

ALPs from light meson decays

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UC Santa Cruz



Summiting the Unknown:
New Physics, New Opportunities, New Voices

University of Washington

July 15, 2022

Axion-like-particles (ALPs)

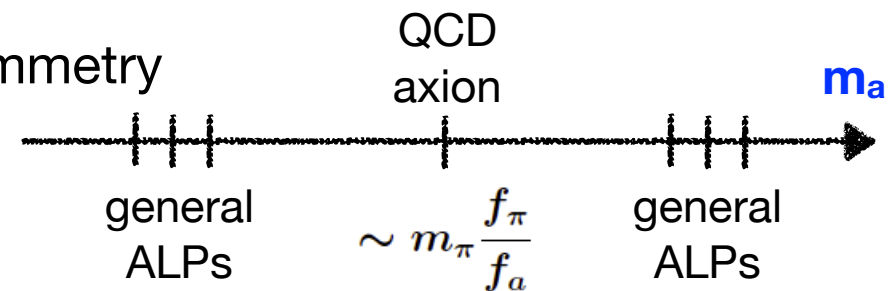
Scalar with an approximate shift symmetry

(Possibly) connected to the Strong CP problem: why is the QCD θ parameter so small?

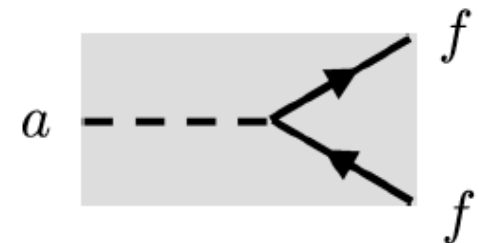
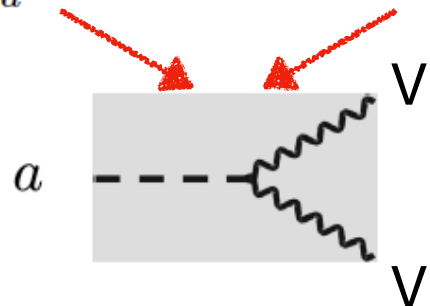
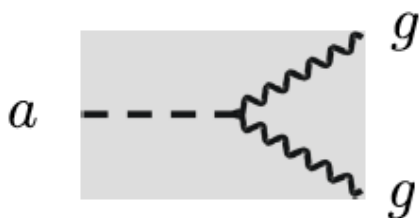
$$\mathcal{L}_{\text{QCD}} \supset \bar{\theta} \frac{g^2}{32\pi^2} G_{\mu\nu} \tilde{G}^{\mu\nu}$$

Mass can be protected by a Peccei-Quinn symmetry

➔ ALPs below the EW scale?



$$\mathcal{L} \supset c_{GG} \frac{\alpha_s}{4\pi} \frac{a}{f_a} G_{\mu\nu}^a \tilde{G}^{\mu\nu,a} + c_{WW} \frac{\alpha_2}{4\pi} \frac{a}{f_a} W_{\mu\nu}^A \tilde{W}^{\mu\nu,A} + c_{BB} \frac{\alpha_1}{4\pi} \frac{a}{f_a} B_{\mu\nu} \tilde{B}^{\mu\nu} + \frac{\partial_\mu a}{f_a} \sum_F \bar{\psi}_F C_F \gamma_\mu \psi_F$$



In particular, a **ALP-photon coupling** is generated in the broken phase

by far the most studied

**How to discover
this particle
in our laboratories?**

The precision frontier @ flavor factories

A big jump in luminosity is expected in the coming years

Past/Present

Future

Pion-factories

PIENU experiment at TRIUMF:
 $\sim 10^{11}$ π^+

PIONEER experiment at PSI
(phase 1 approved. Data in $\sim 2028(?)$):
 $\sim 10^{12}$ π^+

Kaon-factories

E949 at BNL: $\sim 10^{12}$ K^+
(decay at rest experiment);
E391 at KEK: $\sim 10^{12}$ K_L

NA62 at CERN: $\sim 10^{13}$ K^+
by the end of its run
(decay in flight experiment);
KOTO at JPARC: $\sim 10^{14}$ K_L
by the end of its run

B-factories

LHCb: more than $\sim 10^{12}$ b quarks produced so far;
Belle (running until 2010):
 $\sim 10^9$ BB-pairs were produced.

LHCb: ~ 40 times more b quarks will be produced by the end of the LHC;
Belle-II: ~ 50 times more BB-pairs will be produced.

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Focus
of this
talk

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Several (past and present) small-scale experiments built to measure π^+ rare decays

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$$\pi^+ \rightarrow e^+ \nu$$

$$\text{BR} \sim \frac{m_e^2}{m_\mu^2} \frac{(m_\pi^2 - m_e^2)^2}{(m_\pi^2 - m_\mu^2)^2}$$

Helicity suppressed decay

* Most precise measurement:

PIENU experiment @ TRIUMF

$$\text{BR}^{\text{exp}} = (1.234 \pm 0.004) \times 10^{-4}$$

Mainly stat. uncertainty

* Theoretical uncertainty

~1 order of magnitude smaller!

* PIONEER future measurement:

~20 times more accurate

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~1 order of magnitude smaller!
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$$\pi^+ \rightarrow \pi^0 e^+ \nu$$

$$\text{BR} \sim \frac{(m_{\pi^\pm} - m_{\pi^0})^5 m_{\pi^\pm}^3}{f_\pi^2 m_\mu^2 (m_{\pi^\pm}^2 - m_\mu^2)^2}$$

Phase space suppressed decay

- * Most precise measurement:
PIBETA experiment @ PSI

$$\text{BR}^{\text{exp}} = (1.036 \pm 0.006) \times 10^{-8}$$

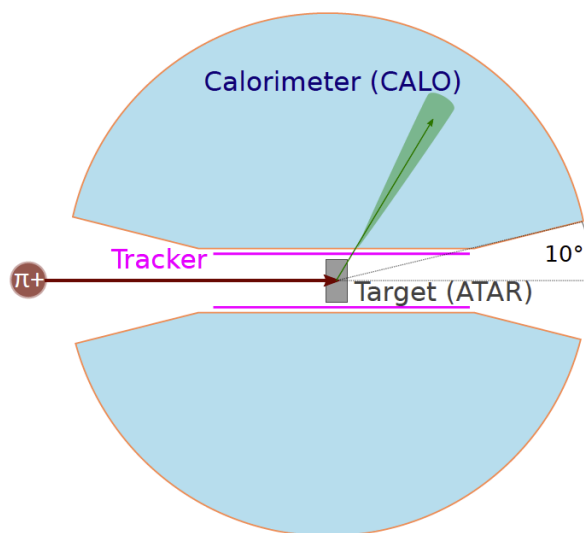
Comparable stat. and sys. uncertainties

- * Theoretical uncertainty a factor
of ~2 smaller
- * PIONEER future measurement:
~10 times more accurate

The PIONEER collaboration

W. Altmannshofer,¹ H. Binney,² E. Blucher,³ D. Bryman,^{4,5} L. Caminada,⁶
 S. Chen,⁷ V. Cirigliano,⁸ S. Corrodi,⁹ A. Crivellin,^{6,10,11} S. Cuen-Rochin,¹²
 A. DiCanto,¹³ L. Doria,¹⁴ A. Gaponenko,¹⁵ A. Garcia,² L. Gibbons,¹⁶ C. Glaser,¹⁷
 M. Escobar Godoy,¹ D. Göldi,¹⁸ S. Gori,¹ T. Gorringer,¹⁹ D. Hertzog,² Z. Hodge,²
 M. Hoferichter,²⁰ S. Ito,²¹ T. Iwamoto,²² P. Kammel,² B. Kiburg,¹⁵ K. Labe,¹⁶
 J. LaBounty,² U. Langenegger,⁶ C. Malbrunot,⁵ S.M. Mazza,¹ S. Mihara,²¹ R. Mischke,⁵
 T. Mori,²² J. Mott,¹⁵ T. Numao,⁵ W. Ootani,²² J. Ott,¹ K. Pachal,⁵ C. Polly,¹⁵
 D. Počanić,¹⁷ X. Qian,¹³ D. Ries,²³ R. Roehnel,² B. Schumm,¹ P. Schwendimann,²
 A. Seiden,¹ A. Sher,⁵ R. Shrock,²⁴ A. Soter,¹⁸ T. Sullivan,²⁵ M. Tarka,¹ V. Tischenko,¹³
 A. Tricoli,¹³ B. Velghe,⁵ V. Wong,⁵ E. Worcester,¹³ M. Worcester,²⁶ and C. Zhang¹³

Proposal in 2203.01981



¹ University of California Santa Cruz

² Dpt Phys. University of Washington

³ University of Chicago

⁴ University of British Columbia

⁵ TRIUMF

⁶ Paul Scherrer Institute

⁷ Tsinghua University

⁸ Institute for Nucl. Theory, University of Washington

⁹ Argonne National Laboratory

¹⁰ University of Zurich

¹¹ CERN

¹² Tec de Monterrey

¹³ Brookhaven National Laboratory

¹⁴ PRISMA+ Cluster of Excellence, University of Mainz

¹⁵ Fermilab

¹⁶ Cornell University

¹⁷ University of Virginia

¹⁸ ETH Zurich

¹⁹ University of Kentucky

²⁰ University of Bern

²¹ KEK

²² University of Tokyo

²³ University of Mainz

²⁴ Stony Brook University

²⁵ University of Victoria

²⁶ Inst. Div, BNL

Producing ALPs in pion decays

$$\pi^+ \rightarrow ae^+\nu$$

The helicity and/or phase space suppression can be lifted

Producing ALPs in pion decays

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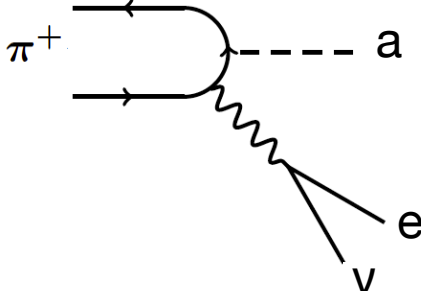
The helicity and/or phase space suppression can be lifted

Models can generate a ALP- π^0 mixing

$$-\frac{g_{ag}}{4} a G_{\mu\nu}^a \tilde{G}^{a\mu\nu} \Rightarrow \frac{f_\pi}{\alpha_s} g_{ag} \frac{m_d - m_u}{m_d + m_u}$$

$$ig_{af}(\partial_\mu a)(\bar{f}\gamma^\mu\gamma_5 f) \Rightarrow f_\pi(g_{au} - g_{ad})$$

$\mathcal{A}[\pi^+ \rightarrow ae\nu] \sim$

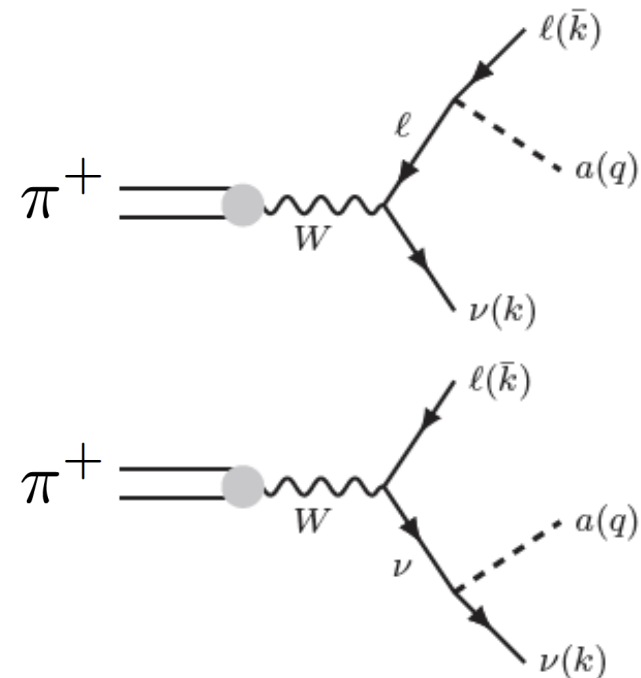


$$\mathcal{A}^\mu \simeq \langle a | \pi^{*0} \rangle \langle \pi^{*0} | \bar{d}\gamma^\mu u | \pi^+ \rangle$$

$$\equiv \sin\vartheta \langle \pi^{*0} | \bar{d}\gamma^\mu u | \pi^+ \rangle$$

Chapter 1. Altmannshofer, SG,
Robinson, 1909.00005

ALPs can couple to leptons

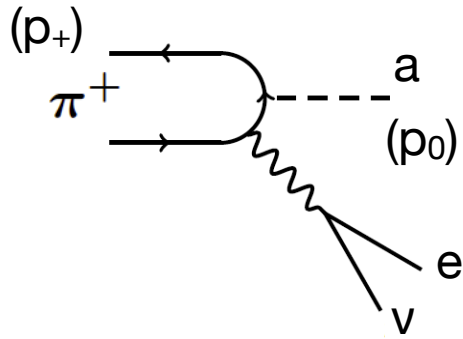


Chapter 2. Altmannshofer, Dror,
SG, 2208.xxxxx



Altmannshofer, SG, Robinson,
“Constraining axion-like particles from rare pion decays”
1909.00005

