

# SAND : Simulations and reconstruction

DUNE-doc-13262

# Status of simulation and analysis software

- Simulations: common ingredients
- Simulations: FLUKA+ROOT
- Simulations: Genie+Geant4+dunegdd
- Reconstruction: Kloe ECAL
- Reconstruction: 3DST
- Reconstruction: Tracker (STT)
- Reconstruction: background events

# Common ingredients

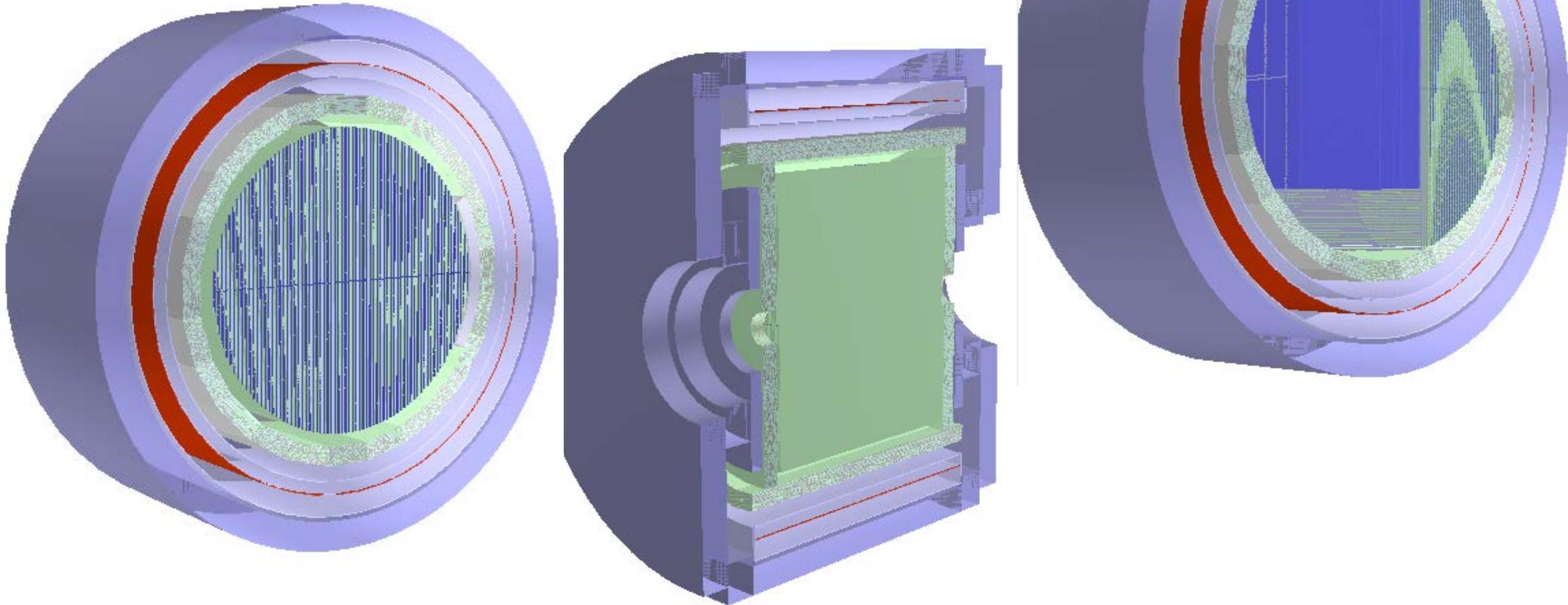
- **Beam:** <http://home.fnal.gov/ljf26/DUNEFluxes/> **3 horns optimized**
- **3DST:** dimensions/materials as provided by Davide
- KLOE **Iron/coils/magnetic field** from drawings.  $B=0.6$  T in the inner volume + Ecal, 1.5T in Joke
- KLOE **ECAL:** Layered in G4. In FLUKA, exact barrel, endcap with homogeneous material, segmented readout
- Lar meniscus  $\sim 1$  Ton, upstream
- **STT** as tracker, evolving configuration

# Geant4 based KLOE + tracker simulation

- Ingredients:

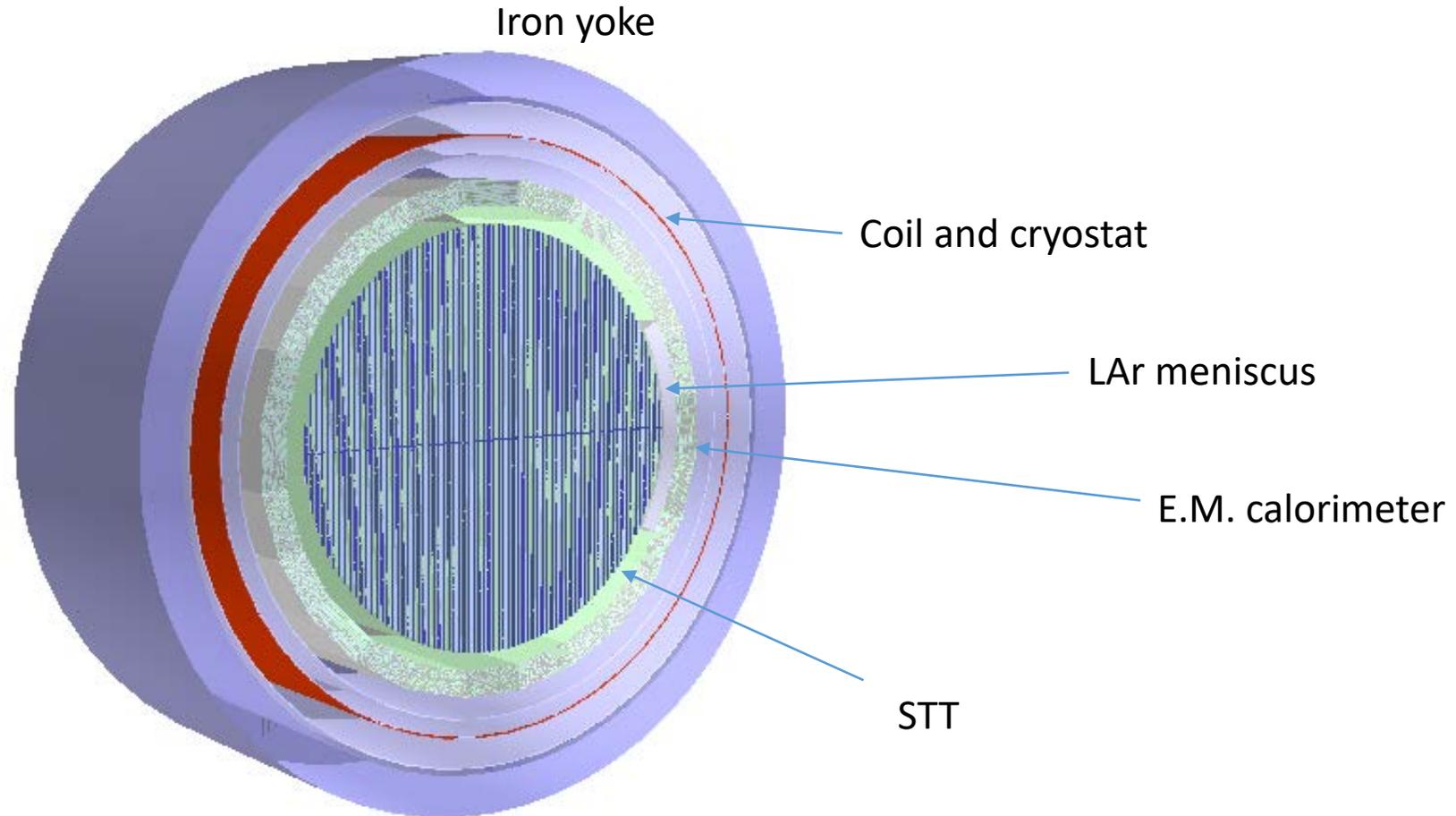
- Flux: Optimized 3-Horn Design (<https://home.fnal.gov/~ljf26/DUNEFluxes/>)
- Geometry: based on <https://github.com/gyang9/dunendggd>
- Neutrino Event Generator: GENIE
- Energy Deposition: Edep-sim (<https://github.com/ClarkMcGrew/edep-sim>)
- Digitization, Reconstruction and Analysis: independent tools (<https://baltig.infn.it/dune/kloe-simu>)

# G4 KLOE Geometry



Left and center: the baseline geometry we used to perform analyses reported in the DUNE DocDB note [DUNE-doc-13262](https://cds.cern.ch/doc/13262).  
Right : 3DST + STT geometry as implemented by Bing Guo, available in <https://github.com/gyang9/dunendggd>

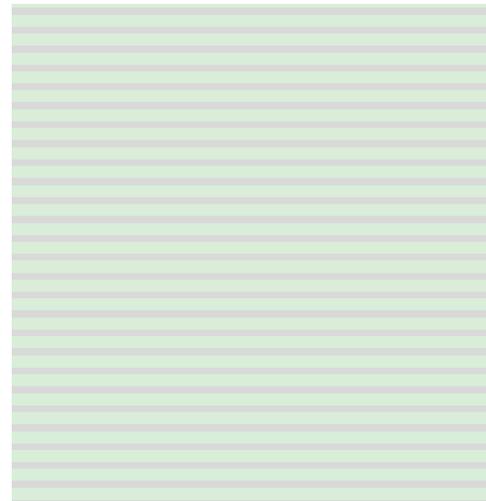
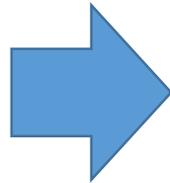
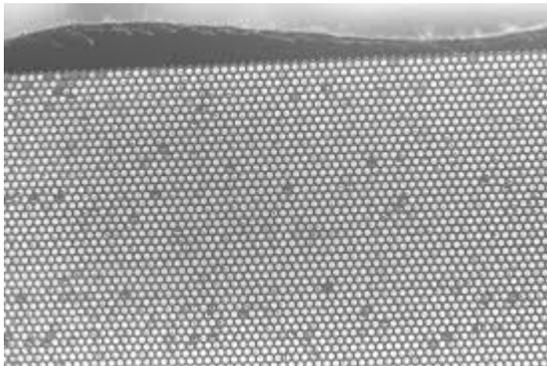
# KLOE Geometry



N.B. This is the baseline geometry we used to perform analyses reported in the DUNE DocDB note [DUNE-doc-13262](https://cds.cern.ch/doc/13262). Bing Guo has implemented 3DST + STT geometry. It is available in <https://github.com/gyang9/dunendggd>

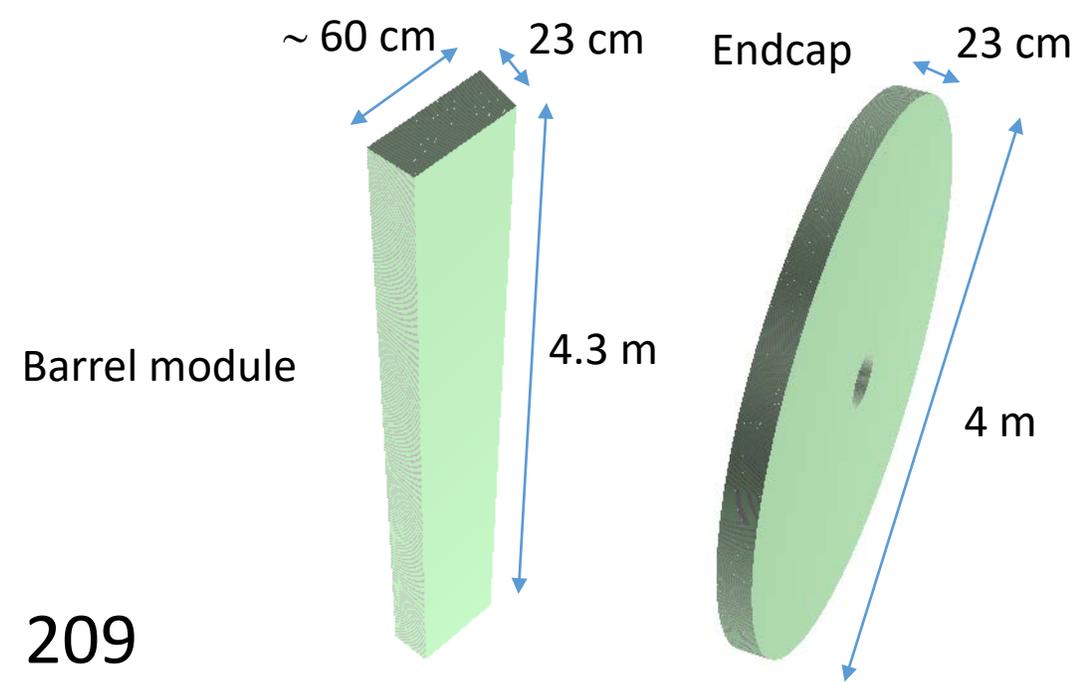
# Calorimeter Geometry

- Barrel + 2 Endcaps
- Barrel: 24 modules
- Spaghetti calorimeter approximated as 209 scintillation layers alternated with 209 lead layers



← 0.7 mm scintillation layer (green)

← 0.4 mm lead layer (gray)

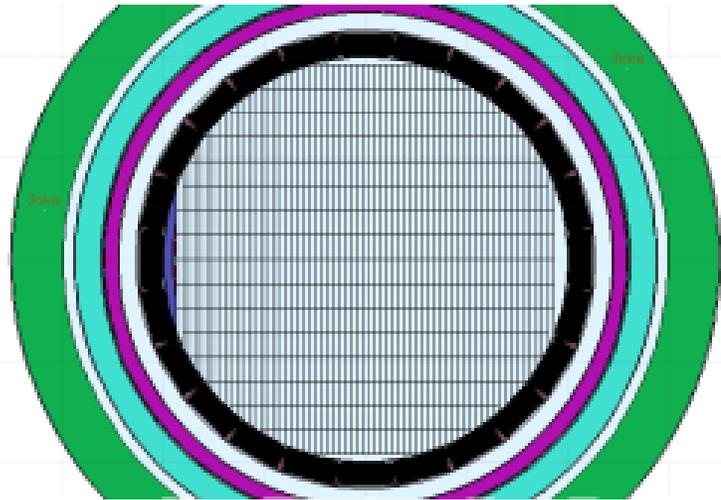


# Fluka simulation

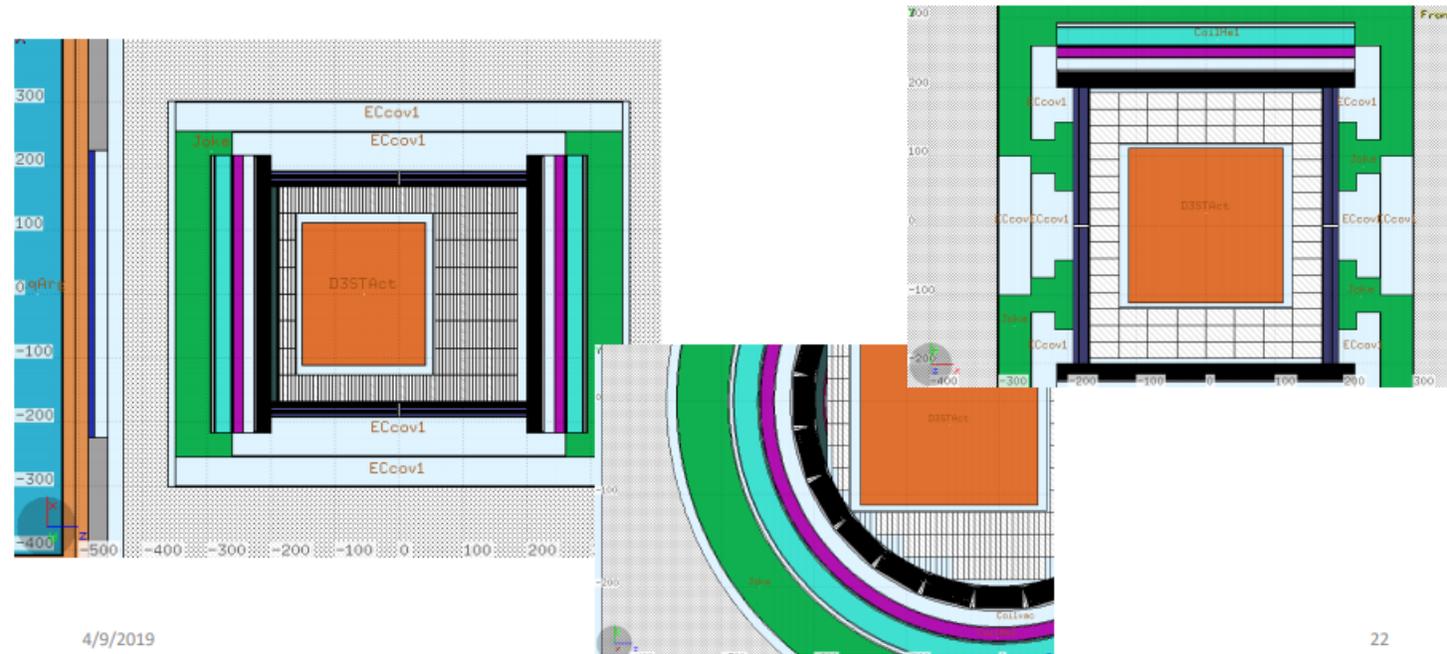
- Includes internal generation of neutrino events
- Output in ROOT trees:
- Information on
  - boundary crossing
  - energy depositions in
    - STT gas
    - 3DST 1x1cm cells, with and w/o Birks quenching
    - Ecal fibres with and w/o Birks quenching
    - Ecal “cells” (corresponding to readout granularity)
    - LAr meniscus
  - Associated particle type, energy, origin (parent from primary neutrino interaction) , time

# FLUKA model of SAND

with STT Tracker

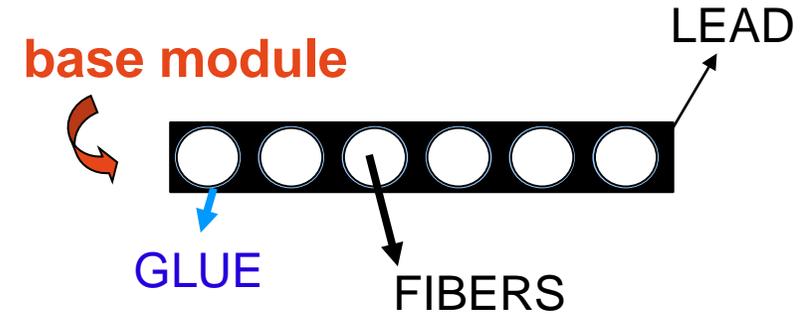
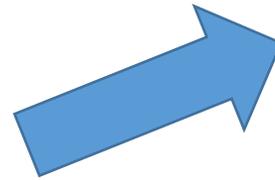
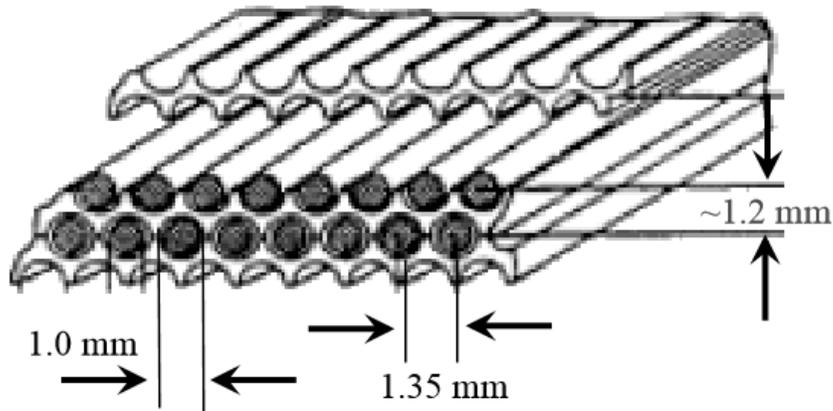


with 3DST and STT

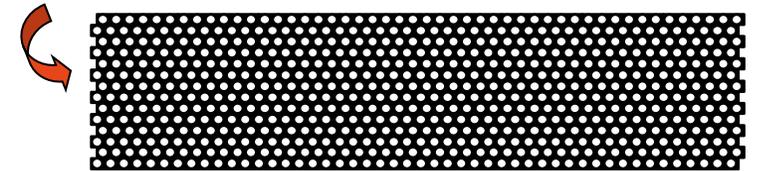


# The Pb-SciFi structure simulation

➤ Using the FLUKA tool LATTICE the fiber structure of a whole calorimeter module has been designed.



