

A decorative illustration on the left side of the slide depicts a neutrino interaction. At the top, a red wavy line representing a neutrino approaches a cluster of blue and grey spheres representing a nucleus. Below this, an orange sphere (representing a nucleon) is shown being ejected from the nucleus, with a red wavy line indicating the emission of a particle. Further down, another nucleus is shown with an internal explosion, emitting particles. At the bottom, a beam of orange spheres (neutrinos) is directed towards a nucleus, with one sphere having just interacted.

An introduction to

Neutrino-Interaction Physics

Cheryl Patrick

University College London

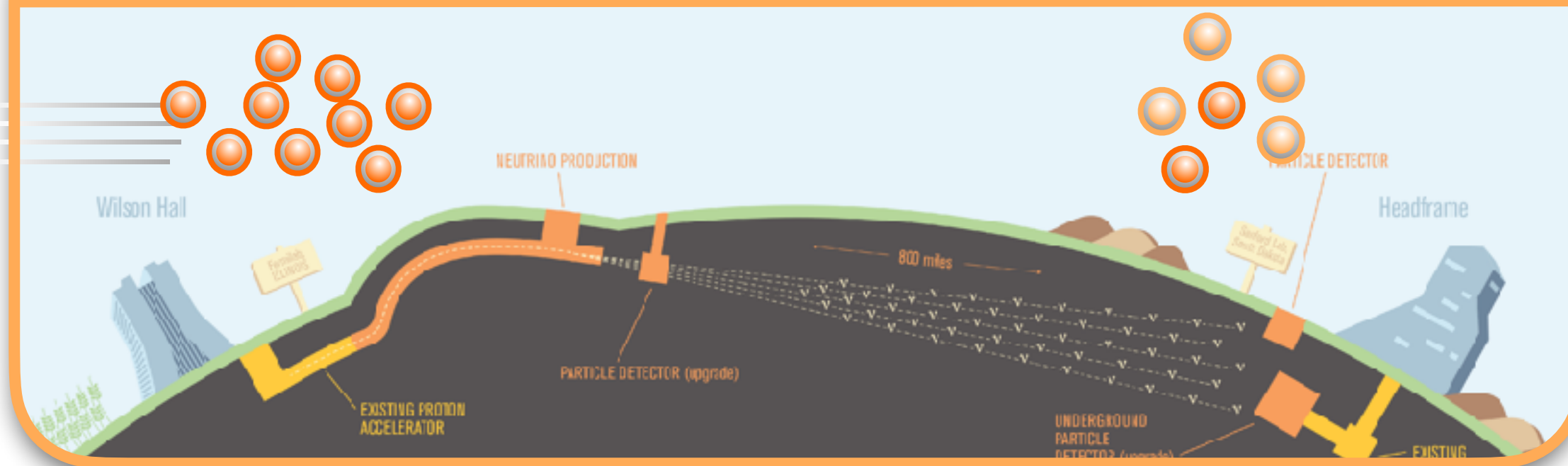
June 7th, 2021



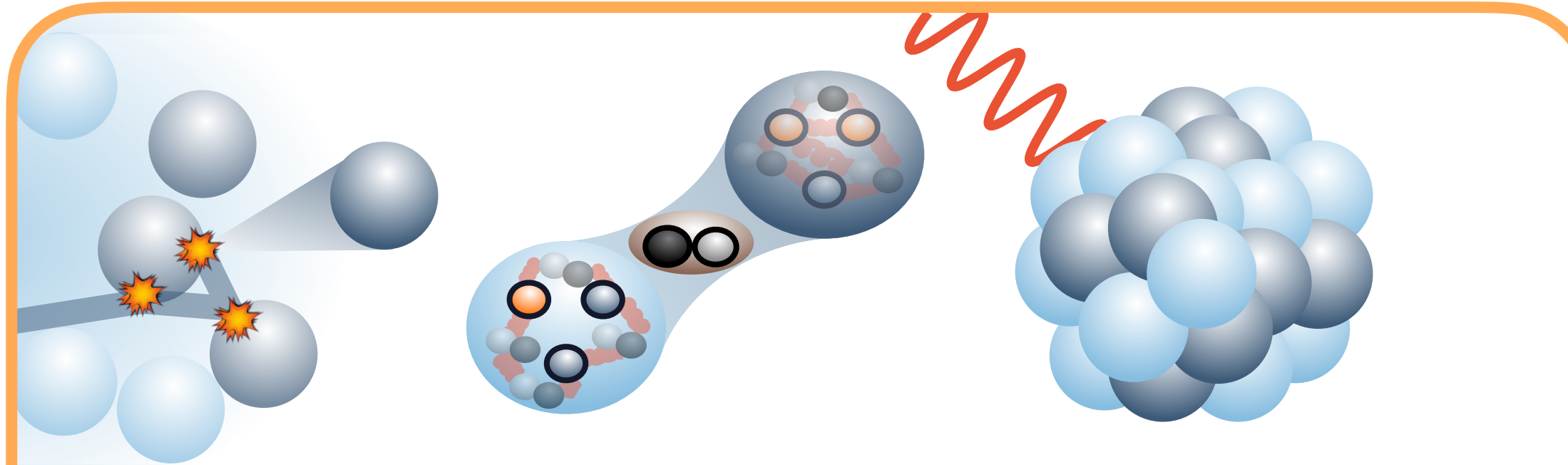
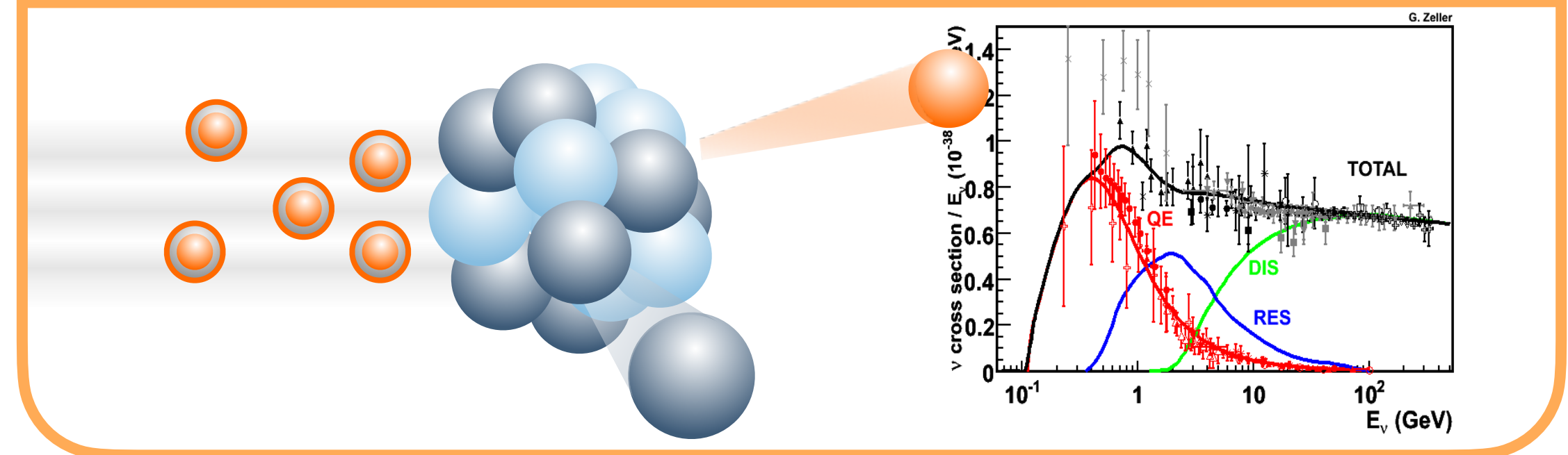
Neutrino
Interaction
School 2021

Neutrino interactions and...

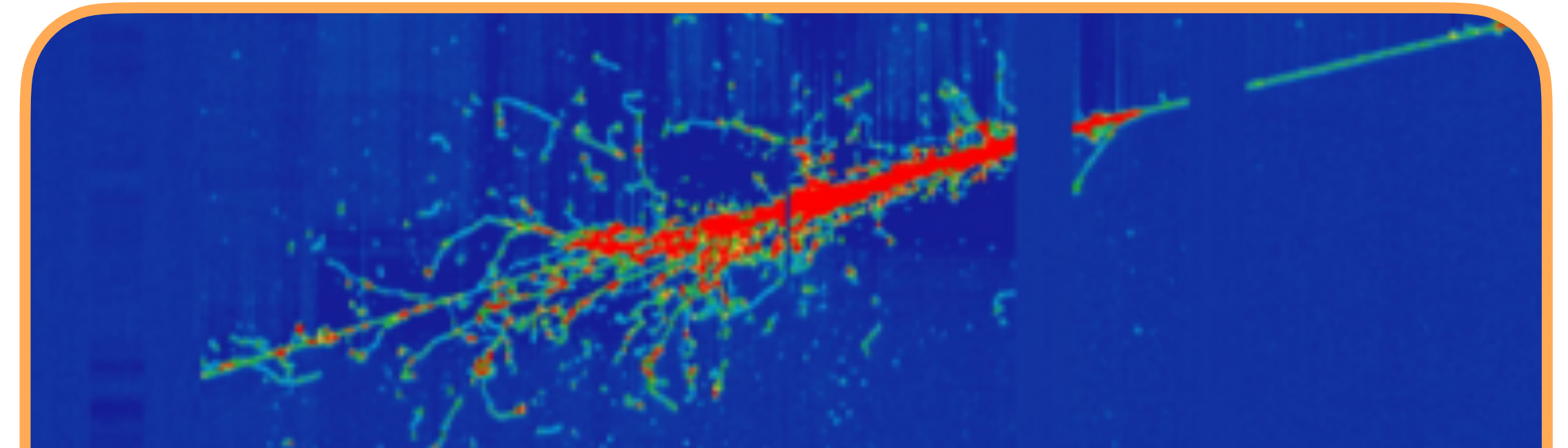
Why they're so important



What we know about them



What we still need to understand better



How we're trying to understand them

Warm-up puzzle - meet the cast!

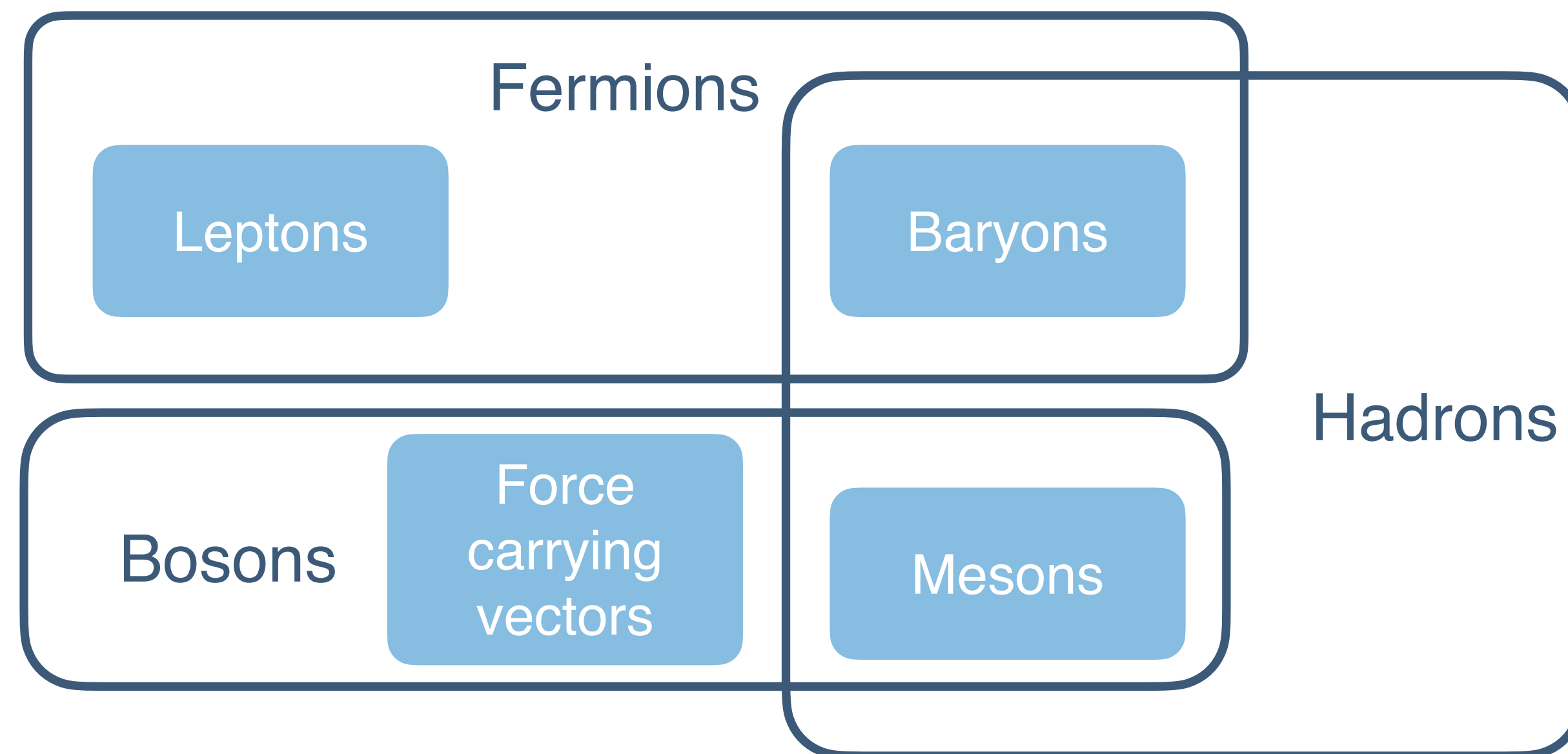
Exercise 1

What are these particles'...?

Charges

Spins

Which particles are...?



Muon-neutrino, ν_μ

Electron-neutrino, ν_e

Electron, e^-

Muon, μ

Proton, p

Neutron, n

Pion, π (3 of them)

Photon, γ

Positron, e^+

Weak force carrier, W (2 of them)

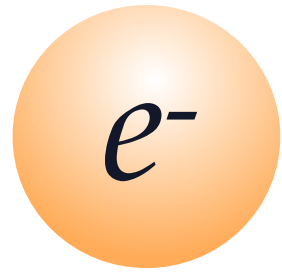
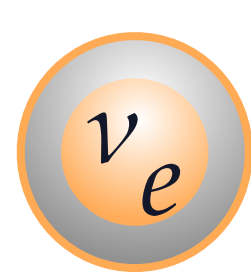
Weak force carrier, Z

Δ -1232 resonance (4 of them)

Can you match the particles to the categories?

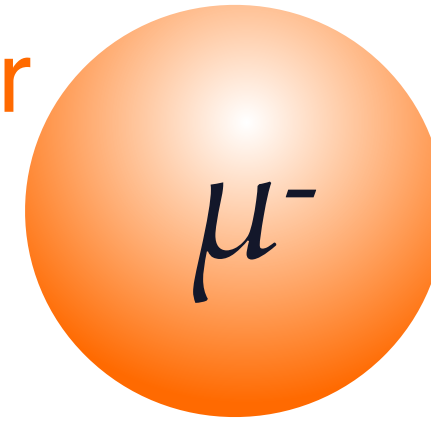
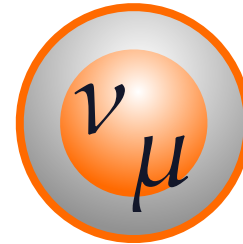
Neutrinos (Three different ones)

Electron flavor



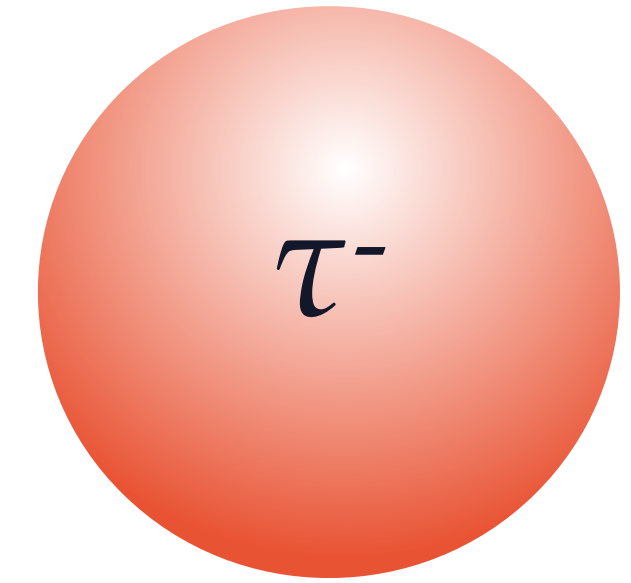
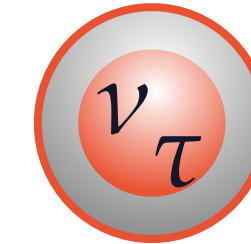
$m_e = 511 \text{ keV}$

Muon flavor



$m_\mu = 106 \text{ MeV}$

Tau flavor

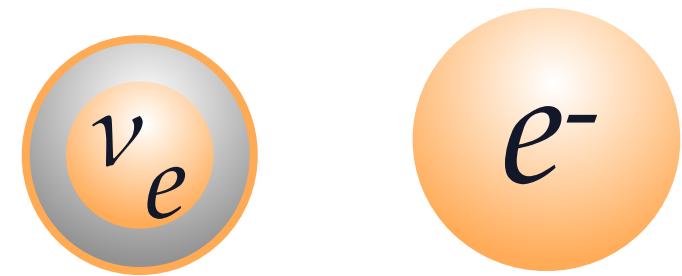


$m_\tau = 1.8 \text{ GeV}$

- **No electric charge**
- **Leptons** (fermions, spin 1/2). Always **left-handed** (antineutrinos always right-handed)
- Massless (in the Standard Model)
- Interact via **weak interaction** only...

Neutrinos (Three different ones)

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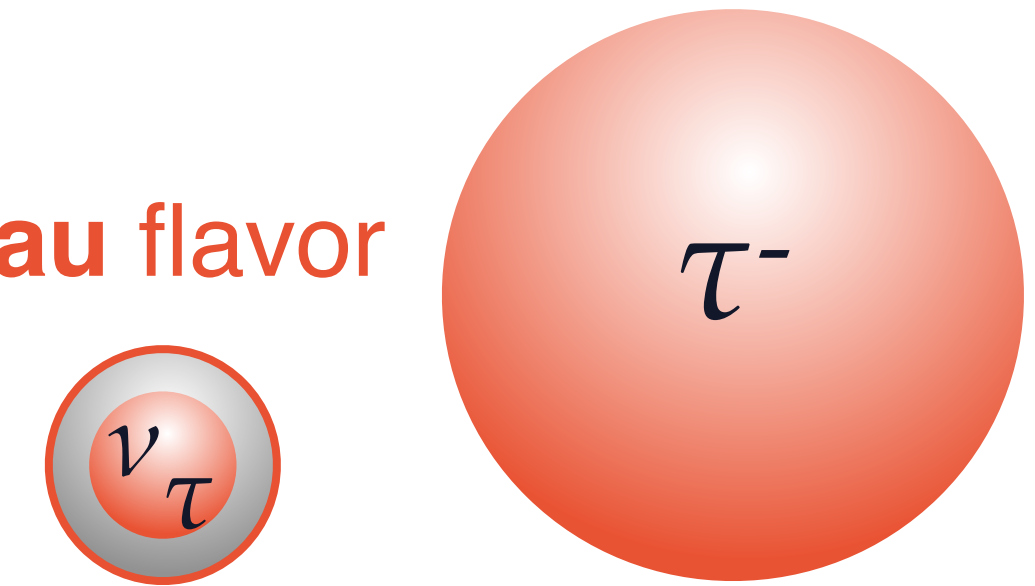
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Muon flavor



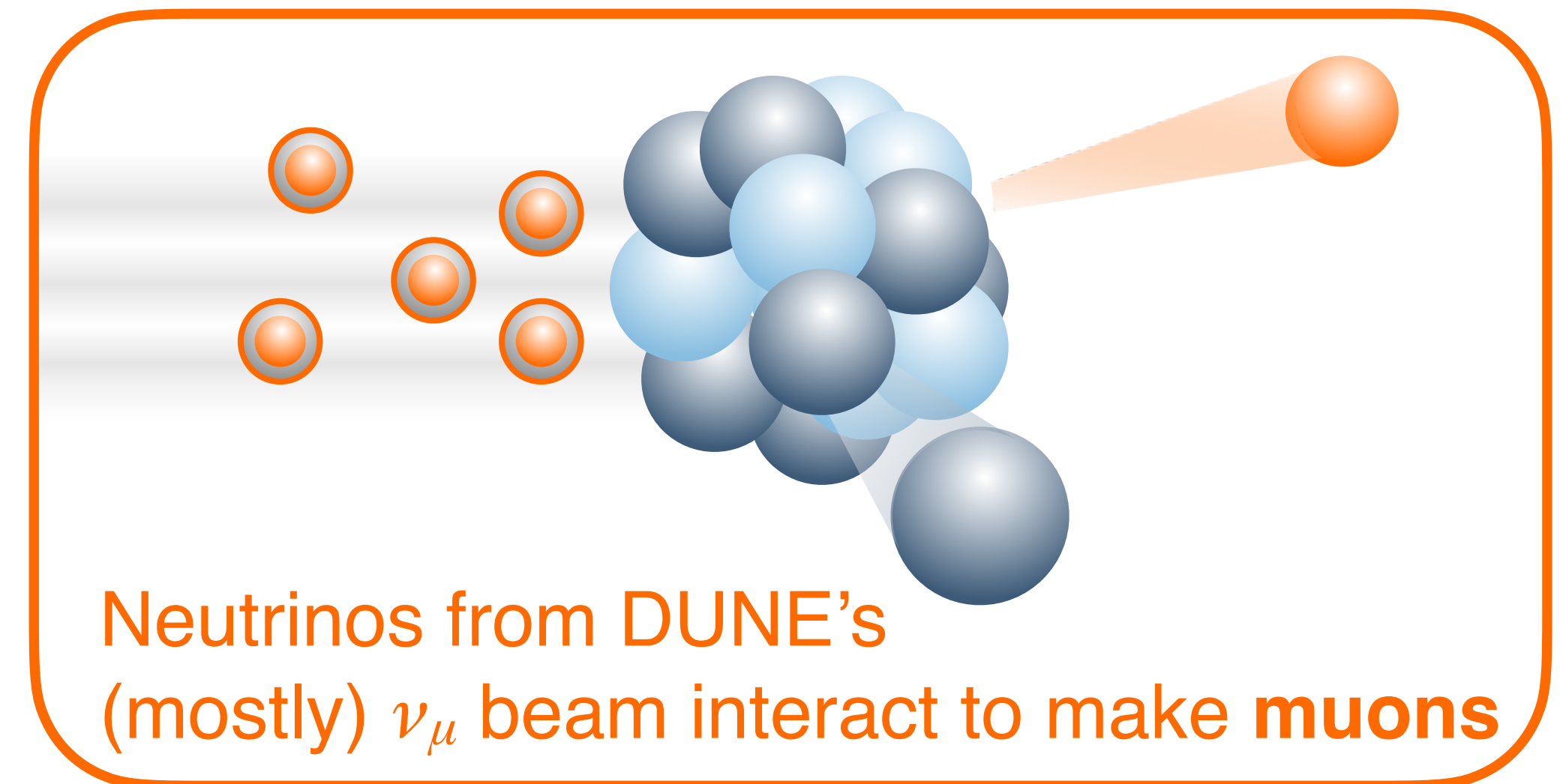
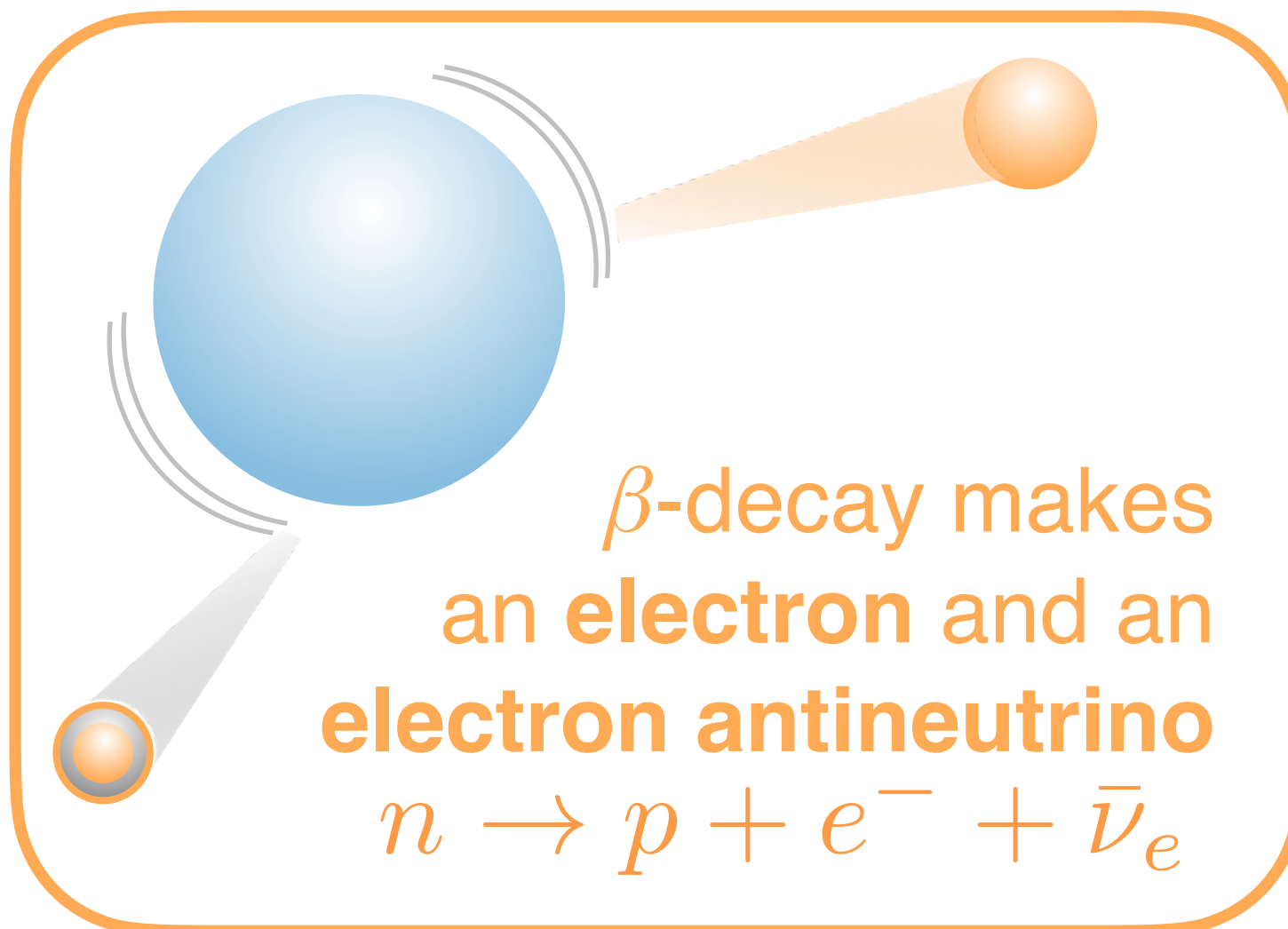
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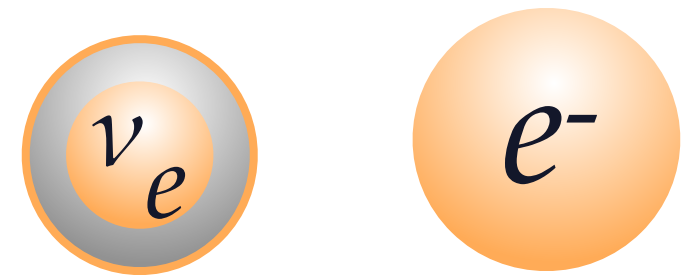
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Electron flavor



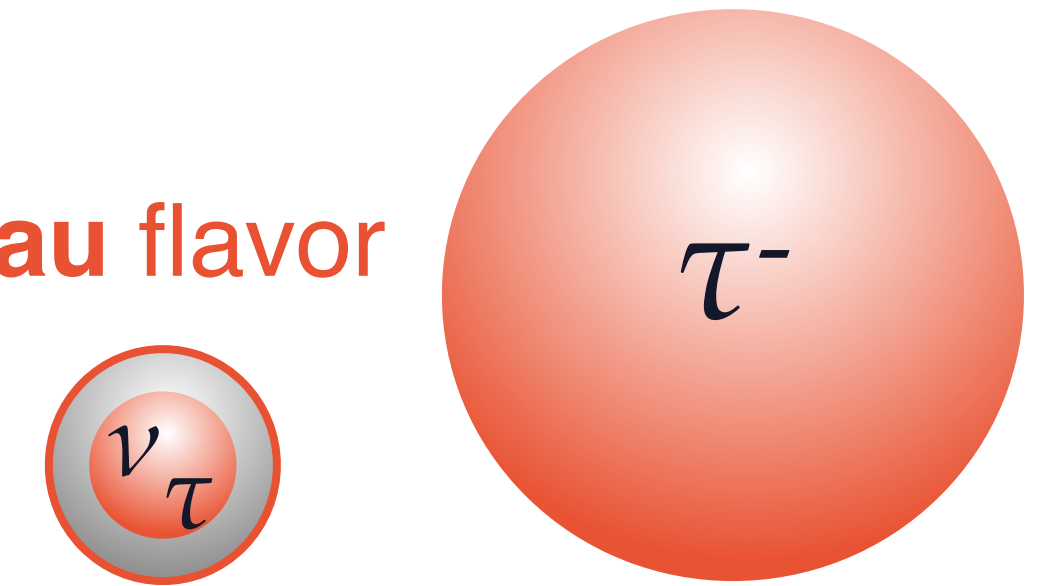
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Muon flavor



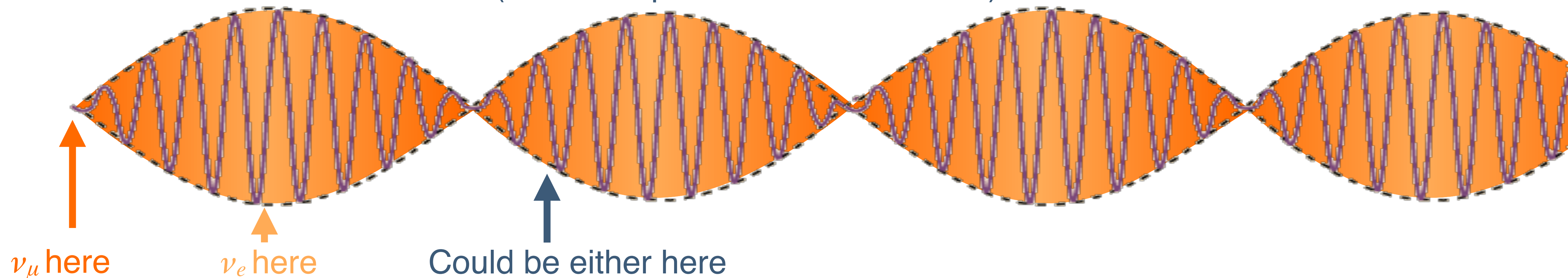
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Tau flavor



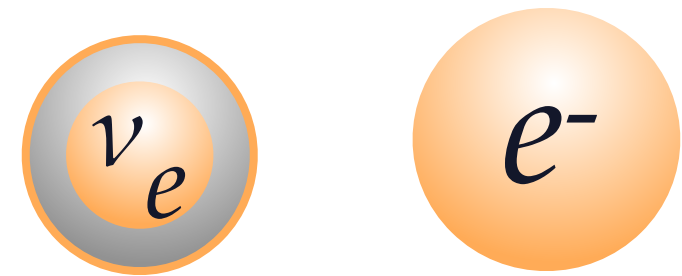
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- **Oscillate** between flavors over time...
- ... and therefore have **mass** (massless particles don't see time)



Neutrinos (Three different ones)

Electron flavor



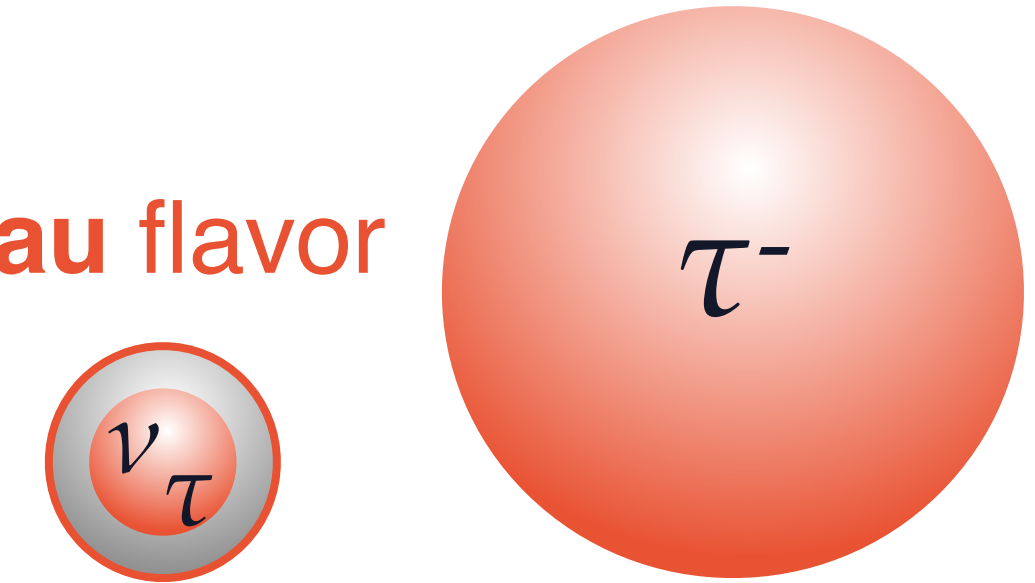
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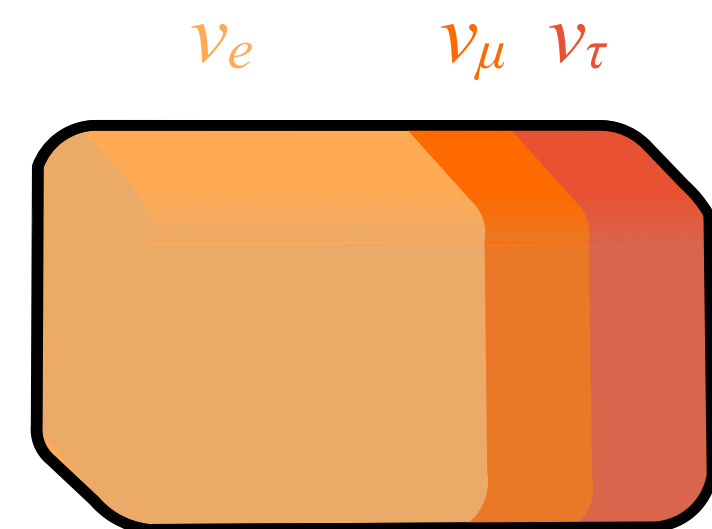
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Tau flavor

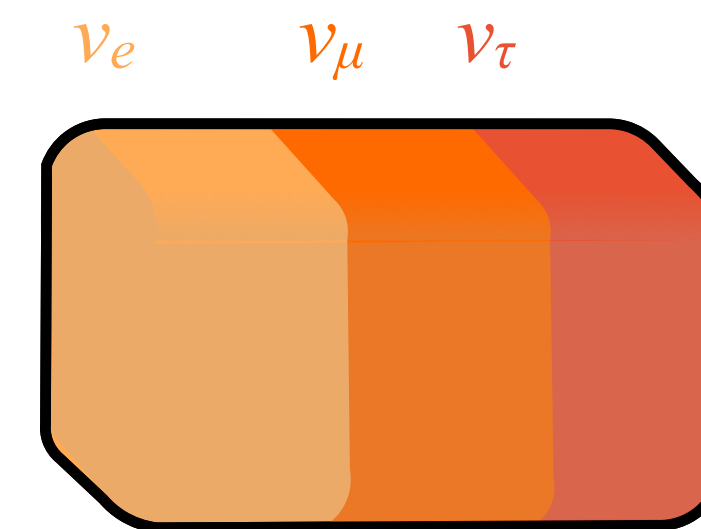


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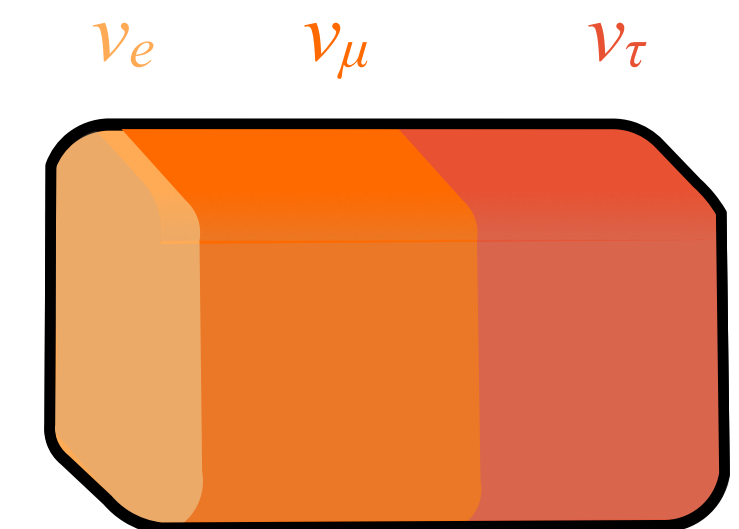
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- Interact via **weak interaction** only... which conserves **lepton flavor**
- **Oscillate** between flavors over time...
- ... and therefore have **mass** (massless particles don't see time)
- The three mass states are a **mix** (quantum superposition) of flavor states



Mass state ν_1

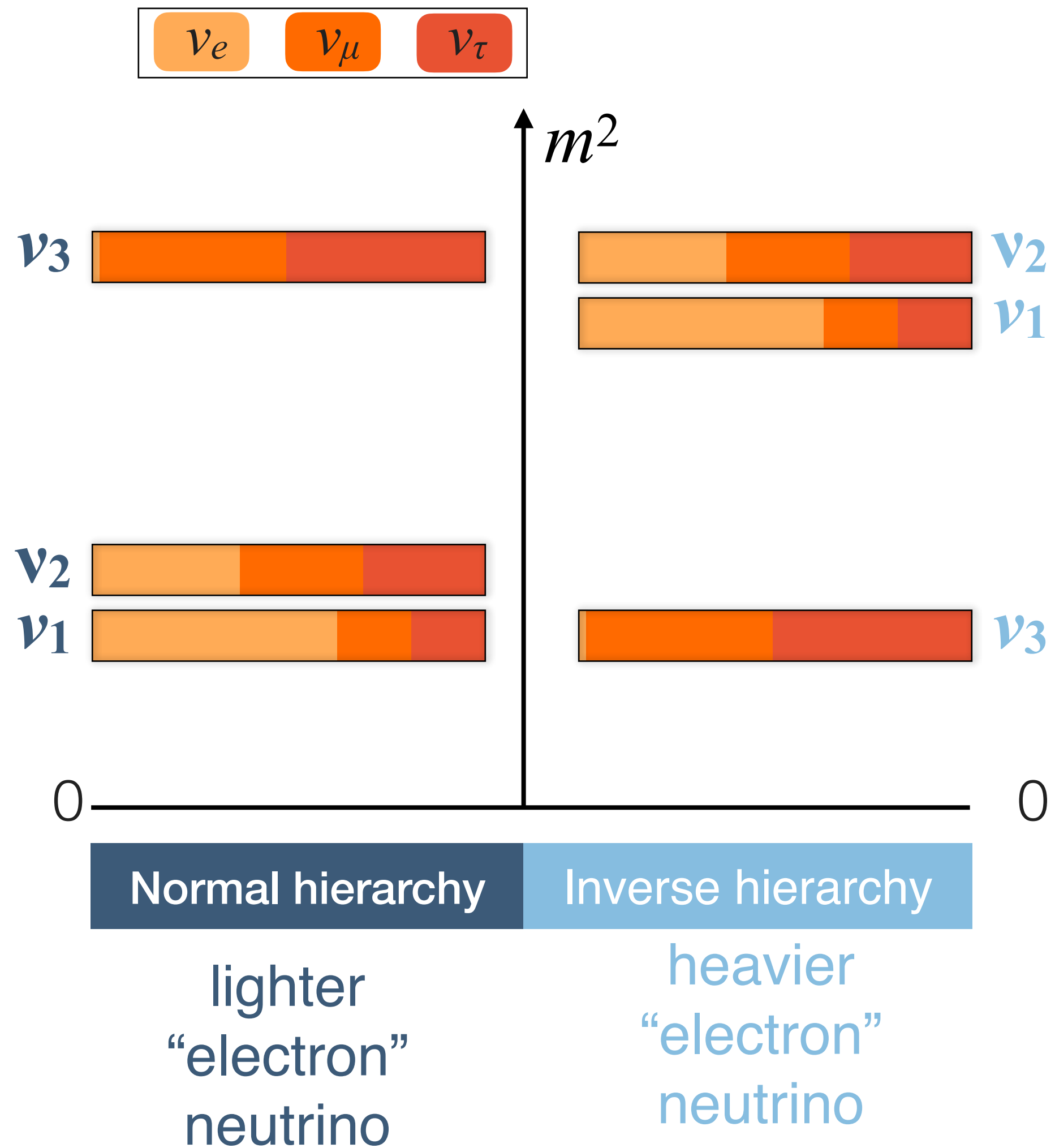


Mass state ν_2



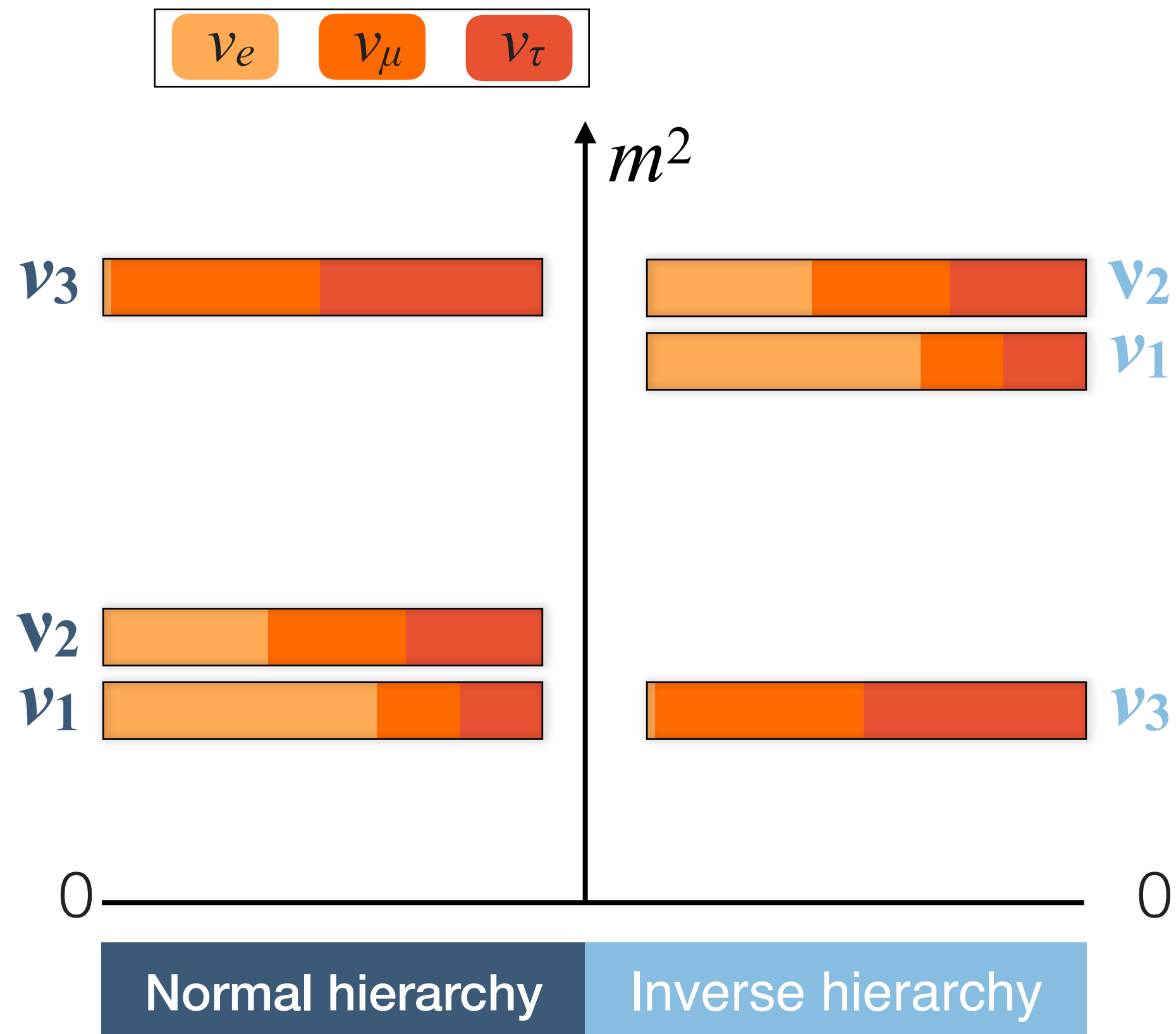
Mass state ν_3

Big questions of neutrino physics



Which neutrino is lightest?

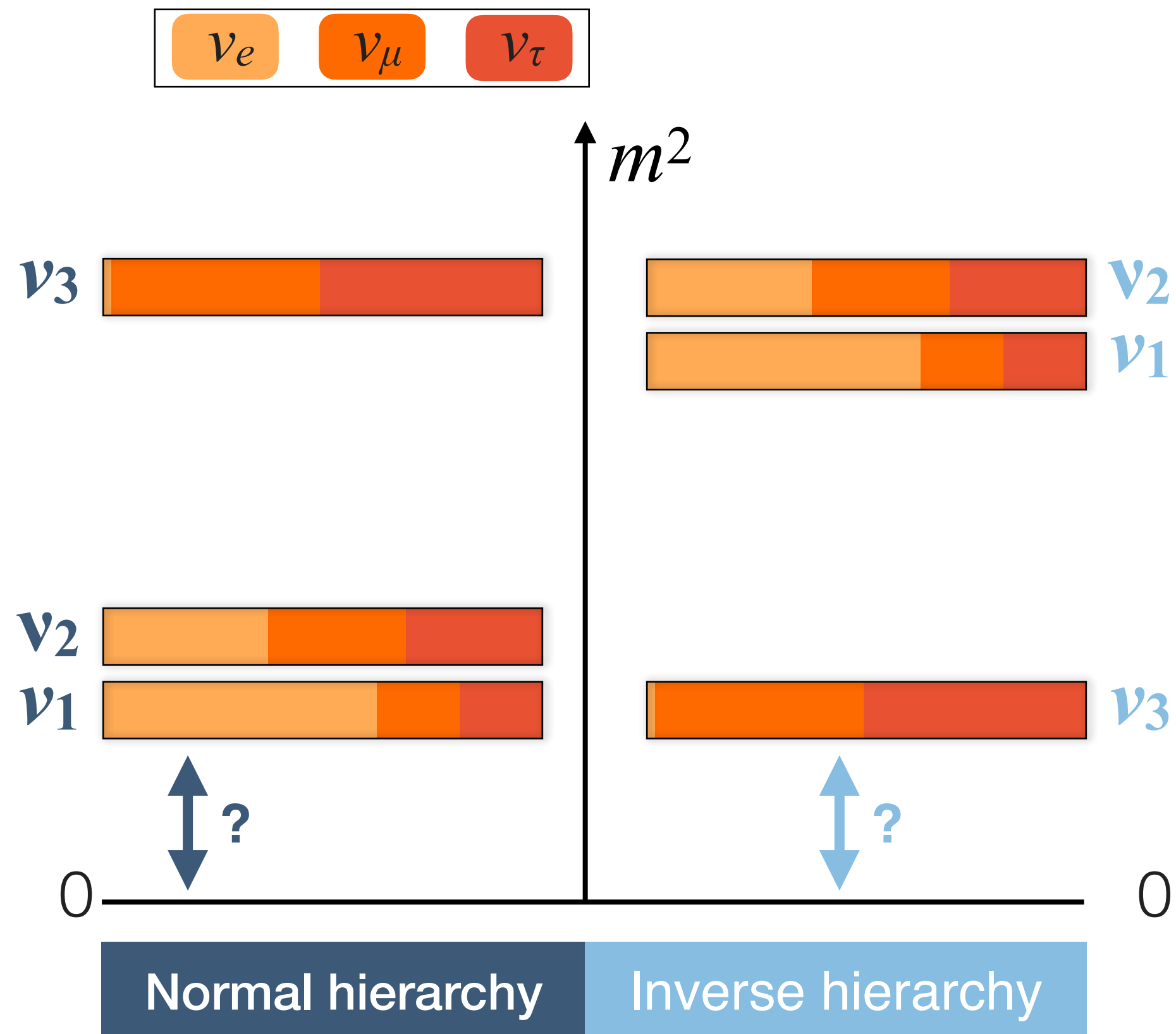
Big questions of neutrino physics



Which neutrino is lightest?

Charge-parity (CP) violation:
Do ν and $\bar{\nu}$ behave the same?

Big questions of neutrino physics



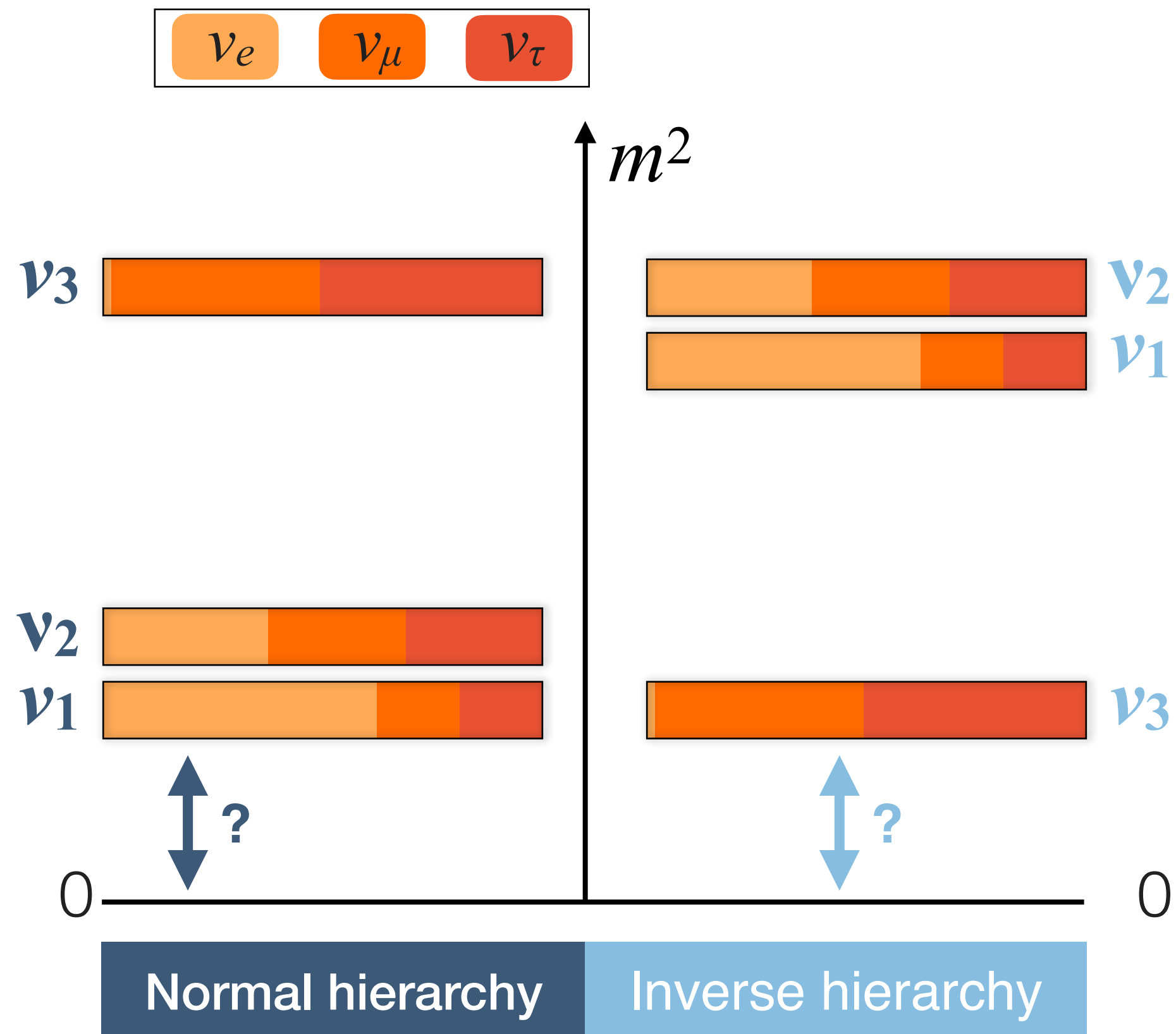
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What's the absolute mass
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How do neutrinos get
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Big questions of neutrino physics

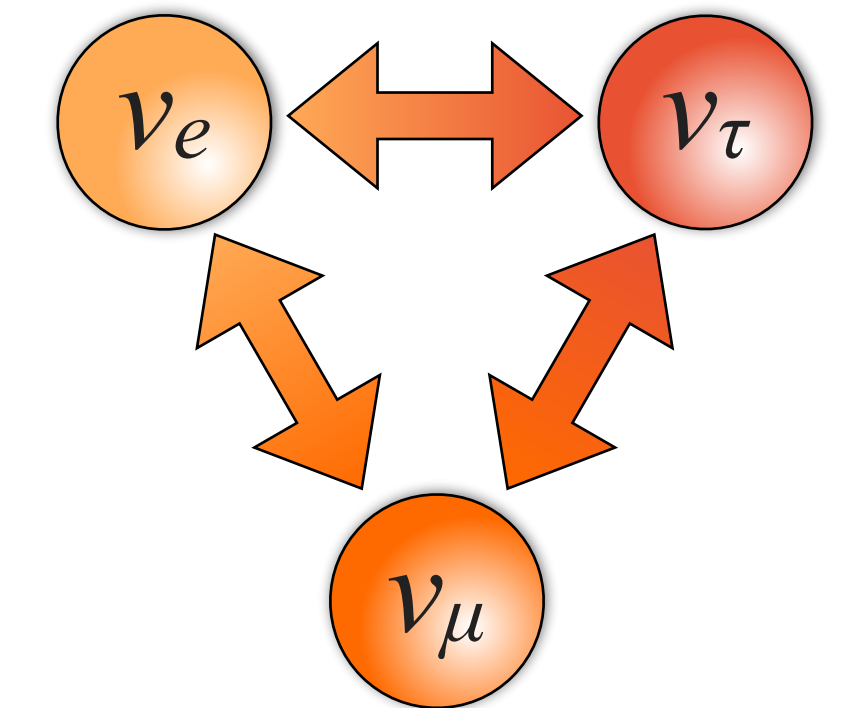


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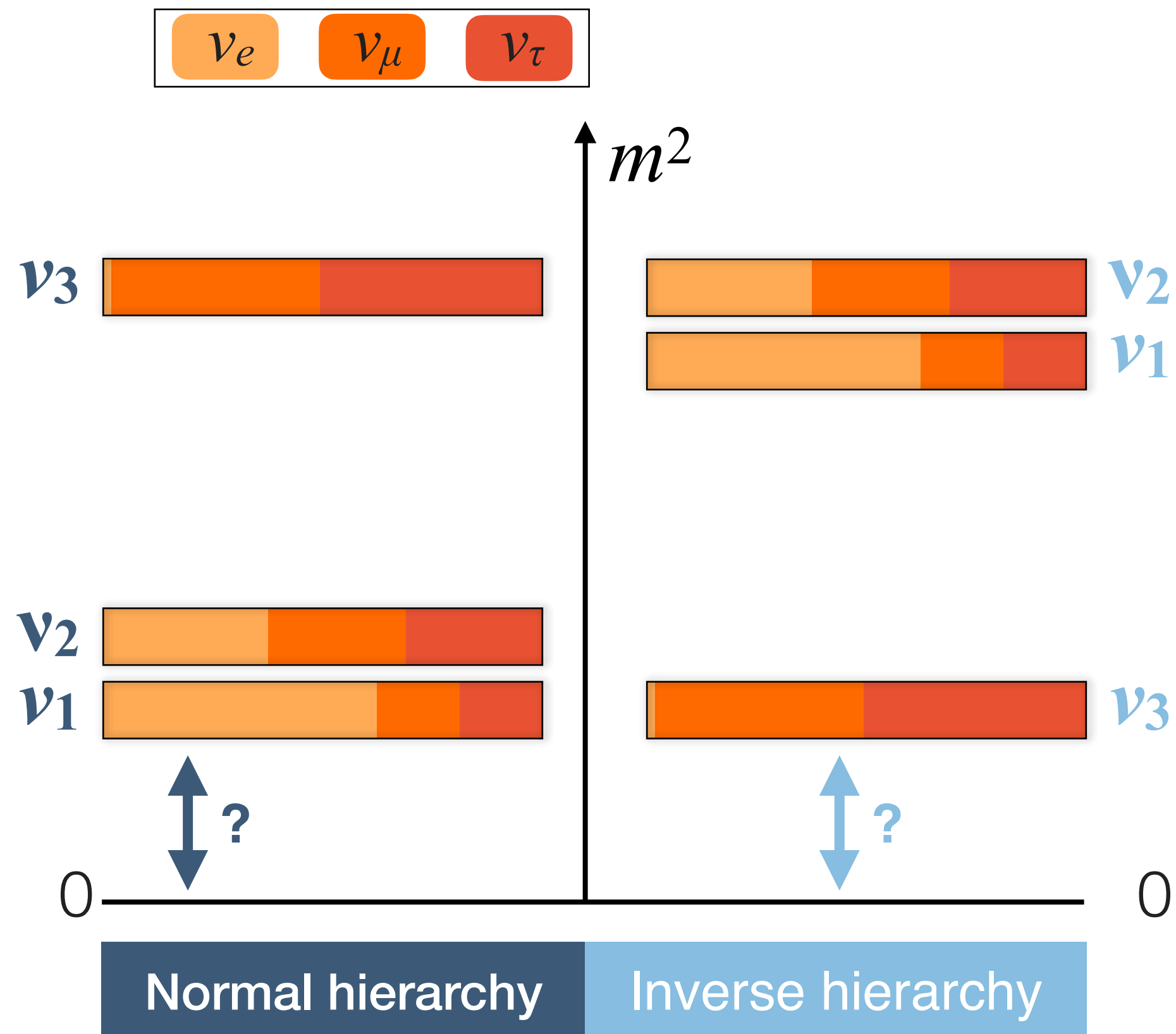
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Neutrino oscillations

Big questions of neutrino physics

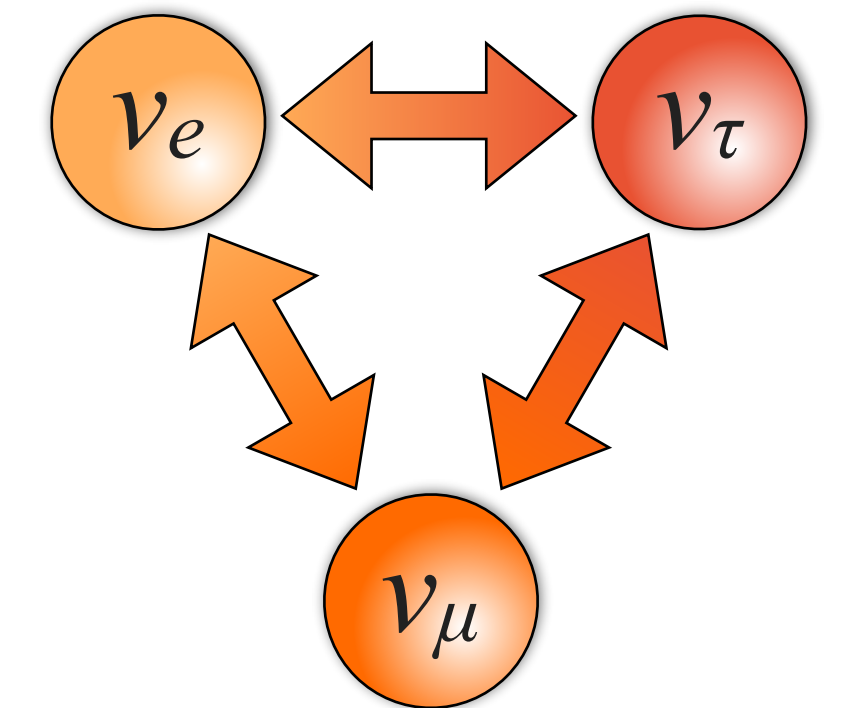


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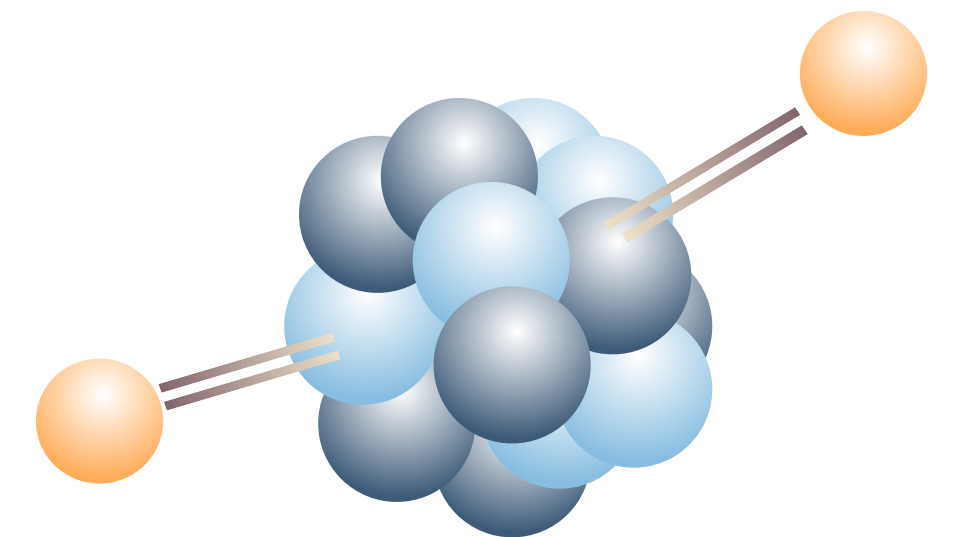
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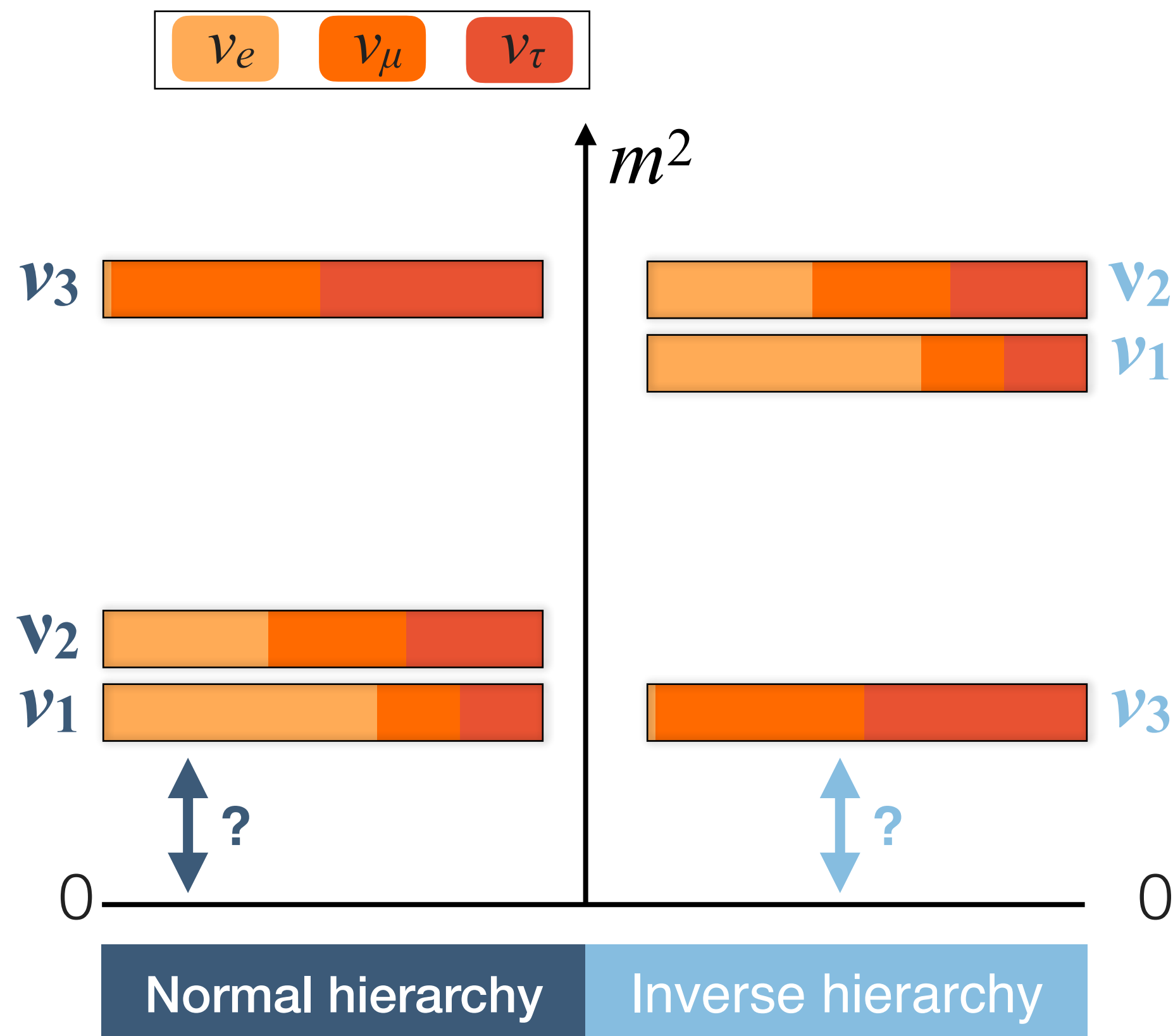


Neutrino oscillations



Neutrinoless double-beta decay, direct mass searches

Big questions of neutrino physics

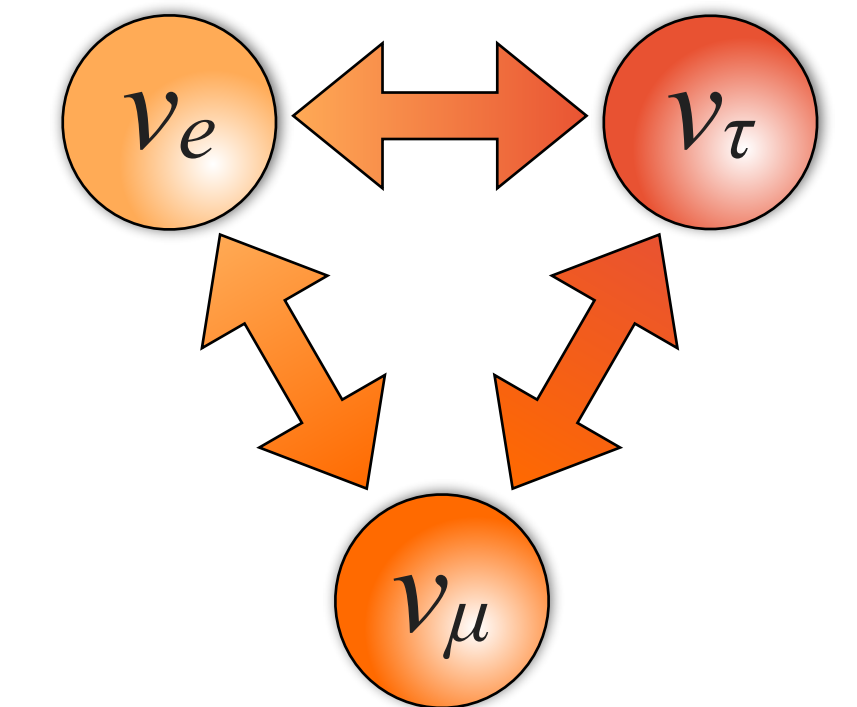


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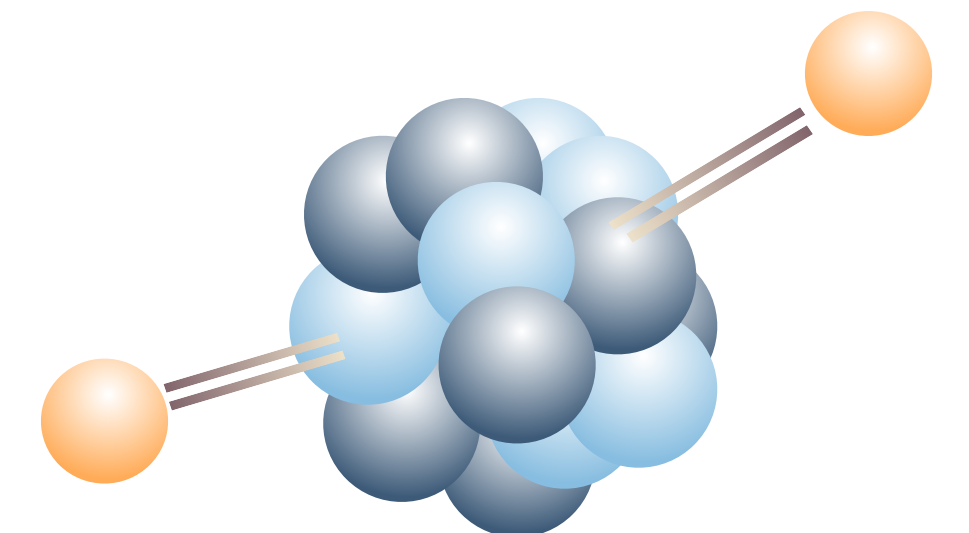
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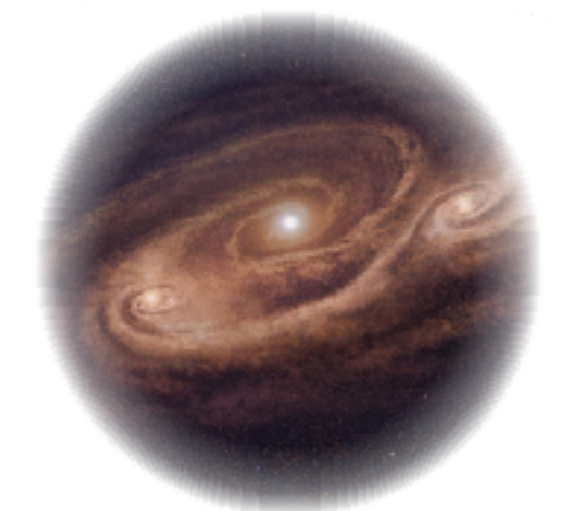


Neutrino oscillations



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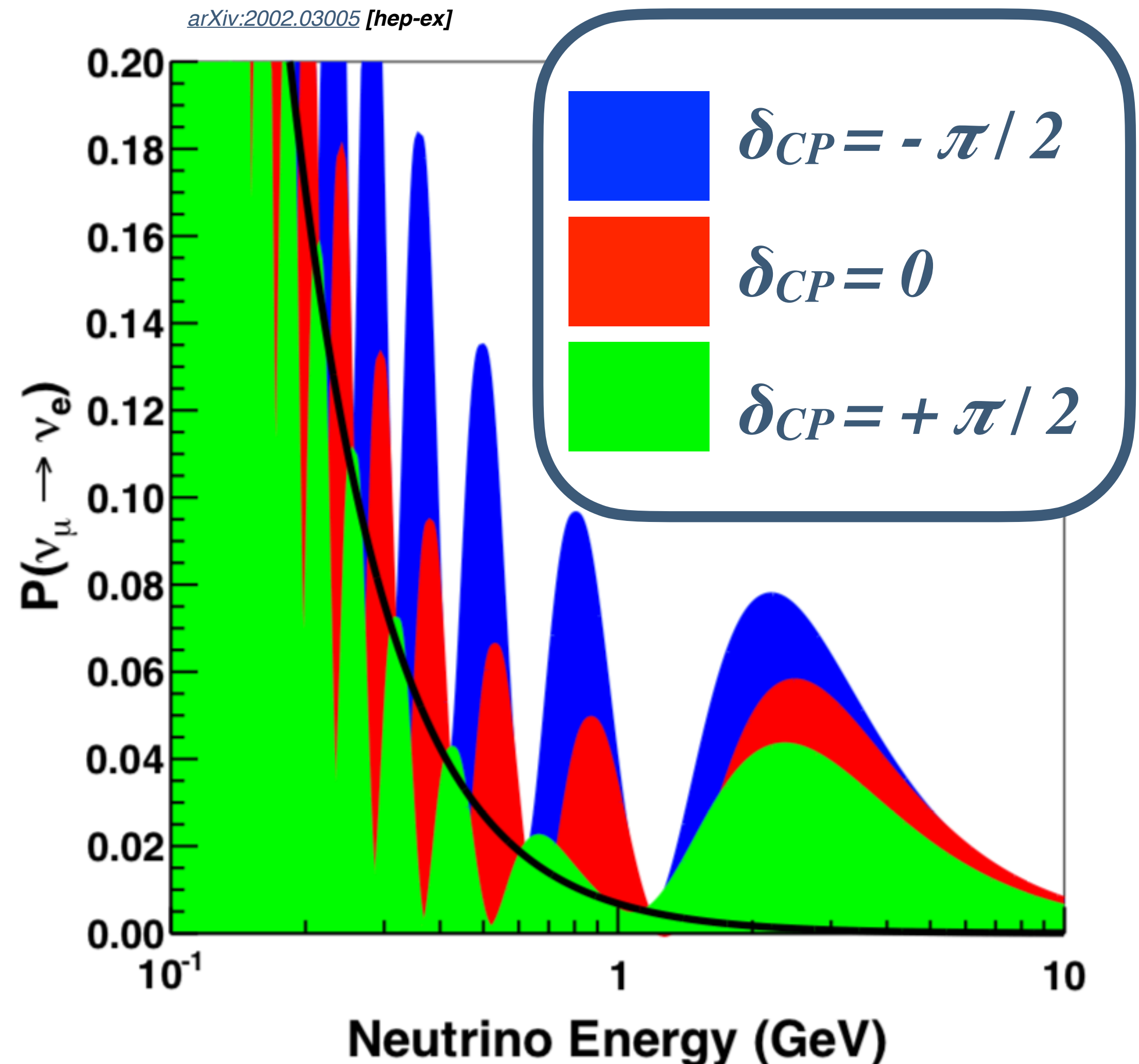
Cosmology



What's DUNE looking for?

Charge-parity violation

The CP-violating parameter δ_{CP} alters this probability distribution:



What's DUNE looking for?

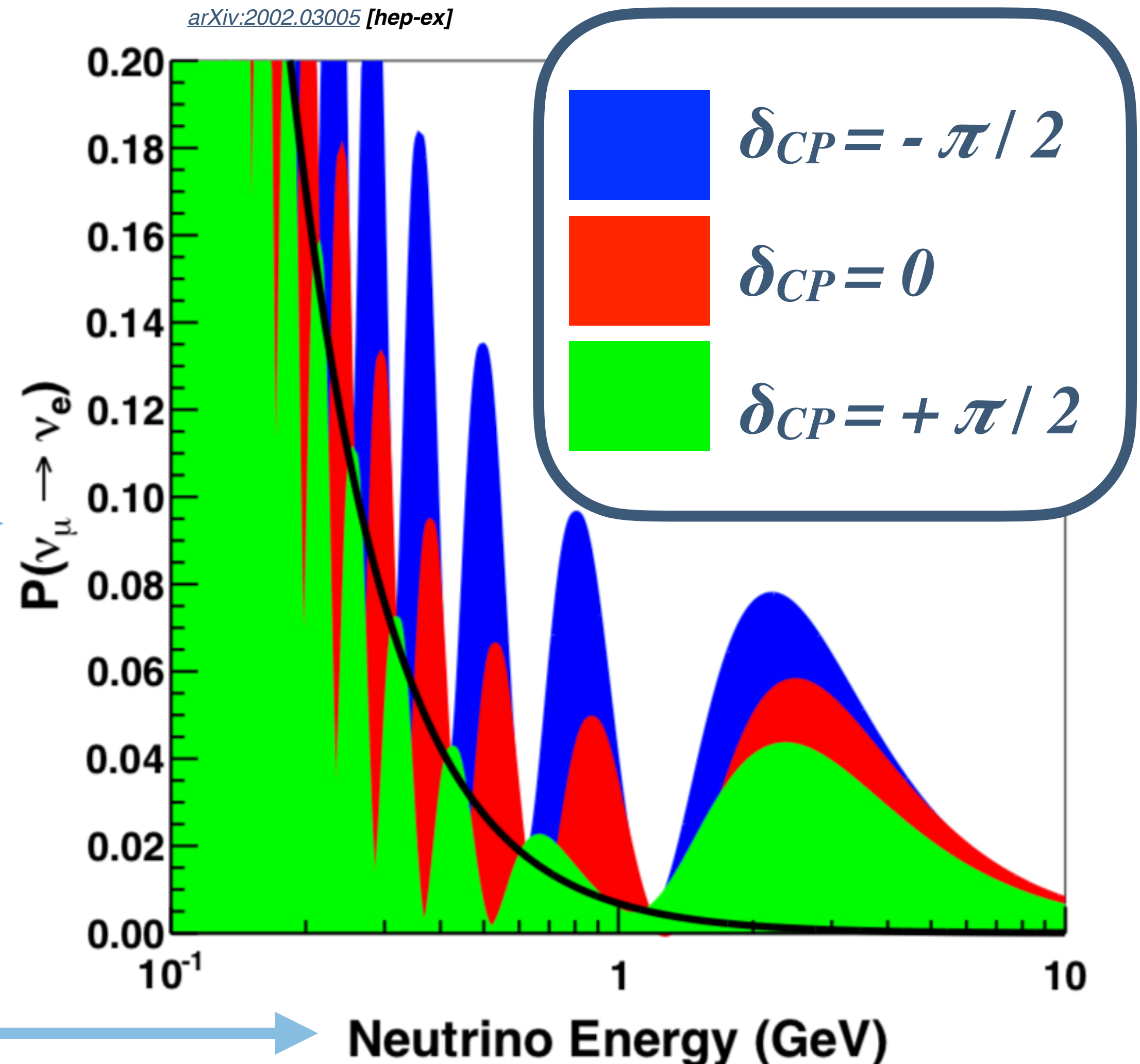
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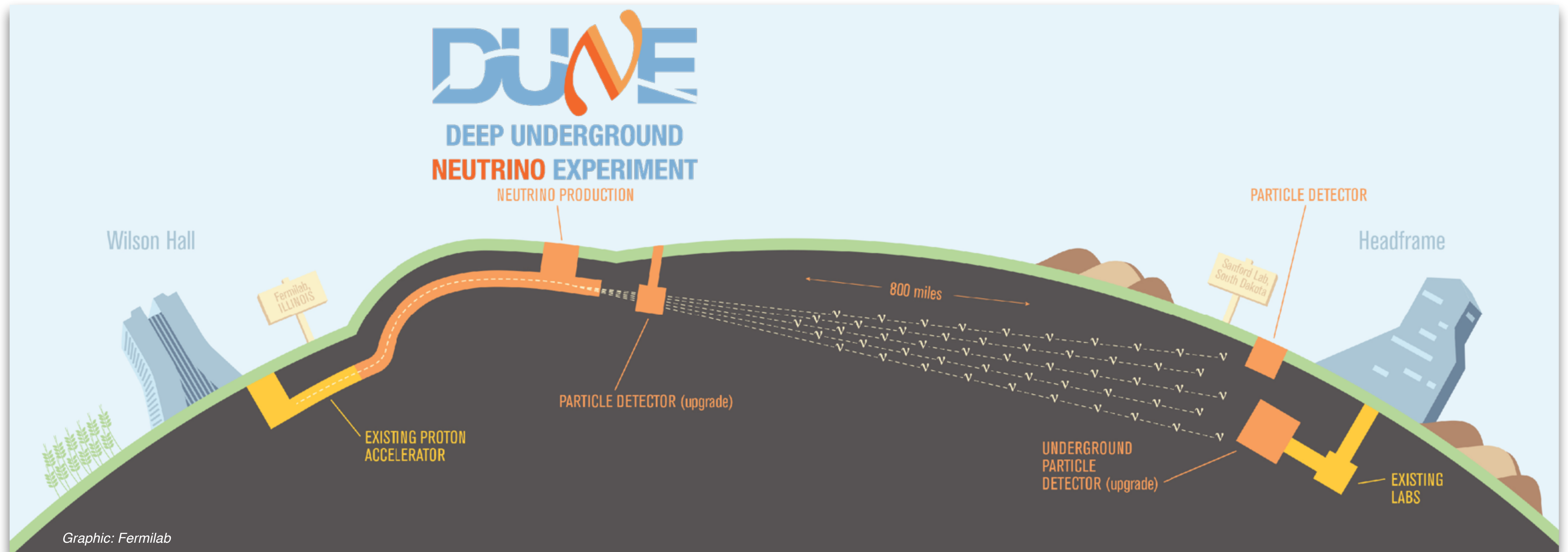
Fraction of ν_μ that have oscillated into ν_e

as a function of

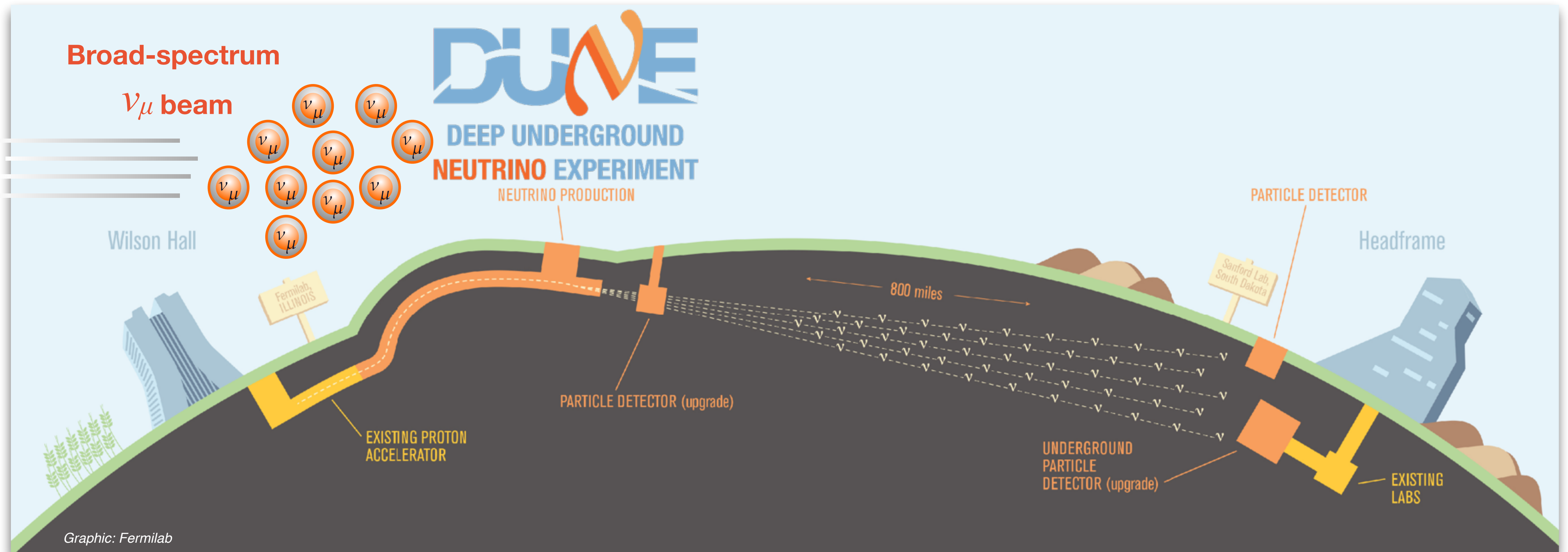
Neutrino energy



Here's how it will do it:

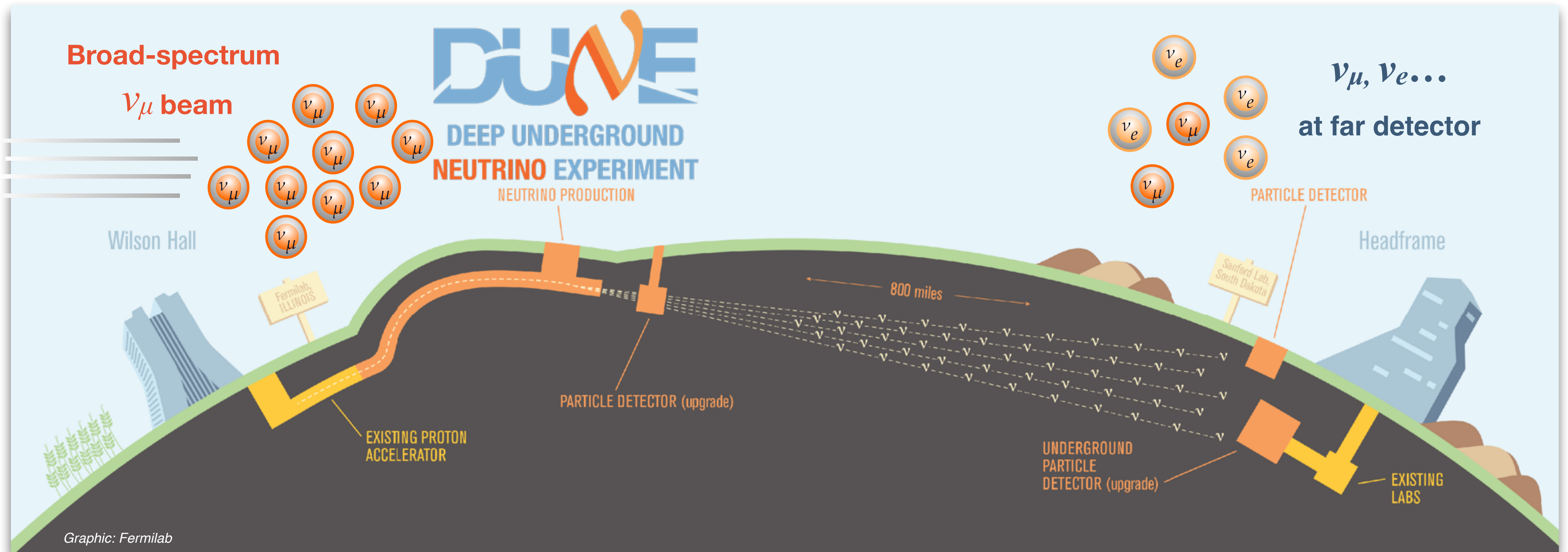


Here's how it will do it:



How many neutrinos do we see here?

Here's how it will do it:



How many neutrinos do we see here?

How many do we see here?
How does it compare to what we **expect to see**?

Sounds easy! We can just...

Exercise 2

1) Plot the energies of all the muon-neutrinos at Fermilab (near detector)

2) Plot the energies of all the electron-neutrinos at SURF (far detector)

3) Take the ratio of the two plots

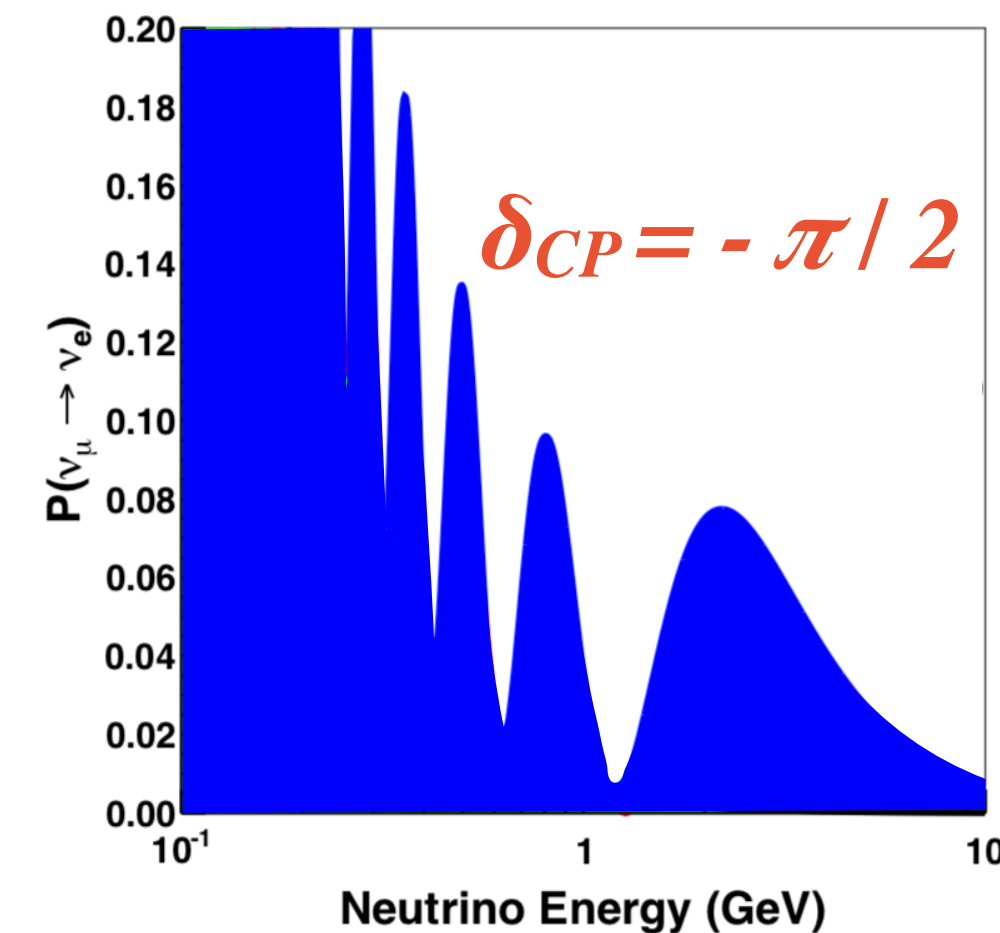
4) Collect Nobel Prize



Photo: Reider Hahn



Photo: Sanford Underground Research Facility



Do you have a problem with that?

You should have a few problems with that! Discuss them with your team

Actually there are a few problems...

1) Plot the energies of all the muon-neutrinos at Fermilab

2) Plot the energies of all the electron-neutrinos at SURF

3) Take the ratio of the two plots

4) Collect Nobel Prize

How do we detect a neutrino and know its **flavor**?
Neutrinos are invisible!



(Artist's impression of a detector with only neutrinos passing through it...)

Actually there are a few problems...

1) Plot the **energies** of all the muon-neutrinos at Fermilab

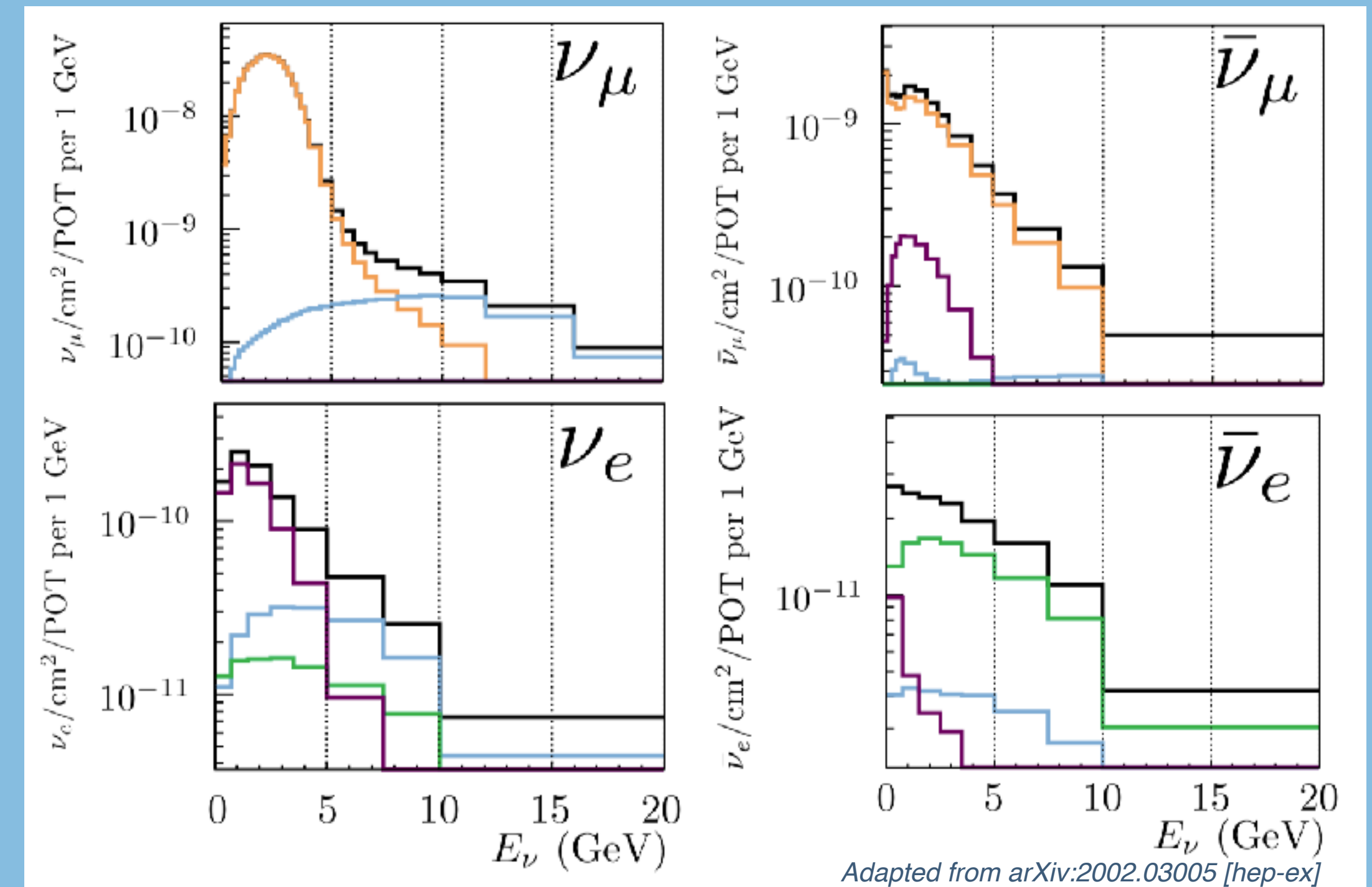
2) Plot the **energies** of all the electron-neutrinos at SURF

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How do we detect a neutrino and know its **flavor**?
Neutrinos are invisible!

How do we know a neutrino's **energy**?
We have a broad-spectrum beam, that spreads as it travels.



Near-detector fluxes from different production mechanisms

Actually there are a few problems...

1) Plot the energies of **all** the muon-neutrinos at Fermilab

2) Plot the energies of **all** the electron-neutrinos at SURF

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How do we detect a neutrino and know its **flavor**?
Neutrinos are invisible!

How do we know a neutrino's **energy**?
We have a broad-spectrum beam, that spreads as it travels.

How do we know we have detected **all** the neutrinos?
Beams spread, detector designs differ, efficiencies are energy-dependent...

We can only detect neutrinos if they interact!

and

We can only understand neutrinos if we understand their interactions

How many oscillated neutrinos do we see?

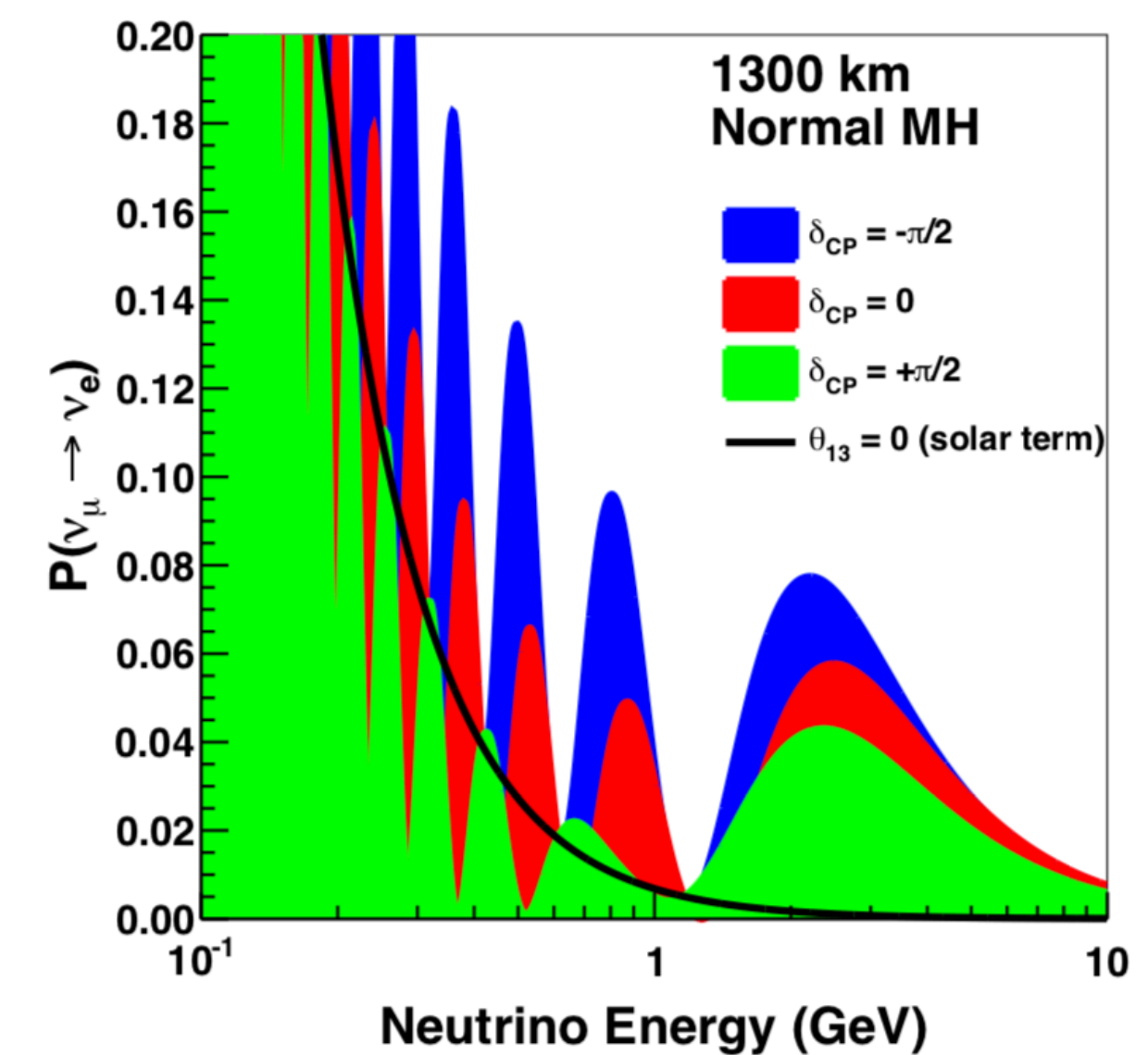
$$R_{\mu \rightarrow e}(E_{\text{rec}}) = N \int dE_{\nu} \Phi_{\mu}(E_{\nu}) P_{\nu_{\mu} \rightarrow \nu_e}(E_{\nu}) \sigma_e(E_{\nu}, E_{\text{rec}}) \epsilon_e(E_{\nu})$$

How many oscillated neutrinos do we see?

What we want
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Probability of a
neutrino
oscillating, as a
function of its
true energy



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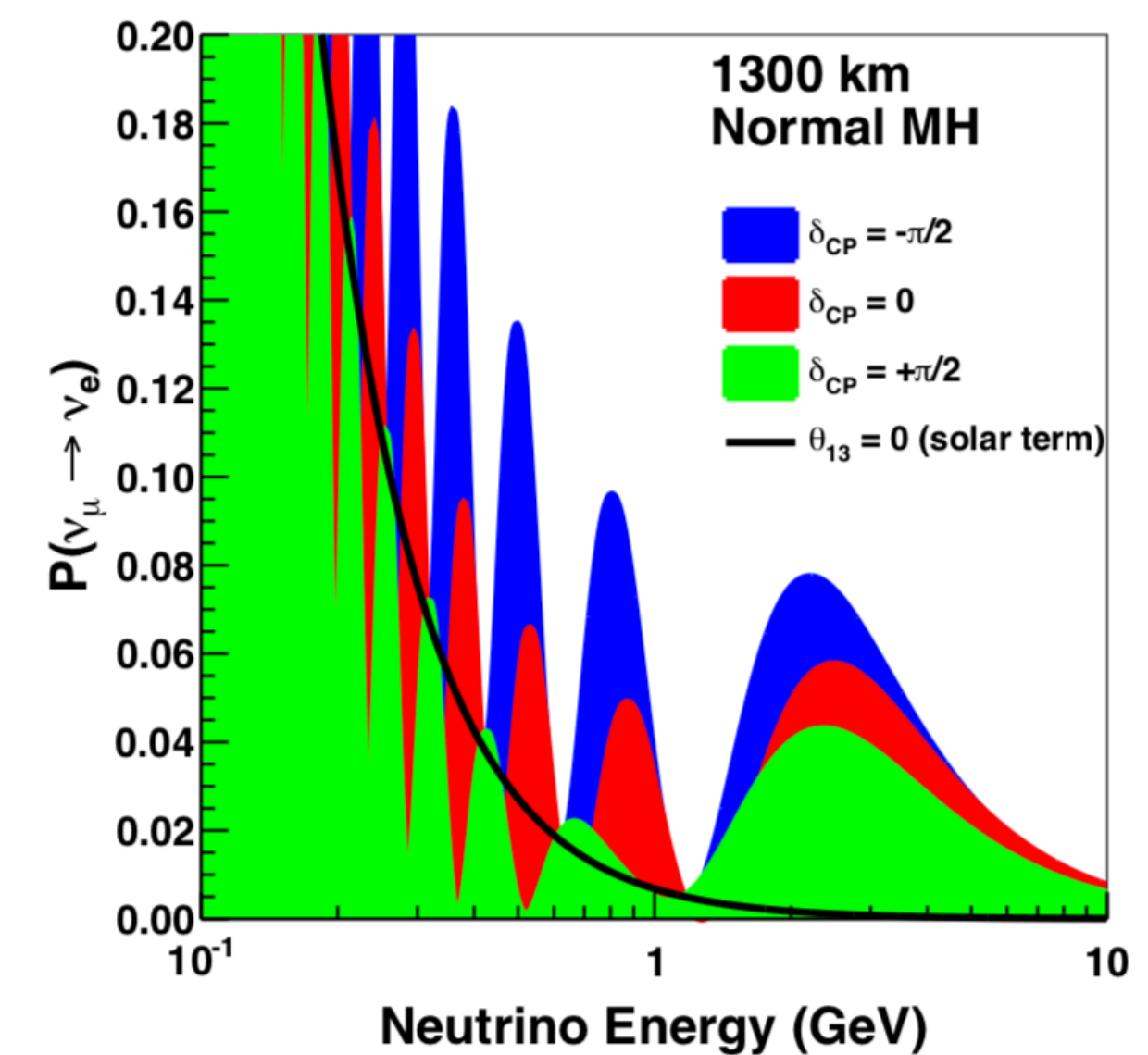
What we
measure

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Oscillated neutrinos
detected, as a function
of measured energy

What we want
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Probability of a
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How many oscillated neutrinos do we see?

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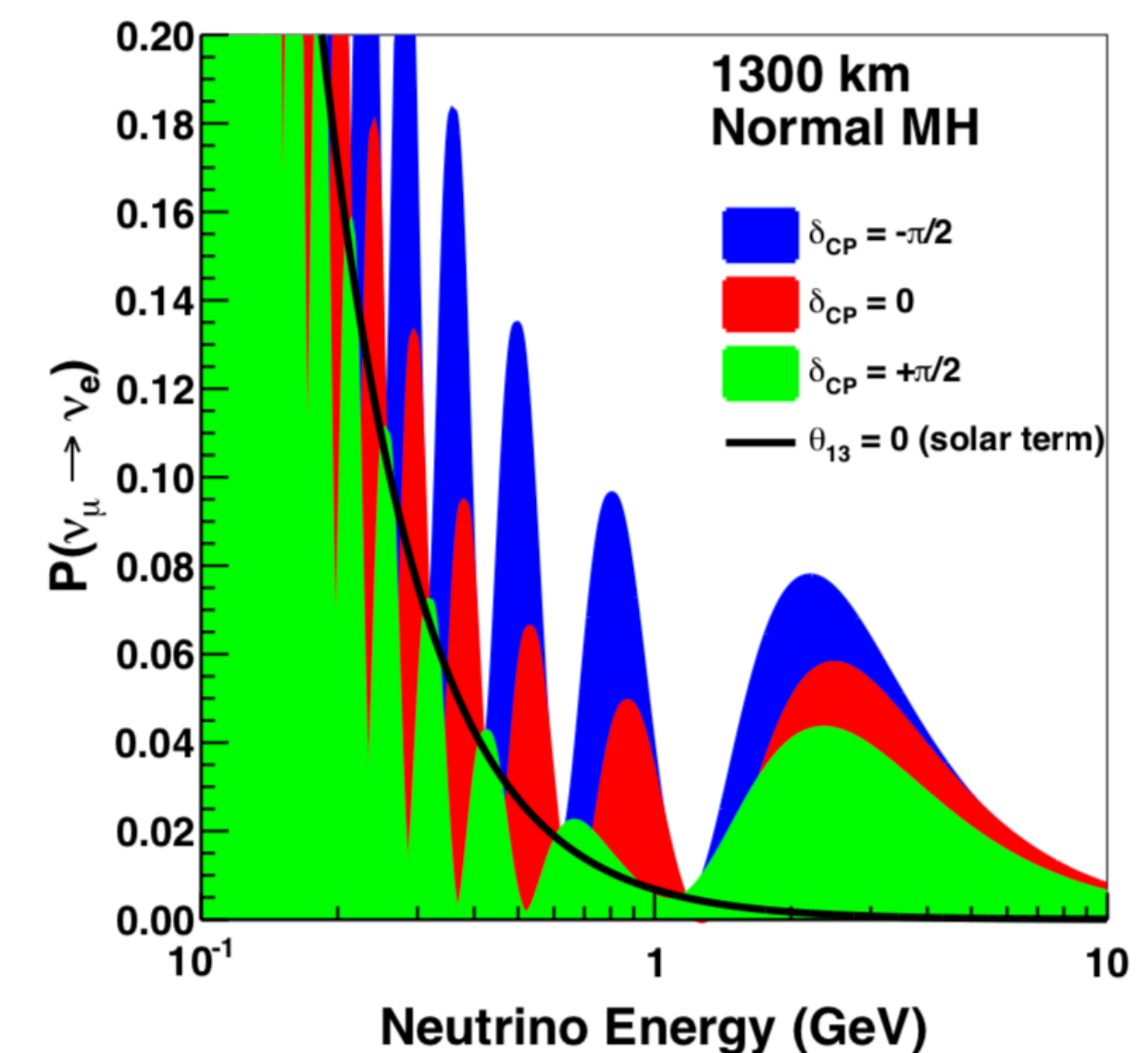
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Oscillated neutrinos
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What we want
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Probability of a
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Not necessarily the same! We
have to reconstruct the invisible
neutrino's energy



How many oscillated neutrinos do we see?

