

# Thin-film lithium niobate electro-optic modulators

Neil Sinclair

Research Associate  
Group of Marko Lončar  
Harvard University



Google

NOKIA Bell Labs

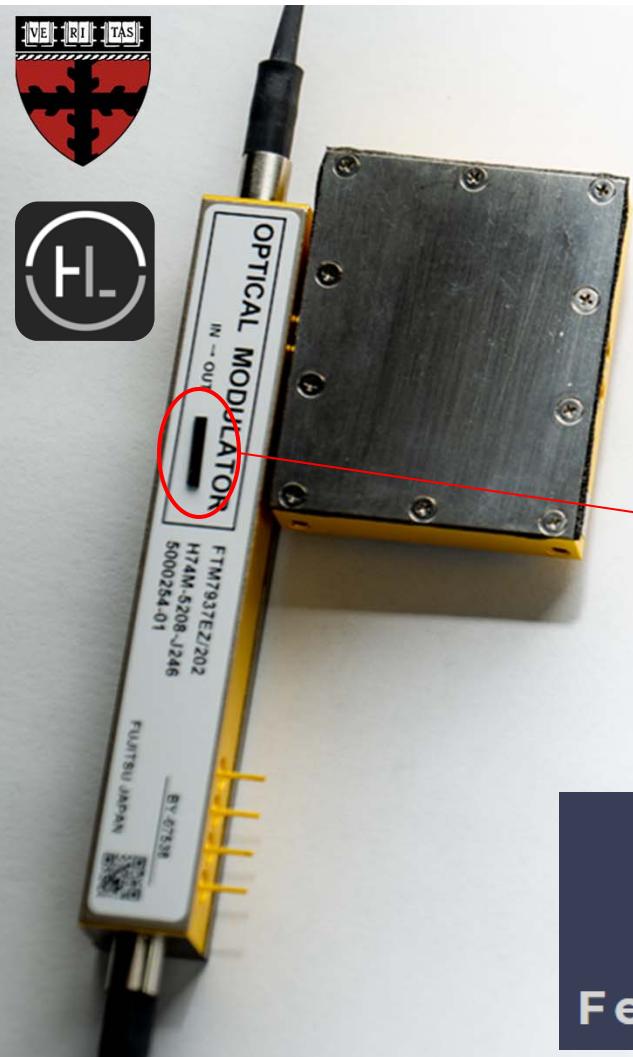
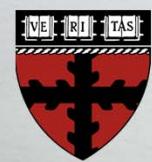
Rockwell  
Collins

Raytheon

rigetti  
PHOTONICSystems, Inc.

VIA

Building trust every day



# Thin-film lithium niobate electro-optic modulators

Neil Sinclair

**FQNET**  
Fermilab Quantum Network



Google

NOKIA Bell Labs

Rockwell  
Collins

Raytheon

rigetti

PHOTONICSystems, Inc.

VIA

Building trust every day



# Lithium Niobate

High refractive index  
~ 2.2

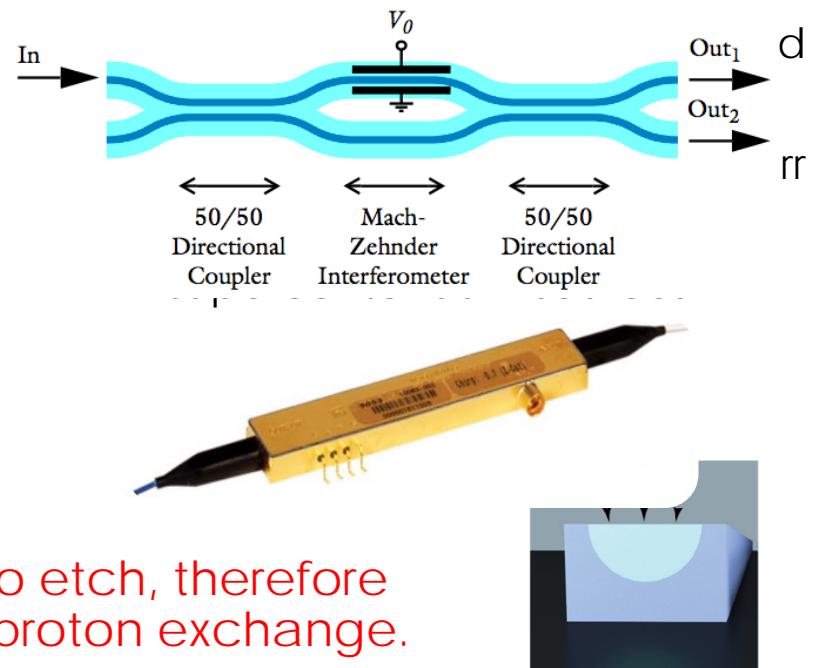
Large diagonal  $\chi^{(2)}$   
nonlinearity ~ 30 pm/V

Wide transparency  
window (0.4 – 5.5  $\mu\text{m}$ )

$$P = \varepsilon_0 \chi E + \varepsilon_0 \chi^{(2)} E^2 + \varepsilon_0 \chi^{(3)} E^3 + \dots$$

$\chi^{(2)}$  is essential for:

- low-loss, highly linear, electro-optic (EO) modulation
- EO frequency comb generation
- frequency conversion (e.g. second harmonic generation)



**The problem:** Lithium Niobate is inert & hard to etch, therefore waveguides are defined by ion-indiffusion or proton exchange.

