



# International Neutrino Summer School Group 2

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1.1) Show that only for a free massless fermion the chirality eigenstates are also helicity eigenstates.

Starting from the Dirac equation:

$$(\gamma^\mu - m) \psi = 0$$

$$\begin{pmatrix} E - m & -\vec{p} \cdot \vec{\sigma} \\ \vec{p} \cdot \vec{\sigma} & -(E + m) \end{pmatrix} \begin{pmatrix} \phi \\ \chi \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix}$$

$$\phi = \frac{\vec{p} \cdot \vec{\sigma}}{E - M} \chi \qquad \chi = \frac{\vec{p} \cdot \vec{\sigma}}{E + M} \psi$$

1.1) Show that only for a free massless fermion the chirality eigenstates are also helicity eigenstates.

Now we consider the left chiral state:

$$\psi_L = \frac{1}{2} (1 - \gamma^5) \psi = \frac{1}{2} \begin{pmatrix} \phi - \chi \\ \chi - \phi \end{pmatrix}$$

Considering only the top component:

$$\begin{aligned} \frac{1}{2} (\phi - \chi) &= \frac{1}{2} \left( 1 - \frac{\vec{p} \cdot \vec{\sigma}}{E + m} \right) \phi = \frac{1}{2} \left( 1 - \frac{p_z \sigma_z}{E + m} \right) \begin{pmatrix} \phi_+ \\ \phi_- \end{pmatrix} \\ &= \frac{1}{2} \left( 1 - \frac{p_z}{E + M} \right) \phi_+ + \frac{1}{2} \left( 1 + \frac{p_z}{E + M} \right) \phi_- \end{aligned}$$

1.2) Show that the mass matrix  $M$  of a Majorana mass must be symmetric  $M_{ij}=M_{ji}$ .

$$\mathcal{L}_{\text{mass}} = \frac{1}{2} \left( (\bar{\nu})^C M \nu + \bar{\nu} M^T (\nu)^C \right)$$

$$\Rightarrow \mathcal{C} \mathcal{L}_{\text{mass}} = \frac{1}{2} \left( \bar{\nu} M (\nu)^C + (\bar{\nu})^C M^T \nu \right)$$

$$M^T = M$$

1.3) Show that if there are  $N=3+s$  massive neutrinos, the leptonic mixing matrix is dimension  $3 \times N$  and contains  $3s+3$  physical angles and  $2s-1$  phases for Dirac neutrinos and  $3s+3$  for Majorana neutrinos

$$\mathcal{L}_{CC} + \mathcal{L}_M = -\frac{g}{\sqrt{2}} \bar{\ell}_{L,i}^W \gamma^\mu \nu_i^W W_\mu^+ - \bar{\ell}_{L,i}^W M_{\ell,ij} \ell_{R,j}^W - \frac{1}{2} \bar{\nu}_i^C M_{\nu,ij} \nu_j^W$$

$\Rightarrow U_{LEP}$  must be  $3 \times N$

In general, a  $3 \times N$  matrix can be parametrized as:

$$U_{LEP} = \begin{pmatrix} a_{11} e^{i\phi_{11}} & \dots & a_{1N} e^{i\phi_{1N}} \\ a_{21} e^{i\phi_{21}} & \dots & a_{2N} e^{i\phi_{2N}} \\ a_{31} e^{i\phi_{31}} & \dots & a_{3N} e^{i\phi_{3N}} \end{pmatrix}$$

1.3) Show that if there are  $N=3+s$  massive neutrinos, the leptonic mixing matrix is dimension  $3 \times N$  and contains  $3s+3$  physical angles and  $2s-1$  phases for Dirac neutrinos and  $3s+3$  for Majorana neutrinos

