

# Beyond the Standard Model in the Neutrino Sector

Snowmass Neutrino Colloquium

20 April, 2022

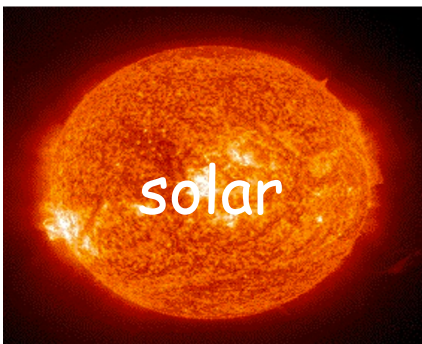
Zahra Tabrizi

Neutrino Theory Network (NTN) fellow

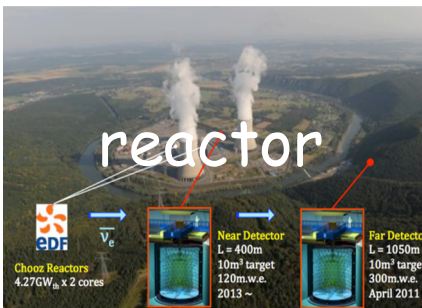


Northwestern  
University

# Status of Neutrino Physics in 2022

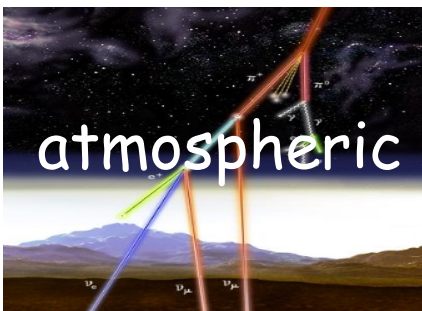


Super-Kamiokande, Borexino, SNO



MBL: Daya Bay, RENO, Double Chooz

LBL: KamLAND



IceCube, Super-Kamiokande



T2K, MINOS, NOvA

mixing angles:

$$\sin^2 \theta_{12} @ 4\%$$

$$\sin^2 \theta_{13} @ 3\%$$

$$\sin^2 \theta_{23} @ 3\%$$

mass squared differences:

$$\Delta m_{21}^2 @ 3\%$$

$$|\Delta m_{31}^2| @ 1\%$$

Future: DUNE, T2HK, JUNO



- Increase the precision
- CP-phase
- Mass hierarchy

Also:

Mass scale? Dirac or Majorana?  
Sterile?

# Questions:

- How can we systematically use different neutrino experiments for BSM searches?
- How can we connect results to other particle physics experiments?
- Can neutrino experiments probe compelling new physics beyond the reach of high energy colliders?



- I am biased;
- Taking DUNE as an example for most cases, while the overall search strategies are applicable to other similar experiments, e.g. Hyper-K, JUNO, etc.;
- See the talks of Joachim Kopp and Georgia Karagiorgi for a more complete picture;

# Physics goals of near detectors:

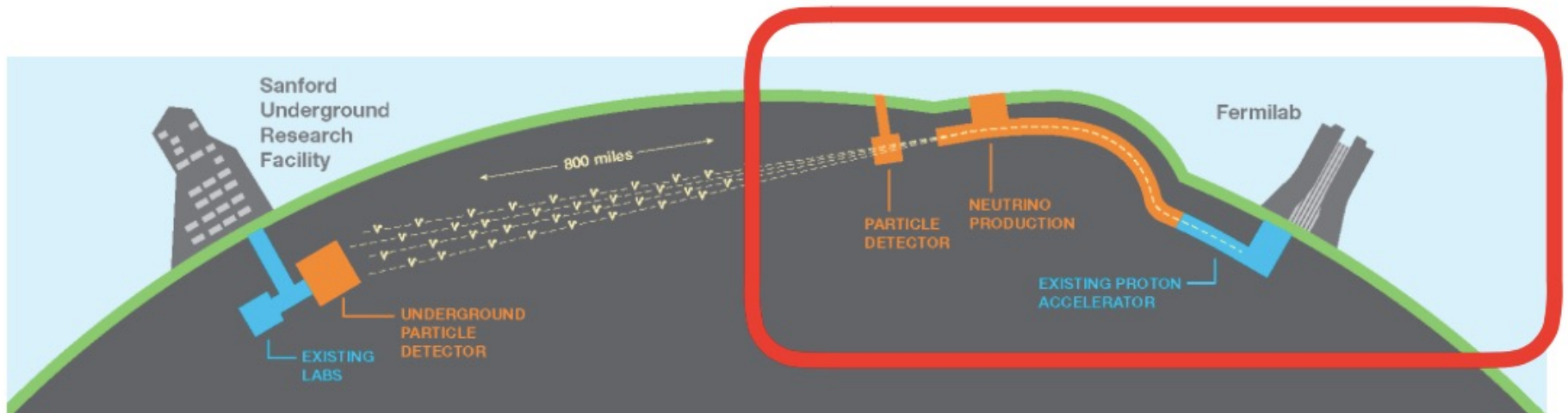
Primary role: Understanding Systematic Uncertainties

High beam luminosity +  
Large fiducial mass

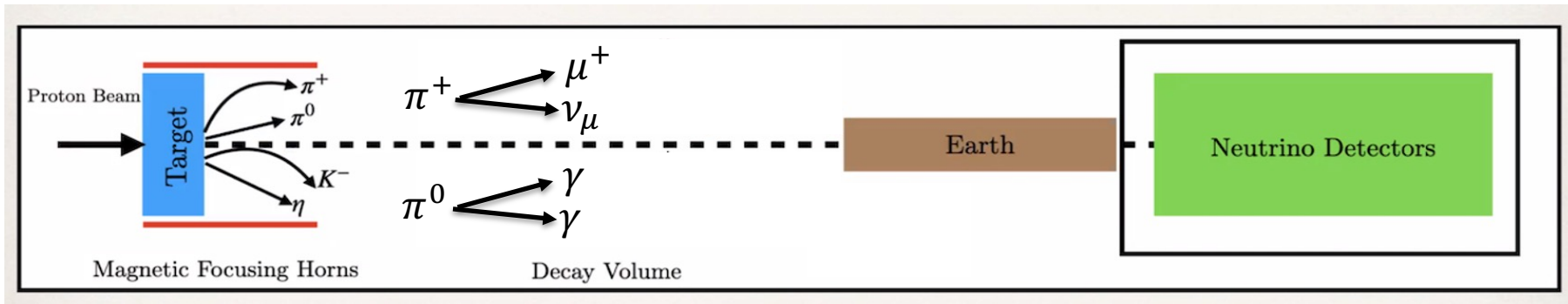
$$\sigma < 10^{-44} \text{ cm}^2$$

Ideal to investigate  
**rare/new** neutrino  
interactions

- Test SM predictions
- Search for BSM physics

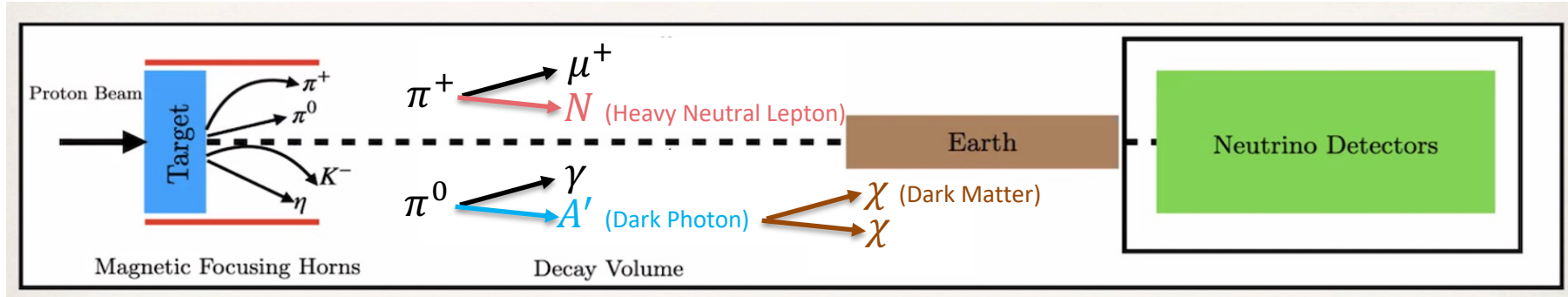


# Neutrino Experiments as Dark Sector factories!



Credit: Kevin Kelly

The huge fluxes of neutrinos and photos can be used for BSM searches

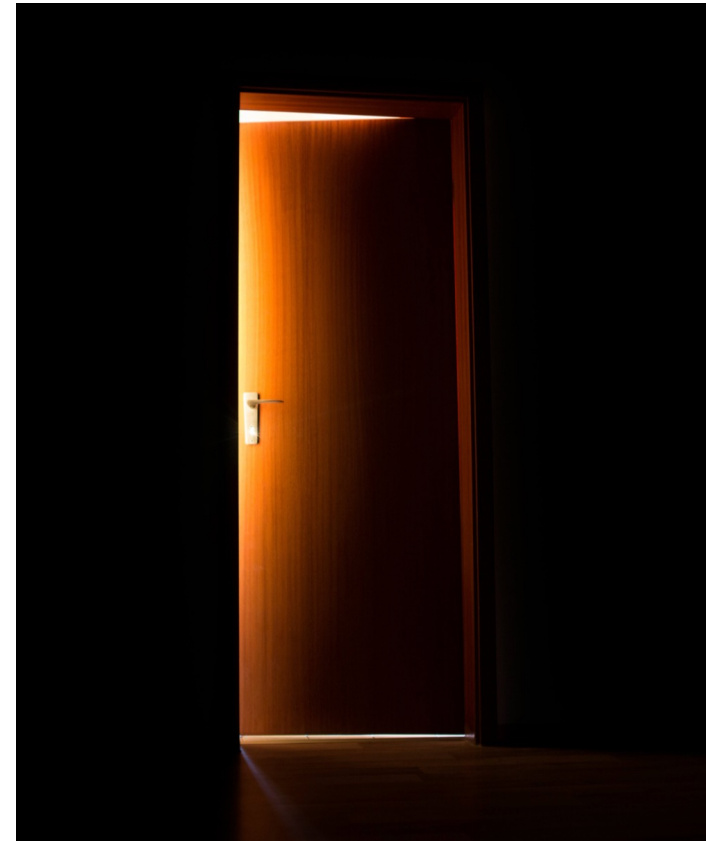


- Heavy Neutral Leptons, Dark Photon, light DM, etc

Berryman et al, PRD (2018)  
Breitbach et al, JHEP (2022)  
De Romeri et al, PRD (2019)  
Magill et al, PRL (2019)

# Outline

- Dark Sector at the Near Detectors:
  - ALPs
  - Light Dark Matter
  - Light  $Z'$
- Model independent approach:
  - Why EFT?
  - EFT ladder
  - EFT at Neutrino Experiments
- Conclusion



# Dear google: not all ALPs are Mountains!

ALPs × 🔍

[All](#) [Maps](#) [Images](#) [News](#) [Videos](#) [More](#) [Tools](#)

About 1,950,000,000 results (0.78 seconds)

<https://en.wikipedia.org> > [wiki](#) > [Alps](#) ⋮

## Alps - Wikipedia



The **Alps** are the highest and most extensive mountain range system that lies entirely in Europe, stretching approximately 750 mi (1,210 km) across eight ...

Age of rock: [Tertiary](#)

Peak: [Mont Blanc](#)

Width: 250 km (160 mi)

Area: 200,000 km<sup>2</sup> (77,000 sq mi)

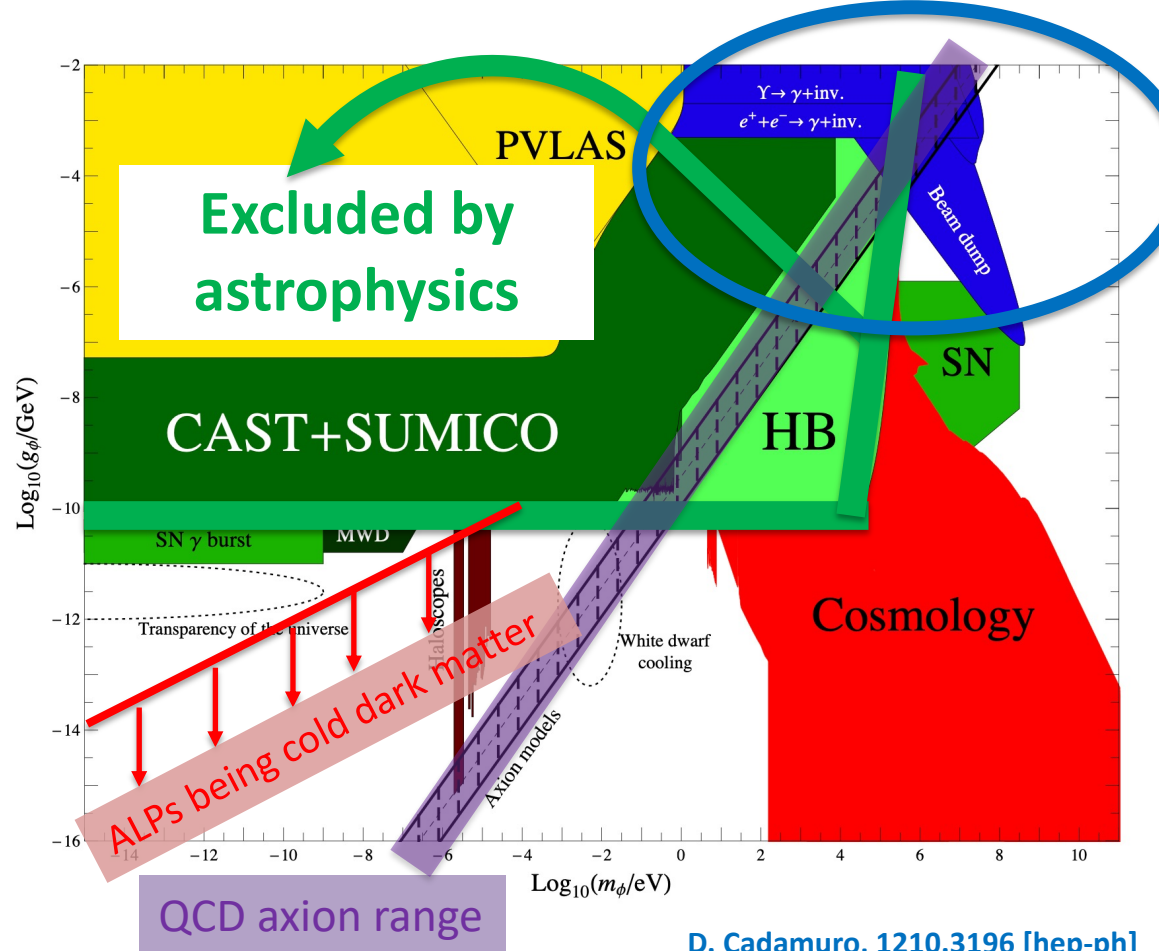
[Geography of the Alps](#) · [Alps \(disambiguation\)](#) · [Alpine mountains above 3000 m](#)



# Axion-Like Particles (ALPs)

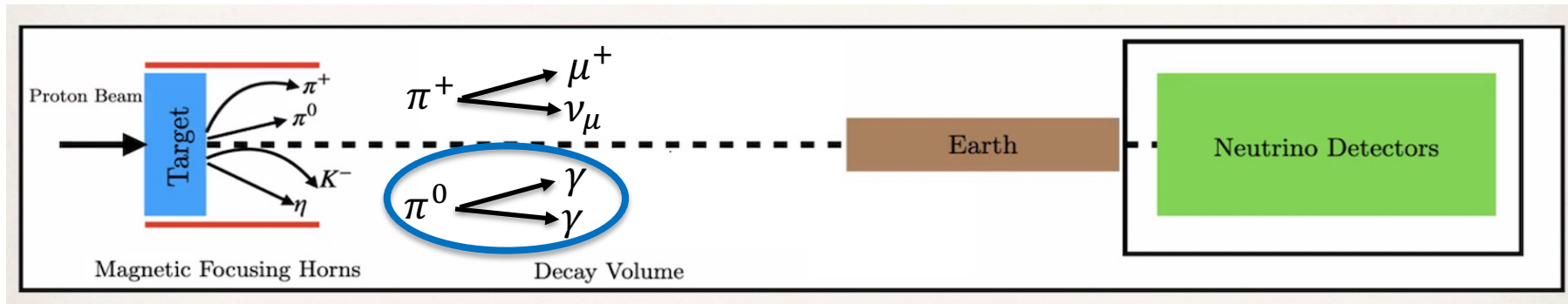
particle physics experiments

- (pseudo)scalars, strongly motivated by theory and cosmology;
- Why is CP conserved in QCD? Solution to the strong CP problem (QCD axion);
- DM candidates;
- Offer new experimental approaches towards the dark sector;



D. Cadamuro, 1210.3196 [hep-ph]

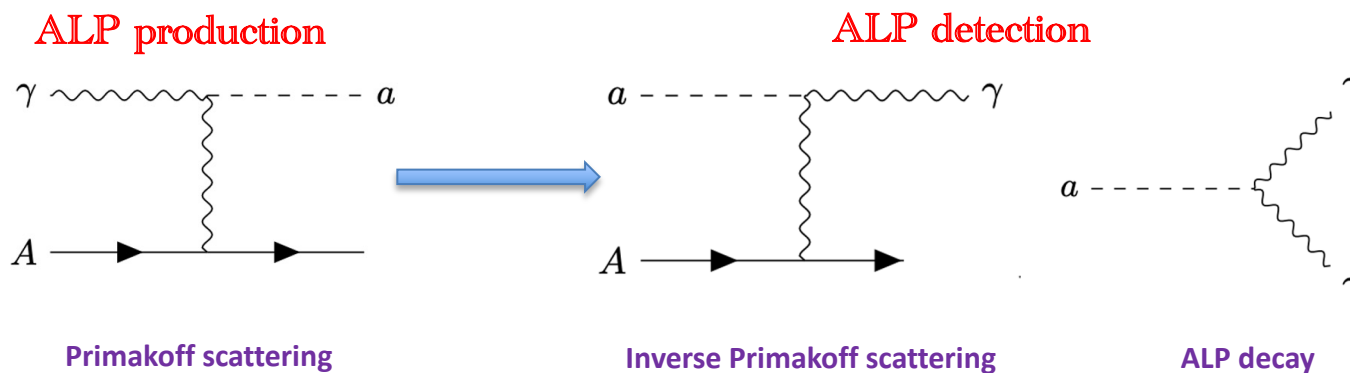
# ALPs at Neutrino Experiments



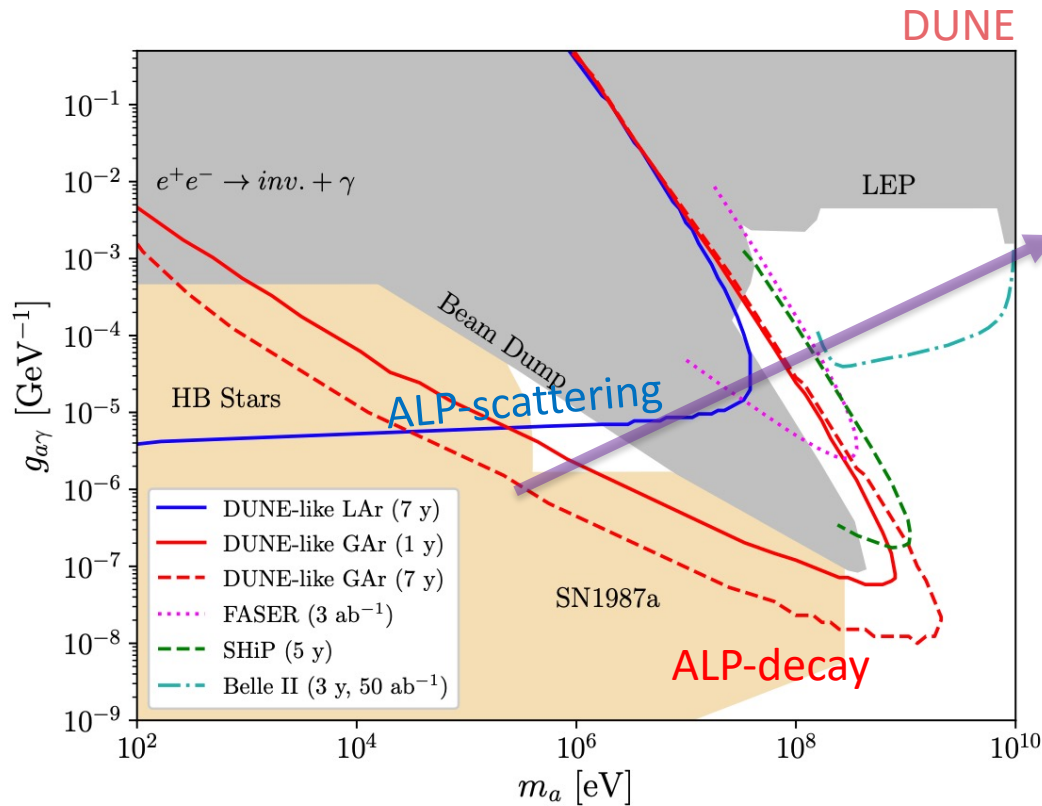
Credit: Kevin Kelly

## Using photons to produce ALPs:

$$\mathcal{L}_{a\gamma\gamma} \supset -\frac{1}{4}g_{a\gamma\gamma}aF_{\mu\nu}\tilde{F}^{\mu\nu}$$



# ALPs at Neutrino Experiments

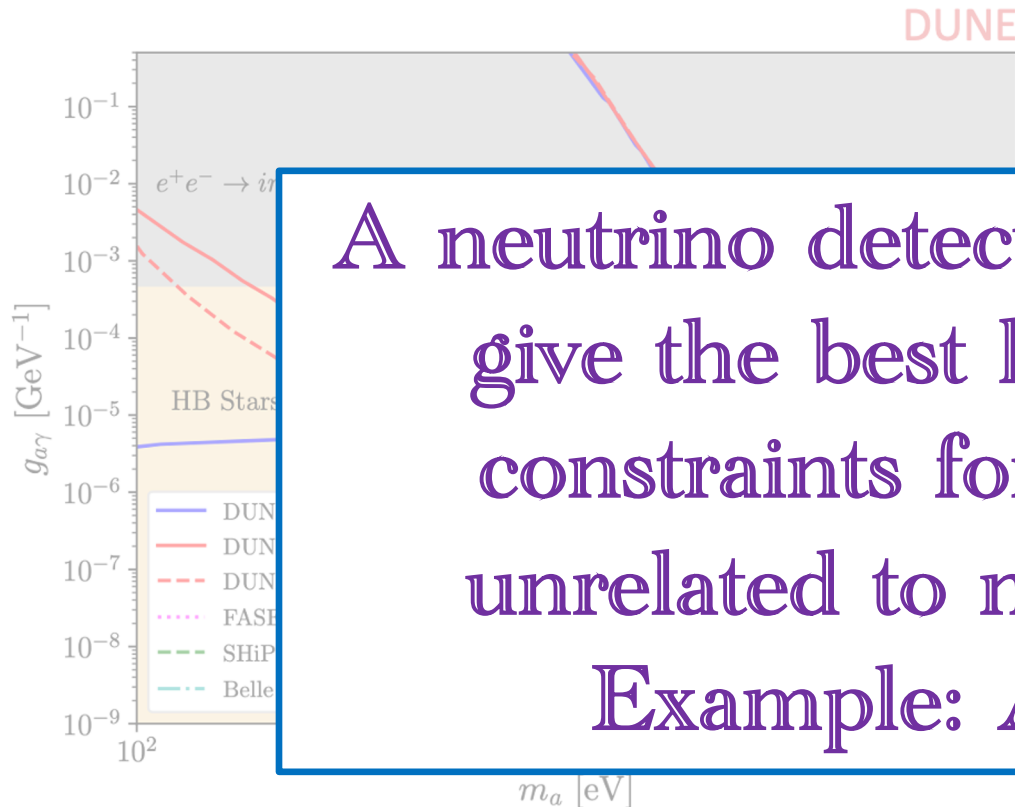


- The only lab-based constraints!
- Gas-detector is the key, due to significantly low background!

