

Lattice QCD input for the first row CKM unitarity tests

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Seattle Snowmass Summer Meeting 2022

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- **Introduction**

- Pion semi-leptonic decay - γW -box diagram

PRL 124, 192002 (2020) X. Feng, M. Gorchtein, **LJ**, P.X. Ma, C.Y. Seng

- Kaon semi-leptonic decay - χ PT low energy constants

JHEP 10, 179 (2020) C.Y. Seng, X. Feng, M. Gorchtein, **LJ**, Meißner, Ulf-G.

PRD 103, 114503 (2021) P.X. Ma, X. Feng, M. Gorchtein, **LJ**, C.Y. Seng

- Conclusion and outlook

The unitarity relation for the first-row of the CKM matrix is:

$$\Delta_{\text{CKM}} = |V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 - 1 = 0.$$

According to PDG 2020, $\Delta_{\text{CKM}} = -0.0015(3)(4)$, where the first error is the uncertainty from $|V_{ud}|^2$ and the second error is the uncertainty from $|V_{us}|^2$.

- $|V_{ud}| = 0.97370(10)_{\text{exp.,nucl.}}(10)_{\text{RC}}$ [0.14 per mil].

From superallowed $0^+ \rightarrow 0^+$ nuclear β decays, which are pure vector transitions at leading order. [PDG 2022 include additional large \(27\) uncertainty for nuclear structure](#). Neutron and pion β decay can determine as well, but currently have larger uncertainties.

- $|V_{us}| = 0.2245(4)$ [1.8 per mil]

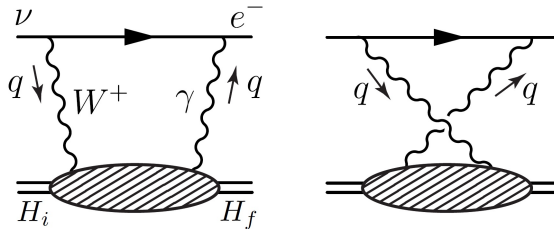
From combined analysis of $K \rightarrow l\nu$ and $K \rightarrow \pi l\nu$ decay processes. Lattice QCD inputs are essential and the current limiting factor.

- $|V_{ub}| = 0.00394(36)$. Very small, does not affect Δ_{CKM} much.

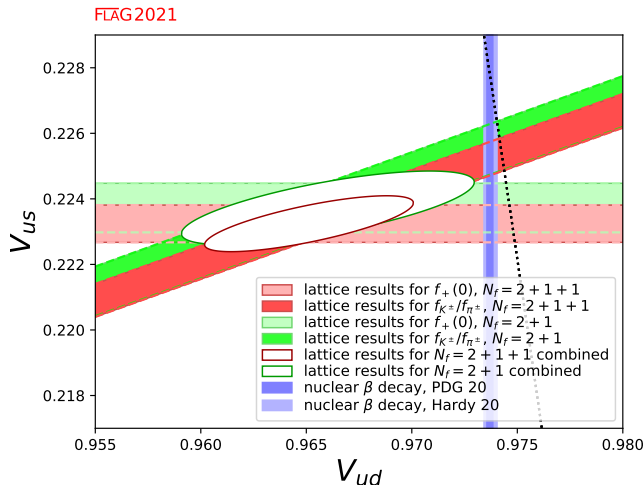
[“That deviation could be due a problem with \$|V_{ud}|\$ theory \(RC or NP\), the lattice determination of \$f_+\(0\)\$ or new physics.”](#)

[– E. Blucher and W.J. Marciano \(PDG 2020\)](#)

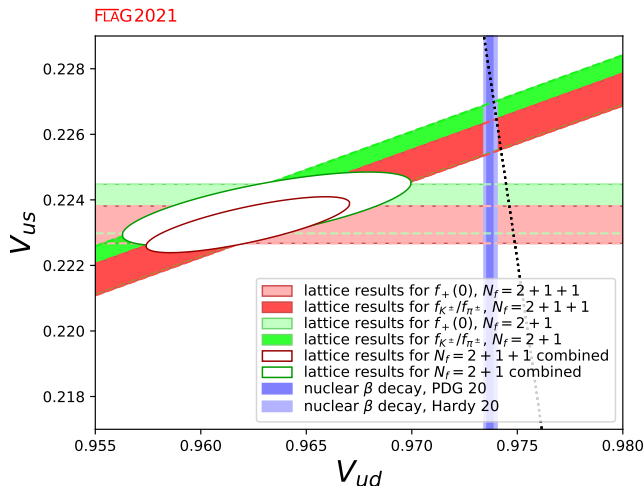
- In superallowed nuclear β decay rates, one of the dominant uncertainties arises from the nucleus-independent electroweak radiative correction, Δ_R^V , which is universal for both nuclear and free neutron beta decay. [J.C. Hardy, I.S. Towner \(2015\)](#)
- Sirlin established that only the axial γW -box contribution is sensitive to hadronic scales. [A. Sirlin \(1978\)](#)
- Situation is similar for the charged pion β decay.