However, we know that  $U_{\text{LEP}} U_{\text{LEP}}^\dagger = 1_3$

$\Rightarrow$

$$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} = \begin{pmatrix} a_{11}e^{i\phi_{11}} & \dots & a_{1N}e^{i\phi_{1N}} \\ a_{21}e^{i\phi_{21}} & \dots & a_{2N}e^{i\phi_{2N}} \\ a_{31}e^{i\phi_{31}} & \dots & a_{3N}e^{i\phi_{3N}} \end{pmatrix} \begin{pmatrix} a_{11}e^{-i\phi_{11}} & a_{21}e^{-i\phi_{21}} & a_{31}e^{-i\phi_{31}} \\ \vdots & \vdots & \vdots \\ a_{1N}e^{-i\phi_{1N}} & a_{2N}e^{-i\phi_{2N}} & a_{3N}e^{-i\phi_{3N}} \end{pmatrix}$$

$$= \begin{pmatrix} \sum_{j=1}^{j=N} a_{1j}^2 & a_{1j}a_{2j}e^{i(\phi_{1j}-\phi_{2j})} & a_{1j}a_{3j}e^{i(\phi_{1j}-\phi_{3j})} \\ a_{1j}a_{2j}e^{-i(\phi_{1j}-\phi_{2j})} & \sum_{j=1}^{j=N} a_{2j}^2 & a_{2j}a_{3j}e^{i(\phi_{2j}-\phi_{3j})} \\ a_{1j}a_{3j}e^{-i(\phi_{1j}-\phi_{3j})} & a_{2j}a_{3j}e^{-i(\phi_{2j}-\phi_{3j})} & \sum_{j=1}^{j=N} a_{3j}^2 \end{pmatrix}$$

1.4) The decay width for  $\beta$  decay  $N \rightarrow Pe^- \bar{\nu}_e$  after integrating over the proton momentum is

$$d\Gamma = G_F^2 \cos^2 \theta_C F(E, Z) 2\pi \sum_{spin} \sum_i |U_{ei}|^2 |\bar{u}_e(p) \gamma^0 (1 - \gamma^5) v_{\nu_i}(k)|^2 \frac{d^3 p}{(2\pi)^3 2E} \frac{d^3 k}{(2\pi)^3 2\omega} \delta(E_0 - E - \omega)$$

$G_F$  is the Fermi constant,  $\theta_C$  is Cabbibo's angle,  $F(E, Z)$  a Coulomb factor,  $E_0$  is the mass difference between the initial and final nuclei,  $E$  is the electron energy and  $\omega$  is the neutrino energy. Obtain the electron energy spectrum  $d\Gamma/dE$  and show that the Kurie function

$$K(T) \equiv \left[ \frac{\frac{d\Gamma}{dE}}{C F(E, Z) |\vec{p}| E} \right]^{1/2} = \sqrt{(Q - T) \sum_i |U_{ei}|^2 \sqrt{(Q - T)^2 - m_i^2}} \simeq \sqrt{(Q - T) \sqrt{(Q - T)^2 - \sum_i |U_{ei}|^2 m_i^2}}$$

with  $Q = E_0 - m_e$ ,  $T = E - m_e$ ,  $C = G_F^2 \cos^2 \theta_C / \pi^3$ . Show that the last equality holds only for  $Q - T \gg m_i$  and  $\sum_i |U_{ei}|^2 = 1$ .

Plot  $K(T)$  as a function of  $T$  for tritium ( $Q = 18.6$  KeV) for  $m_\beta = \sqrt{\sum_i |U_{ei}|^2 m_i^2} = 0$  and for  $m_\beta = \sqrt{\sum_i |U_{ei}|^2 m_i^2} = 2.2$  eV.

$$d\Gamma = G_F^2 \cos^2 \theta_C F(E, Z) 2\pi \sum_s \sum_i |U_{ei}|^2 |\bar{u}_e(p) \gamma^0 (1 - \gamma^5) v_\nu(k)|^2 \times \\ \times \frac{d^3 p}{(2\pi)^3 2E} \frac{d^3 k}{(2\pi)^3 2w} \delta(E_o - E - w)$$

Considering only the sum over spin:

$$\begin{aligned} \sum_s |\bar{u}_e(p) \gamma^0 (1 - \gamma^5) v_\nu(k)|^2 &= [\bar{u}_e(p) \gamma^0 (1 - \gamma^5) v_\nu(k)] [\bar{u}_e(p) \gamma^0 (1 - \gamma^5) v_\nu(k)]^* \\ &= \text{Tr} [\gamma^0 (1 - \gamma^5) (\gamma^\mu k_\mu - m_\nu) \gamma^0 (1 - \gamma^5) (\gamma^\nu p_\nu + m_e)] \\ &\quad \text{(Trace sadness...)} \\ &= 8Ew + 8\vec{k} \cdot \vec{p} \\ d\Gamma &= \frac{G_F^2 \cos^2 \theta_C}{\pi^3} \sum_i |U_{ei}|^2 (Ew + p \cdot k) \frac{p^2 dp}{E} \frac{k^2 dk}{w} \\ &\quad \text{(Integral sadness...)} \end{aligned}$$



