

# PHENOMENOLOGY OF NEUTRINO OSCILLATIONS IN 2020

Concha Gonzalez-Garcia

*(ICREA U. Barcelona & YITP Stony Brook )*

**SnowMass2021:TF11: Neutrino Theory Workshop. Sept 2qt, 2020**



*Global fit to neutrino  
oscillation data*

<http://www.nu-fit.org>

# Neutrinos in the Standard Model

The SM is a gauge theory based on the symmetry group

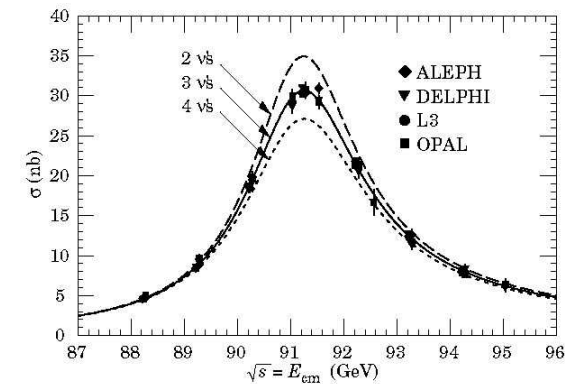
$$SU(3)_C \times SU(2)_L \times U(1)_Y \Rightarrow SU(3)_C \times U(1)_{EM}$$

With three generation of fermions

$(1, 2)_{-\frac{1}{2}}$	$(3, 2)_{\frac{1}{6}}$	$(1, 1)_{-1}$	$(3, 1)_{\frac{2}{3}}$	$(3, 1)_{-\frac{1}{3}}$
$\begin{pmatrix} \nu_e \\ e \end{pmatrix}_L$	$\begin{pmatrix} u^i \\ d^i \end{pmatrix}_L$	$e_R$	$u^i_R$	$d^i_R$
$\begin{pmatrix} \nu_\mu \\ \mu \end{pmatrix}_L$	$\begin{pmatrix} c^i \\ s^i \end{pmatrix}_L$	$\mu_R$	$c^i_R$	$s^i_R$
$\begin{pmatrix} \nu_\tau \\ \tau \end{pmatrix}_L$	$\begin{pmatrix} t^i \\ b^i \end{pmatrix}_L$	$\tau_R$	$t^i_R$	$b^i_R$

There is no  $\nu_R$

Three and only three



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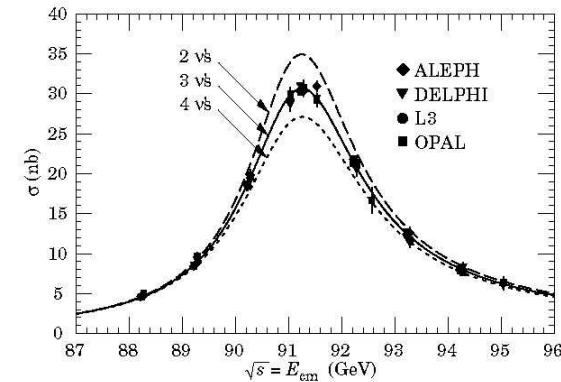


Accidental global symmetry:  $B \times L_e \times L_\mu \times L_\tau$  (hence  $L = L_e + L_\mu + L_\tau$ )



$\nu$  strictly massless

Three and only three



- By 2020 we have precisely observed (**relevant new results in  $\nu$ 2020**)
  - \* Atmospheric  $\nu_\mu$  &  $\bar{\nu}_\mu$  disappear most likely to  $\nu_\tau$  ( SK, MINOS, ICECUBE)
  - \* Accel.  $\nu_\mu$  &  $\bar{\nu}_\mu$  disappear at  $L \sim 300/800$  Km (K2K, MINOS **T2K**, **NO $\nu$ A**)
  - \* Accel.  $\nu_\mu$  &  $\bar{\nu}_\mu$  appear as  $\nu_e$  and  $\bar{\nu}_e$  at  $L \sim 300/800$  Km ( MINOS **T2K**, **NO $\nu$ A**)
  - \* Solar  $\nu_e$  convert to  $\nu_\mu/\nu_\tau$  (Cl, Ga, **SK**, SNO, Borexino)
  - \* Reactor  $\bar{\nu}_e$  disappear at  $L \sim 200$  Km (KamLAND)
  - \* Reactor  $\bar{\nu}_e$  disappear at  $L \sim 1$  Km (**D-Chooz**, Daya Bay, **Reno**)

All this implies that  $L_\alpha$  are violated

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- The *starting* path:

Precise determination of the low energy parametrization

# The New Minimal Standard Model

- Minimal Extension to allow for LFV  $\Rightarrow$  give Mass to the Neutrino

\* Introduce  $\nu_R$  AND impose  $L$  conservation  $\Rightarrow$  Dirac  $\nu \neq \nu^c$ :

$$\mathcal{L} = \mathcal{L}_{SM} - M_\nu \overline{\nu}_L \nu_R + h.c.$$

\* NOT impose  $L$  conservation  $\Rightarrow$  Majorana  $\nu = \nu^c$

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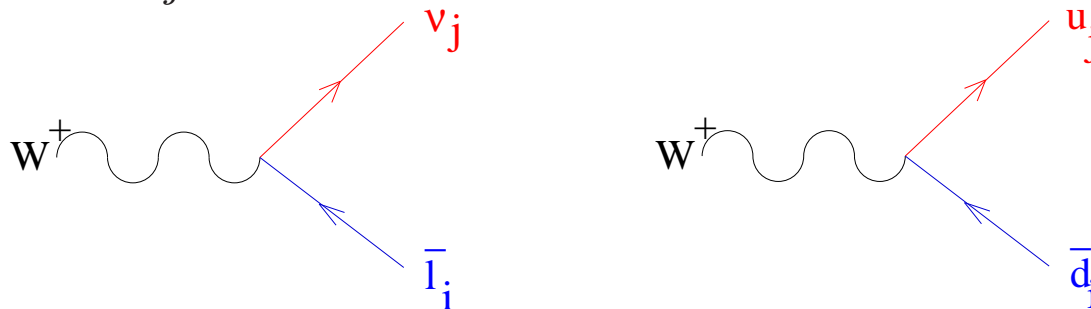
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- The charged current interactions of leptons are not diagonal (same as quarks)

$$\frac{g}{\sqrt{2}} W_\mu^+ \sum_{ij} (U_{\text{LEP}}^{ij} \bar{\ell}^i \gamma^\mu L \nu^j + U_{\text{CKM}}^{ij} \bar{U}^i \gamma^\mu L D^j) + h.c.$$



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$\Rightarrow$  Flavour Oscillations:

$$P_{\alpha\beta} = \delta_{\alpha\beta} - 4 \sum_{j \neq i}^n \text{Re}[U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^*] \sin^2 \left( \frac{\Delta_{ij}}{2} \right) + 2 \sum_{j \neq i} \text{Im}[U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^*] \sin(\Delta_{ij})$$

$$\frac{\Delta_{ij}}{2} = \frac{(E_i - E_j)L}{2} = 1.27 \frac{(m_i^2 - m_j^2)}{\text{eV}^2} \frac{L/E}{\text{Km/GeV}}$$

No information on  $\nu$  mass scale nor Majorana versus Dirac



# Flavour Osc in Vacuum vs Transitions in Matter

- In Vacuum

when osc between 2- $\nu$  dominates:

$$P_{\alpha\alpha} = 1 - P_{\alpha\neq\beta} \quad \text{Disappear}$$

$$P_{\alpha\neq\beta} = \sin^2(2\theta) \sin^2\left(1.27 \frac{\Delta m^2 L}{E}\right) \quad \text{Appear}$$

$\Rightarrow$  **No** information on **Ordering of states** ( i.e  $\text{sign}(\Delta m^2)$ ) nor **octact of  $\theta$**

$\Rightarrow$  For  $L \gg E/\Delta m^2$ , (oscillation averaged)  $\Rightarrow P_{\alpha\alpha} > \frac{1}{2}$

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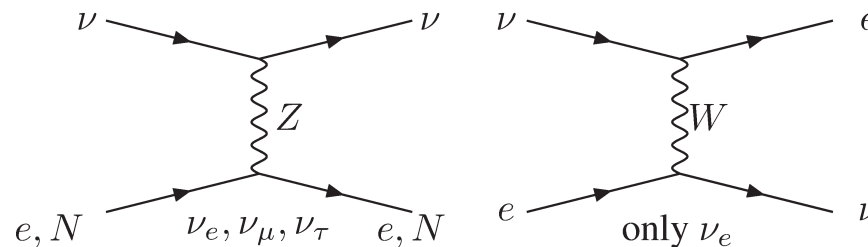
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- If  $\nu$  cross **matter** regions (Sun, Earth...) it interacts *coherently*

