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# Models of Neutrino Masses and Mixings

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## Why A4 works?

TB mixing corresponds to  $m$   
in the basis where  
charged leptons are diagonal

$$m = \begin{pmatrix} x & y & y \\ y & x + v & y - v \\ y & y - v & x + v \end{pmatrix}$$

$m$  is the most general matrix invariant under  
 $S m S = m$  and  $A_{23} m A_{23} = m$  with:

$$S = \frac{1}{3} \begin{pmatrix} -1 & 2 & 2 \\ 2 & -1 & 2 \\ 2 & 2 & -1 \end{pmatrix}$$

$$A_{23} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}$$

2-3  
symmetry

Invariance under  $S$  can be made automatic in  $A_4$  while  
⊕ invariance under  $A_{23}$  happens if  $1'$  and  $1''$  flavons are absent.

Three singlet inequivalent represent'ns:

Recall:

$$S^2 = T^3 = (ST)^3 = 1$$

$$\begin{cases} 1: S=1, T=1 \\ 1': S=1, T=\omega \\ 1'': S=1, T=\omega^2 \end{cases}$$

$$\begin{aligned} \omega &= \exp i \frac{2\pi}{3} = -\frac{1}{2} + i \frac{\sqrt{3}}{2} \\ \omega^3 &= 1 \\ 1 + \omega + \omega^2 &= 0 \\ \omega^2 &= \omega^* \end{aligned}$$

The only irreducible 3-dim represent'n is obtained by:

$$S = \begin{bmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & -1 \end{bmatrix}$$

$$T = \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{bmatrix}$$

(S-diag basis)

An equivalent form:

$$S' = \frac{1}{3} \begin{bmatrix} -1 & 2 & 2 \\ 2 & -1 & 2 \\ 2 & 2 & -1 \end{bmatrix} = V S V^\dagger$$

(T-diag basis)

$$T' = \begin{bmatrix} 1 & 0 & 0 \\ 0 & \omega & 0 \\ 0 & 0 & \omega^2 \end{bmatrix} = V T V^\dagger$$

$$V V^\dagger = V^\dagger V = 1$$

↓

$$V = \frac{1}{\sqrt{3}} \begin{bmatrix} 1 & 1 & 1 \\ 1 & \omega^2 & \omega \\ 1 & \omega & \omega^2 \end{bmatrix}$$

Cabibbo '78

Charged lepton masses are a generic diagonal matrix, invariant under T (or  $\eta T$  with  $\eta$  a phase):

$$m_l^\dagger m_l = T^\dagger m_l^\dagger m_l T$$

$$m_l = v_T \frac{v_d}{\Lambda} \begin{pmatrix} y_e & 0 & 0 \\ 0 & y_\mu & 0 \\ 0 & 0 & y_\tau \end{pmatrix}$$

$$T = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \omega & 0 \\ 0 & 0 & \omega^2 \end{pmatrix}$$

$$\langle \varphi_T \rangle = (v_T, 0, 0)$$

$$\langle \varphi_S \rangle = (v_S, v_S, v_S)$$

$$\langle \xi \rangle = u, \quad \langle \tilde{\xi} \rangle = 0$$

The alignment occurs because is based on A4 group theory:

$\phi_T$  breaks A4 down to  $G_T$

$\phi_S$  breaks A4 down to  $G_S$

( $G_T, G_S$ : subgroups generated by T, S)



Note that for TB mixing in  $A_4$  it is important that no flavons transforming as  $1'$  and  $1''$  exist

Recently Lam claimed that for “a natural” TB model the smallest group is  $S_4$  (instead  $A_4$  is a subgroup of  $S_4$ )

This is because he calls “natural” a model only if all possible flavons are introduced

**We do not accept this criterium:**

In physics we call natural a model if the lagrangian is the most general given the symmetry and the representations of the fields

(for example the SM is natural even if only Higgs doublets are present)



## A baseline A4 model (a 4-dim SUSY version with see-saw)

$$w_l = y_e e^c(\varphi_T l) + y_\mu \mu^c(\varphi_T l)' + y_\tau \tau^c(\varphi_T l)'' + y(\nu^c l) + \text{ch. leptons}$$

$$+ (x_A \xi + \tilde{x}_A \tilde{\xi})(\nu^c \nu^c) + x_B(\varphi_S \nu^c \nu^c) + h.c. + \dots \quad \text{neutrinos}$$

shorthand: Higg, U(1) flavon  $\theta$ , and cut-off scale  $\Lambda$  omitted, e.g.:

$$y_e e^c(\varphi_T l) \sim y_e e^c(\varphi_T l) h_d \theta^4 / \Lambda^5$$

## Fields and their transformation properties

	$l$	$e^c$	$\mu^c$	$\tau^c$	$\nu^c$	$h_{u,d}$	$\theta$	$\varphi_T$	$\varphi_S$	$\xi$	$\varphi_0^T$	$\varphi_0^S$	$\xi_0$
$A_4$	3	1	1''	1'	3	1	1	3	3	1	3	3	1
$Z_3$	$\omega$	$\omega^2$	$\omega^2$	$\omega^2$	$\omega^2$	1	1	1	$\omega^2$	$\omega^2$	1	$\omega^2$	$\omega^2$
$U(1)_{FN}$	0	4	2	0	0	0	-1	0	0	0	0	0	0
$U(1)_R$	1	1	1	1	1	0	0	0	0	0	2	2	2

