

# *Collinear factorization breaking from effective field theory*

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*with Matthew Schwartz, Hua Xing Zhu*

*LoopFestIV, 2017/06*

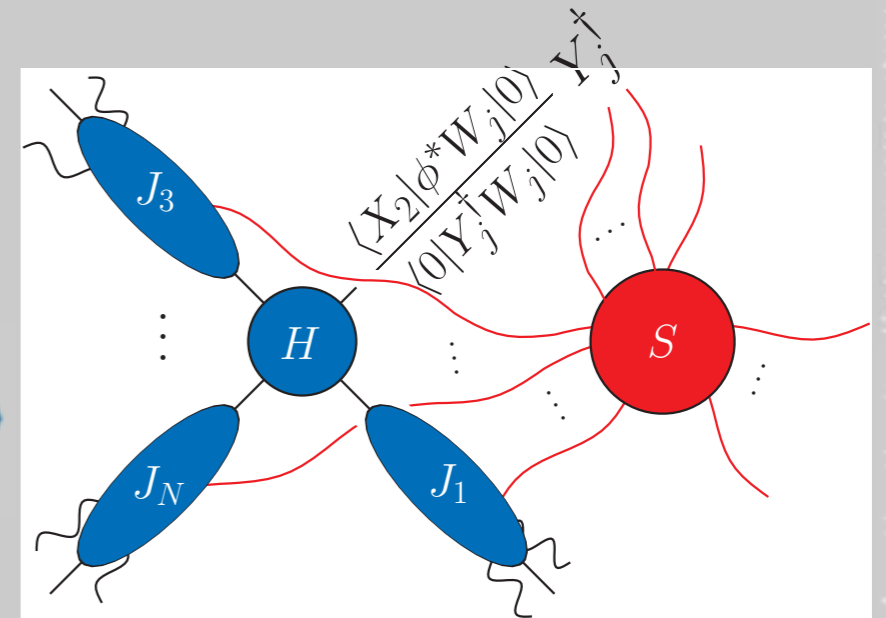
# Factorization property of perturbative amplitude

$\langle X_1 | \cdots \langle X_N |$ : collinear, time-like separated

$\langle X_s |$ : soft

$$\langle X_1 \cdots X_N; X_s | \mathcal{O} | 0 \rangle \cong$$

$$C(S_{ij}) \frac{\langle X_1 | \phi^* W_1 | 0 \rangle}{\langle 0 | Y_1^\dagger W_1 | 0 \rangle} \cdots \frac{\langle X_N | W_N^\dagger \phi | 0 \rangle}{\langle 0 | W_N^\dagger Y_N | 0 \rangle} \langle X_s | Y_1^\dagger \cdots Y_N | 0 \rangle$$



Universal behavior of the amplitude in time-like collinear regime :

$$|\mathcal{M}\rangle = \text{Sp} \cdot |\overline{\mathcal{M}}\rangle$$

splitting amplitude depends only on collinear physics

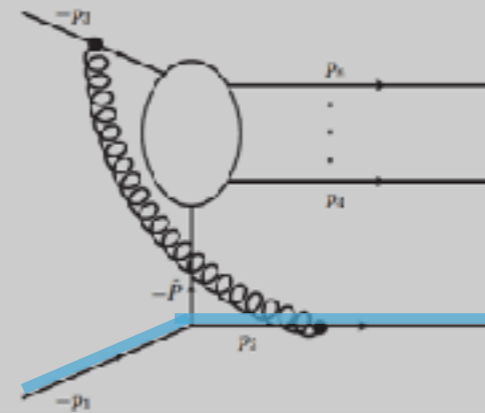
Sp no longer universal when the collinear partons are space-like separated.

Factorization breaks down due to the long-range Coulomb/Glauber interaction.

# When does factorization fail and have observable consequences?

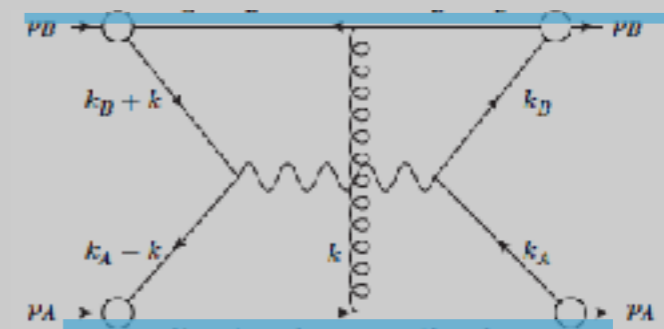
- ▶ single splitting: Generalized splitting amplitude (Catani et al) ;

super-leading- logs in the gaps-between-jets cross-section (Forshaw, Seymour)



- ▶ double splitting: spectator-spectator Glauber exchange.

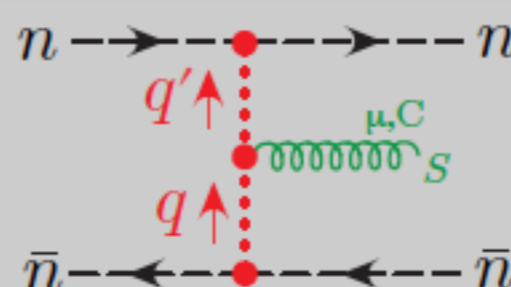
Inclusive Drell-Yan (Colin-Soper-Sterman); More exclusive observables: spin asymmetry in beam thrust (Zeng) ; MPI sensitive observables (Gaunt);



- ▶ A new Effective Lagrangian incorporating Glauber modes into the SCET framework ( Rothestein, Stewart)

$$\mathcal{L}_{\text{SCET II}}^{(0)} = \left[ \mathcal{L}_S^{(0)}(\psi_S, A_S) + \sum_{n_i} \mathcal{L}_{n_i}^{(0)}(\xi_{n_i}, A_{n_i}) \right] + \mathcal{L}_G^{\text{II}(0)}(\{\xi_{n_i}, A_{n_i}\}, \psi_S, A_S)$$

$$\mathcal{O}_{G12}^{\text{QCD}} = \left[ \bar{\xi}_1 W_1 \frac{\not{p}_2}{2} T^A W_1^\dagger \xi_1 \right] \frac{1}{\mathcal{P}_\perp^2} \times \left[ \mathcal{P}_\perp^\mu Y_1^\dagger Y_2 \mathcal{P}_{\perp\mu} - g \mathcal{P}_\perp^\mu \mathcal{B}_{1S\perp}^\mu Y_1^\dagger Y_2 - g Y_1^\dagger Y_2 \mathcal{B}_{2S\perp}^\mu \mathcal{P}_\perp^\mu - g \mathcal{B}_{1S\perp}^\mu Y_1^\dagger Y_2 \mathcal{B}_{2S\perp}^\mu - \frac{ig}{2} n_1^\mu n_2^\nu Y_1 \tilde{G}_{\mu\nu}^S Y_2 \right]^{AB}$$



$$\times \frac{1}{\mathcal{P}_\perp^2} \left[ \bar{\xi}_2 W_2 \frac{\not{p}_1}{2} T^B W_2^\dagger \xi_2 \right]$$

allows separately calculating factorization breaking terms, advantageous in studying its physical implications.



