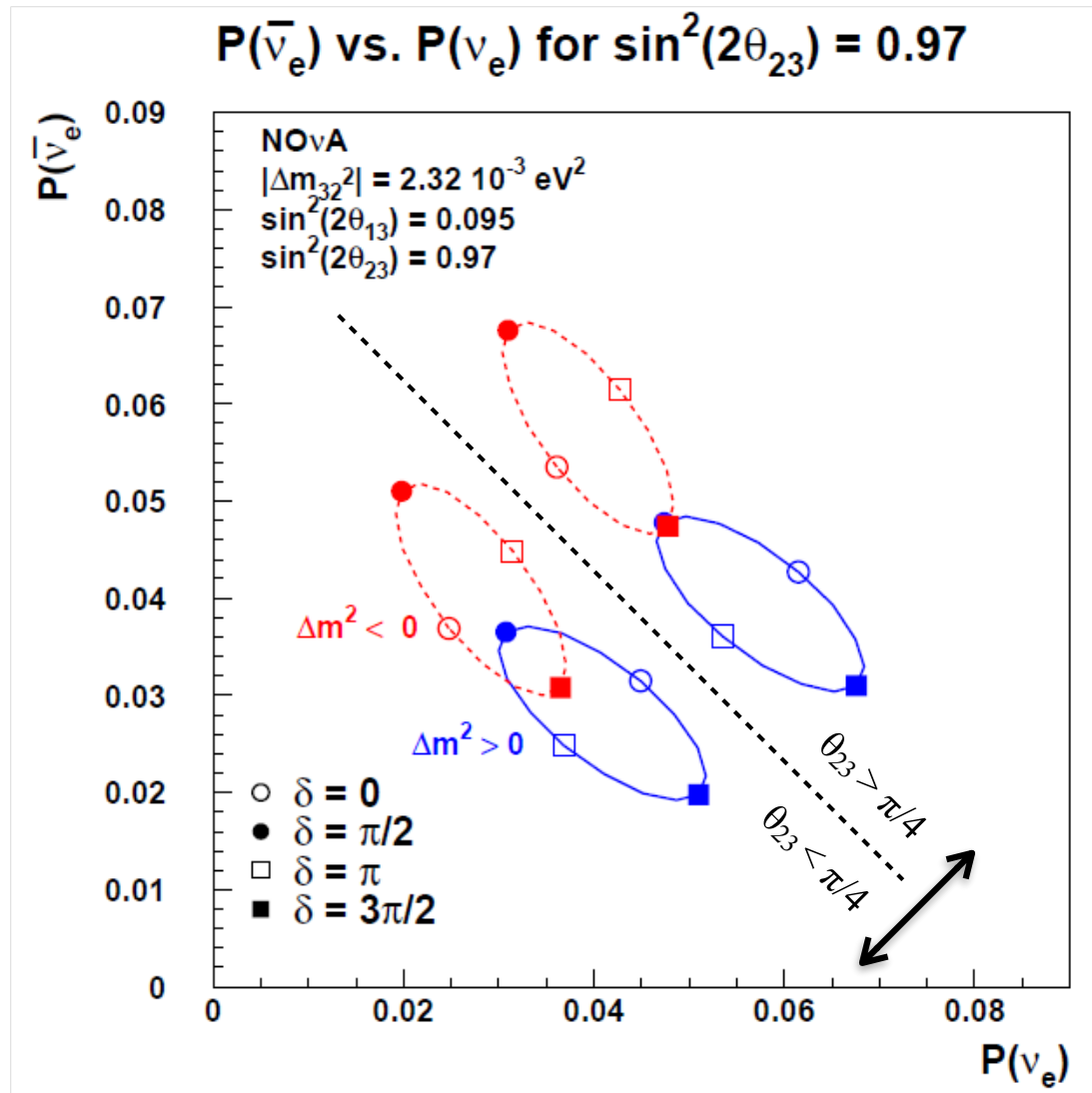


- ~22% effect for NOvA baseline, 11% for T2K

Shown for maximal  $\theta_{23}$



# $\theta_{23}$ Octant



$\nu_\mu$  disappearance  
 measures  $\sin^2(2\theta_{23})$

$\nu_e$  appearance depends in  
 leading order on  $\sin^2(\theta_{23})$

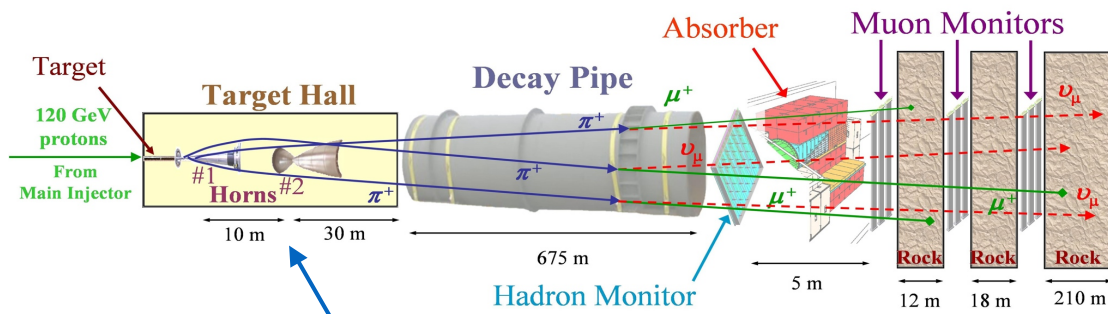
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  - Sensitivity to the above depends strongly on external constraint for  $\theta_{13}$  (from reactors)
  - $\nu_{\mu}$  disappearance improved sensitivity

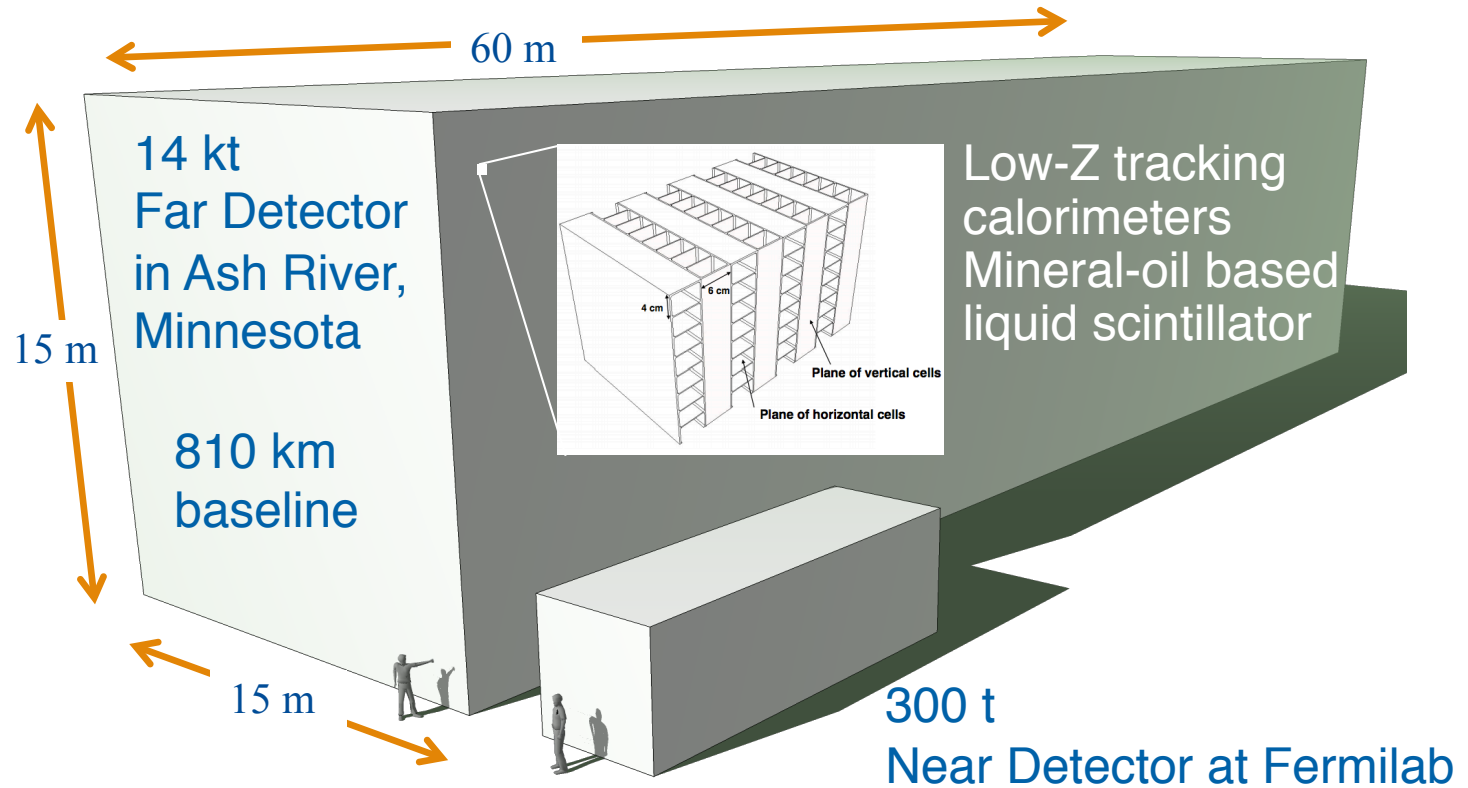
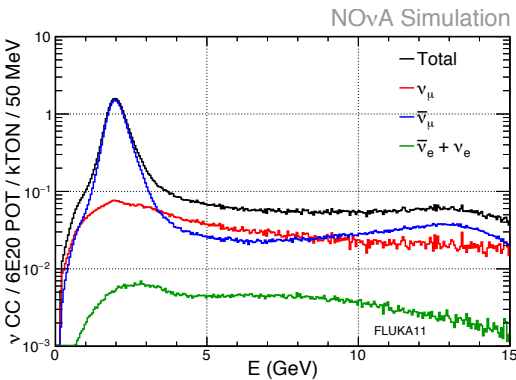
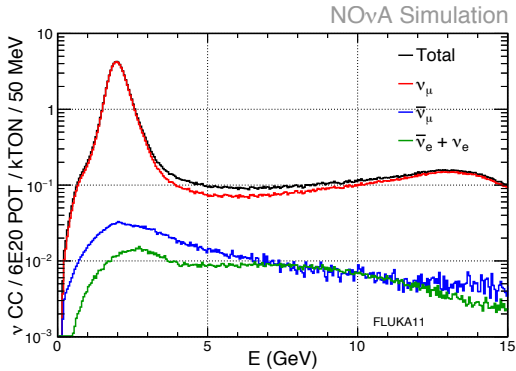
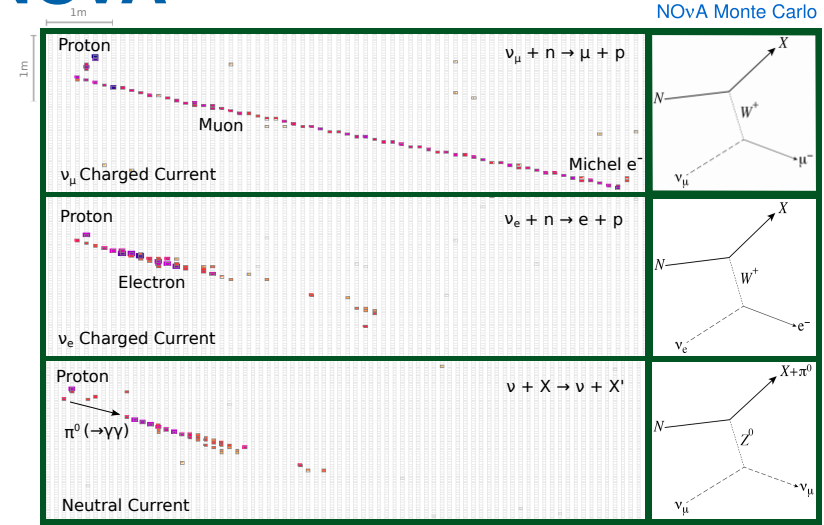
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  - $\nu_{\mu}$  disappearance improved sensitivity
- Neutral Current Disappearance
  - Sensitivity to effect of sterile neutrinos, e.g. constraints on  $\theta_{24}$ ,  $\theta_{34}$
- Non-standard interactions
  - Alternative interpretation of  $\nu_e$  vs  $\bar{\nu}_e$  appearance