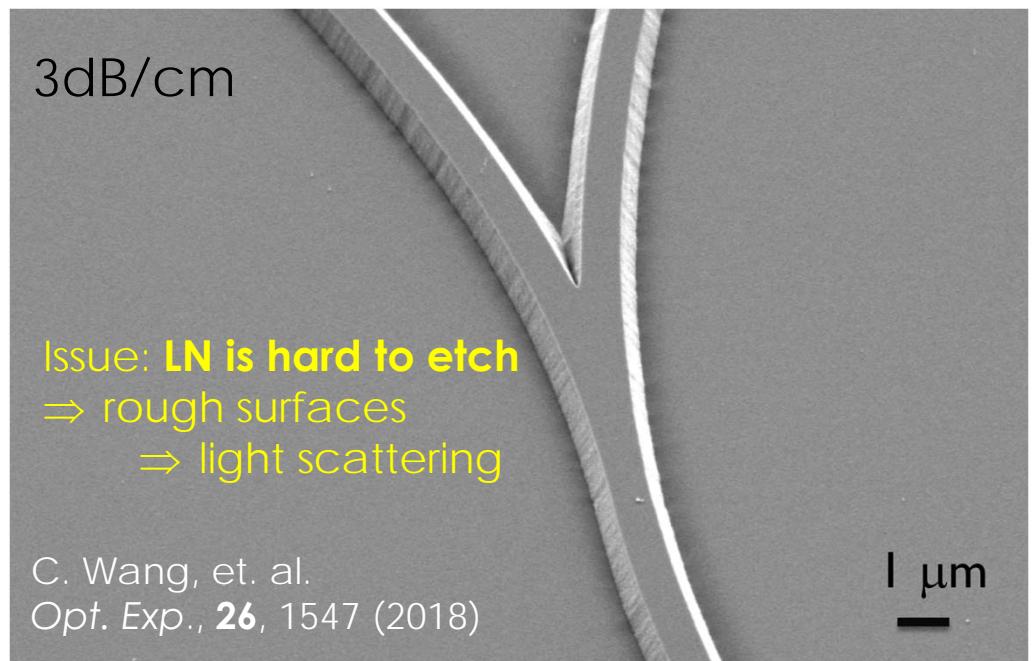
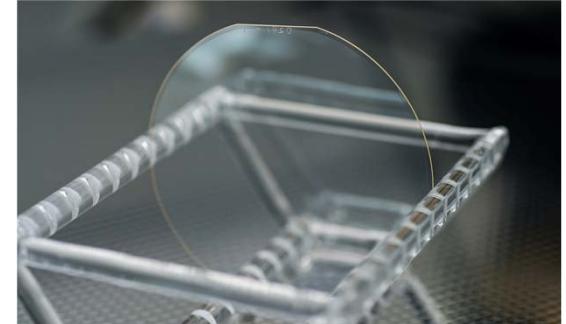
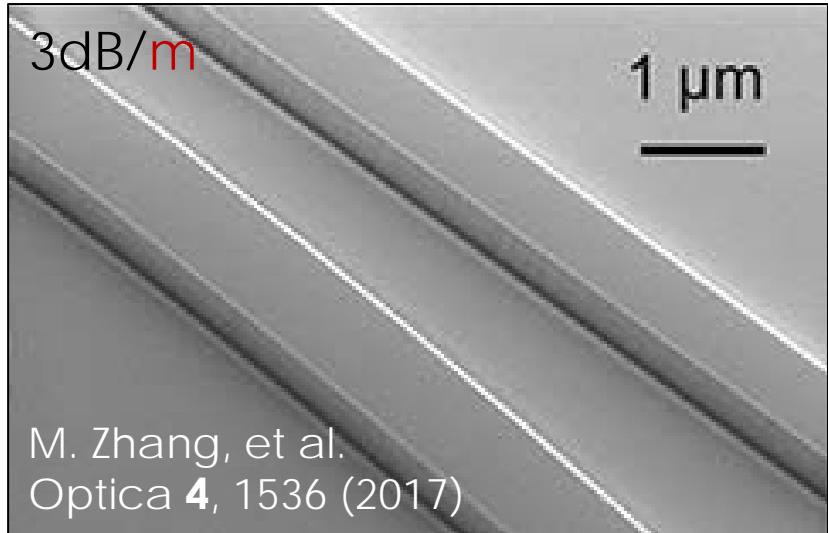
- ⇒ small index contrast between core and cladding (~ 0.02)
- ⇒ devices are bulky, non-scalable, expensive and require large driving voltage!

■ Ion-diffused core  
■ Intact LN cladding



# Approach

- Thin LN film on insulator wafers produced by NanoLN company, using smart cut process.
- Device fabrication
  - e-beam lithography
  - reactive ion etching of LN
  - metal evaporation (contacts)
  - $\text{SiO}_2$  deposition (top cladding)

