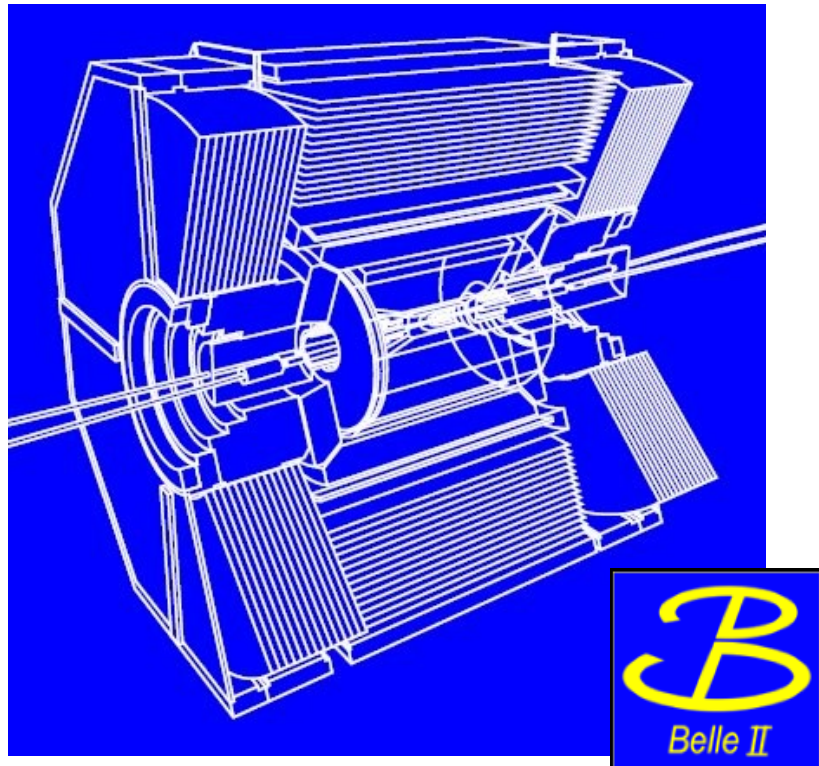


LFV+LFU in neutral-current b/c decays at Belle II

"penguin highway and the third lepton"



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2020/09/28

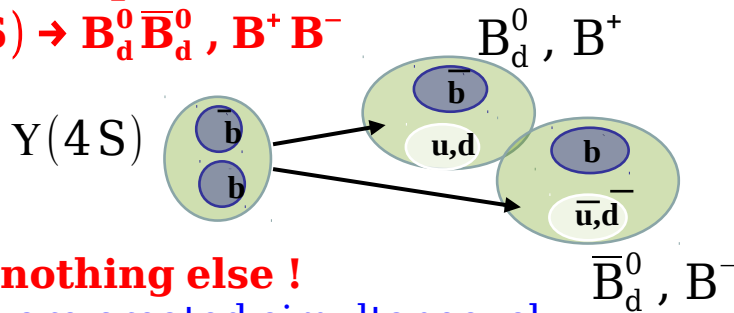
Belle II, a flavour-factory, a rich physics program...

- We plan to collect (**at least**) 50 ab^{-1} of $e^+ e^-$ collisions at (or close to) the $Y(4S)$ resonance, so that we have:

– a (Super) B-factory ($\sim 1.1 \times 10^9 \text{ B}\bar{\text{B}}$ pairs per ab^{-1})

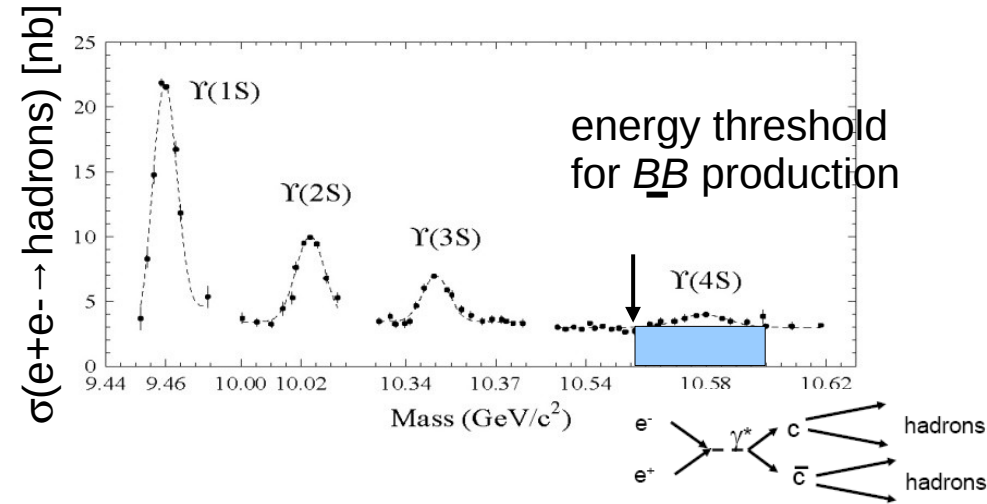
"on resonance" production

$$e^+ e^- \rightarrow Y(4S) \rightarrow \text{B}_d^0 \bar{\text{B}}_d^0, \text{B}^+ \text{B}^-$$



- **2 B's and nothing else !**

- 2 B mesons are created simultaneously in a $L=1$ coherent state



– a (Super) charm factory ($\sim 1.3 \times 10^9 \text{ c}\bar{\text{c}}$ pairs per ab^{-1})

(but also charmonium, X, Y, Z, pentaquarks, tetraquarks, bottomonium ...)

– a (Super) τ factory ($\sim 0.9 \times 10^9 \tau^+ \tau^-$ pairs per ab^{-1})

– exploit the clean $e^+ e^-$ environment to probe the existence of exotic hadrons, dark photons/Higgs, light Dark Matter particles, ALPs, LLPs ...

SuperKEKB, the first new collider in particle physics since the LHC in 2008 (electron-positron ($e^+ e^-$) rather than proton-proton (p-p))

Phase 1

Background, Optics commissioning
Feb - June 2016

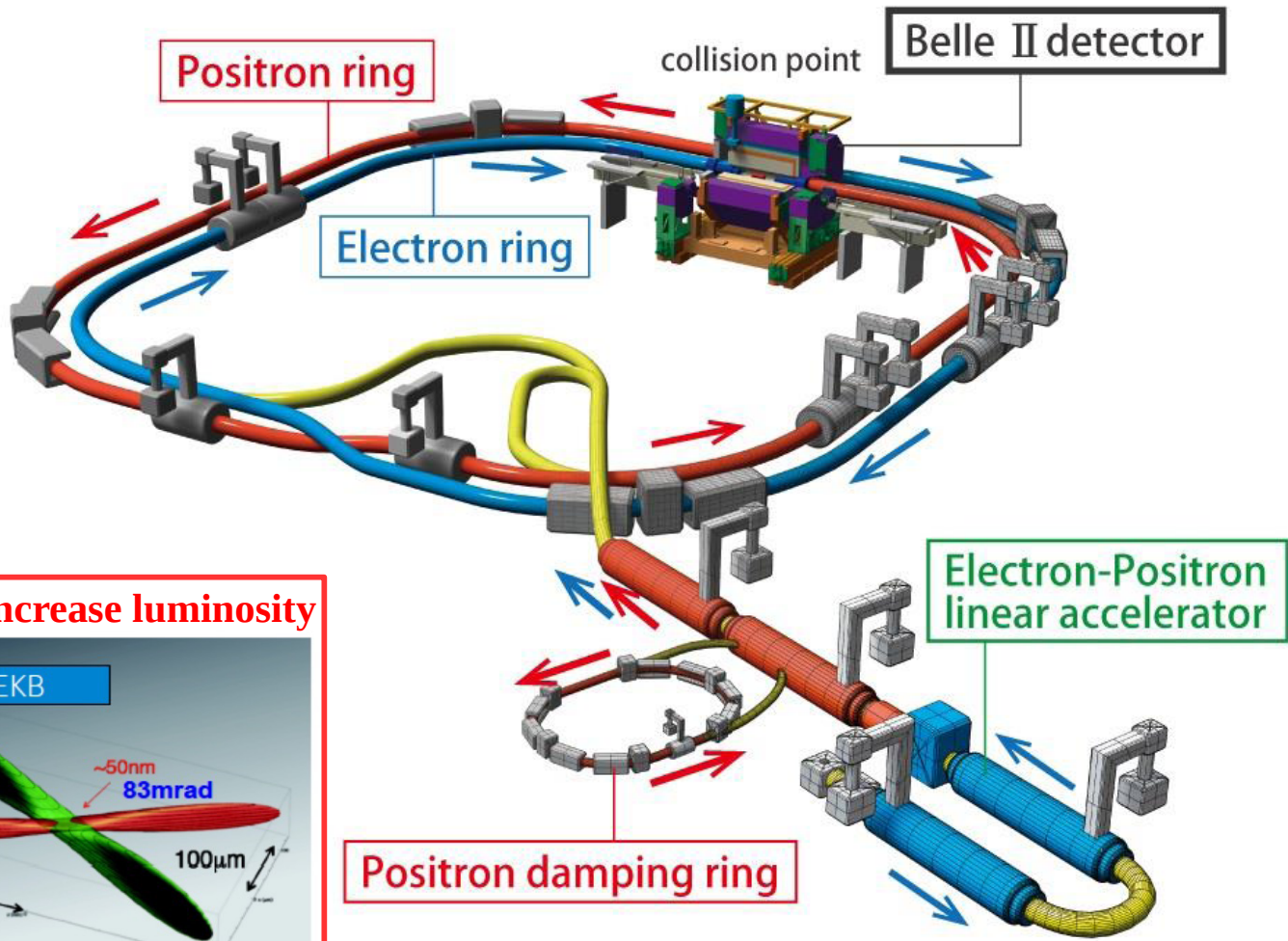
Brand new 3km positron ring

Phase 2: Pilot run

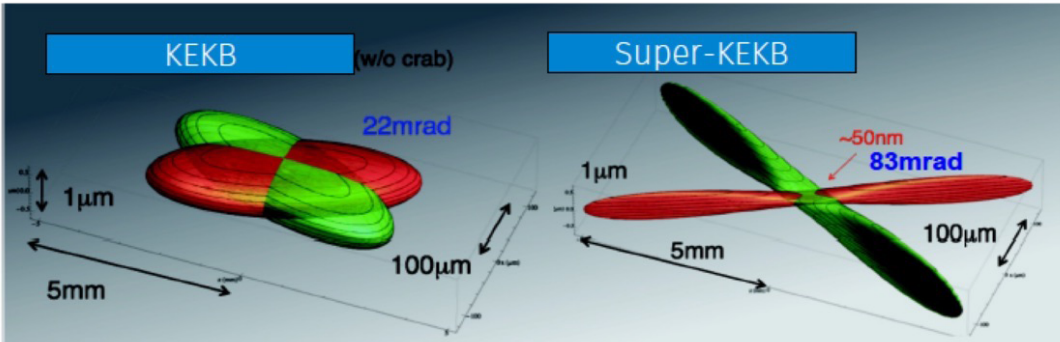
Superconducting Final Focus
add positron damping ring
First Collisions (0.5 fb^{-1})
April 27 - July 17, 2018