ALP-pion mixing scenario



$$\begin{aligned}
 \mathcal{A}^\mu &\simeq \langle a | \pi^{0*} \rangle \langle \pi^{0*} | \bar{d} \gamma^\mu u | \pi^+ \rangle \\
 &\equiv \sin \vartheta \langle \pi^{0*} | \bar{d} \gamma^\mu u | \pi^+ \rangle \\
 &\equiv \sin \vartheta c_\pi \left[\underbrace{f_+}_{\text{form factors}} (p_+^\mu + p_0^\mu) + (f_0 - f_+) \frac{m_+^2 - \overbrace{m_0^2}^{\text{ALP mass}}}{q^2} (p_+^\mu - p_0^\mu) \right]
 \end{aligned}$$

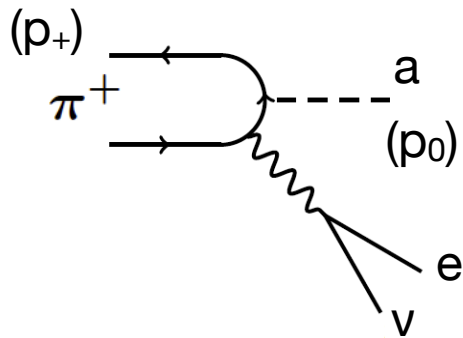
$f_+(q^2) \simeq 1$ as long as q^2 is small $\Rightarrow m_0 > \sim 10$ MeV

Theory: more understanding of form factors would be needed to get precision constraints for lighter ALPs

$$\frac{\text{BR}[\pi^+ \rightarrow a e^+ \nu]}{\text{BR}[\pi^+ \rightarrow e^+ \nu]} \sim \frac{m_0^4 \sin^2 \vartheta}{f_\pi^2 m_e^2 (1 - m_e^2/m_+^2)^2} \times \int_1^{\frac{(m_0^2 + m_+^2)}{2m_0 m_+}} (w^2 - 1)^{3/2} dw$$

no helicity suppression

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no helicity suppression

Once produced, the ALP can

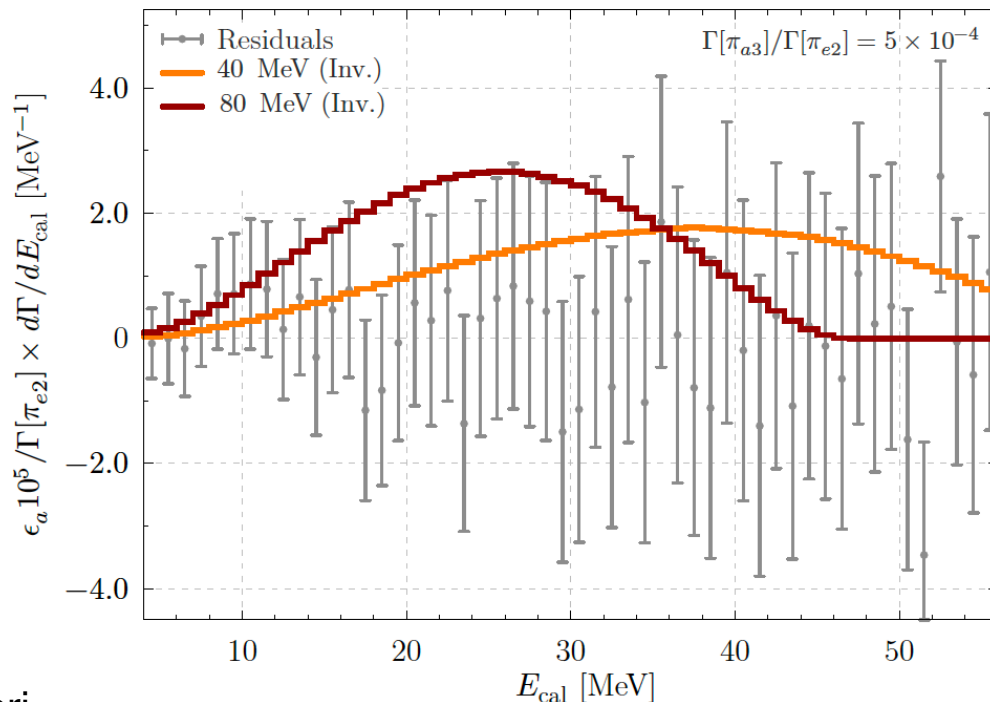
- decay to photons: $g_{a\gamma}^{\text{eff}} = \sin^2 \vartheta \frac{\sqrt{2}\alpha}{8\pi f_\pi} + g_{a\gamma}$
- be invisible to our detectors

Possible
UV contribution

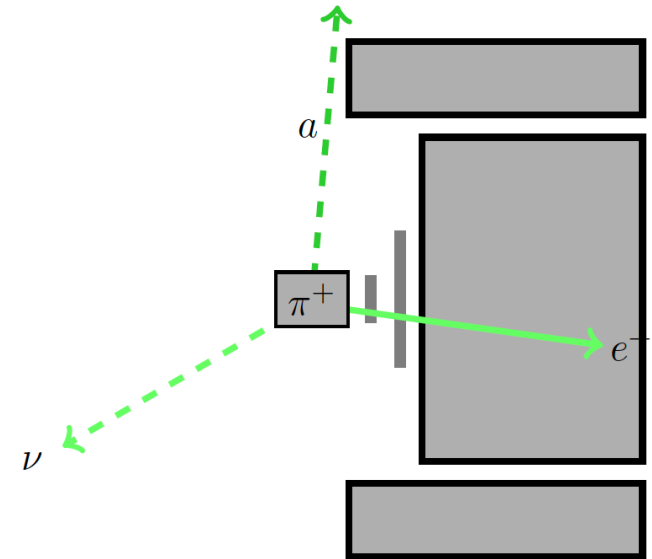
ALPs at PIENU

The production of the ALP will affect the energy spectrum measured by the calorimeter

1. Invisible regime: the energy spectrum of the positron depends on the ALP mass.



$$\pi^+ \rightarrow a e^+ \nu$$

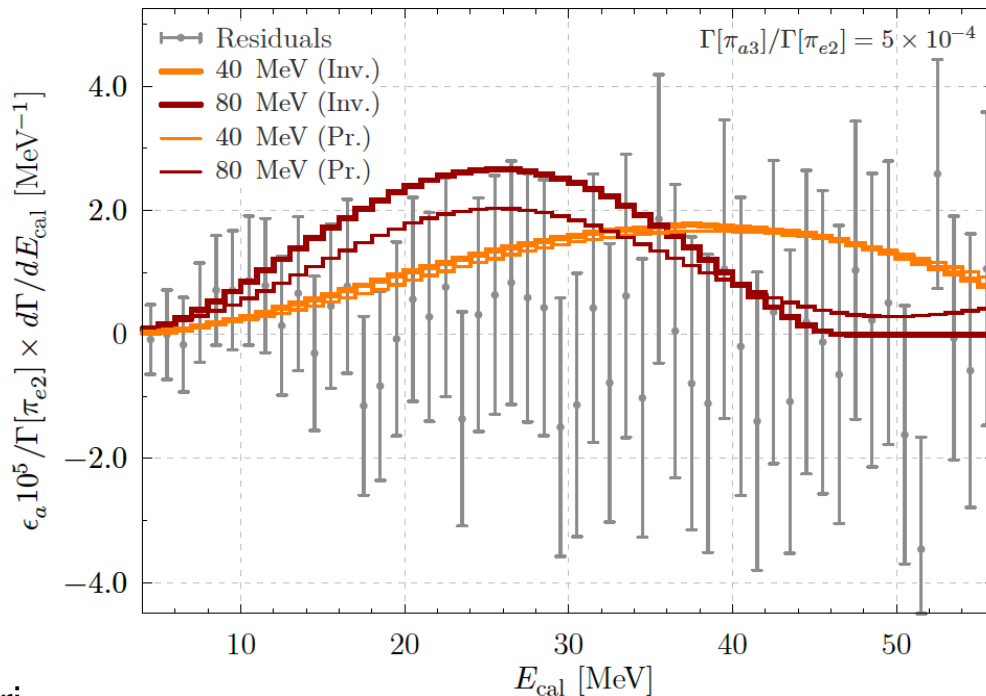


PIENU residue
from the measurement
of $\pi^+ \rightarrow e^+ \nu$

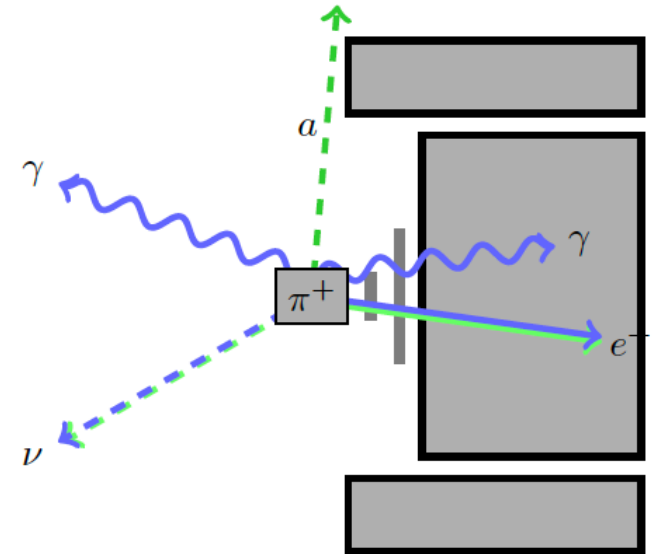
ALPs at PIENU

The production of the ALP will affect the energy spectrum measured by the calorimeter

1. Invisible regime: the energy spectrum of the positron depends on the ALP mass.
2. Prompt regime: the energy measured by the calorimeter can get a contribution from the photons produced from the ALP decay.



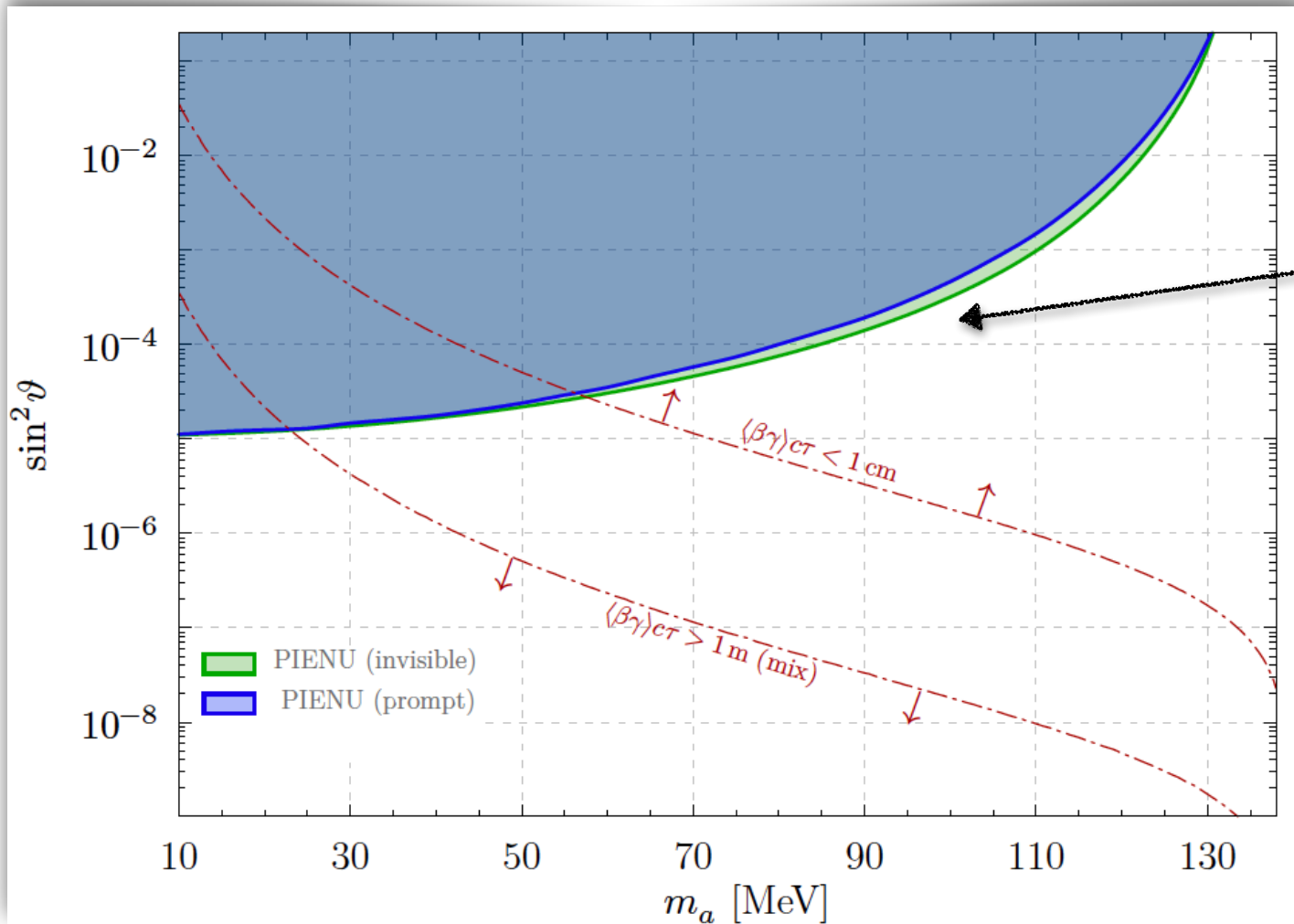
$$\pi^+ \rightarrow a e^+ \nu$$



PIENU residue
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ALP bound from PIENU

