

# Context Enriched Prong CNN performance studies in NOvA

Akshay Chatla

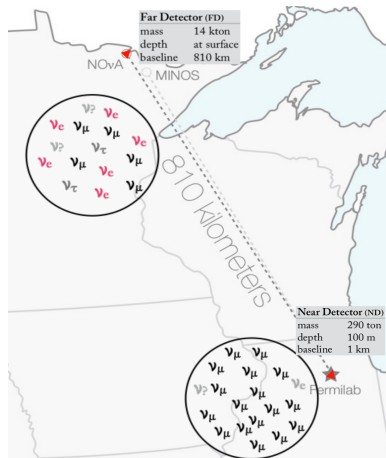
New Perspectives 2021  
16<sup>th</sup>-19<sup>th</sup> August 2021

August 19, 2021



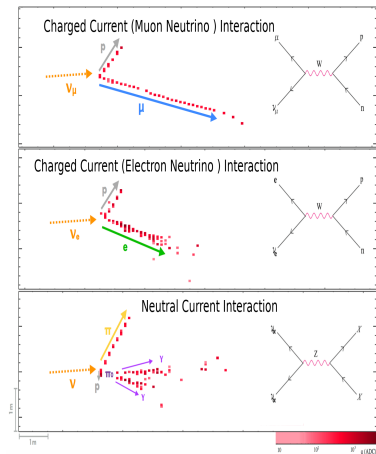
# The NOvA Experiment

- NOvA is a long baseline neutrino experiment with a near detector at 1 km and a far detector at 810 km.
- The Physics goals:
  - study of neutrino oscillations ( $\theta_{23}, \delta_{13}, \Delta m_{32}^2$ )
  - neutrino cross-section measurements
  - exotic physics
- These goals need good neutrino interaction classification and neutrino energy measurement. Machine learning plays an important role in achieving them.



# Event Classification At NOvA

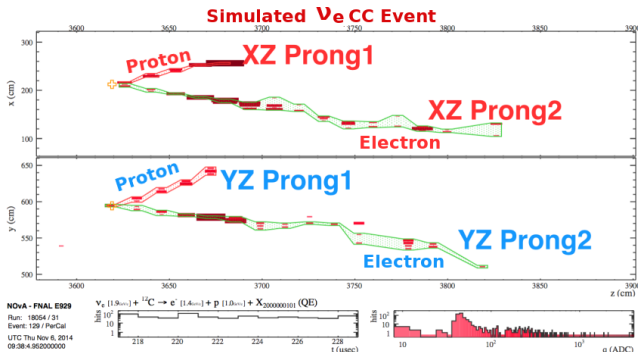
- NOvA was the first HEP experiment to use a Convolutional Neural Network (CNN) in a physics measurement to classify candidate neutrino interactions.
- NOvA uses Event CNN[1] which takes full event pixel map of both x and y views as input and the output is an event classifier.
- Event CNN can classify the events into 4 classes:
  - 1  $\nu_\mu$  CC interaction
  - 2  $\nu_e$  CC interaction
  - 3 NC interaction
  - 4 Cosmics



[1] A. Aurisano, A. Radovic, D. Rocco, A. Himmel, M. D. Messier, E. Niner, G. Pawloski, F. Psihas, A. Sousa and P. Vahle, JINST **11** (2016) no.09, P09001 doi:10.1088/1748-0221/11/09/P09001

# Particle classification

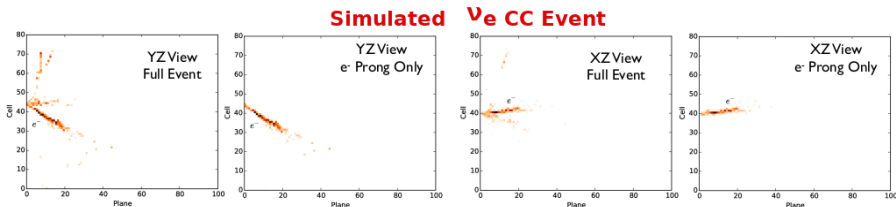
- While Event CNN can classify events, identification of final state particles of an event is needed to better our energy reconstruction and enable cross-section measurements of final states.
- Context enriched Prong CNN[2] is one of the networks being trained with a goal to identify all the final-state particles ( $e^\pm, p^\pm, \mu, \pi^\pm, \gamma$ ) of a given neutrino event.



