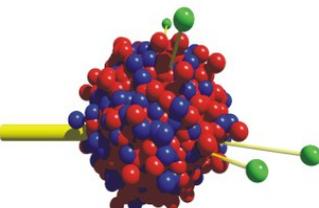


# GiBUU and Shallow Inelastic Scattering

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Institut für Theoretische Physik, JLU Giessen



GiBUU

The Giessen Boltzmann-Uehling-Uhlenbeck Project



# My Paradigm

Ulrich  
Mosel

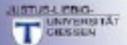
2011

- A good generator does not have to fit the data, provided it is right
- A good generator does not have to be right, provided it fits the data

NUINT 2011



Institut für  
Theoretische Physik



- Yesterday: Most importantly the generators should match measured data

Lesson from the past: fitting  $QE M_A$  hides true physics: MEC, 2p-2h

This talk : Most importantly the generators should contain the right physics



# Outline

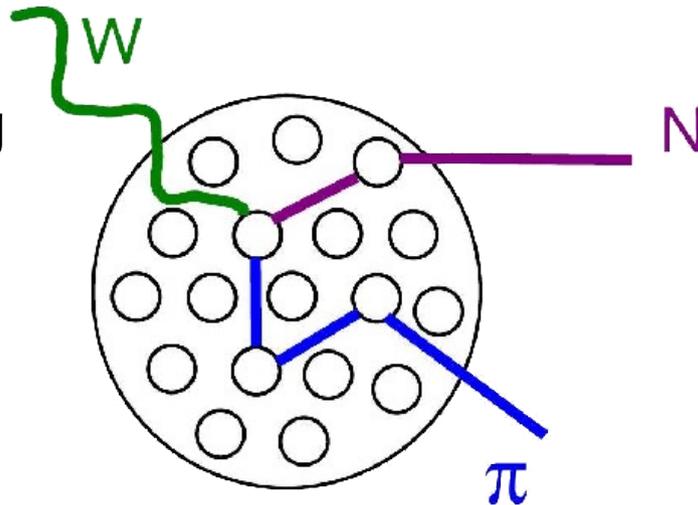
- Giessen Boltzmann-Uehling-Uehlenbeck project
  - ◆ model
  - ◆ verification
  - ◆ lessons from electron- and photon- induced reactions
- Shallow Inelastic Scattering: which reactions contribute
- Final state interaction may dramatically change the experimental signature of the primary interaction

# Nuclei as targets

Nuclear effects are non-negligible for neutrinos in the GeV region

Initial State Interactions  
change the inclusive xsec

- ▶ nuclear binding
- ▶ Fermi motion
- ▶ Pauli blocking



Final State Interactions  
change the observables  
in semi-inclusive reactions

- ▶ absorption of the outgoing nucleons
- ▶ rescattering, charge exchange
- ▶ redistribution of energy
- ▶ production of new particles

A realistic model must include realistic description of nuclear effects, both ISI and FSI

## GiBUU

The Giessen Boltzmann-Uehling-Uhlenbeck Project

– the semiclassical transport model in coupled channels –  
simulates the transport of hadrons through nuclear matter in space and  
time

GiBUU describes several types of reactions both in resonance and high  
energy regions, is extensively checked against experimental data

for heavy ion collisions,  $\rho A$ ,  $\pi A$ ,  $\gamma A$ ,  $e^- A$

Aim: many reactions with one microscopic model

Review: Buss et al., Phys Rep 512 (2012) 1-124

Open source code: <http://gibuu.physik.uni-giessen.de/GiBUU>

# Theoretical basis of GiBUU

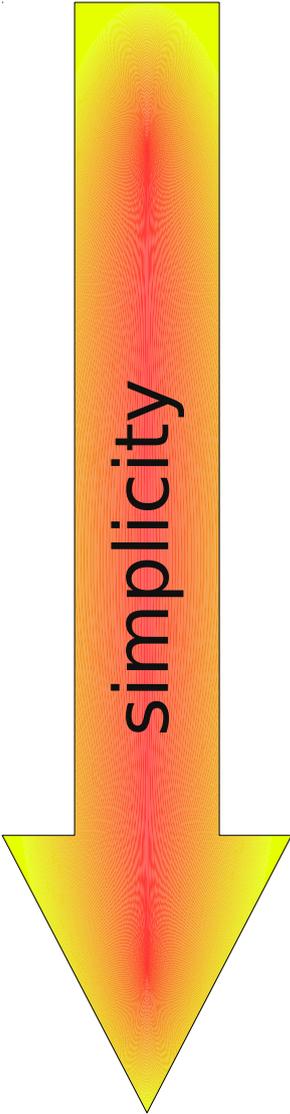
- Kadanoff-Baym equation (1960s)  
full equation can not be solved yet  
not (yet) feasible for real world problems

- Boltzmann-Uehling-Uhlenbeck (BUU) models

Boltzmann equation as gradient expansion of  
Kadanoff-Baym equations: GiBUU, ...

The same mean-field potential for initial and  
final state interactions  
In-medium self-energies and spectral functions

- Cascade models (typical event generators,  
NUANCE, GENIE, NEUT)  
no mean-fields  
primary interactions and FSI not consistent



simplicity

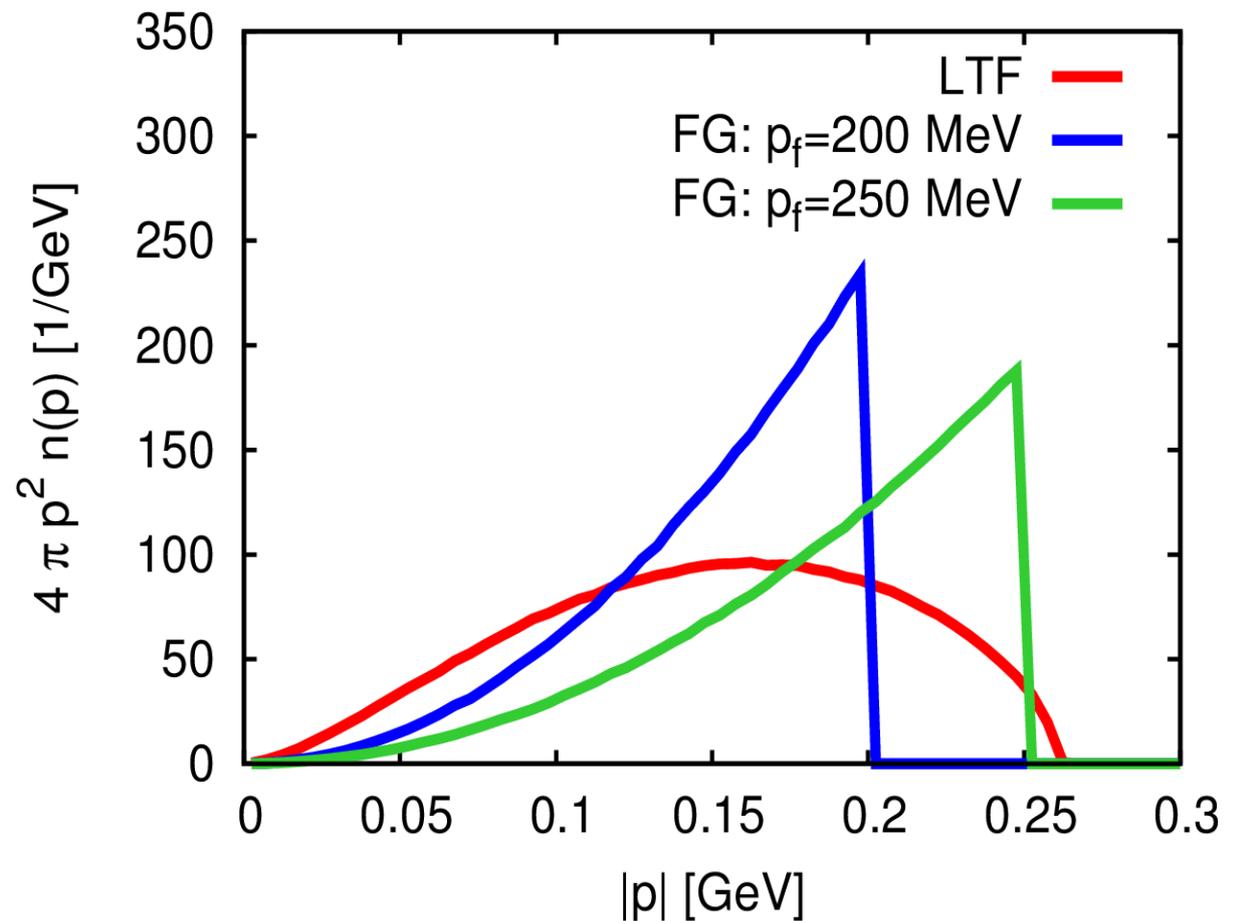
## 1. Initialize nucleus

- local Thomas-Fermi gas model

! very different from  
global Fermi gas

example:

Momentum density  
distribution of proton  
in oxygen



# 1. Initialize nucleus

- ◆ local density approximation with realistic density profile
- ◆ density- and momentum-dependent mean-field potential

Density ( $\rho$ ),

Fermi momentum ( $p_F$ ),

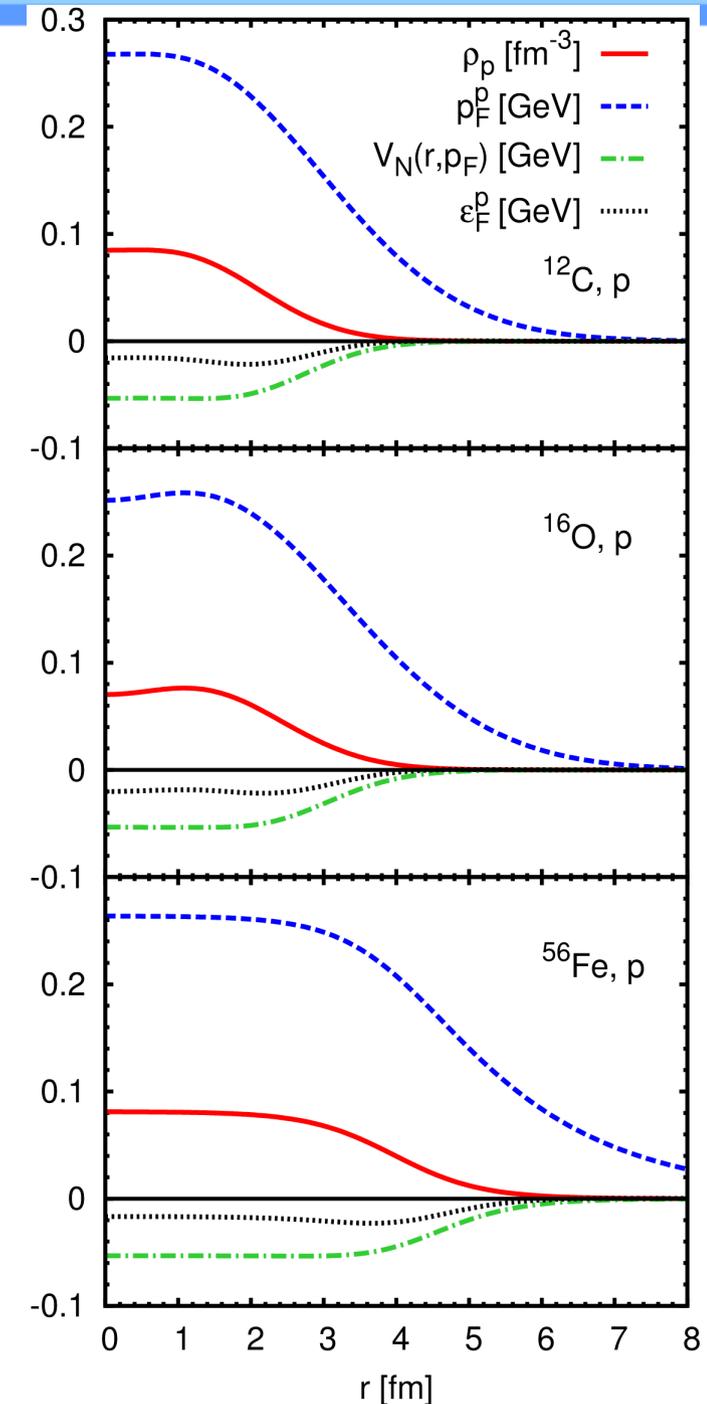
mean-field potential ( $V_N$ )

and Fermi energy ( $\varepsilon_F$ )

of protons as functions of nucleon radius

Distributions are correlated

Low momentum nucleons tend to sit at low densities

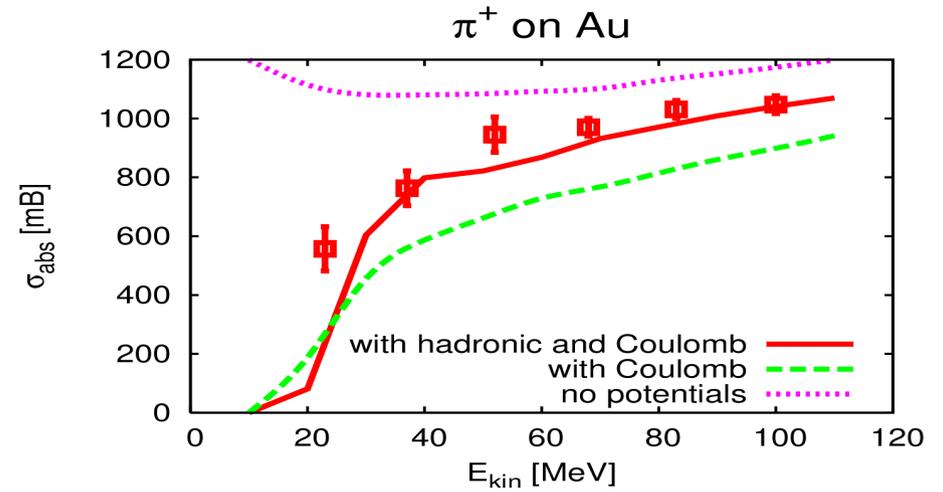
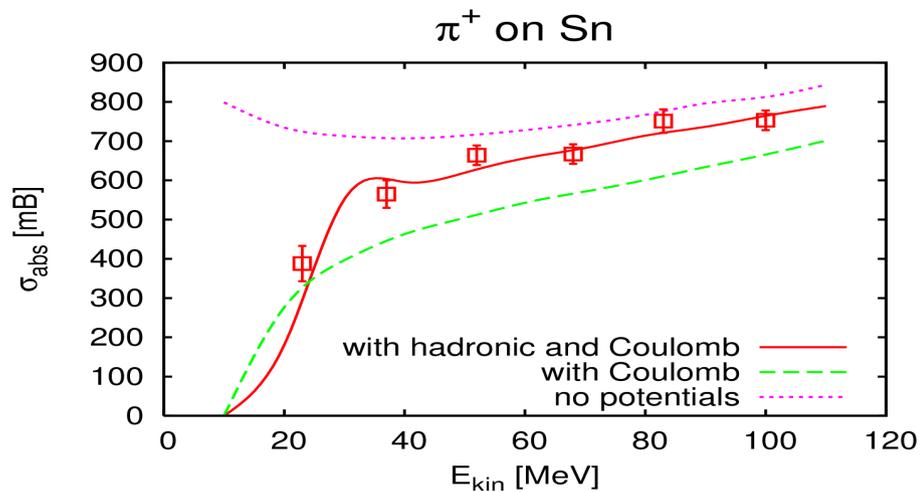
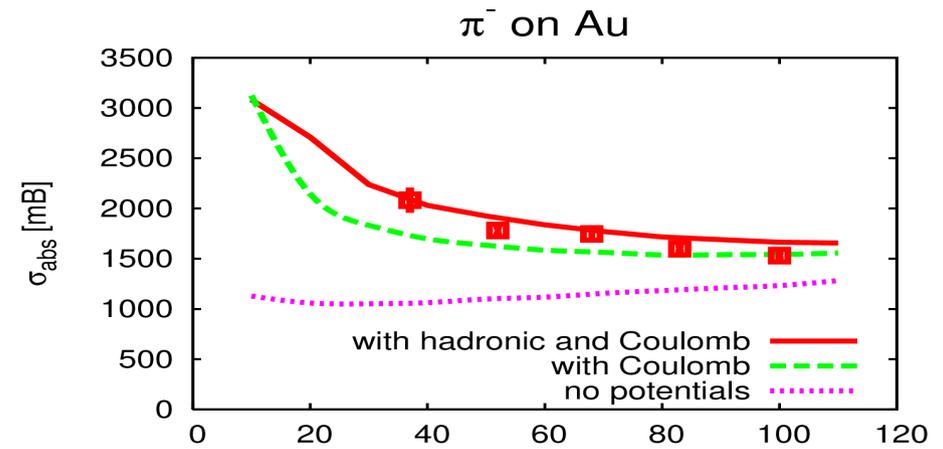
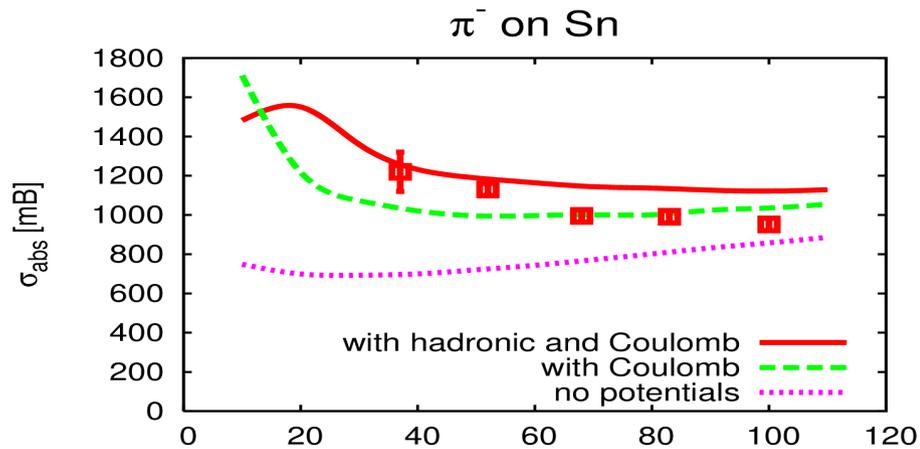


