

# CKM first row unitarity: challenges and opportunities

Vincenzo Cirigliano

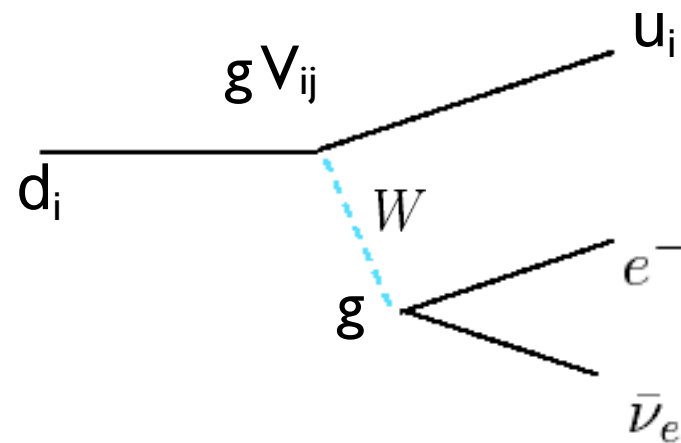
Institute for Nuclear Theory  
University of Washington

# Outline

- Introduction: semi-leptonic decays in the SM and beyond
- Paths to extracting  $V_{ud}$  and  $V_{us}$
- Status of 1st row CKM unitarity test: Cabibbo Angle Anomaly
  - Possible explanations within the Standard Model
  - BSM explanations in EFT language
- Conclusions and outlook

# Semileptonic decays in the SM and beyond

- In the SM,  $W$  exchange  $\Rightarrow$  V-A currents, universality relations



Lepton universality

$$[G_F]_e/[G_F]_\mu = 1$$

$$|V_{ud}|^2 + |V_{us}|^2 + |\cancel{V_{ub}}|^2 = 1$$

Cabibbo universality

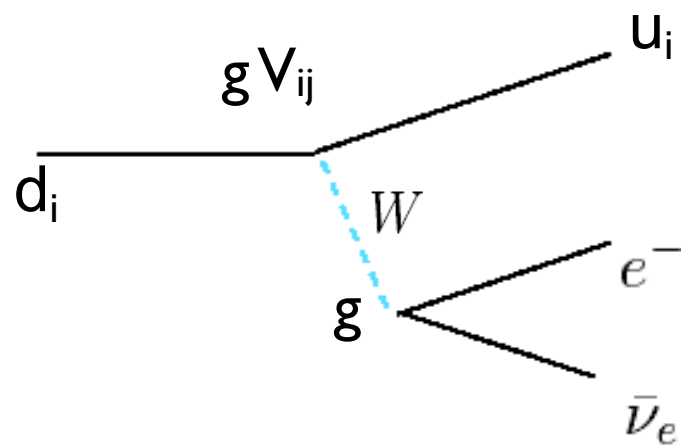
$$G_F^{(\beta)} \sim g^2 V_{ij}/M_W^2 \sim G_F^{(\mu)} V_{ij} \sim 1/v^2 V_{ij}$$

$$\begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$

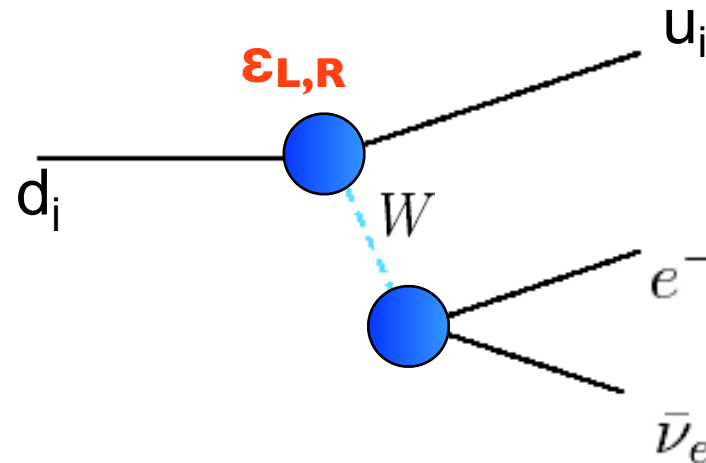
Cabibbo-Kobayashi-Maskawa

# Semileptonic decays in the SM and beyond

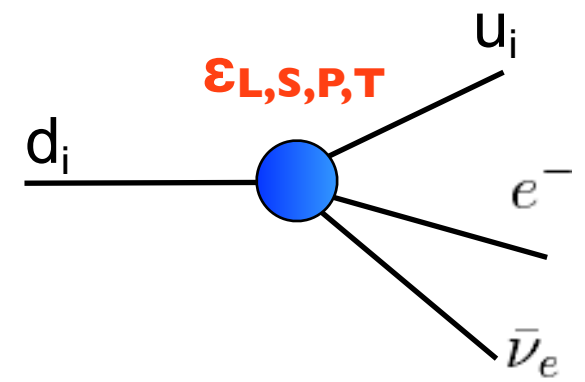
- In the SM,  $W$  exchange  $\Rightarrow$  V-A currents, universality relations



$$G_F^{(\beta)} \sim g^2 V_{ij} / M_W^2 \sim G_F^{(\mu)} V_{ij} \sim 1/v^2 V_{ij}$$

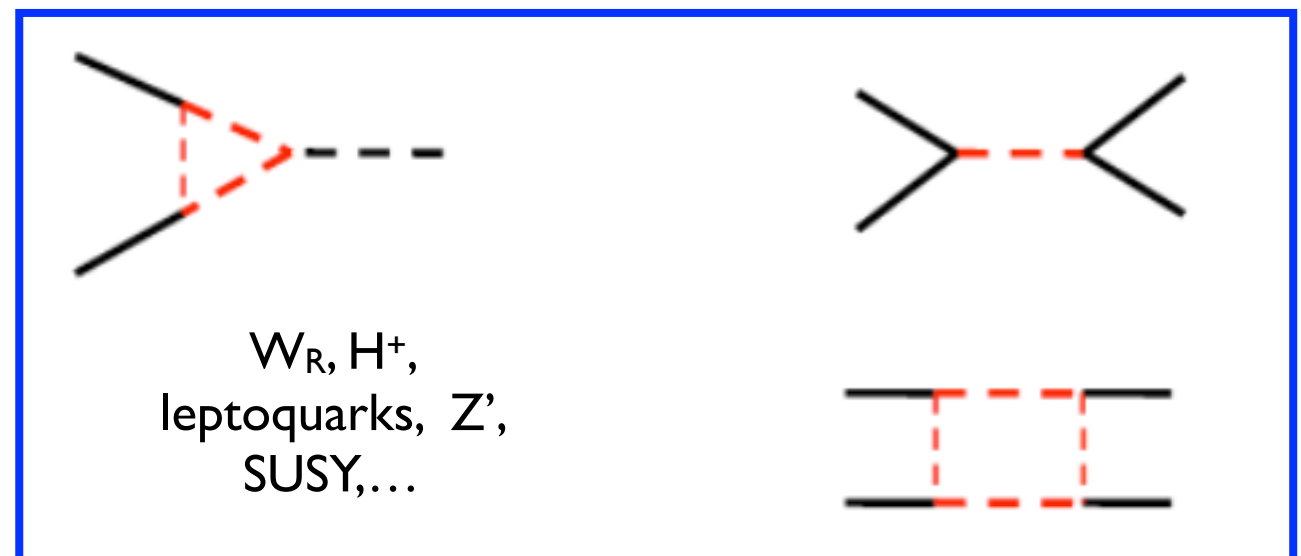


$$1/\Lambda^2$$



$$1/\Lambda^2$$

- BSM effects  $\epsilon \sim (v/\Lambda)^2$ , can spoil universality. Precision in 0.1-0.01% probes  $\Lambda > 10$  TeV



# Cabibbo universality tests

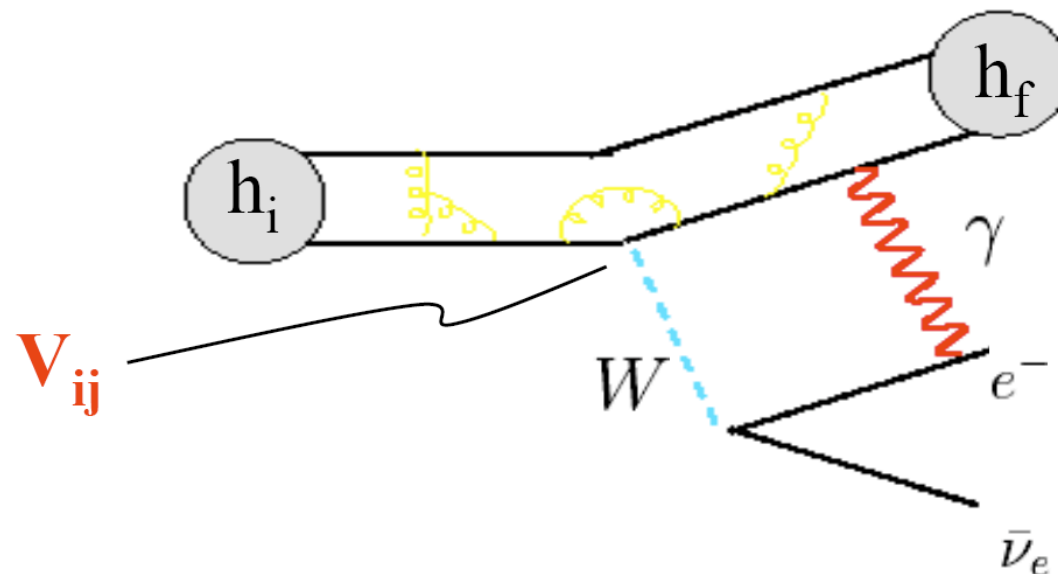
- Extract  $V_{ij}$  from semileptonic processes (beta decays, ...)

$$\Gamma_k = (G_F^{(\mu)})^2 \times |\bar{V}_{ij}|^2 \times |M_{\text{had}}|^2 \times (1 + \delta_{RC}) \times F_{\text{kin}}$$

Channel-dependent  
effective CKM element

Hadronic matrix  
element

Radiative corrections



# Cabibbo universality tests

- Extract  $V_{ij}$  from semileptonic processes (beta decays, ...)