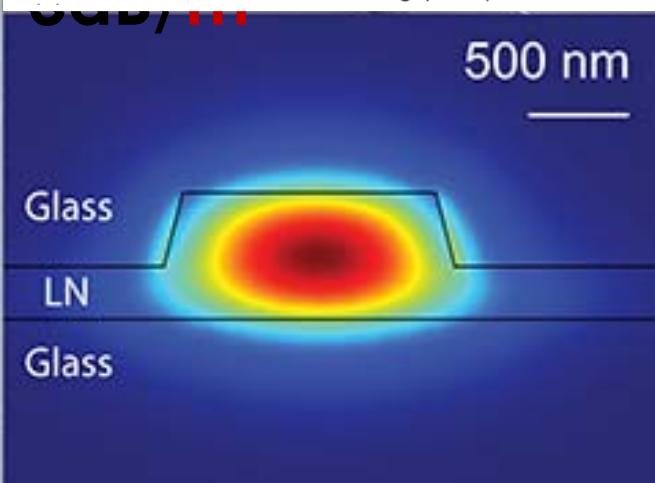
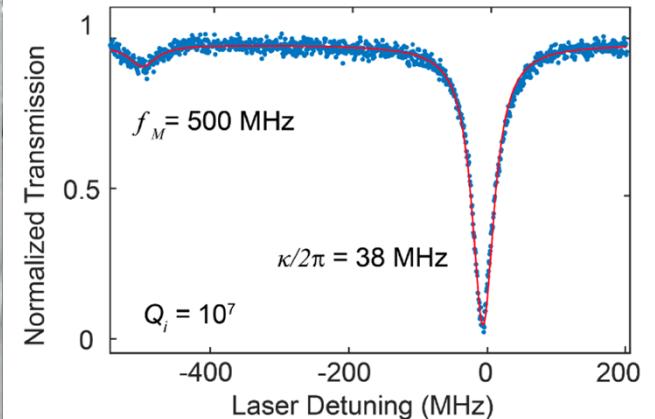
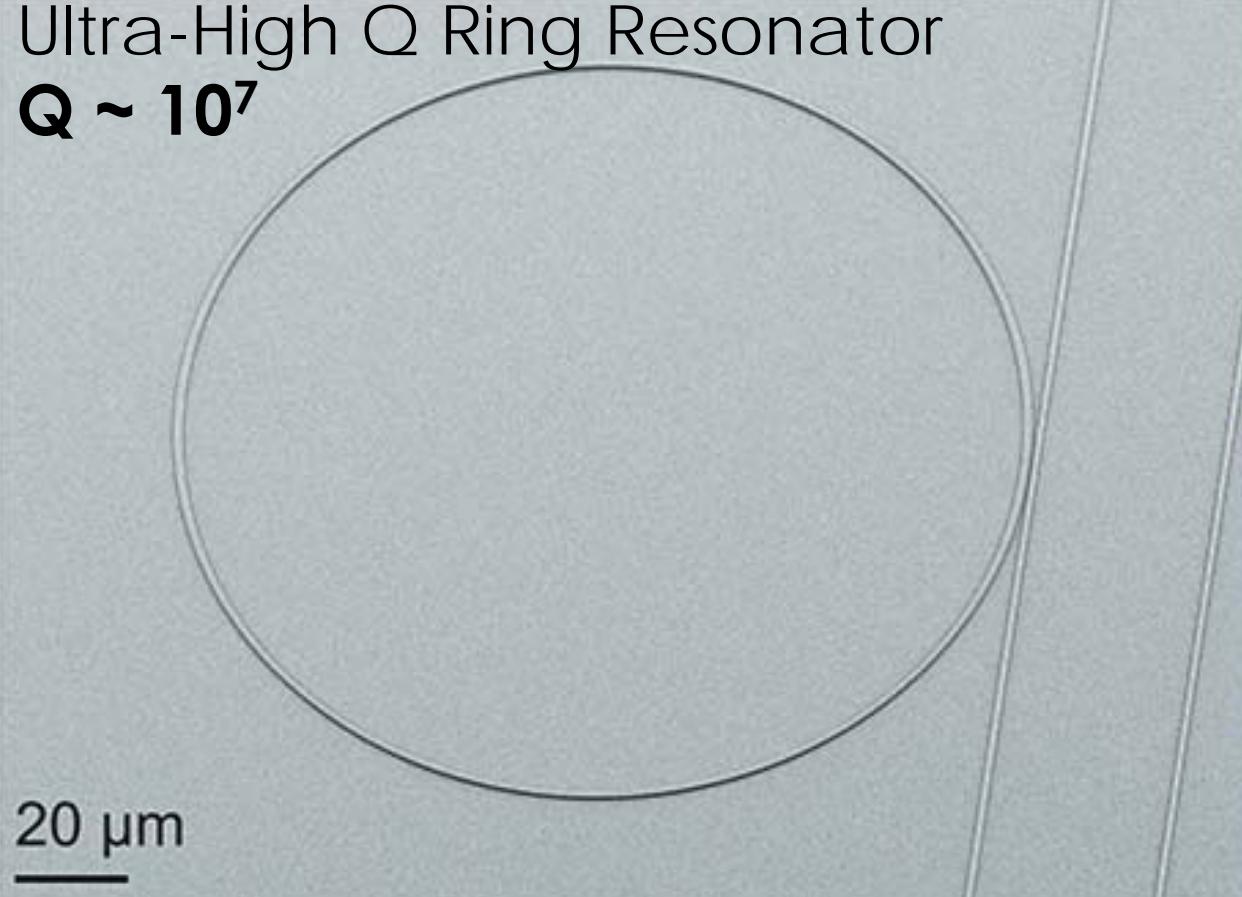


Optimized etch!  
⇒ Smooth sidewalls  
⇒ Low loss!



# Ultra-High Q LN Cavities!

Ultra-High Q Ring Resonator  
 $Q \sim 10^7$



20  $\mu\text{m}$

$\lambda = 1.55 \mu\text{m}$ :  
cavity  $Q \sim 10,000,000$   
waveguide loss  $\sim 0.03 \text{ dB/cm}$

M. Zhang, et al. *Optica*, **4**, 1536 (2017)

$\lambda = 630 \text{ nm}$ :  
cavity  $Q \sim 11,000,000$   
waveguide loss  $\sim 0.06 \text{ dB/cm}$

