

H. A. TANAKA (UNIVERSITY OF TORONTO/IPP/TRIUMF)

NEAR DETECTORS

CURRENT AND UPCOMING CHALLENGES

GUIDANCE:

- *"Should cover the potential near detector technologies for the experiments presented in the previous talk, with focuses on the challenges of neutrino interactions and systematic error constraints."*
- Known knowns:
 - near detectors for current and past experiments
- Known unknowns:
 - near detector concepts for upcoming experiments like DUNE and Hyper-Kamiokande
- Unknown unknowns:
 - will current near detector concepts for DUNE/HK accomplish their goals?

OVERVIEW

- Near detector design is intimately tied to systematic errors
 - what are the systematic errors?
- How does one optimize near detector design?
- Over the year I've heard several very general "mantras", "rules", etc. regarding near detectors
 - Revisit these statements? What motivates them?
 - Are they true? Have things changed?
 - important to know what the ND is supposed to do in order to sensibly design one
- Evaluate current challenges
- Focus on accelerator-based experiments



REMINDER: LBL PHYSICS

$$P(\nu_\mu \rightarrow \nu_\mu) \sim 1 - (\cos^4 \theta_{13} \sin^2 2\theta_{23} + \sin^2 2\theta_{13} \sin^2 \theta_{23}) \sin^2 \Delta m_{31}^2 \frac{L}{4E}$$

- Measurement of $\sin^2 2\theta_{23}$ and Δm_{31}^2 **Precision of ~1% needed**

$$P(\nu_\mu \rightarrow \nu_e) \sim \boxed{\sin^2 2\theta_{13}} \times \boxed{\sin^2 \theta_{23}} \times \boxed{\frac{\sin^2[(1-x)\Delta]}{(1-x)^2}} \times \sin \Delta \frac{\sin[x\Delta]}{x} \frac{\sin[(1-x)\Delta]}{(1-x)} + \alpha \cos \delta \times \sin 2\theta_{12} \sin 2\theta_{13} \sin 2\theta_{23} + \mathcal{O}(\alpha^2) \times \cos \Delta \frac{\sin[x\Delta]}{x} \frac{\sin[(1-x)\Delta]}{(1-x)}$$

~30% max. effect **-α sin δ** **~10%-50%**

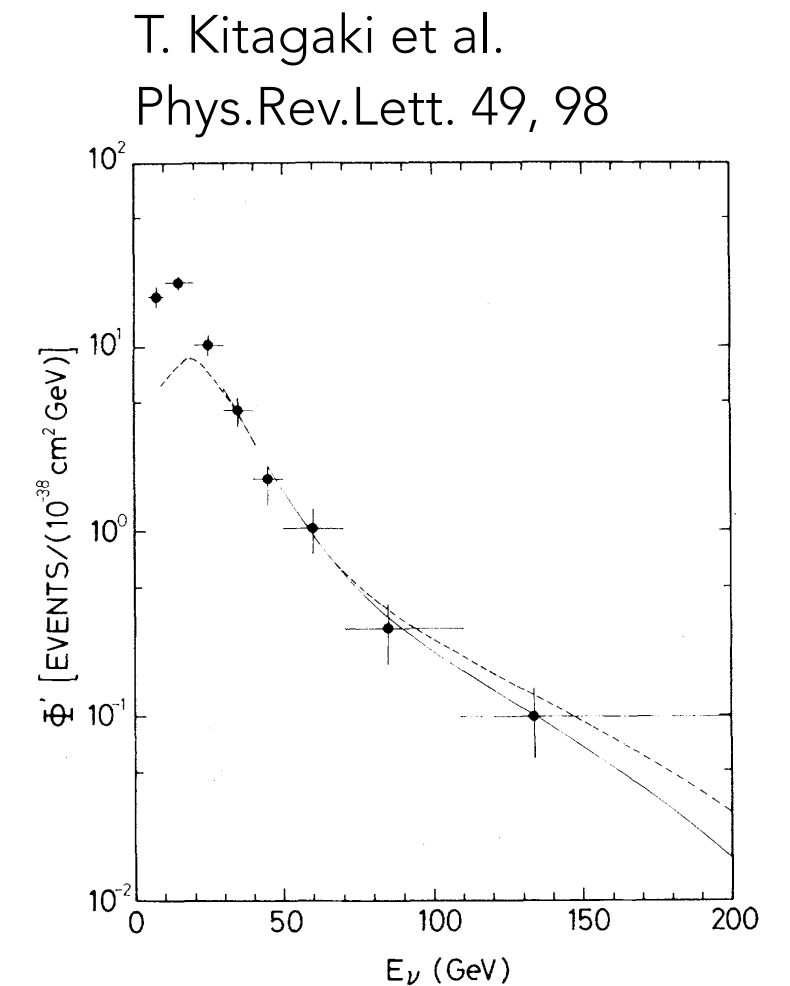
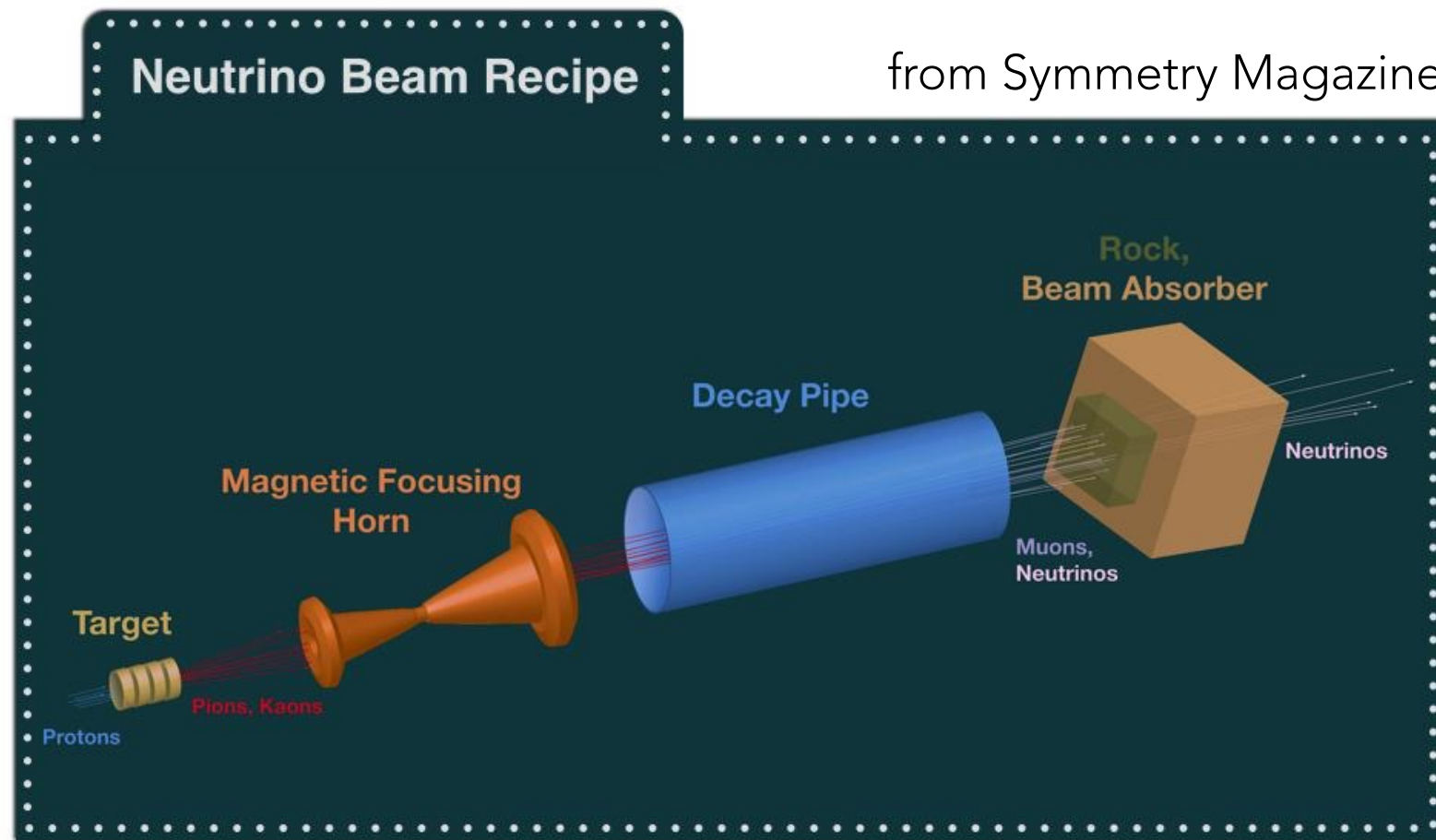
$$\alpha = \left| \frac{\Delta m_{21}^2}{\Delta m_{31}^2} \right| \sim \frac{1}{30} \quad \Delta \equiv \frac{\Delta m_{31}^2 L}{4E} \quad x \equiv \frac{2\sqrt{2}G_F N_e E}{\Delta m_{31}^2}$$

M. Freund, Phys.Rev. D64 (2001) 053003

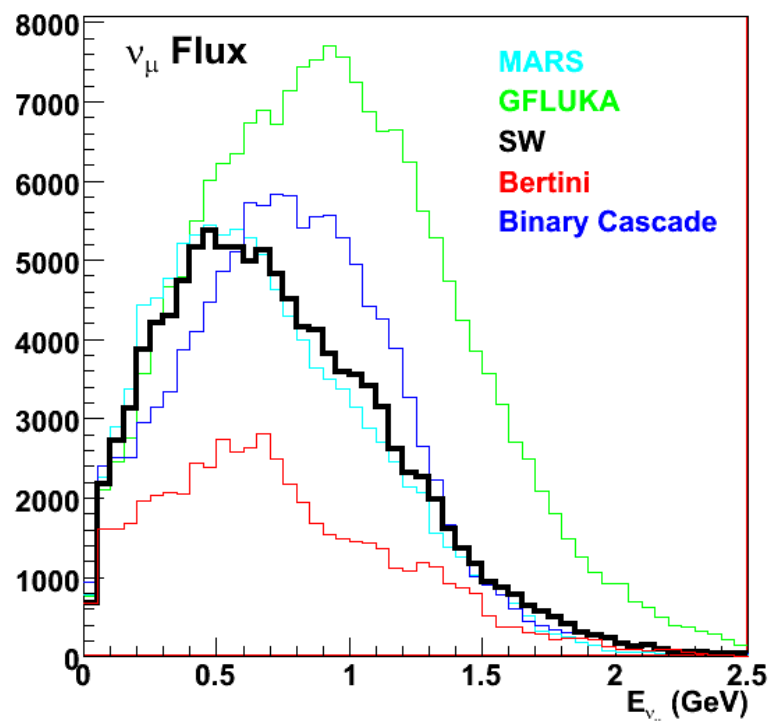
- $\sin^2 2\theta_{13}$ dependence of leading term
- θ_{23} dependence of leading term: "octant" dependence ($\theta_{23}=/>/ < 45^\circ$?)
- CP odd phase δ : asymmetry of probabilities $P(\nu_\mu \rightarrow \nu_e) \neq P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)$ if $\sin \delta \neq 0$
- Matter effect through x : ν_e ($\bar{\nu}_e$) enhanced in normal (inverted) B

Bottom line: it's complicated!

NEUTRINO FLUX



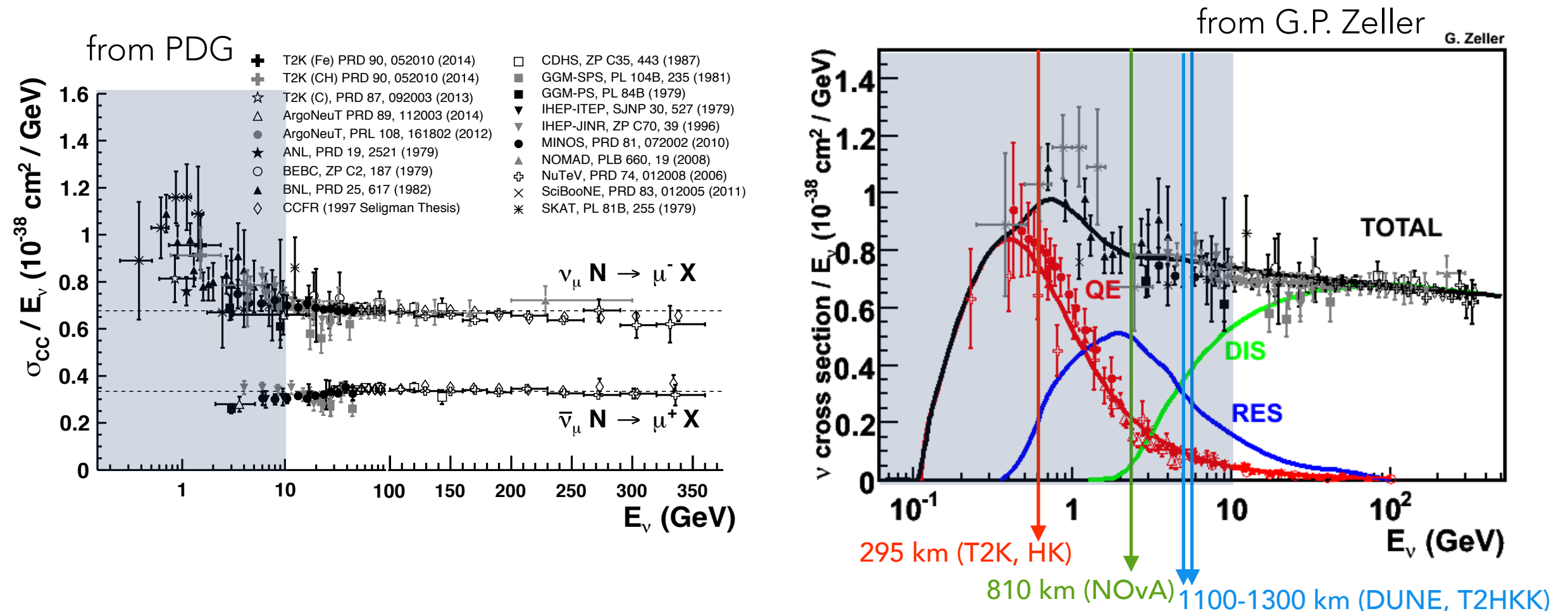
Neutrino Energy from MiniBooNE



- (absolute) neutrino flux estimates considered a very difficult proposition
 - reflected in large and “unreliable” errors, lots of “difficult” physics
 - “neutrino flux cannot be predicted” >30% uncertainty typical in the past

Bottom line: it's complicated!

