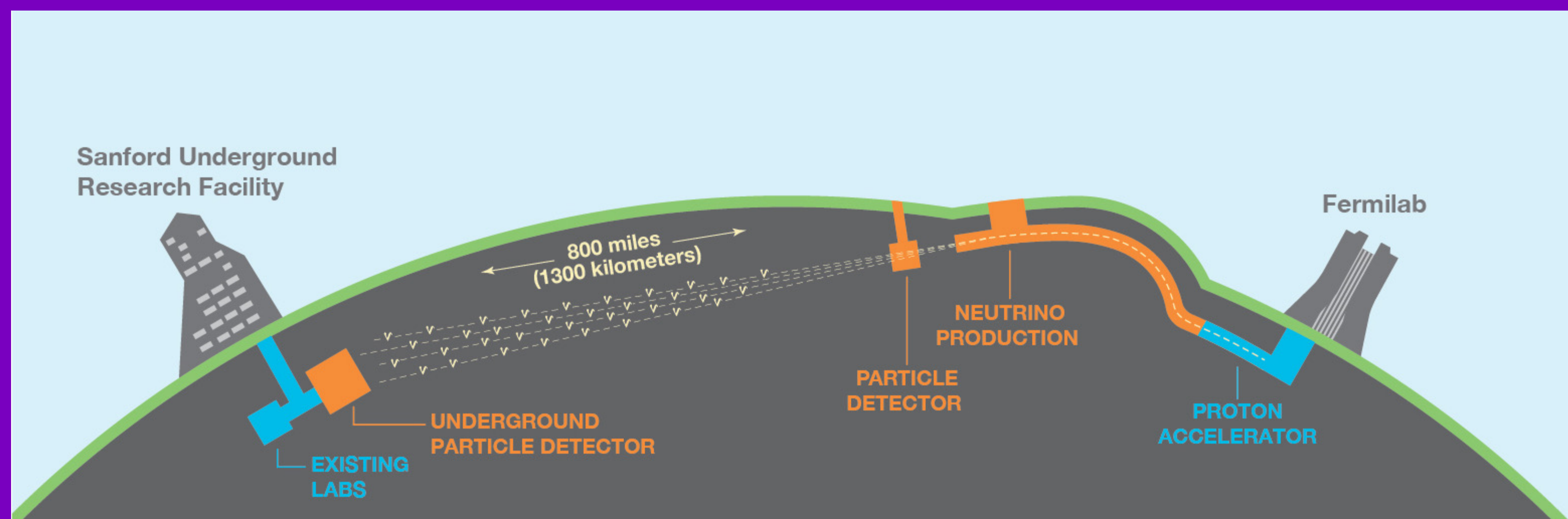
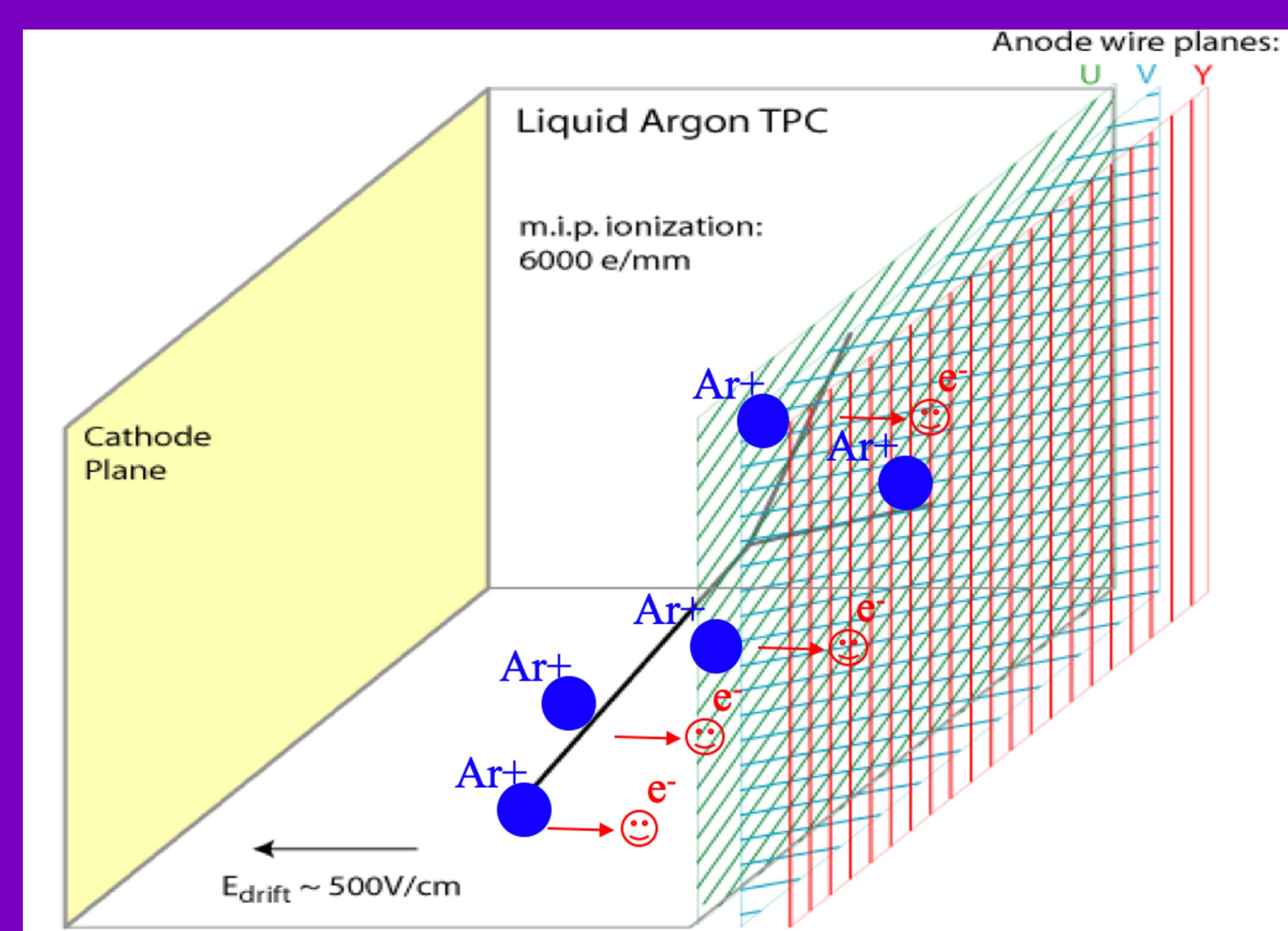


