PRISM Analysis Status

Ciaran Hasnip LBL Meeting 26th July 2021



1. 26/07/2021

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- **1.** Disappearance analysis procedure
- 2. Cross section and flux systematics in a disappearance analysis
- **3. Disappearance fits**
- 4. PRISM appearance analysis

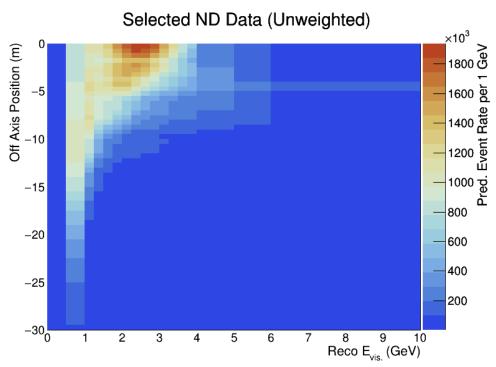
Data and Event Selection

- Collect data at the ND and apply a **selection**:
 - reco_numu = 0 or 1 (muon reconstructed)
 - EHad_veto < 30 MeV (hadronic energy veto cut)
 - Muon either contained in ND-LAr or makes it into ND-GAr
- Selection applied in the FD data using CVN from FD TDR
- Use $E_{vis,reco}$ and analogous true variable $E_{vis,true}$

$$E_{vis,reco} = E_{lep,reco} + E_{p,reco} + E_{\pi^{\pm},reco} + E_{\pi^{0},reco} + E_{other,reco}$$

$$E_{vis,true} = E_{lep,true} + T_{p,true} + T_{\pi^{\pm},true} + T_{\pi^{0},true} + (M_{\pi^{0}} \times N_{\pi^{0}}) + T_{other,true}$$

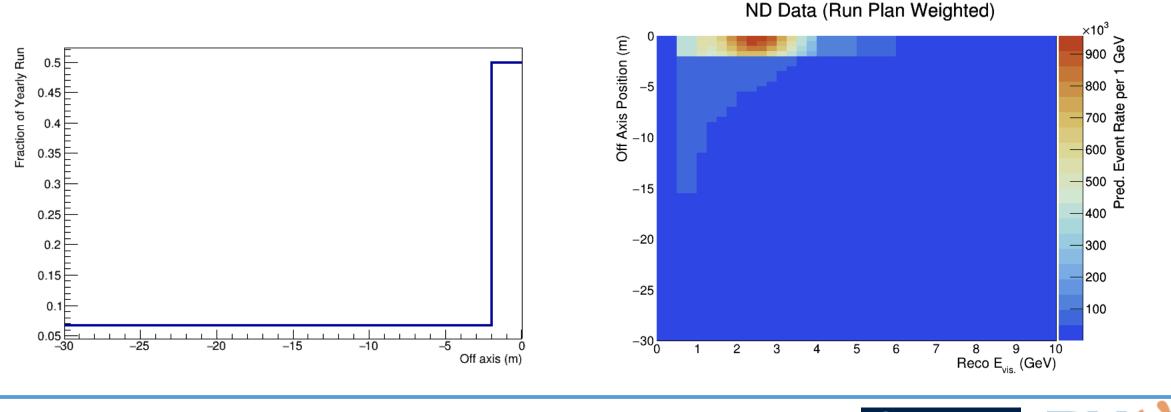
• Variables are the sum of the **visible energy** in the detector