In the T-diagonal basis we have:

$$S' = \frac{1}{3} \begin{bmatrix} -1 & 2 & 2 \\ 2 & -1 & 2 \\ 2 & 2 & -1 \end{bmatrix} = V S V^\dagger \quad T' = \begin{bmatrix} 1 & 0 & 0 \\ 0 & \omega & 0 \\ 0 & 0 & \omega^2 \end{bmatrix} = V T V^\dagger \quad V = \frac{1}{\sqrt{3}} \begin{bmatrix} 1 & 1 & 1 \\ 1 & \omega^2 & \omega \\ 1 & \omega & \omega^2 \end{bmatrix}$$

$$V V^\dagger = V^\dagger V = 1$$

Cabibbo '78

For  $\mathbf{3}_1=(a_1,a_2,a_3)$ ,  $\mathbf{3}_2=(b_1,b_2,b_3)$  we have in  $\mathbf{3}_1 \times \mathbf{3}_2$ :

$$1 = a_1 b_1 + a_2 b_3 + a_3 b_2$$

$$1' = a_3 b_3 + a_1 b_2 + a_2 b_1$$

$$1'' = a_2 b_2 + a_1 b_3 + a_3 b_3$$

We will see that in this basis  
the charged leptons  
are diagonal

$$\mathbf{3}_{\text{symm}} \sim \frac{1}{3} (2a_1 b_1 - a_2 b_3 - a_3 b_2, 2a_3 b_3 - a_1 b_2 - a_2 b_1, 2a_2 b_2 - a_1 b_3 - a_3 b_1)$$

$$\mathbf{3}_{\text{antisymm}} \sim \frac{1}{2} (a_2 b_3 - a_3 b_2, a_1 b_2 - a_2 b_1, a_1 b_3 - a_3 b_1)$$

## Many versions of A4 models exist by now

- with dim-5 effective operators or with see-saw
- with SUSY or without SUSY
- in 4 dimensions or in extra dimensions
  - e.g G.A., Feruglio'05; G.A., Feruglio, Lin '06; Csaki et al '08.....
- with different solutions to the alignment problem
  - e.g Hirsch, Morisi, Valle '08
- with sequential (or form) dominance
  - e.g King'07 ; Chen, King '09
- with charged lepton hierarchy also following from a special alignment (no  $U(1)_{FN}$ ) Lin'08; GA, Meloni'09
- extension to quarks, possibly in a GUT context





## Extension to quarks

If we take all fermion doublets as 3 and all singlets as 1, 1', 1'' (as for leptons):  $Q_i \sim 3$ ,  $u^c, d^c \sim 1$ ,  $c^c, s^c \sim 1'$ ,  $t^c, b^c \sim 1''$

Then u and d quark mass matrices, like for charged leptons, are BOTH diagonal in the T-diagonal basis

As a result  $V_{\text{CKM}}$  is unity:  $V_{\text{CKM}} = U_u^\dagger U_d \sim 1$

So, in first approx. (broken by loops and higher dim operators),  $\nu$  mixings are TB and quark mixings  $\sim$  identity

Corrections are too small to reproduce quark mixings e.g.  $\lambda_c$  (for leptons, corrections cannot exceed  $o(\lambda_c^2)$ ). But even those are essentially the same for u and d quarks)

A4 is simple and economic for leptons

Aranda, Carone, Lebed  
Carr, Frampton  
Feruglio et al  
Chen, Mahanthappa

One would like to extend the model  
to quarks

Also one would like a GUT model with all fermion masses and mixings reproduced, which includes TB mixing for  $\nu$ 's from A4

The assignments  $Q_i \sim 3$ ,  $u^c, d^c \sim 1$ ,  $c^c, s^c \sim 1'$ ,  $t^c, b^c \sim 1''$  are not compatible with A4 commuting with SU(5).

For A4 to commute with SU(5) one needs

If  $l \sim 3$  then all  $F_i \sim 5_i^* \sim 3$ , so that  $d_i^c \sim 3$

if  $e^c, \mu^c, \tau^c \sim 1, 1'', 1'$  then all  $T_i \sim 1, 0_i \sim 1, 1'', 1'$


Widespread feeling that A4 cannot be unified in a satisfactory way.

We have produced a counterexample



Here is our  $A_4$  GUT model (0802.0090[hep-ph])


A SUSY SU(5) Grand Unified Model of  
Tri-Bimaximal Mixing from  $A_4$

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Abstract

We discuss a grand unified model based on SUSY SU(5) in extra dimensions and on the flavour group  $A_4 \times U(1)$  which, besides reproducing tri-bimaximal mixing for neutrinos with the accuracy required by the data, also leads to a natural description of the observed pattern of quark masses and mixings.

arXiv:0802.0090v1 [hep-ph] 1 Feb 2008



## SUSY-SU(5) GUT with A4

Key ingredients:

- SUSY

In general SUSY is crucial for hierarchy, coupling unification and p decay

Specifically it makes simpler to implement the required alignment

- GUT's in 5 dimensions

In general GUT's in ED are most natural and effective  
Here also contribute to produce fermion hierarchies