See Joachim Kopp's talk about Gas detectors

Brdar, Dutta, Jang, Kim, Shoemaker, ZI, Thompson, Yu  
PRL (2021)

# ALPs at Neutrino Experiments



A neutrino detector can even give the best lab-based constraints for models unrelated to neutrinos. Example: ALPs!

constraints!

key, due to background!

Gas detectors

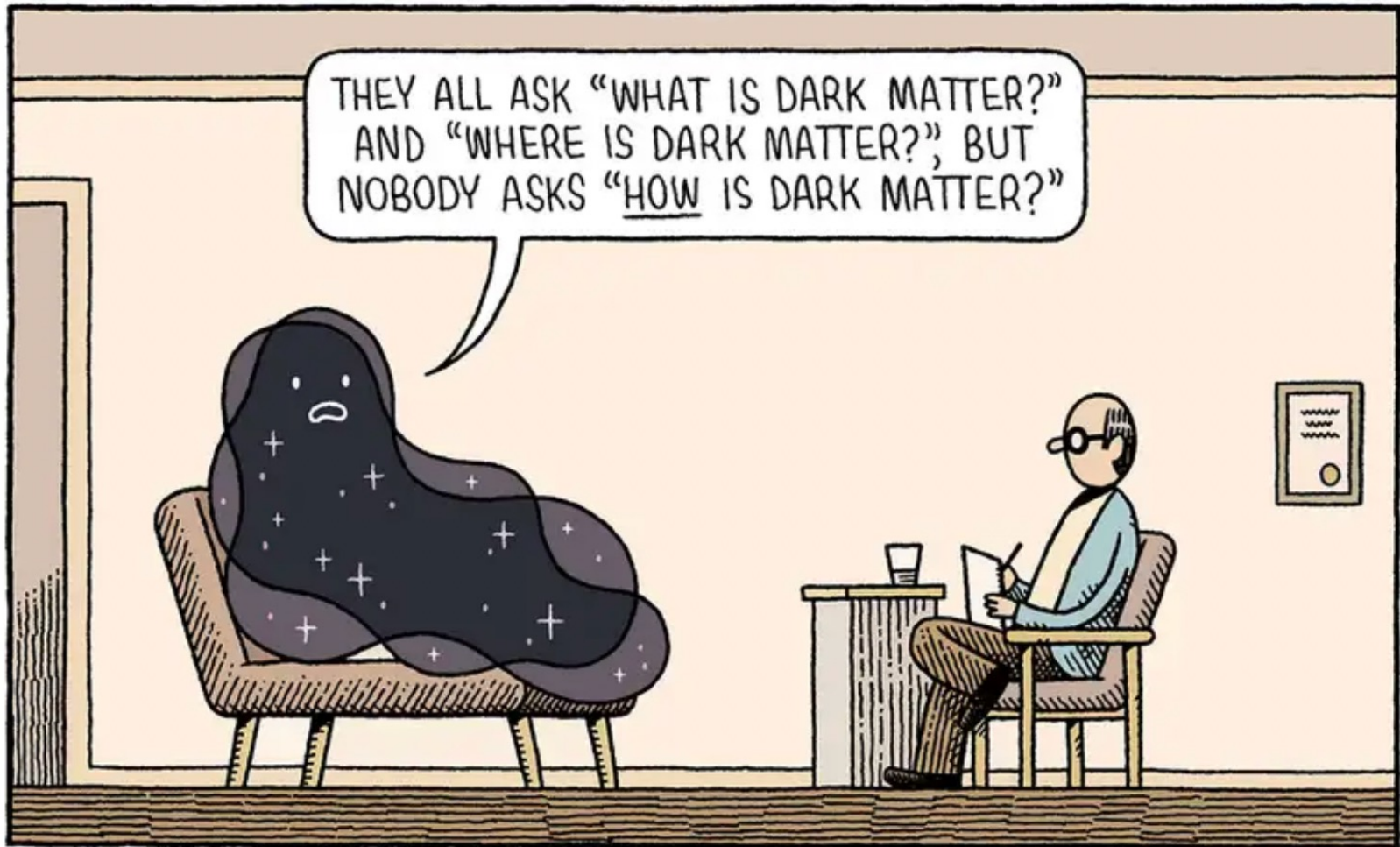
Brdar, Dutta, Jang, Kim, Shoemaker, ZT, Thompson, Yu  
PRL (2021)

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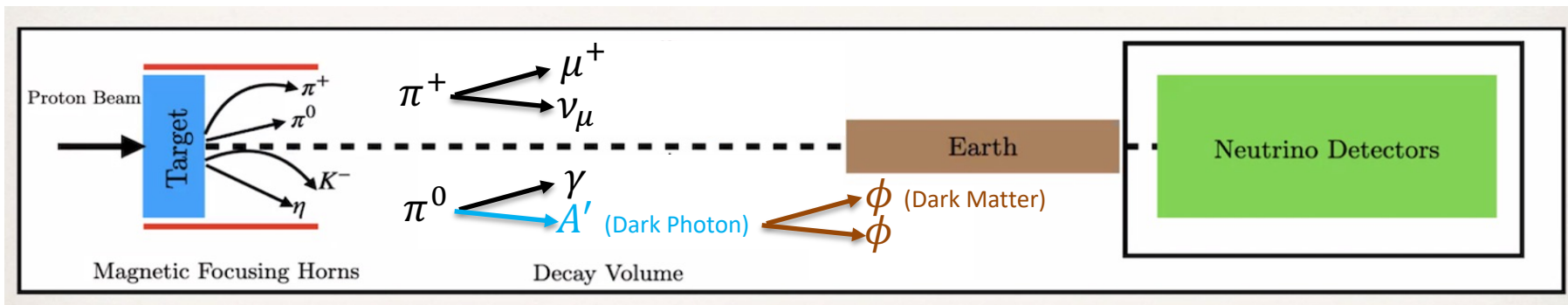


# “How is Dark Matter?”



TOM GAULD for NEW SCIENTIST

# Light Dark Matter

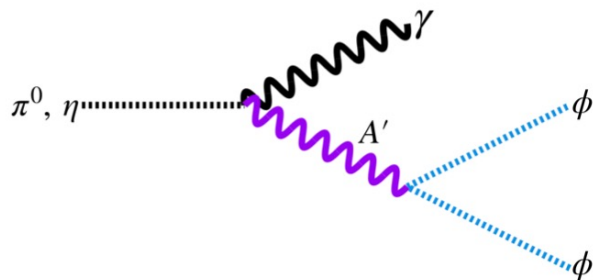


Photons at the target kinetically produce Dark Photons, which decay into dark matter:

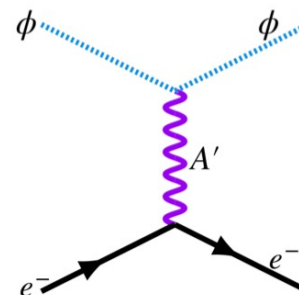
$$\mathcal{L} \supset -\frac{\epsilon}{2} F^{\mu\nu} F'_{\mu\nu} + \frac{M_{A'}^2}{2} A'_\mu A'^\mu + |D_\mu \phi|^2 - M_\phi^2 |\phi|^2$$

$$D_\mu = \partial_\mu - ig_D A'_\mu, \quad g_D = \sqrt{4\pi\alpha_D}$$

DM production



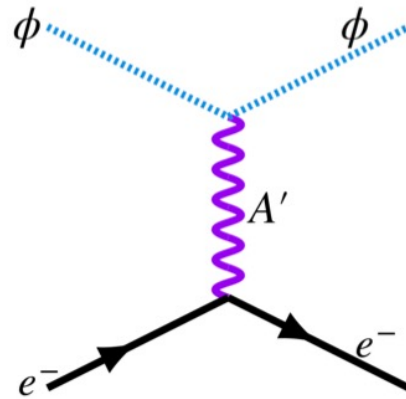
DM detection



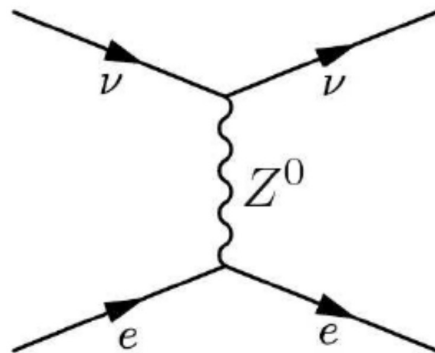
De Romeri, Kelly, Machado, PRD (2019)

# Light Dark Matter

DM signal: elastic scattering on electrons



But so do neutrinos!



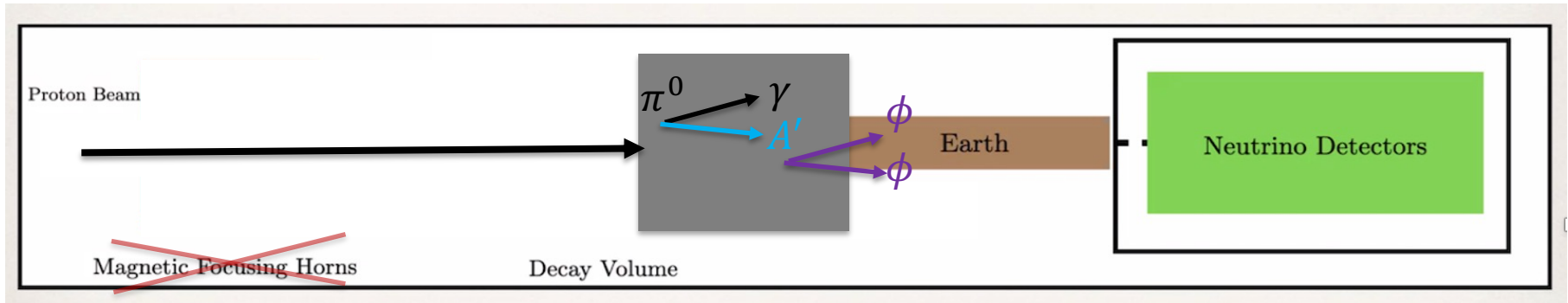
How can we get rid of neutrinos in a neutrino detector?





# Light Dark Matter---DUNE Beam Dump

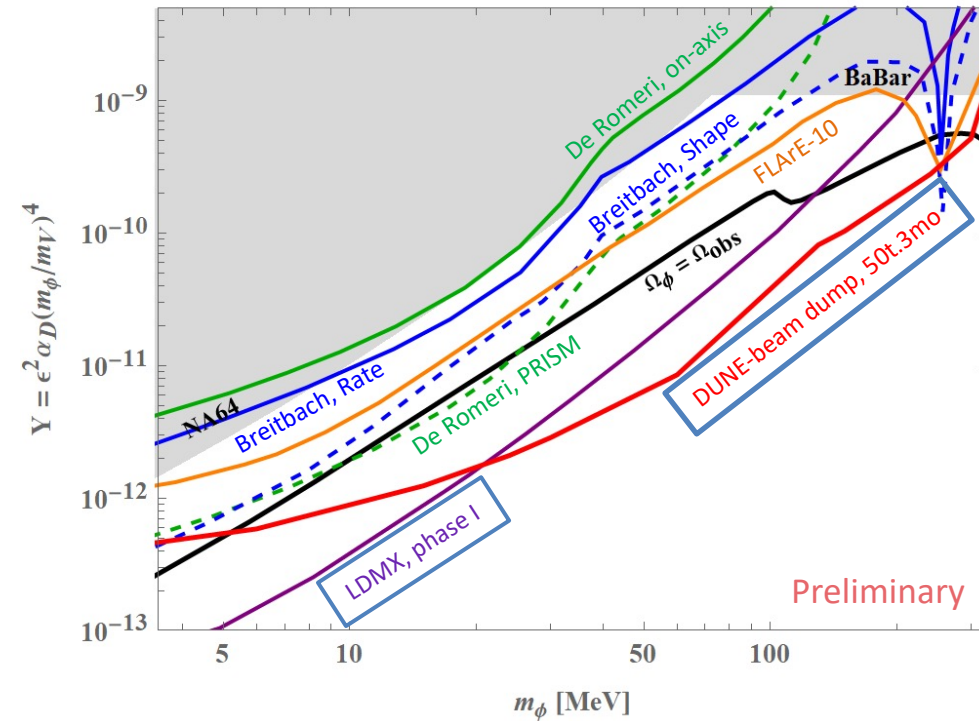
- Similar to MiniBooNE beam dump DM search



- Reduce  $\nu$  production by steering beam to miss the target (horn powered off)
- Charged mesons absorbed in the Al beam dump before decay  $\rightarrow$  reduces the  $\nu$  flux

# Light Dark Matter---DUNE Beam Dump

Scalar dark matter:  $m_V = 3m_\phi$ ,  $\alpha_D = 0.1$

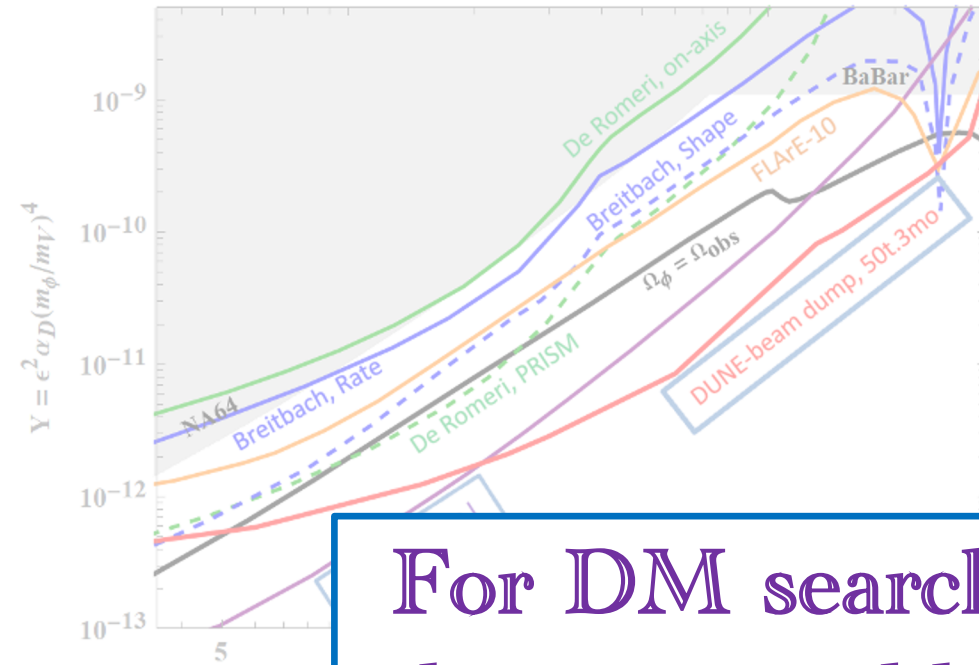


DUNE-beam dump can do even better than LDMX in just 3 months!

Bhattarai, Brdar, Dutta, Jang, Kim, Shoemaker, ZT,  
Thompson, Yu  
(In preparation)

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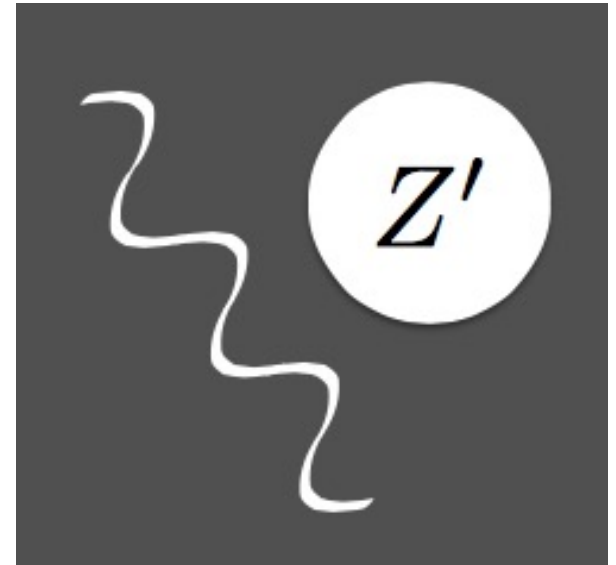


DUNE-beam dump can do even better than LDMX in just 3 months!

For DM searches, a neutrino detector could even do better than a dedicated DM experiment!

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# Light Z'

- Low Energy Experiments

Miranda et al, JHEP (2020)  
 Coloma et al, JHEP (2021)  
 Cadeddu et al, JHEP (2021)

- Fixed Target Experiments

Gninenko, PLB (2012)  
 Tsai et al, PRL (2021)  
 Bauer et al, JHEP (2018)

- Neutrino Trident Searches

Altmannshofer et al, PRL (2014)  
 Ballet et al, JHEP (2019)

- Neutrino-Electron Scattering

Harnic et al, JCAP (2012)  
 Lindner et al, JHEP (2018)  
 Ballet et al, JHEP (2019)

- Colliders

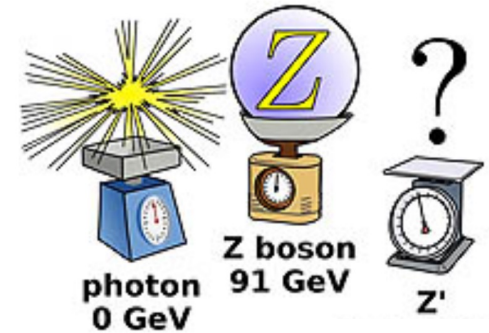
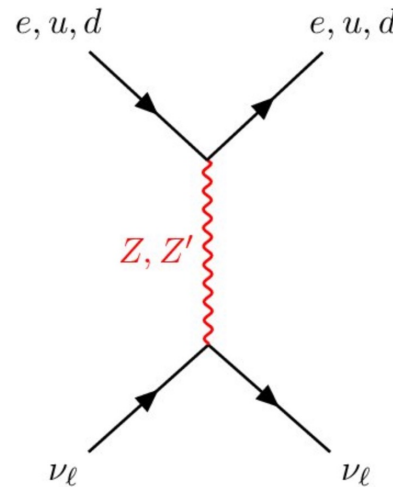
BaBar Collaboration, PRL (2014)  
 BaBar Collaboration, PRL (2017)

- Cosmology

Escudero et al, JHEP (2019)

*What can we learn from neutrino experiments?*

$$\mathcal{L}_{Z'}^{\text{matter}} = -g' (a_u \bar{u} \gamma^\alpha u + a_d \bar{d} \gamma^\alpha d + a_e \bar{e} \gamma^\alpha e + b_e \bar{\nu}_e \gamma^\alpha P_L \nu_e + b_\mu \bar{\nu}_\mu \gamma^\alpha P_L \nu_\mu + b_\tau \bar{\nu}_\tau \gamma^\alpha P_L \nu_\tau) Z'_\alpha$$



[news.fnal.gov](http://news.fnal.gov)

The list is far from being exhaustive!

