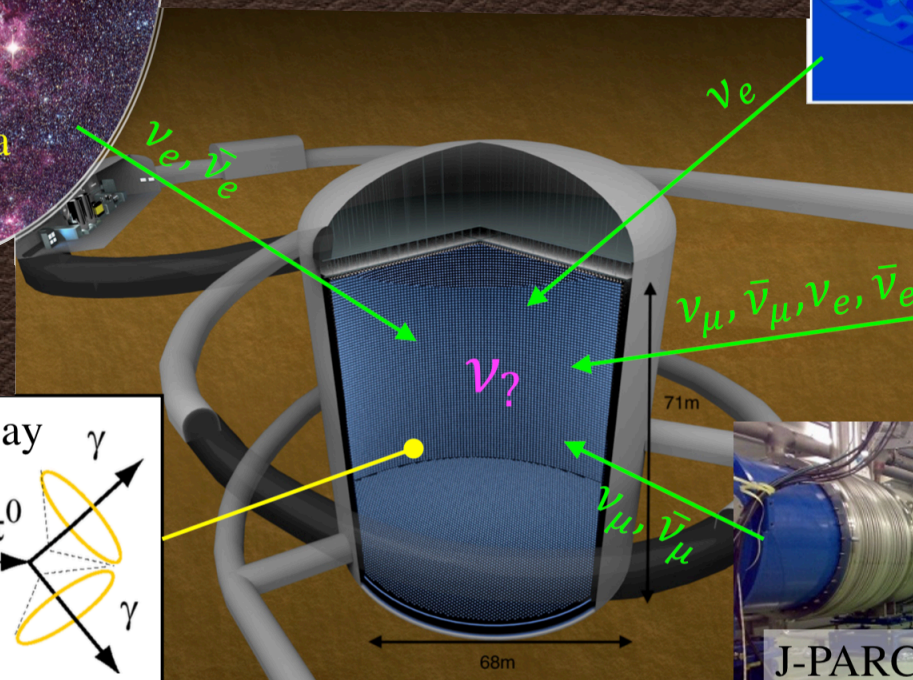
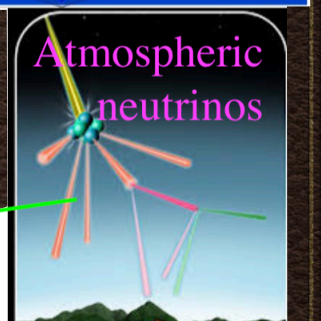
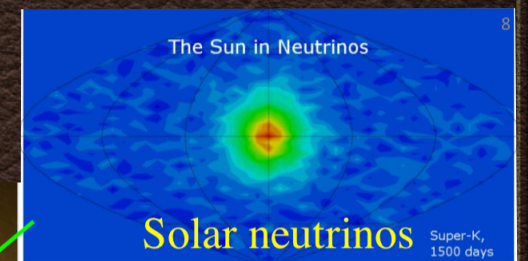
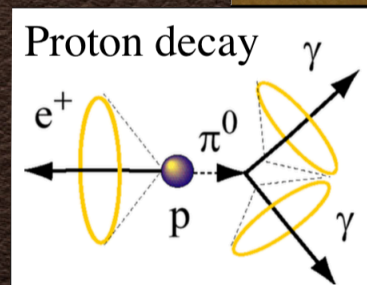


# Hyper-Kamiokande

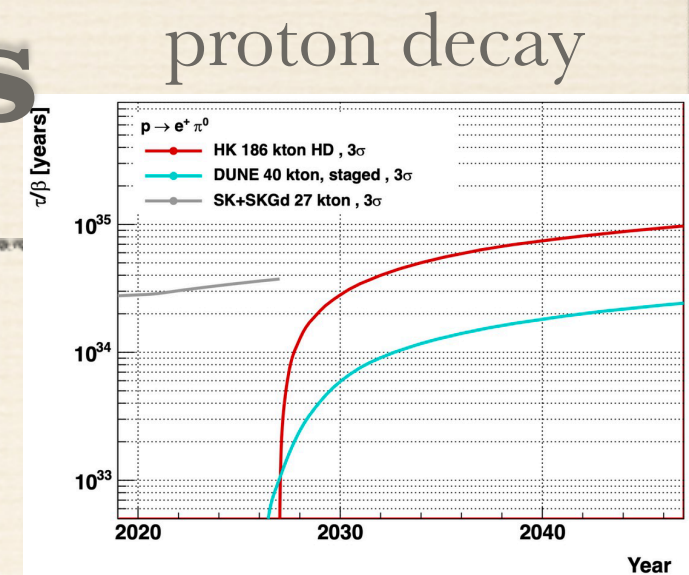
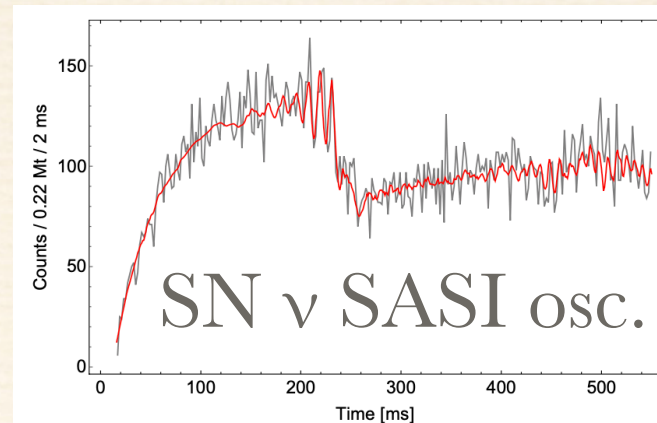
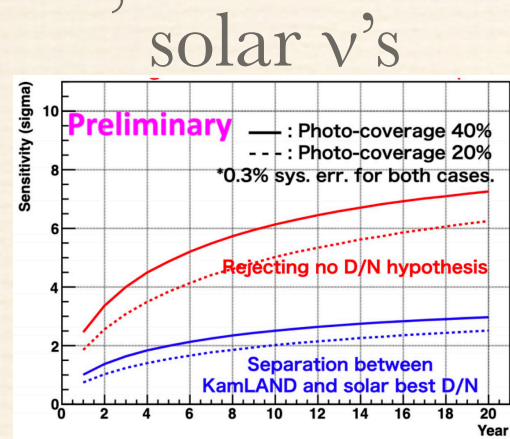
*23<sup>rd</sup> International  
Workshop on Neutrinos  
From Accelerators,  
August 1<sup>st</sup>, 2022  
Michael Smy, University  
of California, Irvine*





# The Kamiokande Series

- ❖ neutrino oscillations
  - ❖ beam and atm.  $\nu$ 's
  - ❖ BSM (sterile, NSI, ...)
- ❖ astrophysics
  - ❖ solar & SN  $\nu$ 's
  - ❖ dark matter
  - ❖ multi messenger
- ❖ nuclear physics
  - ❖  $\nu$ -N interactions
- ❖ geophysics
  - ❖ matter effects
  - ❖ electron density



Hyper-K

Super-K

Kamiokande

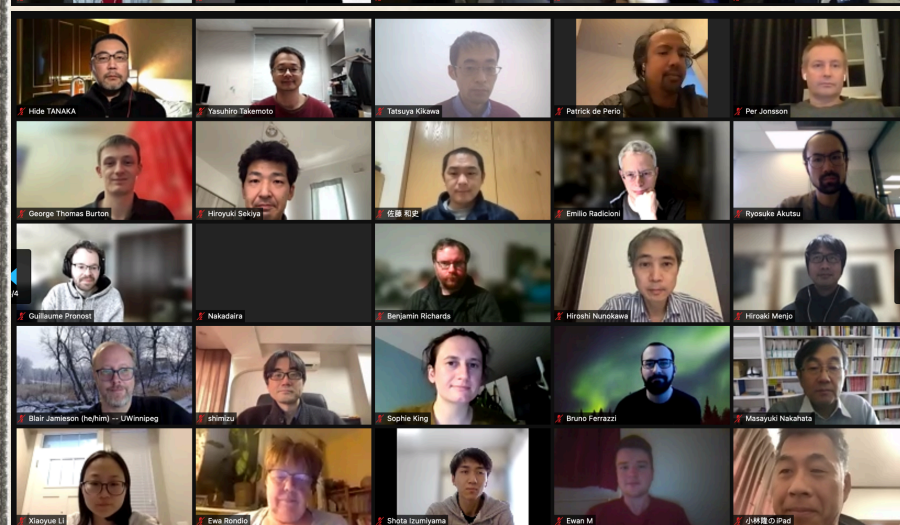
258 kton

50 kton

3 kton



# Hyper-Kamiokande Collaboration

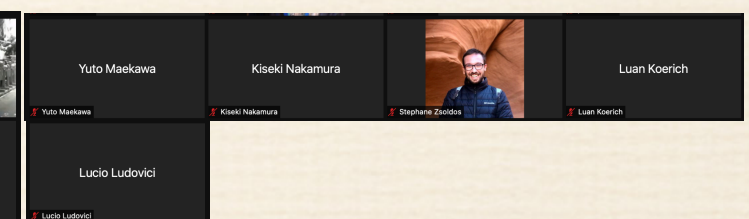
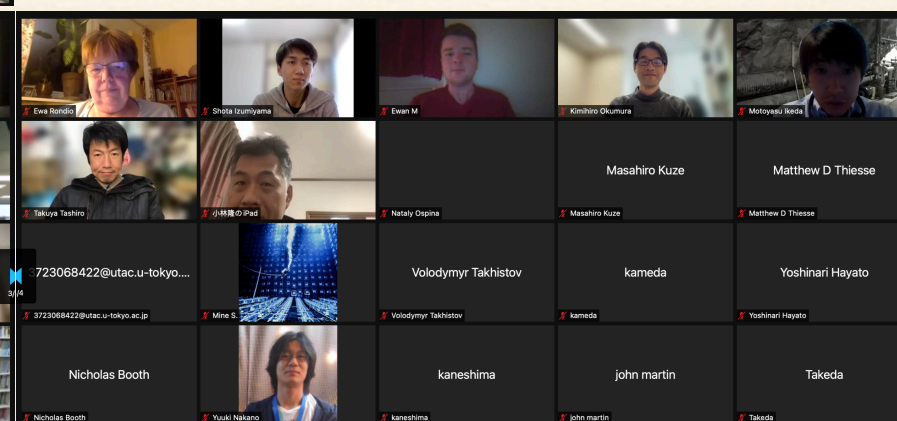
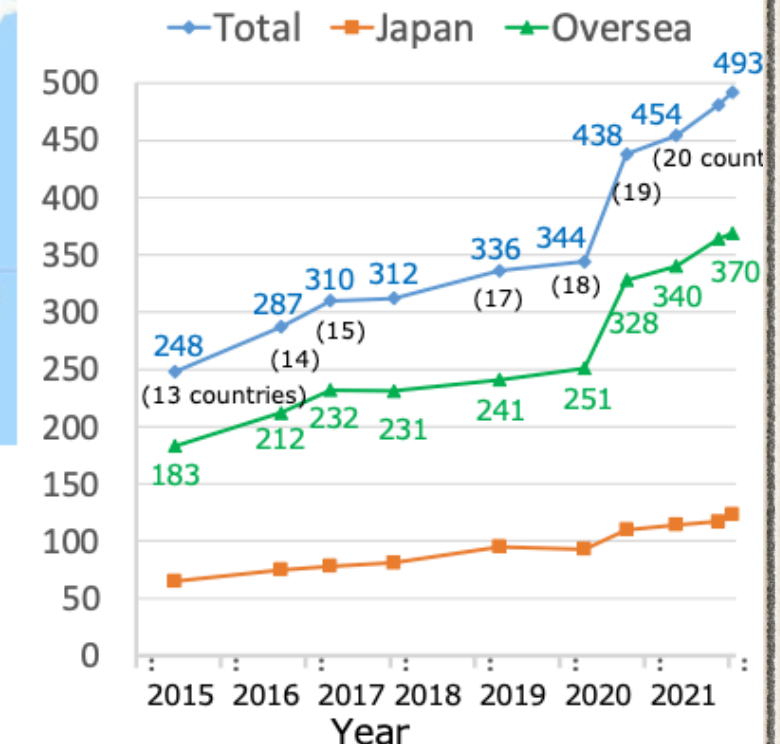


20 countries, 99 institutes, ~500 people as of Jan 2022, and growing

Collaborating Institutes

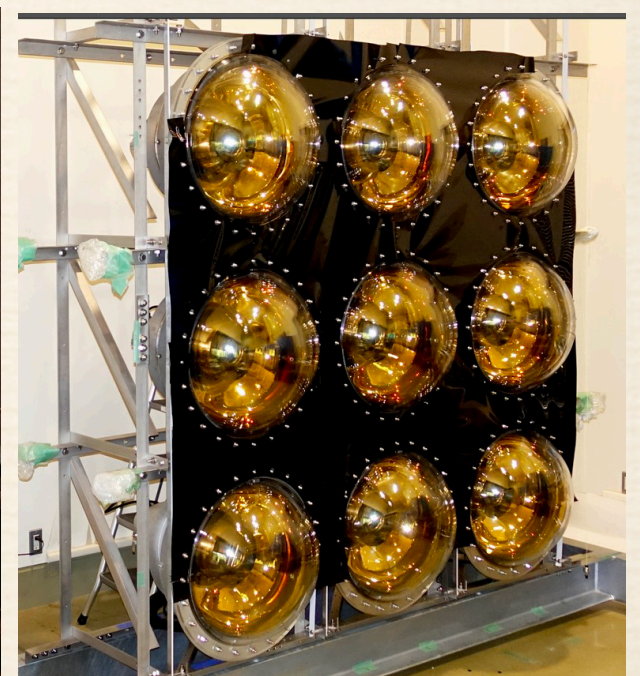
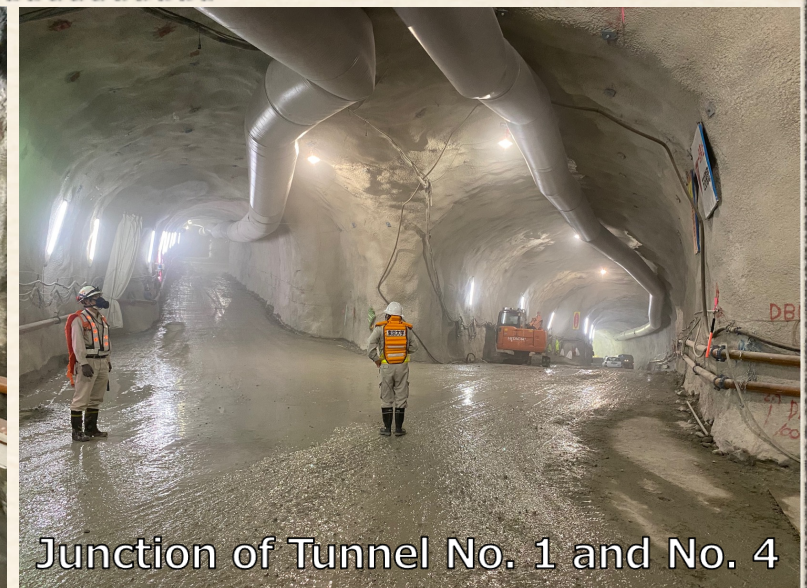


Number of Collaborators





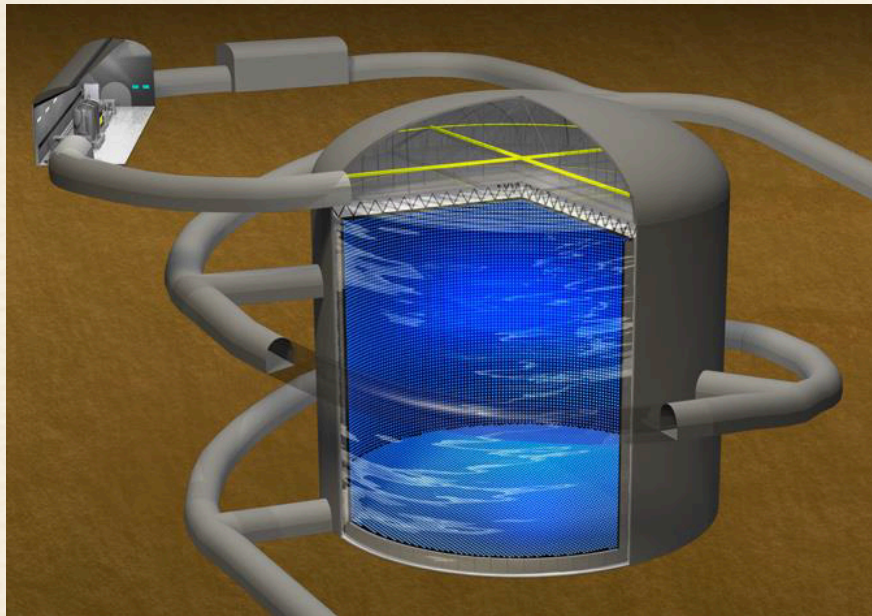
# more than a 1,000 words





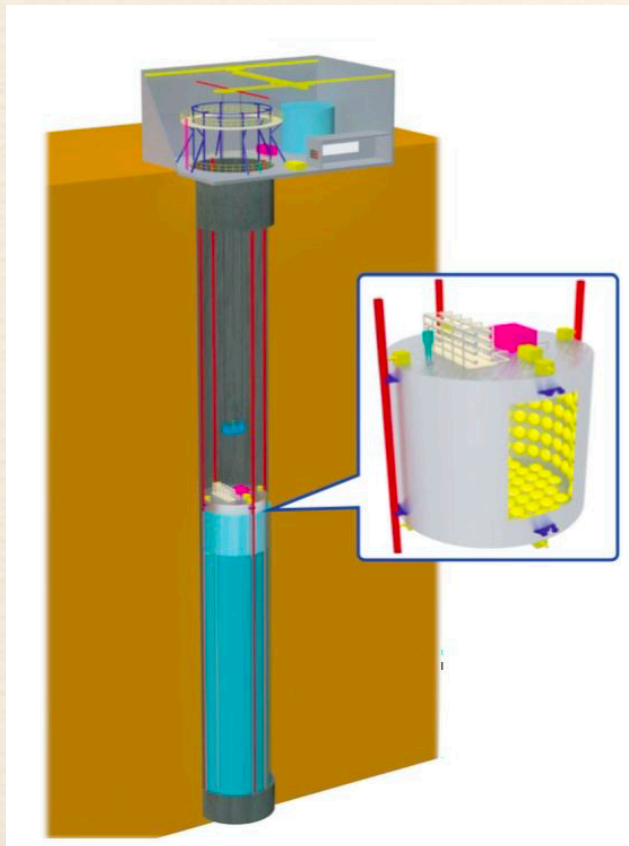
# Hyper-K: New Detectors

## Hyper-K



- ❖ diameter 68m, height 71m  $\Rightarrow$  cavern diameter 69m, height 73m + dome on top
- ❖ tunnel construction done, cavern excavation is starting now
- ❖ tank will be built in 2024/2025, PMTs will be installed in 2025/2026, and data collection starts in 2027
- ❖ Gd doping not in Hyper-K baseline design

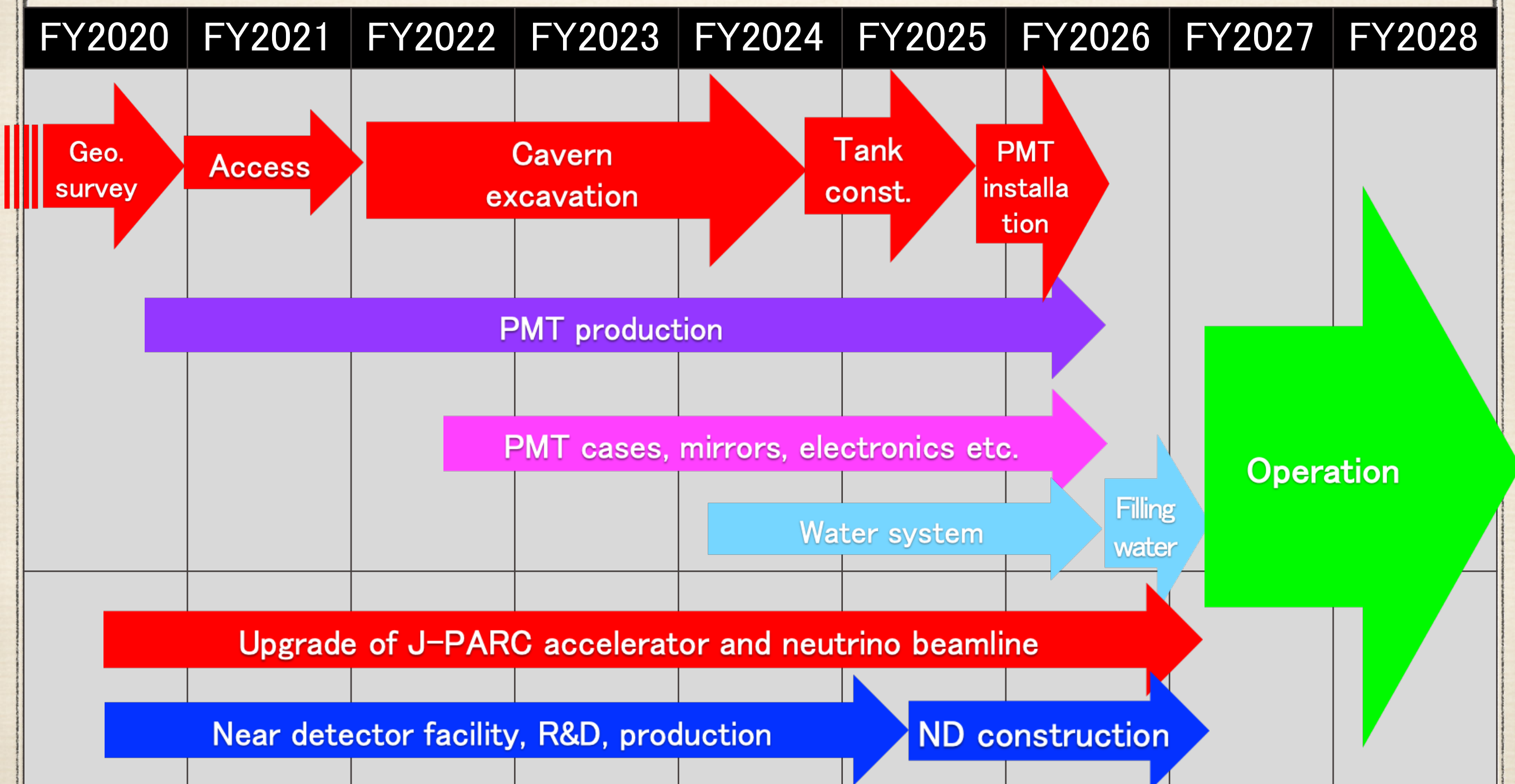
## IWCD



- ❖ IWCD:  $\sim$ 1kt scale intermediate Gd-doped water Cherenkov detector with minimal overburden
- ❖ diameter  $\sim$ 8m, height  $\sim$ 6m
- ❖ uses multi-PMT modules (19 3" PMTs)

