

# SuperScaling

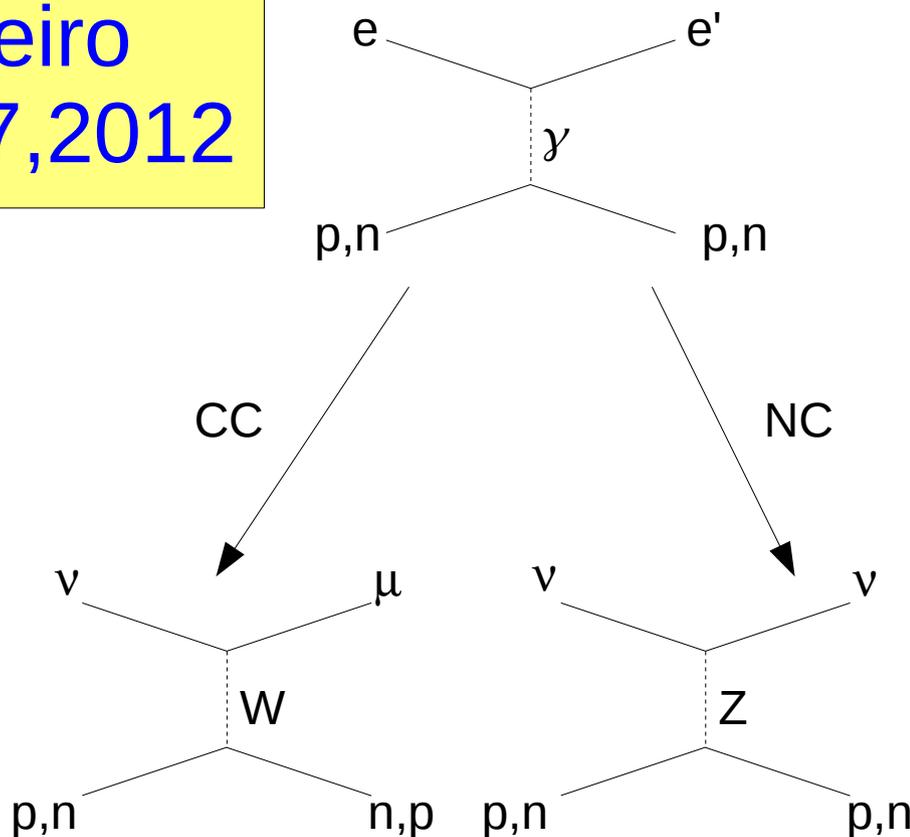
in electron-nucleus scattering  
and its link to CC and NC QE  
neutrino-nucleus scattering

Maria Barbaro, University of Turin and INFN, ITALY

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## Collaboration:

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T.W. Donnelly (MIT, USA)  
R. Gonzalez-Jimenez (Sevilla, Spain)  
M. Ivanov (Sofia, Bulgaria)  
I. Sick (Basel, Switzerland)  
J.M. Udias (Madrid, Spain)  
C. Williamson (MIT, USA)



# Outline

- Review of SuperScaling in quasi-elastic inclusive electron scattering
- Connecting electron and neutrino scattering via SuperScaling: the “SuSA” approach
- Extended SuSA model: Meson Exchange Currents
- Application to CC quasielastic neutrino scattering and comparison with MiniBooNE cross sections
- Application to NC quasielastic neutrino scattering and comparison with MiniBooNE cross sections and ratio  $p/N$
- Predictions for antineutrino scattering (see Joe Grange's talk for comparison with data)

# SuperScaling Approximation

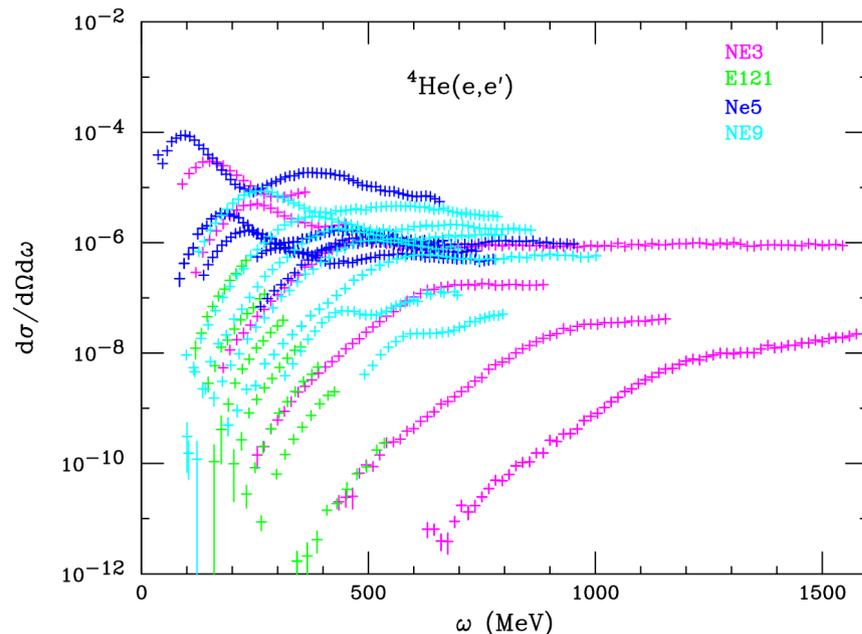
- Many high quality data are available for quasi-elastic electron scattering  
[O. Benhar, D. Day and I. Sick, Rev. Mod. Phys. 80 (2008) , <http://faculty.virginia.edu/qes-archive/>]
- Any reliable nuclear model must reproduce these data
- Is there a way to use (e,e') data to predict CC and NC  $\nu$ -scattering cross sections in the QE region?
- Answer: yes, exploiting Super-Scaling properties of QE electron scattering

[Day,McCarthy,Donnelly,Sick,Ann.Rev.Nucl.Part.Sci.40(1990); Donnelly & Sick, PRC60(1999),PRL82(1999)]

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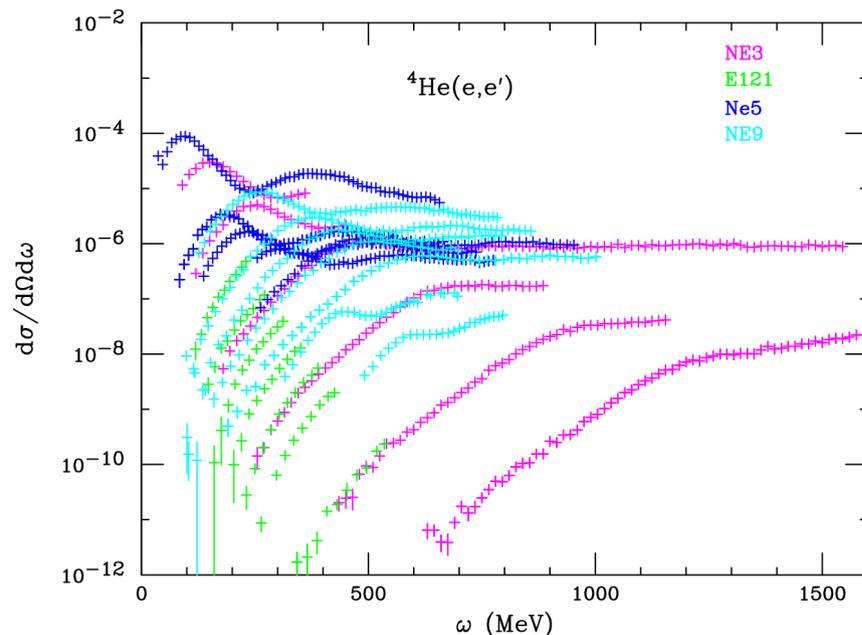
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Assume that QE scattering is dominated by (e,e'N)

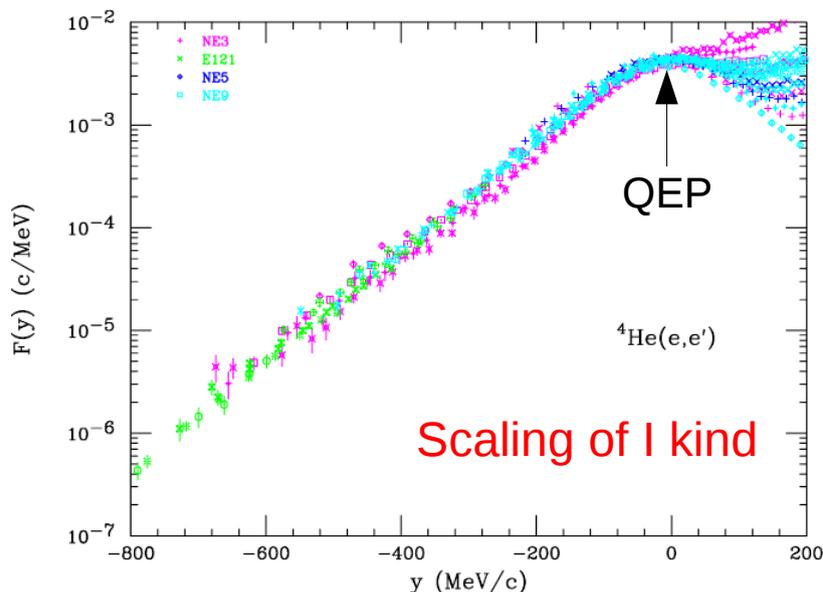
$$F(q, y) = \frac{d\sigma/d\Omega d\omega}{\sigma_{Mott} (v_L G_L + v_T G_T)} \quad \text{scaling function}$$

$$y(q, \omega) = -p_{min} \quad \text{scaling variable (y=0 QEP)}$$

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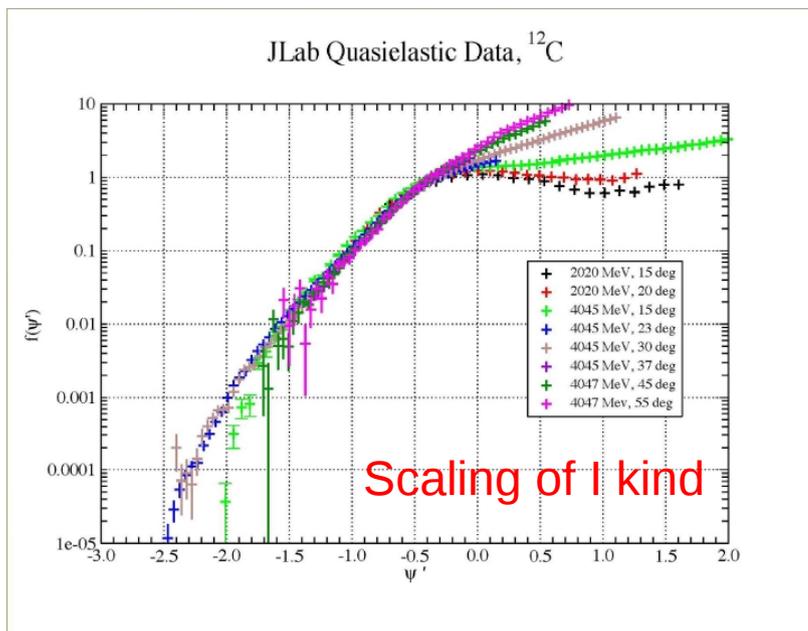
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$$F(q, y) \rightarrow F(y) \quad \begin{array}{l} q \text{ sufficiently large} \\ (q > 400 \text{ MeV}/c \text{ roughly}) \end{array}$$

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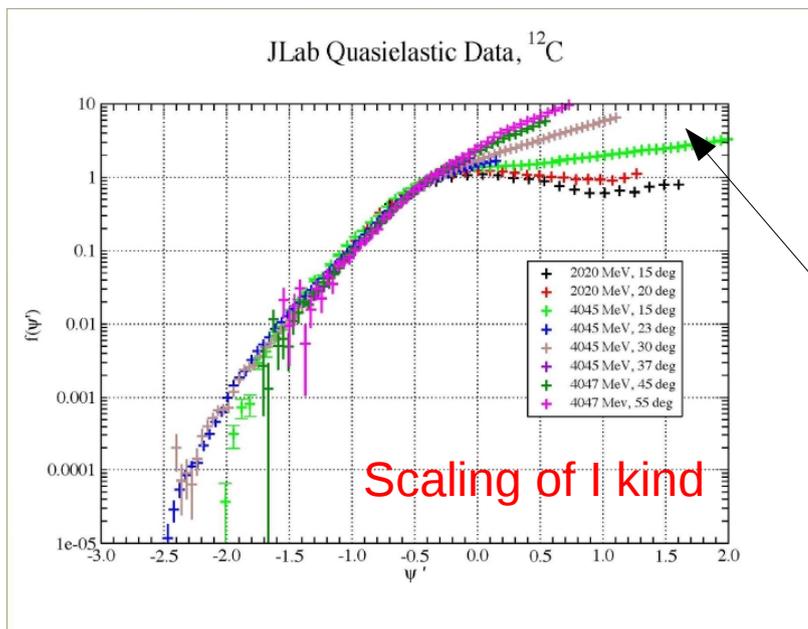
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At  $\psi > 0$  scaling is broken by resonances, meson production, etc., mainly in the transverse channel (from analysis of L/T separated data)