replicas

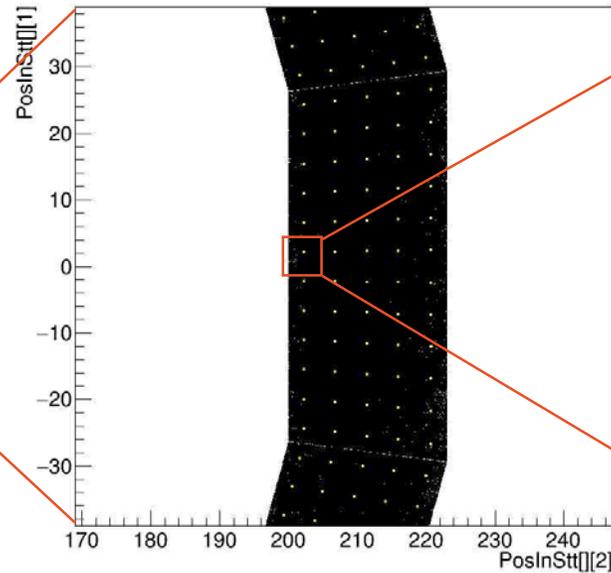
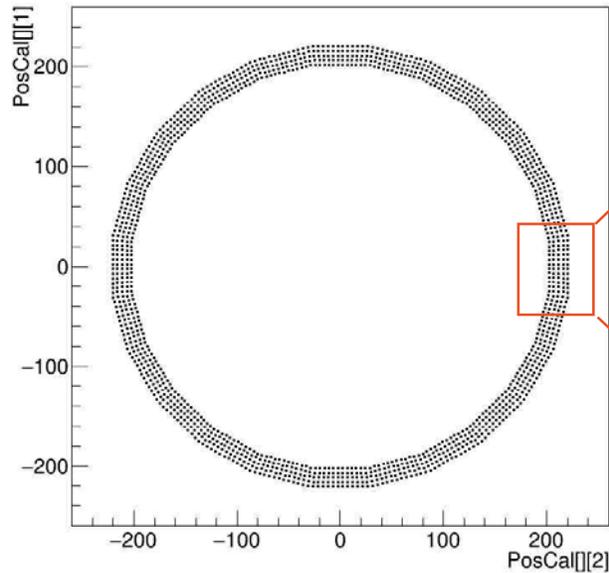
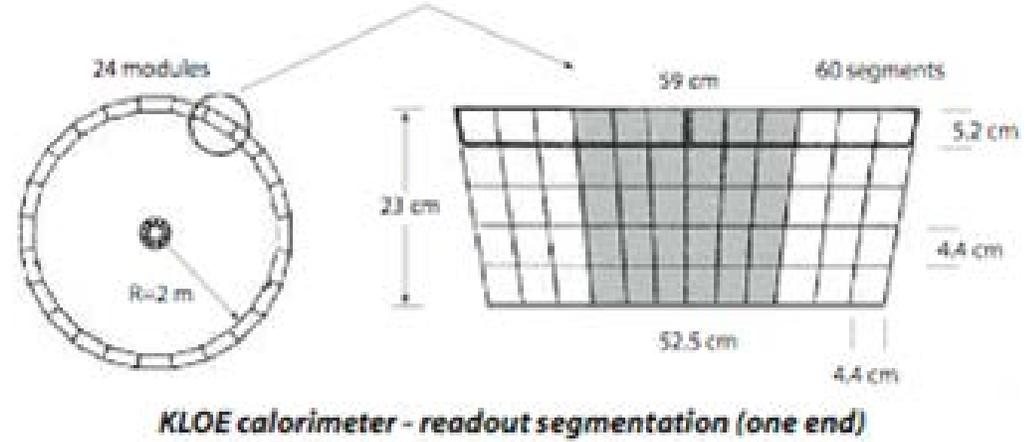
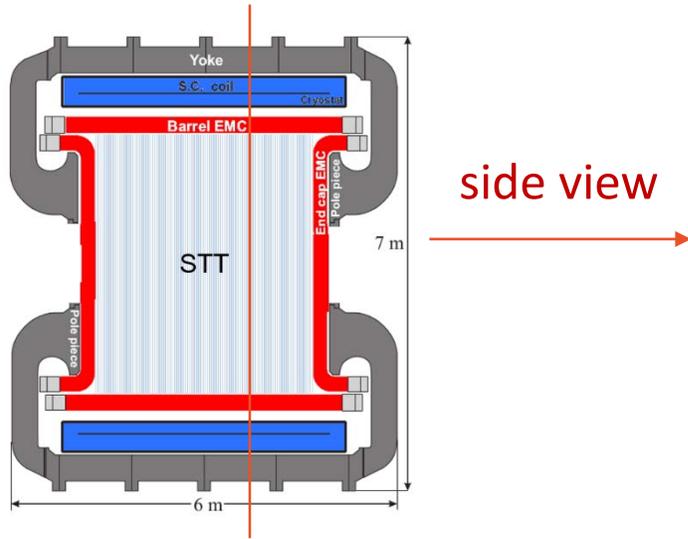


200 layers

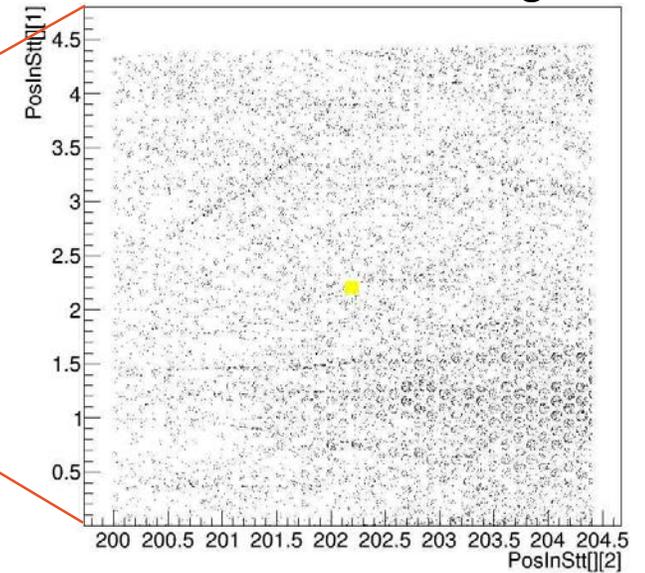
- All the compounds have been carefully simulated.
- for the **fibers**, an average density between cladding and core has been used :  $\rho = 1.044 \text{ g/cm}^3$
  - **glue**: 72% **epoxy resin**  $\text{C}_2\text{H}_4\text{O}$ ,  $r=1.14 \text{ g/cm}^3$ ,  
+ 28% **hardener**,  $r=0.95 \text{ g/cm}^3$

Polyoxypropyldiamine	$\text{C}_7\text{H}_{20}\text{NO}_3$	90%
Triethanolamine	$\text{C}_6\text{H}_{15}\text{NO}_3$	7%
Aminoethylpiperazine	$\text{C}_6\text{H}_{20}\text{N}_3$	1.5%
Diethylenediamine	$\text{C}_4\text{H}_{10}\text{N}_2$	1.5%

# Calorimeter segmentation



A cell is 430 cm long

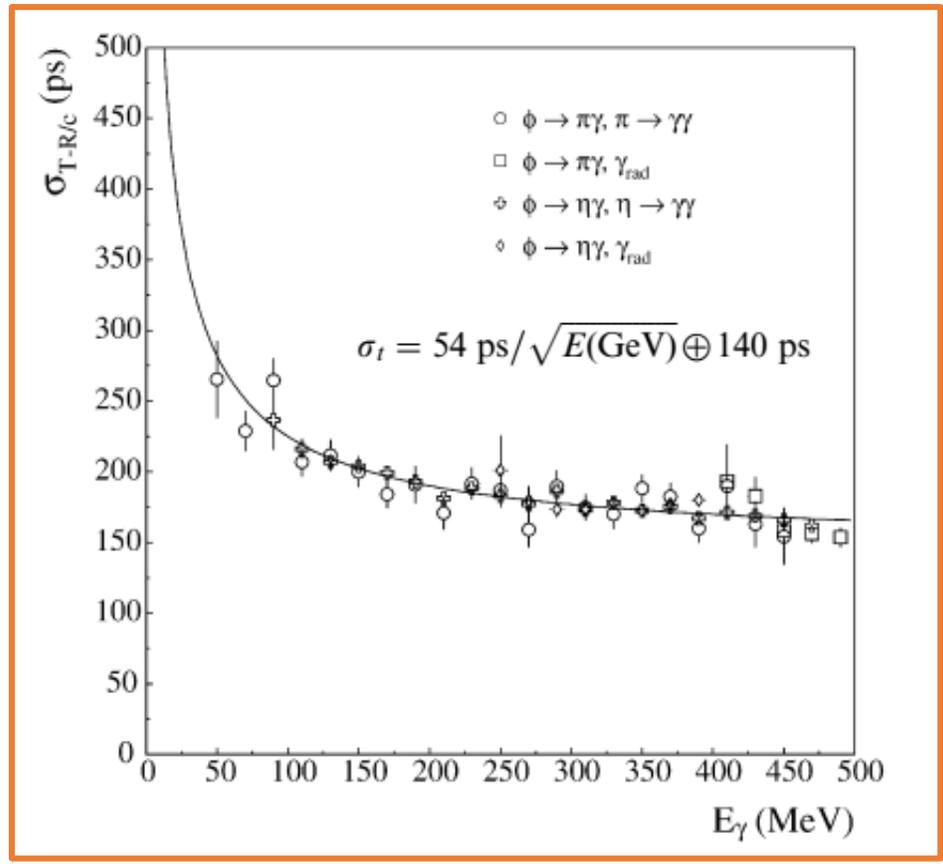


# ECAL Response

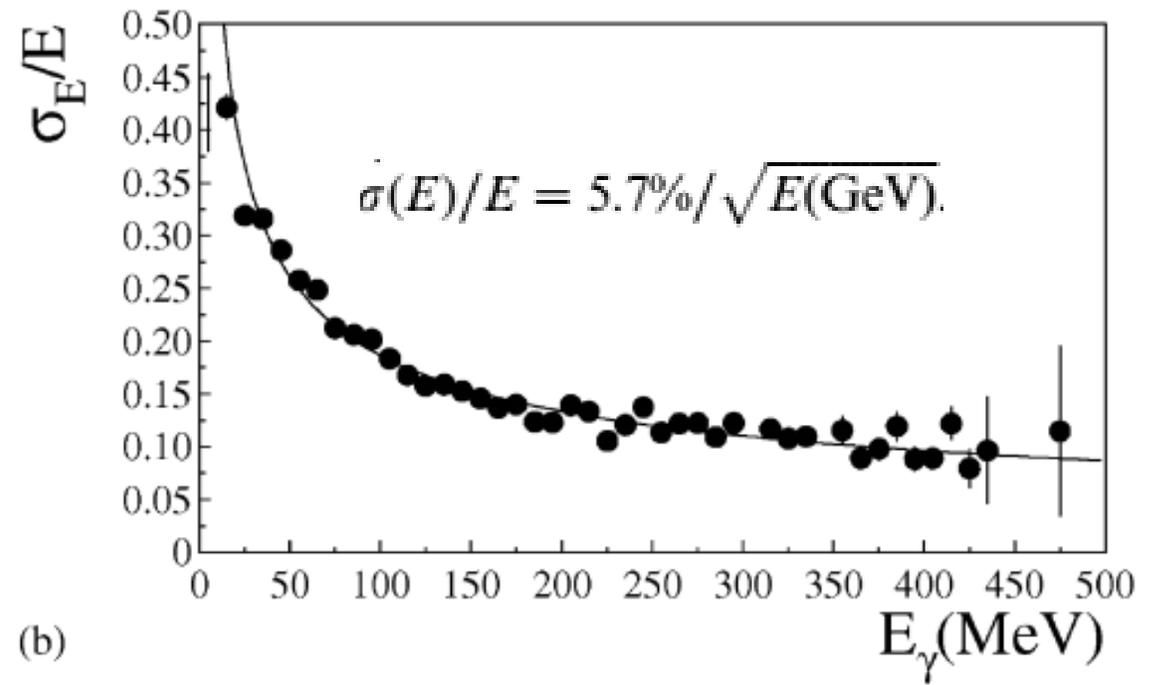
- Both Fluka and G4 based on KLOE data
- G4 : parameters from past optimization of G4 layered structure
- Fluka: from measured timing and signal attenuation along fibers; photoelectrons/MeV from calibration with crossing muons.
- Basic cells: corresponding to readout: 4.4 x 4.4 x 430 cm . Readout on both ends
- Endcaps readout by 90 x 5 (vertical) cells.
- Used for: Neutron efficiency, pizero reconstruction, background identification

# For reproducing the measured performances

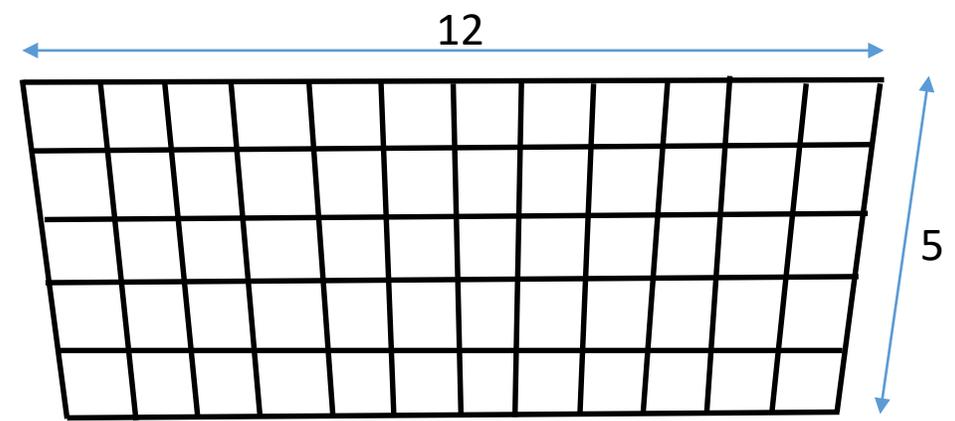
Time resolution



Energy resolution



# G4 Calorimeter digitization



- Calorimeter barrel modules readout from both ends by 12 x 5 (horizontal) cells ( $\sim 4.5 \times 4.5 \text{ cm}^2$ ). Endcaps readout by 90 x 5 (vertical) cells.
- Starting from energy deposit in the scintillator material of a cell ( $dE$ ), the number of p.e. ( $N_{p.e.}$ ) at each ends is evaluated as:

$$N_{p.e.} = \text{Poisson}(dE \cdot n_{p.e.} \cdot \mu)$$

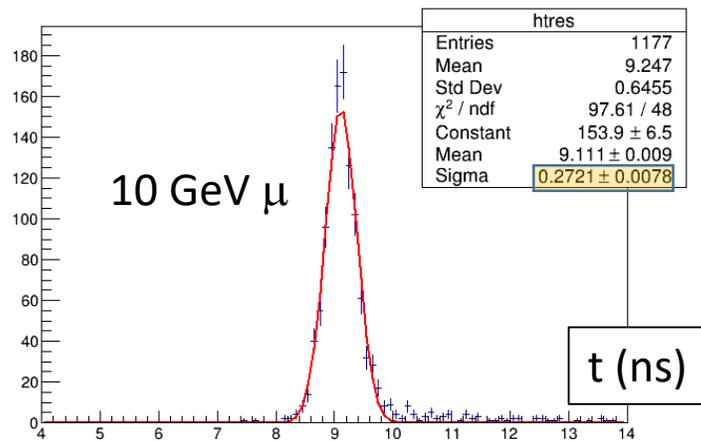
where  $n_{p.e.}$  is the mean number of scintillation photo-electrons for 1 MeV energy deposit and  $\mu$  is the attenuation.

# G4 Calorimeter digitization

- The arrival time of each p.e. ( $t$ ) is evaluated as the sum of 3 terms:
  - Scintillation decay time:  $\tau_1 (Uniform(1)^{-\tau_2} - 1)$
  - Signal propagation to the end of the cell where it is readout by PMT:  $l/v$
  - 1 ns uncertainty:  $Gauss(1\text{ ns})$
- Cell signal:
  - ADC:  $ADC_{cell} \propto N_{p.e.}$
  - TDC:
    - Photo-electron times are sorted (earlier first):  $t_1 < t_2 < \dots < t_{N_{pe}}$
    - $TDC_{cell} = t_{0.15} \cdot N_{pe}$

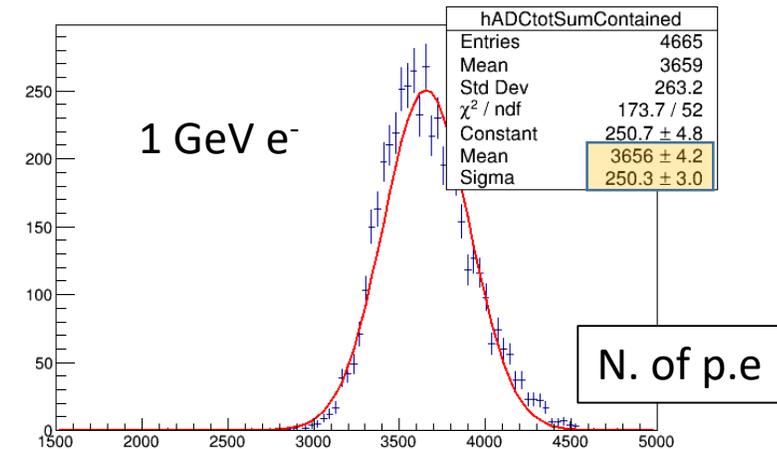
# G4: Calorimeter simulated performances

- Time and e.m. energy resolution measured by KLOE collaboration are well reproduced by MC simulation with muons and electrons.



The resolution is 260 ps, in agreement with a scaling law of  $\sim 54 \text{ ps}/\sqrt{E(\text{GeV})}$  considering a 40 MeV equivalent energy release.

