

Theory errors and operator bases

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In SMEFT framework

$$|A|^2 = |A_{SM}|^2 + \frac{2\text{Re}(A_{SM}^* A_6)}{\Lambda^2} + \frac{1}{\Lambda^4} \left(|A_6|^2 + 2\text{Re}(A_{SM}^* A_8) \right) + \dots$$

interference piece,
usually largest effect.
State of the art
SMEFT

'Higher order'
(1/Λ) corrections

SMEFT Warsaw basis: $\mathcal{O}(60)$ operators at dim-6
 $\mathcal{O}(1000)$ operators at dim-8

What's the impact from $1/\Lambda^4$ corrections?

Higher order effects so should be small... but

- they are a form of uncertainty; ‘theory error’ on extracted scale Λ
- there are instances where interference term isn’t present or is suppressed, e.g. helicity mismatch between SM and dim-6
- faster growth with energy, E^4 vs. E^2 : increasingly important when looking at high energy (e.g. tails of some kinematic distribution)

But full treatment to $1/\Lambda^4$, with all $\mathcal{O}(1100)$ operators doesn’t seem feasible

Some thoughts on how to proceed

$$|A|^2 = |A_{SM}|^2 + \frac{2\text{Re}(A_{SM}^* A_6)}{\Lambda^2} + \frac{1}{\Lambda^4} \left(|A_6|^2 + 2\text{Re}(A_{SM}^* A_8) \right) + \dots$$

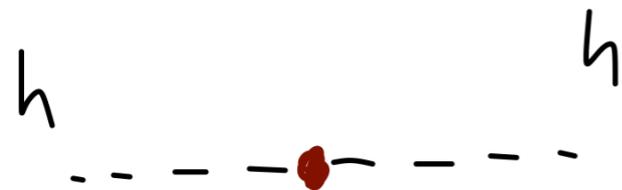
1.) Use (dim-6)² piece as a proxy for higher order effects. Add it as a **theory uncertainty** when performing SMEFT analysis. Fully set by dim-6 operators, machinery already in place

[Shepherd et al. 1711.07484 .1812.0757, extend work in LOI with Shepherd, Lewis, Kim, Gu]

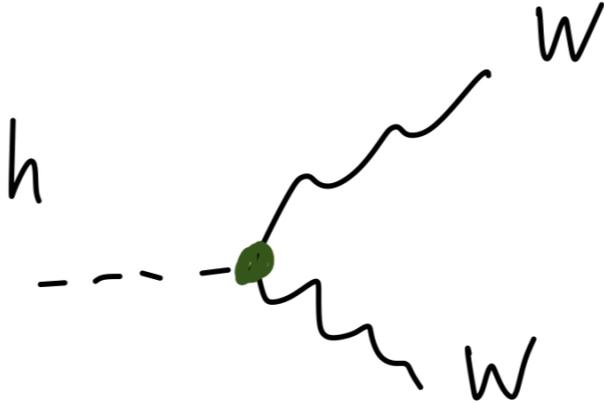
2.) **Reorganize** SMEFT to minimize the number of higher dimensional operators needed for as many processes as possible

[Helset, AM, Trott, 2001.01453, Hays, Helset, AM, Trott 2007.00565, part of dim 8+ LOI]

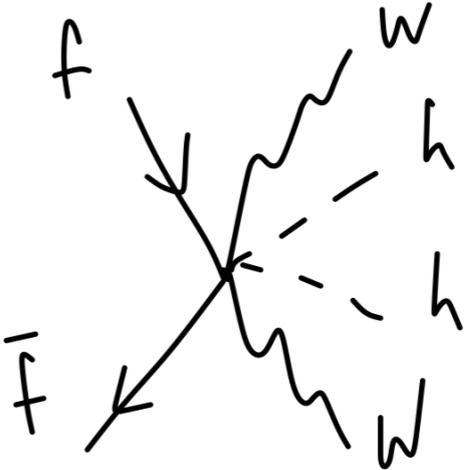
What do higher dimensional operators do?



Change field strength
normalization/inputs



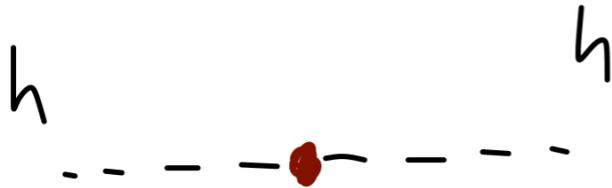
Modify existing vertices



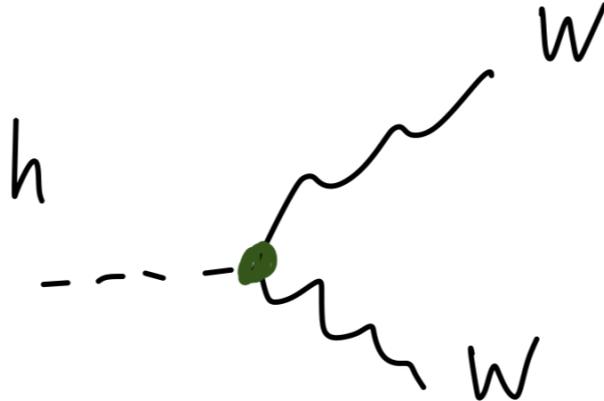
New multi-particle
interactions

universal ←————→ *specific*

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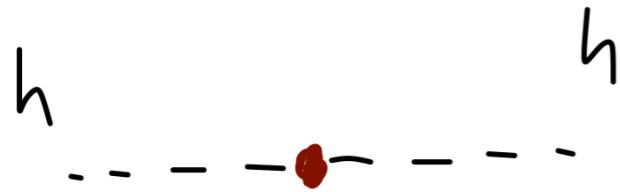
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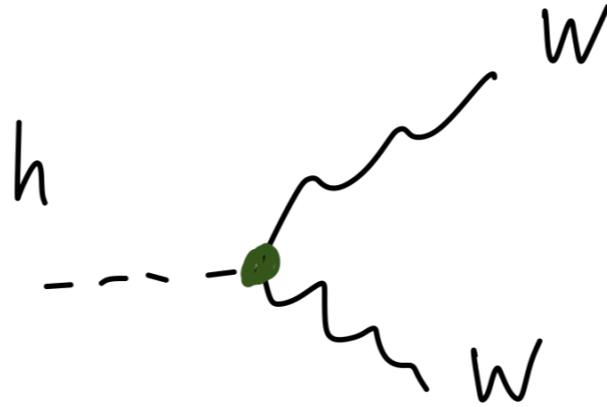
But, can reorganize so that