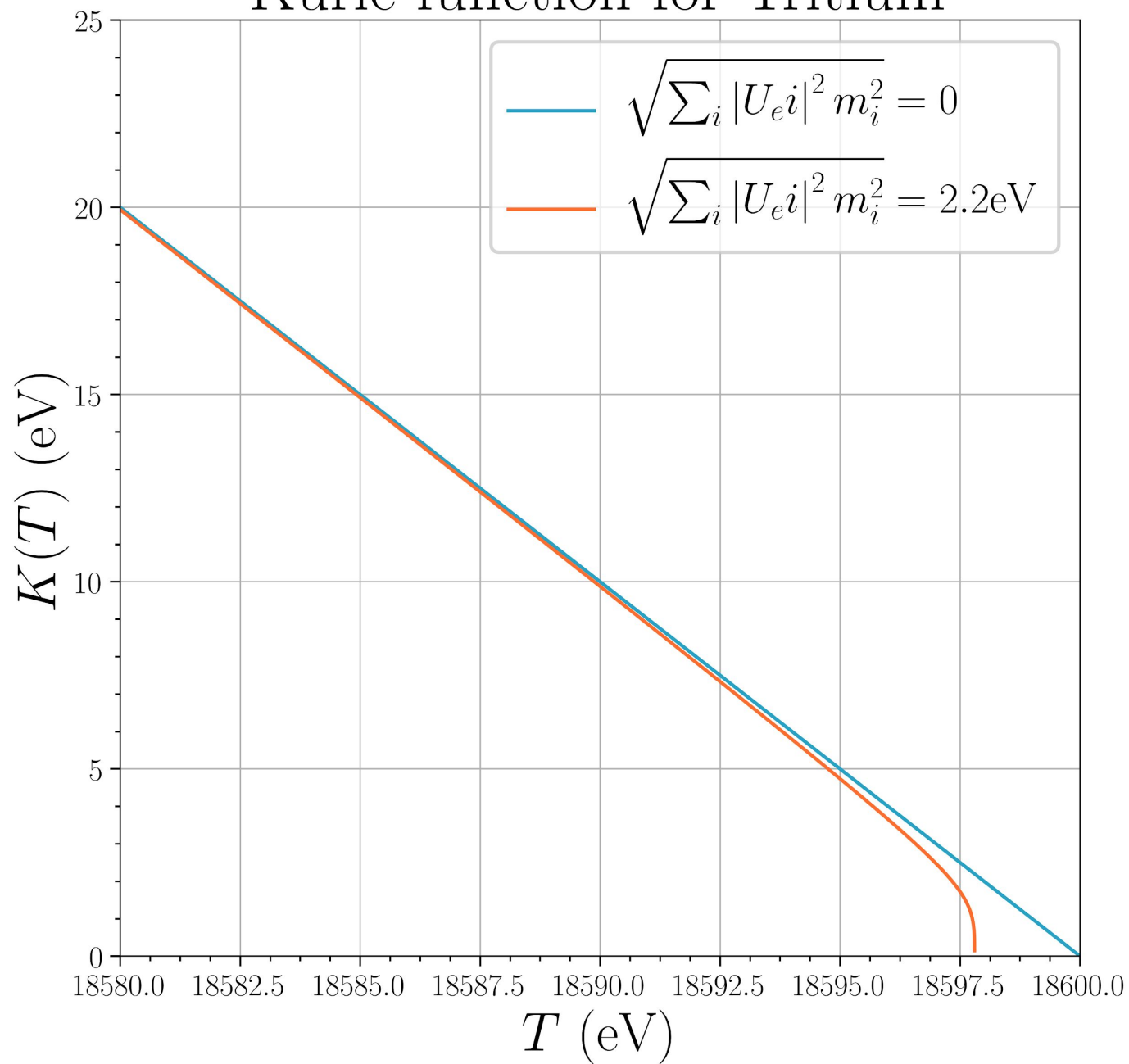
$$K(E) = \left[ \frac{\frac{d\Gamma}{dE}}{CF(E, Z)|\vec{p}|E} \right] = \sqrt{(E_o - E) \sum_i |\vec{U}_{ei}|^2} \sqrt{(E_o - E)^2 - m_i^2}$$