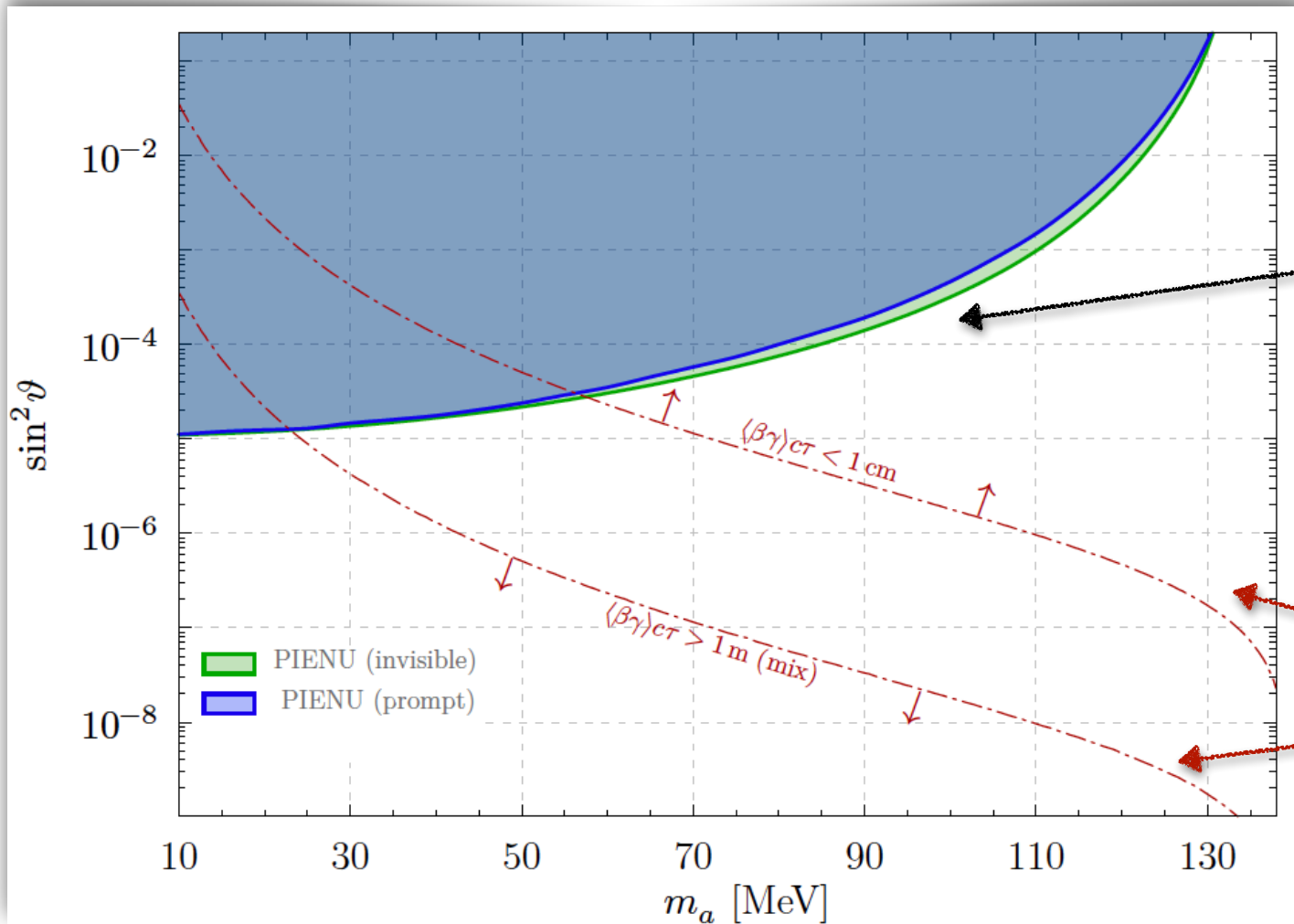
Fitting the residuals...



This bound corresponds to
 $\text{BR}(\pi^+ \rightarrow a e^+ \nu) \sim \mathcal{O}(10^{-8})$

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Life time in the mixing scenario

$$g_{a\gamma}^{\text{eff}} = \sin \vartheta \frac{\sqrt{2}\alpha}{8\pi f_\pi}$$

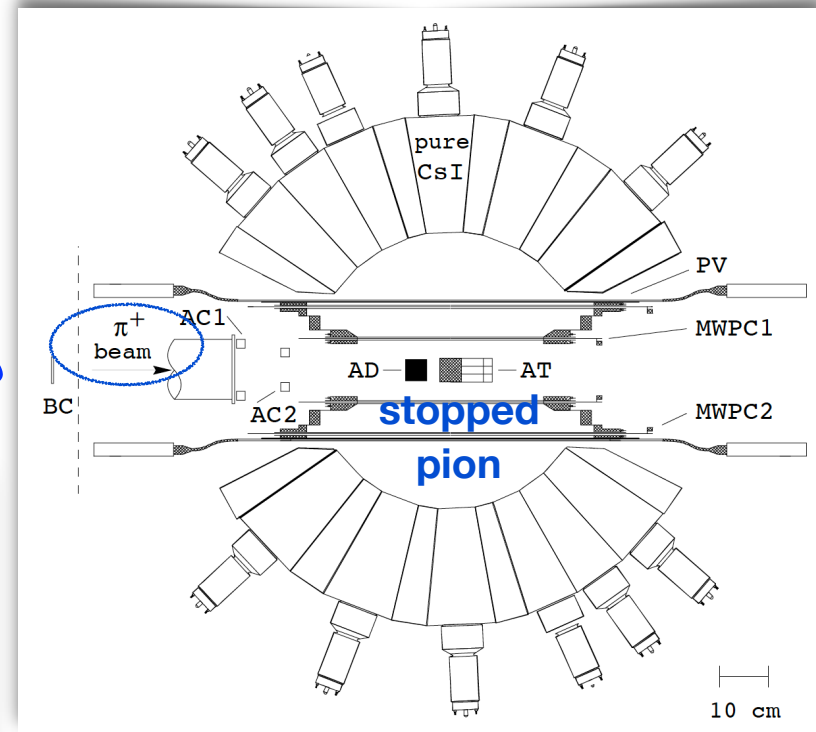
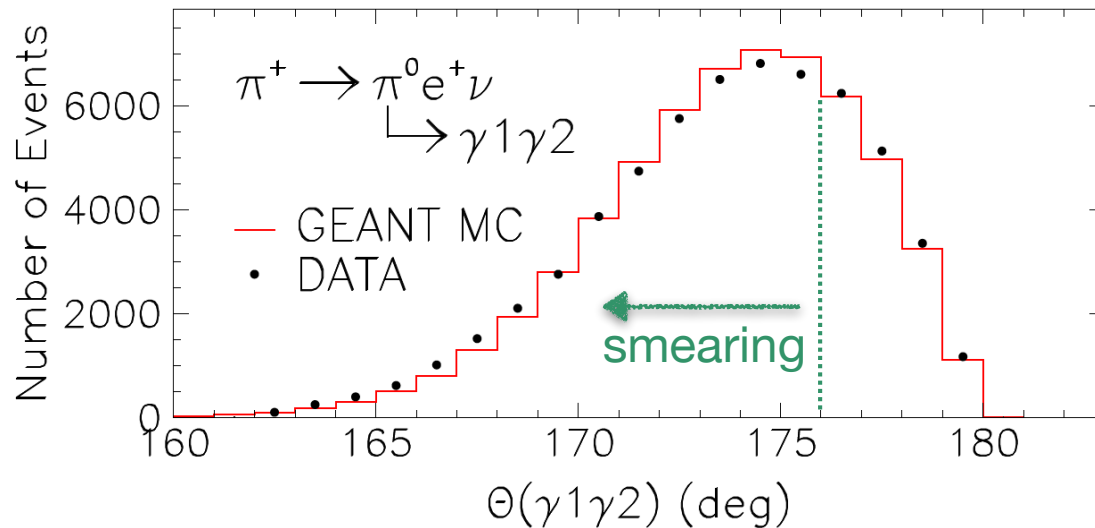
What about targeting photons?

The Pibeta experiment

$$\pi^+ \rightarrow \pi^0 e^+ \nu$$

π^0 is produced (almost) at rest
 $\gamma\gamma$ will be produced ~ back to back

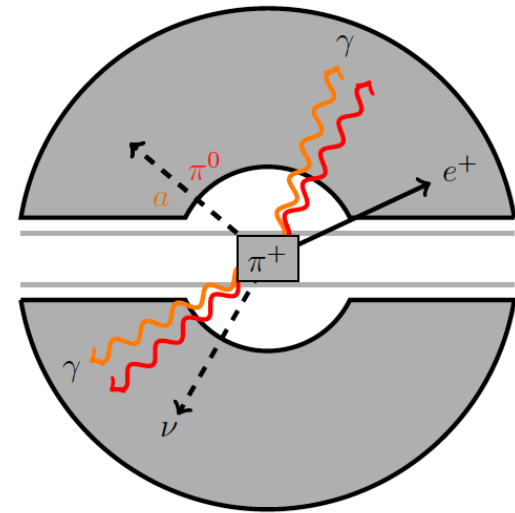
from 0312030



ALPs at PIBETA

$$\pi^+ \rightarrow a e^+ \nu$$

The spectrum of the photons will change:

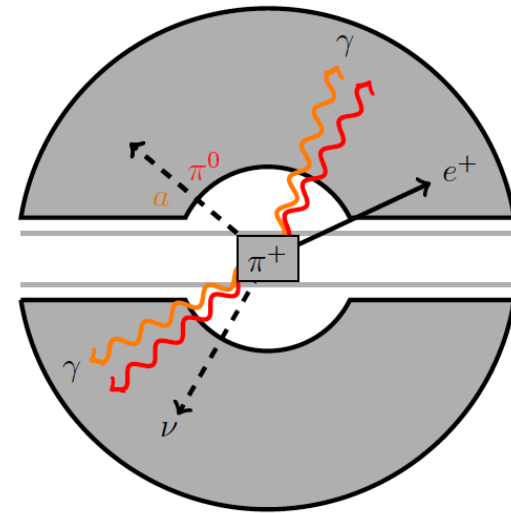
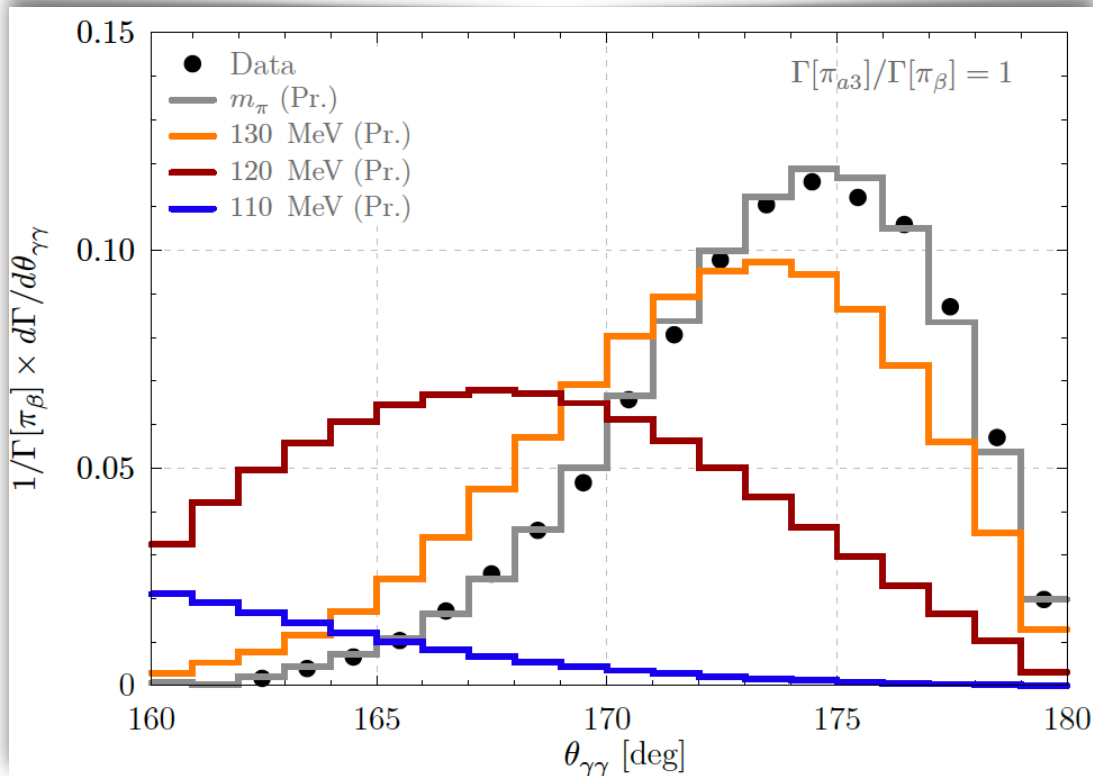


$$-1 \leq \cos \theta_{\gamma\gamma} \leq -1 + 2 \left(\frac{m_{\pi^+}^2 - m_a^2}{m_{\pi^+}^2 + m_a^2} \right)^2$$

