

# 3+N Fits to World Data

Christina Ignarra

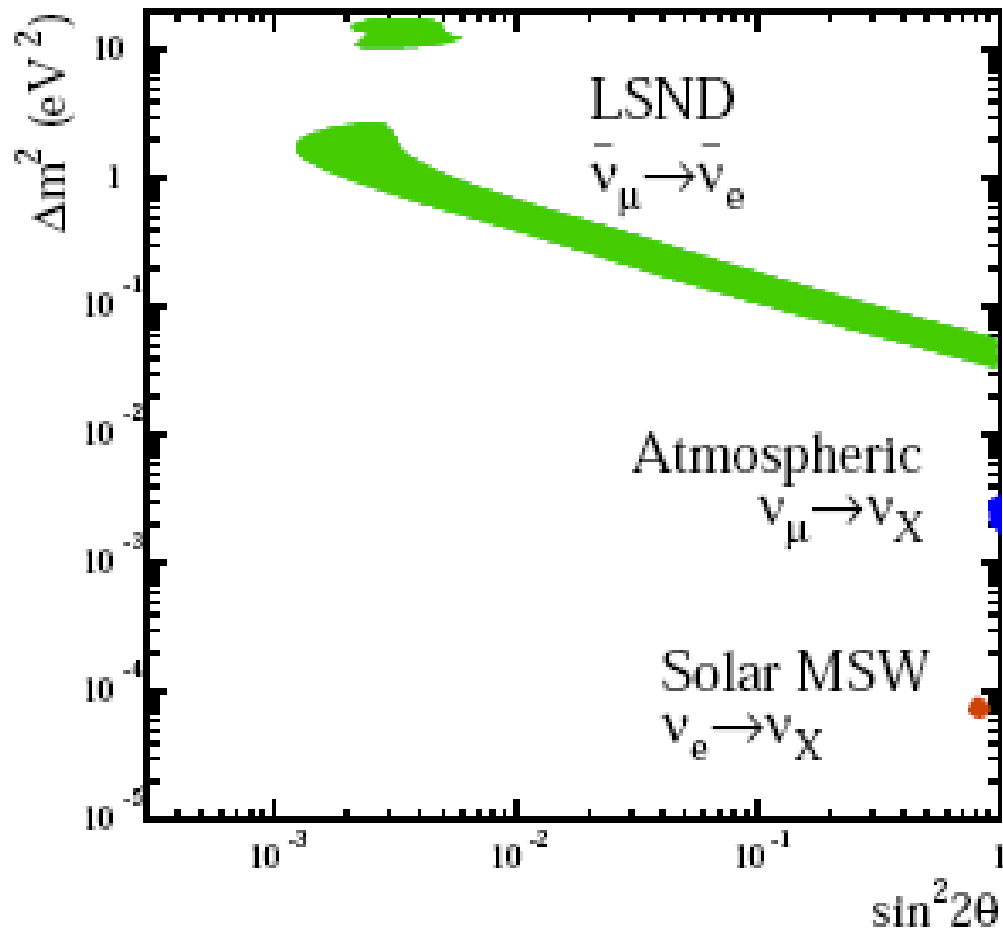
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Fits by C. Ignarra And G. Karagiorgi,  
With input from M. Shaevitz and J. Conrad

# Outline

- Introduction to the data
  - Old  $3+1$  fits
  - New data and fits
  - A little bit about  $3+2$  fits
- 
- I've recently taken over these fits from Georgia Karagiorgi.
  - Since this is a workshop, I'm going to show you work in progress!

# Motivation



LSND result: Observed allowed region of  $\Delta m^2$  not consistent with known mass splittings.

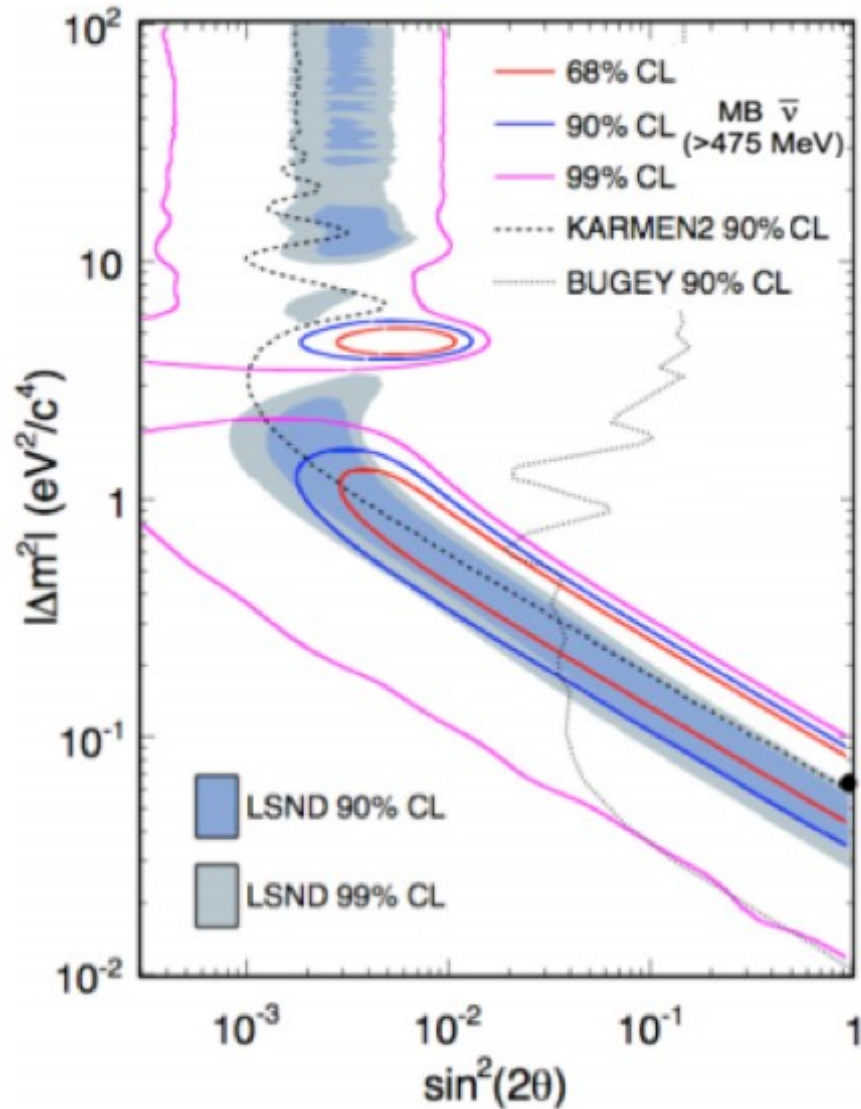
$$\Delta m_{\text{solar}}^2 \sim 10^{-5} \text{ eV}^2$$

$$\Delta m_{\text{atm}}^2 \sim 10^{-3} \text{ eV}^2$$

A 3<sup>rd</sup> mass splitting solves this problem

$$\Delta m_{\text{LSND}}^2 \sim 1 \text{ eV}^2$$

# Motivation



Now MiniBooNE  $\bar{\nu}$ 's are showing a strong agreement with LSND

Though MiniBooNE  $\nu$ 's are not...

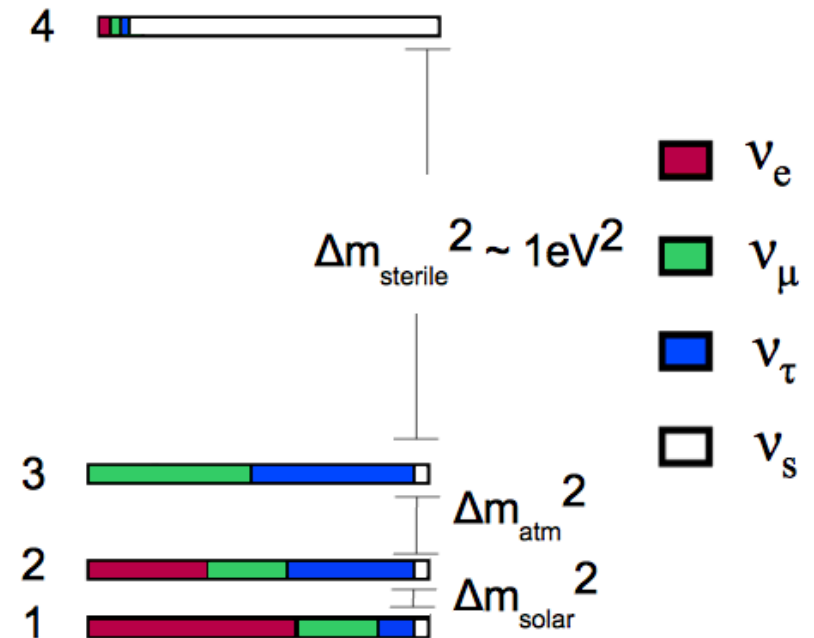
Other hints of a sterile neutrino in this region have also recently began popping up:

New reactor flux predictions

Gallium source experiments

# 3+1 Model

- Assume one more neutrino that doesn't interact through the weak force but can still oscillate with other neutrinos
- Assume  $\Delta m_{\text{sterile}}^2 \gg \Delta m_{\text{atm}}^2$  and  $\Delta m_{\text{solar}}^2$  so only fit to one  $\Delta m^2$  and one mixing parameter per experiment.
- So when we say 3+1 we really mean a 2 neutrino fit!



# 3+1 model Fit parameters:

Oscillation Probabilities:

$$\text{Appearance: } P(\nu_\alpha \rightarrow \nu_{\beta \neq \alpha}) = \sin^2 2\theta_{\alpha\beta} \sin^2[1.27 \Delta m^2 (L/E)]$$

$$\text{Disappearance: } P(\nu_\alpha \rightarrow \nu_\alpha) = \sin^2 2\theta_{\alpha\alpha} \sin^2[1.27 \Delta m^2 (L/E)]$$

3+1 Fit parameters:  $\Delta m^2_{41}$ ,  $U_{\mu 4}$ , and  $U_{e4}$

$$\sin^2 2\theta_{\mu e} = 4 U_{e4}^2 U_{\mu 4}^2 \quad \text{Already well constrained in both } \nu \text{ and } \bar{\nu} \text{ mode}$$

$$\sin^2 2\theta_{\mu\mu} = 4 U_{\mu 4}^2 (1 - U_{\mu 4}^2)$$

$$\sin^2 2\theta_{ee} = 4 U_{e4}^2 (1 - U_{e4}^2) \quad \text{New data addresses these}$$

Note: we constrain  $U_{e4}^2 + U_{\mu 4}^2 < 0.5$  to prevent degeneracies in values of  $U_{\mu 4}$  and  $U_{e4}$  <sup>6</sup>

# Included data sets – old fits

## Neutrinos:

MiniBooNE  $\nu_{\mu} \rightarrow \nu_e$

NOMAD  $\nu_{\mu} \rightarrow \nu_e$

NuMI  $\nu_{\mu} \rightarrow \nu_e$

CCFR84  $\nu_{\mu} \rightarrow \nu_{\mu}$

CDHS  $\nu_{\mu} \rightarrow \nu_{\mu}$

Atmospheric  $\nu_{\mu} \rightarrow \nu_{\mu}$

## Antineutrinos:

LSND  $\bar{\nu}_{\mu} \rightarrow \bar{\nu}_e$

MiniBooNE  $\bar{\nu}_{\mu} \rightarrow \bar{\nu}_e$

KARMEN  $\bar{\nu}_{\mu} \rightarrow \bar{\nu}_e$

Bugey  $\bar{\nu}_e \rightarrow \bar{\nu}_e$

Chooz  $\bar{\nu}_e \rightarrow \bar{\nu}_e$

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Bugey  $\bar{\nu}_e \rightarrow \bar{\nu}_e$

Chooz  $\bar{\nu}_e \rightarrow \bar{\nu}_e$

There was already a lot of muon to electron flavor data



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Bugey  $\bar{\nu}_e \rightarrow \bar{\nu}_e$

Chooz  $\bar{\nu}_e \rightarrow \bar{\nu}_e$

Muon flavor disappearance is all in neutrino mode

An issue: We treat atmospheric as neutrino mode in fits, and while it is mostly neutrino data, there is some antineutrino component...

