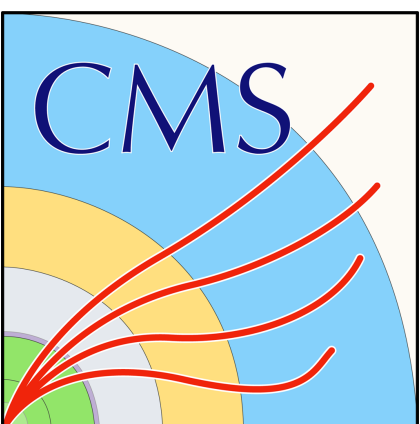


# An overview of spin correlations and FCNC at the HL-LHC

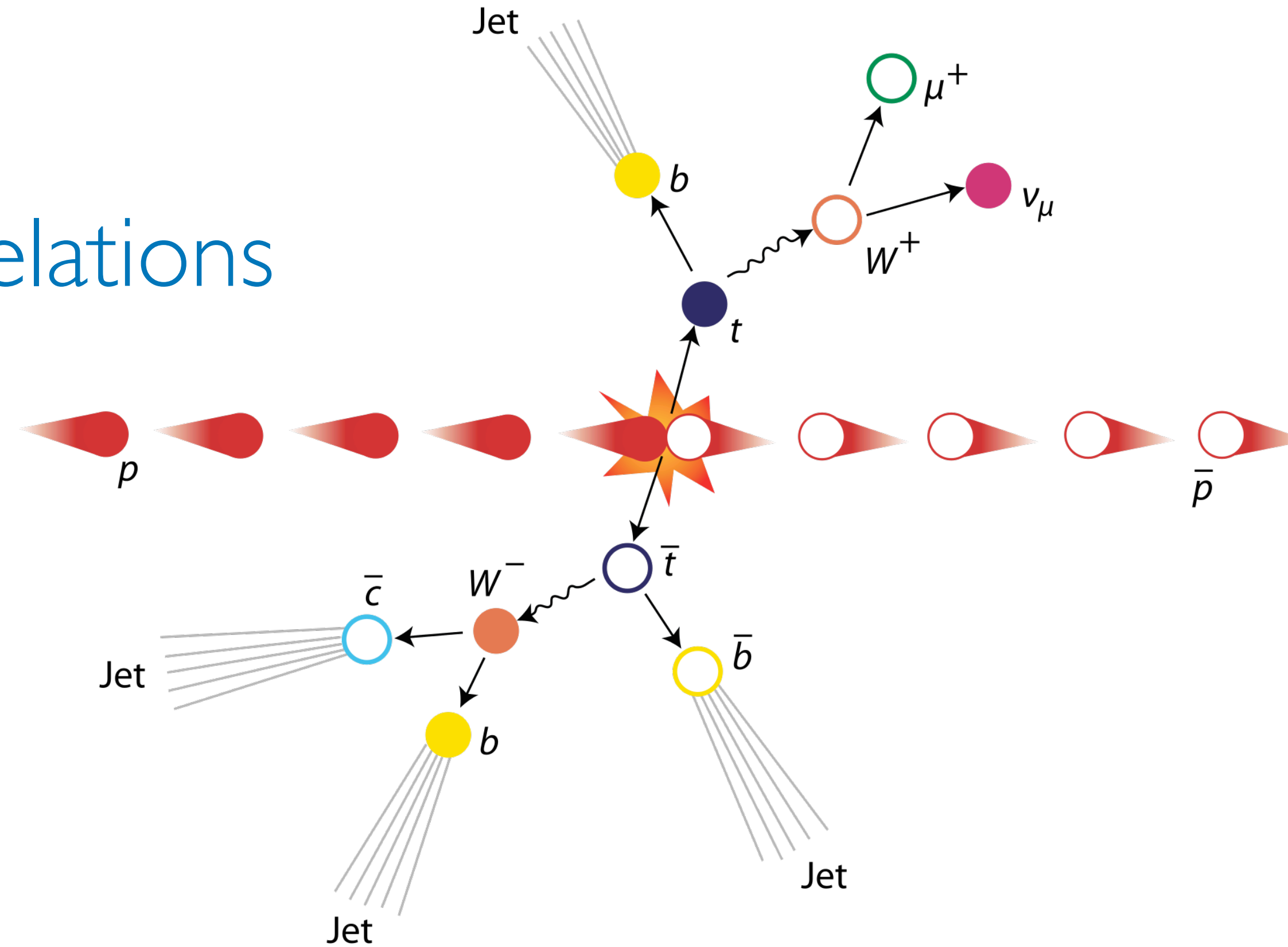
Amandeep Singh Bakshi  
Purdue University

Seattle Snowmass Summer Meeting  
7/20/2022

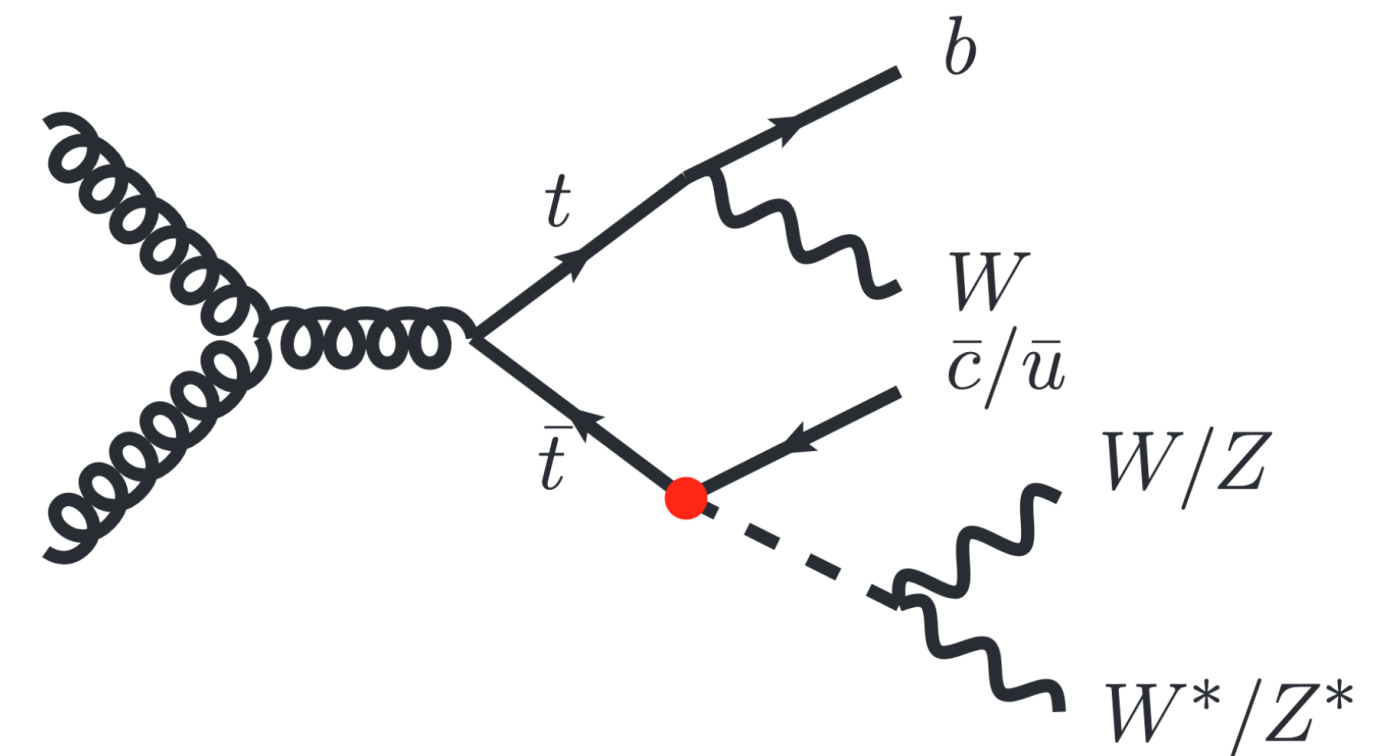


# This talk

$t\bar{t}$  spin correlations



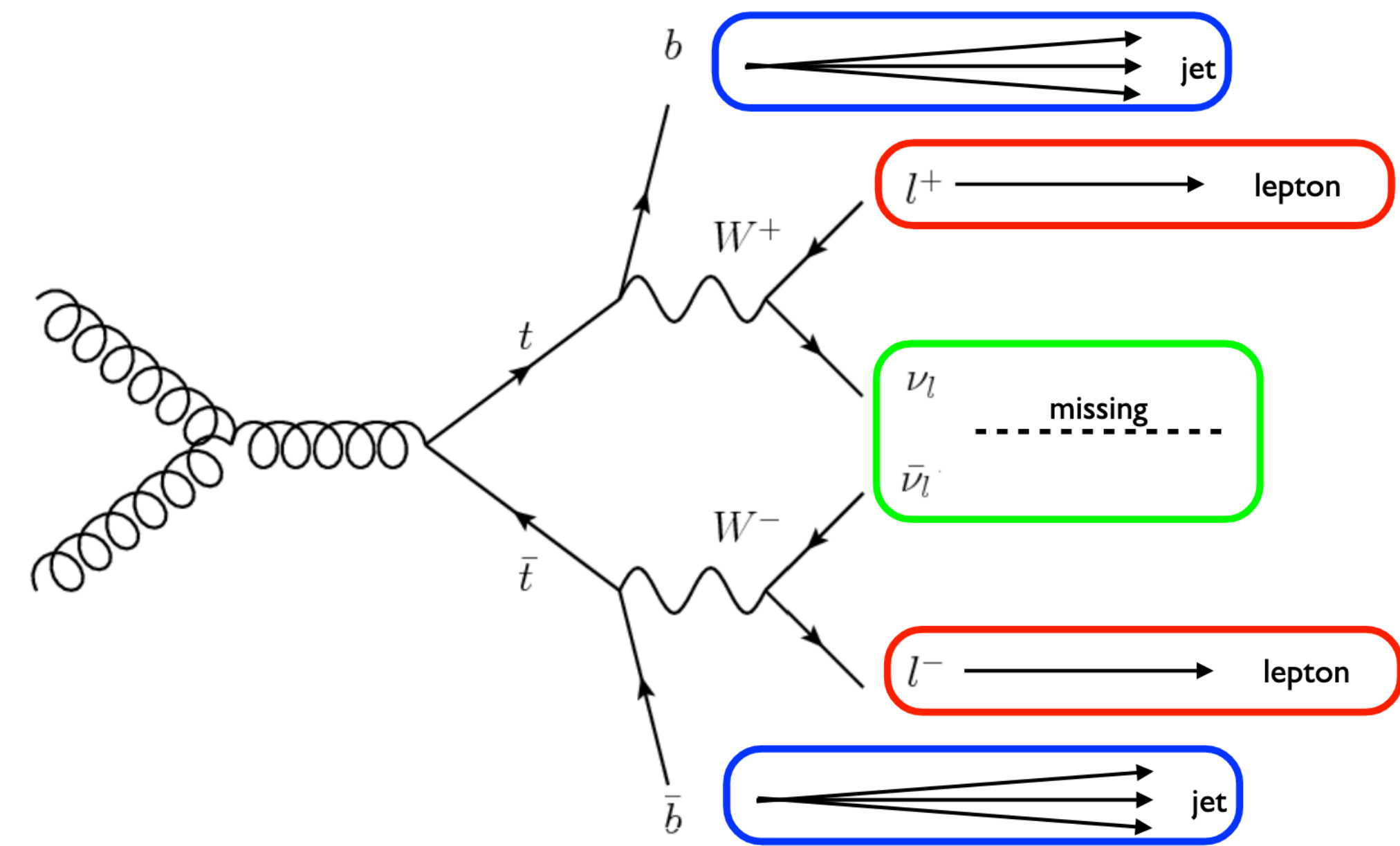
FCNC in the top-quark sector



# $t\bar{t}$ spin correlations

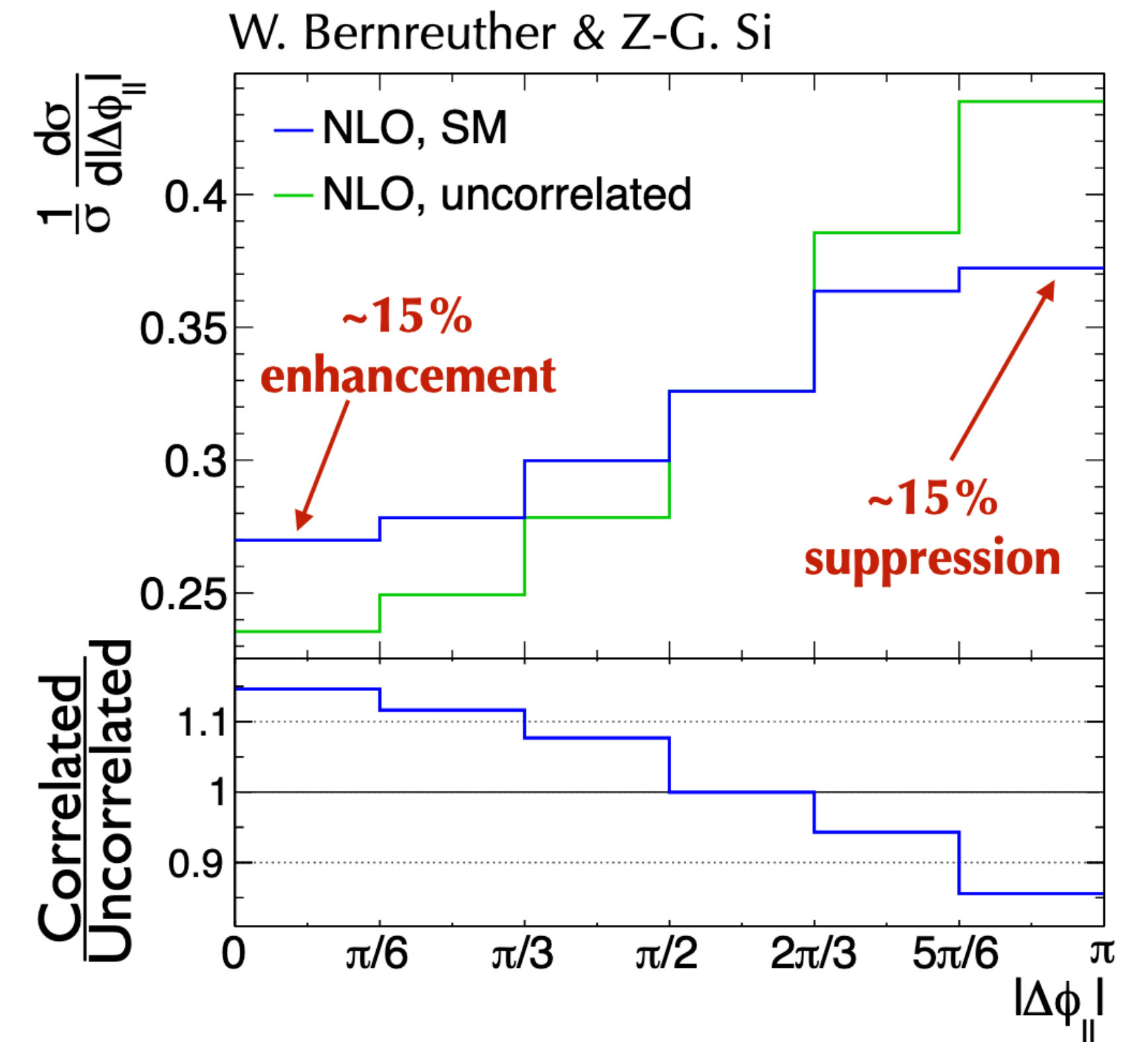
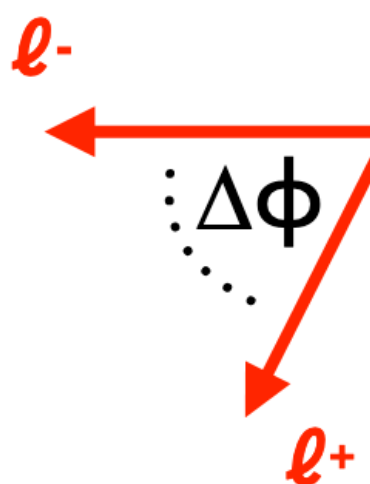
- The top quark is an ideal candidate for spin measurements !
  - Decays before forming any bound states.
  - Spin information is relayed onto daughter particles.
- In the SM,  $t\bar{t}$  production is (approximately) unpolarized.
  - But, the spins are highly correlated.
- Top spin measurements are a great probe to BSM physics
  - Suppression  $\rightarrow$  s-channel dark matter
  - Enhancement  $\rightarrow$  new scalars ?

$$\begin{array}{lcl} \text{lifetime} < \text{QCD timescale} \ll \text{spin-flip timescale} \\ 10^{-25} \text{ s} < 10^{-24} \text{ s} \ll 10^{-21} \text{ s} \end{array}$$



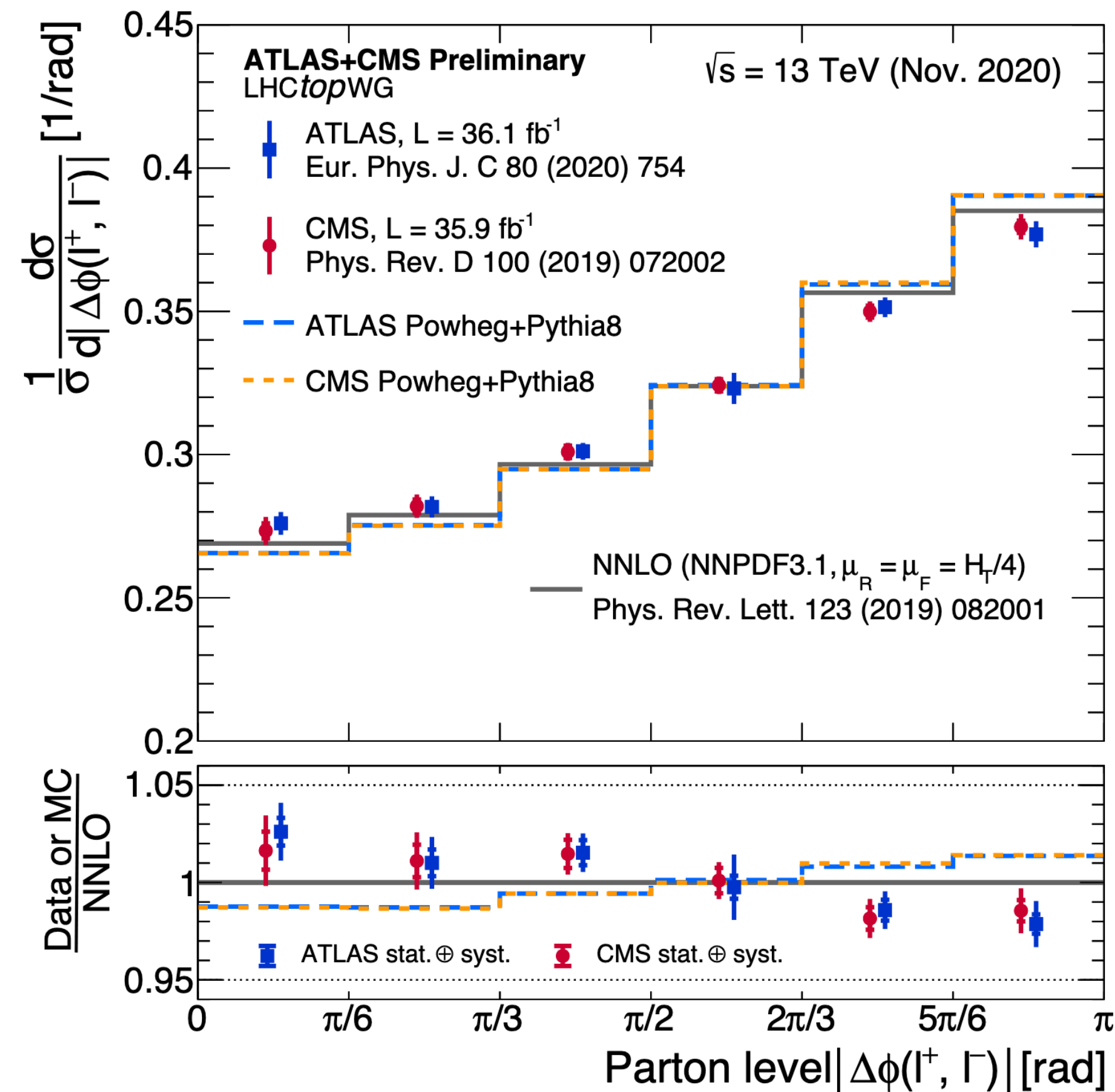
# Indirect measurements

- Direct measurement of spin correlations require **full reconstruction of the top and anti-top**.
- Spin correlations can however be **indirectly probed using leptons**
  - A charged lepton is a perfect spin analyzer, very well reconstructed at the LHC -> study the dilepton channel.
- For instance the angle between leptons in the transverse plane (lab frame)  $\Delta\phi$ 
  - Most of the shape of the distribution comes from tops kinematics



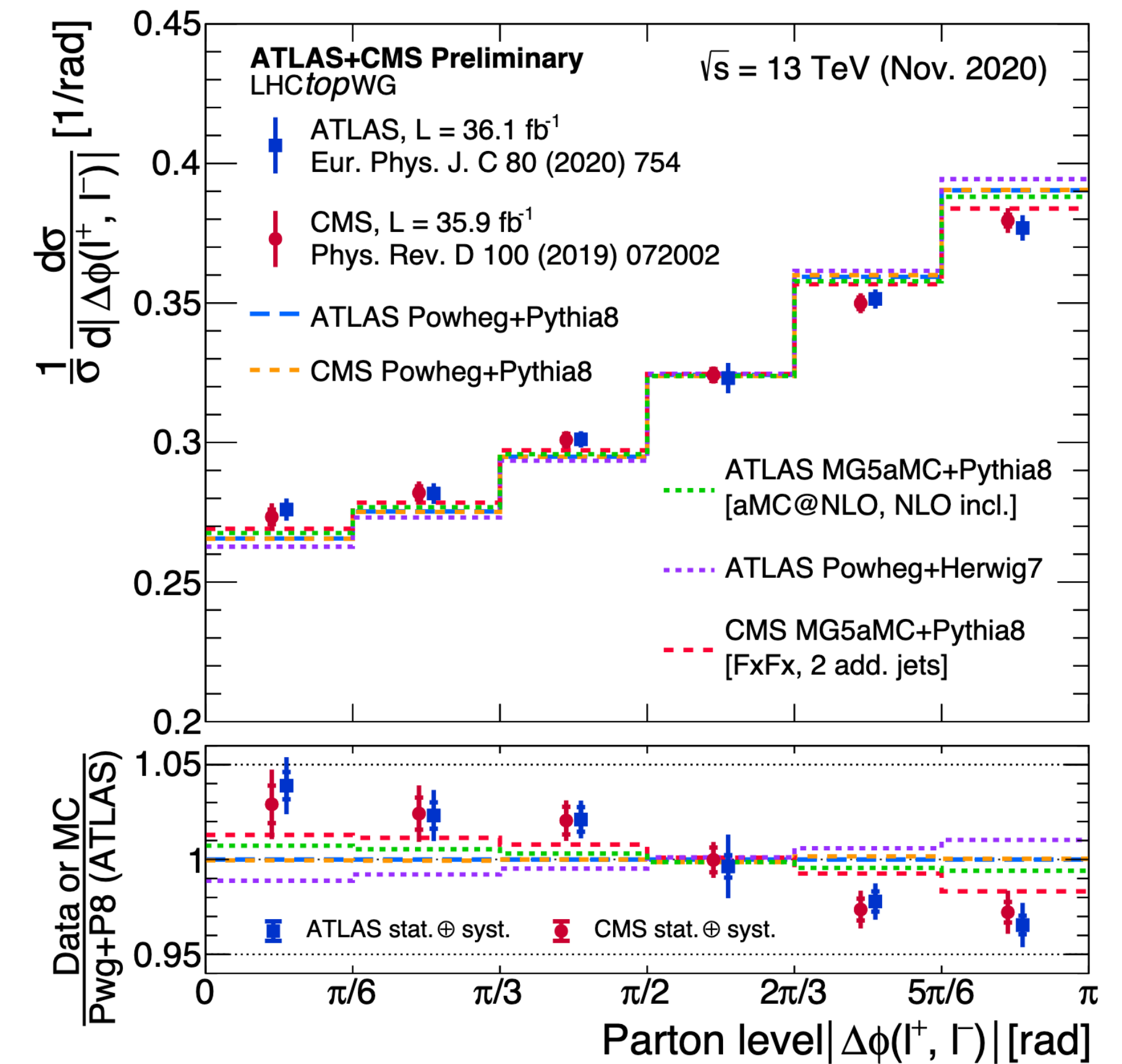


# Indirect measurements



Correct  $\Delta\phi$  distribution  
with acceptance and  
efficiency (unfold).

