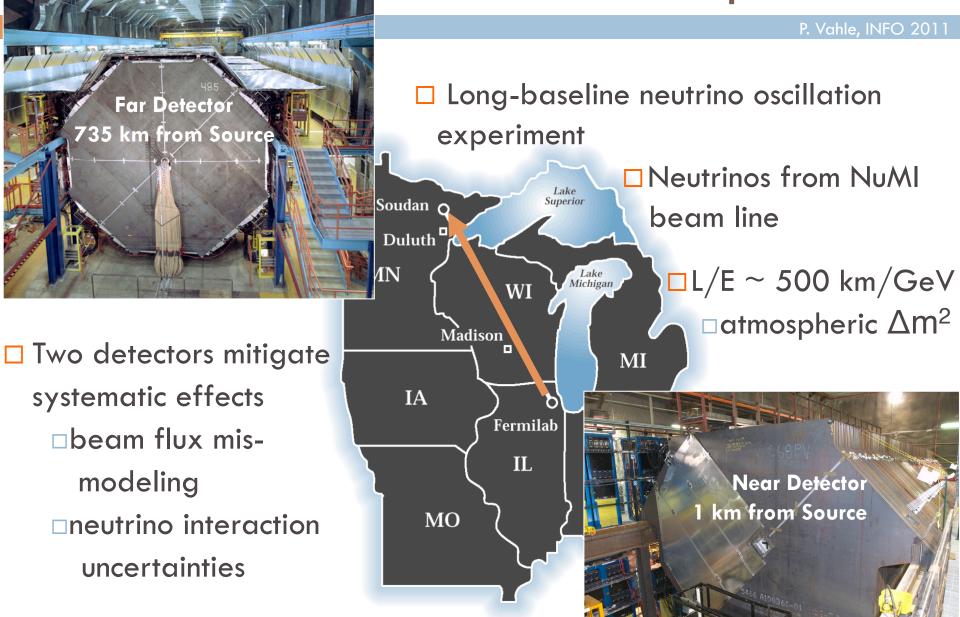
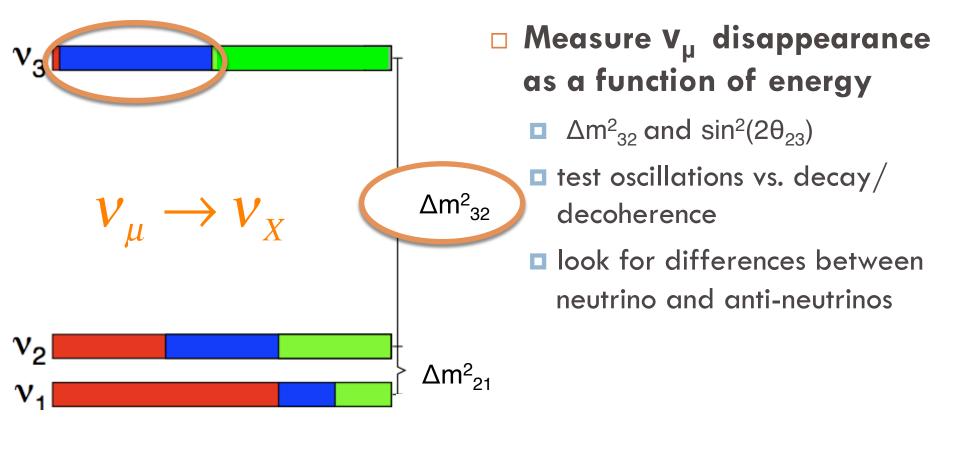
#### FLUX AND CROSS SECTION UNCERTAINTIES IN MINOS

Patricia Vahle, College of William and Mary

#### The MINOS Experiment



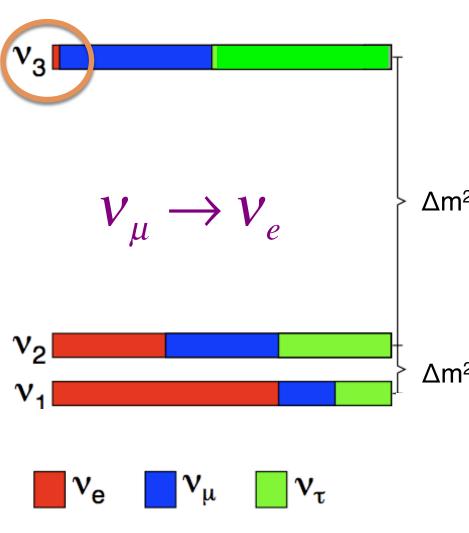
#### **MINOS Physics Goals**





3

#### **MINOS Physics Goals**



	Measure $V_{\mu}$ disappearance
	as a function of energy
	$\Box \Delta m_{32}^2 \text{ and } \sin^2(2\theta_{23})$
2 32	test oscillations vs. decay/ decoherence
	Iook for differences between neutrino and anti-neutrinos
	Study v <sub>µ</sub> →v <sub>e</sub> mixing
2 21	<b>measure</b> $\theta_{13}$
	Mixing to sterile neutrinos?
	(not covered today)

#### The Detectors

Magnetized, tracking calorimeters

A RANT ALL SOL

1 kt Near Detector measure beam before oscillations 5.4 kt Far Detector look for changes in the beam relative to the Near Detector

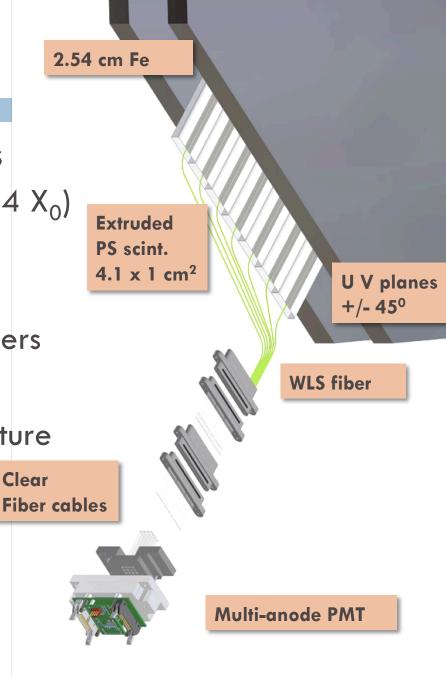
735 km from source

1 km from source

### Detector Technology

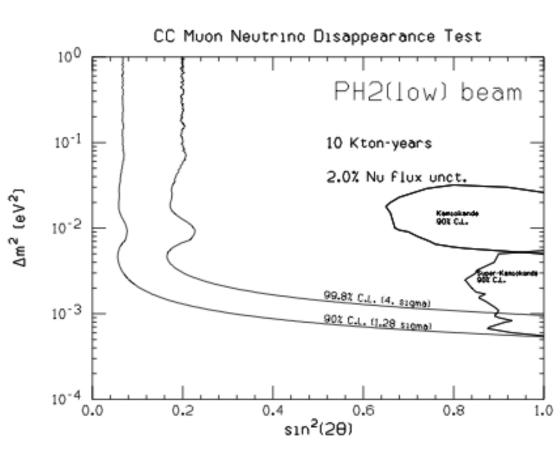
Tracking sampling calorimeters
 steel absorber 2.54 cm thick (1.4 X<sub>0</sub>)

- scintillator strips 4.1 cm wide
  - (1.1 Moliere radii)
- I GeV muons penetrate 28 layers
- Magnetized
  - muon energy from range/curvature
  - **distinguish**  $\mu^+$  from  $\mu^-$
- Functionally equivalent
  - same segmentation
  - same materials
  - same mean B field (1.3 T)



#### **TDR Era Uncertainties**

- TDR (1998) refers to "hints" of neutrino oscillations
  - Kamiokande favored big Δm<sup>2</sup>~10<sup>-2</sup> eV<sup>2</sup>
  - SuperK favored smaller
     Δm<sup>2</sup>~few x 10<sup>-3</sup> eV<sup>2</sup>
  - MINOS planned to run in the High Energy configuration
  - Optimistic that we could see taus
  - most appropriate for the larger mass splitting, but we had a backup plan for small mass splitting



#### **TDR Era Uncertainties**

□ First MINOS thesis

8

- (D. Petyt, Oxford 1998)
- Mostly dealt w/HE beam
- 1 chapter on LE scenario
- Major systematics:
  - Relative/Absolute energy calibration—needed to be better than 2%/4%
  - Neutrino flux systematics relative normalization expected to be 2-4% less than 10 GeV, less than 8% ~25 GeV

Calibration detector would address energy scale, but beam systematics continued to be a concern

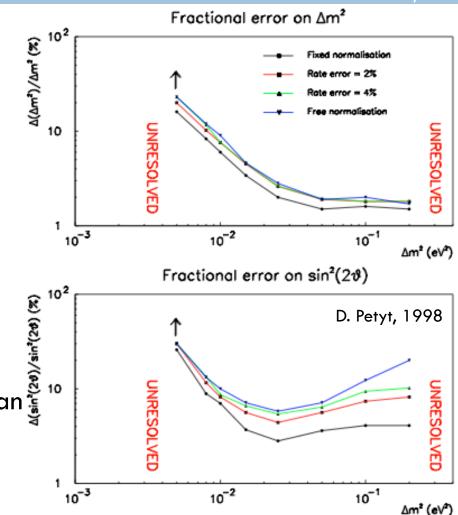


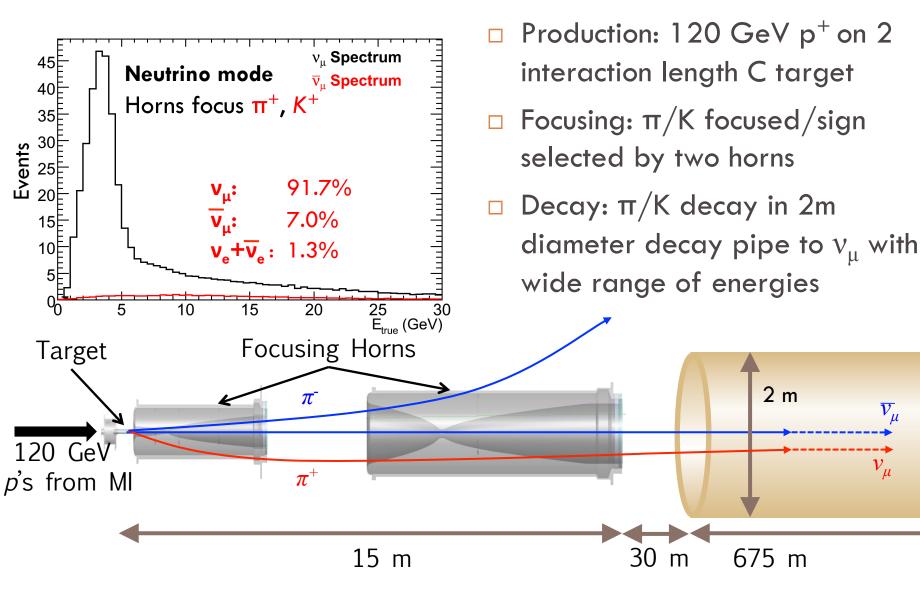
Figure 4.14 – Summary of parameter measurement errors for fits to neutrino oscillations with various values of  $\Delta m^2$  and  $\sin^2 2\theta = 0.7$ . The lines correspond to different assumptions about the relative near/far rate normalisation.