Data and Event Selection

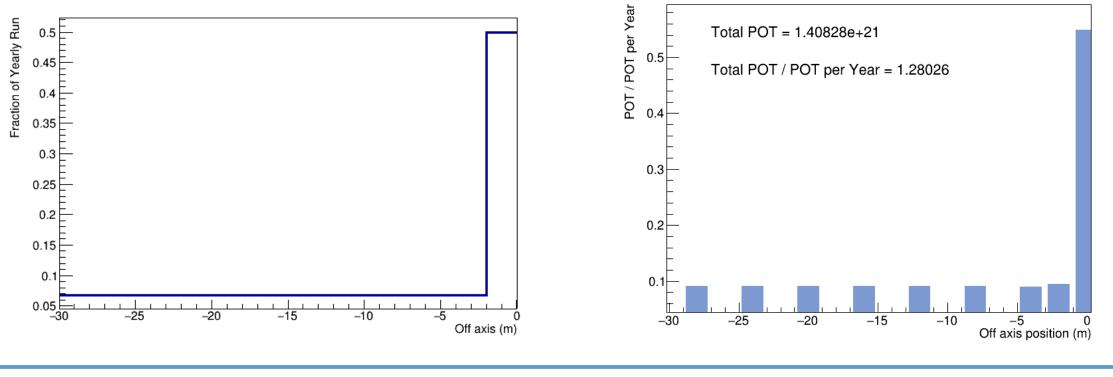
- Take data at the ND according to a **1-year run plan**
- In the **CDR run plan**, most data is taken in the **on-axis position**
- Set the statistical uncertainty in each bin to be $\sqrt{N} \rightarrow$ mocks-up the uncertainty in a real analysis





Data and Event Selection

- Bottom right: actual exposure in our ND FHC CAF file
- Bit over a year of exposure (1.28 POT-years) in MC file restrict current analysis to **1 year**



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POT Exposure ND FHC

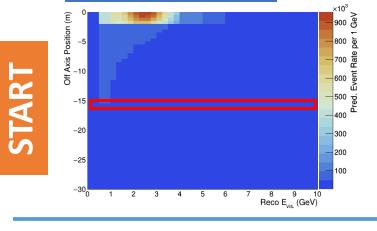


Signal Extrapolation Procedure

2. Construct **smearing matrices** for the ND and FD

3. Unfold each slice of ND data to true variable, correct for efficiency in ND slice (ND detector systematics)

1. Subtract backgrounds from each ND off axis slice



 4. Smear true variable in each slice to FD reco, correct for FD efficiency
(FD detector systematics)

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6. Add FD backgrounds to get **Extrapolated PRISM Prediction** in reconstructed visible energy

FINISH

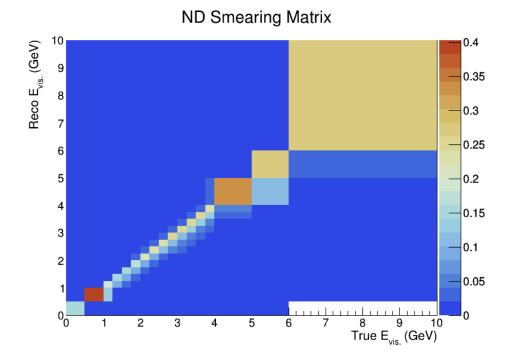
5. Perform linear combination of extrapolated ND off-axis data



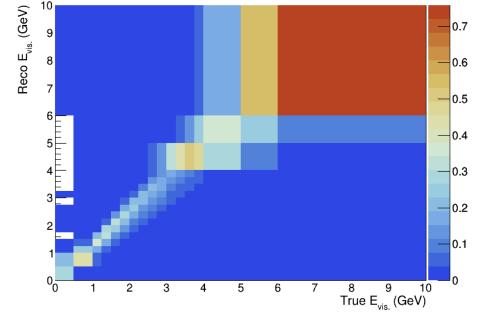
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Signal Extrapolation Procedure

- Each matrix is the relationship between **Evis, reco** and **Evis, true** in the ND and FD
- Normalise the integral of each true energy bin to the selection efficiency at that energy
- ND smearing matrix normalised to the efficiency at the particular off-axis position