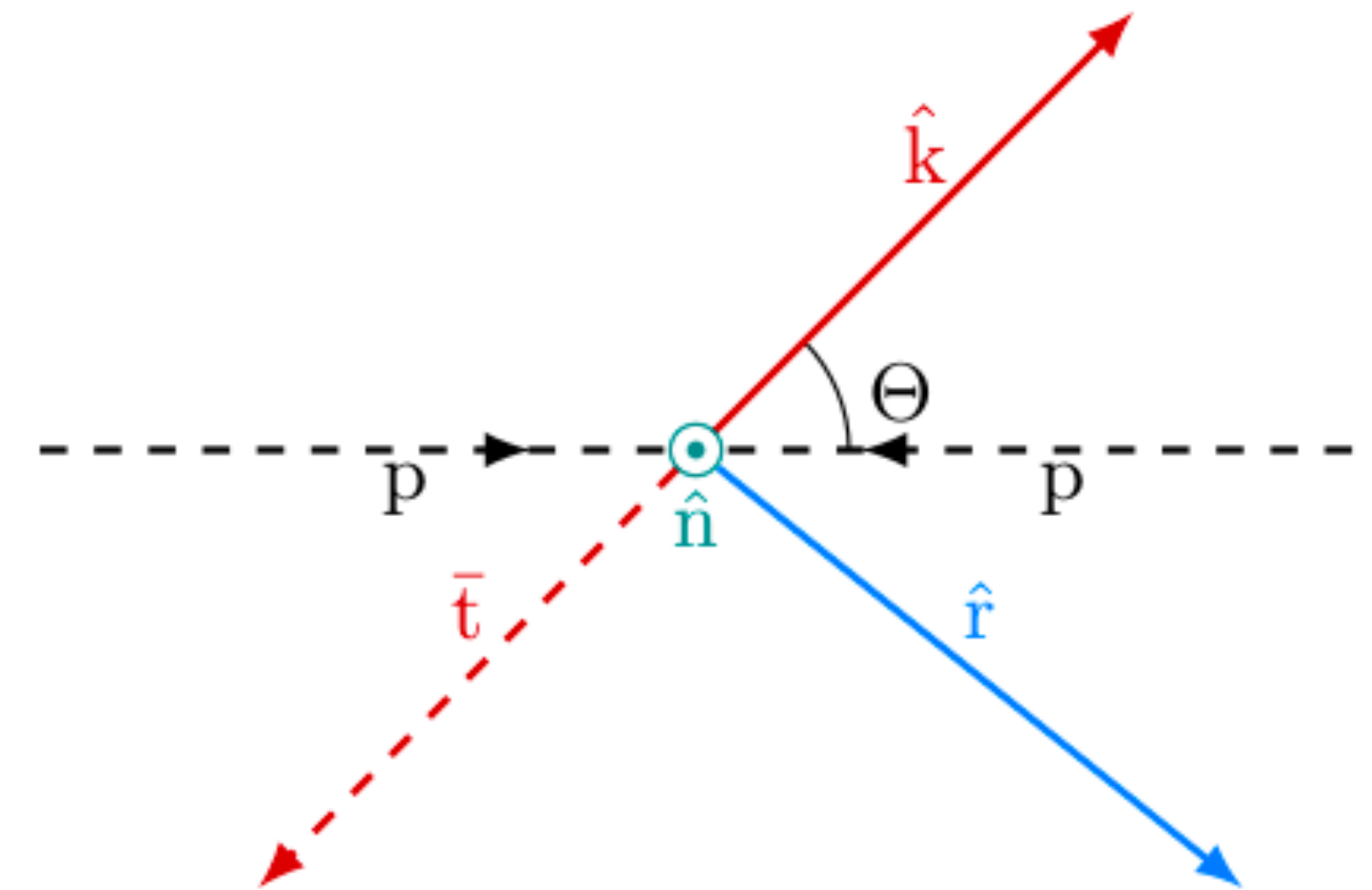
With 2016 datasets, both  
ATLAS and CMS saw  
discrepancies between  
data and NLO MC.



# Direct measurements

$$\frac{1}{\sigma} \frac{d\sigma}{d \cos \theta_1^i d \cos \theta_2^j} = \frac{1}{4} \left( 1 + B_1^i \cos \theta_1^i + B_2^j \cos \theta_2^j - C_{ij} \cos \theta_1^i \cos \theta_2^j \right)$$

- Dilepton distribution probes top spin in 3-dimensions:
- Leptons follow parent top spin, average polarization given by  $B_{1/2}^i$ .
- Relative lepton directions follow 3x3 spin correlation matrix  $C$ .
- Combined, these 15 coefficients completely characterize the spin dependance of  $t\bar{t}$  production.

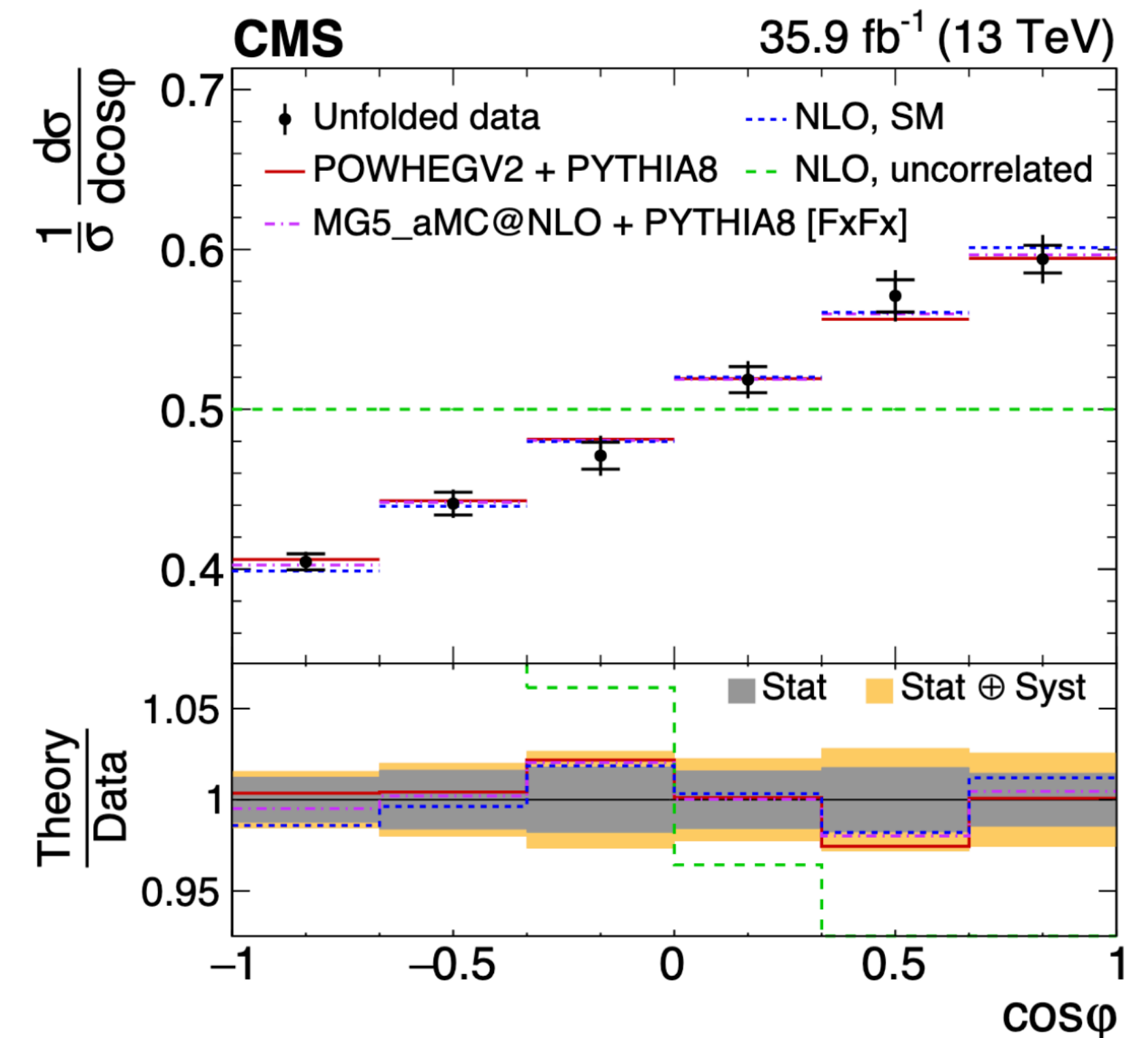


Phys. Rev. D 100, 072002

# Direct measurements

- Opening angle between the leptons  $\cos\varphi = \hat{\ell}^+ \cdot \hat{\ell}^-$  (in the parent top rest frame) has maximal sensitivity to the degree of alignment of top quark spins.
- By far the single most precise variable from Run2 measurements
  - Uncertainty  $\sim 5\%$ .
- One can extract the fraction of SM-like spin correlation events ( $f_{SM}$ ) using such precise variables.

$$\frac{1}{\sigma} \frac{d\sigma}{d\cos\varphi} = \frac{1}{2} (1 - D \cos\varphi)$$





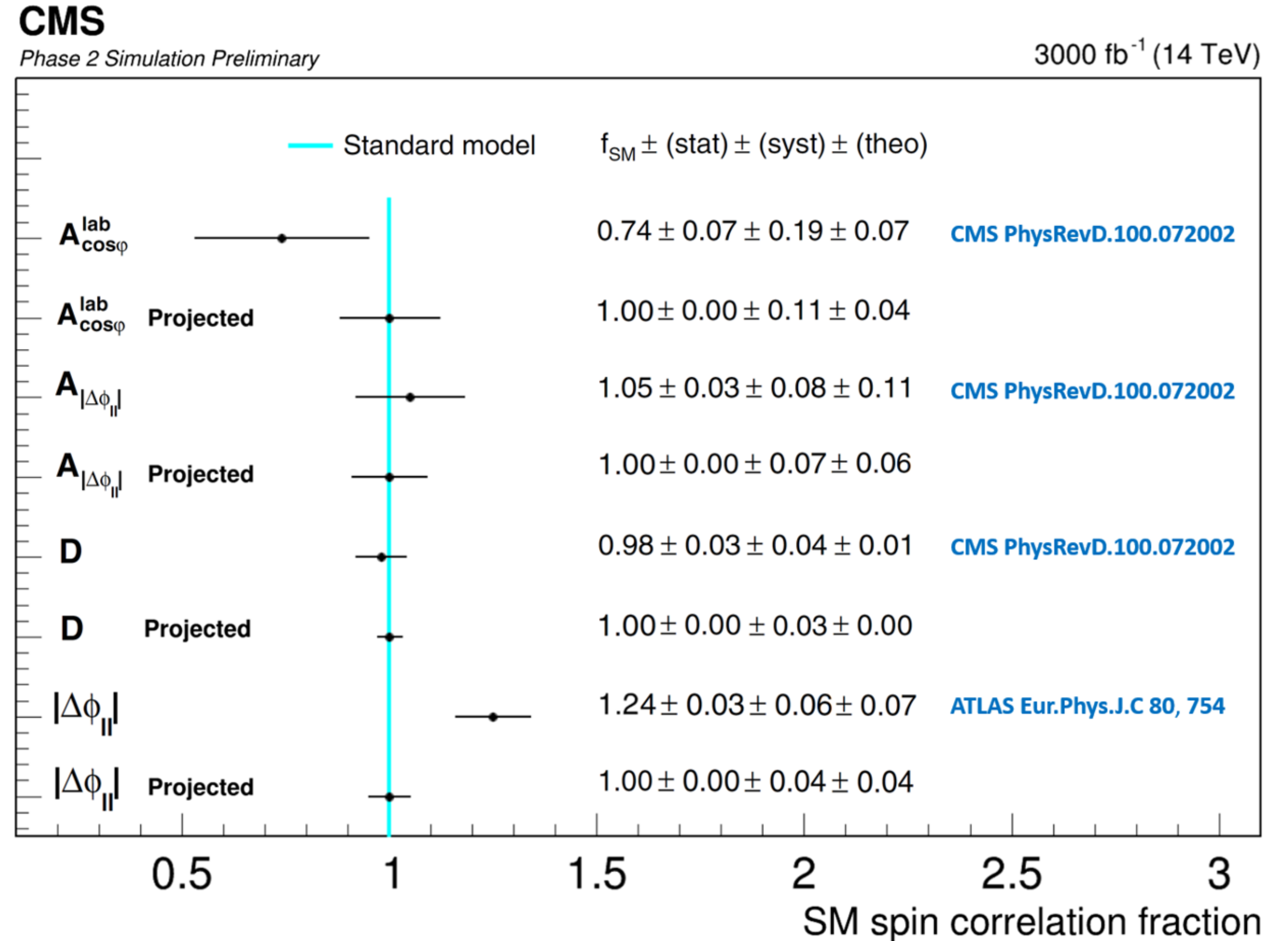
# Projected values for $f_{SM}$

- Extraction of  $f_{SM}$  requires a  $t\bar{t}$  sample with spin correlations turned off.

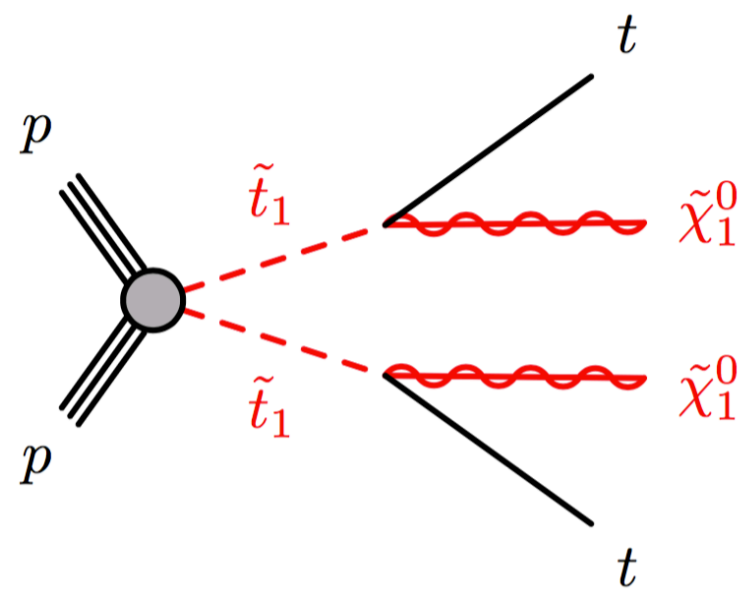
- Then for a given observable (say D) :

$$f_{SM} = \frac{D_{measured} - D_{theory,uncorrelated}}{D_{theory,correlated} - D_{theory,uncorrelated}}$$

- Jet energy scales and resolution uncertainties dominate.
- Ongoing study for spin corr projection at FCC-hh

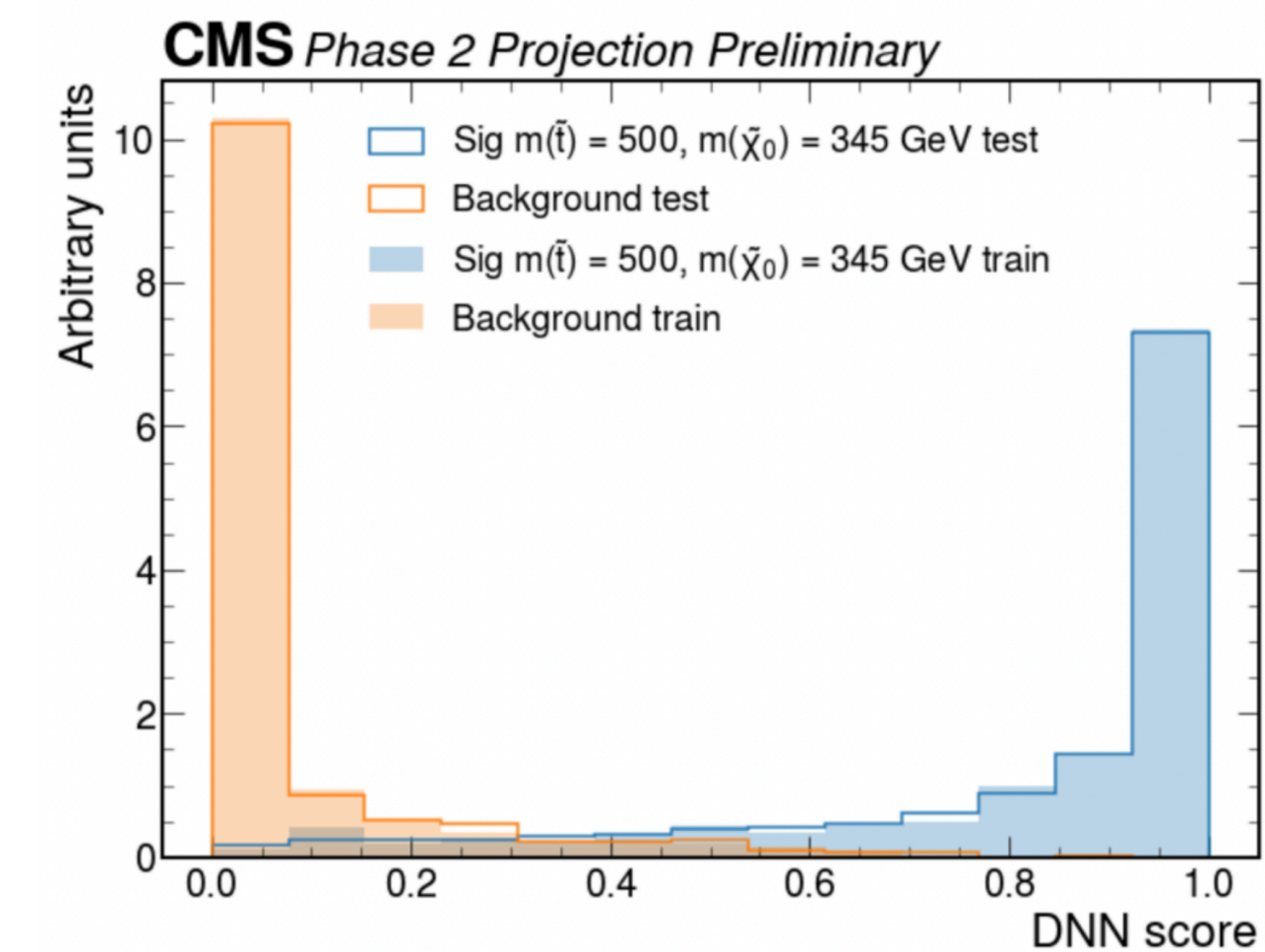
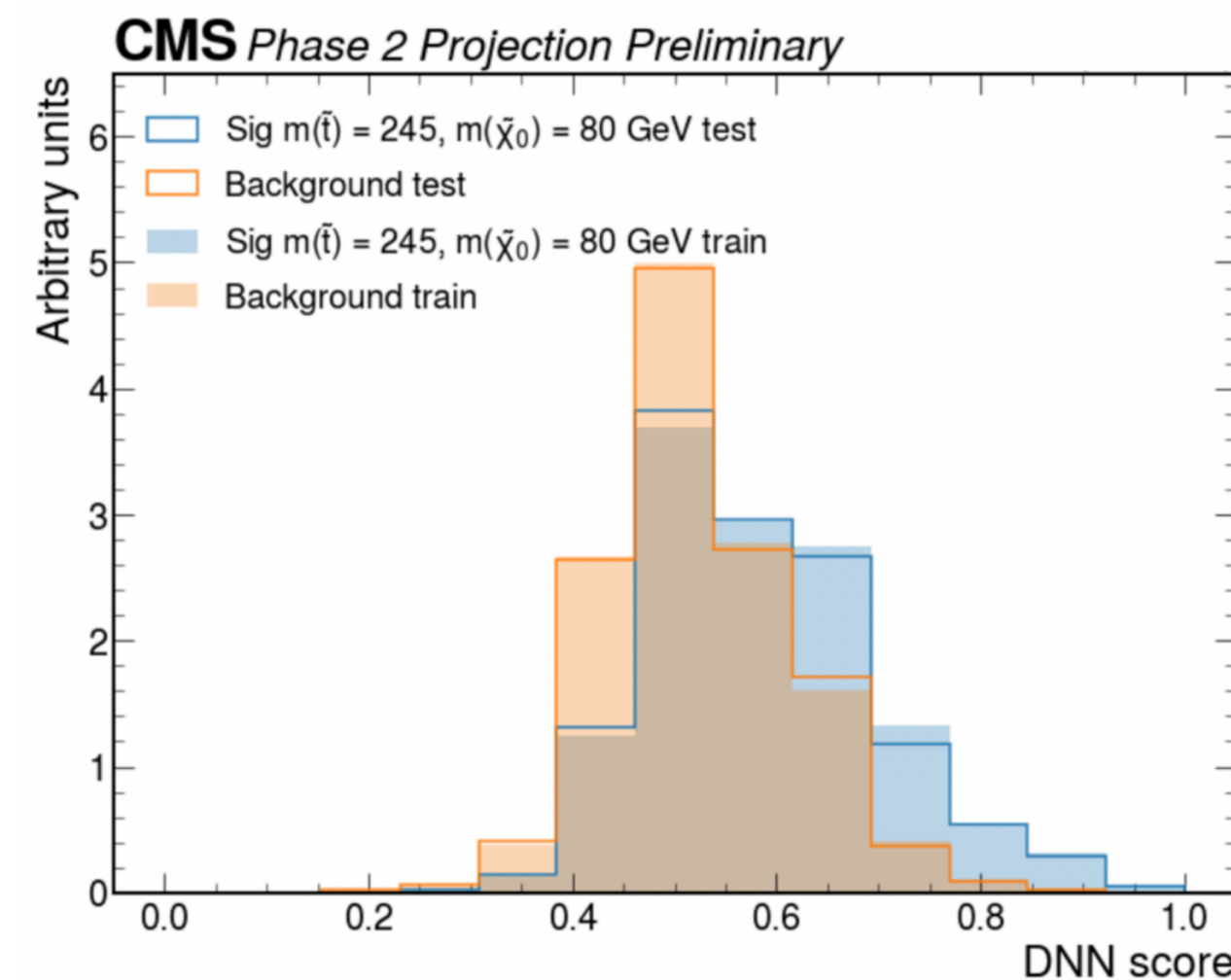
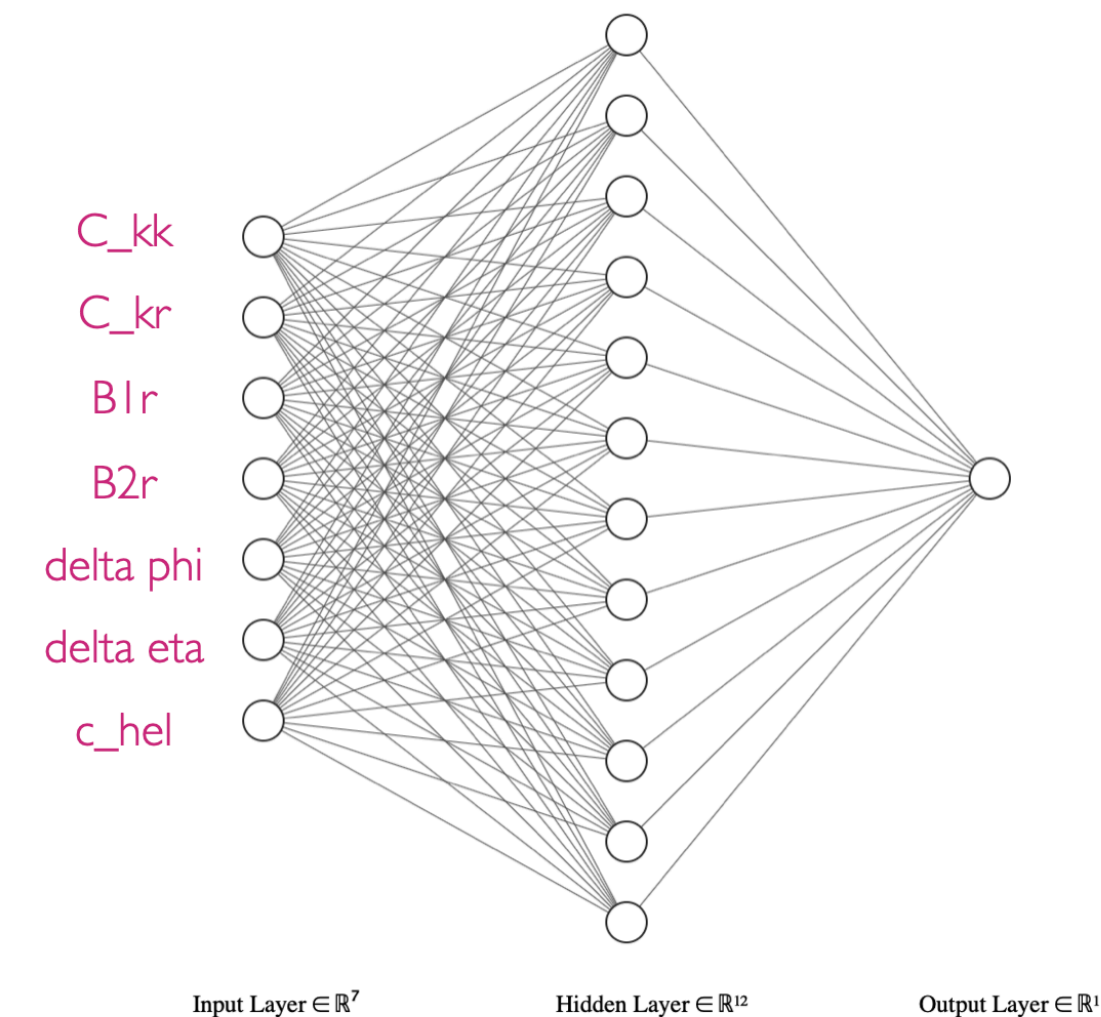


CMS PAS FTR-18-034



# SUSY interpretation

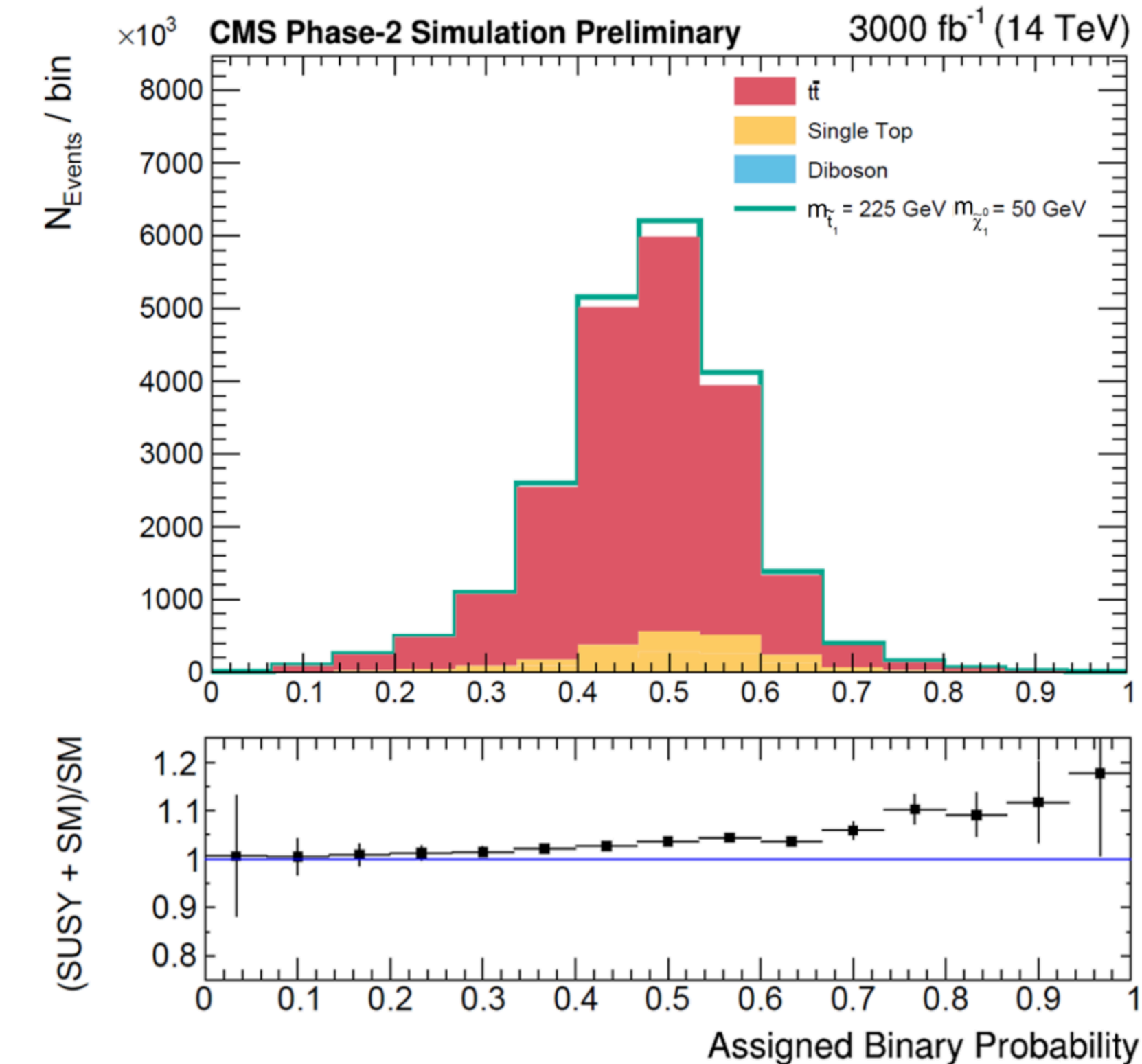
- Spin correlations are sensitive to new physics models that involve a **top quark partner**.
- For our projection we considered top squark partners in the **top corridor** upto a mass of 900 GeV.
- With 18 spin correlation variables as input, we use a parametric DNN to construct a discriminant sensitive to new physics.