#### Making a Neutrino Beam

9

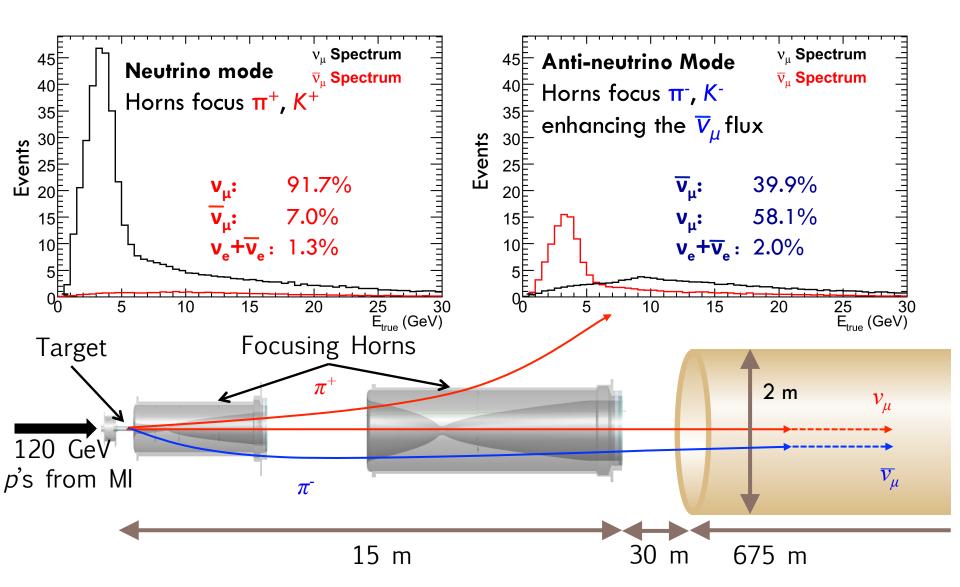
 $\overline{\mathcal{V}}_{\mu}$ 

 $v_{\mu}$ 

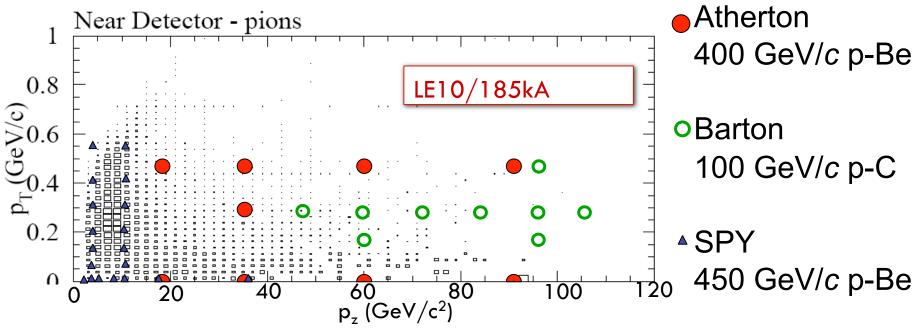


#### Making an Anti-neutrino Beam

10



#### Predicting the Flux

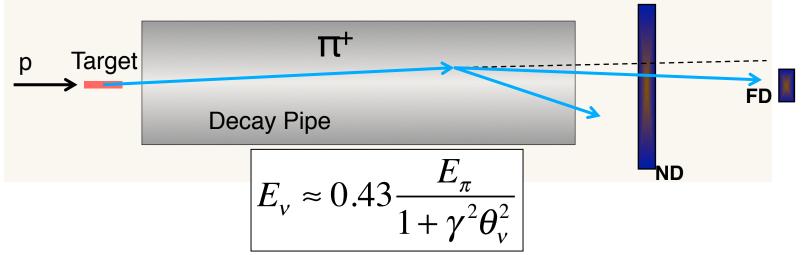


- Paucity of data in region of interesting phase space
- Extrapolation of existing data to MINOS beam energy, target thickness, target material
- Systematics originally evaluated using model spread
- Additional systematics from focusing system alignment, horn current calibration, skin depth, etc

#### Near to Far

12

# Far spectrum without oscillations is similar, but not identical to the Near spectrum!



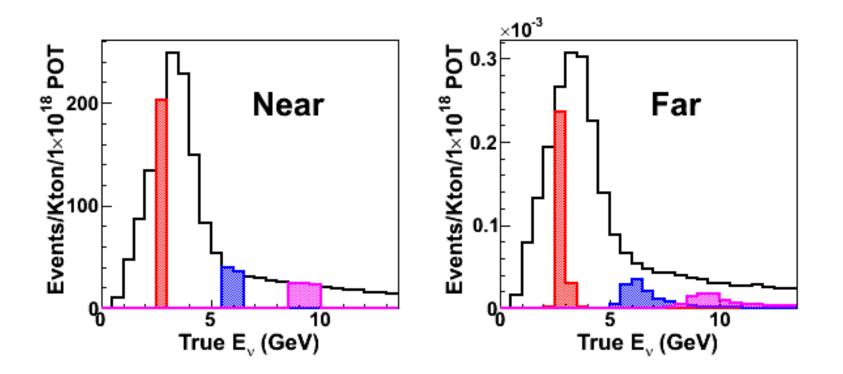
- Neutrino energy depends on angle wrt original pion direction and parent energy
  - higher energy pions decay further along decay pipe
  - angular distributions different between Near and Far



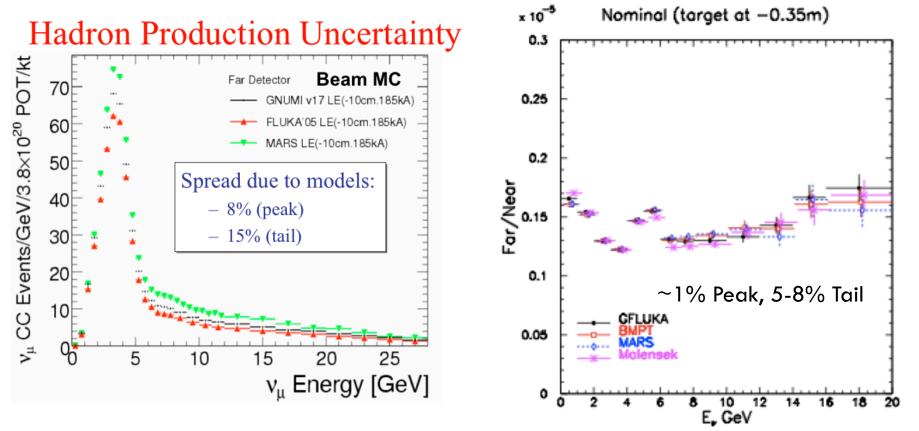
13

P. Vahle, INFO 2011

# Far spectrum without oscillations is similar, but not identical to the Near spectrum!



#### Hadron Production Uncertainties

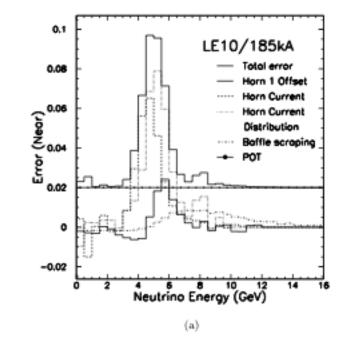


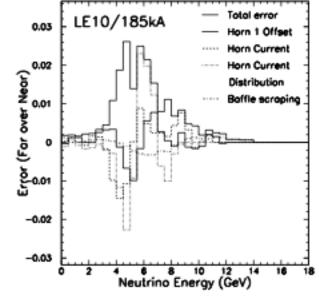
Uncertainties in the neutrino flux cause large uncertainties in the ND simulated spectrum, but the errors largely cancel in the Far to Near Comparison

This is what we understood about the flux right before our 1<sup>st</sup> analysis in 2006

#### **Beam Systematics**

- Additional flux uncertainties arise from focusing and alignment uncertainties
- Errors in flux estimated using comparisons between nominal (pbeam) simulation and systematically offset simulation sets
- Offsets determined from beam survey measurements, target scans, hadron/muon monitoring, etc. (Documented in R. Zwaska thesis, UT Austin, 2005)

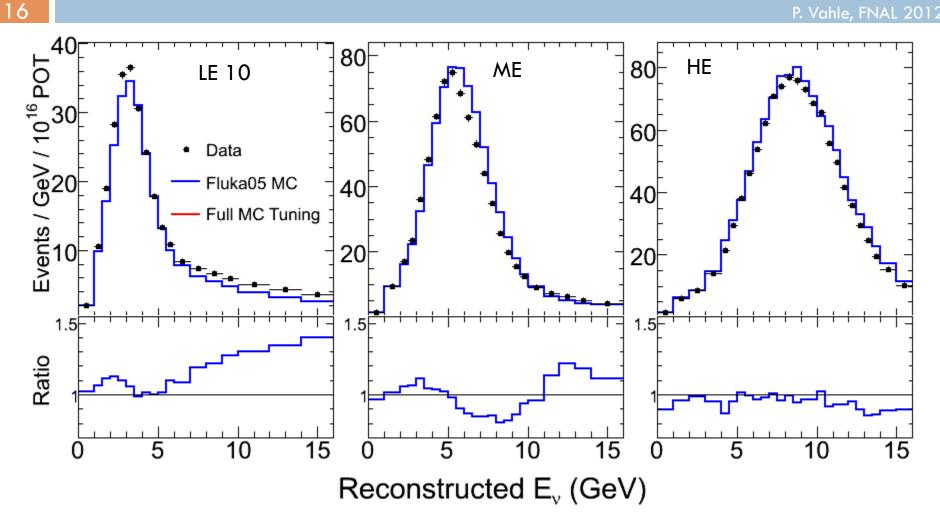




(Horn angles, horn 2 offset errors also evaluated, small, not shown on plots) (b)

#### Initial ND Data

(Refs: Z. Pavlovich, UT Austin, 2008, Phys. Rev. D76 (2007) 072005)

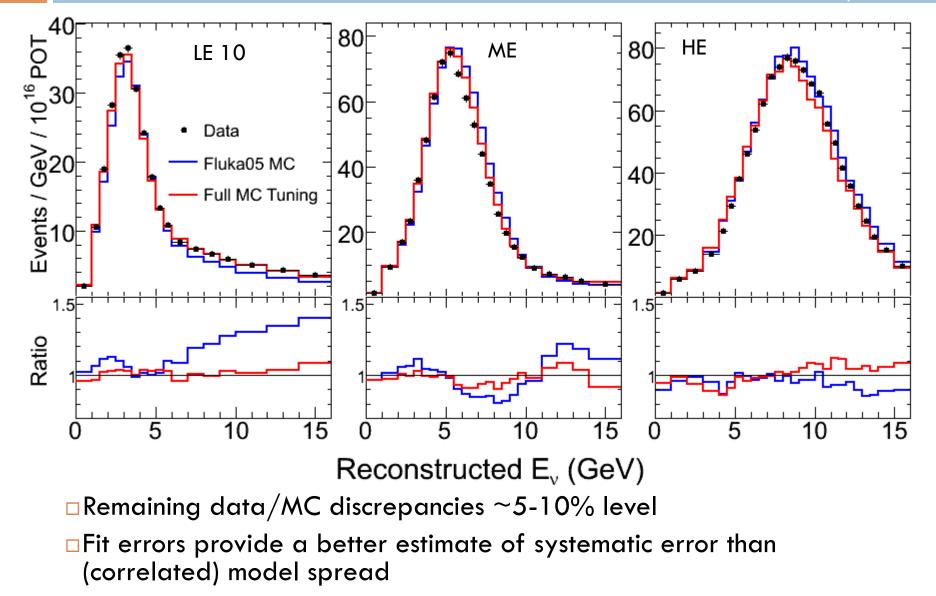


#### Initial ND Data

17

(Refs: Z. Pavlovich, UT Austin, 2008, Phys. Rev. D76 (2007) 072005)

#### P. Vahle, FNAL 2012

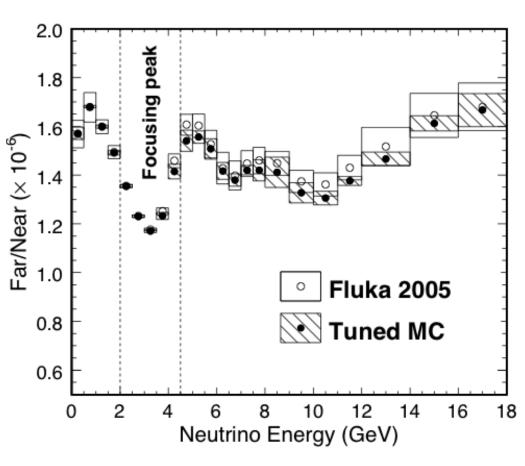


#### **Resulting Beam Systematics**

 F/N from simulation constrained by the beam fit

18

- Ratio changes very little in focusing peak
  - errors at sub percent level
- Ratio pulled few % lower in tail
  - still consistent within errors
  - errors further reduced