What we
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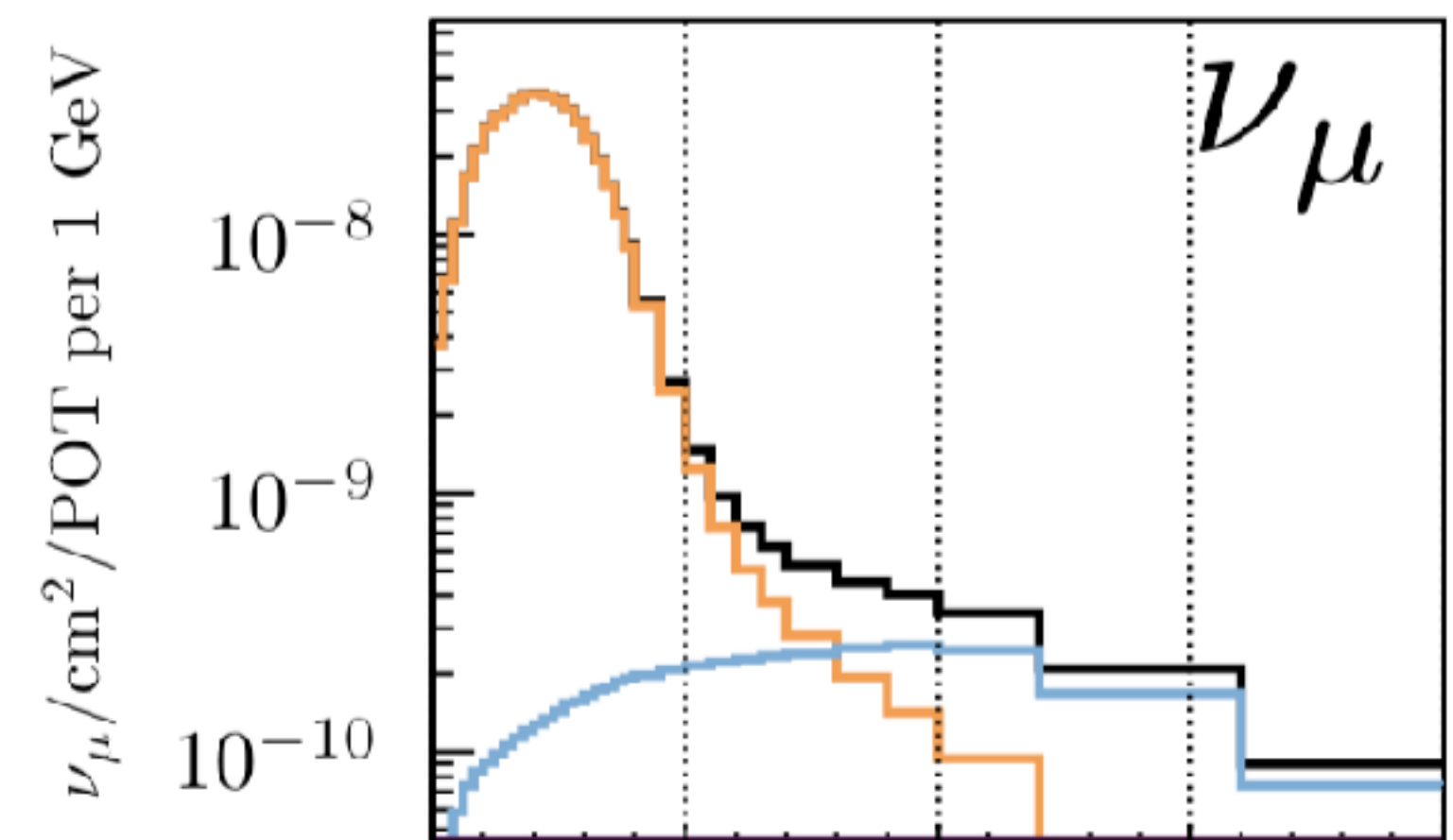
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Oscillated neutrinos
detected, as a function
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Flux (energy
spectrum) of
unoscillated neutrinos

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Flux (energy
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Probability of a
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oscillating, as a
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Detector
efficiency - the
chance of
successfully
detecting a
neutrino if it
interacts

How many oscillated neutrinos do we see?

What we
measure

What we want
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$$R_{\mu \rightarrow e}(E_{\text{rec}}) = N \int dE_{\nu} \Phi_{\mu}(E_{\nu}) P_{\nu_{\mu} \rightarrow \nu_e}(E_{\nu}) \sigma_e(E_{\nu}, E_{\text{rec}}) \epsilon_e(E_{\nu})$$

Oscillated neutrinos
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Flux (energy
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Probability of a
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function of its
true energy

Interaction cross
section - the
probability a
neutrino interacts,
and the amount
of its energy we
can detect

Detector
efficiency - the
chance of
successfully
detecting a
neutrino if it
interacts



Very complicated - and the subject of this talk!

How do we detect particles?



Photo: SuperNEMO

Photomultiplier
tubes

and

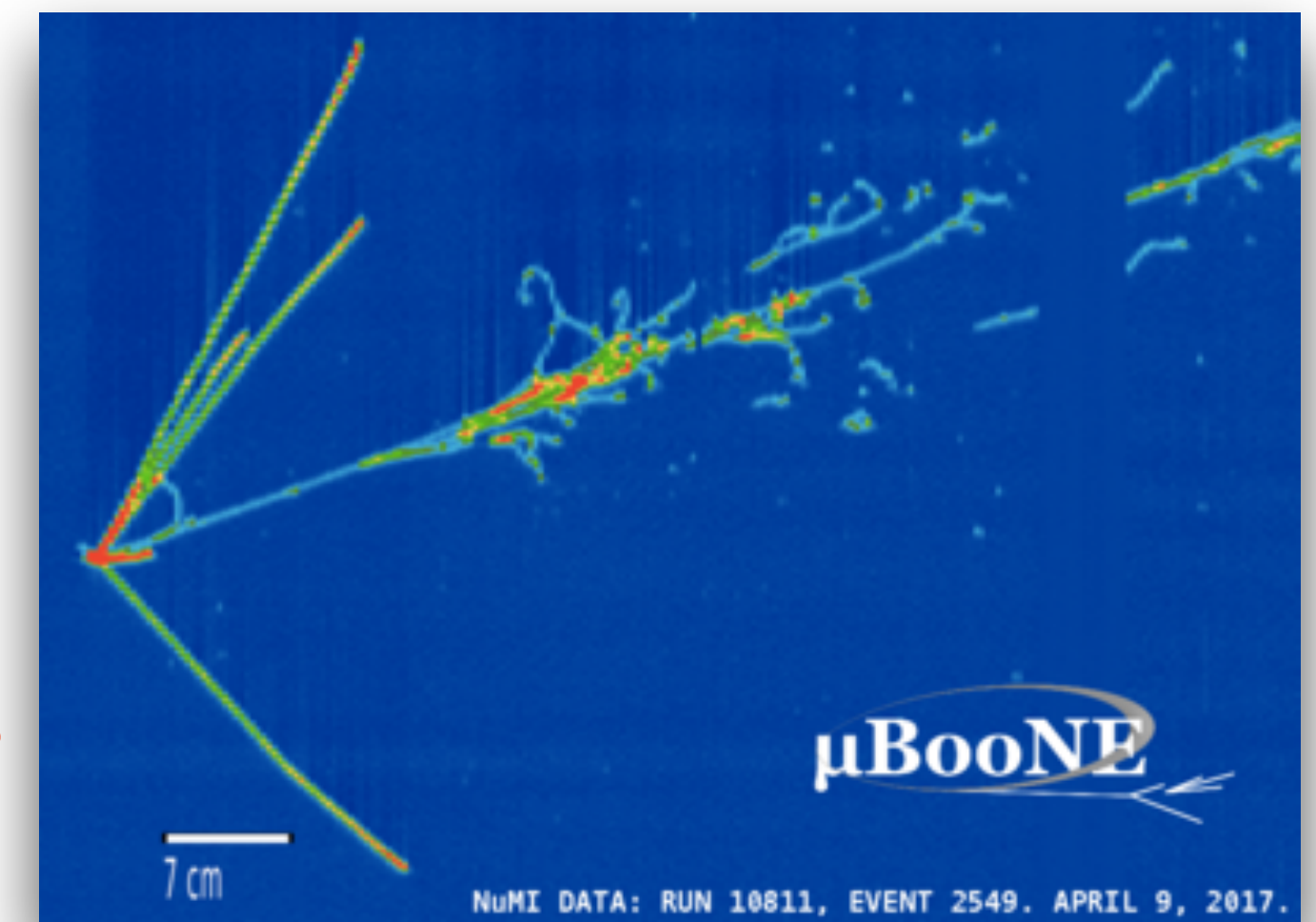
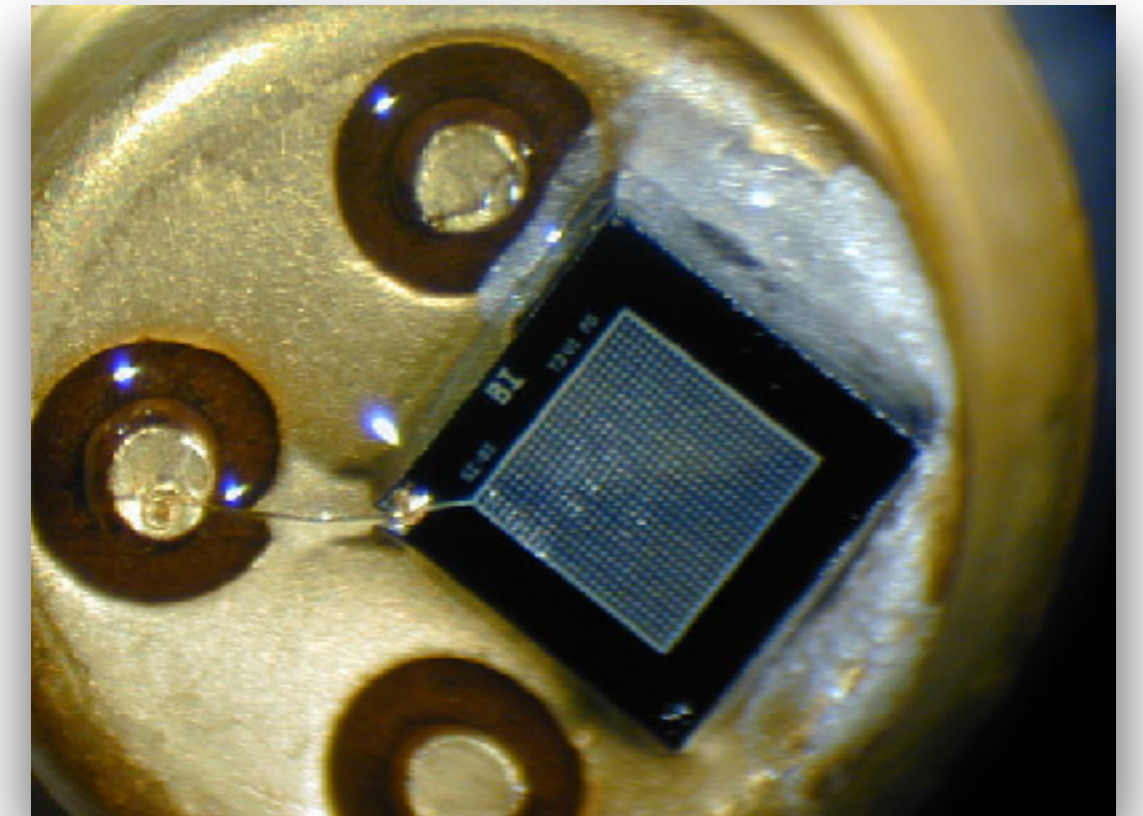
silicon
photomultipliers
(SIPMs)

measure **photons'** energy

Charged particles

produce light in **scintillators**
(e.g. SAND)

use ionization to generate tracks in
time-projection chambers
(e.g. ND-GAr, ND-LAr)



What can we learn from tracks?

Curvature
(if there are magnets)

Magnetic fields
bend tracks

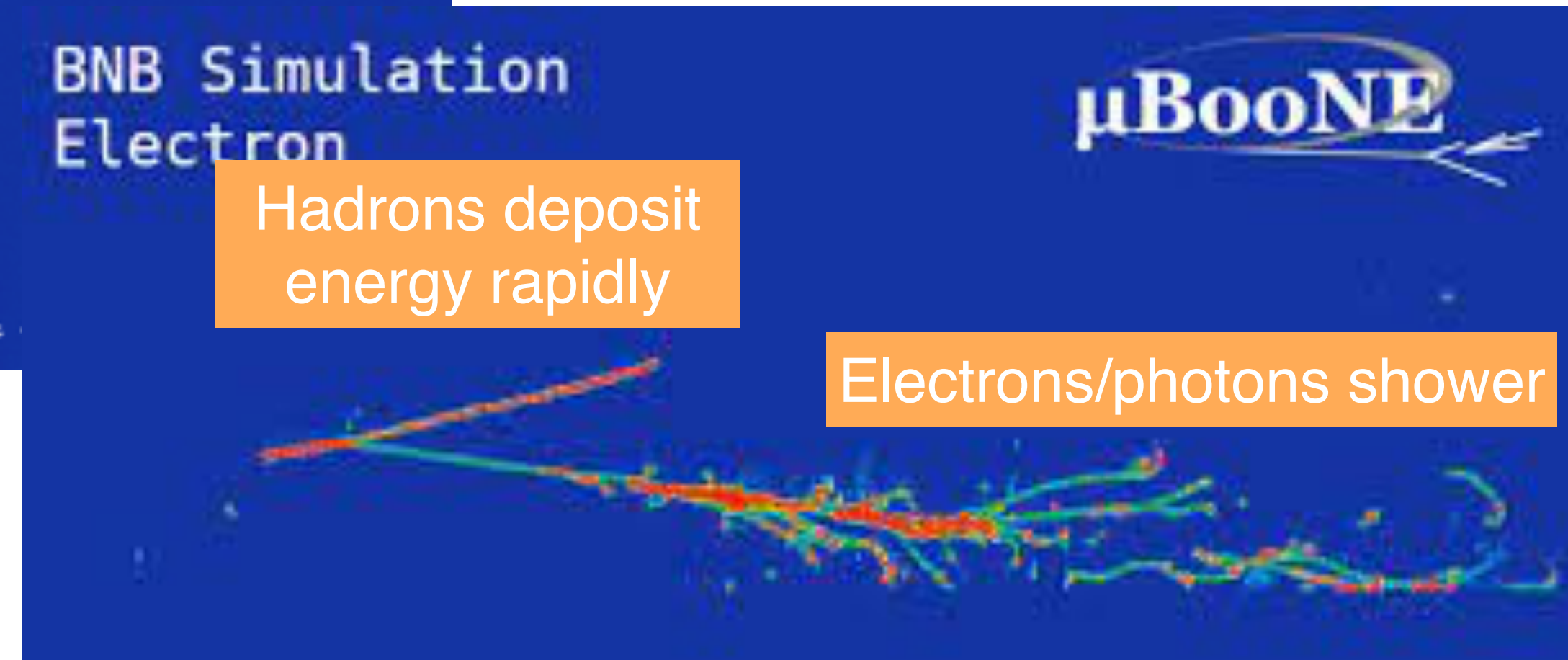
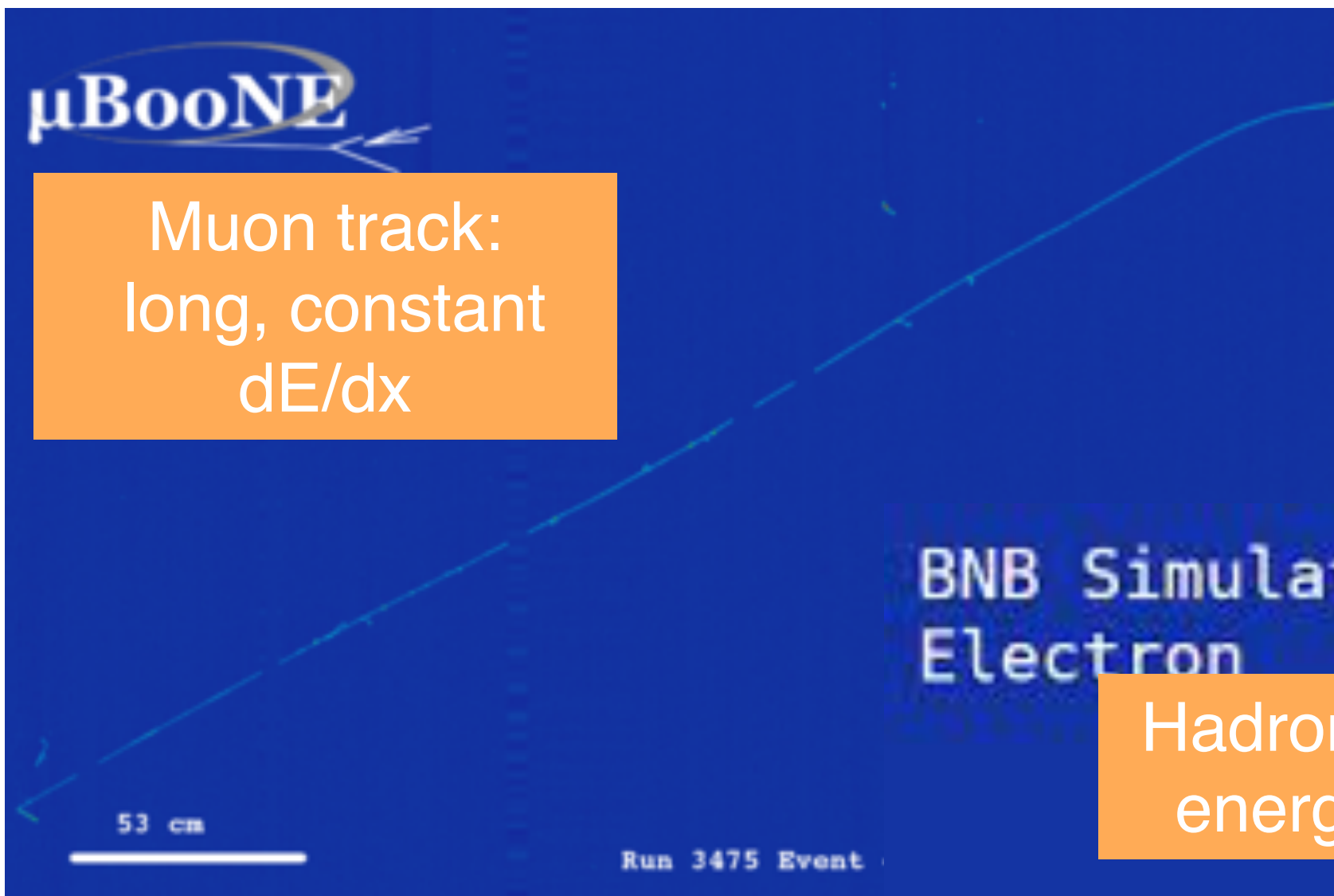
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Curvature
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Track shape (length,
sharpness, dE/dx)



Magnetic fields
bend tracks



What can we learn from tracks?

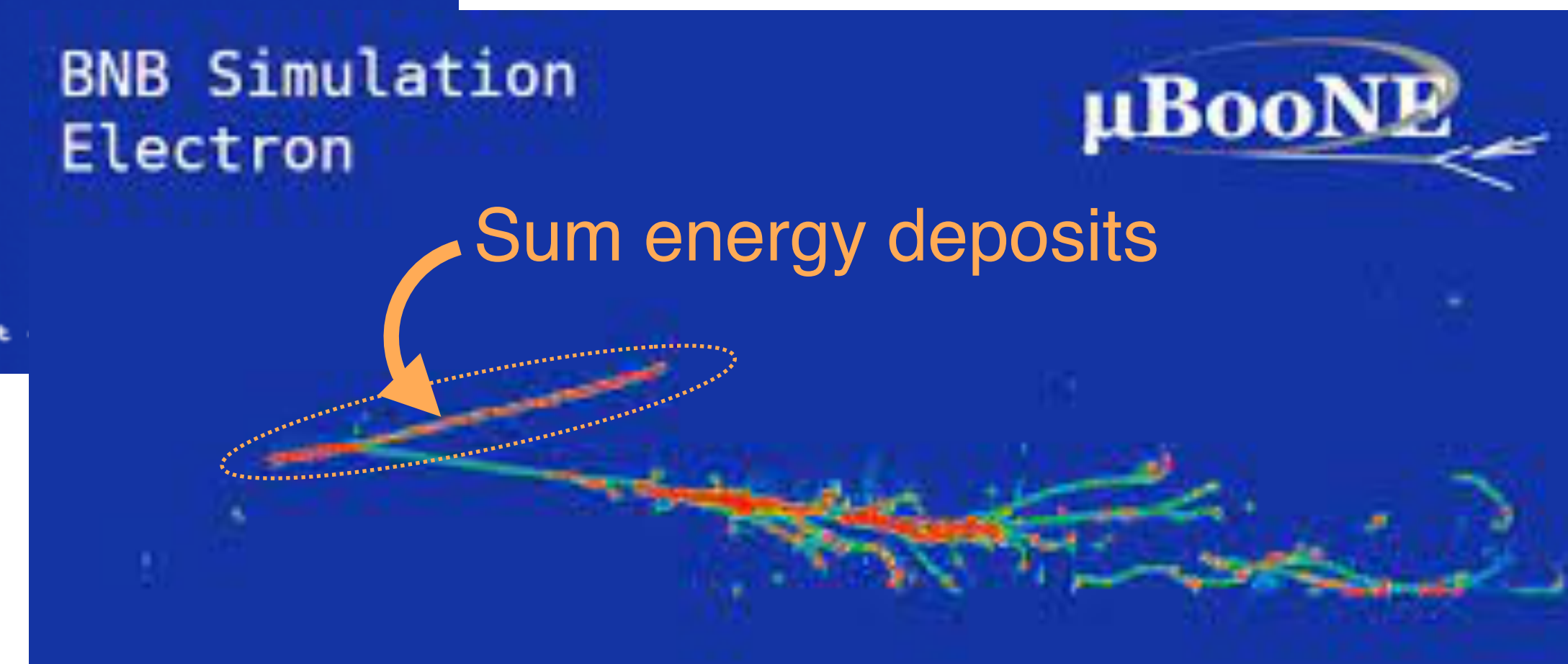
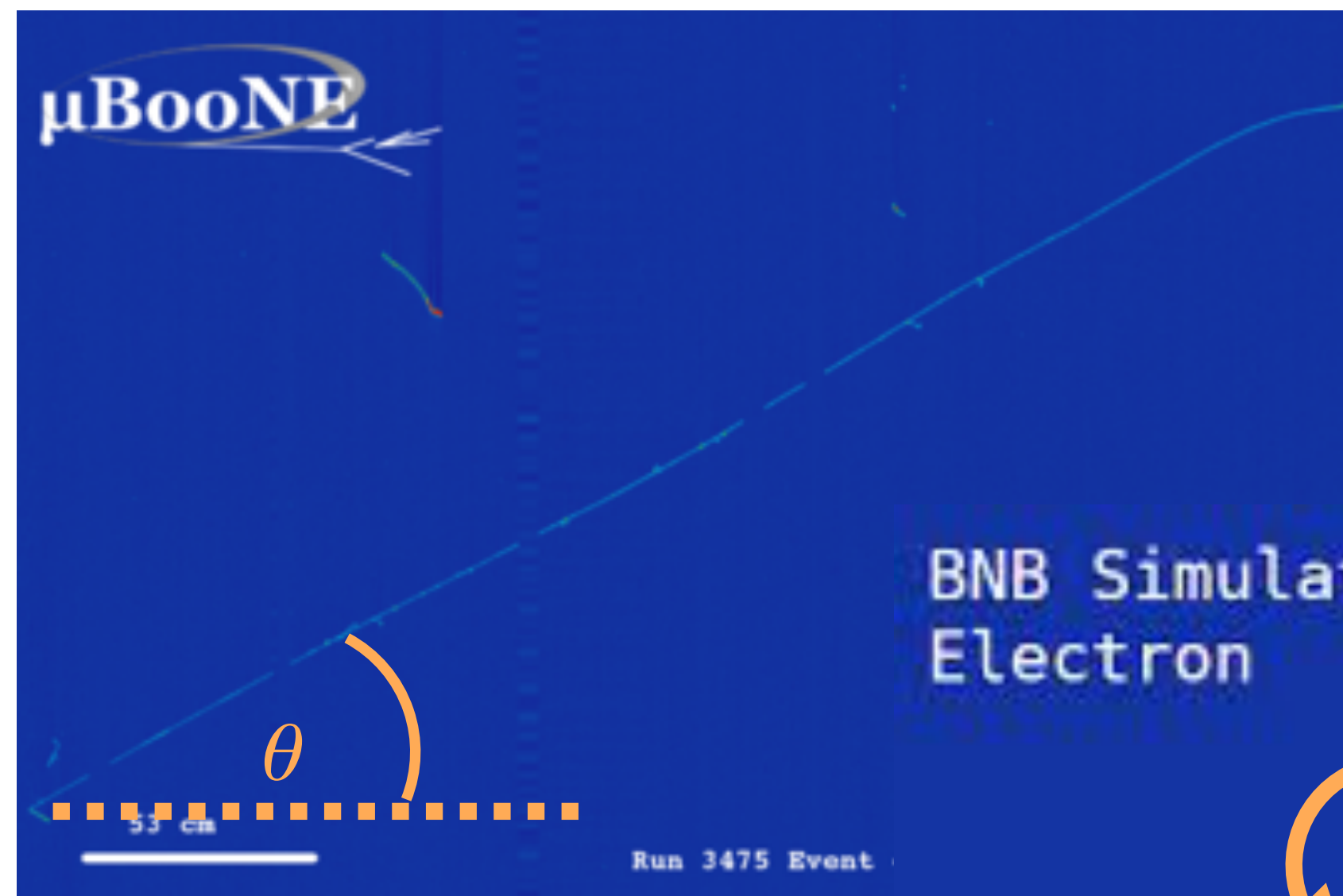
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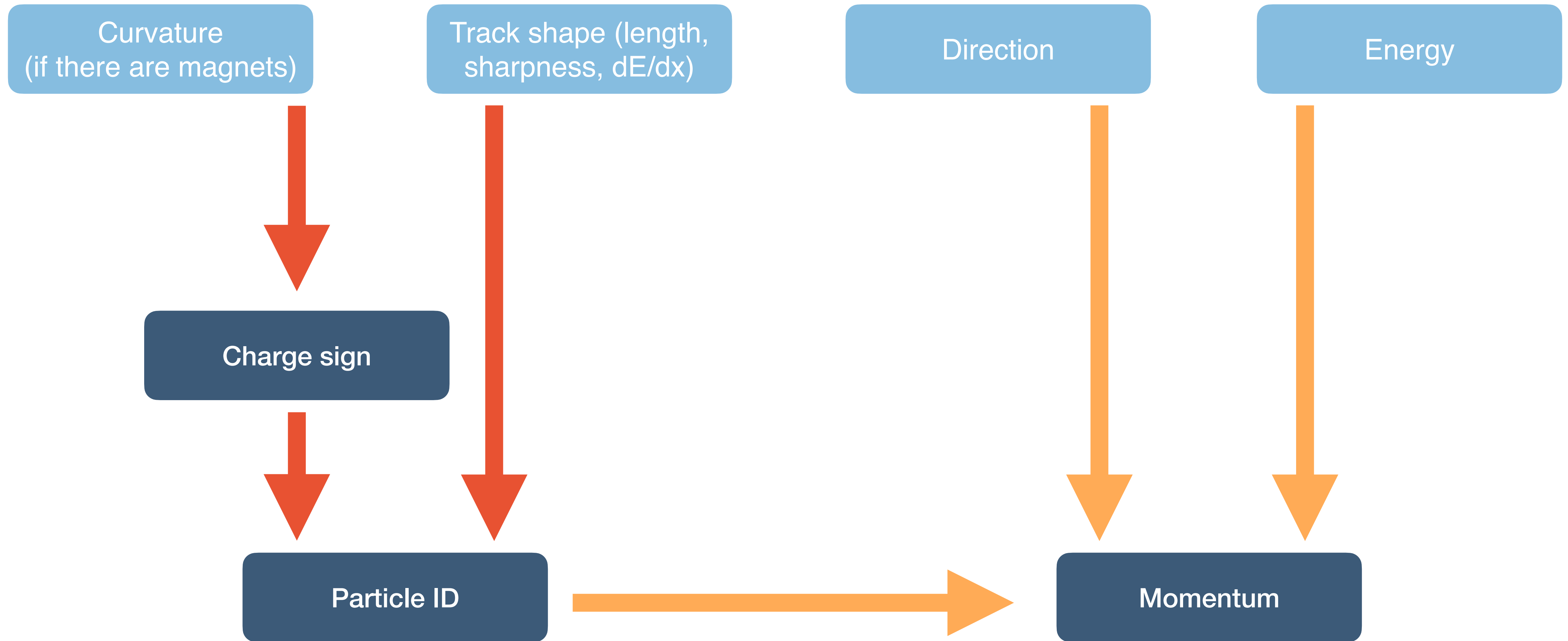
Direction

Energy

Magnetic fields
bend tracks



What can we learn from tracks?



Puzzle - Spot the particles

μ^-

Muon

p

Proton

e^-

Electron

π^\pm

Charged pion

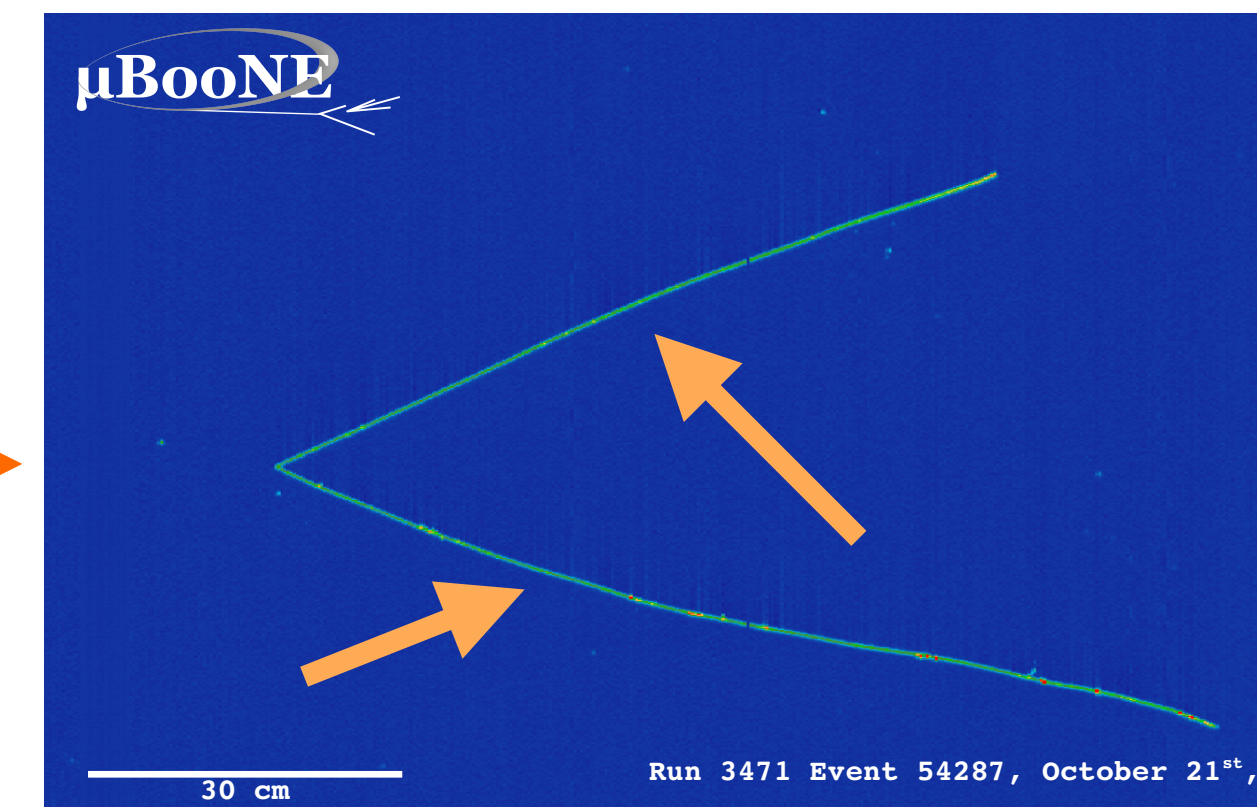
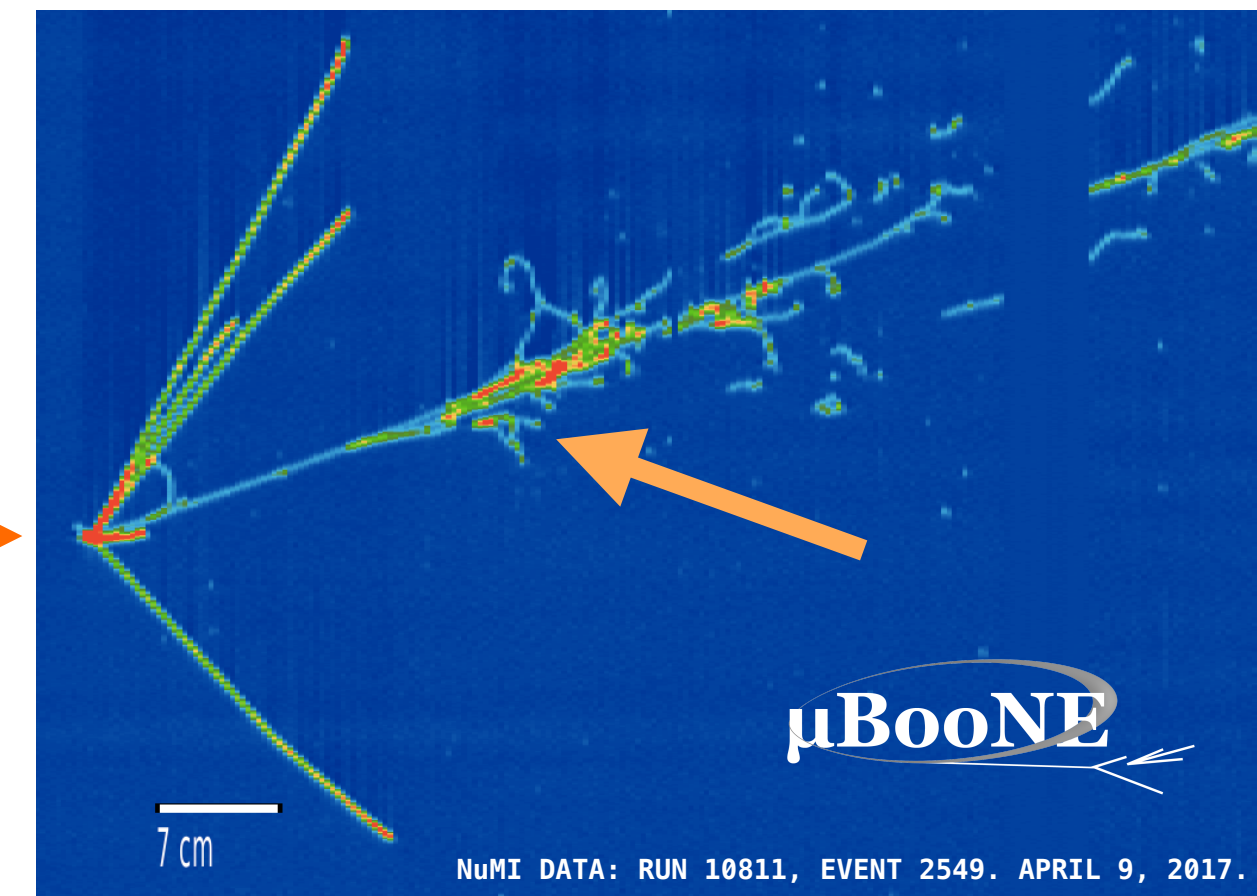
ν_μ

Muon neutrino

ν_e

Electron neutrino

Exercise 3



Puzzle - Spot the particles

μ^- Muon

p Proton

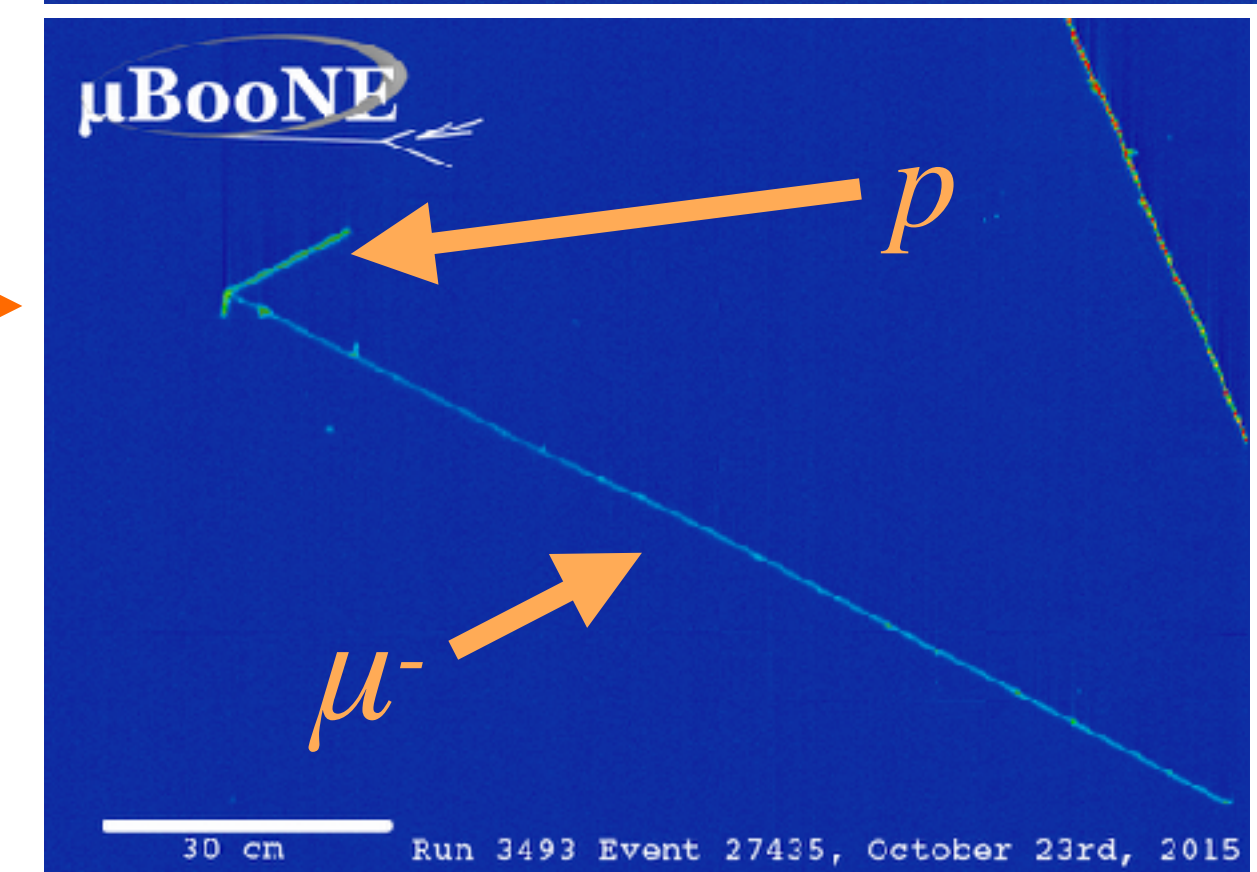
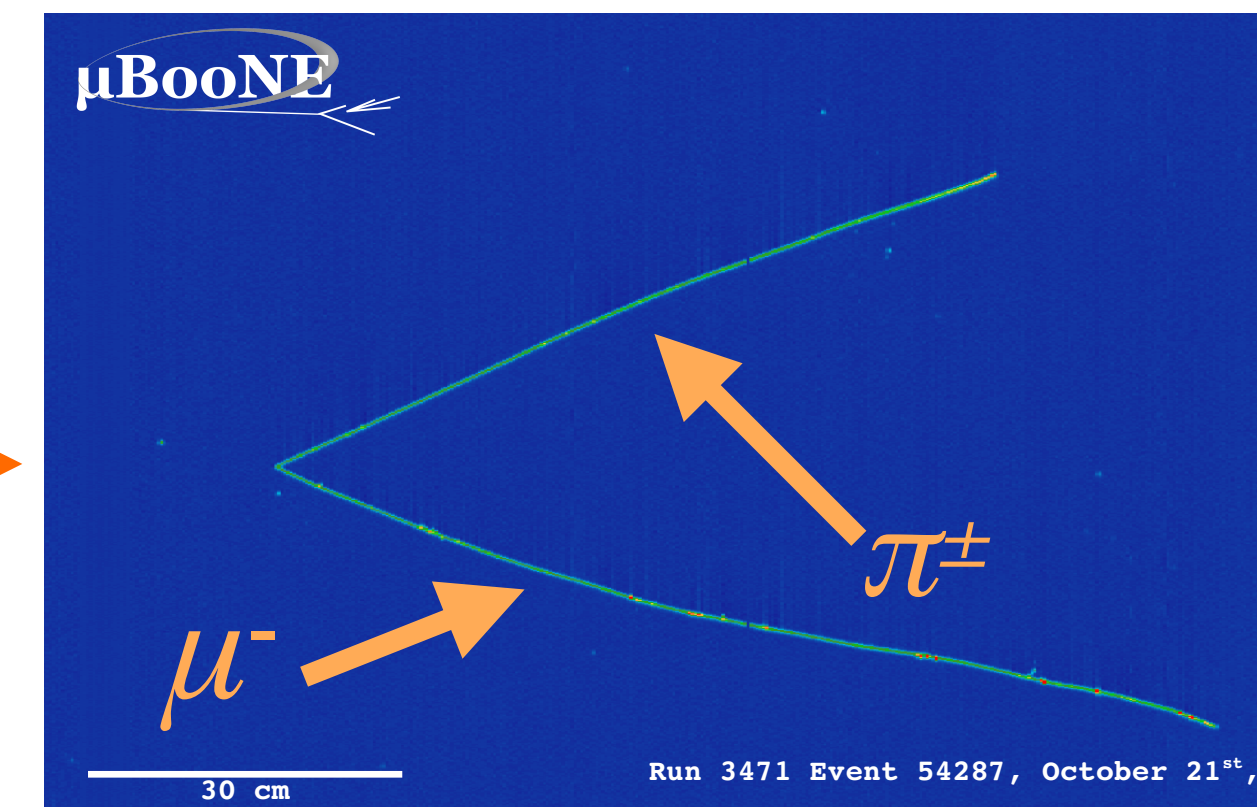
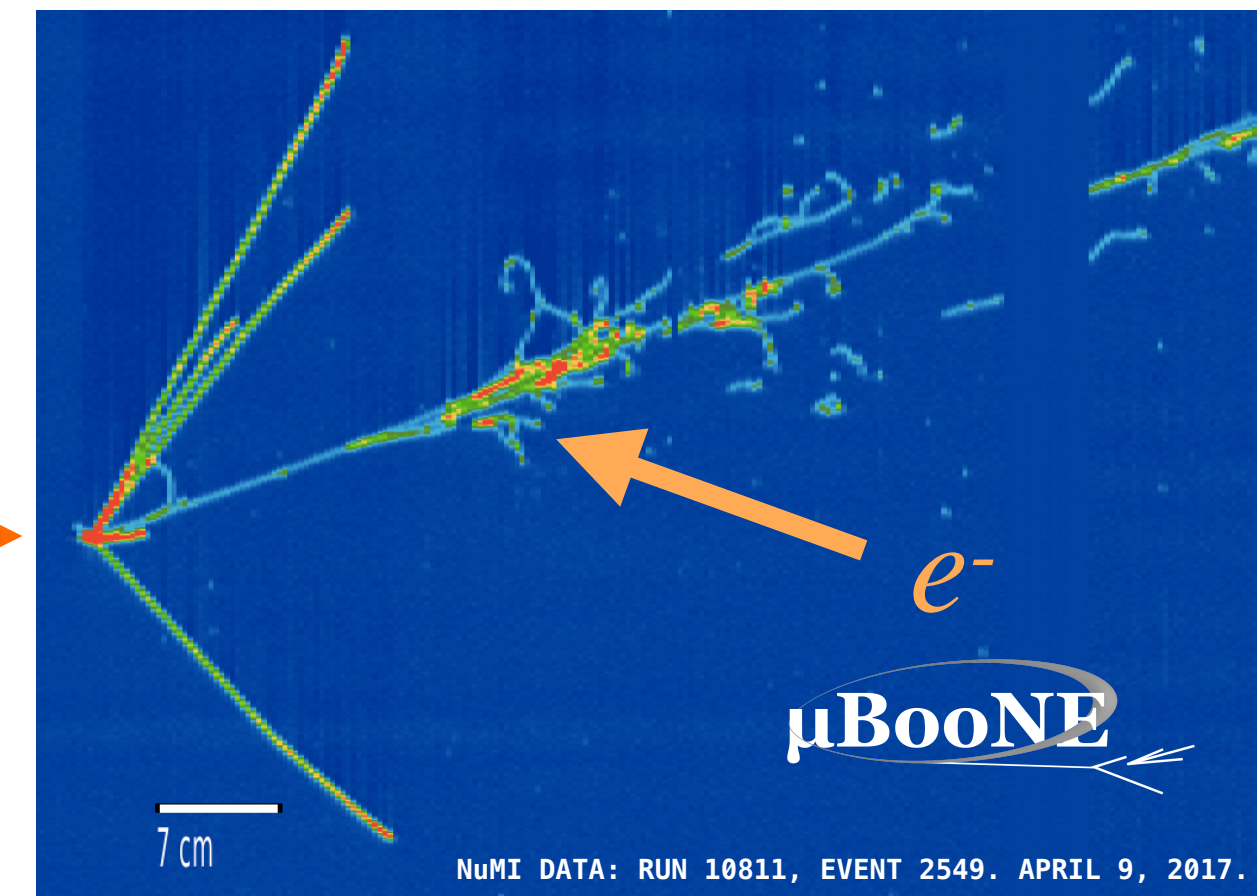
e^- Electron

π^\pm Charged pion

ν_μ Muon neutrino

ν_e Electron neutrino

Exercise 3



Puzzle - Spot the particles

μ^- Muon

p Proton

e^- Electron

π^\pm Charged pion

ν_μ Muon neutrino

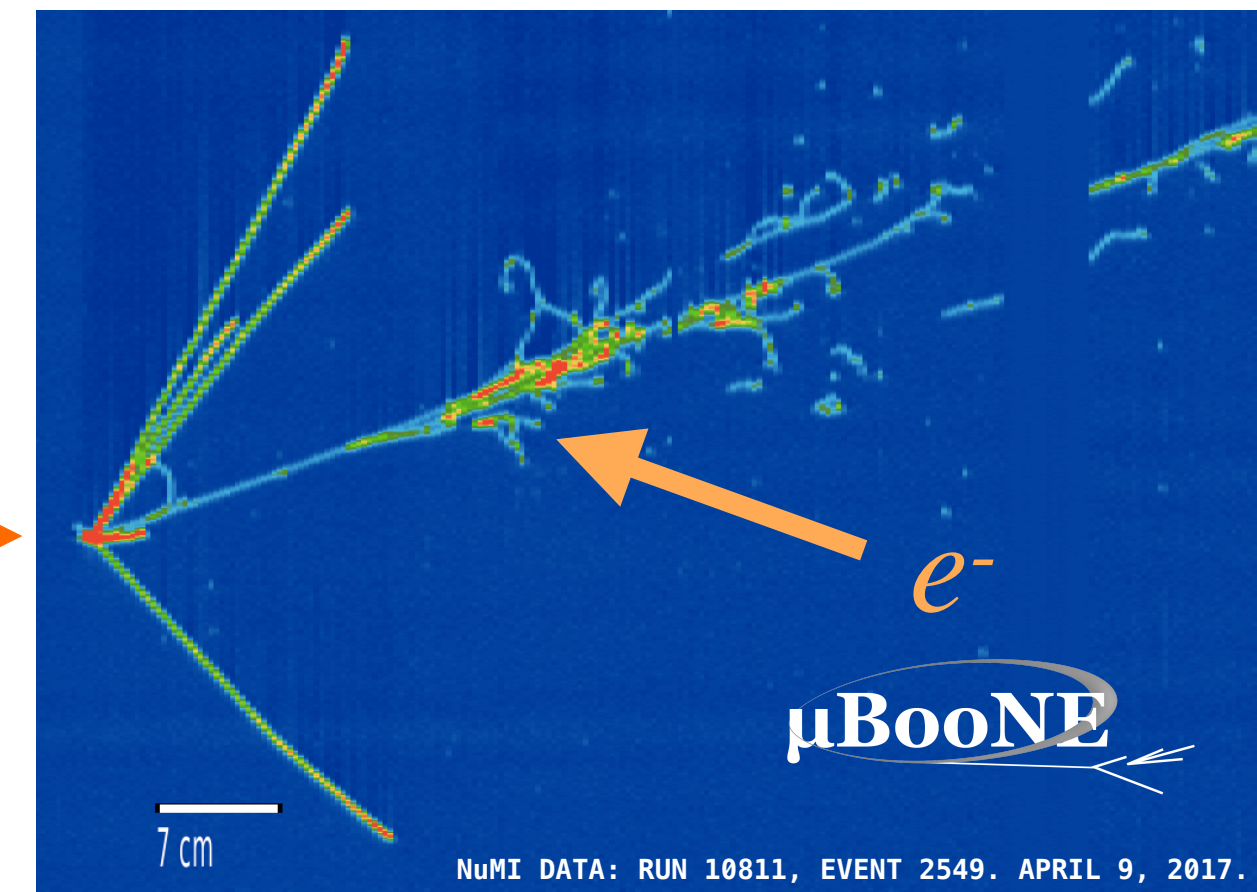
ν_e Electron neutrino

Exercise 3

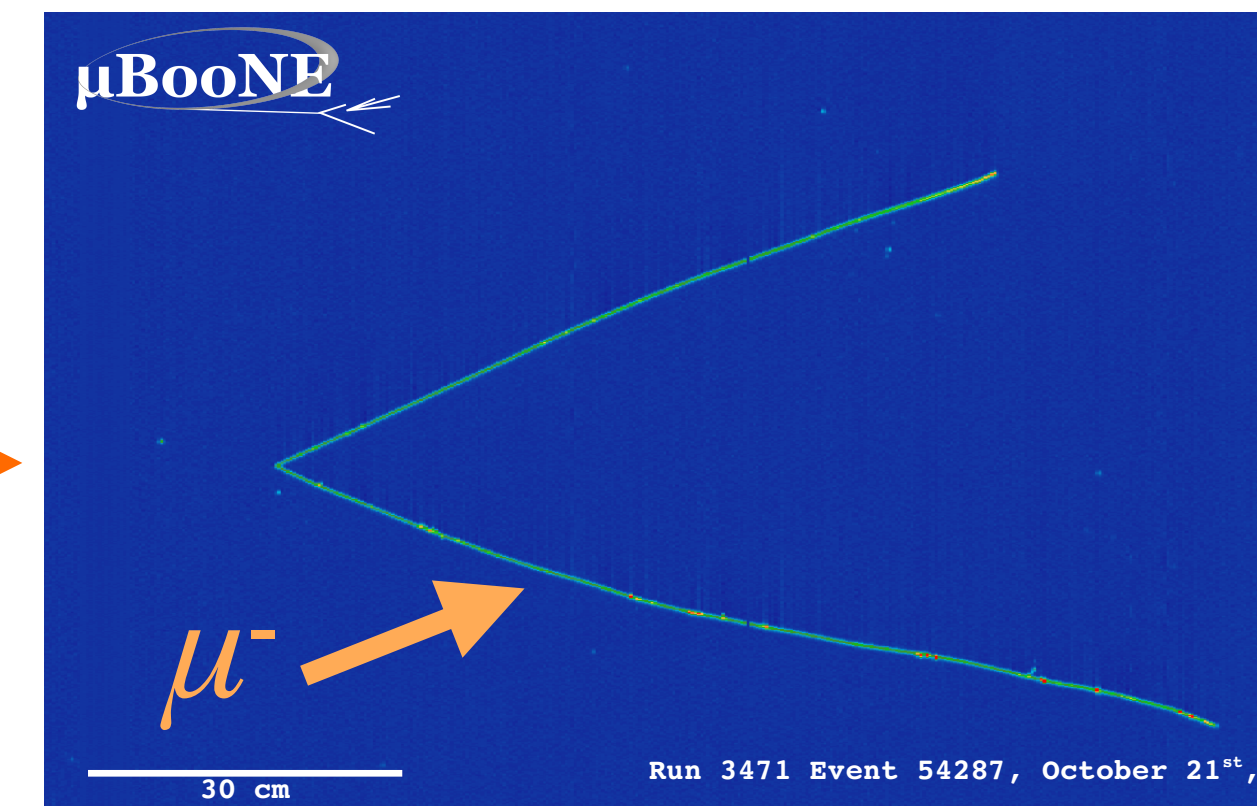
The neutrinos are invisible
we can only “see” them through
their interaction products

Lepton flavour is conserved

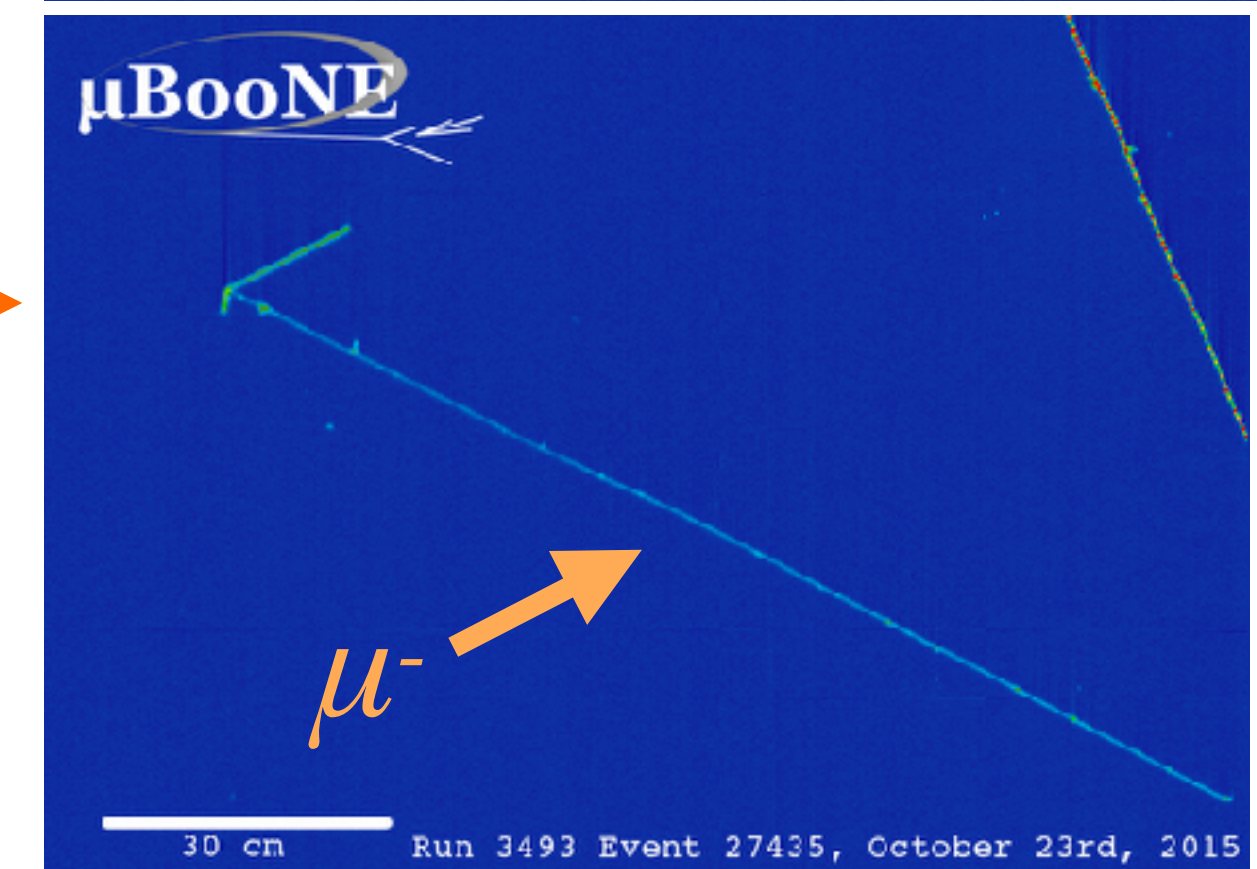
ν_e ■■■■▶



ν_μ ■■■■▶



ν_μ ■■■■▶



We can detect a neutrino... if it interacts!

What do we want to know about it?

Particle ID

Momentum

We can detect a neutrino... if it interacts!

Question 1

What do we want to know about it?

Particle ID
(flavor)

Momentum

Neutrinos interact via the **weak interaction**.
For each flavor, there are two possible vertices:
charged current and **neutral current**

- Can you draw the vertices?
- Which will help you discover the neutrino's flavor?

We can detect a neutrino... if it interacts!

What do we want to know about it?

Particle ID
(flavor)

Momentum

Charged-current interaction



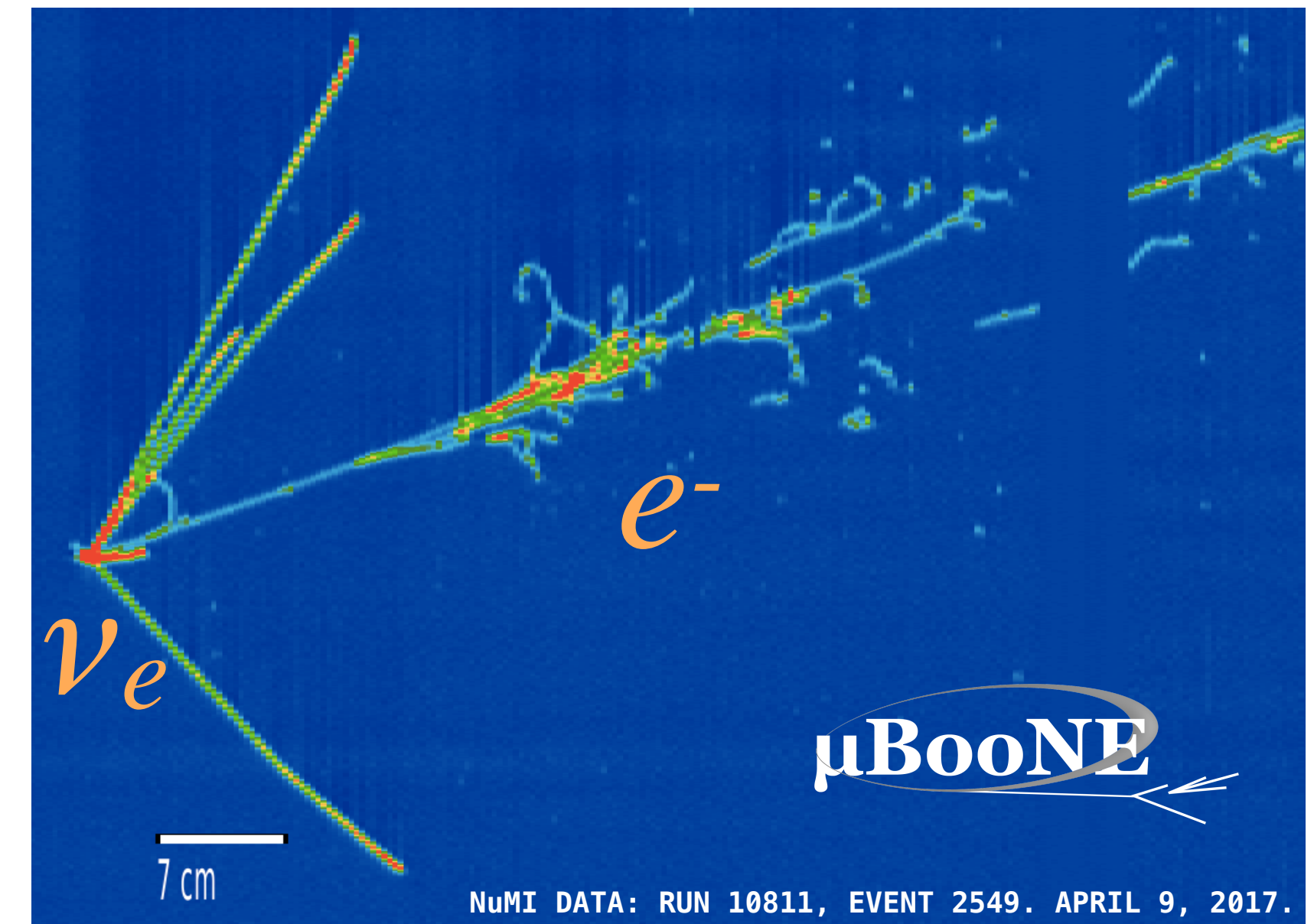
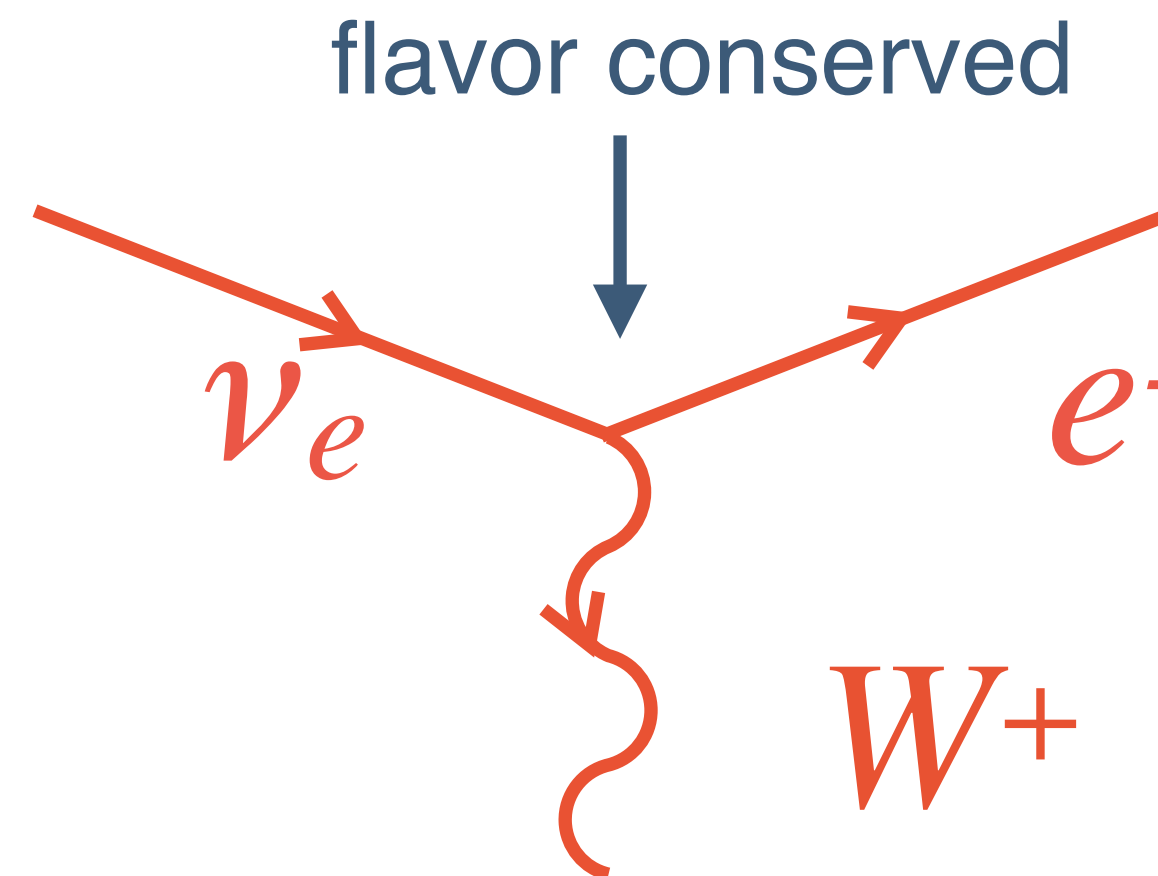
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Charged-current interaction



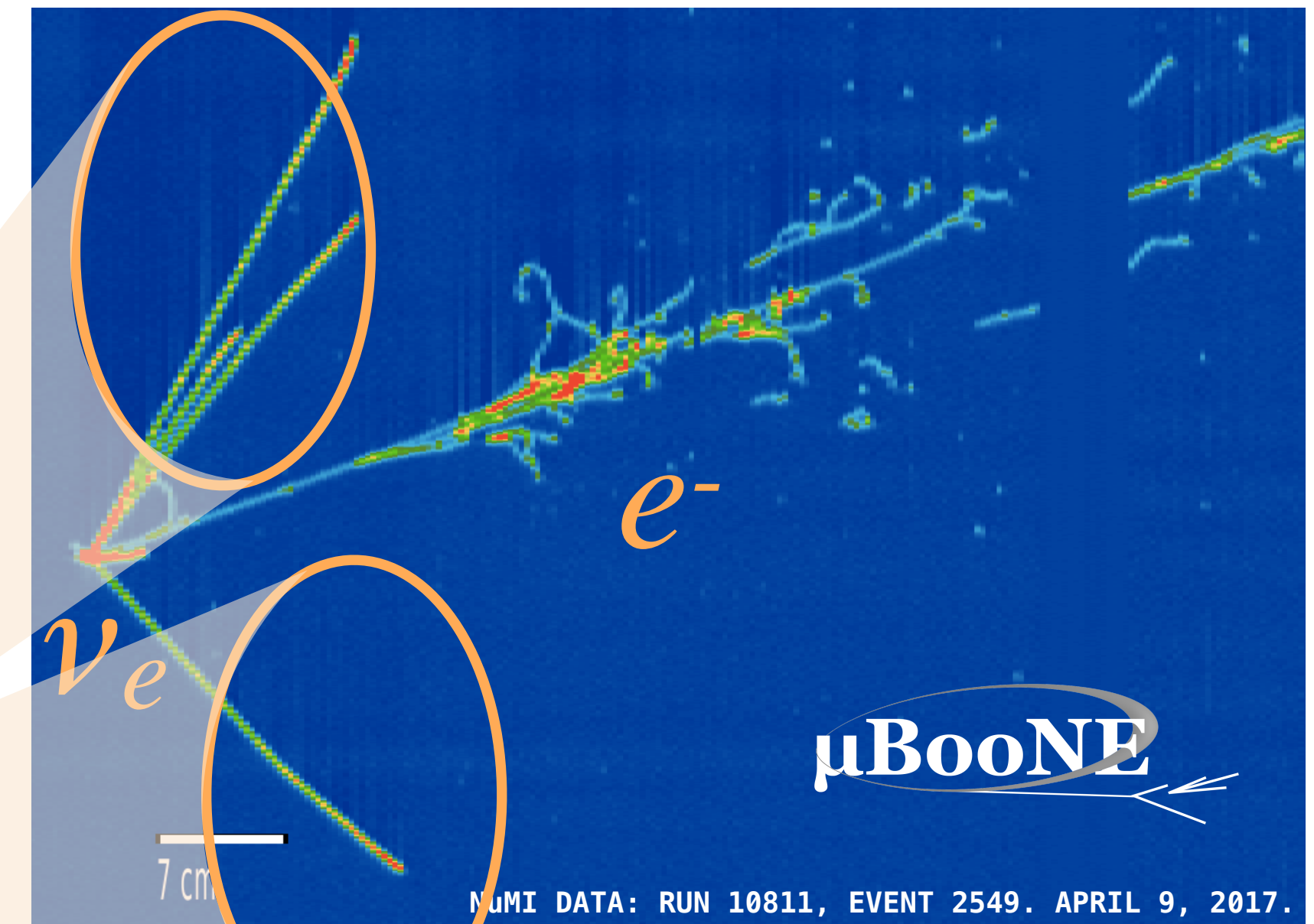
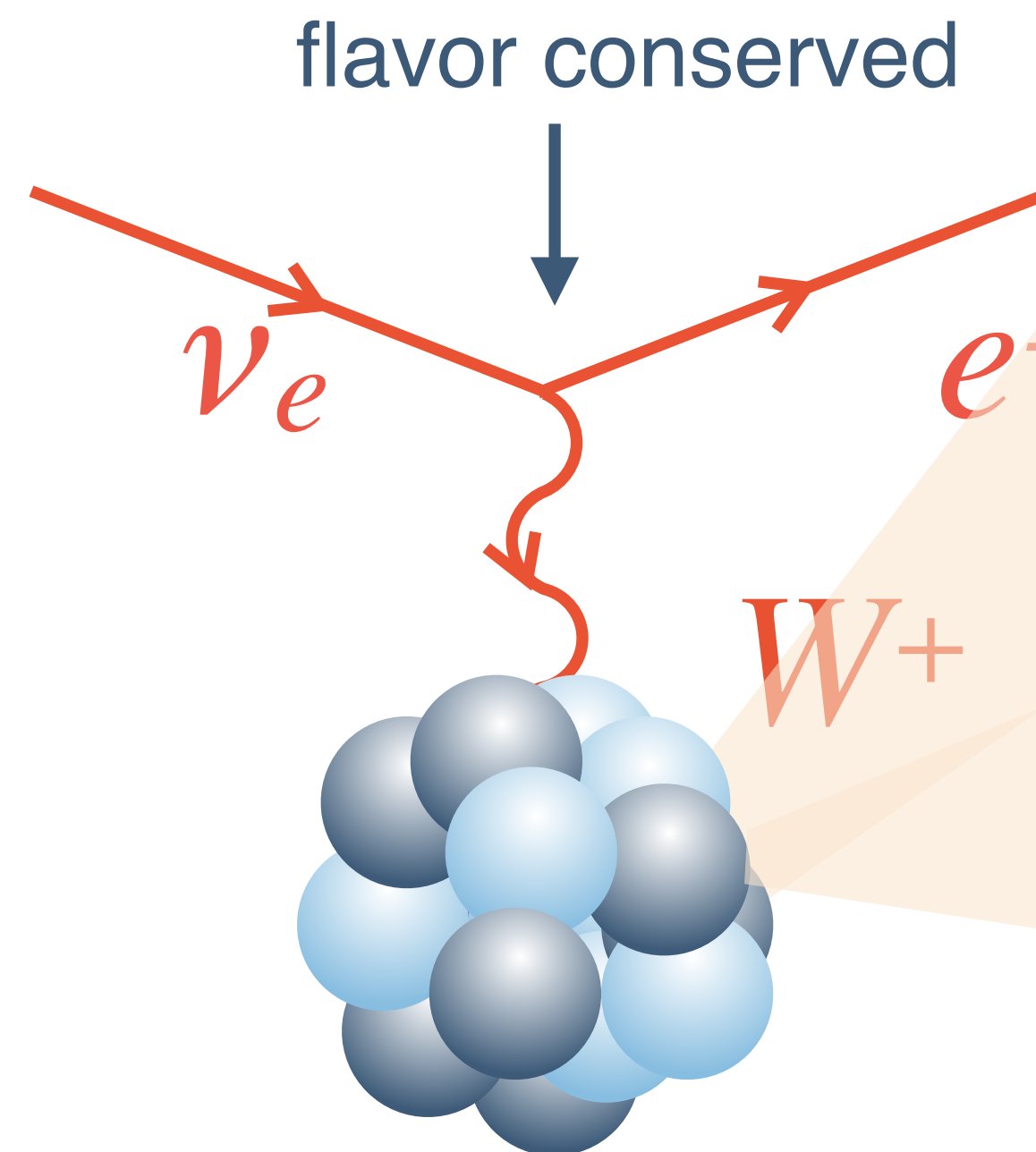
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Charged-current interaction



We can detect a neutrino... if it interacts!

What do we want to know about it?

Particle ID
(flavor)

Momentum

Neutral-current interaction



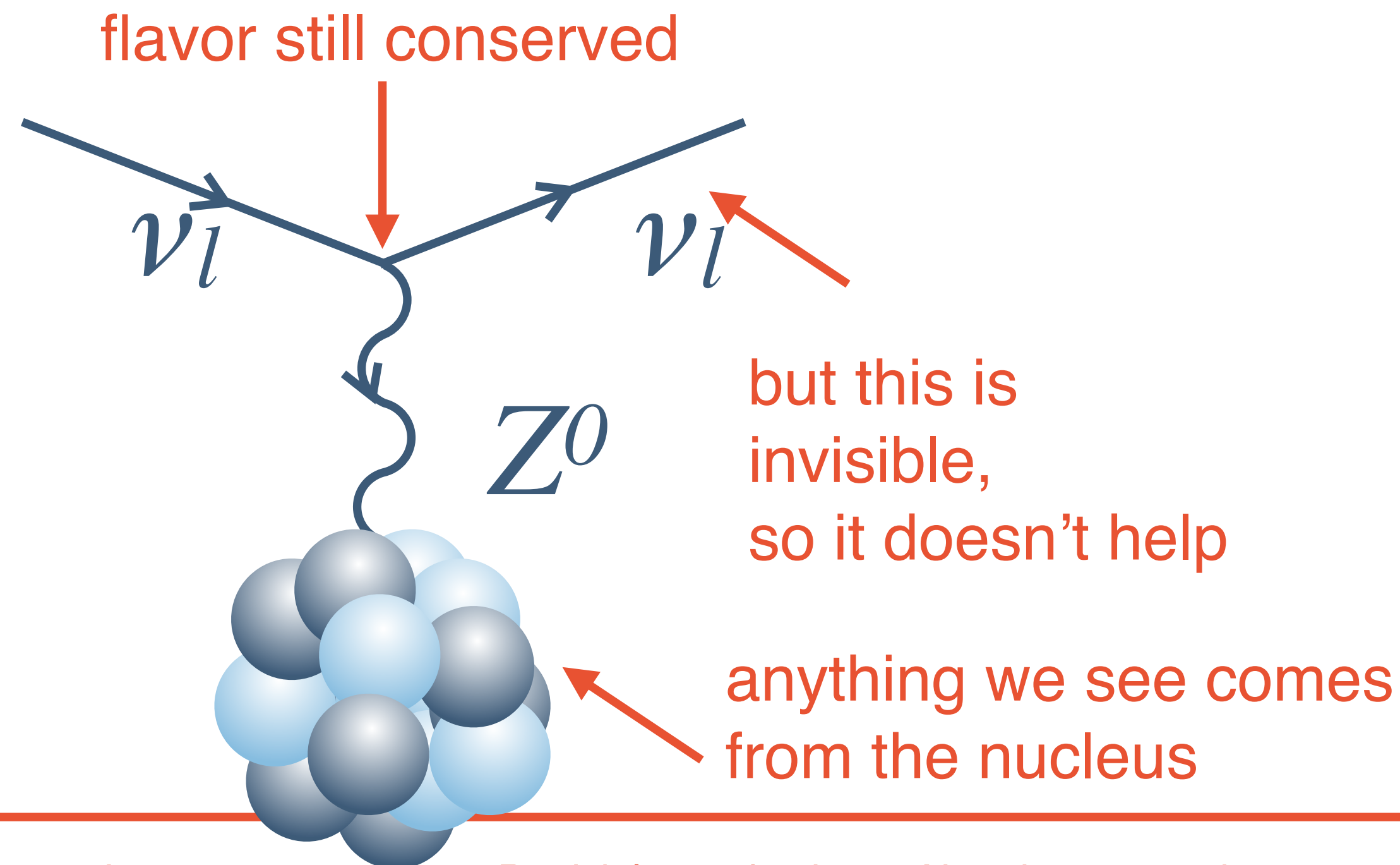
We can detect a neutrino... if it interacts!

What do we want to know about it?

Particle ID
(flavor)

Momentum

Neutral-current interaction



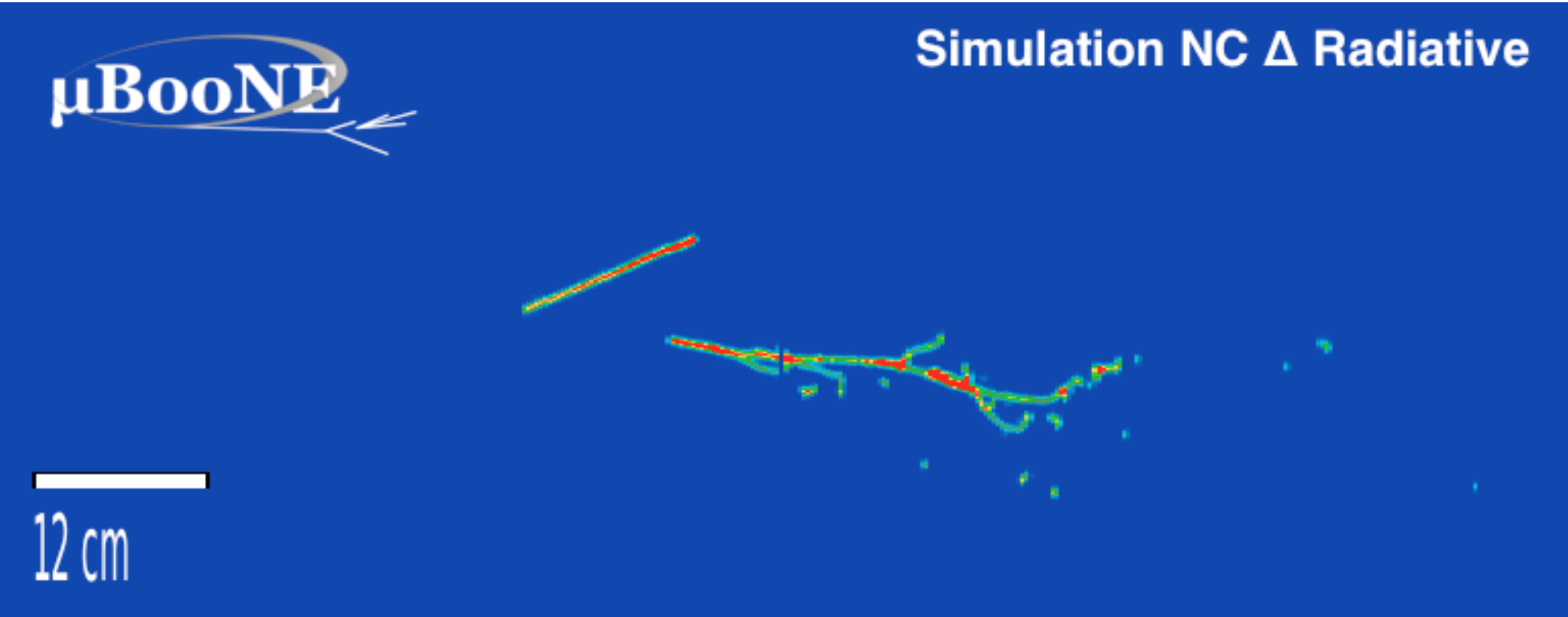
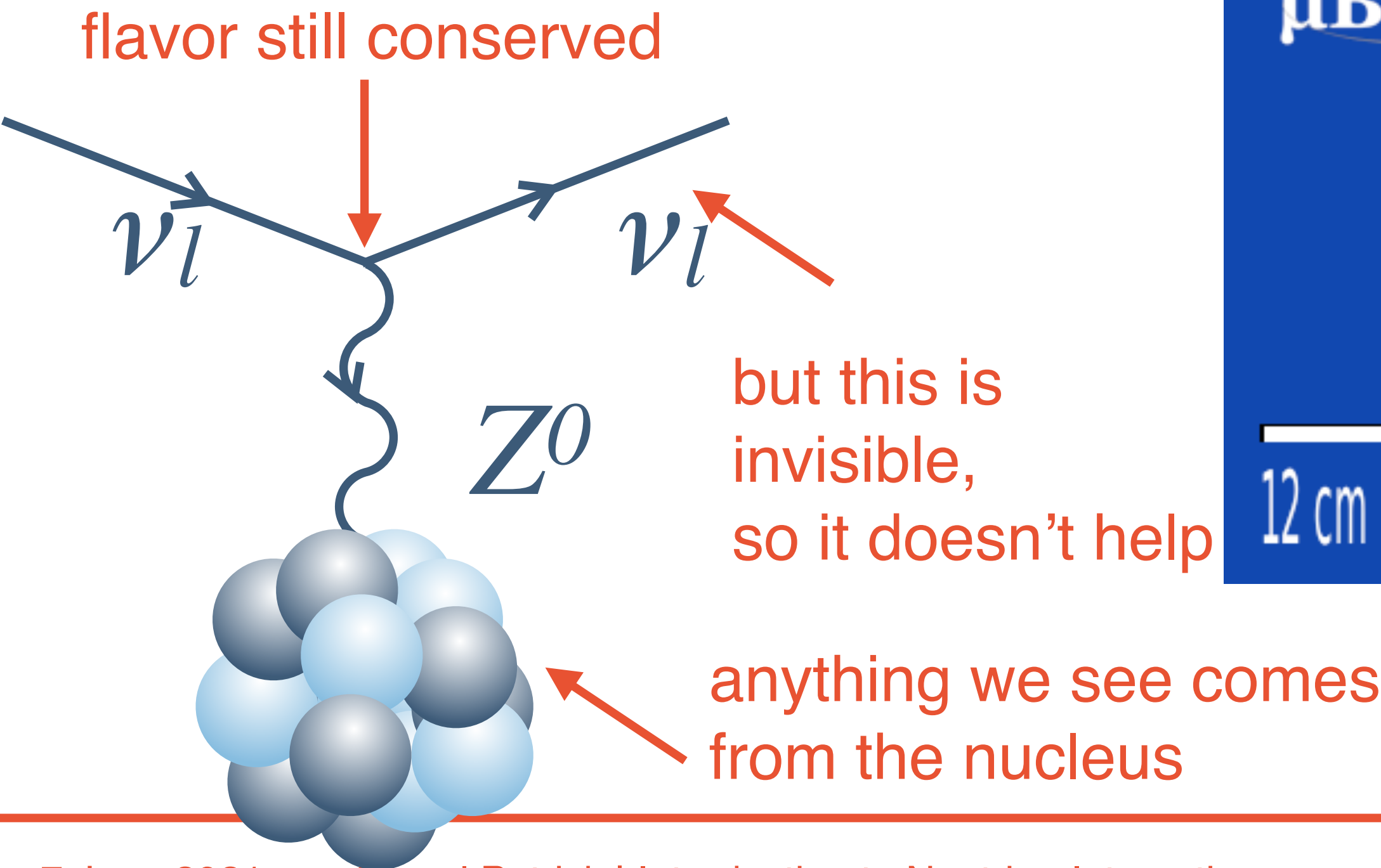
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Neutral-current interaction



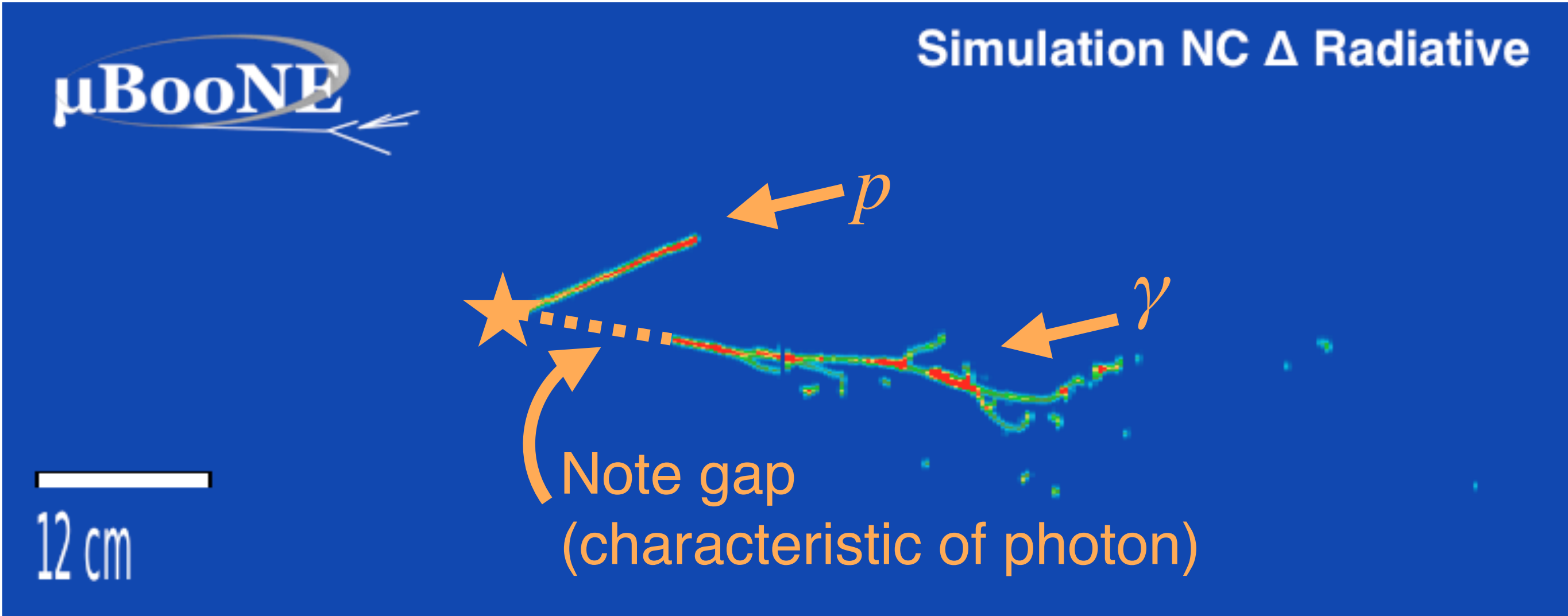
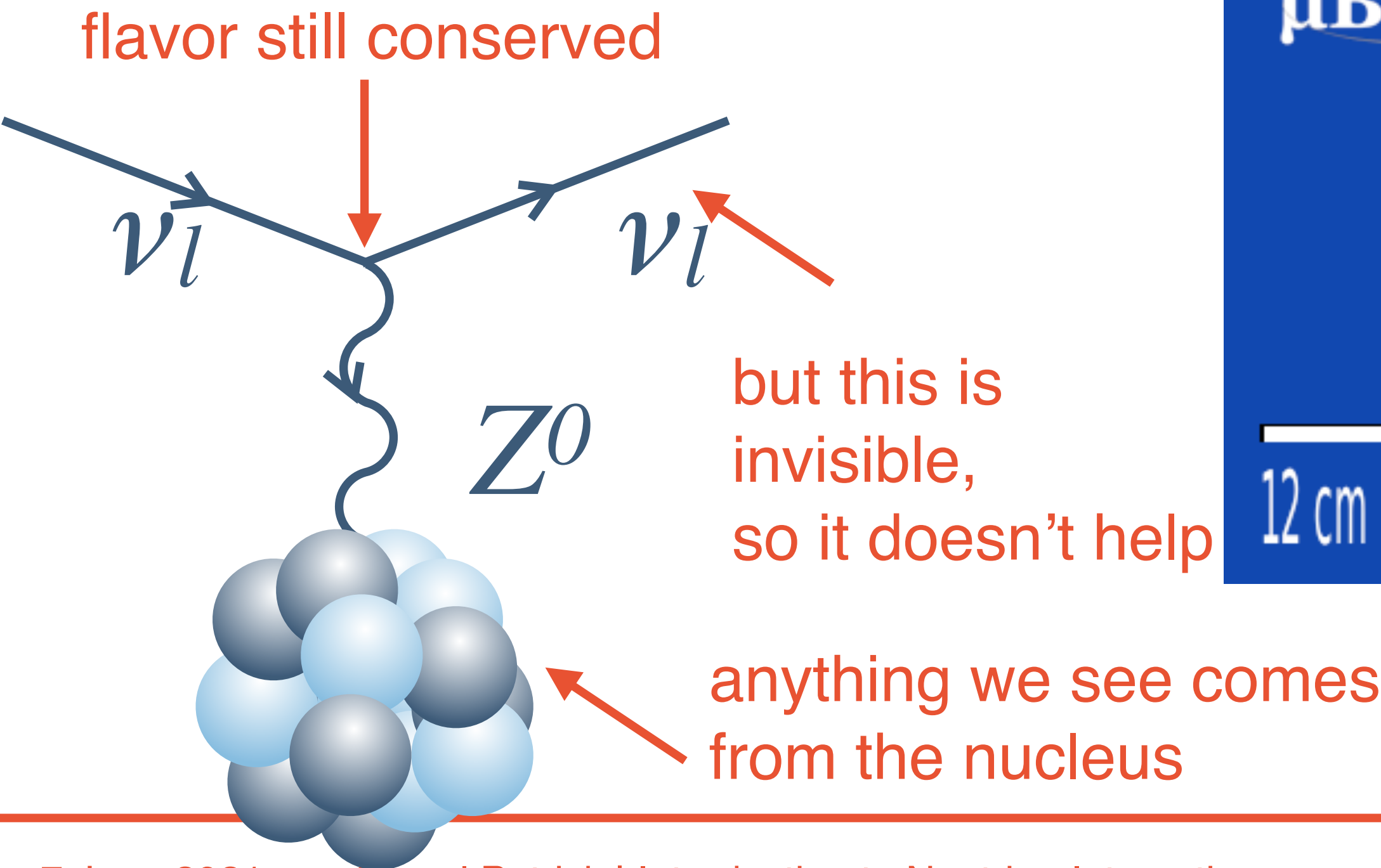
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Particle ID
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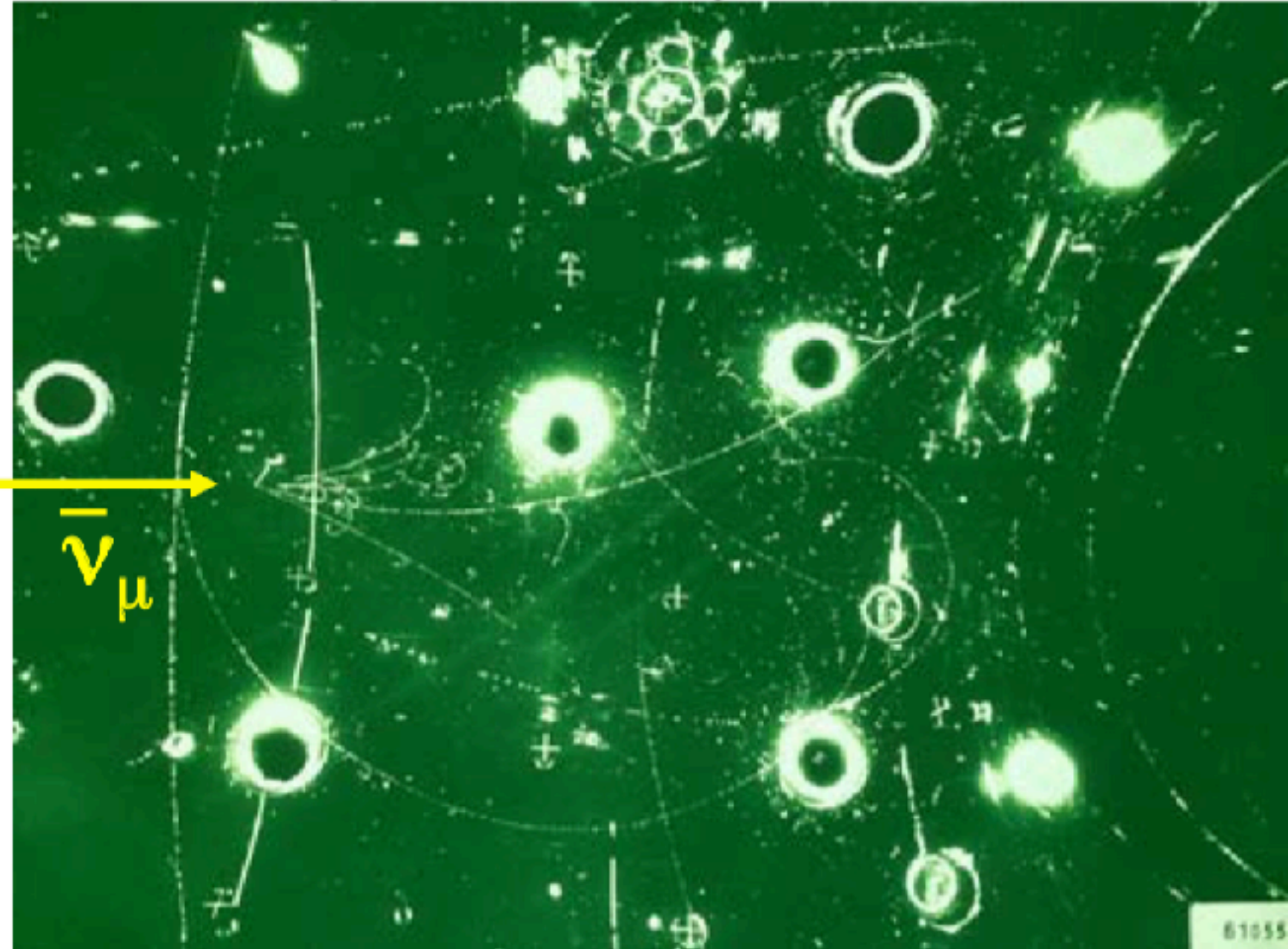
Momentum

Neutral-current interaction



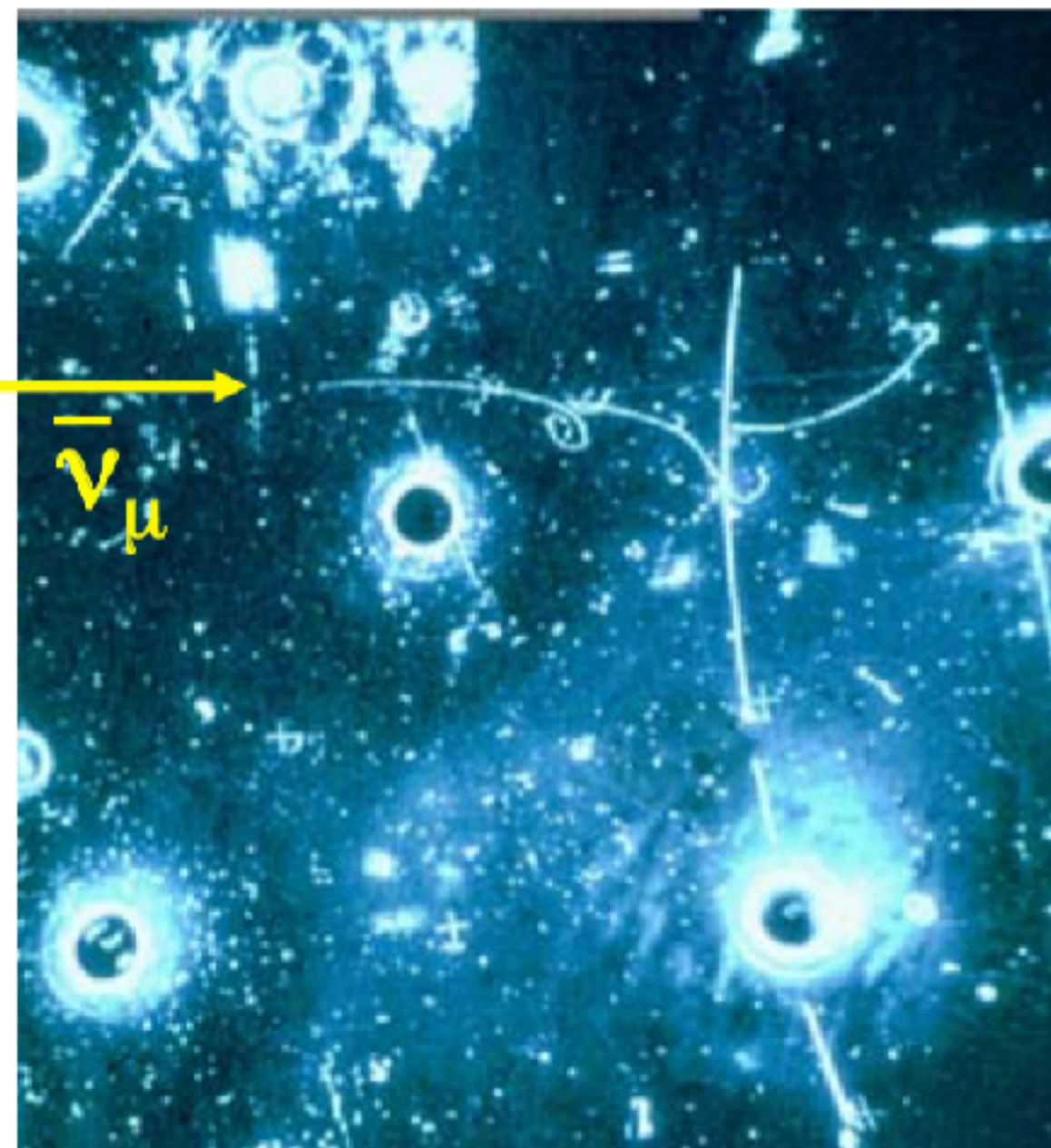
This was how the Z boson was discovered!

$$\bar{\nu}_\mu + N \rightarrow \bar{\nu}_\mu + \text{hadrons}$$



F.J. Hasert et al., Phys. Lett. 46B (1973) 138

$$\bar{\nu}_\mu + e^- \rightarrow \bar{\nu}_\mu + e^-$$



F.J. Hasert et al., Phys. Lett. 46B (1973) 12

From slides by M.A. Thomson

Gargamelle bubble chamber, CERN, 1973



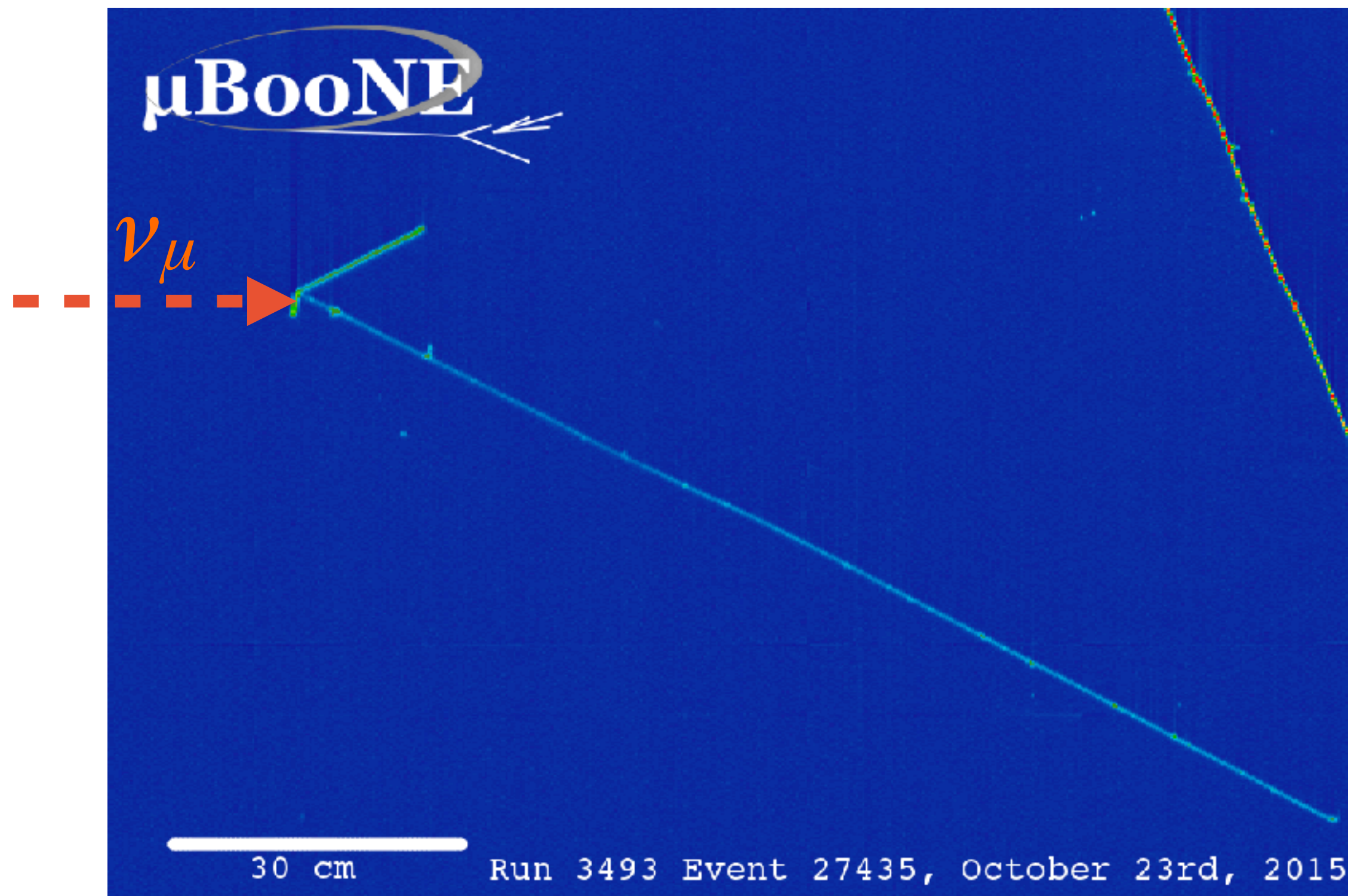
We can detect a neutrino... if it interacts!

What do we want to know about it?

Particle ID

Momentum

= energy, if you know
the beam direction



How can we reconstruct the neutrino energy?

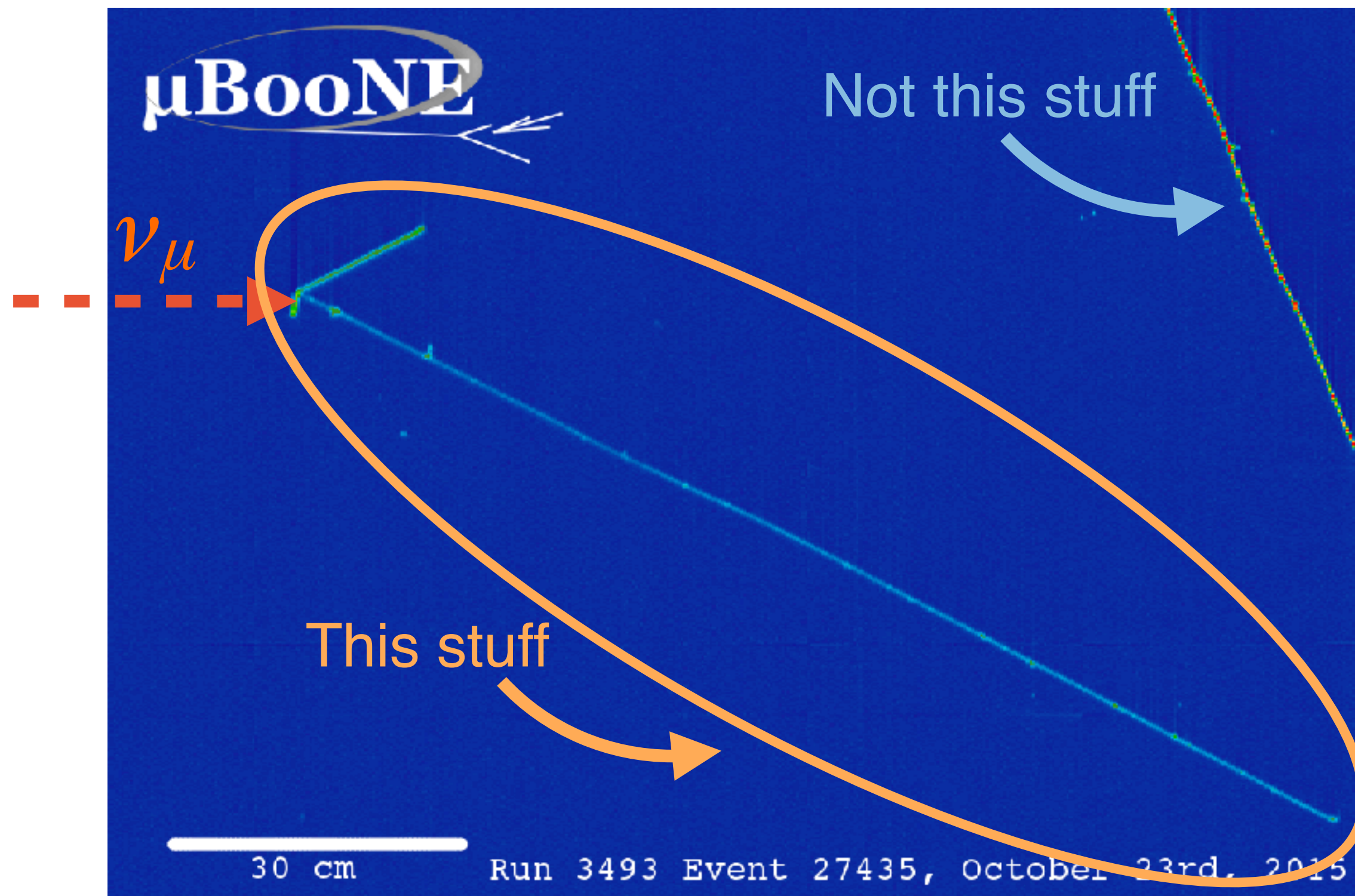
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Particle ID

Momentum

= energy, if you know
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How can we reconstruct the neutrino energy?

Conserve energy

- Sum up energy deposits associated with the interaction: that gives you the final-state kinetic energy

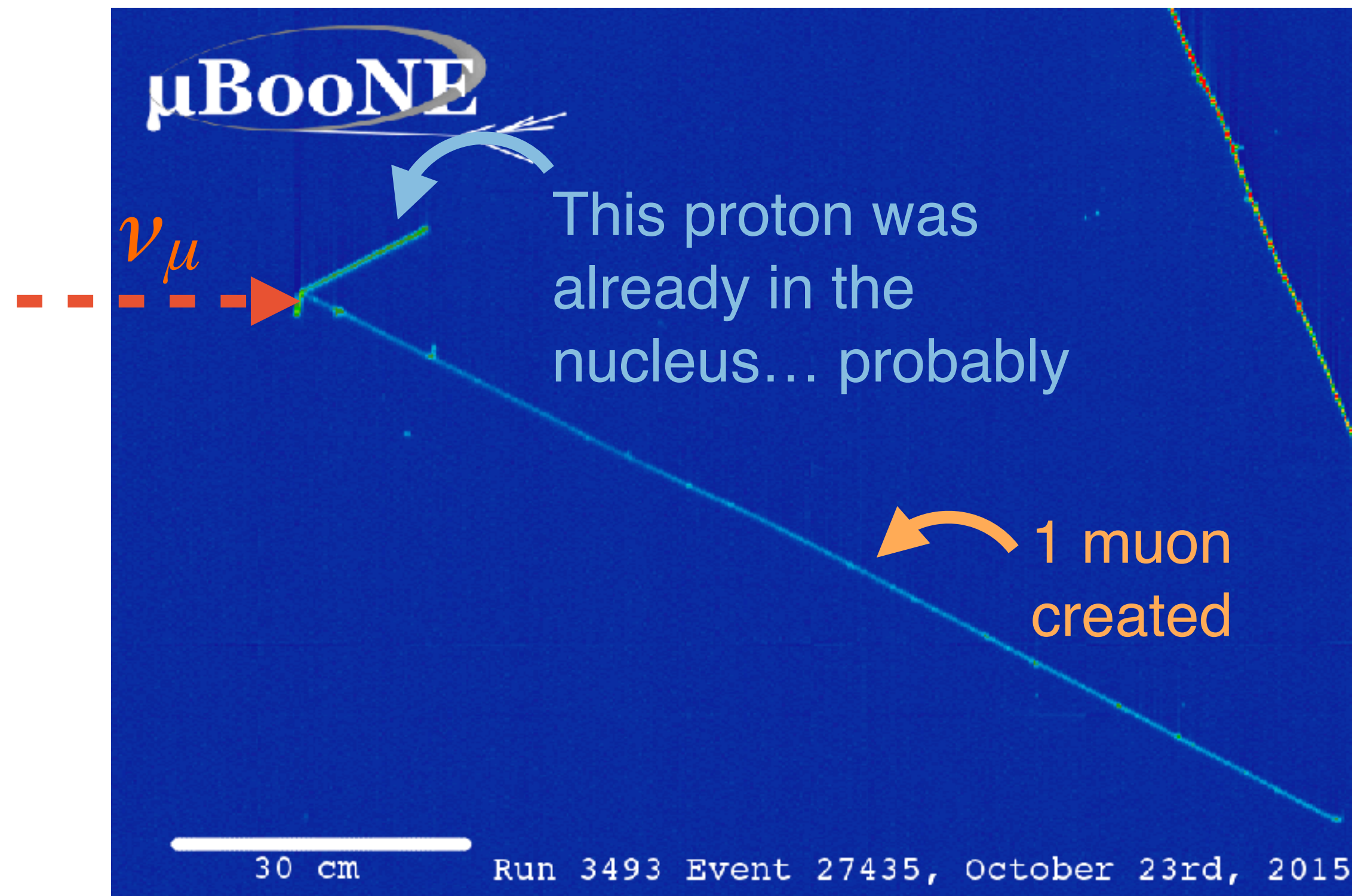
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Particle ID

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How can we reconstruct the neutrino energy?

