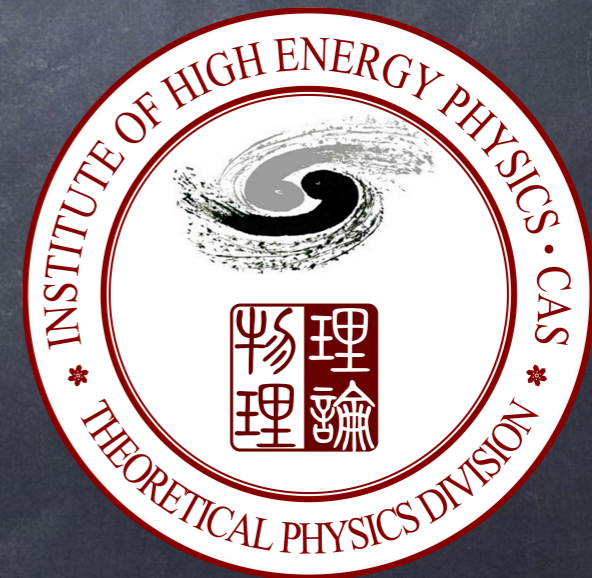


# Improving positivity bounds on aQGCs

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Snowmass EF04 Topical Group Community Meeting  
July 2 2020, online

Based on ongoing project with Kimiko Yamashita and Shuang-Yong Zhou



- Dim-8 anomalous QGC is a commonly used TH framework to interpret VBS (and tri-boson) results.

$$\begin{aligned}
O_{S,0} &= [(D_\mu \Phi)^\dagger D_\nu \Phi] \times [(D^\mu \Phi)^\dagger D^\nu \Phi] \\
O_{S,1} &= [(D_\mu \Phi)^\dagger D^\mu \Phi] \times [(D_\nu \Phi)^\dagger D^\nu \Phi] \\
O_{S,2} &= [(D_\mu \Phi)^\dagger D_\nu \Phi] \times [(D^\nu \Phi)^\dagger D^\mu \Phi] \\
O_{M,0} &= \text{Tr} \left[ \hat{W}_{\mu\nu} \hat{W}^{\mu\nu} \right] \times [(D_\beta \Phi)^\dagger D^\beta \Phi] \\
O_{M,1} &= \text{Tr} \left[ \hat{W}_{\mu\nu} \hat{W}^{\nu\beta} \right] \times [(D_\beta \Phi)^\dagger D^\mu \Phi] \\
O_{M,2} &= \left[ \hat{B}_{\mu\nu} \hat{B}^{\mu\nu} \right] \times [(D_\beta \Phi)^\dagger D^\beta \Phi] \\
O_{M,3} &= \left[ \hat{B}_{\mu\nu} \hat{B}^{\nu\beta} \right] \times [(D_\beta \Phi)^\dagger D^\mu \Phi] \\
O_{M,4} &= \left[ (D_\mu \Phi)^\dagger \hat{W}_{\beta\nu} D^\mu \Phi \right] \times \hat{B}^{\beta\nu} \\
O_{M,5} &= \frac{1}{2} \left[ (D_\mu \Phi)^\dagger \hat{W}_{\beta\nu} D^\nu \Phi \right] \times \hat{B}^{\beta\mu} + h.c. \\
O_{M,7} &= \left[ (D_\mu \Phi)^\dagger \hat{W}_{\beta\nu} \hat{W}^{\beta\mu} D^\nu \Phi \right] \\
O_{T,0} &= \text{Tr} \left[ \hat{W}_{\mu\nu} \hat{W}^{\mu\nu} \right] \times \text{Tr} \left[ \hat{W}_{\alpha\beta} \hat{W}^{\alpha\beta} \right] \\
O_{T,1} &= \text{Tr} \left[ \hat{W}_{\alpha\nu} \hat{W}^{\mu\beta} \right] \times \text{Tr} \left[ \hat{W}_{\mu\beta} \hat{W}^{\alpha\nu} \right] \\
O_{T,2} &= \text{Tr} \left[ \hat{W}_{\alpha\mu} \hat{W}^{\mu\beta} \right] \times \text{Tr} \left[ \hat{W}_{\beta\nu} \hat{W}^{\nu\alpha} \right] \\
O_{T,5} &= \text{Tr} \left[ \hat{W}_{\mu\nu} \hat{W}^{\mu\nu} \right] \times \hat{B}_{\alpha\beta} \hat{B}^{\alpha\beta} \\
O_{T,6} &= \text{Tr} \left[ \hat{W}_{\alpha\nu} \hat{W}^{\mu\beta} \right] \times \hat{B}_{\mu\beta} \hat{B}^{\alpha\nu} \\
O_{T,7} &= \text{Tr} \left[ \hat{W}_{\alpha\mu} \hat{W}^{\mu\beta} \right] \times \hat{B}_{\beta\nu} \hat{B}^{\nu\alpha} \\
O_{T,8} &= \hat{B}_{\mu\nu} \hat{B}^{\mu\nu} \times \hat{B}_{\alpha\beta} \hat{B}^{\alpha\beta} \\
O_{T,9} &= \hat{B}_{\alpha\mu} \hat{B}^{\mu\beta} \times \hat{B}_{\beta\nu} \hat{B}^{\nu\alpha},
\end{aligned}$$

+ 2 missing operators

- VBS @ HL/HE-LHC: QGC sensitivity  $\sim$  TeV scale.

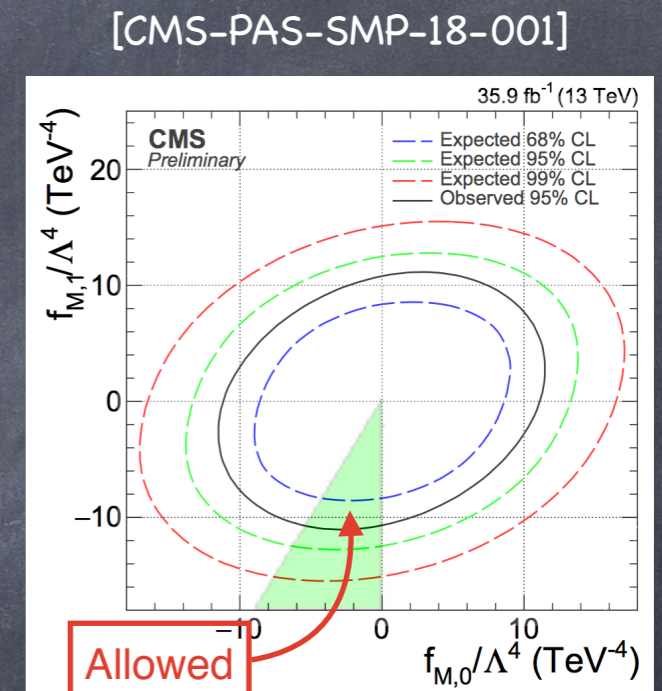
	14 TeV		27 TeV	
	$WZjj$	$W^\pm W^\pm jj$	$WZjj$	$W^\pm W^\pm jj$
$f_{S_0}/\Lambda^4$	[-8,8]	[-6,6]	[-1.5,1.5]	[-1.5,1.5]
$f_{S_1}/\Lambda^4$	[-18,18]	[-16,16]	[-3,3]	[-2.5,2.5]
$f_{T_0}/\Lambda^4$	[-0.76,0.76]	[-0.6,0.6]	[-0.04,0.04]	[-0.027,0.027]
$f_{T_1}/\Lambda^4$	[-0.50,0.50]	[-0.4,0.4]	[-0.03,0.03]	[-0.016,0.016]
$f_{M_0}/\Lambda^4$	[-3.8,3.8]	[-4.0,4.0]	[-0.5,0.5]	[-0.28,0.28]
$f_{M_1}/\Lambda^4$	[-5.0,5.0]	[-12,12]	[-0.8,0.8]	[-0.90,0.90]

(in  $\text{TeV}^{-4}$ ), from HL/HE-LHC report

- SMEFT global fit seems the right way to go, even adding dim-6 operators.  $>$  20 dimensional theory space to explore.

- However, SMEFT is meant to connect EXP data with concrete UV models. Therefore it does not make much sense to study the EFT space which cannot be UV-completed (if we know in advance).
- Particularly relevant at dim-8: positivity bounds tell us which part of the parameter space **cannot** be UV-completed. (e.g. if dim-8 coefficients have wrong signs).

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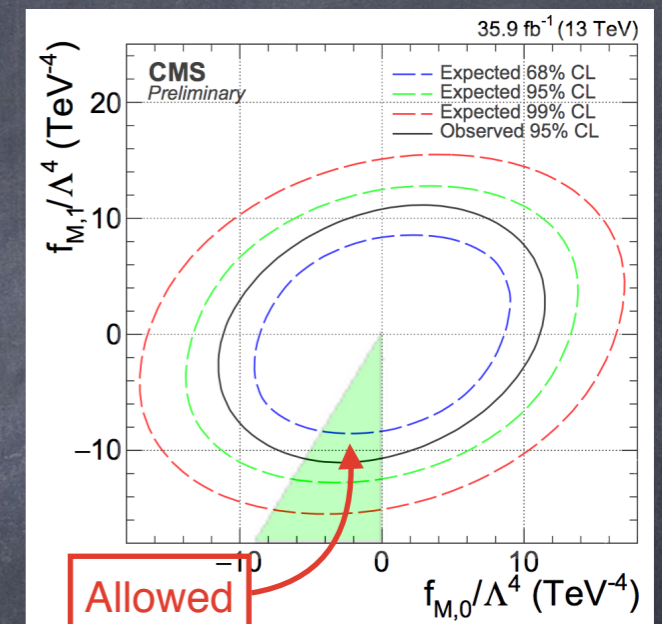
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- However, in the future, more dim-8 effects may become accessible.

(e.g. new observable proposed for DY process

[Alioli, Boughezal, Mereghetti, Petriello, 2003.11615])

[CMS-PAS-SMP-18-001]



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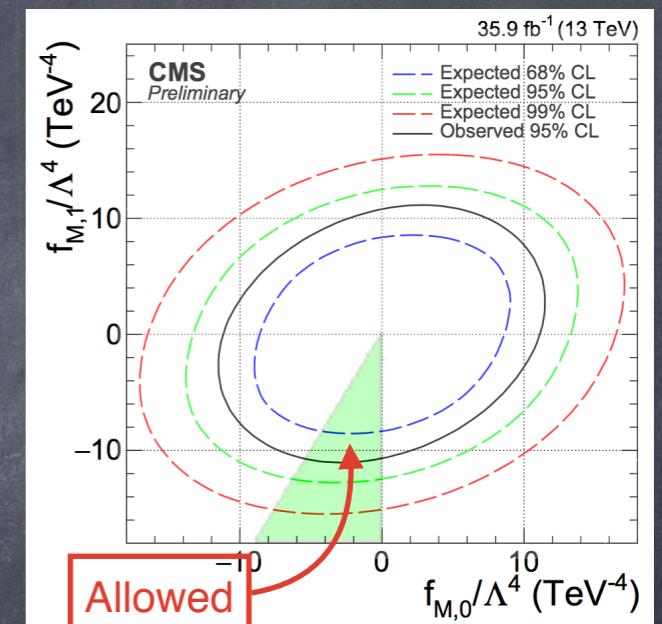
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- These bounds need to be studied, to identify the meaningful parameter space, to form a consistent interpretation of data within the SMEFT framework, and also to help focus the EXP search.

[CMS-PAS-SMP-18-001]



Relevant even for improved EXP precision

- The  $E^4/\Lambda^4$  operators (dim-8 SMEFT operators) need to satisfy “positivity bounds”, for a UV completion to exist (with causality, locality, Lorentz invariance...) Certain linear combinations of dim-8 coefficients must be positive, e.g. transversal QGCs:  $4C_{T,0} + 4C_{T,1} + 3C_{T,2} + 12C_{T,10} \geq 0$ .

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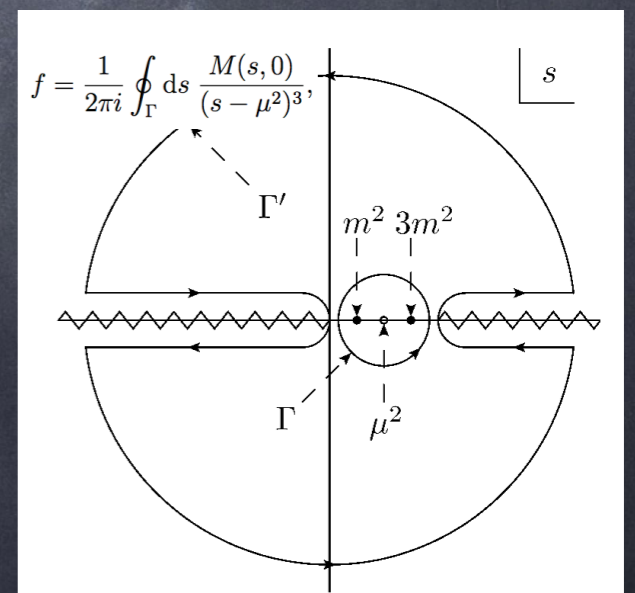
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- Still not complete. Room to improve.
- In addition, a new approach has been proposed in [CZ, S.-Y. Zhou, 2005.03047].
- We would like to understand the full set of bounds on all QGC operators, to provide TH guidance for future VBS and QGC measurements.