# Light Z'

- Low Energy Experiments

Miranda et al, JHEP (2020)  
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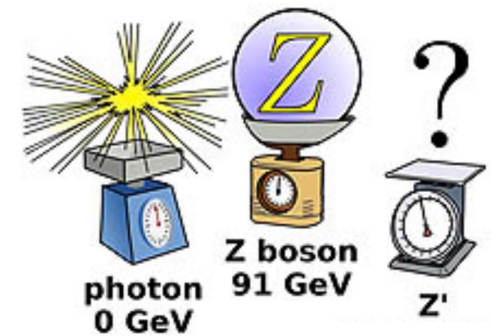
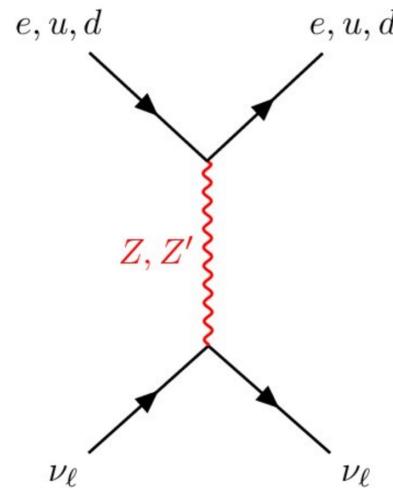
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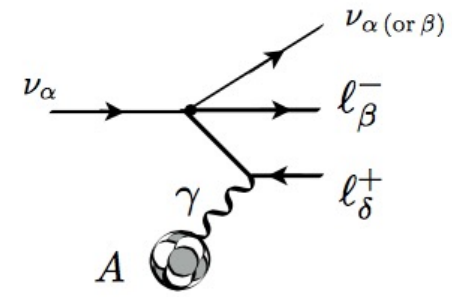
[news.fnal.gov](http://news.fnal.gov)

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# Neutrino Trident Scattering

Production of a **charged lepton pair**  
in the scattering of a **neutrino**  
in the Coulomb field of a **heavy nucleus/nucleon**

$$\nu_\alpha + \mathcal{N} \rightarrow \nu_\beta + l_\gamma^+ + l_\delta^- + \mathcal{N}$$



**CHARM II**  
PLB (1990)

$$\frac{\sigma_{\text{CHARM II}}}{\sigma_{\text{SM}}} = 1.58 \pm 0.57$$

**CCFR**  
PRL (1991)

$$\frac{\sigma_{\text{CCFR}}}{\sigma_{\text{SM}}} = 0.82 \pm 0.28$$

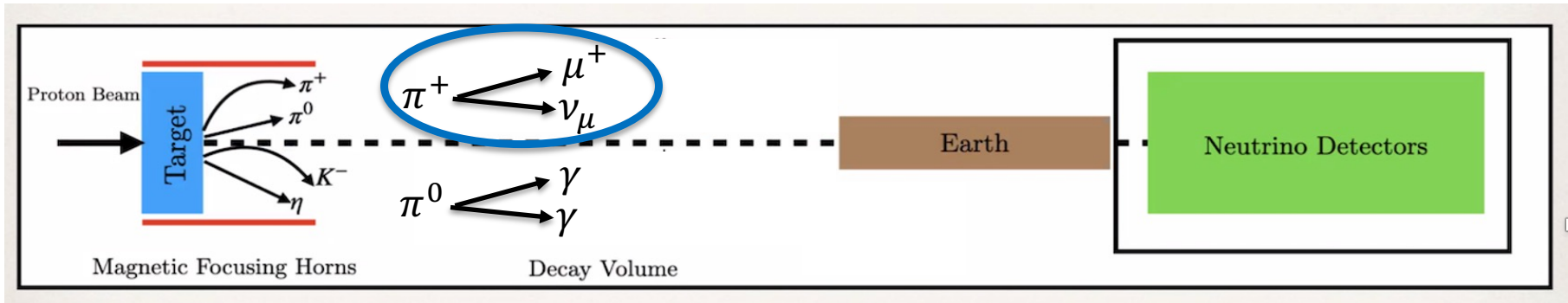
**NuTeV**

Vancouver 1998,  
High energy physics, vol 1

$$\frac{\sigma_{\text{NuTeV}}}{\sigma_{\text{SM}}} = 0.67 \pm 0.27$$

- Very large uncertainties
- Very few events observed (~100)

# Trident rates at LAr Detectors



Channel	SBND	$\mu$ BooNE	ICARUS	DUNE ND
Total $e^\pm \mu^\mp$	10	0.7	1	2993 (2307)
	2	0.1	0.2	692 (530)
Total $e^+ e^-$	6	0.4	0.7	1007 (800)
	0.7	0.0	0.1	143 (111)
Total $\mu^+ \mu^-$	0.4	0.0	0.0	286 (210)
	0.4	0.0	0.0	196 (147)

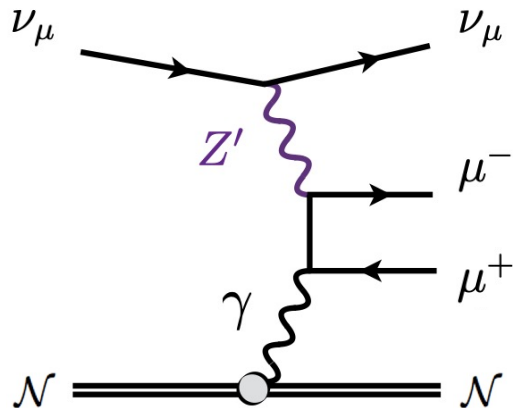
Coherent (upper) and diffractive (lower) trident events for (anti)neutrino mode

**More than 9,000 trident events at DUNE!**

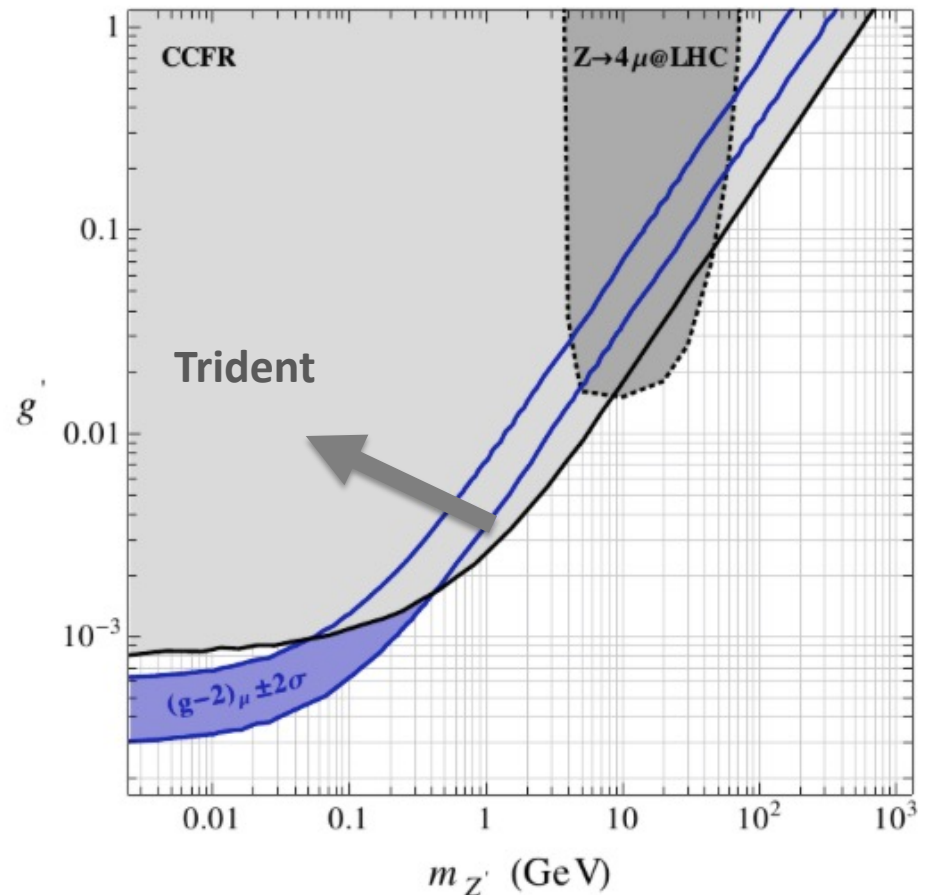


# Light $Z'$ : $L_\mu$ - $L_\tau$ Model

- $Z'$  only couples to muon and tau, but not to electrons;
- It can explain the muon  $(g-2)$  anomaly;
- Can be best probed using tridents;



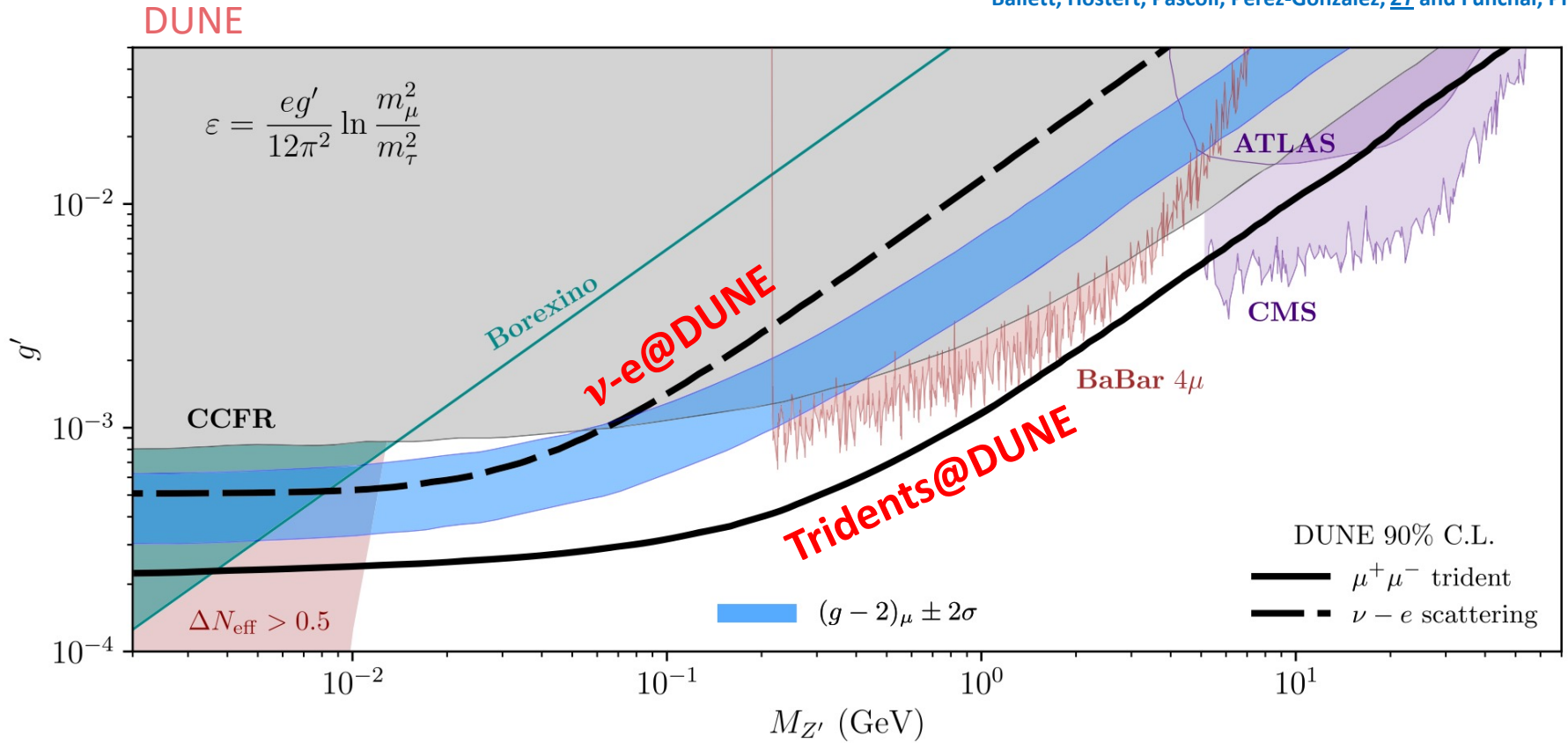
HE colliders only have access to large masses!



Altmannshofer, Gori, Pospelov, Yavin, PRL (2014)

# Light $Z'$ : $L_\mu$ - $L_\tau$ Model

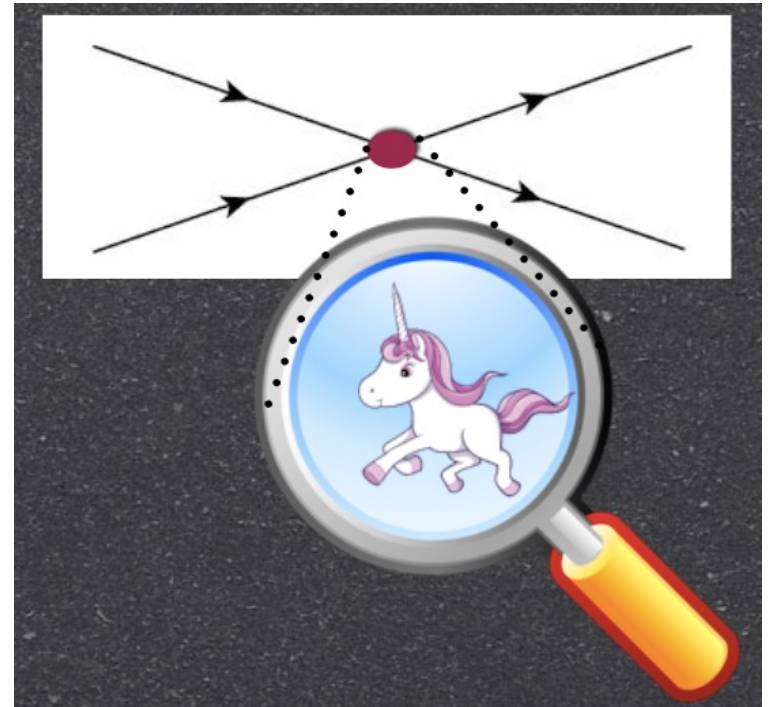
Ballett, Hostert, Pascoli, Perez-Gonzalez,  $Z'$  and Funchal, PRD (2019)



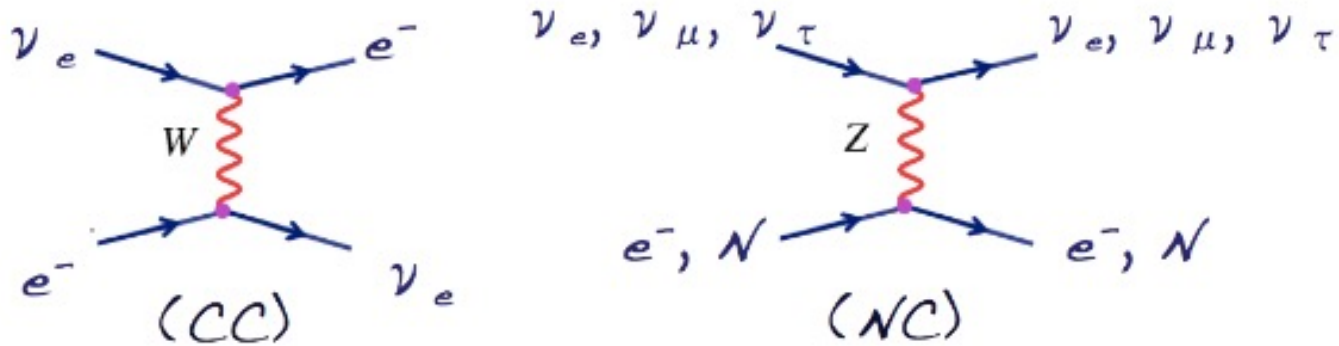
The whole  $g-2$  region can be probed by DUNE data!

# Outline

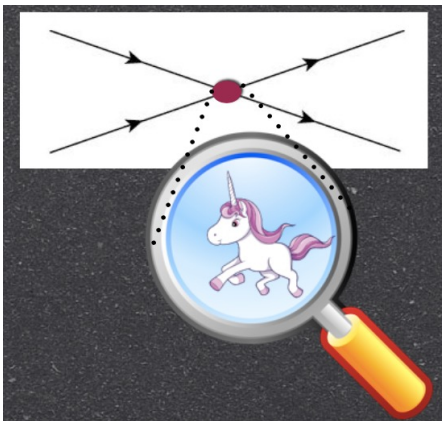
- Dark Sector at the Near Detectors:
  - ALPs ✓
  - Light Dark Matter ✓
  - Light  $Z'$  ✓
- Model independent approach:
  - Why EFT?
  - EFT ladder
  - EFT an Neutrino Experiments
- Conclusion



- Coherent CC and NC forward scattering of neutrinos

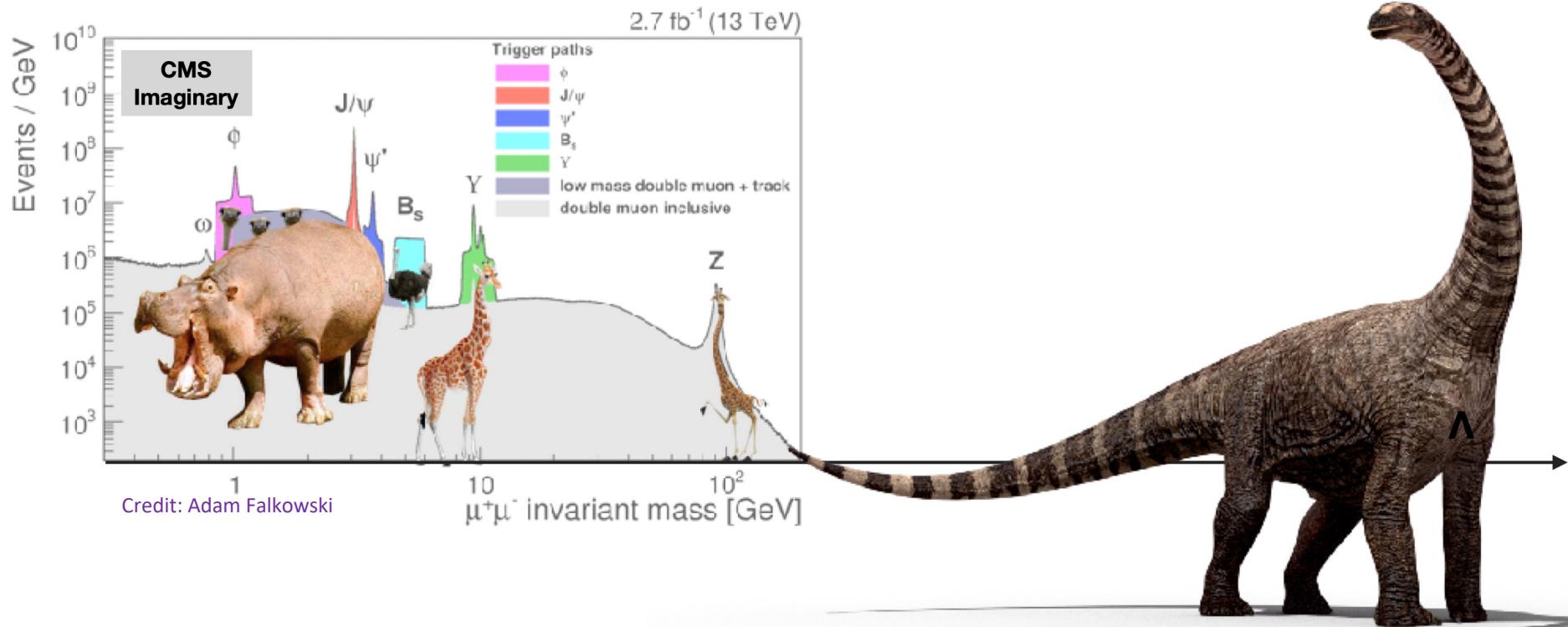


- New 4-fermion interactions



- Observable effects at neutrino production/propagation/detection?
- Using “EFT” formalism to “systematically” explore NP beyond the neutrino masses and mixing

# Fantastic Beasts and Where To Find Them



- It is likely the new degrees of freedom beyond the SM may not be directly available at LHC or even future colliders.
- However, even if it's not possible to see the head, perhaps we can see the tail?