# Included data sets – old fits

## Neutrinos:

MiniBooNE  $\nu_{\mu} \rightarrow \nu_e$

NOMAD  $\nu_{\mu} \rightarrow \nu_e$

NuMI  $\nu_{\mu} \rightarrow \nu_e$

CCFR84  $\nu_{\mu} \rightarrow \nu_{\mu}$

CDHS  $\nu_{\mu} \rightarrow \nu_{\mu}$

Atmospheric  $\nu_{\mu} \rightarrow \nu_{\mu}$

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LSND  $\bar{\nu}_{\mu} \rightarrow \bar{\nu}_e$

MiniBooNE  $\bar{\nu}_{\mu} \rightarrow \bar{\nu}_e$

KARMEN  $\bar{\nu}_{\mu} \rightarrow \bar{\nu}_e$

Bugey  $\bar{\nu}_e \rightarrow \bar{\nu}_e$

Chooz  $\bar{\nu}_e \rightarrow \bar{\nu}_e$

All of the electron disappearance data is in antineutrino mode

# Parameter Goodness of Fit

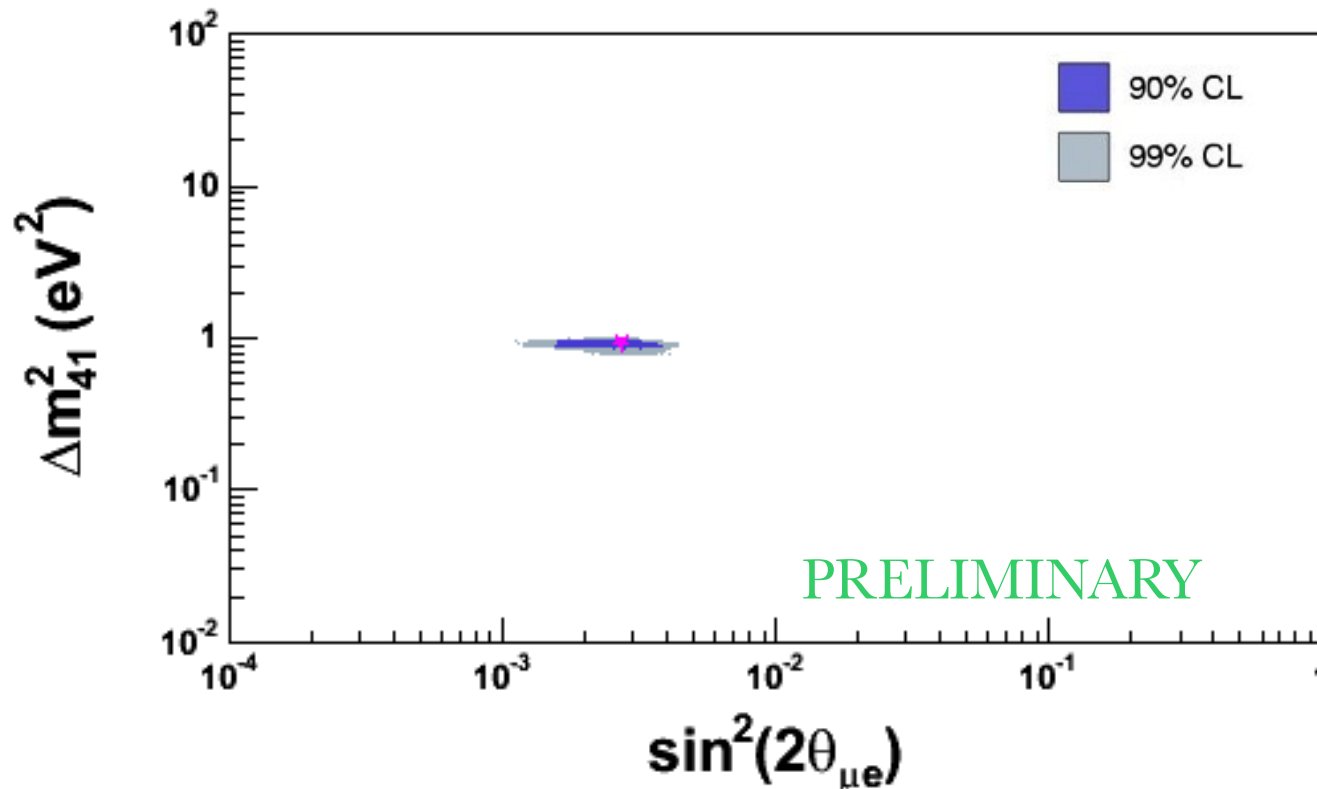
- Tests how well different data sets agree

$$\chi_{PG}^2 = \chi_{min,all}^2 - \sum_i \chi_{min,i}^2$$

i runs over individual experiments

- Compatibility is then calculated from  $\chi_{PG}^2$  and the common underlying fit parameters as the degrees of freedom

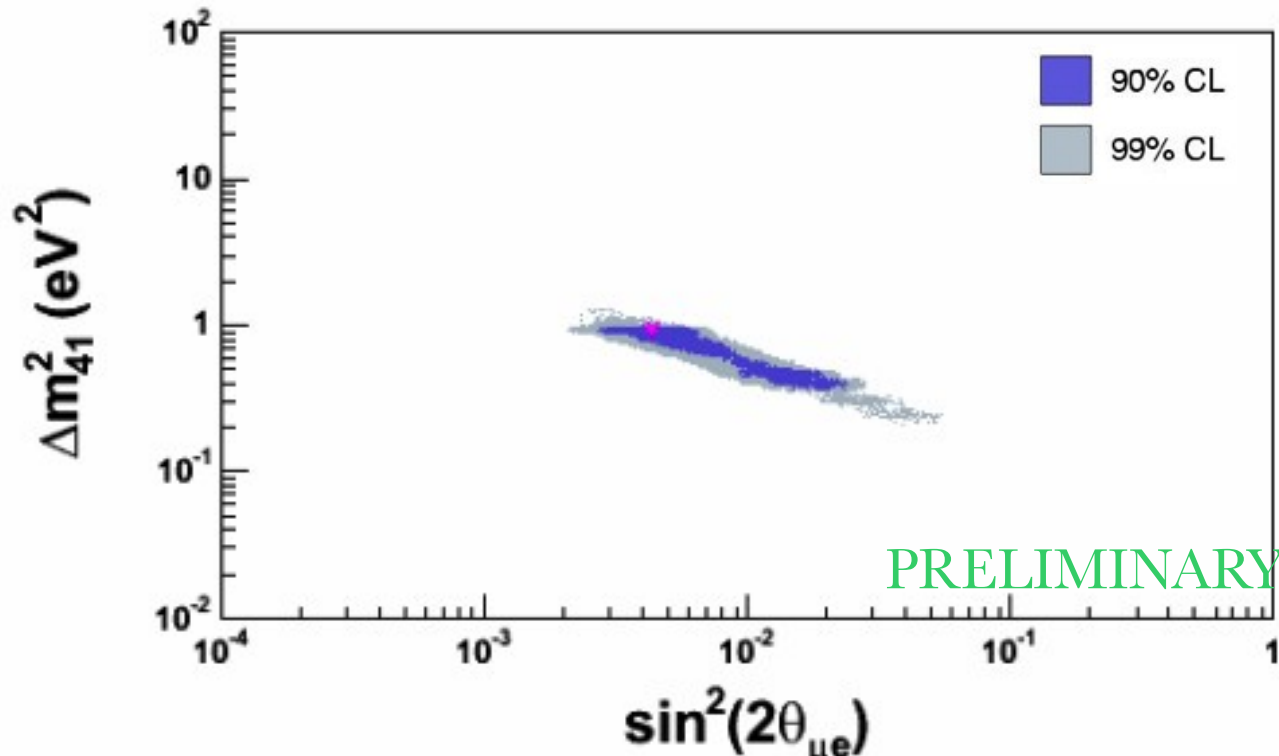
# 3+1 global fit –old data



ndf	$\chi^2_{\text{null}}$	P(null)	$\chi^2_{3+1}$	P(3+1)	PG %	$\Delta m^2_{41}$	$\sin^2 2\theta_{\mu e}$	$\sin^2 2\theta_{\mu\mu}$	$\sin^2 2\theta_{ee}$
196	247	0.81%	203	35%	0.043%	0.92	0.0027	0.14	0.076

There is a lot of tension here!

# 3+1 $\bar{\nu}$ fit –old data



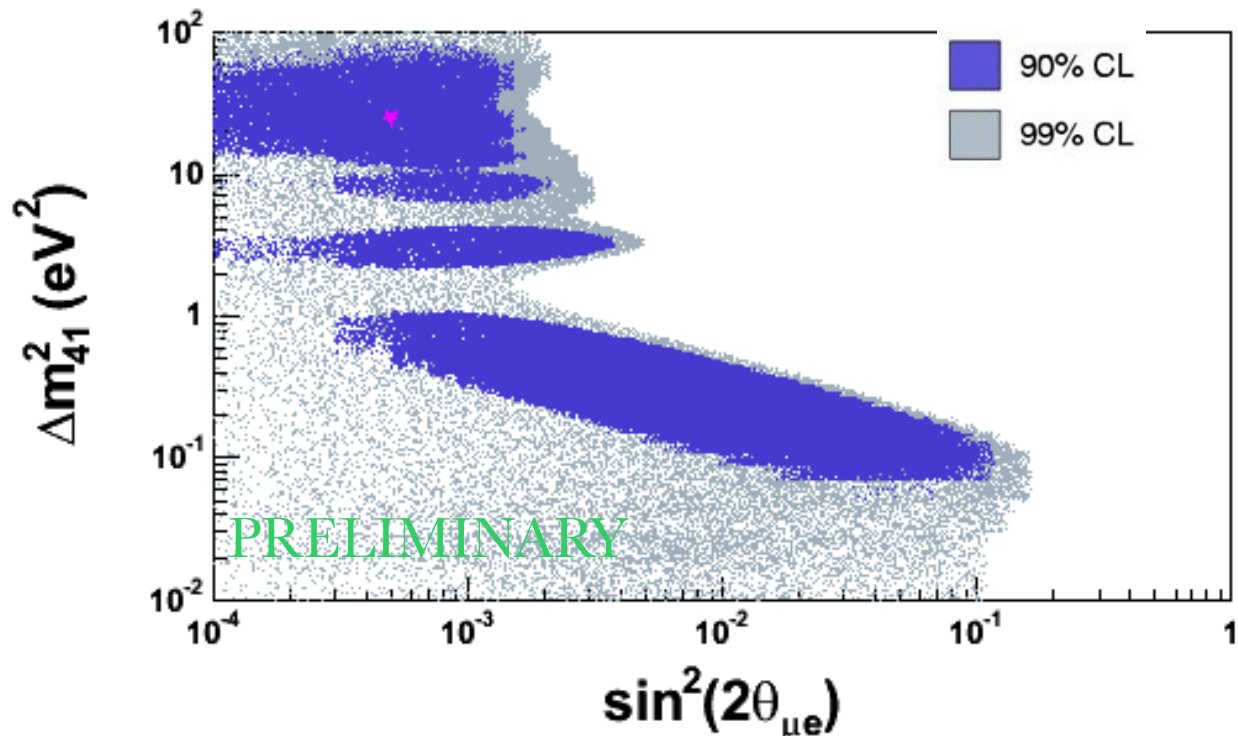
ndf	$\chi^2_{\text{null}}$	P(null)	$\chi^2_{3+1}$	P(3+1)	PG %	$\Delta m^2_{41}$	$\sin^2 2\theta_{\mu e}$	$\sin^2 2\theta_{\mu\mu}$	$\sin^2 2\theta_{ee}$
103	150	0.16%	92	78%	20%	0.91	0.0044	0.38	0.040

Much better!