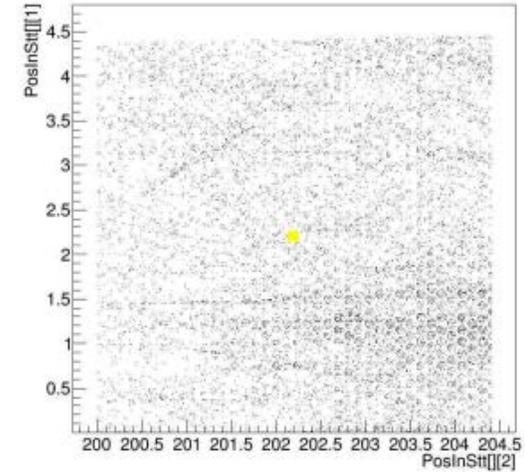
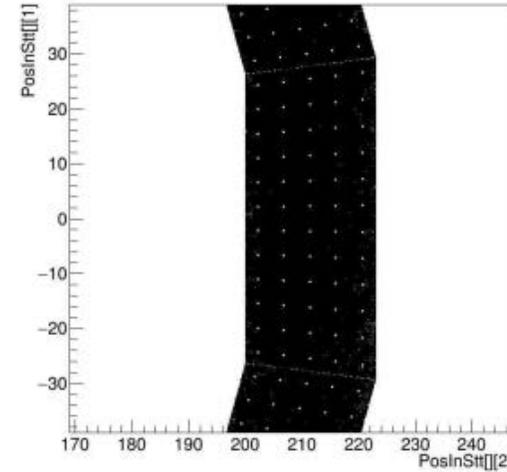
[10.1016/S0168-9002\(01\)01502-9](https://doi.org/10.1016/S0168-9002(01)01502-9)



an energy resolution of  $5.7\%/\sqrt{E(\text{GeV})}$

# Fluka Digitization

- The hits from simulations are grouped in cell
- Generation and propagation of light from the interaction point to the PMTs, taking into account scintillation time and attenuation length for different planes
- The visible energy is converted in Npe
- The Npe are propagated inside the fiber



Only the hit in the fibers are saved

- 
- Final information:

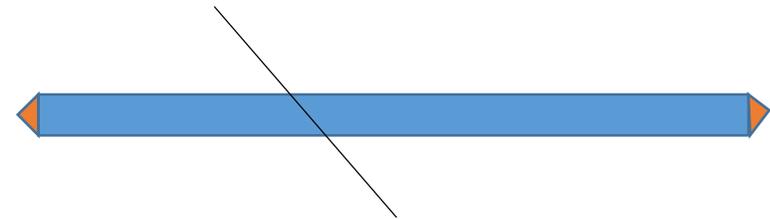
average time and Npe at each pmts

interaction time  
Reconstructed information:

$$t \text{ (ns)} = \frac{t^A + t^B}{2} - \frac{t_0^A + t_0^B}{2} - \frac{L}{2v}$$

interaction position  
along the cell

$$s \text{ (cm)} = \frac{v}{2}(t^A - t^B - t_0^A + t_0^B)$$



# Calibration

- By using the real data on MIP muon ( $E_{dep}$  and  $N_{pe}$  at pmt)

we can extract the conversion

$$E_{dep} = \alpha E_{vis} \quad \text{from simulations}$$

and

$$E_{vis} = \beta N_{pe} \quad \text{from real data}$$

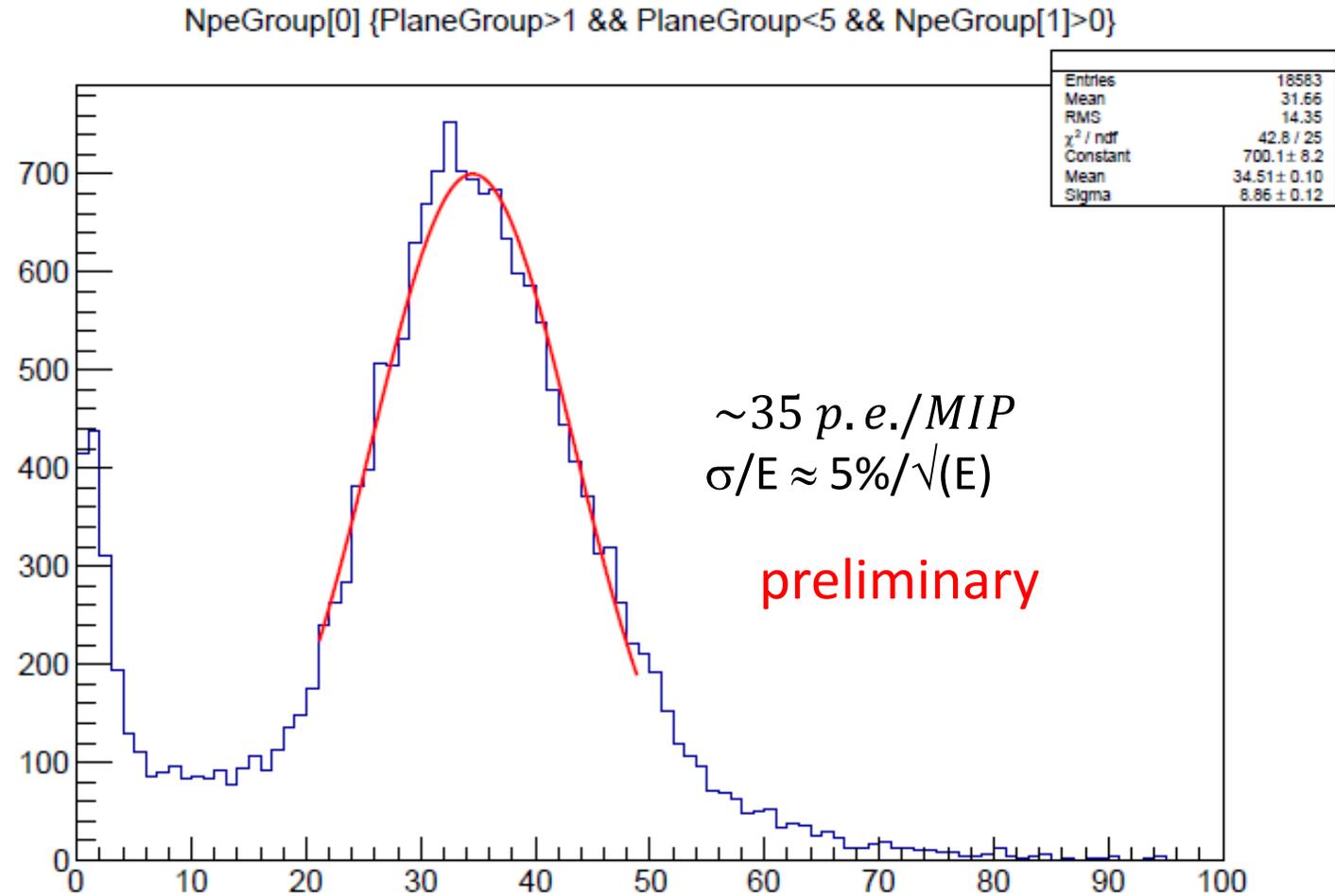
# Calibration: preliminary results

Number of photoelectrons  
at one side of a cell  
from perpendicular muons  
hitting at center of the  
barrel

considering:

cells in planes 2, 3 and 4;

PMs firing ( $N_{pe} > 0$ ) at either sides of the cell.



# Pizeros from ECAL-Fluka

Reconstruction from EM CALO clusters

Optimized Dimensions  $\Delta x = 20$  cm and  $\Delta\phi = 5$  deg.

Energy smearing  $\sigma_E/E \approx 5.7\%/\sqrt{E(\text{GeV})}$

Position from hit barycentre +  
resolution of the KLOE calorimeter (4.5 mm).

Resolutions:

1  $\pi^0$  16.8%

2  $\pi^0$  17.7%

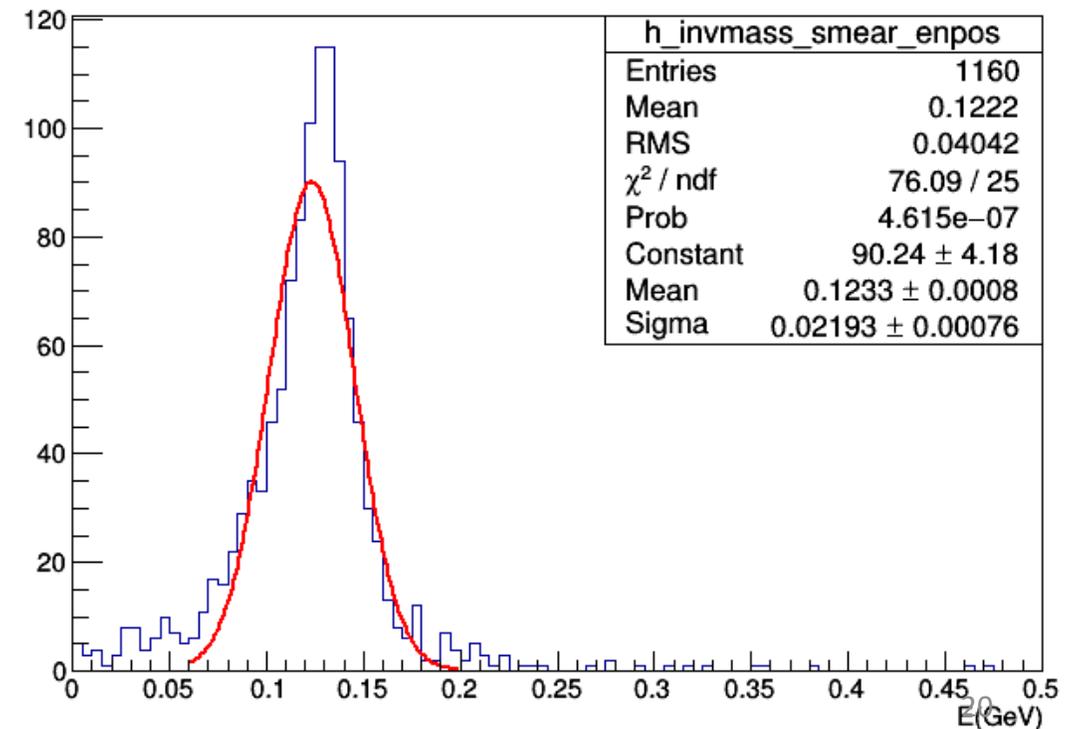
Reconstructed CC sample: 20000 events

1  $\pi^0$  27% of events

2  $\pi^0$  8% of events

> 2  $\pi^0$  2.5 % of events

2  $\pi^0$  sample:  $\pi^0$  invariant mass,  
Considering only 4-cluster events



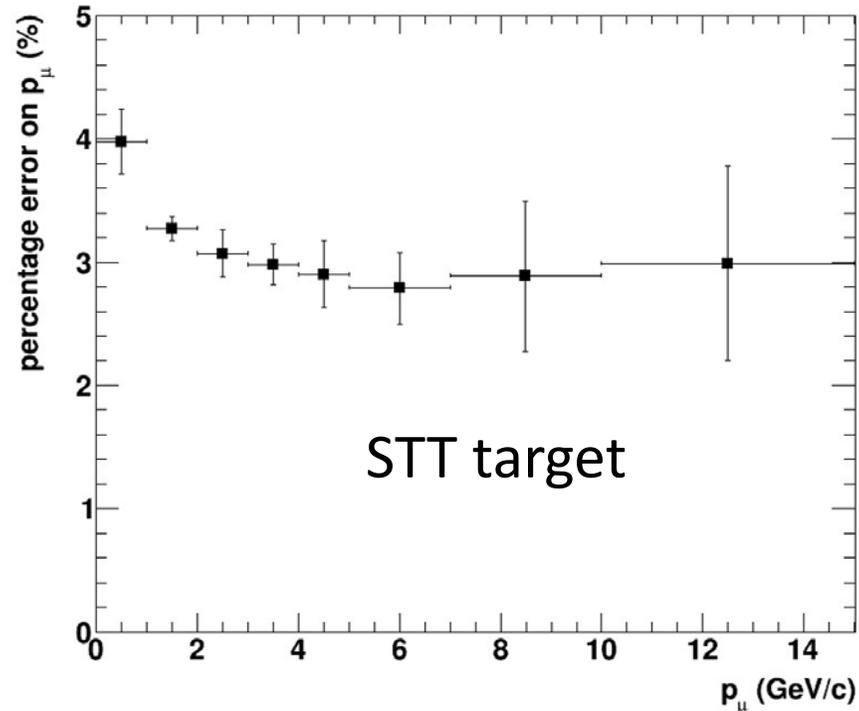
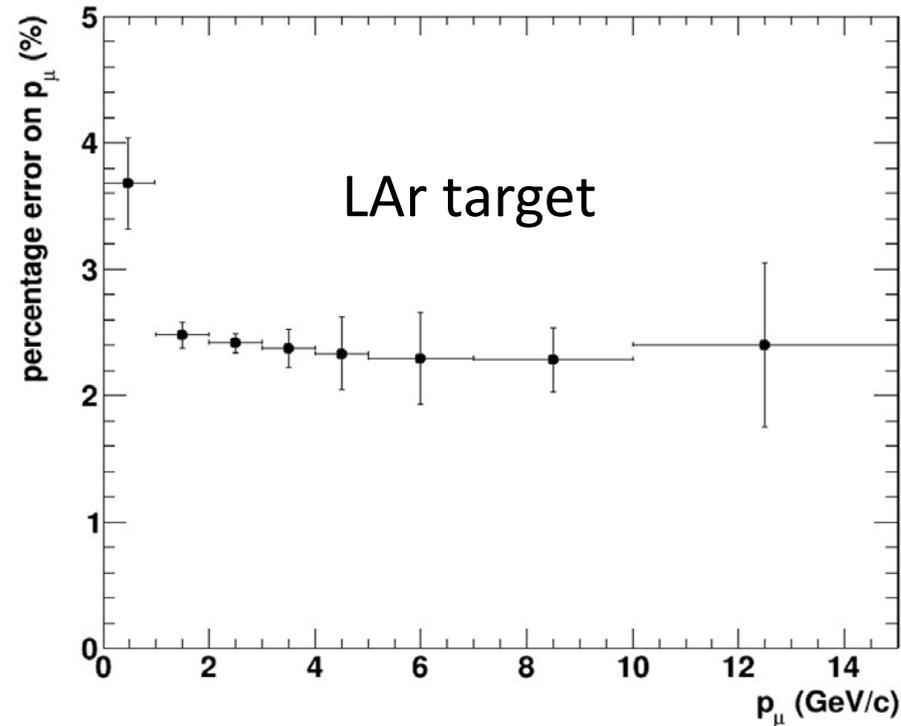
# 3DST signal

- Work in progress to include 3DST response in the Fluka-based software
- For the moment:
  - Energy deposition in  $1\text{cm}^3$  cells
  - Same, with quenching of the signal according to “reasonable” Birks parameters for plastic scintillator

# STT track/vertex reconstruction: commons

- Spatial coordinate:
  - Only the one perpendicular to the tube is used:
  - $0.2\text{ mm}$  smearing applied
- Hit time:
  - $1\text{ ns}$  smearing applied
- Track Fit in two steps:
  - First:
    - Circular fit in the  $y - z$  plane (perpendicular to magnetic field)
    - Linear fit in  $\rho - x$  plane (see slide 18 of [Tracking system](#))

# Results – Muons



FLUKA sim:  
muon-track  
reconstruction  
based on STT hits,  
assuming a spatial  
resolution of 0.2 mm  
on y and x axes and  
0.01 mm on z axis  
(beam axis).

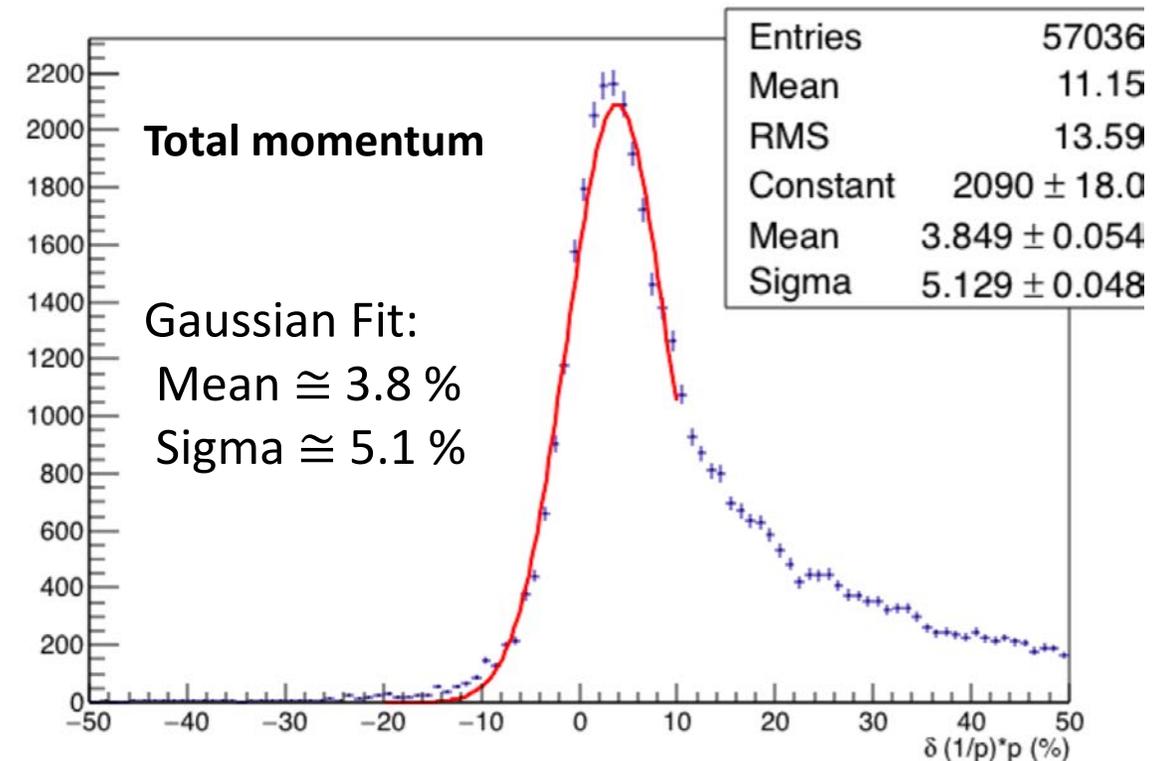
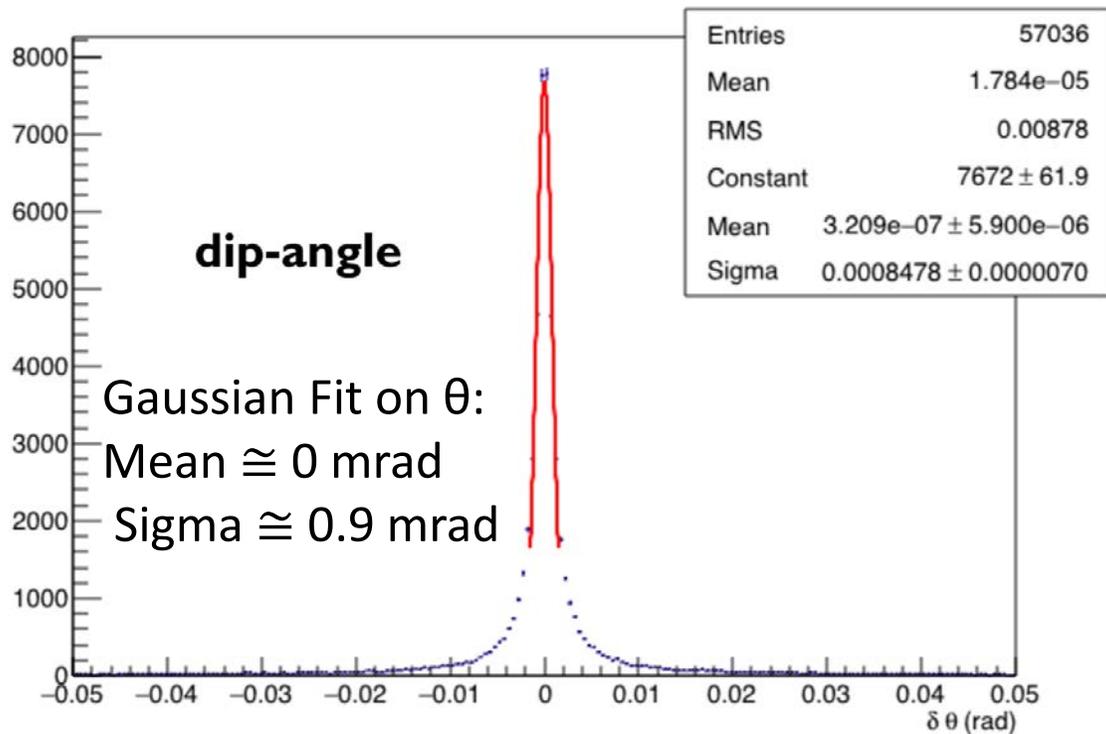
Good resolution on  $p$  ( $\sim 3\%$ ) for both targets  
Good resolution on dip angle  $\sim 1.7$  mrad

Same results with  
GEANT4

Charge mis-id  $\sim 0.02\%$

# Results: - electrons

Generated in STT with GENIE+GEANT4. Very good resolutions, tails due to circular fit approximation to be improved i.e. with Kalman filter.