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Channel-dependent  
effective CKM element

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Radiative corrections

$$|\bar{V}_{ij}|^2 = |V_{ij}|^2 \times \left( 1 + \sum_{\alpha} c_k^{\alpha} \epsilon_{\alpha} \right)$$

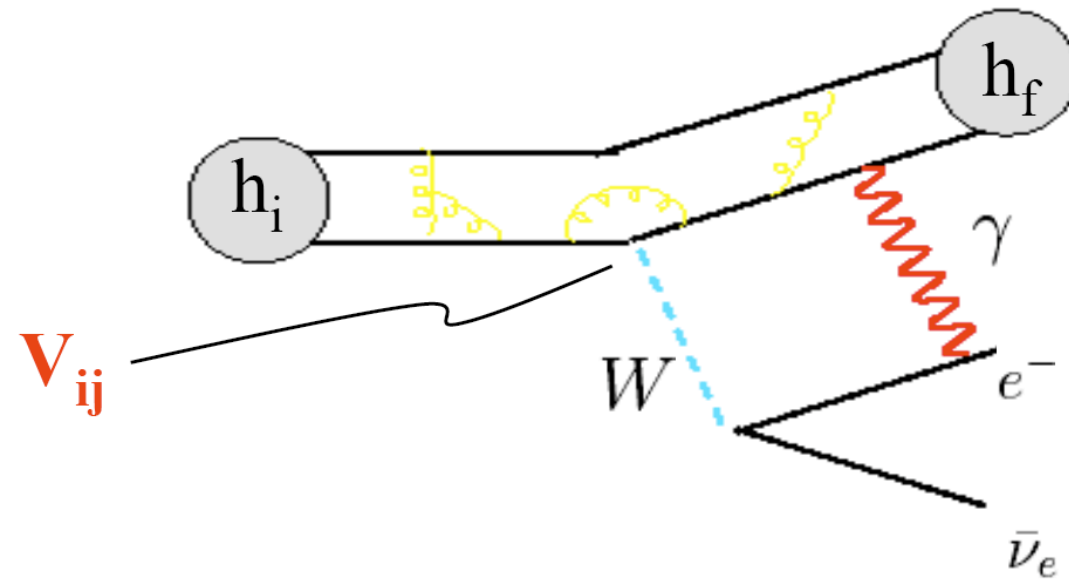
Calculable coefficients

BSM effective couplings

$$|\bar{V}_{ud}|^2 + |\bar{V}_{us}|^2 + |\bar{V}_{ub}|^2 = 1 + \Delta_{\text{CKM}}(\epsilon_i)$$

# Paths to $V_{ud}$ and $V_{us}$

$V_{ud}$	$0^+ \rightarrow 0^+$ ( $\pi^\pm \rightarrow \pi^0 e \nu$ )	$n \rightarrow p e \bar{\nu}$ (Mirror transitions)	$\pi \rightarrow \mu \nu$	$\tau \rightarrow h_{NS} \nu$
$V_{us}$	$K \rightarrow \pi \ell \nu$	$\Lambda \rightarrow p e \bar{\nu}, \dots$	$K \rightarrow \mu \nu$	$\tau \rightarrow h_{S} \nu$



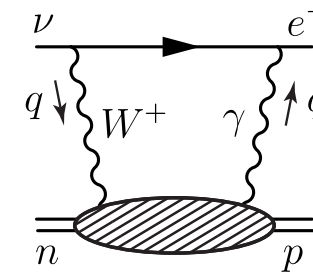
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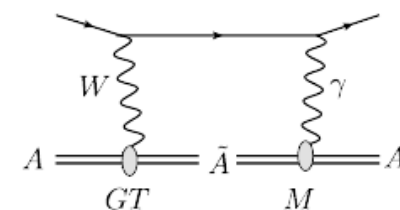
- “Golden modes” ( $V$  current): normalization known in SU(2) [SU(3)] limit, corrections are 2nd order in SU(N) breaking.

- **Nuclear decays:** Recent analysis of “inner” radiative corrections with dispersive methods (smaller errors). New structure-deep corrections pointed out (larger error)

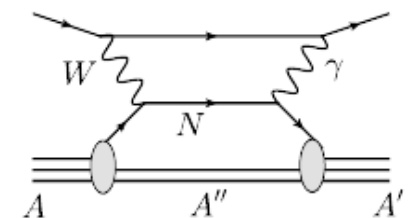
Survey by  
Hardy-Towner, PRC 2020



Seng et al, 1812.03352  
Czarnecki-Marciano-Sirlin  
1907.06737



Seng et al, 1807.10197



Gorchtein 1812.04229



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- “Golden modes” ( $V$  current): normalization known in SU(2) [SU(3)] limit, corrections are 2nd order in SU(N) breaking.

- **Kaon decays:**

- New analysis of radiative corrections based on Sirlin’s formalism + lattice. Compatible with older ChPT analysis, but order-of-magnitude smaller uncertainty.
- Lattice calculations of  $\langle \pi | V | K \rangle$  keep improving (0.2%)
- Expt. input has received small updates since 2010

Seng et al, 1910.13209,  
2103.00975, 2103.4843,  
2107.14708, 2203.05217  
Ma et al. 2102.12048

VC, Giannotti, Neufeld 0807.4607

FLAG 21, Aoki et al.,  
2111.09849

Flavianet WG, 1005.2323  
Moulson 1704.04104

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- “Golden modes” ( $V$  current): normalization known in SU(2) [SU(3)] limit, corrections are 2nd order in SU(N) breaking.

- Pion beta decay:

- Theory in great shape: calculation of radiative corrections with input on  $\gamma$ -W box from lattice QCD
- Expt. needs order-of-magnitude improvement in precision in order to be competitive. First steps with PIONEER experiment

Feng, Gorchtein, Jin, Ma, Seng ,  
2003.09798

PIONEER  
2203.01908

# Paths to $V_{ud}$ and $V_{us}$

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- Both **V** and **A** currents contribute: need experimental input on  $\langle A \rangle$
- **Neutron decay:**
  - Recent advances in lifetime and  $\beta$  asymmetry measurement ( $g_A$ ) make neutron's  $\delta V_{ud}$  much closer to that of nuclear decays
  - Theoretically “cleaner” — no nuclear structure
- **Mirror transitions:**  $V_{ud}$  uncertainty is  $>3$  greater than the one in  $0^+ \rightarrow 0^+$
- **Hyperon decays:** currently lower expt. and theoretical precision

Gonzalez et al,  
2106.10375

Maerkish et al,  
1812.04666

Falkowski et  
al. 2110.13797

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- **A** current transitions: most precise constraint on  $V_{us}/V_{ud}$
- Lattice QCD calculations of  $F_K/F_\pi$  have reached  $<0.2\%$
- First calculation of radiative and isospin-breaking corrections in Lattice QCD have appeared.  
Compatible with ChPT but factor of  $\sim 2$  more precise
- Expt. input hasn't changed since 2010

FLAG 21, Aoki et al.,  
2111.09849

Di Carlo et al., 1904.08731      VC-Neufeld, 1102.0563

Flavianet WG, 1005.2323  
Moulson 1704.04104

# Paths to $V_{ud}$ and $V_{us}$

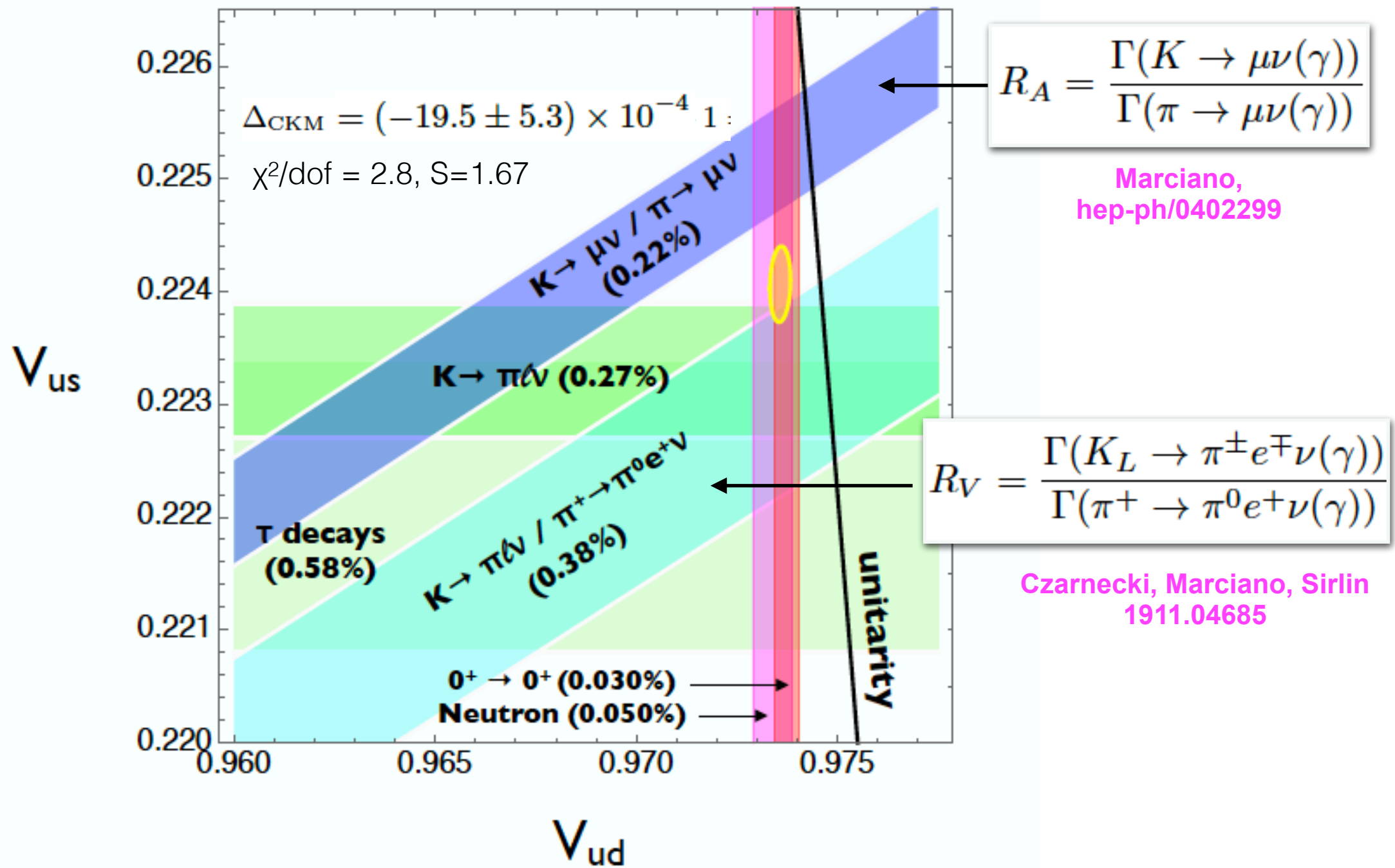
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- Use OPE to calculate inclusive BRs — very different theory “systematics”
- Information from both inclusive and exclusive modes
- Currently less competitive than K decays in the precision on  $V_{us}$