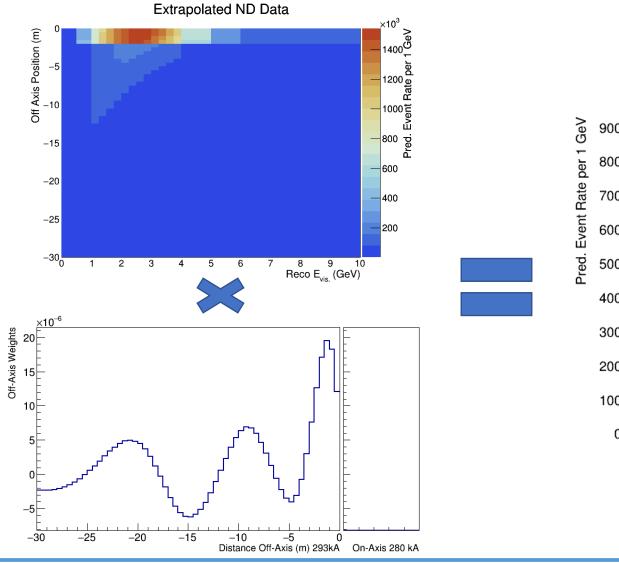
FD Smearing Matrix



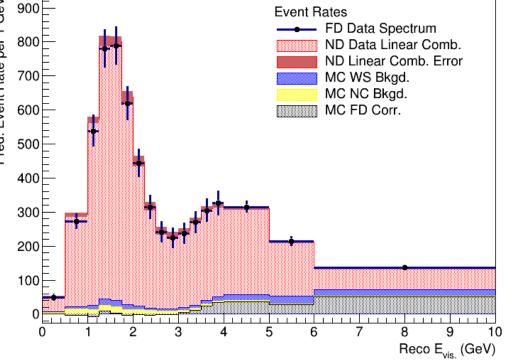


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FD Disappearance Prediction



Extrapolated Prediction with Full Selection

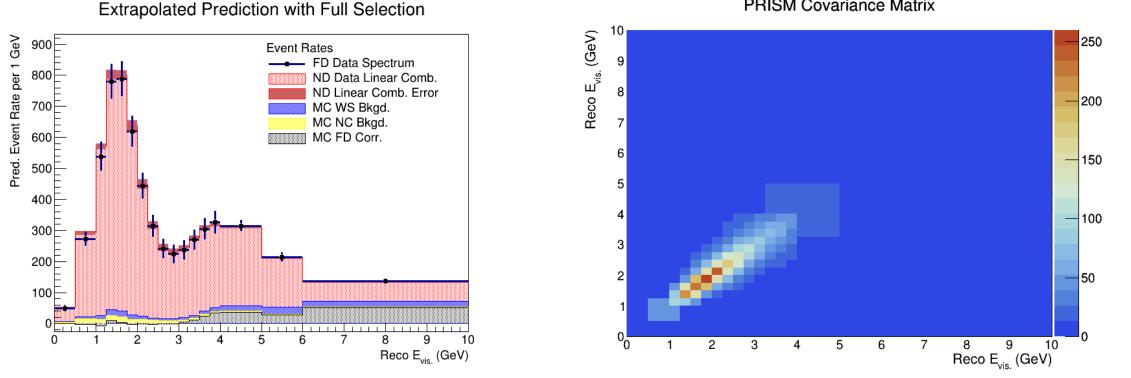




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FD Disappearance Prediction

- Statistical uncertainty on PRISM Prediction given by a covariance matrix
- Covariances arise from the **unfolding** of each slice of ND data
- Diagonal of final covariance matrix exactly matches bin errors in 1D prediction plot .



PRISM Covariance Matrix

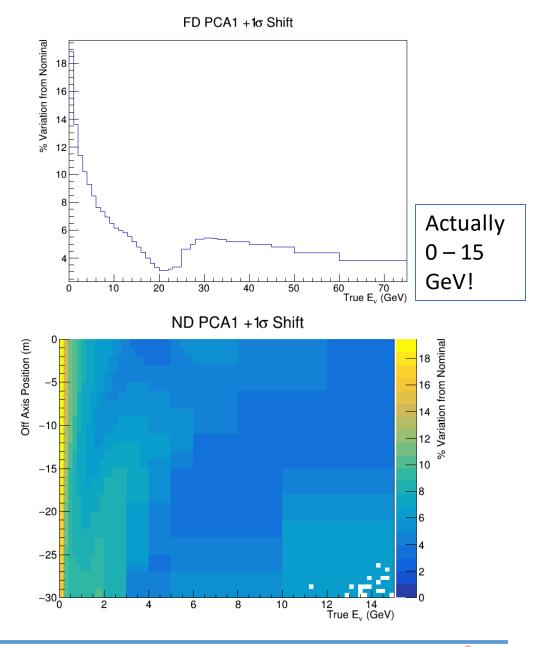
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Flux Systematics