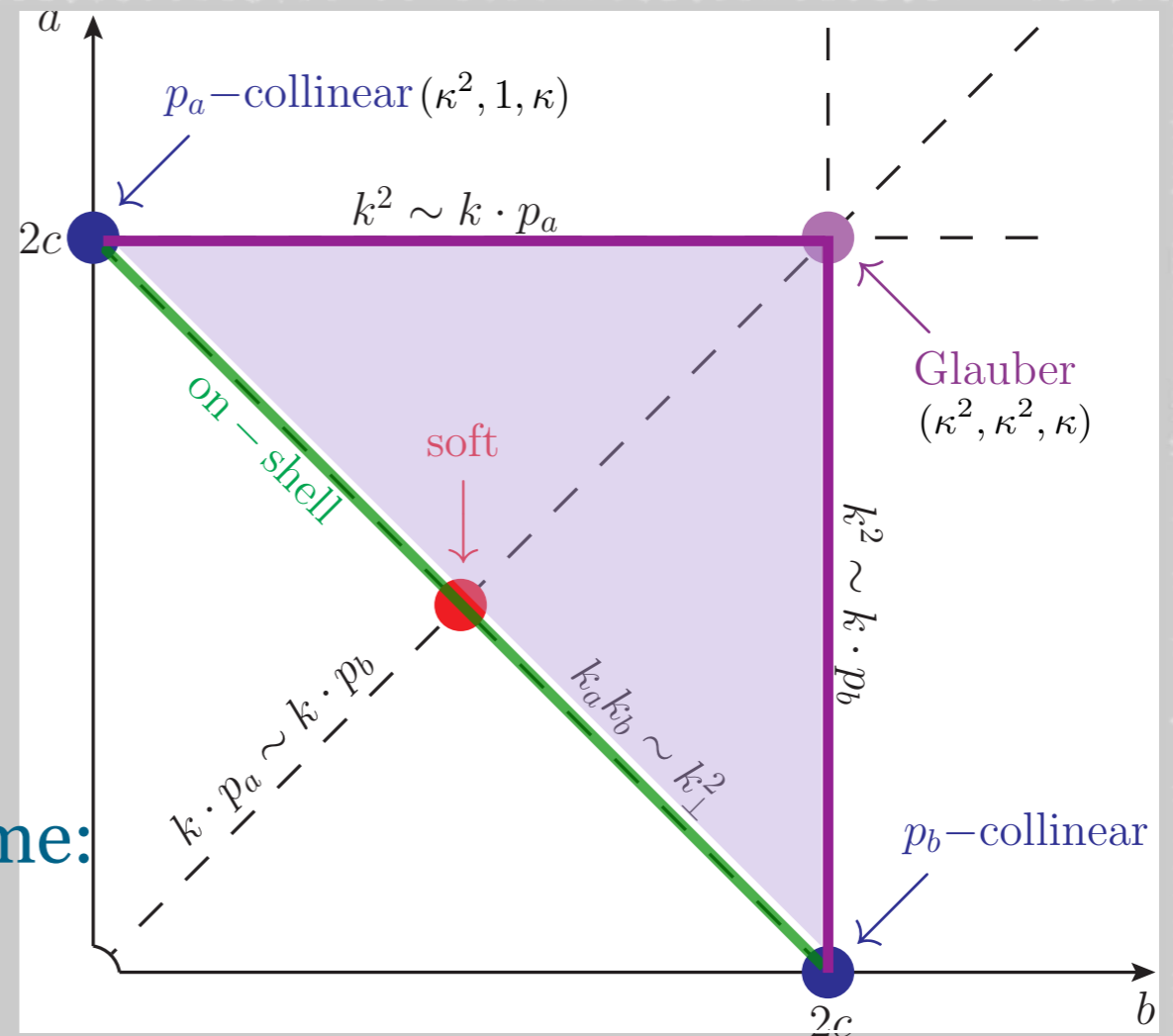
# Isolating the Glauber contribution

$$k^\mu = k_b n_a^\mu + k_a n_b^\mu + k_\perp^\mu$$

$$k_a = \frac{n_a \cdot k}{n_a \cdot n_b}, \quad k_b = \frac{n_b \cdot k}{n_a \cdot n_b}$$

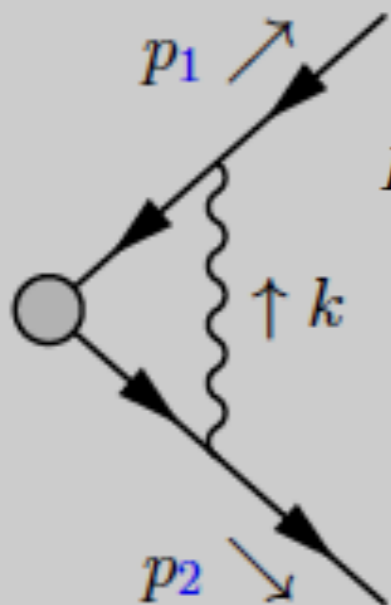
zoom into a particular infrared regime:

$$k^\mu \rightarrow \kappa^b k_b n_a^\mu + \kappa^a k_a n_b^\mu + \kappa^c k_\perp^\mu$$

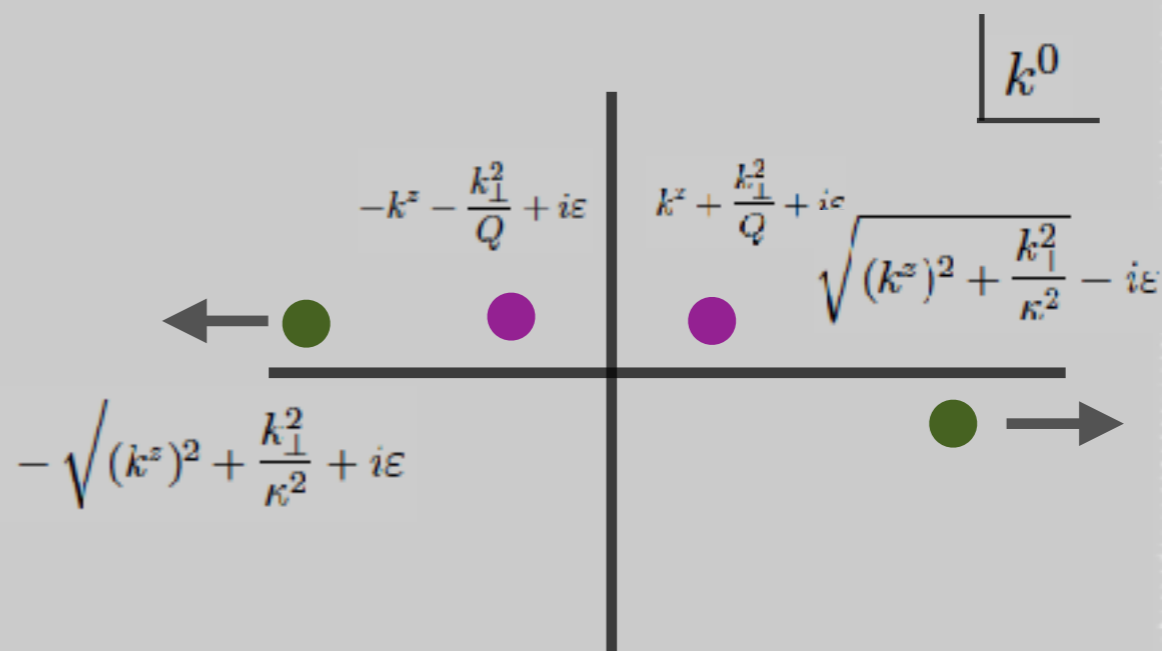
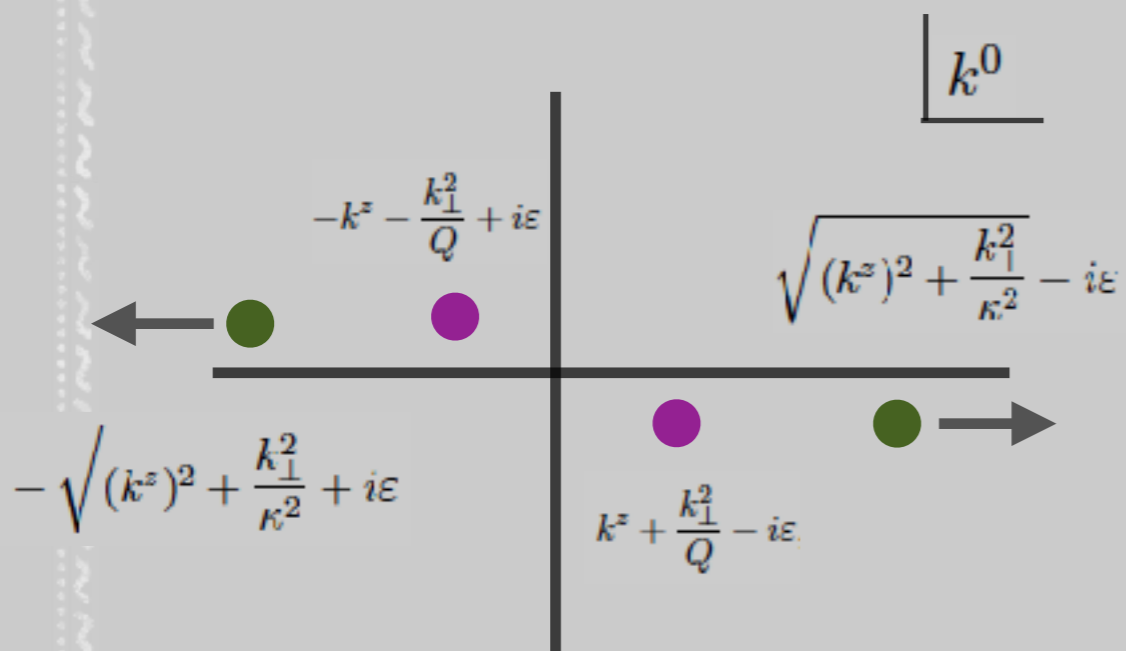
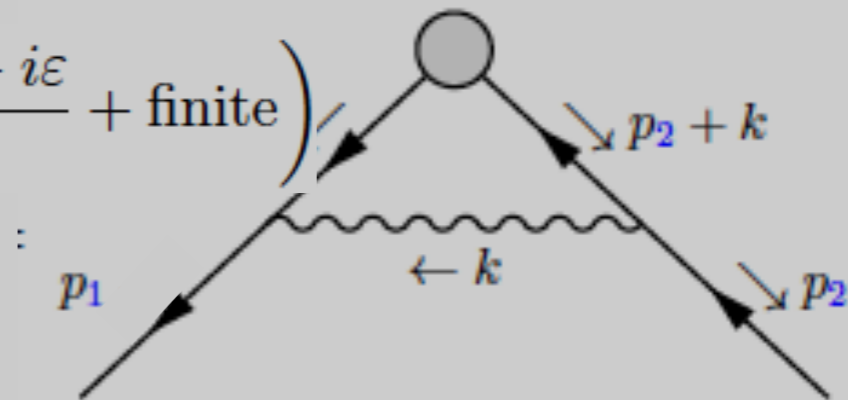