Phase 3: Physics run

Since April, 2019



Nano-beams and more beam current to increase luminosity



	E (GeV)	β^*_y (mm)	β^*_x (cm)	ϕ (mrad)	I (A)	L ($\text{cm}^{-2}\text{s}^{-1}$)
	LER/HER	LER/HER	LER/HER		LER/HER	
KEKB	3.5/8.0	5.9/5.9	120/120	11	1.6/1.2	2.1×10^{34}
SuperKEKB	4.0/7.0	0.27/0.30	3.2/2.5	41.5	3.6/2.6	80×10^{34}

factor 20

factor 2-3

\Rightarrow to reach $8 \times 10^{35} \text{ cm}^{-2} \text{ s}^{-1}$
 \Rightarrow cumulate 50 ab^{-1} by ~ 2030

SuperKEKB/Belle II status

- successfully introduced this spring, crab waist for LER/HER
- despite difficult conditions, continued to take data since March !

record of KEKB/Belle
 $2.1 \times 10^{34}/\text{cm}^2/\text{s}$ currents > 1 A
record of PEP-II/BaBar
 $1.2 \times 10^{34}/\text{cm}^2/\text{s}$ currents > 2 A

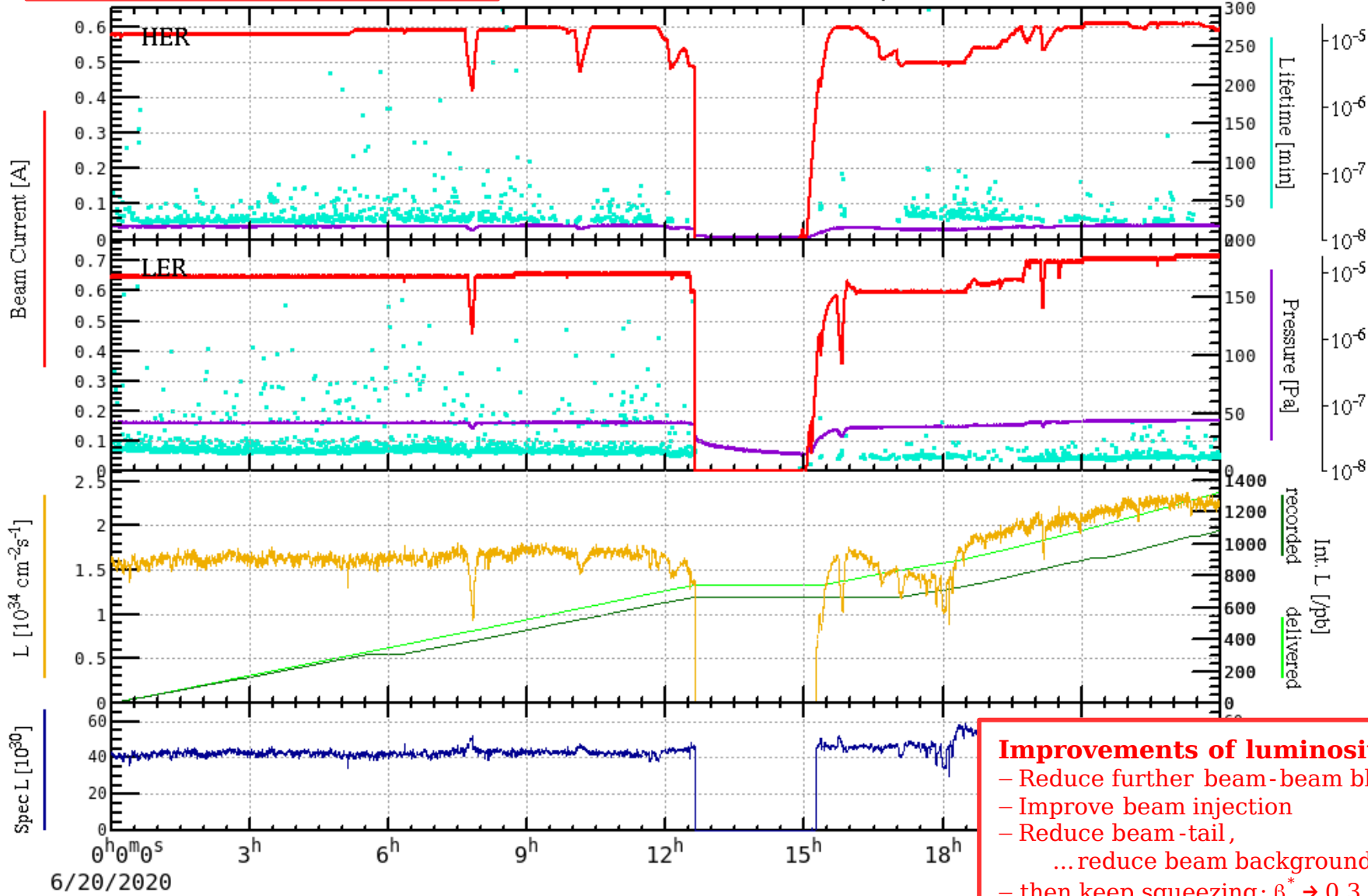
beyond to $2.4 \times 10^{34}/\text{cm}^2/\text{s}$!
> 1 fb^{-1} per day
June 20, 2020

Peak L	2.393 [$10^{34}/\text{cm}^2/\text{s}$]	@ 2020-06-20 23:18
Int. L/day	1084.64 / 1324.42 [pb]	

currents ~ 0.6–0.7 A

HER I_{peak} :	610.1 [mA]	β_{xy}^* :	60./ 1.00 [mm]	n_b :	978	Physics Run
LER I_{peak} :	720.5 [mA]	β_{xy}^* :	80./ 1.00 [mm]	n_b :	978	Physics Run

06/19/2020 23:59 - 06/20/2020 23:59 JST



Improvements of luminosity performance

- Reduce further beam-beam blowup
- Improve beam injection
- Reduce beam-tail, ...reduce beam background
- then keep squeezing: $\beta_y^* \rightarrow 0.3 \text{ mm}$

Belle II detector

EM Calorimeter: CsI(Tl)
waveform sampling

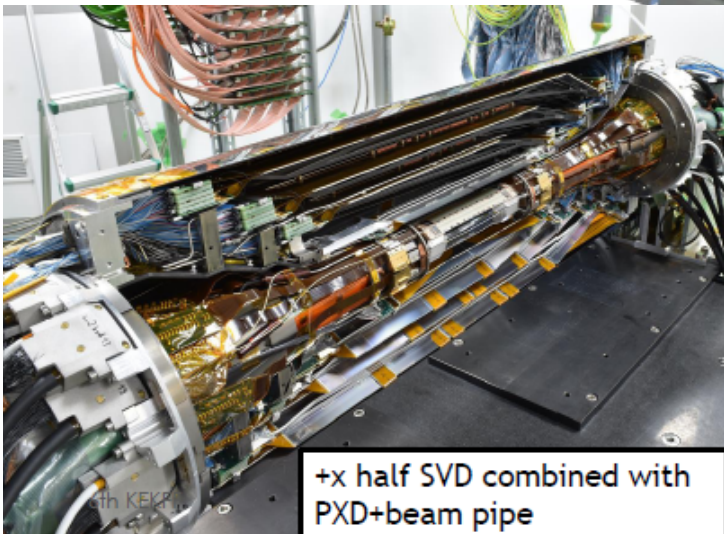
K_L and muon detector
Resistive Plate Counter (barrel)
Scintillator + WLSF + MPPC
(endcaps)

Vertex Detector
1/2 layers DEPFET
+
4 layers DSSD

Particle Identification
Time-Of-Propagation
counter (barrel)
Prox. focusing Aerogel RICH

Central Drift Chamber
He (50%):C₂H₆ (50%)
small cells, long level arm,
fast electronics

Installation of Vertex Detector (Fall 2018)



on-going DAQ upgrade
(to be installed in 2020-2021)
PCIe 40 board, capable of reading via
high speed optical links and to write
to computer at rate of 100 Gb/s:
limited number of boards (20) enough
to read entire Belle II detector
(P. Robbe, D. Charlet et al)

considering now VTX upgrade (2025 or later)
(also luminometer LumiBelle2, P. Bambade et al)

Belle(II), LHCb side by side

Belle (II)

$$e^+ e^- \rightarrow Y(4S) \rightarrow b\bar{b}$$

at Y(4S): 2 B's (B⁰ or B⁺) and nothing else \Rightarrow clean events

(flavour tagging, B tagging, missing energy)

$$\sigma_{b\bar{b}} \sim 1 \text{ nb} \Rightarrow 1 \text{ fb}^{-1} \text{ produces } 10^6 \text{ B}\bar{\text{B}}$$

$$\sigma_{b\bar{b}}/\sigma_{\text{total}} \sim 1/4$$

b \bar{b} production cross-section at LHCb $\sim 500,000 \times$ BaBar/Belle !!

mean decay length $\beta\gamma c\tau \sim 200 \mu\text{m}$

B mesons live relatively long

data taking period(s)

$$[1999-2010] = 1 \text{ ab}^{-1}$$

$$[2019-...] = \dots$$

(near) future

$$[\text{Belle II from 2019}] \rightarrow 50 \text{ ab}^{-1}$$

LHCb

$$pp \rightarrow b\bar{b}X$$

production of B⁺, B⁰, B_s, B_c, Λ_b ...

but also a lot of other particles in the event

\Rightarrow lower reconstruction efficiencies

$\sigma_{b\bar{b}}$ much higher than at the Y(4S)

	\sqrt{s} [GeV]	$\sigma_{b\bar{b}}$ [nb]	$\sigma_{b\bar{b}}/\sigma_{\text{tot}}$
HERA pA	42 GeV	~ 30	$\sim 10^{-6}$
Tevatron	2 TeV	5000	$\sim 10^{-3}$
LHC	8 TeV	$\sim 3 \times 10^5$	$\sim 5 \times 10^{-3}$
	14 TeV	$\sim 6 \times 10^5$	$\sim 10^{-2}$

$\sigma_{b\bar{b}}/\sigma_{\text{total}}$ much lower than at the Y(4S)