[2] F. Psihas, E. Niner, M. Groh, R. Murphy, A. Aurisano, A. Himmel, K. Lang, M. D. Messier, A. Radovic and A. Sousa, Phys. Rev. D **100**, no.7, 073005 (2019) doi:10.1103/PhysRevD.100.073005

# Context enriched Prong CNN

- Prong CNN[2] uses a four-tower siamese-type MobileNetV2 architecture for including context information i.e it takes both event (Context) views and prong (Independent) views.
- Providing context to the network helps it to learn from physical quantities implying conservation laws as well as the relative topology of the particle with respect to the accompanying activity.



[2] F. Psihas, E. Niner, M. Groh, R. Murphy, A. Aurisano, A. Himmel, K. Lang, M. D. Messier, A. Radovic and A. Sousa, Phys. Rev. D **100**, no.7, 073005 (2019) doi:10.1103/PhysRevD.100.073005

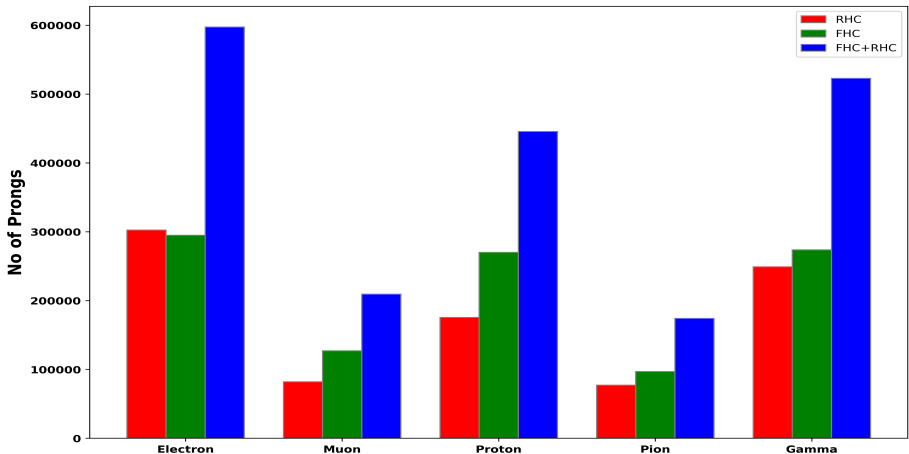
# Motivation

- The previous iteration of Prong CNN used by NOvA trained on neutrino (FHC) and anti-neutrino(RHC) dataset separately. In this work, the network is trained on a combined (FRHC) neutrino and anti-neutrino dataset and compared with the separately trained networks.
- Following selection cuts are used on the training sample:

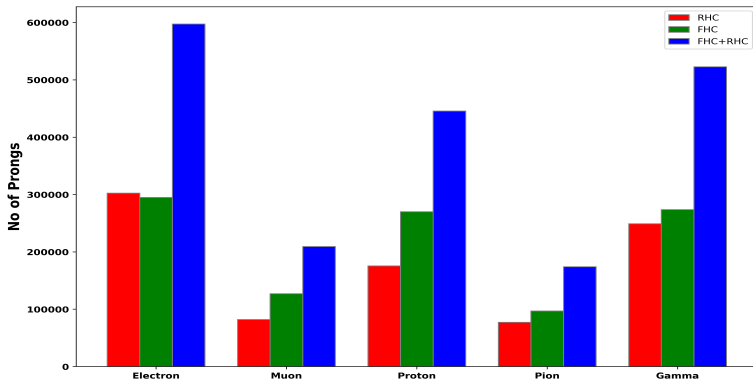
Selection cuts	Description
Containment Cut	Selects the prong and the event contained within the detector boundaries
Cosmic Veto	Removes cosmic events
Purity Cut	Realistic looking cluster with prongs $\mu^\pm, \gamma$ : 50 %, $e^\pm$ : 40 %, $\pi^\pm, p^+$ : 35 %
Prong length	Cut prongs with prong length more than 5 m