# Validation of the model -1

## Pion absorption

(Buss EPJA 29 (2006))

first test of  $\pi N\Delta$  dynamics in nuclei – potential does matter



Necessary but not sufficient test. Pions get stuck mainly on surface. Nuclear volume is not tested. Photon- and electron- induced required



# Steps in the GiBUU code

## 2. Calculate in-medium cross section

- ▶ vacuum form factors for QE and RES production
- ▶ broadening of the resonances (collisional or Oset/Salsedo)
- ▶ in-medium modified cross section
- ▶ full in-medium kinematics
- ▶ Pauli blocking

typical result:

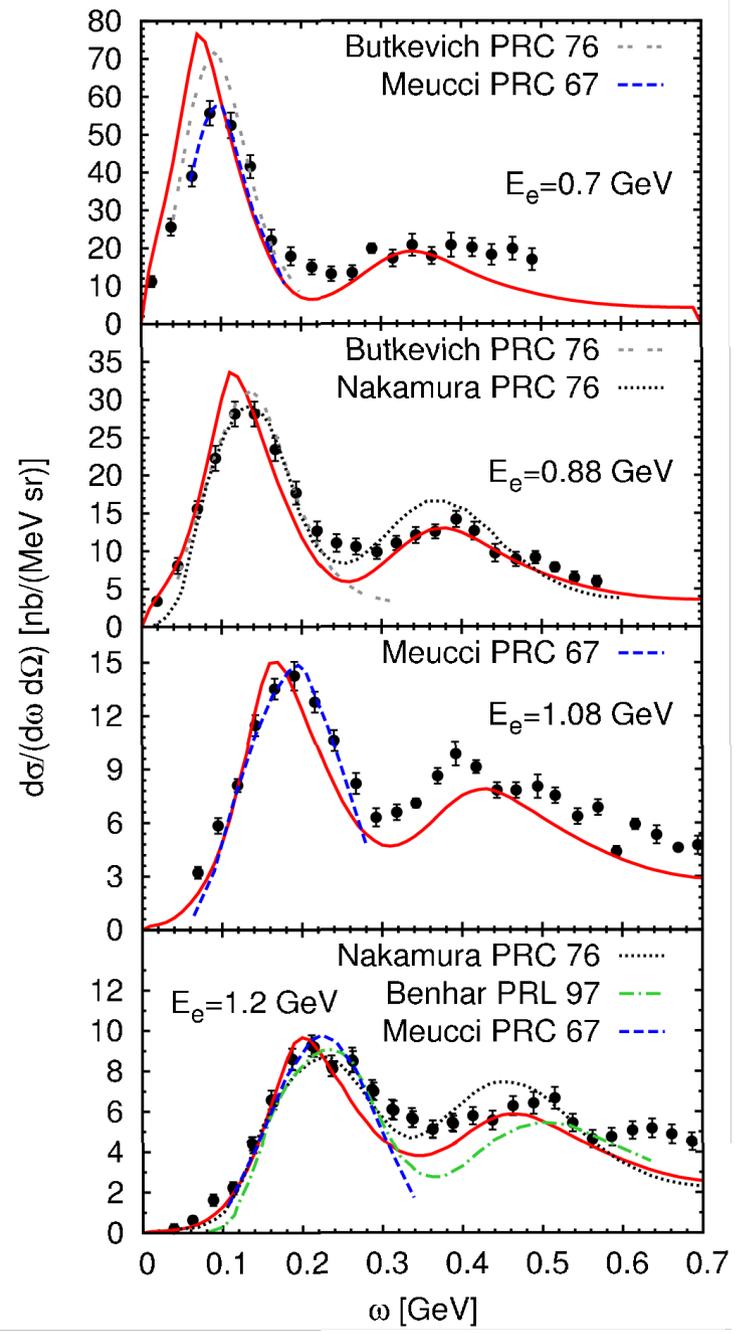
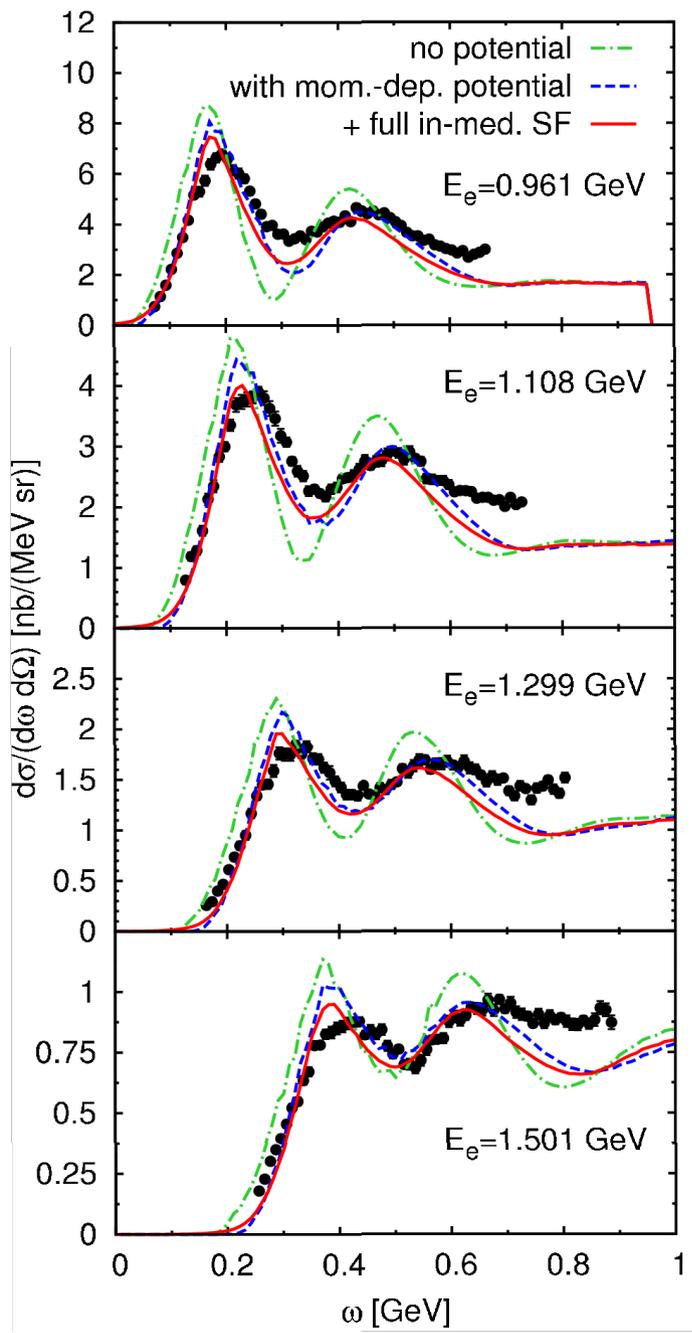
Medium cross sections are suppressed and smeared  
as compared to elementary



# Validation-2: electroproduction

$e + {}^{12}\text{C} \rightarrow e' + X, \theta = 37.5^\circ$

$e + {}^{16}\text{O} \rightarrow e' + X, \theta = 32^\circ$



El-m couplings from MAID (up-to-date unitary isobar model for photo- and electro-production, Mainz group)