# Outline

- Dispersion relation
- The traditional approach (elastic positivity)
- The new approach
- Some preliminary results on transversal QGCs

$$\frac{d^2}{ds^2} \text{[Diagram: Four lines meeting at a central point]} = \text{[Diagram: Two lines meeting at a point, connected to another two lines meeting at a point by a vertical dashed line]} + \text{[Diagram: Two lines meeting at a point, connected to another two lines meeting at a point by two horizontal dashed lines]} + \dots + s \leftrightarrow u \text{ crossing}$$

$$\begin{aligned} & \frac{d^2}{ds^2} M_{ij \rightarrow kl} \left( s = \frac{1}{2} M^2, t = 0 \right) \\ &= \sum_X' \int_{(\epsilon\Lambda)^2}^{\infty} \frac{ds M_{ij \rightarrow X}(s, \Pi_X) M_{kl \rightarrow X}^*(s, \Pi_X)}{\pi \left( s - \frac{1}{2} M^2 \right)^3} + (j \leftrightarrow l) \end{aligned}$$



[C. Cheung, G. Remmen, JHEP 16]

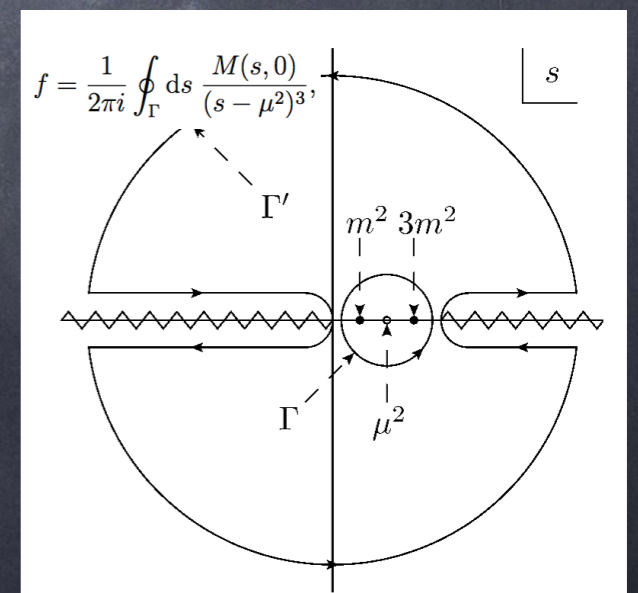
[de Rham, Melville, Tolley, Zhou, JHEP 17]

ijkl: particle index  
 $1 \leq i, j, k, l \leq n$

$$M^2 = m_i^2 + m_j^2 + m_k^2 + m_l^2$$

Forward scattering amp,  
 at low energy  
 (calculable in EFT)

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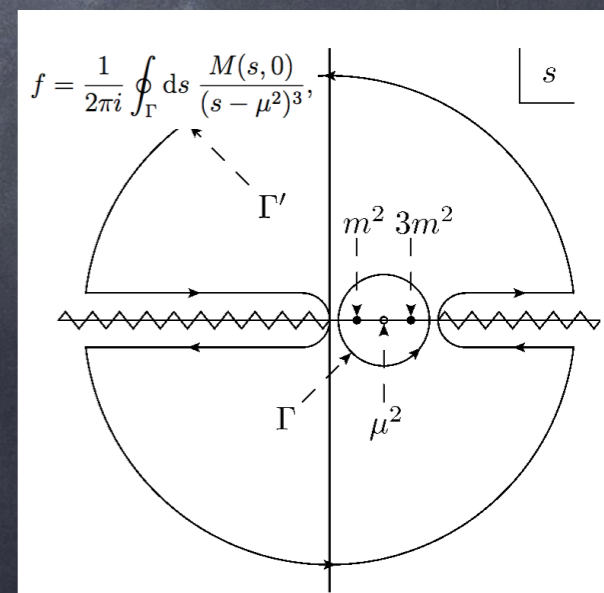
$s \leftrightarrow u$  crossing



$X =$  BSM states  
 summation & PS integration

$$\epsilon < 1$$

Amplitude  
 of SM  $\rightarrow X$



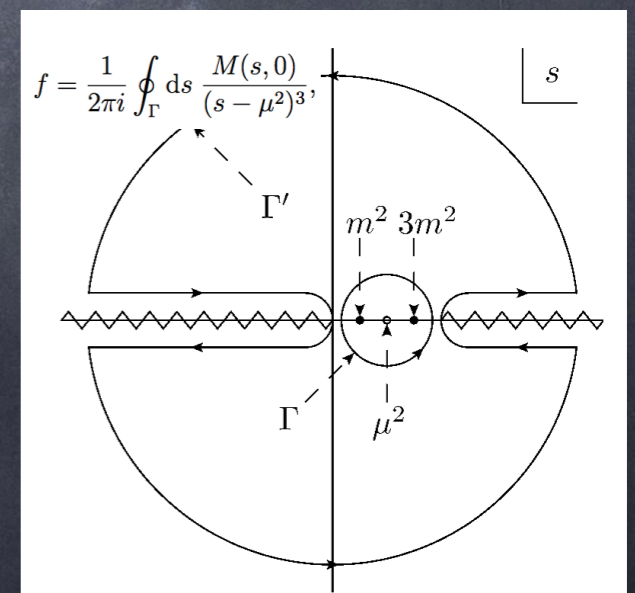
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- L.H.S : calculable in EFT
- At tree level, simply linear combination of  $C^{(8)}$
- R.H.S : integration of BSM contribution
- Might think of this as a matching formula.



[C. Cheung, G. Remmen, JHEP 16]

[de Rham, Melville, Tolley, Zhou, JHEP 17]

$$M^{ijkl} = \sum_X' \int_{(\epsilon\Lambda)^2}^{\infty} \frac{d\mu m_X^{ij} m_X^{kl}}{\pi(\mu - \frac{1}{2}M^2)^3} + (j \leftrightarrow l)$$

where  $M^{ijkl} \equiv \frac{d^2}{ds^2} M_{ij \rightarrow kl} \left( \frac{1}{2}M^2 \right)$ ,  $m_X^{ij} \equiv M_{ij \rightarrow X}(\mu, \Pi_X)$

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- Model-independent EFT:  $m_X^{ij}$  function on the RHS can take any value in  $\mathbb{R}^{n^2}$
- However,  $M^{ijkl}$  on LHS cannot take arbitrary values in  $\mathbb{R}^{n^4}$   
 → bounds on  $M^{ijkl}$ , or equivalently, on  $C^{(8)}$ .

# The traditional approach: elastic scattering

$$M^{ijkl} = \sum_X' \int_{(\epsilon\Lambda)^2}^{\infty} \frac{d\mu m_X^{ij} m_X^{kl}}{\pi(\mu - \frac{1}{2}M^2)^3} + (j \leftrightarrow l)$$

- When  $i=k, j=l$ , RHS  $\rightarrow$  complete squares  $>0$   
i.e. a discrete set of inequalities:

$$M^{ijij} \geq 0$$



M (or C8) must stay inside

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- More generally, consider  $u^i v^j u^{*k} v^{*l} \cdot M^{ijkl}$ ,  $u, v \in \mathbb{C}^n$   
RHS  $\rightarrow |u \cdot m_X \cdot v|^2 + |u \cdot m_X \cdot v^*|^2 \geq 0$   
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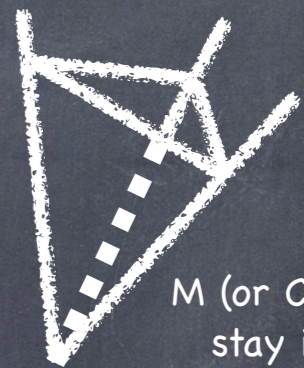
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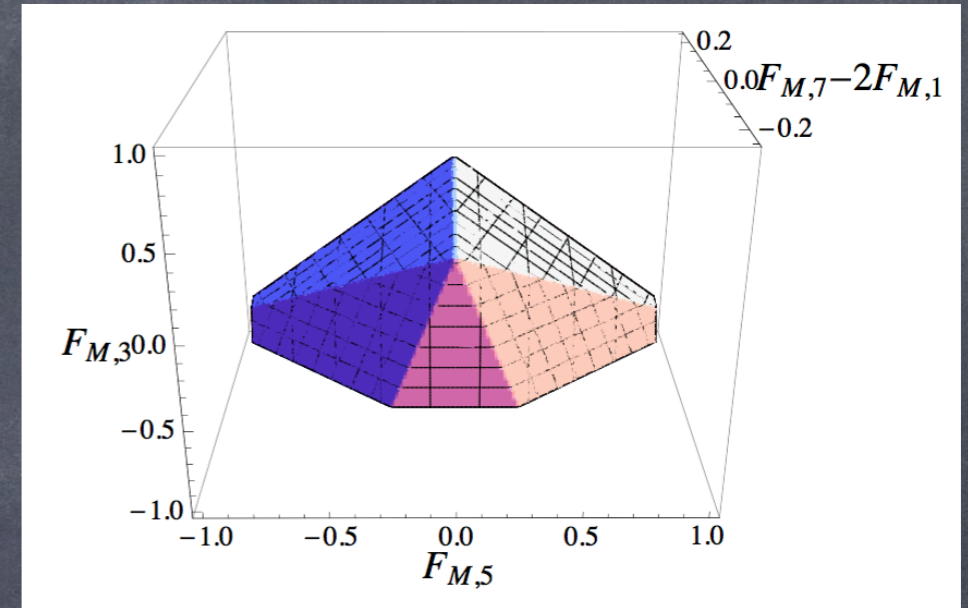
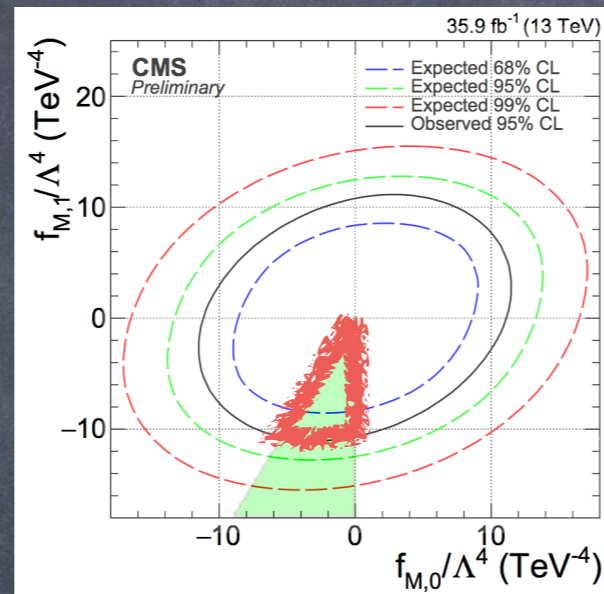
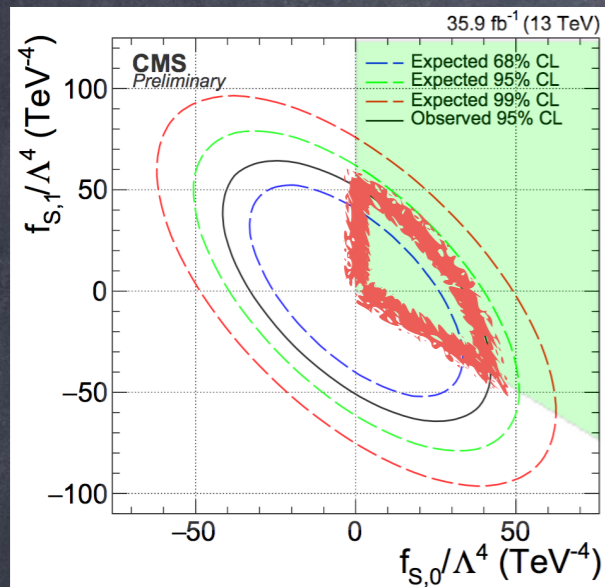
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- This is the elastic scattering between two superposed states (by the  $u, v$  vectors). Vary  $u, v$  to get the full set of bounds.

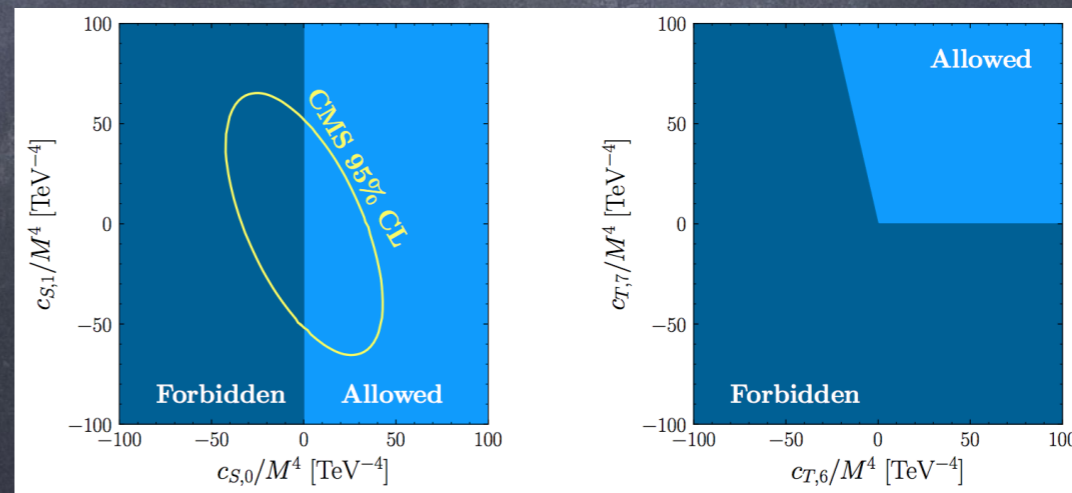
# The traditional approach: elastic scattering

- [Q. Bi, CZ, S.-Y. Zhou, JHEP 19] Mass eigenstates with superposed helicity states:



- [Remmen, Rodd, JHEP 19] Gauge eigenstates, all superposition of Goldstones:

$$\begin{aligned}
 c_{S,0} + c_{S,1} + c_{S,2} &> 0 \\
 c_{S,0} + c_{S,2} &> 0 \\
 c_{S,0} &> 0.
 \end{aligned}$$



- A lot of room to improve: superposition of gauge components of W/B, together with Goldstones, etc.