$$H_{\mu\nu}^{VA}(t, \vec{x}) = \langle H_f | T [J_{\mu}^{em}(t, \vec{x}) J_{\nu}^{W,A}(0)] | H_i \rangle$$

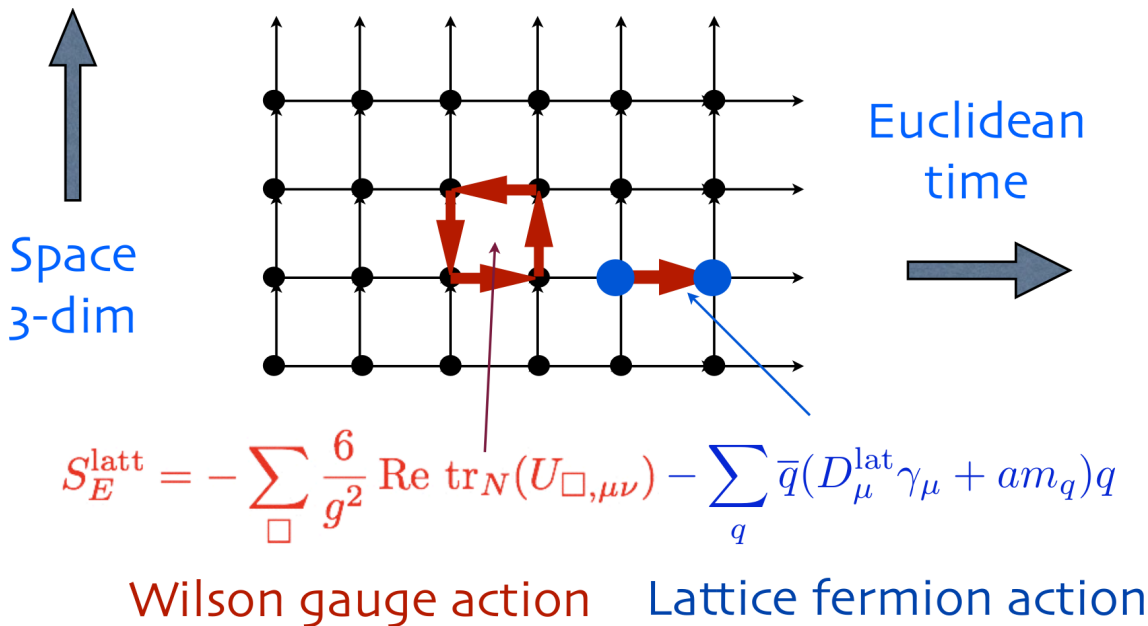


- Dashed line is the CKM matrix first row unitary constraint.
- All the bands and line should cross the same point. There are visible tensions in the plot.
- QED and strong isospin breaking corrections from ChPT calculations.

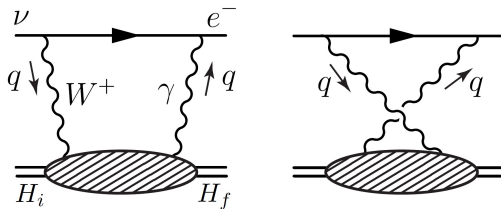


- Dashed line is the CKM matrix first row unitary constraint.
- All the bands and line should cross the same point. There are visible tensions in the plot.
- QED and strong isospin breaking corrections for f_{K^\pm}/f_{π^\pm} from lattice QCD.