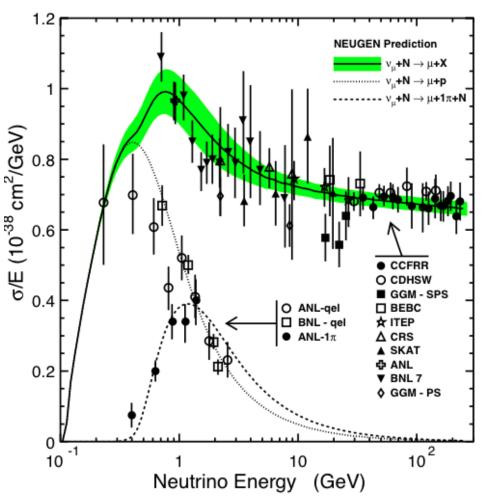
Phys. Rev. D76 (2007) 072005

#### **Cross Section Uncertainties**

 Uncertainties determined from comparison of MC to independent data

19

- fits to both inclusive and exclusive channel data, in different invariant mass regions
  - 3% on the normalization of the DIS (W > 1.7GeV/c2) cross-section
  - 10% uncertainty in the normalization of the single-pion and quasi-elastic cross-sections.
  - 20% uncertainty in the relative contribution of non-resonant states to the 1π and 2π production cross-sections for W < 1.7 GeV/c2.</li>

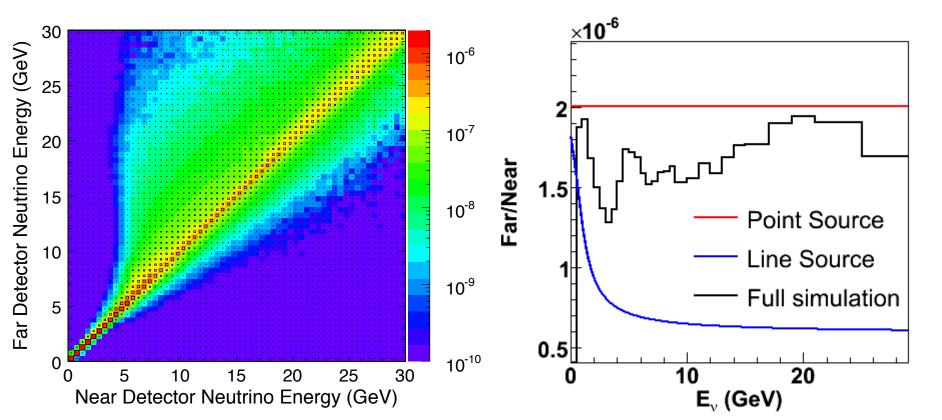


Phys. Rev. D76 (2007) 072005

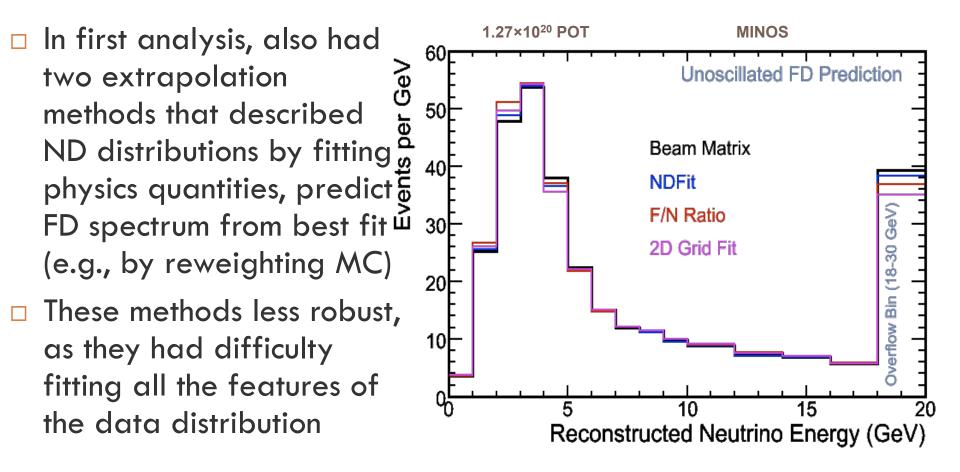
#### **Direct Extrapolation**

20

- Muon-neutrino and anti-neutrino analyses: beam matrix for FD prediction of track events
- NC and electron-neutrino analyses: Far to Near spectrum ratio for FD prediction of shower events



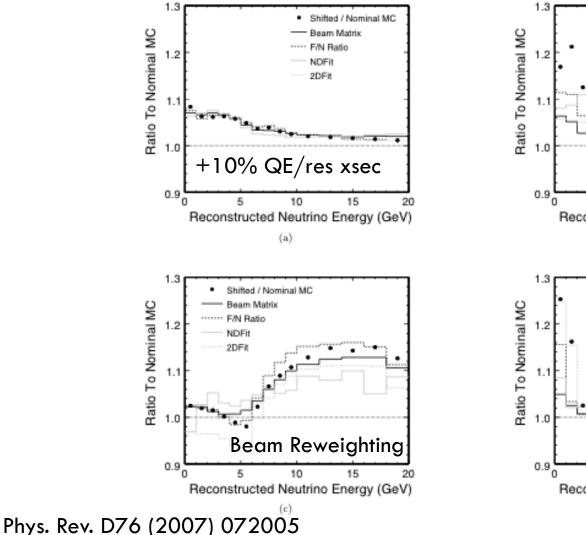
#### Indirect Extrapolation

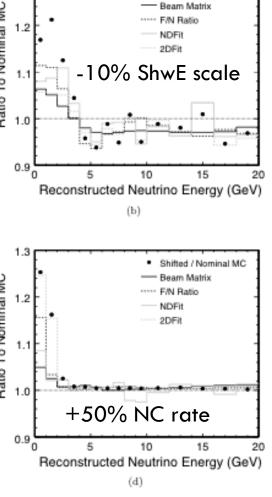


Prediction from all methods agreed to within  $\sim 5\%$  bin-by-bin Phys. Rev. D76 (2007) 072005

#### Systematic Uncertainties

#### Systematic uncertainties are different for each extrapolation method



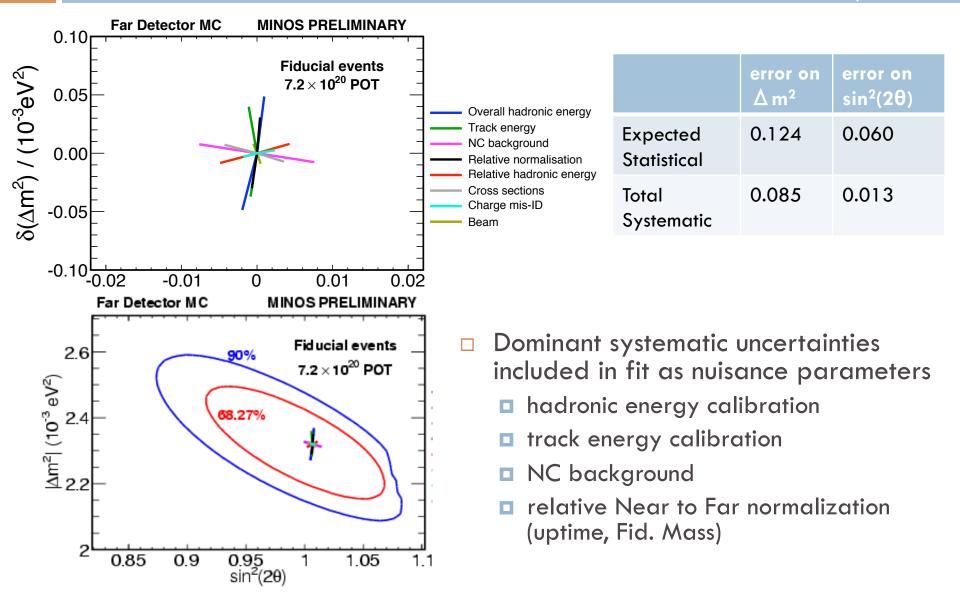


Shifted / Nominal MC

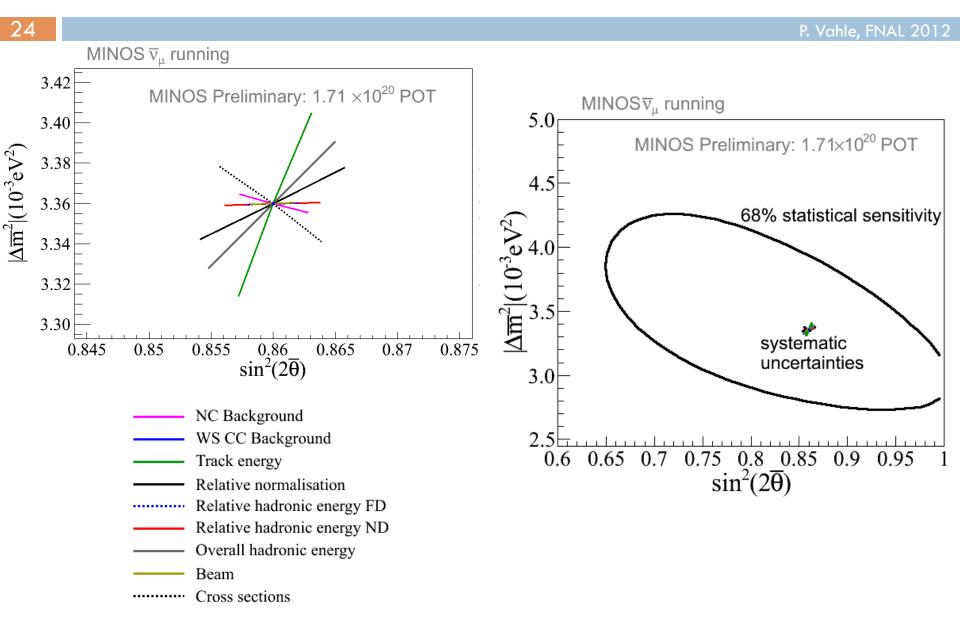
#### **Current Day Systematic Uncertainties**