– And **Different flavours**

have **different interactions** :



$\Rightarrow$  Effective potential in  $\nu$  evolution :  $V_e \neq V_{\mu,\tau} \Rightarrow \Delta V^\nu = -\Delta V^{\bar{\nu}} = \sqrt{2}G_F N_e$

$$-i \frac{\partial}{\partial x} \begin{pmatrix} \nu_e \\ \nu_X \end{pmatrix} = \left[ - \begin{pmatrix} V_e - V_X - \frac{\Delta m^2}{4E} \cos 2\theta & \frac{\Delta m^2}{4E} \sin 2\theta \\ \frac{\Delta m^2}{4E} \sin 2\theta & \frac{\Delta m^2}{4E} \cos 2\theta \end{pmatrix} \right] \begin{pmatrix} \nu_e \\ \nu_X \end{pmatrix}$$

$\Rightarrow$  **Modification of mixing angle and oscillation wavelength (MSW)**

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$\Rightarrow$  **Modification of mixing angle and oscillation wavelength** (MSW)

- Mass difference and mixing in matter:

$$\Delta m_m^2 = \sqrt{(\Delta m^2 \cos 2\theta - 2E\Delta V)^2 + (\Delta m^2 \sin 2\theta)^2}$$

$$\sin(2\theta_m) = \frac{\Delta m^2 \sin(2\theta)}{\Delta m_{mat}^2}$$

$\Rightarrow$  For solar  $\nu$ 's in adiabatic regime

$$P_{ee} = \frac{1}{2} [1 + \cos(2\theta_m) \cos(2\theta)]$$

$$\simeq \sin^2 \theta < \frac{1}{2}$$

Dependence on  $\theta$  **octant**

$\Rightarrow$  In LBL terrestrial experiments

Dependence on **sign of  $\Delta m^2$**

and  $\theta$  **octant**

# 3ν Flavour Parameters

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- For for 3 ν's : 3 Mixing angles + 1 Dirac Phase + 2 Majorana Phases

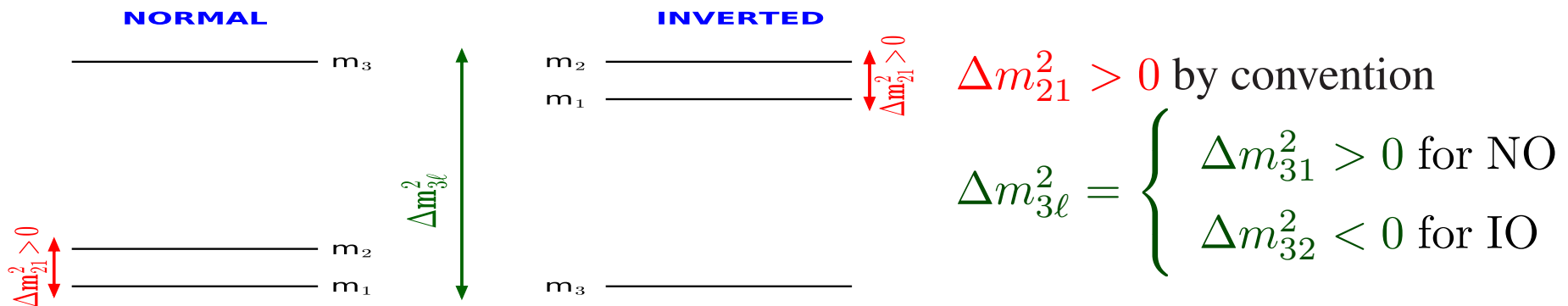
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- Convention:  $0 \leq \theta_{ij} \leq 90^\circ$   $0 \leq \delta \leq 360^\circ \Rightarrow 2$  Orderings

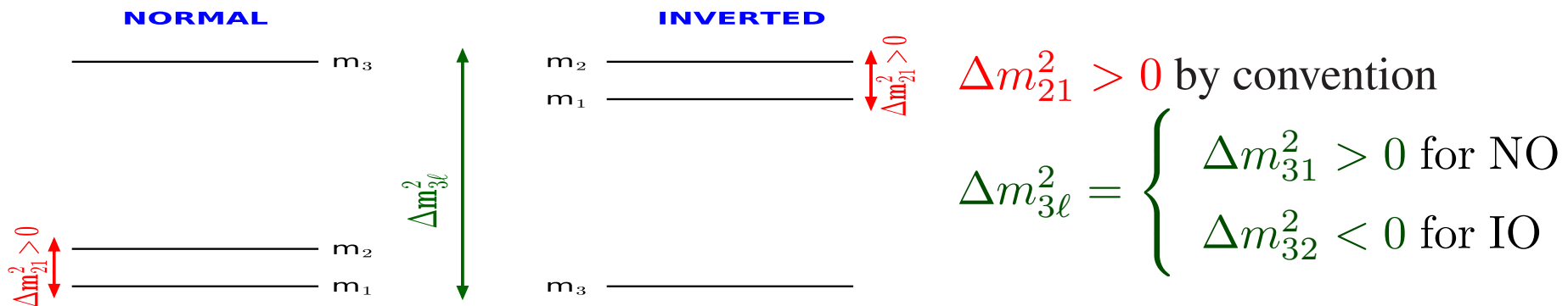


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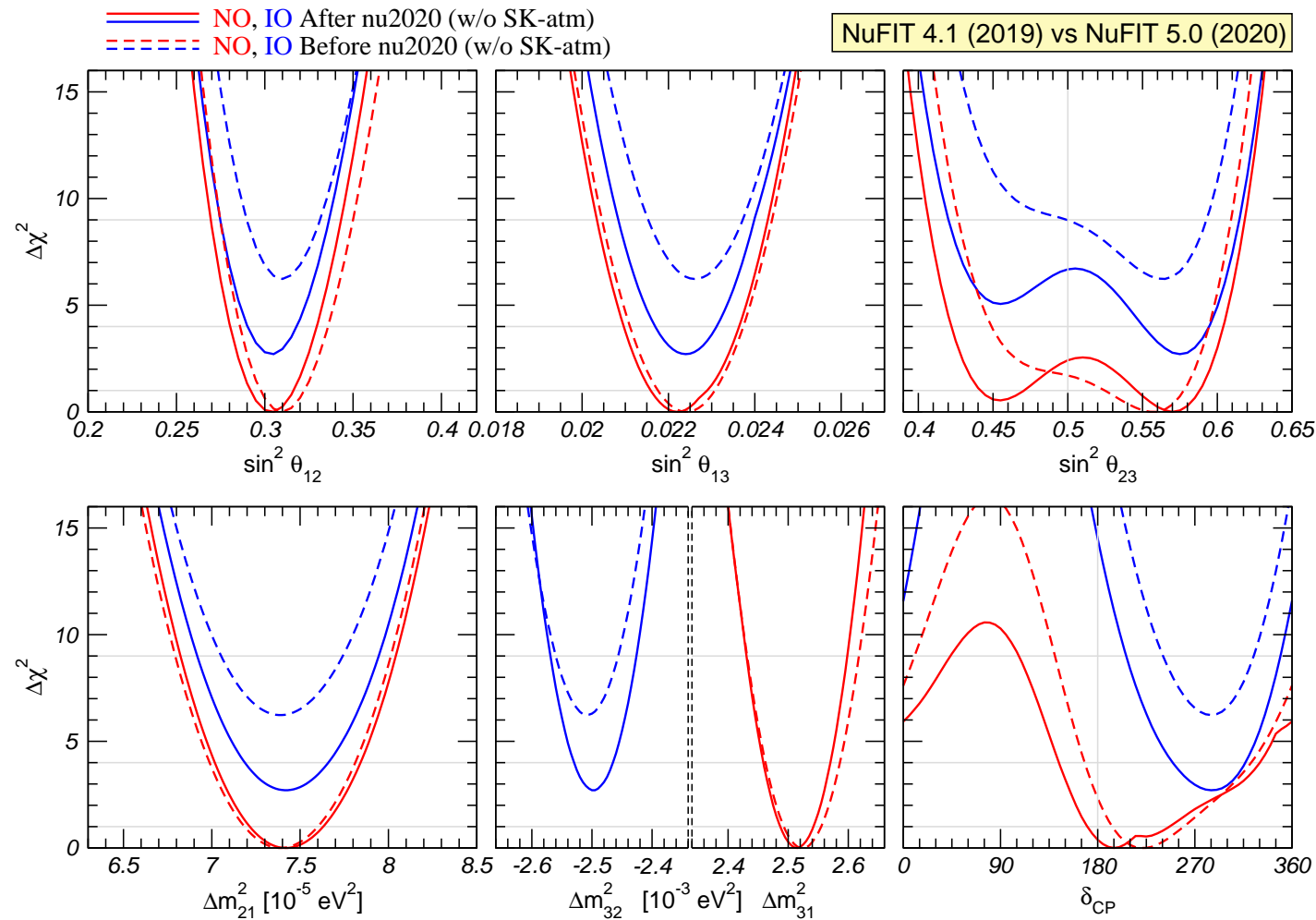


