



Calorimetry for the Electron Ion Collider

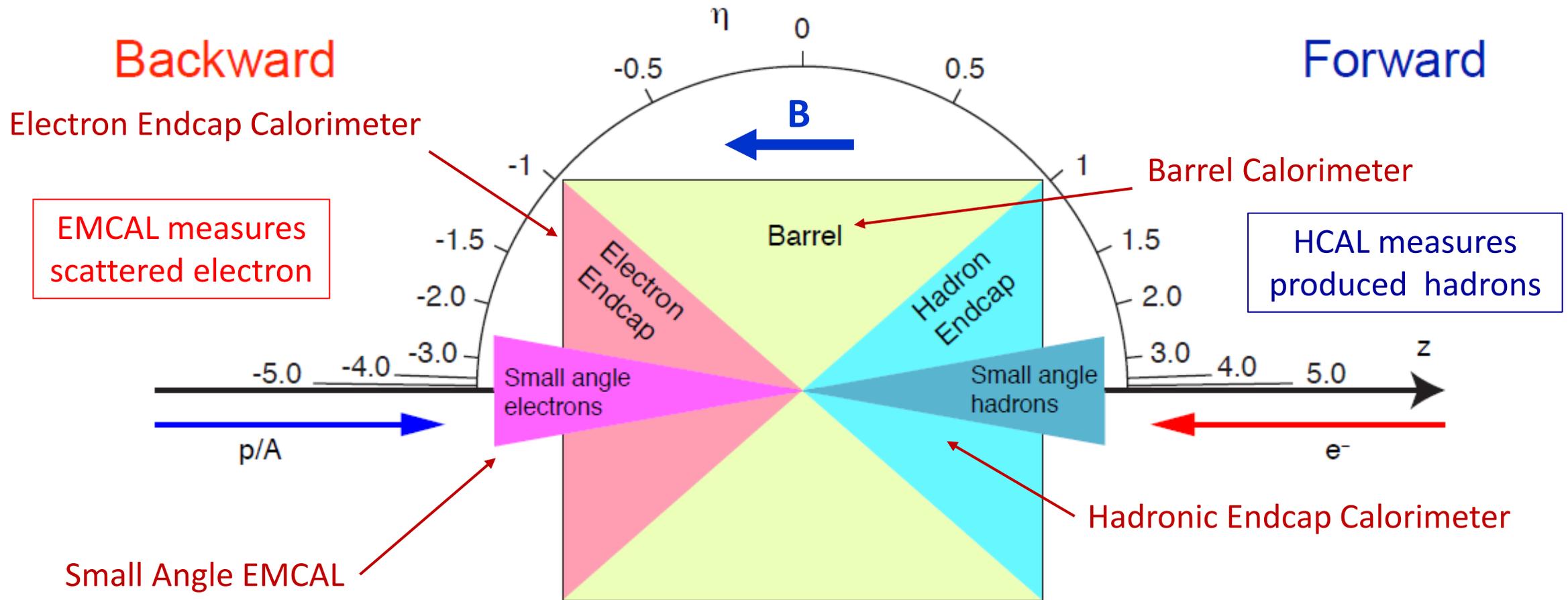
Craig Woody

Brookhaven National Lab

CPAD Instrumentation Frontier Workshop 2021

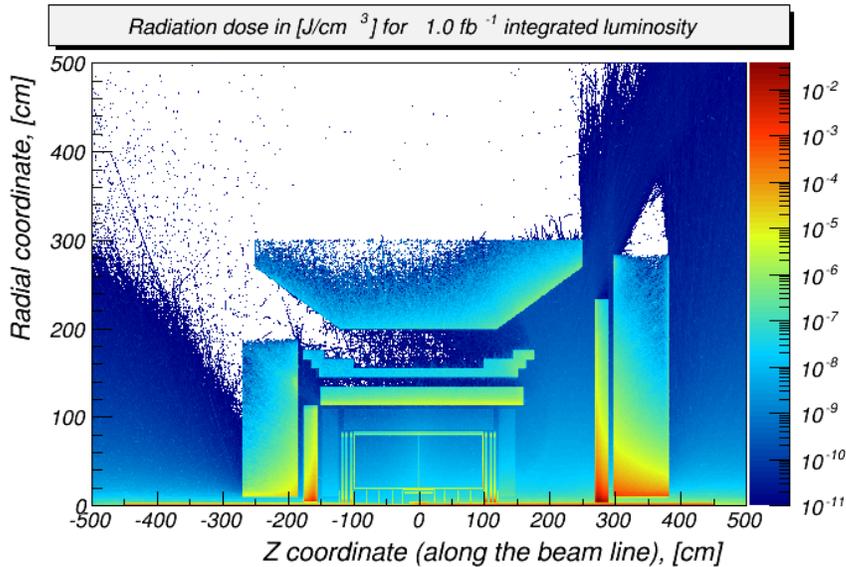
March 19, 2021

EIC Detector (Conceptual)

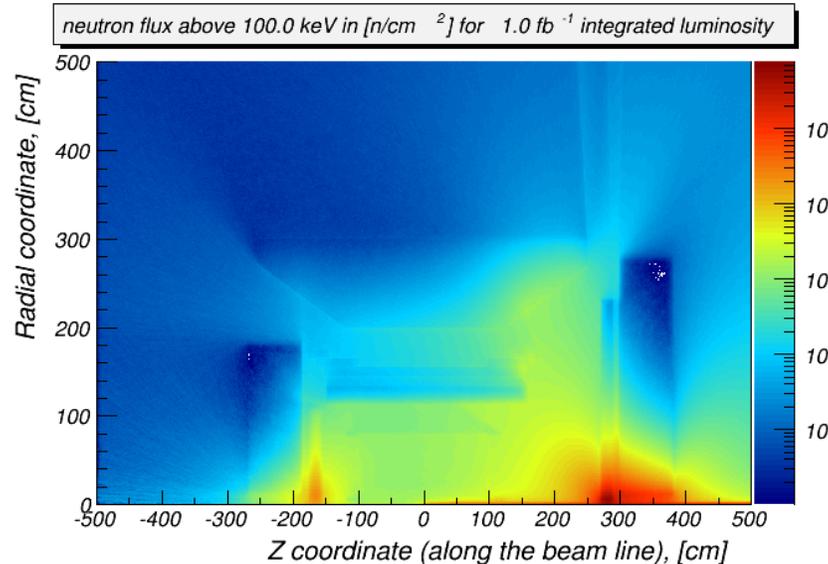


EIC Environment

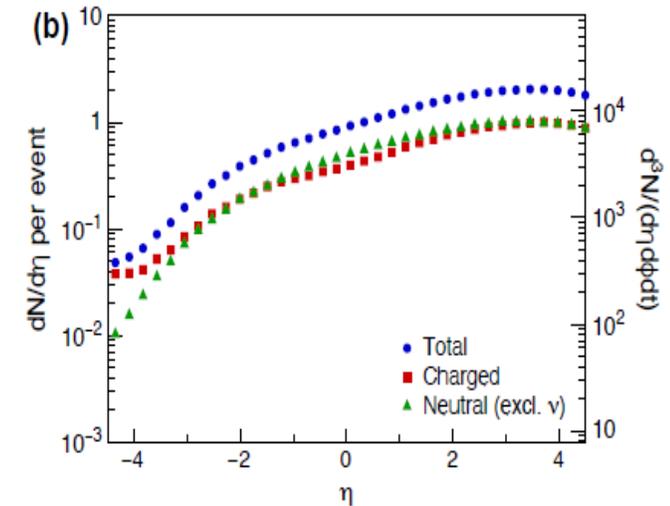
- High luminosity but relatively low event rates and low multiplicity (no pileup)
- Much lower radiation environment than LHC
- Space limited along beam direction due to accelerator IR design and existing experimental halls ($\Delta z = \pm 4.5$ m)



Ionizing Radiation Dose ~ 250 rad/yr
at $L \sim 10^{33} \text{ cm}^2/\text{sec}$



Neutron Dose: $\sim 10^9 \text{ n/cm}^2$ per fb^{-1}
(1-100 fb^{-1} expected)



$dN_{\text{ch}}/d\eta \sim \text{few}$
Event rate < 500 KHz

Detector Matrix (Calorimetry) – EIC Yellow Report

http://www.eicug.org/web/sites/default/files/Yellow_Report_v1.0.pdf

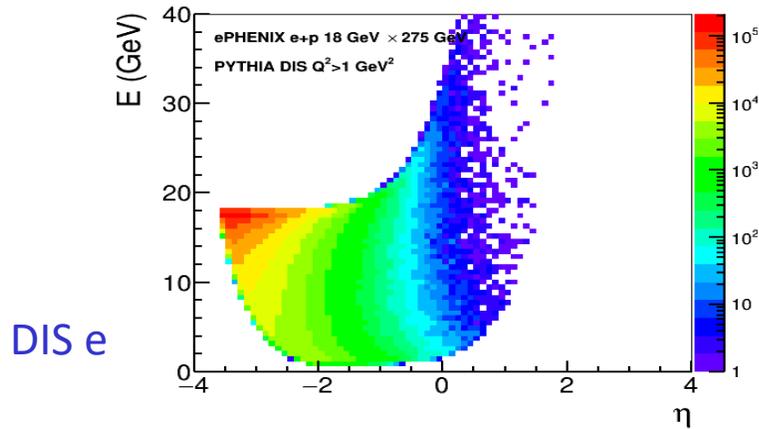
EIC Calorimeter Workshop
March 15-16, 2021

<https://indico.phy.ornl.gov/event/38/overview>

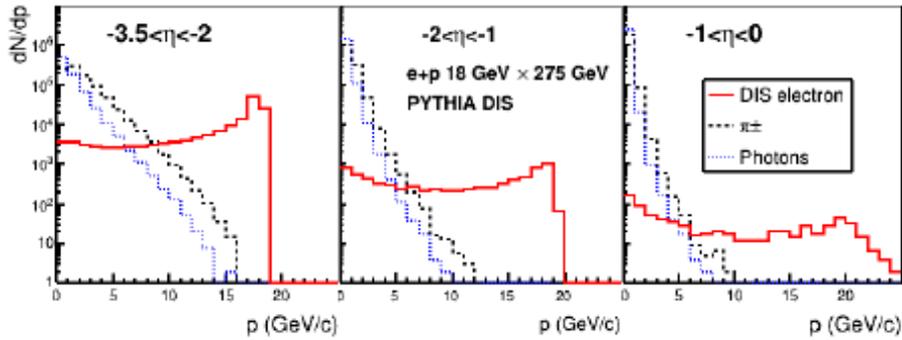
EIC Detector R&D Program
(since 2011)
eRD1 Calorimeter Consortium

