NuSTEC introducing: Expanding our palette

Improving the art of neutrino nuclei modelling with charged lepton scattering data Inclusive and (exclusive) neutrino-nucleus cross sections and the reconstruction of the interaction kinematics

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European Commission

### Theoretical

 $v_1$ 

k,r

 $W^+$ 

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**O. Benhar@NuFacT11:** [arXiv : 1110.1835] measured electron-carbon scattering cross sections for a fixed outgoing electron angle  $\theta = 37^{\circ}$  and different beam energies  $\in [730, 1501]$  GeV, plotted as a function of  $E_e$ ,



The energy bin corresponding to the top of the QE peak at  $E_e = 730$  MeV receives significant contributions from cross sections corresponding to different beam energies and different mechanisms!





### **Spectral Functions: dressing the nucleon lines in the medium**

Basic object: nucleon selfenergy in the medium:  $\Sigma$  (from realistic *NN* interactions in the medium).

Spectral Functions: modification of the dispersion relation of the nucleons inside of the nuclear medium

This nuclear effect is additional to those due to RPA (long range) correlations !!



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### reasonable agreement !

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Annals Phys. 383 (2017) 455

## **<u>QE nuclear corrections</u>:** RPA: long range correlations

• Polarization (RPA) effects. Substitute the ph excitation by an RPA response: series of ph and  $\Delta h$  excitations.



1. Effective Landau-Migdal interaction (SRC)  

$$V(\vec{r}_{1}, \vec{r}_{2}) = c_{0}\delta(\vec{r}_{1} - \vec{r}_{2}) \left\{ f_{0}(\rho) + f_{0}'(\rho)\vec{\tau}_{1}\vec{\tau}_{2} + g_{0}(\rho)\vec{\sigma}_{1}\vec{\sigma}_{2}\vec{\tau}_{1}\vec{\tau}_{2} \right\}$$

$$Isoscalar terms do not contribute to CC$$
2.  $S = T = 1$  channel of the  $ph$ - $ph$  interaction  $\rightarrow$  s longitudinal ( $\pi$ ) and transverse ( $\rho$ ) + SRC  

$$g_{0}'\vec{\sigma}_{1}\vec{\sigma}_{2}\vec{\tau}_{1}\vec{\tau}_{2} \rightarrow [V_{l}(q)\hat{q}_{i}\hat{q}_{j} + V_{t}(q)(\delta_{ij} - \hat{q}_{i}\hat{q}_{j})]\sigma_{1}^{i}\sigma_{2}^{j}\vec{\tau}_{1}\vec{\tau}_{2}$$

$$V_{l,t}(q) = \frac{f_{\pi NN,\rho NN}}{m_{\pi,\rho}^{2}} \left(F_{\pi,\rho}(q^{2})\frac{\vec{q}^{2}}{q^{2} - m_{\pi,\rho}^{2}} + g_{l,t}'(q)\right)$$

3. Contribution of  $\Delta h$  excitations important







#### RPA effects in integrated decay rates or cross sections become significantly smaller when SF corrections are also considered





JN and J.E. Sobzcyk Annals Phys. 383 (2017) 455

### Inclusive Muon Capture: $\Gamma\left[(A_Z - \mu^-)^{1s}_{\text{bound}}\right]$

Nucleus	Pau	uli $(10^4 \text{ s})$	$^{-1}$ ) RPA (10 <sup>4</sup> s <sup>-1</sup> ) S	$F (10^4 s^{-1})$	) SF+RPA $(10^4 \text{ s}^{-1})$	Exp. $(10^4 \text{ s}^{-1})$
$^{12}\mathrm{C}$		5.76	$3.37\pm0.16$	3.22	$3.19\pm0.06$	$3.79\pm0.03$
$^{16}O$		18.7	$10.9\pm0.4$	10.6	$10.3 \pm 0.2$	$10.24\pm0.06$
$^{18}O$		13.8	$8.2\pm0.4$	7.0	$8.7\pm0.1$	$8.80\pm0.15$
<sup>23</sup> Na		64.5	$37.0 \pm 1.5$	30.9	$34.3 \pm 0.4$	$37.73 \pm 0.14$
$^{40}$ Ca		498	$272\pm11$	242	$242\pm 6$	$252.5\pm0.6$