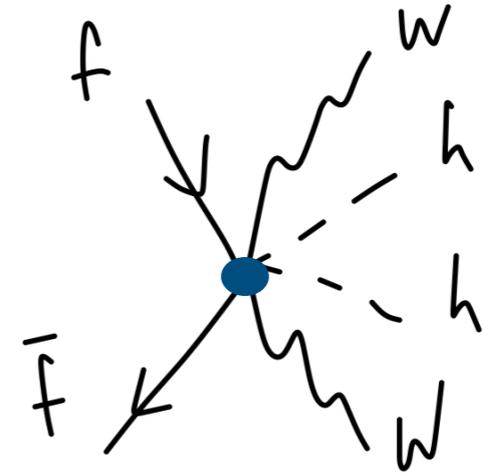
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New multi-particle interactions

With new organization: number of operators that affect 2- and 3-pt vertices is **small** and **~constant** with mass dimension

Makes full $1/\Lambda^4$ study possible for certain processes
(can also extrapolate, generate compact all-orders results)

First hint: Misiak et al 1812.11513

Fully exploiting IBP and EOM redundancies, the only SMEFT operator types that contribute to bosonic 2-pt interactions are:

$$H^n, H^n X^2, D^2 H^n$$

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Why not e.g. $D^4 H^4$? $(DH \sim \partial h + ig A_\nu + ig Ah)$

- $(DH^\dagger)(DH)(DH^\dagger)(DH)$? – too many fields
- $(D_{\{\mu\nu\}}H^\dagger D_{\{\mu\nu\}}H)(H^\dagger H)$? – via IBP and EOM, reduces to operators with 2 derivs + operators with > 2 fields

...

Similar arguments can be made for operators with field strengths, more derivatives

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...

Bosonic kinetic piece
defined by two functions:

$$h(H)(D_\mu H^\dagger D_\mu H), g_{AB}(H) \mathcal{W}_{\mu\nu}^A \mathcal{W}^{B\mu\nu}$$

$$\mathcal{W}^A = (W^1, W^2, W^3, B)$$

Even better:

Number of H^n , $H^n X^2$, $D^2 H^n$ type operators ~ doesn't change with mass dimension

| | Mass Dimension | | | | |
|--|----------------|---|----|----|----|
| Field space connection | 6 | 8 | 10 | 12 | 14 |
| $h_{IJ}(\phi)(D_\mu\phi)^I(D^\mu\phi)^J$ | 2 | 2 | 2 | 2 | 2 |
| $g_{AB}(\phi)\mathcal{W}_{\mu\nu}^A\mathcal{W}^{B,\mu\nu}$ | 3 | 4 | 4 | 4 | 4 |

Consequence of group theory + Bose statistics
Verified with Hilbert series method

contributions to h_{IJ}

$$Q_{HD}^{(8+2n)} = (H^\dagger H)^{n+2} \left(D_\mu H \right)^\dagger (D^\mu H)$$

$$Q_{H,D2}^{(8+2n)} = (H^\dagger H)^{n+1} (H^\dagger \sigma_a H) \left(D_\mu H \right)^\dagger \sigma^a (D^\mu H)$$

What about 3-pt interactions? Similar story

- 3 fields only, Lorentz invariance
- non-Higgs derivatives **increase field count or introduce momentum**

$D\psi, D\bar{\psi}, DX \rightarrow$ **2 fields or 1 field + 1 momentum**

$DH \rightarrow$ **1 or 2 fields or 1 field + 1 momentum**

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But **all** momentum dot products reduce to masses once we impose momentum conservation

$$\begin{aligned} \text{Ex.) } D_\mu H (D^\mu \bar{\psi}) \psi & \\ & \sim (p_H \cdot p_{\bar{\psi}}) H \bar{\psi} \psi \\ & \sim \left(\frac{m_\psi^2 - m_H^2 - m_{\bar{\psi}}^2}{2} \right) H \bar{\psi} \psi \end{aligned}$$

$p_H + p_{\bar{\psi}} + p_\psi = 0$

Just changes coefficient of $H \bar{\psi} \psi$: not a new operator structure

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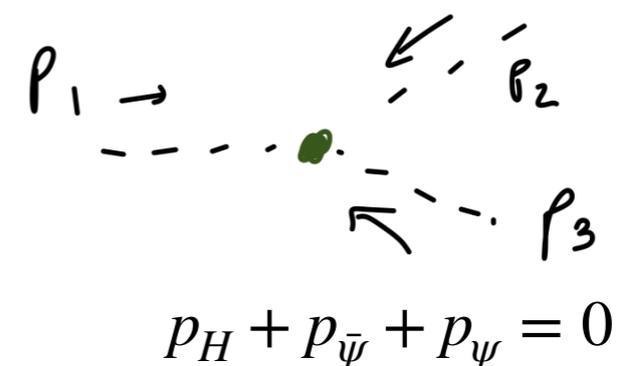
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True whenever $DF = \text{momentum}$