# The new approach

- Two kinds of symmetries: SM gauge, and SO(2) rotation around the forwards axis. Both act on the particle indices (i,j,k,l)

- Dispersion relation: 
$$M^{ijkl} = \sum'_{X \in r} \int_{(\epsilon\Lambda)^2}^{\infty} d\mu \frac{|\langle X|M|r \rangle|^2}{\pi (\mu - \frac{1}{2}M^2)^3} P_r^{i(j|k|l)}$$

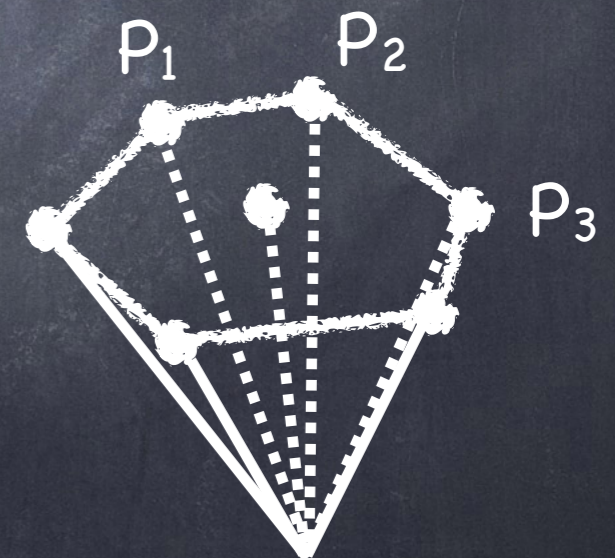
← Dynamics  
← Symmetry

- $P_r^{ijkl}$  is the projective operator of an irrep r, obtained by CG coefficients.

- The allowed values of M must be all positive linear combinations of  $P_r^{i(j|k|l)}$  i.e.  $\text{cone}(\{P_r^{i(j|k|l)}\})$ , a convex cone positively generated by (j,l symmetrized) projectors.

- In practice, we compute all projectors  $P_1, P_2, P_3, \dots$ , which are generators of M, and their convex hull determines the cone.

- Positivity bounds are "facets" of the cone. Knowing the edges, they are obtained by the "vertex enumeration" algorithm.



M (or C8) must stay inside



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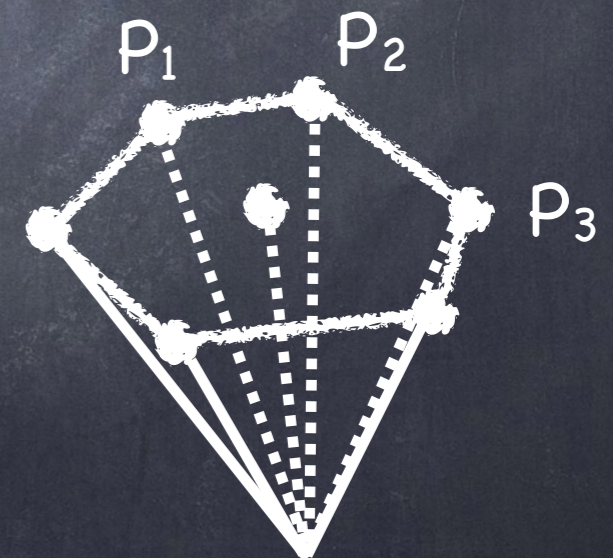
Positive

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- For example, consider transversal WW  $\rightarrow$  WW. Traditional approach (elastic scattering) gives:

bounds	channel ( $ 1\rangle +  2\rangle \rightarrow  1\rangle +  2\rangle$ )
$F_{T,2} \geq 0,$	1 : $W_x^1, 2 : W_y^2$
$4F_{T,1} + F_{T,2} \geq 0,$	1 : $W_x^1, 2 : W_x^2$
$F_{T,2} + 8F_{T,10} \geq 0,$	1 : $W_x^1 + W_y^2, 2 : W_y^1 - W_x^2$
$8F_{T,0} + 4F_{T,1} + 3F_{T,2} \geq 0,$	1 : $W_x^1 + W_y^2, 2 : W_x^1 + W_y^2$

- While the new approach gives better bounds [\[CZ and S.-Y. Zhou 2005.03047\]](#)

$$F_{T,2} \geq 0,$$

$$4F_{T,1} + F_{T,2} \geq 0,$$

$$F_{T,2} + 8F_{T,10} \geq 0,$$

$$8F_{T,0} + 4F_{T,1} + 3F_{T,2} \geq 0,$$

$$12F_{T,0} + 4F_{T,1} + 5F_{T,2} + 4F_{T,10} \geq 0,$$

$$4F_{T,0} + 4F_{T,1} + 3F_{T,2} + 12F_{T,10} \geq 0.$$

Cannot be obtained  
from any elastic channel

For QGCs, we are interested in:

- Bounds: what are the best set of bounds on all QGC, available from the dispersion relation?
- General approaches: establish more concrete and systematic algorithms? which may apply to other operators/channels...
- Elastic approach: how to determine  $u^i v^j u^{*k} v^{*l} M^{ijkl} \geq 0$  w.r.t. all  $u, v$  vectors? The determination of a degree-4 polynomial  $>0$  is NP hard. Maybe symmetries could help here.
- New approach: in case continuous generators show up (like circular cones), how to get bounds (i.e. "vertex enumeration" for cones with curved boundary)?
- Numerical alternatives?

# First step: bounds on transversal QGCs

Transversal operators:

$$\begin{aligned} O_{T,0} &= \text{Tr}[\hat{W}_{\mu\nu} \hat{W}^{\mu\nu}] \text{Tr}[\hat{W}_{\alpha\beta} \hat{W}^{\alpha\beta}] & O_{T,1} &= \text{Tr}[\hat{W}_{\alpha\nu} \hat{W}^{\mu\beta}] \text{Tr}[\hat{W}_{\mu\beta} \hat{W}^{\alpha\nu}] \\ O_{T,2} &= \text{Tr}[\hat{W}_{\alpha\mu} \hat{W}^{\mu\beta}] \text{Tr}[\hat{W}_{\beta\nu} \hat{W}^{\nu\alpha}] & O_{T,10} &= \text{Tr}[\hat{W}_{\mu\nu} \tilde{W}^{\mu\nu}] \text{Tr}[\hat{W}_{\alpha\beta} \tilde{W}^{\alpha\beta}] \\ O_{T,5} &= \text{Tr}[\hat{W}_{\mu\nu} \hat{W}^{\mu\nu}] \hat{B}_{\alpha\beta} \hat{B}^{\alpha\beta} & O_{T,6} &= \text{Tr}[\hat{W}_{\alpha\nu} \hat{W}^{\mu\beta}] \hat{B}_{\mu\beta} \hat{B}^{\alpha\nu} \\ O_{T,7} &= \text{Tr}[\hat{W}_{\alpha\mu} \hat{W}^{\mu\beta}] \hat{B}_{\beta\nu} \hat{B}^{\nu\alpha} & O_{T,11} &= \text{Tr}[\hat{W}_{\mu\nu} \tilde{W}^{\mu\nu}] \hat{B}_{\alpha\beta} \tilde{B}^{\alpha\beta} \\ O_{T,8} &= \hat{B}_{\mu\nu} \hat{B}^{\mu\nu} \hat{B}_{\alpha\beta} \hat{B}^{\alpha\beta} & O_{T,9} &= \hat{B}_{\alpha\mu} \hat{B}^{\mu\beta} \hat{B}_{\beta\nu} \hat{B}^{\nu\alpha} \end{aligned}$$

Note  $O_{T,10}$  and  $O_{T,11}$  have been missed in standard QGC parameterization.

Pointed out by [\[Remmen, Rodd, JHEP 12 \(2019\) 032\]](#)

See also dim-8 basis: [\[C. Murphy 2005.00059\]](#), [\[H.-L. Li 2005.00008\]](#)

# Preliminary results: the traditional (elastic approach)

**The traditional (elastic) approach:** consider the scattering of two superposition of 8

SM modes:  $W_x^1, W_y^1, W_x^2, W_y^2, W_x^3, W_y^3, B_x, B_y$

- This is the determination of the positive-definiteness of a 4th-order polynomial with 32 variables. Too hard...
- One solution: assuming the superposition can be factorized in gauge/helicity space,  
$$u^i = x^a \alpha^b, v^i = y^a \beta^b, i = (a, b)$$
with polarization index  $a$  and gauge index  $b$ .
- The result is conservative, but it converts the problem into “quadratically constrained quadratic programming” problems, can be solved analytically.

E.g.

$$\begin{array}{ll} \text{minimize} & 2b_1 M_{b_1} + b_3 M_{b_3} + 2b_4 M_{b_5} + b_6 M_{b_6} + b_7 M_{b_7} \\ \text{subject to} & b_7 \geq 0, 0 \leq b_1 \leq b_3, |b_4| \leq 2\sqrt{b_1 b_7}, b_6 \geq 2\sqrt{b_3 b_7} \end{array}$$

## Linear bounds:

$$2F_{T,0} + 2F_{T,1} + F_{T,2} \geq 0$$

$$F_{T,2} + 4F_{T,10} \geq 0$$

$$4F_{T,1} + F_{T,2} \geq 0$$

$$F_{T,2} \geq 0$$

$$2F_{T,0} + F_{T,1} + F_{T,2} + 2F_{T,10} \geq 0$$

$$2F_{T,8} + F_{T,9} \geq 0$$

$$F_{T,9} \geq 0$$

$$4F_{T,6} + F_{T,7} \geq 0$$

$$F_{T,7} \geq 0$$

- The parameter space is constrained to 0.8% of the total (in terms of solid angle)
  - Compared with previous result, 2.1% for S+M+T operators...

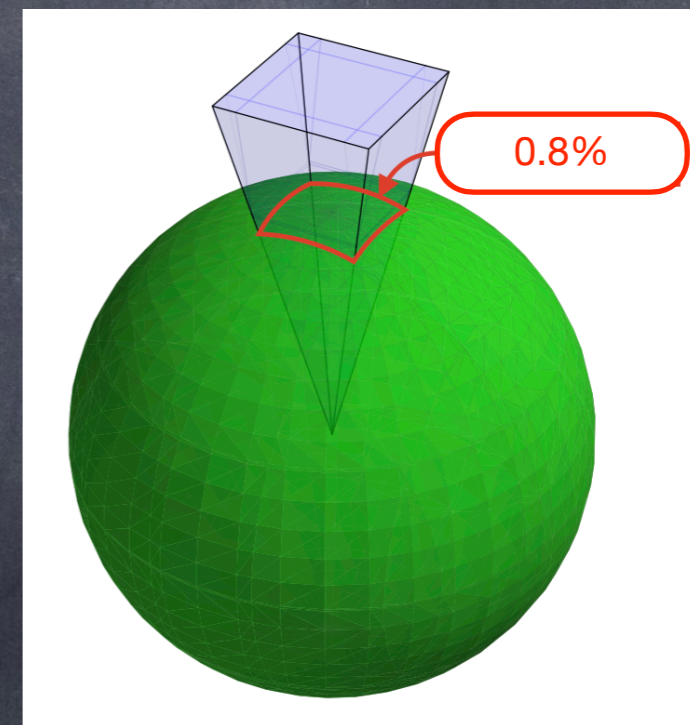
## Quadratic bounds:

$$4\sqrt{[2(F_{T,0} + F_{T,1}) + F_{T,2}](2F_{T,8} + F_{T,9})} \geq \max(0, -2(2F_{T,5} + 2F_{T,6} + F_{T,7}), 4F_{T,5} + F_{T,7})$$

$$2\sqrt{F_{T,9}(F_{T,2} + 4F_{T,10})} \geq \max(0, -(2F_{T,11} + F_{T,7}), 2F_{T,11})$$

$$2\sqrt{[4F_{T,10} + 4(F_{T,0} + F_{T,1}) + 3F_{T,2}](4F_{T,8} + 3F_{T,9})} \geq |2F_{T,11} + 4F_{T,5} + F_{T,7}|$$

+ some cubic bounds...