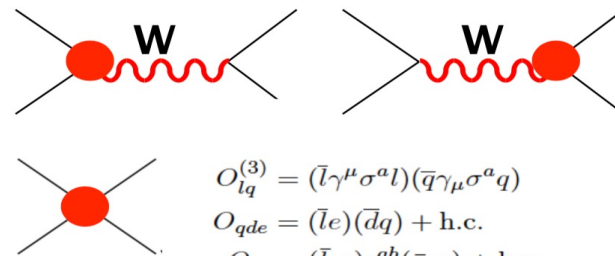
# EFT ladder

SMEFT: minimal EFT above the weak scale

$$\mathcal{L}_{\text{SMEFT}} = \mathcal{L}_{\text{SM}} + \mathcal{L}_{D=5} + \mathcal{L}_{D=6}$$

Known SM  
Lagrangian

Gives neutrino  
Masses

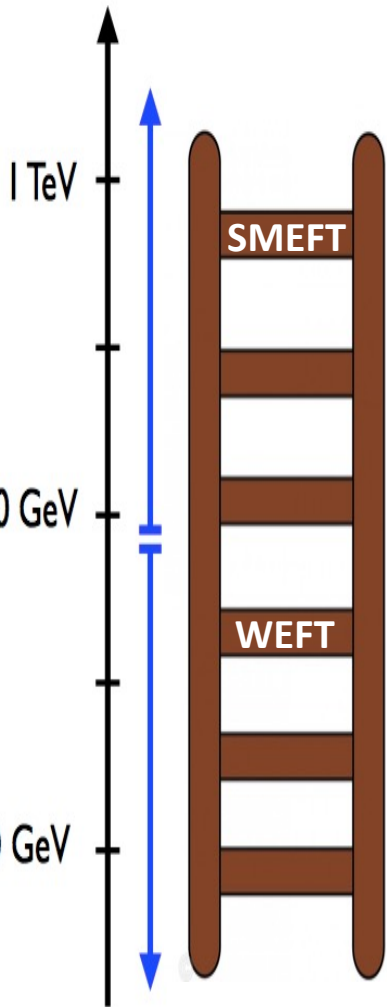


$$O_{lq}^{(3)} = (\bar{l}\gamma^\mu \sigma^a l)(\bar{q}\gamma_\mu \sigma^a q)$$

$$O_{qde} = (\bar{l}e)(\bar{d}q) + \text{h.c.}$$

$$O_{lq} = (\bar{l}_a e)\epsilon^{ab}(\bar{q}_b u) + \text{h.c.}$$

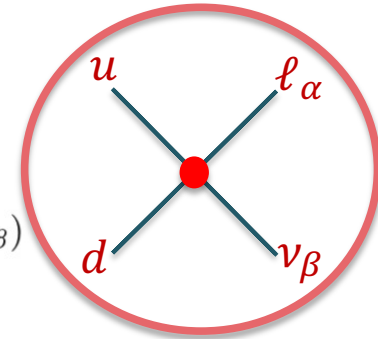
$$O_{lq}^t = (\bar{l}_a \sigma^{\mu\nu} e)\epsilon^{ab}(\bar{q}_b \sigma_{\mu\nu} u) + \text{h.c.}$$



# EFT ladder WEFT: Effective Lagrangian defined at a low scale $\mu \sim 2 \text{ GeV}$

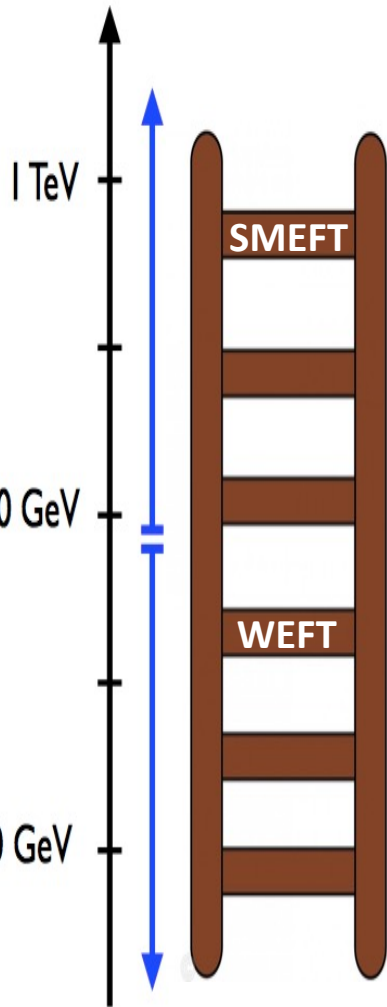
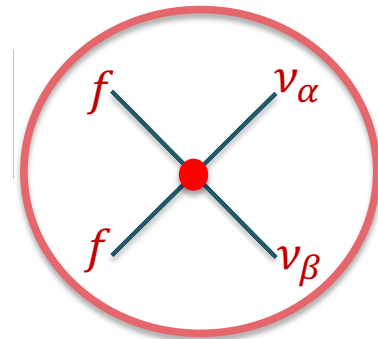
- CC: New left/right handed, (pseudo)scalar and tensor interactions

$$\mathcal{L}_{\text{WEFT}} \supset -\frac{2V_{ud}}{v^2} \left\{ [1 + \epsilon_L]_{\alpha\beta} (\bar{u}\gamma^\mu P_L d)(\bar{\ell}_\alpha \gamma_\mu P_L \nu_\beta) \right. \\ + [\epsilon_R]_{\alpha\beta} (\bar{u}\gamma^\mu P_R d)(\bar{\ell}_\alpha \gamma_\mu P_L \nu_\beta) \\ + \frac{1}{2} [\epsilon_S]_{\alpha\beta} (\bar{u}d)(\bar{\ell}_\alpha P_L \nu_\beta) - \frac{1}{2} [\epsilon_P]_{\alpha\beta} (\bar{u}\gamma_5 d)(\bar{\ell}_\alpha P_L \nu_\beta) \\ \left. + \frac{1}{4} [\hat{\epsilon}_T]_{\alpha\beta} (\bar{u}\sigma^{\mu\nu} P_L d)(\bar{\ell}_\alpha \sigma_{\mu\nu} P_L \nu_\beta) + \text{h.c.} \right\}$$



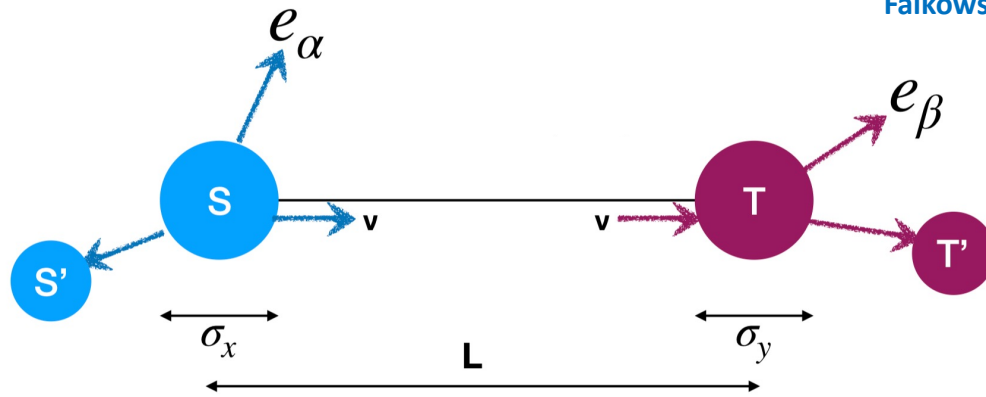
- NC: New left and right handed interactions

$$\mathcal{L}_{\text{WEFT}} \supset -\frac{2}{v^2} \epsilon_{\alpha\beta}^{fX} (\bar{\nu}_\alpha \gamma^\mu P_L \nu_\beta) (\bar{f} \gamma_\mu P_X f)$$



# EFT at neutrino experiments

Falkowski, González-Alonso, ZT, JHEP (2020)



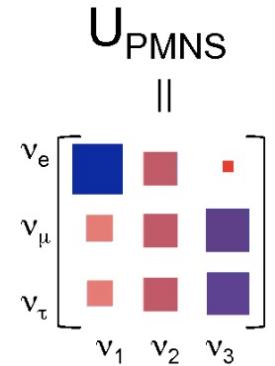
Observable: rate of detected events

~ (flux) × (det. cross section) × (oscillation)

CC EFT

NC EFT

See Joachim Kopp's talk for NC constraints



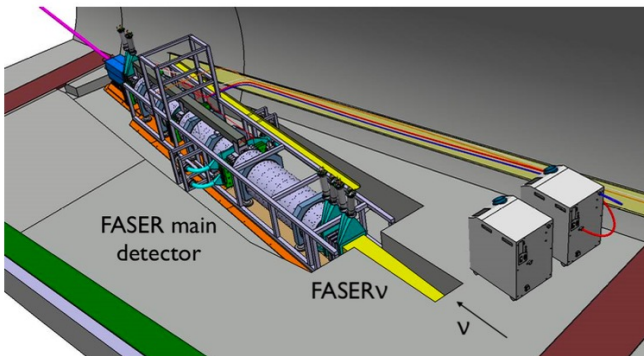
$$\begin{aligned}
 & -\frac{2V_{ud}}{v^2} \left[ [1 + \epsilon_L]_{\alpha\beta} \bar{e}_\alpha \gamma_\mu P_L \nu_\beta \cdot \bar{u}_L \gamma^\mu d_L \right. \\
 & + [\epsilon_R]_{\alpha\beta} \bar{e}_\alpha \gamma_\mu P_L \nu_\beta \cdot \bar{u}_R \gamma^\mu d_R \\
 & + \frac{1}{2} \bar{e}_\alpha P_L \nu_\beta \cdot \bar{u} [\epsilon_S - \epsilon_P \gamma_5]_{\alpha\beta} d \\
 & \left. + \frac{1}{4} [\epsilon_T]_{\alpha\beta} \bar{e}_\alpha \sigma_{\mu\nu} P_L \nu_\beta \cdot \bar{u}_R \sigma^{\mu\nu} d_L \right] + \text{h.c.}
 \end{aligned}$$

Depend on the kinematics and spin variables!



# EFT at FASER $\nu$

- Downstream of ATLAS at of 480 m;
- Ideal for detecting high-energy neutrinos at LHC;
- 1.1-t of tungsten material;
- Several production modes;
- Pion and Kaon decays are the dominant ones;
- All (anti)neutrino flavors are available;

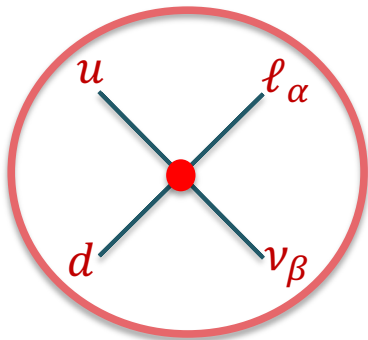


Within the SM:

$$\nu_e \sim 1000, \quad \nu_\mu \sim 5000, \quad \nu_\tau \sim 10$$

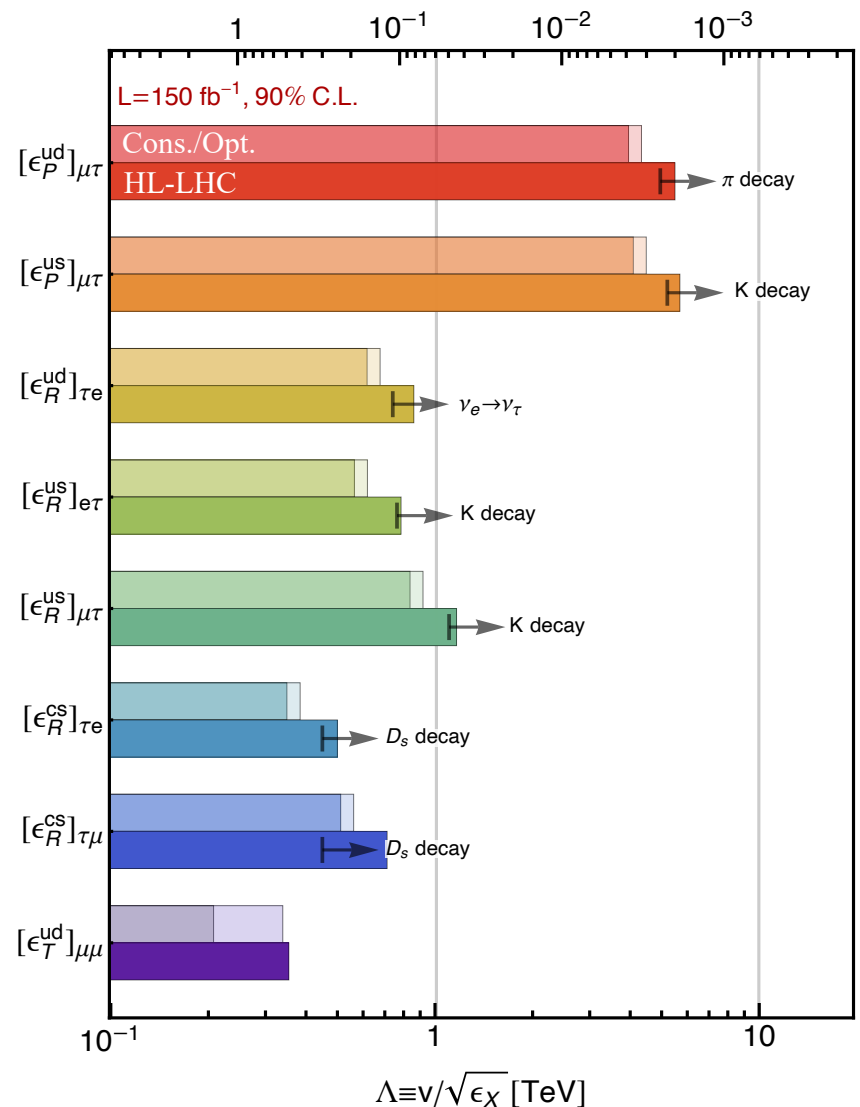
# EFT at FASER $\nu$

- FASER $\nu$ : colored bars
- Top: Conservative/Optimistic flux uncertainties
- Bottom: High luminosity LHC



- Neutrino detectors can identify flavor: 81 operators at FASER $\nu$
- New physics reach at multi-TeV
- Complementary or dominant constraints

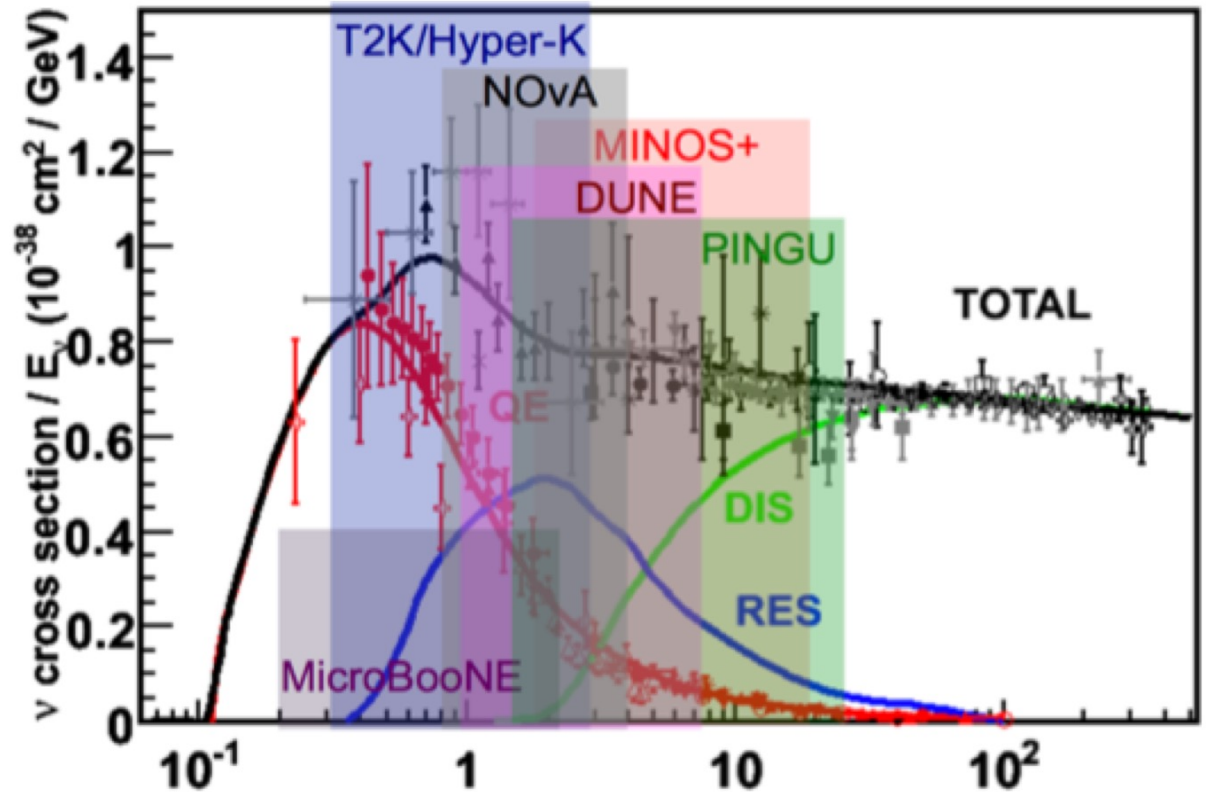
Falkowski, González-Alonso, Kopp, Soreq, ZT, JHEP (2021)



# How about DUNE-like experiments?

- 0.1-10 GeV energy range: cross section is much more involved!

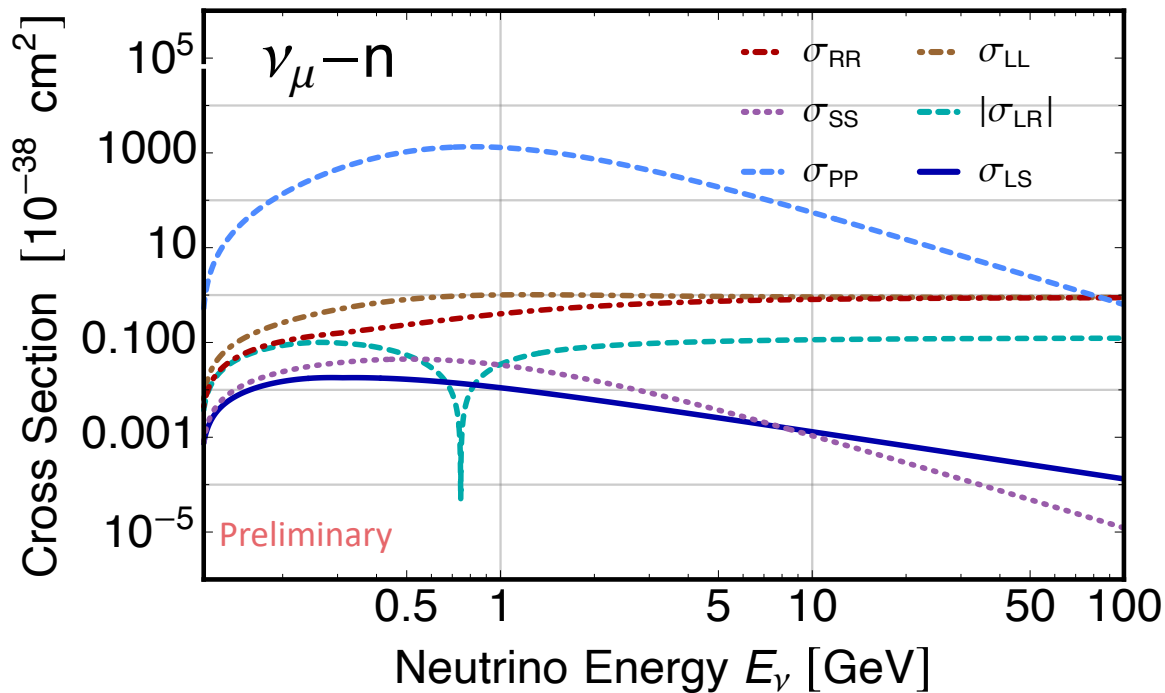
G. Zeller



J.A. Formaggio, G. Zeller, *Reviews of Modern Physics*, 84 (2012)



## e.g.: Quasi-Elastic scattering at the nucleon level

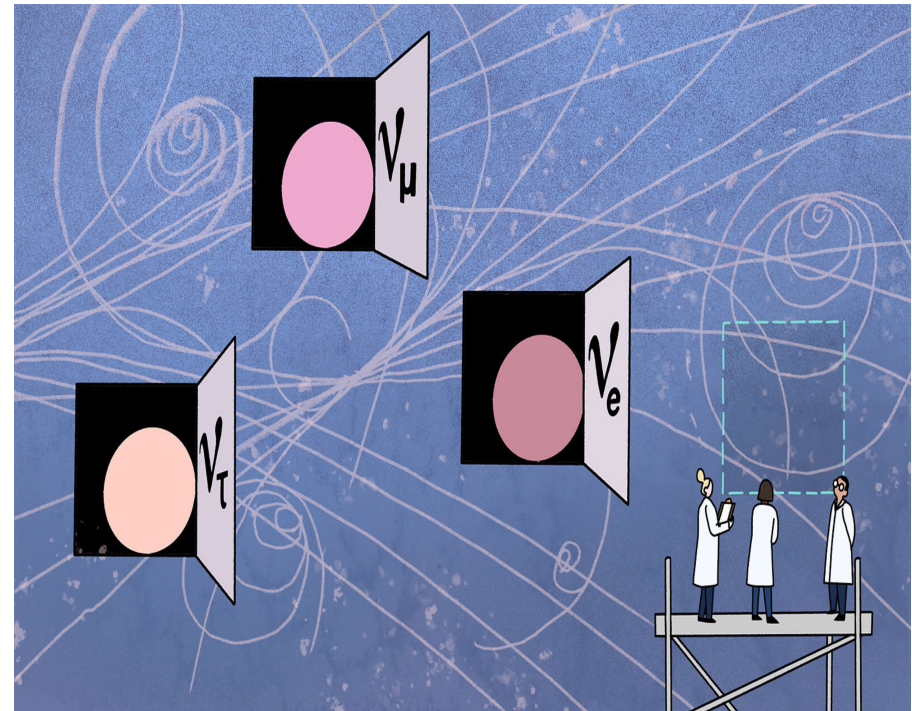


Kopp, Rocco, [ZT](#), in preparation

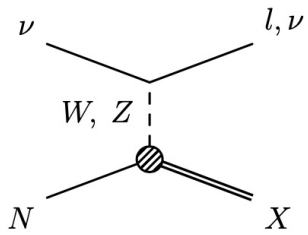
Can these detectors have  
access to new physics at  
100 TeV scale?