Thus fully consistent with photo-induced reactions

Buss PRC 76

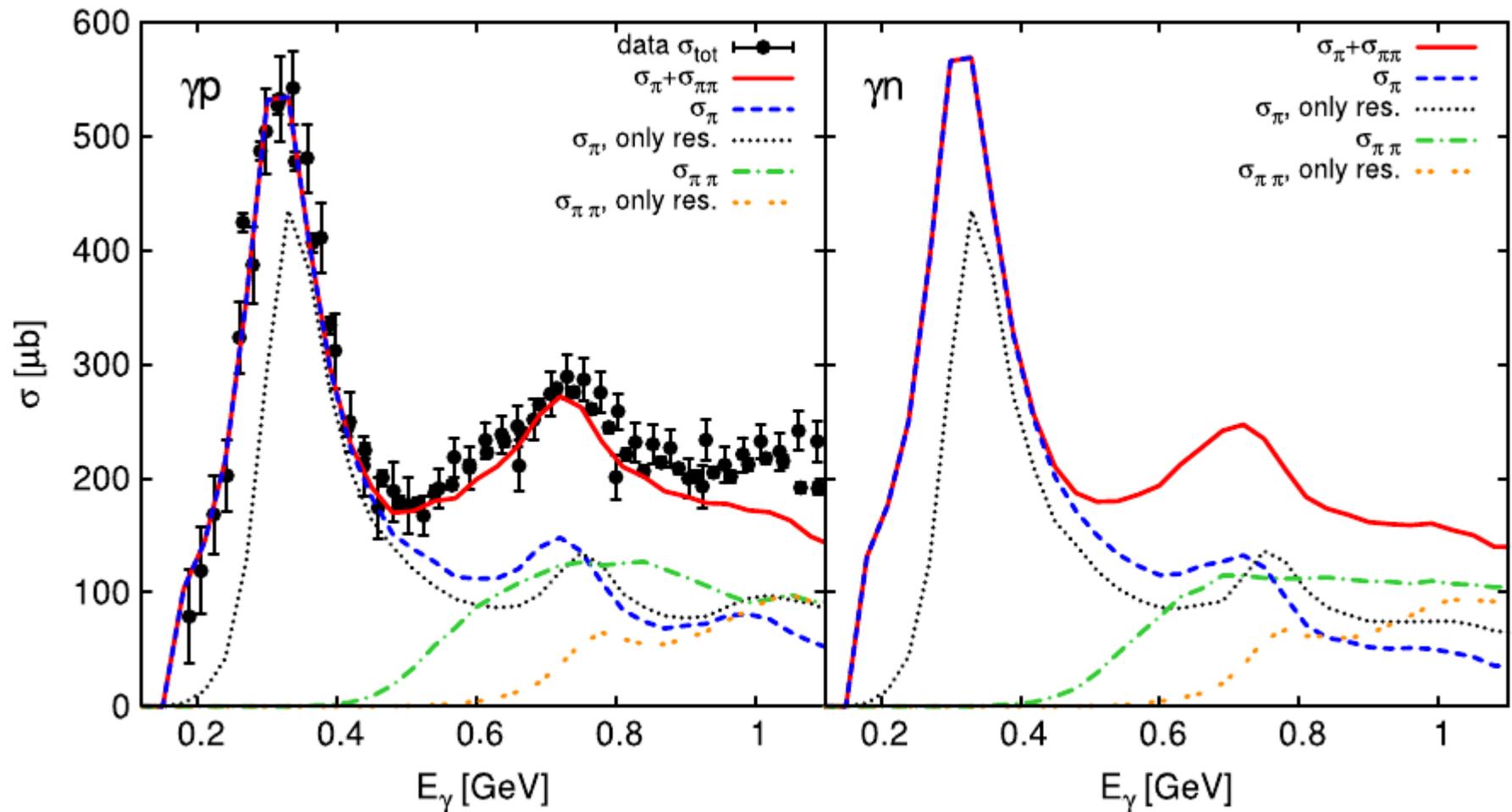
Leitner PRC 79

# Lesson-2: resonances on proton ...

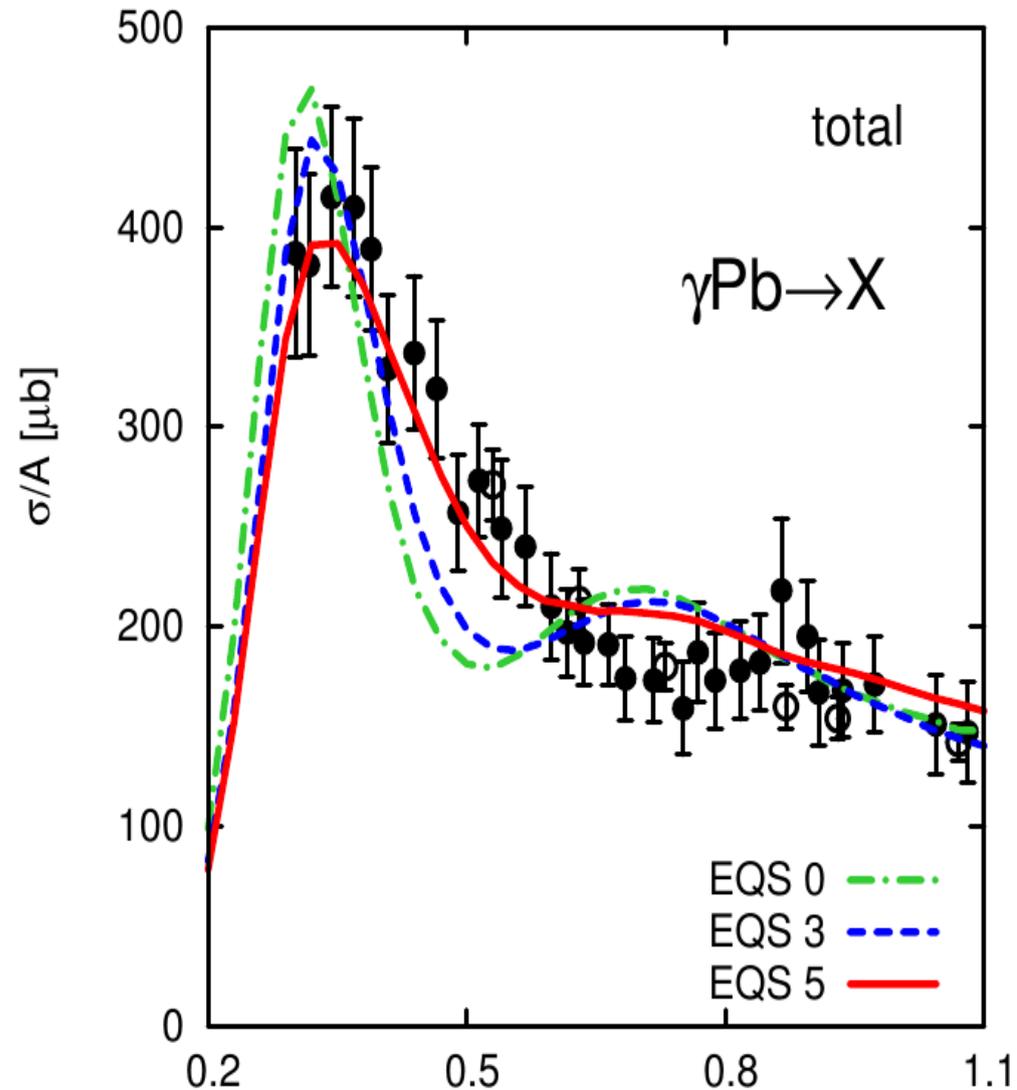
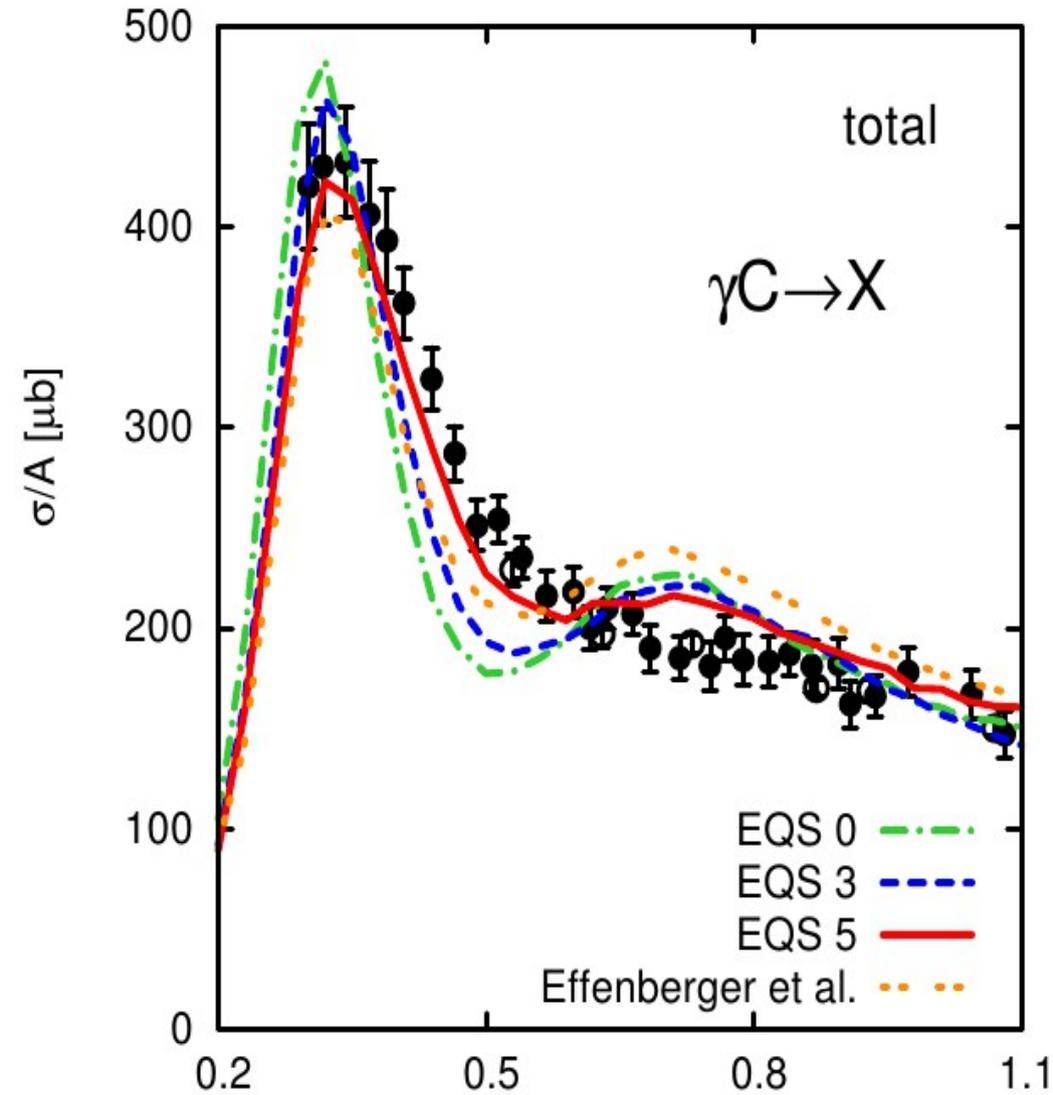
Photoabsorption on proton and neutron targets: Delta, 2nd and 3rd resonance regions are clearly seen

**Lesson-1: both resonances and**

**background 1- and 2-pion production matter**



# ... “disappear” on nuclei



... and remember, that **nuclear potential matter**  
and this is **validation-3**

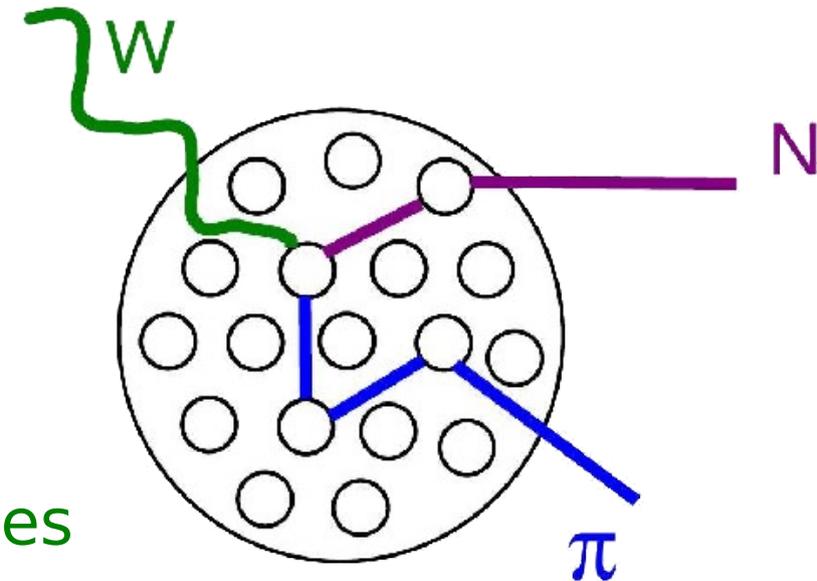
# Steps in the GiBUU code

3. Propagate outgoing particles through nucleus according to the Boltzmann-Uehling-Uhlenbeck equation

$$\frac{df_i}{dt} = \left( \partial_t + (\nabla_{\vec{p}} H_i) \nabla_{\vec{r}} - (\nabla_{\vec{r}} H_i) \nabla_{\vec{p}} \right) f_i(\vec{r}, \vec{p}, t) = I_{coll} [f_i, f_N, f_\pi, f_\Delta, \dots]$$

- ▶ 61 baryons and 21 mesons coupled through the collision integral
- ▶ decay of unstable particles
- ▶ elastic and inelastic 2- and 3- body scattering
- ▶ Pauli blocking for fermions

result: spectra of the outgoing particles with and without FSI are different

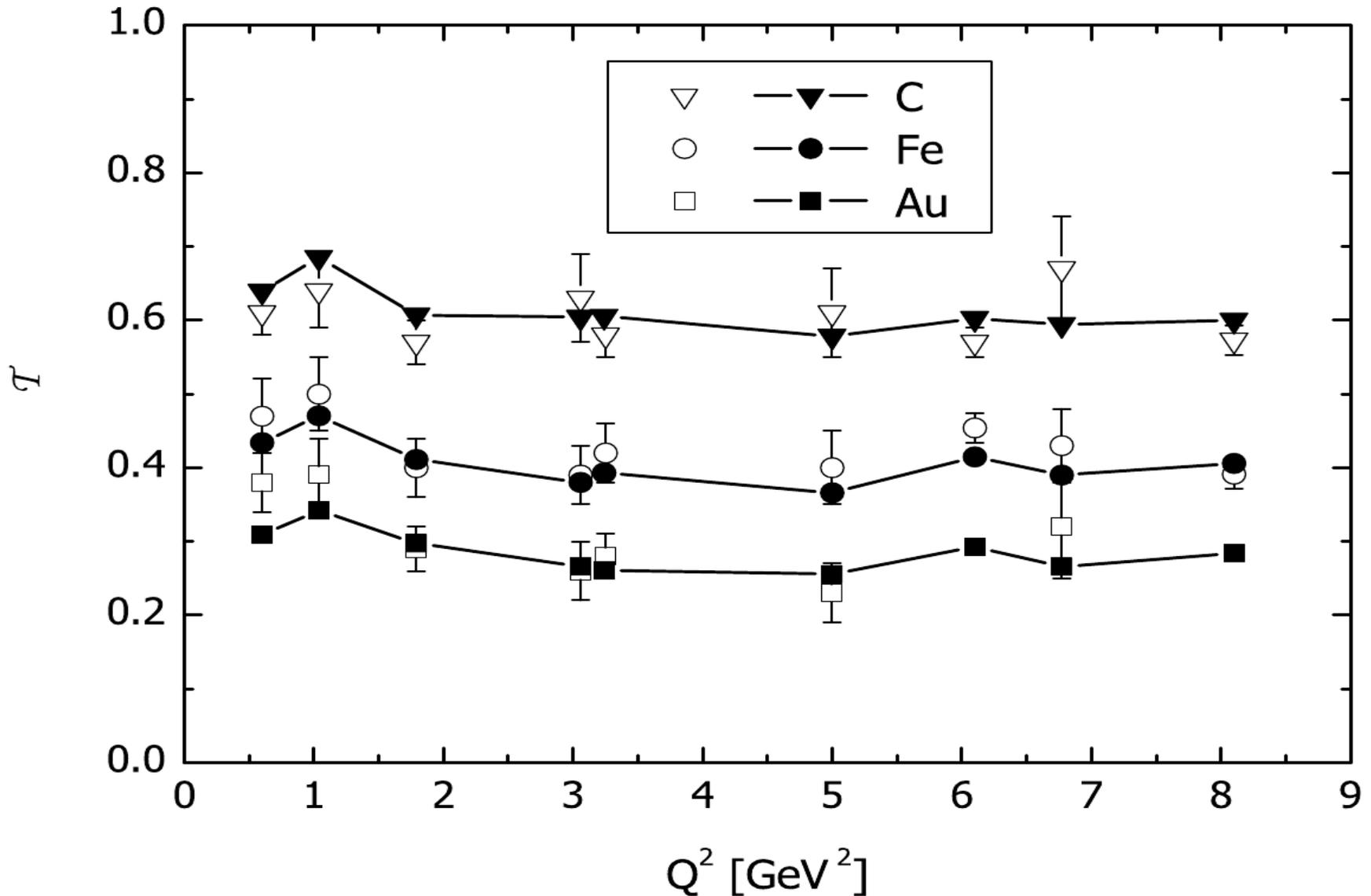


# Validation of the model -4

Transparency  $A(e, e' p)$  (Lehr NPA 699 (2002))

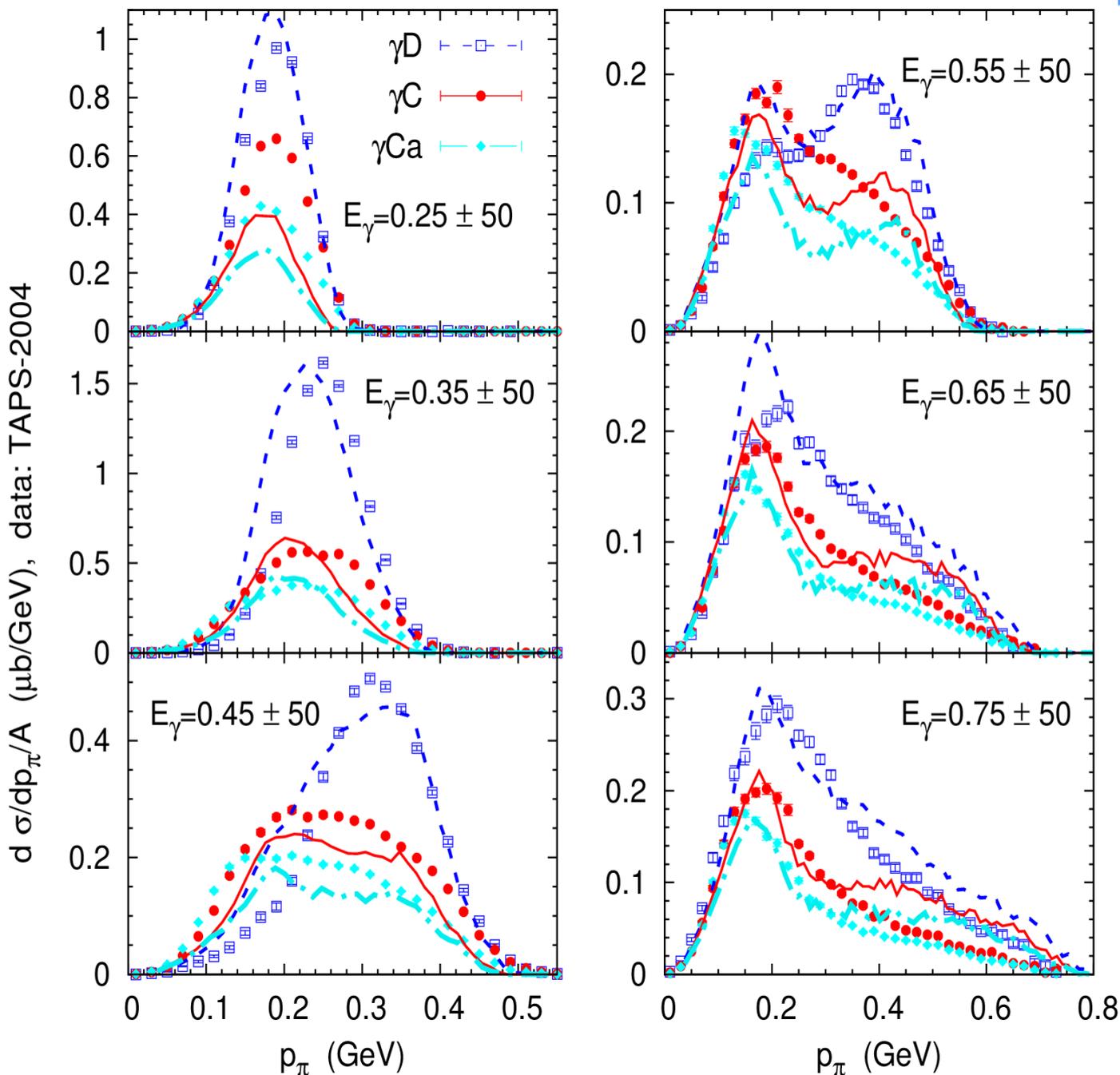
protons leaving the nucleus with/without Final State Interactions

data: Jlab 1995, 2002  
SLAC 1998





# Validation of the model -5



Neutral pion  
photoproduction  
on D, C, Ca

Krusche, Lehr,  
EPJA 22 (2004),

recalculated with  
the current version  
of the code

**Lesson-3: Final  
state interactions  
matter!**

# Final State Interactions of pions

## ◆ Absorption

$\pi N \rightarrow \Delta$  (dominant)  $\pi N \rightarrow \eta\Delta$  followed by  $\Delta N \rightarrow NN$