23

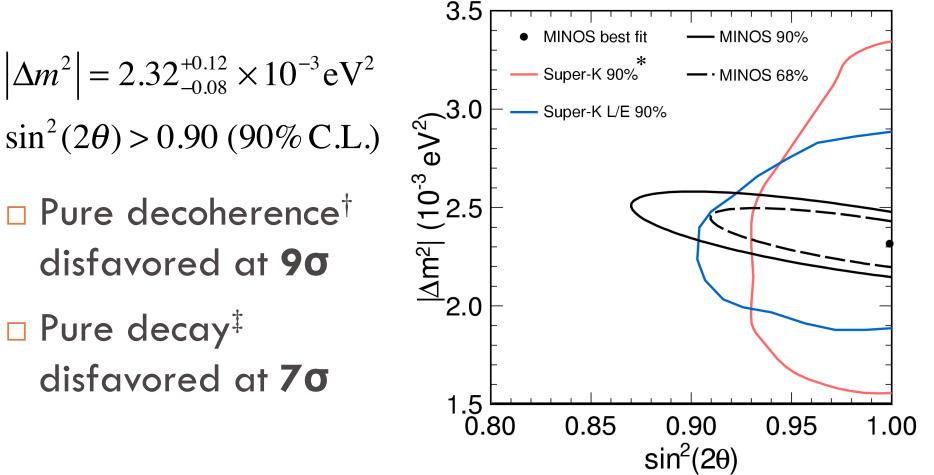
P. Vahle, FNAL 2012



#### **Anti-neutrino Systematics**



#### **Current Neutrino Results**



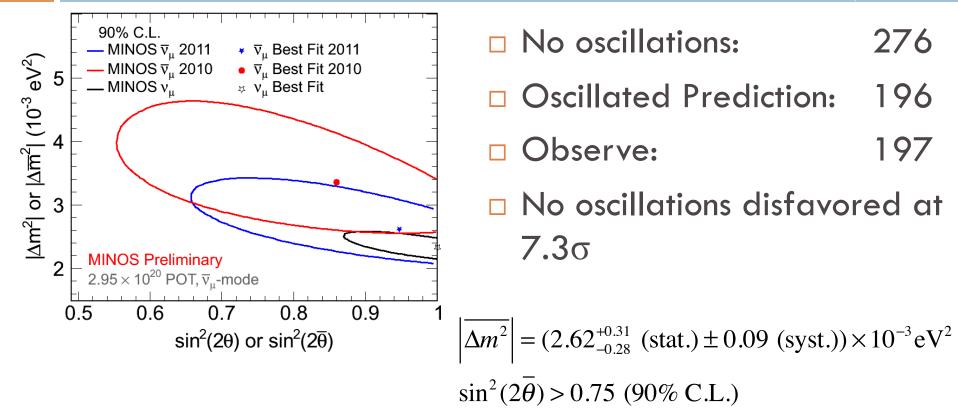
†G.L. Fogli et al., PRD 67:093006 (2003)
‡V. Barger et al., PRL 82:2640 (1999)
\*J. Hosaka et al., Phys. Rev. D 74, 032002 (2006)

25

Phys. Rev. Lett. 106 181801 (2011)

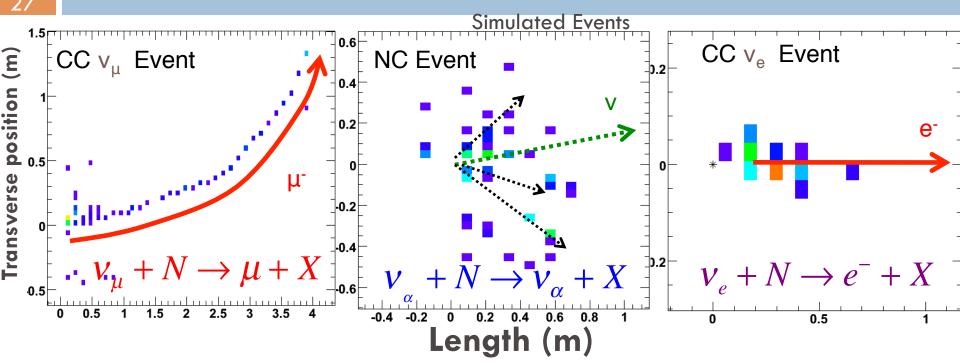
#### **Current Antineutrino Results**

26



Assuming identical underlying oscillation parameters, the neutrino and antineutrino measurements are consistent at the 42% C.L. (compared to 2% in 2010) arXiv:1202.2772 [hep-ex]

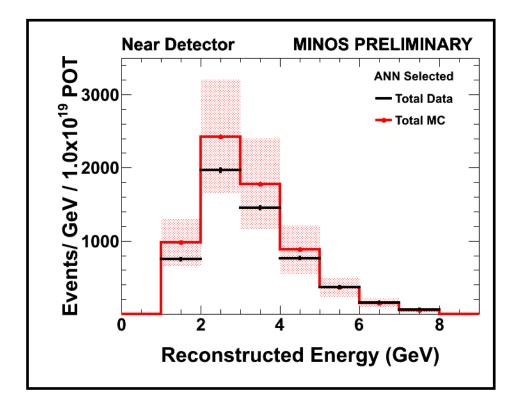
#### Nue Appearance in MINOS



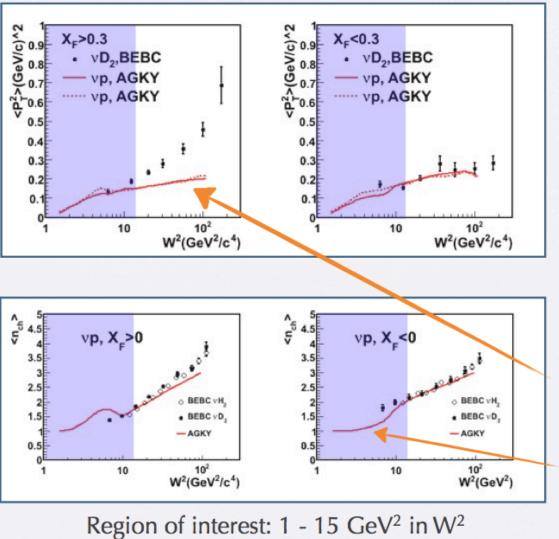
- long µ track, hadronic activity at vertex
- energy sum of muon energy (range or curvature) and shower energy
- short, diffuse shower
   energy from
   calorimetric response
   energy from
   calorimetric response

### Initial Nue ND Data

- ND predicts backgrounds ~20% higher than observed
  - Hadronization and final state interactions uncertainties give rise to large uncertainties in ND prediction
  - External data sparse in our region of interest
  - Strong background suppression—select tails of BG distributions



### Hadronization Model Tuning



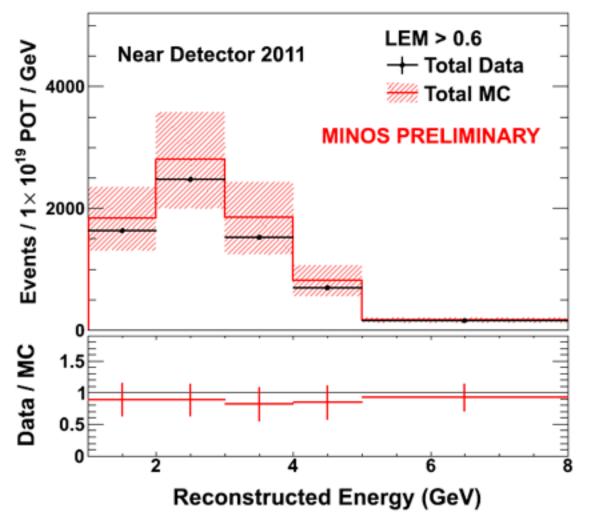
Ref: T. Yang Thesis, Stanford 2009

29

- MC tuned to external bubble chamber data for hadronization models.
- Tuning focused in the following quantities:
  - Charged/neutral pion multiplicity and dispersion.
  - Forward/backward fragments.
  - Fragmentation functions.
  - Transverse momentum.
- Transverse momentum still too low in forward hemisphere.
- Model at lower W<sup>2</sup> is an extrapolation.

(Slide from M. Sanchez, W&C April 2009)

#### **Current Near Detector Data**



30

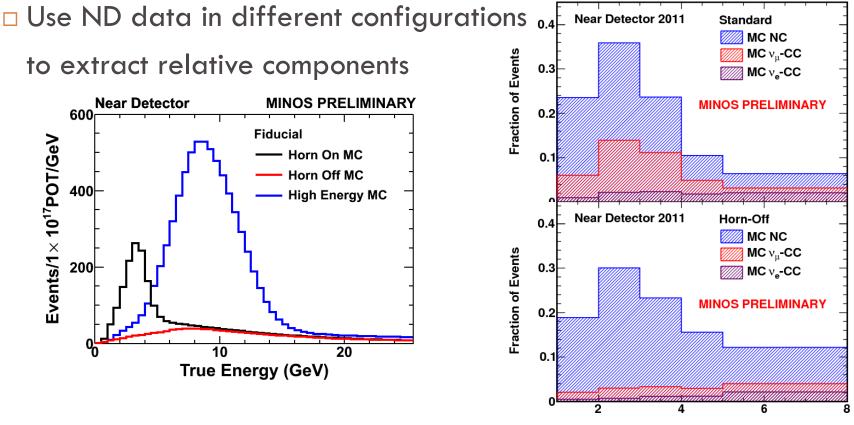
Improvements to nuclear rescattering model in MC has reduced data/MC discrepancies in current analyses

Phys. Rev. Lett. 107 (2011) 181802

#### Measuring the Background

31

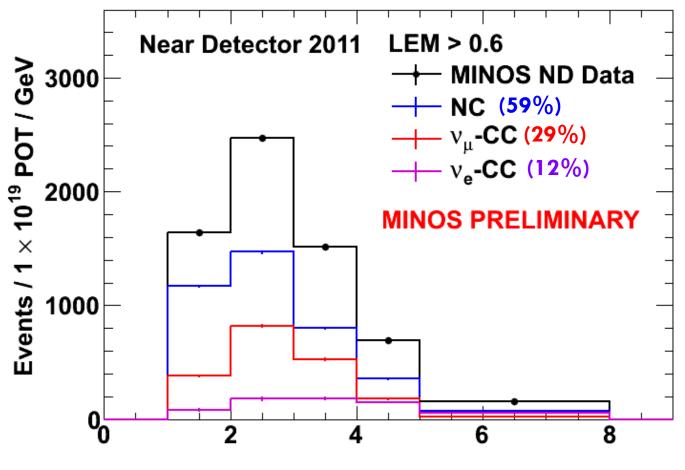
- Large uncertainties from hadronization will cancel in extrapolation to FD
- But ND data comprised of 3 parts, each extrapolates differently



Reconstructed Energy (GeV)



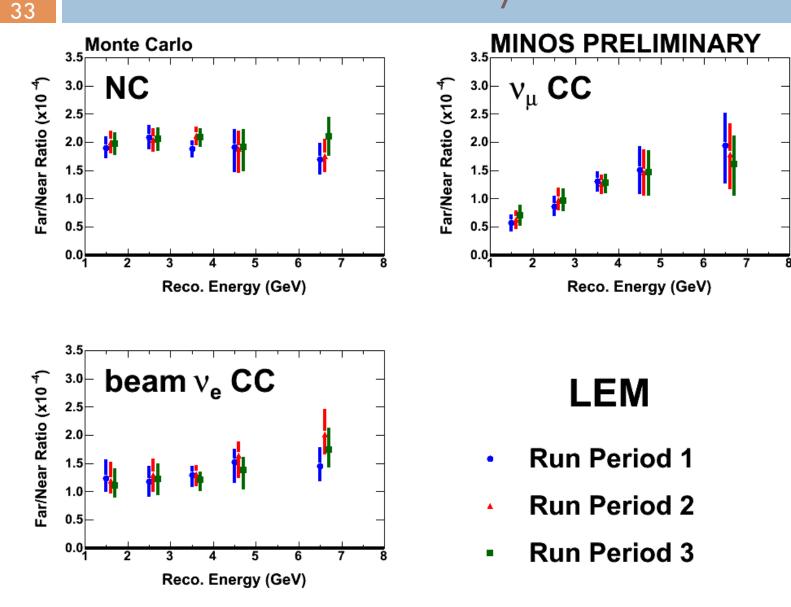
32



Reconstructed Energy (GeV)

#### Electron-neutrino F/N ratios

P. Vahle, FNAL 2012



#### **Current Electron-neutrino Systematics**

Systematics evaluated using modified MC

34

 Systematics in each bin included in fit as nuisance parameters

Systematic	
Calibration	$\pm 4.2\%$
Hadronic Errors	$\pm 0.8\%$
Cross Section and Intranuclear Model	$\pm 0.7\%$
Normalization	$\pm 1.9\%$
Beam Model	$\pm 0.7\%$
Crosstalk	$\pm 2.0\%$
Total Far/Near Ratio	$\pm 5.3\%$
$\nu_{\tau}$ CC Component Uncertainties	$\pm 2.1\%$
ND Decomposition Error	$\pm 0.3\%$
Total Systematic Uncertainty	$\pm 5.7\%$
Preliminary	

Early estimates predicted a 10% systematic error on the BG prediction

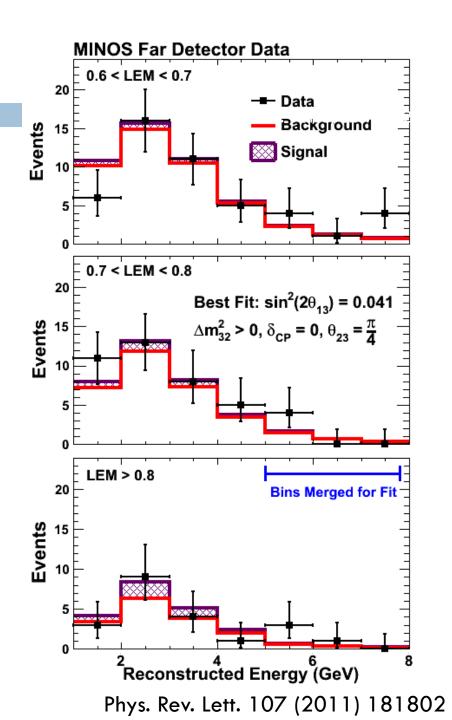
Phys. Rev. Lett. 107 (2011) 181802

## Fitting to Oscillations

Expect: 49.6±7.0(stat.)±2.7(syst.)

