



# BABAR Results on Leptonic & Radiative B Decays

**G. Eigen, Bergen**

Representing the BABAR collaboration

SUSY2011, 30-08-2010





# Topics in this Talk

- Measurements of direct  $CP$  asymmetry in inclusive  $B \rightarrow X_{(s+d)} \gamma$  decays
- Evidence for  $B^\pm \rightarrow \tau^\pm \nu$
- Measurement of  $D_{(s)}^\pm \rightarrow \ell^\pm \nu$  branching fractions and decay constant  $f_{D_s}$   
→ impact on the  $m_{H^\pm} - \tan\beta$  plane in MSSM
- Search for  $D_{(s)}^+ / \Lambda_c^+ \rightarrow h^\pm \ell^- \ell^+$  decays





# Leptonic and Radiative Decays

- Leptonic & radiative decays have small branching fractions in the SM ( $10^{-4}$ - $10^{-9}$ ), since they involve some suppression mechanisms, e.g.:
  - higher order processes become leading (penguin loops, box diagrams in radiative decays & rare semileptonic decays)
  - CKM suppression ( $b \rightarrow u$  processes)
  - helicity suppression ( $W$ -annihilation)
- Suppressed processes are very sensitive to new physics contributions which may be similar in size and may interfere with the SM processes producing sizable deviations from the SM prediction
  - such processes are very suitable for new physics searches providing a complementary approach to direct searches at the Tevatron & LHC
- With the large data samples of the B-factories (BABAR:  $426 \text{ fb}^{-1}$  & Belle:  $711 \text{ fb}^{-1}$  at  $\Upsilon(4S)$ ) it became possible to explore rare decays in the  $b\bar{b}$  and  $c\bar{c}$  systems with branching fractions down to  $10^{-7}$
- Measurements of  $B \rightarrow X_s \gamma$  and related processes have set stringent constraints on the SUSY parameter space already probing New Physics at scale of a few TeV

Isidori, Nir, Perez  
arXiv:1002.0900 (2010)





# Measurement of Direct CP Asymmetry in Inclusive $B \rightarrow X_{(s+d)} \gamma$ Decays





# Motivation for $B \rightarrow X_{s+d} \gamma$ Studies

- The decays  $B \rightarrow X_s \gamma$  &  $B \rightarrow X_d \gamma$  proceed at leading order via loop diagrams
- In the SM, the  $B \rightarrow X_s \gamma$  branching fraction at NNLO for  $E_\gamma > 1.6 \text{ GeV}$  is

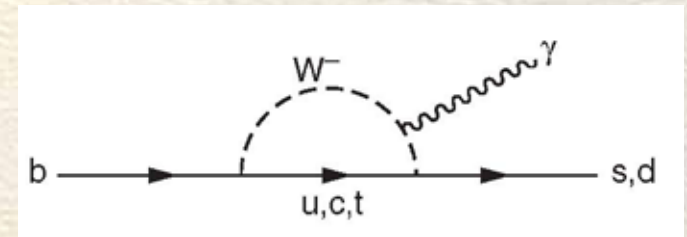
$$\mathcal{B}_{SM}(B \rightarrow X_s \gamma) = (3.15 \pm 0.23) \times 10^{-4}$$

Misiak et al., PRL 98, 022002 (2007)

- World average is consistent with the SM

$$\mathcal{B}(B \rightarrow X_s \gamma) = (3.55 \pm 0.24 \pm 0.09) \times 10^{-4}$$

HFAG 2011



- New physics contributions may increase the branching fraction
- Direct  $CP$  asymmetry is another observable sensitive for NP effects

$$\mathcal{A}_{CP}(B \rightarrow X_{s+d} \gamma) = \frac{\mathcal{B}(\bar{B} \rightarrow X_s \gamma + \bar{B} \rightarrow X_d \gamma) - \mathcal{B}(B \rightarrow X_s \gamma + B \rightarrow X_d \gamma)}{\mathcal{B}(\bar{B} \rightarrow X_s \gamma + \bar{B} \rightarrow X_d \gamma) + \mathcal{B}(B \rightarrow X_s \gamma + B \rightarrow X_d \gamma)}$$

Hurth et al., Nucl.Phys. B704, 56 (2005)

- SM predicts

$$\mathcal{A}_{CP}(B \rightarrow X_s \gamma) \sim 0.0044$$

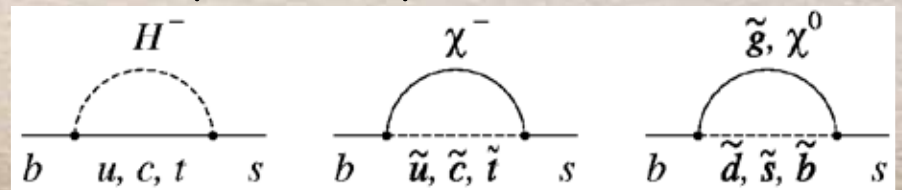
$$\mathcal{A}_{CP}(B \rightarrow X_d \gamma) \sim -0.102$$

$$\mathcal{A}_{CP}(B \rightarrow X_{s+d} \gamma) \sim \mathcal{O}(10^{-6})$$

Benzke et al., PRL106, 141801 (2010)

- Other interesting observables involve the photon spectrum moments

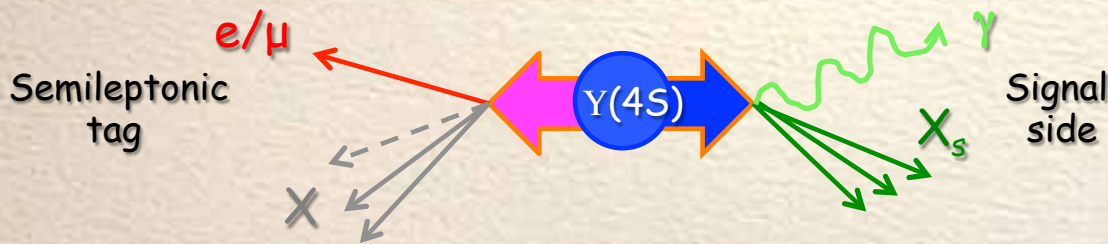
- Allow for extraction of heavy quark parameters  $m_b, \mu^2_{\pi, \dots}$  (in progress)





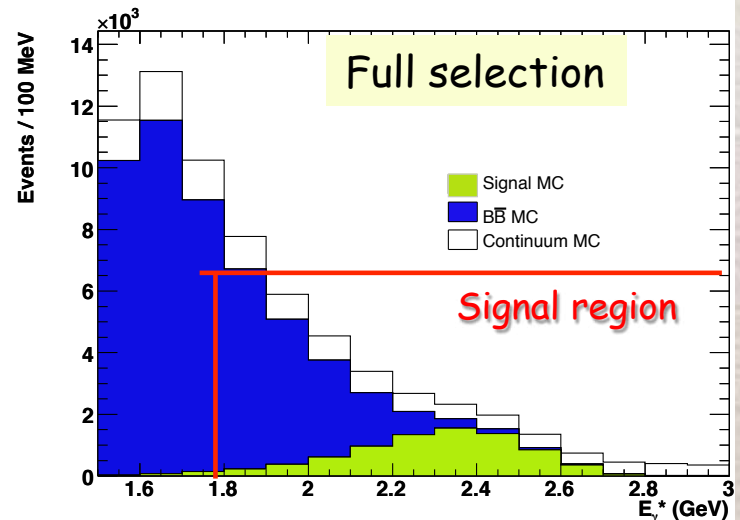
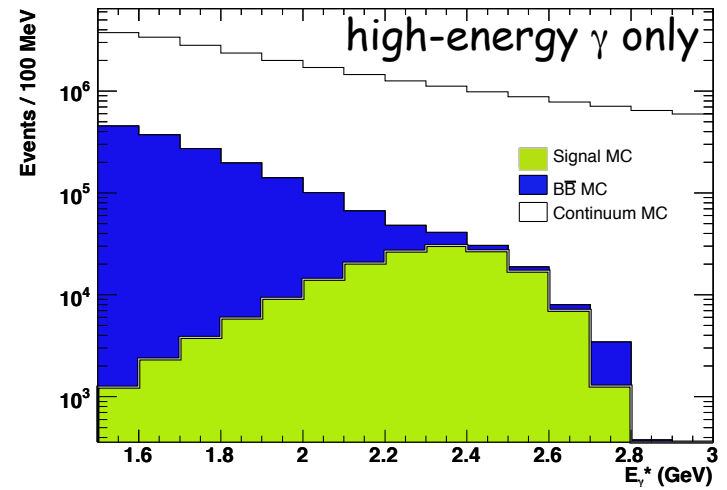
# $B \rightarrow X_{s+d} \gamma$ Analysis Strategy (fully inclusive)