See HFLAG WG (1909.12524) and references therein

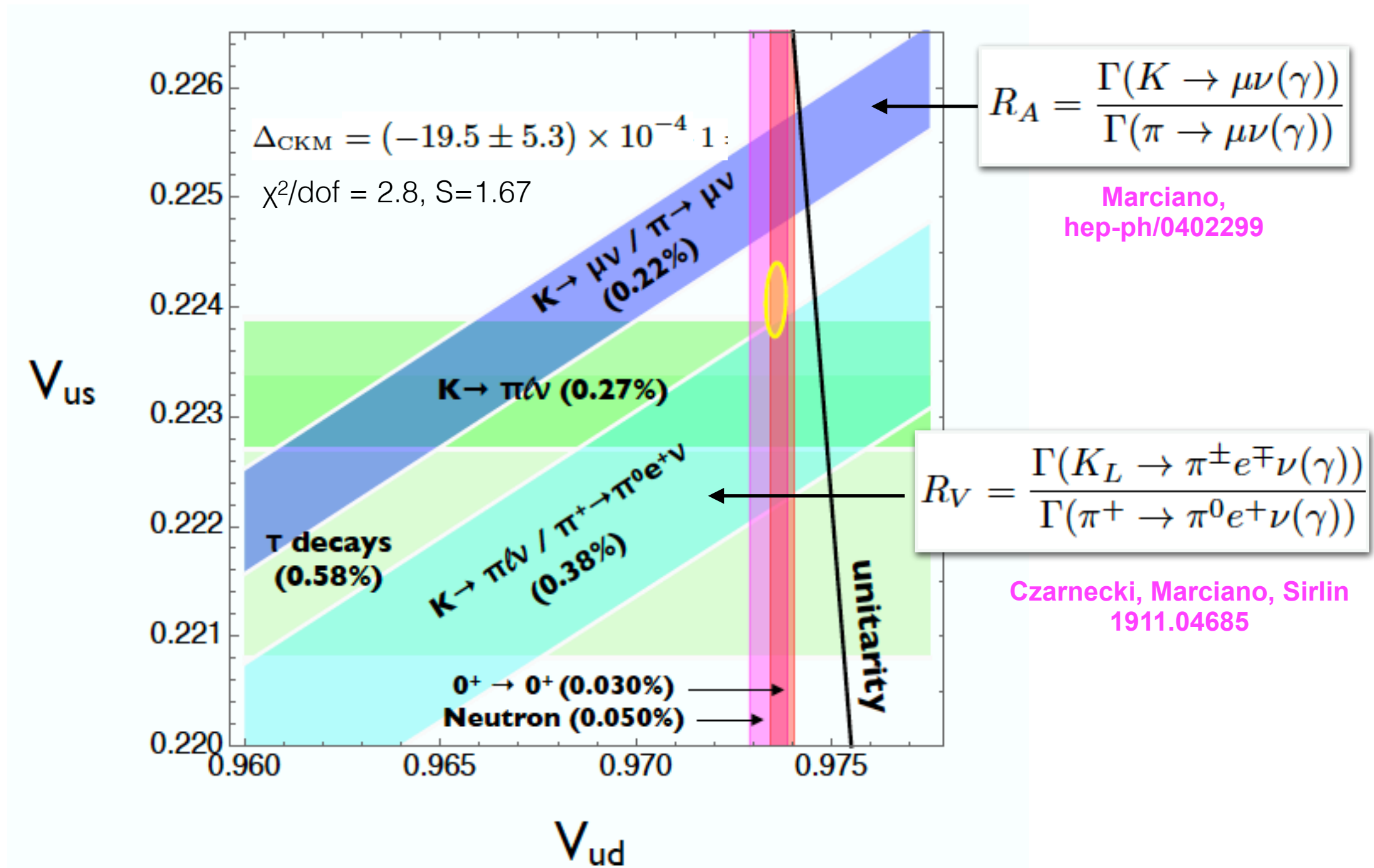
# CKM unitarity test

Bryman, VC, Crivellin, Inguglia 2111.05338



# CKM unitarity test

Bryman, VC, Crivellin, Inguglia 2111.05338



Is this a hint of new physics?

# Standard Model explanations?

## I. Hadronic matrix elements

- K- $\pi$  vector form factor normalization:  $f_+^K(0): 0.970(2) \rightarrow 0.961(4)$

$$\Gamma(K_L \rightarrow \pi^\mp e^\pm \nu(\gamma)) = \frac{G_\mu^2 |V_{us}|^2 m_{K_L}^5 |f_+^K(0)|^2}{192\pi^3} (1 + RC_K) I_K$$

Czarnecki,  
Marciano, Sirlin  
1911.04685, PRD

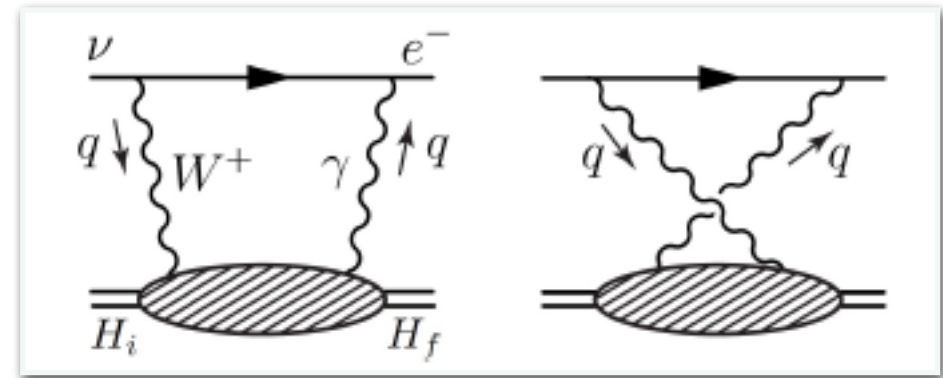
It would amount to a  $\sim 25\%$  effect on the computed SU(3) breaking correction  $f_+(0)-1$ . Not likely, in my opinion

- Similarly, uncertainty estimate on  $F_K/F_\pi$  would have to be off by several theoretical “standard deviations”



# Standard Model explanations?

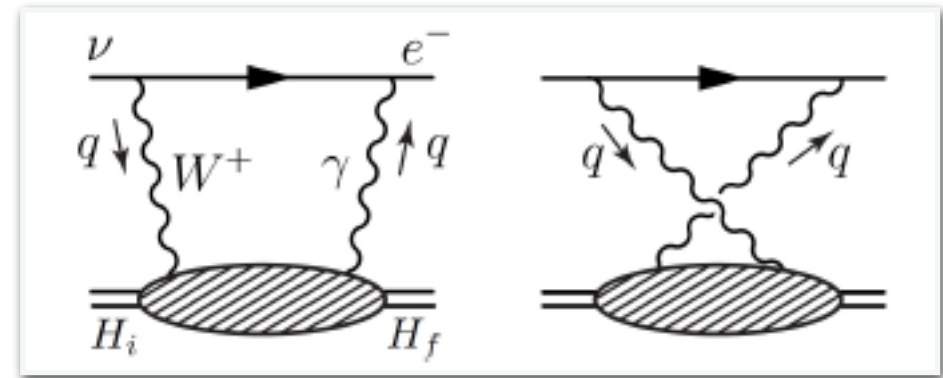
## 2. Radiative corrections



- $R_A$ : RC + isospin breaking in ChPT and LQCD agree. Only full-fledged LQCD calculation of radiative corrections so far
- **Pion beta decay**: RC with input from LQCD ( $\gamma$ -W box)
- $K \rightarrow \pi e \nu$ ,  $K \rightarrow \pi \mu \nu$ : partially rely on lattice QCD ( $\gamma$ -W box); improvable
- **Neutron decay**: need lattice QCD calculation
- **Nuclear decays**: improvable with EFT + ab-initio calculations, which provide a way to quantify uncertainty

