



The Standard Model and Beyond

(probing new physics with
beta decay measurements)

Short Baseline
Neutrino Workshop

J.A. Formaggio
Massachusetts Institute of Technology



Status of neutrino masses
(Summary)

Beta decay measurements
(KATRIN)

Physics beyond the Standard Model
(sterile neutrinos and relic over-density)

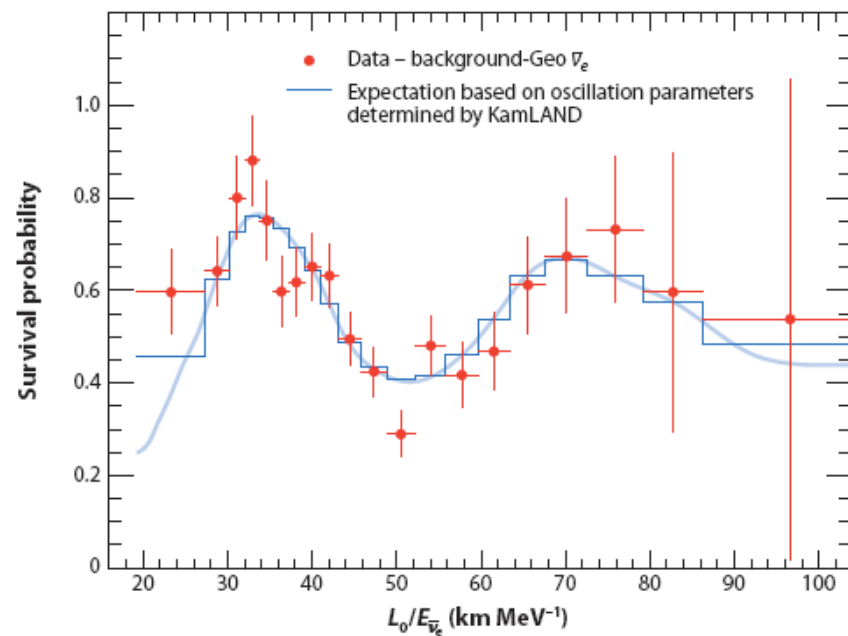


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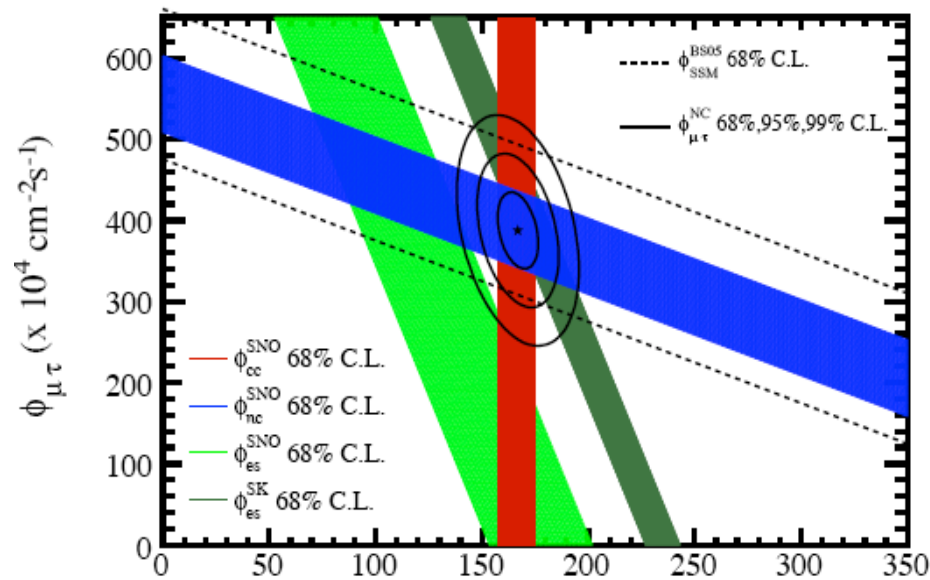
Physics beyond the Standard Model
(sterile neutrinos and relic over-density)

Body of Evidence



$$\sin^2(2\theta_{13}) < 0.19 \quad (90\% \text{ C.L.})$$

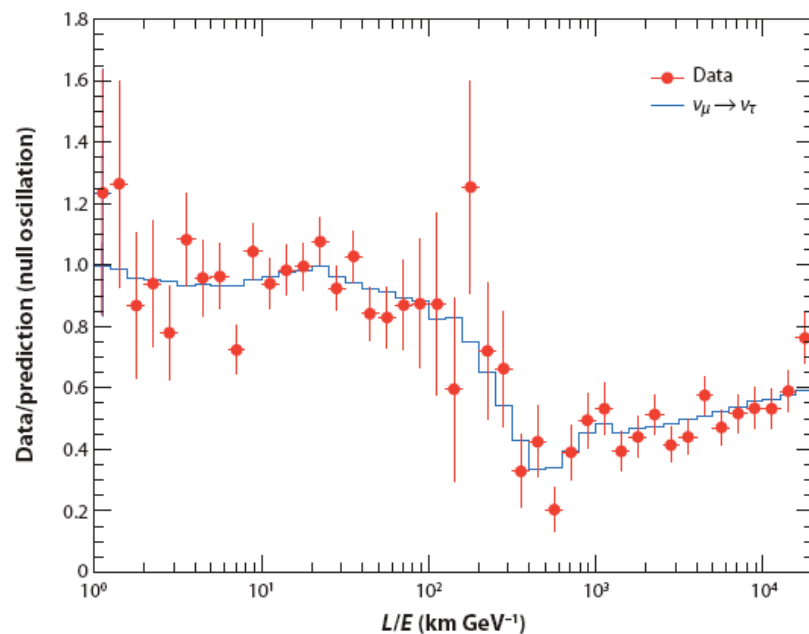
Reactor



$$\sin^2 \theta_{12} = 0.304^{+0.022}_{-0.016}$$

$$\Delta m_{12}^2 = 7.65^{+0.23}_{-0.20} \times 10^{-5} \text{ eV}^2$$

Solar



$$\sin^2 \theta_{23} = 0.50^{+0.07}_{-0.06}$$

$$|\Delta m_{31}^2| = 2.40^{+0.12}_{-0.11} \times 10^{-3} \text{ eV}^2$$

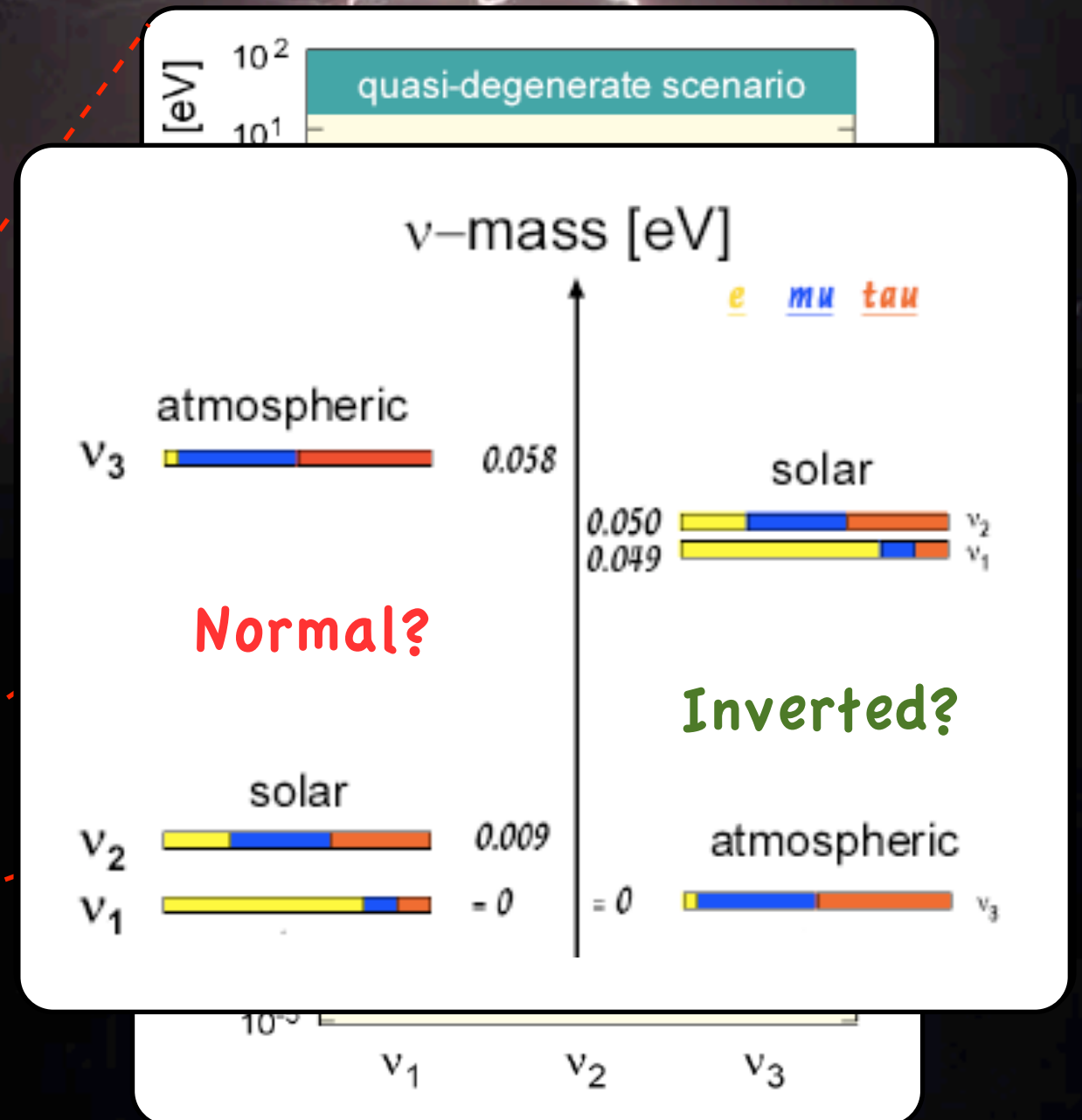
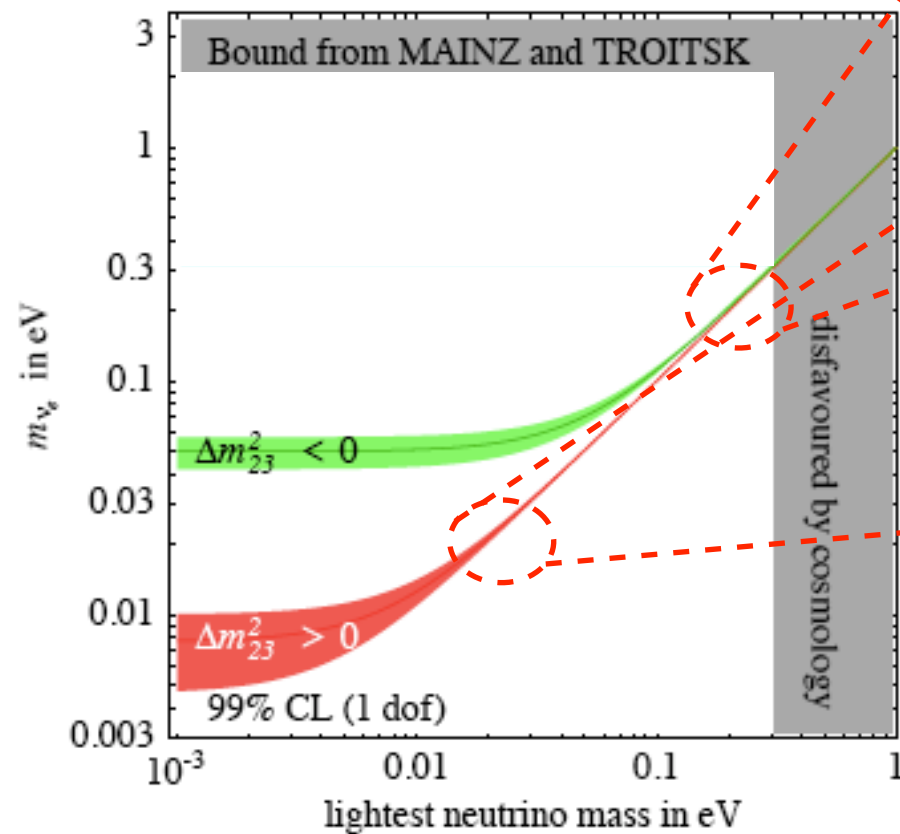
Atmospheric

The phenomena of neutrino oscillations is now firmly established.

More Questions

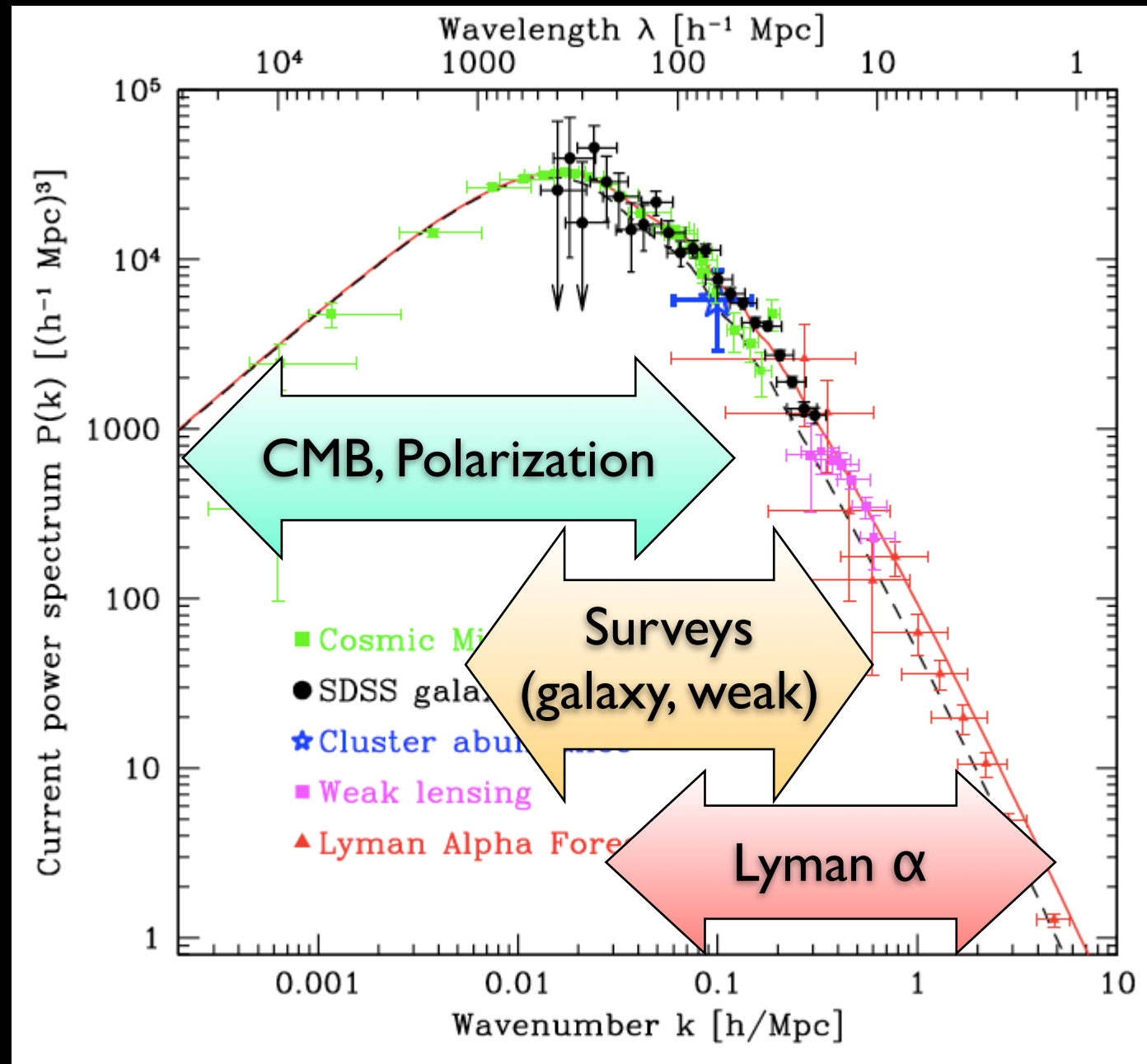
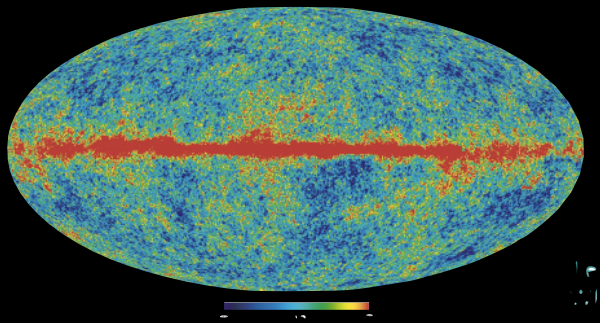
What We Don't Know

- (1) What is the absolute scale of neutrinos?
- (2) What is the mass hierarchy?
- (3) What is the nature of neutrino mass?

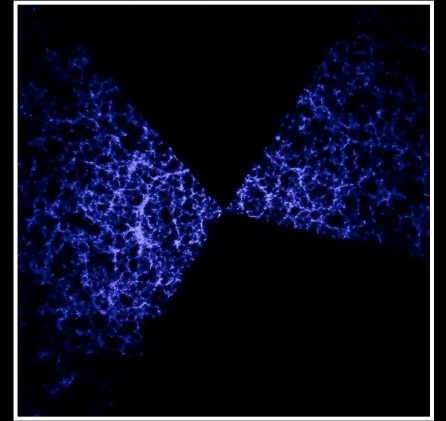


Cosmological Limits

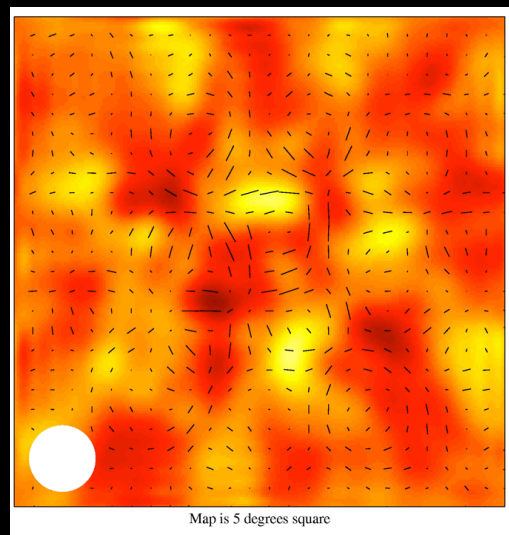
WMAP Temperature Map



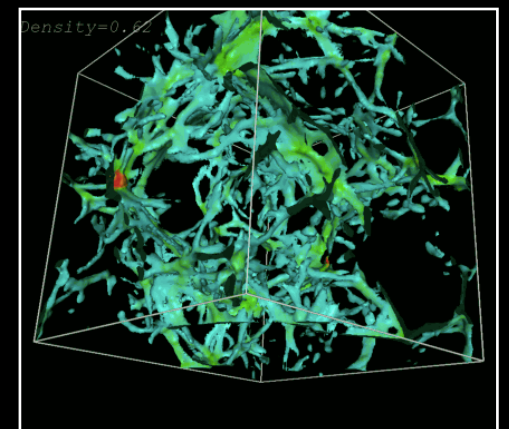
Galaxy Surveys



Weak lensing



CMB Polarization



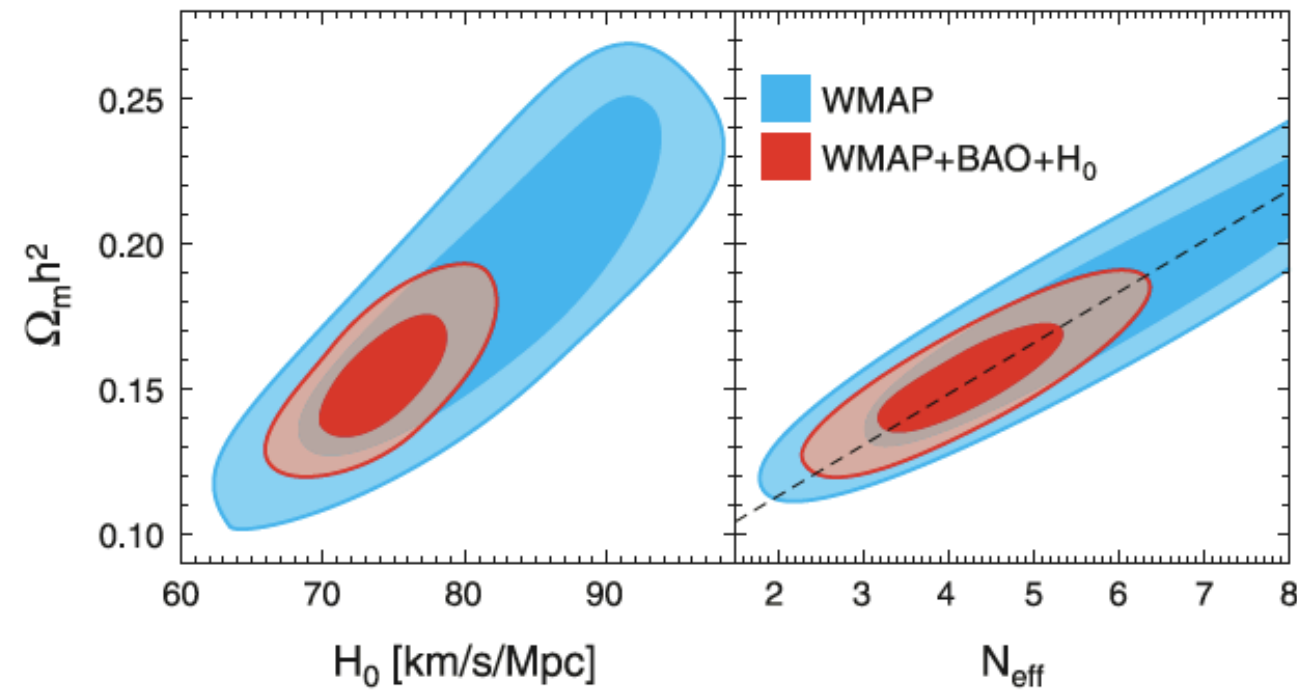
Lyman α

Max Tegmark, 2005

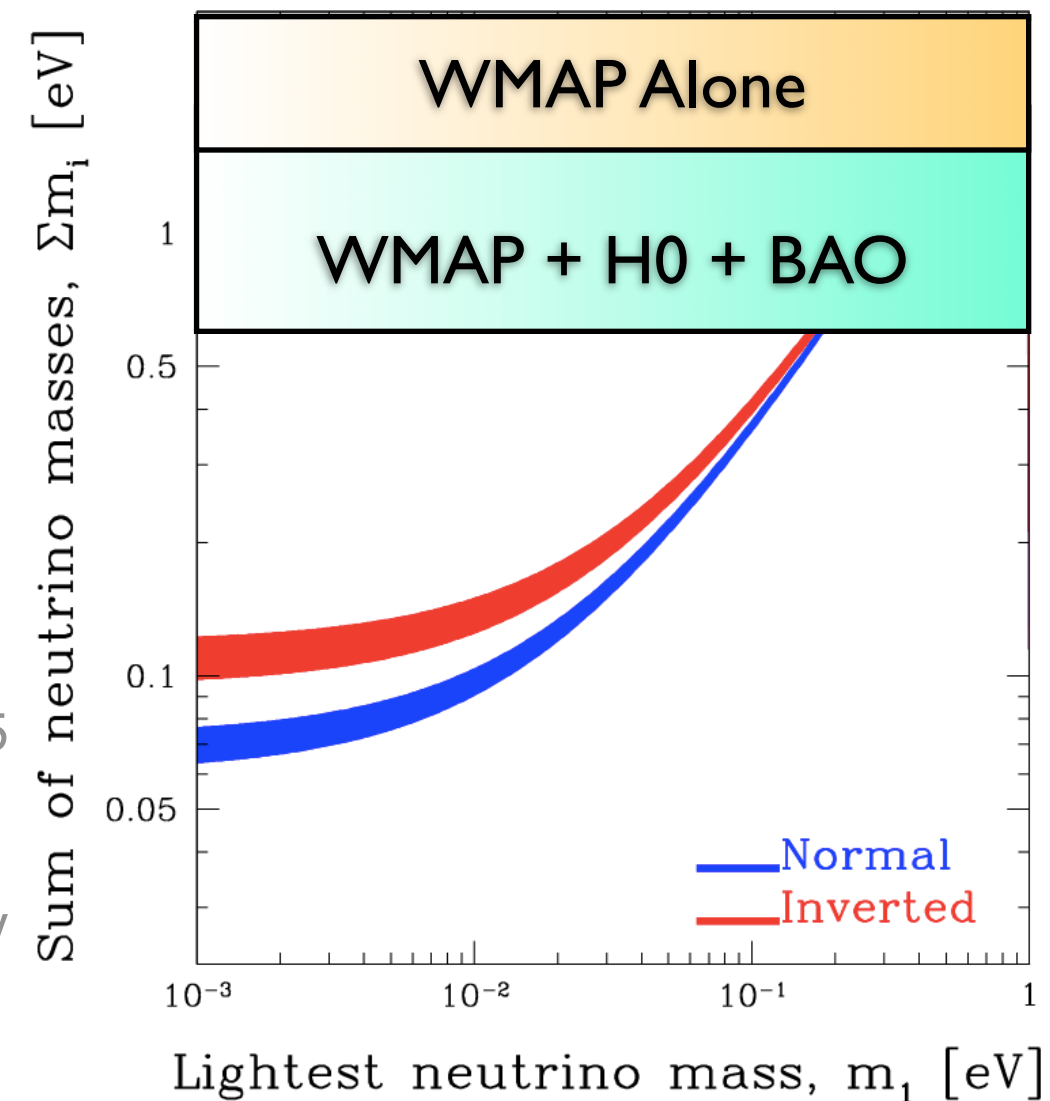
Current Limits

- Limits for neutrino masses depend in part on:
 - Which data is used, and...
 - ...what assumptions are made.