\Rightarrow lower trigger efficiencies

mean decay length $\beta\gamma c\tau \sim 7 \text{ mm}$

(displaced vertices)

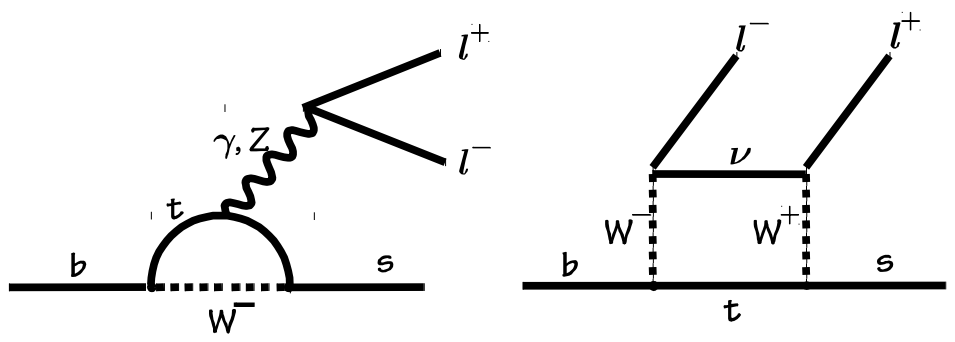
$$[\text{run I: 2010-2012}] = 3 \text{ fb}^{-1},$$

$$[\text{run II: 2015-2018}] = 6 \text{ fb}^{-1}$$

$$[\text{LHCb upgrade from 2021}]$$

Lepton (non) universality using $B^+ \rightarrow K^{(*)} l^+ l^-$ decays

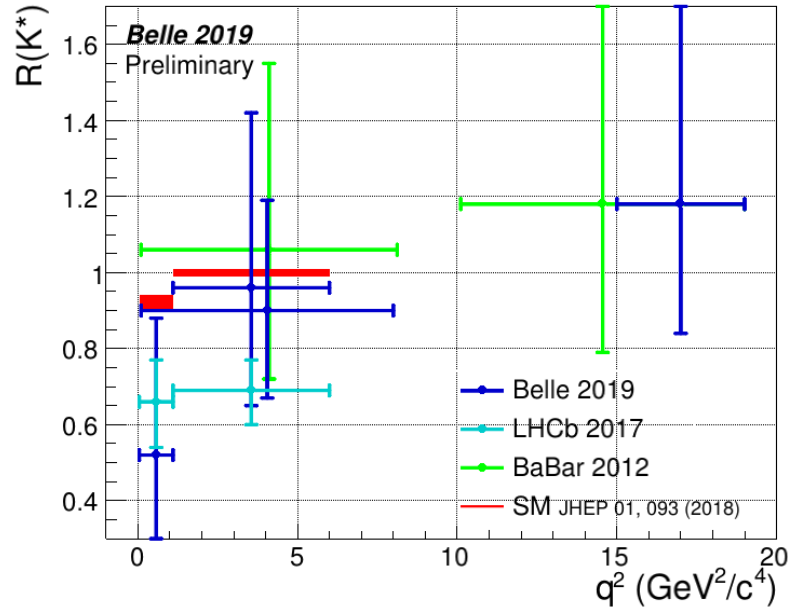
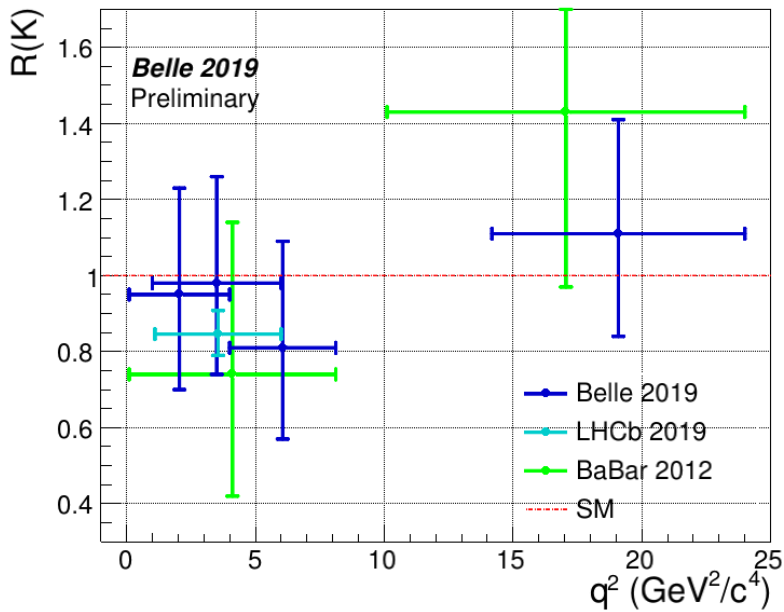
no evidence of New Physics in a series of "clean" flavor-changing observables, such as $\Delta F=2$, also $b \rightarrow sy$ but ...



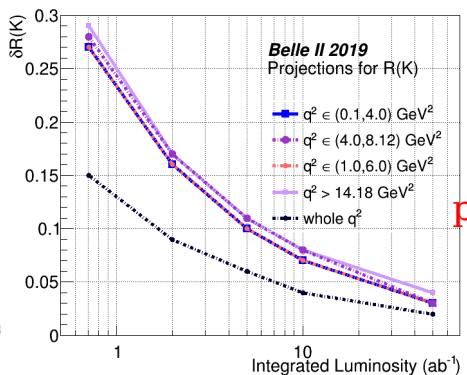
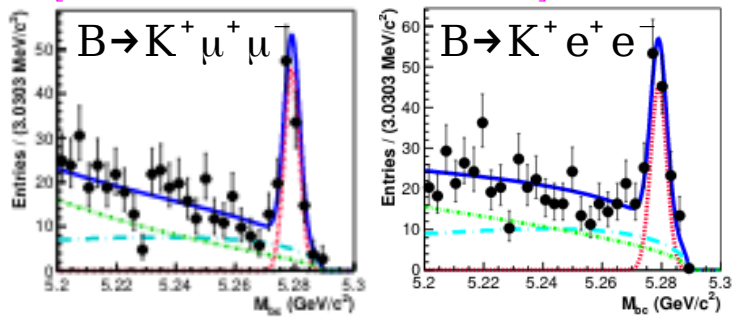
The "clean" Lepton Flavor Universality ratios:

$$R_{K^{(*)}} = \frac{\text{Br}(B \rightarrow K^{(*)} \mu \mu)}{\text{Br}(B \rightarrow K^{(*)} e e)}$$

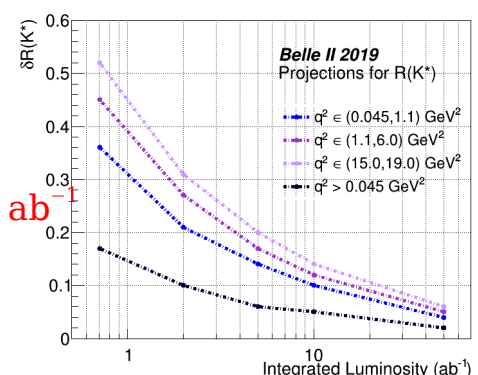
SM prediction very robust: $R_K(\text{SM}) = 1$
[up tiny QED and lepton mass effects]



[Belle, arXiv:1908.01848]



5 sigma confirmation possible with Belle II 20 ab⁻¹



Lepton (non) universality using $B^+ \rightarrow K^{(*)} l^+ l^-$ decays

Model candidates

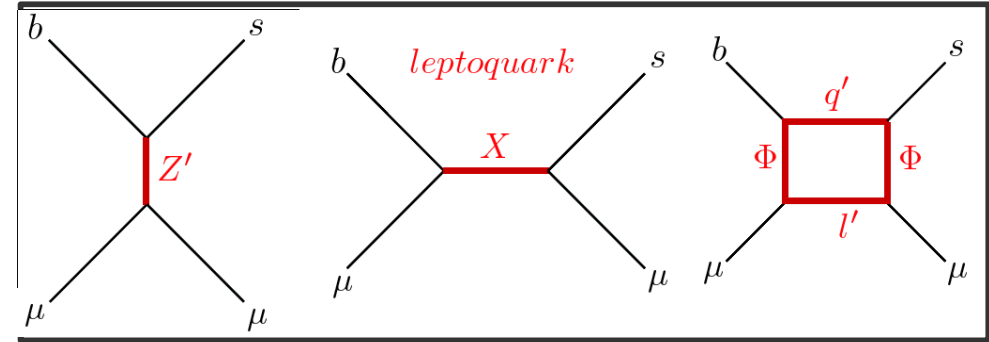
- ✓ Effective operator from Z' exchange
- ✓ Extra $U(1)$ symmetry with flavor dependent charge

✧ Models with leptoquarks

- ✓ Effective operator from LQ exchange
- ✓ Yukawa interaction with LQs provide flavor violation

✧ Models with loop induced effective operator

- ✓ With extended Higgs sector and/or vector like quarks/leptons
- ✓ Flavor violation from new Yukawa interactions