$$\simeq \sqrt{(E_0 - E)} \sqrt{(E_0 - E)^2 - \sum_i |U_{ei}|^2 m_i^2}$$

Now redefine variables:

$$K(T) = \left[ \frac{\frac{d\Gamma}{dE}}{CF(E, Z)|\vec{p}|E} \right] = \sqrt{(Q - T) \sum_i |\vec{U}_{ei}|^2} \sqrt{(Q - T)^2 - m_i^2}$$

# Kurie function for Tritium



1.5) The charged pion decays almost 100% of the time into a muon and a (muon-type) neutrino. In the reference frame where the parent pion is at rest, compute the muon energy as a function of the muon-mass ( $m_\mu$ ), the charged pion mass ( $m_\pi$ ), and the neutrino mass ( $m_\nu$ ). What is the absolute value of the muon momentum (tri)vector? Numerically, what is the relative change of the muon momentum between  $m_\nu=0$  and  $m_\nu=0.1$  MeV? It is remarkable that the muon momentum from pion decay at rest has been measured at the  $3.4 \times 10^{-6}$  level (Phys. Rev. D53, 6065 (1996)). This provides the most stringent current constraint on the muon-neutrino mass.

$$|\vec{p}_\mu| = |\vec{p}_\nu| = \frac{\sqrt{\left(m_\pi^2 - (m_\mu + m_\nu)^2\right) \left(m_\pi^2 - (m_\mu - m_\nu)^2\right)}}{2m_\pi}$$

1.5) The charged pion decays almost 100% of the time into a muon and a (muon-type) neutrino. In the reference frame where the parent pion is at rest, compute the muon energy as a function of the muon-mass ( $m_\mu$ ), the charged pion mass ( $m_\pi$ ), and the neutrino mass ( $m_\nu$ ). What is the absolute value of the muon momentum (tri)vector? Numerically, what is the relative change of the muon momentum between  $m_\nu=0$  and  $m_\nu=0.1$  MeV? It is remarkable that the muon momentum from pion decay at rest has been measured at the  $3.4 \times 10^{-6}$  level (Phys. Rev. D53, 6065 (1996)). This provides the most stringent current constraint on the muon-neutrino mass.

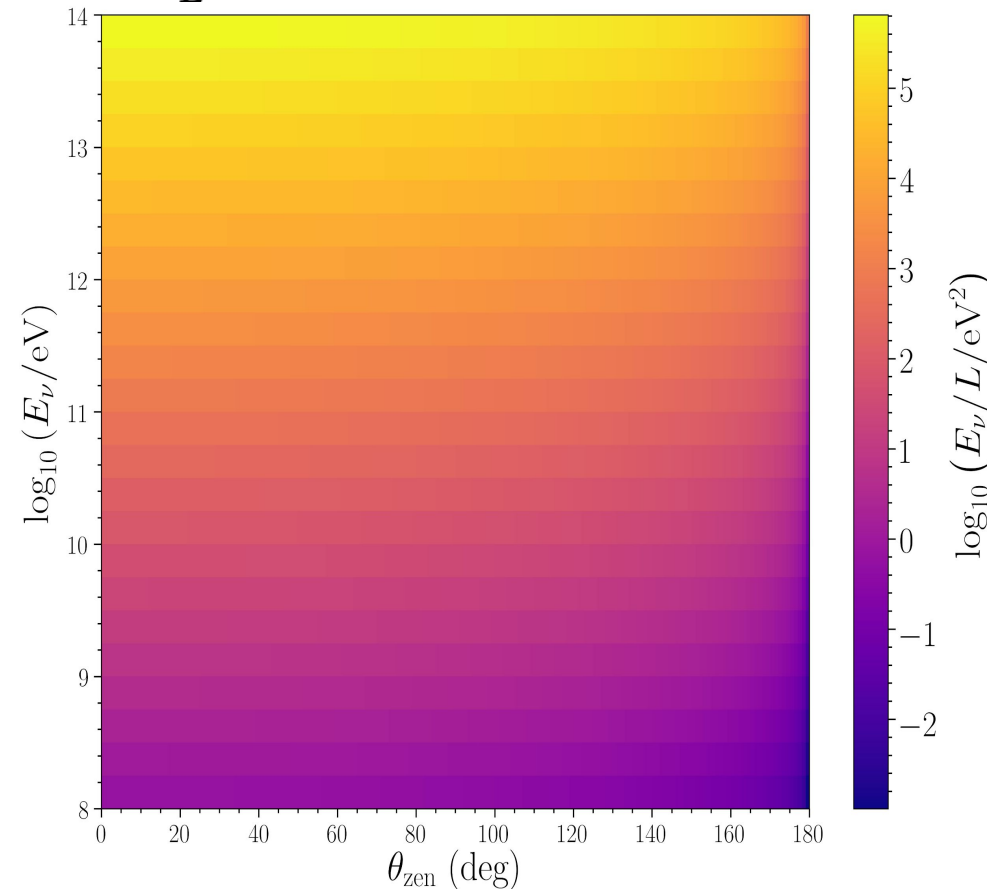
$$E_\mu = m_\mu^2 + \frac{\left(m_\pi^2 - (m_\mu + m_\nu)^2\right) \left(m_\pi^2 - (m_\mu - m_\nu)^2\right)}{4m_\pi^2}$$