ALPs at PIBETA

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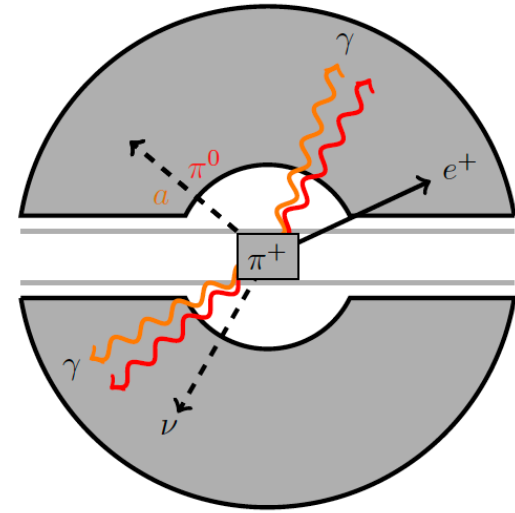
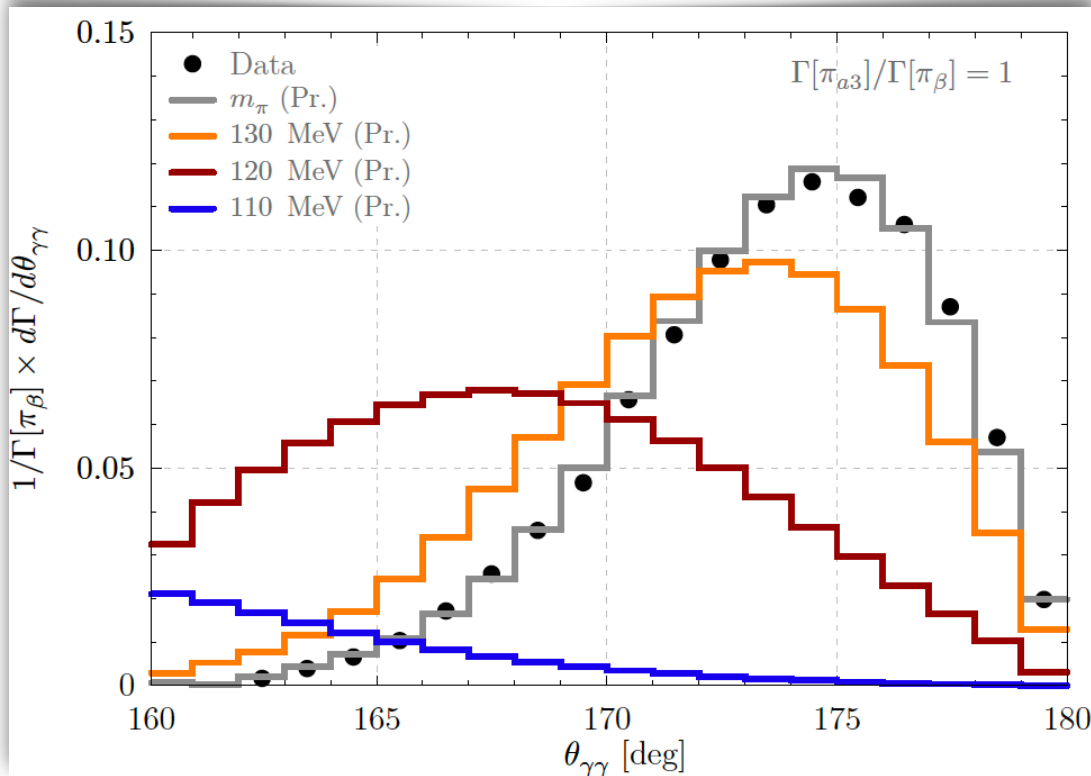


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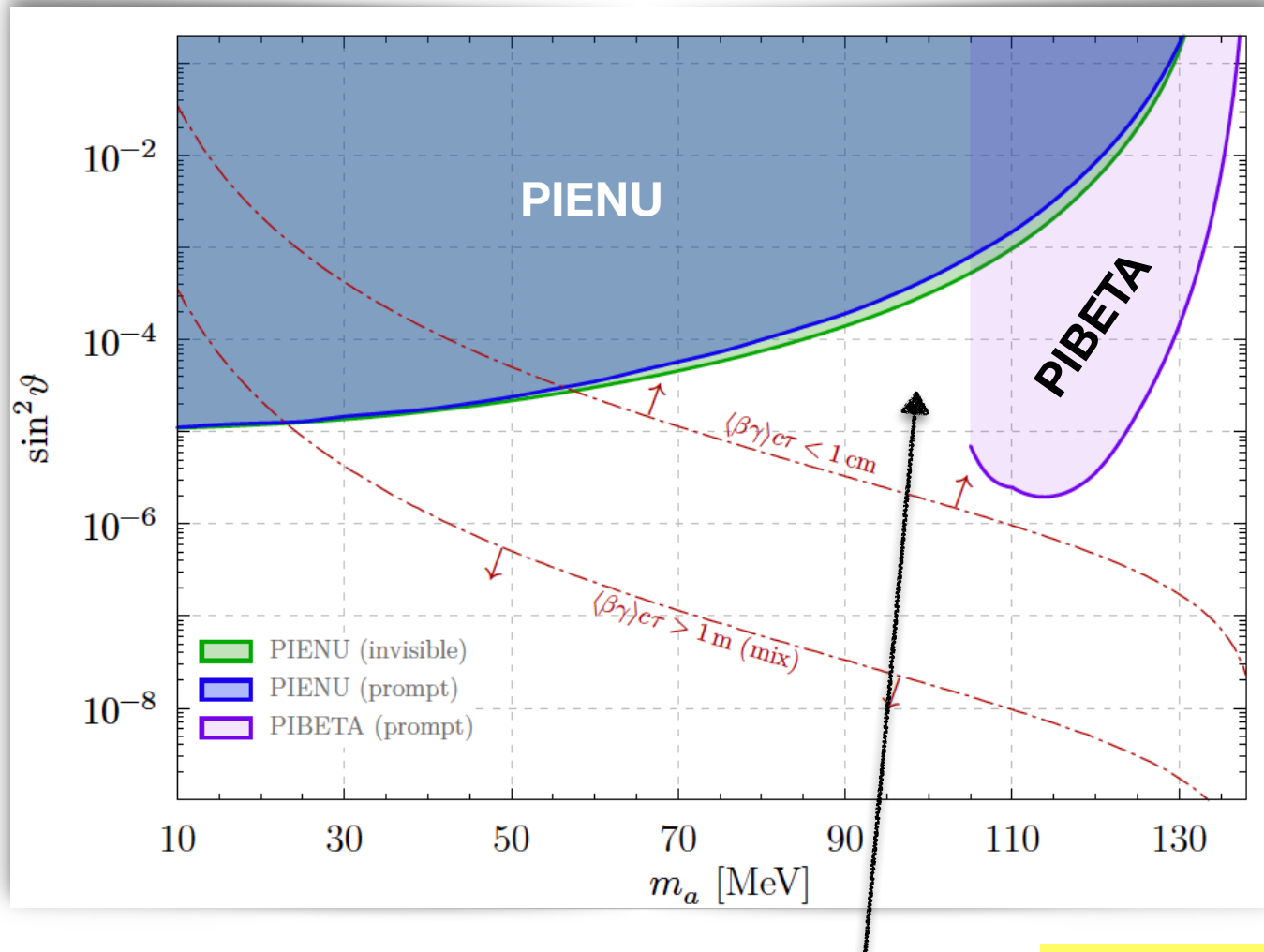
$$-1 \leq \cos \theta_{\gamma\gamma} \leq -1 + 2 \left(\frac{m_{\pi^+}^2 - m_a^2}{m_{\pi^+}^2 + m_a^2} \right)^2$$

Unfortunately the PIBETA collaboration does not report residuals.



We require the integrated contribution in (160-180) deg is smaller than the experimental uncertainty in the $\text{BR}(\pi^+ \rightarrow \pi^0 e^+ \nu)$

ALP bound from PIBETA



Possibility to go to lower masses
at future experiments
(data at smaller angles!)

Reach at PIONEER?

Phase I: $\sim 2 \times 10^{12}$ pions

Phase II/III: $\sim 7 \times 10^{13}$ pions

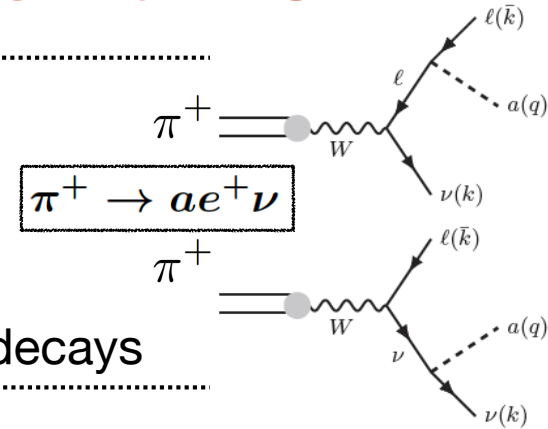


Altmannshofer, Dror, SG, 2208.xxxxx
Leptonically coupled ALPs

Lepton-coupled ALP scenario

$$\frac{(\partial_\mu a)}{m_e} [\bar{e} \gamma^\mu (\bar{g}_{ee} + g_{ee} \gamma_5) e + g_\nu \bar{\nu} \gamma^\mu P_L \nu]$$

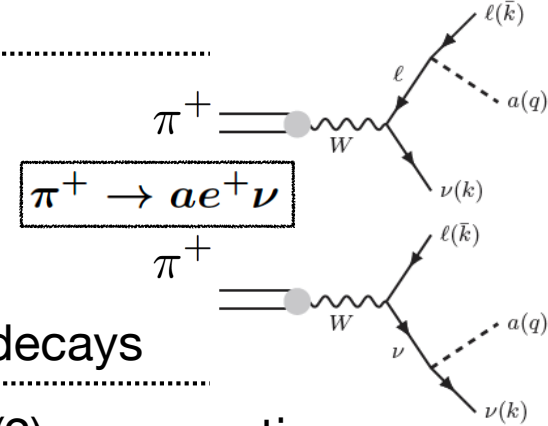
Because of the conservation of lepton number,
one combination of couplings does not contribute at LO to pion decays



Lepton-coupled ALP scenario

$$\frac{(\partial_\mu a)}{m_e} [\bar{e} \gamma^\mu (\bar{g}_{ee} + g_{ee} \gamma_5) e + g_\nu \bar{\nu} \gamma^\mu P_L \nu]$$

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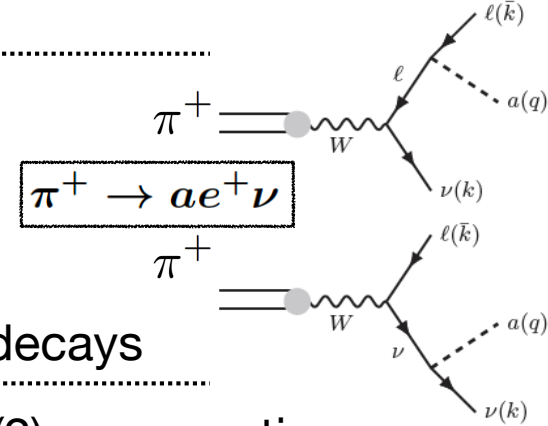
$$\text{BR}(\pi^+ \rightarrow e^+ a \nu) = \frac{1}{384\pi^2} \frac{m_\pi^4}{m_e^2 m_\mu^2} \left(1 - \frac{m_\mu^2}{m_\pi^2}\right)^{-2} \left[\overbrace{(g_{ee} - \bar{g}_{ee} + g_\nu)^2 f_0 \left(\frac{m_a^2}{m_\pi^2}\right)}^{0 \text{ if SU(2) conservation}} + \frac{4m_e^2}{m_\pi^2} \left(3(g_{ee})^2 f_3 \left(\frac{m_a^2}{m_\pi^2}\right) + 3(\bar{g}_{ee} - g_\nu)^2 f_4 \left(\frac{m_a^2}{m_\pi^2}\right) + 2g_{ee}(\bar{g}_{ee} - g_\nu) f_5 \left(\frac{m_a^2}{m_\pi^2}\right) \right) + \mathcal{O}\left(\frac{m_e^3}{m_\pi^3}\right) \right]$$

Helicity suppression is lifted only
in the case of SU(2) violation

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$$\frac{(\partial_\mu a)}{m_e} [\bar{e} \gamma^\mu (\bar{g}_{ee} + g_{ee} \gamma_5) e + g_\nu \bar{\nu} \gamma^\mu P_L \nu]$$

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0 if SU(2) conservation

$$\begin{aligned} \text{BR}(\pi^+ \rightarrow e^+ a \nu) = & \frac{1}{384\pi^2} \frac{m_\pi^4}{m_e^2 m_\mu^2} \left(1 - \frac{m_\mu^2}{m_\pi^2}\right)^{-2} \left[\overbrace{(g_{ee} - \bar{g}_{ee} + g_\nu)^2 f_0 \left(\frac{m_a^2}{m_\pi^2}\right)}^{0 \text{ if SU(2) conservation}} \right. \\ & + \frac{4m_e^2}{m_\pi^2} \left(3(g_{ee})^2 f_3 \left(\frac{m_a^2}{m_\pi^2}\right) + 3(\bar{g}_{ee} - g_\nu)^2 f_4 \left(\frac{m_a^2}{m_\pi^2}\right) \right. \\ & \left. \left. + 2g_{ee}(\bar{g}_{ee} - g_\nu) f_5 \left(\frac{m_a^2}{m_\pi^2}\right) \right) + \mathcal{O}\left(\frac{m_e^3}{m_\pi^3}\right) \right] \end{aligned}$$