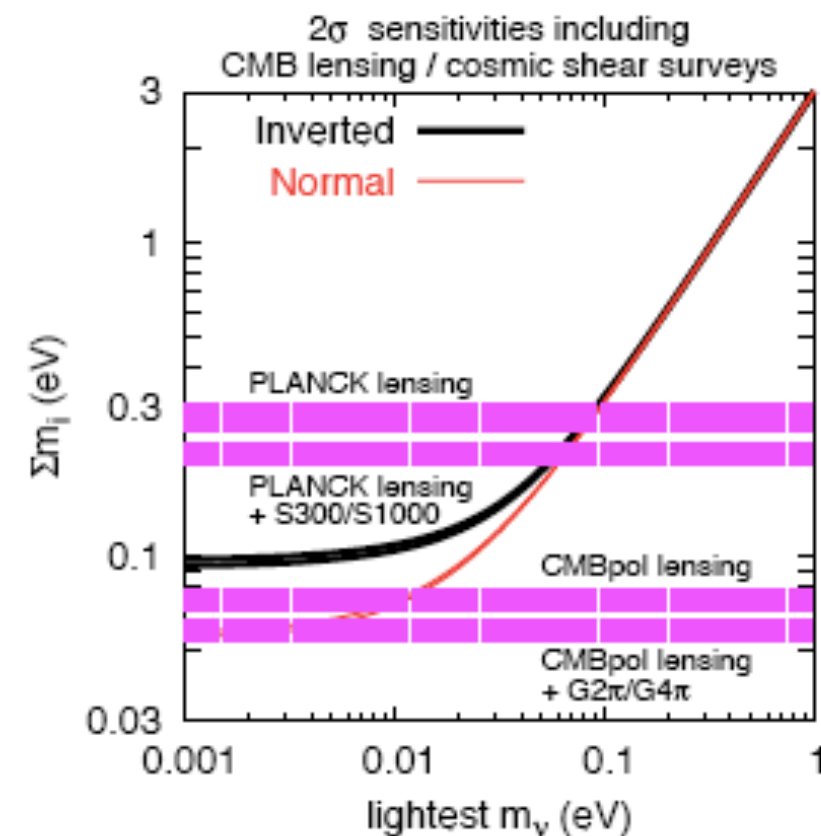
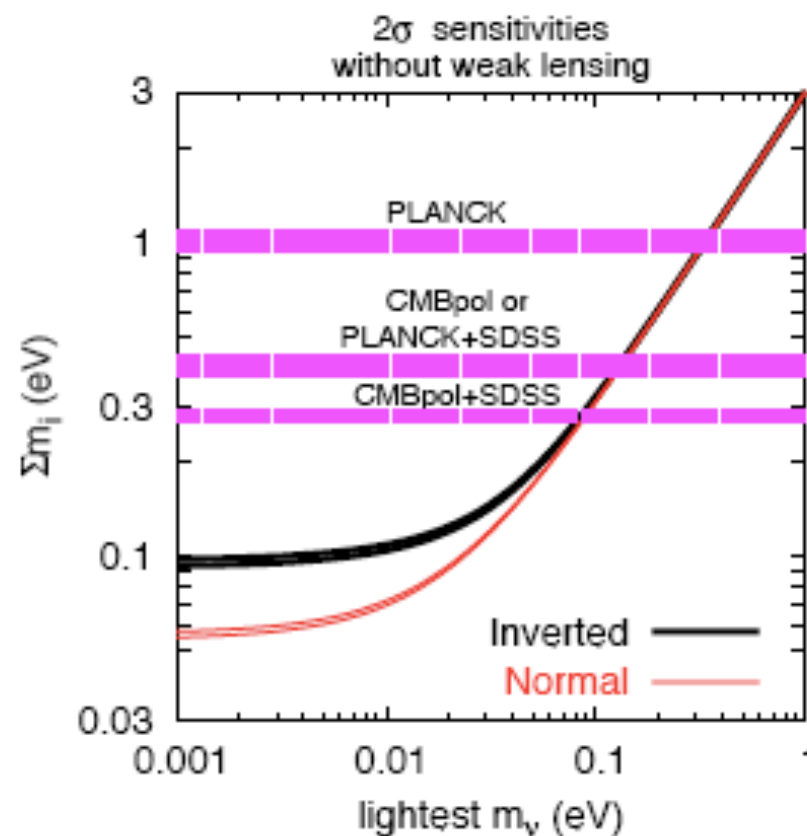
Set	$\omega = -1$	$\omega \neq -1$
WMAP 7 only	$\Sigma m_\nu < 1.3 \text{ eV}$	$\Sigma m_\nu < 1.4 \text{ eV}$
WMAP7 + BAO + H0	$\Sigma m_\nu < 0.58 \text{ eV}$	$\Sigma m_\nu < 1.3 \text{ eV}$
WMAP7 + BAO + SN	$\Sigma m_\nu < 0.7 \text{ eV}$	$\Sigma m_\nu < 0.9 \text{ eV}$



Gonzales-Garcia
arXiv:
1006.3795
v2
(and many others)



Upcoming Data



PLANCK

- Planck alone can push neutrino limits down 1 eV.
- Host of new experiments coming to the forefront.

Probe	Current	Mission	Reach
CMB	1.3 eV	CMBPol	0.6 eV
CMB Lensing	None	CMBPol	0.05 eV
Galaxy Distribution	0.6 eV	LSST	0.1 eV
21 cm	None	SKA	0.05 eV

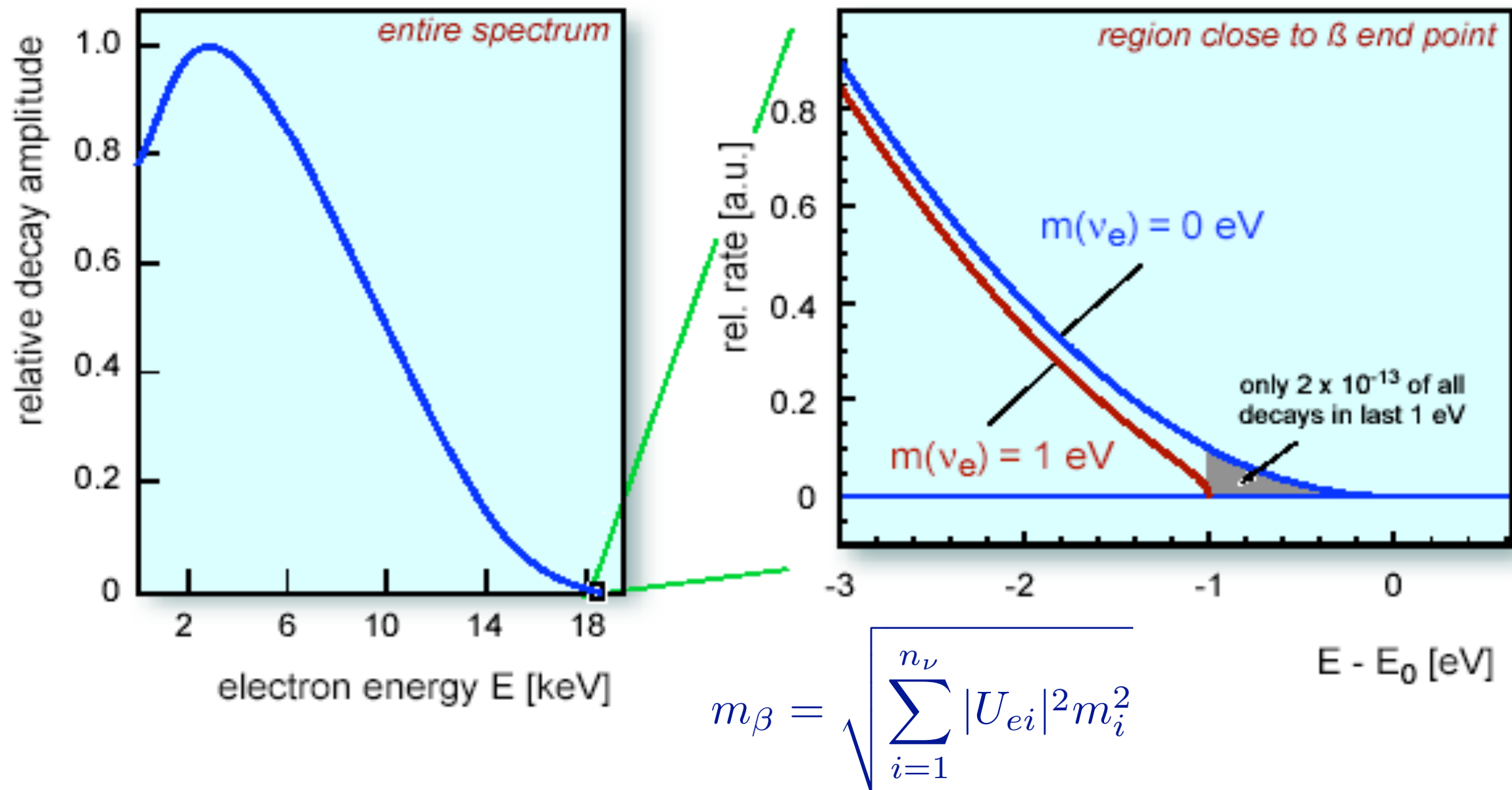


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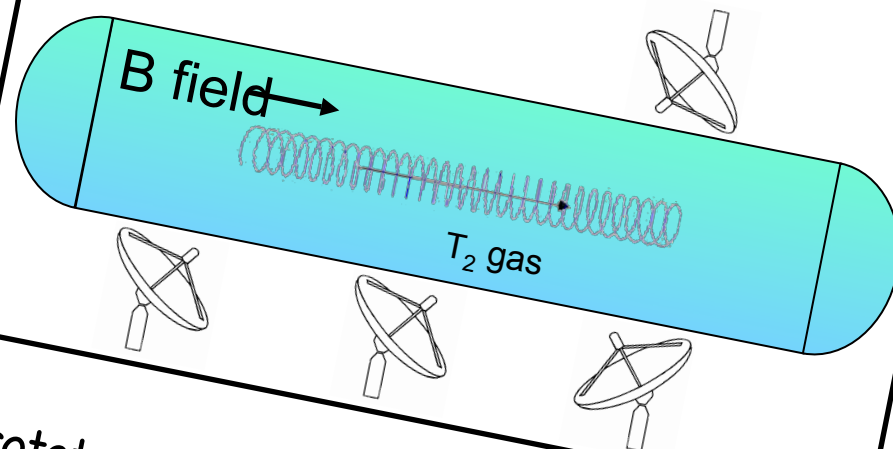
Direct Probes



Beta decay allows a *kinematic* determination of the neutrino mass

No dependence on cosmological models or matrix elements

PROJECT 8



(Prototype stage; potentially scalable)



MARE
(Prototype stage)



KATRIN

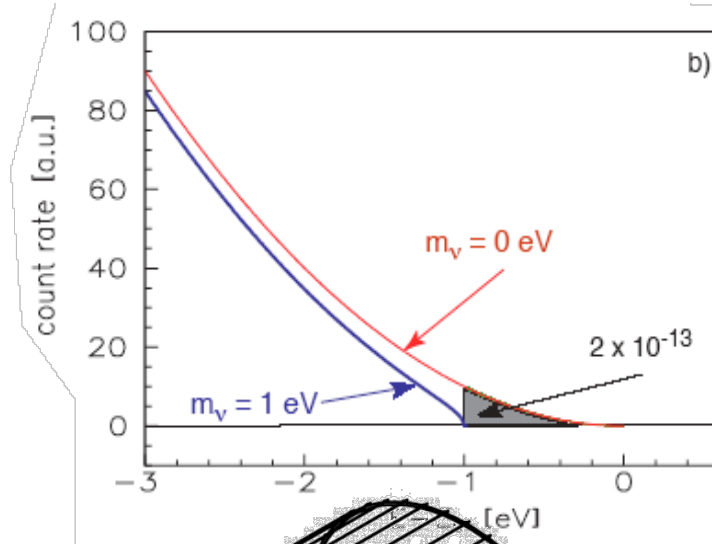
(State-of-the-art; on-going)

KATRIN is currently the prominent experiment for beta decay measurements.

New techniques being explored:

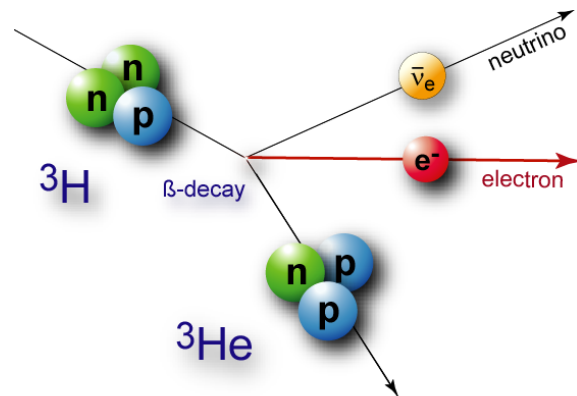
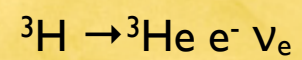
MARE and Project 8

The KATRIN Experiment



Electron Beta Decay Spectrum

Tritium Beta Decay

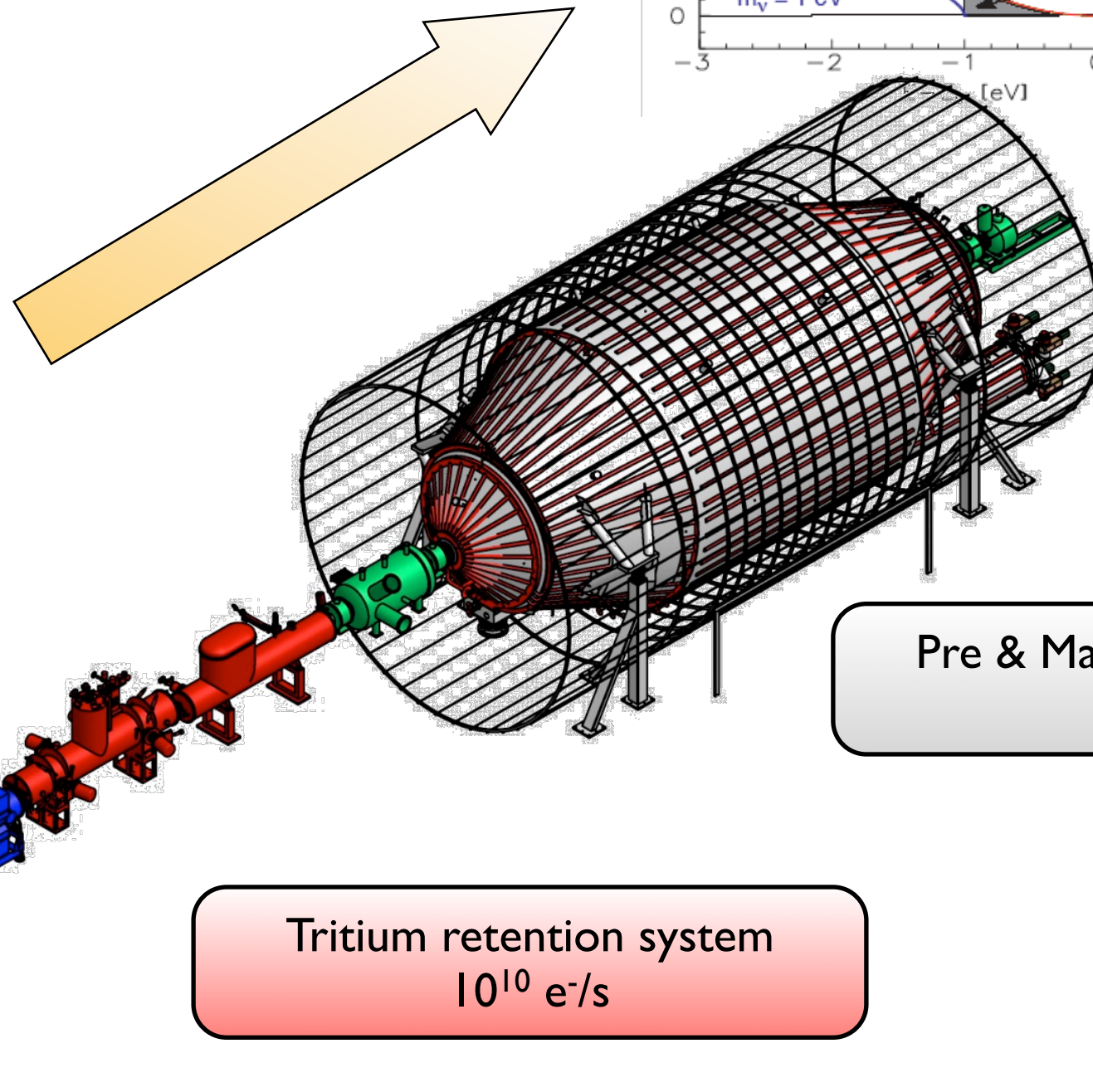


Detector
1 e-/s

Pre & Main spectrometers
 10^3 e-/s

Tritium retention system
 10^{10} e-/s

Windowless Gaseous Tritium Source
 $5 \times 10^{19} \text{ T}_2/\text{s}$

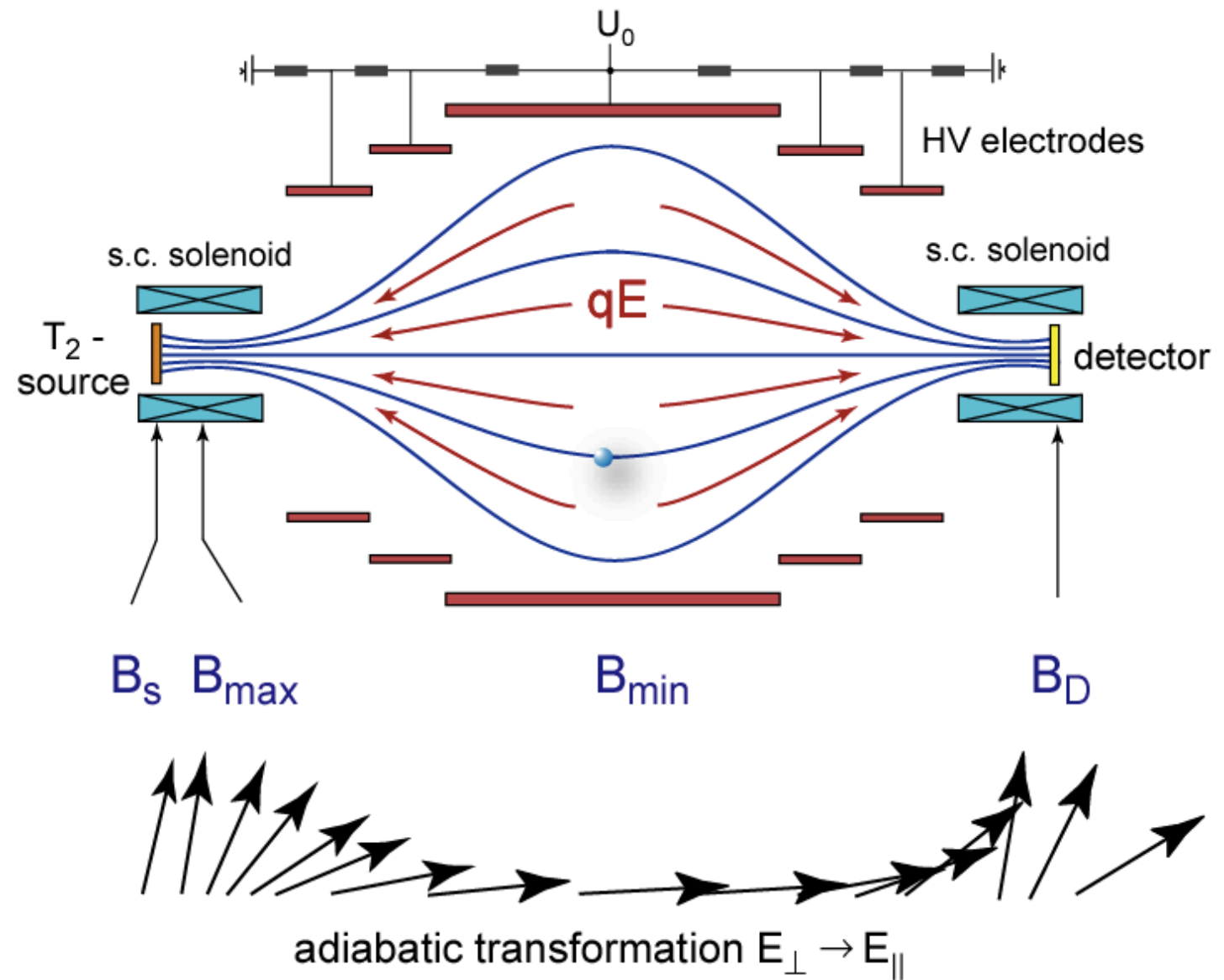


MAC-E Filter Technique

KATRIN



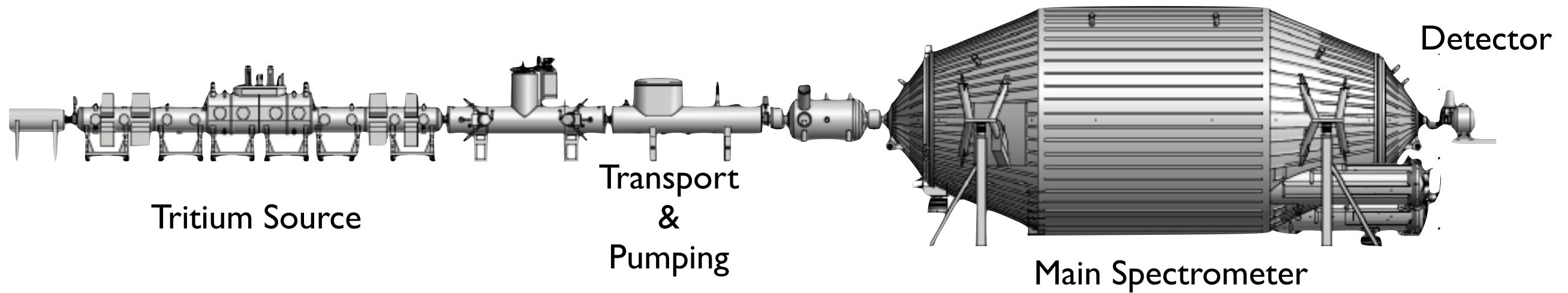
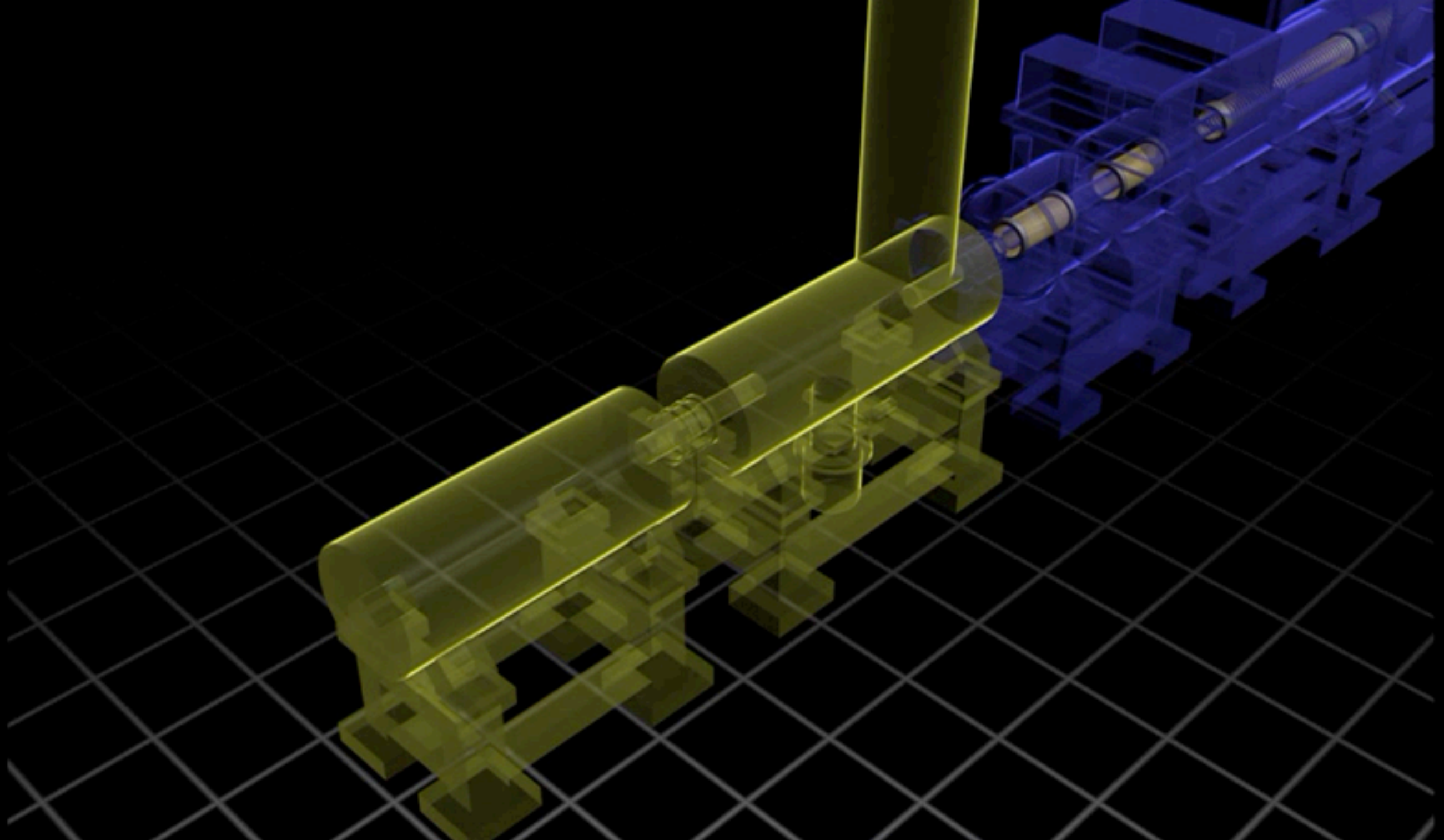
Spectroscopic: MAC-E Filter