CMS PAS FTR-18-034



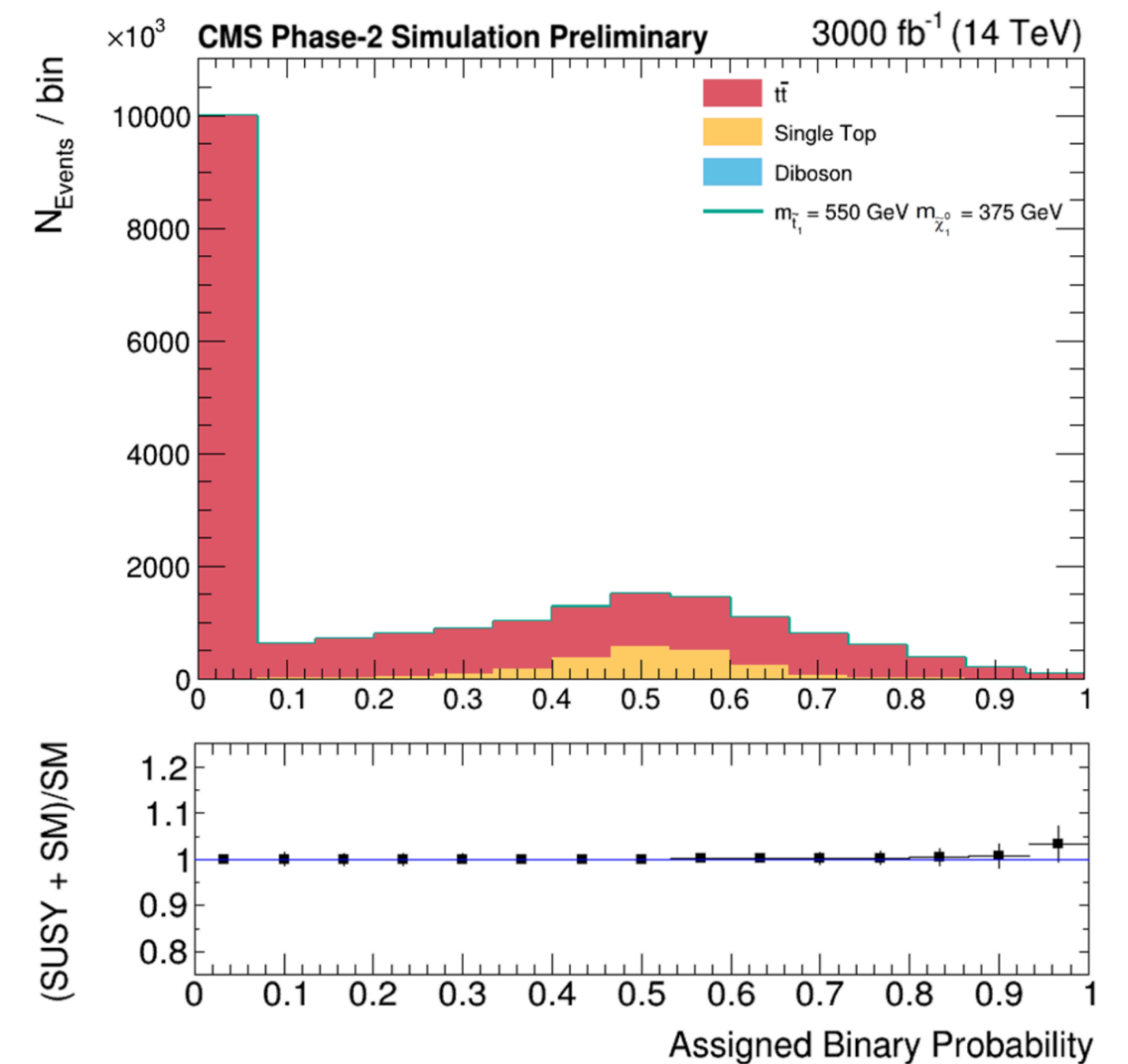
# DNN outputs along the top corridor



CMS PAS FTR-18-034

Higher stop masses are easier to separate using a DNN.

However, the cross-section drops exponentially, and the limits get tight

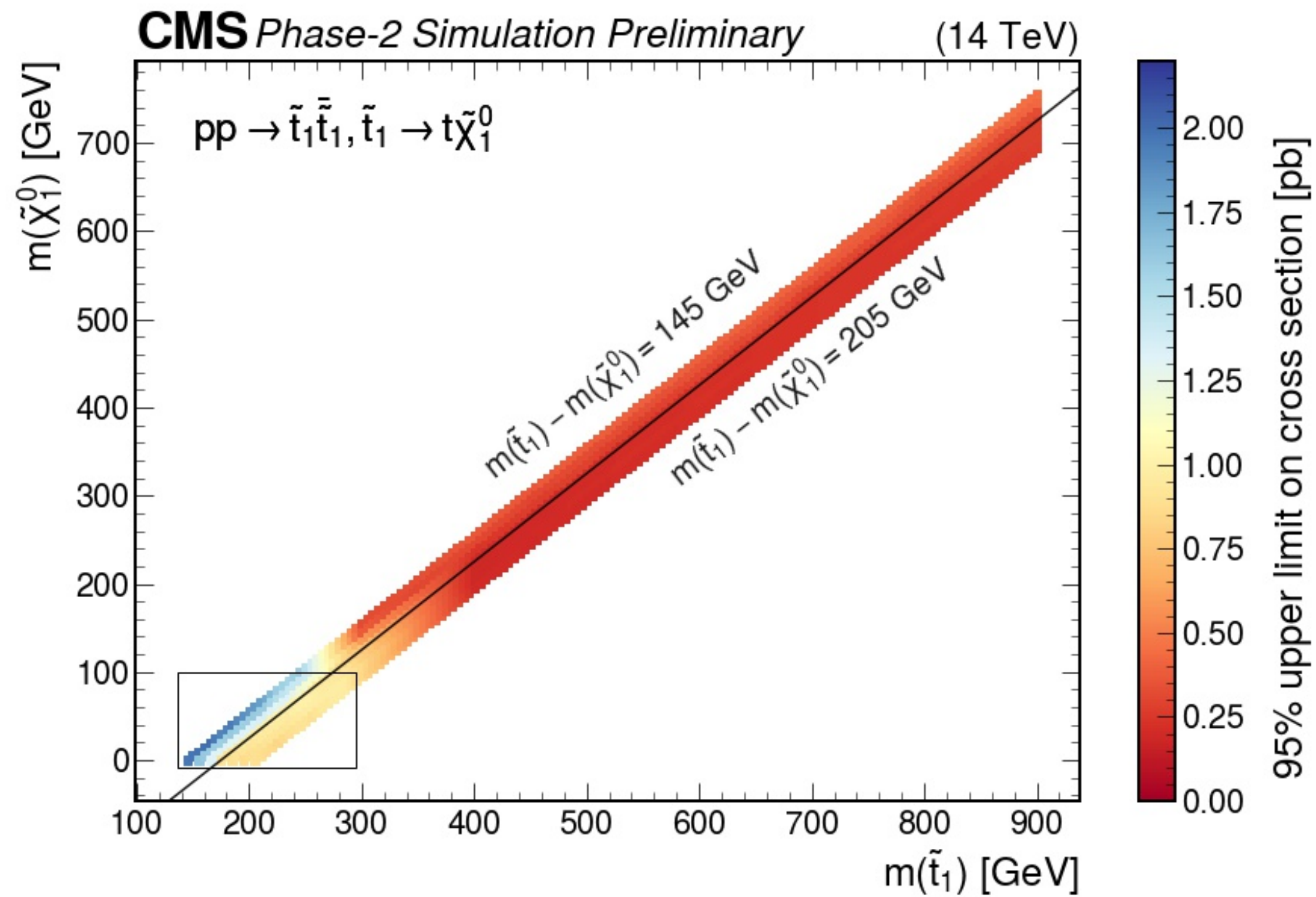


Parametric DNN output at different points along the top corridor

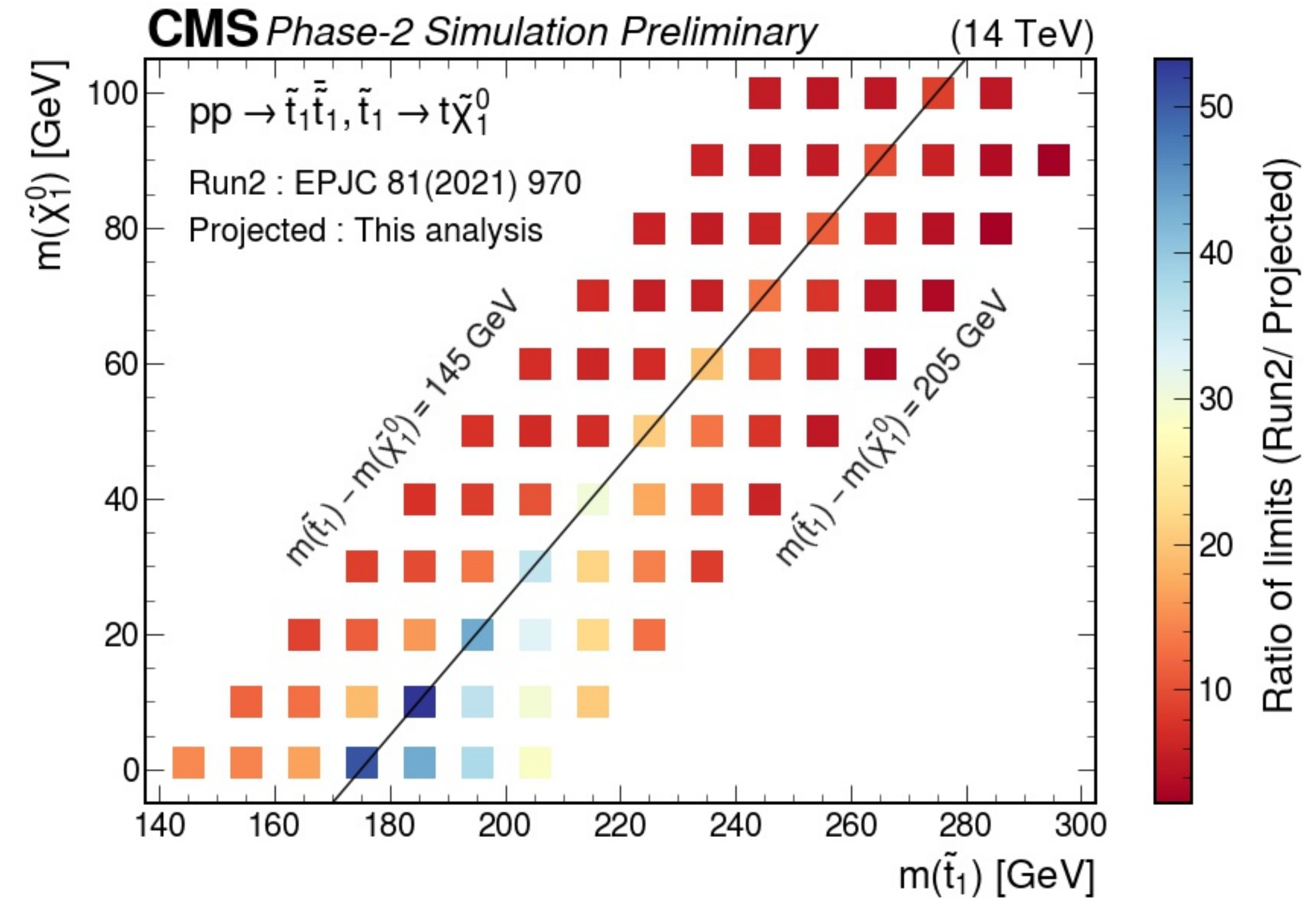


# Limits

CMS PAS FTR-18-034



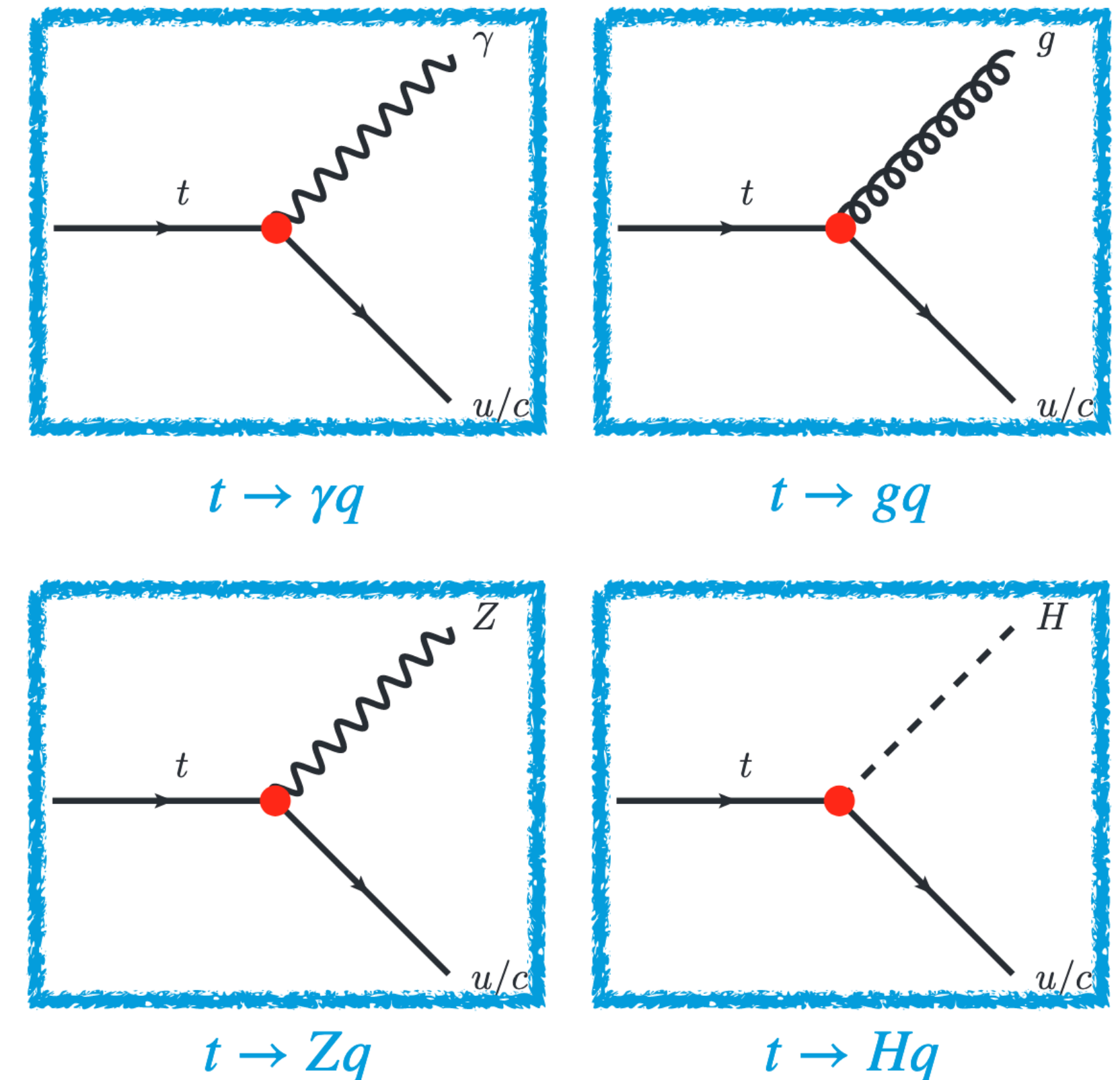
CMS PAS FTR-18-034



Order of magnitude improvement over existing limits.  
Gains by adding spin corr variables.

# Flavor Changing Neutral Currents

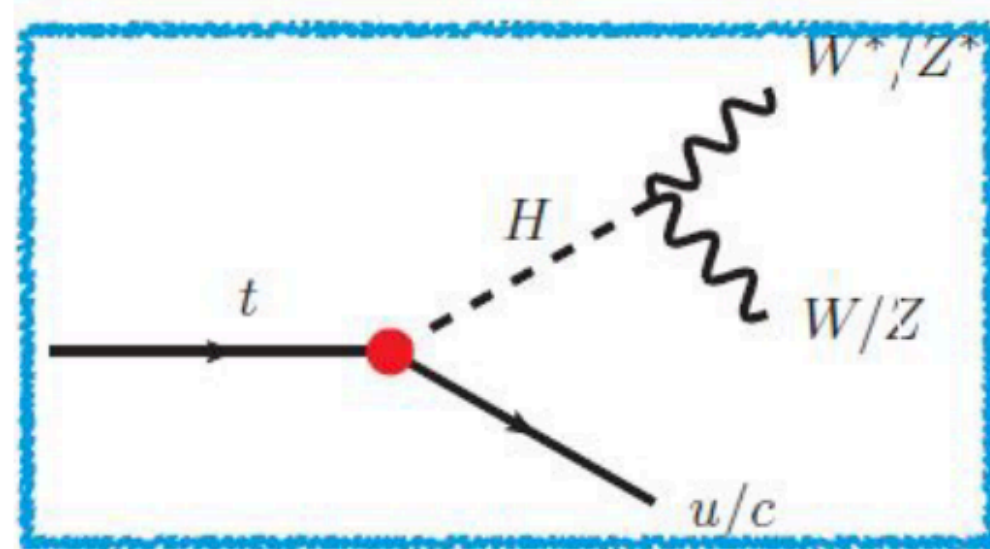
- Flavor changing neutral currents are forbidden at LO in the SM.
- At tree level suppressed by :
  - The GIM mechanism and small CKM matrix elements.
- Predicted branching fractions very small  $O(10^{-15} \sim 10^{-17})$ 
  - Significantly enhanced however in BSM extensions | MSSM  $O(10^{-7})$  Extra dimensions  $O(10^{-5})$



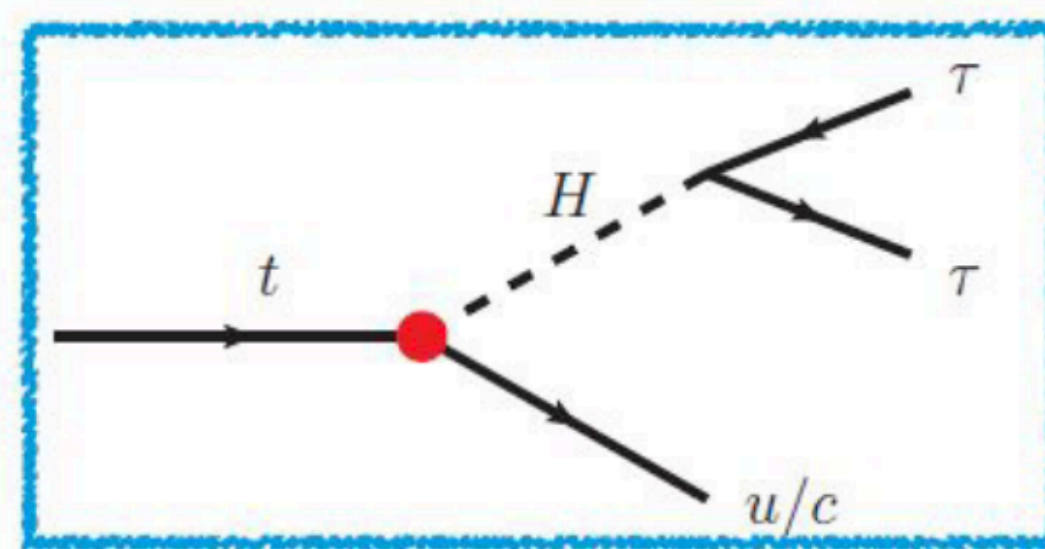
Graphics from  
<https://moriond.in2p3.fr/2019/EW/slides/>



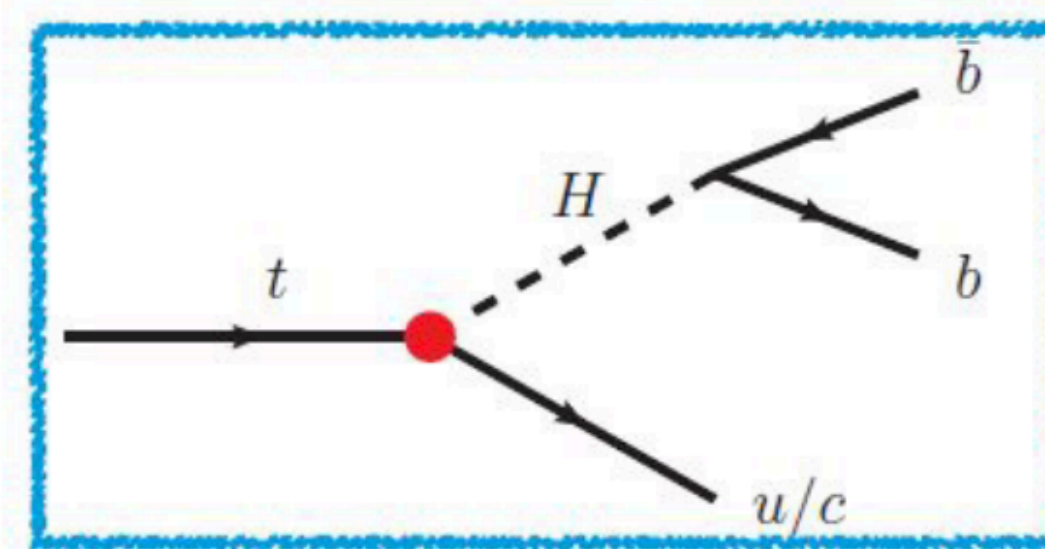
# Probing the $tHq$ vertex



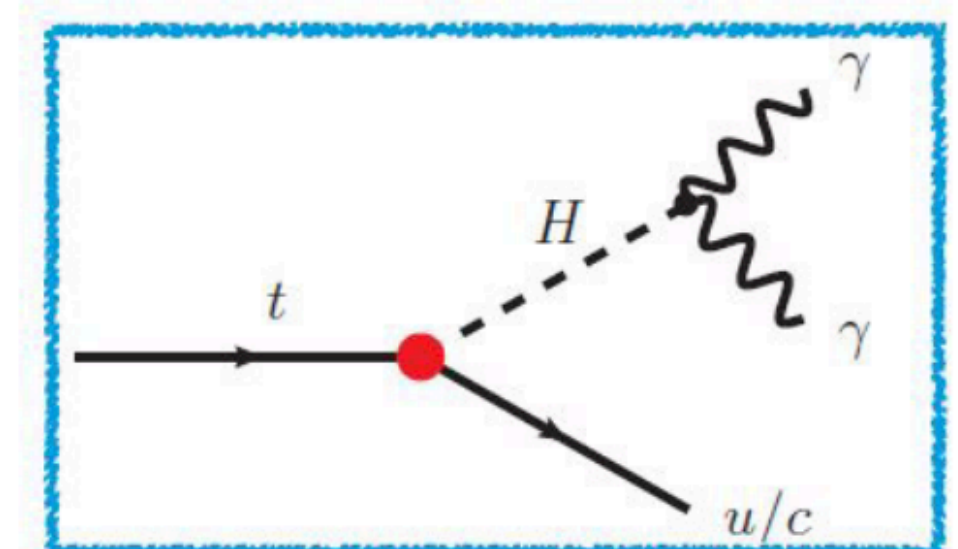
$H \rightarrow WW^*/ZZ^*$



$H \rightarrow \tau\tau$



$H \rightarrow b\bar{b}$



$H \rightarrow \gamma\gamma$

- Many accessible signatures depending upon the [Higgs decay channel](#).
- Dedicated analyses for each channel, final combination by [ATLAS](#).