Conserve energy

- Sum up energy deposits associated with the interaction: that gives you the final-state kinetic energy
- Add the masses of any particles created

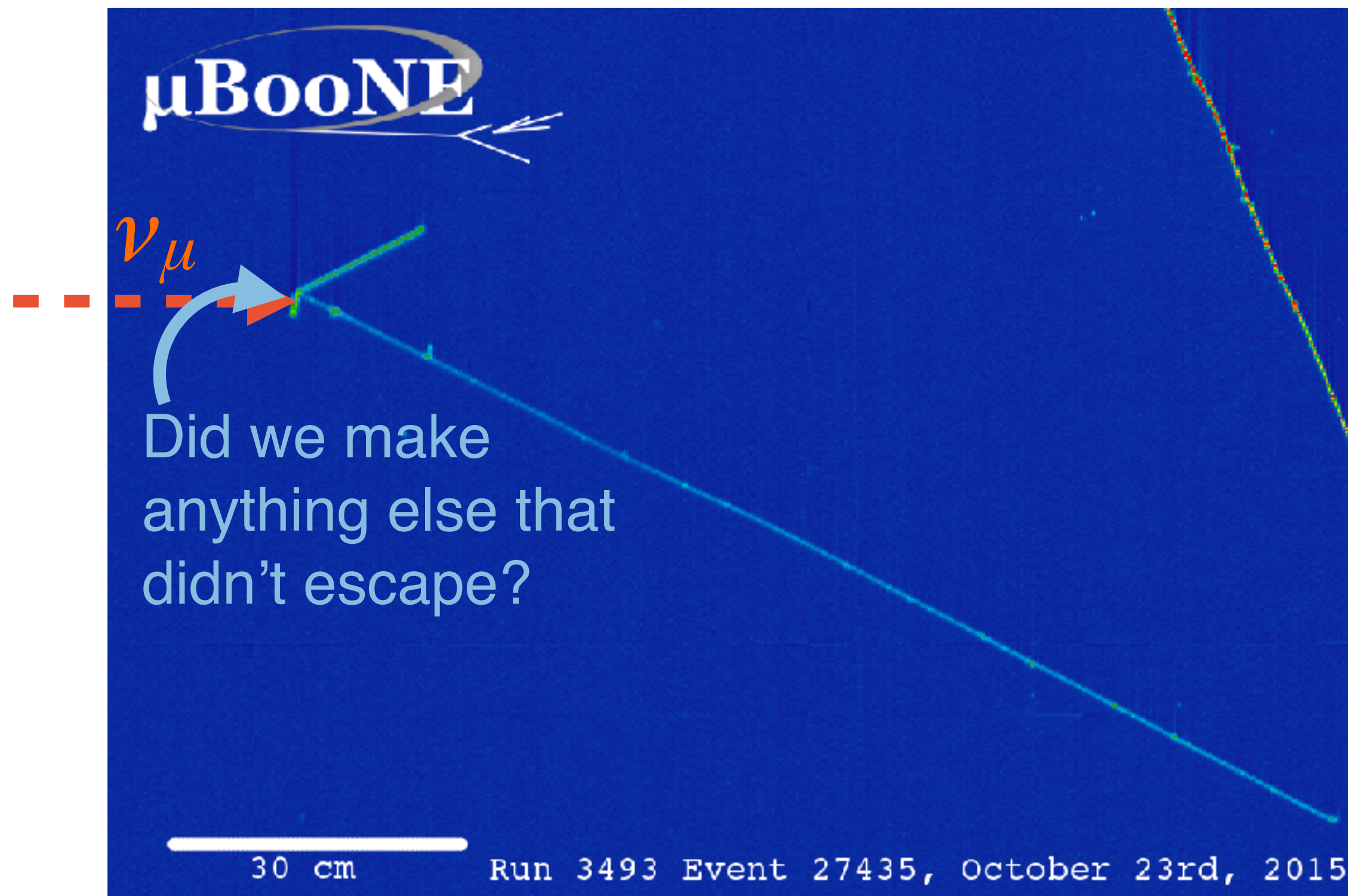
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What do we want to know about it?

Particle ID

Momentum

= energy, if you know
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How can we reconstruct the neutrino energy?

Conserve energy

- Sum up energy deposits associated with the interaction: that gives you the final-state kinetic energy
- Add the masses of any particles created
- Could something have been absorbed by the nucleus?
- Not all particles are detectable (e.g. neutral particles)

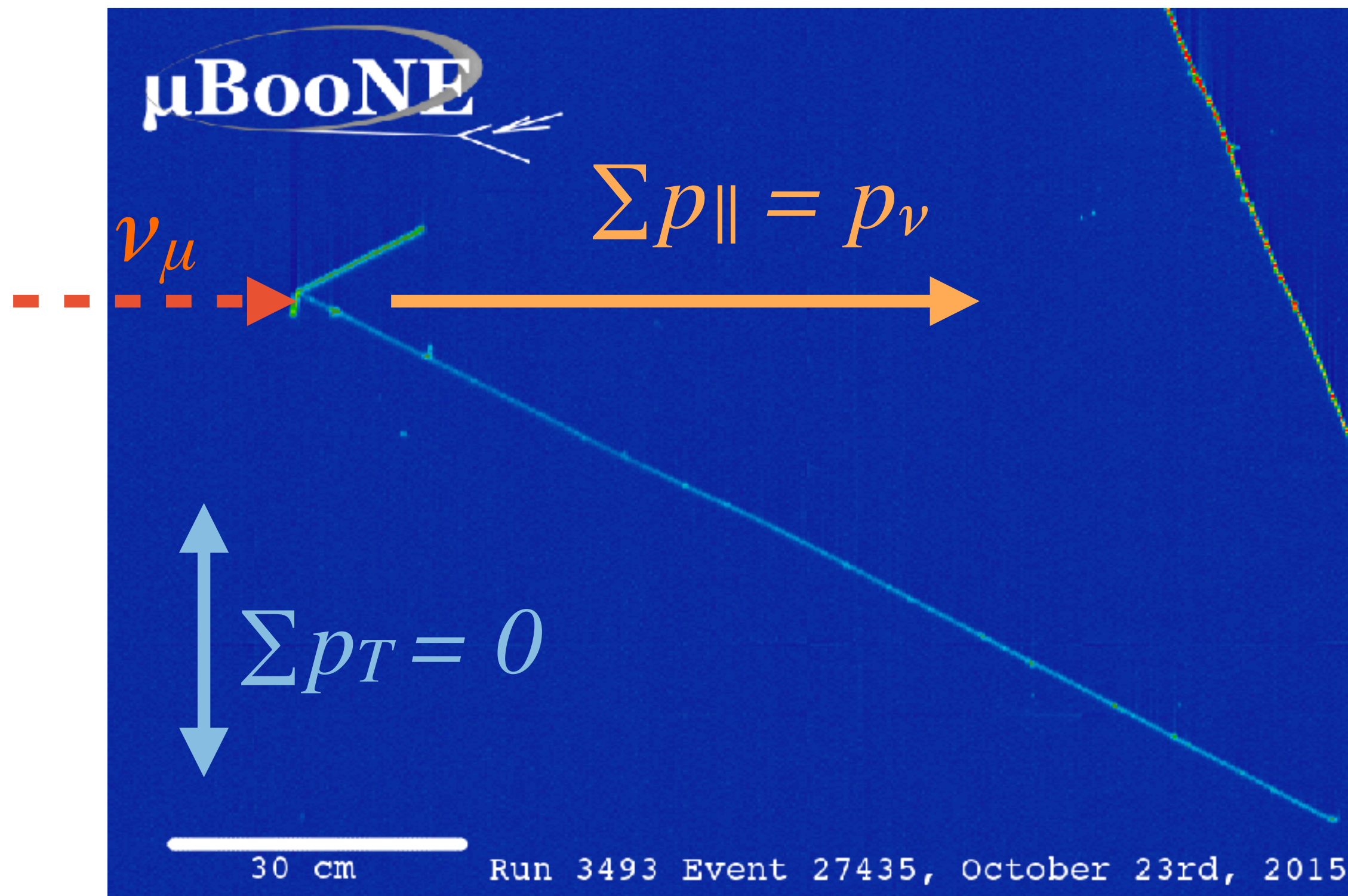
We can detect a neutrino... if it interacts!

What do we want to know about it?

Particle ID

Momentum

= energy, if you know
the beam direction



How can we reconstruct the neutrino energy?

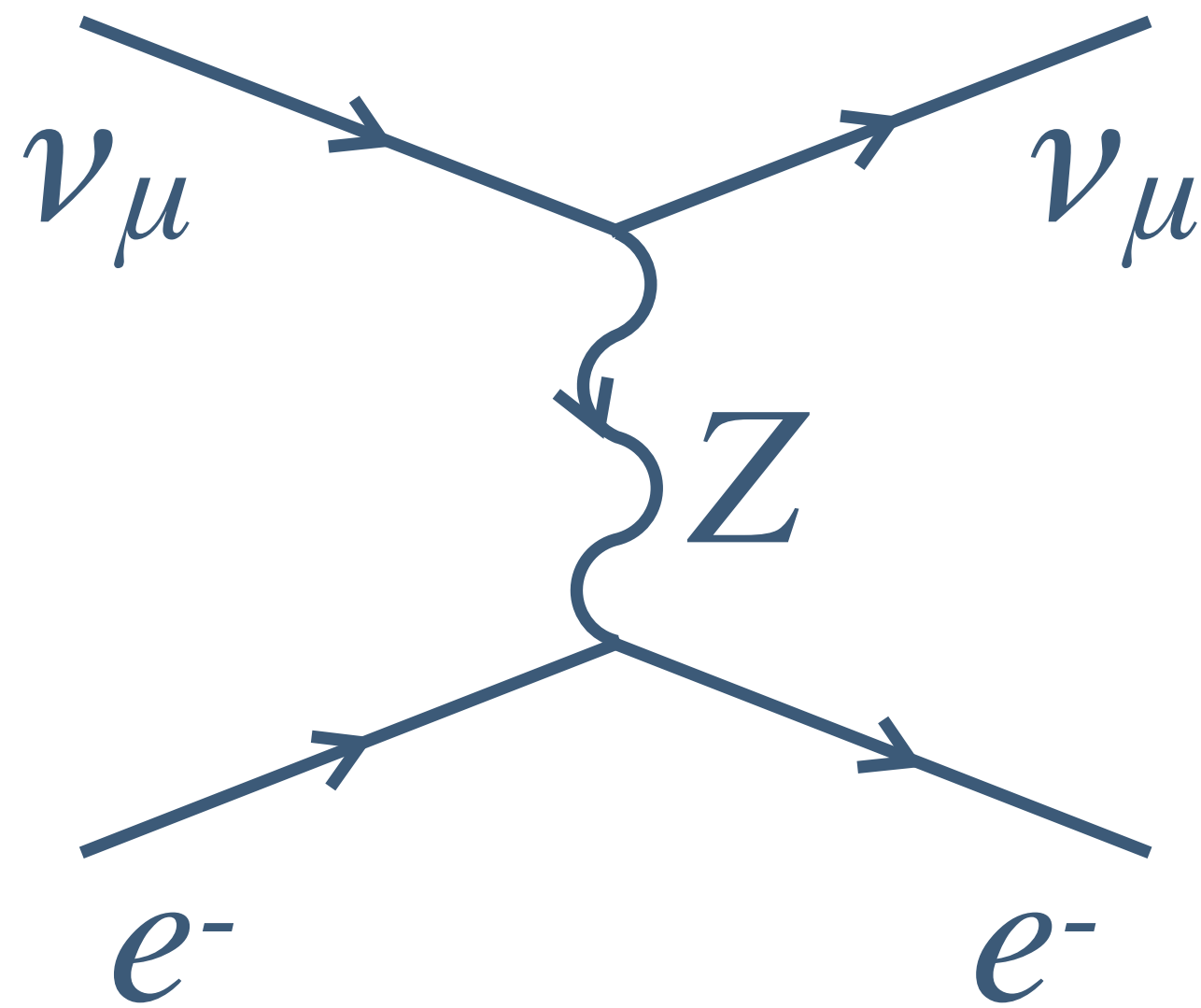
Conserve energy

Conserve momentum

Look parallel (p_{\parallel}) and transverse (p_T) to the
beam for an extra degree of freedom...

Neutrino-electron elastic scattering

Exercise 4

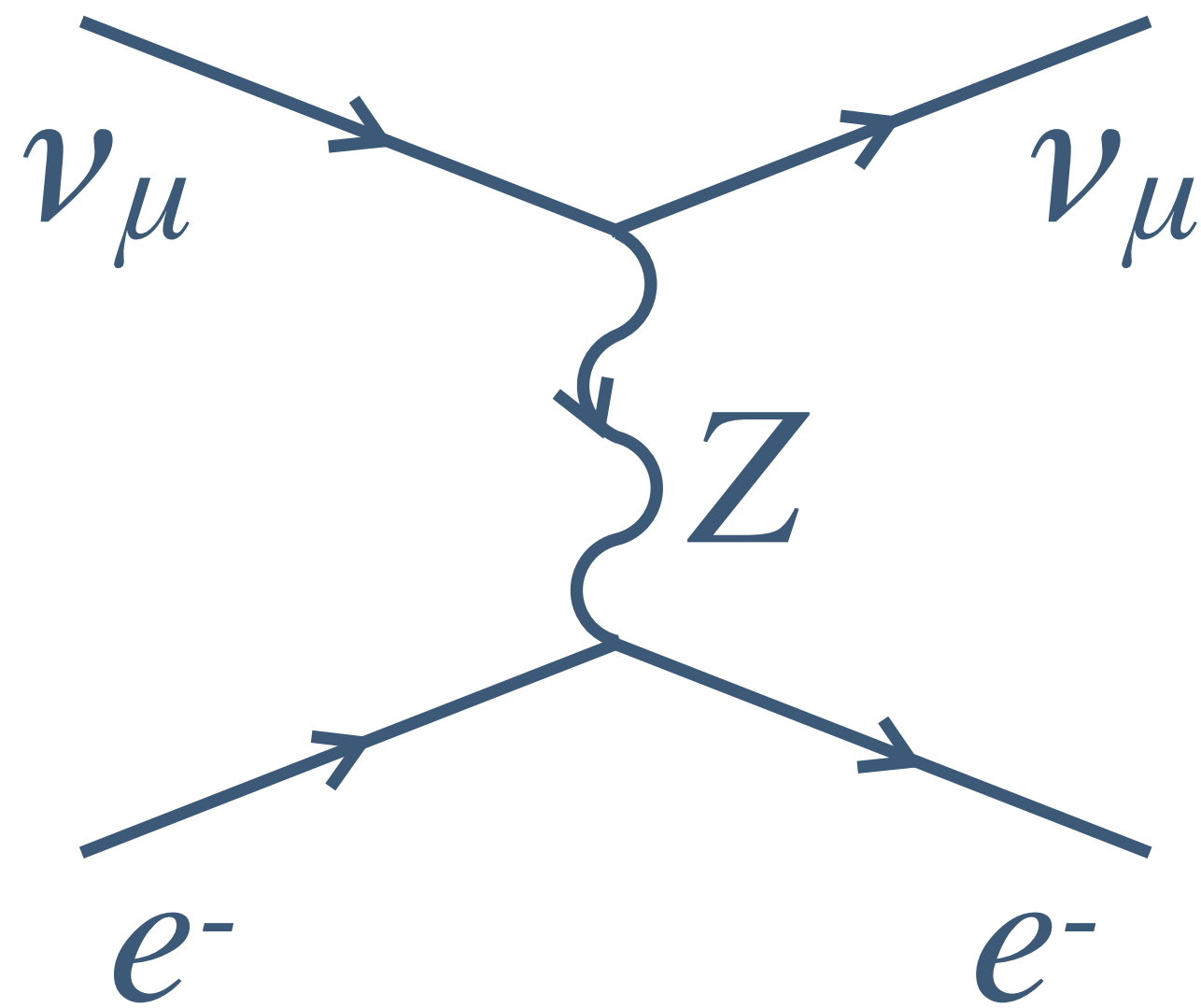


What's the equivalent for $\nu_e - e^-$ scattering?
Can you find another $\nu_e - e^-$ scattering with the same final state as the first one you found?

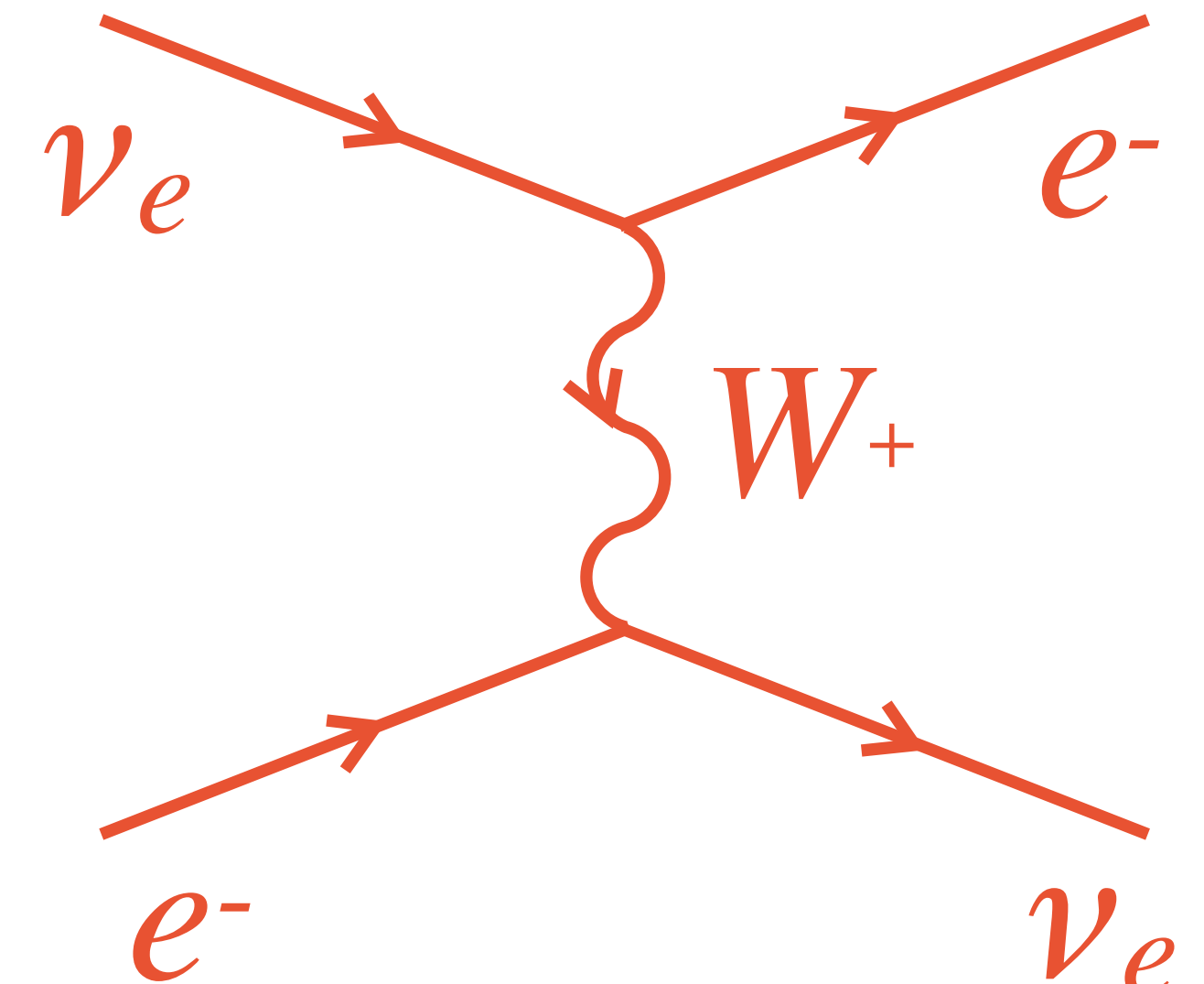
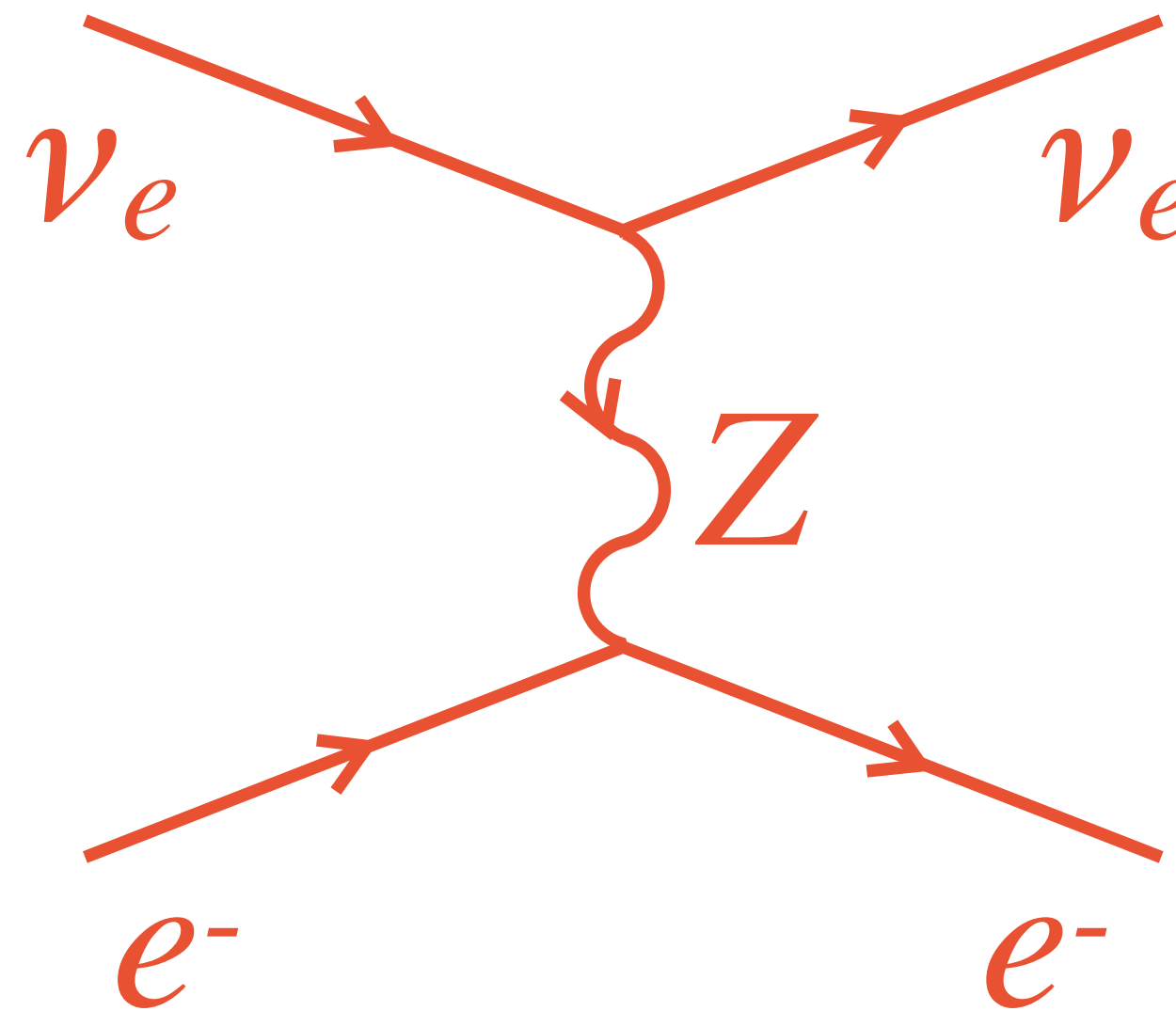
- Electrons are **fundamental** particles
- Weak scattering is well understood
- Calculate neutrino energy from electron kinematics:
good way to study **neutrino flux** e.g. Phys. Rev. D 93, 112007 (2016)

Neutrino-electron elastic scattering

Exercise 4



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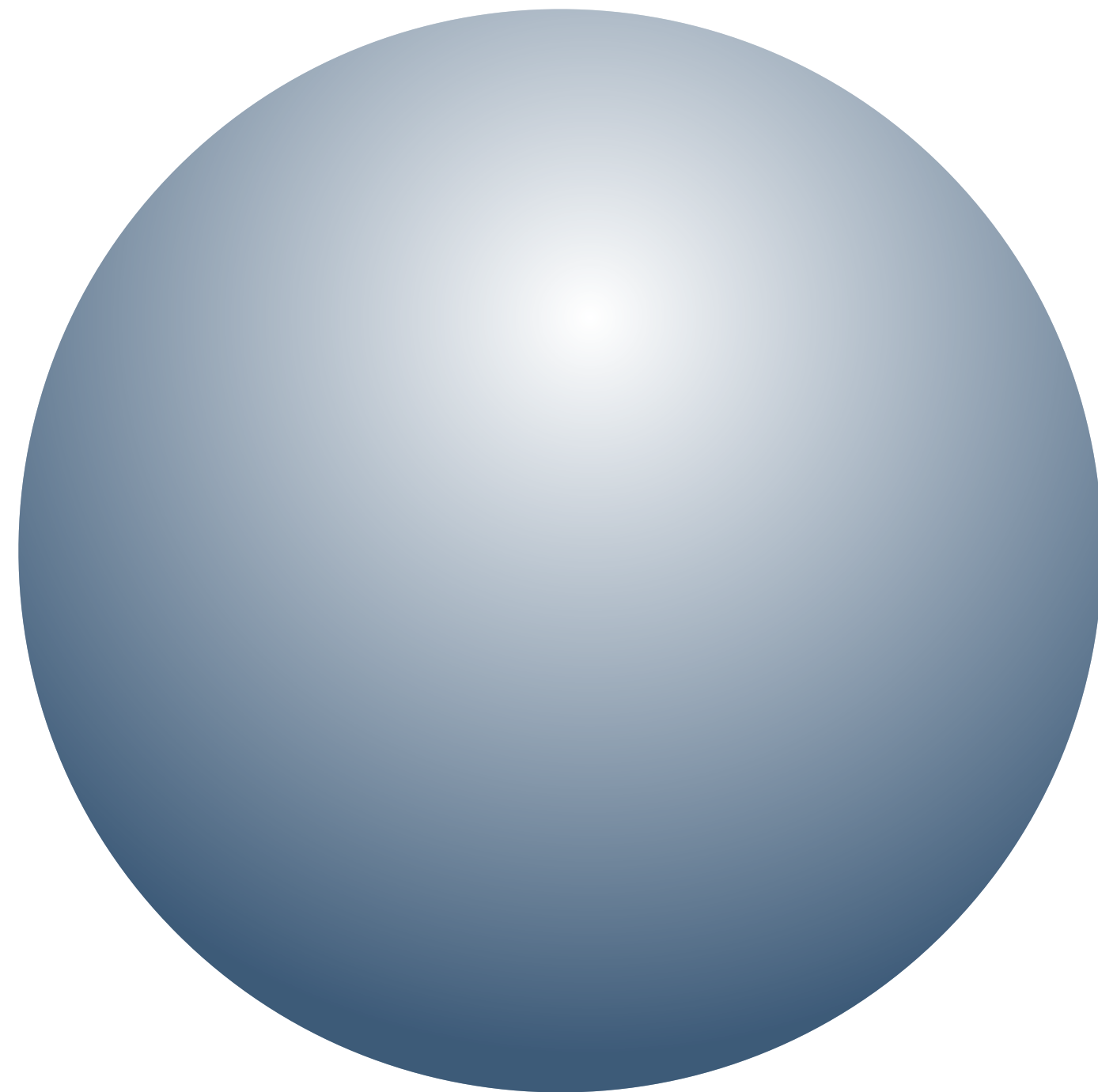
- Electrons are **fundamental** particles
- Weak scattering is well understood
- Calculate neutrino energy from electron kinematics: good way to study **neutrino flux** e.g. Phys. Rev. D 93, 112007 (2016)

All lepton interactions have the same strengths in the weak interaction (**lepton universality**), but because of **interference** with the CC diagram, the $\nu_e - e^-$ cross section is different from the $\nu_\mu - e^-$

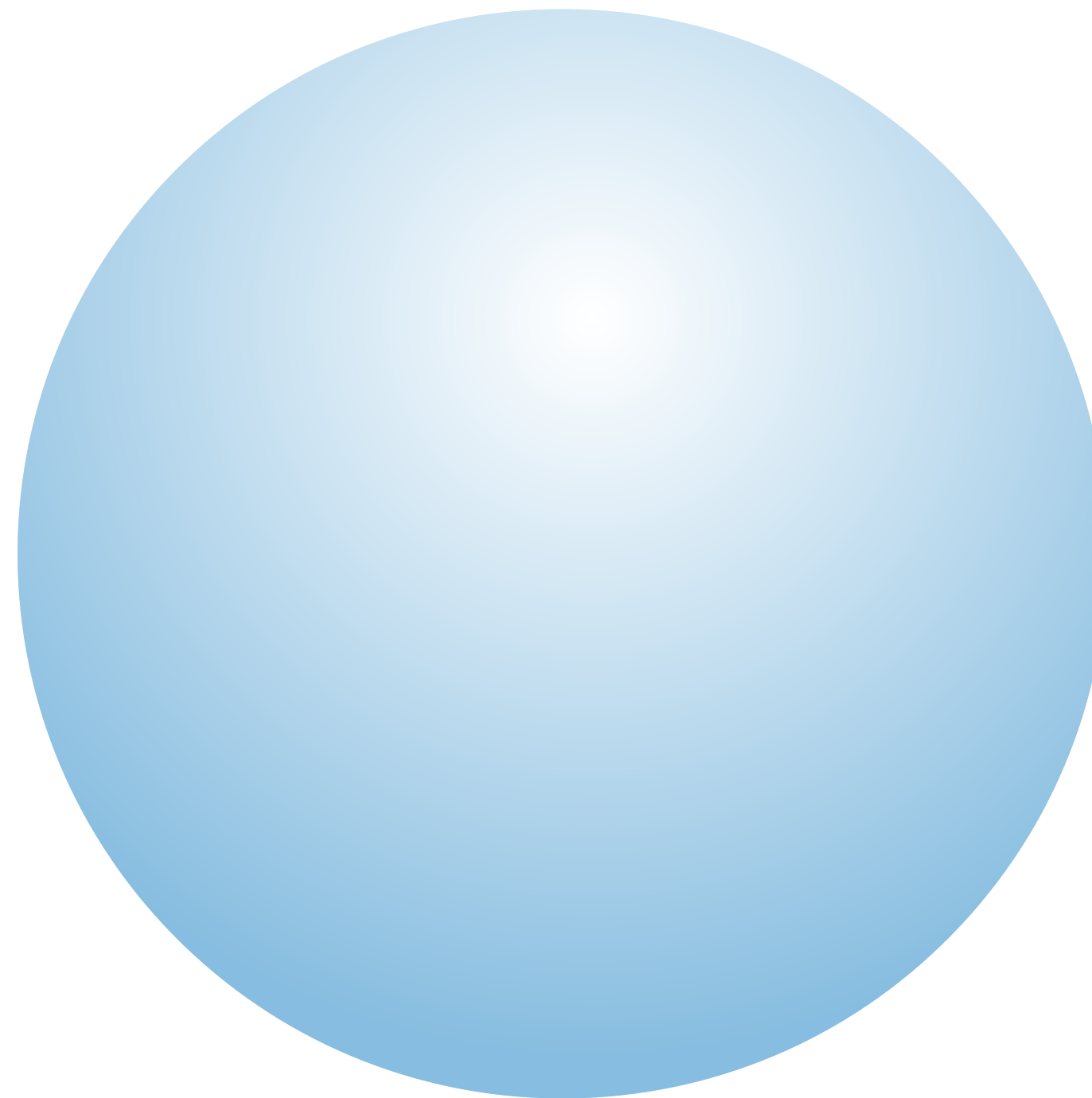
Nucleons

Things get more complicated (and it's only getting worse from here...)

Proton



Neutron

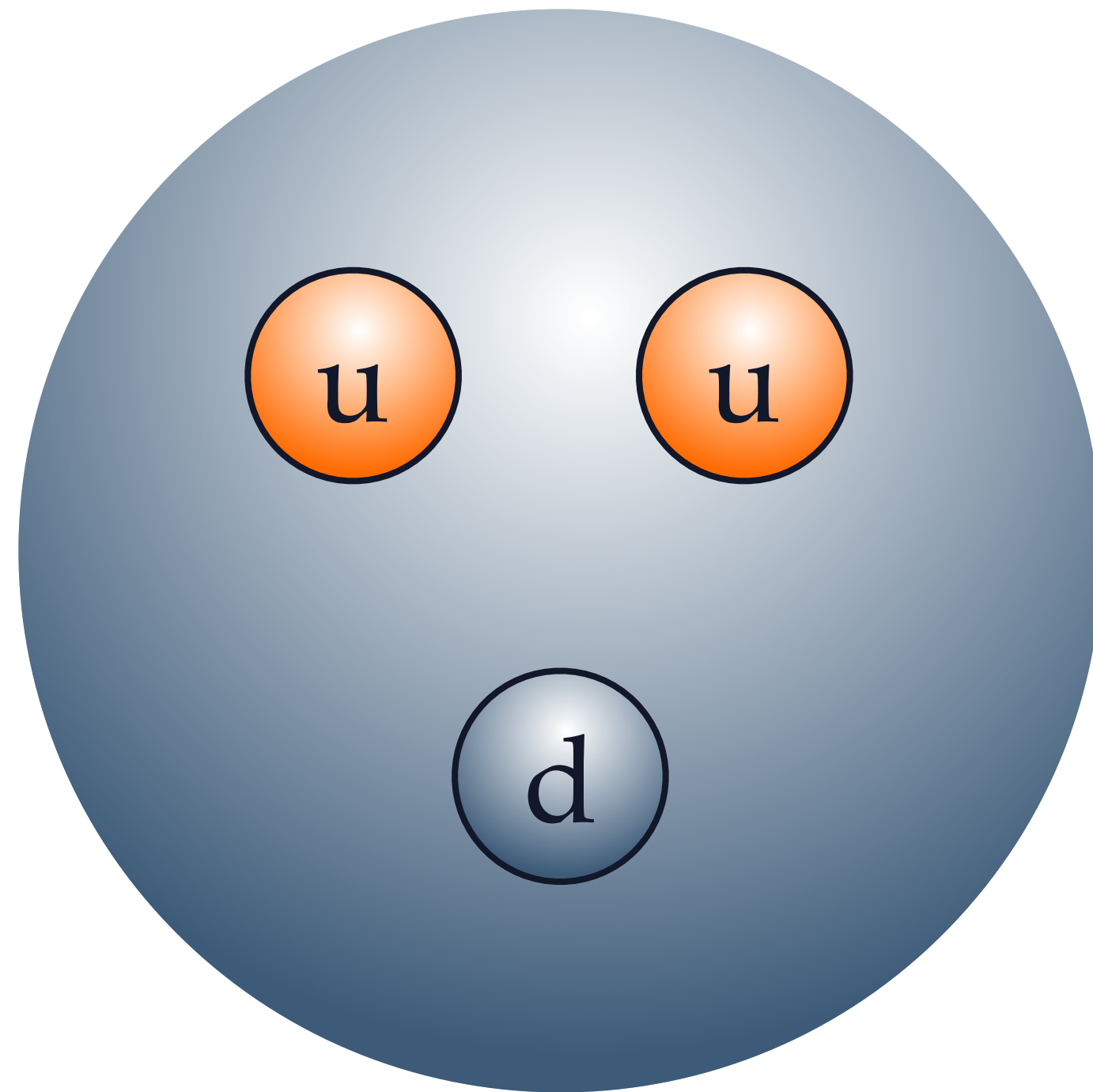


Nucleons are composite particles and contain:

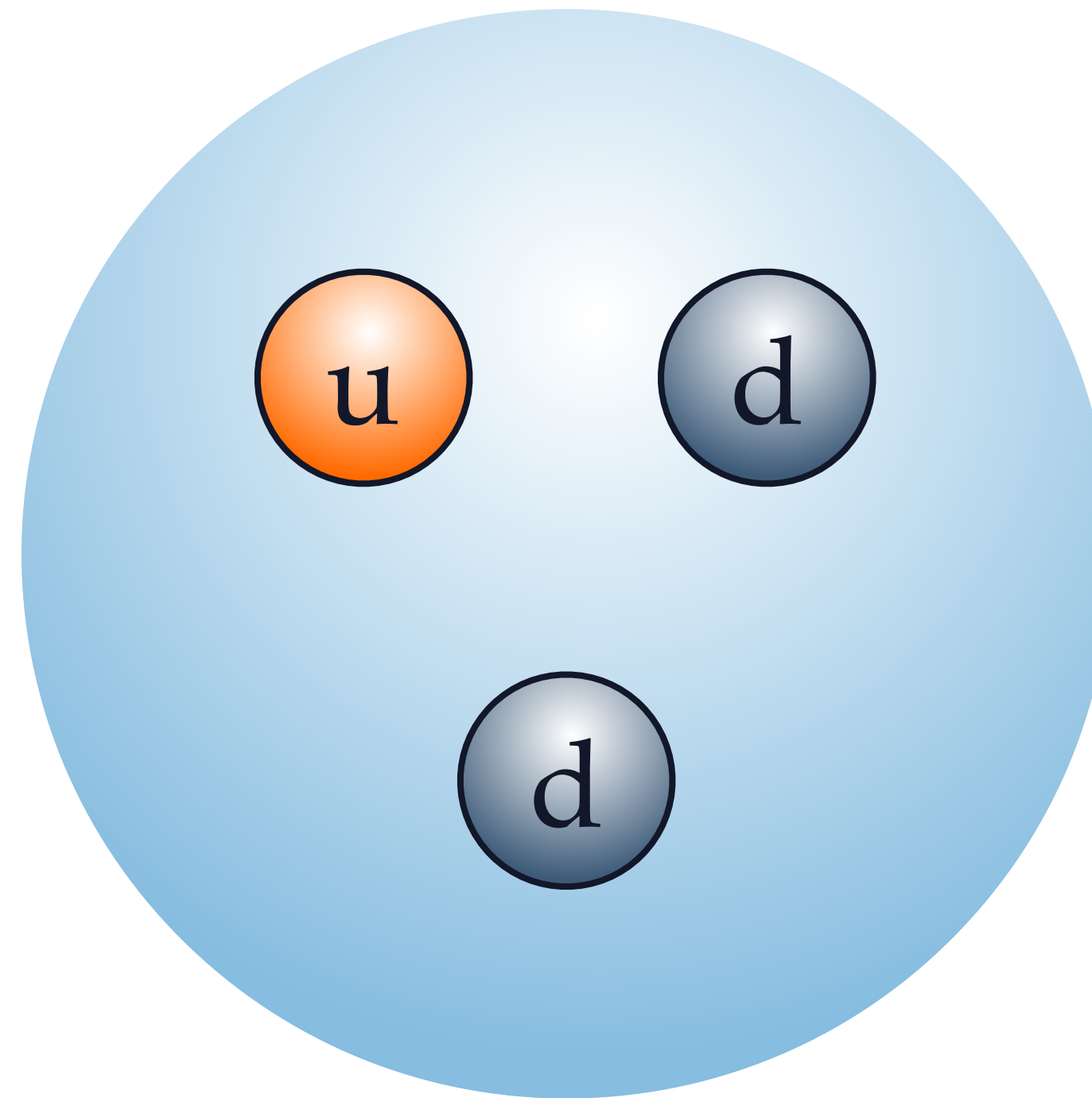
Nucleons

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Neutron



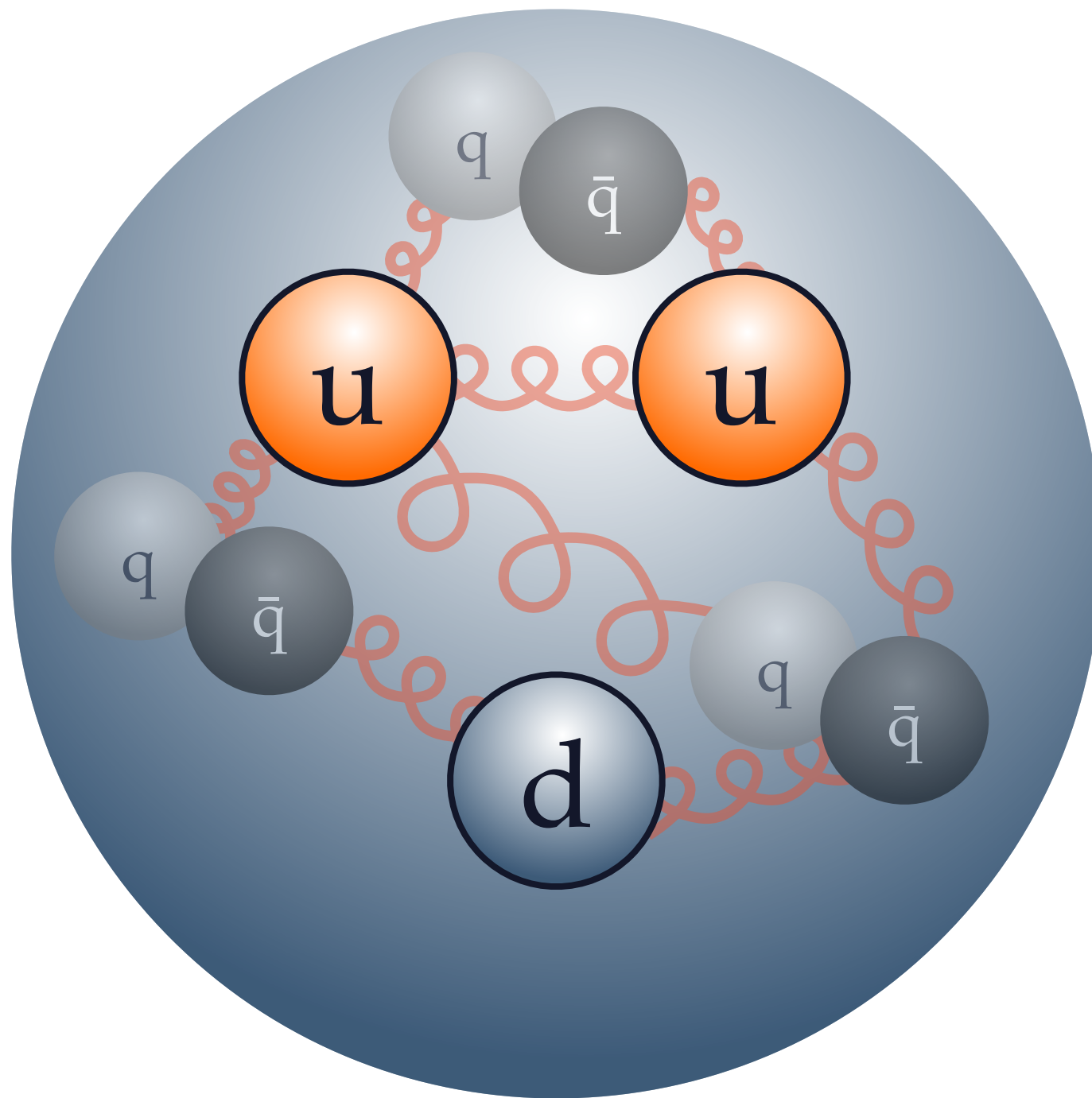
Nucleons are composite particles and contain:

- Three **valence** quarks

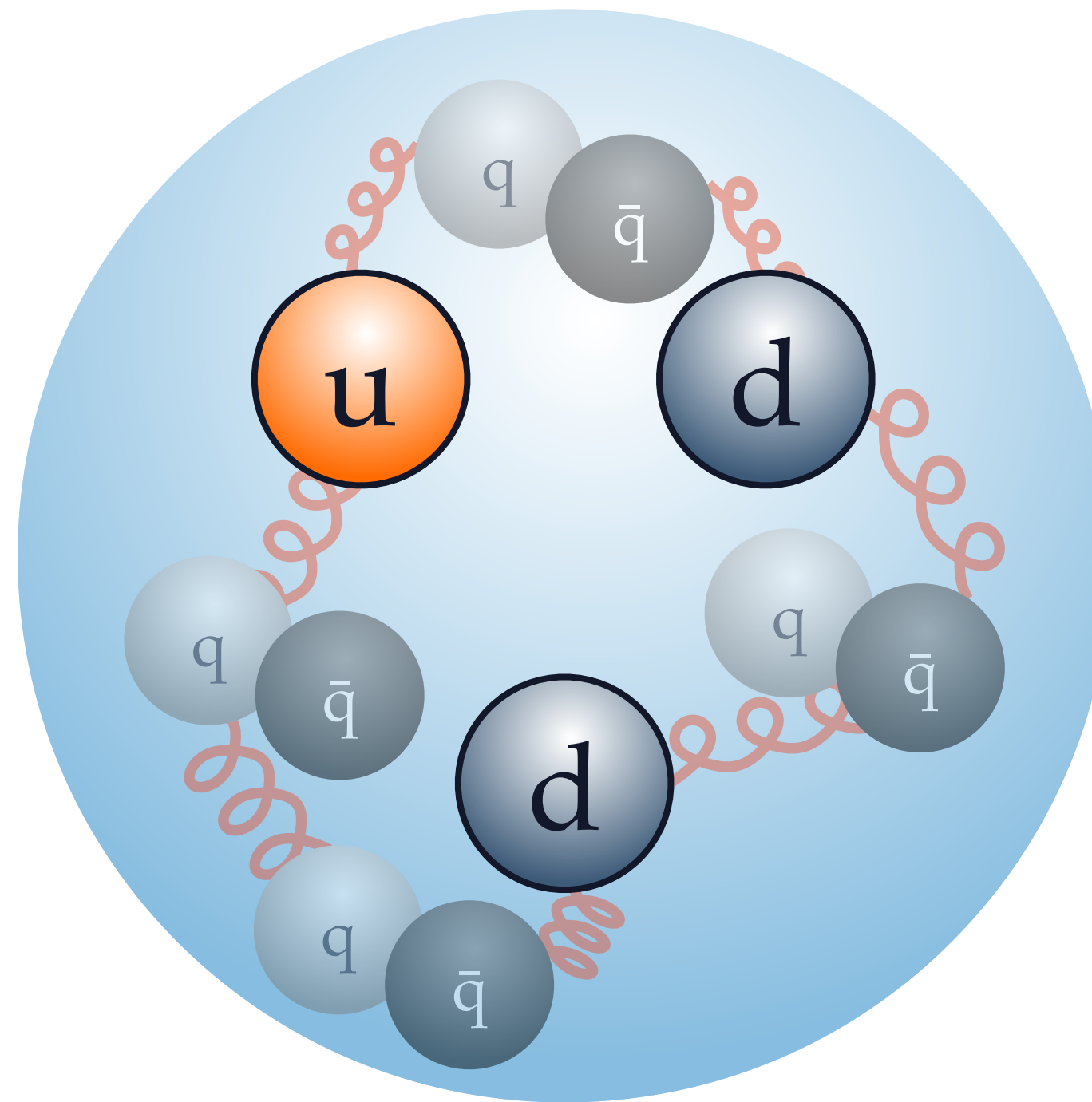
Nucleons

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Proton



Neutron



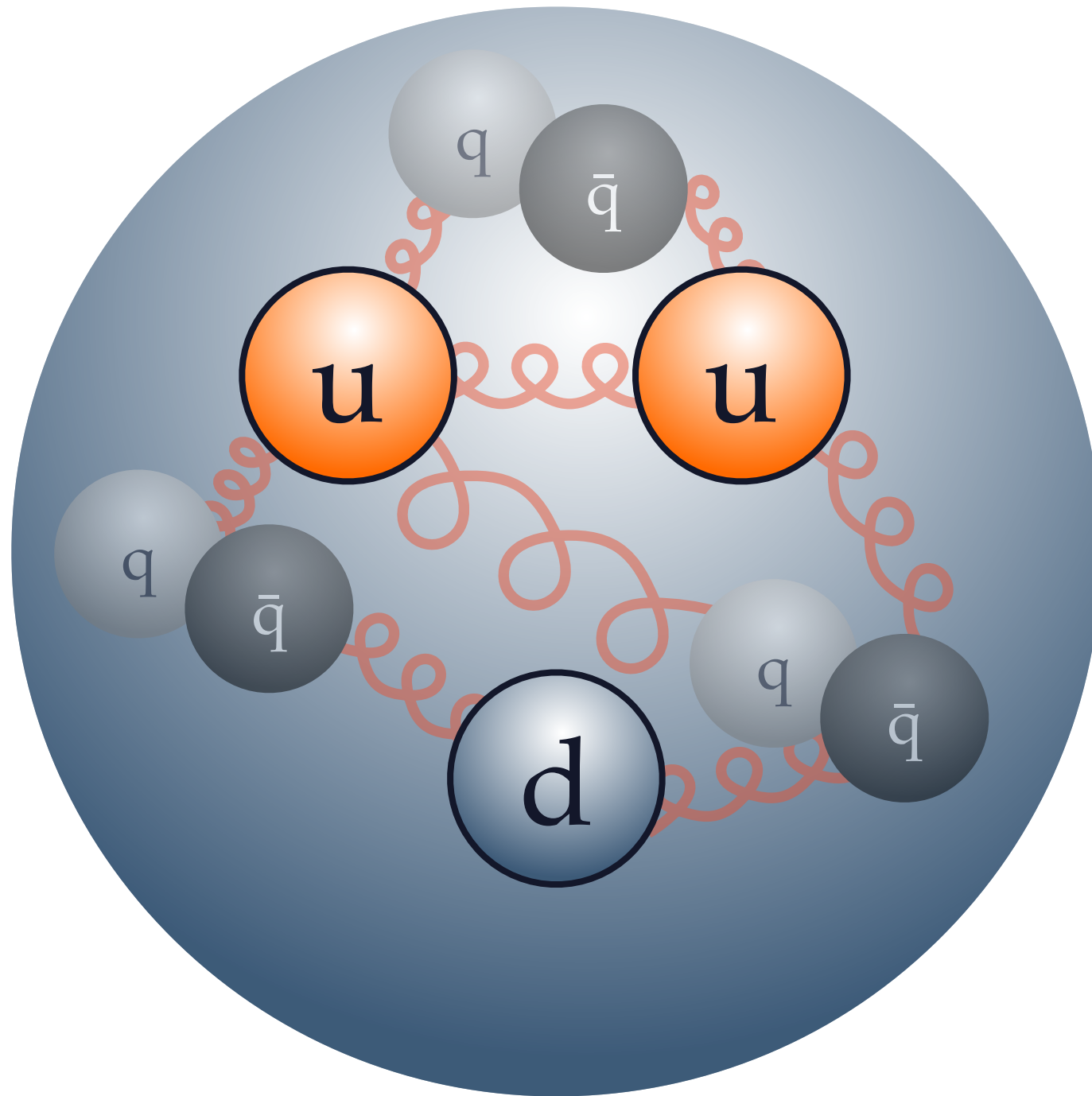
Nucleons are composite particles and contain:

- Three **valence** quarks
- Gluons
- Transient “sea” quarks and antiquarks

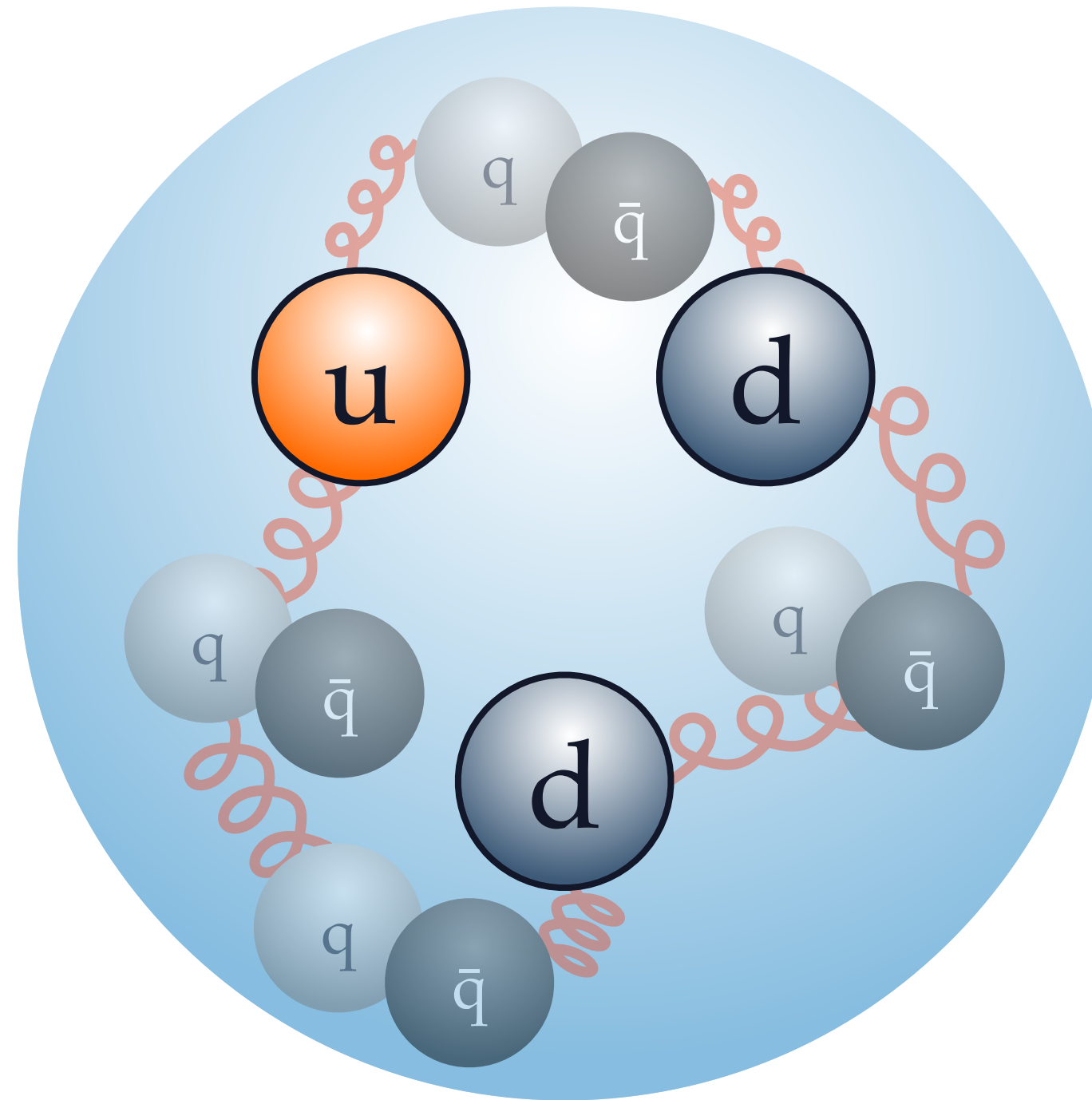
Nucleons

Things get more complicated (and it's only getting worse from here...)

Proton



Neutron



Nucleons are composite particles and contain:

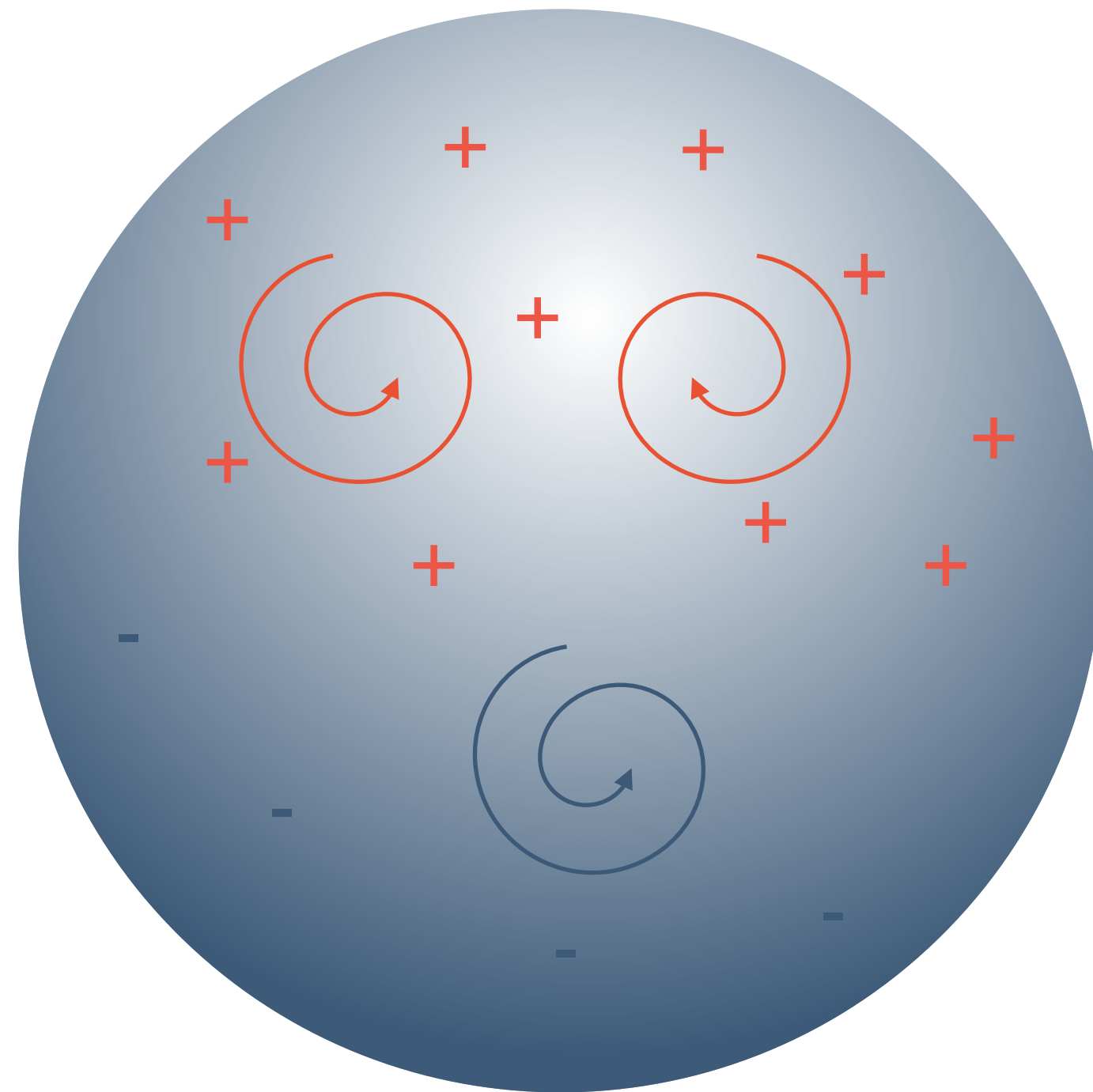
- Three **valence** quarks
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The quarks can interact weakly (i.e. with neutrinos)

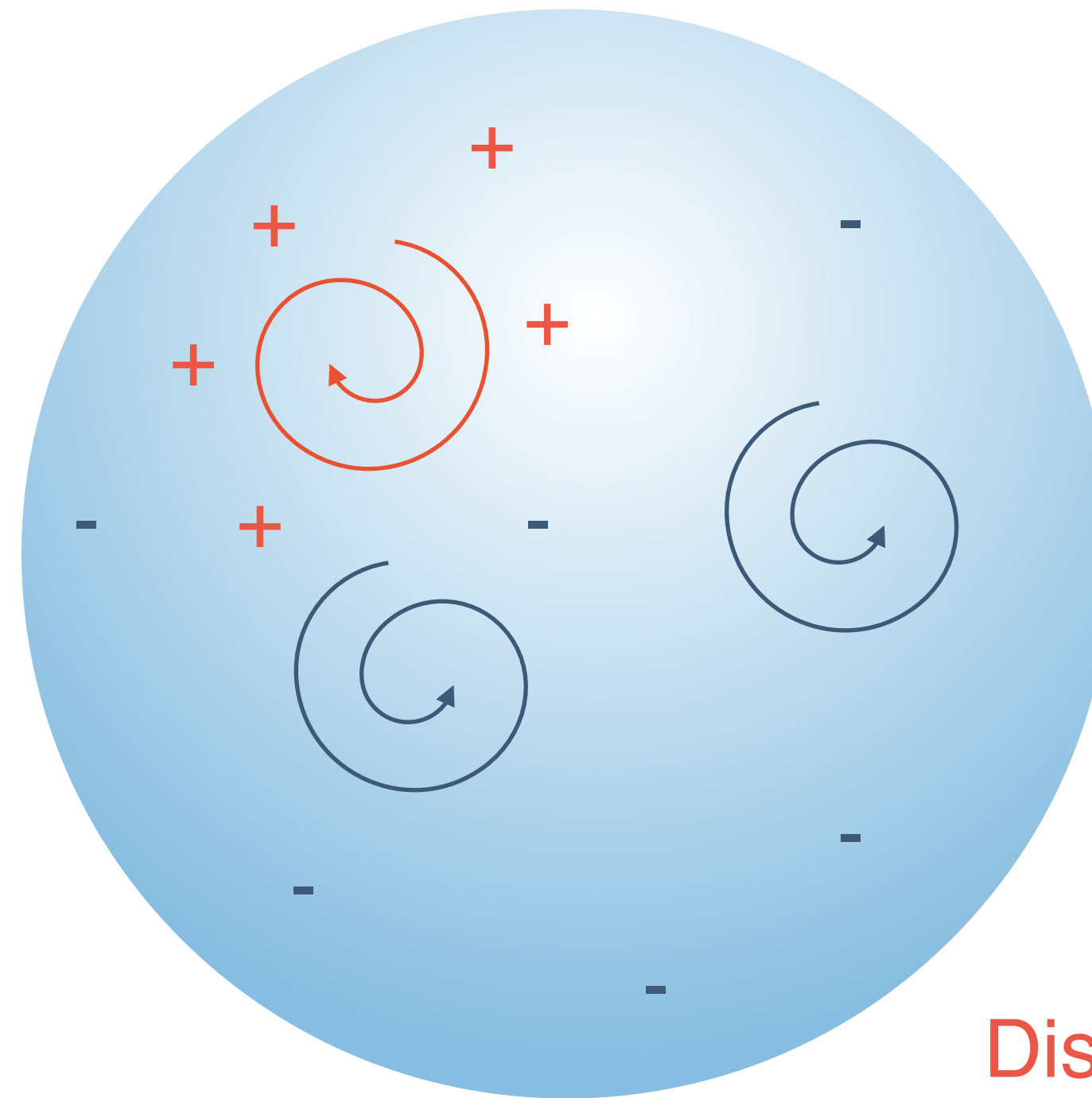
Nucleons

Things get more complicated (and it's only getting worse from here...)

Proton



Neutron



Nucleons are composite particles and contain:

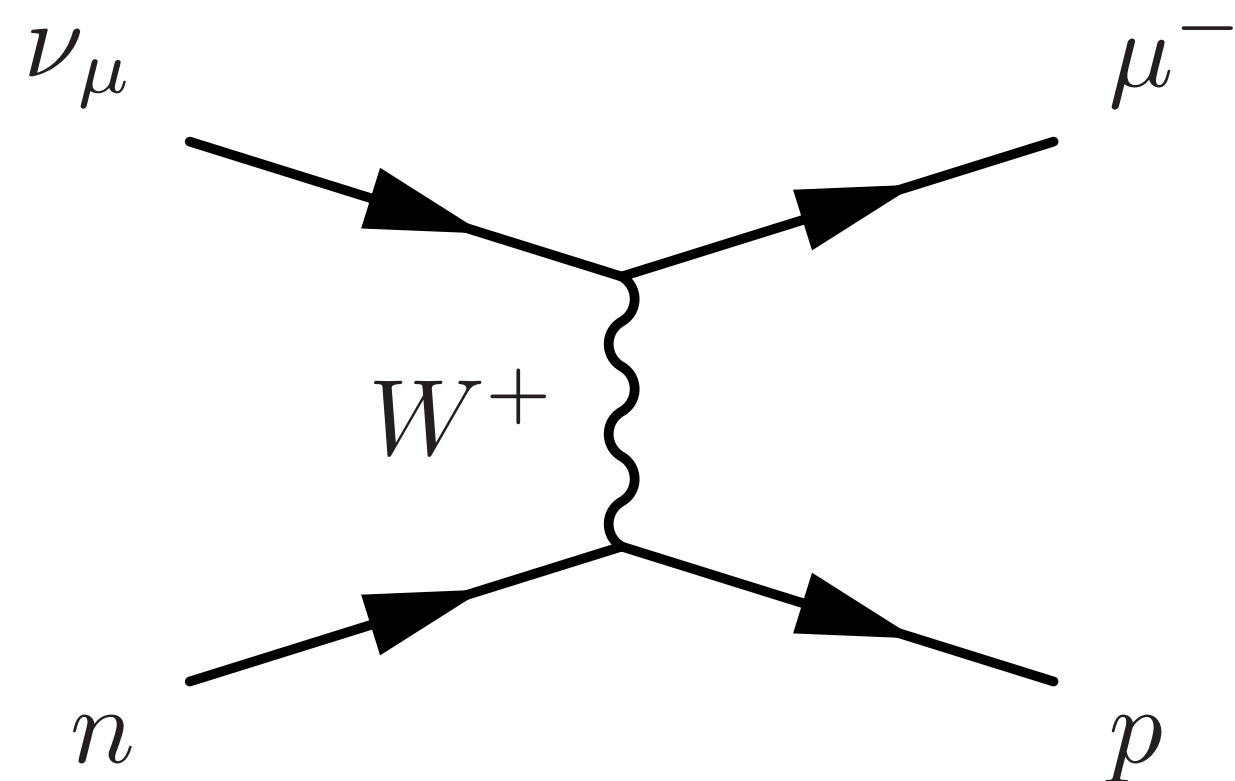
- Three **valence** quarks
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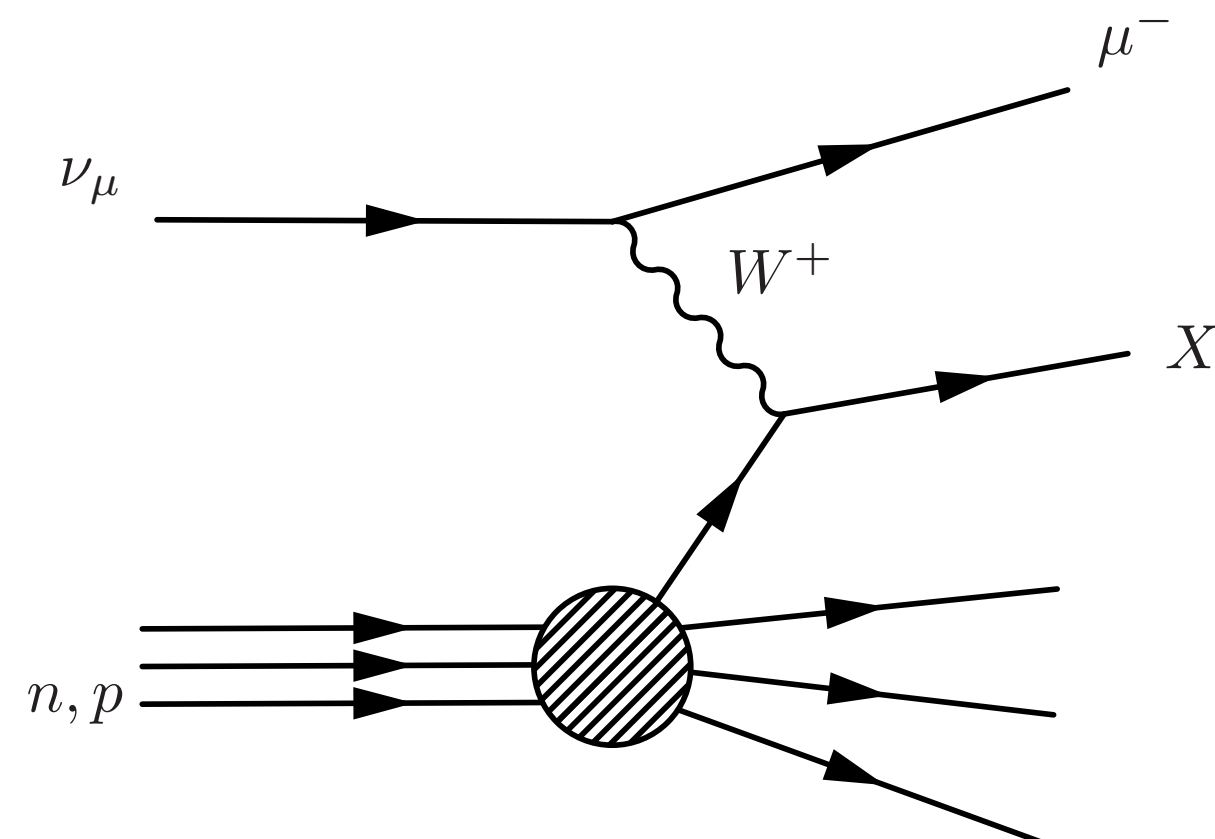
Distribution / motion of quarks gives nucleons an electric and magnetic moment

Three (charged-current) ways neutrinos interact with nucleons

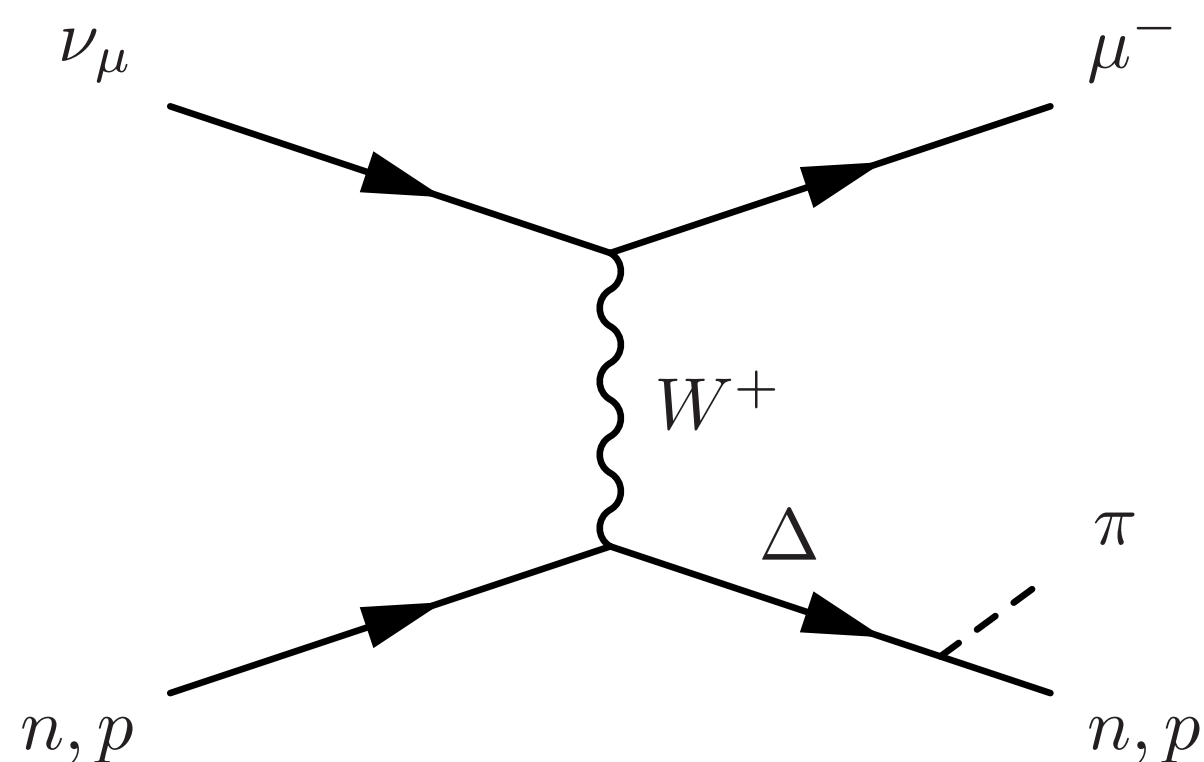
Quasi-elastic scattering



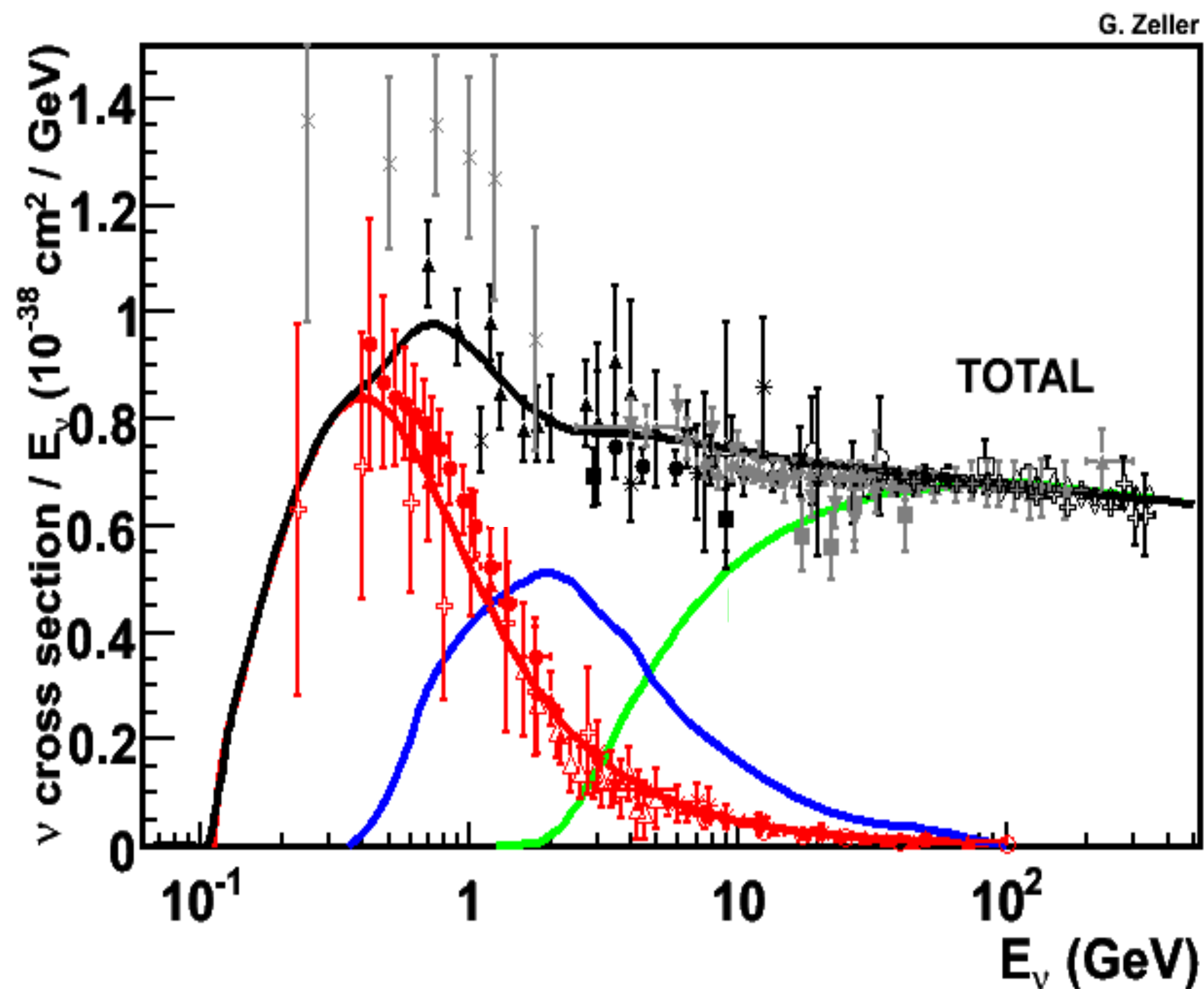
Deep inelastic scattering



Resonant pion production

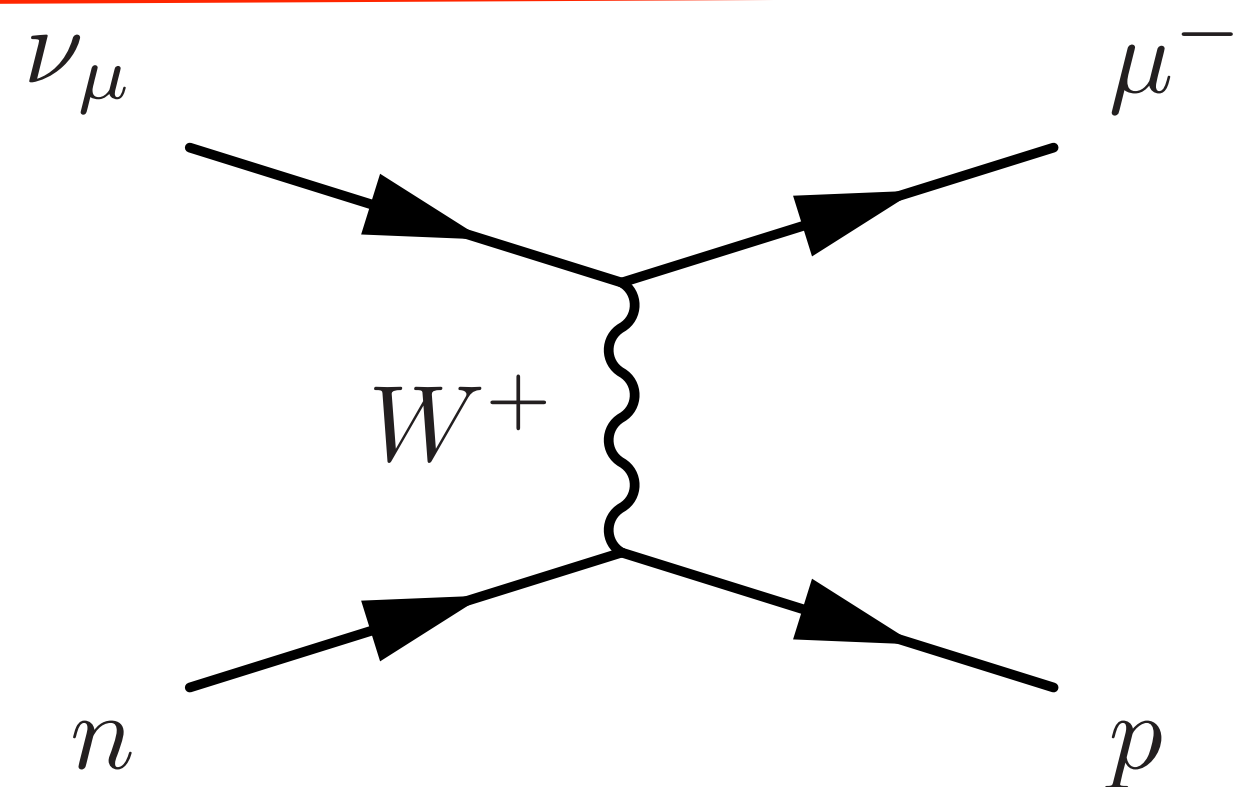


Different scattering modes happen at different energies. What do you think is happening in each of these? Which do you think correspond to the red, blue, and green lines on the plot? Hint - why does each curve have a low cut-off?

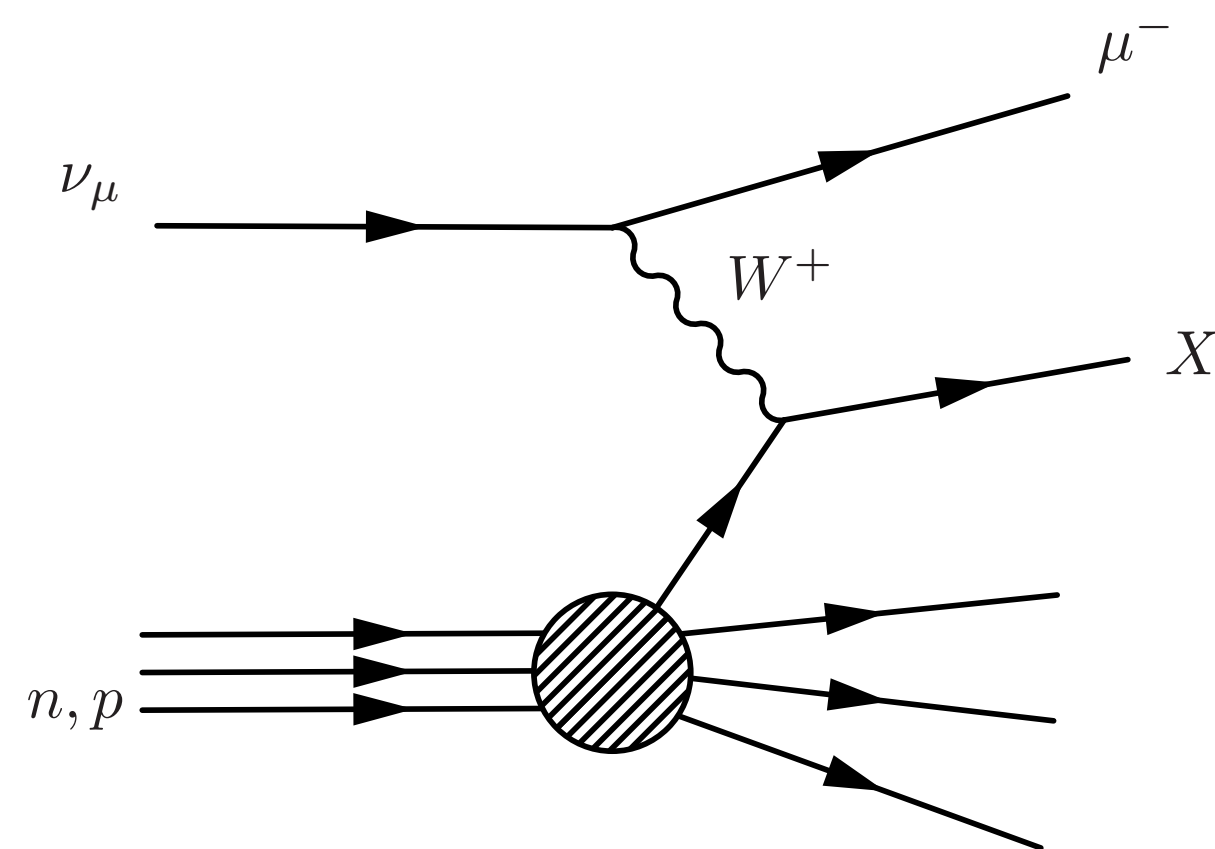


Three (charged-current) ways neutrinos interact with nucleons

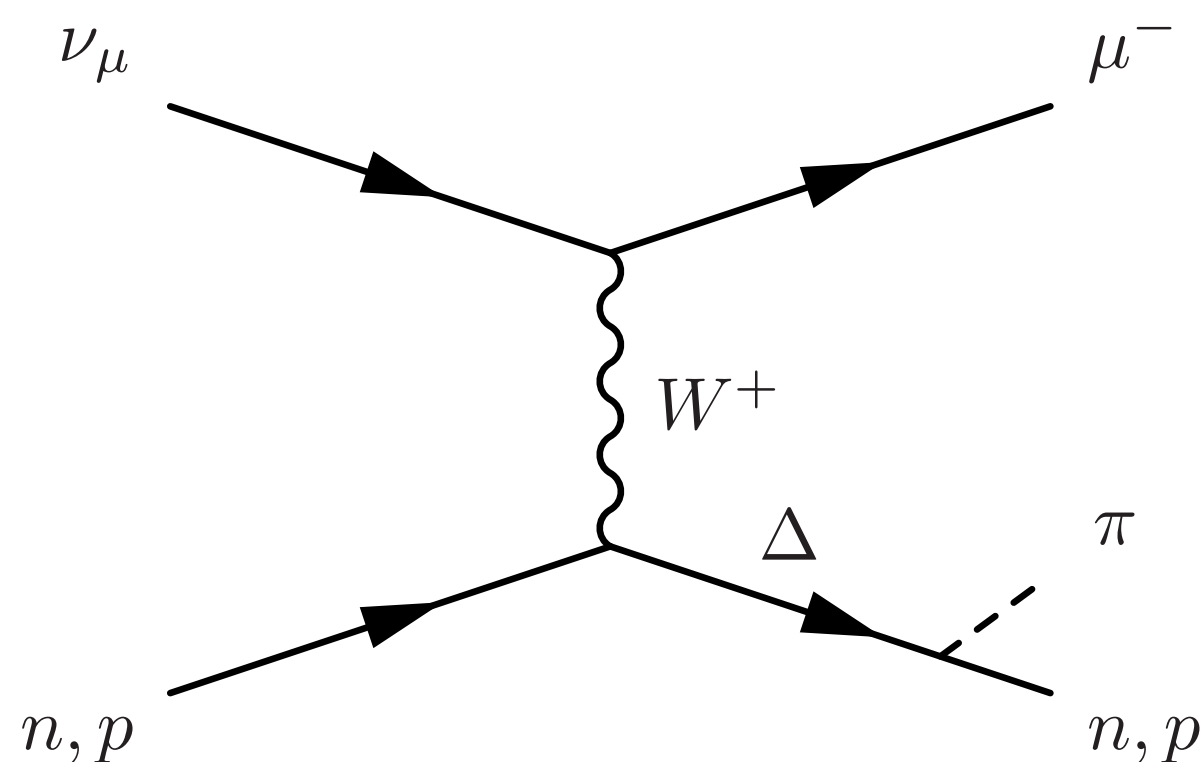
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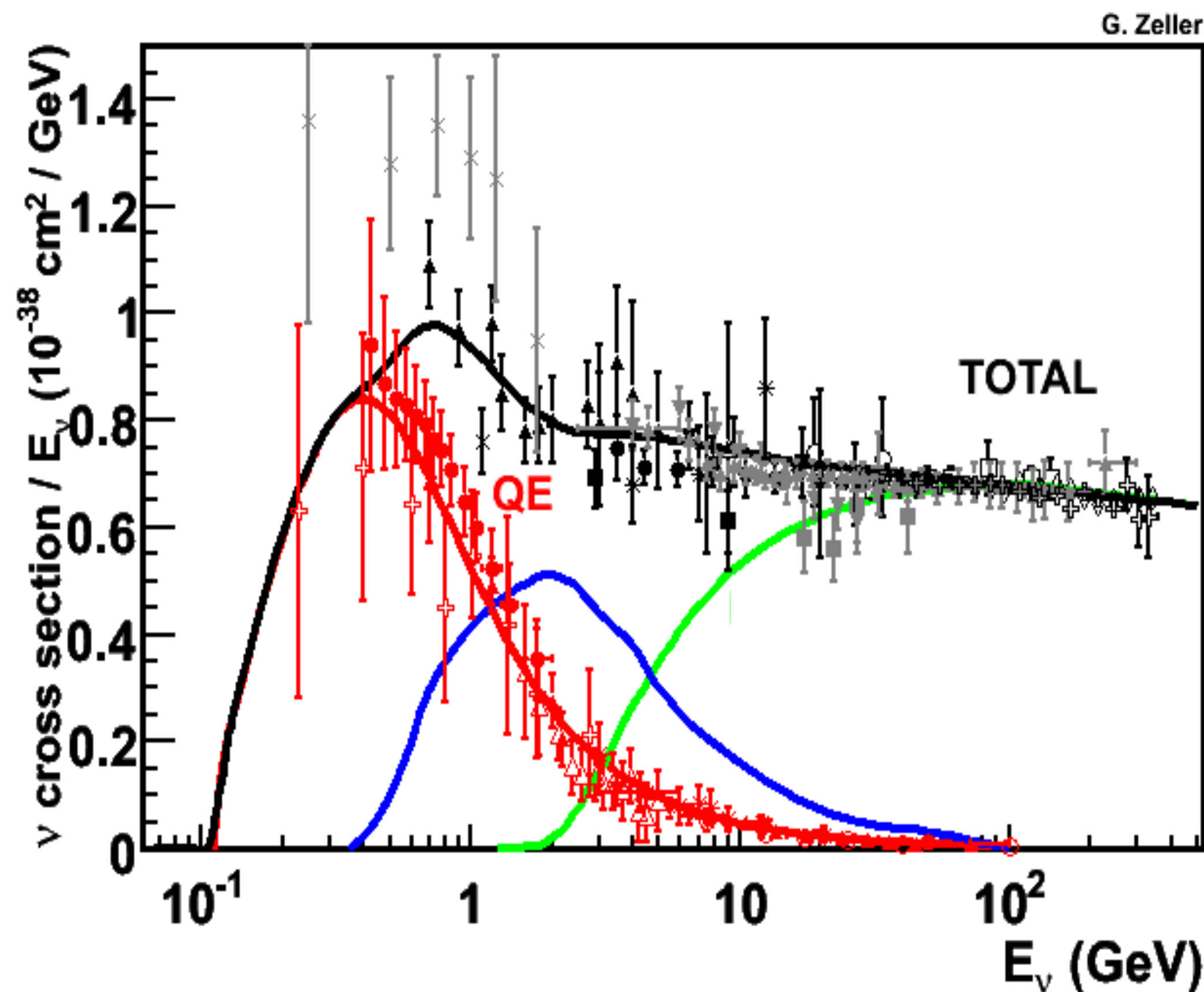
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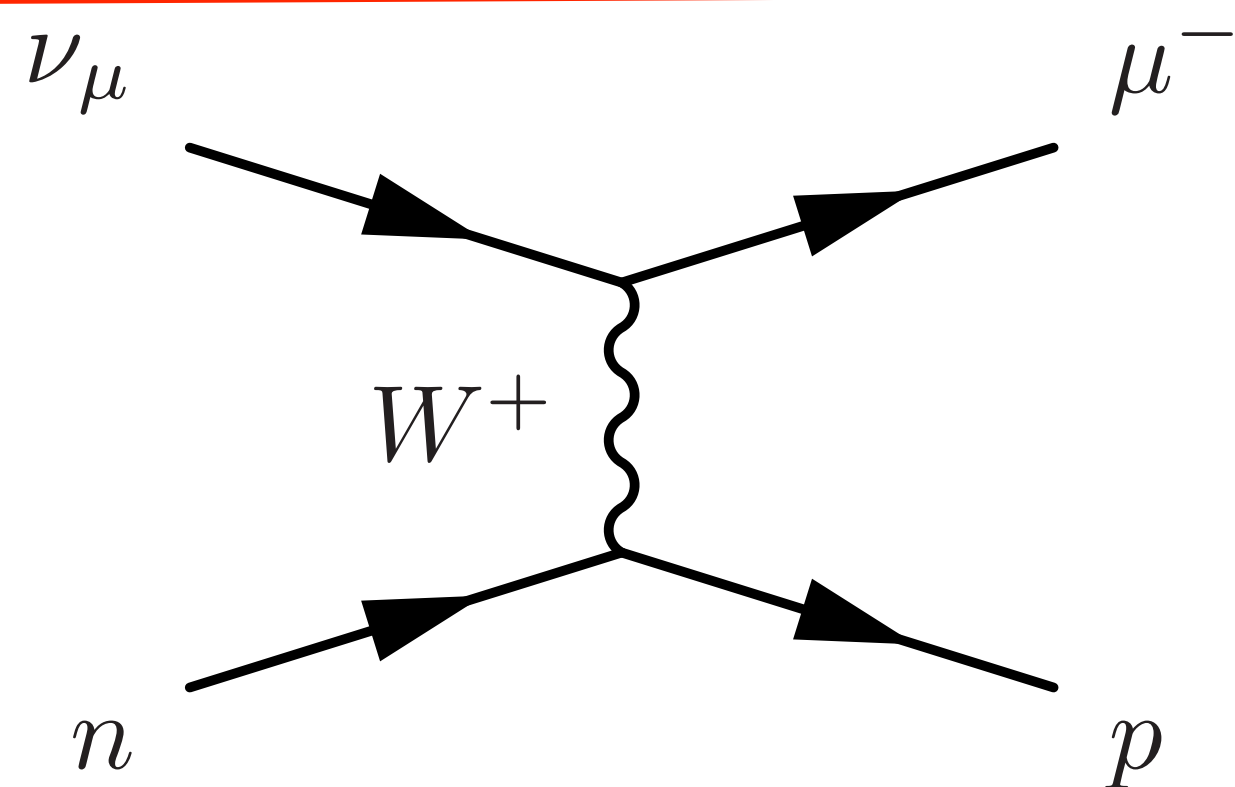


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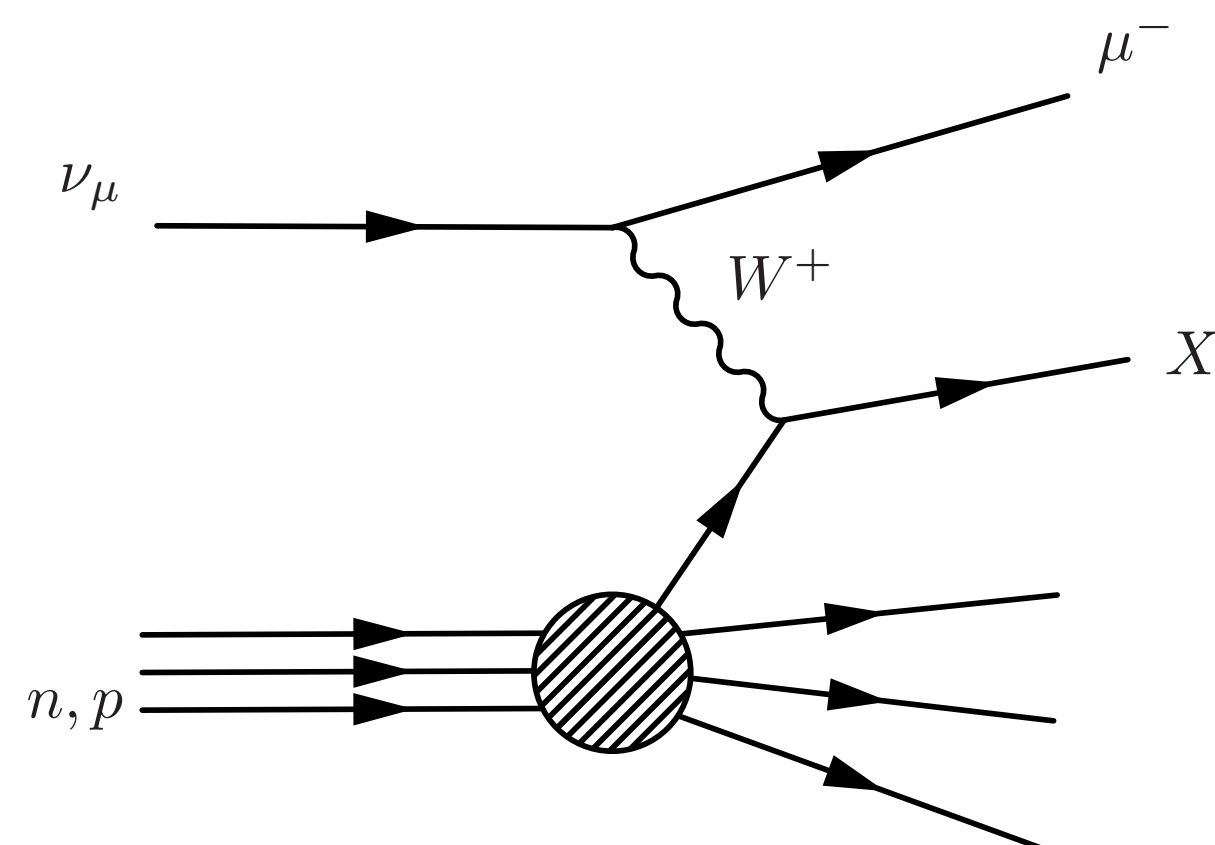


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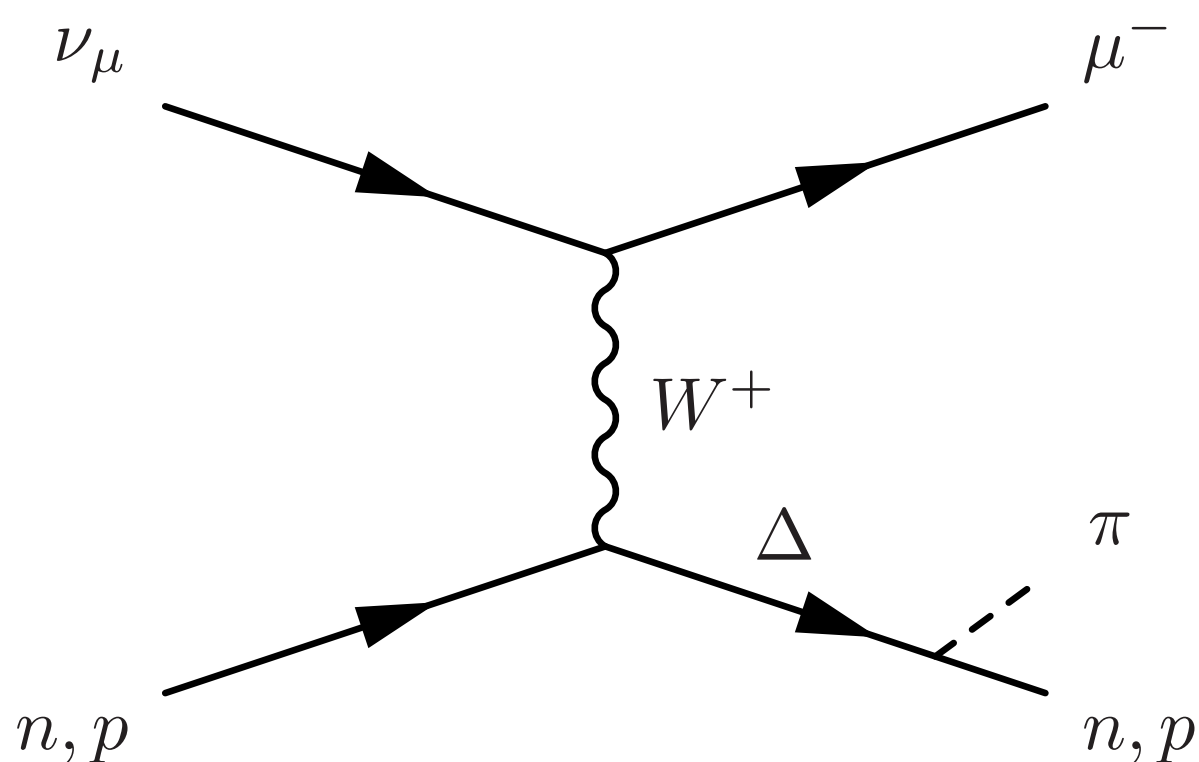
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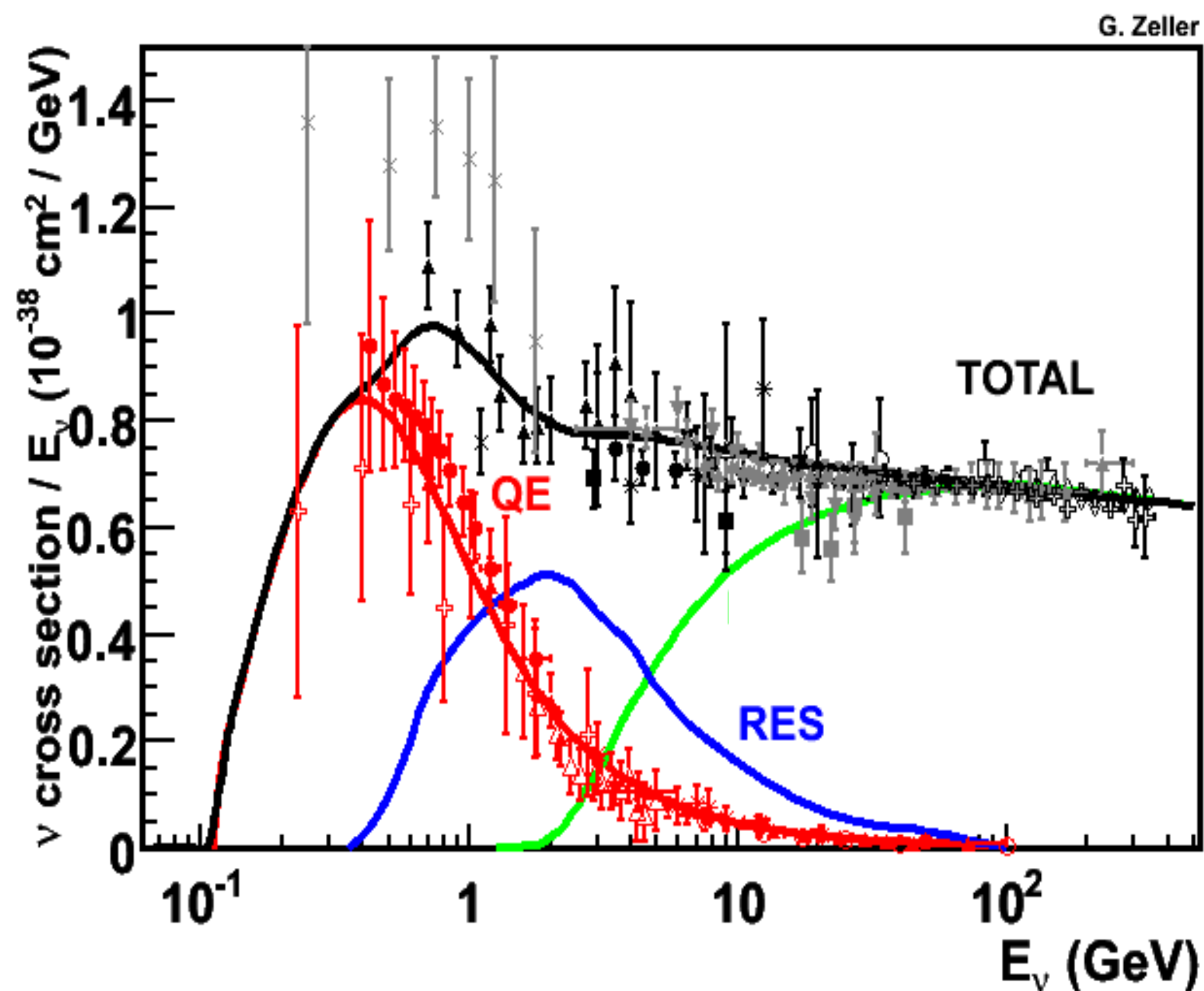
Deep inelastic scattering



Resonant pion production

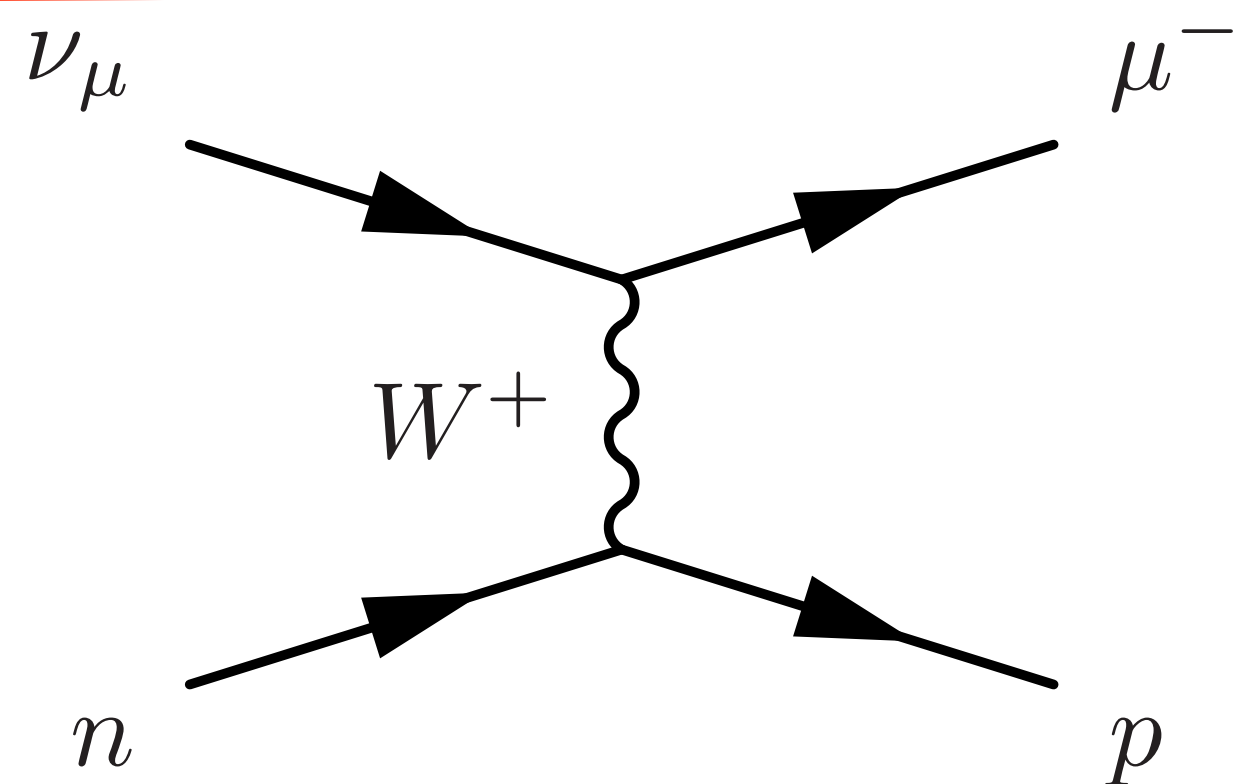


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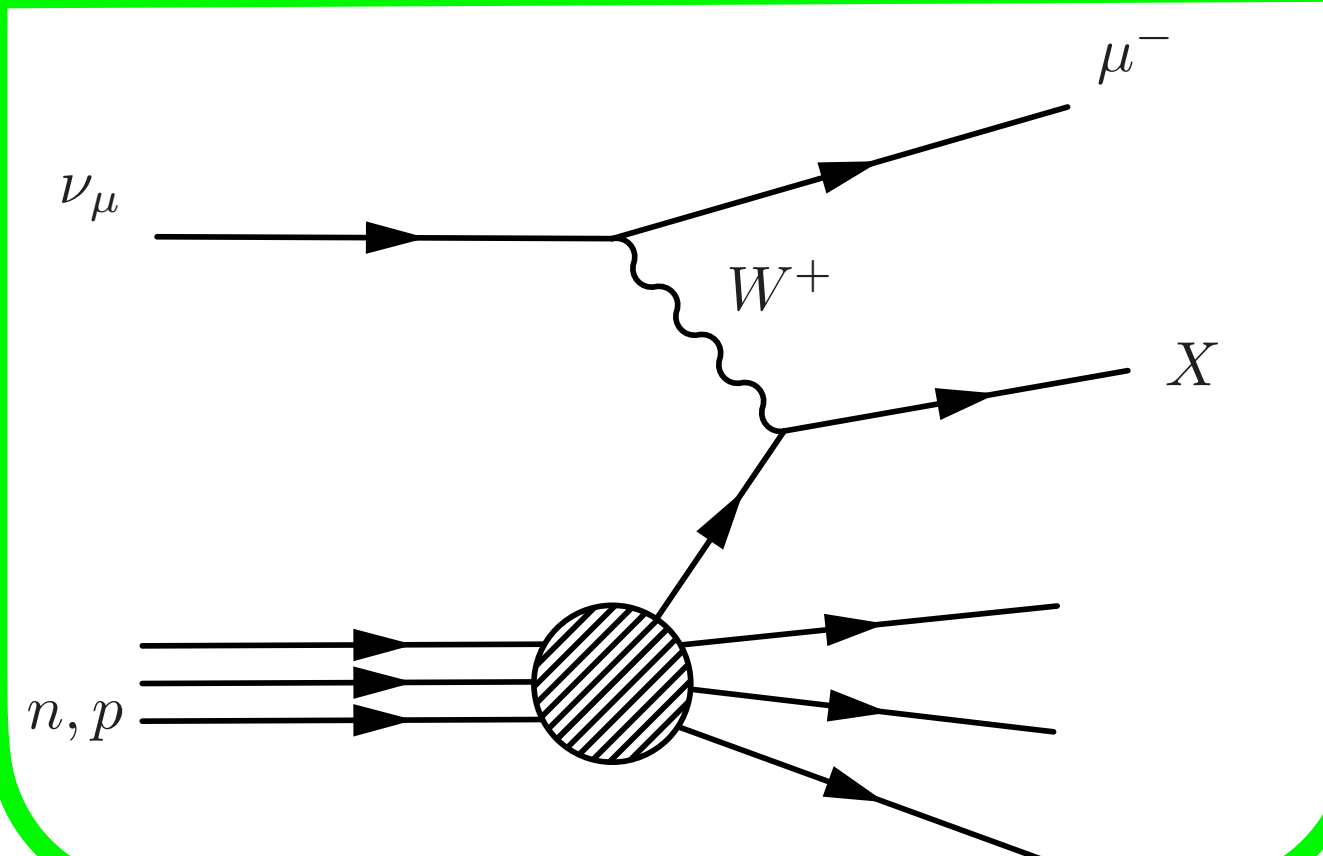


