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DAQ Project Specification Document

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# Trigger and Data AcQuisition (TDAQ) System Specifications

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## Abstract

6 The TDAQ system is responsible for the acquisition and selection of data produced by the DUNE detectors,  
7 as well as for their synchronisation. Different instances of it will be initially used at DUNE test stands such as  
8 ProtoDUNE, for detector prototypes characterisation and validation. Two independent, almost identical TDAQ  
9 instances will serve the [horizontal drift technology \(FD1-HD\)](#) and the [vertical drift technology \(FD2-VD\)](#) far  
10 [detector modules](#). This document describes the specifications for the TDAQ system and its components.

### DUNE DAQ Project

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# Preface

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## What is expected from a Specification Document?

A specification often refers to a set of documented requirements to be satisfied by a material, design, product, or service. A specification is often a type of technical standard.

There are different types of technical or engineering specifications (specs), and different usages of the term in different technical contexts. They often refer to particular documents, and/or particular information within them. The word specification is broadly defined as "to state explicitly or in detail" or "to be specific".

A Requirement Document is a set of documented requirements, to be satisfied by a given material, design, product, service, etc. It is a common early part of engineering design and product development processes. This is what we've documented in the URD. A functional specification is a kind of requirement, and may show functional block diagrams.

A design or product specification describes the features of the solutions for the Requirement Document, referring to either a designed solution or final produced solution. It is often used to guide fabrication/production. Sometimes the term specification is here used in connection with a data sheet (or spec sheet), which may be confusing. A data sheet describes the technical characteristics of an item or product, often published by a manufacturer to help people choose or use the products. A data sheet is not a technical specification in the sense of informing how to produce.

An "in-service" or "maintained as" specification, specifies the conditions of a system or object after years of operation, including the effects of wear and maintenance (configuration changes).

In the Specification Document we shall document and demonstrate how the Requirements have been analysed and how they will be satisfied in the design of a system, sub-system or of a component.

*[extracted and modified from*

[\*https://en.wikipedia.org/wiki/Specification\\_\(technical\\_standard\)\*](https://en.wikipedia.org/wiki/Specification_(technical_standard))]

## What is the difference between requirements and specifications?

A Requirement Document addresses the "what", and Technical or Design Specification Document addresses the "how". In a perfect world, Requirements precede the Design Specification, and each should be written by different people with different knowledge and viewpoints.

Under solicitation, stakeholders communicate the requirements that the product/service/facility shall be designed to meet through the set of requirements in the Requirement Document. This provides guidance to the design team.

The Requirement Document should state what the various stakeholders of the product need in terms of features, functions, performance, constraints, and quality, written in terms of what the product must do or qualities it must have. These stakeholders, including customers, users, maintenance, tech support and others, have the knowledge to define these requirements. Sometimes these stakeholders state their requirements in terms of design, often unintentionally. There are requirement best practices for correcting this problem and for giving the designers the latitude to define the best solution.

The Specification Document reflects a possible implementation design and provides directions to the builders and coders of the product. Through this document, designers communicate the design for the product to which the builders or coders must comply.

164 The Design Specification shall state how the design will meet the requirements. Design is not a one-to-  
165 one response to requirements. Design requires discipline knowledge and integration of disciplines in most  
166 cases. Design will be concerned with trade-offs – between different approaches to meet the requirements and  
167 concerning issues such as build or buy. The Design Specification will contain information about the product  
168 architecture and describe how each component will contribute to meeting the requirements.

169 *[extracted and modified from <https://reqexperts.com/resources/requirements-articles/articles-what-is-the-difference/>]*  
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# 1 Introduction

This chapter should summarise very briefly in a first section the scope of the document and of the system/sub-system/component being specified, referring at most to the TDR only as a conceptual design, identifying its main functions and elements, its stakeholders, and the associated user requirement document, which should also be listed in Section 1.4.

It should also contain sections with conventions and glossary

## 1.1 Purpose and Scope of Document

This document describes the specifications for the TDAQ system, deriving from the overall DUNE physics and far detector modules requirements.

## 1.2 Document Overview

The document is organised into five chapters. In chapter 1, the glossary and relevant external documents are listed. In chapter 2 an overview of the TDAQ system is given, summarising the main requirements and constraints, outlining the external system interfaces and presenting the different TDAQ components. Chapter 3 contains the detailed functional description and specification for each TDAQ component. Chapters 4 and 5 describe the TDAQ development model and the means by which the system is tested and validated.

## 1.3 Conventions and Glossary

**one-pulse-per-second signal (1PPS signal)** An electrical signal with a fast rise time and that arrives in real time with a precise period of one second

**analog-to-digital converter (ADC)** A sampling of a voltage resulting in a discrete integer count corresponding in some way to the input

**advanced mezzanine card (AMC)** Holds digitizing electronics and lives in Micro Telecommunications Computing Architecture ( $\mu$ TCA) crates

**anode plane assembly (APA)** A unit of the FD1-HD detector module containing the elements sensitive to ionization in the liquid argon (LAr). It contains two faces each of three planes of wires, and interfaces to the cold electronics and photon detection system

**ASIC** application-specific integrated circuit

**baryon number** A quantity expressing the total number of baryons in a system minus the number of antibaryons

**baryon-number violating (BNV)** Describing an interaction where baryon number is not conserved

**DAQ control, configuration and monitoring subsystem (CCM)** A system for controlling, configuring and monitoring other systems in particular those that make up the data acquisition (DAQ) where the CCM encompasses RC

**cold electronics (CE)** Analog and digital readout electronics that operate at cryogenic temperatures

- 204 **conventional facilities (CF)** Pertaining to construction and operation of buildings and conventional infras-  
205 tructure, and for the [LBNF and DUNE enterprise \(LBNF/DUNE\)](#), CF includes the excavation caverns
- 206 **cluster on board (COB)** An ATCA motherboard housing four RCEs
- 207 **commercial off-the-shelf (COTS)** Items, typically hardware such as computers, that may be purchased  
208 whole, without any custom design or fabrication and thus at normal consumer prices and availability
- 209 **charge-parity symmetry violation (CPV)** Lack of symmetry in a system before and after charge and parity  
210 transformations are applied. For CP symmetry to hold, a particle turns into its corresponding antiparticle  
211 under a charge transformation, and a parity transformation inverts its space coordinates, i.e. produces  
212 the mirror image
- 213 **charge readout (CRO)** The system for detecting ionization charge distributions in a detector module
- 214 **charge-readout plane (CRP)** An anode technology using perforated [PCB-based charge readout \(CRO\)](#) with  
215 projecting electrodes immersed in [LAr](#)
- 216 **data acquisition (DAQ)** The data acquisition system accepts data from the detector [front-end \(FE\)](#) electron-  
217 ics, buffers the data, performs a [trigger decision](#), builds events from the selected data and delivers the  
218 result to the offline [secondary DAQ buffer](#)
- 219 **DAQ front-end computer (DAQ FEC)** The portion of one [DAQ partition](#) that hosts the [DAQ data receiver](#)  
220 ([DDR](#)), [DAQ primary buffer](#) and [data selector](#). It hosts the [DAQ front-end readout \(FER\)](#) and corre-  
221 sponding portion of the [DAQ primary buffer](#)
- 222 **DAQ front-end fragment** The portion of one [DAQ partition](#) relating to a single [DAQ front-end computer \(DAQ](#)  
223 [FEC\)](#) and corresponding to an integral number of [detector units](#). See also [data fragment](#)
- 224 **DAQ partition** A cohesive and coherent collection of [DAQ hardware](#) and software working together to trigger  
225 and read out some portion of one detector module; it consists of an integral number of [DAQ front-end](#)  
226 [fragments](#). Multiple [DAQ partitions](#) may operate simultaneously, but each instance operates independ-  
227 ently
- 228 **DAQ readout subsystem (DAQ RO)** The subsystem of the [DAQ](#) for accepting and buffering data input from  
229 detector electronics
- 230 **DAQ trigger subsystem (DAQ TS)** The subsystem of the [DAQ](#) responsible for forming a trigger decision
- 231 **data fragment** A block of data read out from a single [DAQ front-end fragment](#) that span a contiguous period  
232 of time as requested by a [trigger command](#)
- 233 **data selection** The process of forming a trigger decision for selecting a subset of detector data for output by  
234 the [DAQ](#) from the content of the detector data itself. Not to be confused with [data selector](#)
- 235 **data selector** The portion of the [DAQ front-end fragment](#) that accepts [trigger commands](#) and returns the  
236 corresponding [data fragment](#). Not to be confused with [data selection](#)
- 237 **detector unit** A portion of a [far detector module](#) may be further partitioned into a number of similar parts. For  
238 example, the [FD1-HD time projection chamber \(TPC\)](#) is made up of [anode plane assembly \(APA\)](#) units  
239 (and other elements)
- 240 **DP module** dual-phase DUNE [far detector \(FD\)](#) module
- 241 **data quality monitoring (DQM)** Analysis of the raw data to monitor the integrity of the data and the perfor-  
242 mance of the detectors and their electronics. This type of monitoring may be performed in real time,  
243 within the [DAQ](#) system, or in later stages of processing, using disk files as input
- 244 **Deep Underground Neutrino Experiment (DUNE)** A leading-edge, international experiment for neutrino sci-  
245 ence and proton decay studies; refers to the entire international experiment and collaboration

- 246 **DUNE timing and synchronization subsystem (DUNE DTS)** The portion of the [DAQ](#) that provides for tim-  
247 ing and synchronization to various detector systems
- 248 **external trigger interface (ETI)** Interface between [module trigger logics \(MTLs\)](#) and external source and  
249 sinks of relevant trigger information
- 250 **external trigger logic (ETL)** Trigger processing that consumes [far detector module](#) level [trigger notification](#)  
251 information and other global sources of trigger input and emits [trigger command](#) information back to the  
252 [MTLs](#)
- 253 **external trigger candidate** Information provided to the [MTL](#) about events external to a [far detector module](#)  
254 so that it may be considered in forming [trigger commands](#)
- 255 **far detector module** The entire DUNE far detector is segmented into four modules, each with a nominal  
256 10 kt fiducial mass
- 257 **far detector (FD)** The 70 kt total (40 kt fiducial) mass [liquid argon time-projection chamber \(LArTPC\)](#) DUNE  
258 detector, composed of four 17.5 kt total (10 kt fiducial) mass modules, to be installed at the far site at  
259 [Sanford Underground Research Facility \(SURF\)](#) in Lead, SD, USA
- 260 **horizontal drift technology (FD1-HD)** LArTPC design in which electrons drift horizontally to wire plane an-  
261 odes ([anode plane assemblies](#)) that along with the front-end electronics are immersed in LAr
- 262 **far detector module 2 (FD2)** The second far detector module to be built at [SURF](#)
- 263 **vertical drift technology (FD2-VD)** LArTPC design in which electrons drift vertically to PCB-based anodes  
264 at the top and bottom of the LAr volume, with a cathode in the middle
- 265 **front-end (FE)** The front-end refers to a point that is “upstream” of the data flow for a particular subsystem.  
266 For example the [FD1-HD](#) front-end electronics is where the cold electronics meet the sense wires of the  
267 TPC and the front-end [DAQ](#) is where the [DAQ](#) meets the output of the electronics
- 268 **front-end mother board (FEMB)** Refers a unit of the [single-phase \(SP\) cold electronics \(CE\)](#) that contains  
269 the [FE](#) amplifier and [analog-to-digital converter \(ADC\) ASICs](#) covering 128 channels
- 270 **Fermi National Accelerator Laboratory (Fermilab)** U.S. national laboratory in Batavia, IL. It is the labora-  
271 tory that hosts [Deep Underground Neutrino Experiment \(DUNE\)](#) and serves as its near site
- 272 **field programmable gate array (FPGA)** An integrated circuit technology that allows the hardware to be re-  
273 configured to execute different algorithms after its manufacture and deployment
- 274 **FS** (1) The far site, [SURF](#), where the DUNE far detector is located; (2) “Full stream” relates to a data stream  
275 that has not undergone selection, compression or other form of reduction
- 276 **Far Site Conventional Facilities (FSCF)** The [conventional facilities \(CF\)](#) at the DUNE far detector site, [SURF](#),  
277 including all detector caverns and support infrastructure
- 278 **Global Positioning System (GPS)** A satellite-based system that provides a highly accurate [one-pulse-per-](#)  
279 [second signal \(1PPS signal\)](#) that may be used to synchronize clocks and determine location
- 280 **liquid argon (LAr)** Argon in its liquid phase; it is a cryogenic liquid with a boiling point of 87 K and density of  
281 1.4 g/ml
- 282 **liquid argon time-projection chamber (LArTPC)** A [TPC](#) filled with liquid argon; the basis for the [DUNE FD](#)  
283 modules
- 284 **Long-Baseline Neutrino Facility (LBNF)** Long-Baseline Neutrino Facility; refers to the facilities that support  
285 the experiment including in-kind contributions under the line-item project. LBNF is responsible for de-  
286 veloping the neutrino beam, the far site cryostats and far and near site cryogenics systems, and the  
287 conventional facilities for [DUNE](#)