$$\Rightarrow E_\mu = \frac{\sqrt{4m_\pi^2 m_\mu^2 + \left(m_\pi^2 - (m_\mu + m_\nu)^2\right) \left(m_\pi^2 - (m_\mu - m_\nu)^2\right)}}{2m_\pi}$$

$$E_\mu|_{m_\nu=0} - E_\mu|_{m_\nu=0.1\text{MeV}} \equiv \Delta E_{m_\nu} = 3.584 \times 10^{-4}$$

1.6) Derive the characteristic  $E/L$  in  $\text{eV}^2$  for atmospheric neutrinos, and for T2K and for Daya Bay.

$\frac{E}{L}$  for an IceCube-like detector



Daya Bay:

$$\left. \frac{E}{L} \right|_{\text{near}} = \frac{4 \times 10^6 \text{eV}}{2.5 \times 10^9 \frac{1}{\text{eV}}} = 1.6 \times 10^{-3} \text{ eV}^2$$

$$\left. \frac{E}{L} \right|_{\text{far}} = \frac{4 \times 10^6 \text{eV}}{8 \times 10^9 \frac{1}{\text{eV}}} = 5 \times 10^{-4} \text{ eV}^2$$

T2K:

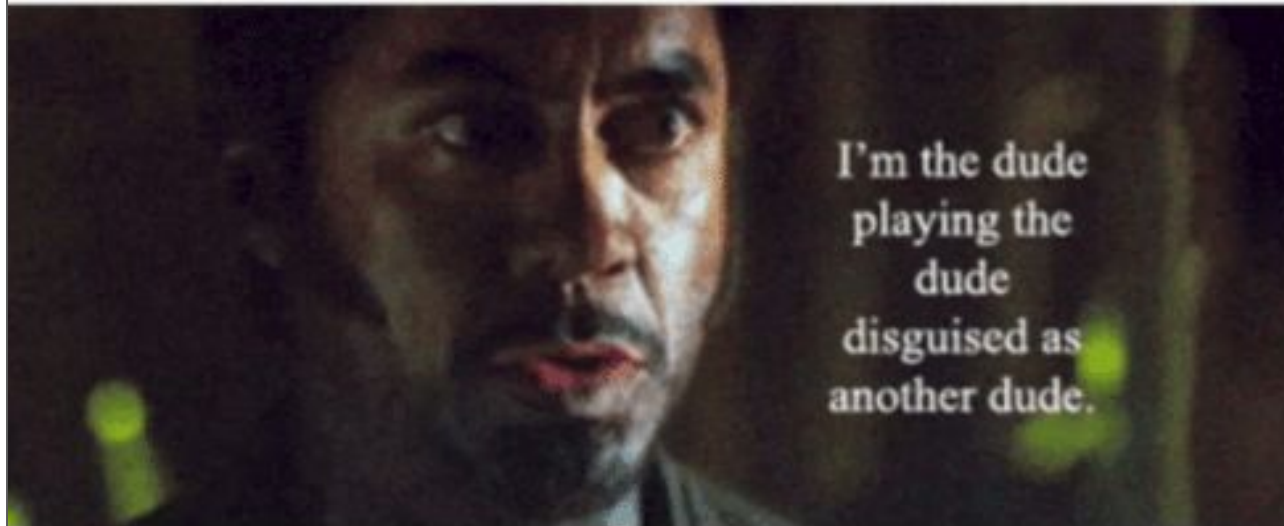
$$\left. \frac{E}{L} \right|_{\text{near}} = \frac{6 \times 10^8 \text{eV}}{1.4 \times 10^9 \frac{1}{\text{eV}}} = 4.3 \times 10^{-1} \text{ eV}^2$$