- Extended flavour symmetry:  $A_4 \times U(1) \times Z_3 \times U(1)_R$

$U(1)_R$  is a standard ingredient of SUSY GUT's in ED

Hall-Nomura'01



## GUT's in extra dimensions

- Minimal SUSY-SU(5), -SO(10) models are in trouble
- More realistic models are possible but they tend to be baroque (e.g. large Higgs representations)

Recently a new idea has been developed and looks promising:  
unification in extra dimensions

Kawamura  
GA, Feruglio  
Hall, Nomura;  
Hebecker, March-Russell;  
Hall, March-Russell, Okui, Smith  
Asaka, Buchmuller, Covi

•••

Virtues:

- No baroque large Higgs representations
- SUSY and SU(5) breaking by orbifolding
- Doublet-triplet splitting problem solved
- New handles for p decay, flavour hierarchies

Factorised metric

$$ds^2 = \eta_{\mu\nu} dx^\mu dx^\nu + h_{ij}(y) dy^i dy^j$$

The compactification

radius  $R \sim 1/M_{\text{GUT}}$  (not so large!)



# SUSY-SU(5) GUT with A4

## Key ingredients:

- GUT's in 5 dimensions

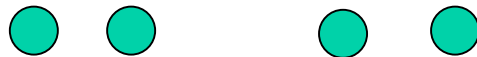
Froggatt-Nielsen

Reduces to R-parity when SUSY is broken at  $m_{\text{soft}}$

- Extended flavour symmetry:  $A_4 \times U(1) \times Z_3 \times U(1)_R$

Keeps  $\phi_S$  and  $\phi_T$  separate

Field	$N$	$F$	$T_1$	$T_2$	$T_3$	$H_5$	$H_{\bar{5}}$	$\varphi_T$	$\varphi_S$	$\xi, \tilde{\xi}$	$\theta$	$\theta''$	$\varphi_0^T$	$\varphi_0^S$	$\xi_0$
SU(5)	1	$\bar{5}$	10	10	10	5	$\bar{5}$	1	1	1	1	1	1	1	1
$A_4$	3	3	$1''$	$1'$	1	1	$1'$	3	3	1	1	$1''$	3	3	1
U(1)	0	0	3	1	0	0	0	0	0	0	-1	-1	0	0	0
$Z_3$	$\omega$	$\omega$	$\omega$	$\omega$	$\omega$	$\omega$	$\omega$	1	$\omega$	$\omega$	1	1	1	$\omega$	$\omega$
$U(1)_R$	1	1	1	1	1	0	0	0	0	0	0	0	2	2	2



U(1) breaking flavons

driving fields for alignment



● : in bulk

ED effects contribute to the fermion mass hierarchies

A bulk field is related to its zero mode by:  $B = \frac{1}{\sqrt{\pi R}} B^0 + \dots$

This produces a suppression parameter for couplings with bulk fields

$$s \equiv \frac{1}{\sqrt{\pi R \Lambda}} < 1$$

$\Lambda$ : UV cutoff

- In bulk: N=2 SUSY Yang-Mills fields +  $H_5, H_5^{\text{bar}} + T_1, T_2, T_1', T_2'$   
(doubling of bulk fermions to obtain chiral massless states at  $y=0$ )  
also crucial to avoid too strict mass relations for 1,2 families:  
(b- $\tau$  unification only for 3rd family)
- All other fields on brane at  $y=0$  (in particular N, F,  $T_3$ )



## Superpotential terms on the brane ( $T_{1,2}$ represent either $T_{1,2}$ or $T'_{1,2}$ )

### Up masses

$$\begin{aligned}
 w_{up} = & \frac{1}{\Lambda^{1/2}} H_5 T_3 T_3 + \frac{\theta''}{\Lambda^2} H_5 T_2 T_3 + \frac{\theta''^2}{\Lambda^{7/2}} H_5 T_2 T_2 + \frac{\theta \theta''^2}{\Lambda^4} H_5 T_1 T_3 \\
 & + \frac{\theta^4}{\Lambda^{11/2}} H_5 T_1 T_2 + \frac{\theta \theta''^3}{\Lambda^{11/2}} H_5 T_1 T_2 + \frac{\theta^5 \theta''}{\Lambda^{15/2}} H_5 T_1 T_1 + \frac{\theta^2 \theta''^4}{\Lambda^{15/2}} H_5 T_1 T_1
 \end{aligned}$$

### Down and charged lepton masses

$$\begin{aligned}
 w_{down} = & \frac{1}{\Lambda^{3/2}} H_{\bar{5}} (F \varphi_T)'' T_3 + \frac{\theta}{\Lambda^3} H_{\bar{5}} (F \varphi_T)' T_2 + \frac{\theta^3}{\Lambda^5} H_{\bar{5}} (F \varphi_T) T_1 + \frac{\theta''^3}{\Lambda^5} H_{\bar{5}} (F \varphi_T) T_1 \\
 & + \frac{\theta''}{\Lambda^3} H_{\bar{5}} (F \varphi_T)'' T_2 + \frac{\theta^2 \theta''}{\Lambda^5} H_{\bar{5}} (F \varphi_T)' T_1 + \frac{\theta \theta''^2}{\Lambda^5} H_{\bar{5}} (F \varphi_T)'' T_1 + \dots \quad ,
 \end{aligned}$$