# Preliminary Training

- Before training the whole dataset, I took a part of FD simulated datasets for preliminary training.  
FHC had 1063406, RHC had 886712 prongs, Combined: 1950118



# Preliminary Training

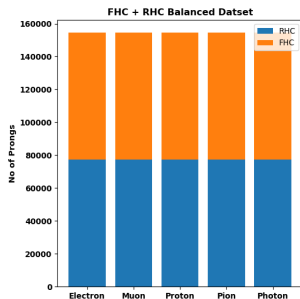
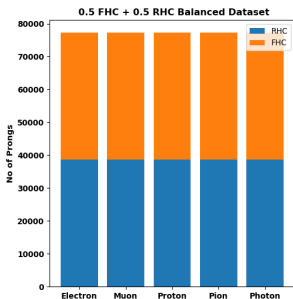
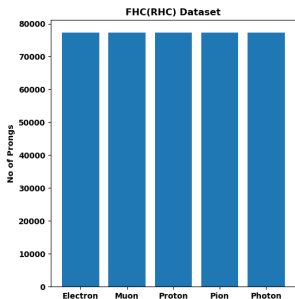


- This training dataset is unbalanced, one needs to train the network on a balanced dataset to make sure that network does not learn bias towards the class with more samples.
- Since, our training dataset is unbalanced, it is later balanced to contain an equal number of each type of particle.



# Preliminary Training

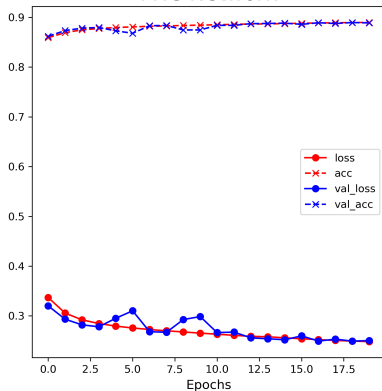
- Now, We have a choice of 4 kind of datasets we can train.
  - 1 A balanced neutrino dataset (FHC)
  - 2 A balanced anti-neutrino dataset (RHC)
  - 3 A half of  $\nu + \bar{\nu}$  dataset (0.5 FHC + 0.5 RHC)
  - 4 A double  $\nu + \bar{\nu}$  dataset (FHC + RHC)
- The balanced datasets look as follows:



- For ease of comparison, I balanced the datasets to have same number of total events, the 4th dataset will have the double the data

# Only FHC Training/Evaluation

## FHC Network



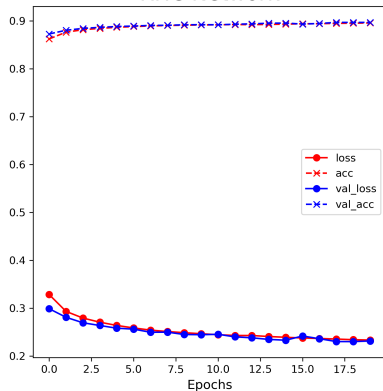
## NOvA Simulation

Efficiency of FHC Network on FHC Dataset

	Electron	Muon	Proton	Pion	Gamma
Electron	0.78	0.05	0.03	0.05	0.1
Muon	0.05	0.77	0.03	0.11	0.05
Proton	0.05	0.04	0.37	0.28	0.27
Pion	0.08	0.13	0.21	0.39	0.19
Gamma	0.21	0.02	0.17	0.13	0.47