[PRD 100 (2019) 034514] M. Di Carlo, D. Giusti, V. Lubicz, G. Martinelli, C.T. Sachrajda, F. Sanfilippo, S. Simula, N. Tantalo

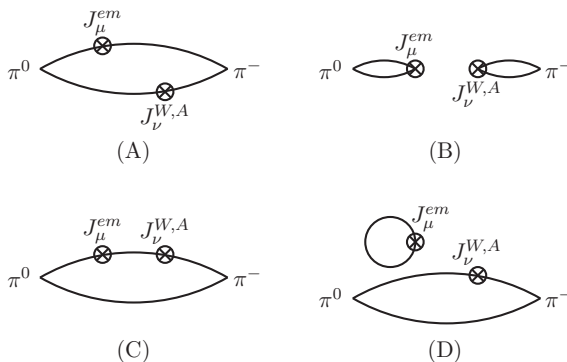


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$$H_{\mu\nu}^{VA}(t, \vec{x}) = \langle \pi^0 | T \left[J_{\mu}^{em}(t, \vec{x}) J_{\nu}^{W,A}(0) \right] | \pi^- \rangle$$

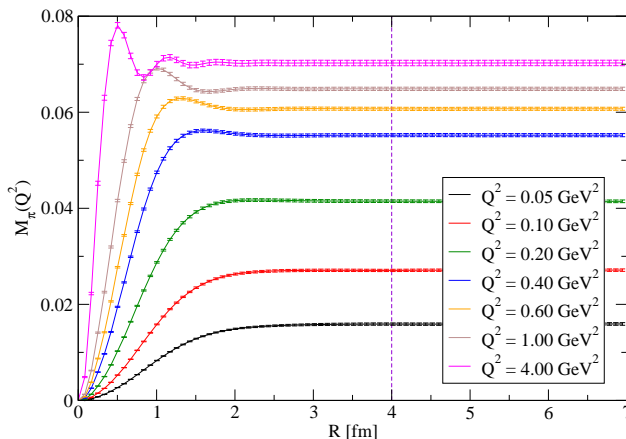
Hadronic function in lattice QCD calculations:



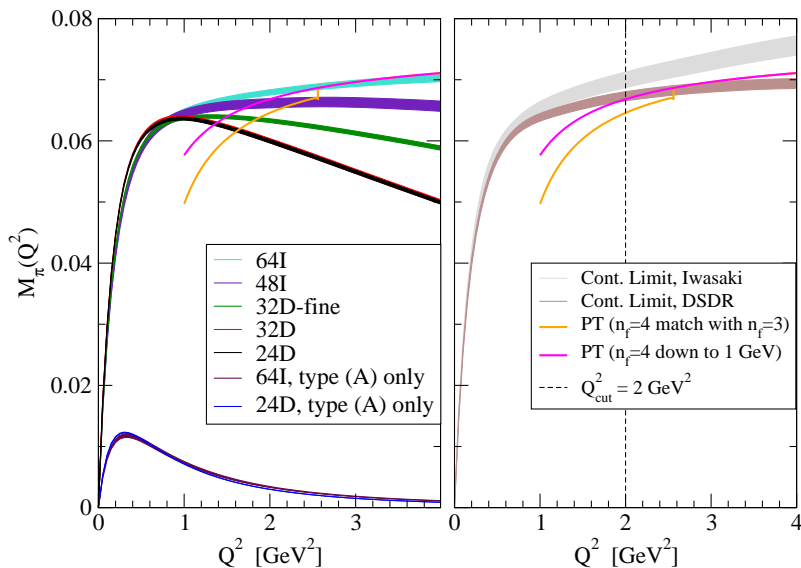
Gluons and quark loops not directly connected to the operators are automatically included in the numerical Monte Carlo integration over all possible QCD gauge field configurations.

$$M_\pi(Q^2) = -\frac{1}{6} \frac{1}{F_+^\pi} \frac{\sqrt{Q^2}}{m_\pi} \int d^4x \omega(t, \vec{x}) \epsilon_{\mu\nu\alpha 0} x_\alpha H_{\mu\nu}^{VA}(t, \vec{x}),$$

$$\omega(t, \vec{x}) = \int_{-\pi/2}^{\pi/2} \frac{\cos^3 \theta d\theta}{\pi} \frac{j_1(\sqrt{Q^2} |\vec{x}| \cos \theta)}{|\vec{x}|} \cos(\sqrt{Q^2} t \sin \theta)$$