Three (charged-current) ways neutrinos interact with nucleons

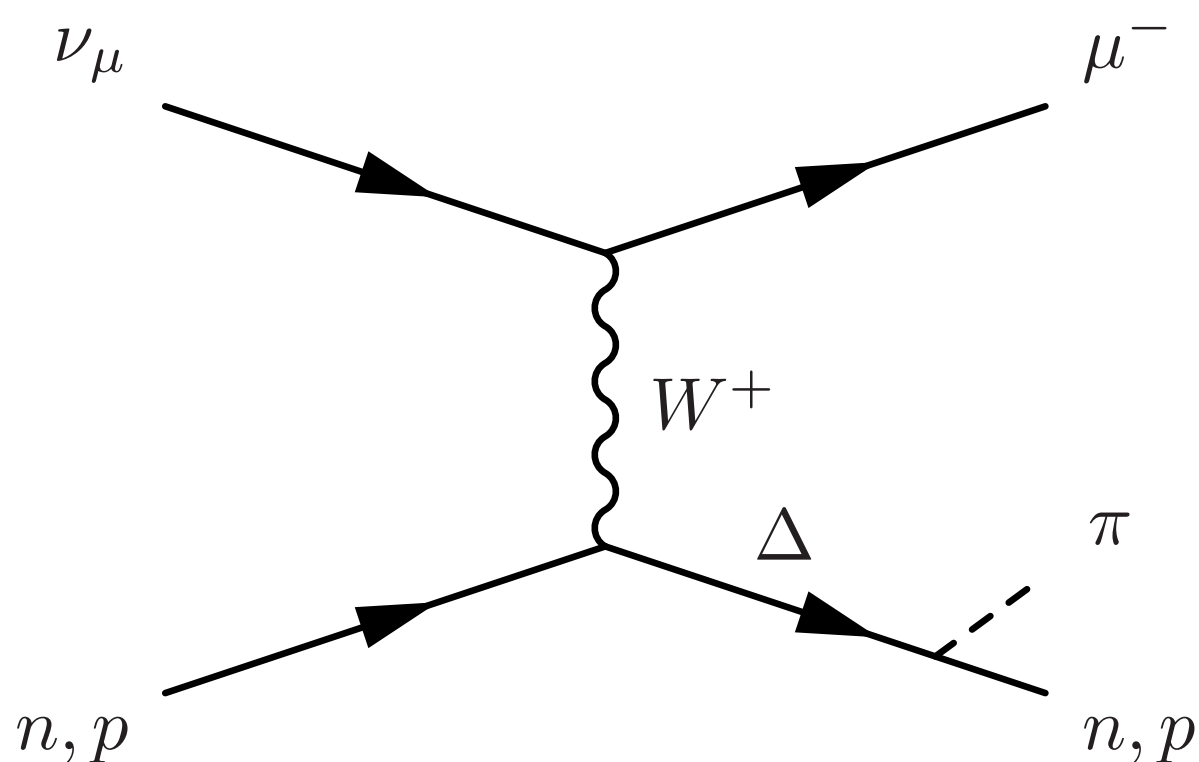
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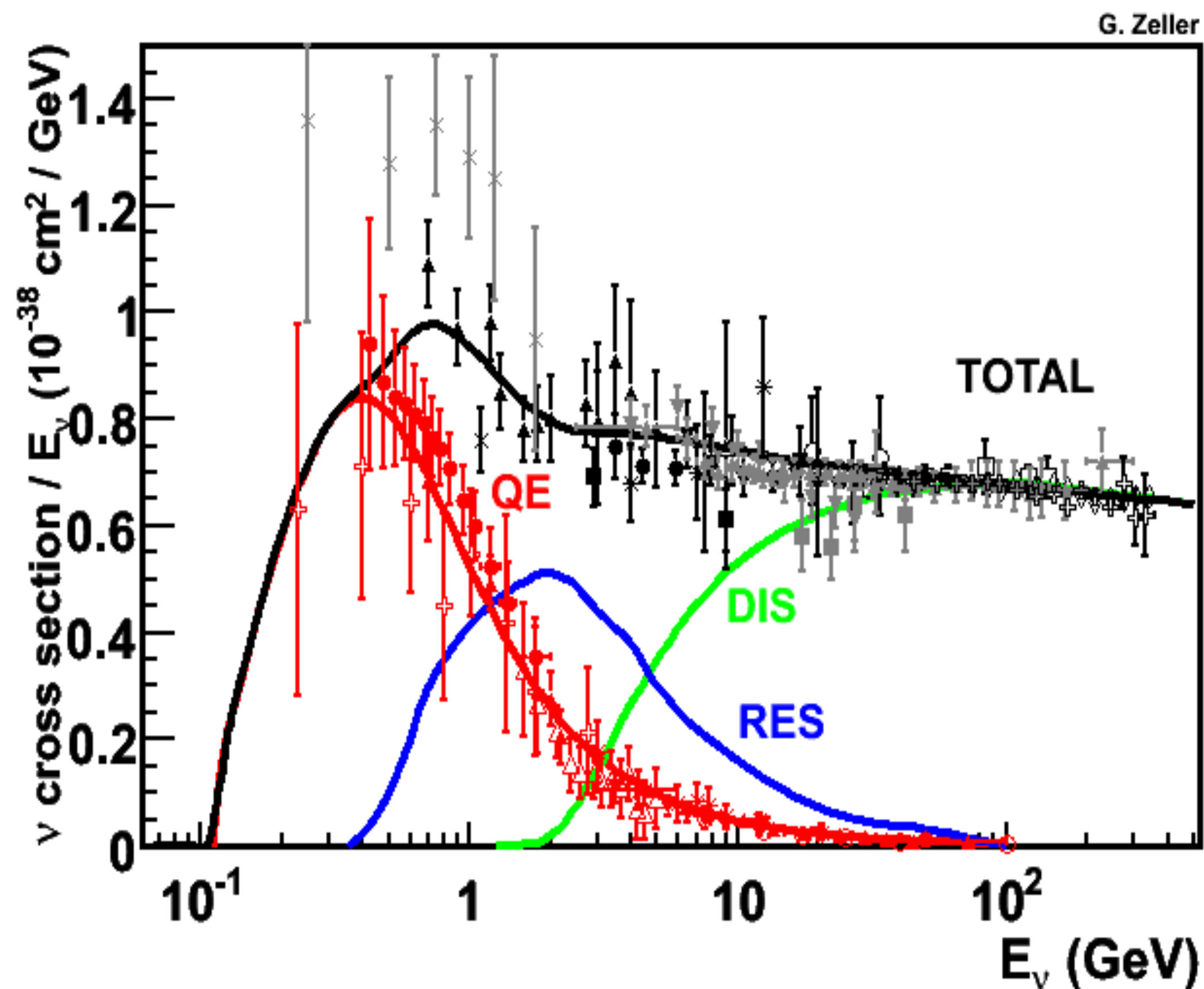
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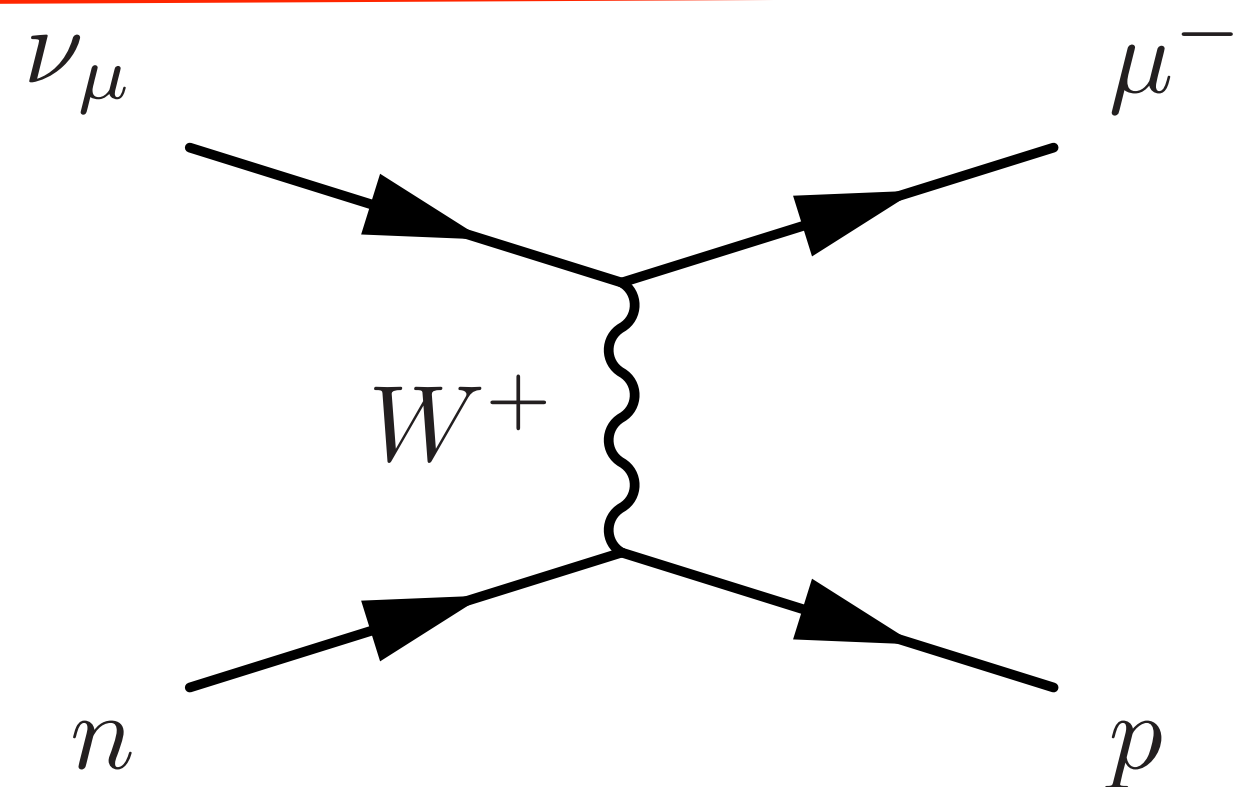


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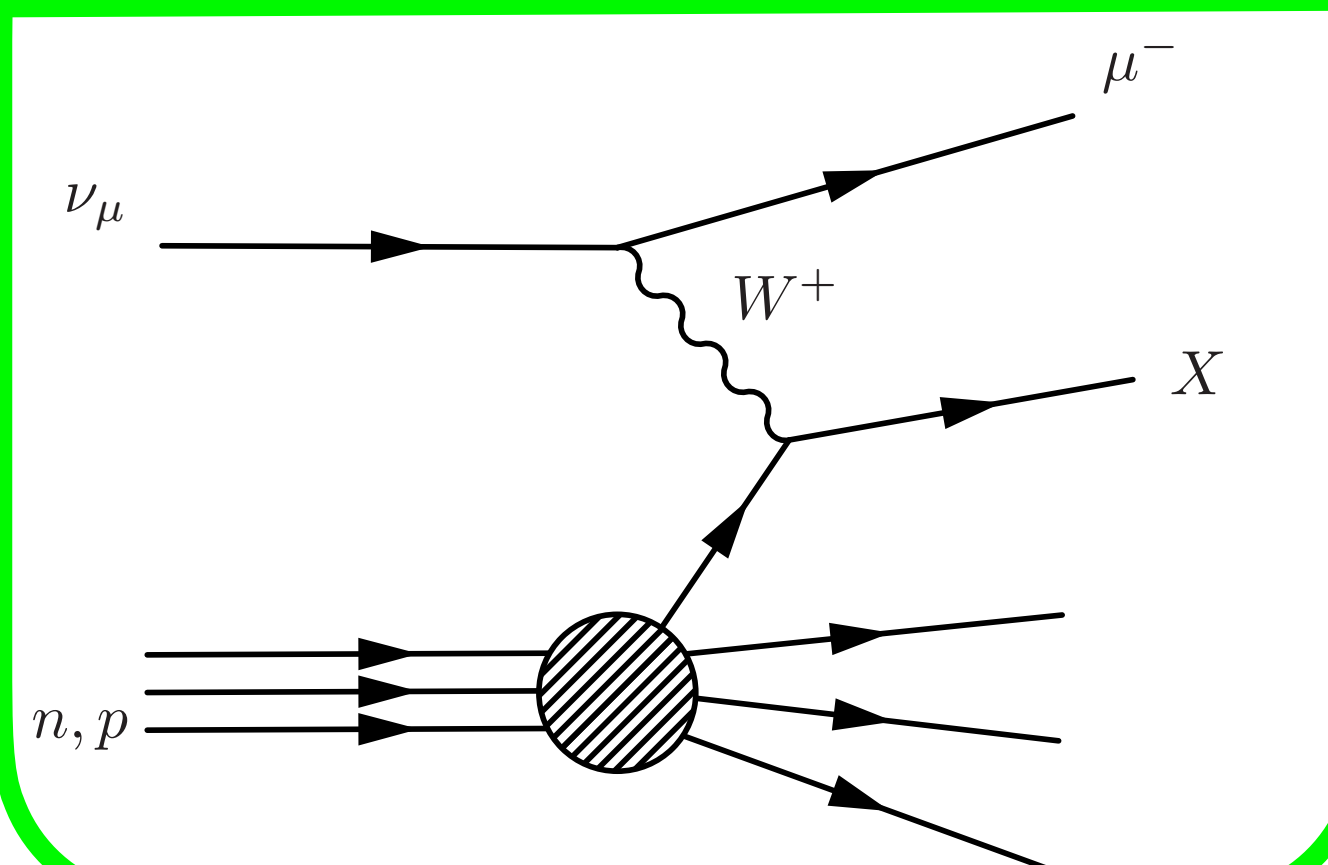


Three (charged-current) ways neutrinos interact with nucleons

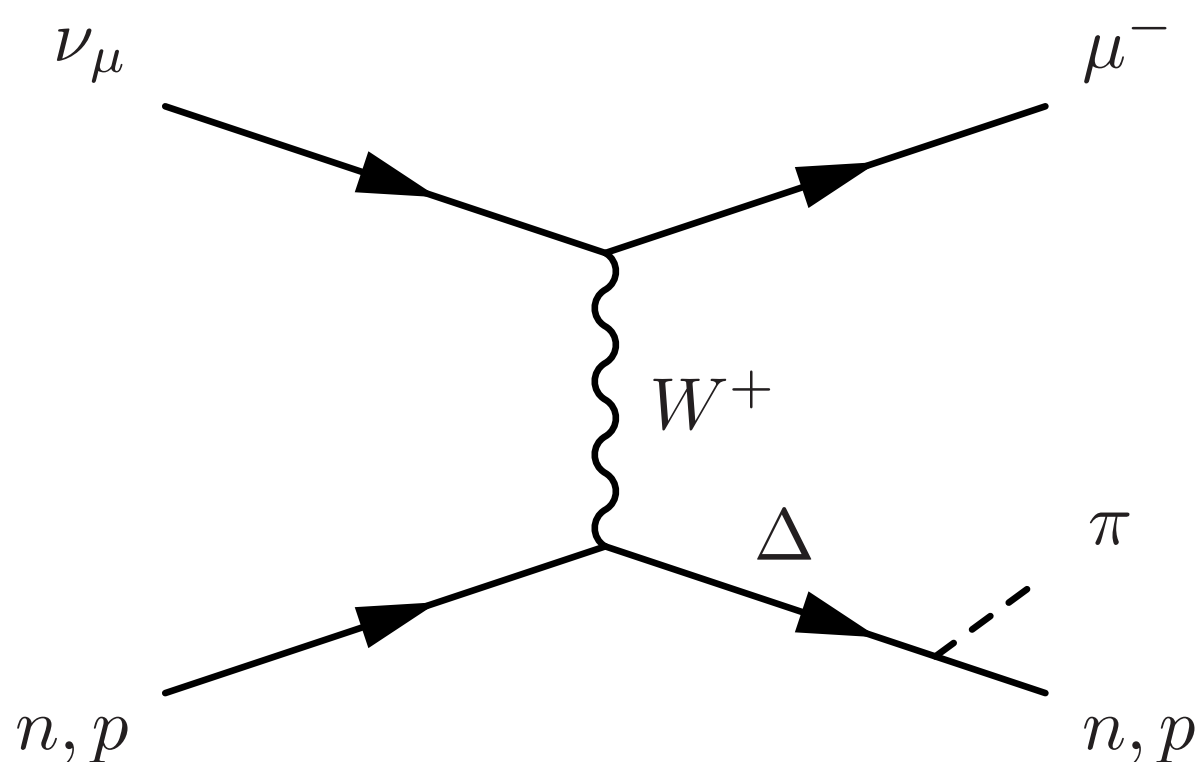
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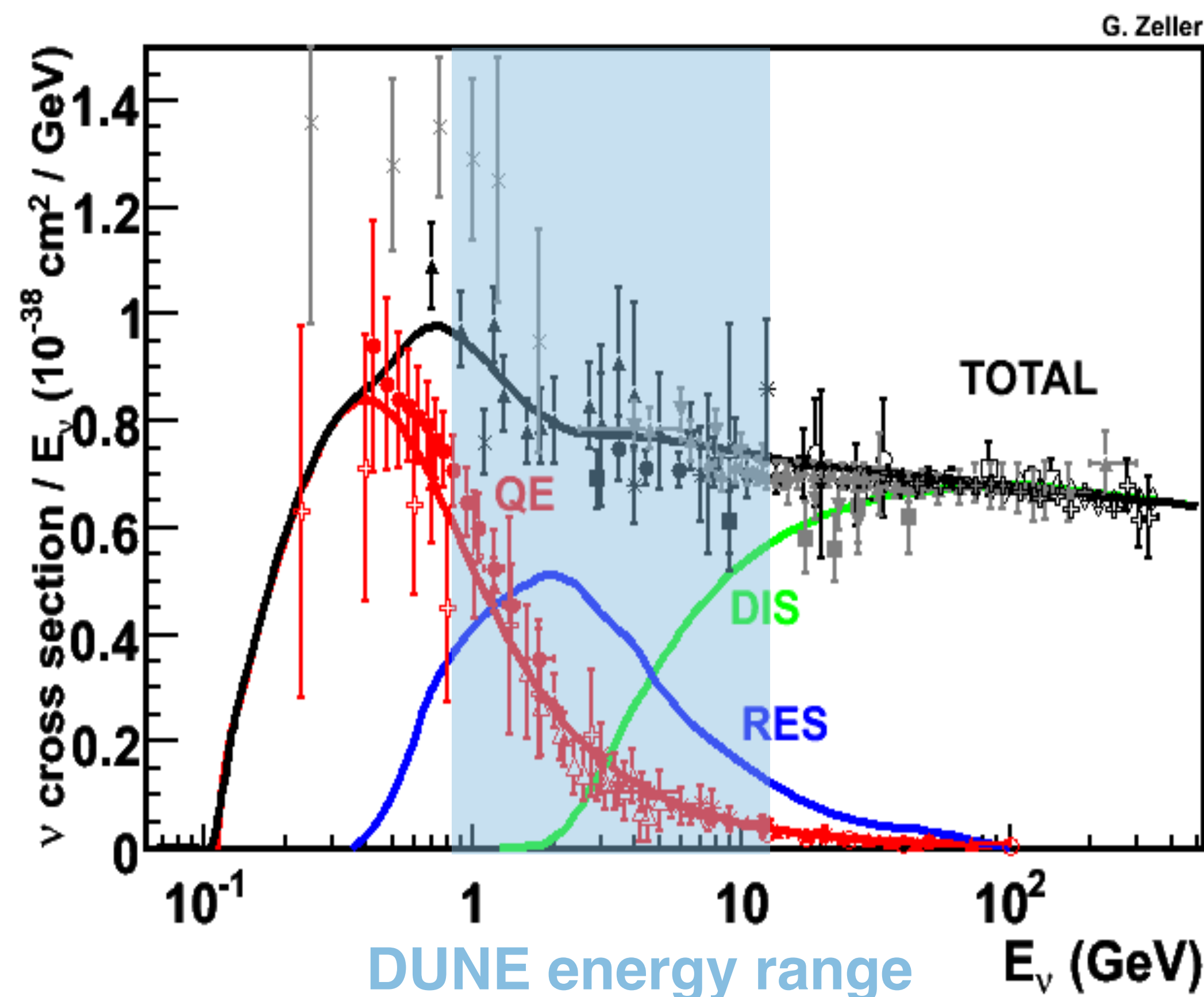
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Resonant pion production



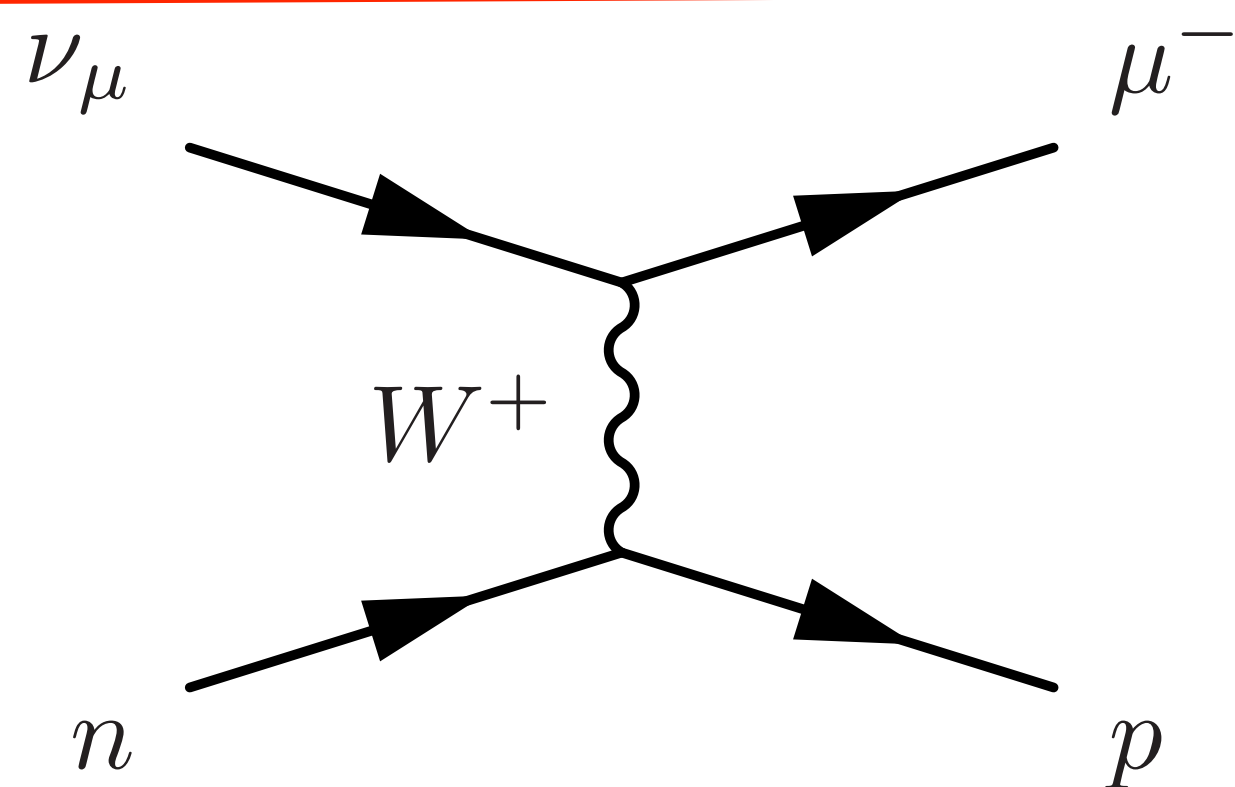
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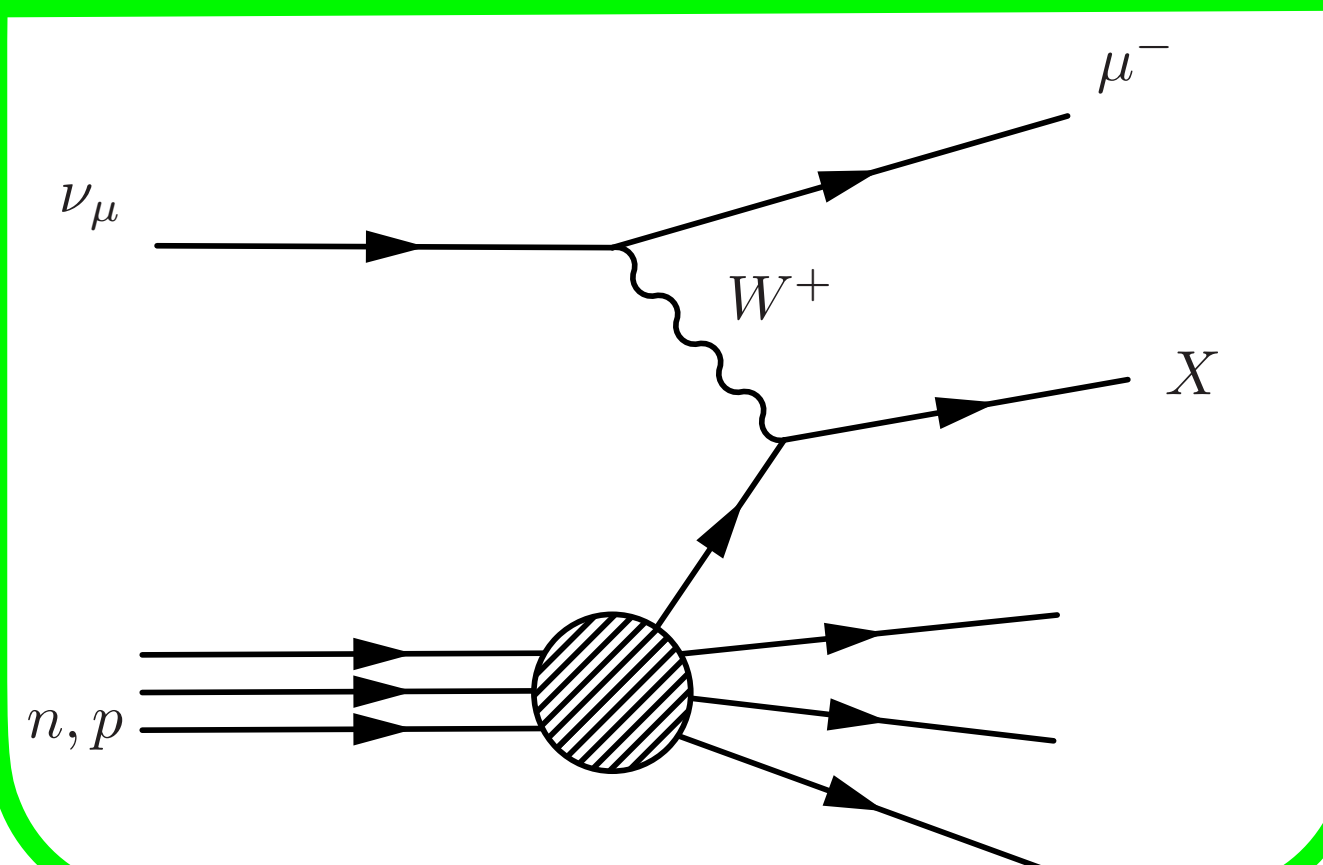
Remember... we don't know the neutrino energy

Three (charged-current) ways neutrinos interact with nucleons

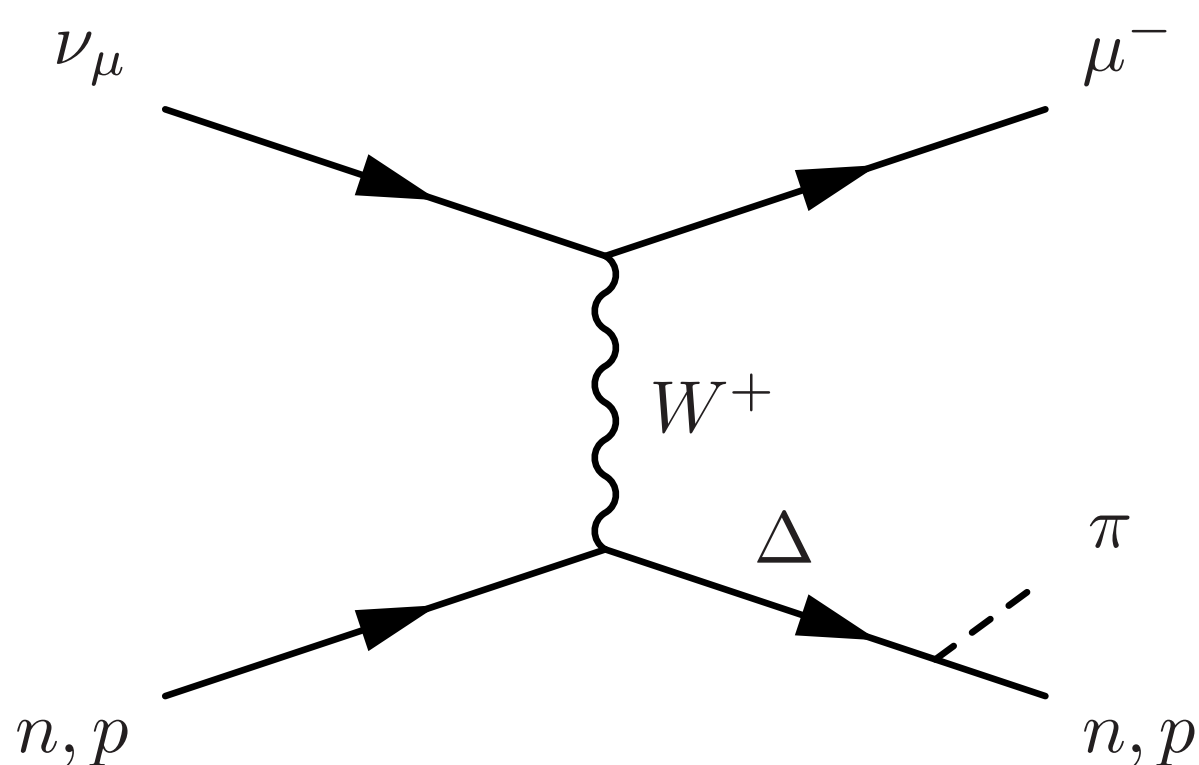
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Deep inelastic scattering

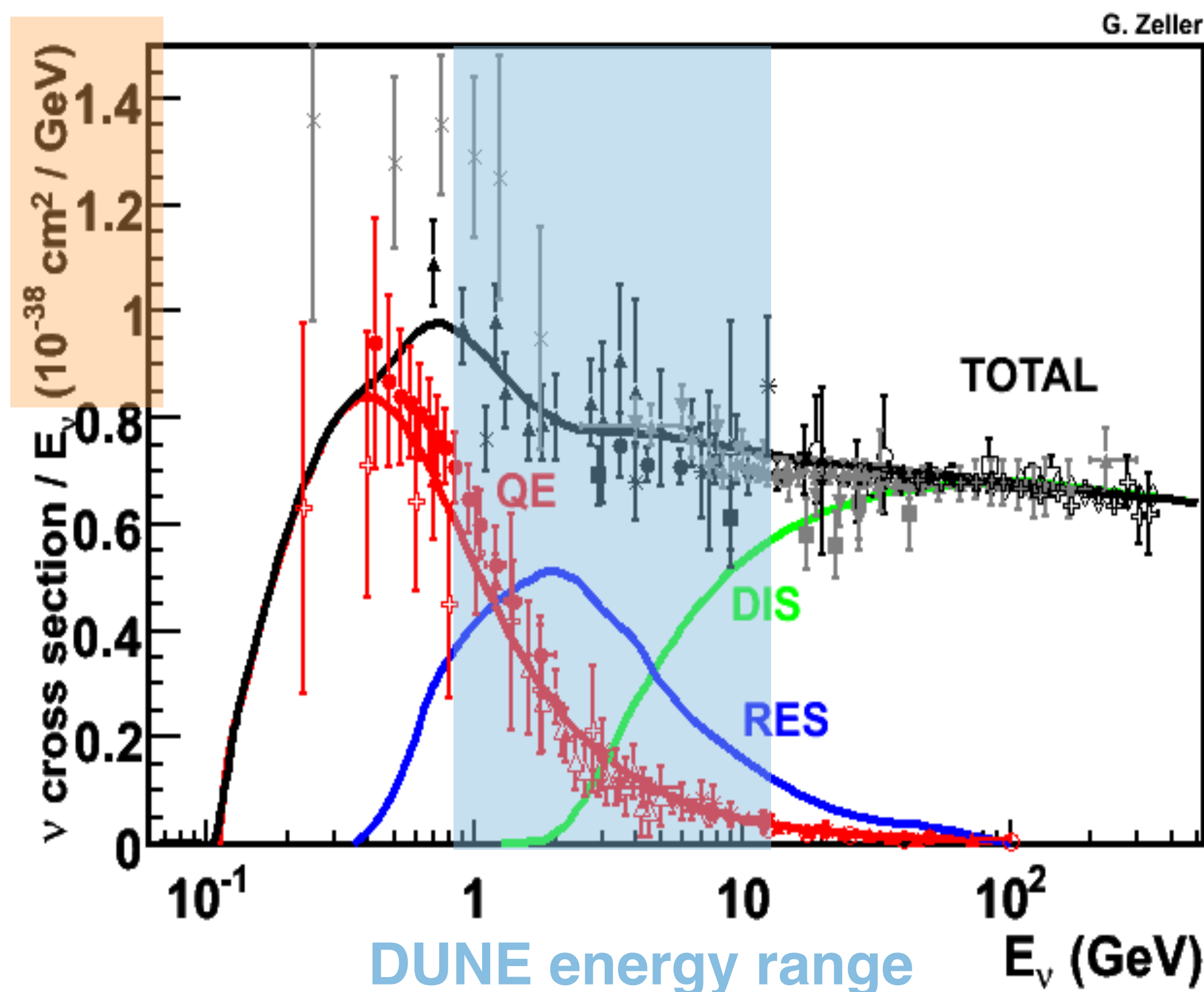


Resonant pion production



Different scattering modes happen at different energies. What do you think is happening in each of these? Which do you think correspond to the red, blue, and green lines on the plot? Hint - why does each curve have a low cut-off?

These cross-sections are very small!

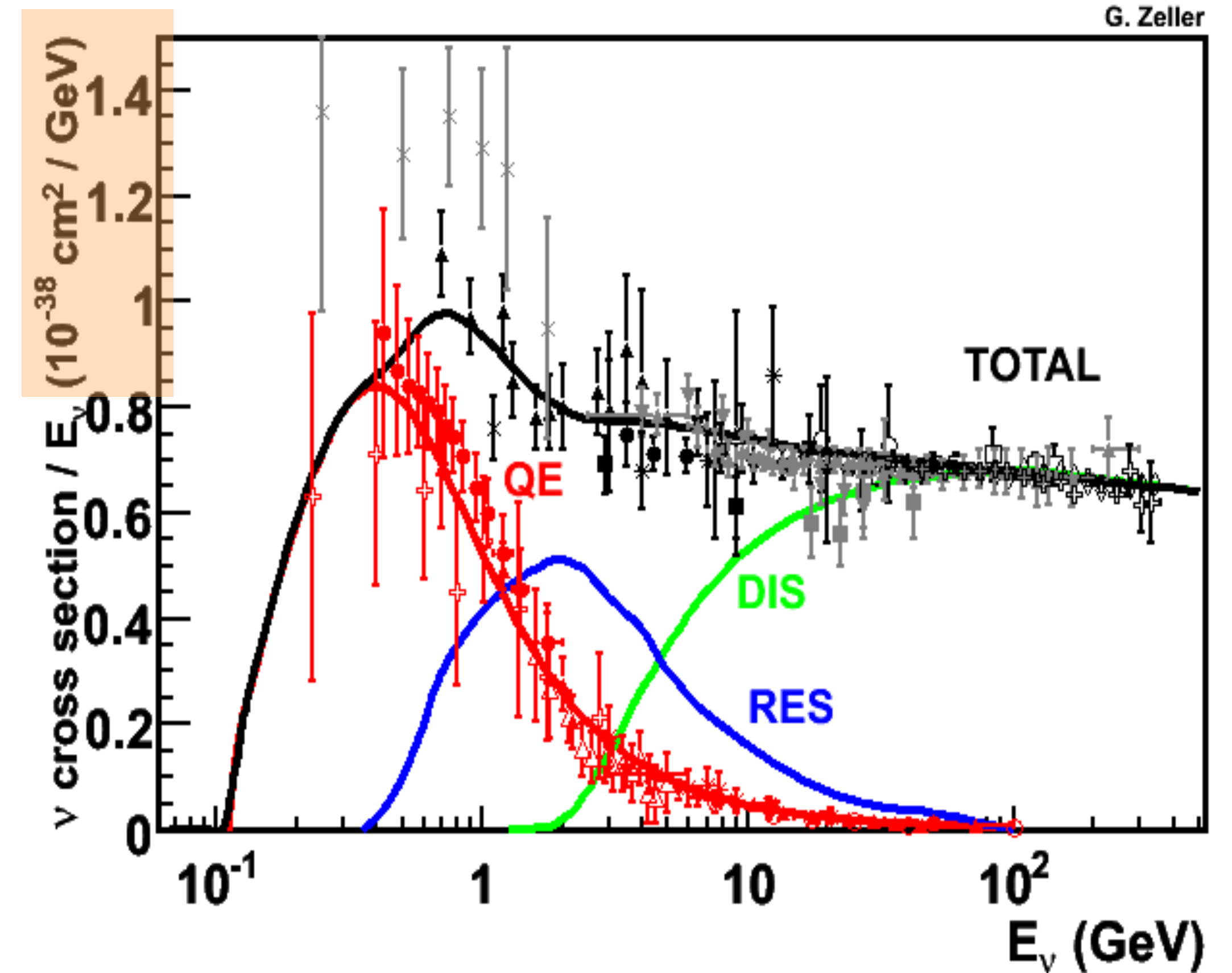


Remember... we don't know the neutrino energy

Interlude - cross sections

The **cross section** represents the probability that an interaction will occur...

...but it has units of **area** - why?



Interlude - cross sections

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Interlude - cross sections

The **cross section** represents the probability that an interaction will occur...

...but it has units of **area** - why?

To the **dog**, this whole area is impassable

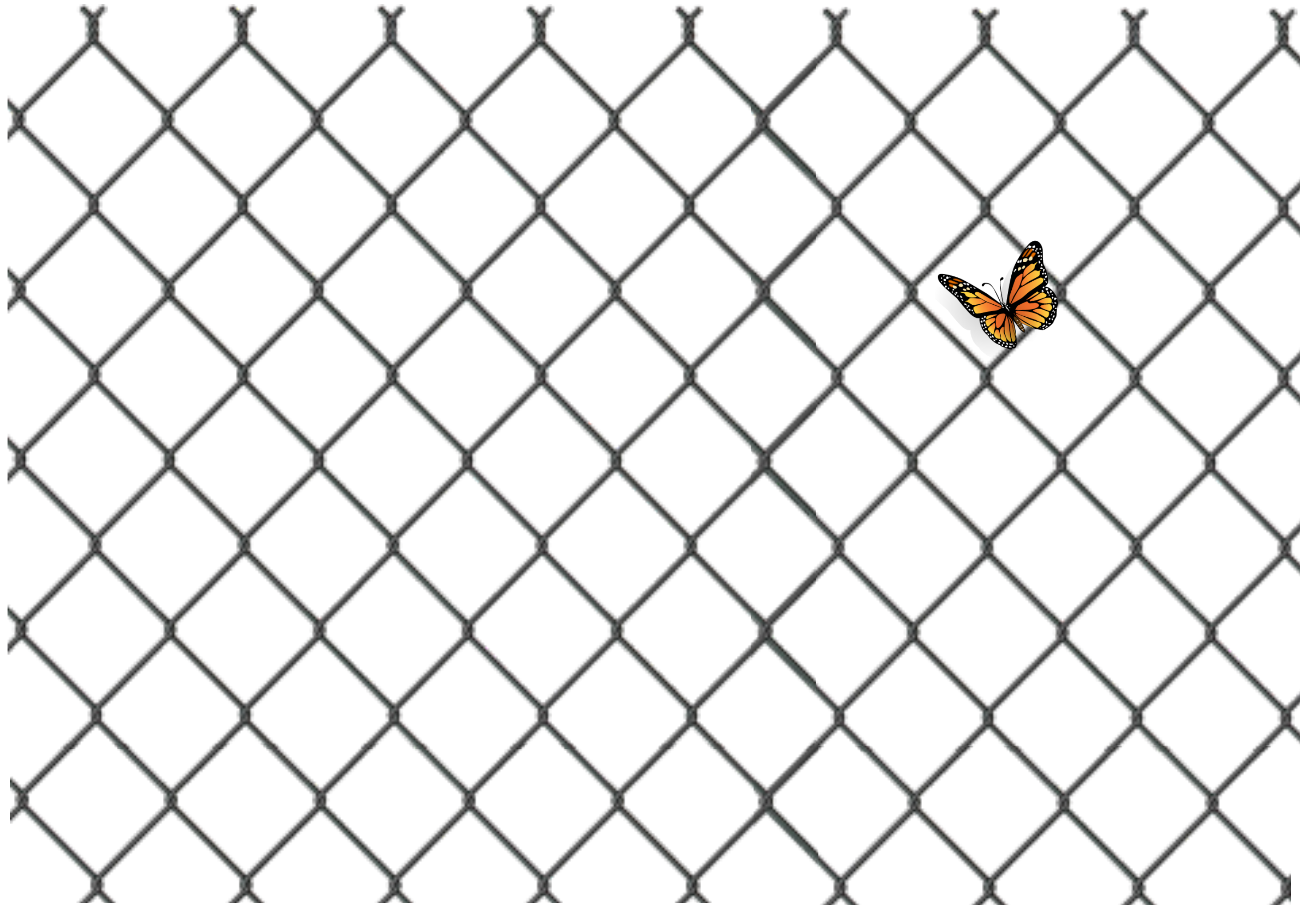


Interlude - cross sections

The **cross section** represents the probability that an interaction will occur...

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To the **dog**, this whole area is impassable



Interlude - cross sections

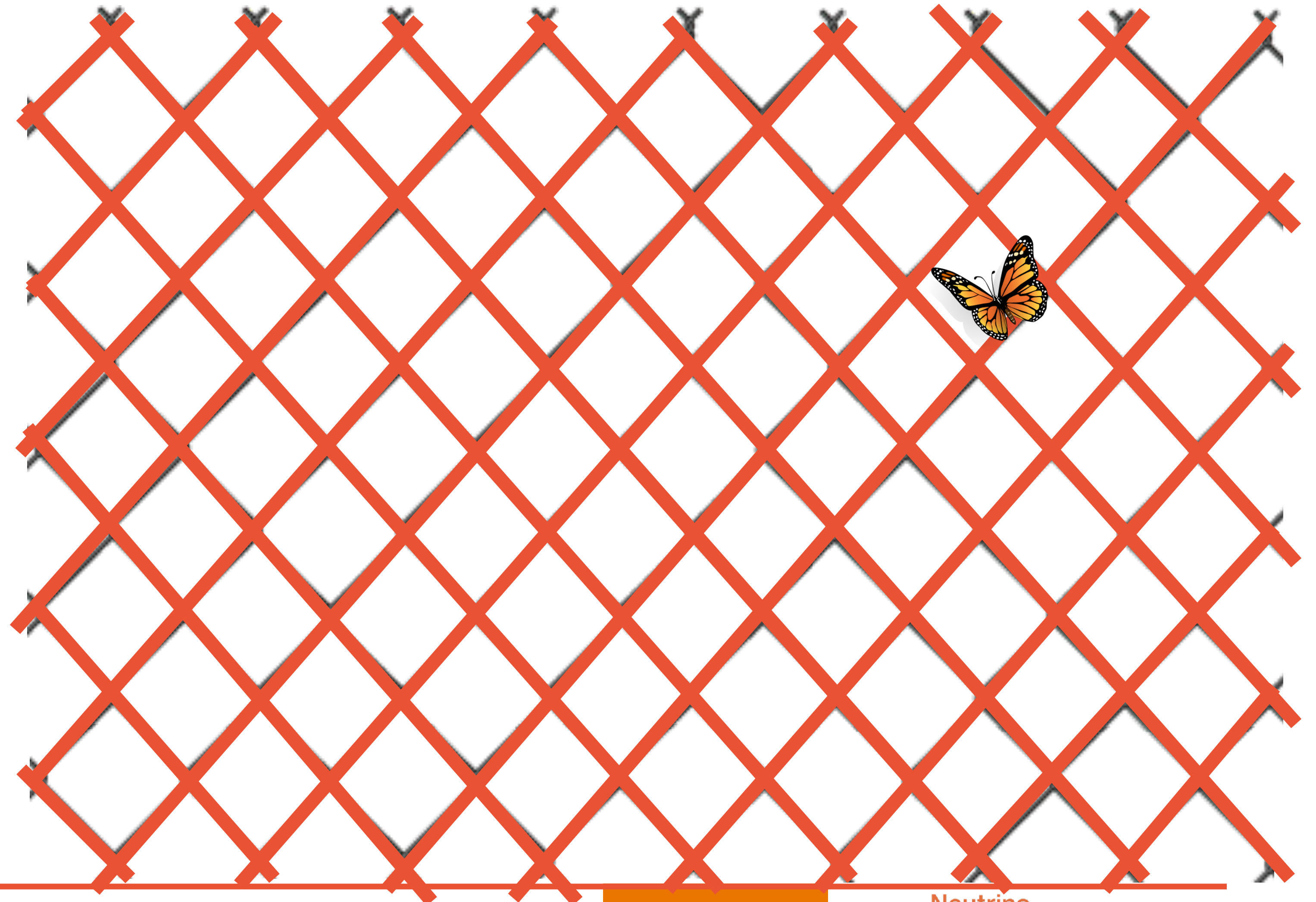
The **cross section** represents the probability that an interaction will occur...

...but it has units of **area** - why?

To the **dog**, this whole area is impassable

The **butterfly** is only stopped if it hits a wire

It's as if they see different areas

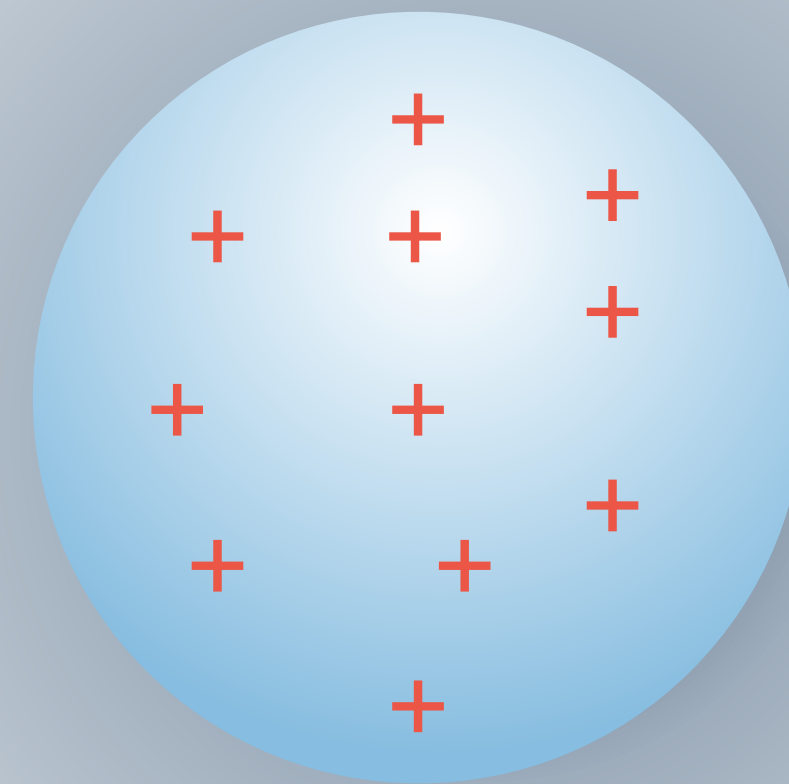


Interlude - cross sections

The **cross section** represents the probability that an interaction will occur...

...but it has units of **area** - why?

Now think of a positively-charged ball



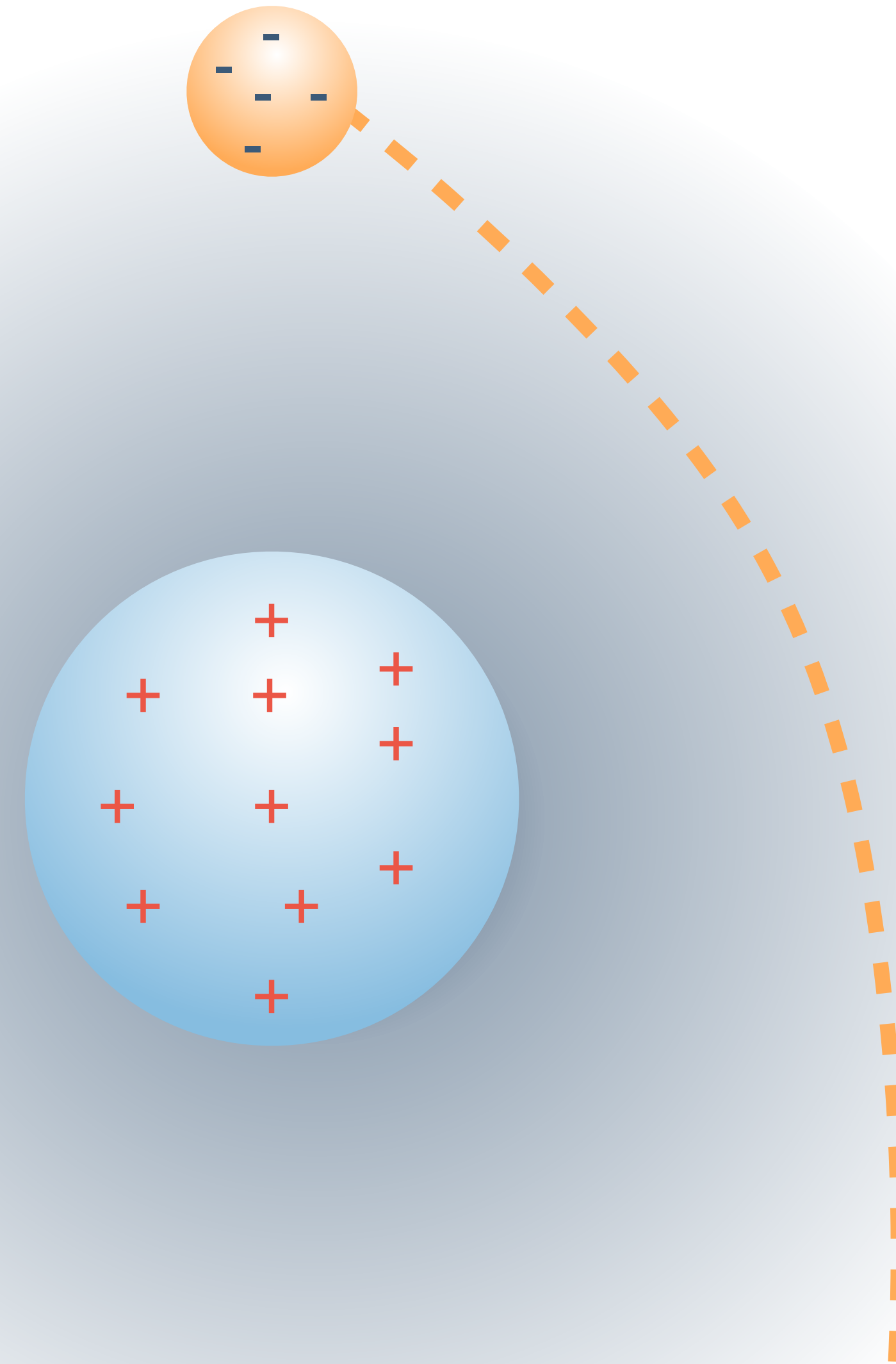
Interlude - cross sections

The **cross section** represents the probability that an interaction will occur...

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Now think of a positively-charged ball

A **negative ball** launched some way from it is deflected



Interlude - cross sections

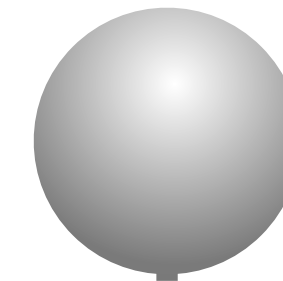
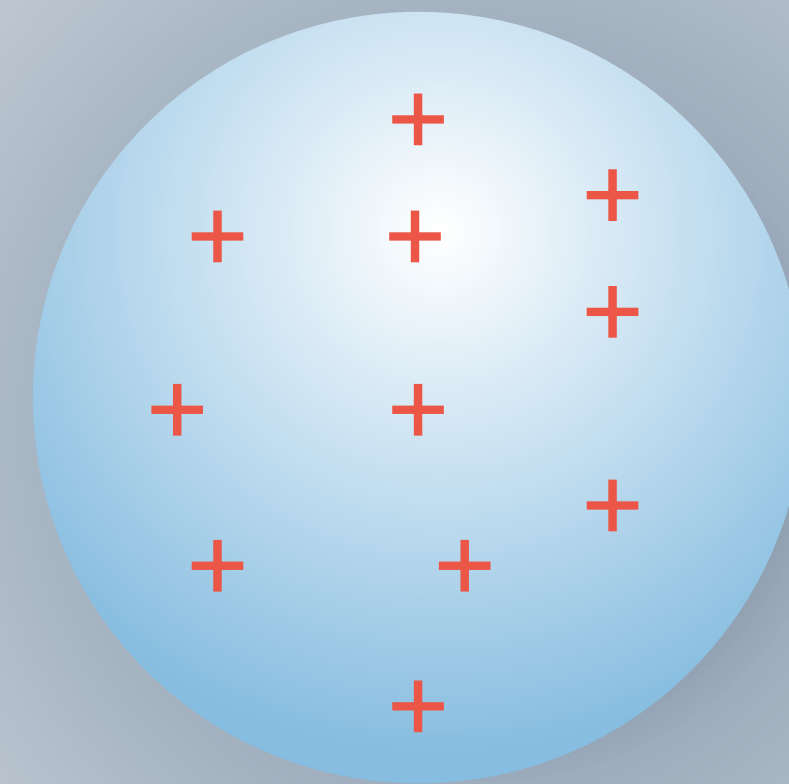
The **cross section** represents the probability that an interaction will occur...

...but it has units of **area** - why?

Now think of a positively-charged ball

A **negative ball** launched some way from it is deflected

A **neutral ball** is unaffected...



Interlude - cross sections

The **cross section** represents the probability that an interaction will occur...

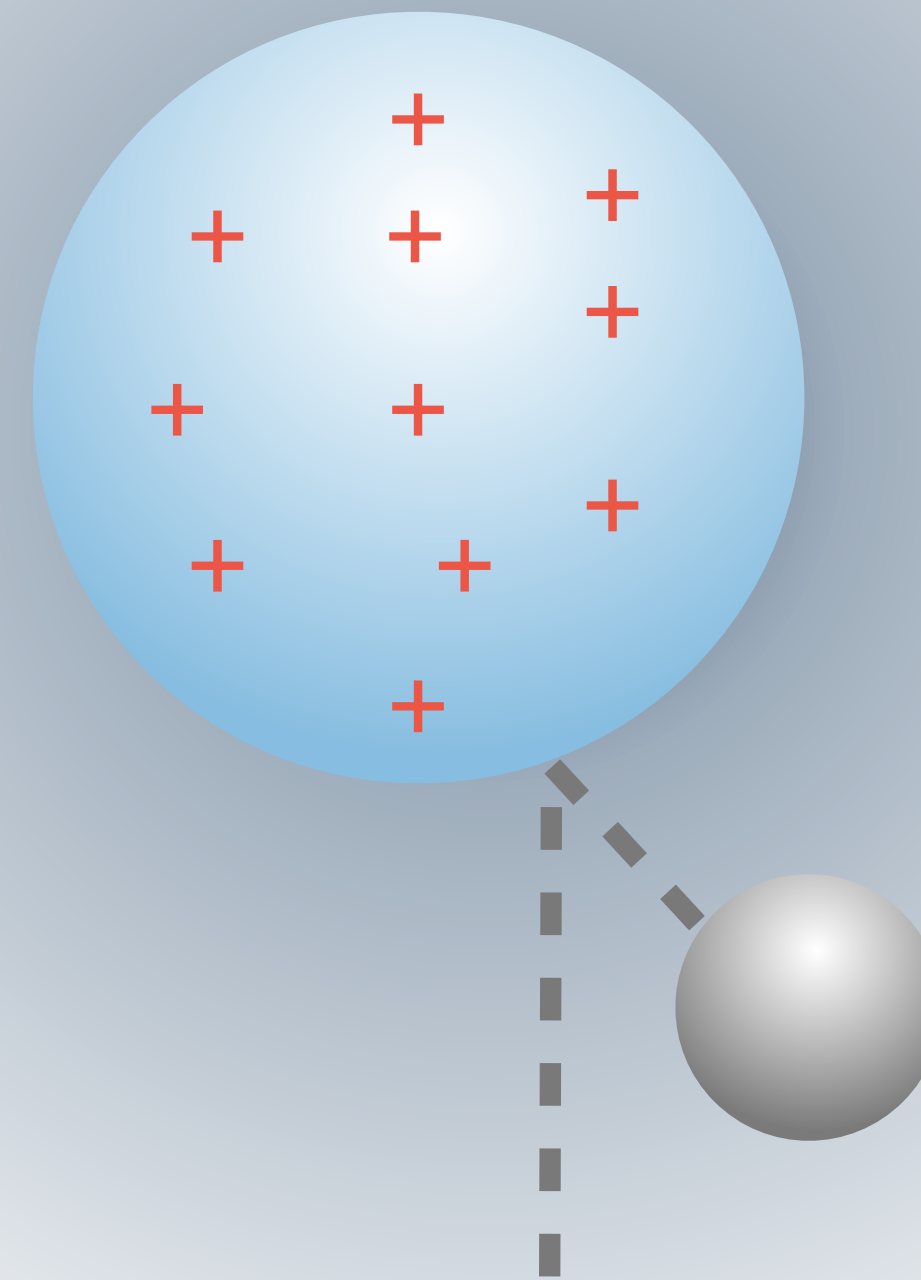
...but it has units of **area** - why?

Now think of a positively-charged ball

A **negative ball** launched some way from it is deflected

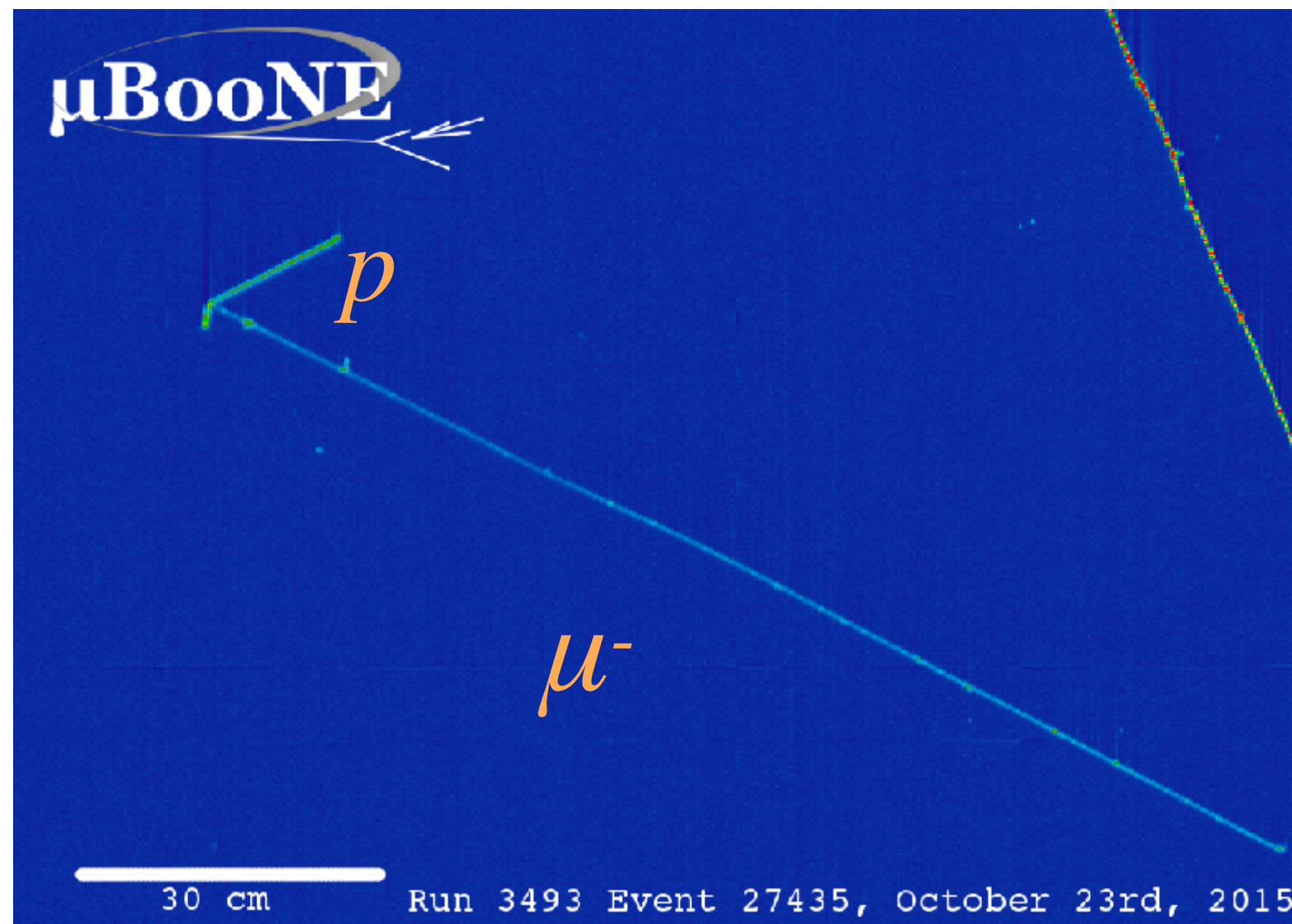
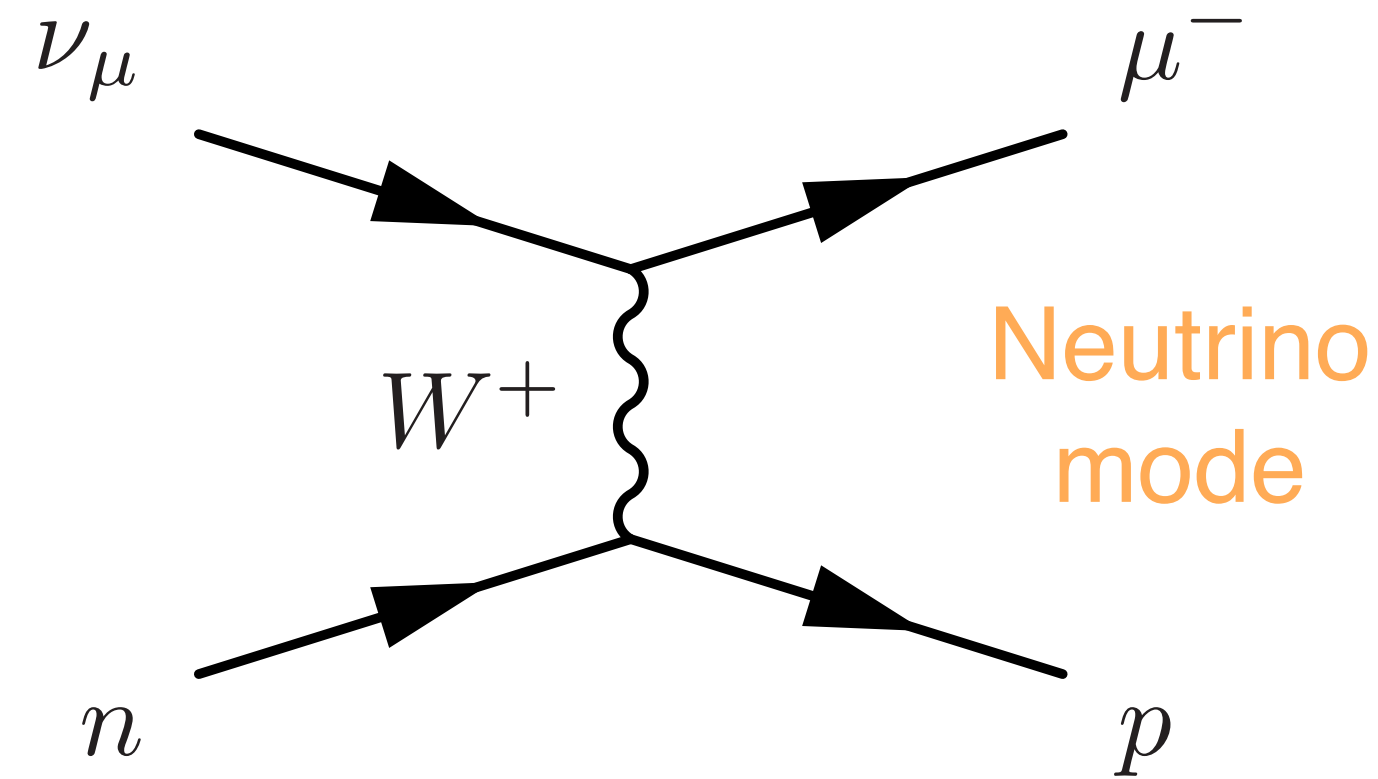
A **neutral ball** is unaffected...
... unless it scores a direct hit

It's as if they see different areas



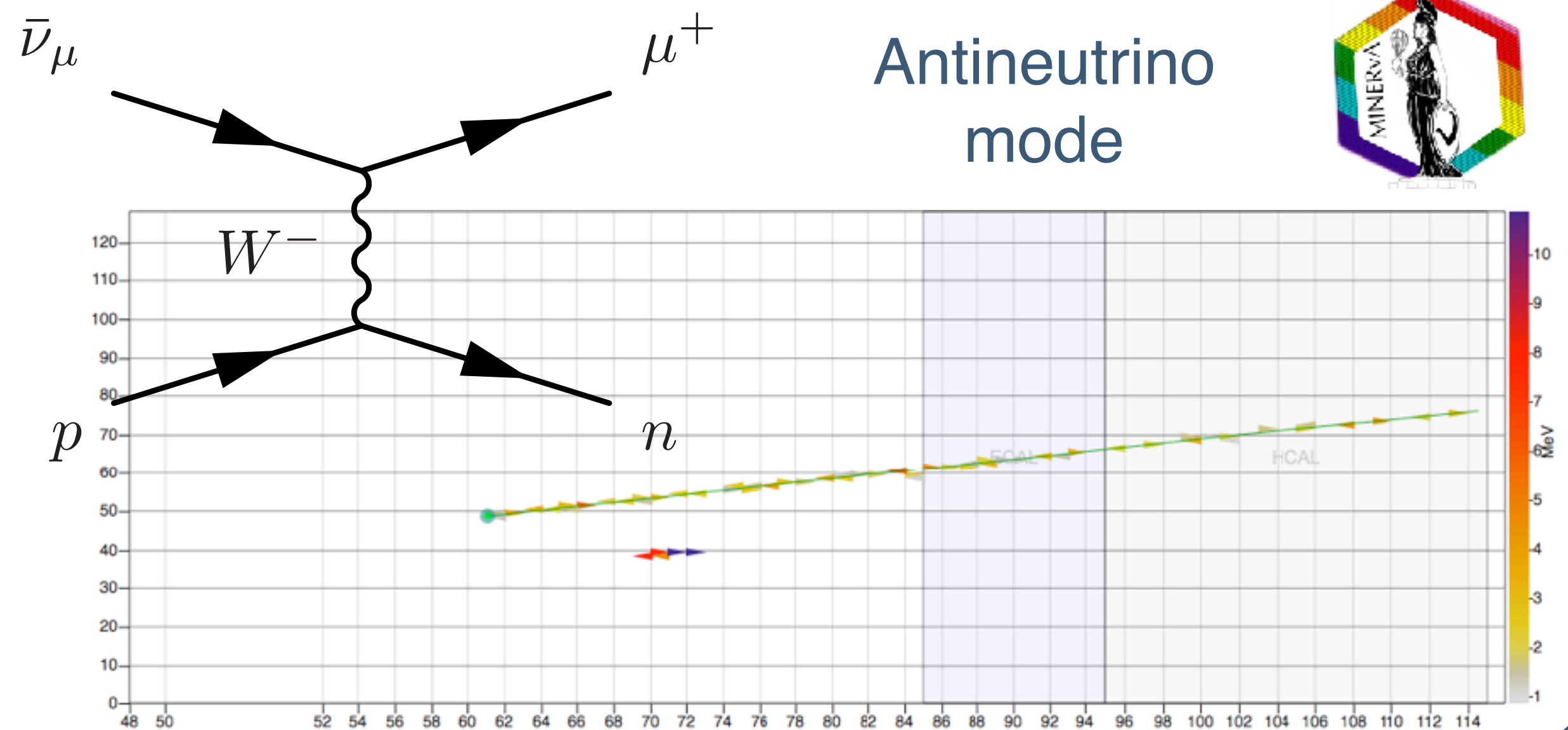
Neutrinos have very small cross sections because they only interact via the weak force

Charged-current quasi-elastic scattering - the “golden channel”

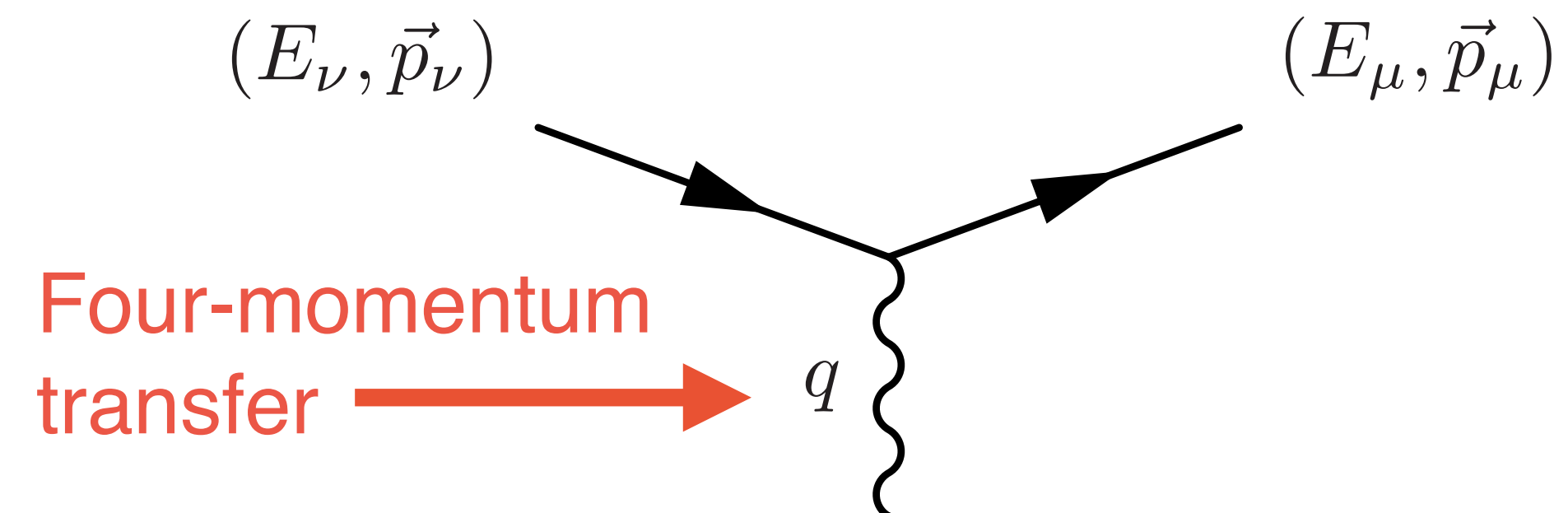


Simple final state - just a muon and a nucleon

Conserve energy and momentum:
calculate Q^2 and E_ν just from muon kinematics



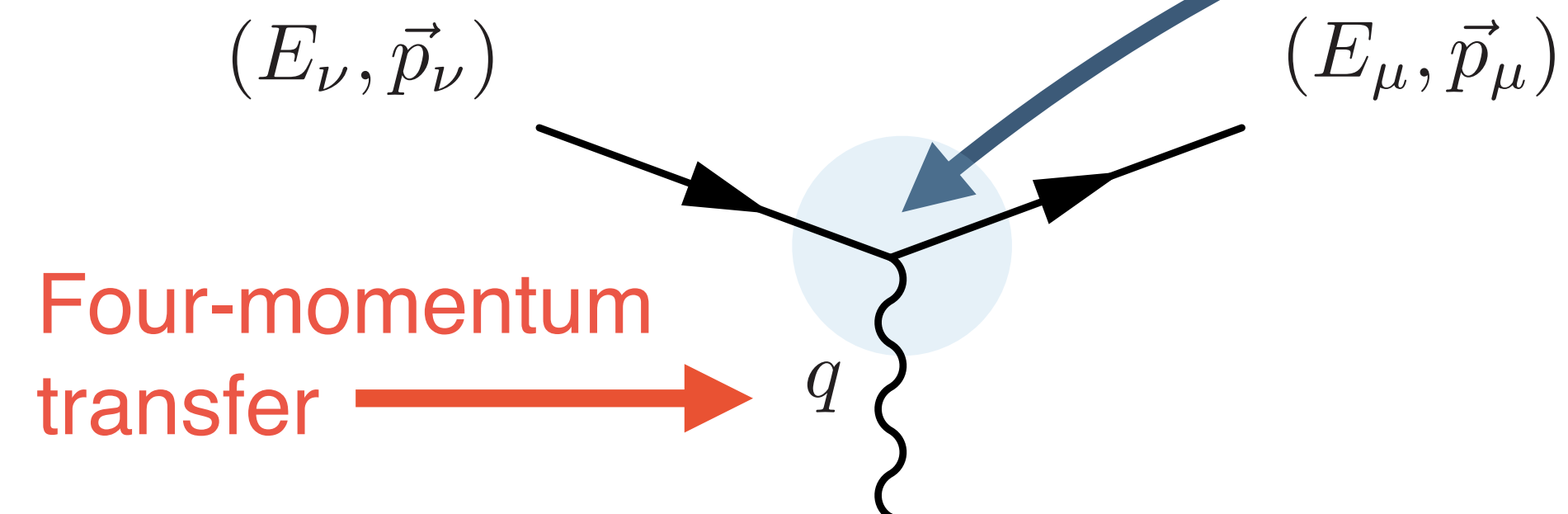
Interlude: introducing Q^2



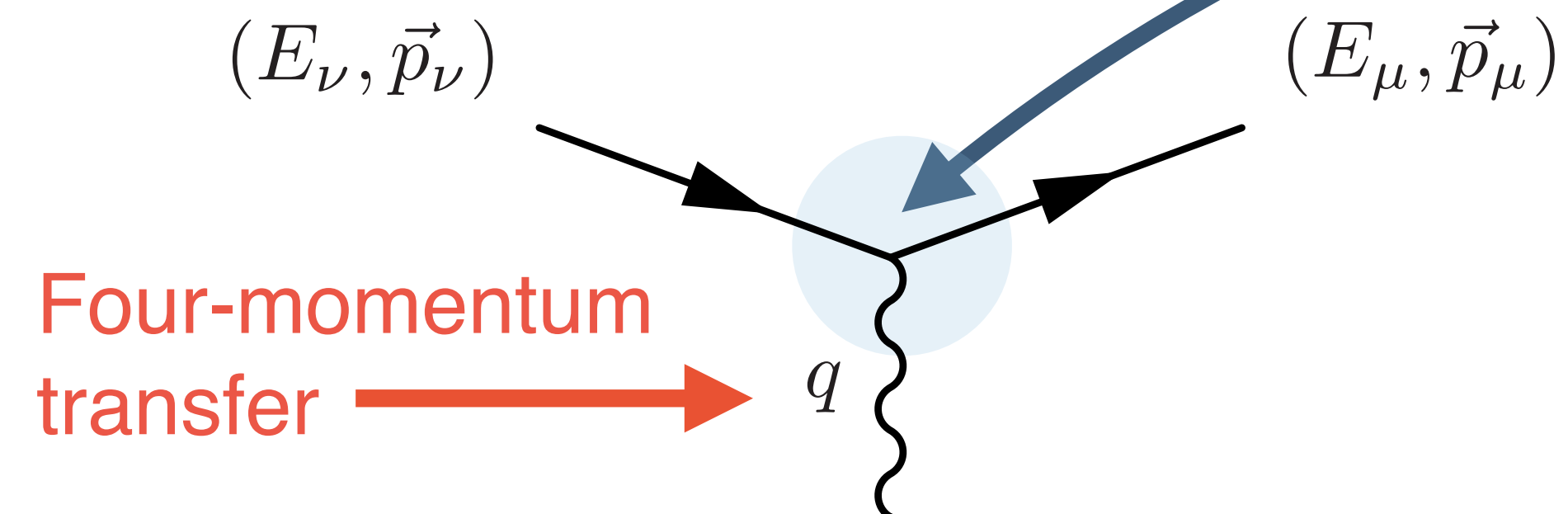
Interlude: introducing Q^2

Conserve four-momentum here:

$$\begin{aligned} q^2 &= (p_\mu^\mu - p_\nu^\mu)^2 \\ &= (E_\mu - E_\nu)^2 - |\vec{p}_\mu - \vec{p}_\nu|^2 \end{aligned}$$



Interlude: introducing Q^2



Conserve four-momentum here:

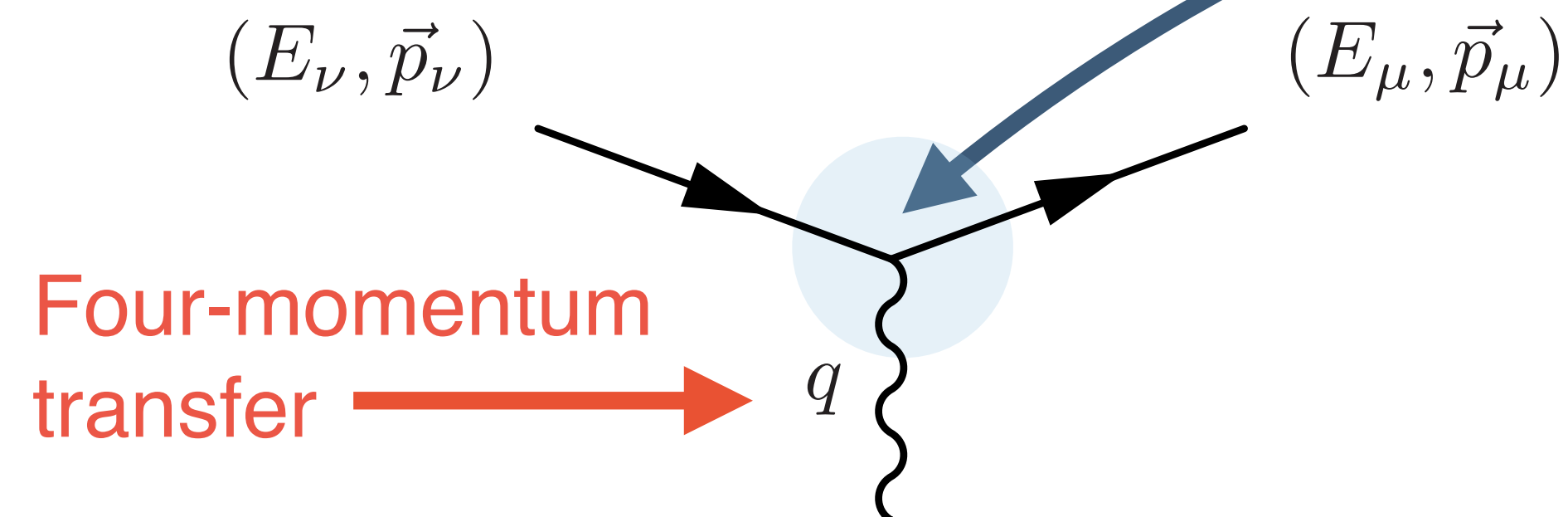
$$q^2 = (p_\mu^\mu - p_\nu^\mu)^2$$

negative quantity

$$= (E_\mu - E_\nu)^2 - |\vec{p}_\mu - \vec{p}_\nu|^2$$

smaller bigger

Interlude: introducing Q^2



Conserve four-momentum here:

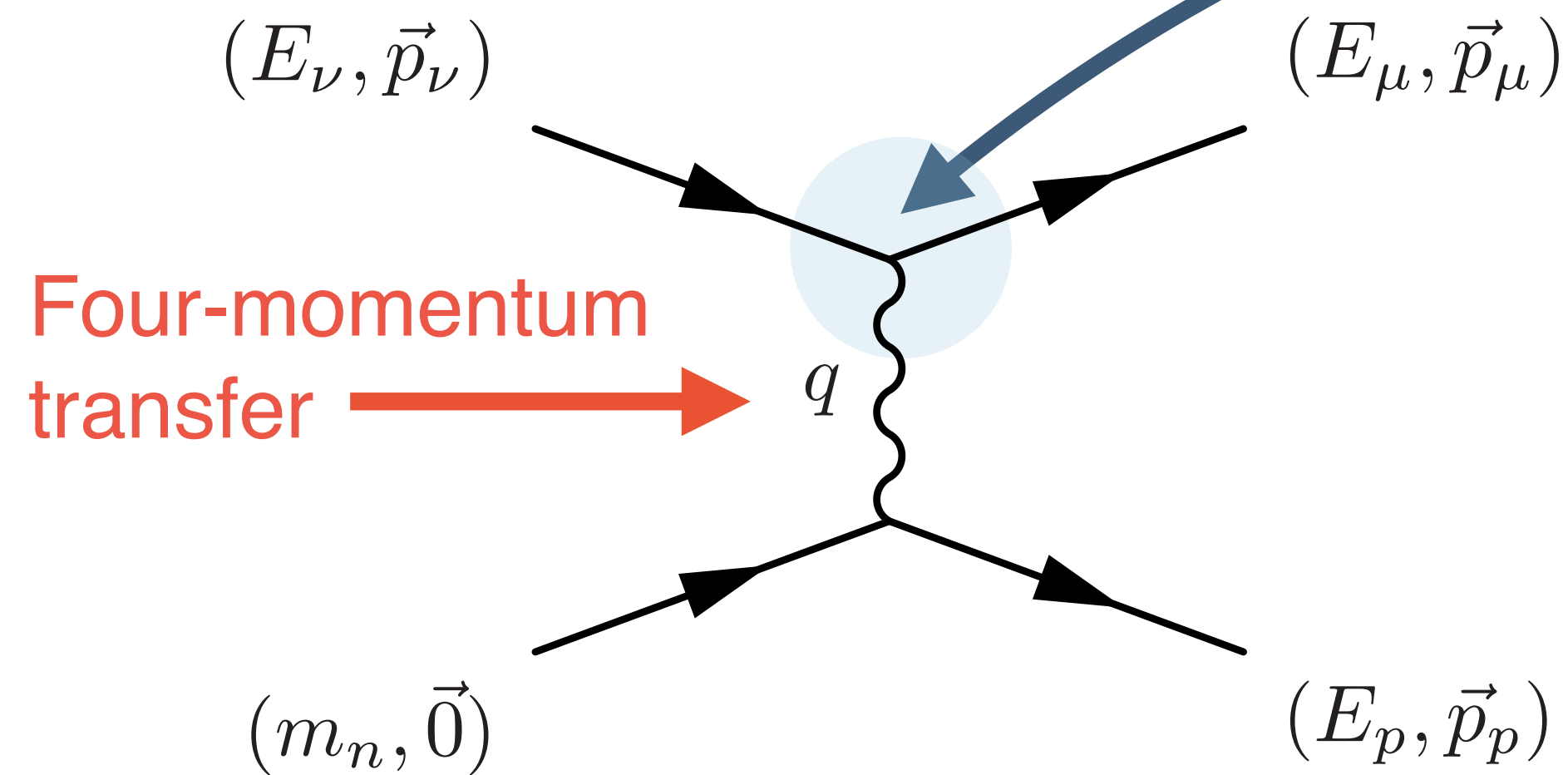
$$\begin{aligned} q^2 &= (p_\mu^\mu - p_\nu^\mu)^2 \\ &= (E_\mu - E_\nu)^2 - |\vec{p}_\mu - \vec{p}_\nu|^2 \end{aligned}$$

$$\begin{aligned} Q^2 &= -q^2 \\ &= |\vec{p}_\mu - \vec{p}_\nu|^2 - (E_\mu - E_\nu)^2 \end{aligned}$$

$$Q^2 = 2E_\nu(E_\mu - p_\mu \cos \theta_\mu) - m_\mu^2$$

Nucleon form factors depend
on Q^2 (more on this later)

Interlude: introducing Q^2



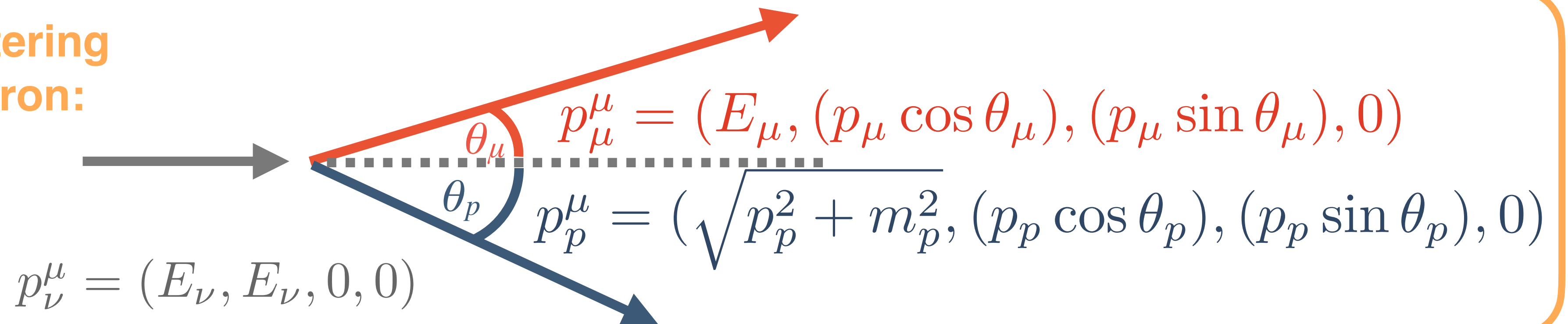
Conserve four-momentum here:

$$\begin{aligned}
 q^2 &= (p_\mu^\mu - p_\nu^\mu)^2 \\
 &= (E_\mu - E_\nu)^2 - |\vec{p}_\mu - \vec{p}_\nu|^2 \\
 Q^2 &= -q^2 \\
 &= |\vec{p}_\mu - \vec{p}_\nu|^2 - (E_\mu - E_\nu)^2 \\
 Q^2 &= 2E_\nu(E_\mu - p_\mu \cos \theta_\mu) - m_\mu^2
 \end{aligned}$$

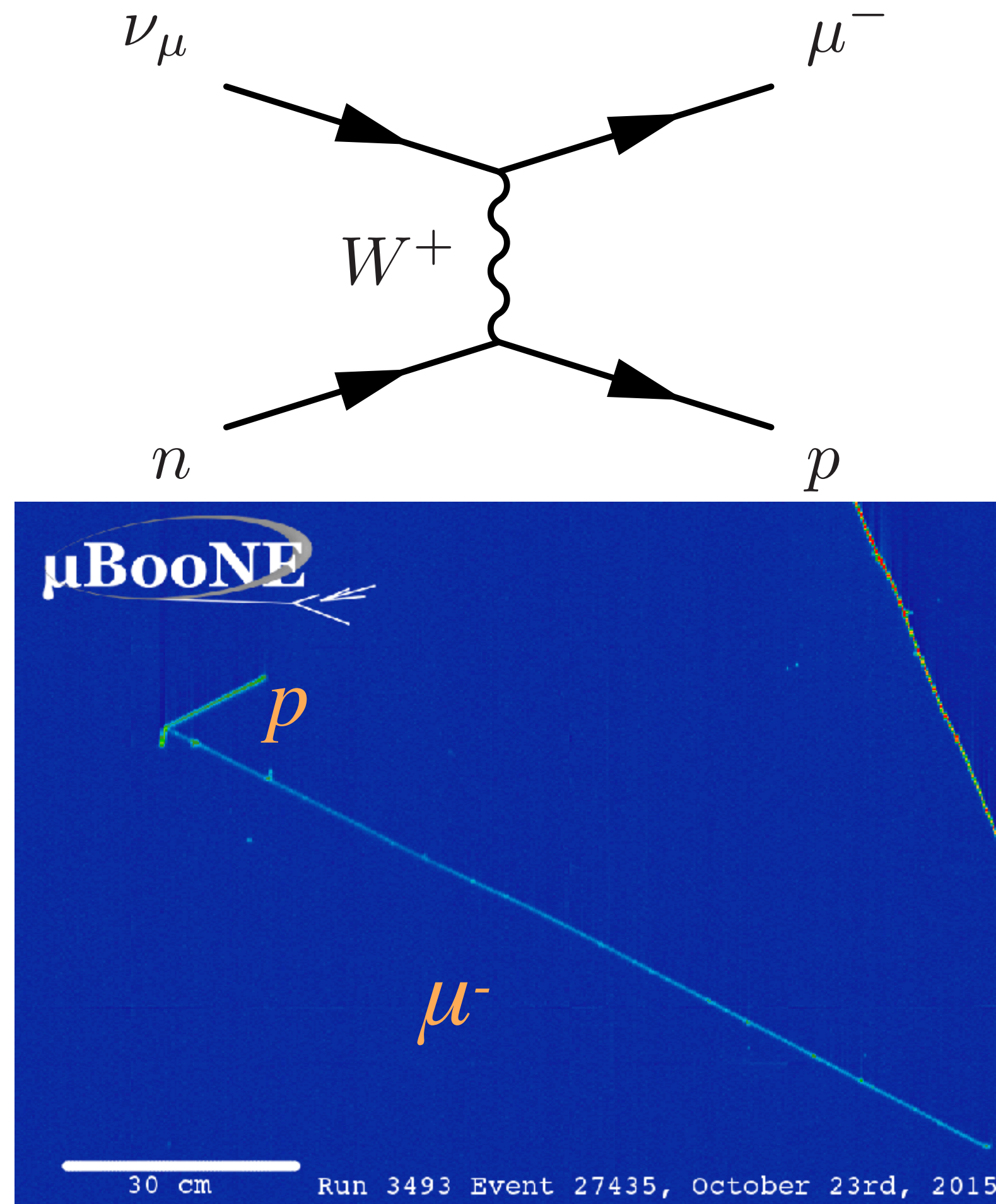
Nucleon form factors depend on Q^2 (more on this later)

For quasi-elastic scattering from a stationary neutron:

Conserve energy and momentum to calculate $E_\nu(E_\mu, \theta_\mu)$



Charged-current quasi-elastic scattering - the “golden channel”



Simple final state - just a muon and a nucleon

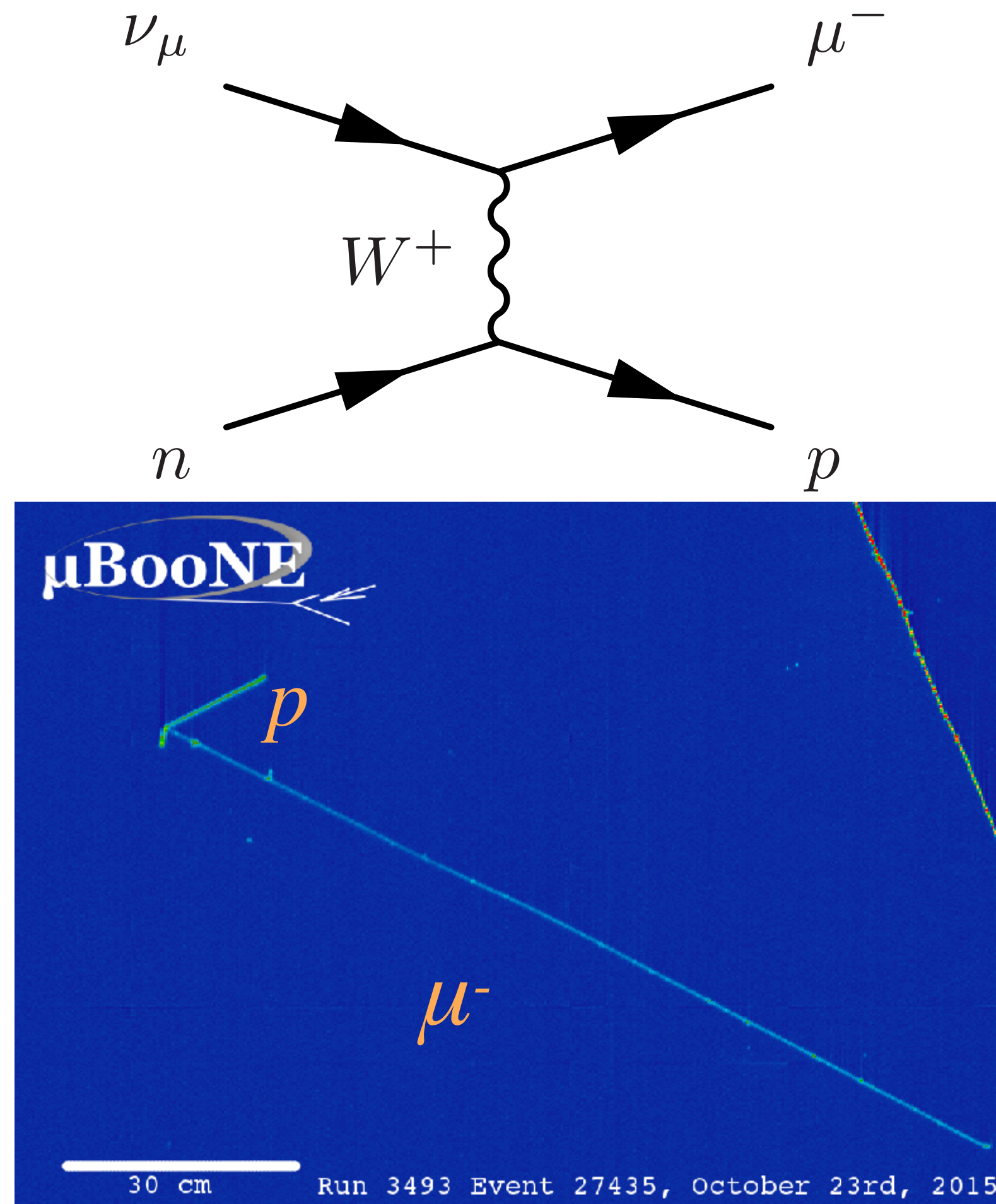
Conserve energy and momentum:
calculate Q^2 and E_ν just from muon kinematics

$$E_\nu^{\text{QE}} = \frac{m_p^2 - m_n^2 - m_\mu^2 + 2m_n E_\mu}{2(m_n - E_\mu + p_\mu \cos \theta_\mu)}$$

Neutrino
mode




Charged-current quasi-elastic scattering - the “golden channel”



Simple final state - just a muon and a nucleon

Conserve energy and momentum:
calculate Q^2 and E_ν just from muon kinematics

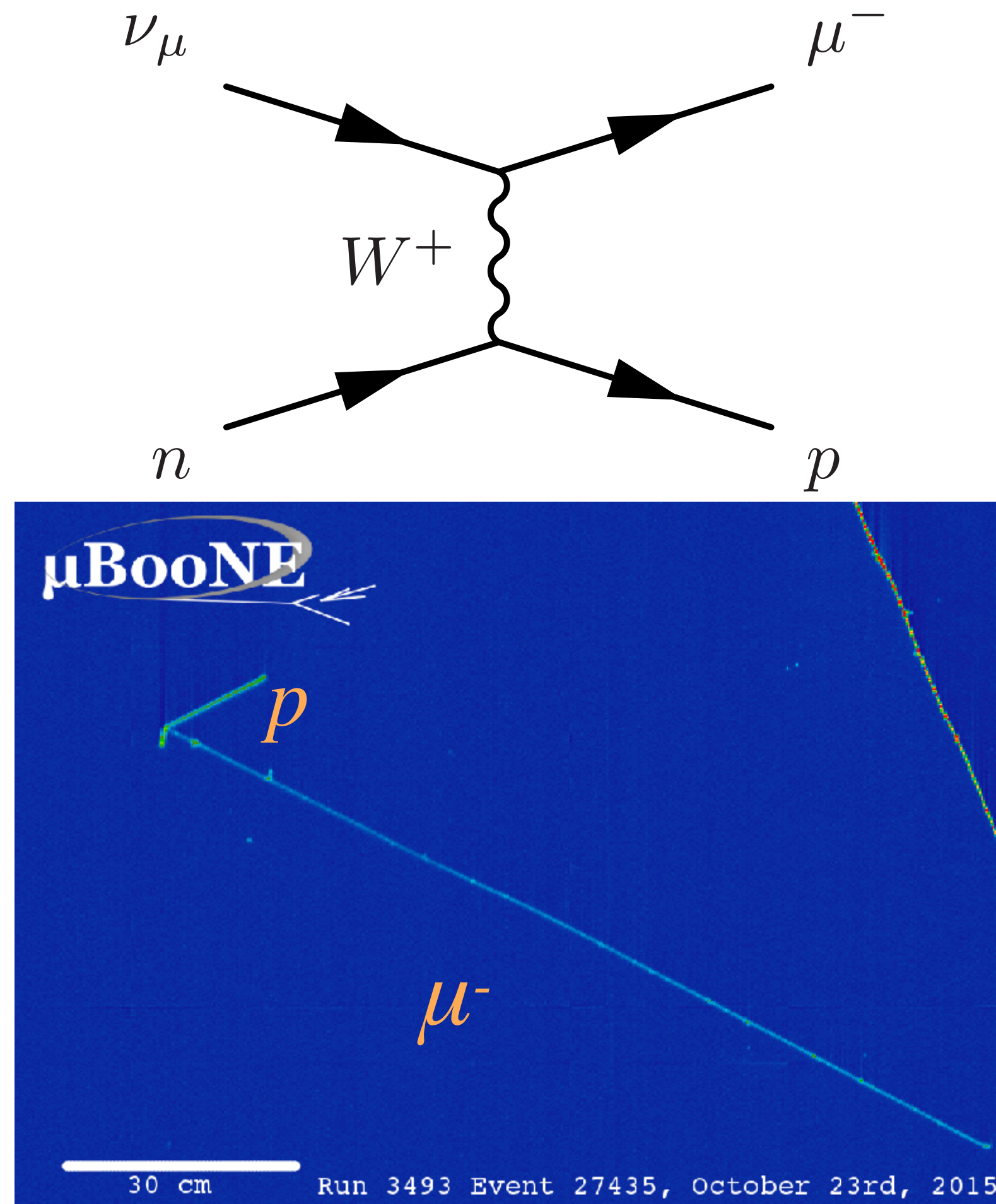
$$E_\nu^{\text{QE}} = \frac{m_p^2 - (m_n - E_b)^2 - m_\mu^2 + 2(m_n - E_b)E_\mu}{2(m_n - E_b - E_\mu + p_\mu \cos \theta_\mu)}$$

Neutrino mode 

(in a nucleus; binding energy $E_b = 28$ MeV for argon)

Why is this useful?

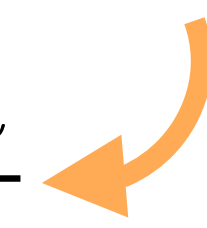
Charged-current quasi-elastic scattering - the “golden channel”



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Neutrino mode 

(in a nucleus; binding energy $E_b = 28$ MeV for argon)

Why is this useful?

- Muon has constant dE/dx (minimum-ionizing particle)
- Long, clear track: **easy to measure E_μ and θ_μ**
- $\bar{\nu}$ case - neutron hard to detect (neutral)
- Not affected by **final-state interactions**
- Nucleons can re-interact in the nucleus.

We will come back to this!

Want to know more? Join the hands-on activity on June 21!

Charged-current quasi-elastic cross section

For free nucleons, the CCQE cross section is well understood (this is the simple one?!)

$$\frac{d\sigma}{dQ^2} \text{QE} \left(\begin{array}{l} \nu_l n \rightarrow l^- p \\ \bar{\nu}_l p \rightarrow l^+ n \end{array} \right) = \frac{M^2 G_F^2 \cos^2 \theta_C}{8\pi E_\nu^2} \left\{ A(Q^2) \mp B(Q^2) \frac{s-u}{M^2} + C(Q^2) \frac{(s-u)^2}{M^4} \right\}$$

C.H. Llewellyn Smith, Phys. Rept. 3C, 261 (1972)

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↑
↑
↑

↑

Nucleon mass

↑

Fermi constant

↑

Cabibbo angle

}

Weak interaction: small cross section

C.H. Llewellyn Smith, Phys. Rept. 3C, 261 (1972)

Charged-current quasi-elastic cross section

For free nucleons, the CCQE cross section is well understood (this is the simple one?!)

$$\frac{d\sigma}{dQ^2}_{QE} \left(\begin{array}{l} \nu_l n \rightarrow l^- p \\ \bar{\nu}_l p \rightarrow l^+ n \end{array} \right) = \frac{M^2 G_F^2 \cos^2 \theta_C}{8\pi E_\nu^2} \left\{ A(Q^2) \mp B(Q^2) \frac{s-u}{M^2} + C(Q^2) \frac{(s-u)^2}{M^4} \right\}$$

Neutrino energy

ν and $\bar{\nu}$ cross sections different
($\sigma_\nu \cong 3 \sigma_{\bar{\nu}}$)

Mandelstam variables

C.H. Llewellyn Smith, Phys. Rept. 3C, 261 (1972)

Charged-current quasi-elastic cross section

For free nucleons, the CCQE cross section is well understood (this is the simple one?!)

$$\frac{d\sigma}{dQ^2}_{QE} \left(\begin{matrix} \nu_l n \rightarrow l^- p \\ \bar{\nu}_l p \rightarrow l^+ n \end{matrix} \right) = \frac{M^2 G_F^2 \cos^2 \theta_C}{8\pi E_\nu^2} \left\{ A(Q^2) \mp B(Q^2) \frac{s-u}{M^2} + C(Q^2) \frac{(s-u)^2}{M^4} \right\}$$

$$A(Q^2) = \frac{m_l^2 + Q^2}{M^2} \left\{ \left(1 + \frac{Q^2}{4M^2} \right) |F_A|^2 - \left(1 - \frac{Q^2}{4M^2} \right) F_1^2 \right. \\ \left. + \frac{Q^2}{4M^2} \left(1 - \frac{Q^2}{4M^2} \right) (\xi F_2)^2 + \frac{Q^2}{M^2} \text{Re}(F_1^* \xi F_2) - \frac{Q^2}{M^2} \left(1 + \frac{Q^2}{4M^2} \right) (F_A^3)^2 \right. \\ \left. - \frac{m_\mu^2}{4M^2} \left[|F_1 + \xi F_2|^2 + |F_A + 2F_P|^2 - 4 \left(1 + \frac{Q^2}{4M^2} \right) ((F_V^3)^2 + F_P^2) \right] \right\}$$

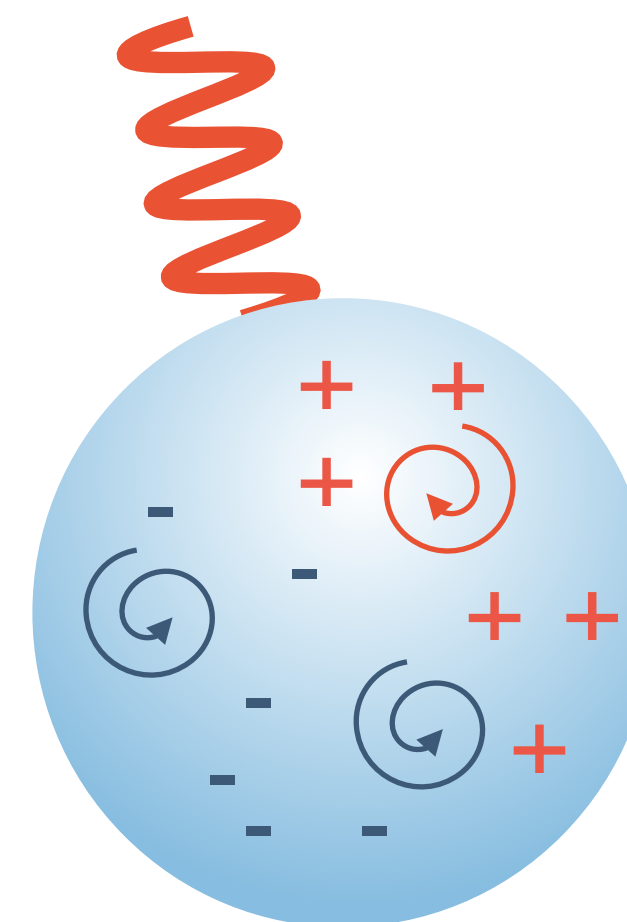
$$B(Q^2) = \frac{Q^2}{M^2} \text{Re}[F_A^* (F_1 + \xi F_2)] - \frac{m_l^2}{M^2} \text{Re} \left[(F_1 - \tau \xi F_2) F_V^{3*} - \left(F_A^* - \frac{Q^2}{2M^2} F_P \right) F_A^3 \right]$$

$$C(Q^2) = \frac{1}{4} \left\{ F_A^2 + F_1^2 + \tau (\xi F_2)^2 + \frac{Q^2}{M^2} (F_A^3)^2 \right\}$$

Free nucleon form factors (functions of Q^2)

Vector form factors

Functions of electric & magnetic form factors, describing charge and current distributions in the nucleon



At $Q^2 = 0$, these simplify to the charge and magnetic moment

Measured using electron scattering

C.H. Llewellyn Smith, Phys. Rept. 3C, 261 (1972)

Charged-current quasi-elastic cross section

For free nucleons, the CCQE cross section is well understood (this is the simple one?!)