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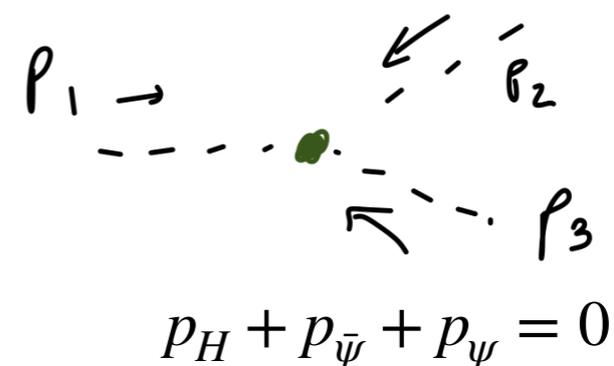
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Allowed 3-pt structures:

$$\begin{aligned}
 & h_{IJ}(\phi)(D_\mu\phi)^I(D_\mu\phi)^J, \quad g_{AB}(\phi)\mathcal{W}_{\mu\nu}^A\mathcal{W}^{B,\mu\nu} \\
 & k_{IJ}^A(\phi)(D_\mu\phi)^I(D_\nu\phi)^J\mathcal{W}_A^{\mu\nu}, \quad f_{ABC}(\phi)\mathcal{W}_{\mu\nu}^A\mathcal{W}^{B,\nu\rho}\mathcal{W}_\rho^{C,\mu}, \quad [+ \text{versions with } G^A] \\
 & Y(\phi)\bar{\psi}_1\psi_2, \quad L_{I,A}(\phi)\bar{\psi}_1\gamma^\mu\tau_A\psi_2(D_\mu\phi)^I, \quad d_A(\phi)\bar{\psi}_1\sigma^{\mu\nu}\psi_2\mathcal{W}_{\mu\nu}^A, \\
 & \quad \quad \quad \uparrow \quad \quad \quad \uparrow \\
 & \quad \quad \quad \text{Higgs-dependent 'connections'} \quad \uparrow
 \end{aligned}$$

As before, # operators small and remains ~fixed for increasing mass dimension

| Field space connection | Mass Dimension | | | | |
|--|----------------|----------|----------|----------|----------|
| | 6 | 8 | 10 | 12 | 14 |
| $k_{IJA}(\phi)(D^\mu\phi)^I(D^\nu\phi)^J\mathcal{W}_{\mu\nu}^A$ | 0 | 3 | 4 | 4 | 4 |
| $f_{ABC}(\phi)\mathcal{W}_{\mu\nu}^A\mathcal{W}^{B,\nu\rho}\mathcal{W}_\rho^{C,\mu}$ | 1 | 2 | 2 | 2 | 2 |
| $Y_{pr}^u(\phi)\bar{Q}u + \text{h.c.}$ | $2N_f^2$ | $2N_f^2$ | $2N_f^2$ | $2N_f^2$ | $2N_f^2$ |
| $Y_{pr}^d(\phi)\bar{Q}d + \text{h.c.}$ | $2N_f^2$ | $2N_f^2$ | $2N_f^2$ | $2N_f^2$ | $2N_f^2$ |
| $Y_{pr}^e(\phi)\bar{L}e + \text{h.c.}$ | $2N_f^2$ | $2N_f^2$ | $2N_f^2$ | $2N_f^2$ | $2N_f^2$ |
| $d_A^{e,pr}(\phi)\bar{L}\sigma_{\mu\nu}e\mathcal{W}_A^{\mu\nu} + \text{h.c.}$ | $4N_f^2$ | $6N_f^2$ | $6N_f^2$ | $6N_f^2$ | $6N_f^2$ |
| $d_A^{u,pr}(\phi)\bar{Q}\sigma_{\mu\nu}u\mathcal{W}_A^{\mu\nu} + \text{h.c.}$ | $4N_f^2$ | $6N_f^2$ | $6N_f^2$ | $6N_f^2$ | $6N_f^2$ |
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| $L_{pr,A}^{\psi_R}(\phi)(D^\mu\phi)^J(\bar{\psi}_{p,R}\gamma_\mu\sigma_A\psi_{r,R})$ | N_f^2 | N_f^2 | N_f^2 | N_f^2 | N_f^2 |
| $L_{pr,A}^{\psi_L}(\phi)(D^\mu\phi)^J(\bar{\psi}_{p,L}\gamma_\mu\sigma_A\psi_{r,L})$ | $2N_f^2$ | $4N_f^2$ | $4N_f^2$ | $4N_f^2$ | $4N_f^2$ |

Allowed 3-pt structures:

$$\begin{aligned}
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 \end{aligned}$$

$\nwarrow \quad \uparrow \quad \nearrow$
 Higgs-dependent 'connections'

As before, # operators small and remains ~fixed for increasing mass dimension

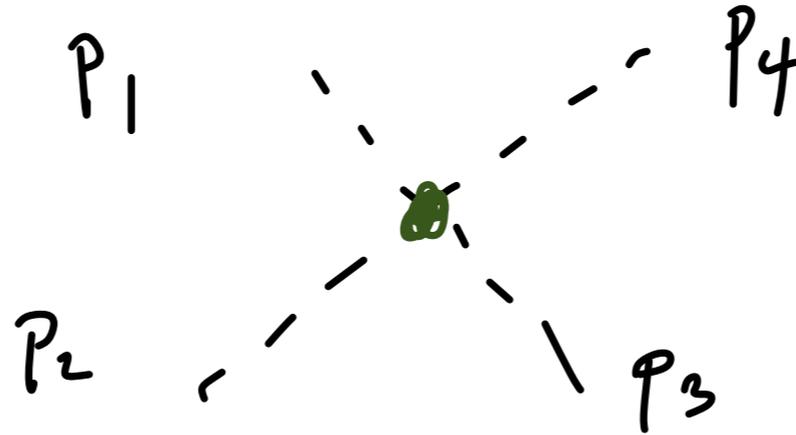
| | Mass Dimension | | | | |
|------------------------|----------------|---|----|----|----|
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Basis with minimal 2- and 3-pt operators:

geometric SMEFT = 'geoSMEFT'

| | | | | | |
|--|----------|----------|----------|----------|----------|
| $L_{pr,A}^{\psi_R}(\phi)(D^\mu\phi)^J(\psi_{p,R}\gamma_\mu\sigma_A\psi_{r,R})$ | N_f^2 | N_f^2 | N_f^2 | N_f^2 | N_f^2 |
| $L_{pr,A}^{\psi_L}(\phi)(D^\mu\phi)^J(\bar{\psi}_{p,L}\gamma_\mu\sigma_A\psi_{r,L})$ | $2N_f^2$ | $4N_f^2$ | $4N_f^2$ | $4N_f^2$ | $4N_f^2$ |

4-pt interactions: can we go 'full metric'?



Key part of 2- and 3-pt result is that special kinematics forbade

$$DF \sim \text{momentum}$$

No longer true at ≥ 4 -pt interactions, operators can depend on

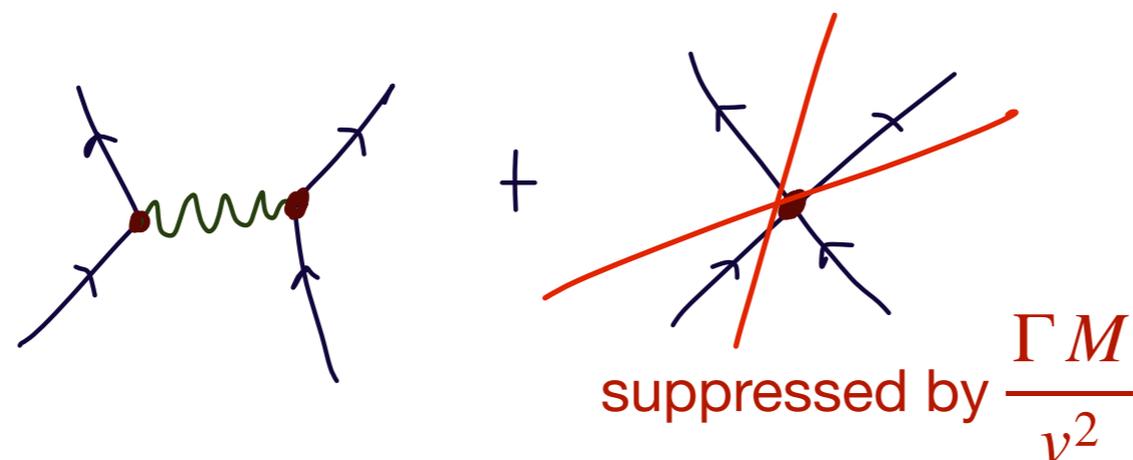
$$\mathcal{O} \sim s^n t^m$$

→ infinite set of higher derivative operators can contribute

4-pt interactions: can we go 'full metric'?

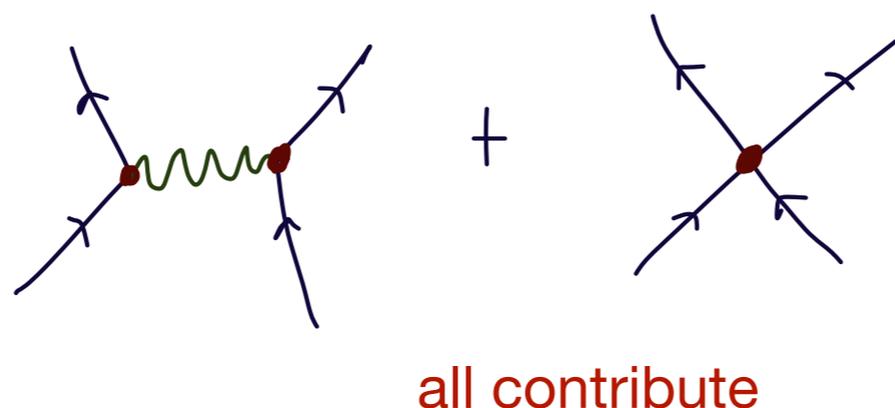
- emphasizes the importance of on-resonance measurements for SMEFT

resonant:



$\mathcal{O}(10)$ operators at $1/\Lambda^4$

non-resonant:



$\gg 10$ operators

- still may be some surprising structure for $n \geq 4$ — worth thinking about

Can get 'all orders' expressions for $1 \rightarrow 2$ processes:

e.g) $h \rightarrow \gamma\gamma$

$$\langle h A^{\mu\nu} A_{\mu\nu} \rangle \mathcal{A}_{SM}^{h\gamma\gamma} = \langle h A^{\mu\nu} A_{\mu\nu} \rangle \frac{\sqrt{h}^{44}}{4} \left[\left\langle \frac{\delta g_{33}(\phi)}{\delta \phi_4} \right\rangle \frac{\bar{e}^2}{g_2^2} + 2 \left\langle \frac{\delta g_{34}(\phi)}{\delta \phi_4} \right\rangle \frac{\bar{e}^2}{g_1 g_2} + \left\langle \frac{\delta g_{44}(\phi)}{\delta \phi_4} \right\rangle \frac{\bar{e}^2}{g_1^2} \right]$$

go to mass basis
H normalization expand $g_{33}(\phi) \mathcal{W}_{\mu\nu}^3 \mathcal{W}^{3\mu\nu}$ to get linear h piece

application: expanding, can now calculate full $1/\Lambda^4$ corrections and see how well (dim-6)² captures the result

defining: $\langle h | \gamma\gamma \rangle_{\mathcal{L}^{(6)}} = \left[\frac{g_2^2 \tilde{C}_{HB}^{(6)} + g_1^2 \tilde{C}_{HW}^{(6)} - g_1 g_2 \tilde{C}_{HWB}^{(6)}}{(g_1^2 + g_2^2) \bar{v}_T} \right]$