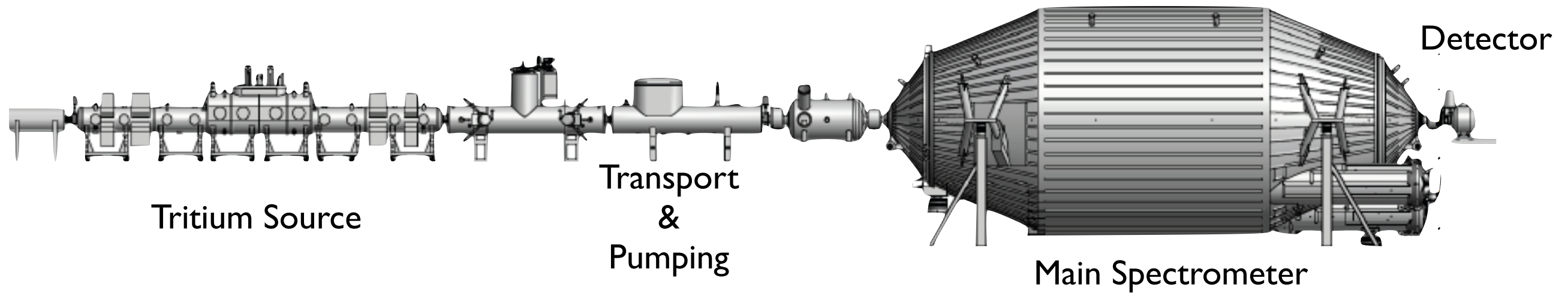
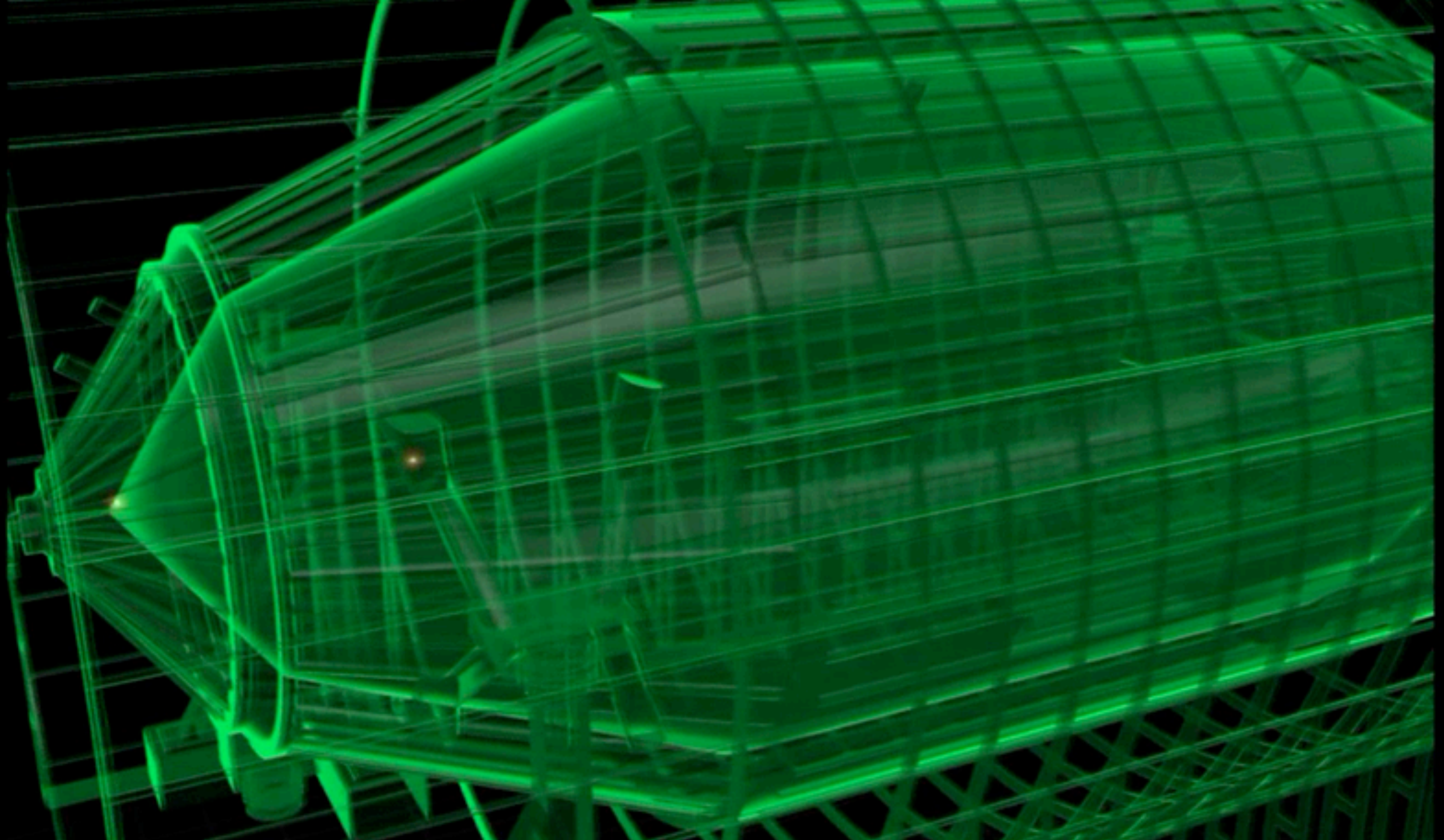


Inhomogeneous magnetic guiding field.

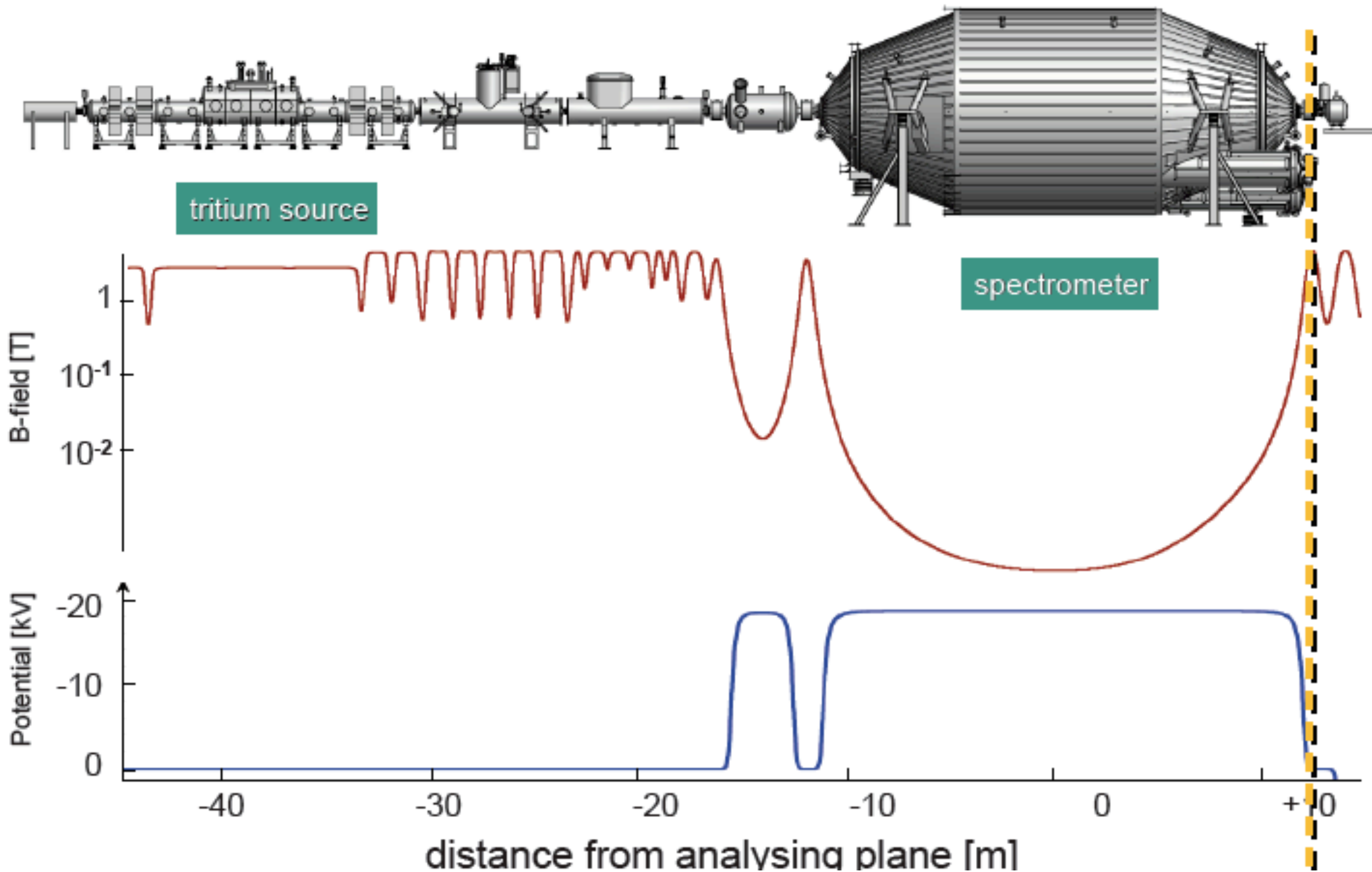
Retarding potential acts as high-pass filter

High energy resolution ($\Delta E/E = B_{\min}/B_{\max} = 0.93$ eV)



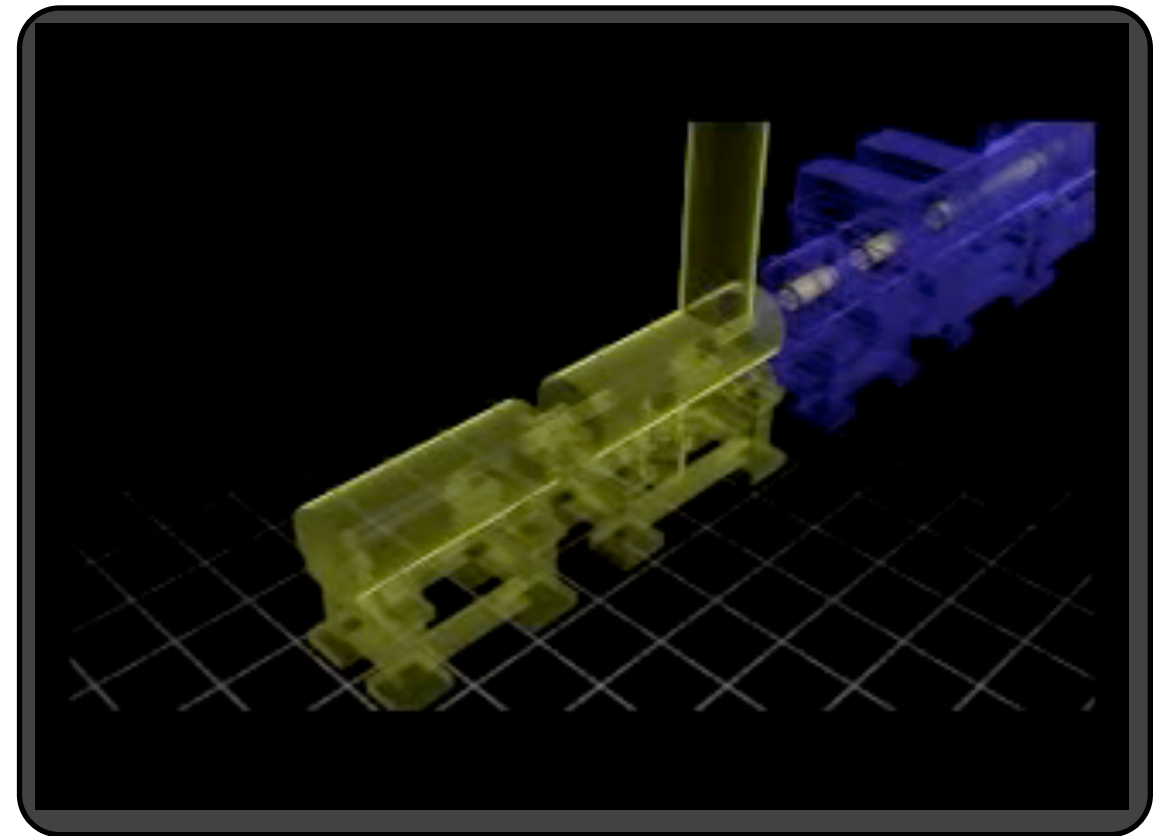


Electromagnetic Fields



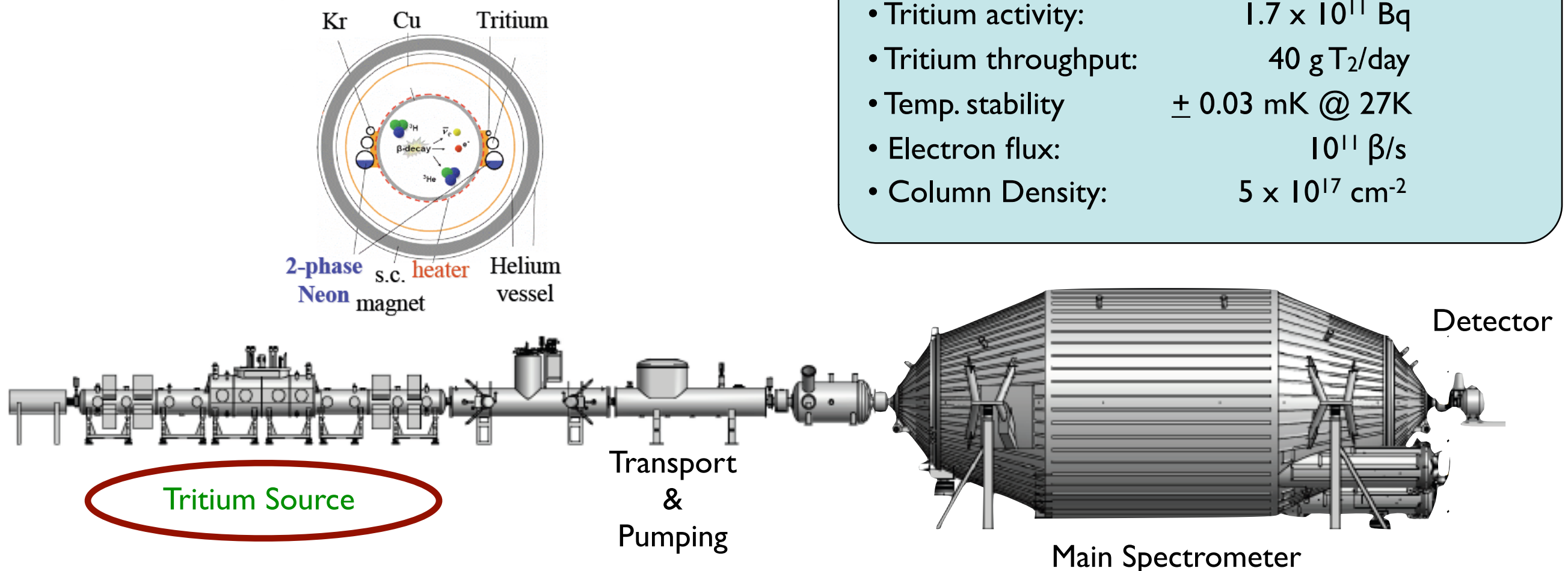
The Windowless Gaseous Tritium Source (WGTS)

- Gaseous tritium source provides source of beta-decay electrons.
- Use of injection + differential pumping to provide well-controlled gas column density.
- In-situ monitoring of purity of gas via laser Raman spectroscopy.



T₂ Specifications

- Tritium activity: 1.7×10^{11} Bq
- Tritium throughput: 40 g T₂/day
- Temp. stability ± 0.03 mK @ 27K
- Electron flux: 10^{11} β /s
- Column Density: 5×10^{17} cm⁻²





Demonstrator



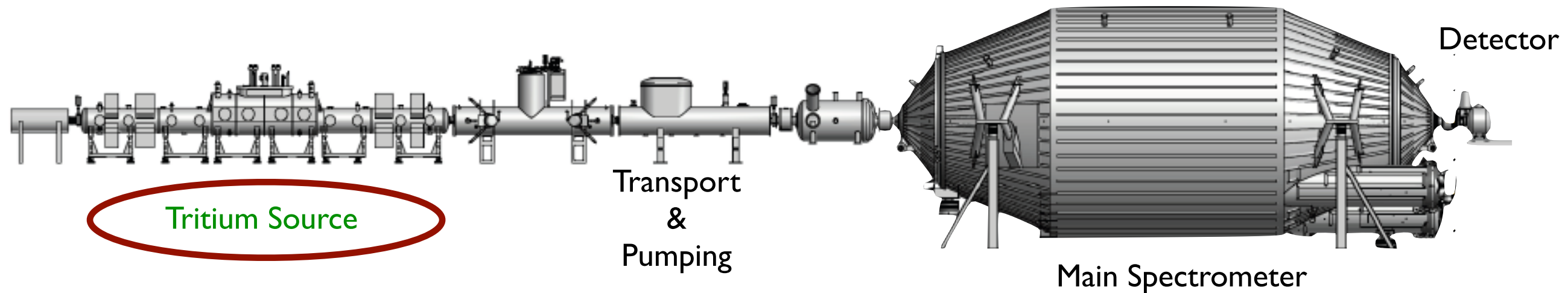
Solenoid testing

Recent milestone:

Demonstrator achieves
 27 ± 0.03 K neon stability



Beam alignment (< 0.5 mm)

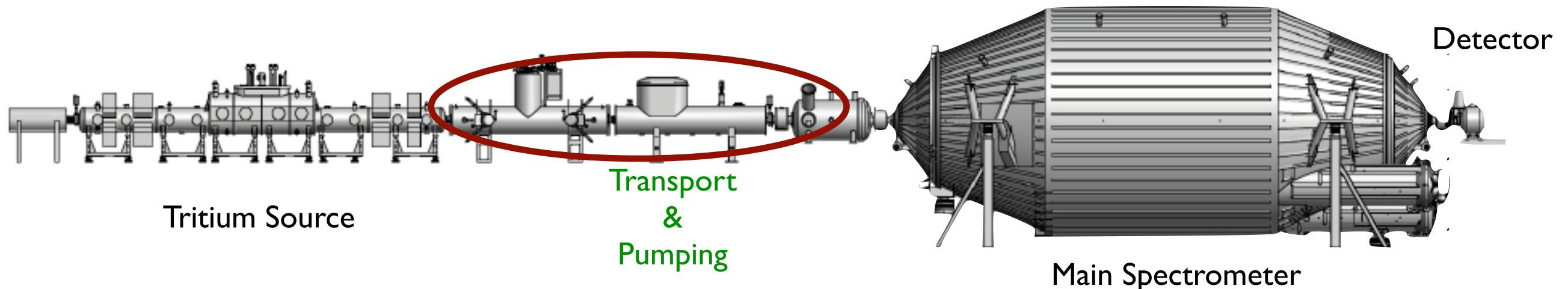
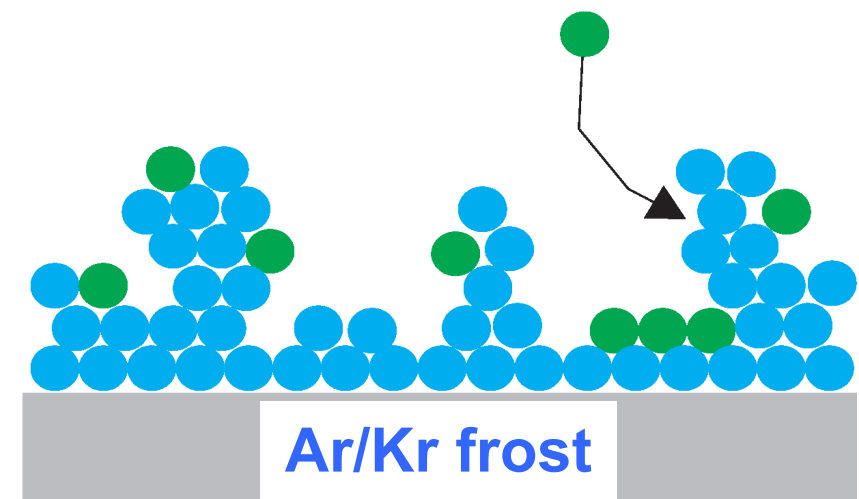
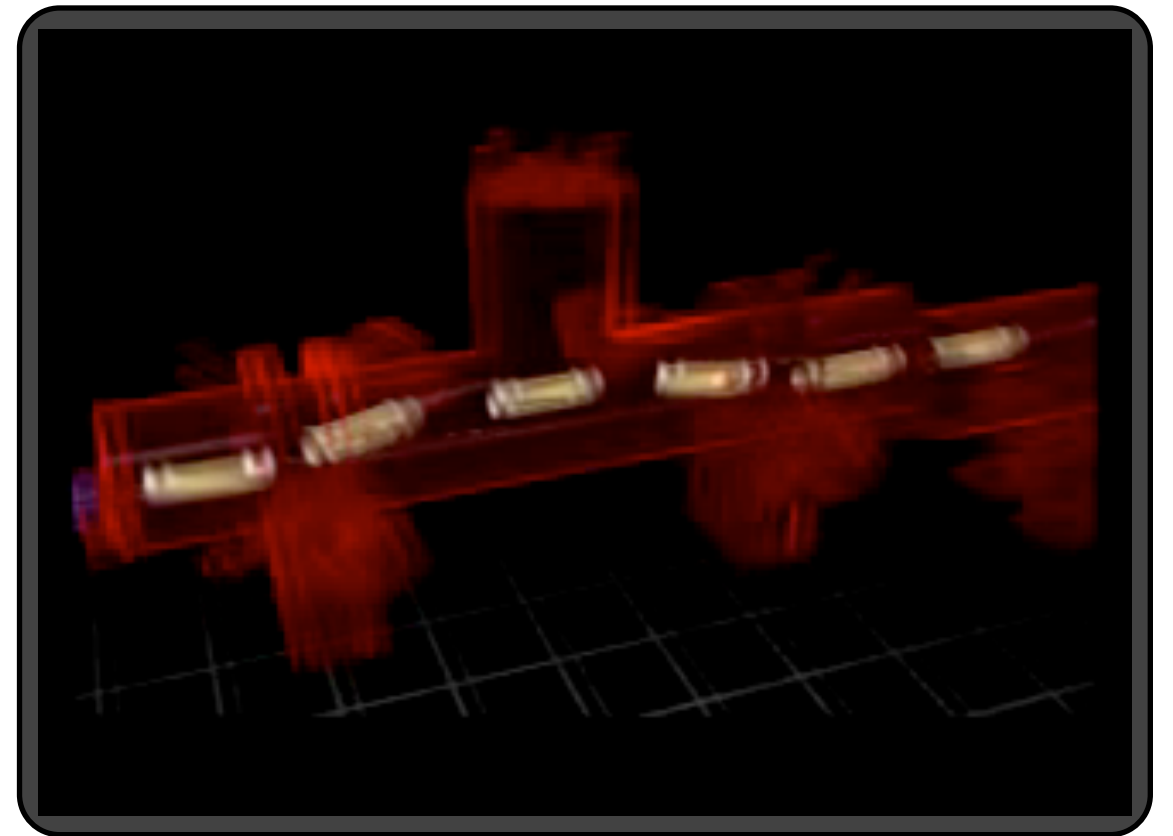


Tritium Retention Systems

Includes both differential and cryo-absorption pumping systems.

The differential pumping system's goal is to reduce tritium flow to less than 10^{-14} mbar l/s (factor of 10^7 reduction!)

Cryo-pumping system makes use of Ar/Kr frost at 3-4 K to trap residual tritium gas for further 10^7 reduction.