B. Desiatov et al. *Optica*, **6** 380 (2019)



## Vision: Integrated Lithium Niobate Photonics

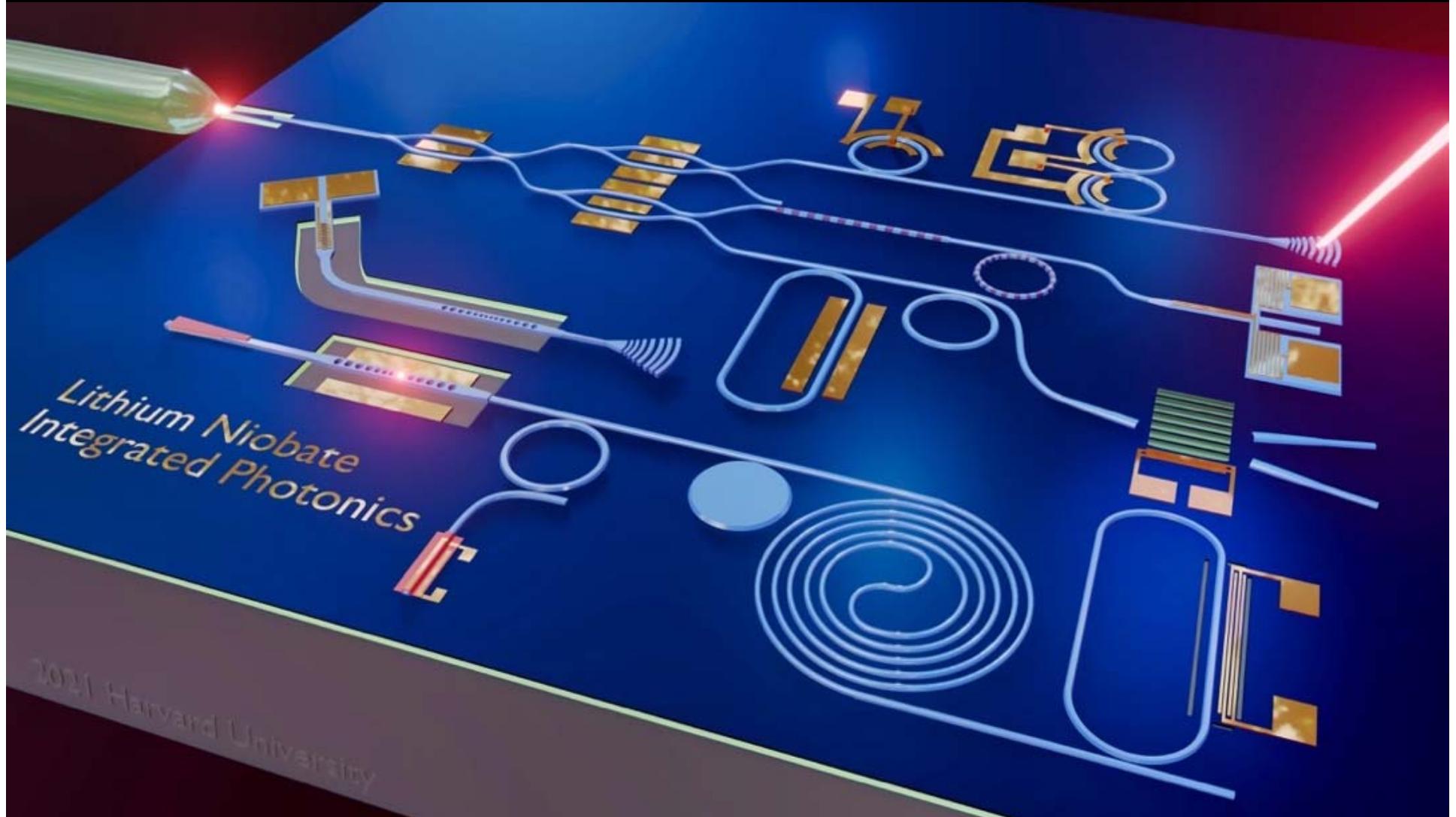


Image credit: Linbo Shao

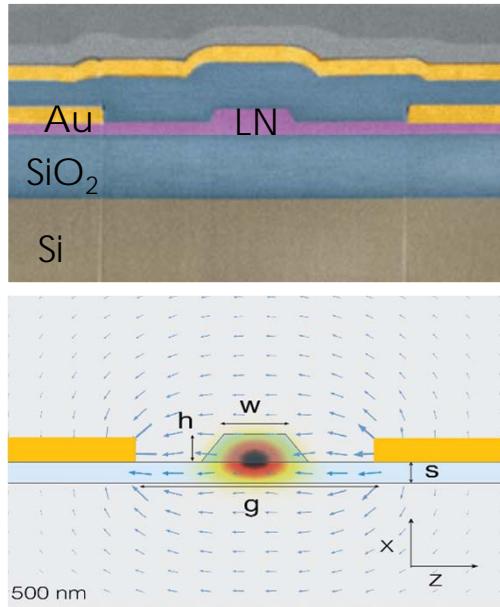
Check out our recent review paper:  
Zhu et al., *Adv. Opt. Photonics* **13**, 242 (2021)



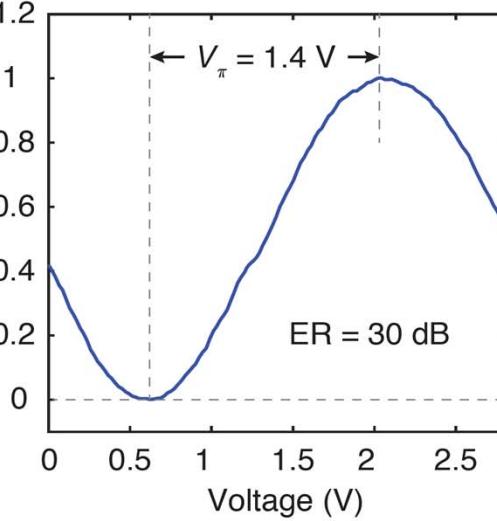
# (travelling wave) Integrated LN Electro-Optic Modulators



Good overlap between  
microwave & optical fields

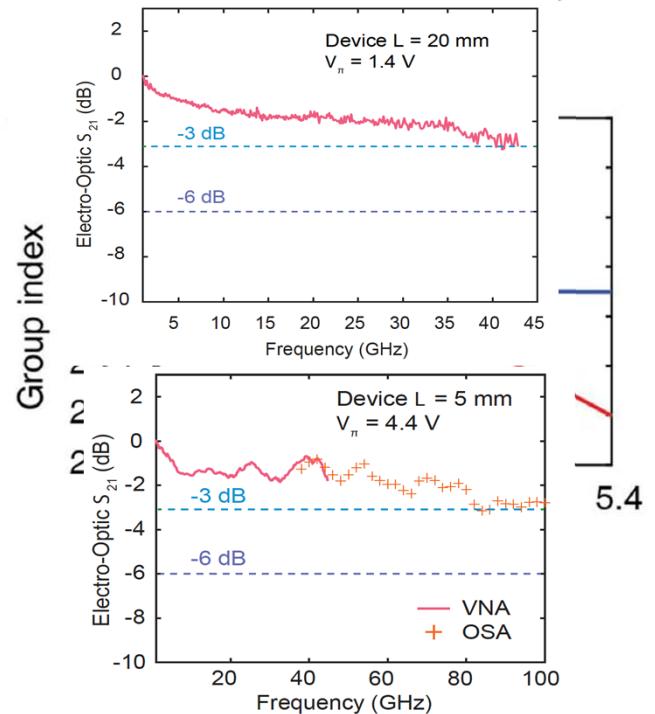


Normalized Transmission (a.u.)