# Outline

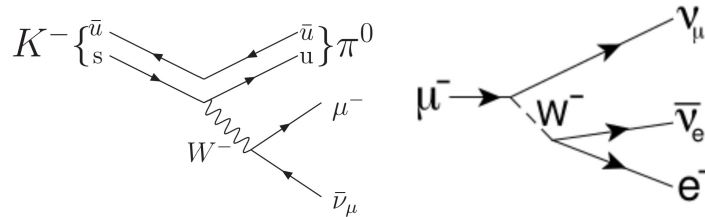
- Dark Sector at the Near Detectors:
  - ALPs ✓
  - Light Dark Matter ✓
  - Light  $Z'$  ✓
- Model independent approach:
  - Why EFT? ✓
  - EFT ladder ✓
  - EFT an Neutrino Experiments ✓
- Conclusion



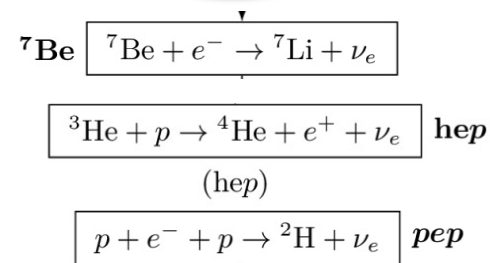
DIS: FASERv



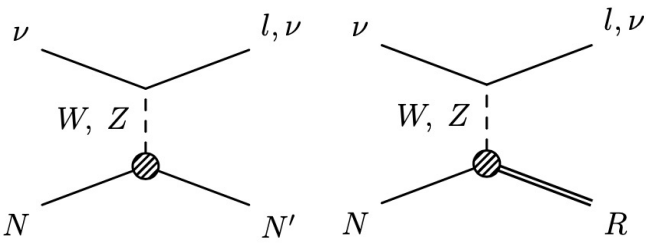
Kaon/Muon decay:  
ISODAR, KDAR



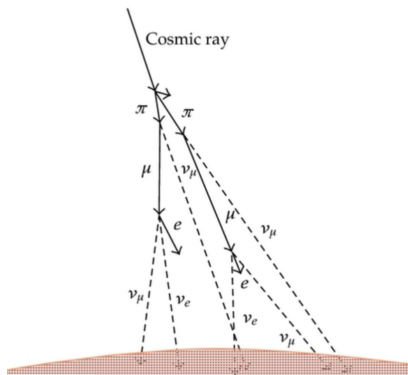
Solar neutrinos:  
Borexino



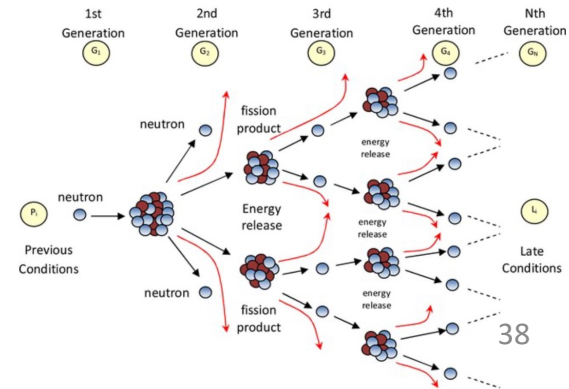
QE,  
Resonances:  
MINOS, NOvA,  
DUNE



Atmospheric  
Neutrinos:  
IceCube

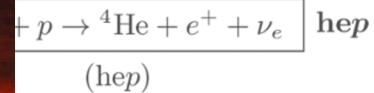
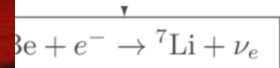


Beta decay and  
IBD: Reactor  
Experiments



DIS: FASERν

Solar  
neutrinos:  
Borexino



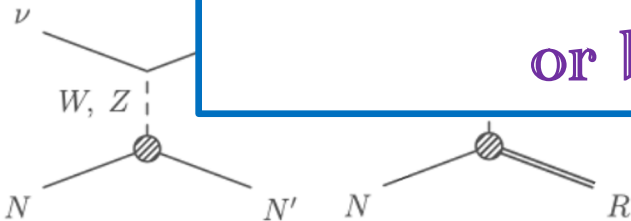
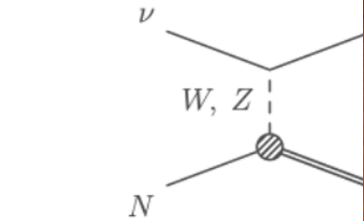
beta decay and  
IBD: Reactor  
Experiments



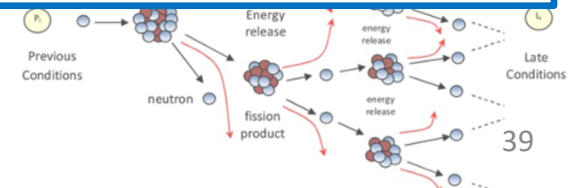
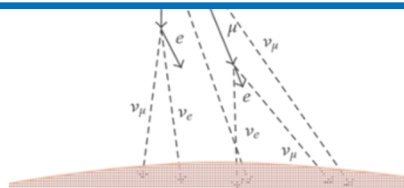
IceCube

QE,  
Resonances:  
MINOS, NOVA,  
DUNE

Neutrino experiments give us a powerful tool to search for new physics, either by direct production or by precision measurements!



4/20/2022



# Conclusion:

- New generation of neutrino experiments are being built to answer many unknowns in the neutrino sectors;
- We can use the near detectors to directly search for dark sector (e.g.: ALPs, light DM, Light  $Z'$ , etc.);
- For several BSM models, near detectors give the best constraints;
- We can probe very heavy particles, often beyond the reach of present colliders, by precisely measuring low-energy observables using the EFT formalism;
- Unlike other probes (meson decays, ATLAS and CMS analyses, etc.) neutrino experiments have the unique capability to identify the neutrino flavor. This is crucial complementary information in case excesses are found elsewhere in the future;
- Future directions: Systematic model-independent global analyses of new physics in neutrino oscillation experiments with:
  - i) Power counting of EFT effects;
  - ii) Extraction of oscillation parameters in presence of general new physics;
  - iii) Comparison between the sensitivity of oscillation and other experiments.



# Any Questions?



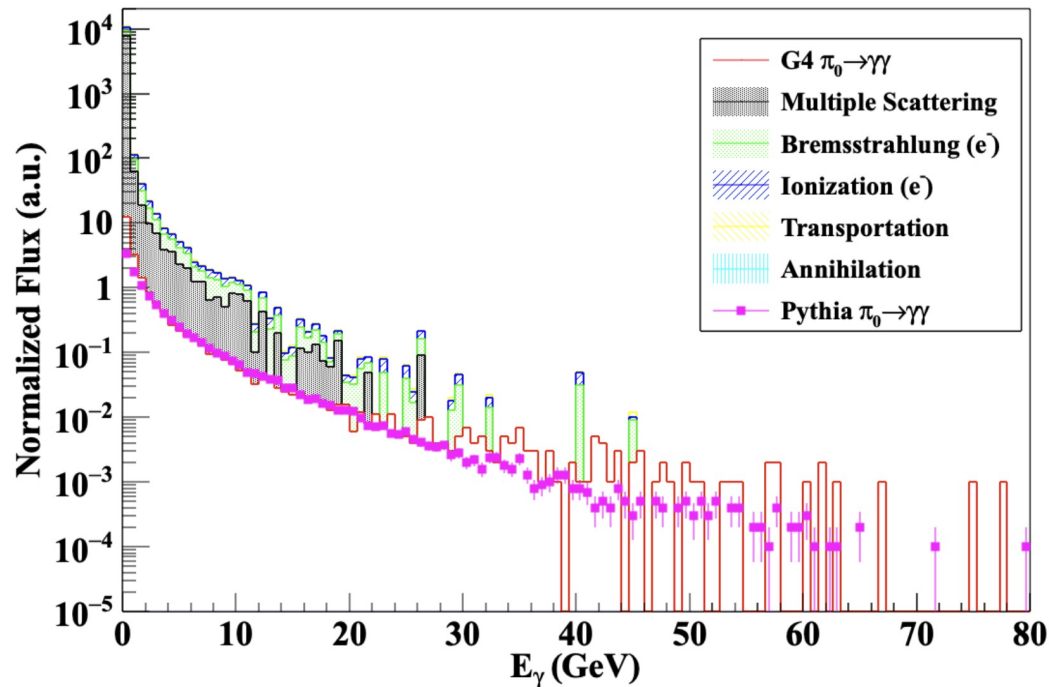
i'm now going to open the floor to questions.

# Back up Slides

# Axion Like Particles (ALPs) at DUNE:

## Photon Flux from GEANT4 Simulation

**G4  $\gamma$  flux stacked histogram**



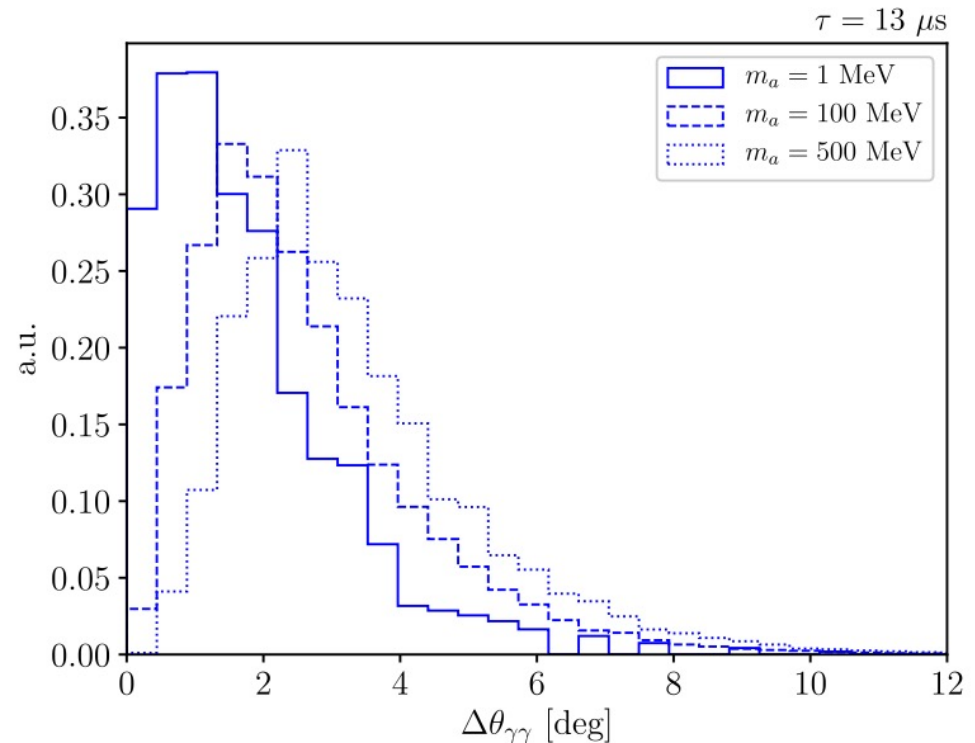
V. Brdar, B. Dutta, W. Jang, D. Kim, I. Shoemaker, [ZT](#), A. Thompson, J. Yu  
Phys.Rev.Lett. 126 (2021) 20, 201801

## Axion Like Particles (ALPs) at DUNE:

- Coherent  $\pi^0$  production  $\nu + A \rightarrow \nu + A + \pi^0$

In GAR:

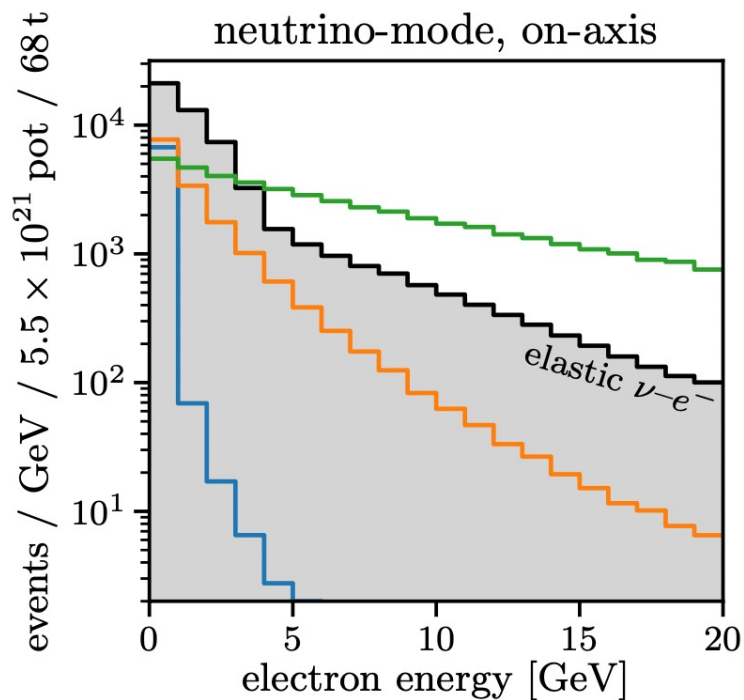
- We expect  $\sim 10^6$  NC events;
- Vetoing events with hadronic activity remove  $\sim 80\%$ ;
- A cut on the opening angle removes the rest;



V. Brdar, B. Dutta, W. Jang, D. Kim, I. Shoemaker, [ZT](#), A. Thompson, J. Yu  
Phys.Rev.Lett. 126 (2021) 20, 201801

# Light Dark Matter

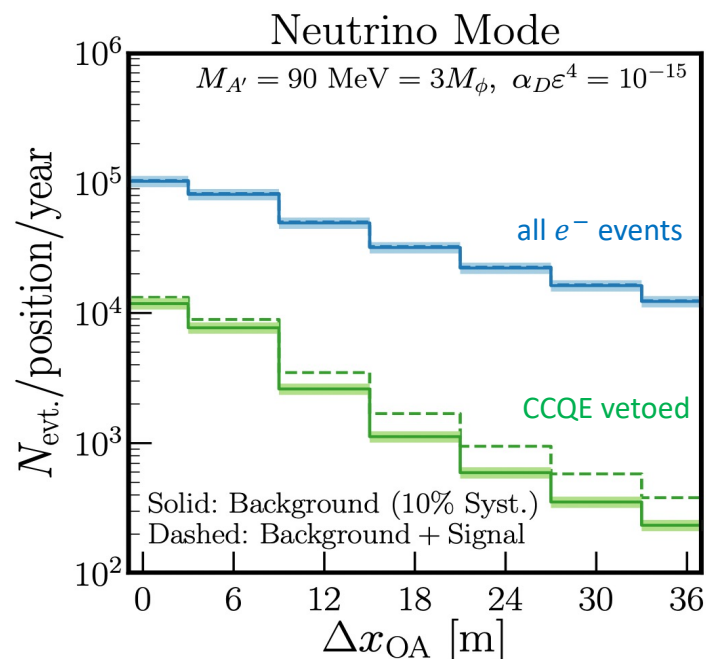
- Challenge: elastic neutrino-electron scattering is a huge background!



- $m_{A'} = 6 \text{ MeV}$   $\epsilon^4 \alpha_D = 10^{-19}$
  - $m_{A'} = 60 \text{ MeV}$   $\epsilon^4 \alpha_D = 10^{-16}$
  - $m_{A'} = 0.6 \text{ GeV}$   $\epsilon^4 \alpha_D = 10^{-11}$
- $m_{A'} = 3m_\phi$

Breitbach, Buonocore, Frugiuele, Kopp, Mittnacht, JHEP (2022)

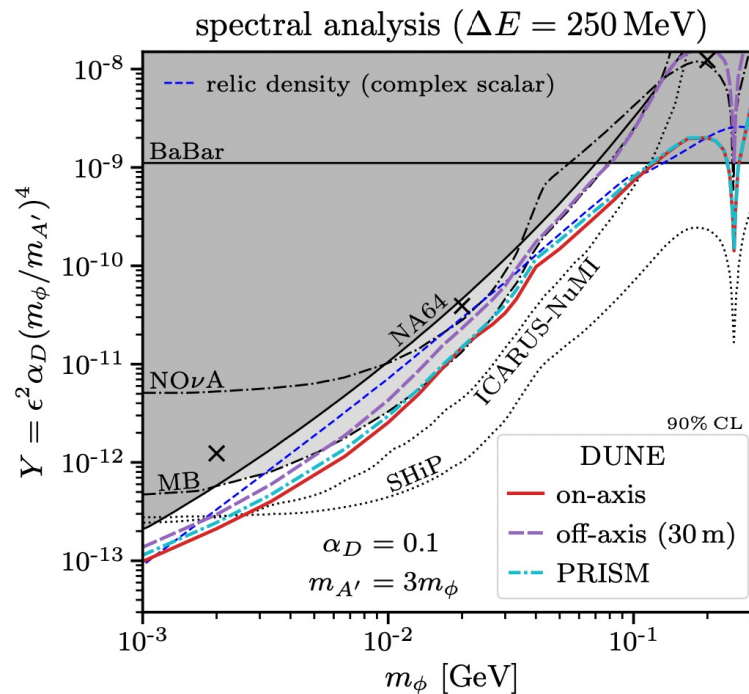
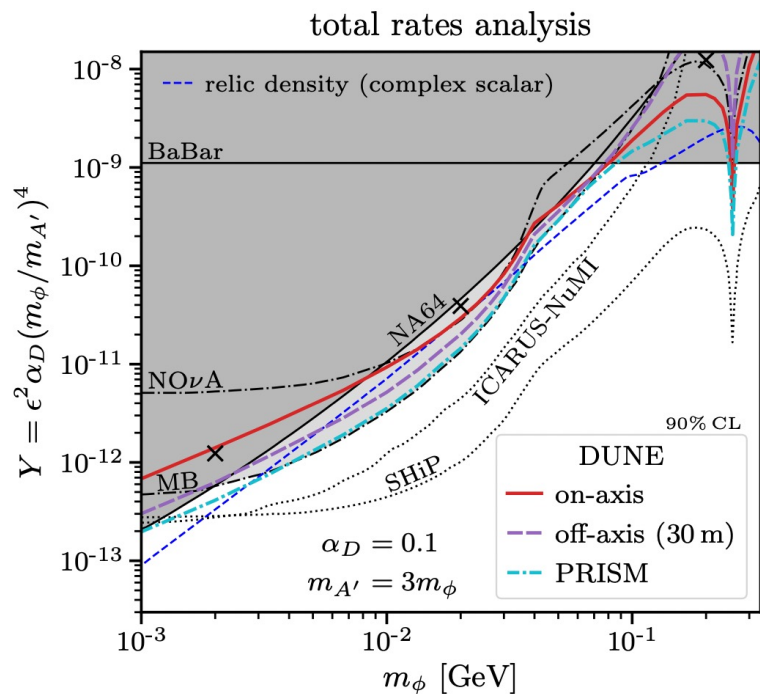
- Going to off-axis increases DM signal/background



De Romeri, Kelly, Machado, PRD (2019)

# Light Dark Matter

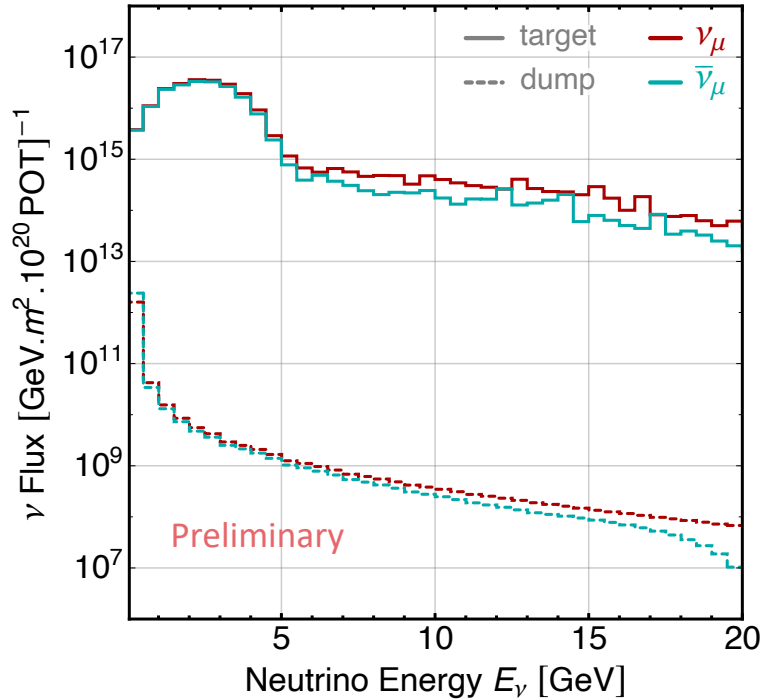
- DUNE can do half an order of magnitude better than existing constraints



Breitbach, Buonocore, Fruguele, Kopp, Mittnacht, JHEP (2022)  
 Also: De Romeri, Kelly, Machado, PRD (2019)

# Light Dark Matter---DUNE Beam Dump

- The  $\nu$  flux decreases by 5 orders of magnitude  $\rightarrow$  No  $\nu$ -e background!



Brdar, Dutta, Jang, Kim, Shoemaker, ZI, Thompson, Yu  
(In preparation)

## EPA assumptions

1) Neglecting the L contribution (  $h^L(q^2, \hat{s}) \sigma_{\nu\gamma}^L(q^2, \hat{s}) \approx 0$  ).