η	Nomenclature			Electrons and Photons			$\pi/K/p$		HCAL	
				Resolution σ_e/E	PID	min E	p-Range (GeV/c)	Separati	Resolution σ_e/E	Energy
-4.5 to -4.0	↓ p/A	Auxiliary Detectors	Instrumentation to separate charged particles from photons	2%/√E(+1-3%)		50 MeV				
-4.0 to -3.5							50 MeV			~50%/√E + 6%
-3.5 to -3.0	Central Detector		Backward Detector		π suppression up to 1:1E-4	50 MeV	≤ 7 GeV/c	≥ 3 σ	~45%/√E+6%	~500 MeV
-3.0 to -2.5						50 MeV				
-2.5 to -2.0				2%/√E(+1-3%)		50 MeV				
-2.0 to -1.5				7%/√E(+1-3%)		50 MeV				
-1.5 to -1.0				7%/√E(+1-3%)		50 MeV				
-1.0 to -0.5			Barrel		50 MeV	≤ 10 GeV/c	~85%/√E+7%			
-0.5 to 0.0					50 MeV	≤ 10 GeV/c	~85%/√E+7%			
0.0 to 0.5					50 MeV	≤ 10 GeV/c	~85%/√E+7%			
0.5 to 1.0					50 MeV	≤ 15 GeV/c	~85%/√E+7%			
1.0 to 1.5					50 MeV	≤ 30 GeV/c				
1.5 to 2.0					50 MeV	≤ 30 GeV/c				
2.0 to 2.5	Forward Detectors		50 MeV	≤ 50 GeV/c						
2.5 to 3.0			50 MeV	≤ 30 GeV/c	35%/√E					
3.0 to 3.5			50 MeV	≤ 45 GeV/c						
3.5 to 4.0	↑ e	Auxiliary Detectors	Instrumentation to separate charged particles from photons			50 MeV				
4.0 to 4.5						50 MeV				
4.5 to 5.0			Neutron Detection	4.5%/√E for photon energy > 20 GeV	<= 3 cm granularity	50 MeV			35%/√E (goal), <50%/√E (acceptable)*, 3mrad/√E (goal)	

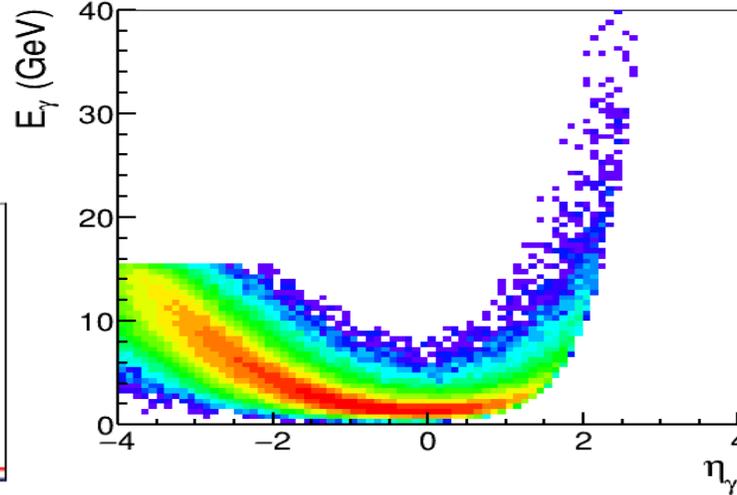
EMCAL



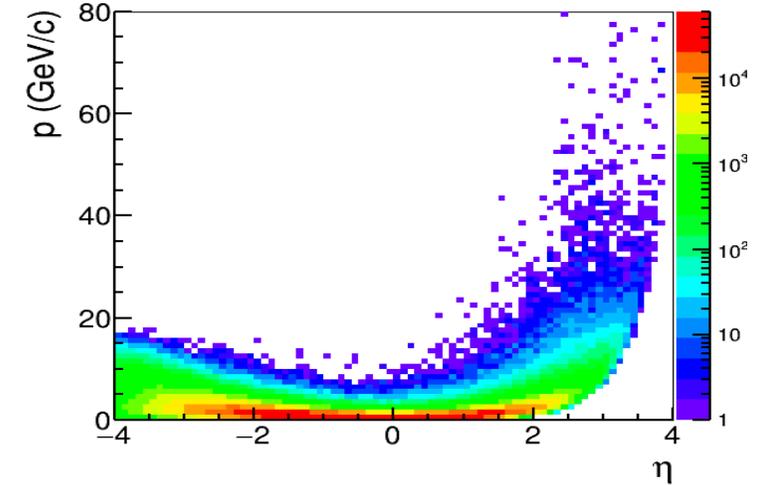
DIS e



DVCS γ



SIDIS π^0 s



	Backward $-4 < \eta < -2$	Backward $-2 < \eta < -1$	Barrel $-1 < \eta < -1$	Forward $1 < \eta < 4$	
Resolution σ_E/E	2%/VE ⊕ (1-3)%	7%/VE ⊕ (1-3)%	(10-12)%/VE ⊕ (1-3)%	(10-12)%/VE ⊕ (1-3)%	Need to measure the scattered electron with good resolution and provide e/h separation
Min E (GeV)	0.02	0.05	0.1	0.1	Require low E_{\min} to measure decays
Granularity ($\Delta\theta$)	< 0.02	< 0.02	< 0.025	< 0.01	γ/π^0 , e/h discrimination ($\sim 10^{-2} - 10^{-3}$)
Space	$\Delta Z = 60$ cm	$\Delta Z = 60$ cm	$\Delta Z = 30$ cm	$\Delta Z = 40$ cm	Including all services

Promising EMCAL Technologies for EIC

$$\sigma_E/E = \alpha \oplus \beta/\sqrt{E}$$

#	Type	samp- ling, mm	f_{samp}	X_0 mm	R_M mm	λ_I mm	cell mm ²	$\frac{X}{X_0}$	ΔZ cm	$\sigma_E/E, \%$	
										α	β
1	W/ScFi** sPHENIX	∅0.47 ScFi W powd.	2%	7.0	19	200	25 ²	20	30	2.5	13
2	PbWO ₄ ***	-	-	8.9	19.6	203	20 ²	22.5	35	1.0	2.5
3	Shashlyk*** eRD1	0.75 W/Cu ^a 1.5 Sc	16%	12.4	26	250	25 ²	20	40	1.6	6.3
4	W/ScFi** with PMT	0.59 ² ScFi W powd.	12%	13	28	280	25 ²	20	43	1.7	7.1
5	Shashlyk***	0.8 Pb 1.55 Sc	20%	16.4	35	520	40 ²	20	48	1.5	6
6	TF1 Pb glass***	-	-	28	37	380	40 ²	20	71	1.0	5-6
7	Sc. glass ^{*b}	-	-	26	35	400	40 ²	20	67	1.0	3-4

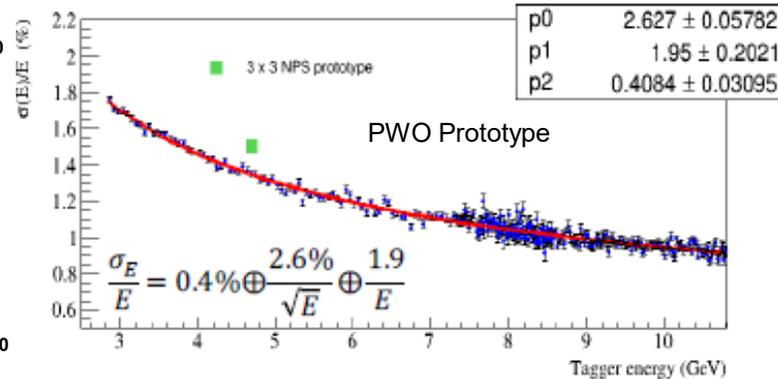
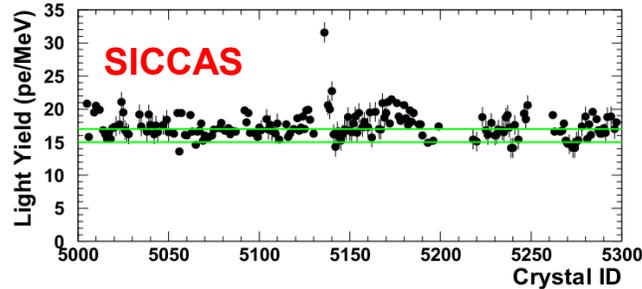
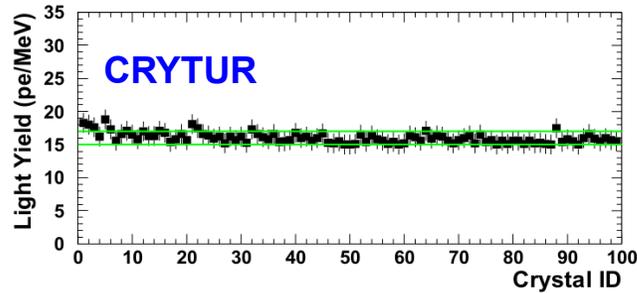
Crystals and Scintillating Glass

Inner Region ($-4 < \eta < -2$) - Lead Tungstate Crystals

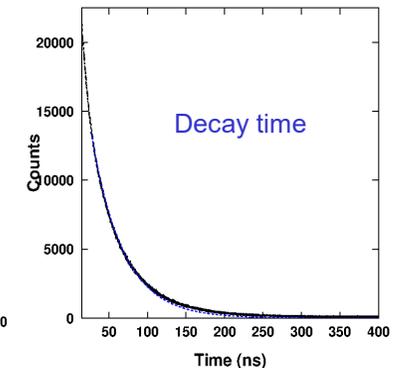
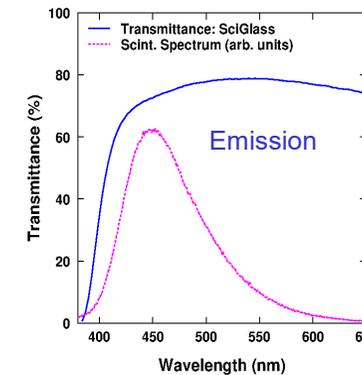
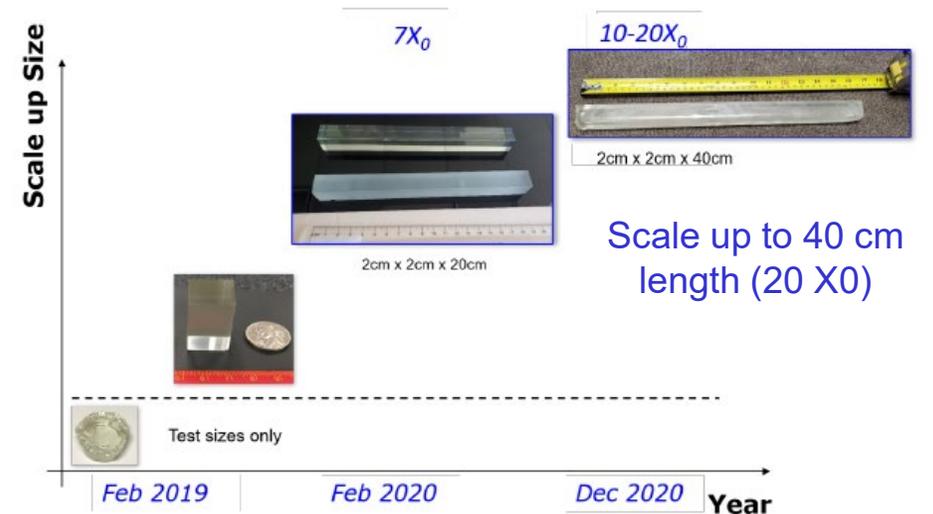
Outer Region ($-2 < \eta < -1$) - Scintillating Glass