# Current Long-Baseline Experiments - NOvA



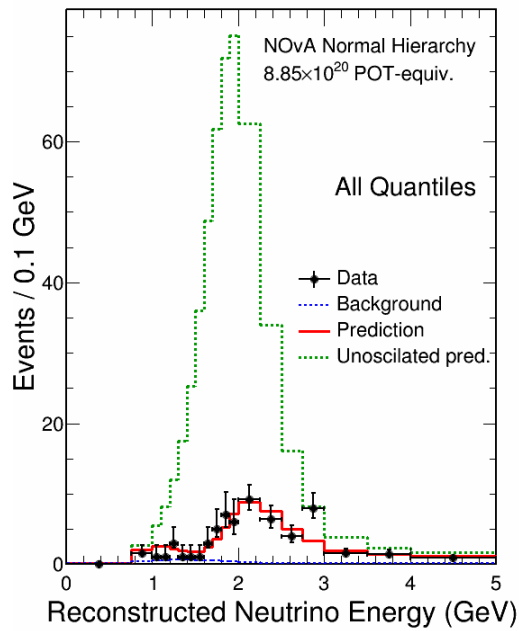
Horn-current polarity selects high-purity  $\nu_\mu$  or  $\bar{\nu}_\mu$



# Recent NOvA Results

- Based on all neutrino-mode data to-date
  - $8.85 \times 10^{20}$  protons-on-target (14-kt equivalent), collected since Feb. 2014

NOvA Preliminary

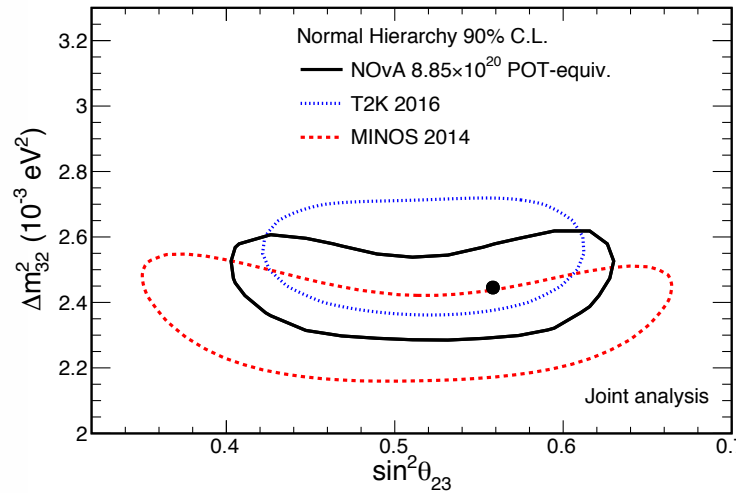


Observe

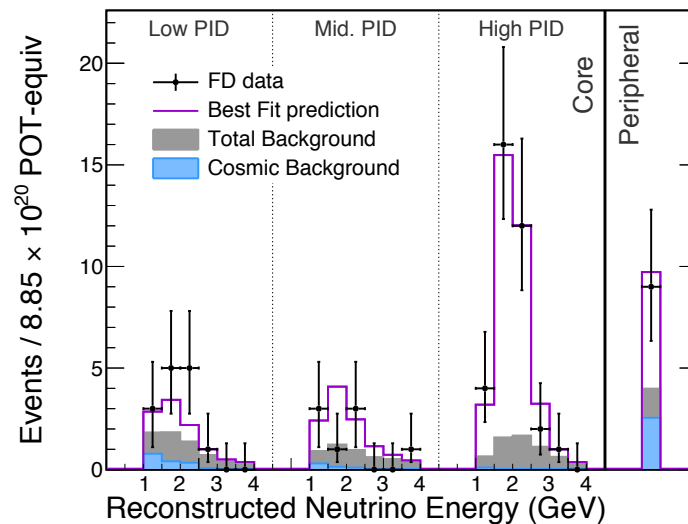
126  $\nu_\mu$  on background of 9763  $\pm$  33 without oscillations

66  $\nu_e$  on background of 21

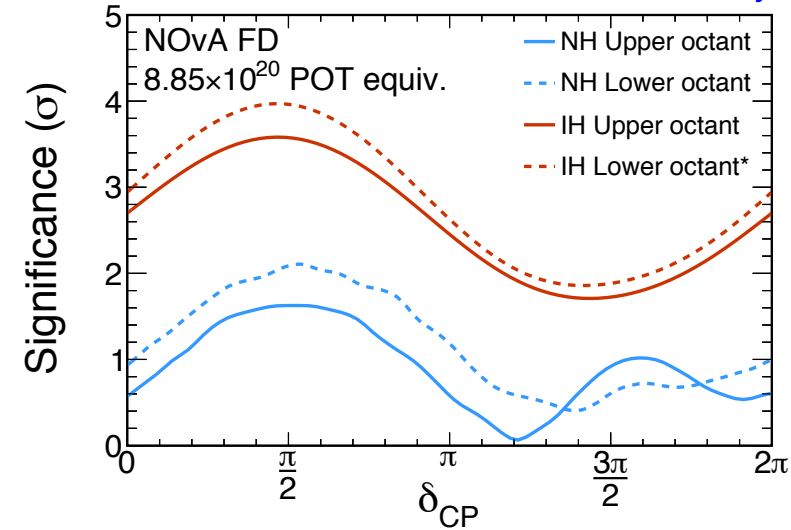
NOvA Preliminary



NOvA Preliminary



NOvA Preliminary



- Compatible with maximal  $\theta_{23}$  at  $0.8\sigma$
- No significant octant preference
- Normal Hierarchy is preferred at nearly  $2\sigma$
- Compatible with CP conservation

Best fit implies strong CPV effect





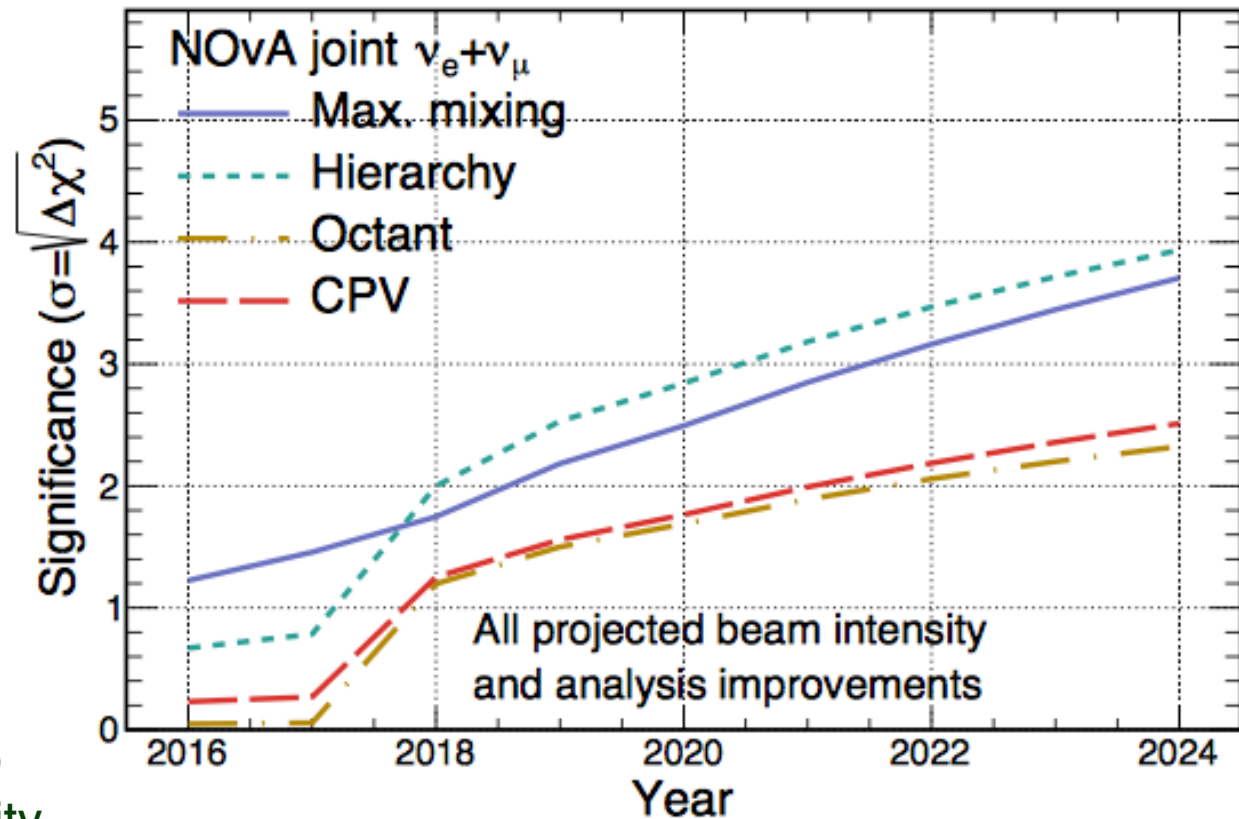
# NOvA in the Future

- NOvA has been collecting antineutrino-mode data since Feb. 2017 at 700 kW
- Working on first antineutrino results with  $\sim 7 \times 10^{20}$  protons-on-target
- Guidance from Program Planning: expect to run until 2024.