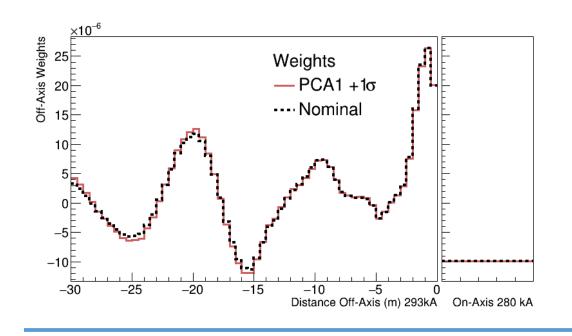
- Flux systematic parameters can shift:
 - Flux prediction used to calculate the **weights**
 - Backgrounds subtracted from ND data
 - Backgrounds and MC flux correction added to FD prediction
 - Calculation of efficiency
 - ND and FD smearing matrices
- Shown: shift in unselected event rate due to hadron production PCA component 1

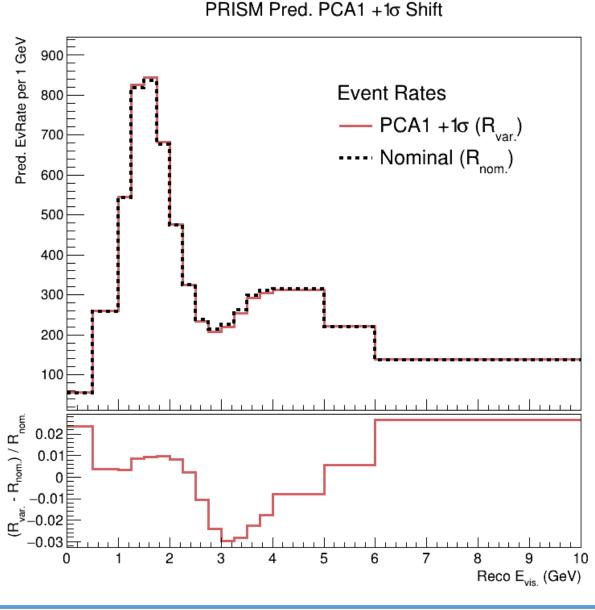




Flux Systematics

- Shift in the flux prediction shifts the coefficients
- Certain flux systematics can affect on and offaxis ND flux differently
 - leads to imperfect systematic cancellation





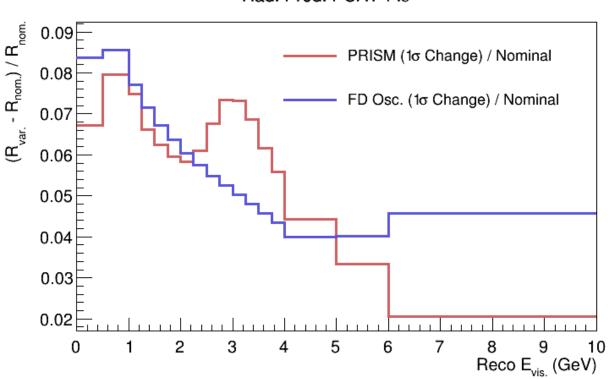


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Flux Systematics – 'Fake Data' Shift

- The analysis applies shifts to the MC the 'data' is fixed
- Alternatively, do a 'fake data' study: all the MC components are nominal and shift the 'data'
- See how the PRISM prediction tracks the FD spectrum
- Total systematic uncertainty is the difference
- For more of these plots

See: <u>https://indico.fnal.gov/event/49755/contributions/218685/</u> attachments/144905/184274/PRISMGroup_CAFAnaUpdate_1July2 <u>1.pdf</u>



