# Neutron-antineutron oscillation improvements and baryogenesis 

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Work supported in part by DOE and Humboldt Foundation and DFG.

## Outline

Introduction

NNbar oscillations for free neutrons
EFT for Nnbar oscillations

Minimal EFT for $\Delta B=2$ baryogenesis
Calculations of baryon asymmetry and $n$-nbar oscillations

Conclusion
$B$ and $L$ are accidental symmetries of $S M$ - subject to violation
Proton decay can occur by higher-dim operator. $\quad \frac{1}{\Lambda_{p}^{2}}\left(\bar{d}^{c} \bar{u}^{c} q \ell\right)+\cdots$
This operator likely exists with Planck suppressed couplings at least.

$$
\Delta \mathrm{B}=\Delta \mathrm{L}=1
$$

This operator could exist with smaller scale suppression in GUT theories, etc..

(SUSY GUTs)
$B, L$ violation connected to baryon asymmetry puzzle
B-L violation required to avoid sphaleron washout above EW scale - proton decay conserves B-L

M.G. Strauss

Let's look to more direct connections to $B$ violation.

## $\Delta B=2$ baryon number violating interaction in EFT



Other $|\Delta B|=2, \Delta L=0$ processes include dinucleon decays: $n n \rightarrow \pi^{0} \pi^{0}, p p \rightarrow \pi^{+} \pi^{+}, p n \rightarrow \pi^{+} \pi^{0}$ probe the same operators as $n-\bar{n}$ oscillation, while $p p \rightarrow K^{+} K^{+}$can be relevant for $B$-violating new physics with suppressed couplings to firstgeneration quarks.

## Past and future experimental sensitivities to oscillation lifetime

- Current free neutron bound $\tau \sim 10^{8} \mathrm{~s}$ from ILL, and somewhat better at Super-K
- Future prospects at ESS (free neutrons), DUNE and Hyper-K up to $\tau \sim 10^{9-10} \mathrm{~S}$
- Such oscillation times probe new physics scales up to $\left.\Lambda_{\text {new }} \sim\left(\tau \Lambda_{\mathrm{QCD}}\right)^{6}\right)^{1 / 5} \sim 10^{5-6} \mathrm{GeV}$.
- Probes beyond LHC scales (albeit in only just this way)


## Quantum mechanics of neutron-antineutron oscillations

Evolution governed by Schrödinger equation:

$$
\mathcal{H}_{\mathrm{eff}}|\psi\rangle=i \frac{\partial}{\partial t}|\psi\rangle
$$

Where Hamiltonian given by

$$
\begin{aligned}
\mathcal{H}_{\mathrm{eff}}|n\rangle & =\left(m_{n}-i \frac{\Gamma}{2}+\mathcal{E}_{n}\right)|n\rangle+\delta|\bar{n}\rangle \\
\mathcal{H}_{\mathrm{eff}}|\bar{n}\rangle & =\left(m_{n}-i \frac{\Gamma}{2}+\mathcal{E}_{\bar{n}}\right)|\bar{n}\rangle+\delta|n\rangle
\end{aligned}
$$

The matrix $\left\langle\mathcal{H}_{\text {eff }}\right\rangle$ in the $\{n, \bar{n}\}$ basis is

$$
\left\langle\mathcal{H}_{\mathrm{eff}}\right\rangle=\left(\begin{array}{cc}
m_{n}-i \frac{\Gamma}{2}+\mathcal{E}_{n} & \delta \\
\delta & m_{n}-i \frac{\Gamma}{2}+\mathcal{E}_{\bar{n}}
\end{array}\right)
$$

where $m_{n}$ is mass of the neutron, $\Gamma$ is the decay width (i.e., neutron lifetime is $\tau_{n}=1 / \Gamma$ ), $\delta$ is contribution from $\mathcal{H}_{\text {eff }}$ that enables $n \leftrightarrow \bar{n}$ transitions, and $\mathcal{E}_{n}$ and $\mathcal{E}_{\bar{n}}$ are any other additional contributions to the energy of the $n$ and $\bar{n}$ states respectively. If the neutrons were propagating completely freely in space with no other matter around and no magnetic field, etc., $\mathcal{E}_{n, \bar{n}}=0$. But since that is never the case in experimental configurations, we must keep this term.