2) Taking the T contribution of the cross section to be on-shell (  $\sigma_{\nu\gamma}^T(q^2, \hat{s}) \approx \sigma_{\nu\gamma}^T(0, \hat{s})$  ).

$$\sigma_t(P_i + C_s \rightarrow P_f + C_s) \approx \int dP(Q^2, \hat{s}) \sigma_\gamma(P_i + \gamma \rightarrow P_f; \hat{s}, Q^2 = 0)$$

QED

$$\sigma_\gamma^{\text{QED}}(P_i + \gamma \rightarrow P_f; \hat{s}, 0) \propto \frac{1}{\hat{s}}$$

Decreases with  
increasing transferred  
four-momentum

On-shell  $\gg$  off-shell

Fermi Limit of the SM

$$\sigma_\gamma^{\text{FL}}(P_i + \gamma \rightarrow P_f; \hat{s}, 0) \propto G_F^2 \hat{s}$$

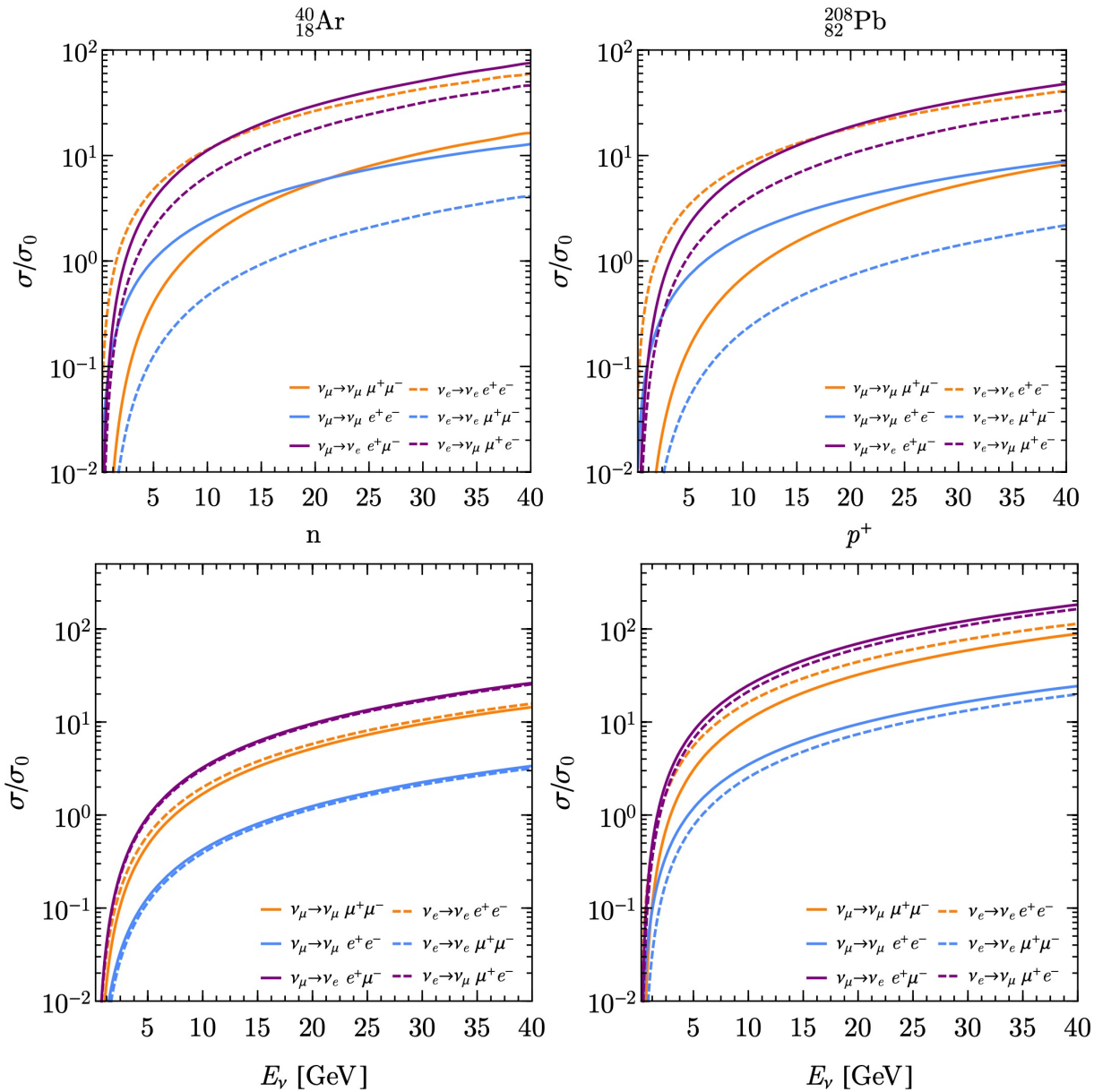
Increases with  
increasing transferred  
four-momentum

On-shell  $\ll$  off-shell

Ballett, Hostert, Pascoli, Perez, [ZT](#) and Funchal  
JHEP **1901**, 119 (2019)

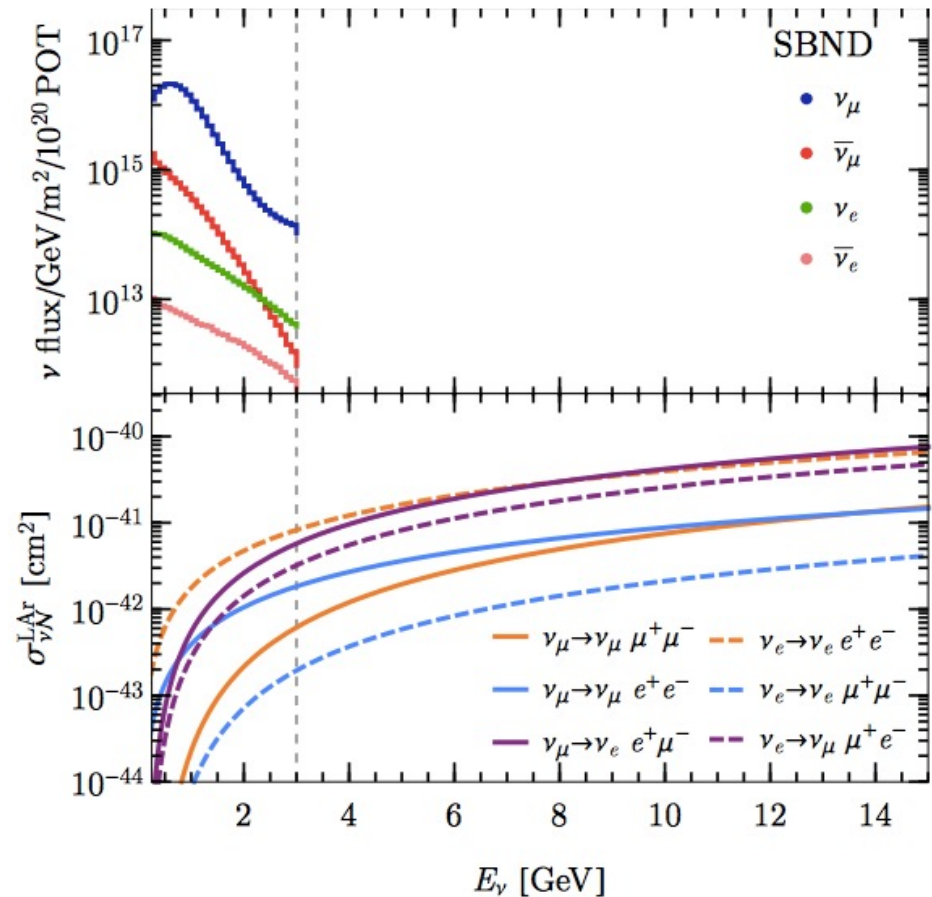
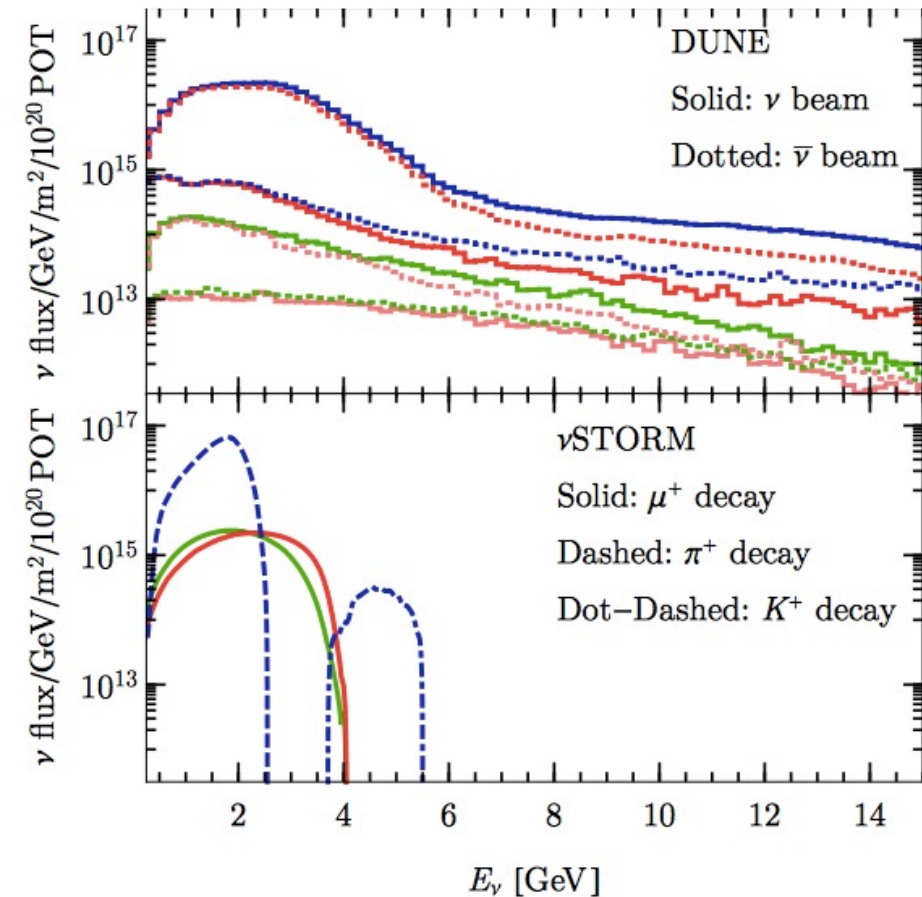


# Trident Cross Sections



# Trident rates at LAr Detectors

$$N = \text{time} \times \# \text{ of targets} \times \text{efficiency} \times \int_{E_i}^{E_f} dE_\nu \frac{d\phi(E_\nu)}{dE_\nu} \sigma(E_\nu)$$



# Trident background analysis

Genuine dilepton production is rare, but misID of particles is the problem.

	Channel	$N_B^{\text{misID}}/N_{\text{CC}}$	$N_B^{\text{had}}/N_{\text{CC}}$	$N_B^{\text{kin}}/N_{\text{CC}}$
<b>misID</b>	$e^\pm \mu^\mp$	$1.67 (1.62) \times 10^{-4}$	$2.68 (4.31) \times 10^{-5}$	$4.40 (3.17) \times 10^{-7}$
$\gamma$ as $e^\pm$	$e^+ e^-$	$2.83 (4.19) \times 10^{-4}$	$1.30 (2.41) \times 10^{-4}$	$6.54 (14.1) \times 10^{-6}$
$\gamma$ as $e^+ e^-$	$\mu^+ \mu^-$	$2.66 (2.73) \times 10^{-3}$	$10.4 (9.75) \times 10^{-4}$	$3.36 (3.10) \times 10^{-8}$
$\pi^\pm$ as $\mu^\pm$				

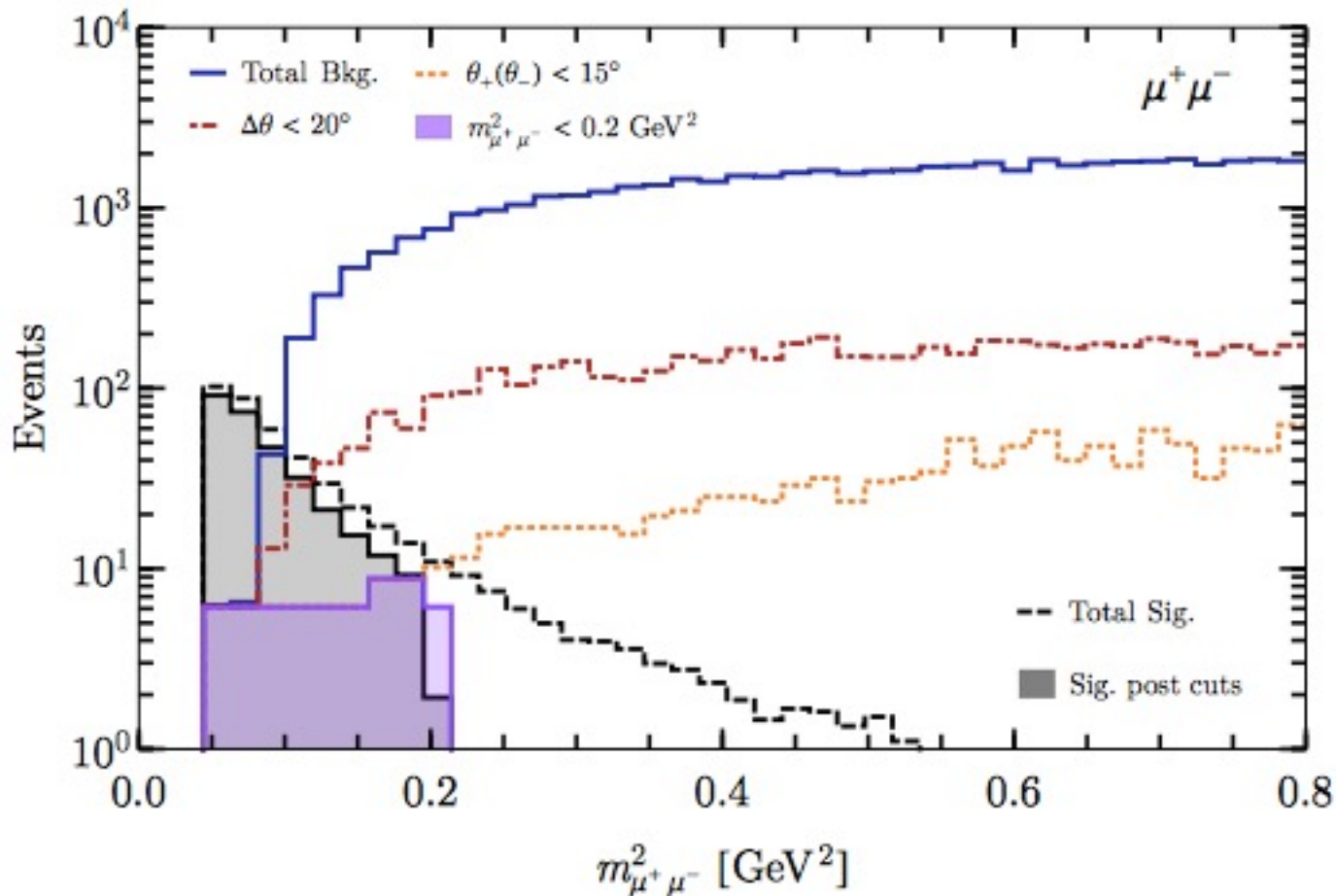
	$N_{\text{tot}}^{\text{CC}}$	$r_{\nu_\mu}^{\text{CC}}$	$r_{\bar{\nu}_\mu}^{\text{CC}}$	$r_{\nu_e}^{\text{CC}}$	$r_{\bar{\nu}_e}^{\text{CC}}$
$\nu$ -mode	$4.25 \times 10^8$	0.964	0.028	0.007	0.001
$\bar{\nu}$ -mode	$1.74 \times 10^8$	0.201	0.790	0.004	0.005

	$N_{\text{tot}}^{\text{NC}}$	$r_{\nu_\mu}^{\text{NC}}$	$r_{\bar{\nu}_\mu}^{\text{NC}}$	$r_{\nu_e}^{\text{NC}}$	$r_{\bar{\nu}_e}^{\text{NC}}$
$\nu$ -mode	$1.48 \times 10^8$	0.956	0.037	0.006	0.001
$\bar{\nu}$ -mode	$7.58 \times 10^7$	0.157	0.835	0.003	0.005

Reaching background rates of  $O(10^{-6}-10^{-5})$  times the CC rate is necessary to observe trident events at DUNE ND, which is an attainable goal in a LAr detectors.

Ballett, Hostert, Pascoli, Perez, [ZT](#) and Funchal  
 JHEP **1901**, 119 (2019)



We apply consecutive cuts on the background, starting with cuts on the separation angle  $\Delta\theta$  (red), both charged lepton angles to the beamline ( $\theta_+$  and  $\theta_-$ ) (orange) and the invariant mass.

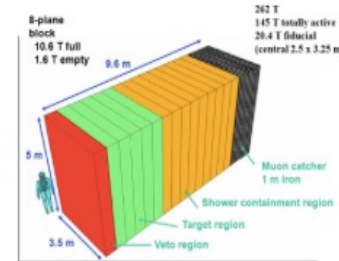
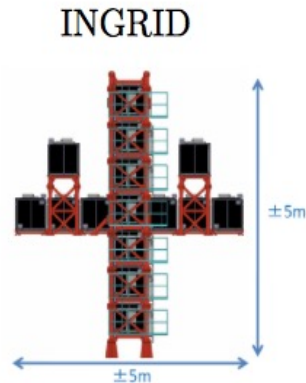
Ballett, Hostert, Pascoli, Perez, [ZT](#) and Funchal  
 JHEP **1901**, 119 (2019)

# Trident rates at other Near Detectors

Experiment	Material	Baseline (m)	Exposure (POT)	Fiducial Mass (t)	$E_\nu$ (GeV)
INGRID	Fe	280	$3.9 \times 10^{21}$ [ $10^{22}$ ] T2K-I [T2K-II]	99.4	0 – 4
MINOS[+]	Fe and C	1040	$10.56(3.36)[9.69] \times 10^{20}$	28.6	0 – 20
NO $\nu$ A	C <sub>2</sub> H <sub>3</sub> Cl and CH <sub>2</sub>	1000	$8.85(6.9) [36(36)] \times 10^{20}$ [NO $\nu$ A-II]	231	0 – 20
MINER $\nu$ A	CH, H <sub>2</sub> O, Fe, Pb, C	1035	$12(12) \times 10^{20}$	7.98	0 – 20

All have finished data taking or are still running

# Trident rates at other Near Detectors



Channel	T2K-I	T2K-II	MINOS	MINOS+	NO $\nu$ A-I	NO $\nu$ A-II	MINER $\nu$ A
Total $e^{\pm}\mu^{\mp}$	563	1444	222 (56)	730	83 (72)	340 (374)	149 (102)
	96	246	46 (11)	151	25 (22)	102 (114)	56 (39)
Total $e^{+}e^{-}$	277	711	61 (15)	62	29 (22)	119 (114)	39 (27)
	24	62	9 (2)	8	4 (4)	16 (21)	10 (7)
Total $\mu^{+}\mu^{-}$	30	76	26 (6)	86	9 (9)	37 (47)	18 (13)
	21	54	15 (3)	49	8 (8)	34 (36)	18 (13)

Coherent (upper) and diffractive (lower) trident events for (anti)neutrino mode.

Ballett, Hostert, Pascoli, Perez, [ZT](#) and Funchal

[JHEP 1901, 119 \(2019\)](#)

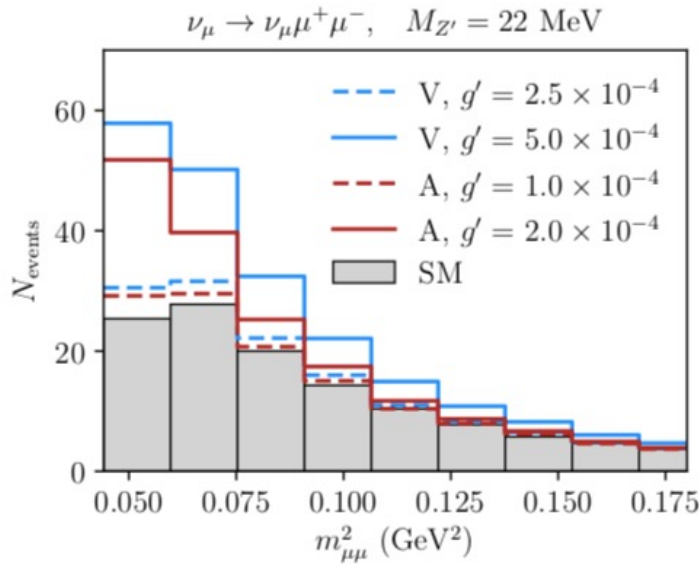
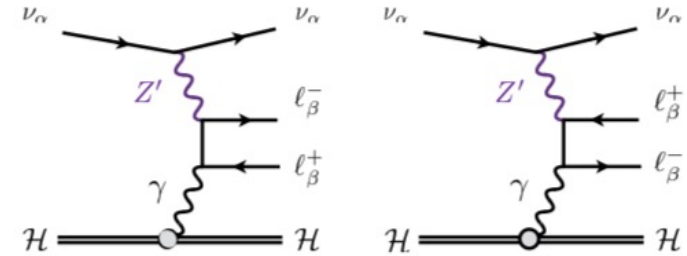
- We study potential constraints which can be placed on a general set of leptophilic  $Z'$  models in the two most likely channels for BSM scattering at the near detector of DUNE: neutrino-electron scattering and neutrino trident scattering.

$$\mathcal{L} \supset -g' Z'_\mu \left[ Q_\alpha^L \bar{L}_L^\alpha \gamma^\mu L_L^\alpha + Q_\alpha^R \bar{\ell}_R^\alpha \gamma^\mu \ell_R^\alpha + \sum_N Q_N \bar{N}_R \gamma^\mu N_R \right]$$

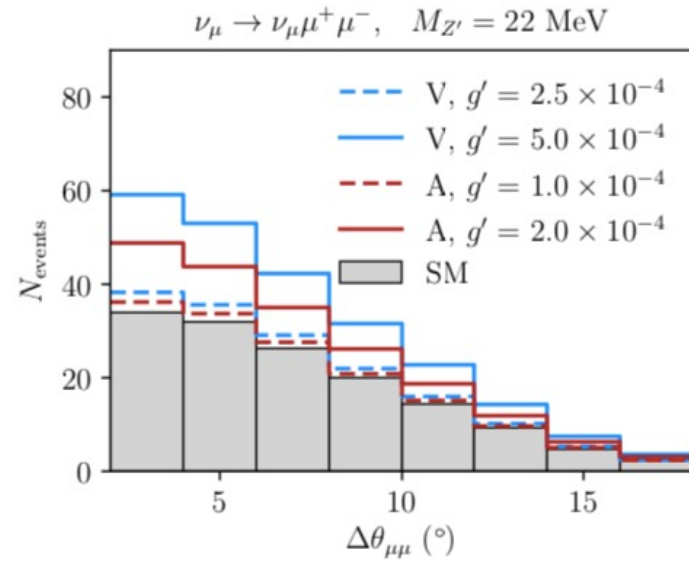
- We focus on the anomaly free leptophilic extensions of the SM:  
 $L_\alpha - L_\beta$ ,  $\alpha, \beta = \{e, \mu, \tau\}$ ,  $\alpha \neq \beta$ .
- Anomaly free conditions fix the charges

# Trident kinematical distributions

$$\hat{V}_{\alpha\beta} = g_V^{\ell\beta} + \delta_{\alpha\beta} + \frac{Q_\alpha^L Q_\beta^V}{2\sqrt{2}G_F} \frac{(g')^2}{K^2 + M_{Z'}^2}, \quad \hat{A}_{\alpha\beta} = g_A^{\ell\beta} + \delta_{\alpha\beta} + \frac{Q_\alpha^L Q_\beta^A}{2\sqrt{2}G_F} \frac{(g')^2}{K^2 + M_{Z'}^2}$$



