

3D Silicon Sensors

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3D sensor was first proposed in 1994 by S. Parker, C. Kenney, J. Segal NIM A 395 (1997) 328

Interest from HEP experiments lead to a massive R&D and industrialization effort

Stanford Nanofabrication Facility, SINTEF, FBK, CNM are the fabricators

Until eventually 3D Sensors were installed in ATLAS IBL detector

First use of 3D sensors in a HEP experiment

Other Installations

ATLAS AFP, CMS TOTEM

R&D continued after the IBL project,

Example further development by UNM/UTN/FBK collaboration

Leading to the HL-LHC Phase II Upgrades

New Very Demanding Requirements



3D Sensor Design

- 3D sensor
 - Electrode distance decoupled from the sensitive detector thickness
 - More Radiation Hard
 - Lower Depletion Voltage
 - -> less power dissipation, cooling
 - Shorter drift distance
 - -> faster charge collection
 - -> less charge trapping
 - Allows for Active or Slim edges
- 3D sensor challenges
 - Complex production process
 - -> long production time
 - -> lower yield
 - -> higher cost
 - Higher Capacitance
 - -> higher noise



Layout cross-sections emphasizing the decoupling of the active thickness (Δ) and collection distance (L) in 3D sensors NIM A 694 (2012) 321



3D Sensor R&D Technologies



C. Kenney et al., IEEE TNS 48 (2001) 2405

Single-sided process ('Full 3D')

Both column types (n,p) etched from top Needs support wafer -> removal needed Bias to be applied to top side

-> Overhanging bias tab, top side biasing Allows active edges

-> only few μm of inactive material

n columns etched from top, p from the back

FBK: passing through columns, p-spray CNM: non passing through columns, p-stop No support wafer needed No bias tab, bias applied to back side

-> reduced processing complexity

Allows Slim Edges

FBK: p+ guard fence -> ~ 100 μm inactive Martin Hoeferkamp Up fence + guard rings -> ~ 200 μm **111**

3D Sensor R&D Performance



- Signal efficiency of 60 70% was achieved at 5x10¹⁵ neq/cm²
 Vbias = 160V, for both single & double-sided sensors
- Signal efficiency ~ 30% at 2x10¹⁶ neq/cm² with Vbias = 200V Martin II



 Signal efficiency improves with decreasing electrode distance L



Double-sided 3D sensors, without a support wafer offered:

- reduced process complexity,
- faster production times

Bias can be applied to the sensor back-side:

- assembly within pixel detector systems is much easier front-side layout is simpler
- Although active edges are not feasible,

very compact slim edges are (<200 μ m)



ATLAS IBL 3D Sensor Production





IBL 3D sensor decision 2011

- FE-I4 geometry: 80x336 pixels of 250x50 µm²
- 2 n+ junction columns/pixel (2E) surrounded by 6 p+ ohmic columns, 230 µm p-substrate L=67 µm
- Slim edge of 200 µm along columns

Technology

- FBK:
 - Passing-through columns
 - P+ guard fence
 - Sensor QA selection from IV on temporary metal
- CNM:
 - Columns $\sim 20 \,\mu m$ shorter than the thickness
 - P+ guard fence + 3D guard ring
 - Sensor selection from IV on guard ring



ATLAS IBL Detector



- a) Stave layout with the organization of planar and 3D sensor modules
- b) Layout of the IBL detector with the 14 staves around the IBL positioning tube (IPT)
- c) One stave side where a 3D sensor module is visible

G. Darbo, JINST 10 (2015) C05001

- ATLAS Pixel Detector first upgrade during long shutdown 1 (2013-2015): new pixel layer at 3.3 cm
- FEI4: largest pixel front-end chip
- Designed for radiation levels of 5x10¹⁵ neq/cm², 250 Mrad
- Sensor technology decision
 - 75% planar sensors, n-in-n
 200 μm thick (CIS)
 - 25% 3D sensors, doublesided 230 μm thick (FBK +CNM)



IBL 3D Sensors: Radiation Hardness



Planar Sensors (200 µm), Thr. 1400-1600 e



- Radiation hardness tests at 5x10¹⁵ neq/cm²
- 3D Sensors
 - Fully efficient at Vbias = 160V, 15° inclined
 - Power dissipation ~15mW/ cm² at T=-15C
- Planar Sensors
 - Require Vbias = 1000V for similar efficiency
 - Power dissipation
 - ~90 mW/cm² at T=-15C