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$$C(Q^2) = \frac{1}{4} \left\{ F_A^2 + F_1^2 + \tau (\xi F_2)^2 + \frac{Q^2}{M^2} (F_A^3)^2 \right\}$$

Free nucleon form factors (functions of Q^2)

F^3 form factors: thankfully, negligible

F_P (**pseudoscalar** form factor): related to other factors

C.H. Llewellyn Smith, Phys. Rept. 3C, 261 (1972)

Charged-current quasi-elastic cross section

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Free nucleon form factors (functions of Q^2)

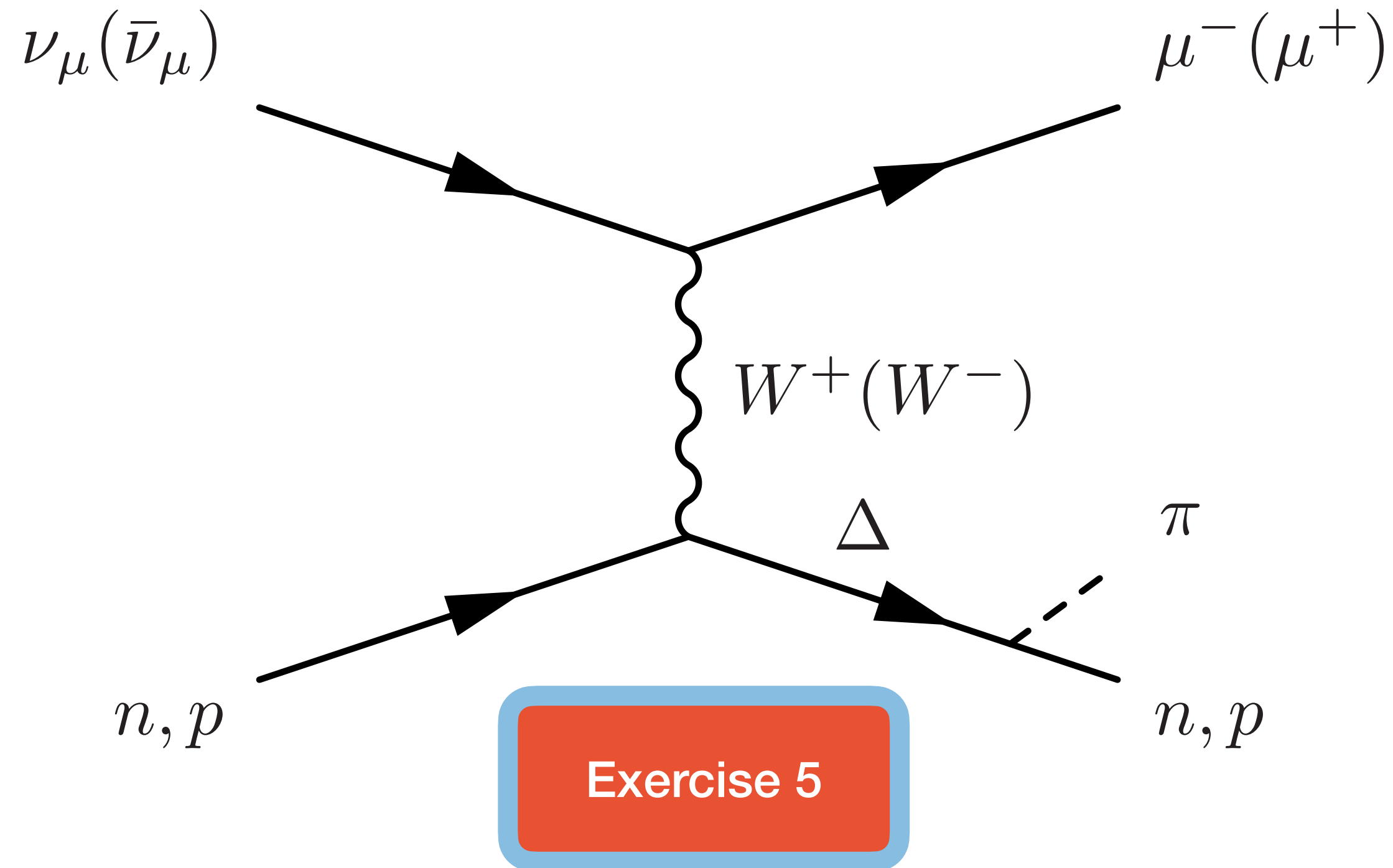
Axial form factor

Only accessible through weak processes (V-A current) i.e. processes with **neutrinos**

$F_A(Q^2)$ is still being studied, and is not fully understood for heavy nuclei

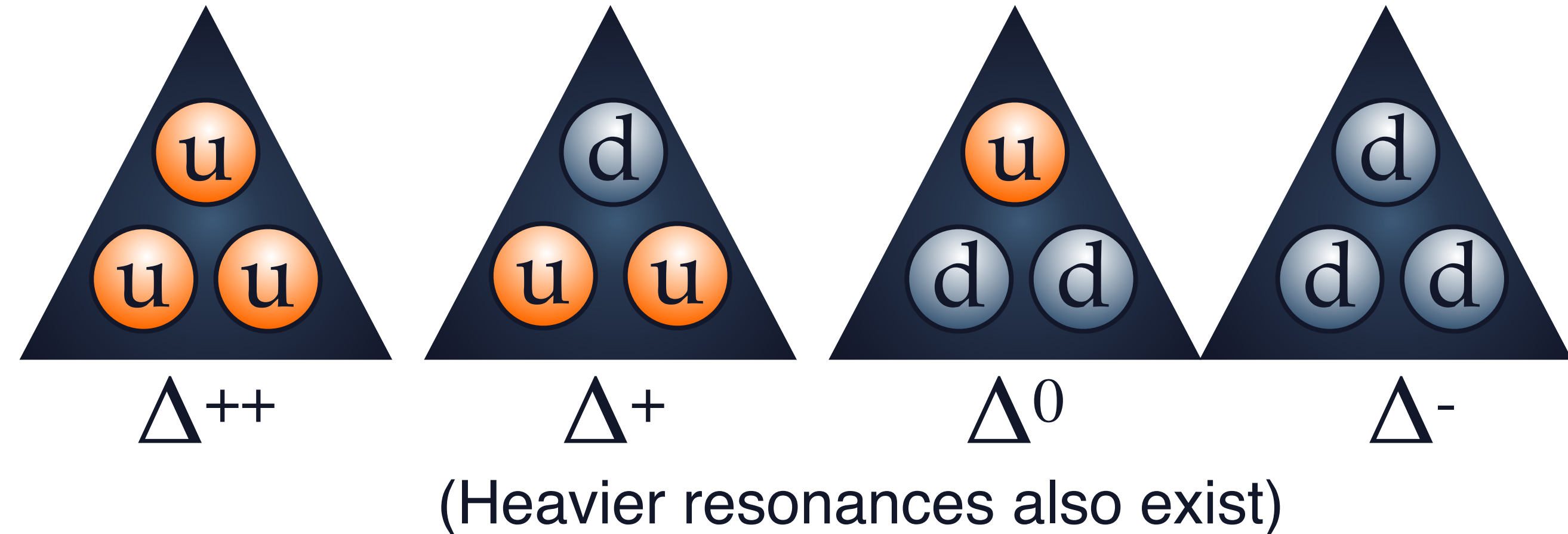
C.H. Llewellyn Smith, Phys. Rept. 3C, 261 (1972)

Resonant pion production

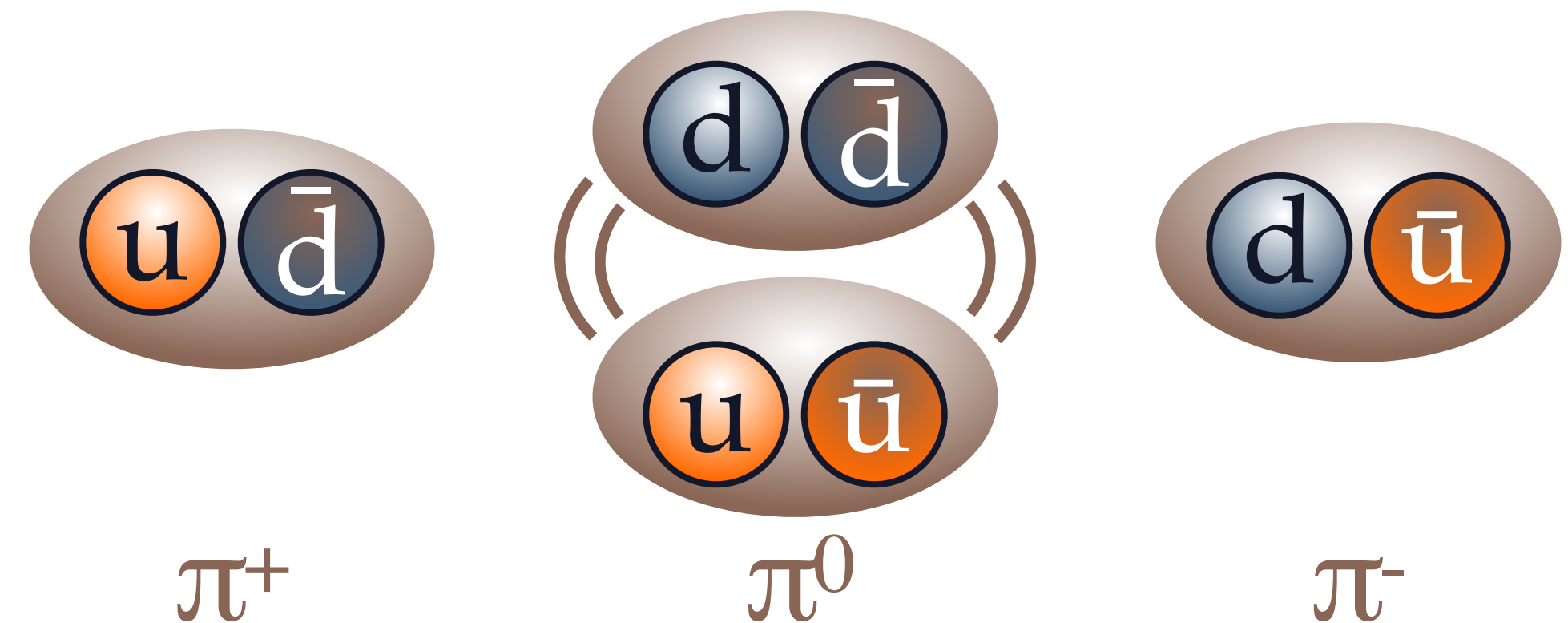


Both neutrinos and antineutrinos can scatter from both neutrons and protons.
Some Δ resonances have multiple decay modes.
Can you write the equations for all ν and $\bar{\nu}$ possibilities? (Hint, 3 for each... conserve charge)

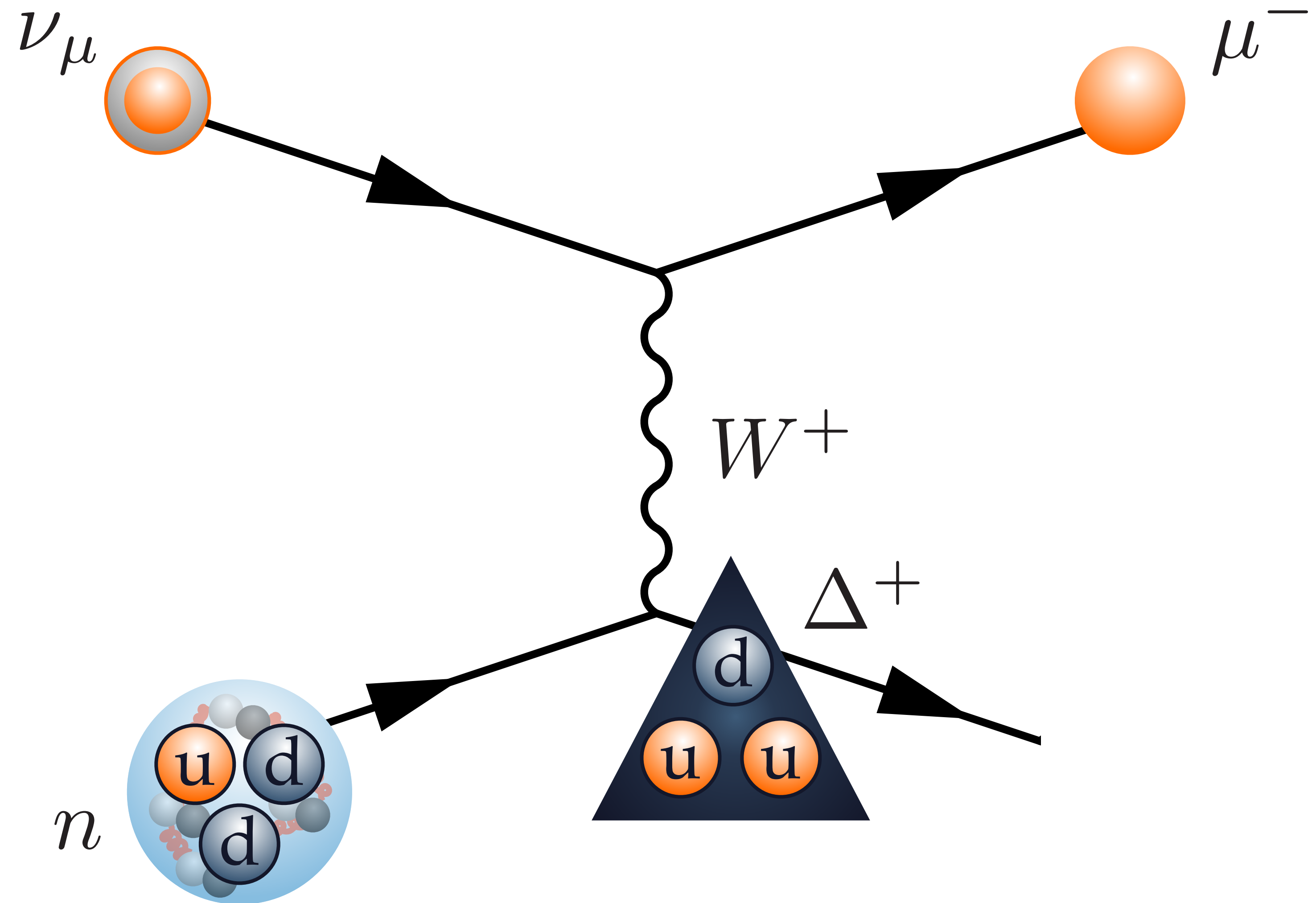
Δ resonances (mass 1232 MeV)
are excited-state baryons (spin 3/2)



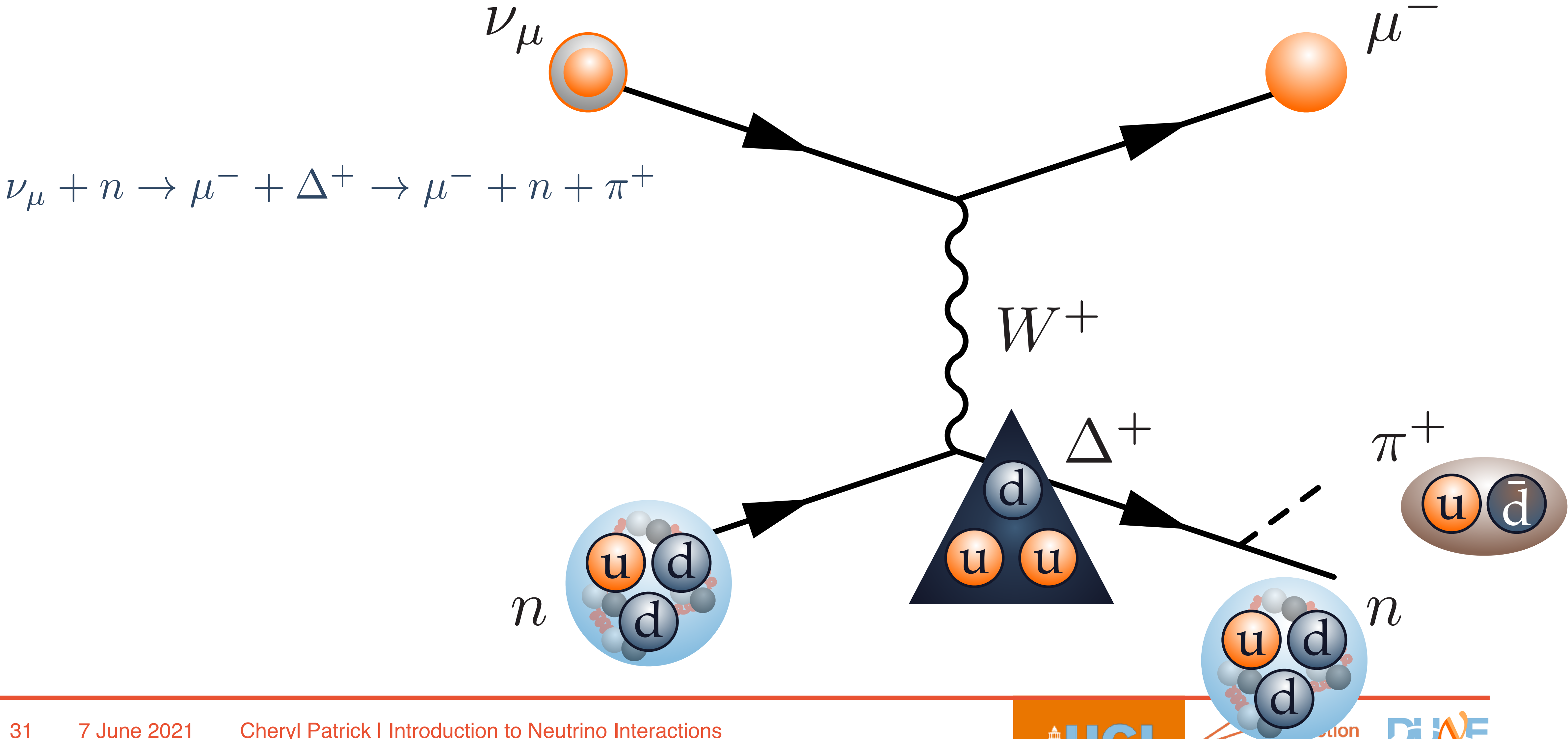
Pions are mesons (spin 0)



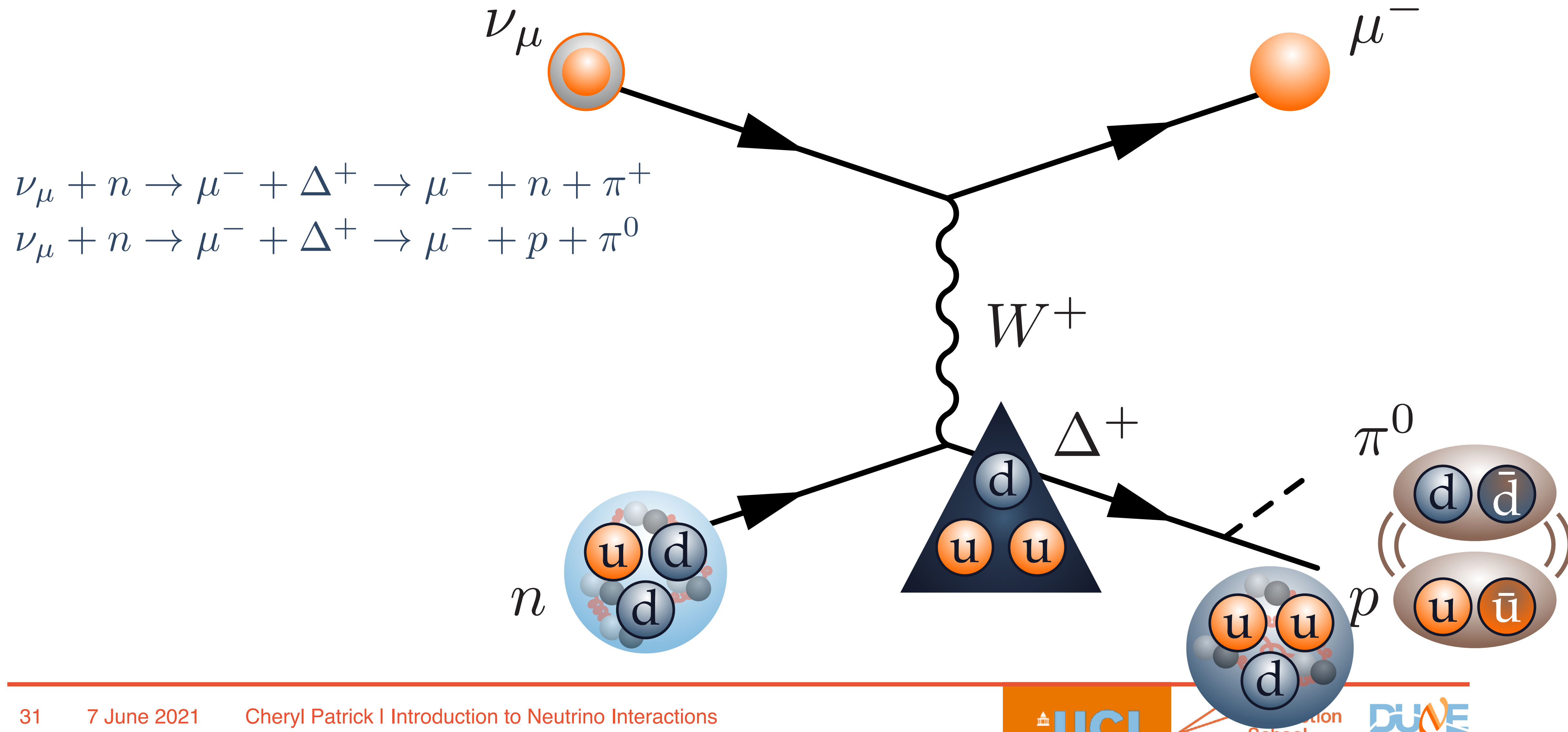
As easy as π ?



As easy as π ?



As easy as π ?



Lots of options...

Neutrino mode

$$\nu_{\mu} + n \rightarrow \mu^{-} + \Delta^{+} \rightarrow \mu^{-} + n + \pi^{+}$$

$$\nu_{\mu} + n \rightarrow \mu^{-} + \Delta^{+} \rightarrow \mu^{-} + p + \pi^{0}$$

$$\nu_{\mu} + p \rightarrow \mu^{-} + \Delta^{++} \rightarrow \mu^{-} + p + \pi^{+}$$

Antineutrino mode

$$\bar{\nu}_{\mu} + p \rightarrow \mu^{+} + \Delta^{0} \rightarrow \mu^{+} + p + \pi^{-}$$

$$\bar{\nu}_{\mu} + p \rightarrow \mu^{+} + \Delta^{0} \rightarrow \mu^{+} + n + \pi^{0}$$

$$\bar{\nu}_{\mu} + n \rightarrow \mu^{+} + \Delta^{-} \rightarrow \mu^{+} + n + \pi^{-}$$

Lots of options...

Neutrino mode

$$\nu_{\mu} + n \rightarrow \mu^{-} + \Delta^{+} \rightarrow \mu^{-} + n + \pi^{+}$$

$$\nu_{\mu} + n \rightarrow \mu^{-} + \Delta^{+} \rightarrow \mu^{-} + p + \pi^{0}$$

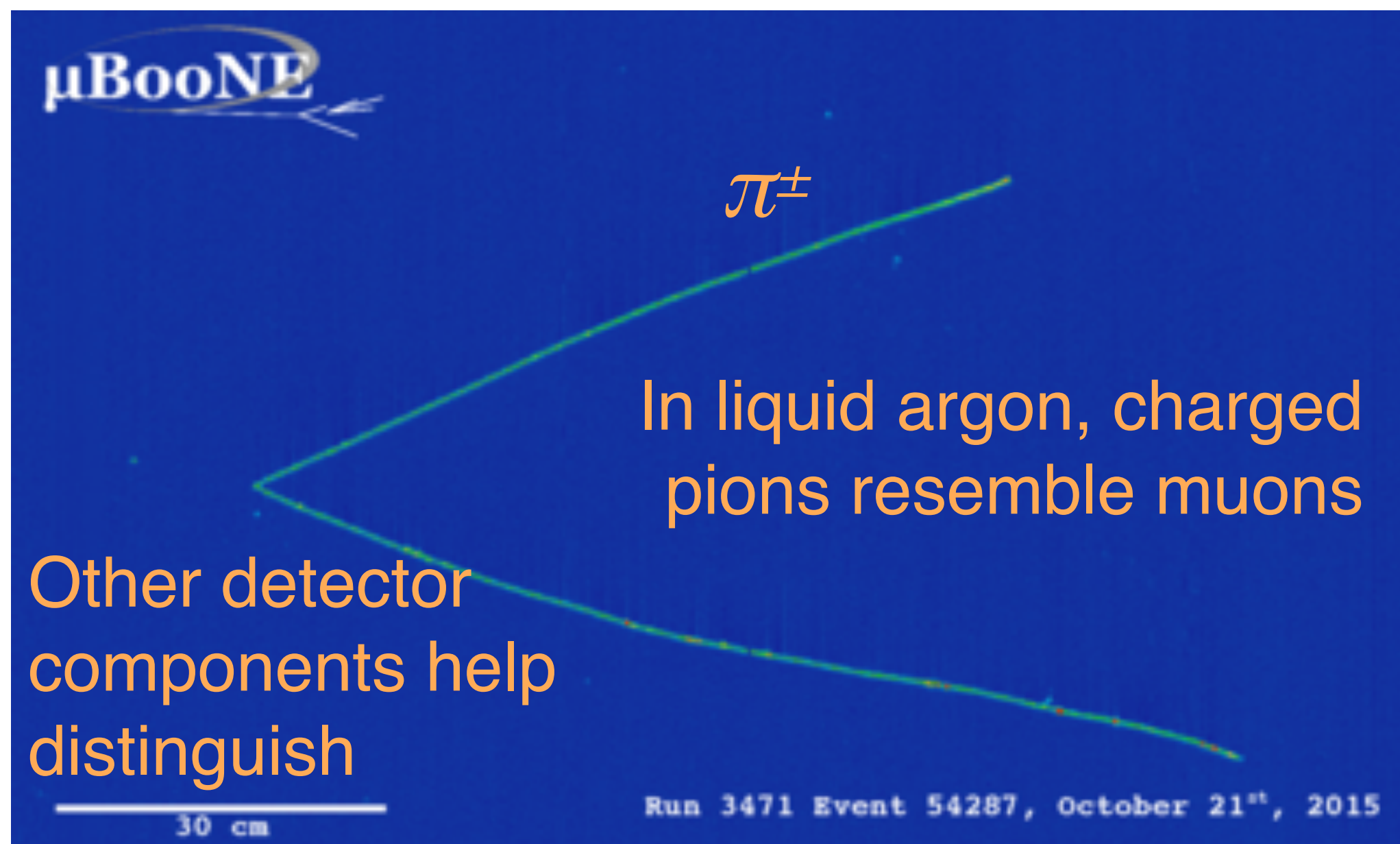
$$\nu_{\mu} + p \rightarrow \mu^{-} + \Delta^{++} \rightarrow \mu^{-} + p + \pi^{+}$$

Antineutrino mode

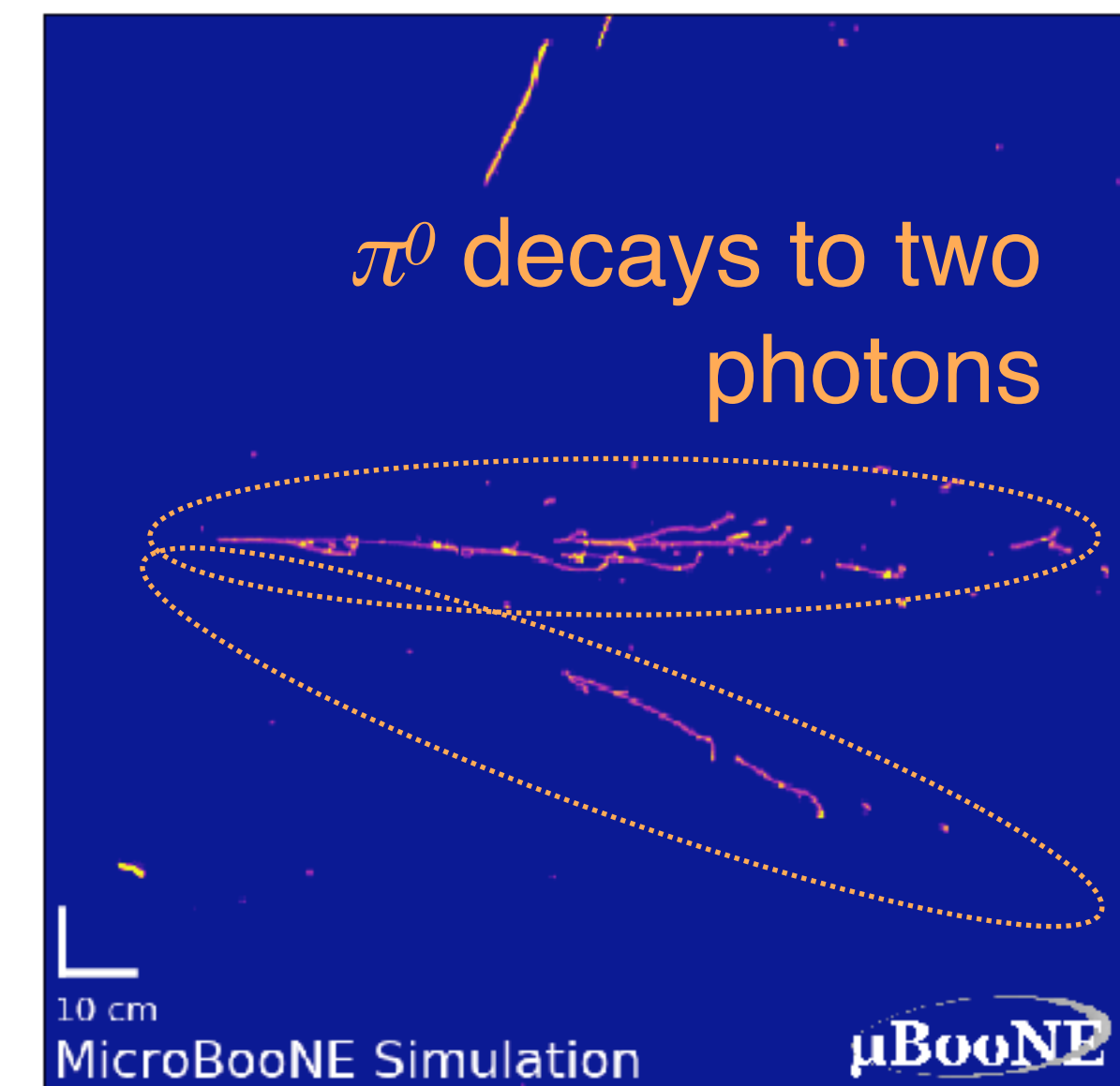
$$\bar{\nu}_{\mu} + p \rightarrow \mu^{+} + \Delta^{0} \rightarrow \mu^{+} + p + \pi^{-}$$

$$\bar{\nu}_{\mu} + p \rightarrow \mu^{+} + \Delta^{0} \rightarrow \mu^{+} + n + \pi^{0}$$

$$\bar{\nu}_{\mu} + n \rightarrow \mu^{+} + \Delta^{-} \rightarrow \mu^{+} + n + \pi^{-}$$



All pions can be detected, but finding the direction is harder for π^0

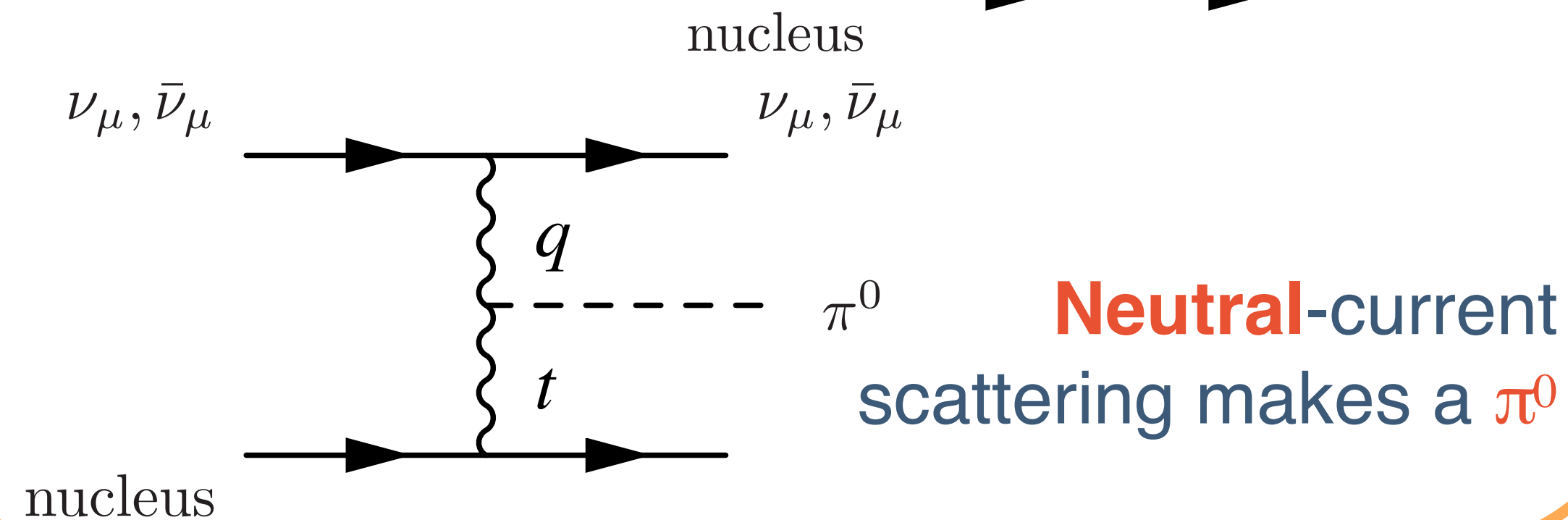
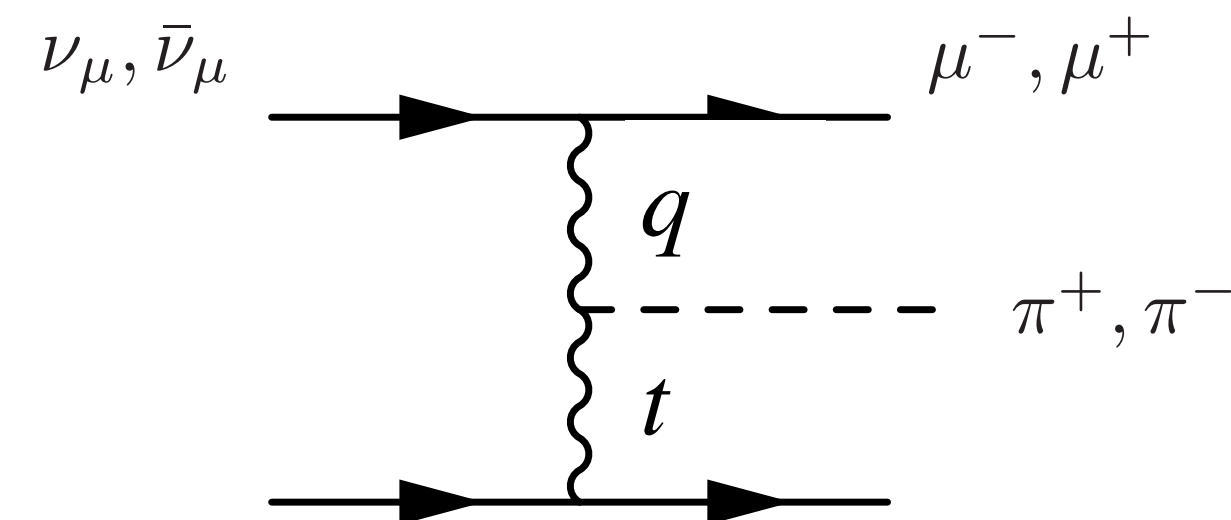


More recipes for π

Coherent pion production

For very low four-momentum transfer t , a neutrino can scatter from the **nucleus**, leaving it in its ground state

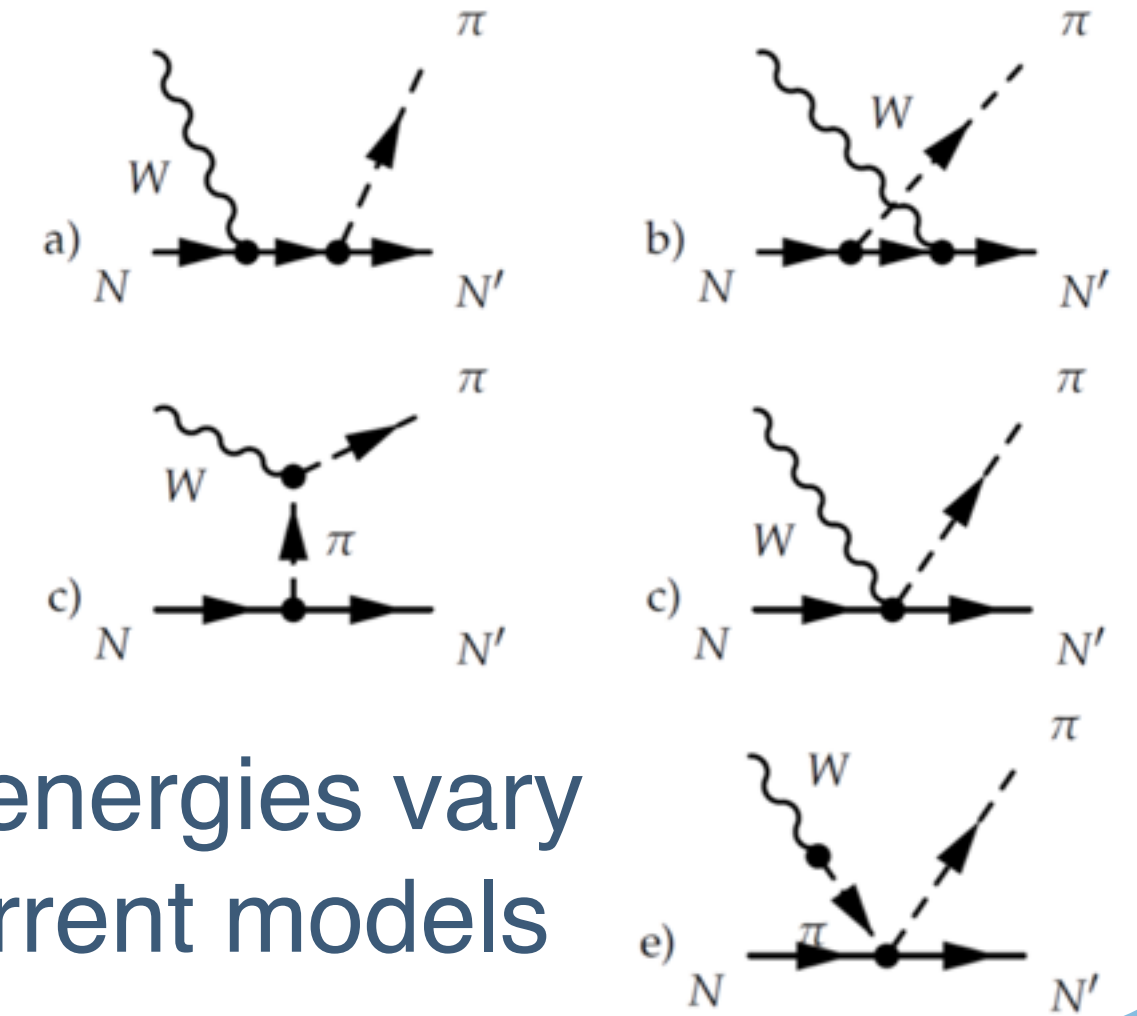
Charged-current scattering makes a π^\pm



Neutral-current scattering makes a π^0

Non-resonant pion production

Various other processes can produce final-state pions.



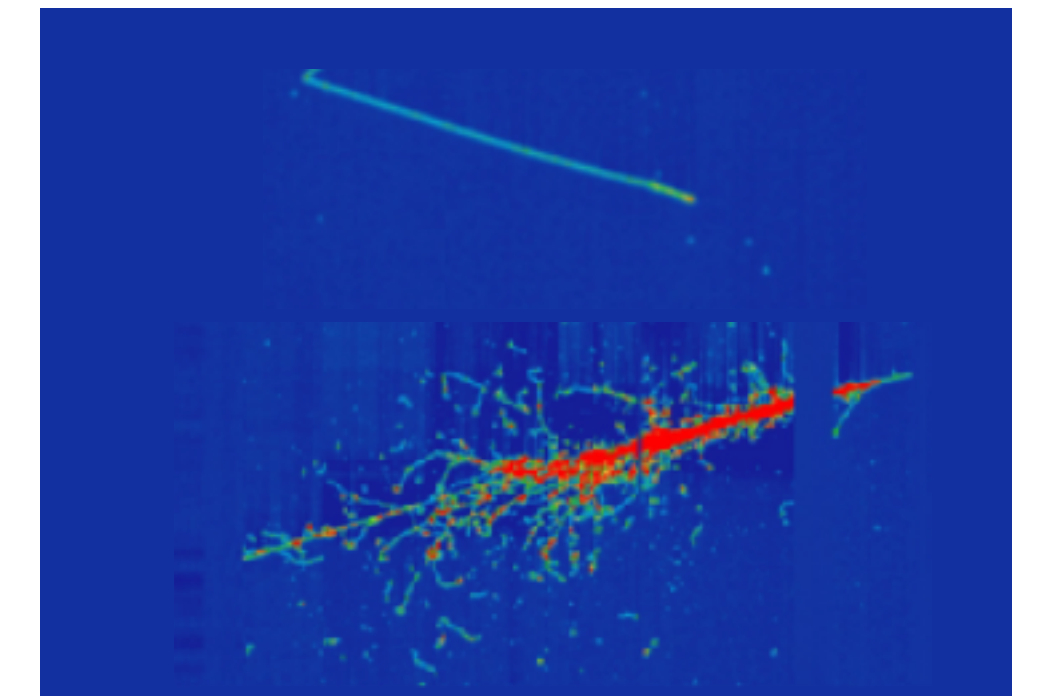
Phys. Rev. D 97, 013002 (2018)

Predicted pion counts / energies vary significantly between current models

The neutral-current problem

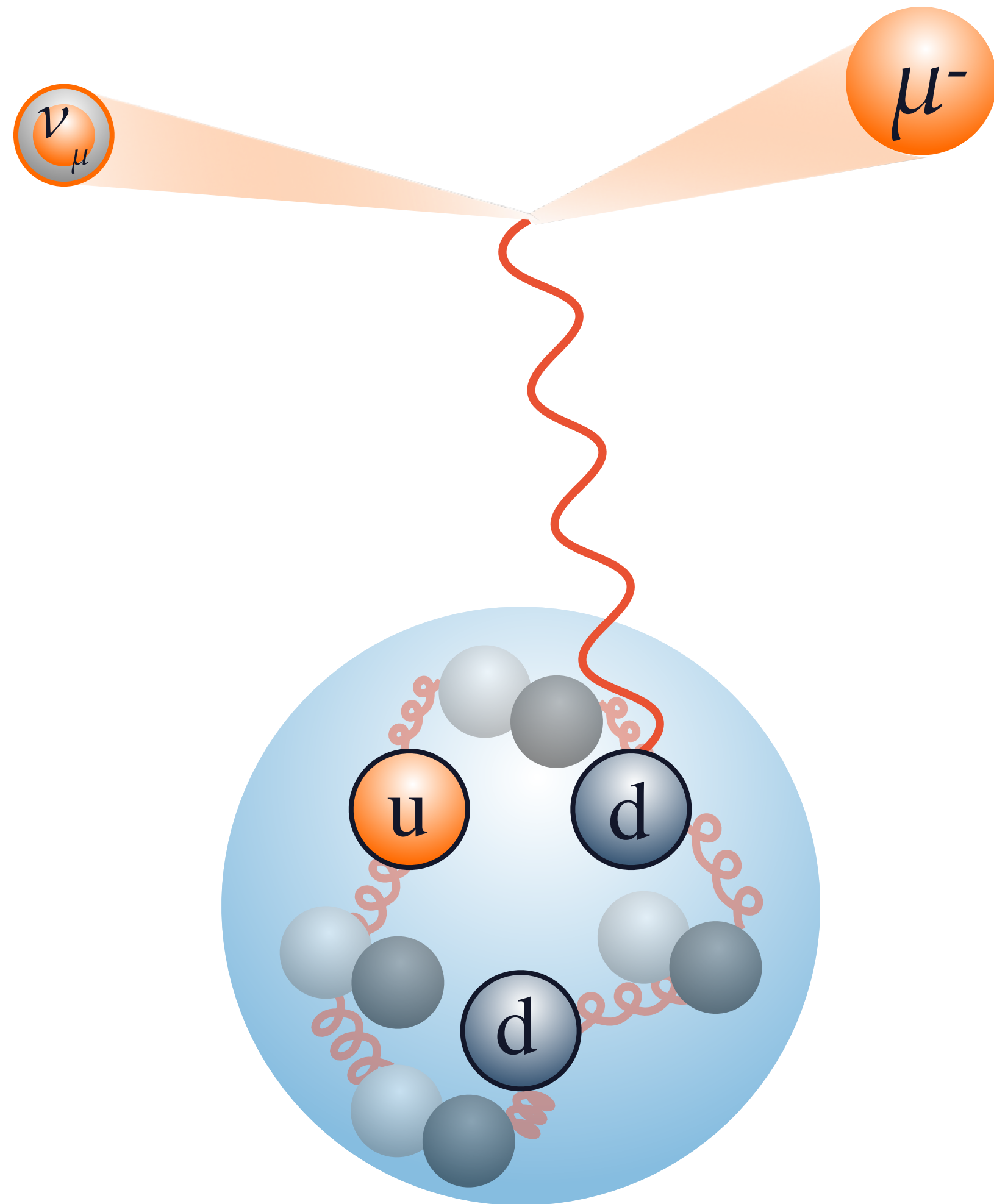
ν (invisible) + π^\pm
can look like μ^\pm

$\nu + \pi^0 (\rightarrow \gamma\gamma)$
can look like e^\pm



Deep Inelastic Scattering (DIS)

Question 2



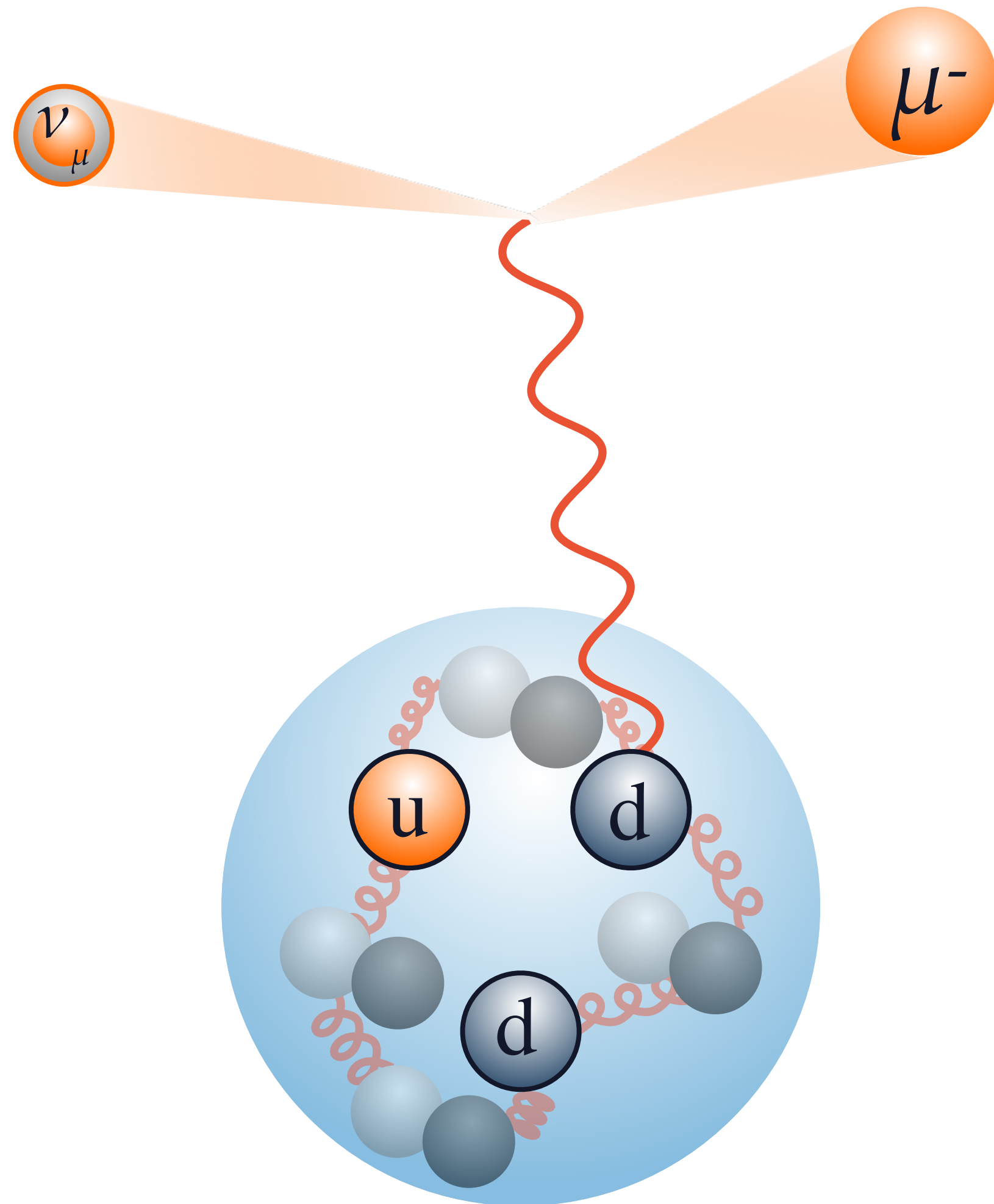
- Neutrinos with high enough energy can scatter from an **individual quark** (valence or sea)

Which quarks / antiquarks do neutrinos and antineutrinos interact with?

$u, \bar{u}, d, \bar{d}, s, \bar{s}, c, \bar{c}$

Deep Inelastic Scattering (DIS)

Question 2

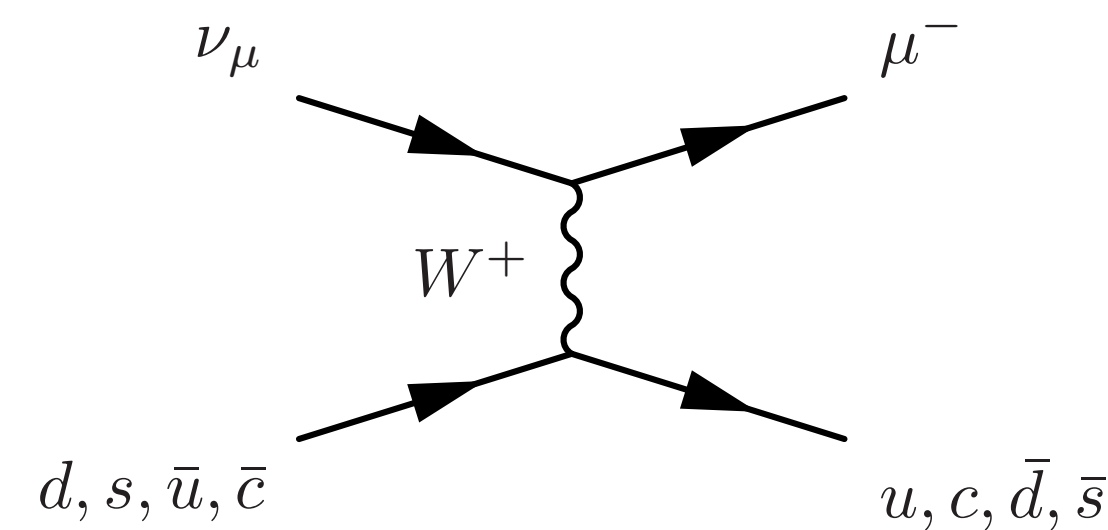


- Neutrinos with high enough energy can scatter from an **individual quark** (valence or sea)

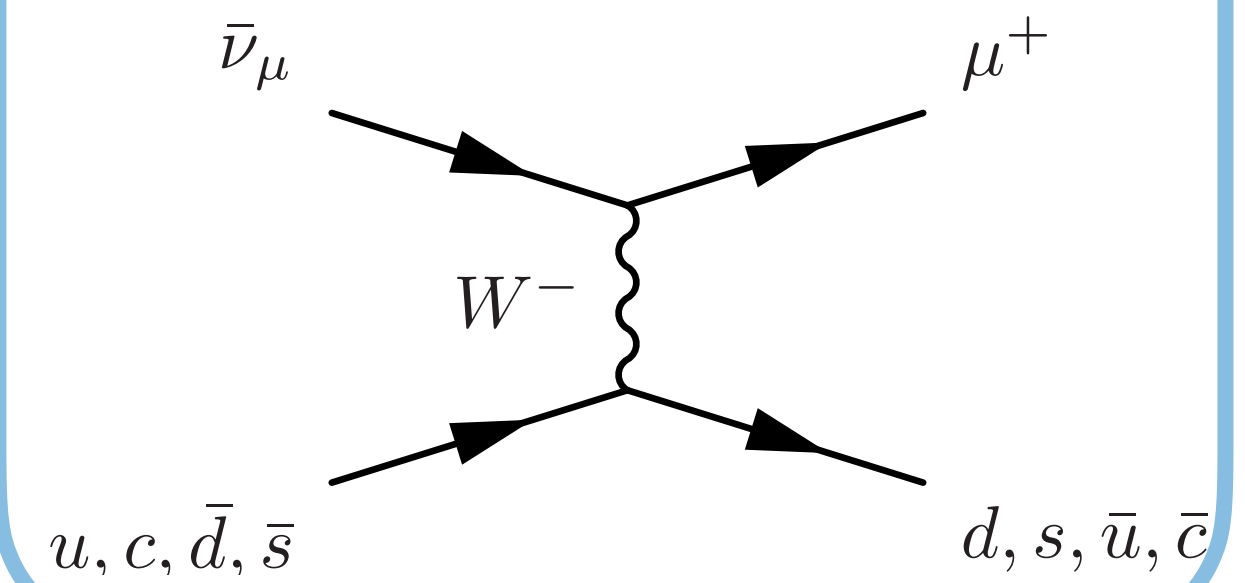
Which quarks / antiquarks do neutrinos and antineutrinos interact with?

$u, \bar{u}, d, \bar{d}, s, \bar{s}, c, \bar{c}$

Neutrino mode

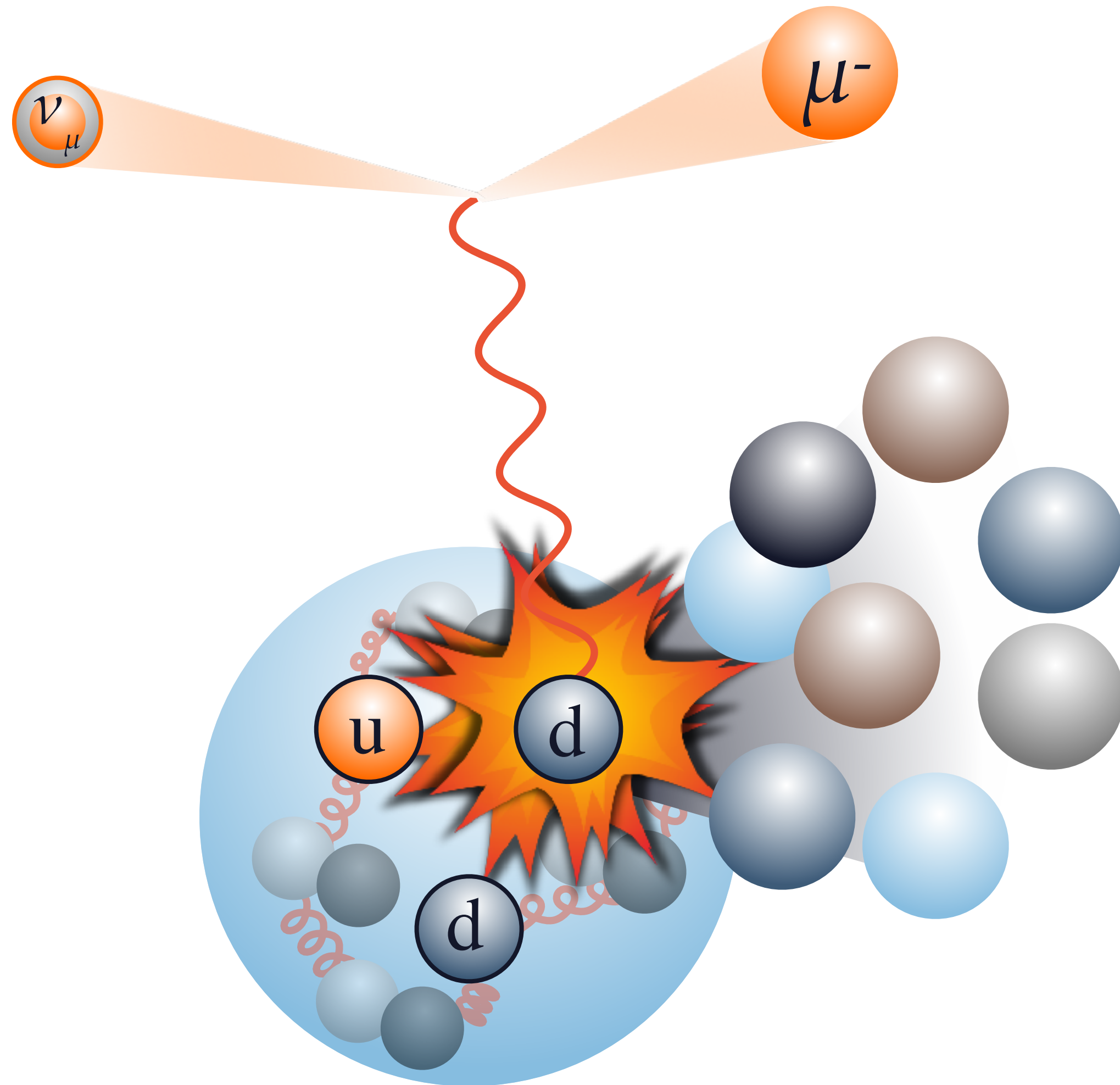


Antineutrino mode



Deep Inelastic Scattering (DIS)

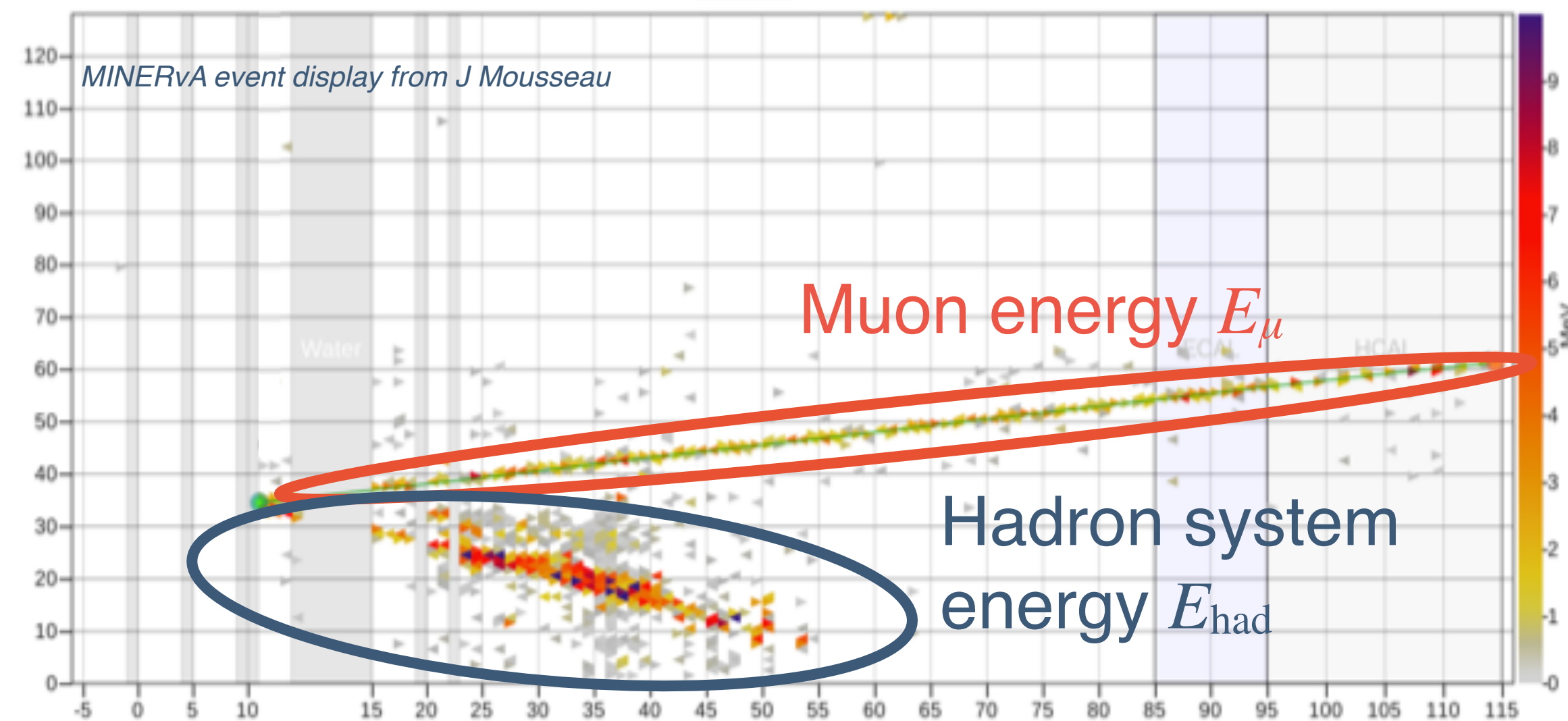
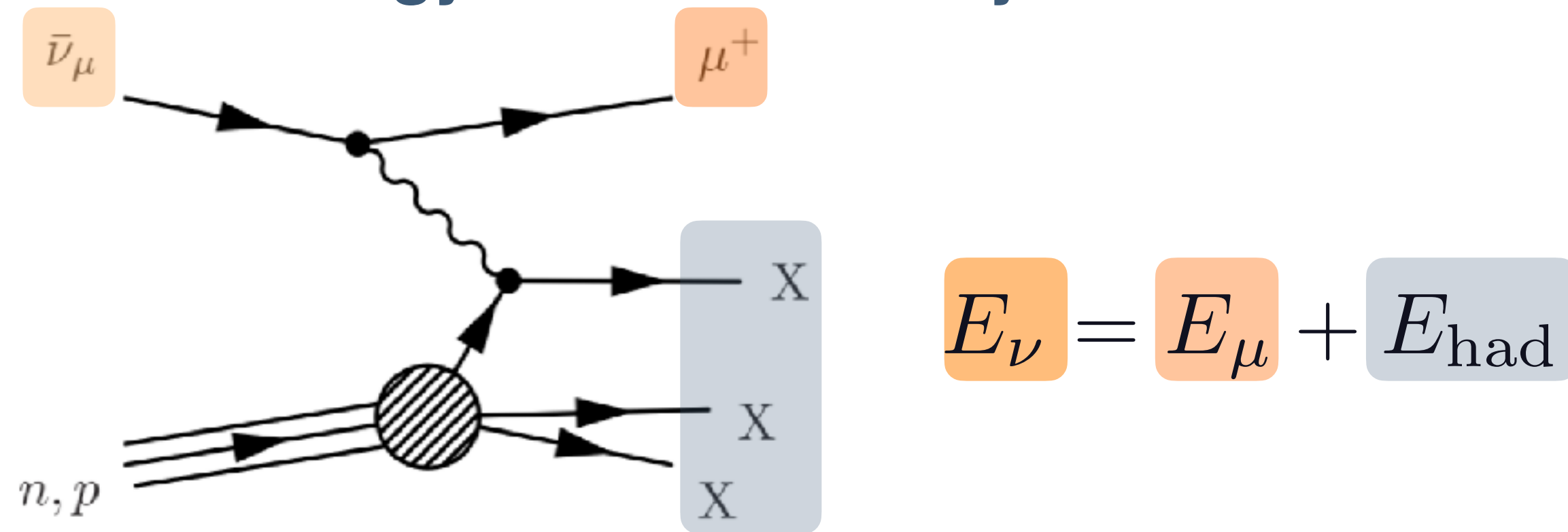
Question 2



- Neutrinos with high enough energy can scatter from an **individual quark** (valence or sea)
- The knocked-out quark can't exist alone
- It **hadronizes** to produce a hadron shower

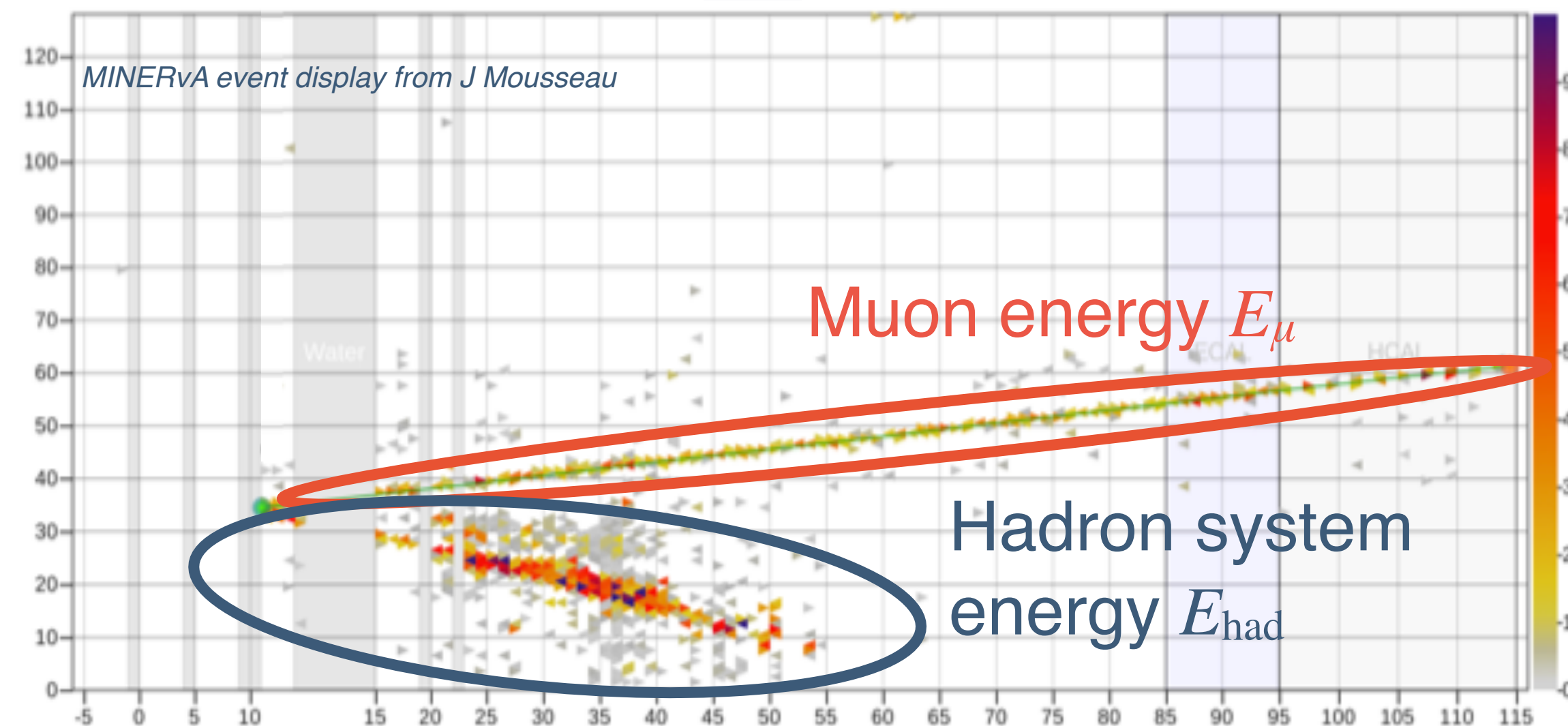
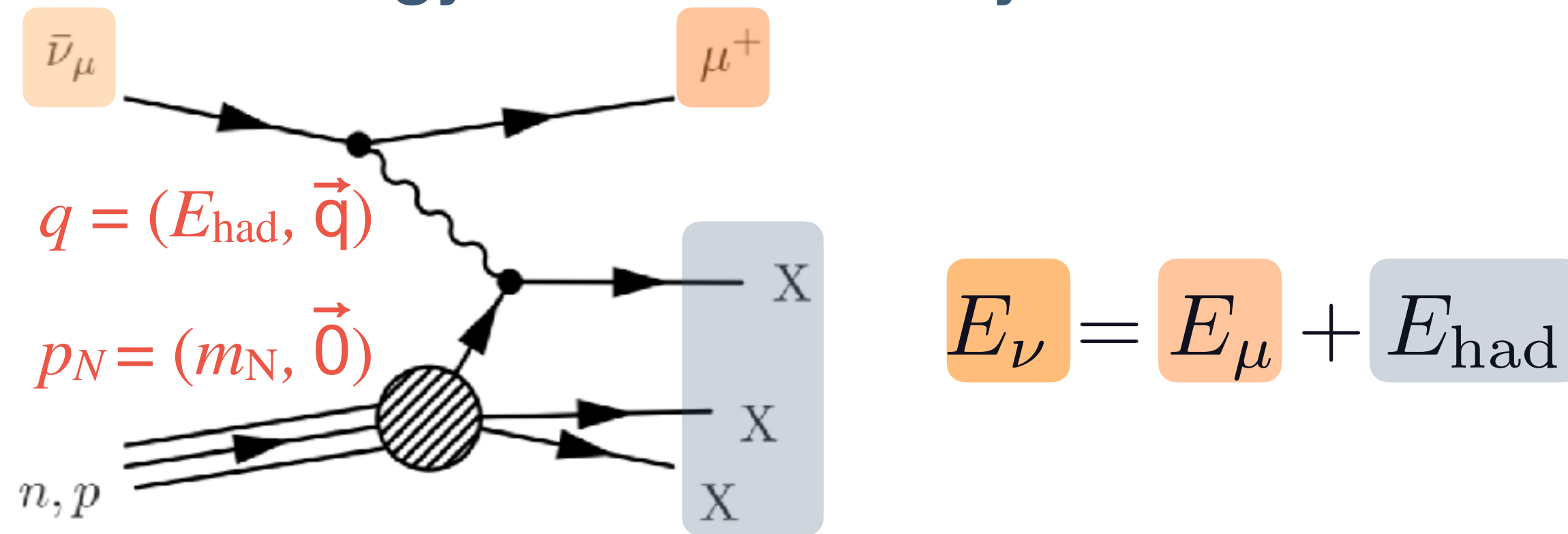
Studying DIS interactions

Rather than trying to resolve individual particles, sum the **energy of the hadron system**



Studying DIS interactions

Rather than trying to resolve individual particles, sum the **energy of the hadron system**



Other useful variables

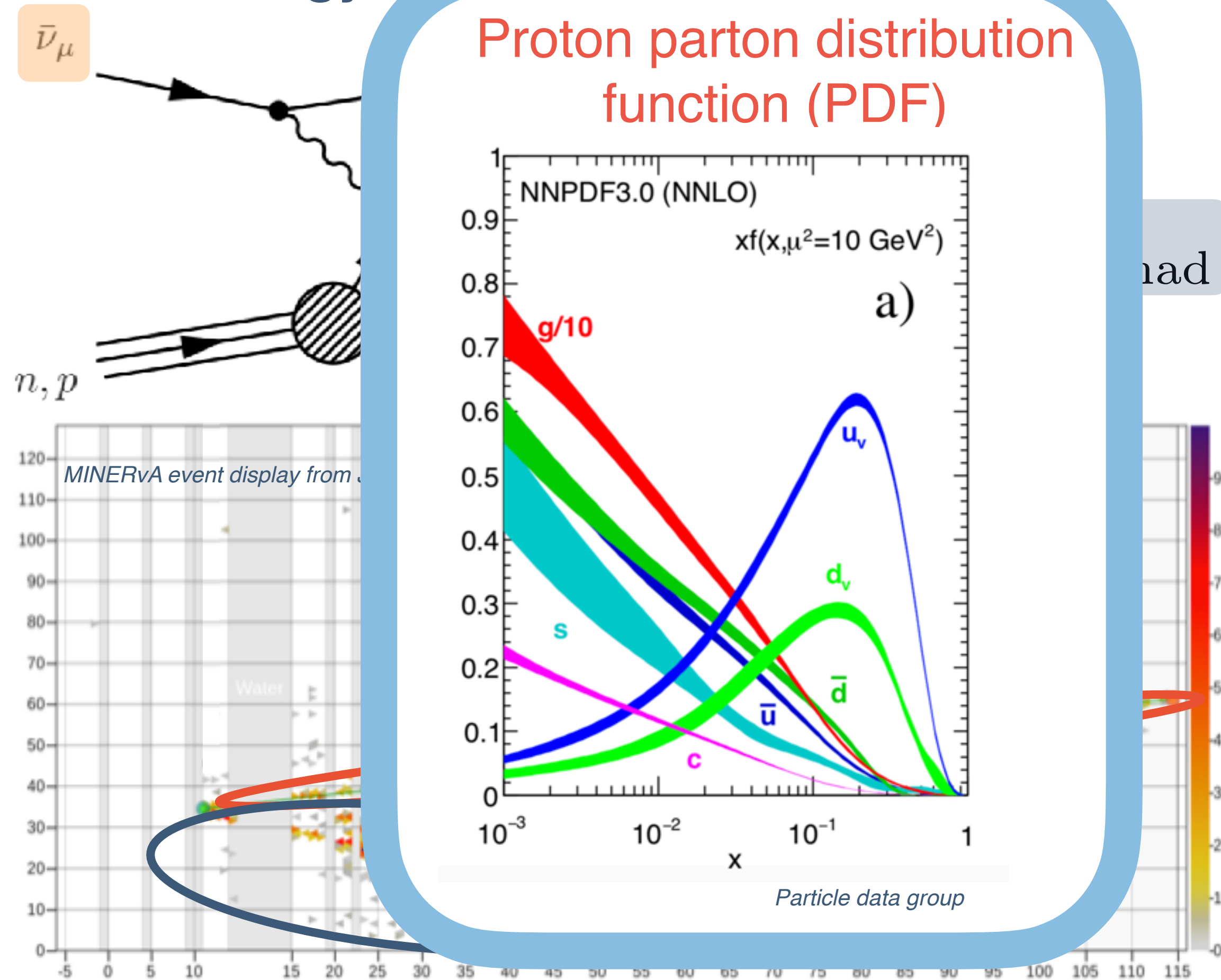
Invariant mass W of final-state hadronic system

$$\begin{aligned} W^2 &= (q + p_N)^2 \\ &= q^2 + p_N^2 + 2q \cdot p_N \\ &= m_N^2 - Q^2 + 2m_N E_{\text{had}} \end{aligned}$$

For DIS, W is typically $> 2\text{GeV}$

Studying DIS interactions

Rather than trying to resolve individual particles, sum the **energy** of the final-state hadrons



Other useful variables

Invariant mass W of final-state hadronic system

$$W^2 = m_N^2 - Q^2 + 2m_N E_{\text{had}}$$

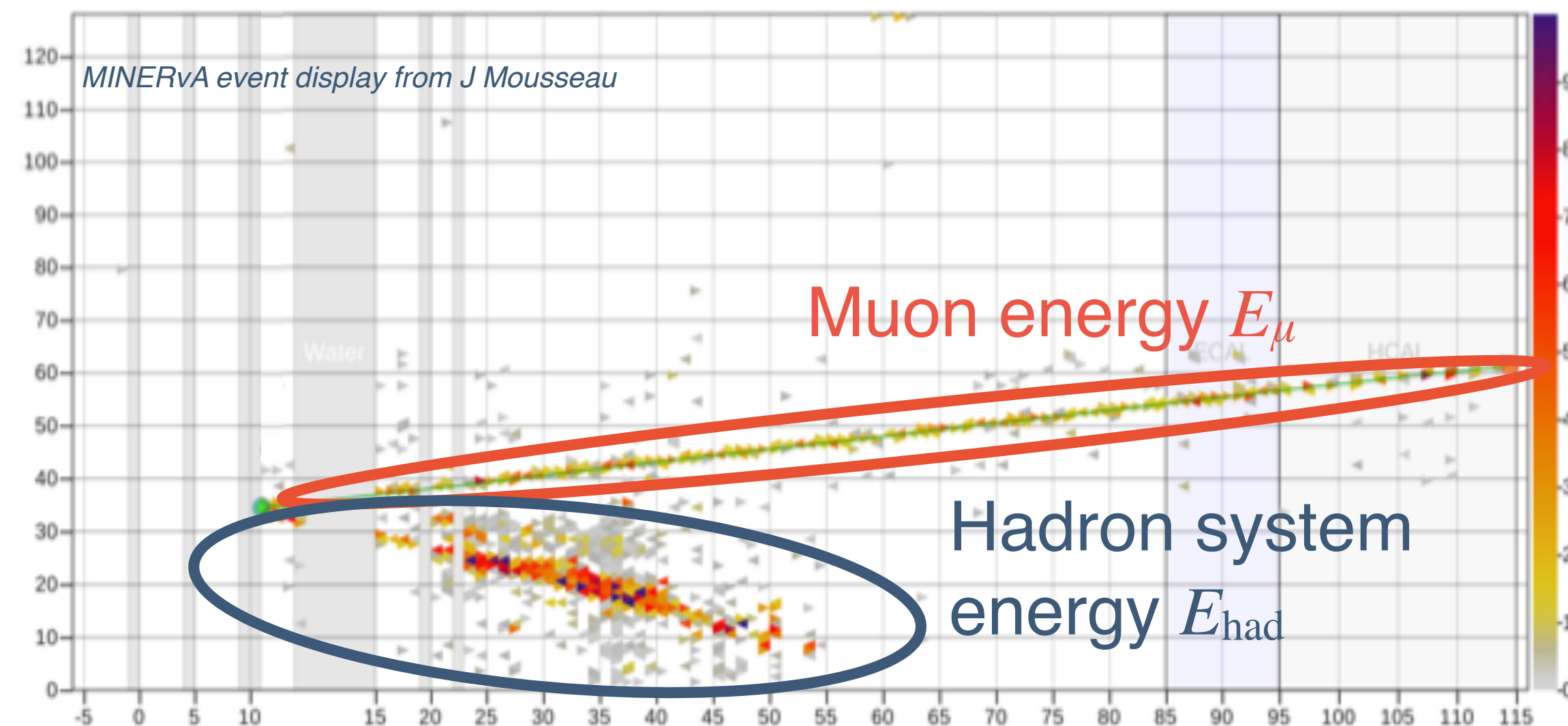
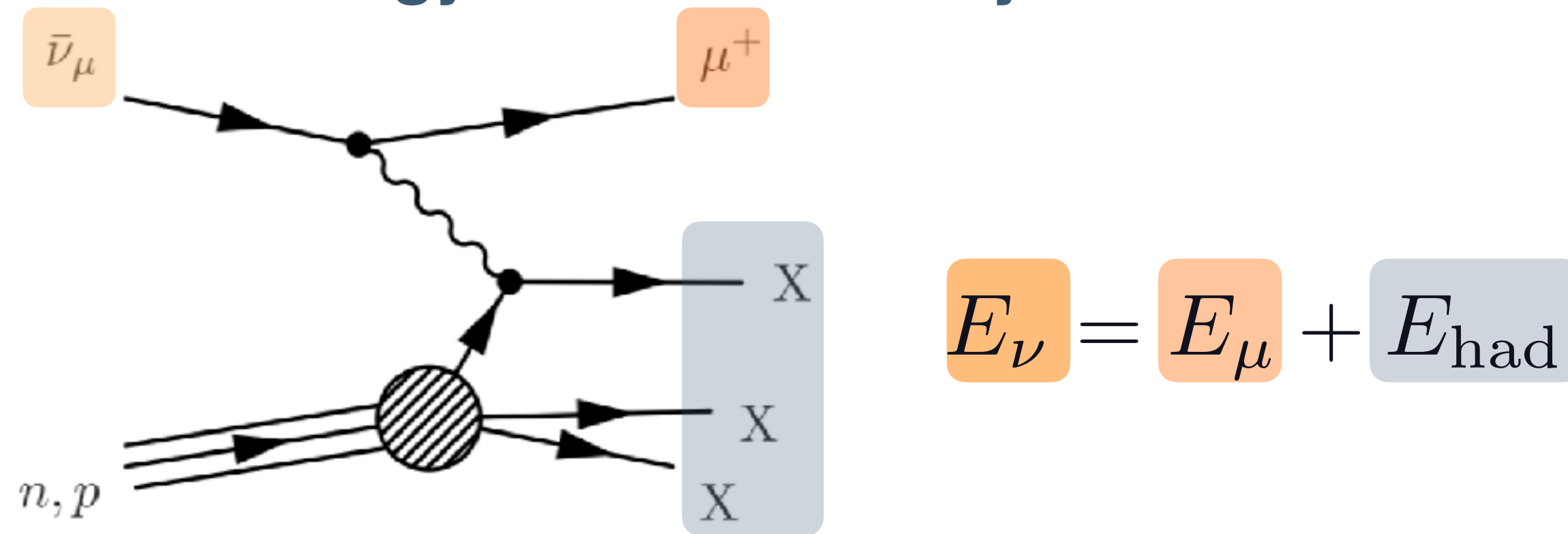
Bjorken scaling variable x is the fraction of momentum carried by the struck quark

$$x = \frac{Q^2}{2m_N E_{\text{had}}}$$

- For DIS, $0 < x < 1$
- Valence quarks have bigger x than sea quarks
- What are W and x for a CCQE interaction (whole nucleon knocked out)?

Studying DIS interactions

Rather than trying to resolve individual particles, sum the **energy of the hadron system**



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For CCQE: $x = 1$, $W = m_N$. This can be a good way of identifying a CCQE interaction!

Studying DIS interactions

Rather than trying to resolve individual particles, sum the **energy of the hadron system**

Other useful variables

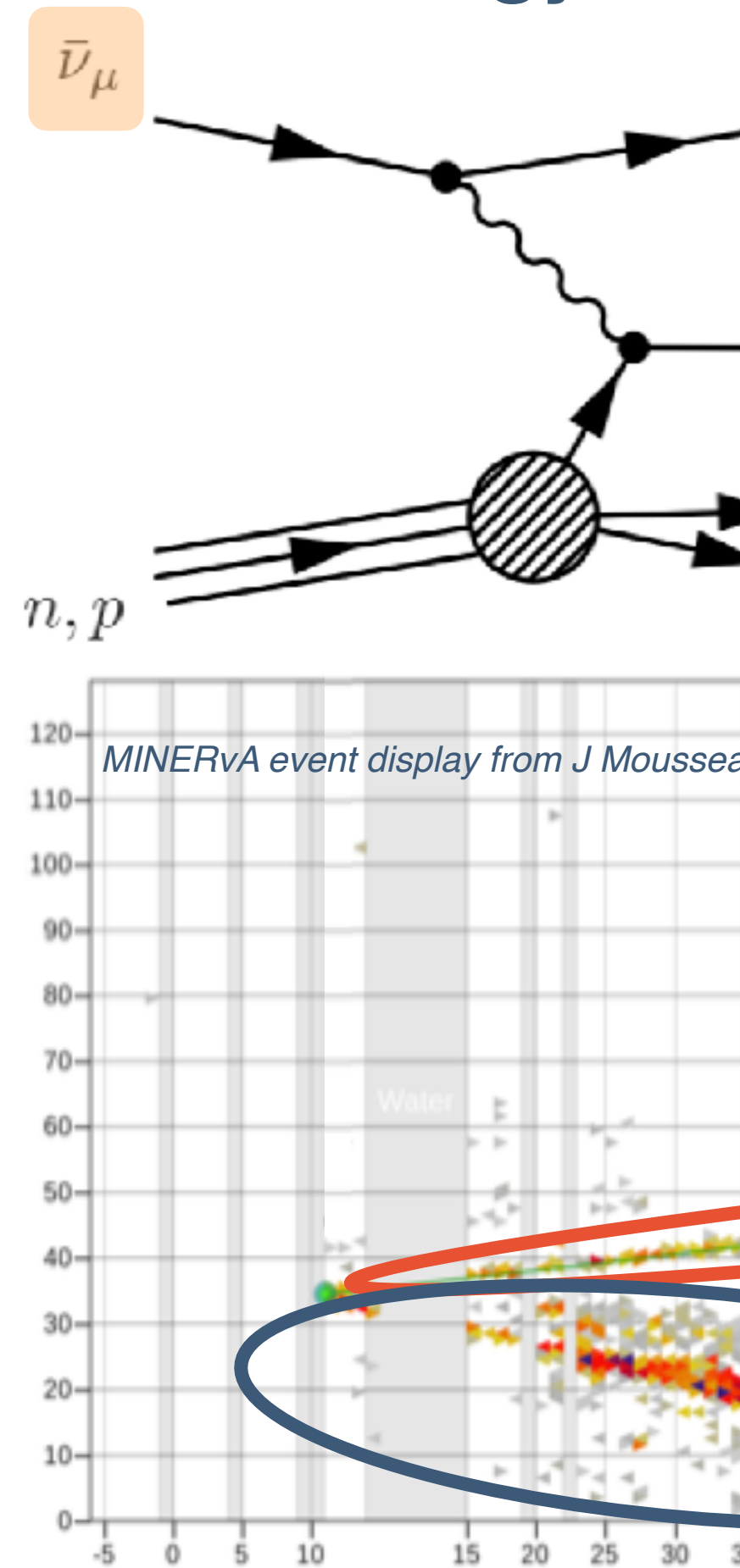
Invariant mass W of final-state hadronic system

$$Q^2 + 2m_N E_{\text{had}}$$

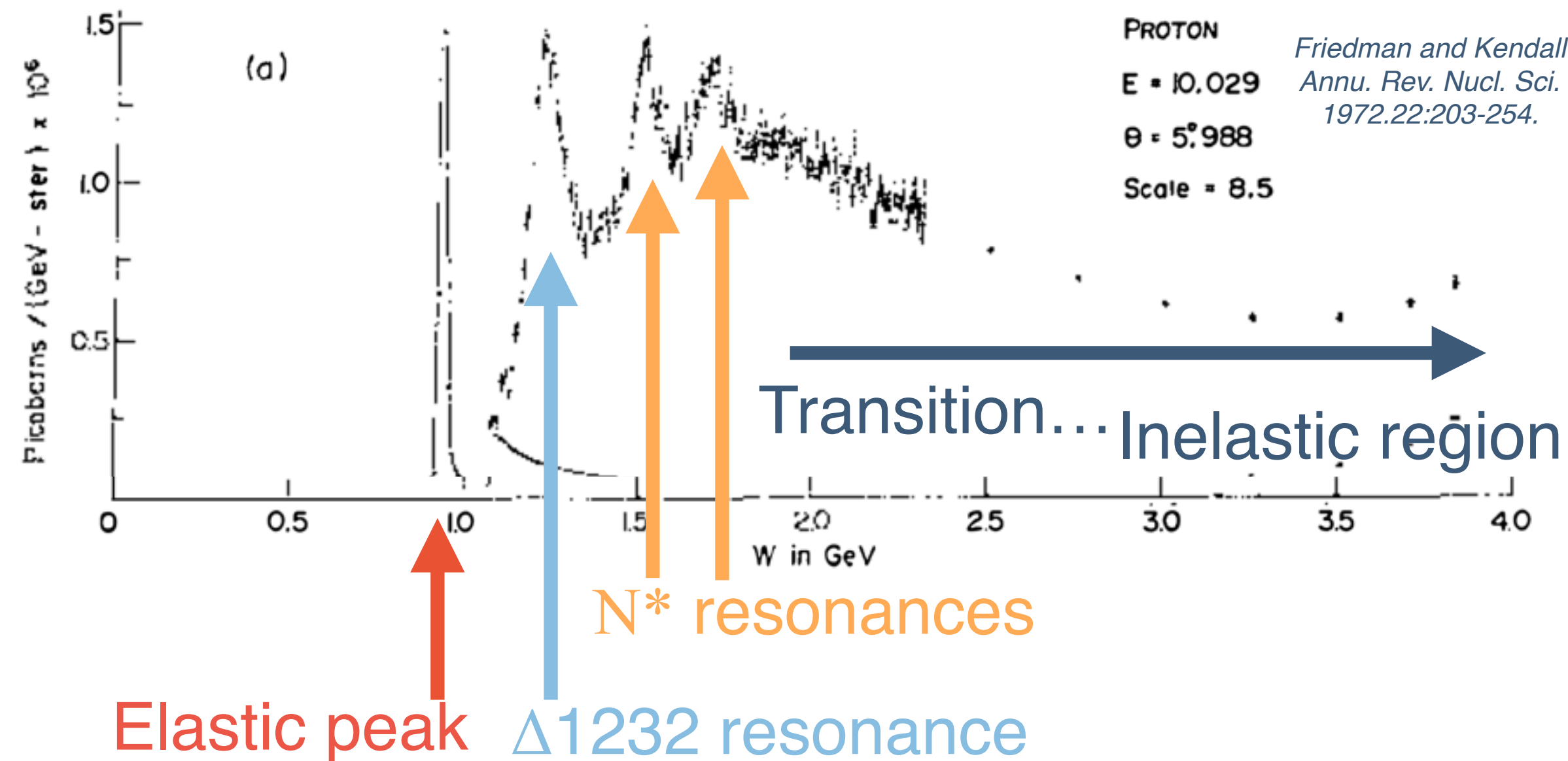
x is the fraction of the struck quark Q^2
 E_{had}

igger x than sea quarks
CCQE interaction
(d out)?

For CCQE: $x = 1$, $W = m_N$. This can be a good way of identifying a CCQE interaction!



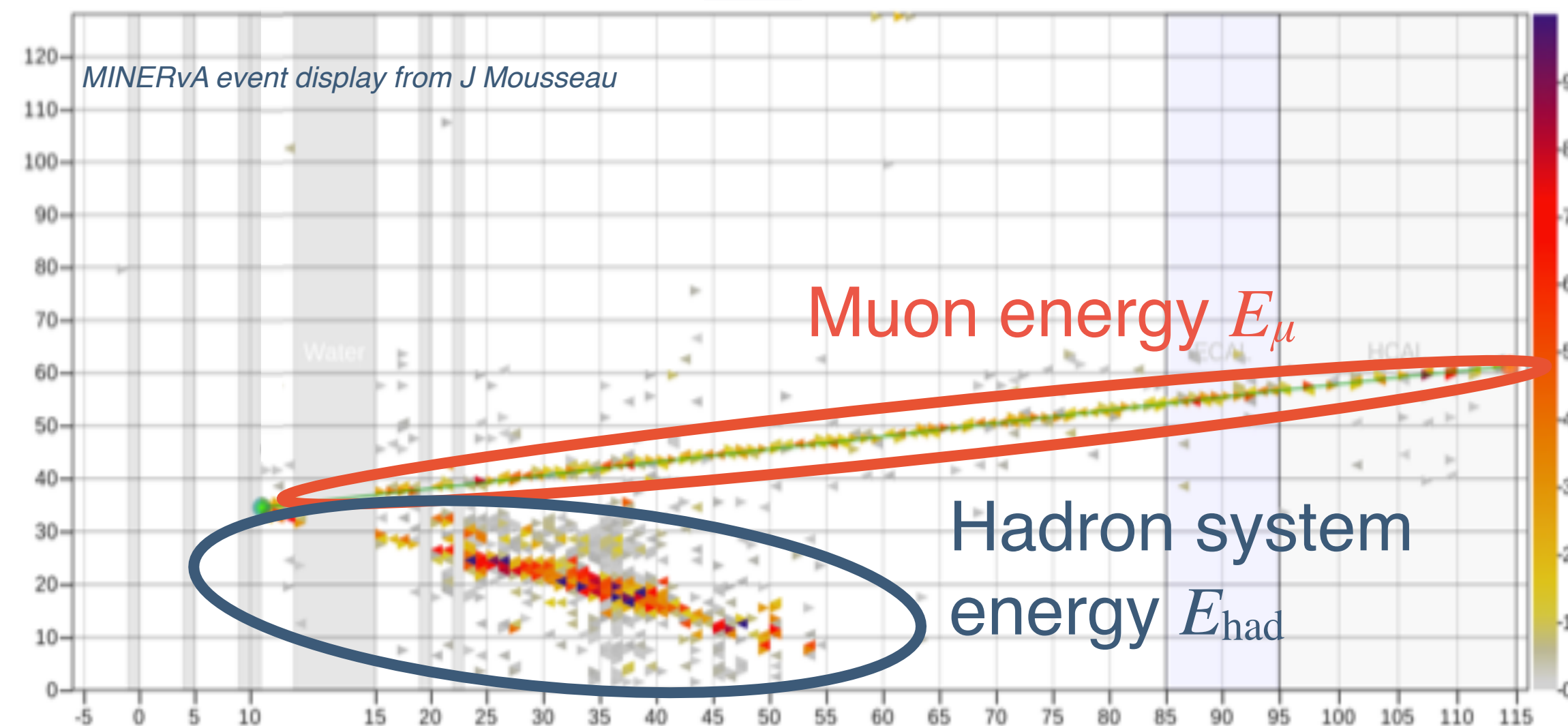
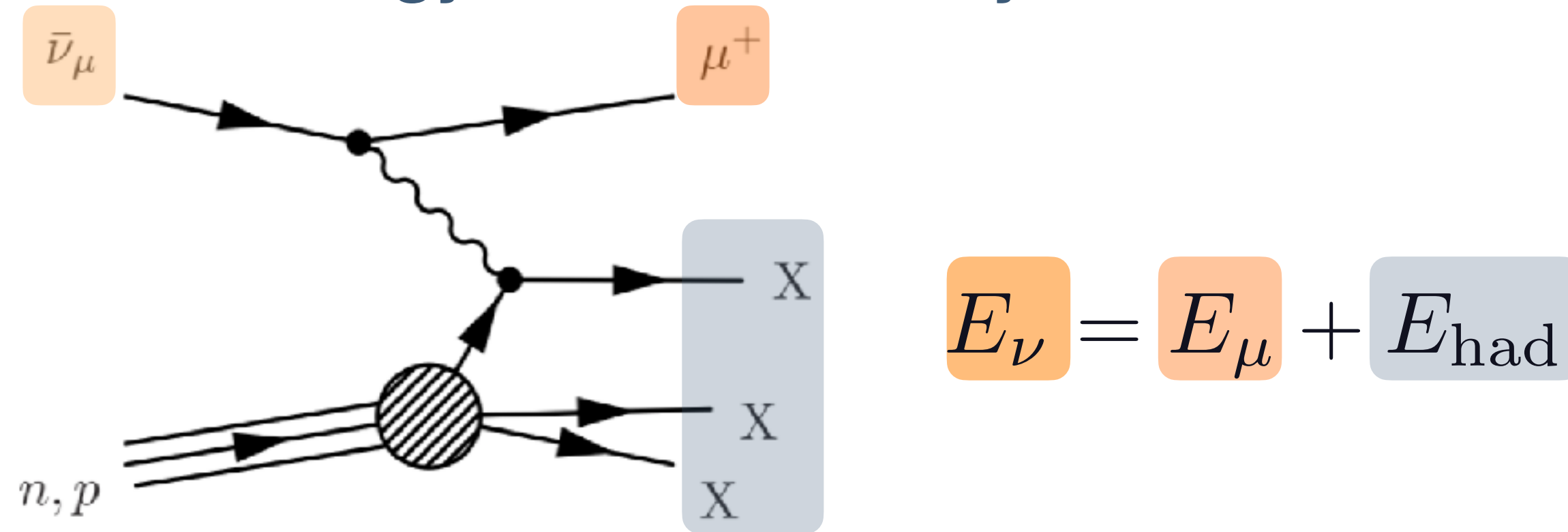
W distribution from an electron-scattering experiment



energy E_{had}

Studying DIS interactions

Rather than trying to resolve individual particles, sum the **energy of the hadron system**



Other useful variables

Invariant mass W of final-state hadronic system

$$W^2 = m_N^2 - Q^2 + 2m_N E_{\text{had}}$$

Bjorken scaling variable x is the fraction of momentum carried by the struck quark

$$x = \frac{Q^2}{2m_N E_{\text{had}}}$$

Inelasticity y is the fraction of neutrino energy transferred to the hadronic system

$$y = \frac{E_{\text{had}}}{E_\nu}$$

DIS cross sections

Only accessible
through neutrino
scattering

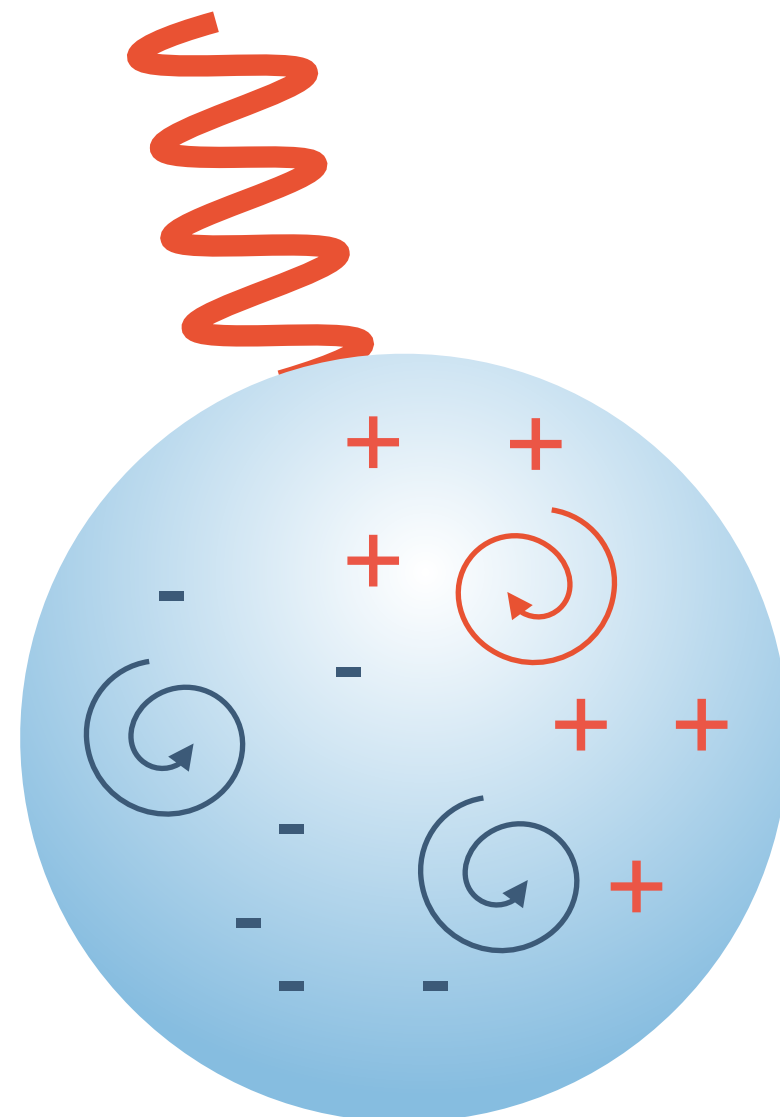
$$\frac{d^2\sigma(\nu h)}{dxdy} = \frac{G_{FS}^2}{2\pi} \left[xy^2 F_1^{\nu h}(x, y) + (1 - y) F_2^{\nu h}(x, y) + y(1 - \frac{y}{2}) x F_3^{\nu h}(x, y) \right]$$

Not independent

DIS cross sections depend on our new friends x (momentum fraction) and y (inelasticity)

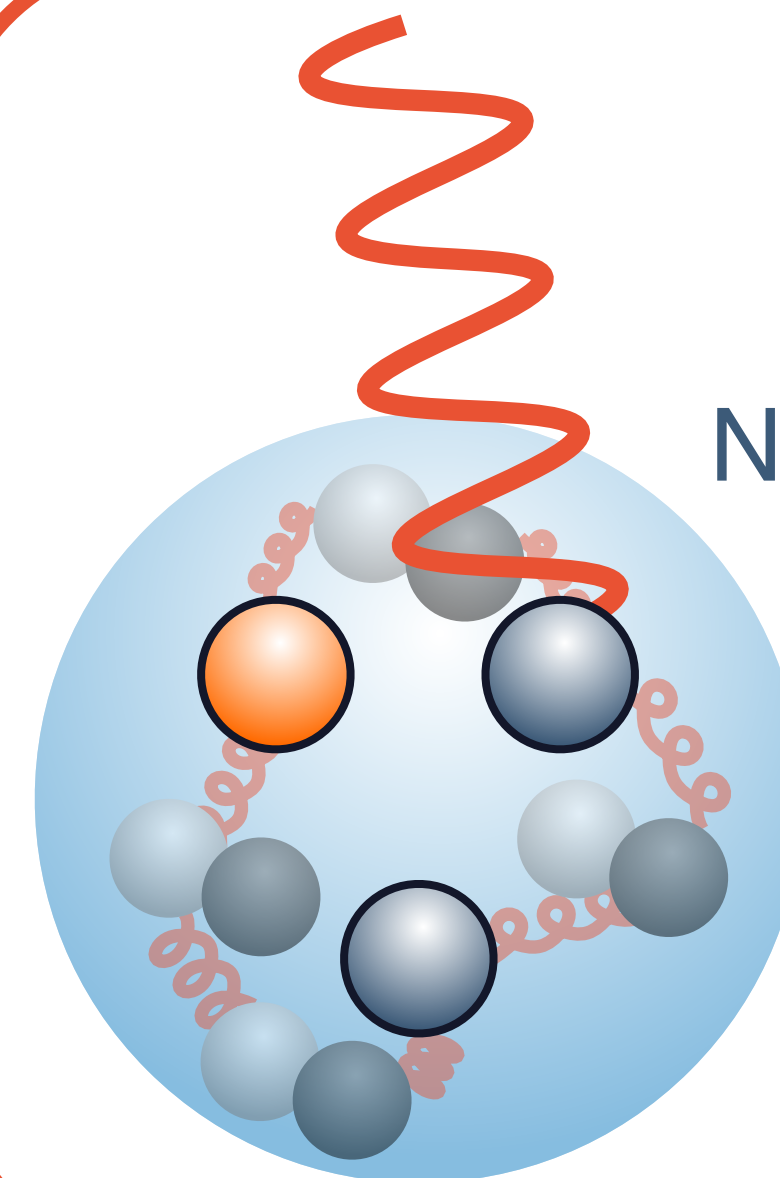
Quasi-elastic scattering

Nucleon **form factors**:
charge and current
distribution as seen from
outside



Deep inelastic scattering

Nucleon **structure functions**:
describe momentum
distribution of sea
and valence quarks
inside the nucleon

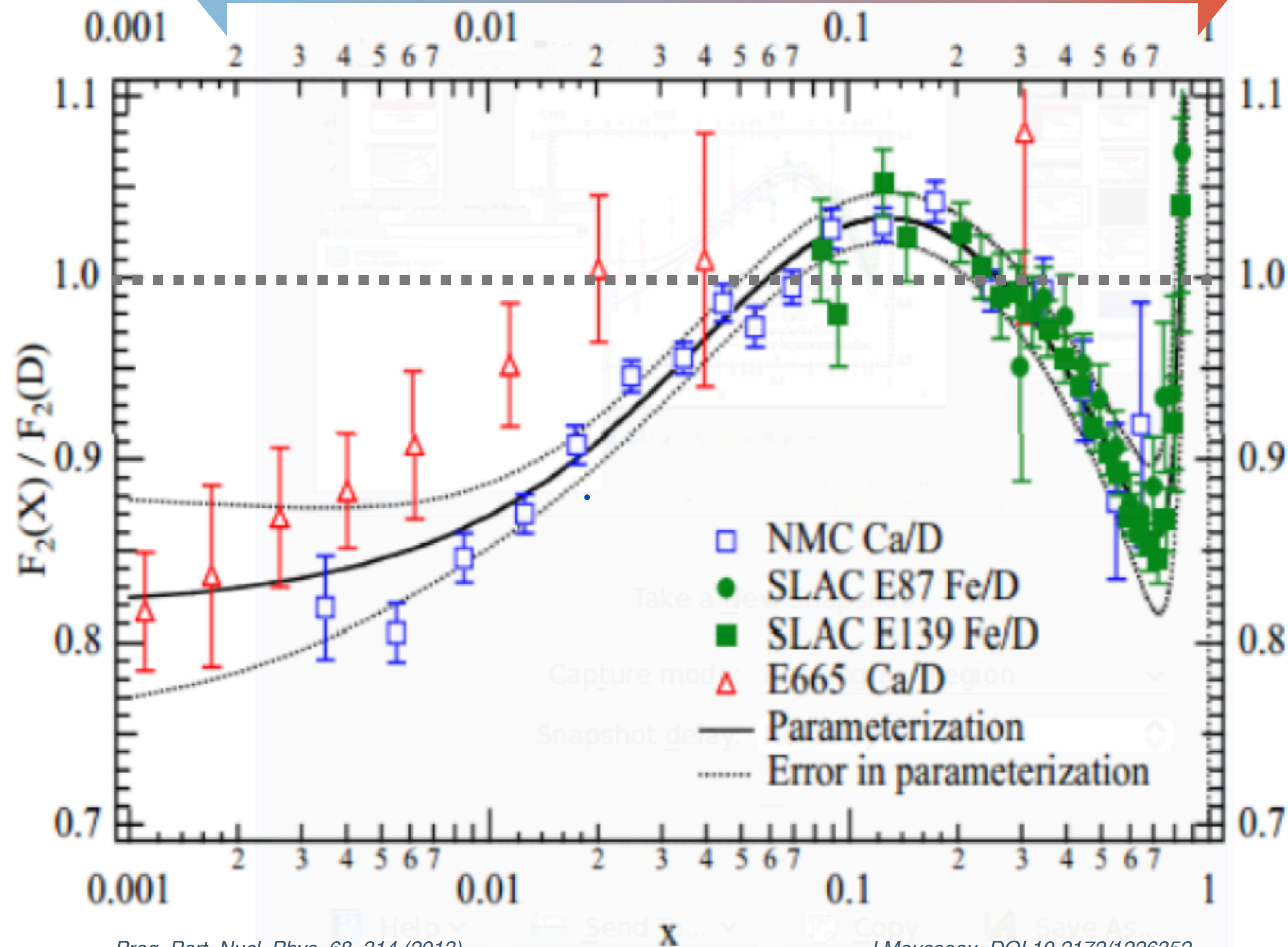


Neutrino scattering can help us understand structure functions - and they are **affected by the nucleus**

Structure functions for heavy nuclei

Sea quarks

Valence quarks



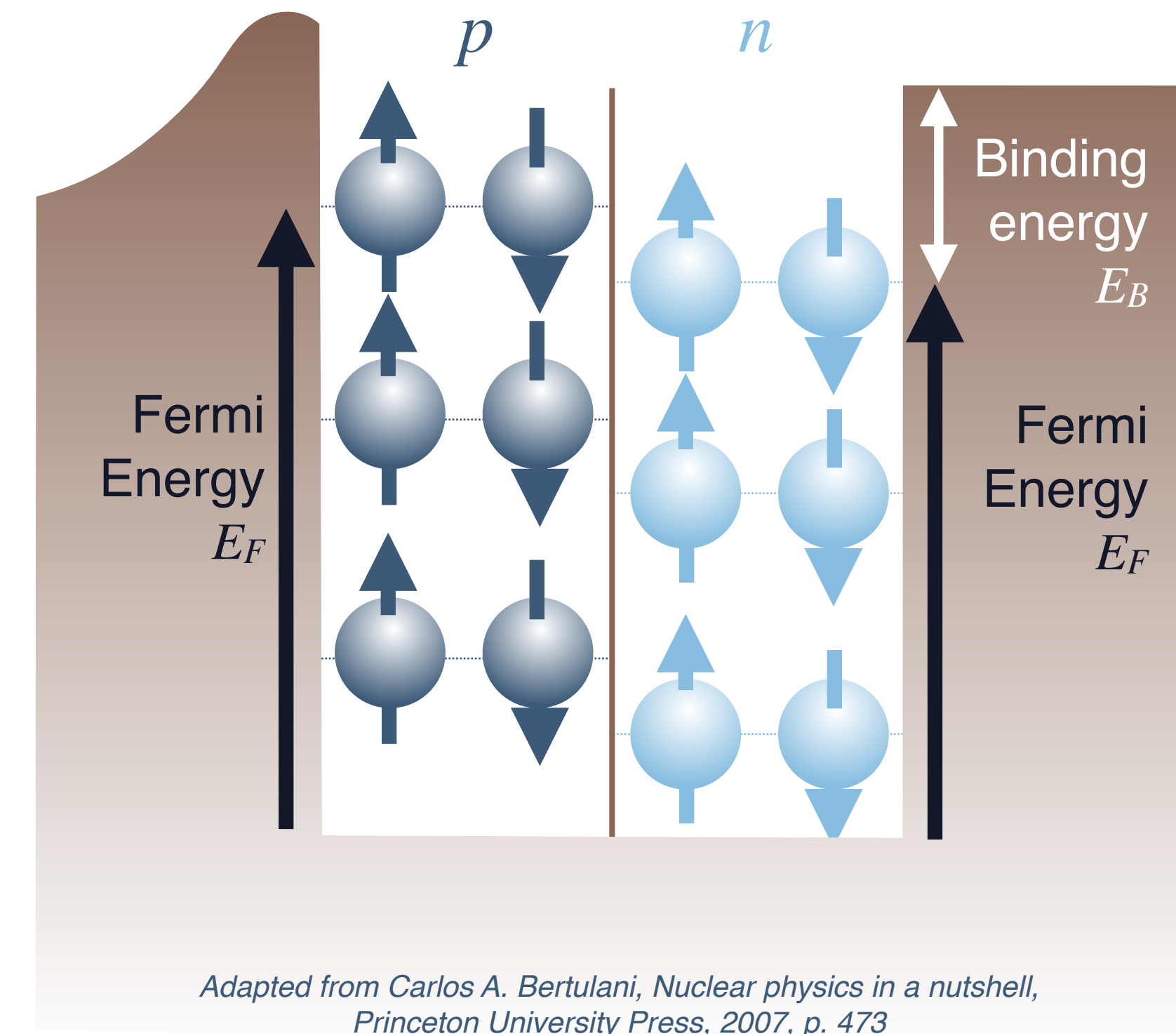
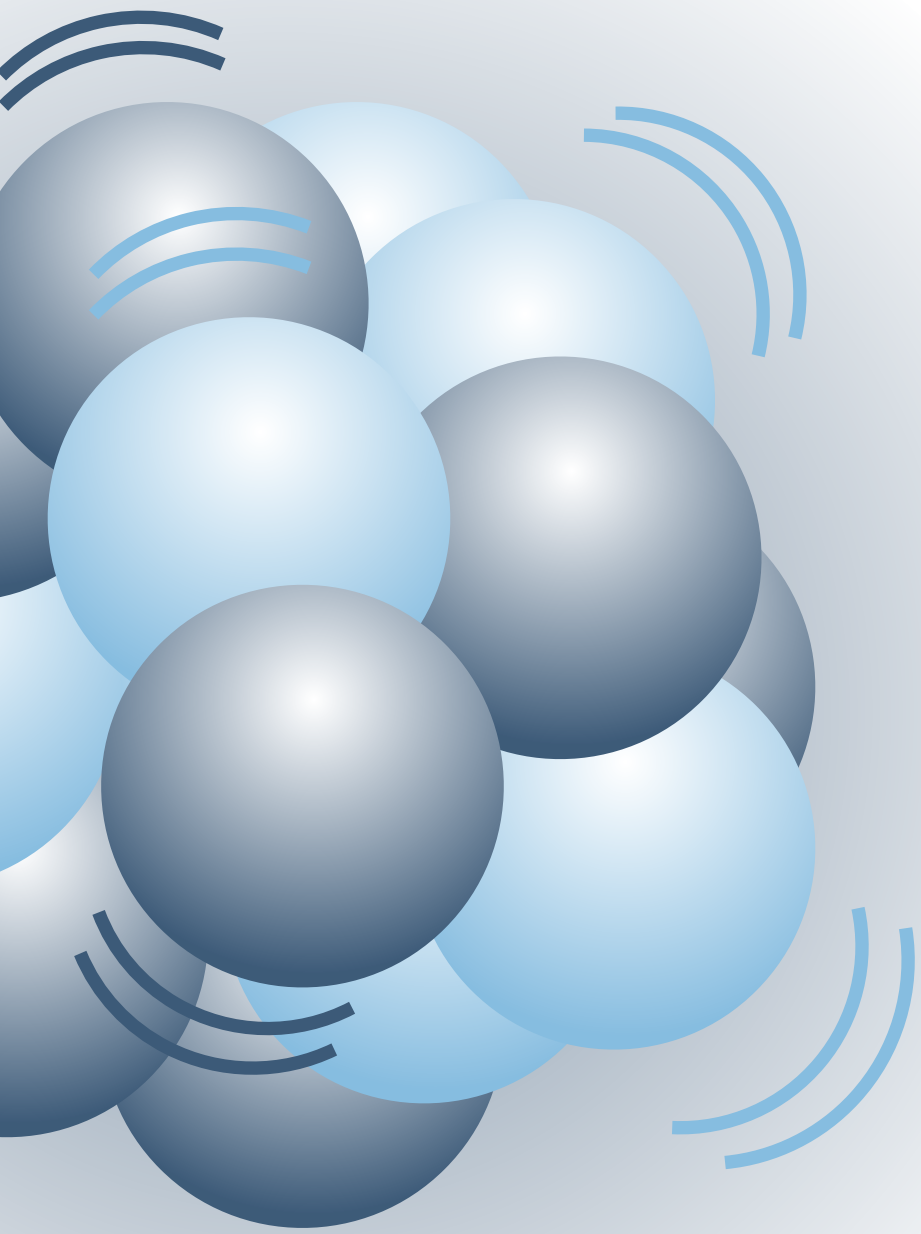
Prog. Part. Nucl. Phys. 68, 314 (2013)

J Mousseau, DOI [10.2172/1226352](https://doi.org/10.2172/1226352)

- Charged-lepton DIS from heavy nuclei (Ca, Fe) vs deuterium (^2H)
- x separates **sea** and **valance** quarks
- Nucleus has complicated effects on both...