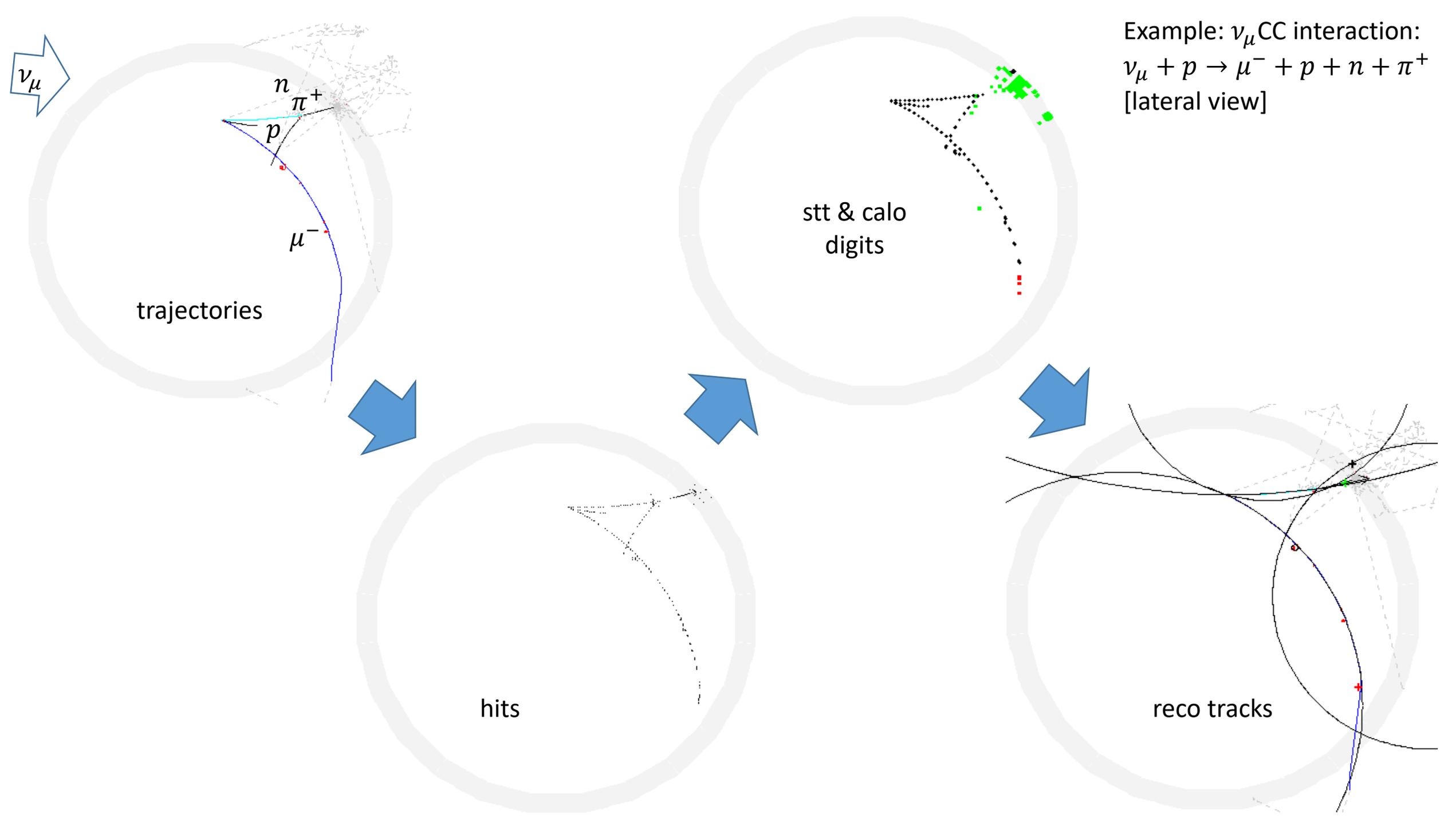


# G4 based Reconstruction (1)

- STT:
  - Track identification/Pattern recognition using MC truth info
    - New:
      - Fit parameters used as guess for kalman fit with [GENFIT](#)
- Calo:
  - Cells are grouped in clusters using MC truth info

# G4 Based Reconstruction (2)

- Particle ID using MC truth info
- Momentum measurement of charged particles from track fit based on STT digits
- E.M. energy measurement for  $\gamma$ ,  $e^{\pm}$ ,  $\pi^0$  from Calo Cluster
- Kinetic energy of neutrons from time of flight



# Fluka based Full reconstruction –no MC truth

- Interaction Vertex based on STT-hit topology (Step 0)
- Track finding (Global transform method)
- Linear or circle fits to track
- Vertex reco from crossing on two most rigid tracks (Step 1)
- Iteration...

On two views

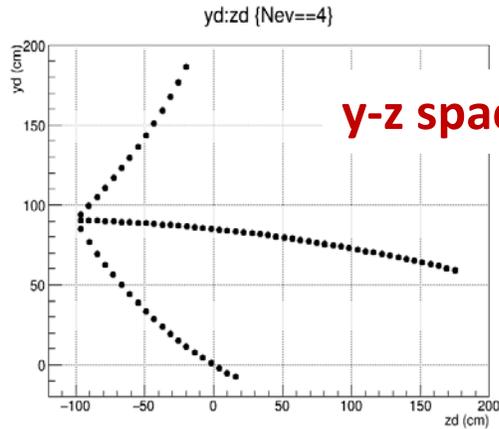
- Matching of tracks in the two views  $\rightarrow$  tracks in 3D
- Evaluation of  $p_{\perp}$  and dip-angle  $\rightarrow$  p estimate
- Ecal hit compatible with tracks  $\rightarrow$  ToF measurement
- $\rightarrow$   $\beta$  estimate  $\rightarrow$  PiD

# From Vertex to Track reconstruction, no MC truth

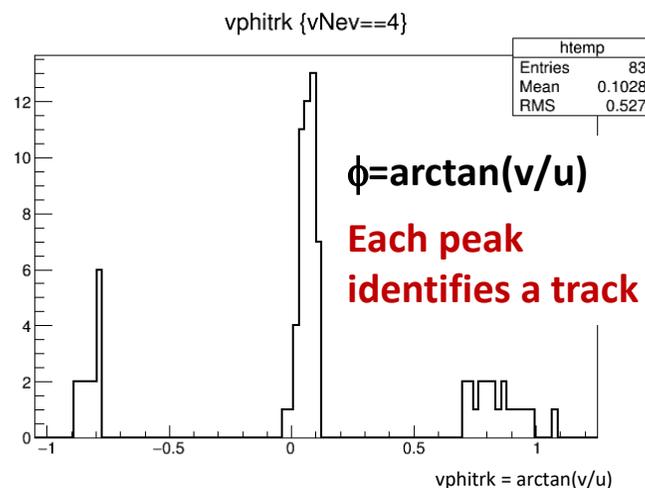
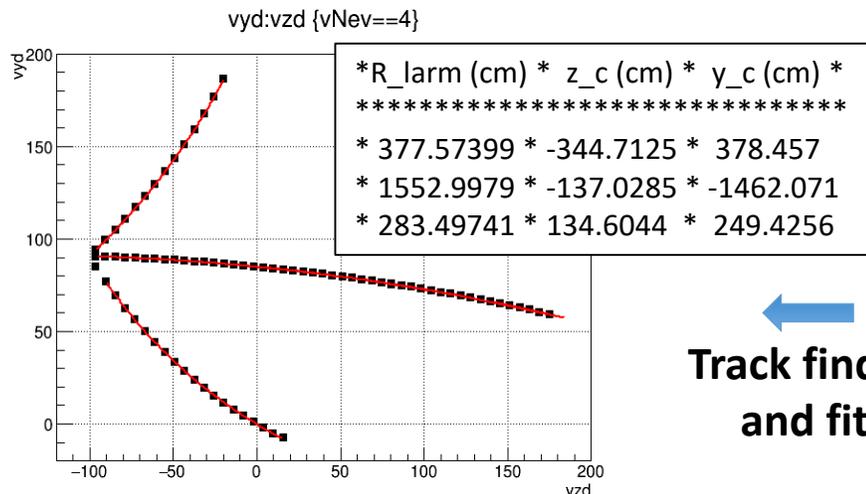
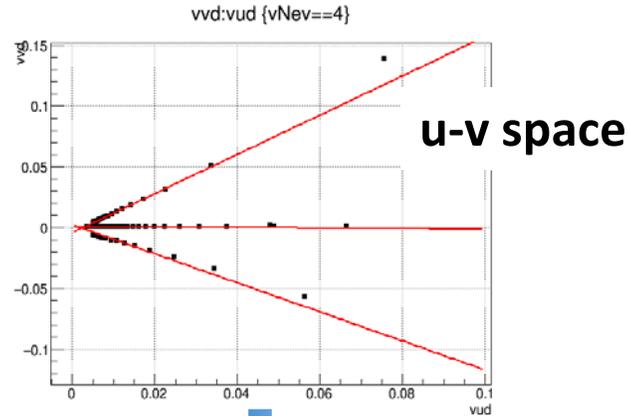
Coordinate transformation  
by using reco-Vertex ( $z_v, y_v$ ):

$$u = +(z-z_v) / [(z-z_v)^2 + (y-y_v)^2]$$

$$v = -(y-y_v) / [(z-z_v)^2 + (y-y_v)^2]$$



Curved trajectories  
become straight lines

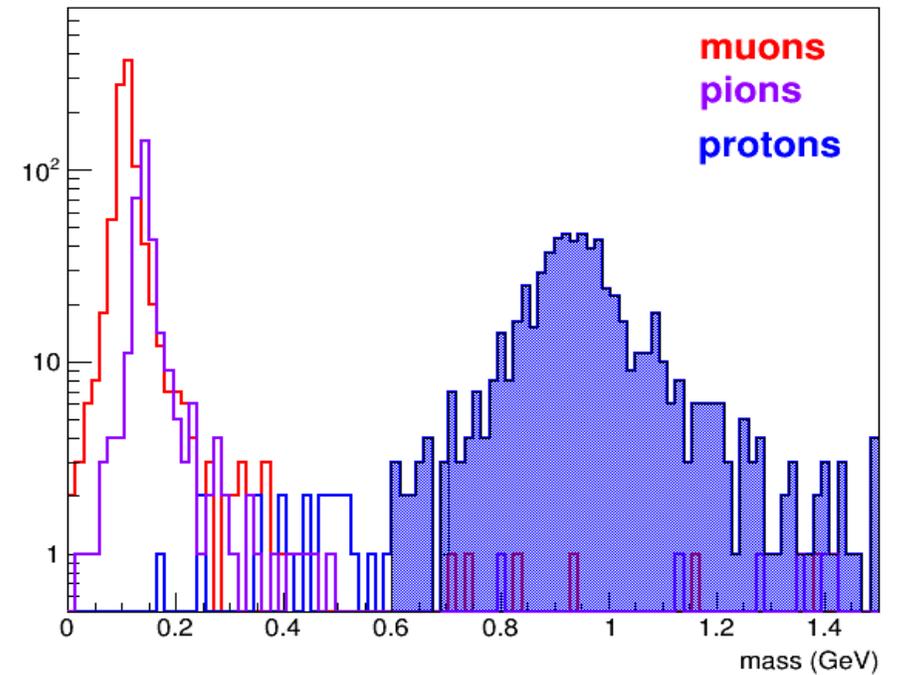
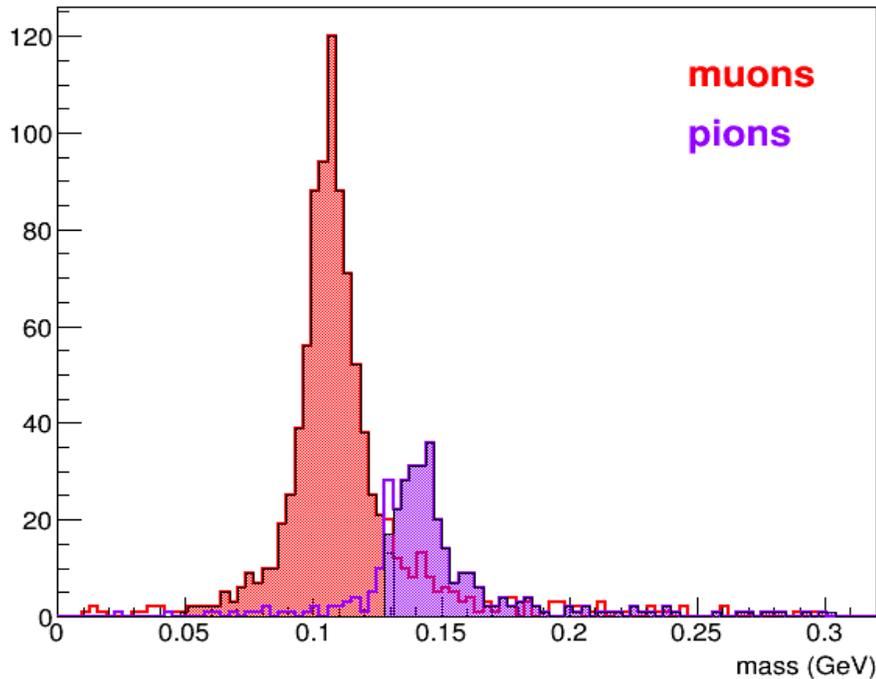
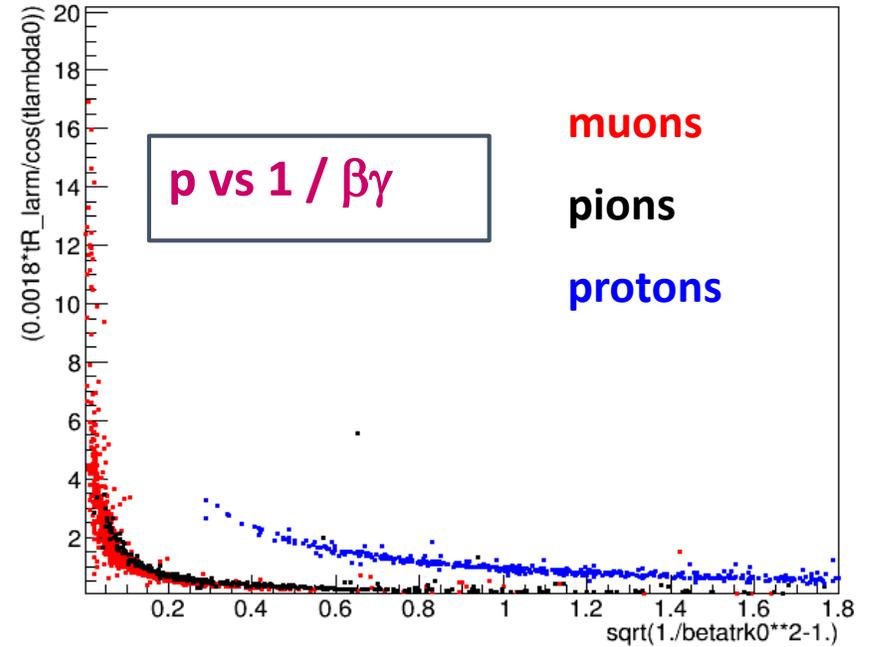


Two-step method: first  
rough vertex finding,  
allows for coordinate  
transform  
Peaks in  $\phi$  correspond  
to tracks  
Second vertex finding  
from track intersection

**Each trajectory is fully reconstructed!**

# Mass reconstruction and PiD

preliminary

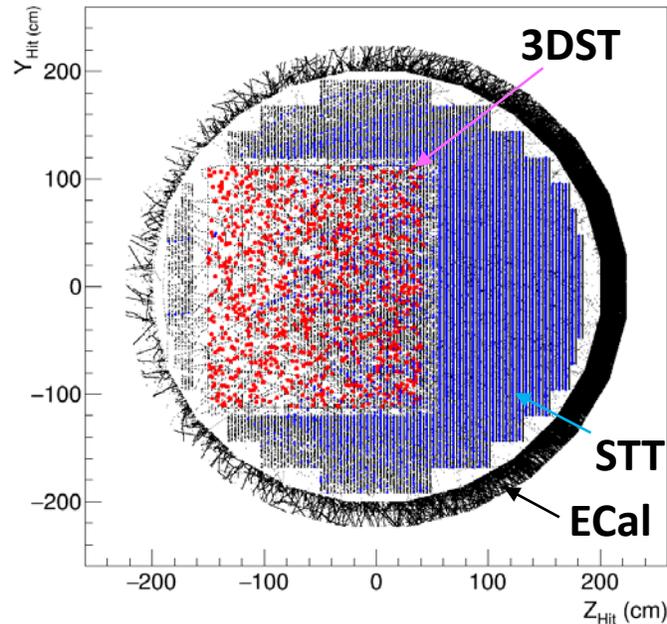


**Preliminary background estimate  
from CC external interactions for  
SAND detector**

# MC samples by FLUKA

"Internal" events:  $\nu_\mu$  (CC)  
interactions inside 3DST

• Interaction vertices

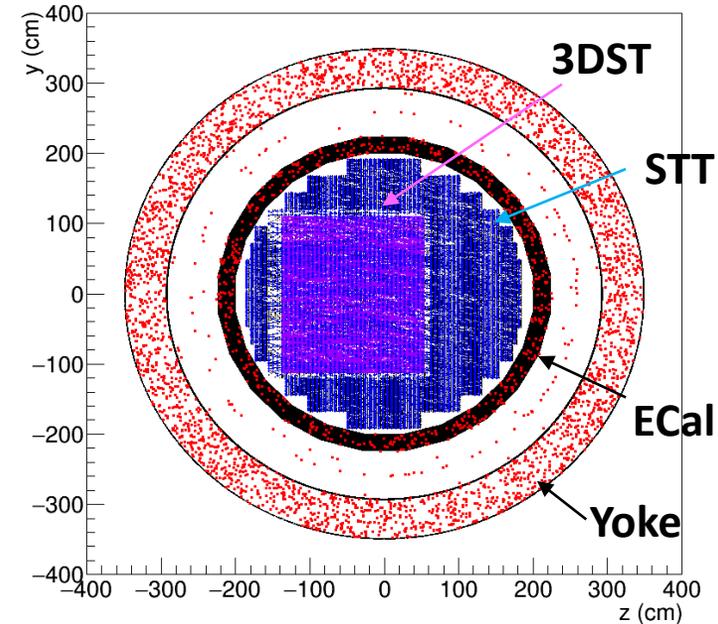


**Target mass: 10.6 tons**

Active volume:  $2.24 \times 2.24 \times 2$  m<sup>3</sup>  
10,637,312 tons (1.06 g/cm<sup>3</sup>)  
139,776 channels (1cm<sup>3</sup> cube)