Experiment	Dominant Dependence	Important Dependence
Solar Experiments	$\theta_{12}$	$\Delta m_{21}^2, \theta_{13}$
Reactor LBL (KamLAND)	$\Delta m_{21}^2$	$\theta_{12}, \theta_{13}$
Reactor MBL (Daya Bay, Reno, D-Chooz)	$\theta_{13}, \Delta m_{3\ell}^2$	
Atmospheric Experiments (SK, IC)		$\theta_{23}, \Delta m_{3\ell}^2, \theta_{13}, \delta_{\text{CP}}$
Acc LBL $\nu_\mu$ Disapp (Minos, T2K, NOvA)	$\Delta m_{3\ell}^2, \theta_{23}$	
Acc LBL $\nu_e$ App (Minos, T2K, NOvA)	$\delta_{\text{CP}}$	$\theta_{13}, \theta_{23}$

# Status 9/2020: Global 3 $\nu$ Flavour Parameters

Global 6-parameter fit <http://www.nu-fit.org>

Esteban, Maltoni, Schwetz, Zhou, MCG-G ArXiv:2007.14792 (to appear in JHEP)



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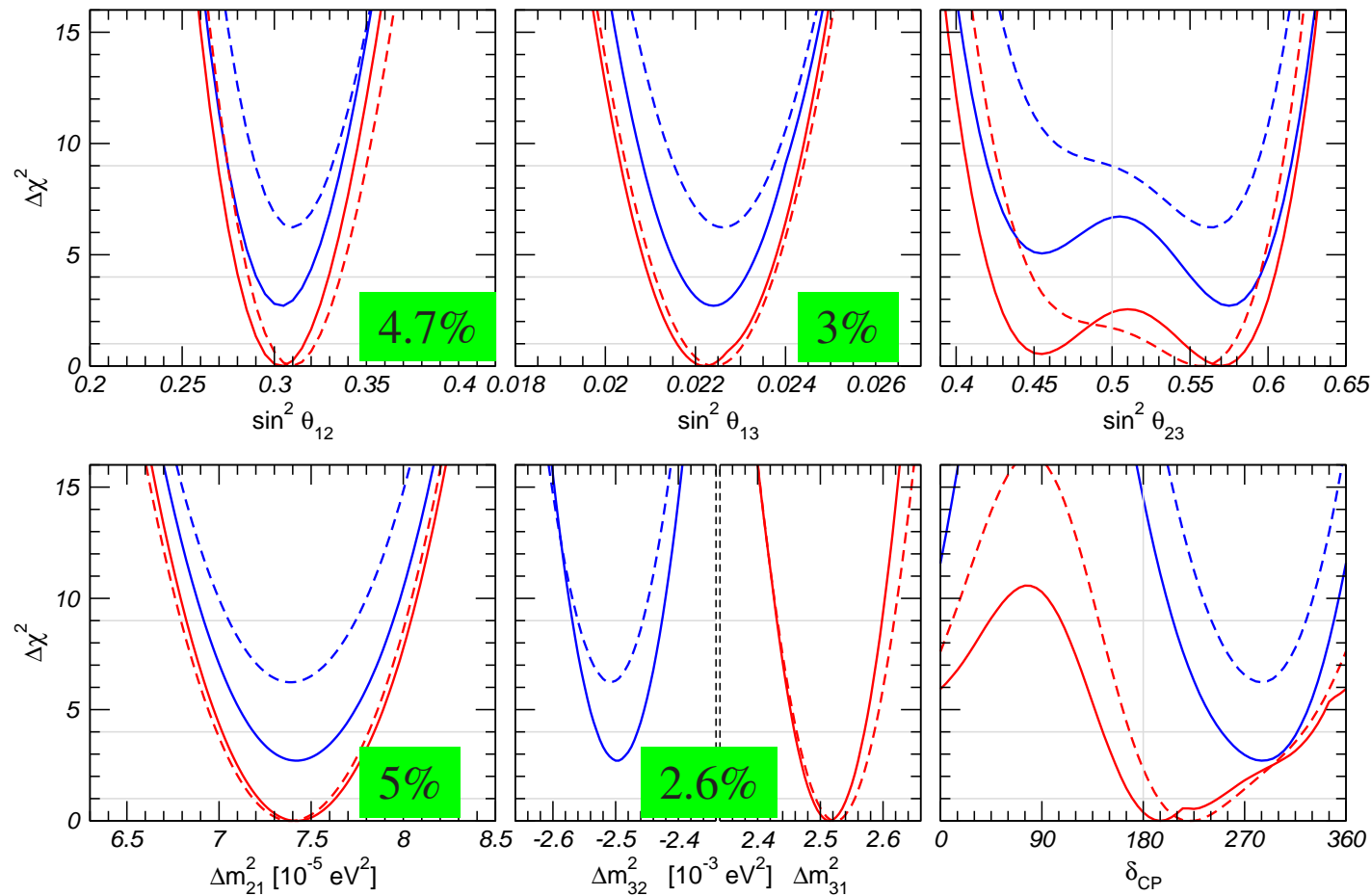
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Precision  $\frac{x_{3\sigma}^{up} - x_{3\sigma}^{low}}{3x^{av}}$

— NO, IO After nu2020 (w/o SK-atm)  
 - - - NO, IO Before nu2020 (w/o SK-atm)

NuFIT 4.1 (2019) vs NuFIT 5.0 (2020)



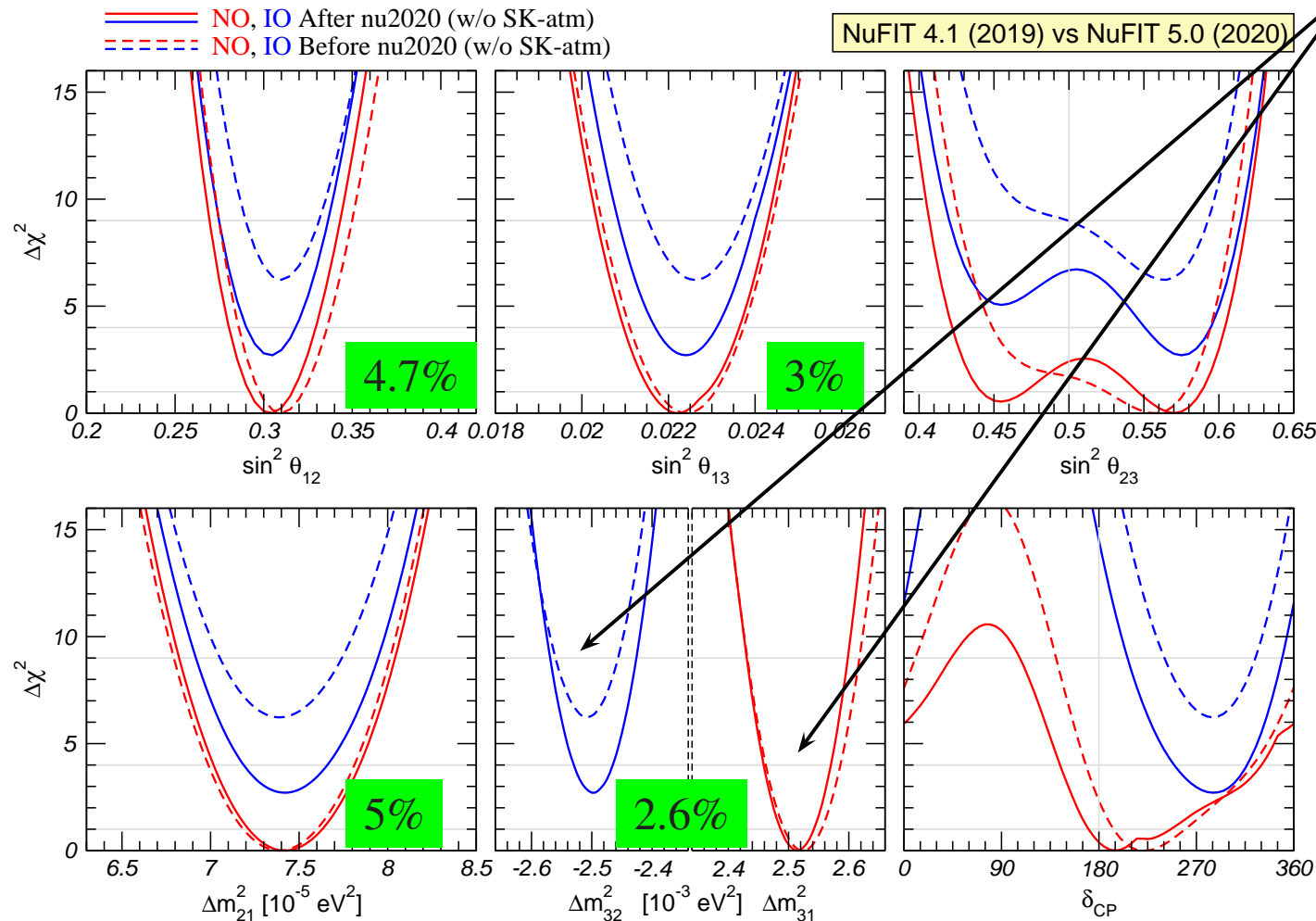


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● Decrease NO favouring

	$\Delta\chi^2_{\text{NO-IO}}$
T2K	2.1
NO $\nu$ A	0.4
LBL-Comb	-1.5
LBL+Reac	2.7
Glob w/o SK-atm	2.7
Glob w SK-atm	7.1