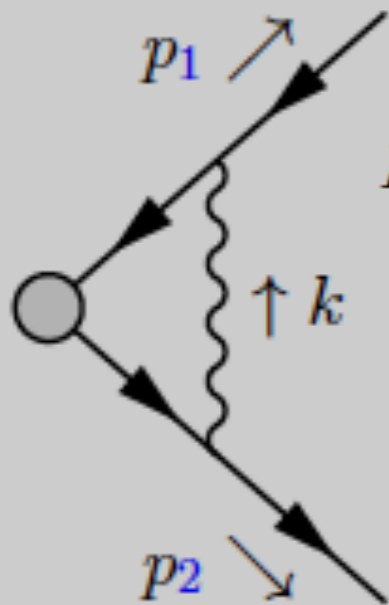
Glauber scaling: take  $a=b=2, c=1$

zooming into an off-shell, soft regime where the validation of eikonal approximation is challenged  $p_j \cdot k \sim k^2, k^+ k^- \ll k_\perp^2$

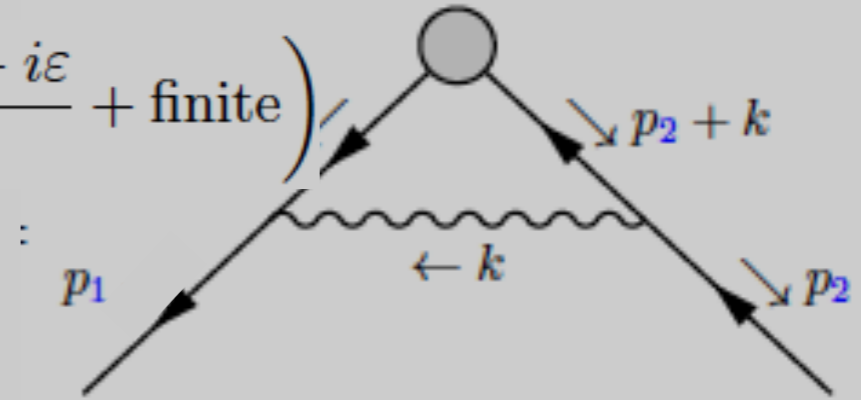


$$I_{\text{full}} = \frac{\alpha_s}{2\pi} \left( \frac{1}{\epsilon^2} - \frac{1}{\epsilon} \ln \frac{-2p_1 \cdot p_2 - i\epsilon}{\mu^2} + \text{finite} \right)$$

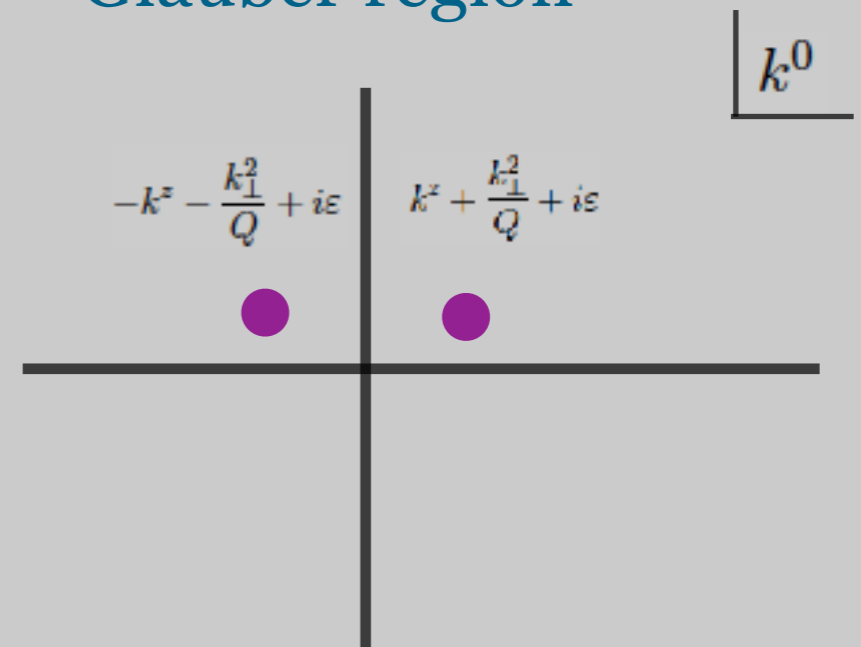
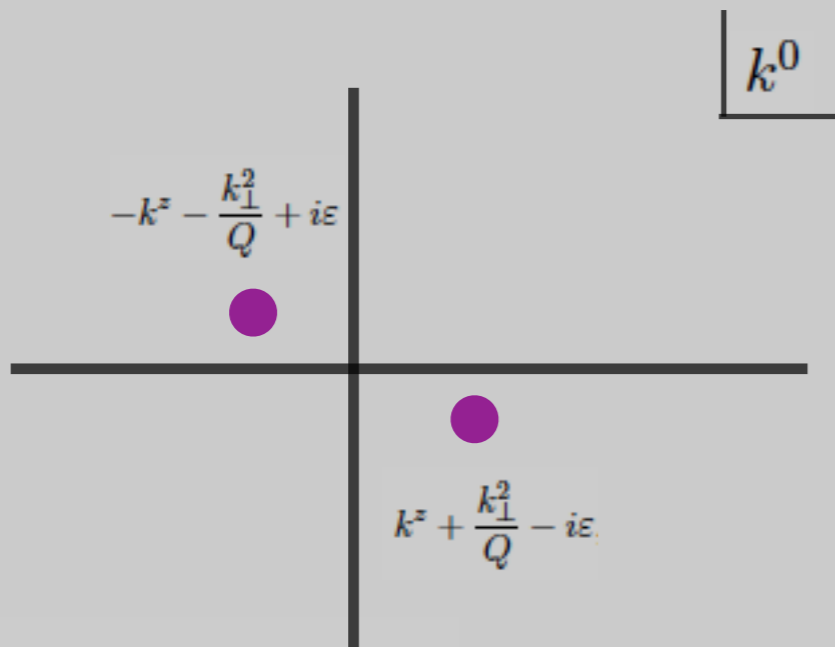




$$I_{\text{full}} = \frac{\alpha_s}{2\pi} \left( \frac{1}{\epsilon^2} - \frac{1}{\epsilon} \ln \frac{-2p_1 \cdot p_2 - i\epsilon}{\mu^2} + \text{finite} \right)$$