- Use  $347 \text{ fb}^{-1}$  of  $\Upsilon(4S)$  data  $\rightarrow 3.8 \times 10^8 B\bar{B}$
- Use  $e$  &  $\mu$  tags to reduce  $q\bar{q}$  backgrounds
  - $\rightarrow p_{e(\mu)}^* > 1.05 \text{ GeV}/c$
  - $\rightarrow$  missing energy  $E_{\text{miss}} > 0.7 \text{ GeV}$
  - $\rightarrow$  angle between  $e(\mu)$  &  $\gamma$ :  $\cos \theta_{e(\mu)\gamma}^* > -0.7$



- Suppress continuum background
  - using neural network based on event shape variables
  - subtract off-resonance data
- Suppress  $B\bar{B}$  background mainly from  $\pi^0 \rightarrow \gamma\gamma$  and  $\eta \rightarrow \gamma\gamma$  by explicit vetos

### Photon energy spectra



Estimate remaining backgrounds using data and perform cross checks with control samples



# Results on $B \rightarrow X_{s+d} \gamma$ CP Asymmetry

- Checks of control regions agree with with null hypothesis

$B\bar{B}$  control (at  $1.4\sigma$ ):  $1.53 < E_\gamma^* < 1.8 \text{ GeV}$

$$N_{\gamma(4S)} - N_{bg(MC)} = 1252 \pm 272 \pm 841 \text{ events}$$

$q\bar{q}$  continuum control:  $2.9 < E_\gamma^* < 3.5 \text{ GeV}$

$$N_{\gamma(4S)} - N_{off} = -100 \pm 138 \text{ events}$$

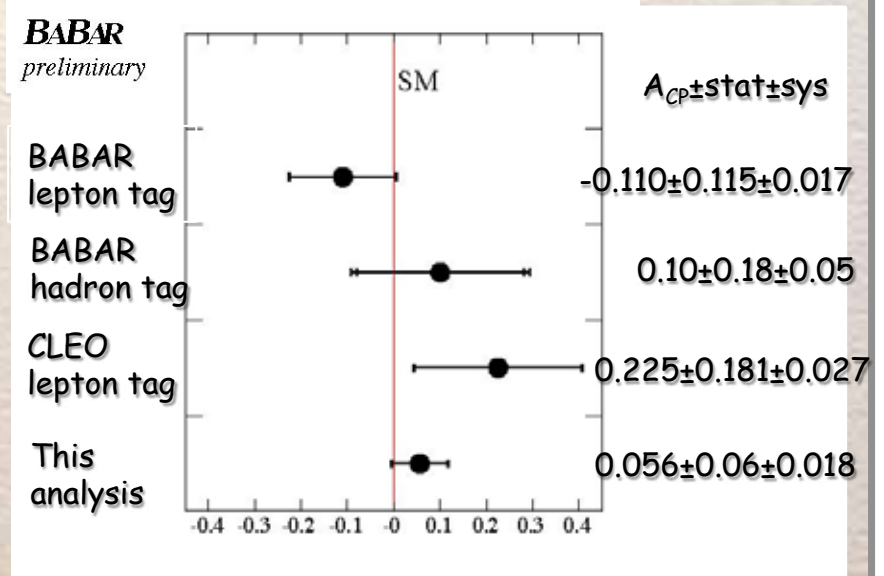
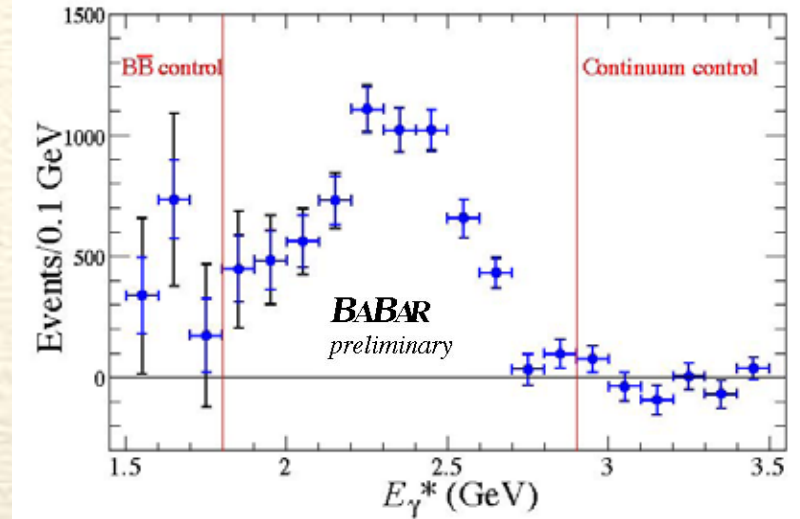
- $A_{CP}$  is independent of  $E_\gamma^*$  selection  
 $\rightarrow$  optimized for 2.1-2.8 GeV region

- Lepton charge determines B flavor  
 $\rightarrow 2623 \pm 158 \ell^+$  events  
 $\rightarrow 2397 \pm 151 \ell^-$  events

- Correct for mistag rate  
 $\omega = 0.131 \pm 0.007$  & bias  
 $\Delta A_{CP} = 0.004 \pm 0.006$

$$A_{CP} = \frac{1}{1 - 2\omega} A_{CP}^{meas} + \Delta A_{CP}$$

Measure  $A_{CP} = 0.056 \pm 0.060 \pm 0.018$



which agrees with the SM prediction (due to large experimental uncertainties)





# Evidence for

$$B^{\pm} \rightarrow \tau^{\pm} \nu$$

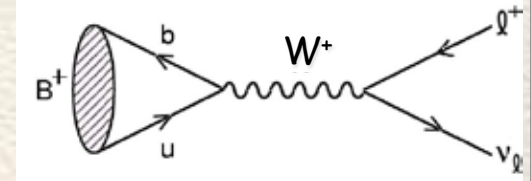






# B<sup>±</sup> Leptonic Decays

- B<sup>±</sup> → τ<sup>±</sup>ν<sub>τ</sub> is a weak annihilation process (helicity suppressed)



- The SM branching fraction is proportional to the decay constant  $f_B^2$  &  $|V_{ub}|^2$

$$\mathcal{B}_{SM}(B \rightarrow \tau \nu) = \frac{G_F^2}{8\pi} m_B m_\tau^2 \left(1 - \frac{m_\tau^2}{m_B^2}\right)^2 f_B^2 |V_{ub}|^2 \tau_B$$

- $f_B$  is determined in unquenched lattice calculations

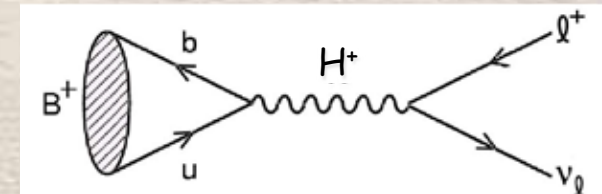
$$f_B = 191 \pm 3 \pm 13 \text{ MeV}$$

Lenz et al., PRD 83, 036004 (2011)

- For  $V_{ub} = (3.89 \pm 0.44) \times 10^{-3}$  (PDG 10) calculate

$$\mathcal{B}_{SM}(B^\pm \rightarrow \tau^\pm \nu) = (0.98 \pm 0.23_{V_{ub}} \pm 0.13_{f_B}) \times 10^{-4}$$