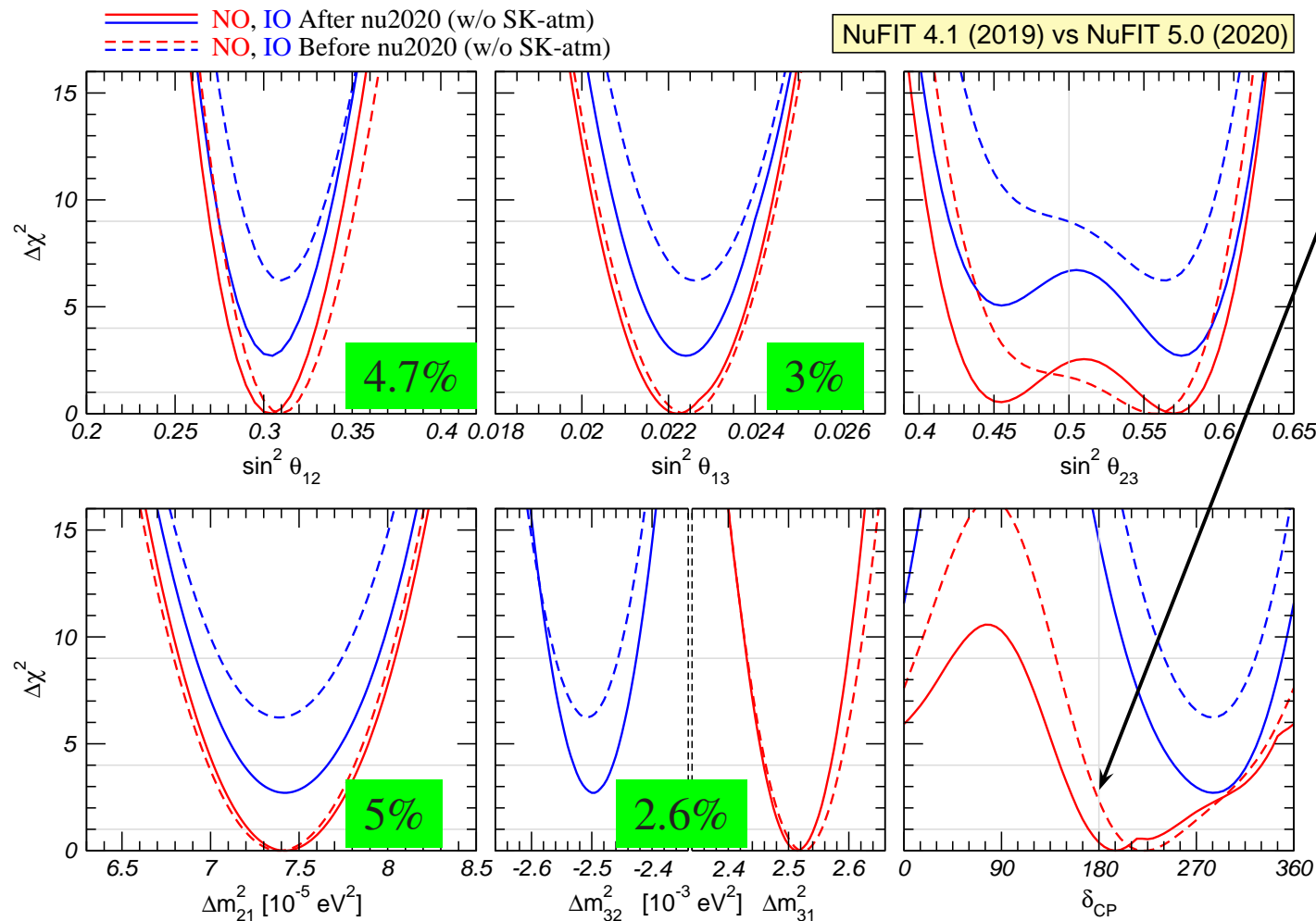
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• Mass Ordering

$\Delta\chi^2_{\text{NO-IO, w/o SK-atm}} = 2.7$

• CPV: CPC better

NO: b.f  $\delta_{CP} = 195^\circ$  CPC at  $0.6\sigma$

IO: b.f  $\delta_{CP} = 286^\circ$  CPC at  $3\sigma$

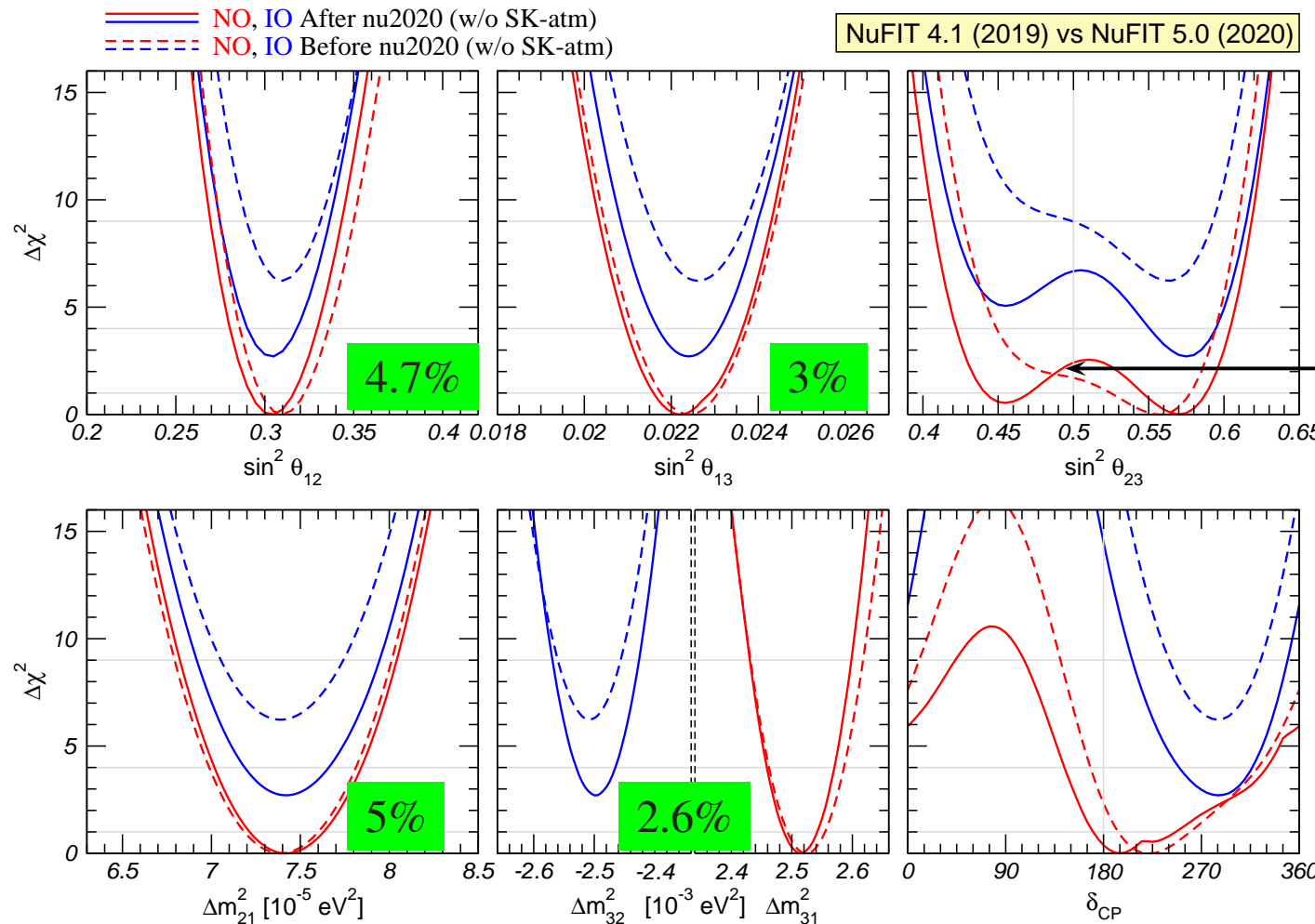
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•  $\theta_{23}$ :