no pinched singularity in Glauber region

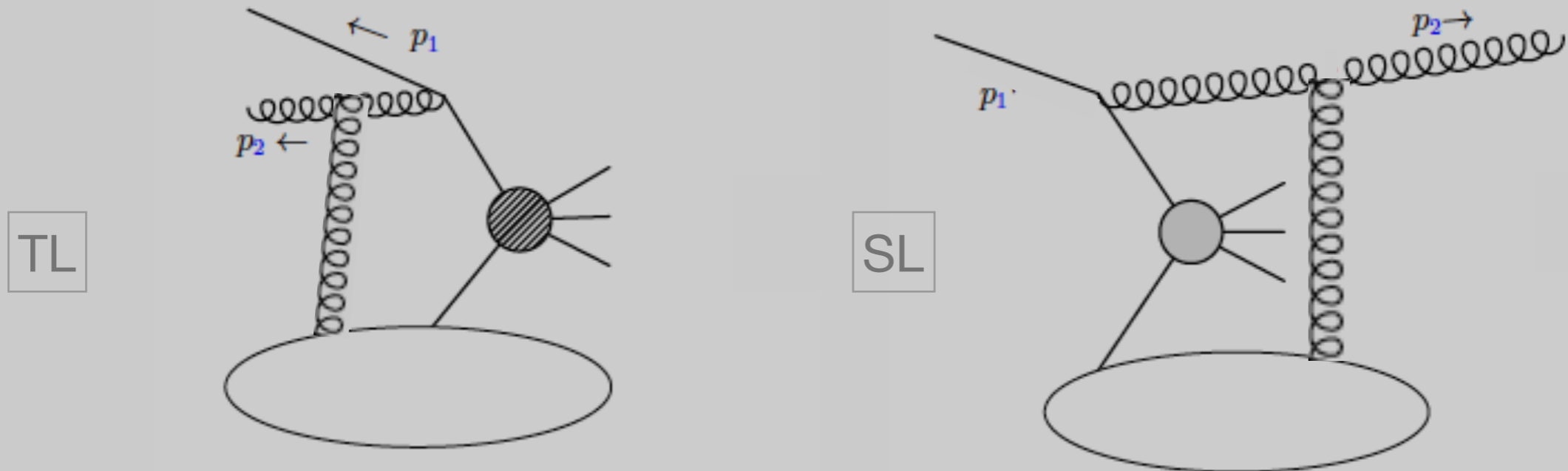


$I(s_{12}) - I(-s_{12}) = \text{purely imaginary}$

- Glauber contribution is non-analytic in external momenta

# Timelike vs. spacelike splitting

Absorptive part of the soft virtual correction violates collinear factorization



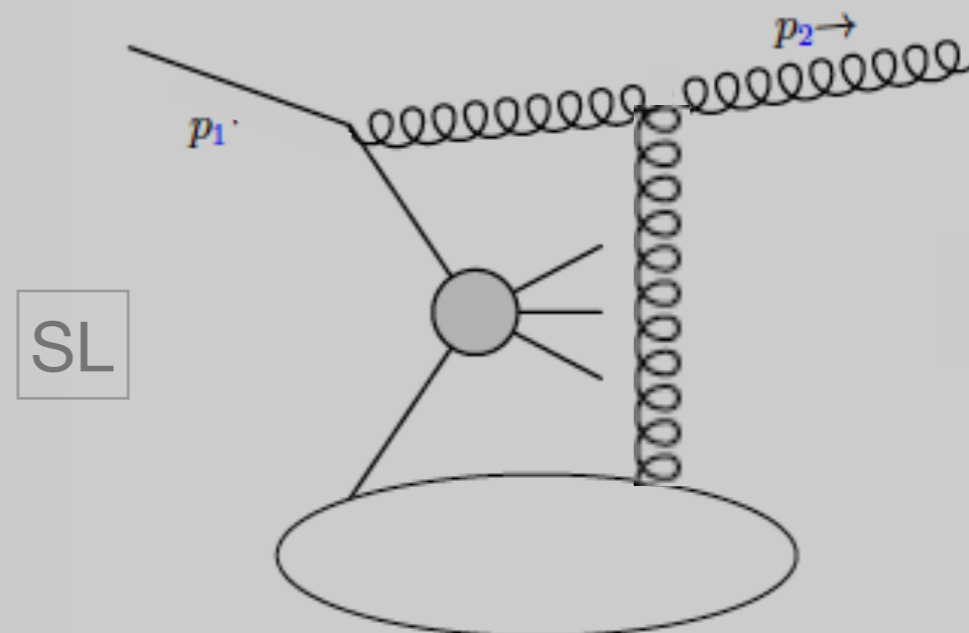
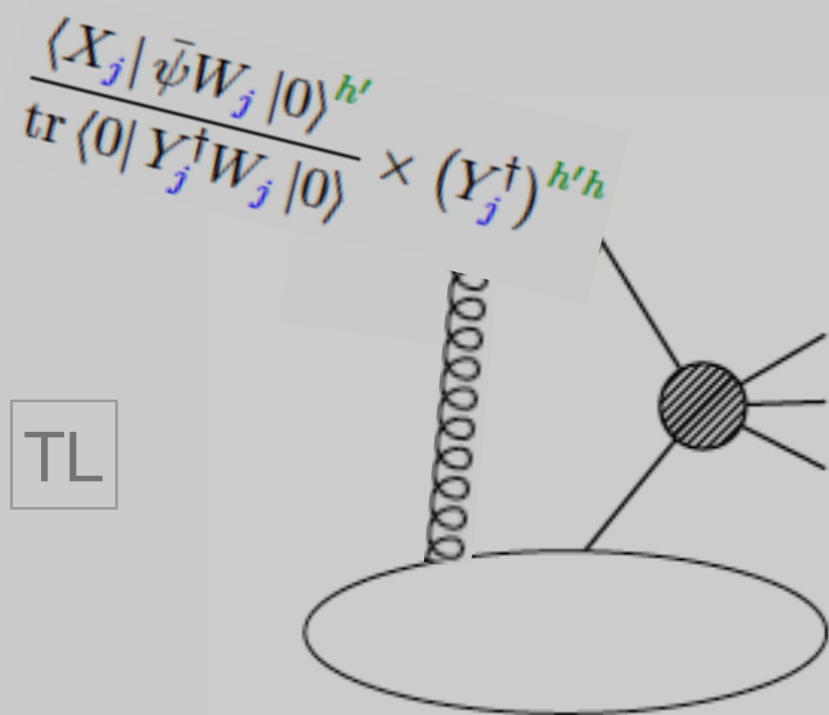
$$\begin{aligned} T_j \cdot \left[ T_1 \Theta(s_{j1}) + T_2 \Theta(s_{j2}) \right] \\ = T_j \cdot T_{\tilde{p}} \Theta(s_{j\tilde{p}}) \end{aligned}$$

- Strict factorization holds.

- Color coherence breaks down due to contribution from the Glauber regime.



# Timelike vs. spacelike splitting



$$T_j \cdot \left[ T_1 \Theta(s_{j1}) + T_2 \Theta(s_{j2}) \right]$$

$$= T_j \cdot T_{\tilde{P}} \Theta(s_{j\tilde{P}})$$

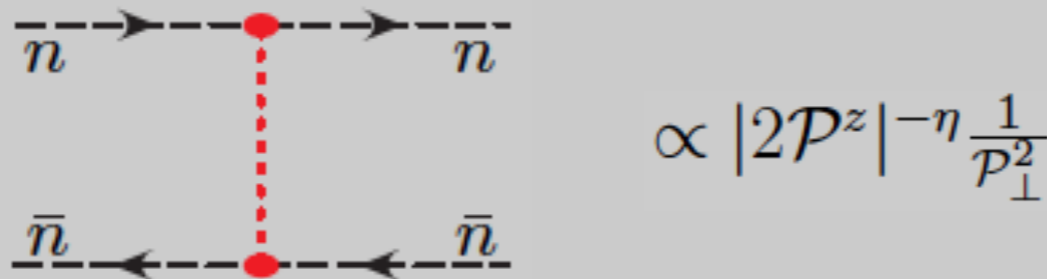
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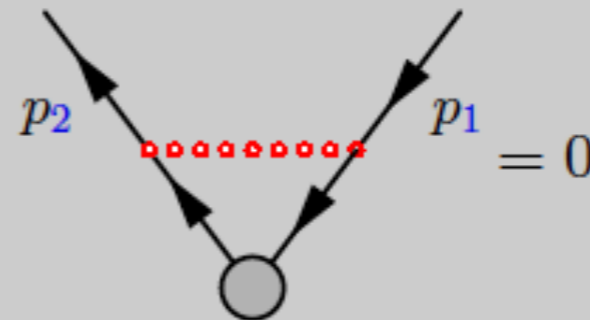
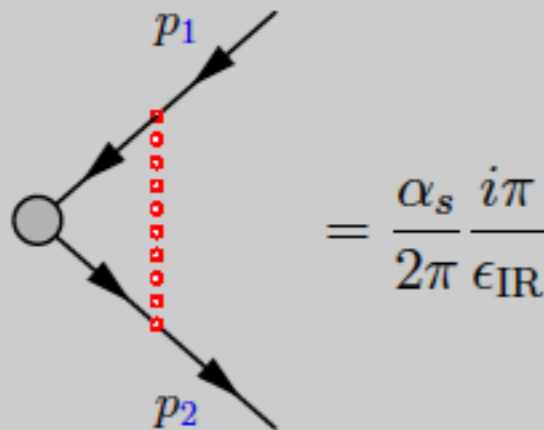
# How the SCET approach works

## SCET Glauber operator

with the conspiracy of power expansion and rapidity regulator :