- Extra contribution may come from a charged Higgs boson, modifying the branching fraction



Hou, PRD 48, 2342 (1993)

$$\mathcal{B}(B^\pm \rightarrow \tau^\pm \nu) = \mathcal{B}_{SM}(B^\pm \rightarrow \tau^\pm \nu) \times r_H$$

$$r_H = \left(1 - \frac{m_B^2}{m_{H^+}^2} \frac{\tan^2 \beta}{1 + \epsilon_0 \tan \beta}\right)^2$$

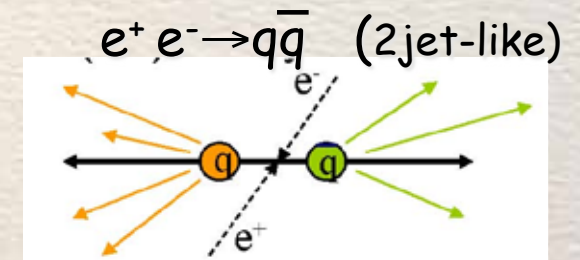
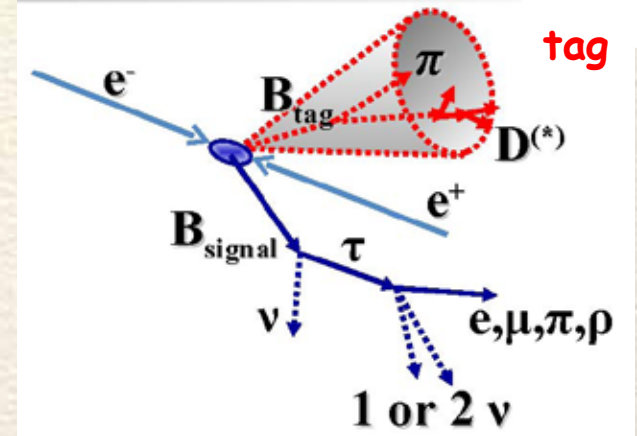
$\epsilon_0 \sim 0.01$  (radiative correction)



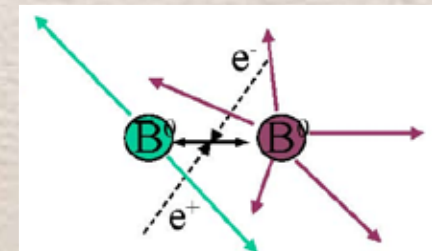


# $B^\pm \rightarrow \tau^\pm \nu$ Analysis Strategy

- Reconstruct (“tag”) one B meson in hadronic  $B^\mp \rightarrow D^{(*)0} X^\mp$  or  $B^\mp \rightarrow J/\psi X^\mp$  decays  $\epsilon^h_{\text{tag}} \sim 1\%$
- Look for signal  $\tau^\pm \rightarrow e^\pm \bar{\nu} \nu, \mu^\pm \bar{\nu} \nu, \pi^\pm \nu, \rho^\pm \nu$  in the recoil
- Sample:  $426 \text{ fb}^{-1}$  (467 million  $B\bar{B}$  events)
- Use kinematic constraints and event shape information to select signal
- Study extra neutral energy in event,  $E_{\text{extra}}$ , i.e. the energy of all photons in the EMC that do not belong to the signal nor the reconstructed tag
  - for correctly reconstructed tags this is the summed noise in the EMC
- Total selection efficiency  $\epsilon = (8.1 \pm 0.1) \times 10^{-4}$



$e^+ e^- \rightarrow B\bar{B}$  (spherical)





# $B^\pm \rightarrow \tau^\pm \nu$ Results

- Check data/MC agreement with double tags:  $B^- \rightarrow D^{(*)0} X^-$  vs  $B^+ \rightarrow \bar{D}^{(*)0} X^+$  &  $B^- \rightarrow D^{(*)0} X^-$  vs  $B^+ \rightarrow (\bar{D}^{(*)0} X^+ + \bar{D}^{(*)0} \ell^+ \nu)$

- Apply data/MC correction:  $0.926 \pm 0.01$

- Extract  $\mathcal{B}(B^\pm \rightarrow \tau^\pm \nu)$  from unbinned maximum likelihood fit to  $E_{\text{extra}}$  distribution in the 4 modes combined

- Observe a signal with  $3.3\sigma$  significance including systematic uncertainties

- BABAR measures (hadronic tags):

$$\mathcal{B}(B^\pm \rightarrow \tau^\pm \nu) = (1.8^{+0.57}_{-0.54} \pm 0.26) \times 10^{-4}$$

Del Amo Sanchez et al., hep-ex/1008.0104

- Combine result with previous analysis

that used semileptonic tags  $\rightarrow \mathcal{B}(B^\pm \rightarrow \tau^\pm \nu) = (1.76 \pm 0.49) \times 10^{-4}$

Aubert et al., PRD 77, 011107 (2008)



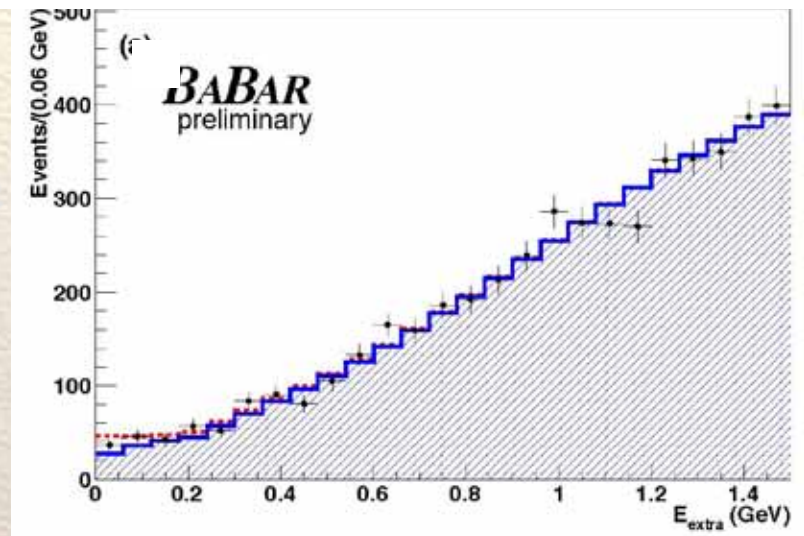
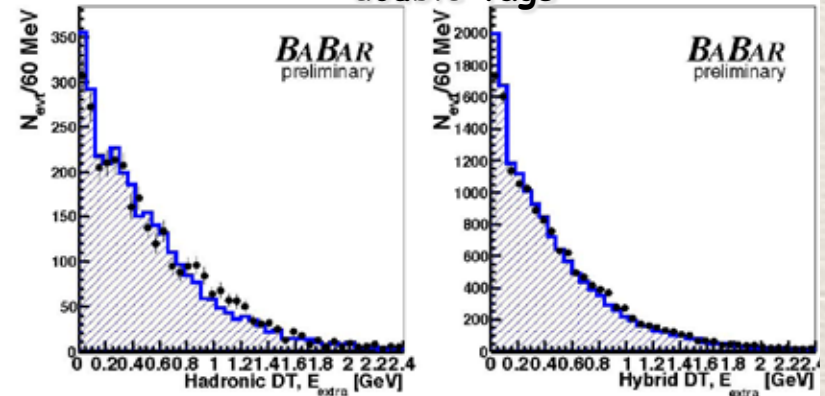
This is consistent with the Belle measurement  $\rightarrow$

G. Eigen, SUSY11 Fermilab, 30-08-2011

$$\mathcal{B}(B^\pm \rightarrow \tau^\pm \nu) = (1.54^{+0.38+0.29}_{-0.37-0.31}) \times 10^{-4}$$