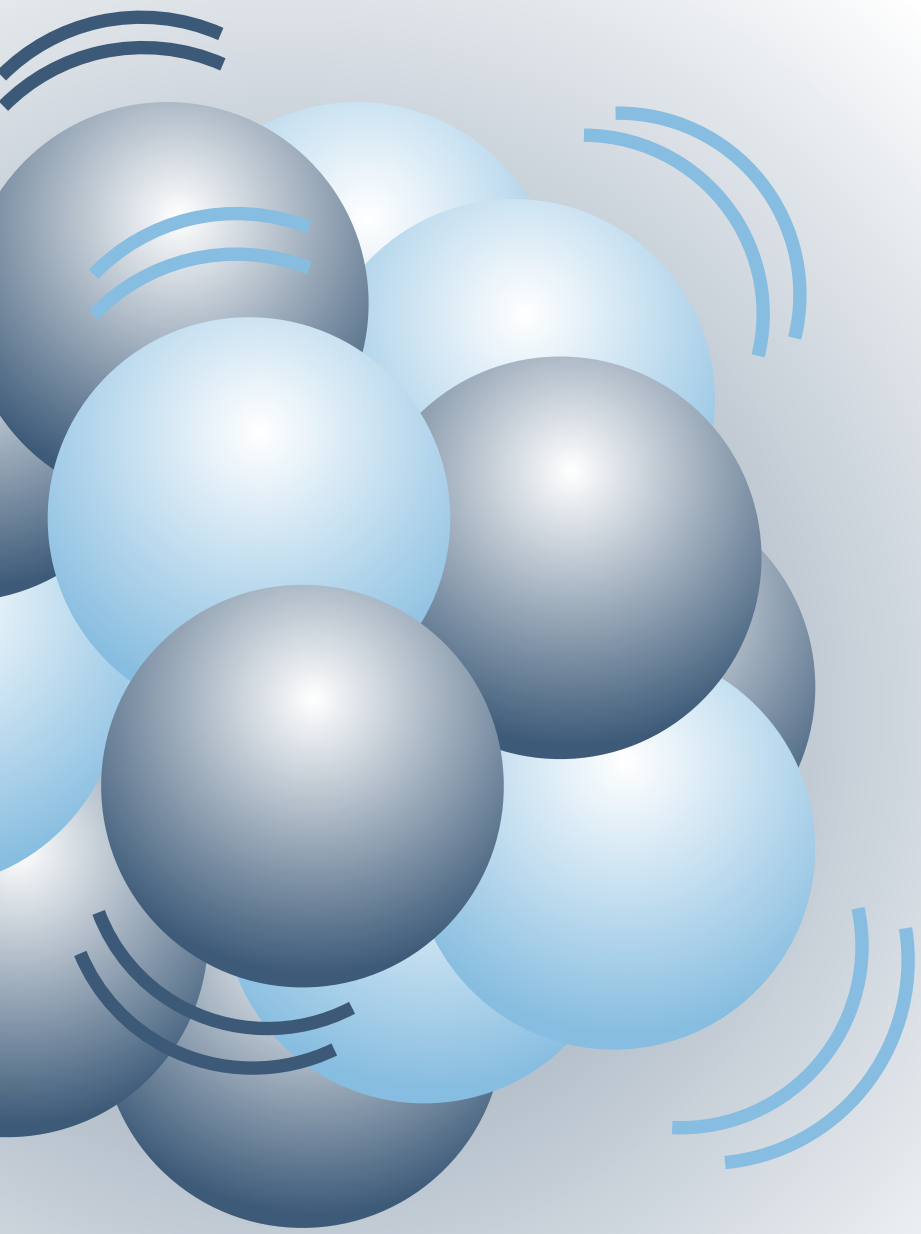
Nuclear effects - Relativistic Fermi Gas models

- Nucleons in a nucleus are not stationary: the nucleus affects them
- As fermions, they obey Fermi-Dirac statistics and Pauli exclusion principle: no identical particles in the same quantum state



Nuclear effects - Relativistic Fermi Gas models

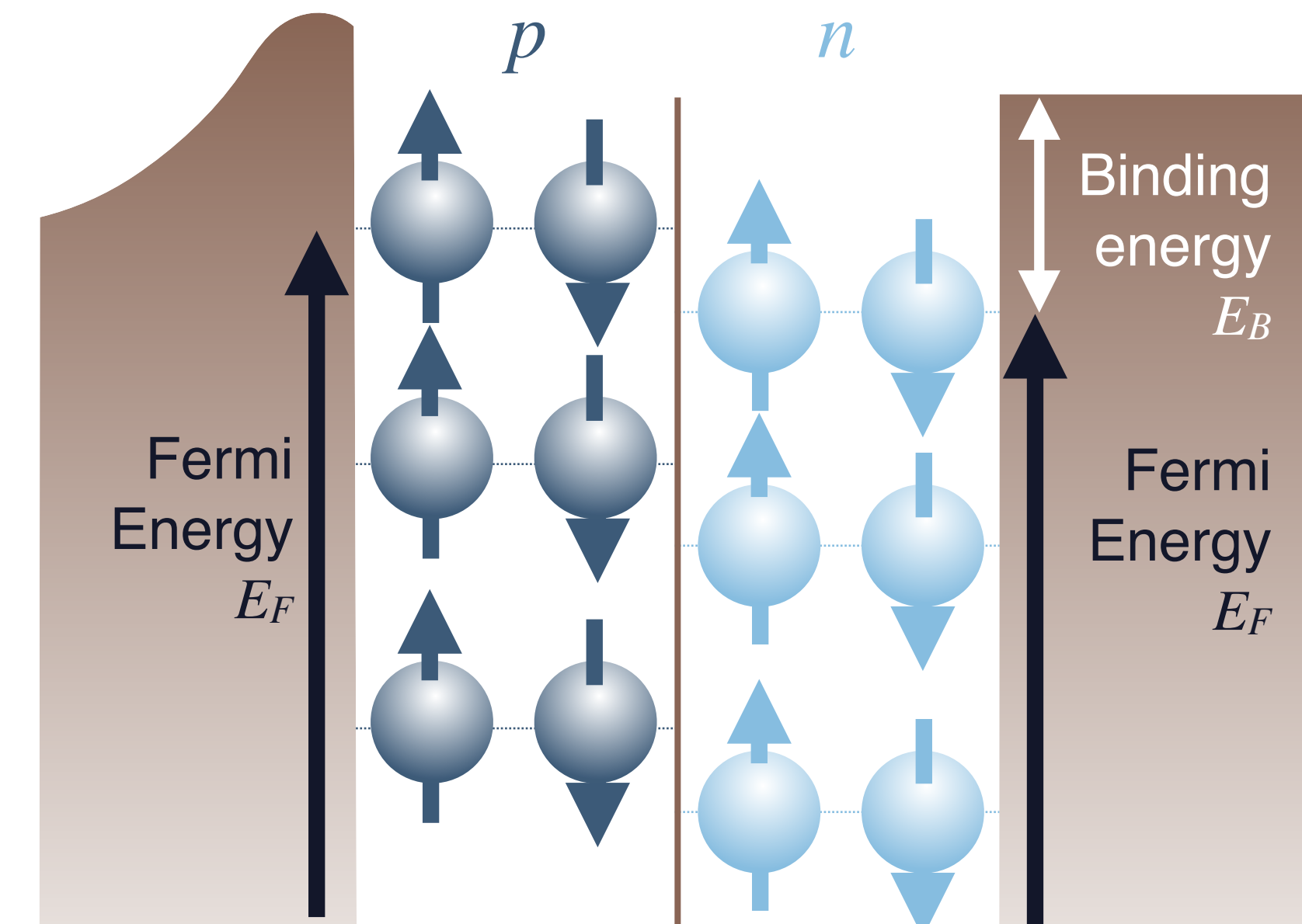
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Global Fermi Gas Model

- Treat target nucleon as an independent particle (**impulse approximation**)
- With a **momentum** between 0 and E_F
(argon: $E_F = 242$ MeV (protons); 259 MeV (neutrons))
- **Pauli blocking** - momentum after interaction must be above Fermi momentum

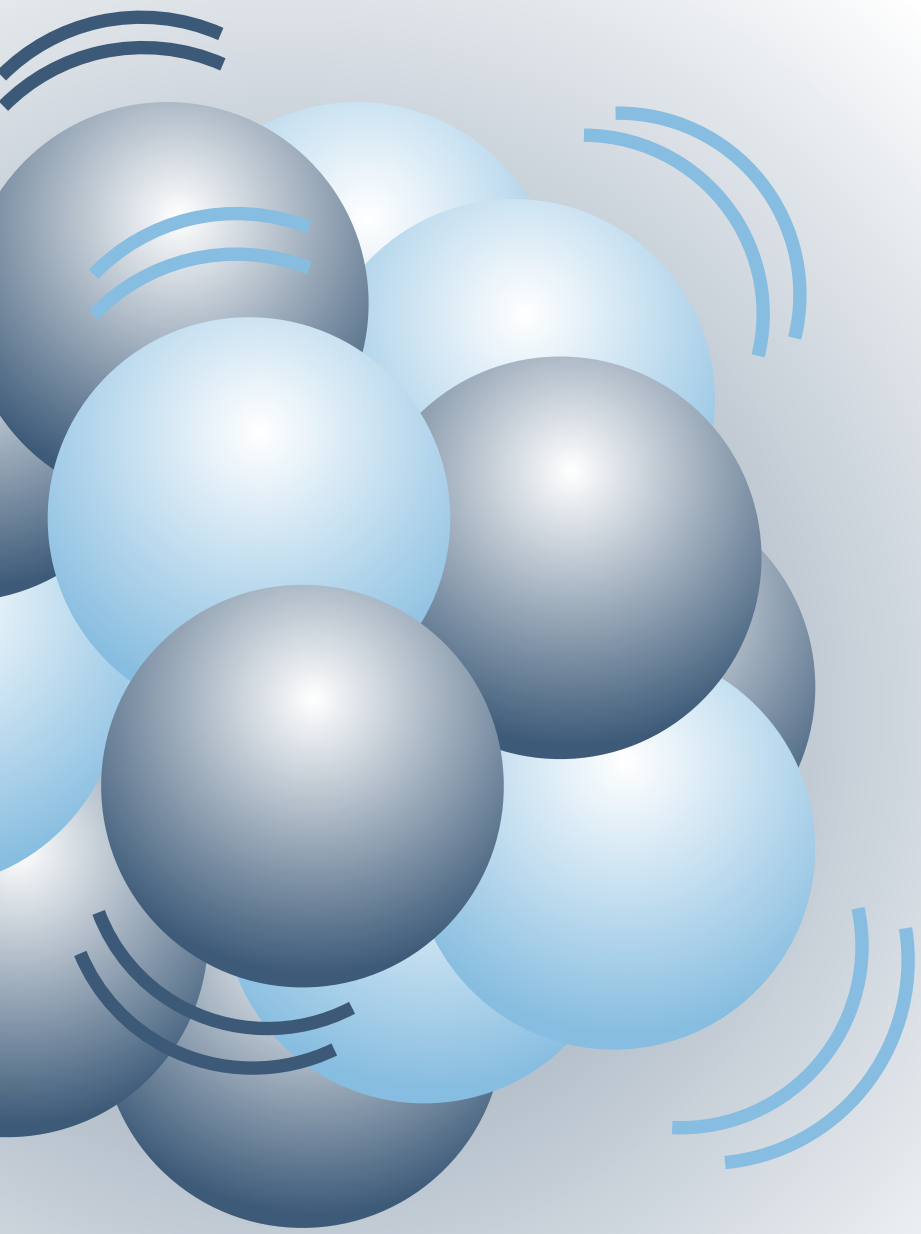
R. Smith and E. Moniz, Nucl.Phys. B43, 605 (1972)



Adapted from Carlos A. Bertulani, Nuclear physics in a nutshell, Princeton University Press, 2007, p. 473

Nuclear effects - Relativistic Fermi Gas models

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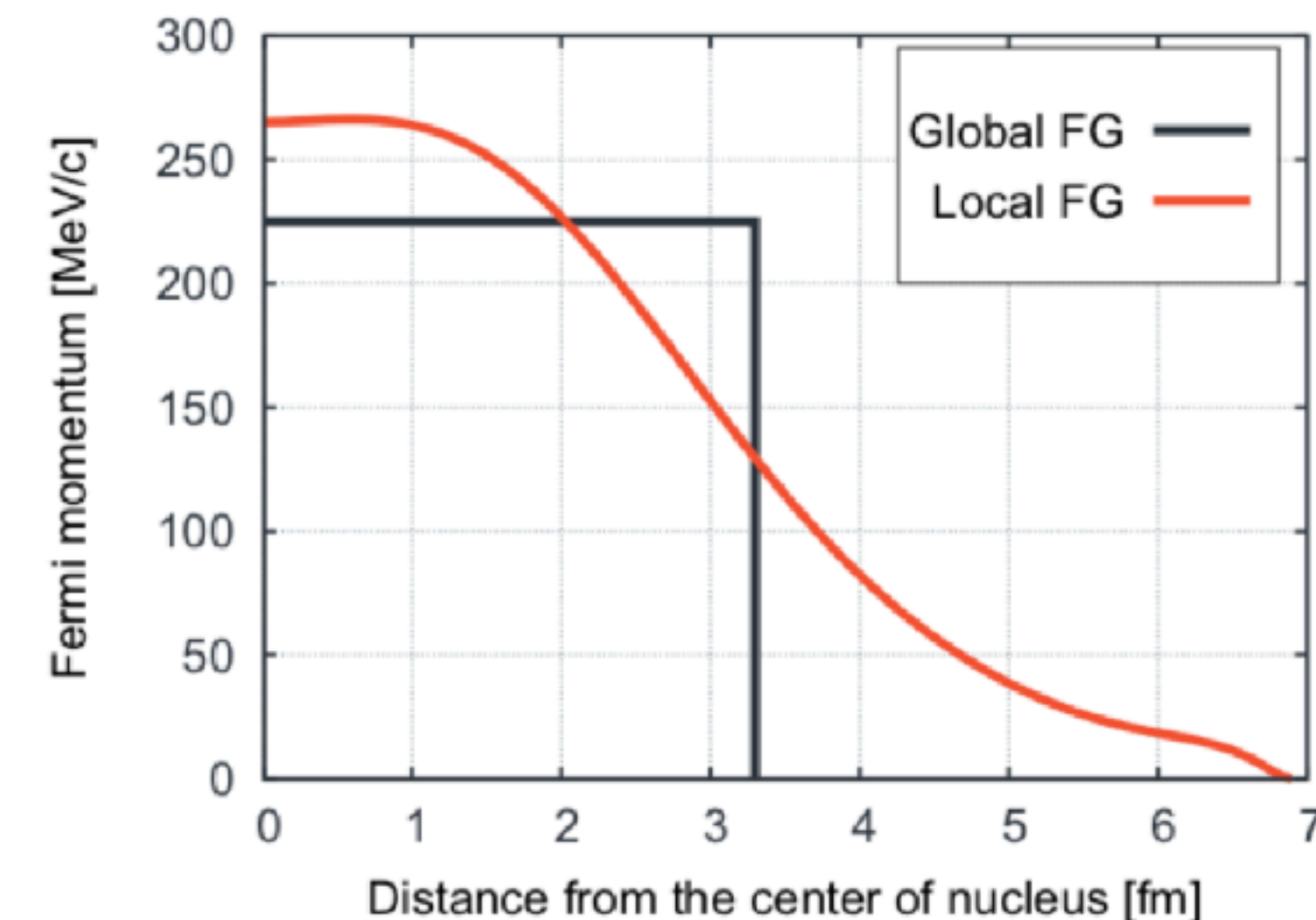
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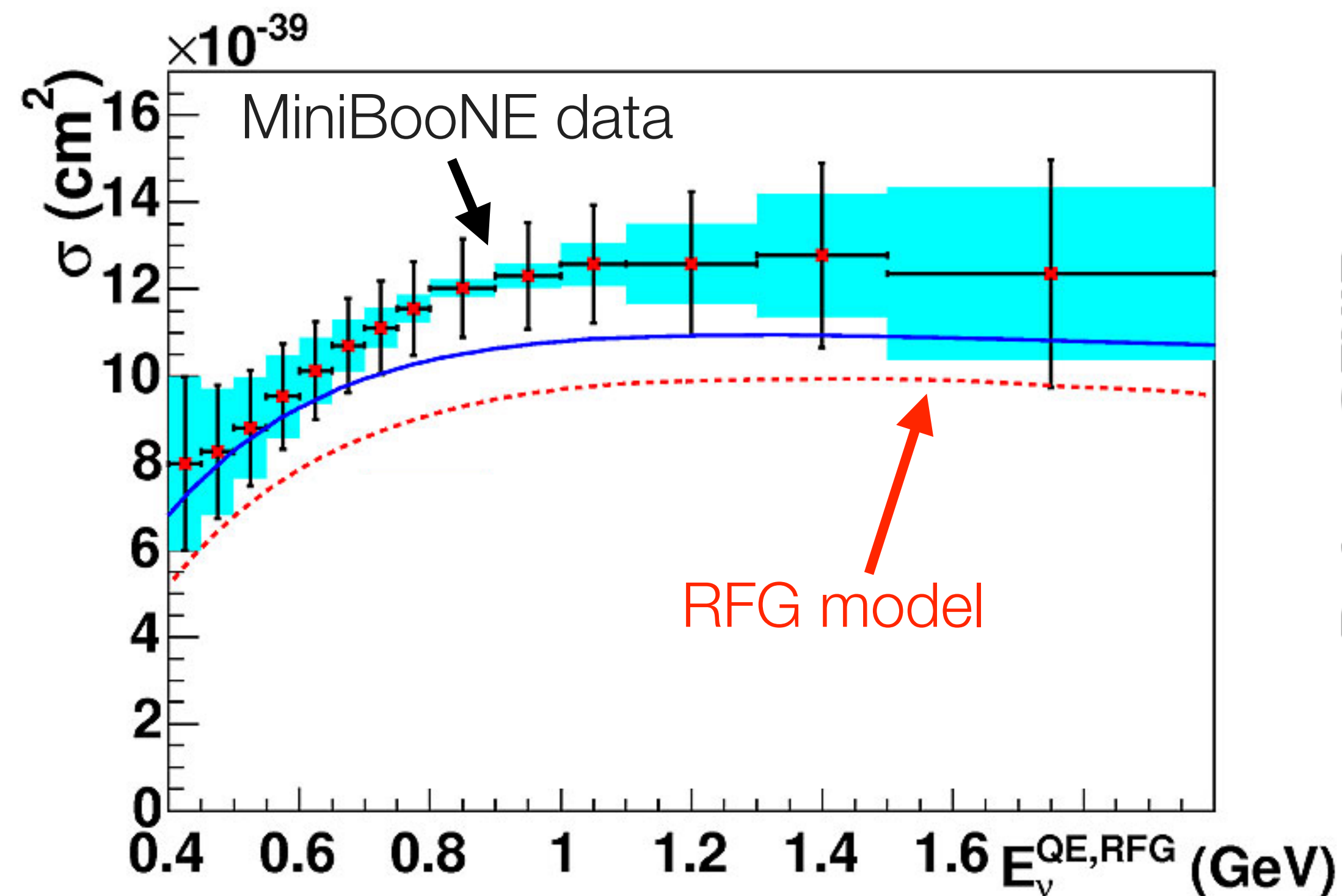
Local Fermi Gas Model

- Momentum distribution position-dependent
- Used in current versions of DUNE's simulation (GENIE)

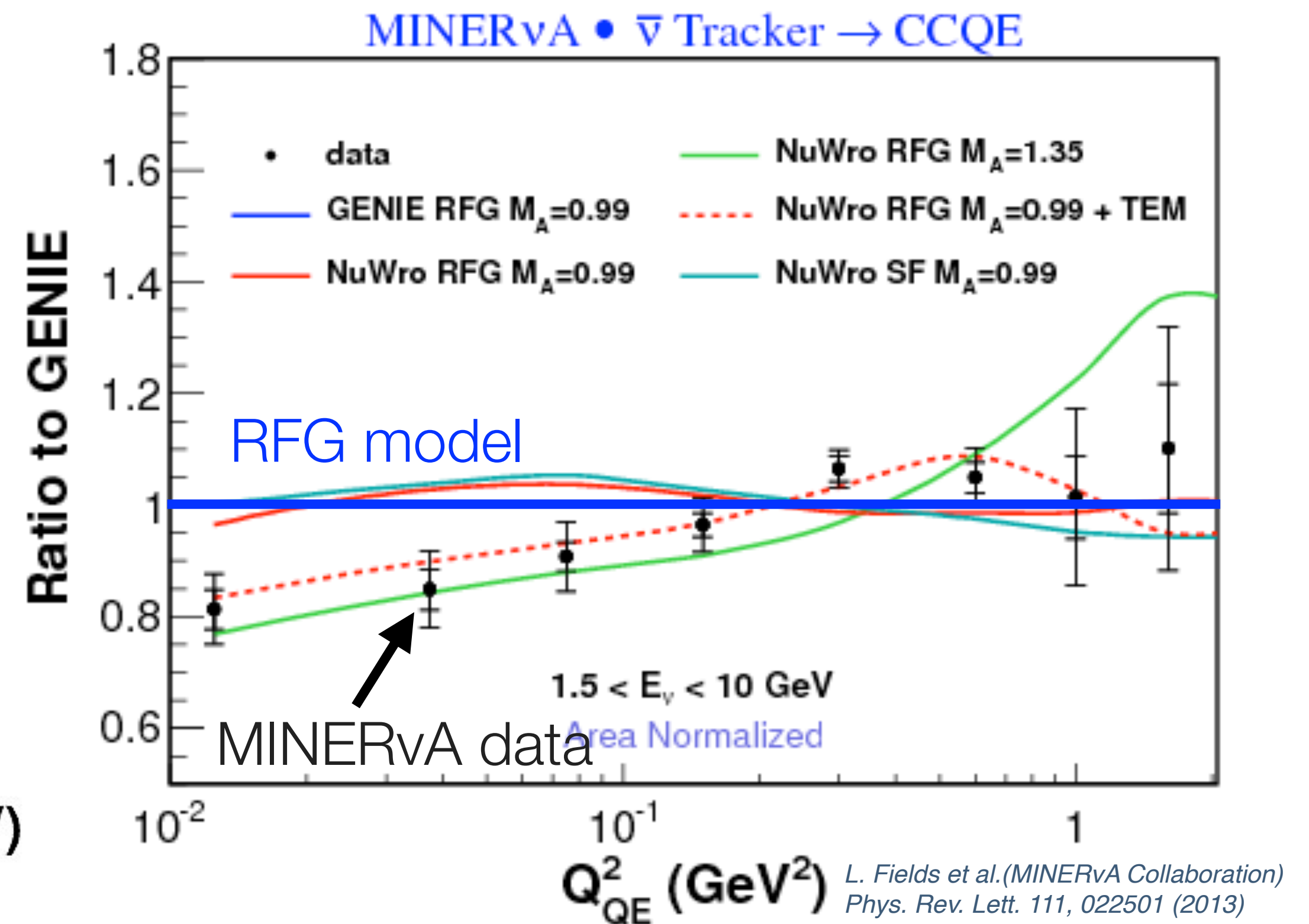


Tomasz Golan

But the Fermi Gas model isn't enough to describe data



A. A. Aguilar-Arevalo et al. (MiniBooNE Collaboration)
Phys. Rev. D **81**, 092005



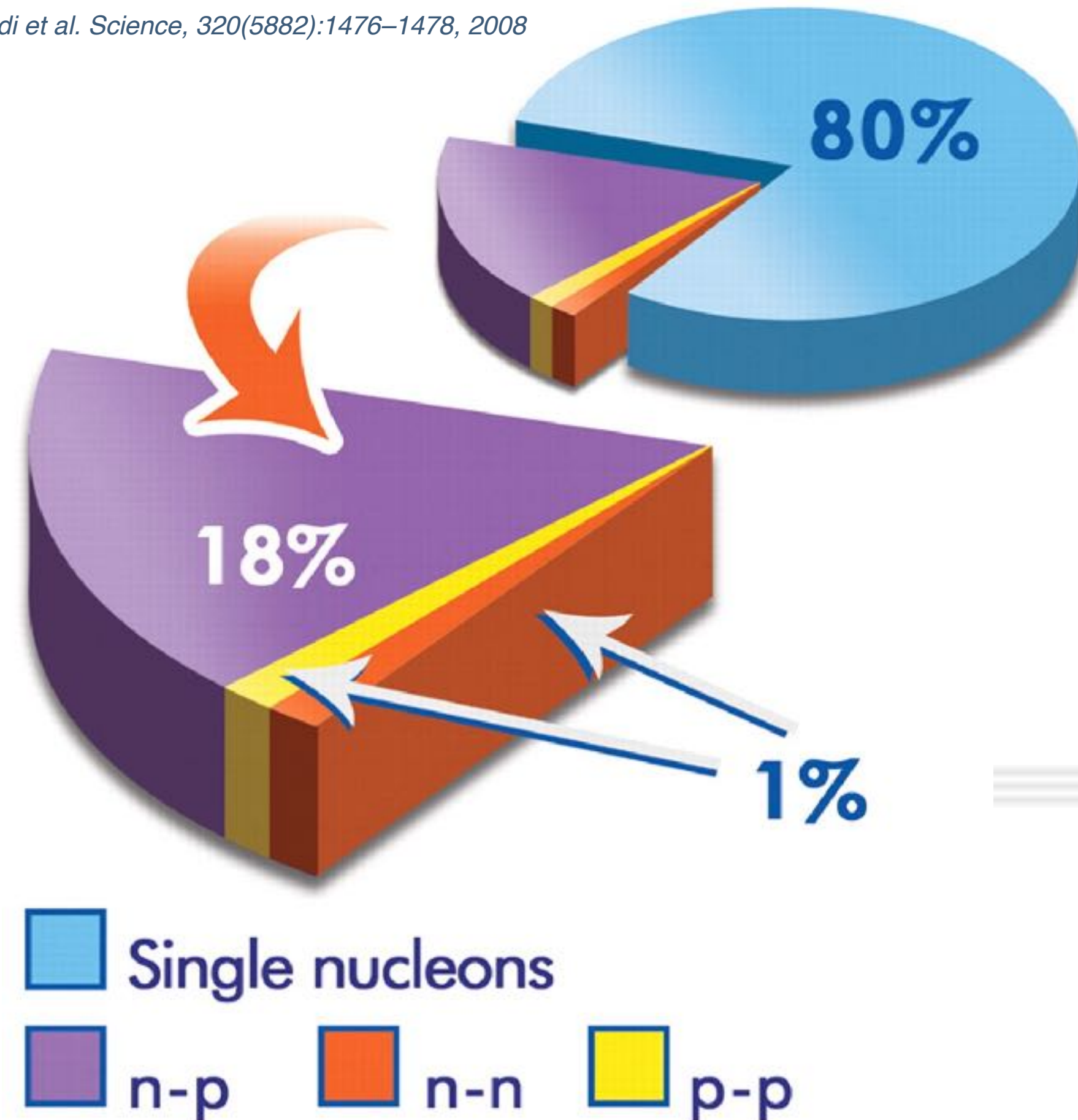
L. Fields et al. (MINERvA Collaboration)
Phys. Rev. Lett. **111**, 022501 (2013)

Quasi-elastic neutrino and antineutrino cross sections measured at two experiments do not match the Fermi Gas model's predictions:
what are we missing?

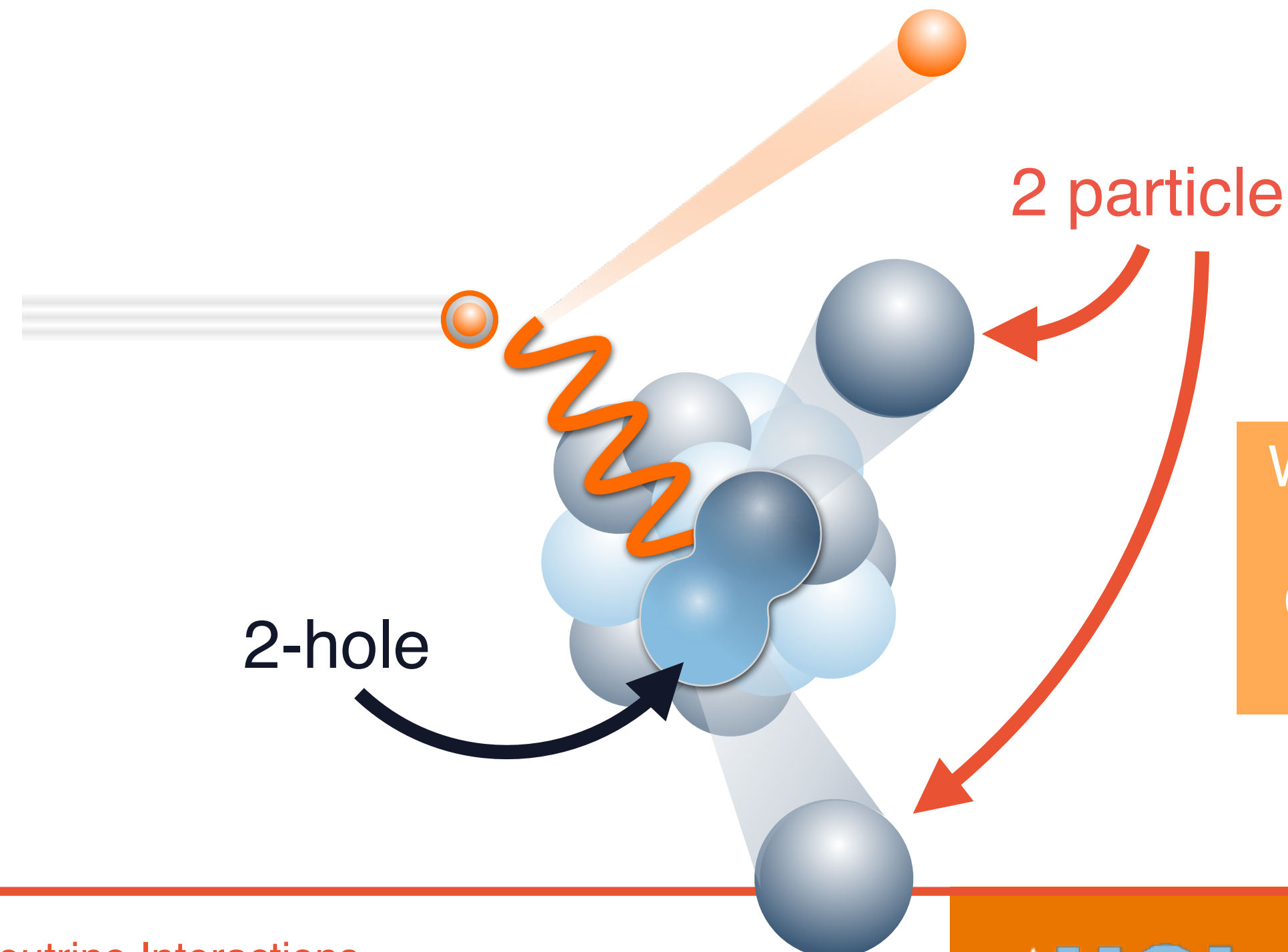
Multinucleon effects

Question 3

R. Subedi et al. Science, 320(5882):1476–1478, 2008

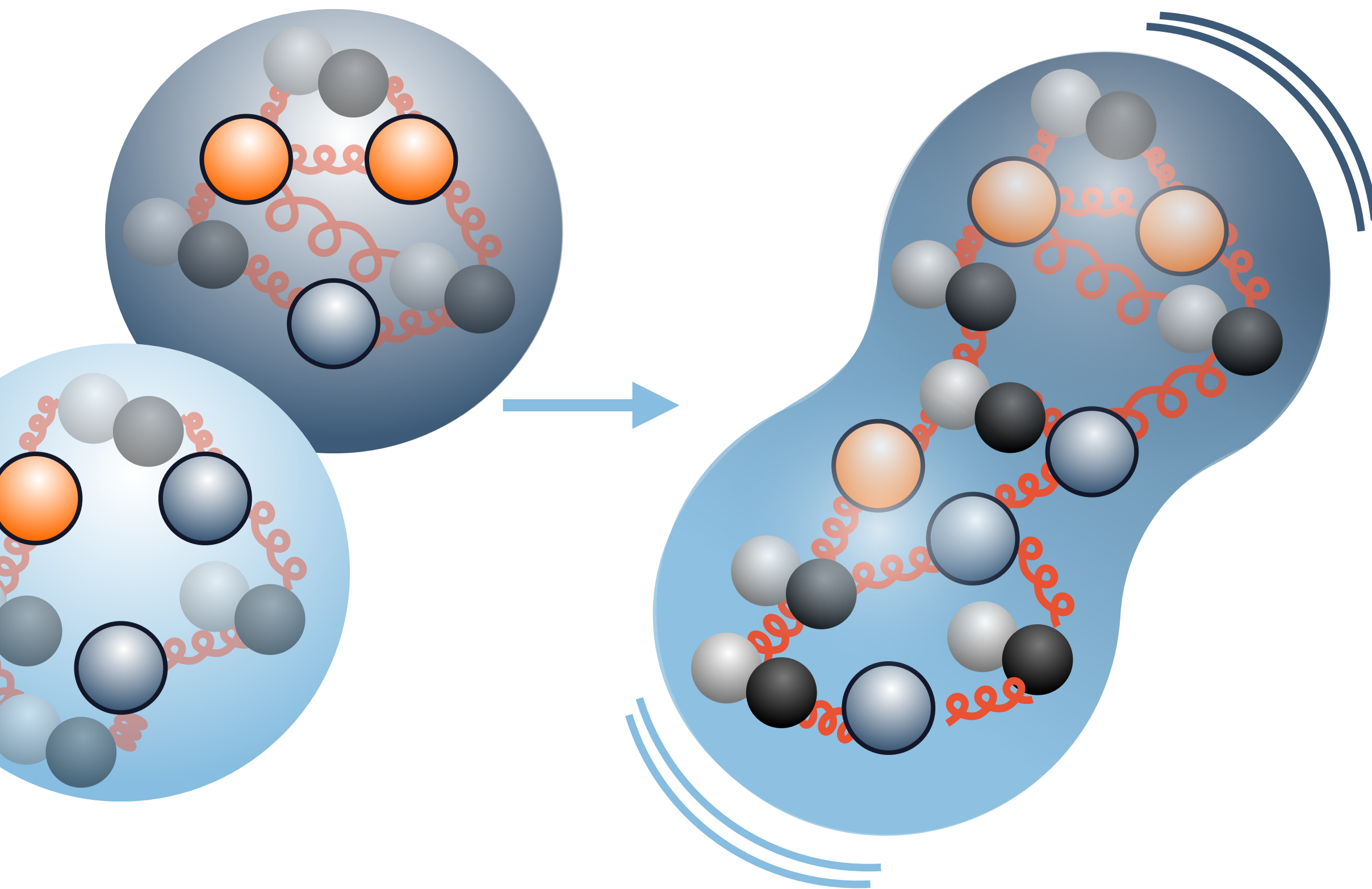


- RFG/LFG assume scattering from a single nucleon...
- ...but 20% of nucleons in nuclei form correlated **pairs**
- Scattering from a pair can **knock out the partner** (2p2h: two particle, two hole)
- **Energy reconstruction** like the QE formula will be invalid
- Nuclear effects are **complicated** and **not fully understood**



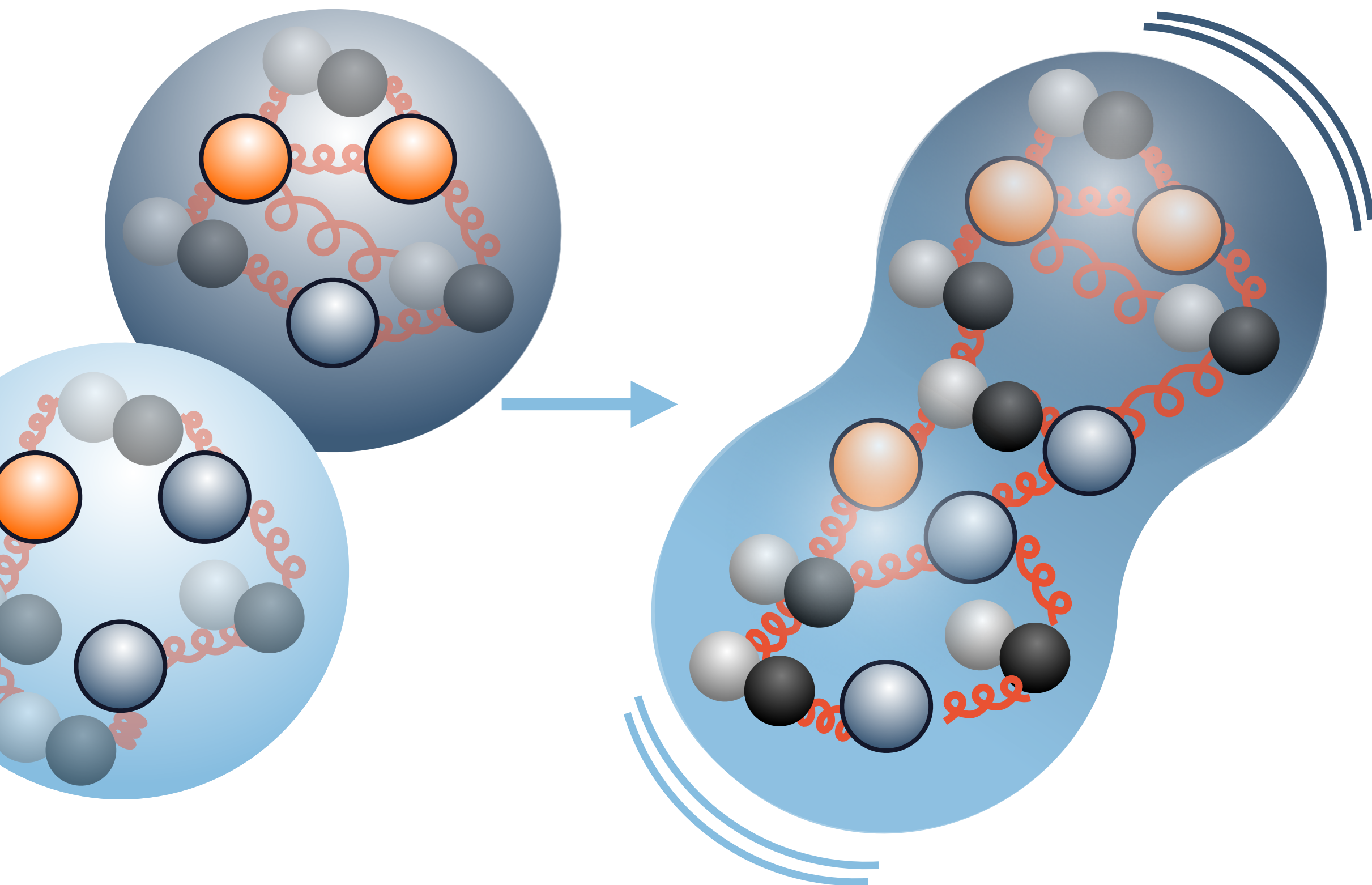
What final states would you expect to see for 2p2h CCQE scattering of ν_μ and $\bar{\nu}_\mu$?

Short-range correlations (SRC)

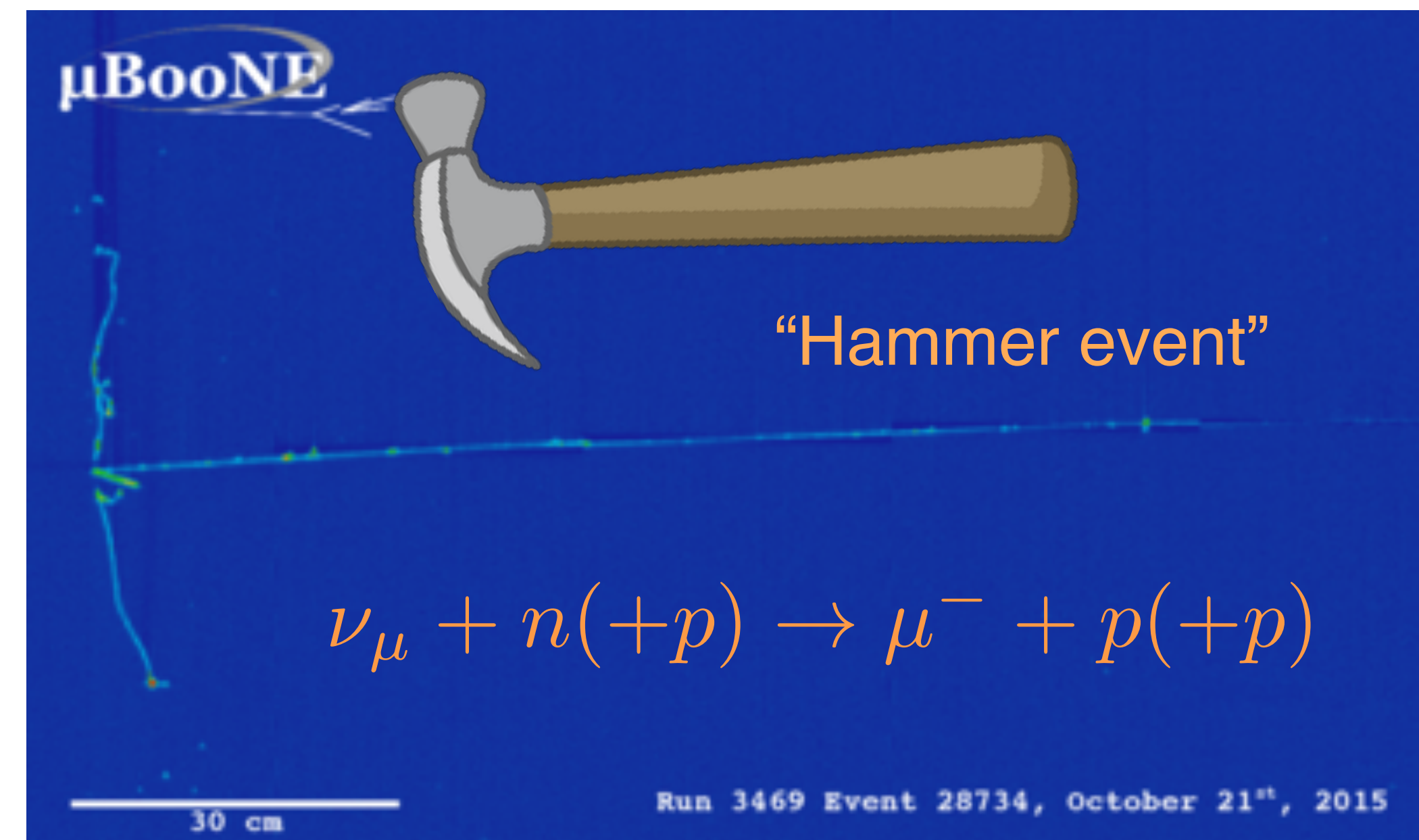


- Wave-functions overlap for a brief period
- Two nucleons with large, opposite momenta
 - **Individual** momenta $>$ Fermi momentum k_F ($x > 1$)
 - **Center-of-mass** momentum of pair $< k_F$
- Almost all high-momentum nucleons are in SRC pairs

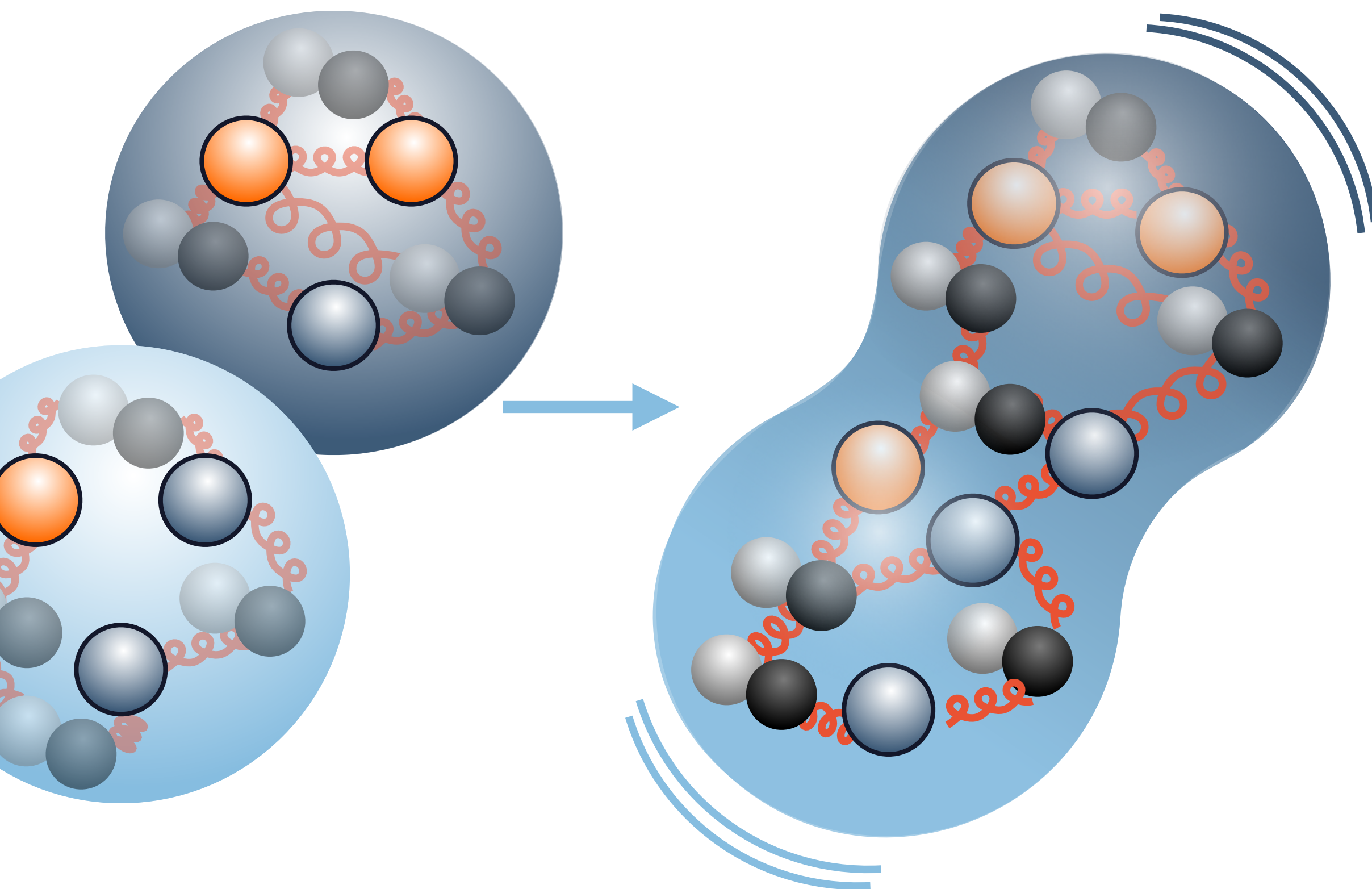
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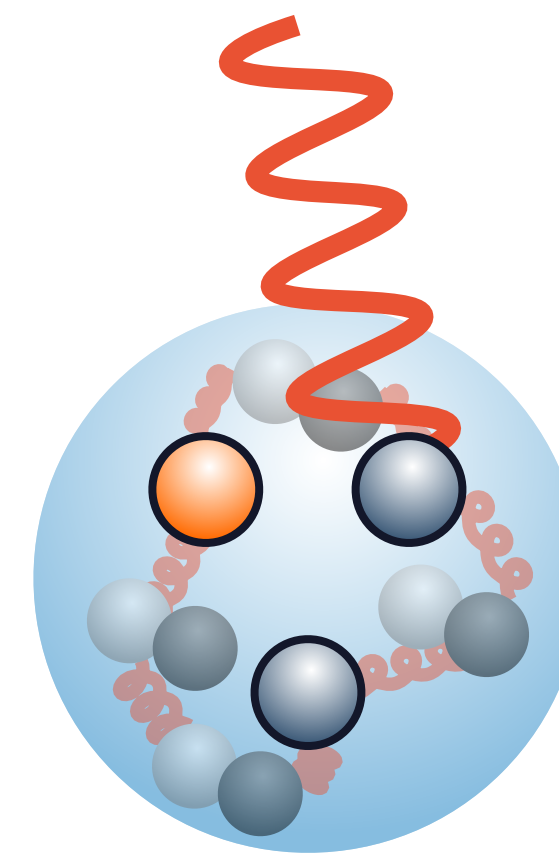
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Short-range correlations (SRC)



- Wave-functions overlap for a brief period
- Two nucleons with large, opposite momenta
 - **Individual** momenta $>$ Fermi momentum k_F ($x > 1$)
 - **Center-of-mass** momentum of pair $< k_F$
- Almost all high-momentum nucleons are in SRC pairs
- Scattering signature is back-to-back protons
- Being in an SRC pair also modifies the nucleon, affecting the structure function (quark distribution)

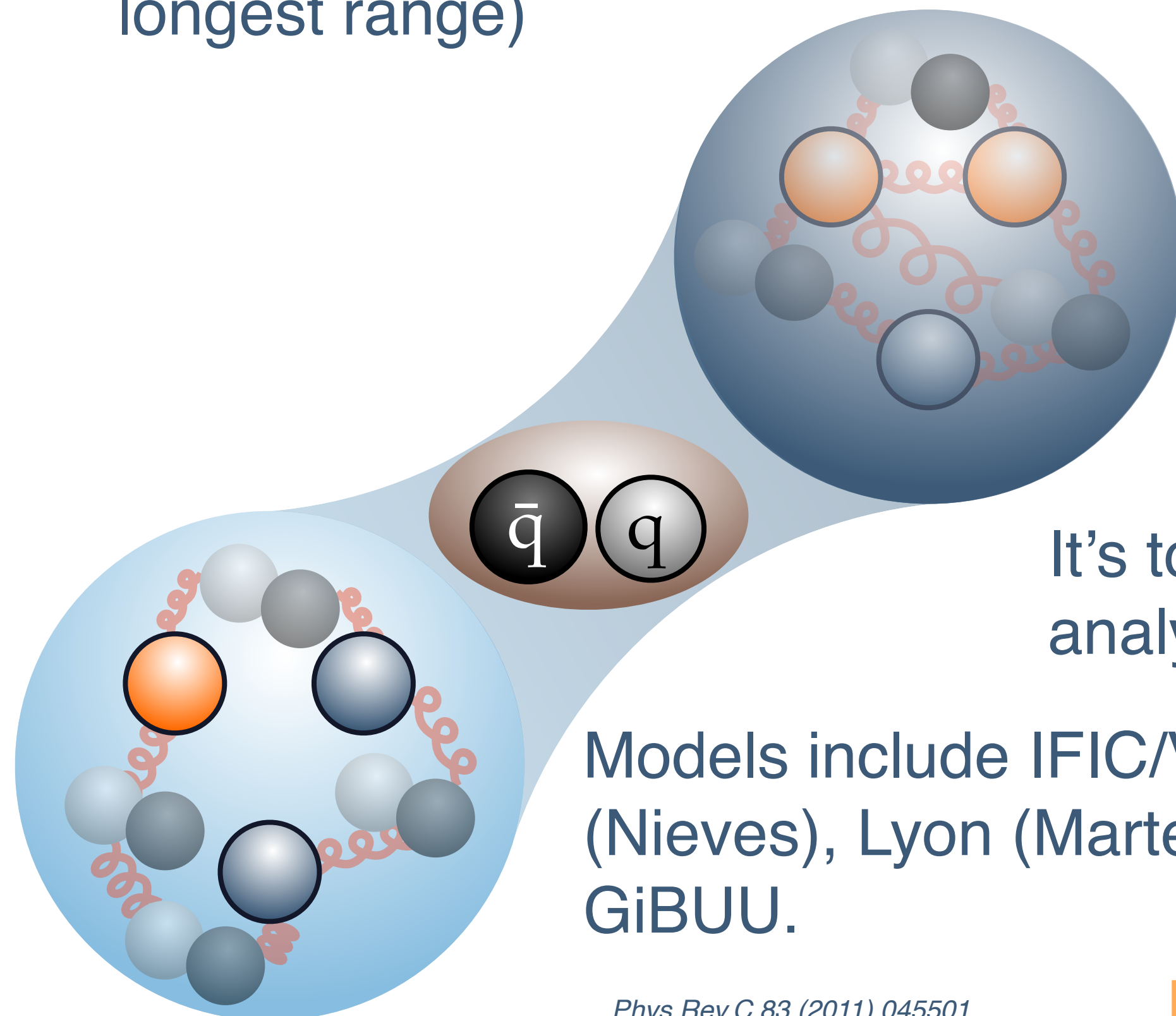


Remember - the structure functions affect deep inelastic scattering rates. Could this explain the EMC effect?

Meson-exchange currents (MEC)

Adapted from *Annals of Physics*, 131(2):451 – 493, 1981

Another way of considering the binding between a nucleon pair is exchange of virtual mesons (pions are the lightest, so have the longest range)

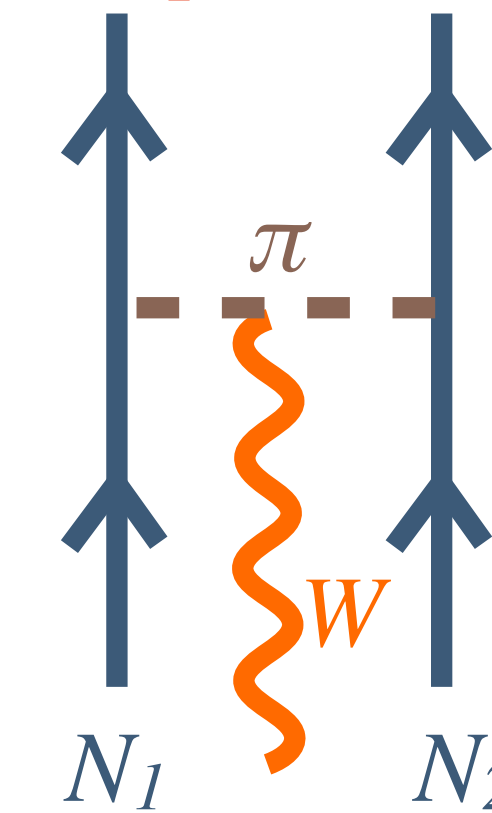


You can model this with Feynman diagrams (useful for calculating cross section kinematic distributions...)

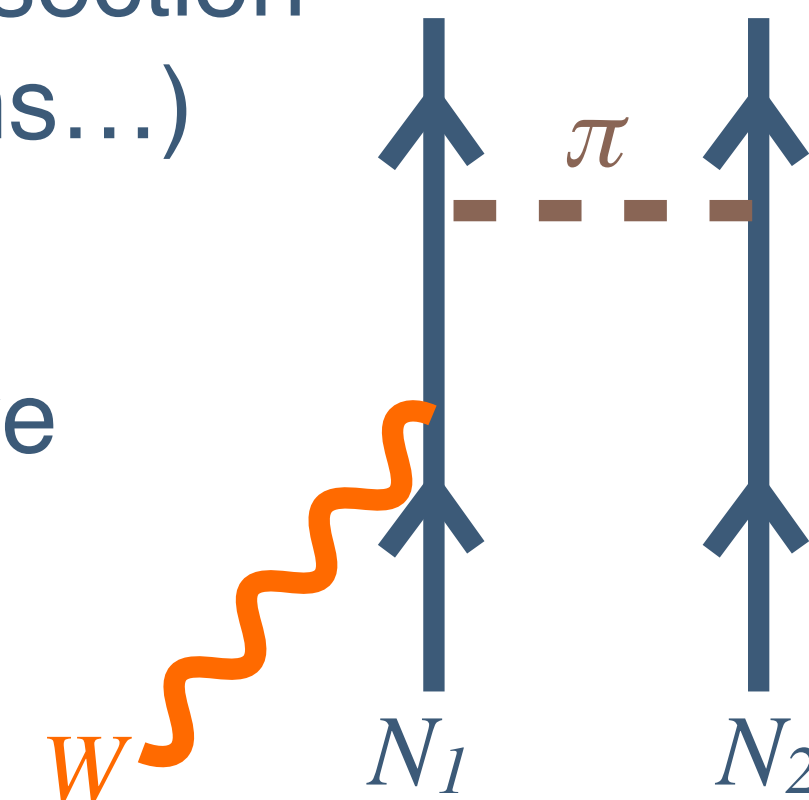
It's too complicated to solve analytically!

Models include IFIC/Valencia (Nieves), Lyon (Marteau/Martini), and GiBUU.

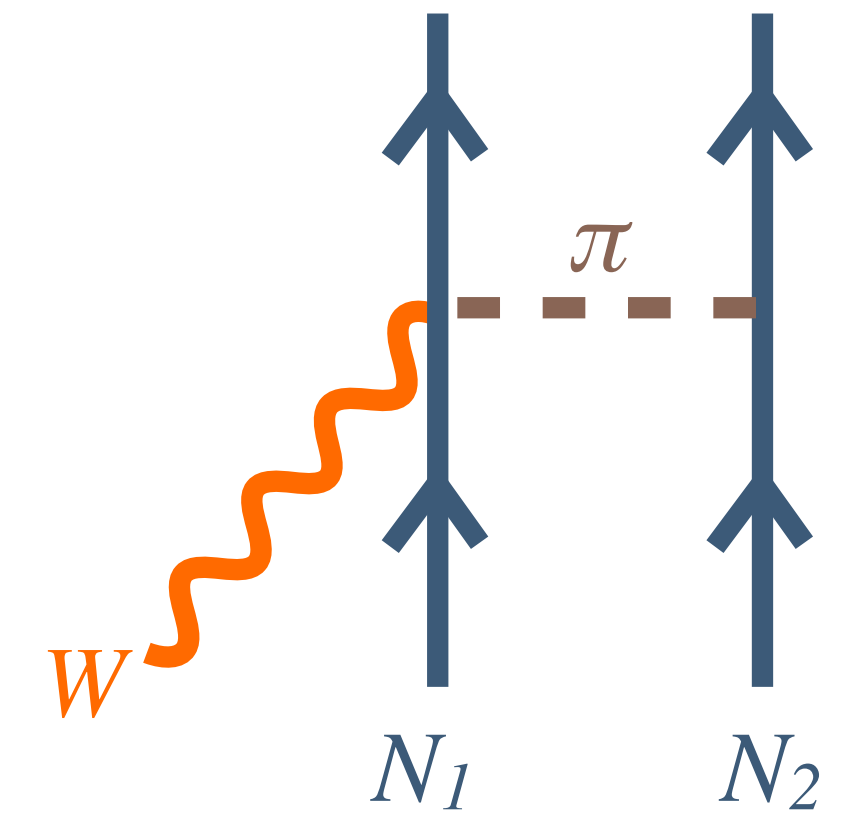
Phys.Rev.C 83 (2011) 045501



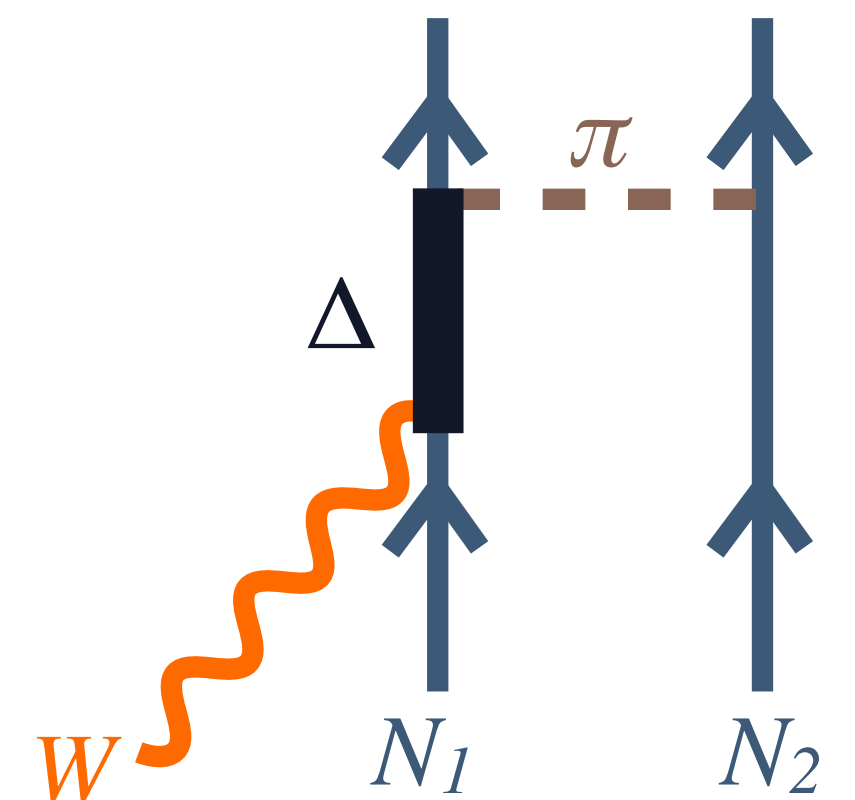
Pion-in-flight



Intermediate nucleon



Contact/seagull

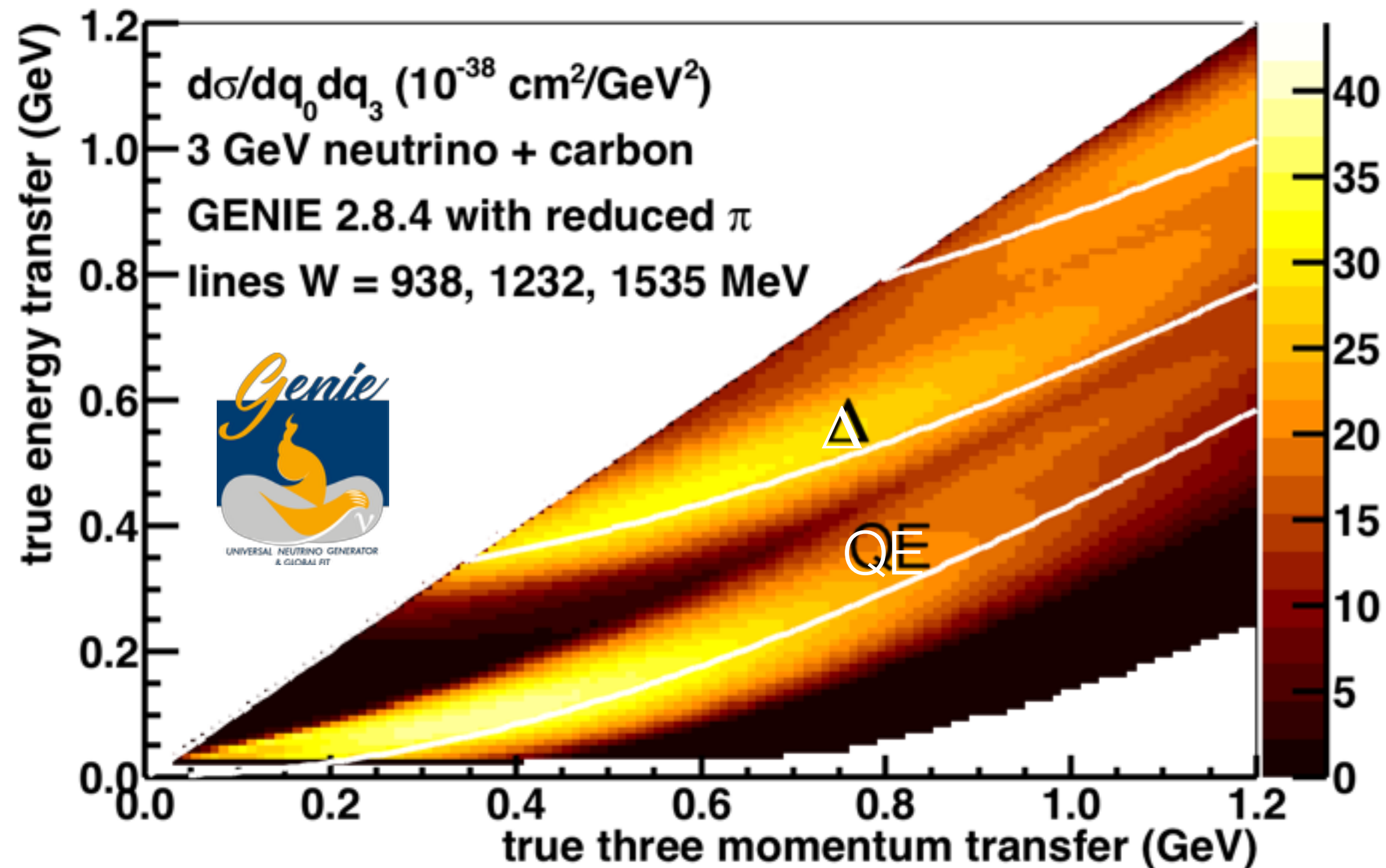


Δ -MEC

Different experiments use different models; none fully matches data yet

Studying nuclear effects with neutrino data

Simulated neutrino-carbon cross section without
multi-nucleon effects

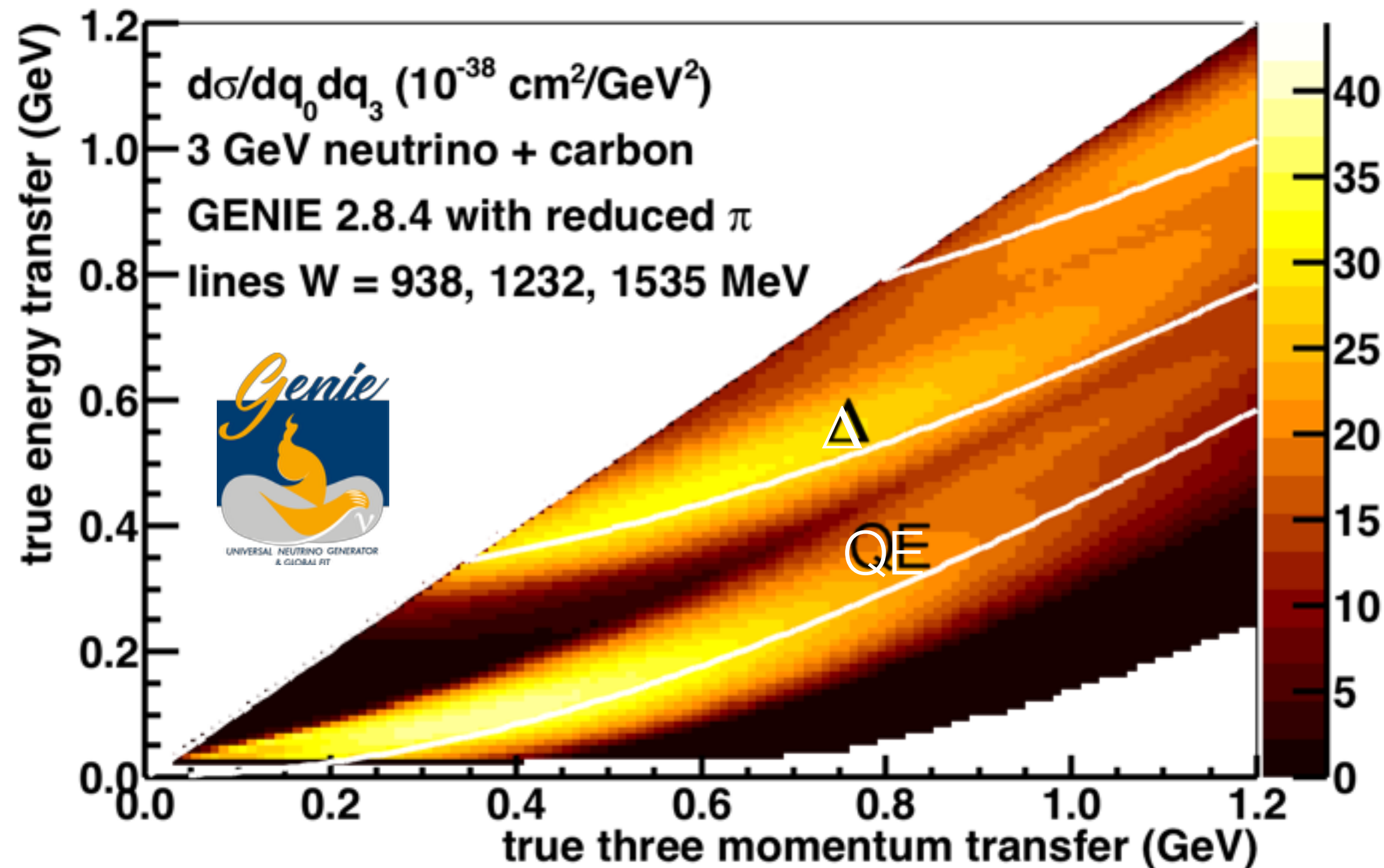


Choose variables that separate
out interaction types

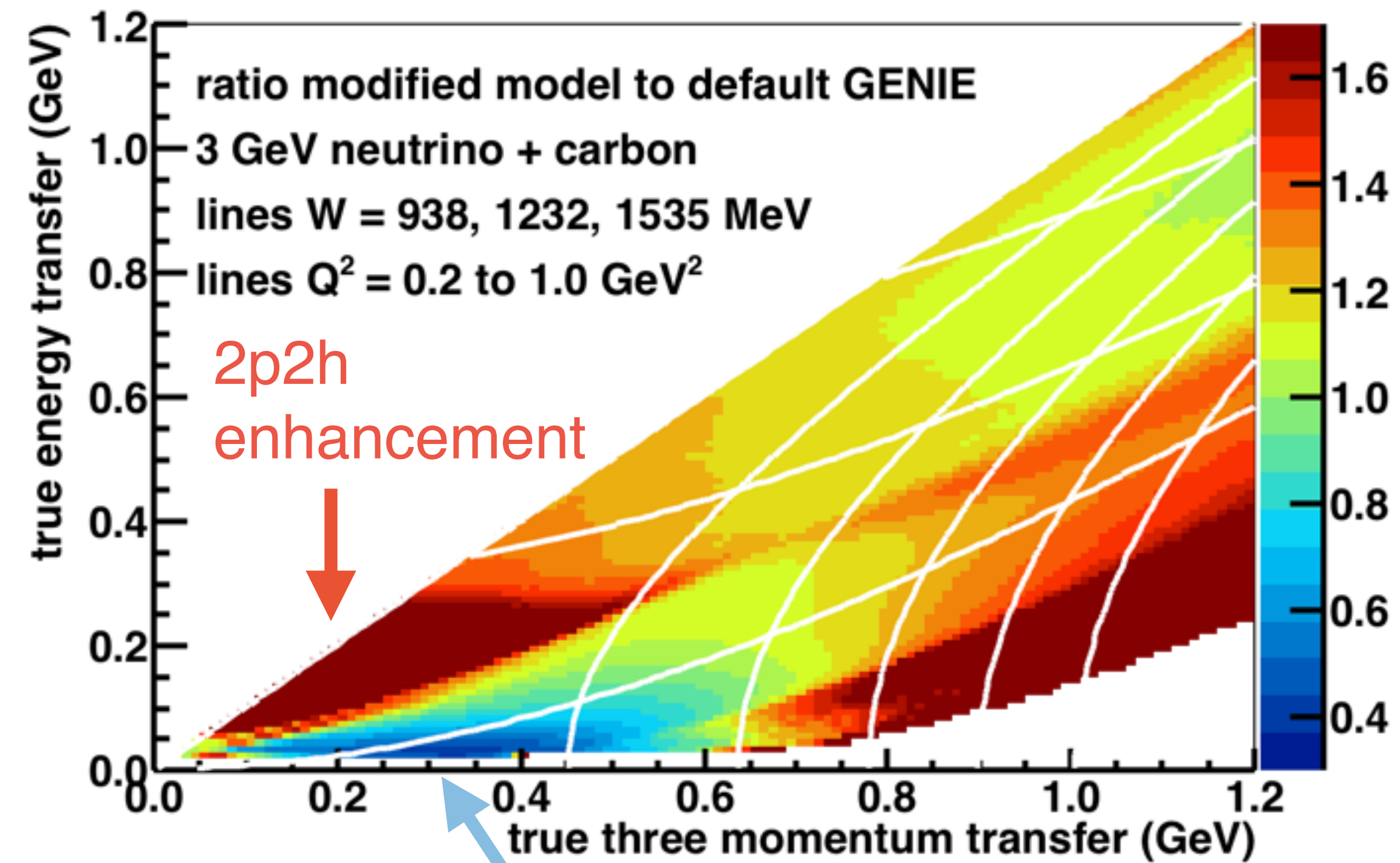
How would nuclear
effects change this?

Studying nuclear effects with neutrino data

Simulated neutrino-carbon cross section without multi-nucleon effects



Add Valencia/Nieves multi-nucleon model

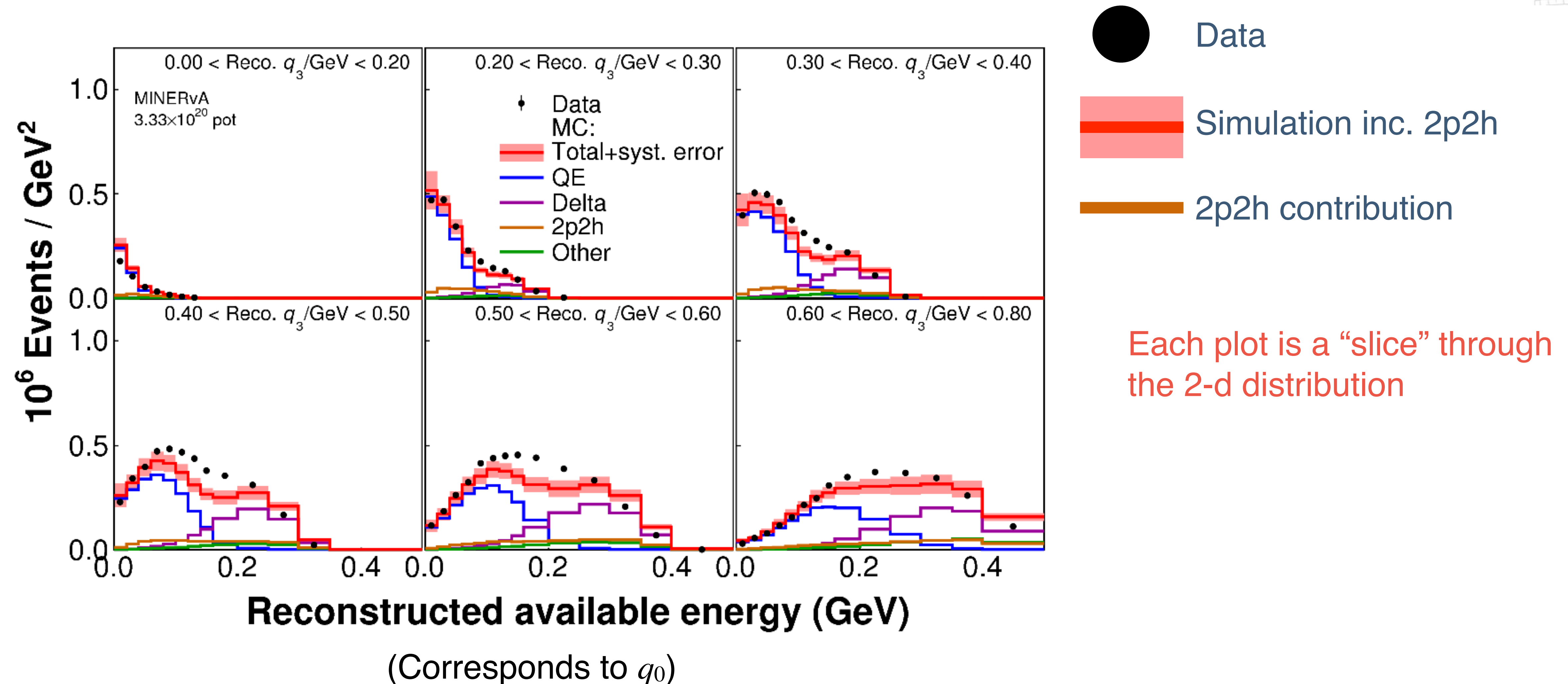


Choose variables that separate out interaction types

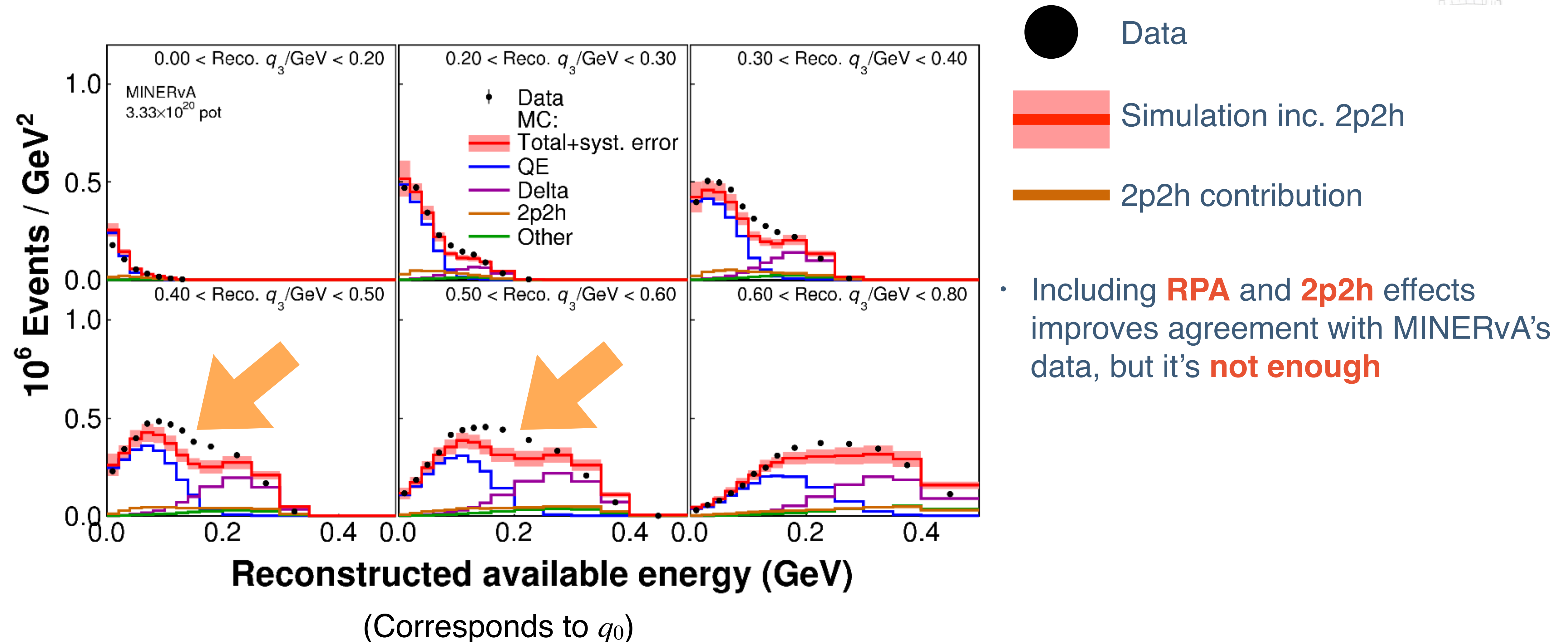
How would nuclear effects change this?

RPA suppression (screening due to W polarization)

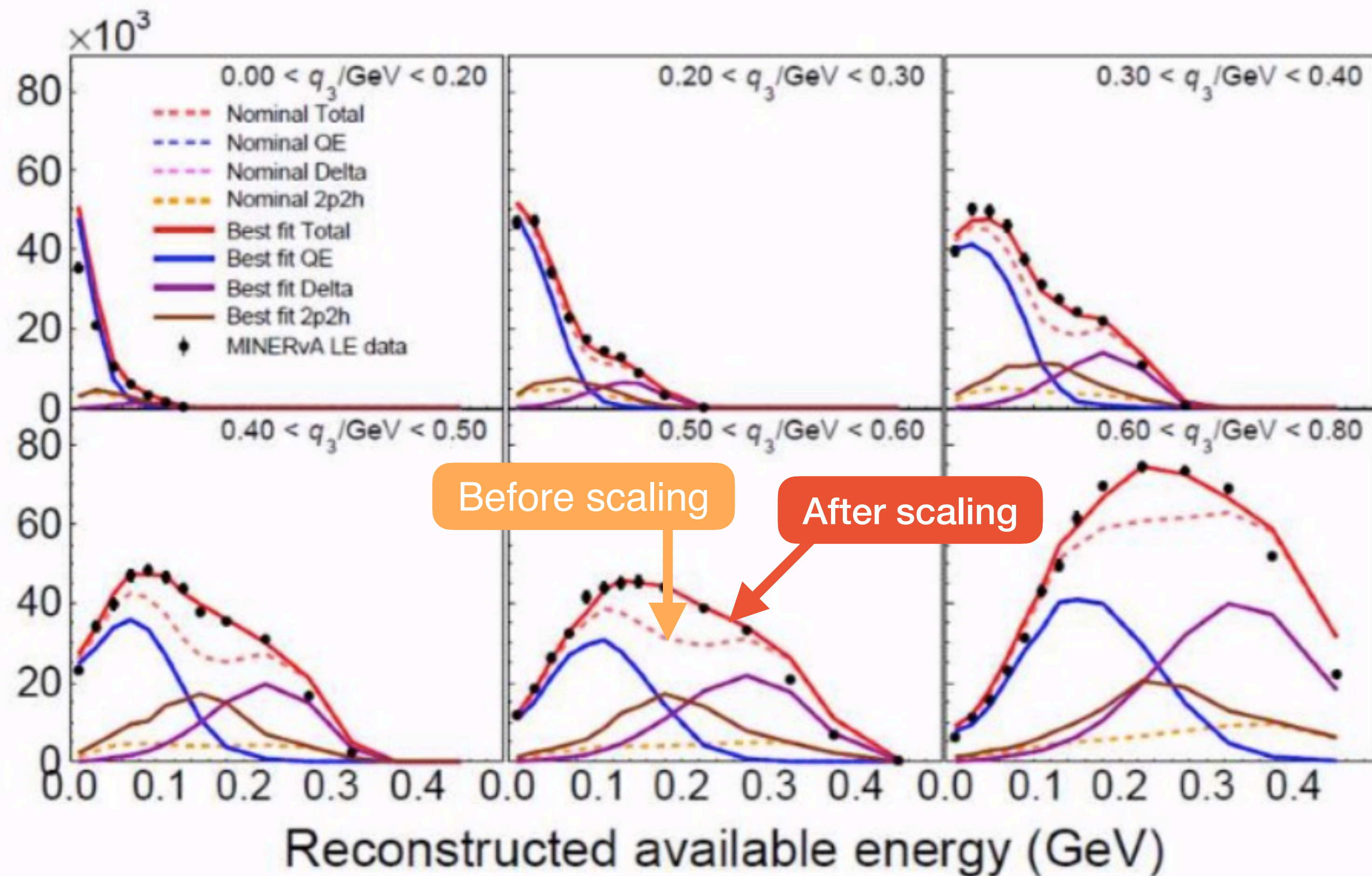
Compare the simulation to MINERvA data



Compare the simulation to MINERvA data



Compare the simulation to MINERvA data



(Corresponds to q_0)

- Including **RPA** and **2p2h** effects improves agreement with MINERvA's data, but it's **not enough**
- **Scale** 2p2h contribution by a 2d Gaussian in q_0 - q_3 plane and find **best fit**
- Good fit to the data - but **why?**

Match the interaction to the final state

Exercise 6

ν_μ quasi-elastic scattering

$\bar{\nu}_\mu$ quasi-elastic scattering

ν_μ 2p2h from an n - p pair

ν_μ 2p2h from an n - n pair

$\bar{\nu}_\mu$ 2p2h from a p - p pair

ν_μ resonant scattering

$\bar{\nu}_\mu$ resonant scattering

ν_μ DIS

μ^- and 1 proton

μ^- and 2 protons

μ^- and hadron shower

μ^+ and 1 neutron

μ^- , 1 proton, 1 π^0

μ^+ , 1 proton, 1 π^-

μ^- , 1 proton, 1 π^+

μ^- , 1 neutron, 1 proton

μ^+ , 1 neutron, 1 proton

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$$\nu_\mu + n \rightarrow \mu^- + p$$

μ^- and 2 protons

μ^- and hadron shower

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μ^- and 2 protons

$$\nu_\mu + n(+p) \rightarrow \mu^- + p(+p)$$

μ^- and hadron shower

μ^+ and 1 neutron

$$\bar{\nu}_\mu + p \rightarrow \mu^+ + n$$

μ^- , 1 proton, 1 π^0

μ^+ , 1 proton, 1 π^-

μ^- , 1 proton, 1 π^+

μ^- , 1 neutron, 1 proton

μ^+ , 1 neutron, 1 proton

What if you can't tell the muon charge?

$$\nu_\mu + n(+n) \rightarrow \mu^- + p(+n)$$

$$\bar{\nu}_\mu + p(+p) \rightarrow \mu^+ + n(+p)$$

Match the interaction to the final state

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μ^- and 2 protons

$$\nu_\mu + n(+p) \rightarrow \mu^- + p(+p)$$

μ^- and hadron shower

μ^+ and 1 neutron

What if you can't tell muon or pion charge?

μ^- , 1 proton, 1 π^0

$$\nu_\mu + n \rightarrow \mu^- + \Delta^+ \rightarrow \mu^- + p + \pi^0$$

μ^+ , 1 proton, 1 π^-

$$\bar{\nu}_\mu + p \rightarrow \mu^+ + \Delta^0 \rightarrow \mu^+ + p + \pi^-$$

μ^- , 1 proton, 1 π^+

$$\nu_\mu + p \rightarrow \mu^- + \Delta^{++} \rightarrow \mu^- + p + \pi^+$$

μ^- , 1 neutron, 1 proton

$$\nu_\mu + n(+n) \rightarrow \mu^- + p(+n)$$

μ^+ , 1 neutron, 1 proton

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μ^- , 1 proton, 1 π^0

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μ^+ , 1 proton, 1 π^-

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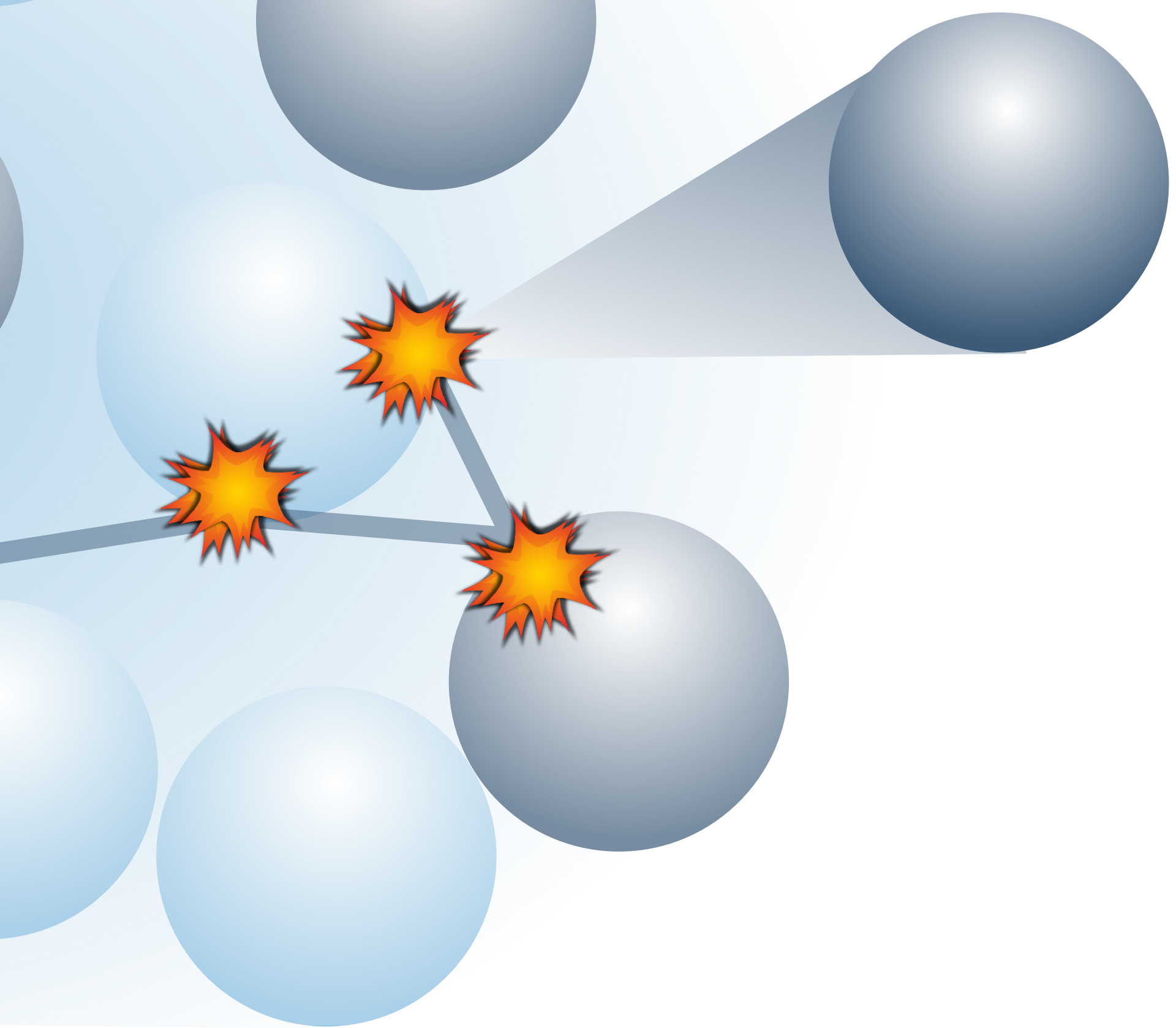
$$\nu_\mu + n(+n) \rightarrow \mu^- + p(+n)$$

μ^+ , 1 neutron, 1 proton

$$\bar{\nu}_\mu + p(+p) \rightarrow \mu^+ + n(+p)$$

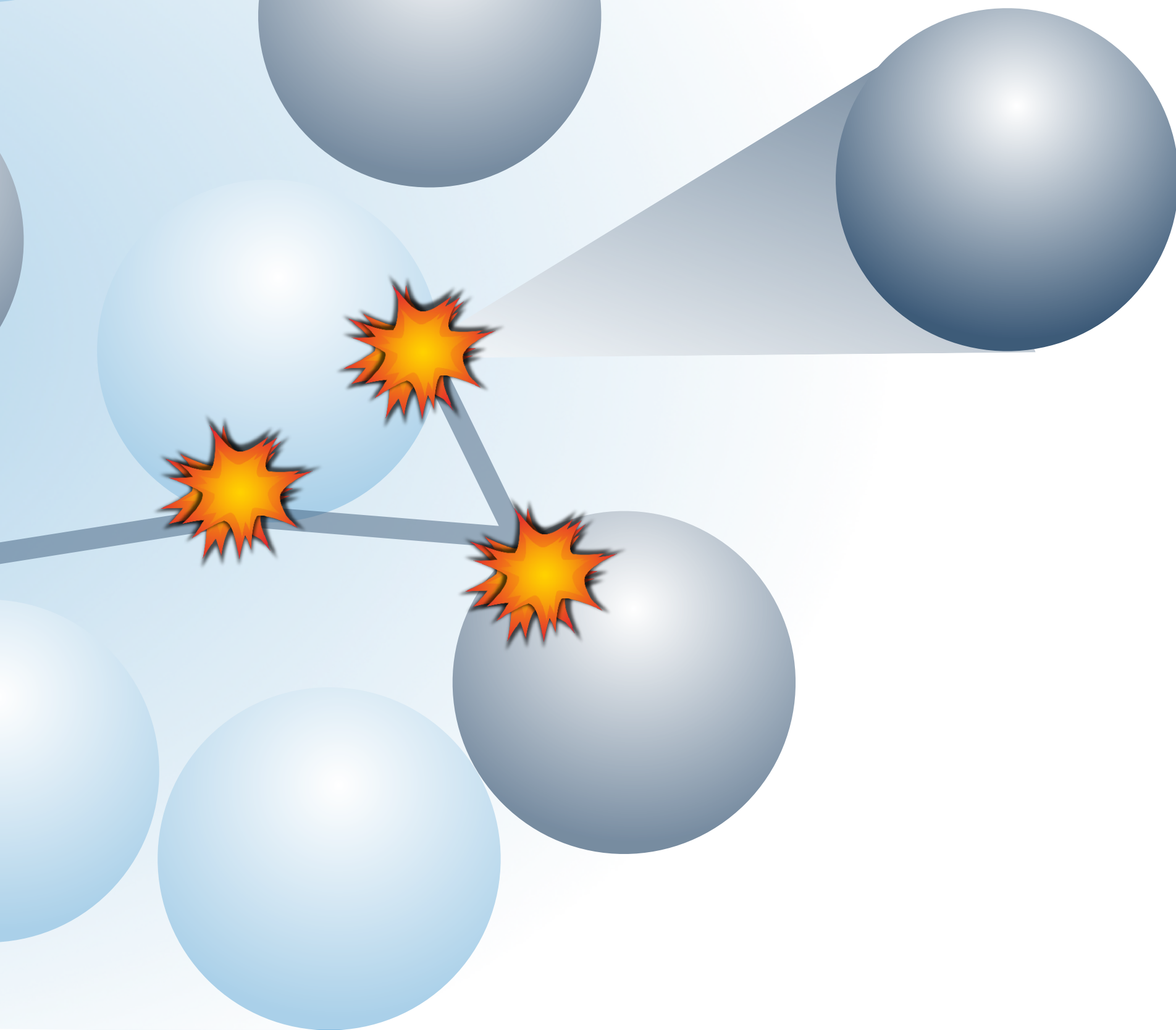
How it all goes wrong: final-state interactions

Hadrons (nucleons, pions...) from neutrino interactions can **re-interact** with other nucleons as they exit the nucleus

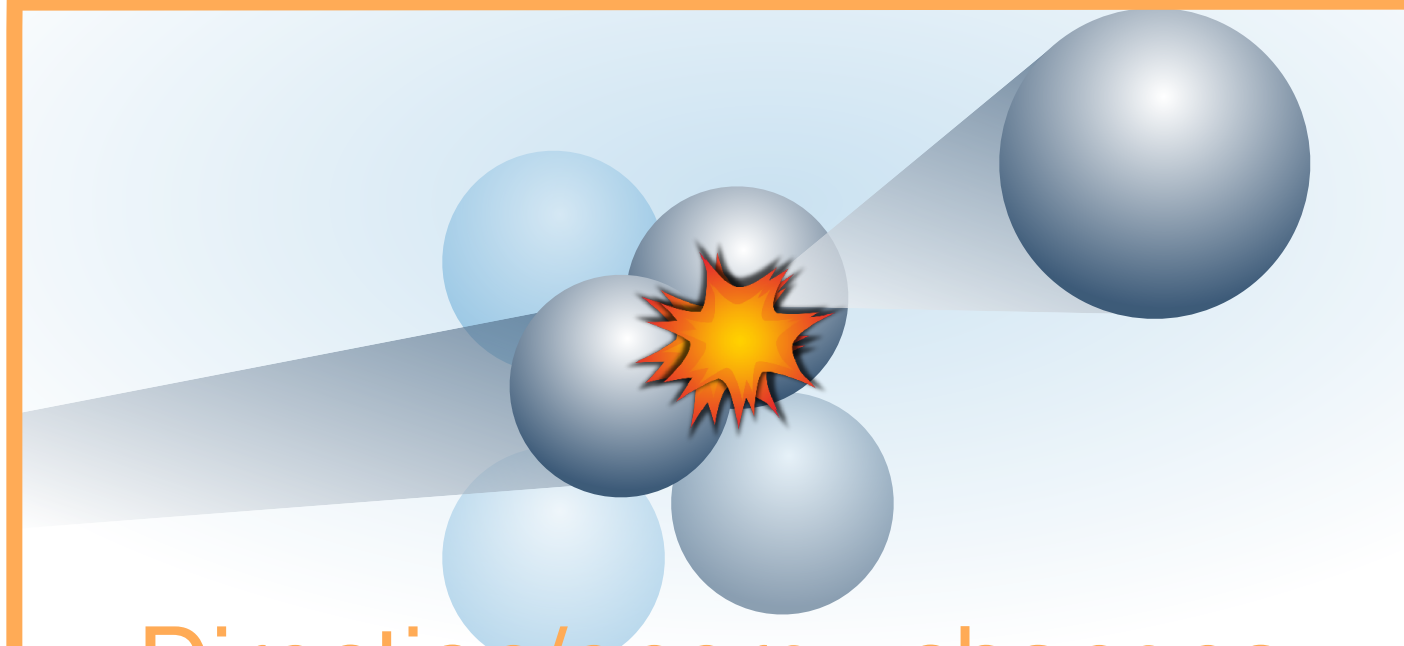


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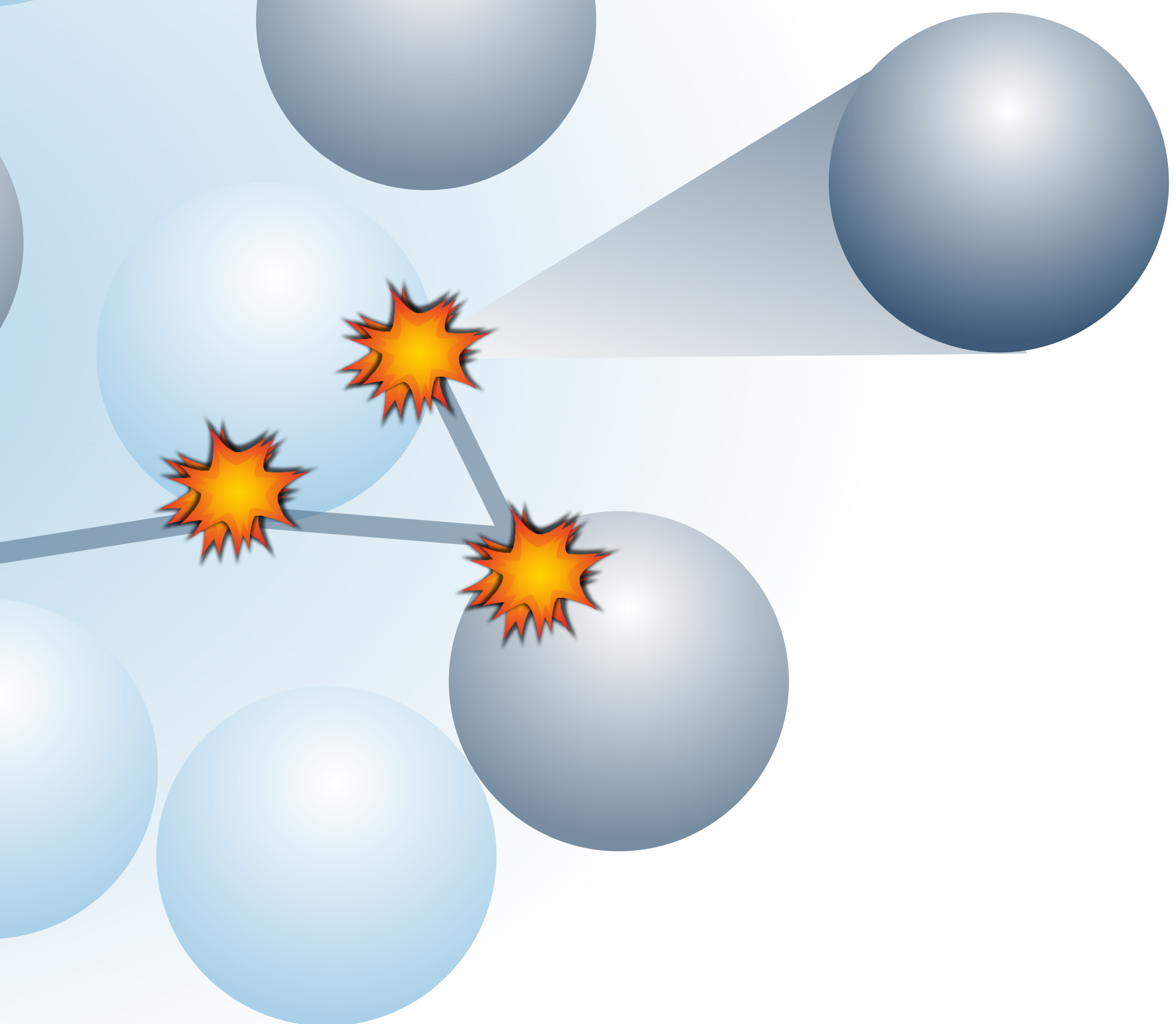
Elastic scattering