- 288 **LBNF and DUNE enterprise (LBNF/DUNE)** Long-Baseline Neutrino Facility/Deep Underground Neutrino Ex-  
289 periment; refers to the enterprise or program including [LBNF/DUNE-US](#) and [DUNE](#)
- 290 **main communications room (MCR)** Space at the [FD](#) site for cyber infrastructure
- 291 **module trigger logic (MTL)** Trigger processing that consumes [detector unit](#) level [trigger command](#) informa-  
292 tion and emits [trigger commands](#). It provides the [external trigger logic \(ETL\)](#) with [trigger notifications](#)  
293 and receives back any [external trigger candidates](#)
- 294 **PCB** printed circuit board
- 295 **photon detector (PD)** The detector elements involved in measurement of the number and arrival times of  
296 optical photons produced in a detector module
- 297 **photon detection system (PDS)** The detector subsystem sensitive to light produced in the [LAR](#)
- 298 **RC** Depending on context, one of (1) resistive-capacitive (circuit), (2) run control, the system for configuring,  
299 starting and terminating the [DAQ](#), or (3) resource coordinator, a member of the [DUNE](#) management  
300 team responsible for coordinating the financial resources of the project
- 301 **reconfigurable computing element (RCE)** Data processor located outside of the cryostat on a [cluster on](#)  
302 [board \(COB\)](#) that contains [field programmable gate array \(FPGA\)](#), RAM and [solid-state disk \(SSD\)](#)  
303 resources, responsible for buffering data, producing trigger primitives, responding to triggered requests  
304 for data and synching [supernova neutrino burst \(SNB\)](#) dumps
- 305 **readout window** A fixed, atomic and continuous period of time over which data from a [far detector module](#),  
306 in whole or in part, is recorded. This period may differ based on the trigger that initiated the readout
- 307 **signal-to-noise (S/N)** signal-to-noise ratio
- 308 **secondary DAQ buffer** A secondary [DAQ](#) buffer holds a small subset of the full rate as selected by a [trigger](#)  
309 [command](#). This buffer also marks the interface with the DUNE Offline
- 310 **supernova neutrino burst (SNB)** A prompt increase in the flux of low-energy neutrinos emitted in the first  
311 few seconds of a core-collapse supernova. It can also refer to a trigger command type that may be due  
312 to this phenomenon, or detector conditions that mimic its interaction signature
- 313 **SuperNova Early Warning System (SNEWS)** A global supernova neutrino burst trigger formed by a coinci-  
314 dence of [SNB](#) triggers collected from participating experiments
- 315 **single-phase (SP)** Distinguishes a LArTPC technology by the fact that it operates using argon in its liquid  
316 phase only
- 317 **solid-state disk (SSD)** Any storage device that may provide sufficient write throughput to receive, both col-  
318 lectively and distributed, the sustained full rate of data from a [far detector module](#) for many seconds
- 319 **Sanford Underground Research Facility (SURF)** The laboratory in South Dakota where the [DUNE FD](#) will  
320 be installed and operated; also where the [Long-Baseline Neutrino Facility \(LBNF\) Far Site Conventional](#)  
321 [Facilities \(FSCF\)](#) and the [FS](#) cryostat and cryogenic systems will be constructed
- 322 **TAI** International Atomic Time
- 323 **Micro Telecommunications Computing Architecture ( $\mu$ TCA)** The computer architecture specification fol-  
324 lowed by the crates that house charge and light readout electronics in the [DP module](#)
- 325 **TDAQ** trigger and DAQ system
- 326 **TDR** Depending on context, either “technical design report,” a formal project document that describes the  
327 experiment at a technical level, or “technical design review,” a formal review of the technical design of  
328 the experiment or of a component

- 329 **time projection chamber (TPC)** A type of particle detector that uses an E field together with a sensitive  
 330 volume of gas or liquid, e.g., [LAr](#), to perform a 3D reconstruction of a particle trajectory or interaction.  
 331 The activity is recorded by digitizing the waveforms of current induced on the anode as the distribution  
 332 of ionization charge passes by or is collected on the electrode (TPC is also used for “total project cost”)
- 333 **trigger candidate** Summary information derived from the full data stream and representing a contribution  
 334 toward forming a [trigger decision](#)
- 335 **trigger command** Information derived from one or more [trigger candidates](#) that directs elements of the [far](#)  
 336 [detector module](#) to read out a portion of the data stream
- 337 **trigger decision** The process by which [trigger candidates](#) are converted into [trigger commands](#)
- 338 **trigger notification** Information provided by [MTL](#) to [ETL](#) about [trigger decision](#) processing
- 339 **trigger primitive** Information derived by the [DAQ FE](#) hardware that describes a region of space (e.g., one  
 340 or several neighboring channels) and time (e.g., a contiguous set of [ADC](#) sample ticks) associated with  
 341 some activity
- 342 **warm interface board (WIB)** Digital electronics situated just outside a FD cryostat that receives digital data  
 343 from the [front-end mother boards \(FEMBs\)](#) (part of [CE](#)) over cold copper connections and sends it to  
 344 the [reconfigurable computing element \(RCE\) FE](#) readout hardware
- 345 **White Rabbit (WR)** A component of the timing system that forwards clock signal and time-of-day reference  
 346 data to the master timing unit

## 347 1.4 Related Documents

348 In this section all interface documents with external systems are listed, for reference.

349 *JT COMP and JT DAQ/SC Consortium Interface Document.* URL: [https://edms.cern.ch/document/](https://edms.cern.ch/document/2145151/3)  
 350 [2145151/3](https://edms.cern.ch/document/2145151/3).

351 *JT DAQ/SC and JT CAL/GI Consortia Interface Document.* URL: [https://edms.cern.ch/document/](https://edms.cern.ch/document/2088741/3)  
 352 [2088741/3](https://edms.cern.ch/document/2088741/3).

353 *SP PDS and JT DAQ/SC Consortia Interface Documents.* URL: [https://edms.cern.ch/document/](https://edms.cern.ch/document/2088726/3)  
 354 [2088726/3](https://edms.cern.ch/document/2088726/3).

355 *SP TPC and JT DAQ/SC Consortia Interface Document.* URL: [https://edms.cern.ch/document/](https://edms.cern.ch/document/2088713/4)  
 356 [2088713/4](https://edms.cern.ch/document/2088713/4).

## 2 DUNE Far Detector TDAQ description

The trigger and DAQ (TDAQ) system is responsible for receiving, processing, and recording data from the DUNE experiment. This system:

- provides timing and synchronization to the detector electronics and calibration devices;
- receives and buffers data streaming from the TPC and the photon detection system (PDS);
- extracts information from the data at a local level to subsequently form trigger decisions;
- builds trigger records, defined as a collection from selected detector space-time volumes corresponding to a trigger decision;
- carries out additional data reduction and compression as needed; and
- relays trigger records to permanent storage.

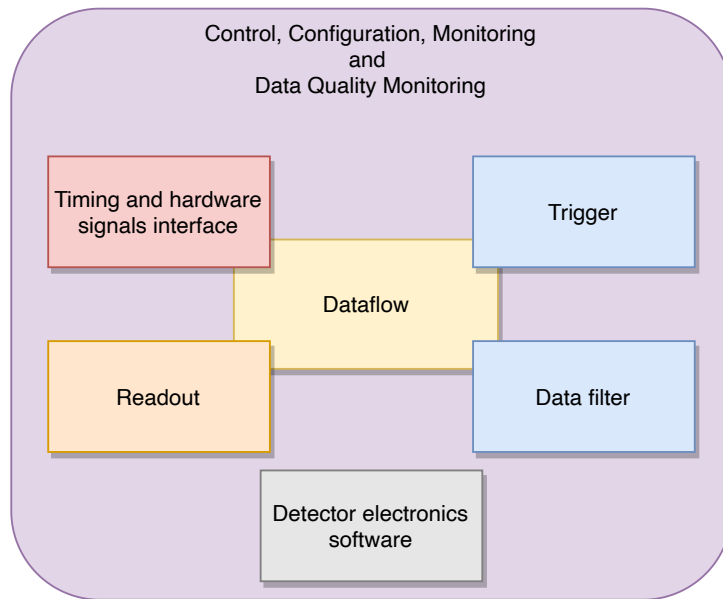
The main challenge for the DUNE TDAQ lies in the development of effective, resilient software and firmware that optimize the performance of the underlying hardware. The design is driven not only by data rate and throughput considerations, but also – and predominantly – by the stringent uptime requirements of the experiment.

The TDAQ has been subdivided into a set of subsystems. Figure 2.1 shows the different subsystems and their relationships. All subsystems rely on the functionality provided by the CCM and DQM, that is the glue of the overall TDAQ, transforming the set of components into a coherent system. The DAQ trigger subsystem (DAQ TS) and Data filter are in charge of the selection and compression of data. The Dataflow subsystem provides the communication layer to exchange data (i.e., it is used by the other subsystems intersecting it in the diagram). In addition it implements the data collection functionality, i.e., the logic for building trigger records as well as the organization of data into files. The DAQ readout subsystem (DAQ RO) receives the data streams from the TPC and PDS, processes them to extract information for the trigger and buffers data while the trigger is forming a decision. The DUNE timing and synchronization subsystem (DUNE DTS) is in charge of distributing the clock, synchronizing the far detector module, as well as timestamping hardware signals that may be used for triggering, such as calibration pulses. The Detector electronics software is not part of the TDAQ responsibility: it is shown in the diagram to illustrate the fact that detector experts will develop the software to configure, control, and monitor the electronics using the tools provided by the CCM subsystem.

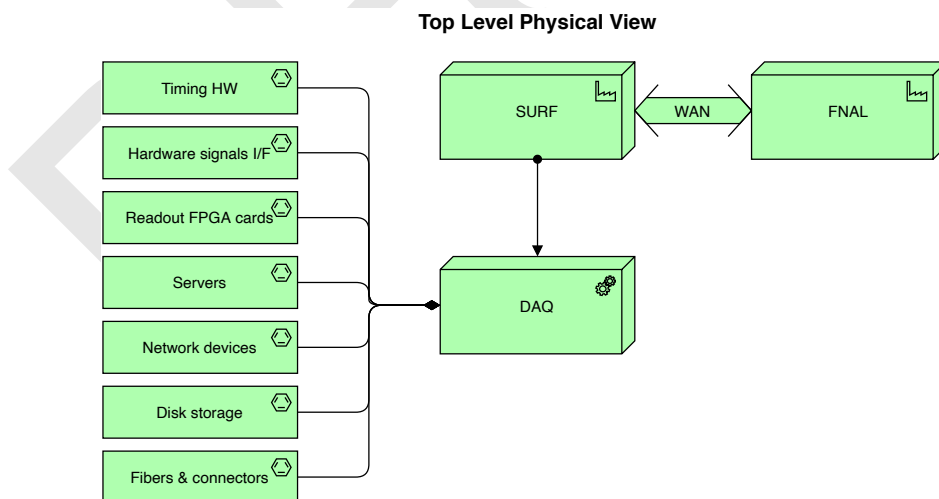
The TDAQ is mainly composed of commercial off-the-shelf (COTS) components, as shown in Figure 2.2. A high performance Ethernet network interconnects all the elements and allows them to operate as a single, distributed system. At the output of the TDAQ the high-bandwidth Wide Area Network (WAN) allows the transfer of data from the SURF to Fermi National Accelerator Laboratory (Fermilab).

The TDAQ for the whole of DUNE FD is designed and developed coherently by a joint team. The TDAQ systems for the different FD modules differ only in minor details so as to support the electronics and the data selection criteria for each.

In particular, the TDAQ systems for the FD1-HD and FD2-VD detector modules will be very similar, with customization for the anode planes and the photon detector (PD) electronics readout, as well as for the data selection algorithms, which will be tuned to the modules' geometry. The main TDAQ features for the FD are described in the DUNE FD TDR [1, 2].



**Figure 2.1:** Diagram showing the relationships between TDAQ subsystems. It also shows, in grey, the detector electronics software, which is not part of TDAQ but will be developed fully embedded into the CCM environment.



**Figure 2.2:** Physical view diagram of the TDAQ system. At SURF, the hardware components are distributed across the detector caverns and the surface DAQ room. The TDAQ data are transferred to Fermilab over a Wide Area Network (WAN) connection.

## 2.1 Requirements summary

The specifications for the TDAQ deriving from higher-level requirements [4] are listed in Table 2.1. These specifications can be viewed as falling into four categories:

- Synchronization:
  - The timing system shall provide a common timestamp to all FD detector systems.
  - It shall be able to align the timestamp across FD systems, the TPC and PDS, within one FD to better than 10 ns at all times.
  - It shall be able to distribute synchronization, calibration, and control commands to FD systems.
- Data selection:
  - The FD shall be > 90% efficient for any interaction that leaves > 100 MeV of visible ionization energy inside the fiducial volume.
  - The DAQ TS shall be capable of recognizing a SNB from a nearby supernova based on a threshold of more than 60 interactions within 10 seconds, with neutrino energy deposition above 10 MeV each, with an efficiency >95%.
  - The FD shall have high efficiency for any interaction leaving < 100 MeV of visible ionization energy inside the fiducial volume, and for single interactions with visible energy deposit > 10 MeV. The lowest-energy of these may be pre-scaled.
- Data throughput:
  - The TDAQ shall be able to receive digitized data from the detector electronics and buffer them for up to 10 seconds awaiting a trigger decision.
  - It shall be able to store data for up to one week without interrupting the DAQ, in case of delays in the data transfer from SURF to Fermilab.
  - It shall provide enough disk I/O capacity for driving the WAN link at 100 Gb/s.
  - It should be able to transfer SNB trigger records (140 TB (FD1-HD) and 180 TB (FD2-VD)) from the detector caverns to the DAQ components on the surface within one hour ( $\approx 700$  Gb/s).
- Uptime: Each FD module shall have an uptime of at least 95%, and the FD as a whole shall have an uptime of at least 98%, during which at least one module is operational. Since a few days per year of downtime for infrastructure maintenance cannot be avoided, and individual hardware failures (electronics, servers, disks, ...) may occur, this translates into a very strong requirement for the TDAQ. The TDAQ shall operate continuously, dynamically adjust to changing conditions, tolerate faults, and recover from errors autonomously.