NO: b.f  $s_{23}^2 = 0.57$

$\Delta\chi^2_{\text{w/o SK-atm}}(\text{1st oct}) = 0.53$

$\Delta\chi^2_{\text{w/o SK-atm}}(\text{Maxmix}) = 2.4$

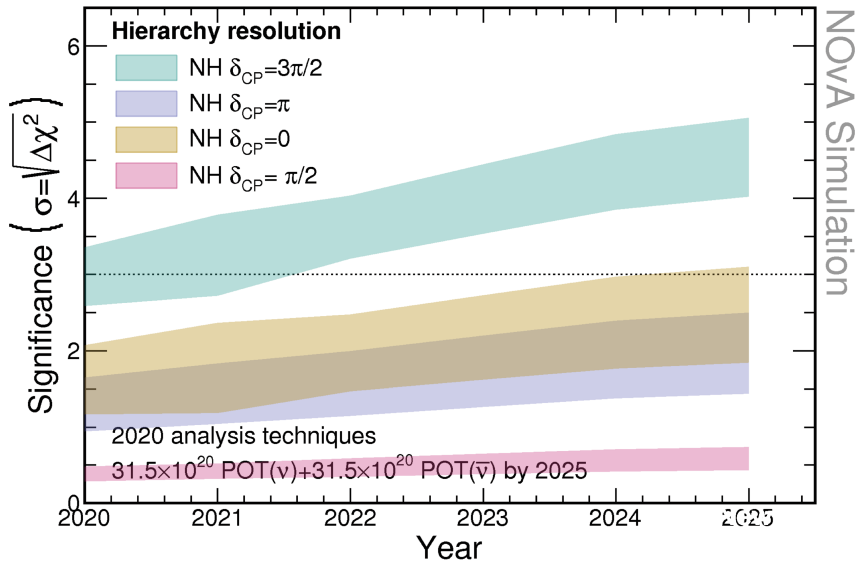
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# “Near Future” for CPV and Ordering

## NOνA: Ordering

A priori NOνA sensitivity to Mass Hierarchy vs. time

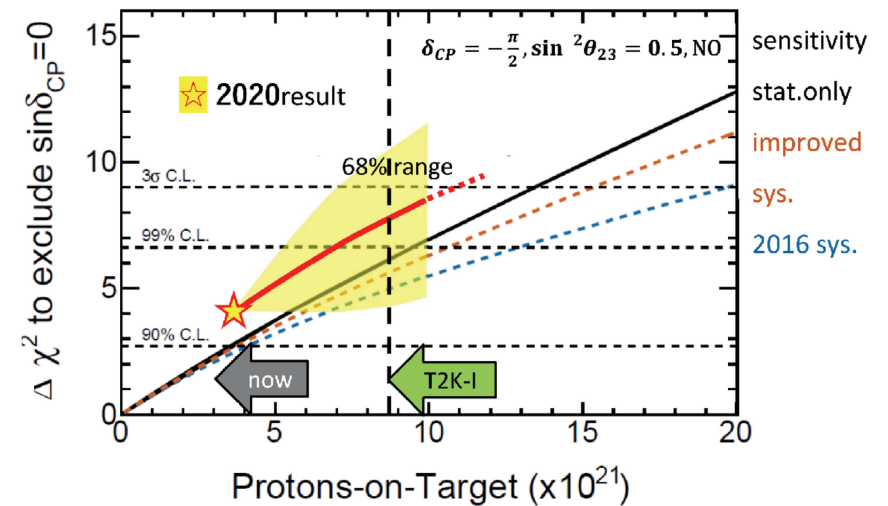
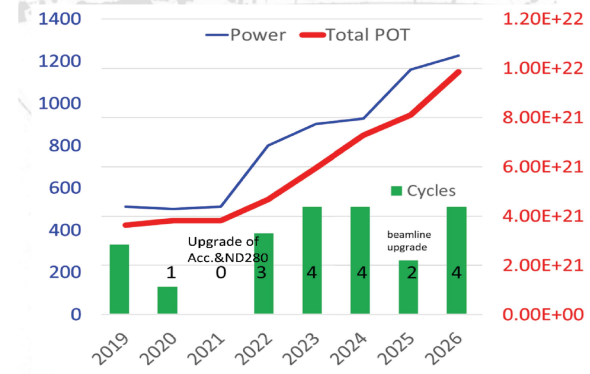
$$\sin^2\theta_{23}=0.45-0.60, \Delta m_{32}^2=+2.40 \times 10^{-3} \text{eV}^2, \sin^2 2\theta_{13}=0.085$$



NOνA reaches 3 sigma sensitivity for between 30% and 50% of  $\delta_{CP}$  values, depending on MH and  $\sin^2(\theta_{23})$

## T2K: CPV

Estimation POT



To be further improved by ND280 upgrade etc. If CP is maximally violated, we have a good chance to reach 3σ.

## *Confirmed LE Picture and today's List of Q&A*

- At least **two** neutrinos **are massive**  $\Rightarrow$  **There is NP**
- **Three mixing angles** are non-zero (and relatively **large**)  $\Rightarrow$  very **different from CKM**
- **Leptonic CP**: “Hint” driven by T2K “fluctuation” fading ...  
CPC close to best fit
- **Ordering**: NO preference fading ...  
Definite answers most likely only with upcoming experiments

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- **Only three light states?**

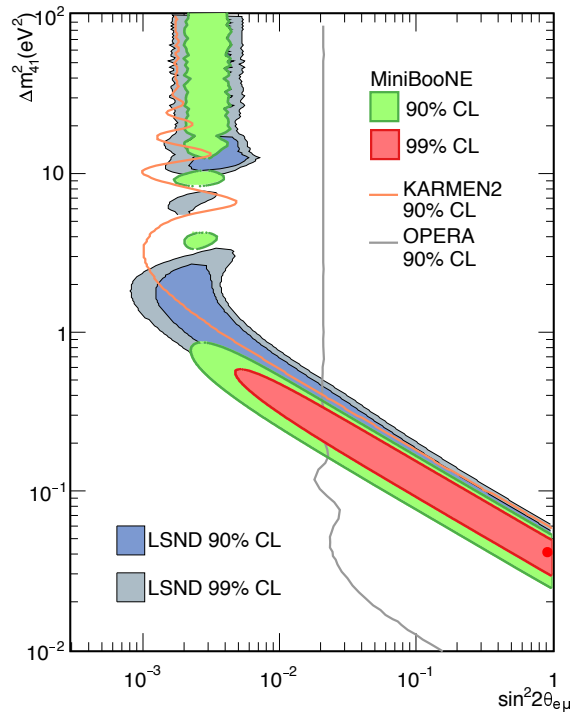
# Beyond 3ν's: Light Sterile Neutrinos

a Gonzalez-Garcia

- Several Observations which can be Interpreted as Oscillations with  $\Delta m^2 \sim \text{eV}^2$

## LSND, MiniBoone

$$\nu_{\mu} \rightarrow \nu_e \text{ and } \bar{\nu}_{\mu} \rightarrow \bar{\nu}_e$$

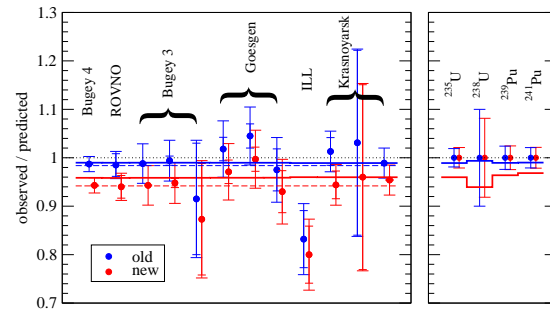


From LSND mid 90's  
to MiniBoone 1805.12028

## Reactor Anomaly

Huber, 1106.0687  
Mention *etal*, 1101.2755

New reactor flux calculation  
⇒ Deficit in data at  $L \lesssim 100$  m



Explained as  $\bar{\nu}_e$  disappearance

2.3  $\sigma$  with updated fluxes

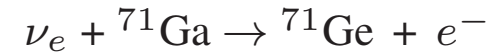
Berryman, Huber, 1909.09267

## Gallium Anomaly

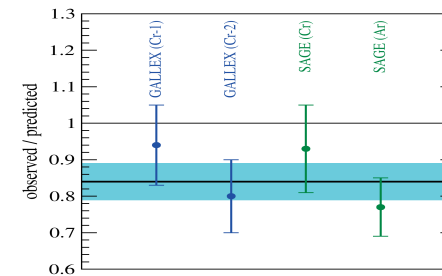
Acero, Giunti, Laveder, 0711.4222  
Giunti, Laveder, 1006.3244