This is pretty big though since it is unconstrained! It is possible that this is why the fit gets better!

This is where we see a signal... it also is driving the global fit

# 3+1 $\nu$ —old data



ndf	$\chi^2_{\text{null}}$	P(null)	$\chi^2_{3+1}$	P (3+1)	PG %	$\Delta m^2_{41}$	$\sin^2 2\theta_{\mu e}$	$\sin^2 2\theta_{\mu\mu}$	$\sin^2 2\theta_{ee}$
90	103	16%	91	46%	6.4%	24	0.00050	0.099	0.020

Not bad ( $\nu + \bar{\nu}$  was 0.04%)

This is nowhere near the global fit!

# Included data sets (**red=new!**)

## Neutrinos:

MiniBooNE  $\nu_{\mu} \rightarrow \nu_e$

NOMAD  $\nu_{\mu} \rightarrow \nu_e$

NuMI  $\nu_{\mu} \rightarrow \nu_e$

CCFR84  $\nu_{\mu} \rightarrow \nu_{\mu}$

CDHS  $\nu_{\mu} \rightarrow \nu_{\mu}$

~~Atmospheric  $\nu_{\mu} \rightarrow \nu_{\mu}$~~

**Gallium  $\nu_e \rightarrow \nu_e$**

**MINOS NC  $\nu_{\mu} \rightarrow \nu_{\mu}$**

## Antineutrinos:

LSND  $\bar{\nu}_{\mu} \rightarrow \bar{\nu}_e$

MiniBooNE  $\bar{\nu}_{\mu} \rightarrow \bar{\nu}_e$  (**updated**)

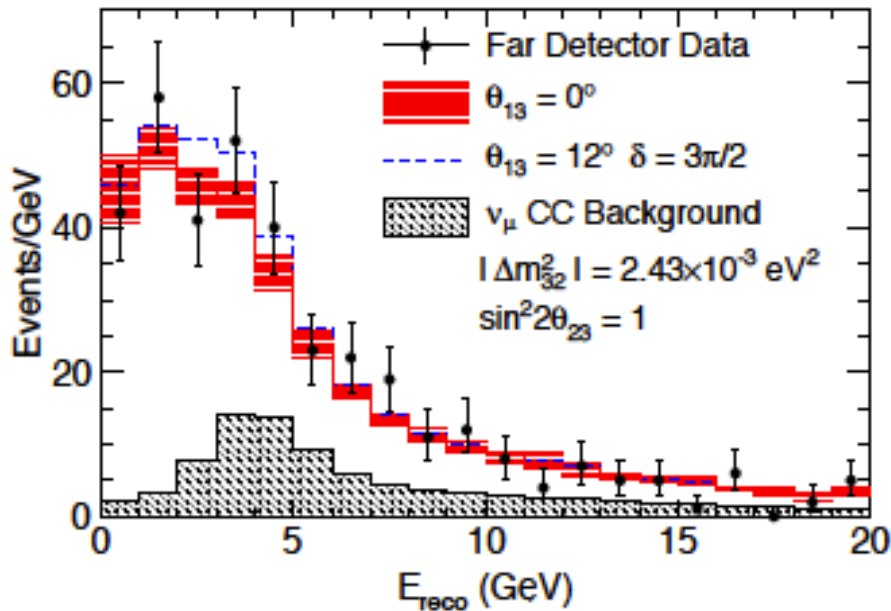
KARMEN  $\bar{\nu}_{\mu} \rightarrow \bar{\nu}_e$

Bugey  $\bar{\nu}_e \rightarrow \bar{\nu}_e$  (**now using new reactor fluxes**)

Chooz  $\bar{\nu}_e \rightarrow \bar{\nu}_e$  (**now using new reactor fluxes**)

**MINOS CC  $\bar{\nu}_{\mu} \rightarrow \bar{\nu}_{\mu}$**

# Minos NC: arXiv:1001.0336v3



This component is coming from the Long-baseline oscillation

Assumptions:  
 $\theta_{14} = 0, \delta_2 = 0$

$$f_s \equiv \frac{P_{\nu_\mu \rightarrow \nu_s}}{1 - P_{\nu_\mu \rightarrow \nu_\mu}}$$

$$P_{\nu_\mu \rightarrow \nu_\mu} = 1 - 4 \left\{ |U_{\mu 3}|^2 \left( 1 - |U_{\mu 3}|^2 - |U_{\mu 4}|^2 \right) \sin^2 \Delta_{31} + \frac{|U_{\mu 4}|^2}{2} (1 - |U_{\mu 4}|^2) \right\},$$

$$P_{\nu_\mu \rightarrow \nu_\alpha} = 4 \mathcal{R} \left\{ \left( |U_{\mu 3}|^2 |U_{\alpha 3}|^2 + U_{\mu 4}^* U_{\alpha 4} U_{\mu 3} U_{\alpha 3}^* \right) \sin^2 \Delta_{31} + \frac{|U_{\mu 4}|^2 |U_{\alpha 4}|^2}{2} \right\}, \quad (13)$$

Any high  $\Delta m^2$  sterile component would have  $\langle \sin^2 [1.27 \Delta m^2 (L/E)] \rangle = 1/2$   
 So this is a search for an overall change in the normalization.



# Minos NC: arXiv:1001.0336v3

Assumption:  $\theta_{14} = 0$

$$f_s \equiv \frac{P_{\nu_\mu \rightarrow \nu_s}}{1 - P_{\nu_\mu \rightarrow \nu_\mu}}$$

Model	$\theta_{13}$	$\chi^2/\text{D.O.F.}$	$\theta_{23}$	$\theta_{24}$	$\theta_{34}$	$f_s$
$m_4 = m_1$	0	47.5/39	$45.0^{+9.0}_{-8.9}$		$0.1^{+28.7}_{-0.1}$	0.51
	12	46.2/39	$47.1^{+8.8}_{-11.0}$		$23.0^{+22.6}_{-24.1}$	0.55
$m_4 \gg m_3$	0	47.5/38	$45.0^{+9.0}_{-8.9}$	$0.0^{+7.2}_{-0.0}$	$0.1^{+28.7}_{-0.1}$	0.52
	12	46.2/38	$47.1^{+8.8}_{-11.0}$	$0.0^{+7.2}_{-0.0}$	$23.0^{+22.6}_{-24.1}$	0.55

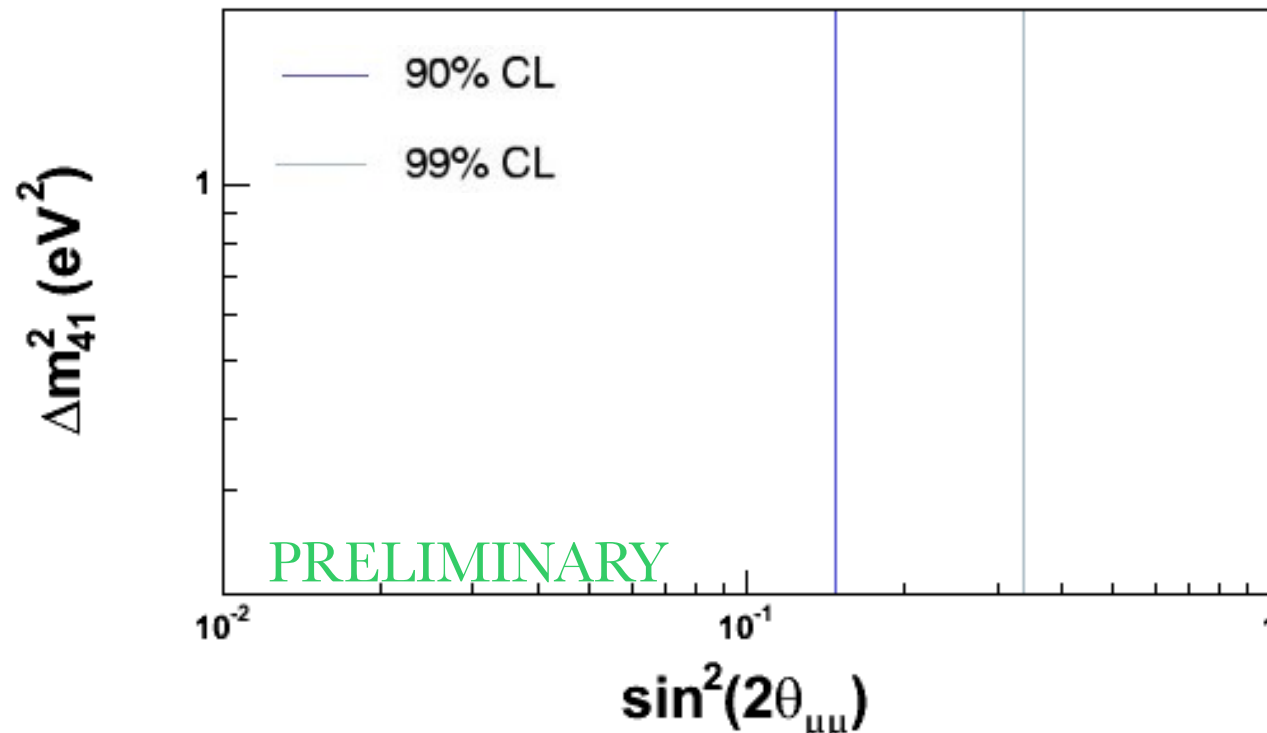
90% CL

$$U_{\mu 4} = \cos\theta_{14} \sin\theta_{24} = \sin\theta_{24}$$

$U_{\mu 4}$  is what we fit for, so we use their value for  $\theta_{24}$  to constrain  $U_{\mu 4}$ .