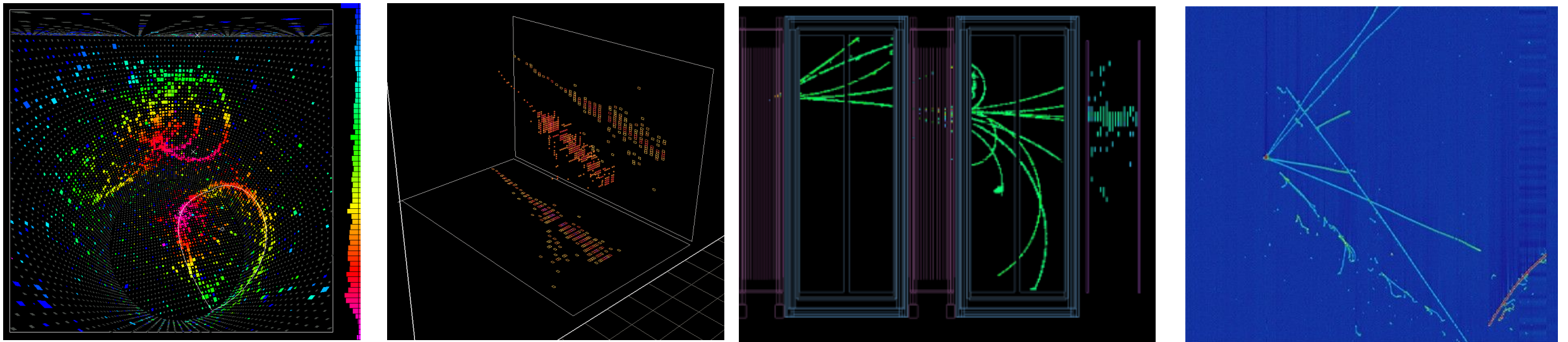
NEUTRINO CROSS SECTION:



- At high energies, interactions well understood experimentally and theoretically
 - parton-level deep inelastic scattering dominates the cross section
- Nature has given us a miracle and a curve ball:
 - neutrino oscillations can be probed with accelerator-based beams on a terrestrial scale
 - oscillation maximum occurs at $O(1 \text{ GeV})$ where there is a “**rich**” mix of nucleon (resonance production, etc.) and nuclear effects (multibody effects, etc.).

Bottom line: it's complicated!
and much of it isn't particle physics!

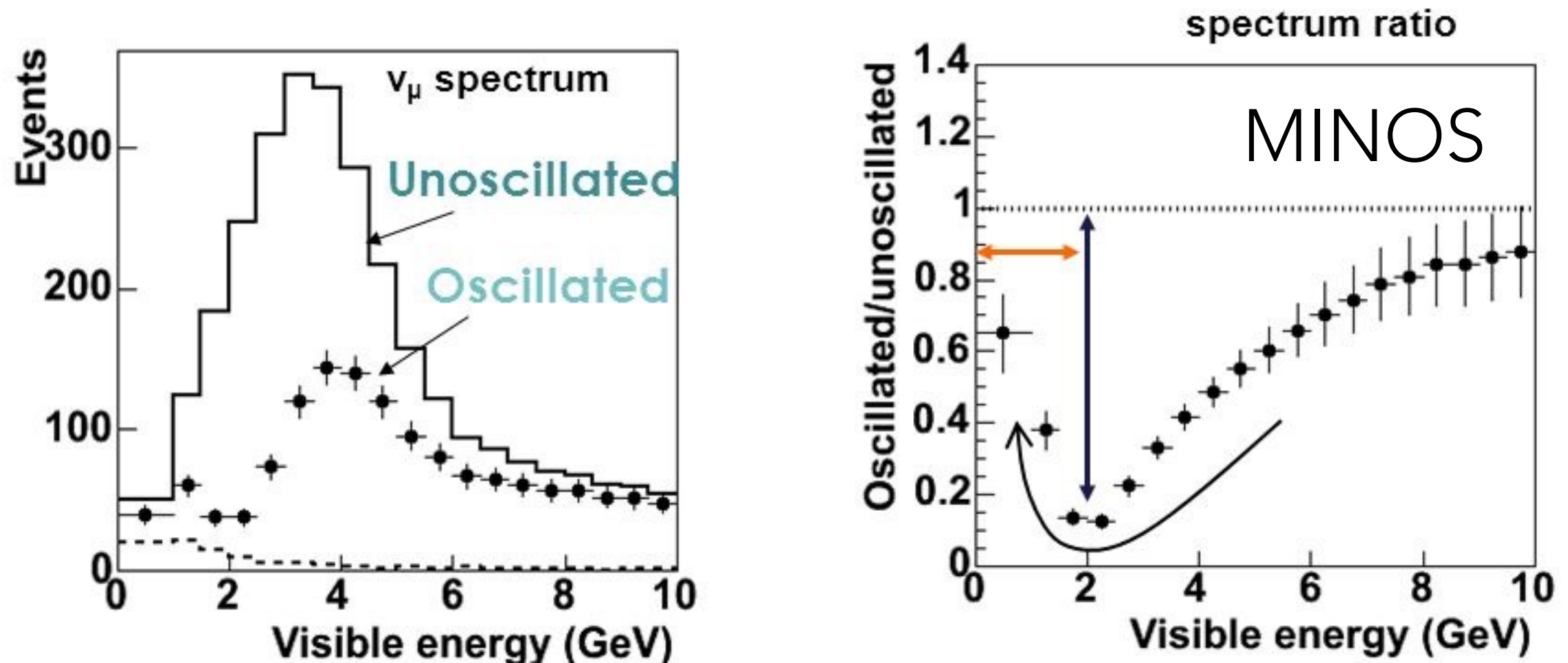
DETECTOR SYSTEMATICS:



- top down: systematic for each piece of information you want from your detector
 - kinematics (energy/momentum scale, resolution, sign) and overtaking
 - particle identification, etc.
- bottom-up: systematic for each aspect of your detector
 - water transparency, reflectivity, scattering, PMT response
 - alignment, material composition, particle interactions (EM, hadronic interactions, etc.) .
- drift lifetime, diffusion, etc.
- efficiency/resolution of active elements
- etc., etc.

D. J. Griffiths: *Introduction to Elementary Particles*
"neutrino experiments are notoriously difficult"

BASIC NEAR DETECTOR STRATEGY



$$N_{FD} = \Phi_{\nu} \times \sigma_{\nu} \times \epsilon \times P_{osc}(\theta, \Delta m^2)$$

$$N_{ND} = \Phi_{\nu} \times \sigma_{\nu} \times \epsilon$$

- Systematic uncertainties can be cancelled by measurements of the unoscillated neutrinos

"ONLY DISAPPEARANCE EXPERIMENTS"

- need near detectors"

- Basic idea:

- as before, detectors observe

$$N = \Phi_\nu \times \sigma_\nu \times \epsilon$$

- if there are large uncertainties in

$$\Phi_\nu \times \sigma_\nu$$

- it is difficult to make "disappearance measurement" which has as its primary signal a deficit of events.
 - If definitive spectral distortions are visible, than one can circumvent this "rule".

EXAMPLES: DISAPPEARANCE

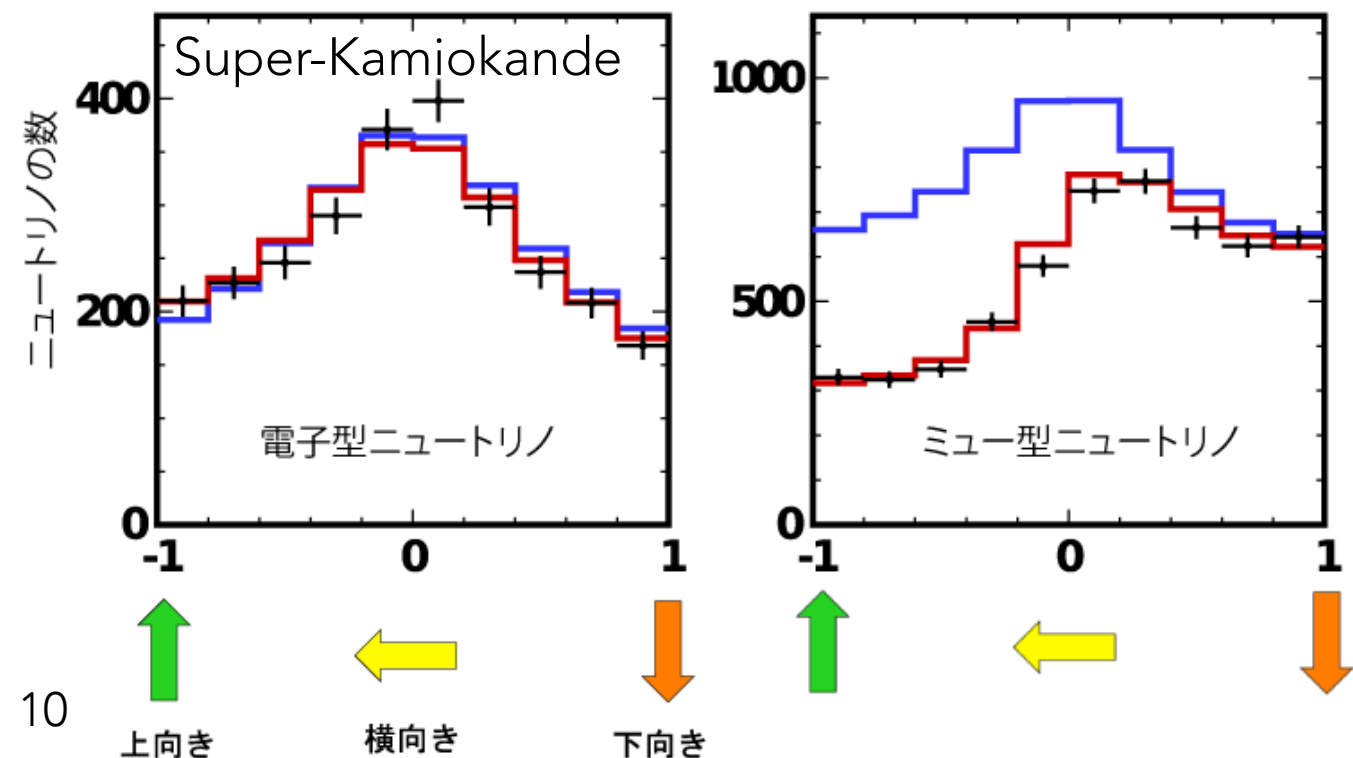
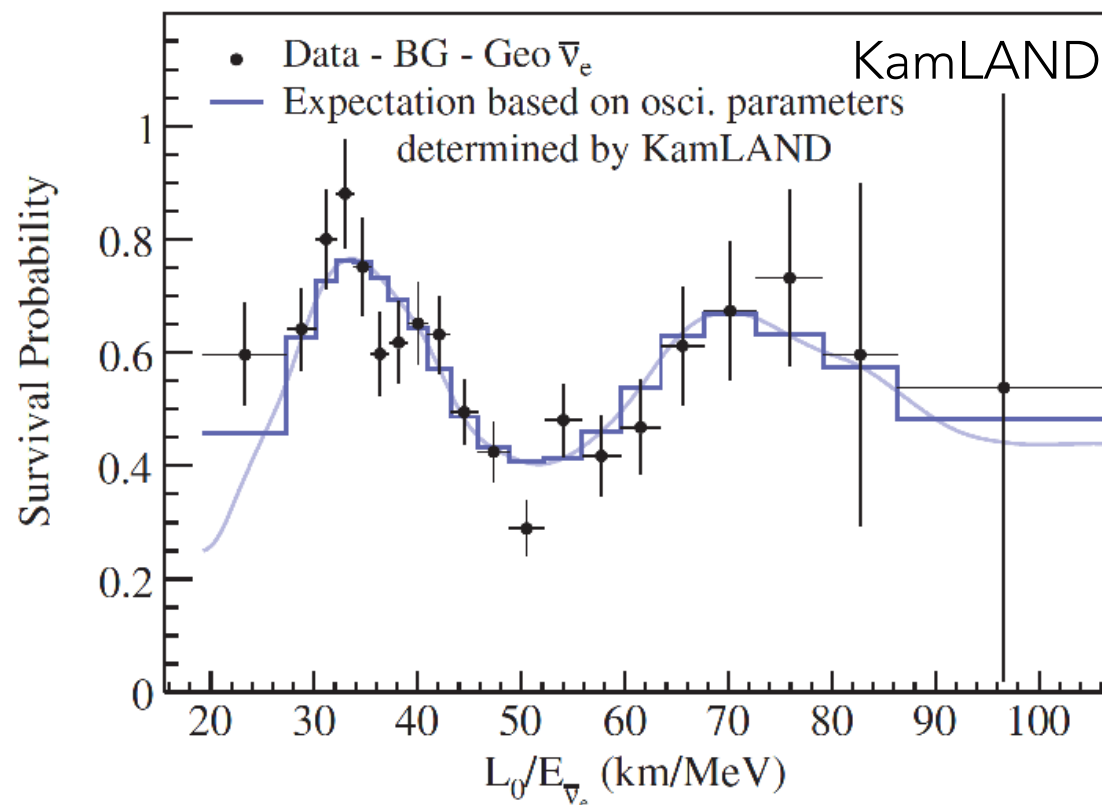
K2K