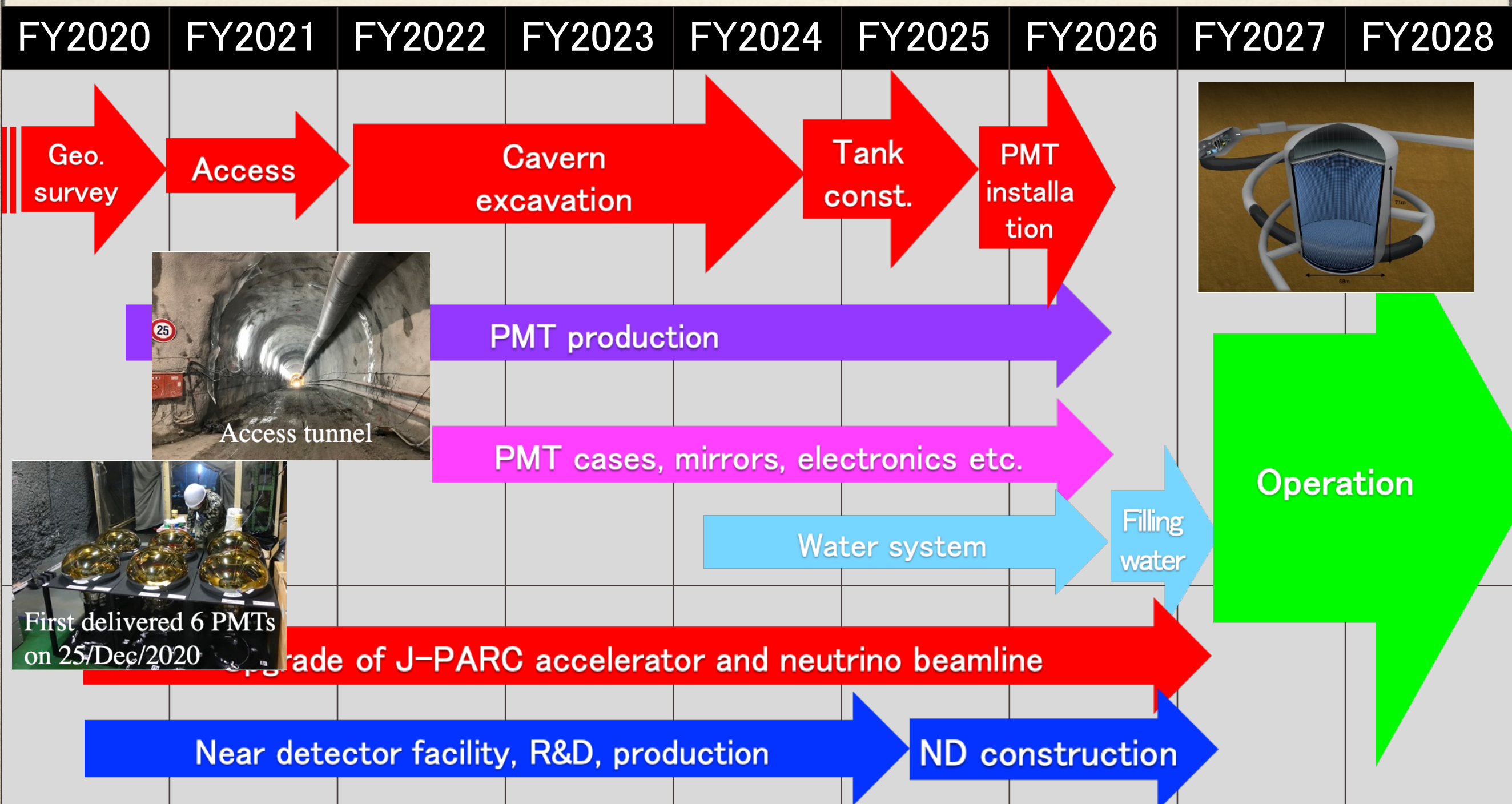


# Hyper-Kamiokande Schedule





# Hyper-Kamiokande Schedule





# Photosensors: 20'' PMTs

## Inner Detector

see also Taketa-san's MMTE talk on Saturday

	Super-K	Hyper-K
	11,129 20'' PMTs	20,000 20'' PMTs (JPN) (+addition PDs) (Overseas))
photo-coverage	40%	20%
single photon efficiency/PMT	~12%	~24%
dark noise	~5kHz (typical)	4kHz (average)
time resolution (one p.e)	~3ns	~1.5ns

- ❖ PMT production has started on time for 20'' 'Box & Line' dynode PMTs
- ❖ 3,772 PMTs delivered in April
- ❖ production suspended to investigate rate of PMTs not passing quality checks
- ❖ 20,000 by 2026 (according to budget profile)



2020/12 First six PMTs delivered to Kamioka

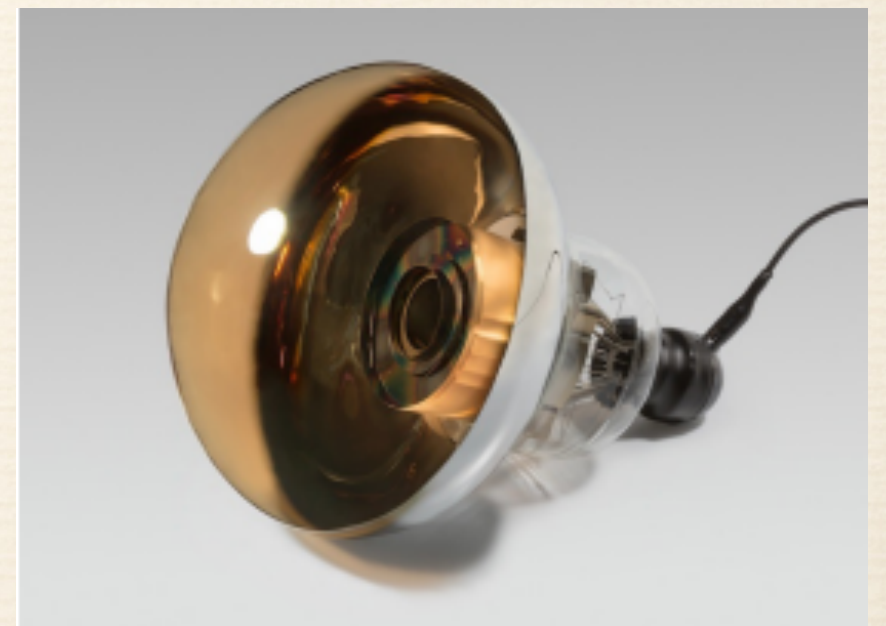


# Photosensors: mPMTs vs 20'' PMTs

see also Akutsu-san's WG6 talk on Tuesday

	mPMT: 19 x 3'' PMTs	20'' 'B&L' PMT
photo-cathode area	870 cm <sup>2</sup>	2000 cm <sup>2</sup>
effective light yield	~ 1 hit/MeV/5,000 mPMTs	~6 hits/MeV/20,000 PMTs
dark noise	19 x 200-300 Hz	~4kHz (typical)
transit time spread	1.3ns	2.7ns
comments	<ul style="list-style-type: none"> <li>granularity</li> <li>directionality</li> <li>better time resolution</li> </ul>	<ul style="list-style-type: none"> <li>performance confirmed</li> <li>high photon detection efficiency</li> </ul>

- ❖ complementary measurements of Cherenkov light
- ❖ systematic error reductions

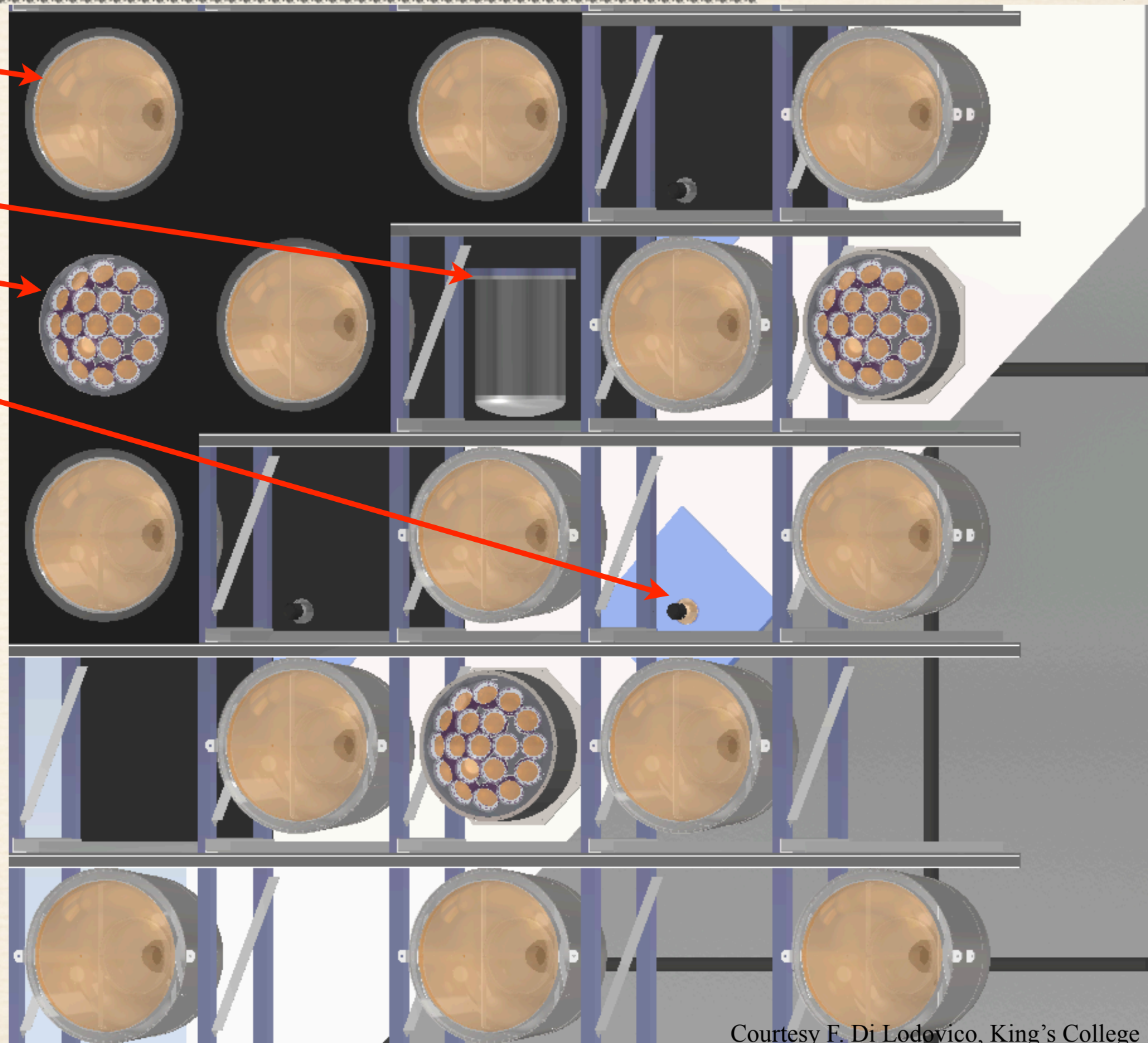
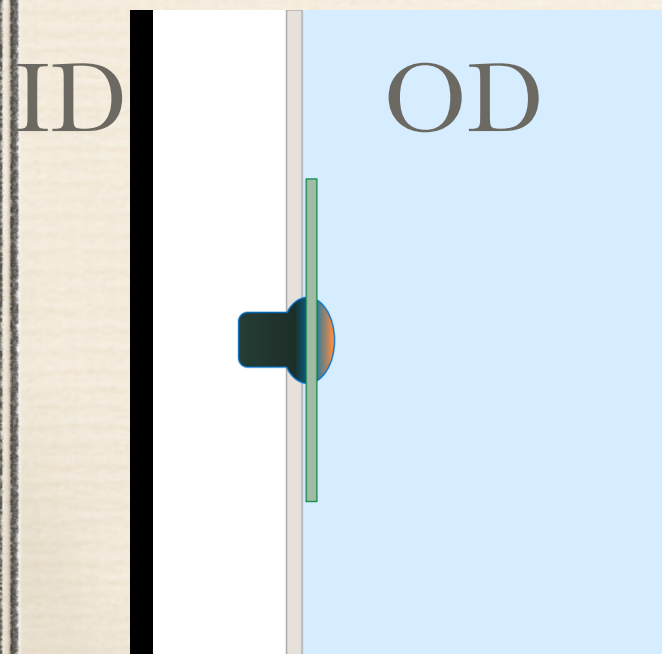




# Photosensor Configuration

\*) see also Izumiyama-san's WG6 talk on Tuesday

- ❖ ID 20" 'B&L' PMTs
- ❖ in-water electronics \*)  
(ID and OD)
- ❖ ID mPMTs
- ❖ OD 3" PMT with  
wavelength shifter plate
- ❖ OD separated from ID  
by black sheet and  
reflective Tyvek

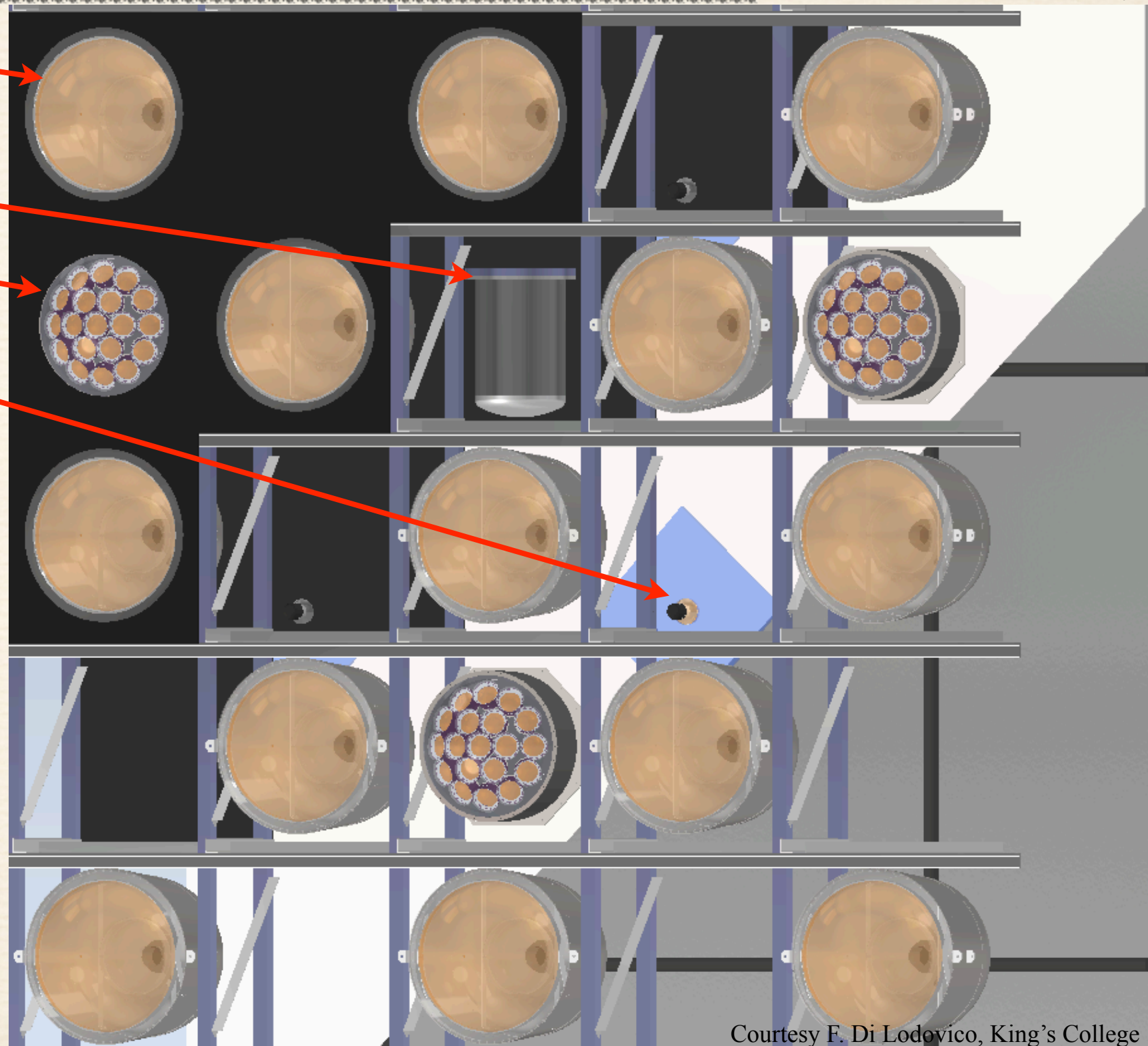
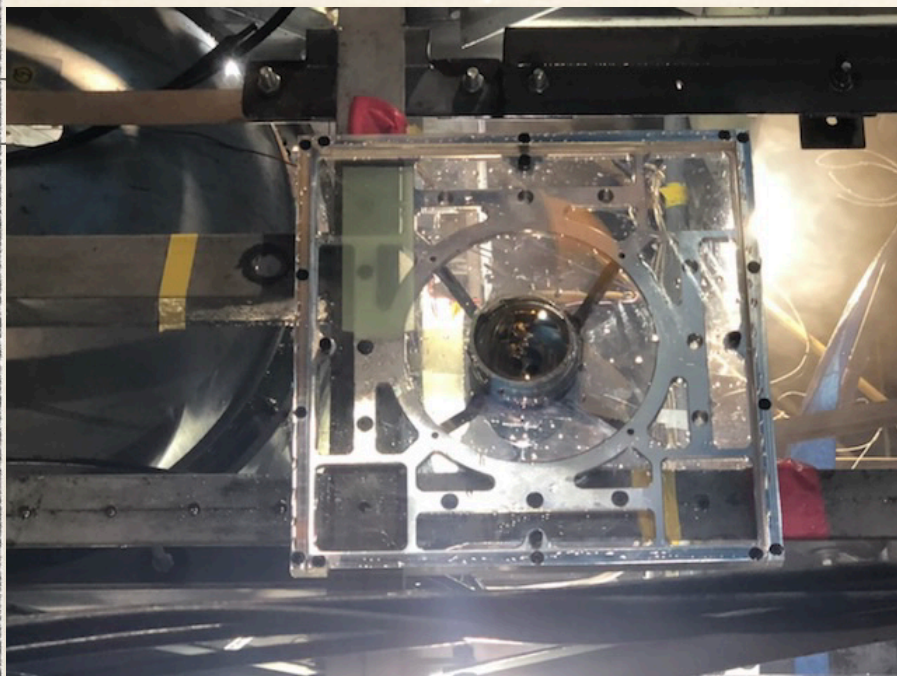




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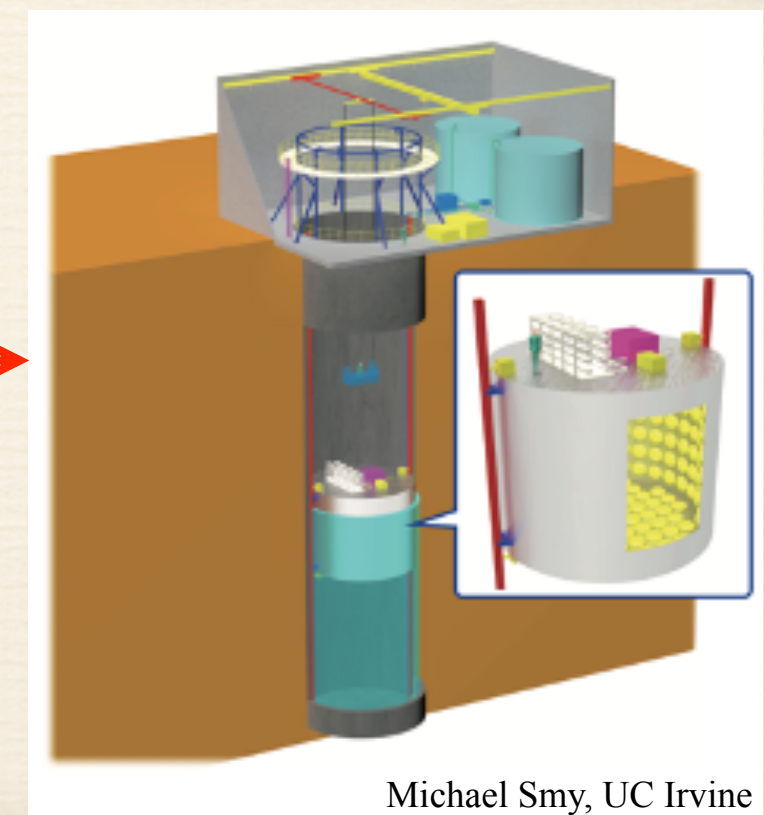
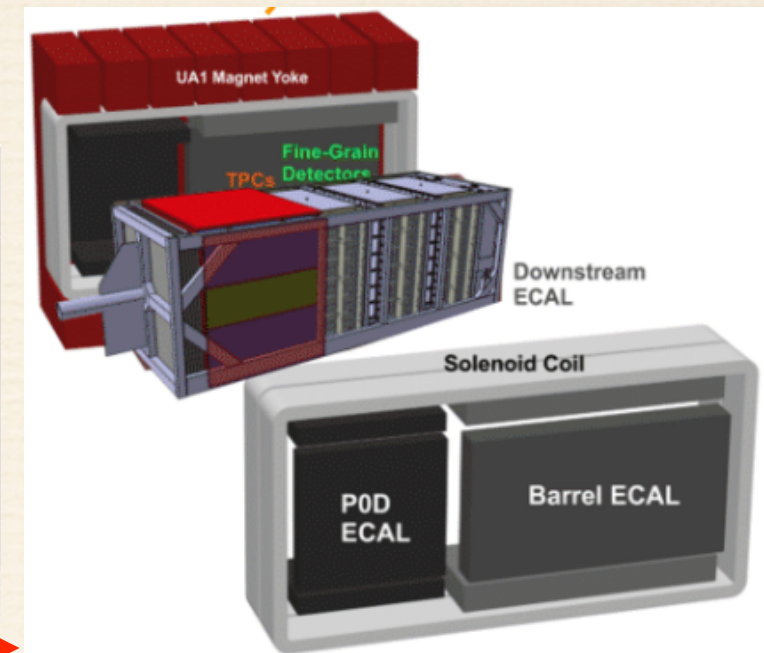
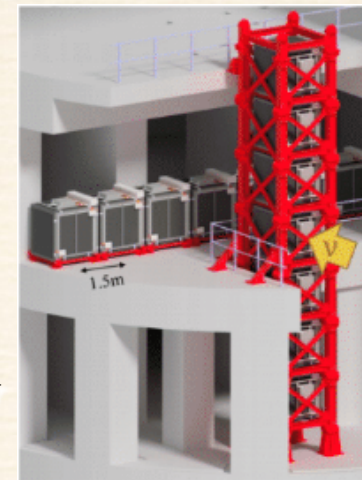
Courtesy F. Di Lodovico, King's College