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The frontier is:

**QCD+QED on the lattice for meson and neutron decay**

**EFT+ ab-initio calculations in nuclei: good prospects for  $^{10}\text{C}$  and  $^{14}\text{O}$  decays**

# BSM explanations?

$$|\bar{V}_{ud}|_i^2 = |V_{ud}|^2 \left( 1 + \sum_{\alpha} C_{i\alpha} \epsilon_{\alpha} \right)$$

$$|\bar{V}_{us}|_j^2 = |V_{us}|^2 \left( 1 + \sum_{\alpha} C_{j\alpha} \epsilon_{\alpha} \right)$$

Channel-dependent,  
extracted CKM elements

Elements of the  
unitary CKM matrix

Known  
coefficients

BSM effective  
couplings

Find set of  $\epsilon$ 's so that  $V_{ud}$  and  $V_{us}$  bands meet on the unitarity circle

# Low-energy effective Lagrangian (I)

VC, Gonzalez-Alonso, Jenkins 0908.1754, NPB

VC, Graesser, Gonzalez-Alonso 1210.4553, JHEP

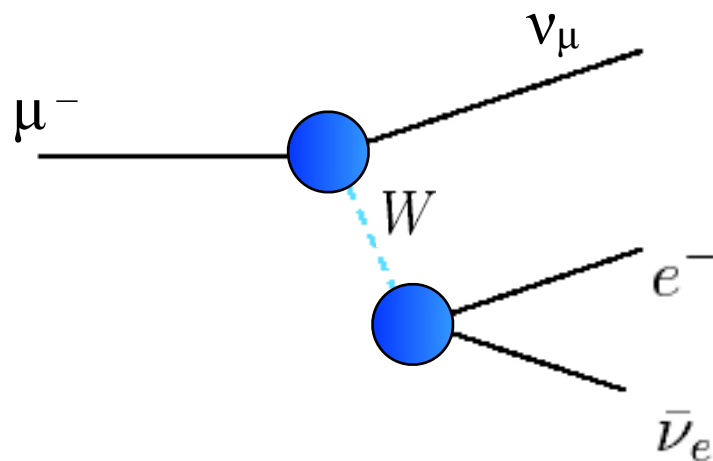
Leptonic interactions

$$\mathcal{L}_{CC}^{(\mu)} = -\frac{G_F^{(0)}}{\sqrt{2}} \left(1 + \epsilon_L^{(\mu)}\right) \bar{e} \gamma^\rho (1 - \gamma_5) \nu_e \cdot \bar{\nu}_\mu \gamma_\rho (1 - \gamma_5) \mu + \dots$$

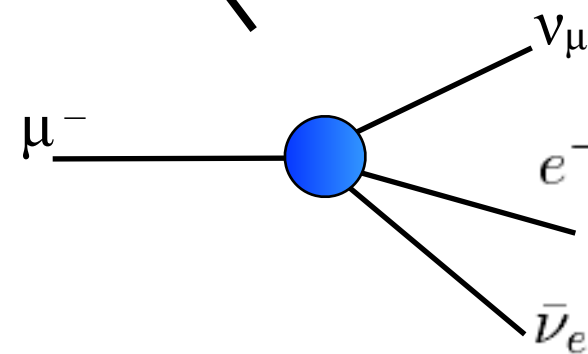
$$G_F^{(\mu)} = G_F^{(0)} \left(1 + \epsilon_L^{(\mu)}\right)$$

$$\epsilon_i \sim (v/\Lambda)^2$$

$$\epsilon_L^{(\mu)} = \epsilon_{W\ell}^{ee} + \epsilon_{W\ell}^{\mu\mu} + \epsilon_{4\ell}$$



Vertex corrections



4-fermion contact interaction

# Low-energy effective Lagrangian (2)

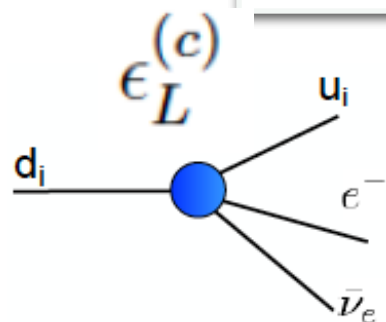
VC, Gonzalez-Alonso, Jenkins 0908.1754, NPB

VC, Graesser, Gonzalez-Alonso 1210.4553, JHEP

Semi-leptonic interactions

$$\begin{aligned}\mathcal{L}_{\text{CC}} = & -\frac{G_F^{(0)} V_{ud}}{\sqrt{2}} \times \left[ \left( \delta^{ab} + \epsilon_L^{ab} \right) \bar{e}_a \gamma_\mu (1 - \gamma_5) \nu_b \cdot \bar{u} \gamma^\mu (1 - \gamma_5) d \right. \\ & + \epsilon_R^{ab} \bar{e}_a \gamma_\mu (1 - \gamma_5) \nu_b \cdot \bar{u} \gamma^\mu (1 + \gamma_5) d \\ & + \epsilon_S^{ab} \bar{e}_a (1 - \gamma_5) \nu_b \cdot \bar{u} d \\ & - \epsilon_P^{ab} \bar{e}_a (1 - \gamma_5) \nu_b \cdot \bar{u} \gamma_5 d \\ & \left. + \epsilon_T^{ab} \bar{e}_a \sigma_{\mu\nu} (1 - \gamma_5) \nu_b \cdot \bar{u} \sigma^{\mu\nu} (1 - \gamma_5) d \right] + \text{h.c.}\end{aligned}$$

$$\epsilon_i \sim (v/\Lambda)^2$$

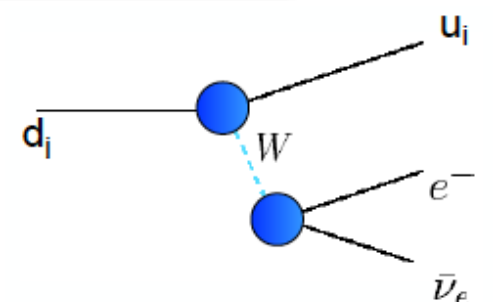


$$\epsilon_L = \epsilon_L^{(v)} + \epsilon_L^{(c)}$$

Vertex + contact 4-Fermi

$$[\epsilon_L^{(v)}]^{ab} = \epsilon_{W\ell}^{ab} + \epsilon_{Wq}$$

W-lepton and W-quark  
vertex corrections



# Low-energy effective Lagrangian (2)

VC, Gonzalez-Alonso, Jenkins 0908.1754, NPB

VC, Graesser, Gonzalez-Alonso 1210.4553, JHEP

$$\frac{G_F^{(\mu)} V_{ud}}{\sqrt{2}} (1 - \epsilon_L^{(\mu)})$$

Semi-leptonic interactions

$$\begin{aligned} \mathcal{L}_{CC} = & -\frac{G_F^{(0)} V_{ud}}{\sqrt{2}} \times \left[ \left( \delta^{ab} + \epsilon_L^{ab} \right) \bar{e}_a \gamma_\mu (1 - \gamma_5) \nu_b \cdot \bar{u} \gamma^\mu (1 - \gamma_5) d \right. \\ & + \epsilon_R^{ab} \bar{e}_a \gamma_\mu (1 - \gamma_5) \nu_b \cdot \bar{u} \gamma^\mu (1 + \gamma_5) d \\ & + \epsilon_S^{ab} \bar{e}_a (1 - \gamma_5) \nu_b \cdot \bar{u} d \\ & - \epsilon_P^{ab} \bar{e}_a (1 - \gamma_5) \nu_b \cdot \bar{u} \gamma_5 d \\ & \left. + \epsilon_T^{ab} \bar{e}_a \sigma_{\mu\nu} (1 - \gamma_5) \nu_b \cdot \bar{u} \sigma^{\mu\nu} (1 - \gamma_5) d \right] + \text{h.c.} \end{aligned}$$

$$\epsilon_i \sim (v/\Lambda)^2$$

Beta decays sensitive to

$$\epsilon_L^{ee} - \epsilon_L^{(\mu)} = -\epsilon_{W\ell}^{\mu\mu} + \epsilon_{Wq} + [\epsilon_L^{(c)}]^{ee} - \epsilon_{4\ell}$$

# Low-energy effective Lagrangian (2)

VC, Gonzalez-Alonso, Jenkins 0908.1754, NPB

VC, Graesser, Gonzalez-Alonso 1210.4553, JHEP

Semi-leptonic interactions

$$\begin{aligned}
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 & + \epsilon_S^{ab} \bar{e}_a (1 - \gamma_5) \nu_b \cdot \bar{u} d \\
 & - \epsilon_P^{ab} \bar{e}_a (1 - \gamma_5) \nu_b \cdot \bar{u} \gamma_5 d \\
 & \left. + \epsilon_T^{ab} \bar{e}_a \sigma_{\mu\nu} (1 - \gamma_5) \nu_b \cdot \bar{u} \sigma^{\mu\nu} (1 - \gamma_5) d \right] + \text{h.c.}
 \end{aligned}$$

$$\epsilon_i \sim (v/\Lambda)^2$$

$\epsilon_{S,T,P}$  and  $\epsilon_L^{(c)}$  are constrained by other probes (including LHC) to levels that make them unlikely source of the Cabibbo angle anomaly

# $\Delta_{\text{CKM}}$ and LFUV

- ‘Turn on’ only vertex corrections to leptons

$$|\bar{V}_{ud}|_{0^+ \rightarrow 0^+}^2 = |V_{ud}|^2 \left( 1 - 2\epsilon_{W\ell}^{\mu\mu} \right)$$

$$|\bar{V}_{ud}|_{n \rightarrow pe\bar{\nu}}^2 = |V_{ud}|^2 \left( 1 - 2\epsilon_{W\ell}^{\mu\mu} \right)$$

$$|\bar{V}_{us}|_{Ke3}^2 = |V_{us}|^2 \left( 1 - 2\epsilon_{W\ell}^{\mu\mu} \right)$$