Near/far comparison  
Has no normalization  
Offset, so consistent  
With no oscillations

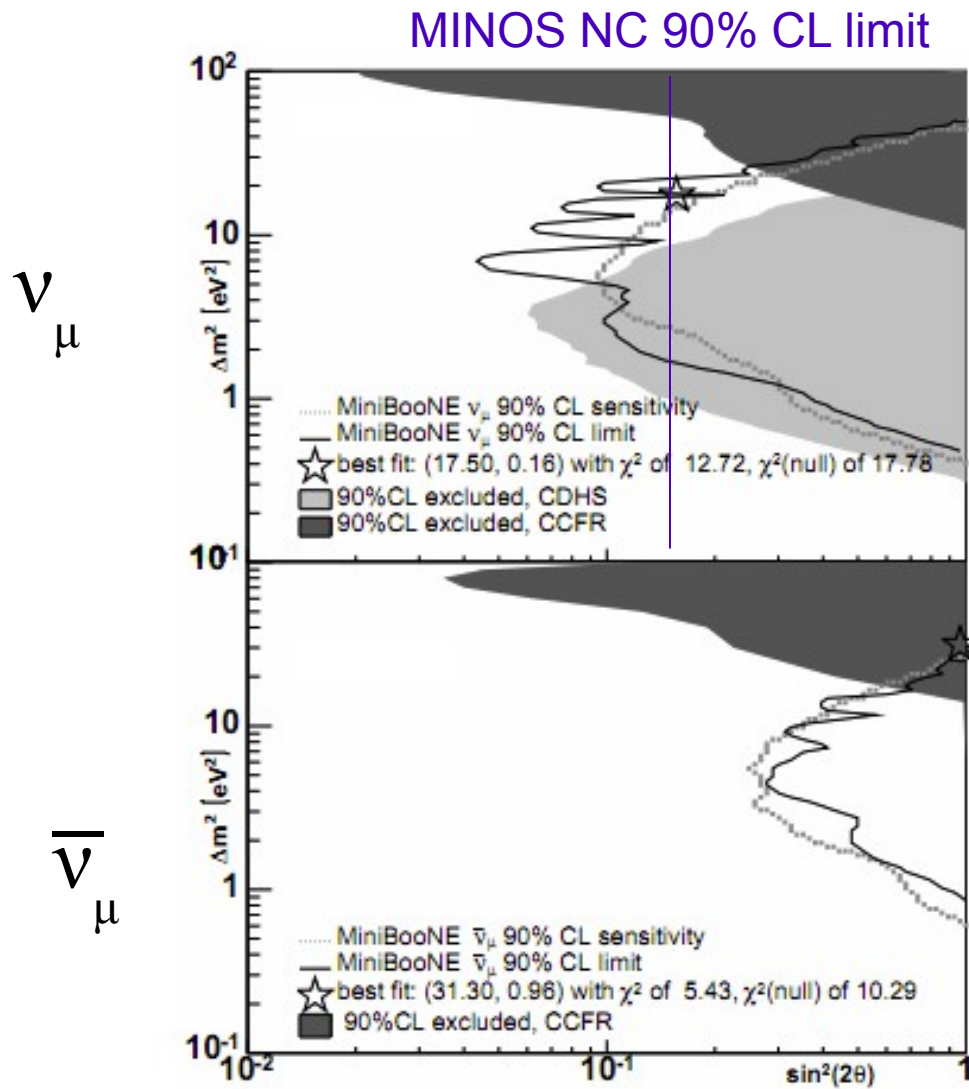
# MINOS NC: 3+1 fit



Corresponds to a  
 $U_{\mu 4}^2 < 3.5\%$  at  
90% CL

$\Delta m^2$  constrained to be below  $2 \text{ eV}^2$  to prevent oscillations in the near detector and above  $0.2 \text{ eV}^2$  so that there will be an overall normalization in the far detector (which were assumptions of their fit)

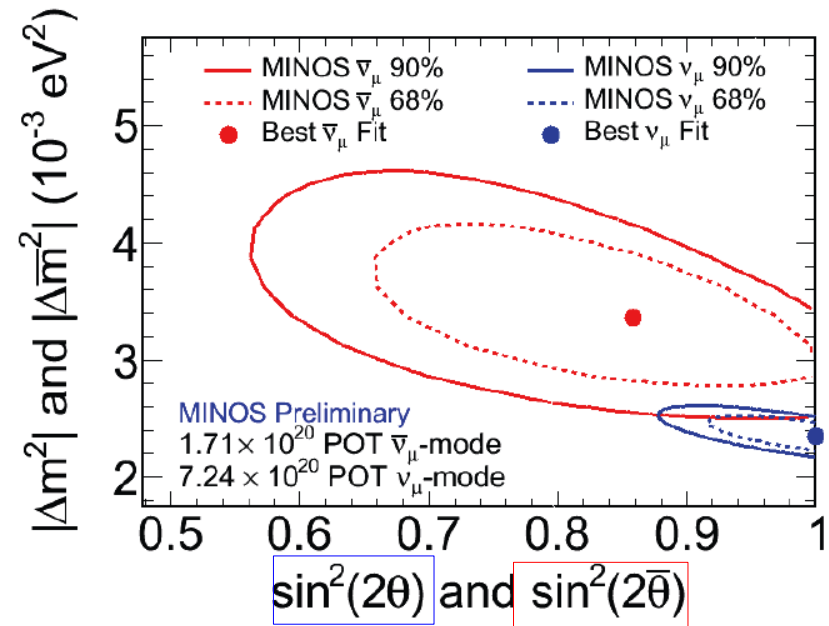
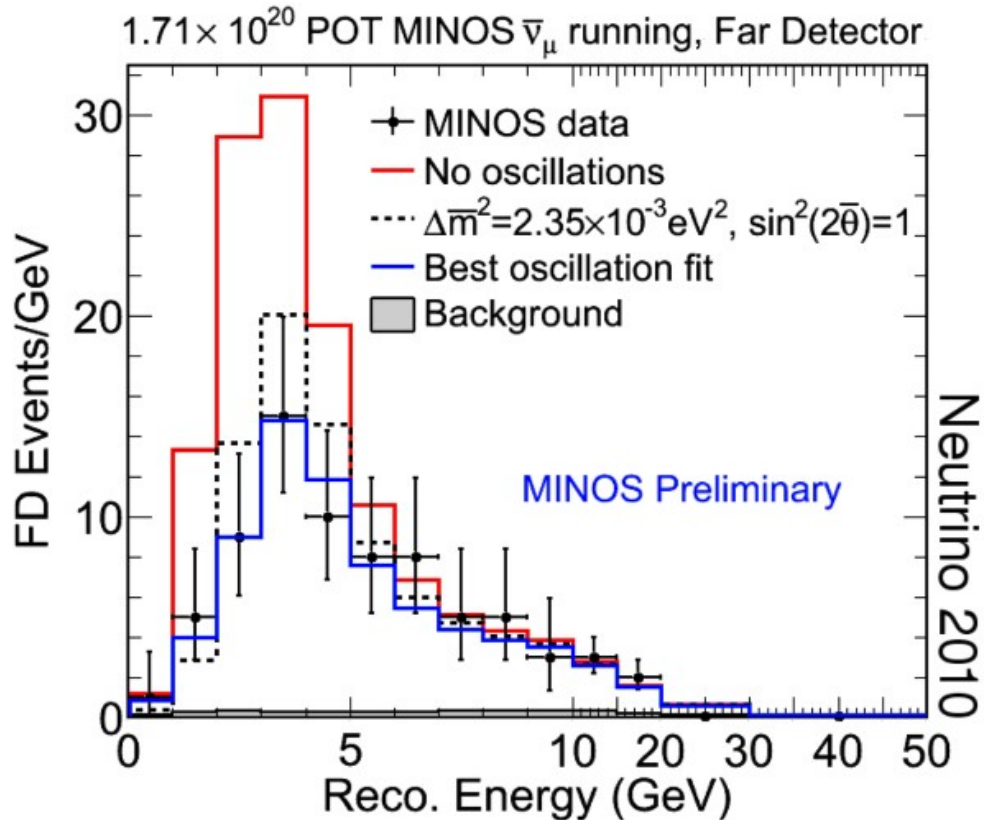
# MiniBooNE $\nu_\mu$ and $\bar{\nu}_\mu$ disappearance search



Not included in our fits since MINOS is a more stringent limit for the region we are interested in

We would really like MINOS to do a NC  $\bar{\nu}_\mu$  fit too!

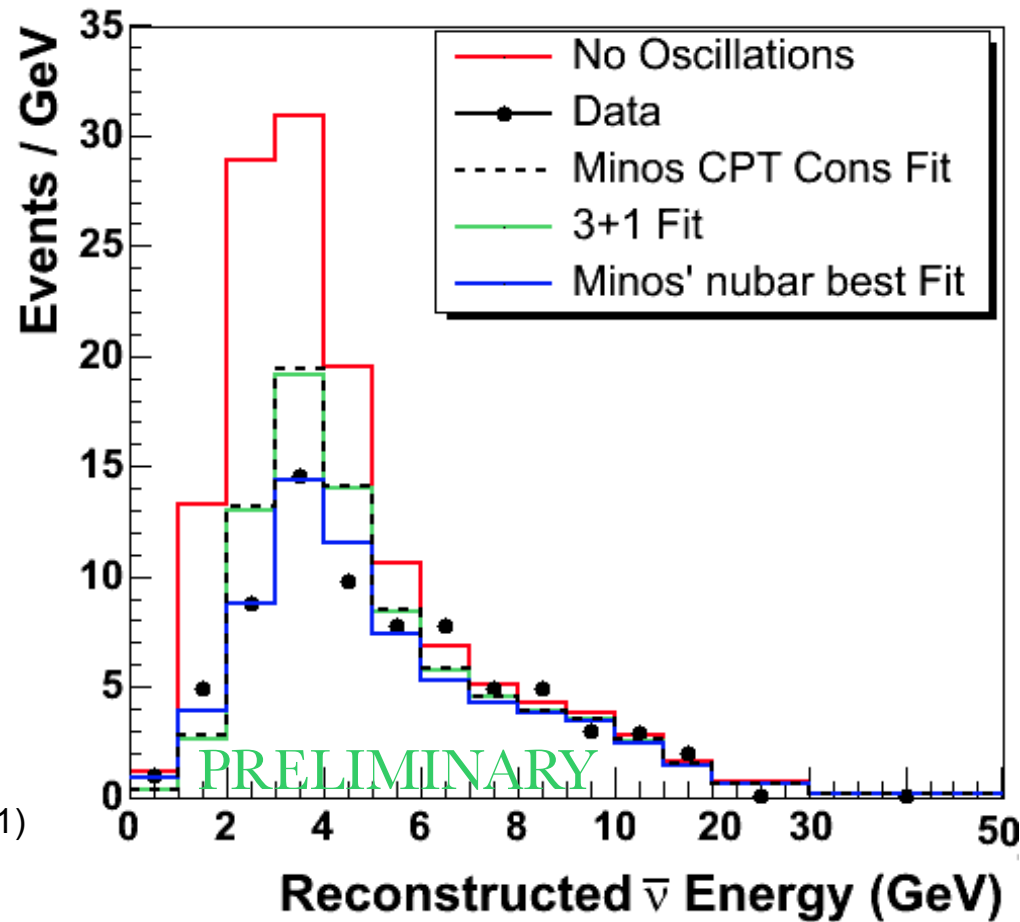
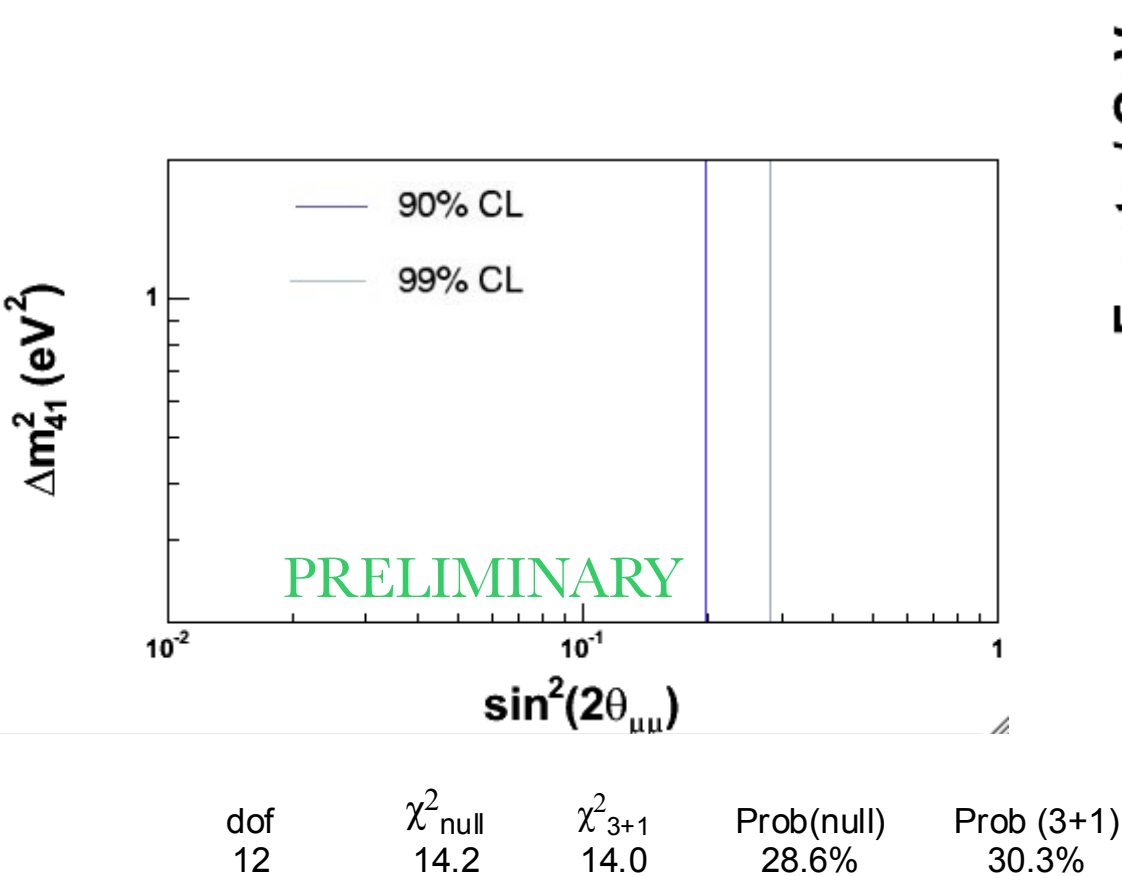
# MINOS CC: arXiv:1104.0344v1