# Near Detectors

see also Kormos' talk earlier

- ❖ critical for beam oscillation measurements: understand J-PARC beam, neutrino cross sections, detector systematics
- ❖ beam monitor (INGRID)
  - ❖ on and off axis
  - ❖ measure beam direction, monitor intensity
- ❖ ND 280
  - ❖ off axis
  - ❖ magnetized tracker: charge separation of wrong-sign background
- ❖ IWCD
  - ❖ off axis
  - ❖ water Cherenkov detector like Hyper-K
  - ❖ cross sections as a function of neutrino energy (determined from axis angle)





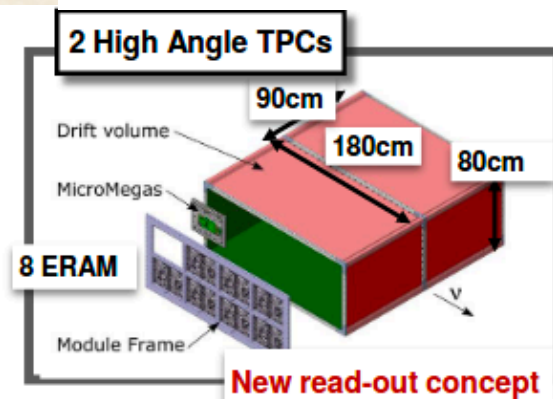
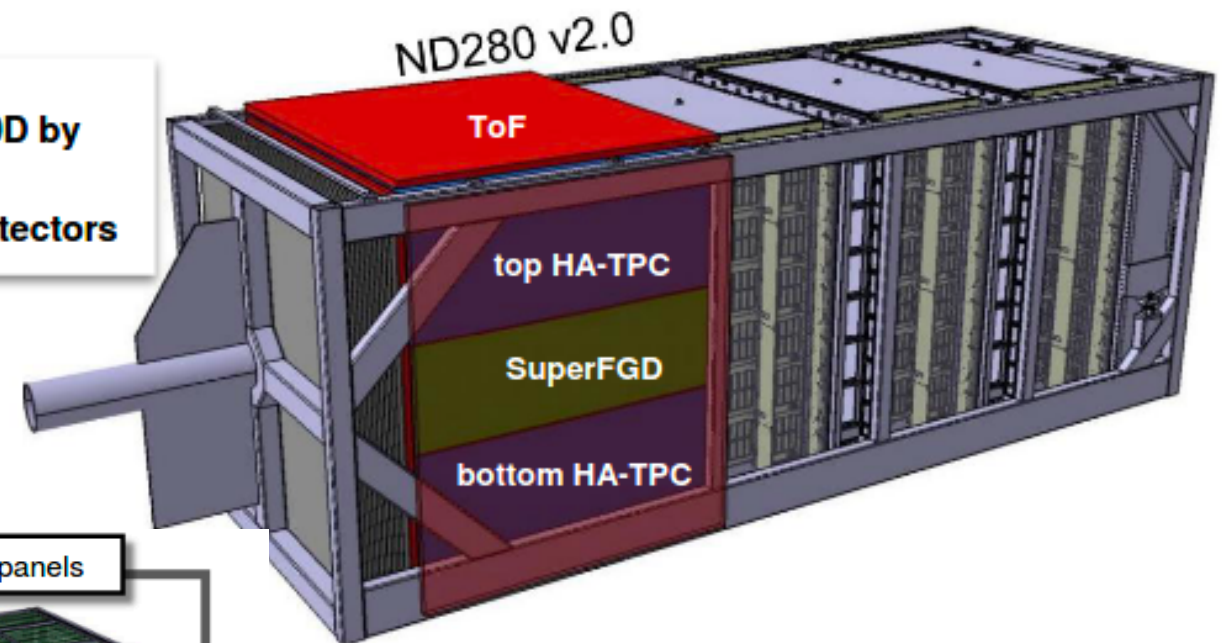
# Near Detectors

see also Kormos' talk earlier

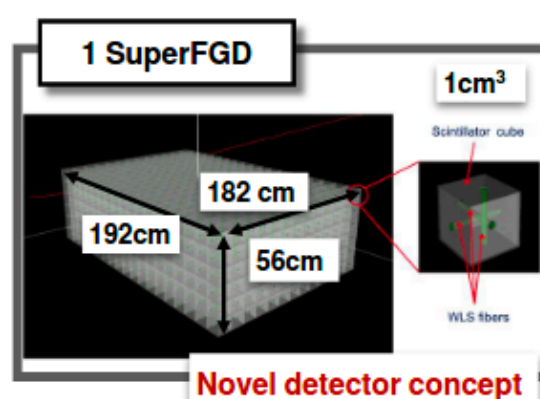
- ❖ T2K upgrade: ND280 2.0
- ❖ replace P0D by three new detectors

Courtesy T2K (M. Batkiewicz-Kwaśniak, The H. Niewodniczański Institute of Nuclear Physics Polish Academy of Sciences, Cracow)

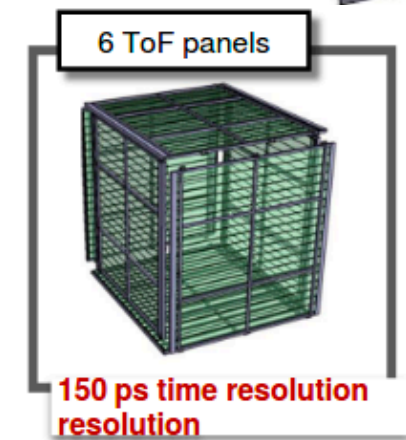
Replace P0D by  
new subdetectors



NIM A 957 163286 (2020)

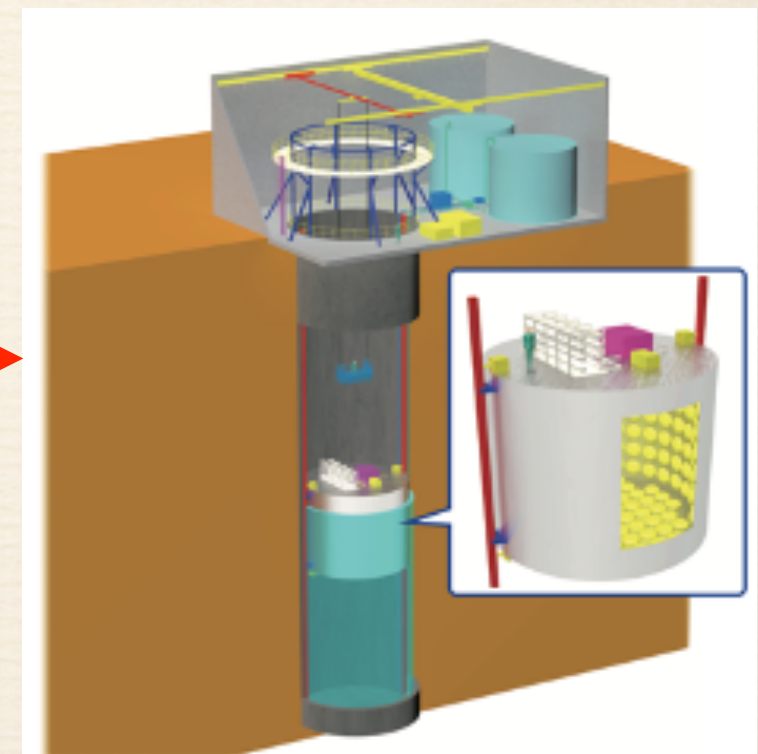


JINST 13, P02006 (2018)  
JINST 15 P12003 (2020)



JPS Conf. Proc. 27, 011005 (2019)

sign

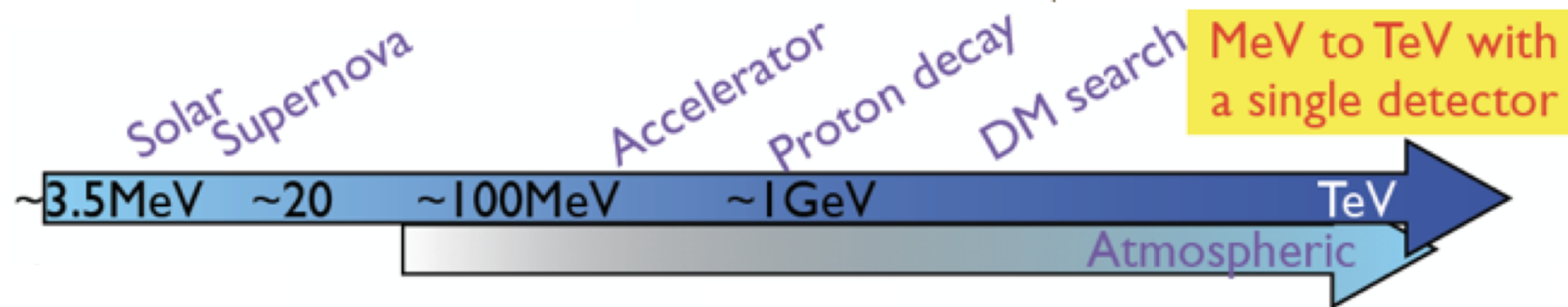


- ❖ IWCD

- ❖ off axis
- ❖ water Cherenkov detector like Hyper-K
- ❖ cross sections as a function of neutrino energy (determined from axis angle)



# Hyper-K Physics Signals

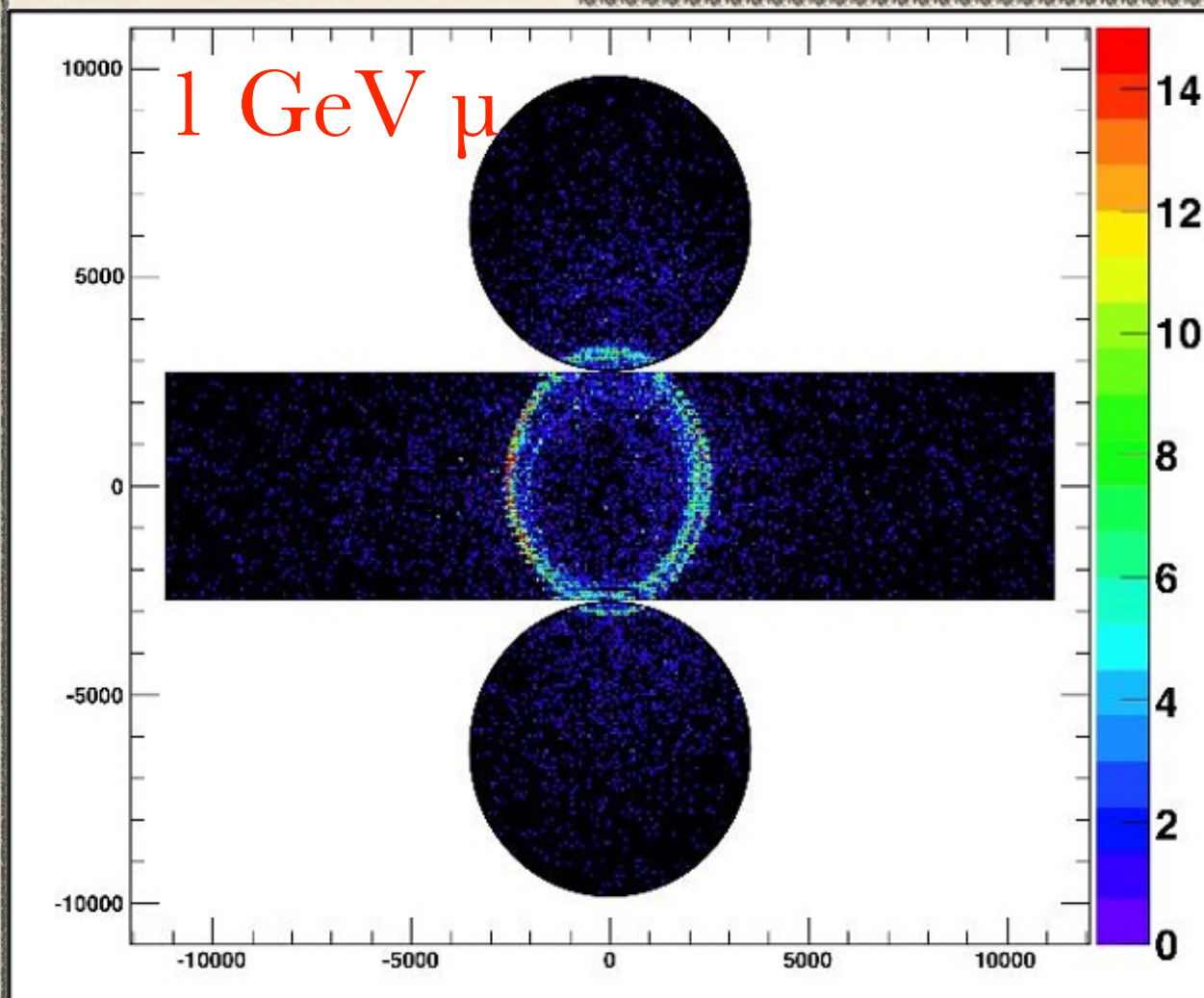


	material	Fiducial Mass (kton)
Super-K	Water	22
<b>Hyper-K</b>	<b>Water</b>	<b>190</b>
DUNE	Argon	40
JUNO	Liq. Scinti	20

- ❖ Low Energy  $O(1\text{ MeV to }10\text{ MeV})$ :
  - ❖ solar  $^8\text{B}$  and hep neutrinos:
  - ❖ reactor neutrinos
- ❖ Medium Energy  $O(30\text{ MeV})$ :
  - ❖ supernova neutrinos
- ❖ High Energy  $O(100\text{ MeV to }1\text{TeV})$ :
  - ❖ atmospheric neutrinos
  - ❖ nucleon decay
  - ❖ JPARC neutrino beam
  - ❖ astrophysical neutrinos <sup>11</sup>



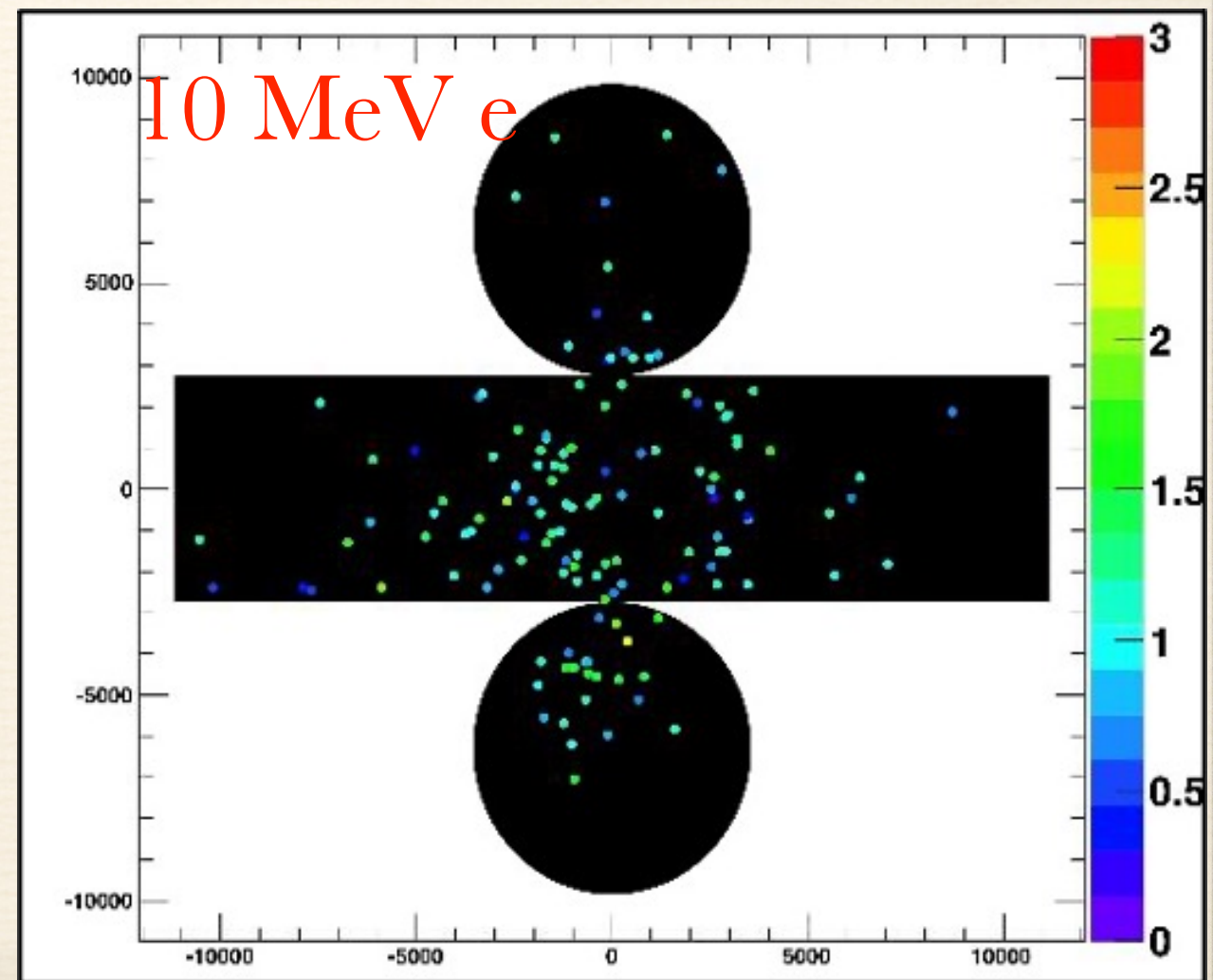
# Hyper-K Event Reconstruction



- ❖ PMT time: vertex
- ❖ “rings”: directions
- ❖ “brightness”: momentum
- ❖ “sharpness”: particle ID

neutrino interactions

- ❖ CC interaction  $\nu_{\mu/e} + N \rightarrow \mu/e + X$
- ❖ NC interaction  $\nu + N \rightarrow \nu + X$
- ❖ ES interaction  $\nu_x + e \rightarrow \nu_x + e$

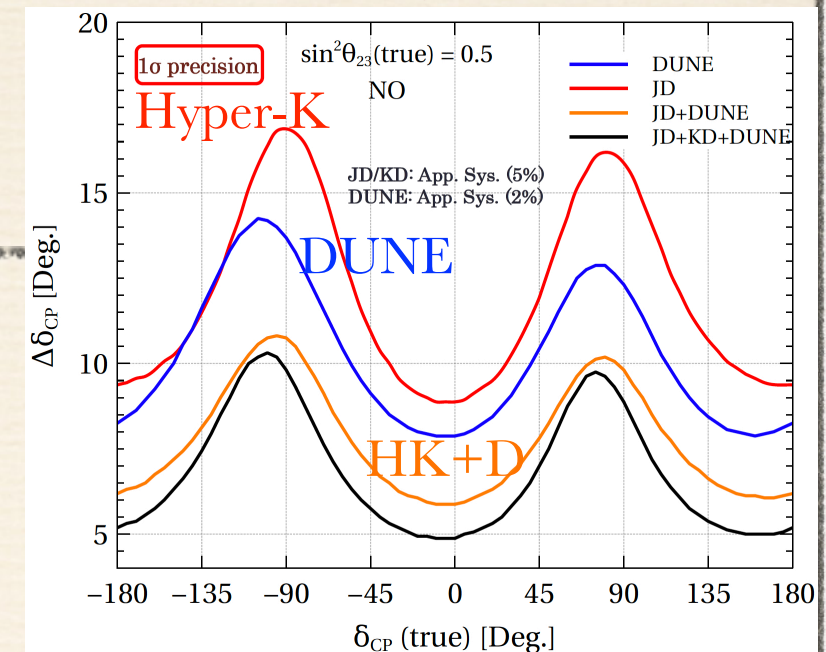




# Neutrino Oscillations with Beams

- ❖ DUNE strategy
  - ❖ long baseline to be sensitive to matter effects
  - ❖ high energy, wide-band beam to measure oscillation pattern for neutrinos and anti-neutrinos
  - ❖ fine-grained detector to be able to use all (CC) cross section channels
  - ❖ near detectors to characterize beam and measure “unoscillated” spectra
- ❖ Hyper-K strategy
  - ❖ shorter baseline to reduce correlation between CPV and matter effects
  - ❖ low energy, narrow-band beam to focus on CCQE
  - ❖ inexpensive water Cherenkov detector with limited tracking ability; can afford larger fiducial mass and use it for atmospheric neutrino measurements of matter effects
  - ❖ near detectors to characterize beam, and an additional intermediate detector to measure “unoscillated spectra” (using the “Nu-prism” beam angle technique)
- ❖ neutrino oscillation measurements of both experimental programs are complementary and vital for a robust understanding of the underlying physics and to limit the impact of systematic effects such as cross section uncertainties)