## DUNE Neutrino Experiment



- DUNE is a next-generation international flagship neutrino experiment
- Far detectors based on liquid argon time projection chamber (LArTPC)
- The complex LArTPC offers excellent spatial resolution and particle identification, but neutrino event reconstruction is challenging



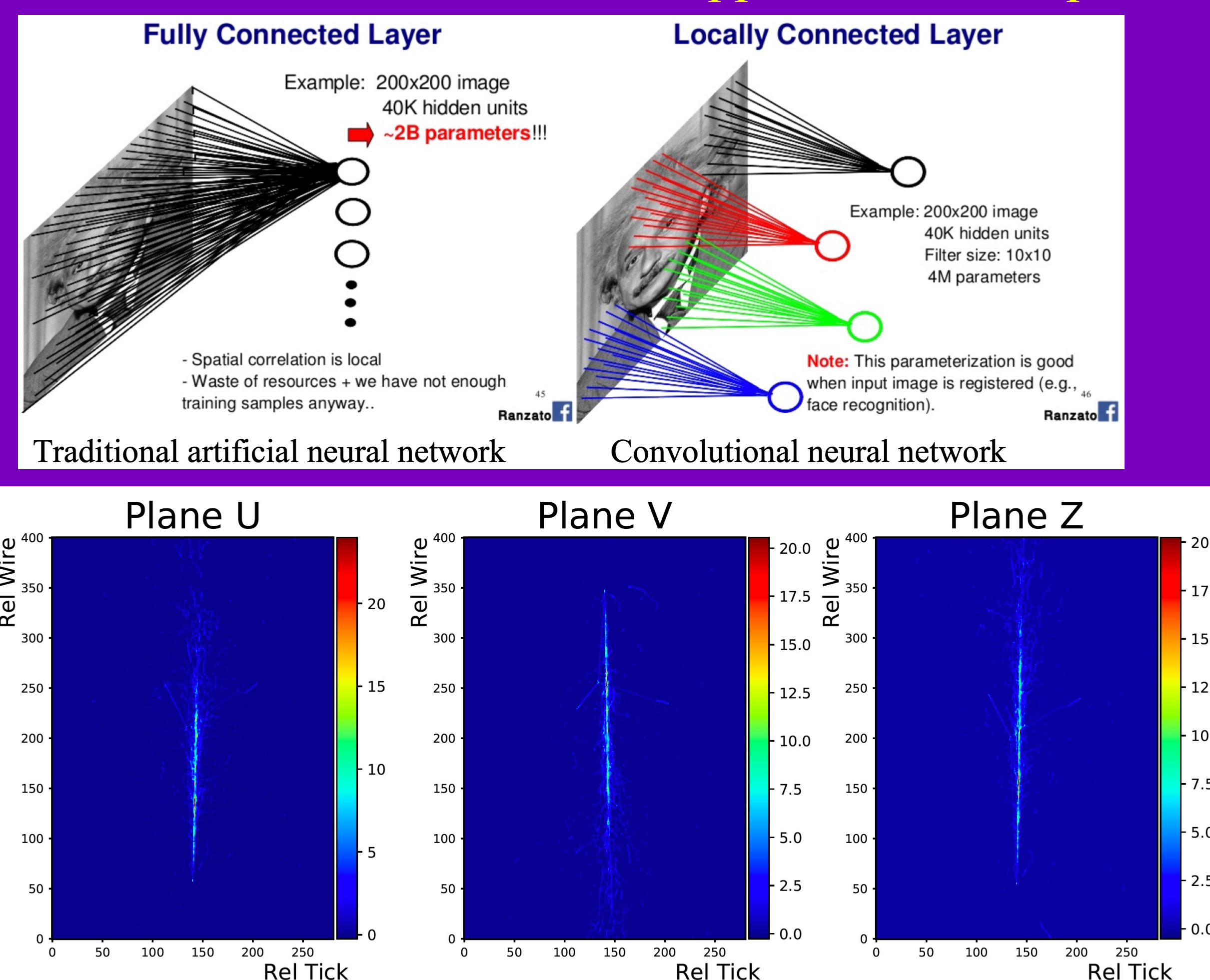
## Convolutional Neural Network (CNN)