(in signal enhanced region)

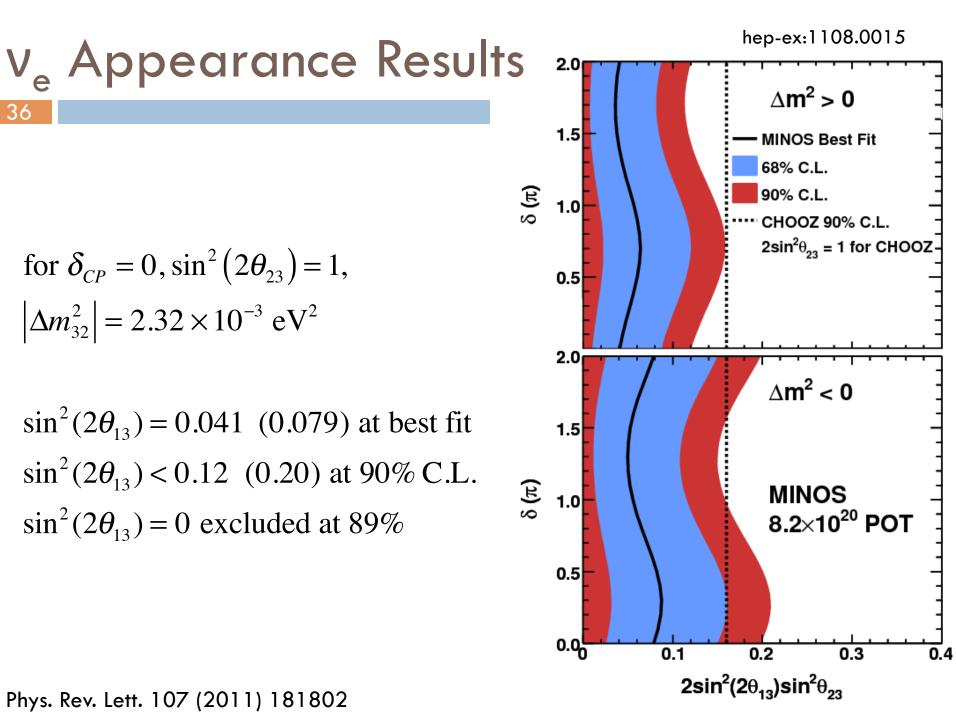
- Observe: 62 events in the FD
- □ Best fit:  $\sin^2(2\theta_{13}) = 0.041$ (normal hierarchy,  $\delta_{CP} = 0$ ,  $\sin^2(2\theta_{23}) = 1$ )



36

for 
$$\delta_{CP} = 0$$
,  $\sin^2(2\theta_{23}) = 1$ ,  
 $\left|\Delta m_{32}^2\right| = 2.32 \times 10^{-3} \text{ eV}^2$ 

 $\sin^2(2\theta_{13}) = 0.041 \ (0.079)$  at best fit  $\sin^2(2\theta_{13}) < 0.12$  (0.20) at 90% C.L.  $\sin^2(2\theta_{13}) = 0$  excluded at 89%



Phys. Rev. Lett. 107 (2011) 181802

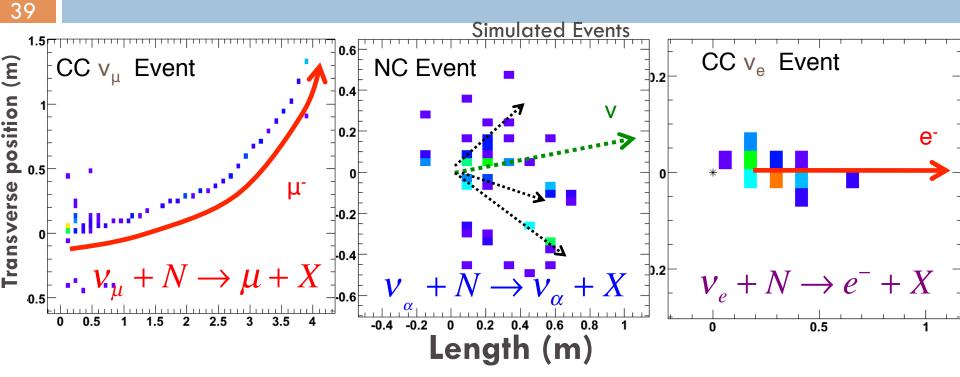


#### Two detector design mitigates major uncertainties

- Cross section uncertainties
- Neutrino flux and beam systematic uncertainties
- Different extrapolation techniques provide same prediction at 5% level
  - some techniques control systematics better than others, but all do fine with xsec and beam uncertainties
- Analyses are still statistics limited
  - Measure neutrino mass splitting to 5%
  - Measure antineutrino mass splitting to 12%
  - Systematic error on BG to nue appearance search <6%</p>

38	

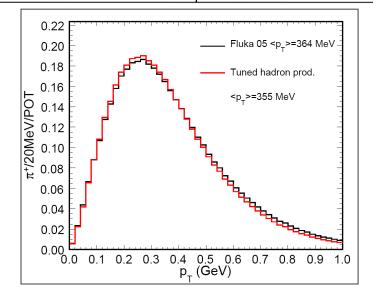
## **Events in MINOS**



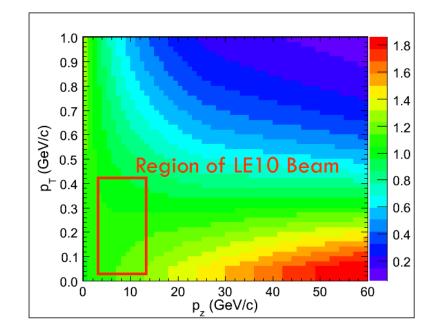
- long µ track, hadronic activity at vertex
- energy sum of muon energy (range or curvature) and shower energy
- short, diffuse shower
   energy from
   calorimetric response
   energy from
   calorimetric response

#### Hadron Production Tuning

Model	₩p <sub>T</sub> ₩ (GeV/c)
GFLUKA	0.37
SanfWang	0.42
СКР	0.44
Malensek	0.50
MARS – v.14	0.38
MARS – v.15	0.39
Fluka 2001	0.43
Fluka 2005	0.364
Fluka2005 Tuned	0.355



Weights ~20% in region of  $p_T vs p_z$  that produces MINOS neutrinos Hadron production tuning changes mean  $p_T$ less than model spread



Fit Fluka  $p_T$  distributions for different  $p_z$  to:

$$\frac{\mathrm{d}^2 N}{\mathrm{d} p_z \mathrm{d} p_T} = \left[ A(p_z) + B(p_z) p_T \right] e^{(-C(p_z) p_z^{3/2})}$$

Parameterize A, B, C as functions of  $p_z$ 

$$A(p_z) = 0.186(1 - p_z)^{3.63}(1 + 1501.3p_z)p_z^{-2.89}$$
$$B(p_z) = 0.57(1 - p_z)^{2.94}(1 + 9716.8p_z)p_z^{-3.03}$$
$$C(p_z) = \frac{26.8}{p_z^{0.0326}} - 24.7$$

Warp A, B, C, weight MC to fit data:

$$A' = par[0]A(p_z)$$
  

$$B' = (1 + par[1](0.1 - p_z)B(p_z)$$
  

$$C' = par[2]C(p_z)$$
  

$$W$$

$$w = \frac{A' + Bp_T}{A + Bp_T} e^{(-(C' - C)p_T^{3/2})}$$

SKZP

#### Initial CC Systematics

Uncertainty		$ \Delta m^2 $	$\sin^2 2\theta$
-		$(10^{-3}  \mathrm{eV}^2 / c^4)$	
(a) Normalization	$(\pm 4\%)$	0.050	0.005
(b) Abs. hadronic E scale	$(\pm 11\%)$	0.057	0.048
(c) NC contamination	(±50%)	0.090	0.050
(d) Beam uncertainties		0.015	< 0.005
(e) Cross sections		0.011	0.005
All other systematics		0.041	0.013
Statistical Error		0.35	0.13

# Shower Energy systematic

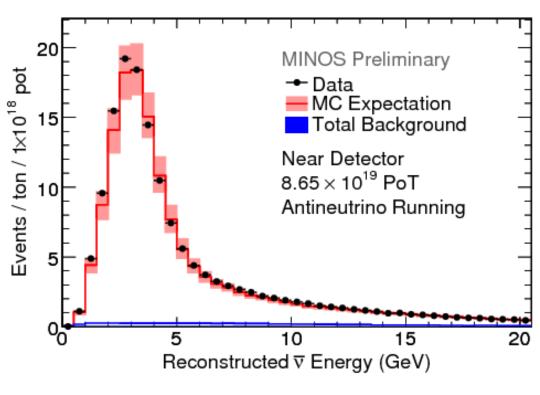
43

Final state interactions are expected to have a significant effect on the visible energy of the hadronic final state [41]. In particular there are significant uncertainties in the rate of pion absorption, the mechanism for transferring the pion's energy to a nucleon cluster, and the amount of energy eventually visible to the detector. We account for these uncertainties by studying the shift in the reconstructed shower energy when we turn the simulation of final-state interactions off, and when we modify the simulation so that all of an absorbed pion's energy is lost. We find that the predicted response to hadronic showers changes by approximately 10% [41] in these two extreme cases and use this as a conservative estimate of the uncertainty on the absolute hadronic energy scale.