# Preliminary results: the new (extremal) approach

**The new approach:** need to consider an infinite set of projectors (which are potentially generators), continuously parametrized by  $r$ :

$$\vec{E}_1 = (1, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0)$$

$$\vec{E}_2 = (0, 1, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0)$$

$$\vec{E}_3 = (0, 0, 1, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0)$$

$$\vec{E}_4 = (0, 0, 0, 1, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0)$$

$$\vec{E}_5 = \left( -\frac{1}{6}, \frac{1}{6}, 0, 0, -\frac{5}{3}, 0, 0, \frac{5}{3}, 0, 0, \frac{5}{6}, 0, 0 \right)$$

$$\vec{E}_6 = \left( 0, 0, -1, 1, 0, -\frac{3}{4}, 0, 0, \frac{3}{4}, 0, 0, 0, 0, 1 \right)$$

$$\vec{E}_7(r) = (0, 0, 0, 0, 1, r, r^2, 0, 0, 0, 0, 0, 0, 0)$$

$$\vec{E}_8(r) = (0, 0, 0, 0, 0, 0, 0, 1, r, r^2, 0, 0, 0, 0)$$

$$\vec{E}_9(r) = (0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 1, r, r^2, 0)$$

$$\vec{E}_{10}(r) = \left( -\frac{1}{3}, \frac{1}{3}, -\frac{4r}{3}, \frac{4r}{3}, -\frac{1}{3}, 0, -r^2, \frac{1}{3}, 0, r^2, -\frac{1}{3}, 0, -\frac{4r}{3} \right)$$

$$\vec{E}_{11}(r) = \left( \frac{1}{2}, \frac{1}{2}, \frac{r^2}{2}, \frac{r^2}{2}, -1, -\frac{3r^2}{8}, 0, -1, -\frac{3r^2}{8}, 0, -\frac{1}{2}, r, -\frac{r^2}{2} \right)$$

$$\vec{E}_{12}(r) = \left( 1, 0, r^2, 0, -2, -\frac{3r^2}{4}, 0, 0, 0, 0, 1, -2r, r^2 \right)$$

**Question: what is the cone spanned by all these vectors?**

**How to identify its boundary?**

**Analytically:** a tower of linear, quadratic, cubic, ... inequalities.

• So far only able to obtain the first two levels

Linear:

$$F_{T,2} \geq 0$$

$$4F_{T,1} + F_{T,2} \geq 0$$

$$F_{T,2} + 8F_{T,10} \geq 0$$

$$8F_{T,0} + 4F_{T,1} + 3F_{T,2} \geq 0$$

$$12F_{T,0} + 4F_{T,1} + 5F_{T,2} + 4F_{T,10} \geq 0$$

$$4F_{T,0} + 4F_{T,1} + 3F_{T,2} + 12F_{T,10} \geq 0$$

$$4F_{T,6} + F_{T,7} \geq 0$$

$$F_{T,7} \geq 0$$

$$2F_{T,8} + F_{T,9} \geq 0$$

$$F_{T,9} \geq 0$$

• The parameter space is constrained to 0.687% of the total.  
(Conservative)

Quadratic:

$$F_{T,9} (F_{T,2} + 4F_{T,10}) \geq F_{T,11}^2$$

$$16 (2 (F_{T,0} + F_{T,1}) + F_{T,2}) (2F_{T,8} + F_{T,9}) \geq (4F_{T,5} + F_{T,7})^2$$

$$32 (2F_{T,8} + F_{T,9}) (3F_{T,0} + F_{T,1} + 2F_{T,2} + 4F_{T,10}) \geq 3 (4F_{T,5} + F_{T,7})^2$$

$$2\sqrt{2}\sqrt{F_{T,9} (F_{T,2} + 8F_{T,10})} \geq \max(4F_{T,6} + F_{T,7} - 4F_{T,11}, F_{T,7} + 4F_{T,11})$$

$$4\sqrt{(8F_{T,0} + 4F_{T,1} + 3F_{T,2}) (2F_{T,8} + F_{T,9})} \geq \max(-8F_{T,5} - F_{T,7}, 8F_{T,5} + 4F_{T,6} + 3F_{T,7})$$

$$4\sqrt{F_{T,9} (12F_{T,0} + 4F_{T,1} + 5F_{T,2} + 4F_{T,10})} \geq \max(4F_{T,6} + F_{T,7} - 4F_{T,11}, F_{T,7} + 4F_{T,11})$$

$$4\sqrt{6}\sqrt{(2F_{T,8} + F_{T,9}) (12F_{T,0} + 4F_{T,1} + 5F_{T,2} + 4F_{T,10})} \geq \max[-3(8F_{T,5} + F_{T,7}), 3(8F_{T,5} + 4F_{T,6} + 3F_{T,7})]$$

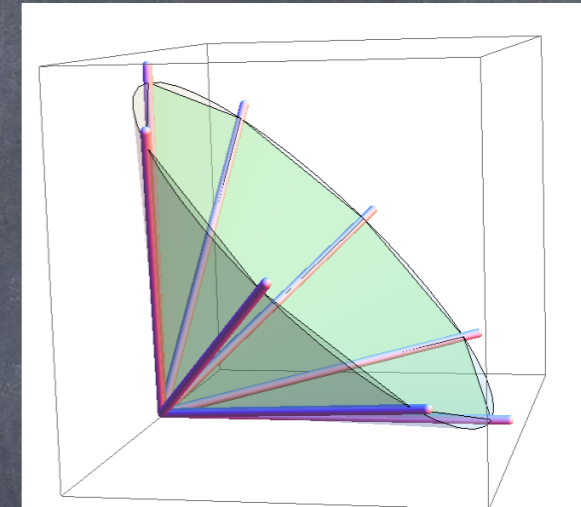
$$\sqrt{6}\sqrt{(4F_{T,8} + 3F_{T,9}) (6F_{T,0} + 2F_{T,1} + 3F_{T,2} + 6F_{T,10})} \geq \max[-3(2F_{T,5} + F_{T,11}), 3(2F_{T,5} + F_{T,7} + F_{T,11})]$$

$$2\sqrt{(12F_{T,8} + 7F_{T,9}) (12F_{T,0} + 4F_{T,1} + 5F_{T,2} + 4F_{T,10})} \geq \max(-12F_{T,5} - F_{T,7} - 2F_{T,11}, -12F_{T,5} + 4F_{T,6} - F_{T,7} - 2F_{T,11}, -12F_{T,5} - F_{T,7} + 2F_{T,11}, 12F_{T,5} + 4F_{T,6} + 5F_{T,7} + 2F_{T,11})$$



**Numerically:** might as well directly determine if a given point is included in the convex hull of all projectors (convex inclusion)

- Infinite number of (potential) generators, but numerically, we sample them with a large number (N of order 100~1000) of discrete ones, i.e. polyhedral cone inscribed to a "circular" cone =>

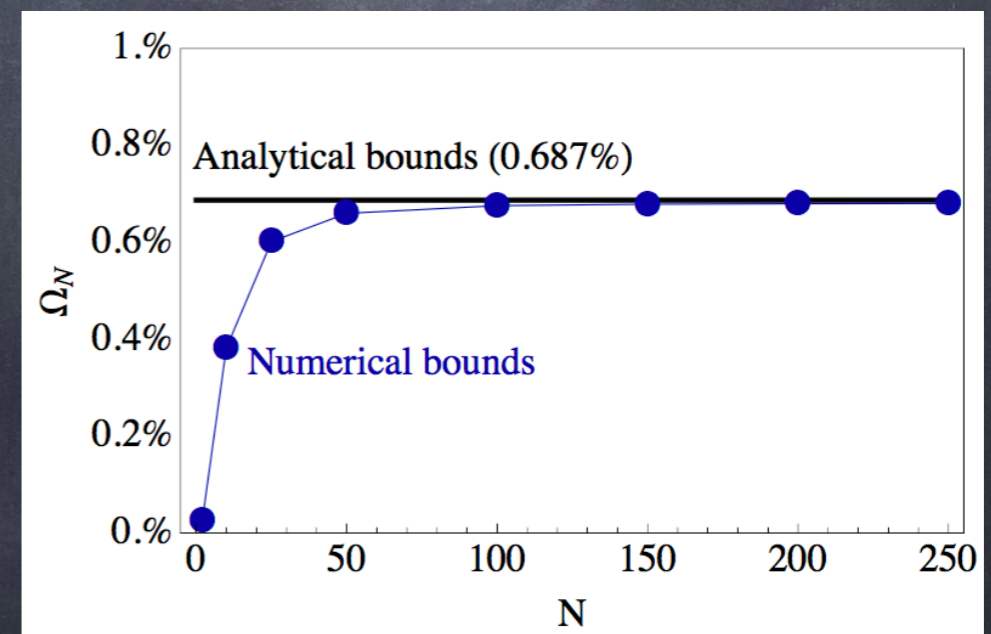


- Determination of inclusion can be turned in to a linear programming problem.

- Volume:  $\sim 0.681\%$  (  $1 - 79.3/N^2$  )

- The true volume seems to be 0.681%.

- Analytical bounds of the first two orders are sufficient.

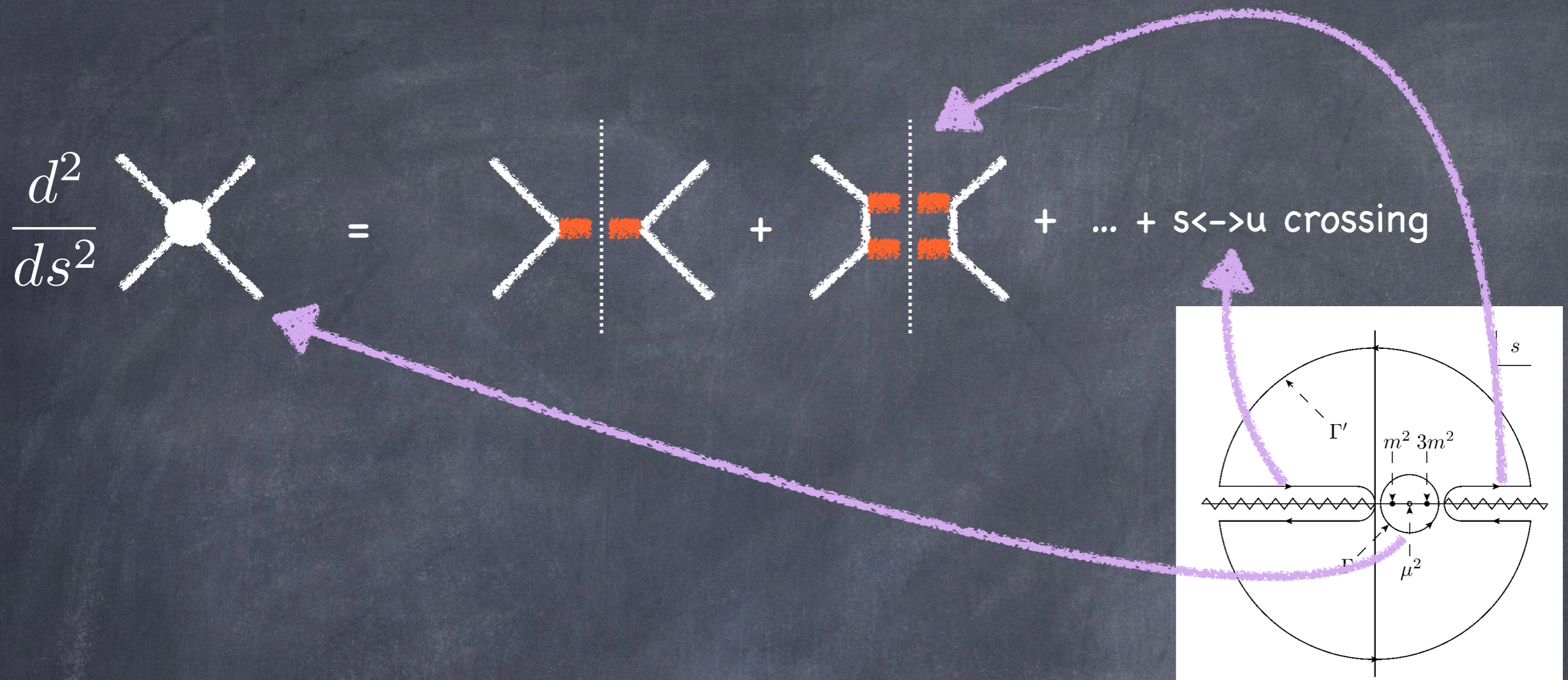


## Summary and to-do list:

- 99.32% of transversal QGC parameter space is redundant (not UV-completable)
- New approach to derive analytical bounds on coefficients. Numerical determination also possible. Will apply to the full set of QGCs.
  - Further investigation of analytical approach is needed.
  - Impacts of double insertion of dim-6, SM loops, etc.
- Other interesting questions:
  - Implication on EXP analysis? And global fits? Must be some if >99% parameter space is ruled out.
  - Apart from VBS, other opportunities to directly test positivity nature on dim-8 operators (e.g. at a future ee collider)?

Thank you

Backups



- Derived from: analyticity (from causality), Froissart bound (from locality), optical theorem (unitarity), and Lorentz Invariance.