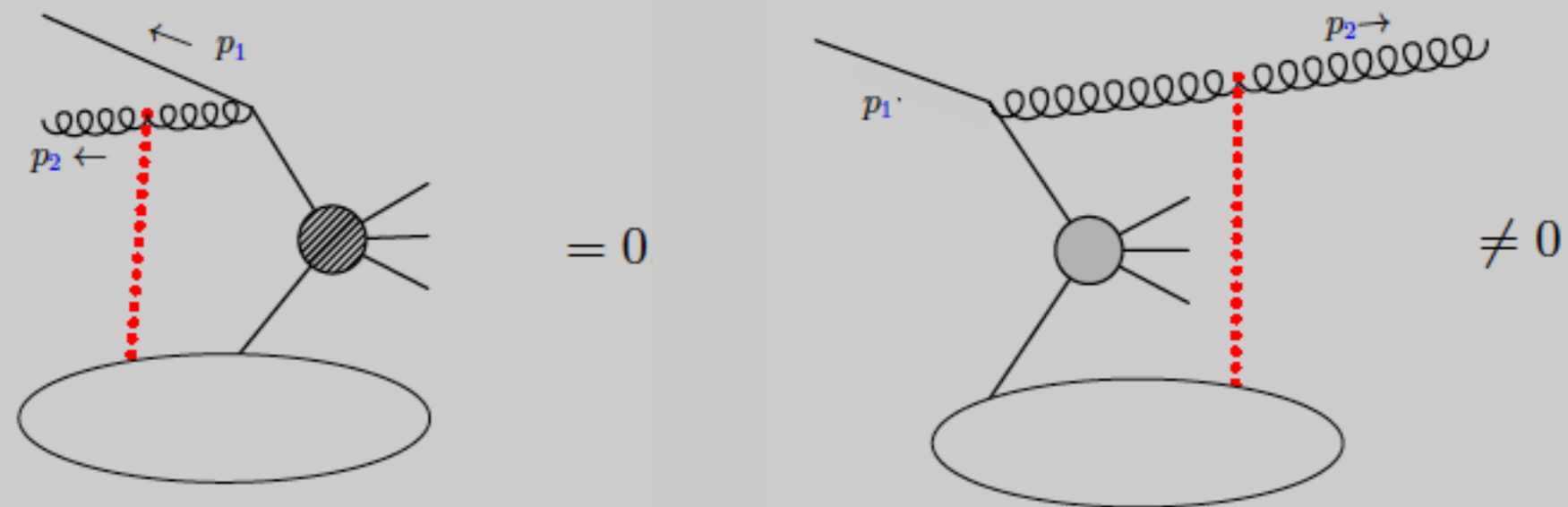



- reproduce the non-analytic property of Glauber contribution to the one-loop vertex correction



The splitting is computed from emissions of an amplitude with  $(n-m)$  collinear sectors, rather than by taking collinear limits of  $n$  parton amplitudes

- respect collinear factorization in time like regime



The background features decorative dotted lines in a light gray color, forming elegant, swirling patterns that frame the central text area.

*Generalized splitting  
amplitude*

$$|\mathcal{M}\rangle \simeq Sp(p_1, \dots, p_m; \tilde{P}; p_{m+1}, \dots, p_n) |\overline{\mathcal{M}}\rangle$$

depend on color and momenta on the non-collinear partons

## Catani's approach

- Extract from the collinear limit of the n-point formula, compared with the (n-m)-point formula

## Reformulation in SCET

- Separately calculate

$$Sp^{\text{fact}} = \frac{\langle p_2 | W_1^\dagger \psi | p_1 \rangle}{\langle 0 | W_1^\dagger \psi | P \rangle} + Sp^{\text{non-fac.}}$$

diagrams with insertions of Glauber operators



# Catani's formula

IR divergences of one-loop splitting amplitude:

$$= \frac{\alpha_S(\mu^2)}{2\pi} \frac{1}{2} \left\{ \left( \frac{1}{\epsilon^2} C_{\tilde{P}} + \frac{1}{\epsilon} \gamma_{\tilde{P}} \right) - \sum_{i \in C} \left( \frac{1}{\epsilon^2} C_i + \frac{1}{\epsilon} \gamma_i - \frac{2}{\epsilon} C_i \ln |z_i| \right) \right. \\ \left. - \frac{1}{\epsilon} \sum_{\substack{i, l \in C \\ i \neq l}} T_i \cdot T_l \ln \left( \frac{-s_{il} - i0}{|z_i| |z_l| \mu^2} \right) \right\} + \Delta_{mC}^{(1)}(\epsilon)$$

radiative part; real;  
factorized

absorptive part;  
purely imaginary

$$\Delta_{mC}^{(1)}(\epsilon) = \frac{\alpha_S(\mu^2)}{2\pi} \frac{i\pi}{\epsilon} \sum_{\substack{i \in C \\ j \in NC}} T_i T_j \Theta(-z_i) \text{sign}(s_{ij})$$

dependence on non-collinear dynamics

## IR divergences of two-loop splitting amplitude:

$$\text{Sp}^2 = \left[ \Delta_C^2(\epsilon) + \frac{1}{2}(\mathbf{I}_C^1)^2 \right] \cdot \text{Sp}^0 + \frac{1}{\epsilon} \text{ terms} + \text{finite}$$

exponentiation of  
one-loop result

two-loop non-abelian web;  
subleading pole

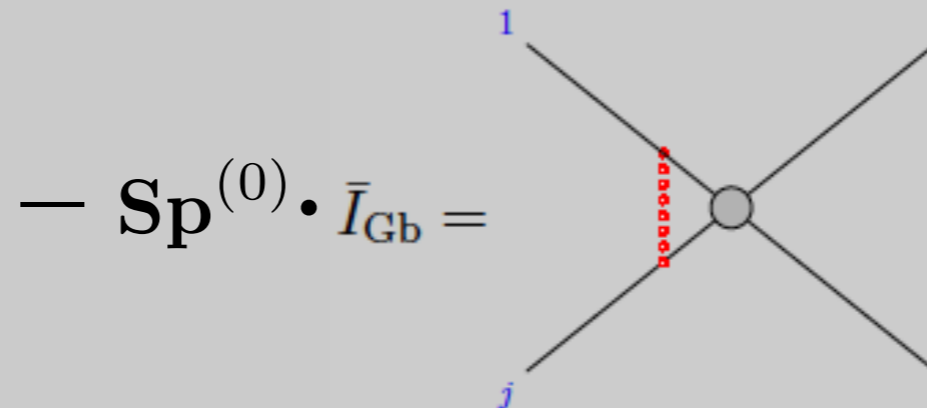
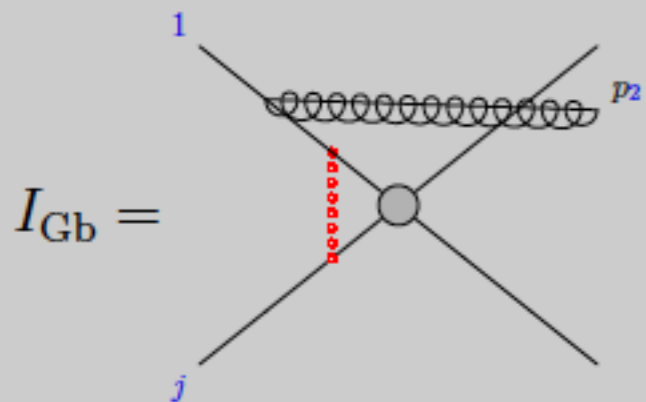
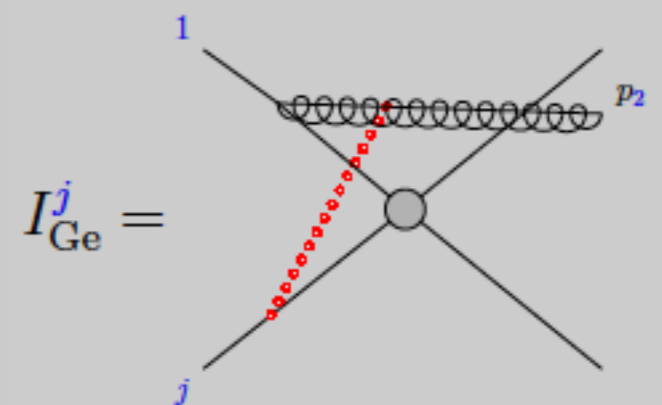
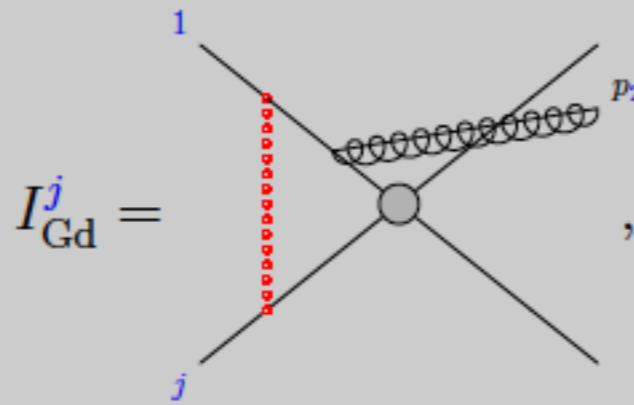
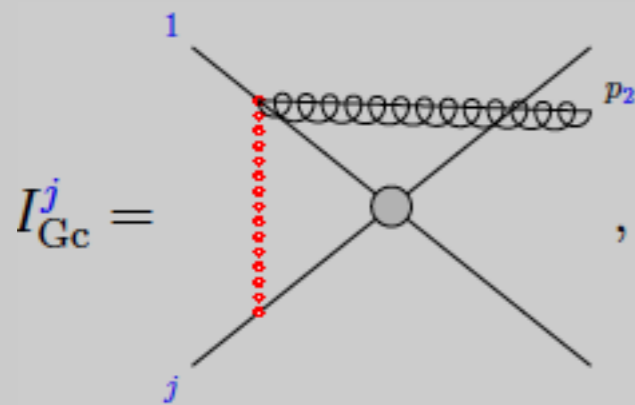
$$[I(\epsilon), \bar{I}(\epsilon)] = \text{(leading pole; do not exponentiation)}$$

$$\Delta_C^2(\epsilon) = \left( \frac{\alpha_s}{2\pi} \right)^2 \left( \frac{-s_{12} - i\epsilon}{\mu^2} \right)^{-2\epsilon} \pi f_{abc} \sum_{i=1,2} \sum_{j,k=3}^n \mathbf{T}_i^a \mathbf{T}_j^b \mathbf{T}_k^c \Theta(-z_i) \text{sign}(s_{ij}) \Theta(-s_{jk})$$

$$\times \ln \left( -\frac{s_j s_k z_1 z_2}{s_{jk} s_{12}} - i\epsilon \right) \left[ -\frac{1}{2\epsilon^2} + \frac{1}{\epsilon} \ln \left( \frac{-z_i}{1-z_i} \right) \right]$$