Advantage of 3D at high radiation fluence: lower operating voltages and lower power for similar signals to planar

Martin Hoeferkamp, UNM

IBL 3D Sensors: Noise, Breakdown Voltage

G. Darbo, JINST 10 (2015) C05001



Planar modules have an average
noise that is 30 e⁻ lower than 3D,
due to the lower capacitance of
planar pixel sensors of 110 fF vs to
169 fF for 3D sensors

 Breakdown voltage (Vbd) for CNM sensors is higher before irradiation than for FBK sensors, and slightly higher after IBL lifetime dose 5x10¹⁵ neq/cm²

Advantage of Planar sensors:

lower capacitance and lower noise higher breakdown voltage



- In general 3D sensor technology is quite complicated:
- Critical process steps like DRIE (Deep Reactive Ion Etching) for etching the columns can induce major bulk damage
- Process defects are difficult to spot if they are deep in the bulk
- Even a single defect can spoil an entire sensor
- Need for accurate I-V testing on wafer
- Without a support wafer, double-sided wafers are more fragile:
- mechanical yield is an issue
- great care to be taken, especially in wafer edge protection
 Wafer bowing can be pronounced:
- impacts on alignment quality and bump bonding feasibility
- can affect electrical characteristics and yield

- Since 2012 Univ. of New Mexico has been collaborating with Univ. of Trento and FBK in an experimental study of 3D sensors with layout and process modifications aimed at breakdown voltage (Vbd) improvement.
- This study included a total of 122 samples from two production batches differing in geometries and fabrication details, and several irradiation campaigns with different radiation types.



2016 JINST 11 P09006

Layout cross sections of the 3D sensors under study: (left) devices from the ATLAS10 batch of the IBL production, with passing-through junction (n) electrodes; (right) devices from DTC5 R&D batch, with partially-through junction electrodes. In both cases the ohmic (p) electrodes are passing-through

Motivations for the study:

- The Vbd of 3D sensors is lower than for planar sensors due to process & design constraints
- Before irradiation not a problem because Vbd is >> full depletion voltage (Vfd)
- After irradiation both Vbd and Vfd increase but to a different extent depending on the structures, irradiation scenarios and annealing conditions
 - The increase in Vfd depends on the bulk radiation damage, can be predicted analytically (Hamburg Model)
 - The increase in Vbd depends on both bulk & surface damage, very hard to predict
 - TCAD simulations are accurate for non-irradiated sensors, but much less accurate for irradiated sensors due to lack of models incorporating both bulk & surface damage (these are under development by several groups)
- For FBK IBL devices irradiated to high fluences, the margin between the operational voltage and the Vbd (for the required 97% eff) was quite narrow
- For FBK IBL devices irradiated with protons to 2x10^16 neq/cm^2, the Vbd was less than the Vfd
- For HL-LHC upgrades improvement of the 3D sensor Vbd is necessary

Devices used in the study:





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The device geometries with sketches of the column configuration showing the inter-electrode spacing

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Layout of the corner regions of the 3D diodes examined, with indication of the main features

Irradiations:

| Samples | ATLAS10 | | DTC5 | | | |
|------------------------|----------|----------|-----------|----------|---------------------|------------|
| | 80B | FEI4 | FEI4 | CMS | 2016 JINST 11 P0900 | |
| Irradiation | | | | | Fluence (neq/cm^2) | TID (Mrad) |
| X-ray@Legnaro | 7 (UTN) | 6 (UTN) | 4 (UTN) | - | | 1,2 |
| γ-ray@Sandia | - | - | 5 (UNM) | - | | 100 |
| Neutrons@JSI | 12 (UTN) | 9 (UTN) | 4 (UTN) | - | 5x10^15 | 5.5 |
| Neutrons@Sandia | - | - | 14 (UNM) | - | 1x10^16 | 6 |
| 25 MeV protons@KIT | - | - | 4 (UTN) | - | 1x10^16 | 1500 |
| 800 MeV protons@LANSCE | 6 (UNM) | 4 (UNM) | 14 (UNM) | 10 (UTN) | | |
| | +6 (UTN) | +5 (UTN) | +12 (UTN) | | 5.5x10^15 | 232 |

All 122 samples indicating where they were irradiated and measured, fluences

Tests:

- Some devices were tested at the Univ. of New Mexico (UNM) and others at the Univ. of Trento (UTN)
- The focus was mainly on high-field effects on the breakdown voltage (Vbr) behavior although other parameters such as leakage current and depletion voltage were measured.