The inclusive  ${}^{12}C(\nu_{\mu}, \mu^{-})X$  and  ${}^{12}C(\nu_{e}, e^{-})X$  reactions near threshold

40-40 2		Pauli	RPA	$\mathbf{SF}$	SF+RPA	SM	SM	CRPA		Experiment	
10 <sup>10</sup> cm <sup>2</sup>						[125]	[44]	[45]	LSND [115]	LSND [116]	LSND [117]
averaged	$\left \bar{\sigma}(\nu_{\mu},\mu^{-})\right $	23.1	$13.2\pm0.7$	12.2	$9.7\pm0.3$	: 3.2	15.2	19.2	$8.3 \pm 0.7 \pm 1.6$	$11.2 \pm 0.3 \pm 1.8$	$10.6 \pm 0.3 \pm 1.8$
cross									KARMEN [120]	LSND [118]	LAMPF [119]
sections	$\bar{\sigma}(\nu_e, e^-)$	0.200	$0.143 \pm 0.006$	0.086	$0.138\pm0.004$	0.12	0.16	0.15	$0.15 \pm 0.01 \pm 0.01$	$0.15\pm0.01$	$0.141 \pm 0.023$
		•							•		

[125]: Hayes & Towner, PRC61, 044603;

[44]: Volpe et al., PRC62, 015501; [45]: Kolbe et al., J. Phys. G29, 2569



# Spectral Functions (SRC) populate neither the <u>dip</u> nor the $\Delta$ regions

• Spectral Function (SF) + Final State Interaction (FSI): dressing up the nucleon propagator of the hole (SF) and particle (FSI) states in the *ph* excitation



- Change of nucleon dispersion relation:
  - \* hole  $\Rightarrow$  Interacting Fermi sea (SF)
  - \* particle  $\Rightarrow$  Interaction of the ejected nucleon with the final nuclear state (FSI)

$$(p) \rightarrow \int_{-\infty}^{\mu} d\omega \frac{S_h(\omega, \vec{p}\,)}{p^0 - \omega - i\epsilon} + \int_{\mu}^{+\infty} d\omega \frac{S_p(\omega, \vec{p}\,)}{p^0 - \omega + i\epsilon}$$

The hole and particle spectral functions are related to nucleon self-energy  $\Sigma$  in the medium,

$$(p) = \frac{n(\vec{p}\,)}{p^0 - \varepsilon(\vec{p}) - i\epsilon} + \frac{1 - n(\vec{p}\,)}{p^0 - \varepsilon(\vec{p}) + i\epsilon}$$



Excitation of  $\Delta(1232)$  degrees of freedom, T = 3/2 and  $J^P = 3/2^+$ 

- energy transfer should be sufficiently large...
  - because of the large  $\pi N\Delta$ coupling, the <u>properties of</u> <u>pion and  $\Delta$  inside of a nuclear</u> <u>medium become important</u>



first ingredient  $W^{\pm}N \rightarrow N'\pi$  (or  $Z^0N \rightarrow N'\pi$  or  $\gamma N \rightarrow N'\pi$ ) in <u>vacuum</u>, after nuclear corrections should be included.....









N



N'

## **EFT involving pions and nucleons** which implements:

- non-resonant background determined by chiral symmetry and its pattern of spontaneous breaking
- unitarity in the dominant **multipoles**
- + crossing symmetry+ N(1520) + phenomenological  $q^2$  form-factors

Hernández+ JN+Valverde PRD76 (2007) 033005 PRD81 (2010) 085046 (deuteron effects in data) PRD93 (2016) 014016 (Watson's theorem) PRD95 (2017) 053007 (local terms and the  $n\pi^+$  channel) PRD98 (2018) 073001 (comparison DCC model, T. Sato et al)





pion neutrino-production off nucleons







nuclear effect: populates the dip region and not dominated by the  $\Delta(1232)$  driven mechanisms