- Projected sensitivities: assume 800 kW in FY19, 900 kW in FY21, target and analysis improvements
- Sensitivities depend heavily on the assumed values of the parameters
  - Choose scenario that illustrates capabilities on all questions
  - $\sim 1$  sigma from our current best fit point
  - Other scenarios that are compatible with the data have better or worse sensitivity to Mass Hierarchy, Octant, maximality, generally weaker for CPV

Normal  $\delta_{CP} = 3\pi/2$ ,  $\sin^2\theta_{23} = 0.430$   
 $\Delta m_{32}^2 = 2.45 \times 10^{-3} \text{ eV}^2$ ,  $\sin^2 2\theta_{13} = 0.082$

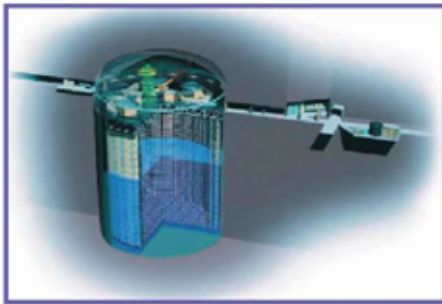
NOvA Simulation



# Current Long-Baseline Experiments - T2K

50 kt  
Water  
Cherenkov  
Far Detector

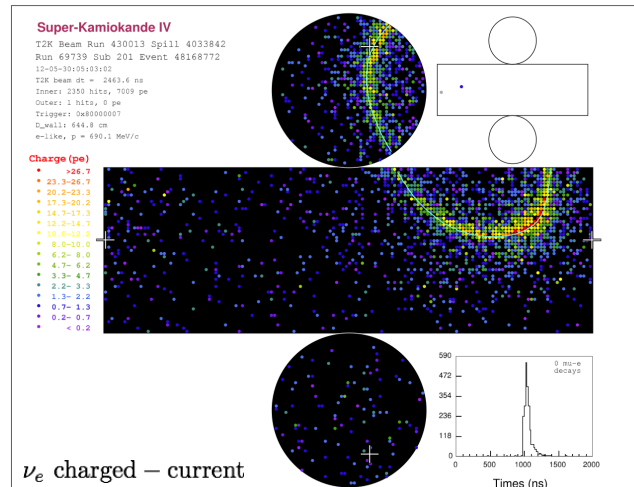
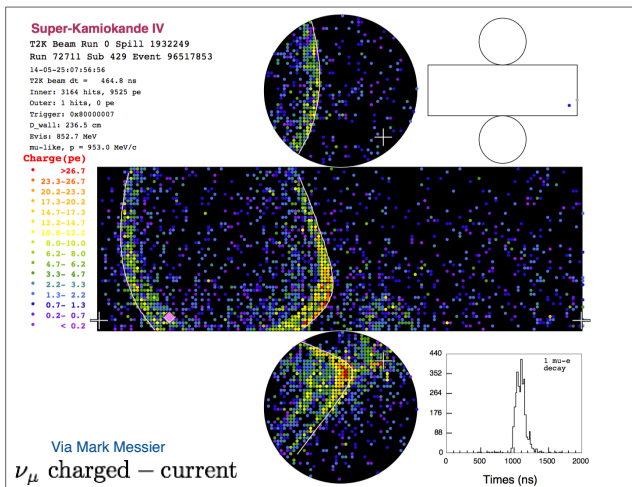
295 km  
baseline



Super-Kamiokande  
(ICRR, Univ. Tokyo)



J-PARC Main Ring  
(KEK-JAEA, Tokai)

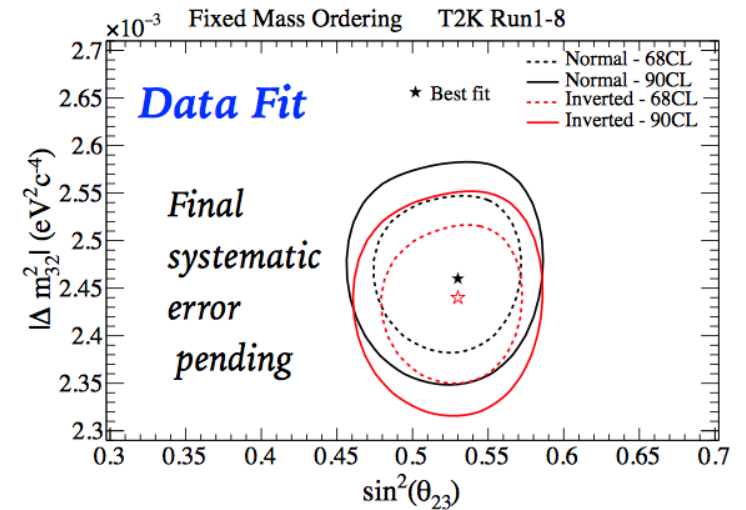
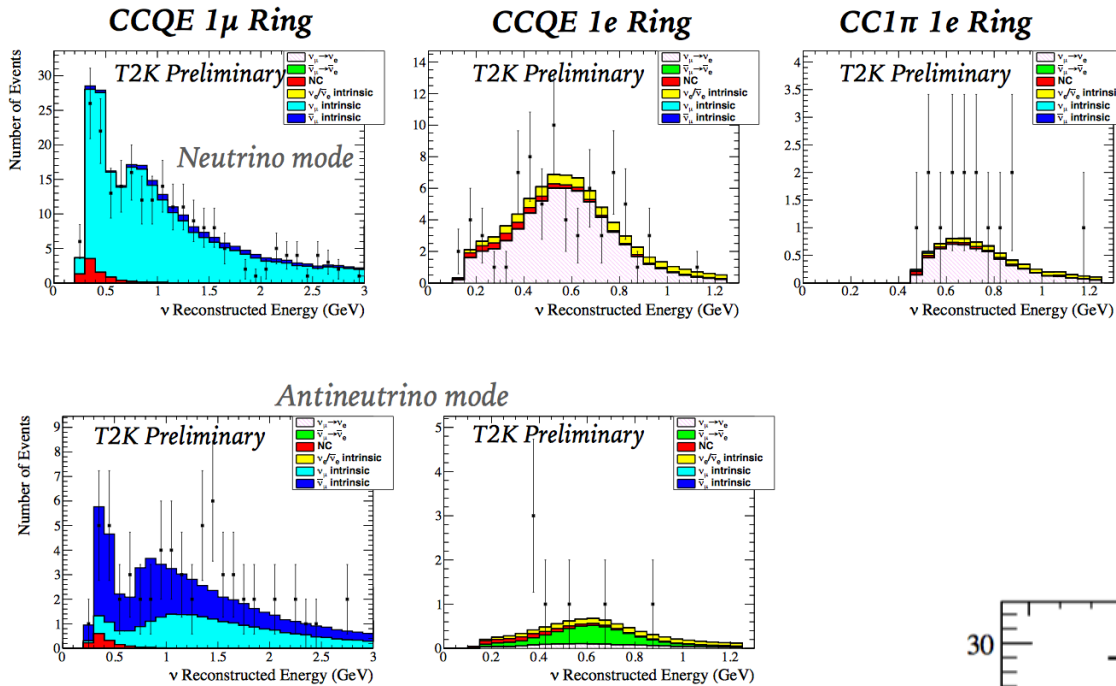


Neutrino and antineutrino  
mode from polarity of

# T2K Recent Results

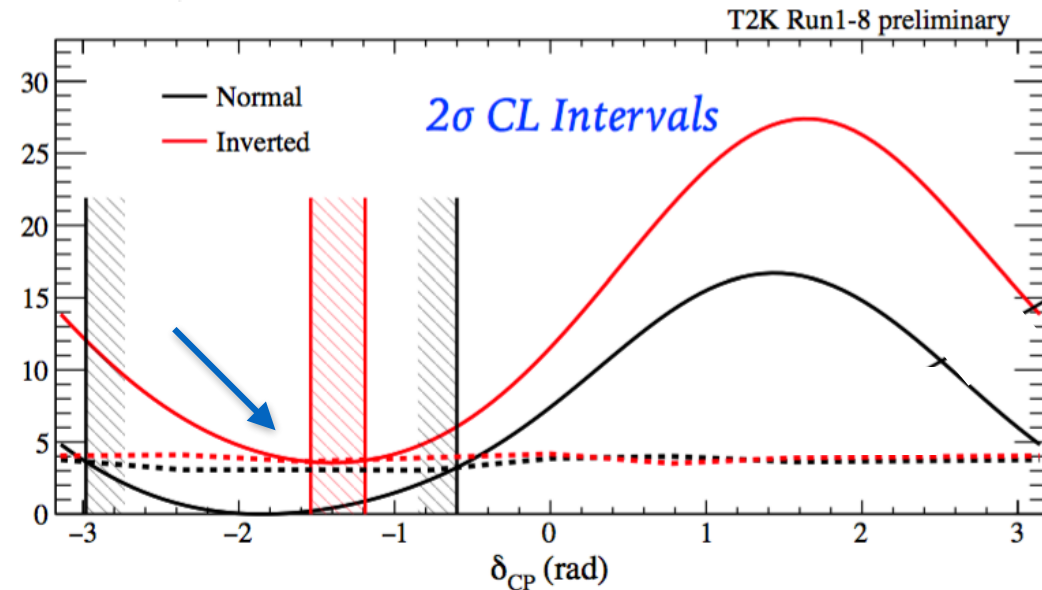
- $14 \times 10^{20}$  protons-on-target neutrino-mode,  $7 \times 10^{20}$  POT antineutrino-mode

Mark Hartz, KEK Colloquium, 8/4/17



89 (7) “ $\nu_e$ ” candidates in (anti)neutrino-mode  
240 (68) “ $\nu_\mu$ ” candidates in (anti)neutrino-mode

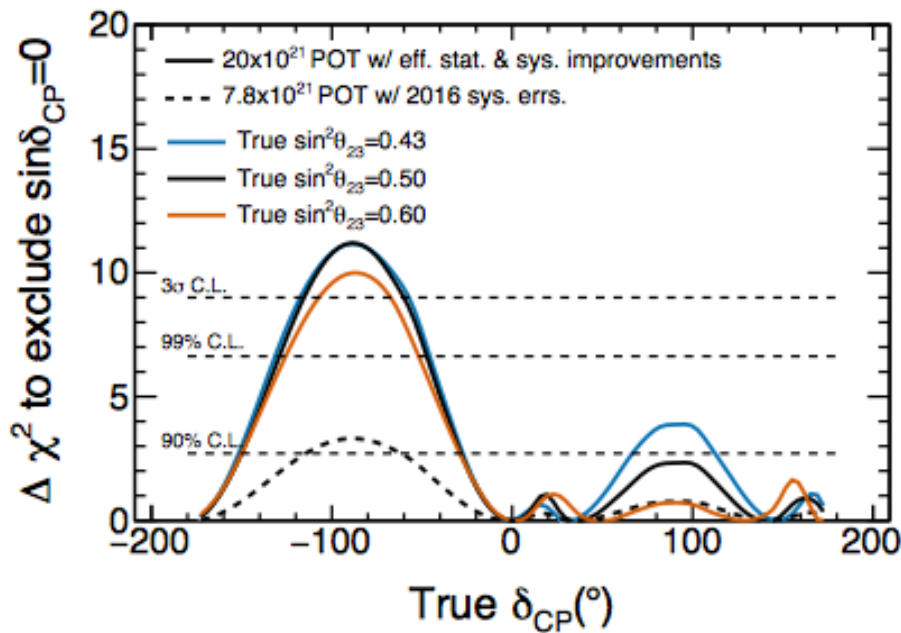
- Compatible with maximal  $\theta_{23}$
- Weak preference for upper octant
- CP conservation disfavored at  $2\sigma$
- Normal Hierarchy appears to be preferred at nearly  $2\sigma$