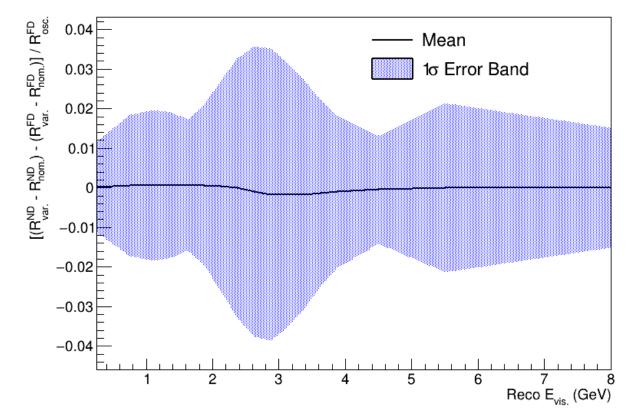
Had. Prod. PCA1 +1 o





Flux Systematics - 'Fake Data' Shift

- Do many 'fake-data' random systematic throws
- Take the difference between the ND and FD shift
- Plot 1 sigma error band (covariance matrix also available)



All Flux Systematic Throws (FDOsc norm.)

Parameters thrown: 12 'focusing' parameters + first 10 hadron production PCAs



Cross Section Systematics

Backgrounds and MC flux

correction added to FD

Calculation of efficiency

ND and FD smearing matrices

• Cross section systematic

parameters affect:

'Data' fixed here, only vary MC components

10 X-Section Systematic Throws (FDOsc norm.) [RND - RND] / R^{FD} osc 0.015 0.01 0.005 -0.005-0.01 Mean 1σ Error Band -0.015 5 Reco E_{vis} (GeV)

> **Parameters thrown:** *MaCCQE, MaCCRES, MvCCRES, AhtBY,* BhtBY, CV1uBY, CV2uBY, BeRPA_A, BeRPA_B, BeRPA_D

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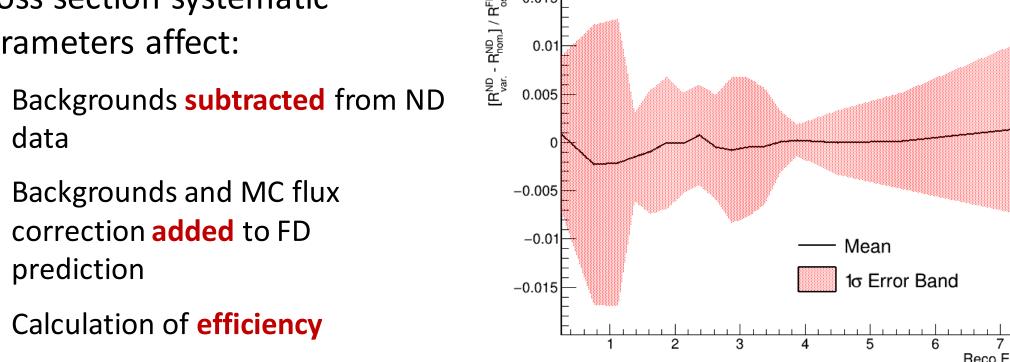
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data

prediction



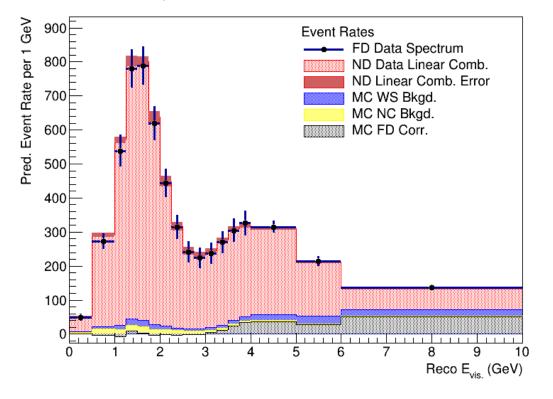


Disappearance Fits with PRISM

- Fit the PRISM Prediction to the FD "data" (using MINUIT)
- Use a Chi2 function which includes covariance:

$$\chi^2 = (P - D)^T \boldsymbol{V_{prism}^{-1}}(P - D)$$

 Include flux and cross section systematics as nuisance parameters in fit

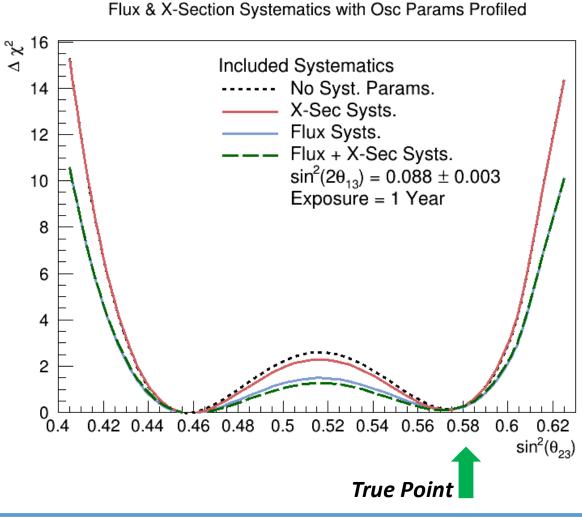


Extrapolated Prediction with Full Selection



Disappearance Fits with PRISM

- Fit for **ssth23** with **1 year** of exposure
- 4 fits:
 - \circ Stats only
 - \circ All x-section
 - $\circ ~~ \text{All flux}$
 - $\circ~$ All flux and x-section
- "All x-section" = all parameters used in TDR analysis
- "All flux" = 12 'focusing' parameters and first 10 hadron production PCAs





Disappearance Fits with PRISM

Warning: failed fit point in flux + x-section fit (WIP)

- Fit for dmsq32 with 1 year of exposure
- 4 fits:
 - \circ Stats only
 - o All x-section
 - $\circ ~~ \text{All flux}$
 - o All flux and x-section
- "All x-section" = all parameters used in TDR analysis
- "All flux" = 12 'focusing' parameters and first 10 hadron production PCAs

Flux & X-Section Systematics with Osc Params Profiled Included Systematics 16 ---- No Syst. Params. X-Sec Systs. 14 Flux Systs. -- Flux + X-Sec Systs. 12 $\sin^2(2\theta_{13}) = 0.088 \pm 0.003$ Exposure = 1 Year 10 8 6 2 0 ∟ 2.3 2.5 2.35 2.4 2.45 2.55 $\Delta m_{32}^2 (10^{-3})$ True Point

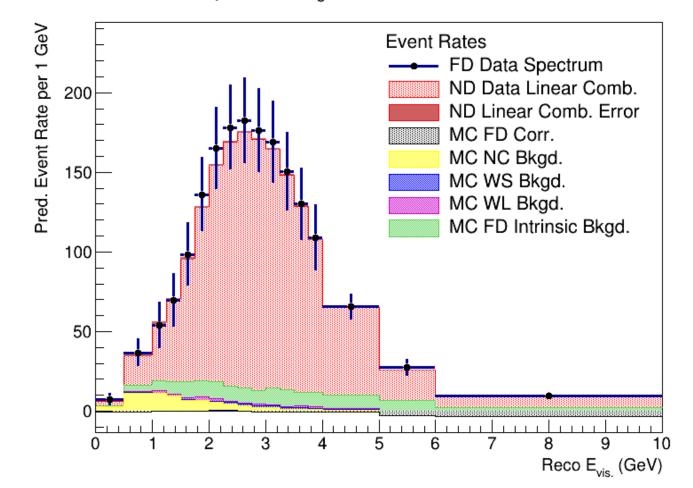


DUNE-PRISM

 $\Delta \, \chi^2$

PRISM Appearance Analysis (WIP)

- Match ND numus to FD nues
- Use the same extrapolation procedure as for disappearance
- Correct for nue/numu cross section differences
- Several details still to work out – but more of this coming soon!