- LArTPC pixel maps for each event are either 3 x 2D images
- CNNs are neural networks specialized to taking images, using a set of translationally invariant filters
- Therefore, reconstructing DUNE events with CNNs is ideal application of deep learning techniques

- CNNs can be used for:

- Regression: fitting for particle energy, event energy, or event vertex
- Classification: Particle and event identification

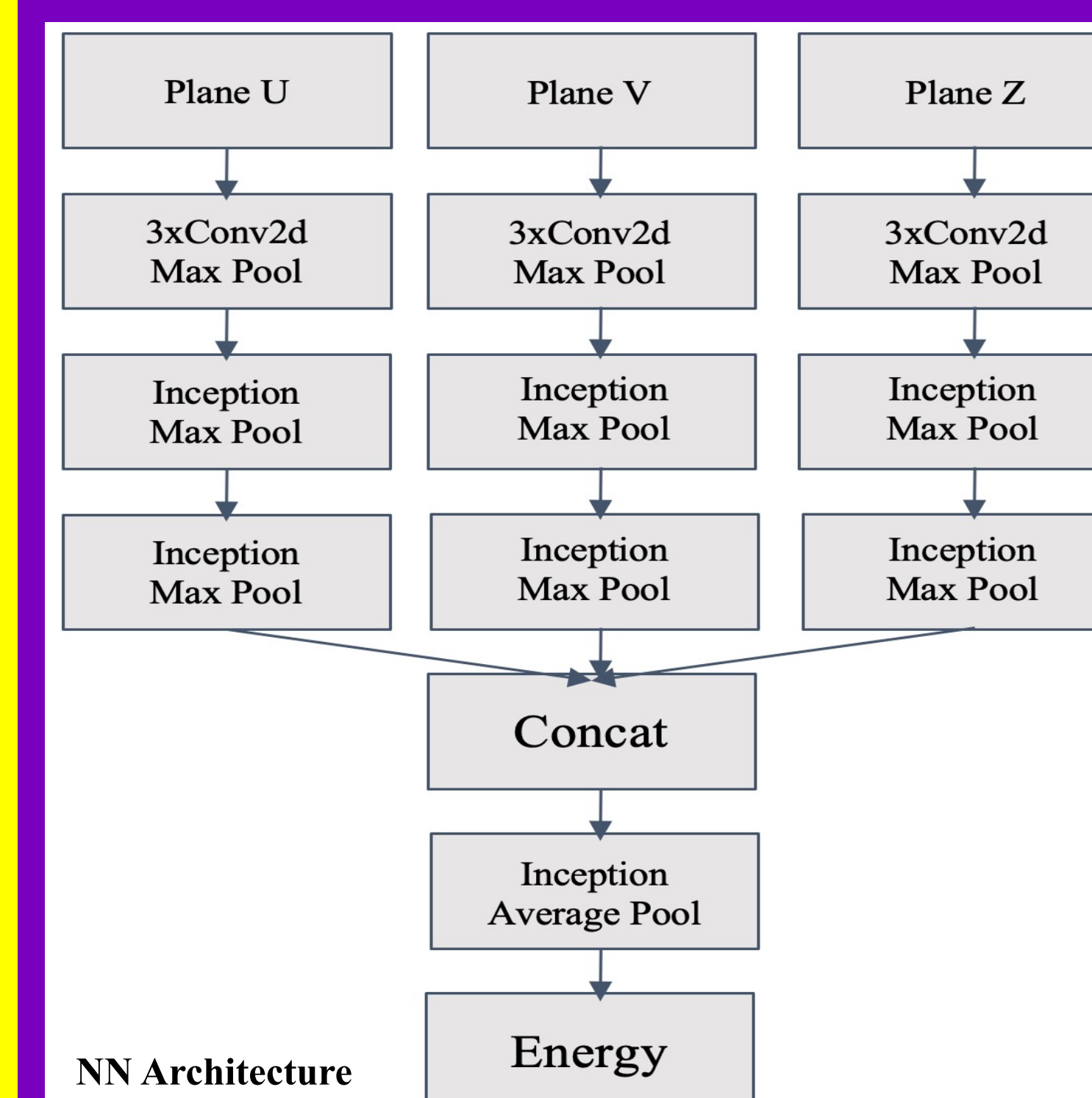
3 x 2-D images for a  $\nu_e$  CC event in DUNE FD simulation: Wire ID vs Time Tick for U, V and Z wire planes