Two cuts:  $\gamma^* NN \rightarrow NN$  $\gamma^* N \rightarrow N\pi$  (dressed)

> Gil+Nieves+Oset., NPA 627 (1997) 543 (extension of Carrasco+Oset NPA 536 (1992) 445 for real photons)



work (1985-1993) in pion physics



 $2\omega V_1^{(s)}(\mathbf{r}) = -4\pi [(1+\varepsilon)(b_0 + \Delta b_0(\mathbf{r}))f(T)\rho + (1+\varepsilon)b_1(\rho_n - \rho_p)$  $+ i(\operatorname{Im} B_0(1 + \frac{1}{2}\varepsilon)2(\rho_p^2 + \rho_p\rho_n) + \operatorname{Im} B_0^Q(T)(1 + \frac{1}{2}\varepsilon)\rho^2)]$ 

$$2\omega V_{\text{opt}}^{(\text{p})}(\boldsymbol{r}) = 4\pi \frac{M_{\text{N}}}{s} \left[ \nabla \frac{P(\boldsymbol{r})}{1 + 4\pi g' P(\boldsymbol{r})} \nabla - \frac{1}{2} \varepsilon \Delta \left( \frac{P(\boldsymbol{r})}{1 + 4\pi g' P(\boldsymbol{r})} \right) \right]$$



# $\pi^{\pm}$ – nucleus reactions at low energies



pions at these energies are non-resonant [kinetic energies well below production of  $\Delta(1232)$ ]

## **Absorption + Quasielastic= Reaction cross section**







**INCLUSIVE CROSS SECTION** 

## **PRC 83 (2011) 045501** $[M_A = 1.049 \text{ GeV}]$

#### MICROSCOPIC MODEL: PREDICTIONS (NO FITTED PARAMETERS) FROM THE QE to the $\Delta$ PEAKS, INCLUDING THE DIP REGION





MiniBooNE <u>CCQE-like</u> double differential cross section  $\frac{d^2\sigma}{dT_{\mu}d\cos\theta_{\mu}}$ 

We define a **merit function** and consider our QE+2p2h results

$$\chi^{2} = \sum_{i=1}^{137} \left[ \frac{\lambda \left( \frac{d^{2} \sigma^{exp}}{dT_{\mu} d \cos \theta} \right)_{i} - \left( \frac{d^{2} \sigma^{th}}{dT_{\mu} d \cos \theta} \right)_{i}}{\lambda \Delta \left( \frac{d^{2} \sigma}{dT_{\mu} d \cos \theta} \right)_{i}} \right]^{2} + \left( \frac{\lambda - 1}{\Delta \lambda} \right)^{2},$$

that takes into account the global normalization uncertainty ( $\Delta \lambda = 0.107$ ) claimed by the MiniBooNE collaboration.

We fit  $\lambda$  to data with a fixed value of  $M_A$  (=1.049 GeV). We obtain  $\chi^2/\#$  bins =52/137 with  $\lambda = 0.89 \pm 0.01$ .

The microscopical model, with no free parameters, agrees remarkably well with data! The shape is very good and  $\chi^2$ strongly depends on  $\lambda$ , which is strongly correlated with  $M_A$ .







 $^\dagger$  : As suggested by Sobczyk et al. PRC 82, 045502

Neither 2p2h contributions nor RPA effects alone describe the MB 2D dataset, which is however described by the combination of both nuclear mechanisms!