The invariant mass



Charged lepton separation angle

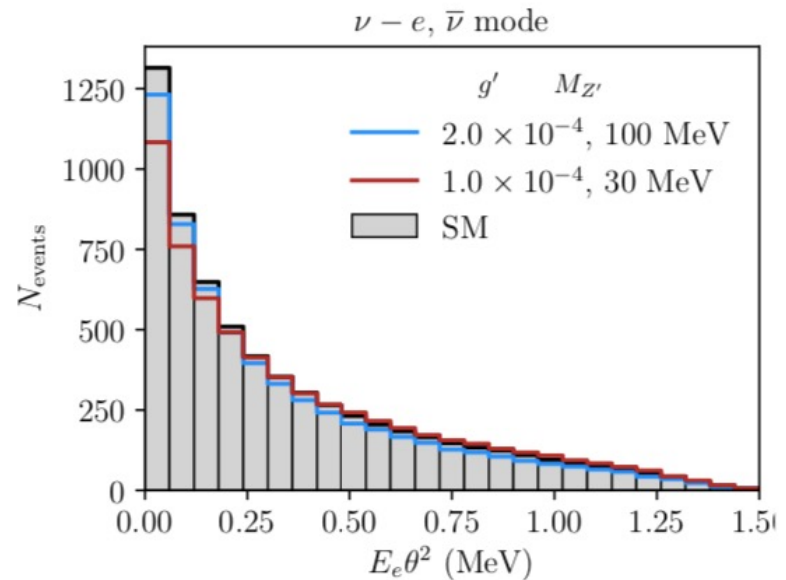
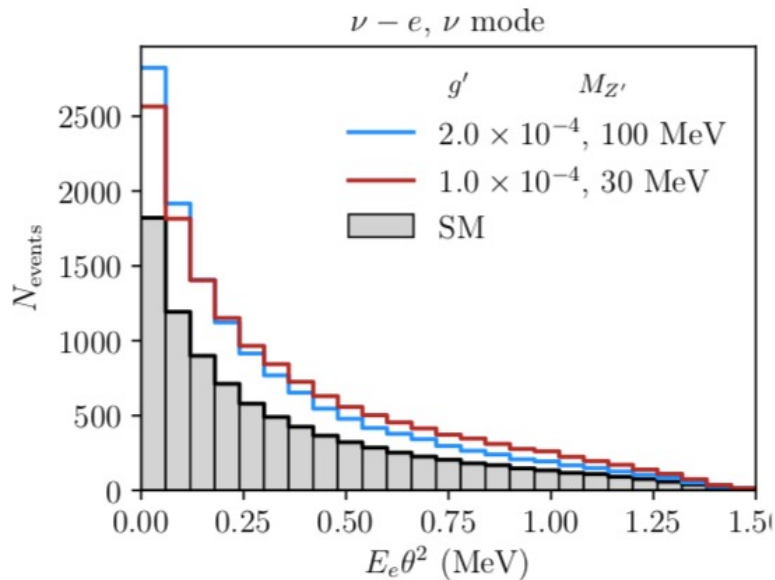


# Neutrino-Electron scattering

The vector and axial couplings with  $Z'$ :

$$C_{\alpha}^V = -\frac{1}{2} + 2s_W^2 + \delta_{\alpha e} + \frac{Q_e^V Q_{\alpha}^L}{2\sqrt{2}G_F} \frac{(g')^2}{M_{Z'}^2 + 2m_e T_e},$$

$$C_{\alpha}^A = -\frac{1}{2} + \delta_{\alpha e} + \frac{Q_e^A Q_{\alpha}^L}{2\sqrt{2}G_F} \frac{(g')^2}{M_{Z'}^2 + 2m_e T_e},$$



## Neutrino-Electron scattering

The vector and axial couplings with  $Z'$ :

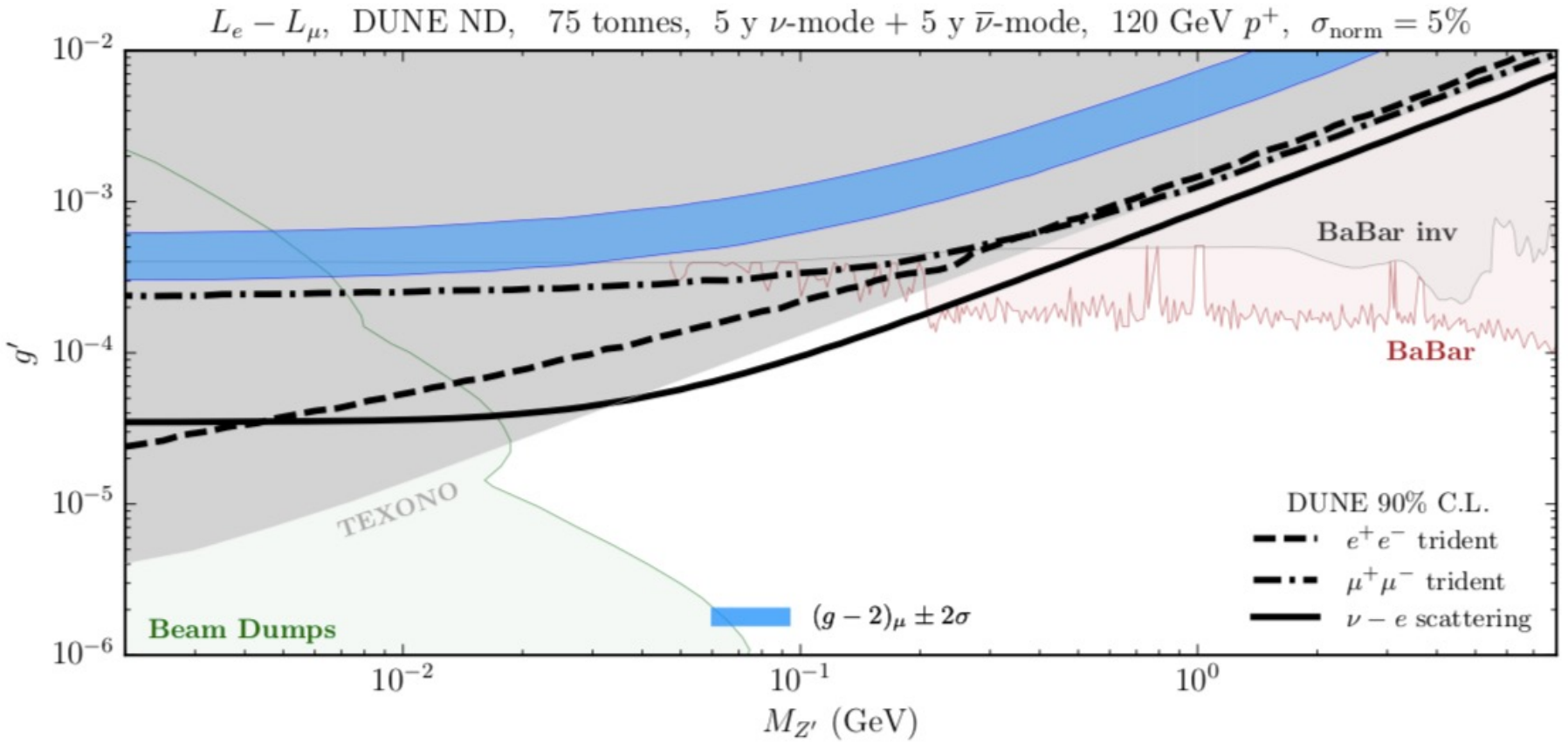
$$C_{\alpha}^V = -\frac{1}{2} + 2s_W^2 + \delta_{\alpha e} + \frac{Q_e^V Q_{\alpha}^L}{2\sqrt{2}G_F} \frac{(g')^2}{M_{Z'}^2 + 2m_e T_e},$$
$$C_{\alpha}^A = -\frac{1}{2} + \delta_{\alpha e} + \frac{Q_e^A Q_{\alpha}^L}{2\sqrt{2}G_F} \frac{(g')^2}{M_{Z'}^2 + 2m_e T_e},$$

If we allow for kinetic mixing between the  $Z'$  and the SM gauge bosons, this kinetic mixing in the  $L_{\mu} - L_{\tau}$  model also induces a  $\nu - e$  coupling:

$$C_{\alpha}^V = -\frac{1}{2} + 2s_W^2 + \delta_{\alpha e} + \frac{1}{\sqrt{2}G_F} \frac{g' e \varepsilon(q^2)}{M_{Z'}^2 + 2m_e T_e}$$

# $L_e - L_\mu$ Model at DUNE:

Ballett, Hostert, Pascoli, Perez-Gonzalez, [ZT](#) and Funchal  
 Phys.Rev. **D100** (2019) no.5, 055012



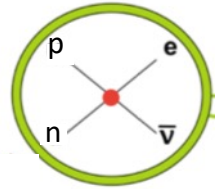
- The main constraint is from neutrino-electron scattering.
- The sensitive trident channels are:  $\mu^+\mu^-$  and  $e^+e^-$

# WEFT Power Counting

- Dim-6:  $\frac{\Delta R}{R_{SM}} = c \epsilon_X^2$
- Dim-7: Cannot interfere with the SM amplitudes, suppressed!  
Liao et al, *JHEP* 08 (2020) 162
- Dim-8:  $\frac{\Delta R}{R_{SM}} = \sqrt{c} \epsilon_8 E^2 / v^2$

# EFT ladder

$$E \ll m_Z$$



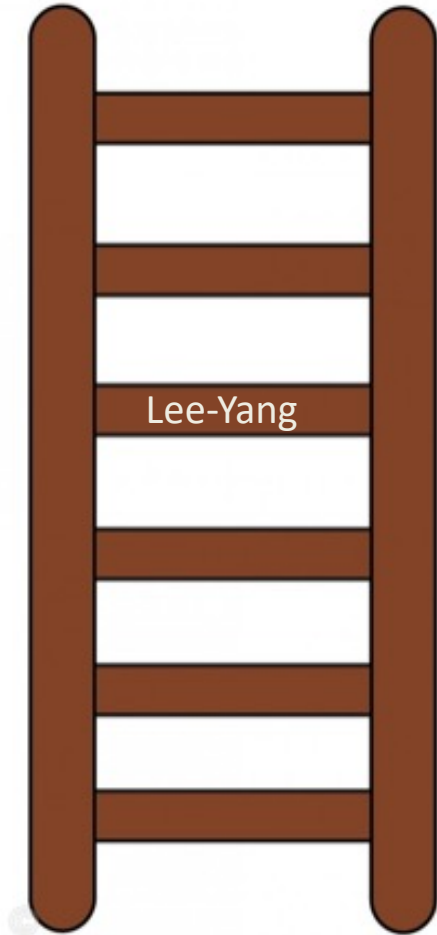
- At the energy scale of reactor neutrino experiments the relevant degrees of freedom are not quarks, but nucleons and nuclei. Matching this EFT to the WEFT Lagrangian we obtain the Lee-Yang Lagrangian:

$$\begin{aligned} \mathcal{L}_{\text{LY}} \supset & -\frac{V_{ud}}{v^2} \{ g_V [\mathbf{1} + \epsilon_L + \epsilon_R]_{\alpha\beta} (\bar{p}\gamma^\mu n)(\bar{\ell}_\alpha \gamma_\mu P_L \nu_\beta) \\ & - g_A [\mathbf{1} + \epsilon_L - \epsilon_R]_{\alpha\beta} (\bar{p}\gamma^\mu \gamma_5 n)(\bar{\ell}_\alpha \gamma_\mu P_L \nu_\beta) \\ & + g_S [\epsilon_S]_{\alpha\beta} (\bar{p}n)(\bar{\ell}_\alpha P_L \nu_\beta) - g_P [\epsilon_P]_{\alpha\beta} (\bar{p}\gamma_5 n)(\bar{\ell}_\alpha P_L \nu_\beta) \\ & + \frac{1}{2} g_T [\hat{\epsilon}_T]_{\alpha\beta} (\bar{p}\sigma^{\mu\nu} P_L n)(\bar{\ell}_\alpha \sigma_{\mu\nu} P_L \nu_\beta) + \text{h.c.} \}, \end{aligned}$$

- Lattice+theory fix the non-perturbative parameters with good precision

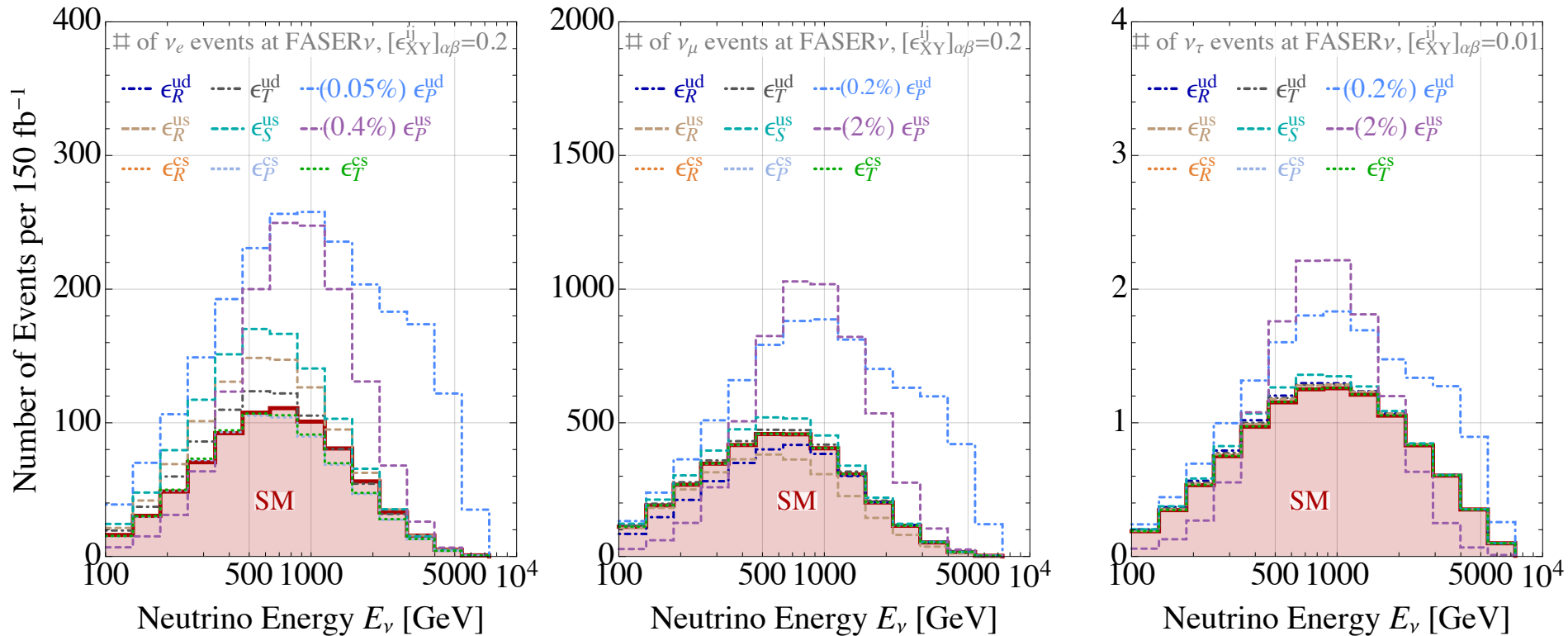
$$g_A = 1.2728 \pm 0.0017, \quad g_S = 1.02 \pm 0.11, \quad g_P = 349 \pm 9, \quad g_T = 0.987 \pm 0.055.$$

- T. Bhattacharya et al, Phys. Rev. D94 (2016), no. 5 054508
- M. Gonzalez-Alonso and J. Martin Camalich, Phys. Rev. Lett. 112 (2014), no. 4 042501
- M. Gonzalez-Alonso et al, Prog. Part. Nucl. Phys. 104 (2019) 165–223



# EFT at FASER $\nu$

Falkowski, González-Alonso, Kopp, Soreq, ZT, JHEP (2021)



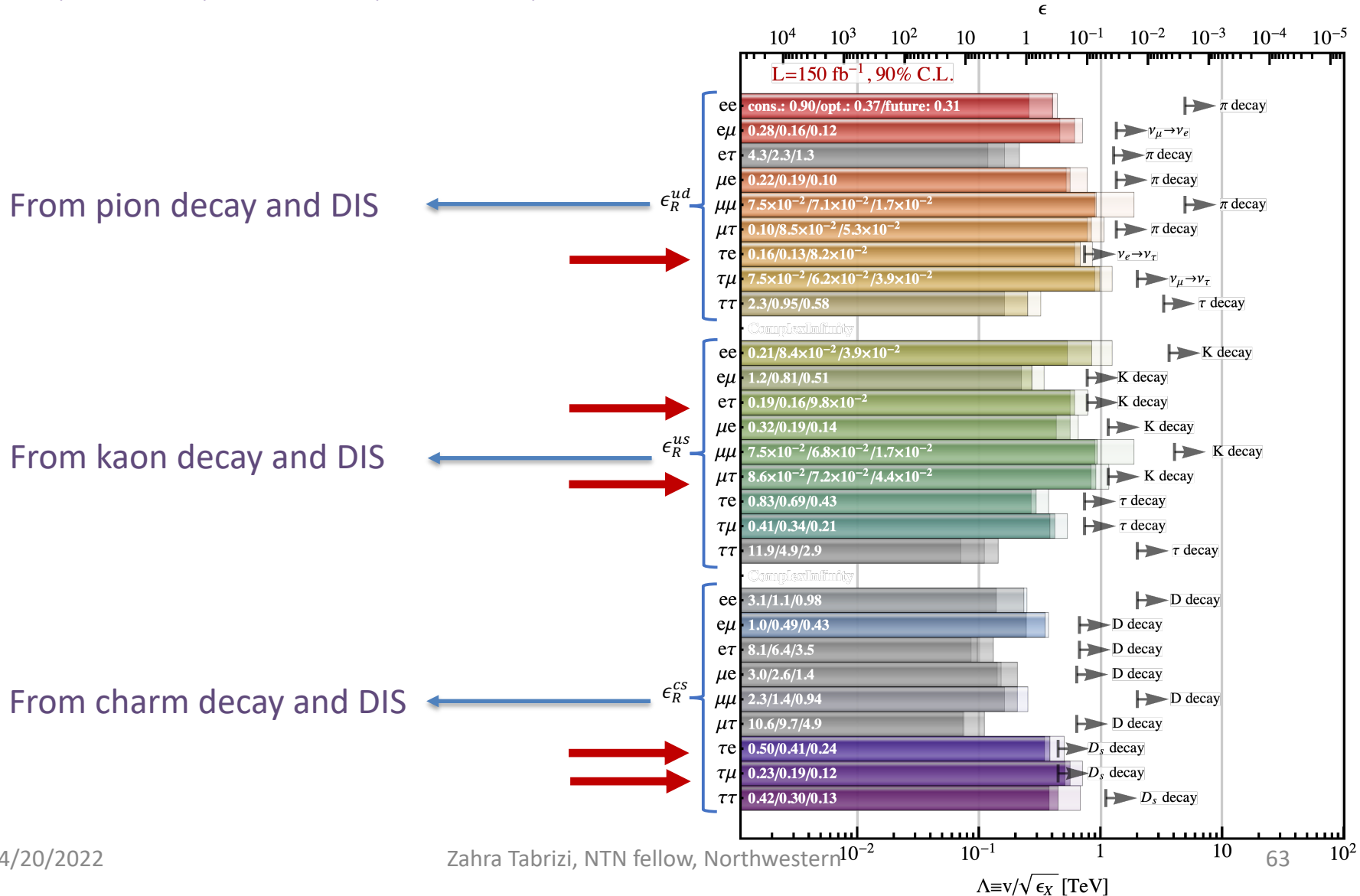
- Analysis is statistics dominated:  $\nu_e \sim 1000$ ,  $\nu_\mu \sim 5000$ ,  $\nu_\tau \sim 10$
- Optimistic systematic uncertainties: 5% on  $\nu_e$ , 10% on  $\nu_\mu$ , 15% on  $\nu_\tau$
- Pessimistic systematic uncertainties: 30% on  $\nu_e$ , 40% on  $\nu_\mu$ , 50% on  $\nu_\tau$

# RESULTS

## Turning on one interaction at a time: Right handed

A. Falkowski, M. González-Alonso, J. Kopp, Y. Soreq, [ZT JHEP 10 \(2021\) 086](#)

Optimistic (5%, 10%, 15%) and Pessimistic (30%, 40%, 50%), uncertainties on electron muon and tau neutrinos

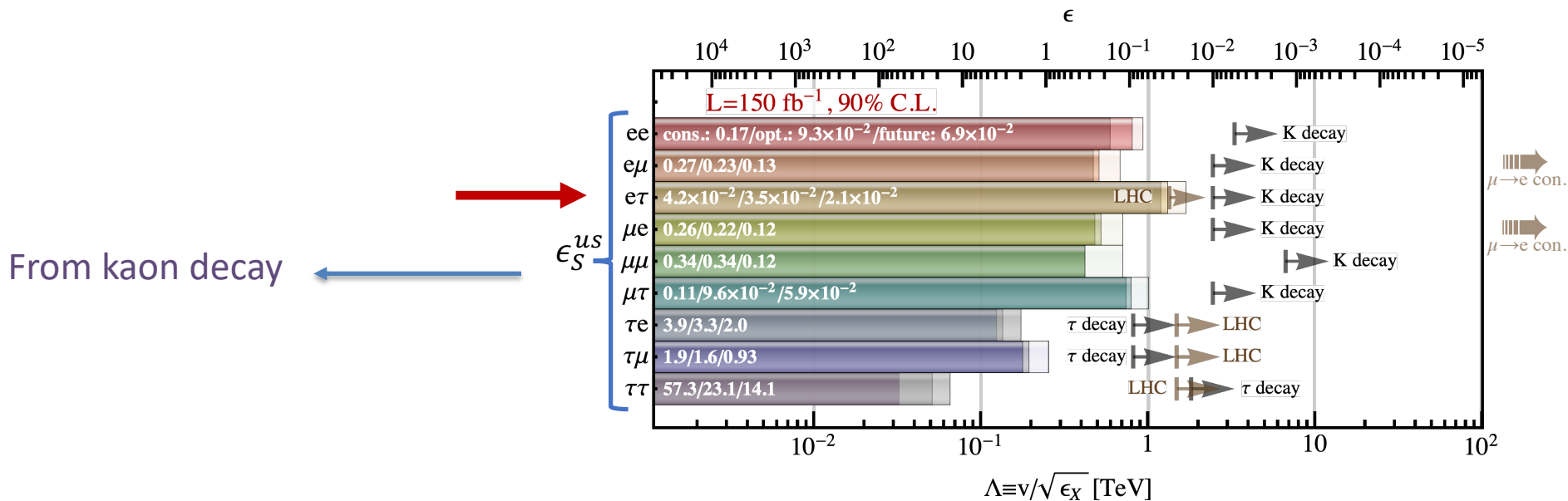


# RESULTS

## Turning on one interaction at a time: Scalar

A. Falkowski, M. González-Alonso, J. Kopp, Y. Soreq, ZT  
*JHEP* 10 (2021) 086

Optimistic (5%, 10%, 15%) and Pessimistic (30%, 40%, 50%), uncertainties on electron muon and tau neutrinos