$\pi N \rightarrow R$  followed by  $RN \rightarrow NN$ ,  $\pi NN \rightarrow NN$

$\pi N \rightarrow \omega N, \phi N, \Sigma K, \Lambda K, K\bar{K}N$

## ◆ Charge exchange

$\pi^+ n \leftrightarrow \pi^0 p$

$\pi^0 n \leftrightarrow \pi^- p$

Hadronic couplings for FSI  
taken from PDG

## ◆ Redistribution of energy

$\pi N \rightarrow \pi N, \omega\pi N, \phi\pi N, \Sigma K\pi, \Lambda K\pi$

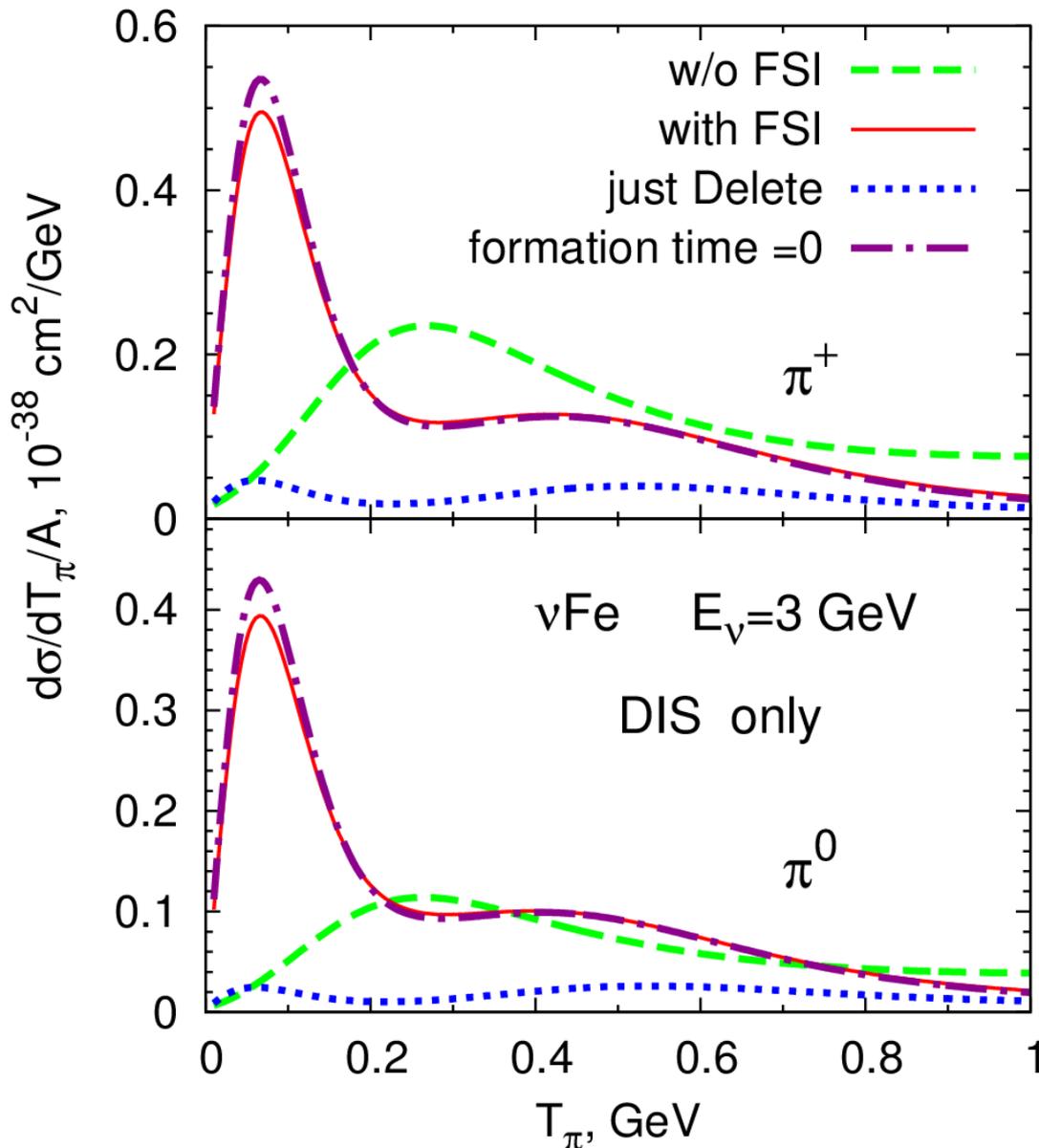
## ◆ Production

$NN \rightarrow \Delta N$  followed by  $\Delta \rightarrow \pi N$ , also via other R

$\omega N, \phi N \rightarrow \pi N$

$\pi N \rightarrow \pi\pi N$

are **NOT** those produced initially



Pion production **without** (w/o) and **with** Final State Interac.

A numerical experiment:  
If any particle produced in neutrino vertex undergoes a secondary interaction, **just Delete** it

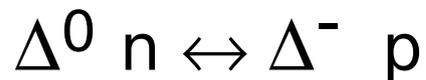
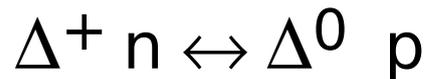
Result:  
Most of the pions reinteract while propagating throughout the nucleus

# *Final State Interactions of baryons*

- ◆ Absorption of  $\Delta$  and other resonances



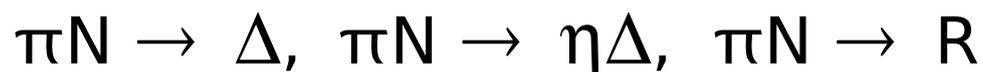
- ◆ Charge exchange



- ◆ Redistribution of energy



- ◆ Production



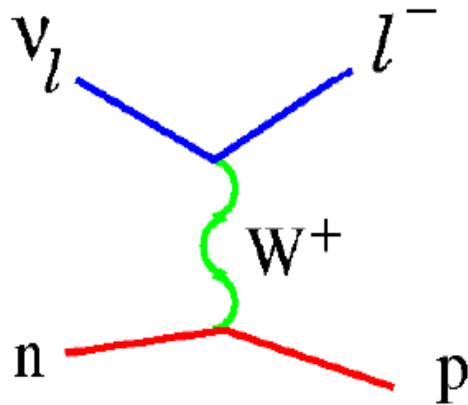


# ***Neutrino reactions at intermediate energies***

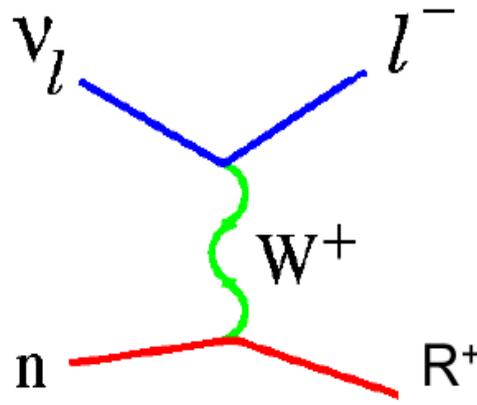


# Primary interactions

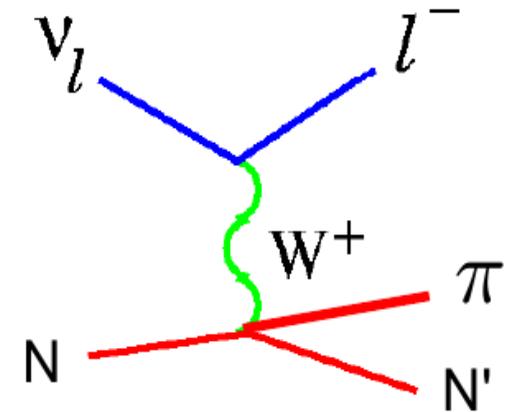
**QE**



**RES**



**single-π BG**

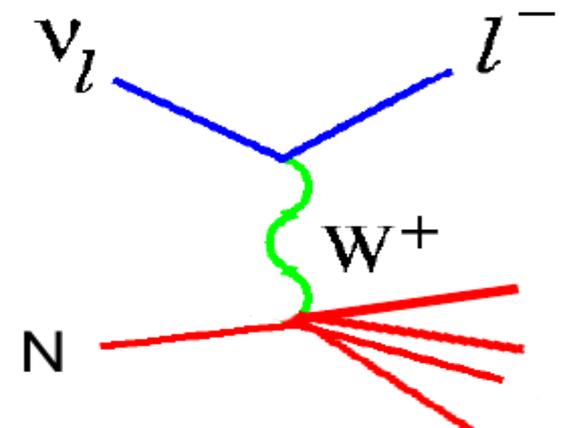


13 resonances

from 19 PDG 3\* and 4\*

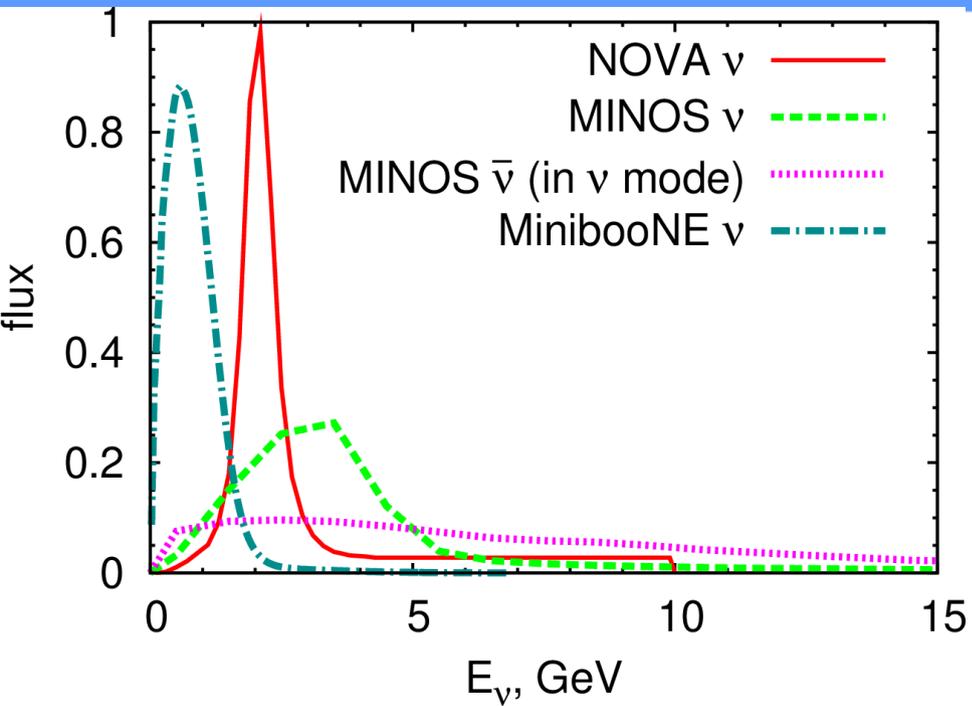
The electro-magnetic couplings of others are  
not known

**DIS**



via PYTHIA code

# Wide-band neutrino beams



All neutrino experiments necessarily use wide-band beams

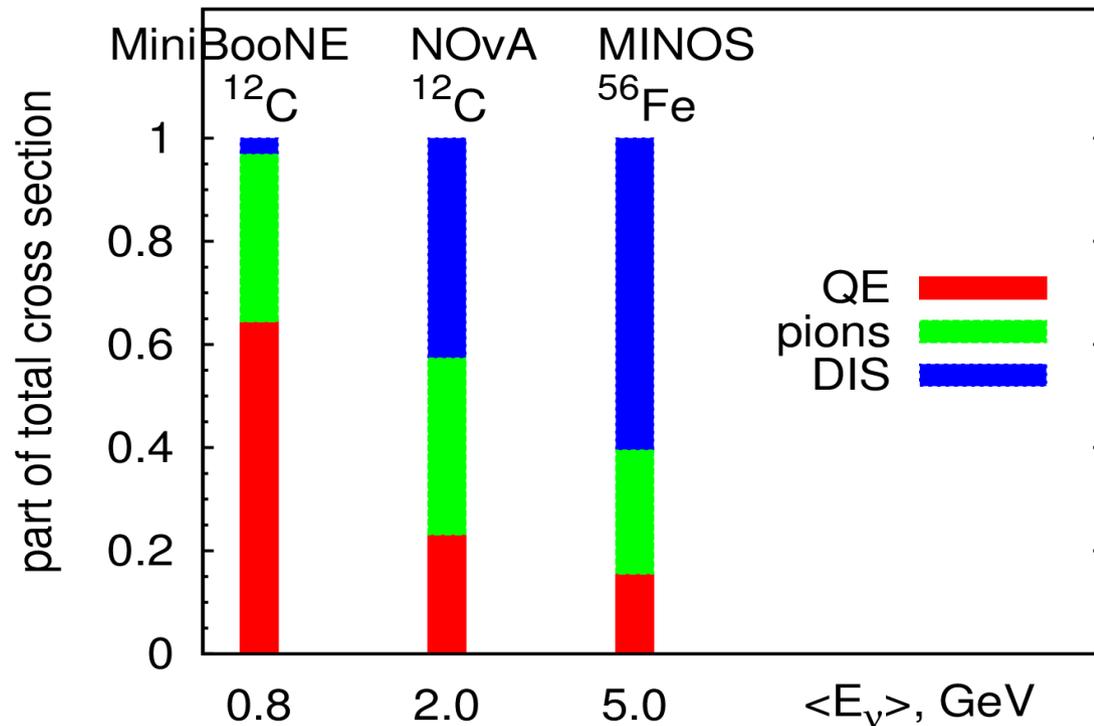
All reaction mechanisms ...