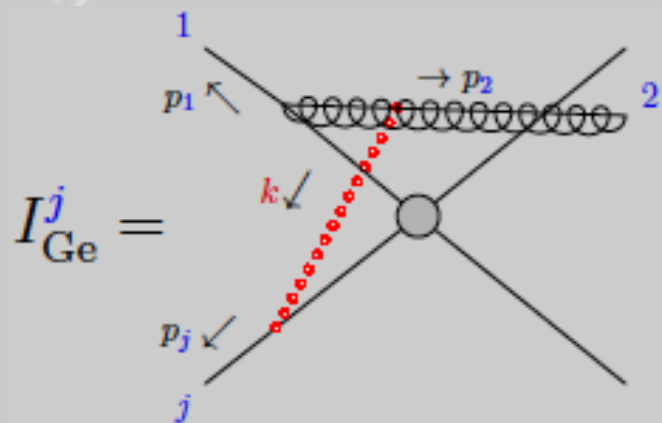
# One-loop splitting amplitude in SCET

$$\mathbf{Sp}^{1,\text{non-fac.}} = |\mathcal{M}^1\rangle_{\text{Glauber}} - \mathbf{Sp}^0 |\overline{\mathcal{M}}^1\rangle_{\text{Glauber}}$$



non-vanishing only if glauber exchange takes place **after** the splitting : Glauber loop cannot interrupted

# spectator-active interaction



$$I_{\text{Ge}}^j = (\mathbf{T}_2 \cdot \mathbf{T}_j) \int \frac{d^d k}{(2\pi)^d} \frac{1}{|2k^z|^\eta} \frac{1}{k^+ + \delta_j \mp i\epsilon} \frac{1}{k^- - \delta_2 + i\epsilon} \frac{1}{k^- - \delta_1 - i\epsilon} \frac{1}{\vec{k}_\perp^2} N^\mu$$

sign depends on the direction of the non-collinear parton

summing over j:

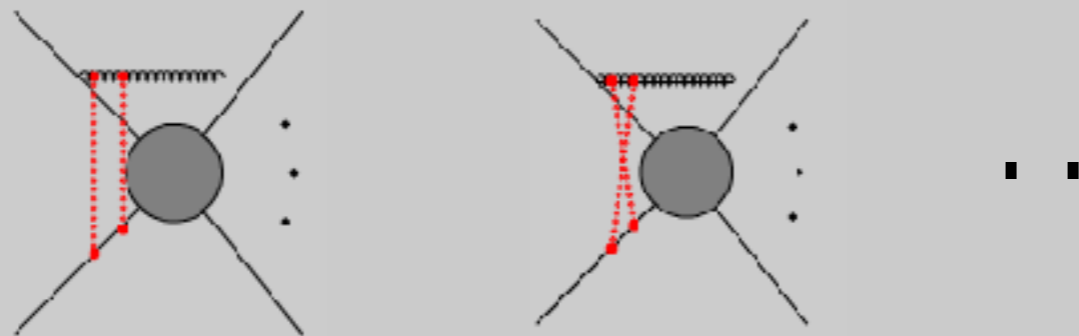
$$\text{Sp}^{1, \text{non-fact}} = \frac{\alpha_s}{2\pi} (4\pi e^{-\gamma_E})^\epsilon (i\pi) \left( \frac{1}{\epsilon} + \ln \frac{\mu^2}{-2p_1 \cdot p_2} + \ln \frac{z-1}{z} \right) \left( -\mathbf{T}_2 \cdot \mathbf{T}_3 + \sum_{j>3} \mathbf{T}_2 \cdot \mathbf{T}_j \right) \text{Sp}^0$$

agrees with Catani's formula  $\Delta^{(1)}(\epsilon)$

# Two-loop splitting amplitude in SCET

$$\begin{aligned}
 \text{Sp}^{2,\text{non-fac.}} \cdot |\overline{\mathcal{M}}^0\rangle &= |\overline{\mathcal{M}}^2\rangle_{\text{double Glauber}} - \text{Sp}^{1,\text{non-fac.}} \cdot |\overline{\mathcal{M}}^1\rangle_{\text{Glauber loops}} \\
 &+ |\overline{\mathcal{M}}^2\rangle_{\text{Glauber-soft}}^{\text{non-fac.}} + |\overline{\mathcal{M}}^2\rangle_{\text{Glauber-coll.}}^{\text{non-fac.}} - \text{Sp}^{1,\text{non-fac.}} \cdot |\overline{\mathcal{M}}^1\rangle_{n_1\text{-coll. loops}}
 \end{aligned}$$

First line:

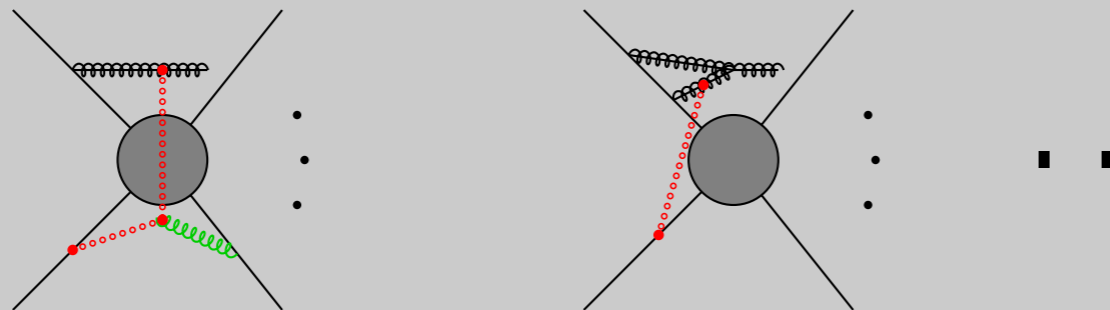


exponentiated one-loop

anti-hermitian (real)  
two-loop factorization  
violation term (Catani)

$$\text{double pole} \left\{ \frac{1}{2} \left[ \frac{\alpha_s}{2\pi} \frac{i\pi}{\epsilon} \left( -\mathbf{T}_2 \cdot \mathbf{T}_3 + \sum_{j=4}^m \mathbf{T}_2 \cdot \mathbf{T}_j \right) \right]^2 - \frac{\alpha_s^2}{4\epsilon^2} \sum_{j=4}^m i f_{abc} \mathbf{T}_2^a \mathbf{T}_3^b \mathbf{T}_j^c \right\} \text{Sp}^0 \overline{\mathcal{M}}^0$$