## Regression Convolutional Neural Network for Energy Reconstruction

$$L(W, \{(\mathbf{x}_i, y_i)\}_{i=1}^n) = \frac{1}{\sum_j^n \sqrt{\omega_j}} \sum_i^n \sqrt{\omega_i} L(W, \mathbf{x}_i, y_i)$$

Loss function



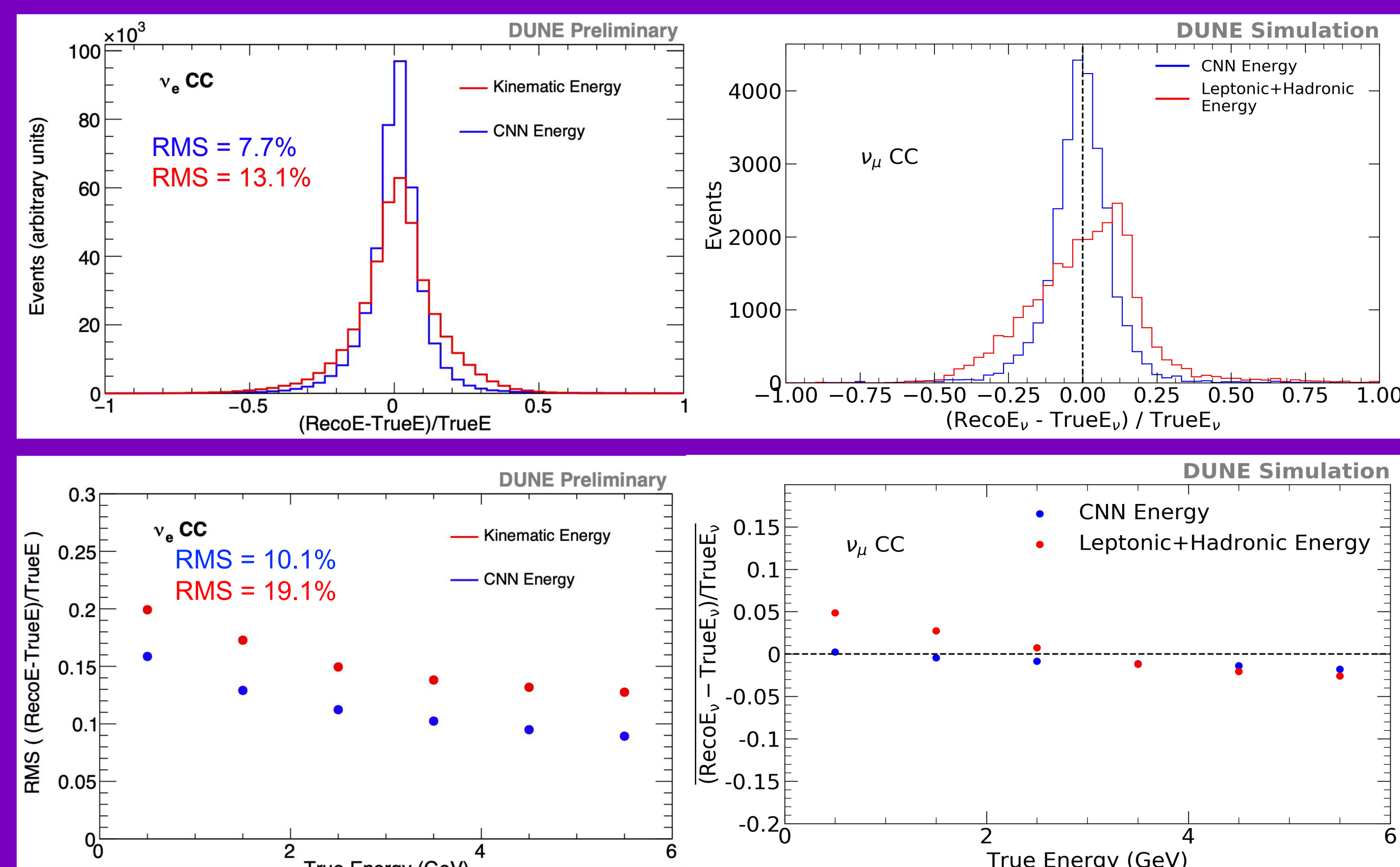
Phys.Rev.D 99 (2019) 1, 012011  
NuclIPS2020 workshop proceeding(2020), arXiv:2012.06181

- Provides appropriate surrogate to optimize energy resolution  $E_{\text{reco}} - E_{\text{true}}/E_{\text{true}}$
- Linear output unit for energy
- Optimize energy resolution and reduce impacts from outliers.
- No regularization applied
- Use hyperparameter optimization software SHERPA
- Weighted events by energy to reduce energy dependent bias