- ◆ quasielastic scattering (QE)
- ◆ pion production (resonance excitation, non-resonant background)
- ◆ DIS

... must be modeled equally well

No dominant process for any of the experiments

A realistic model must include all reaction mechanisms





# Elementary input

- QE: **vector FF** BBBA2007, **axial FF**  $M_A = 1$  GeV
- RES: **vector FF**: related to el-m FF by CVC, MAID parametriz (MAID, Drechsel EPJA 34: Mainz unitary isobar model for pion photo- and electroproduction on the nucleon; it provides the resonance helicity amplitudes, from which el-m transition form factors are derived; )  
**axial FF**: PCAC, fitted to ANL  $p\pi^+$  data
- Single- $\pi$  BG: **vector part** based on MAID, **axial part** fitted to ANL
- Joining RES and DIS

$W > 1.6 - 1.65$  GeV: DIS is smoothly turned ON

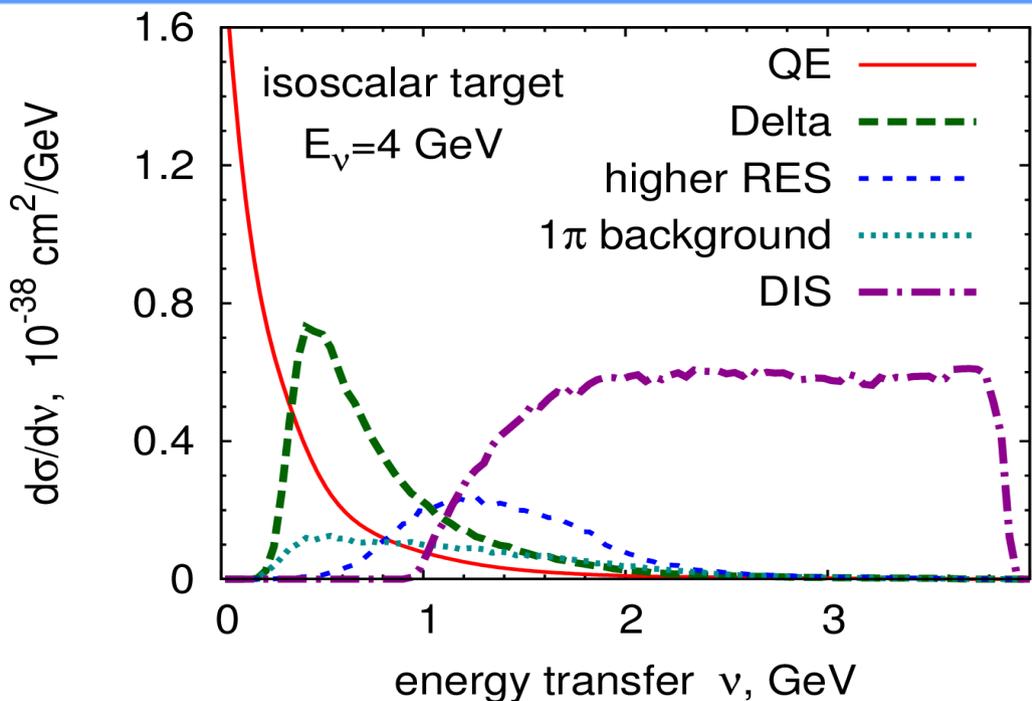
$W < 2.0 - 2.05$  GeV : RES are smoothly turned OFF

Motivated by fitting electron data

Double counting? NO Taking into account 2-, 3-, 4- ...pion, ... bgr

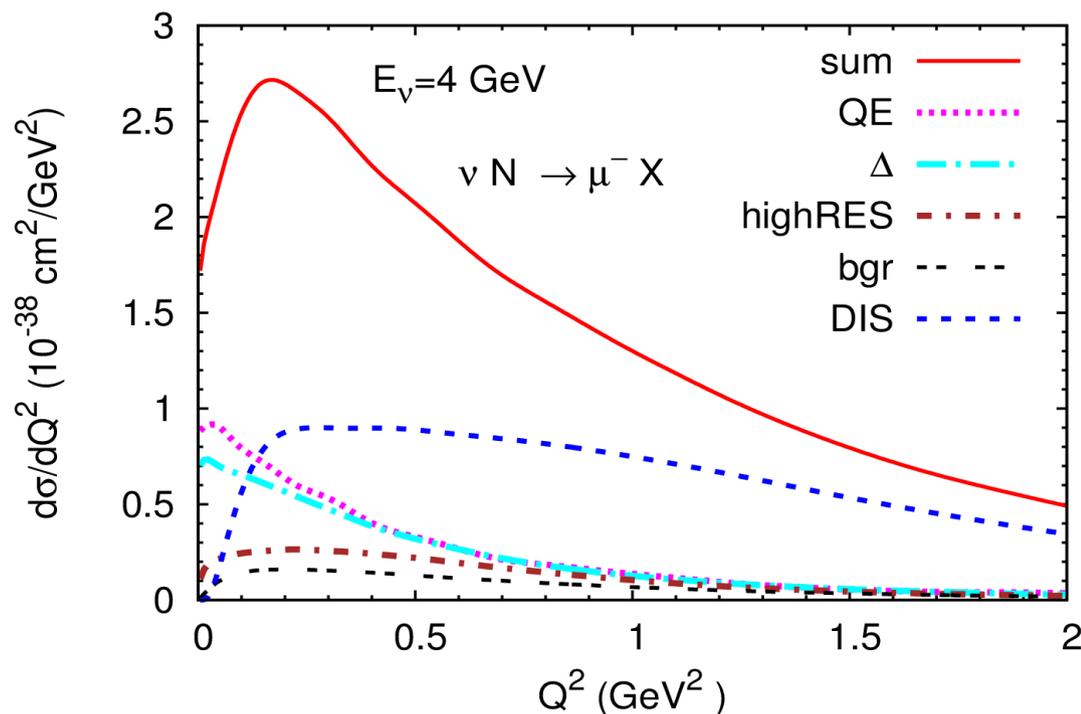


# Each channel contributes in SIS



PRC76 "Neutrino- and antineutrino-induced reactions with nuclei between 1 and 50 GeV"

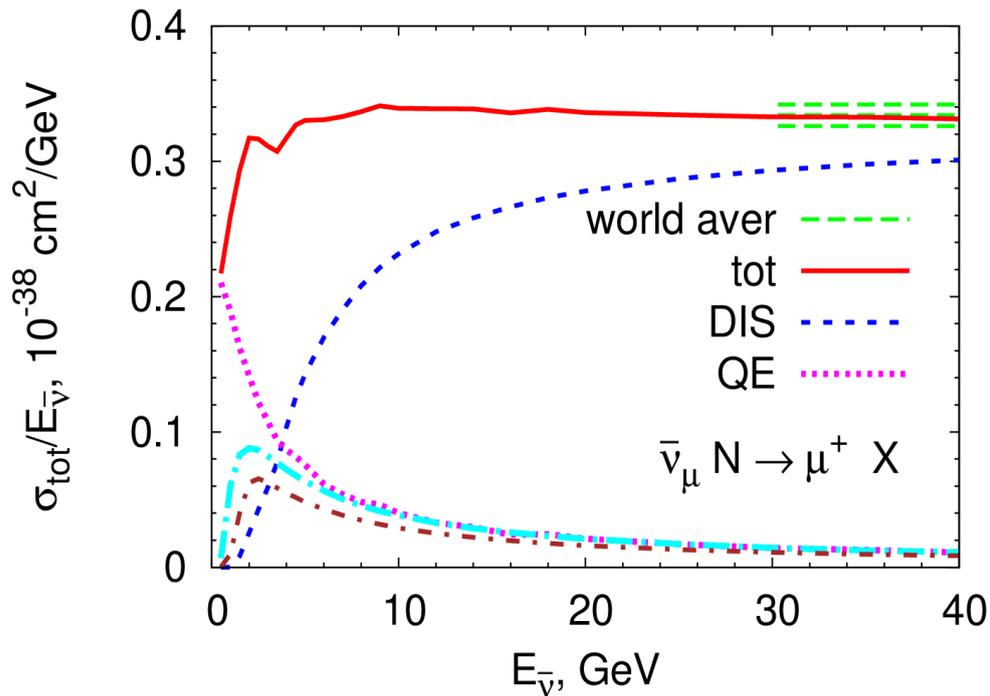
All processes contribute  
 in the SIS region,  
 overlapping



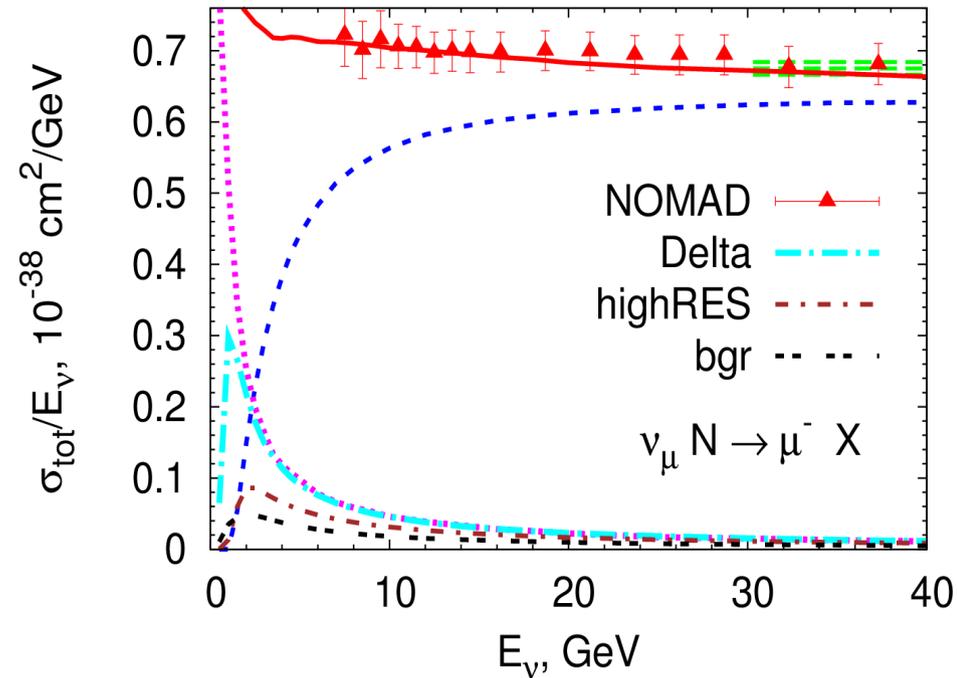


# Isoscalar cross section

antineutrino



neutrino



Dip at 3-4 GeV ? Minor in neutrino reaction

Maybe because the 2-pion background is missing (recall **lesson-1**)

Seen in antineutrino reaction

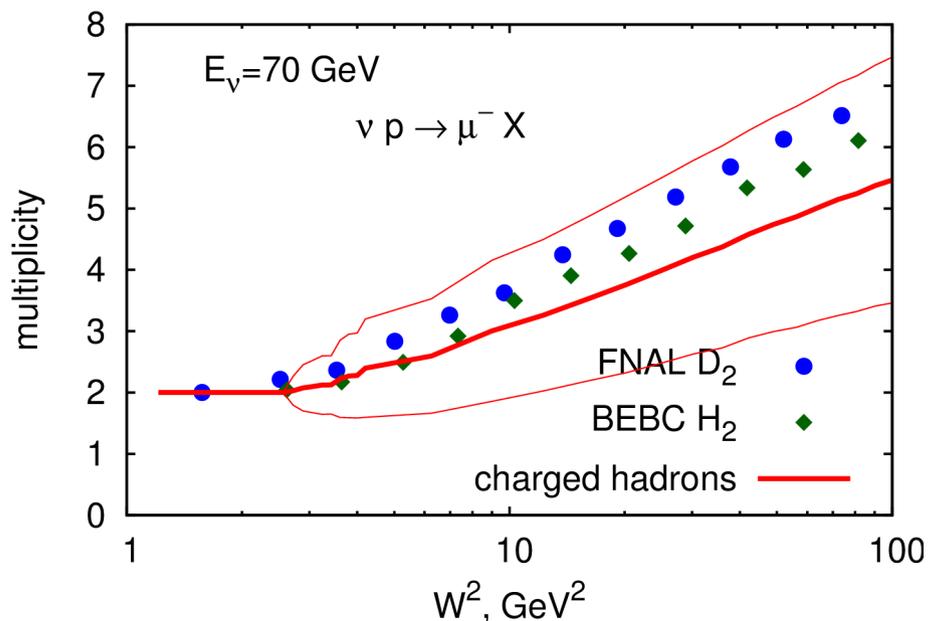
Both 1- and 2-pion background are missing in the model

SIS region is sensitive to each contribution



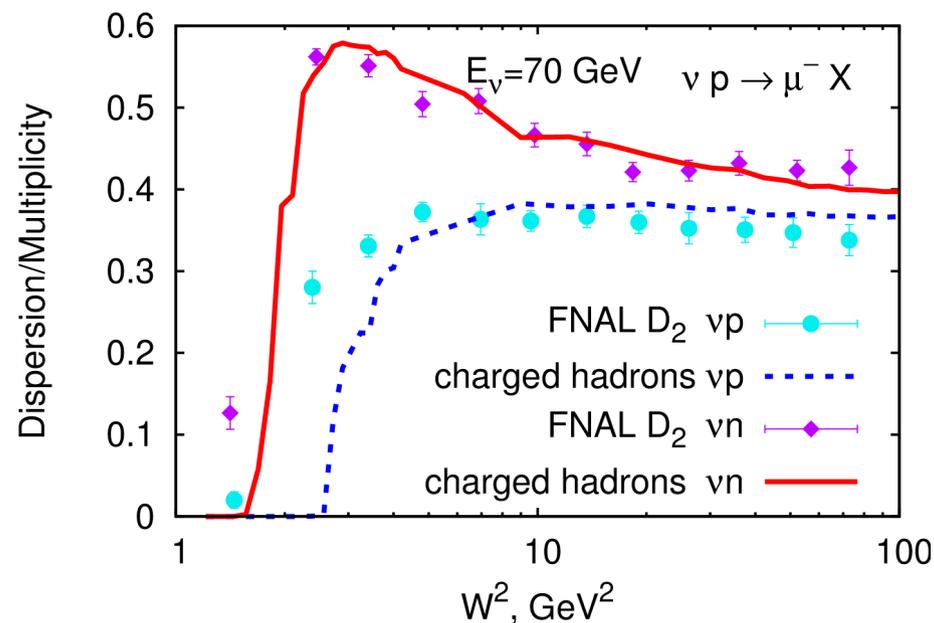
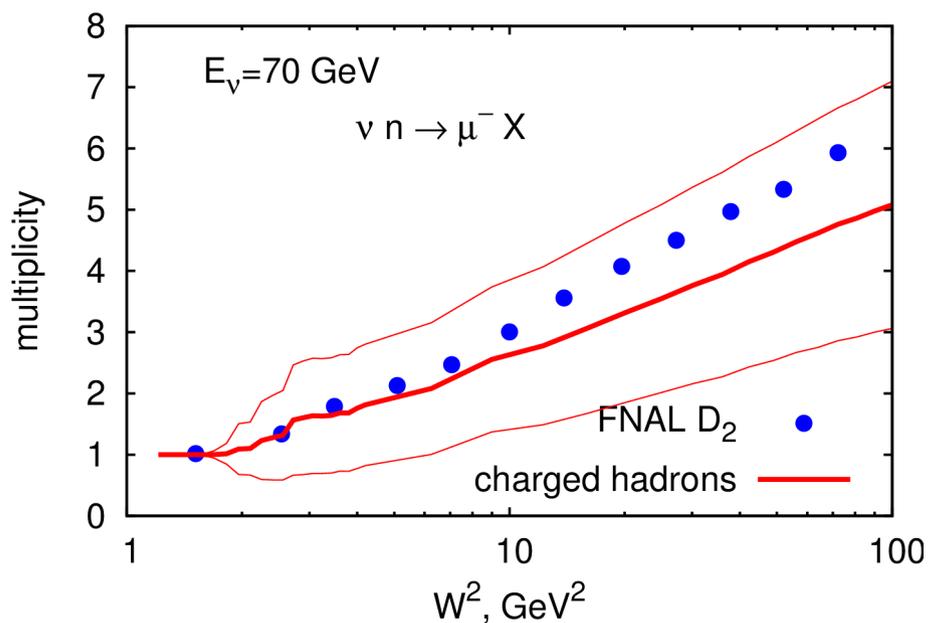
# Multiplicities