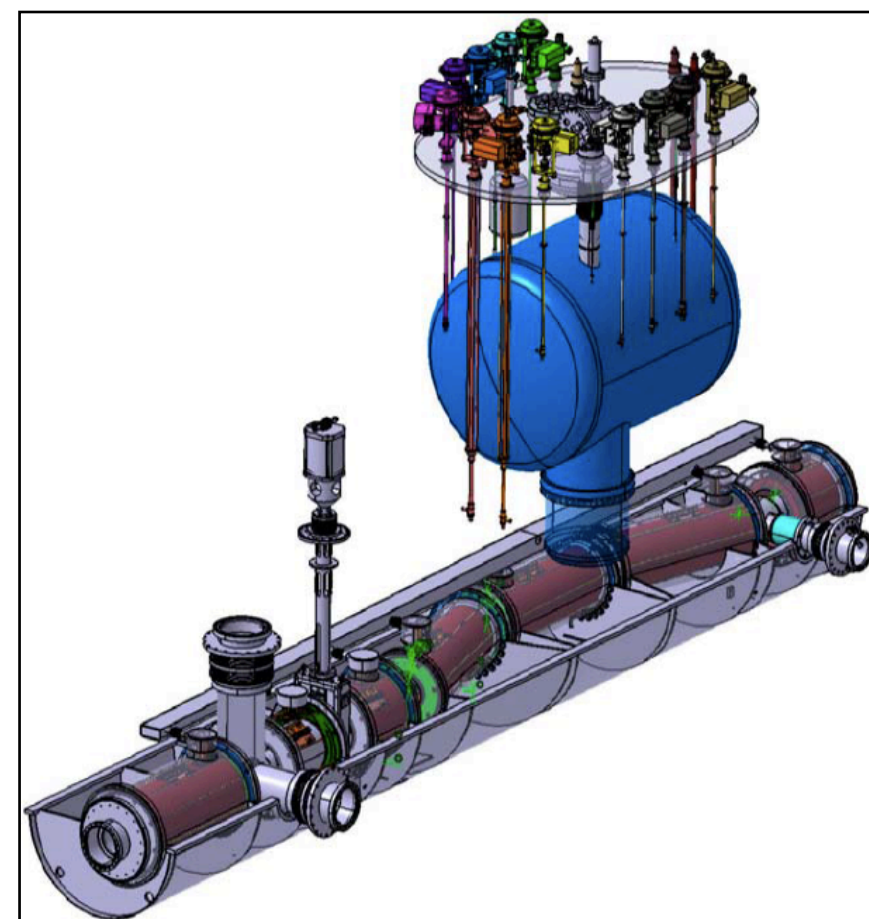




DPS2-F System



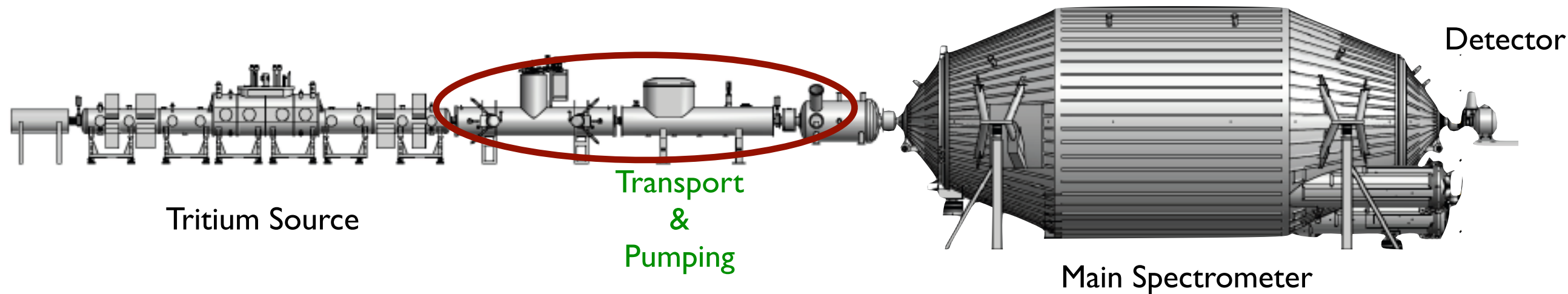
Transport tube

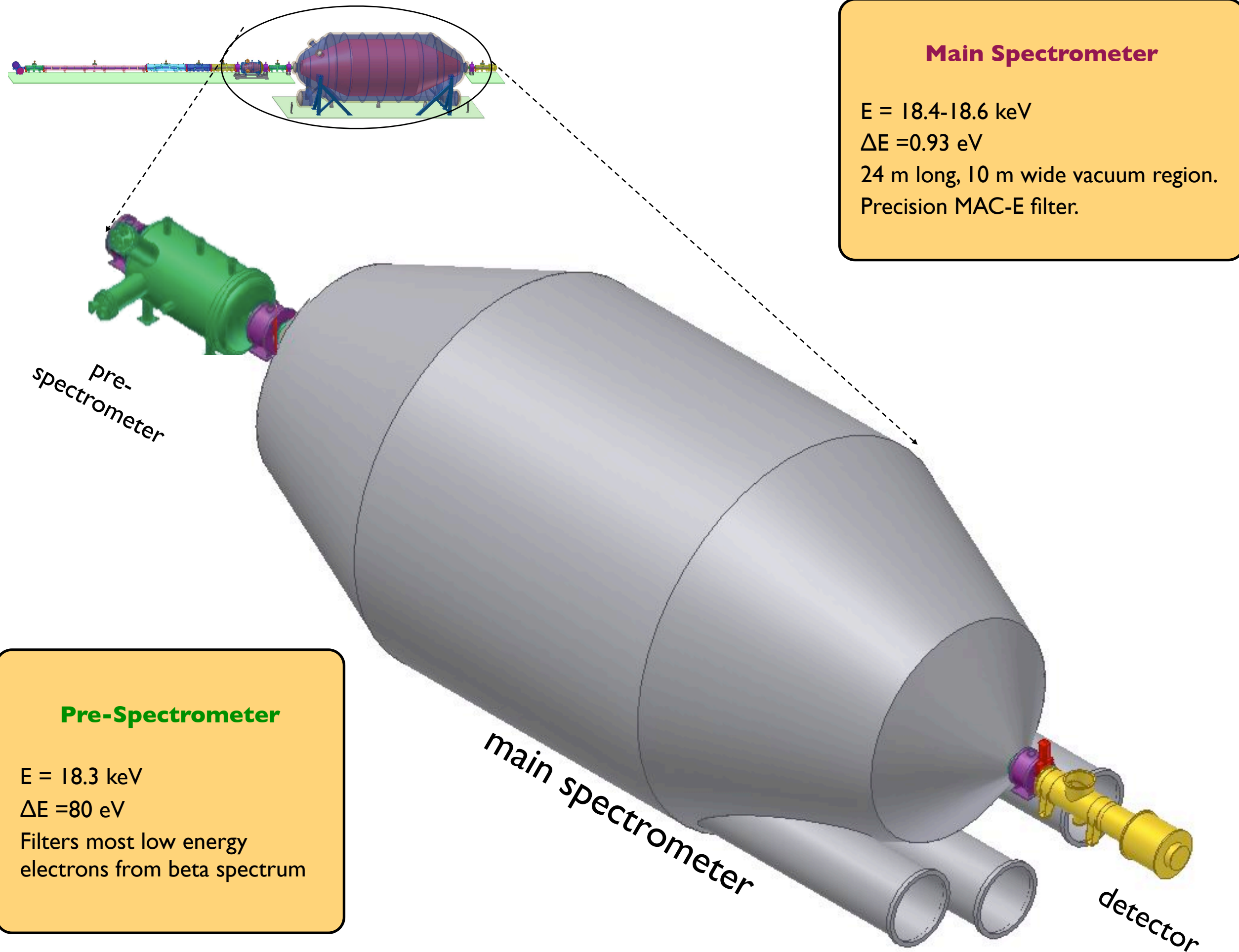


CPS design

Recent milestones:

Differential pumping system commissioned.





Main Spectrometer

$E = 18.4-18.6 \text{ keV}$

$\Delta E = 0.93 \text{ eV}$

24 m long, 10 m wide vacuum region.

Precision MAC-E filter.

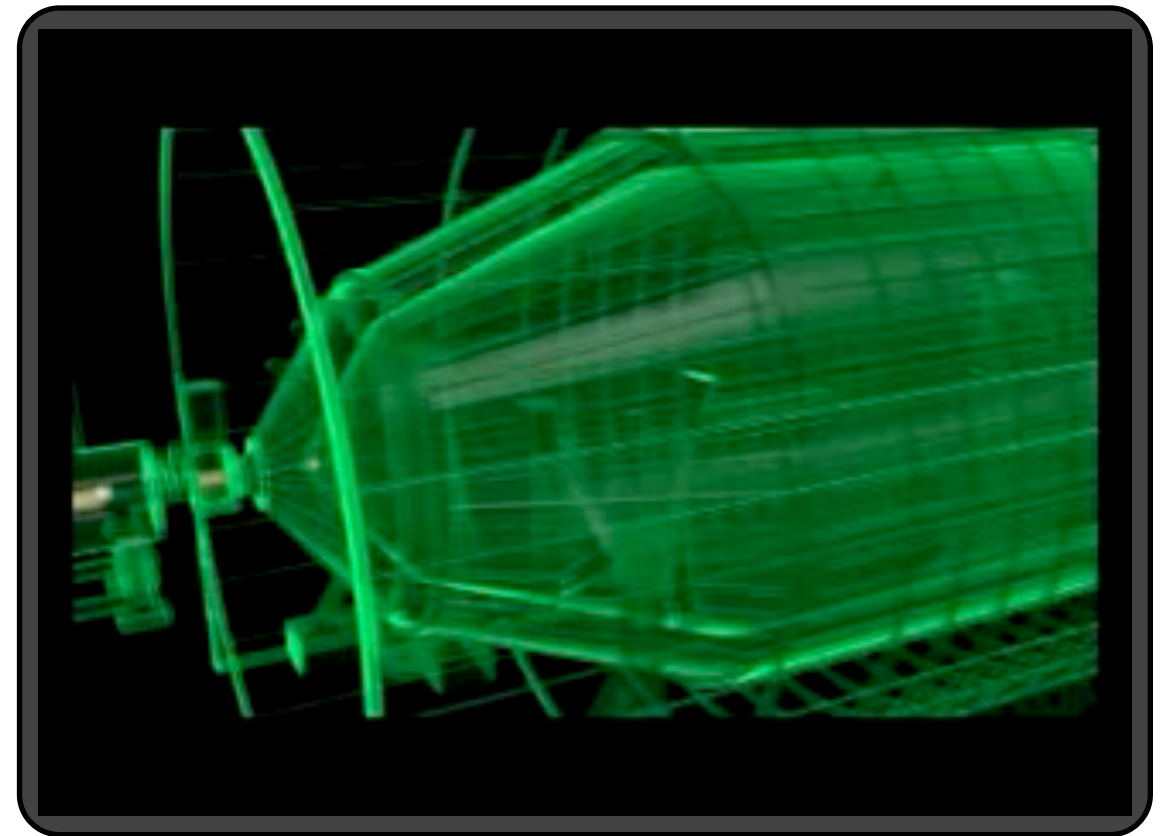
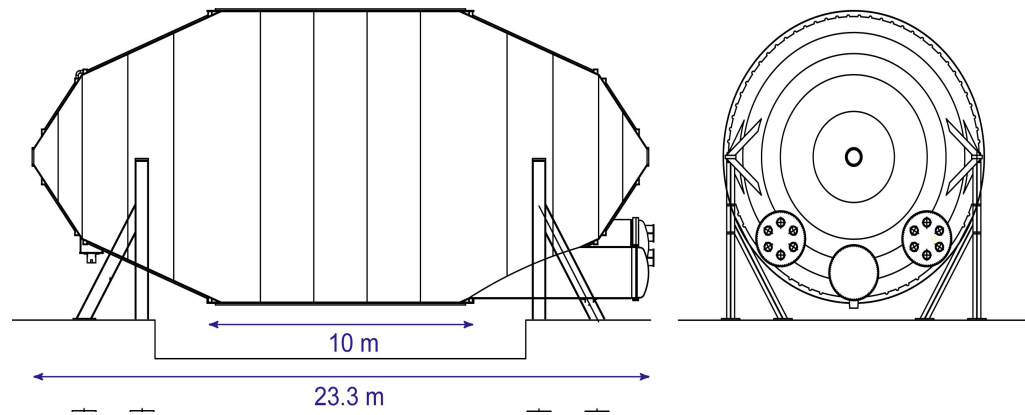
Pre-Spectrometer

$E = 18.3 \text{ keV}$

$\Delta E = 80 \text{ eV}$

Filters most low energy
electrons from beta spectrum

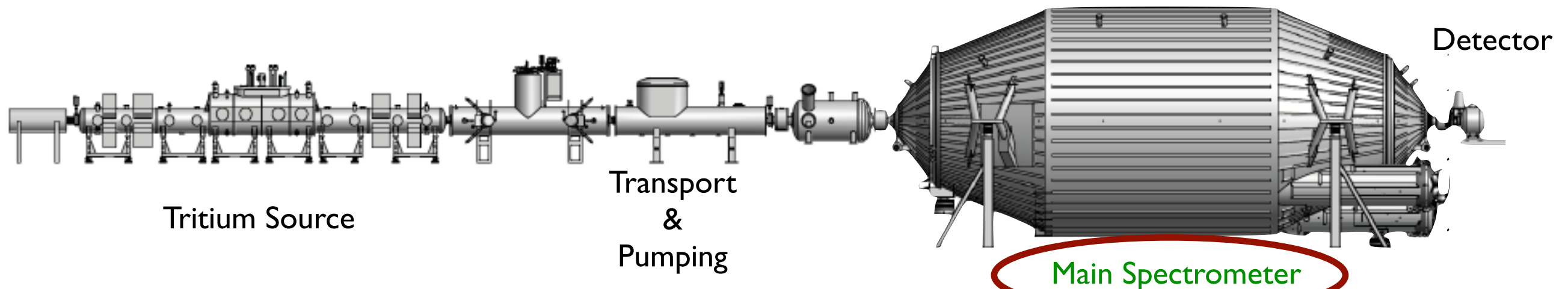
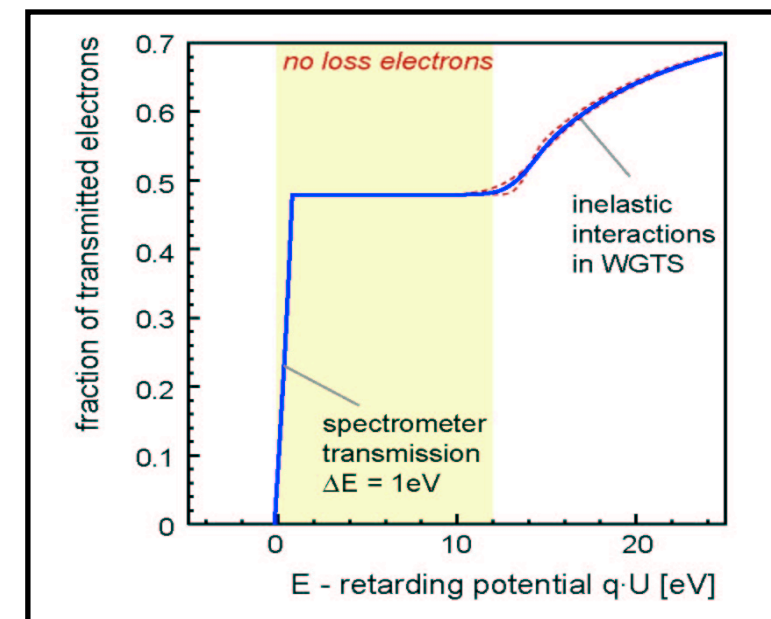
The Main Spectrometer



Inner wire electrodes installed for rejection of low energy particles produced from cosmic rays.

Combination of precision high voltage and low magnetic field with correction air coils.

Inherent resolution of $\Delta E = 0.93$ eV.



High Voltage Monitoring

KATRIN sensitivity goal requires
60 mV precision at 18.6 kV.

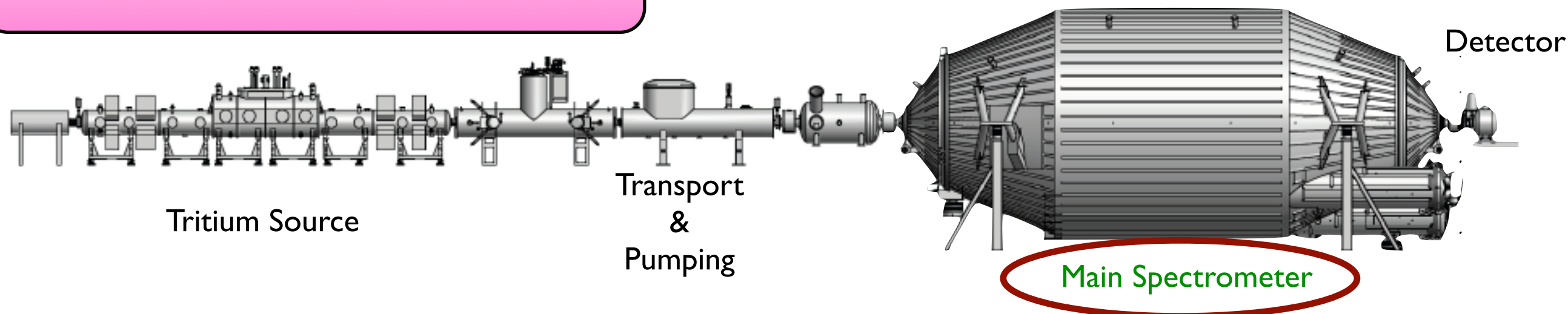
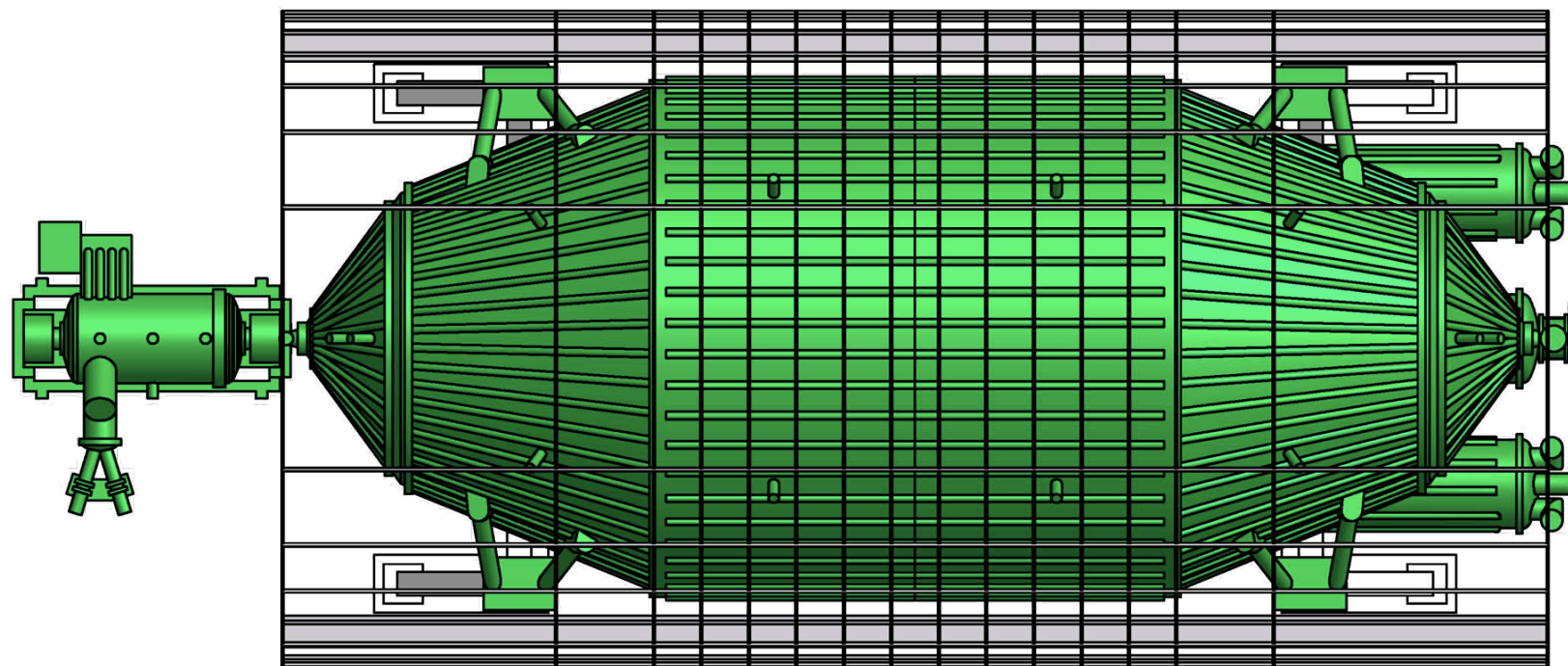
System monitored with high
precision HV divider (10^{-6} stability).

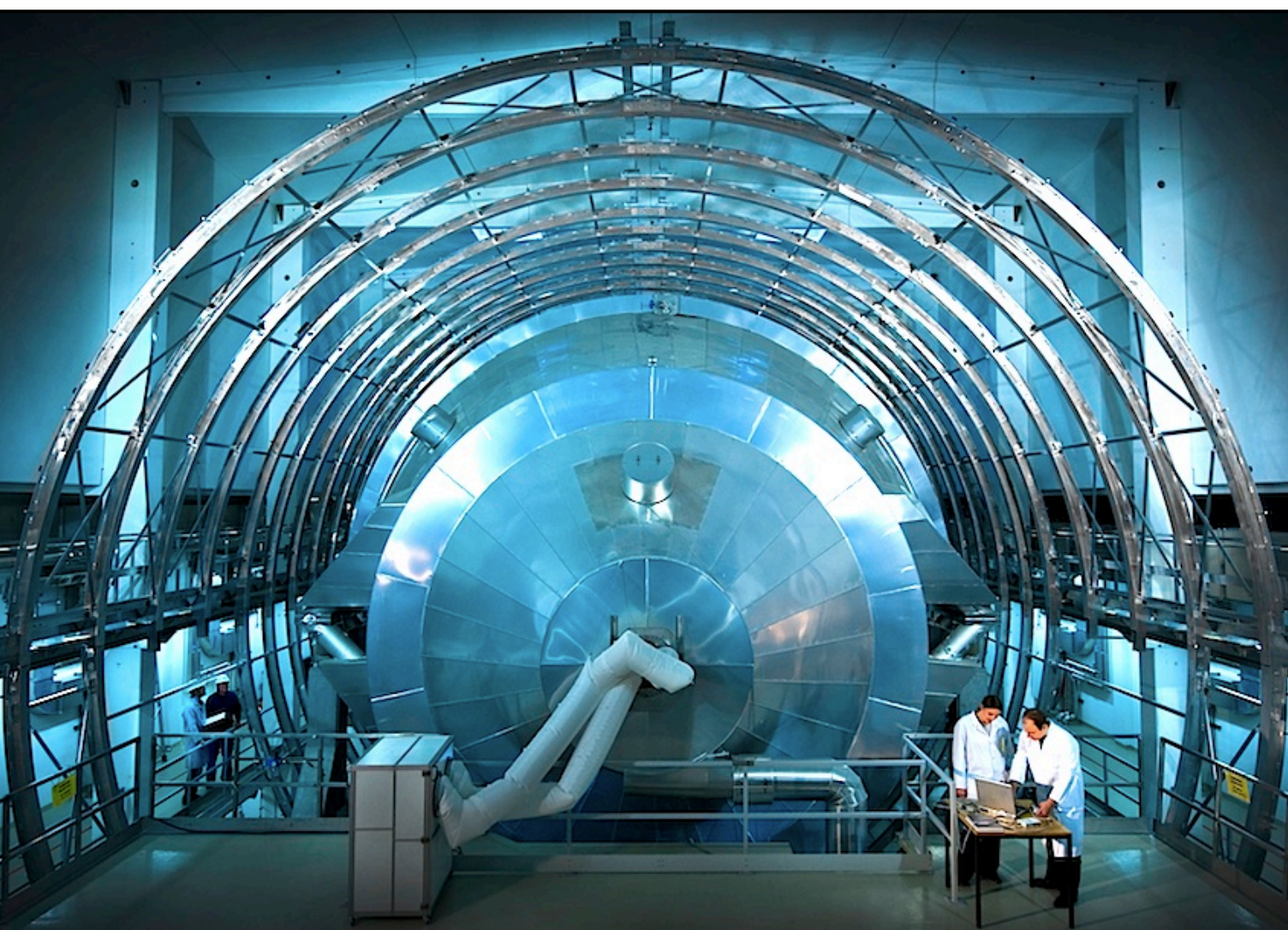
In-situ monitoring of $^{83\text{m}}\text{Kr}$ line using
refurbished Mainz spectrometer.

Recent milestones:

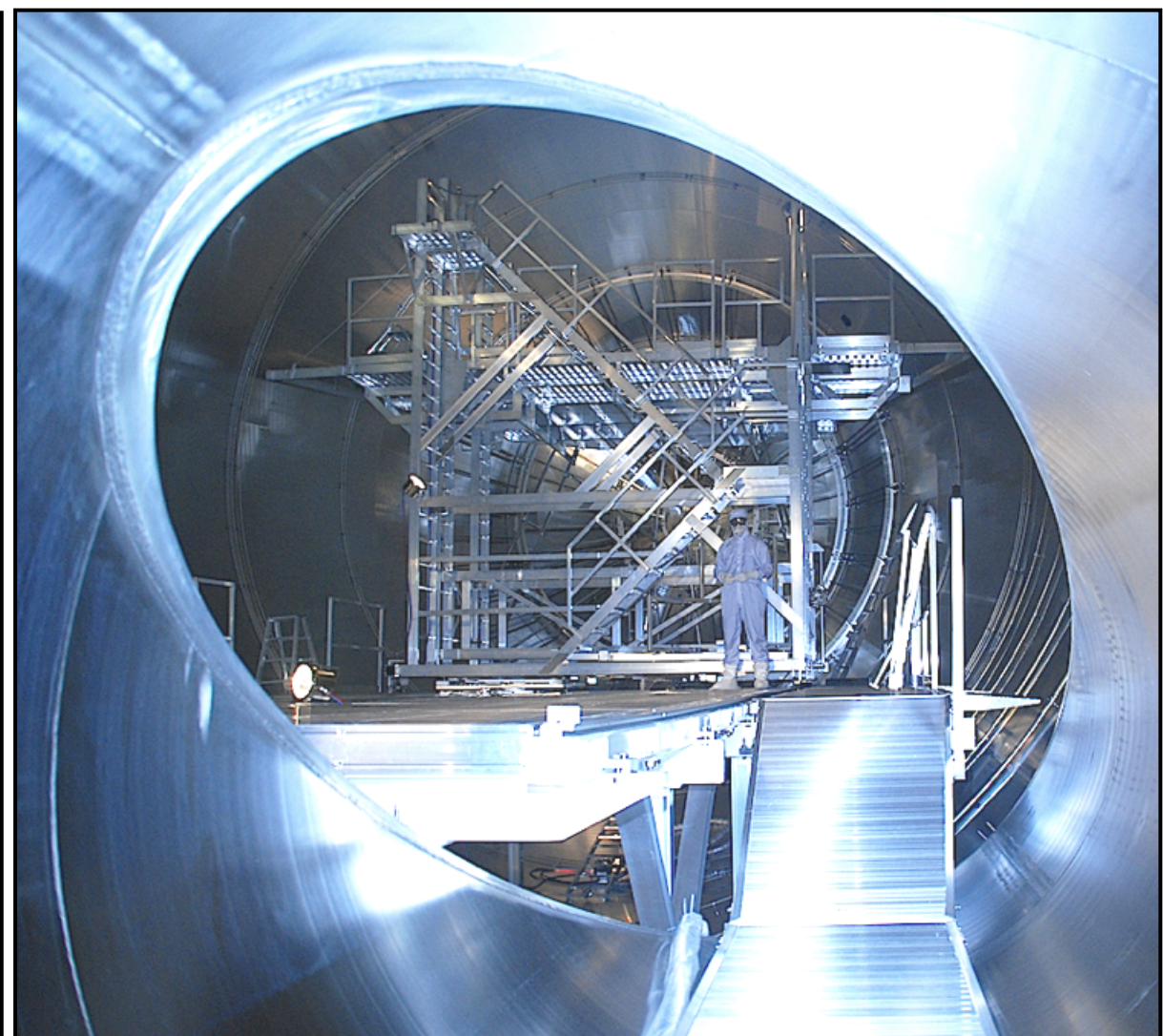
Monitor spectrometer installed.