# T2K and the Future

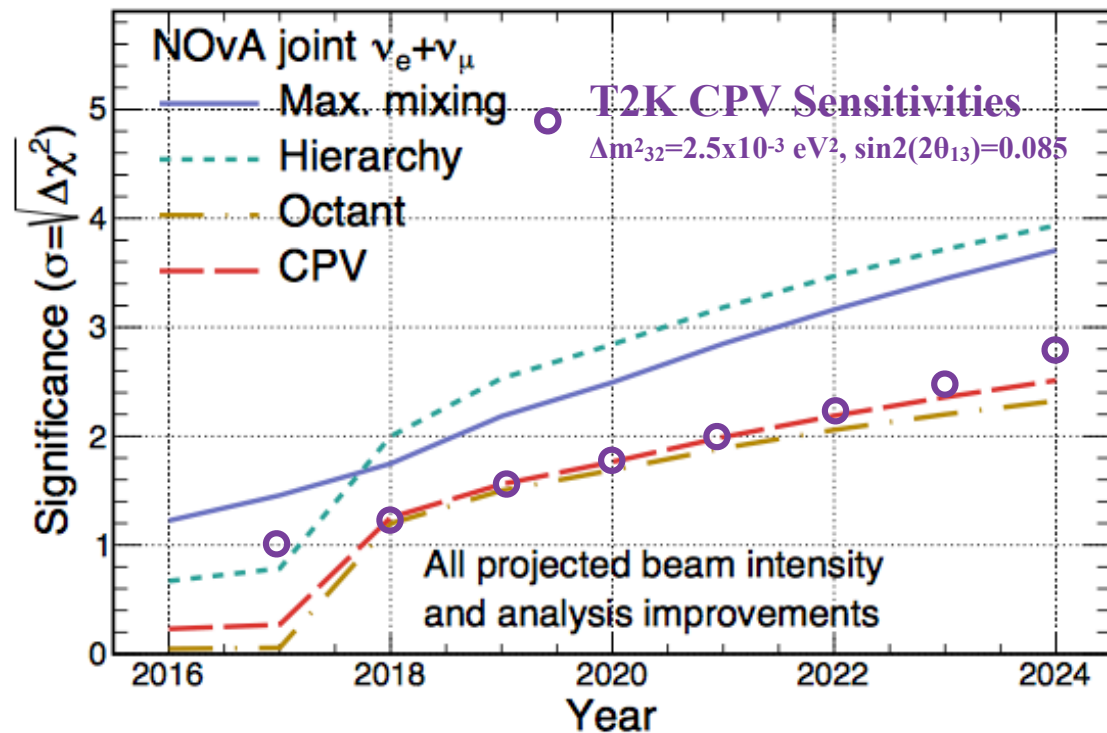
- T2K-II proposal
  - Go from approved  $78 \times 10^{20}$  POT to  $200 \times 10^{20}$  POT, with beam upgrades to 1.3 MW and running through 2026
  - Other beam and analysis improvements, reduction of systematic uncertainties by 1/3

**T2K-II CP-Violation Sensitivity by 2026 (arXiv:1609.0411)**



Normal  $\delta_{CP}=3\pi/2$ ,  $\sin^2\theta_{23}=0.430$   
 $\Delta m_{32}^2=2.45 \times 10^{-3} \text{ eV}^2$ ,  $\sin^2 2\theta_{13}=0.082$

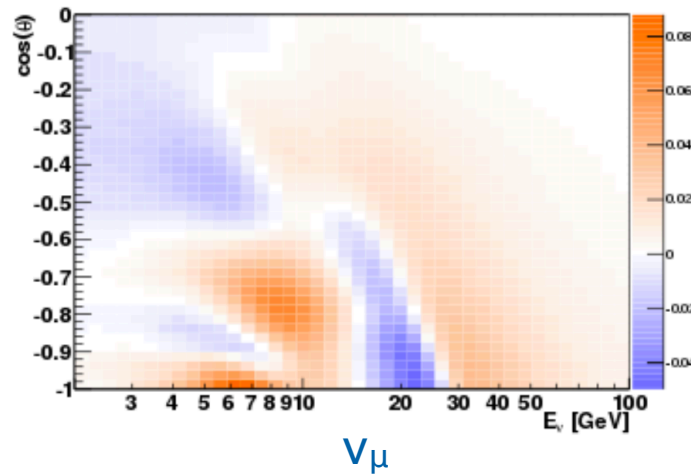
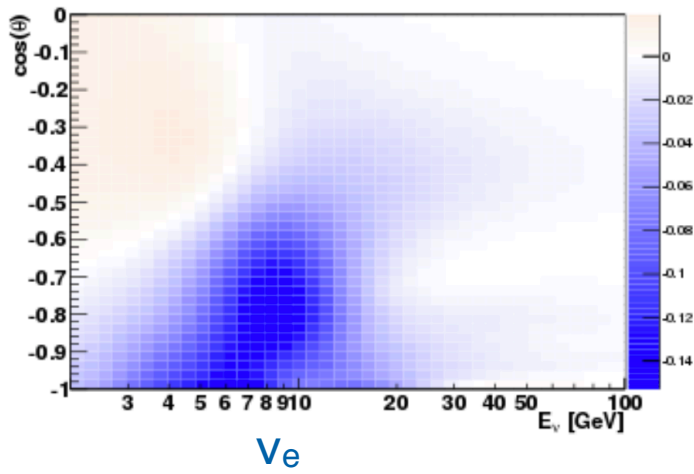
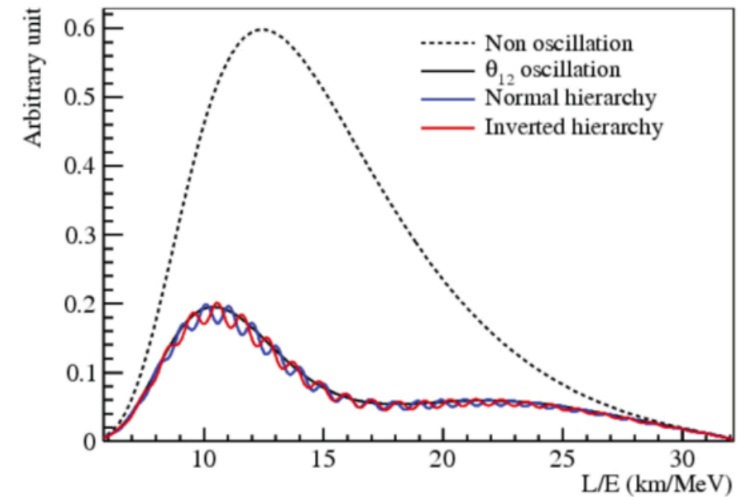
NOvA Simulation





# Other Potential Mass Hierarchy Measurements

- JUNO - medium baseline reactor measurement
  - 50 km baseline, from 36 GW reactor complex, far from others. Daya Bay-style detectors
  - Use interference between the two fast atmospheric components
  - $3\sigma$  by 2022/3
- ORCA-KM3Net - underwater atmospheric neutrino experiment
  - $3\sigma$  sensitivity in 3 years for a variety of scenarios, possibly by 2025



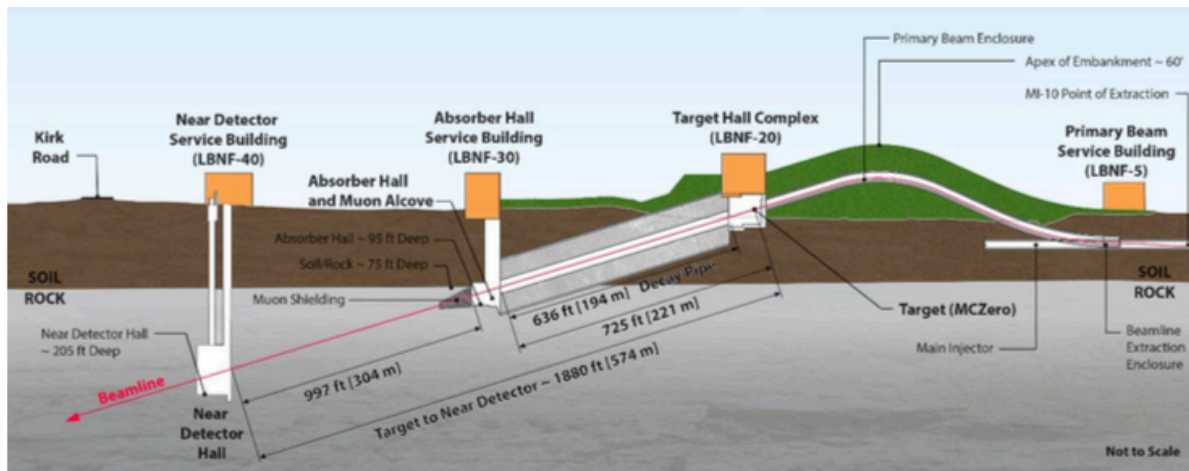
P. Coyle, arXiv:1701.01382

ORCA-KM3NET  
Event Rate Asymmetry  
from matter effect  
 $(N_{NH}-N_{IH})/N_{NH}$

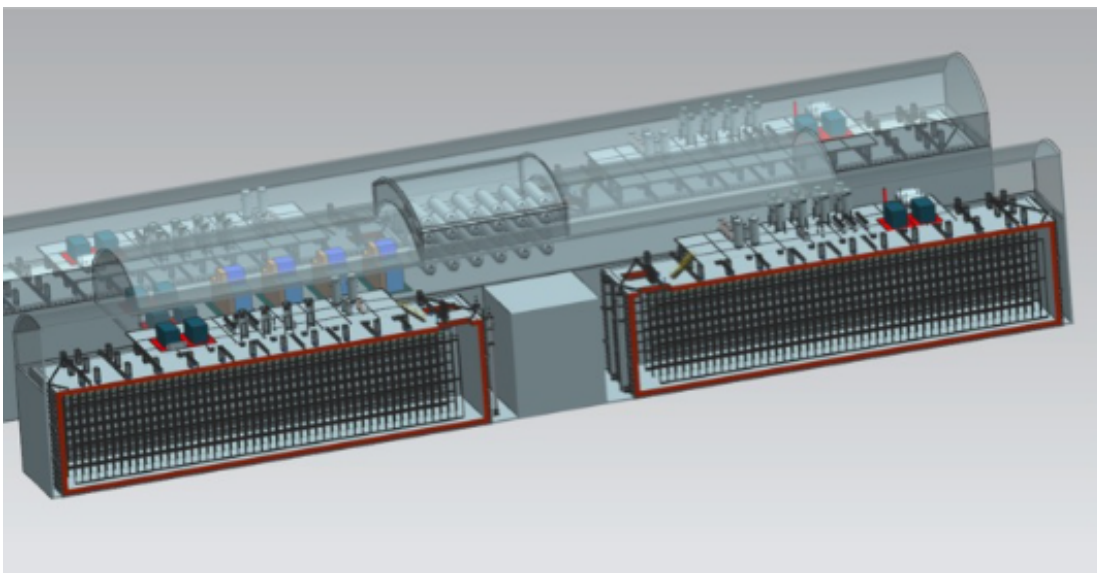


# DUNE

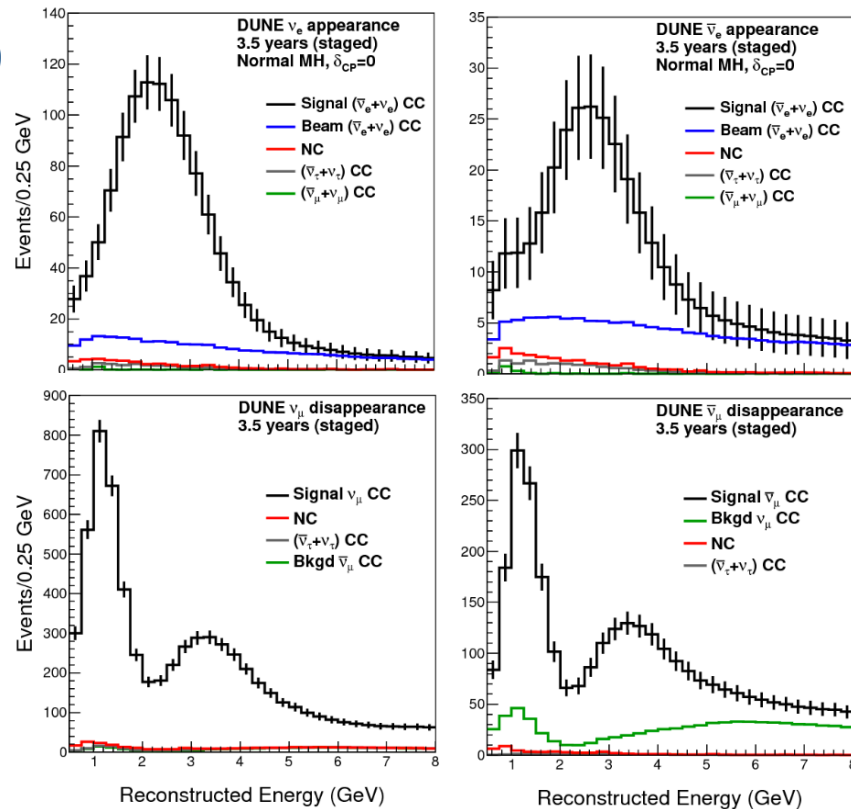
- 1.2 MW beam, upgradable to 2.4 MW
- Wide-band flux
- Near Detector at Fermilab
- Far Detector at SURF, 1300 km baseline
  - 40kt LArTPC modules in 4x10kt modules
  - Staging: 20kt in 2026, 30 kt in 2027, 40 kt in 2029
  - 2.1 MW in 2032 (technically limited schedule)



