SNOWMASS - Seattle, WA 2022 Neutrino Physics Frontier

# Prospects for DUNE Measurements of Deep Inelastic Charged-Current Tau Neutrino Interactions

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# The Deep Underground Neutrino Experiment (DUNE)





- Currently under construction.
- A broad set of topics to being pinned down at DUNE, it will be able to constrain the three-massive-neutrinos paradigm by providing complementary measurements to those from the v<sub>a</sub> - appearance and v<sub>u</sub> - disappearance channels.

#### **Far Detector**

- 1300 Km baseline
- Liquid argon time projection chamber (LArTPC) technology →high resolution neutrino interaction imaging
- 4x17 kton LArTPC modules.



# NuTau at DUNE - What we can learn from $v_{_{T}}$ ?

• **DUNE is the only upcoming neutrino experiment** expected to be able to **collect a larger** sample of oscillated **v**<sub>r</sub> **events** from a beam than all existing experiments.

### Currently there is a broad of topics being pin- down at DUNE, summarized in the Snowmass Whitepaper <u>arXiv:2203.05591</u>

- Detection and studies of atmospherics
- Transverse-plane kinematics approach in the far detector (FD)
- Anomalous appearance in the near detector (ND)
- Interactions and Cross-sections in the FD

# $v_{\tau}$ data can help to understand non trivial questions:

Current generation of neutrino experiments provides nearly complete description of three flavor paradigm, but:

• Almost all knowledge of tau neutrino sector is taken from:

- $\rightarrow$  Lepton universality for cross sections
- $\rightarrow$  PMNS unitarity for oscillations
- Critical that these assumptions are tested

## **Tau Neutrino Interactions**



. P.Machado, J.Turner. H. r Schulz arXiv:2007.00015	Decay mode	Branching ratio
	Leptonic	35.2%
	$e^- ar{ u}_e  u_ au$	17.8%
	$\mu^- ar{ u}_\mu  u_ au$	17.4%
	Hadronic	64.8%
	$\pi^-\pi^0 u_ au$	25.5%
	$\pi^-  u_{ au}$	10.8%
	$\pi^-\pi^0\pi^0 u_ au$	9.3%
	$\pi^-\pi^-\pi^+ u_ au$	9.0%
	$\pi^-\pi^-\pi^+\pi^0 u_ au$	4.5%
	other	5.7%

τ is heavy, ~ 1.777GeV τ<sub>energy threshold</sub> ~ 3.5 GeV

 $au_{life}$  ~ 2.9 x 10<sup>-13</sup> sec

**Challenge:**  $v_{\tau}$  reconstruction and the background rejection from NC.

A.Gouvea, K. Kelly, G.Stenico, P.Pasquini PhysRevD.100.016004







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# Why cross sections are important?

- Neutrino interactions (cross section) are the major contributor of systematic uncertainties in oscillation measurements (T2k, NOvA).
- E<sub>v</sub> & v-nucleus interactions relies on reconstruction techniques either based on kinematics (T2K/HK) or calorimetric methods (DUNE/NOvA/SBN) and both requires reliable predictions from interaction models.

- Invisible or Short-lived

 $\gamma$ 

• Extraction of **oscillation parameter** is biased by the interaction model.

Nuclear and hadronic effects are energy dependent too!