### Inclusive

some discrepancies between QE+2p2h and MiniBooNE flux-unfolded cross section the caused by neutrino energy reconstruction procedure used to pass from flux-folded to flux-unfolded data

MB neutrino and antineutrino 2D dataset is, however, reasonably described by the combination of both nuclear mechanisms

### Neutrino energy reconstruction

Neutrino beams ARE NOT monochromatic. For QE-like events, only the charged lepton is observed and the only measurable quantities are then its direction (scattering angle  $\theta_{\mu}$  with respect to the neutrino beam direction) and its energy  $E_{\mu}$ . The energy of the neutrino that has originated the event is unknown. Assuming QE dynamics is defined a "reconstructed" energy

 $E_{\rm rec} = \frac{ME_{\mu} - m_{\mu}^2/2}{M - E_{\mu} + |\vec{p}_{\mu}|\cos\theta_{\mu}}$ 

(genuine quasielastic event on a nucleon at rest, ie.  $E_{\rm rec}$  is determined by the QE-peak condition  $q^0 = -q^2/2M$ ). Note that each event contributing to the flux averaged double differential cross section  $d\sigma/dE_{\mu}d\cos\theta_{\mu}$  defines unambiguously a value of  $E_{\rm rec}$ . The actual ("true") energy, E, of the neutrino that has produced the event will not be exactly  $E_{\rm rec}$ .

# Flux-folded $d\sigma/dT_{\mu}d\cos\theta_{\mu} \hookrightarrow CCQE$ -like unfolded $\sigma(E)$

Unfolding procedure needs theoretical input!

$$P_{\text{true}}(E) = \int dE_{\text{rec}} \underbrace{P_{\text{rec}}(E_{\text{rec}})}_{\text{EXP}} \underbrace{P(E|E_{\text{rec}})}_{theory!}$$

 $P_{\rm rec}(E_{\rm rec})$  is the pd of measuring an event with reconstructed energy  $E_{\rm rec}$ .  $P(E|E_{\rm rec})$  is, given an event of reconstructed energy  $E_{\rm rec}$ , the conditional pd of being produced by a neutrino of energy E. ...using Bayes's theorem  $P(E|E_{rec})$  could be related to





given a true neutrino energy E, there is a distribution of reconstructed energies E<sub>rec</sub> .....





### wrongly unfolded cross section

the algorithm used to reconstruct the neutrino energy is not adequate when dealing with quasielastic-like events, and a distortion of the total flux-unfolded cross-section shape is produced. This amounts to a redistribution of strength from high to low energies, which gives rise to a sizable excess (deficit) of low (high) energy neutrinos. This distortion of the shape leads to a good description of the unfolded charged MiniBooNE current quasielastic-like cross sections published by the MiniBooNE Collaboration

wrongly unfolded 2p2h cross section

JN, F. Sánchez, I Ruiz-Simo, M.J. Vicente-Vacas PD85 (2012) 113008



Measurement of the cross section as a function of the muon kinematics when there are no protons (with momenta above 500 MeV).

NEUT 5.4.0 LFG<sub>N</sub>+RPA w/ 2p2h<sub>N</sub>, χ<sup>2</sup>=371.3

## good agreement with T2K data!

### T2K: PRD 98 032003 (2018)



The data make clear two distinct multinucleon effects that are essential for complete modeling of neutrino interactions at low momentum transfer. The 2p2h model tested in this analysis improves the description of the event rate in the region between QE and  $\Delta$  peaks, and the rate for multiproton events, but does not go far enough to fully describe the data. Oscillation experiments sensitive to energy reconstruction effects from these events must account for this event rate. The cross section presented here will lead to models with significantly improved accuracy.

# MINERvA: CCQE-like (hadron calorimetry)

### PRL 116, 071802 (2016)

Hadronic energy spectrum: The IFIC Valencia 2p2h model increases the predicted event rates, but not enough. This process is increased further with an empirical enhancement based on MINERvA inclusive neutrino data. The additional events are from weighting up the generated 2p2h events according to a two-dimensional Gaussian in true q0, q3, whose six parameters are fit to the neutrino data version of these distributions. This enhancement adds 50% to the predicted 2p2h strength, but it targets the event rate in the kinematic region between the CCQE and  $\Delta$  peaks where the rate doubles.

MINER<sub>V</sub>A (Antineutrino Charged-Current Reactions on Hydrocarbon with Low Momentum Transfer): PRL (2018) 221805

We therefore enhance the 2p2h prediction from the Nieves model in a specific region. Integrated overall phase space the rate of 2p2h is increased by 53%.