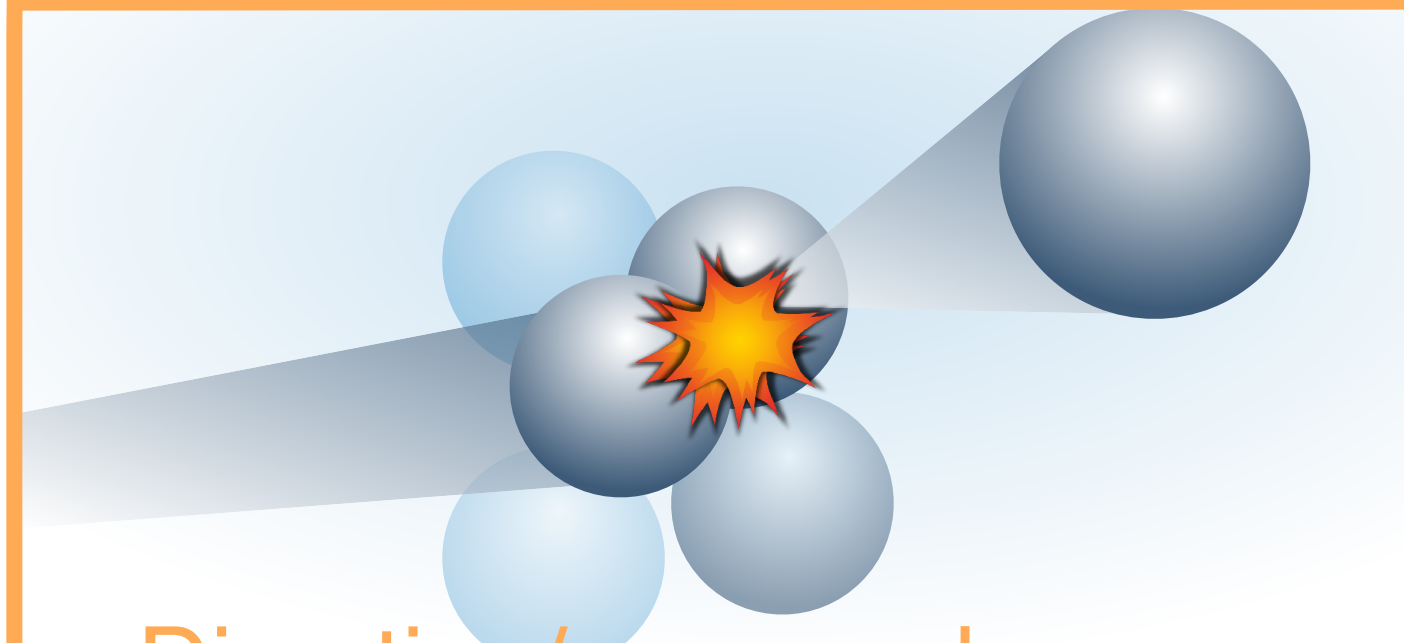
Direction/energy changes,
nucleon knock-out

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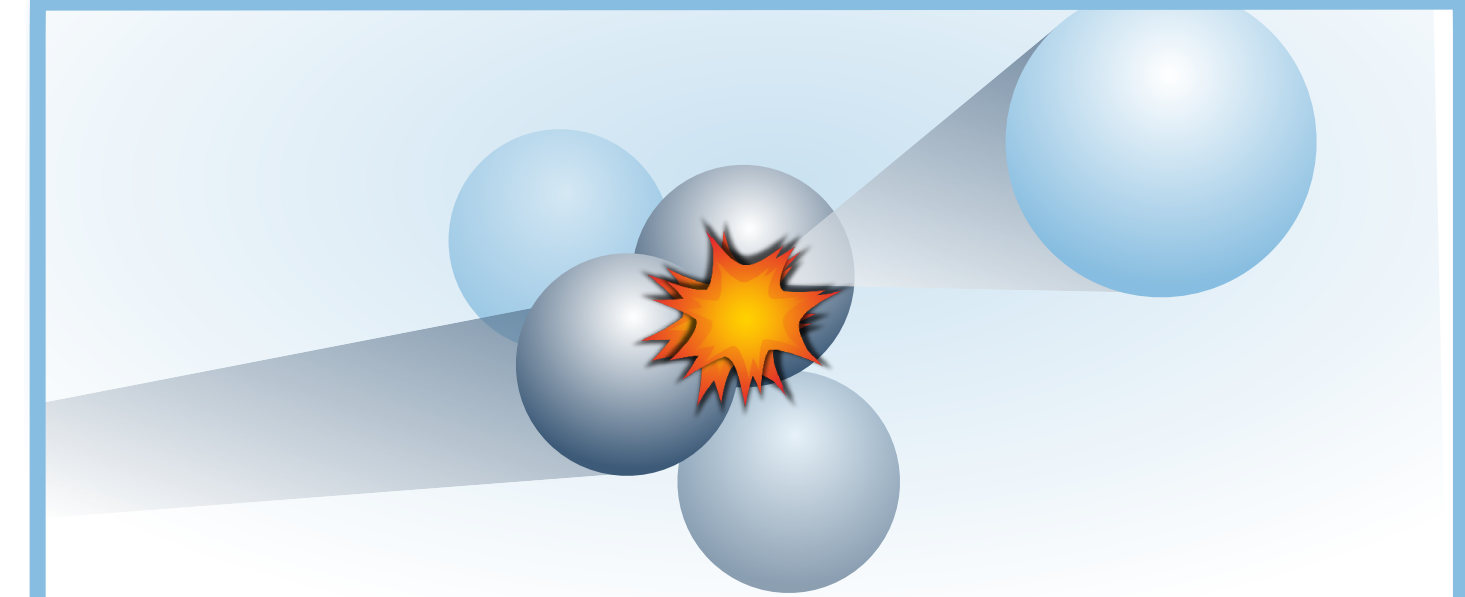


Elastic scattering



Direction/energy changes,
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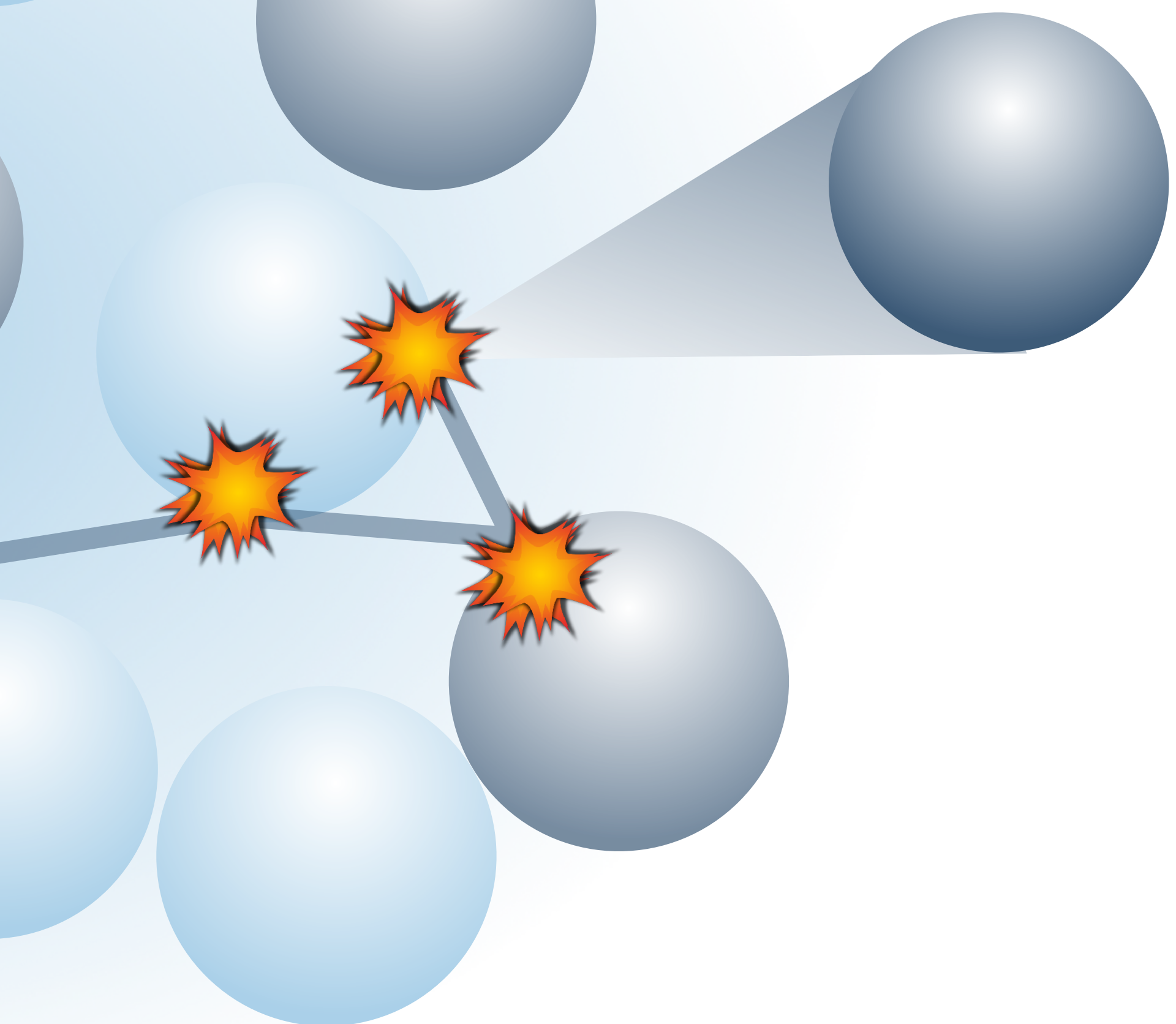
Charge exchange



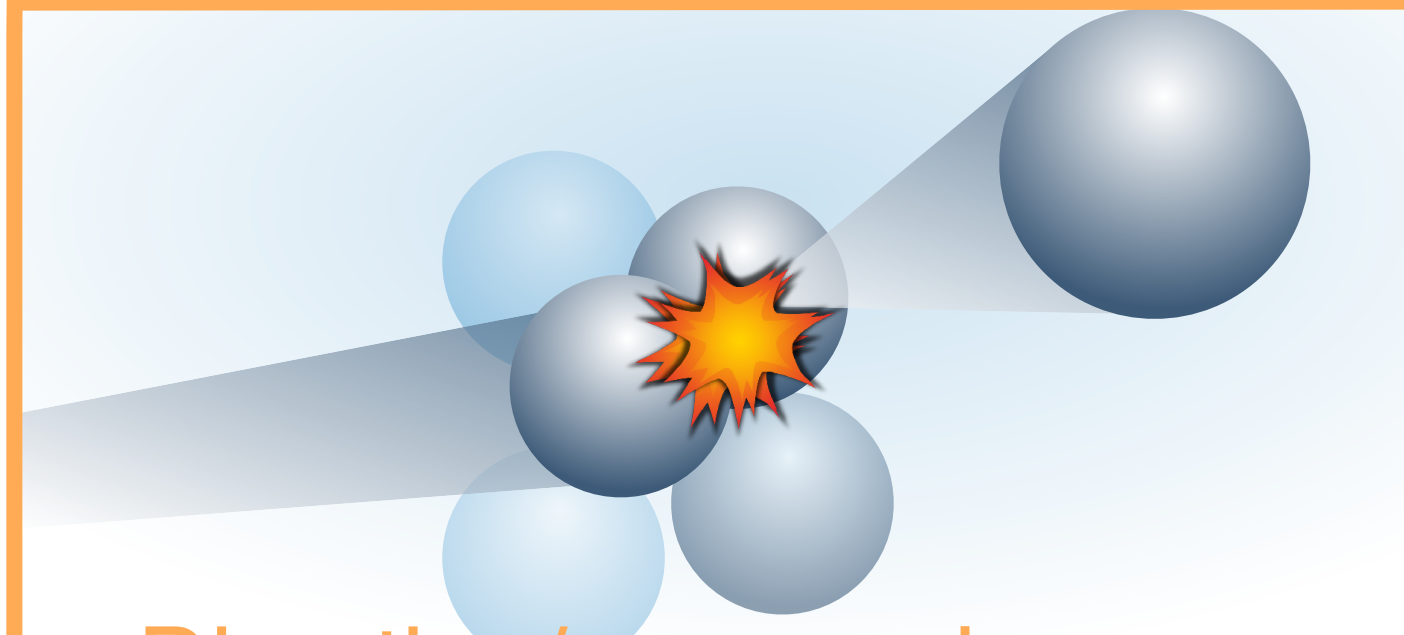
neutron \longleftrightarrow proton
 $\pi^+ \longleftrightarrow \pi^0 \longleftrightarrow \pi^-$

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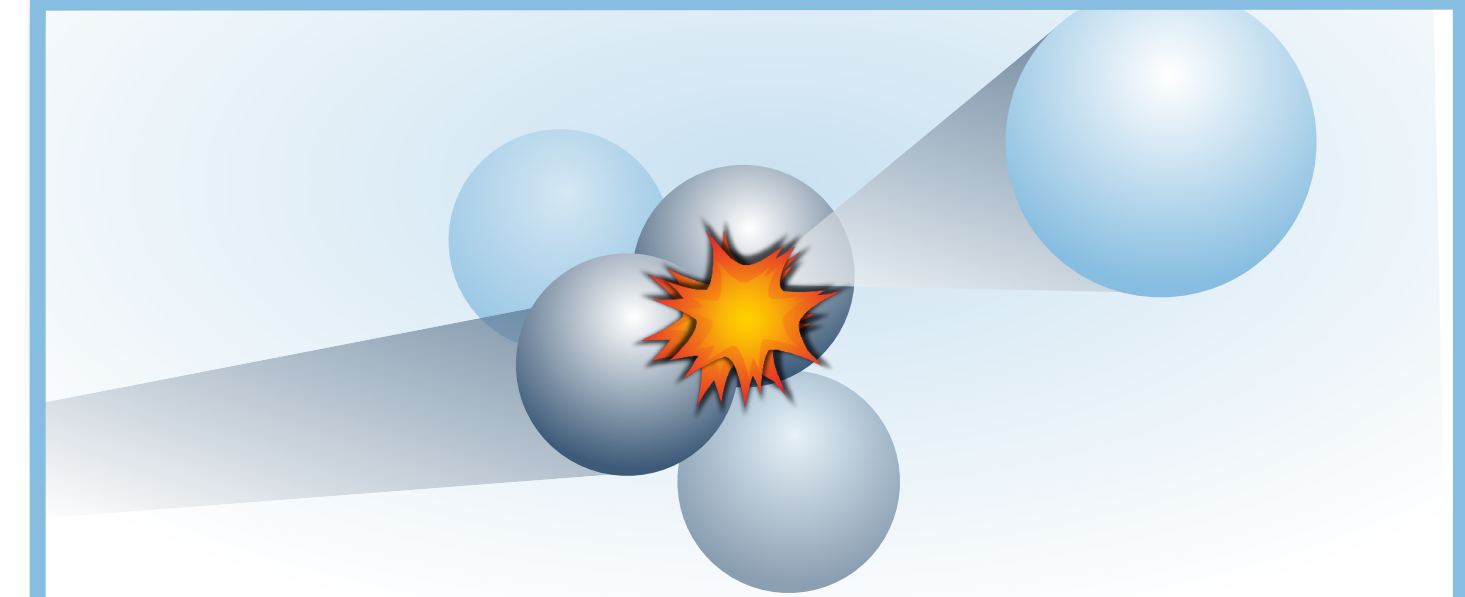


Elastic scattering



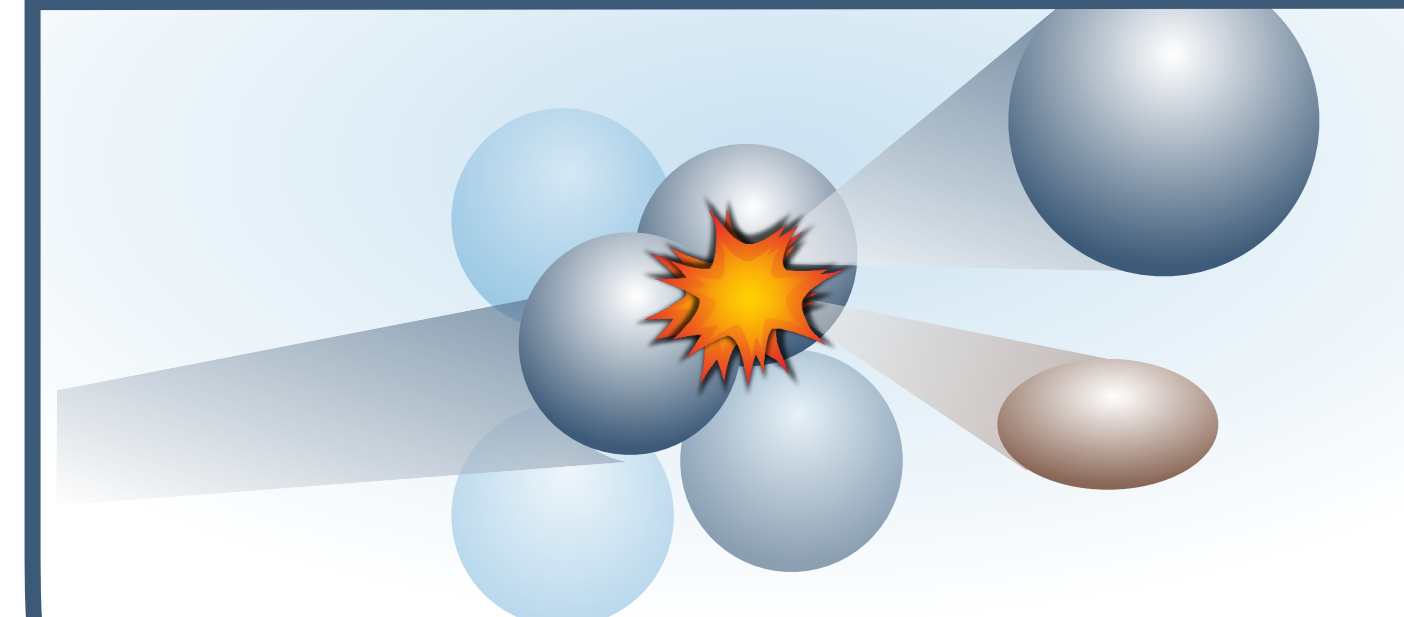
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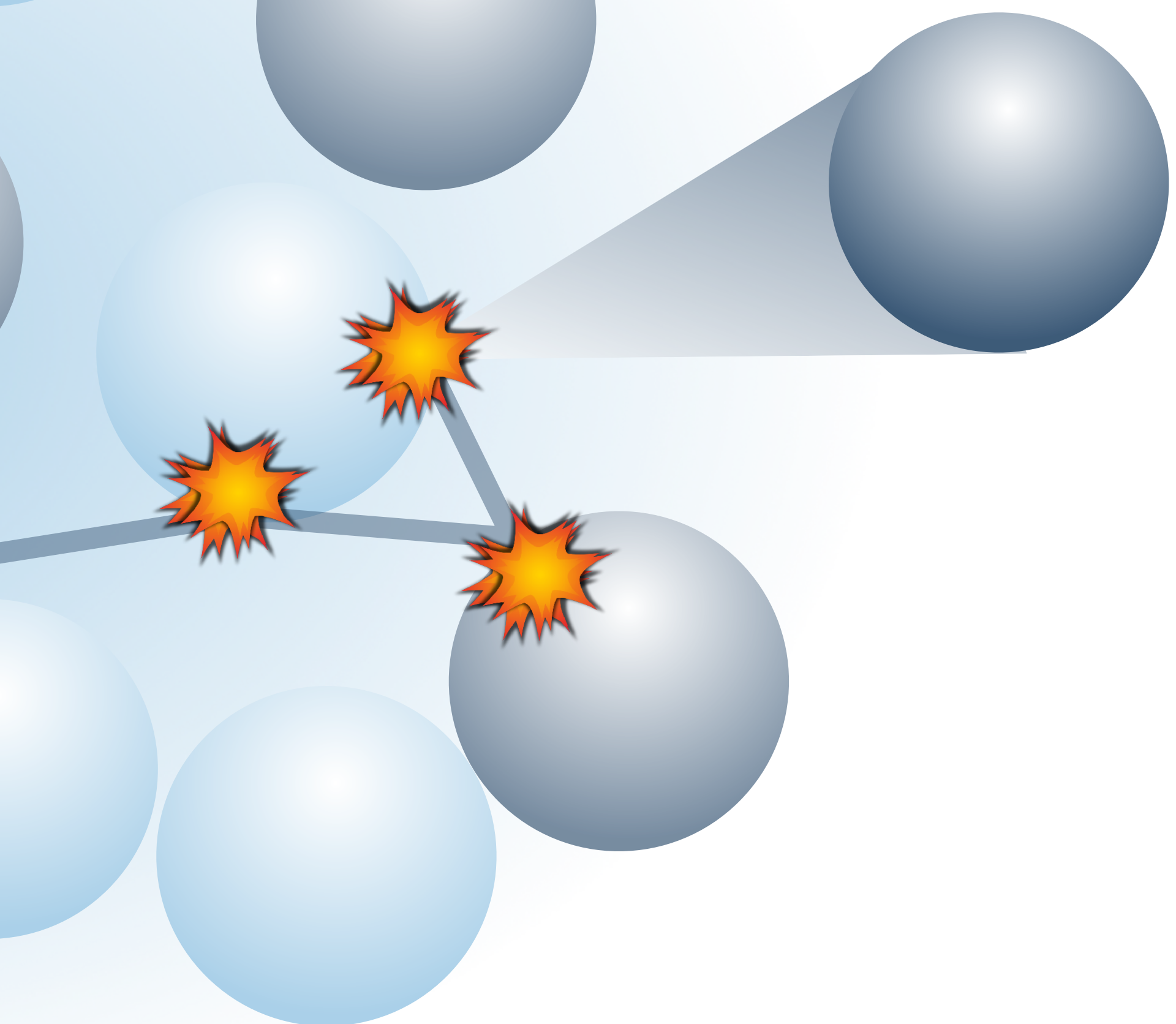
Pion production/ absorption



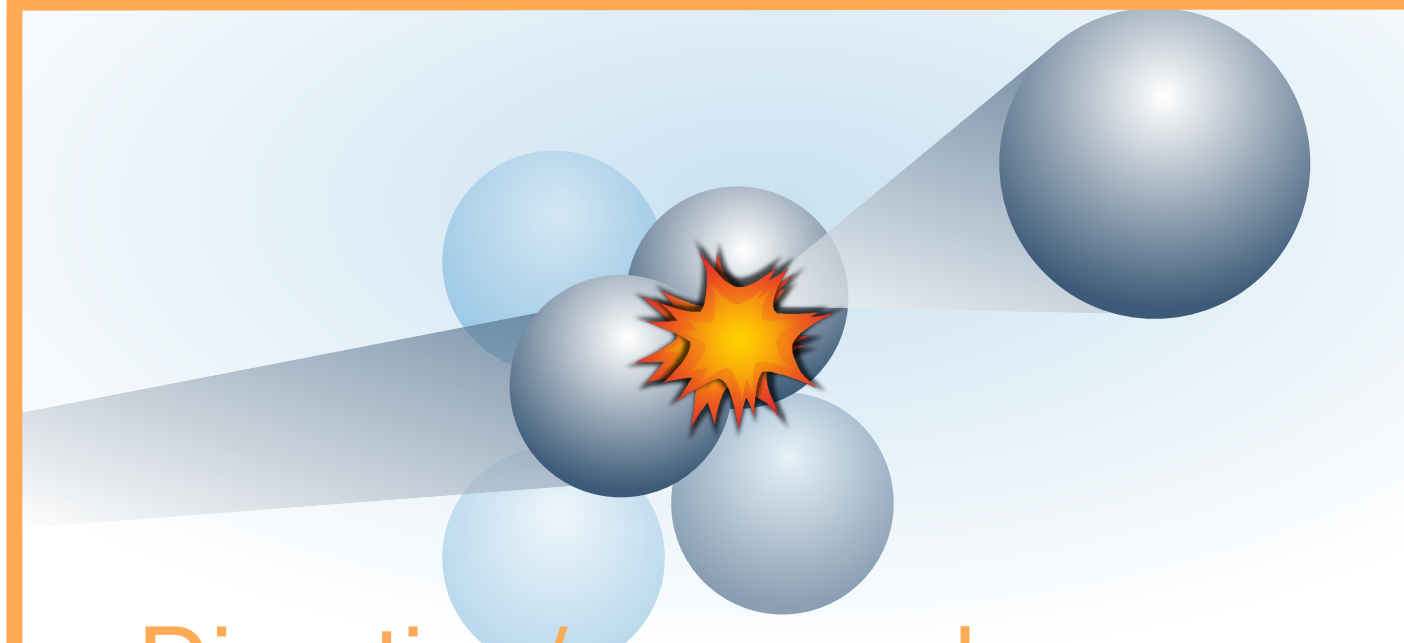
$\pi^+ / \pi^0 / \pi^-$ created / absorbed

How it all goes wrong: final-state interactions

Hadrons (nucleons, pions...) from neutrino interactions can **re-interact** with other nucleons as they exit the nucleus

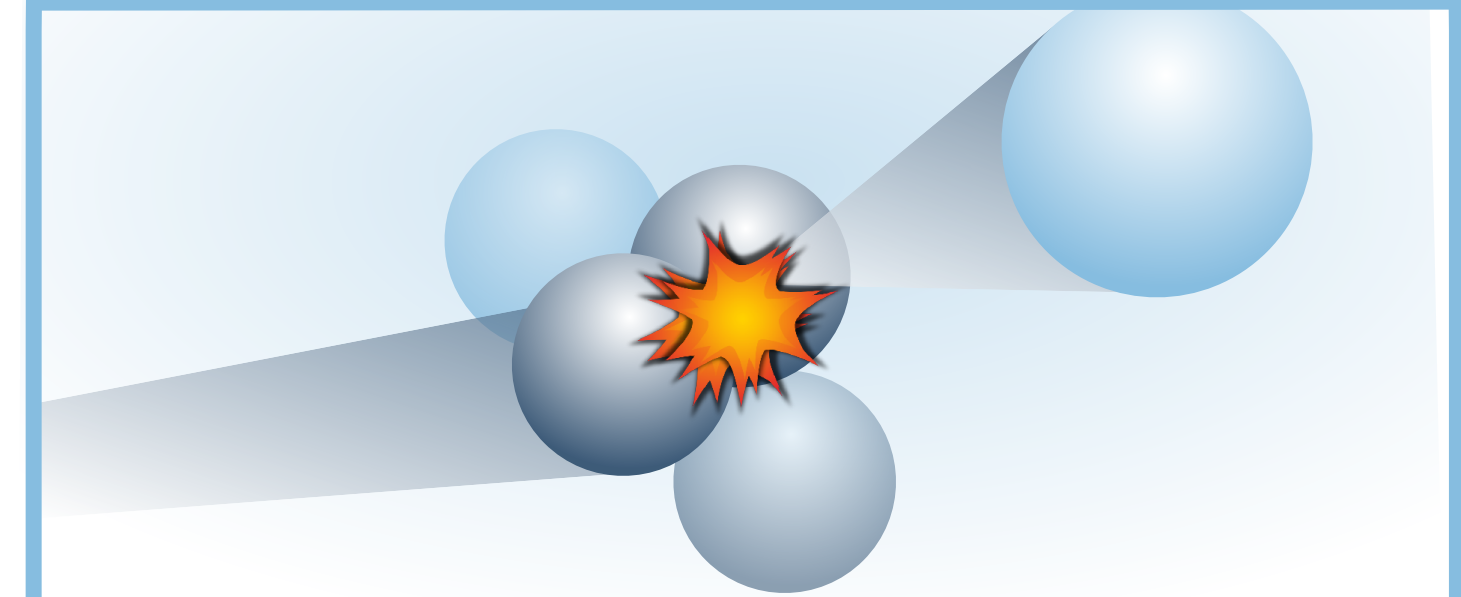


Elastic scattering



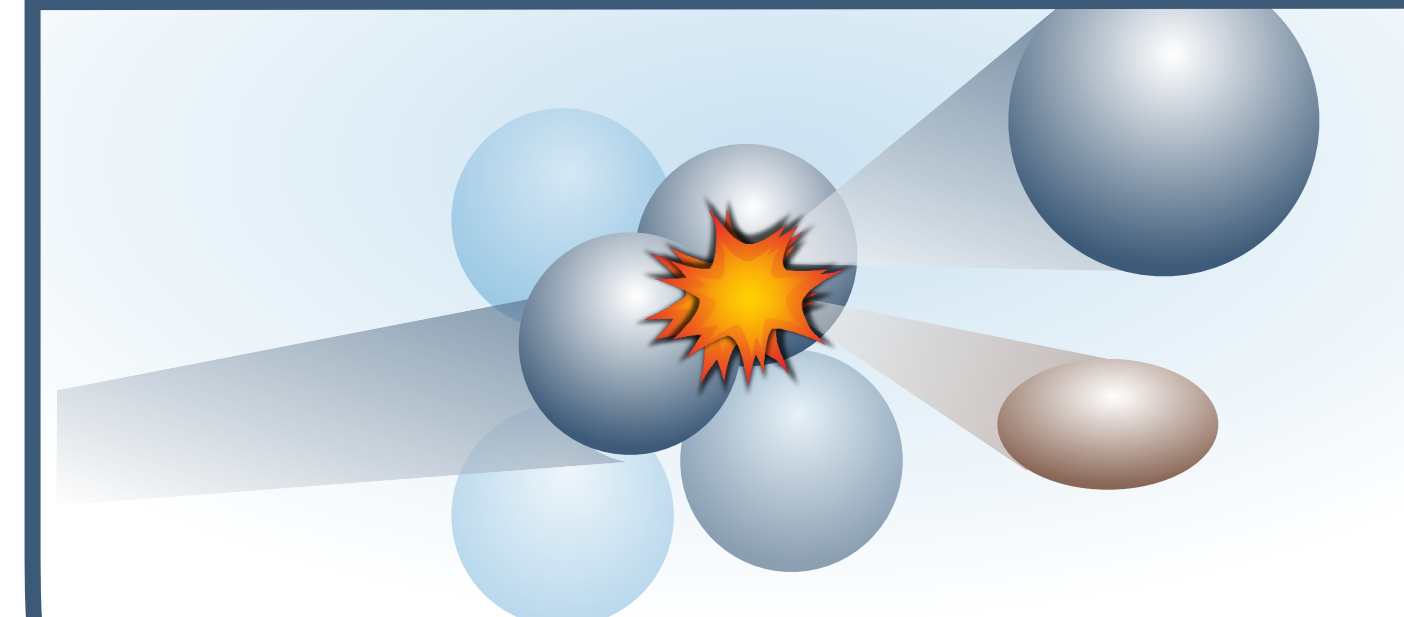
Direction/energy changes,
nucleon knock-out

Charge exchange



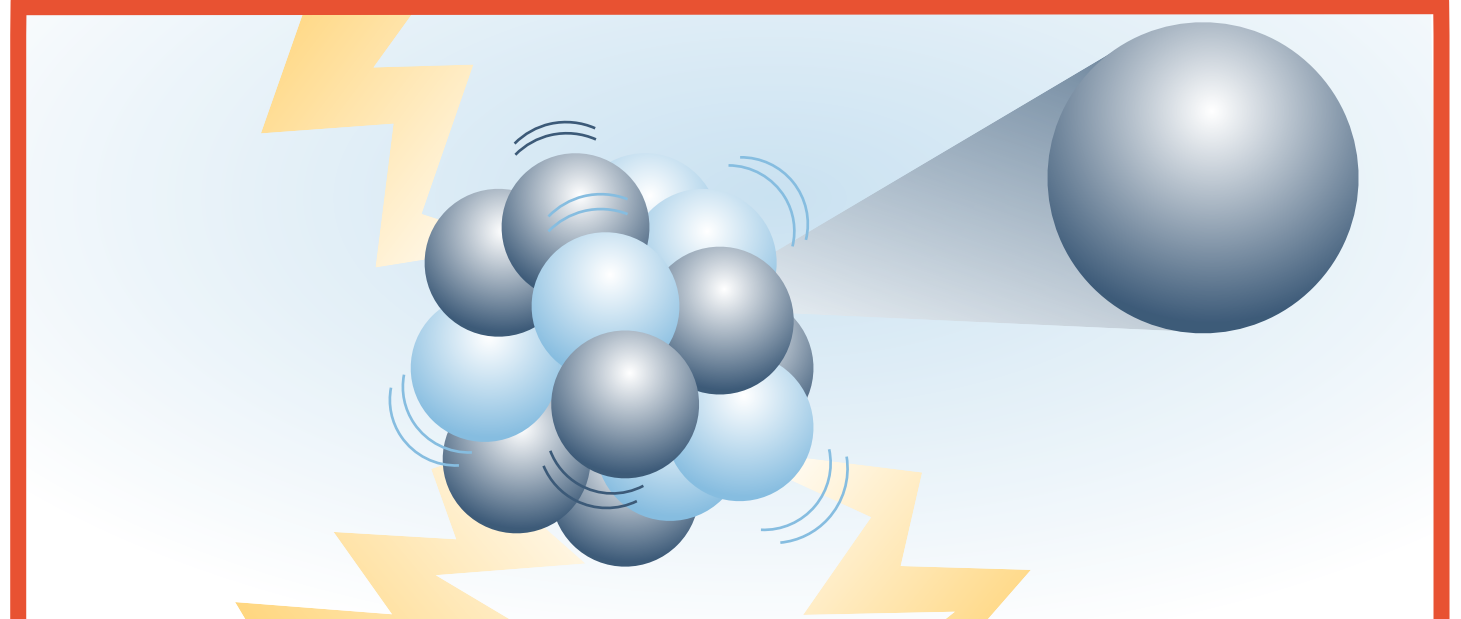
neutron \longleftrightarrow proton
 $\pi^+ \longleftrightarrow \pi^0 \longleftrightarrow \pi^-$

Pion production/ absorption



$\pi^+ / \pi^0 / \pi^-$ created / absorbed

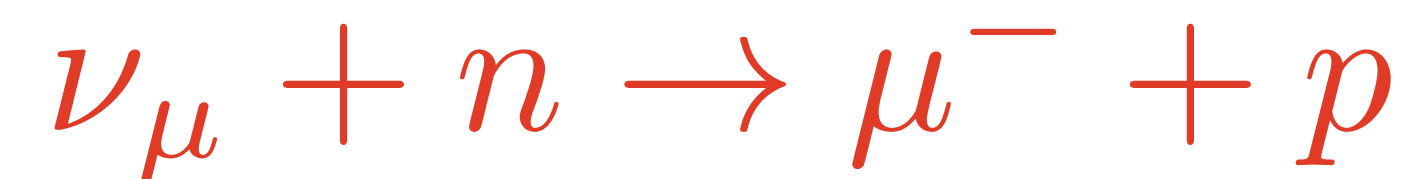
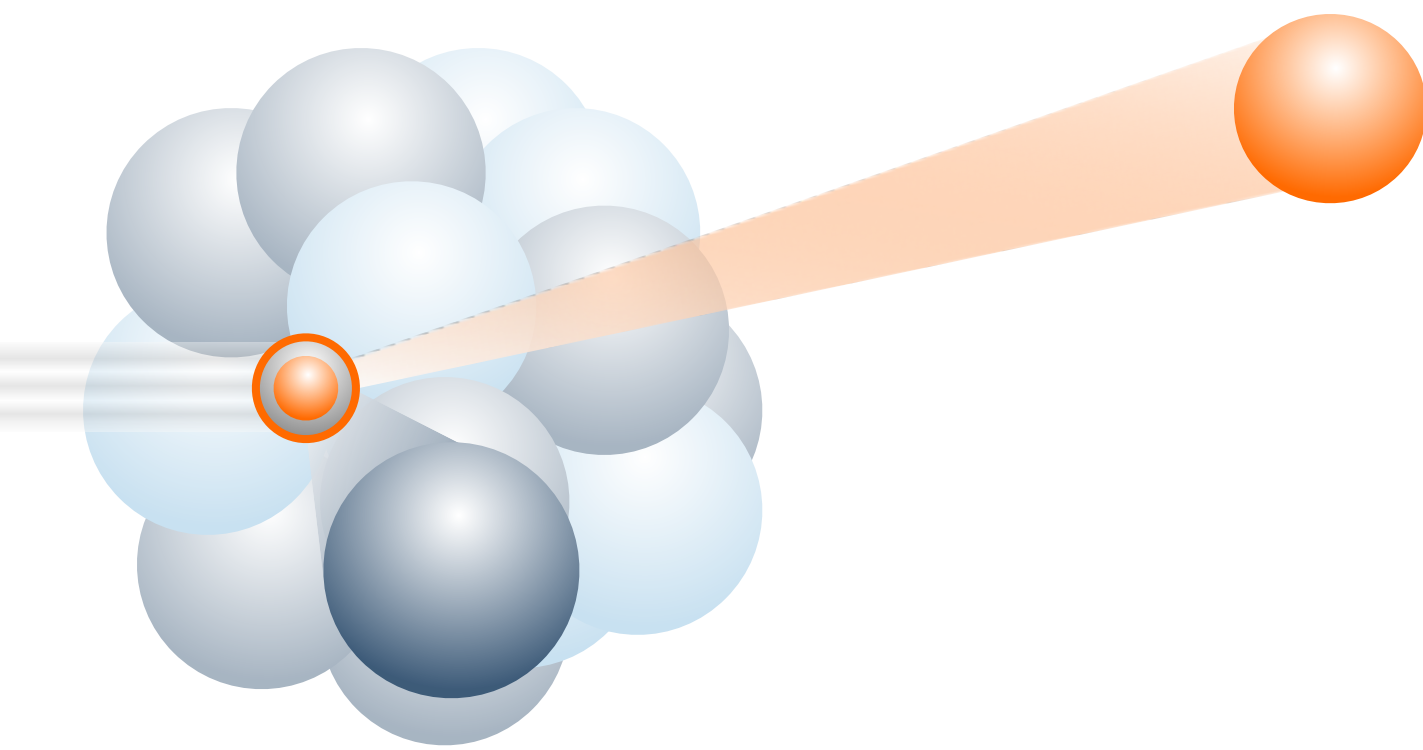
Nuclear de-excitation



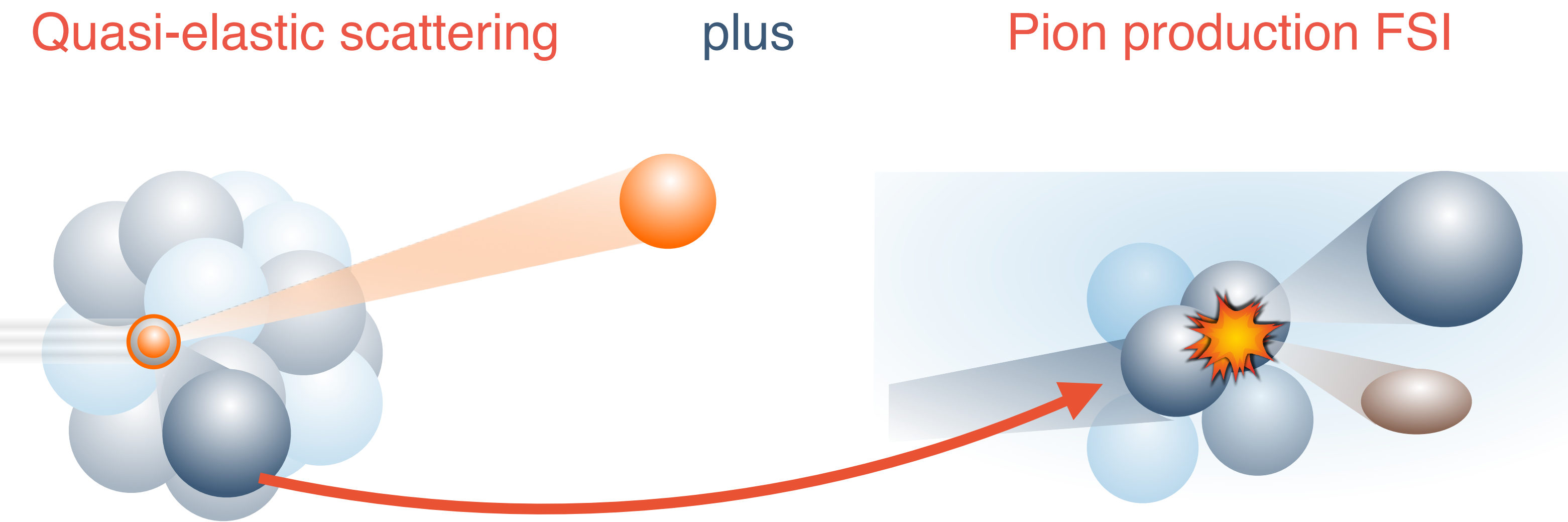
Photons produced as struck
nucleons de-excite

FSI makes one interaction mode fake another

Quasi-elastic scattering



FSI makes one interaction mode fake another



FSI makes one interaction mode fake another

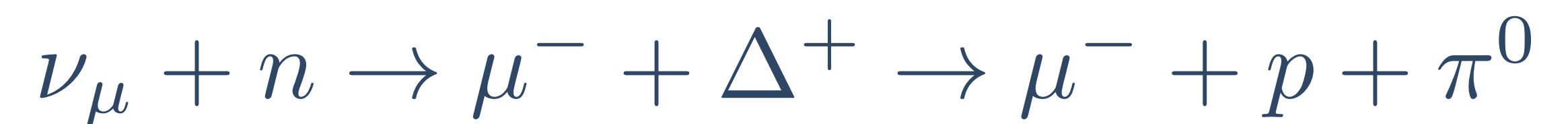
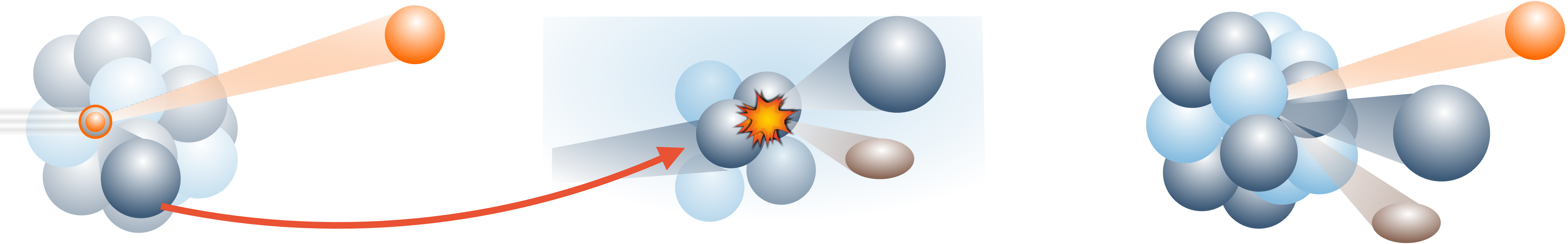
Quasi-elastic scattering

plus

Pion production FSI

fakes

Resonant pion production



Match interaction to final state - extreme mode!

Exercise 7

ν_μ quasi-elastic scattering

$\bar{\nu}_\mu$ quasi-elastic scattering

ν_μ resonant scattering

$\bar{\nu}_\mu$ resonant scattering

ν_μ MEC

No FSI

Elastic scattering

Charge exchange

Pion production

Pion absorption

Nuclear de-excitation

$\mu^- + \text{proton}$

Muon (charge unknown) + 1 proton

$\mu^- + 2 \text{ protons}$

$\mu^- + \text{neutron} + \pi^+$

$\mu^- + \text{proton} + \text{photons}$

Match interaction to final state - extreme mode!

μ^- + proton

Match interaction to final state - extreme mode!

ν_μ quasi-elastic scattering

No FSI

$\mu^- + \text{proton}$

Match interaction to final state - extreme mode!

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No FSI

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ν_μ resonant scattering

Pion absorption

Match interaction to final state - extreme mode!

ν_μ quasi-elastic scattering

No FSI

Muon (charge unknown) + 1 proton

ν_μ resonant scattering

Pion absorption

$\bar{\nu}_\mu$ resonant scattering

Pion absorption

$\bar{\nu}_\mu$ quasi-elastic scattering

Charge exchange

If we don't know the muon charge, antineutrino scattering starts to fake neutrino scattering

Match interaction to final state - extreme mode!

$\mu^- + 2 \text{ protons}$

Match interaction to final state - extreme mode!

ν_μ MEC

No FSI

$\mu^- + 2$ protons

Match interaction to final state - extreme mode!

ν_μ MEC

No FSI

$\mu^- + 2$ protons

ν_μ quasi-elastic scattering

Elastic scattering

Additional low-energy nucleons can be knocked out

Match interaction to final state - extreme mode!

ν_μ MEC

No FSI

$\mu^- + 2$ protons

ν_μ quasi-elastic scattering

Elastic scattering

Additional low-energy nucleons can be knocked out

ν_μ resonant scattering

Pion absorption

Pion absorption frequently knocks out additional nucleons

Match interaction to final state - extreme mode!

ν_μ resonant scattering

No FSI

$\mu^- + \text{neutron} + \pi^+$

Match interaction to final state - extreme mode!

ν_μ resonant scattering

No FSI

$\mu^- + \text{neutron} + \pi^+$

ν_μ quasi-elastic scattering

Pion production

Charge exchange

One interaction can involve more than one FSI. This is increasingly likely for heavier nuclei.

Match interaction to final state - extreme mode!

μ^- + proton + photons

Match interaction to final state - extreme mode!

ν_μ resonant scattering

Pion absorption

Nuclear de-excitation

$\mu^- + \text{proton} + \text{photons}$

ν_μ quasi-elastic scattering

Elastic scattering

Nuclear de-excitation

De-excitation happens after other FSI, producing additional gammas.

Match interaction to final state - extreme mode!

ν_μ resonant scattering

Pion absorption

Nuclear de-excitation

$\mu^- + \text{proton} + \text{photons}$

ν_μ quasi-elastic scattering

Elastic scattering

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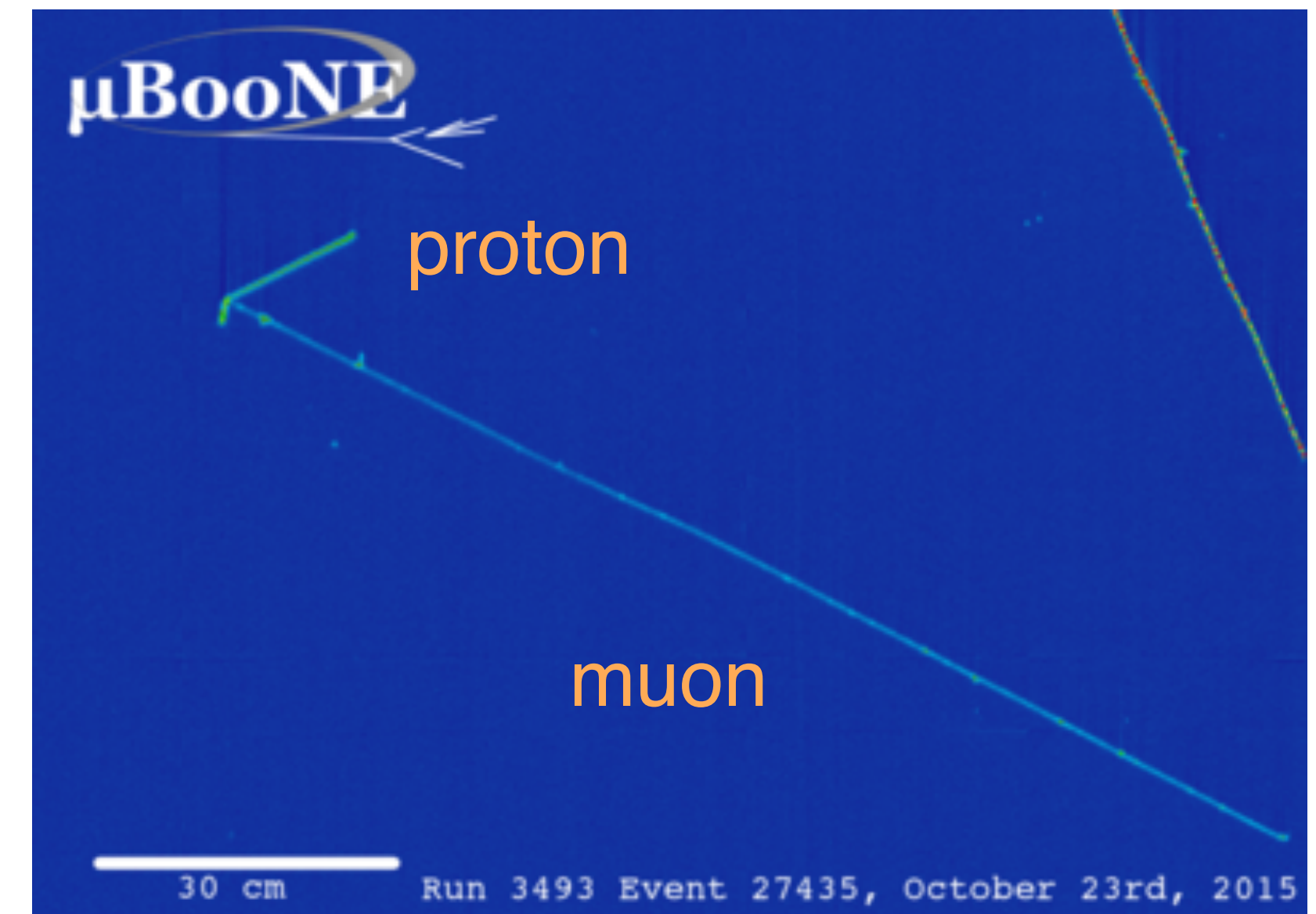
ν_μ resonant scattering

No FSI

A π^0 decays to two photons, so this could be the decay of $\Delta^+ \rightarrow p \pi^0 \rightarrow p \gamma\gamma$

Summary - consequences of FSI

- FSI “fakers” make it hard to identify a sample of events corresponding to a single interaction mode (**exclusive sample**).
- This is particularly hard if your **detector** gives limited information (no muon/pion charges, poor low-energy detection etc)



ν_μ QE

No FSI

ν_μ resonant

π absorption

$\bar{\nu}_\mu$ resonant

π absorption

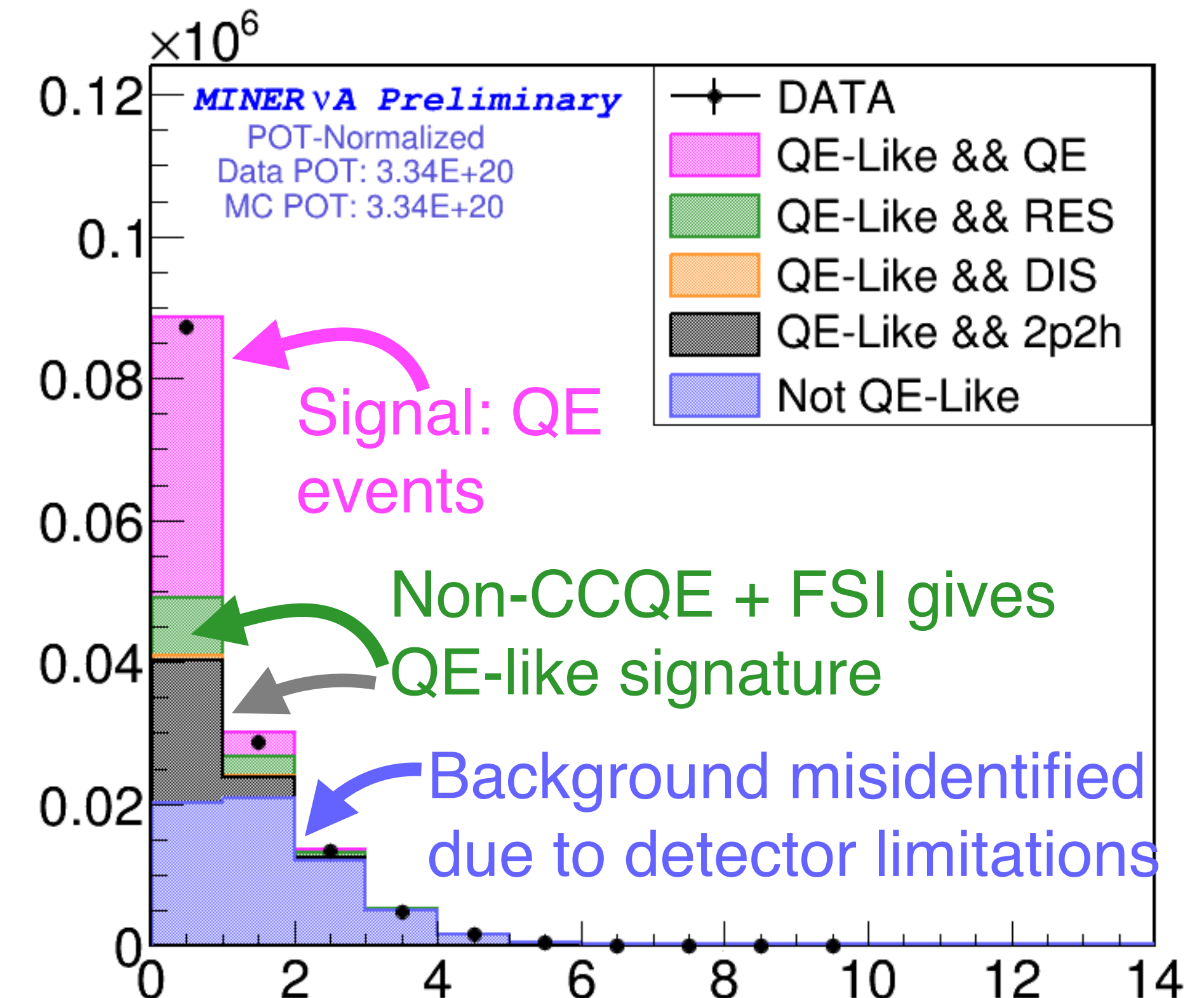
$\bar{\nu}_\mu$ QE

Charge ex.

Summary - consequences of FSI

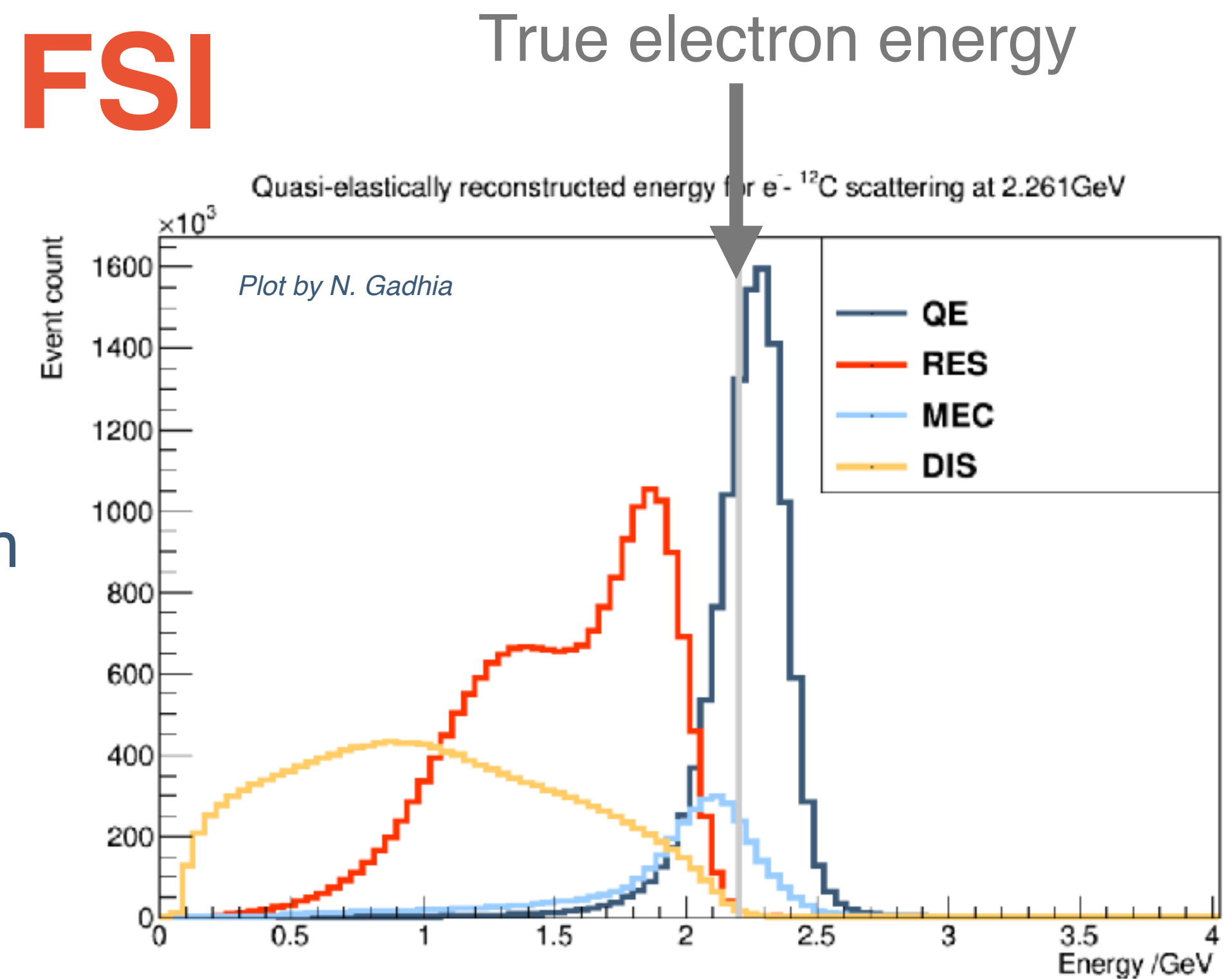
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- When we **compare data with models**, it's hard to identify the effect of a single model (e.g. QE model) on the total spectrum

MINERvA's CCQE-like selection



Summary - consequences of FSI

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- Using the model for the wrong interaction mode will yield a **wrong neutrino energy**



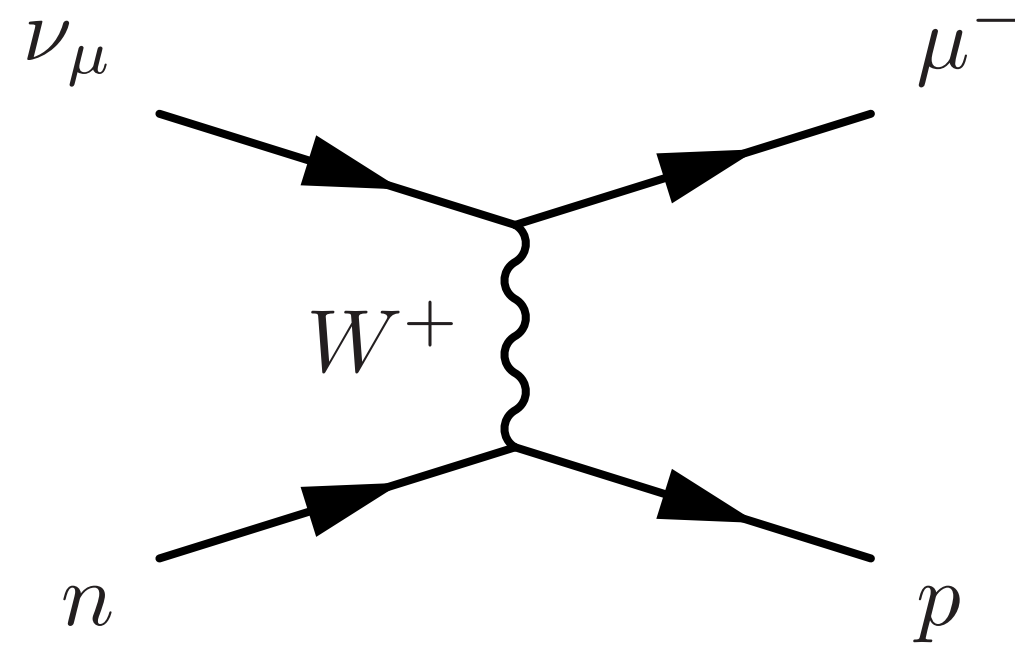
Mono-energetic electron scattering simulation

Energy reconstructed with the quasi-elastic formula for QE, resonant, DIS, and MEC events

Summary - consequences of FSI

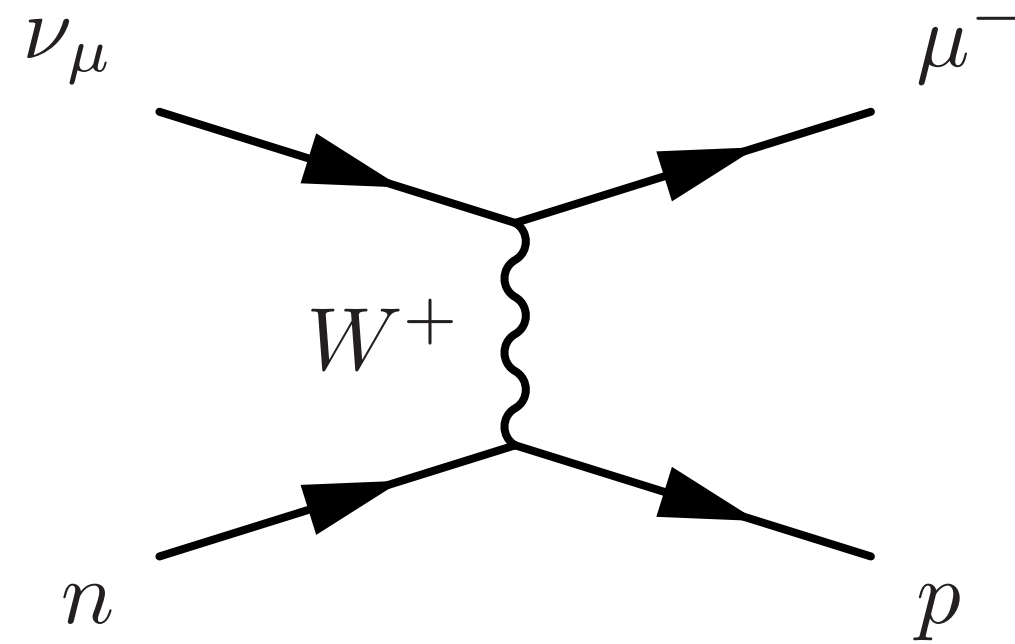
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- This is particularly hard if your **detector** gives limited information (no muon/pion charges, poor low-energy detection etc)
- When we **compare data with models**, it's hard to identify the effect of a single model (e.g. QE model) on the total spectrum
- Using the model for the wrong interaction mode will yield a **wrong neutrino energy**
- Some FSI can knock out extra nucleons, alter interaction kinematics etc.
- We have some tricks to try and separate out these nuclear effects...

A trick for studying nuclear effects: transverse kinematics



Quasi-elastic ν_μ scattering
from a **stationary** neutron

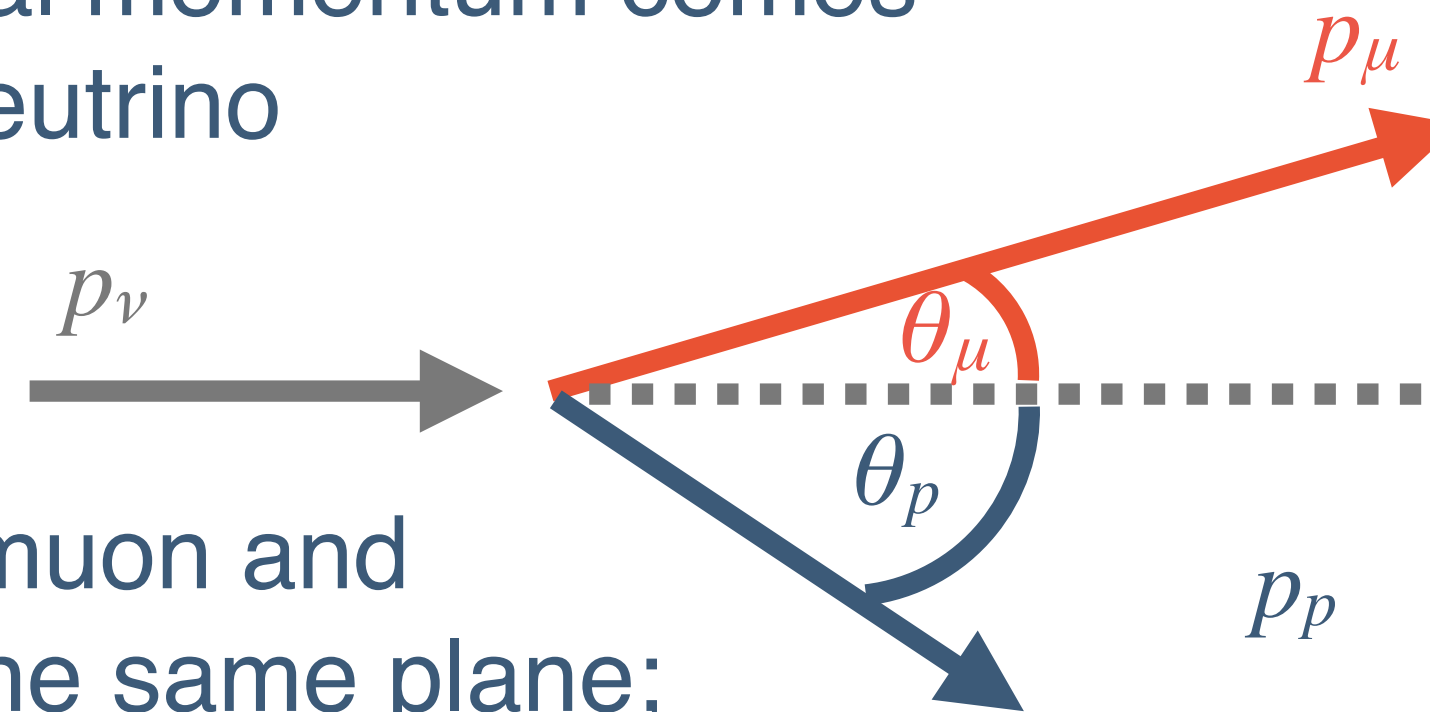
A trick for studying nuclear effects: transverse kinematics



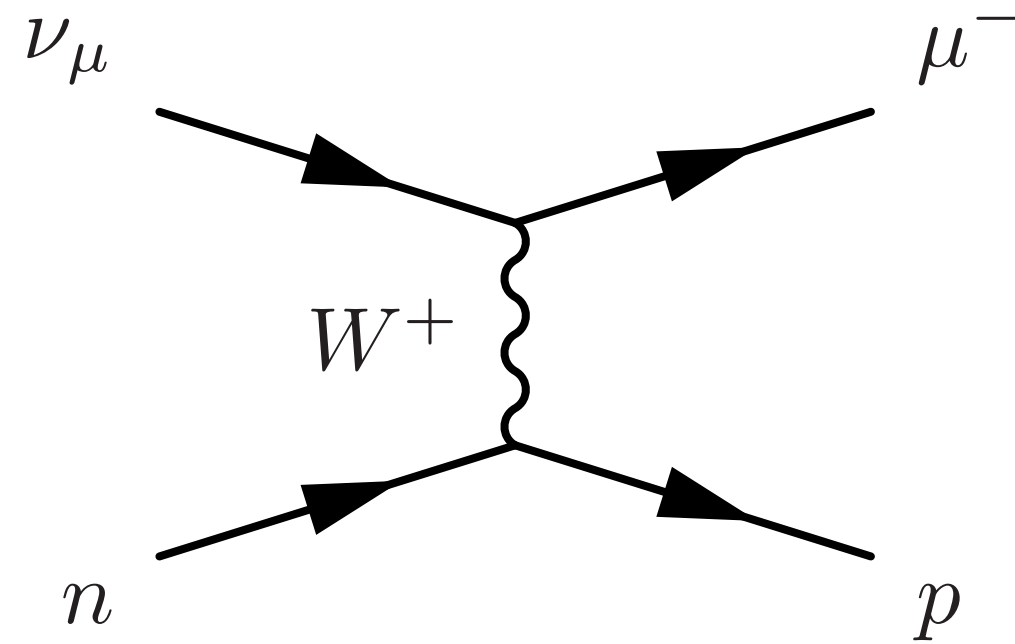
Quasi-elastic ν_μ scattering
from a **stationary** neutron

All the initial momentum comes
from the neutrino

Neutrino, muon and
proton in the same plane;
 $p_\mu \sin \theta_\mu = p_p \sin \theta_p$



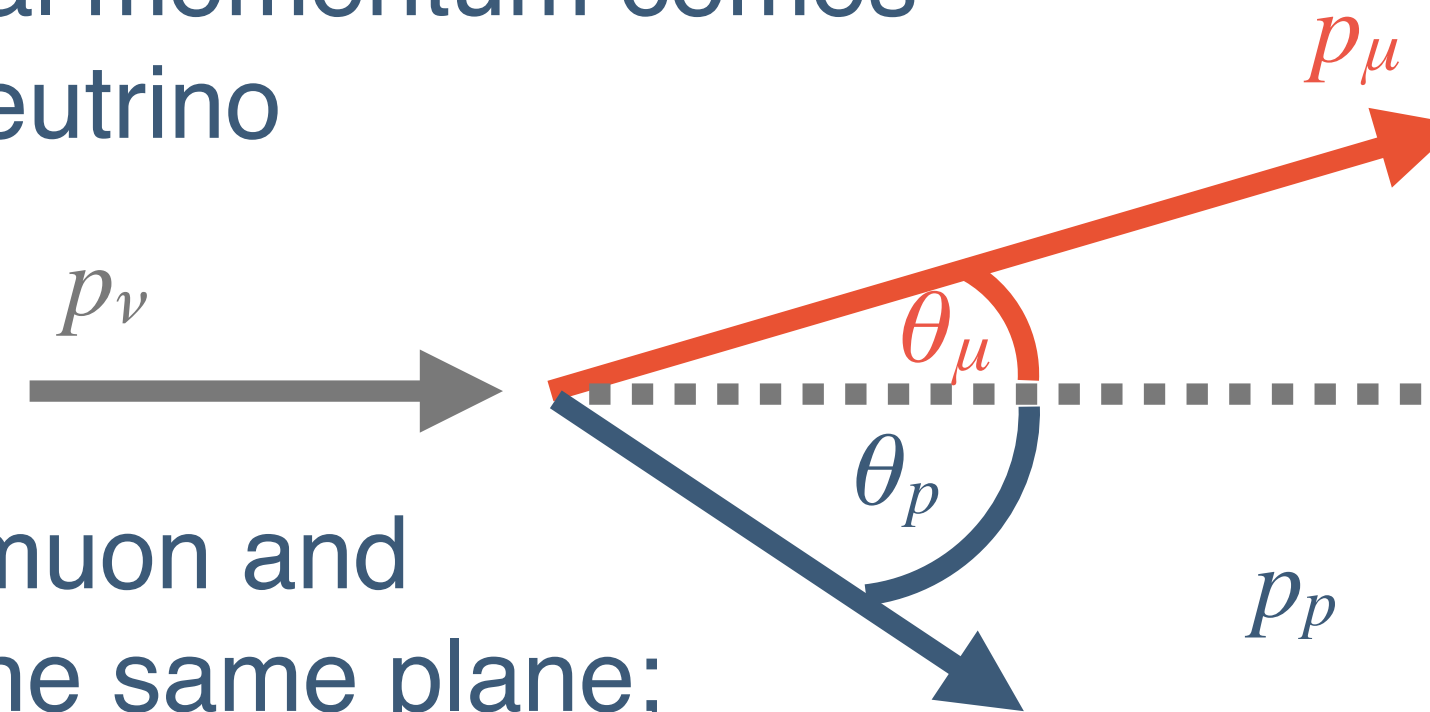
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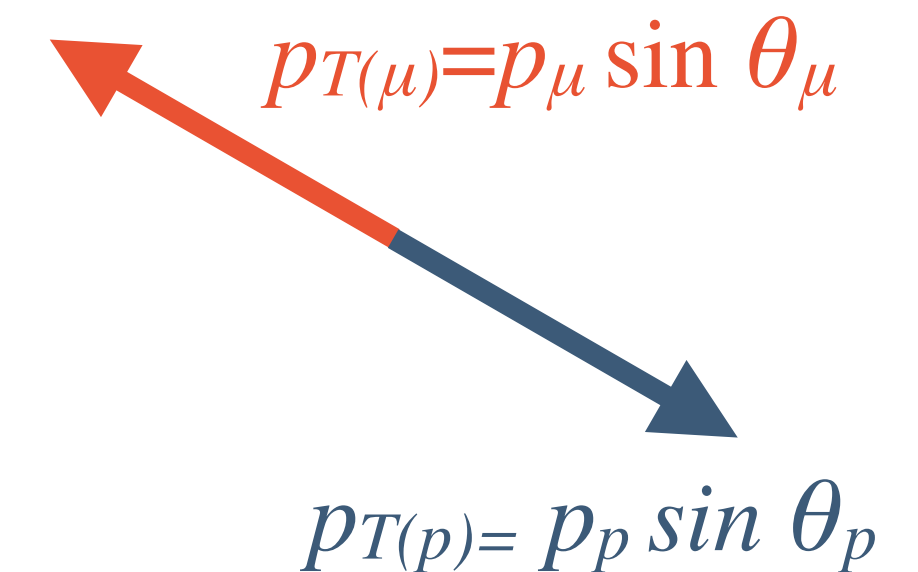
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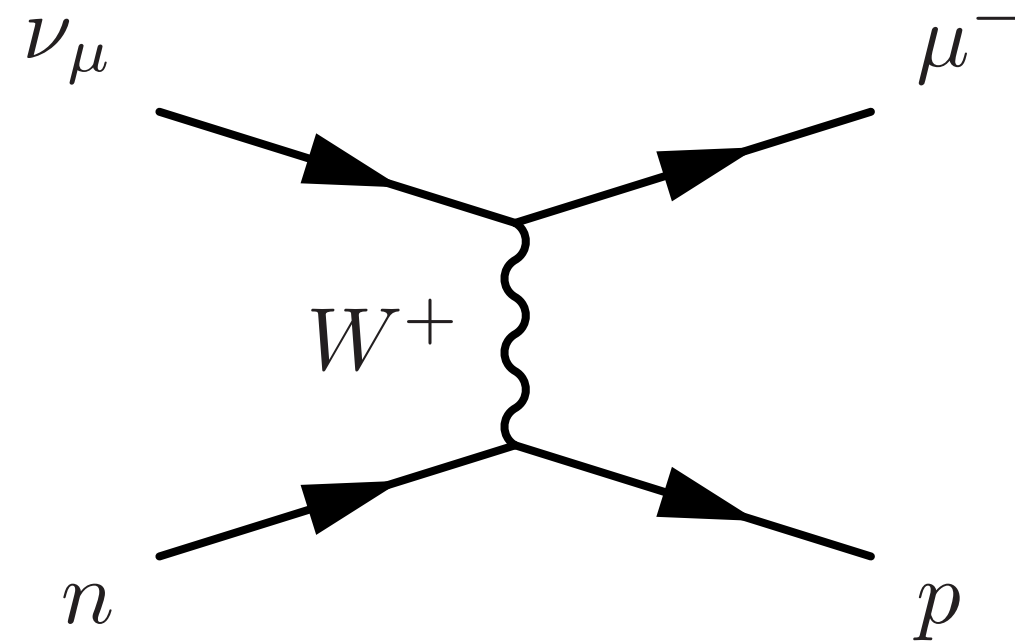
Neutrino, muon and proton in the same plane;
 $p_\mu \sin \theta_\mu = p_p \sin \theta_p$



Project into a plane transverse to the beam;
 $p_{T(\mu)}$ & $p_{T(p)}$ equal & opposite



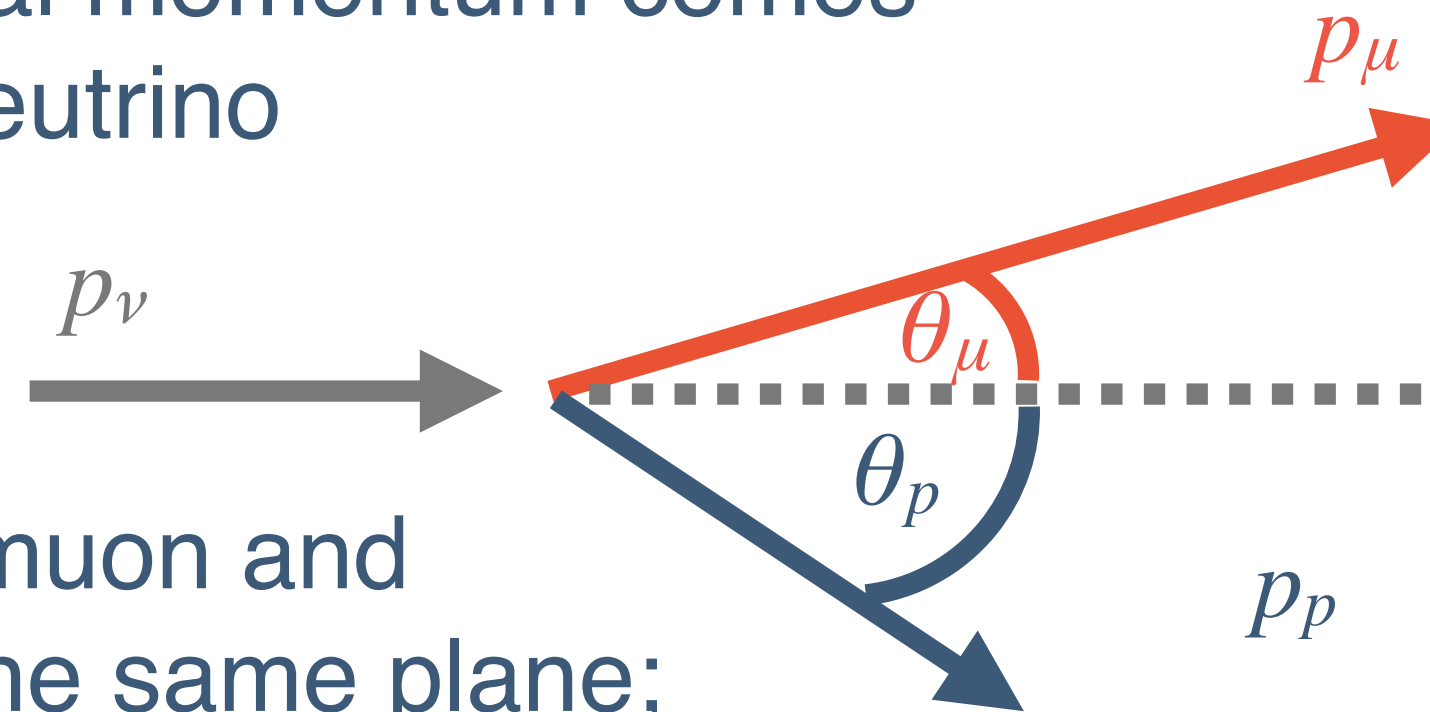
A trick for studying nuclear effects: transverse kinematics



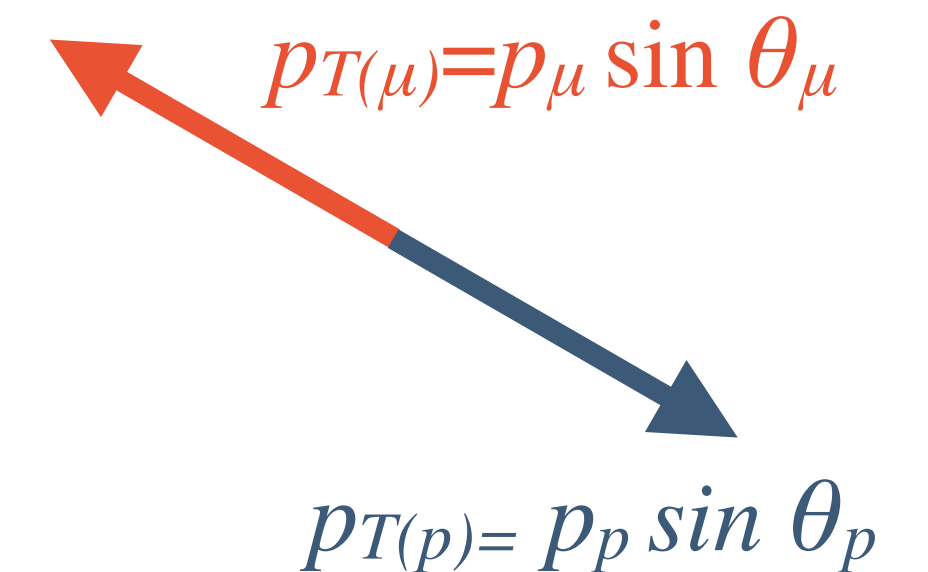
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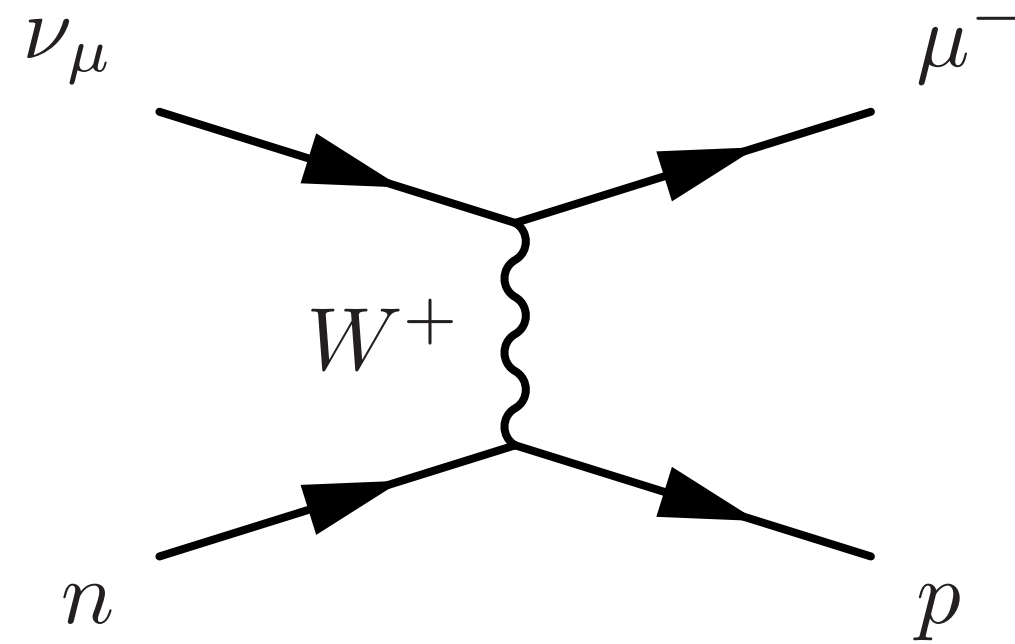


Project into a plane transverse to the beam;
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What if that doesn't happen?

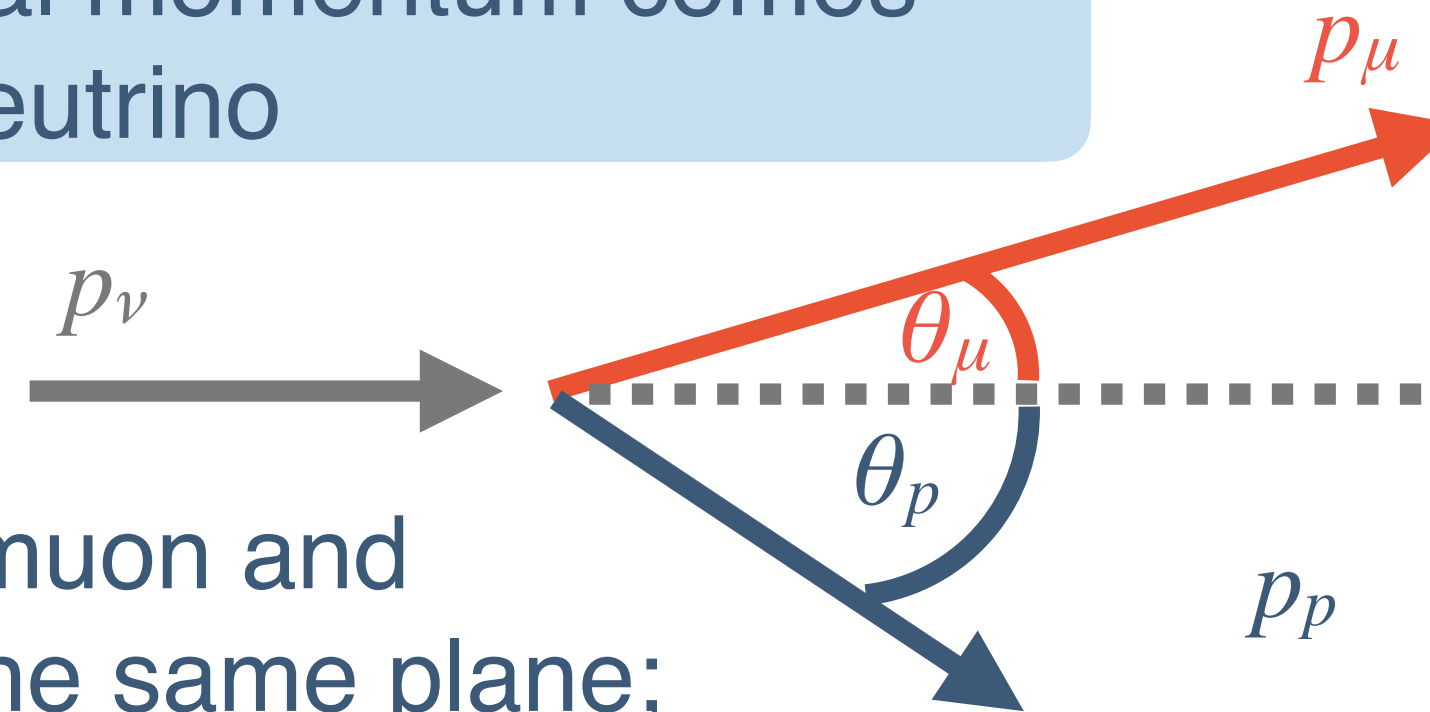
A trick for studying nuclear effects: transverse kinematics



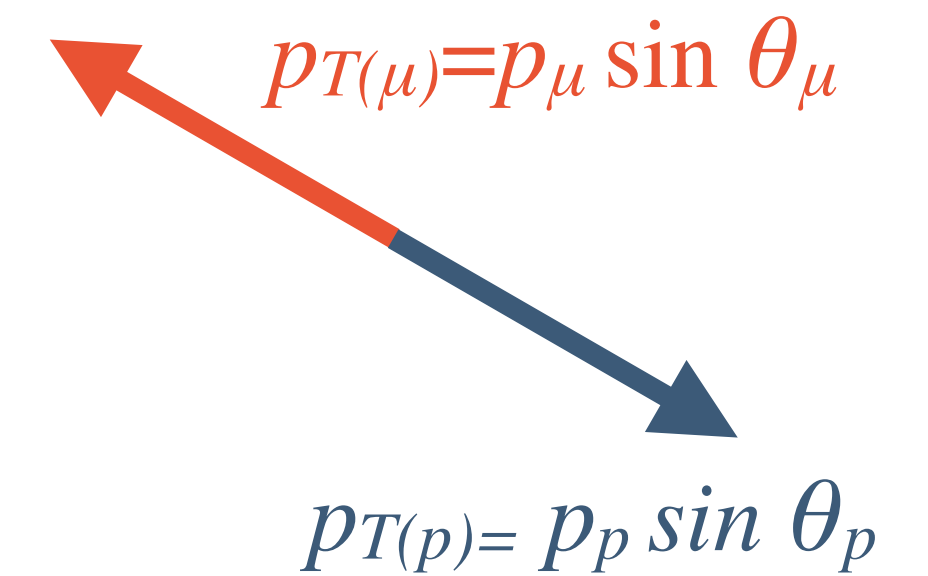
Quasi-elastic ν_μ scattering from a **stationary** neutron

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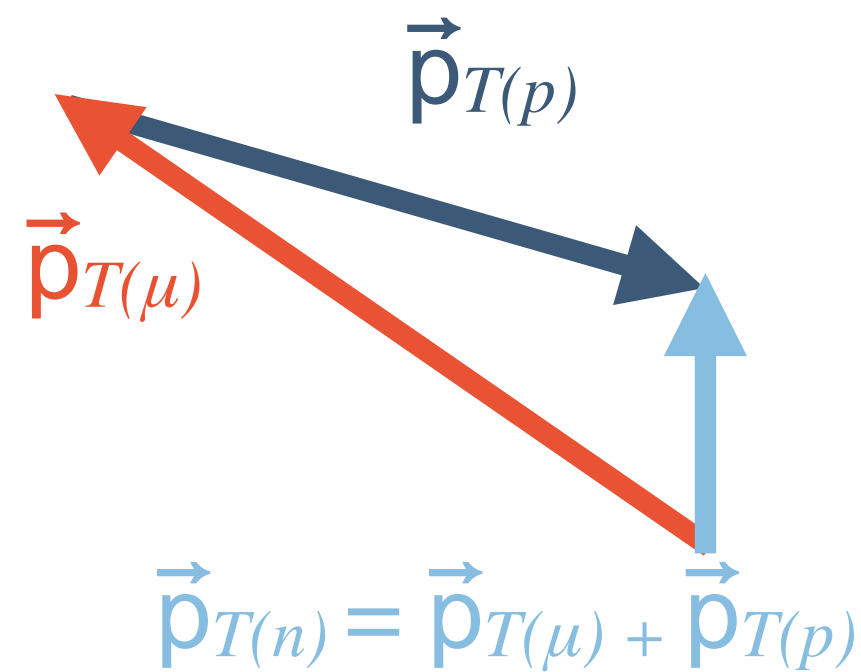
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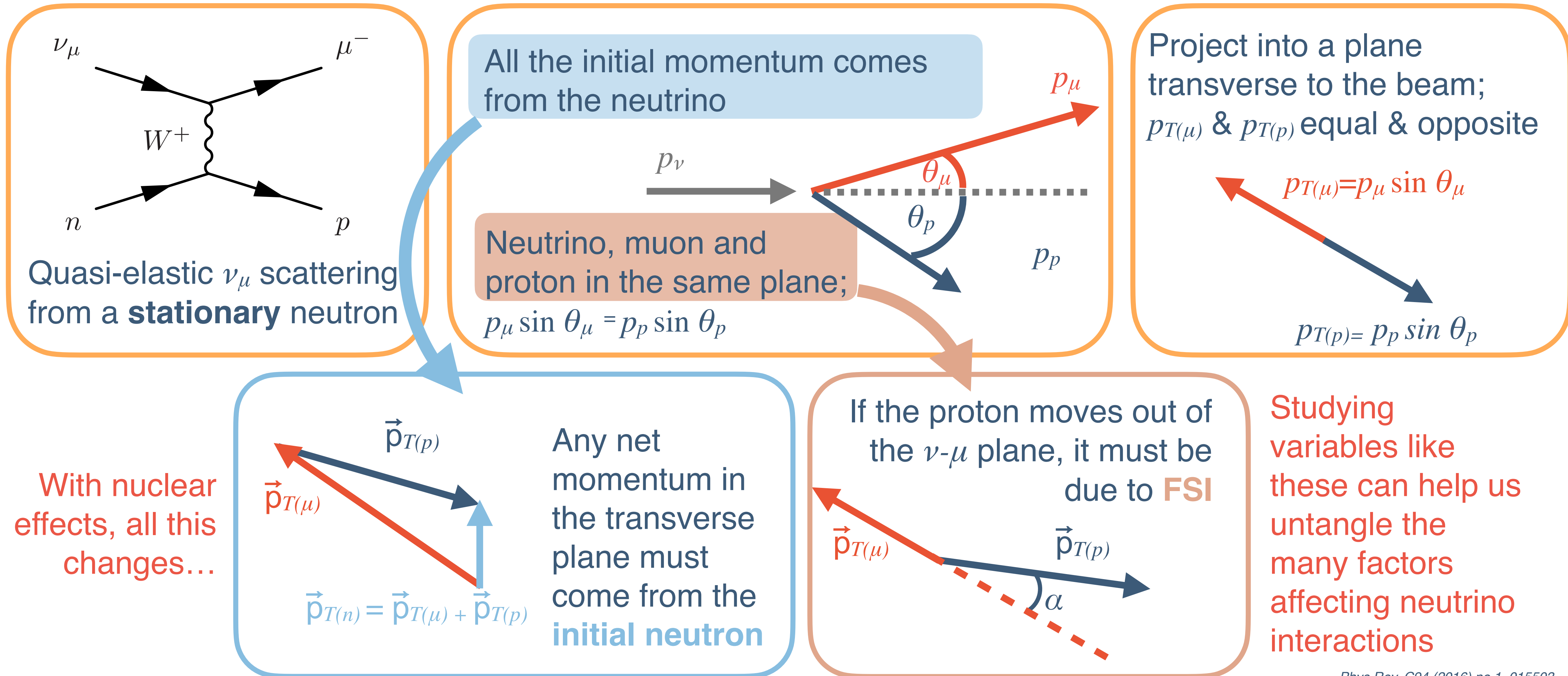
With nuclear effects, all this changes...



Any net momentum in the transverse plane must come from the **initial neutron**

Phys.Rev. C94 (2016) no.1, 015503

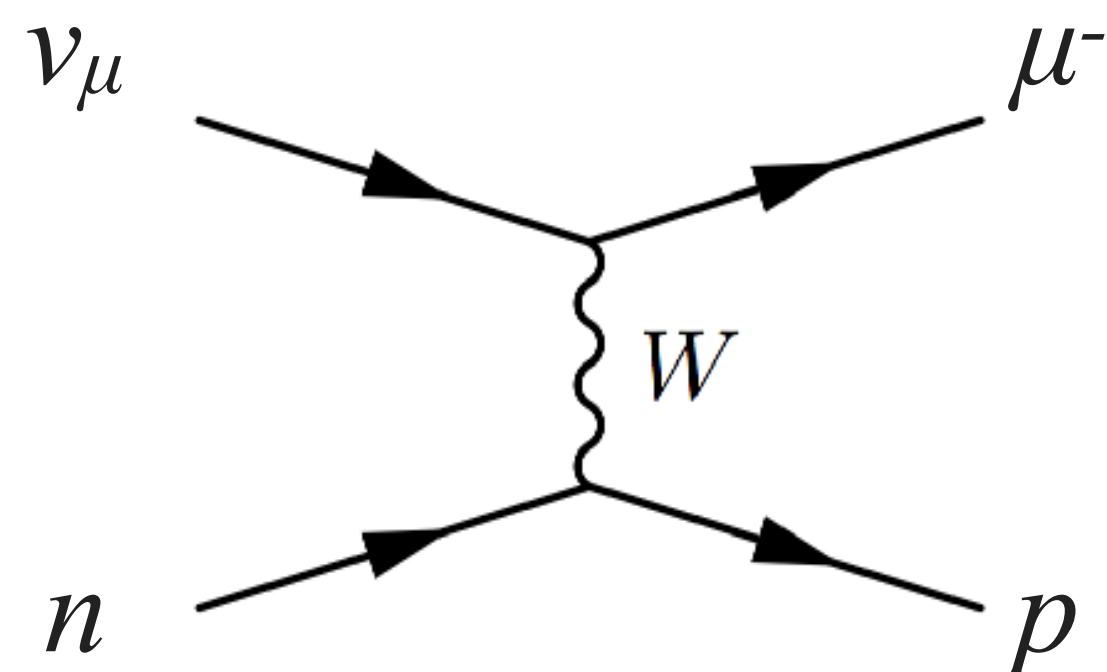
A trick for studying nuclear effects: transverse kinematics



Phys.Rev. C94 (2016) no.1, 015503

A little help from our friends - electrons!

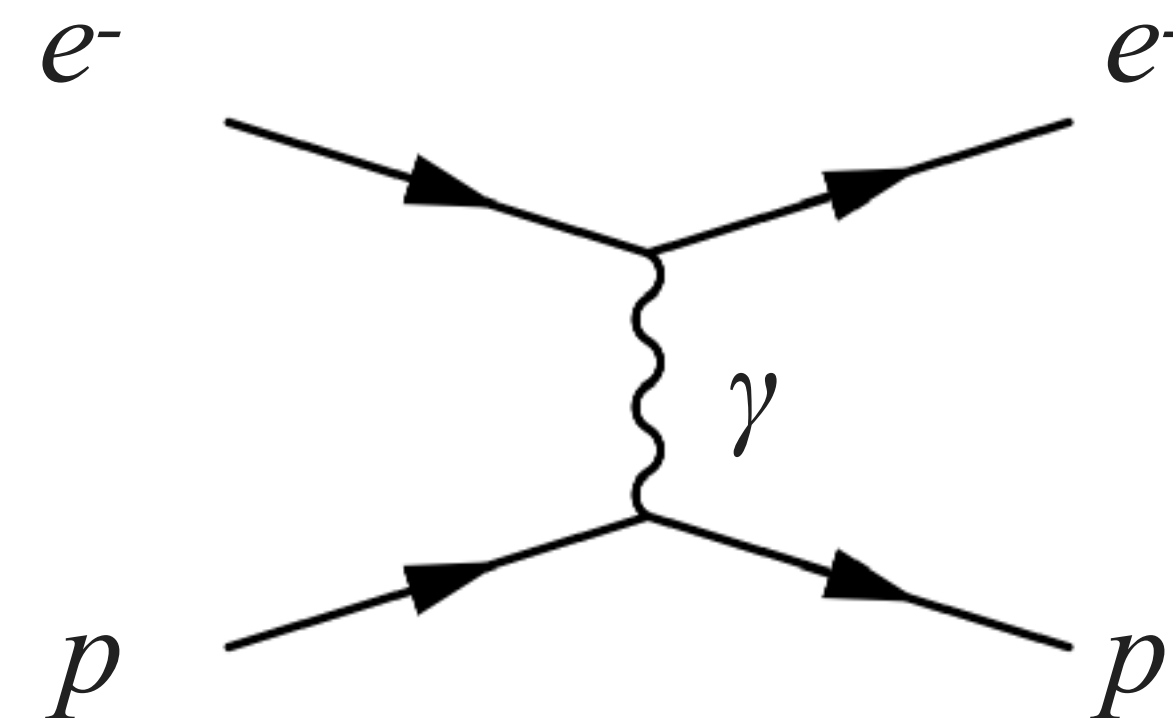
Question 4



Neutrino scattering

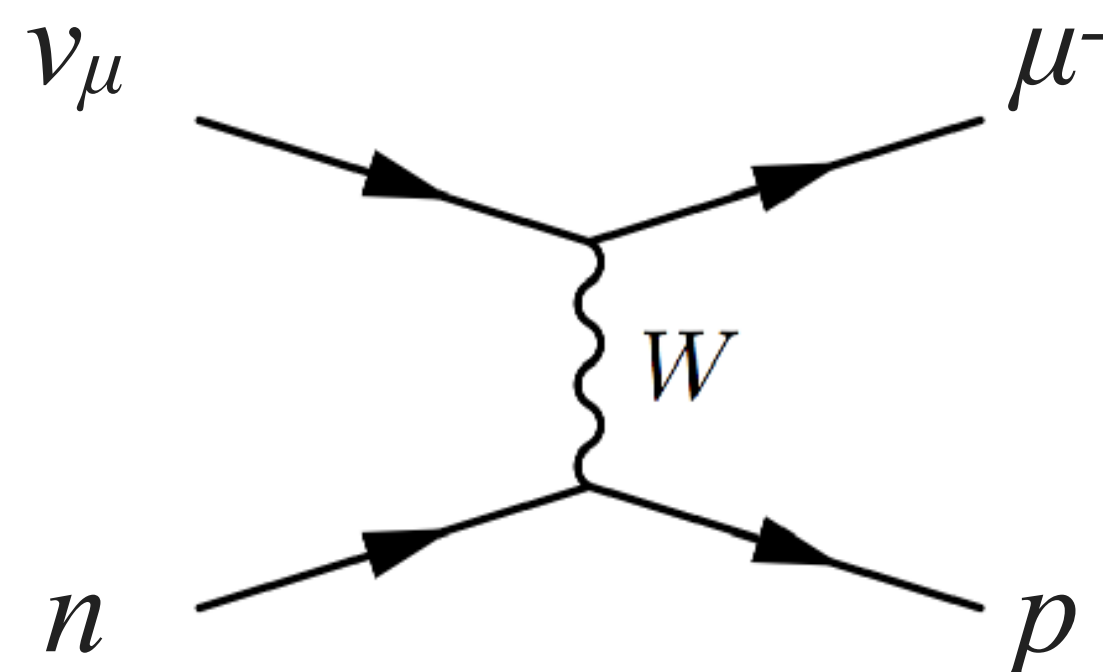
Electron scattering

What's the same?
What's different?



A little help from our friends - electrons!

Question 4



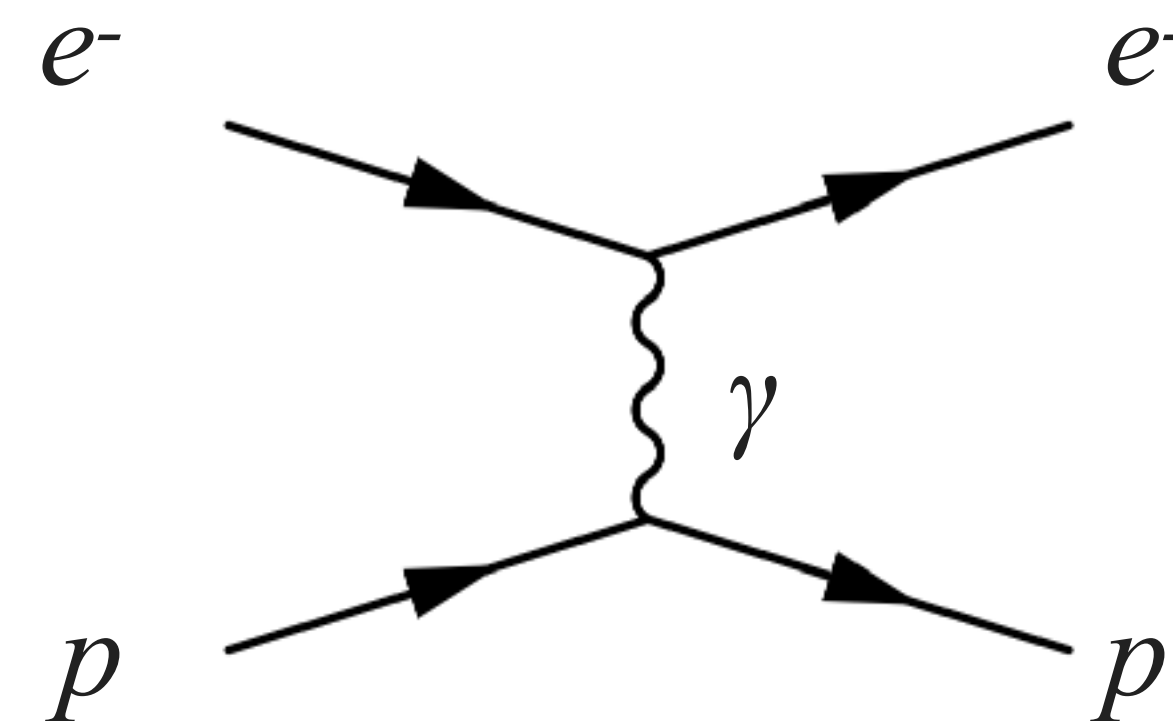
Neutrino scattering

Small cross section
Broad-band beams
Vector+axial interaction

Electron scattering

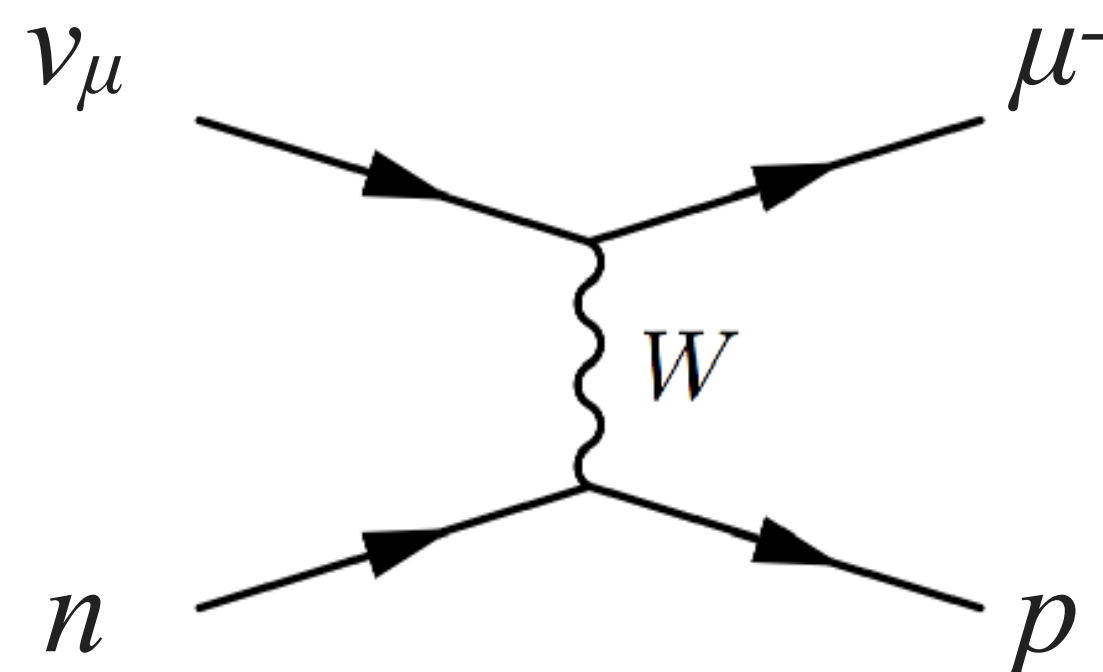
Large cross section
Mono-energetic beams
Vector interaction only

The same nuclear physics



A little help from our friends - electrons!