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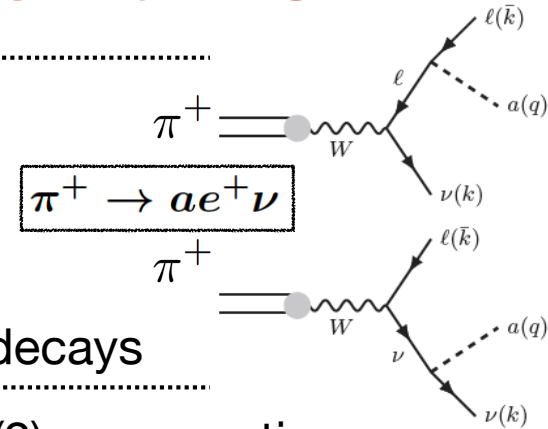
SU(2) violating: $g_{ee} \frac{(\partial_\mu a)}{m_e} \bar{e} \gamma^\mu \gamma_5 e \Rightarrow \text{BR}(\pi^+ \rightarrow a e^+ \nu) \simeq 2 \times 10^{-4} \left(\frac{g_{ee}}{10^{-3}} \right)^2$

SU(2) preserving (e.g. P_R): $2g_{ee} \frac{(\partial_\mu a)}{m_e} \bar{e} \gamma^\mu P_R e \Rightarrow \text{BR}(\pi^+ \rightarrow a e^+ \nu) \simeq 4 \times 10^{-8} \left(\frac{g_{ee}}{10^{-3}} \right)^2$

Lepton-coupled ALP scenario

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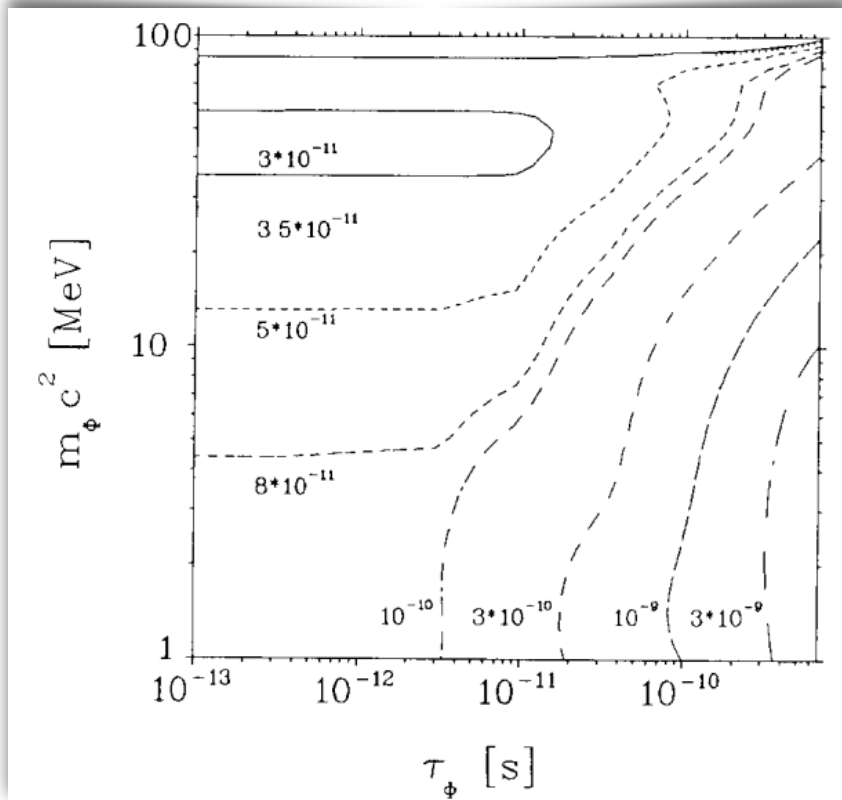
Once produced, the ALP will

- decay to electrons $\pi^+ \rightarrow e^+ \nu (a \rightarrow e^+ e^-)$
- be invisible in our detectors (if too long-lived)

The past search for $\pi^+ \rightarrow e^+ \nu (a \rightarrow e^+ e^-)$

In the late '80s,
the SINDRUM experiment at CERN:

Almost background free search



Decay length below the vertex
resolution of the SINDRUM detector

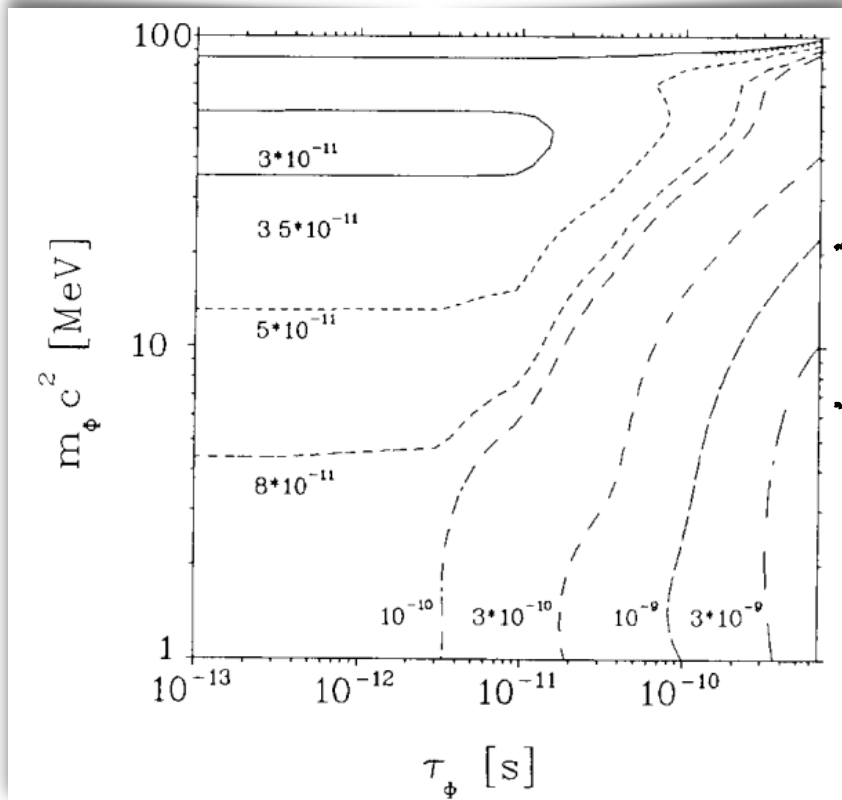
Mass resolution: 3-8 MeV

Eichler et al. Physics Letters B 175 (1986), no. 1 101–104

The past search for $\pi^+ \rightarrow e^+ \nu (a \rightarrow e^+ e^-)$

In the late '80s,
the SINDRUM experiment at CERN:

Almost background free search

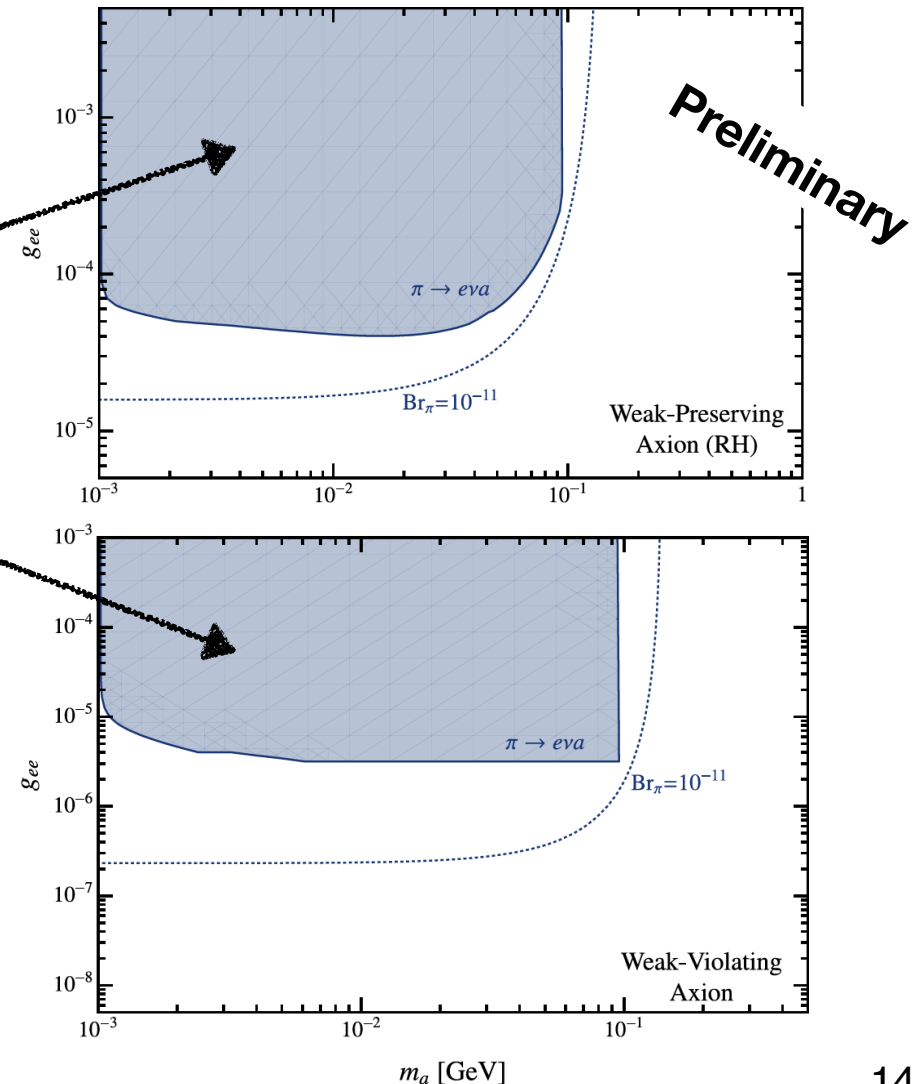


Eichler et al. Physics Letters B 175 (1986), no. 1 101–104

Reach at PIONEER?

Phase I: $\sim 2 \times 10^{12}$ pions

Phase II/III: $\sim 7 \times 10^{13}$ pions

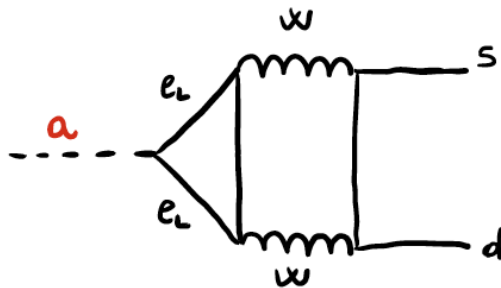


Complementarity with Kaon decays

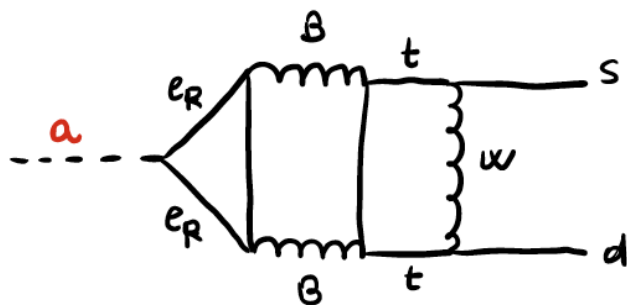
1. Neutral current Kaon decays are also generated at the 2 or 3-loop level (suppressed by CKM elements as well)

$$K \rightarrow \pi a$$

ALP with LH coupling



ALP with RH coupling



Main bounds:

NA62, [2103.15389](#): $K^+ \rightarrow \pi^+ + (a \rightarrow \text{invisible})$

KTeV, [0309072](#): $K_L \rightarrow \pi^0 (a \rightarrow e^+ e^-)$

777 @ BNL, *Phys. Rev. Lett.* 59 (1987) 2832–2835

:

$$K^+ \rightarrow \pi^+ (a \rightarrow e^+ e^-)$$

see also Alves, Weiner, [1710.03764](#)