Hara et al., PRD 82, 071101 (2010)

double tags





# Measurements of

$$D_s^\pm \rightarrow \tau^\pm \nu$$

Branching Fractions  
and  $f_{D_s}$

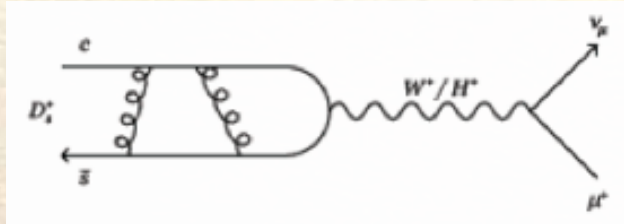




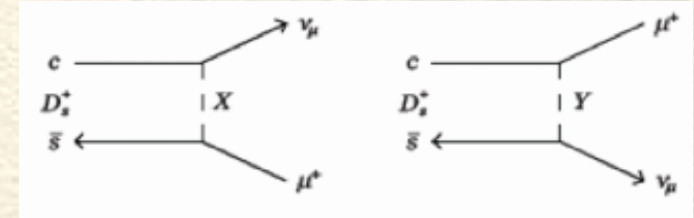
# $D_s^\pm$ Leptonic Decays

- $D_s^\pm \rightarrow \ell^\pm \nu$  proceeds via  $W$ -annihilation similar as  $B^\pm \rightarrow \tau^\pm \nu$

W boson/  
Charged Higgs



Leptoquarks



- New physics contribution involve a charged Higgs and leptoquarks

- $D_s^\pm \rightarrow \ell^\pm \nu$  is well suited to measure the decay constant  $f_{D_s}$ , since theoretical uncertainties are small

- In the SM, the  $D_s^\pm \rightarrow \ell^\pm \nu$  branching fraction is given by

$$\mathcal{B}_{SM}(D_s \rightarrow \ell \nu) = \frac{G_F^2}{8\pi} m_{D_s} m_\ell^2 \left(1 - \frac{m_\ell^2}{m_{D_s}^2}\right)^2 f_{D_s}^2 |V_{cs}|^2 \tau_{D_s}$$

- New Physics may enhance branching fraction

Dobrescu & Kronfeld,  
PRL 100, 241802 (2008)

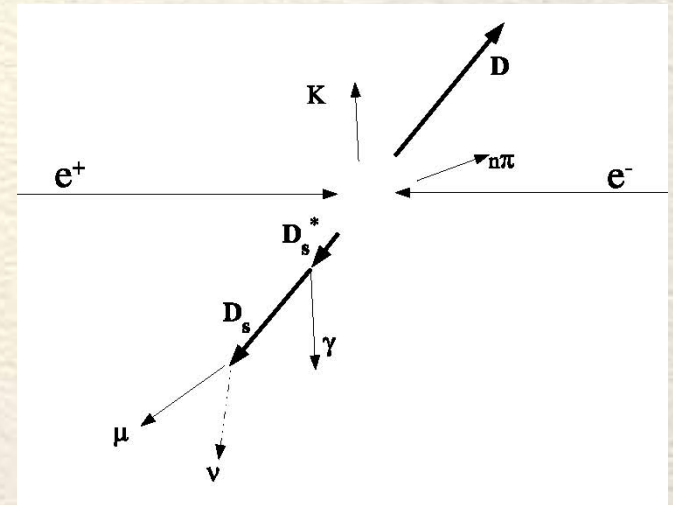
- In MSSM, the branching fraction is enhanced by the factor  $r_H$





# $D_s^\pm \rightarrow \ell^\pm \nu$ Analysis Strategy

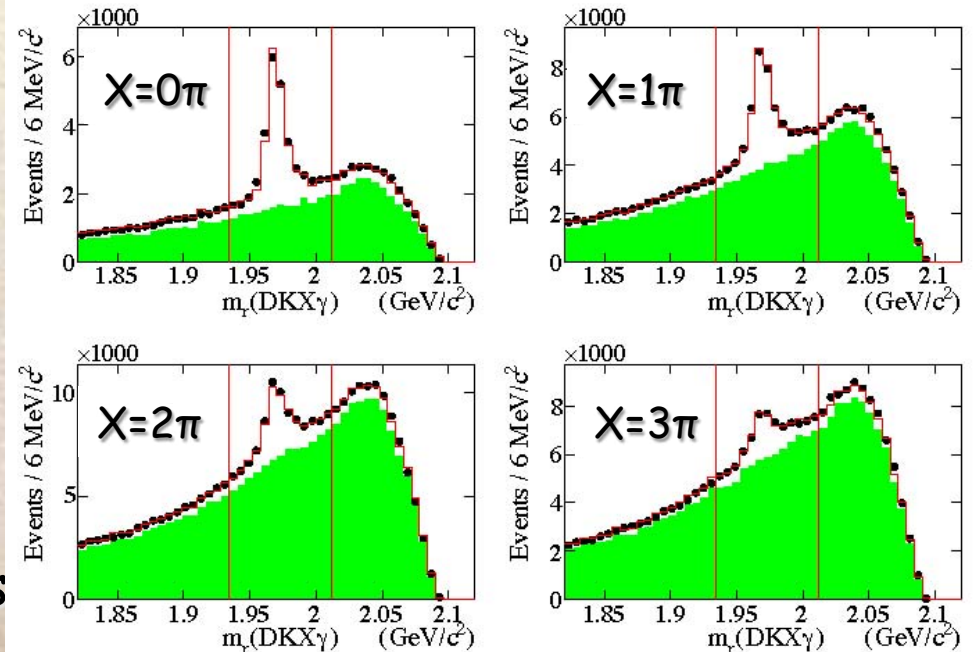
- Attempt to fully reconstruct events  $e^+e^- \rightarrow c\bar{c} \rightarrow DKXD_s^{*\pm}$ , with  $D_s^{*\pm} \rightarrow D_s^\pm \gamma$ ,  $D_s^\pm \rightarrow \ell^\pm \nu$
- $\ell = e, \mu, \text{ or } \tau$ ;  $K = K^+ \text{ or } K^0_S$ ;  $X = \# \text{ of } \pi\text{'s } (\leq 3)$
- Reconstruct D tag hadron fully in  $D^0, D^\pm \text{ and } \Lambda_c^\pm$  decays
- Use  $677 \times 10^6 c\bar{c}$  events ( $\mathcal{L} = 521 \text{ fb}^{-1}$ )



- Obtain normalization samples by reconstructing the recoil mass

$$m_r^2 = \left[ p_{e^+} + p_{e^-} - (p_D + p_K + p_X + p_\gamma) \right]^2$$

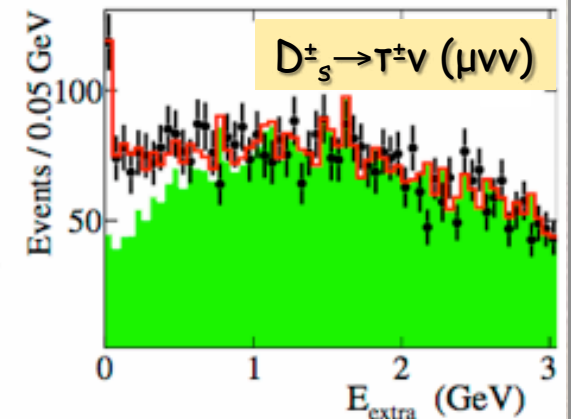
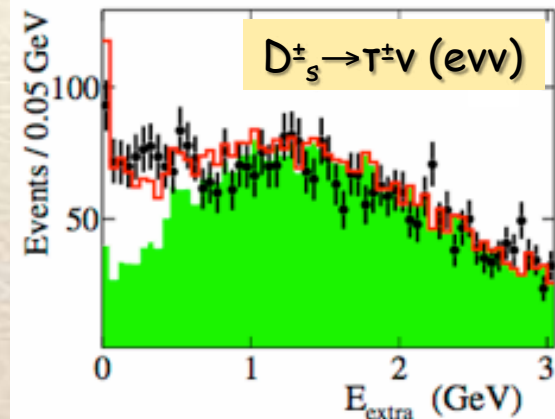
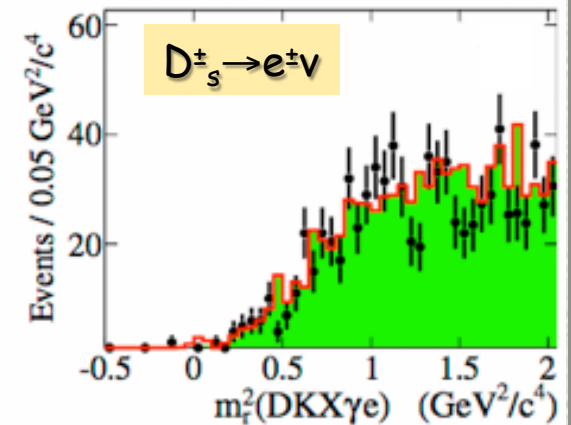
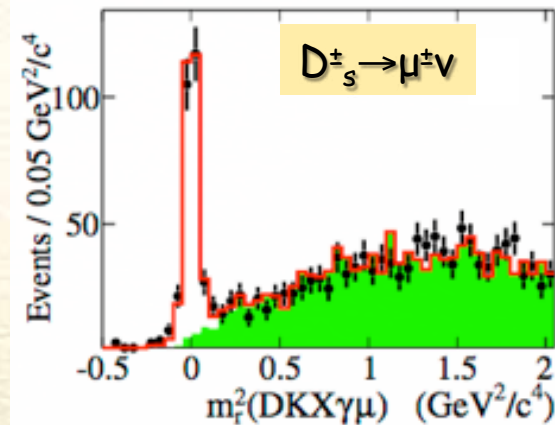
- Extract  $D_s^\pm$  yield from 2-d fit to recoil mass and # of reconstructed  $\pi$ 's
- yield  $(67.2 \pm 1.5) \times 10^3 D_s^\pm$  events