### DIS CC-V, Cross-section arxiv.1007.1966v1

$$\frac{d^{2}\sigma_{A}}{dxdy} = \frac{G_{F}^{2}M_{N}E_{\nu}}{\pi(1+\frac{Q^{2}}{M_{W}^{2}})^{2}} \left\{ \left[ y^{2}x + \frac{m_{I}^{2}y}{2E_{\nu}M_{N}} \right] F_{1A}(x,Q^{2}) + \left[ \left( 1 - \frac{m_{I}^{2}}{4E_{\nu}^{2}} \right) - \left( 1 + \frac{M_{N}x}{2E_{\nu}} \right) y \right] F_{2A}(x,Q^{2}) \right. \\ \left. \pm \left[ xy\left( 1 - \frac{y}{2} \right) - \frac{m_{I}^{2}y}{4E_{\nu}M_{N}} \right] F_{3A}(x,Q^{2}) + \frac{m_{I}^{2}(m_{I}^{2} + Q^{2})}{4E_{\nu}^{2}M_{N}^{2}x} F_{4A}(x,Q^{2}) - \frac{m_{I}^{2}}{E_{\nu}M_{N}} F_{5A}(x,Q^{2}) \right\}$$
The scaling variables  $x\left( = \frac{Q^{2}}{2p\cdot q} \right)$  and  $y\left( = \frac{\nu}{E_{\nu}} = \frac{q_{\nu}}{E_{\nu}} \right)$  lie in the range:  
A lepton mass correction  $\frac{m_{I}^{2}}{2M_{N}(E_{\nu} - m_{I})} \le x \le 1$  and  $a - b \le y \le a + b$ ,  
where  
 $a = \frac{1 - m_{I}^{2}\left(\frac{1}{2M_{N}E_{\nu}x} + \frac{1}{2E_{\nu}^{2}}\right)}{2\left( 1 + \frac{M_{N}x}{2E_{\nu}} \right)}$  and  $b = \frac{\sqrt{\left( 1 - \frac{m_{I}^{2}}{2M_{N}E_{\nu}x} \right)^{2} - \frac{m_{I}^{2}}{E_{\nu}^{2}}}}{2\left( 1 + \frac{M_{N}x}{2E_{\nu}} \right)}$ .  
 $\rightarrow$  A structure function (SF) characterize the internal structure of the nucleon  
 $\rightarrow$  The contributions of the SF to the cross-section are functions of charged lepton mass.  
 $\rightarrow$  In the limit  $m_{I}^{2} \rightarrow 0$  only  $F_{v}$ ,  $F_{2}$  and  $F_{3}$  contribute,  $m_{I}^{2}$ ,  $(M_{N}, E_{\nu})$ .  
 $\rightarrow$  Abbright-jarlskog (A)) relations occur only in heavy lepton (r) scattering, Mucl Phys. B 84, 467 (1975)

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A look to the CC  $v_{\tau}$  and  $v_{\mu}$  Cross Section <u>M. H. Reno - PhysRevD.74.033001</u>

<u>arXiv:1007.1966v1</u>

### Reasons for the deficit in the $v_{\tau}$ CC cross-section:

- 1) The reduce phase space: integration limits  $(x,y) \leftarrow$  half of the suppression of  $v_{\tau}$  relative to the  $v_{\mu}$  it is from a dynamic origin.
- 2)  $F_5$  minus sign & no factor of x:





# **Exploring The Nature of F**<sub>5</sub>

- GENIE 3.0.6 truth Information
- Using DUNE far detector geometry (Argon 40)
- Tau optimized flux
- CP optimized (3 horns)
- Low energy
- Default starting configuration
- Tau-optimized (2 horns) future upgrade, under investigation
- high energy spectrum
- Possible configuration after CP program has completed

More details Snowmass Whitepaper: <u>Tau Neutrinos in the Next Decade:</u> <u>from GeV to EeV arXiv:2203.05591</u>



#### Expected counts/year:

~ 30  $v_{\tau}$  in CP-optimized neutrino mode ~ 130  $v_{\tau}$  in CP-optimized neutrino mode ~ 800  $v_{\tau}$  in Tau-optimized neutrino mode

# Nature of $F_5(x, Q^2)$

- This is F<sub>5</sub> in terms of x and Q<sup>2</sup>, its effect is in all [x,Q<sup>2</sup>] phase space.
- At lower X , F<sub>5</sub> values are high.
- Below Q<sup>2</sup>=1, non-perturbative
- Above Q<sup>2</sup>=1, perturbative



$$\begin{aligned} \frac{d^2 \sigma^{\nu(\overline{\nu})}}{dxdy} &= \frac{G_F^2 M E_{\nu}}{\pi (1+Q^2/M_W^2)^2} \left( (y^2 x + \frac{m_\tau^2 y}{2E_{\nu} M}) F_1 + \left[ (1 - \frac{m_\tau^2}{4E_{\nu}^2}) - (1 + \frac{M x}{2E_{\nu}}) \right] F_2 \\ &\pm \left[ xy(1 - \frac{y}{2}) - \frac{m_\tau^2 y}{4E_{\nu} M} \right] F_3 + \frac{m_\tau^2 (m_\tau^2 + Q^2)}{4E_{\nu}^2 M^2 x} F_4 - \frac{m_\tau^2}{E_{\nu} M} F_5 \right), \end{aligned}$$

# Nature of $F_5(x, Q^2)$

This is  $F_5$  in terms of x and  $Q^2$ , its effect is in all [x, $Q^2$ ] phase space.