### Neutrino masses from see-saw

(correct relation between  $m_\nu$  and  $M_{GUT}$ )

$$w_\nu = \frac{y^D}{\Lambda^{1/2}} H_5 (NF) + (x_a \xi + \tilde{x}_a \tilde{\xi}) (NN) + x_b (\varphi_S NN)$$





$$m_u = \begin{pmatrix} s^2 t^5 t'' + s^2 t^2 t''^4 & s^2 t^4 + s^2 t t''^3 & s t t''^2 \\ s^2 t^4 + s^2 t t''^3 & s^2 t''^2 & s t'' \\ s t t''^2 & s t'' & 1 \end{pmatrix} s v_u^0 \sim \begin{pmatrix} \lambda^8 & \lambda^6 & \lambda^4 \\ \lambda^6 & \lambda^4 & \lambda^2 \\ \lambda^4 & \lambda^2 & 1 \end{pmatrix} \lambda v_u^0$$

dots=0 in 1st approx

fixed by higher dim operators & corrections to alignment (see later)

$$m_d = \begin{pmatrix} s t^3 + s t''^3 & \dots & \dots \\ s t^2 t'' & s t & \dots \\ s t t''^2 & s t'' & 1 \end{pmatrix} v_T s v_d^0 \sim \begin{pmatrix} \lambda^4 & \dots & \dots \\ \lambda^4 & \lambda^2 & \dots \\ \lambda^4 & \lambda^2 & 1 \end{pmatrix} v_T \lambda v_d^0$$

$$m_e = \begin{pmatrix} s t^3 + s t''^3 & s t^2 t'' & s t t''^2 \\ \dots & s t & s t'' \\ \dots & \dots & 1 \end{pmatrix} v_T s v_d^0 \sim \begin{pmatrix} \lambda^4 & \lambda^4 & \lambda^4 \\ \dots & \lambda^2 & \lambda^2 \\ \dots & \dots & 1 \end{pmatrix} v_T \lambda v_d^0$$

with

$$\frac{\langle \varphi_T \rangle}{\Lambda} = (v_T, 0, 0) \quad , \quad \frac{\langle \varphi_S \rangle}{\Lambda} = (v_S, v_S, v_S) \quad , \quad \frac{\langle \xi \rangle}{\Lambda} = u \quad \frac{\langle \theta \rangle}{\Lambda} = t \quad , \quad \frac{\langle \theta'' \rangle}{\Lambda} = t''$$



$$s \sim t \sim t'' \sim \lambda \sim 0.22$$

$$v_T \sim \lambda^2 \sim m_b / m_t$$

$$v_S, u \sim \lambda^2$$

For  $\nu$ 's after see-saw

$$m_\nu = \frac{1}{3a(a+b)} \begin{pmatrix} 3a+b & b & b \\ b & \frac{2ab+b^2}{b-a} & \frac{b^2-ab-3a^2}{b-a} \\ b & \frac{b^2-ab-3a^2}{b-a} & \frac{2ab+b^2}{b-a} \end{pmatrix} \frac{s^2(v_u^0)^2}{\Lambda}$$

with

$$a \equiv \frac{2x_a u}{(y^D)^2}, \quad b \equiv \frac{2x_b v_S}{(y^D)^2}$$

$m_\nu$  is of the form

$$m = \begin{pmatrix} x & y & y \\ y & x+v & y-v \\ y & y-v & x+v \end{pmatrix} \rightarrow U = \begin{pmatrix} \sqrt{2/3} & 1/\sqrt{3} & 0 \\ -1/\sqrt{6} & 1/\sqrt{3} & -1/\sqrt{2} \\ -1/\sqrt{6} & 1/\sqrt{3} & +1/\sqrt{2} \end{pmatrix}$$

with

charged lepton diagonalization for dots=0  
contributes  $\lambda^4, \lambda^8, \lambda^4$  terms to 12, 13, 23

$$\oplus \quad m_1 = \frac{1}{(a+b)}, \quad m_2 = \frac{1}{a}, \quad m_3 = \frac{1}{(b-a)} \quad \text{or} \quad \frac{2}{m_2} = \frac{1}{m_1} - \frac{1}{m_3}$$

Finally:

By taking  $s \sim t \sim t'' \sim \lambda \sim 0.22$        $v_T \sim \lambda^2 \sim m_b/m_t$        $v_{S, u} \sim \lambda^2$

a good description of all quark and lepton masses is obtained.  
As for all U(1) models only  $o(\lambda^p)$  predictions can be given  
(modulo  $o(1)$  coeff.s)

TB mixing for neutrinos is reproduced in first approximation

Quark hierarchies force corrections to TB mixing to be  $o(\lambda^2)$   
( in particular we predict  $\theta_{13} \sim o(\lambda^2)$ , accessible at T2K).

A moderate fine tuning is needed to fix  $\lambda_c$  and  $r$  (nominally  
of  $o(\lambda^2)$  and 1 respectively)

Normal or inverse hierarchy are possible, degenerate  $v$ 's

⊕ are excluded

Thus:

The A4 approach to TB neutrino mixing is shown to be compatible with quark masses and mixings in a GUT model

The unification with quarks fixes the size of the expected deviations from TB mixing: all mixing angles should deviate by  $o(\lambda^2)$  from the TB values

A normal or inverse hierarchy spectrum is indicated with

$$\frac{2}{m_2} = \frac{1}{m_1} - \frac{1}{m_3}$$



But agreement with TB mixing could be accidental

If  $\theta_{13}$  is found near its present bound this would hint that TB is accidental and bimaximal mixing (BM) could be a better first approximation

There is an intriguing empirical relation:

$$\theta_{12} + \theta_C = (47.0 \pm 1.7)^\circ \sim \pi/4 \quad \text{Raidal'04}$$

Suggests bimaximal mixing in 1st approximation, corrected by charged lepton diagonalization.