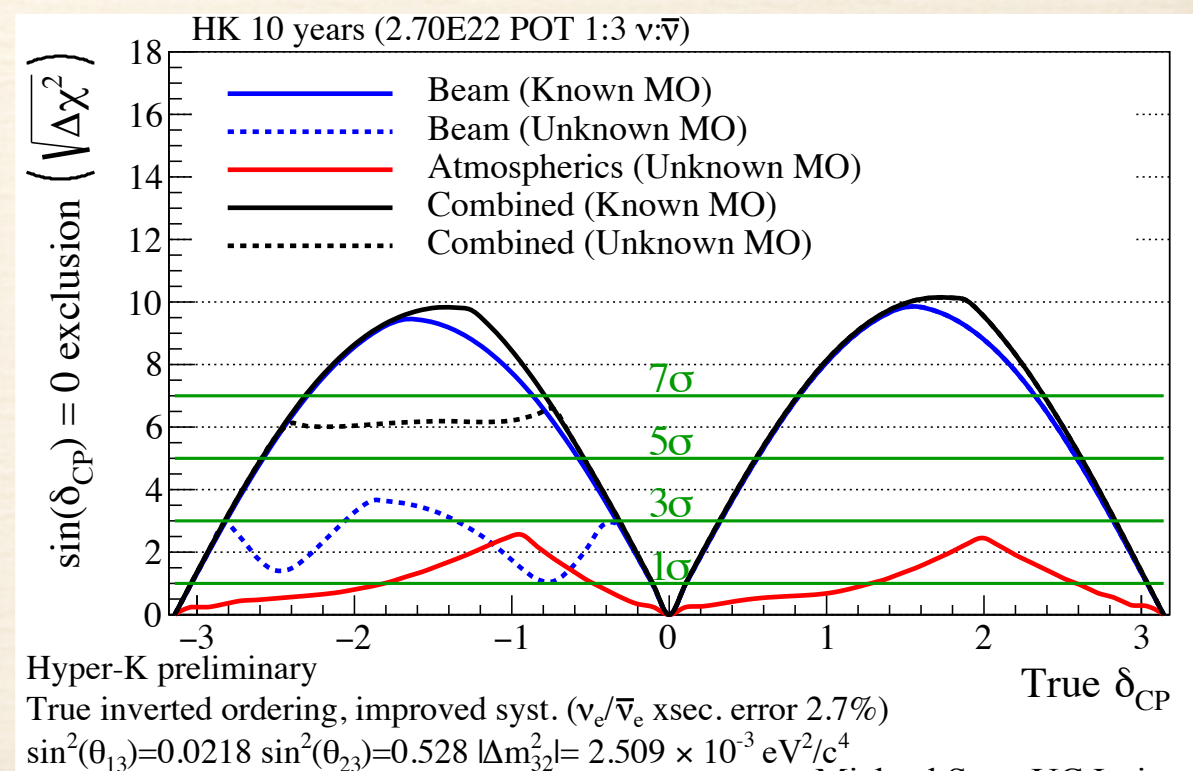
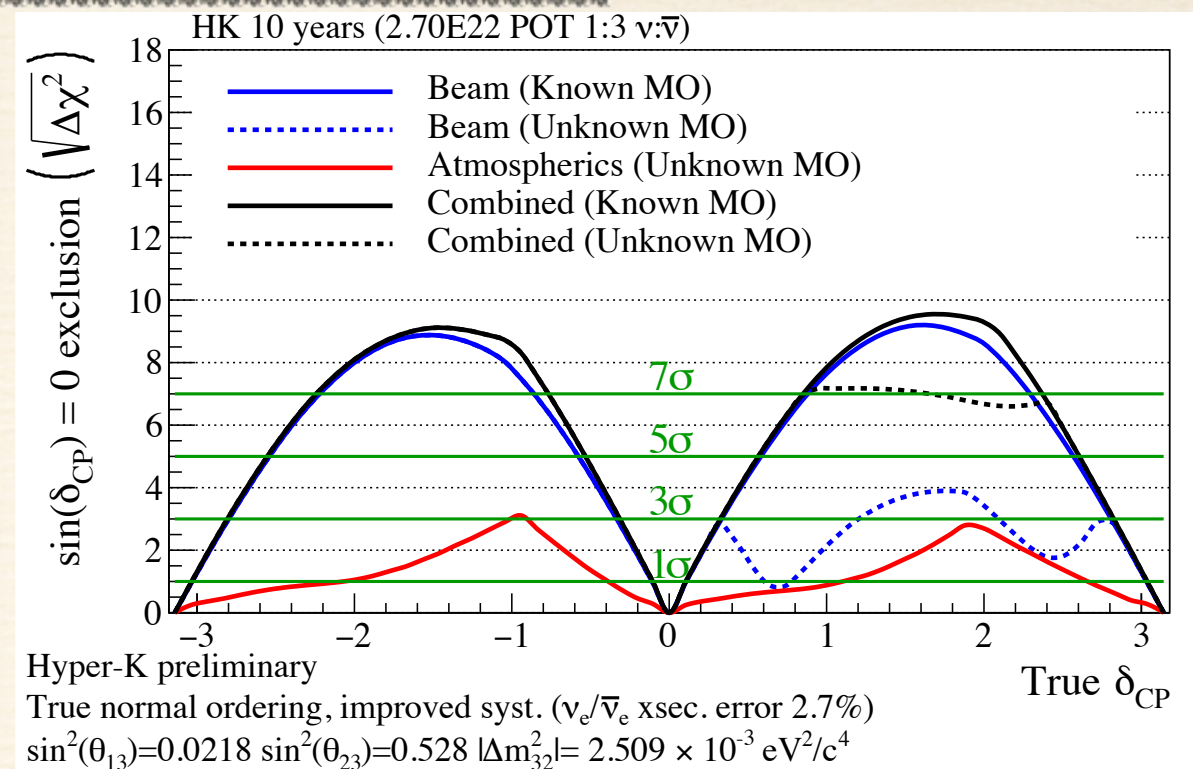
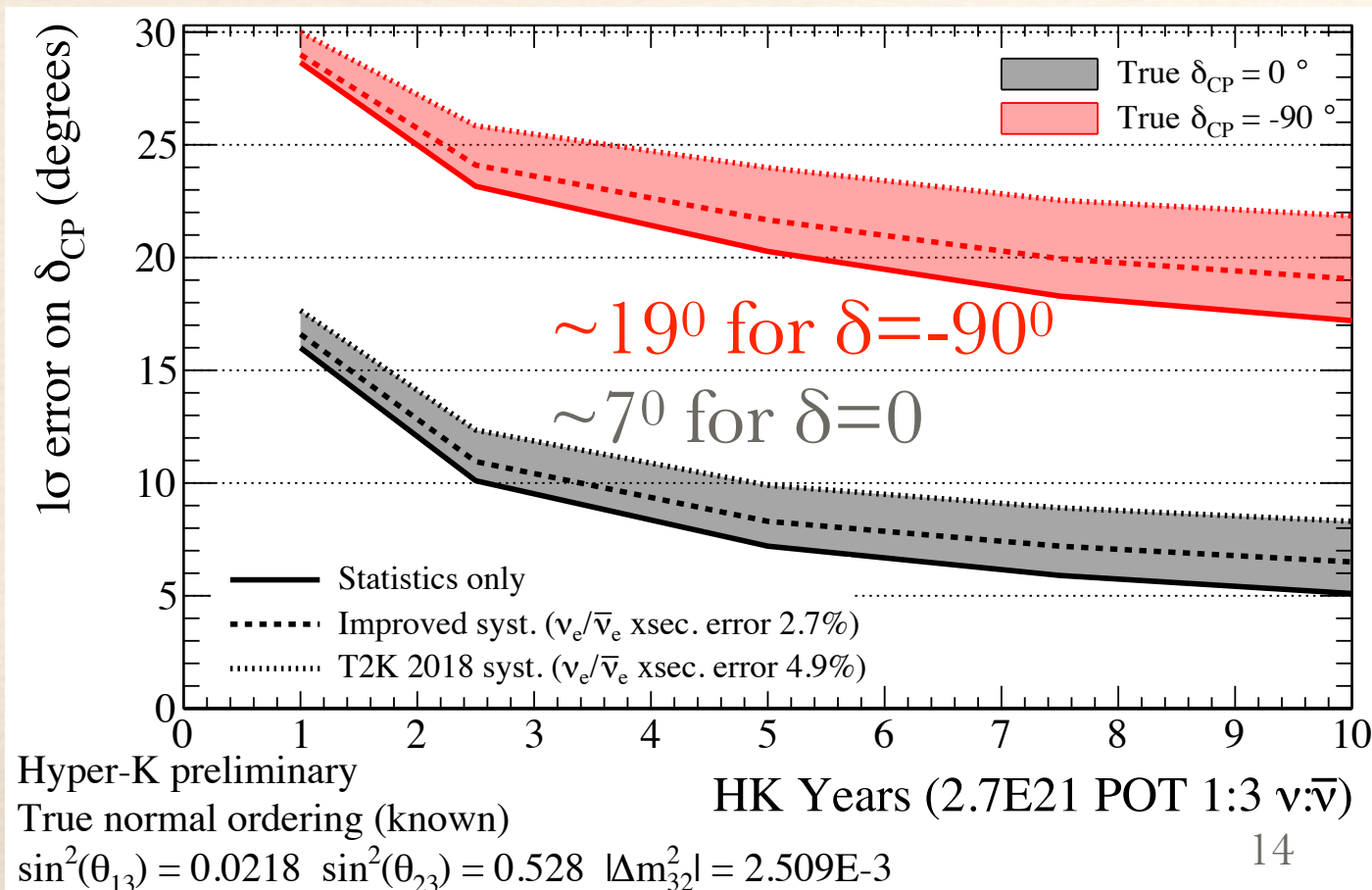
S. K. Agarwalla, S. Das, A. Giarnetti, D. Meloni, and M. Singh, in preparation





# Accelerator Neutrinos: CPV Sensitivity

- ❖ good chance to discover leptonic CPV
- ❖ measure CPV phase
- ❖ best  $5\sigma$  coverage of  $\delta$  if mass ordering is known
- ❖ use atmospheric neutrinos to help remove mass ordering ambiguity





# Atmospheric Neutrinos

see also MMTE workshop on Sa/Su

❖ baselines up to 12,000km  
(measured by zenith angle)

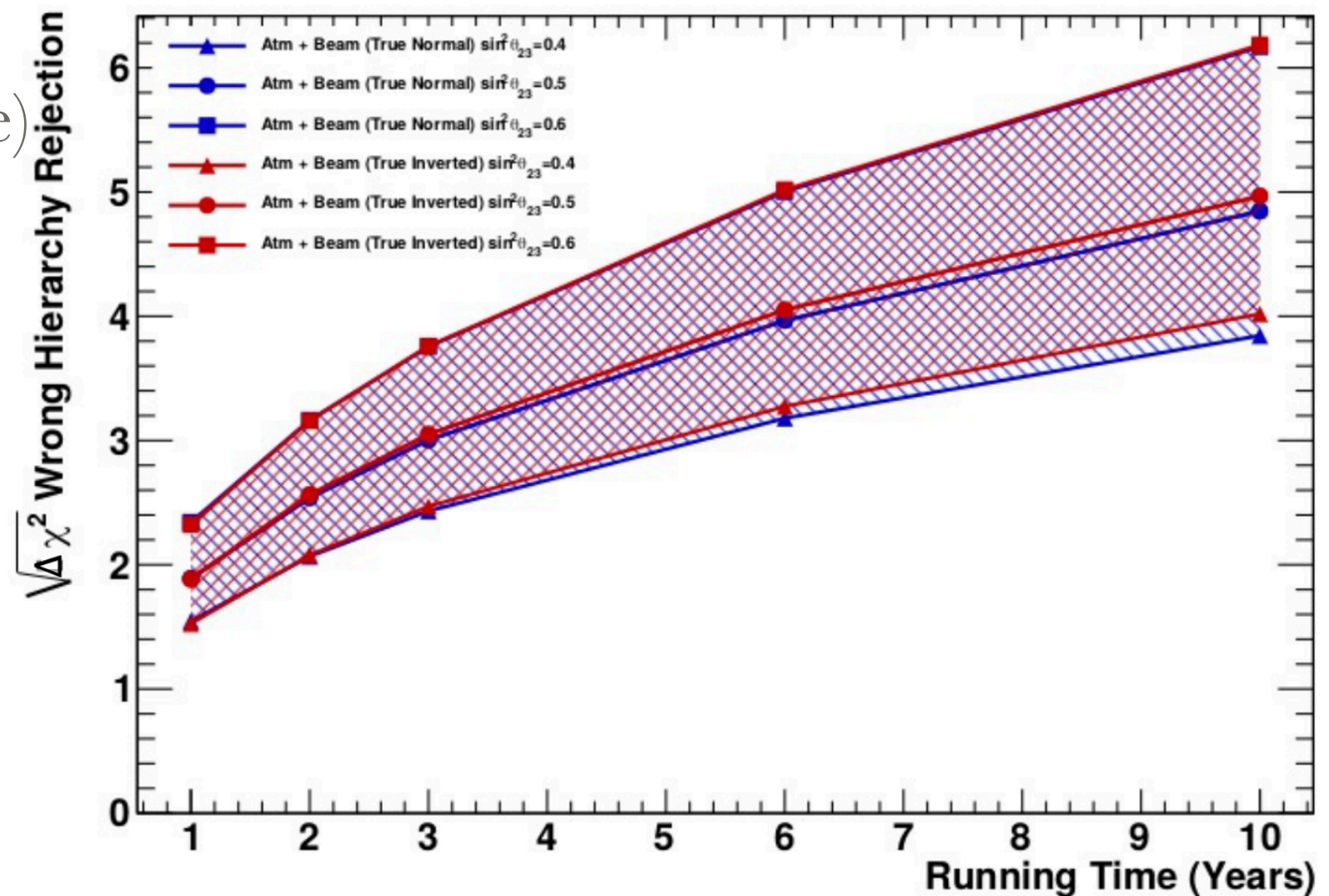
❖ strong matter effects on  
neutrinos passing deep  
inside the earth:

❖ normal ordering:  
 $\nu_\mu \rightarrow \nu_e$  is enhanced

❖ inverted ordering:  
 $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$  is enhanced

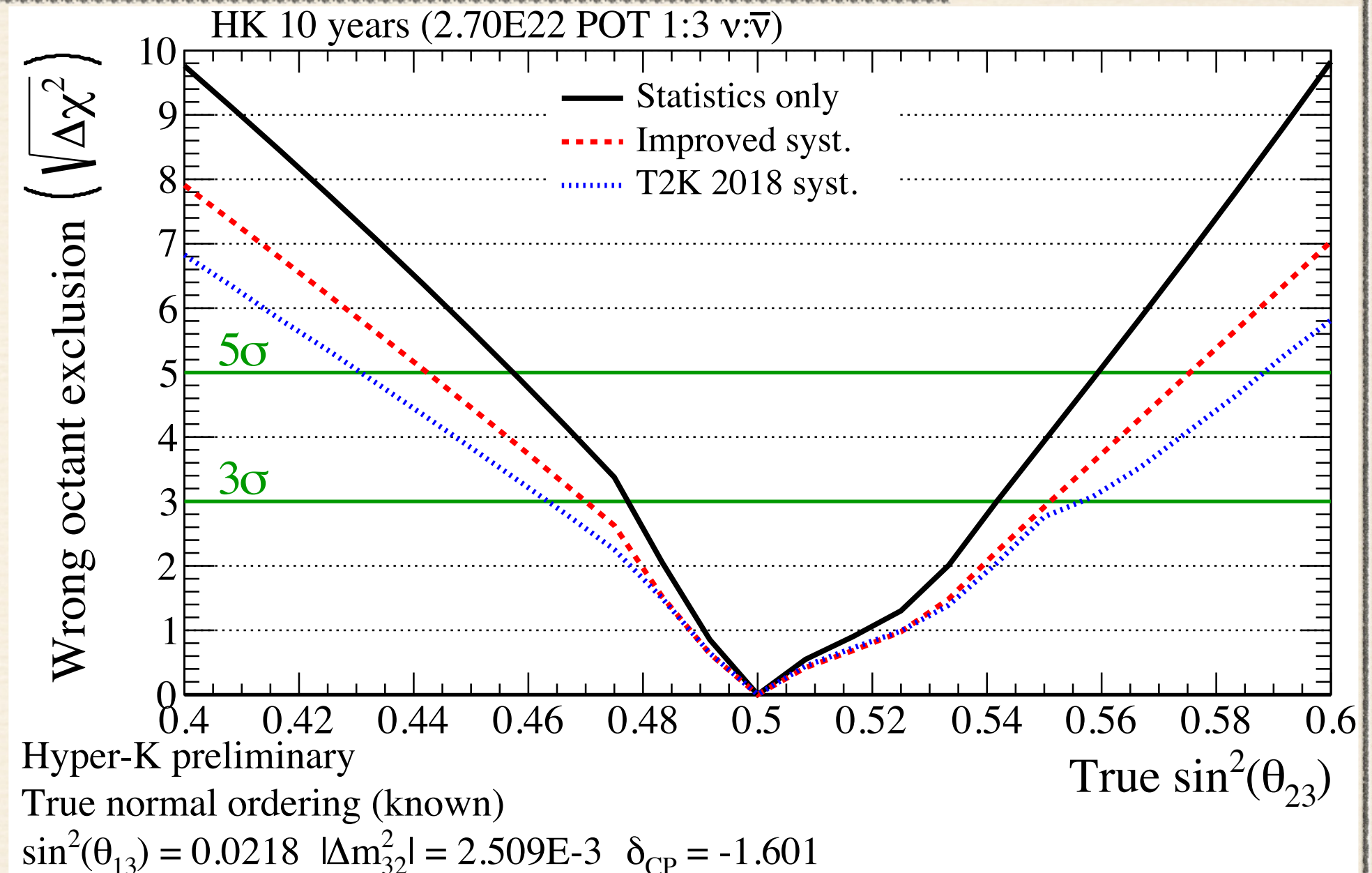
❖ beam + atmospheric data exclude wrong ordering by 4-6 $\sigma$  depending  
on  $\sin^2\theta_{23}$

❖ synergy of beam and atmospheric analysis (event reconstruction, MC  
generation systematic error evaluation, etc.)