The Vbd behavior does not lend itself to a straightforward interpretation because it strongly depends on sensor geometry and the irradiation scenario.

- The ATLAS10 devices have lower Vbd than the DTC5 devices
- X-ray and Gamma irradiations show the increase of oxide charge and interface state densities with TID lowers the electric field peaks on both the front and back sides thus improving the Vbd
- In ATLAS10 devices Vbd mainly due to damage on the back side, at the junction of the n+ column and the p-spray
- For neutron irradiation Vbd decrease is more pronounced at the lowest fluence because of lower TID than for proton irradiation
- In DTC5 devices Vbd occurs at the front side or at the junction column tips (~250V)

Conclusions:

- Both ATLAS10 and DTC5 sensors are suitable for proton fluences of the order of 5x10¹⁵ neq/cm², but not for fluences of the order of 1x10¹⁶ neq/cm²
- But devices with partially-through n-columns result in much higher
 Vbd than those with passing-through n-columns
- Vbd prevents them from operation at optimal bias voltage (> Vfd) unless the inter-electrode spacing is reduced
- 3D sensors at the HL-LHC involve radiation fluences up to 2x10¹⁶ neq/ cm² and small-pitch pixels (e.g., 50x50 μm² with L= ~35μm or 25x100 μm² with L= ~28μm)
- This will significantly reduce the depletion voltage and make future 3D sensors more robust against charge trapping, but it could also cause higher electric fields and Vbd reduction

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Investigation of leakage current and breakdown voltage in irradiated double-sided 3D silicon sensors

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- Increased luminosity requires
 - higher hit-rate capability
 - increased granularity
 - higher radiation tolerance
 - Lower mass detectors
- Next generation FE chip (RD53 65 nm)
 - 50x50 μm^2 and 25x100 μm^2 pixels
 - $C_{DET} \le 100 \text{fF}$
 - − Ileak \leq 10 nA/pixel
 - Threshold: ~1000 electrons
- Implications for 3D sensors
 - thinner sensors
 - narrower electrodes
 - reduced electrode spacing
 - very slim (or active) edges



February 2016, 10 wafers, p-type, SiSi Direct Wafer Bonded, 100 and 130 um active thickness

Handle wafer to be thinned down G.-F. Dalla Betta (UTN)

G.-F. Dalla Betta (UTN)

Date(m/d/

VEGA3 TESCAN

- Thin sensors on support wafer: SiSi Direct Wafer Bonded substrates
- Ohmic p columns/trenches depth > active layer depth (for bias)
- Junction n columns depth < active layer depth (for high V_{bd})
- Reduction of hole diameters to ~5 um
- Holes (at least partially) filled with poly-Si Martin Hoeferkamp, UNM

Metal to be deposited after thinning



FBK: New Small-Pitch 3D Pixels





G.-F. Dalla Betta (UTN)

- 50x50 design safe, 25x100 is more difficult ... too little clearances due to relatively large bump pad size
- Alternative designs, featuring bonding pads on top of the columns are planned for



FBK: 3D Pixel Wafer Layout





CNM: 3D Sensors

Joint Multi-Project Pixel Wafer run for ATLAS, CMS, LHCb (G. Pellegrini, 27th RD50 Workshop, CERN, 2-4 Dec. 2015)

- Reduced thickness $100-150\mu m$ (small leakage current).
- First prototype of new generation 3D pixels finished (January 2016)
- 50μm thick detectors with SOI support wafer (350μm), 5μm hole diameter
 Cross section





SINTEF, Stanford Nanofabrication Lab: <u>3D Sensors</u>



- SINTEF: Run 4 wafers under processing (expected by March 2017)
- SOI and Si-Si wafers with 100 μm and 50 μm thick sensors
- 2 column types: fully passing (SOI) and partially passing (Si-Si) n-type columns
- High aspect ratio holes (4-5 μm hole diameter, up to 100 μm depth) Active edge sensors
- SNL: One small-pitch 3D sensor batch also under fabrication with similar design characteristics



- Development of 3D pixel sensors for the ATLAS IBL provided valuable experience and lessons learned.
- A new generation of 3D sensor designs and fabrication runs geared towards meeting the HL-LHC requirements is under way
- Extensive characterization and rad-hardness studies in progress