# $D_s^\pm \rightarrow \ell^\pm \nu$ Results

- Select  $D_s$  signal region & reconstruct 4 final states
  - $D_s^\pm \rightarrow \mu^\pm \nu, e^\pm \nu$
  - $D_s^\pm \rightarrow \tau^\pm (\rightarrow \mu^\pm \nu \bar{\nu}, e^\pm \nu \bar{\nu}) \nu$
- For  $D_s^\pm \rightarrow \mu^\pm \nu, e^\pm \nu$  extract signal yield from binned fit to recoil mass  $m_r$
- For  $D_s^\pm \rightarrow \tau^\pm \nu$  modes, study extra neutral energy in the event,  $E_{\text{extra}}$  (same as used in  $B^\pm \rightarrow \tau^\pm \nu$ )
- Except for the  $e^\pm \nu$  mode, see significant signal yields



Aubert et al., PRD RC 82, 091103 (2010)





# $f_{D_s}$ Results

- Determine  $\mathcal{B}(D_s^\pm \rightarrow l^\pm \nu)$  &  $f_{D_s}$  for each mode

- Average  $\mathcal{B}$  of 2  $\tau$  decay channels

$$\mathcal{B}(D_s^\pm \rightarrow \tau^\pm \nu) = (49.8 \pm 5.5) \times 10^{-3}$$

- Average 3  $f_{D_s}$  measurements

$$f_{D_s} = (258.6 \pm 6.4 \pm 7.5) \text{ MeV}$$

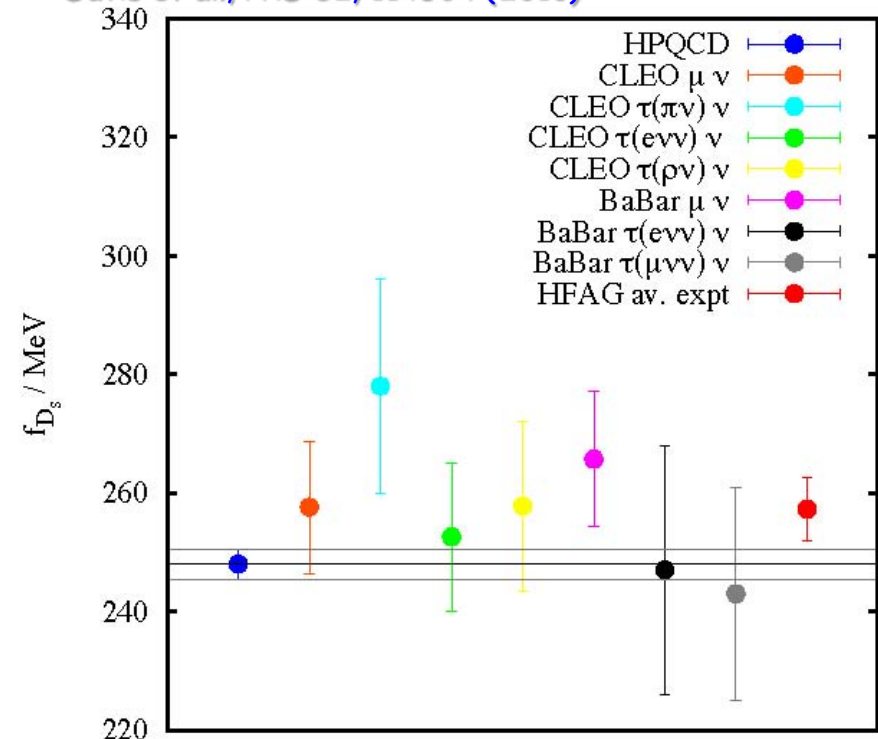
- Result is consistent at the 1.6 $\sigma$  level with the LQCD prediction of

$$f_{D_s} = (248.6 \pm 2.5) \text{ MeV}$$

- BABAR results are in good agreement with measurements from CLEO

Mode	$\mathcal{B}[10^{-3}]$	$f_{D_s}$ [MeV]
$D_s^\pm \rightarrow e^\pm \nu$	$<0.23@90\%CL$	-
$D_s^\pm \rightarrow \mu^\pm \nu$	$6.02 \pm 0.38 \pm 0.34$	$265.7 \pm 8.4 \pm 7.7$
$D_s^\pm \rightarrow \tau^\pm \nu$ ( $\tau \rightarrow e \nu \bar{\nu}$ )	$50.7 \pm 5.2 \pm 6.8$	$247 \pm 13 \pm 17$
$D_s^\pm \rightarrow \tau^\pm \nu$ ( $\tau \rightarrow \mu \nu \bar{\nu}$ )	$49.1 \pm 4.7 \pm 5.4$	$243 \pm 12 \pm 14$

Davis et al., PRD 82, 114504 (2010)