Leptoquarks are color-triplet bosons that carry both lepton and baryon numbers

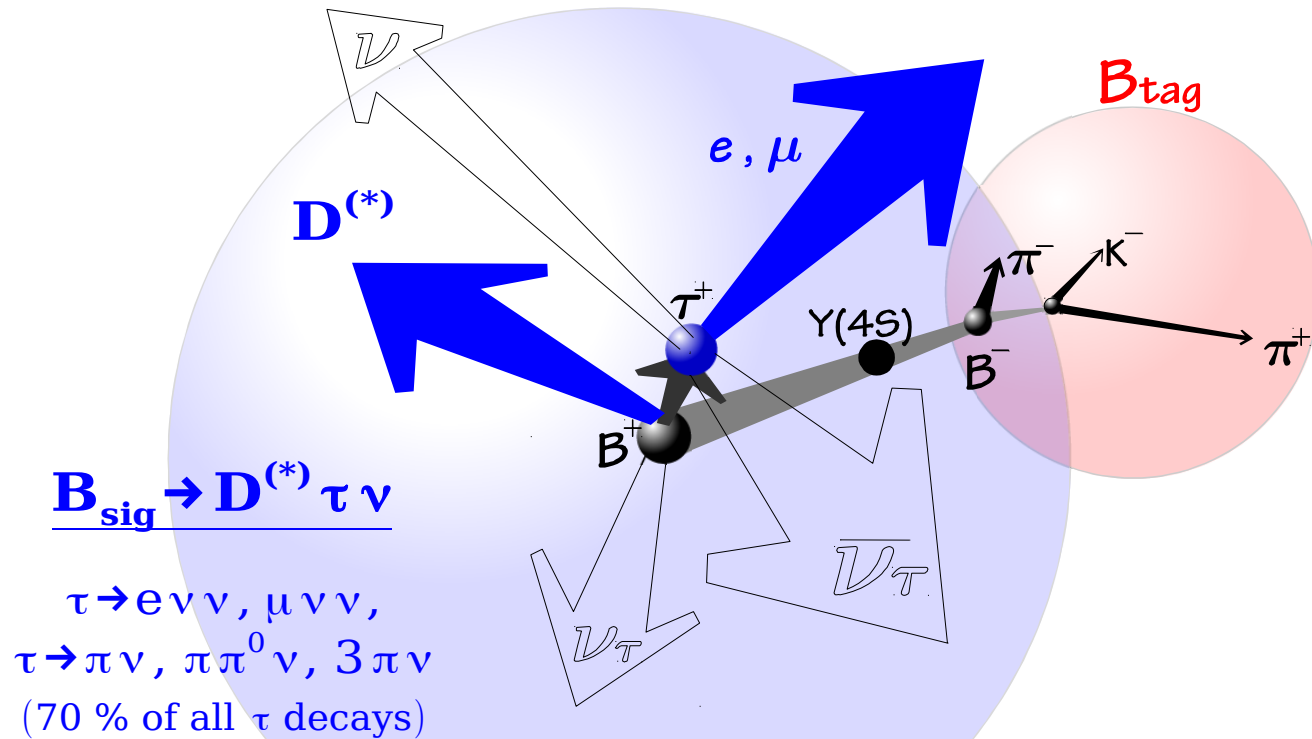
**Lot of those models predict also LFV
 $b \rightarrow s e \mu, b \rightarrow s e \tau, \dots$**

(see D. Becirevic, S. Descotes-Genon's work)

G. Isidori, FPCP 2020: correlations among $b \rightarrow s(d) l l'$ within the $U(2)$ -based EFT

	$\mu\mu (ee)$	$\tau\tau$	$\nu\nu$	$\tau\mu$	μe
$b \rightarrow s$	R_K, R_{K^*} $O(20\%)$	$B \rightarrow K^{(*)} \tau\tau$ $\rightarrow 100 \times SM$	$B \rightarrow K^{(*)} \nu\nu$ $O(1)$	$B \rightarrow K \tau\mu$ $\rightarrow 10^{-6}$	$B \rightarrow K \mu e$ $???$
$b \rightarrow d$	$B_d \rightarrow \mu\mu$ $B \rightarrow \pi \mu\mu$ $B_s \rightarrow K^{(*)} \mu\mu$ $O(20\%) [R_K = R_\pi]$	$B \rightarrow \pi \tau\tau$ $\rightarrow 100 \times SM$	$B \rightarrow \pi \nu\nu$ $O(1)$	$B \rightarrow \pi \tau\mu$ $\rightarrow 10^{-7}$	$B \rightarrow \pi \mu e$ $???$

Event reconstruction in $B \rightarrow D^{(*)} \tau \nu$ at B factories (another B anomaly !)



B_{tag}

hadronic tag

$B \rightarrow D^{(*)} \pi, D^{(*)} \rho \dots$

$\epsilon \sim 0.2\%$

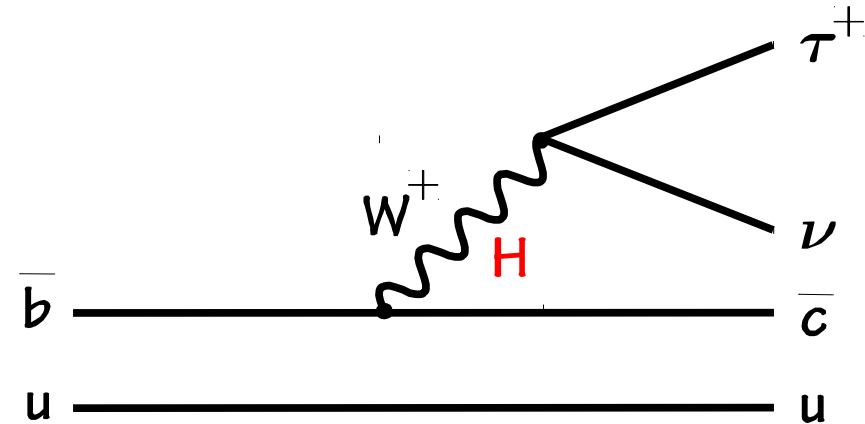
semileptonic tag

$B \rightarrow D^{(*)} l \nu X$

Require no particle and no energy left after removing B_{tag} and visible particles of B_{sig}

main signal-background discriminator

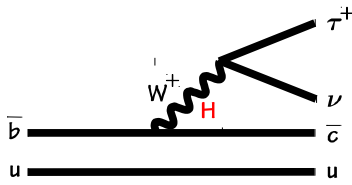
$$m_{\text{miss}}^2 = (\mathbf{p}_{ee} - \mathbf{p}_{\text{tag}} - \mathbf{p}_{D^{(*)}} - \mathbf{p}_1)^2$$



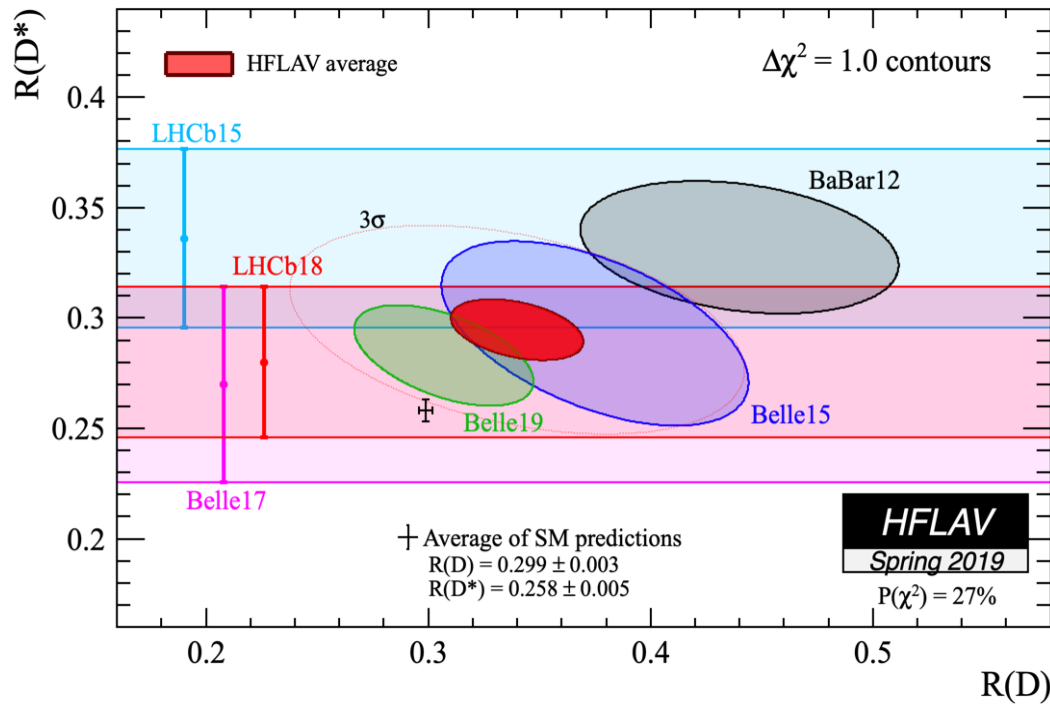
2HDM (type II): $B(B \rightarrow D \tau^+ \nu) = G_F^2 \tau_B |V_{cb}|^2 f(F_V, F_S, \frac{m_B^2}{m_{H^+}^2} \tan^2 \beta)$

uncertainties from form factors F_V and F_S can be studied with $B \rightarrow D l \nu$ (more form factors in $B \rightarrow D^* \tau \nu$)

Summary for $B \rightarrow D^{(*)} \tau \nu$



$$R(D^{(*)}) = \frac{\text{BF}(B \rightarrow D^{(*)} \tau \nu_{\tau})}{\text{BF}(B \rightarrow D^{(*)} l \nu_l)}$$



Belle 15
had tag

Belle 19
SL tag

BaBar

$$R(D) = 0.440 \pm 0.058 \pm 0.042$$

$$R(D^*) = 0.332 \pm 0.024 \pm 0.018$$

Belle

$$R(D) = 0.375 \pm 0.064 \pm 0.026$$

$$R(D^*) = 0.293 \pm 0.038 \pm 0.015$$

$$R(D^*) = 0.270 \pm 0.035^{+0.028}_{-0.025}$$

$$R(D) = 0.307 \pm 0.037 \pm 0.016$$

$$R(D^*) = 0.283 \pm 0.018 \pm 0.014$$

LHCb

$$R(D^*) = 0.336 \pm 0.027 \pm 0.030$$

$$R(D^*) = 0.280 \pm 0.018 \pm 0.029$$

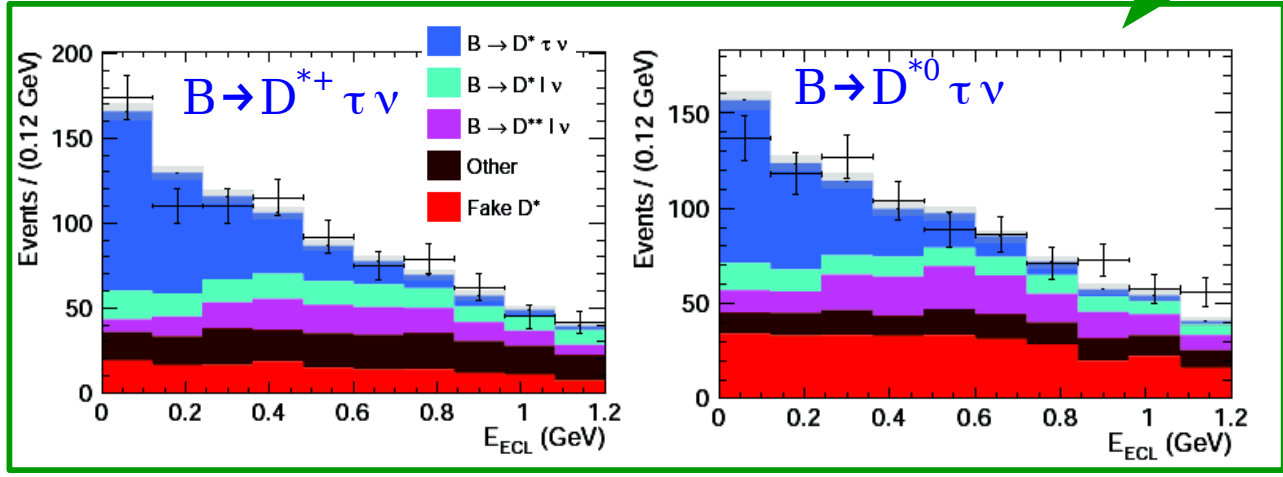
average

$$R(D) = 0.340 \pm 0.027 \pm 0.013$$

$$R(D^*) = 0.295 \pm 0.011 \pm 0.008$$

difference with SM predictions
is at 3 σ level

semi-leptonic tag, PRL 124, 161803 [arXiv:1904.08794]