~1000  $\nu_e$  appearance events in 7 years

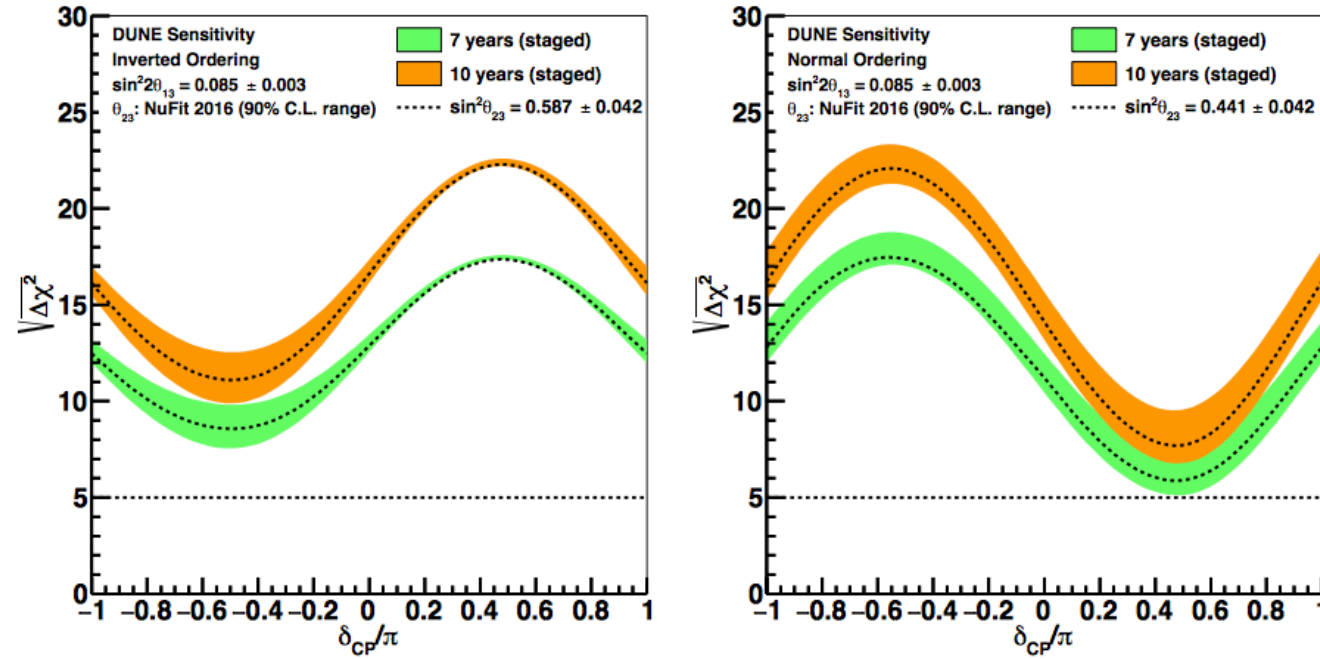


Dune CDR, arXiv:1601.02984



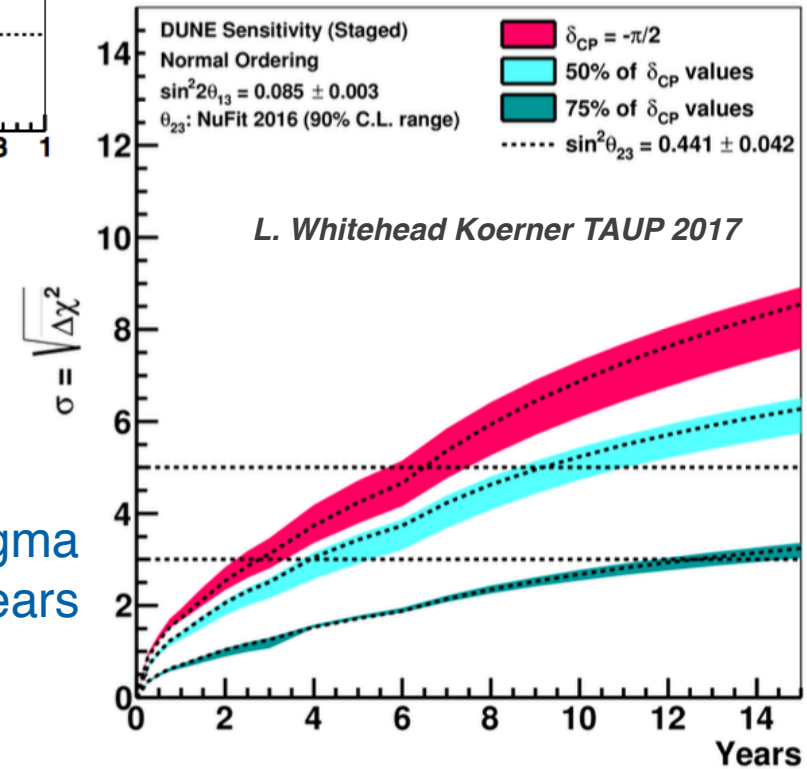
# DUNE Reach

J. Martin-Albo, arXiv:1710.08964



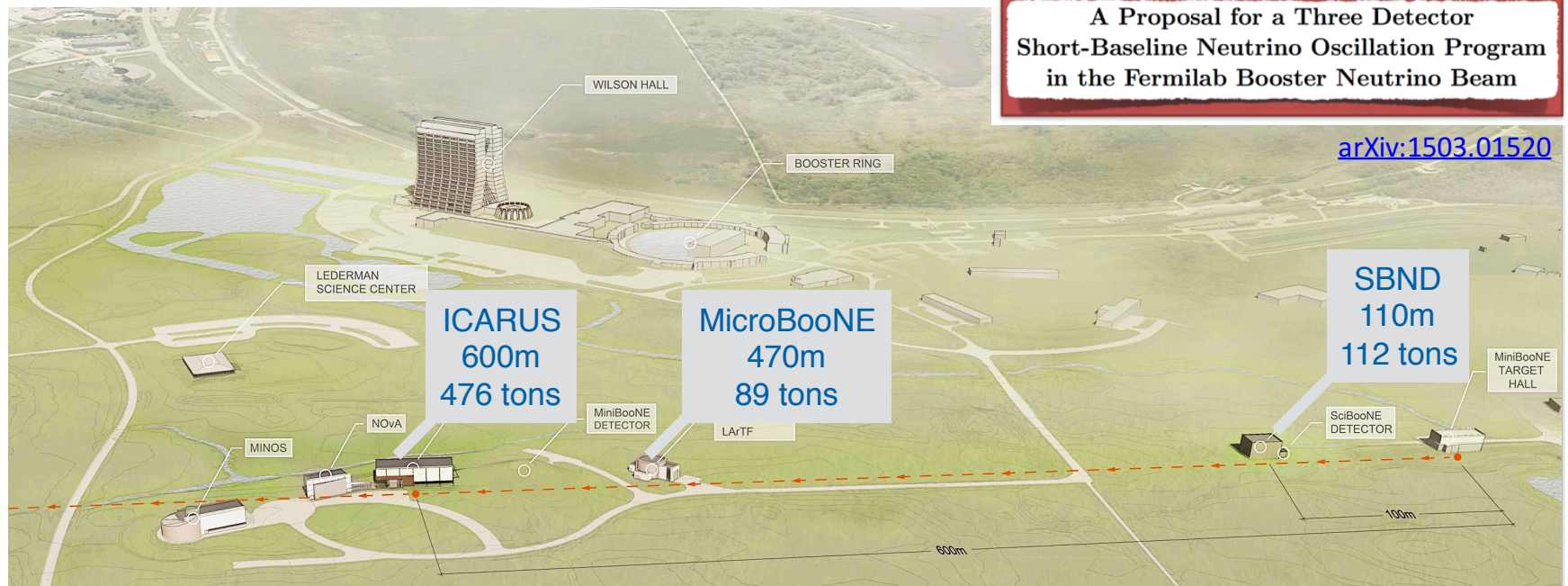
We should know the Mass Hierarchy to 5 sigma with 7 years of DUNE running

“Equal odds” of observing CP violation at 3 sigma or greater in 5 years, high chance in 12 years



# SBN

Courtesy P. Wilson

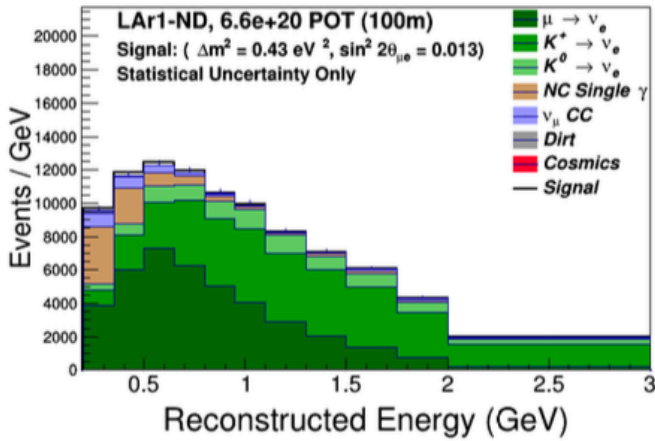


- 3 LArTPC Detectors in Booster Neutrino Beam
  - MicroBooNE, SBND,
- World-leading neutrino oscillation search at  $\Delta m^2 \sim 1 \text{ eV}^2$
- Status
  - MicroBooNE - taking data since 2015
  - Icarus - Detectors on site, expect installation this summer, ready for LAr fill and commissioning in 2019 (technical schedule)
  - SBND - TPC assembly Summer 2018, electronics production Fall 2018, complete cryostat 2018, to start cryostat & detector installation in 2019

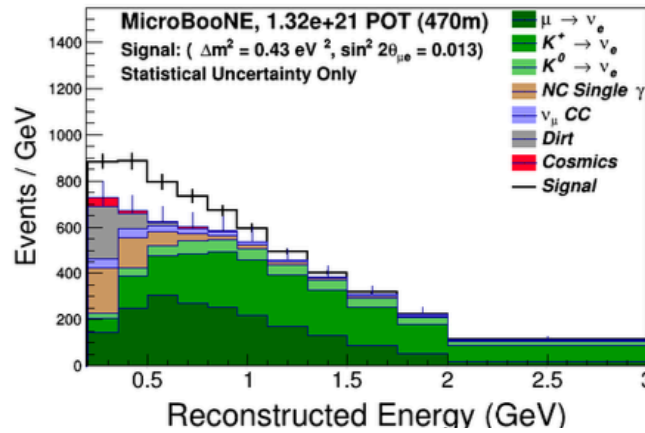


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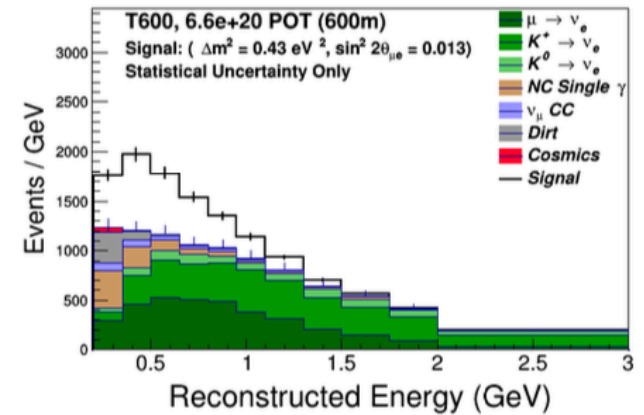
SBND