"External" events:  $\nu_\mu$  (CC)  
interactions inside KLOE  
magnet+Calorimeter (ECal)

• Interaction vertices



**Target mass: 770 tons**

# Simulation of ECal and 3DST response

## ➤ ECal

- Cell-time: average of single fiber times weighted with  $E_{\text{dep},i}$
- Energy threshold:  $E_{\text{th}}^{\text{Cal}}=20\text{MeV}$
- Time resolution: gaussian spread with  $\sigma_{\text{t}}=54\text{ps}/\sqrt{(E/\text{GeV})} \oplus 50\text{ps}$   
(NO quencing effect, NO light attenuation)

## ➤ 3DST

- Cell energy:  $\Sigma$  of energies deposited by all particles in the event
- Energy threshold:  $E_{\text{th}}^{\text{Sc}}=0.5\text{MeV}$
- Time resolution: gaussian spread with  $\sigma_{\text{t}}=500\text{ps}$  (3 fibers readout)  
(quencing effect included, NO light attenuation)

# Selection of internal events

- Based on Relative time between ECal and 3DST  
(difference  $\Delta T_{1st} = T_{1st}^{Cal} - T_{1st}^{Sc}$ )
- Expected background from external interactions:
  - Bck\_1: Time "reversal" ( $T_{1st}^{Cal} > T_{1st}^{Sc}$ )
  - Bck\_2:  $T^{Cal}$  missing in the event
- Background rejection cuts
  - 1) Fiducial Volume cut on 1st 3DST-hit position
  - 2) Cut on 3DST-hit multiplicity

# Results (preliminary)

Preliminary background estimate using:

1)  $\Delta T_{1st} = T_{1st}^{Cal} - T_{1st}^{Sc} > 1ns$

2) **Fiducial Volume cut on 3DST (1st hit position)**

(10cm cut on X sides)  $\otimes$  (15cm cut on Y sides)  $\otimes$   
(20cm cut on Z front side and 10cm cut on Z rear side) 68%

3) **( $N_{Scin} > 30$ ) (negligible effect on signal after FV)**

$Bck_{beam} \sim (1.4 \pm 0.4) \%$

(from CC interactions in magnet and Calorimeter)

## In progress/planned

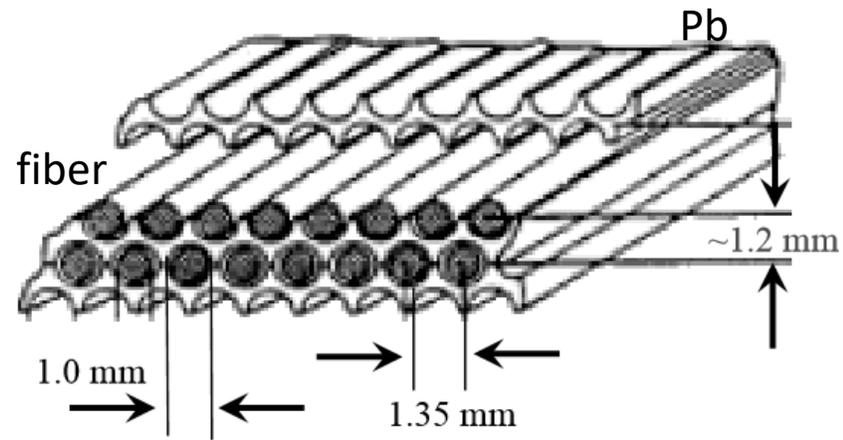
- 1) Same with Neutral Current events
- 2) Same with STT only (no 3DST)
- 3) Implementation of the alcove geometry, background from walls

# Conclusions and future

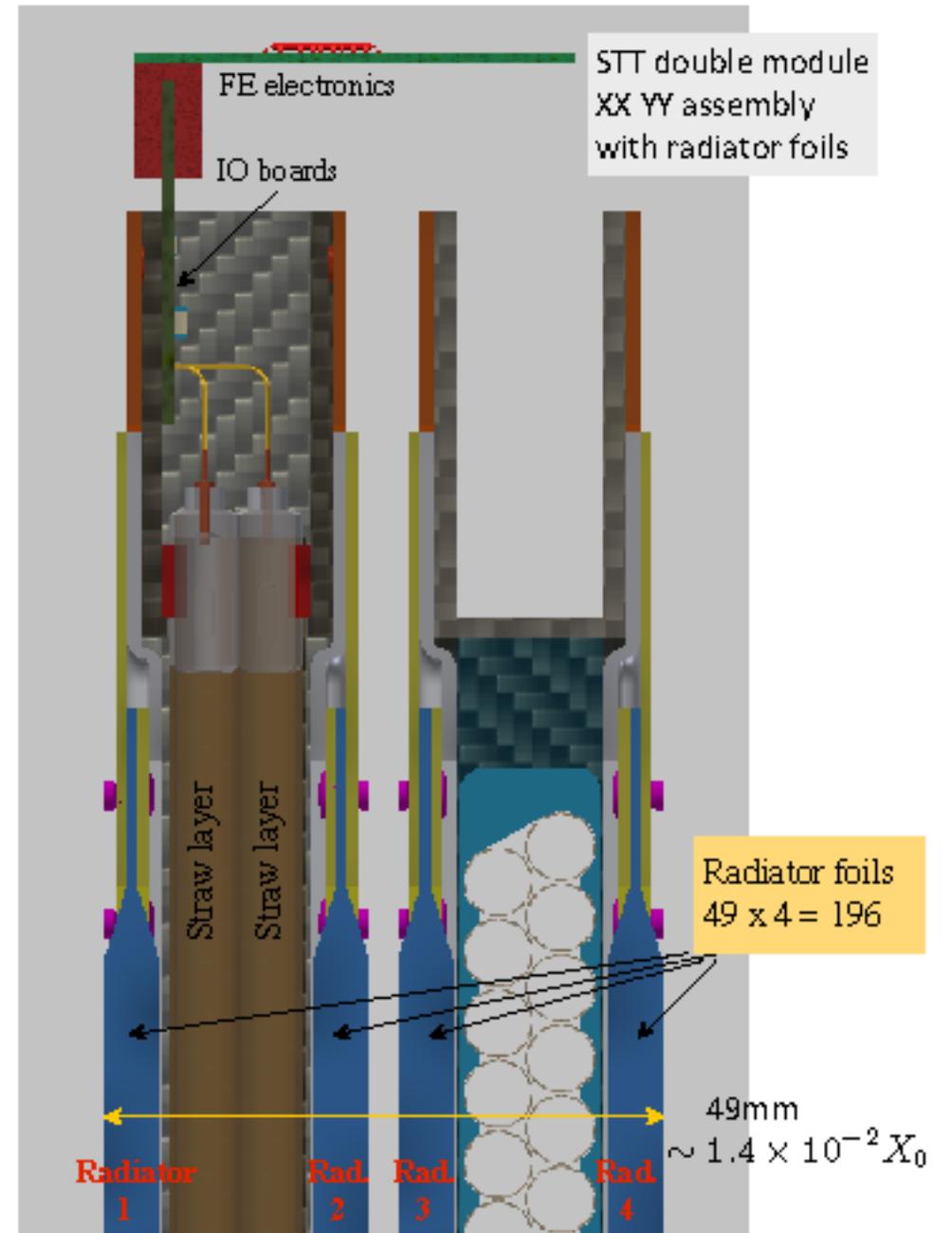
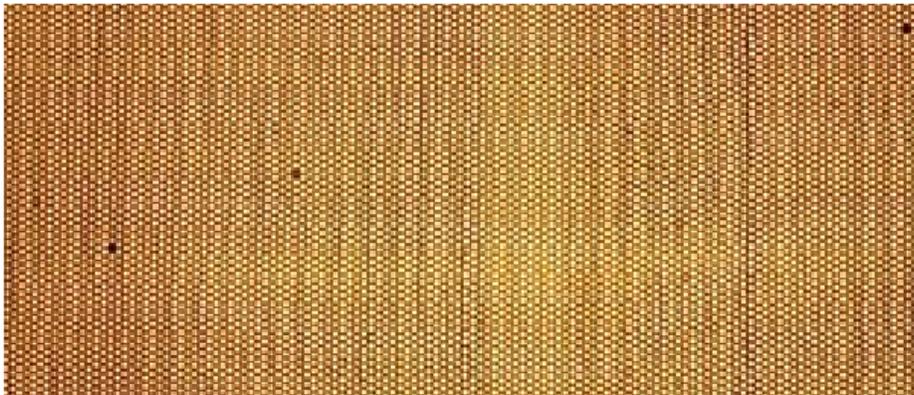
- Detailed full simulations in two complementary frameworks
- Digitization and reconstruction well advanced for ECAL and STT, 3DST in progress
- Planned improvements/updates in geometries:
  - Alcove/ background from walls
  - Precise modeling of ECAL encas in fluka (as for barrel)
  - Follow-up of STT optimization
  - ....
- Plans to have compatible FLUKA and G4 outputs, to easily apply same reco
- And, naturally, physics studies

# Backup

# Details



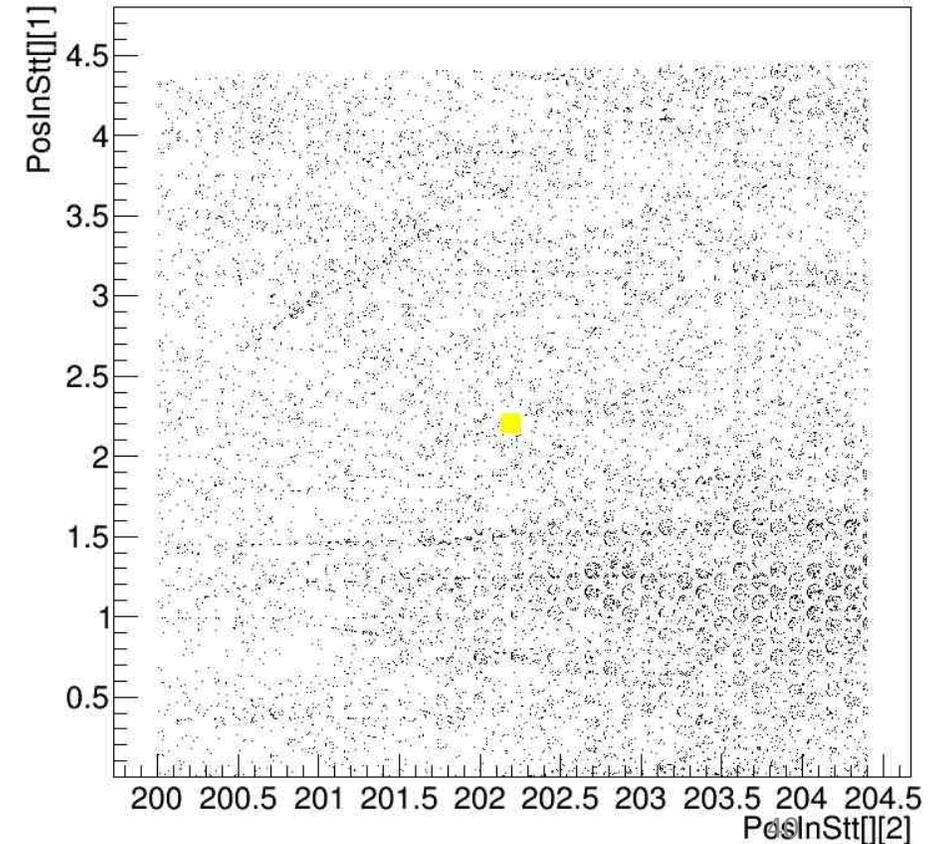
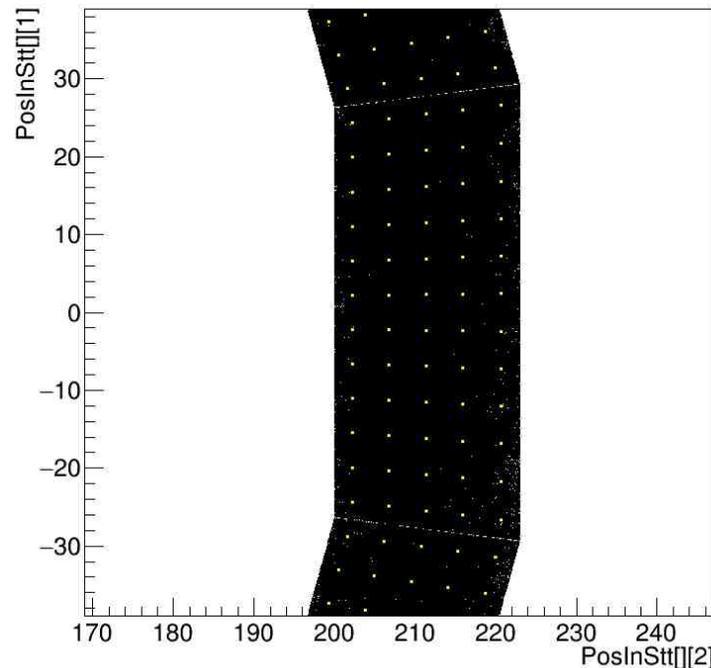
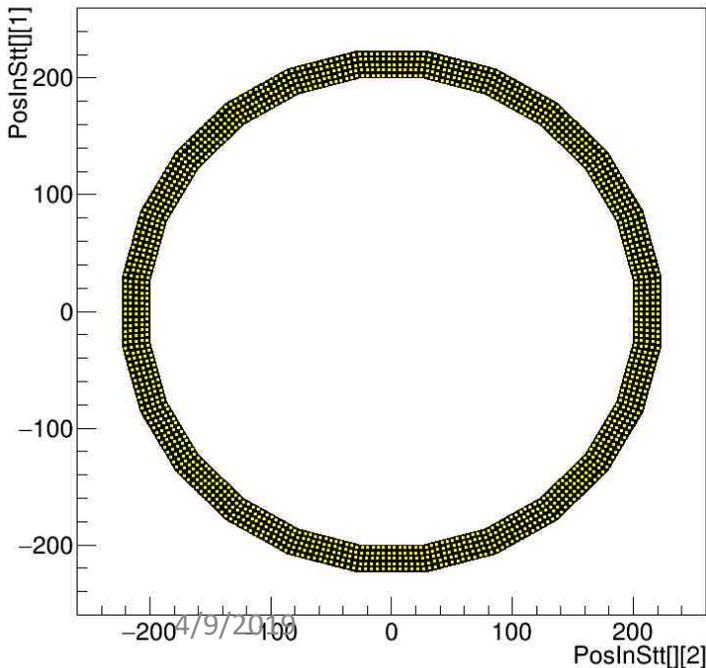
*Kloecal fine structure*



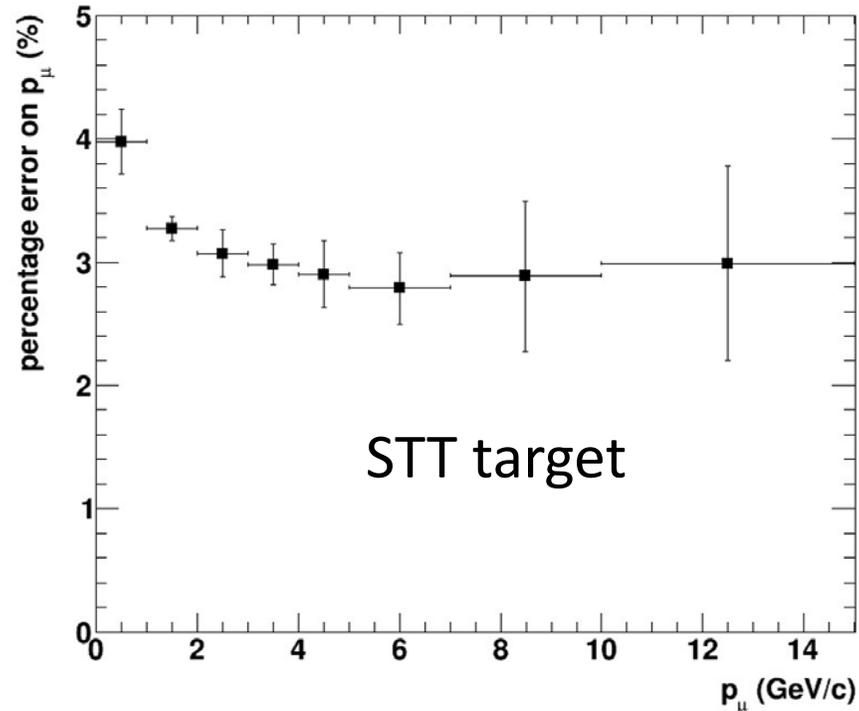
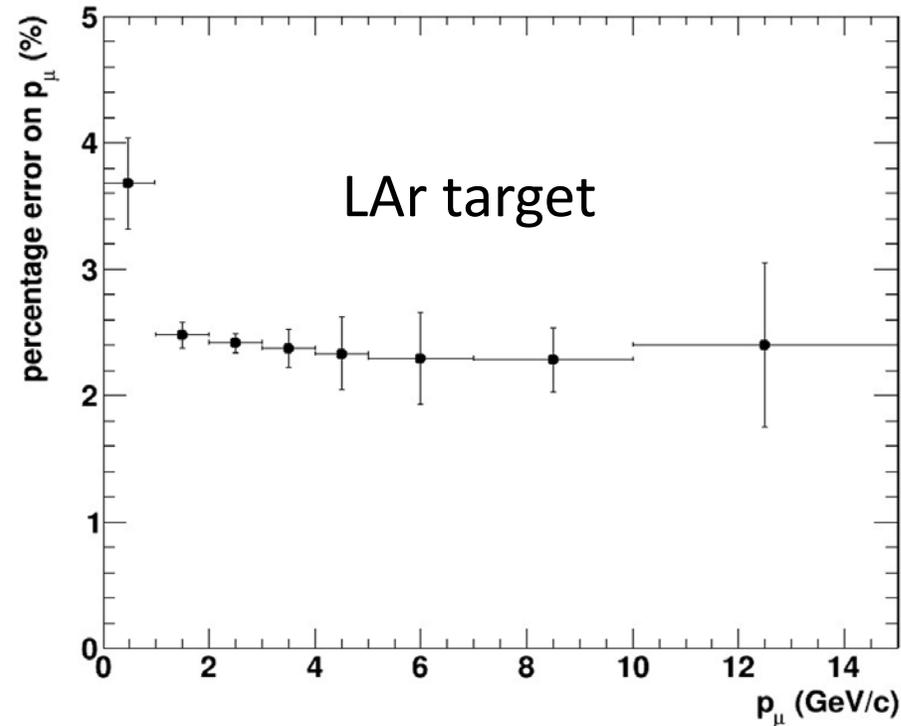
# Simulations

- Two parallel streams
- GEANT4 + GENIE + dunendggd
- FLUKA (with internal generator) + ROOT
- Same neutrino fluxes from <http://home.fnal.gov/ljf26/DUNEFluxes/>
- Same STT configuration and LAr meniscus
- In FLUKA: detailed EM Calo geometry+readout

Plots: em-calor hits (black) and readout cell centres (yellow) (integrated over many events)



# Results – Muons



FLUKA sim:  
muon-track  
reconstruction  
based on STT hits,  
assuming a spatial  
resolution of 0.2 mm  
on y and x axes and  
0.01 mm on z axis  
(beam axis).