Hadronic full reconstruction at Belle II

Particle	# channels (Belle)	# channels (Belle II)
$D^+/D^{*+}/D_s^+$	18	26
D^0/D^{*0}	12	17
B^+	17	29
B^0	14	26

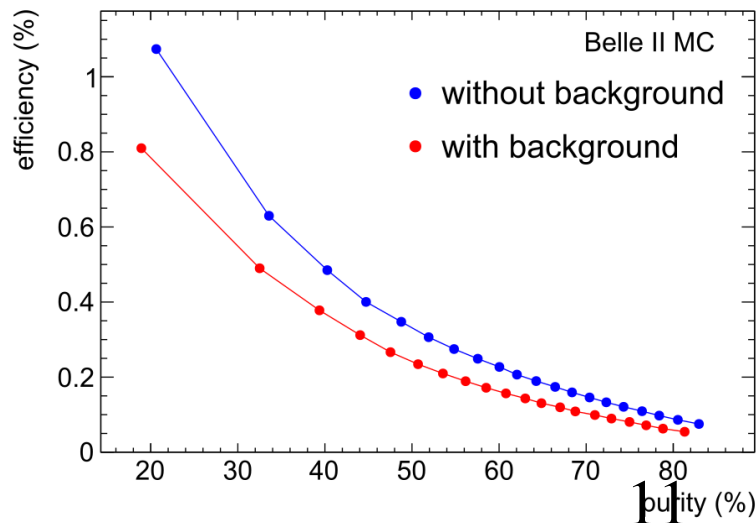
- More modes used for tag-side hadronic B than Belle, multiple classifiers

Algorithm	MVA	Efficiency	Purity
Belle v1 (2004)	Cut based (Vcb)		
Belle v3 (2007)	Cut based	0.1	0.25
Belle NB (2011)	Neurobayes	0.2	0.25
Belle II FEI (2017)	Fast BDT	0.5	0.25

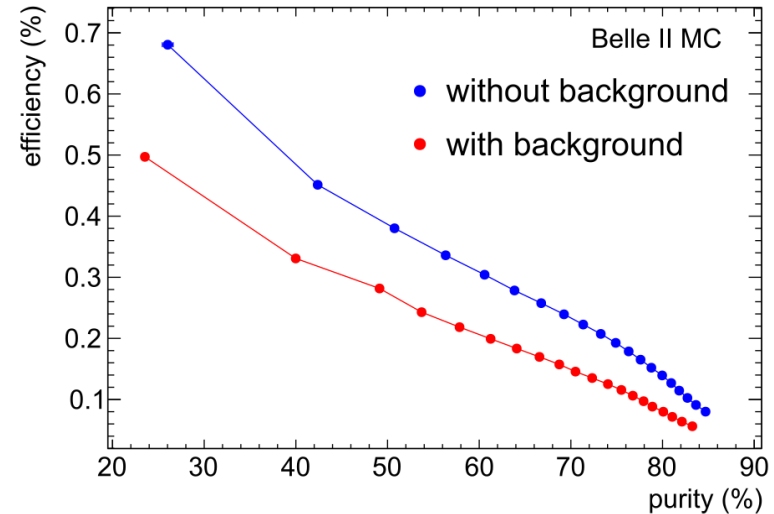
- Good performances on Belle II predicted beam background conditions:

Improvement to tagging efficiency in Belle II

Hadronic charged B



Hadronic neutral B



$B \rightarrow K^{(*)} \tau \tau$

[B. Capdevila et al, arXiv:1712.01919]

q^2 range for predictions for $B \rightarrow H \tau^+ \tau^-$: from $4 m_\tau^2$ ($\sim 12.6 \text{ GeV}^2$) to $(m_B - m_H)^2$

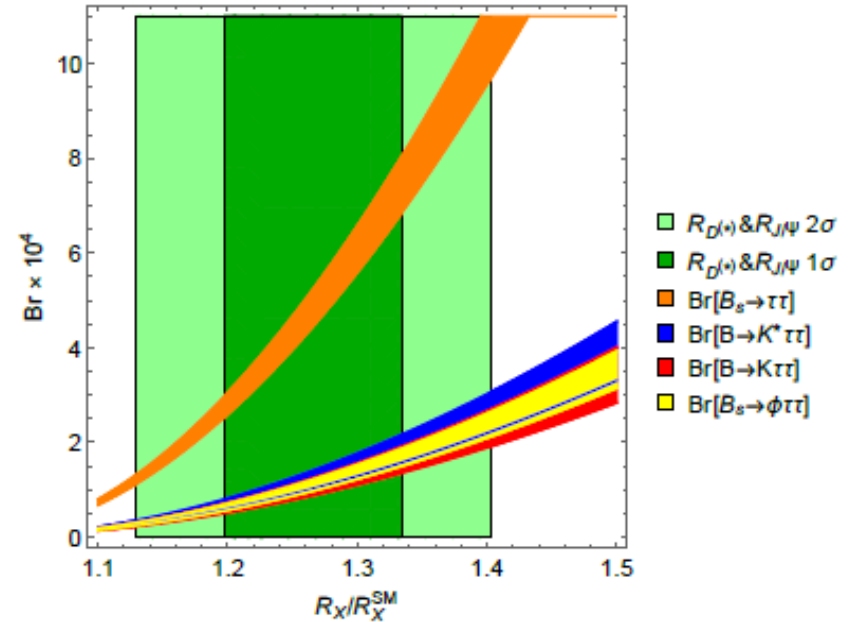
to avoid contributions from resonant decay through $\psi(2S)$, $B \rightarrow H \psi(2S)$, $\psi(2S) \rightarrow \tau^+ \tau^-$

predictions restricted to $q^2 > 15 \text{ GeV}^2$:

$$B(B \rightarrow K \tau^+ \tau^-)_{\text{SM}} = (1.2 \pm 0.1) 10^{-7}$$

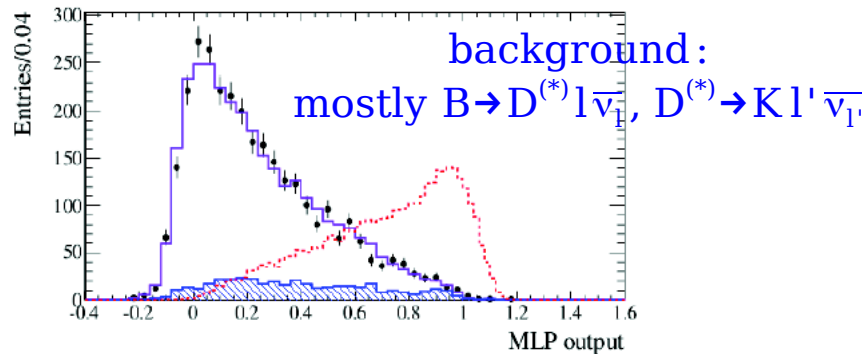
$$B(B \rightarrow K^* \tau^+ \tau^-)_{\text{SM}} = (1.0 \pm 0.1) 10^{-7}$$

greatly enhanced in NP models...



strategy used: [BaBar, arXiv:1605.09637]

B fully reconstructed (had tag), $\tau^+ \rightarrow l^+ \nu_l \nu_\tau$



BaBar's result with had tag: $B(B^+ \rightarrow K^+ \tau^+ \tau^-) < 2.25 \times 10^{-3}$ at 90% CL

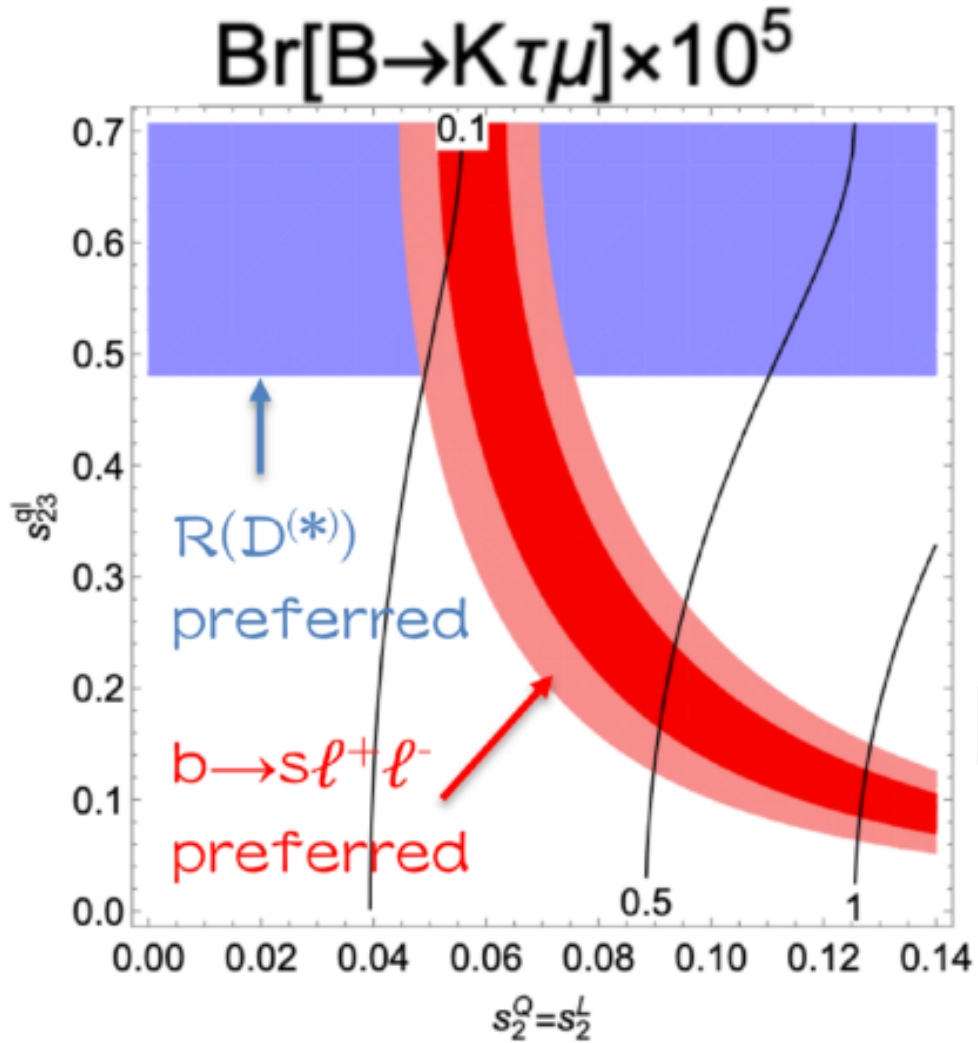
[Belle II, arXiv:1808.10567]