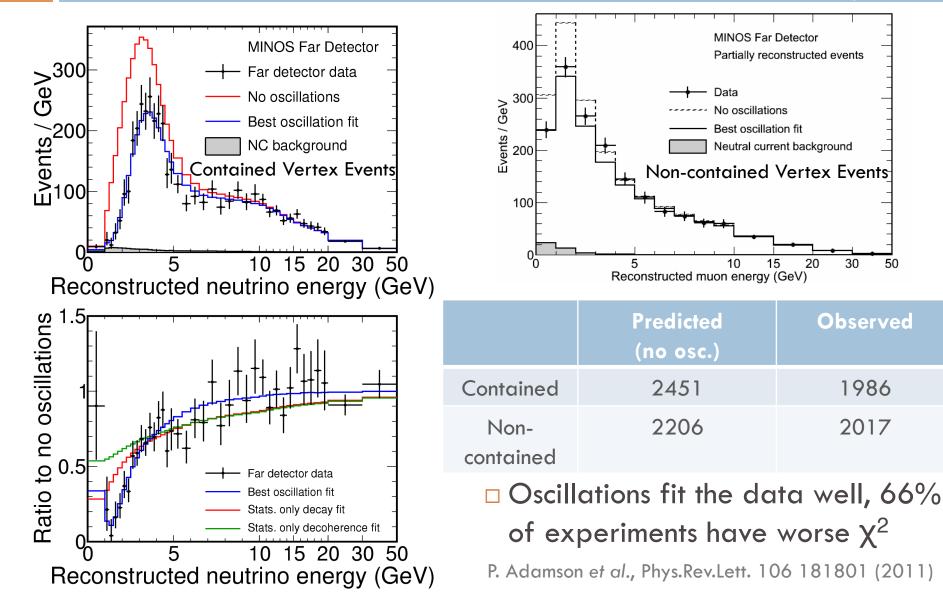
# ND Anti-neutrino Data

# Focus and select positive muons

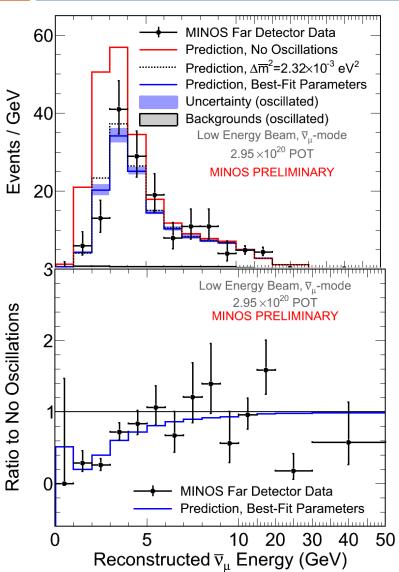
- purity 94.3% after charge sign cut
- □ purity 98% < 6GeV
- Analysis proceeds as (2008) neutrino analysis
- Data/MC agreement comparable to neutrino running
  - different average kinematic distributions
  - more forward muons



## Far Detector CC Events



# Anti- $v_{\mu}$ Disappearance



P. Vahle, INFO 2011

- □ No oscillations: 276
- Oscillated Prediction: 196
- □ Observe: 197
- No oscillations disfavored at 7.3σ

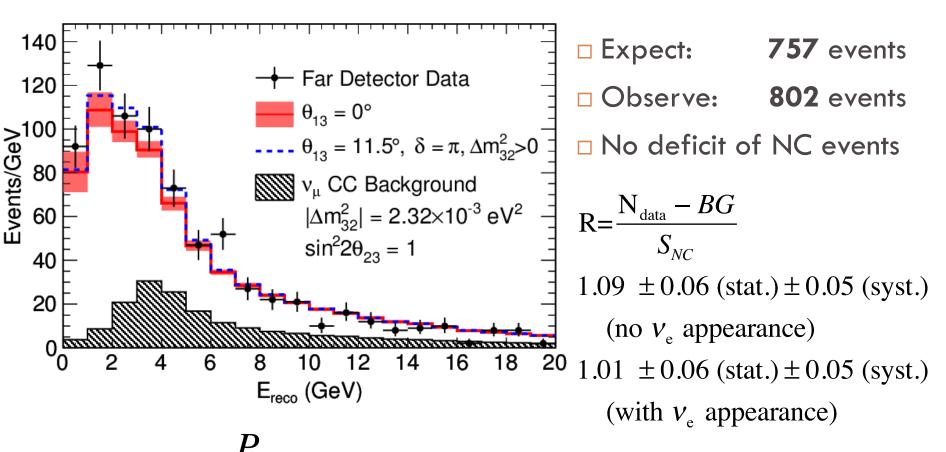
$$\left|\overline{\Delta m^2}\right| = (2.62^{+0.31}_{-0.28} \text{ (stat.)} \pm 0.09 \text{ (syst.)}) \times 10^{-3} \text{eV}^2$$
  
 $\sin^2(2\overline{\theta}) > 0.75 \text{ (90\% C.L.)}$ 

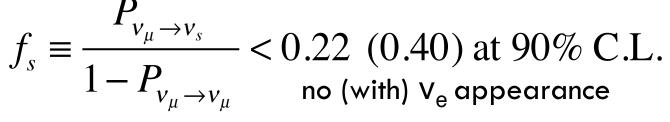
# Neutral Current Near Event Rates

60 **MINOS Preliminary** 50 - Near Detector Data 10<sup>4</sup> Events/GeV Monte Carlo Expectation 40  $v_{\mu}$  CC Background 30 20 10 0, 2 6 10 20 4 8 12 14 16 18 E<sub>reco</sub> (GeV)

- Neutral Current event rate should not change in standard 3 flavor oscillations
- A deficit in the Far event rate could indicate mixing to sterile neutrinos
- V<sub>e</sub> CC events would be included in NC sample, results depend on the possibility of V<sub>e</sub> appearance

# Neutral Currents in the Far Detector







□ At L/E~500 km/GeV, dominant oscillation mode is  $v_{\mu} \rightarrow v_{\tau}$ □ A few percent of the missing  $v_{\mu}$  could change into  $v_{e}$ 

$$P\left(V_{\mu} \rightarrow V_{e}\right) = \begin{vmatrix} \sqrt{P_{atm}} e^{-i(\frac{\Delta m_{32}^{2}L}{4E} + \delta_{cp})} + \sqrt{P_{sol}} \end{vmatrix}^{2}$$

$$P_{atm} = \sin^{2}\theta_{23}\sin^{2}2\theta_{13}\sin^{2}\left(\frac{\Delta m_{31}^{2}L}{4E}\right) P_{sol} \approx \cos^{2}\theta_{23}\sin^{2}2\theta_{12}\sin^{2}\left(\frac{\Delta m_{21}^{2}L}{4E}\right)$$

"Atmospheric" Term Depends on  $\Delta m^2$  and unknown  $\theta_{13}$ 

#### "Solar" Term

<1% for current accelerator experiments



□ At L/E~500 km/GeV, dominant oscillation mode is  $v_{\mu} \rightarrow v_{\tau}$ □ A few percent of the missing  $v_{\mu}$  could change into  $v_{e}$ 

$$P\left(V_{\mu} \rightarrow V_{e}\right) = \left| \sqrt{P_{atm}} e^{-i\left(\frac{\Delta m_{32}^{2}L}{4E} + \delta_{cp}\right)} + \sqrt{P_{sol}} \right|^{2}$$
$$2\sqrt{P_{atm}} \sqrt{P_{sol}} \cos\left(\frac{\Delta m_{32}^{2}L}{4E}\right) \cos\delta_{CP} \mp 2\sqrt{P_{atm}} \sqrt{P_{sol}} \sin\left(\frac{\Delta m_{21}^{2}L}{4E}\right) \sin\delta_{CP}$$

Interference Term

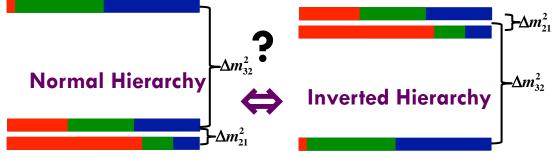
- for neutrinos

+ for antineutrinos

if 
$$\delta_{CP} \neq 0$$
,  
 $P(v_{\mu} \rightarrow v_{e}) \neq P(\overline{v}_{\mu} \rightarrow \overline{v}_{e})$ 



$$P_{atm} = \sin^2 \theta_{23} \sin^2 2\theta_{13} \sin^2 \left(\frac{\Delta m_{31}L}{4E} - aL\right) \left(\frac{4E}{\left(\frac{\Delta m_{31}^2 L}{4E} - aL\right)}\right) \qquad P_{sol} \approx \cos^2 \theta_{23} \sin^2 2\theta_{12} \sin^2 \left(aL\right) \left(\frac{4E}{aL}\right)$$
$$a = \pm \frac{G_F N_e}{\sqrt{2}} \approx (4000 \text{ km})^{-1}$$

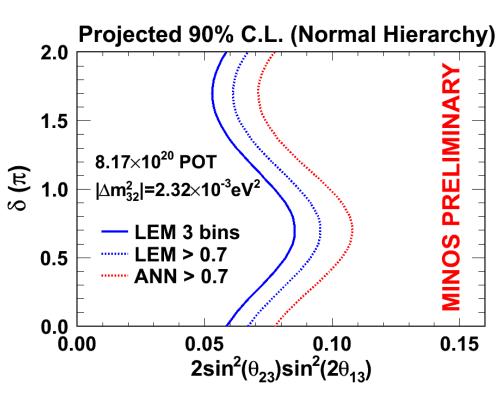


In matter, additional term in Hamiltonian from  $V_e + \theta$  CC scattering modifies oscillation probability, ~30% effect in MINOS

# The Updated Analysis

- Look for an excess of v<sub>e</sub> in the FD compared to prediction from ND measurement
  - **\square** select events with a V<sub>e</sub> topology
  - apply selection to ND, determine fraction of each background type
  - extrapolate each background type separately
  - fit FD data to extract oscillation parameters
- Updated analysis:

- new event selection
- new fitting technique in the FD
- more data

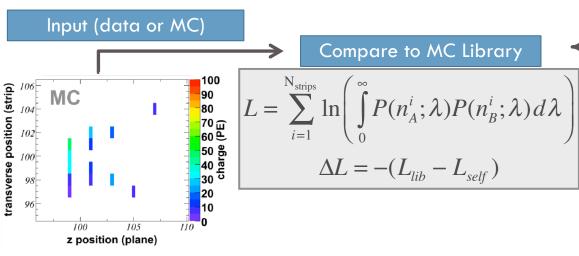


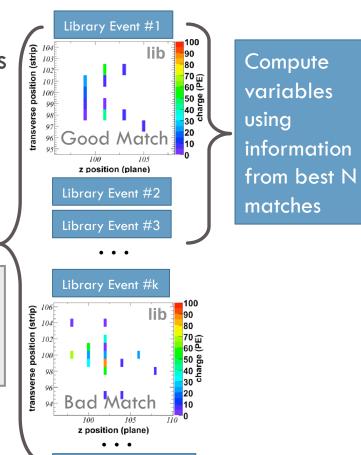
# Looking for Electron-neutrinos

New electron neutrino selection technique

53

- Compare candidate events to a library of simulated signal and background events
- Comparison made on a strip by strip basis
- Discriminating variables formed using information from 50 best matches



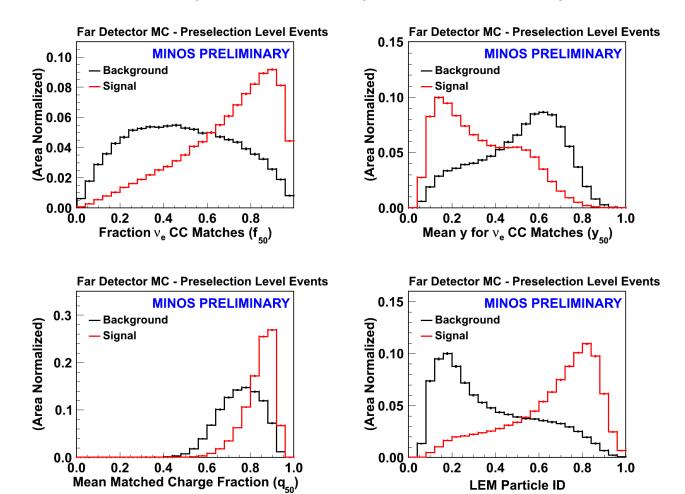


Library Event #30M

P. Vahle, INFO 2011

# **Discriminating Variables**

- Three discriminating variables combined in neural net
- □ Achieve ~40% signal efficiency, ~98% BG rejection



# Far/Near differences

P. Vahle, INFO 2011

#### $\Box V_{\mu}$ CC events oscillate away

Event topology

55

Light level differences (differences in fiber lengths)

Multiplexing in Far (8 fibers per PMT pixel)

Single ended readout in Near

□PMTs (M64 in Near Detector, M16 in Far):