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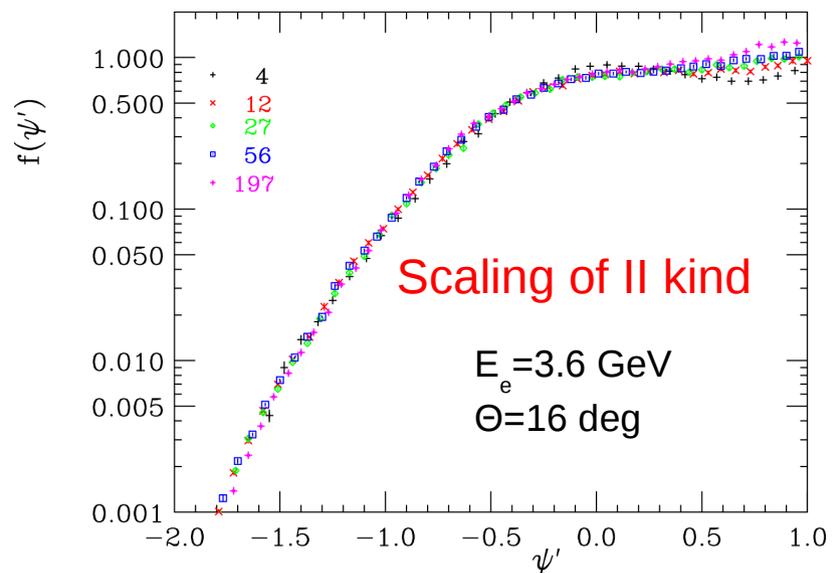
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$$f = k_F * F$$

super-scaling function  
 $k_F$  Fermi momentum

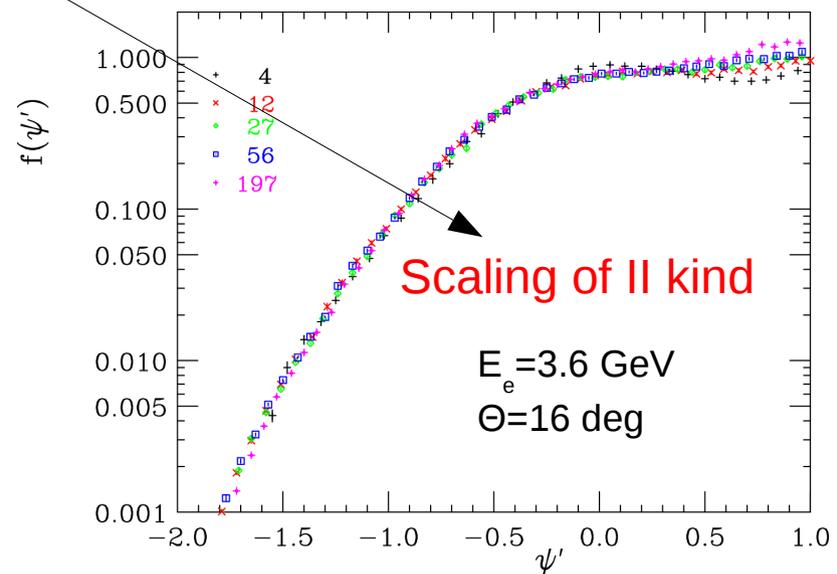
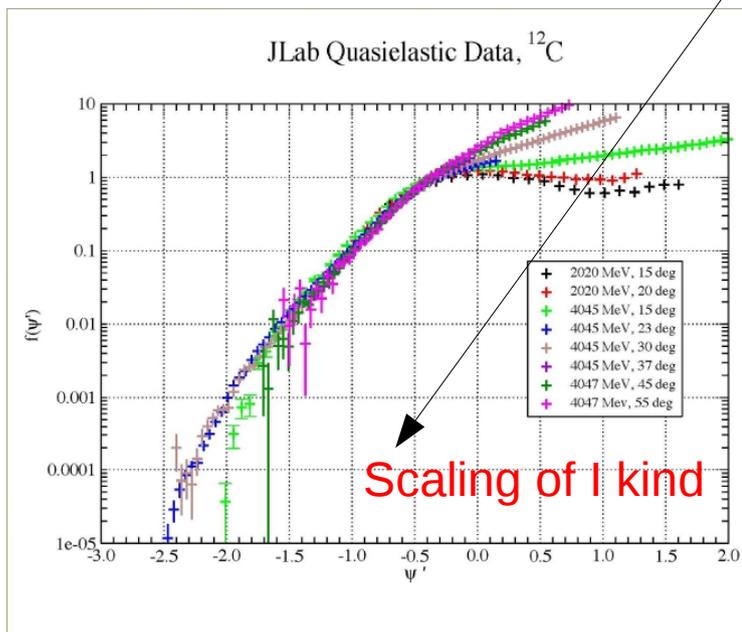
Plot  $f$  as a function of  $\psi$   
for different nuclei at fixed kinematics:



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“SuSA” approach

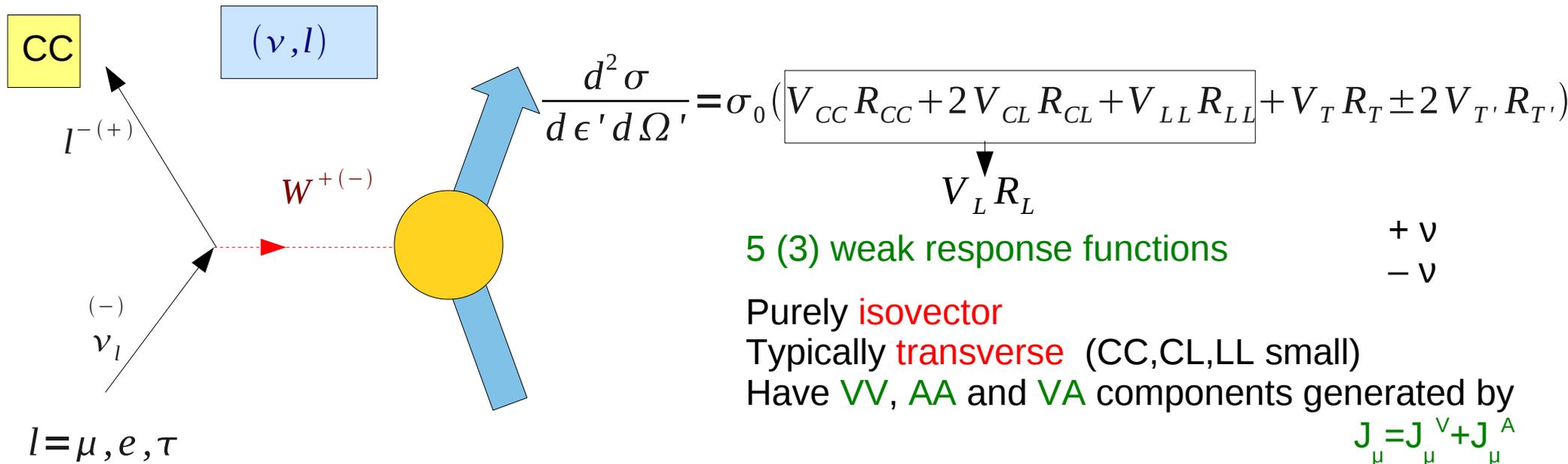
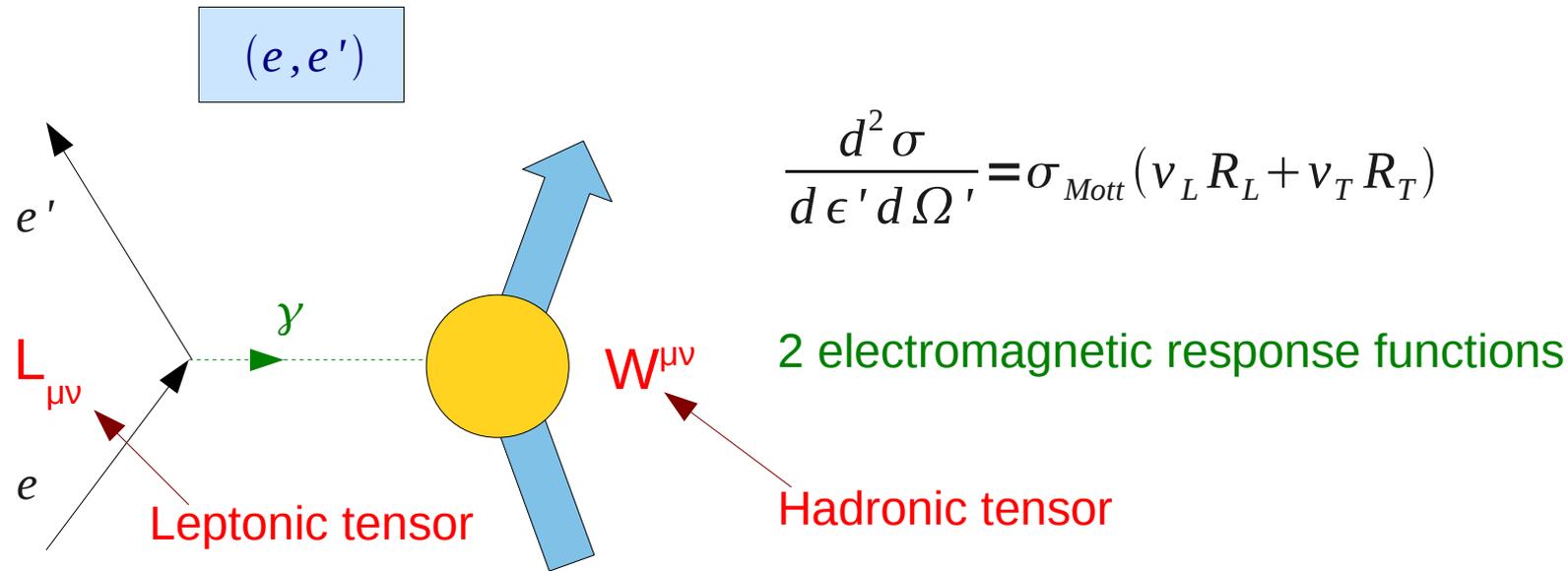
- 1) extract the super-scaling function from QE electron scattering data
- 2) plug it into neutrino cross sections