[Adams, Arkani-Hamed, Dubovsky, Nicolis, Rattazzi, JHEP 06]

[de Rham, Melville, Tolley, Zhou, JHEP 17]

[C. Cheung, G. Remmen, JHEP 16] [Bi, CZ, Zhou, JHEP 19]

[G. Remmen, N. Rodd, JHEP 19] and more

$$M^{ijkl} = \sum_X' \int_{(\epsilon\Lambda)^2}^{\infty} \frac{d\mu m_X^{ij} m_X^{kl}}{\pi(\mu - \frac{1}{2}M^2)^3} + (j \leftrightarrow l)$$

Positivity bound is one way to learn something (not all):

- When  $i=k, j=l$ , RHS  $\rightarrow \text{Tr}(mm^T) \geq 0$   
i.e.

$$M^{ijij} \geq 0$$



- More generally, consider  $u^i v^j u^{*k} v^{*l} \cdot M^{ijkl}$ ,  $u, v \in \mathbb{C}^n$   
RHS  $\rightarrow |u \cdot m_X \cdot v|^2 + |u \cdot m_X \cdot v^*|^2 \geq 0$   
i.e.

$$u^i v^j u^{*k} v^{*l} M^{ijkl} \geq 0$$



EFT has UV completion  $\rightarrow$  above degree-4 polynomial of  $(u,v)$  is positive semi-definite (PSD)

$$M^{ijkl} = \sum_X' \int_{(\epsilon\Lambda)^2}^{\infty} \frac{d\mu m_X^{ij} m_X^{kl}}{\pi(\mu - \frac{1}{2}M^2)^3} + (j \leftrightarrow l)$$

## Still, open questions:

- In practice, e.g. in SMEFT how can one determine the PSDness of  $u^i v^j u^{*k} v^{*l} M^{ijkl} \geq 0$ , with 100+ variables.
  - A quartic PSD polynomial may NOT be sum of squares. In general an NP-hard problem. Difficult with large n.
- Are there more bounds that can be derived, than using  $(u,v)$ ?
  - YES. Will show an example...
- Physics interpretation of the bounded EFT space?



• Positivity has the form:  $u^i v^j u^i v^j M^{ijkl} \geq 0 \Rightarrow \sum_{\alpha} C_{\alpha}^{(8)} p_{\alpha}(u, v) \geq 0$

• A set of linear inequality  $\Rightarrow$  **Convex Cone**

• Convex Cone has 2 representation:

• As bounded by **faces**, and

• As convex hull of **extremal rays (ERs)**

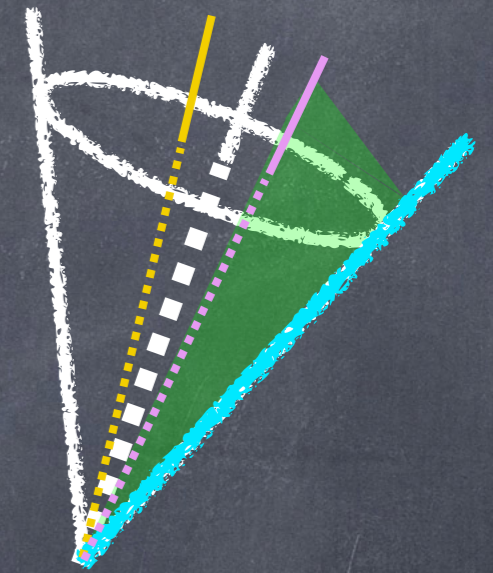
• ERs are the rays that **cannot** be split into two rays in the same cone.

• Convex hull of  $\{X\}$  is positively generated by elements of  $\{X\}$ .

► Translate to physics:  
ERs are the generators  
of all UV-completable EFTs!



O  
Polyhedral cone

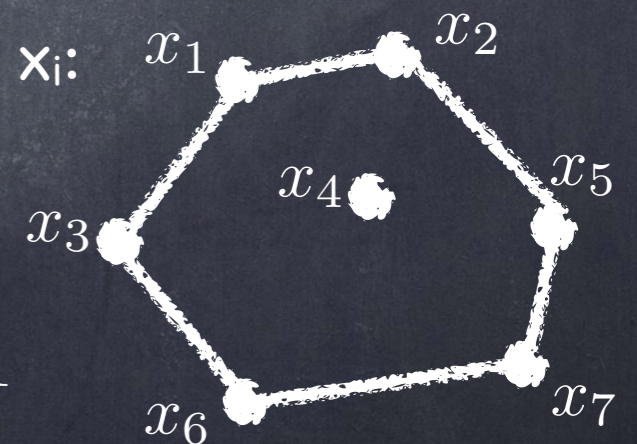


O  
Circular cone

e.g. convex hull of  $x_i$ :

$$x = \sum_i x_i w_i,$$

$$w_i \geq 0, \sum_i w_i = 1$$

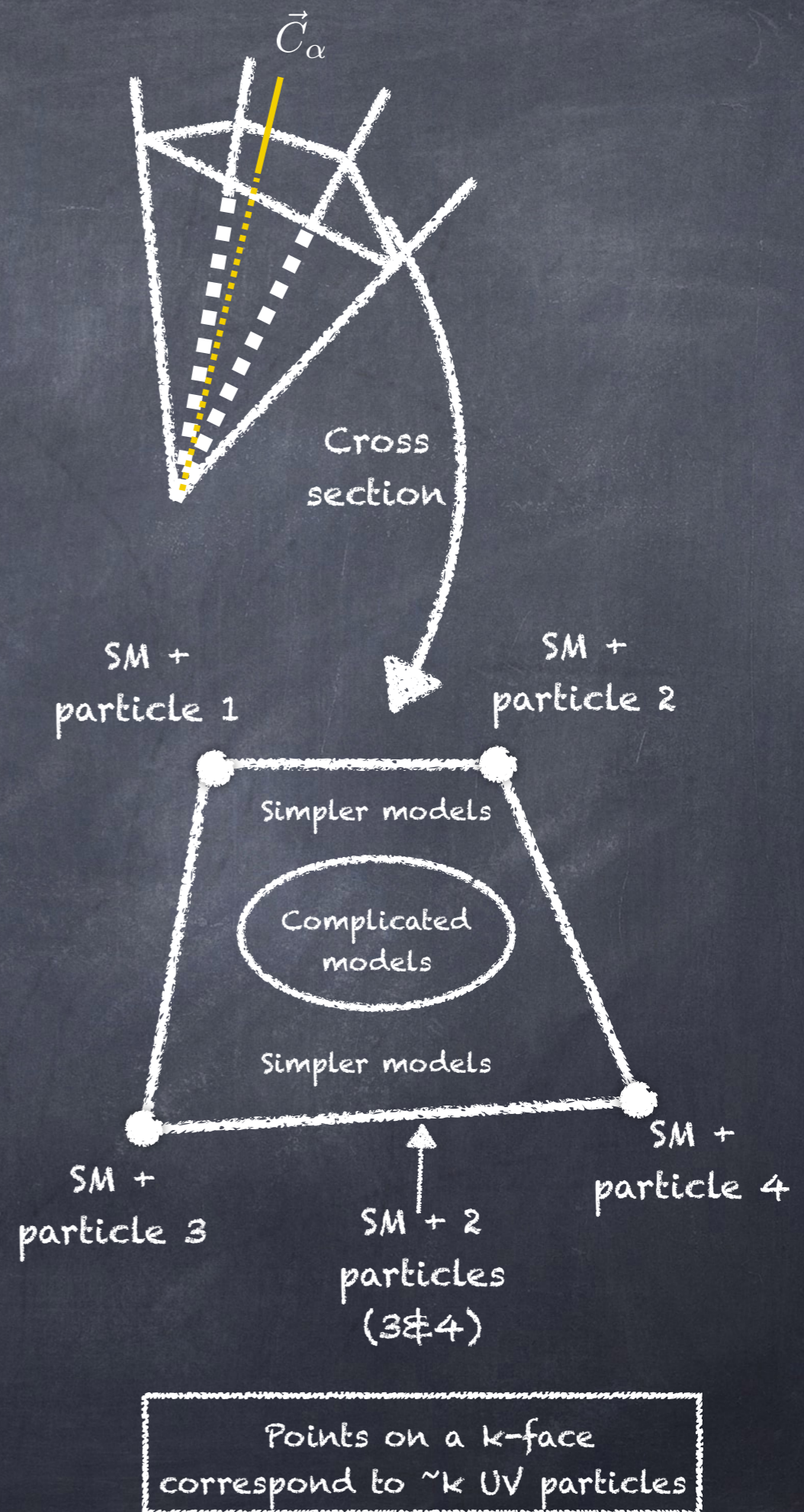




- Consider tree-level UV completion, SM + n particles.
- Integrating out each particle gives a ray within the cone  $\vec{C}_\alpha = (C_1, C_2, \dots)$
- If  $n > 1$ , the total cannot be an ER. (ER cannot be split)
- ER corresponds to one-particle SM extension!
  - From which all UV models can be generated.

Heuristically,

- More inner part of the cone tend to correspond to more complicated models, as they are positively weighted sum of outer elements.
- Most outer elements  $\rightarrow$  ERs, are the most fundamental one-particle extensions.



- Convex cones are sets closed under addition and positive scalar multiplication.
- The set,  $C$ , of all positive linear combinations of elements of  $X = \{x\}$ , is a convex cone, denoted by  $C = \text{cone}(X)$
- An element  $x$  is an extremal ray of  $C$ , if it cannot be split into two other elements in a nontrivial way:
  - if  $x = u + v$  and  $u, v \in C$ , then  $x = \lambda u$  or  $x = \lambda v$ ,  $\lambda > 0$
- Hahn-Banach separation theorem  $\rightarrow$  a convex cone is the intersection of half-spaces (supporting planes)
- Krein-Milman theorem: a salient cone  $C$  is a convex hull of its ERs.
- Salient: if the cone  $C$  does not contain a straight line.  
e.g.  $c \in C \Rightarrow -c \notin C$  (unless  $c=0$ )
- The set of PSD matrices is a convex cone. It's ERs are rank-1 symmetric matrices (1D projectors),  $M^{ij} = m^i m^j$
- The set of PSD matrices can be written as  $\text{cone}(m^i m^j)$

$$M^{ijkl} = \sum_X' \int_{(\epsilon\Lambda)^2}^{\infty} \frac{d\mu m_X^{ij} m_X^{kl}}{\pi(\mu - \frac{1}{2}M^2)^3} + (j \leftrightarrow l)$$

Let  $C$  be the set of all possible  $M^{ijkl}$ .  $C$  is a **salient convex cone**:

$$C = \text{cone} \left( \left\{ m^{ij} m^{kl} + m^{il} m^{kj}, m \in \mathbb{R}^{n^2} \right\} \right)$$

Salient because:

$$\delta^{ik} \delta^{jl} M^{ijkl} \geq 0$$

$$\forall M \in C$$

Instead of finding positivity bounds, might just directly look for the ERs, and take the convex hull.

If there is no  $(j \leftrightarrow l)$  term,  $C' = \text{cone} \left( \left\{ m^{ij} m^{kl}, m \in \mathbb{R}^{n^2} \right\} \right)$

- $c^{ijkl}$  being ER in  $C'$ , is a necessary condition for  $c^{ijkl} + c^{ilkj}$  to be ER in  $C$ .
- First find ERs of  $C'$ , then add  $(j \leftrightarrow l)$  to get potential ER (PER) of  $C$ , then discard the non ER ones.

$$\mathcal{C}' = \text{cone} \left( \left\{ m^{ij} m^{kl}, m \in \mathbb{R}^{n^2} \right\} \right)$$

is the cone of  $n^2 \times n^2$  PSD matrices. ERs are simply  $m^{ij} m^{kl}$ , or 1-D projectors.

Physics interpretation? For  $M^{ijkl}$  to be ER:

$$M^{ijkl} = \sum_X' \int_{(\epsilon\Lambda)^2}^{\infty} \frac{d\mu m_X^{ij} m_X^{kl}}{\pi(\mu - \frac{1}{2}M^2)^3} + (j \leftrightarrow l)$$

(Integration of ERs) = ER,  
implies all ERs are parallel!