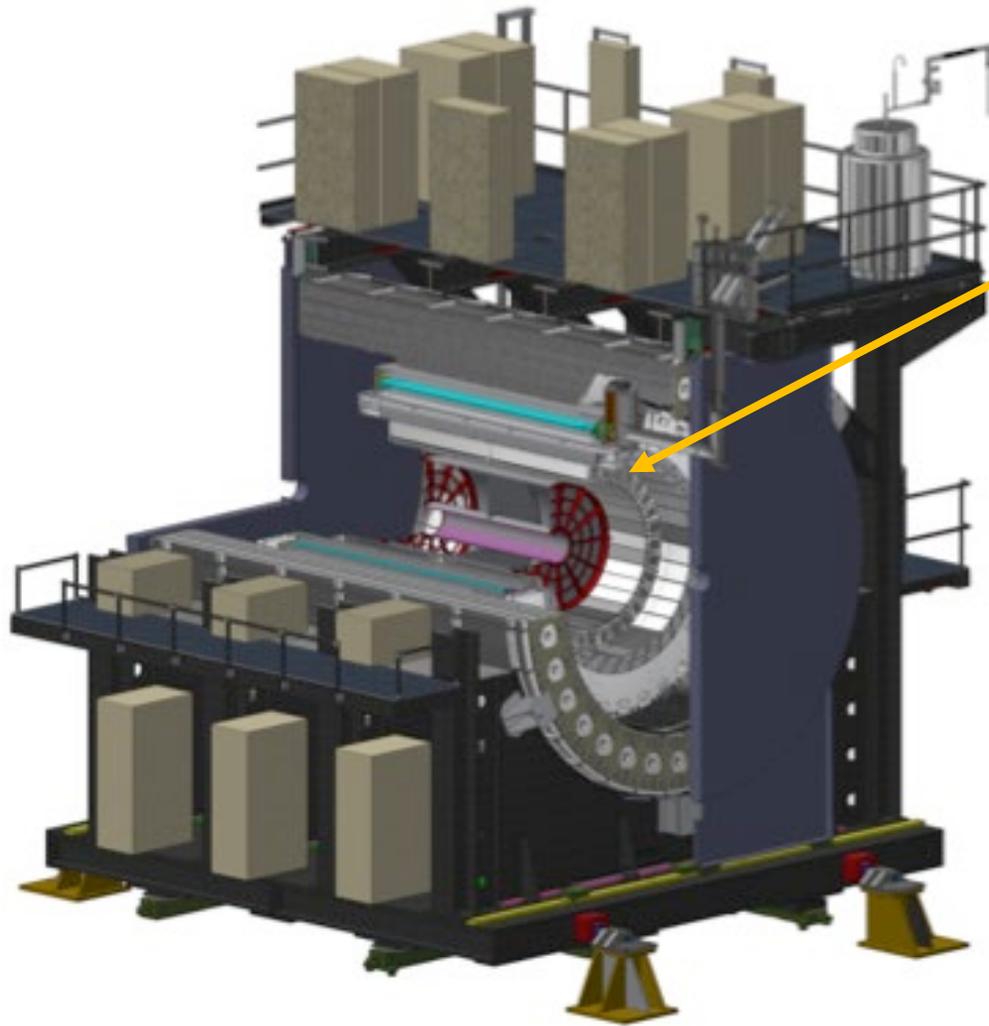
Neutral Particle Spectrometer at JLAB (1080 PWO crystals)



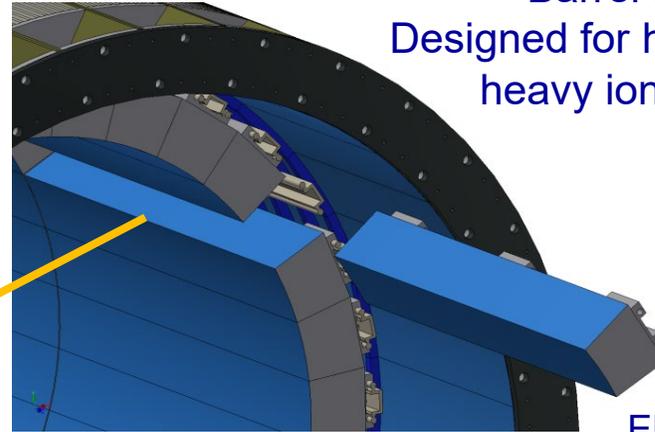
Being developed at Catholic University at its Vitreous State Laboratory



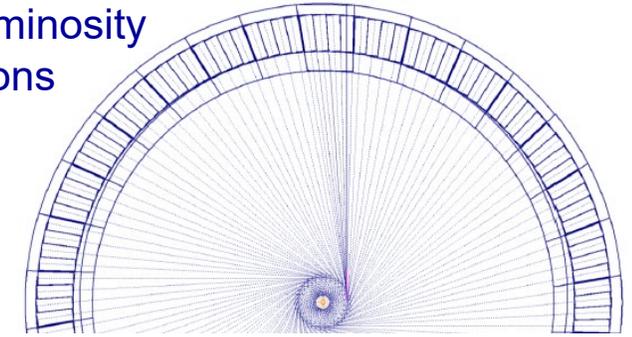
sPHENIX W/SciFi EMCAL



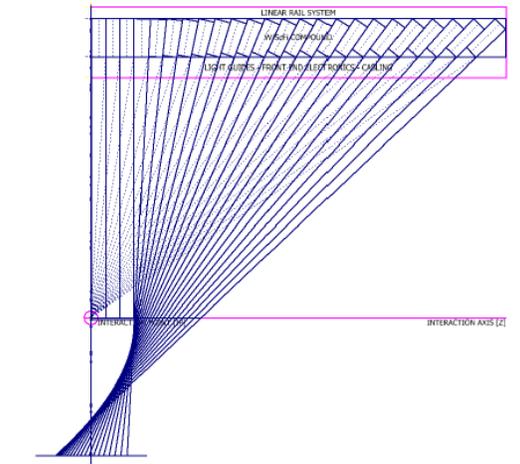
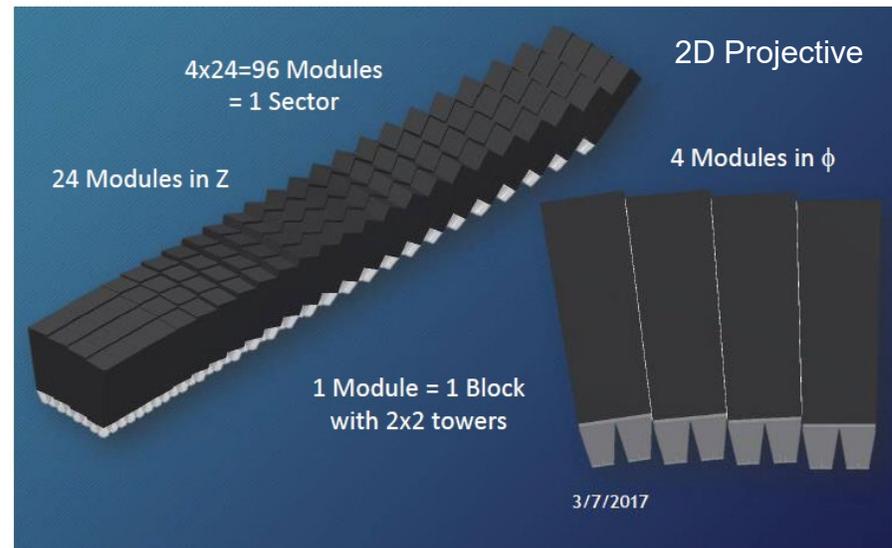
Barrel EMCAL
Designed for high Luminosity heavy ion collisions



EMCAL Sector



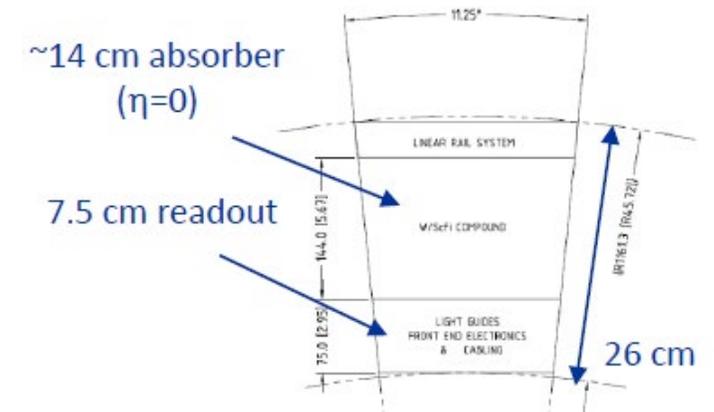
$$2(\pm\eta) \times 32 (\phi) = 64 \text{ Sectors}$$



Sectors and blocks are approximately projective and tilted in η and ϕ

sPHENIX W/SciFi EMCAL

- ❑ The sPHENIX EMCAL is a W/SciFi SPACAL consisting of a matrix of tungsten powder and epoxy with embedded scintillating fibers
 - 0.47 mm dia. fibers, spacing 1 mm, SF ~ 2%
 - Density ~ 9.0 g/cm³, X0 = ~ 7 mm, ~ 20 X0 total, R_M ~ 2.3 cm
- ❑ W/SciFi modules consist of 4 towers, each with its own light guide that is read out on the front with a 2x2 array of 3x3 mm² SiPMs.

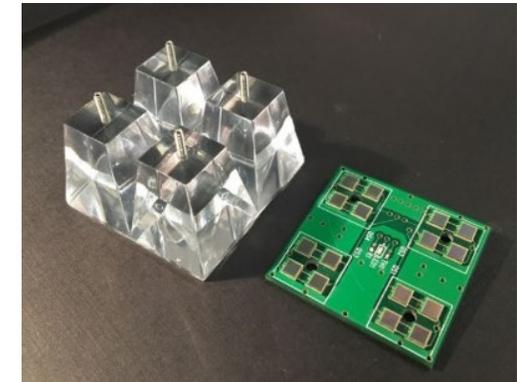
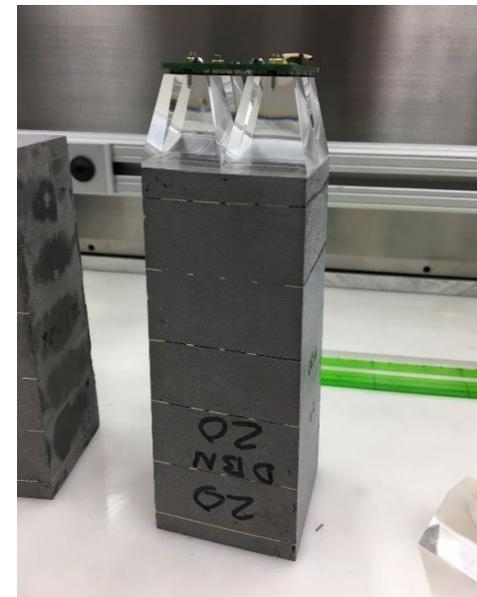
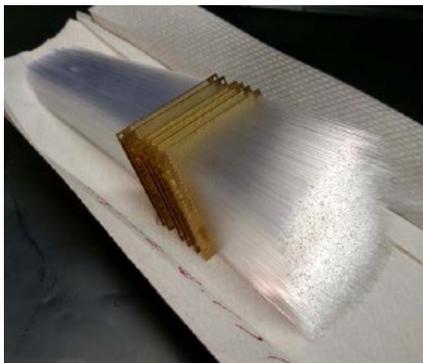
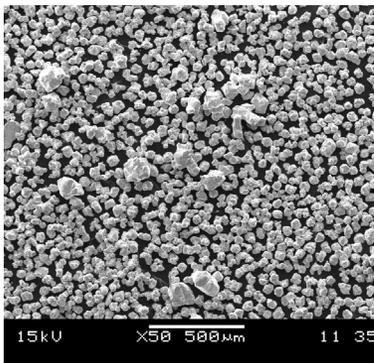