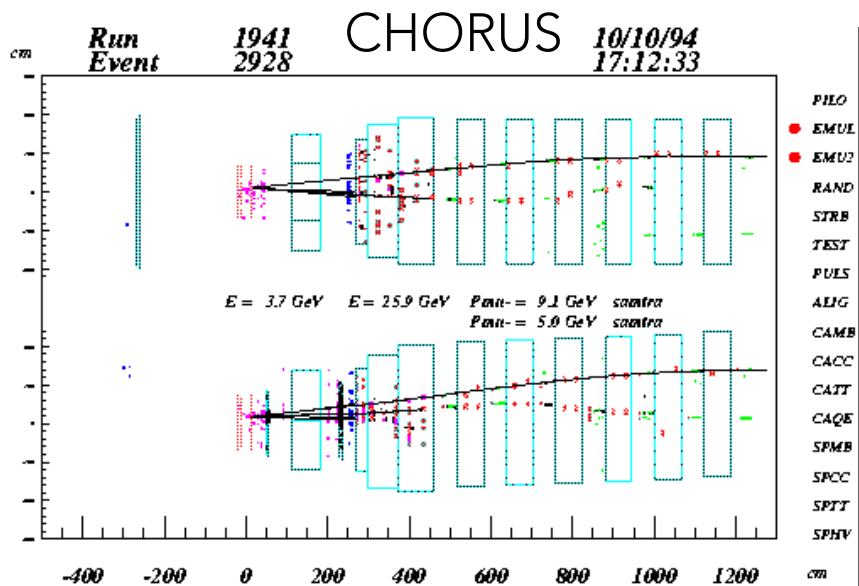
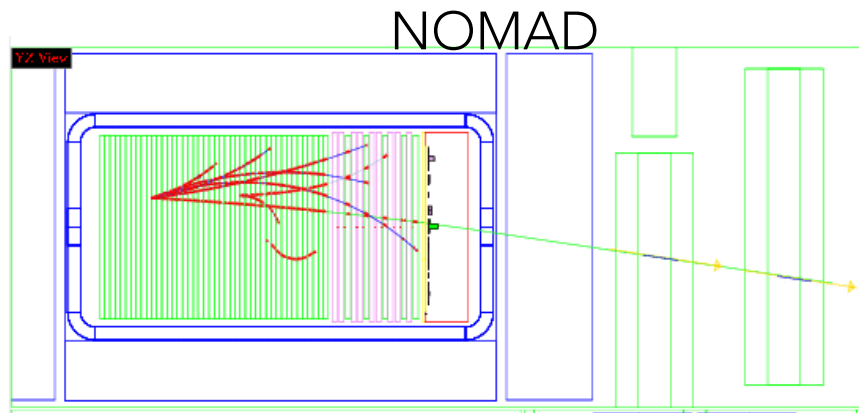
- K2K, MINOS
 - disappearance experiments with NDs
- SK atmospheric., KamLAND
 - disappearance experiments without NDs
 - SK is "its own near detector"
 - reactors: better known flux, cross section
 - range of L/E allows shape to be used

MINOS

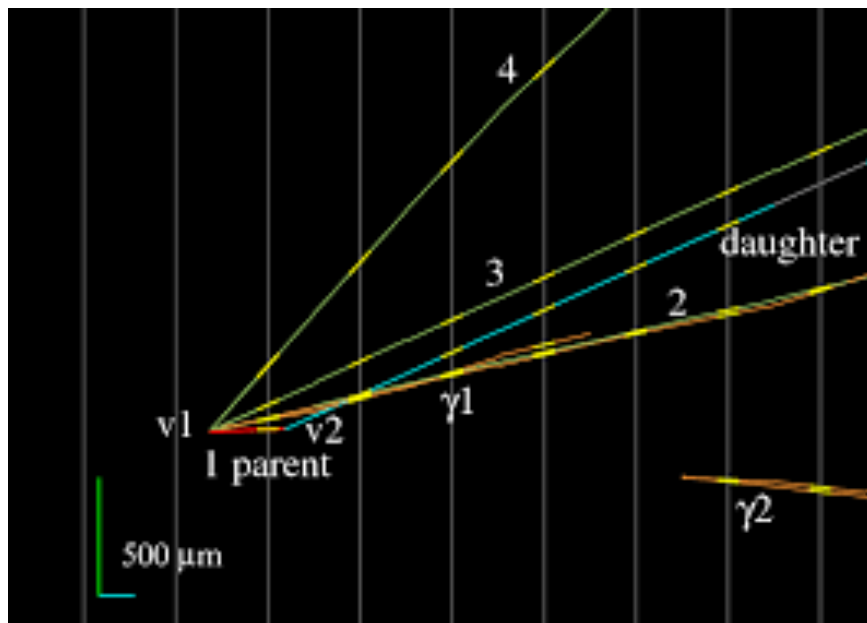
— ニュートリノ振動がない場合の期待値 + SKの実測値
— ニュートリノ振動がある場合の期待値



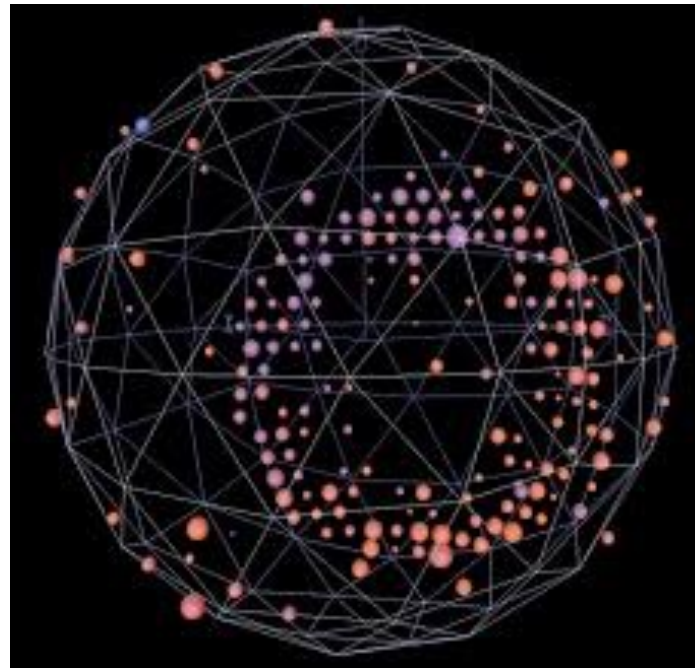
EXAMPLES: APPEARANCE



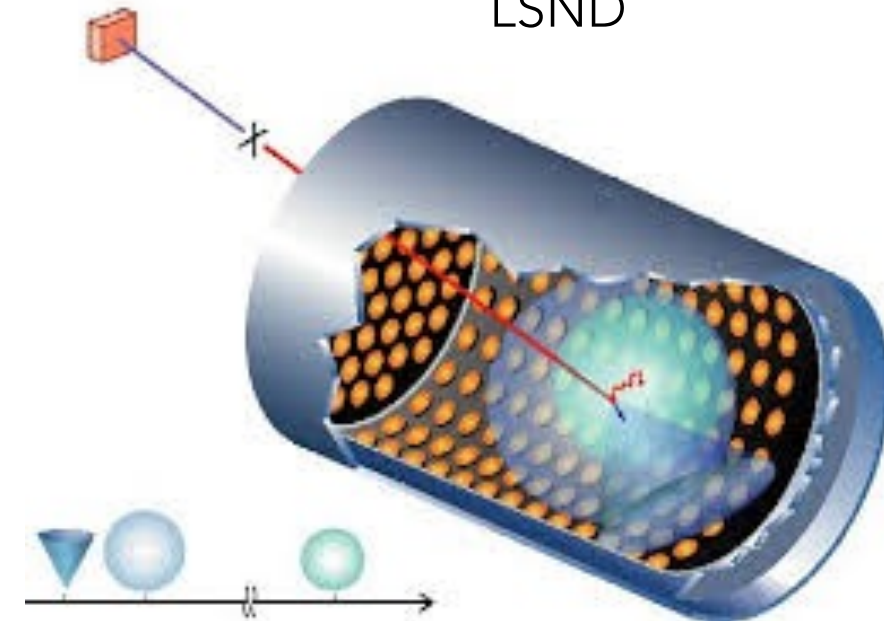
OPERA



MiniBooNE



LSND

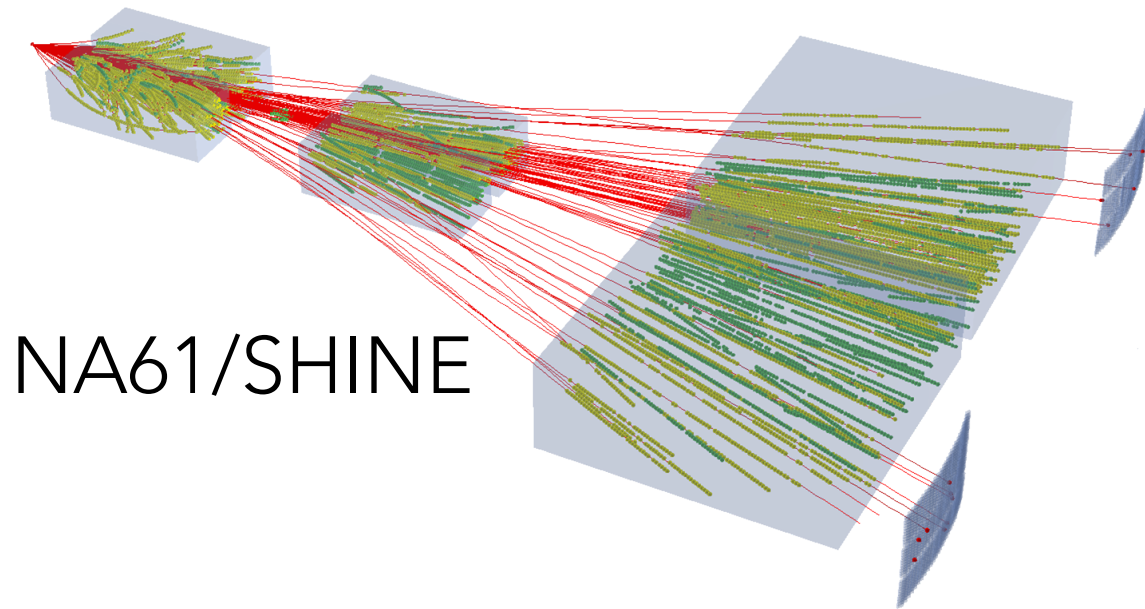


- If potential signal can be cleanly extracted with small expected background, large uncertainty are tolerable
- Last two generations of $\nu_\mu \rightarrow \nu_\tau$ appearance experiments did not near detectors
- Recent $\nu_\mu \rightarrow \nu_e$ experiments also did not have near detectors
- We are now beyond the phase of “establishing” appearance
 - we are moving into the measurement phase

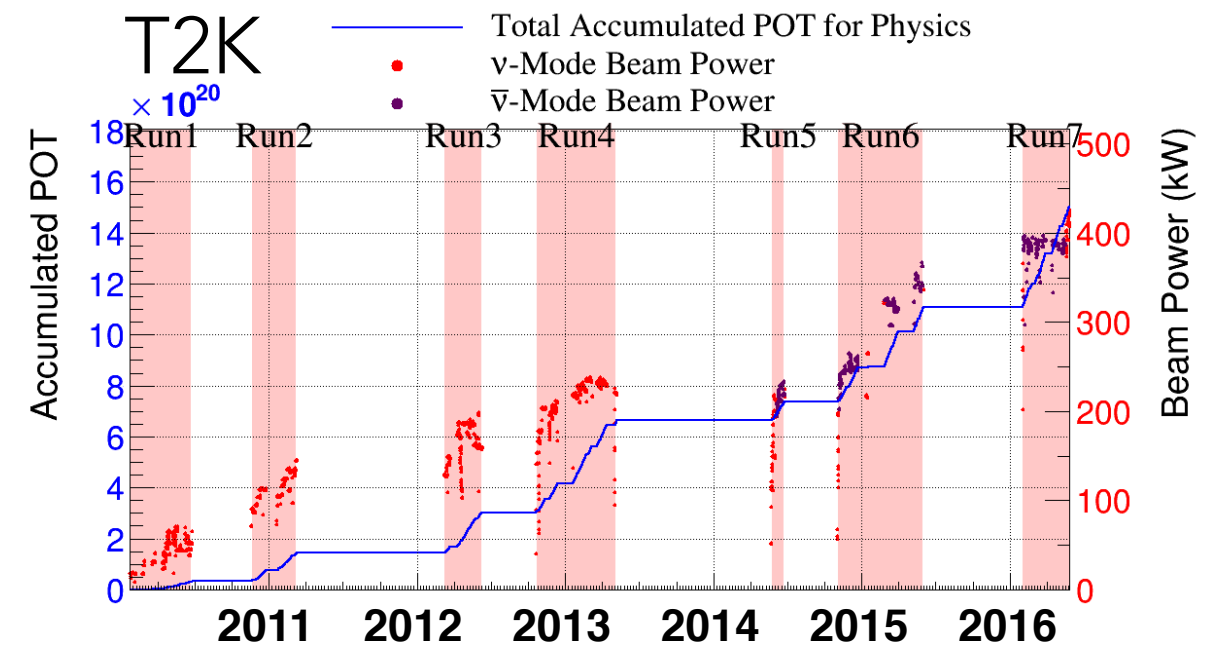
" UNDERSTAND YOUR FLUX . . . "

- **" . . . and you can do wonderful things. "**
 - sounds obvious, who could disagree?
 - understanding (half) of the initial state of an interaction seems beneficial.
 - other kinds of experiments (colliders, etc.) make a significant effort to understand initial state (luminosity, etc.) even if normalization factors, etc. come from elsewhere
- However, I have encountered a lot of resistance:
 - there's a near detector so I don't care
 - understanding neutrino flux is difficult; is it worth it?