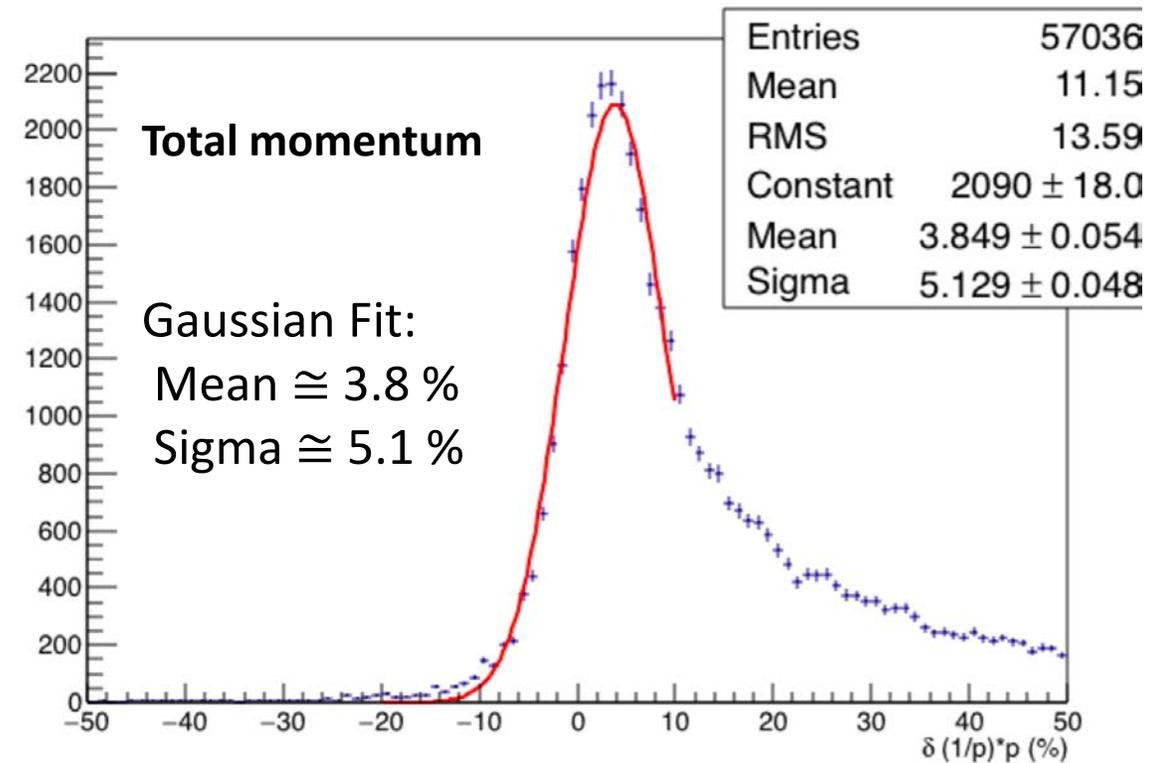
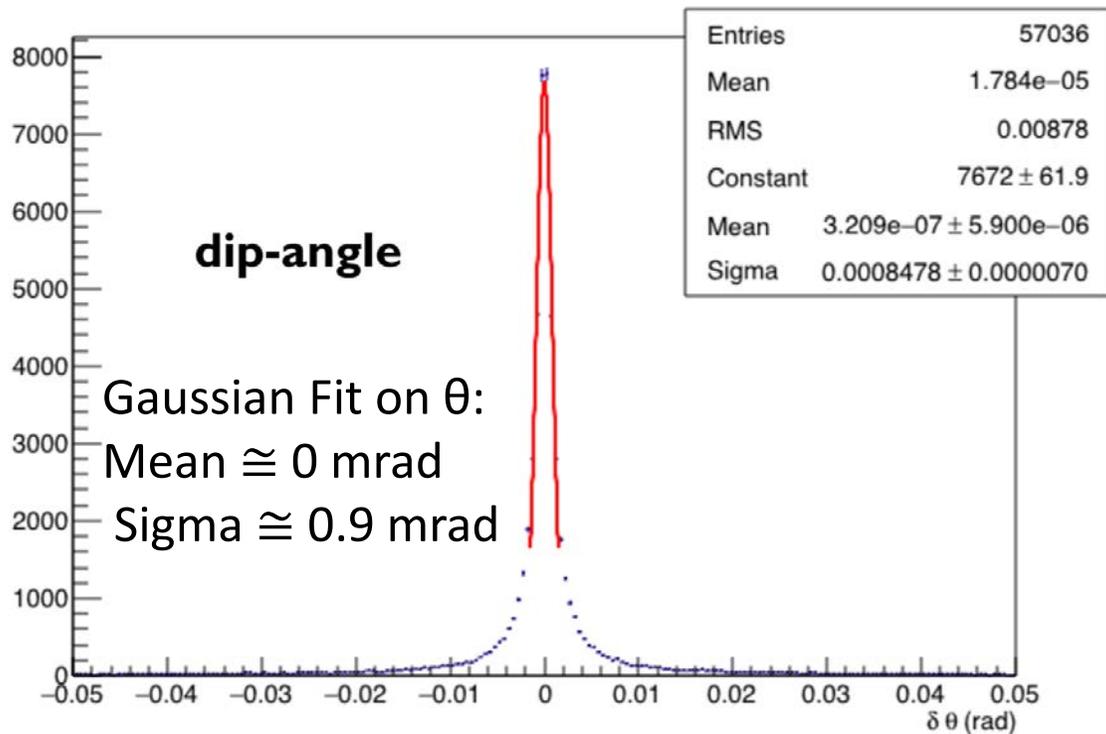
Improvements ongoing

Good resolution on  $p$  ( $\sim 3\%$ ) for both targets  
Good resolution on dip angle  $\sim 1.7$  mrad

Charge mis-id  $\sim 0.02\%$

# Results: - electrons

Generated in STT with GENIE+GEANT4. Very good resolutions, tails due to circular fit approximation to be improved i.e. with Kalman filter.



# Results- $\pi^0$

Reconstructed CC sample:

4000 events

1  $\pi^0$  25% of events

2  $\pi^0$  8% of events

> 2  $\pi^0$  2.5 % of events

Resolutions:

1  $\pi^0$  16%

2  $\pi^0$  18%

Reconstruction from EM CALO clusters

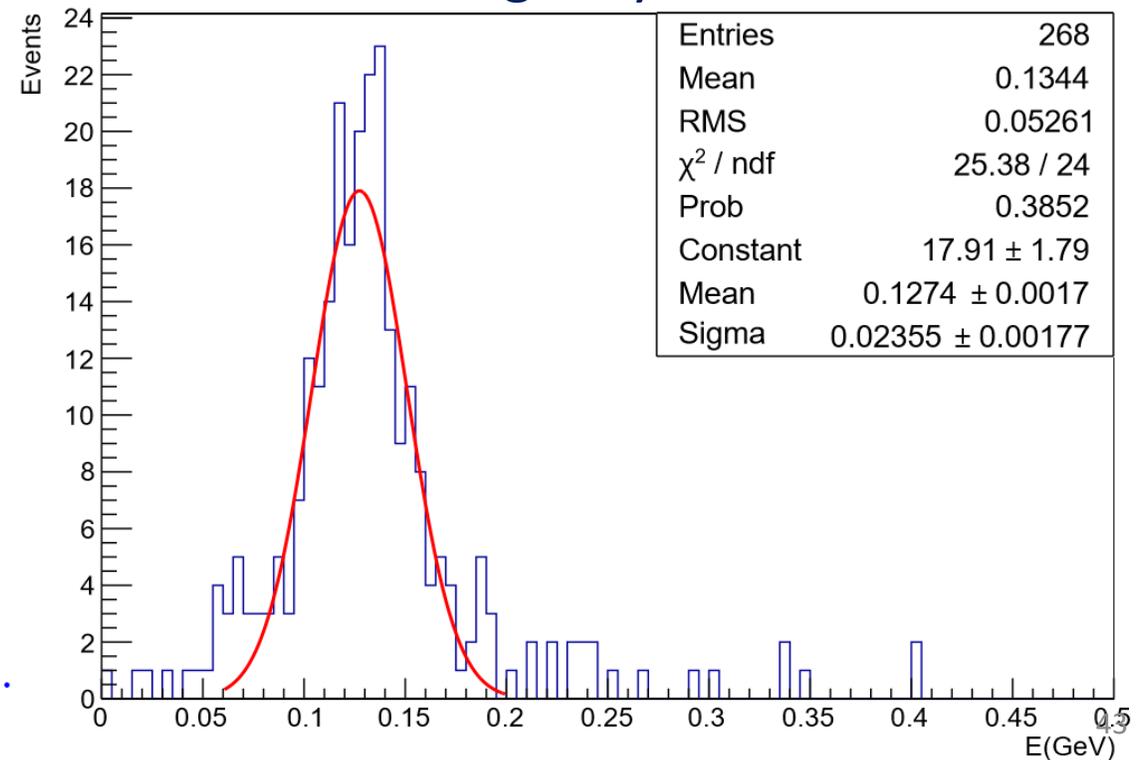
Dimensions  $\Delta x = 20$  cm and  $\Delta\phi = 5$  deg.

Energy smearing  $\sigma_E / E \approx 5.7\% / \sqrt{E(\text{GeV})}$

Position from hit barycentre +

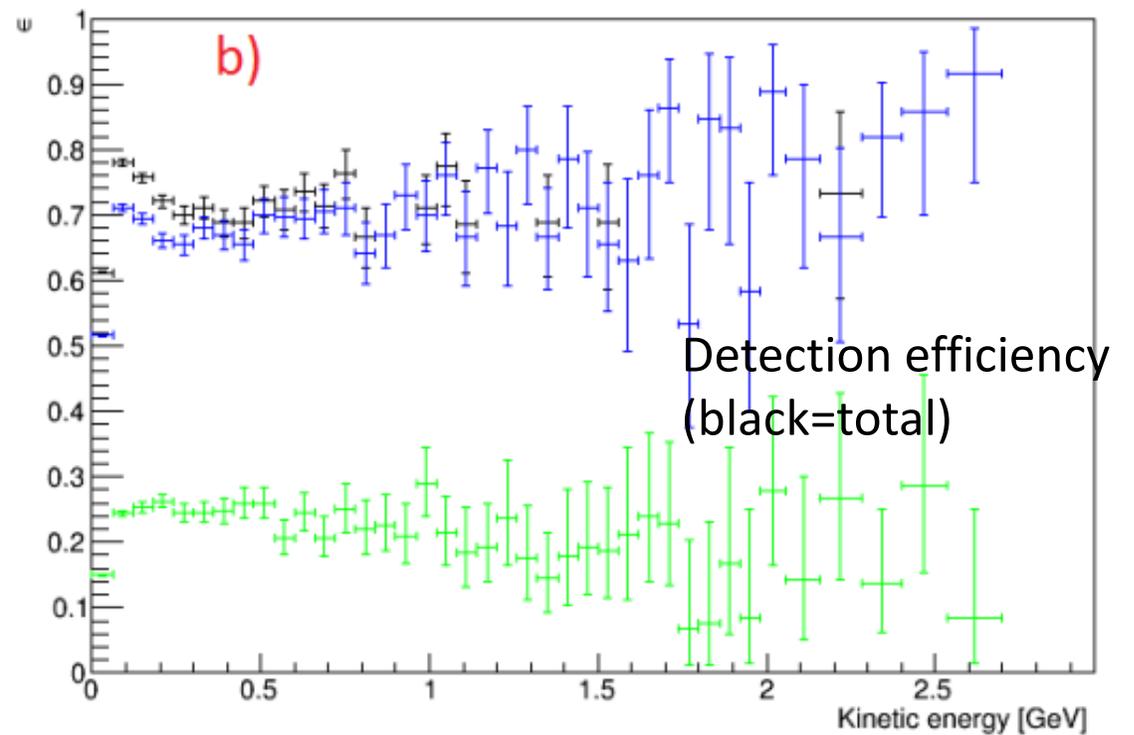
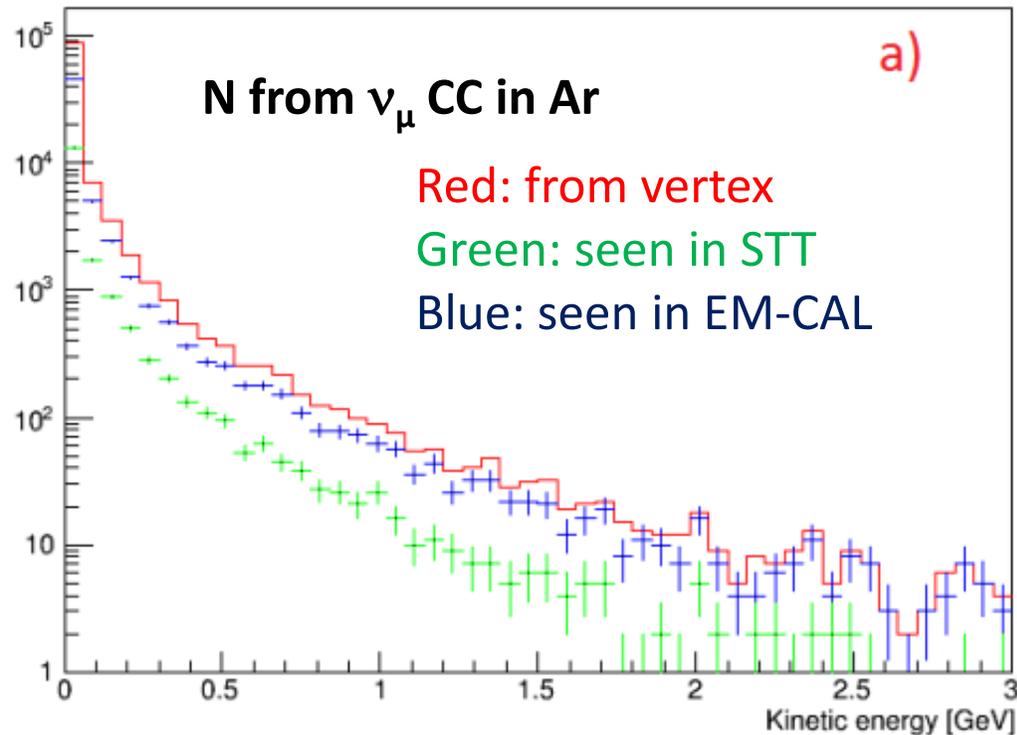
resolution of the KLOE calorimeter (4.5 mm).

2  $\pi^0$  sample:  $\pi^0$  invariant mass,  
Considering only 4-cluster events



# Results: - Neutrons : efficiency

FLUKA simulation, detailed EM-CAL. Reconstruction uses real calo segmentation+ measured signal attenuation and time delay in fibres. Combined with STT hits as for muons



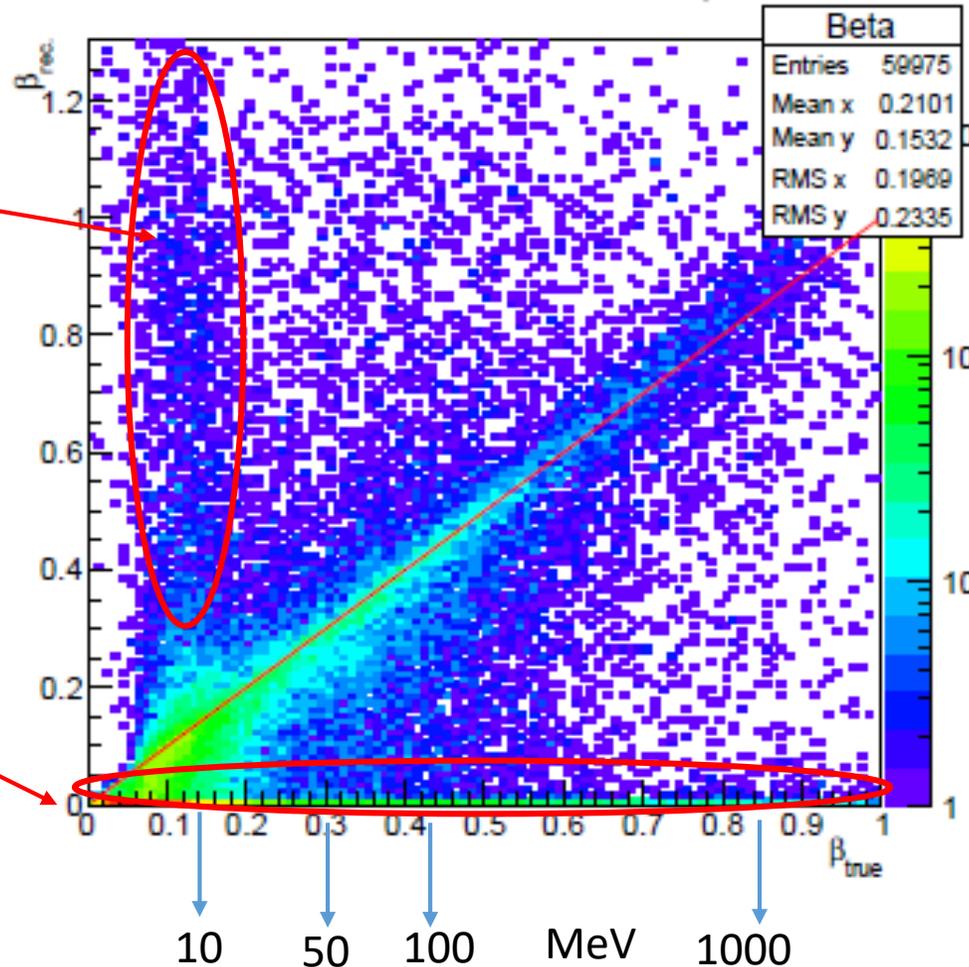
Global efficiency 64% ( 17% STT, 55% EM-CAL, 8%overlap)

Efficiency > 72% for  $E_{kin} > 100$  MeV

# Results: - Neutrons: energy from ToF

FLUKA simulation. Reconstructed ToF from vertex in Ar to hit in STT or EM-CALO

Reconstructed vs true  $\beta$



Early interaction not detected. ToF from fast secondary (photons)

Many scatterings not detected. Path much longer than straight line

On full spectrum: the neutron kinetic energy can be reconstructed with about 30% precision for about 23% of the detected neutrons.