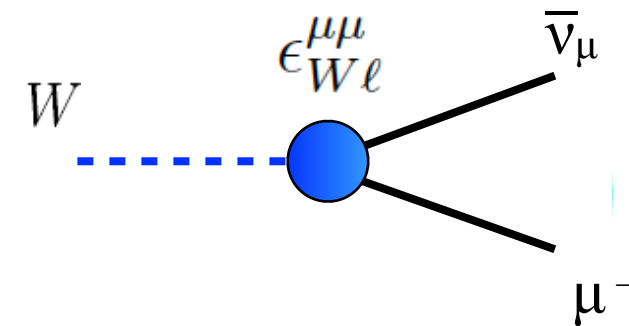
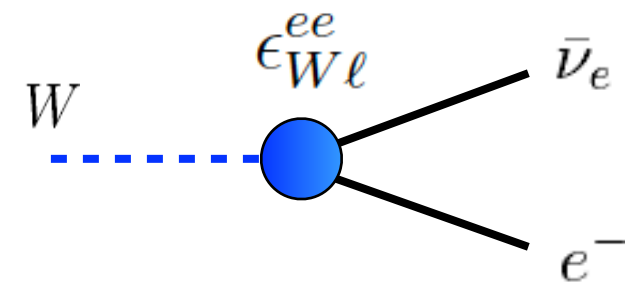
Relevant for  $R_V$

$$|\bar{V}_{ud}|_{\pi e3}^2 = |V_{ud}|^2 \left( 1 - 2\epsilon_{W\ell}^{\mu\mu} \right)$$

$$|\bar{V}_{us}|_{K\mu2}^2 = |V_{us}|^2 \left( 1 - 2\epsilon_{W\ell}^{ee} \right)$$

Relevant for  $R_A$

$$|\bar{V}_{ud}|_{\pi\mu2}^2 = |V_{ud}|^2 \left( 1 - 2\epsilon_{W\ell}^{ee} \right)$$





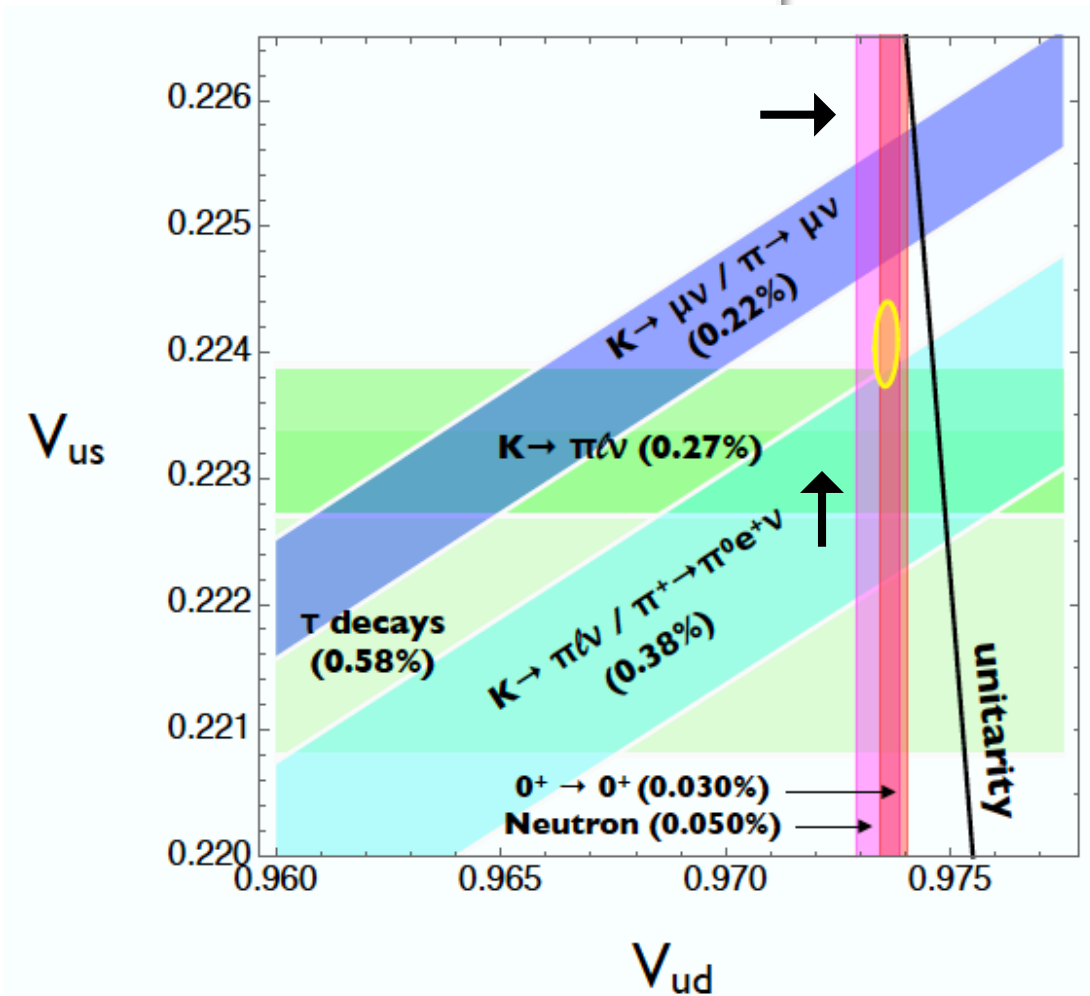
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 |\bar{V}_{ud}|_{\pi e3}^2 &= |V_{ud}|^2 \left( 1 - 2\epsilon_{W\ell}^{\mu\mu} \right) \\
 |\bar{V}_{us}|_{K\mu2}^2 &= |V_{us}|^2 \left( 1 - 2\epsilon_{W\ell}^{ee} \right) \\
 |\bar{V}_{ud}|_{\pi\mu2}^2 &= |V_{ud}|^2 \left( 1 - 2\epsilon_{W\ell}^{ee} \right)
 \end{aligned}$$

Relevant for  $R_V$

Relevant for  $R_A$



- Shift the location of the  $V_{ud,us}$  bands:  
non-zero value of  $(\epsilon_{Wl})^{\mu\mu}$
- No resolution of KI3 vs KI2 and  $R_V$  vs  $R_A$  tension

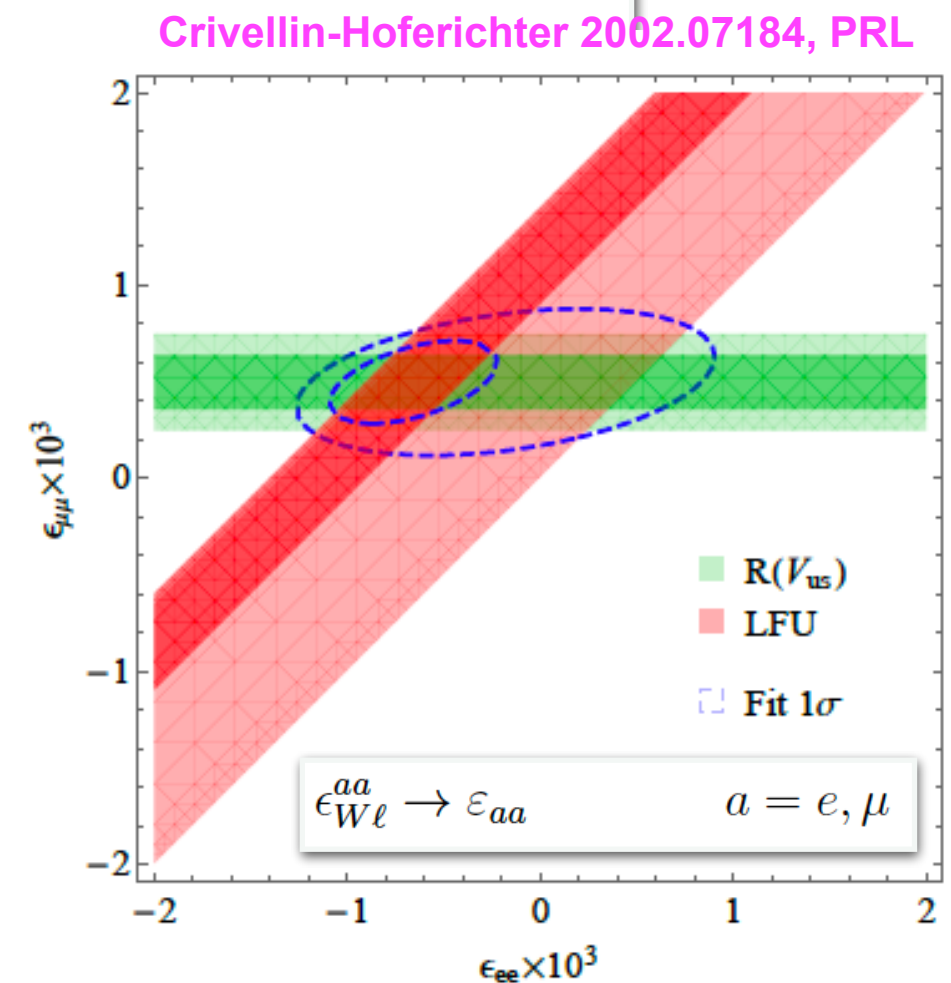
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- ‘Turn on’ only vertex corrections to leptons

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 \end{aligned}$$

Relevant for  $R_V$

Relevant for  $R_A$



- Shift the location of the  $V_{ud,us}$  bands:  
non-zero value of  $(\epsilon_{Wl})^{\mu\mu}$
- No resolution of  $Kl3$  vs  $Kl2$  and  $R_V$  vs  $R_A$  tension