For the most conservative approximation, we assume that the neutrinos have not oscillated into sterile and that the deficit seen in the antineutrino running is due to a sterile neutrino.

Assumes difference between  $\nu$  and  $\bar{\nu}$ , so will be left out of Global  $\nu + \bar{\nu}$  fits

# MINOS CC 3+1 fit



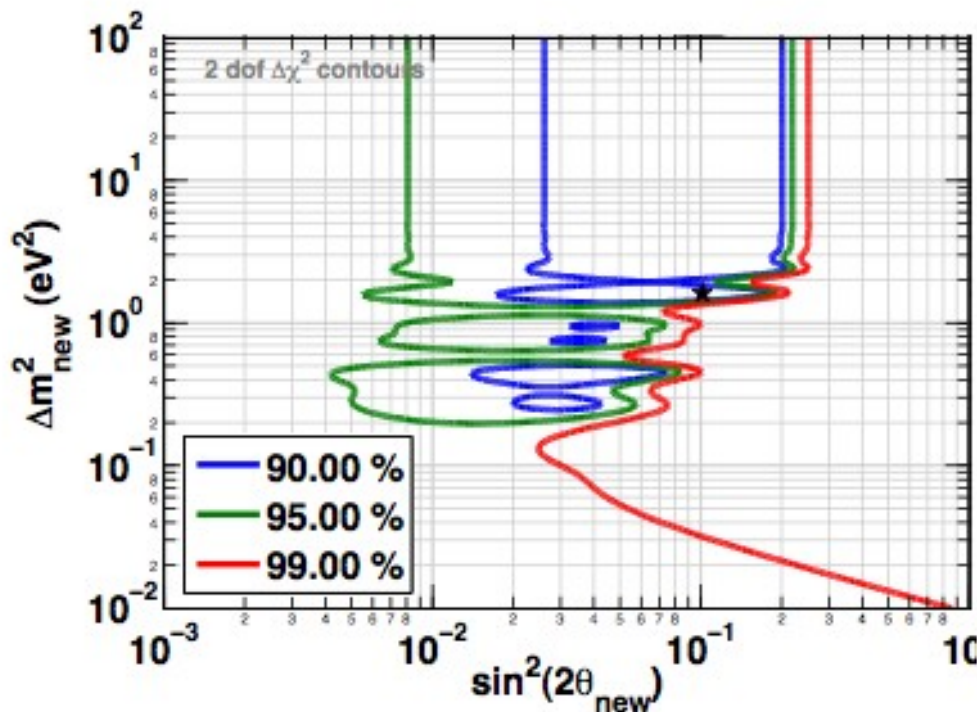
Note: the error on the data is left out of this plot, but was used in the fit as it is on the previous slide

A sterile neutrino in this range does not explain the MINOS  $\bar{\nu}$  data

This makes sense, since when we assume fast oscillations, we are concerned with the shape of the histogram, and some of the neutrino fit bins are below the data

# Reactor Anomaly: arXiv:1101.2755

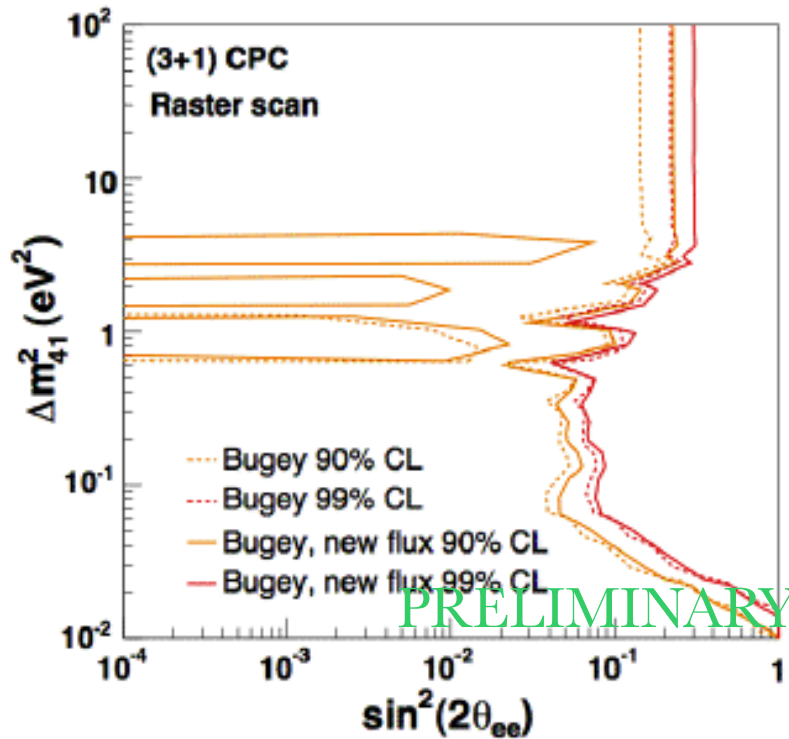
- New reactor flux predictions correspond to a total deficit of  $\sim 7\%$



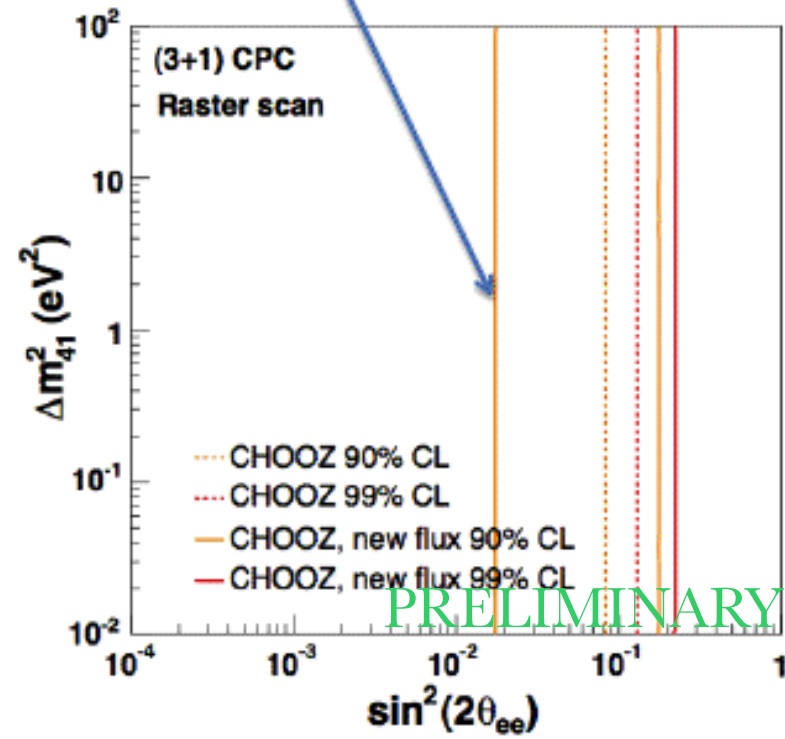
- Fit from paper to reactor experiments: Bugey, Krasnoyarsk, Rovno, SRP
- Bestfit  $\Delta m^2$  around  $2 \text{ eV}^2$

# Bugey and Chooz 3+1 fits

No closed contours when doing global scan, but lower limit for raster scan



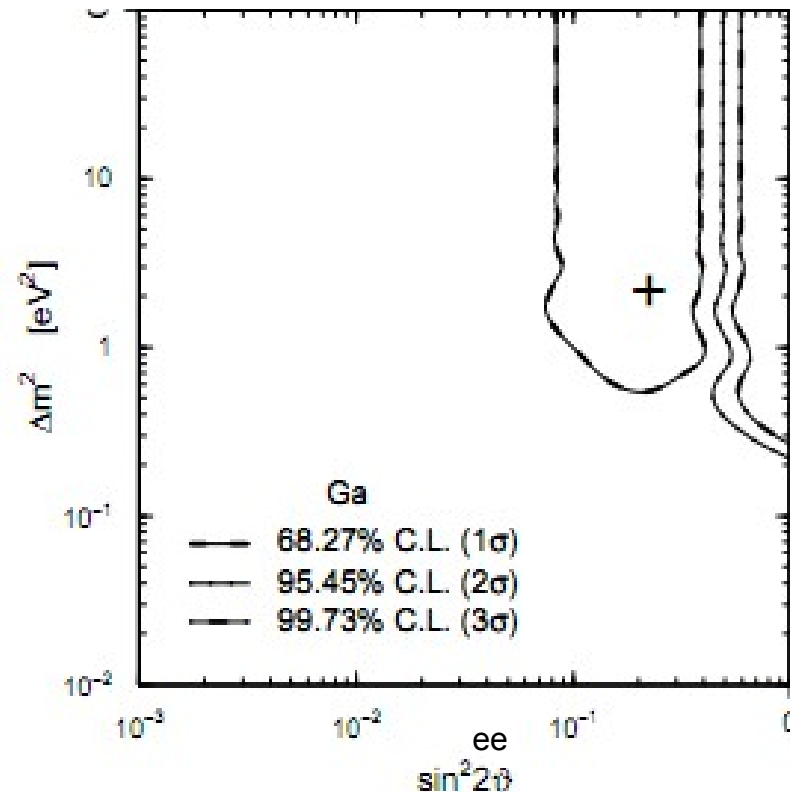
Bugey



Chooz

# Gallium (Gallex and Sage): arXiv:0711.4222v3

- Cr-51 and Ar-37 sources were used to calibrate the GALLEX and SAGE solar neutrino experiments
- Very short baseline (meter scale) so would be sensitive to  $\sim 1 \text{eV}^2$  neutrino oscillation
- Bestfit  $\Delta m^2$  also is in our region of interest!
- Will also constrain  $U_e$  in neutrino mode