Radioactive Sources ( $^{51}\text{Cr}$ ,  $^{37}\text{Ar}$ )

in calibration of Ga Solar Exp;



Give a rate lower than expected



Explained as  $\nu_e$  disappearance

Dimish significance to 2.3  $\sigma$

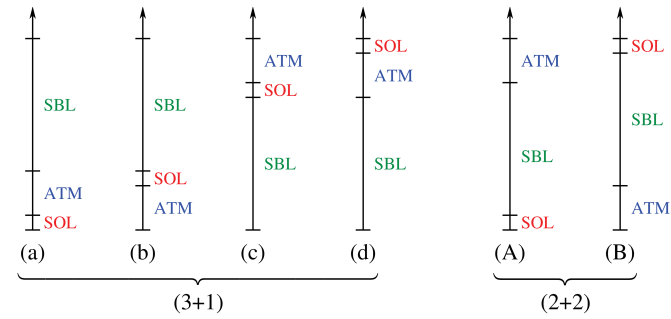
with new nuclear shell-model wave func

Kostensale *etal* 2019

# Light Sterile Neutrinos

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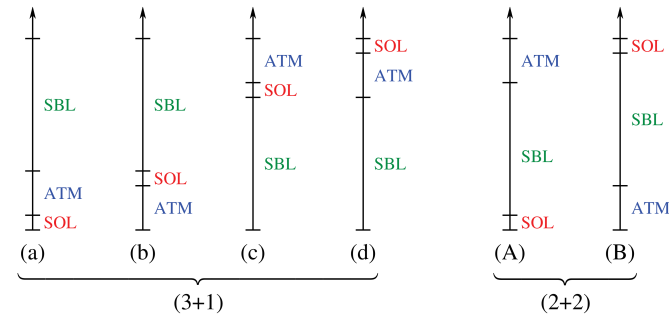




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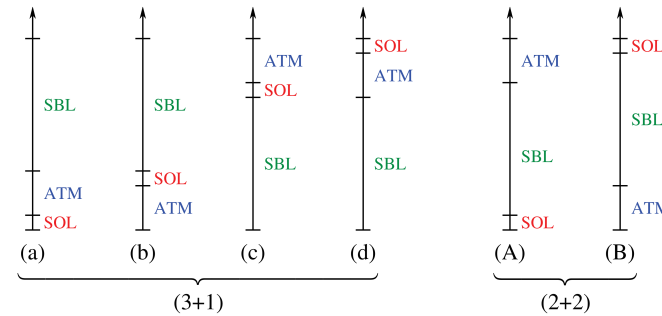


- 2+2: Ruled out by solar and atm data ( $\gtrsim 5\sigma$ ) *Maltoni et al NPB 02*

# Light Sterile Neutrinos

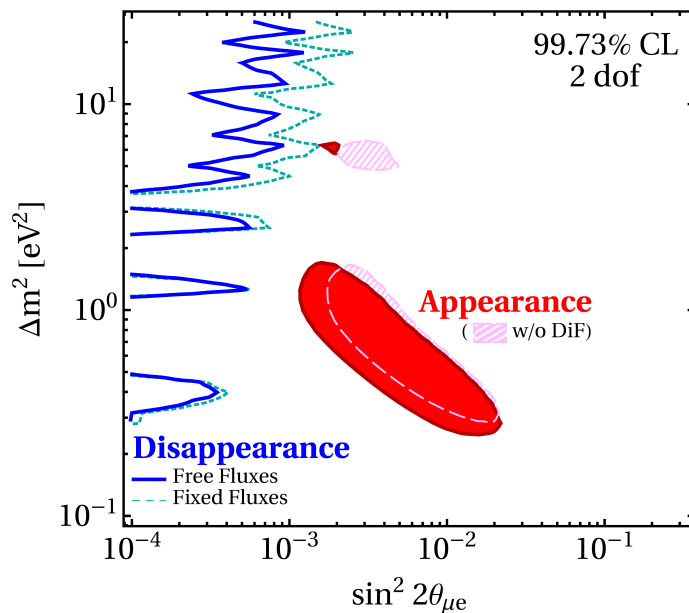
Concha Gonzalez-Garcia

- These explanations require  $\mathcal{O}(\text{eV})$  mass  $\nu_s$



- 2+2: Ruled out by solar and atm data ( $\gtrsim 5\sigma$ ) *Maltoni et al NPB 02*

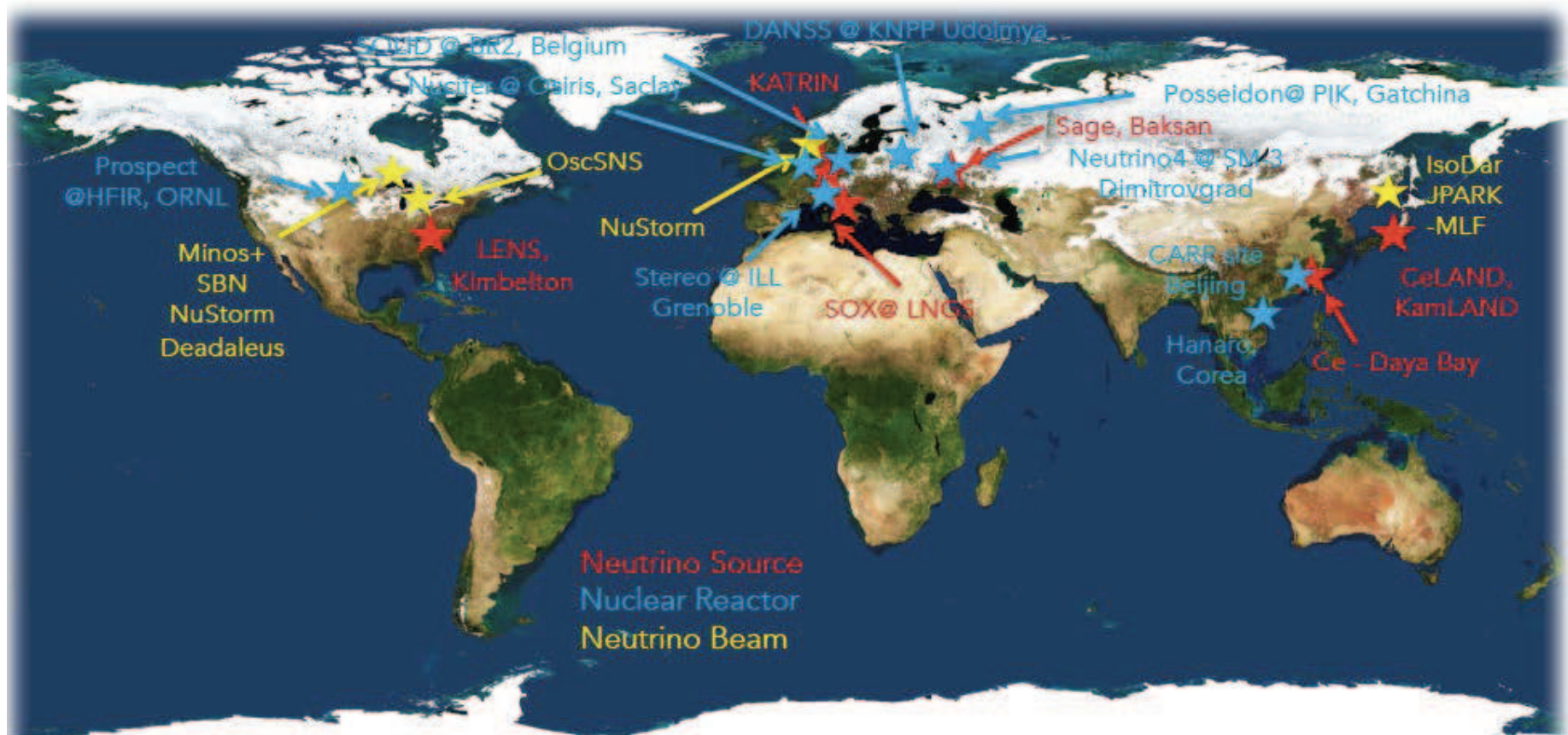
- 3+1: Generically appearance  $P_{e\mu} \sim |U_{ei}^* U_{\mu i}|$ 
  - $|U_{ei}|$  constrained by  $P_{ee}$  disapp data
  - $|U_{\mu i}|$  constrained by  $P_{\mu\mu}$  disapp data