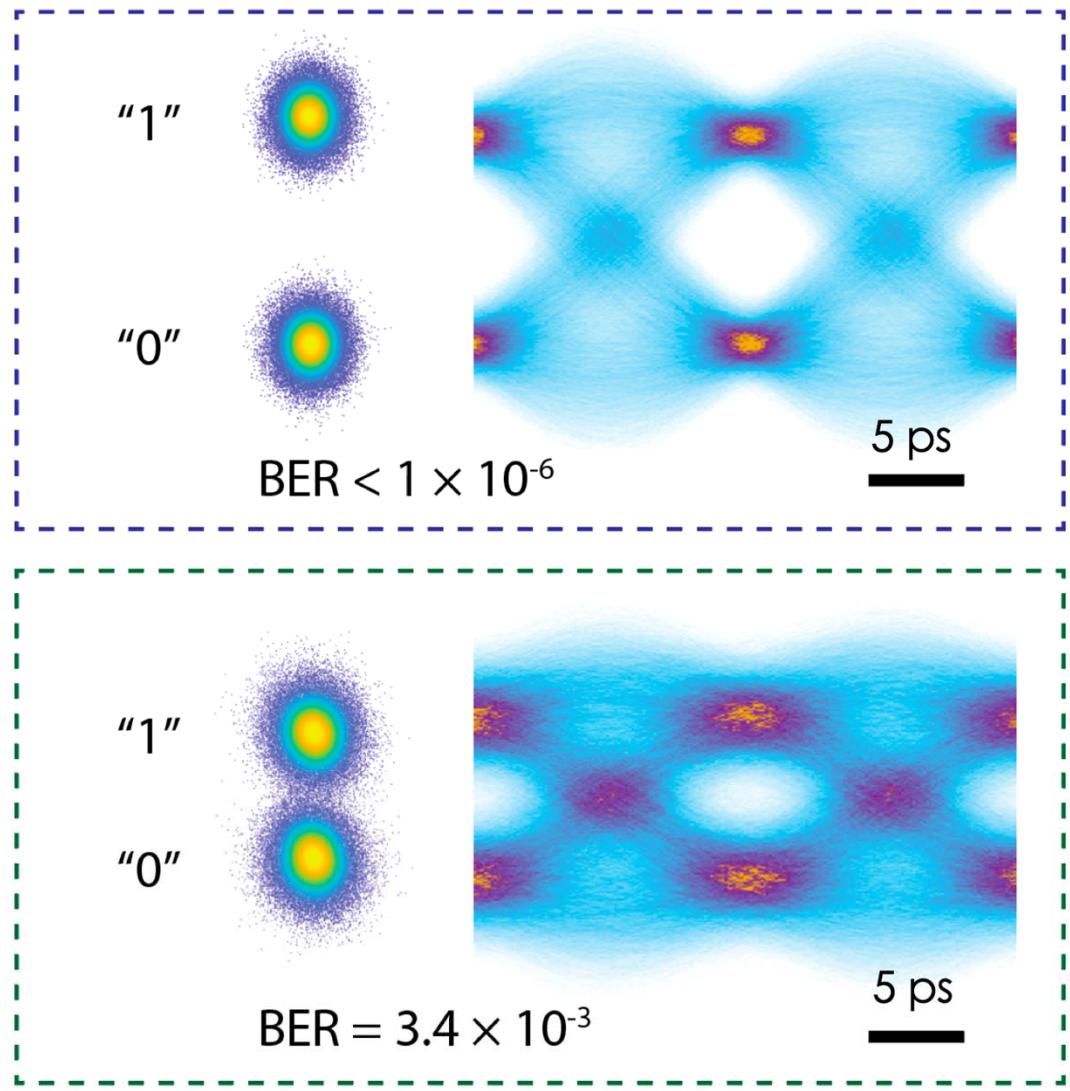
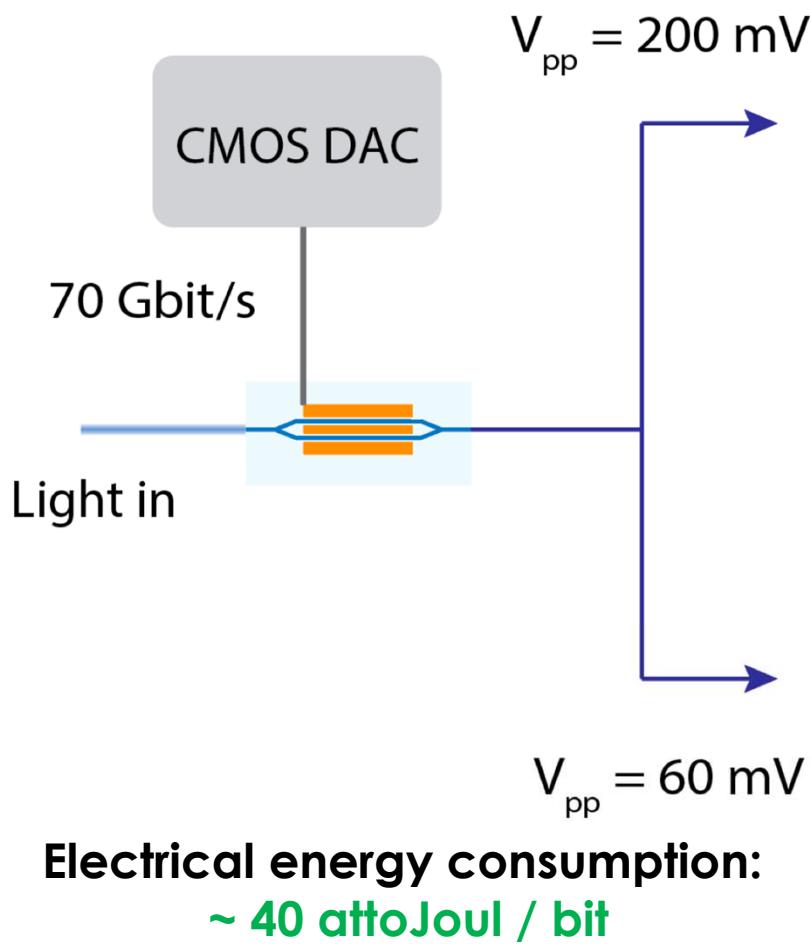
Good EO efficiency  
 $V\pi L = 2.8 \text{ Vcm}$