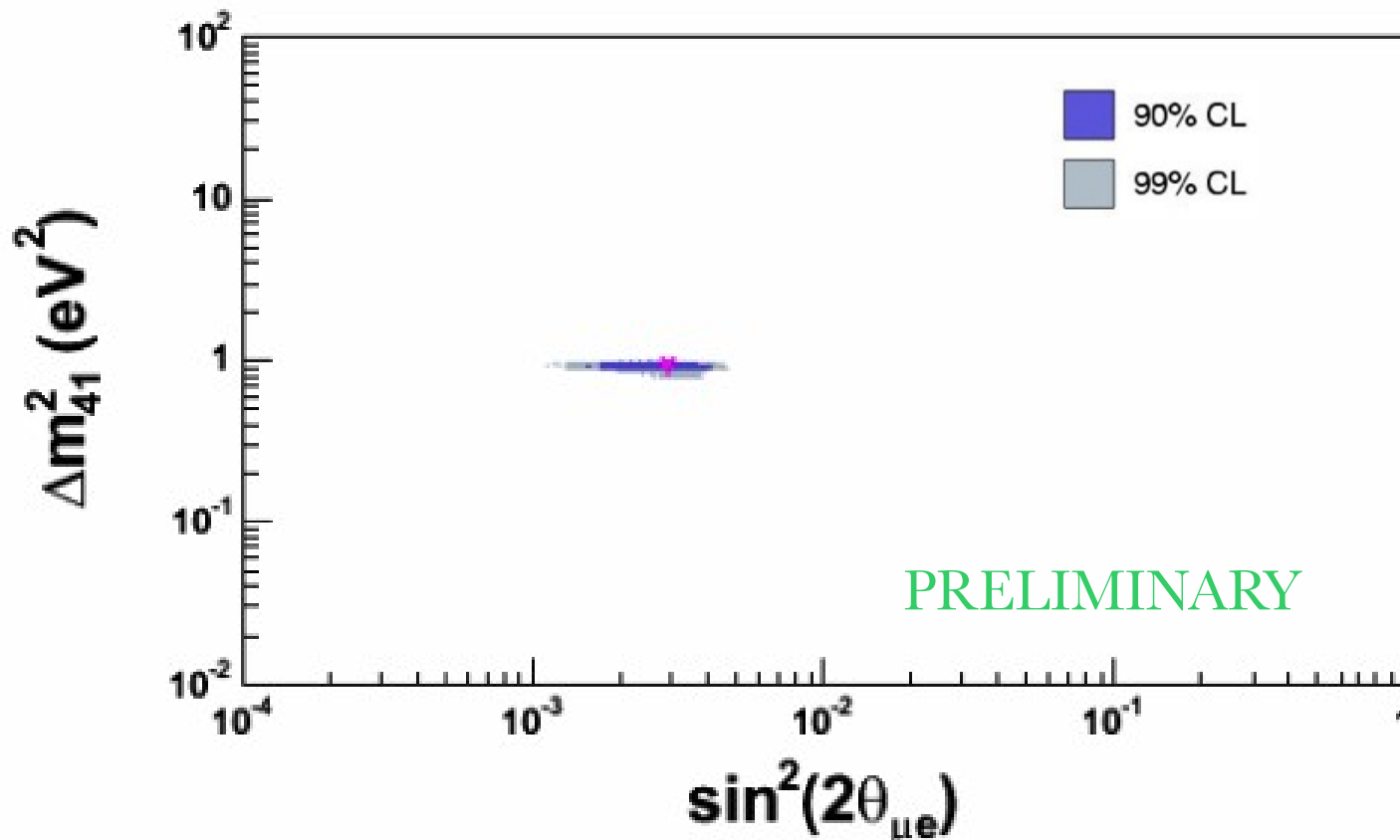
Probability of interaction

$$R = \frac{\int dV L^{-2} \sum_i (\text{B.R.})_i \sigma_i P_{\nu_e \rightarrow \nu_e}(L, E_{\nu,i})}{\sum_i (\text{B.R.})_i \sigma_i \int dV L^{-2}}$$

Weighted according to flux



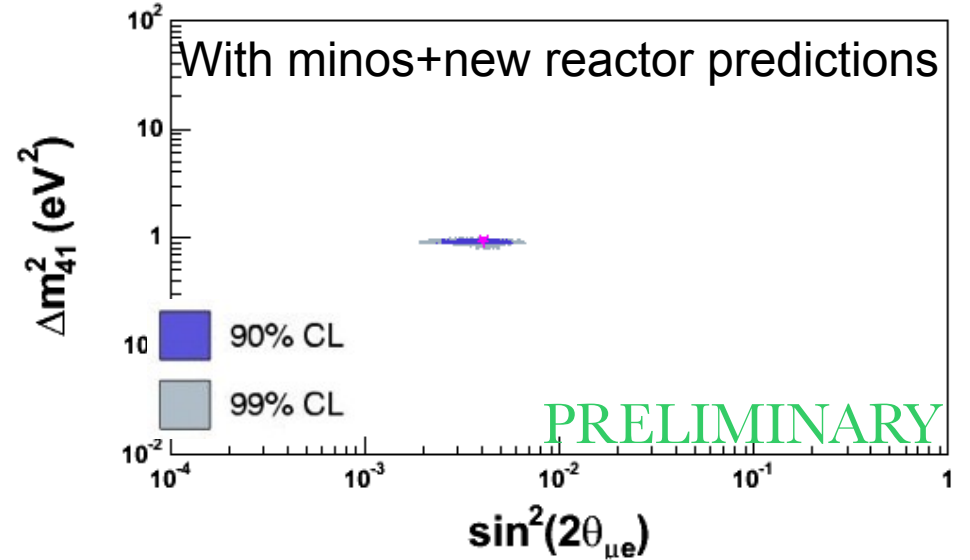
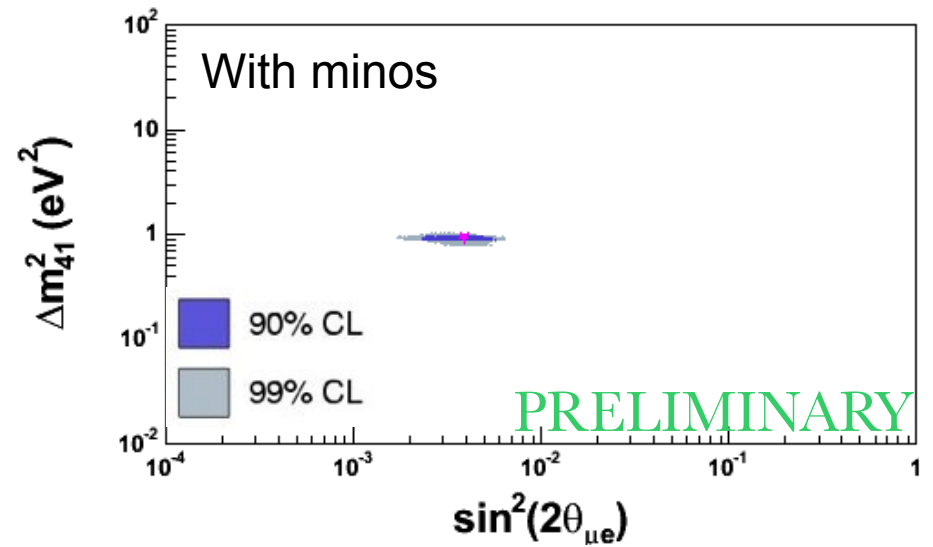
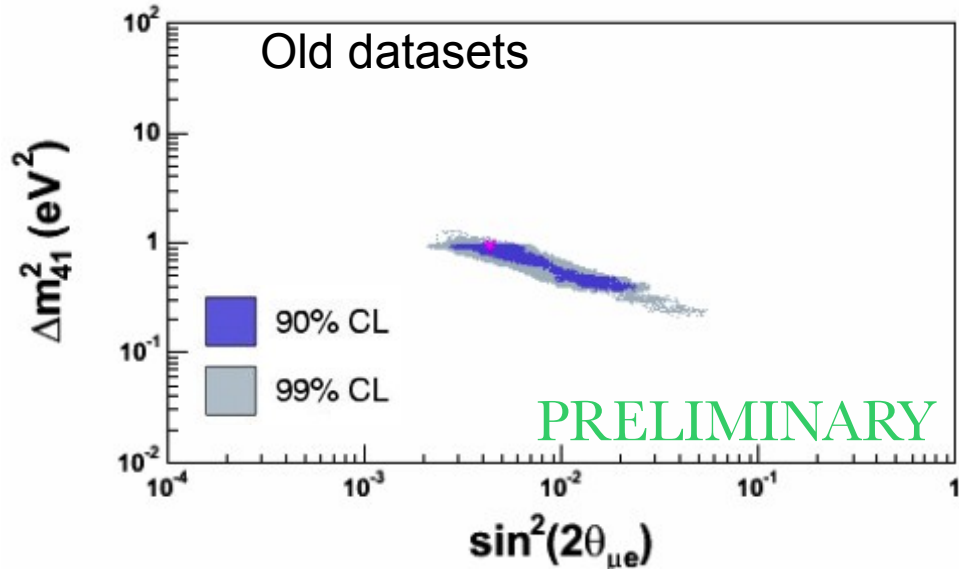
# 3+1 Global fit



This plot includes all experiments (except for MINOS CC) plus the new fluxes, but the regions are the same for the other fits..