- Connection with  $\pi \rightarrow e\nu / \pi \rightarrow \mu\nu$

$$r_\pi = 1 + 2(\epsilon_{W\ell}^{ee} - \epsilon_{W\ell}^{\mu\mu})$$

(and other LFU probes)

# $\Delta_{\text{CKM}}$ and R-handed currents

- Right-handed currents (in the 'ud' and 'us' sectors)

Grossman-Passemar-Schacht  
1911.07821 JHEP  
Alioli et al 1703.04751, JHEP

Relevant for  $R_V$

$$|\bar{V}_{ud}|_{0^+ \rightarrow 0^+}^2 = |V_{ud}|^2 \left(1 + 2\epsilon_R\right)$$

$$|\bar{V}_{ud}|_{n \rightarrow pe\bar{\nu}}^2 = |V_{ud}|^2 \left(1 + 2\epsilon_R\right)$$

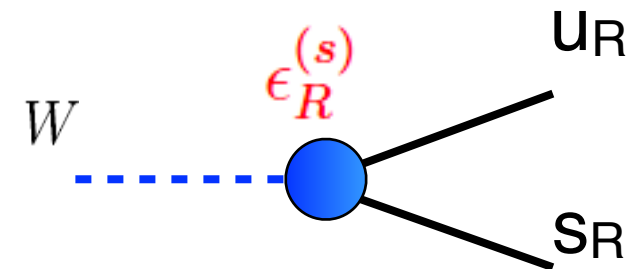
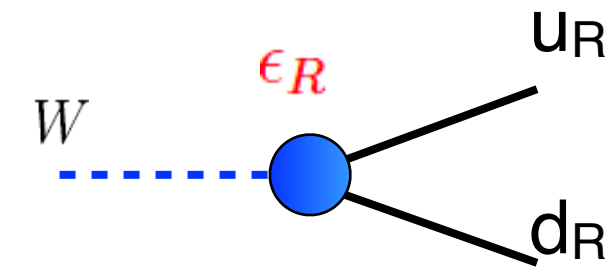
$$|\bar{V}_{us}|_{Ke3}^2 = |V_{us}|^2 \left(1 + 2\epsilon_R^{(s)}\right)$$

$$|\bar{V}_{ud}|_{\pi e3}^2 = |V_{ud}|^2 \left(1 + 2\epsilon_R\right)$$

$$|\bar{V}_{us}|_{K\mu2}^2 = |V_{us}|^2 \left(1 - 2\epsilon_R^{(s)}\right)$$

Relevant for  $R_A$

$$|\bar{V}_{ud}|_{\pi\mu2}^2 = |V_{ud}|^2 \left(1 - 2\epsilon_R\right)$$



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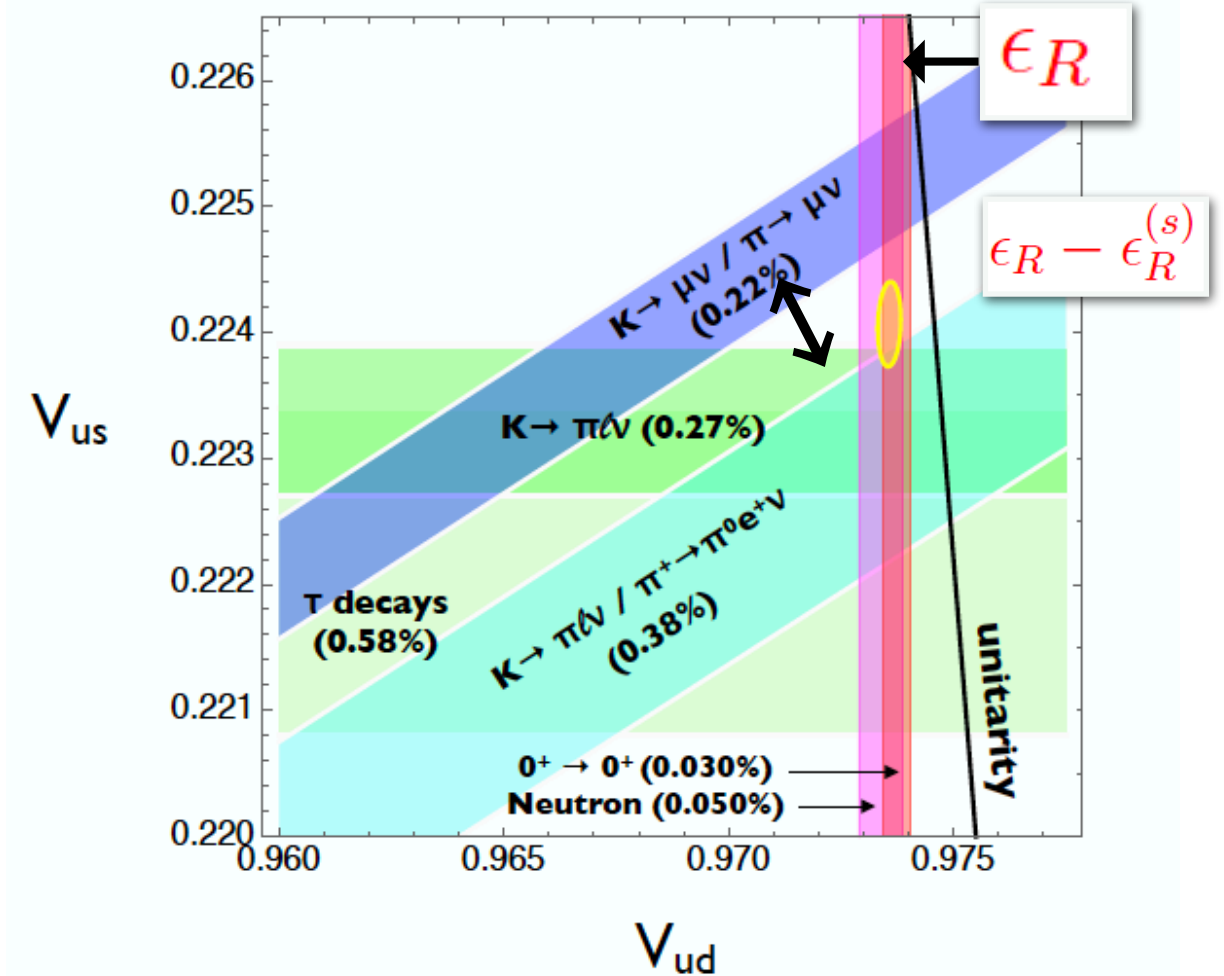
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Relevant for  $R_V$

Relevant for  $R_A$



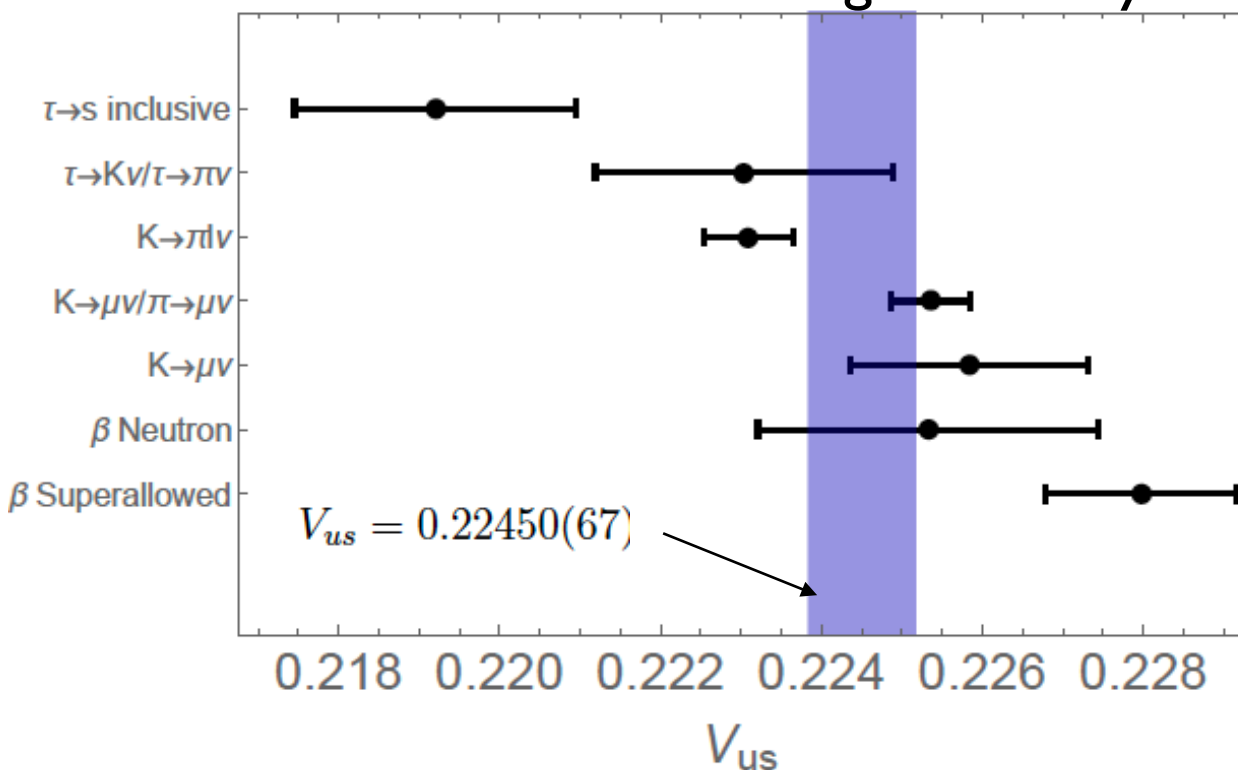
- $R_V$ ,  $R_A$ ,  $V_{ud}$  and  $V_{us}$  bands shift in correlated way, can resolve all tensions!