Results from the 64l ensemble (R is the upper limit of the Euclidean space-time range). Note that the weighting function $\omega(t, \vec{x})$ is calculated in the infinite volume. The momentum exchange Q can vary continuously instead of being constrained by $2\pi/L$.



$$\begin{aligned}
 \square_{\gamma W}^{\text{VA}}|_\pi &= \frac{3\alpha_e}{2\pi} \int \frac{dQ^2}{Q^2} \frac{m_W^2}{m_W^2 + Q^2} M_\pi(Q^2) \\
 &= 2.830(11)_{\text{stat}}(9)_{\text{PT}}(24)_{\text{a}}(3)_{\text{FV}} \times 10^{-3} \\
 &= 2.830(11)_{\text{stat}}(26)_{\text{sys}} \times 10^{-3}
 \end{aligned}$$

$$\Gamma_{\pi\ell 3} = \frac{G_F^2 |V_{ud}|^2 m_\pi^5 |f_+^\pi(0)|^2}{64\pi^3} (1 + \delta) I_\pi$$

- Here, m_π is the charged pion mass, I_π is the kinematic factor.
- ChiPT [A. Czarnecki, W. Marciano, A. Sirlin \(2019\)](#)

$$\delta = 0.0334(10)_{\text{LEC}(3)_{\text{HO}}}$$

- Sirlin's parametrization [A. Sirlin \(1978\)](#)

$$\begin{aligned} \delta &= \frac{\alpha_e}{2\pi} \left[\bar{g} + 3 \ln \frac{m_Z}{m_p} + \ln \frac{m_Z}{m_W} + \tilde{a}_g \right] + \delta_{\text{HO}}^{\text{QED}} + 2\Box_{\gamma W}^{\text{VA}}|_\pi \\ &= 0.0332(1)_{\gamma W(3)_{\text{HO}}} \end{aligned}$$

where $\frac{\alpha_e}{2\pi} \bar{g} = 1.051 \times 10^{-2}$, $\frac{\alpha_e}{2\pi} \tilde{a}_g \approx -9.6 \times 10^{-5}$, $\delta_{\text{HO}}^{\text{QED}} = 0.0010(3)$.

- Remaining theoretical uncertainty mainly comes from corrections of higher order in α_e .

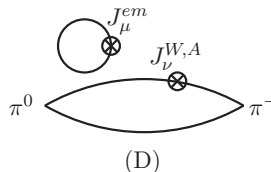
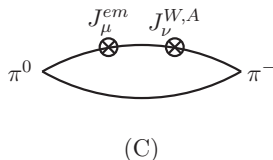
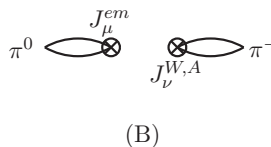
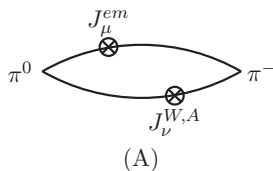
- Further theoretical improvement requires a complete electroweak two-loop analysis.
- At present, with the PIBETA experiment, we have $|V_{ud}| = 0.9740(28)_{\text{exp}}(1)_{\text{th}}$ from pion β decay. Recall PDG 2020 value is $|V_{ud}| = 0.97370(10)_{\text{exp.,nucl.}}(10)_{\text{RC}}$.
- Estimating the difference between pion and nucleon γW -box by hadronic models, combined with the precise lattice results for pion, we obtain a new value for nucleon γW -box. The tension persists.

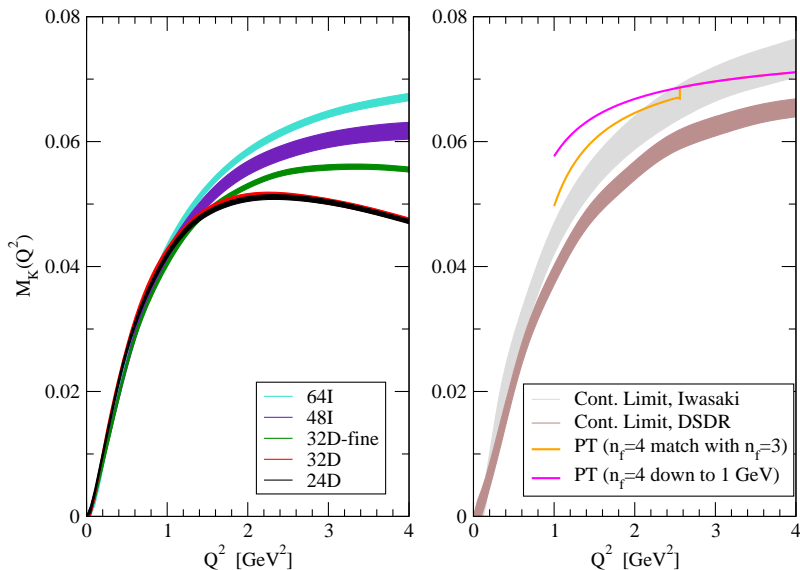
[PRD 101, 11, 111301 \(2020\)](#) C.Y. Seng, X. Feng, M. Gorchtein, **LJ**