(dim-6)² estimate: $\left| \mathcal{A}_{SM}^{h\gamma\gamma} \right|^2 + 2 \operatorname{Re} \left(\mathcal{A}_{SM}^{h\gamma\gamma} \right) \langle h | \gamma\gamma \rangle_{\mathcal{L}^{(6)}} + \langle h | \gamma\gamma \rangle_{\mathcal{L}^{(6)}}^2$

Can get 'all orders' expressions for $1 \rightarrow 2$ processes:

e.g) $h \rightarrow \gamma\gamma$

Full $\mathcal{O}(1/\Lambda^4)$ result:

$$\left| \mathcal{A}_{SM}^{h\gamma\gamma} \right|^2 + 2 \operatorname{Re} \left(\mathcal{A}_{SM}^{h\gamma\gamma} \right) \left(1 + \left\langle \sqrt{h}^{-44} \right\rangle_{\mathcal{L}^{(6)}} \right) \langle h | \gamma\gamma \rangle_{\mathcal{L}^{(6)}} + \left(1 + 4\bar{v}_T \operatorname{Re} \left(\mathcal{A}_{SM}^{h\gamma\gamma} \right) \right) \left(\langle h | \gamma\gamma \rangle_{\mathcal{L}^{(6)}} \right)^2$$
$$+ 2 \operatorname{Re} \left(\mathcal{A}_{SM}^{h\gamma\gamma} \right) \left[\frac{g_2^2 \tilde{C}_{HB}^{(8)} + g_1^2 \left(\tilde{C}_{HW}^{(8)} - \tilde{C}_{HW,2}^{(8)} \right) - g_1 g_2 \tilde{C}_{HWB}^{(8)}}{(g_1^2 + g_2^2) \bar{v}_T} \right]$$

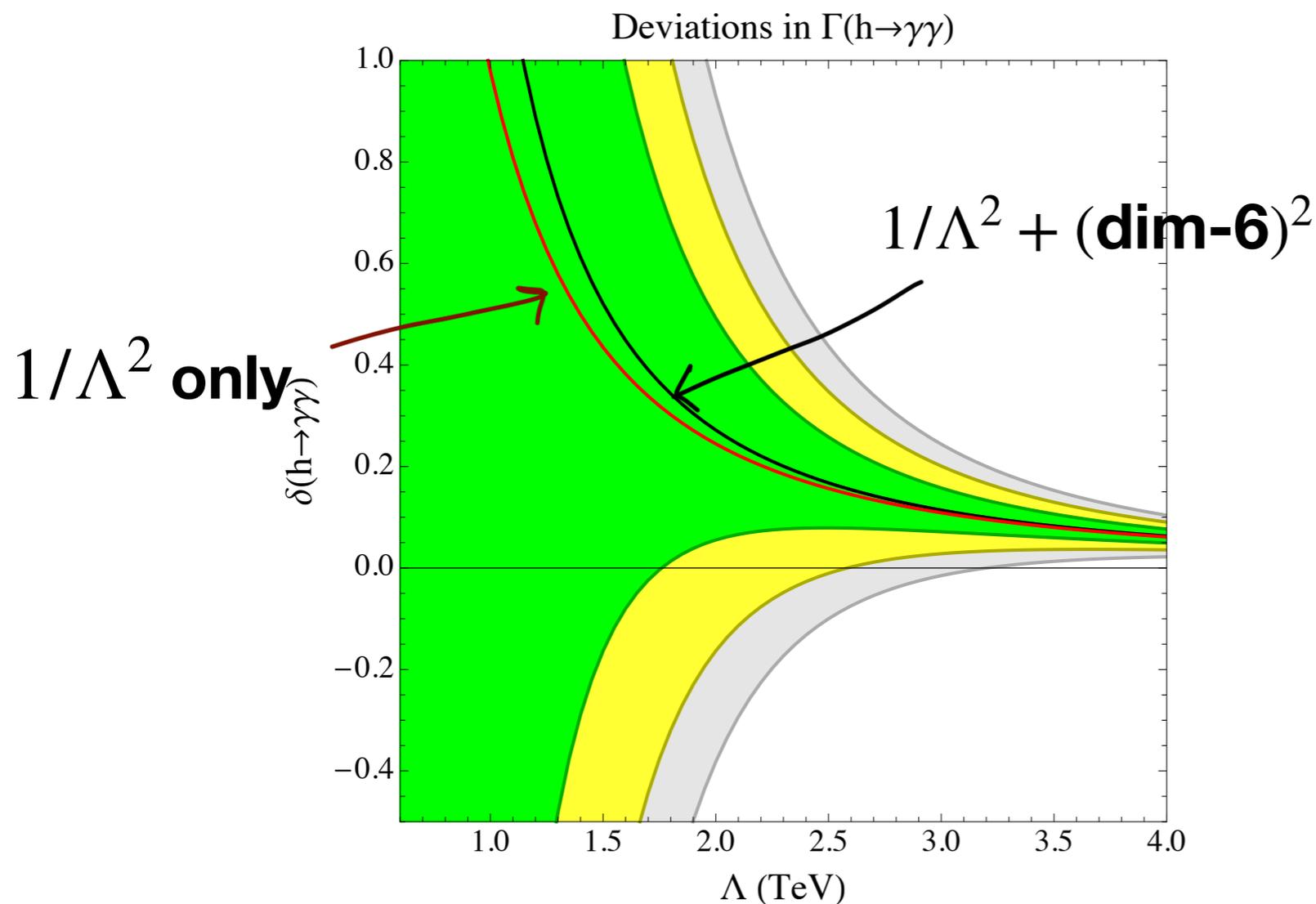
At $1/\Lambda^4$, only involves $\mathcal{O}(10)$ operators

Significant differences between full and $(\dim 6)^2$ result!