*Dentler et al, 1803.10661*

4.7  $\sigma$  tension between disapp and app

# Searches for eV sterile neutrinos

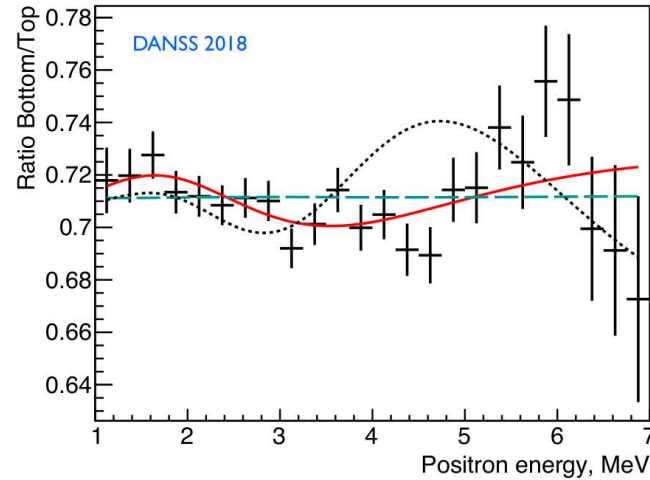
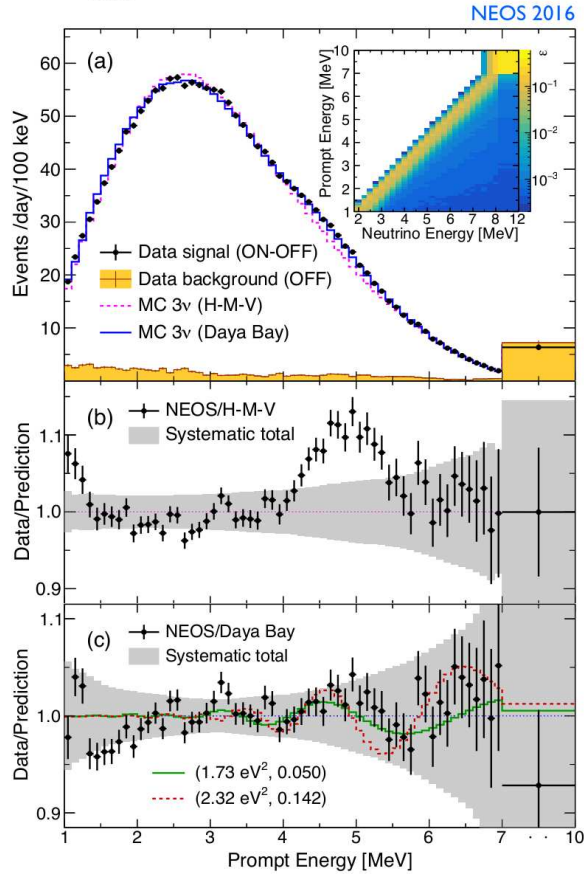


This talk: (anti-)  $\nu_e$  disappearance only

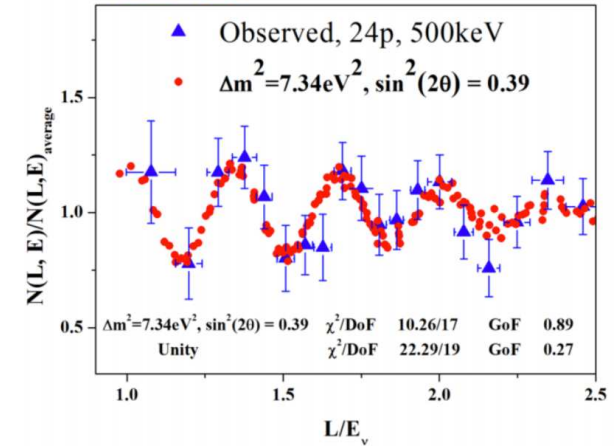
$$P_{ee} = 1 - \sin^2 2\theta_{ee} \sin^2 \frac{\Delta m_{41}^2}{4E} \quad \& \quad \sin^2 2\theta_{ee} = |U_{e4}|^2 (1 - |U_{e4}|^2)$$

# Reactor antineutrino anomaly

## Wiggles in the data???



Neutrino-4 2020



Statistics of small wiggles may not be  $\chi^2$  distributed!

MC simulation and calibration of test statistics is necessary to state confidence level of claims

se e.g. Giunti 2004.07577

Prospect+Stereo on Neutrino-4:

- statistics may be an issue
- systematics may be an issue

2006.13147

And more data presented in  $\nu$ 2020 .....

## Confirmed LE Picture and today's List of Q&A

- At least **two** neutrinos **are massive**  $\Rightarrow$  **There is NP**
- **Three mixing angles** are non-zero (and relatively **large**)  $\Rightarrow$  very **different from CKM**
- **Leptonic CP**: “Hint” driven by T2K “fluctuation” fading ...  
CPC close to best fit
- **Ordering**: NO preference fading ...
- **Only three light states?**  
App and disapp results in severe tension in 4th  $\nu_s$  interpretation  
New VSBL reactor data? I take the 5th

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- **Other NP at play?**

# Alternative Oscillation Mechanisms

- Oscillations are due to:

- Misalignment between CC-int and propagation states: **Mixing**  $\Rightarrow$  **Amplitude**

- Difference phases of propagation states  $\Rightarrow$  **Wavelength**.

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- $\nu$  masses are not the only mechanism for oscillations

**Violation of Equivalence Principle (VEP):** Gasperini 88, Halprin, Leung 01

*Non universal coupling of neutrinos  $\gamma_1 \neq \gamma_2$  to gravitational potential  $\phi$*

$$\lambda = \frac{\pi}{E|\phi|\delta\gamma}$$

**Violation of Lorentz Invariance (VLI):** Coleman, Glashow 97

*Non universal asymptotic velocity of neutrinos  $c_1 \neq c_2 \Rightarrow E_i = \frac{m_i^2}{2p} + c_i p$*

$$\lambda = \frac{2\pi}{E\Delta c}$$

**Interactions with space-time torsion:** Sabbata, Gasperini 81

*Non universal couplings of neutrinos  $k_1 \neq k_2$  to torsion strength  $Q$*

$$\lambda = \frac{2\pi}{Q\Delta k}$$

**Violation of Lorentz Invariance (VLI)** Colladay, Kostelecky 97; Coleman, Glashow 99

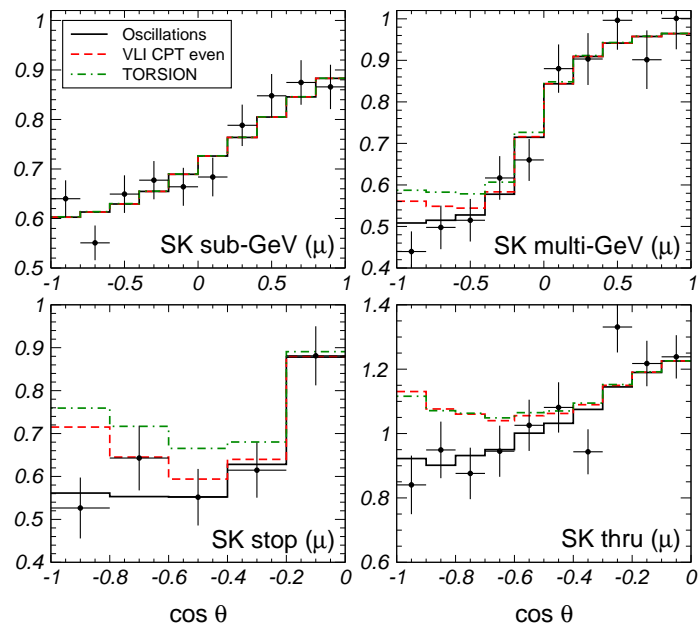
*due to CPT violating terms:  $\bar{\nu}_L^\alpha b_\mu^{\alpha\beta} \gamma_\mu \nu_L^\beta \Rightarrow E_i = \frac{m_i^2}{2p} \pm b_i$*

$$\lambda = \pm \frac{2\pi}{\Delta b}$$



# Alternative Mechanisms vs ATM $\nu$ 's

- Severely constrained (MCG-G, M. Maltoni PRD 04,07)