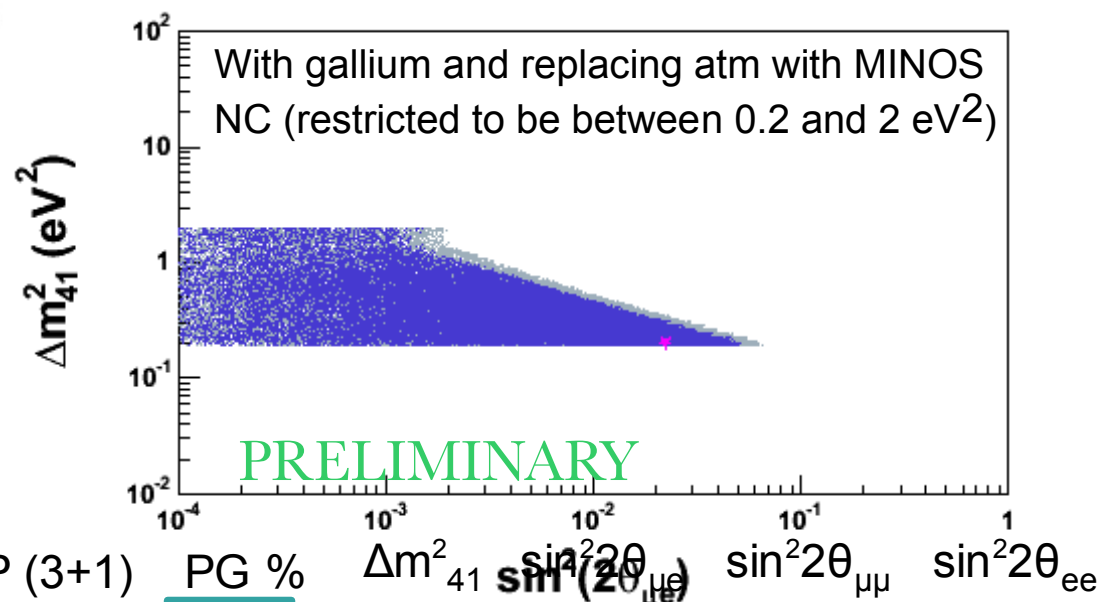
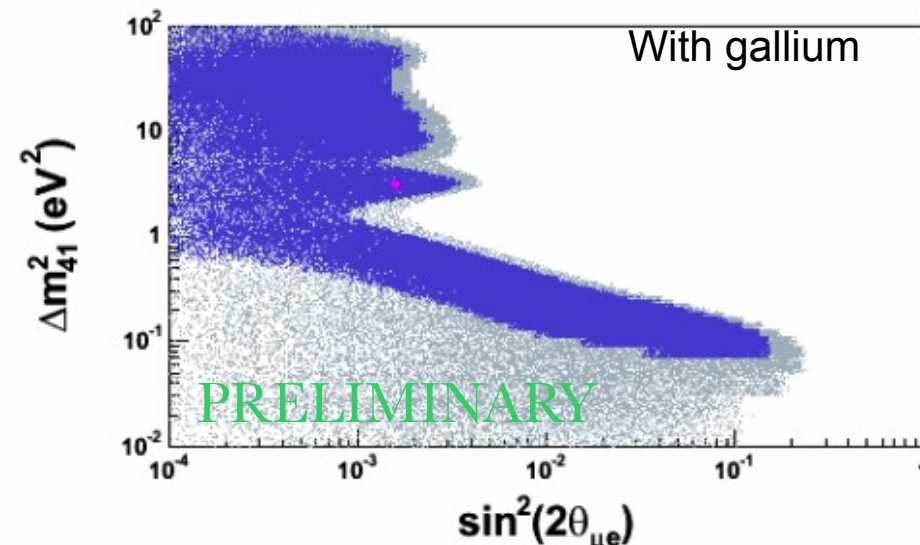
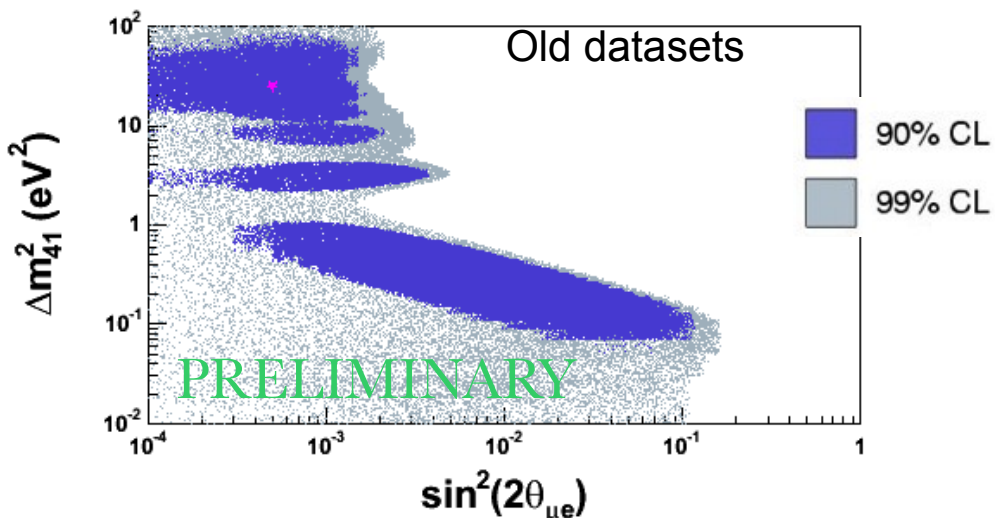
	ndf	$\chi^2_{\text{null}}$	P(null)	$\chi^2_{3+1}$	P(3+1)	PG %	$\Delta m^2_{41}$	$\sin^2 2\theta_{\mu e}$	$\sin^2 2\theta_{\mu\mu}$	$\sin^2 2\theta_{ee}$
old datasets	196	247	0.81%	203	35%	0.043%	0.92	0.0027	0.14	0.076
including new	200	255	0.48%	209	32%	0.044%	0.91	0.0027	0.14	0.073
new reactor flux	200	259	0.27%	205	40%	0.12%	0.91	0.0029	0.12	0.091

# $\bar{\nu}$ Fits



	ndf	$\chi^2_{\text{null}}$	P(null)	$\chi^2_{3+1}$	P(3+1)	PG %	$\Delta m^2_{41}$	$\sin^2 2\theta_{\mu e}$	$\sin^2 2\theta_{\mu\mu}$	$\sin^2 2\theta_{ee}$
old datasets	103	150	0.16%	92	77.90%	20%	0.91	0.0044	0.38	0.040
including minos	117	165	0.24%	111	63%	7.9%	0.91	0.0039	0.21	0.068
reactor anom	117	165	0.24%	108	71%	16%	0.91	0.0041	0.19	0.079

# $\nu$ Fit



	ndf	$\chi^2_{\text{null}}$	P(null)	$\chi^2_{3+1}$	P(3+1)	PG %	$\Delta m^2_{41}$	$\sin^2 2\theta_{4e}$	$\sin^2 2\theta_{\mu\mu}$	$\sin^2 2\theta_{ee}$
old datasets	90	103	16%	91	46%	6.4%	24.43	0.00050	0.099	0.020
adding gallium	94	104	23%	96	42%	6.7%	3.13	0.0016	0.020	0.29
add nc (rm atm)	94	111	11%	98	36%	3.3%	0.2	0.022	0.099	0.69

Gallium fits in well with old data

Drop mostly due to restricting the region (PG before adding NC is 3.9% in this region)

# How each experiment is affected

## Final bestfit values

	$\Delta m_{41}^2$	$\sin^2 2\theta_{\mu e}$	$\sin^2 2\theta_{\mu\mu}$	$\sin^2 2\theta_{ee}$	$U_\mu$	$U_e$	PG(%)
global	0.91	0.0029	0.12	0.091	0.15	0.18	0.12%
$\bar{\nu}$ only	0.91	0.0041	0.19	0.079	0.22	0.14	16%
$\nu$ only (no nc)	3.1	0.0016	0.020	0.29	0.07	0.28	6.7%

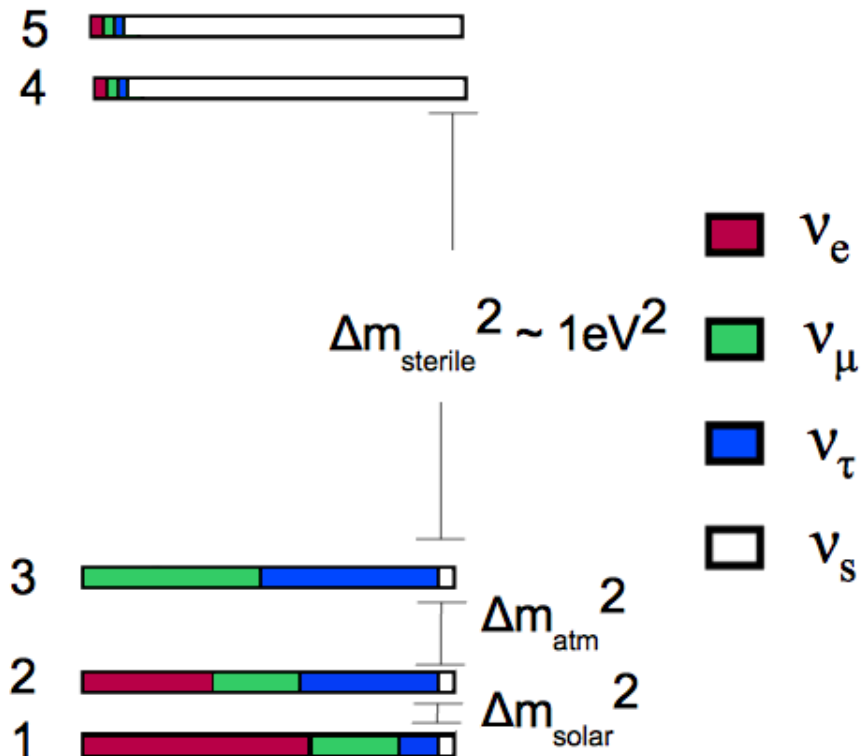
## $\chi^2$ for each experiment

	dof	$\chi^2_{\text{null}}$	$\chi^2_{3+1}^{\text{Global}}$	$\chi^2_{3+1}^{\nu/\bar{\nu}}$	P(null)	P (Global)	P( $\nu/\bar{\nu}$ )			
$\nu$	MiniBooNE $\nu$	16	22	25	18	14%	6.9%	35%	MiniBooNE $\nu$	$\nu_\mu \rightarrow \nu_e$
	NOMAD	28	35	35	35	16%	16%	16%	NOMAD	$\nu_\mu \rightarrow \nu_e$
	NUMI	8	6.7	5.3	6.0	57%	72%	64%	NUMI	$\nu_\mu \rightarrow \nu_e$
	CCFR84	16	18	18	18	34%	34%	34%	CCFR84	$\nu_\mu \rightarrow \nu_\mu$
	CDHS	13	14	18	16	35%	18%	25%	CDHS	$\nu_\mu \rightarrow \nu_\mu$
	Gallium	2	8.0	5.4	3.5	1.8%	6.6%	18%	Gallium	$\nu_e \rightarrow \nu_e$
<b>PRELIMINARY</b>										
$\bar{\nu}$	LSND	3	53	8.8	5.0	0.00%	3.3%	17%	LSND	$\bar{\nu}_\mu \rightarrow \bar{\nu}_e$
	MiniBooNE $\bar{\nu}$	16	33	25	24	0.70%	6.2%	9.2%	MiniBooNE $\bar{\nu}$	$\bar{\nu}_\mu \rightarrow \bar{\nu}_e$
	Karmen	7	7.1	8.4	9.7	42%	30%	21%	Karmen	$\bar{\nu}_\mu \rightarrow \bar{\nu}_e$
	Bugey	58	52	47	46.4	69%	84%	86%	Bugey	$\bar{\nu}_e \rightarrow \bar{\nu}_e$
	Chooz	12	10	6.2	6.3	60%	91%	90%	Chooz	$\bar{\nu}_e \rightarrow \bar{\nu}_e$
	MINOSCC	14	14	-	17	43%	-	27%	MINOSCC	$\bar{\nu}_\mu \rightarrow \bar{\nu}_\mu$

# 3+2 model

$$\Delta m_{51}^2 > \Delta m_{41}^2 \gg \Delta m_{\text{atm}}^2$$

The 3 original mass eigenstates remain degenerate so now we are doing a 3 neutrino fit