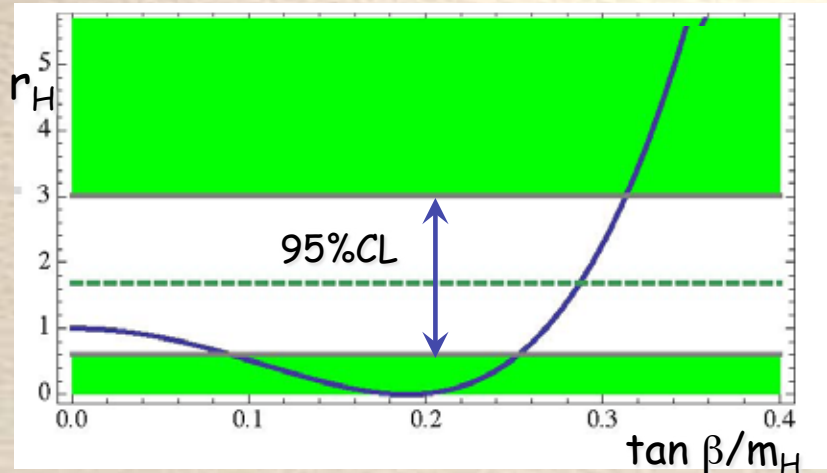


# Constraints in the $m_H$ - $\tan\beta$ Plane (MSSM)

- From HFAG average

$$\mathcal{B}(B^\pm \rightarrow \tau^\pm \nu) = (1.64 \pm 0.34) \times 10^{-4}$$

yield  $r_H = 1.69 \pm 0.36_{\text{exp}} \pm 0.45_{f_B, V_{ub}}$



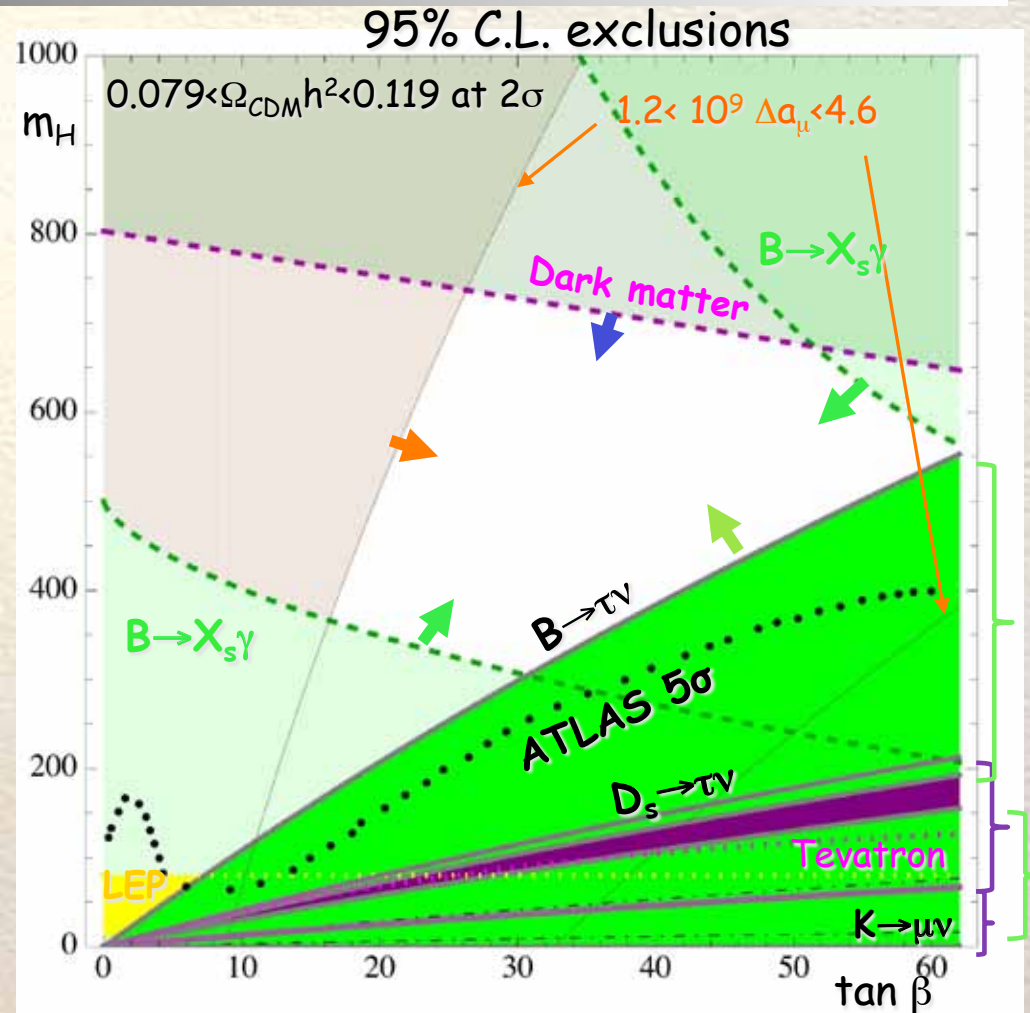
- BABAR  $D_s^\pm \rightarrow \tau^\pm \nu$  results yield

$$r_H = 0.88 \pm 0.11_{\text{exp}} \pm 0.02_{f_{D_s}}$$

- Use also measurements for  $\mathcal{B}(B \rightarrow X_s \gamma)$ , CDM density, &  $a_\mu^{\text{exp}} - a_\mu^{\text{SM}}$  wrt MSSM



G. Eigen, SUSY11 Fermilab, 30-08-2011



Isidori et al.: PRD 75, 115019 (2007)

- Set  $m_{\tilde{q}} = 1.5 \text{ TeV}, A_u = -1 \text{ TeV}, \mu = 0.5 \text{ TeV}, m_{\tilde{t}} = 0.4 \text{ TeV}$

- ATLAS:  $5\sigma$  discovery for  $30 \text{ fb}^{-1}$



# Search for

$$D^+_{(s)}/\Lambda^+_c \rightarrow h^+ e^+ e^-$$





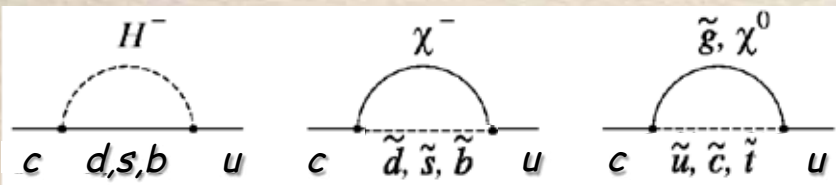
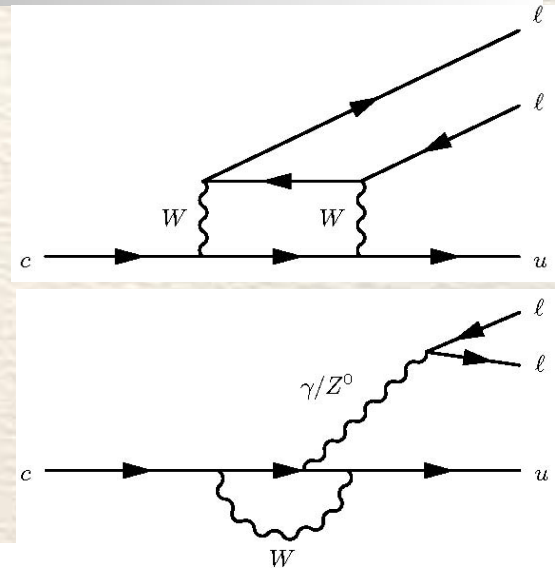
# Motivation for $D^{\pm}_{(s)}/\Lambda^{\pm}_c \rightarrow h^{\pm} \ell^+ \ell^-$ Searches

- $X^{\pm}_c \rightarrow h^{\pm} \ell^+ \ell^-$  are flavor-changing neutral currents mediated by EW penguin processes that are highly suppressed in the SM

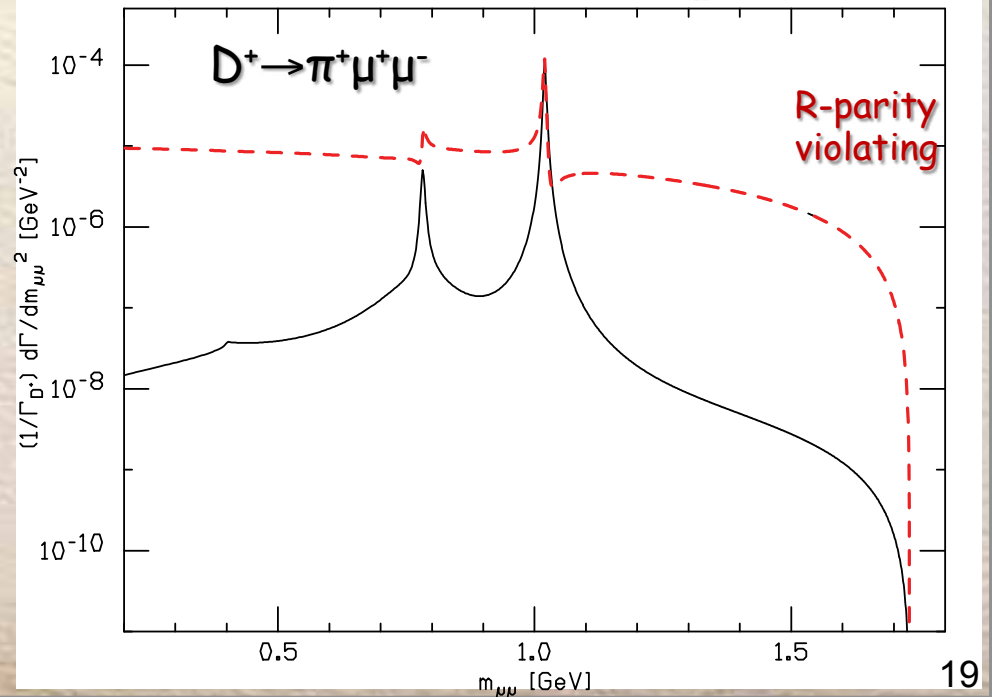
$$\mathcal{B}(D \rightarrow X_u \ell^+ \ell^-) \sim \mathcal{O}(10^{-8})$$