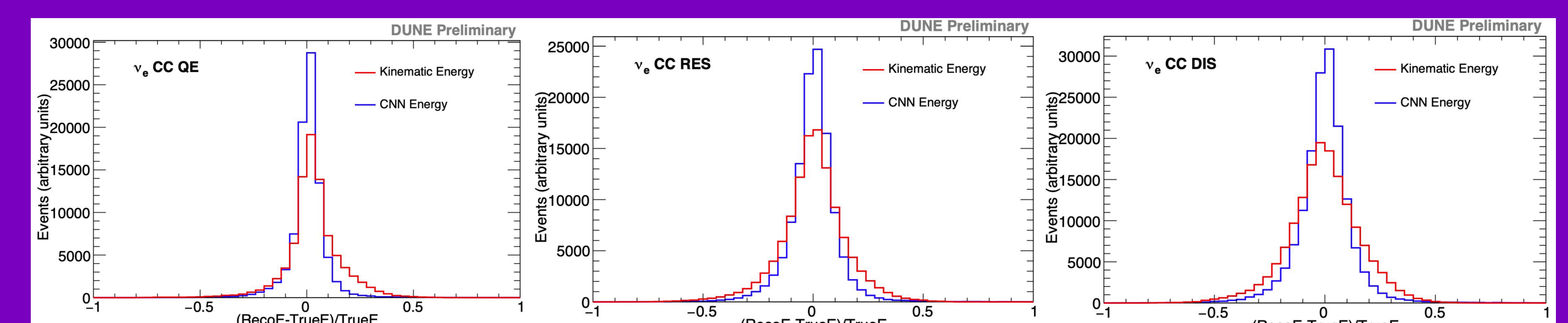
## $\nu_e$ CC and $\nu_\mu$ CC Event Energy

Regression CNNs outperform kinematic energy based energy reconstruction ( $E(\nu) = E_{\text{lep}}^{\text{cor}} + E_{\text{had}}^{\text{cor}}$ ):

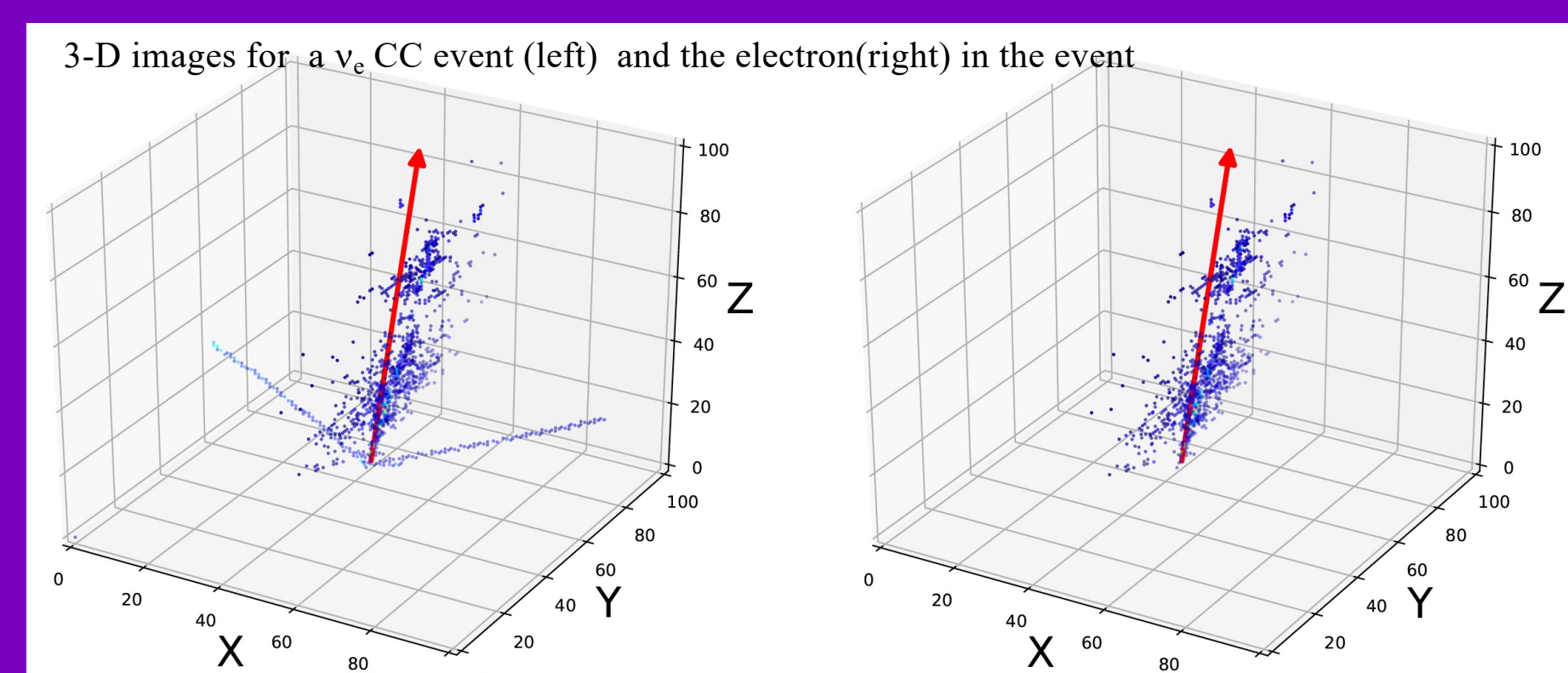
- Better resolutions in all energy regions
- Less energy dependent bias