MicroBooNE



ICARUS [arXiv:1503.01520](https://arxiv.org/abs/1503.01520)

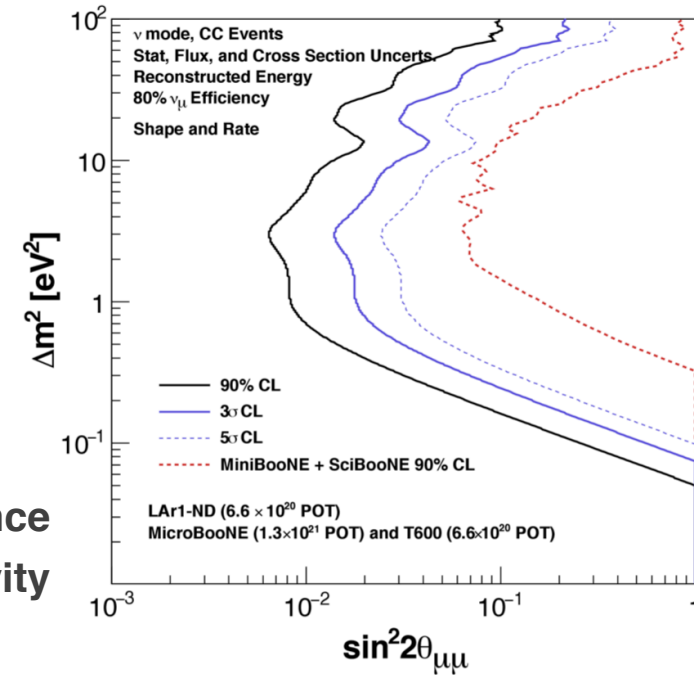


$\nu_e$  candidate spectra for 3-year nominal exposure (+existing MicroBooNE data)  
 $\nu_e$  appearance signal (white histogram) corresponds to central value of global fit to  
 eV scale effects by Kopp, et al., *JHEP 1305, 050 (2013)*

SBN  $\nu_e$   
 appearance sensitivity

By 2022-2023, could  
 have ~definitive answer  
 on question of eV-scale  
 sterile neutrino

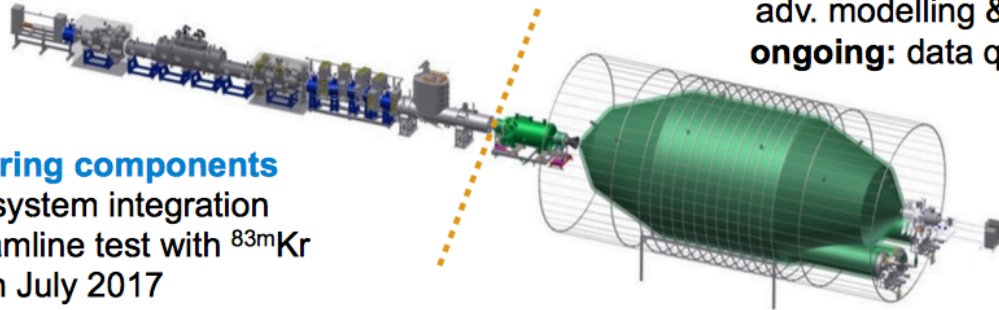
SBN  $\nu_\mu$  disappearance  
 sensitivity



# Beta Decay and Absolute Mass Measurement

- Measurement of  $\beta$ -decay endpoint spectra - challenge akin to  $0\nu\beta\beta$

Preparing KATRIN for neutrino-mass measurements:



## Tritium-bearing components

- now: final system integration
- overall beamline test with  $^{83m}\text{Kr}$  achieved in July 2017
- **next**: inactive commissioning with  $\text{D}_2$ , then  $\text{D}_2(\text{T}_2)$

**Analysis chain**  
adv. modelling & analysis framework  
**ongoing**: data quality filters, blinding

*K. Valerius, Erice, 1  
7 Sept. 2017*

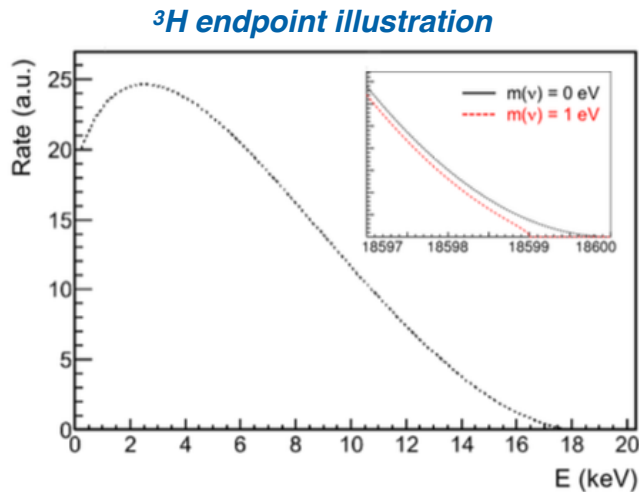
**Spectrometer & detector section**  
2 successful commissioning phases already done  
**ongoing**: background investigations

KATRIN  $^3\text{H}$  run  
to start this year  
 $10^{11}$  e-/s from  
Gaseous  $\text{T}_2$  source

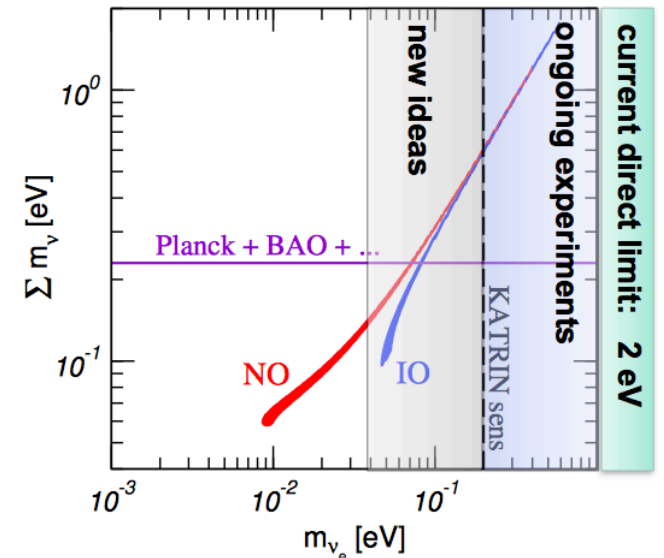
<1 e-/s in detector

→ **First tritium runs starting in 2018, inauguration ceremony: 11 June 2018**

*K. Valerius, Erice, 17 Sept. 2017*



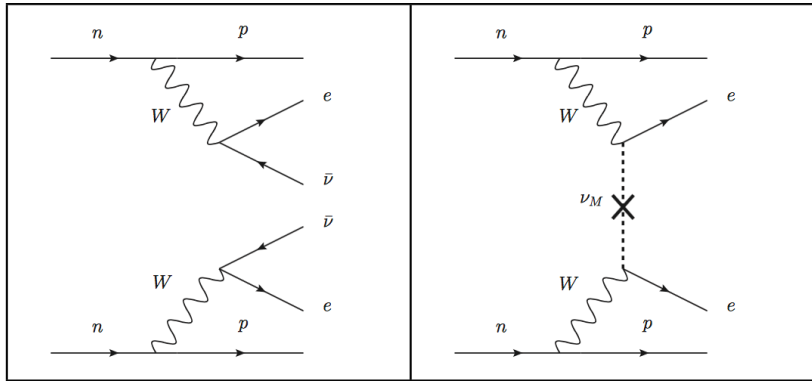
*S. Martens  
arXiv:605.01579*





# Neutrinoless Double Beta Decay

G. Benato. Fermilab Neutrino Seminar 11/30/17

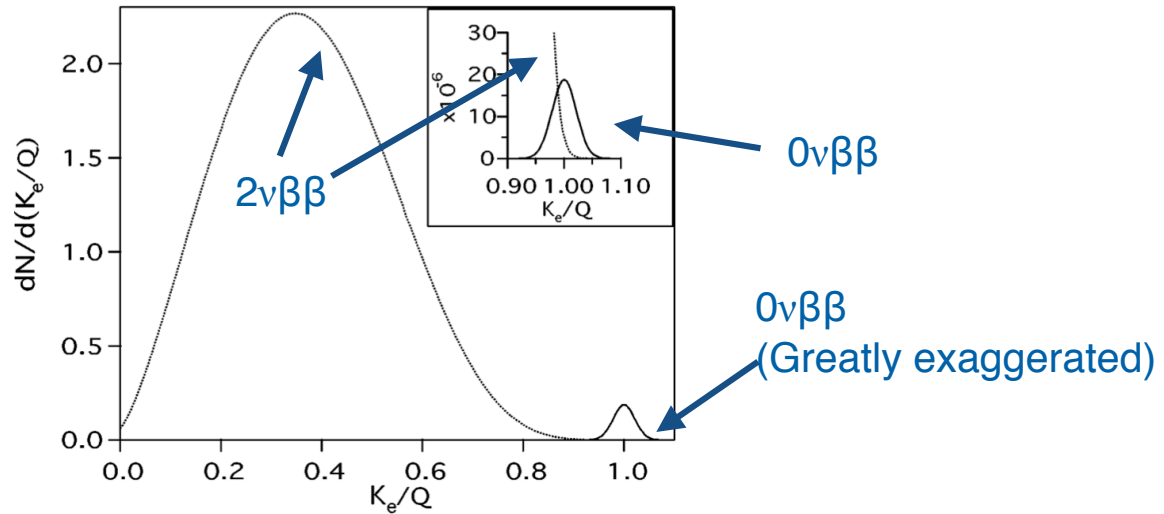


$2\nu\beta\beta$

$0\nu\beta\beta$

Majorana Neutrinos only.  
Violation of total lepton number

Ann.Rev.Nucl.Part.Sci. 52 (2002)



$2\nu\beta\beta$

$0\nu\beta\beta$

$0\nu\beta\beta$   
(Greatly exaggerated)