$$f(\psi) \sim R^{\text{em}}/G_{\text{s.n.}}^{\text{em}}$$



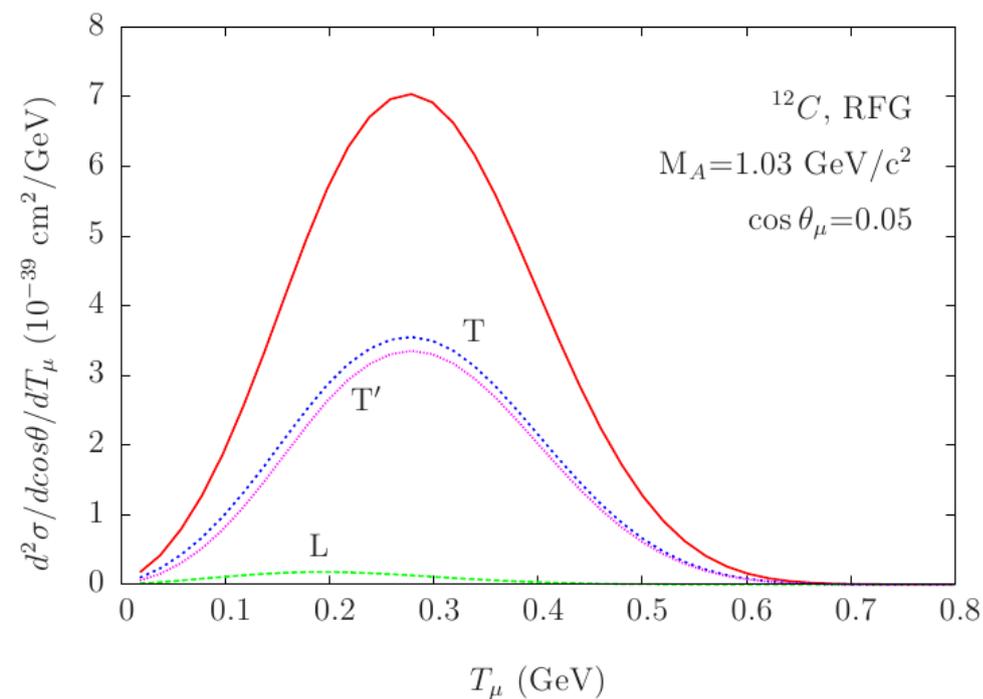
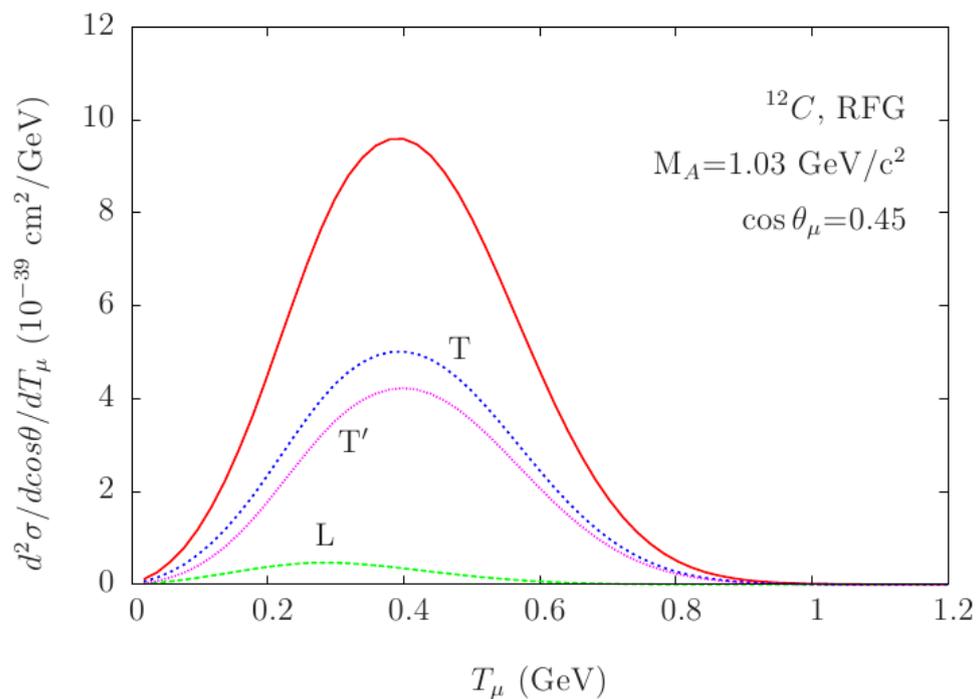
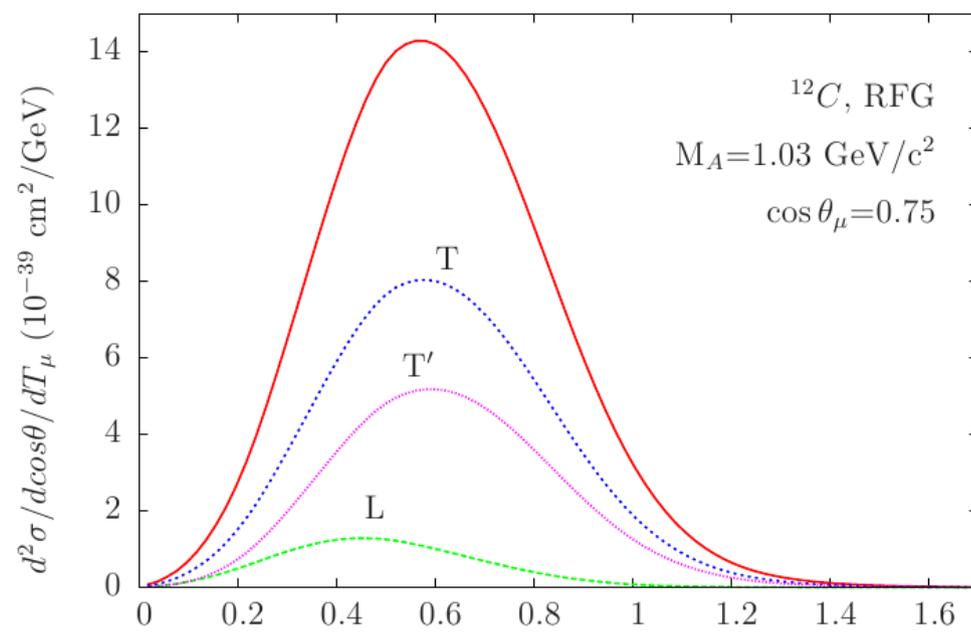
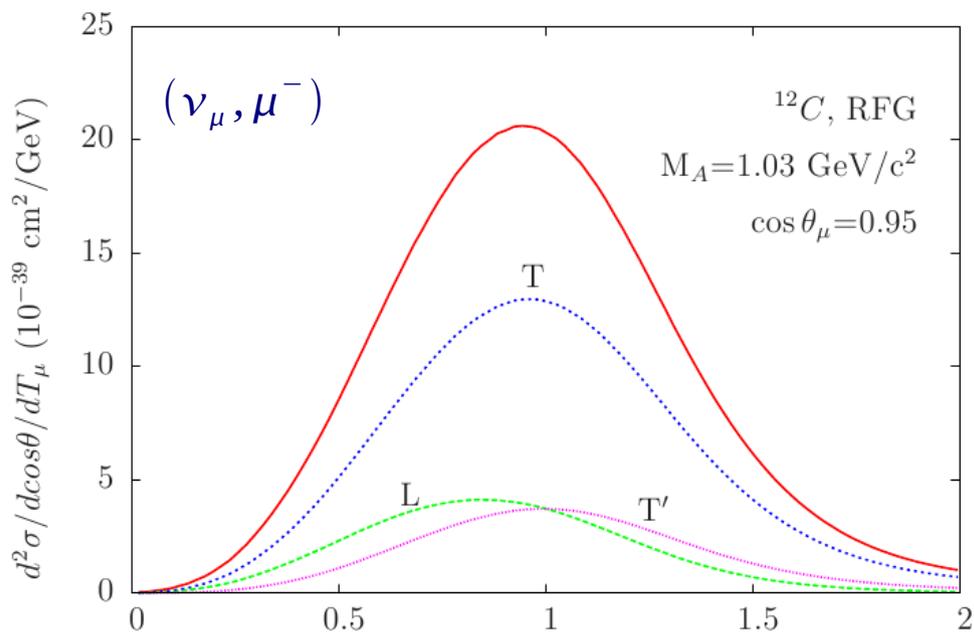
$$R^{\text{weak}} \sim G_{\text{s.n.}}^{\text{weak}} * f(\psi)$$

# Formalism: (l,l') inclusive scattering



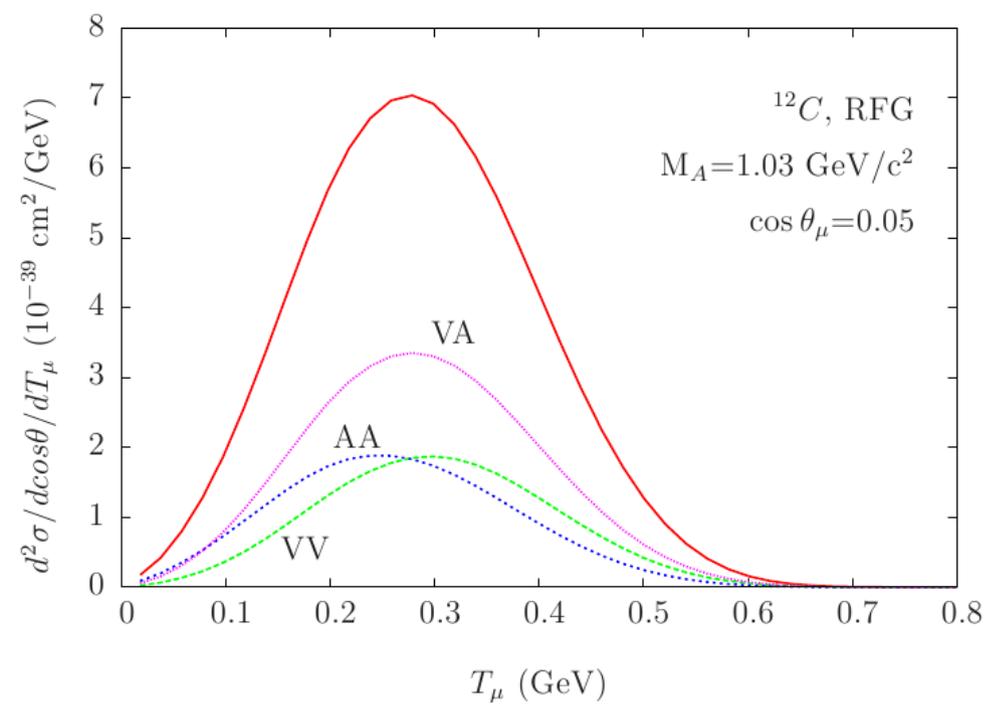
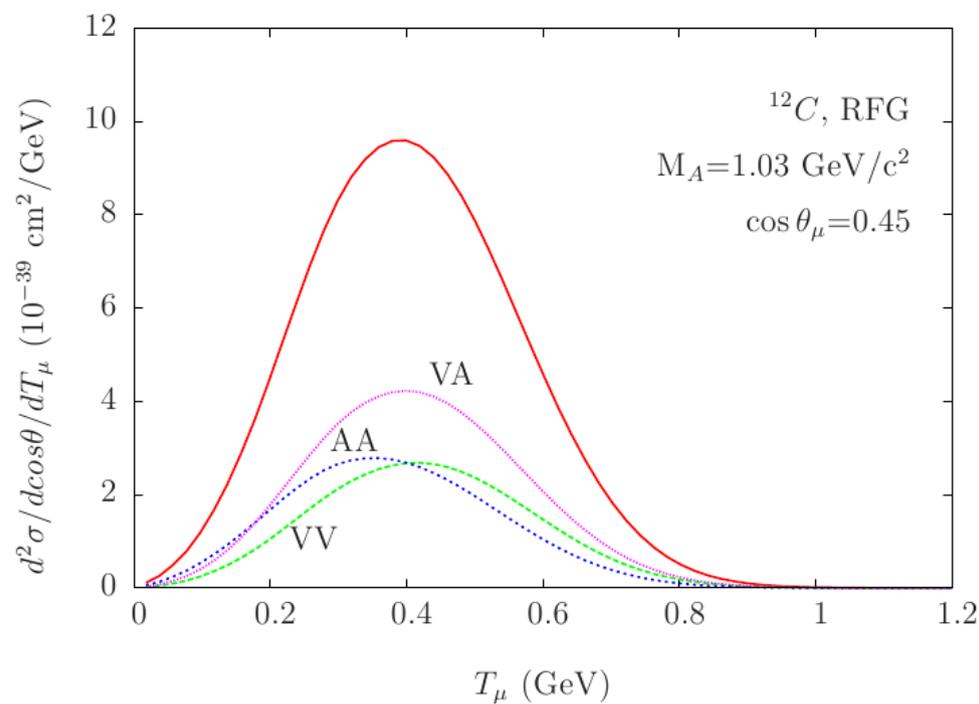
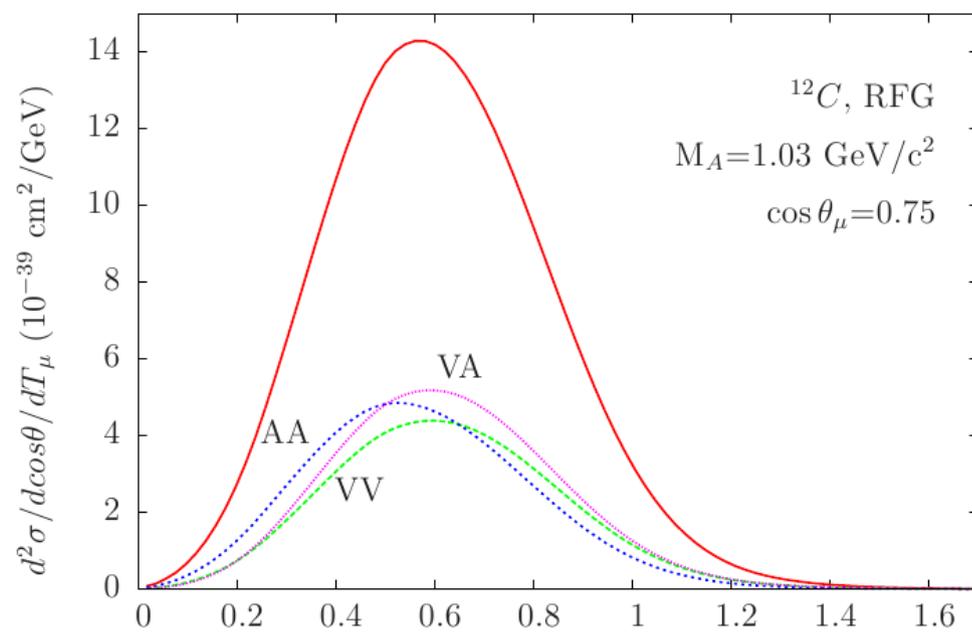
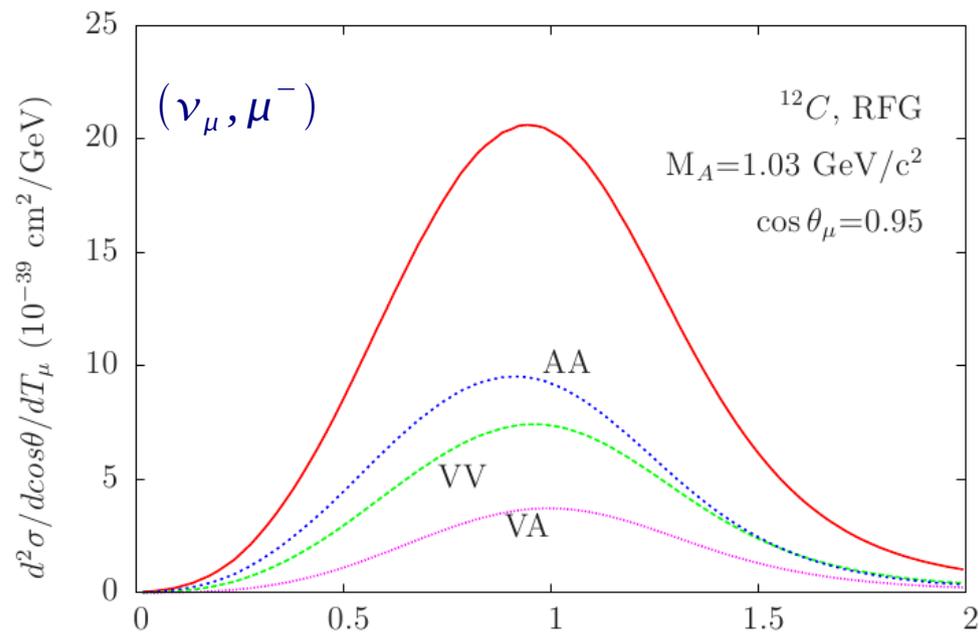
# L-T-T' separation