Different gains/front end electronics

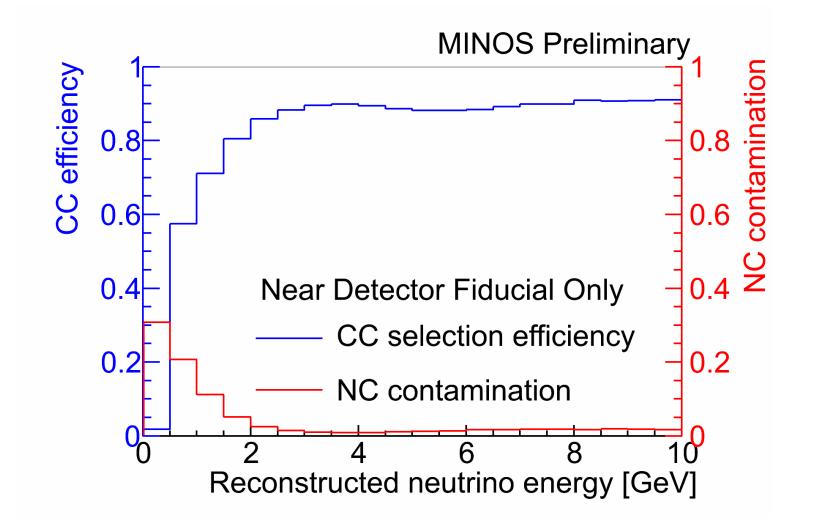
Different crosstalk patterns

□Neutrino intensity

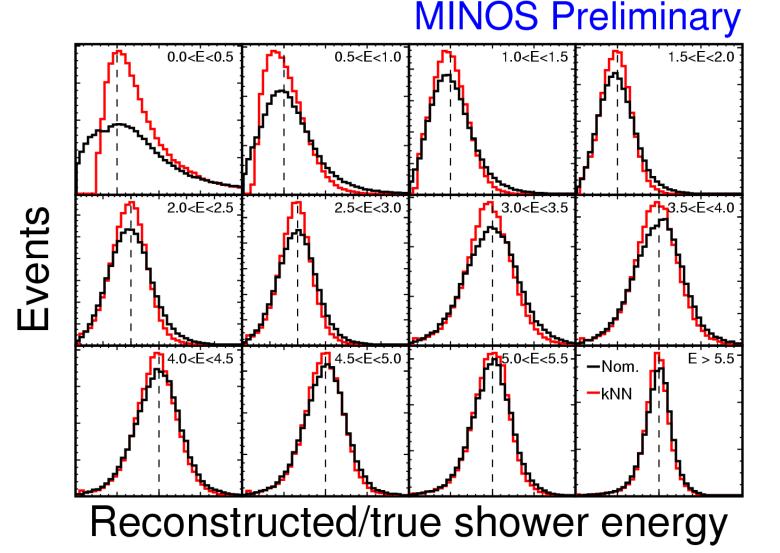
Relative energy calibration/energy resolution

Account for these lower order effects using detailed detector simulation

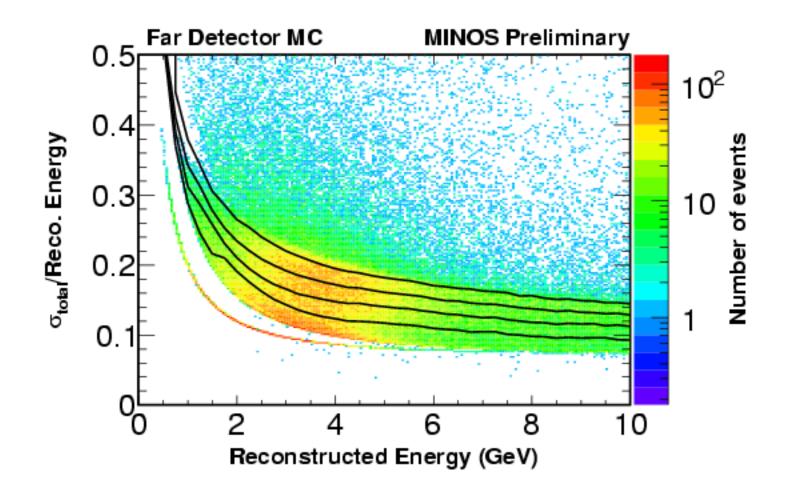
#### New Muon-neutrino CC Selection



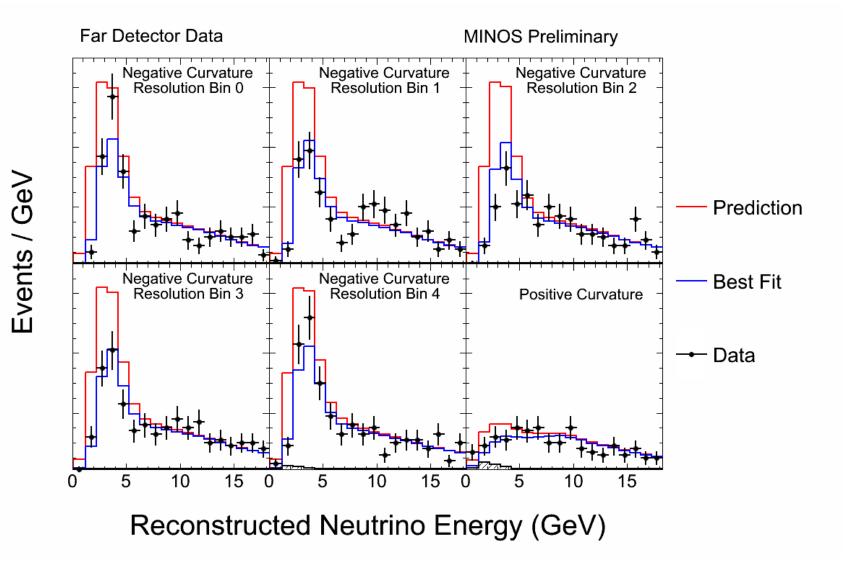
#### **Shower Energy Resolution**



### **Energy Resolution Binning**



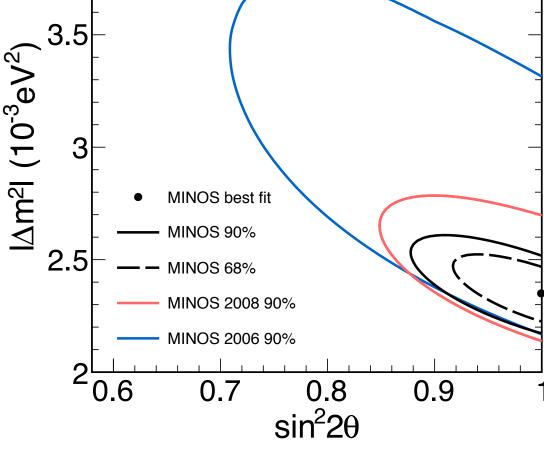
## **Resolution Binning**



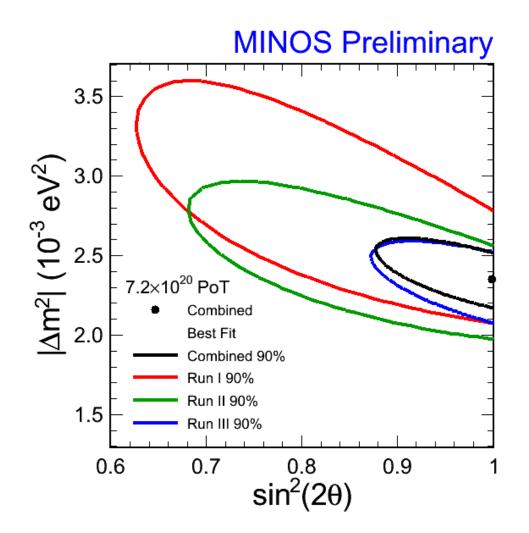


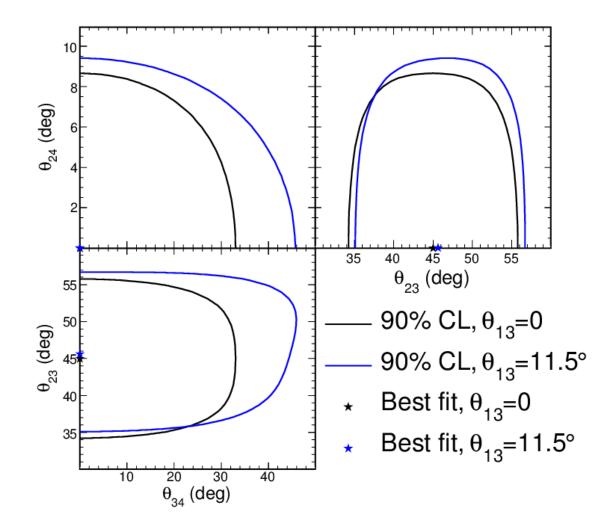
# 7.2×10<sup>20</sup> POT - fiducial events

- Contour includes effects of dominant systematic uncertainties
  - normalization
  - NC background
  - shower energy
  - track energy

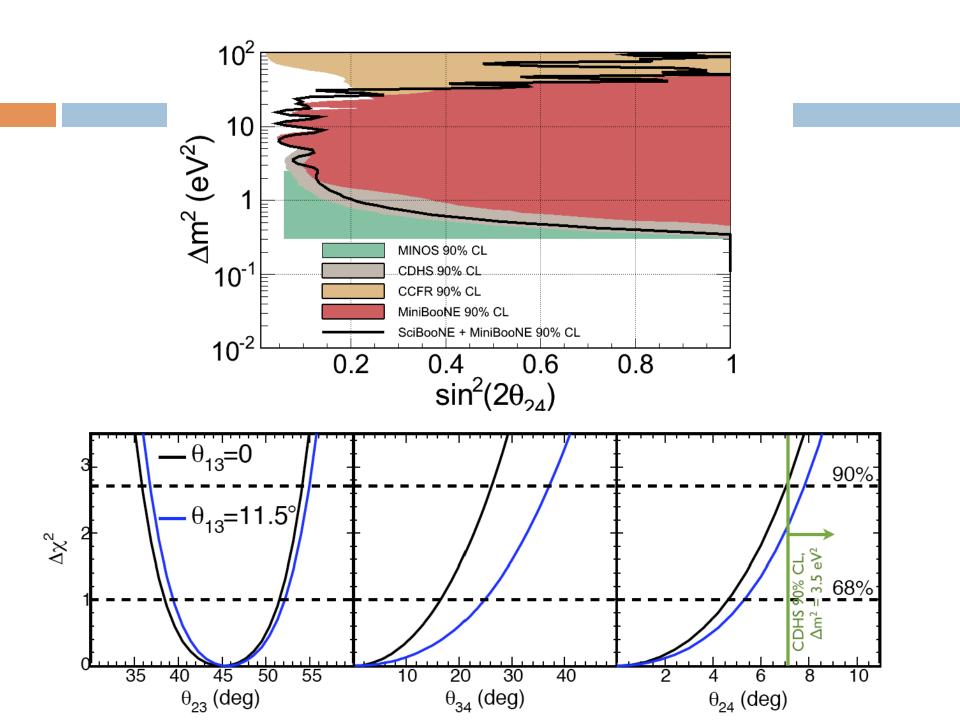


#### **Contours by Run Period**





- Fit CC/NC spectra simultaneously with a 4<sup>th</sup> (sterile) neutrino
   2 choices for 4<sup>th</sup> mass eigenvalue
  - $\square m_4 >> m_3$  $\square m_4 = m_1$



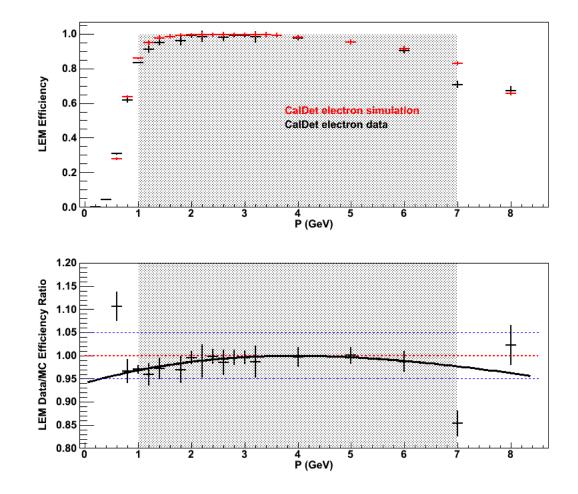
#### Electron-neutrino prediction in FD

Total BG:		
□ NC:	34	
Muon-neutrino CC:	7	
beam electron-neutrinos:	6	
tau-neutrino CC:	2	
Signal at CHOOZ limit:		30