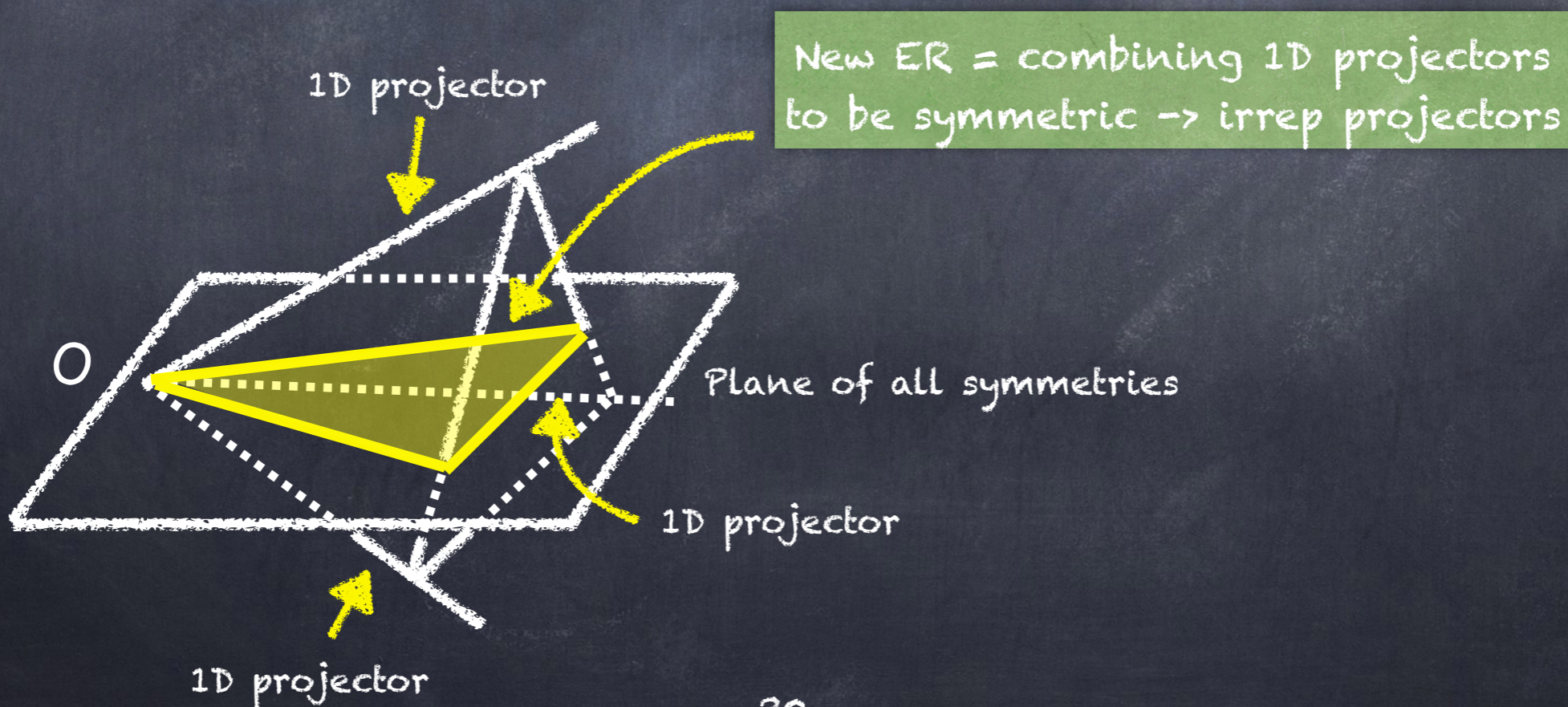
$m_X$  is a function of  $s$  and phase space of  $X$ ,  $\Pi_X$ .

- (Integration of ERs) = ER implies that all ERs are parallel.
- i.e.,  $m_X$  can only have a factorized dependence on  $s$ ,  $\Pi_X$ .

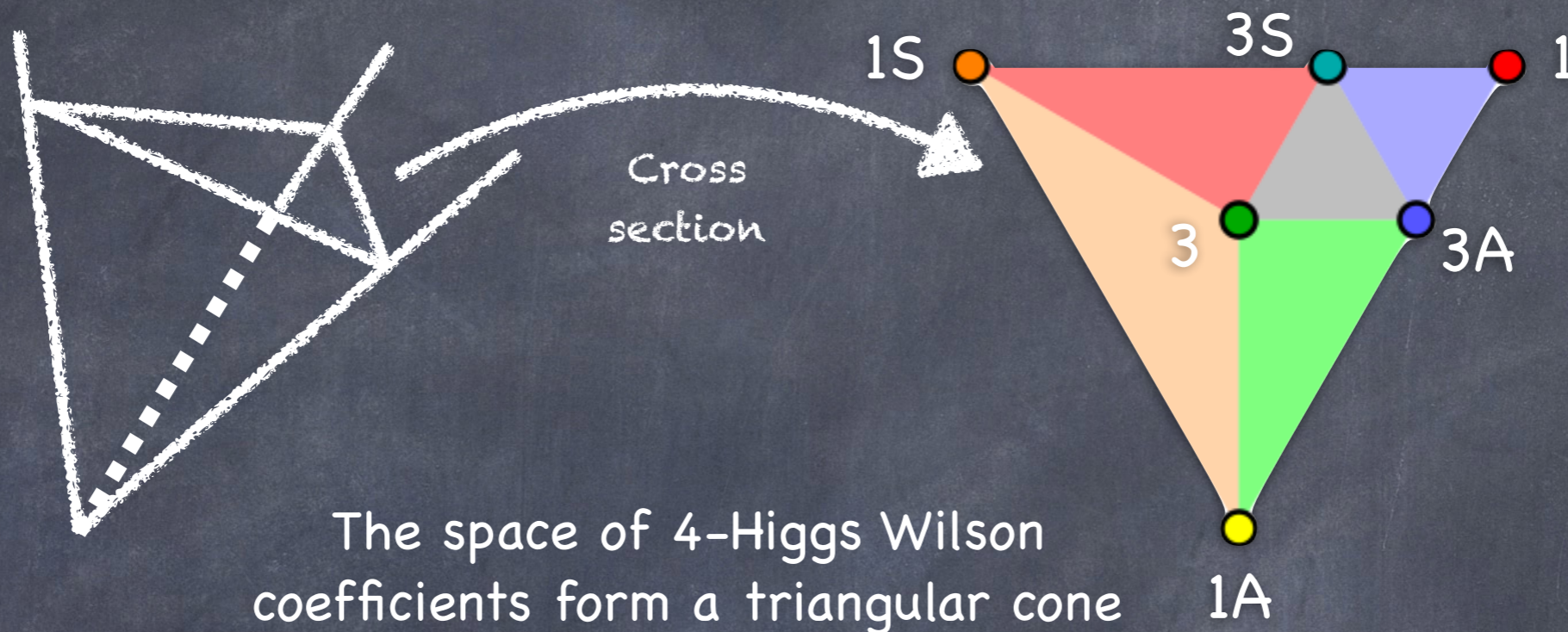
$$m_X^{ij}(s, \Pi_X) = f(s, \Pi_X) m^{ij}$$

- **Simplest case:  $X$  is a one particle state  $\rightarrow$  Summation and integration vanish. I.e. PERs are one particle extensions of SM.**

- SMEFT has a number of symmetries
  - Internal (e.g. gauge) symmetries of  $i, j, k, l$
  - Rotation around forward direction,  $SO(2)$  of transverse polarization.
- With symmetries, instead of 1-D projectors, the PERs are projectors of the irrep of  $r_i \times r_j$ . (Obtain from CG coefs)
- ▶ PERs are one **multiplet (w.r.t. SM symmetries) particle** extensions of SM.



# The Higgs triangular cone



- 3 HHHH operators

$$\begin{aligned}
 O_{S,0} &= [(D_\mu \Phi)^\dagger D_\nu \Phi] \times [(D^\mu \Phi)^\dagger D^\nu \Phi] \\
 O_{S,1} &= [(D_\mu \Phi)^\dagger D^\mu \Phi] \times [(D_\nu \Phi)^\dagger D^\nu \Phi] \\
 O_{S,2} &= [(D_\mu \Phi)^\dagger D_\nu \Phi] \times [(D^\nu \Phi)^\dagger D^\mu \Phi]
 \end{aligned}$$

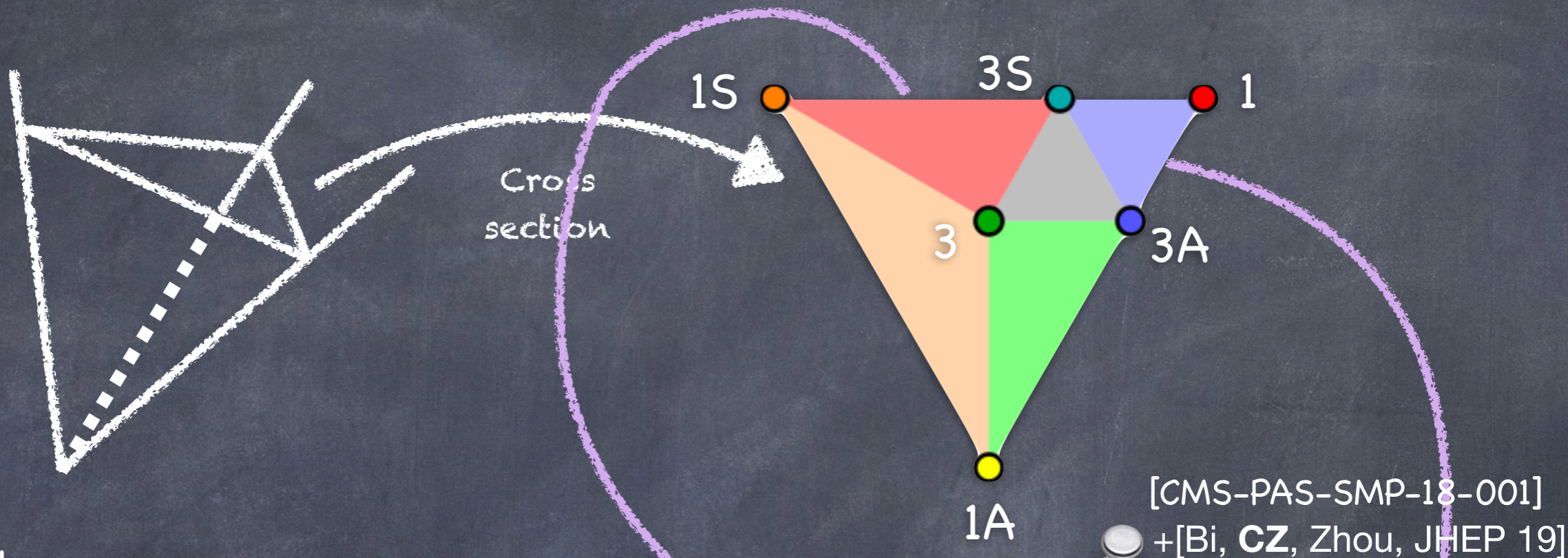
- HH can form 6 irreps.

- Each can be generated by integrating out "1 particle"

$$\begin{aligned}
 \mathcal{L} &= g_1 (H^T \epsilon \overleftrightarrow{D}_\mu H) V_1^{\mu\dagger} + g_{1S} (H^\dagger H) S_1 \\
 &+ ig_{1A} (H^\dagger \overleftrightarrow{D}_\mu H) V_2^\mu + g_3 (H^T \epsilon \tau^I H) S_2^{I\dagger} \\
 &+ g_{3S} (H^\dagger \tau^I H) S_3^I + ig_{3A} (H^\dagger \tau^I \overleftrightarrow{D}_\mu H) V_3^{\mu I} + h.c.
 \end{aligned}$$

- 6 PERs, 3 are linearly independent, 3 are extremal

# The Higgs triangular cone



We learned

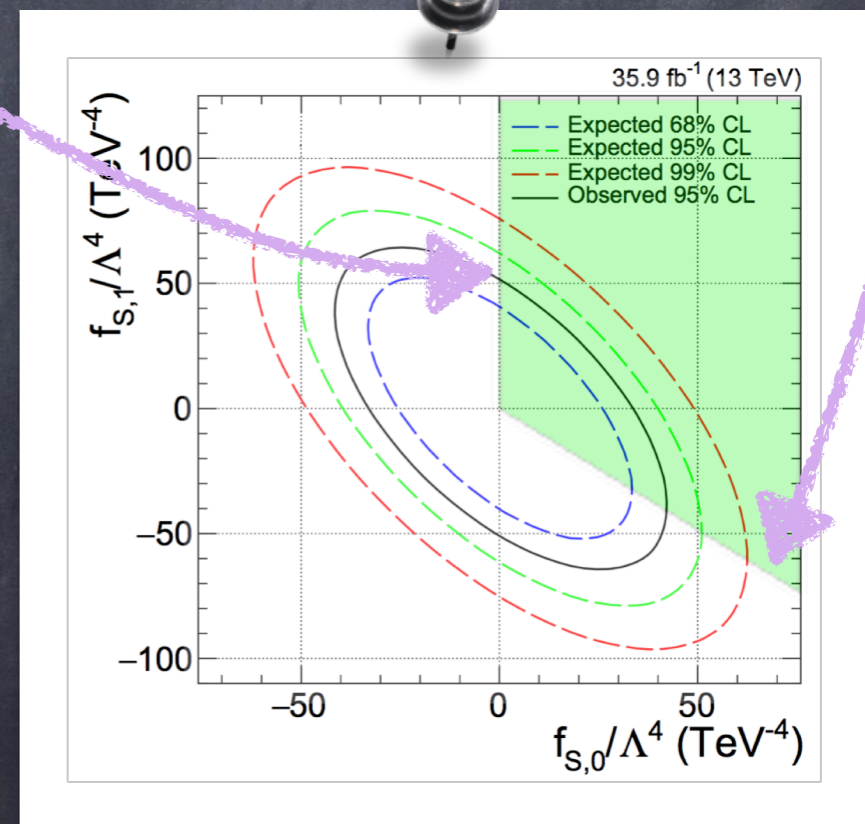
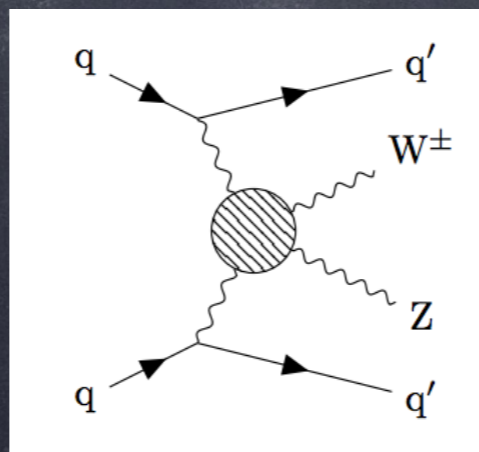
- Positivity bounds: faces of this cone