The electronics parameters essential for dimensioning the TDAQ system are shown in Tables 2.2 and 2.3. The indicative number of TDAQ components for the each far detector module are shown in Tables 2.2 and 2.3. The individual TDAQ component counts for the FD1-HD and FD2-VD are shown in Tables 2.4 and 2.5.

## 2.2 Description of the DUNE Far Detector DAQ

The FD TDAQ system is physically located at the FD site, SURF. It uses space and power in each underground detector cavern (on top of the cryogenics mezzanine), and above-ground, in the main communications room (MCR) within the Ross Dry building. The upstream part of the system, responsible for raw detector data reception, buffering, pre-processing, and triggering, resides underground. The back-end, which is responsible for trigger-records-building, data storage, and data filtering, resides on the surface. Some elements of the TDAQ span both locations, i.e., the timing, control, configuration, and monitoring systems (DUNE DTS and CCM). The inter-connectivity between all TDAQ elements is provided through a distributed and redundant



**Table 2.1:** TDAQ specifications.

Label	Description	Specification (Goal)	Rationale	Validation
SP-FD-22	Data rate to tape	< 30 PB/year	Cost. Bandwidth.	ProtoDUNE
SP-FD-23	Supernova trigger	Efficiency for a SNB producing at least 60 interactions with a $\nu$ energy > 10 MeV in 12 kt of active detector mass during the first 10 s of the burst.	> 95% efficiency for SNB within 20 kpc	Simulation and bench tests
SP-DAQ-1	DAQ readout throughput: The DAQ shall be able to accept the continuous data stream from the TPC and Photon detectors.	1.4 (FD1-HD), 1.8 TB/s (FD2-VD) per single phase detector module	Specification from TPC and PDS electronics	Modular test on ProtoDUNE; overall throughput scales linearly with number of APAs
SP-DAQ-2	DAQ storage throughput: The DAQ shall be able to store selected data at an average throughput of 10 Gb/s, with temporary peak throughput of 100 Gb/s.	10 Gb/s average storage throughput; 100 Gb/s peak temporary storage throughput aggregated over all detector modules	Average throughput estimated from physics and calibration requirements; peak throughput allowing for fast storage of SNB data ( $\sim 10^4$ seconds).	ProtoDUNE demonstrated steady storage at $\sim 40$ Gb/s for a storage volume of 700 TB. Laboratory tests will allow to demonstrate the performance reach.
SP-DAQ-3	DAQ readout window: The DAQ shall support storing triggered data with a variable size readout window, from few $\mu$ s (calibration) to 100 s (SNB), with a typical readout window for triggered interactions of 2.6 (FD1-HD) and 4.25 ms (FD2-VD) respectively.	$10 \mu$ s < readout window < 100 s	Storage of the complete dataset for up to 100 s is required by the SNB physics studies; the typical readout window is defined by the drift time in the detector; calibration triggers can be configured to readout data much shorter time intervals.	Implementation techniques to be validated on the ProtoDUNE setup and in test labs.
SP-DAQ-4	Calibration trigger: The DAQ shall provide the means to distribute time-synchronous commands to the calibration systems, in order to fire them, at a configurable rate and sequence and		Calibration is essential to attain required detector performance comprehension.	Techniques for doing this have been run successfully in MicroBooNE and

**Table 2.2:** Summary of FD1-HD detector parameters driving the DAQ design.

Parameter	Value
TPC channels	384000
TPC channel count per APA	2560
TPC electronics 10 G links	1500
TPC ADC sampling rate	1.953125 MHz
TPC ADC dynamic range	14 bits
PDS channels	??
PDS electronics 4.8 G links	150
Max localized event record window (1 drift length)	2.6 ms
Extended event record window	100 s
Representative size of TPC localized event record (uncompressed, full detector for 1 drift length)	2.5 GB
Full size of TPC extended event record (uncompressed)	140 TB

**Table 2.3:** Summary of FD2-VD detector parameters driving the DAQ design.

Parameter	Value
TPC channels	491520
TPC channel count per CRP	3072
TPC top electronics 40 G links	320
TPC bottom electronics 10 G links	960
TPC ADC sampling rate	1.953125 MHz
TPC ADC dynamic range	14 bits
PDS channels	1280
Max localized event record window (1 drift length)	4.25 ms
Extended event record window	100 s
Representative size of TPC localized event record (uncompressed, full detector for 1 drift length)	8 GB
Full size of TPC extended event record (uncompressed)	180 TB

**Table 2.4:** TDAQ component counts for [FD1-HD](#). All servers are interconnected via 10/100 G Ethernet network.

Parameter	Count
Clock speed	62.5 MHz
Timing endpoints	≈ 300
TPC readout cards	150
PDs readout cards	15
Readout servers	85
Trigger servers	20
Data collection, storage servers	15
Data filter servers	20
TDAQ control and monitoring servers	25

**Table 2.5:** TDAQ component counts for [FD2-VD](#). All servers are interconnected via 10/100 G Ethernet network.

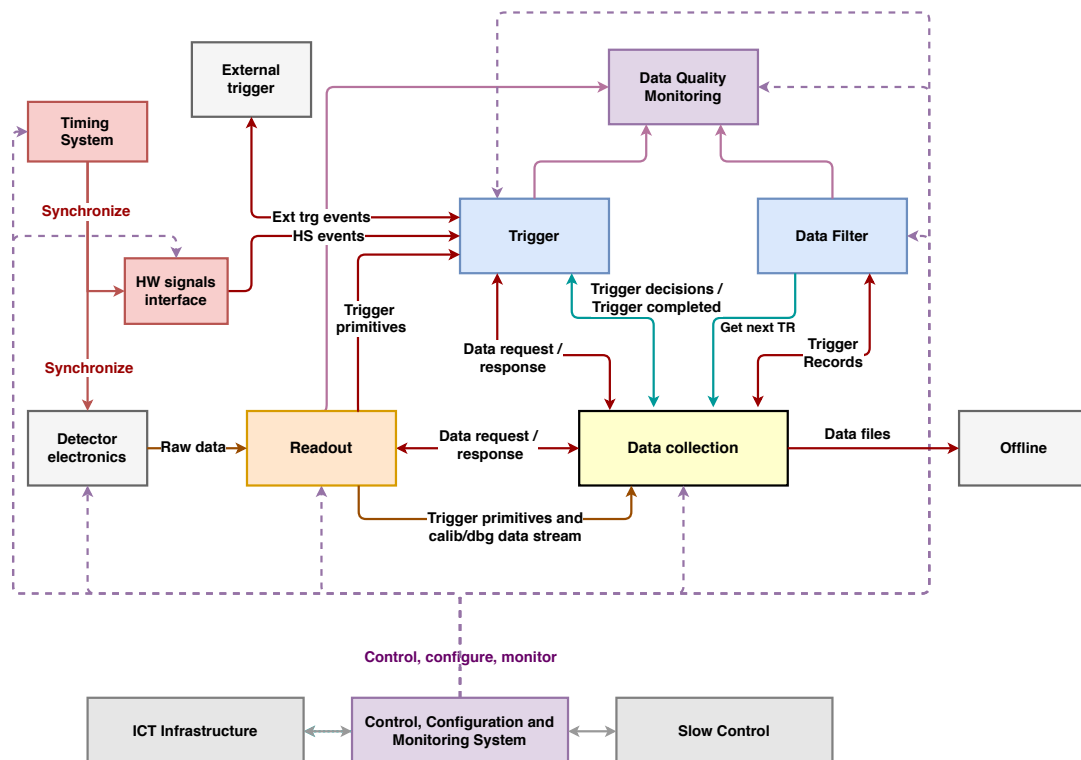
Parameter	Count
Clock speed	62.5 MHz
Timing endpoints	??
TPC readout cards	80+80?
PDs readout cards	40?
Readout servers	120
Trigger servers	20
Data collection, storage servers	15
Data filter servers	20
TDAQ control and monitoring servers	25

438 10/100 G Ethernet network. Data flow through the DAQ from upstream to the back-end and then offline. Most  
 439 raw data are processed and buffered underground, thus controlling consumption of available data bandwidth  
 440 to the surface.

441 All the detector modules and their subsystems are synchronized and timed against a common global  
 442 clock, provided by the DUNE DTS. Cross-module communication and communication to the outside world for  
 443 data selection (trigger) purposes is facilitated through an external trigger interface (ETI).

444 The TDAQ system must acquire data from the both the TPC and the PDS in order to satisfy the re-  
 445 quirements placed on it that derive from the experiment's three main physics objectives: (1) measuring neu-  
 446 trino charge-parity symmetry violation (CPV) and related long-baseline oscillation parameters using the high-  
 447 intensity neutrino beam from Fermilab; (2) measuring atmospheric neutrinos and searches for rare processes  
 448 such as baryon-number violating (BNV) decays; and (3) detecting neutrinos from a nearby SNB. Ionization  
 449 charge measurement by the TPC for any given activity in the FD requires a nominal recording of data over a  
 450 time window determined by the drift speed of the ionization electrons in LAr and the detector dimension along  
 451 the drift direction (6.5 m). Given a target drift E field of 450 V/cm, the time window is set to 4.25 ms. The  
 452 activity associated with beam, cosmic rays, and atmospheric neutrinos is localized in space and particularly  
 453 in time; SNB neutrinos are associated with activity that extends over the entirety of the detector and lasts  
 454 between 10 and 100 s.

455 The detector data flow from the electronics output links, on top of the cryostat, through the TDAQ system to  
 456 permanent storage at Fermilab. Two stages of data selection allow reduction of the overall data volume from  
 457  $\approx 4.7$  PB/year produced by the FD2-VD module to  $\approx 30$  PB/year for all FD modules: triggering the collection of  
 458 data only for interesting detector regions and time windows, and applying data compression algorithms. Data  
 459 selection strategies are defined in close collaboration with the DUNE physics groups, the offline processing  
 460 team, and the detector subsystem and calibration experts.



**Figure 2.3:** Conceptual overview of TDAQ system functionality for a single FD module. External systems are depicted in grey while the TDAQ subsystems are represented using the same color scheme as in Figure 2.1. The external interfaces are described in Section 2.3 while the flow of data and messages is described in Section 2.2. Acronyms used in the figure: HW=hardware, HS=hardware signal, TR=trigger record, ICT=information and communication technologies

461 Figure 2.3 depicts the flow of data within the TDAQ system, left to right. Raw data produced by the  
462 detector electronics is streamed into the readout (DAQ RO). Here data are processed to produce trigger  
463 primitive information, and stored for up to 10 s. The trigger subsystem (DAQ TS) receives trigger primitives,  
464 timestamped hardware signal events, and external trigger events, and combines this information to form a  
465 trigger decision. Trigger decision messages containing a list of time windows and detector locations are  
466 forwarded to the data collection component; data collection is in charge of requesting the relevant data from  
467 the DAQ RO and the DAQ TS, forming trigger records, and storing them to disk. Trigger records are dispatched  
468 by data collection to the data filter for further data reduction. The data filter returns the modified trigger records  
469 to the data collection component for both storage and transfer to the offline computing system at Fermilab.  
470 The whole DAQ process is orchestrated by the CCM; the quality of the raw data is continuously monitored by  
471 the DQM (Section 2.4.6).

472 In the following sections the different TDAQ components shown in Figure 2.3 and the external TDAQ  
473 interfaces are described in more detail.

## 474 2.3 External DAQ Interfaces

475 The overview diagram in Figure 2.3 shows the main external interfaces of the TDAQ, with the external systems  
476 depicted in grey.

477 The DUNE DTS (Section 2.4.1) distributes the clock and unique timestamp to the detector electronics,  
478 including any calibration devices.

479 The hardware signals interface injects timestamped hardware signals, e.g., from calibration systems, into  
480 the TDAQ data selection chain.

481 The DAQ RO (Section 2.4.2) receives raw data from the various detector electronics devices.

482 The DAQ TS (Section 2.4.3) receives external timestamped trigger messages (e.g., from other FD modules  
483 or the SuperNova Early Warning System (SNEWS)) to initiate data collection irrespective of any activity inside  
484 the module.

485 The data collection (Section 2.4.4) interfaces to the offline computing, for the purpose of transferring raw  
486 data and metadata for permanent storage.

487 The CCM (Section 2.4.5) interfaces with detectors and calibration devices, providing the software suite that  
488 allows these components to be controlled, configured and monitored by the TDAQ. The CCM also exchanges  
489 data with the slow control system and the Information and Communication Technologies (ICT) infrastructure  
490 monitoring system.

## 491 2.4 DUNE Far Detector DAQ components

### 492 2.4.1 Timing and Clock Distribution

493 The DUNE timing and synchronization subsystem (DUNE DTS) provides services to allow the accurate syn-  
494 chronization of sampling and data processing for all elements of the FD. The primary function is the distribution  
495 of electrical and optical signals to FE electronics, such that every data sample may be tagged with a 64-bit  
496 timestamp. These DUNE DTS timestamps, which are unique across the lifetime of the experiment, are used  
497 for data processing and event building within the TDAQ, and are included within the recorded data sample  
498 to support event reconstruction within and across detector modules, and the correlation of DUNE data with  
499 external events (e.g., beam spills or astronomical observations).