Observables	Belle 0.71 ab^{-1} (0.12 ab^{-1})	Belle II 5 ab^{-1}	Belle II 50 ab^{-1}
$\text{Br}(B^+ \rightarrow K^+ \tau^+ \tau^-) \cdot 10^5$	< 32	< 6.5	< 2.0

this is the result with had tag.... (on-going thesis at IJCLab from G.de Marino)

$R(D^*)$ and $b \rightarrow s \mu \mu \Rightarrow B \rightarrow K \tau \mu$

L. Calibbi et al, arXiv:1709.00692



- $R(D^{(*)}) 2\sigma$
- $C_9^{\mu\mu} = -C_{10}^{\mu\mu} 2\sigma$
- $C_9^{\mu\mu} = -C_{10}^{\mu\mu} 1\sigma$

Key Features of PS³

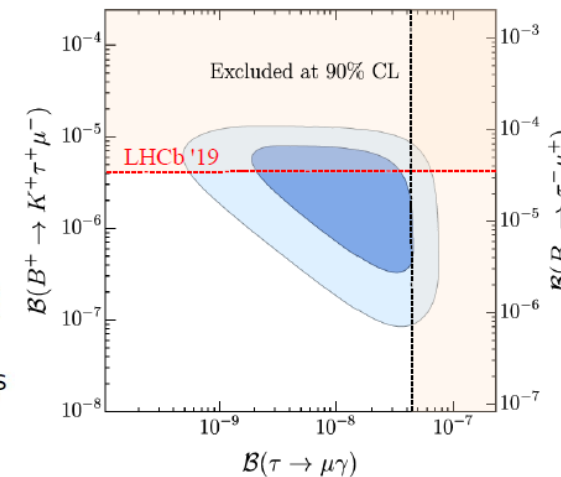
BORDONE, CORNELLA, FUENTES-MARTIN, ISIDORI (2017), (2018)

common to all PS-type models

- TeV-scale LQ, colour-octet vector and Z'
- decent fit to low-energy data
- large $\tau \rightarrow \mu$ LFV effects

specific to PS³

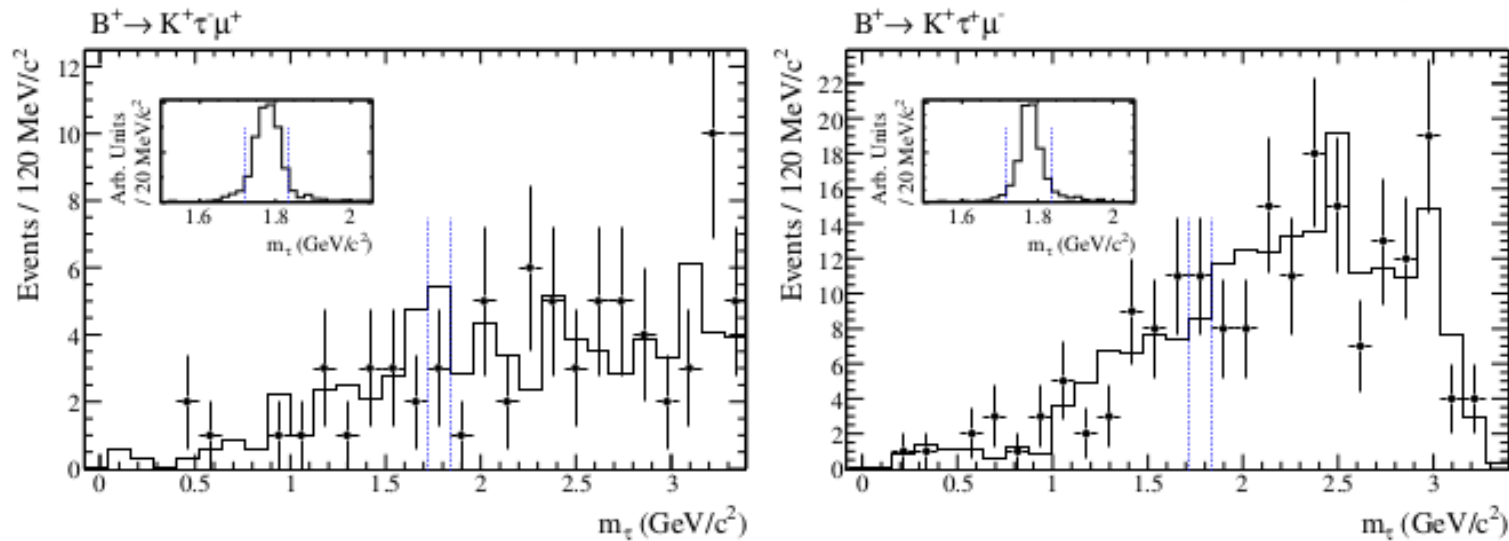
- hierarchical symmetry breaking pattern relates flavour-dependent LQ couplings to Yukawa hierarchies
- LQ coupling also to right-handed fermions



LFV $B \rightarrow K \tau l$ ($l = e, \mu$) decays

[BaBar, arXiv:1204.2852]

strategy used: B fully reconstructed (had tag), $\tau^+ \rightarrow l^+ \nu_l \nu_\tau$, $(n\pi^0)\pi\nu$, with $n \geq 0$ using momenta of K, l and B, **can fully determine the τ four-momentum**
unique system: no other neutrino than the ones from one tau ($\neq B \rightarrow \tau \nu, D^{(*)} \tau \nu \dots$)



$B(B^+ \rightarrow K^+ \tau^- \mu^+) < 4.5 \times 10^{-5}$ at 90% CL, $B(B^+ \rightarrow K^+ \tau^+ \mu^-) < 2.8 \times 10^{-5}$ at 90% CL
 (also results for $B \rightarrow K^+ \tau^\pm e^\mp$, $B \rightarrow \pi^+ \tau^\pm \mu^\mp$, $B \rightarrow \pi^+ \tau^\pm e^\mp$ modes)

[LHCb, arXiv:2003.04352]

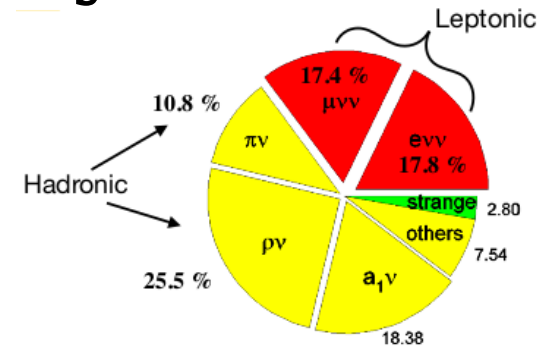
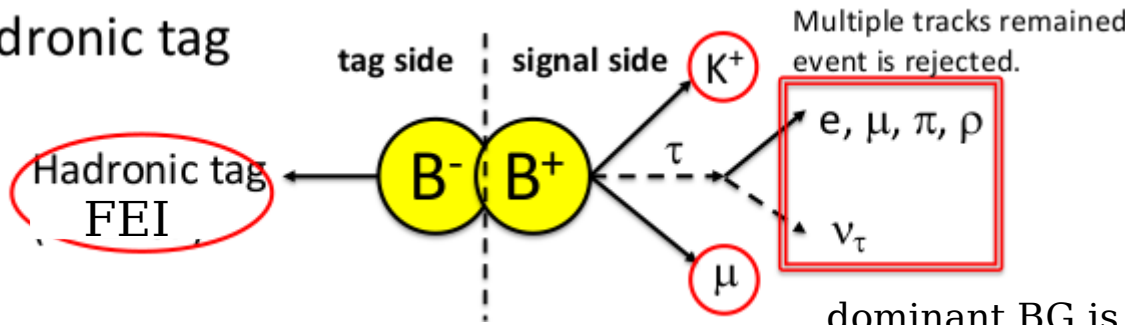
Search for the lepton favour violating decay $B^+ \rightarrow K^+ \mu^- \tau^+$ using B_{s2}^{*0} decays, $B_{s2}^{*0} \rightarrow B^+ K^-$
 $Br(K^+ \tau^+ \mu^-) < 3.9 \times 10^{-5}$ at 90% CL

\Rightarrow can we do better? combining hadronic tag with an more inclusive tag?...

LFV $B \rightarrow K \tau l$ ($l = e, \mu$) decays [Belle, S. Watanuki]

focus on K (K^+ or K_S^0), $\tau \rightarrow e\nu\nu, \mu\nu\nu, \pi\nu, \rho\nu$