MINER<sub>V</sub>A (Measurement of Quasielastic-Like Neutrino Scattering at  $\langle E_{\nu} \rangle \sim 3.5$  GeV on a Hydrocarbon Target) <u>Phys.Rev.D 99 (2019) 1, 012004</u>







### **Τ2Κ CC**0*π*

### MINERvA CC $0\pi$







Angular and

transverse

momentum

perpendicular

to the plane).

-**p**<sub>Tμ</sub>

ī⊗

variables

(neutrino

δρ<sub>ту</sub>

δρ<sub>τx</sub>

**Transverse Kinematic Imbalance (TKI) variables** 







#### PHYSICAL REVIEW C 102, 024601 (2020)

### previous results are confirmed & distributions of momenta of the outgoing nucleons in the first step

Exclusive-final-state hadron observables from neutrino-nucleus multinucleon knockout

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We present results of an updated calculation of the two particle two hole (2p2h) contribution to the neutrinoinduced charge-current cross section. We provide also some exclusive observables, interesting from the point of view of experimental studies, e.g. distributions of momenta of the outgoing nucleons and of available energy, which we compare with the results obtained within the NEUT generator. We also compute, and separate from the total, the contributions of 3p3h mechanisms. Finally, we discuss the differences between the present results and previous implementations of the model in MC event generators, done at the level of inclusive cross sections, which might significantly influence the experimental analyses, particularly in the cases where the hadronic observables are considered.

# **Conclusions**

- CONSISTENT MICROSCOPIC DESCRIPTION of the QE, DIP AND  $\Delta$ , REGIONS BECOMES FUNDAMENTAL BECAUSE NEUTRINO BEAMS ARE NOT MONOCHROMATIC
  - ✓ SFs are responsible for the quenching of the QE peak, produce a spreading of the strength of the response functions to higher energy transfers and shift the peak position in the same direction. The overall result is a decrease of the integrated cross sections and a considerable change of the differential shapes.
  - ✓ RPA effects in integrated decay rates or cross sections become significantly smaller when SF corrections are also taken into account, in sharp contrast to the case of a free LFG where they lead to large reductions, even of around 40%.
  - ✓ 2p2h: necessary ingredients i)  $W^{\pm}N \rightarrow N'\pi$  (or  $Z^0N \rightarrow N'\pi$  or  $\gamma N \rightarrow N'\pi$ ) in <u>vacuum</u> and ii) effective NN interaction in the <u>medium</u>:  $\pi$ + $\rho$ +SRC+RPA+...

 $\checkmark$  properties of pions and  $\Delta$  inside of a nuclear medium become essential to describe the resonance region

• We have analyzed the MiniBooNE CCQE 2D cross section data using a theoretical model that has proved to be quite successful in the analysis of nuclear reactions with electron, photon and pion probes and contains no additional free parameters.

### ✓ RPA and multinucleon knockout have been found to be essential for the description of the data.

- ✓ MiniBooNE  $\nu$  and  $\bar{\nu}$  CCQE-like data are fully compatible with former determinations of  $M_A$  in contrast with several previous analyses. We find,  $M_A = 1.08 \pm 0.03$  GeV.
- ✓ The MiniBooNE  $\nu_{\mu}$  flux could have been underestimated (~ 10%).
- Because of the multinucleon mechanism effects, the algorithm used to reconstruct the neutrino energy is not adequate when dealing with QE-like events.
- ✓ nucleon-nucleon correlation effects in the RPA series yields a much larger shape distortion toward relatively more high- $q^2$  interactions, with the 2p2h component filling in the suppression at very low  $q^2$

**<u>2018-2021</u>**: 2p2h+RPA nuclear model describes fairly well MINERVA-T2K inclusive CCO $\pi$  data. Problems with MINERvA persist in available hadron energy distributions (2p2h contributions need to be substantially enhanced!), perhaps related with pion production data...