If the neutron and antineutron mix then energy eigenstates (or, "mass eigenstates") $\mathcal{H}_{\text {eff }}$ are mixtures of $n$ and $\bar{n}$ which we denote as $n_{1}$ and $n_{2}$ :

$$
\binom{\left|n_{1}\right\rangle}{\left|n_{2}\right\rangle}=\left(\begin{array}{cc}
\cos \theta & \sin \theta \\
-\sin \theta & \cos \theta
\end{array}\right)\binom{|n\rangle}{|\bar{n}\rangle}
$$

Assuming $\mid n_{i}>$ are eigenstates of Heff

$$
\mathcal{H}_{\mathrm{eff}}\left|n_{i}\right\rangle=E_{i}\left|n_{i}\right\rangle
$$

one then expands evolves the wave function

$$
|\psi\rangle(t)=c_{1}\left|n_{1}\right\rangle e^{-i E_{1} t}+c_{2}\left|n_{2}\right\rangle e^{-i E_{2} t}
$$

Subjecting it to boundary condition $|\psi\rangle(0)=\mid n>$ we find

$$
|\psi\rangle(t)=\left(\cos ^{2} \theta e^{-i E_{1} t}+\sin ^{2} \theta e^{-i E_{2} t}\right)|n\rangle+\cos \theta \sin \theta\left(e^{-i E_{1} t}-e^{-i E_{2} t}\right)|\bar{n}\rangle
$$

$$
|\psi\rangle(t)=\left(\cos ^{2} \theta e^{-i E_{1} t}+\sin ^{2} \theta e^{-i E_{2} t}\right)|n\rangle+\cos \theta \sin \theta\left(e^{-i E_{1} t}-e^{-i E_{2} t}\right)|\bar{n}\rangle
$$

We compute the probability that $|\psi\rangle(t)$ is measured to be a $\bar{n}$ by the standard probability computation in quantum mechanics,

$$
\begin{gathered}
P[\bar{n}(t)]=|\langle\bar{n} \mid \psi\rangle(t)|^{2}=e^{-\Gamma t} \sin ^{2}(2 \theta) \sin ^{2}\left(\frac{\Delta E t}{2}\right), \quad \text { where }, \\
\Gamma=\operatorname{Im}\left(E_{1}+E_{2}\right), \quad \text { and } \quad \Delta E=E_{1}-E_{2} .
\end{gathered}
$$

The first term, $e^{-\Gamma t}$, is associated with the lifetime of the neutron.

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"Photographs" of propagating neutron in time:
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## Approximations valid for reactor environment

For $m_{n} \gg\left|\mathcal{E}_{n}-\mathcal{E}_{\bar{n}}\right| \gg \delta$, which will be justified later in the nuclear reactor experimental context, one can make the approximations

$$
\begin{aligned}
& E_{1} \simeq m_{n}+\mathcal{E}_{n}-i \frac{\Gamma}{2}, \quad E_{2} \simeq m_{n}+\mathcal{E}_{\bar{n}}-i \frac{\Gamma}{2}, \quad \text { where } \quad \mathcal{E}_{n}=-\mathcal{E}_{\bar{n}}=-\mu_{n} \cdot B \\
& \Delta E=E_{1}-E_{2}=\mathcal{E}_{n}-\mathcal{E}_{\bar{n}}, \quad \text { and } \quad \sin 2 \theta=\frac{2 \delta}{\mathcal{E}_{n}-\mathcal{E}_{\vec{n}}} .
\end{aligned}
$$

Under these assumptions we can now rewrite the transition probability as

$$
P[\bar{n}(t)]=e^{-\Gamma t}\left(\frac{2 \delta}{\mathcal{E}_{n}-\mathcal{E}_{\bar{n}}}\right)^{2} \sin ^{2}\left(\frac{\left(\mathcal{E}_{n}-\mathcal{E}_{\bar{n}}\right) t}{2}\right) .
$$



Recall, $\delta$ is the interaction that allows neutron to antineutron transition!

$$
\delta=m_{n}^{6} h_{11} \Lambda_{B}^{5}
$$

$$
\begin{aligned}
F & =\text { Flux of neutrons } \simeq 1.25 \times 10^{11} \text { neutrons } / \mathrm{s} \\
v_{\text {avg }} & =\text { average neutron velocity } \simeq 600 \mathrm{~m} / \mathrm{s} \\
L & =\text { distance to annihilation target } \simeq 60 \mathrm{~m} \\
B & =\text { ambient magnetic field } \simeq 10^{-8} \mathrm{~T}
\end{aligned}
$$

Annihilation target and detector


From the average velocity data, the average time for the neutron to make it to the annihilation target is $t_{\mathrm{avg}}=L / v_{\mathrm{avg}} \simeq 0.1 \mathrm{~s}$. This is where the state $|\psi\rangle(t)$ is measured and its wave function collapses to $n$ or $\bar{n}$, at time $=t_{\text {avg }}$ when it interacts with the annihilation target.