$$f_{S,0} \geq 0$$

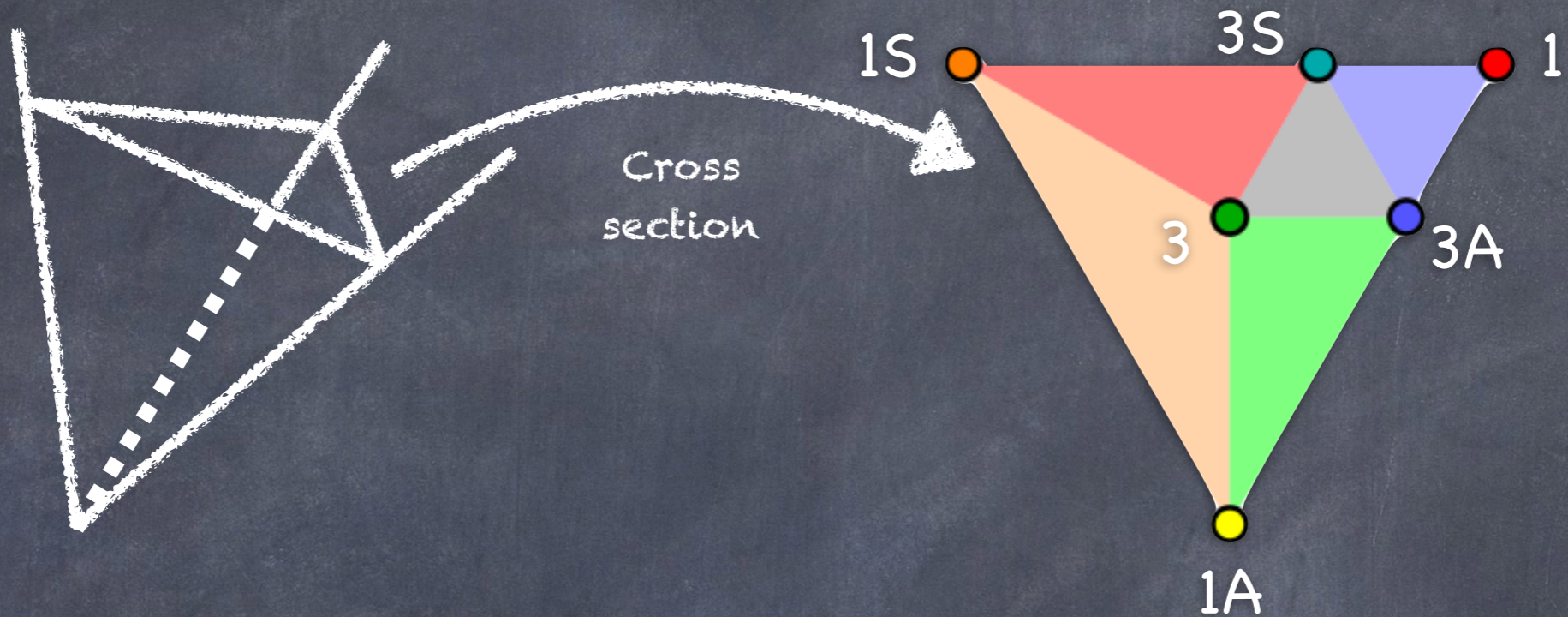
$$f_{S,0} + f_{S,2} \geq 0$$

$$f_{S,0} + f_{S,1} + f_{S,2} \geq 0$$

(i.e. longitudinal  
4-gauge boson  
couplings)



# The Higgs triangular cone



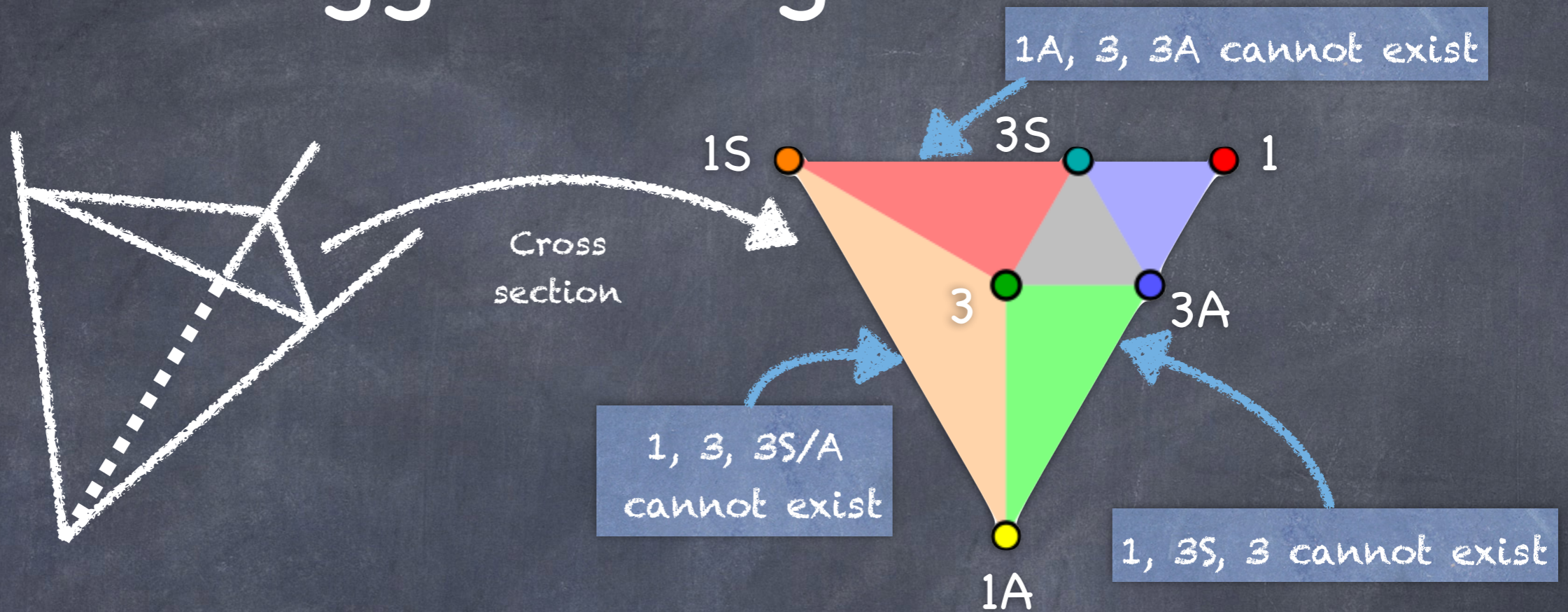
- Infer UV state from measurements, from measured coefficients  $C=(C_1,C_2,\dots)$
- E.g. if  $C = ER \Rightarrow UV$  is uniquely determined.
- E.g.  $C$  in blue region  $\Rightarrow$  particle 1 must exist.
- Can be quantified, e.g. setting lower bound etc.

1, 1S, 1A, 3, 3S, 3A are defined by

$$\begin{aligned} \mathcal{L} = & g_1(H^T \epsilon \overleftrightarrow{D}_\mu H) V_1^{\mu\dagger} + g_{1S}(H^\dagger H) S_1 \\ & + i g_{1A}(H^\dagger \overleftrightarrow{D}_\mu H) V_2^\mu + g_3(H^T \epsilon \tau^I H) S_2^{I\dagger} \\ & + g_{3S}(H^\dagger \tau^I H) S_3^I + i g_{3A}(H^\dagger \tau^I \overleftrightarrow{D}_\mu H) V_3^{\mu I} + h.c. \end{aligned}$$



# The Higgs triangular cone

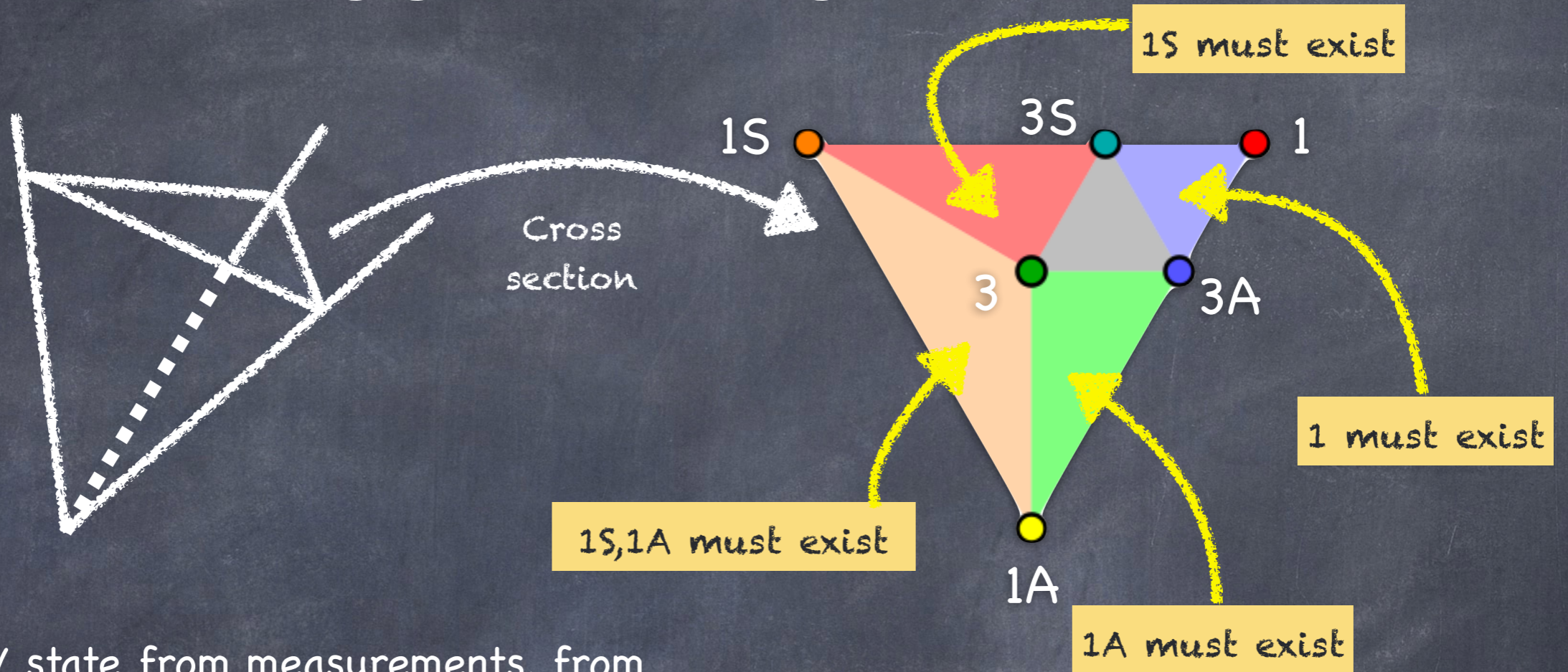


- Infer UV state from measurements, from measured coefficients  $C=(C_1, C_2, \dots)$
- E.g. if  $C = ER \Rightarrow UV$  is uniquely determined.
- E.g.  $C$  in blue region  $\Rightarrow$  particle 1 must exist.
- Can be quantified, e.g. setting lower bound etc.

1, 1S, 1A, 3, 3S, 3A are defined by

$$\begin{aligned} \mathcal{L} = & g_1(H^T \epsilon \overleftrightarrow{D}_\mu H) V_1^{\mu\dagger} + g_{1S}(H^\dagger H) S_1 \\ & + i g_{1A}(H^\dagger \overleftrightarrow{D}_\mu H) V_2^\mu + g_3(H^T \epsilon \tau^I H) S_2^{I\dagger} \\ & + g_{3S}(H^\dagger \tau^I H) S_3^I + i g_{3A}(H^\dagger \tau^I \overleftrightarrow{D}_\mu H) V_3^{\mu I} + h.c. \end{aligned}$$

# The Higgs triangular cone

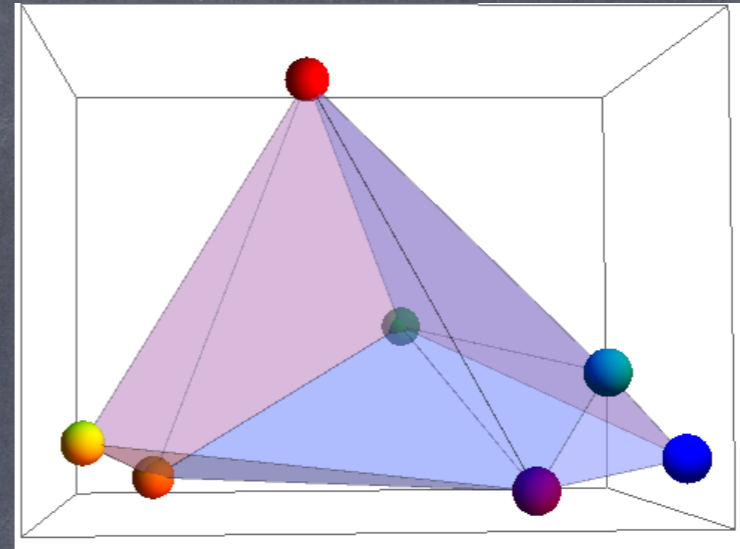


- Infer UV state from measurements, from measured coefficients  $C=(C_1, C_2, \dots)$
- E.g. if  $C = ER \Rightarrow UV$  is uniquely determined.
- E.g.  $C$  in blue region  $\Rightarrow$  particle 1 must exist.
- Can be quantified, e.g. setting lower bound etc.