500 All DUNE electronics is synchronized to a common clock, derived from a single Global Positioning System  
501 (GPS)-disciplined source. Individual detector channels are synchronized to within a fraction of the 8 ns times-  
502 tamp granularity. Due to the extended physical size of the DUNE detectors, consistency of timing alignment  
503 is ensured by both hardware- and software-based feedback loops, calibrating propagation delays on the tim-  
504 ing links. The requirement on the absolute accuracy of timestamps with respect to TAI is one microsecond,  
505 though in practice is expected to be much better.

**Table 2.6:** TDAQ components external interfaces.

TDAQ Component	Interface description
Timing	put short interface descr.
Readout - TPC cold electronics	9.6 G point-to-point links using FELIX FULLMODE 8/10 bit encoded protocol
Readout - FD2 top TPC electronics	100G switched ethernet using UDP protocol
Readout - PDS	4.8 G point-to-point links using FELIX FULLMODE 8/10 bit encoded protocol
Trigger - external trigger sources	Ethernet input of timestamped signals from calibration devices, other FD modules or SNEWS
Data collection - offline computing	WAN ethernet connection for transfer of HDF5 raw data files.
CCM - offline computing	databases with the archive of run configurations and conditions
CCM - slow control	Ethernet based inter process communication to exchange status information
CCM - detectors software	Software libraries and tools for the implementation of the control, configuration and monitoring of the detector electronics

506 The top drift FE digital electronics has an embedded timing distribution system, based on the White Rabbit  
 507 (WR) standard, which, starting from common GPS-derived timing signals, distributes the synchronization  
 508 signals and the DUNE DTS timestamps to the digitization units in the  $\mu$ TCA crates (Section ??). This system  
 509 also performs an automatic compensation of the propagation delays so that all the end-node digitization units  
 510 are aligned to the 1PPS signal coming from the GPS system with sub-ns accuracy.

511 The bottom drift electronics, and the PDS, use a similar timing distribution system to the FD1-HD module.  
 512 This relies upon a passive optical fan-out/fan-in of timing signals to several hundred instances of FE electron-  
 513 ics. This system also implements an automatic compensation of propagation delays, based on measurement  
 514 of round-trip time.

515  
 516 The consistent timing synchronization of all detector channels is continuously monitored and checked at  
 517 multiple levels: within the timing distribution systems themselves; within the DAQ system against calibration  
 518 signals; and offline against physics signals. As critical infrastructure for data-taking, the timing distribution  
 519 systems are designed for robustness and fault-tolerance. All electronic components of the system for which  
 520 failure would affect a large detector volume have redundant components contained in separate crates with  
 521 redundant power and network links. Two independent GPS systems are used, with antennae at the Ross and  
 522 Yates shaft entrances respectively, and are able to operate in a hot-spare configuration.

## 523 2.4.2 Readout

524 The DAQ readout subsystem (DAQ RO) is responsible for receiving data from the electronics. It interfaces with  
 525 the top and bottom drift TPC electronics and the PDS electronics via optical fibers that connect the detector  
 526 electronics on the cryostat roof to the DAQ FE readout units on the cryogenics mezzanine, inside the DAQ  
 527 barrack.

528 Each top-drift electronics CRO  $\mu$ TCA crate aggregates raw data from 10 advanced mezzanine cards

529 (AMCs) into a 40 Gbit/s optical link to the DAQ, for a total of 640 charge-readout plane (CRP) channels per  
 530 crate, and of 400 links to the DAQ FE readout. The typical effective throughput of the data link is expected to  
 531 be around 17 Gbit/s.

532 The interface between the DAQ and the bottom drift volume electronics is formed by the optical links from  
 533 the warm interface boards (WIBs), which transfer the raw TPC data to the DAQ FE readout. A total of 1040  
 534 10 Gbit/s links is expected; the typical effective throughput of a data link is around 7 Gbit/s.

535 The DAQ FE readout units store the raw data stream from detectors in a circular memory buffer for at  
 536 least 10 s, and perform a first stage of preprocessing. Readout units respond to data requests from the event  
 537 builder by providing the data fragments corresponding to the selected readout window from the temporary  
 538 memory buffers. In the event an SNB trigger firing, the readout units will stream the content of the temporary  
 539 memory buffer to local storage for a total duration of 100 second. Afterwards, each readout unit makes the  
 540 stored SNB record available to the data collection system for transfer to the surface storage.

541 Readout units perform the first stage of trigger processing on incoming data to generate local elementary  
 542 trigger information (see trigger primitives in 2.4.3), to minimize the raw data transfer over network. The trigger  
 543 primitives are streamed to both the DAQ TS for further processing, and to data collection for semi-permanent  
 544 storage.

545 In addition to handling the main data path, DAQ FE readout provides extra functions to support debugging,  
 546 calibration and quality assessment, specifically it:

- 547 • supports the bottom-drift CE calibration with a dedicated raw data stream during calibration cycles;
- 548 • generates a reduced raw data stream for a configurable subset of channels, on demand, for debugging  
 549 purposes; and
- 550 • samples and extracts basic DQM information.

## 551 2.4.3 Trigger and Data Filter

552 This section briefly summarizes the strategies that have been devised by the TDAQ team to maximize the  
 553 retention of interesting data while respecting the data volume that can be permanently stored long-term for  
 554 the DUNE FD (~30 PB/y). The goal is to be as inclusive as possible; at high energies (> 100 MeV) it is  
 555 important to accept all possible events with as high an efficiency and as wide a region-of-interest in channel  
 556 and time space as possible, regardless of event type. As energies approach 10 MeV, when radiologicals  
 557 (including neutron captures, <sup>42</sup>Ar, and <sup>39</sup>Ar pileup) become dominant, the system should be semi-inclusive,  
 558 leveraging the topological capabilities of the TPC data to provide some discrimination of low-energy physics  
 559 signals.

560 Above 100 MeV of visible energy deposit, the trigger rates are entirely dominated by cosmic rays, which  
 561 at DUNE's depth will be roughly 4000/day/10 ktonne. The trigger rate at this threshold from neutrino beam  
 562 events, or atmospheric, is roughly 1000 per year/10 ktonne, and thus is a negligible contribution to the overall  
 563 data volume. At low energies, around 10 MeV of visible energy, backgrounds from radiologicals will begin to  
 564 dominate. While at the scale of DUNE it is not entirely known precisely what all the low-energy background  
 565 sources are and what their rates will be, neutron captures on argon, which lead to a 6.2 MeV  $\gamma$  cascade, from  
 566 neutrons created via ( $\alpha, n$ ) processes, are expected to be in the range of 1-10 Hz, and will likely dominate until  
 567 one goes down to 3.5 MeV and hits the 1 kBq expected for <sup>42</sup>Ar and its daughter, <sup>42</sup>K.

568 As a reminder, all digitized charge collection data are sent to the readout subsystem and processed. For  
 569 each strip on a CRP layer, a hit-finding algorithm allows identification of activity above the electronic noise.  
 570 (With the expected noise of the TPC electronics, the hit-finding threshold is set such that hits will be generated  
 571 for a large fraction of the <sup>39</sup>Ar decays; the spectrum endpoint is at 0.5 MeV.) Every hit generates a so-called  
 572 trigger primitive.

573 Similarly, PDS electronics boards send waveform data for any channel that passed an internal threshold;  
 574 trigger primitives are also formed from these data.

575 The trigger primitives serve two purposes:

- 576 • They are the basic elements used to form a trigger decision in the TDAQ system.
- 577 • They are stored as unbiased (at the "event" level) summary information that can be used for trigger,  
 578 calibration, low-energy physics studies, etc.

579 To provide good sensitivity to different track topologies, each **trigger primitive** contains information such as the  
 580 time-over-threshold of the waveform, its peak, and its total charge, as well as the timestamp of the start of the  
 581 waveform. To date, our TPC trigger studies have been done using collection view only; exploitation of induction  
 582 views is under development but is not seen as needed to satisfy any of DUNE's triggering requirements.

583 Trigger primitives generated from charge collection channels and the **PDS** will be stored on disk by the  
 584 **TDAQ** system. This data set is very important for carrying out trigger studies but can also be used for calibra-  
 585 tion purposes, as well as fast data analysis. After compression and minimal clean-up, it is estimated that a  
 586 few PB/year will be sufficient to store them. It is thus an option for DUNE to not only store the **trigger primitives**  
 587 temporarily (a few months) for specific studies, but to make them part of the data that will be stored perma-  
 588 nently. This data stream is particularly interesting in view of its role in potentially extending the low-energy  
 589 physics reach of DUNE beyond its core program; it will also contain summary information for individual in-  
 590 teractions with very low visible deposited energy. Depending on the achieved **signal-to-noise (S/N)** on the  
 591 collection strips, the **trigger primitive** threshold is expected to be around 250 keV.

592 For triggering purposes, the **trigger primitives** are the basic elements used by the **TDAQ** to form a trigger  
 593 record and initiate the collection and storage of raw waveform data. The **data selection** system takes **trig-**  
 594 **ger primitives** generated locally and looks for clusters in time and space. These clusters represent what is  
 595 called "trigger activity." Clusters of trigger activity are then passed to algorithms downstream which deter-  
 596 mine whether any particular set of trigger activity clusters should be promoted to a **trigger candidate**. Trigger  
 597 candidates then are sent to **trigger decision** logic, which apply criteria that include both configuration param-  
 598 eters (e.g., which triggers are accepted in this data run) as well as dynamic decisions (e.g., does a TPC  
 599 **trigger candidate** come after an existing **PDS trigger candidate**?). The data selection work flow is shown in  
 600 Figure 2.4.

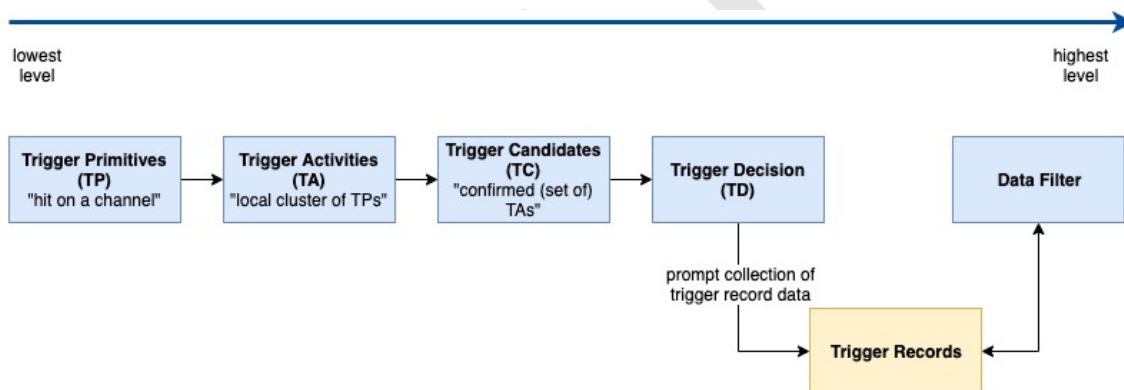


Figure 2.4: The **data selection** work flow.

601 There are two different raw data collection modes foreseen for the DUNE **FD**:

- 602 • A **trigger decision** based on trigger activity consistent with a single interaction or internal decay that  
 603 includes a list of **CRP** and/or **PD** channels to be collected and their associated time window(s). The  
 604 **TDAQ** uses this information to collect the relevant raw data from its temporary buffers, form trigger  
 605 records, and store them persistently. The data files may be further trimmed or compressed through a  
 606 data filter stage before being transmitted to **Fermilab** for permanent storage.
- 607 • When the trigger identifies several trigger activity clusters within a few seconds that are inconsistent with  
 608 the expected fluctuations from background in rate and energy, it fires a special **trigger decision** indicating  
 609 a **SNB** candidate. For the **FD2-VD** the total collected raw data from a **SNB** will be about ~180 TB of raw  
 610 data. Thus, while the effective burst threshold must be set low enough to satisfy DUNE's requirements  
 611 on **SNB** detection efficiency, it is important to not fire too frequently on background fluctuations. The  
 612 present assumption is that the trigger conditions will be adjusted such that statistical fluctuations cause  
 613 on the order of one **SNB** candidate trigger per month. It will take about one hour to transfer the data  
 614 from this trigger event from the detector caverns to the storage on surface, and several additional hours  
 615 to transfer those data to **Fermilab**. Upon inspection, fake **SNB** trigger records will be discarded.



Each trigger prompts the collection of data from the [DAQ RO](#) to form a trigger record. In the extreme case of an [SNB](#) trigger, data from the whole module is collected over a time window of 100 s. In most other cases, data from only a few [CRPs](#) and [PDs](#) will be collected over much shorter times ( $\ll 10$  ms).

The data filter acts on already-stored trigger records. It processes them with the aim of further reducing the data volume to be transferred to [Fermilab](#). The techniques considered so far for the data filter include further rejection of trigger records, trimming of the interesting detector regions and time windows within a trigger record, as well as lossless data compression.