$$
\begin{array}{ll}
P\left[\bar{n}\left(t_{\text {avg }}\right)\right] \simeq \delta^{2} t_{\mathrm{avg}}^{2}=10^{-18}\left(\frac{10^{8} s}{\tau_{n \bar{n}}}\right)^{2}\left(\frac{t_{\mathrm{avg}}}{0.1 s}\right)^{2}, \square & \tau_{n \bar{n}} \simeq\left(2 \times 10^{8} s\right)\left(\frac{F}{1.25 \times 10^{11} \text { neutrons } / \mathrm{s}}\right)^{1 / 2}\left(\frac{T_{\mathrm{run}}}{1 \mathrm{yr}}\right)^{1 / 2} . \\
\text { where } \tau_{n \bar{n}} \equiv 1 / \delta \text { (oscillation time) } & \overbrace{\sim \text { Current limit! }}
\end{array}
$$

Let's explore connection of n-nbar oscillations with baryogenesis
Assume: simple minimal EFT with minimal new particle content that achieves baryogenesis through $B$ violating decays.

These new particles can simultaneously allow n-nbar oscillations $\rightarrow$ correlated

One direction ("Majorana fermion baryogenesis")
Other directions: EW baryogenesis, Affleck-Dine baryogenesis, leptogenesis, ...

Lowest dimensional operator contributing to $n$-nbar oscillations is dimension 9

$$
\mathcal{O}_{n \bar{n}} \sim(u u d d d d)
$$

We will focus on just one of these operators for illustration, and because it matches the low-scale EFT of the minimal scenario for baryogenesis.

$$
\begin{aligned}
\mathcal{L} \supset & c_{1} \frac{1}{2} \epsilon_{i j k} \epsilon_{i^{\prime} j^{\prime} k^{\prime}}\left(\bar{u}_{i}^{c} P_{R} d_{j}\right)\left(\bar{u}_{i^{\prime}}^{c} P_{R} d_{j^{\prime}}\right)\left(\bar{d}_{k}^{c} P_{R} d_{k^{\prime}}\right)+\text { h.c. }, \\
& \text { with } c_{1} \equiv\left(\Lambda_{n \bar{n}}^{(1)}\right)^{-5} .
\end{aligned}
$$

We performed state-of-the-art RG evolution of the operator coefficient

$$
\begin{aligned}
\frac{c_{1}\left(\mu_{0}\right)}{c_{1}(M)}= & {\left[\frac{\alpha_{s}^{(4)}\left(m_{b}\right)}{\alpha_{s}^{(4)}\left(\mu_{0}\right)}\right]^{\frac{6}{25}}\left[\frac{\alpha_{s}^{(5)}\left(m_{t}\right)}{\alpha_{s}^{(5)}\left(m_{b}\right)}\right]^{\frac{6}{23}}\left[\frac{\alpha_{s}^{(6)}(M)}{\alpha_{s}^{(6)}\left(m_{t}\right)}\right]^{\frac{2}{7}} } \\
= & \{0.726,0.684,0.651,0.624\} \\
& \text { for } M=\left\{10^{3}, 10^{4}, 10^{5}, 10^{6}\right\} \mathrm{GeV}
\end{aligned}
$$

## Majorana fermion and B violating operators - but no baryogenesis

Simple way to get $n$-nbar operator is introduce Majorana fermion $X$ of mass $M$, coupling to SM by

$$
\mathrm{O}_{6} \sim \frac{1}{\Lambda^{2}} X u d d
$$



Problems creating baryogenesis:

- Nanopoulos-Weinberg theorem: without B-conserving channels no baryon asymmetry
- $2 \rightarrow 2$ process such as $u X \rightarrow \bar{d} \bar{d}$ and $\bar{u} X \rightarrow d d$ have same rate and do not violate CP

$$
\begin{aligned}
\mathcal{L} \supset & \eta_{X_{1}} \epsilon^{i j k}\left(\bar{u}_{i}^{c} P_{R} d_{j}\right)\left(\bar{d}_{k}^{c} P_{R} X_{1}\right) \\
& +\eta_{X_{2}} \epsilon^{i j k}\left(\bar{u}_{i}^{c} P_{R} d_{j}\right)\left(\bar{d}_{k}^{c} P_{R} X_{2}\right) \\
& +\eta_{c}\left(\bar{u}^{i} P_{L} X_{1}\right)\left(\bar{X}_{2} P_{R} u_{i}\right)+\text { h.c. }, \\
& \text { with }\left|\eta_{X_{1}}\right| \equiv \Lambda_{X_{1}}^{-2},\left|\eta_{X_{2}}\right| \equiv \Lambda_{X_{2}}^{-2},\left|\eta_{c}\right| \equiv \Lambda_{c}^{-2}
\end{aligned}
$$