- This pion calculation provide experiences for the nucleon γW -box lattice calculation. In particular, we expect the discretization error being similar.
- Lattice calculation of the nucleon γW -box diagram in progress.

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- Goal is to obtain the χ PT low energy constants relevant to the QED radiative corrections in kaon semi-leptonic decay, which is largely independent of quark mass.
- Calculate the kaon semi-leptonic decay process in the flavor SU(3) limit with the light meson masses, m_π , m_K , m_η , equal to the physical pion mass.
- Use the same set of contractions and propagators as the pion β decay calculation, but adjust the charge factors appropriately.





$$\begin{aligned}
 \square_{\gamma W}^{\text{VA}}|_{K^0, \text{SU}(3)} &= \frac{3\alpha_e}{2\pi} \int \frac{dQ^2}{Q^2} \frac{m_W^2}{m_W^2 + Q^2} M_K(Q^2) \\
 &= 2.437(20)_{\text{stat}}(15)_{\text{PT}}(36)_{\text{a}}(1)_{\text{FV}} \times 10^{-3} \\
 &= 2.437(20)_{\text{stat}}(39)_{\text{sys}} \times 10^{-3}
 \end{aligned}$$

Using the lattice results of $\Box_{\gamma W}^{VA}|_{K^0, \text{SU}(3)}$ evaluated in the flavor SU(3) limit, we can obtain the χ PT low energy constants (LECs).

Previously, the LECs are obtained via the minimal resonance model

S. Descotes-Genon, B. Moussallam (2005) B. Ananthanarayan, B. Moussallam (2004)

$$\begin{aligned} X_1 &= -3.7(3.7) \times 10^{-3} \rightarrow -2.2(4) \times 10^{-3} \\ \tilde{X}_6^{\text{phys}} &= 10.4(10.4) \times 10^{-3} \rightarrow 13.9(7) \times 10^{-3}. \end{aligned}$$

In χ PT, the radiative corrections (RCs) have two major sources of theoretical uncertainties:

- The input of the LECs at $\mathcal{O}(e^2 p^2)$.
- The unknown $\mathcal{O}(e^2 p^4)$ terms in the ChPT expansion.

In unit of percent:

$$\delta_{K^0}^e = 0.99(19)_{e^2 p^4(11)_{\text{LEC}}} \rightarrow 1.00(19)$$

$$\delta_{K^0}^\mu = 1.40(19)_{e^2 p^4(11)_{\text{LEC}}} \rightarrow 1.41(19)$$

$$\delta_{K^\pm}^e = 0.10(19)_{e^2 p^4(16)_{\text{LEC}}} \rightarrow -0.01(19)$$

$$\delta_{K^\pm}^\mu = 0.02(19)_{e^2 p^4(16)_{\text{LEC}}} \rightarrow -0.09(19).$$

More theory work done in this direction, as mentioned in the previous talk by C.Y. Seng.