# Accelerator Neutrinos: Octant Sensitivity

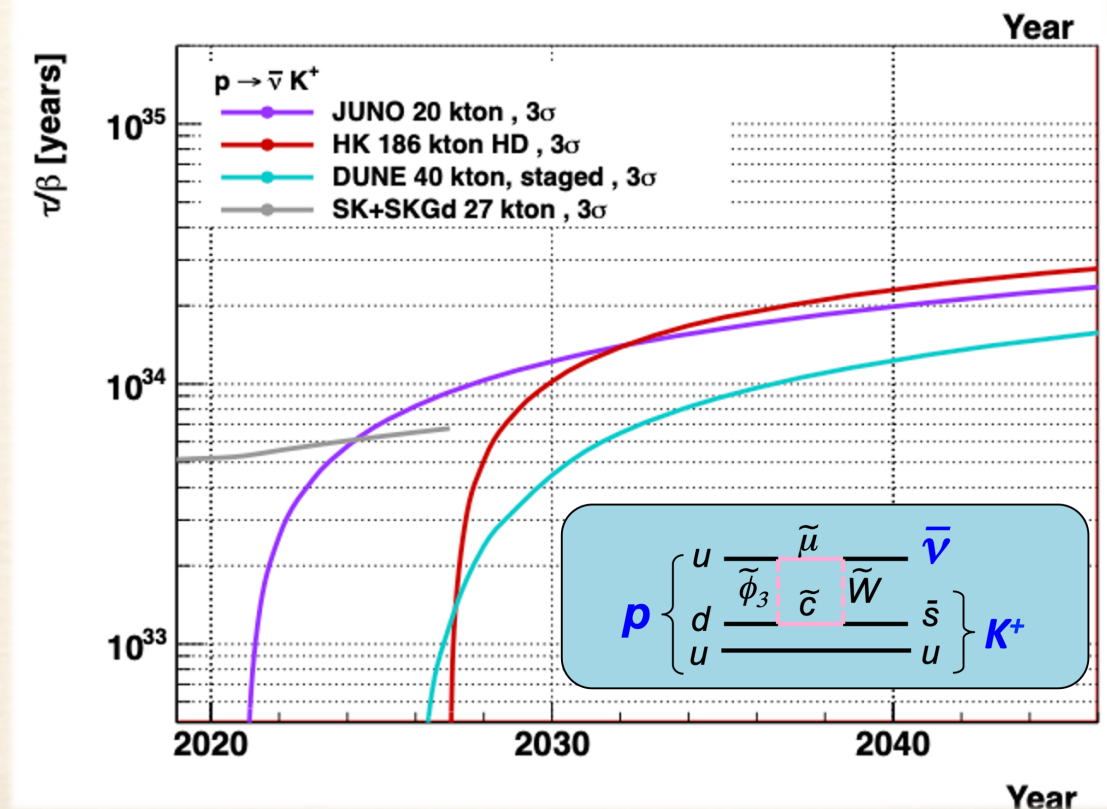
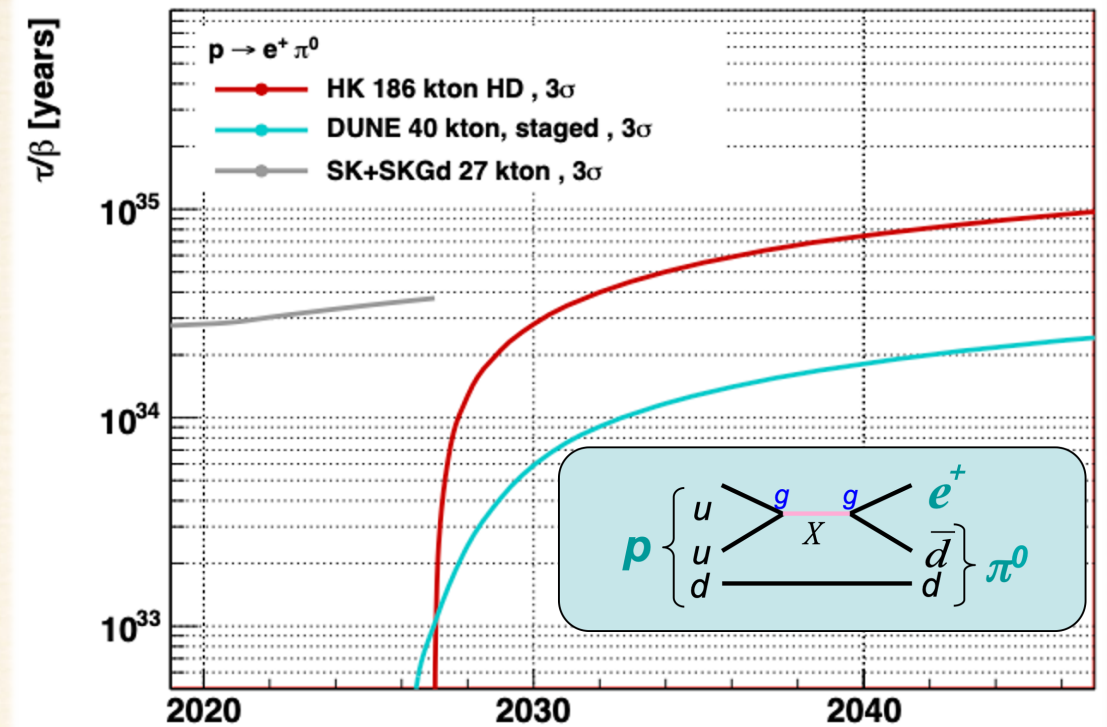
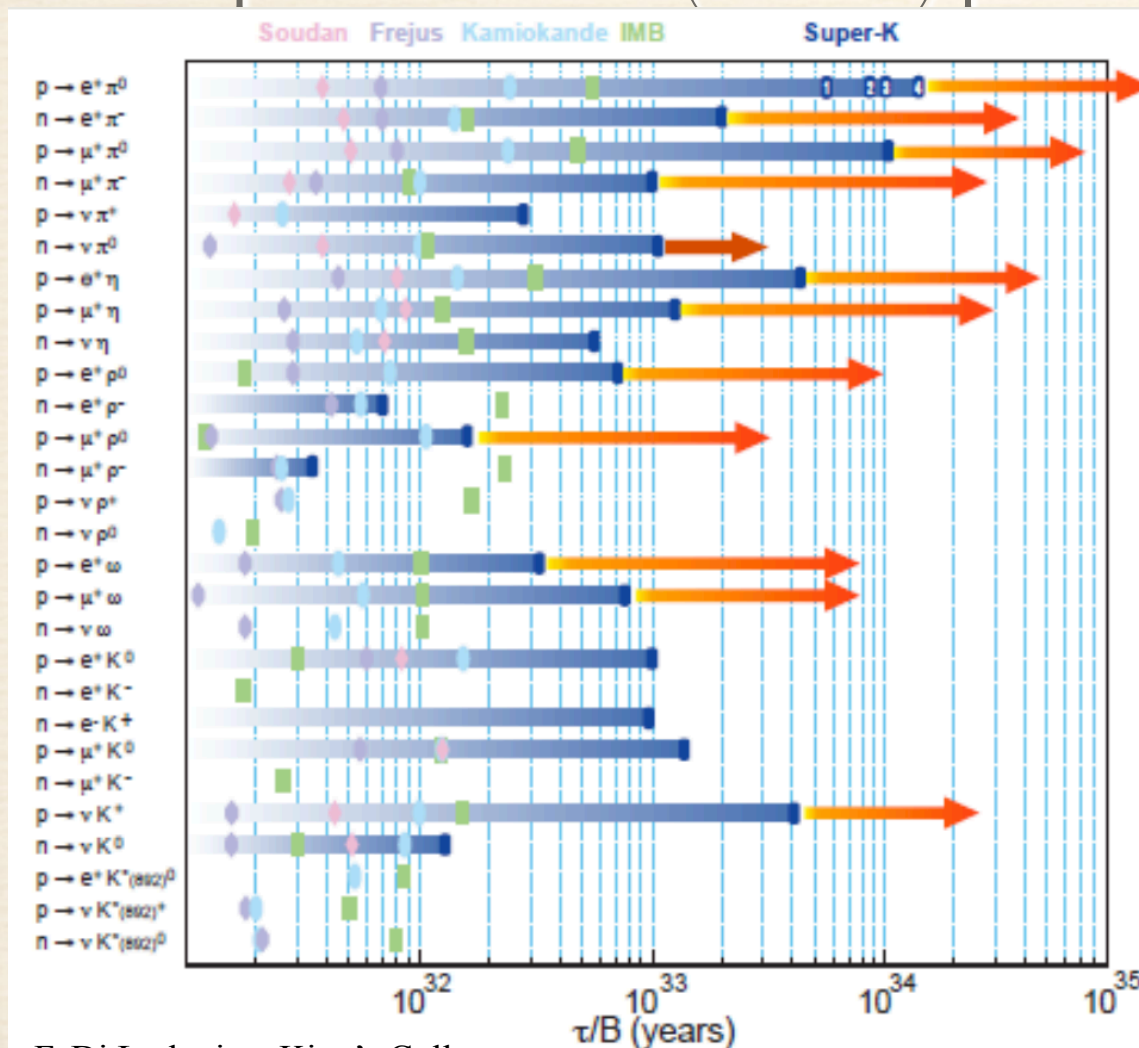


- ❖ for known, normal ordering
- ❖ improved systematics: exclude wrong octant at  $>3\sigma$  unless  $0.47 < \sin^2\theta_{23} < 0.55$
- ❖ T2K 2018 systematics: exclude wrong octant at  $>3\sigma$  unless  $0.46 < \sin^2\theta_{23} < 0.55$



# Nucleon Decay

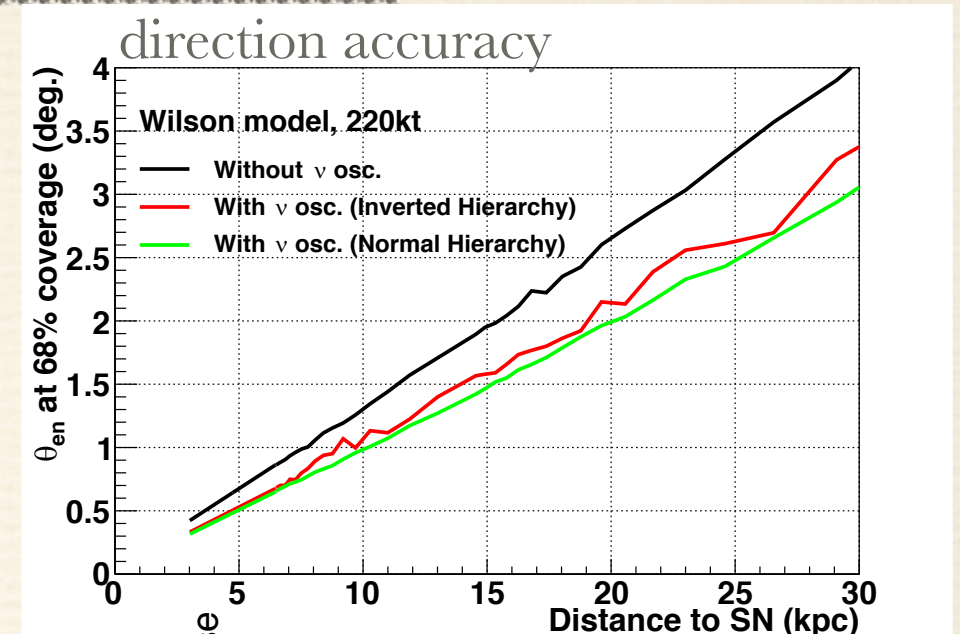
- ❖ Hyper-K will be the biggest nucleon decay experiment
- ❖ sensitivity to many channels, not just the iconic  $p \rightarrow e^+ \pi^0$  and (SUSY)  $p \rightarrow \bar{\nu} K^+$



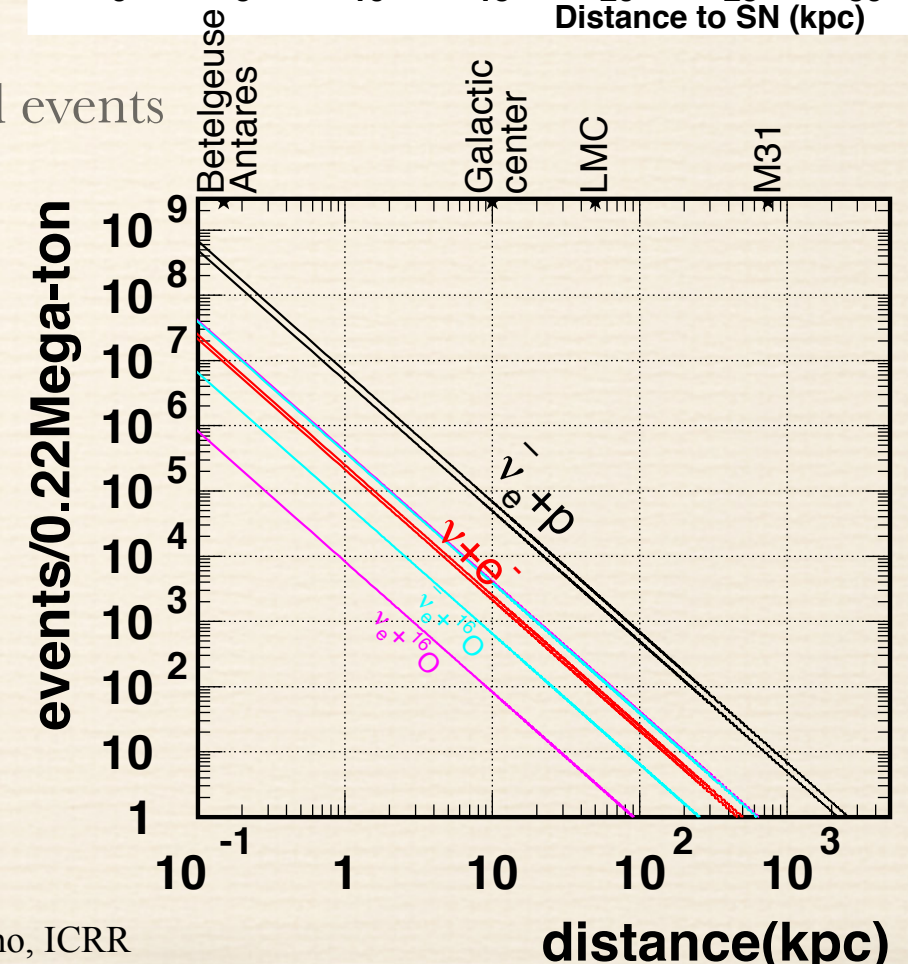


# Neutrinos from Core Collapse Supernovae in Hyper-K

- ❖ 50,000 to 80,000 events expected from a core collapse SN at the galactic center (8.5 kpc)
- ❖ 6-10 events expected for a core collapse SN in M31 (750kpc)
- ❖ compare to 11 neutrinos detected at Kamiokande and 8 at IMB at 50kpc
- ❖ astrophysics
  - ❖ explosion mechanism
  - ❖ proto-neutron star formation
  - ❖ black hole formation
- ❖ neutrino physics
- ❖ multi-messenger astronomy
  - ❖ early alert with direction
  - ❖ useful for gravitational wave, gamma-ray and X-ray telescopes



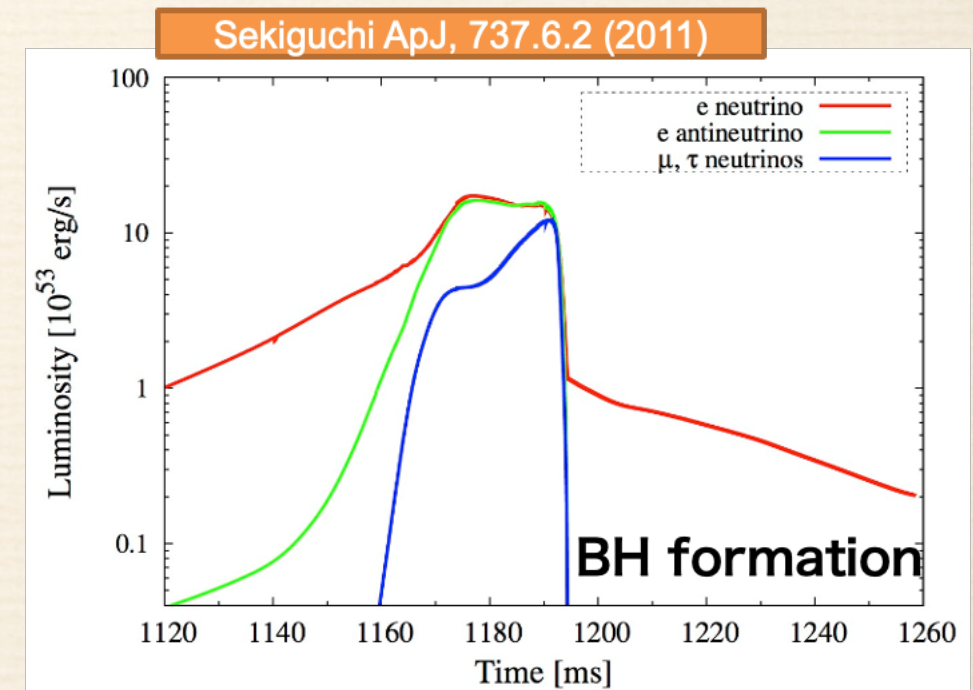
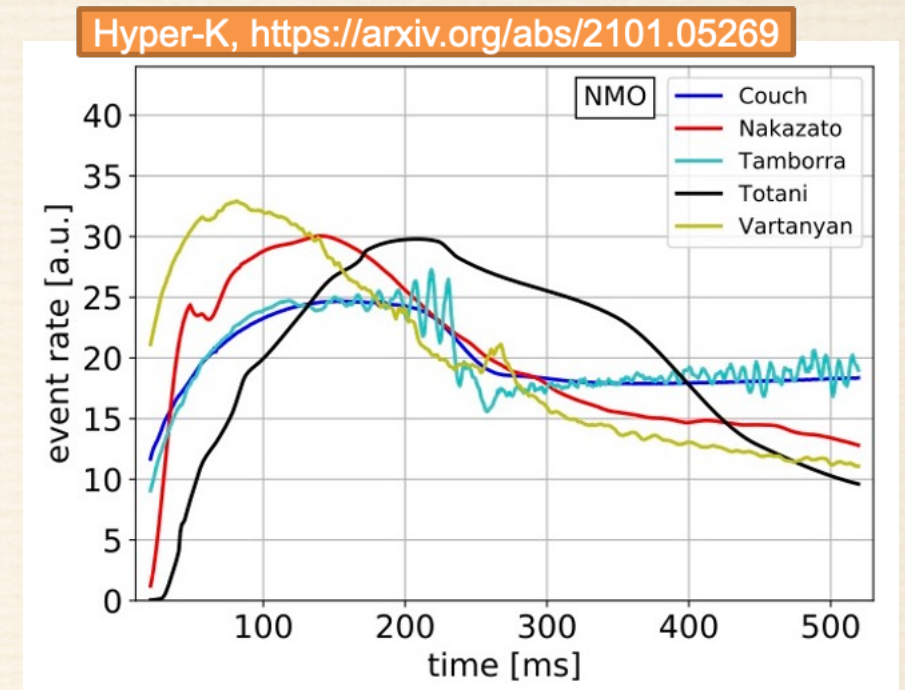
observed events





# Supernova Model Discrimination

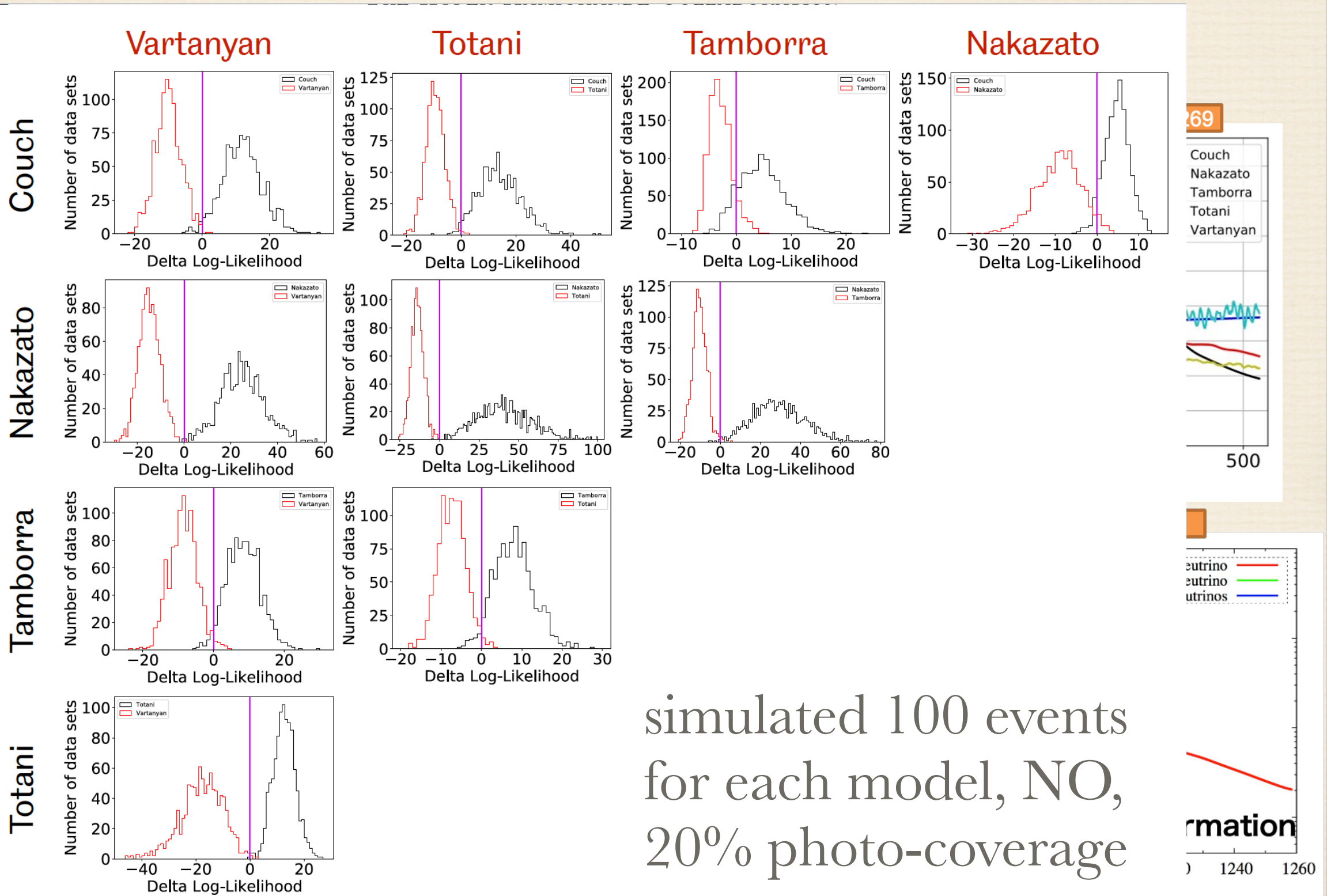
- ❖ precise measurement of time profile and energy spectrum
- ❖ chance to observe the explosion mechanism (SASI/Rotation/Convection)
- ❖ by observing neutrinos from nearby galaxies can understand dim supernovae/BH formation
- ❖ Hyper-K can distinguish five recent SN models (<https://arxiv.org/abs/2101.05269>)
- ❖ even with just 300 events ( $\sim 60\text{-}100\text{kpc}$ ) can identify SN model with  $>97\%$





# Supernova Model Discrimination

❖ pre  
 ene  
 ❖ cha  
 me  
 Co  
 ❖ by  
 gal  
 sup  
 ❖ Hy  
 mo  
 210  
 ❖ eve  
 car