6144 Modules (24,576 towers)

W Powder ~ 50 μm

Fiber Assembly

Mold with W powder, fibers + epoxy



Readout with light guides (1") and SiPMs

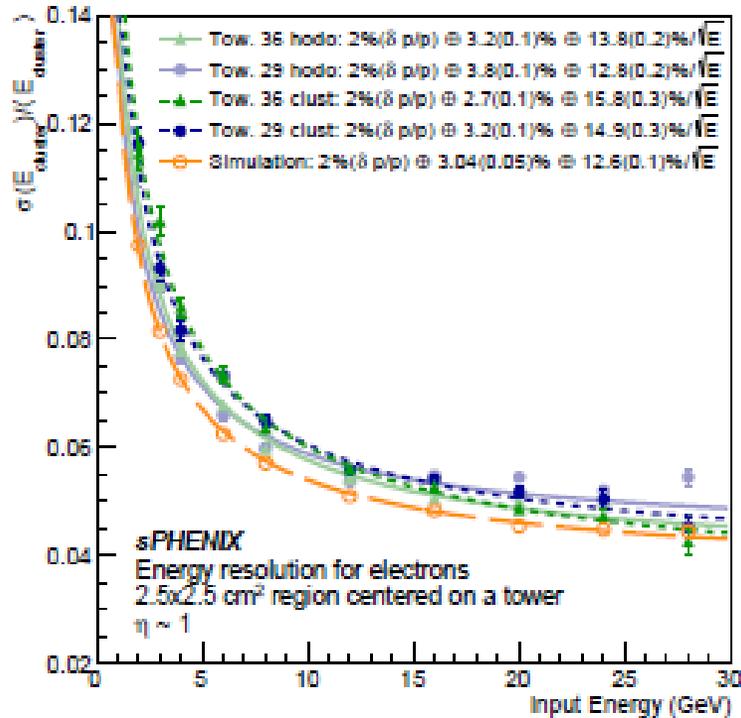
~ 100K SiPMs
Hamamatsu S12572-015P

Energy Resolution

Energy resolution after position dependent correction

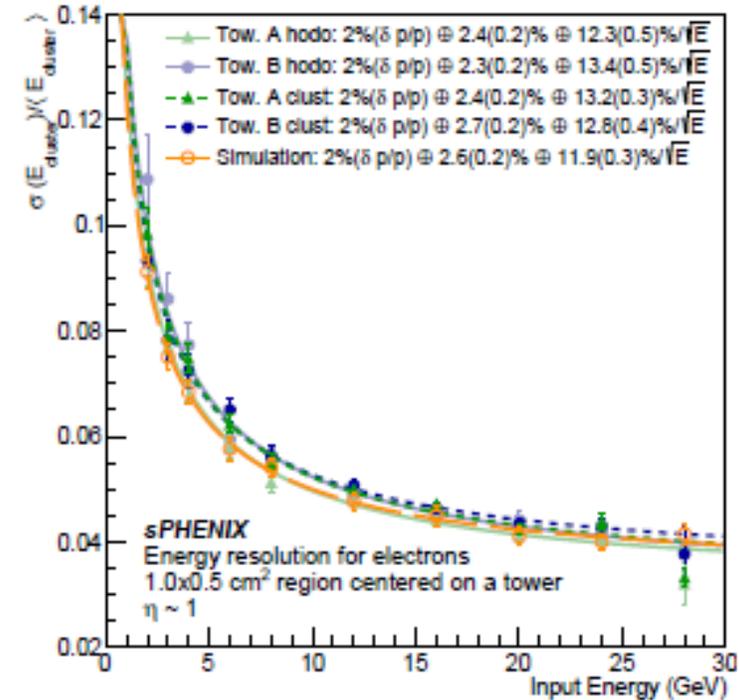
Beam Test 2018

Beam covering a $2.5 \times 2.5 \text{ cm}^2$
area centered on a tower



Resolution $\sim (13-15)\%/\sqrt{E} \oplus 3\%$

Beam covering a $1.0 \times 0.5 \text{ cm}^2$
area centered on a tower



Resolution $\sim (12-13)\%/\sqrt{E} \oplus 2.5\%$

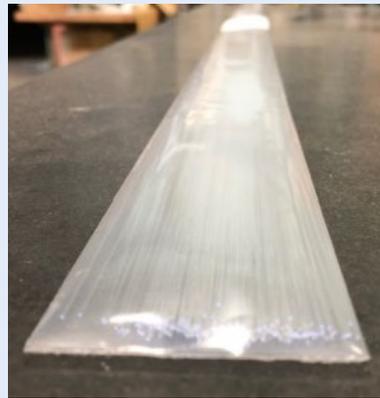
sPHENIX EMCAL Currently Under Construction (Completion Jan 2022)

Block Production at UIUC (also Fudan U – Shanghai)

Module and Sector Production at BNL



Nuclear Physics Lab



2600 km of fiber
665 kg of epoxy
88 m² of screens



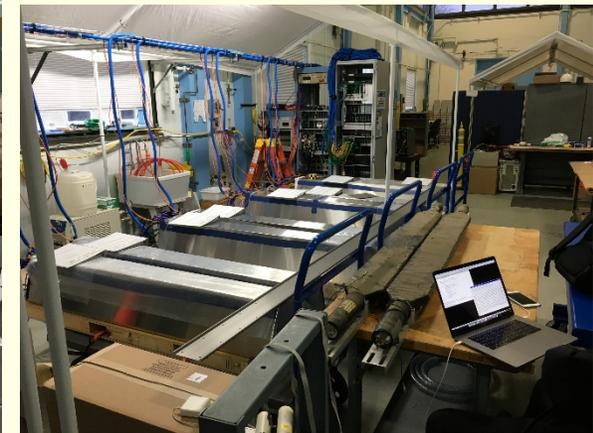
High Bay in BNL Physics Dept



20 Tons of W powder



Blocks awaiting removal from molds

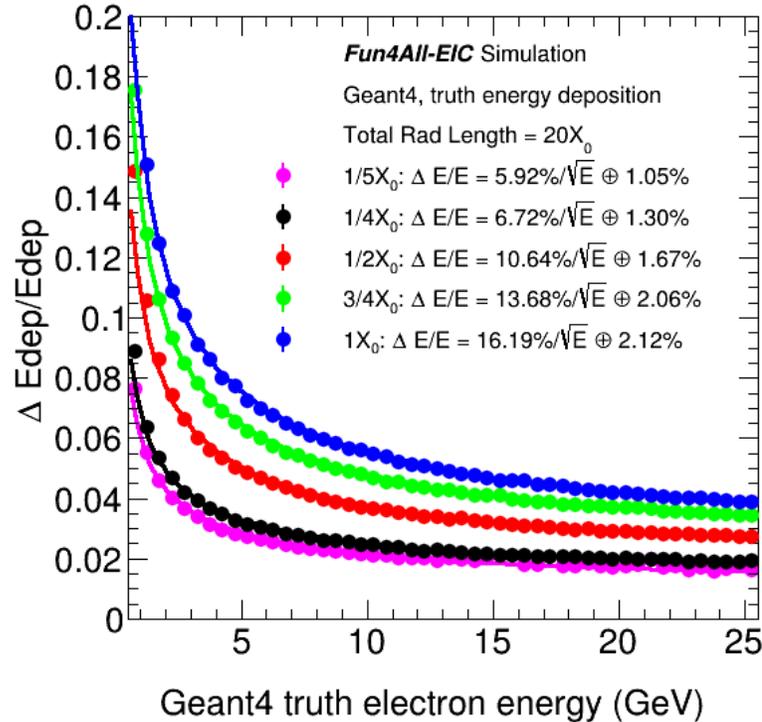


Sector Burn-in and Testing

← Modules being glued into sectors

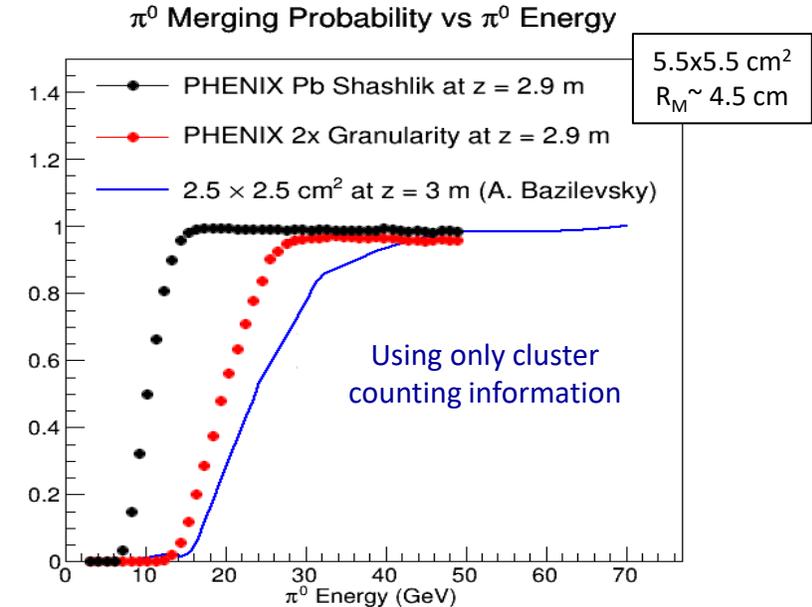
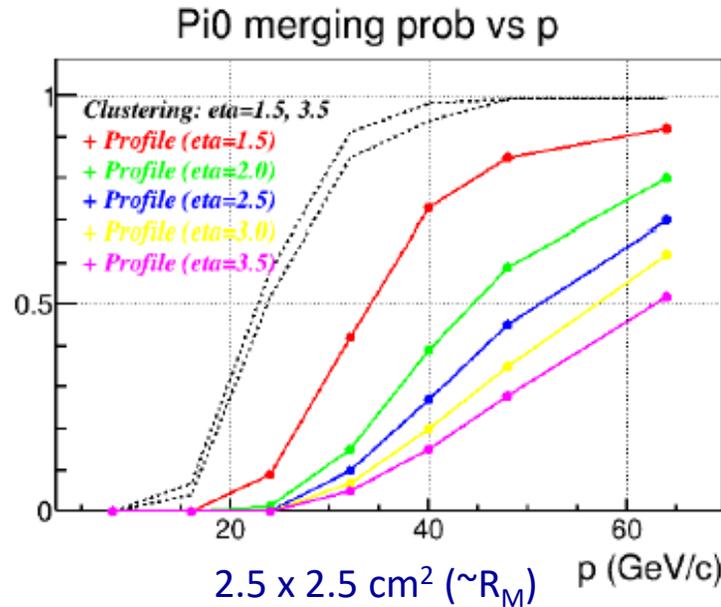
EMCAL Shashlik Calorimetry – Pb vs W

Energy resolution vs sampling fraction
20 X0 total length (L ~ 30 cm w/readout)