HV stabilization $\sim x3$ better than specification.





Air coil testing

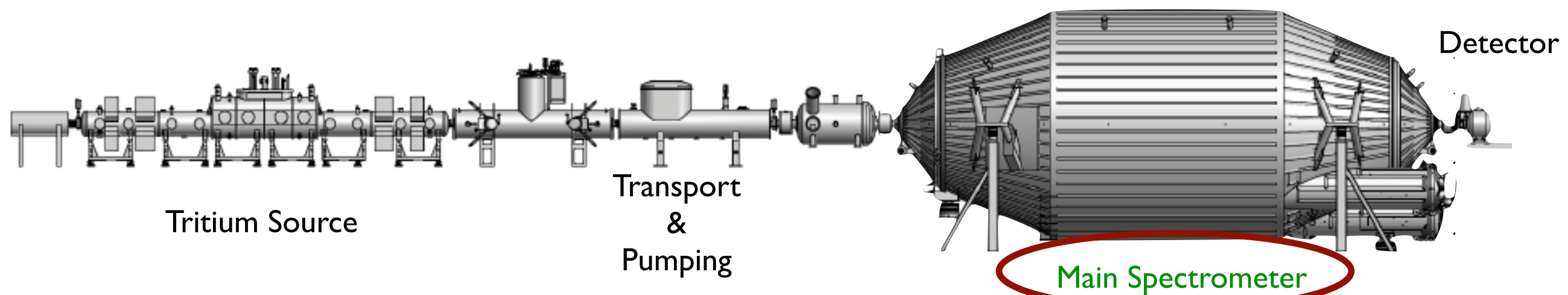


Vessel entrance

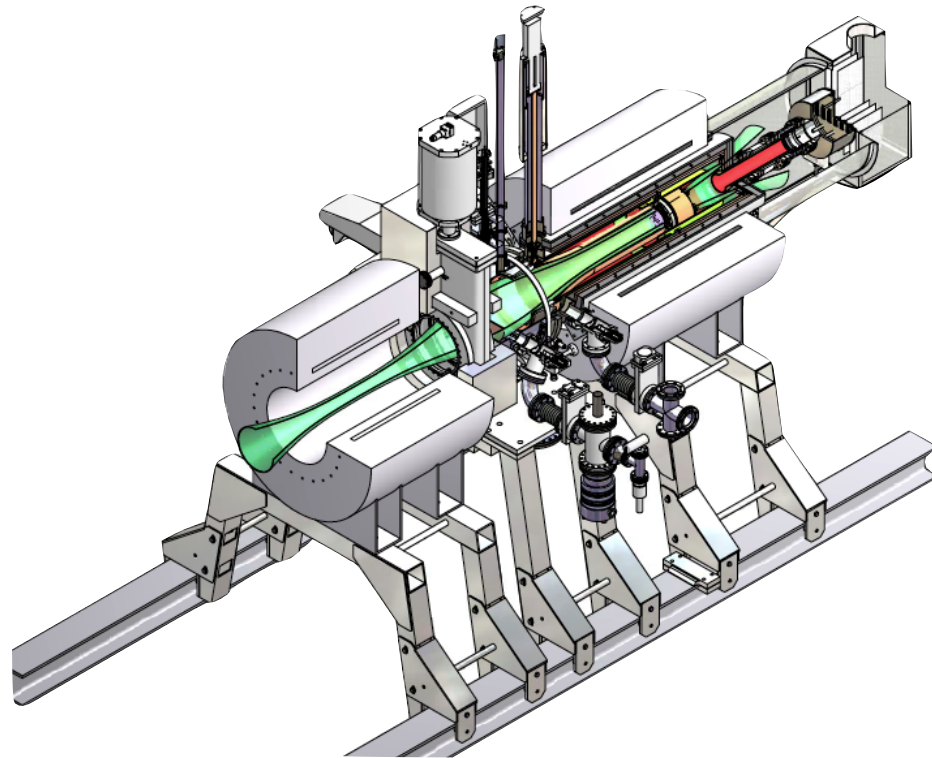
Recent milestones:

Air coils fully installed.

Wire mesh installed and vessel closed.



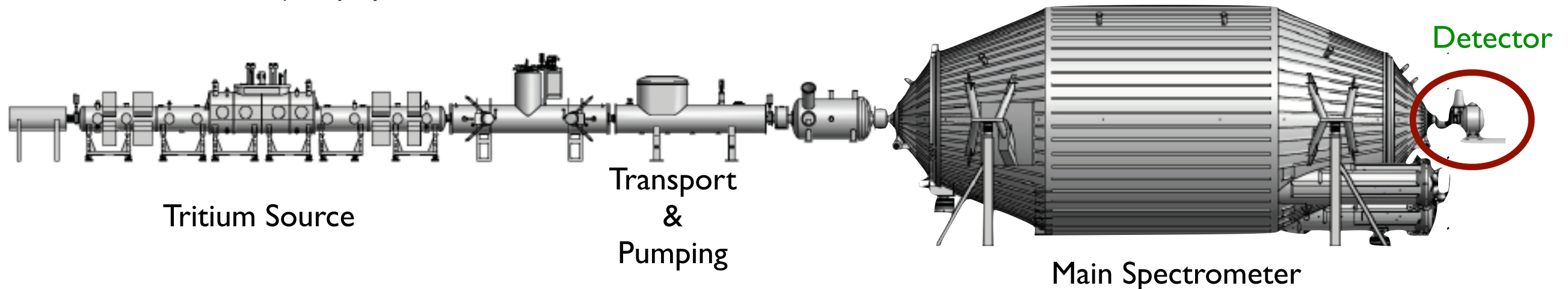
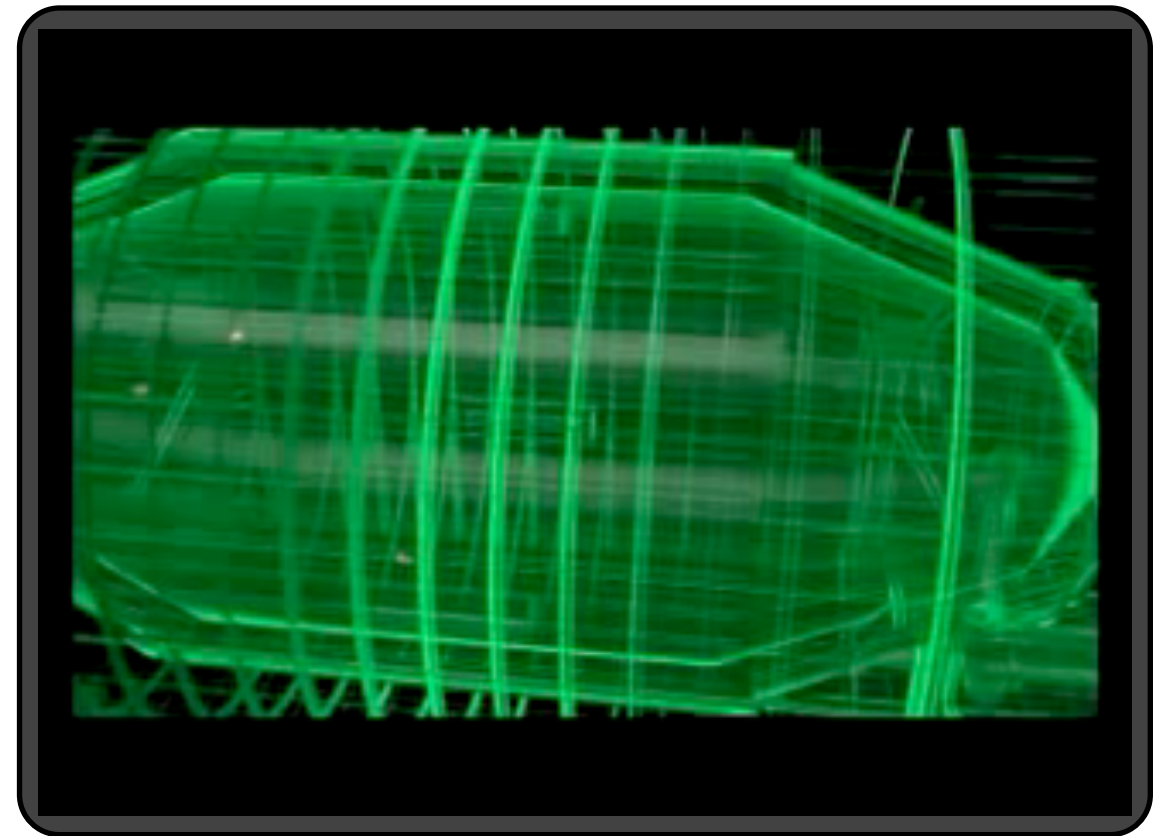
The Detector

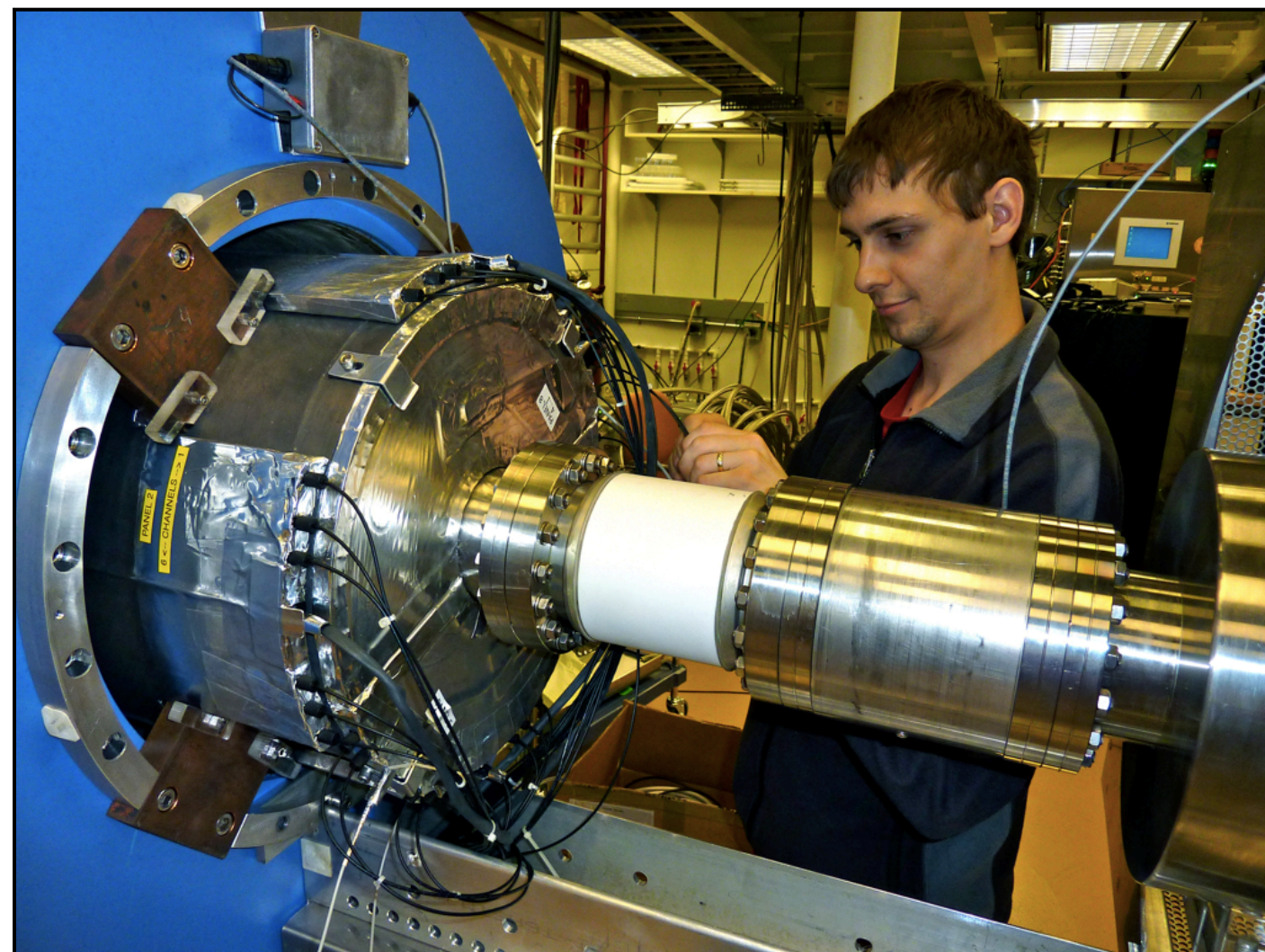


Final electron detection occurs on a segmented silicon detector (148 pixels for spatial resolution).

Two high-field magnets provide final focusing of tritium decay electrons onto detector.

Multiple background reduction techniques (veto, material selection, etc.) employed.





Veto installation

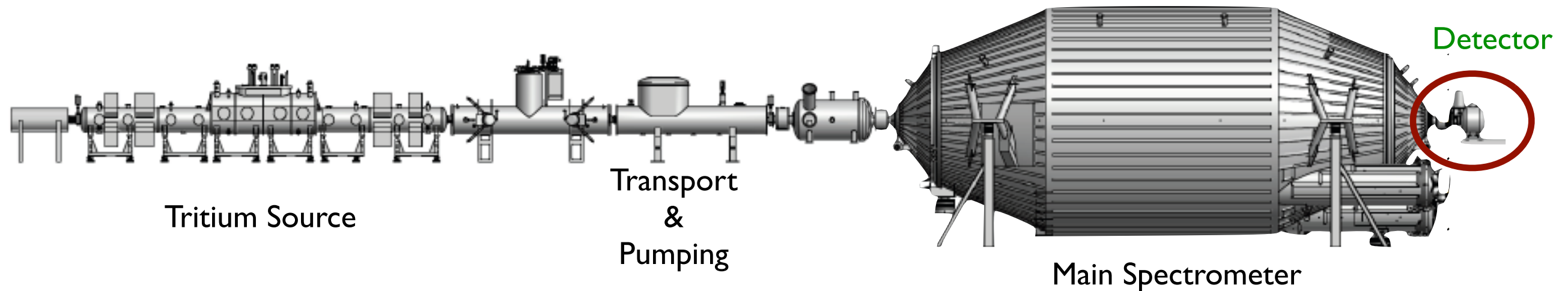


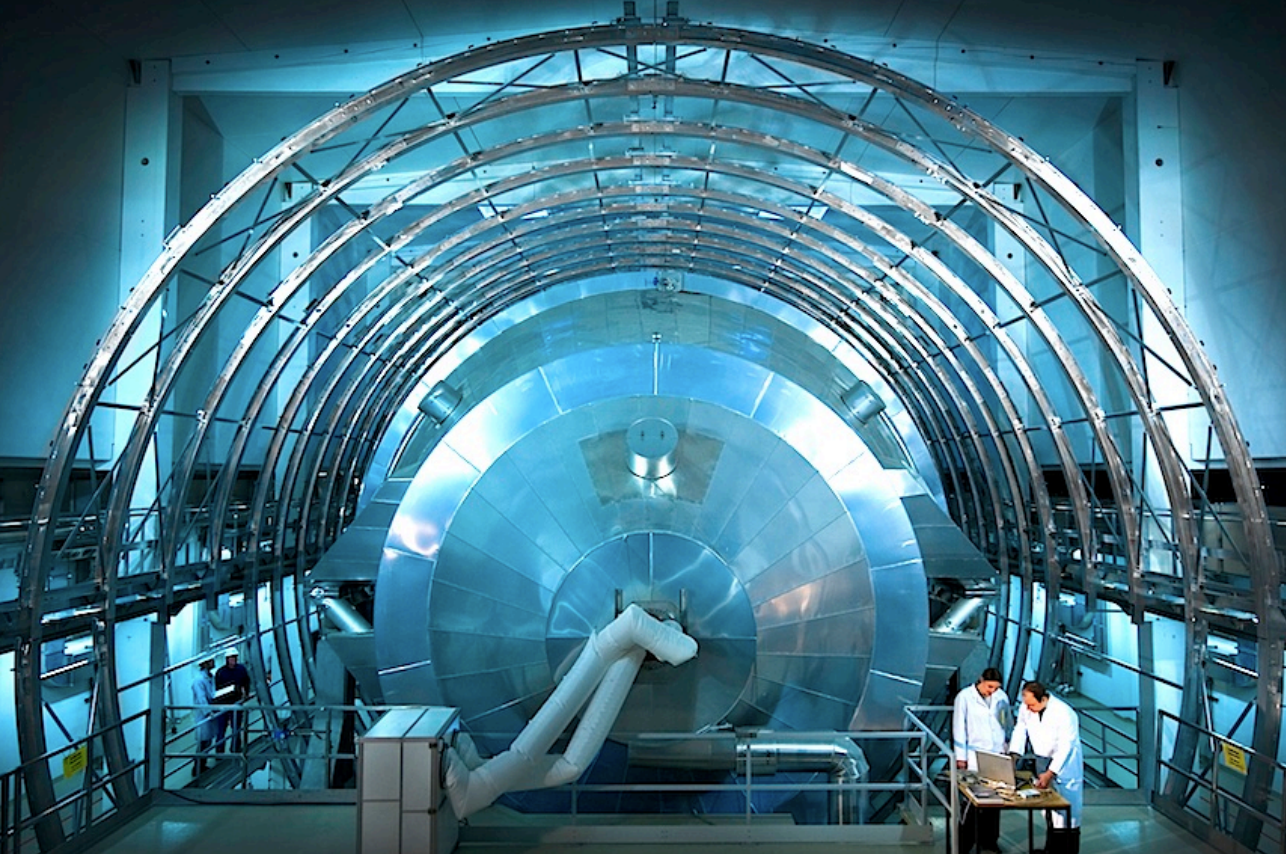
Focal plane magnets

Recent milestones:

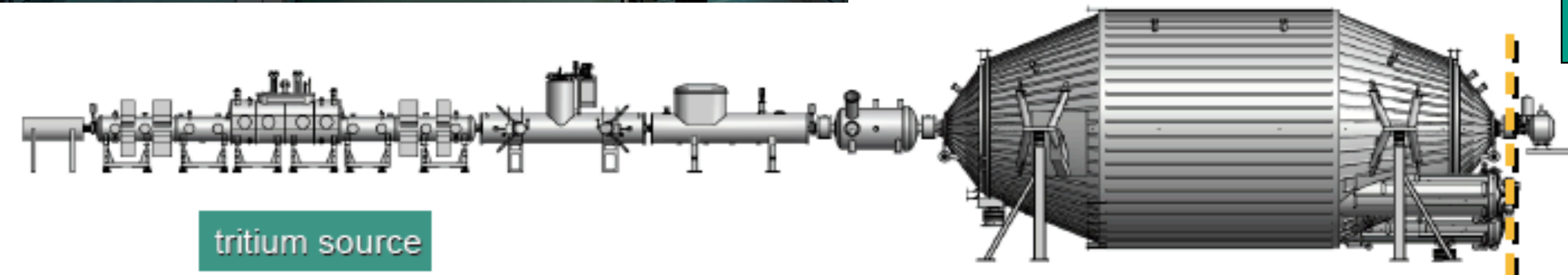
Detector commissioned.

Being shipped to KIT for installation.





Spectrometer
and air coils



tritium source

Detector



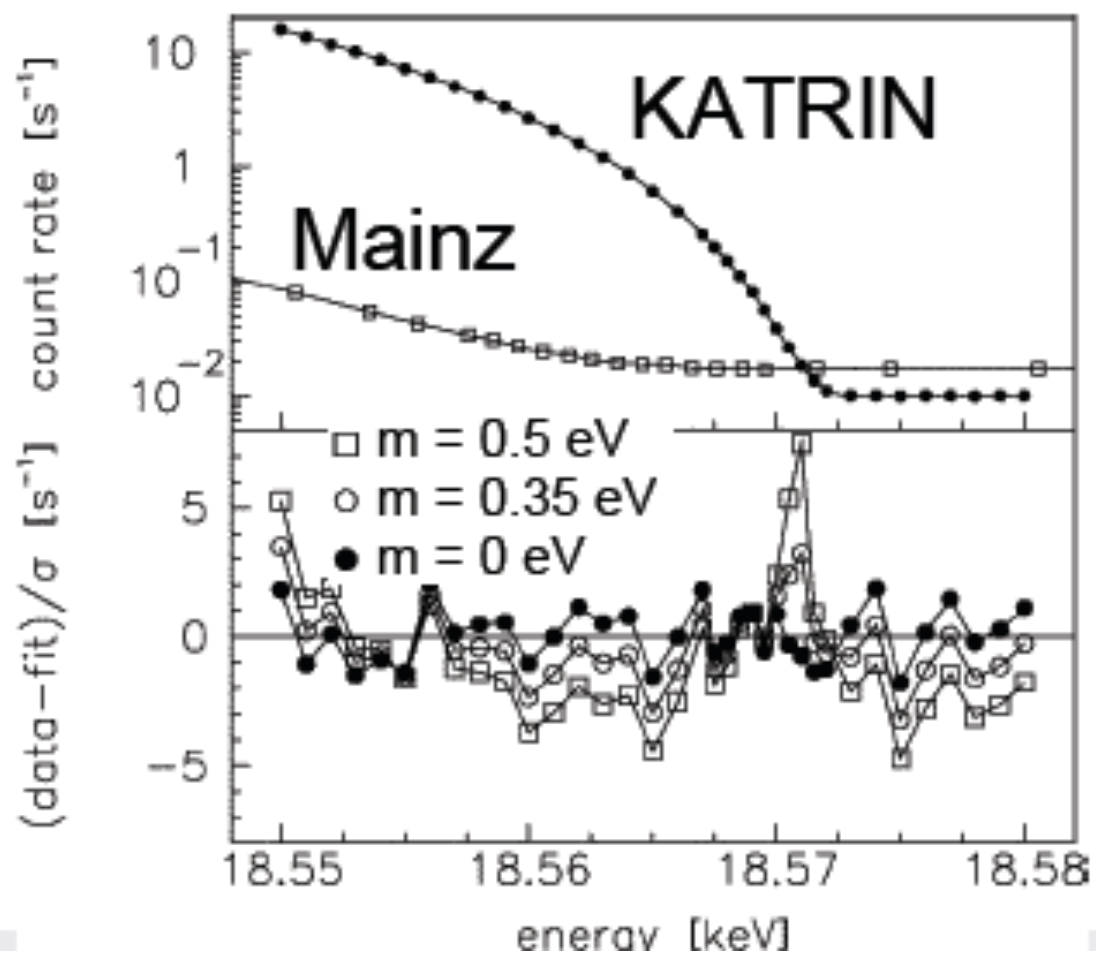
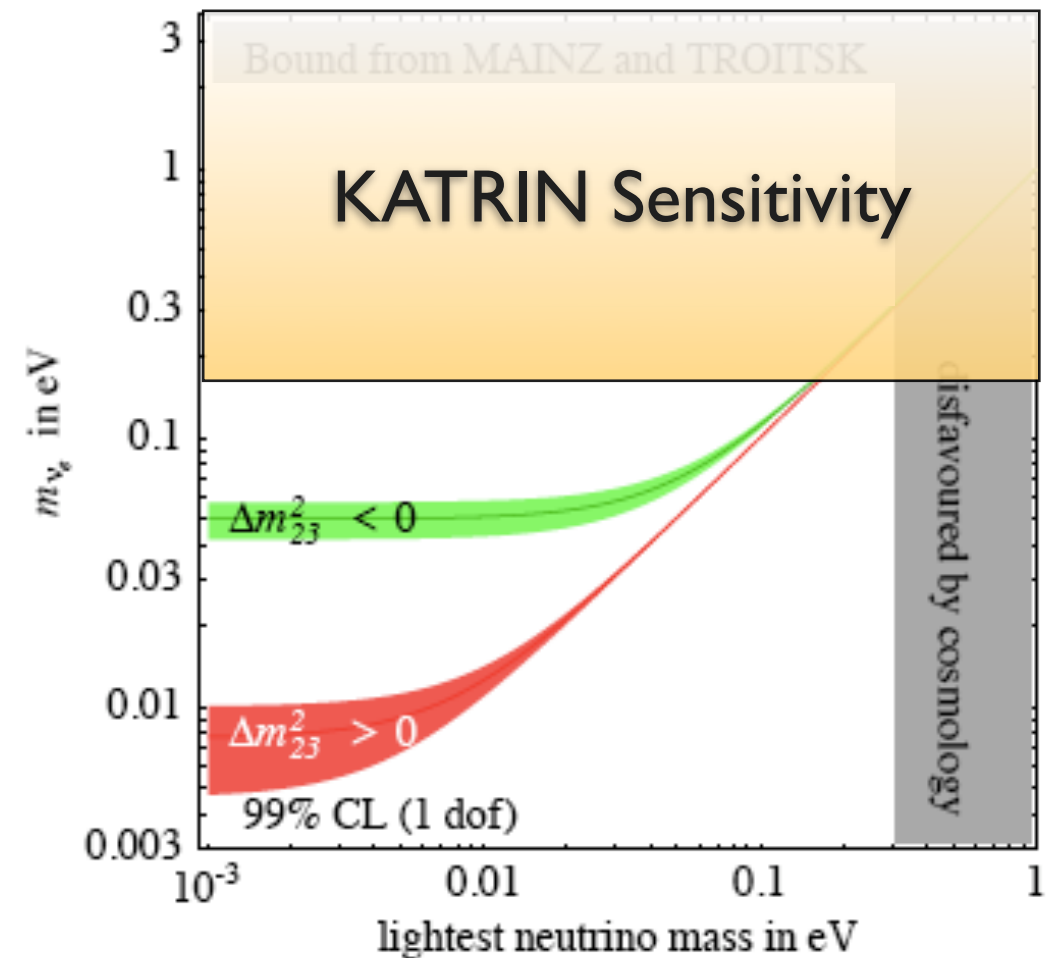
Projected Sensitivity

$$\Delta m_{\beta, \text{stat}}^2 = 0.018 \text{ eV}^2$$

Assumes 3 yr running

$$\Delta m_{\beta, \text{sys}}^2 = 0.017 \text{ eV}^2$$

Major systematics include source purity, HV stability, source stability and T_2 final states



Neutrino Mass Goals

Discovery: 350 meV (at 5σ)

Sensitivity: 200 meV (at 90% C.L.)