## 2.4.4 Data Collection

The role of the data collection system is to handle the flow of data coherently from their sources to local storage, to provide inputs to data filtering, to collect the filtering result, and to interface with computing for data transfers to permanent storage. It is the only interface to the local [DAQ](#) storage at [SURF](#), and is responsible for ensuring consistency, traceability and reliable handling of the data at all times until they are moved to offline computing at [Fermilab](#).

The data collection strategy varies based on the specific readout mode: interaction triggers, [SNB](#) triggers, or streaming.

Once an interaction trigger decision is formed the data collection system gathers data fragments from the readout units into coherent trigger records. Intermediate trigger objects that contributed to the [trigger decision](#) are added to complement the information. Trigger records are stored on disks prior to being served to the data filter system for further data reduction. The data filter forms modified trigger records that the storage system saves on disk, awaiting their successful transfer to [Fermilab](#).

The [SNB](#) records require a different approach due to their size: the data collection system instructs readout units to initiate the raw streaming to local storage on the readout unit. Afterwards, it transfers [SNB](#) record fragments to the surface storage servers with high priority, compatibly with the available bandwidth and without interfering with the collection of interaction triggers, which continues in parallel. From the surface storage, the [SNB](#) record is transmitted to [Fermilab](#) computing over the 100 Gbps WAN link. To minimize the transfer time, which is expected to be a few hours, the data collection system will start transfers as soon as [SNB](#) raw data reaches the surface buffer.

The [trigger primitives](#) are continuously streamed during data taking. The data collection system is responsible for keeping a copy of the stream in the surface temporary storage for a time period of at least few months. The [trigger primitive](#) stream records will be made available on demand.

## 2.4.5 Run Control, Configuration and Monitoring

The [CCM](#) subsystem is in charge of controlling, configuring, and monitoring the [TDAQ](#) system, as well as the detector components participating in data taking. It provides a central access point for the highly distributed [TDAQ](#) components, allowing them to be treated and managed as a single coherent system though their corresponding subsystem interfaces. It is responsible for error handling and recovery, which is achieved through a robust and autonomous fault-tolerant control system. The main goal is to maximize system uptime, data-taking efficiency and data quality, taking into account that the system will encounter changes in data-taking conditions, both programmatic (e.g., calibrations) and unplanned (e.g., hardware failures or software faults).

The [CCM](#) provides an access point that delegates the user's actions, defined as any kind of human interaction, to internal function calls and procedures. It protects the direct access to detector and infrastructural resources. It also controls authentication and authorization, which limits different functionalities to certain groups and subsystems. As an example, only individuals authorized as detector experts can modify the [FE](#) configuration through the configuration interfaces, or exclude a [CRP](#) from the readout.

The control component validates, distributes and executes commands on the [TDAQ](#), and is in charge of keeping the system in a coherent state. It consists of several components, such as access manager, process manager, resource manager and run control to carry out its tasks and also implements the intelligence required to automatically maintain the system in a properly functioning state or to alert operators if any parts malfunction and cannot be automatically recovered.

665 The configuration component provides several key elements for the configuration management of the  
666 TDAQ components and detector FE electronics. It provides descriptions of system configurations, the ability  
667 to define and modify configurations, and graphical user interfaces for the human user to access the data.  
668 Data access libraries will hide the technology used for the database's implementation. The configuration  
669 component is also responsible for the archiving and bookkeeping of any used configurations.

670 Highly scalable and efficient operational monitoring is essential during data-taking periods. Any malfunc-  
671 tioning component of the experiment must be identified and reported as soon as possible. The monitoring  
672 component is intended to probe the TDAQ components, services, and resources, collect and archive the  
673 obtained status information, and provide aggregation and visualization tools.

674 The types of monitoring information vary greatly, ranging from log/error messages to metrics of different  
675 types. The monitoring infrastructure must therefore be flexible enough to seamlessly accommodate additions  
676 and modifications, and provide an aggregated view of the system behavior. The monitoring subsystem is a  
677 data source for the control subsystem that makes use of the information to automatically optimize the data  
678 taking conditions or recover from errors.

## 679 2.4.6 Data Quality Monitoring

680 The DQM subsystem is complementary to the monitoring component of the CCM. Instead of collecting coun-  
681 ters, rates and logs, the DQM analyses samples of raw data and compares the results with the expectations,  
682 thus assessing the quality of the data themselves, rather than the quality of the DAQ process. The DQM  
683 will provide the tools to visualize information and will feed data quality assessment results into the control  
684 subsystem, that will alert operators and experts and/or execute automated recovery actions.

685 The DQM system consists of an infrastructure part that will be designed according to distributed data  
686 mining and analysis system techniques. The algorithmic part of the DQM, on the other hand, will continuously  
687 evolve as understanding of the detector behavior broadens during commissioning and early operation, and  
688 as detector conditions evolve and stabilize.

## 689 2.5 Physical Description

690 The TDAQ system is made of rack mounted COTs components: the timing boards are housed in uTCA  
691 crates, while all other I/O devices (readout cards and storage devices) are hosted inside servers. the different  
692 elements of the TDAQ are interconnected via 10/100 G ethernet.

693 For each FD far detector module the timing, readout and trigger hardware are organised within 16 racks in  
694 a dedicated, air-cooled barrack on top of the cryogenic mezzanine inside the detector cavern. 100 G up-links  
695 connect this part of the TDAQ to a surface counting room, in which 8 further racks are dedicated to data  
696 storage and filtering TDAQ equipment, jointly for all far detector modules.

697 The TDAQ hardware is powered through a UPS system, allowing to avoid short-term power glitches to  
698 affect the system.

699 Each TDAQ barrack underground provides a cooling power of 125 kW, while the available power on sur-  
700 face, dedicated to TDAQ equipment, is limited to 50 kW.

# 3 Detailed Functional Description and Specification

Divide this chapter into sections depending upon the specifics of the component. Describe the functions and specify pertinent performance specifications. There should be sufficient detail to allow verification of the design prior to fabrication and testing of the fabricated component to verify its proper functionality. If this specification is for a component that integrates other DUNE components or complex commercial components, specific functions that are solely provided by an individual component being integrated can be referred to the underlying component's specification. However, this should not make this document difficult to read. In that case and certainly for all functions shared by more than one component, clear descriptions must be provided in this document even if it means copying descriptions from the underlying specifications into this one. For example, if the integrated component requires programming, it should not be necessary to flip between several underlying specifications in order to program this component.

## 3.1 Timing

### 3.1.1 Interfaces

### 3.1.2 Specifications

## 3.2 Readout

### 3.2.1 Interfaces

As shown in Fig. 3.1, the “readout” subsystem interfaces with several other DAQ subsystems. These are discussed in this subsection.

#### 3.2.1.1 Facility

The readout subsystem is responsible to assist with the readout unit (RU) installation and configuration. The locations of the interfaces are:

- Readout unit (RU) server specification and hardware requirements.
- RU server configuration (BIOS settings, kernel parameters).
- RU I/O card and local storage installation instructions.
- RU I/O card optical fiber routing, topology and mapping of patch panels.

#### 3.2.1.2 CCM

The readout subsystem will use the tools and implement interfaces provided by CCM to configure, monitor, and control the readout I/O cards, readout software modules, and different performance oriented settings of the hosting server. The locations of the interfaces are:

- The control, configuration, and monitoring of I/O cards via software modules.
- Control, configuration, and monitoring of performance related parameters of software components (e.g.: hardware locality).

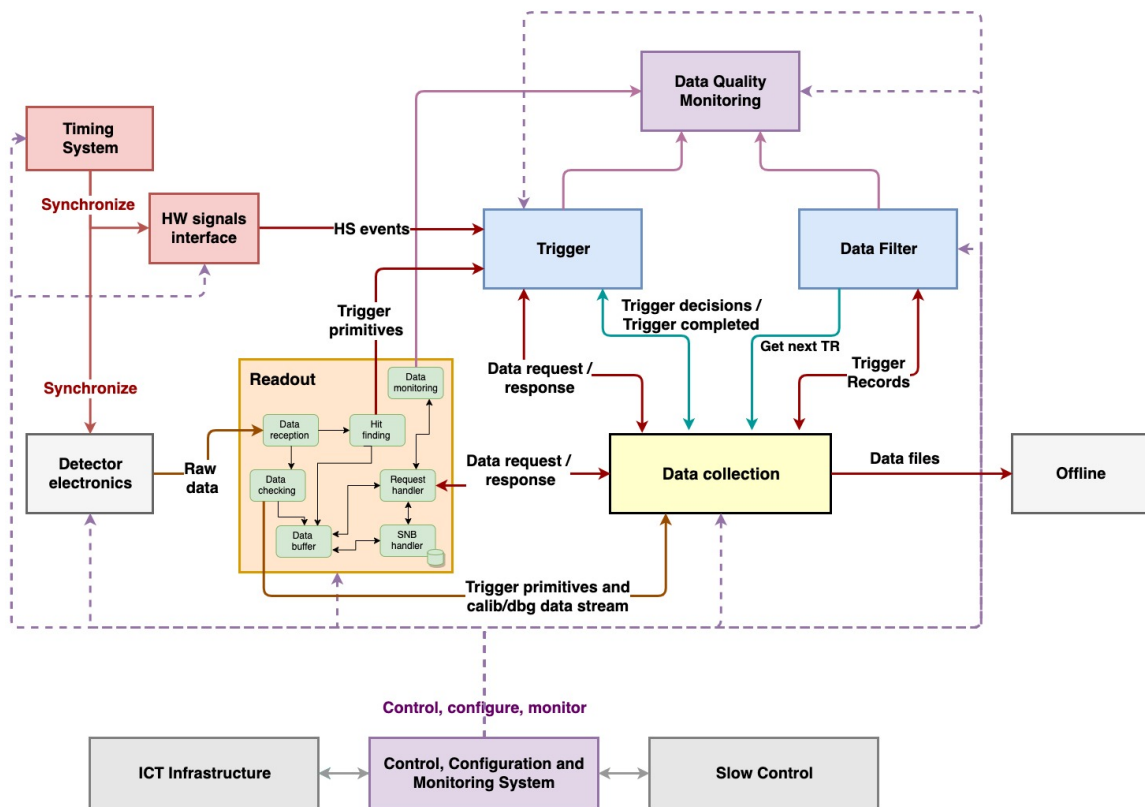


Figure 3.1: Interfaces of Readout components with other DAQ sub-systems.

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- Provided parameter list for operational monitoring, and registered variables via operational monitoring interface.
- The control, dynamic configuration, and monitoring of firmware internals (e.g.: link configuration, alignment, adjusting of trigger primitive generation thresholds).
- Possibility for interrupting and resuming the flow of data from the FE to the readout via software.
- Possibility for data integrity inspection on the readout side via software tools.
- Failover, recovery scenarios and instructions of the readout for the control system.

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### 3.2.1.3 Data collection

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A detailed description of the readout interface with Data collection is given in the UD/DF interface document.

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The locations of the interfaces are:

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- Requests for triggered data sent by DF to the readout applications owned by Upstream DAQ.
- Requested data sent in a reply by the readout system.
- Data collection requests the readout to begin a supernova burst (SNB) recording.
- Readout notifies Data collection that the SNB data recording is complete.
- Readout handing over ownership of SNB data to Data collection.
- Streaming of trigger primitives into other subsystems or components (Trigger, storage, etc.).
- Streaming of calibration or debug data into other components from the FE.

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### 3.2.1.4 Trigger

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A detailed description of the readout interface with Data Selection is given in the UD/DS interface document's

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“data path” section. The locations of the interfaces are:

- The Trigger specifies maximum trigger latency, maximum readout window and window offset, so the readout system can dimension the hardware parameters and software configurations (latency buffer and request handling) accordingly.
- The type of algorithms to identify relevant data (hits) in TPC and PDS raw data streams are defined by the Trigger system.
- The content and format of Trigger Primitive (TP) data are defined by the Trigger, the implementation of the algorithms within the resources of the readout is the responsibility of the readout system.
- Streaming of trigger primitives into the Trigger modules/applications, using the implementation from the Data collection interfaces.

## 3.2.2 Specifications

As shown in Fig. 3.2, the readout system consists several components and elements.