Recall that

$$\lambda_C \approx 0.22 \text{ or } \sqrt{\frac{m_\mu}{m_\tau}} \approx 0.24 \quad \lambda_C = \sin\theta_C$$

While  $\theta_{12} + o(\theta_C) \sim \pi/4$  is easy to realize, exactly

$\theta_{12} + \theta_C \sim \pi/4$  is more difficult: no compelling model  
Minakata, Smirnov'04



Taking the “complementarity” relation seriously:

$$\theta_{12} + \theta_C = (47.0 \pm 1.7)^\circ \sim \pi/4 \quad \text{Raidal'04}$$

leads to consider models that give  $\theta_{12} = \pi/4$  but for corrections from the diag'tion of charged leptons

$$U_{PMNS} = U_\ell^\dagger U_\nu$$

Recall:

$$\lambda_C \approx 0.22 \text{ or } \sqrt{\frac{m_\mu}{m_\tau}} \approx 0.24$$

Examples:

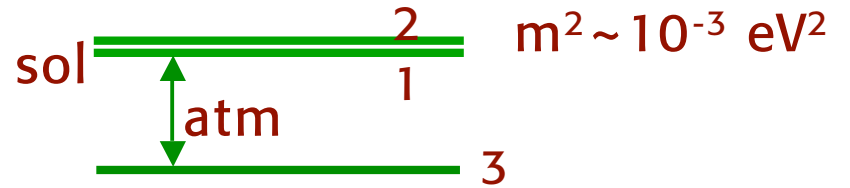
- $L_e - L_\mu - L_\tau$  symmetry
- Bimaximal mixing (BM)

Normally one obtains  $\theta_{12} + o(\theta_C) \sim \pi/4$  “weak compl.” rather than  $\theta_{12} + \theta_C \sim \pi/4$



# $L_e-L_\mu-L_\tau$ symm. & inverted Hierarchy

Zee, Joshipura et al;  
 Mohapatra et al; Jarlskog et al;  
 Frampton, Glashow; Barbieri et al  
 Xing; Giunti, Tanimoto.....



An interesting model:

An exact  $U(1)$   $L_e-L_\mu-L_\tau$  symmetry for  $m_\nu$  predicts:

( a good 1<sup>st</sup> approximation)

$$m_\nu = U m_{\nu\text{diag}} U^T = m \begin{bmatrix} 0 & 1 & x \\ 1 & 0 & 0 \\ x & 0 & 0 \end{bmatrix} \quad \text{with} \quad m_{\nu\text{diag}} = \begin{bmatrix} m' & 0 & 0 \\ 0 & -m' & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

•  $\theta_{13} = 0$

•  $\theta_{12} = \pi/4$

•  $\tan^2 \theta_{23} = x^2$

$\theta_{\text{sun}}$  maximal!

$\theta_{\text{atm}}$  generic

Bimixing  
 would also  
 need  $\mu-\tau$   
 symm. in  $m_\nu$

Can arise from see-saw or dim-5  $L^T H H^T L$

- 1-2 degeneracy stable under rad. corr.'s



1<sup>st</sup> approximation

$$m_{\nu\text{diag}} = \begin{bmatrix} m' & 0 & 0 \\ 0 & -m' & 0 \\ 0 & 0 & 0 \end{bmatrix} \quad m_\nu = U m_{\nu\text{diag}} U^T = m \begin{bmatrix} 0 & 1 & x \\ 1 & 0 & 0 \\ x & 0 & 0 \end{bmatrix}$$

- Data? This texture prefers  $\theta_{\text{sol}}$  closer to maximal than  $\theta_{\text{atm}}$

In fact: 12  $\rightarrow$   $\begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$   $\rightarrow$  Pseudodirac  $\theta_{12}$  maximal  $\quad$  23  $\rightarrow$   $\begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix}$   $\rightarrow$   $\theta_{23} \sim o(1)$

With HO corrections:  $\begin{bmatrix} 0 & 1 & x \\ 1 & 0 & 0 \\ x & 0 & 0 \end{bmatrix}$   $\rightarrow$   $\begin{bmatrix} \delta & 1 & 1 \\ 1 & \eta & \eta \\ 1 & \eta & \eta \end{bmatrix}$  (modulo  $o(1)$  coeff.s)

one gets  $1 - \text{tg}^2 \theta_{12} \sim o(\delta + \eta) \sim (\Delta m^2_{\text{sol}} / \Delta m^2_{\text{atm}})$

Exp. ( $3\sigma$ ): 0.46-0.70 0.025-0.039

- In principle one can use the charged lepton mixing to go away from  $\theta_{12}$  maximal.