2. Charged current Kaon decays

Similarly to the pion decays:

$$K^+ \rightarrow e^+ \nu (a \rightarrow e^+ e^-)$$

The 865 experiment at Brookhaven, [0204006](#)

measured the differential BR for $K^+ \rightarrow e^+ \nu e^+ e^-$

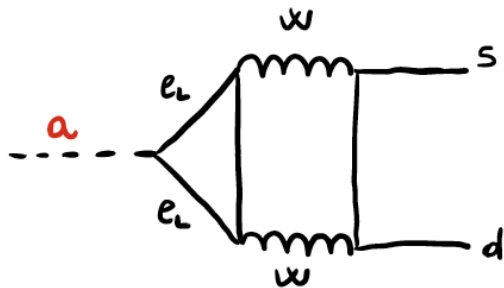
Complementarity with Kaon decays

1. Neutral current Kaon decays are also generated at the 2 or 3-loop level (suppressed by CKM elements as well)

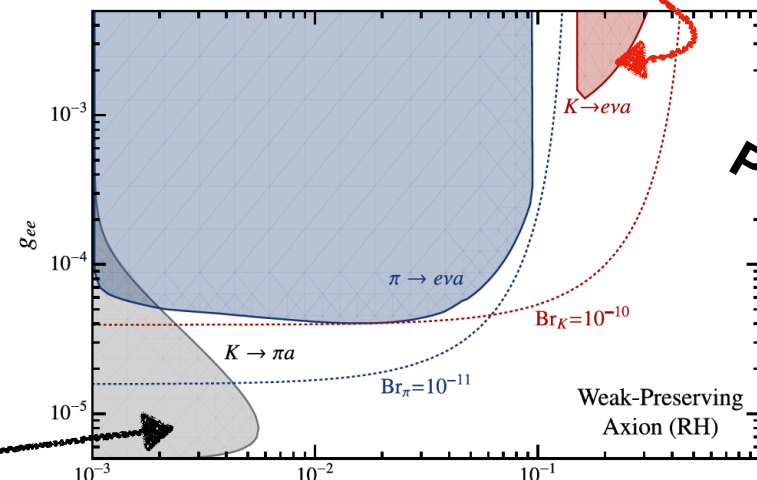
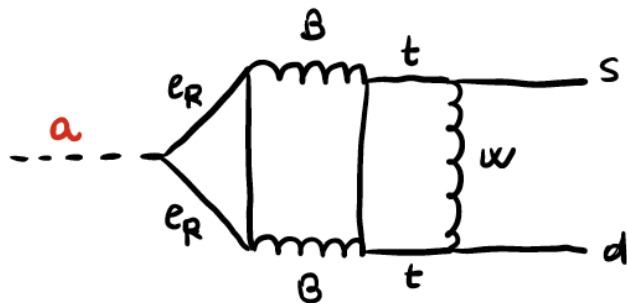
2. Charged current Kaon decays

$$K \rightarrow \pi a$$

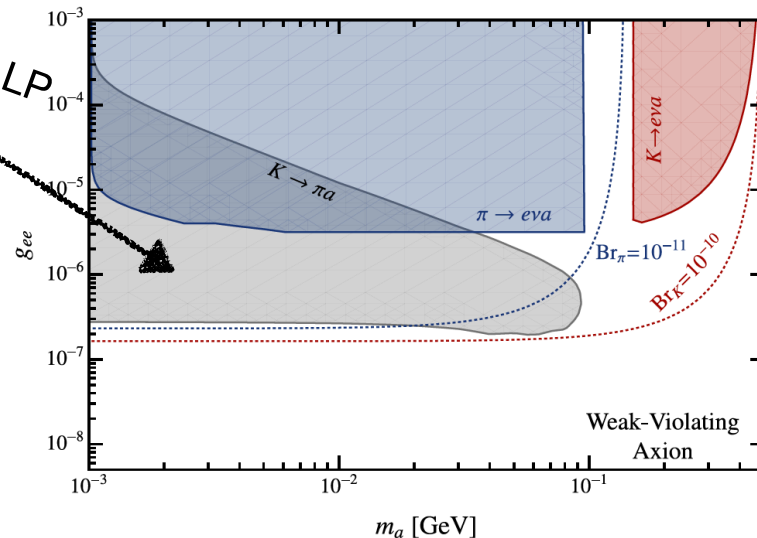
ALP with LH coupling



ALP with RH coupling

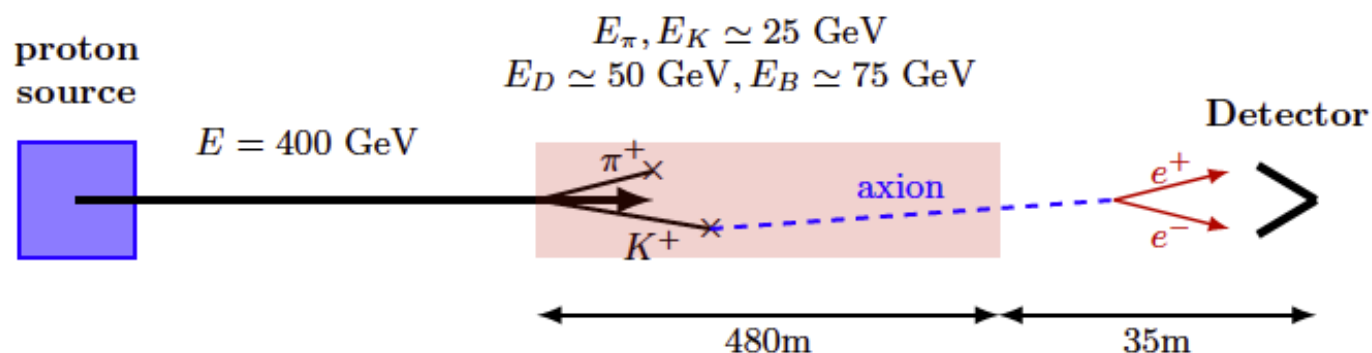


Preliminary



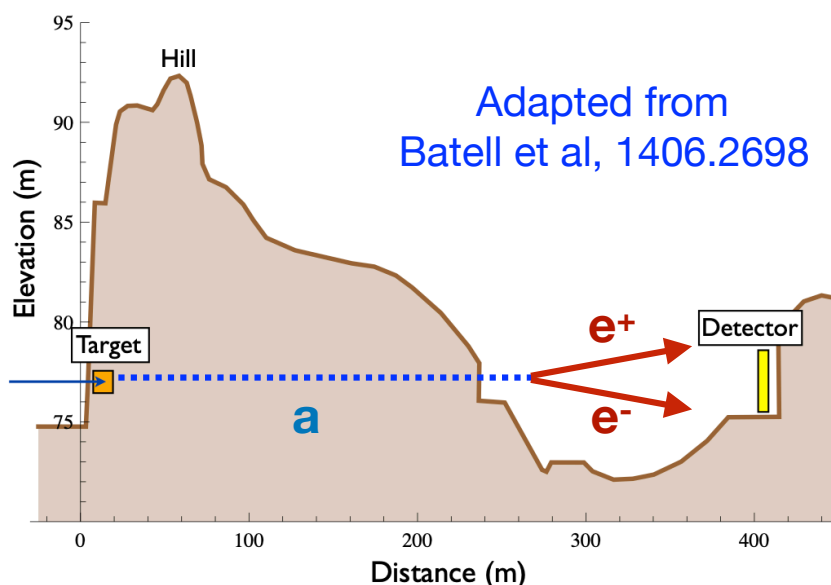
B-factories & beam dump experiments

CHARM experiment (late 80s at CERN)



E137 experiment (late 80s at SLAC)

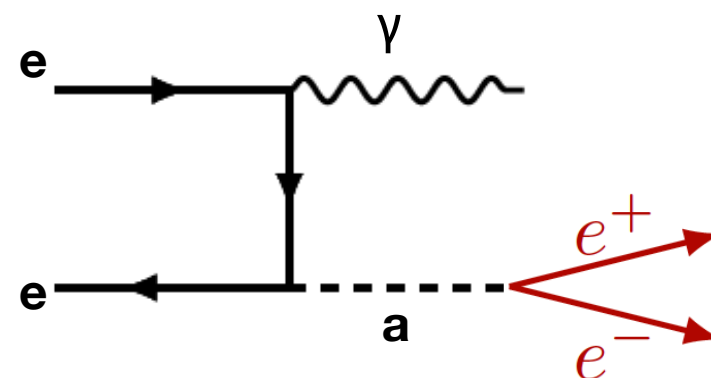
Bjorken et al., Phys. Rev. D 38 (1988) 3375



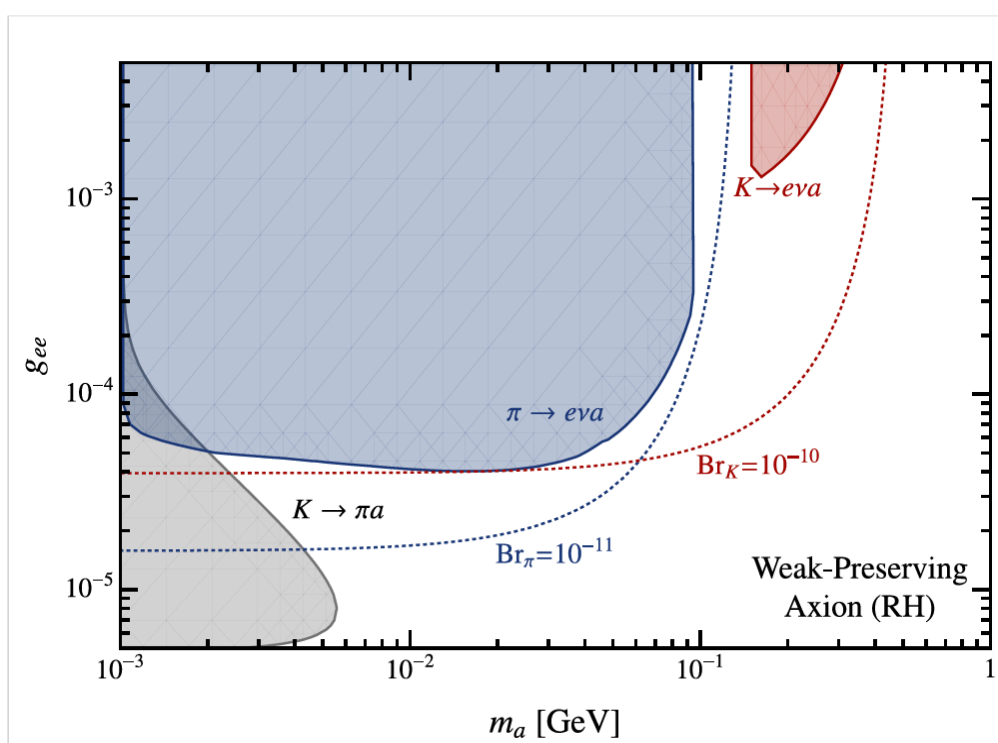
electron
($E=20 \text{ GeV}$)

Babar

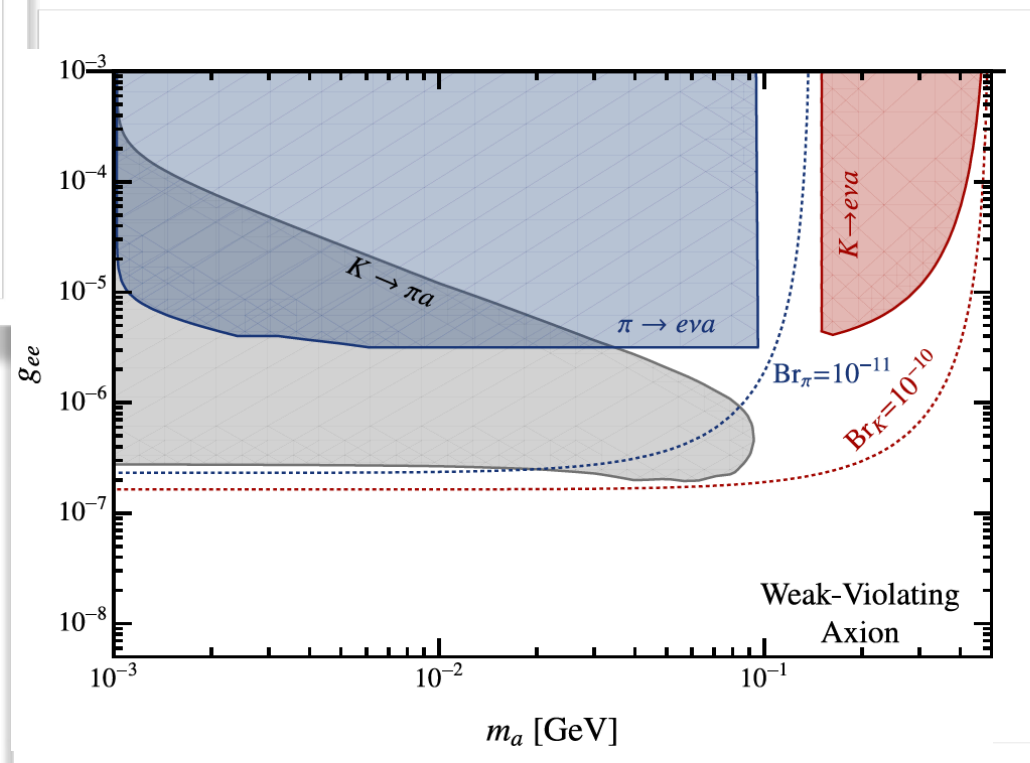
Re-interpretation of the dark photon search, [1406.2980](#)