#### **Checking Signal Efficiency**

P. Vahle, INFO 2011

Test beam
 measurements
 demonstrate
 electrons are well
 simulated

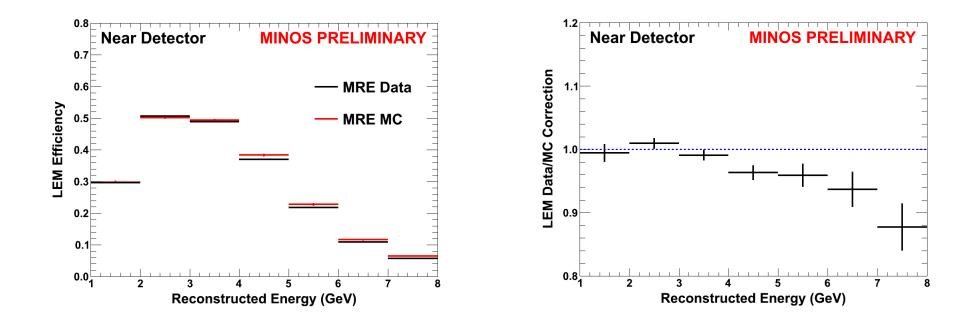


# **Checking Signal Efficiency**

66

P. Vahle, INFO 2011

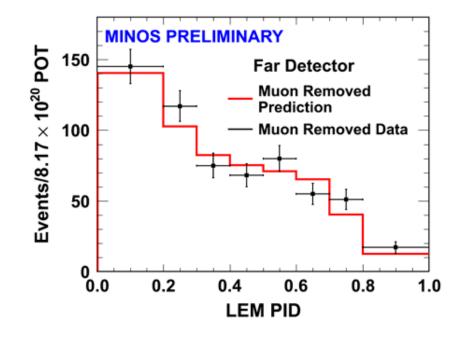
#### Check electron neutrino selection efficiency by removing muons, add a simulated electron



#### Muon Removed Sample

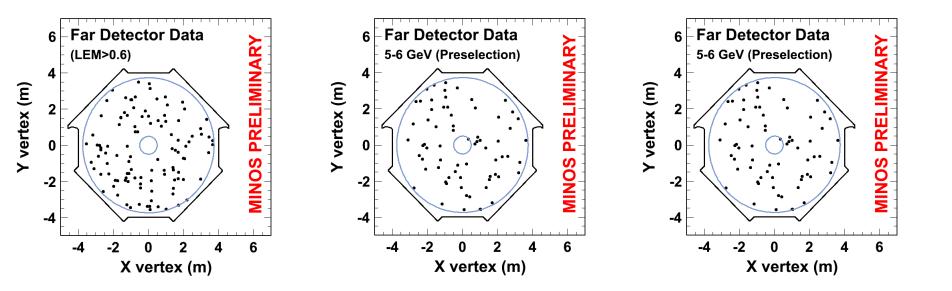
67

P. Vahle, INFO 2011



#### FD Electron-neutrinos Vertices

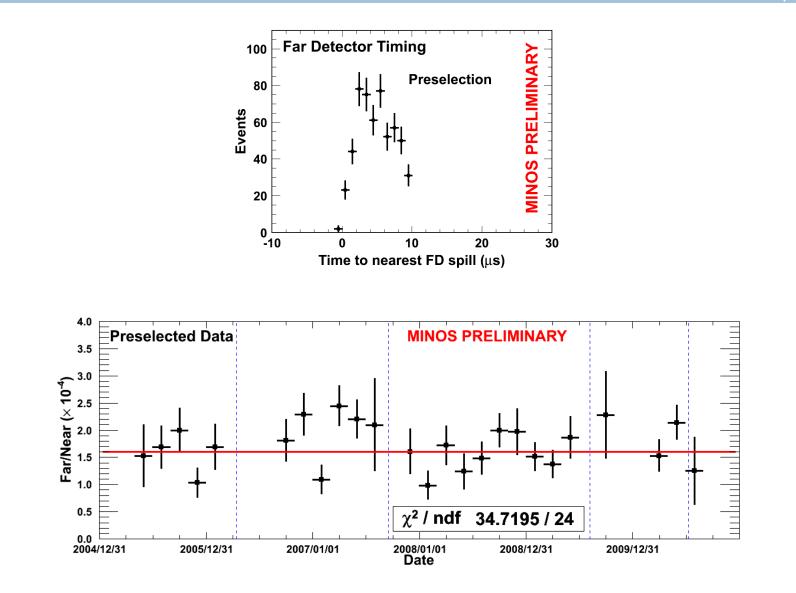
P. Vahle, INFO 2011



#### **Electron-neutrino Event Rate**

69

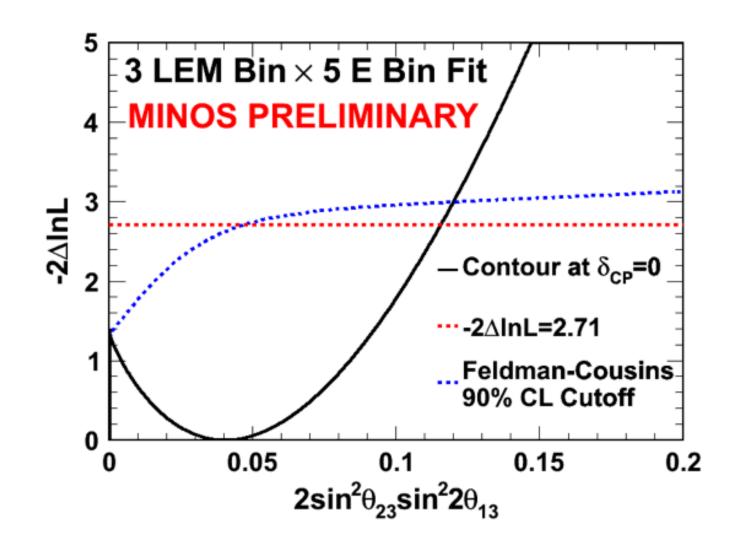
P. Vahle, INFO 2011



#### Feldman-Cousins Effect

70

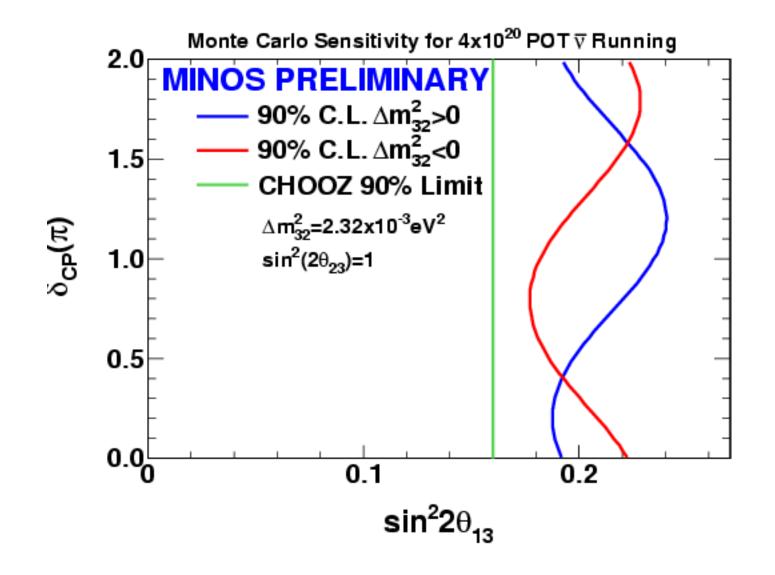
P. Vahle, INFO 2011



# **Cross Check Fits**

#### **OFFICIAL FIT** 0.040 0.115 $\Box$ LEM energy shape fit < 5 GeV 0.021 0.089 ANN energy shape fit 0.046 0.135 0.045 0.136 $\square$ ANN energy shape fit < 5 GeV 2010-style analysis (ANN rate-only) 0.041 0.130 LEM rate-only 0.064 0.147 LEM shape fit 0.046 0.121 0.057 0.144 Official fit excluding new data

#### electron anti-neutrino appearance



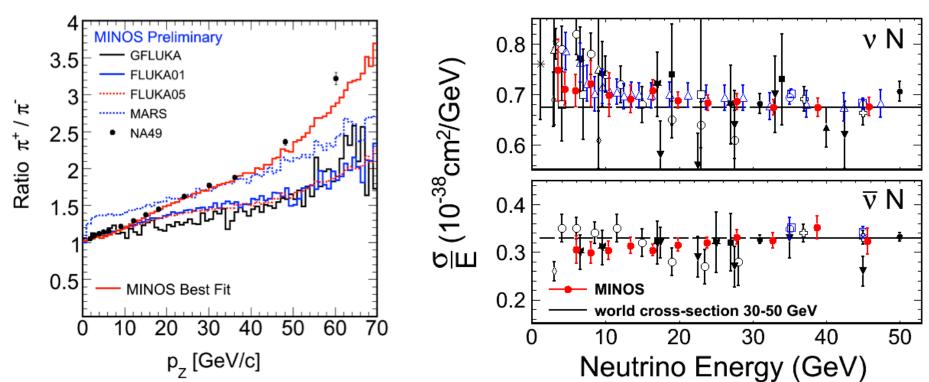
## Making an antineutrino beam

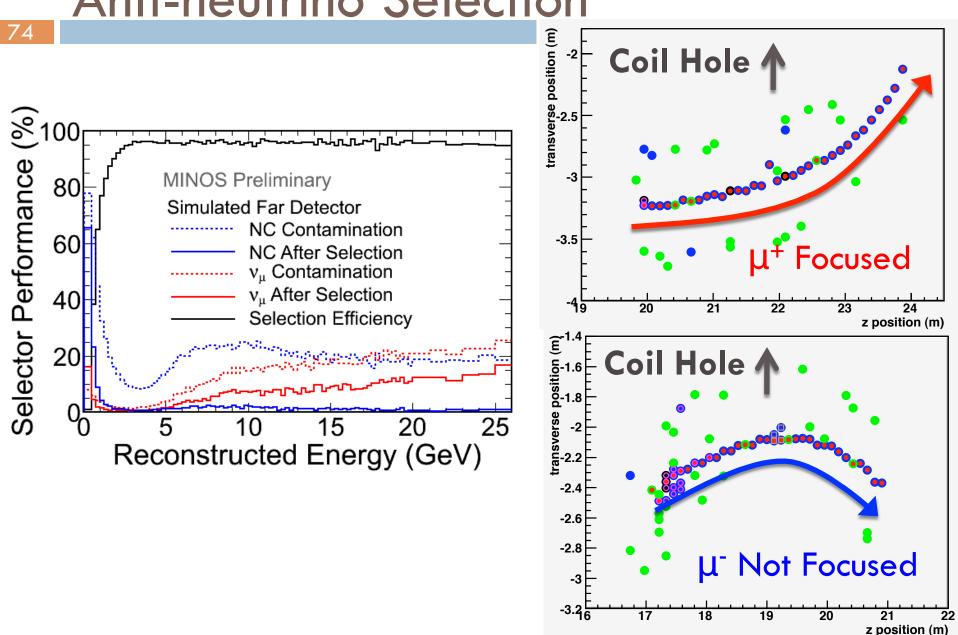
73

P. Vahle, INFO 2011

Hadron production and cross sections conspire to change the shape and normalization of energy spectrum

~3x fewer antineutrinos for the same exposure





#### Anti-neutrino Selection