At 90% CL:

$$\frac{|\Delta c|}{c} \leq 1.2 \times 10^{-24}$$

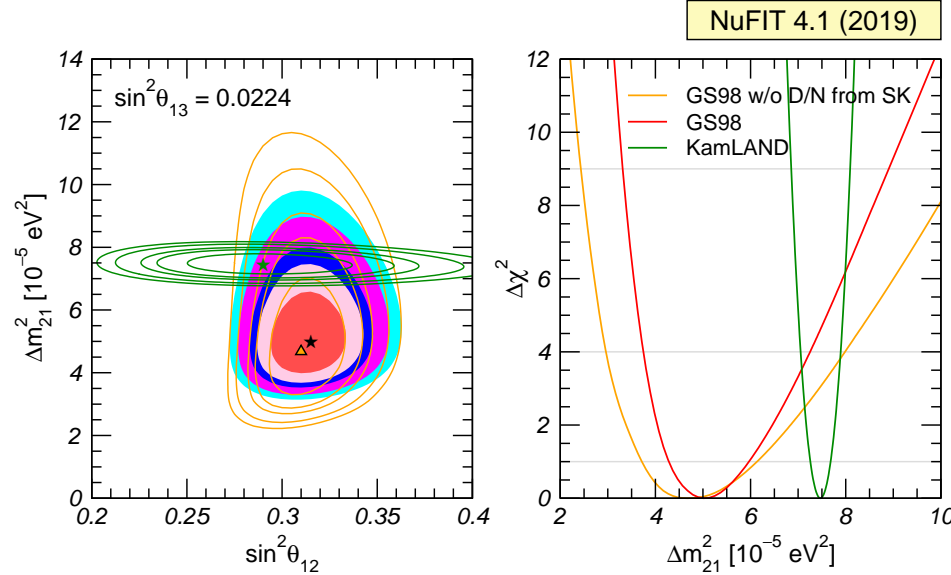
$$|\phi \Delta \gamma| \leq 5.9 \times 10^{-25}$$

$$|Q \Delta k| \leq 4.8 \times 10^{-23} \text{ GeV}$$

$$|\Delta b| \leq 3.0 \times 10^{-23} \text{ GeV}$$

# NP Hint?: $\Delta m_{21}^2$ KamLAND vs SOLAR

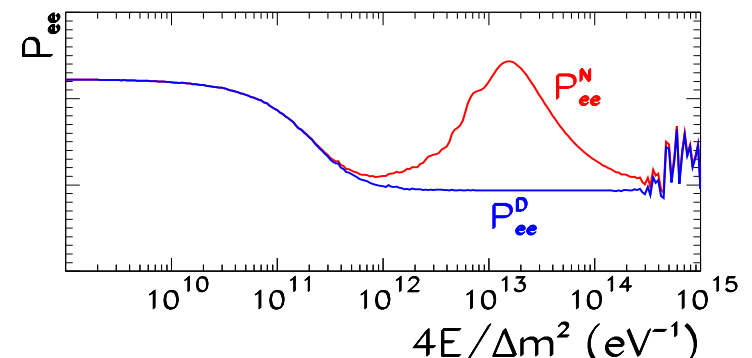
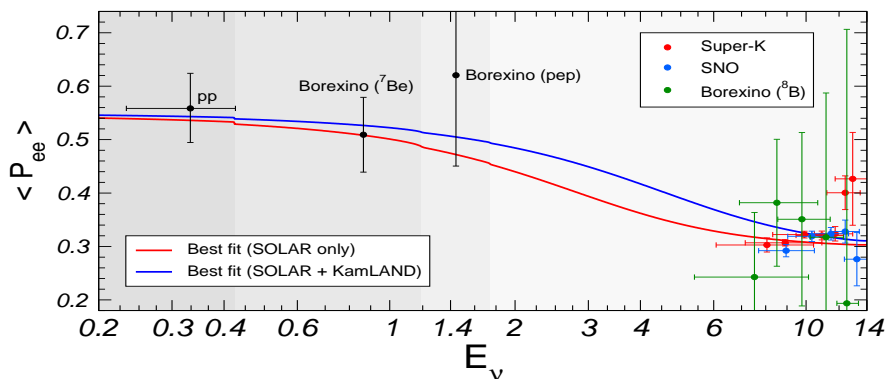
- BEFORE NU2020: With SK4 2055 days D/N and 2860 day spectrun



- Tension arising from:  
Smaller-than-expected low-E turn-up in SK/SNO from MSW at global b.f.

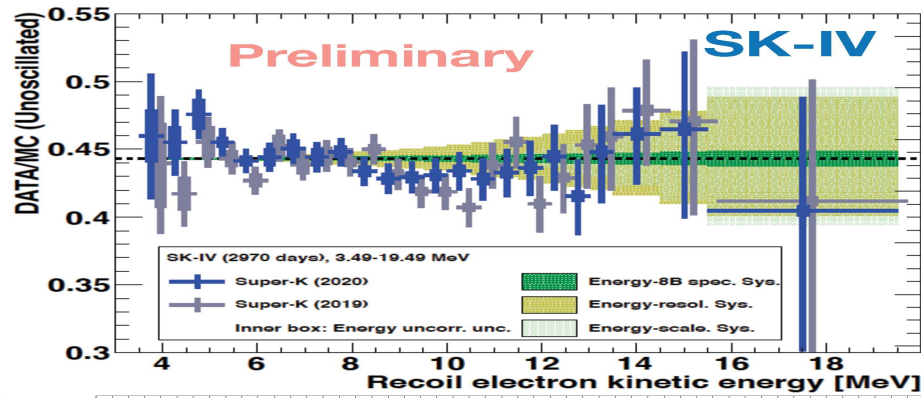
“too large” of Day/Night at SK

$$A_{D/N,SK4-2055} = [-3.1 \pm 1.6(\text{stat.}) \pm 1.4(\text{sys.})]\%$$



⇒ “hint” of NP in propagation: NSI?

- AFTER NU2020: With SK4 2970 days data  
Slightly more pronounced low-E turn-up

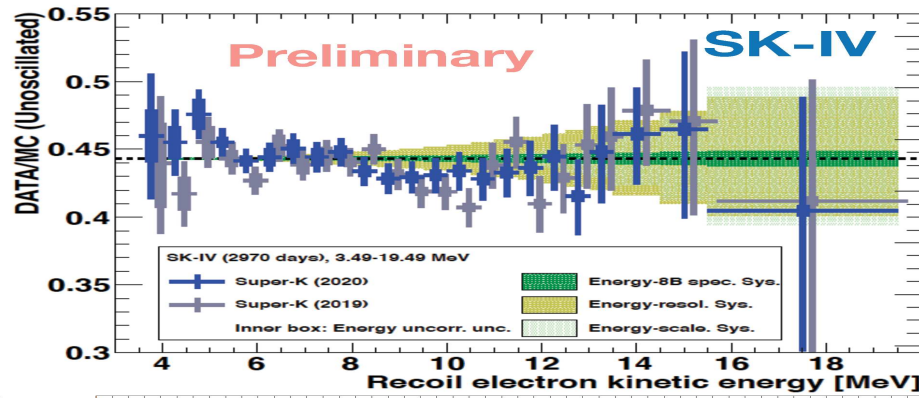


Smaller of Day/Night at

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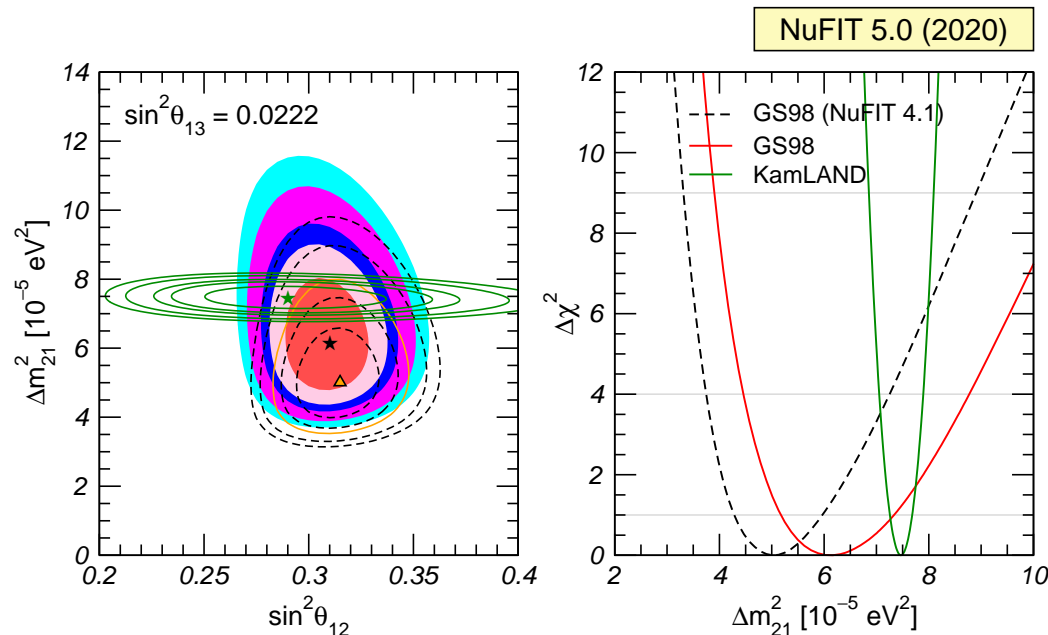


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- In NuFIT 5.0



⇒ Agreement of  $\Delta m_{21}^2$  between solar and KamLAND at  $1 \sigma$