In practice constraints from  $\theta_{13}$  small ( $\delta\theta_{12} \sim \theta_{13}$ )

Frampton et al; GA, Feruglio, Masina '04  $\rightarrow$





Suggests that deviations from BiMaximal mixing arise from charged lepton diagonalisation (BM:  $\theta_{12} = \theta_{23} = \pi/4$   $\theta_{13} = 0$ )

$$\tilde{U} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{-i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$U = U_e^\dagger U_\nu = \underbrace{\tilde{U}_e^\dagger \text{diag}(-e^{-i(\alpha_1+\alpha_2)}, -e^{-i\alpha_2}, 1)}_{=\bar{U}} \tilde{U}_\nu$$

GA, Feruglio, Masina  
Frampton et al  
Petcov et al  
King  
Antusch et al.....

$$\bar{U}_{12} = -\frac{e^{-i(\alpha_1+\alpha_2)}}{\sqrt{2}} + \frac{s_{12}^e e^{-i\alpha_2} + s_{13}^e e^{i\delta_e}}{2}$$

$$\bar{U}_{13} = \frac{s_{12}^e e^{-i\alpha_2} - s_{13}^e e^{i\delta_e}}{\sqrt{2}}$$

$$\bar{U}_{23} = -e^{-i\alpha_2} \frac{1 + s_{23}^e e^{i\alpha_2}}{\sqrt{2}}$$

Corr.'s from  $s_{12}^e, s_{13}^e$  to  
 $U_{12}$  and  $U_{13}$  are of first order  
(2nd order to  $U_{23}$ )

For the corrections from the charged lepton sector,  
typically  $|\sin\theta_{13}| \sim (1 - \tan^2\theta_{12})/4\cos\delta \sim 0.15$

Needs  $\theta_{13}$  near its upper bound



Here we construct a model where BM mixing holds in 1st approximation and is then corrected by terms  $o(\lambda_C)$  from diagonalisation of charged leptons

## Revisiting Bimaximal Neutrino Mixing in a Model with $S_4$ Discrete Symmetry

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## BM mixing

$$\theta_{12} = \theta_{23} = \pi/4, \theta_{13} = 0$$

$$U_{BM} = \begin{pmatrix} \frac{1}{\sqrt{2}} & -\frac{1}{\sqrt{2}} & 0 \\ \frac{1}{2} & \frac{1}{2} & -\frac{1}{\sqrt{2}} \\ \frac{1}{2} & \frac{1}{2} & \frac{1}{\sqrt{2}} \end{pmatrix}$$



By adding  $\sin^2\theta_{12} \sim 1/2$  to  $\theta_{13} \sim 0$ ,  $\theta_{23} \sim \pi/4$ :

### Bimaximal Mixing

$$m_\nu = \begin{bmatrix} x & y & y \\ y & z & w \\ y & w & z \end{bmatrix}$$

$$m_{\nu BM} = \begin{pmatrix} x & y & y \\ y & z & x - z \\ y & x - z & z \end{pmatrix}$$

$$\sin^2 2\theta_{12} = \frac{8y^2}{(x - w - z)^2 + 8y^2}$$

$$m_1 = x + \sqrt{2}y$$

$$m_2 = x - \sqrt{2}y$$

$$m_3 = 2z - x$$

BM corresponds to  $\tan^2\theta_{12}=1$   
 while exp.:  $\tan^2\theta_{12}=0.45 \pm 0.04$   
 so a large correction is needed

The 3 remaining parameters  
 are the mass eigenvalues



## Bimaximal Mixing

In the basis of diagonal ch. leptons:

$$m_\nu = U \text{diag}(m_1, m_2, m_3) U^\top$$

$$U_{BM} = \begin{pmatrix} \frac{1}{\sqrt{2}} & -\frac{1}{\sqrt{2}} & 0 \\ \frac{1}{2} & \frac{1}{2} & -\frac{1}{\sqrt{2}} \\ \frac{1}{2} & \frac{1}{2} & \frac{1}{\sqrt{2}} \end{pmatrix}$$



$$m_{\nu BM} = \left[ \frac{m_3}{2} M_3 + \frac{m_2}{4} M_2 + \frac{m_1}{4} M_1 \right]$$

$$M_3 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & -1 \\ 0 & -1 & 1 \end{pmatrix}, M_2 = \begin{pmatrix} 2 & -\sqrt{2} & -\sqrt{2} \\ -\sqrt{2} & 1 & 1 \\ -\sqrt{2} & 1 & 1 \end{pmatrix}, M_1 = \begin{pmatrix} 2 & \sqrt{2} & \sqrt{2} \\ \sqrt{2} & 1 & 1 \\ \sqrt{2} & 1 & 1 \end{pmatrix}$$

**Eigenvectors:**  $(\sqrt{2}, 1, 1)/2$ ,  $(-\sqrt{2}, 1, 1)/2$ ,  $(0, 1, -1)/\sqrt{2}$ .



BM mixing corresponds to  $m$  in the basis where charged leptons are diagonal

$$m_{\nu BM} = \begin{pmatrix} x & y & y \\ y & z & x - z \\ y & x - z & z \end{pmatrix}$$

$m$  is the most general matrix invariant under  $S$  and  $A_{23}$  with:

$$S = \begin{pmatrix} 0 & -\frac{1}{\sqrt{2}} & -\frac{1}{\sqrt{2}} \\ -\frac{1}{\sqrt{2}} & \frac{1}{2} & -\frac{1}{2} \\ -\frac{1}{\sqrt{2}} & -\frac{1}{2} & \frac{1}{2} \end{pmatrix} \quad A_{23} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix} \quad \begin{array}{l} \text{2-3} \\ \text{symmetry} \end{array}$$