- Hadronic tag



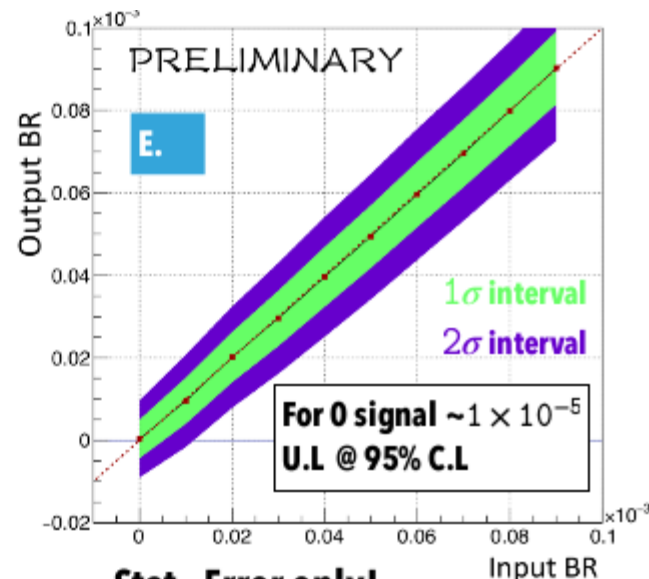
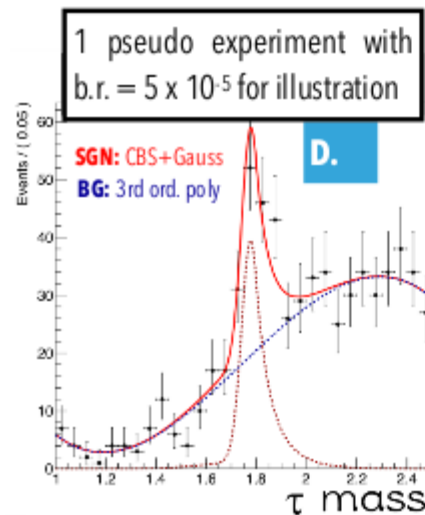
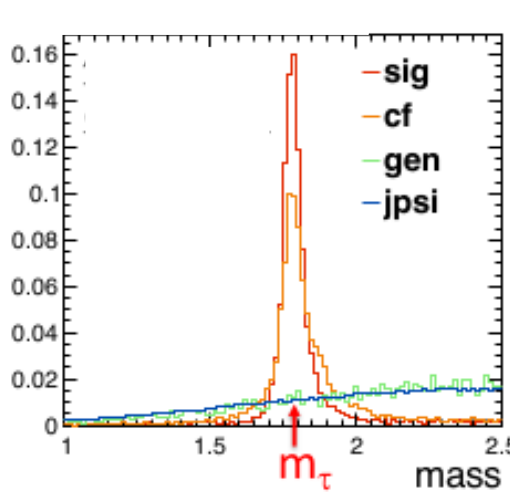
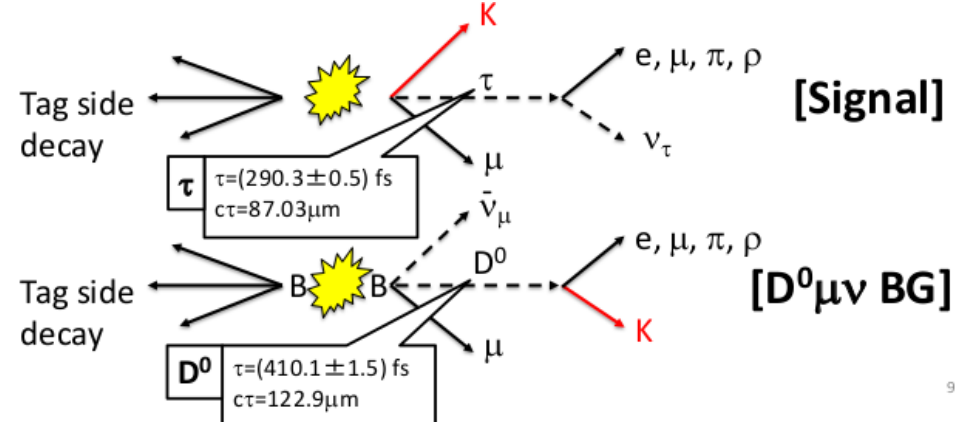
dominant BG is $B^+ \rightarrow D^{(*)0} \mu \nu$ (e.g. $(K\pi X)_D \mu \nu$ in $\tau \rightarrow \pi \nu$ case)

recoil mass of τ unique to $B \rightarrow K \tau^+ l^-$ mode

usually another neutrino companion ($B \rightarrow \tau \nu, D^* \tau \nu \dots$)

$$m_\tau^2 = m_B^2 + m_{Kl}^2 - 2(E_B^* E_{Kl}^* - |\vec{p}_{B_{sig}}^*| |\vec{p}_{Kl}^*| \cos \theta)$$

E_{beam}^* $\sqrt{(E_{beam}^*)^2 - m_B^2}$
 θ angle between $\vec{p}_{B_{sig}}^*$ ($= -\vec{p}_{B_{tag}}^*$) and \vec{p}_K^*



Stat. Error only! \Rightarrow can we do better?

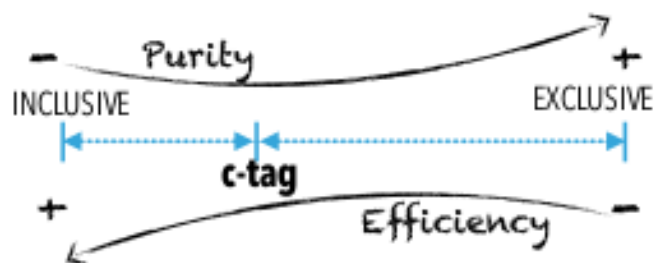
B-tagging...

[Belle (II), G.de Marino]

standard tagging methods: hadronic and semi-leptonic

other possibilities ? semi-inclusive, a.k.a **c-tag**...

⇒ B-tagging... but better to talk about charged B tag or neutral B tag

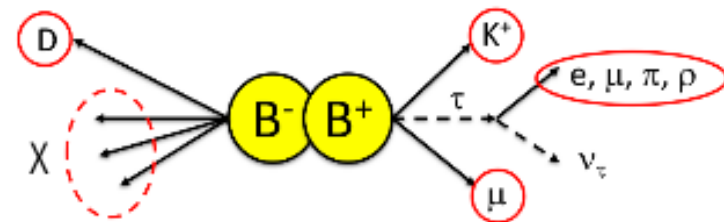


- semi-inclusive, intermediate tagging method
- way to probe the tag side

- Exploit the high B.R. of $B^+ \rightarrow \bar{D}^0 X$
→ reconstruct D^0 + inclusive X

	$B^+ \rightarrow$	$B^0 \rightarrow$
$D^0 X$	$(8.6 \pm 0.7)\%$	$(8.1 \pm 1.5)\%$
$\bar{D}^0 X$	$(79 \pm 4)\%$	$(47.4 \pm 2.8)\%$
$D^+ X$	$(2.5 \pm 0.5)\%$	$(< 3.9\%)$
$D^- X$	$(9.9 \pm 1.2)\%$	$(36.9 \pm 3.3)\%$
$D_s^+ X$	$(7.9 \pm 1.4)\%$	$(10 \pm 2)\%$
$D_s^- X$	$(1.10 \pm 0.40)\%$	$(< 2.6\%)$
$\Lambda_c^+ X$	$(2 \pm 1)\%$	$(< 3.1\%)$
$\Lambda_c^- X$	$(3 \pm 1)\%$	$(5.0 \pm 2.0)\%$

- Application in $B \rightarrow K \tau l$, where the topology with $K+l$ allows looser reconstruction in B_{tag} side
 - 1) D is reconstructed
 - 2) Primary K and l, and τ decay prong are chosen
 - 3) "D + X" provides the tag side B

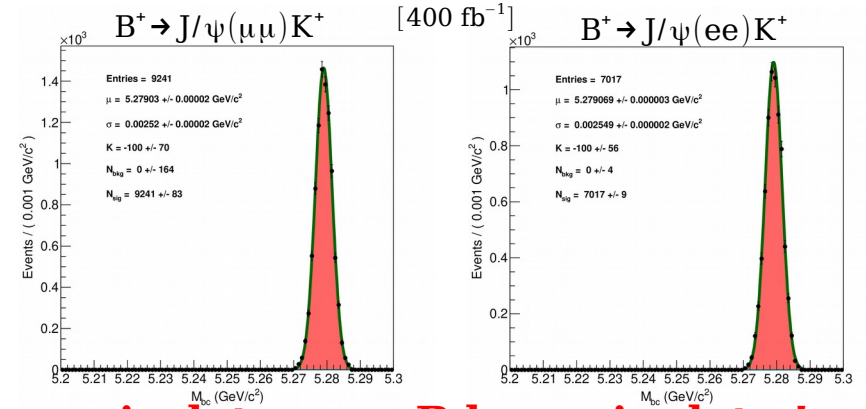
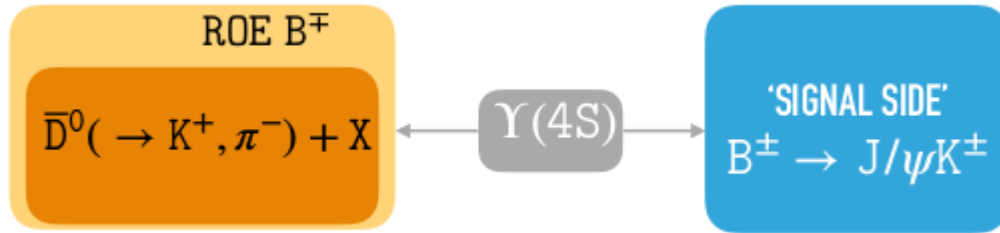


⇒ **promising avenue as much higher efficiency, though with larger background**

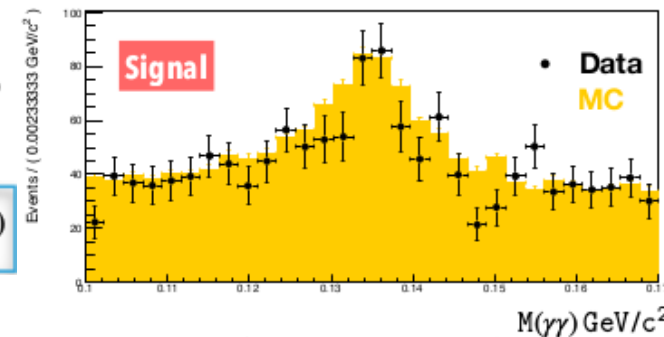
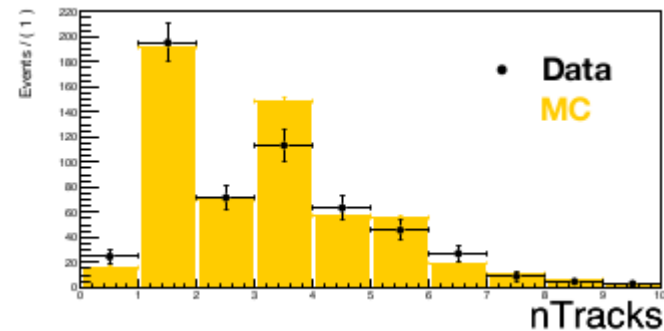
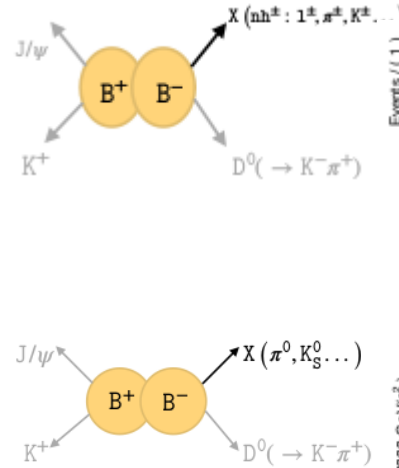
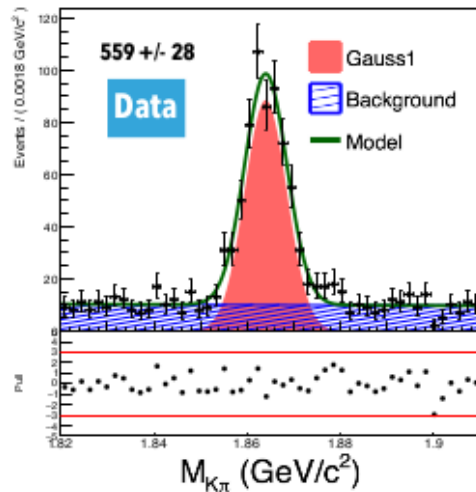
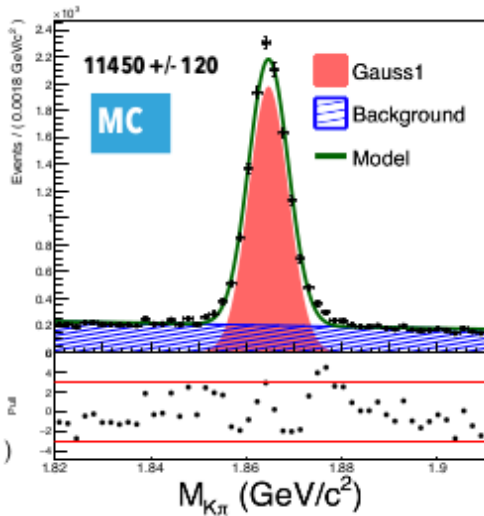
B-tagging...