- This is 2 orders of magnitude smaller than the SM prediction for  $\mathcal{B}(B \rightarrow X_s \ell^+ \ell^-)$

- New Physics contributions may increase  $\mathcal{B}(D \rightarrow X_u \ell^+ \ell^-)$



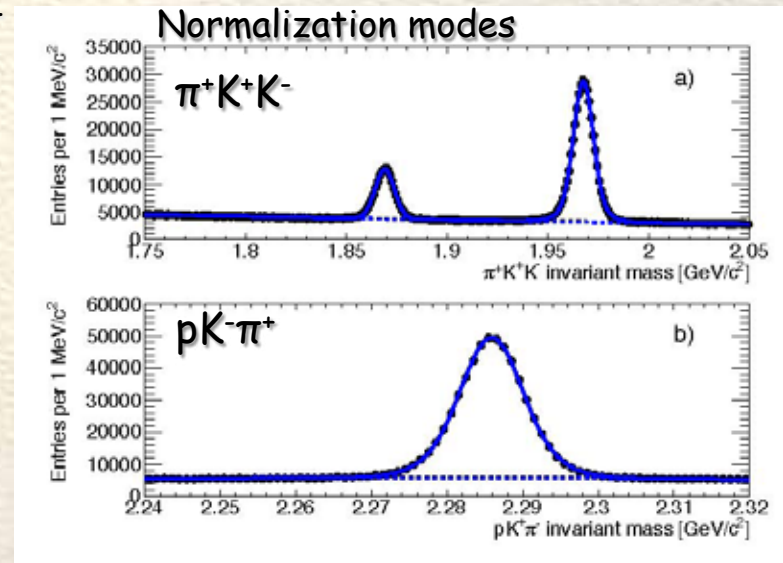
- e.g. R-parity violating SUSY models can enhance  $\mathcal{B}(D \rightarrow X_u \ell^+ \ell^-)$  up to  $\mathcal{O}(10^{-5})$





# Analysis Strategy for $D^+_{(s)}/\Lambda^+_c \rightarrow h^\pm \ell^- \ell^+$

- Using  $\mathcal{L}=384 \text{ fb}^{-1}$  near  $\Upsilon(4S)$ , BABAR searched for  $X_c \rightarrow h^\pm \ell^- \ell^+$  modes with  $X_c = D^\pm, D^\pm_s, \Lambda^\pm_c$ ;  $h^\pm = \pi^\pm, K^\pm, \bar{p}$ ;  $\ell\ell = e^+e^-, \mu^+\mu^-$  (10 modes)
- Select  $\pi, K$  or  $p$  and 2 well-identified leptons ( $e, \mu$ ) with  $p^*_{K\ell\ell} > 2.5 \text{ GeV}/c$  (rejects  $b \rightarrow c$ )
- Reject QED events ( $> 5$  hadrons)
- Reject semileptonic B & charm decays with common  $\ell^- \ell^+$  vertex and likelihood ratio that is based on  $p^*_{K\ell\ell}$ , total reconstructed energy and the flight length significance
- Extract signal yields from an unbinned maximum likelihood fit to  $h^\pm \ell^- \ell^+$  invariant mass
- Use  $D^+_s \rightarrow \Phi(\rightarrow K^+K^-)\pi^+$  and  $\Lambda^+_c \rightarrow pK^-\pi^+$  modes for normalization



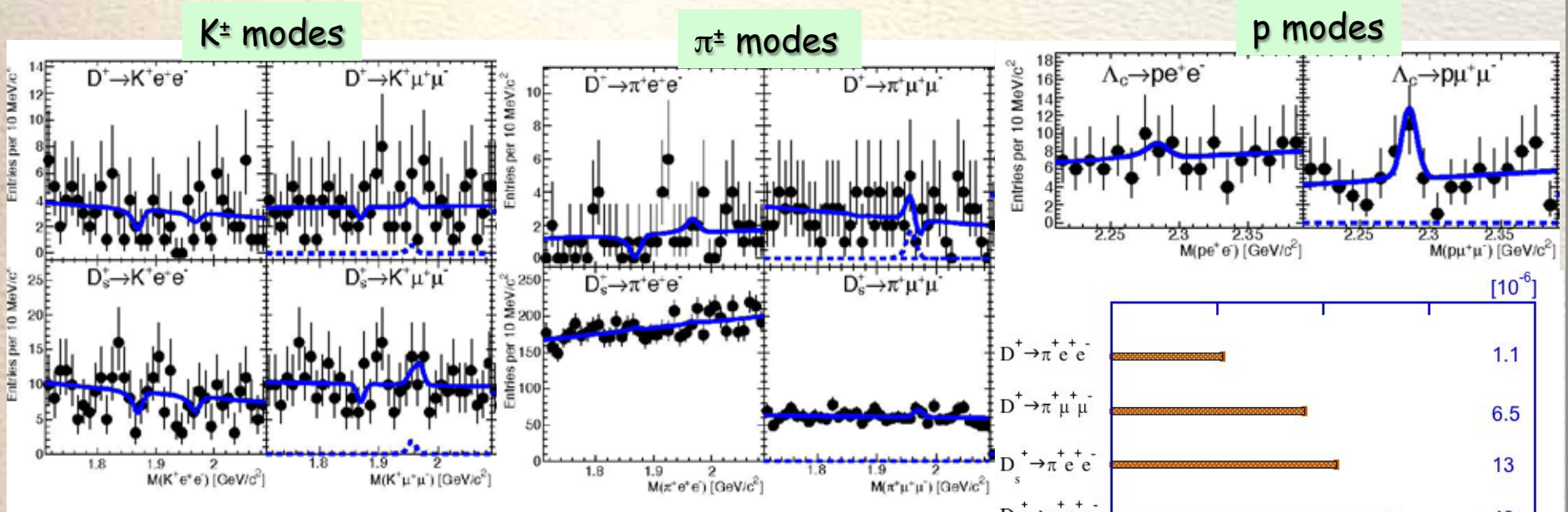
Signal efficiencies range from 0.4% to 6.8%

G. Eigen, SUSY11 Fermilab, 30-U8-2011



# Results for $D^{\pm}_{(s)}/\Lambda^{\pm}_c \rightarrow h^{\pm}e^+e^-$

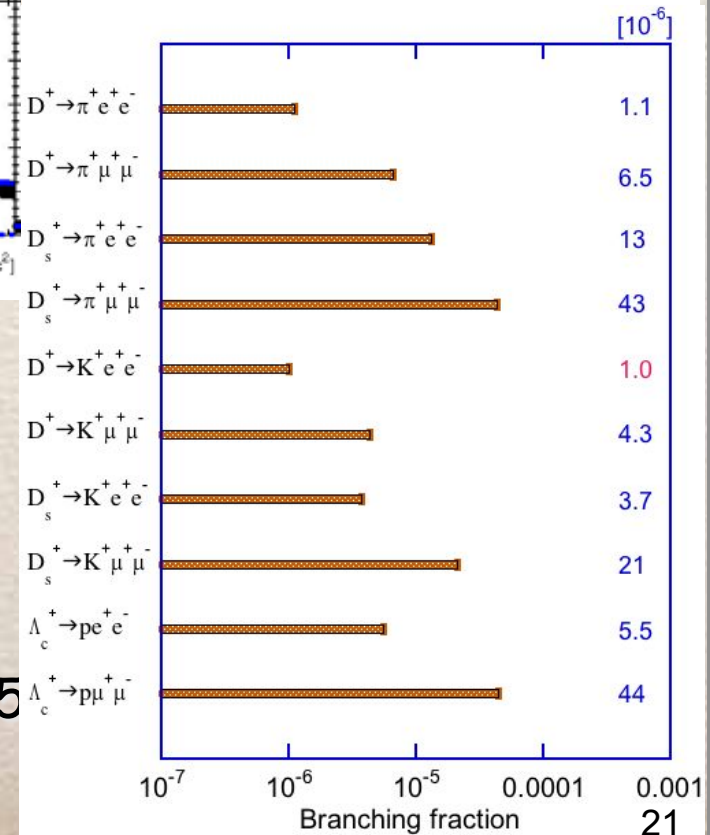
- Invariant  $h^+e^-$  mass distributions show no evidence for signal