Require fine segmentation and small R_M to resolve γ/π^0 at high momentum

Non projective geometry

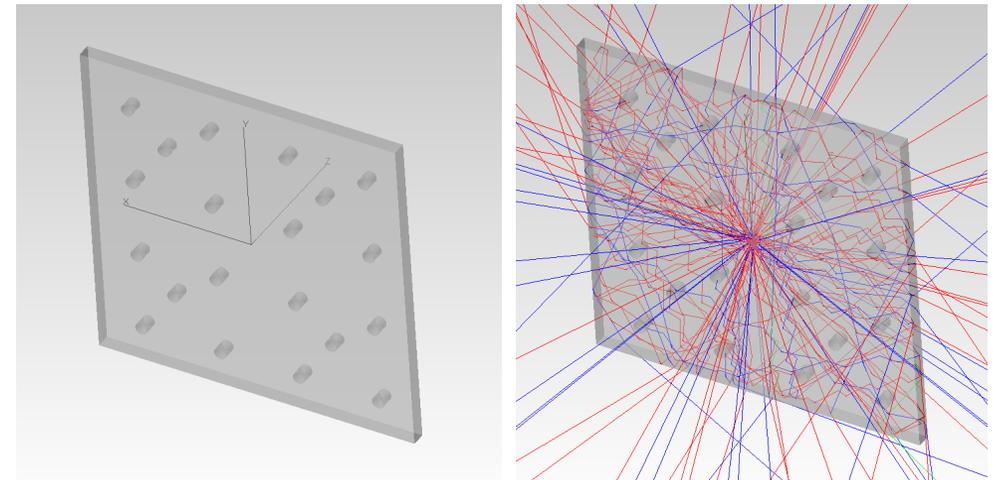


Note:

- Projective geometry will improve separation, particularly in the $\eta \sim 1-3$ region
- Can also achieve γ/π^0 separation using a preshower detector

Improving Shashlik Spatial Resolution

- ❑ The availability of low cost SiPMs allows the possibility of reading out *each fiber individually*. This allows determining the shower position even within a tower ($< 1 R_M$).
- ❑ Non-uniformities of light collection within a tile causes position dependence but can in principle be corrected for.
- ❑ A compact shashlik may also offer the possibility of improving the position dependence due to the short light path to the WLS fibers.



Ray tracing withing a scintillation tile

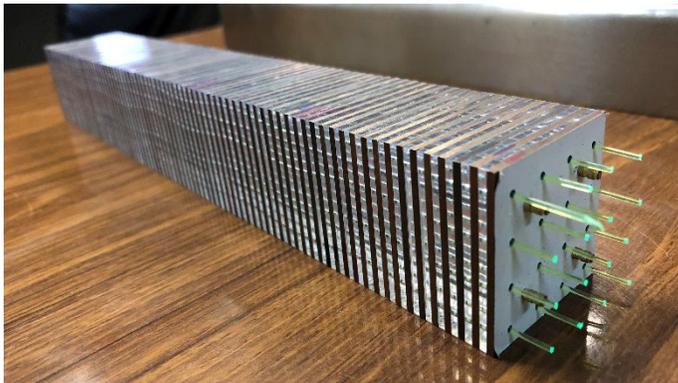
Ray tracing and lab measurements can produce a light collection map for each fiber

A Prototype W/Shashlik EMCAL (eRD1)

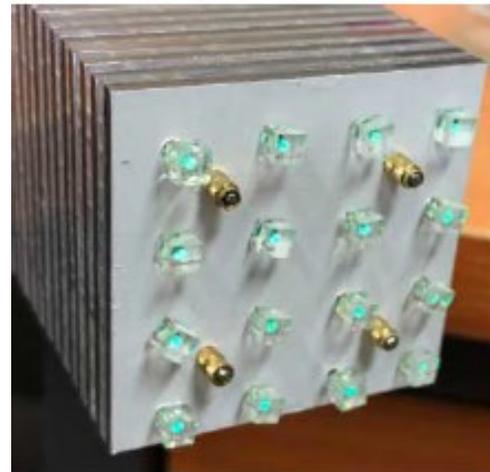
Originally designed for the NA64 Experiment at CERN

Andres Bello University
Santiago, Chile

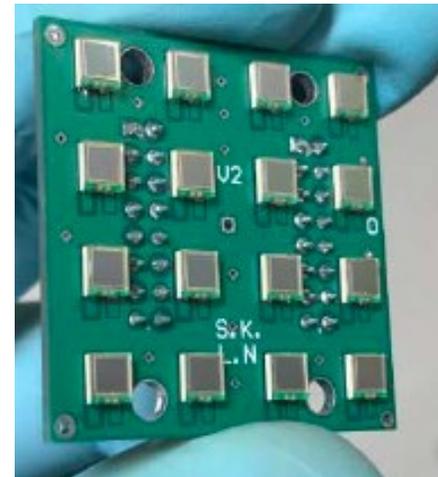
- Absorber plates are a W(80%)/Cu(20%) alloy that is easily machinable
 $\rho = 17.2 \text{ g/cm}^3$, $X_0 = 4.2 \text{ mm}$, $38 \times 38 \times 1.58 \text{ mm}^3$
- Scintillating tiles: $38 \times 38 \times 1.63 \text{ mm}^3$ injection molded polystyrene (Uniplast, Russia).
- 1 mm dia WLS fibers (Saint-Gobain BCF-91A)
- 80 sampling layers, 31 X_0 (27 cm)
- Each fiber read out with $3 \times 3 \text{ mm}^2$ SiPMs



WLS fibers pass through stack in a slight spiral pattern to improve light collection uniformity and reduce dead areas



Each fiber coupled to small lucite light mixer

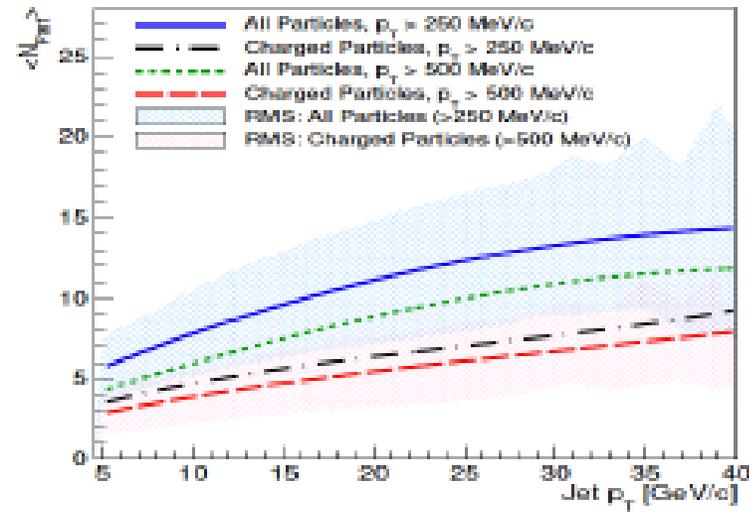
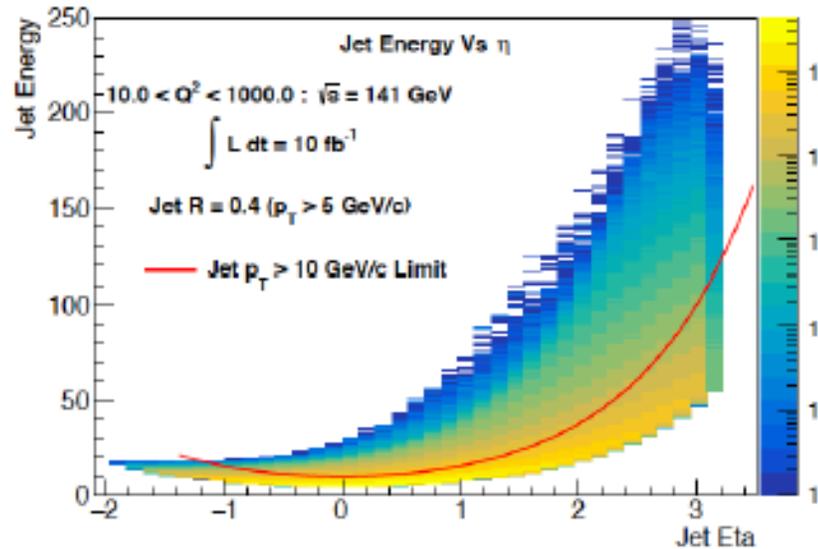


Hamamatsu S14160-3015P



3x3 module prototype

HCAL



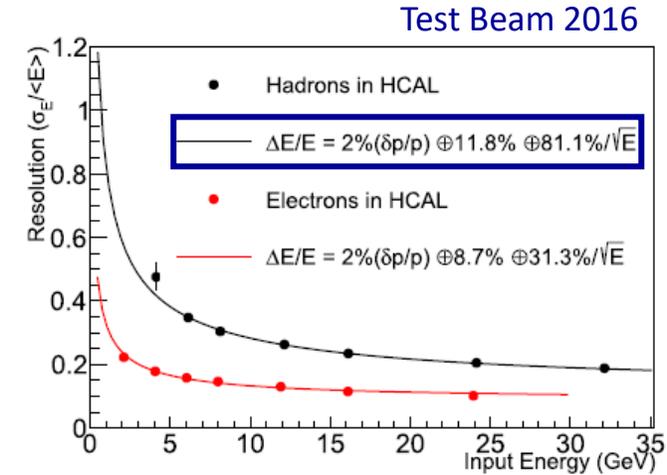
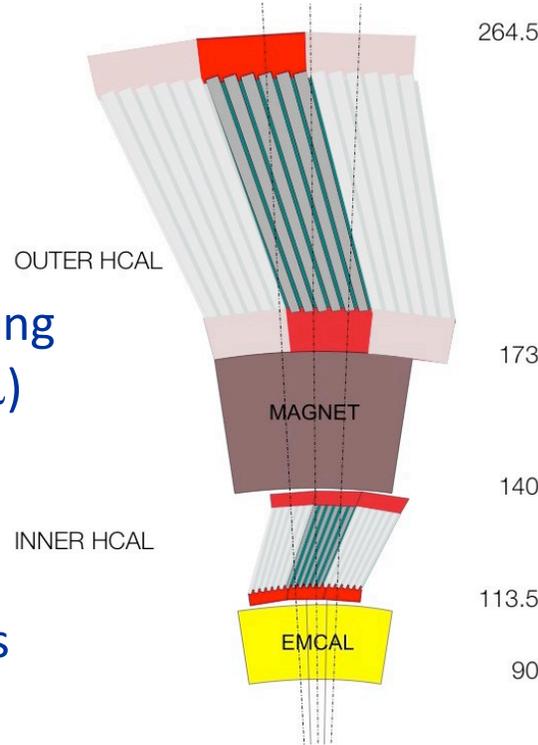
- Jet energies typically < 50 GeV
- Particle multiplicity within a jet is typically ~ 10

	Backward Endcap $-4 < \eta < -1$	Barrel $-1 < \eta < 1$	Forward Endcap $1 < \eta < 2.5$	Forward Endcap $2.5 < \eta < 4$	
Resolution σ_E/E	50%/VE $\oplus 6\%$	85%/VE $\oplus 7\%$	50%/VE $\oplus 6\%$	35%/VE $\oplus 5\%$	Would benefit from better calorimeter resolution for $\eta > 2.5$ due to degradation of tracking resolution
Min E (GeV)	0.5	0.5	0.5	0.5	Would like to measure all hadrons (including neutrals) to minimize bias for jets and for determining event kinematics using Jacquet-Blondel method
Granularity (cm ²)	10 x 10	10 x 10	10 x 10	10 x 10	Separate charged from neutral
Space	$\Delta Z = 100$ cm	$\Delta Z = 120$ cm	$\Delta Z = 120$ cm	$\Delta Z = 120$ cm	Including all services