$$\left. \frac{E}{L} \right|_{\text{far}} = \frac{6 \times 10^8 \text{ eV}}{1.475 \times 10^{12} \frac{1}{\text{eV}}} = 4 \times 10^{-4} \text{ eV}^2$$

1.7) Show that in a disappearance experiment, the survival probability for neutrinos and antineutrinos is the same.

$$\begin{aligned}
 P_{\nu_\alpha \rightarrow \nu_\beta}(L, E) &= \delta_{\alpha\beta} - 4 \sum_{k>j} \Re [U_{\alpha k}^* U_{\beta k} U_{\alpha j} U_{\beta j}^*] \sin^2 \left( \frac{\Delta m_{kj}^2 L}{4E} \right) \\
 &\quad + 2 \sum_{k>j} \Im [U_{\alpha k}^* U_{\beta k} U_{\alpha j} U_{\beta j}^*] \sin \left( \frac{\Delta m_{kj}^2 L}{2E} \right) \\
 P_{\nu_\alpha \rightarrow \nu_\alpha}(L, E) &= \delta_{\alpha\alpha} - 4 \sum_{k>j} \Re [U_{\alpha k}^* U_{\alpha k} U_{\alpha j} U_{\alpha j}^*] \sin^2 \left( \frac{\Delta m_{kj}^2 L}{4E} \right) \\
 &\quad + 2 \sum_{k>j} \Im [U_{\alpha k}^* U_{\alpha k} U_{\alpha j} U_{\alpha j}^*] \sin \left( \frac{\Delta m_{kj}^2 L}{2E} \right) \\
 &= 1 - 4 \sum_{k>j} \Re [|U_{\alpha k}|^2 |U_{\alpha j}|^2] \sin^2 \left( \frac{\Delta m_{kj}^2 L}{4E} \right) \\
 &\quad + 2 \sum_{k>j} \Im [|U_{\alpha k}|^2 |U_{\alpha j}|^2] \sin \left( \frac{\Delta m_{kj}^2 L}{2E} \right) \\
 &= 1 - 4 \sum_{k>j} |U_{\alpha k}|^2 |U_{\alpha j}|^2 \sin^2 \left( \frac{\Delta m_{kj}^2 L}{4E} \right)
 \end{aligned}$$

An electron neutrino after it  
oscillates to a muon neutrino  
then oscillates again to a tau neutrino:



Identity theft is not a joke Jim!  
 $1.2 \times 10^{89}$  neutrinos suffer  
every year!

Backup



1.8) a) What is the matter potential for  $\nu_\tau \rightarrow \nu_s$ ? ( $\nu_s$  is a sterile neutrino.) Compare with the potential for  $\nu_e \rightarrow \nu_{\mu,\tau}$ .

b) In the core of a supernova, the matter density is  $\rho \sim 10^{14} \text{ g/cm}^3$ . Obtain the characteristic value for the matter potential for  $\nu_\tau \rightarrow \nu_s$  and  $\bar{\nu}_\tau \rightarrow \nu_s$  in the core of the supernova.

c) For what characteristic mass differences (assume  $E_\nu \sim 10 \text{ MeV}$ ) can the MSW effect occur in such supernova? In which of the two channels does it occur?

# Lepton-Nucleus Cross Section Theory

- Consider the case in which  $\ell = \mu^-$  and the elastic scattering happens on a neutron at rest, i.e. the neutron quadri-momentum is given by  $(m_n, 0)$  and the proton  $(m_p + \omega, \mathbf{q})$ . Show that the reconstructed neutrino energy reads

$$E_\nu = \frac{m_p^2 - m_n^2 - m_\mu^2 + 2m_n E_\mu}{2(m_n - E_\mu + p_\mu \cos \theta_\mu)}, \quad (1)$$

where  $\theta_\mu$  is the muon angle relative to the neutrino beam.