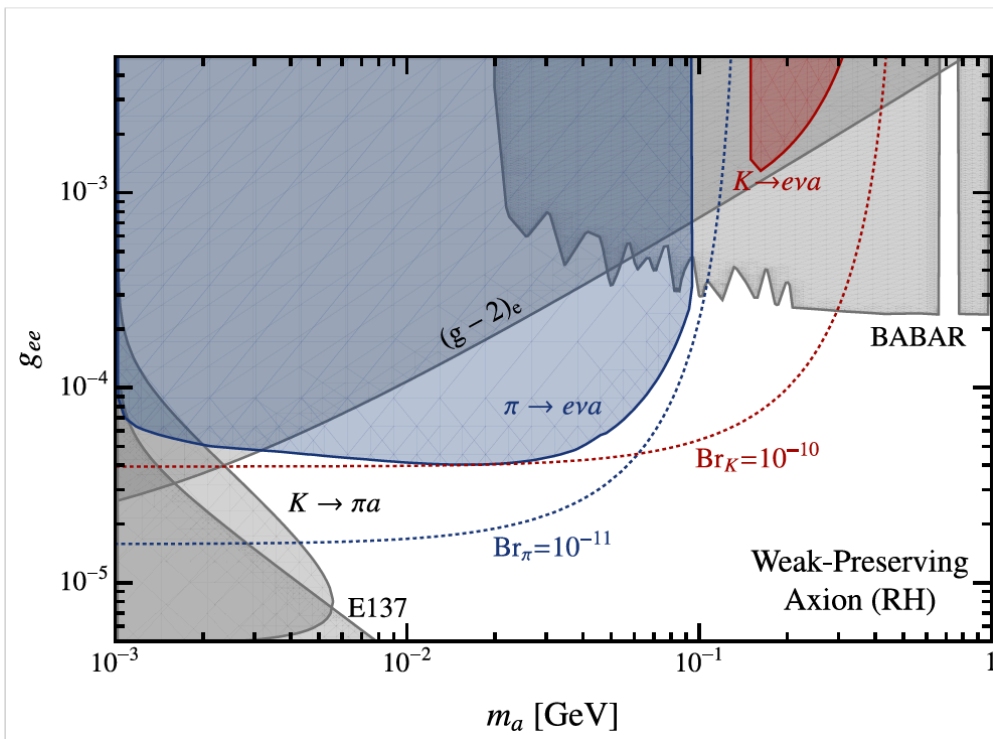
Putting everything together



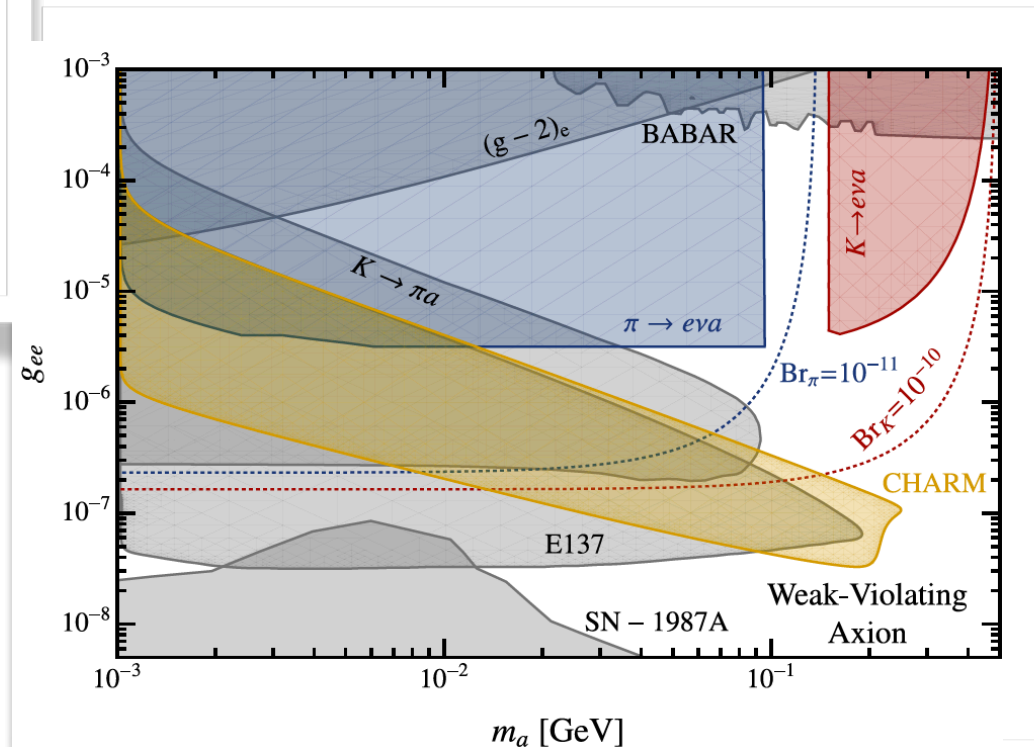
Preliminary



Putting everything together



Preliminary





Conclusions & Outlook

Interesting times for flavor physics:
anomalies+several experiments ramping up

**Plenty of opportunities to test dark sectors
at these experiments**

For this talk:

testing ALPs at pion experiments
(New approved experiment: PIONEER)

Direct searches for $\pi \rightarrow a e \nu$ can probe
un-explored ALP parameter space

- recast of past measurements (PIENU, PIBETA, SINDRUM)
- New direct searches (PIONEER)

Complementarity with Kaon experiments,
B-factories, and beam dump experiments

Connection with other accelerator experiments

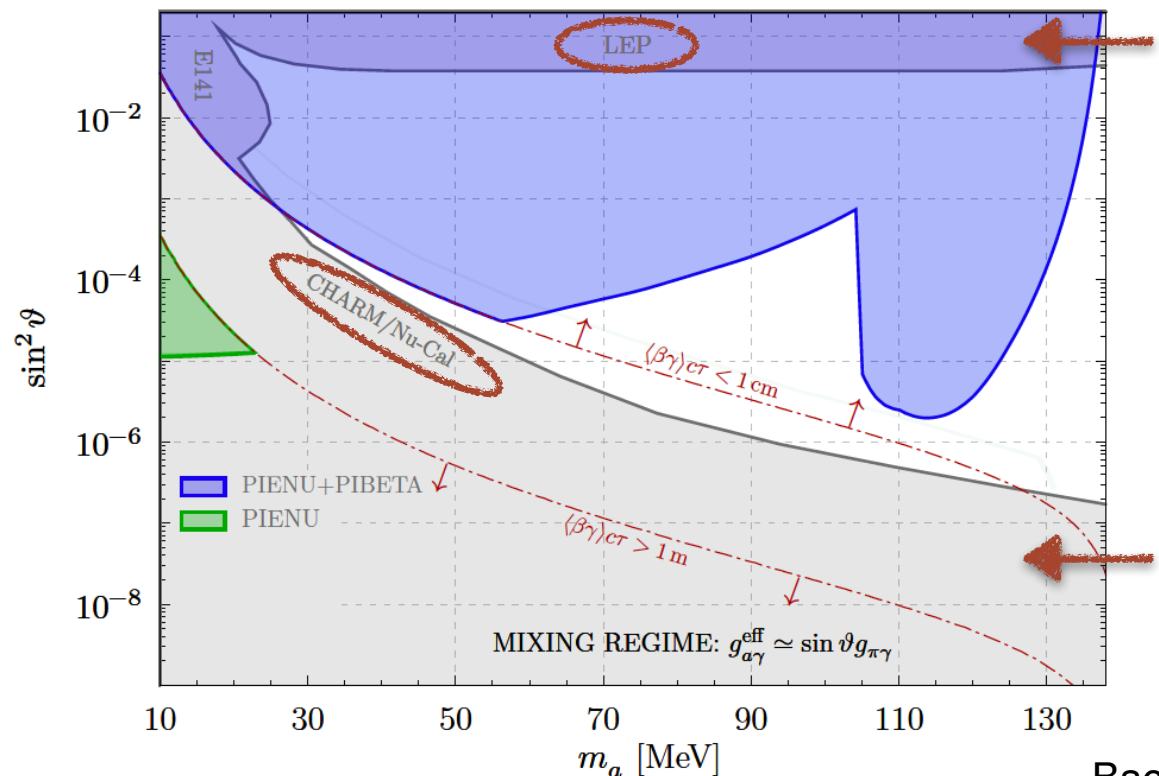
* The bounds shown until now are ~model independent.

* If we assume a theory with no extra contribution to the diphoton

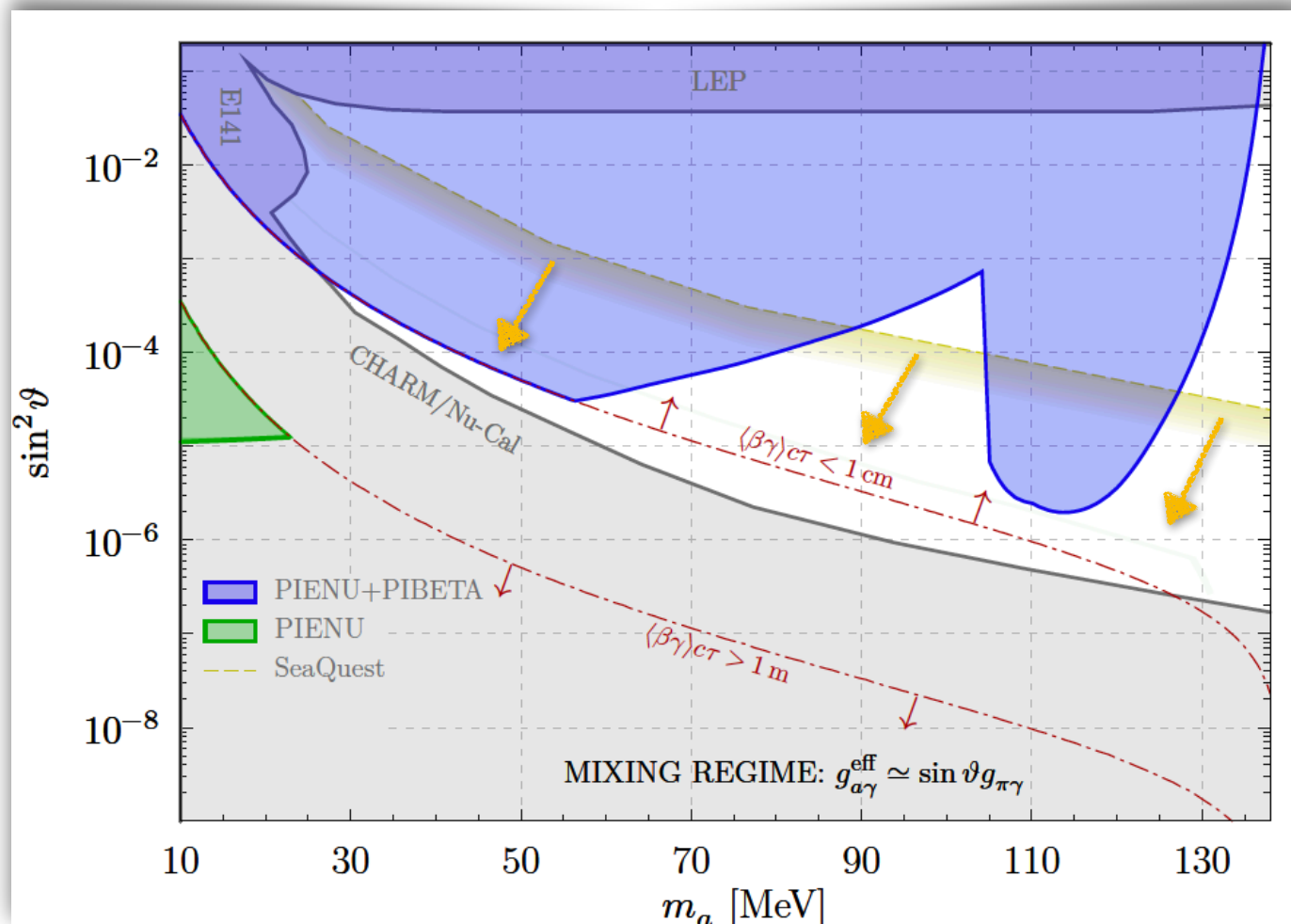
coupling (mixing regime) then $\Gamma_{a\gamma\gamma} = \sin^2 \vartheta \frac{\alpha^2 m_a^3}{32\pi^3 f_\pi^2}$

(decay & production of the ALP depend only on the mixing angle and on its mass)

* We can directly compare the PIENU, PIBETA bounds with LEP and old fixed target experiments:



What about future beam dumps?



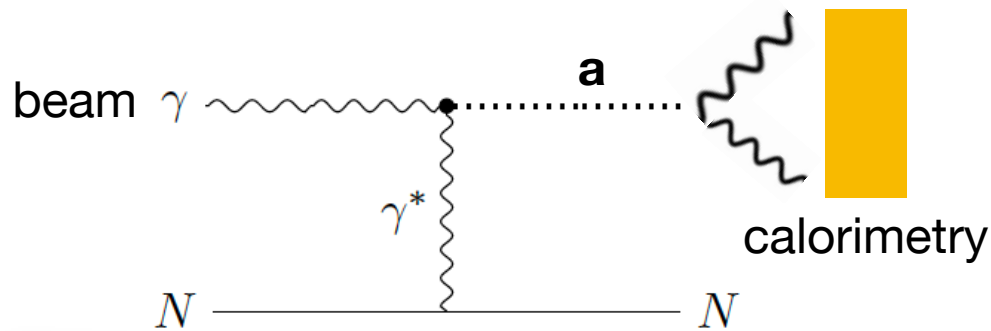
DarkQuest reach
below the line

(from A.Berlin, SG,
P.Schuster, N.Toro,
1804.00661)

DarkQuest + pion experiments can almost completely probe
ALPs with a mass below the pion mass (and above $\sim \text{MeV}$).