NEUTRINO FLUX PREDICTION

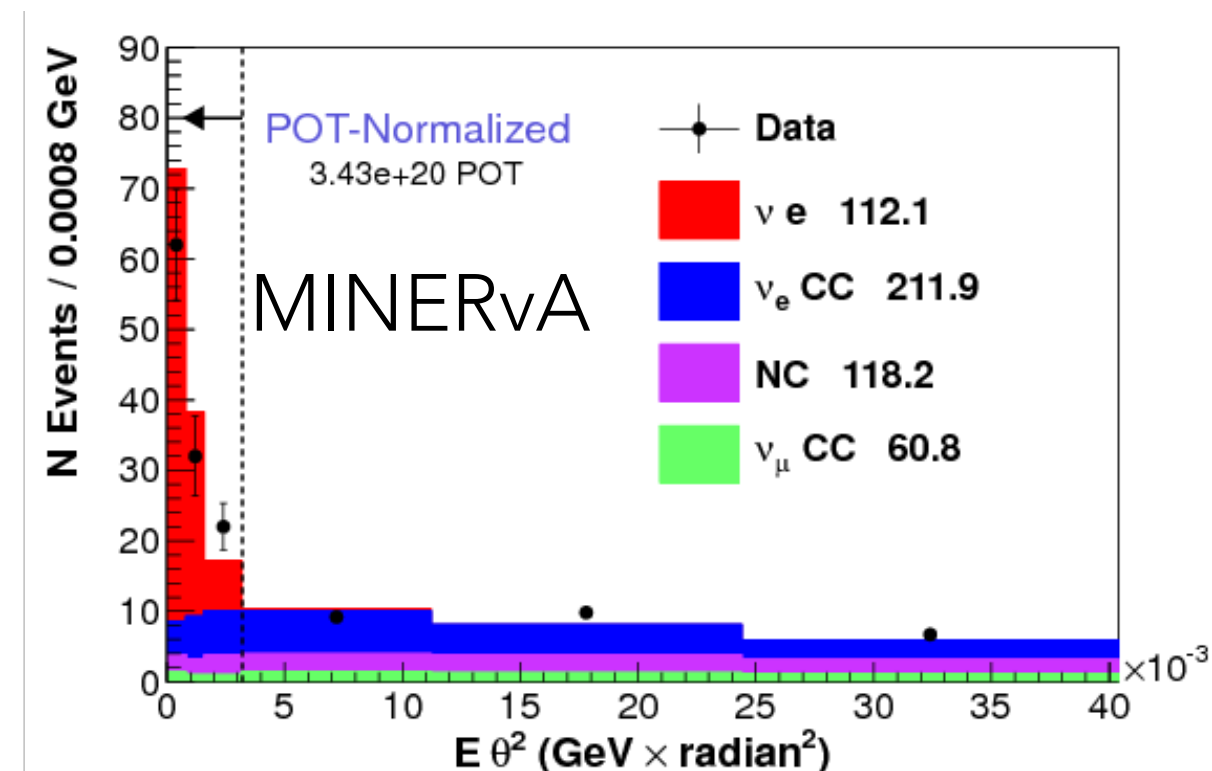


- **Hadron production from the target**
 - usually dominant uncertainty
 - followed by subsequent interactions
- **Precise monitoring of beam**
 - accelerator variations (primary protons)
 - beam line variations (horn current, alignment, etc.)
 - more dramatic/drastring changes
- **"Standard candles"**
 - ν -e elastic scattering, inverse muon decay, etc.
 - obvious challenges (statistics, energy range, etc.)

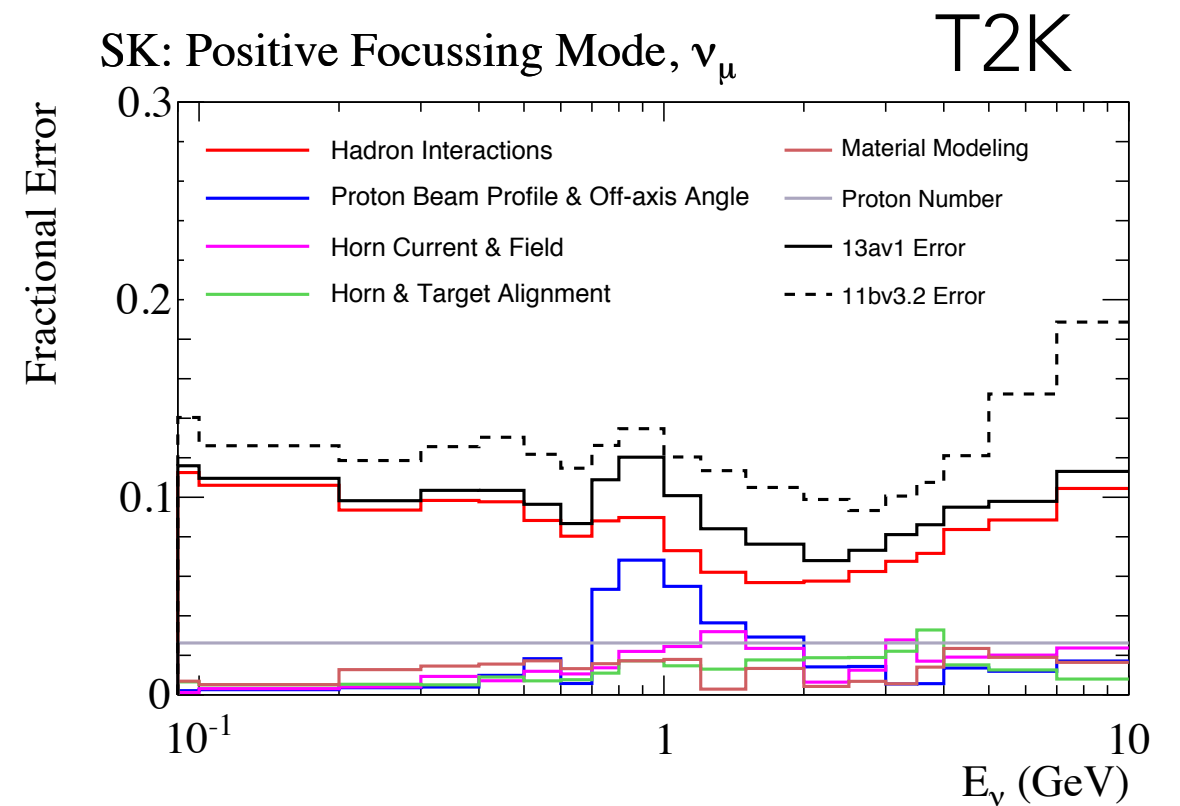
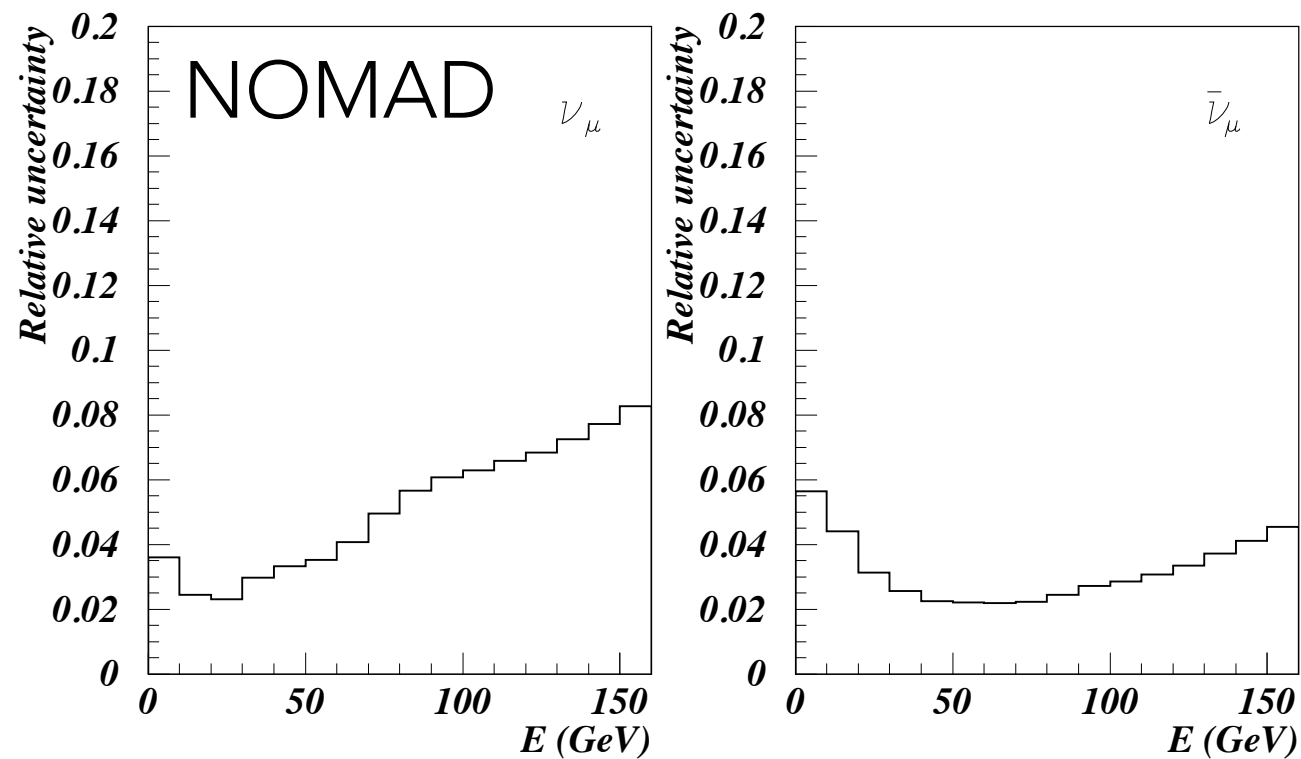


27 May 2016
POT total: 1.510×10^{21}

ν -mode POT: 7.57×10^{20} (50.14%)
 $\bar{\nu}$ -mode POT: 7.53×10^{20} (49.86%)



RECENT EXAMPLES:



- **NOMAD**

- ~4% energy dependent uncertainties with ~4% overall normalization uncertainties

- **T2K**

- with dedicated effort, 2009 NA61/SHINE thin target measurements, uncertainties reaching ~10% level
- with NA61/SHINE replica target, uncertainties of ~5% are within reach