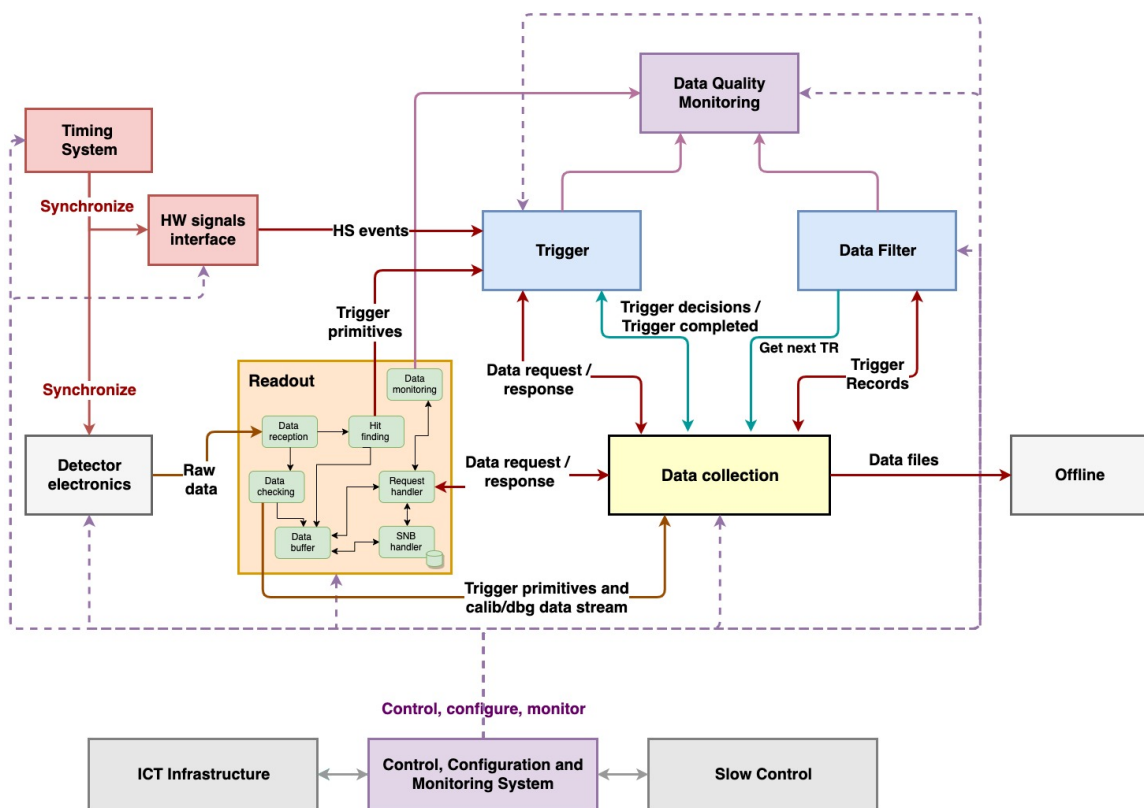


Figure 3.2: Interfaces of Readout components with other DAQ sub-systems.

## 3.3 Trigger

### 3.3.1 Interfaces

As shown in Fig. 2.3, the “Trigger” component of the Data Selection system has interfaces to several subsystems, including some that have no other role but to participate in a trigger decision.

### 3.3.1.1 Trigger Inputs

The trigger takes input from the following subsystems:

**3.3.1.1.1 Upstream DAQ** The interface with Upstream DAQ provides the primary inputs to the trigger in the form of Trigger Primitives, generated from waveforms from TPC and PDS data.

**3.3.1.1.2 Hardware Signals Interface (HSI)** The hardware signals interface provides the trigger with Timestamped Data from hardware signals such as those from the calibration system. The Timestamped Data looks to the trigger like Trigger Activity, and gets passed along through the algorithms from there to a Trigger Decision.

**3.3.1.1.3 External Trigger Interface** : The External Trigger acts to bring in trigger signals from sources outside a single module, such as other DUNE modules, or even other experiments.

### 3.3.1.2 Trigger Outputs

**3.3.1.2.1 Data Flow** Once a Trigger Decision (TD) has been made by the Module Level Trigger (MLT), a data request is sent to the Data Flow Orchestrator (DFO) asking that a particular snapshot in time or space, corresponding to the TD, will be saved and sent to disk. The DFO sends acknowledgements of requests back to the MLT, and can also provide back pressure to hold off the MLT from sending new requests. Lastly, DFO requests data from the MLT corresponding to the list of TPs, TAs, and TCs that went into any Trigger Decision.

### 3.3.1.3 Controls and Monitoring

**3.3.1.3.1 Configuration** Like most subsystems, the Trigger will be configured by the DAQ CCM. That configuration will include any channels or other front-end components to be masked out from decisions, configurations for calibrations, and trigger logic associated with the current DUNE Run Plan. Operational monitoring of the trigger performance will also be done through CCM.

**3.3.1.3.2 Data Quality Monitoring** The Trigger provides high-level information to DQM, including the current deadtime accounting (if any), trigger rates broken down by individual trigger types, and other useful diagnostics.

## 3.3.2 Specifications

## 3.4 Data collection

### 3.4.1 Interfaces

The Dataflow system interacts with the following systems:

- The Readout system is the main provider of input to Dataflow. Upon request, Readout sends data associated with triggers in the form of fragments, and it pushes streams of data when configured to do so. For SNB triggers, there are additional messages exchanged between the two systems to start the high-speed storage of data within the Readout system and then later transfer the data to the DAQ storage system.
- The Trigger system sends trigger decision messages to Dataflow that specify the time windows and detector components to be read out for each trigger. Dataflow tells the Trigger when each trigger record has been successfully stored with the DAQ storage system. Upon request, the Trigger sends data associated with triggers in the form of fragments.

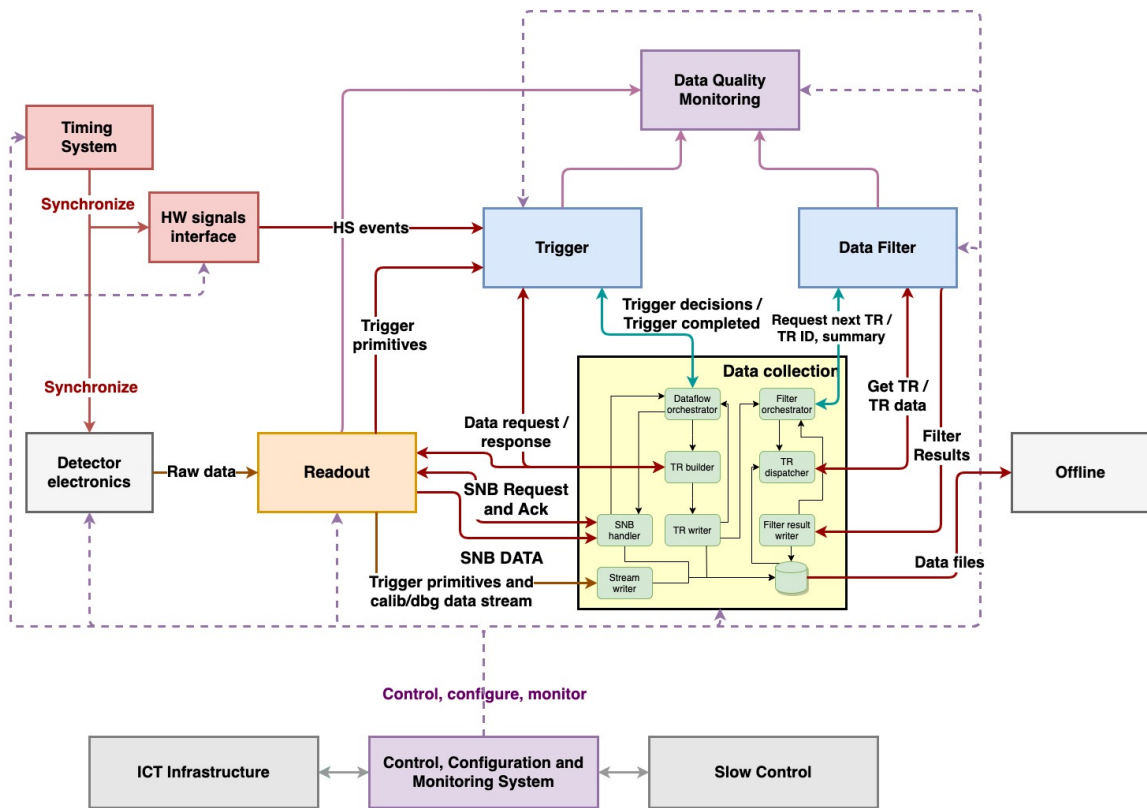


Figure 3.3: Interfaces of Data Collection components with other TDAQ sub-systems.

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- The Data Filter system receives trigger records associated with *local* triggers, analyzes them, and returns the results to Dataflow.
- CCM provides services such as configuration, operational monitoring, and message logging.
- Offline Computing is the final destination for the data that is stored.

Questions: 1) "system" or "subsystem"? 2) "Dataflow" or "Data Collection"?

The system interface documents are listed in Table 3.1

Table 3.1: Dataflow interfaces to other systems

System	Specification Documents
Readout	N/A
Trigger	N/A
Data Filter	N/A
CCM	N/A
Offline Computing	N/A

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### 3.4.1.1 Readout

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As part of the interaction between the Data Collection and Readout subsystems, the Trigger Record Builder sends data requests for each trigger to the Readout subsystem and receives the requested data fragments in return. Individual data requests are sent to the readout units that are specified in the trigger decision message, and these messages contain the time window of interest for each readout unit. These messages also contain an identifier for the TR Builder that initiated the request so that the fragment replies can be routed to the appropriate TR Builder instance. The fragments that are sent from the Readout subsystem contain the

819 unmodified data that was provided by the detector electronics plus metadata that identifies the source of the  
820 fragment, summarizes the contents of the electronics data, and aids in the matching of individual fragments  
821 into complete trigger records.

822 [next, describe the SNB and streaming interfaces]

**Remark:** useful reference text...

The interface between the Dataflow and readout systems includes the readout of triggered data, the transmission of the continuous stream of trigger primitive data, the notification of a supernova burst trigger and the subsequent readout of that data, and the occasional transmission of continuous streams of limited bandwidth data.

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### 824 3.4.1.2 Trigger

825 As part of the interaction between the Data Collection and Trigger subsystems, the Trigger sends trigger  
826 decisions to the Dataflow Orchestrator (DFO), and the DFO sends trigger complete messages to the Trigger. It  
827 is the responsibility of the Trigger to determine which Readout and Trigger components that should be included  
828 in each trigger record, and it conveys that information in the trigger decision message. The Data Collection  
829 subsystem collects the data fragments from all of the requested readout units and Trigger processes and  
830 writes the assembled fragments as trigger records to the storage system. The DFO informs the Trigger of the  
831 disposition of each trigger record (e.g. full or partial success) via the trigger complete message. The trigger  
832 complete message is useful to the Trigger system in a number of ways, and those are discussed in the Trigger  
833 section of this document.

834 For the gathering of Trigger data to be stored in trigger records, the Trigger Record Builder sends data  
835 requests for each trigger to the Trigger subsystem and receives the requested data fragments in return.  
836 Individual data requests are sent to the Trigger process that were specified in the trigger decision message,  
837 and these messages contain the time window of interest for each trigger process. The fragments that are  
838 sent from the Trigger subsystem contain the information provided by the software processes, plus metadata  
839 that identifies the source of the fragment, summarizes the contents of the payload, and aids in the matching  
840 of individual fragments into complete trigger records.

### 841 3.4.1.3 Data Filter

842 The Data Filter subsystem initiates the interaction between itself and the Data Collection subsystem by re-  
843 questing information about the next available trigger record. That message is sent to the Filter Orchestrator.  
844 The Filter Orchestrator either returns identifying information for a trigger record or a message that indicates  
845 that no unprocessed trigger records are currently available. The Data Filter then uses the identifying informa-  
846 tion to request the full set of data for each trigger record from the TR Dispatcher, and it then processes the  
847 data appropriately (e.g. it runs one or more analysis algorithms on the data, possibly creates data products  
848 that should be added to the trigger record, and possibly performs one or more actions on the original data  
849 in the trigger record). After completing those actions, the Data Filter sends a message to the Filter Result  
850 Writer to indicate how the trigger record should be subsequently handled. The options include discarding the  
851 trigger record in its entirety, replacing its original contents with modified contents, and adding information. The  
852 baseline plan is for the Data Filter to return the complete set of data to be written to the output storage, but  
853 there may be optimizations in which only modified or additional data is sent. The Filter Result Writer writes  
854 the appropriate data for each trigger record to the output storage in an area that indicates that it is ready to  
855 be copied to offline.

856 This baseline model for the interaction between Data Collection components and the Data Filter is influ-  
857 enced by several factors, including the following ones:

- 858 • Every trigger record from all *local* triggers will be processed by the Data Filter (whereas none of the  
859 SNB or streaming data will).
- 860 • It is expected that the Data Filter will be interested in all of the data in each of the locally-triggered trigger  
861 records, so there isn't a need to provide access to fractional parts of trigger records, at least at the level

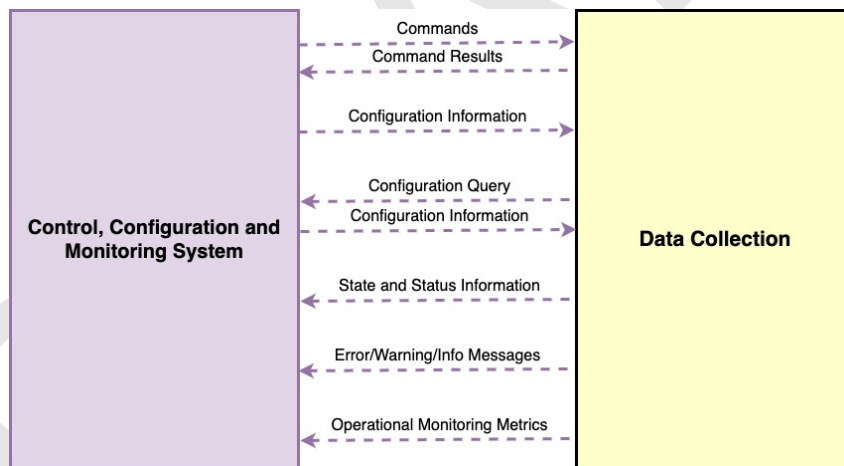


- 862 of the Data Collection system.
- 863 • Local triggers will be a combination of ones that produce lower-rate, larger-size and higher-rate, smaller-
  - 864 size trigger records, so the choice of centralizing the access to the unprocessed trigger records within
  - 865 the Data Collection subsystem allows for optimizations that may be needed in order to handle different
  - 866 trigger record rates and sizes.
  - 867 • The separation of the data transfer into two steps (fetching information about the trigger records and
  - 868 then requesting the trigger record themselves) will provide the opportunity for the Data Filter to process
  - 869 the trigger records in a slightly different order than what the Filter Orchestrator provides. This may be
  - 870 useful for processing trigger records in a certain priority order within the Data Filter.
  - 871 • The Data Filter will likely be designed to operate in both online and offline environments, and it is
  - 872 expected that its input and output components will be modularized such that different functionality can
  - 873 be used online and offline while keeping the analysis parts the same.