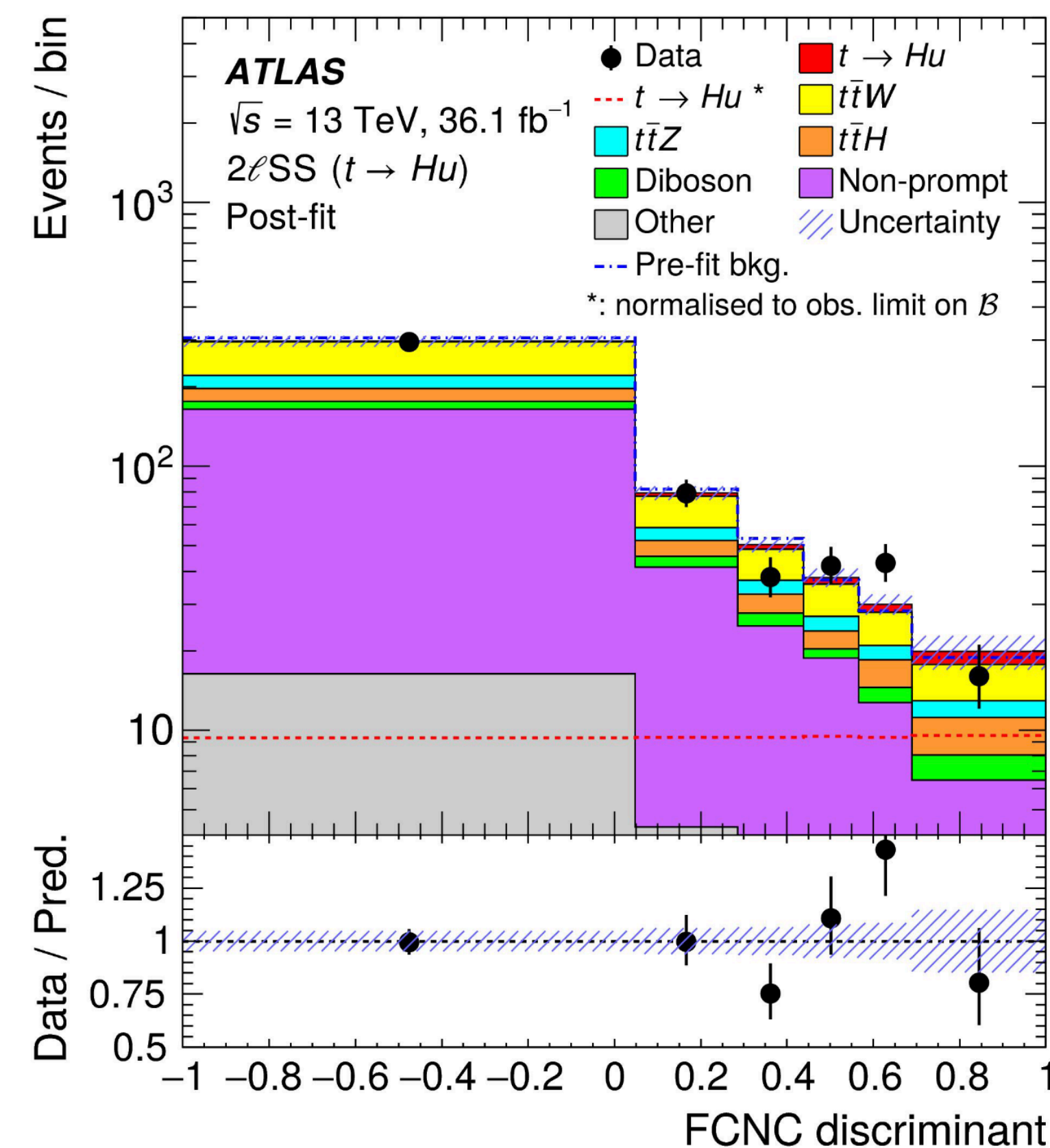
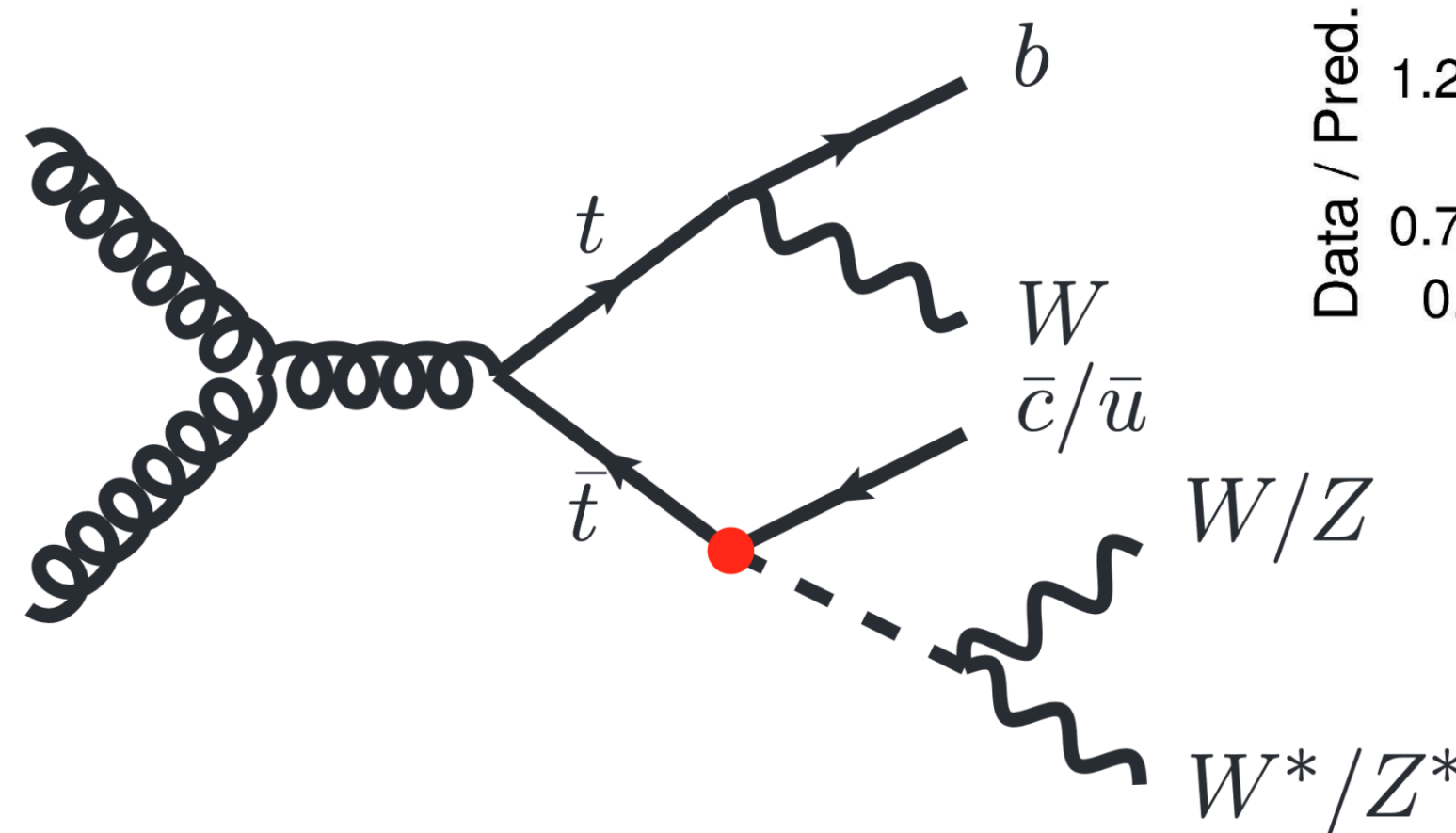
# Probing the $tHq$ vertex

- The Diboson final state provides for a **very clean signature** :
- 2 same-sign leptons / 3 leptons
- Main backgrounds are the  $t\bar{t}W$  and non-prompt leptons.
- Dominant systematics : **modeling uncertainties, statistics for data-driven estimates**

$$t \rightarrow H(WW^*/ZZ^*)q$$

$$\mathcal{B}(t \rightarrow Hu) < 1.9(1.5) \times 10^{-3}$$

$$\mathcal{B}(t \rightarrow Hc) < 1.6(1.5) \times 10^{-3}$$



Phys. Rev. D 98, 032002 (2018)



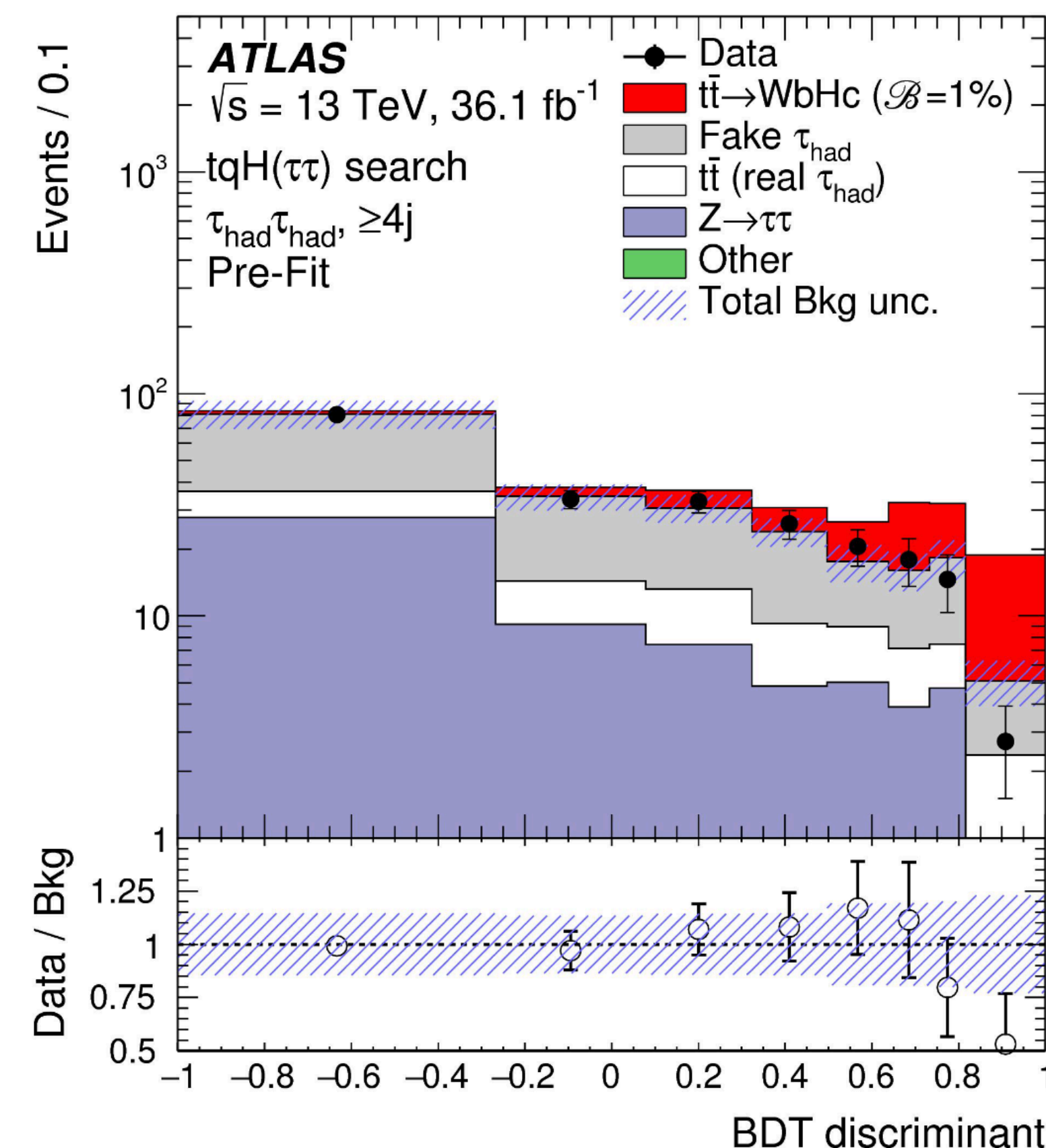
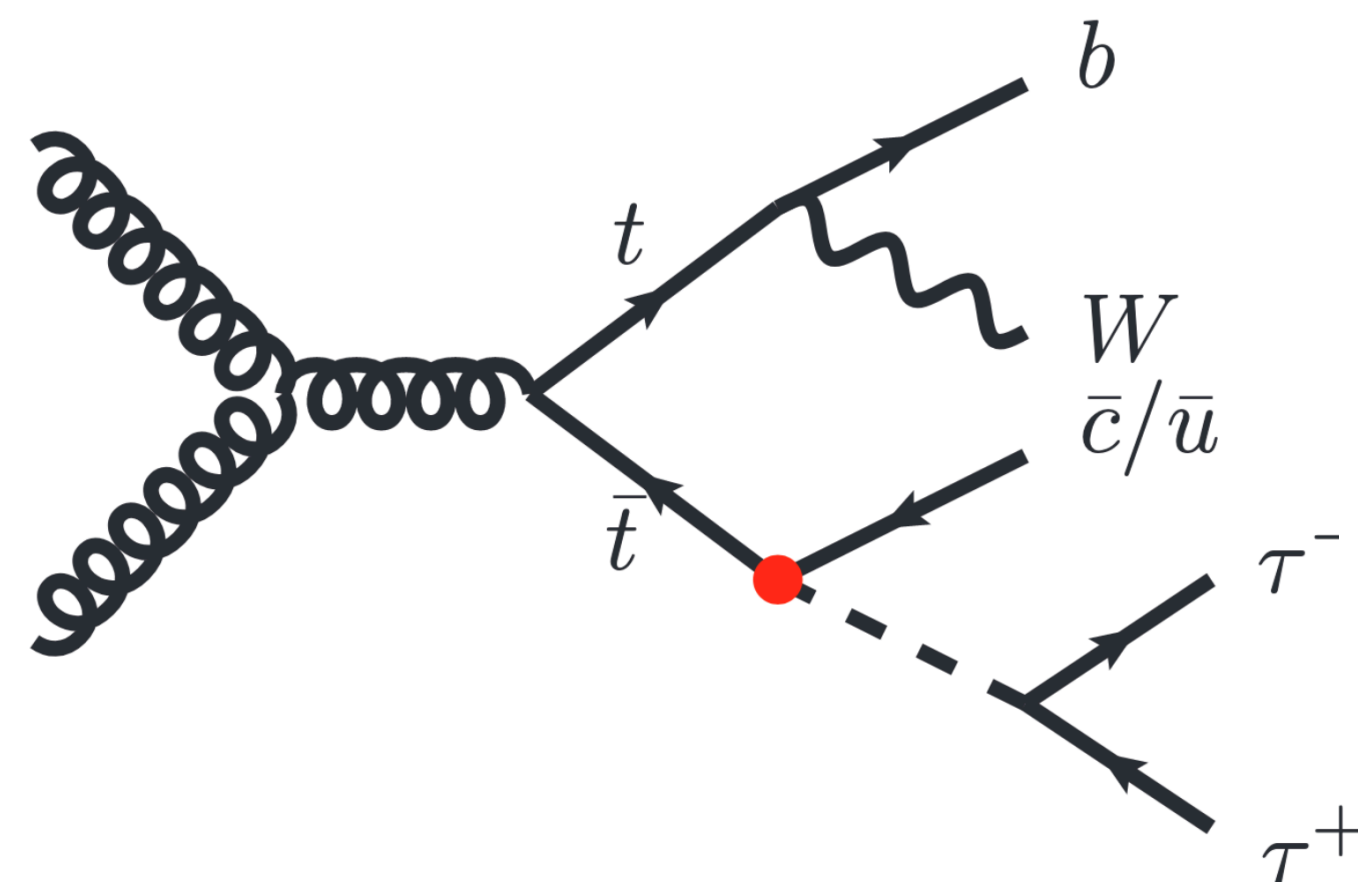
# Probing the $tHq$ vertex

- Select events with :
  - Leptons and/or hadronic taus
  - Main backgrounds from fake taus
  - Dominant systematics : fake tau modeling uncertainties

$$t \rightarrow H(\tau\tau)q$$

$$\mathcal{B}(t \rightarrow Hu) < 1.7 \text{ (2.0)} \times 10^{-3}$$

$$\mathcal{B}(t \rightarrow Hc) < 1.9 \text{ (2.1)} \times 10^{-3}$$



JHEP 05 (2019) 123

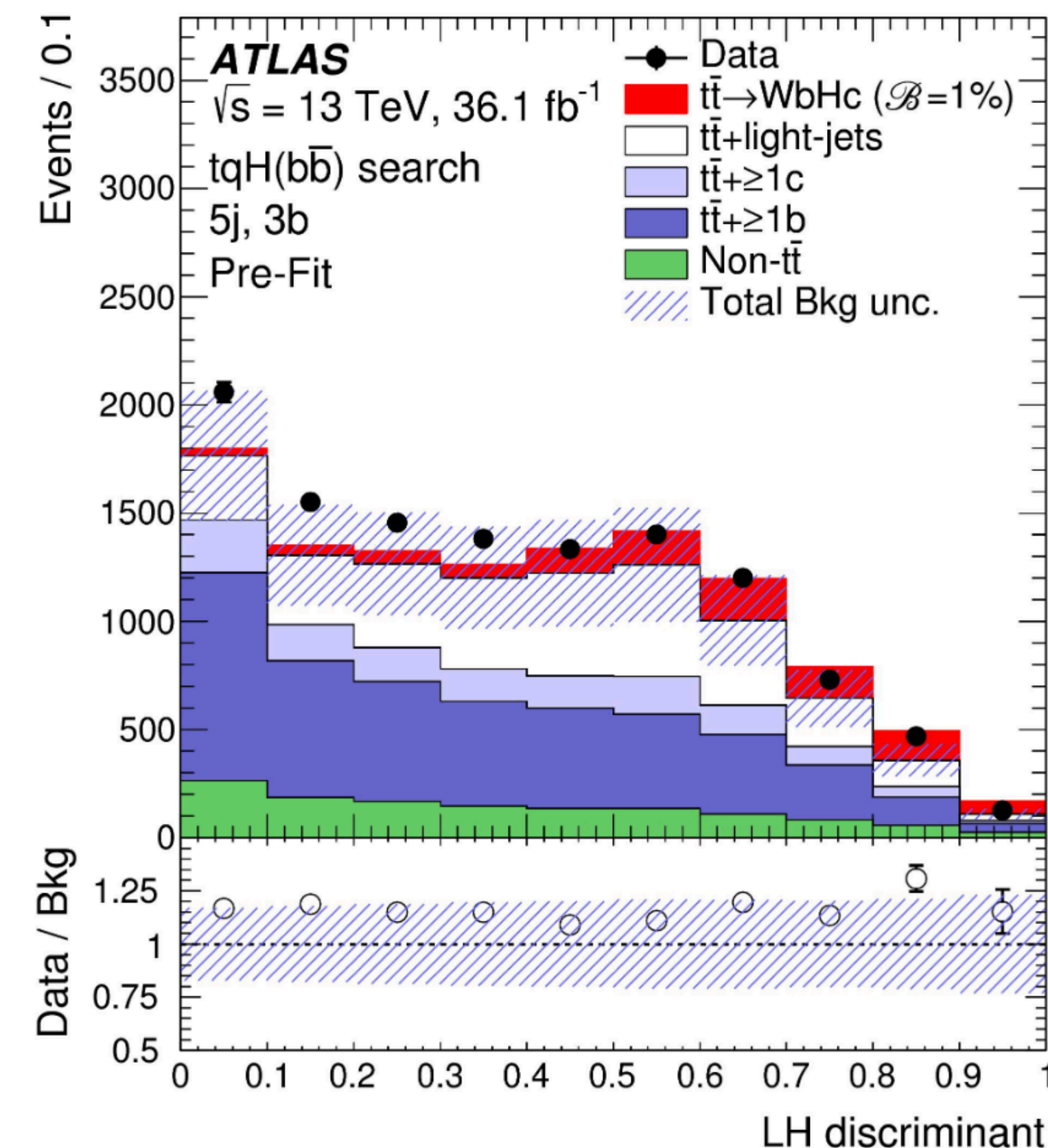
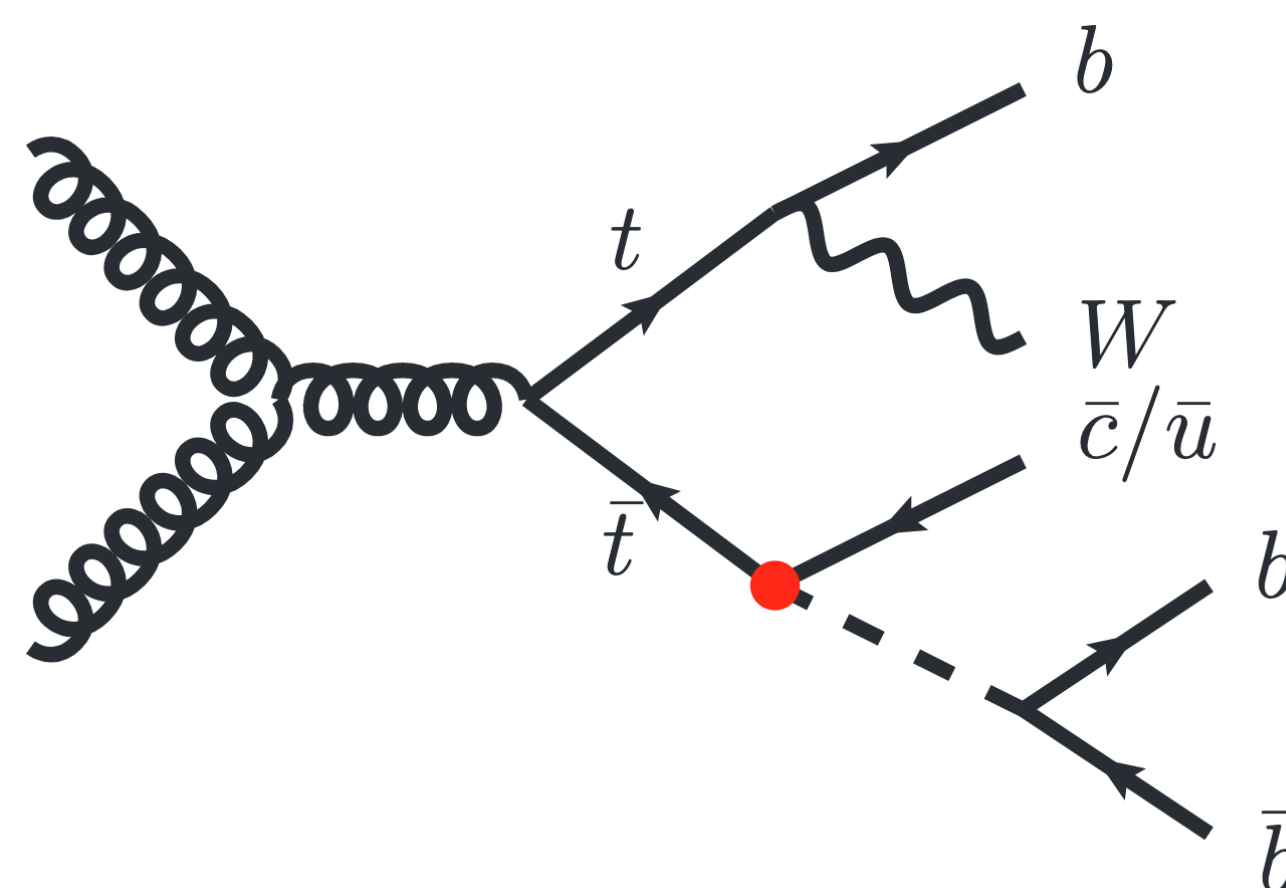
# Probing the $tHq$ vertex

- Final state looks like :
- 1-lepton and several b-jets
- Main backgrounds from  $t\bar{t}$  and heavy flavor jets.
- Dominant systematics :  $c$ -jet mistagging