Nuclear models rely  $\rightarrow$  approximations, which are valid in specific kinematics and for specific process.

For  $F_5$  is sensitive in values for x and  $Q^2$  that wrap different interactions models.





Notice the difference between the cross-sections in the  $F_4 = F_5 = 0$  hypothesis and the SM prediction is larger for lower neutrino energies.



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# Effect of F<sub>5</sub> in the total number of events.

#### The ratio is greater than 1:

- Which is expected since F<sub>5</sub> is a subtracted component of the total XSec.
- Also, it means that there is a chance to disentangle an overall normalization change from a scaling of F<sub>5</sub>

**F**<sub>5</sub> value covers all the phase space





CC -  $v_{\tau}$  TRUTH Level studies show that indeed, when DIS cuts are applied and  $F_5 = 0$  we can extract new information from the lepton cross section.



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### So Far

The new features which appear in the case of the V<sub>e</sub>-A interaction as compared to the V<sub>e</sub> and V<sub>u</sub> interactions and contribute to modify the cross sections are:

- Kinematical changes in  $Q^2$  and  $E_{\ell}$  due to the presence of  $m_{\tau}$
- The contributions due to the additional nucleon structure functions  $F_4(x,Q^2)$  and  $F_5(x,Q^2)$  in the presence of  $m_{\tau} \neq 0$ .
- As a function of  $Q^2$ , there is an enhancement doesn't come just from a normalization but due the changes on the shape the presence of  $m_{\tau}$

Some of the above effects are modified in the nuclear medium  $\rightarrow$  we need reliable nuclear model to describe DIS of leptons from nuclear targets.

# Get a reliable kinematic reconstruction it's a must! We are checking on machine learning techniques...

# **Panoptic Segmentation: Semantic + Instance Segmentation**

by Carlos Sarasty sarastce@mail.uc.edu "Panoptic Segmentation for Particle ID in ProtoDUNE"

- Semantic segmentation is the process of assigning a class label to each pixel
- Instance segmentation is the task of detecting objects in the image



- 2 independent UResNet for semantic and instance segmentation
- The instance segmentation prediction is obtained by finding the object medoids and regressing every voxel to their corresponding medoid
  - The predicted semantic segmentation and class agnostic instance segmentation are combined to generate the final panoptic segmentation result

arXiv:1801.00868

# **Results/Metrics: Semantic Segmentation**

ProtoDUNE by Carlos Sarasty sarastce@mail.uc.edu

- The network is capable to identify shower like and track like separation with high accuracy
- The confusion matrix shows the overlap between classes



### **Event Display:** two showers from neutral pion decay



# Outlook

- **DUNE** will provide a **unique opportunity** to study the connections among neutrinos.
- Tau neutrinos will help us understand whether or not the PMNS matrix is unitary.
- Improve our nuclear models:

There are models in which they single out the tau neutrino to satisfy other constraints, and in other cases, the model does not depend on the flavor of the neutrino, but tau neutrinos may be the only means of probing the model.

• **Tau neutrinos** play a central role in **testing the lepton flavor universality** violating hints uncovered in flavor physics experiments.

Thank you!





### Some Remarks About Our Scenario

A Cross-section analysis leads to different kinds of interesting but complex studies, one of them: the nuclear structure.

In order to obtain very high energies more easily, many particle colliders and accelerators have hadrons, in particular protons and antiprotons, in the initial state.

Hadrons are however composite particles  $\rightarrow$  quarks and gluons  $\rightarrow$  the fundamental constituents that are involved in the collisions.

- Recent interest in neutrino interactions in few GeV energy region comes from the need of accelerator based neutrino oscillation experiments to reduce systematic errors.
- These interactions channels are signal and the majority of backgrounds in oscillation experiments.



Bodek-Yang model <u>arXiv:hep-ex/0308007</u> aims for describing DIS cross section in all Q<sup>2</sup> regions. Structure functions are important in the study of DIS



The name DIS here is used loosely for inelastic processes with W > 1.8 GeV (in the continuum at all  $Q^2$ , including  $Q^2$  =0).

Why Structure functions are written in terms of the scaling variable x and Q<sup>2</sup>, rather than the energy transfer EV and Q<sup>2</sup>?