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- **Conclusion and outlook**

- Pion β decay (the pion semi-leptonic decay $\pi\ell 3$)

$$\langle \Box_{\gamma W}^{VA} \rangle_{\pi} = 2.830(11)_{\text{stat}}(26)_{\text{sys}} \times 10^{-3}$$

$$\delta = 0.0334(10)_{\text{LEC}}(3)_{\text{HO}} \rightarrow \delta = 0.0332(1)_{\gamma W}(3)_{\text{HO}}$$

- Kaon semi-leptonic decay in the flavor SU(3) limit (unphysical kaon mass):

$$\langle \Box_{\gamma W}^{VA} \rangle_{K^0, \text{SU}(3)} = 2.437(20)_{\text{stat}}(39)_{\text{sys}} \times 10^{-3}$$

- χ PT low energy constants relevant to kaon semi-leptonic decay:

$$X_1 = -3.7(3.7) \times 10^{-3} \rightarrow -2.2(4) \times 10^{-3}, \quad \tilde{X}_6^{\text{phys}} = 10.4(10.4) \times 10^{-3} \rightarrow 13.9(7) \times 10^{-3}.$$

- For better $|V_{ud}|$ from nucleus / neutron decay, nucleon $\Box_{\gamma W}^{VA}$ lattice calculation underway.
- For better $|V_{ud}|$ from pion β decay (also called pion semi-leptonic decay), more accurate experimental results is needed. The current theoretical determination is very accurate and clean.
- For better $|V_{us}|$ from meson leptonic decay: We are working towards a complete lattice calculation of QED correction to meson leptonic decay with infinite volume QED using the infinite volume reconstruction method. The theoretical framework for this calculation is in preparation: [N. Christ](#), [X. Feng](#), [LJ](#), [C.T. Sachrajda](#), [T. Wang](#) in preparation.

First step, pion mass mass splitting calculation finished:

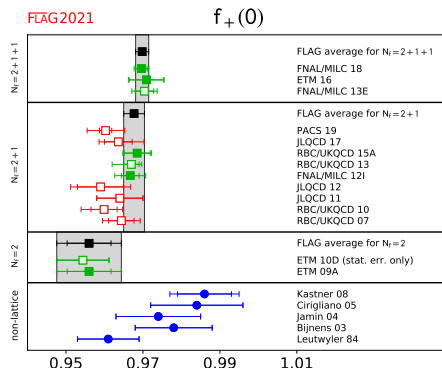
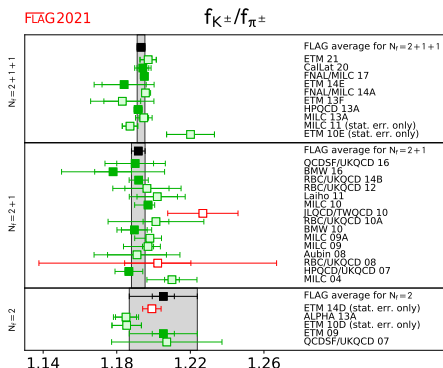
$$M_{\pi^\pm} - M_{\pi^0} = 4.534(42)_{\text{stat}}(43)_{\text{sys}} \text{ MeV} \quad [\text{PDG 2020: } 4.5936(5) \text{ MeV}]$$

[PRL 128, 052003 \(2022\)](#) [X. Feng](#), [LJ](#), [M.J. Riberdy](#)

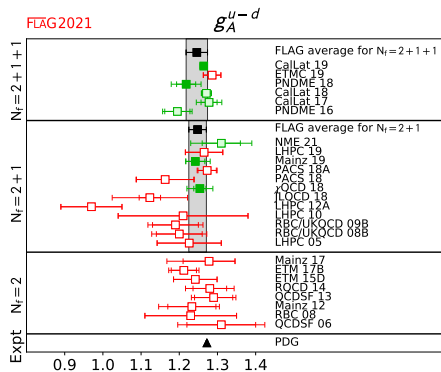
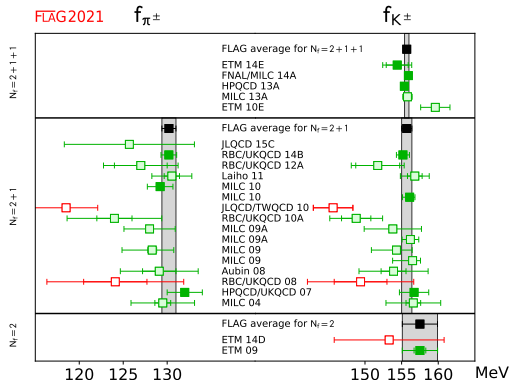
Note that there are two other groups also working on this:

- Already finished calculation in QED_L scheme by RM123 group:
[PRD 100 \(2019\) 034514](#), [M. Di Carlo](#), [D. Giusti](#), [V. Lubicz](#), [G. Martinelli](#), [C.T. Sachrajda](#), [F. Sanfilippo](#), [S. Simula](#), [N. Tantalo](#)
- Another calculation from RBC-UKQCD also uses QED_L scheme @ physical m_π :
[PoS LATTICE2021 \(2022\) 110](#), [A. Z. N. Yong](#), [P. Boyle](#), [M. Di Carlo](#), [F. Erben](#), [V. Gülpers](#), [M. T. Hansen](#), [T. Harris](#), [N. Hermansson-Truedsson](#), [R. Hodgson](#) and [A. Jüttner](#), *et al.*

- For better $|V_{us}|$ from meson semi-leptonic decay: a complete lattice calculation of QED correction to meson semi-leptonic decay is more difficult than leptonic decay, but should be feasible as well. Will be the next goal.
- For better $|V_{us}|$ from meson (semi-)leptonic decay: improving the precision leading order decay constant and form factors are also important.

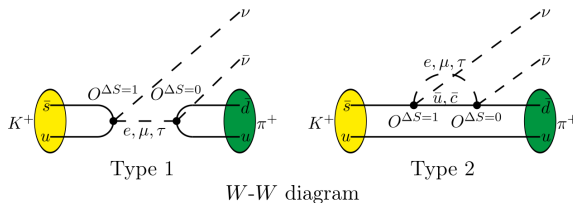


- For better $|V_{ud}|$ from pion leptonic decay: more accurate lattice determination of f_{π^+} and the QED correction to the process are needed.
- For better $|V_{ud}|$ from neutron decay: more accurate g_A is needed. Currently experimental determination is more accurate. But the precision from lattice QCD is improving as well.

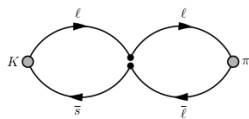


- [[PRD 102, 054509 \(2020\)](#)] by the [RBC-UKQCD](#) collaborations. [Chris Kelly](#) (BNL).
- Final result $\text{Re}(\epsilon'/\epsilon) = 2.17(26)_{\text{stat}}(62)_{\text{sys}}(50)_{\text{isospin}} \times 10^{-3}$
Or, include the ChPT evaluation of the QED and strong isospin breaking effects:
 1.67×10^{-3} . Recall the experimental value is $1.66(23) \times 10^{-3}$.
- Good agreement at this precision. RBC-UKQCD efforts to reduce the error:
 - Repeat the calculation with finer lattices.
 - Non-perturbative 3- to 4-flavor operator matching. [Masaaki Tomii](#).
M. Tomii, Proc. Sci., LATTICE2018 (2019) 216.
 - Periodic boundary condition $K \rightarrow \pi\pi$. [Masaaki Tomii](#) and [Daniel Hoying](#).
- Developing method to study the QED and strong isospin breaking effects on the lattice
 - N. Christ and X. Feng, EPJ Web Conf. 175, 13016 (2018)
 - Y. Cai and Z. Davoudi, Proc. Sci., LATTICE2018 (2018) 280
- [[PRD 98 \(2018\) 11, 114512](#)] N. Ishizuka, K. I. Ishikawa, A. Ukawa and T. Yoshié
Independent calculation with $m_\pi = 260$ MeV.

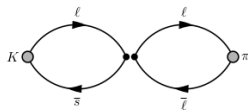
- [PRD 100 (2019) 11, 114506] by the RBC-UKQCD collaborations
Xu Feng (Peking University).
- The golden modes: an ideal process in which to search for signs of new physics.
 - NA62 at CERN: $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ (aim at 10% accuracy)
 - KOTO at J-PARC: $K_L \rightarrow \pi^0 \nu \bar{\nu}$ (long distance contributions negligible)
- The long distance ($\mathcal{O}(1/m_c)$) contribution is estimated to be about 5% to 10% in $K^+ \rightarrow \pi^+ \nu \bar{\nu}$.
- Two pioneer lattice calculations @ $m_\pi = 430$ MeV and $m_\pi = 170$ MeV and lighter charm quark mass due to coarse lattice spacing.