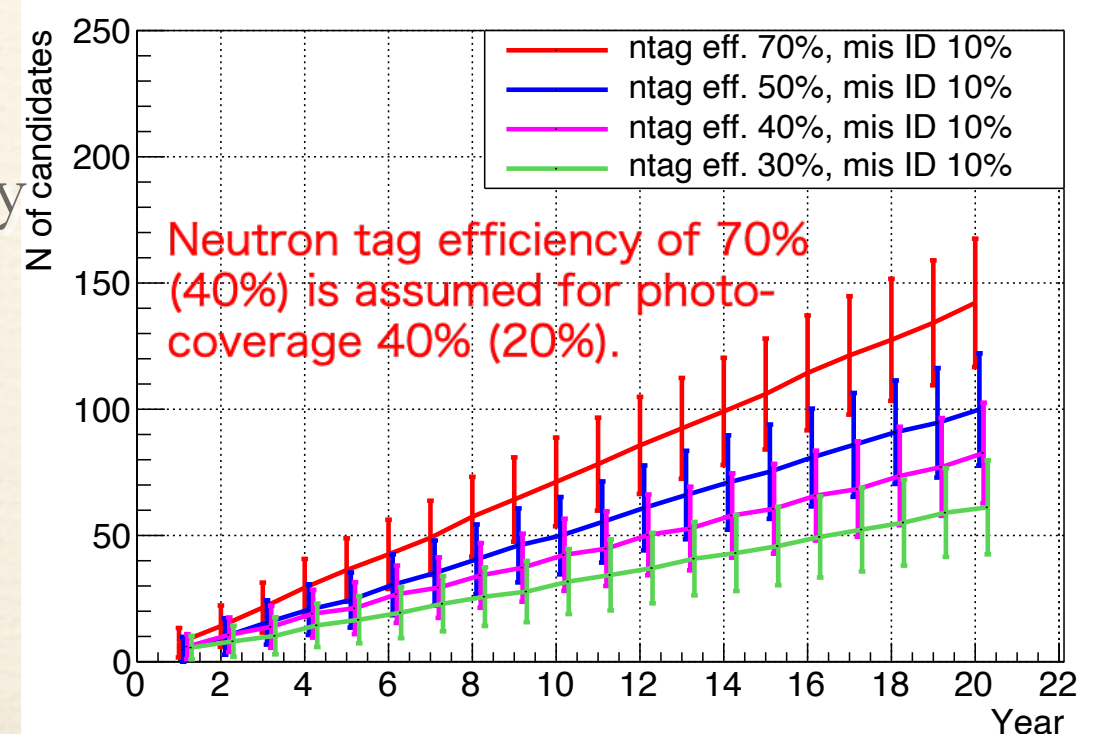
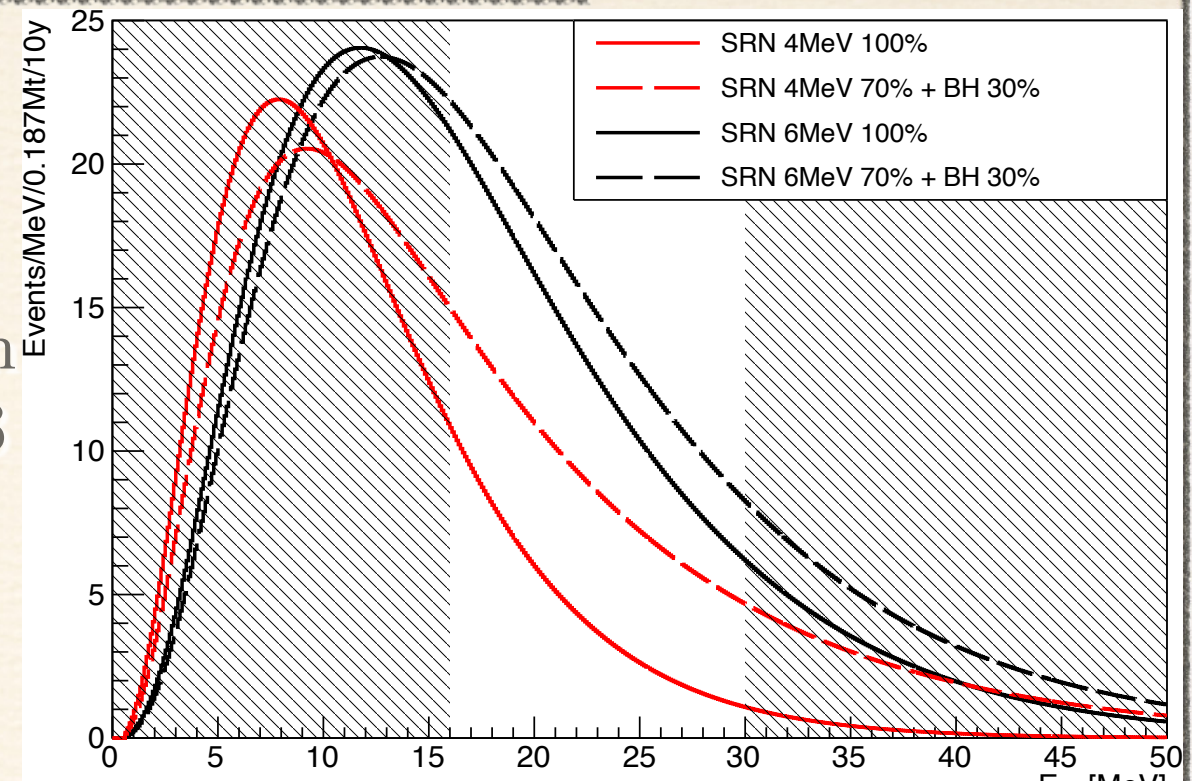


simulated 100 events  
 for each model, NO,  
 20% photo-coverage



# Distant Supernovae Neutrinos

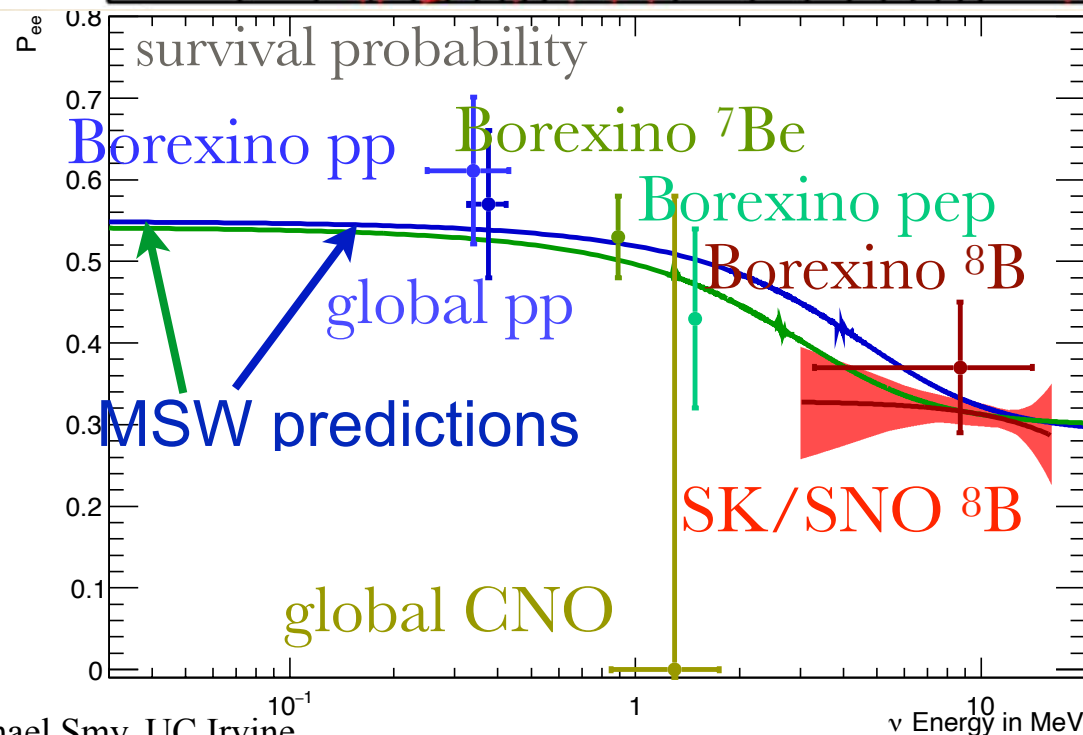
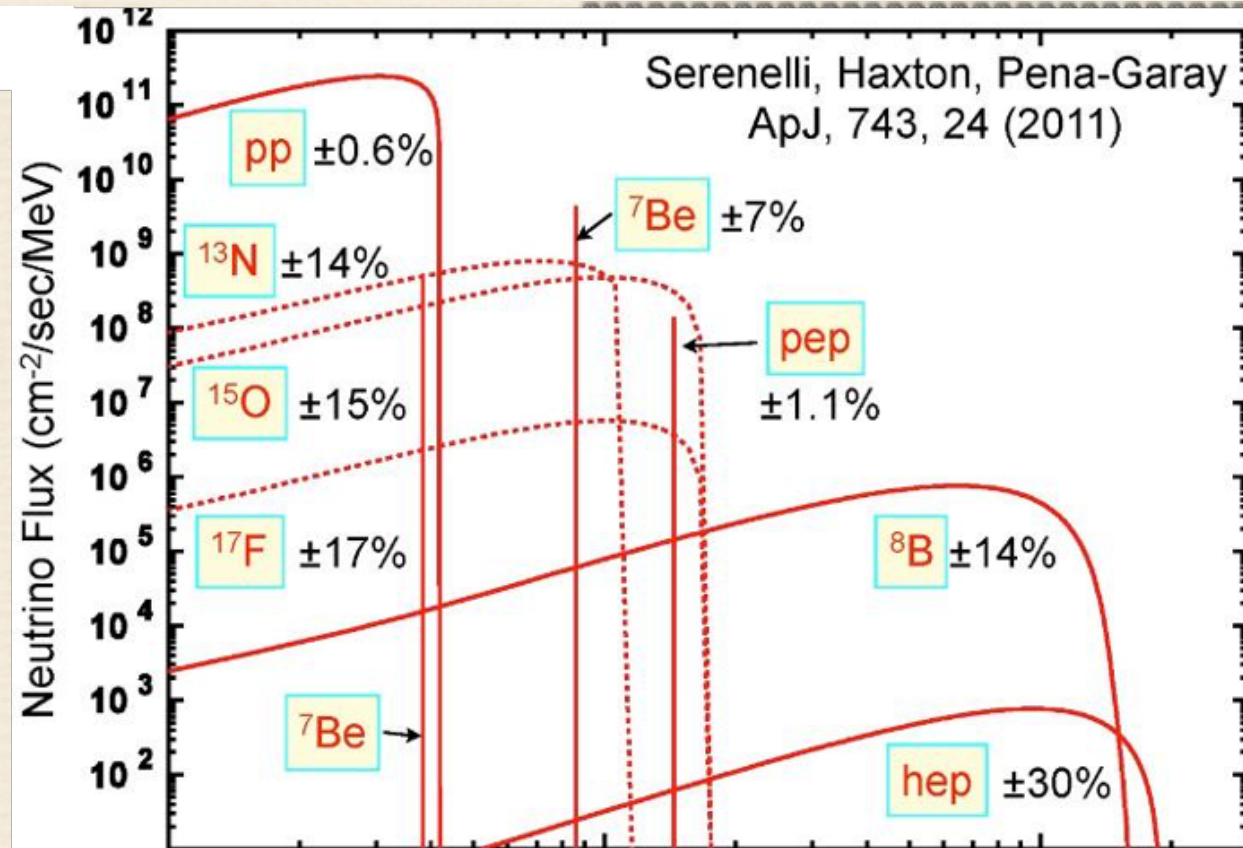
- ❖ observation in Hyper-K
  - ❖ diffuse, constant  $\nu$  flux of SN up to  $z \sim 1$
  - ❖ see  $\sim 70 \pm 17$  events with  $> 4\sigma$  significance in ten years (photo-coverage 40%) or  $\sim 40 \pm 13$  events with  $> 3\sigma$
  - ❖ move beyond discovery and study SN neutrinos across the universe!
- ❖ physics of Supernovae:
  - ❖ test star formation rate (factor of  $\sim 2$  discrepancy between expected and optically observed SN rate)
  - ❖ measure temperature of typical SN (from positron energy spectrum)
  - ❖ unusual supernova (optically dim and/or BH formation)



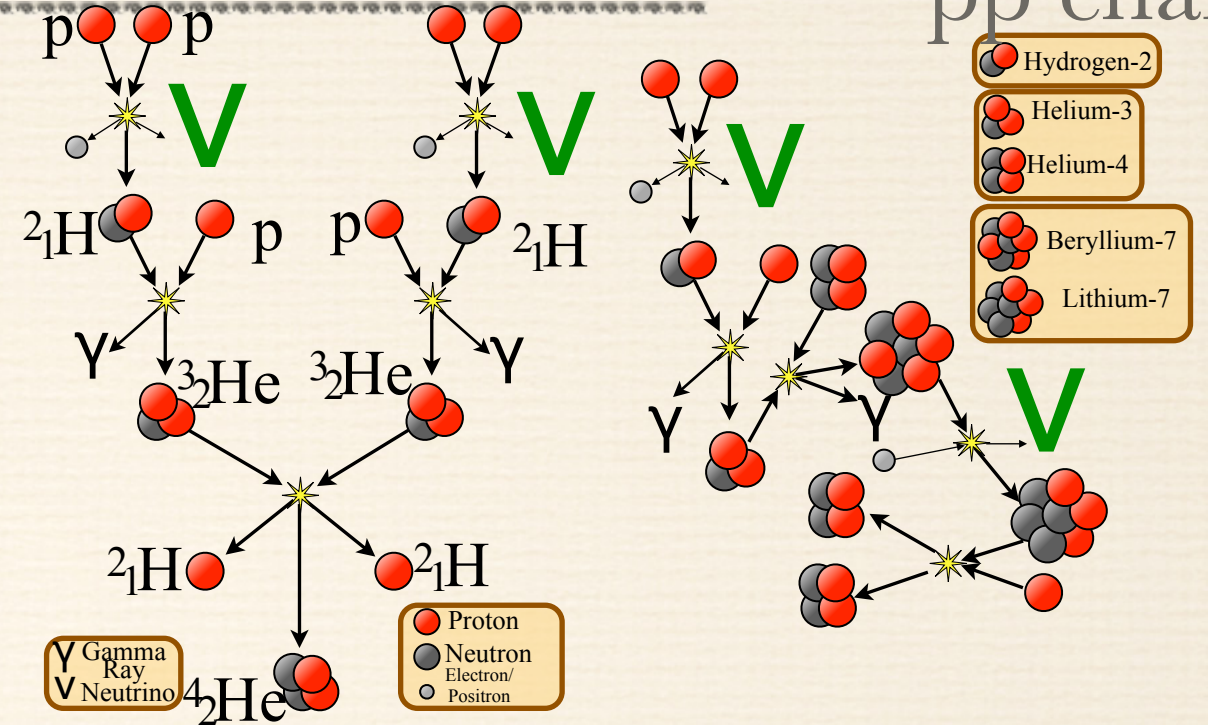


# Solar Neutrino Observation

neutrino fluxes



pp chain

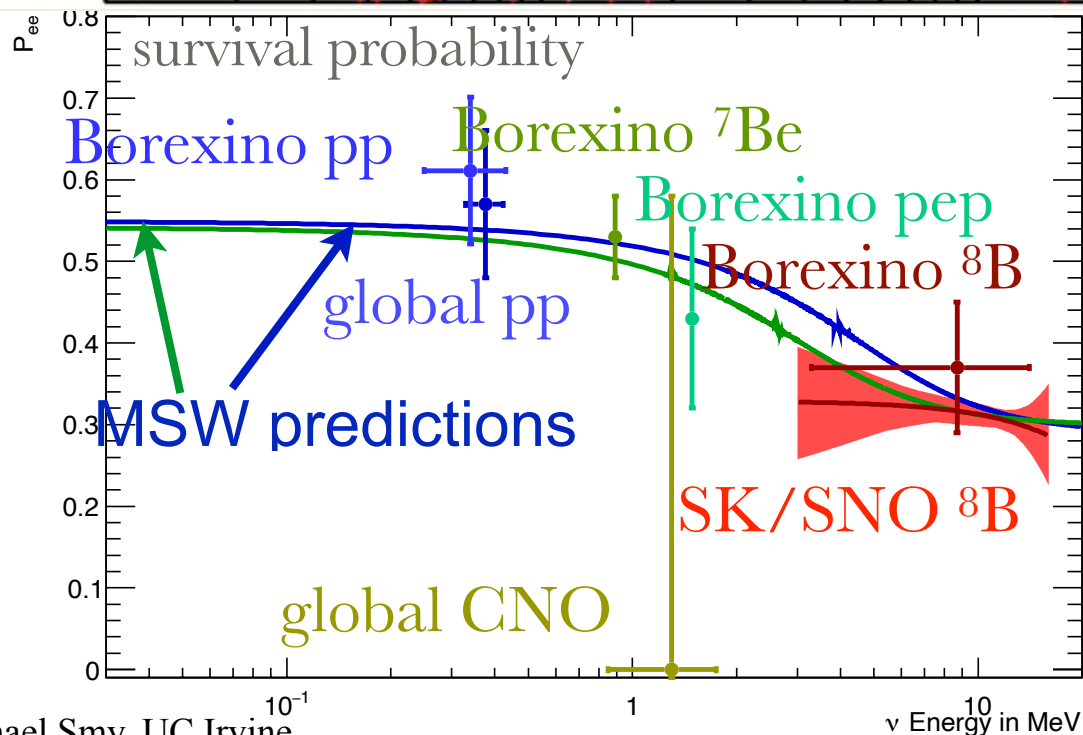
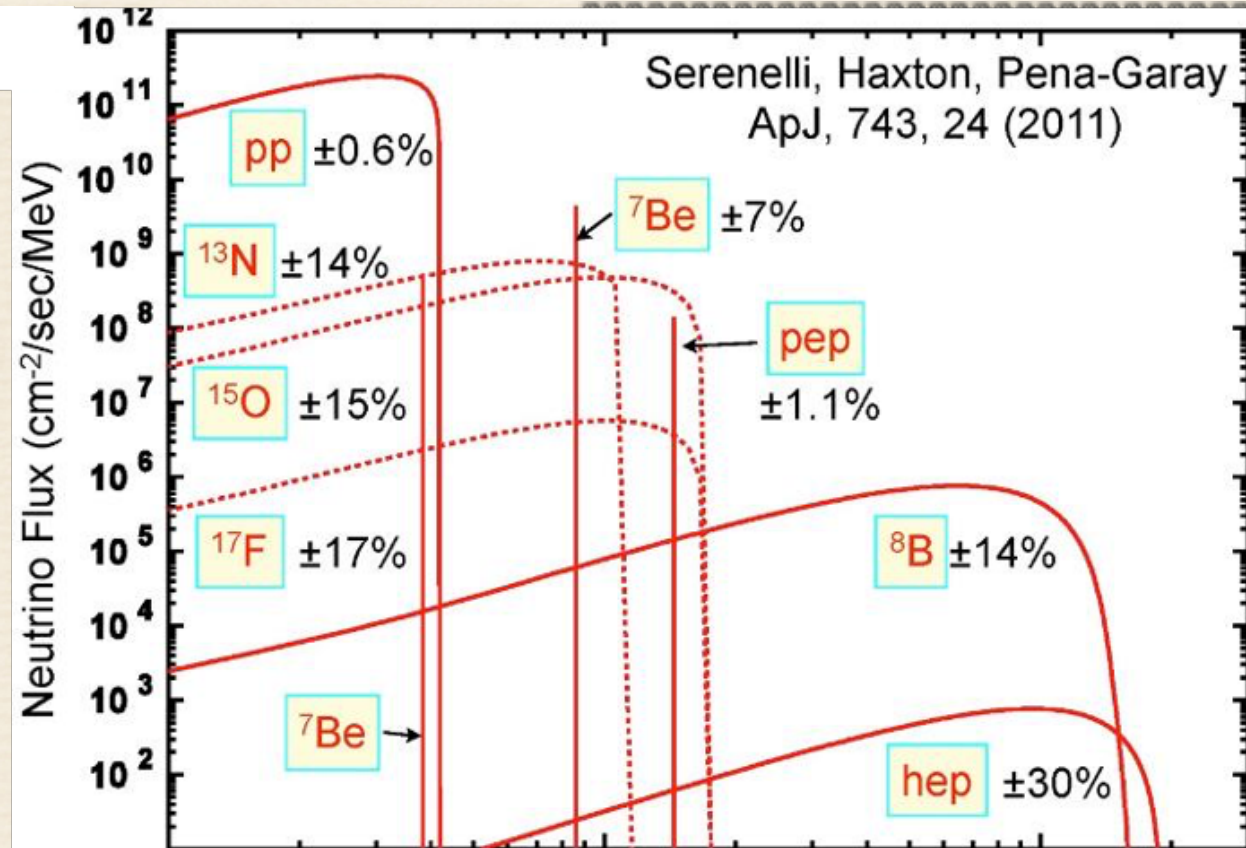


- ❖ detect <sup>8</sup>B and hep neutrinos (high energy)
- ❖ use directionality of recoiling electrons to separate neutrino interactions from radioactive backgrounds
- ❖ measure flux (interaction rate) and (recoil electron) spectrum as well as time variations (e.g. day/night asymmetry)
- ❖ study MSW, NSI, matter effects, CPT