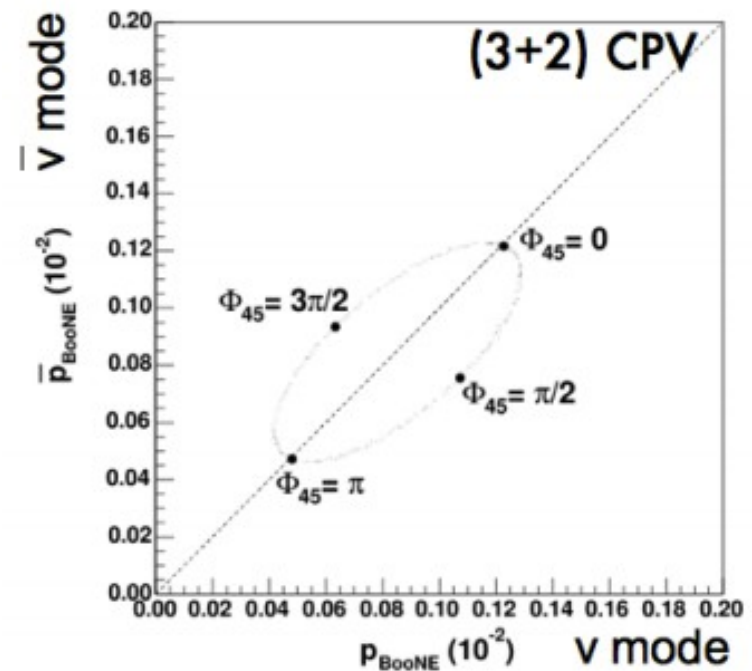
Disappearance

$$P(\nu_\alpha \rightarrow \nu_\alpha) = 1 - 4[(1 - |U_{\alpha 4}|^2 - |U_{\alpha 5}|^2) \cdot (|U_{\alpha 4}|^2 \sin^2 x_{41} + |U_{\alpha 5}|^2 \sin^2 x_{51}) + |U_{\alpha 4}|^2 |U_{\alpha 5}|^2 \sin^2 x_{54}]$$

Appearance

$$P(\nu_\alpha \rightarrow \nu_{\beta \neq \alpha}) = 4|U_{\alpha 4}|^2 |U_{\beta 4}|^2 \sin^2 x_{41} + 4|U_{\alpha 5}|^2 |U_{\beta 5}|^2 \sin^2 x_{51} + 8|U_{\alpha 5}| |U_{\beta 5}| |U_{\alpha 4}| |U_{\beta 4}| \sin x_{41} \sin x_{51} \cos(x_{54} - \phi_{45})$$

$$x_{ji} \equiv 1.27 \Delta m_{ji}^2 L/E$$



# 3+2 fits

Dataset	CP	$\chi^2$ (ndf)	gof	$\Delta m^2_{41}$	$\Delta m^2_{51}$	$U_{e4}$	$U_{\mu 4}$	$U_{e5}$	$U_{\mu 5}$	$\varphi_{45}$
<b>All: old</b>	<b>CPC</b>	191.5 (193)	52%	0.92	24.0	0.12	0.14	0.070	0.14	0
	<b>CPV</b>	189.3 (192)	54%	0.92	26.5	0.13	0.13	0.078	0.15	$1.7\pi$
<b>All: including new reactor fluxes</b>	<b>CPC</b>	186.1 (193)	62%	0.92	23.8	0.13	0.13	0.083	0.14	0
	<b>CPV</b>	182.6 (192)	67%	0.92	26.6	0.14	0.14	0.077	0.15	$1.7\pi$

PRELIMINARY

New reactor fluxes decrease tension among data

Gallium and minos not yet included here

# Conclusions

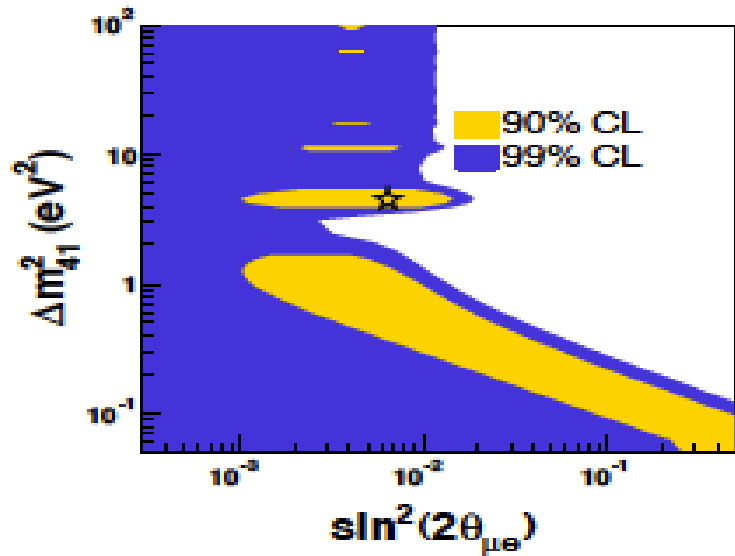
- MINOS is able to constrain  $\theta_{14}$ , and the final  $\bar{\nu}$  fit still has a PG of 16%
- Gallium fits in nicely with the  $\nu$  fit: PG=6.7%, but constraining the  $\Delta m^2$  to  $2 \text{ eV}^2$  to include MINOS NC lowers this to 3.3%
- Reactor anomaly reduces the tension in all of the fits, but an overall global 3+1 fit still doesn't do very well: PG = 0.12%
- Reactor anomaly reduces the tension in the 3+2 cpv fits: PG=6%
- An overall 3+1 fit is not a good fit to the data! We must either introduce a second sterile neutrino to allow for cp violation or separate neutrinos from antineutrinos in a 3+1 fit.
  - We have no physical explanation for why neutrinos would behave differently than antineutrinos in 3+1 fits!

# Backup slides

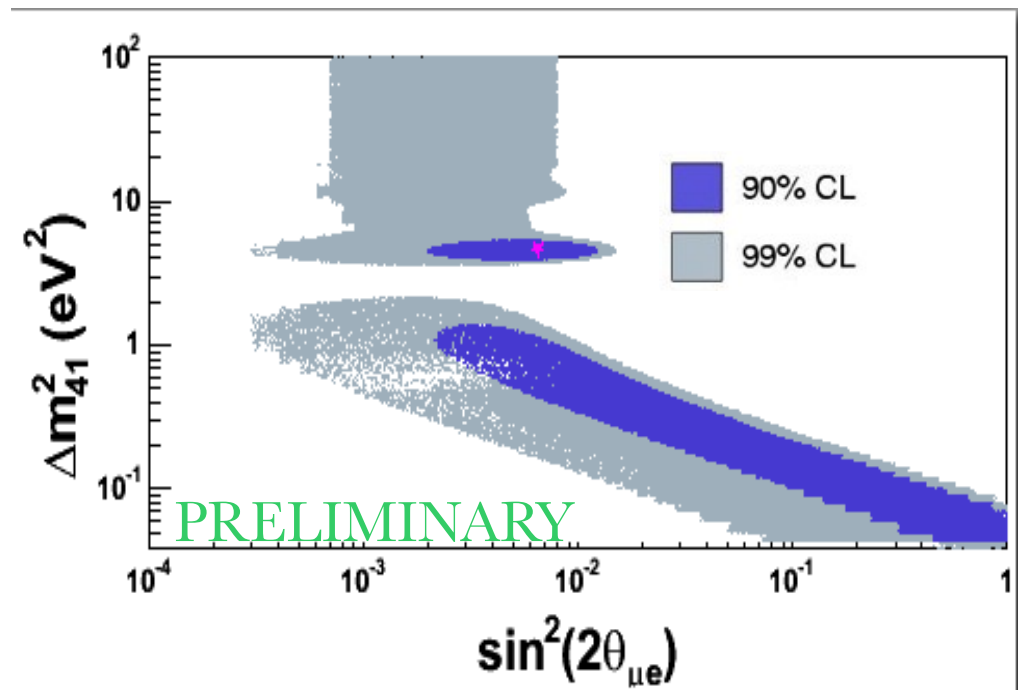


# MiniBooNE $\bar{\nu}$ update

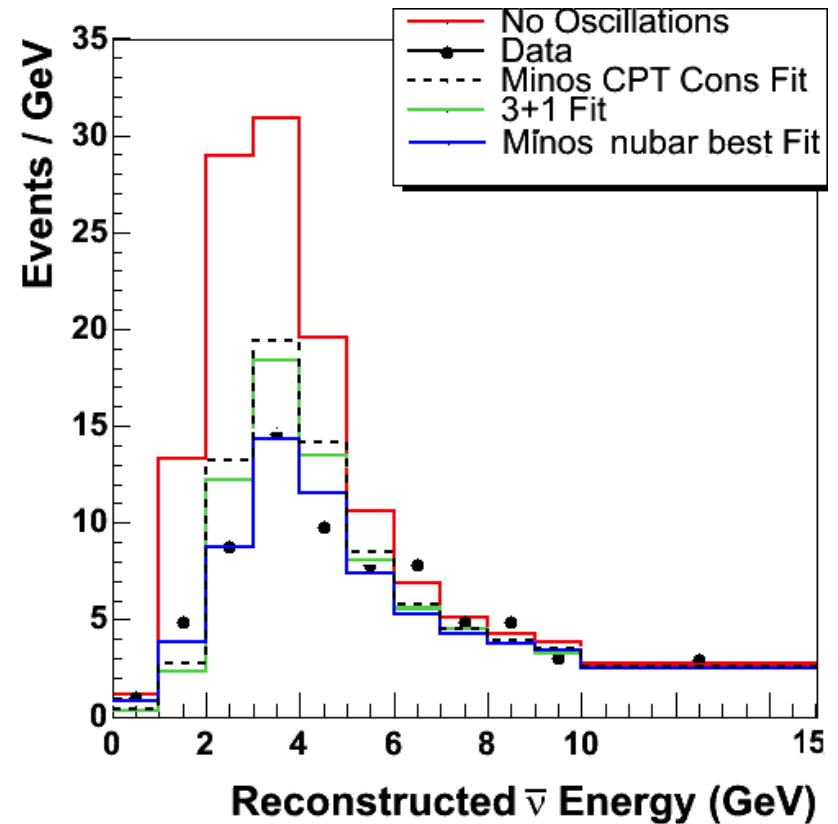
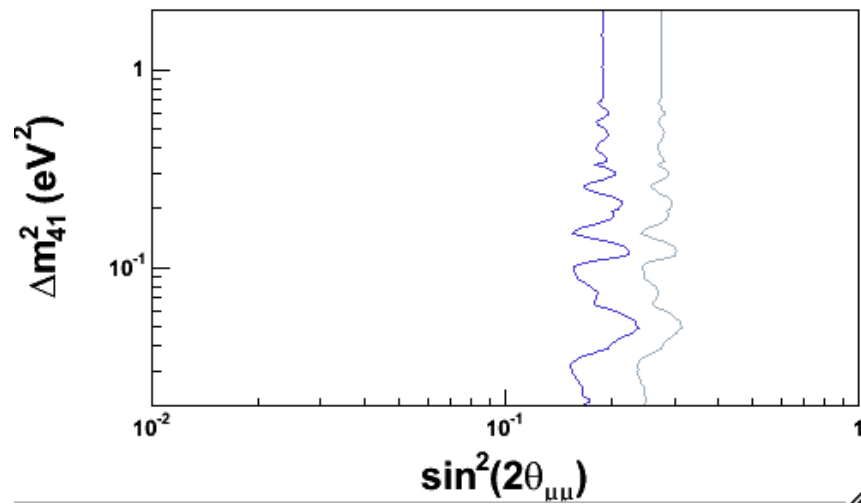
arXiv:0906.1997v2



2010 data release update



# MINOS CC without fast oscillations only



dof	$\chi^2_{\text{null}}$	$\chi^2_{3+1}$	Prob(null)	Prob (3+1)	$\Delta m^2_{41}$	$\sin^2 2\theta_{\mu\mu}$
12	14.2	14.0	28.6%	30.3%	0.050	0.071

Fast oscillations condition rather than only so that fit can go lower.

It looks like the wiggles are still an effect of energy resolution issues, and since our bestfit regions are higher than this anyway, it was less complicated to restrict the region to where fast oscillations is definitely a good approximation for our global fits.