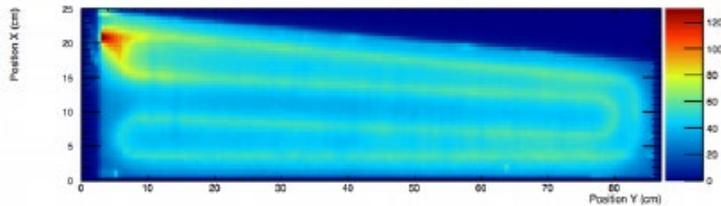
Barrel HCAL

Concept based on the sPHENIX Barrel Calorimeter

- Steel plates + scintillating tiles with WLS fiber readout
- Plates oriented parallel to beam
- Iron serves as flux return
- Plates are tilted to avoid channeling
- Two longitudinal sections ($\sim 4.5 \lambda$)
 - Inner HCAL inside magnet
 - Outer HCAL outside magnet
- $\Delta\eta \times \Delta\phi \approx 0.1 \times 0.1$
- $2 \times 24 \times 64 = 3072$ readout channels



Hadronic resolution
 $\sim 81\%/ \sqrt{E} \oplus 12\%$



Scintillating tile with WLS fiber

sPHENIX HCAL under construction at BNL

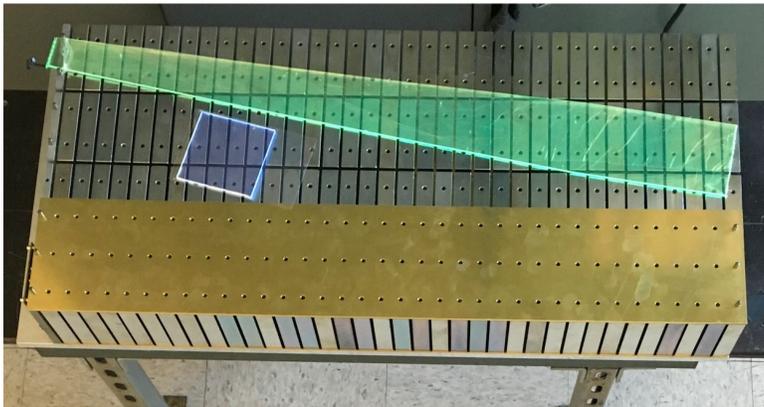


Forward HCAL

Concept based on the STAR Forward Calorimeter System

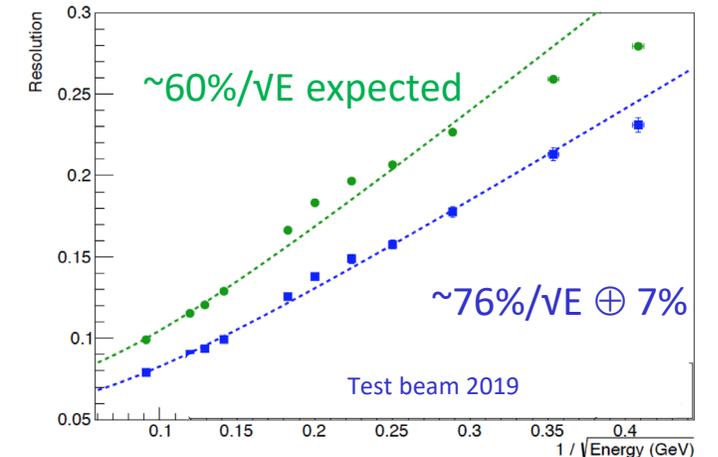
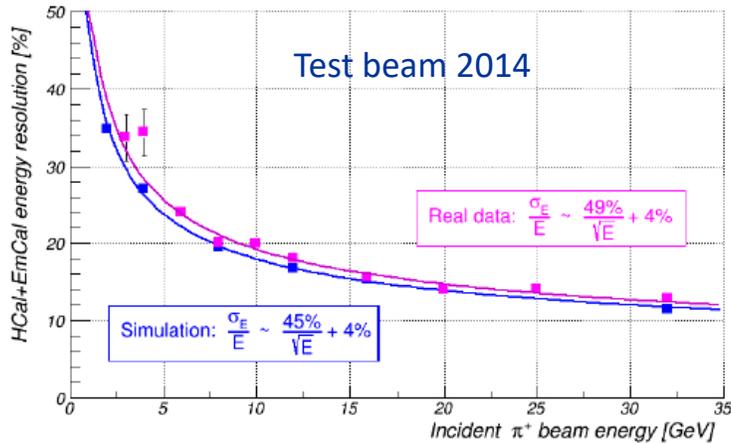
Originally proposed

W/SciFi EMCAL followed by a Pb/Scint (10/2.5 mm) HCAL



Finally implemented
(to save cost)

Pb Shashlik EMCAL followed
by a Fe/Scint (20/3 mm) HCAL

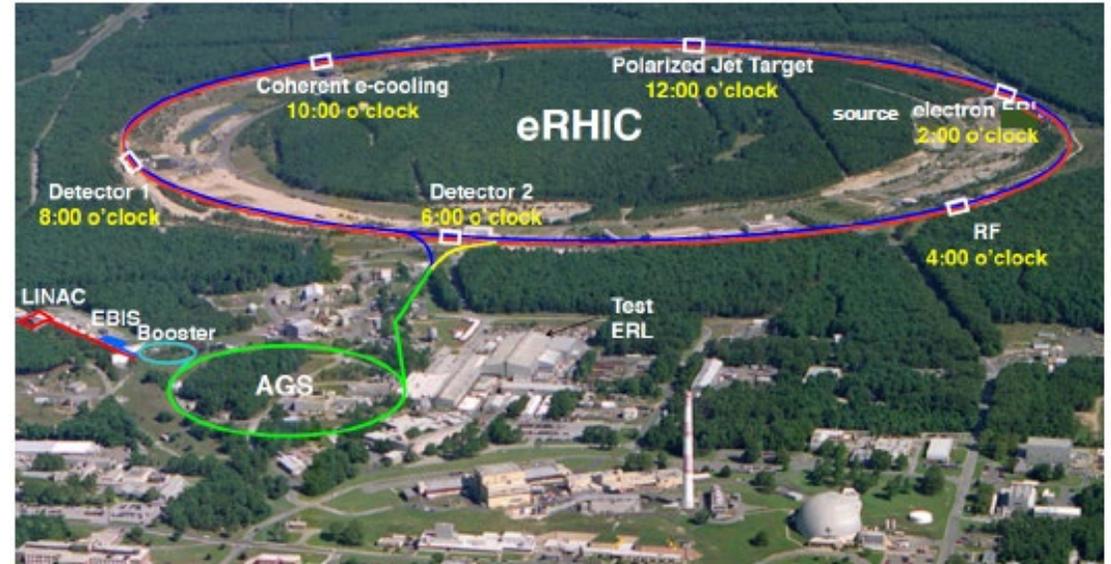
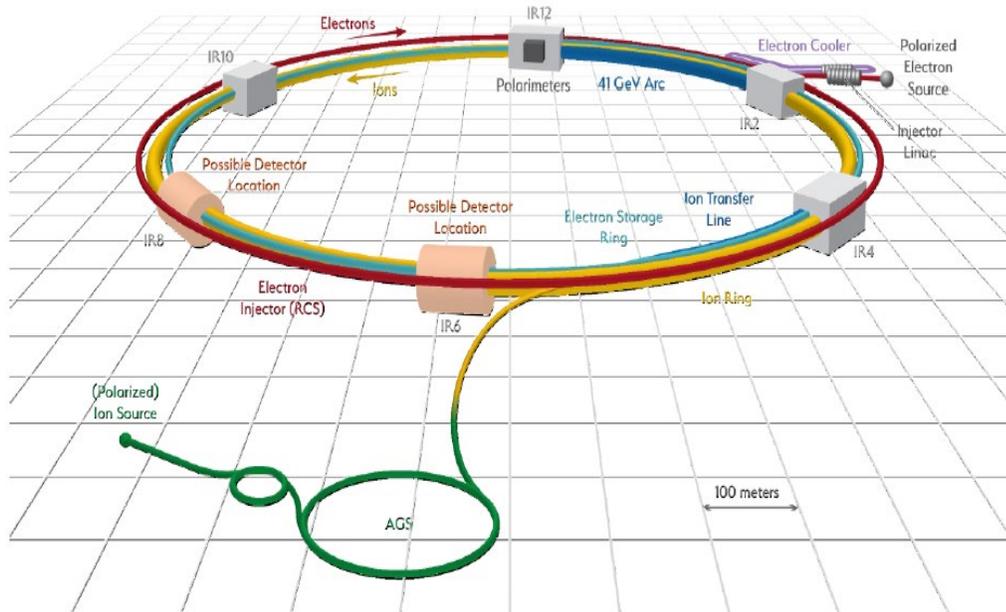


Summary & Conclusions

- ❑ EIC requires nearly 4π calorimeter coverage with regions requiring high resolution EMCAL and HCAL performance. However, there are severe space limitations, particularly along the beam direction.
- ❑ The most demanding requirements for the EMCAL are in the backward direction to measure the scattered electron.
- ❑ The most demanding requirements for the HCAL are in the forward direction where one would like to measure all hadrons and the tracking resolution deteriorates due to the axial magnetic field.
- ❑ There are a number of promising new technologies to meet these requirements (e.g., new scintillating glasses, W/SciFi and W/Shashlik EMCAL technologies and tilted plate configurations for the HCAL).
- ❑ Given that the project is seeking CD2 approval in less than 2 years the schedule is very tight to come up with a detailed detector design.