Situation improves quickly with energy:

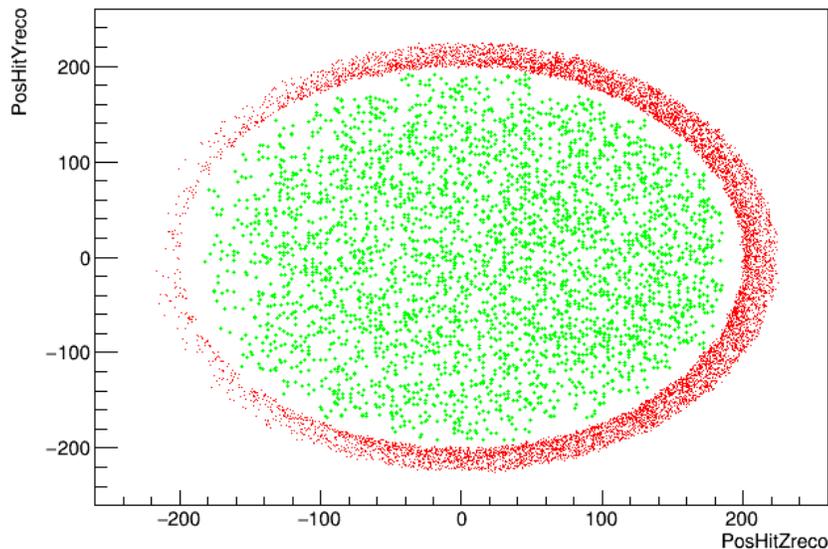
On  $E > 50$  MeV 47% of detected neutrons are reconstructed within 30% accuracy

Next: try to add calorimetric information

# Angle reconstruction for QE interactions on H



- first hit (minimum time) of neutron or neutron daughters track
- Smearing of MC vertex position and hit position



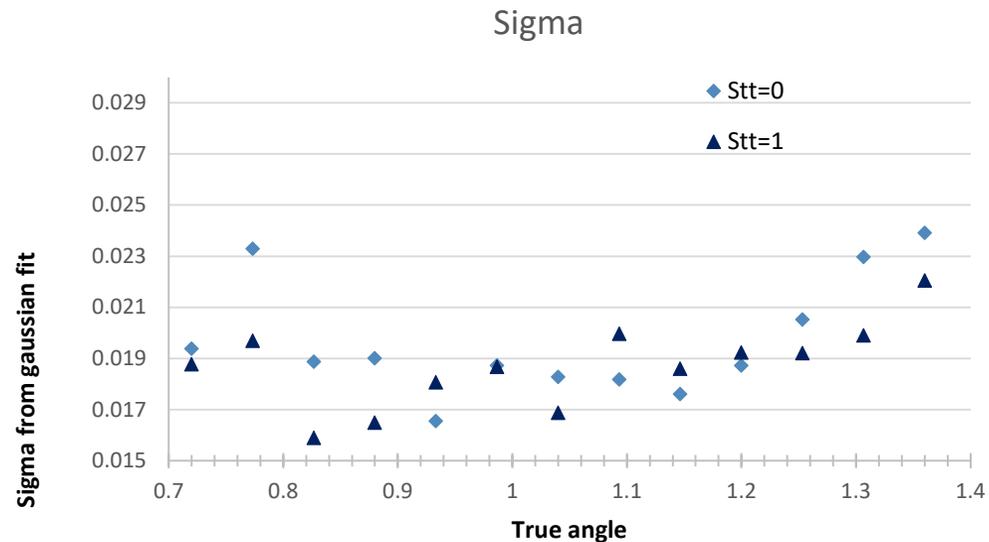
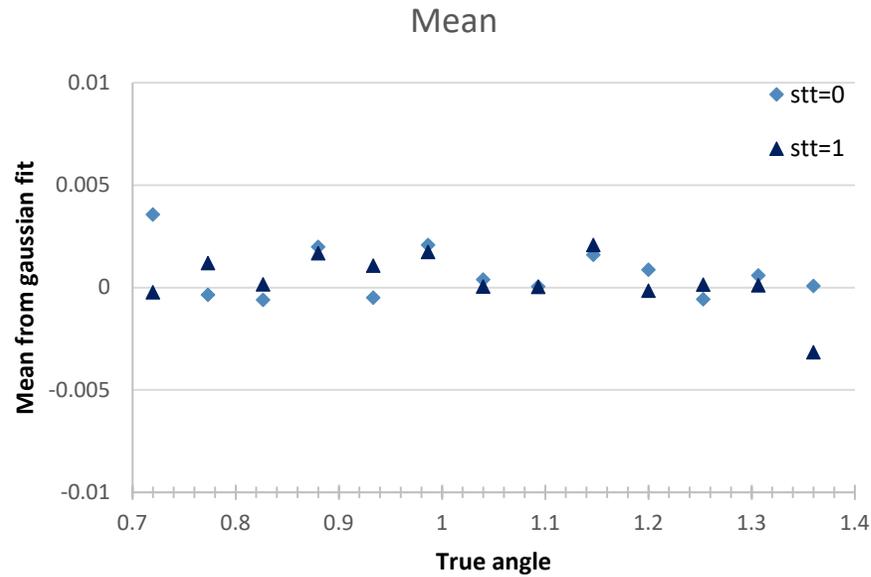
Stthit=1 events in stt

Stthit=0 events in barrel calorimeter

Stthit=2 events in endcap calorimeter

# Resolution vs true angle

(Reco-true)/true :  
average within 0.5%  
sigma ~2%



# Full reconstruction –no MC truth

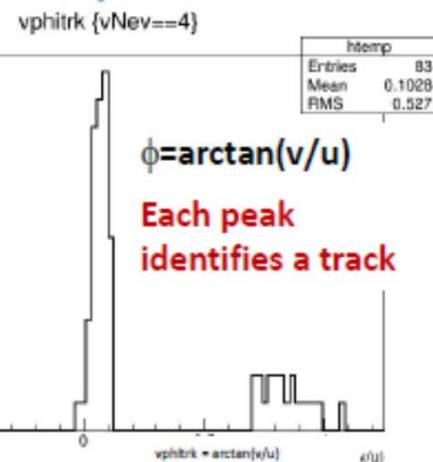
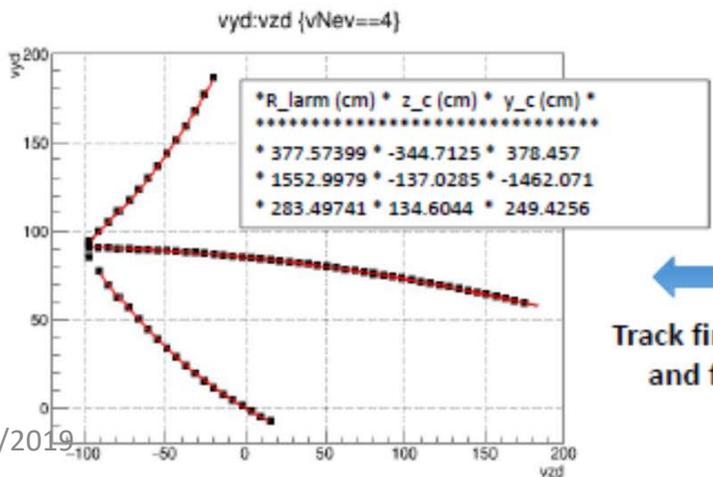
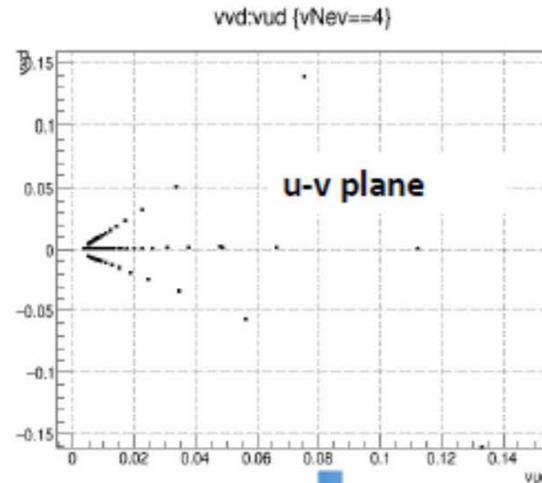
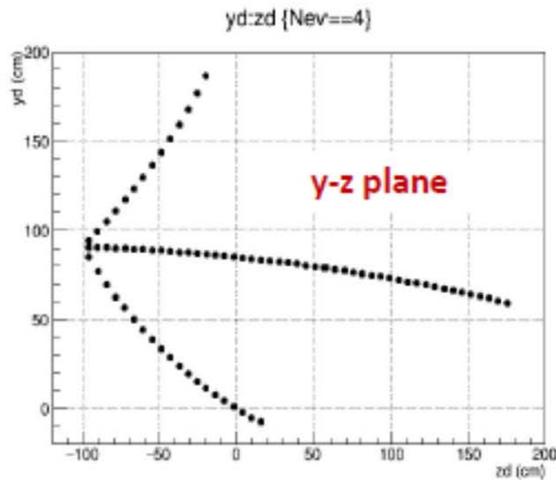
- Interaction Vertex based on STT-hit topology (Step 0)
- Track finding (Global transform method)
- Linear or circle fits to track
- Vertex reco from crossing on two most rigid tracks (Step 1)
- Iteration...

On two views

- Matching of tracks in the two views  $\rightarrow$  tracks in 3D
- Evaluation of  $p_{\perp}$  and dip-angle  $\rightarrow$  p estimate
- Ecal hit compatible with tracks  $\rightarrow$  ToF measurement
- $\rightarrow$   $\beta$  estimate  $\rightarrow$  PiD

# vertex and track finding

- A full realistic event reconstruction based only on detected quantities, avoiding to use MC true information, is under development using FLUKA simulated events

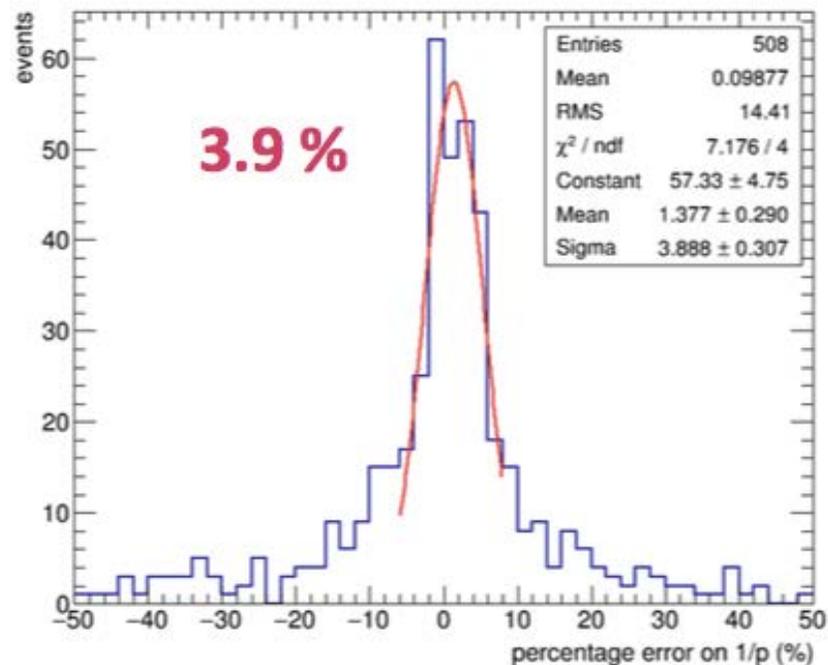


Track finding and fits

Two-step method: first rough vertex finding, allows for coordinate transform  
Peaks in  $\phi$  correspond to tracks  
Second vertex finding from track intersection

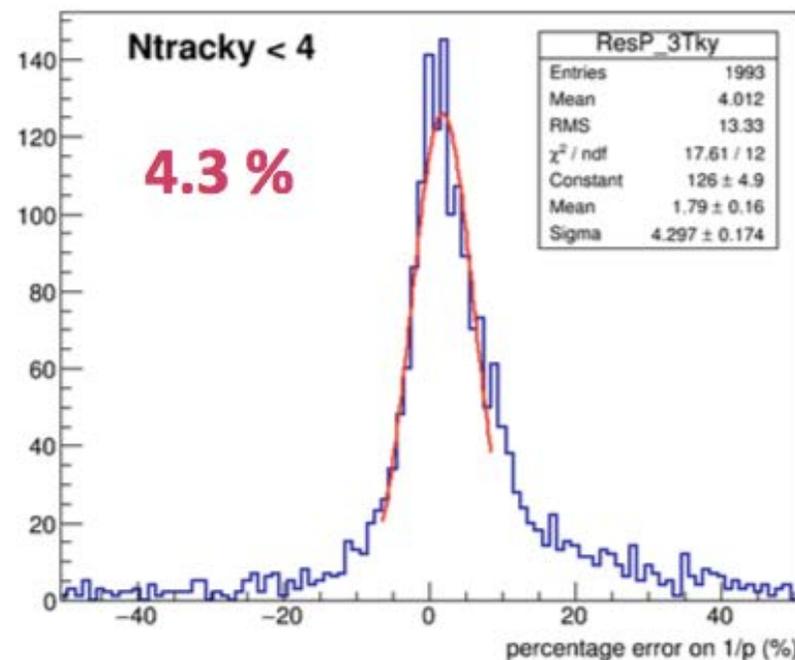
# P reconstruction

For events with only 1 charged track in each view:



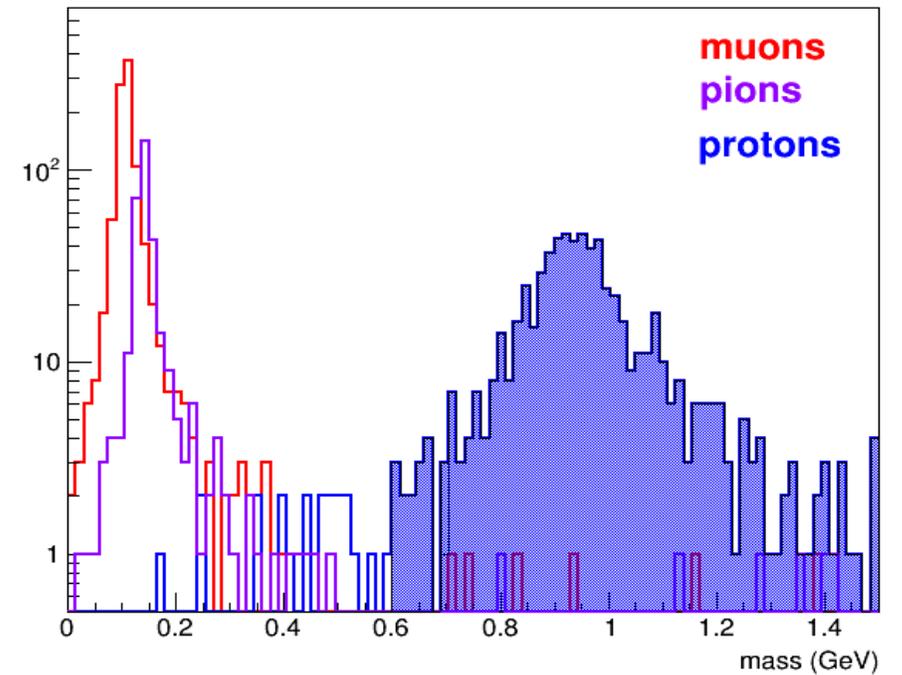
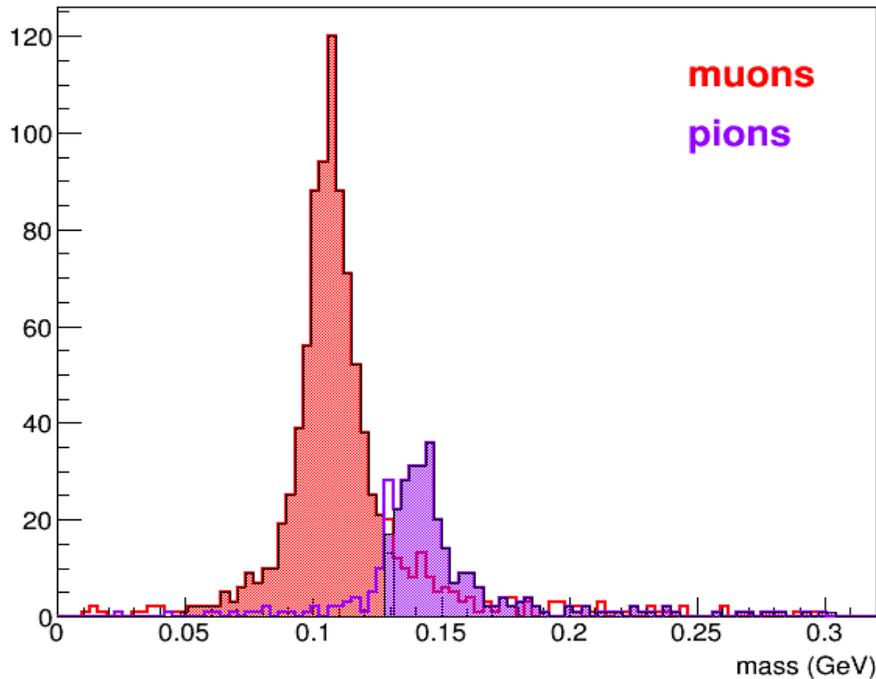
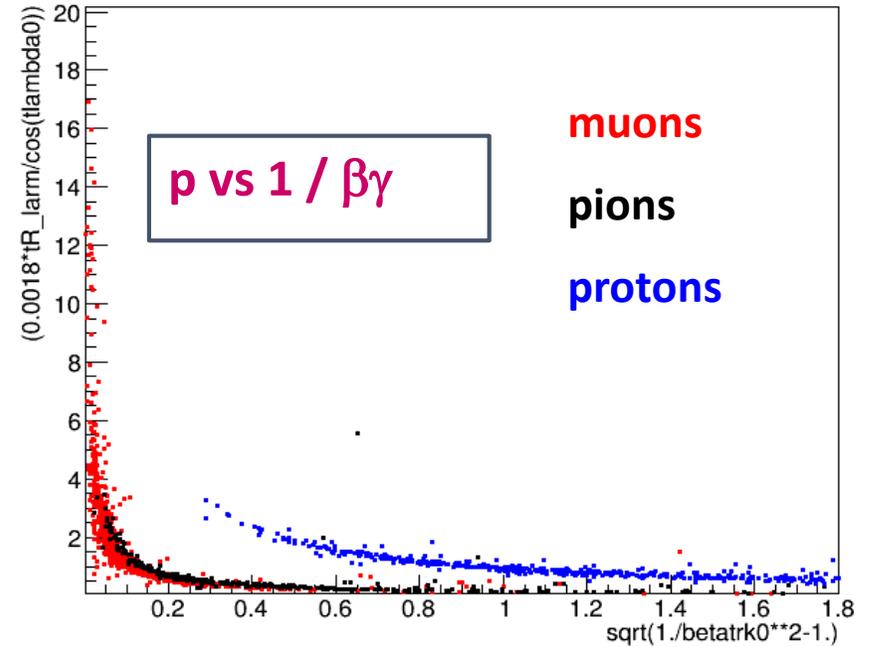
Error on total p:  
 $p = p_{yz} / \cos(\lambda)$