Flat bandwidth > 100 GHz  
(limited by microwave loss)



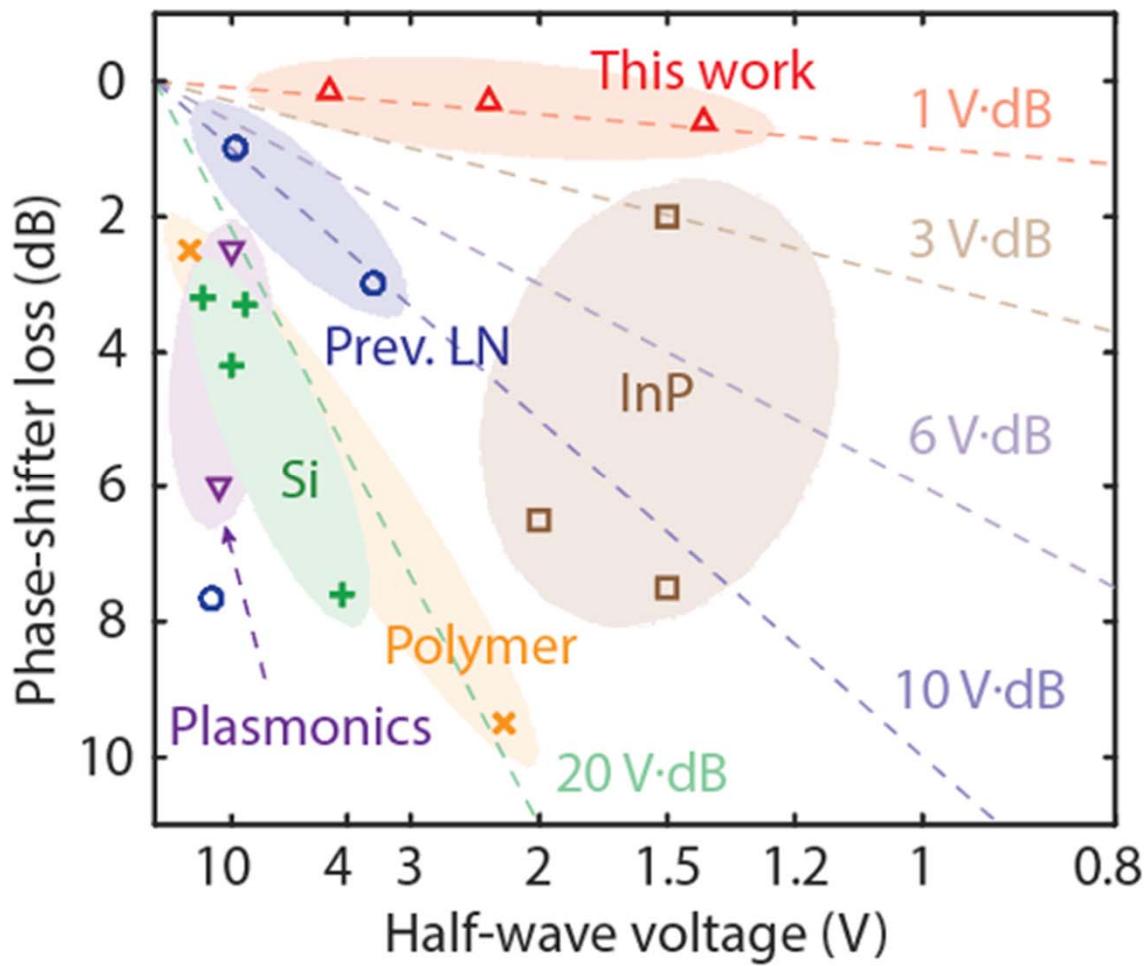


## CMOS Driven Modulator



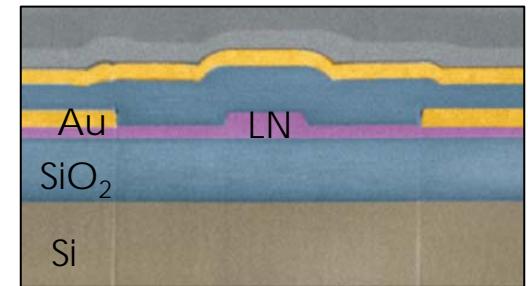


# Comparison with Integrated Modulators

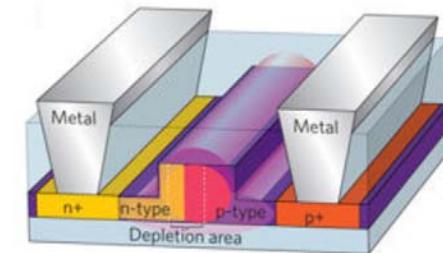


C. Wang, M. Zhang et al, *Nature*, **562**, 101 (2018)

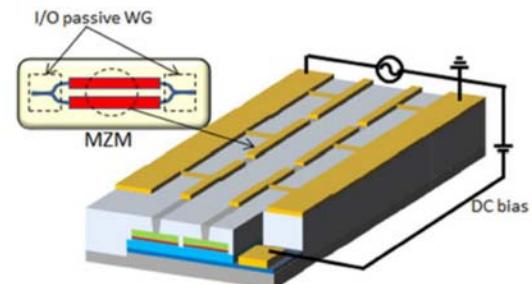
## Integrated LN modulator



Silicon Photonics  
Nature Photonics 4, 518 (2010)