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New Hints

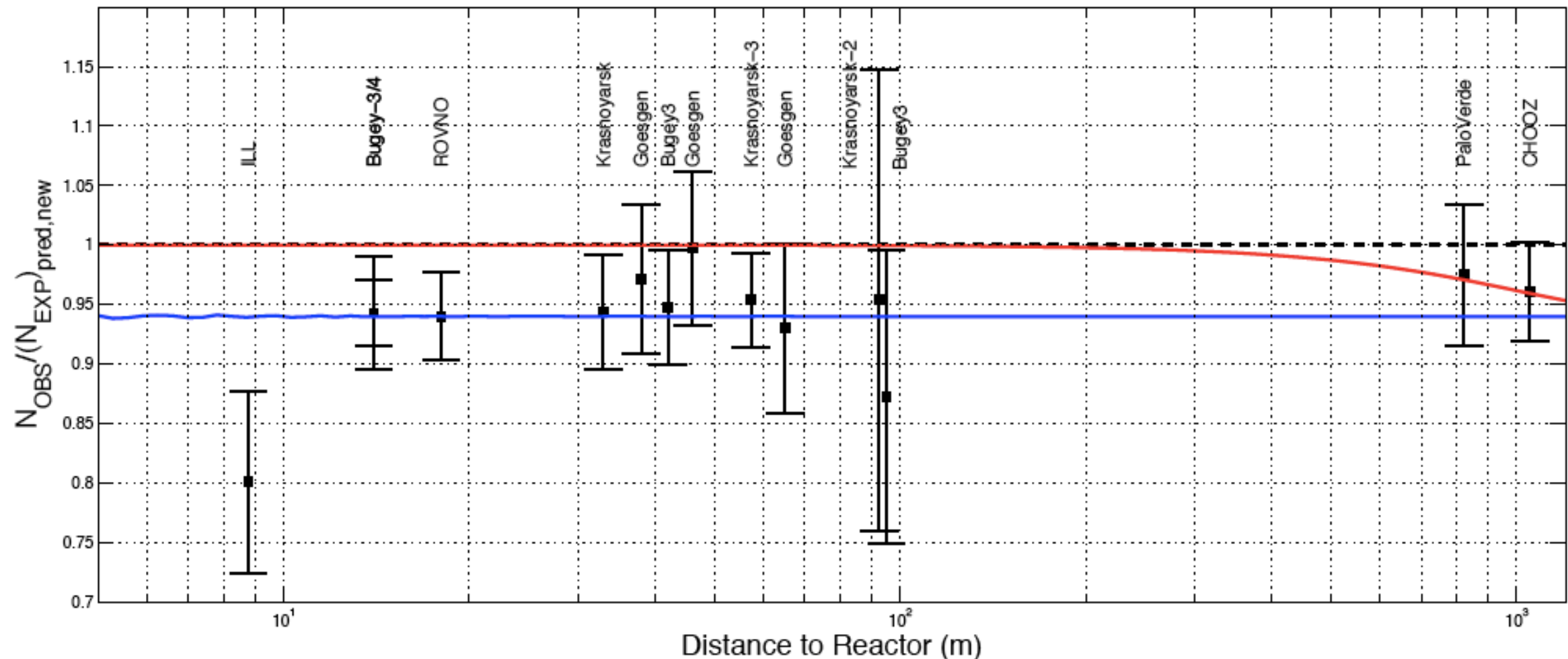
New paper/analysis from Mention et al. shows a overall shift in reactor data. Shift appears consistent with possible sterile neutrino in the eV mass scale range.

Data also consistent with $^{51}\text{Cr}/^{37}\text{Ar}$ calibration data.

OK with MiniBooNE?

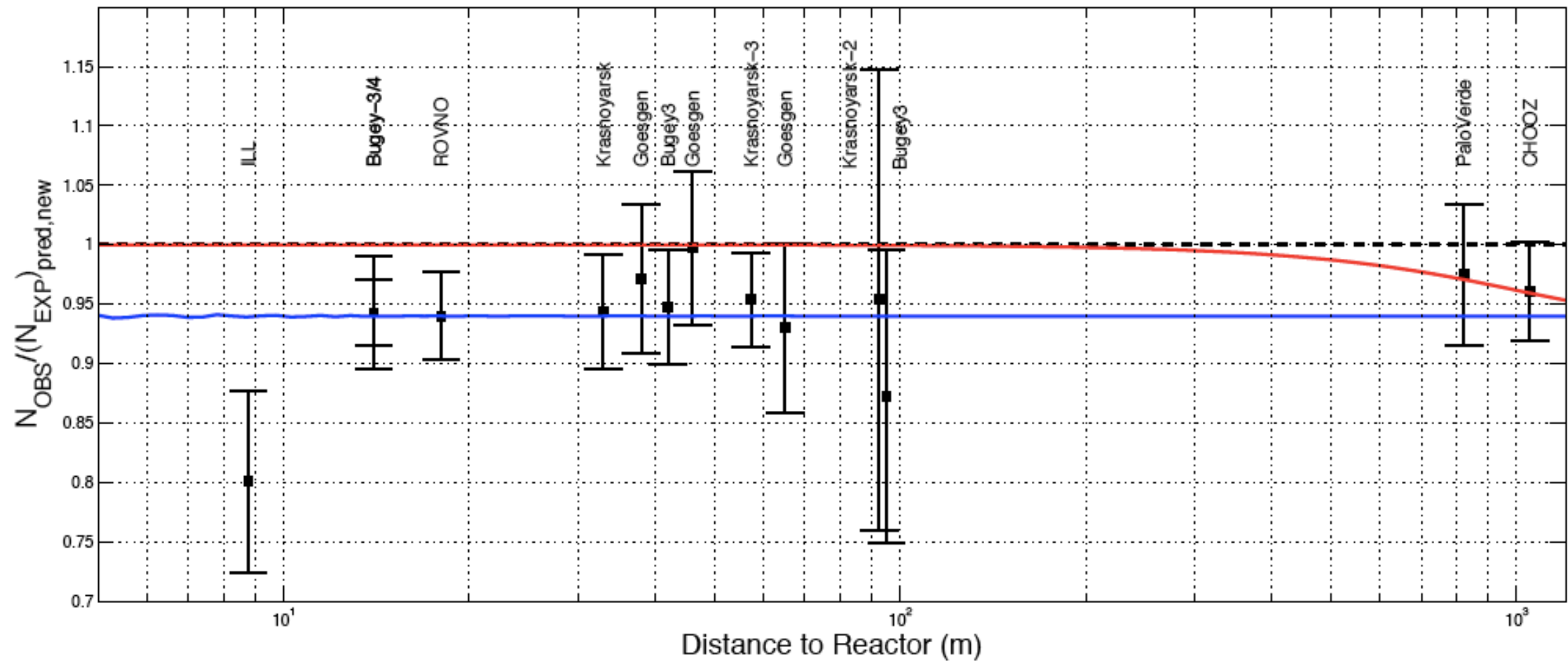
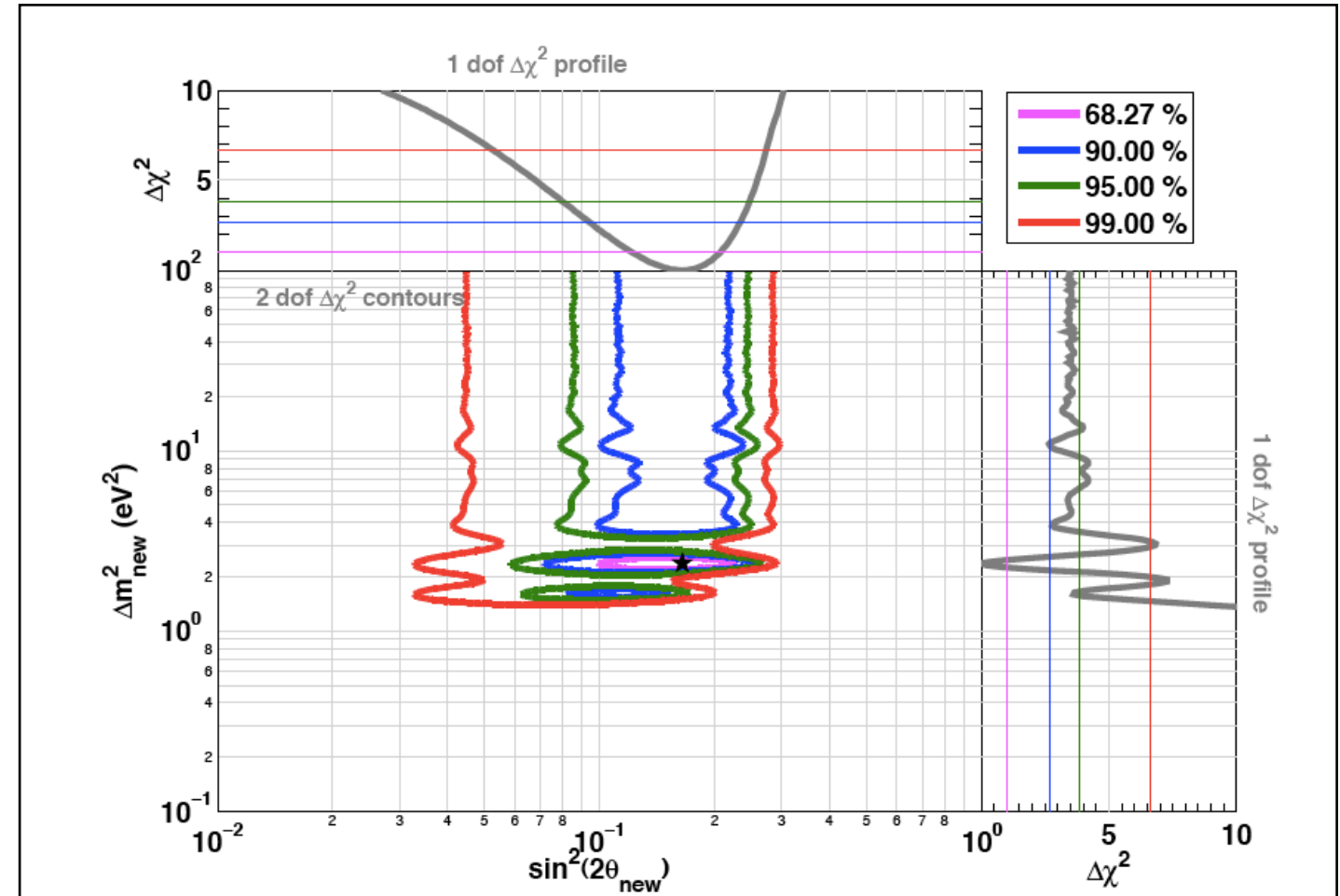
Yes

Mention et al. (hep-ex:1101.2755)



New Hints

Mention et al. (hep-ex:1101.2755)



Kinks in the spectrum

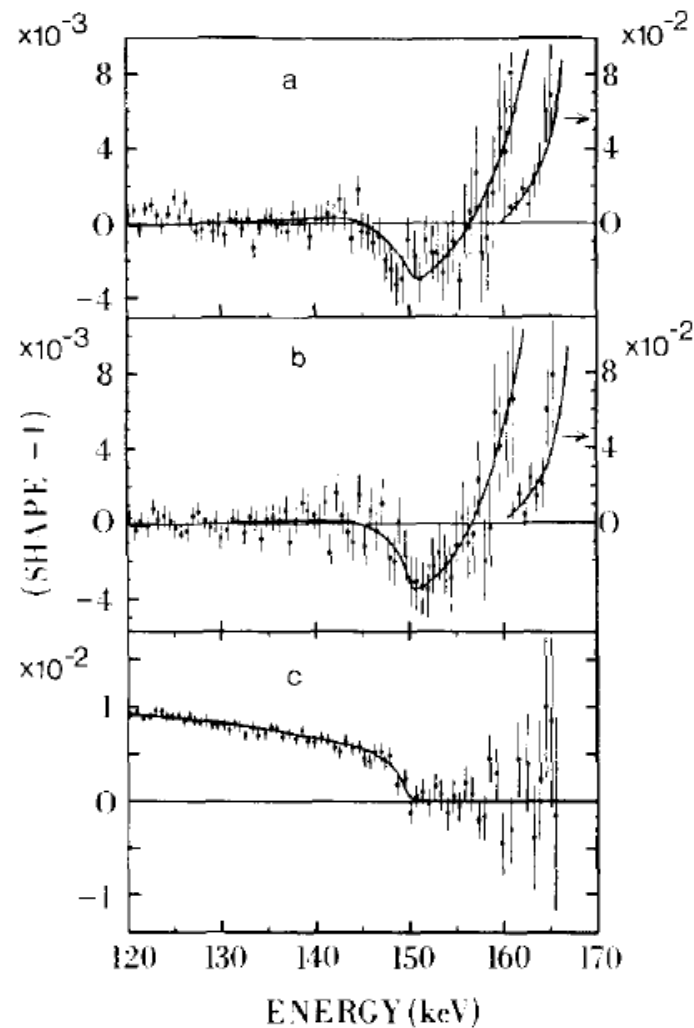
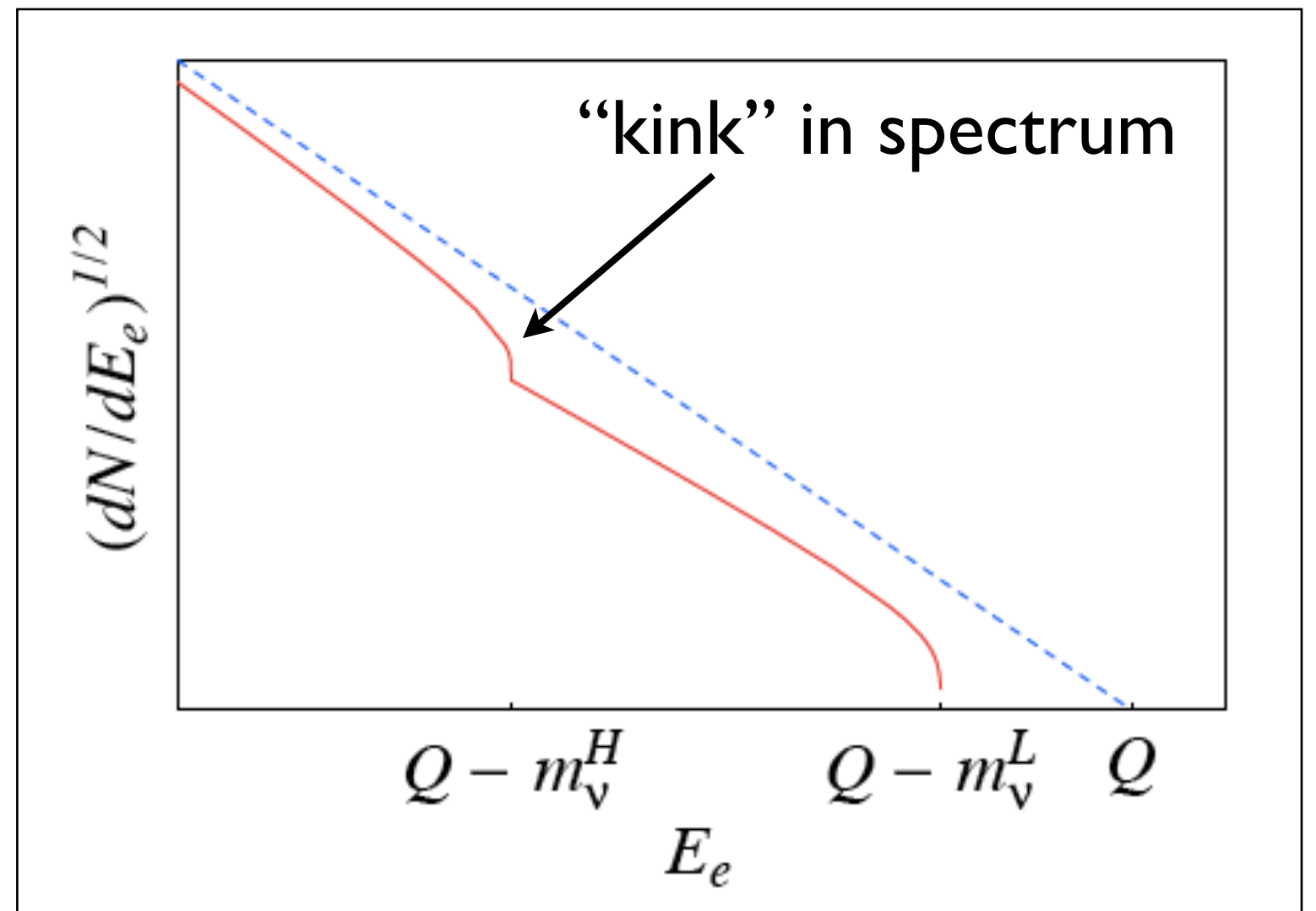


Fig. 4. Shape factors for (a) run #1 and (b) run #2 obtained by dividing the experimental spectra by the best least squares fit to the region 120–167 keV when no heavy neutrino mixing is allowed. The data plotted in (a) and (b) above 161 keV go off the scale set by the left ordinate and should be read using the scale indicated by the right ordinate. (c) Shape factor for combined data of runs #1 and #2 when normalizing a single component spectrum to the data over the region above 150 keV. The smooth curves in each case indicate the expected deviation for the emission of a 17 keV neutrino with $\sin^2 \theta = 0.009$.



One can look for a partial suppression of the rate in the beta decay spectrum for a signature of sterile neutrinos.

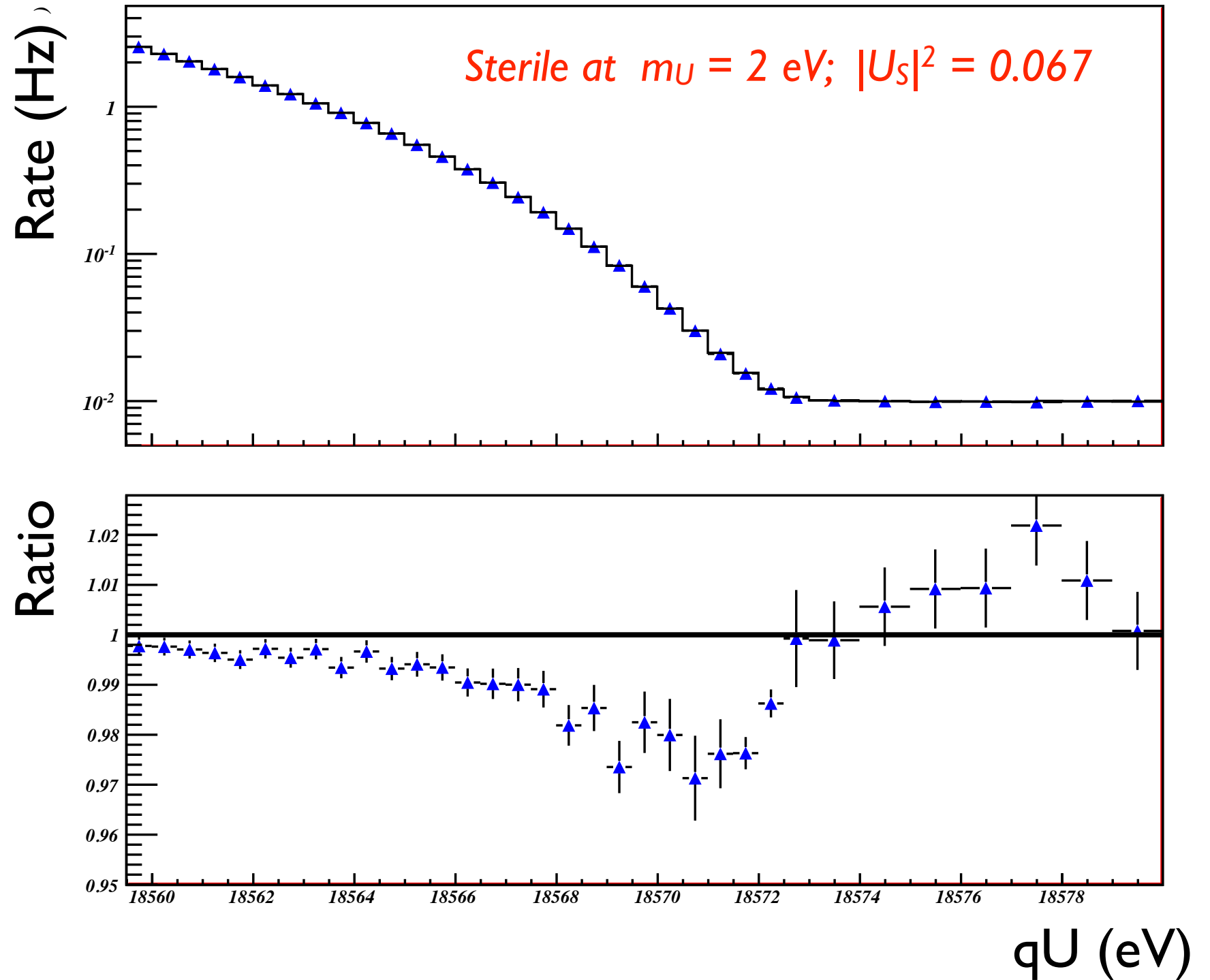
However, proceed with caution...

Kinks in the spectrum

One can look for a partial suppression of the rate in the beta decay spectrum for a signature of sterile neutrinos.

Completely orthogonal to reactor or SBL methods.

Change in the available phase space for the decay.