Working to $1/\Lambda^4$:

e.g) $h \rightarrow \gamma\gamma$: Quantify effect by **randomly drawing** coefficients and comparing dim-6, (dim-6)² and full $1/\Lambda^4$ result:
for 'tree' operators: $\mathcal{O}(1)$, 'loop' operators: $\mathcal{O}(0.01)$

[Arzt'93], [Einhorn, Wudka '13], [Craig et al '20]



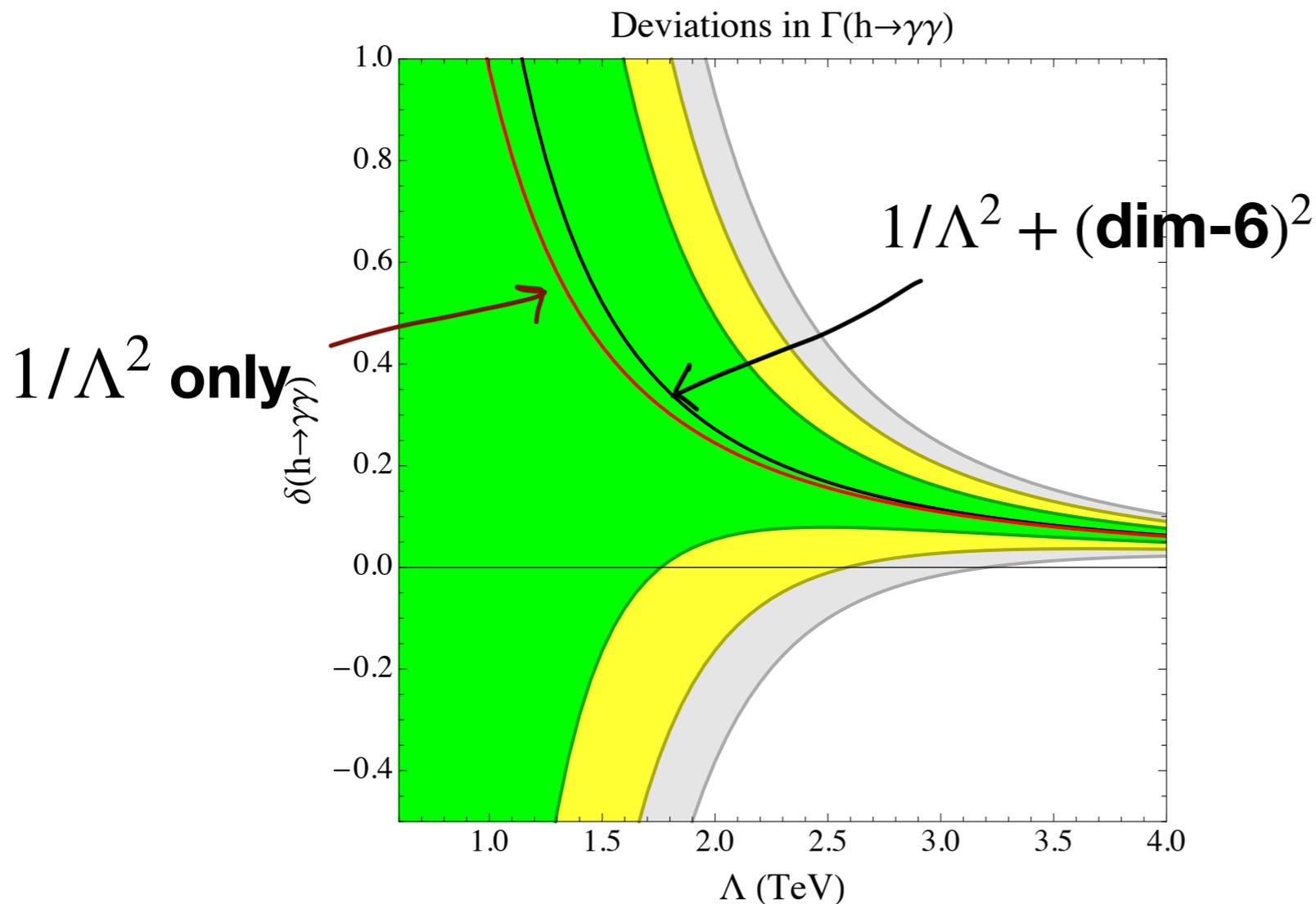
fixing $1/\Lambda^2$, $(\mathbf{dim-6})^2$
result: contours show
range of effects once
full $1/\Lambda^4$ effects are
included

Working to $1/\Lambda^4$:

e.g) $h \rightarrow \gamma\gamma$: Quantify effect by **randomly drawing** coefficients and comparing dim-6, (dim-6)² and full $1/\Lambda^4$ result:
for 'tree' operators: $\mathcal{O}(1)$, 'loop' operators: $\mathcal{O}(0.01)$