# Only RHC Training/Evaluation

## RHC Network



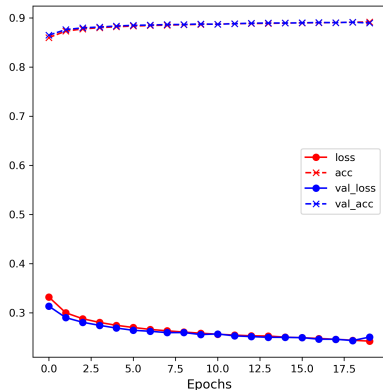
## NOvA Simulation

Efficiency of RHC Network on RHC Dataset

	Electron	Muon	Proton	Pion	Gamma
Electron	0.81	0.02	0.06	0.04	0.07
Muon	0.02	0.77	0.04	0.14	0.02
Proton	0.02	0.03	0.57	0.22	0.16
Pion	0.02	0.1	0.27	0.47	0.14
Gamma	0.14	0.02	0.33	0.2	0.3

# 0.5 FHC + 0.5 RHC Training/Evaluation

## 0.5 FHC + 0.5 RHC Network



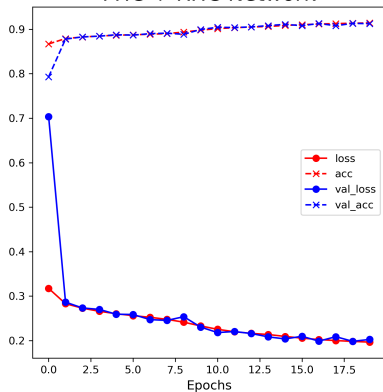
## NOvA Simulation

### Efficiency of 0.5 FHC+0.5 RHC Network on FHC+RHC Dataset

	Electron	Muon	Proton	Pion	Gamma
Electron	0.75	0.05	0.06	0.05	0.09
Muon	0.01	0.79	0.05	0.12	0.03
Proton	0.02	0.06	0.54	0.19	0.19
Pion	0.02	0.15	0.34	0.35	0.15
Gamma	0.14	0.04	0.28	0.14	0.39

# Double FHC + RHC Training/Evaluation

## FHC + RHC Network



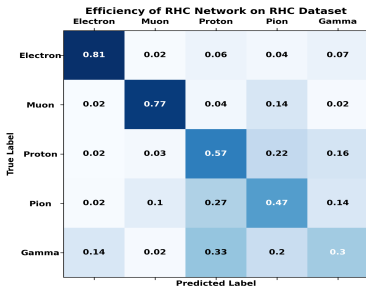
## NOvA Simulation

### Efficiency of FHC+RHC Network on FHC+RHC Dataset

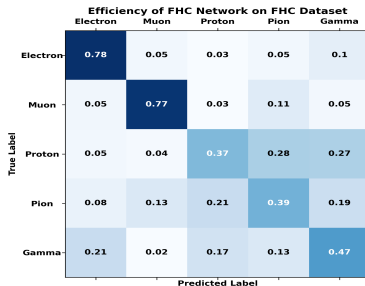
	Electron	Muon	Proton	Pion	Gamma
Electron	0.8	0.03	0.03	0.04	0.1
Muon	0.01	0.82	0.01	0.13	0.03
Proton	0.01	0.01	0.7	0.16	0.12
Pion	0.01	0.14	0.16	0.52	0.18
Gamma	0.17	0.03	0.08	0.16	0.56