$$t \rightarrow H(b\bar{b})q$$

$$\mathcal{B}(t \rightarrow Hu) < 5.2 \text{ (4.9)} \times 10^{-3}$$

$$\mathcal{B}(t \rightarrow Hc) < 4.2 \text{ (4.0)} \times 10^{-3}$$



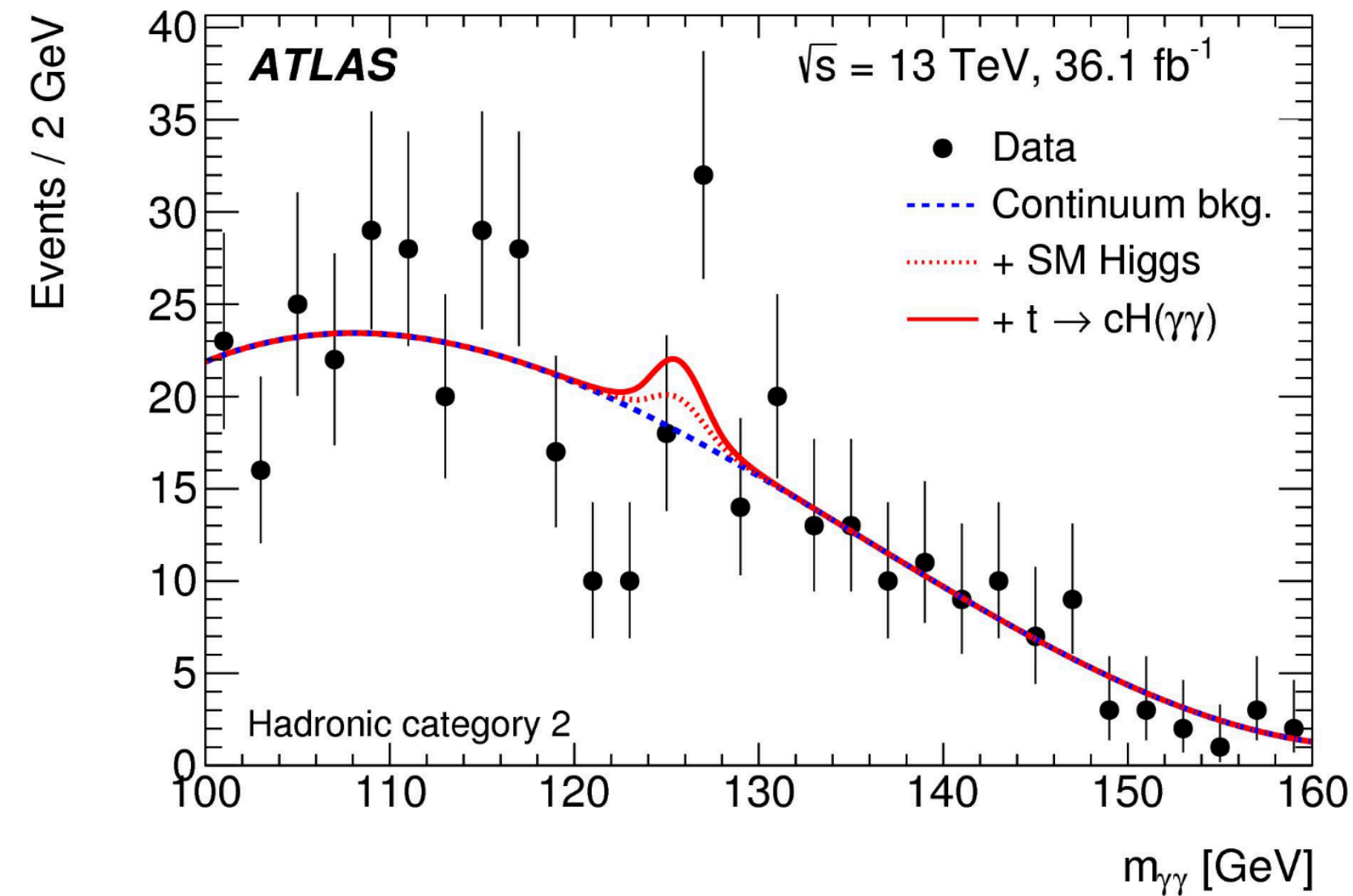
JHEP 05 (2019) 123





# Probing the $tHq$ vertex

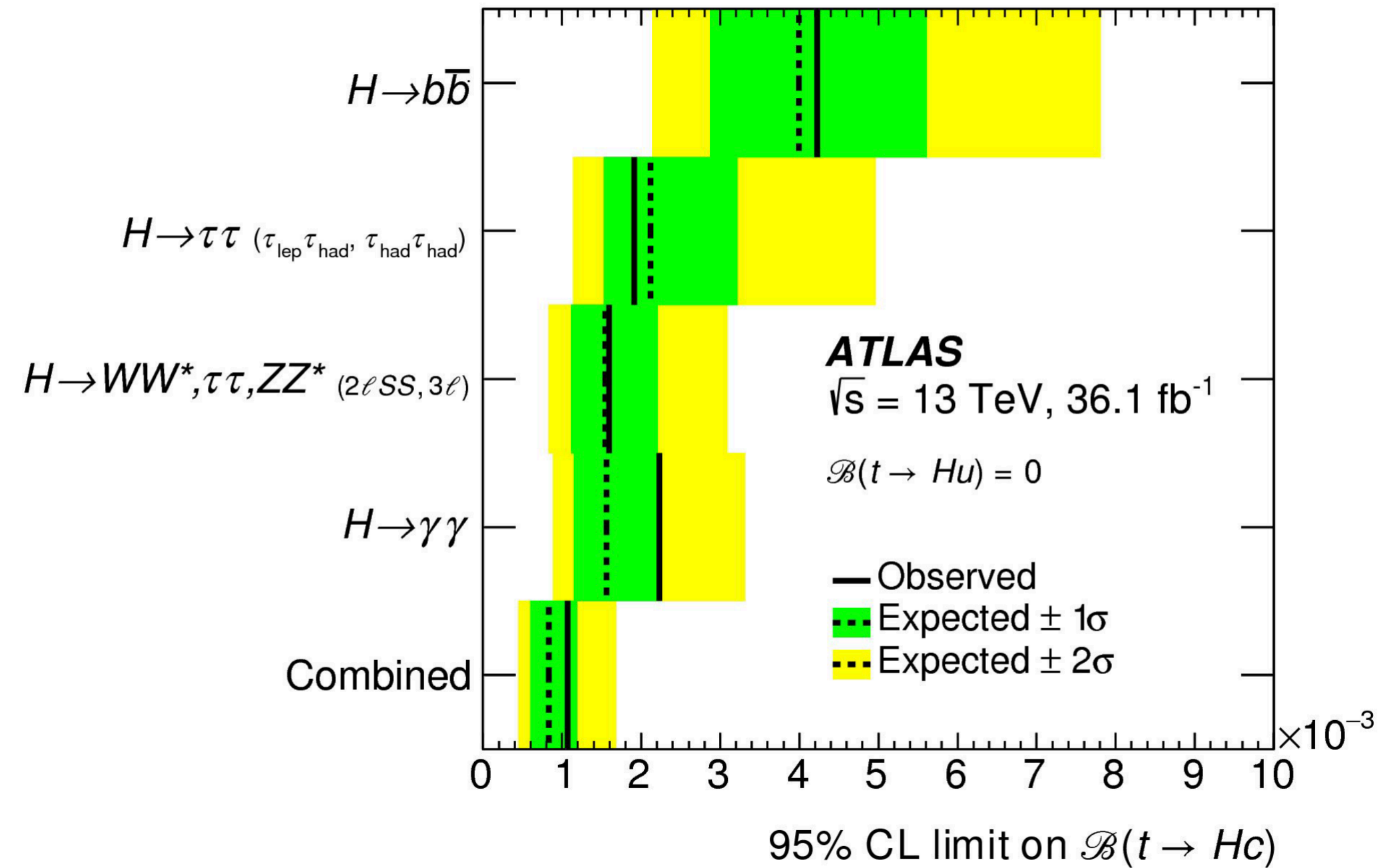
JHEP 05 (2019) 123



$$\mathcal{B}(t \rightarrow Hu) < 1.2 \text{ (0.83)} \times 10^{-3}$$

$$\mathcal{B}(t \rightarrow Hc) < 1.1 \text{ (0.83)} \times 10^{-3}$$

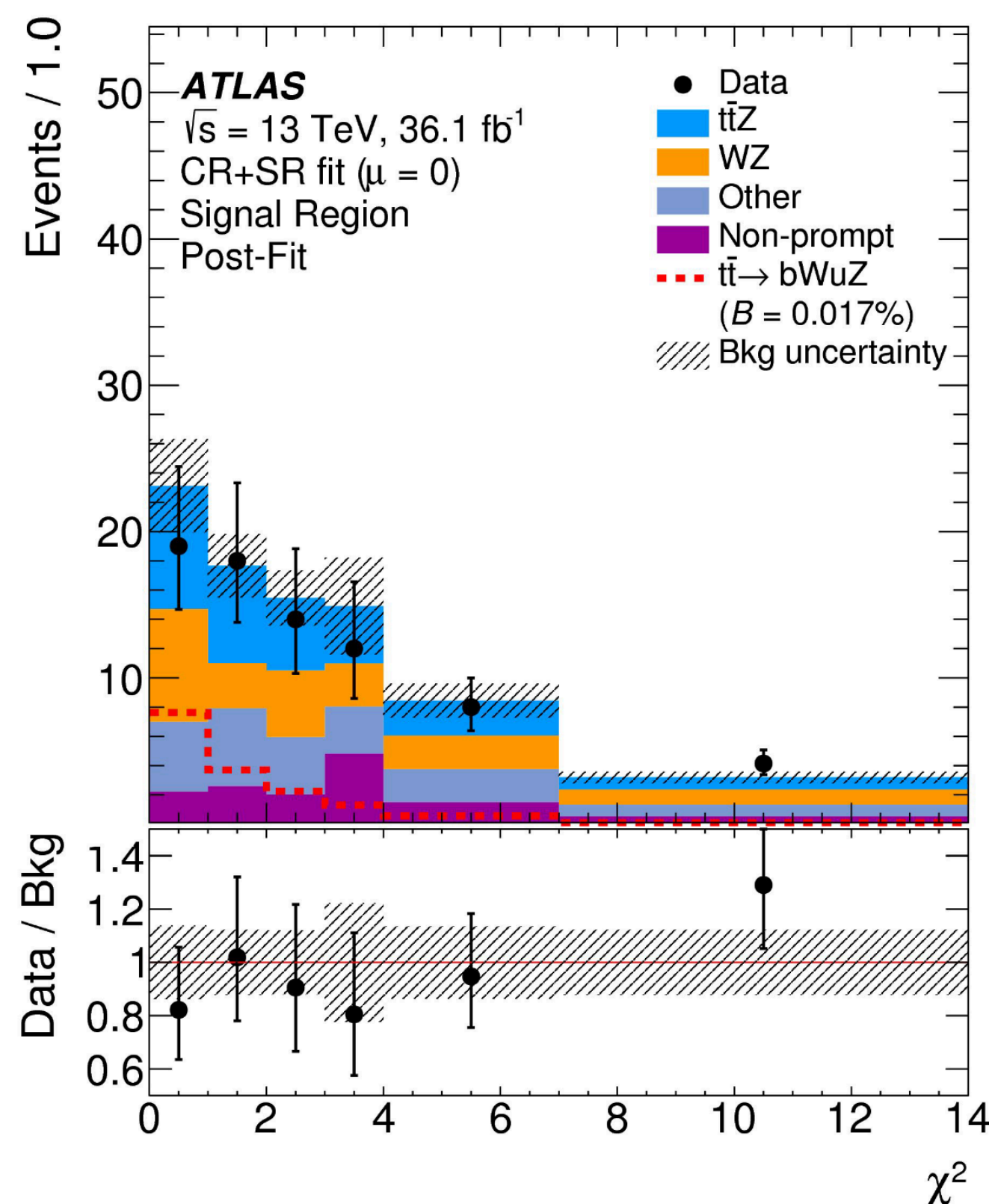
JHEP 05 (2019) 123



# Probing the $tZq$ vertex

$$\mathcal{B}(t \rightarrow Zu) < 1.7 \text{ (2.4)} \times 10^{-4}$$

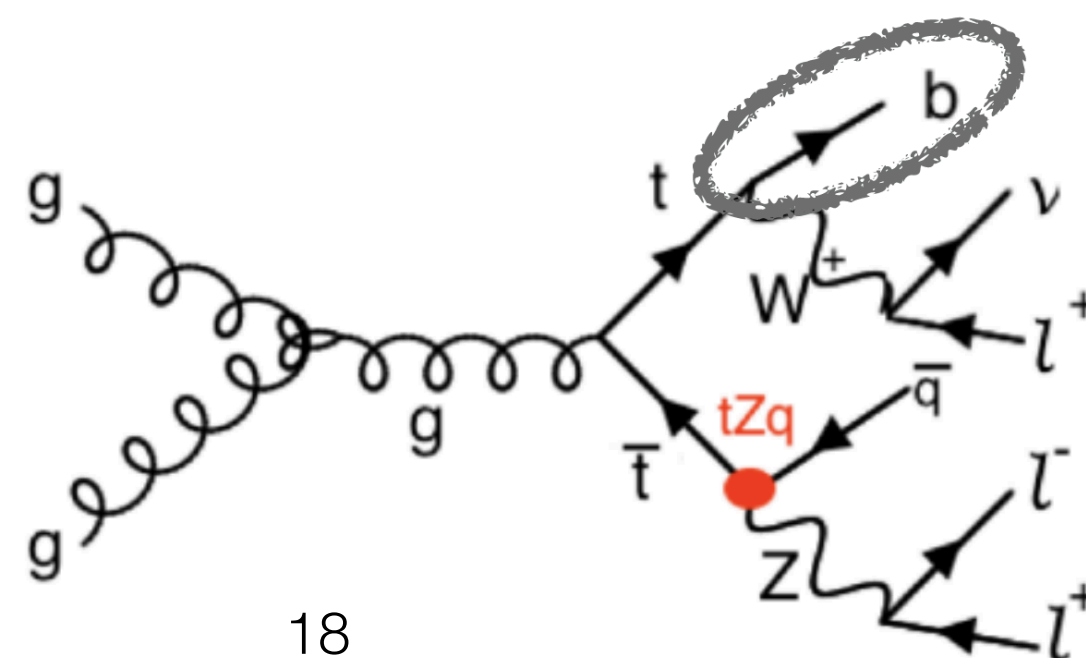
$$\mathcal{B}(t \rightarrow Zc) < 2.4 \text{ (3.2)} \times 10^{-4}$$



- The  $tZq$  vertex also has a clear experiment signature :

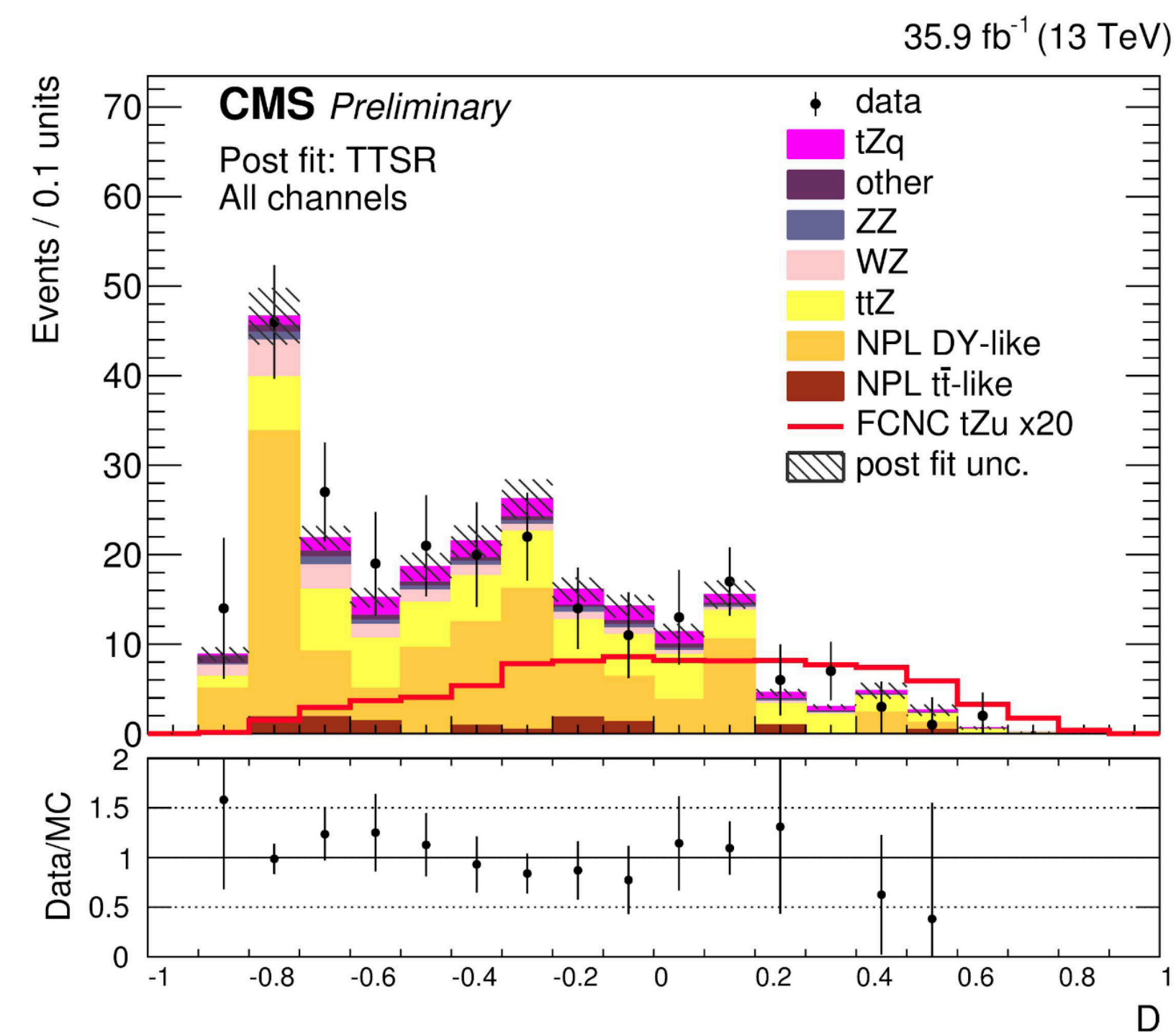
- 3 leptons (2 with  $m_{ll}$  close to  $Z$  peak)

- Dominant backgrounds :  $t\bar{t}Z$
- Dominant systematics : background modeling



$$\mathcal{B}(t \rightarrow Zu) < 2.4 \text{ (1.5)} \times 10^{-4}$$

$$\mathcal{B}(t \rightarrow Zc) < 4.5 \text{ (3.7)} \times 10^{-4}$$



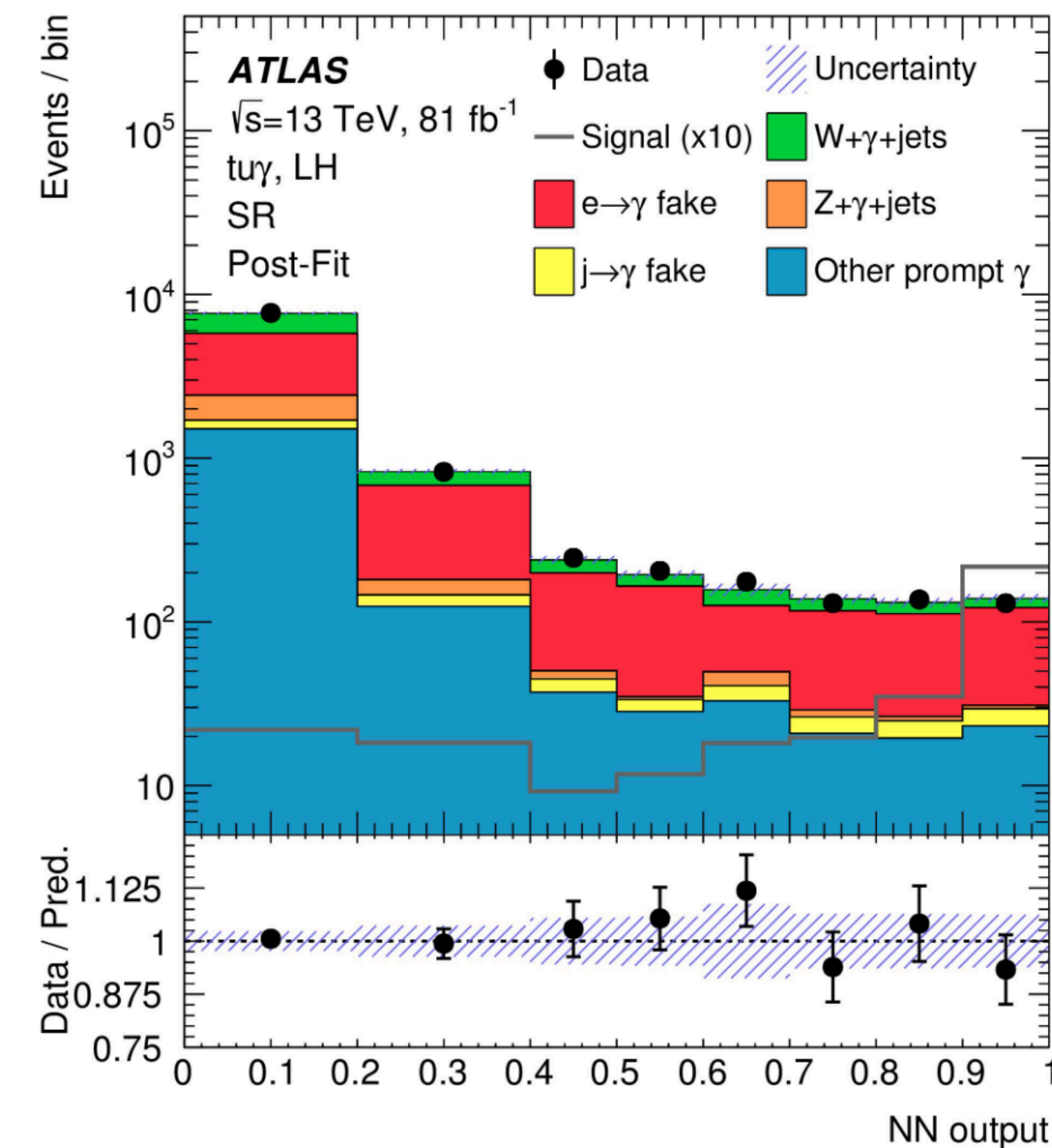
CMS-PAS-TOP-17-017





# Probing the $t\gamma q$ and $tgq$ vertices

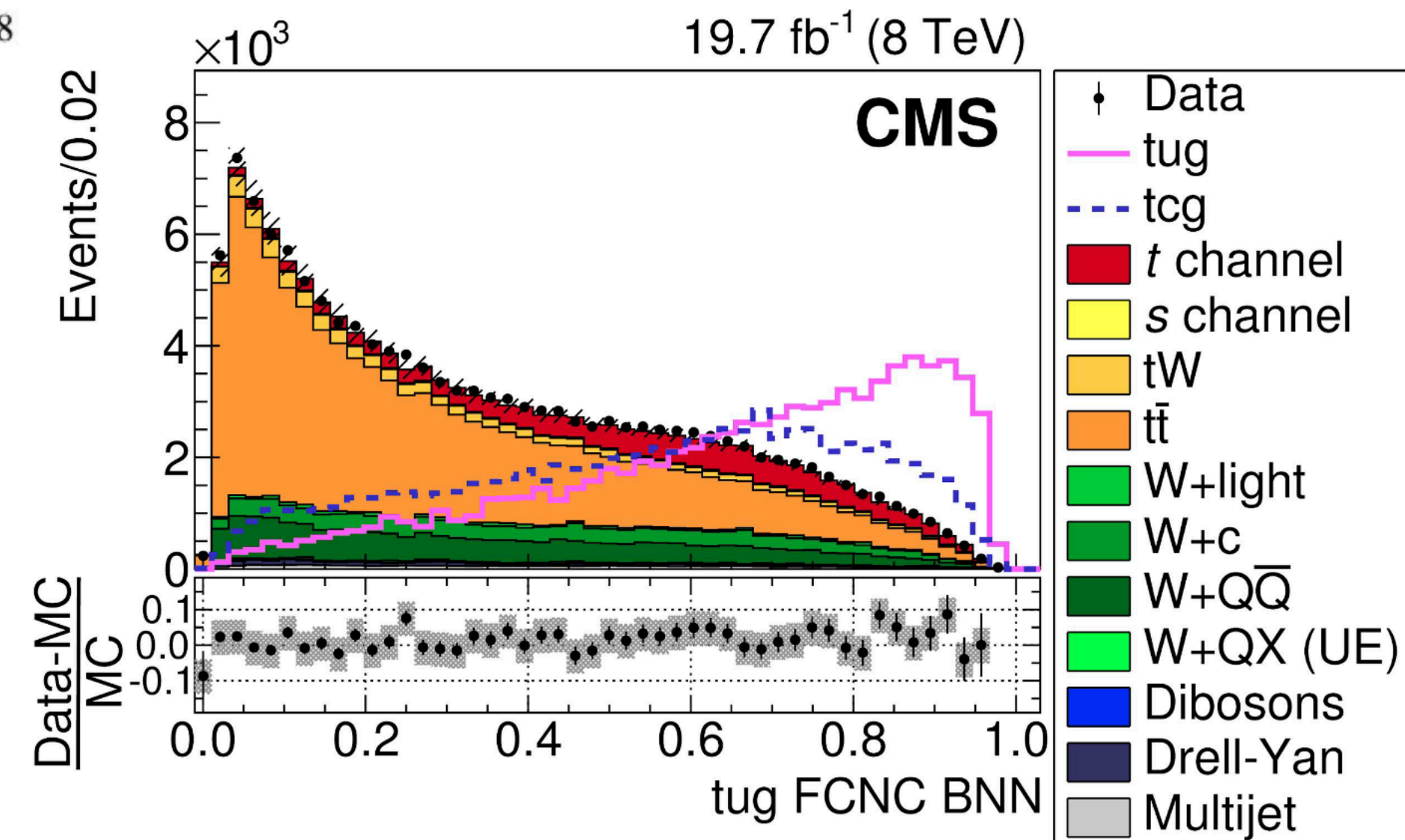
Phys. Lett. B 800 (2019) 135082



$\mathcal{B}(t \rightarrow q\gamma) [10^{-5}]$	$t\gamma q$	LH	2.8	$4.0^{+1.6}_{-1.1}$
$\mathcal{B}(t \rightarrow q\gamma) [10^{-5}]$	$t\gamma q$	RH	6.1	$5.9^{+2.4}_{-1.6}$
$\mathcal{B}(t \rightarrow q\gamma) [10^{-5}]$	$tc\gamma$	LH	22	$27^{+11}_{-7}$
$\mathcal{B}(t \rightarrow q\gamma) [10^{-5}]$	$tc\gamma$	RH	18	$28^{+12}_{-8}$