Question 4



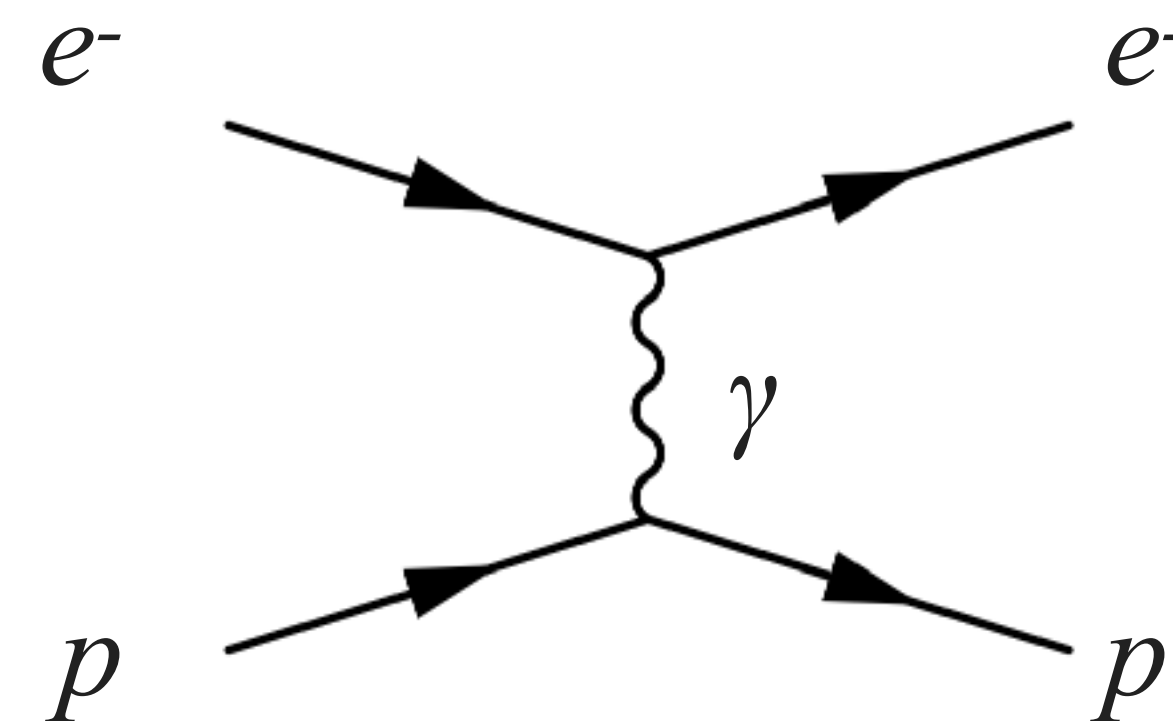
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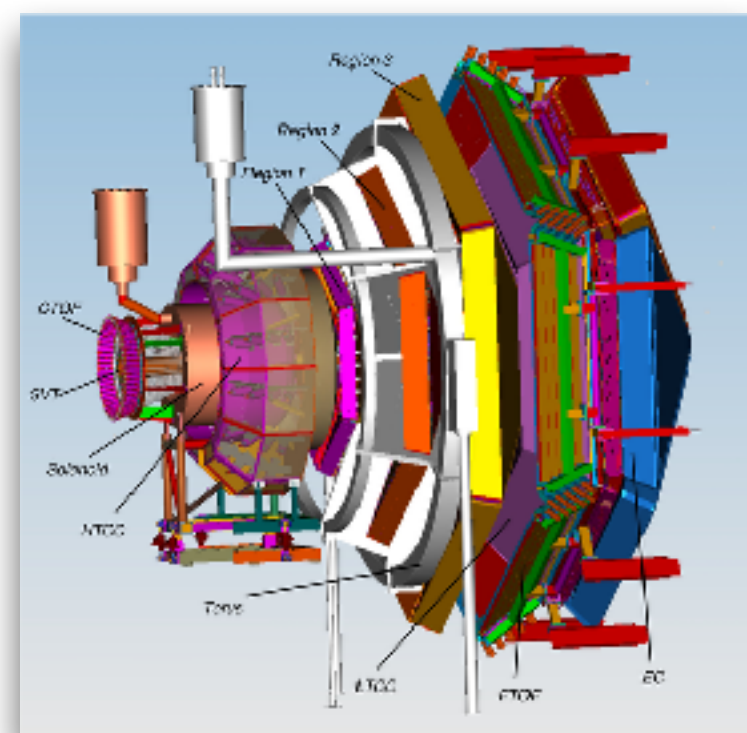
Electron scattering

Large cross section
Mono-energetic beams
Vector interaction only

The same nuclear physics



e4ν



CLAS detector in
JLab electron
beam

+

Neutrino-interaction
simulation modified
for electrons



=

Vector part of models
tested for

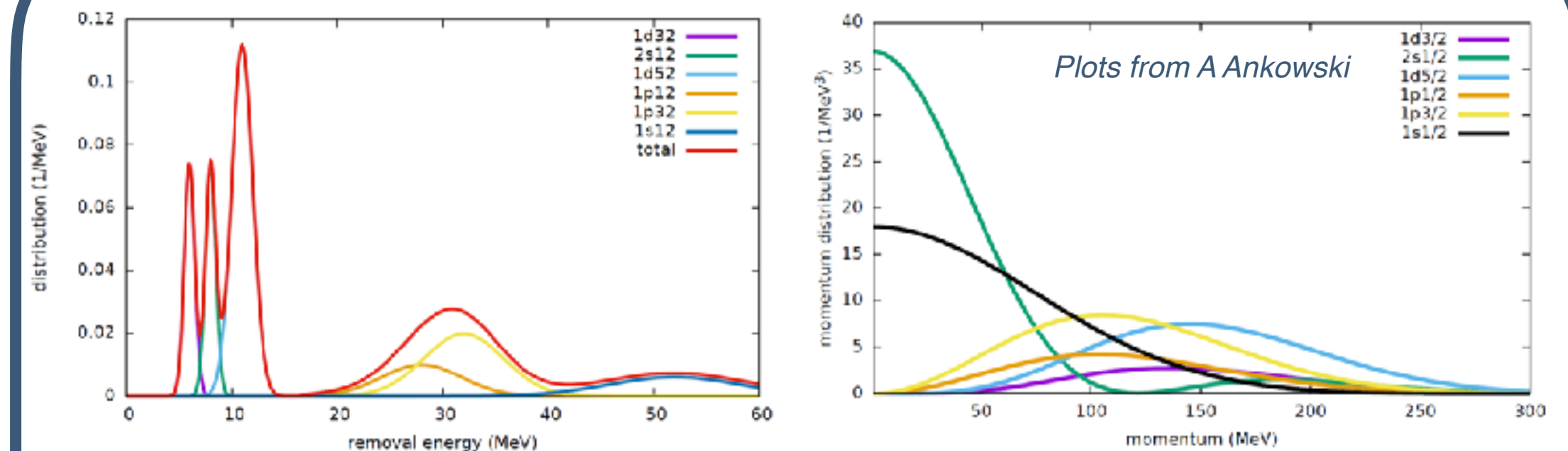
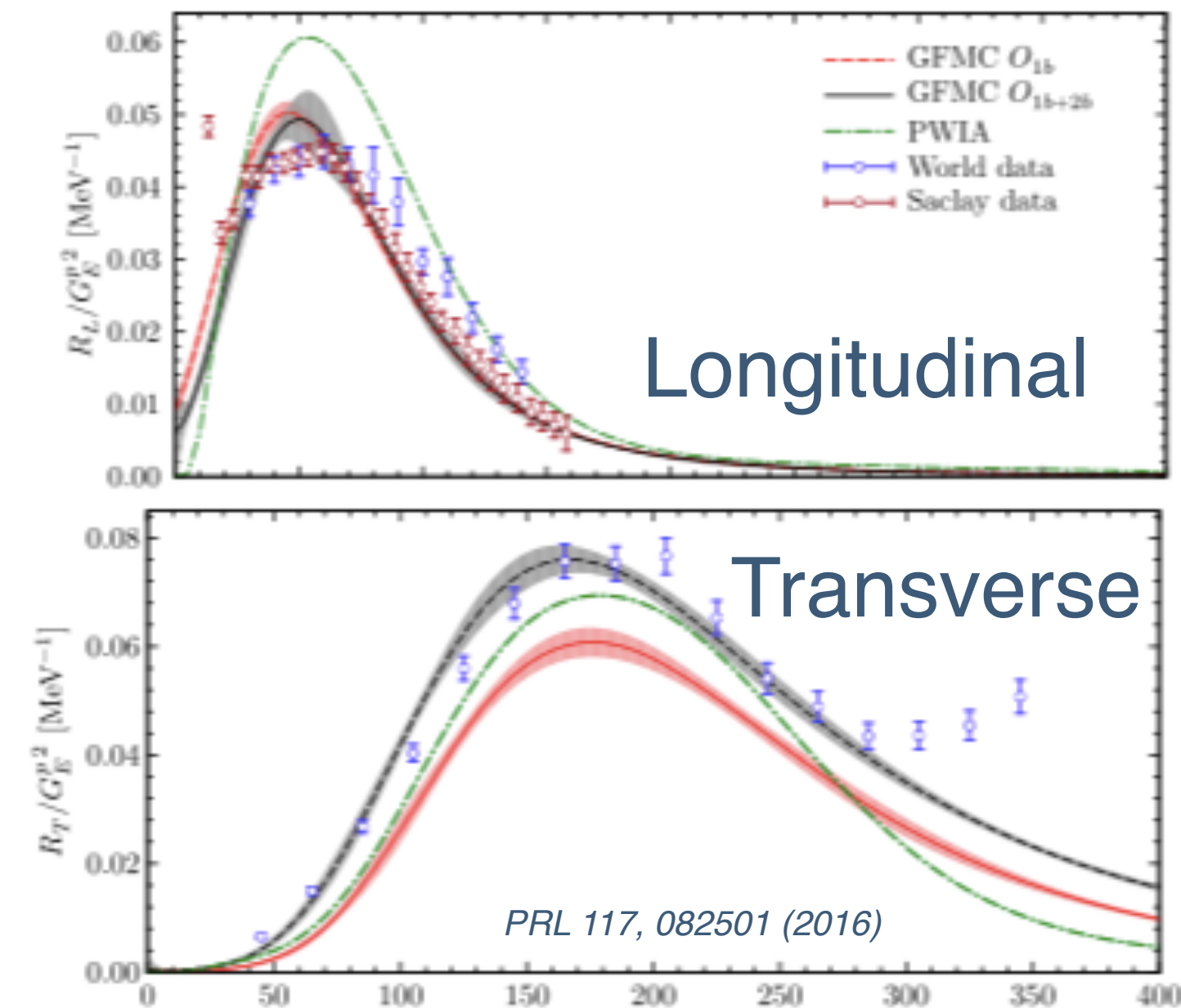


(the axial part's for us
to test...)

Modeling nuclei

- *Ab initio* methods like spectral functions and Green's function Monte Carlo accurately model simple, symmetric nuclei in certain regimes
- Computational complexity limits them for heavier nuclei

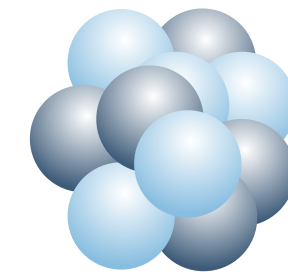
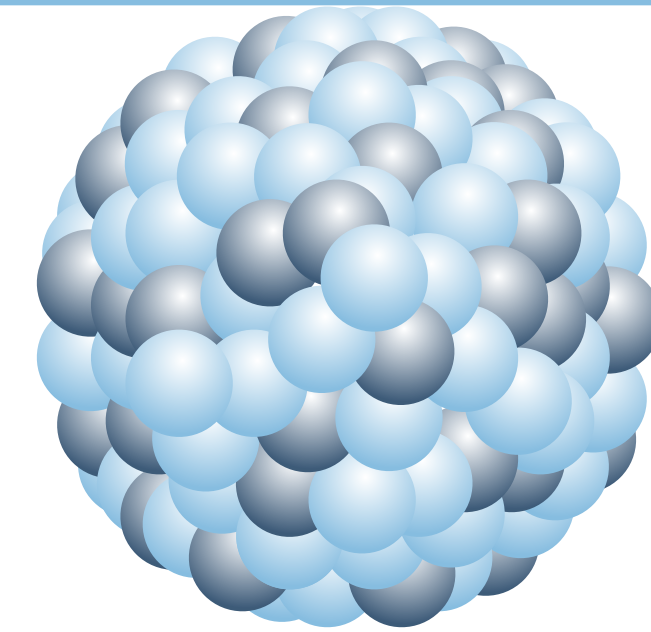
Green's Function Monte Carlo



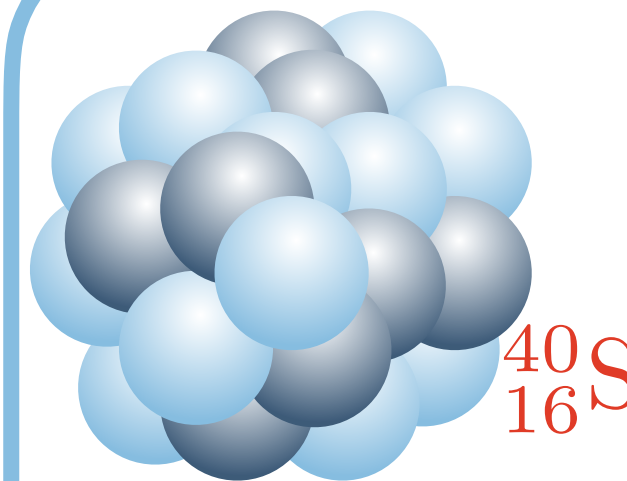
Spectral functions (removal energy and momentum) for nucleons ^{40}Ar

Modeling nuclei

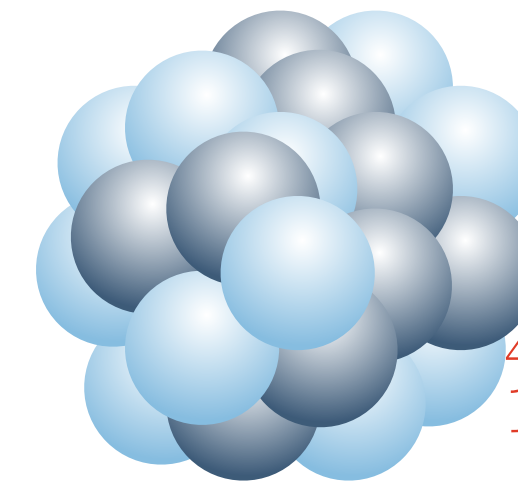
- *Ab initio* methods like spectral functions and Green's function Monte Carlo accurately model simple, symmetric nuclei in certain regimes
- Computational complexity limits them for heavier nuclei
- Nuclear effects depend on many factors; it's hard to generalize models or measurements from one nucleus to another



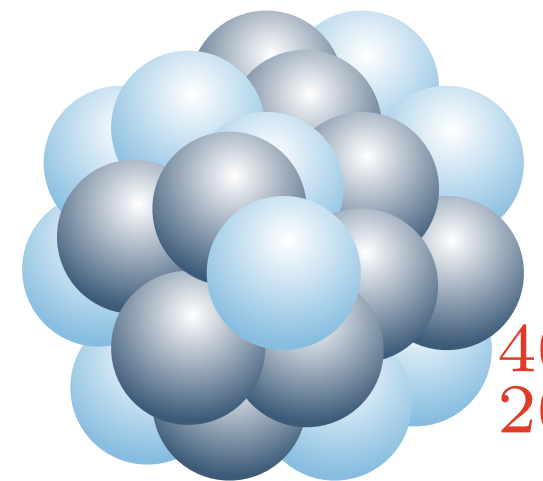
Number of nucleons (atomic mass A)



$^{40}_{16}\text{S}$

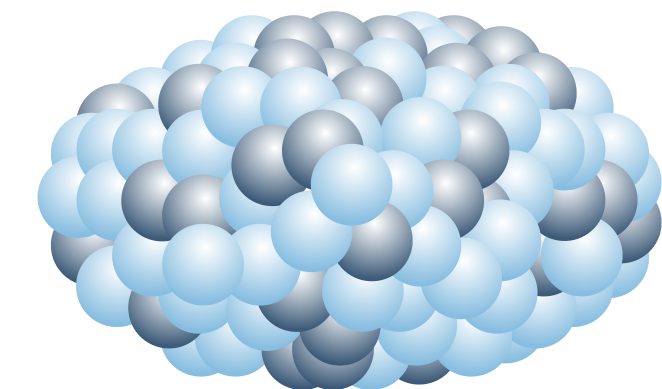
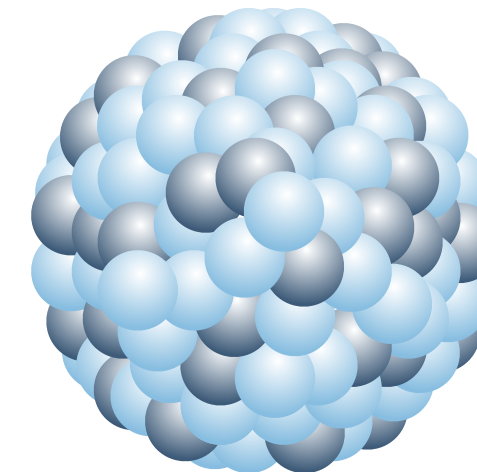


$^{40}_{18}\text{Ar}$



$^{40}_{20}\text{Ca}$

Neutron-proton ratio (isobars)



Shapes, binding energies etc are consequences of a complex shell structure

Universality and scaling

The idea:

- find some **universal** property or function that is the **same for many nuclei**
- Make **predictions** for nuclei that haven't yet been studied

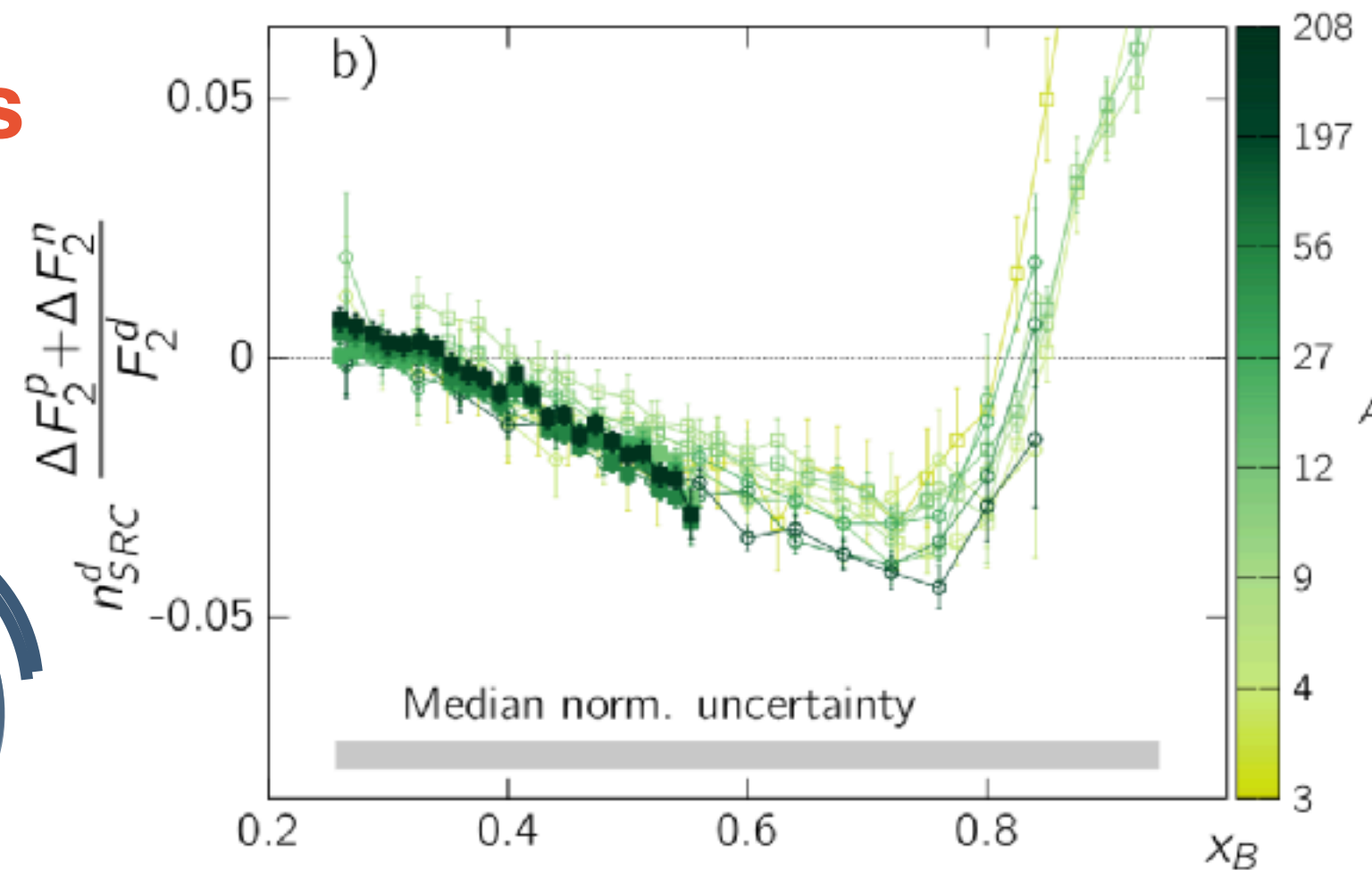
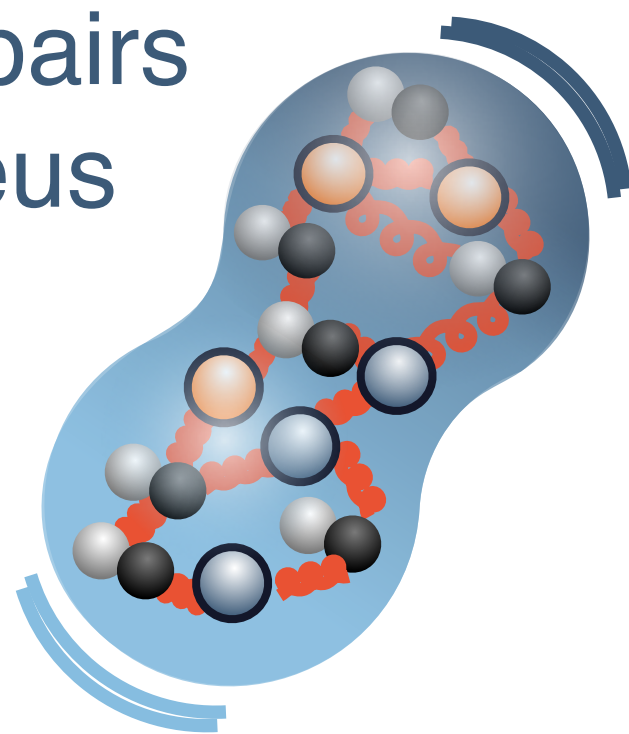
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DIS structure functions

show universal x -dependence if scaled by the number of correlated pairs in the nucleus



(Each colour represents a different element/isotope)

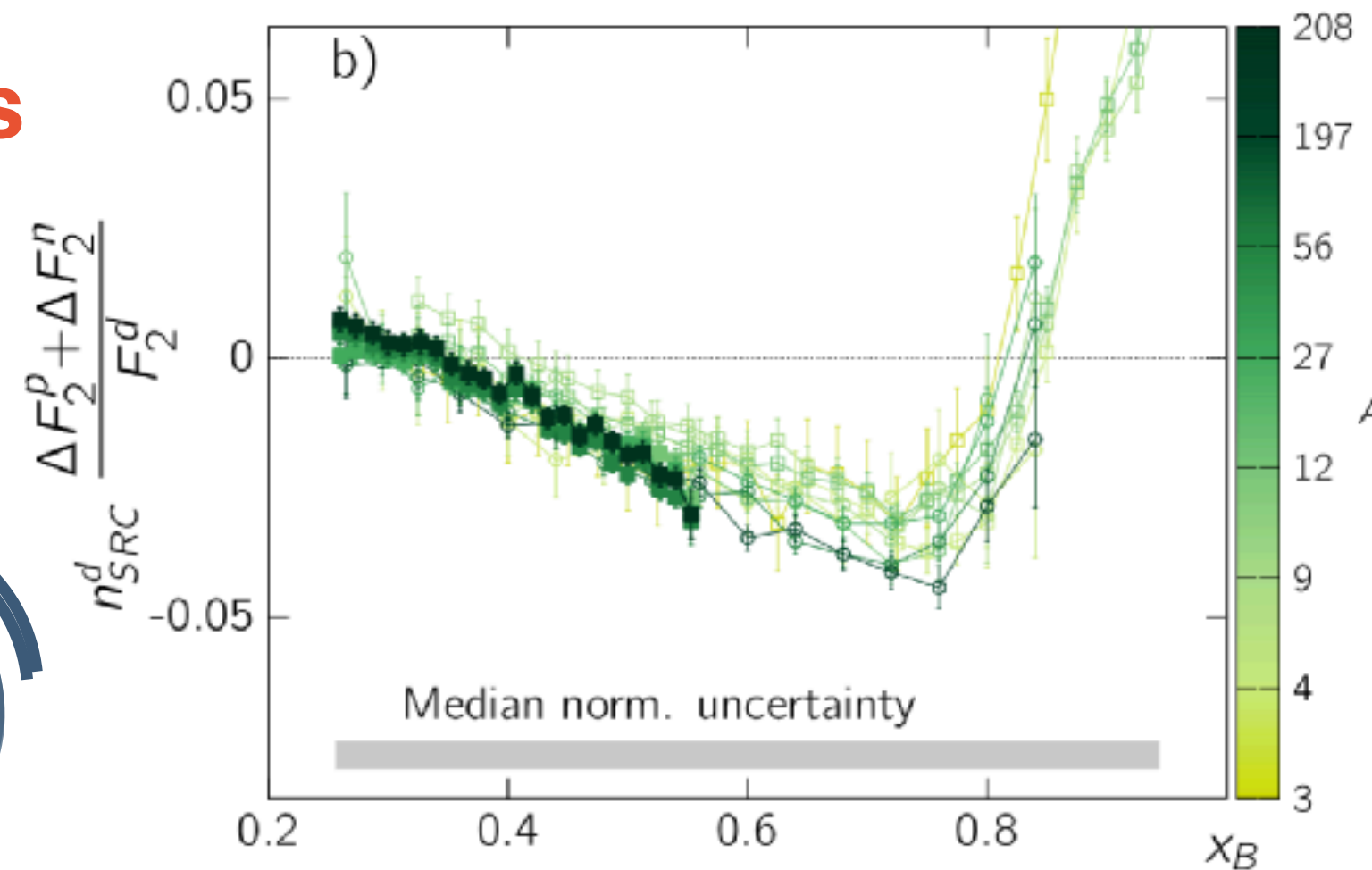
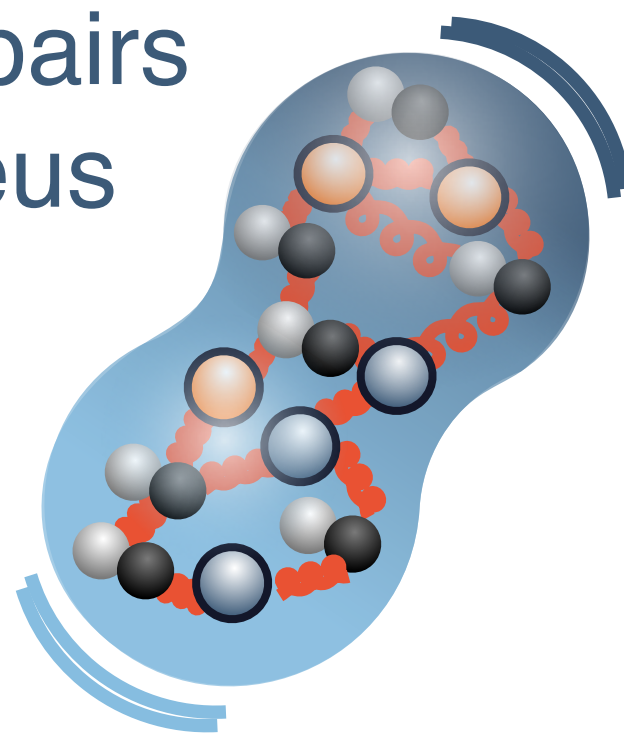
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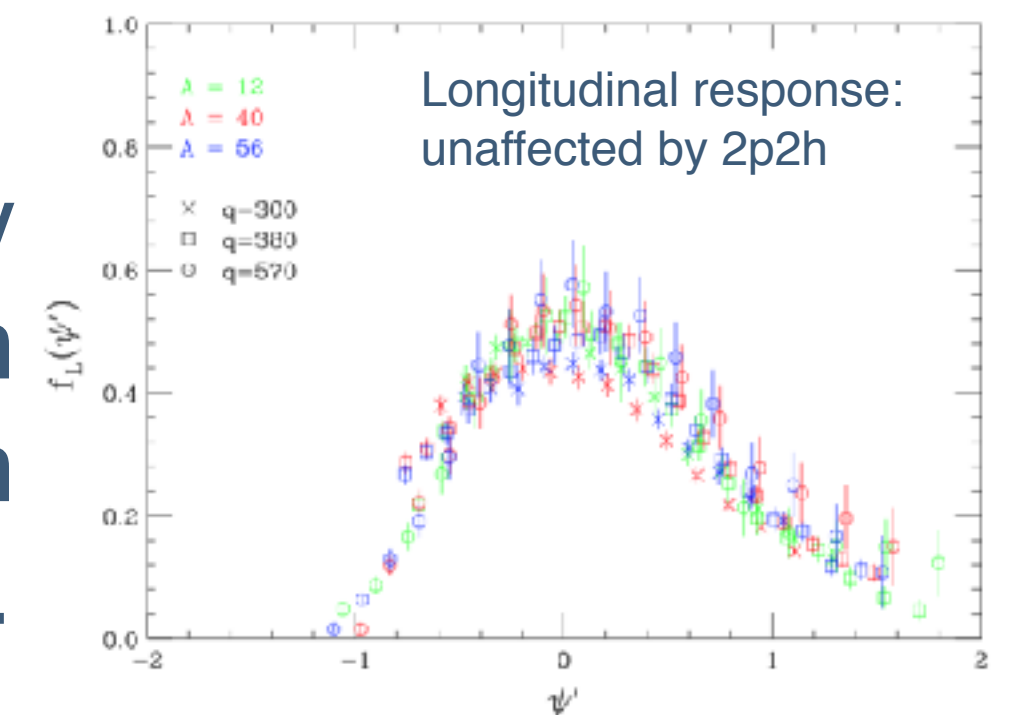


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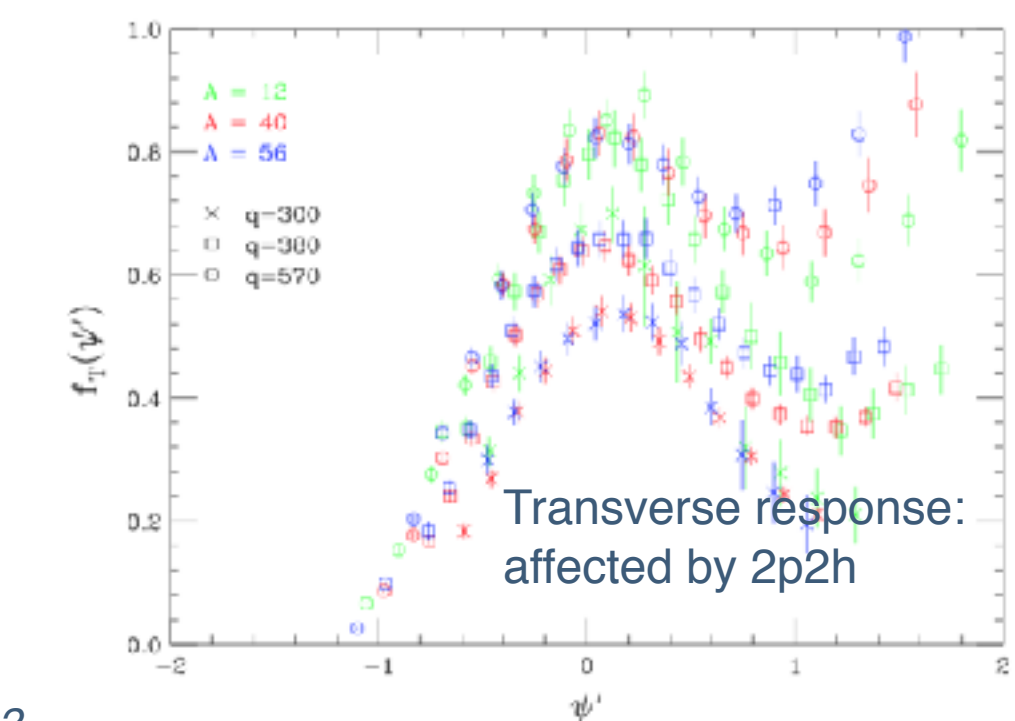
Super-scaling models seek a variable $\psi(q,w)$ such that

- cross section per nucleon is a function only of ψ
- ...and is universal for all nuclei

These currently model electron scattering well in some regimes...



...and less well in others

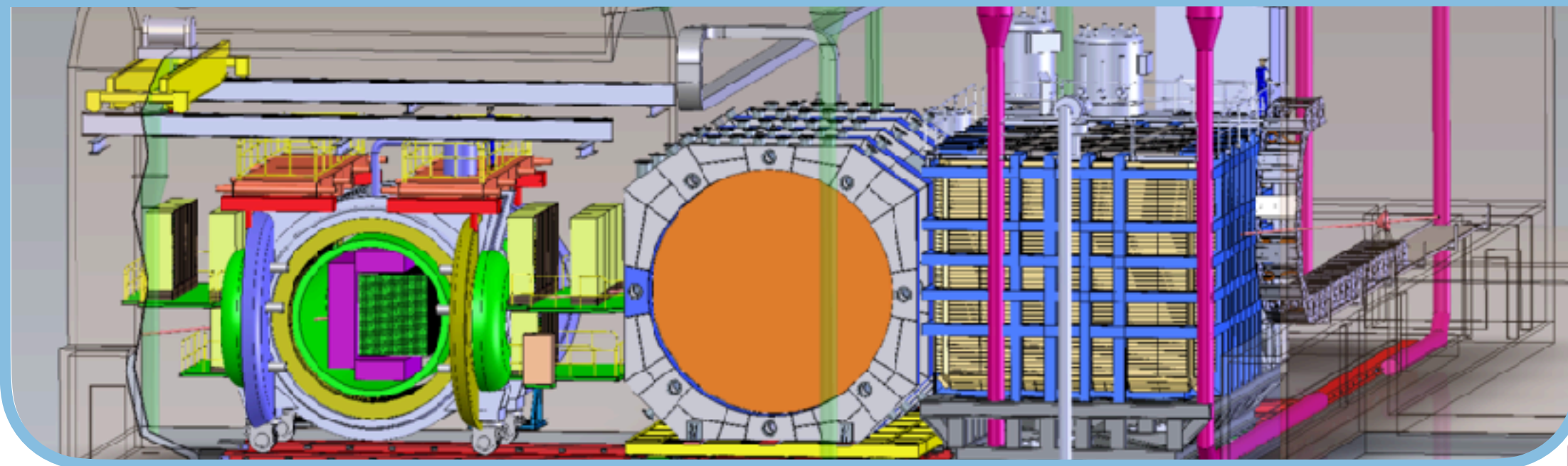


Phys.Rev. C60 (1999) 065502

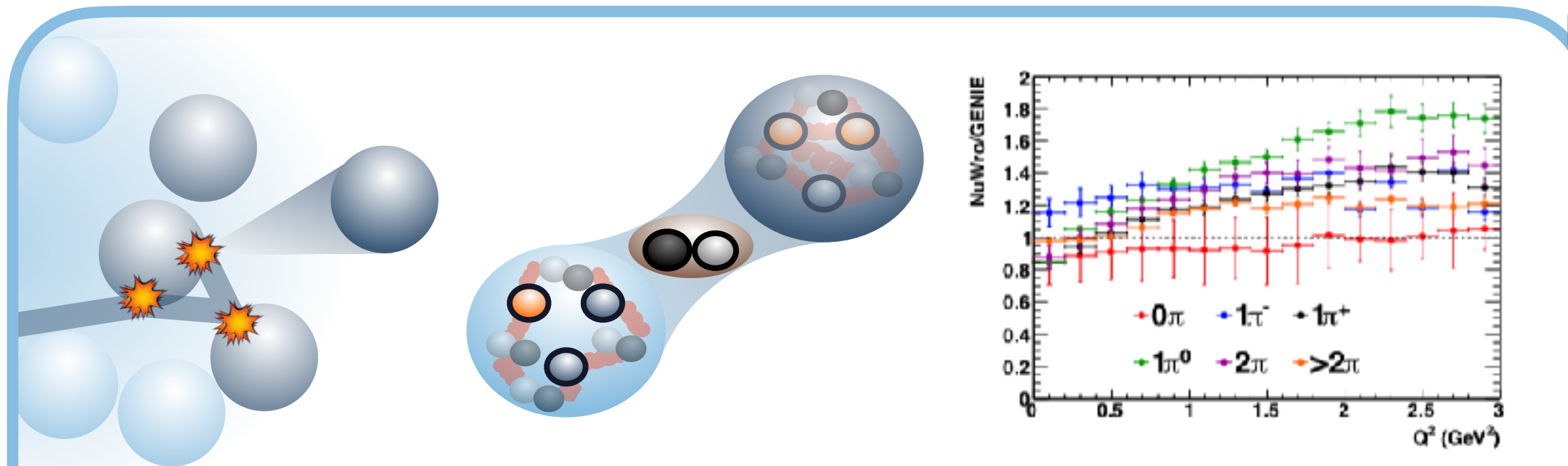
Next step - test models with neutrino data!

Neutrino interaction analysis with DUNE simulation

DUNE's near detectors will have unique capabilities (Learn more in the mini talks)

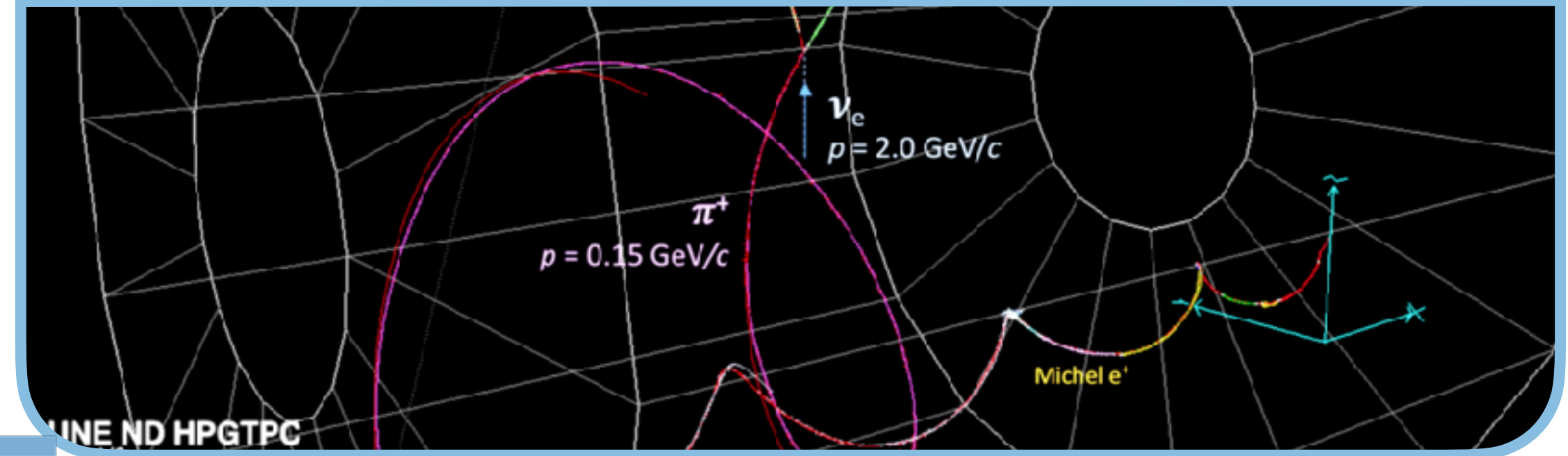


Learn more next week...

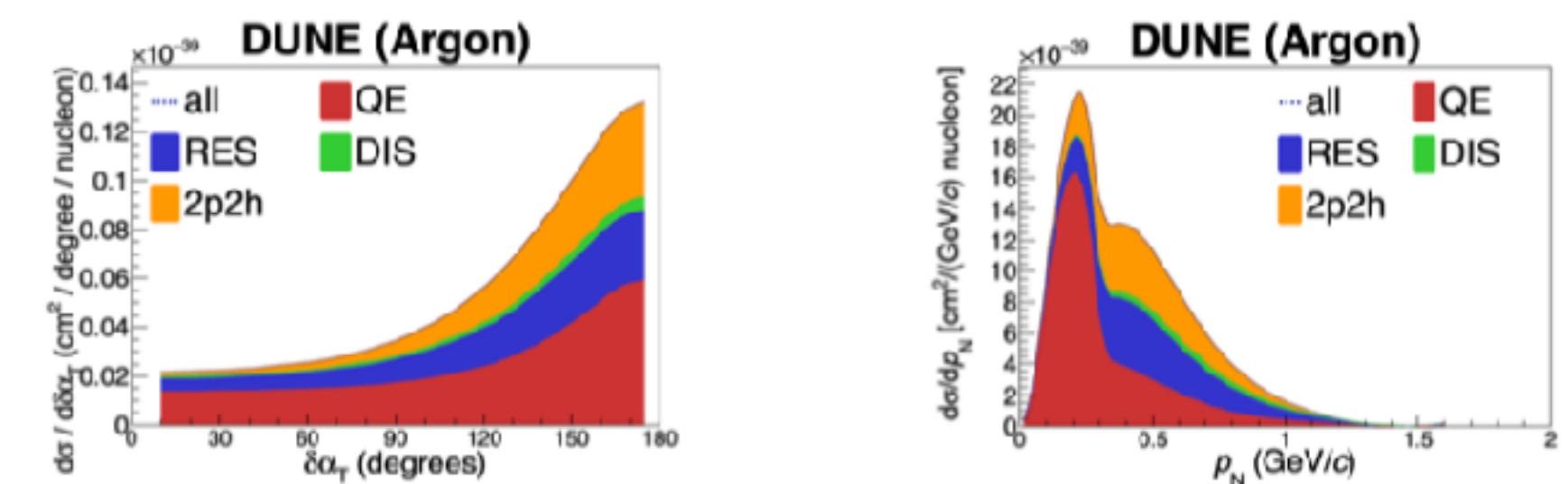


... how sensitive the detectors are to differences between interaction and nuclear models...

By analyzing simulation, we can understand how neutrino interactions will appear...



... and try for yourself in week 3!



...and how best to use them to study and untangle nuclear effects

Images and plots on this slide from arXiv:2103.13910 [physics.ins-det]

At the beginning I promised you'd learn...

Question 5

Why neutrino interactions are important

What we know about interactions

What we still need to understand better

How we're trying to understand them

At the beginning I promised you'd learn...

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Why neutrino interactions are important

- Neutrinos are only detected when interacting
- Understanding interactions helps us reconstruct energy...
- ... and identify modes that “fake” other interaction types

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- ν -electron scattering: well understood
- ν -nucleon - basic processes understood (QE, resonant, DIS...)
- nuclear models are best for small nuclei

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- Models of multi-nucleon effects are improving all the time

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What we still need to understand better

- Implement models in neutrino-scattering simulation programs like GENIE
- Understand our sensitivity to models
- Test against data

How we're trying to understand them

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- nuclear models are best for small nuclei

- ν -nucleon - still uncertainties in inelastic models, form factors, structure functions
- Models of multi-nucleon effects are improving all the time

What we still need to understand better

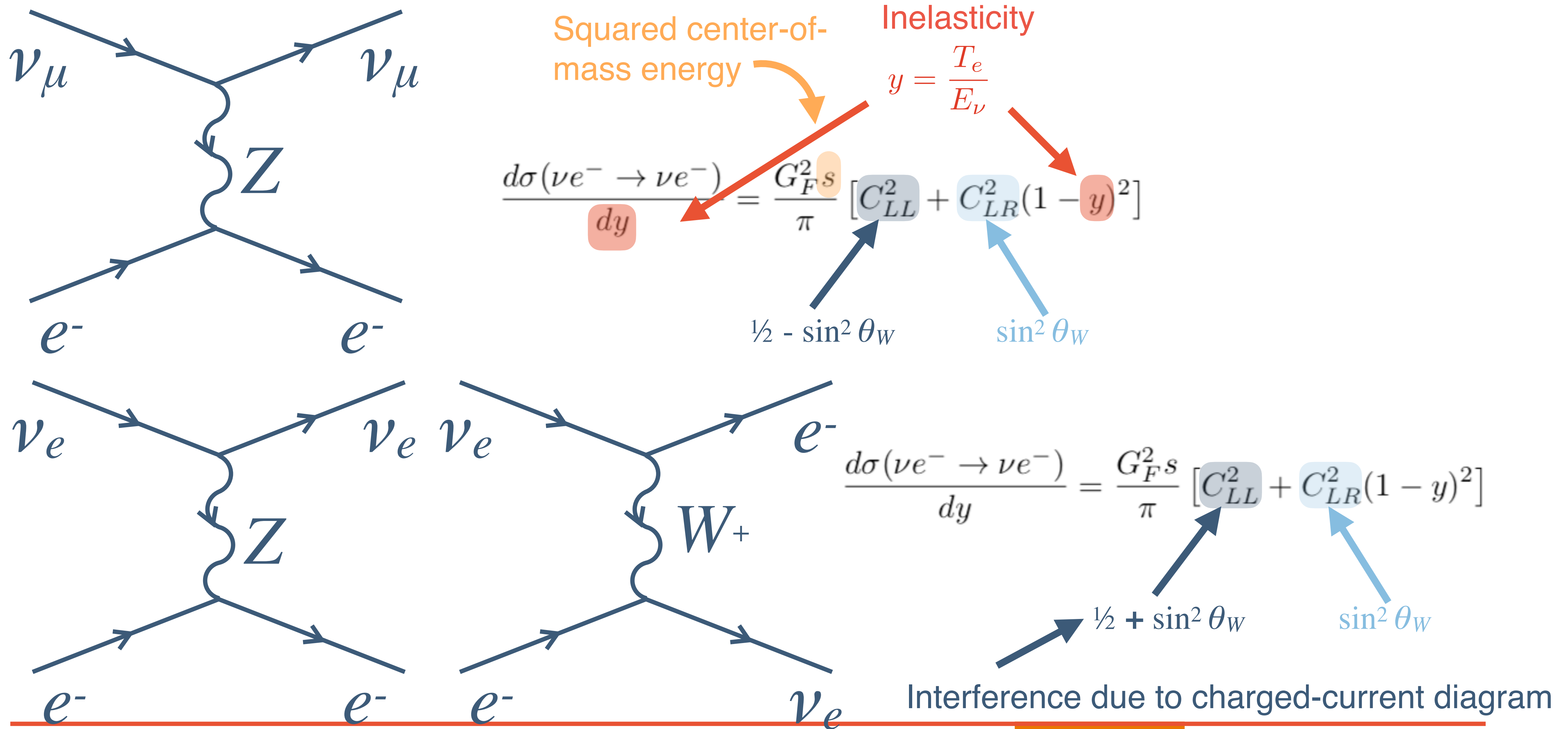
- Implement models in neutrino-scattering simulation programs like GENIE
- Understand our sensitivity to models
- Test against data

How we're trying to understand them

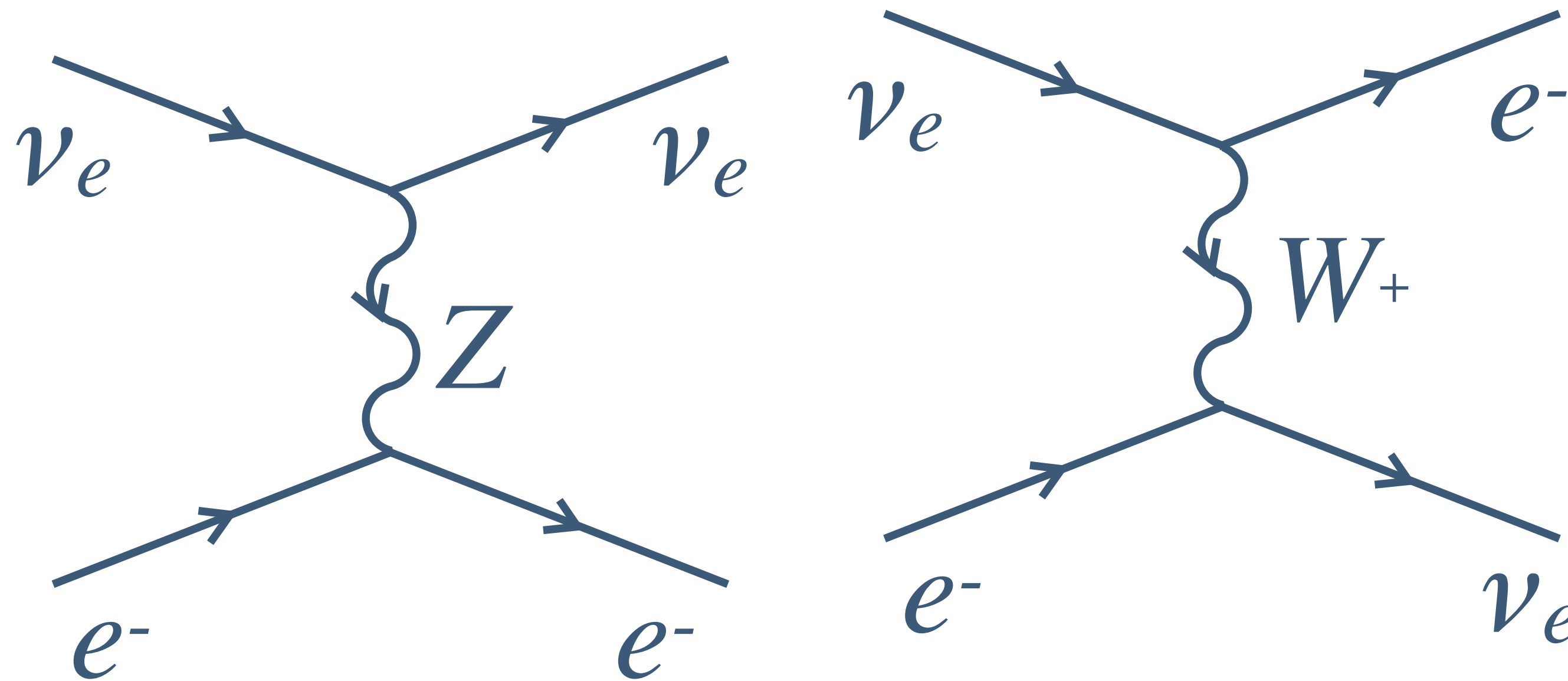
YOU CAN HELP!

Backup slides

Neutrino-electron elastic scattering



ν_e - electron scattering



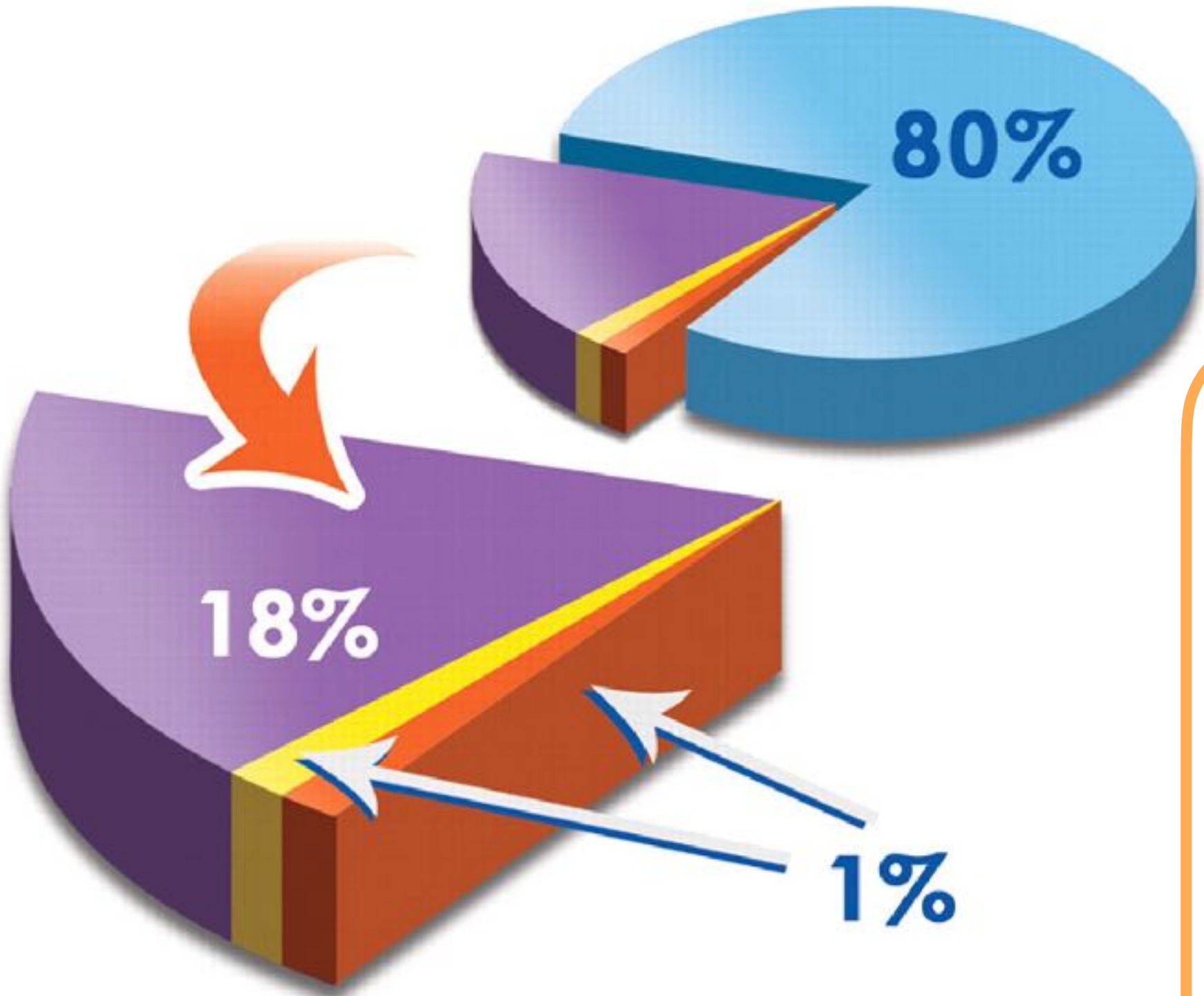
All lepton interactions have the same strengths in the weak interaction (lepton universality)

$$\frac{d\sigma(\nu e^- \rightarrow \nu e^-)}{dy} = \frac{G_F^2 s}{\pi} [C_{LL}^2 + C_{LR}^2(1 - y)^2]$$

Interference due to charged-current diagram $\longrightarrow \frac{1}{2} + \sin^2 \theta_W$ $\sin^2 \theta_W$

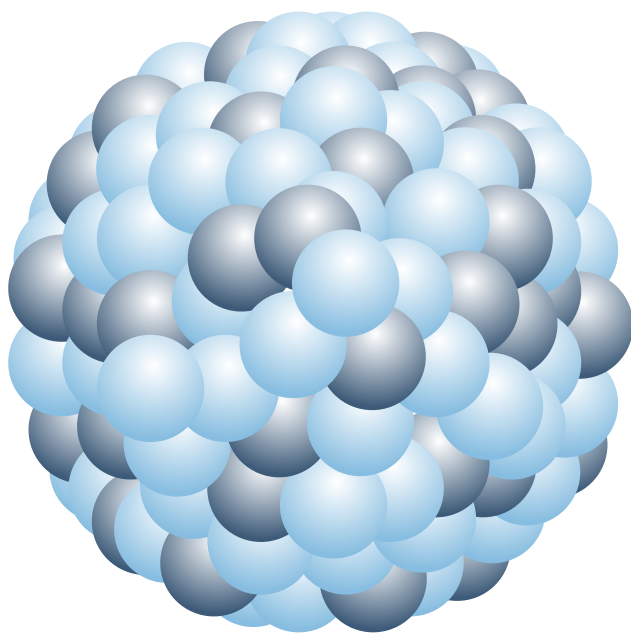
SRC pairs in neutron-rich nuclei

This pie chart is for ^{12}C , which has 6 protons and 6 neutrons



$^{40}_{18}\text{Ar}$

Lead-208 has

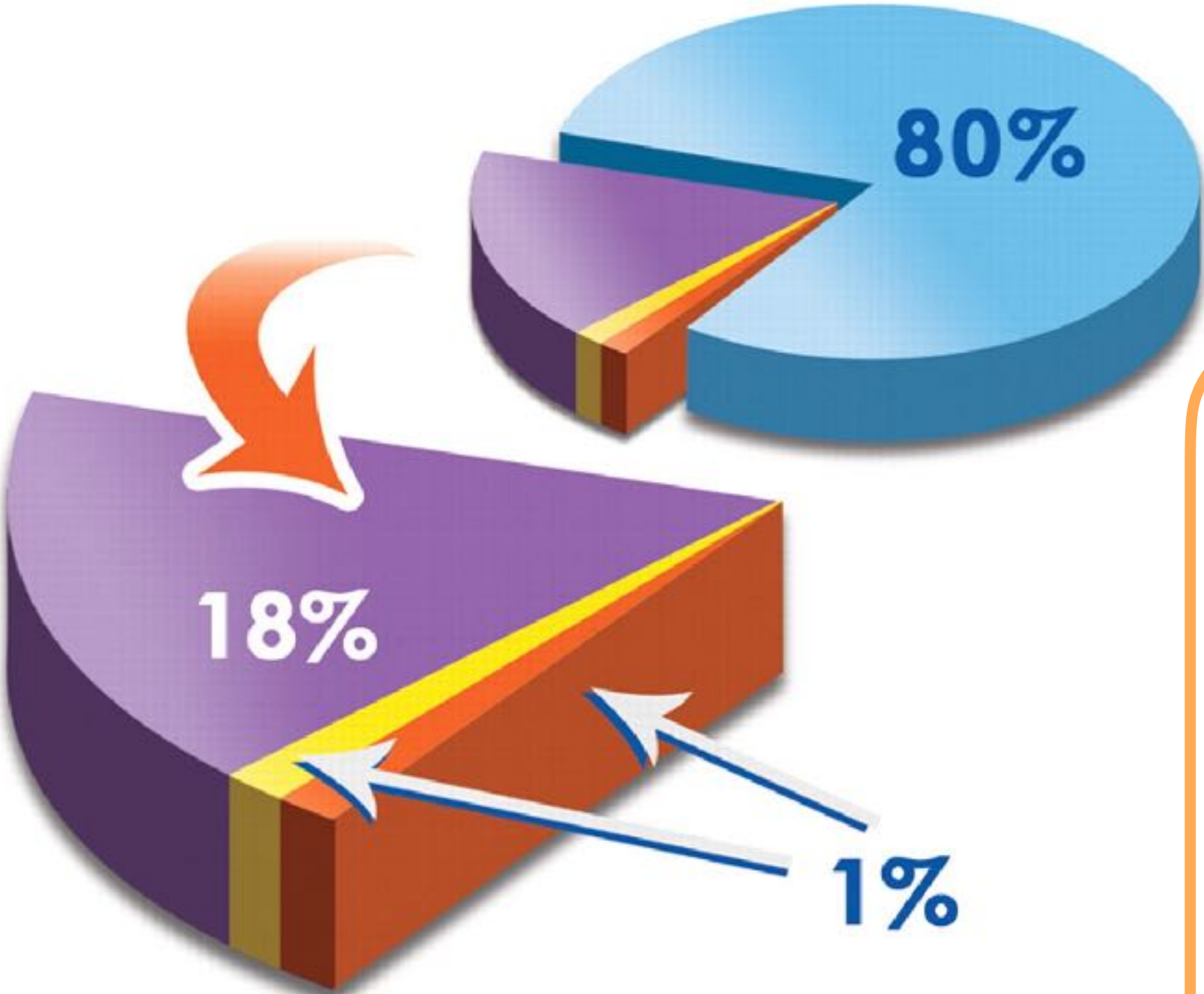


82 protons
and
126 neutrons

Are protons or neutrons more likely to be in correlated pairs, or is the probability the same?

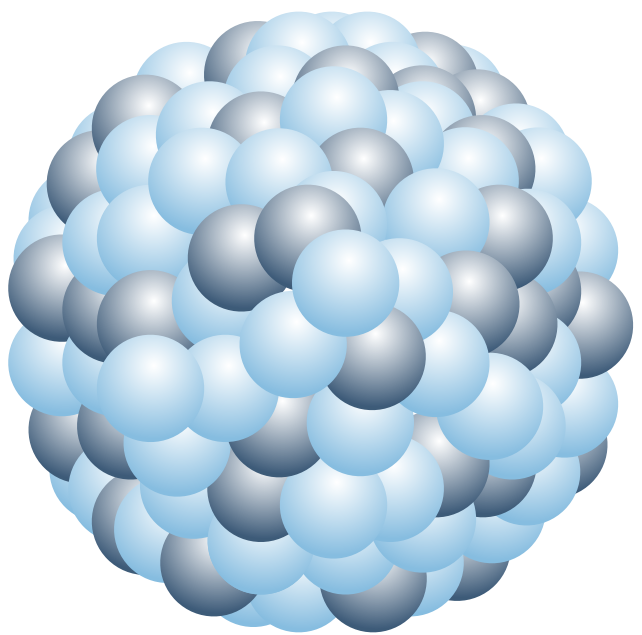
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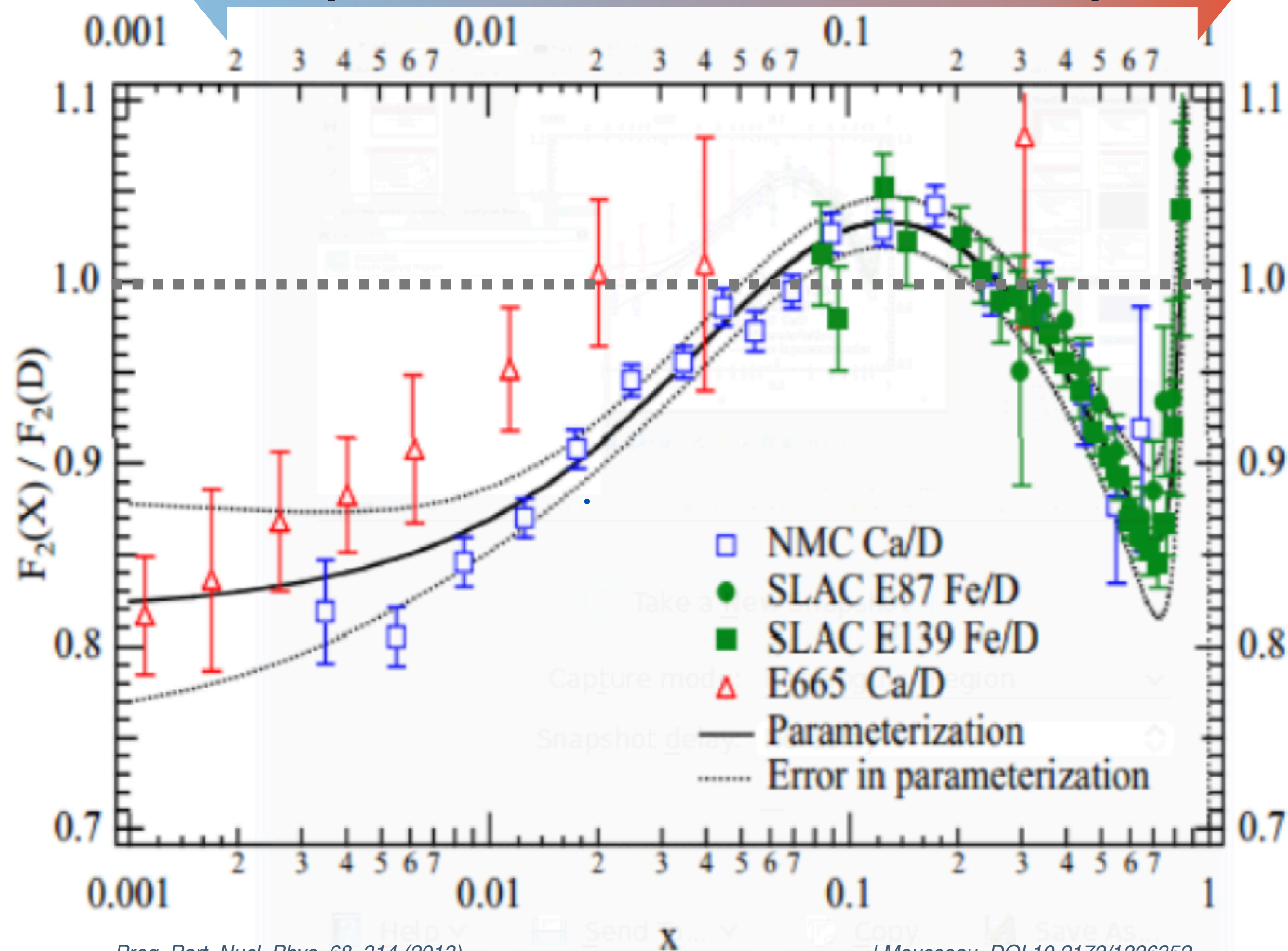
- In neutron-rich nuclei:
- Protons are more likely than neutrons to be in n - p pairs
 - n - n pairs are more likely than p - p pairs

DUNE's detectors are made of $^{40}_{18}\text{Ar}$. Why might we need to be careful of SRC when comparing scattering with ν_μ and $\bar{\nu}_\mu$?

Structure functions for heavy nuclei

Sea quarks

Valence quarks



Prog. Part. Nucl. Phys. 68, 314 (2013)

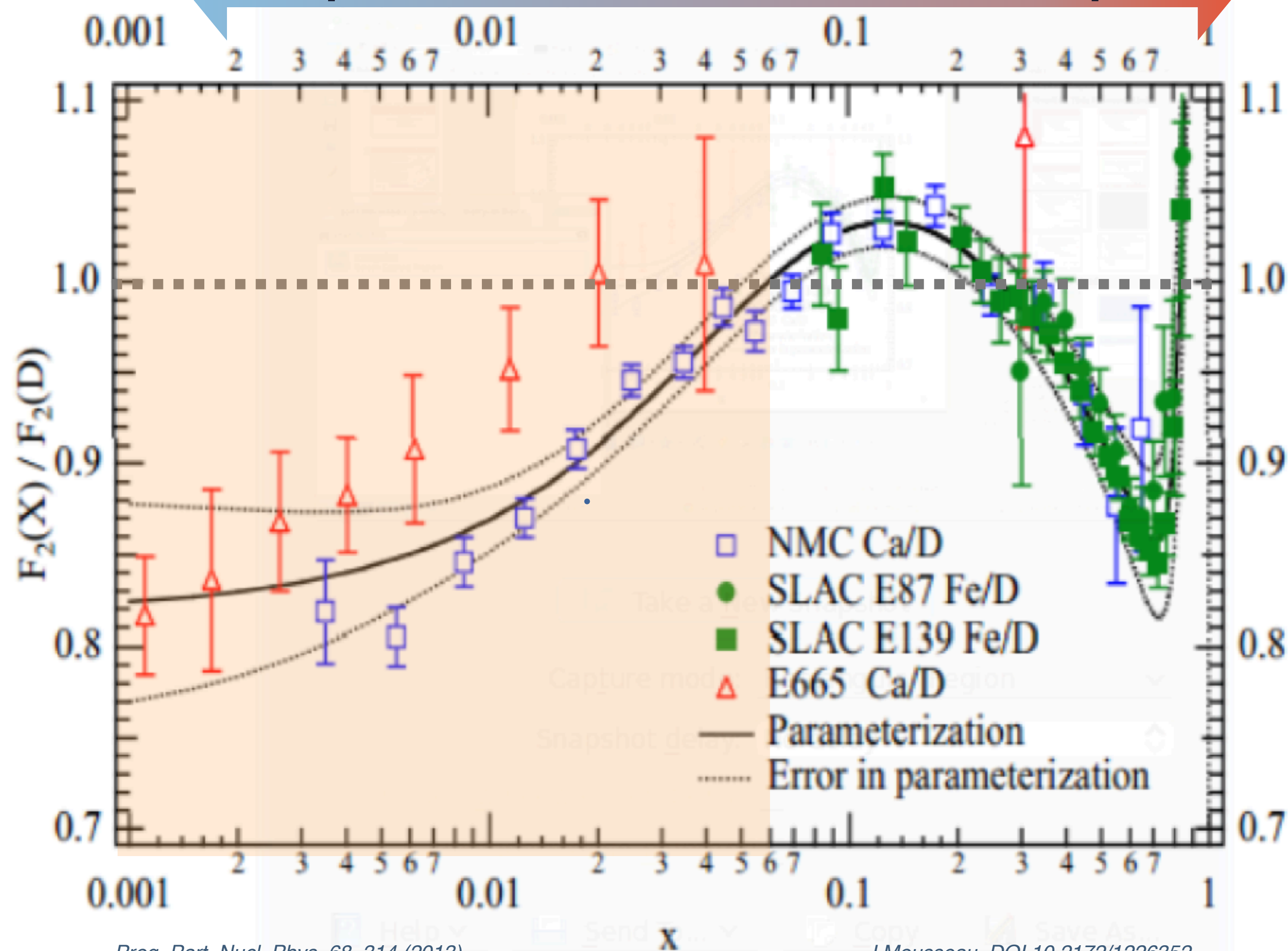
J Mousseau, DOI [10.2172/1226352](https://doi.org/10.2172/1226352)

- Charged-lepton DIS from heavy nuclei ($^{40}_{20}\text{Ca}$ and $^{56}_{26}\text{Fe}$)
- Ratio to scattering from deuterium (^2_1H)
- x separates **sea** and **valance** quarks

Structure functions for heavy nuclei

Sea quarks

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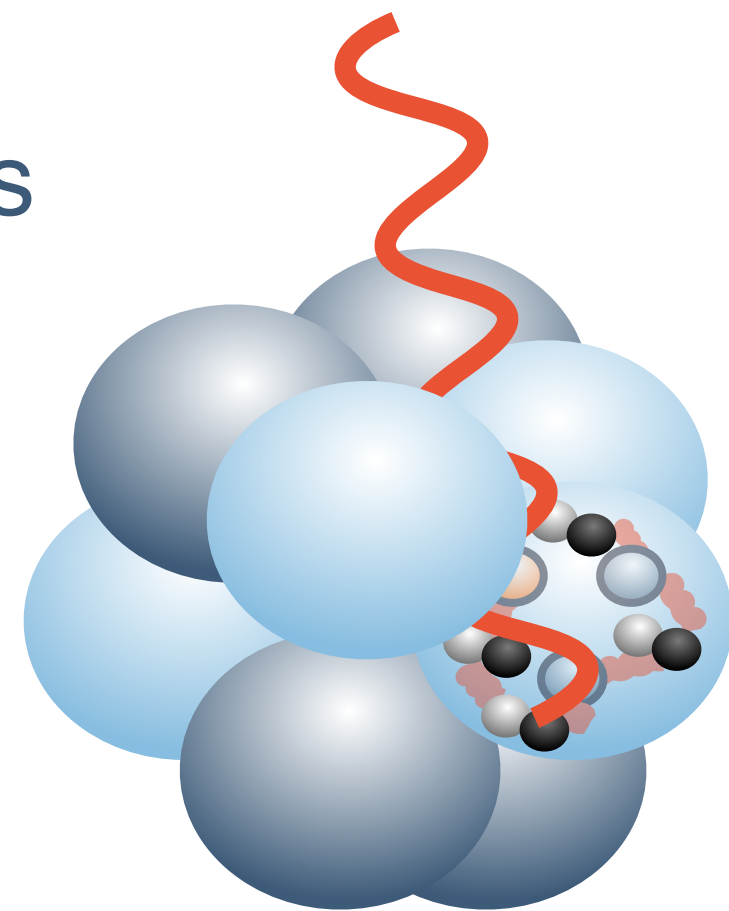
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Shadowing: $x \lesssim 0.1$: the interacting W (or Z) is shielded by other nucleons in the nucleus, reducing the cross section

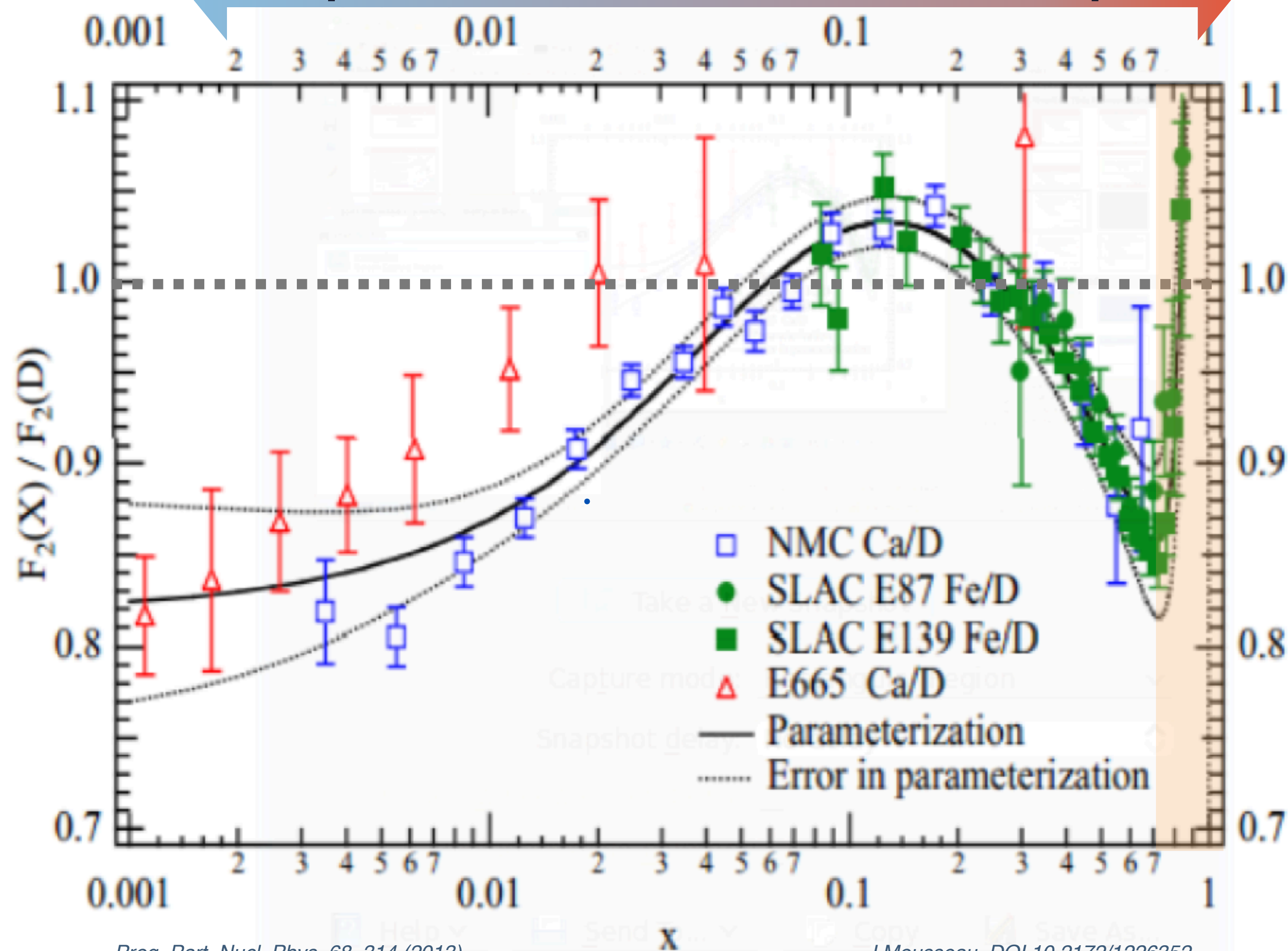
Shadowing is different for neutrinos vs electrons, sea vs valence quarks, and is important at low Q^2



Structure functions for heavy nuclei

Sea quarks

Valence quarks



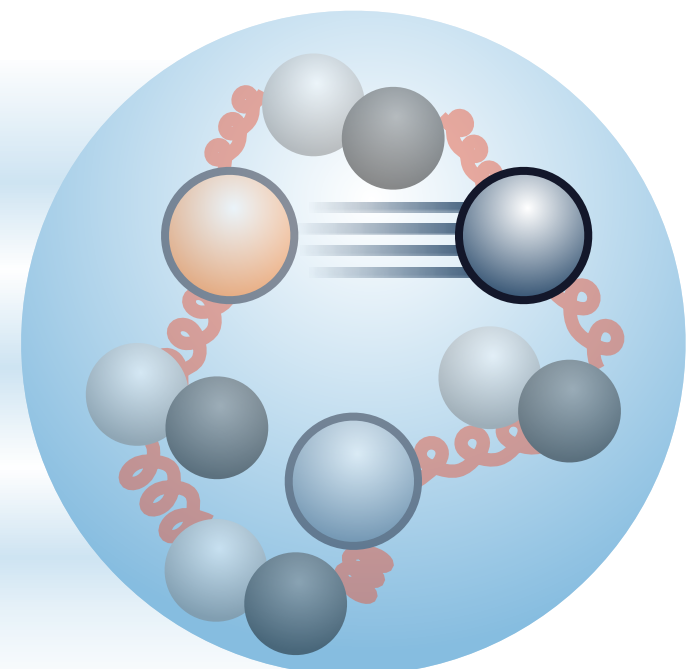
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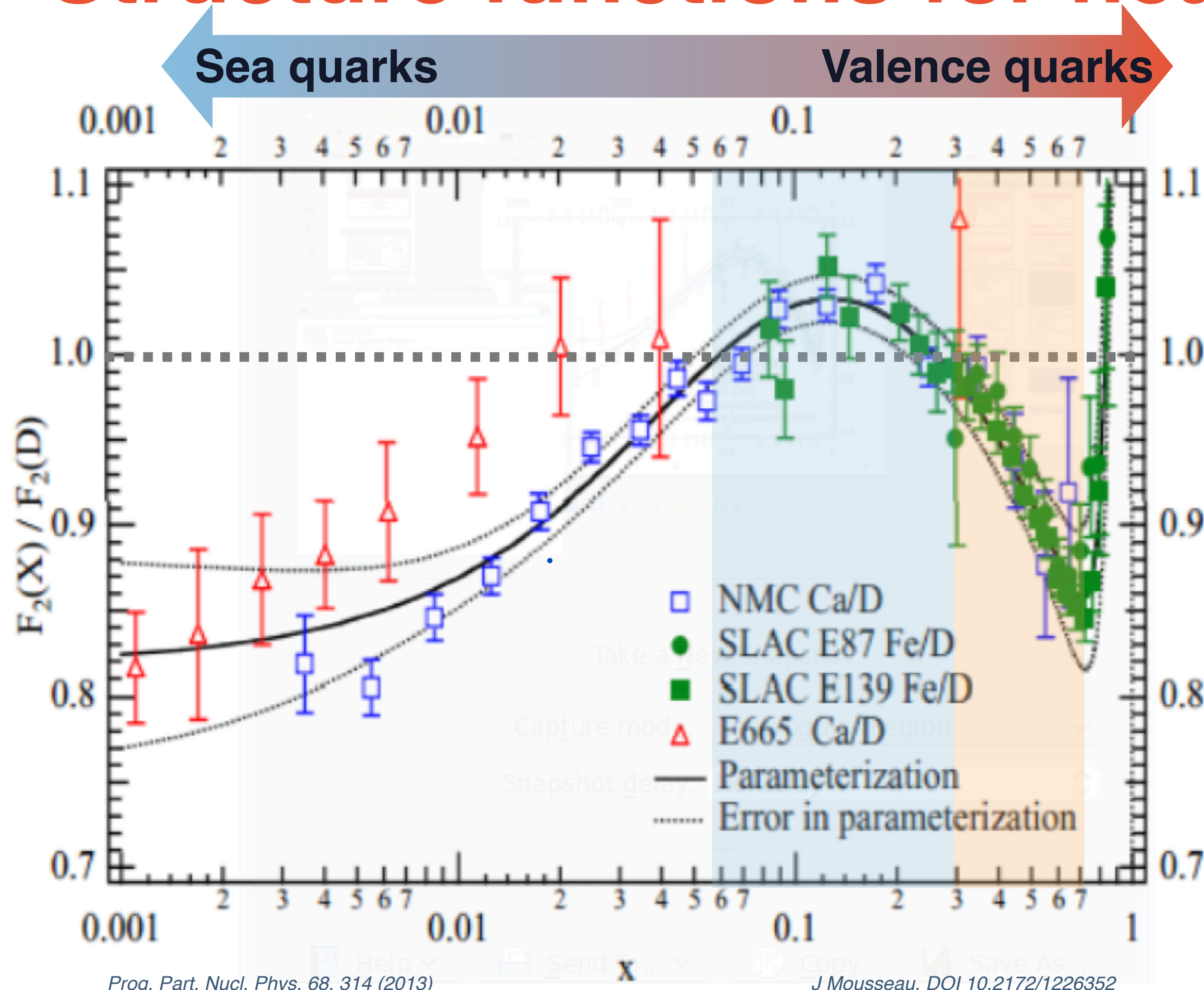
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Structure functions for heavy nuclei



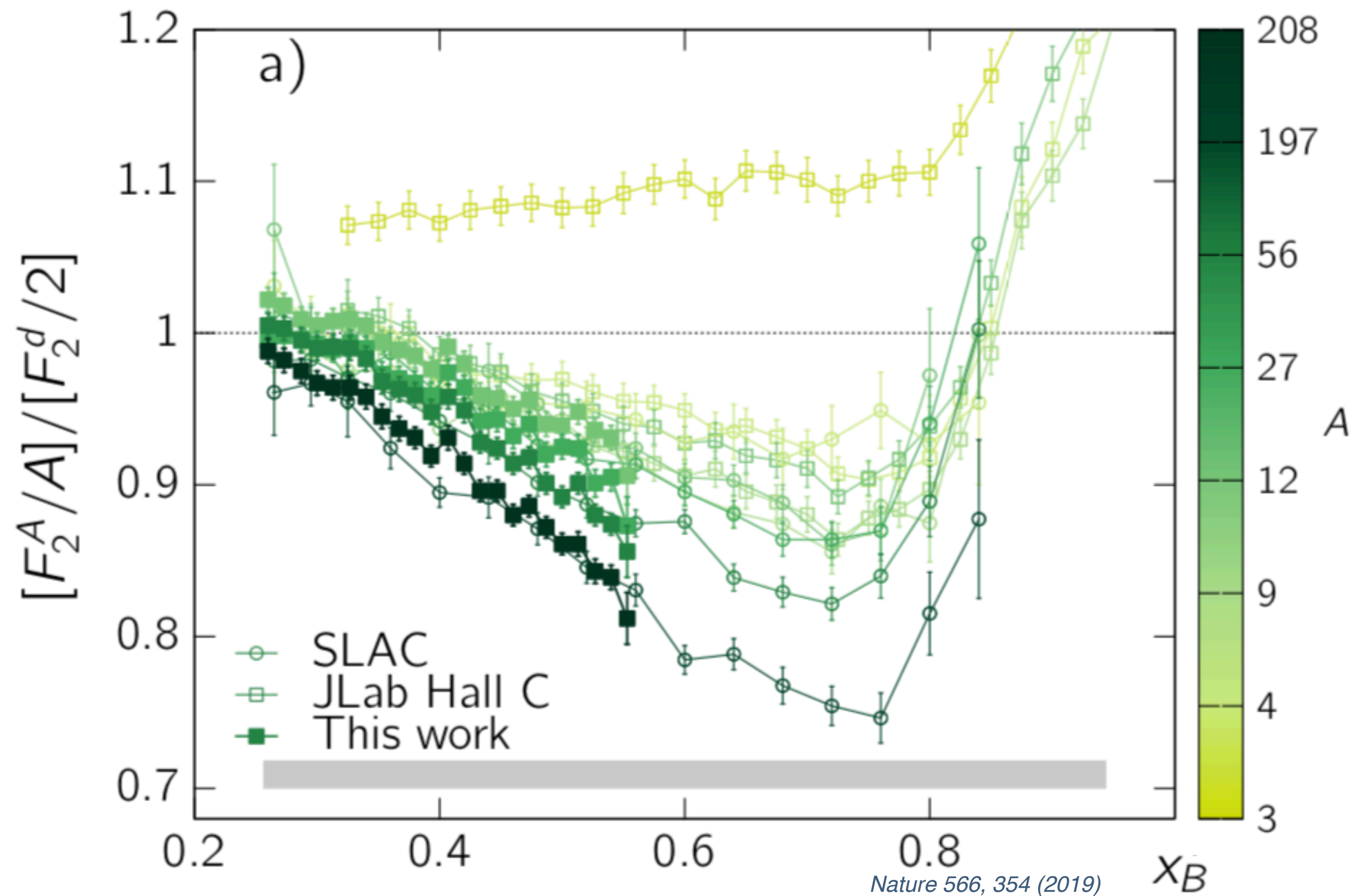
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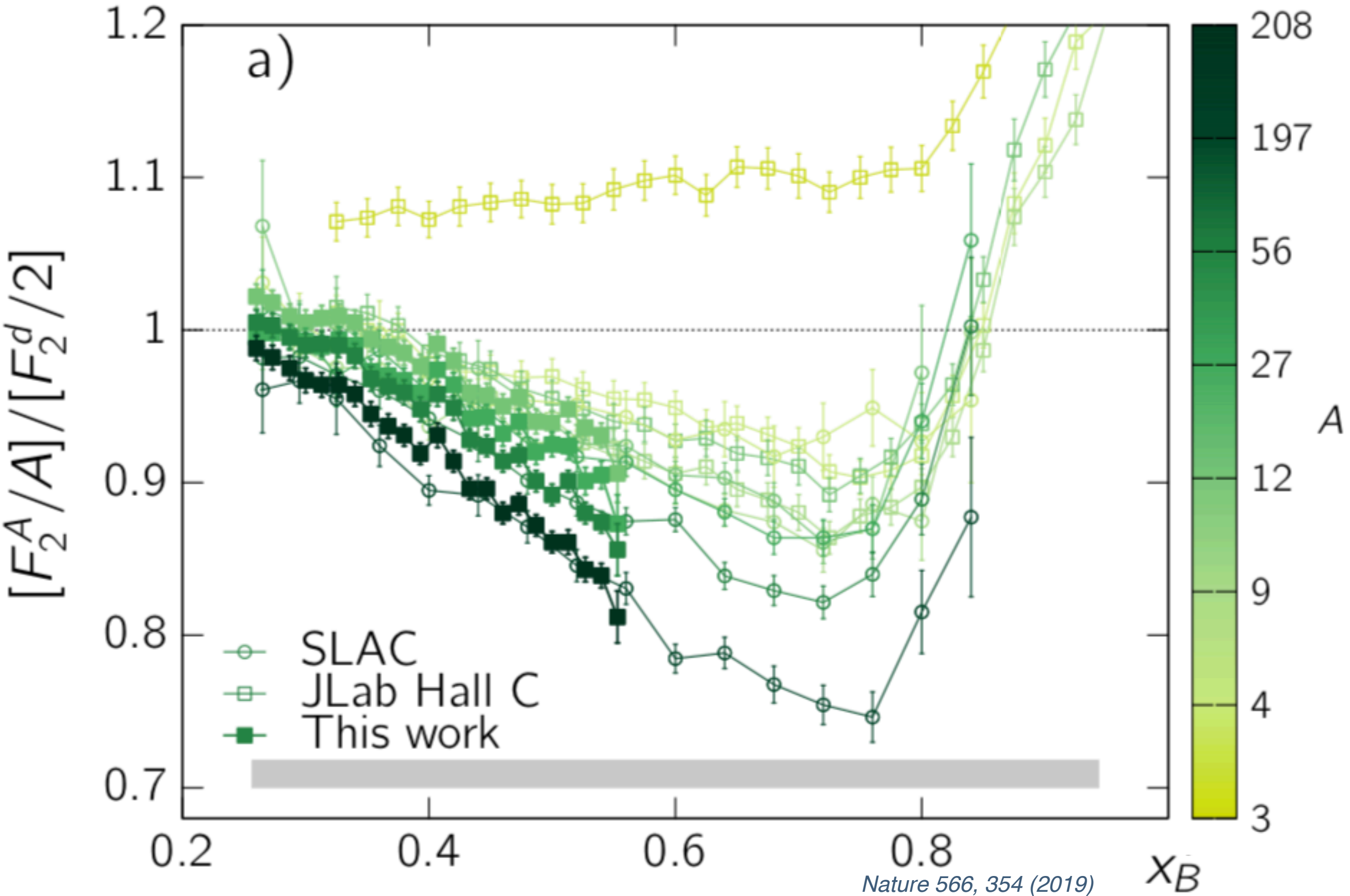
The **EMC effect** and **anti-shadowing** enhancement are poorly understood but may be due to nucleon-nucleon pairs - more on this soon!

Short-range correlations and the EMC effect

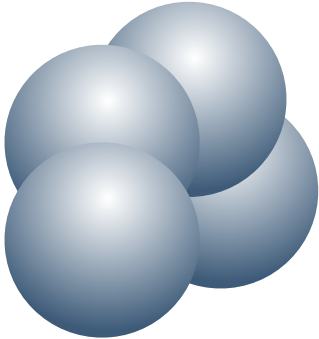


The EMC effect for different nuclei
(structure function ratio to deuterium)

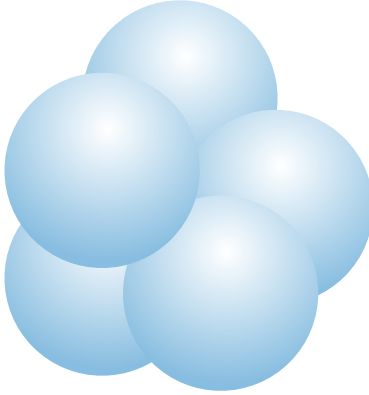
Short-range correlations and the EMC effect



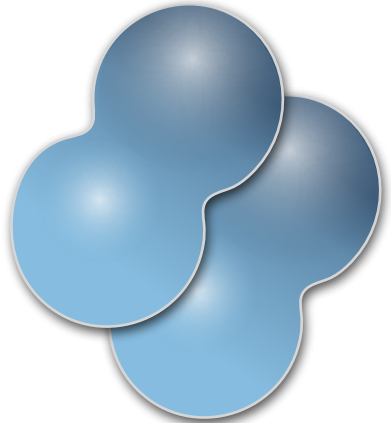
Divide the structure function F_2^A into:



A proton part F_2^p times the number of protons



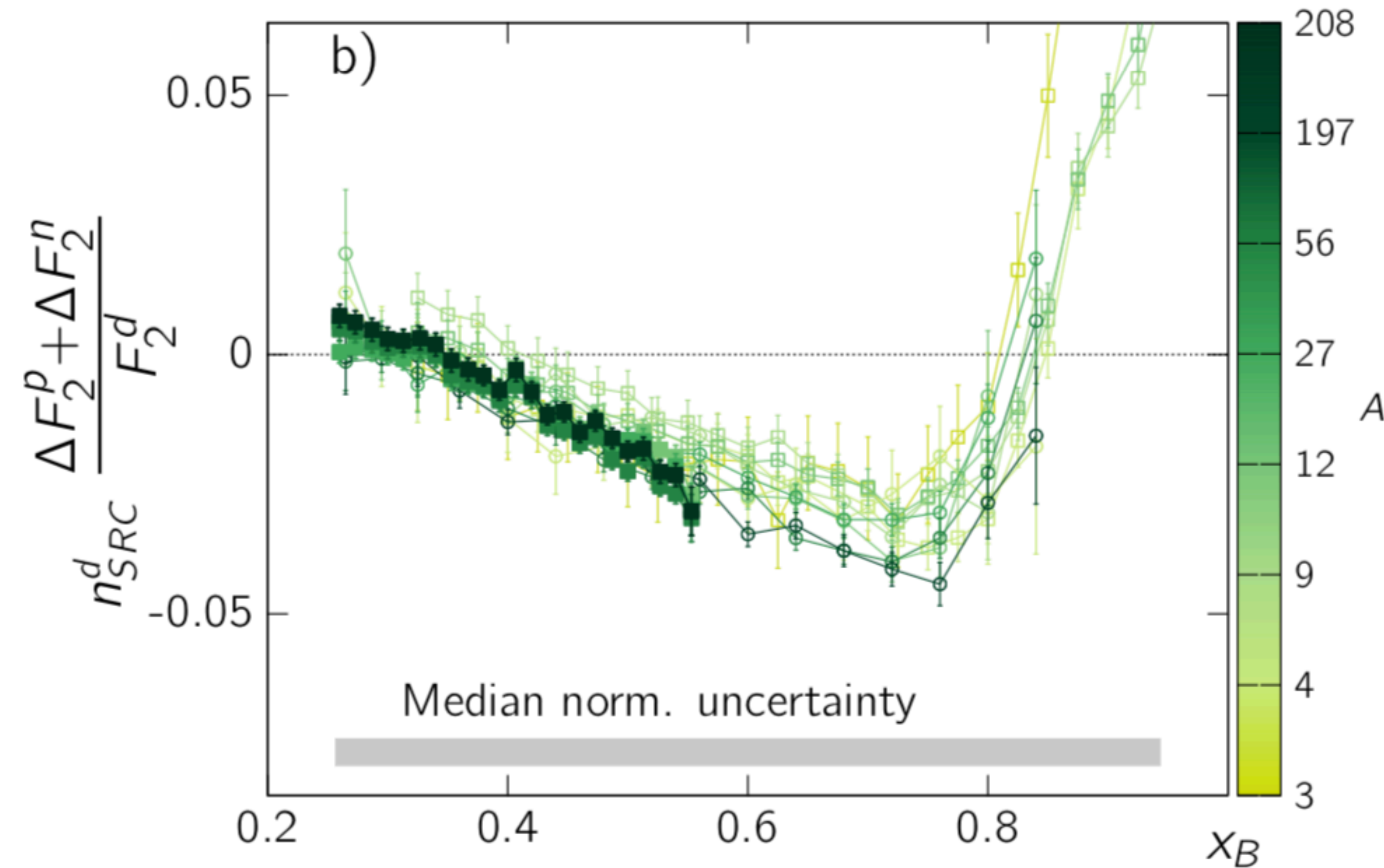
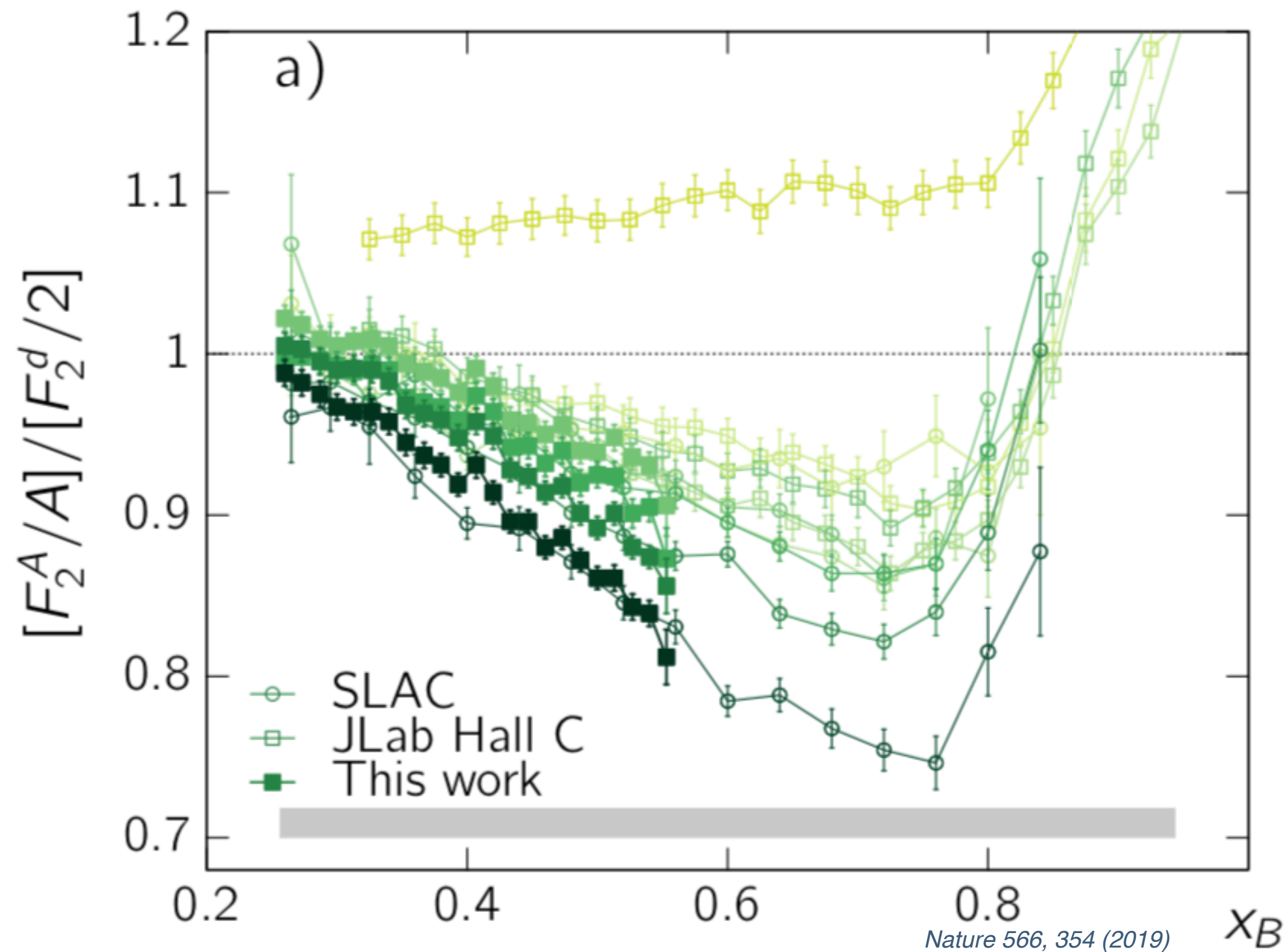
A neutron part F_2^n times the number of neutrons



An SRC part $\Delta F_2^p + \Delta F_2^n$ times the number of SRC pairs

The EMC effect for different nuclei
(structure function ratio to deuterium)

Short-range correlations and the EMC effect

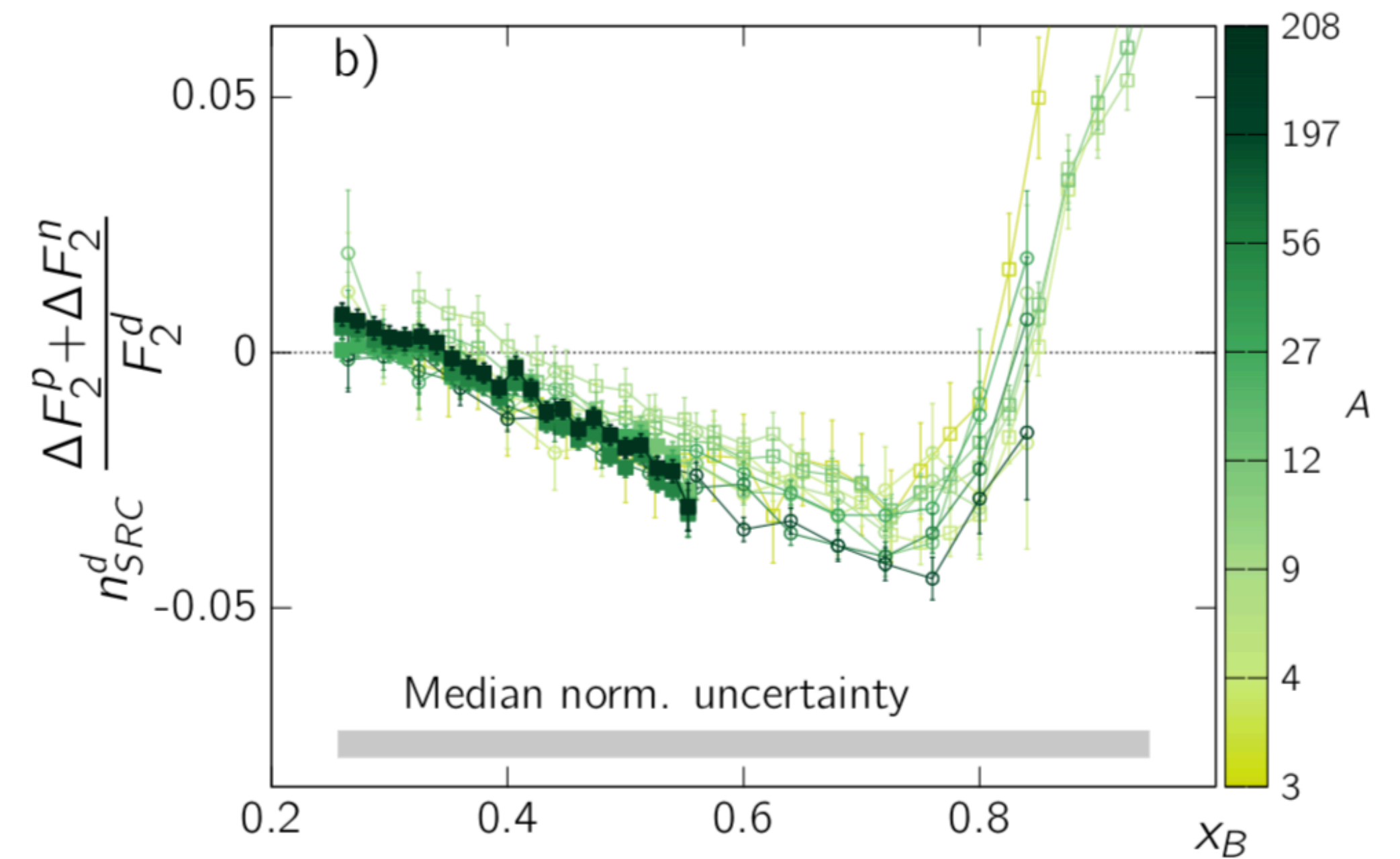


The EMC effect for different nuclei
(structure function ratio to deuterium)

The SRC part, if scaled by the number of n - p pairs,
is the **same for all nuclei!** This **universality**
suggests SRC pairs are key to the EMC effect

Empirical models and scaling techniques

This EMC plot showed a **universal** property - the **same for many nuclei**



Empirical models and scaling techniques

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Can we find a **super-scaling** model? That means

$$\frac{\sigma(q, w)_{\text{nucleus}}}{\sigma(q, w)_{\text{nucleon}}}$$

- is a function of a **single variable** (some complicated function of q , W ...)
- has the same form for **all nuclei**

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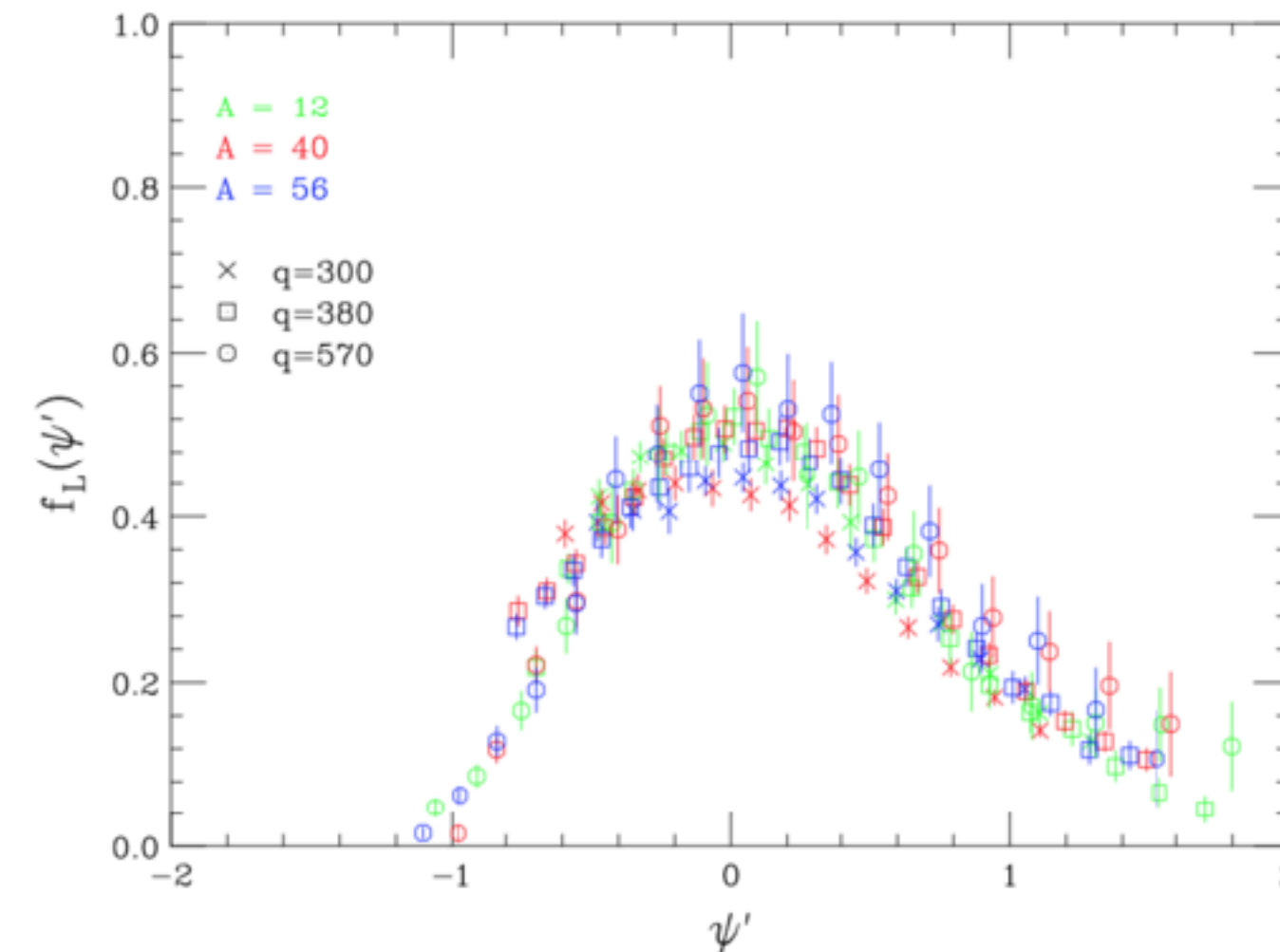
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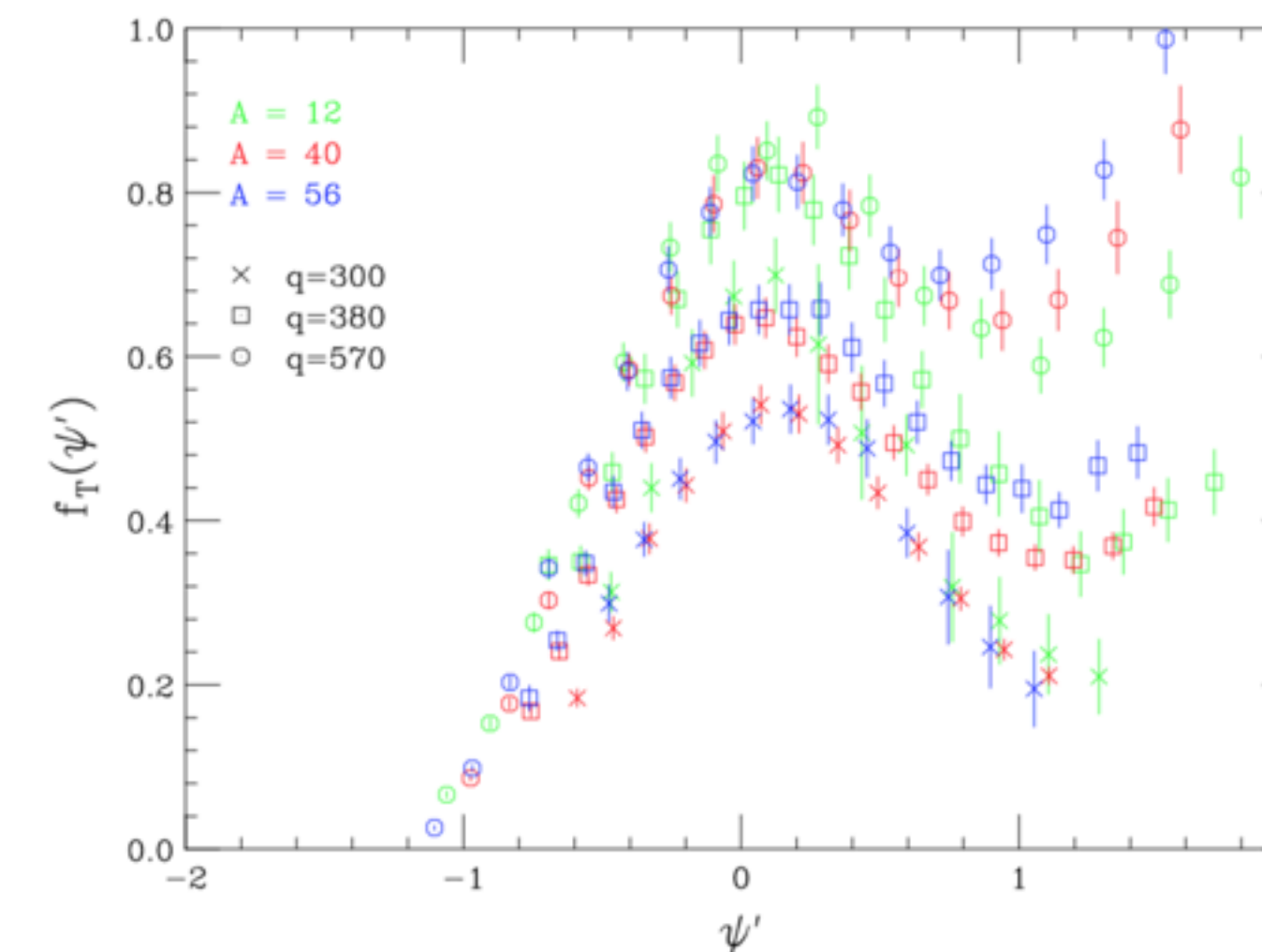
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Some **super-scaling variables** work well for electron scattering in some regimes... can these models be extended?

Phys. Rev. C, 71:015501, Jan 2005.



This scaling function agrees well for different nuclei (colors) and momentum transfers (shapes), if the exchanged photon is **longitudinally** polarized...



...but poorly if it is transversely polarized, due to multi-nucleon effects

Phys. Rev. C60 (1999) 065502