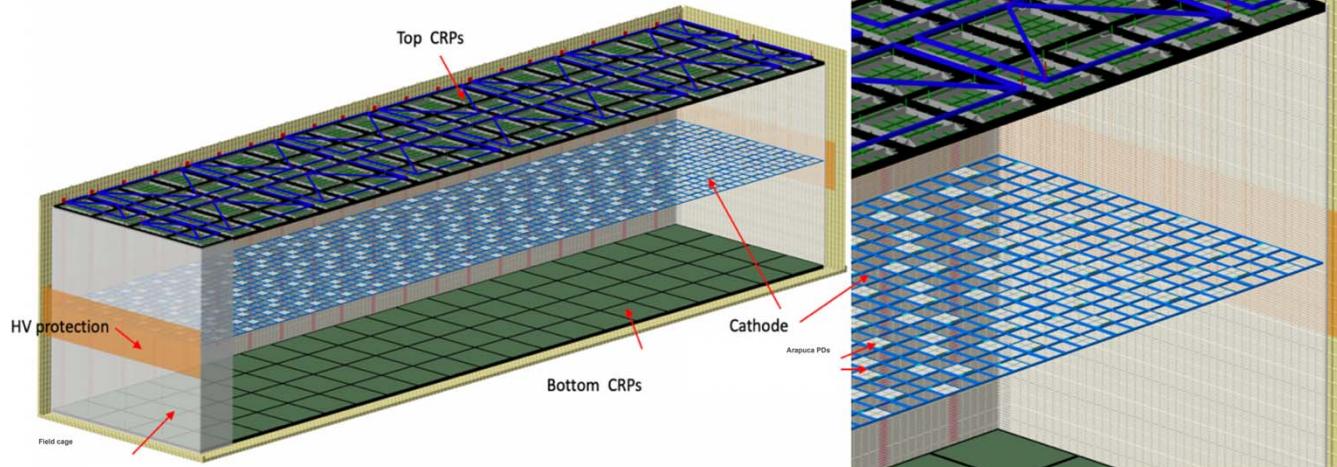
InP Photonics  
JLT, 35, 1450 (2017)





# Collaboration with DUNE

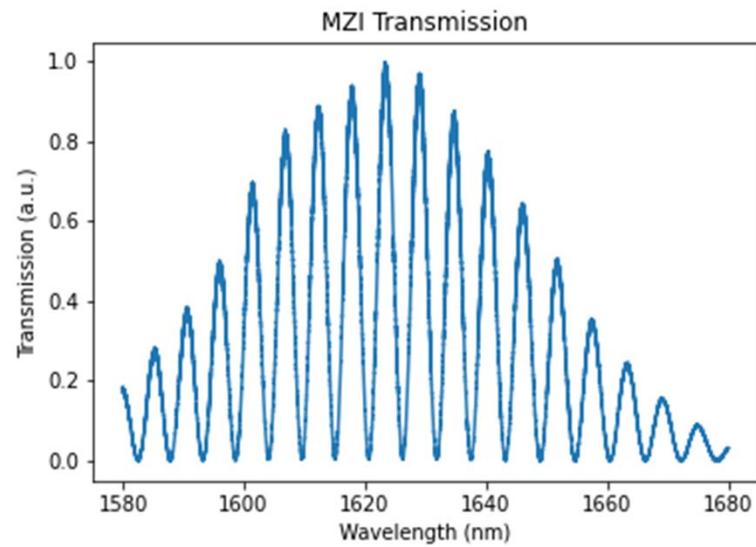
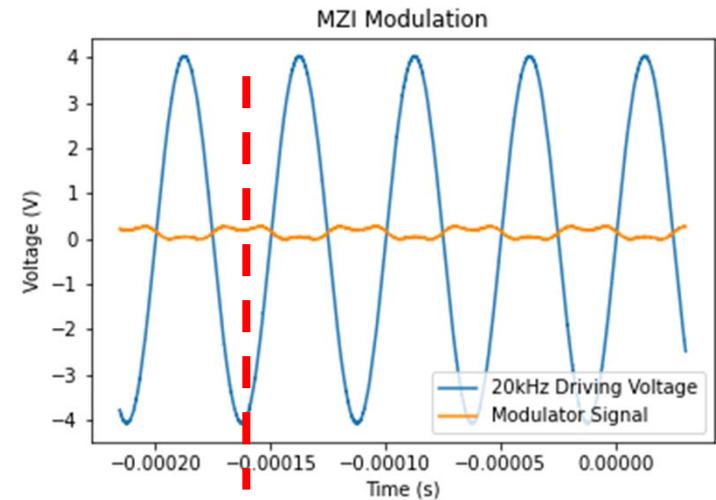
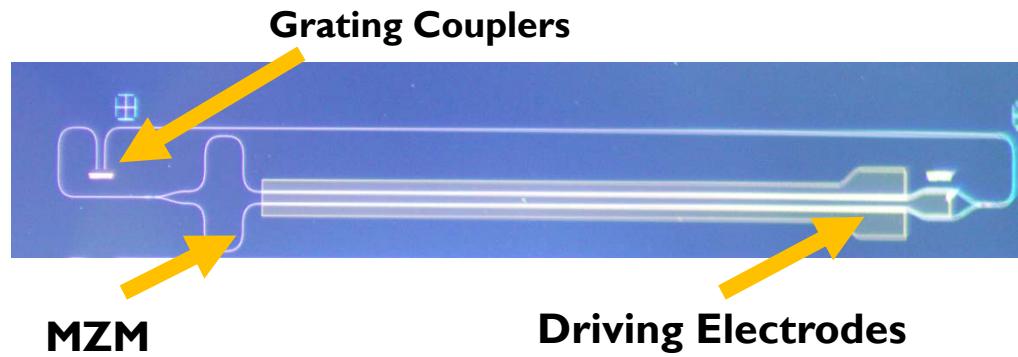
- Goal: remove signals from HV (300 kV) plane at lower power cost
  - Use optical carrier to transmit signals via fiber
  - Liquid Ar low temperature operation (-186 C)
- **Analog Encoding**
  - 1V pulse, 80 ns rise time, 1-2  $\mu$ s decay times
- **Digital Encoding**
  - 60 MB/s