Extrapolated v_e Prediction with Selection





Conclusions & Next Steps

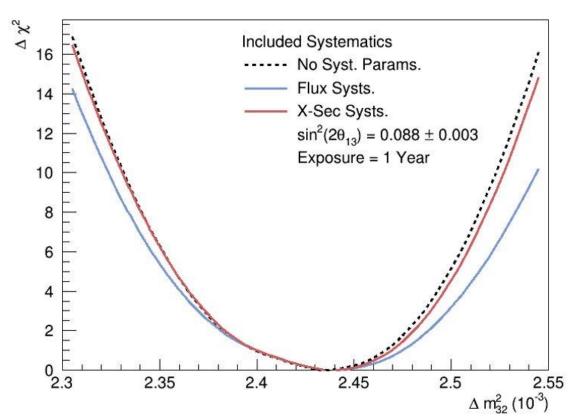
- Disappearance analysis is working with the ability to include flux cross section and detector systematics
- Disappearance FHC fits so far show the effect of cross section systematics are small, but flux systematics do matter (as expected)
- Appearance analysis has made good progress, but details still need to be worked out – more on this to come



Backup

- Fit with just flux and cross-section systematics separately
- No failed fit point

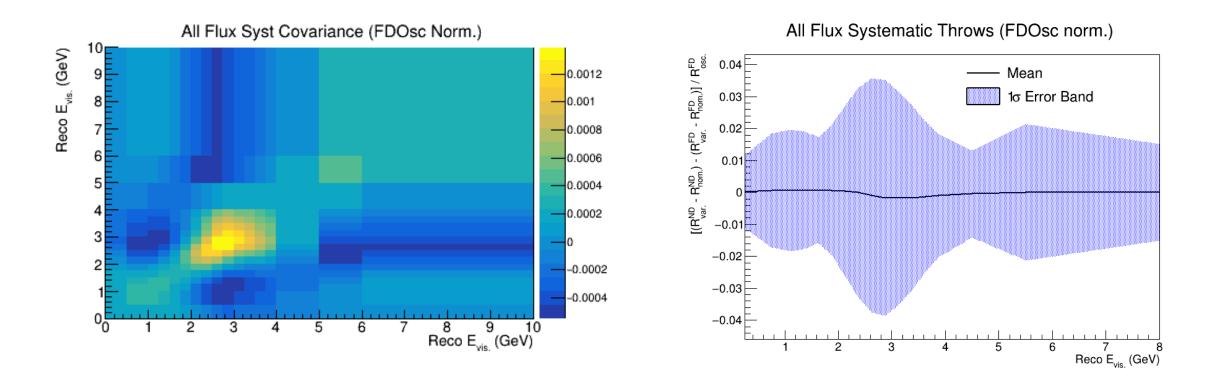
Flux & X-Section Systematics with Osc Params Profiled







• Error band plots are easier to interpret, but are only the diagonal of a covariance matrix

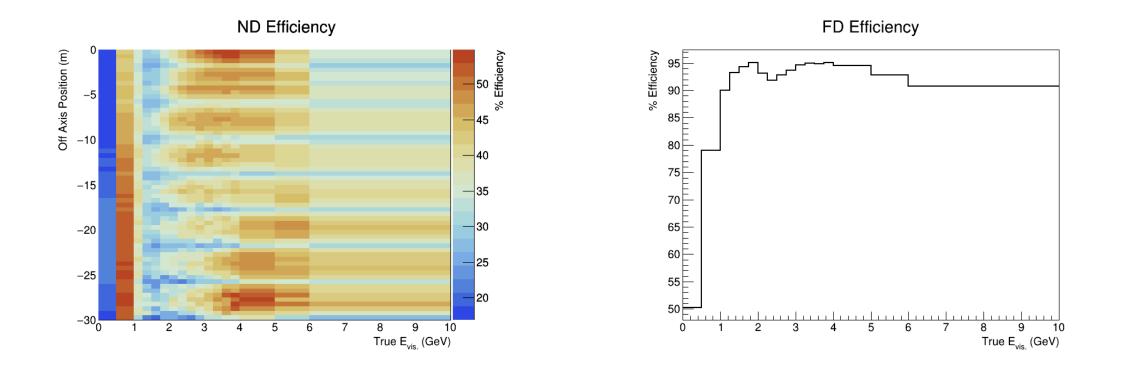


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Backup

• Selection efficiency in the ND and FD





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