Because for fixed x values of  $F_1...F_5$  become ~ independent of  $Q^2$ , or  $F_1,...,_5(x, Q^2)=F_1...,_5(x)$  is a good approximation for a large  $Q^2$ .

This behavior is called **Bjorken scaling**, or scale invariance: the structure functions are left unchanged by a scale transformation.

 $v_{\tau}$  (CC) interactions give access to cross section physics not accessible otherwise!

#### By Adam Aurisano (University of Cincinnati)



### Don't Forget Nucleus! - Study Nuclear Effects





**Asymptotic freedom** makes it possible to calculate the small distance interaction for quarks and gluons, assuming that they are free particles.



DIS experiments extract information from the lepton scattering cross sections to measure Structure Functions of the target, which are directly related to the nonperturbative Parton Distribution Functions, PDFs.  $\alpha_s (\mu^2)$  runs with  $\mu^2$ Factorization Theorem:As<br/> $\alpha_s (\mu^2)$  decreases,<br/> $\mu^2$  increasesNonperturbative<br/> $\mu^2 \sim 1 \text{ GeV}$ <br/>i.e.  $\alpha_s (\mu^2)$  very<br/>largePerturbative<br/> $\alpha_s (\mu^2) << 1 \text{ if}$ <br/> $\mu^2 >> 1 \text{ GeV}^2$ 

How the incoming hadron is made up from the constituent quarks and gluons?

The production of any particle can be determined by the cross section.

We can use Deep ( $Q^2 >> M^2$ ) Inelastic ( $W^2 >> M^2$ ) Scattering to probe the structure of hadrons.



Fig. 1. The kinematics for deep inelastic scattering.

A. De Roeck, R.S. Thorne / Progress in Particle and Nuclear Physics 66 (2011) 727–781

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### A key element in the study of tau neutrino physics is the decay modes of the tau lepton

#### **NOTICE:**

The information on the dynamics of this nuclear process should be extracted from the analysis of the energy and angular distributions of the tau decay visible products.

Therefore it is important to consider the spin polarization of taus in addition to their production cross sections.<u>Hernández, Nieves, Sánchez, Sobczyk</u>

The production of  $\tau$  leptons by CC( $v_{\tau}$ ) - nucleus scattering requires neutrino energies  $E_{v} \gtrsim 3.5$  GeV. PhysRevD.100.016004





**Inelastic Scattering:** since the lepton and hadronic system do not interact after scattering, can factorize the cross-section into leptonic & hadronic tensors

Summing over spins, and assuming parity conservation, we can write the most generic form of the hadronic tensor:

$$\frac{d^2\sigma_A}{dxdy} = \left(\frac{G_F^2 y M_N E_l}{2\pi E_\nu}\right) \left(\frac{M_W^2}{M_W^2 + Q^2}\right)^2 \frac{|\mathbf{k}'|}{|\mathbf{k}|} L_{\mu\nu} W_A^{\mu\nu}$$
$$L_{\mu\nu} = 8(k_\mu k'_\nu + k_\nu k'_\mu - k.k' g_{\mu\nu} \pm i\epsilon_{\mu\nu\rho\sigma} k^\rho k'^\sigma)$$

$$\begin{split} W_A^{\mu\nu} &= \left(\frac{q^{\mu}q^{\nu}}{q^2} - g^{\mu\nu}\right) W_{1A}(\nu_A, Q^2) + \frac{W_{2A}(\nu_A, Q^2)}{M_A^2} \left(p_A^{\mu} - \frac{p_A \cdot q}{q^2} q^{\mu}\right) \left(p_A^{\nu} - \frac{p_A \cdot q}{q^2} q^{\nu}\right) \pm \frac{i}{2M_A^2} \epsilon^{\mu\nu\rho\sigma} p_{A\rho} q_{\sigma} W_{3A}(\nu_A, Q^2) \\ &+ \frac{W_{4A}(\nu_A, Q^2)}{M_A^2} q^{\mu}q^{\nu} + \frac{W_{5A}(\nu_A, Q^2)}{M_A^2} (p_A^{\mu}q^{\nu} + q^{\mu}p_A^{\nu}) + \frac{i}{M_A^2} (p_A^{\mu}q^{\nu} - q^{\mu}p_A^{\nu}) W_{6A}(\nu_A, Q^2), \end{split}$$

Lorentz-invariant variables:

$$Q^{2} \equiv -q^{2} = -(k - k')^{2} = 4EE' \sin^{2}(\theta/2)$$
$$W^{2} \equiv (p + q)^{2} = M^{2} + 2M\nu - Q^{2}$$
$$\nu \equiv \frac{p \cdot q}{M} = E - E'$$

### **Structure Functions**

 $\rightarrow$  A Structure function characterize the internal structure of the nucleon

 $\rightarrow$  The contributions of the structure functions to the cross-section are functions of charged lepton mass.