1, 1S, 1A, 3, 3S, 3A are defined by

$$\begin{aligned} \mathcal{L} = & g_1(H^T \epsilon \overleftrightarrow{D}_\mu H) V_1^{\mu\dagger} + g_{1S}(H^\dagger H) S_1 \\ & + i g_{1A}(H^\dagger \overleftrightarrow{D}_\mu H) V_2^\mu + g_3(H^T \epsilon \tau^I H) S_2^{I\dagger} \\ & + g_{3S}(H^\dagger \tau^I H) S_3^I + i g_{3A}(H^\dagger \tau^I \overleftrightarrow{D}_\mu H) V_3^{\mu I} + h.c. \end{aligned}$$

# W-boson polyhedral cone



- The W boson has 6 components. [3 of SU(2), 2 of SO(2)].
- 9 PERs, 8 are extremal, 5 linearly independent. → 5D polyhedral cone with 8 edges.

Operators:

$$\begin{array}{l}
 O_{T,0} = \text{Tr} \begin{bmatrix} \hat{W}_{\mu\nu} \hat{W}^{\mu\nu} \\ \hat{W}_{\alpha\nu} \hat{W}^{\mu\beta} \\ \hat{W}_{\alpha\mu} \hat{W}^{\mu\beta} \end{bmatrix} \times \text{Tr} \begin{bmatrix} \hat{W}_{\alpha\beta} \hat{W}^{\alpha\beta} \\ \hat{W}_{\mu\beta} \hat{W}^{\alpha\nu} \\ \hat{W}_{\beta\nu} \hat{W}^{\nu\alpha} \end{bmatrix} \\
 O_{T,1} = \text{Tr} \begin{bmatrix} \hat{W}_{\mu\nu} \hat{W}^{\mu\nu} \\ \hat{W}_{\alpha\nu} \hat{W}^{\mu\beta} \\ \hat{W}_{\alpha\mu} \hat{W}^{\mu\beta} \end{bmatrix} \times \text{Tr} \begin{bmatrix} \hat{W}_{\alpha\beta} \hat{W}^{\alpha\beta} \\ \hat{W}_{\mu\beta} \hat{W}^{\alpha\nu} \\ \hat{W}_{\beta\nu} \hat{W}^{\nu\alpha} \end{bmatrix} \\
 O_{T,2} = \text{Tr} \begin{bmatrix} \hat{W}_{\mu\nu} \hat{W}^{\mu\nu} \\ \hat{W}_{\alpha\nu} \hat{W}^{\mu\beta} \\ \hat{W}_{\alpha\mu} \hat{W}^{\mu\beta} \end{bmatrix} \times \text{Tr} \begin{bmatrix} \hat{W}_{\alpha\beta} \hat{W}^{\alpha\beta} \\ \hat{W}_{\mu\beta} \hat{W}^{\alpha\nu} \\ \hat{W}_{\beta\nu} \hat{W}^{\nu\alpha} \end{bmatrix}
 \end{array}$$

and more...

- Bounds (on transverse QGCs) are **tighter** than positivity from  $u^i v^j u^{*k} v^{*l} M^{ijkl} \geq 0$
- $$\begin{aligned}
 C_{T,2} &\geq 0, \quad 4C_{T,1} + C_{T,2} \geq 0, \\
 C_{T,2} + 8C_{T,10} &\geq 0, \quad 8C_{T,0} + 4C_{T,1} + 3C_{T,2} \geq 0,
 \end{aligned}$$

$$\begin{aligned}
 12C_{T,0} + 4C_{T,1} + 5C_{T,2} + 4C_{T,10} &\geq 0, \\
 4C_{T,0} + 4C_{T,1} + 3C_{T,2} + 12C_{T,10} &\geq 0.
 \end{aligned}$$

Cannot be derived from  $uvuv.M$

# W-boson polyhedral cone

- Consider  $T^{ijkl} = T^{ilkj} \in \mathbb{R}^{(6^4)}$ ,  $T^{(ij),(kl)} \succeq 0$  is 36x36 matrix

$$T^{ijkl} m^{ij} m^{kl} = T^{ijkl} m^{il} m^{kj} \geq 0 \quad \Rightarrow \quad T^{ijkl} M^{ijkl} \geq 0$$

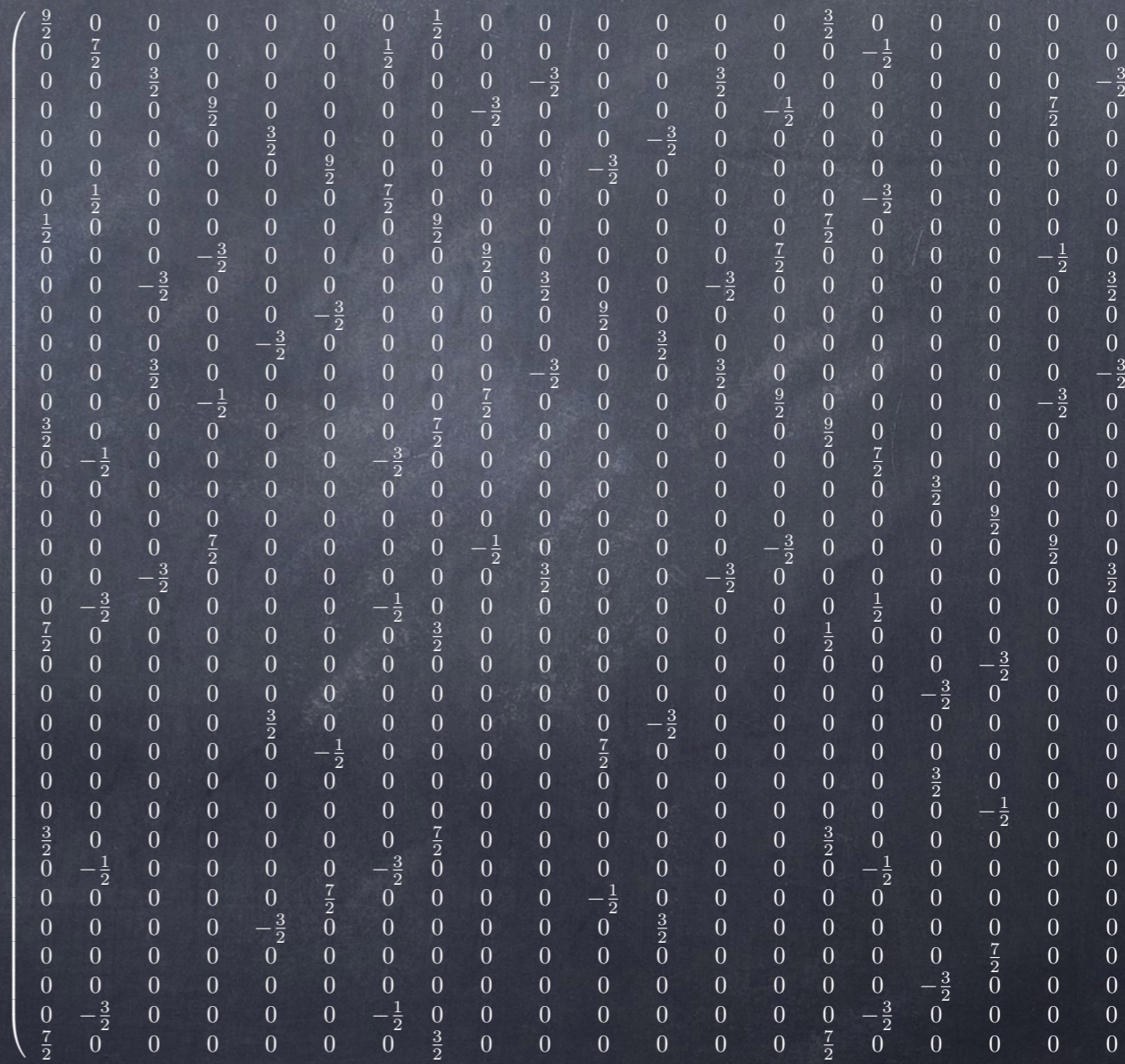
- If  $T^{ijkl} \neq \sum_{\alpha} \rho_{\alpha} u_{\alpha}^i v_{\alpha}^j u_{\alpha}^k v_{\alpha}^l$ ,  $\rho_{\alpha} \geq 0$

then we have a new bound not covered by  $u^i v^j u^{*k} v^{*l} M^{ijkl} \geq 0$

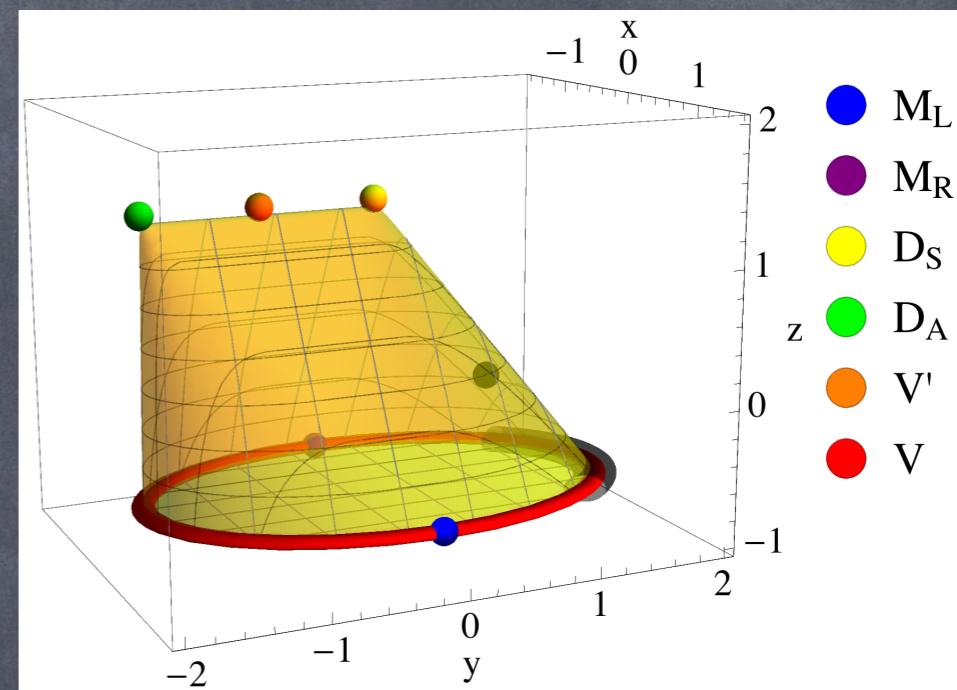
- The ER approach gives two such matrices  $\Rightarrow$

- Eigenvalues are 15,10,10,10,6,6,6,6,6,6,6,6,6,5,2,2,2,2,2 plus 16 0's.

- Same T's apply to other theories.



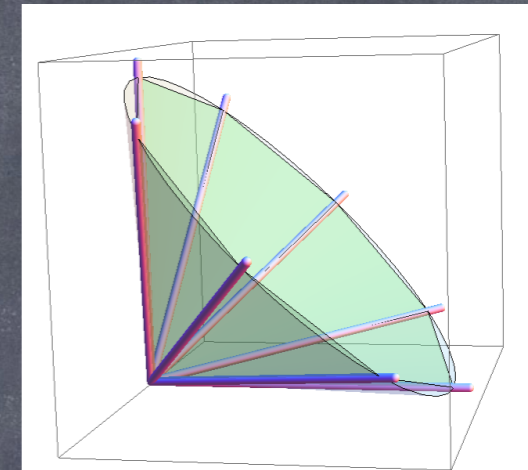
# The Fermion cone



- Chiral fermions, FL and FR. 4 F<sup>4</sup>DD operators. May couple to new state via
  - > Majorana-like scalar coupling (M<sub>L</sub>, M<sub>R</sub>)
  - > Dirac-like scalar coupling (D<sub>S</sub>, D<sub>A</sub>)
  - > Vector coupling from same chirality (V) and opposite (V')
- May infer UV state and couplings: Assume the black dot is measured. If the small arc (black) on V is removed, the convex hull of the rest PERs does not contain the point.
- => V/A type coupling must exist,  $|g_A/g_V| < 0.35$

**Numerically:** might as well directly determine if a given point is included in the convex hull of all ERs (convex inclusion)

- Infinite number of (potential) ERs, but numerically, we sample them with a large number (N of order 100~1000) of discrete ERs, i.e. polyhedral cone inscribed to a "circular" cone.



- The inclusion determination is equivalent to a linear programming:

$$\begin{aligned} &\text{minimize} && 0 \\ &\text{subject to} && \sum_i w_i \vec{e}_{N,i} = \vec{f}, \quad w_i \geq 0 \end{aligned}$$

where  $e$ 's are the ERs,  $f$  is the given point,  $w$ 's are real numbers

- Can be done efficiently with classic programming algorithms.
- Volume:  $\sim 0.681\%$  (  $1 - 79.3/N^2$  )
- The true volume seems to be 0.681%.