# Network Comparison

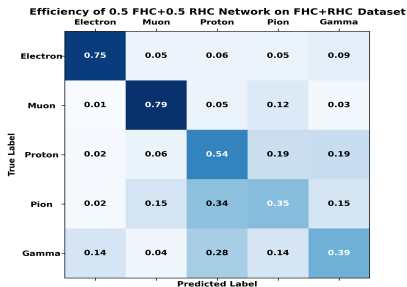
**NOvA Simulation**



**NOvA Simulation**

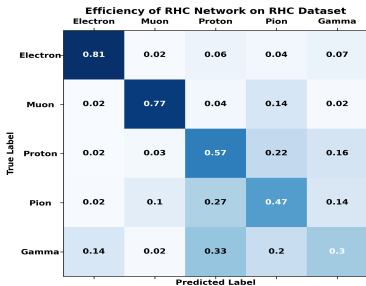


**NOvA Simulation**

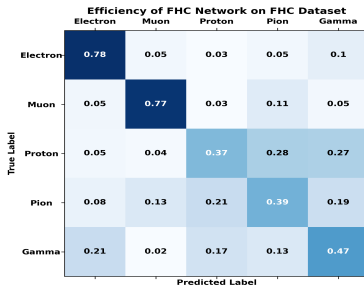


# Network Comparison

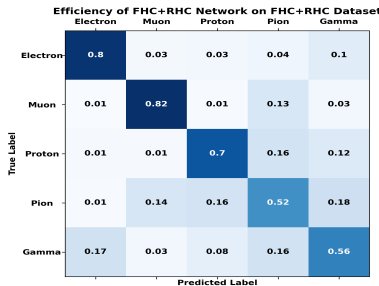
**NOvA Simulation**



**NOvA Simulation**



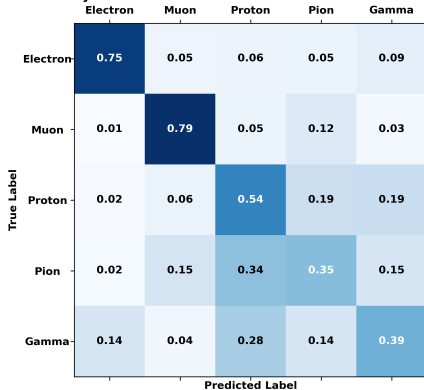
**NOvA Simulation**



# Network Comparison

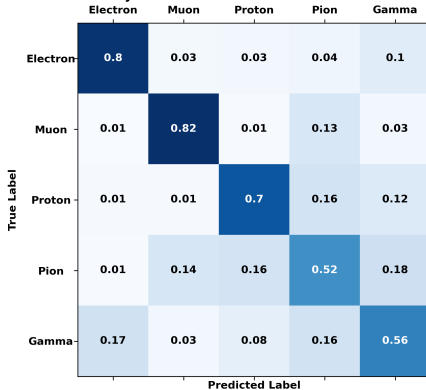