[Belle (II), G.de Marino]

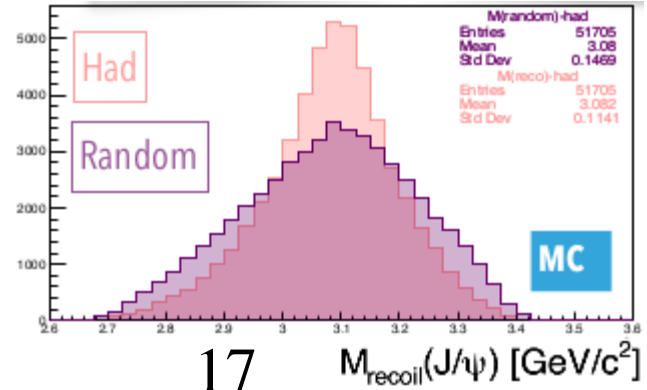
- probing the charged B^+ properties
- using $J/\psi K^\pm$ as signal side (high purity)



=> isolate pure B beam in data !



$$m_{J/\psi}^2 = m_B^2 + m_K^2 - 2(E_B^* E_K^* - |\vec{p}_{B_{sig}}^*| |\vec{p}_K^*| \cos \theta)$$



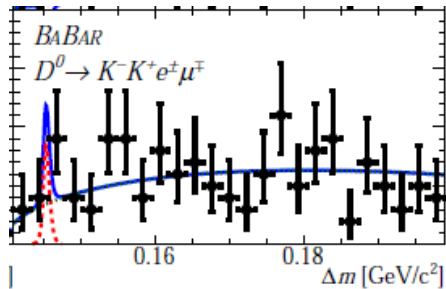
- J/ψ recoil mass poorly sensitive to $\vec{p}_{B_{tag}}^*$
- inclusive tag provides a recoil mass with similar resolution than hadronic tag !**
- need to confirm that same happens for $B \rightarrow K \tau l$

LFU and LFV in $c \rightarrow u l^+ l^-$

recent efforts from BaBar

[PRL 122, 081802, 2019]

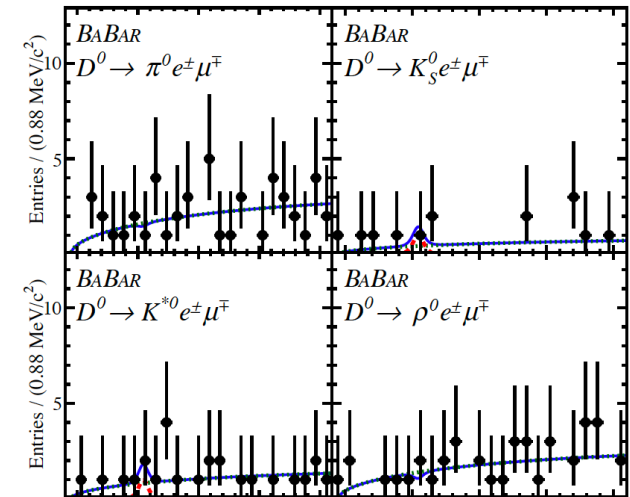
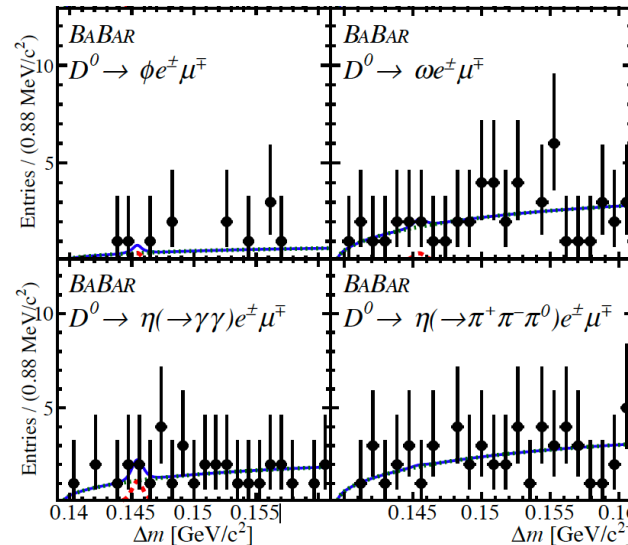
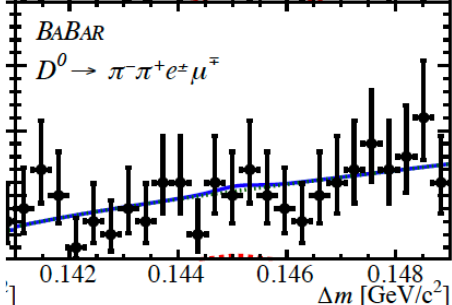
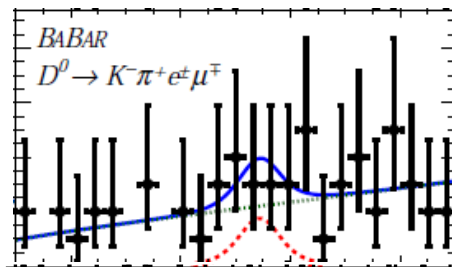
$B(D^0 \rightarrow K^- \pi^+ e^- e^+) = (4.0 \pm 0.5 \pm 0.2 \pm 0.1) \times 10^{-6}$ with $0.675 < m_{e^- e^+} < 0.875$
 consistent with LHCb result on corresponding muon channel
 $(4.17 \pm 0.12 \pm 0.40) \times 10^{-6}$



$D^0 \rightarrow h^- h^+ e^\pm \mu^\mp$, where h and $h' = K, \pi$ [PRL 124, 071802, 2020]
 no signal, UL obtained $(1-2) \times 10^{-6}$

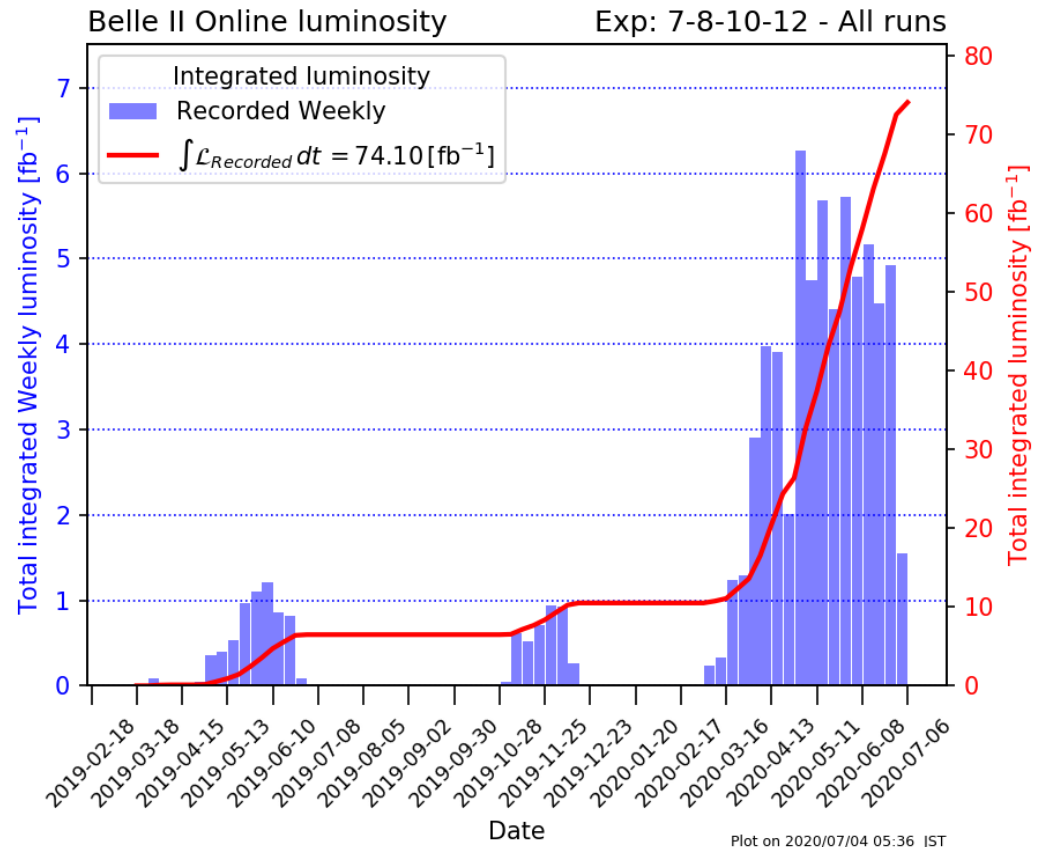
[PRD 101, 112003, 2020]

Search for lepton-flavor-violating decays $D^0 \rightarrow X^0 e^\pm \mu^\mp$,
 where $X^0 = \pi^0, K_S^0, K^{*0}, \rho^0, \phi, \omega, \eta$
 no signal, UL obtained $(0.5-2.2) \times 10^{-6}$



- \Rightarrow 1-2 orders of magnitude more stringent constraints !
- \Rightarrow Belle II should provide ULs at 10^{-7}

La Belle (II) aventure



- SuperKEKB/Belle II just started their journey to $50 ab^{-1}$
- NP searches in B physics with τ leptons
 - Sharpening our tools: B tagging is the key
 - exclusive approach: hadronic/semi-leptonic tags
 - more inclusive approach is promising