- Assuming the 3 light neutrinos as Majorana particles, rate

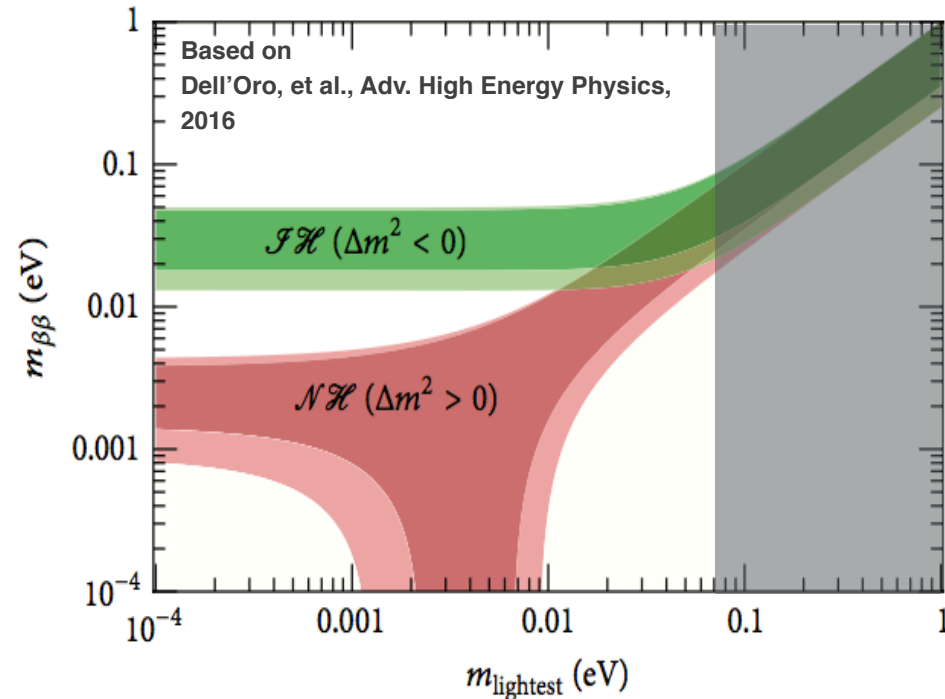
$$(T_{1/2})^{-1} = G_{0\nu} |\mathcal{M}_{0\nu}|^2 m_{\beta\beta}^2$$

- Phase space factor  $G$
- Effective neutrino mass

$$m_{\beta\beta} \equiv |m_1 c_{12}^2 c_{13}^2 + m_2 s_{12}^2 c_{13}^2 e^{i\alpha_{21}} + m_3 s_{13}^2 e^{i(\alpha_{31}-\delta)}|$$

- Nuclear Matrix Elements  $\mathcal{M}$  - known to  $\sim \times 2$

(The NME of precise calculation)



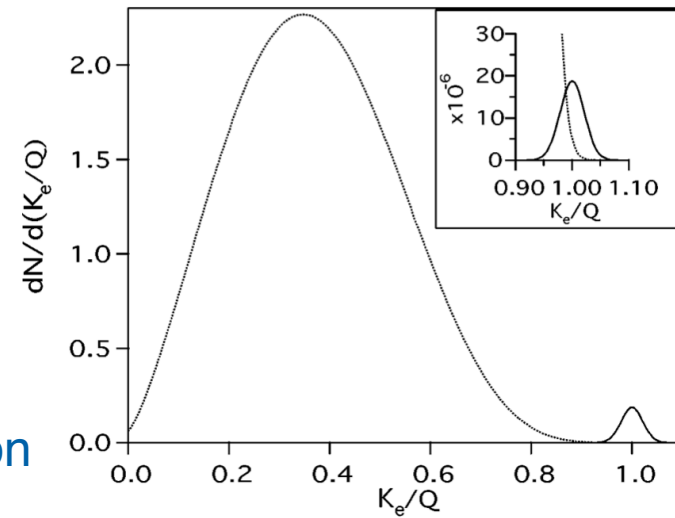
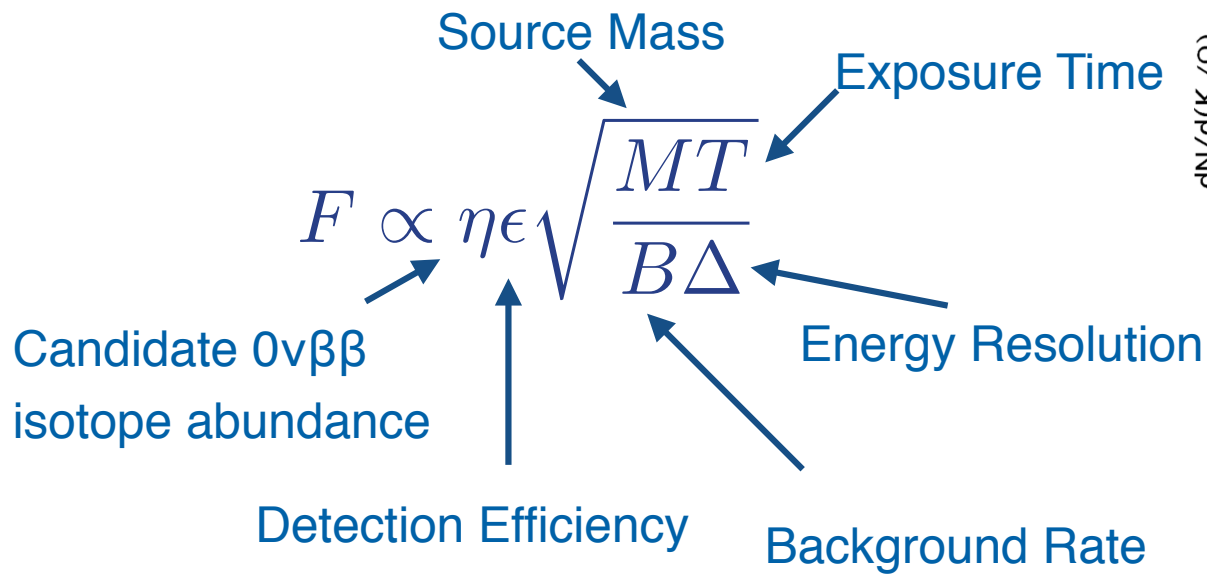
Based on  
Dell'Oro, et al., Adv. High Energy Physics,  
2016

$\mathcal{FH} (\Delta m^2 < 0)$

$\mathcal{NH} (\Delta m^2 > 0)$

# $0\nu\beta\beta$ Experimental Design

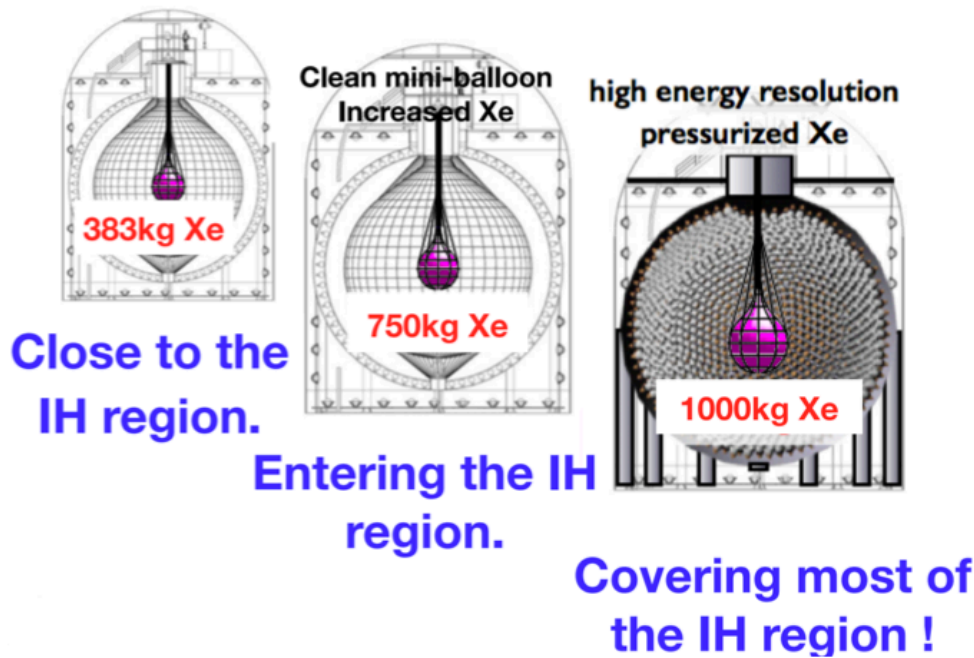
- Following Sisti *et al.*, Nuclear Physics B Proceedings Supplement 00 (2015) 1–7
- Figure of Merit



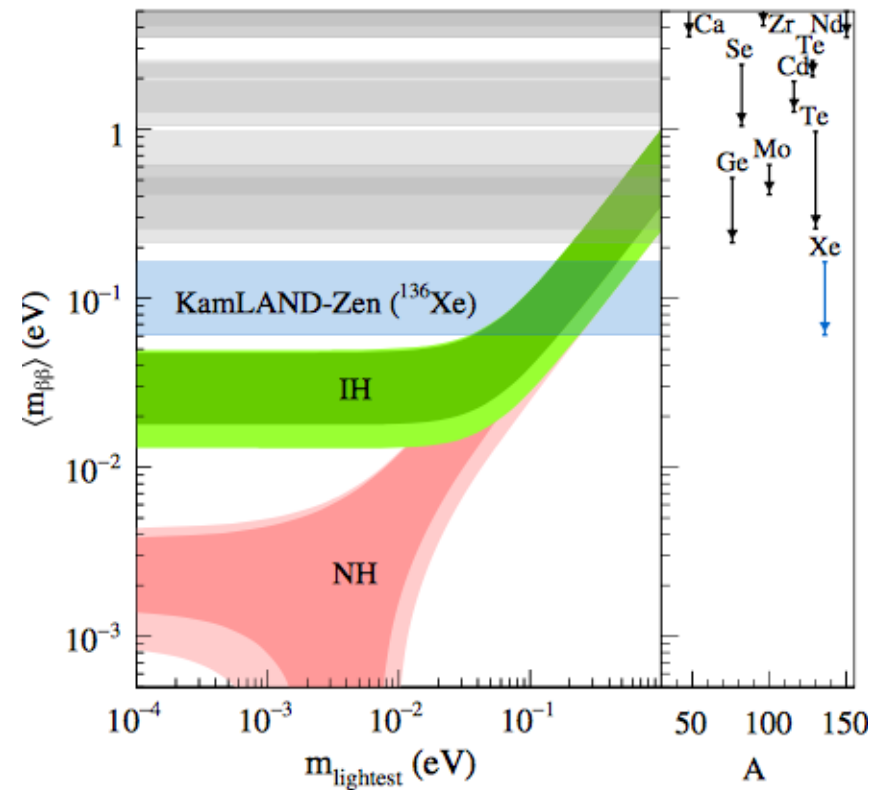
- Can trade Mass for Energy Resolution

# KamLAND-ZEN

- Best limits to-date
  - $^{136}\text{Xe}$  in Nylon ballon
  - Poorer resolution, huge mass
  - KamLAND-Zen 800 delayed due to balloon issues



Already approaching IH band

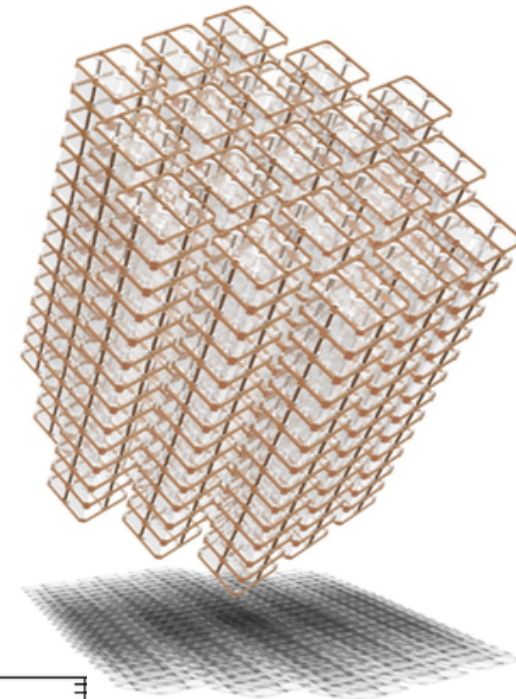


G. Benato, Fermilab Neutrino Seminar 11/30/17

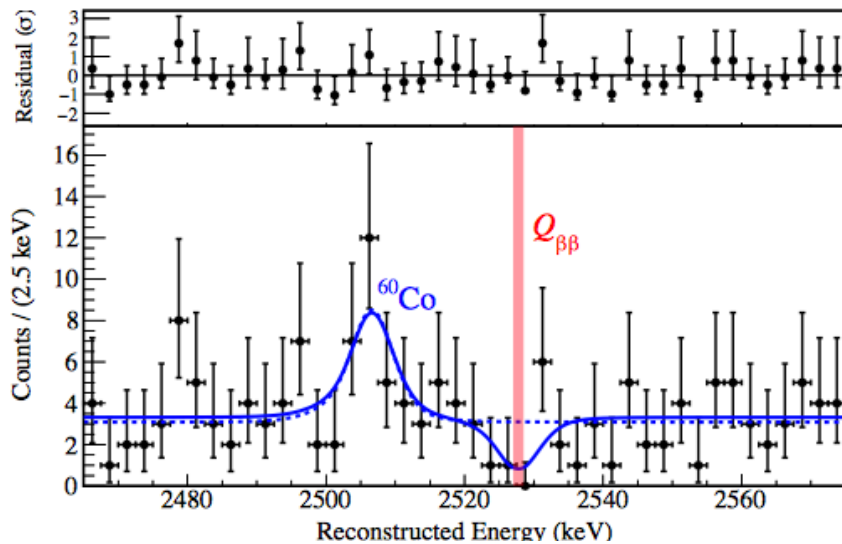
PRL 117, 082503 (2016)