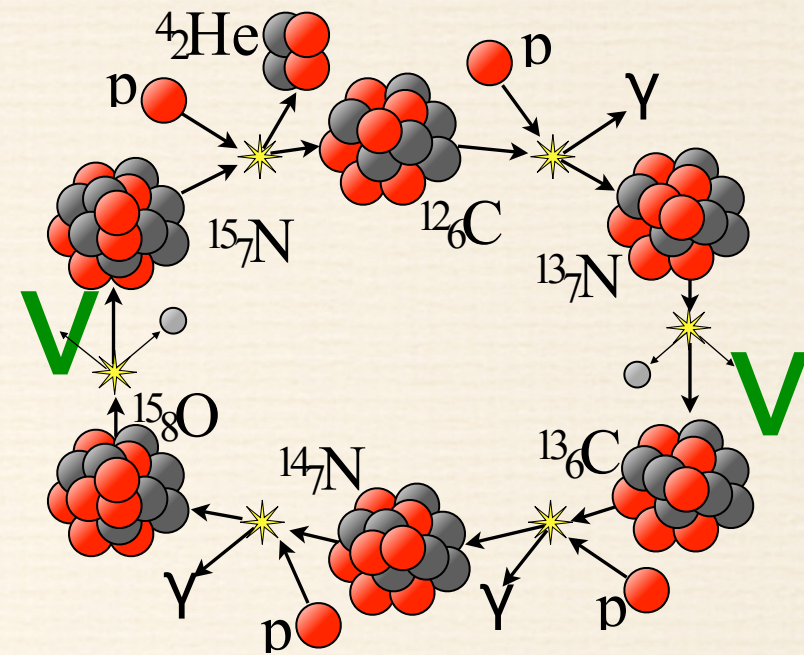


# Solar Neutrino Observation

neutrino fluxes



CNO cycle



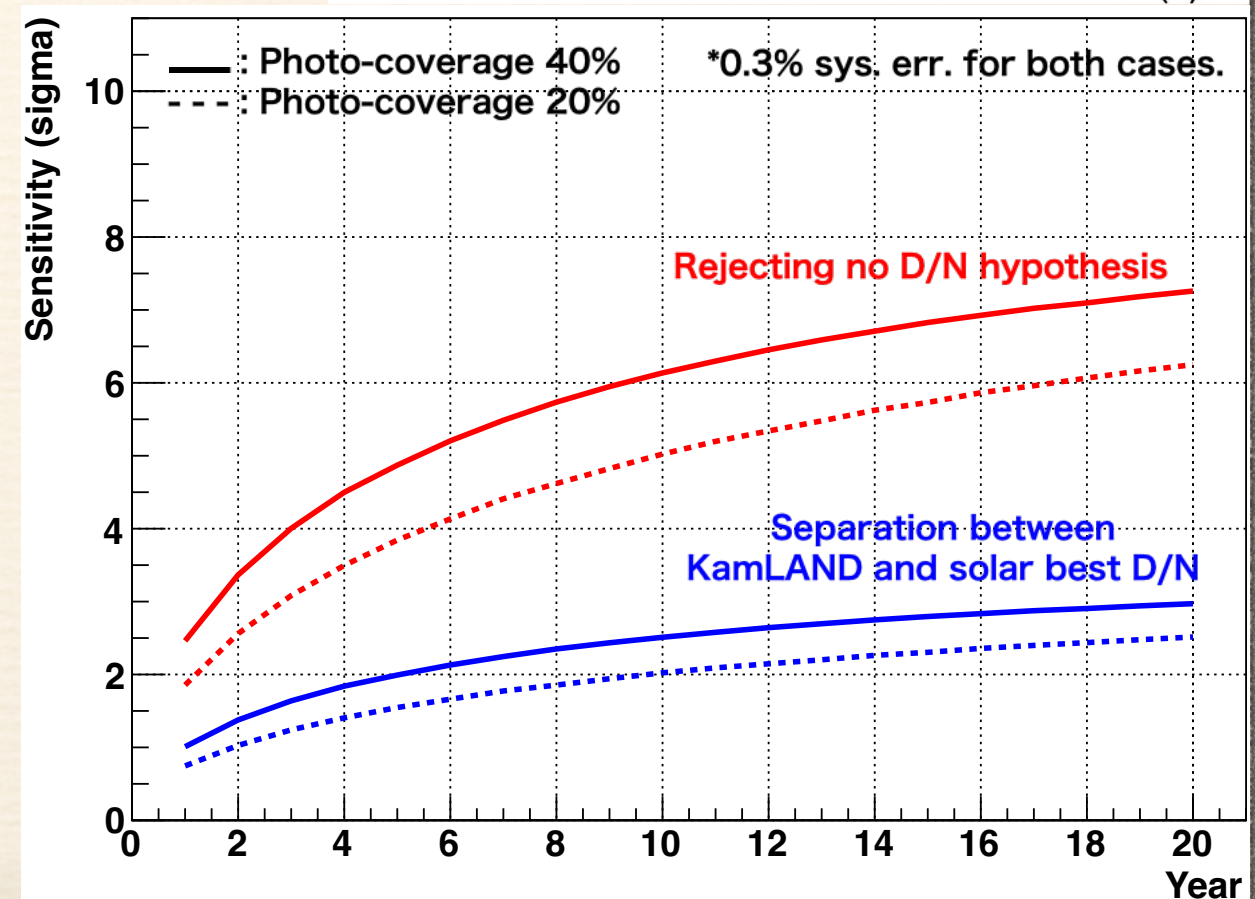
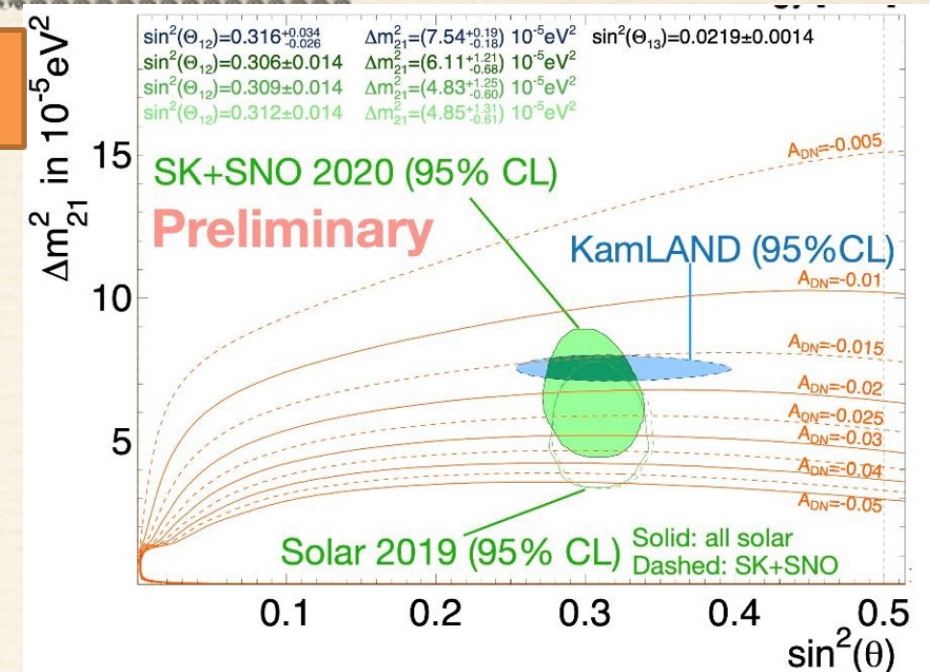
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- ❖ use directionality of recoiling electrons to separate neutrino interactions from radioactive backgrounds
- ❖ measure flux (interaction rate) and (recoil electron) spectrum as well as time variations (e.g. day/night asymmetry)
- ❖ study MSW, NSI, matter effects, CPT



# Solar $^8\text{B}$ Neutrino Day/Night Effect

- ❖ flavor oscillation due to earth matter density
- ❖ requires  $O(10 \text{ MeV})$  neutrino energy
- ❖ starts with  $\nu_2$  beam (after MSW)
- ❖ “size” and “shape” depends on  $\Delta m^2_{21}$ ; characterize size with asymmetry  $A_{DN} = \frac{r_D - r_N}{0.5(r_D + r_N)}$
- ❖ test consistency of neutrino and anti-neutrino (by KamLAND) disappearance measurements of  $\Delta m^2_{21}$ : test CPT invariance

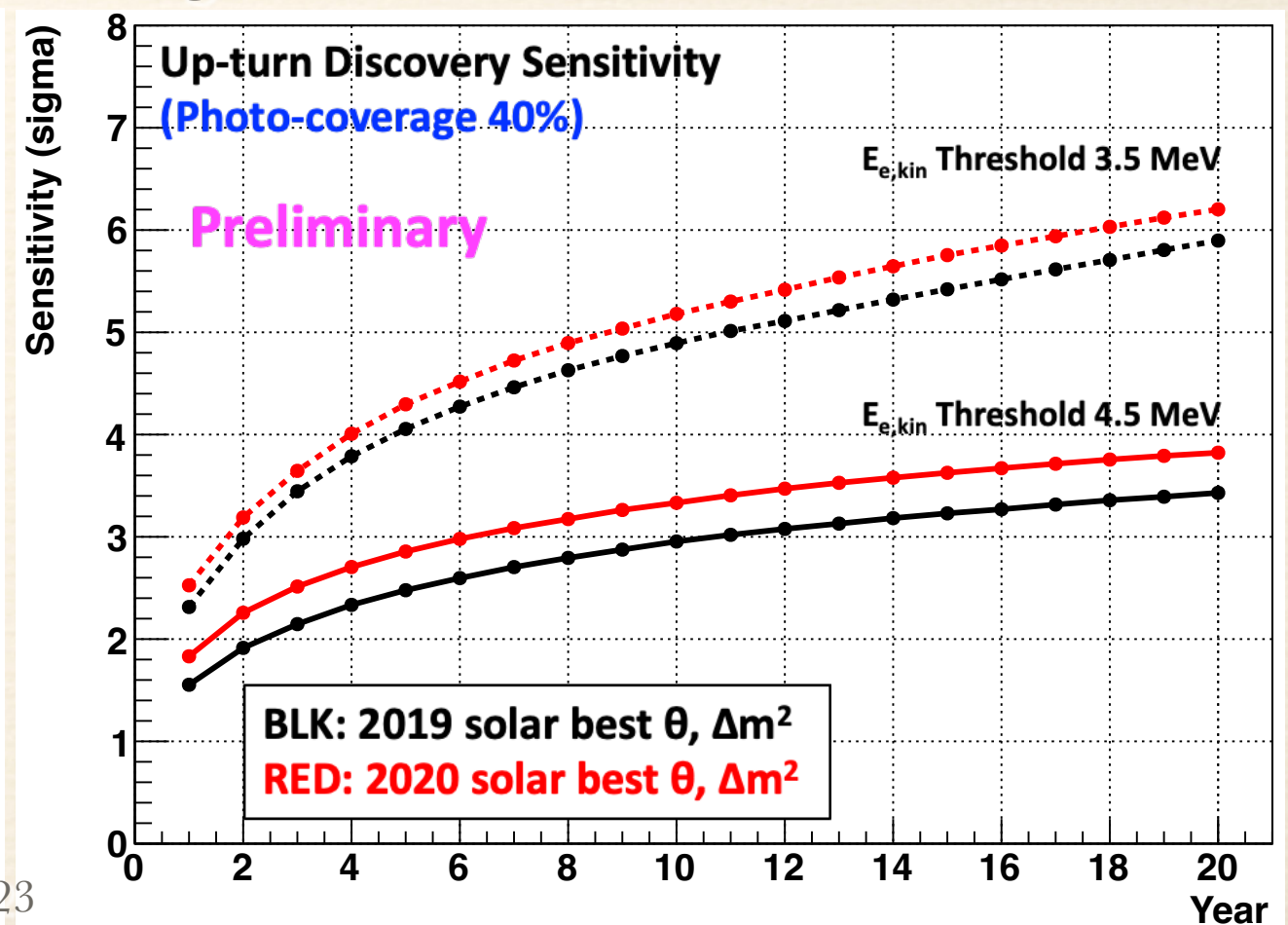
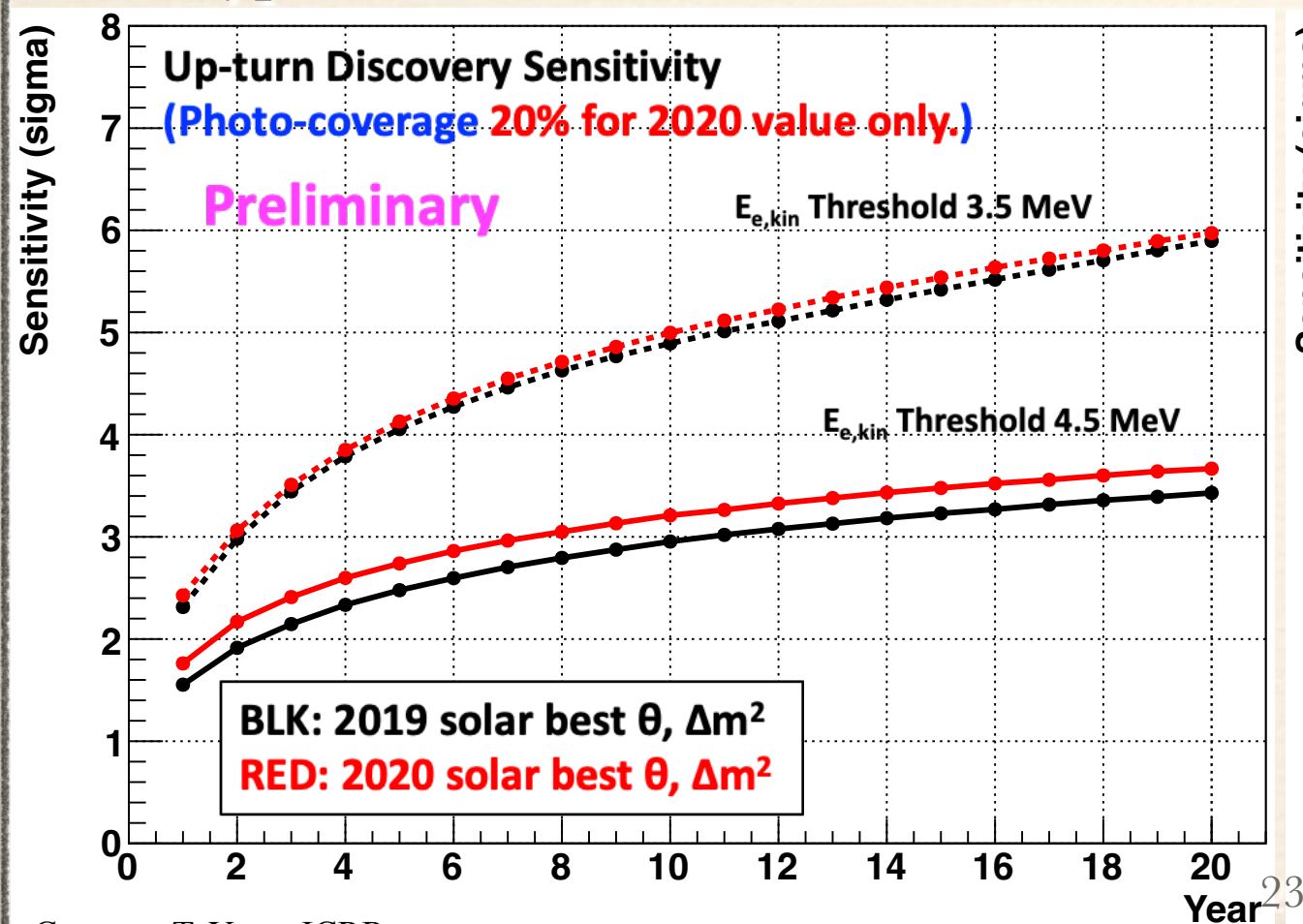
Y. Nakajima,  
Neutrino 2020





# Test MSW Spectral Shape

- ❖ transition from averaged vacuum oscillations to adiabatic conversion is about one to ten MeV recoil electron energy, depending on  $\Delta m^2_{21}$
- ❖ NSI or other BSM may modify MSW effect and survival probability
- ❖ requires as low an energy threshold and as much photo-coverage as possible
- ❖ Hyper-K can observe transition at  $3\text{-}5\sigma$  significance





# Other Solar Neutrino Studies

- ❖ measure rare hep neutrinos above  $^8\text{B}$  endpoint

- ❖ so far, unobserved

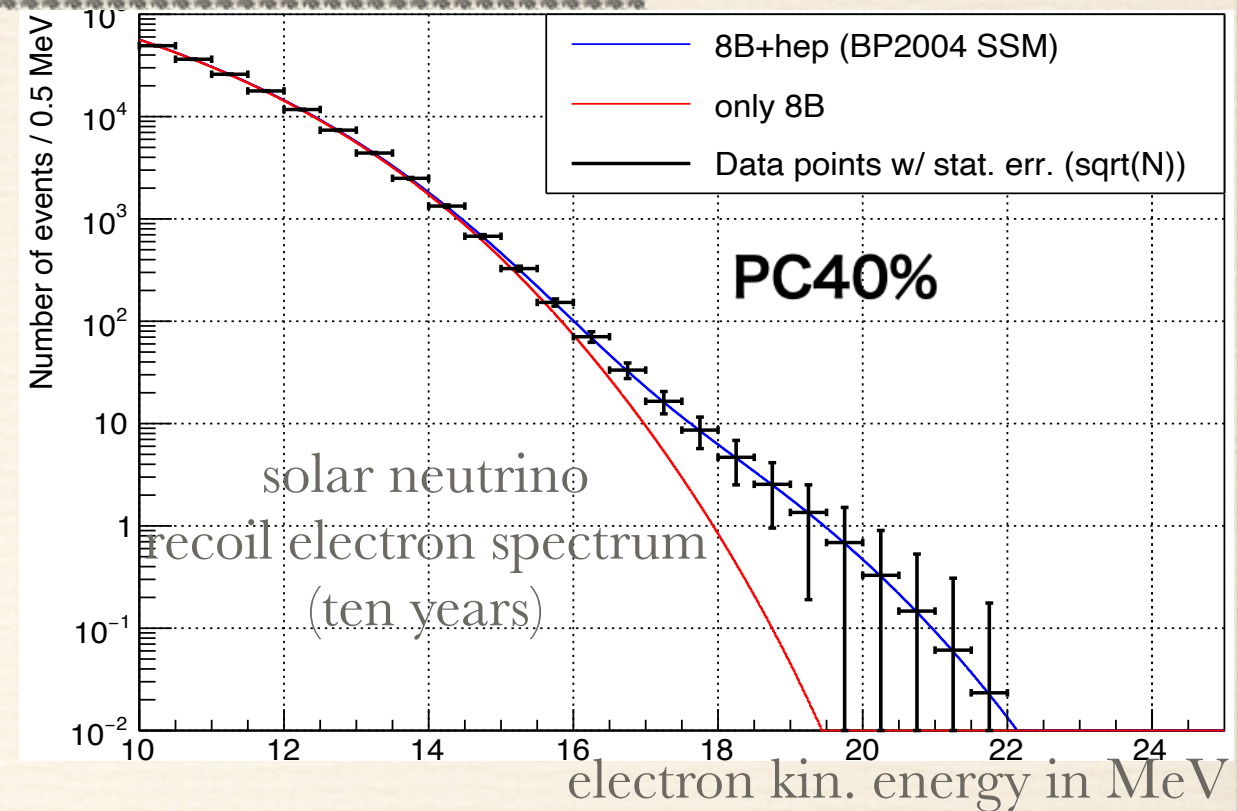
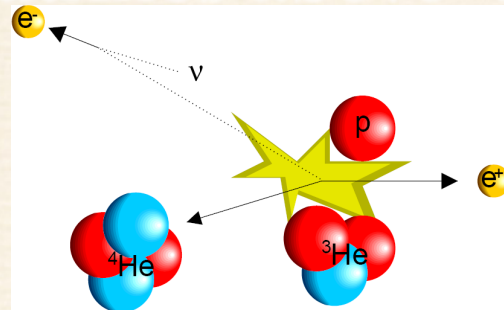
- ❖ requires good energy resolution (photo-coverage)