## independent check of your model in SIS region

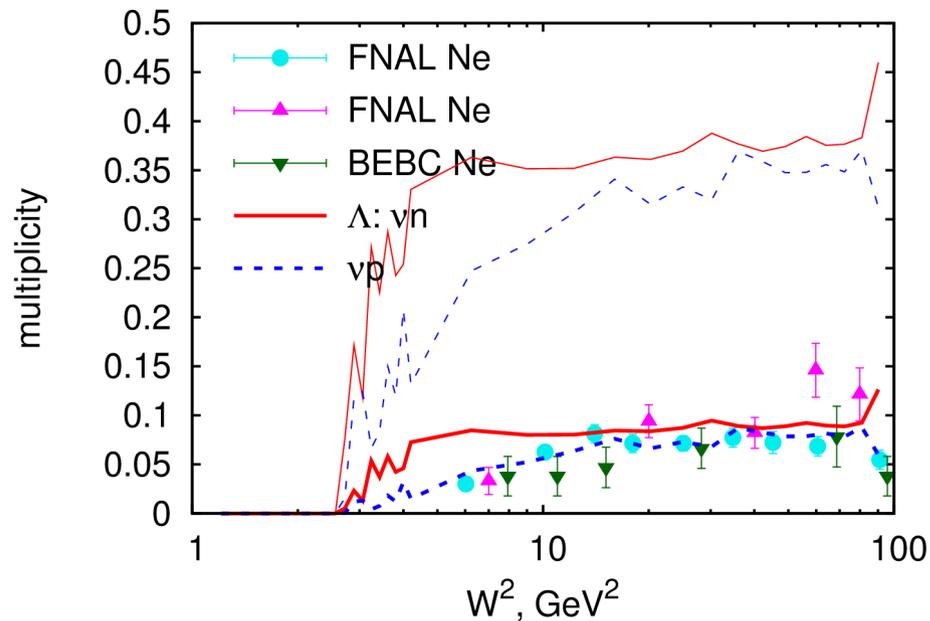
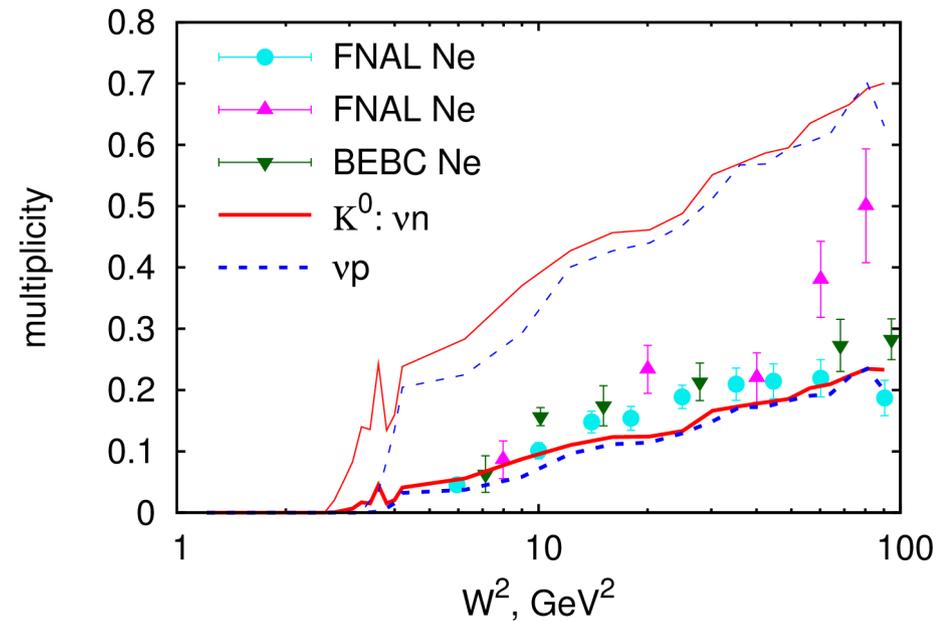
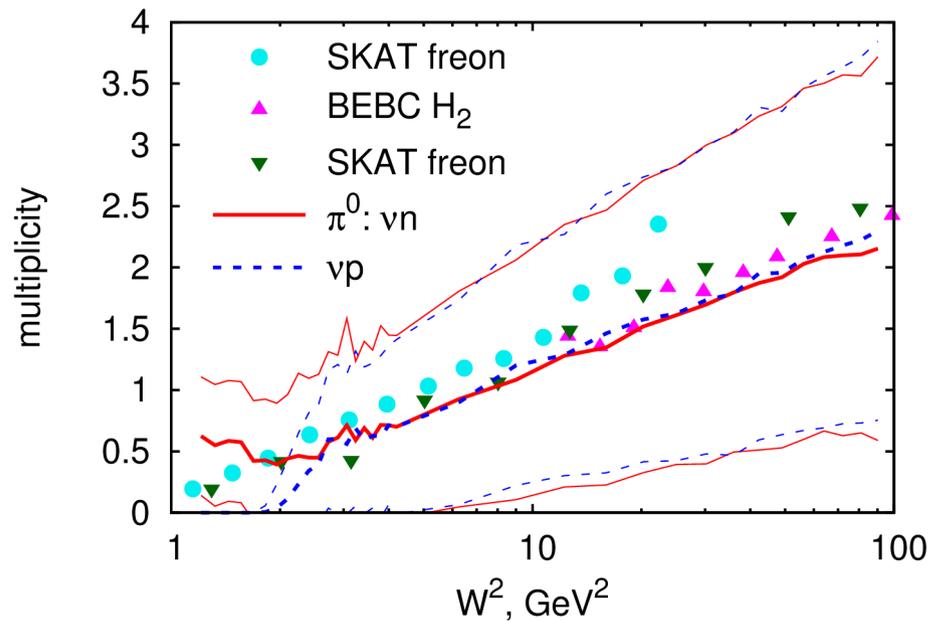


first performed for GENIE in [EPJC 63 \(2009\)](#) “A hadronization model for few-GEV neutrino interactions”

Here the results (average multiplicity  $\pm$  dispersion) as calculated within GiBUU ( **PRELIMINARY** )



# More multiplicities ...



Do it yourself:  
Check your favorite model

Even more plots in  
EPJC63 cited above



# ? Medium DIS cross section ?

Medium effects for resonances are treated with default parameters of the GiBUU, no fine tuning; no medium effects for background

DIS? PYTHIA code calculates *free* DIS cross section

We should somehow “*remove*” (or do not remove) *in-medium potential* in order to provide the input kinematics for PYTHIA

No unique prescription how to do this. Several possibilities:

F-NO, F-CM, F-THRE

No difference between them for electroproduction

Neutrinos:

Difference between them is the *intrinsic uncertainty* of the GiBUU



For comparison

Calculation without microscopic nuclear effects  
(mean-field potential and Fermi motion switched off),  
but with medium Parton Distribution Functions

K.J. Eskola, V.J. Kolhinen and C.A. Salgado,

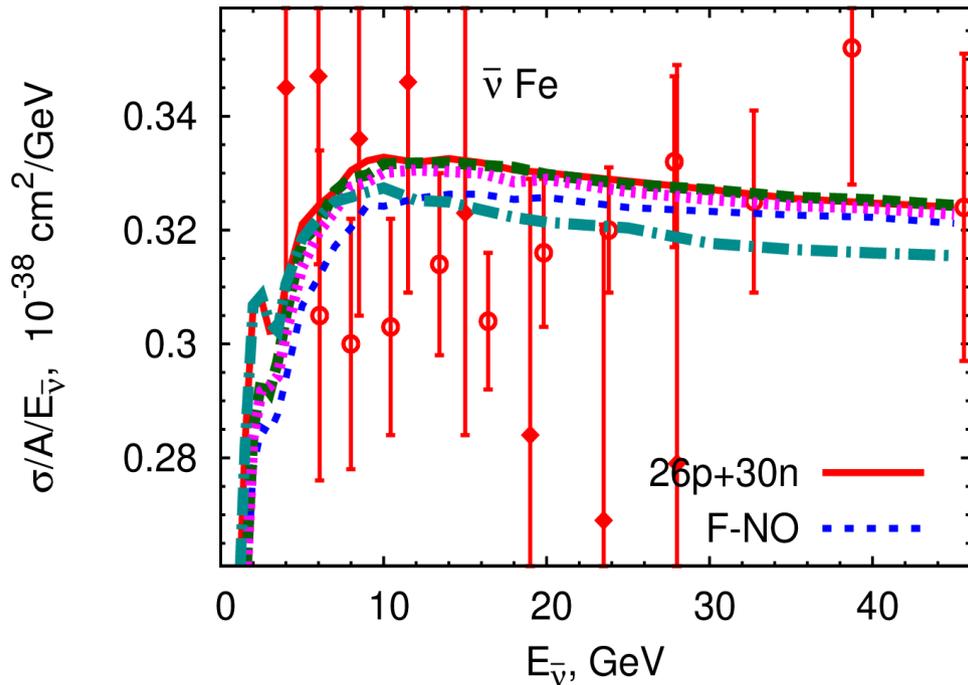
"The scale dependent nuclear effects in parton distributions for  
practical applications", Eur. Phys. J. C9 (1999) 61

“nuclear PDF”

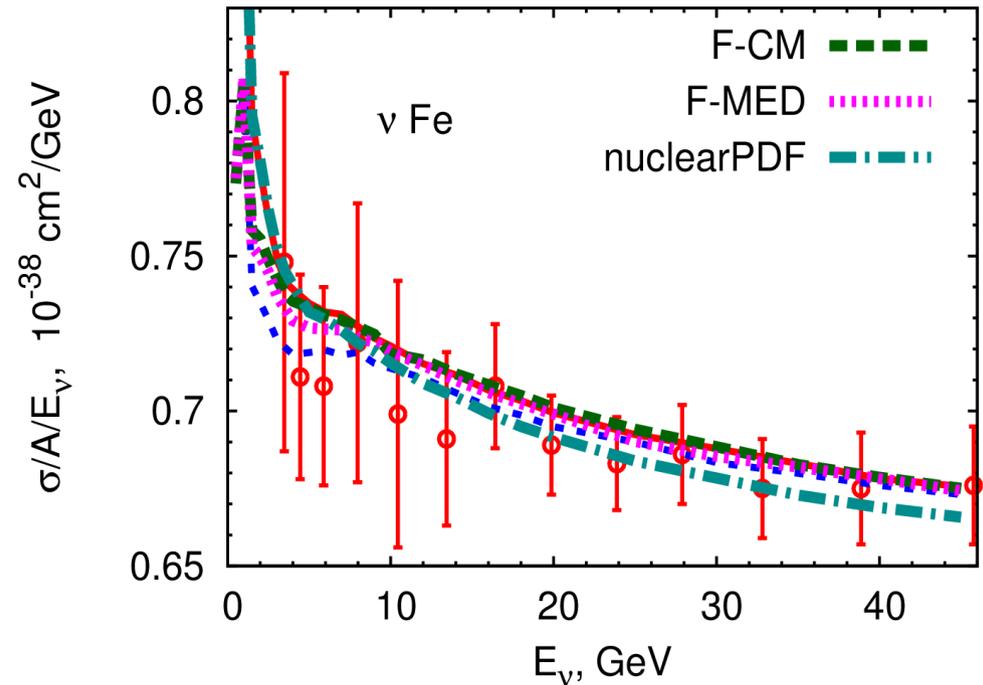
# Medium DIS cross section

GiBUU uncertainty in DIS nuclear effects  $\sim 2\%$

antineutrino



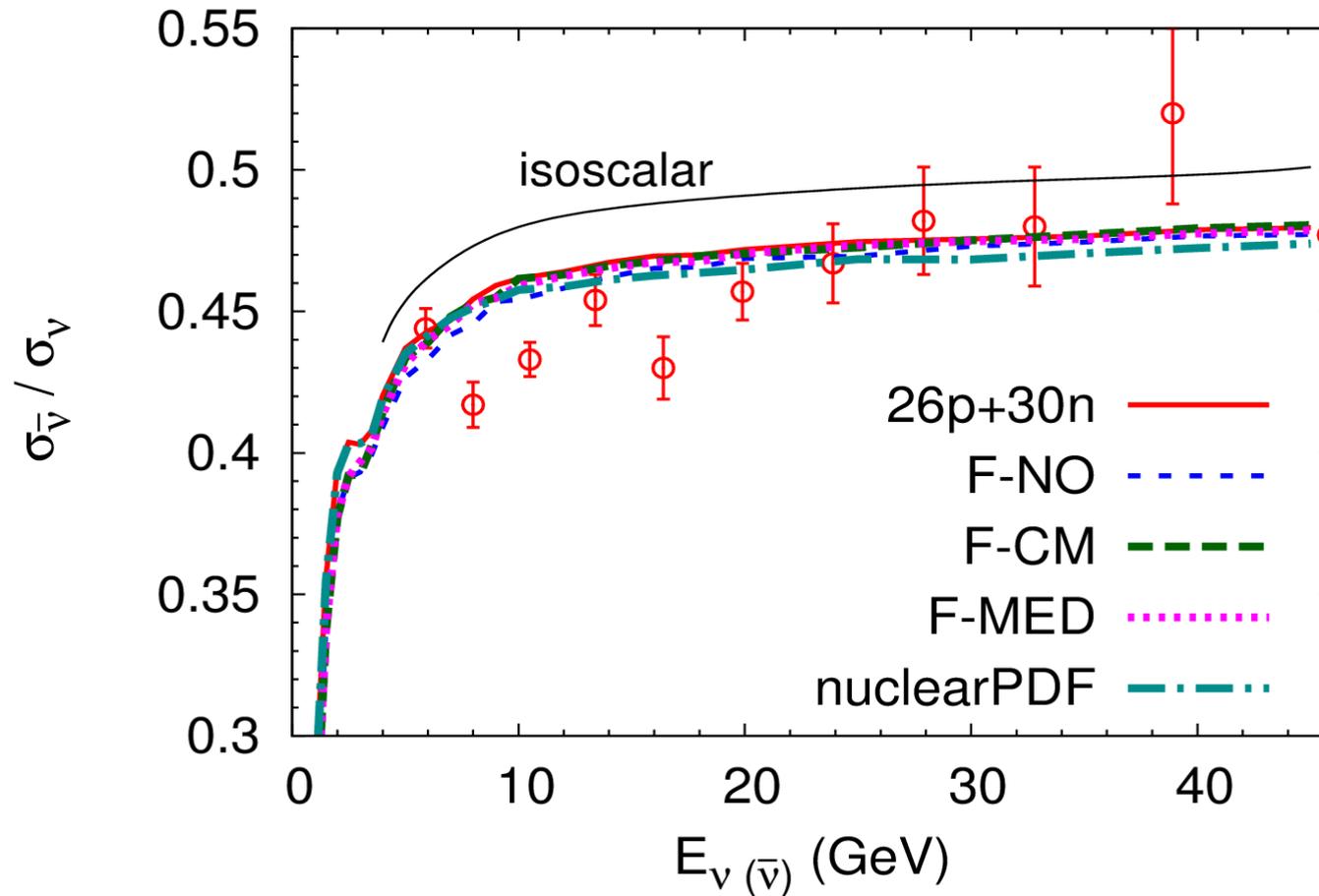
neutrino



Data: MINOS, IHEP-JINR

# Medium DIS cross section

Neutrino/antineutrino ratio is remarkably insensitive to nuclear effects



Data: MINOS

# Medium $W$ - distributions

Are we completely satisfied with our model?

Maybe not.