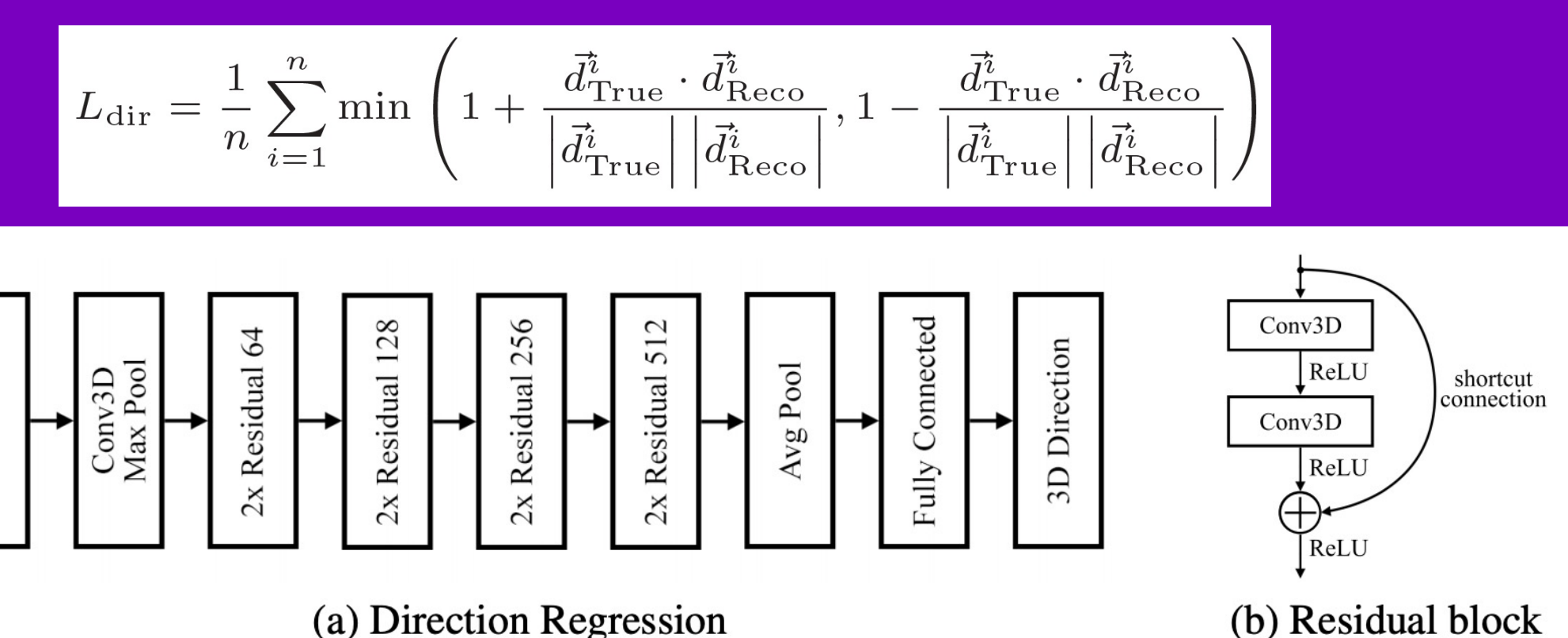
CNNs are also robust against neutrino interaction modes, because of high number of degrees of freedom to fit to different types of interaction