ex.) $(H^\dagger H)^2 \chi_{\mu\nu} \chi^{\mu\nu}$

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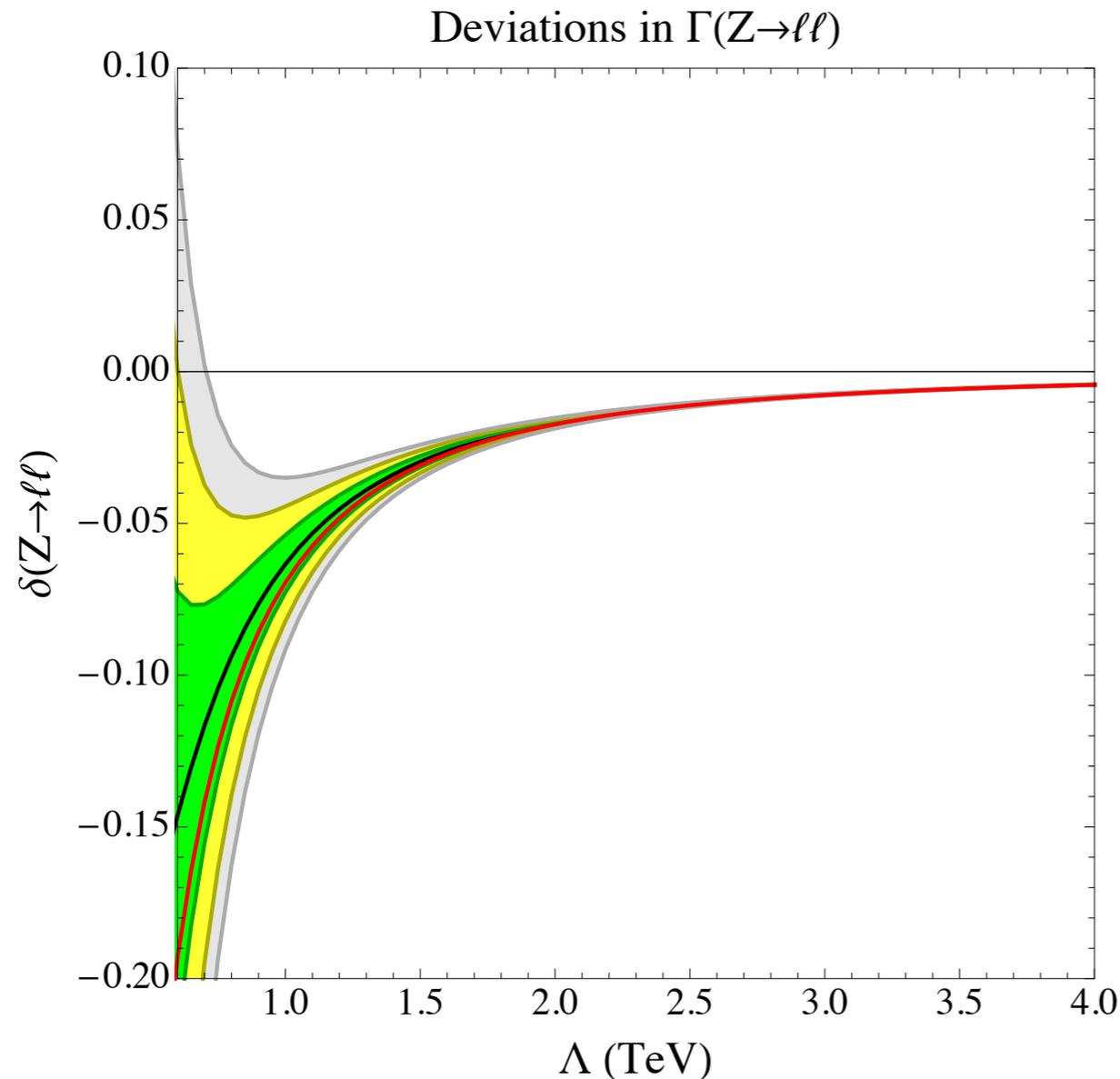


Large effect, as only loop-level operators enter at dim-6, while tree-level operators enter at dim-8

similar story for $h \rightarrow Z\gamma$

Working to $1/\Lambda^4$:

e.g.) $Z \rightarrow \ell^+ \ell^-$



Now tree-level operators present for both dim-6 and dim-8

$$\langle Z | \ell\ell \rangle_{to v^2/\Lambda^2} \sim \left(\frac{C^{(6)}}{1.0} \right) \frac{v^2}{\Lambda^2}$$

$$\langle Z | \ell\ell \rangle_{to v^4/\Lambda^4} \sim \left(\frac{C^{(8)}}{1.0} \right) \frac{v^4}{\Lambda^4}$$

smaller impact, but still present, especially if Λ is small

Takeaways

Study the ‘truncation error’ from higher order SMEFT effects

- Explore $(\text{dim}-6)^2$ contribution as a proxy theory uncertainty
- geoSMEFT basis: basis where 2 and 3 particle vertices sensitive to a minimal # of operators, # \sim constant with mass dimension. Useful to inform where $(\text{dim}-6)^2$ fails/succeeds at capturing $1/\Lambda^4$ effects.

Lots of other possible directions:

- Top-down studies
 - $1/\Lambda^4$ versus SM NLO
- All-orders results
 - How to pin down new coefficients, rather than treat them as nuisance parameters?

THANK YOU!

Backup

Example operator counting:

$(H^\dagger H)^n W_L^2$ ignore Lorentz, focus on $SU(2)_W$ reps.

$H = (1/2) \therefore H^n = (n/2)$ $W_L^2 = (0 \oplus 2)$ enforced by Bose symm.

$H^\dagger = (1/2) \therefore (H^\dagger)^n = (n/2)$

$$(H^\dagger H)^n = (0 \oplus 1 \oplus 2 \oplus \dots n) \otimes W_L^2 = (0 \oplus 2) = 2 \text{ invariants}$$

[+1 for B_L^2 and +1 for $W_L B_L = 4$]