Backup

The Electron Ion Collider



Relativistic Heavy Ion Collider (RHIC) Complex at BNL

- Add an electron storage ring 2.5-18 GeV (80% polarization) to the existing RHIC Collider
- Proton beams ~ 40 - 275 GeV/c (70% polarization)
- Ion beams (A up to U, E up to 100 GeV/A, $E_{cm} \sim 10-140$ GeV)
- Polarized light ions: d, He³ (pol > 80%)
- Luminosity ~ $10^{33} - 10^{34}$ cm⁻²/s⁻¹ (10 – 100 fb⁻¹/yr)
- 2 Intersection Regions (1 IR and detector funded by DOE)

Detailed description given in the EIC Yellow Report
http://www.eicug.org/web/sites/default/files/Yellow_Report_v1.0.pdf

Early Completion Date – July 2031

The Physics of EIC

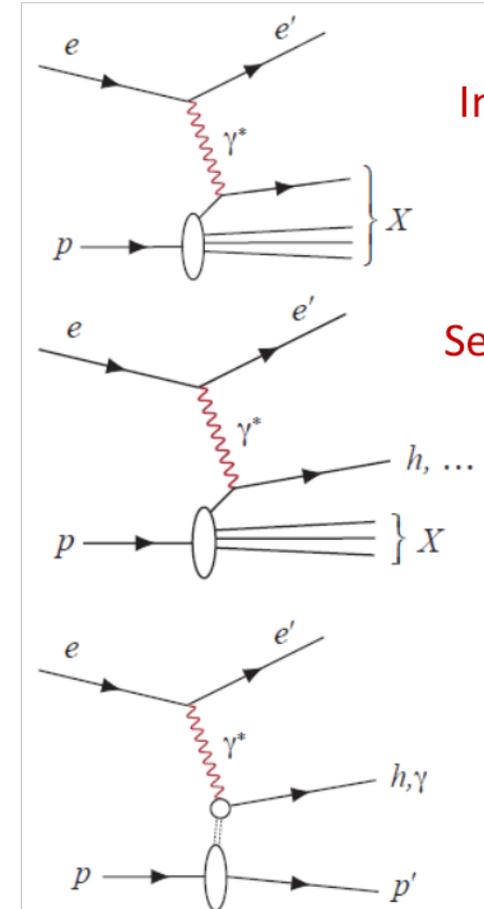
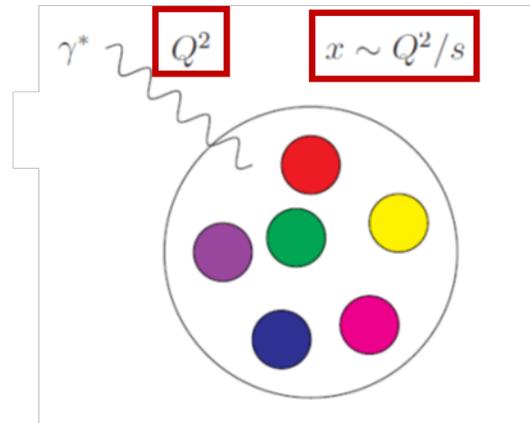
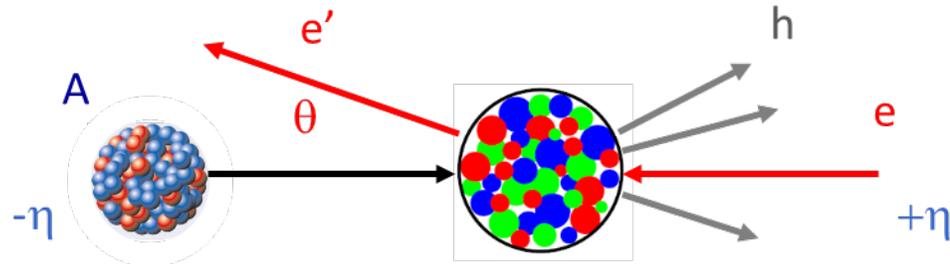
The physics goals of EIC are to address three fundamental questions about nucleons:

- How does the mass of the nucleon arise?
- How does the spin of the nucleon arise?
- What are the properties of dense systems of gluons?

Primary tool is Deep Inelastic Scattering (DIS) of electrons off partons

Kinematics is determined by measuring the energy/momentum and angle of the scattered electron

$$Q_e^2 = 2E_e E'_e (1 + \cos \theta'_e) = 4E_e E'_e \cos^2 \left(\frac{\theta'_e}{2} \right)$$

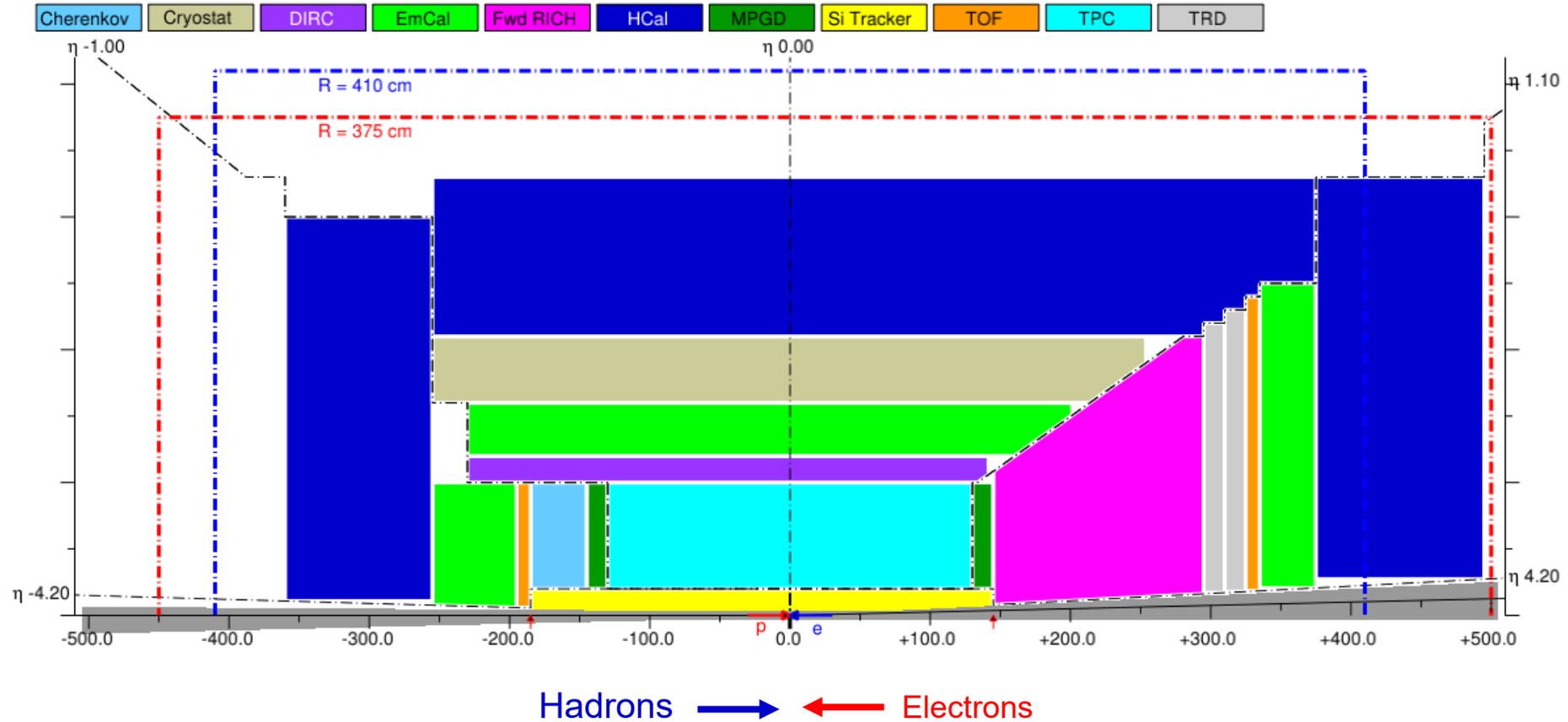


Inclusive DIS

Semi-Inclusive DIS

Exclusive Processes

EIC Reference Detector

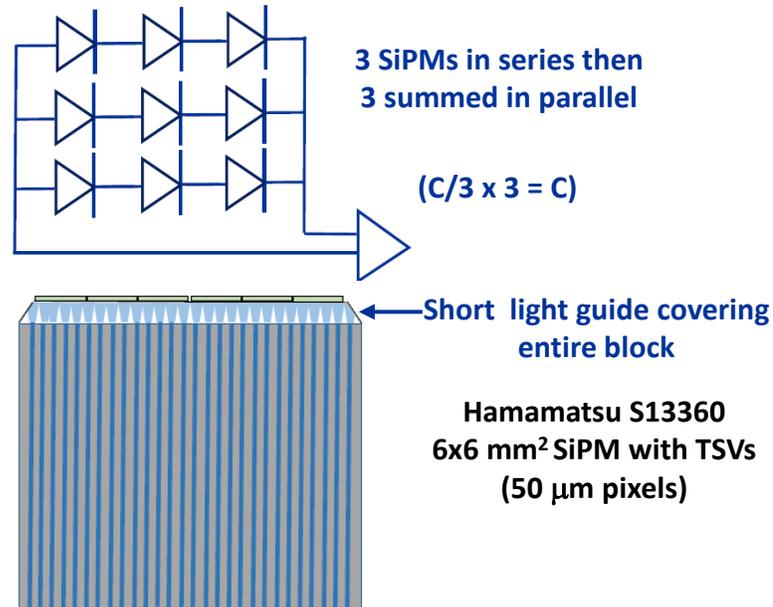
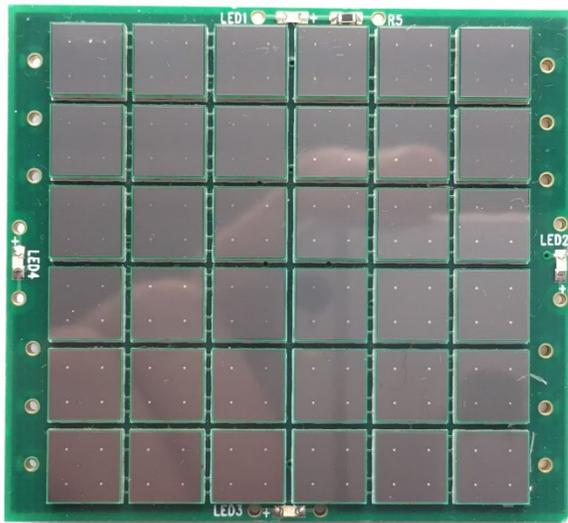


Hadrons \rightarrow \leftarrow Electrons

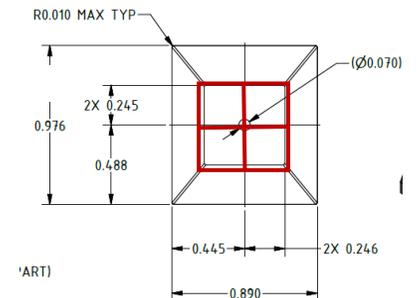
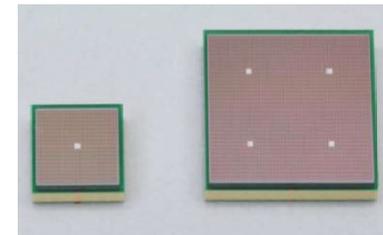
Increasing Photocathode Coverage of W/SciFi Blocks

- ❑ The uniformity of the light exiting the fibers is very good but the light guide provides poor mixing and the SiPMs cover only 23% of the readout area of the light guide (6.4% of the total readout area of the block).
- ❑ The light collection efficiency and uniformity can be greatly improved by increasing the photocathode area coverage on the readout end of the block

Maximum photocathode coverage using the sPHENIX blocks



Increased coverage using existing sPHENIX light guides

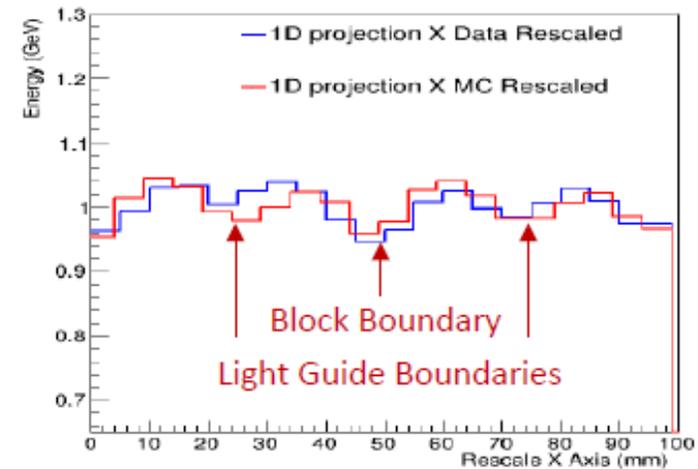
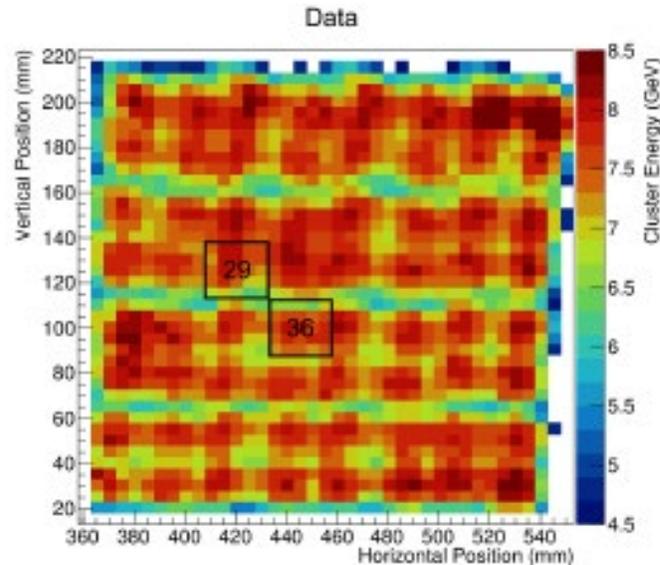