## NOvA Simulation

Efficiency of 0.5 FHC+0.5 RHC Network on FHC+RHC Dataset



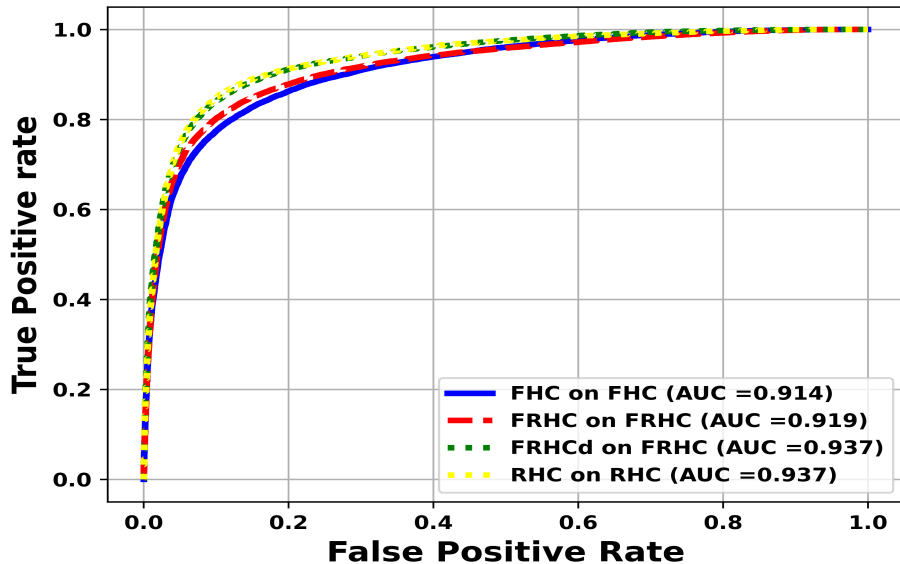
## NOvA Simulation

Efficiency of FHC+RHC Network on FHC+RHC Dataset

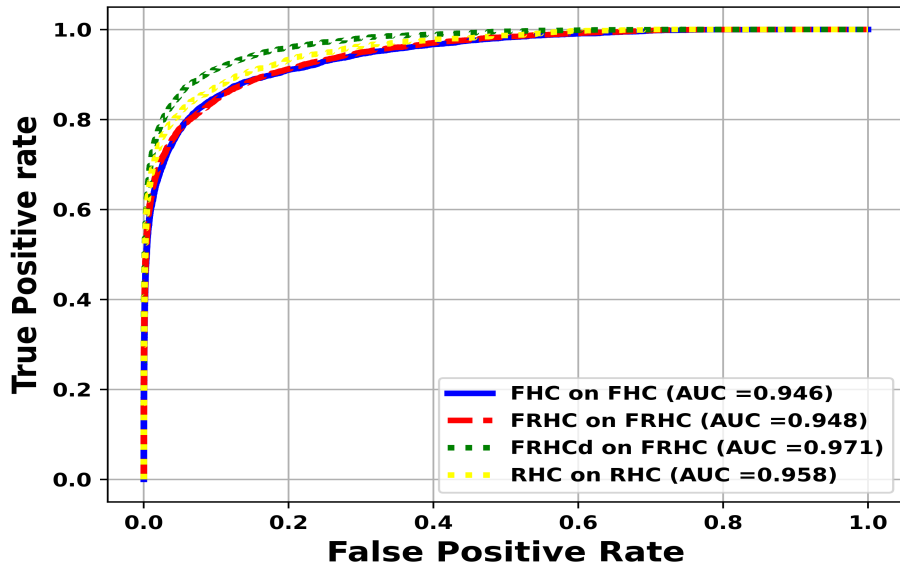




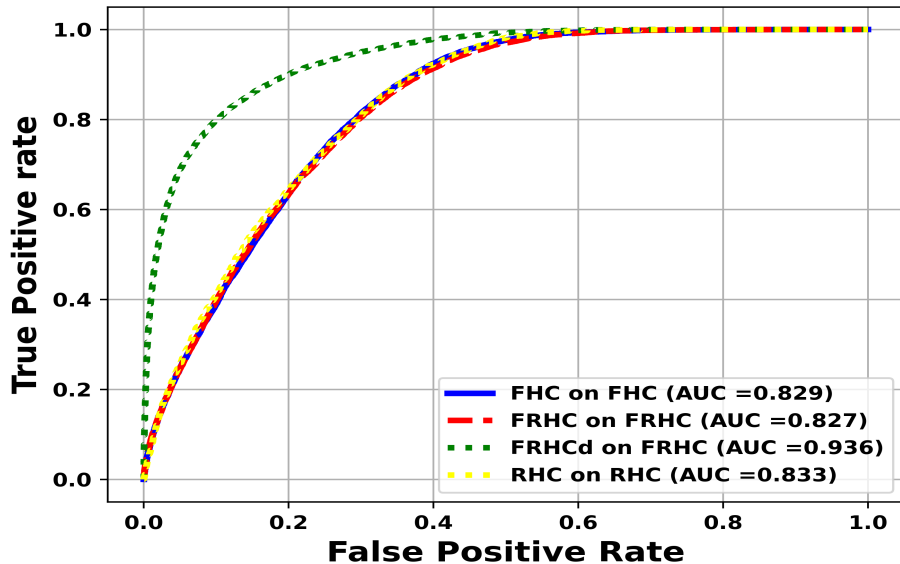
## Electron ROC Curve

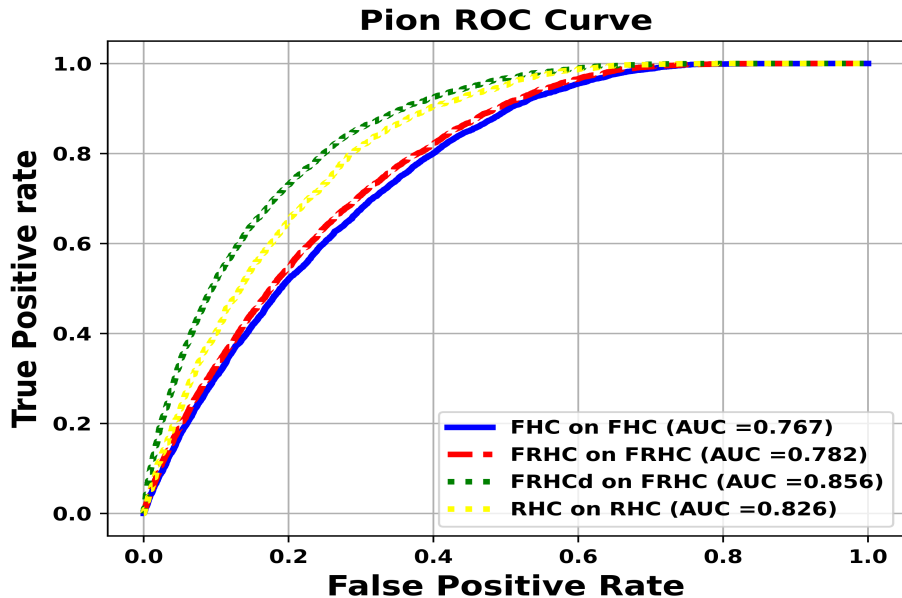


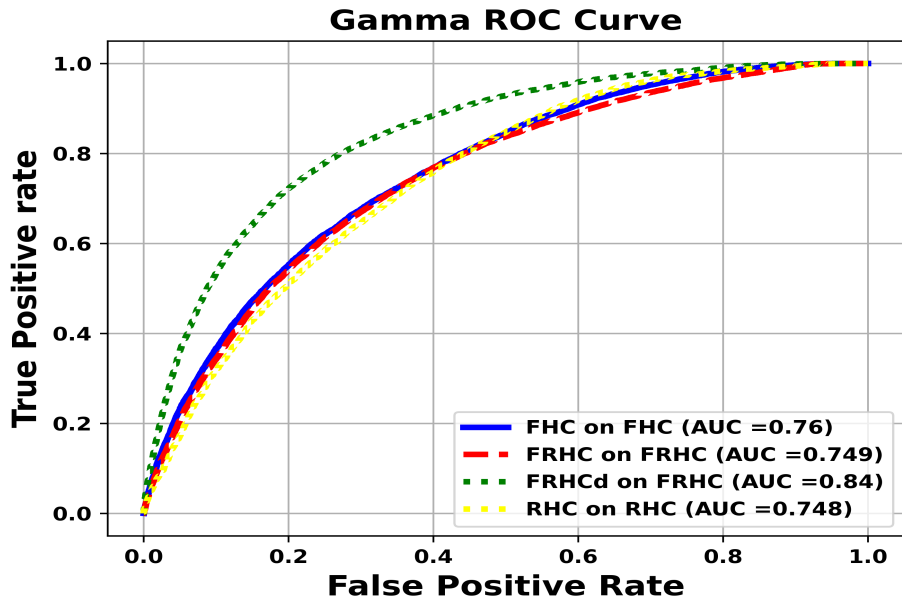
## Muon ROC Curve



## Proton ROC Curve







# Preliminary Conclusions

- Preliminary training networks show that double FHC + RHC network is comparatively better than RHC and FHC networks trained separately
- **Future:** Will try to find if the network performance can be improved by hyperparameter optimization.
- **Future:** Train with complete dataset and compare the three networks.
- **Future:** Check the effect of purity cuts on network performance.

THANK YOU

# Backup Slides

# Context enriched Prong CNN Architecture