To get $SU(2)_W$ **2**, need ≥ 4 Higgses \rightarrow operator dimension ≥ 8

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contributions to g_{AB}

$$Q_{HB}^{(6+2n)} = (H^\dagger H)^{n+1} B^{\mu\nu} B_{\mu\nu},$$

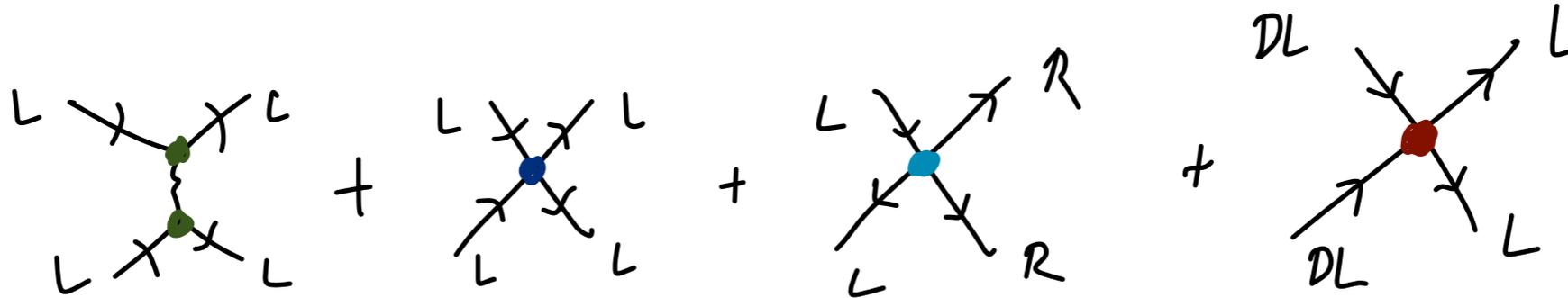
$$Q_{HW}^{(6+2n)} = (H^\dagger H)^{n+1} W_a^{\mu\nu} W_{\mu\nu}^a,$$

$$Q_{HWB}^{(6+2n)} = (H^\dagger H)^n (H^\dagger \sigma^a H) W_a^{\mu\nu} B_{\mu\nu},$$

$$Q_{HW,2}^{(8+2n)} = (H^\dagger H)^n (H^\dagger \sigma^a H) (H^\dagger \sigma^b H) W_a^{\mu\nu} W_{b,\mu\nu},$$

What about G_F ?

G_F involves more than quadratic terms:



However, since G_F derived at muon mass scale ($D \sim m_\mu \ll \Lambda$) and SM term is from L^4 , # of higher dimensional contributions is dramatically reduced

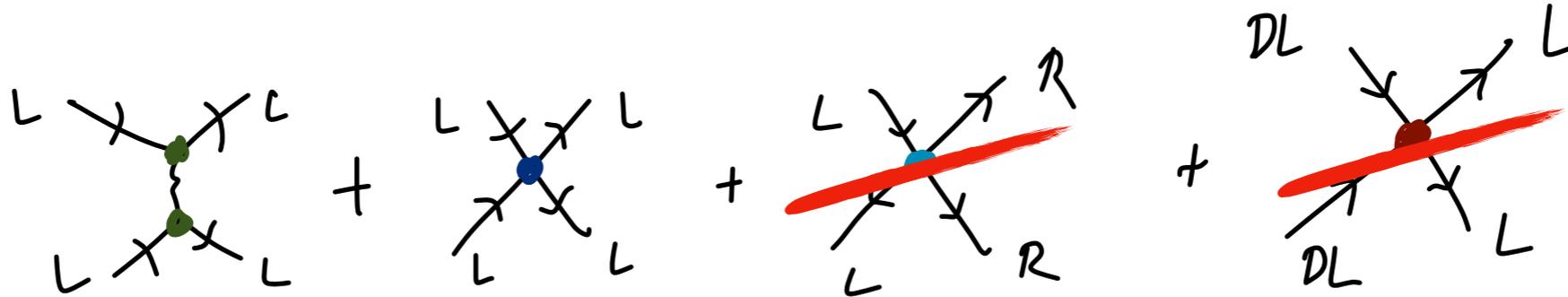
$$C_{4\ell,2}^{(8+2n)} (H^\dagger H)^{1+n} (\bar{\ell}_2 \gamma^\mu \sigma^i \ell_2) (\bar{\ell}_1 \gamma_\mu \sigma_i \ell_1) \quad i C_{4\ell,5}^{(8+2n)} \epsilon_{ijk} (H^\dagger H)^n (H^\dagger \sigma^i H) (\bar{\ell}_2 \gamma^\mu \sigma_j \ell_2) (\bar{\ell}_1 \gamma_\mu \sigma_k \ell_1)$$

All orders result is possible even for contact terms:

$$\mathcal{G}_F^{4pt} = \frac{1}{\bar{v}_T^2} \left(\tilde{C}_{\mu c c \mu}^{(6)} + \tilde{C}_{\mu \mu \mu e}^{(6)} + \frac{\tilde{C}_{4\ell,2}^{(8+2n)}}{2^n} + \frac{\tilde{C}_{4\ell,5}^{(8+2n)}}{2^n} \right)$$

What about G_F ?

G_F involves more than quadratic terms:



However, since G_F derived at muon mass scale ($D \sim m_\mu \ll \Lambda$) and SM term is from L^4 , # of higher dimensional contributions is dramatically reduced

$$C_{4\ell,2}^{(8+2n)} (H^\dagger H)^{1+n} (\bar{\ell}_2 \gamma^\mu \sigma^i \ell_2) (\bar{\ell}_1 \gamma_\mu \sigma_i \ell_1) \quad i C_{4\ell,5}^{(8+2n)} \epsilon_{ijk} (H^\dagger H)^n (H^\dagger \sigma^i H) (\bar{\ell}_2 \gamma^\mu \sigma_j \ell_2) (\bar{\ell}_1 \gamma_\mu \sigma_k \ell_1)$$

All orders result is possible even for contact terms:

$$\mathcal{G}_F^{4pt} = \frac{1}{\bar{v}_T^2} \left(\tilde{C}_{\mu\sigma\sigma\mu}^{(6)} + \tilde{C}_{\mu\mu\mu e}^{(6)} + \frac{\tilde{C}_{4\ell,2}^{(8+2n)}}{2^n} + \frac{\tilde{C}_{4\ell,5}^{(8+2n)}}{2^n} \right)$$