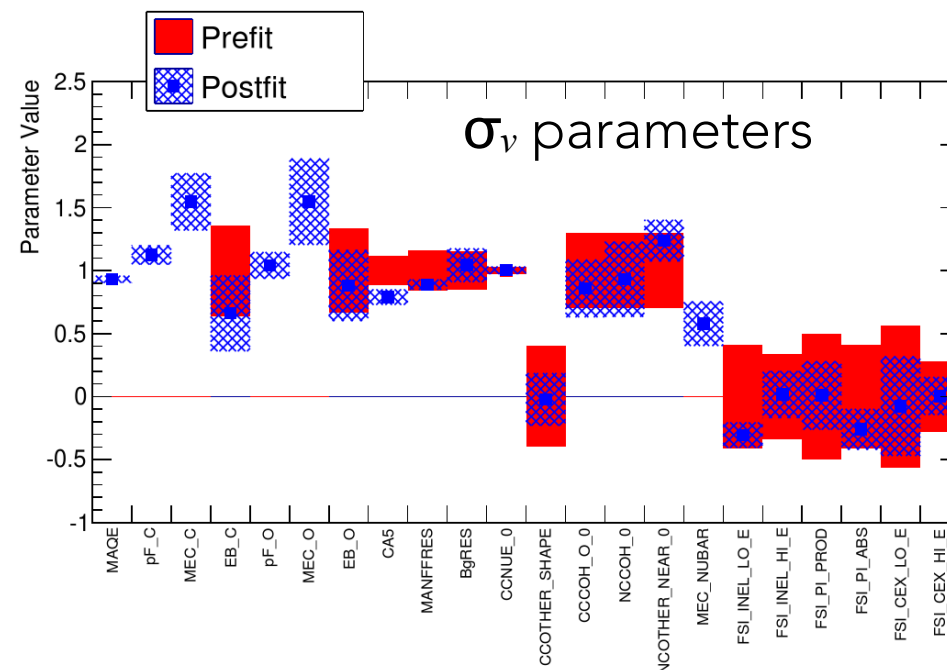
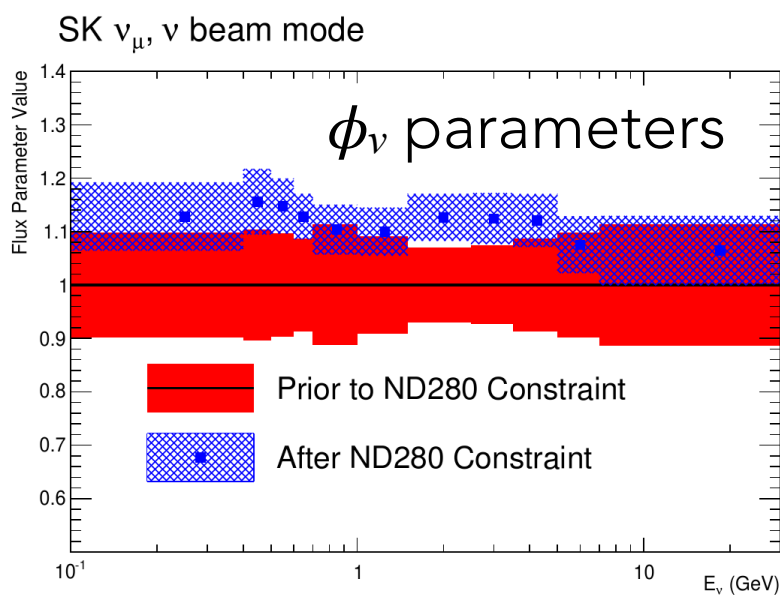
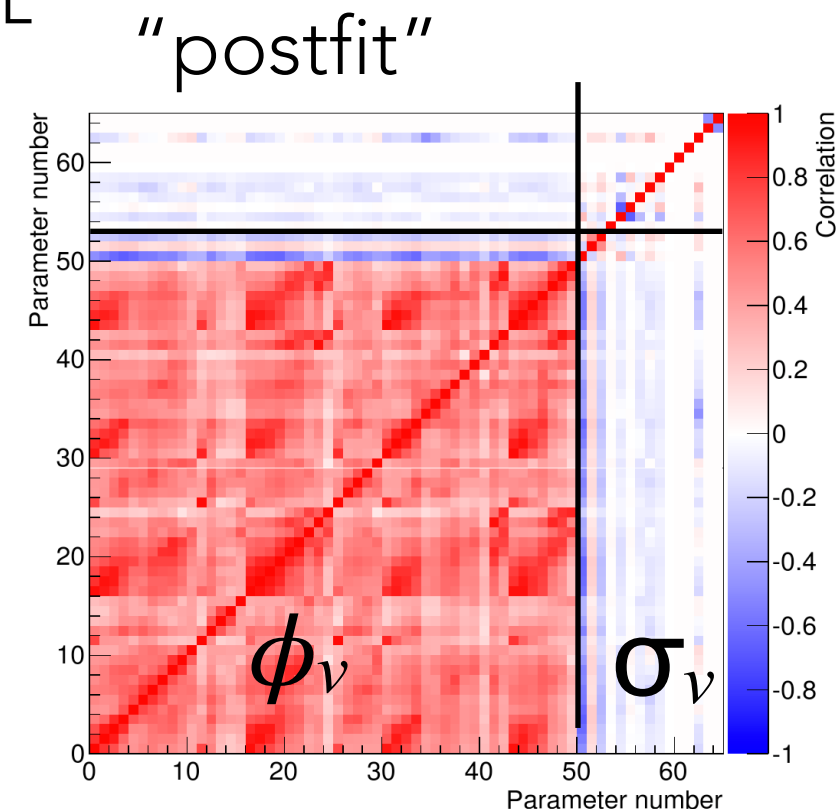
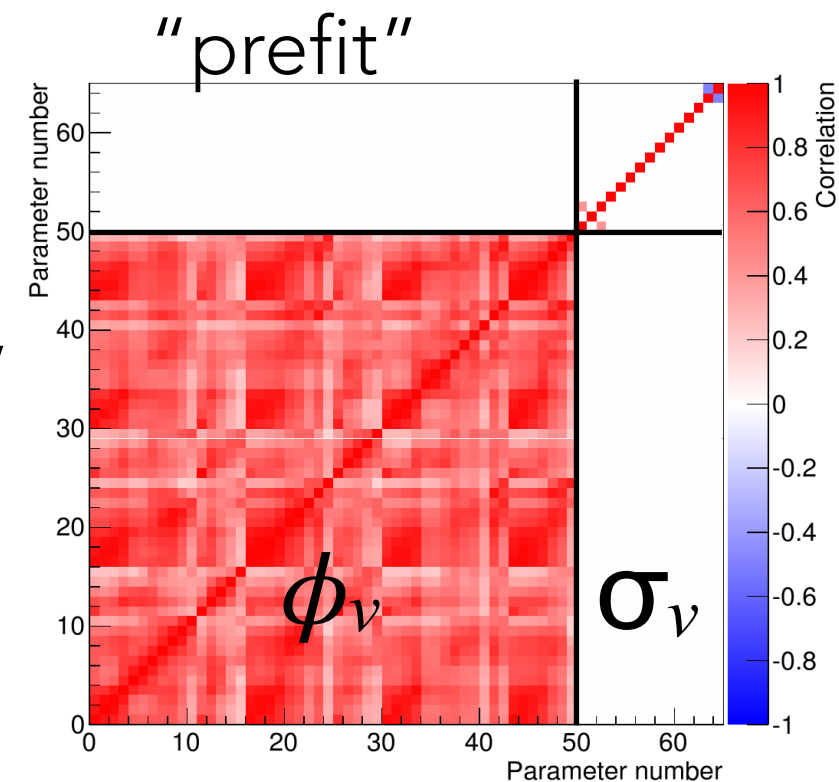
- **My opinion:**

- these efforts are important and sometimes under-appreciated (particularly dedicated efforts)
- something as important as the incident neutrinos should be understood as well as practically possible.

"THE PURPOSE OF THE NEAR DETECTOR"

- "... is to measure the flux."
- Neutrino detectors cannot measure the flux directly:

$$N = \Phi_\nu \times \sigma_\nu \times \epsilon$$
- if σ_ν is well understood ("standard candle") can "measure ϕ_ν "
 - However, this appears not to be the case.
 - covariances of ϕ_ν / σ_ν may constrain the flux further
- in my opinion:
 - not incorrect . .but maybe not relevant to current/next LBL



PRELIMINARY

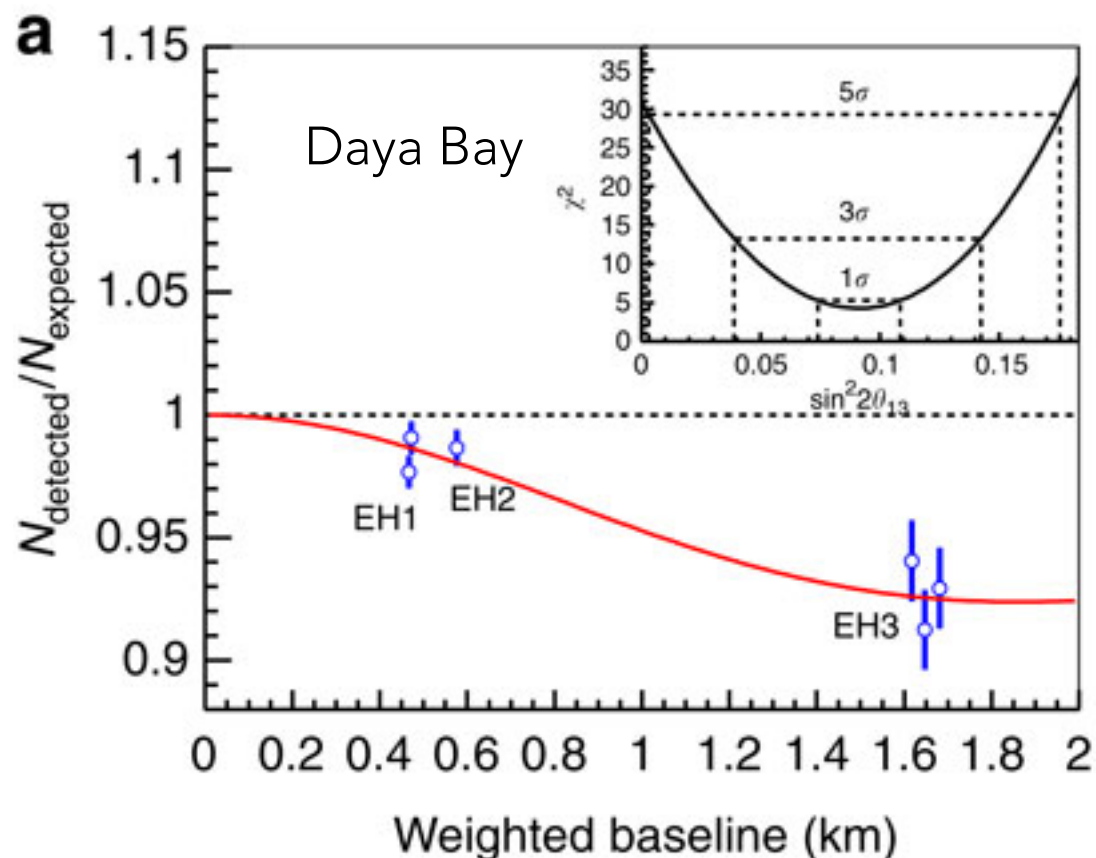
"NEAR AND FAR DETECTOR"

- " should be identical"
- Obvious strategy when one considers

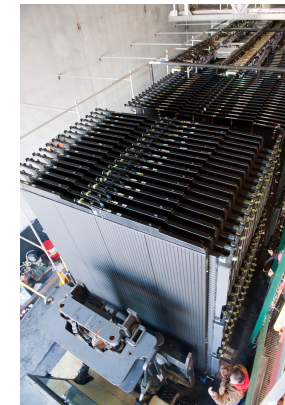
$$N_{FD} = \Phi_\nu \times \sigma_\nu \times \epsilon \times P_{osc}(\theta, \Delta m^2)$$

$$N_{ND} = \Phi_\nu \times \sigma_\nu \times \epsilon$$

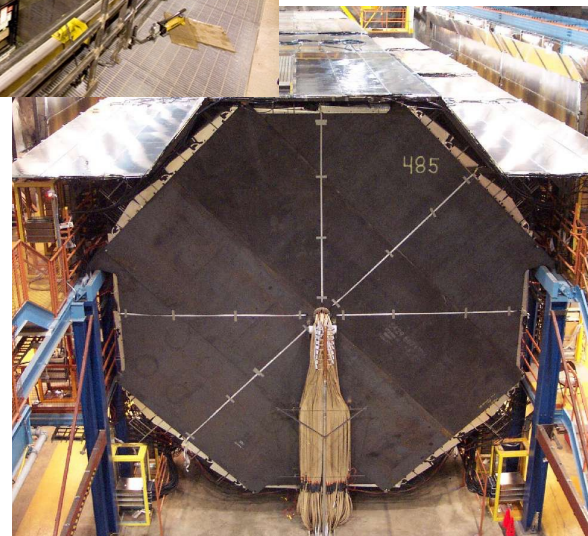
- cancel ϵ by maximizing correlation between near and far.



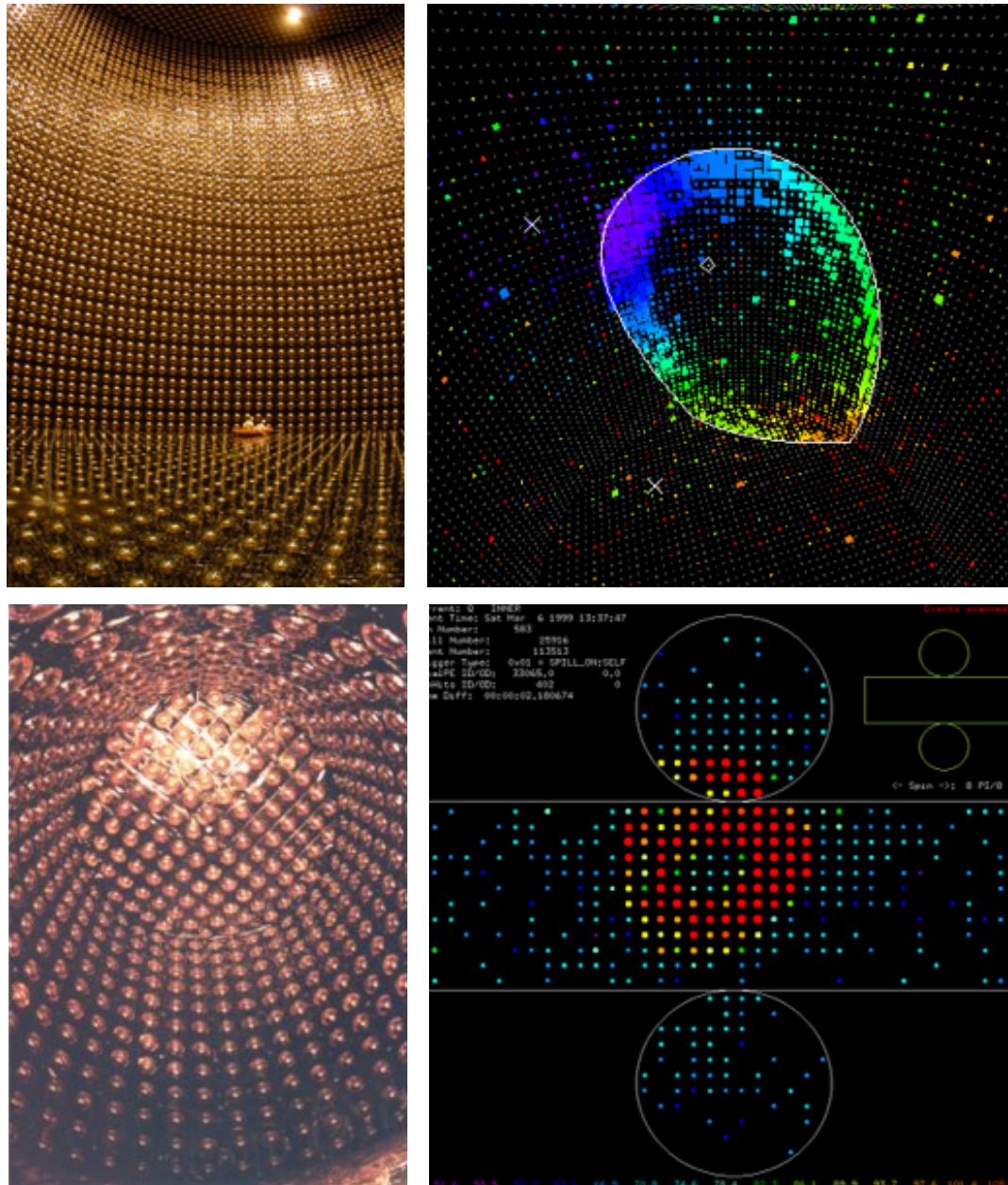
MINOS



NOvA



"IDENTICAL DETECTORS"