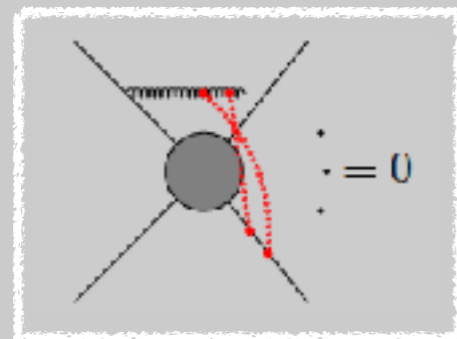
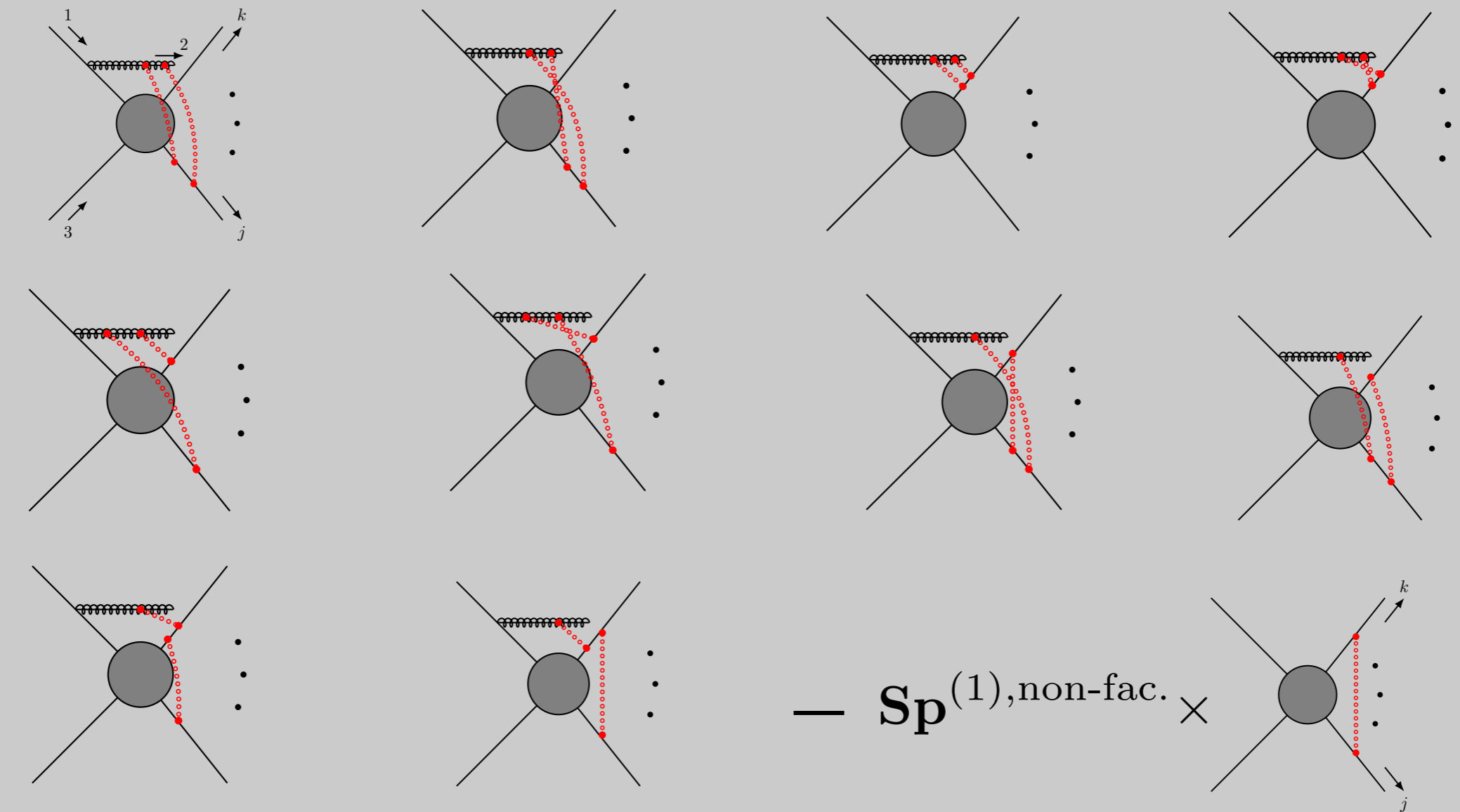
Second line:



soft/collinear-glauber  
mixing effects, purely  
imaginary

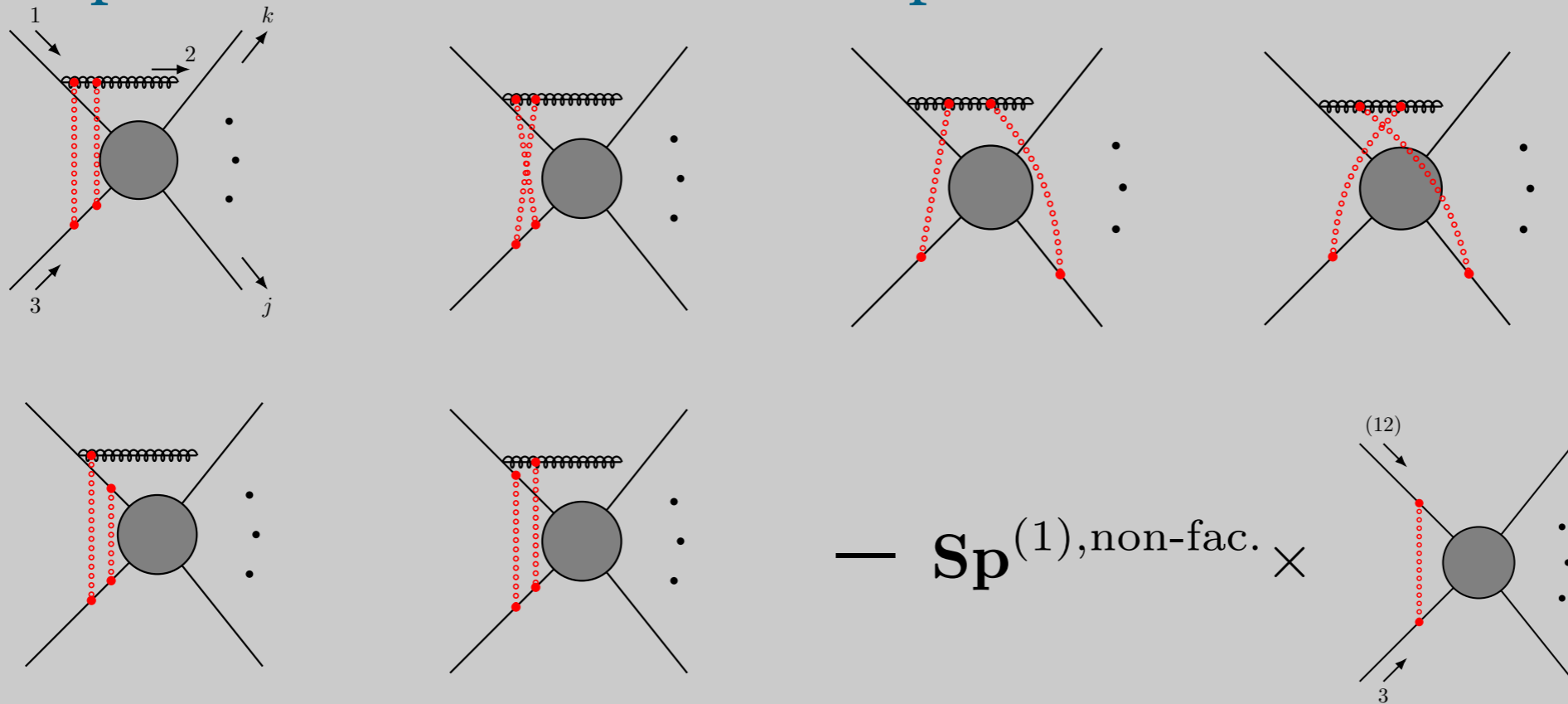


# Both Glaubers on outgoing legs: strict exponentiation of one-loop diagrams



double pole =  $\frac{1}{2!} (\mathbf{T}_2 \cdot \mathbf{T}_j)^2 \text{Sp}^0 \overline{\mathcal{M}}^0 \left(\frac{\alpha_s}{2\pi}\right)^2 (i\pi)^2 \frac{1}{\epsilon^2}$

# At least one Glauber on an incoming leg: two-loop non-abelian terms do not exponentiate



$$= 0$$

double pole  $\frac{1}{2!} [(\mathbf{T}_2 \cdot \mathbf{T}_3)^2 + C_A \mathbf{T}_2 \cdot \mathbf{T}_3] \text{Sp}^0 \overline{\mathcal{M}}^0 \left(\frac{\alpha_s}{2\pi}\right)^2 (i\pi)^2 \frac{1}{\epsilon^2}$

$$= 0$$

double pole  $\left(-\frac{1}{2}(\mathbf{T}_2 \cdot \mathbf{T}_j)(\mathbf{T}_2 \cdot \mathbf{T}_3) - \frac{i}{2} f_{abc} \mathbf{T}_2^a \mathbf{T}_3^b \mathbf{T}_j^c\right) \text{Sp}^0 \overline{\mathcal{M}}^0 \left(\frac{\alpha_s}{2\pi}\right)^2 (i\pi)^2 \frac{1}{\epsilon^2}$