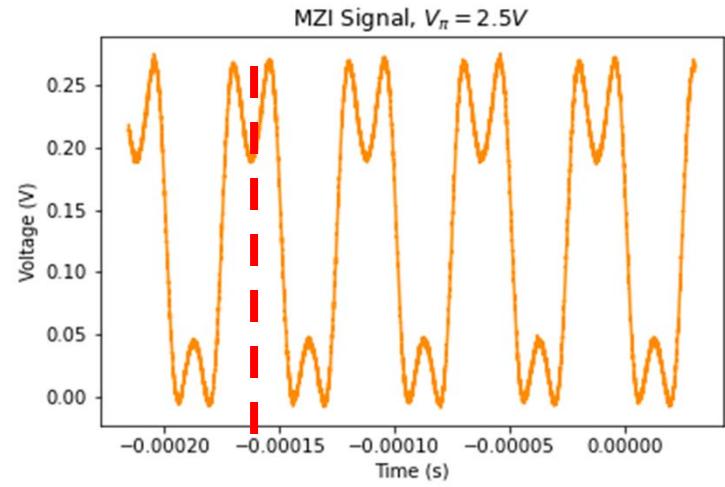
Segreto, E. & Cavanna, F. , Vertical Drift Technical Review (2021)



# M-Z Modulator Specifications



$\sim 180 \text{ pm FSR}$



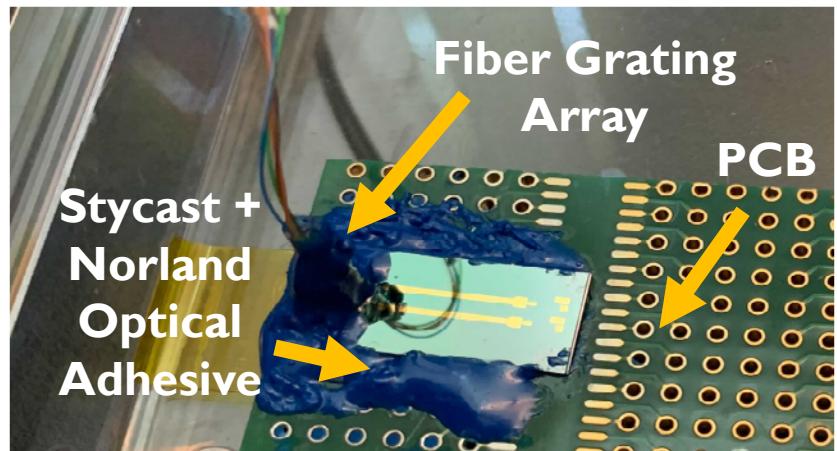
$$V_{\pi} = 2.5 \text{ V}$$



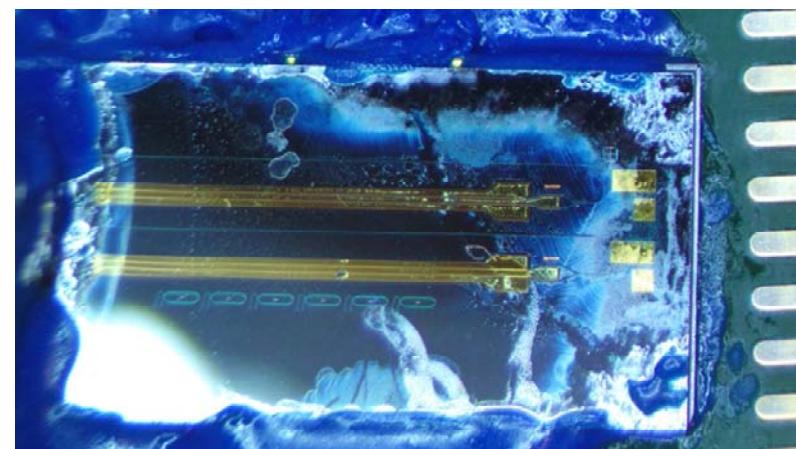
# Liquid Nitrogen Dunk Testing

- Glue fiber array onto device, submerge into liquid nitrogen and see if transmission OK
- Modulator works down to 50 mK
- Norland Optical Adhesive + Styccast Epoxy
  - 5 dB/coupler loss at RT
  - 45 dB loss in Liquid Nitrogen T  
:(
  - *Reversible on warm-up*
- Ongoing Measurements:
  - Optical characterization of thermal-optic and mechanical drift

Fiber array glued to modulator



Zoom of device





# Acknowledgements

## Prof. Marko Loncar

Dr. Cheng Wang (-> HK City U)  
Dr. Mian Zhang (-> HyperLight)  
Dr. Christian Reimer (-> HyperLight)  
Dr. Boris Desiatov (-> Bar Ilan U)  
Dr. Mengjie Yu  
Dr. Di Zhu  
Dr. Linbo Shao  
Dr. David Barton  
Jeff Holzgrafe (G4)  
Eric Puma (G4)  
Rebecca Cheng (G3)  
Yaowen Hu (G3)  
CJ Xin (G3)  
Soumya Ghosh (G3)  
Amirhassan Shams-Ansari (G3)

## Hanna Warner (G1)

Lingyan He (-> HyperLight)  
Tianhao Ren



## Nokia Bell Labs

Peter Winzer  
Xi Chen  
S. Chandrasekhar

## Caltech

Maria Spiropulu

## HyperLight