# $\Delta_{\text{CKM}}$ and R-handed currents

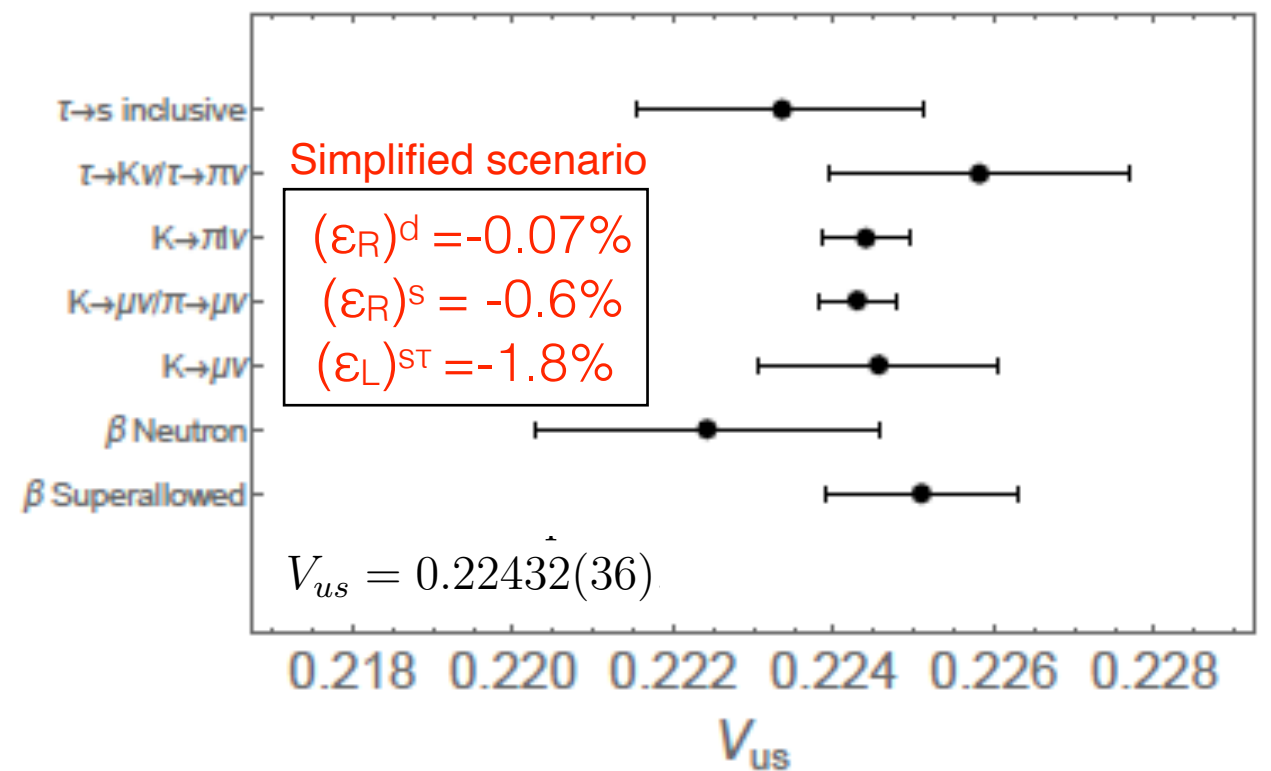
VC, Diaz-Calderon, Falkowski, Gonzalez-Alonso, Rodriguez-Sanchez 2112.02087

- Global fit to CC processes involving light quarks and all lepton families
- SM hypothesis ( $\varepsilon_i=0$ ) disfavored (p-value 0.3%)

SM limit: Cabibbo angle anomaly



Anomaly removed by turning on the  $\varepsilon_R$  couplings

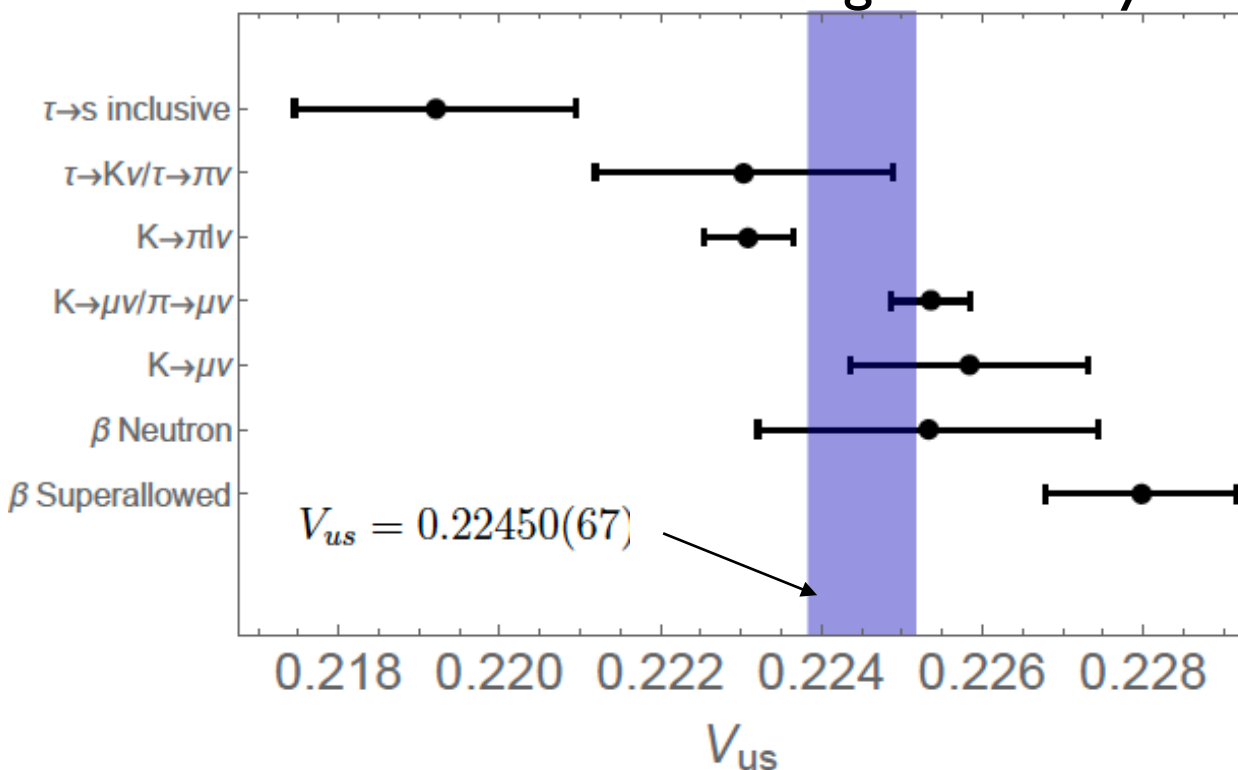


# $\Delta_{\text{CKM}}$ and R-handed currents

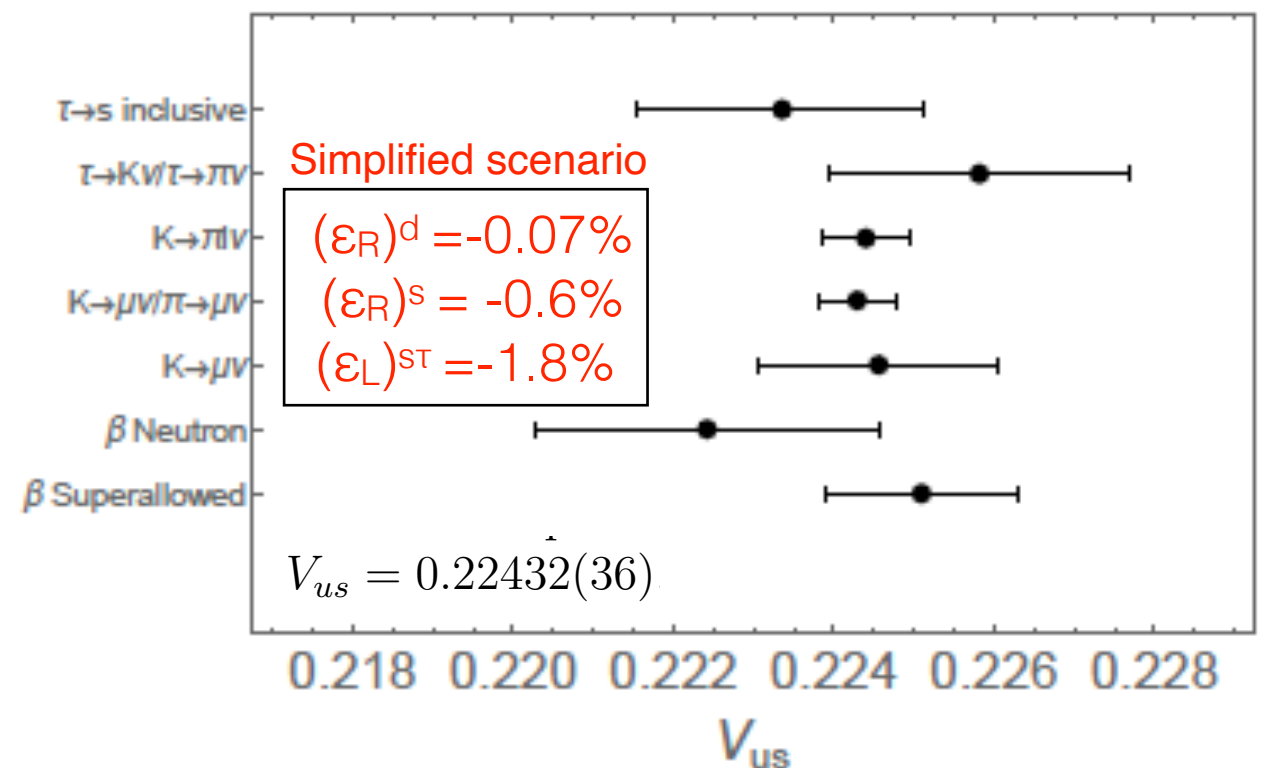
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Anomaly removed by turning on the  $\varepsilon_R$  couplings