$$\mathcal{B}(t \rightarrow gu) < 2.0 (2.8) \times 10^{-5}$$

$$\mathcal{B}(t \rightarrow gc) < 4.1 (2.8) \times 10^{-4}$$



JHEP 02 (2017) 028



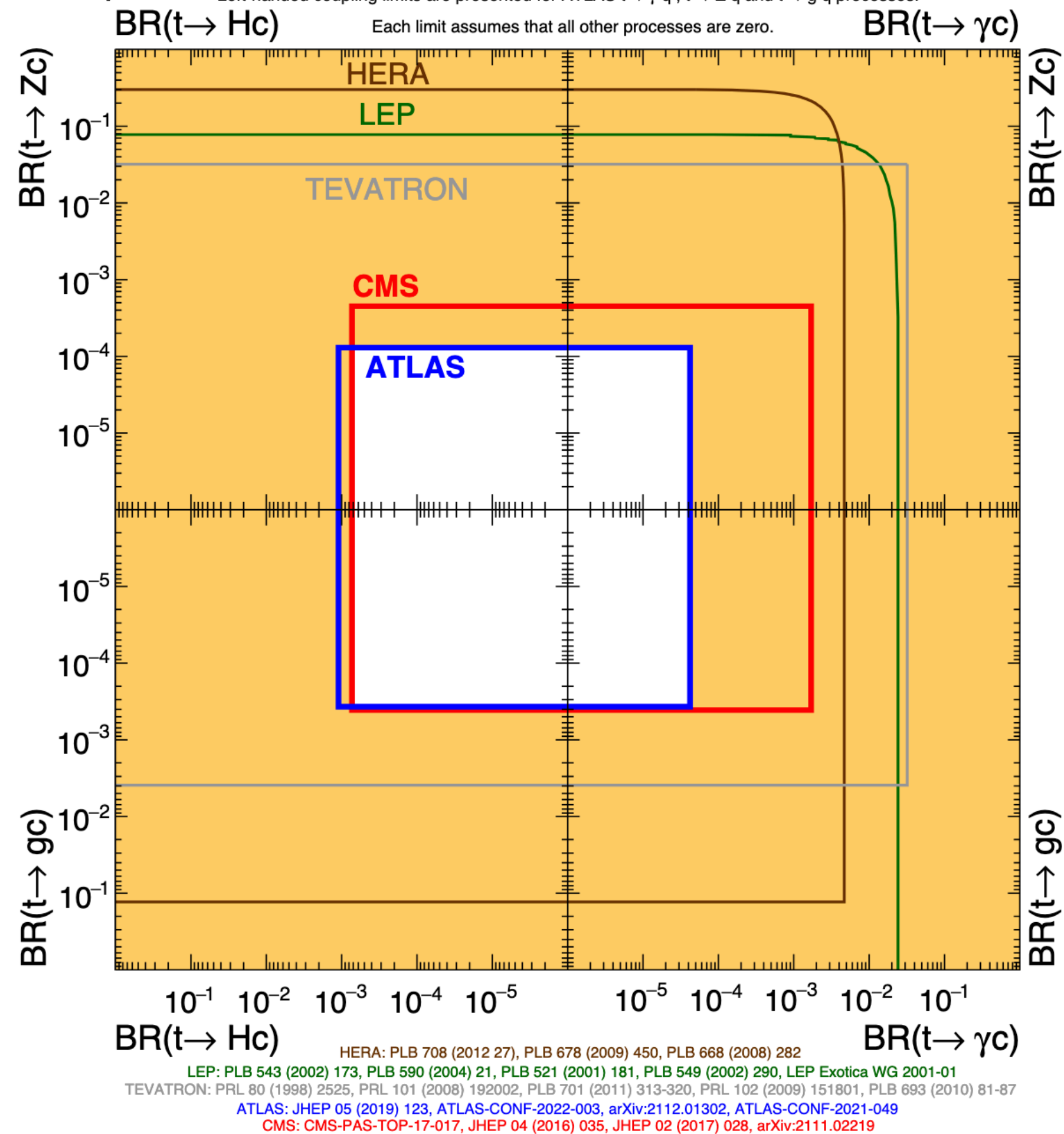
# Status of Run2 measurements

**ATLAS+CMS Preliminary**  
LHCtopWG

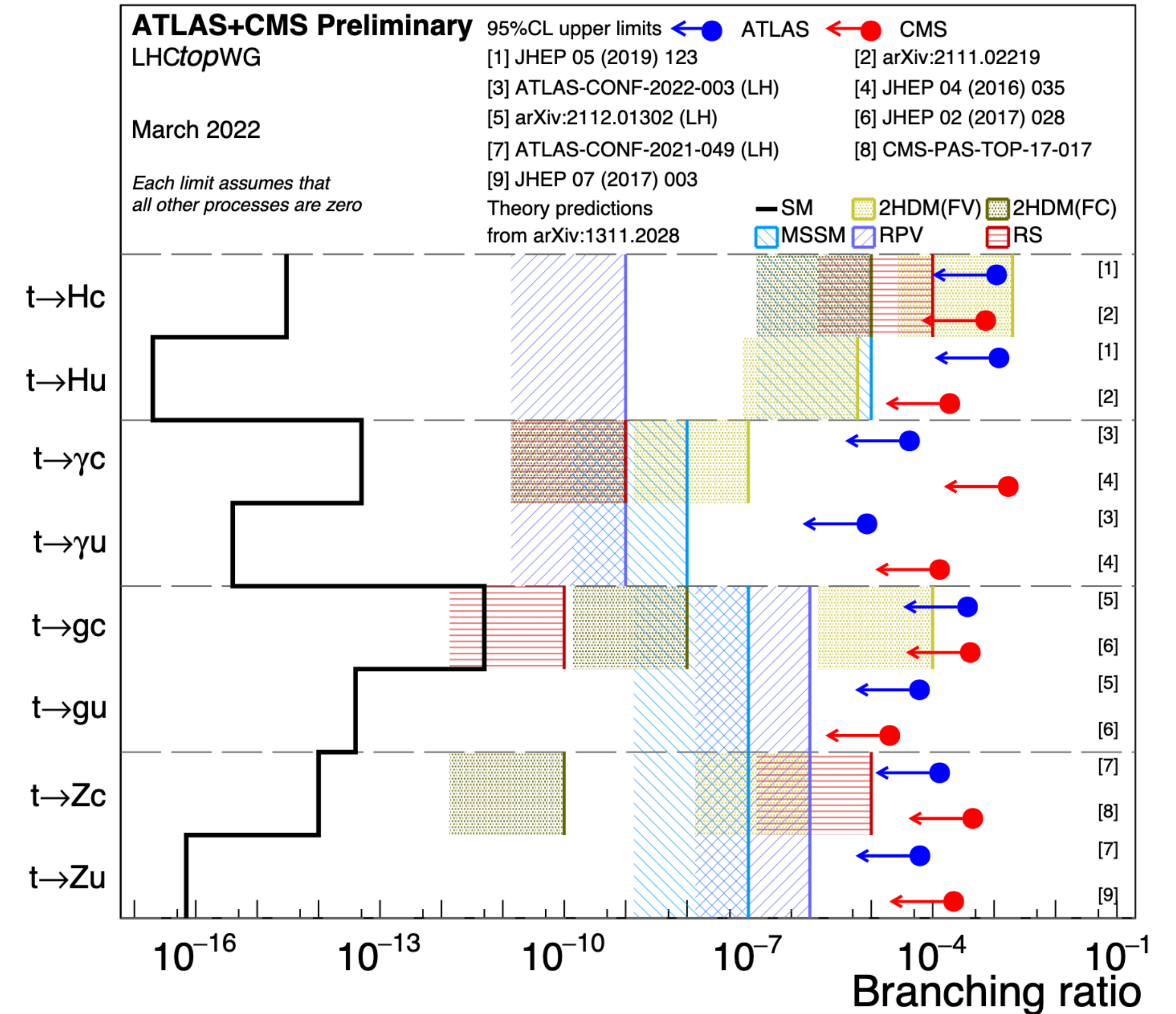
March 2022

Left-handed coupling limits are presented for ATLAS  $t \rightarrow \gamma q$ ,  $t \rightarrow Z q$  and  $t \rightarrow g q$  processes.

Each limit assumes that all other processes are zero.



Snowmass  
TOPHF Report



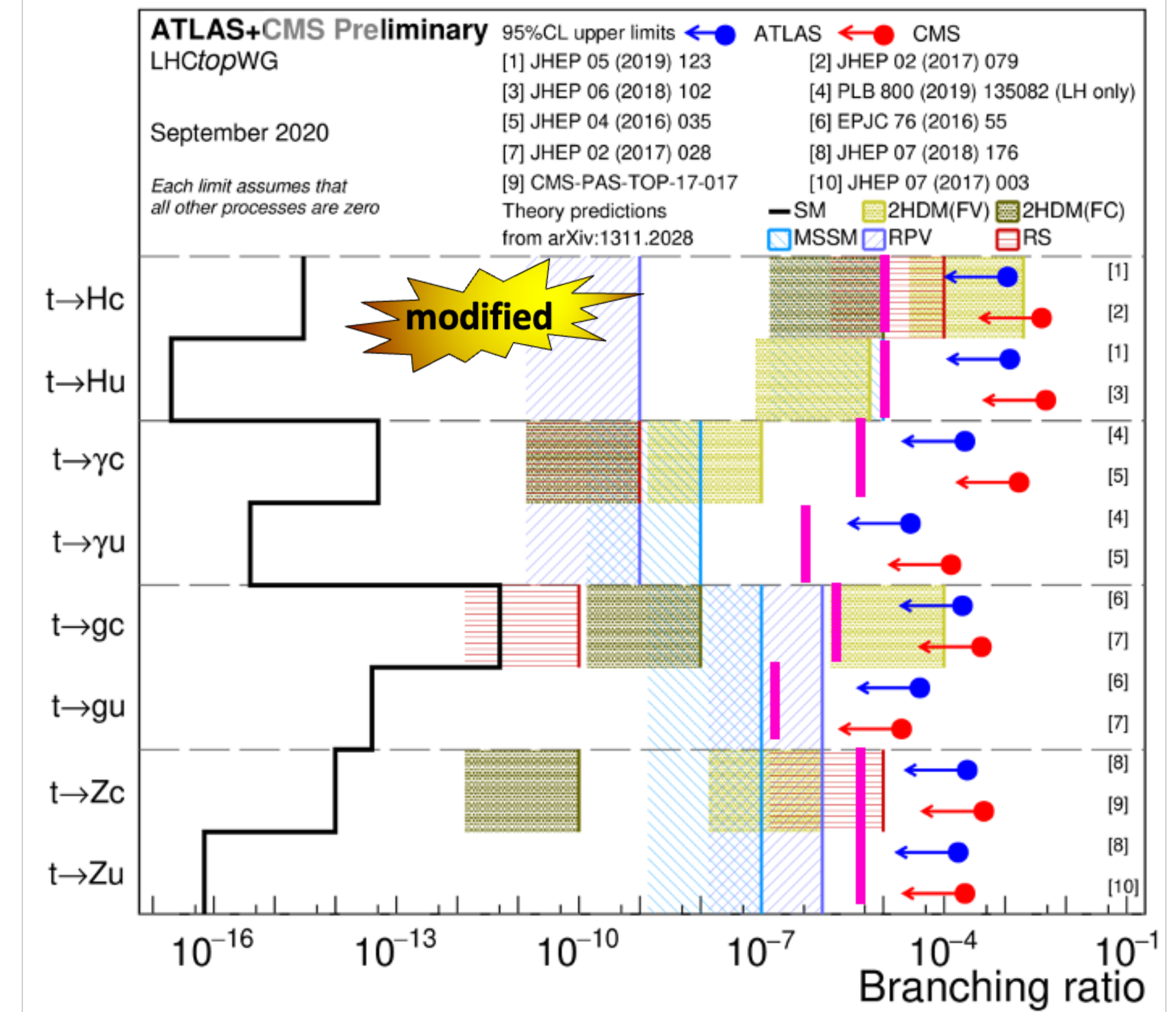


# HL-LHC projections

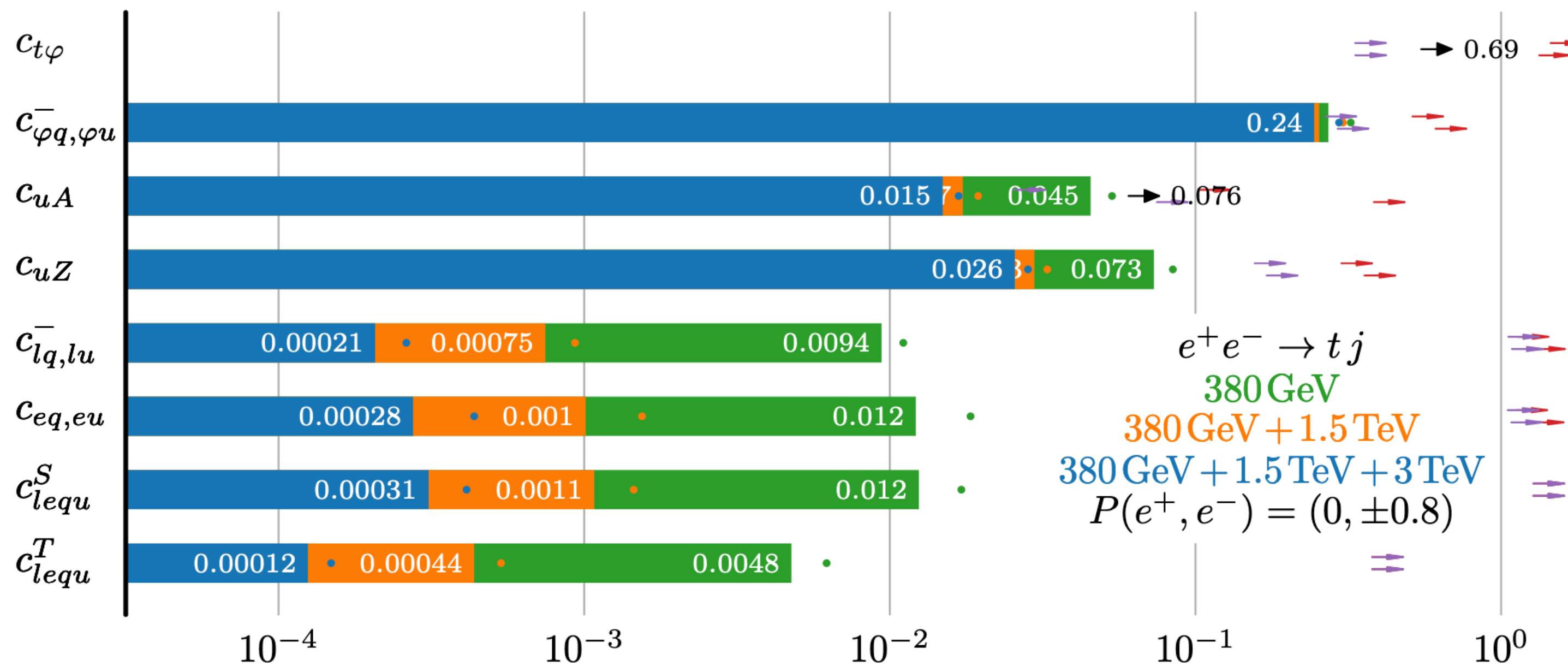
$t \rightarrow gu$	$t \rightarrow gc$	$t \rightarrow qZ$	$t \rightarrow \gamma u$	$t \rightarrow \gamma c$	$t \rightarrow Hq$
$3.8 \times 10^{-6}$	$3.2 \times 10^{-5}$	$2.4 - 5.8 \times 10^{-5}$	$8.6 \times 10^{-6}$	$7.4 \times 10^{-5}$	$10^{-4}$

CERN-LPCC-2018-03

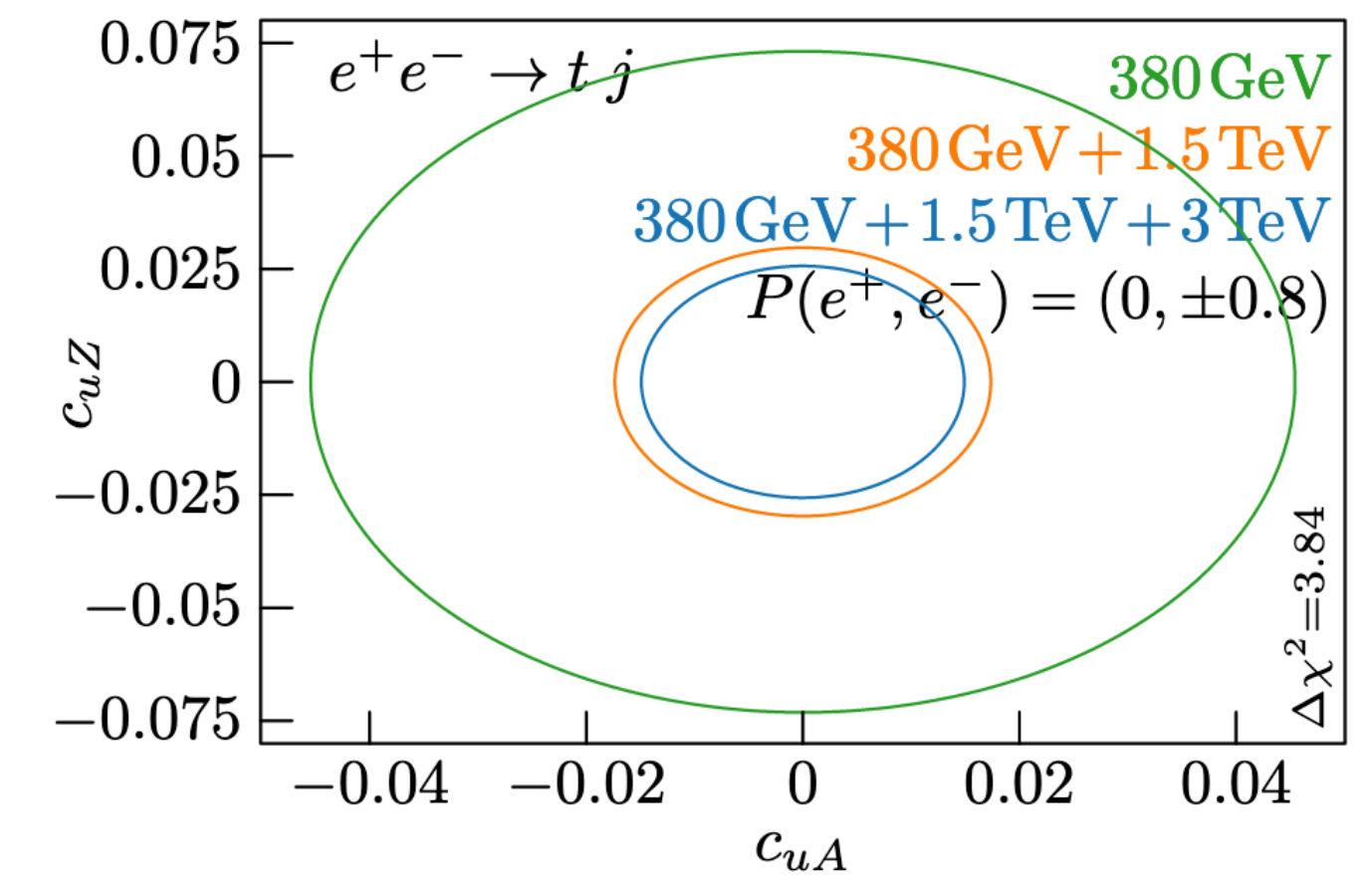
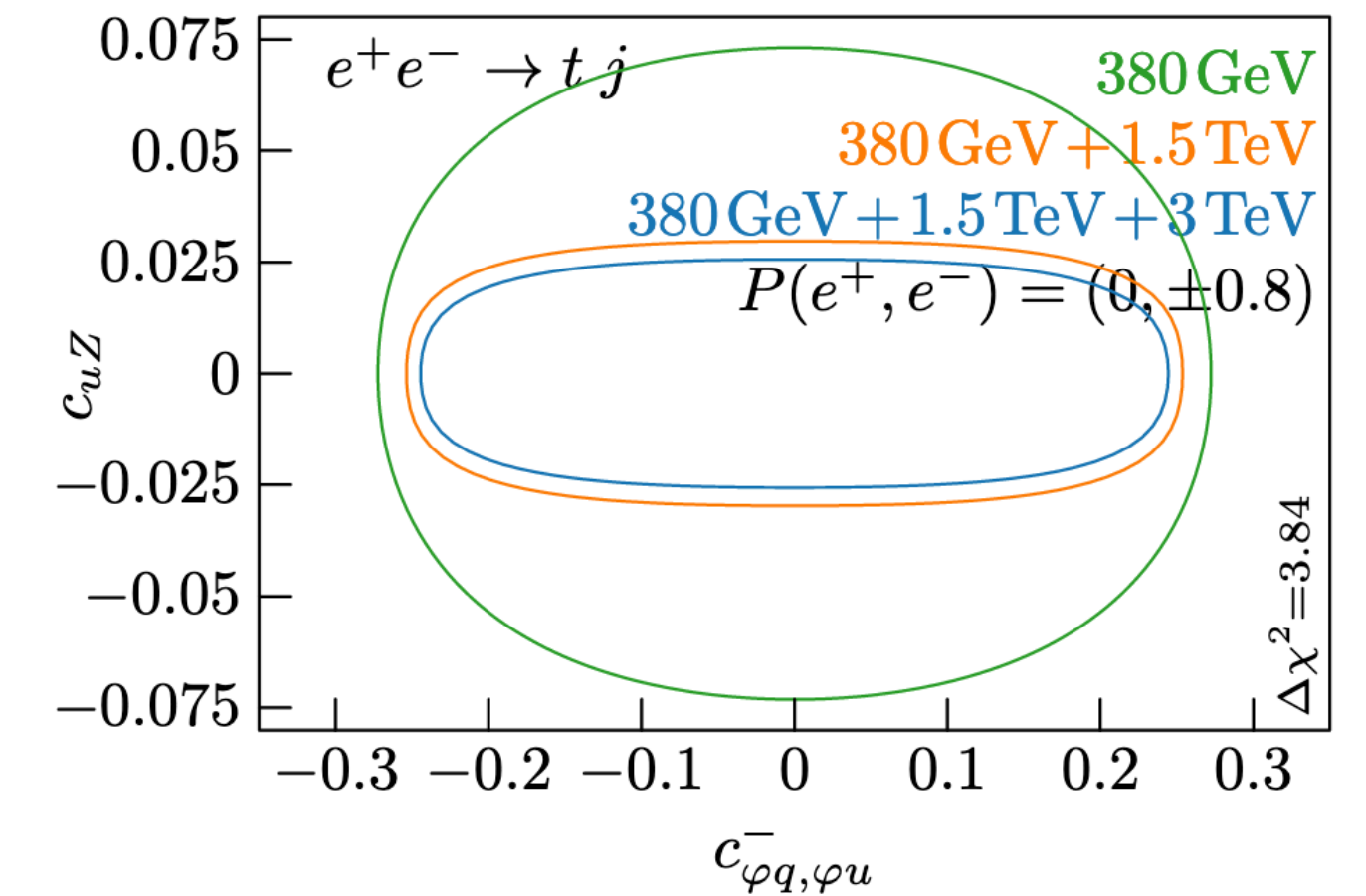
- The **magenta** line shows the projected bounds on FCNC branching ratios at the HL-LHC.
- Caveat :
  - “We typically tend to do better than projections, and so we could hope to challenge more of the potential SM extensions” : Jung @TOP2019.



# FCNC at alternative detectors



$e^+e^- \rightarrow t j$   
 380 GeV  
 380 GeV + 1.5 TeV  
 380 GeV + 1.5 TeV + 3 TeV  
 $P(e^+, e^-) = (0, \pm 0.8)$



ILC report to Snowmass



# Summary

- Bright prospects for top quark physics at the HL-LHC and beyond.
- Ongoing study for spin correlation projection at FCC-hh.
- Projections of stop quark cross-sections and FCNC branching ratios show an order of magnitude reduction in the limits.
- Abundance of statistics and reduction in systematics open the door to study many BSM scenarios, plenty of room for discovery/ exclusions.
- Thank you !

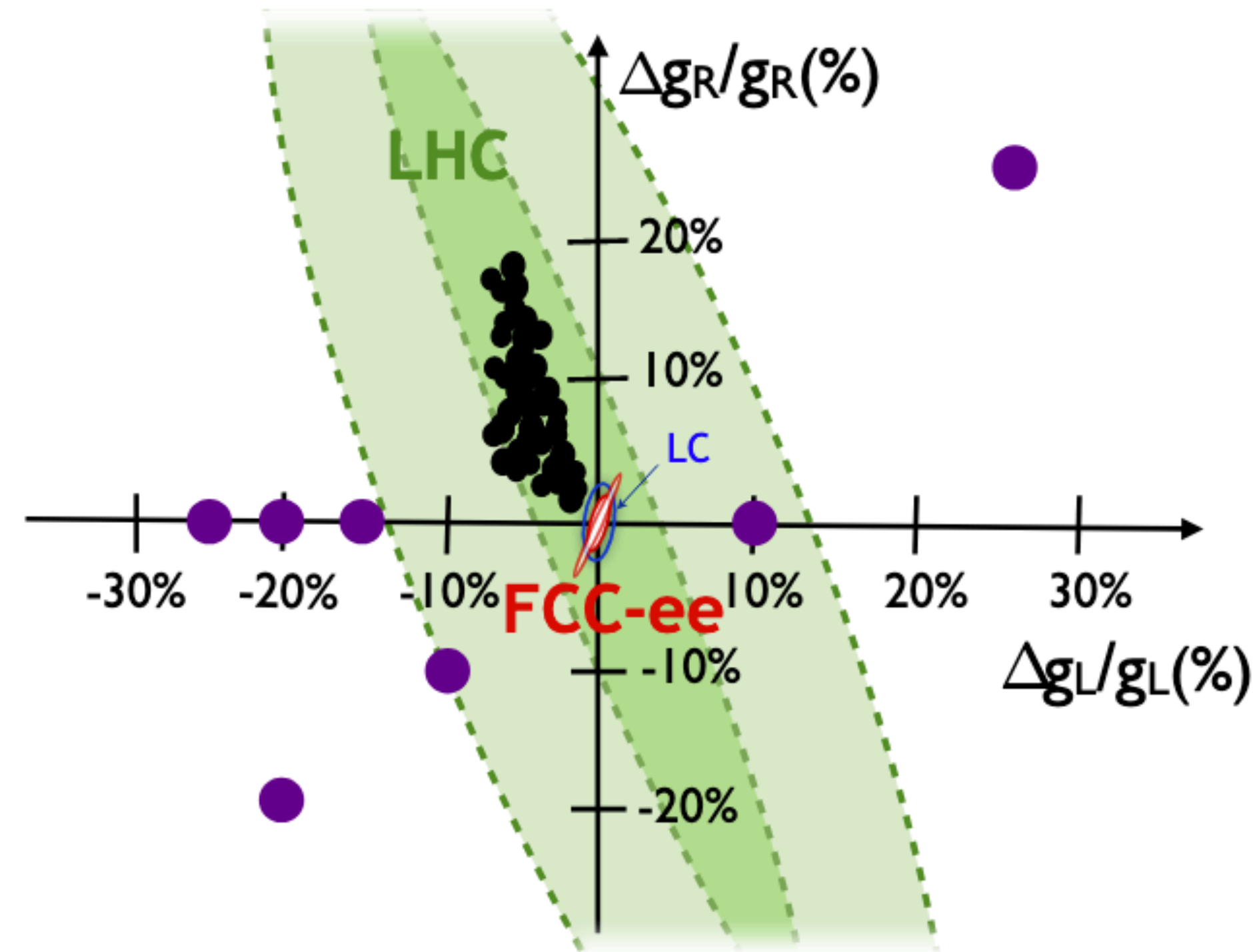


BACKUP

# Outline of the talk

- $t\bar{t}$  spin correlations
  - Indirect and direct measurement of spin correlations
  - Projections of  $f_{SM}$  at  $\sqrt{s} = 14 \text{ TeV}$  and  $3ab^{-1}$
  - SUSY interpretation
- FCNC in the top-quark sector
  - Probing different vertices
  - FCNC projections for the HL-LHC, alternative detectors

# BSM physics with tops



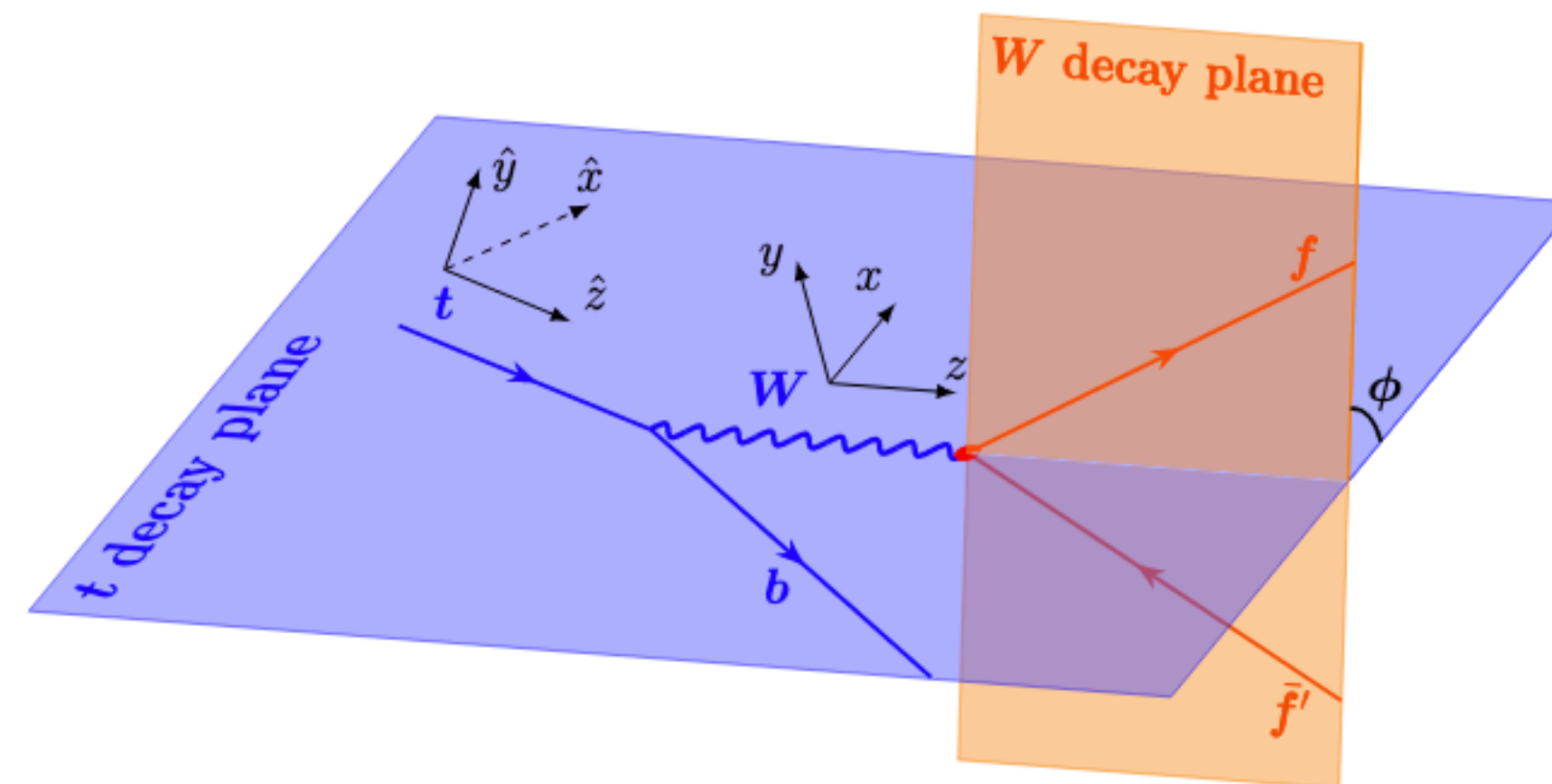
**Figure 1-22.** Expected relative precision on the  $Zt_L t_L$  and  $Zt_R t_R$  couplings at the LHC (lighter green), the HL-LHC (darker green), the ILC (blue) and the FCC-ee (orange, red). The black dots indicate the deviations expected for different parameter choices of 4D composite Higgs models, with  $f < 2$  TeV (purple dots: examples for typical deviations in various BSM models). From [478].