Phase space term

$$\frac{dN}{dK_e} = N_{\text{decay}} \cdot F(Z, K_e) \cdot p_e \cdot (K_e + m_e) \cdot z^2 \cdot \sum_{i=1,3} |U_{ei}|^2 \sqrt{1 - \frac{m_i^2}{z^2}} \cdot \Theta(E_0 - K_e - m_i)$$

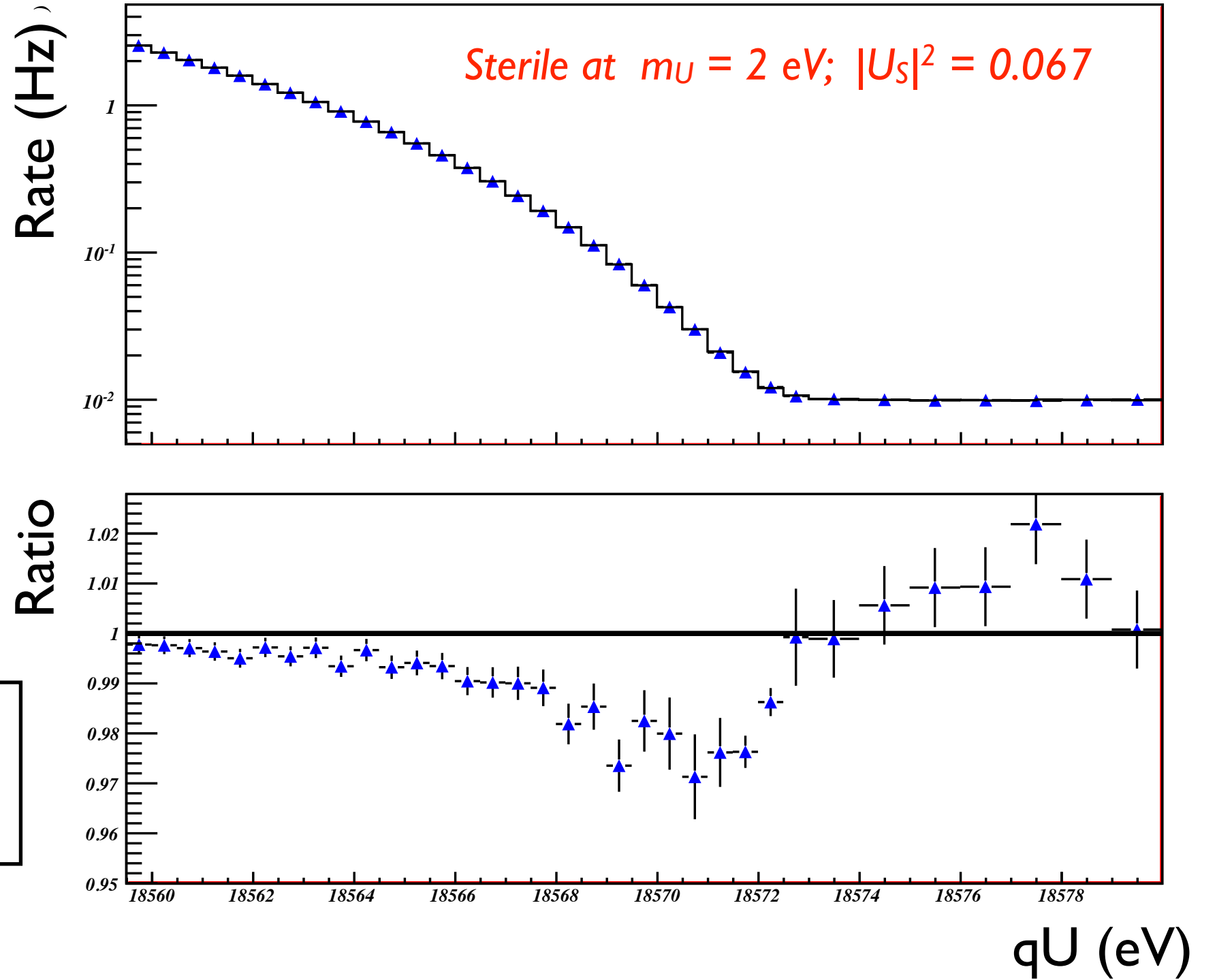
Kinks in the spectrum

Lower mass

$$\bar{m}_L^2 = \frac{\sum_{i=1}^{N_L} |U_{ei}|^2 m_i^2}{\sum_{i=1}^{N_L} |U_{ei}|^2}$$

Upper mass

$$\bar{m}_U^2 = \frac{\sum_{i=N_L+1}^N |U_{ei}|^2 m_i^2}{\sum_{i=N_L+1}^N |U_{ei}|^2}$$



Phase space term with steriles

$$z^2 \left((1 - |U_S|^2) \sqrt{1 - \frac{\bar{m}_L^2}{z^2}} \cdot \Theta(z - \bar{m}_L) + |U_S|^2 \sqrt{1 - \frac{\bar{m}_U^2}{z^2}} \cdot \Theta(z - \bar{m}_U) \right)$$

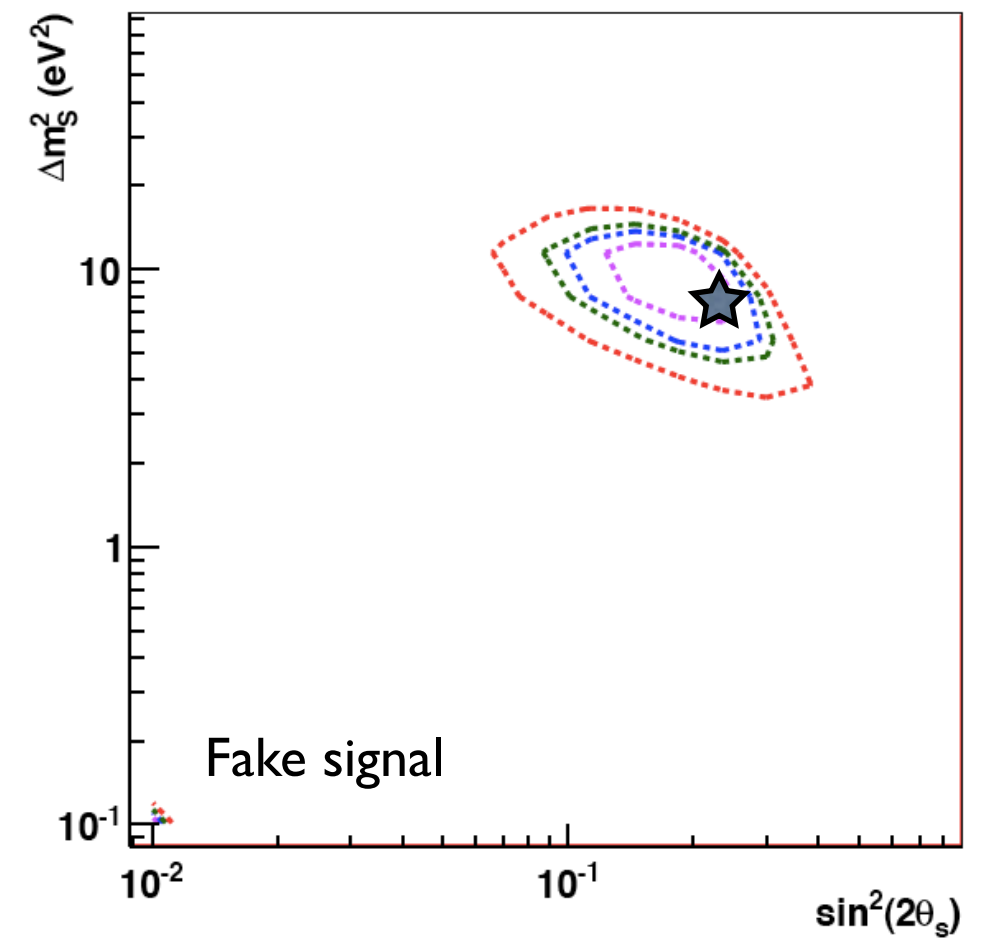
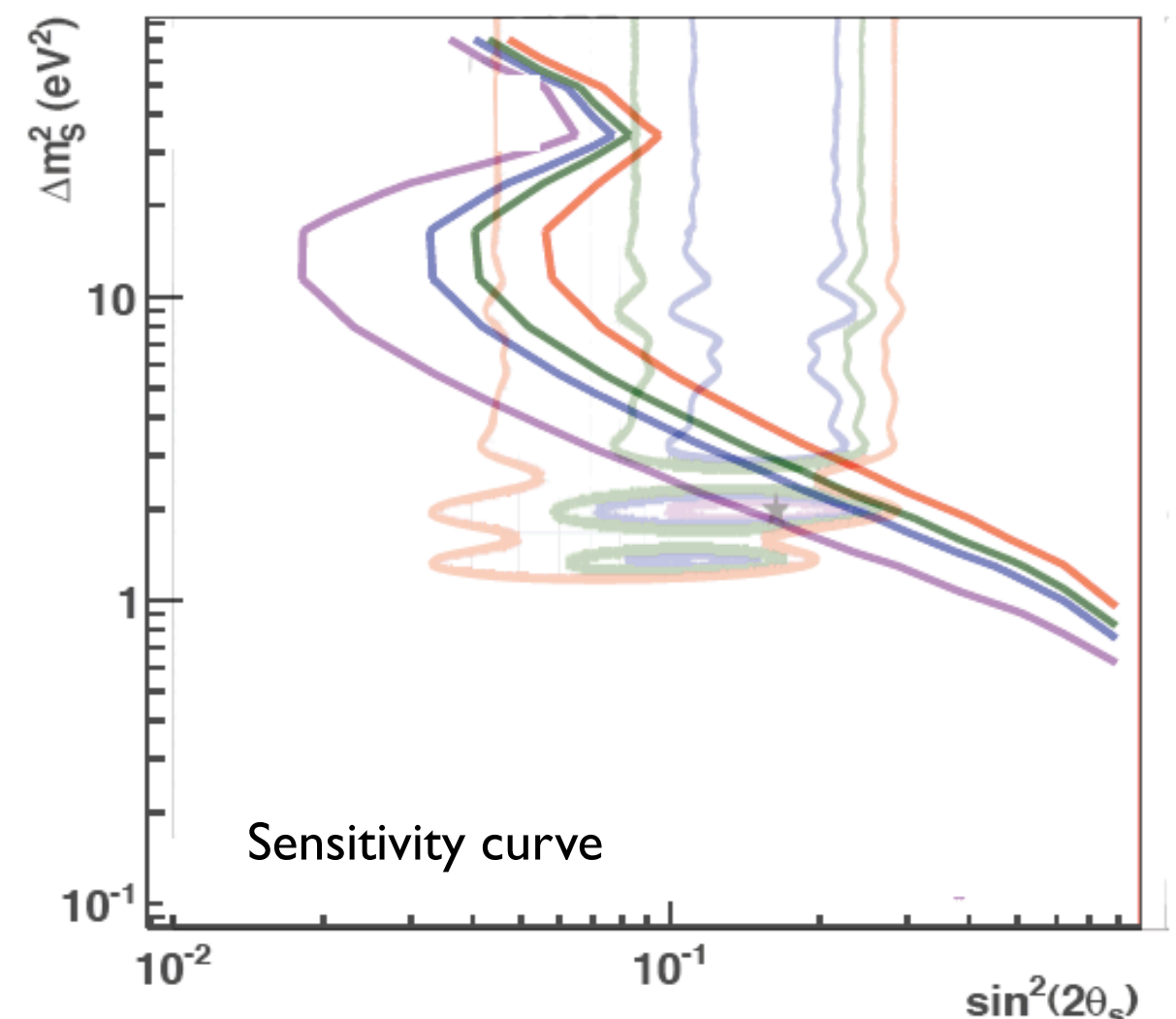
Methodology & Results

J. Formaggio and J. Barrett

<http://arxiv.org/abs/1105.1222>

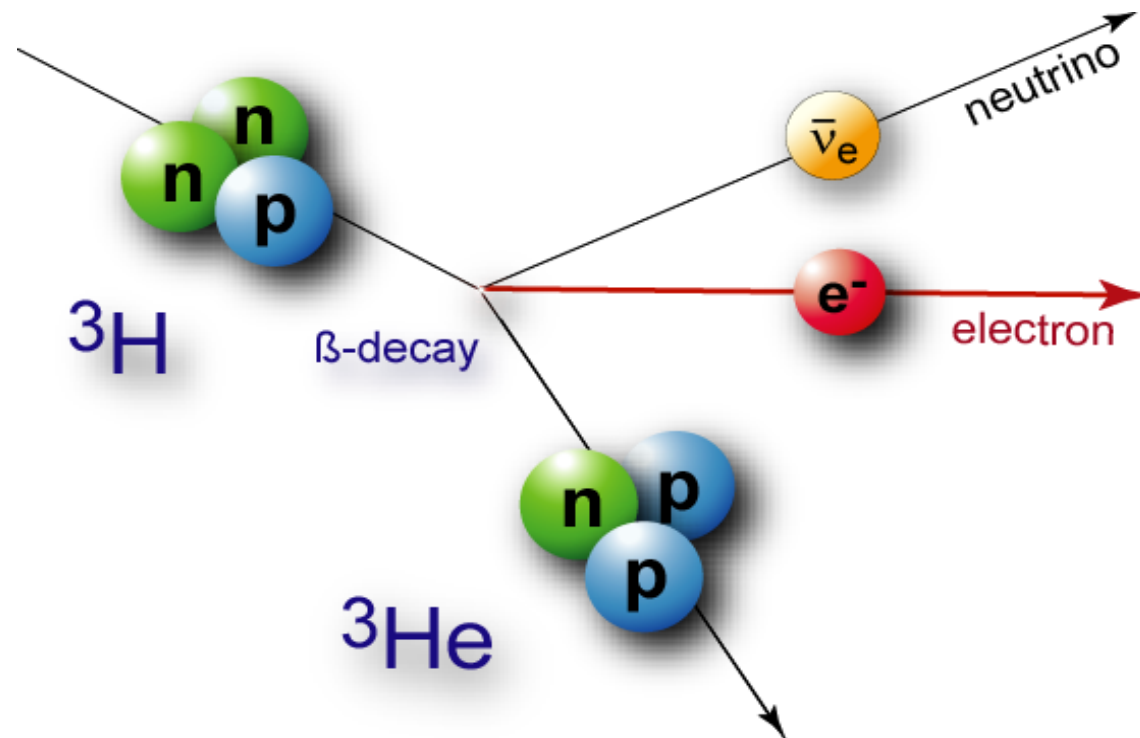
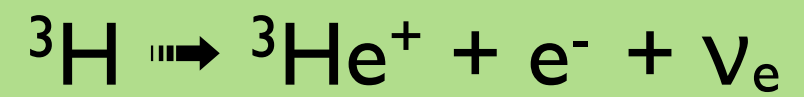
- Simulation of KATRIN 3 year running program, fitting from -15 eV to +5 eV around the endpoint.
- Include statistical and systematic uncertainties.
- Likelihood fit (using both Minuit and Markov Chain Monte Carlo techniques)
 - Look at sensitivity by looking at goodness of fit to the presence of a mass splitting.

$$\Delta\mathcal{L} = \mathcal{L}(U_S^2, \bar{m}_U, \bar{m}_L) - \mathcal{L}(m_\beta)$$

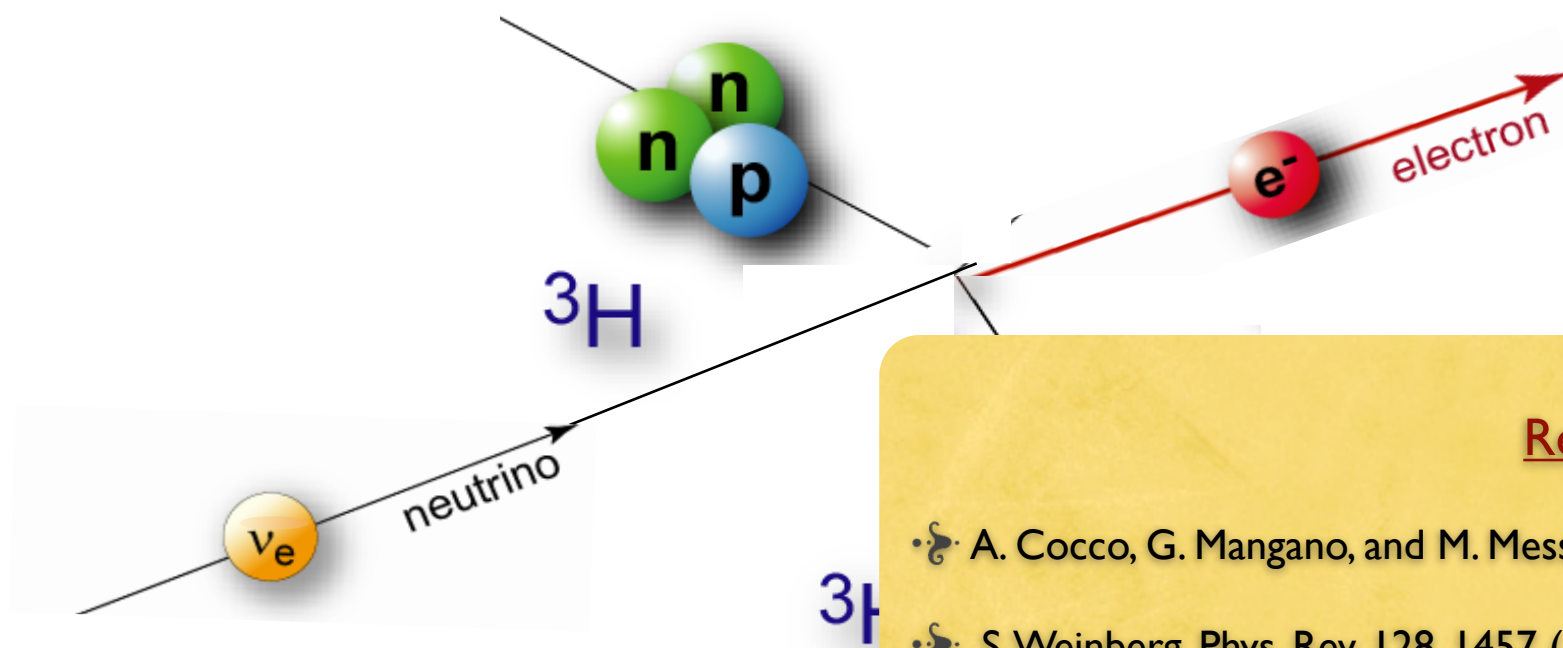
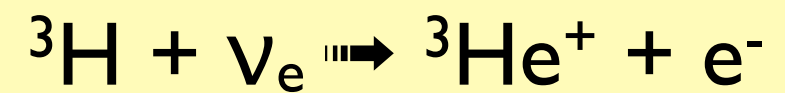


Neutrino Capture

Instead of beta decay...



Neutrino Capture



References

- A. Cocco, G. Mangano, and M. Messina, hep-ph/0703075 (2007).
- S. Weinberg, Phys. Rev. 128, 1457 (1962).
- T. W. Donnell and J. D. Walecka, Ann. Rev. Nucl. Sci. 25, 329 (1975).

The process is energetically allowed even at zero momentum.

This threshold-less reaction allows for relic neutrino detection

Detecting the Impossible

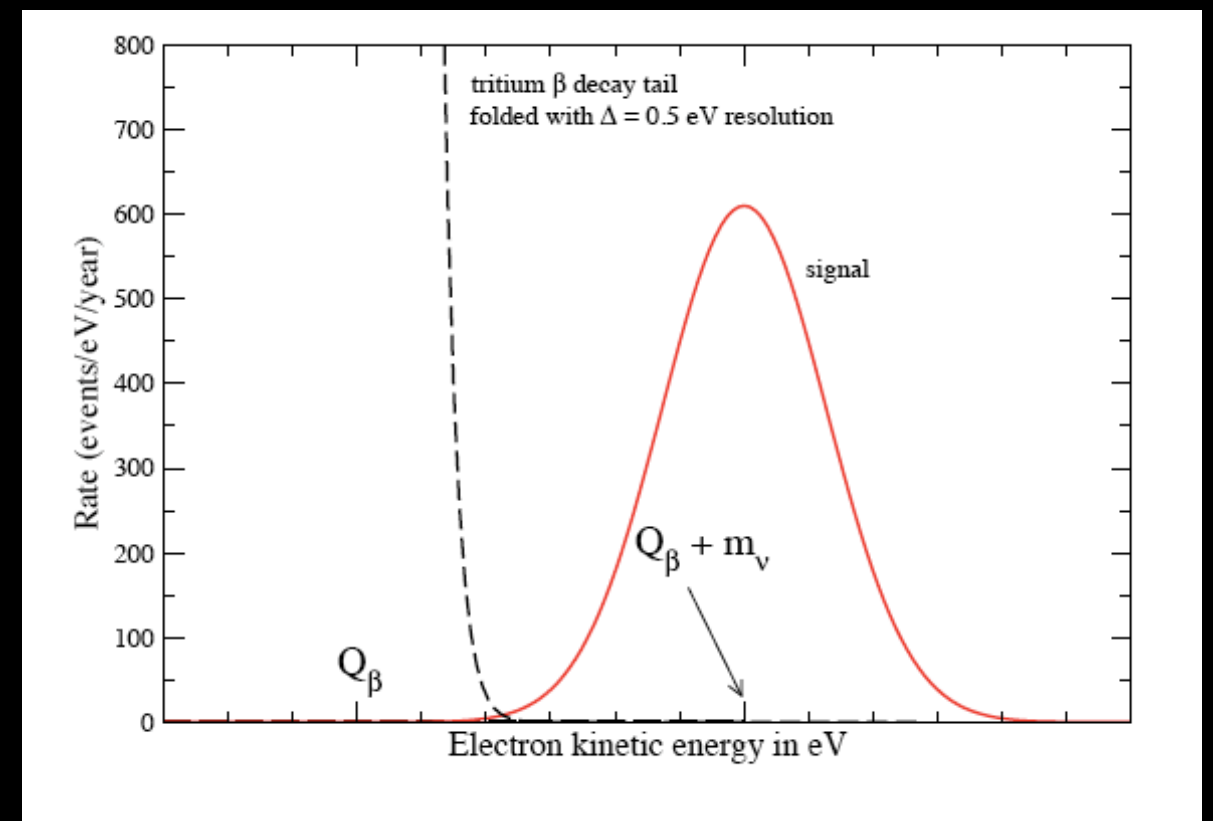
- Has three main advantages:
 - (i) The process is exothermic. There is enough energy for the decay to occur (because beta decay will happen anyway). Thus, it is **threshold-less**.
 - (ii) Electron energy is almost **mono-energetic**, after the endpoint energy.
 - (iii) For tritium, 100 g corresponds to **10 events/year**.

$$\lambda_\nu = \int \sigma_\nu \cdot v \cdot f(p_\nu) \left(\frac{dp}{2\pi}\right)^3$$

Neutrino Capture Rate

$$\sigma_\nu \cdot \frac{v}{c} = (7.84 \pm 0.03) \times 10^{-45} \text{ cm}^2$$

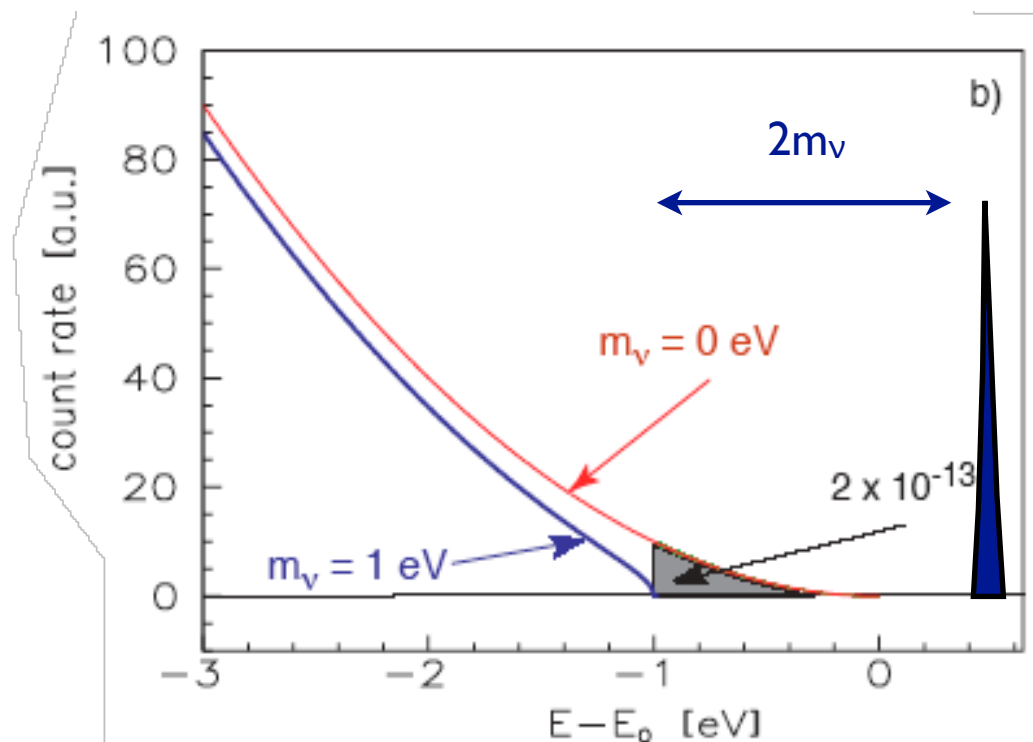
Tritium Cross-Section



The CvB and KATRIN

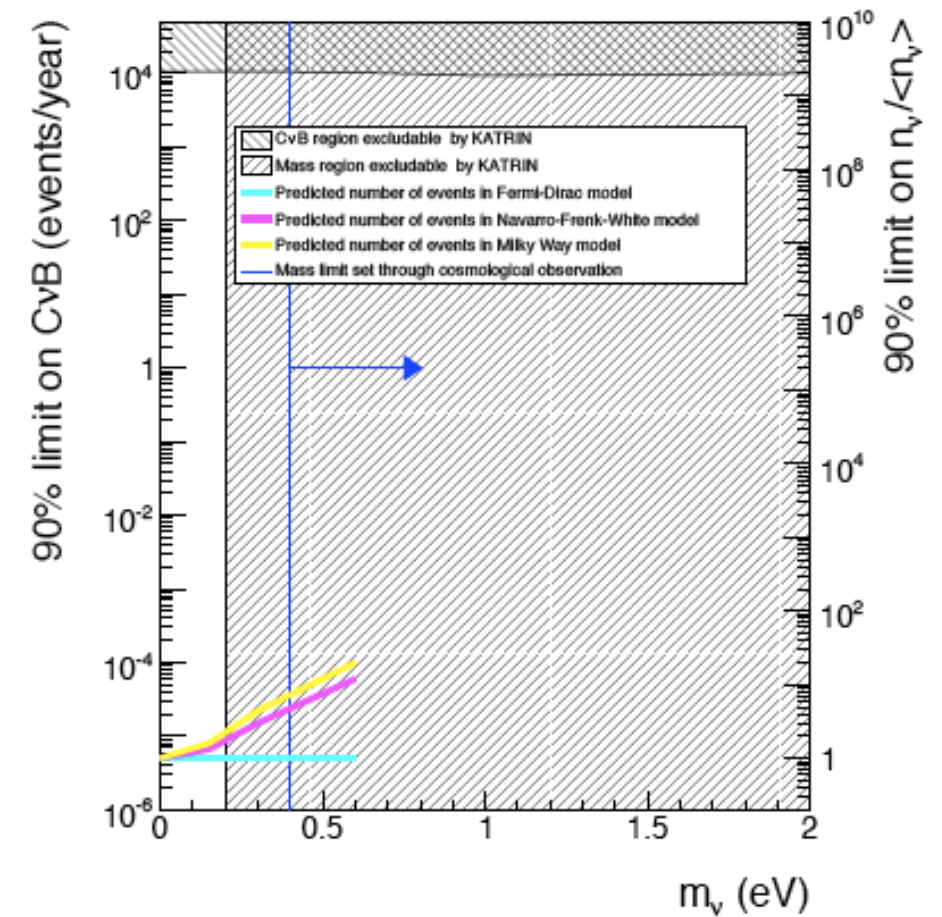
- Any beta-decay endpoint experiment can search for the CvB, including KATRIN.
- An analysis of KATRIN's sensitivity highlights its limitations: **target mass**, **resolution**, and **backgrounds**.

Sample neutrino capture signal with nominal beta decay background.