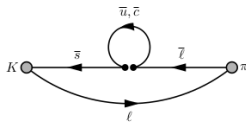
- arXiv:2202.08795 [hep-lat], P. A. Boyle, F. Erben, J. M. Flynn, V. Gülpers, R. C. Hill, R. Hodgson, A. Jüttner, F. Ó. hÓgáin, A. Portelli and C. T. Sachrajda
- Similar to $K \rightarrow \pi \nu \bar{\nu}$:
 - NA62 at CERN: $K^+ \rightarrow \pi^+ \ell^+ \ell^-$
 - Prospective experiments planned at LHCb to study the: $K_S \rightarrow \pi^0 \ell^+ \ell^-$
 - Lattice calculations @ $m_\pi = 139$ MeV, $a^{-1} = 1.73$ GeV!
 - Statistical error is currently pretty large: $V(z = 0.013(2)) = -0.87(4.44)$. Mostly come from the stochastically estimated quark loops.



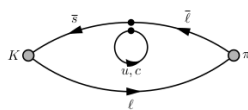
Connected



Wing

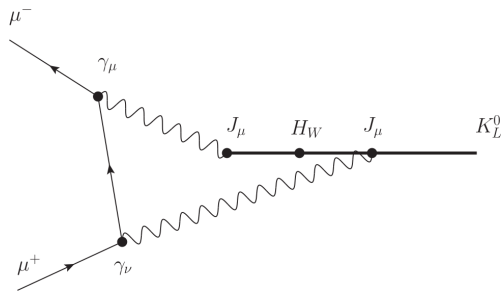


Saucer



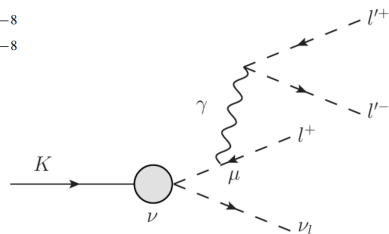
Eye

- [PoS LATTICE2019 (2020) 128, PoS LATTICE2019 (2020) 097] by the RBC-UKQCD collaborations
Yidi Zhao (Columbia University), Norman Christ's graduate student)
- Branching fraction is accurately measured: $\text{BR}(K_L \rightarrow \mu^+ \mu^-) = 6.84 \pm 0.11) \times 10^{-9}$.
- Two mechanism of comparable sizes for the decay:
 - One-loop, second-order weak process, involving exchange of two weak bosons.
 - $\mathcal{O}(\alpha_{\text{EM}}^2 G_F)$ process shown below.
- First step calculation $\pi \rightarrow e^+ e^-$ successful.
- Second step calculation $K_L \rightarrow \gamma\gamma$ in progress.
- Final goal: lattice calculation of $K_L \rightarrow \gamma^* \gamma^* \rightarrow \mu^+ \mu^-$



- Phys.Rev.D 105 (2022) 5, 054518, X. Y. Tuo, X. Feng, LJ, and T. Wang RBC-UKQCD
- Phys.Rev.D 105 (2022) 11, 114507, G. Gagliardi, V. Lubicz, G. Martinelli, F. Mazzetti, C. T. Sachrajda, F. Sanfilippo, S. Simula and N. Tantalo RM123
- Good test of the lattice calculation for the kaon form factors also needed for the QED corrections to the kaon leptonic decay (photon can be emitted from kaon).
- Techniques are developed to treat the four (non-interacting) particle final state.
- Unphysical pion mass ~ 300 MeV is used in both calculations.
- Experimental results from the E865 experiment at BNL. [hep-ex/0204006](#), [hep-ex/0505001](#)

Channel	RM123	Tuo et al.	ChPT	Experiment
$\text{Br}[K \rightarrow \mu \nu_\mu e^+ e^-]$	$8.26(13) 10^{-8}$	$10.59(33) 10^{-8}$	$8.25 10^{-8}$	$7.93(33) 10^{-8}$
$\text{Br}[K \rightarrow e \nu_e \mu^+ \mu^-]$	$0.762(49) 10^{-8}$	$0.72(5) 10^{-8}$	$0.62 10^{-8}$	$1.72(45) 10^{-8}$
$\text{Br}[K \rightarrow e \nu_e e^+ e^-]$	$1.95(11) 10^{-8}$	$1.77(16) 10^{-8}$	$1.75 10^{-8}$	$2.91(23) 10^{-8}$
$\text{Br}[K \rightarrow \mu \nu_\mu \mu^+ \mu^-]$	$1.178(35) 10^{-8}$	$1.45(6) 10^{-8}$	$1.10 10^{-8}$	—



Thank You!