# BSM physics with tops

## 1.6.2 Azimuthal angular correlation as a new boosted top jet substructure

Summary of white paper contribution [\[480\]](#)

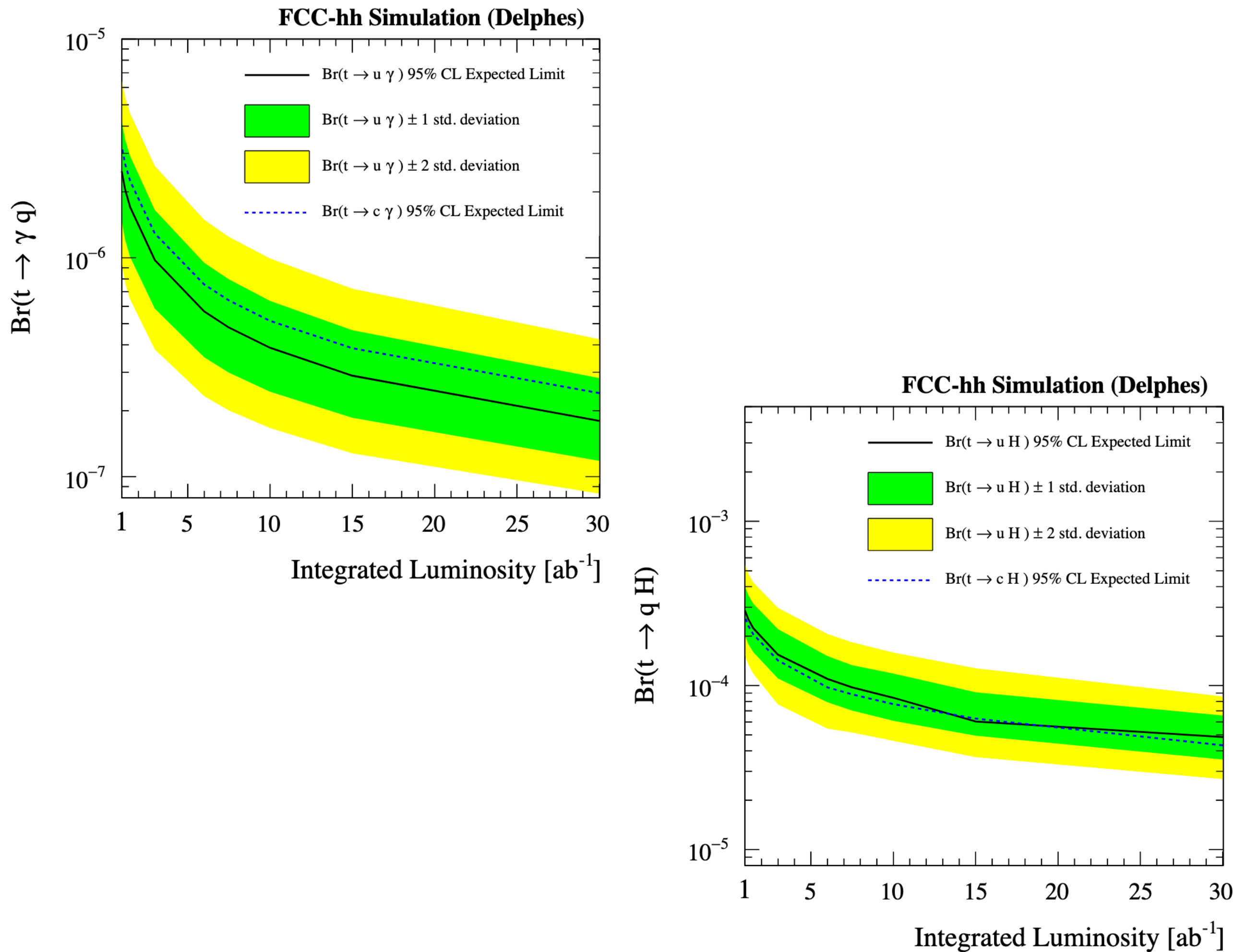
When a top quark is highly boosted, the  $W$  boson from its decay has a substantial linear polarization that results in a  $\cos 2\phi$  azimuthal angular correlation among the top decay products [\[480\]](#). The angle  $\phi$  is shown in the sketch in Figure [1-24](#). This correlation can be measured for hadronically decayed boosted top quarks, and its magnitude provides a way to measure the longitudinal polarization of a boosted top quark, which is an important probe of new physics that couples to the top-quark sector.



**Figure 1-24.** Sketch of the top quark decay products defining the azimuthal angle  $\phi$ . Taken from Ref [\[480\]](#).



# FCNC at alternative detectors



Detector	$\mathcal{B}(t \rightarrow u \gamma)$	$\mathcal{B}(t \rightarrow c \gamma)$
CMS (19.8 fb <sup>-1</sup> , 8 TeV)	$13 \times 10^{-5}$	$170 \times 10^{-5}$
CMS Phase-2 (300 fb <sup>-1</sup> , 14 TeV)	$2.1 \times 10^{-5}$	$15 \times 10^{-5}$
CMS Phase-2 (3 ab <sup>-1</sup> , 14 TeV)	$0.9 \times 10^{-5}$	$7.4 \times 10^{-5}$
FCC-hh (3 ab <sup>-1</sup> , 100 TeV)	$9.8 \times 10^{-7}$	$12.9 \times 10^{-7}$
FCC-hh (30 ab <sup>-1</sup> , 100 TeV)	$1.8 \times 10^{-7}$	$2.4 \times 10^{-7}$

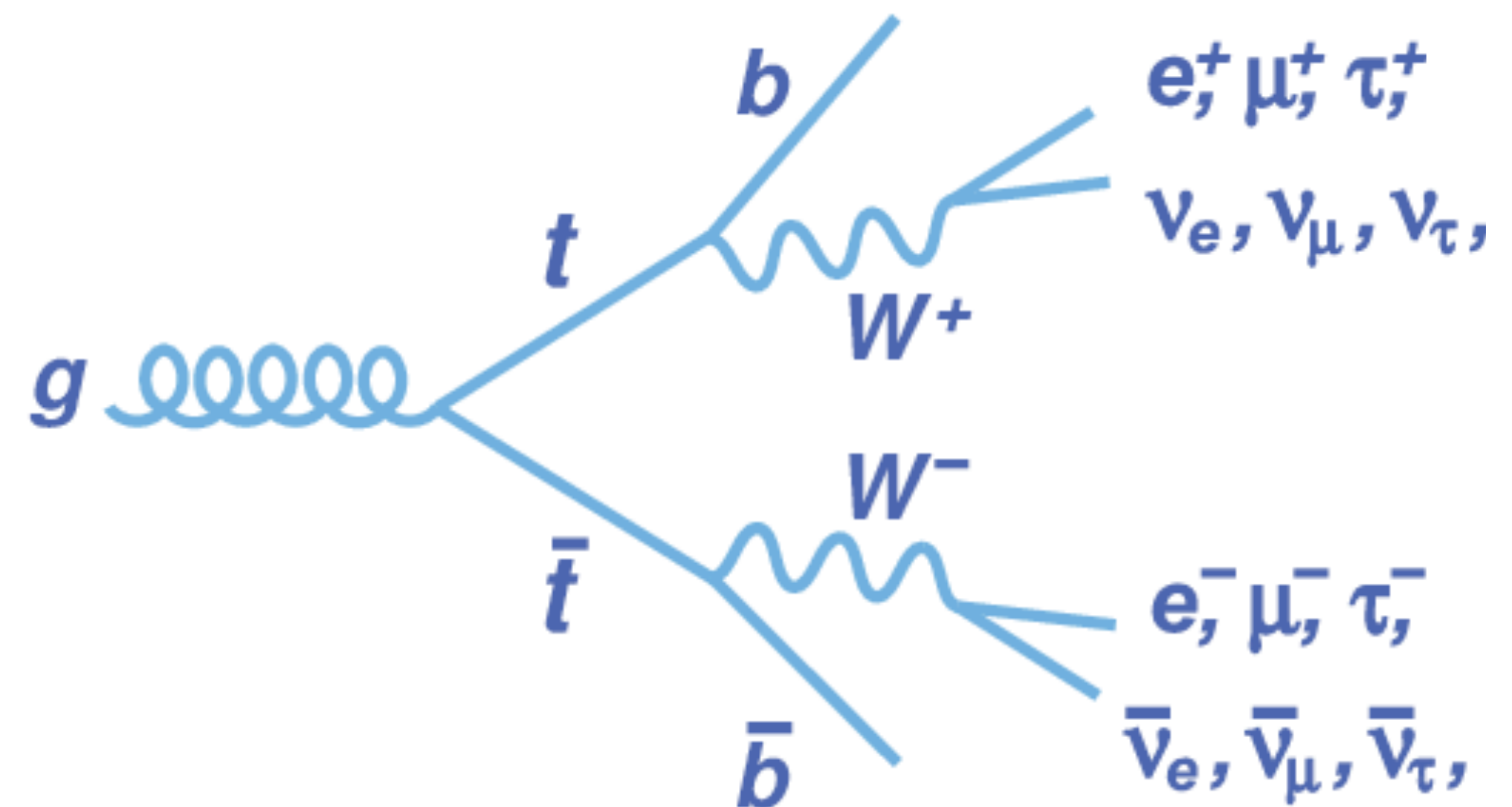
Detector	$\mathcal{B}(t \rightarrow u H)$	$\mathcal{B}(t \rightarrow c H)$
CMS (36.1 fb <sup>-1</sup> , 13 TeV)	$4.7 \times 10^{-3}$	$4.7 \times 10^{-3}$
ATLAS (36.1 fb <sup>-1</sup> , 13 TeV)	$1.9 \times 10^{-3}$	$1.6 \times 10^{-3}$
FCC-hh (3 ab <sup>-1</sup> , 100 TeV)	$8.4 \times 10^{-5}$	$7.7 \times 10^{-5}$
FCC-hh (30 ab <sup>-1</sup> , 100 TeV)	$4.8 \times 10^{-5}$	$4.3 \times 10^{-5}$





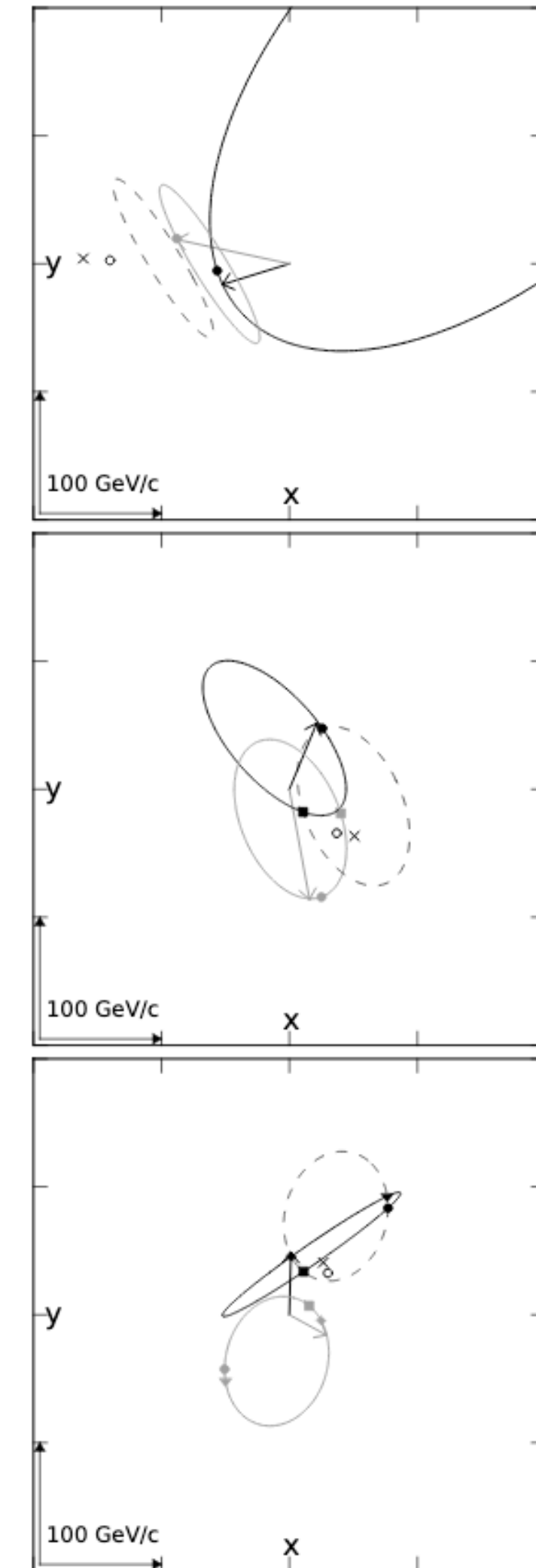
# Event selection

- 2 oppositely charged isolated leptons ( $e\mu$ ) [Semi leptonic tau decays also included] :
  - $p_T > 25(20)$  GeV , for leading (trailing) lepton and  $|\eta| < 2.4$
  - Charge Hadron Subtracted electron and muon objects
- $\geq 2$  anti-kT jets ( $R = 0.4$ ) such that :
  - $p_T > 30$  GeV and  $|\eta| < 2.4$
  - Jet cleaning :  $\Delta R(lepton, jet) > 0.4$
  - $\geq 1$  b-tag
  - PUPPI for Pileup mitigation
- $E_T^{miss} > 40$  GeV



# Top quark reconstruction

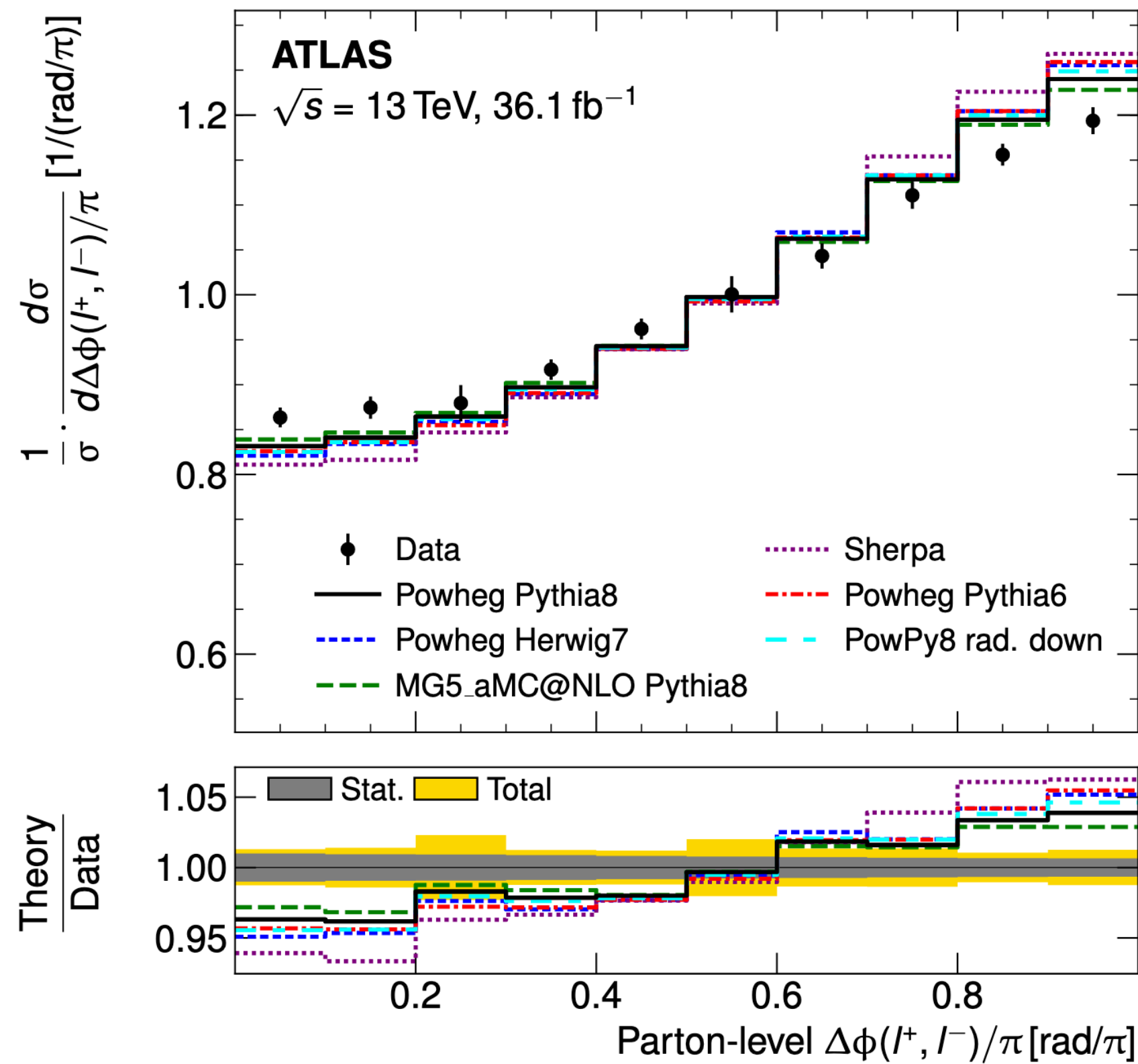
- In order to add the spin correlation variables the top quark needs to be reconstructed.
- Decays in the dilepton channel are reconstructed using [on shell tops and Ws](#), which gives a set of quadratic equations.
- We use the geometric solver as described in Betchart et al. : [arXiv:1305.1878](#).
- Also used in TOP-19-008.



[arXiv:1305.1878](#)



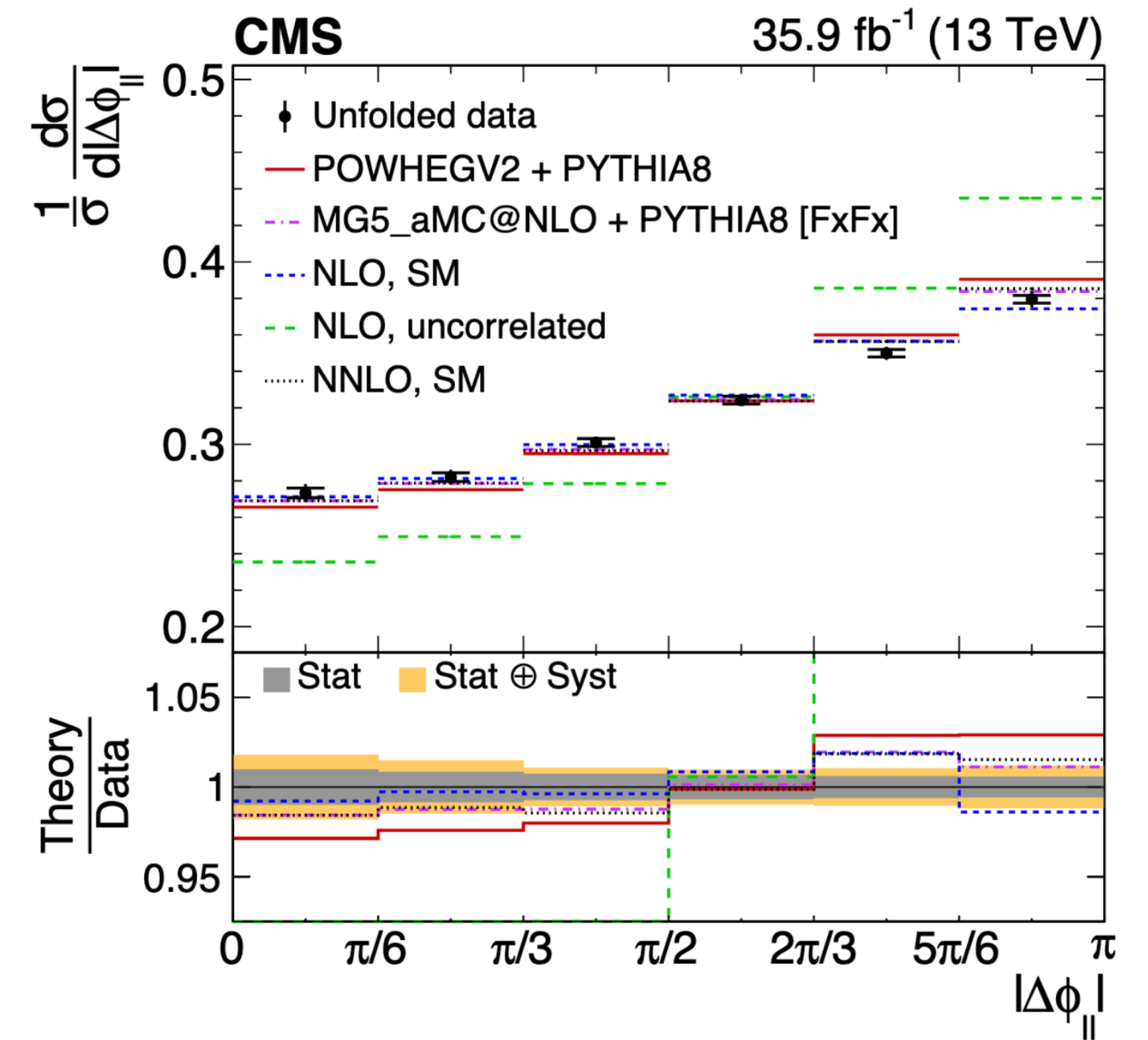
# Indirect measurements



Eur. Phys. J. C 80 (2020) 754

Correct  $\Delta\phi$  distribution with acceptance and efficiency (unfold).

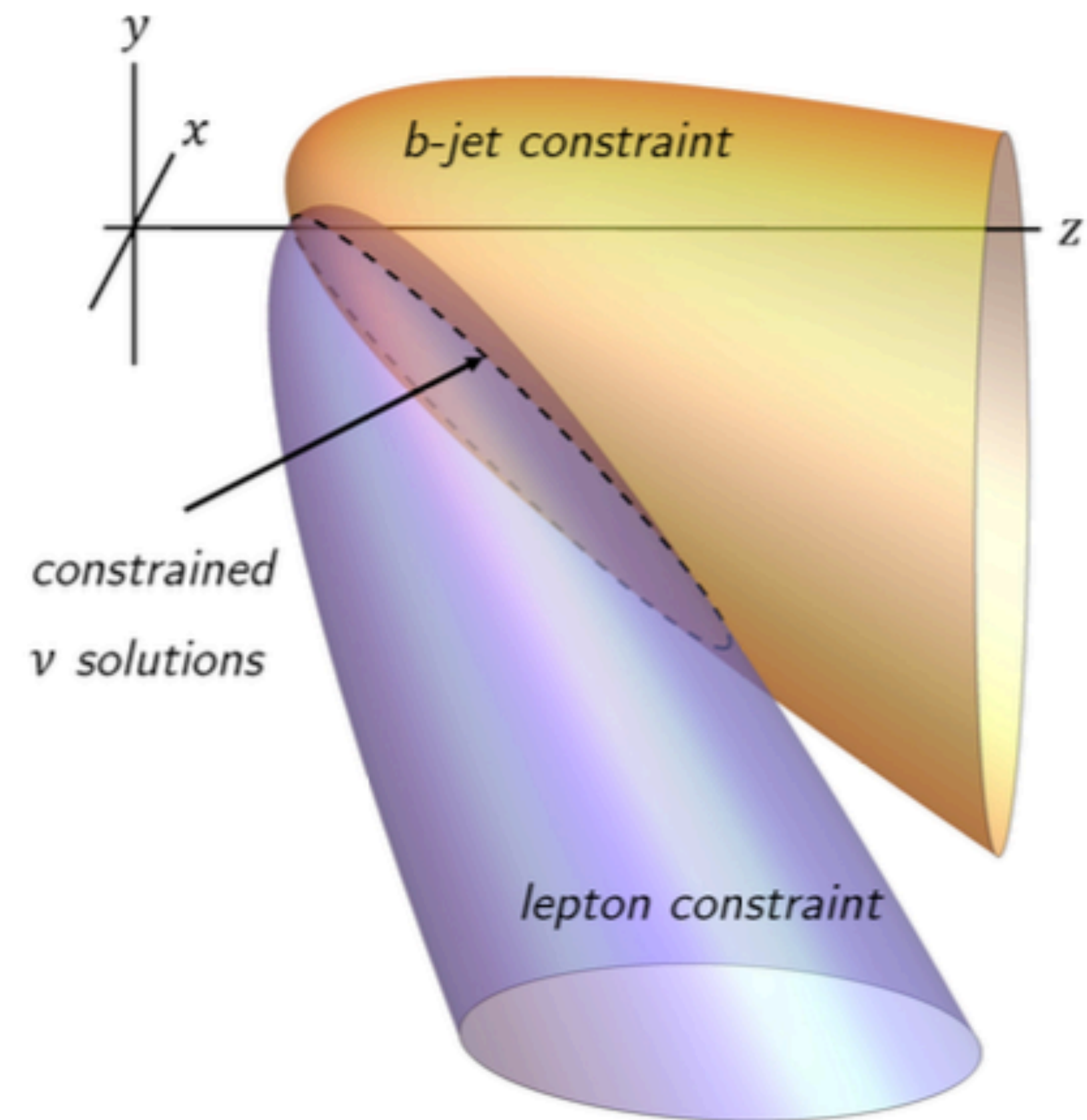
With 2016 datasets, both ATLAS and CMS saw discrepancies between data and NLO MC.