MiniBooNE  
kinematics

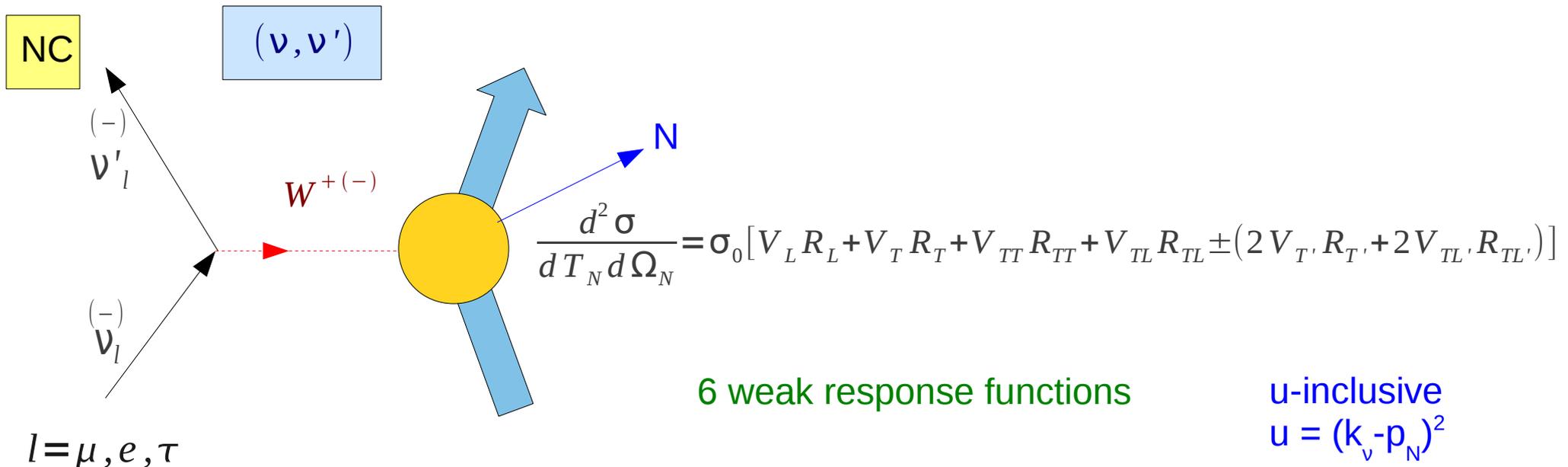
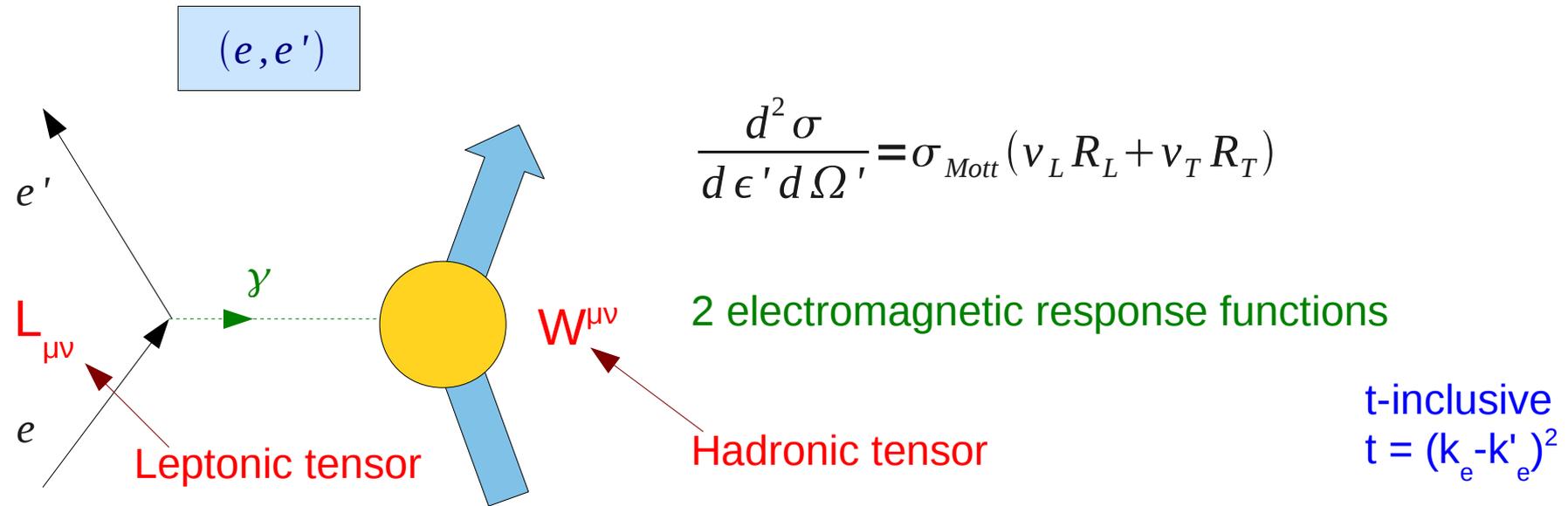


# VV-AA-VA separation

MiniBooNE  
kinematics



# Formalism: (l,l') inclusive scattering

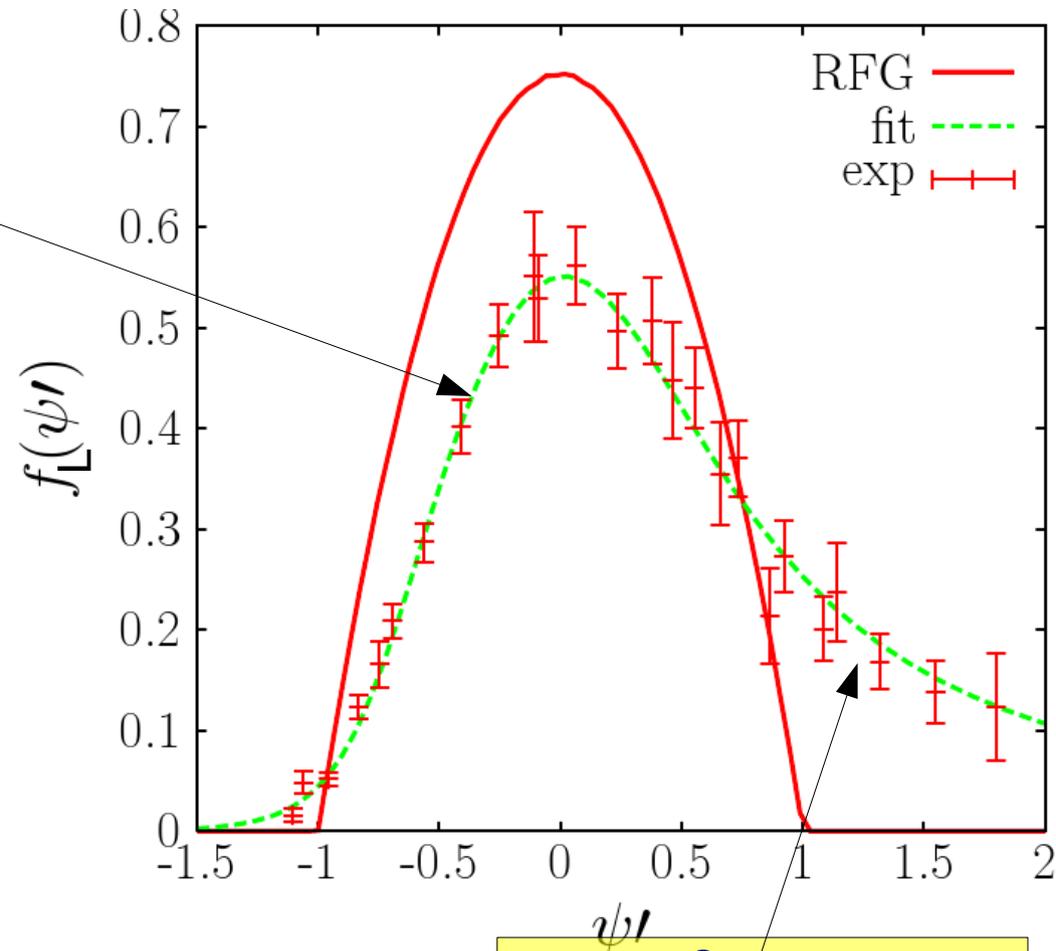


# Phenomenological super-scaling function

- The analysis of (e,e') world data shows that:
  - Scaling of **I kind** is reasonably good below the QE peak ( $\psi < 0$ )
  - Scaling of **II kind** is excellent in the same region
  - Scaling violations**, particularly of I kind, occur **above the QEP** and reside mainly in the **transverse** response
  - The **longitudinal** response super-scales

- A **phenomenological super-scaling function** has been extracted from the (e,e') world data [Jourdan, NPA603, 117 ('96)]

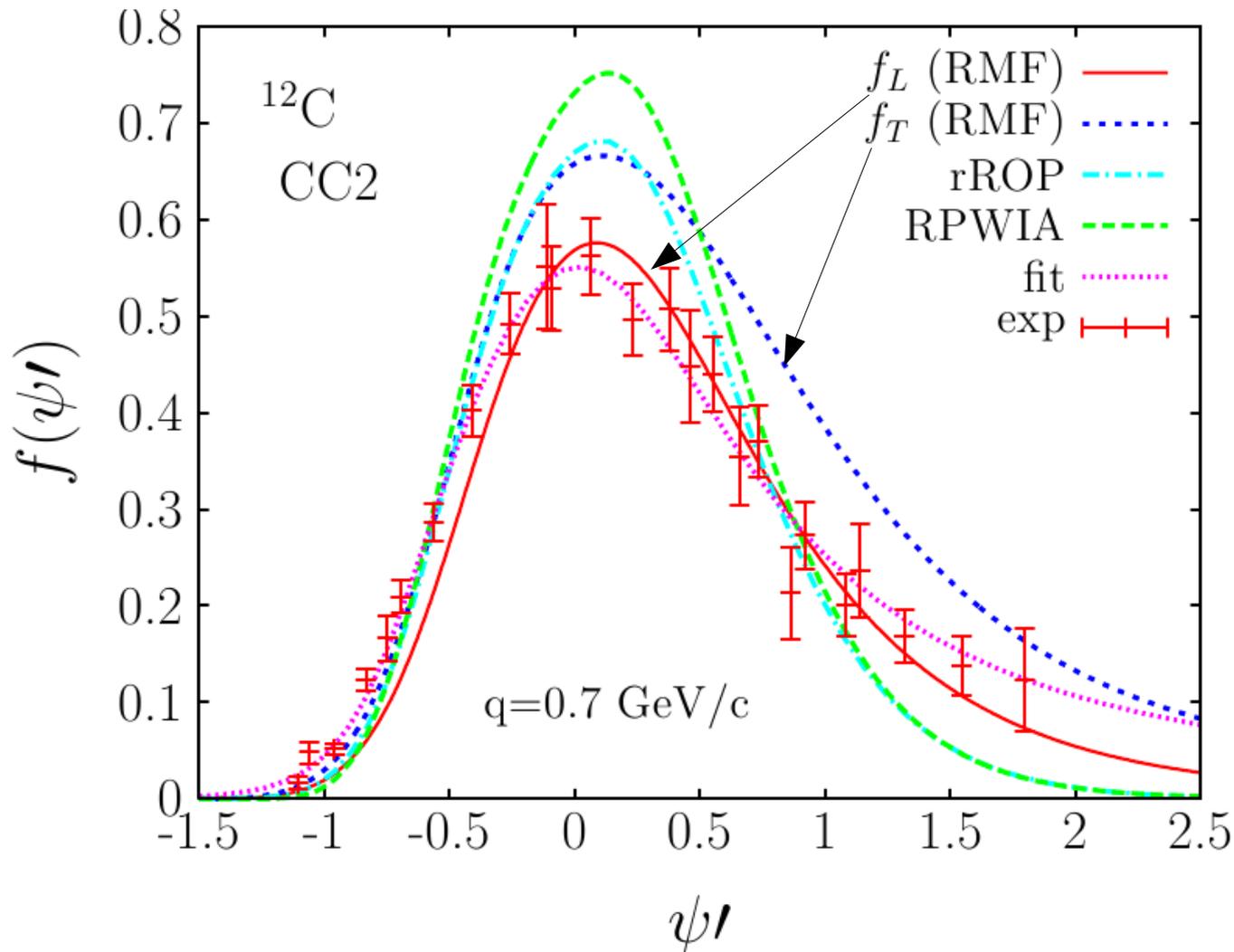
- Asymmetric** shape: long tail at high energy transfer
- Only **4 parameters** for all kinematics and all nuclei
- Represents a **strong constraint on nuclear models**