 $\rightarrow$  In the limit  $m_1^2 \rightarrow 0$  only  $F_1$ ,  $F_2$  and  $F_3$  contribute,  $m_1^2 / (M_N E_V)$ .

 $\rightarrow$  Structure functions F<sub>4</sub> and F<sub>5</sub> are negligible for  $\nu_{\mu}$  and  $\nu_{e}$ , but become important for  $\nu_{\tau}$ 

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$$\frac{d^{2}\sigma_{A}}{dxdy} = \frac{G_{F}^{2}M_{N}E_{\nu}}{\pi(1+\frac{Q^{2}}{M_{W}^{2}})^{2}} \left\{ \left[ y^{2}x + \frac{m_{l}^{2}y}{2E_{\nu}M_{N}} \right] F_{1A}(x,Q^{2}) + \left[ \left( 1 - \frac{m_{l}^{2}}{4E_{\nu}^{2}} \right) - \left( 1 + \frac{M_{N}x}{2E_{\nu}} \right) y \right] F_{2A}(x,Q^{2}) \right. \\
\left. \pm \left[ xy \left( 1 - \frac{y}{2} \right) - \frac{m_{l}^{2}y}{4E_{\nu}M_{N}} \right] F_{3A}(x,Q^{2}) + \frac{m_{l}^{2}(m_{l}^{2} + Q^{2})}{4E_{\nu}M_{N}^{2}x} F_{4A}(x,Q^{2}) - \frac{m_{l}^{2}}{E_{\nu}M_{N}} F_{5A}(x,Q^{2}) \right\}$$

The scaling variables  $x\left(=\frac{Q^2}{2p\cdot q}\right)$  and  $y\left(=\frac{\nu}{E_{\nu}}=\frac{q_0}{E_{\nu}}\right)$  lie in the range:

$$\frac{m_l^2}{2M_N(E_\nu - m_l)} \le x \le 1 \qquad \text{and} \qquad a - b \le y \le a + b,$$

where

$$a = rac{1 - m_l^2 \left(rac{1}{2M_N E_
u x} + rac{1}{2E_
u^2}
ight)}{2 \left(1 + rac{M_N x}{2E_
u}
ight)} ext{ and } extstyle = rac{\sqrt{\left(1 - rac{m_l^2}{2M_N E_
u x}
ight)^2 - rac{m_l^2}{E_
u^2}}}{2 \left(1 + rac{M_N x}{2E_
u}
ight)}.$$

For quasielastic scattering, e.g.,  $V_{r} \rightarrow Tp$ , the structure functions are proportional to the delta function  $\delta(W^2 - M^2)$  where  $W^2$  is the invariant mass of the hadronic final state. These multiply the nucleon form factors  $\leftarrow$  Avoid double counting we impose  $W_{min} = 1.4$  GeV. <u>Phys. Rept. 3, 261 (1972)</u> <u>Phys. Lett. B 564, 42 (2003)</u>

# A look to the CC $v_{\tau}$ and $v_{\mu}$ Cross Section <u>M. H. Reno - PhysRevD.74.033001</u>



## **Results/Metrics: Instance Segmentation**

ProtoDUNE by Carlos Sarasty sarastce@mail.uc.edu

- Purity: Is the fraction of reconstructed medoids that are no more than 7 cm from the true medoid. ~ 81.3%
- Efficiency: Is the fraction of true particles with at least one reconstructed particle ~84.2%

## **Results/Metrics: Panoptic Segmentation**

ProtoDUNE by Carlos Sarasty sarastce@mail.uc.edu

- Purity: Is the fraction of voxels in the reconstructed particles shared with the true particle. ~ 60.1%
- Completeness: Is the fraction of true voxels that are shared with the reconstructed particle. ~ 70.2%