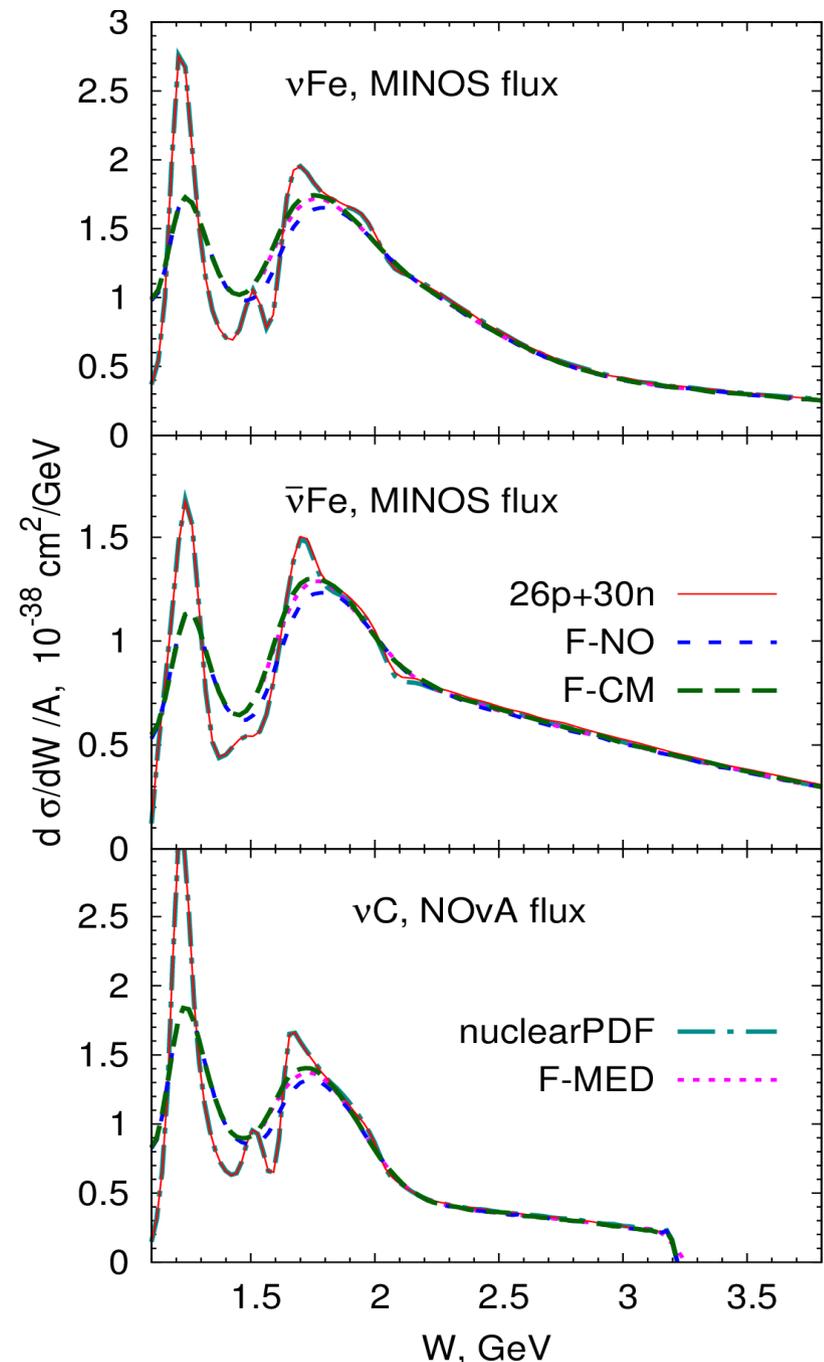
Recall **lesson-2**:  
Resonances “disappear” in medium

Indeed, the 2nd resonance region is seen for “free” xsec, but not for Fe/C

However,  
Shouldn't the dip between the Delta and the rest be even more shallow?

Aren't we missing 2pi-background?  
(and 1pi-bgr for antineutrinos?)

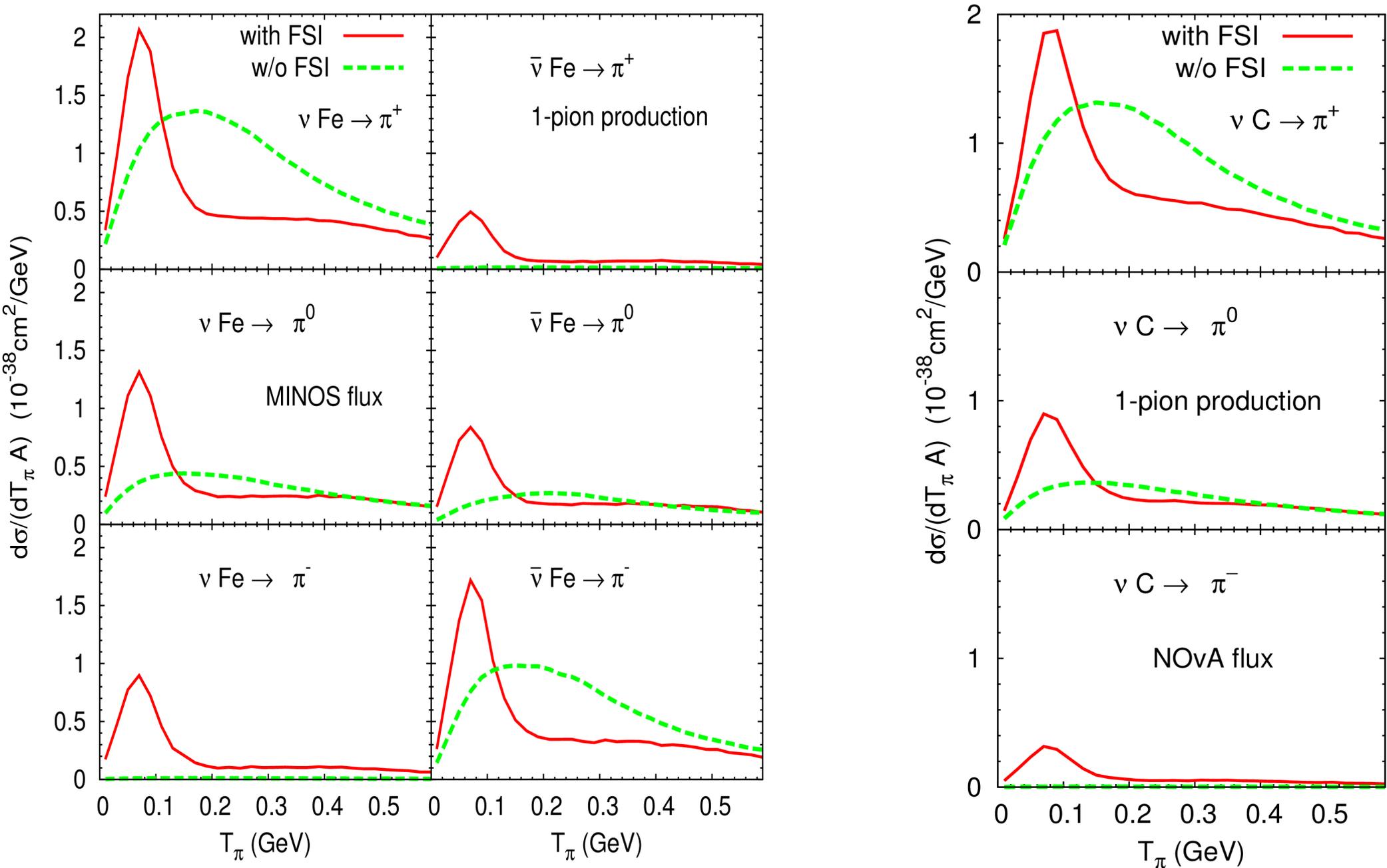
Only experiment can answer



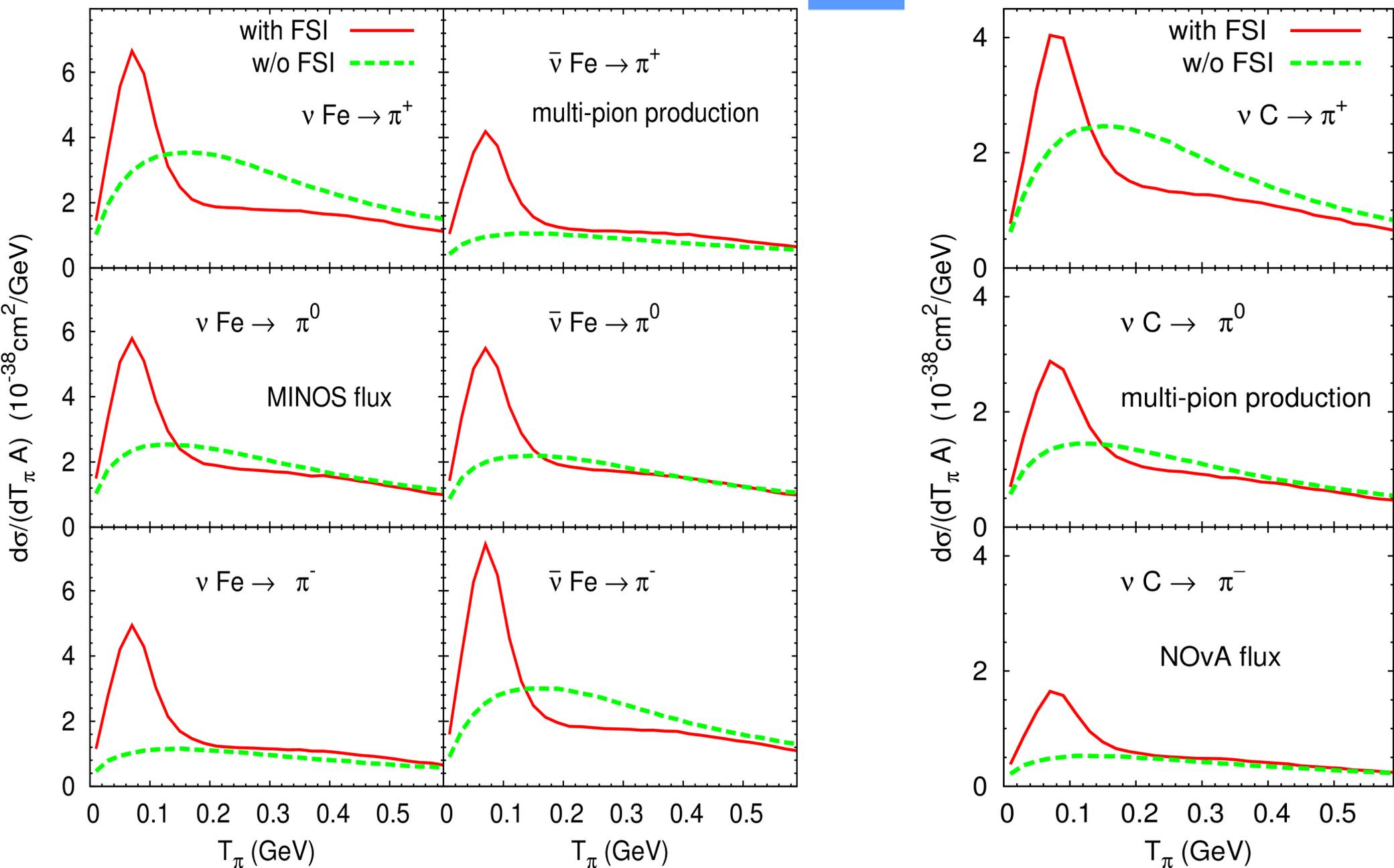


# 1-Pion produc: with and w/o FSI

Recall **lesson-3**: Final State Interactions **matter**



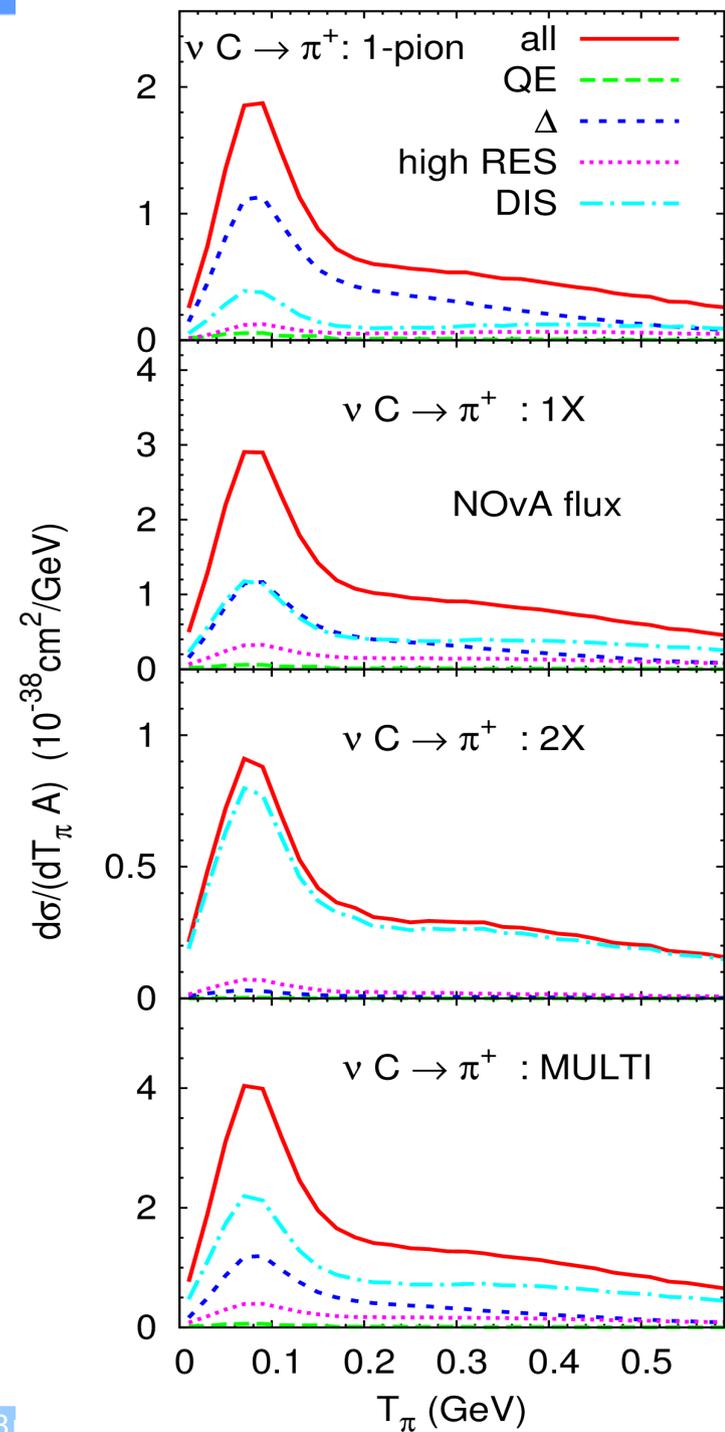
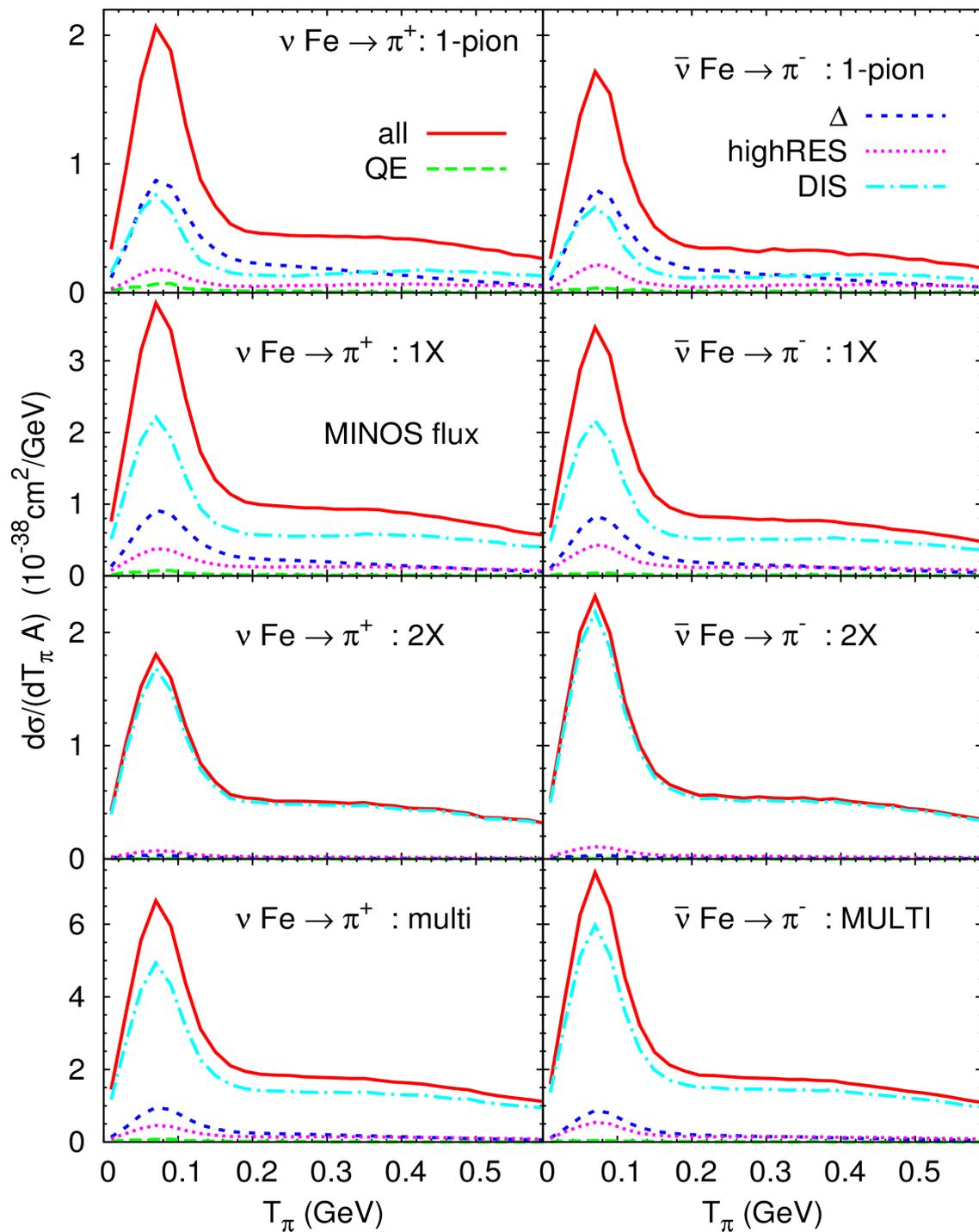
# Multi-Pion produc: with and w/o FSI