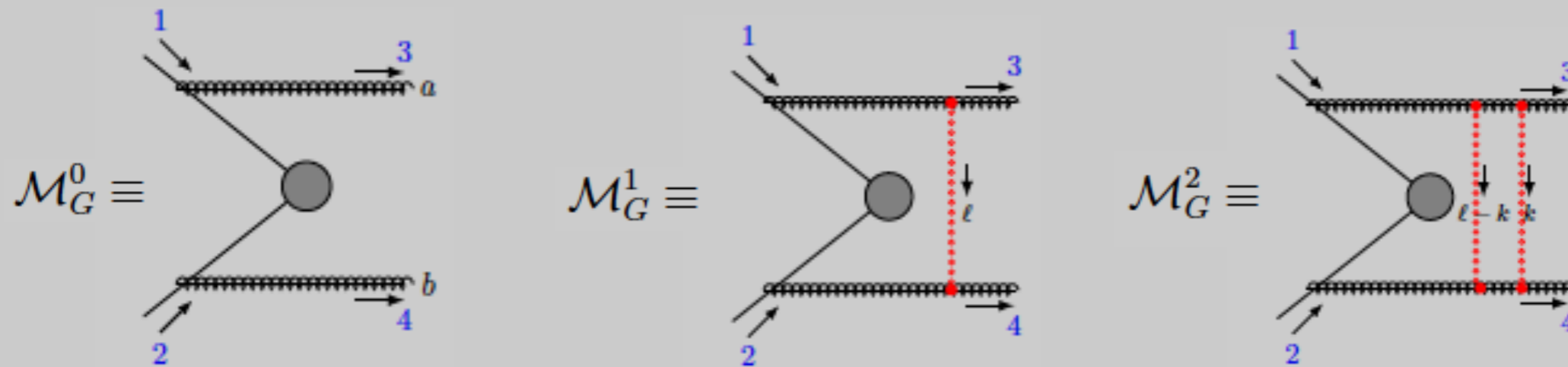
$$\underline{\text{double pole}} \left\{ \frac{1}{2} \left[ \frac{\alpha_s}{2\pi} \frac{i\pi}{\epsilon} \left( \mathbf{T}_2 \cdot \mathbf{T}_3 + \sum_{j=4}^m \mathbf{T}_2 \cdot \mathbf{T}_j \right) \right]^2 - \frac{\alpha_s^2}{4\epsilon^2} \sum_{j=4}^m i f_{abc} \mathbf{T}_2^a \mathbf{T}_3^b \mathbf{T}_j^c \right\} \text{Sp}^0 \overline{\mathcal{M}}^0$$

- ▶ Fully reproduce strict-factorization violating terms in DIS or Drell-Yan like processes.
- ▶ Structure of exponentiated IR singularity achieved by the non-analytic rapidity regulator
- ▶ soft-glauber mixing and collinear-glauber mixing diagrams are separately rapidity divergent, more challenging to compute

*Double splitting amplitude*



# Spectator-spectator Glauber interaction



Take  $p_1^\mu = Q_1 n^\mu$   $p_2^\mu = Q_2 \bar{n}^\mu$

result depends three mass terms in 2d Euclidean space:  $\vec{p}_{3,\perp}^2$   $\vec{p}_{4,\perp}^2$   $(\vec{p}_{3,\perp} + \vec{p}_{4,\perp})^2$

IR divergences cancel in the squared amplitude at  $\alpha_s^4$

double pole:

$$\left(\mathcal{M}_G^1\Big|_{\epsilon^{-1}}\right) \left(\mathcal{M}_G^1\Big|_{\epsilon^{-1}}\right)^* + \left(\mathcal{M}_G^2\Big|_{\epsilon^{-2}}\right) \left(\mathcal{M}_G^0\Big|_{\epsilon^0}\right)^* + \left(\mathcal{M}_G^0\Big|_{\epsilon^0}\right) \left(\mathcal{M}_G^2\Big|_{\epsilon^{-2}}\right)^* = 0$$

single pole:

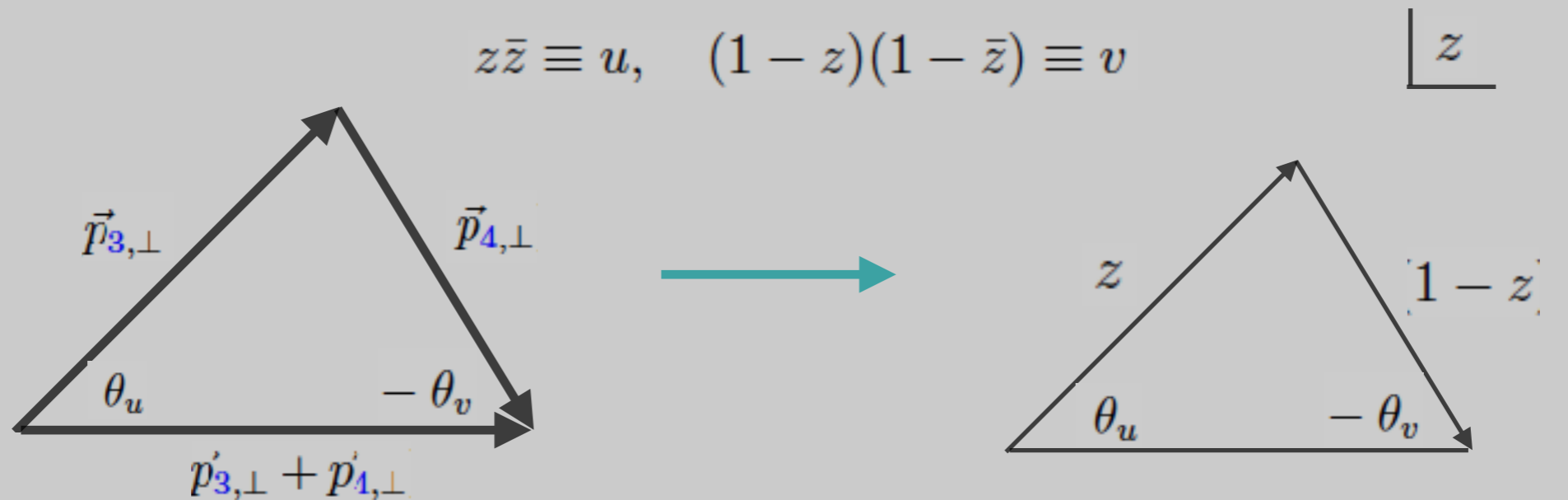
$$\left(\mathcal{M}_G^1\Big|_{\epsilon^{-1}}\right) \left(\mathcal{M}_G^1\Big|_{\epsilon^0}\right)^* + \left(\mathcal{M}_G^2\Big|_{\epsilon^{-1}}\right) \left(\mathcal{M}_G^0\Big|_{\epsilon^0}\right)^* = 0$$

(work in progress)



# Scale invariance of the matrix element

depend only on dimensionless parameter (u, v)  
conveniently parametrized by  $(z, \bar{z})$  (Chevez, Duhr)



$$q_T^2 \equiv (\vec{p}_{3,\perp} + \vec{p}_{4,\perp})^2, \quad u \equiv \frac{\vec{p}_{3,\perp}^2}{q_T^2}, \quad v \equiv \frac{\vec{p}_{4,\perp}^2}{q_T^2} \quad z = \sqrt{u}e^{i\theta_u} = 1 - \sqrt{v}e^{i\theta_v}$$

phase-space integral  $\int d^2 p_{3,\perp} d^2 p_{4,\perp} = \frac{1}{8} \int d^2 q_T q_T^2 \int dz d\bar{z}$

need to handle the singularity at  $z = 0, 1$

(work in progress)

add and subtract a subtraction term  $|\mathcal{M}|_{\text{sub}}^2(\epsilon)$

$$\sum_{h_3, h_4} \left\{ |\mathcal{M}_G^1|^2 + \mathcal{M}_G^2 \cdot (\mathcal{M}_G^0)^* + \mathcal{M}_G^0 \cdot (\mathcal{M}_G^2)^* - |\mathcal{M}|_{\text{sub}}^2 \right\}$$

$$= \binom{\alpha_s^2}{1} (\mathbf{T}_3 \cdot \mathbf{T}_4)^2 \sum_{h_3, h_4} \left\{ |\mathcal{M}_G^0(\{h_i\})|^2 \left[ \delta_{h_3, h_4} \ln u \ln v + \delta_{h_3, (-h_4)} \frac{1}{2} (u + v - 1) \ln^2 \left( \frac{u}{v} \right) \right] \right\} + \mathcal{O}(\epsilon)$$

carrying out the phase-space integral for fixed  $q_T$ :

$$\frac{1}{8} q_T^2 \int dz d\bar{z} \sum_{h_3, h_4} \left\{ |\mathcal{M}_G^1|^2 + \mathcal{M}_G^2 \cdot (\mathcal{M}_G^0)^* + \mathcal{M}_G^0 \cdot (\mathcal{M}_G^2)^* - |\mathcal{M}|_{\text{sub}}^2 \right\}$$

$$= \left( \frac{\alpha_s^2}{4} \right) (\mathbf{T}_3 \cdot \mathbf{T}_4)^2 \sum_{h_3, h_4} |\mathcal{M}_G^0(\{h_i\})|^2 \pi \zeta_3 + \mathcal{O}(\epsilon) = - \int |\mathcal{M}|_{\text{sub}}^2$$

- Glauber cancels in the cross section (in accordance with CSS)
  - Cancellation requires integrating over the entire complex  $z$ -plane

(work in progress)

# Outlook

- ▶ handle and cancel the IR divergences in various subtraction schemes
- ▶ the resummation of large logarithms requires a quantitative study of factorization violation
- ▶ regulator independent definition of Glauber
- ▶ determine whether Glauber has physical implications on the observable



*Thank you!*