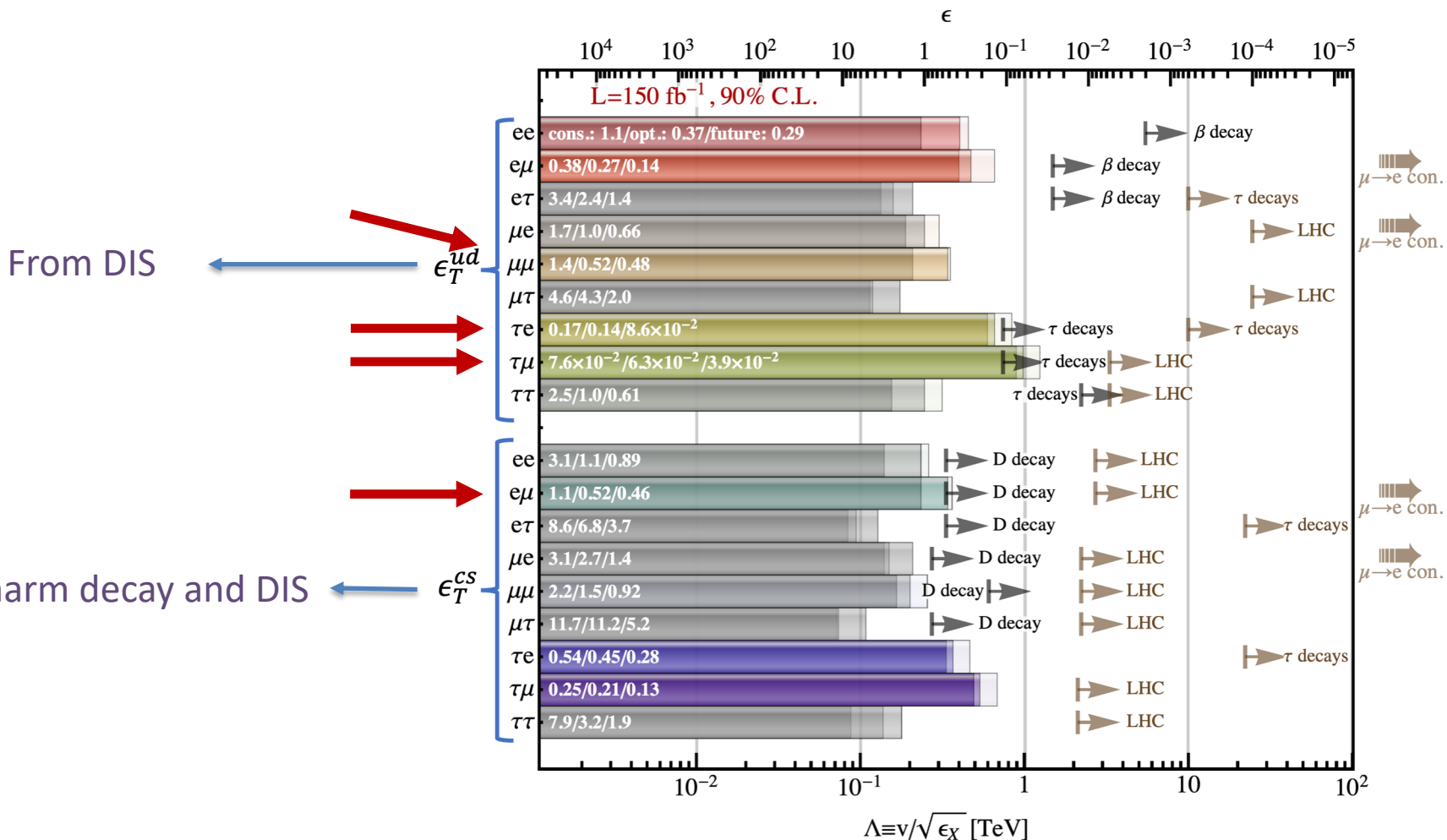


# RESULTS

## Turning on one interaction at a time: Tensor

A. Falkowski, M. González-Alonso, J. Kopp, Y. Soreq, [JHEP 10 \(2021\) 086](#)

Optimistic (5%, 10%, 15%) and Pessimistic (30%, 40%, 50%), uncertainties on electron muon and tau neutrinos



# EFT at FASERv

A. Falkowski, M. González-Alonso, J. Kopp, Y. Soreq, [ZT](#)  
[JHEP 10 \(2021\) 086](#)

FASERv

Flavor Experiments

Colliders

## Neutrino experiments:

- Many more operators can be probed (81 at FASERv)

## Low energy:

- Independent of the underlying high-energy theory

## High-Energy:

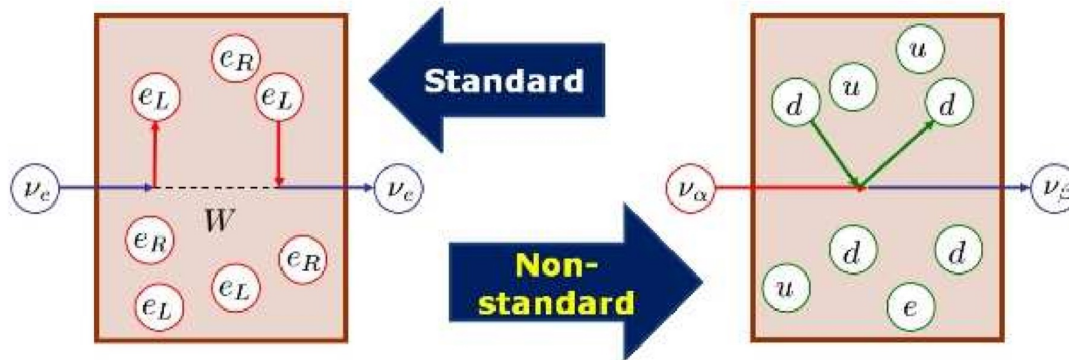
- SMEFT is the underlying theory
- Bounds are less robust

Bounds shown in bold face have been calculated in this work

Coupling	Low energy (WEFT)		High energy / CLFV (SMEFT)	
	90 % CL bound	process	90 % CL bound	process
$[\epsilon_P^{ud}]_{ee}$	<b><math>4.6 \times 10^{-7}</math></b>	$\Gamma_{\pi \rightarrow e\nu} / \Gamma_{\pi \rightarrow \mu\nu}$		
$[\epsilon_P^{ud}]_{e\mu}$	$7.3 \times 10^{-6}$	$\Gamma_{\pi \rightarrow e\nu} / \Gamma_{\pi \rightarrow \mu\nu}$ [7]	<b><math>2.0 \times 10^{-8}</math></b>	$\mu \rightarrow e$ conversion
$[\epsilon_P^{ud}]_{e\tau}$	$7.3 \times 10^{-6}$	$\Gamma_{\pi \rightarrow e\nu} / \Gamma_{\pi \rightarrow \mu\nu}$ [7]	$2.5 \times 10^{-3}$	LHC [64]
$[\epsilon_P^{ud}]_{\mu e}$	<b><math>2.6 \times 10^{-3}</math></b>	$\Gamma_{\pi \rightarrow e\nu} / \Gamma_{\pi \rightarrow \mu\nu}$	<b><math>2.0 \times 10^{-8}</math></b>	$\mu \rightarrow e$ conversion
$[\epsilon_P^{ud}]_{\mu\mu}$	<b><math>9.4 \times 10^{-5}</math></b>	$\Gamma_{\pi \rightarrow e\nu} / \Gamma_{\pi \rightarrow \mu\nu}$		
$[\epsilon_P^{ud}]_{\mu\tau}$	<b><math>2.6 \times 10^{-3}</math></b>	$\Gamma_{\pi \rightarrow e\nu} / \Gamma_{\pi \rightarrow \mu\nu}$		
$[\epsilon_P^{ud}]_{\tau e}$	<b><math>9.0 \times 10^{-2}</math></b>	$\Gamma_{\tau \rightarrow \pi\nu}$	$5.8 \times 10^{-3(*)} / 4.4 \times 10^{-4}$	LHC [65] / $\tau$ decay [64]
$[\epsilon_P^{ud}]_{\tau\mu}$	<b><math>9.0 \times 10^{-2}</math></b>	$\Gamma_{\tau \rightarrow \pi\nu}$	$5.8 \times 10^{-3(*)}$	LHC [65]
$[\epsilon_P^{ud}]_{\tau\tau}$	$8.4 \times 10^{-3}$	$\tau$ -decay [65]	$5.8 \times 10^{-3(*)}$	LHC [65]
$[\epsilon_P^{us}]_{ee}$	<b><math>1.1 \times 10^{-6}</math></b>	$\Gamma_{K \rightarrow e\nu} / \Gamma_{K \rightarrow \mu\nu}$		
$[\epsilon_P^{us}]_{e\mu}$	<b><math>2.1 \times 10^{-5}</math></b>	$\Gamma_{K \rightarrow e\nu} / \Gamma_{K \rightarrow \mu\nu}$	<b><math>6.2 \times 10^{-7}</math></b>	$\mu \rightarrow e$ conversion
$[\epsilon_P^{us}]_{e\tau}$	<b><math>2.1 \times 10^{-5}</math></b>	$\Gamma_{K \rightarrow e\nu} / \Gamma_{K \rightarrow \mu\nu}$	$7.1 \times 10^{-2}$	LHC [64]
$[\epsilon_P^{us}]_{\mu e}$	<b><math>2.3 \times 10^{-3}</math></b>	$\Gamma_{K \rightarrow e\nu} / \Gamma_{K \rightarrow \mu\nu}$	<b><math>6.2 \times 10^{-7}</math></b>	$\mu \rightarrow e$ conversion
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$[\epsilon_P^{us}]_{\mu\tau}$	<b><math>2.3 \times 10^{-3}</math></b>	$\Gamma_{K \rightarrow e\nu} / \Gamma_{K \rightarrow \mu\nu}$		
$[\epsilon_P^{us}]_{\tau e}$	<b><math>6.4 \times 10^{-2}</math></b>	$\Gamma_{\tau \rightarrow K\nu} / \Gamma_{K \rightarrow \mu\nu}$	$3.1 \times 10^{-2(*)} / 8.1 \times 10^{-2}$	LHC (data [66]) / $\tau$ -decay [64]
$[\epsilon_P^{us}]_{\tau\mu}$	<b><math>6.4 \times 10^{-2}</math></b>	$\Gamma_{\tau \rightarrow K\nu} / \Gamma_{K \rightarrow \mu\nu}$	<b><math>3.1 \times 10^{-2(*)}</math></b>	LHC (data [66])
$[\epsilon_P^{us}]_{\tau\tau}$	$1.3 \times 10^{-2}$	$\tau$ -decay [67]	<b><math>3.1 \times 10^{-2(*)}</math></b>	LHC (data [66])
$[\epsilon_P^{cs}]_{ee}$	<b><math>4.8 \times 10^{-3}</math></b>	$\Gamma_{D_s \rightarrow e\nu}$	$1.3 \times 10^{-2}$	LHC [68]
$[\epsilon_P^{cs}]_{e\mu}$	<b><math>4.6 \times 10^{-3}</math></b>	$\Gamma_{D_s \rightarrow e\nu}$	$1.3 \times 10^{-2} / 2.7 \times 10^{-6}$	LHC [68] / $\mu \rightarrow e$ conversion
$[\epsilon_P^{cs}]_{e\tau}$	<b><math>4.6 \times 10^{-3}</math></b>	$\Gamma_{D_s \rightarrow e\nu}$	$1.3 \times 10^{-2} / 1.9 \times 10^{-2}$	LHC / $\tau$ -decays [64, 68]
$[\epsilon_P^{cs}]_{\mu e}$	<b><math>8.9 \times 10^{-3}</math></b>	$\Gamma_{D_s \rightarrow \mu\nu}$	$2.0 \times 10^{-2} / 2.7 \times 10^{-6}$	LHC [68] / $\mu \rightarrow e$ conversion
$[\epsilon_P^{cs}]_{\mu\mu}$	<b><math>1.0 \times 10^{-3}</math></b>	$\Gamma_{D_s \rightarrow \mu\nu}$	$2.0 \times 10^{-2}$	LHC [68]
$[\epsilon_P^{cs}]_{\mu\tau}$	<b><math>8.9 \times 10^{-3}</math></b>	$\Gamma_{D_s \rightarrow \mu\nu}$	$2.0 \times 10^{-2}$	LHC [68]
$[\epsilon_P^{cs}]_{\tau e}$	<b><math>2.0 \times 10^{-1}</math></b>	$\Gamma_{D_s \rightarrow \tau\nu}$	$1.6 \times 10^{-2} / 1.9 \times 10^{-2}$	LHC / $\tau$ -decays [64]
$[\epsilon_P^{cs}]_{\tau\mu}$	<b><math>2.0 \times 10^{-1}</math></b>	$\Gamma_{D_s \rightarrow \tau\nu}$	$2.5 \times 10^{-2}$	LHC [68]
$[\epsilon_P^{cs}]_{\tau\tau}$	<b><math>3.2 \times 10^{-2}</math></b>	$\Gamma_{D_s \rightarrow \tau\nu}$	$2.5 \times 10^{-2}$	LHC [68]

# QM-NSI Description

Neutrinos are not pure flavor states:



Standard NSI approach

NSI parameters

$$|\nu_\alpha^s\rangle = \frac{1}{N_\alpha^s} \left[ |\nu_\alpha\rangle + \sum_{\gamma=e,\mu,\tau} \epsilon_{\alpha\gamma}^s |\nu_\gamma\rangle \right]$$

$$\langle \nu_\beta^d | = \frac{1}{N_\beta^d} \left[ \langle \nu_\beta | + \sum_{\gamma=e,\mu,\tau} \langle \nu_\gamma | \epsilon_{\gamma\beta}^d \right]$$

Rotation of flavor states at the source

Rotation of flavor states at the detector

Normalization

# QM-NSI Description

Neutrinos are not pure flavor states:

$$|\nu_\alpha^s\rangle = \frac{(1 + \epsilon^s)_{\alpha\gamma}}{N_\alpha^s} |\nu_\gamma\rangle, \quad \langle \nu_\beta^d| = \langle \nu_\gamma| \frac{(1 + \epsilon^d)_{\gamma\beta}}{N_\beta^d}$$

**Observable: rate of detected events**

$\sim$ (flux) $\times$ (det. cross section) $\times$ (oscillation)

$$R_{\alpha\beta}^{\text{QM}} = \Phi_\alpha^{\text{SM}} \sigma_\beta^{\text{SM}} \sum_{k,l} e^{-i \frac{L \Delta m_{kl}^2}{2E_\nu}} [x_s]_{\alpha k} [x_s]_{\alpha l}^* [x_d]_{\beta k} [x_d]_{\beta l}^*$$

$$x_s \equiv (1 + \epsilon^s)U^* \quad \& \quad x_d \equiv (1 + \epsilon^d)^T U$$

Falkowski, González-Alonso, [ZT](#), JHEP (2019)

# QM-NSI Description

- Can one “validate” QM-NSI approach from the QFT results?
- If yes, relation between NSI parameters and Lagrangian (EFT) parameters?
- Does the matching hold at all orders in perturbation?

# QM-NSI Description

- Can one “validate” QM-NSI approach from the QFT results? **Yes...**
- If yes, relation between NSI parameters and Lagrangian (EFT) parameters?
- Does the matching hold at all orders in perturbation? **No...**

Observable is the same, we can match the two  
(only at the linear level)

$$\epsilon_{\alpha\beta}^s = \sum_X p_{XL}[\epsilon_X]_{\alpha\beta}^*, \quad \epsilon_{\beta\alpha}^d = \sum_X d_{XL}[\epsilon_X]_{\alpha\beta}$$

Falkowski, González-Alonso, [ZT](#), JHEP (2019)

# Comparing QM and QFT

Only at the linear order:

Falkowski, González-Alonso, ZT, JHEP (2019)

Neutrino Process	NSI Matching with EFT
$\nu_e$ produced in beta decay	$\epsilon_{e\beta}^s = [\epsilon_L]_{e\beta}^* - [\epsilon_R]_{e\beta}^* - \frac{g_T}{g_A} \frac{m_e}{f_T(E_\nu)} [\epsilon_T]_{e\beta}^*$
$\nu_e$ detected in inverse beta decay	$\epsilon_{\beta e}^d = [\epsilon_L]_{e\beta} + \frac{1-3g_A^2}{1+3g_A^2} [\epsilon_R]_{e\beta} - \frac{m_e}{E_\nu - \Delta} \left( \frac{g_S}{1+3g_A^2} [\epsilon_S]_{e\beta} - \frac{3g_A g_T}{1+3g_A^2} [\epsilon_T]_{e\beta} \right)$
$\nu_\mu$ produced in pion decay	$\epsilon_{\mu\beta}^s = [\epsilon_L]_{\mu\beta}^* - [\epsilon_R]_{\mu\beta}^* - \frac{m_\pi^2}{m_\mu(m_u + m_d)} [\epsilon_P]_{\mu\beta}^*$

- Different NP interactions appear at the source or detection simultaneously
- Some of the  $p_{\text{XL}}/d_{\text{XL}}$  coefficients depend on the neutrino energy
- There are chiral enhancements in some cases

These correlations, energy dependence etc. cannot be  
seen in the traditional QM approach.

# Comparing QM and QFT

Beyond the linear order in new physics parameters, the NSI formula matches the (correct) one derived in the EFT only if the **consistency condition** is satisfied

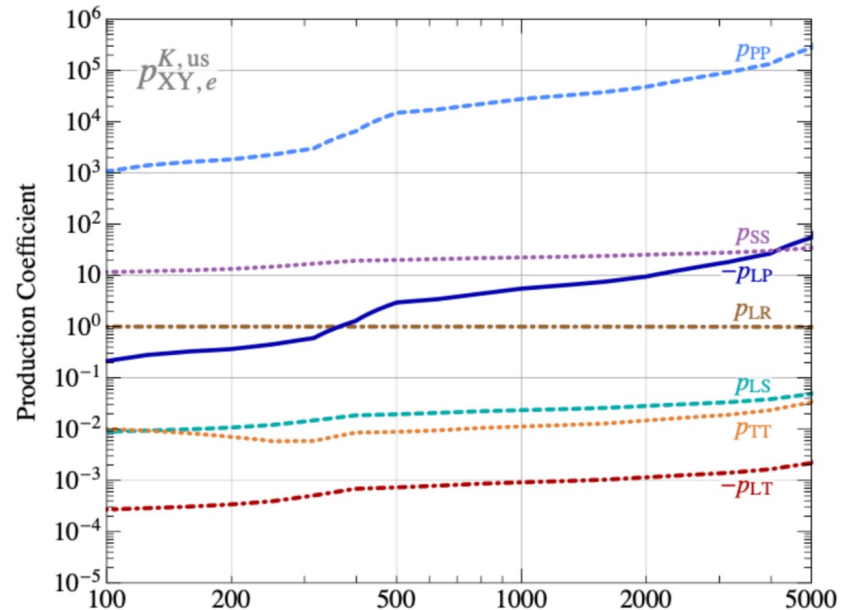
$$p_{XL}p_{YL}^* = p_{XY}, \quad d_{XL}d_{YL}^* = d_{XY}$$

This is always satisfied for new physics correcting V-A interactions only as  $p_{LL} = d_{LL} = 1$  by definition

However for non-V-A new physics the consistency condition is not satisfied in general

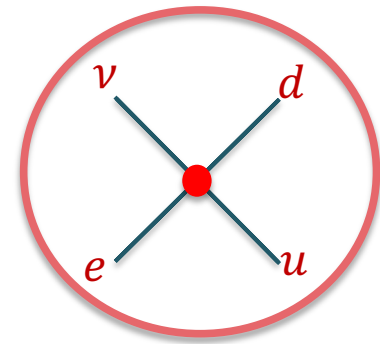
Falkowski, González-Alonso, ZI, JHEP (2019)

$$p_{XY} \equiv \frac{\int d\Pi_{P'} A_X^P \bar{A}_Y^P}{\int d\Pi_{P'} |A_L^P|^2}, \quad d_{XY} \equiv \frac{\int d\Pi_D A_X^D \bar{A}_Y^D}{\int d\Pi_D |A_L^D|^2}$$

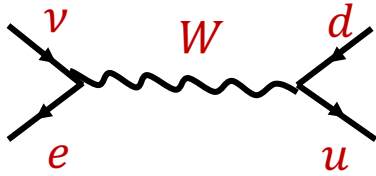




# Specific New Physics Models

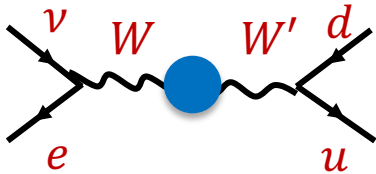


$\epsilon_L$ : measures deviations of the W boson to quarks and leptons, compared to the SM prediction



$$-\frac{g_{\nu e}^W g_{ud}^W}{4m_W^2} V_{ud} \bar{e} \gamma_\mu (1 - \gamma_5) \nu_e \cdot \bar{u} \gamma^\mu (1 - \gamma_5) d$$

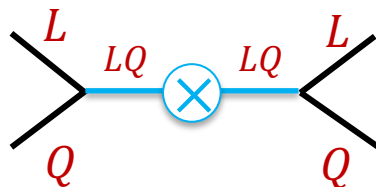
$\epsilon_R$ : left-right symmetric  $SU(3)_C \times SU(2)_L \times SU(2)_R \times U(1)_X$  models introduce new charged vector bosons  $W'$  coupling to right-handed quarks



$$\bar{e} \gamma_\mu (1 - \gamma_5) \nu_e \cdot \bar{u} \gamma^\mu (1 + \gamma_5) d$$

$$\epsilon_R \sim \frac{m_W^2}{m_{W'}^2}$$

$\epsilon_{S,P,T}$ : In leptoquark models, new scalar particles couple to both quarks and leptons



$$(LQ)(LQ)$$

$$\epsilon_{S,P,T} \sim \frac{v^2}{m_{LQ}^2}$$