**Solution:** Considering the quadri-momentum conservation:

$$E_\nu + m_n = E_\mu + E_p \quad (2)$$

$$\mathbf{k}_\nu = \mathbf{k}_\mu + \mathbf{q} \quad (3)$$

where  $\mathbf{k}_\nu$ ,  $\mathbf{k}_\mu$  and  $\mathbf{q}$  are the momentum of neutrino, muon and proton respectively. And  $E_p = m_p + \omega$

Considering the invariant mass of proton,

$$m_p^2 = (E_p)^2 - (\mathbf{q})^2 \quad (4)$$

Combining (2), (3) and (4) we can have,

$$\begin{aligned} m_p^2 &= (E_\nu + m_n - E_\mu)^2 - (\mathbf{k}_\nu - \mathbf{k}_\mu)^2 \\ &= E_\nu^2 + E_\mu^2 + m_n^2 + 2m_n(E_\nu - E_\mu) - 2E_\nu E_\mu - |\mathbf{k}_\nu|^2 - |\mathbf{k}_\mu|^2 + 2\mathbf{k}_\nu \cdot \mathbf{k}_\mu \\ &= m_\mu^2 + m_n^2 - 2m_n E_\mu + 2E_\nu(m_n - E_\mu + |p_\mu| \cos \theta_\mu) \end{aligned}$$

$\Rightarrow$

$$E_\nu = \frac{m_p^2 - m_n^2 - m_\mu^2 + 2m_n E_\mu}{2(m_n - E_\mu + |p_\mu| \cos \theta)}$$

# Lepton-Nucleus Cross Section Theory

**Question:** Write down the analogous expression for a moving neutron in the initial state, i.e  $(E_n, \mathbf{p}_n)$ . To derive the neutrino energy expression, define the angle between the neutron and the neutrino beam momentum as  $\cos\theta_n = (\mathbf{k}_\nu \cdot \mathbf{p}_n) / (|\mathbf{k}_\nu| |\mathbf{p}_n|)$ .

**Solution:** Similarly that before we will consider the quadri-momentum conservation and the invariant mass of proton.

$$E_\nu + E_n = E_\mu + E_p \quad (1)$$

$$\mathbf{k}_\nu + \mathbf{p}_n = \mathbf{k}_\mu + \mathbf{q} \quad (2)$$

Where  $\mathbf{k}_\nu$ ,  $\mathbf{k}_\mu$ ,  $\mathbf{p}_n$  and  $\mathbf{q}$  are the momentum of neutrino, muon, neutron and proton respectively. Then

$$\begin{aligned} m_p^2 &= (E_p)^2 - (\mathbf{q})^2 \\ &= (E_\nu + E_n - E_\mu)^2 - (\mathbf{k}_\nu + \mathbf{p}_n - \mathbf{k}_\mu)^2 \\ &= E_\nu^2 + E_\mu^2 + E_n^2 + 2E_n(E_\nu - E_\mu) - 2E_\nu E_\mu - |\mathbf{k}_\nu|^2 - |\mathbf{k}_\mu|^2 - |\mathbf{p}_n|^2 + 2\mathbf{k}_\nu \cdot \mathbf{k}_\mu - 2\mathbf{k}_\nu \cdot \mathbf{p}_n + 2\mathbf{k}_\mu \cdot \mathbf{p}_n \\ &= m_\mu^2 + m_n^2 - 2E_n E_\mu + 2\mathbf{k}_\mu \cdot \mathbf{p}_n + 2E_\nu(E_n - E_\mu + |p_\mu| \cos\theta_\mu - |p_n| \cos\theta_n) \end{aligned}$$

$\Rightarrow$

$$E_\nu = \frac{m_p^2 - m_n^2 - m_\mu^2 + 2E_n E_\mu - 2\mathbf{k}_\mu \cdot \mathbf{p}_n}{2(E_n - E_\mu + |p_\mu| \cos\theta) - |p_n| \cos\theta_n}$$