- The RFG is very poor: it does super-scale, but to the wrong function!

$$f_{RFG}(\psi) = \frac{3}{4}(1-\psi^2)\theta(1-\psi^2)$$

# Scaling function(s) and microscopic models



- The Relativistic Mean Field model successfully reproduces the experimental  $f_L$

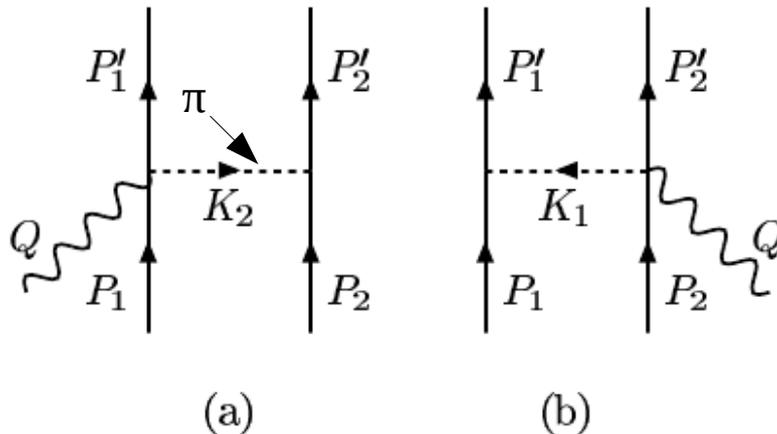
- $f_T^{\text{RMF}} > f_L^{\text{RMF}}$  by  $\sim 20\%$ , in agreement with exp'tal evidence

- RPWIA and rROP give a symmetric scaling function and  $f_L = f_T$

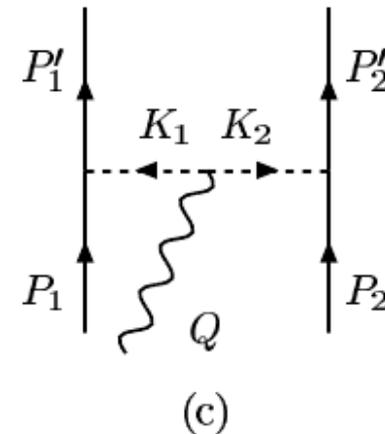
# Modified SuSA model: Meson Exchange Currents

**MEC** are two-body currents involving 2 nucleons exchanging a meson. Currents induced by the pion occur (up to higher order relativistic corrections) in the **transverse** channel and **violate superscaling**. We follow a **perturbative scheme**, considering all the diagrams involving one pion in the two-body current. The calculation is **fully relativistic** and performed on the basis of RFG.

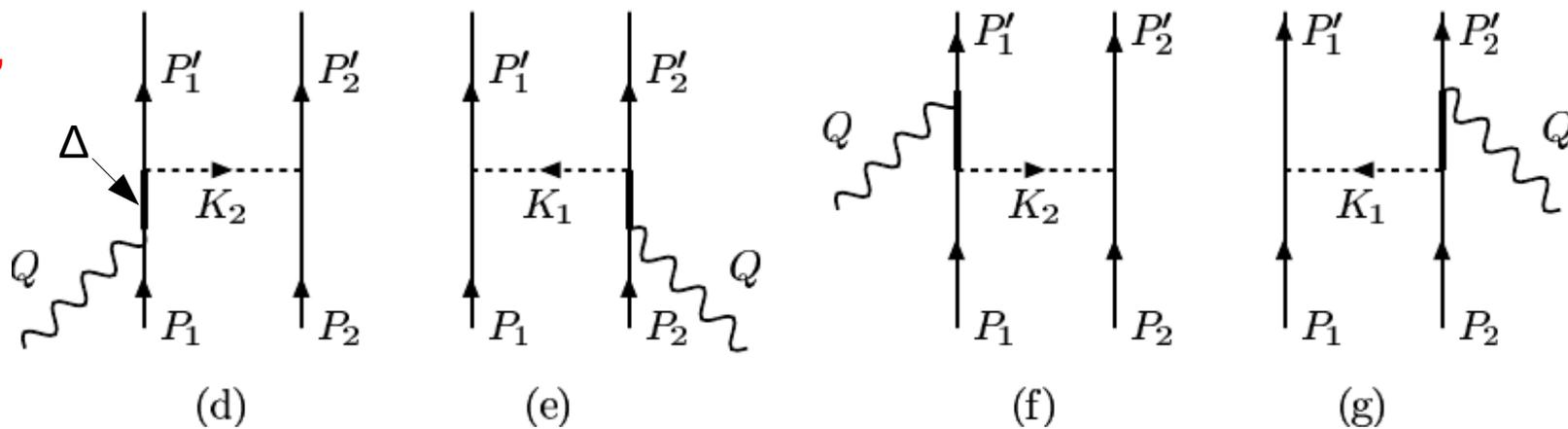
“contact”  
or  
“seagull”



“pion-in-flight”



“ $\Delta$ -MEC”

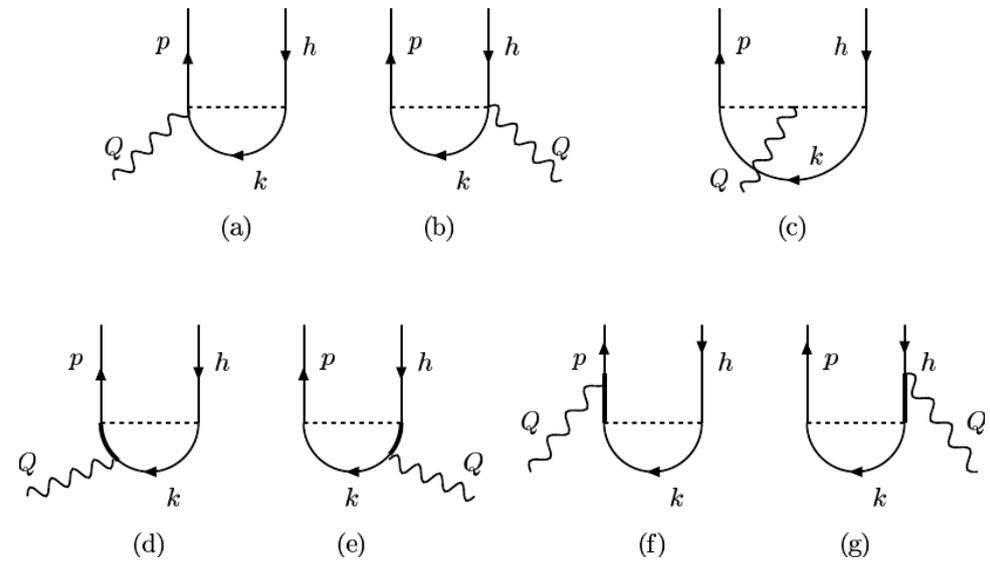


# Meson Exchange Currents: 1p1h and 2p2h many-body diagrams

## 1p-1h sector:

Only contribute inside the RFG response region  $-1 < \psi < 1$ .

The net contribution to (e,e') QEP is **small** due to cancellations between MEC and correlations  
 [Amaro et al., Phys.Rept.368(2002),NPA723 (2003)]



## 2p-2h sector

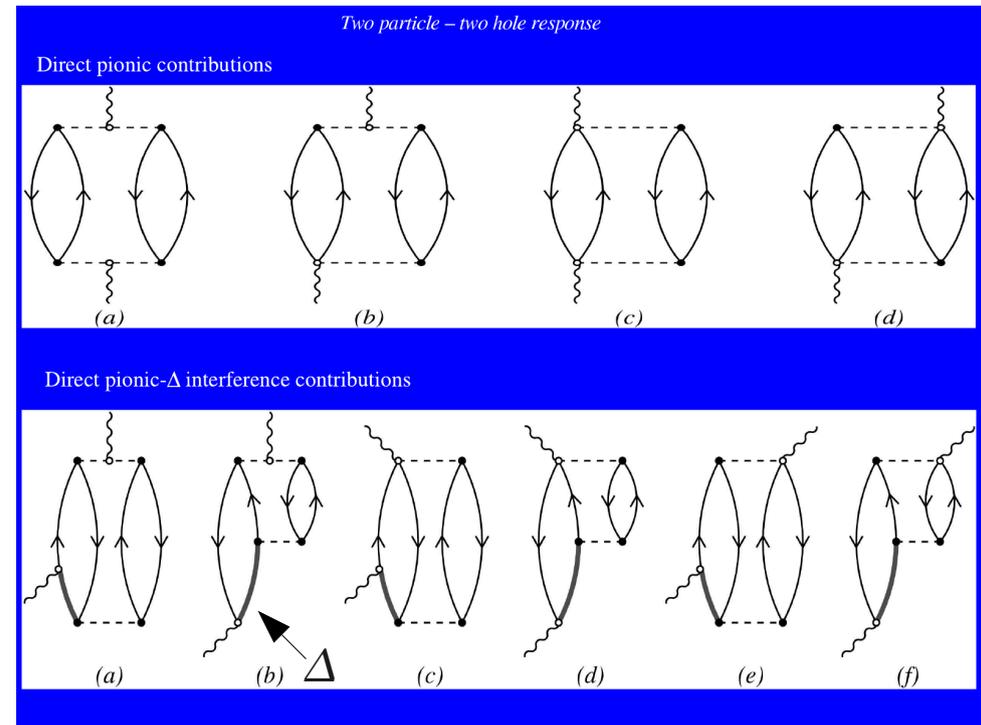
(just a subset of all the 28 many-body diagrams involving two pionic lines)



Contribute also outside the RFG response region:  $\psi < -1$  and  $\psi > 1$

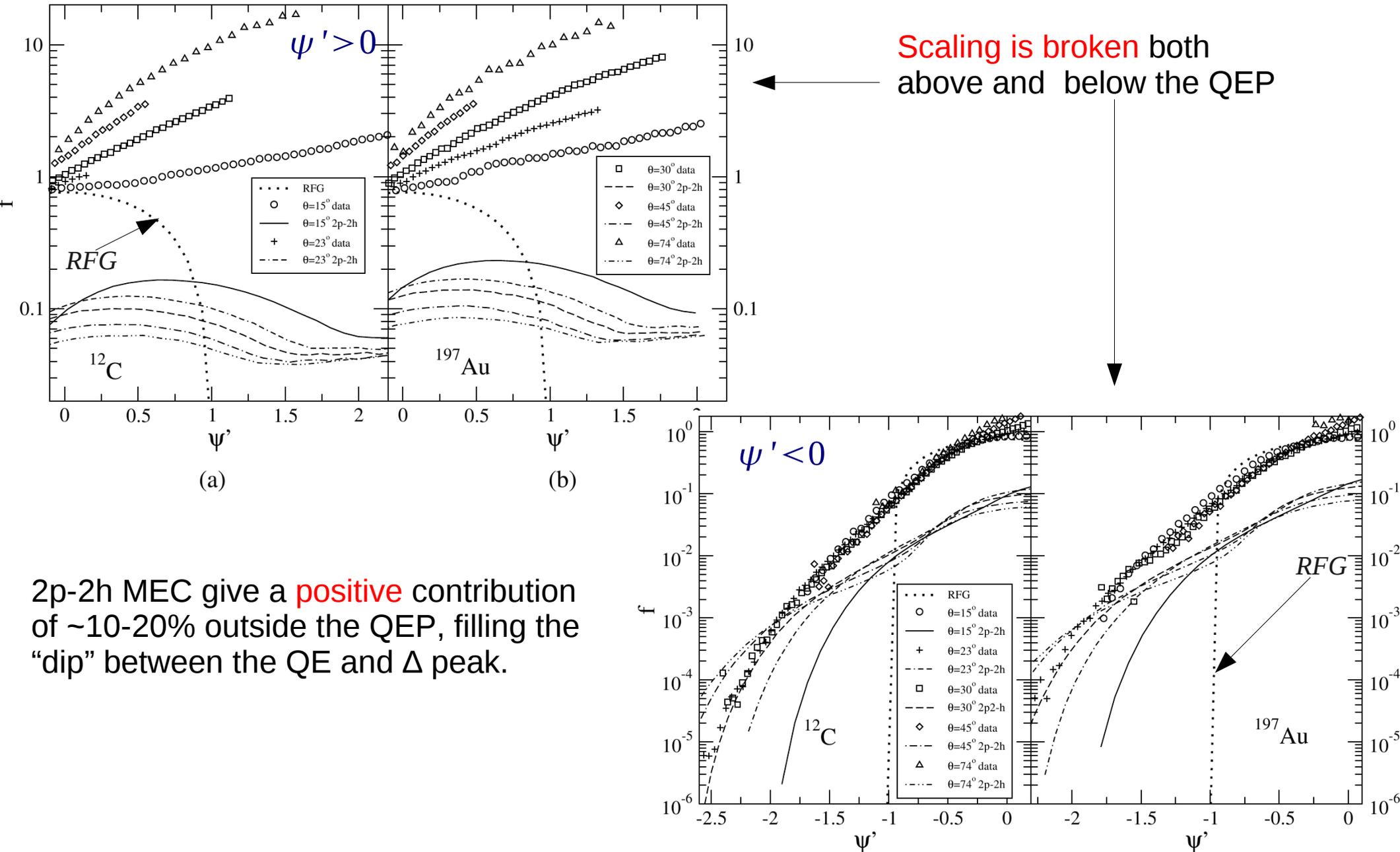
J.W.Van Orden, T.W.Donnely, Ann. Phys. 131 (1981)  
 Non relativistic calculation with relativistic corrections