## Particle Direction Reconstruction

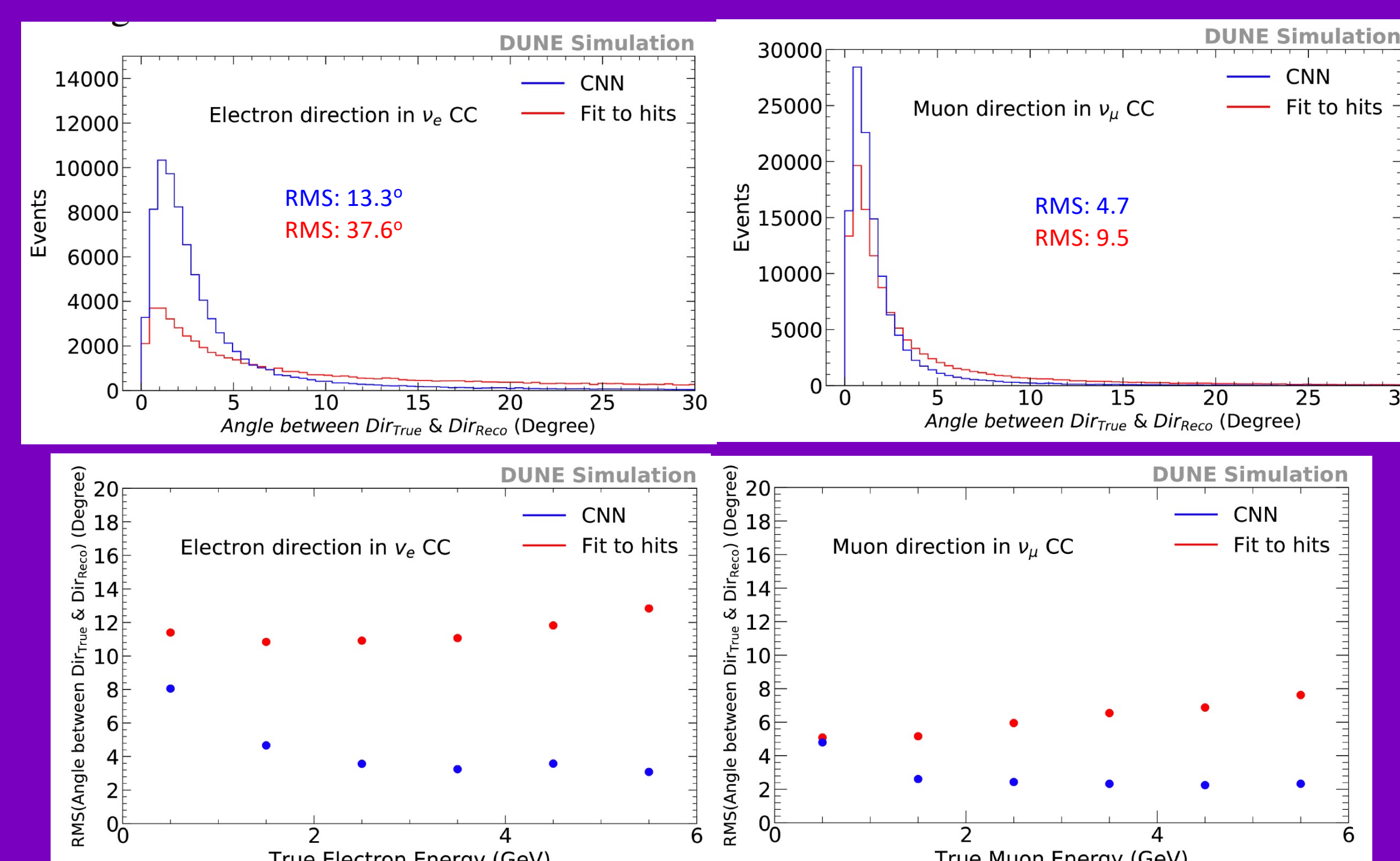


-Direction regression heavily dependent on 3-D geometry  
-So we Designed a 3-D CNN to reconstruct particle directions.  
-3-D image constructed from the 3x2D detector images