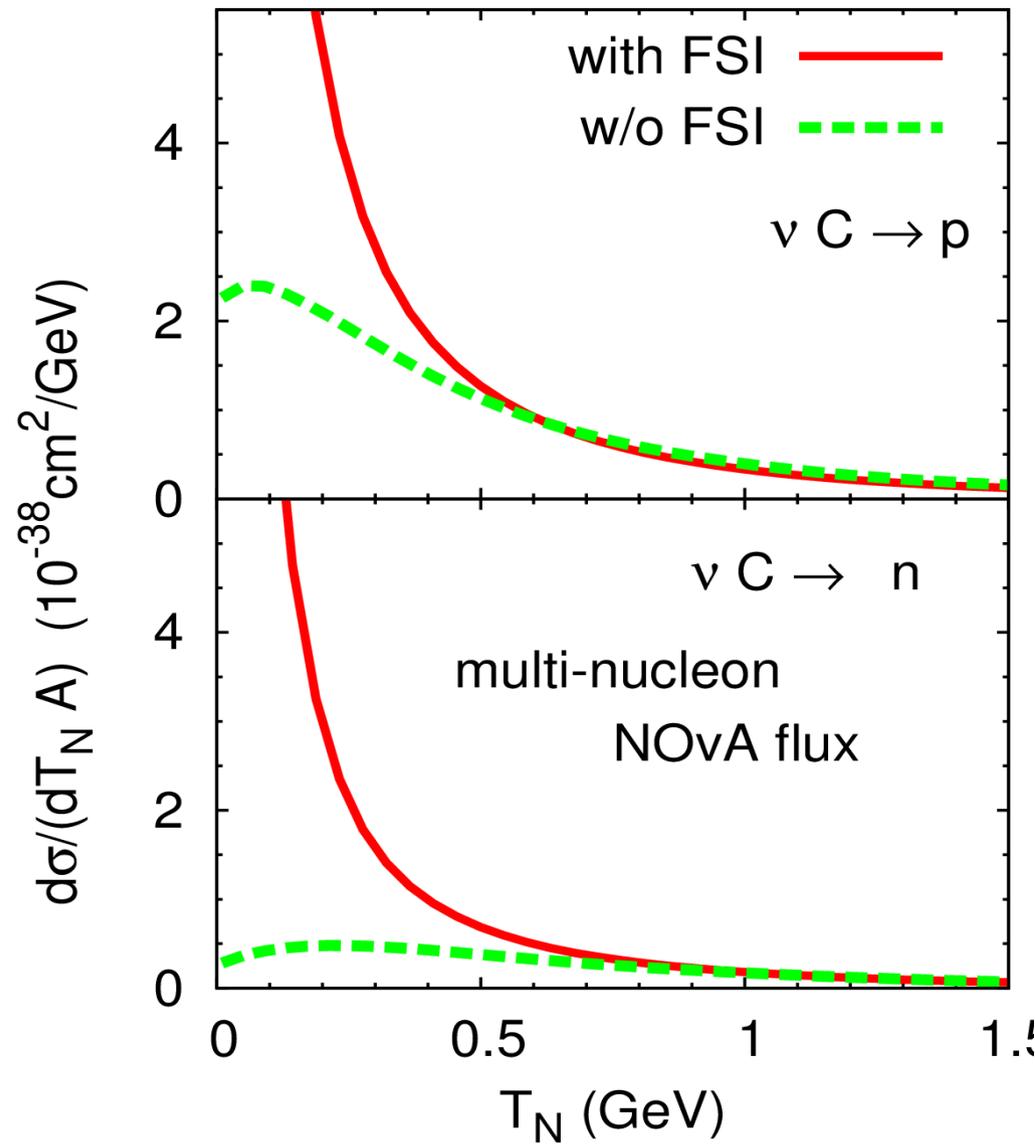
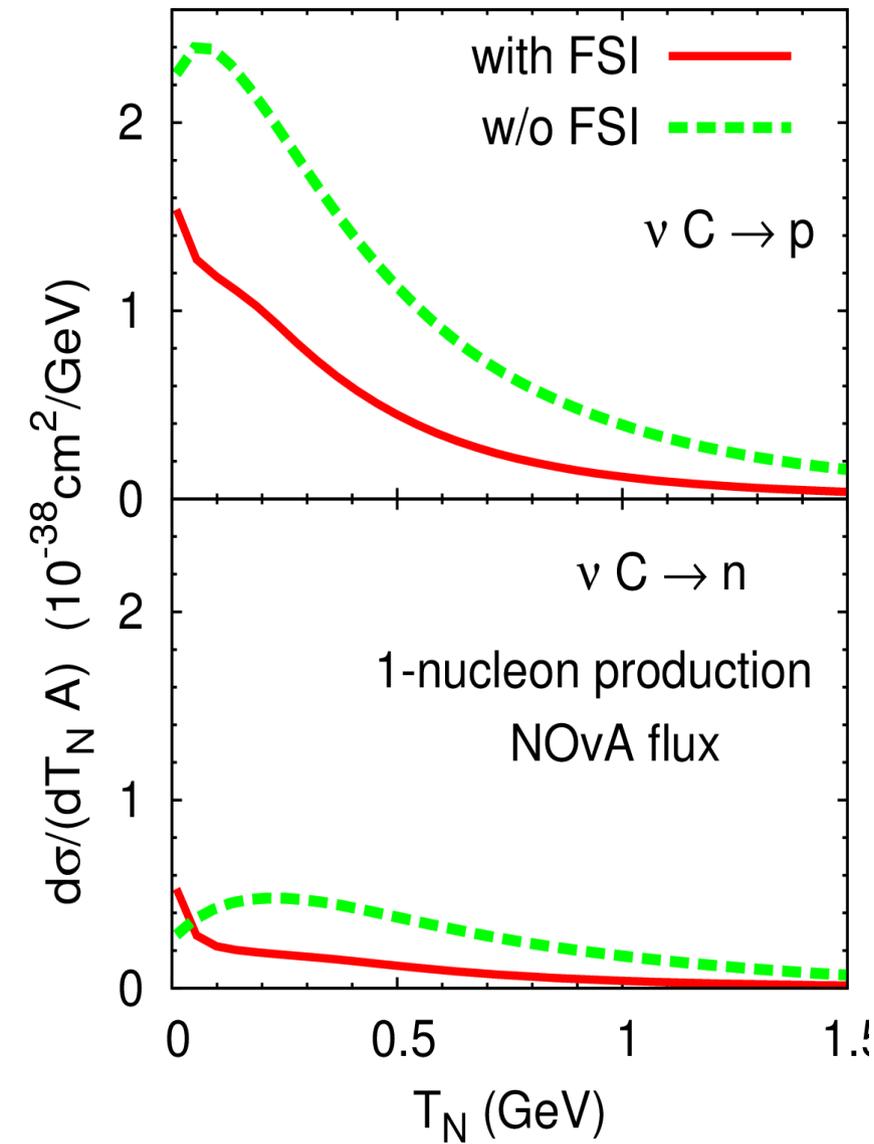
MINOS flux: predictions for MinervA experiment



# Pions: origins



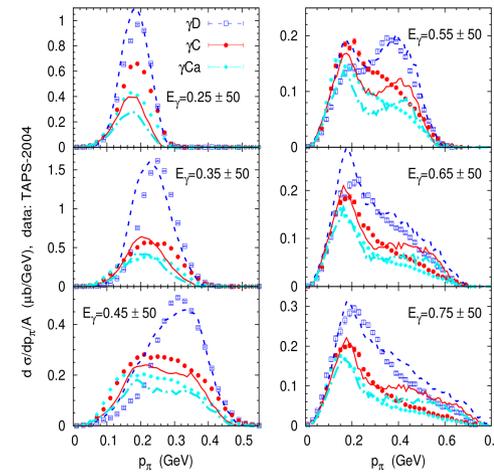
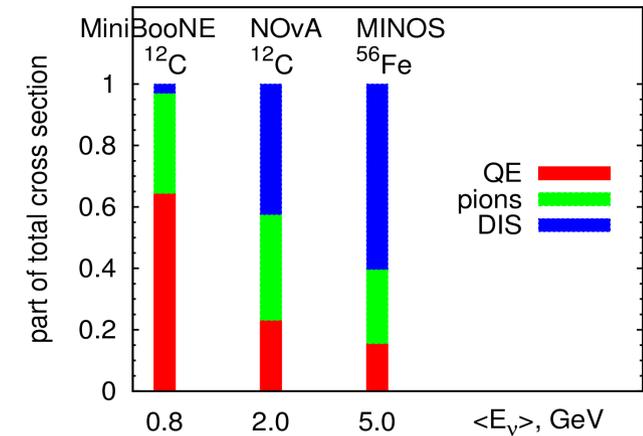
# Nucleons: with and w/o FSI





# Conclusions

- In your generator, have all the relevant processes under control, especially in the SIS region
- Experiments are sitting in SIS
- Check your nuclear dynamics with electron- and photon- induced
- Pions and nucleons in your detector are not those produced in the initial interaction vertex
- Elementary inputs are needed (1-, 2-pion background at SIS)





# *Backup slides*



# Code ...

**is written in Fortran 95/2003** (very different from Fortran77)

- modern features: function overloading, allocatable arrays, optional arguments, possibility to derive new types
- module structure with philosophy that each module should initialize itself on the first call; private and public variables

## Can one change the parameters?

many of them **YES** reading data out of the “jobcard”

```
$neutrino_induced  
includeQE=.true.  
includeRES=.true.  
includeDIS=.true.  
$end
```

ASCII file



# Kaons:

Primary interactions (before FSI):

- Kaon production in low-energy region not implemented (xsec  $\sim 10^{-41}$  cm<sup>2</sup> flattens out quickly)
- Kaons only from DIS (xsec grows with energy)

◆ FSI:

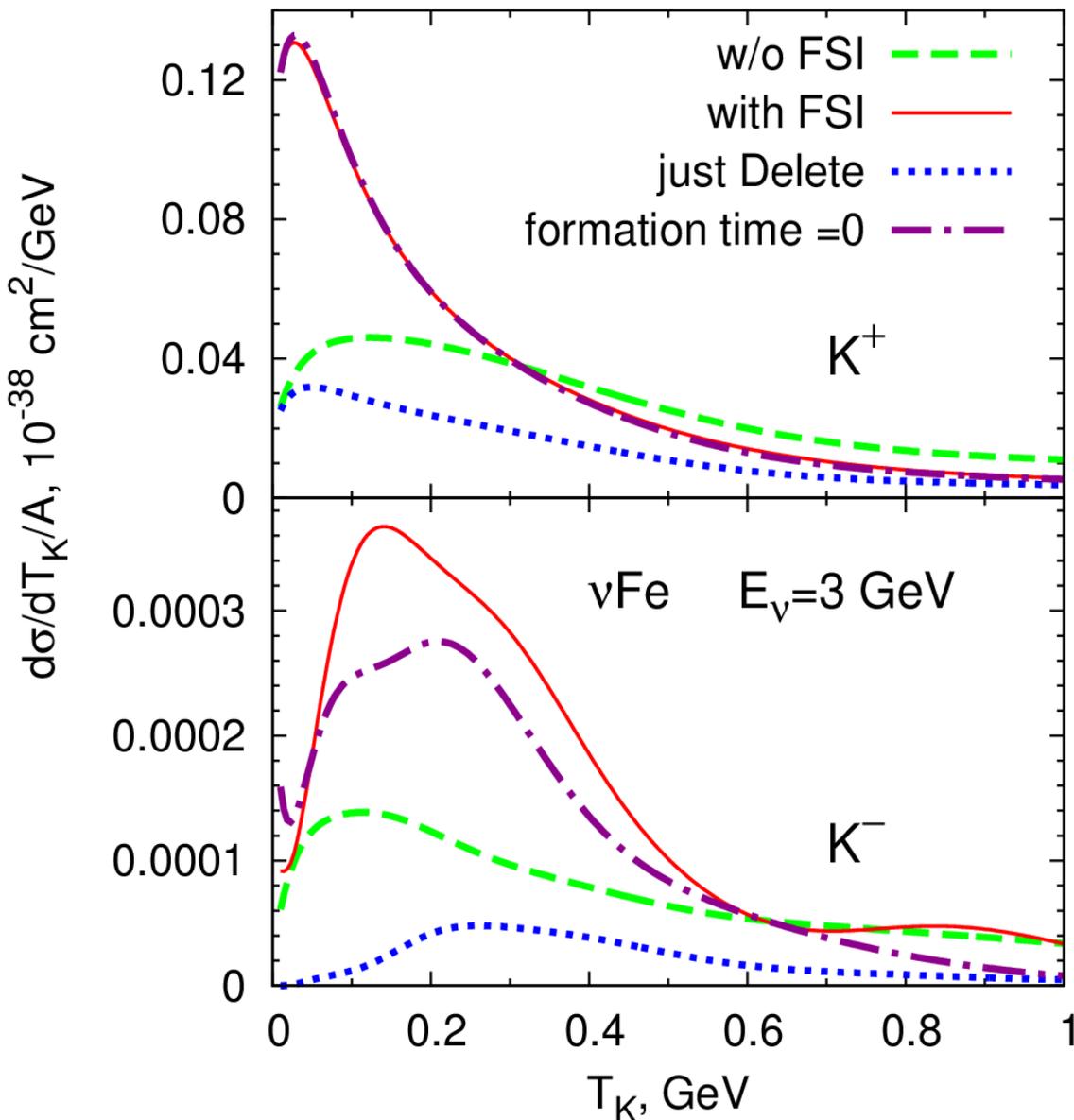
- Outgoing pions/nucleons/resonances can rescatter



- Kaons can rescatter  $KN \rightarrow KN, \Lambda \pi, \Sigma \pi$

# Kaons seen in the detector

are **NOT** those produced initially



Kaon production **without** (w/o) and **with** Final State Interactions

A numerical experiment:  
If any particle produced in neutrino vertex undergoes a secondary interaction, **just Delete** it

**Result:**  
Most of the kaons reinteract while propagating throughout the nucleus