A.De Pace et al., NPA741 (2004)  
 Fully relativistic calculation



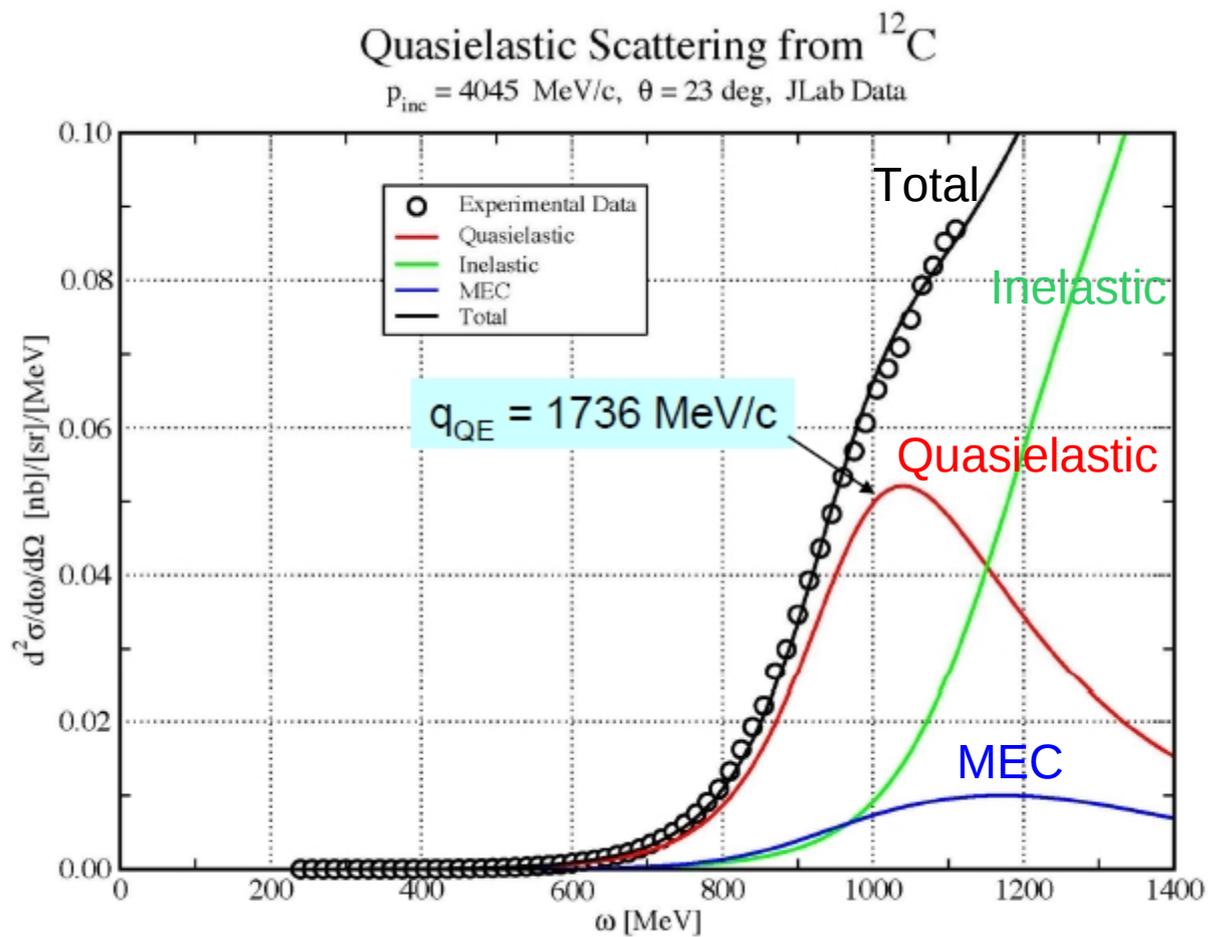
# 2p-2h MEC in electron scattering

De Pace et al., NPA741, 249 (2004), RFG-based calculation



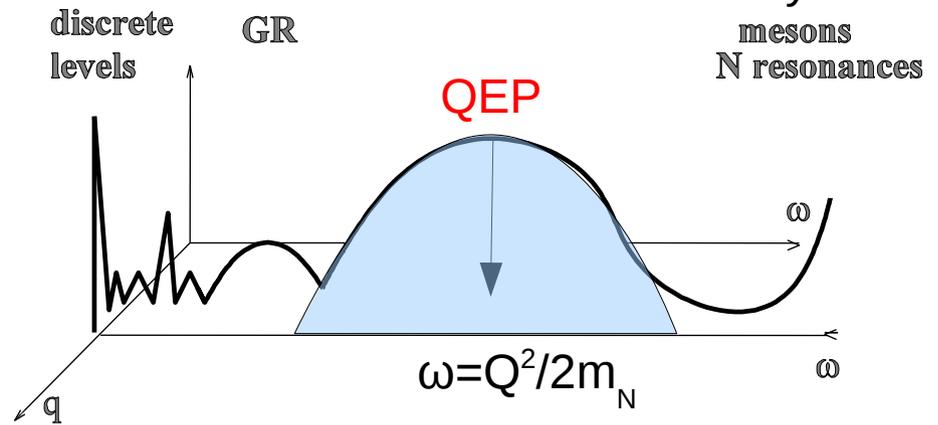
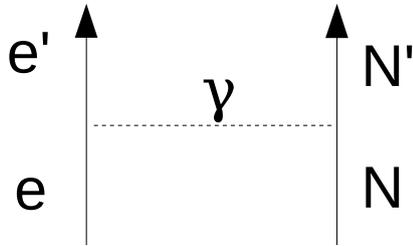
# Test of the modified SuSA model: (e,e') cross section

An example:



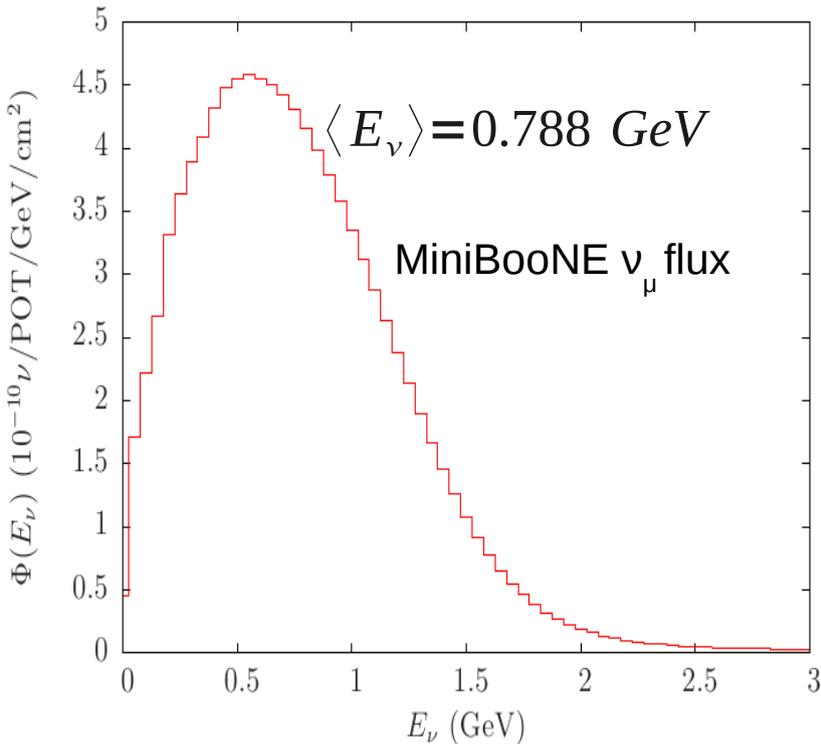
# MEC in “quasielastic” neutrino scattering

In  $(e,e')$  experiments  $E_e$  is well-known and “QE” means that the electron is scattered by an individual nucleon moving inside the nucleus



In  $(\nu_\mu, \mu)$  the neutrino beam is not monochromatic, but it spans a wide range of energies  
 “Flux-averaged” cross section:

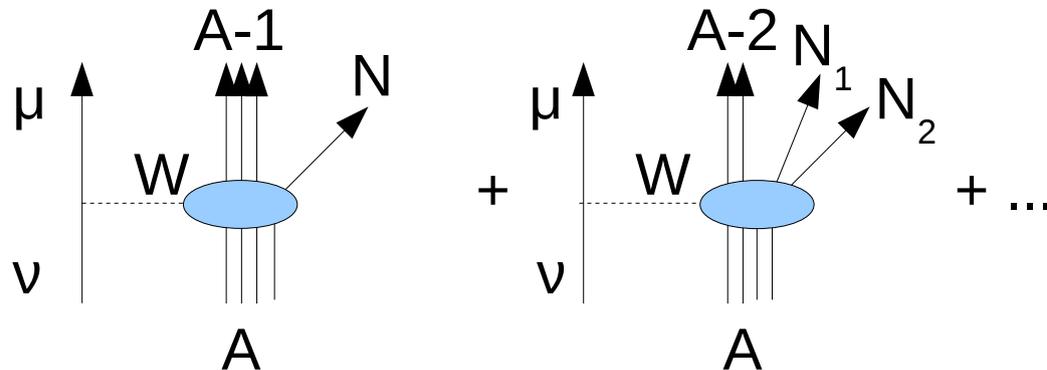
$$\left\langle \frac{d^2\sigma}{d\cos\theta dT_\mu} \right\rangle = \frac{1}{\phi_{tot}} \int \frac{d^2\sigma(E_\nu)}{d\cos\theta dT_\mu} \phi(E_\nu) dE_\nu$$



Each experimental point  $(\theta_\mu, T_\mu)$  takes contribution from different regions in the  $(q, \omega)$  plane, corresponding to different reaction mechanisms.

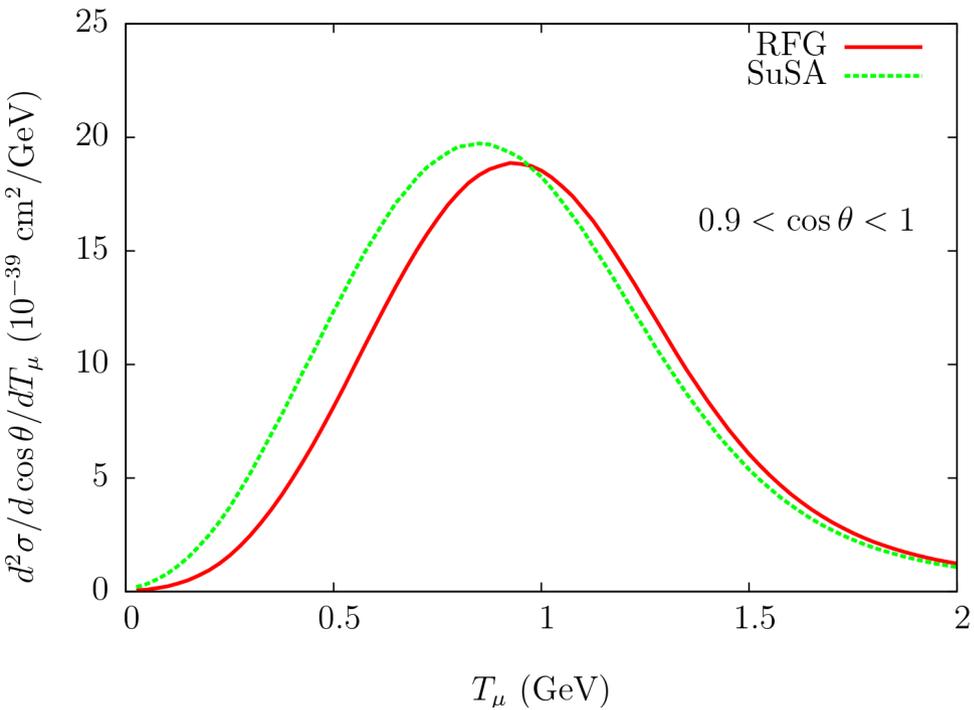
“QE”=no pions in the final state

Processes involving scattering off two or more nucleons must also be considered [Martini et al, Nieves et al]