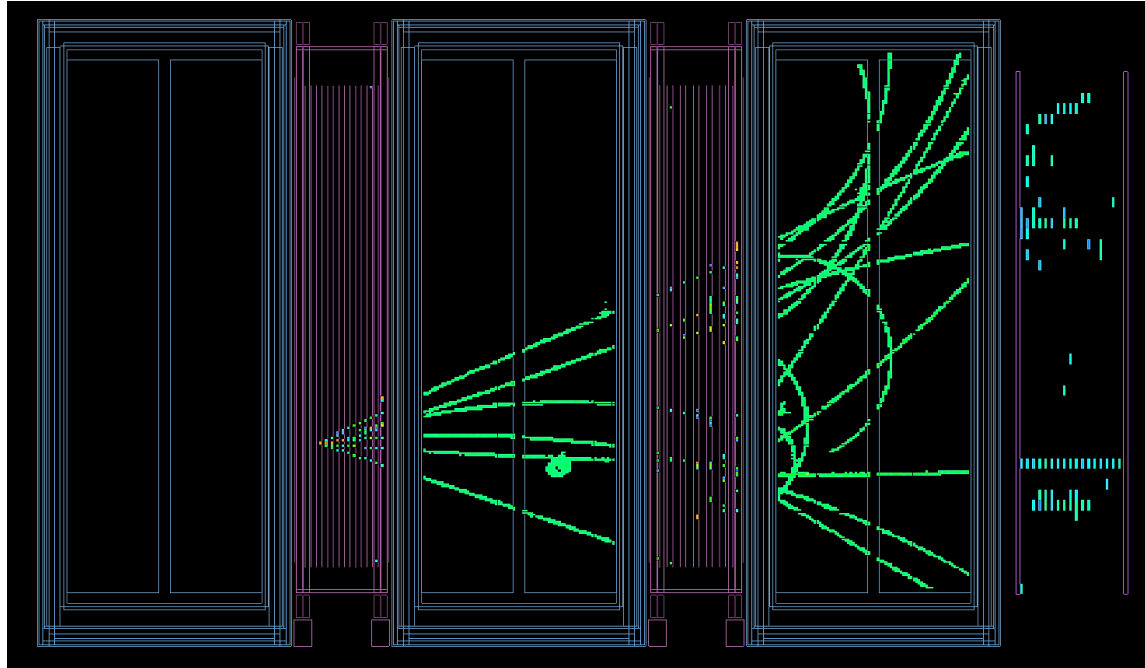
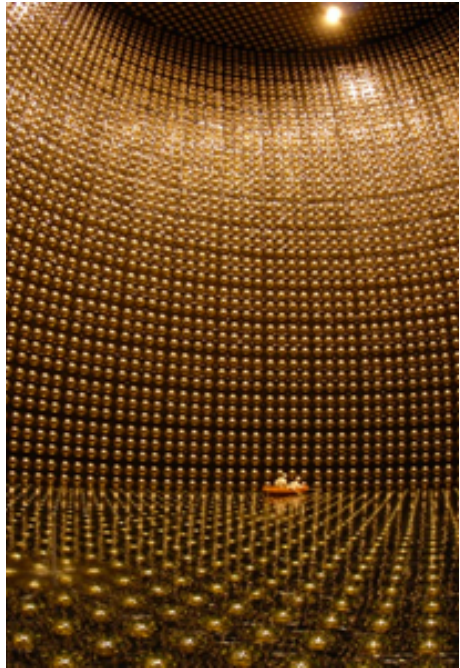


- What does it mean to be "identical" when they cannot be identical?
- Often the case for LBL:
 - no way to replicate far detector (multi-kT)
 - How to achieve "practically identical"?
- K2K 1 kTon WČ detector
 - use same overall method, components
 - 20" PMTs, readout electronics, etc/
 - as far detector (SK)
- size is an intrinsic aspect for some detectors
- Further consideration led to:
 - T2K 2km proposal: 5" PMTs
 - NuPRISM: 3" PMTs
 - to achieve greater effective granularity

OTHER ISSUES

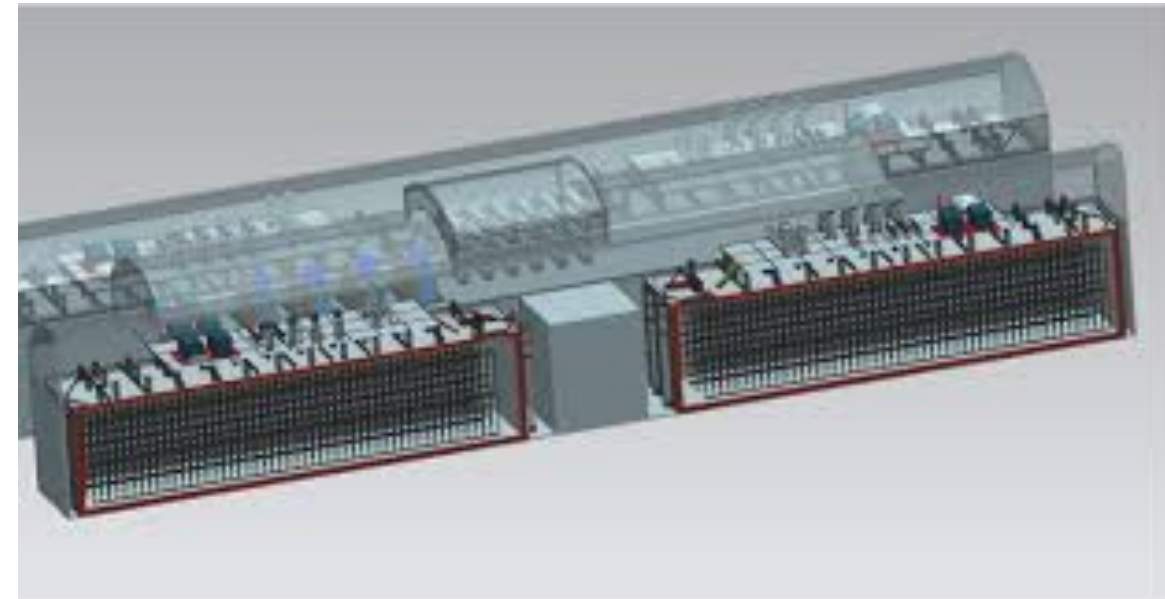
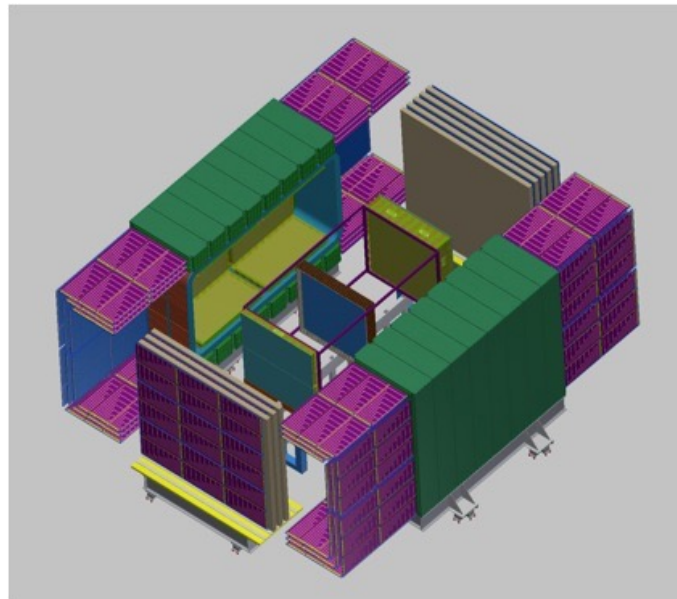
- Pile up:
 - interaction rates very different in near/far detector
 - near detectors may not be deep underground;
 - significant cosmic ray rate
- Containment:
 - smaller detectors may not contain muons, photons, etc.
 - need cost-effective means to measure outgoing muons, escaping photons over large volume
- Information:
 - unexpected systematics, data/MC discrepancies may arise
 - complementary/additional capability with far detector may be needed to resolve issues (scintillation, magnetization, etc.)

EXAMPLES



- ND280: Tracking near detector for T2K (SK far detector)
- Sign selection, lower particle detection threshold, particle detection capability.
- Challenges: planar geometry with wide angle particle production.

- DUNE "reference" near detector design with straw-tube tracker
 - magnetized
 - low-density



NEUTRINO ENERGY RECONSTRUCTION

- **Kinematic:**

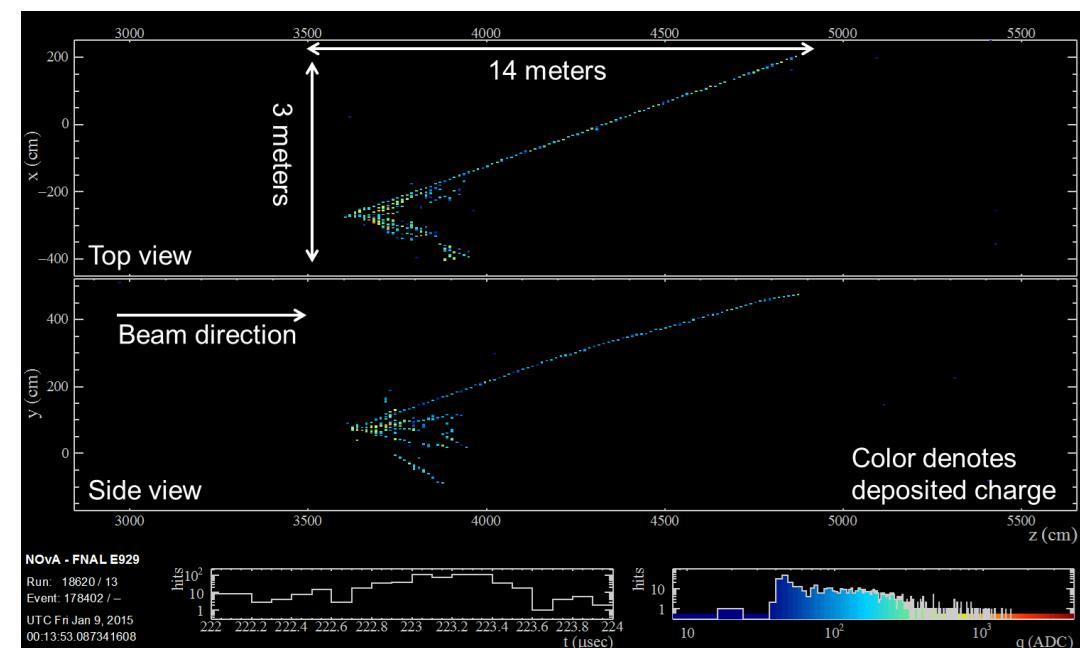
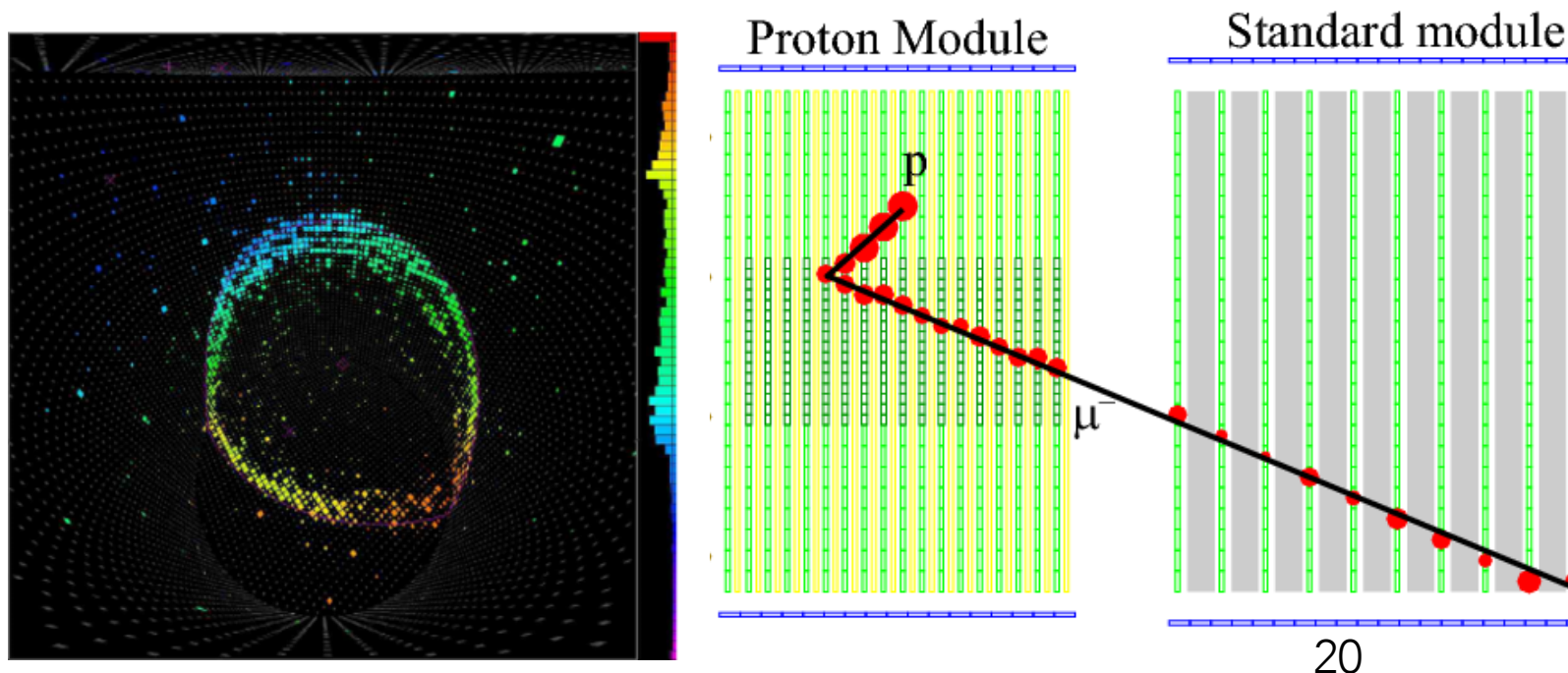
- target reaction hypothesis, e.g.
 $\nu + n \rightarrow \ell^- + p$
 $\nu + p \rightarrow \ell^- + \pi^+ + p$
- identify events consistent with hypothesis
- e.g. CC events with no pions
- exclusive selection (e.g. $\mu + p + (\pi)$)