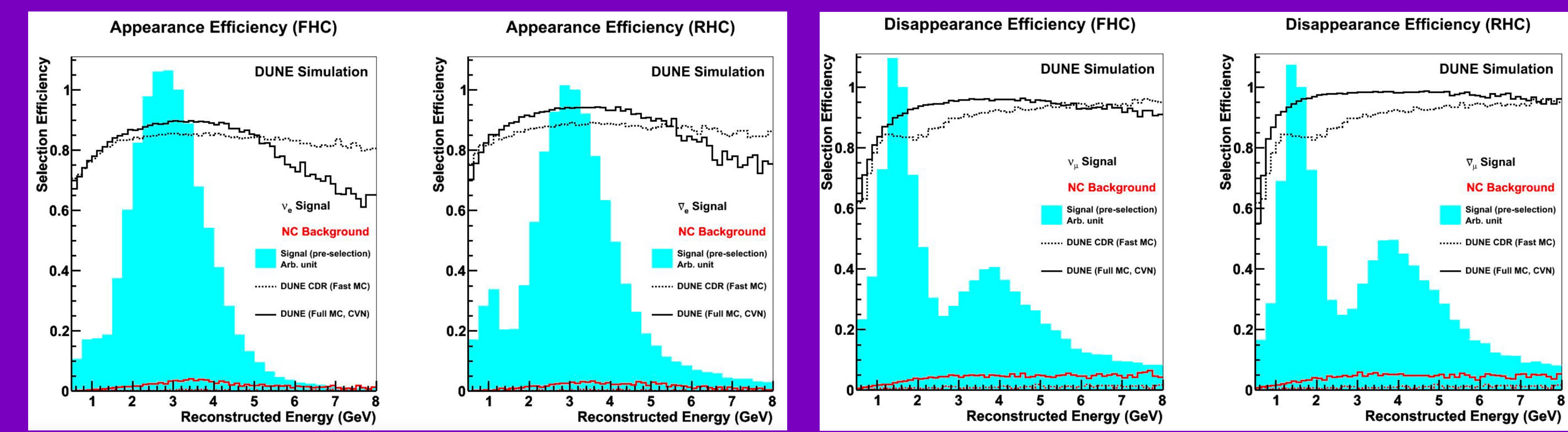
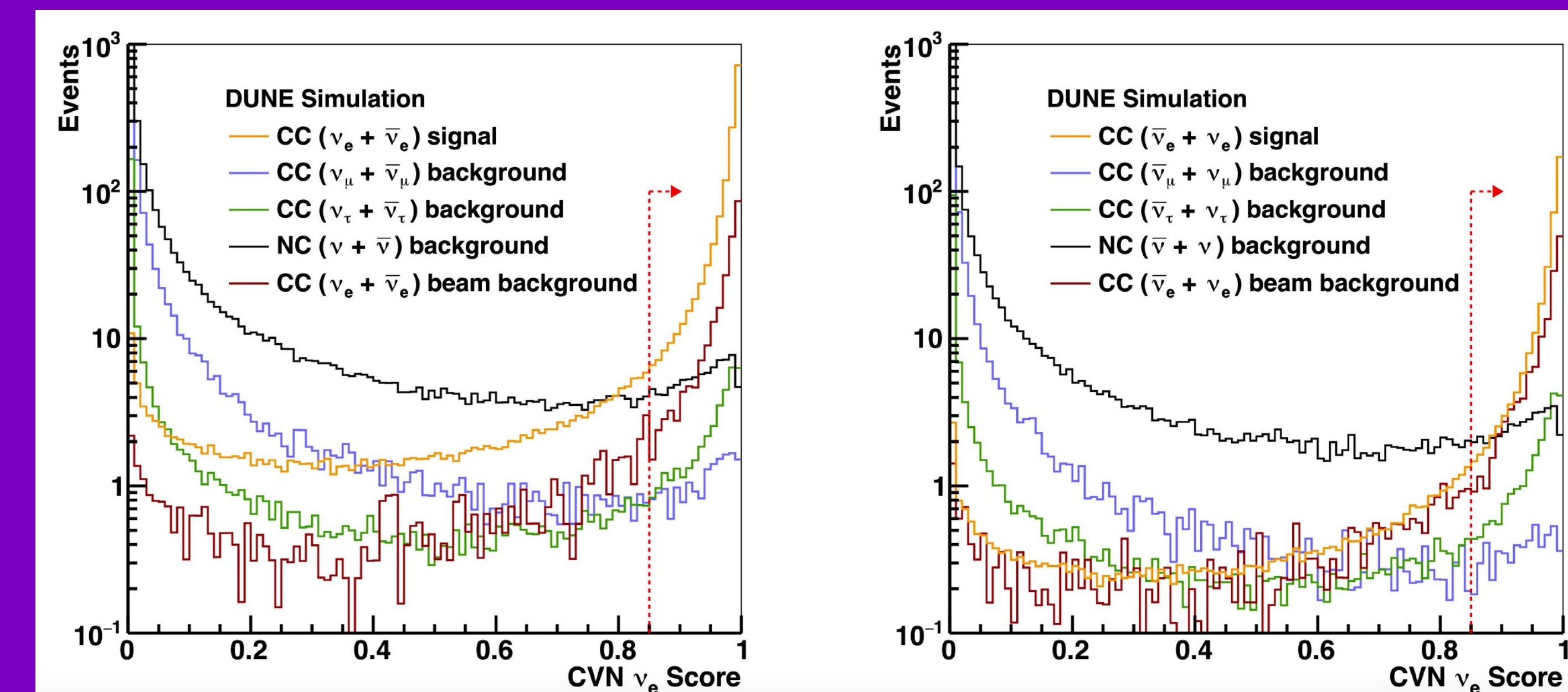
Model built on a series of residual blocks and a linear layer to output 3-D direction vectors. A cosine distance metric used for training

Regression CNNs beat traditional fit-to-hits method with better electron and muon resolutions in all energy regions



## Classification CNN identifier in DUNE

- Classification Convolutional Neural Network has been implemented at DUNE for event identification (CVN)
- Identify  $\nu_\mu$  CC,  $\nu_e$  CC and NC events
- Performance is better than DUNE CDR assumptions
- Paper published: Phys.Rev.D 102 (2020) 9, 092003



## Other Methods Being Developed

- Sparse CNNs for Semantic Segmentation
- Takes advantage of sparseness of hits
- Shown promise for identifying individual pixels as part of tracks or showers
- Graph Neural Networks (GNN)
- Breaks up hits into "graph" comprised as connected nodes with information such as geometry and energy composition
- Feeds these graphs to a NN which labels individual nodes
- Shown promise in ProtoDUNE