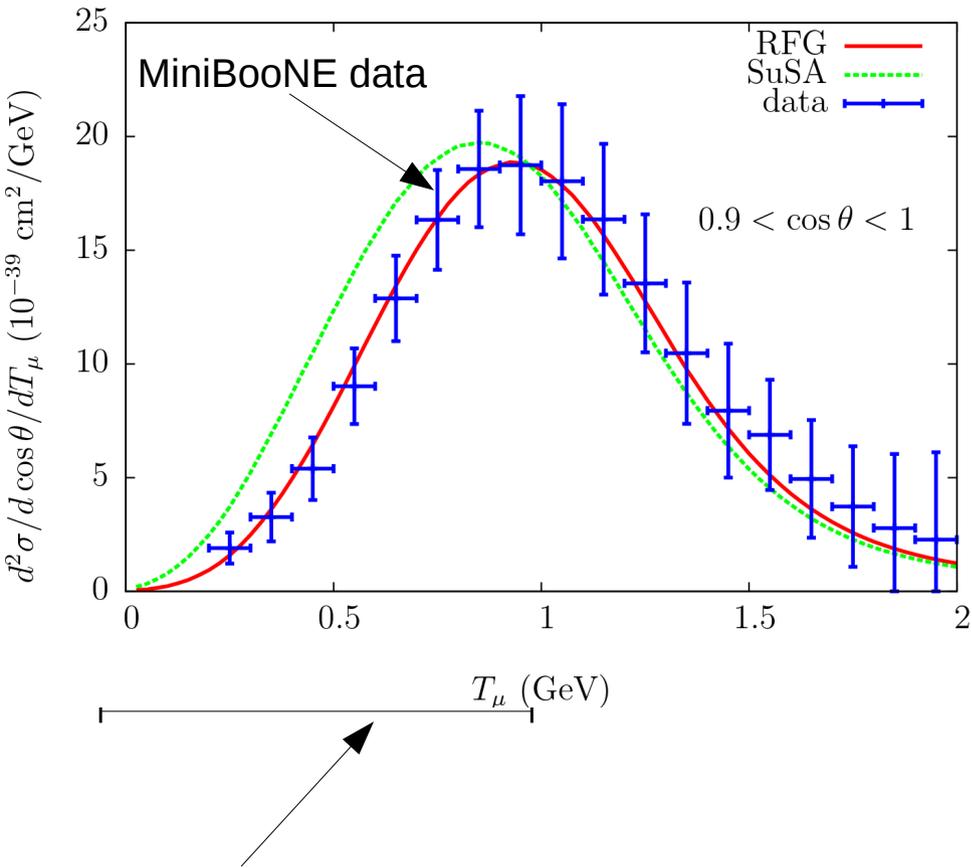


# Results for CCQE neutrino scattering

# MiniBooNE double differential CCQE cross sections at forward angles



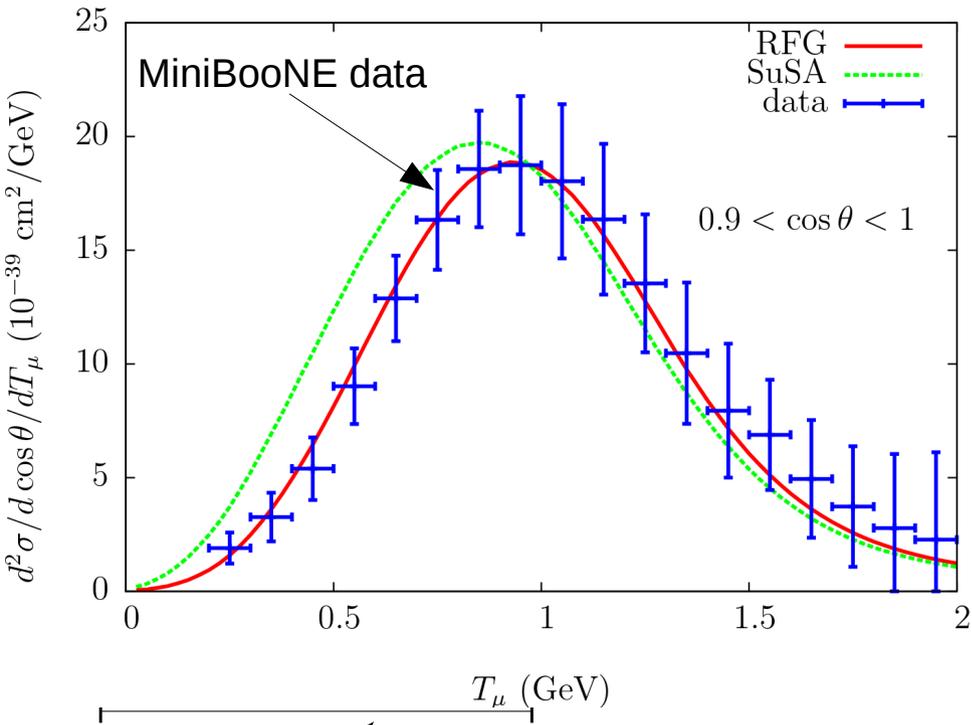
# MiniBooNE double differential CCQE cross sections at forward angles



**Pauli blocking** is active in this region (low momentum transfers,  $q \lesssim 0.4 \text{ GeV}/c$ ): this explains the big difference between the RFG (where PB is included by definition) and the SuSA (which has no PB) results.

At very low angles both RFG and SuSA are compatible with the data, except for the Pauli-blocked region, where super-scaling ideas are not applicable.

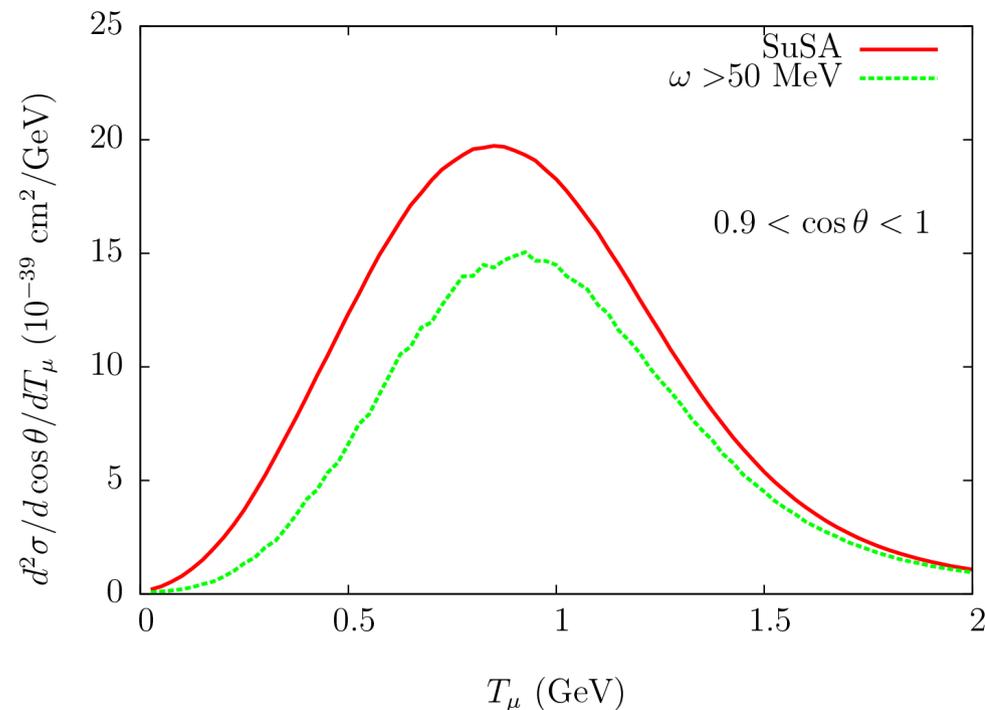
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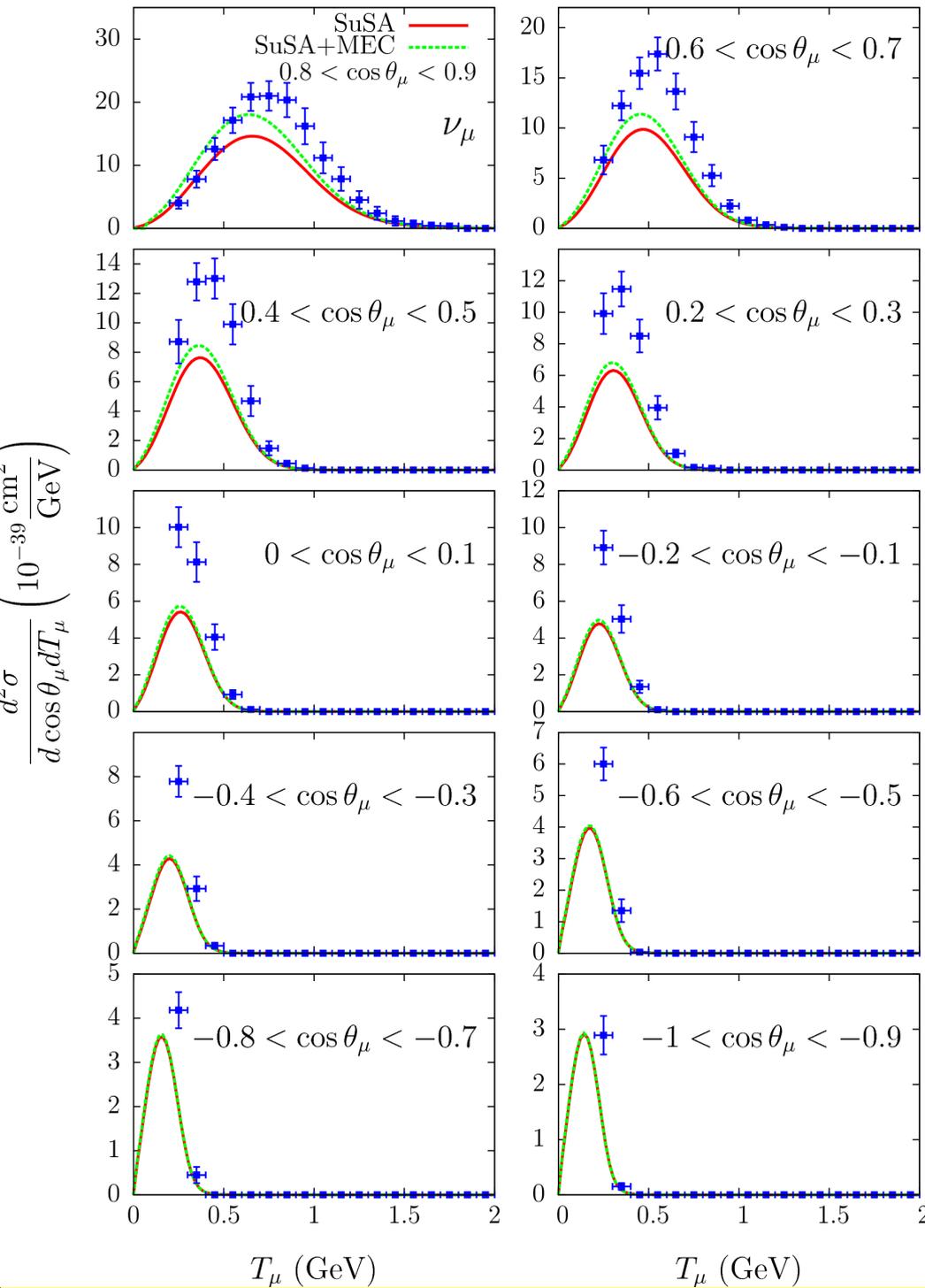
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However: about  $\frac{1}{2}$  of the cross section for such kinematics arises from the first 50 MeV of excitation, where none of the two approaches should be trusted.