- **Calorimetric**

- sum energy in the event
- typically:

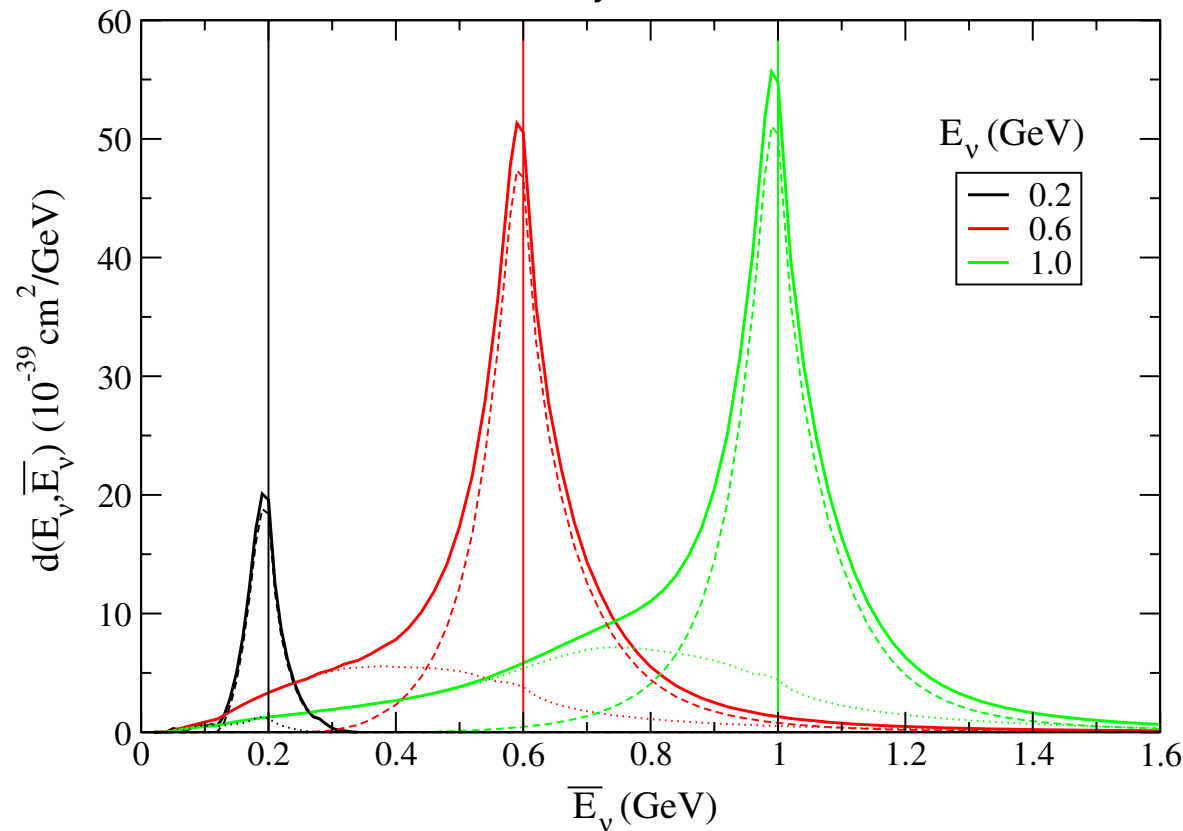
$$E_\nu = E_{lep} + E_{had}$$

- calorimetric reconstruction of hadron shower
- particle-by-particle

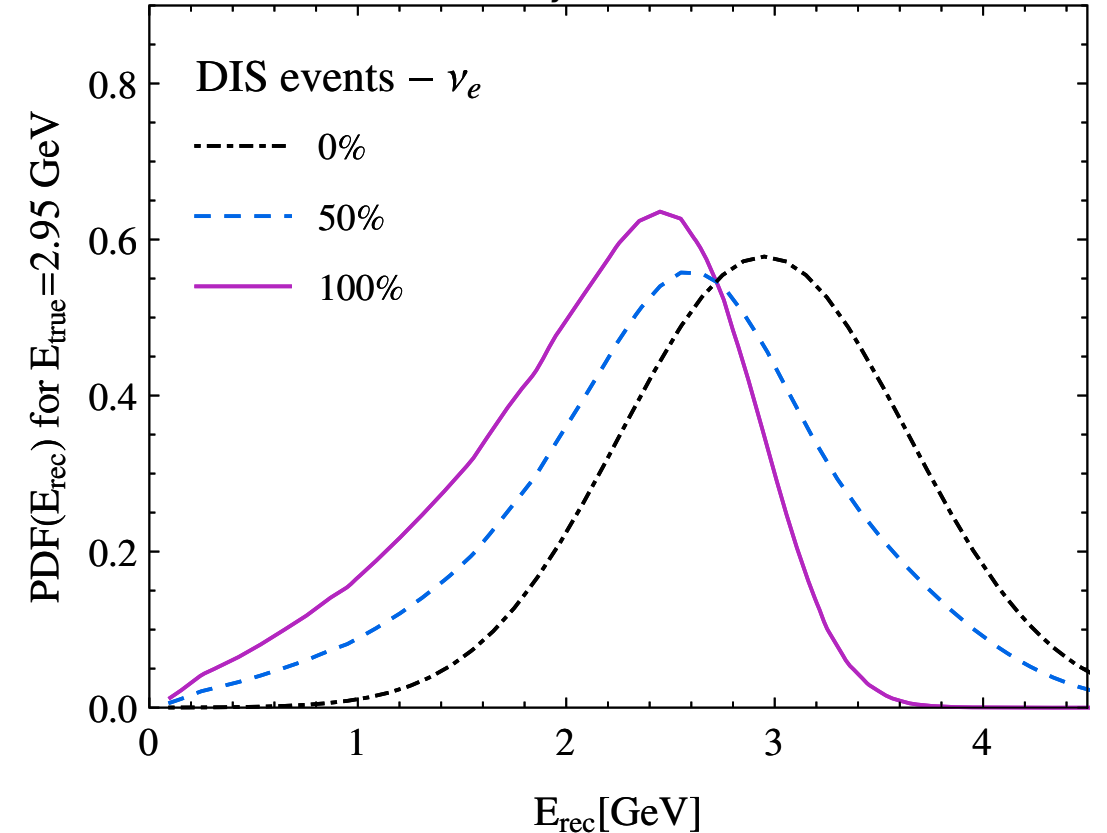


ENERGY RECONSTRUCTION ISSUES

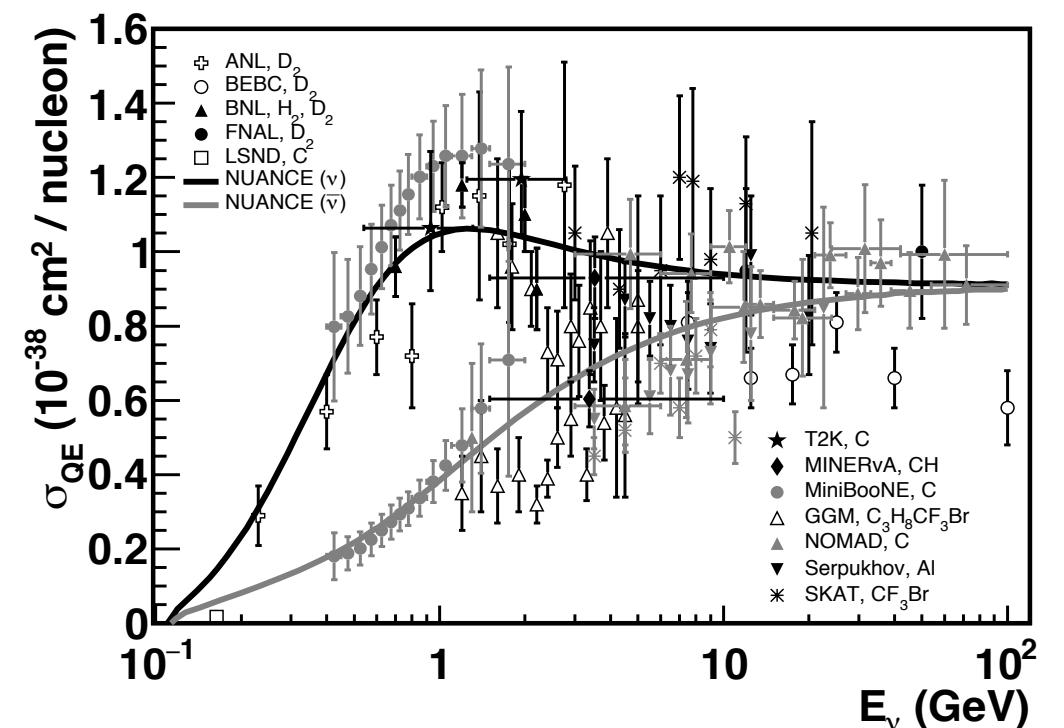
M. Martini, et al. Phys.Rev. D87 (2013) no.1, 013009