Both $X_{1}$ and $X_{2}$ mediate $n-\bar{n}$ oscillation - integrating them out at tree level gives

$$
c_{1}=\frac{1}{\left(\Lambda_{n \bar{n}}^{(1)}\right)^{5}}=\frac{1}{M_{X_{1}} \Lambda_{X_{1}}^{4}}+\frac{1}{M_{X_{2}} \Lambda_{X_{2}}^{4}}
$$




Calculation of the baryon asymmetry - The relevant processes for baryogenesis include

- $B$ violating processes: single annihilation $u X_{1,2} \rightarrow$ $\bar{d} \bar{d}, d X_{1,2} \rightarrow \bar{u} \bar{d}$, decay $X_{1,2} \rightarrow u d d$, and offresonance scattering $u d d \rightarrow \bar{u} \bar{d} \bar{d}$;
- $B$ conserving processes: scattering $u X_{1} \rightarrow u X_{2}$, coannihilation $X_{1} X_{2} \rightarrow \bar{u} u$, and decay $X_{2} \rightarrow X_{1} \bar{u} u$;
as well as their inverse and $C P$ conjugate processes. $C P$


## Calculating baryon asymmetry

We solve set of coupled Boltzmann equations for abundances of $X_{1,2}$ and $Y_{B-L}$ above $T=140 \mathrm{GeV}$ (sphalerons active above 140 GeV ) and and $Y_{B}$ below $T=140 \mathrm{GeV}$.

Find regions of parameter space where $Y_{B}=8.6 \times 10^{-11}$

We scan over all the parameters to achieve the proper baryon asymmetry.

Highest priority is getting baryon asymmetry correct - check n-nbar after.
$\Lambda_{\mathrm{X} 1} \sim \Lambda_{\mathrm{X} 2} \sim \Lambda_{\mathrm{c}}$ is "equal interaction scale" case
For $\mathrm{M}_{\mathrm{x} 1} \sim \mathrm{M}_{\mathrm{x} 2}>10^{4} \mathrm{GeV}, \Lambda$ needs to be high to kick system out of efficient interactions that would otherwise suppress $\mathrm{X}_{1,2}$ abundances too much.
$\rightarrow \Lambda$ too high for n-nbar signal
For $\mathrm{M}_{\mathrm{x} 1} \sim \mathrm{M}_{\mathrm{X} 2}<10^{4} \mathrm{GeV}, \Lambda$ still needs to be somewhat high for out-ofequilibrium but then $\varepsilon_{\mathrm{CP}} \sim \mathrm{M}^{2}{ }_{\mathrm{x} 2} / \Lambda^{2}$ is too low for baryogenesis.
$\rightarrow$ Cannot work well for baryogenesis when we force down $M_{x i}$ in this scenario.
Conclusion: $\Lambda_{\mathrm{X} 1} \sim \Lambda_{\mathrm{X} 2} \sim \Lambda$ case maximum possible $\mathrm{Y}_{\mathrm{B}}$ that also has $n$-nbar visible at ESS is $\mathrm{O}\left(10^{-13}\right)$, which is two orders of magnitude too low.

Therefore:

Hierarchy of $\Lambda$ 's needed for good baryogenesis and visible $n$-nbar oscillation

- Scenario possible for hierarchy in UV theory
- Or EFT generated at different loop orders

Baryogenesis and n -nbar visibility is compatible in two distinct scenarios: Late decays of $X_{2}$ and earlier decays.

Schematic of Late decay and early decay scenarios for baryon asymmetry



FIG. 3. Parameter space of the minimal EFT probed by $n-\bar{n}$ oscillation for the early decay scenario, assuming $M_{X_{2}}=$ $4 M_{X_{1}}$. Points represent solutions with $Y_{B}=8.6 \times 10^{-11}$ found in a scan over $\Lambda_{X_{2}}<\Lambda_{X_{1}}<100 \Lambda_{X_{2}}, M_{X_{2}}<\Lambda_{c}<$ $\Lambda_{X_{2}}$. For all these points, $\Lambda_{X_{1}} \sim 10 \Lambda_{X_{2}}$ is needed to suppress washout. The gray shaded region marks $\Lambda_{X_{2}}<M_{X_{2}}$, where EFT validity requires greater than $\mathcal{O}(1)$ coupling.

Grojean, Shakya, JW, Zhang, PRL 2018
Possibility there for discovery of $n$-nbar oscillations directly correlated with baryogenesis.

## Conclusion

Baryon number conservation is a soft principle that we should expect to be violated.

Baryon violation is needed for baryogenesis - many ideas to implement that.

A minimal, two-state Majorana solution can provide needed baryogenesis

This theory also predicts n-nbar oscillation lifetime

1) $\tau<$ current limit (ruled out parameter space)
2) $\tau>$ future projected limits (never will be seen this way - sad)
3) Current limit $<\tau<$ future projected limits (discovery! - how likely?)