Invariance under  $S$  can be made automatic in  $S_4$  while invariance under  $A_{23}$  happens if the flavon content is suitable



**S4:** Group of permutations of 4 objects (24 transformations)

Irreducible representations: 1, 1', 2, 3, 3'

$$S^2 = T^4 = (ST)^3 = (TS)^3 = 1$$

**1**  $T = 1$   $S = 1$

**2**  $T = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$   $S = \frac{1}{2} \begin{pmatrix} -1 & \sqrt{3} \\ \sqrt{3} & 1 \end{pmatrix}$

**3**  $T = \begin{pmatrix} -1 & 0 & 0 \\ 0 & -i & 0 \\ 0 & 0 & i \end{pmatrix}$   $S = \begin{pmatrix} 0 & -\frac{1}{\sqrt{2}} & -\frac{1}{\sqrt{2}} \\ -\frac{1}{\sqrt{2}} & \frac{1}{2} & -\frac{1}{2} \\ -\frac{1}{\sqrt{2}} & -\frac{1}{2} & \frac{1}{2} \end{pmatrix}$

1  $\leftrightarrow$  1' and 3  $\leftrightarrow$  3' by changing S, T  $\leftrightarrow$  -S, -T



## Symmetry: $S_4 \times Z_4 \times U(1)_{FN} \times U(1)_R$

	$l$	$e^c$	$\mu^c$	$\tau^c$	$\nu^c$	$h_{u,d}$	$\theta$	$\varphi_l$	$\chi_l$	$\psi_l^0$	$\chi_l^0$	$\xi_\nu$	$\varphi_\nu$	$\xi_\nu^0$	$\varphi_\nu^0$
$S_4$	3	1	1'	1	3	1	1	3	3'	2	3'	1	3	1	3
$Z_4$	1	-1	-i	-i	1	1	1	i	i	-1	-1	1	1	1	1
$U(1)_{FN}$	0	2	1	0	0	0	-1	0	0	0	0	0	0	0	0
$U(1)_R$	1	1	1	1	1	1	0	0	0	2	2	0	0	2	2

$$w_l = \frac{y_e^{(1)} \theta^2}{\Lambda^2 \Lambda^2} e^c (l \varphi_l \varphi_l) + \frac{y_e^{(2)} \theta^2}{\Lambda^2 \Lambda^2} e^c (l \chi_l \chi_l) + \frac{y_e^{(3)} \theta^2}{\Lambda^2 \Lambda^2} e^c (l \varphi_l \chi_l) + \frac{y_\mu \theta}{\Lambda \Lambda} \mu^c (l \chi_l)' + \frac{y_\tau}{\Lambda} \tau^c (l \varphi_l) + \dots$$

$$w_\nu = y(\nu^c l) + M\Lambda(\nu^c \nu^c) + a(\nu^c \nu^c \xi_\nu) + b(\nu^c \nu^c \varphi_\nu) + \dots \quad \leftarrow \text{see-saw}$$

$$\frac{\langle \varphi_\nu \rangle}{\Lambda} = \begin{pmatrix} 0 \\ 1 \\ -1 \end{pmatrix} C \quad \frac{\langle \xi_\nu \rangle}{\Lambda} = D \quad \frac{\langle \varphi_l \rangle}{\Lambda} = \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} A \quad \frac{\langle \chi_l \rangle}{\Lambda} = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} B$$

⊕ Alignment along minimum of most general potential in LO



In leading order charged leptons are diagonal

$$m_l = \begin{pmatrix} (y_e^{(1)} B^2 - y_e^{(2)} A^2 + y_e^{(3)} AB)t^2 & 0 & 0 \\ 0 & y_\mu B t & 0 \\ 0 & 0 & y_\tau A \end{pmatrix} v_d$$

$$\frac{\langle \theta \rangle}{\Lambda} = t$$

$U(1)_{\text{FN}}$  flavon VEV

and neutrinos show BM mixing

$$m_\nu^D = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix} y v_u$$

$$M_N = \begin{pmatrix} 2M + 2aD & -2bC & -2bC \\ -2bC & 0 & 2M + 2aD \\ -2bC & 2M + 2aD & 0 \end{pmatrix} \Lambda$$

Dirac

Majorana

$$|m_1| = \frac{|y^2|v_u^2}{2|M + aD - \sqrt{2}bC|} \frac{1}{\Lambda} \quad |m_2| = \frac{|y^2|v_u^2}{2|M + aD + \sqrt{2}bC|} \frac{1}{\Lambda} \quad |m_3| = \frac{|y^2|v_u^2}{2|M + aD|} \frac{1}{\Lambda}$$