- Set  $\mathcal{B}$  upper limits @90% CL, improve 7 previous limits & set first limit on  $\Lambda^{\pm} \rightarrow p\mu^+\mu^-$
- Lowest limits is found for  $D^{\pm} \rightarrow K^{\pm}e^+e^-$

$$\mathcal{B}(D^{\pm} \rightarrow K^{\pm}e^+e^-) < 1.0 \times 10^{-6} \text{ @90\% CL}$$

- Fernando Martinez will present results on 25 lepton-flavor violating modes in session 8E





# Conclusion

- The  $B \rightarrow X_{s+d} \gamma$   $CP$  Asymmetry is consistent with the SM prediction  
→ present uncertainties are quite large
- BABAR sees evidence for  $B^\pm \rightarrow \tau^\pm \nu$  at  $3.3\sigma$  significance level (incl. sys)  
→ measured branching fraction is in good agreement with the Belle result and is nearly  $2\sigma$  above the SM prediction
- BABAR measures  $f_{D_s}$  in  $D_s^\pm \rightarrow \tau^\pm \nu$  &  $D_s^\pm \rightarrow \mu^\pm \nu$   
→ Averaged with CLEO measurements  $f_{D_s}$  lies  $1.6\sigma$  above SM prediction
- $\mathcal{B}(B^\pm \rightarrow \tau^\pm \nu)$ ,  $\mathcal{B}(D_s^\pm \rightarrow \tau^\pm \nu)$ , &  $\mathcal{B}(B \rightarrow X_{s+d} \gamma)$  set stringent constraints in the  $m_H$ - $\tan\beta$  plane
- $X_c^\pm \rightarrow h^\pm \ell \ell^\pm$  decays are not seen by BABAR, (improve 8 previous limits)  
→ best limit ( $10^{-6}$ ) is found for  $D^\pm \rightarrow K^\pm e^+ e^-$ ,
- Significant improvements of these measurements will come from the Super B-factories (stay tuned)





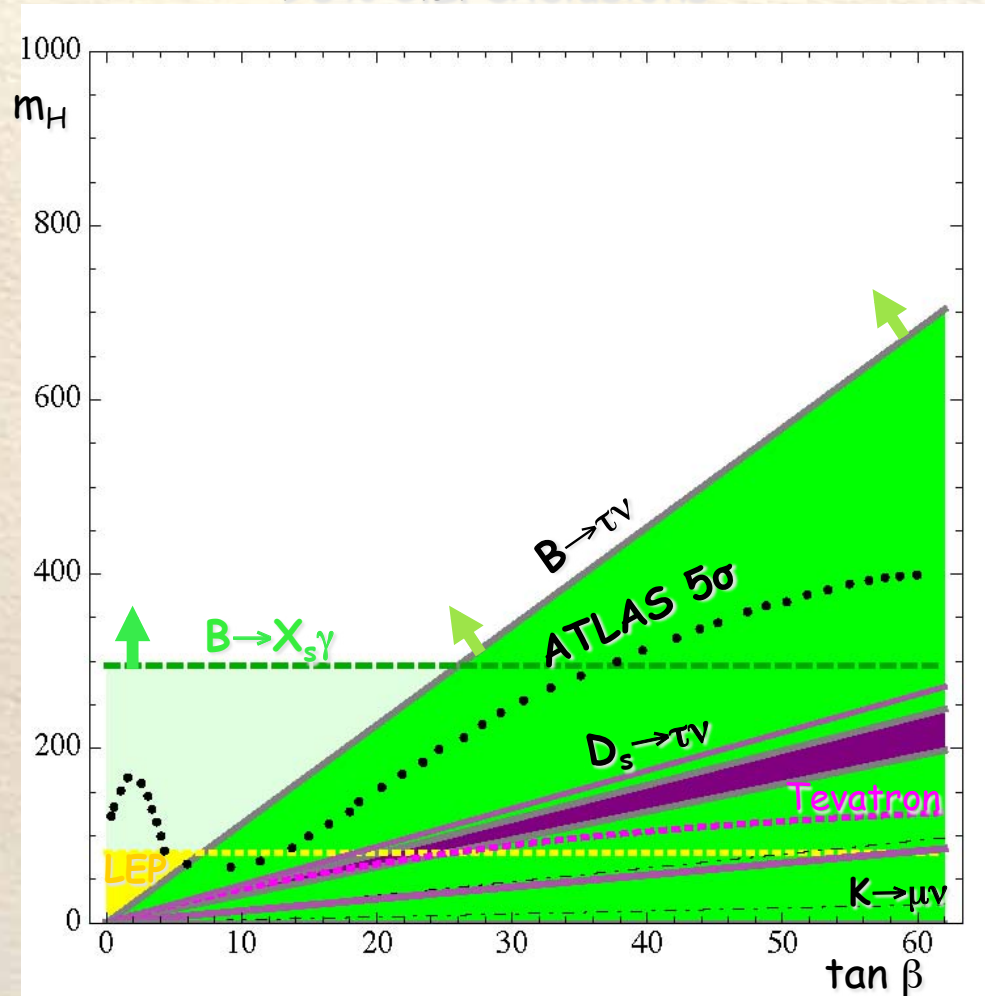
# Backup Slides





# Constraints in the $m_H$ - $\tan\beta$ Plane (2HDM)

95% C.L. exclusions



● ATLAS: 5 $\sigma$  discovery for 30fb<sup>-1</sup>

G. Eigen, SUSY11 Fermilab, 30-08-2011





# $f_{D_s}$ Results

- Determine  $B(D_s^\pm \rightarrow l^\pm \nu)$  &  $f_{D_s}$  for each mode
- Average 2  $\tau$  decay channels

Mode	Yield	$B[10^{-3}]$	$f_{D_s}$ [MeV]
$D_s^\pm \rightarrow e^\pm \nu$	$6.1 \pm 2.2 \pm 5.2$	$< 0.23 @ 90\% CL$	-
$D_s^\pm \rightarrow \mu^\pm \nu$	$275 \pm 17$	$6.02 \pm 0.38 \pm 0.34$	$265.7 \pm 8.4 \pm 7.7$
$D_s^\pm \rightarrow \tau^\pm \nu (\tau \rightarrow e \nu \bar{\nu})$	$408 \pm 42$	$50.7 \pm 5.2 \pm 6.8$	$247 \pm 13 \pm 17$
$D_s^\pm \rightarrow \tau^\pm \nu (\tau \rightarrow \mu \nu \bar{\nu})$	$340 \pm 32$	$49.1 \pm 4.7 \pm 5.4$	$243 \pm 12 \pm 14$

$$B(D_s^\pm \rightarrow \tau^\pm \nu) = (49.8 \pm 5.5) \times 10^{-3}$$

- Average 3  $f_{D_s}$  measurements

$$f_{D_s} = (258.6 \pm 6.4 \pm 7.5) \text{ MeV}$$

- This is consistent at the  $1.6\sigma$  level with the LQCD prediction of

$$f_{D_s} = (248.6 \pm 2.5) \text{ MeV}$$

- BABAR results are in good agreement with measurements from CLEO

Davis et al., PRD 82, 114504 (2010)