- Intriguing hints  $\Rightarrow$  guidance for BSM model-building [Many papers]
- Can match from LEFT to SMEFT and look at collider and precision EW constraints on the BSM couplings that are (dis)favored by the Cabibbo anomaly

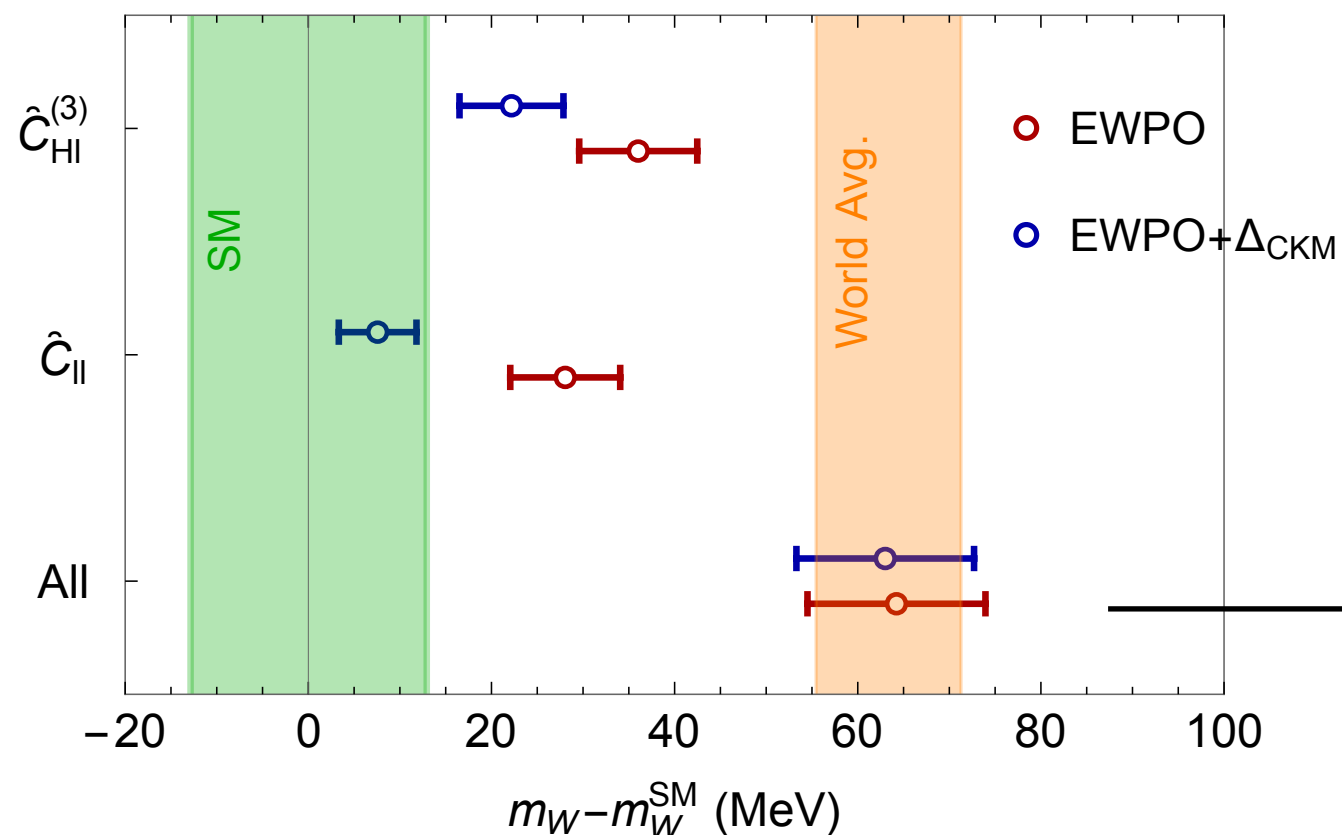
# Connection with $M_W$ ?

VC, Dekens, deVries, Mereghetti, Tong 2204.08440

- Explanations of  $M_W$  anomaly in SMEFT (beyond oblique corrections) are in tension with current CKM unitarity

deBlas et al 2204.04204,  
Bagnaschi et al 2204.05260, ...

$$\frac{\delta m_W^2}{m_W^2} = v^2 \frac{s_w c_w}{s_w^2 - c_w^2} \left[ 2 C_{HWB} + \frac{c_w}{2s_w} C_{HD} + \frac{s_w}{c_w} \left( 2 C_{Hl}^{(3)} - C_{ll} \right) \right]$$



$$\Delta_{\text{CKM}} = v^2 \left[ C_{\Delta} - 2 C_{lq}^{(3)} \right]$$

$$C_{\Delta} = 2 \left[ C_{Hq}^{(3)} - C_{Hl}^{(3)} + \hat{C}_{ll} \right]$$

Assume flavor-universal couplings

$$\Delta_{\text{CKM}}^{\text{EWfit}} = -(0.012 \pm 0.005),$$



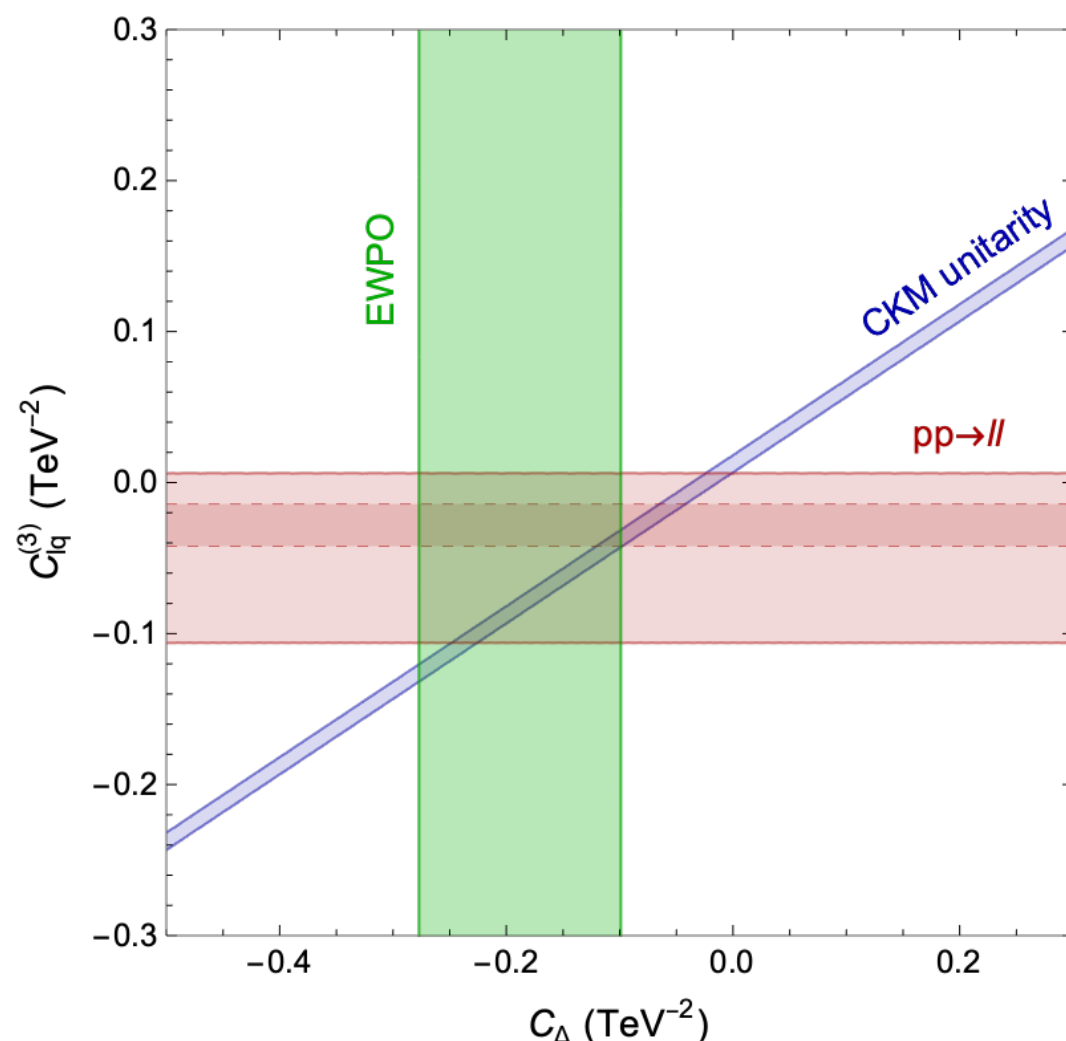
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Assume flavor-universal couplings

- Decouple by turning on  $C_{lq}^{(3)}$ : but constraints from Drel-Yan at LHC are catching up and will test this scenario



# Conclusions & Outlook

- The Cabibbo angle anomaly is one of few low-energy “cracks” in the SM, probing new physics up to  $\Lambda \sim 20 \text{ TeV}$  — big deal if confirmed!
- A number of explanations are currently possible
  - SM ‘deficiencies’: need controlled radiative corrections!  
Lattice QCD, EFT, and ab-initio nuclear structure are the way to go
  - BSM explanations: most likely “vertex corrections” in the EFT language
  - Experimental input?
- New precision measurements in  $K$ ,  $\pi$ , and neutron decay are very desirable, and will shed light on the anomaly as these systems are theoretically simpler than nuclei