# Lepton-Nucleus Cross Section Theory

The most general expression for the hadronic tensor is constructed out of  $g^{\mu\nu}$  and the independent momentum of the initial nucleon  $p$  and the momentum transfer  $q$ , yielding

$$W^{\nu\mu} = -W_1 g^{\mu\nu} + \frac{W_2}{m_N^2} p^\mu p^\nu + i\epsilon^{\mu\nu\alpha\beta} \frac{W_3}{2m_N^2} p_\alpha q_\beta + \frac{W_4}{m_N^2} q^\mu q^\nu + \frac{W_5}{m_N^2} (p^\mu q^\nu + p^\nu q^\mu) \quad (1)$$

where  $W_i$  are called structure function and  $m_N$  is the nucleon mass.

**Question:** In the electromagnetic case parity-violating effects are NOT present. The hadronic electromagnetic current matrix elements are polar-vectors and so the tensor must have specific properties under spatial inversion. In particular, in this case  $W_3 = 0$ . The current conservation condition at the hadronic vertex requires

$$q_\nu W^{\nu\mu} = q_\mu W^{\nu\mu} = 0 \quad (2)$$

As a result of this relation, verify that only two structure function are independent and the hadronic electromagnetic tensor reads

$$W^{\nu\mu} = W_1 \left( g^{\mu\nu} + \frac{q^\mu q^\nu}{q^2} \right) + \frac{W_2}{m_N^2} \left( p^\mu - \frac{p \cdot q}{q^2} q^\mu \right) \left( p^\nu - \frac{p \cdot q}{q^2} q^\nu \right) \quad (3)$$

**Solution:** Considering  $q_\nu W^{\nu\mu} = 0$  and  $W_3 = 0$  in equation (2), we have

$$\begin{aligned} q_\nu W^{\nu\mu} &= -W_1 q^\mu + \frac{W_2}{m_N^2} p^\mu q_\nu p^\nu + \frac{W_4}{m_N^2} q^\mu q^2 + \frac{W_5}{m_N^2} (p^\mu q^2 + q_\nu p^\nu q^\mu) \\ &= q^\mu (-W_1 + \frac{W_4}{m_N^2} q^2 + \frac{W_5}{m_N^2} q_\nu p^\nu) + p^\mu (\frac{W_2}{m_N^2} q_\nu p^\nu + \frac{W_5}{m_N^2} q^2) \end{aligned}$$

# Lepton-Nucleus Cross Section Theory

Then we got

$$W_5 = -W_2 \frac{q \cdot p}{q^2} \quad (4)$$

$$W_4 = W_1 \frac{m_N^2}{q^2} + W_2 \left( \frac{q \cdot p}{q^2} \right)^2 \quad (5)$$

Finally using (4) and (5) in (1). Also considering  $W_3=0$ ,

$$\begin{aligned} W^{\nu\mu} &= -W_1 g^{\mu\nu} + \frac{W_2}{m_N^2} p^\mu p^\nu + \frac{W_4}{m_N^2} q^\mu q^\nu + \frac{W_5}{m_N^2} (p^\mu q^\nu + p^\nu q^\mu) \\ &= -W_1 g^{\mu\nu} + \frac{W_2}{m_N^2} p^\mu p^\nu + \left( W_1 \frac{m_N^2}{q^2} + W_2 \left( \frac{q \cdot p}{q^2} \right)^2 \right) \frac{1}{m_N^2} q^\mu q^\nu - W_2 \frac{q \cdot p}{q^2} \frac{1}{m_N^2} (p^\mu q^\nu + p^\nu q^\mu) \\ &= W_1 \left( -g^{\mu\nu} + \frac{q^\mu q^\nu}{q^2} \right) + \frac{W_2}{m_N^2} \left( p^\mu p^\nu + \left( \frac{q \cdot p}{q^2} \right)^2 q^\mu q^\nu - \frac{q \cdot p}{q^2} (p^\mu q^\nu + p^\nu q^\mu) \right) \\ &= W_1 \left( -g^{\mu\nu} + \frac{q^\mu q^\nu}{q^2} \right) + \frac{W_2}{m_N^2} \left( p^\mu - \frac{p \cdot q}{q^2} q^\mu \right) \left( p^\nu - \frac{p \cdot q}{q^2} q^\nu \right) \end{aligned}$$