- ❖ see with  $1.8\text{--}3\sigma$  significance in ten years

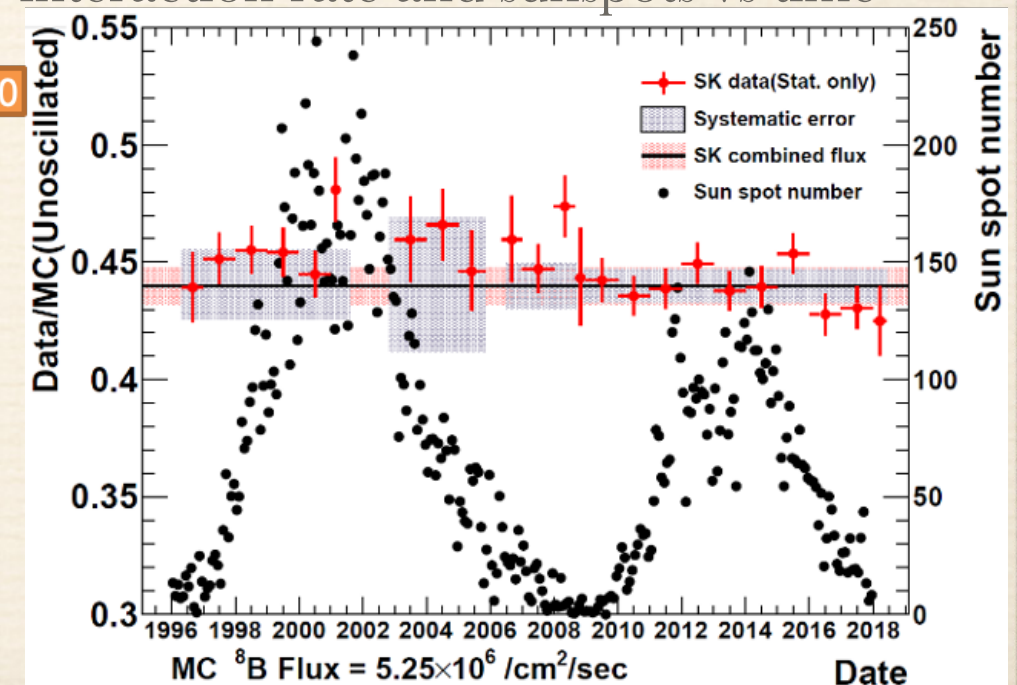
- ❖ high statistics flux variation

- ❖ monitor solar “nuclear reactor” with 130 events/day above 4.5 MeV

- ❖ compare to 20 events/day for SK<sub>24</sub>



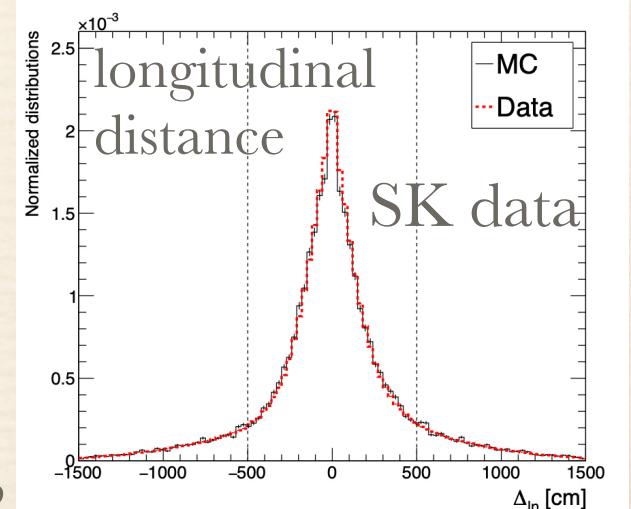
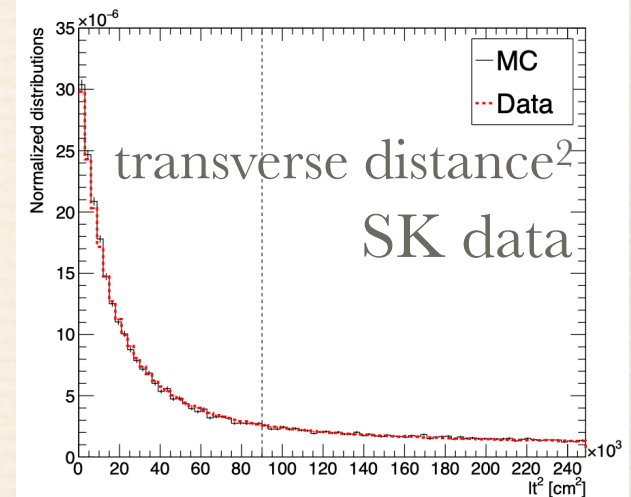
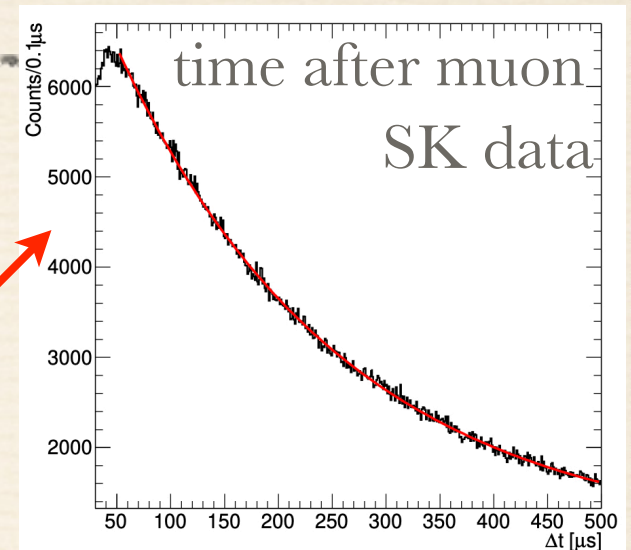
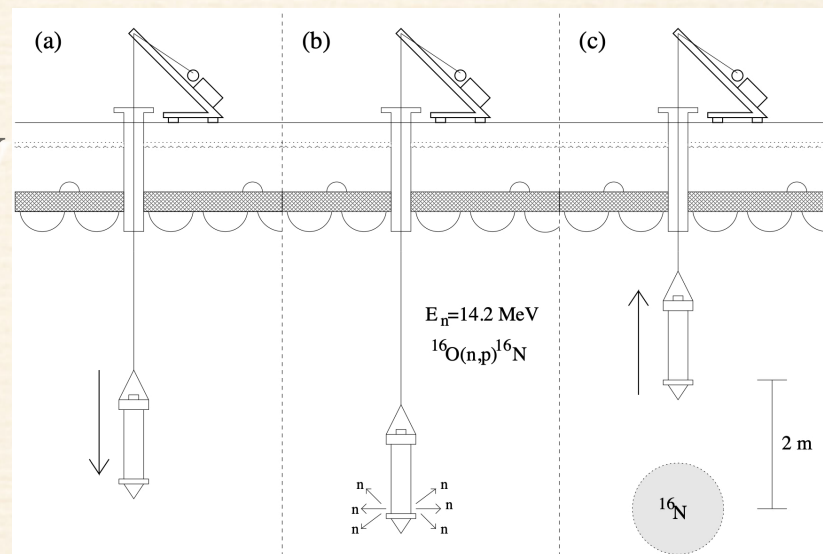
SK:  $^8\text{B}$  interaction rate and sunspots vs time





# Some Planned US Contributions

- ❖ triggering (and reconstruction) of very low energy electrons
  - ❖ designed and build a system for Super-K that has high efficiency for electrons  $> 2.5$  MeV; see 2.2 MeV gammas from fast neutrons from showering muons!
- ❖ energy calibration from  $^{16}\text{N}$  decays made by (p,n) of 14 MeV neutrons from D-T fusion
  - ❖ operated such a system in Super-K; gives precise calibration point at  $\sim 7$  MeV
- ❖ control of cosmogenic radioactivity (dominates 6-22 MeV)
  - ❖ Hyper-K's location is not very deep  $\rightarrow$  much, much larger cosmogenic radioactivity than Super-K
  - ❖ in Super-K:  $O(10^3)$  events/day!





# Some Planned US Contributions

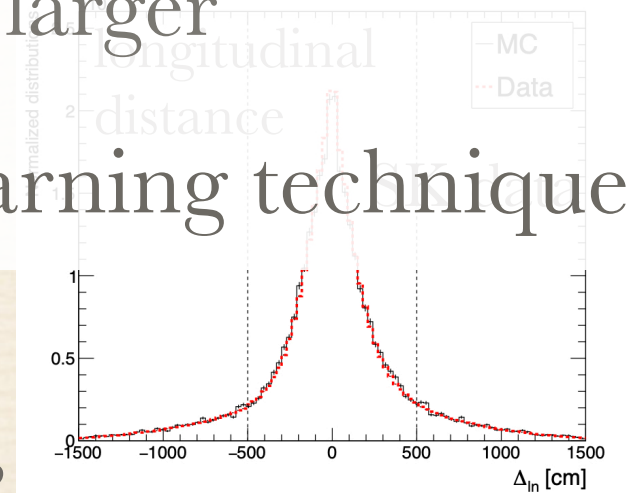
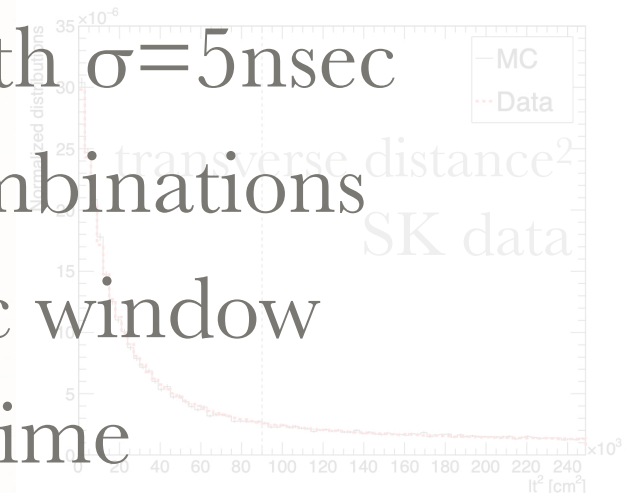
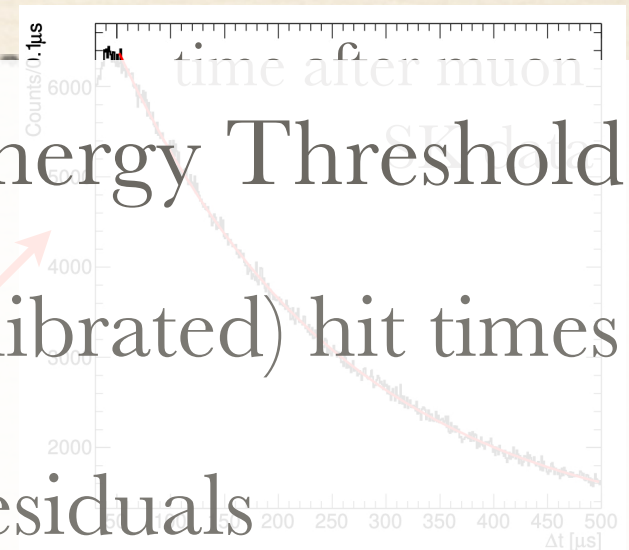
WIT, a Super-K intelligent trigger with 2.5 MeV Energy Threshold

- ❖ “sliding 230nsec window” search for events in (calibrated) hit times
- ❖ coincidence criterion  $c = \sum_{(a)} e^{-\frac{1}{2} \left( \frac{\Delta t_i}{\sigma} \right)^2}$  of hit time residuals
- ❖  $\Delta t_i = \text{PMT time} - \text{time of flight-time of emission}$  with  $\sigma = 5 \text{ nsec}$
- ❖ list of possible vertices is “guessed” from 4-hit combinations
- ❖ fast vertex reconstruction to all hits in the 230nsec window
- ❖ full vertex fit to hits within  $1.5 \mu\text{sec}$  of the trigger time
- ❖ count hits with  $-6 \text{ nsec} < \Delta t_i < 12 \text{ nsec}$ ; require 10 or larger
- ❖ control of cosmogenic radioactivity (dominates 6-22 MeV)

Similar system for Hyper-K, investigate machine-learning techniques

larger cosmogenic radioactivity than Super-K

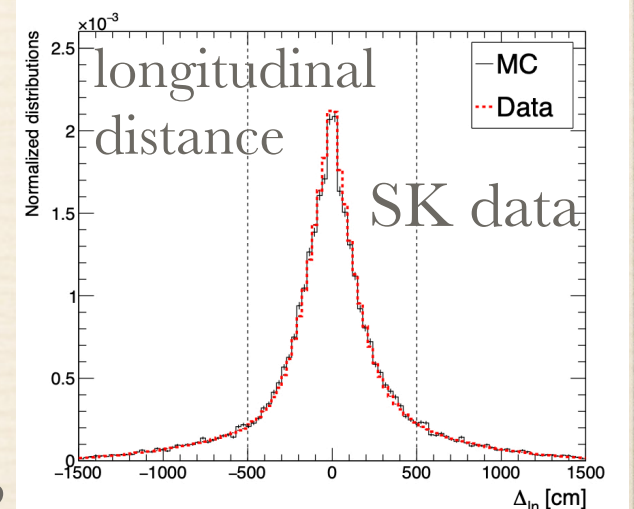
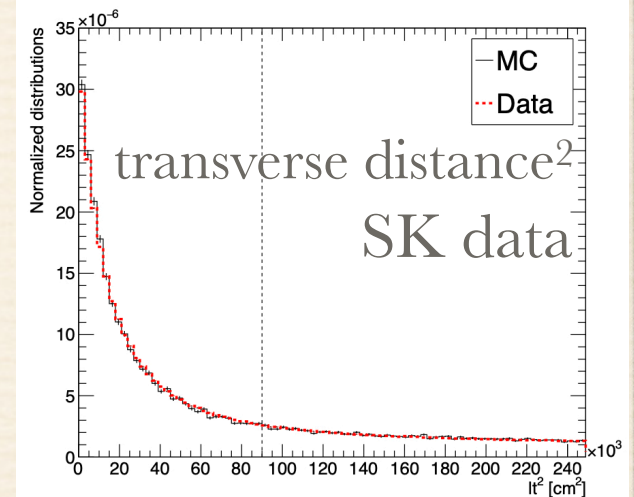
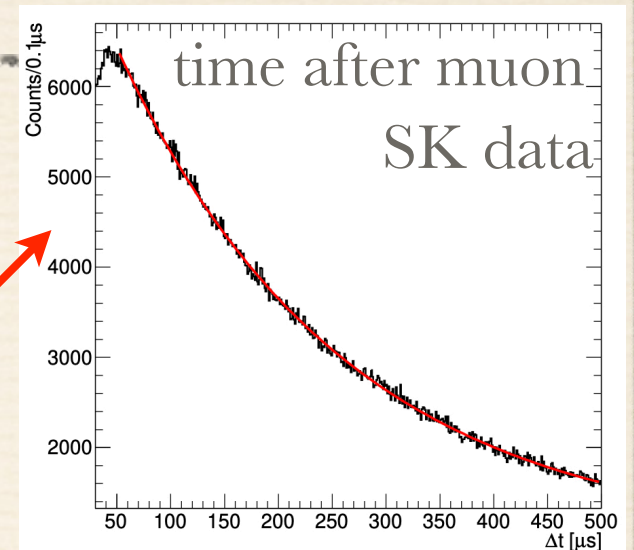
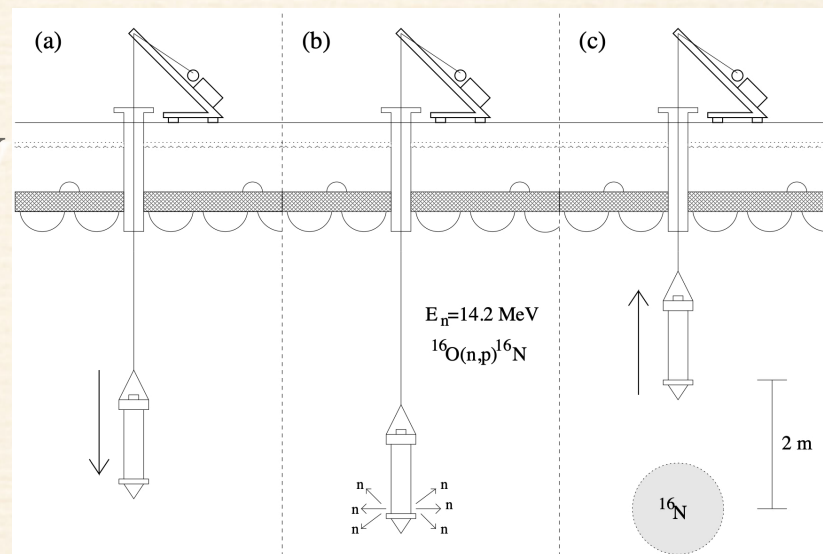
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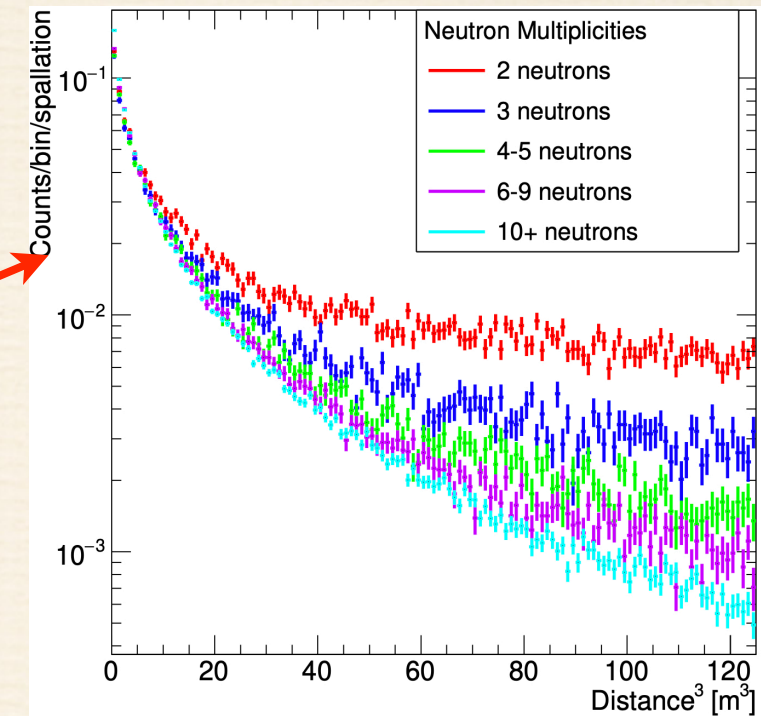




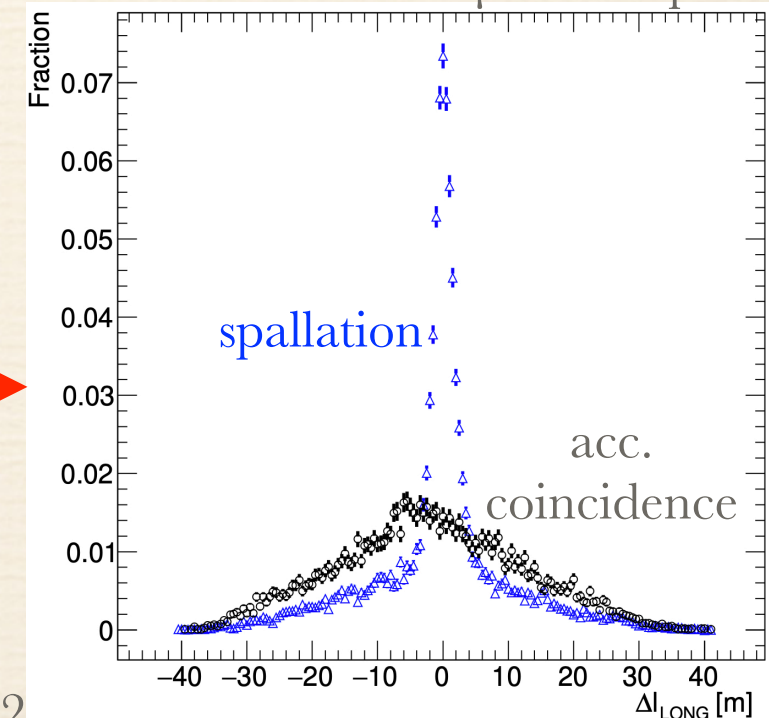
# Spallation Decay Tagging in Super-K

- ❖ most cosmogenic radioactivity is produced in hadronic showers initiated by energetic muons
- ❖ invented three tagging methods:
  - ❖ neutron clouds: hadronic showers make many neutrons (“clouds”) capturing near muon track
  - ❖ multiple spallation:  $\sim 50\%$  of spallation results in more than one decay  $\rightarrow$  time and spatial correlation: decays tag each other without need to use muon track
  - ❖ reconstruct optical muon “ $dE/dx$ ” to identify showers and find shower position along track
- ❖ results in efficient tag without losing much signal

spallation correlation of neutron cloud



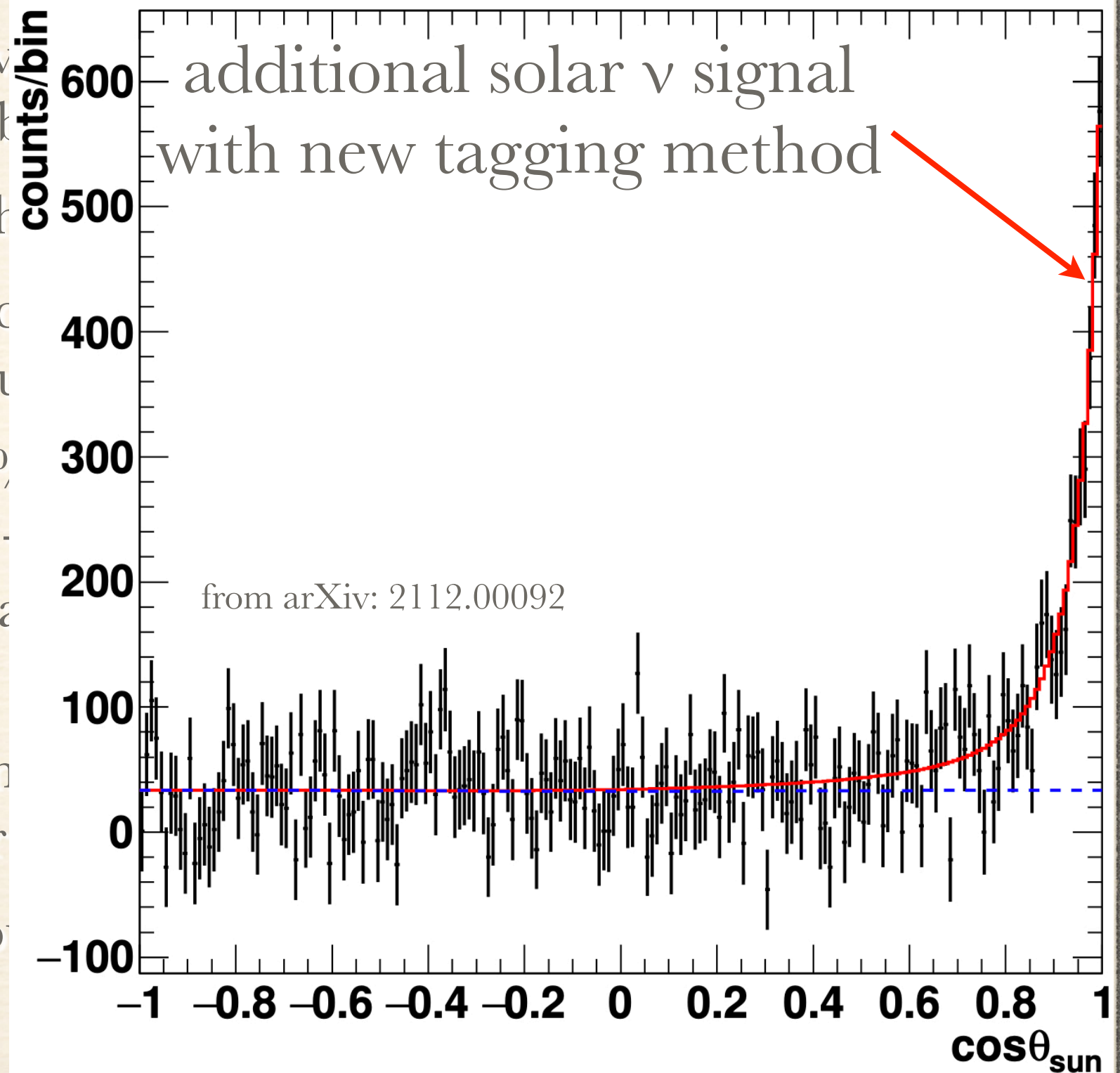
spallation correlation of  $\mu$   $dE/dx$  peak





# Spallation Decay Tagging in Super-K

- ❖ most cosmogenic radioactive decays are initiated by hadronic showers
- ❖ invented three tagging methods
  - ❖ neutron clouds: hadronic neutrons (“clouds”) captured
  - ❖ multiple spallation: ~50% in more than one decay - correlation: decays tag each other to use muon track
  - ❖ reconstruct optical muon showers and find shower
- ❖ results in efficient tag without





# Conclusions

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- ❖ JUNO, Hyper-Kamiokande and DUNE will dominate neutrino flavor physics in the near future
- ❖ Hyper-Kamiokande construction has started, data will come as soon as 2027
- ❖ the Hyper-Kamiokande experimental program is complementary to DUNE's
- ❖ in addition to neutrino oscillation measurements, Hyper-K offers many other physics topics