### 874 3.4.1.4 CCM

875 Messages and information are passed between the Data Collection and CCM subsystems as shown in Fig-  
 876 ure 3.4. These interactions include the following:

- 877 • CCM sends commands to Data Collection; Data Collection acts on those commands and reports the
- 878 results of those actions back to CCM.
- 879 • CCM sends configuration information to Data Collection at appropriate times. In addition, DC can query
- 880 CCM for subsets of configuration information and have those returned.
- 881 • DC reports the state of its modules and processes to CCM.
- 882 • DC sends message strings to CCM for archival, display, and analysis.
- 883 • DC sends operational monitoring metrics to CCM for archival, display, and analysis.



884 **Figure 3.4:** Interactions of Data Collection with the CCM sub-system.

885 In addition to the messages and information that is passed between the systems at run time, there are  
 886 connections between Data Collection and CCM during development. These take the form of CCM-provided  
 887 libraries that Data Collection makes use of when communicating with CCM and agreed-upon conventions that  
 888 developers for both subsystems follow. An example of such a conventions is the use of well-defined exception  
 889 classes to communicate exceptional conditions between different code units. This allows consistent reporting  
 and reactions to exceptional conditions across the system.

### 890 3.4.1.5 Offline Computing

891 Dataflow prepares data for transfer to offline storage. It makes use of the data-transfer tools that are provided  
 892 by Offline Computing to ensure that data is transferred reliably.

## 3.4.2 Specifications

This document provides the specification of the Dataflow system that is being developed for use in the DAQ systems of the Near and Far Detectors. The Dataflow system reads out detector data, packages it appropriately, and stores it in the DAQ storage system. Triggered data, as well as streaming data of constrained bandwidth, are handled by the Dataflow system. Within the DAQ, the Dataflow system delivers data to filter and monitoring systems, and it is responsible for preparing the data for transfer to offline storage.

The Dataflow (DF) system is responsible for moving physics data between components of the DAQ system. In particular, the DF system is responsible for collecting data from readout and trigger applications in response to decisions generated by the trigger, placing that data into files, serving data to the data filter and data monitoring systems, and preparing the overall results for transfer to offline storage.

Figure 3.3 shows the relationship of the Dataflow system with other systems in the DAQ, and it shows the functional areas within the Dataflow system. These functional areas are the following:

- The Dataflow Orchestrator interfaces with the Trigger system and manages which Dataflow processes will handle the readout and storage of each trigger record.
- The TR Builder and TR Writer components do the work of reading out trigger records and storing them. These components may spread their work across multiple processes.
- The SNB Handler interfaces with the Readout system to communicate the occurrence of an SNB trigger and manage the read out of the data.
- The Stream Writer functional area accepts *streaming* data from the Readout system and stores it. Examples of streaming data include the continuous flow of Trigger Primitives or occasional streams of raw wave forms for debugging.

Notes:

- talk about the size of the different parts of the storage system?
- talk about high-performance storage?
- do we want to keep open the option to use alternate technologies like an ObjectStore for the first stage of the storage system?
- describe what sorts of configuration information will be needed by DC?

## 3.5 Data filter

The Data Filter processes built Trigger Records to filter events and data to reduce the overall data volume to disk, while retaining the required very high efficiencies for high- and low-energy events. It does not operate on either streamed data, or the full SNB data. The Data Filter differs from the “trigger” part of the overall Data Selection system in two important ways. First, the rate of Trigger Decisions is much lower than the rate of Trigger Candidates. Thus, the Data Filter has time to perform relatively sophisticated processing on Trigger Records. Second, because the Data Filter operates on built Trigger Records, it has access to more information than the more local Trigger Candidates used by the trigger. For example, the Data Filter can use PDS and TPC information together to fiducialize away low-energy background events.

### 3.5.1 Interfaces

#### 3.5.1.1 Inputs

**3.5.1.1.1 Data Collection:** As described above in Section ??, the Data Filter takes as input built Trigger Records provided by Data Collection. These Trigger Records can arrive via a dispatcher, or, if the rate is low enough, from disk storage. The latter option is particularly useful as it will allow Data Filter algorithms to work much like an offline analysis module.

### 935 3.5.1.2 Outputs

936 **3.5.1.2.1 Data Collection:** As described above in Section 3.4.1.3, the Data Filter passes its filtered data  
937 stream back to Data Flow, for final archiving.

938 **3.5.1.2.2 Data Quality Monitoring:** The Data Filter provides high-level metrics, derived from its own pro-  
939 cessing, to Data Flow Monitoring.

## 940 3.5.2 Specifications

# 941 3.6 Dataflow libraries

## 942 3.6.1 Interfaces

### 943 3.6.1.1 Application framework

### 944 3.6.1.2 Inter process messaging

### 945 3.6.1.3 Data and message formats

## 946 3.6.2 Specifications

### 947 3.6.2.1 Application framework

### 948 3.6.2.2 Inter process messaging

### 949 3.6.2.3 Data and message formats

# 950 3.7 CCM

951 The CCM provides a set of functionality to control, configure and monitor the DAQ processes that will be used  
952 by the subsystems, to control hardware, transport and analyze data.

## 953 3.7.1 Interfaces

### 954 3.7.1.1 Control

955 The external CCM interfaces to the control system are defined as follows:

- 956 • Interface to users via command line and GUI, providing equivalent access to the functionality list below,  
957 and including authentication and authorization.
- 958 • Interface to Offline to deliver relevant records of control actions associated with every run
- 959 • An interface shall be provided to allow the sending of commands, with configuration data to DAQ pro-  
960 cesses, and receiving acknowledgement.
- 961 • Error handling/monitoring interface to slow control and event data quality monitoring for (automatic)  
962 handling of alerts, notifications and scheduled events from these systems.

### 963 3.7.1.1.1 Configuration

964 The external CCM interfaces to the configuration system are defined as follows:

- 965 • Interface to users via command line and GUI, providing equivalent access to the functionality list below,  
966 and including authentication and authorization.
- 967 • Interface between process configuration data schema and configuration editor to provide creation, stor-  
968 age, versioning and audit of configurations for subsystems.
- 969 • Interface to offline computing to provide a select, relevant subset of configuration information associated  
970 with each run.

## 971 3.7.1.2 Monitoring

### 972 3.7.1.2.1 Operational monitoring

973 The CCM is responsible for providing a complete solution for capturing, distributing and archiving operational  
974 monitoring information. Operational monitoring information in this context is defined as information related to  
975 the operation of the components of the DAQ system, as distinct from physics event data from the detector  
976 systems. The interfaces between the DAQ software and the logging system are defined as follows:

- 977 • Provide API(s) for DAQ applications to publish structured monitoring data.
- 978 • The operational monitoring system shall provide an API in order to be able to output different amounts  
979 of information according to a set level at a configurable time interval.
- 980 • The operational monitoring system provides an API to subscribe to operational data using a set of  
981 criteria.

### 982 3.7.1.2.2 Logging

983 The CCM is responsible for providing a complete solution for capturing, distributing and archiving logs. Logs  
984 in this context are debug statements, information, warning and error messages. While debug statements  
985 can be unstructured, all higher-level types of messages are structured, carry a well-defined extendable set of  
986 information fields and extend from a base class that can be thrown and caught as an exception. The interfaces  
987 between the DAQ software and the logging system are defined as follows:

#### 988 Debugging

- 989 • The logging system provides an API to log unstructured messages for debugging purposes.
- 990 • The logging system provides tools to dynamically turn on/off or tune the level and type of debug state-  
991 ments which are output.

#### 992 Structured information

- 993 • The logging system provides the base class from which all the messages need to extend as well as  
994 helper macros to define specific types. Note: DUNE chose to use the ERS library developed within  
995 ATLAS and the base class is the `ers::Issue`.
- 996 • The logging system provides an API in order to be able to output messages according to their severity.
- 997 • The logging system provides the tools to configure the implementation of the streams used for each  
998 severity.
- 999 • The logging system provides an API to subscribe to messages using a set of criteria (e.g. subscribe by  
1000 message type, application name, host name, severity, etc.).

## 1001 3.7.2 Specifications

### 1002 3.7.2.1 Access

1003 The CCM is required to perform authentication and authorization to restrict access to DUNE DAQ resources  
1004 and actions. The access control system shall provide the following functionality:

- 1005 • A system to authenticate DUNE users e.g., through FNAL services password.
- 1006 • Functionality to define user roles and assign (multiple) roles to users e.g., DAQ shifter, detector calibra-  
1007 tion expert.
- 1008 • Functionality to authenticate users for these roles. The roles define a set of allowed actions in each  
1009 CCM subsystem, i.e., control, configuration and monitoring.
- 1010 • Functionality to propagate access authorization appropriately to each CCM subsystem.

### 1011 3.7.2.2 Control

1012 The CCM is required to control the DAQ resources to allow DUNE to take data with high uptime. For each of  
1013 a number of separate partitions, defined by separate DAQ resources in almost all cases, the CCM run control  
1014 system shall provide configuration and control of a hierarchy of DAQ applications. The run control system  
1015 shall provide the following functionality:

- 1016 • An interface for interaction with users, via command line and GUI, with equivalent functionality.
- 1017 • The interface shall provide functionality to allow the sending of commands, with configuration data to  
1018 processes, and receiving acknowledgement, to individual processes or to all processes, in a given order.
- 1019 • The commands for DAQ applications will include a set of per-process defined finite state machine events  
1020 (typically init, config, start, pause, resume, stop, scrap).
- 1021 • The system shall provide functionality to allow processes to transition through states in a pre-defined  
1022 order, requiring the completion of previous process state transitions.
- 1023 • In addition to state transition commands, the system shall provide expert or operator commands which  
1024 do not request state transitions perform some operation on the specifically addressed component e.g.,  
1025 setting random trigger rate or toggling data storage.
- 1026 • The system shall allow the sending of run settings data to processes, using the command interface  
1027 above, e.g., a new run number when sending the start command.
- 1028 • Provide a mechanism for accessing a list of available resources for DAQ components, including the  
1029 mapping between detector readout units (e.g. FELIX cards), processes etc.
- 1030 • Provide process management, managing the life-cycle of applications, defined as spawning of pro-  
1031 cesses, monitoring of process status, killing and restarting processes for a list of required processes  
1032 determined through configuration. The system shall also provide process information where necessary  
1033 e.g., dynamically allocated port information to establish inter-process communication.
- 1034 • Provide error handling based on aggregation of monitoring information, from a number of systems  
1035 including operational monitoring, logging, slow control, event data quality monitoring etc., including  
1036 autonomous actions.
- 1037 • Archival of per run information in a run database, including the configuration applied, resources allo-  
1038 cated etc.

### 1039 3.7.2.3 Configuration

1040 The CCM is required to provide functionality to prepare, archive, validate and deliver configuration data,  
1041 including the definition of required partitions. Specifically, the configuration system shall provide the following  
1042 functionality:

- 1043 • An interface for interaction with users, via command line and GUI, with equivalent functionality.
- 1044 • A means by which DAQ application and detector component experts may specify the structure and  
1045 constraints which describe the relevant configuration information.
- 1046 • The configuration system shall provide a configuration generator/editor, capable of creating or loading  
1047 DAQ subsystem configuration data, modifying configuration data, and storing versioned configuration  
1048 data.
- 1049 • The configuration system shall provide a mechanism for checking the validity and consistency of con-  
1050 figuration data with the DAQ and detector components.

### 1051 3.7.2.4 Monitoring

#### 1052 3.7.2.4.1 Operational monitoring

1053 The CCM is responsible for providing a complete solution for capturing, distributing and archiving operational  
1054 monitoring information. Operational monitoring information in this context is defined as information related to  
1055 the operation of the components of the DAQ system, as distinct from physics event data from the detector  
1056 systems. Specifically, the operational monitoring system shall provide the following functionality:

- 1057 • Provide an API for DAQ applications to publish structured monitoring data.
- 1058 • Provide an implementation for configurable levels of detail in monitoring information.
- 1059 • Provide an implementation for configurable polling intervals for monitoring information.
- 1060 • Provide a set of stream implementations for the dispatching of monitoring information, including basic  
1061 streams to stderr and stdout, as well as network-based streams.
- 1062 • Provide an archival system and a UI to search for and analyze data, live and post-mortem.
- 1063 • Provide a system to implement aggregation and analysis functionality.
- 1064 • Provide alerts and notifications based on defined conditions to the user and to the CCM control subsys-  
1065 tem where they may be used to perform autonomous actions.