Phys. Rev. D 100, 072002

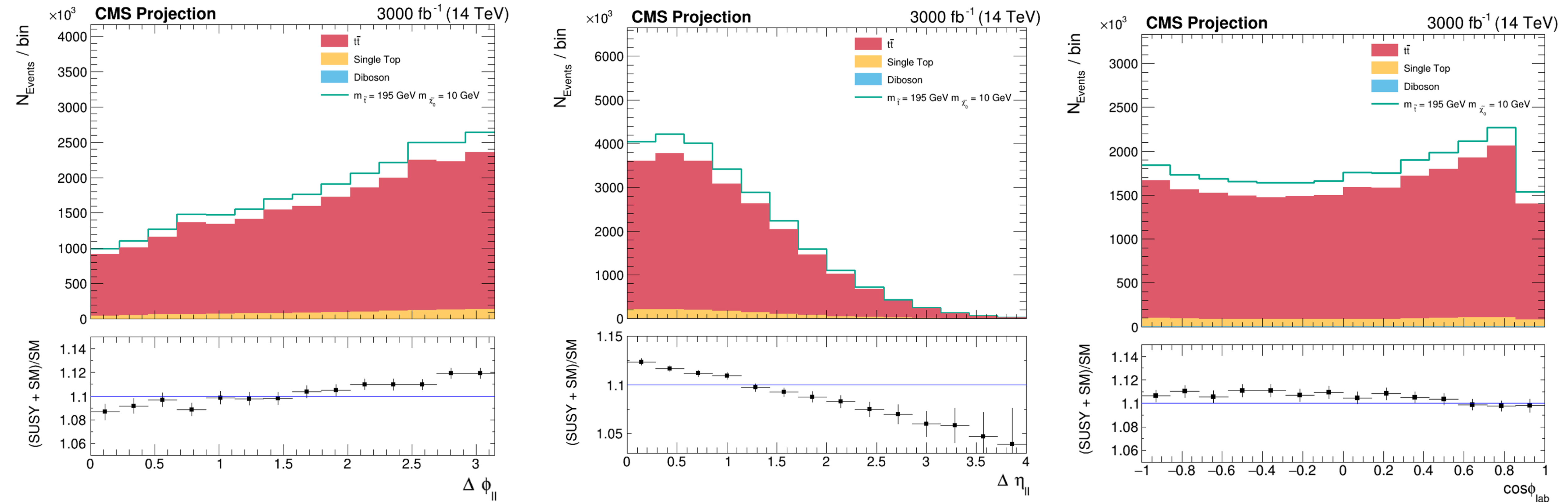
# Top quark reconstruction

- Using conservation of 4-momentum (with the mass of the top and W boson assumed)
- The measured b and l momenta together help **constrain the neutrino momentum to an ellipse**.
- For the double neutrino case, an **additional MET constraint** is imposed.





# Other spin correlation observables



From PAS

Control plots for other observables used in this analysis

# Uncertainties

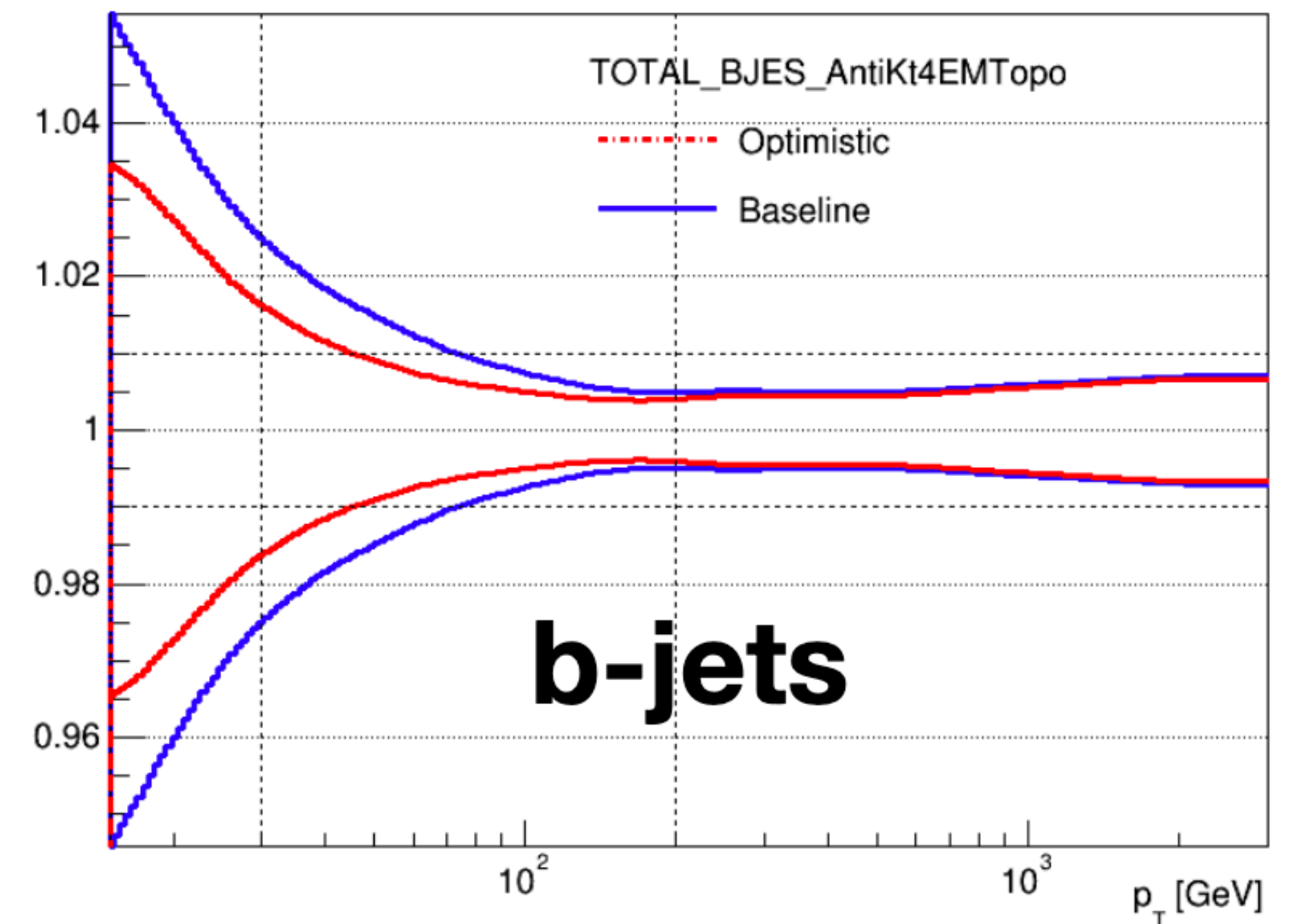
Uncertainty	Type	Recommendation
Jet Energy Scale	Shape based	HL_YR_JEC.root
Jet Energy Regression	Shape based	3-5 % as a function of eta
PDF	Shape based	Ultimate PDF
Renormalization	Shape based	1/2 of Run 2
Pile Up	Flat	2%
B-Tagging	Flat	1%
Lumi	Flat	1%
Lepton ID	Flat	1% per electron or 0.5% per muon
Xsection	Flat	<a href="https://twiki.cern.ch/twiki/bin/view/LHCPhysics/TtbarNNLO">https://twiki.cern.ch/twiki/bin/view/LHCPhysics/TtbarNNLO</a>

<https://twiki.cern.ch/twiki/bin/viewauth/CMS/YR2018Systematics>



# Implementing JES and JER

- For JES we use the [HL\\_YR\\_JEC.root](#) file to look up the percentage errors by pt.
- Different recommendations for b-jets and light-jets.
- JER is modeled as function of  $\eta$ , we see an increase in cumulative uncertainties at  $\eta \leq 1$ .
- We assume a 3% uncertainty till  $\eta \leq 1$ , and 5 % for  $\eta > 1$  as suggested in the YR systematics document.



<https://twiki.cern.ch/twiki/bin/viewauth/CMS/YR2018Systematics>



# Implementing PDF, scale

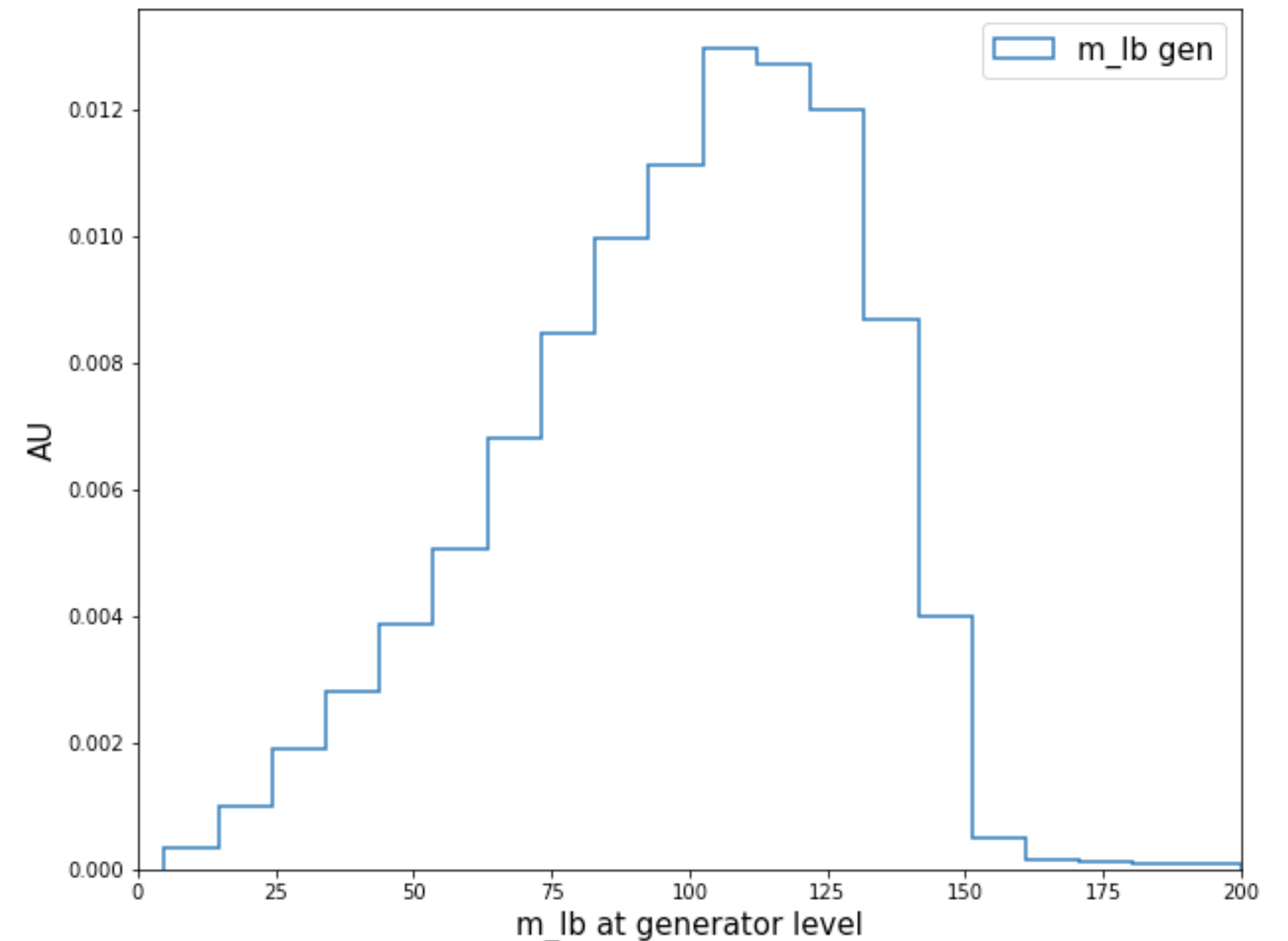
- Uncertainties arising due to **PDF** are assessed by reweighing the samples according to the 100 replicas in the NNPDF3.0 PDF sets.
- Uncertainties arising to **renormalization** ( $\mu_R$ ) and **factorization** ( $\mu_F$ ) scales are computed by varying  $\mu_R$  and  $\mu_F$  between 0.5 and 2 for a total of 10 variations.
- Final scale uncertainty is reduced by a factor 1/2 as suggested in the YR systematics.

PDF uncertainties HLLHC / Current	10 GeV < M <sub>x</sub> < 40 GeV	40 GeV < M <sub>x</sub> < 1 TeV	1 TeV < M <sub>x</sub> < 6 TeV
g-g luminosity	0.58 (0.49)	0.41 (0.29)	0.38 (0.24)
q-g luminosity	0.71 (0.65)	0.49 (0.42)	0.39 (0.29)
quark-quark luminosity	0.78 (0.73)	0.46 (0.37)	0.60 (0.45)
quark-antiquark luminosity	0.73 (0.70)	0.40 (0.30)	0.61 (0.50)
up-strange luminosity	0.73 (0.67)	0.38 (0.27)	0.42 (0.38)

Table of reduction factors  
<https://twiki.cern.ch/twiki/bin/viewauth/CMS/YR2018Systematics>

# Top quark reconstruction : Smearing

- The output of the ellipse solver serves as a good starting point for solution smearing.
- Each solution is smeared 100 times using the jet and lepton energy resolutions.
- The generator level  $m_{lb}$  distribution is then used to **assign weights** to determine the **quality of the solutions**.



$m_{lb}$  distribution for correctly paired lepton and b-quark at generator level

# Top quark reconstruction : Smearing

- For each event there are : 100 × N\_jets × 2/4 solutions

Choose neutrinos that yield the lowest mttbar

To decide the 2 b-jet candidates we use the number of b-tags and average sum of m\_lb weights

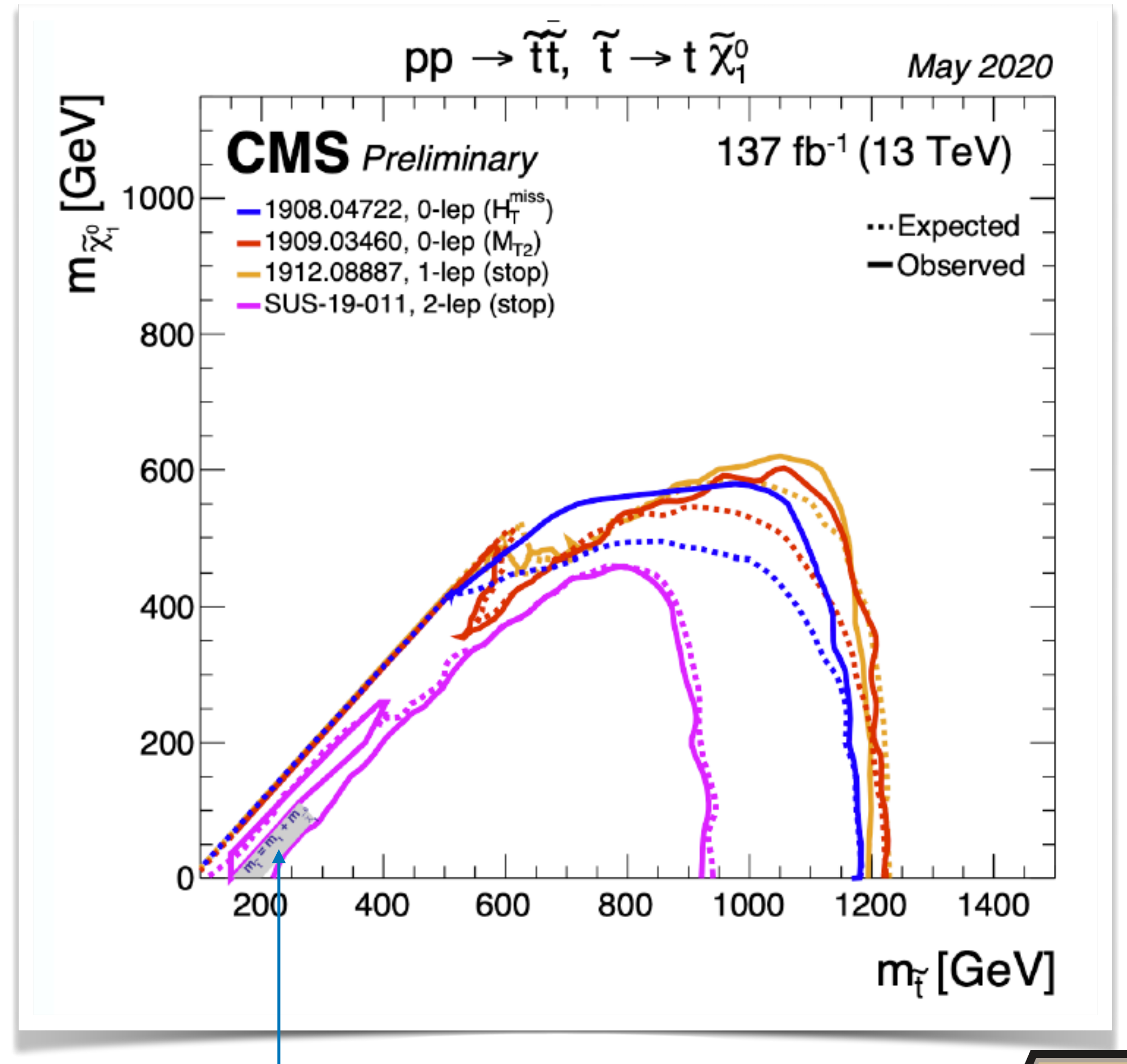
$$\langle \vec{p}_t \rangle = \frac{\sum_{i=1}^{100} w_i \vec{p}_{t,i}}{\sum_{i=1}^{100} w_i}$$

From the smearing,  
To resolve this, we do a weighted average over solutions



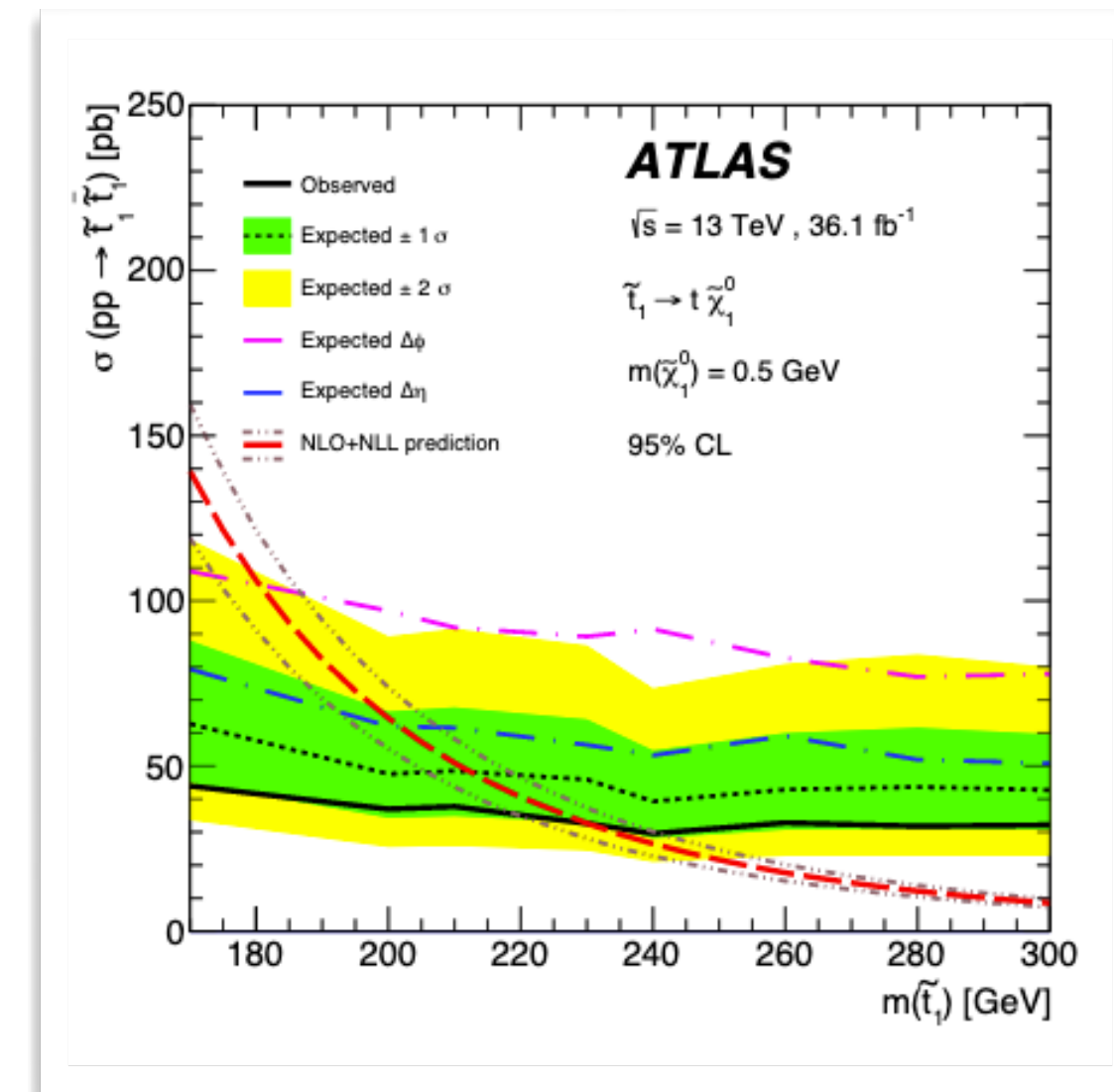
# SUSY top quark partners

- For the purposes of our dataset the stealth phase space includes 30 mass points such that :
- $M_{stop} \leq 242.5$  GeV, and  $M_{stop} - M_{\tilde{\chi}_1^0} = M_{top}$   
(here  $M_{top}$  can be 167.5, 175 or 182.5 GeV)
- The acceptance and efficiency change significantly in this region, making exclusion by direct searches harder in this region.



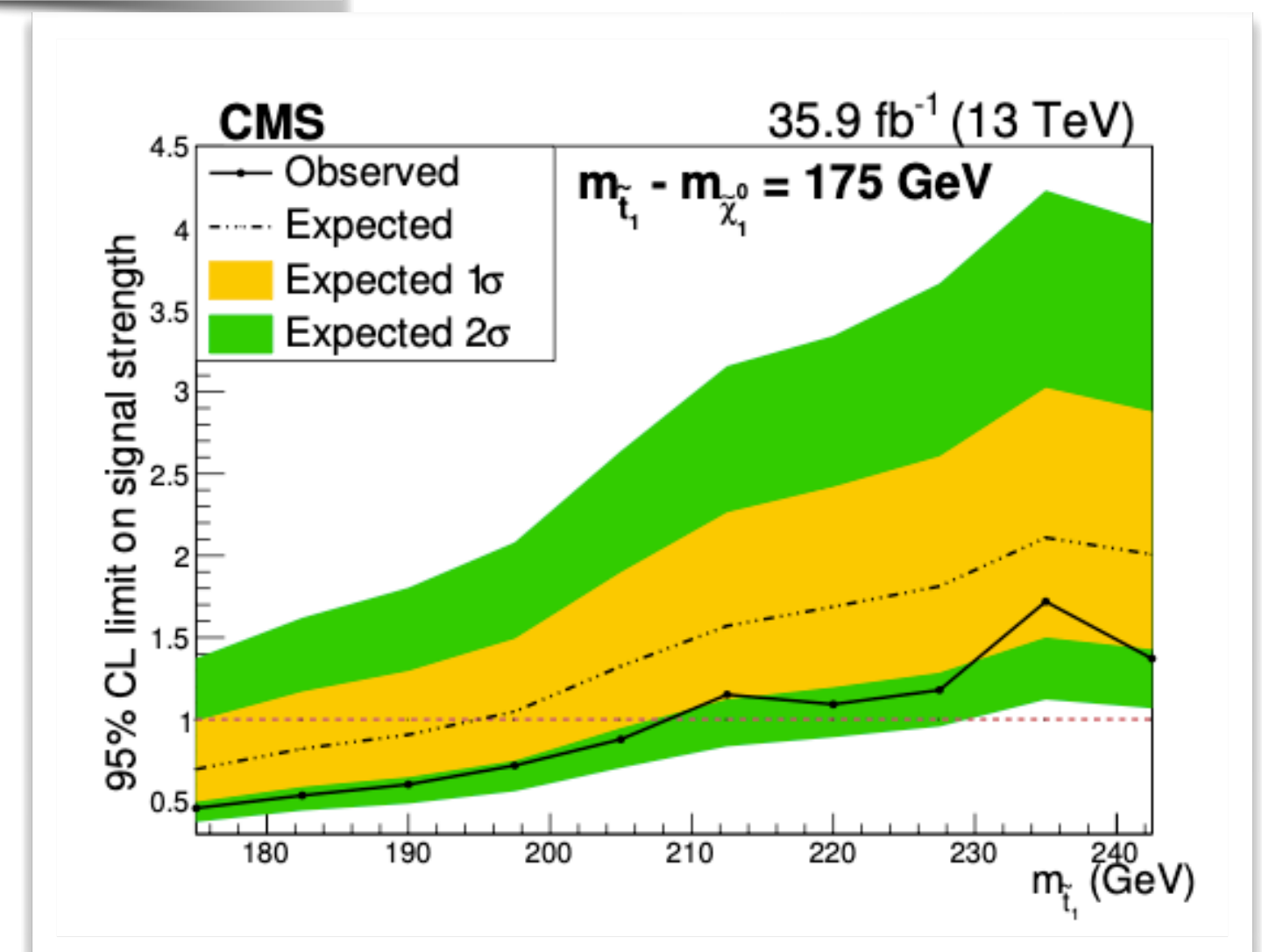
# SUSY top quark partners

- Hence the need for indirect searches.
- Exclusions can be accomplished via precision measurements of top quark properties, spin correlations in this case.
- Currently results in this region using spin correlations are from ATLAS.
- CMS also has results in the dileptonic channel, but using a direct search.



CERN-  
EP-2019-034.  
ATLAS

JHEP03  
(2019)101  
CMS



# SUSY top quark partners