A. Ankowski et al., Phys.Rev. D92 (2015) no.9, 091301

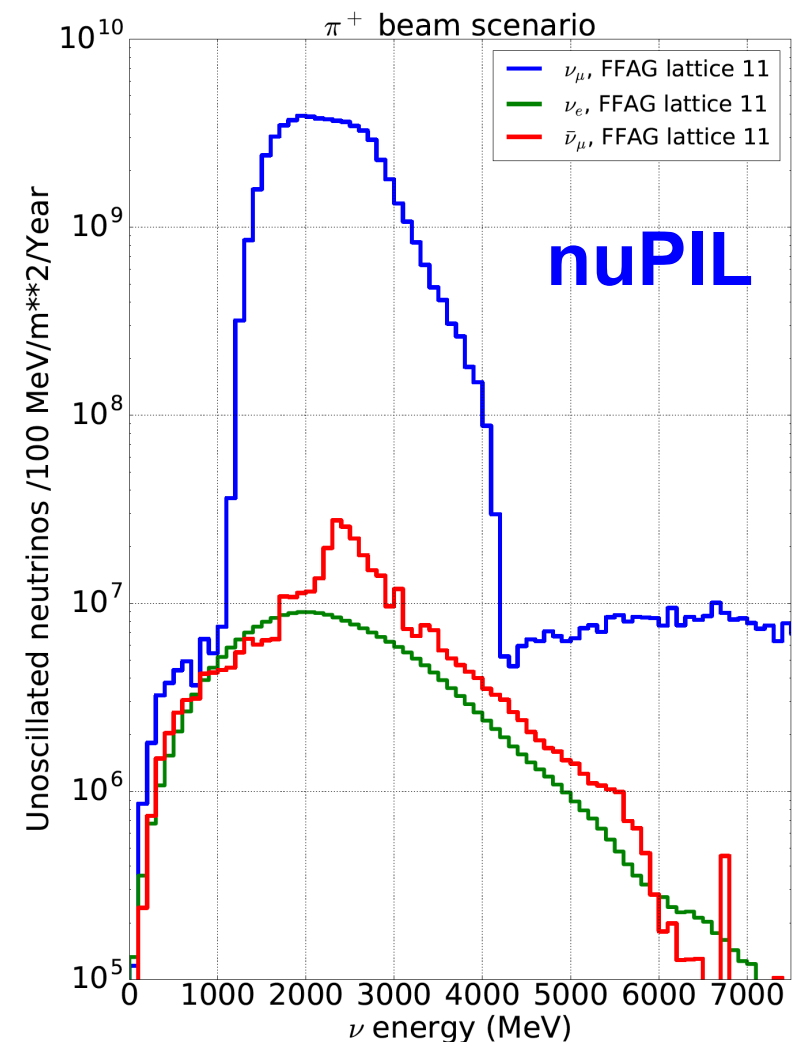
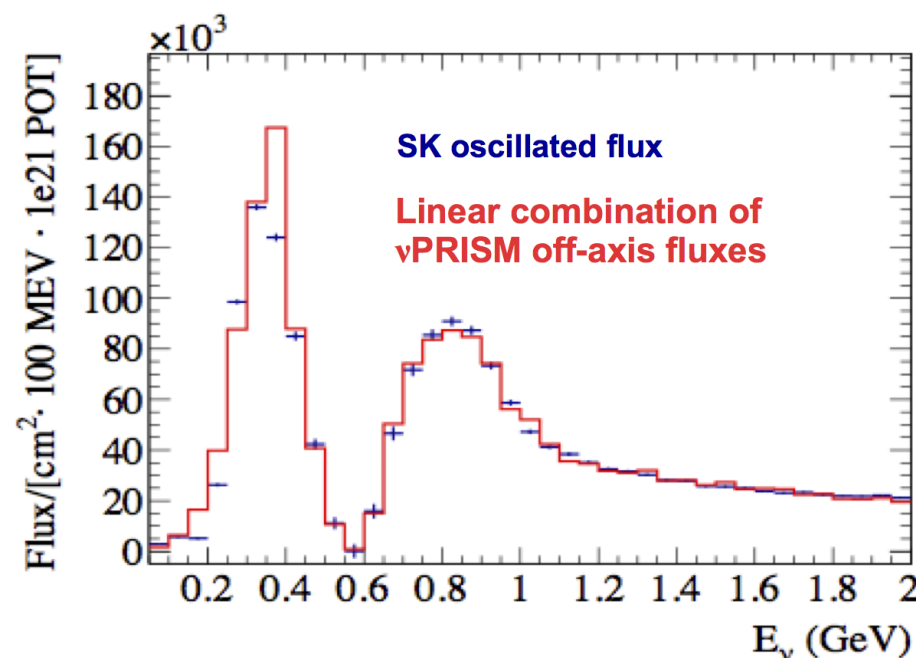
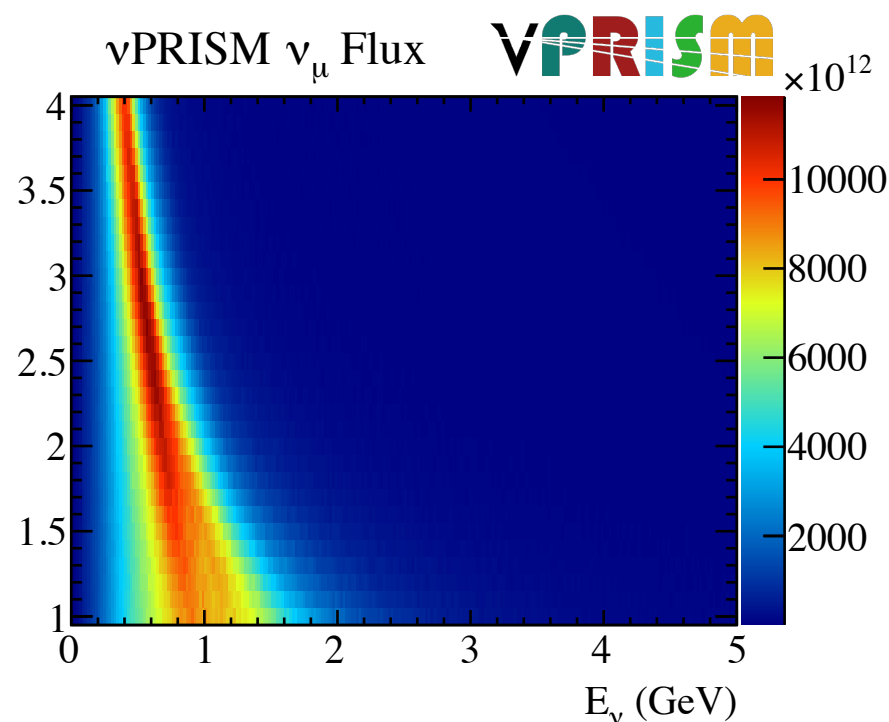
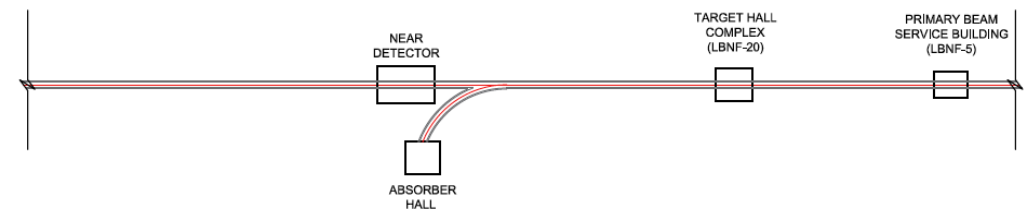


- Kinematic: kinematically different channels with potentially indistinguishable final states
 - how to measure: predicted final states rely on nuclear model (large uncertainty), final state interactions
- Calorimetric: amount of energy going into undetectable particles
 - relies on getting final state content correct through underlying reaction, final state interactions, and secondary interactions



THE CHICKEN AND THE EGG

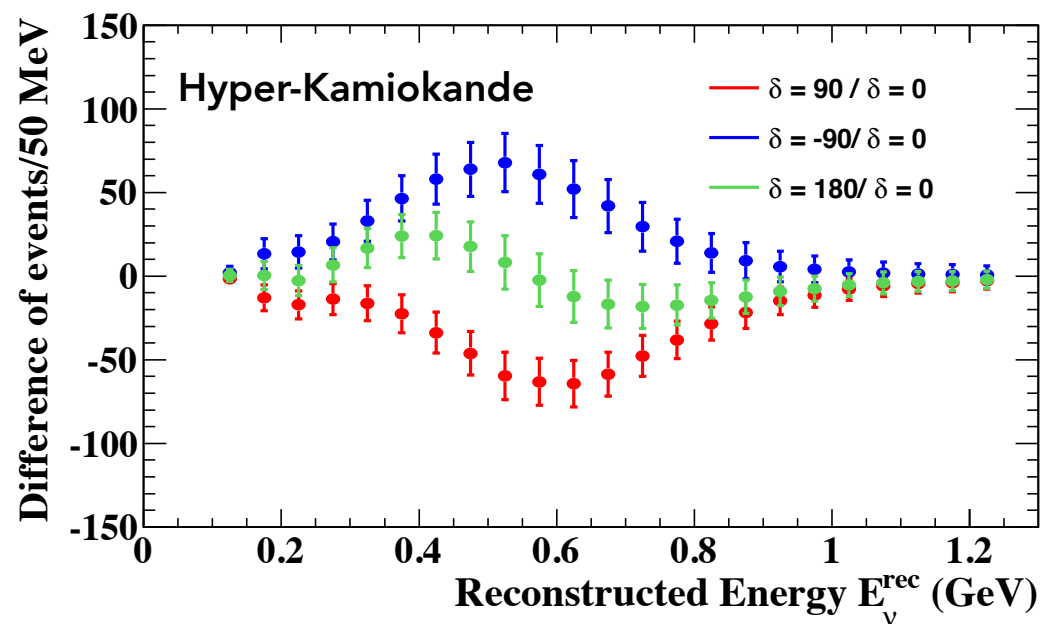
- Ideally: measure neutrino interaction final states as a function of neutrino energy
- However, we use the final state to infer the neutrino energy
 - the neutrino doesn't tell us its energy other than through its interaction products
 - we can only measure properties inclusively over the entire energy spectrum
- Several possibilities
 - employ more than one energy reconstruction strate
 - e.g. both kinematic and calorimetric
 - find other ways to control neutrino energy



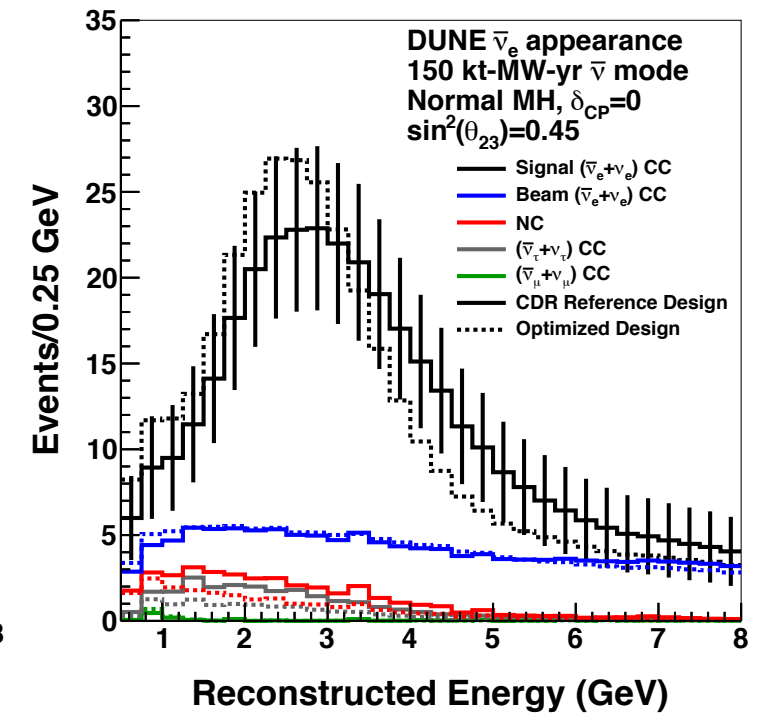
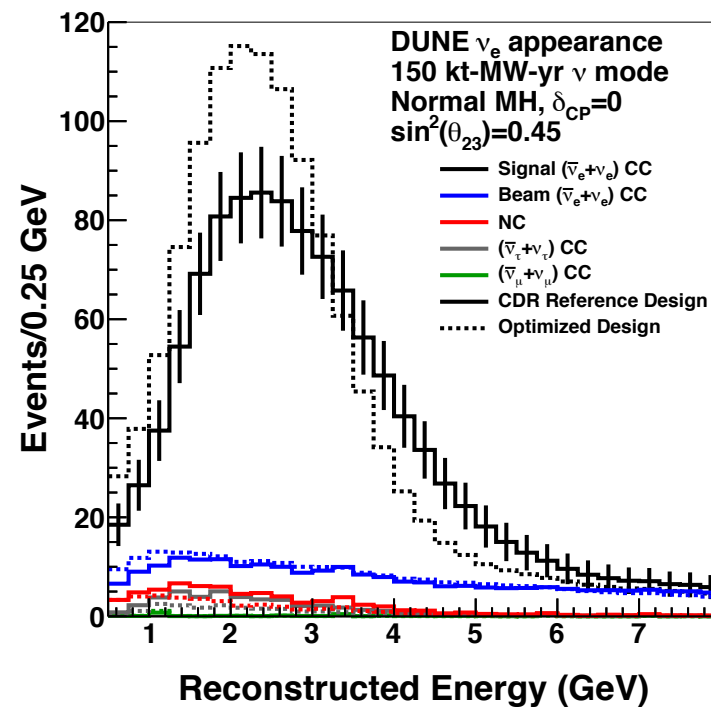
CHALLENGES FOR THE FUTURE:

Hyper-Kamiokande

	SIGNAL $\nu_e/\bar{\nu}_e$	WS $\nu_e/\bar{\nu}_e$	ν_μ CC	BEAM ν_e	NC
ν MODE	2300	21	10	362	188
$\bar{\nu}$ MODE	1656	289	6	444	274



DUNE



- Next generation need precision to ensure:
 - systematic errors don't limit the sensitivity from high statistics
 - exploit spectral information
- Recall: neutrino oscillation are driven by true energy
 - correct E_ν spectrum essential to predict oscillation signature (including rate), extract parameters
 - this relies on an accurate understanding of how we reconstruct E_ν
- Large "wrong sign" contribution in antineutrino mode: ~25% in each experiment
 - need measurements in near detector to understand this "background"

CONCLUSIONS:

- Near detectors are needed for the next generation of oscillation experiments
 - they are a central aspect of the measurement strategy
 - please don't do a LBL (or a SBL) neutrino oscillation experiment without one and without a careful consideration of its design.
 - as we "up" the game, revisit the "identical detector" strategy
- Past experience is always valuable
 - however, we are playing a new game
 - don't accept existing rules-of-thumb/dogma for granted
- Please support:
 - hadron production measurement and flux estimation efforts
 - neutrino interaction modelling and measurement efforts
- We should be prepared for surprises
 - there is a very well defined paradigm for the physics
 - but it's very complicated, relies on challenging modelling of ν production, interaction, detection
 - **and** there is a significant chance for physics beyond this paradigm
 - we should build near detectors that are up for the challenge



Physics
UNIVERSITY OF TORONTO

NUINT(INTNU?) 2017

- 26 June-1 July
- Fields Institute at the University of Toronto
- See you there!