#### 1066 3.7.2.4.2 Logging

1067 The CCM is responsible for providing a complete solution for capturing, distributing and archiving logs. Logs  
1068 in this context are defined as debug statements, information, warning and error messages. While debug  
1069 statements can be unstructured, all higher-level types of messages are structured, carry a well-defined set of  
1070 information fields and extend from a base class that can be thrown and caught as an exception. The logging  
1071 system shall provide the following functionality:

- 1072 • Provide a base class for all structured messages and tools to generate specific messages in a straight-  
1073 forward way.
- 1074 • Provide APIs for reporting debug text and messages.
- 1075 • Provide an API to subscribe to messages using a set of criteria.

- 1076 • Provide a set of stream implementations for the dispatching of debug text and structured messages.  
1077 Those should include, filtering streams, basic streams to stderr and stdout, as well as network-based  
1078 streams.
- 1079 • Provide a mechanism to receive structured messages over the network, for message subscription.
- 1080 • Provide a message archiving system and a UI to search for and analyze messages, live and post-  
1081 mortem.

## 1082 **3.8 DQM**

### 1083 **3.8.1 Interfaces**

### 1084 **3.8.2 Specifications**

## 1085 **3.9 Programming Model**

1086 If the component contains registers or must be programmed, clearly state whether the registers are write/read  
1087 or read-only and how the programming is to be accomplished. If some specific software environment or  
1088 computer system is required to program or operate the component, that should also be described. These  
1089 points could be covered in a separate sub-section or interspersed with the functional descriptions, depending  
1090 upon which makes the document more understandable.

1091

## 4 Development model

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## 5 Testing, Validation and Commissioning

Describe testing procedures that will be used to demonstrate that the fabricated component meets the specifications. This should include radiation testing if radiation tolerance is one of the requirements. It is not mandatory to complete this section prior to the first specification review but it should be completed prior to the PDR. Prior to the FDR, the production testing must be described, and prior to the PRR, commissioning plans must be included.

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## 6 Reliability Matters

### 6.1 Consequence of Failures

Describe the consequences to the detector of a failure of one unit of this component, e.g. x% of the sub-detector channels will be lost. The severity of the consequences will determine the level of reliability required and the level to be validated by QA and QC procedures defined in Sections 6.4 and 6.5.

### 6.2 Prior Knowledge of Expected Reliability

Based upon industry experience, collaboration experience or personal experience, give an estimate of the reliability of this component.

### 6.3 Measures Proposed to Insure Reliability of Component and/or System

Include such measures as conservative design techniques (give specific examples), redundancy and possibilities to replace failed part. If failed part could be replaced, estimate the difficulty and time involved for installing replacements.

### 6.4 Quality Assurance to Validate Reliability of Design and Construction or Manufacturing Techniques

Describe what stress tests will be applied during the development period to validate the reliability of this component. Give a brief outline of any appropriate reliability theory being used. These tests could involve destructive tests. It is not required to complete this section prior to the first specification review but it must be completed prior to the PDR. It is strongly recommended that these plans be reviewed and approved prior to the actual PDR to avoid the possibility of failing the PDR and thus delaying the fabrication or construction of the prototype parts

### 6.5 Quality Control to Validate Reliability Specifications during Production

Describe what stress tests will be applied during production, possibly on a sampling basis, to validate the reliability of production units. These could likely be destructive tests. Specify the required sampling percentage of production units. It is not required to complete this section prior to the first specification review but it must be completed prior to the FDR. It is strongly recommended that these plans be reviewed and approved prior to the actual FDR to avoid the possibility of failing the FDR and thus delaying the fabrication or construction of the pre-production parts,

# References

1129

- 1130 [1] B. Abi et al. “The DUNE Far Detector Interim Design Report, Volume 3: Dual-Phase Module”. In: (July  
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- 1132 [2] B. Abi et al. “The Single-Phase ProtoDUNE Technical Design Report”. In: (2017). arXiv: [1706.07081](https://arxiv.org/abs/1706.07081)  
1133 [[physics.ins-det](#)].
- 1134 [3] C. Mazza et. al. Prentice Hall, 1994. ISBN: 9780131065680. URL: [https://books.google.fr/books?](https://books.google.fr/books?id=0NZQAAAAYAAJ)  
1135 [id=0NZQAAAAYAAJ](https://books.google.fr/books?id=0NZQAAAAYAAJ).
- 1136 [4] *LBNF/DUNE Requirements and Specifications*. <https://edms.cern.ch/project/CERN-0000198204>.

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## 7 Specification Document Appendices

1137

1138 Any technical detailed information that supports the Specification Document to be used as basis for the SVR  
1139 should be included in a standalone appendix chapter. It may be useful for example to include technical details,  
1140 in particular from external inter-dependencies, e.g. GBT and/or lpGBT cases.

1141 The remaining appendices are simply a collection of semi-technical information on a few dedicated  $\text{\LaTeX}$   
1142 environments that are being standardised for any TDAQ document.

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## 8 Requirements and their classification, Specification rules

The requirements should be organized according to the classification described in [3].

### 8.1 Requirements

Mandatory **Requirements** are sentences that contain the word 'shall'. These requirements must be followed. Two forms are defined for the requirement environment: The standard (or long) form has 6 arguments, which have to follow a specific order:

1. A generic key specifying the requirement type, e.g.: GEN, CONV, MAIN, ENV, DEP, INTFC
2. Title capturing a short description of the requirement
3. Requirement type: essential/non-essential
4. Priority: 1...3, Suspended
5. Stability: Stable (e.g. frozen), Unstable (subject still to evolution)
6. Reference: either Person, Group, System, Sub-system owning the requirement

**Example:**

```
\begin{requirement}[MAIN]{Roger Federer Requirement}{Essential}{1}{Status}{R. Federer}
\label{req:long_roger_requirement}
  Roger shall win 10 Wimbledon titles before retiring
\end{requirement}
```

**Requirement 8.1 [REQ-MAIN]: Roger Federer Requirement**

Essential1StatusR. Federer Roger shall win 10 Wimbledon titles

Optionally, short forms are allowed, but the second argument, i.e. the first between curly brackets that specifies the requirement description, is mandatory. In this case a generic identifying key is assigned to the requirement:

**Example:**

```
\begin{requirement}{Roger Federer short requirement}
\label{req:short_roger_requirement}
  Roger shall win 10 Wimbledons
\end{requirement}
```

**Requirement 8.2 [REQ-8.2]: Roger Federer short requirement**

Roger shall win 10 Wimbledons

## 8.2 Recommendations

**Recommendations** are requirements that use the word 'should'. If not followed a justification is needed. A dedicated requirement has been implemented in the TDAQGenDoc class.

### Example:

```
\begin{recommendation}{Roger Federer recommendation}
\label{rec:roger_backhand}
  Roger should always counterpunch with his backhand
\end{recommendation}
```

#### Recommendation 8.1 [REC-8.1]: *Roger Federer backhands*

Roger should play more top-spin backhands

#### Recommendation 8.2 [REC-SAV-GENERAL]: *Roger Federer serve and volley*

Roger should play serve and volley more often

## 8.3 Guidelines

**Guidelines** are requirements containing the word 'may'. If they are not followed, no justification is required. An environment specifying a guideline has been implemented in the document class.

### Example:

```
\begin{guideline}{Roger Federer guideline}
\label{gui:roger_guideline}
  Roger may want to go to net more often
\end{guideline}
```

#### Guideline 8.1 [GUI-8.1]: *Roger Federer guideline on net approach*

Roger may want to go to net more often

#### Guideline 8.2 [GUI-CLAY-ROLGAR]: *Roger Federer guideline on clay season*

Roger may want to play once more Roland Garros

## 8.4 Remarks

Remarks are generic statements that you may want to highlight wrt. the regular text.

1193 **Example:**

```
1194 \begin{remark}
1195 \label{rem:roger_remark}
1196 Roger has won so far 8 Wimbledon titles
1197 \end{remark}
```

**Remark:**

Roger has won so far 8 Wimbledon titles

1198

## 1199 8.5 Definitions

1200 Definition environment are used

1201 **Example:**

```
1202 \begin{definition}{Roger Federer}
1203 \label{def:roger_definition}
1204 Roger is a Swiss tennis player, born in Basel on 12/8/2018, and considered the tennis GOAT.
1205 \end{definition}
```

**Definition 8.5.1 [DEF-8.5.1]: *Roger Federer***

Roger is a Swiss tennis player, born in Basel on 12/8/2018, and considered the tennis GOAT.

1206

## 1207 8.6 Specification Rules

1208 Specification rules are derived technical requirements to be satisfied by a design, product, service and/or  
 1209 material. It is implemented as a separate latex environment, with a format similar to the requirement environ-  
 1210 ment.

1211 **Example:**

```
1212 \begin{specrule}{Roger forehand specification}
1213 \label{spec:roger_forehand}
1214 Roger forehand bases on an Eastern grip that allows him to hit both with
1215 topspin and flat. Its preparation consists of a "body" turn, i.e. racket,
1216 shoulder and lower body turning together initially to create the coil and store
1217 the energy for the swing. The swing is realised through the rotation of his upper body.
1218 During the backswing of the arm remains aligned
1219 to the body's trunk, followed by a whip-like movement of the arm and of the wrist.
1220 The finish utilises the classic "over the shoulder" movement.
1221 \end{specrule}
```

**Specification 8.1 [SPEC-8.1]: Roger forehand specification**

Roger forehand bases on an Eastern grip that allows him to hit both with topspin and flat. Its preparation consists of a "body" turn, i.e. racket, shoulder and lower body turning together initially to create the coil and store the energy for the swing. The swing is realised through the rotation of his upper body. During the backswing of the arm remains aligned to the body's trunk, followed by a whip-like movement of the arm and of the wrist. The finish utilises the classic "over the shoulder" movement.

1222

1223

Optionally, a second argument can be specified to provide an identifying key to the specification rule:

```
1224 \begin{specrule}[SPEC-TECH-FORE]{Roger forehand specification}
```

```
1225 \label{spec:roger_forehand_ext}
```

```
1226 Roger forehand bases on an Eastern grip that allows him to hit both  
1227 with topspin and flat. Its preparation consists of a "body" turn, i.e. racket,  
1228 shoulder and lower body turning together initially to create the coil and  
1229 store the energy for the swing. The swing is realised through the rotation  
1230 of his upper body. During the backswing of the arm remains aligned  
1231 to the body's trunk, followed by a whip-like movement of the arm and of the wrist.  
1232 The finish utilises the classic "over the shoulder" movement.
```

```
1233 \end{specrule}
```

**Specification 8.2 [SPEC-TECH-FORE]: Roger forehand specification**

Roger forehand bases on an Eastern grip that allows him to hit both with topspin and flat. Its preparation consists of a "body" turn, i.e. racket, shoulder and lower body turning together initially to create the coil and store the energy for the swing. The swing is realised through the rotation of his upper body. During the backswing of the arm remains aligned to the body's trunk, followed by a whip-like movement of the arm and of the wrist. The finish utilises the classic "over the shoulder" movement.

1234



## 9 Tables

1235

1236 The TDAQGenDoc class supports several possible table packages. In addition, a standard table template  
1237 to be used for the formal TDAQ through the *tdaqTable* environment. The environment is defined with 6  
1238 mandatory arguments (remember in latex the 2nd argument is indicated between [ ]) and a 7th optional  
1239 argument:

- 1240 1. Positional argument, e.g. [htbp]
- 1241 2. Size of the table columns
- 1242 3. Caption
- 1243 4. Table's label
- 1244 5. Header row
- 1245 6. Table's body
- 1246 7. Table footnotes

**Table 9.1:** ATLAS HL-LHC Upgrade plans and reference to the detector system TDR.

System	CDS Reference
ITk Pixel	<a href="https://cds.cern.ch/record/2285585">CERN-LHCC-2017-021</a>
ITk Strip	<a href="https://cds.cern.ch/record/2257755">CERN-LHCC-2017-005</a>
LAr Calorimeter	<a href="https://cds.cern.ch/record/2285582">CERN-LHCC-2017-018</a>
Tile Calorimeter	<a href="https://cds.cern.ch/record/2285583">CERN-LHCC-2017-019</a>
Muon Spectrometer	<a href="https://cds.cern.ch/record/2285580">CERN-LHCC-2017-017</a>
TDAQ	<a href="https://cds.cern.ch/record/2285584/files/ATLAS-TDR-029.pdf">CERN-LHCC-2017-020</a>

1247 **Example:**

```

1248 \begin{tdaqTable}[htbp]{L{0.68\textwidth}R{0.22\textwidth}}
1249 {\ATLAS \gls{HL-LHC} Upgrade plans and reference to the detector system \glspl{TDR}.}
1250 {tb:atlas-upgrade-plans}
1251 {System & CDS Reference}
1252 {%
1253 ITk Pixel & \href{https://cds.cern.ch/record/2285585}{CERN-LHCC-2017-021} \\
1254 ITk Strip & \href{https://cds.cern.ch/record/2257755}{CERN-LHCC-2017-005}
1255 \\ \midrule
1256 LAr Calorimeter & \href{https://cds.cern.ch/record/2285582}{CERN-LHCC-2017-018} \\
1257 Tile Calorimeter & \href{https://cds.cern.ch/record/2285583}{CERN-LHCC-2017-019}
1258 \\ \midrule
1259 Muon Spectrometer & \href{https://cds.cern.ch/record/2285580}{CERN-LHCC-2017-017}
1260 \\ \midrule
1261 TDAQ &
1262 \href{https://cds.cern.ch/record/2285584/files/ATLAS-TDR-029.pdf}{CERN-LHCC-2017-020}
1263 \\ \bottomrule
1264 }
1265 \end{tdaqTable}

```