2x2 array of 6x6 mm² SiPMs

Uniformity of W/SciFi - Effect on Energy Response

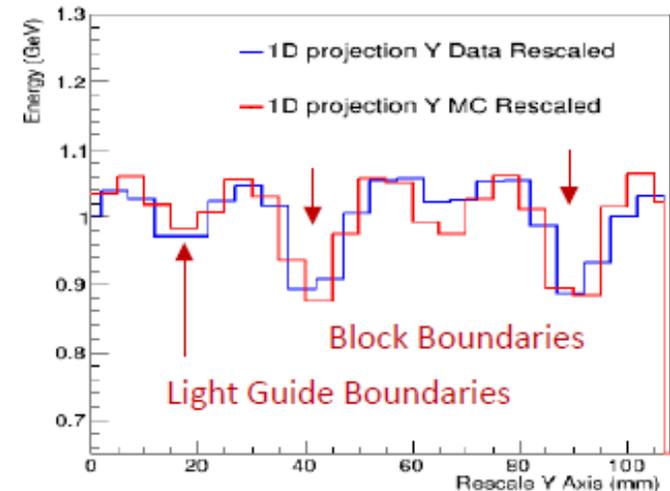
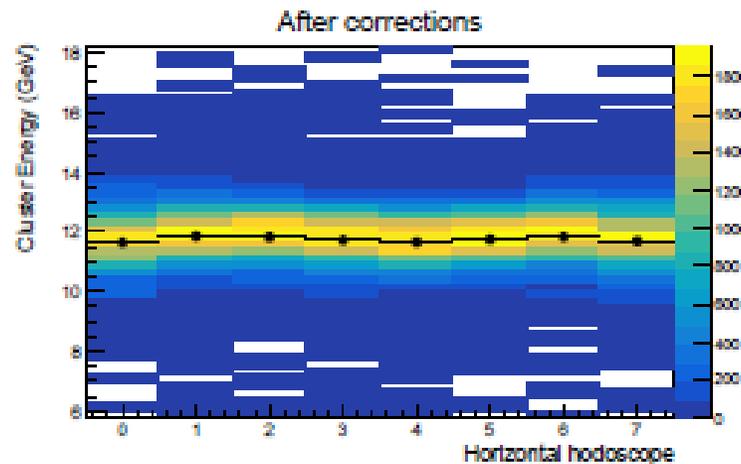
Non-uniformities are inherent in the design and contribute to the energy resolution

Uniformity of response over 8x8 towers with 8 GeV electrons (Test Beam Data)



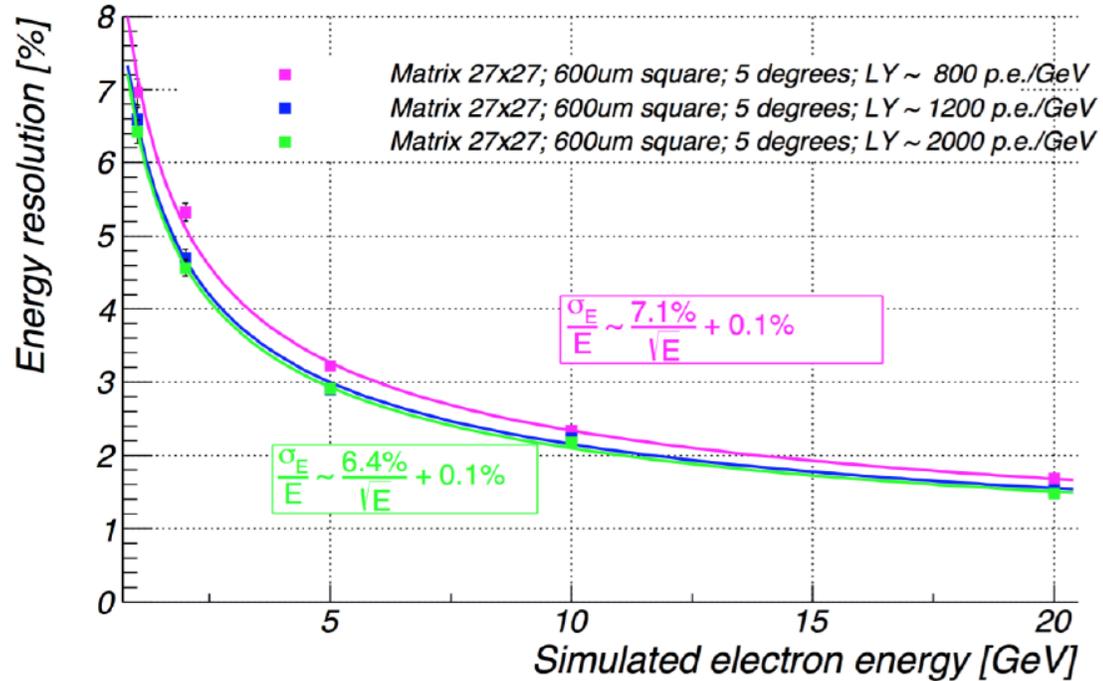
X axis projection

Uniformity after position dependent correction

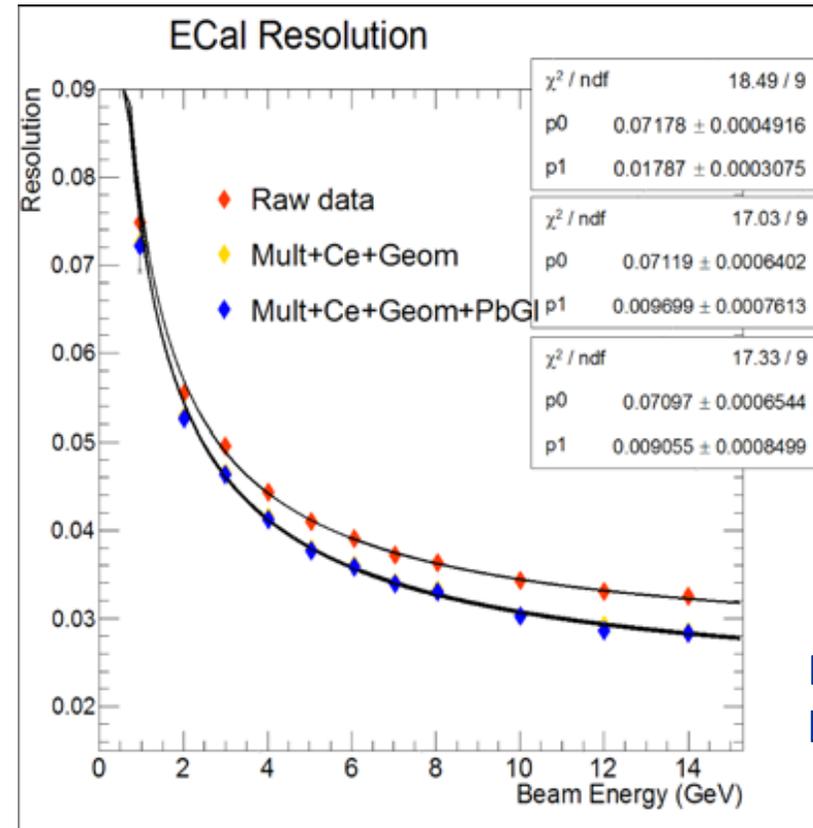


Y axis projection

HiRes W/SciFi



eRD1 Report Jan 2016



PMT readout with long light guides

eRD1 Report July 2016

O.Tsai UCLA

PHENIX Shashlik

Fine segmentation for high multiplicity heavy ion collisions

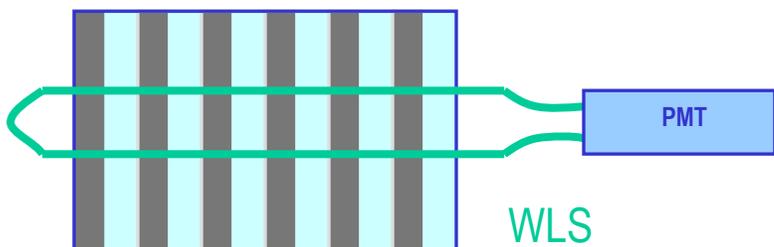
$\Delta\eta = 0.01, \Delta\phi = 0.01 \Rightarrow 5.535 \times 5.535 \text{ cm}^2$ towers at $R = 5 \text{ m}$

3888 modules \Rightarrow 15,552 towers total

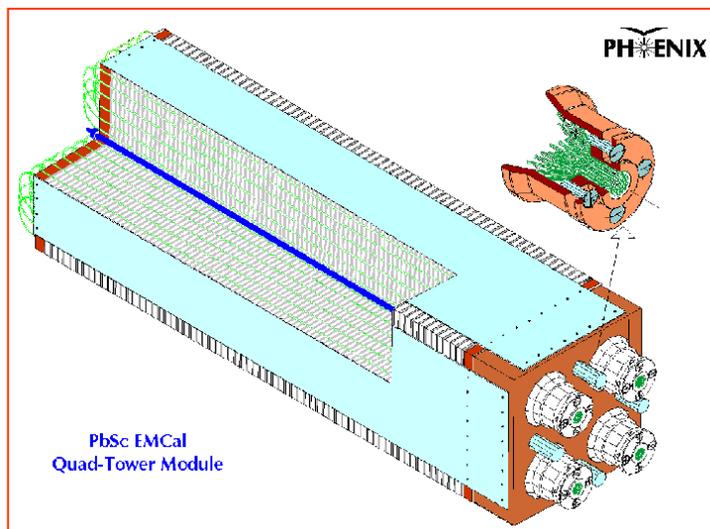
$X_0 = 2.0 \text{ cm}, R_M \sim 4.5 \text{ cm},$

Total length = $18 X_0, 0.85 \lambda_{\text{int}}$

Pb (1.5 mm) Scint (4 mm) 66 layers ($18 X_0$)



Light Yield $\sim 1.5 \text{ p.e./MeV}$



Resolutions

$$\frac{\sigma_E}{E} = \frac{8.1\%}{\sqrt{E}} \oplus 2.1\% \quad \sigma_x = \frac{5.7 \text{ (mm)}}{\sqrt{E}} \oplus 1.55 \text{ (mm)}$$

$\sigma_t \sim 200 \text{ ps}$

1500 modules now deployed
in STAR FCS w/ SiPM readout