$$A \sim B \sim v, \quad C \sim D \sim v'$$



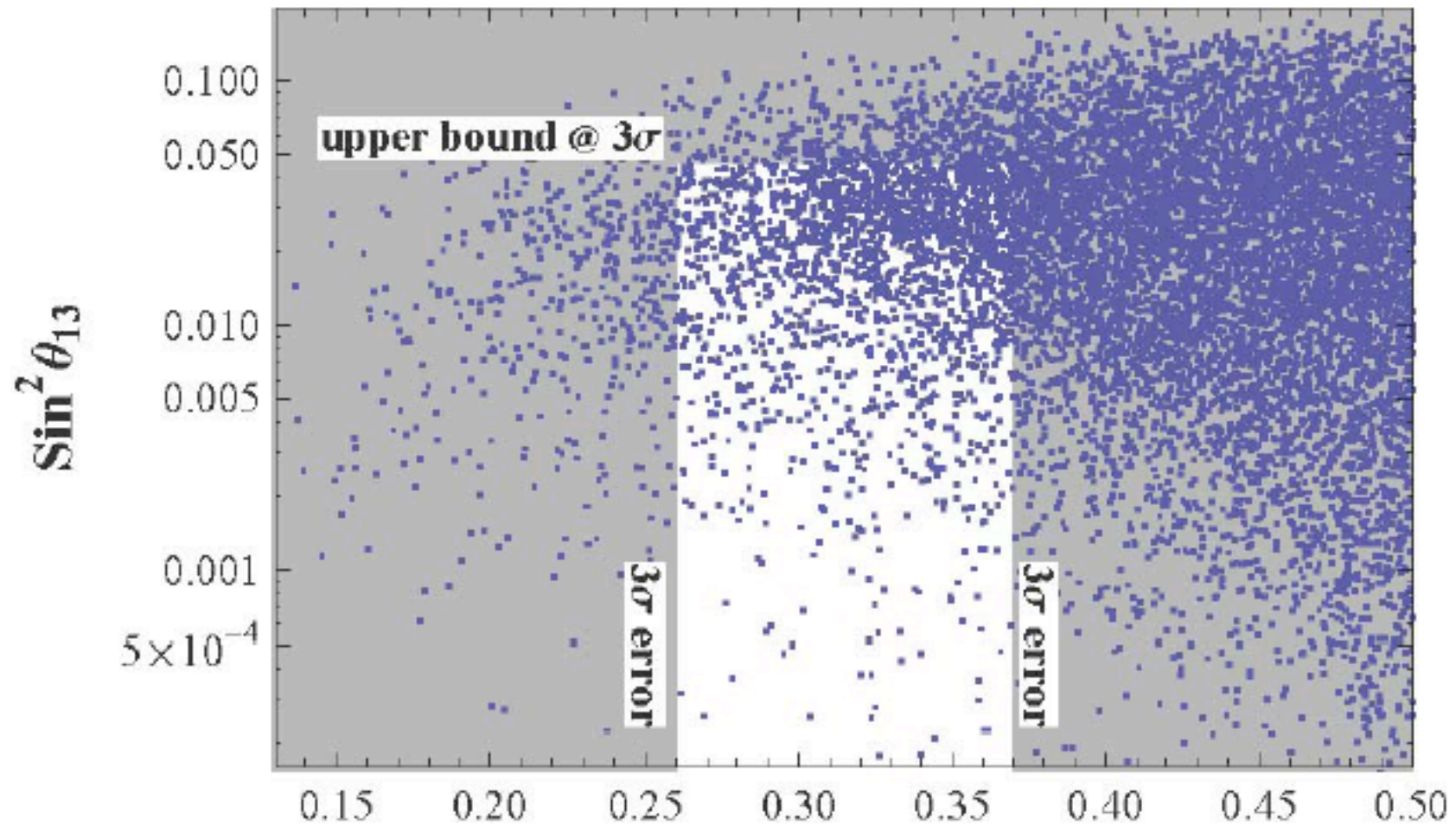
In this model BM mixing is exact at LO

For the special flavon content chosen, at NLO  $\theta_{12}$  and  $\theta_{13}$  are corrected only from the charged lepton sector by terms of  $o(\lambda_C)$  (large correction!) while  $\theta_{23}$  gets smaller corrections at NNLO(great!)

[for a generic flavon content also  $\delta\theta_{23} \sim o(\lambda_C)$ ]

An experimental indication for this model would be that  $\theta_{13}$  is found near its present bound at T2K, CHOOZ2.....





$$\sin^2 \theta_{12} = \frac{1}{2} - \frac{1}{\sqrt{2}}(V_{12} + V_{13})v'$$

$$\sin \theta_{13} = \frac{1}{\sqrt{2}}(V_{12} - V_{13})v'$$

$$\text{Sin}^2 \theta_{12}$$

$$|V_{ij}| = 0-2, v' = 0.15$$



# Conclusion

Model building covers a wide spectrum.  
Extremes:

No order  $\rightarrow$  Anarchy

No symmetry, no dynamics assumed, only chance

Maximum order  $\rightarrow$  Tri-bimaximal mixing

$$U = \begin{bmatrix} \frac{\sqrt{2}}{\sqrt{3}} & \frac{1}{\sqrt{3}} & 0 \\ \frac{-1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{-1}{\sqrt{2}} \\ \frac{-1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \end{bmatrix}$$

Specific flavour symmetry: e.g. A4



Indeed the observed pattern of neutrino masses can be accommodated in different models

For example, TB mixing from  $A_4$  with small corrections or BM with large corrections from charged lepton diag.

Quark and lepton mixings can be described together and GUT schemes are also possible

But, with many different alternatives that may work, no compelling illumination about the dynamics of flavour has emerged so far.