For events with no more than 3 tracks matched in the two views:

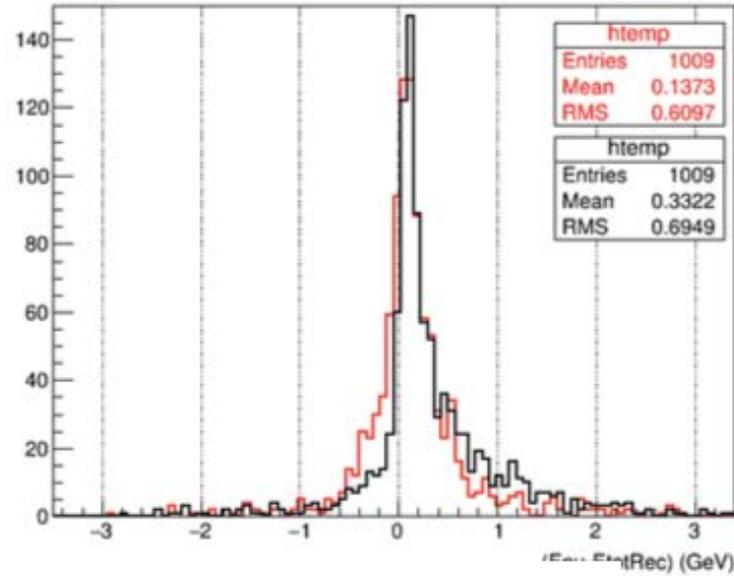


# Mass reconstruction and PiD

preliminary



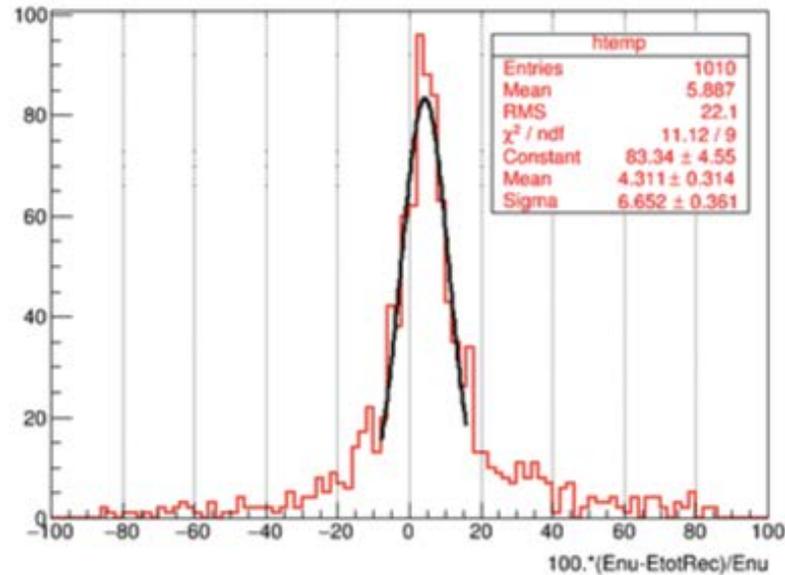
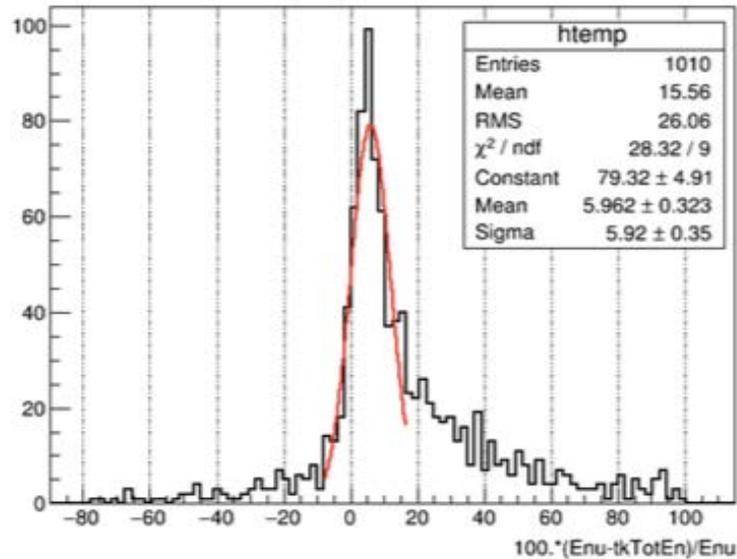
# $\nu$ energy reconstruction (preliminary)



'All-tracks' energy only

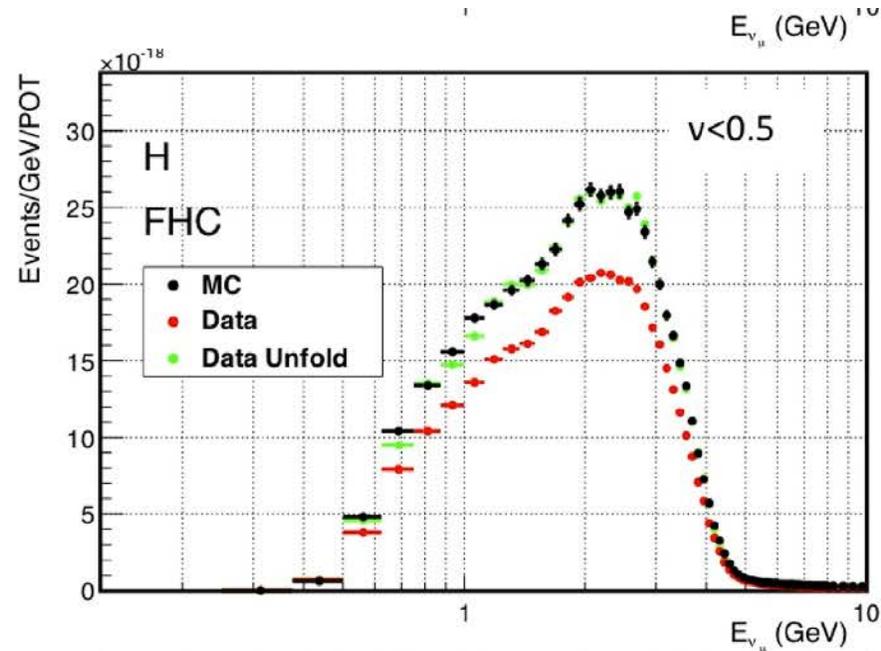
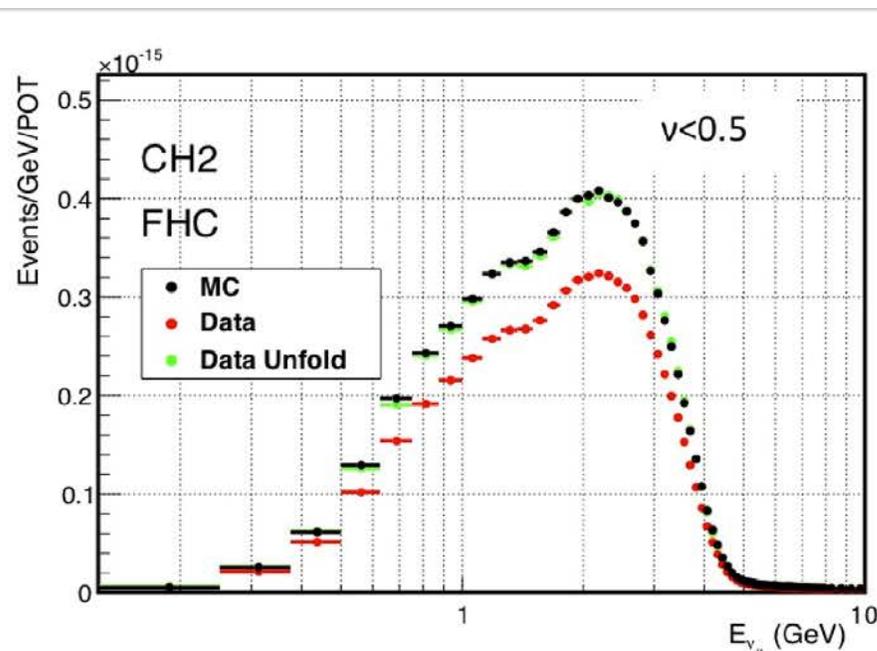
'All-tracks' energy +  
Off-track Calo energy

NO MC truth  
 $\sigma/E = 6.6\%$



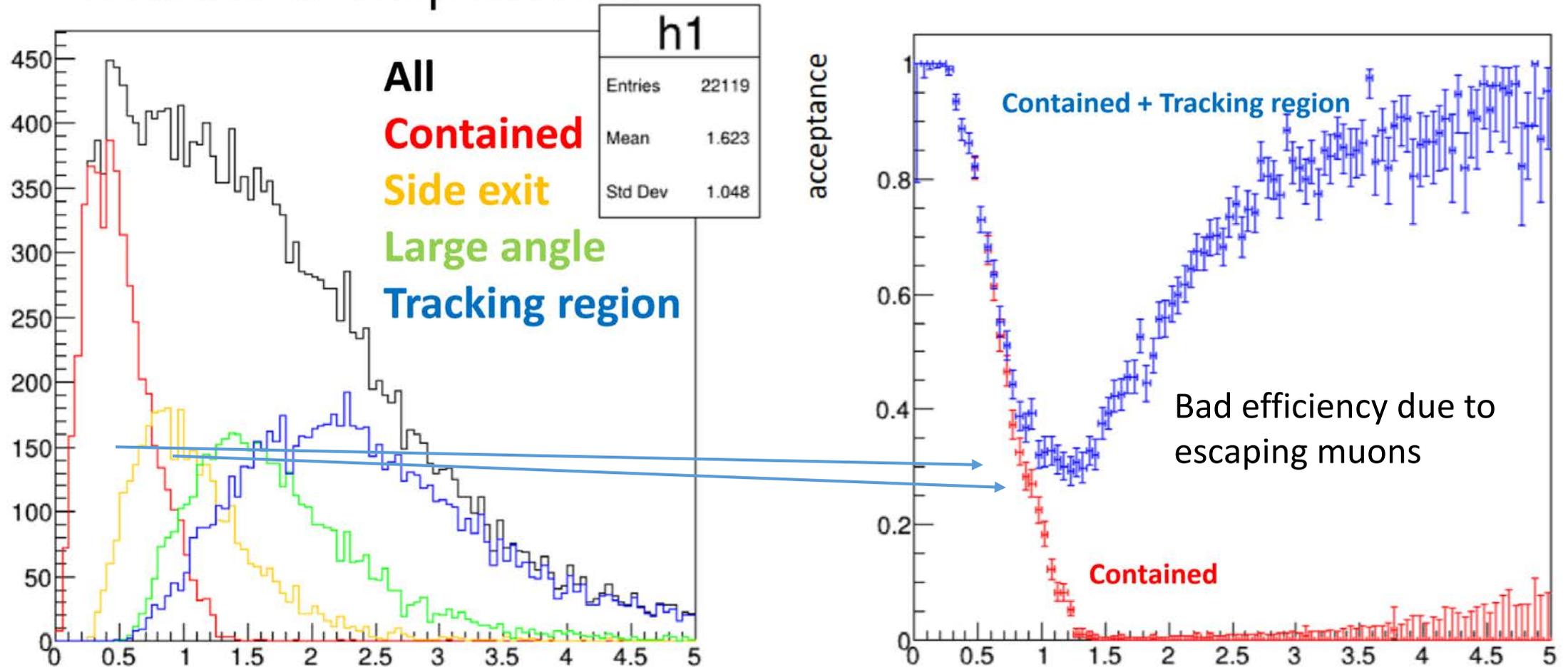
# Low- $\nu$

- GENIE simulation on CH2 and H
- 2 MC samples: “data” and “MC truth”
- Assuming acceptance of 80% and energy resolution 5% to test the unfolding and analysis procedure
- Detector simulation and event reconstruction ongoing



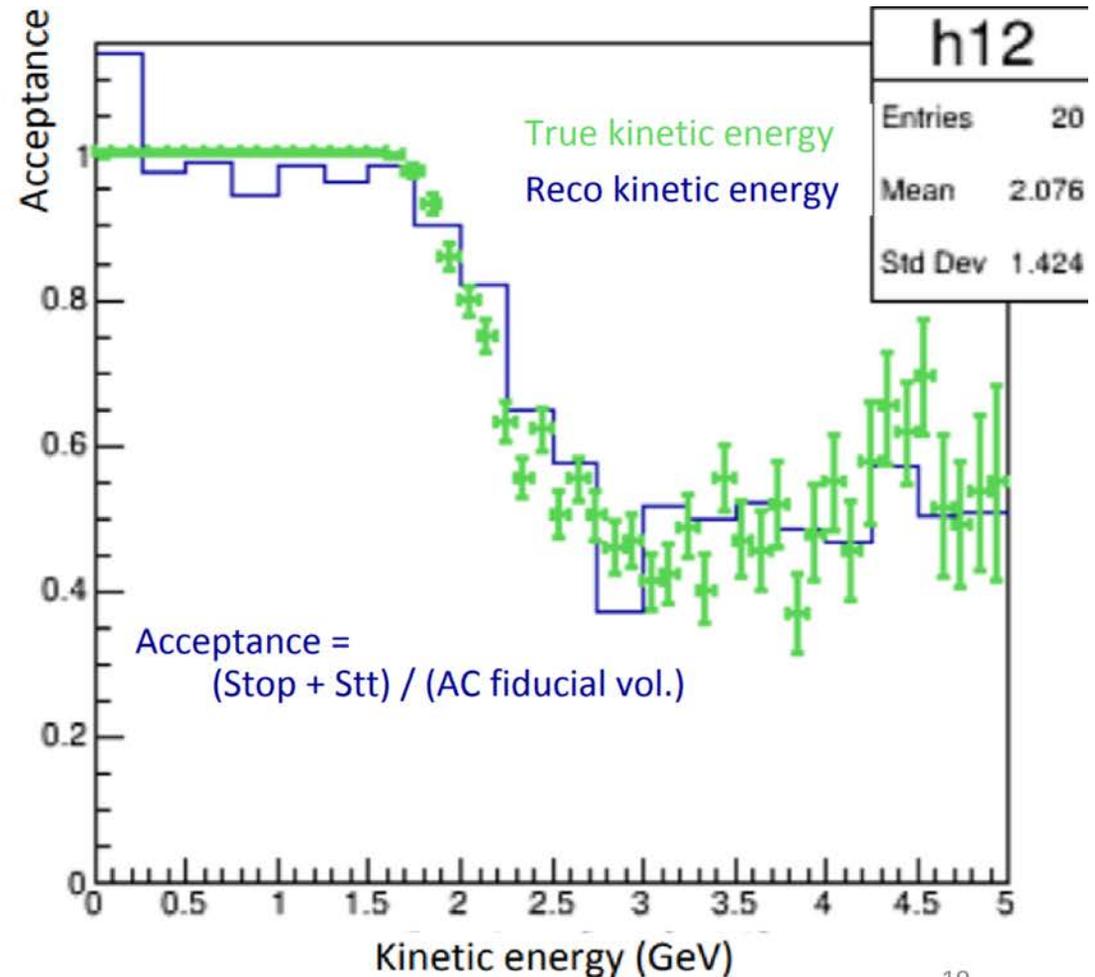
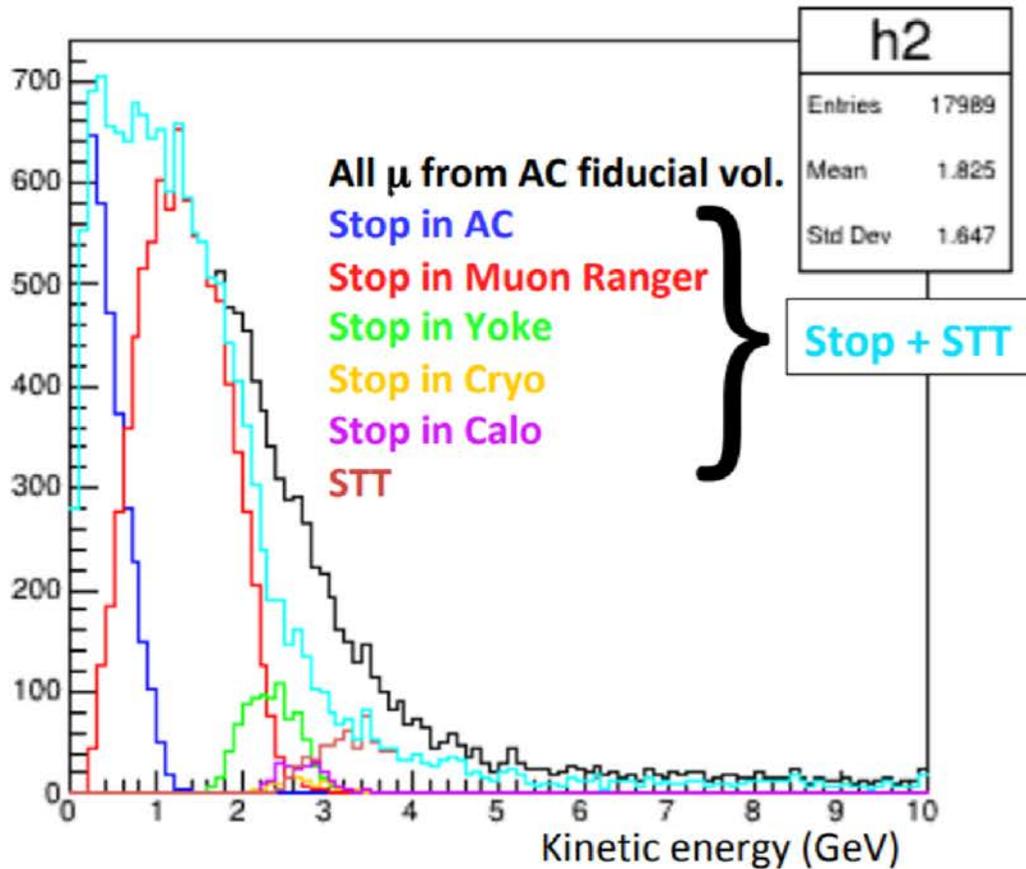
# Acceptance for ArCUBE muons: old AC geo

## Muon acceptance



# Acceptance for ArCUBE muons: old AC geo

- Simple muon catcher around AC + layers around coil cured the acceptance



18/03/2019

4/9/2019

19

# Acceptance for AC muons: work in progress (maybe obsolete?)

- New AC dimensions and exit window implemented, analysis in progress

# Summary

- KLOE magnet + EM Calo + **3DST +tracker**: implemented, running
- KLOE magnet + EM Calo + STT : full simulation + single particle reco
  - Track momentum  $\sigma \approx 3\%$
  - Track angle 1 mrad
  - Neutron efficiency 76%
  - Neutron Energy within 30% for 47% of detected n with  $E > 50$  MeV
  - Neutron angle in QECC on H: within 2%
- KLOE magnet + EM Calo + STT : **preliminary full event reconstruction**
  - PiD and proton/muon/pion mass reco
  - Neutrino energy in CC  $\sigma \approx 6\%$
- Acceptance for ArgonCube events: latest configuration under evaluation. Previous one: OK if muon catchers