# CUORE

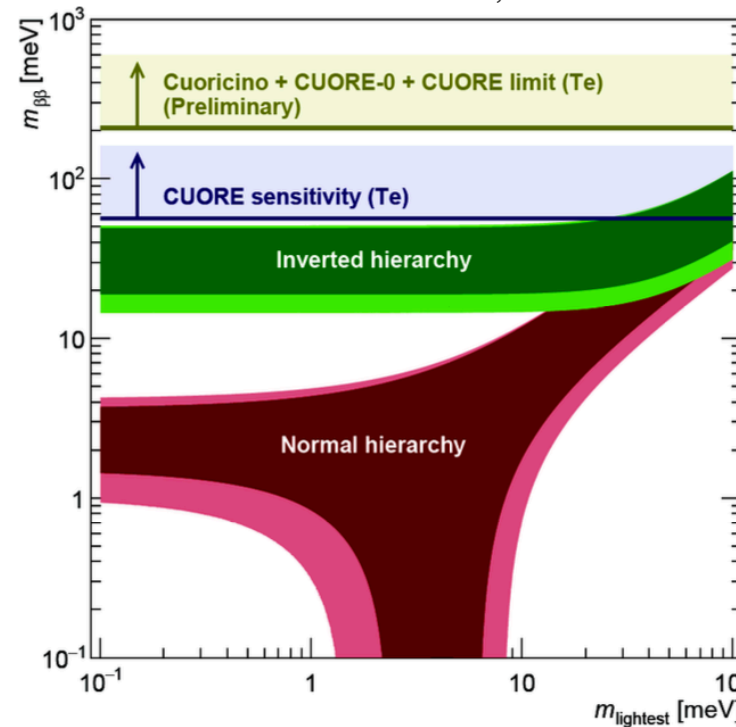
- TeO<sub>2</sub> bolometers - highly sensitive cryogenic thermometry
  - 741 kg total, 206 kg of <sup>130</sup>Te
  - Effective energy resolution 7.5 keV, for Q<sub>ββ</sub> 2.5 MeV
  - With 86 kg-yr exposure to date, observe 151 events in ROI (2465-2575 keV)
  - Fit to 0νββ peak, floating <sup>60</sup>Co BG γ peak, flat continuum BG
    - Best fit  $\Gamma_{0\nu} = (-1.0 + (0.4 - 0.3) + / 0.1) \times 10^{-25} / \text{yr}$ ,  $T^{0\nu}_{1/2} > 1.4 \times 10^{25} \text{ yr}$



M. Sisti, Erice 2017



PRL 120, 132501 (2018)

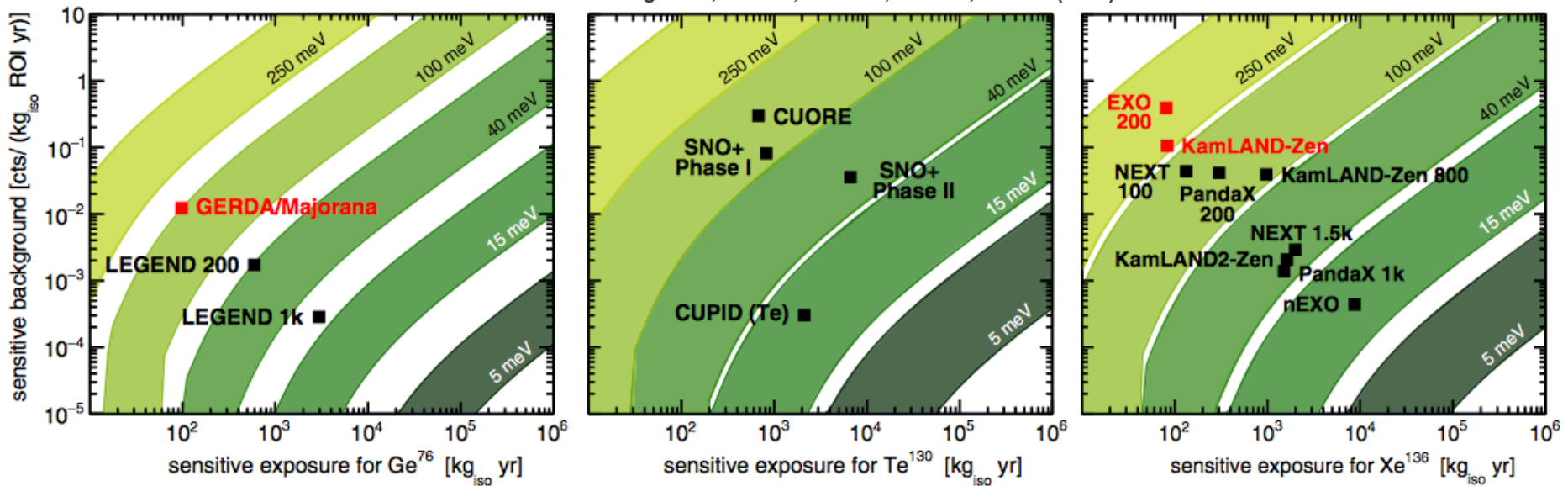


$m_{\beta\beta}$  limits from earlier preliminary result, with projection

Projection ~current KamLAND-ZEN limit

# Overview

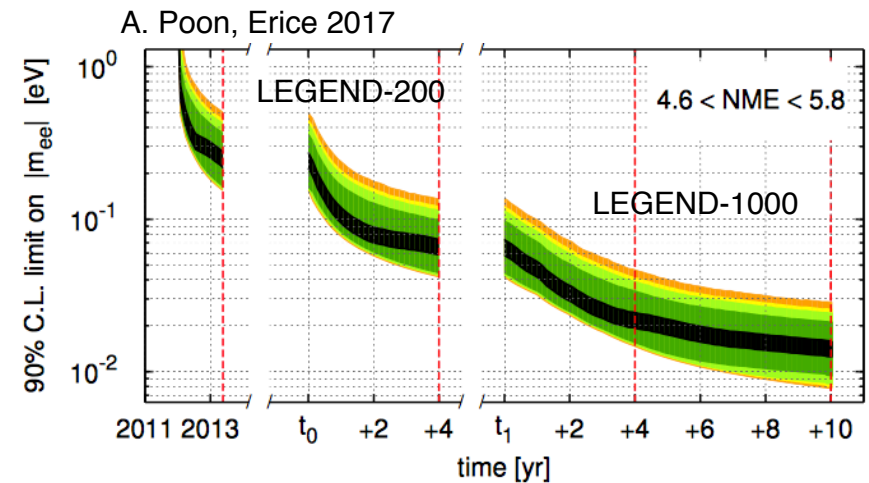
Agostini, Benato, Detwiler, PRD 96, 053001 (2017)



- 5-year discovery potential vs. signal exposure and background
  - Caveat: the relative strength of KamLAND-Zen and GERDA is not reflected in current limits

- Challenge is estimating  $T_{0,1,2,\dots}$ 
  - Technical schedule: LEGEND-200 start 2021 - cover IH by 2026
  - 5-10 years R&D for LEGEND-1000? Start in 2028 or later? Much of IH range by 2038?

(LEGEND is follow-on of GERDA and MAJORANA)



# Prognostications

- “Unless we’re unlucky” applies

2018

2026

2036

Possible  $3+\sigma$   
Mass Hierarchy  
Determination from  
several experiments,  
independent methods

Possible  $2-3\sigma$   
CP-violation from 2  
experiments  
Possible  $3\sigma$  Octant  
Determination

Definitive answer to  
eV-scale neutrino

Starting to probe  $0\nu\beta\beta$   
for Inverted Hierarchy

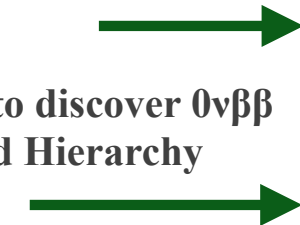
$0.2\text{ eV } m_{\beta\beta}$  sensitivity

$5\sigma$   
Mass Hierarchy  
Determination

Probable  $3\sigma$   
CP-violation

Likely  $3\sigma$   
CP-violation

“Likely” to discover  $0\nu\beta\beta$   
if Inverted Hierarchy



# Extras



# The Open Questions and Long-baseline Oscillation Experiments

- Long-baseline Muon Neutrino Disappearance

- To leading order, neglecting  $\Delta m^2_{21}$ ,  $\theta_{13}$

$$P(\nu_\mu \rightarrow \nu_\mu) \approx 1 - \sin^2(2\theta_{23}) \sin^2\left(1.27 \Delta m^2_{32} \frac{L}{E}\right)$$

- Note: degenerate in  $\Delta m^2_{32} \leftrightarrow -\Delta m^2_{32}$  (Mass Hierarchy),  $\pi/4 - \theta_{23} \leftrightarrow \theta_{23} - \pi/4$  (Octant)

- Electron Neutrino Appearance

- $P(\nu_\mu \rightarrow \nu_e) \cong P_{\text{Atm}} + P_{\sin\delta} + P_{\cos\delta} + P_{\text{Sol}}$  *DUNE Science Report and References*

$$P_{\text{Atm}} = \sin^2\theta_{23} \sin^2 2\theta_{13} \frac{\sin^2[(A-1)\Delta]}{(A-1)^2}$$

$$\Delta = \Delta m^2_{31} L / 4E$$

$$\alpha = |\Delta m^2_{21}| / |\Delta m^2_{31}|$$

$$A = \sqrt{2} G_F N_e 2E / \Delta m^2_{31} \quad \text{Matter Effect}$$

$$J_{CP} \propto \sin \delta \quad \text{CP violating phase}$$

$$P_{\text{Sol}} = \alpha^2 \cos^2\theta_{23} \sin^2 2\theta_{12} \frac{\sin^2(A\Delta)}{A^2}$$

$$P_{\sin\delta} = \alpha 8 J_{CP} \sin\Delta \sin(A\Delta) \frac{\sin[(1-A)\Delta]}{A(1-A)}$$

$$P_{\cos\delta} = \alpha 8 J_{CP} \cot\delta_{CP} \cos\Delta \sin(A\Delta) \frac{\sin[(1-A)\Delta]}{A(1-A)}$$

A and  $\delta$  change sign for antineutrinos

$\Delta$  depends explicitly on sign of  $\Delta m^2_{31}$