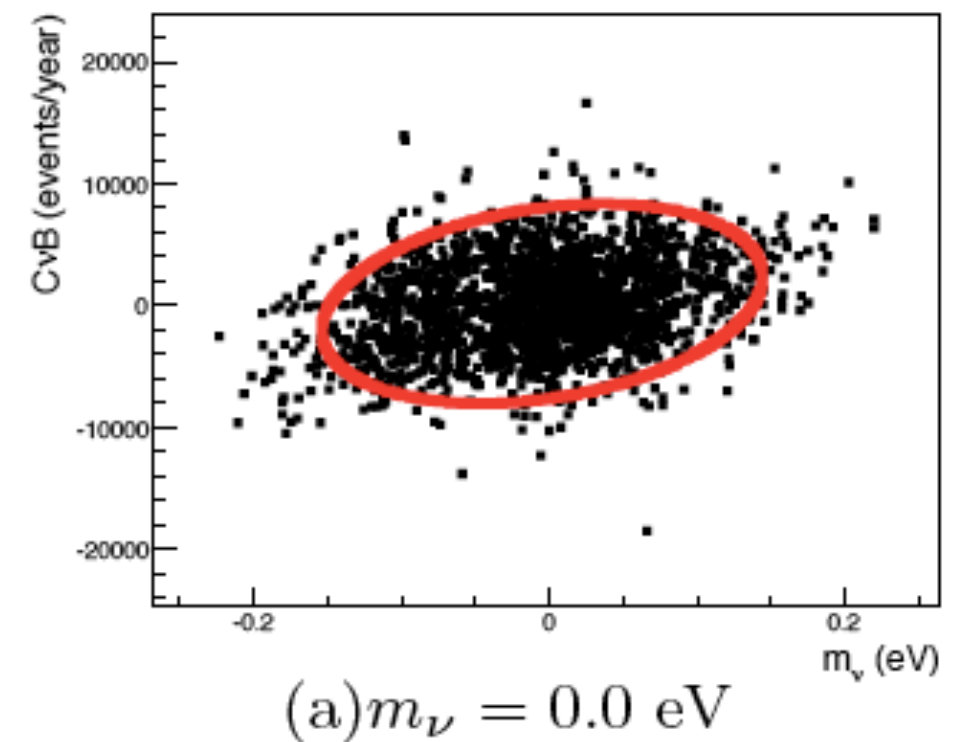


KATRIN's sensitivity to CvB.

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Phys Rev. D 82 062001





The next generation of beta decay experiments will provide greater sensitivity to the neutrino mass scale (down to the 200 meV).

With such sensitivity, KATRIN can also search for new physics such as sterile neutrinos and relic over-abundance.

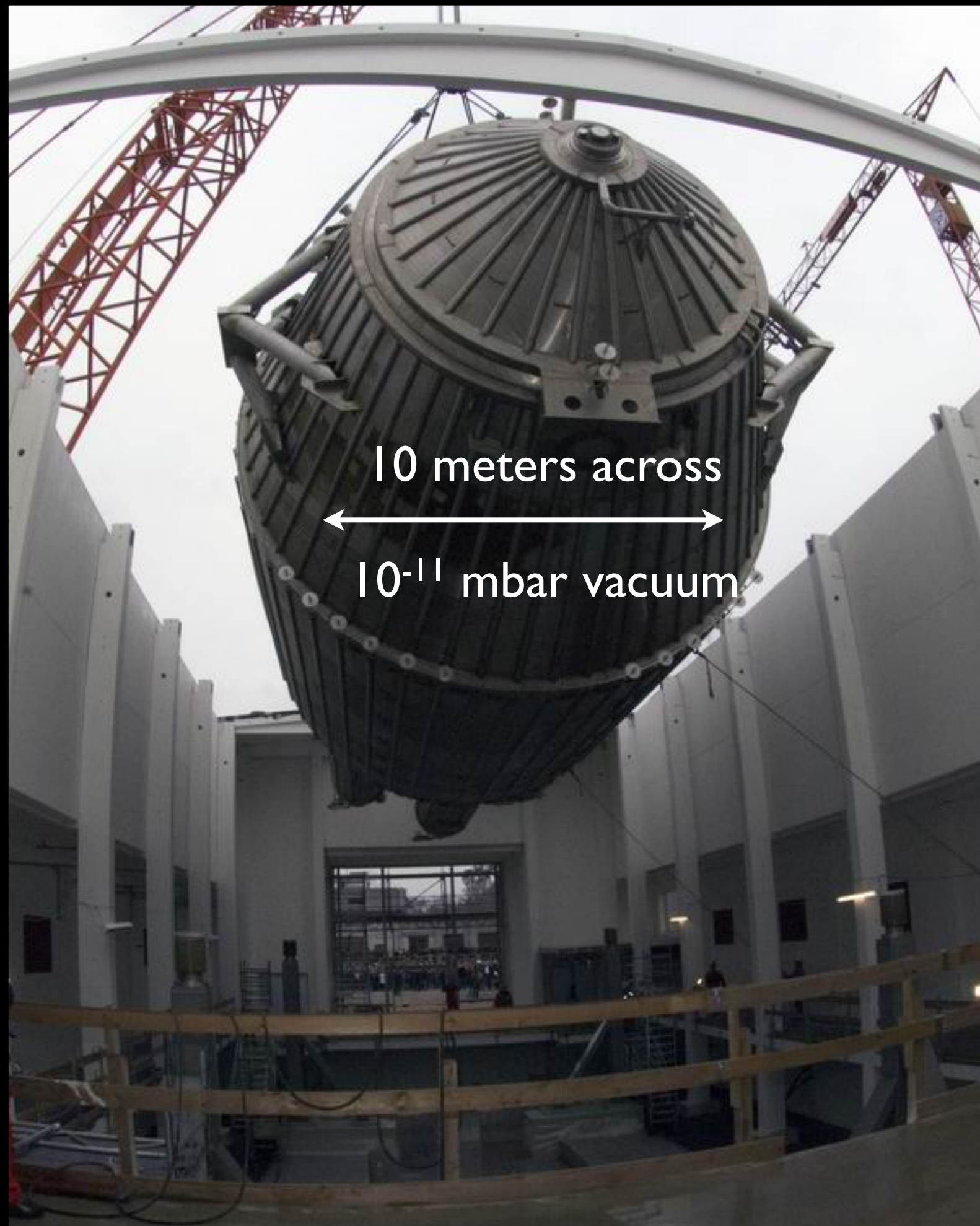
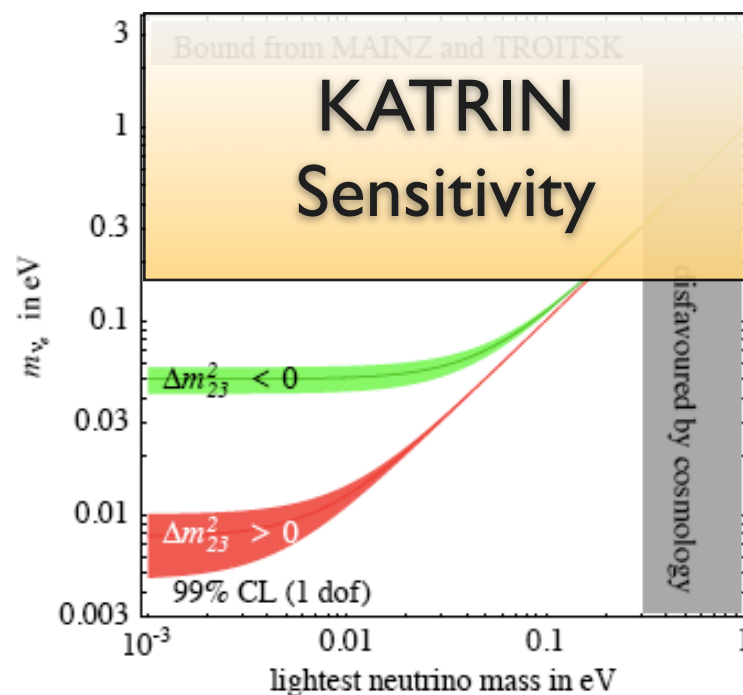
Competitive and orthogonal measurement of the reactor anomaly. A great deal of the allowed region can be eliminated or confirmed.



Thank you for your
attention

Can we push further?

- KATRIN will achieve 200 meV scale. Can direct measurements push lower to the normal hierarchy scale?
- Any future experiment needs to be able to (a) have a better scaling law for increased target mass and (b) improve its energy resolution.

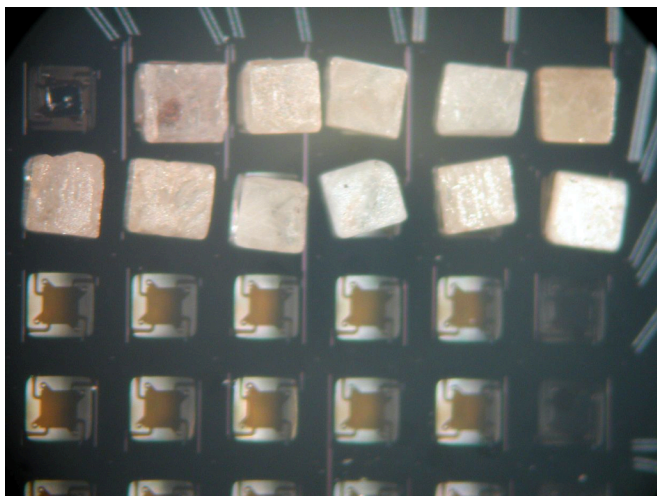


MARE

Bolometric:



MARE



- Uses ^{187}Re as its beta source (one of the lowest endpoints, 2.3 keV)

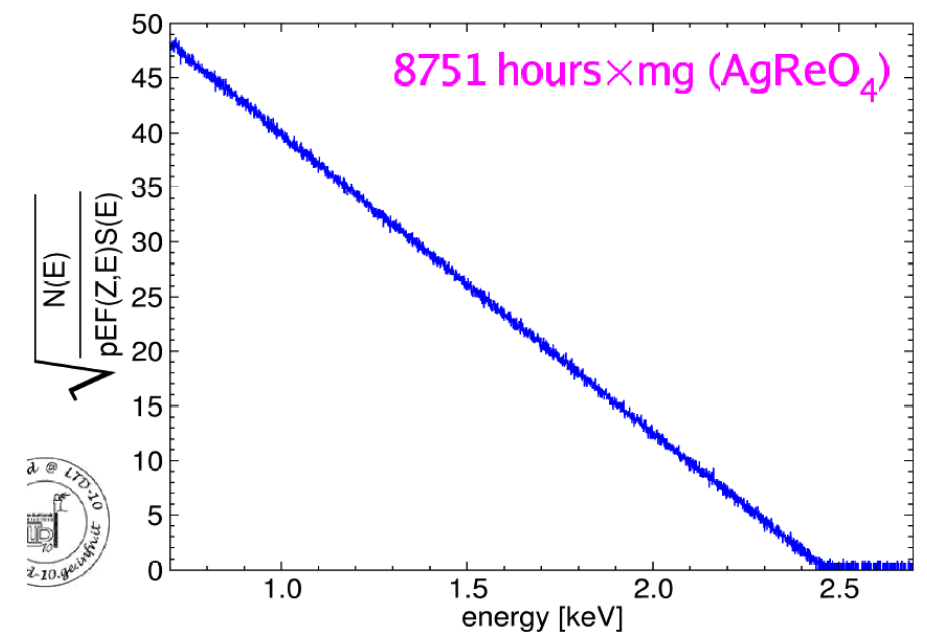
Advantages:

- No backscattering
- No atomic or molecular final state effects.

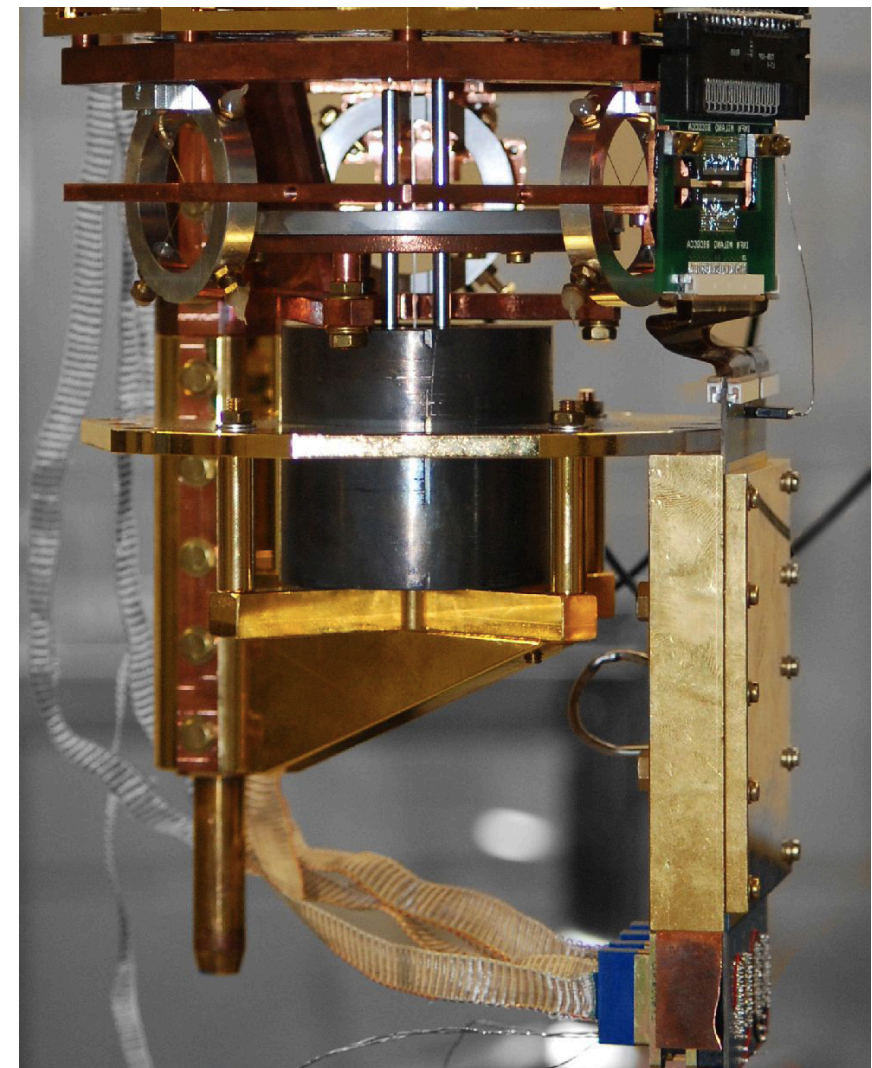
Disadvantages:

- Extremely long half-life.
- Pileup backgrounds.

Cryogenic setup in Milan



$$m_\nu^2 = (-112 \pm 207 \pm 90) \text{ eV}^2$$

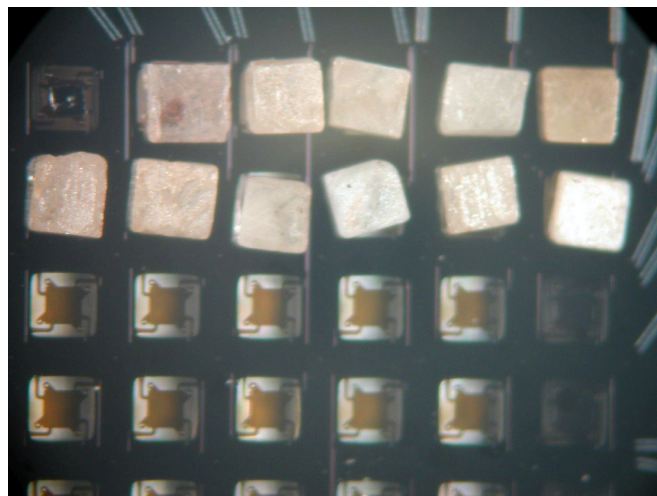


MARE R&D

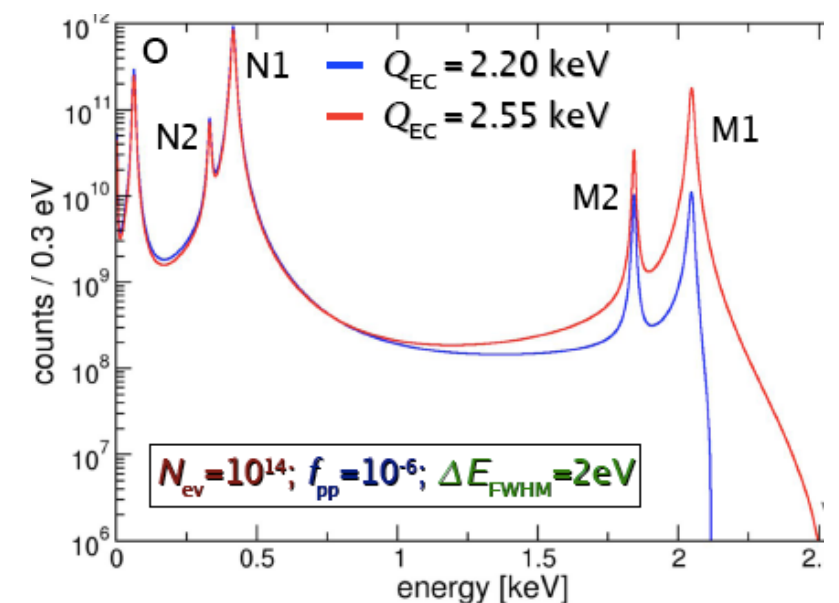
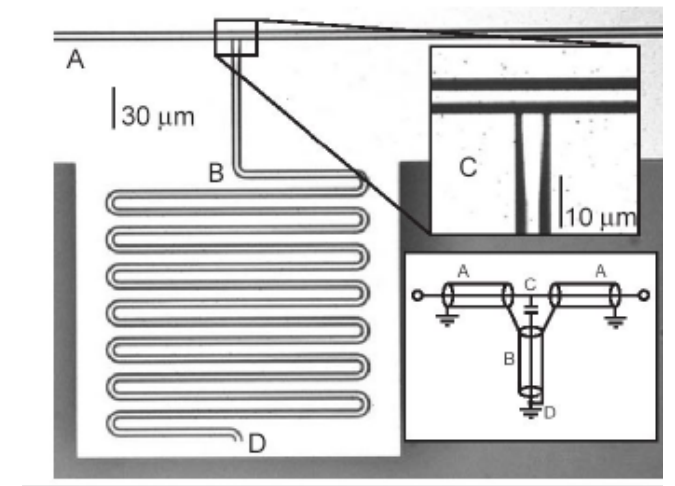
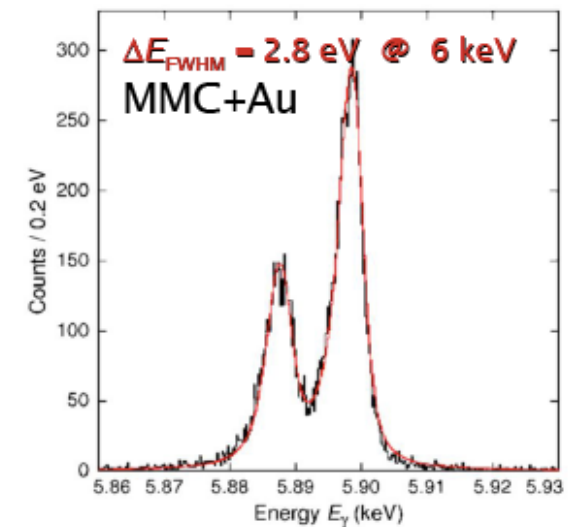
Bolometric:



MARE



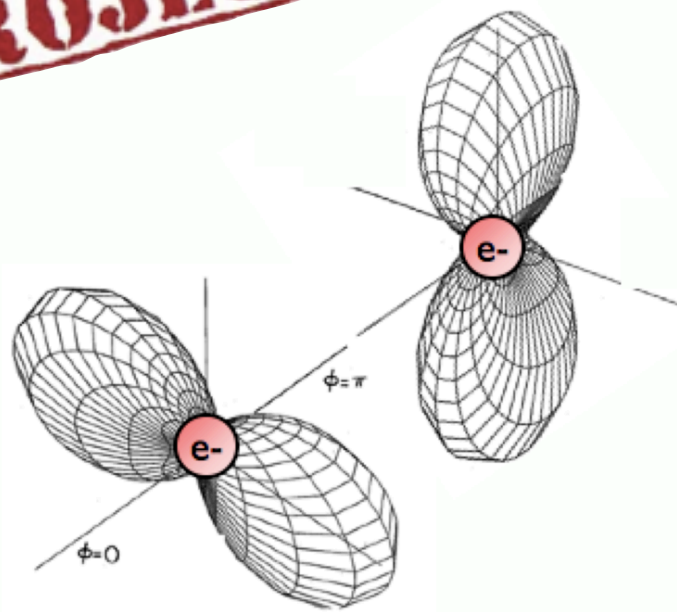
- New Technology:
 - Use of magnetic micro calorimeters. Minimize rise time and energy resolution.
 - MKID devices (1-10 GHz) resonating super-conductors.
 - Reduces pileup, increases pixelation and energy resolution
- New Isotopes:
 - Also exploring ^{183}Ho electron capture



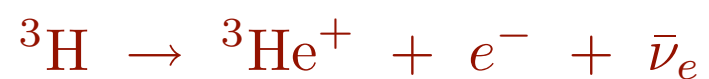
R&D to lead to MARE 2 (eV sensitivity)

Project 8

PROJECT 8



Frequency



I. I. Rabi



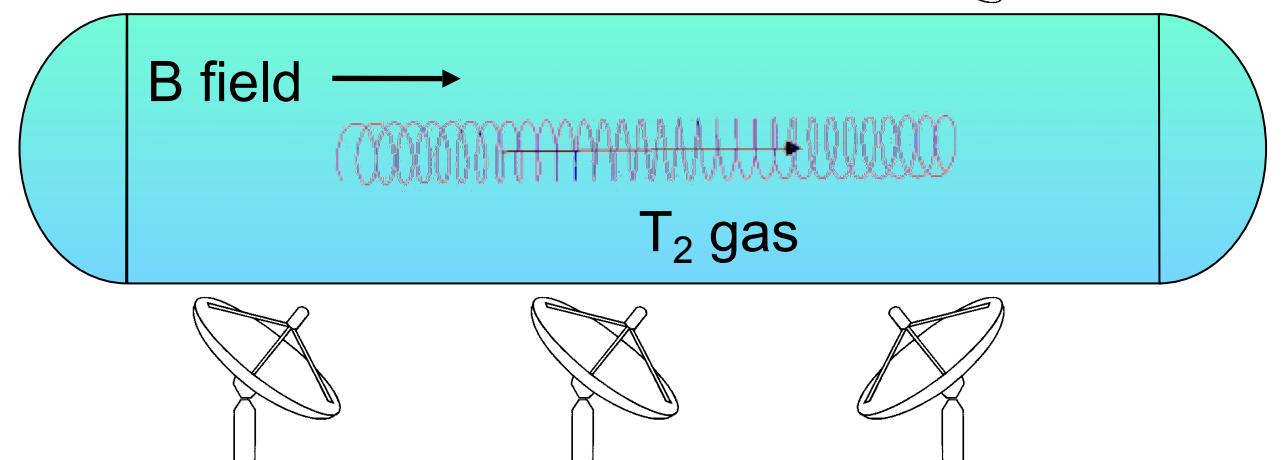
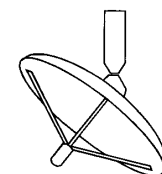
A. L. Schawlow

*“Never
measure
anything but
frequency.”*

- Use cyclotron frequency to extract electron energy.

$$\omega(\gamma) = \frac{\omega_0}{\gamma} = \frac{eB}{K + m_e}$$

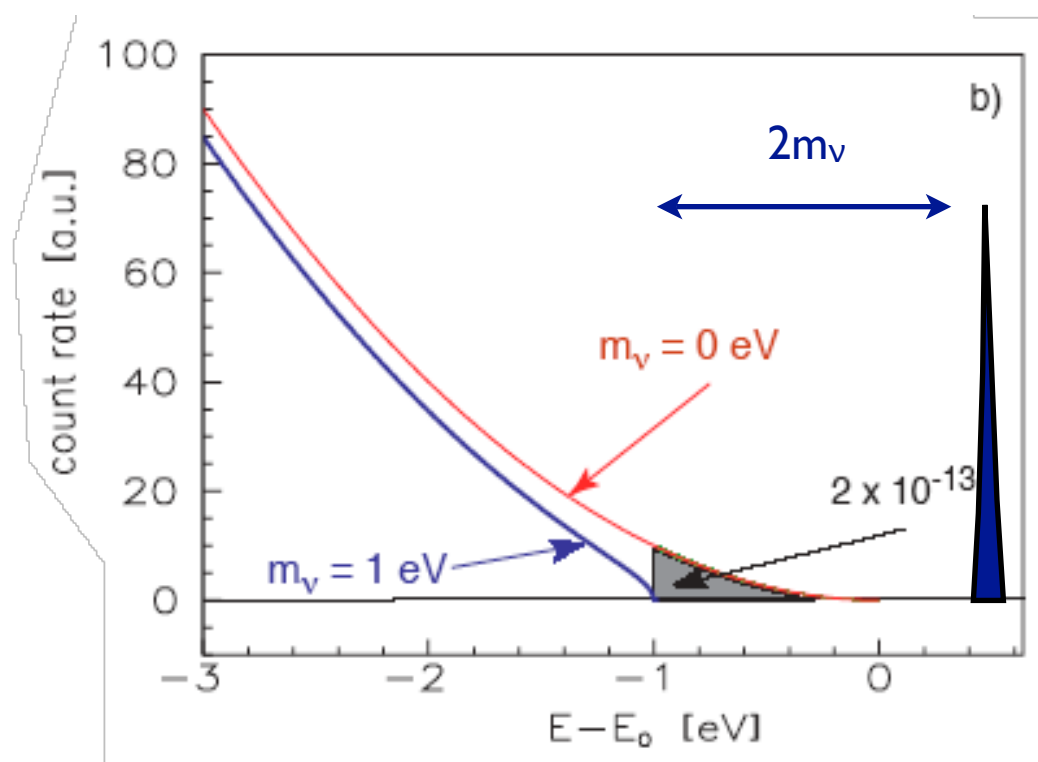
- Non-destructive measurement of electron energy.



Advantages and Challenges

- Any beta-decay endpoint experiment can search for the CVB, including KATRIN.
- An analysis of KATRIN's sensitivity highlights its limitations: **target mass**, **resolution**, and **backgrounds**.

Sample neutrino capture signal with nominal beta decay background.



Target Mass:

Tritium provides the optimal target.

Good news: 100 grams yields 10 events/year

Bad news: High activity targets (~ 1 MCi) of tritium necessary.

Energy Resolution:

The signature places a signal after the beta end-point.

Good news: Initial searches need only 10x improvement.

Bad news: This is still very difficult.

Backgrounds:

Beta endpoint experiments have incredible signal-to-background separation.

Good news: Advantages with underground facilities.

Bad news: Given the necessary target mass, background rejection will be a serious challenge.