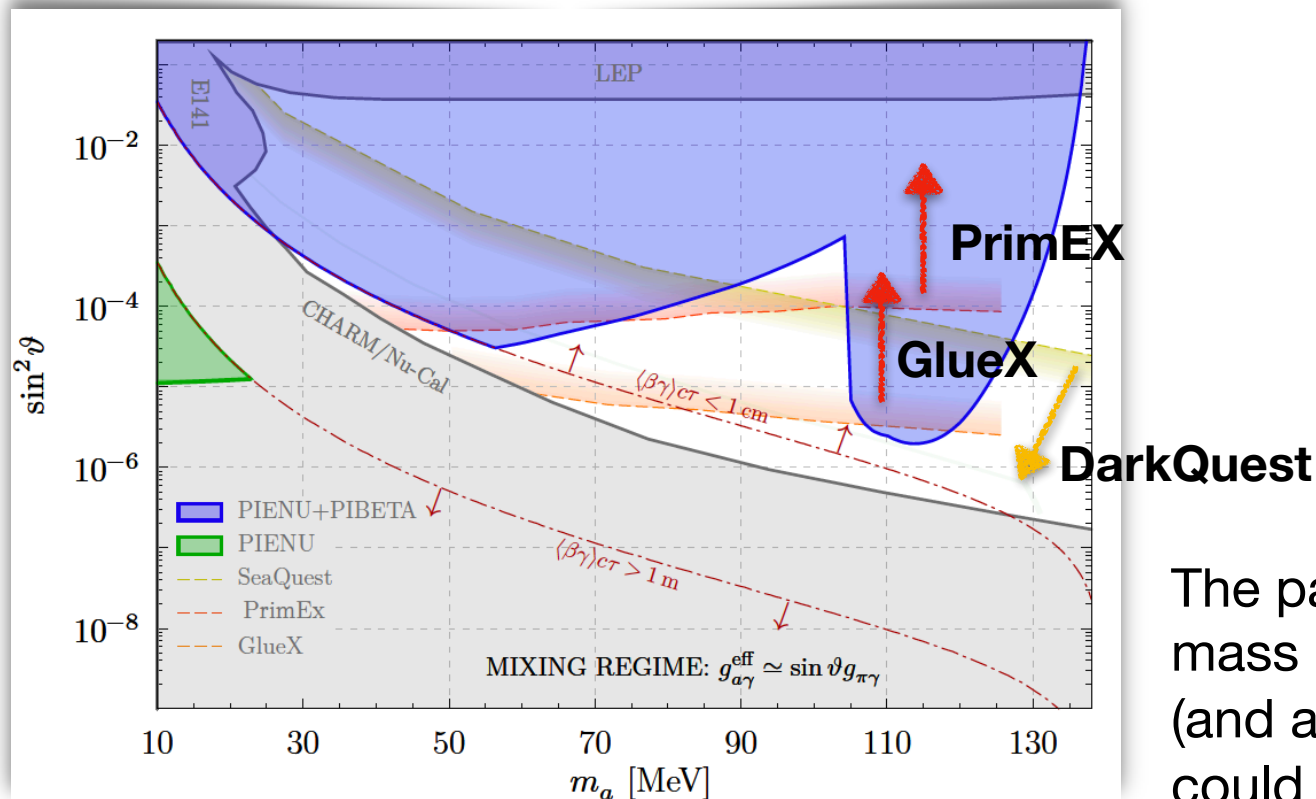
More new measurements coming up?

PrimEX, GlueX



Proposed upgrades for the PrimEX and GlueX experiments at JLAB

$$\gamma N \rightarrow a N \rightarrow \gamma \gamma$$

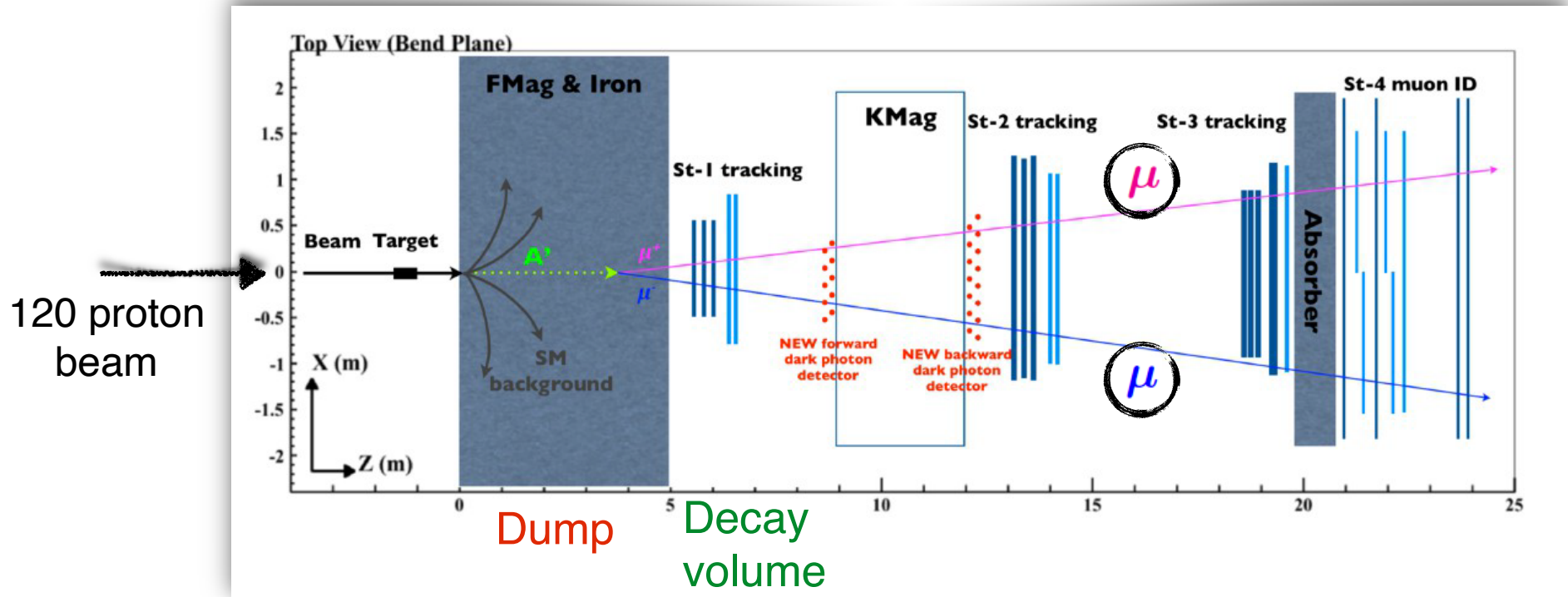


The parameter space for ALPs with mass below the pion mass (and above a few MeV) could be fully covered!

More new measurements coming up?

SeaQuest

Experiment taking place at Fermilab



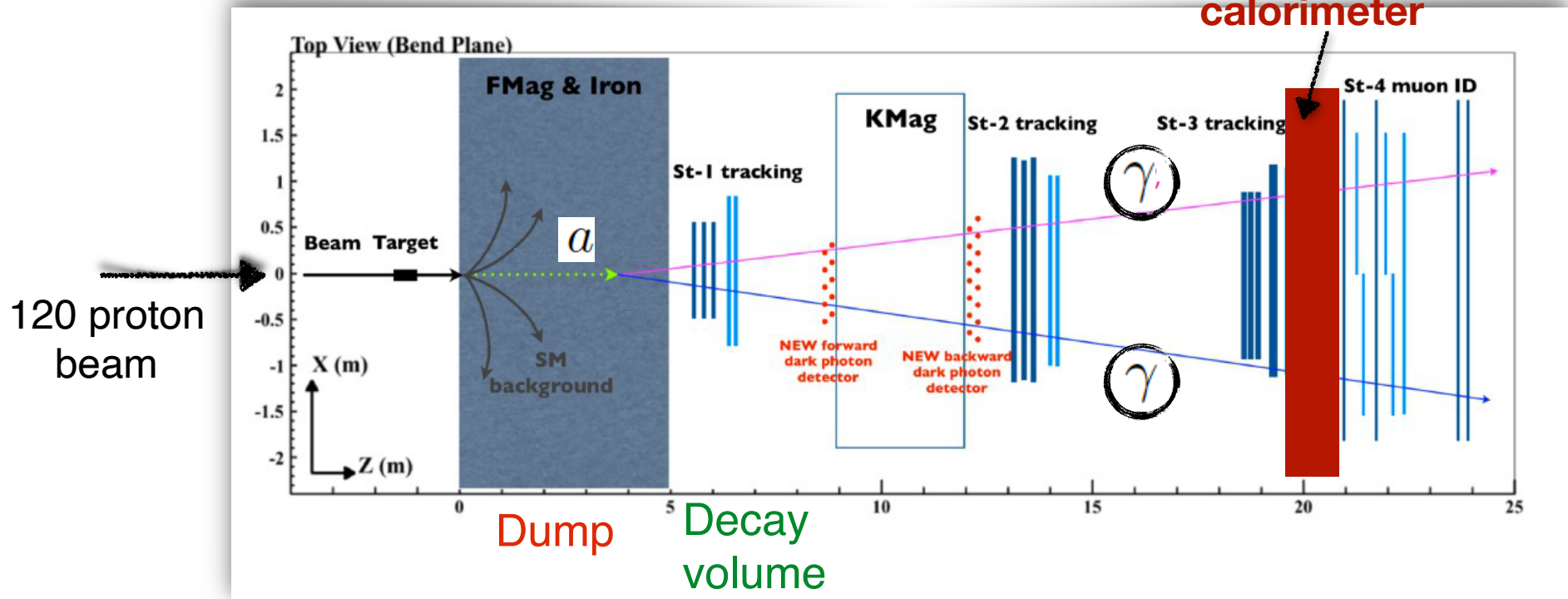
Approved running in 2019 - 2021. $\sim 10^{18}$ protons on target.
Displaced muon signatures

More new measurements coming up?

DarkQuest

Experiment taking place at Fermilab, **UPGRADE**

electromagnetic calorimeter



Proposed running after 2021. $\sim 10^{20}$ protons on target.
Displaced electromagnetic objects (including photons)

A diagram showing two wavy lines representing particles. The left wavy line is labeled "photon" in red text. A horizontal dashed green line connects the two wavy lines, with the letter "a" centered above it.

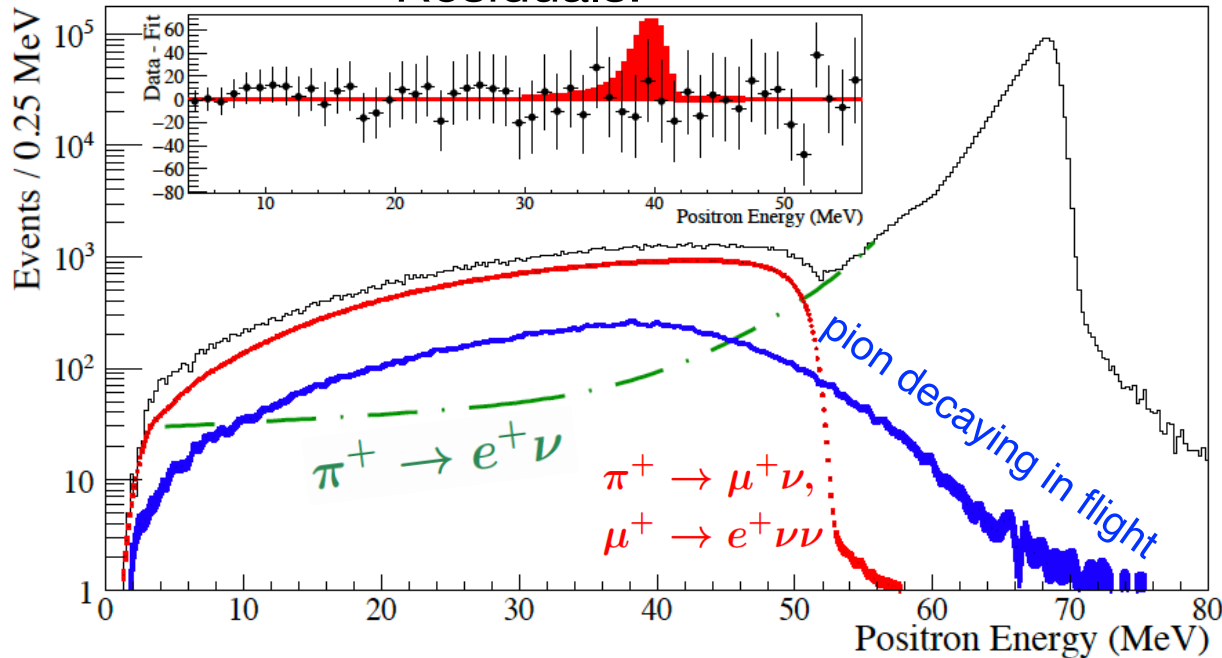
axions radiated from secondary
photons produced in the collisions

Back to the PIENU experiment...

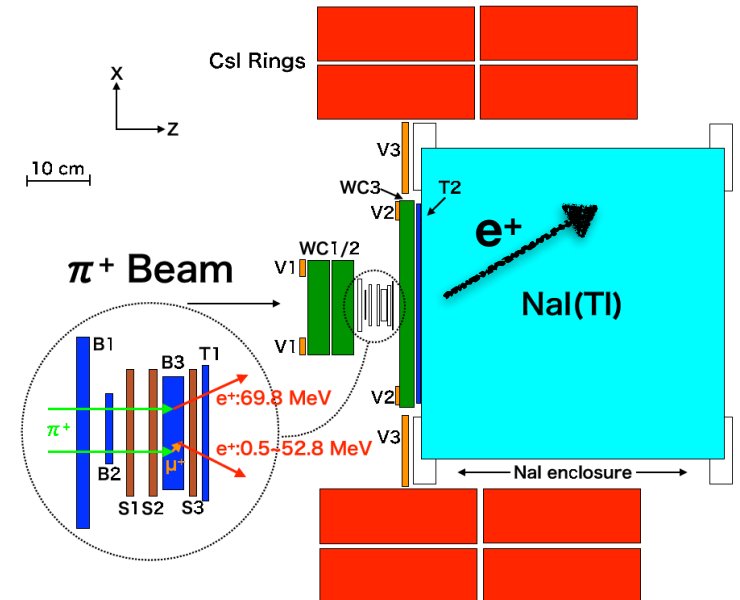
Effectively they test lepton flavor universality:

$$R_{e,\mu} = \frac{\Gamma(\pi^+ \rightarrow e^+ \nu(\gamma))}{\Gamma(\pi^+ \rightarrow \mu^+ \nu(\gamma))}$$

Residuals!



PIENU collaboration, 1712.03275



Courtesy of D.Bryman

Multi-component fit with floating normalizations

Lepton-coupled ALP lifetime