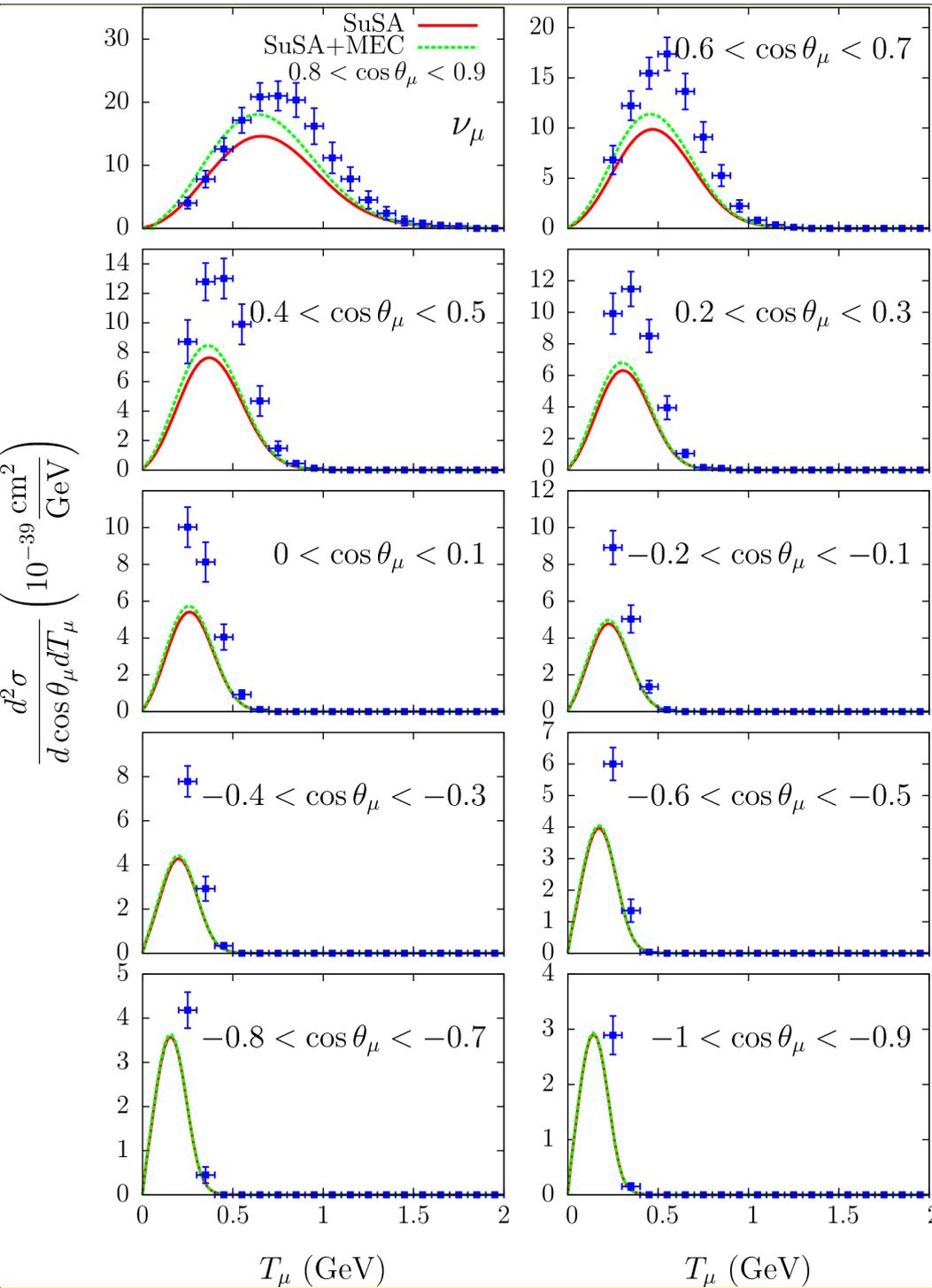
Here a proper treatment of collective excitations, like RPA with realistic nuclear wave functions, is required.  
[Amaro et al., PLB696 (2011)]

# Comparison with MiniBooNE differential CC cross sections

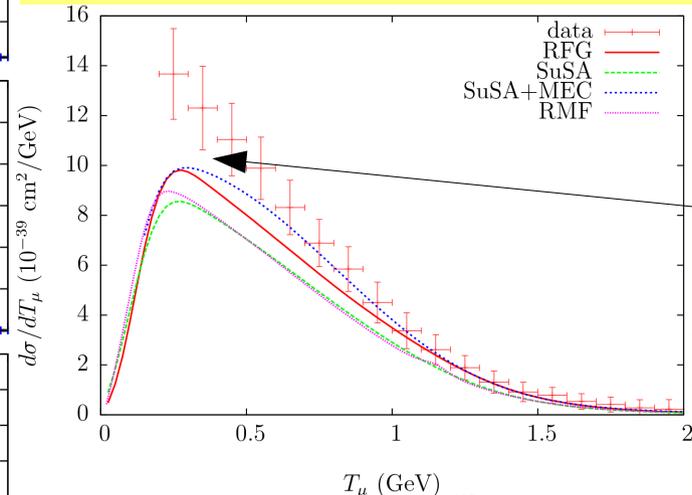


- SuSA predictions fall below the data for most kinematics
- 2p2h MEC improve the agreement but are not enough to explain the data
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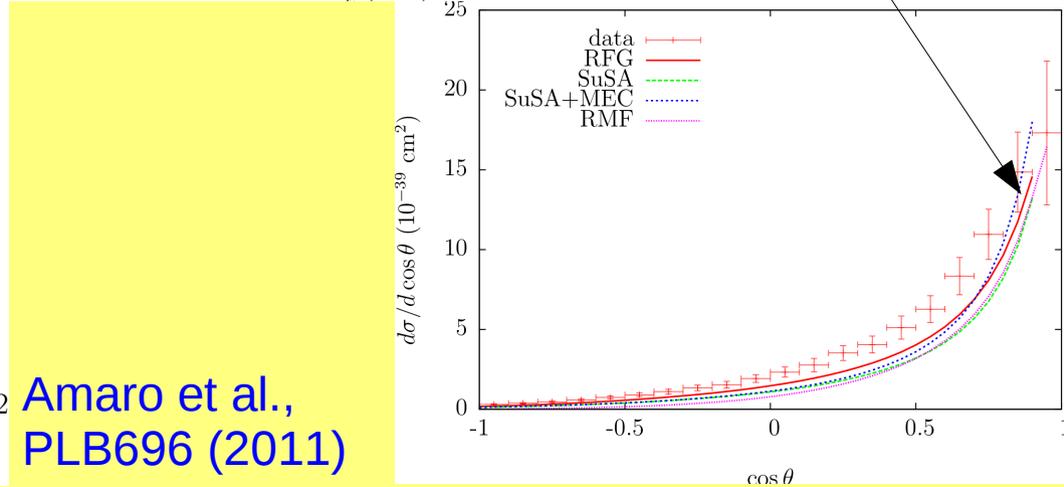
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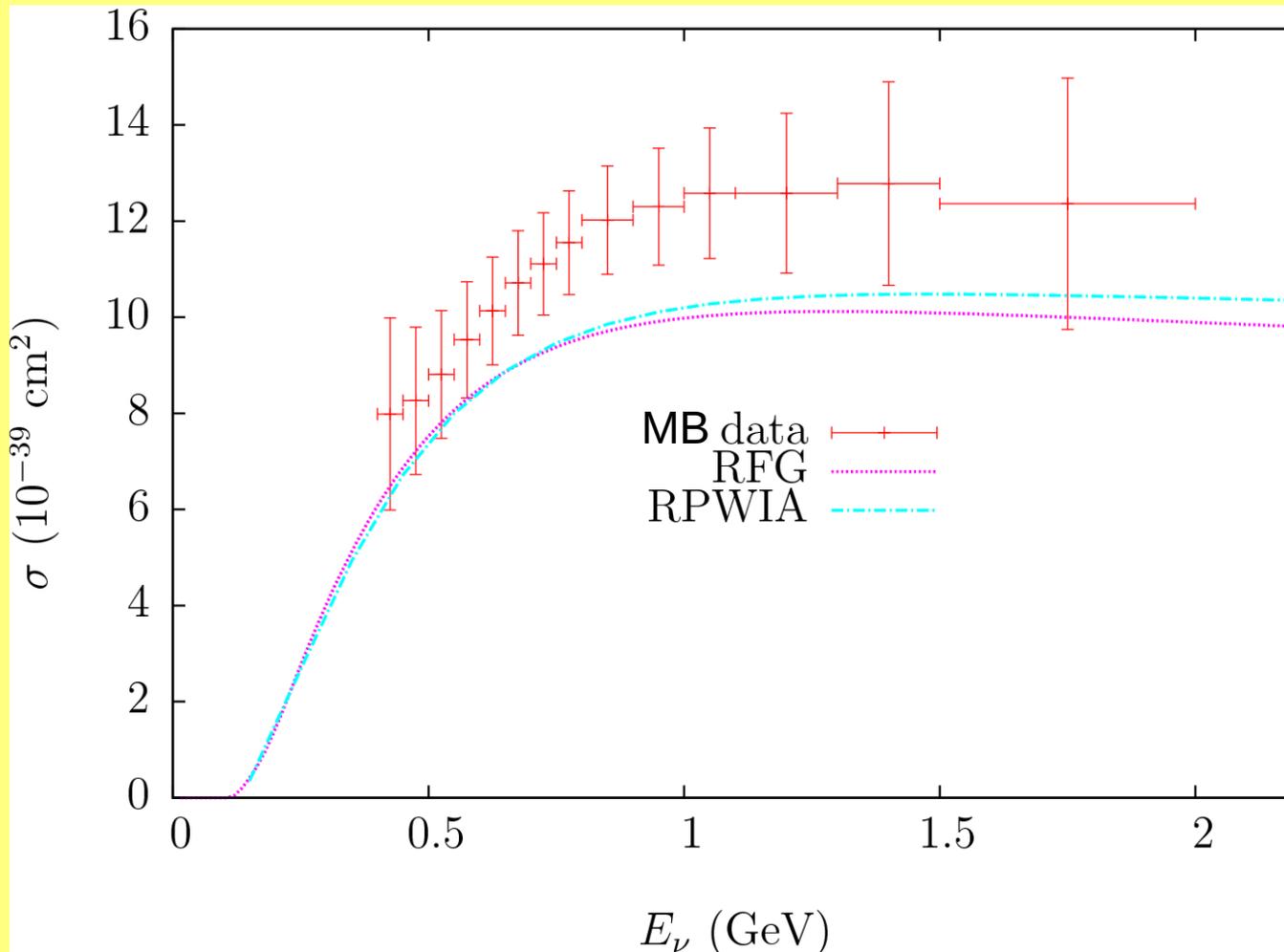


Strength is missing at lower muon energies and larger scattering angles

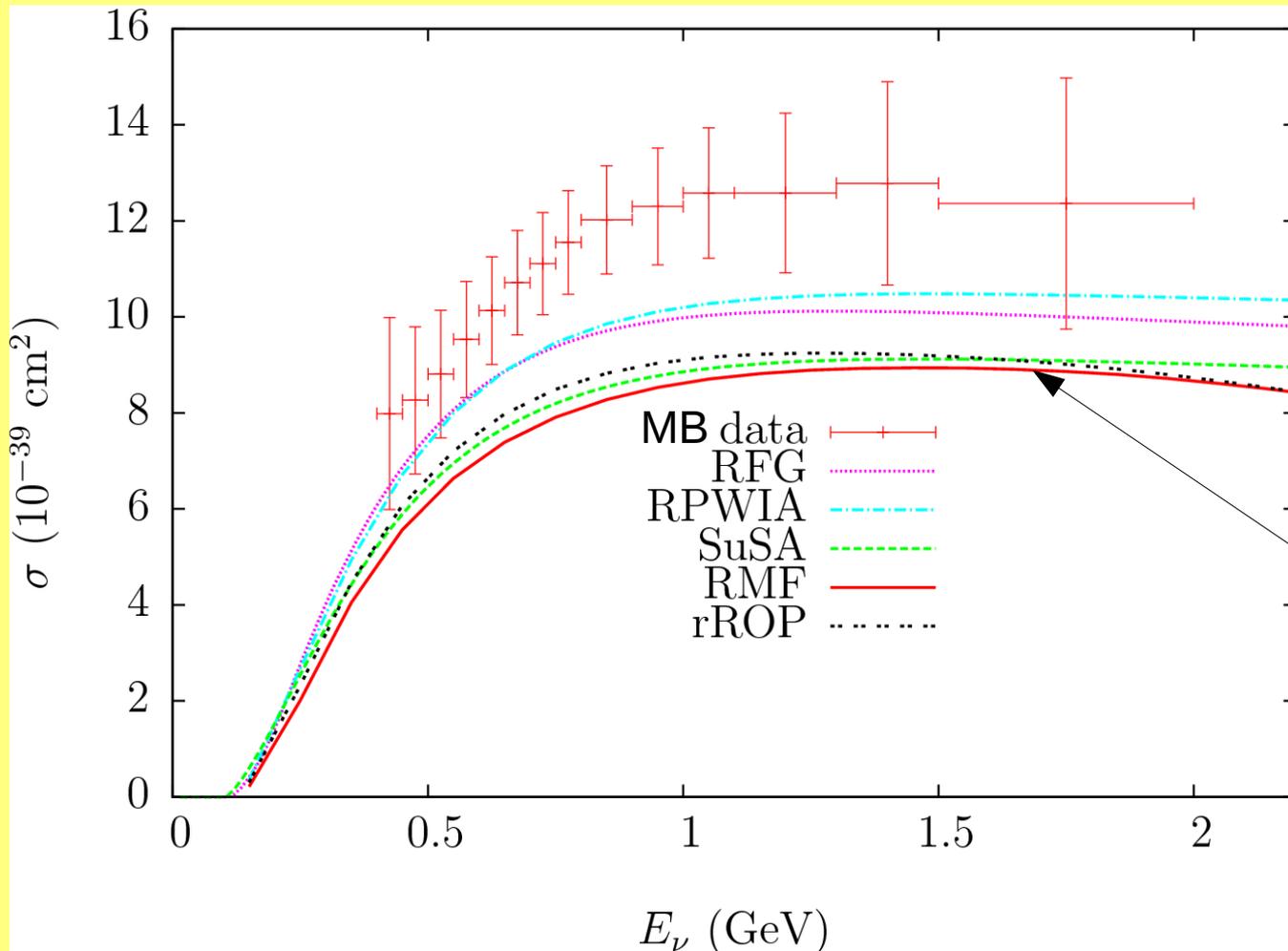


Amaro et al., PLB696 (2011)

# Total CC cross section

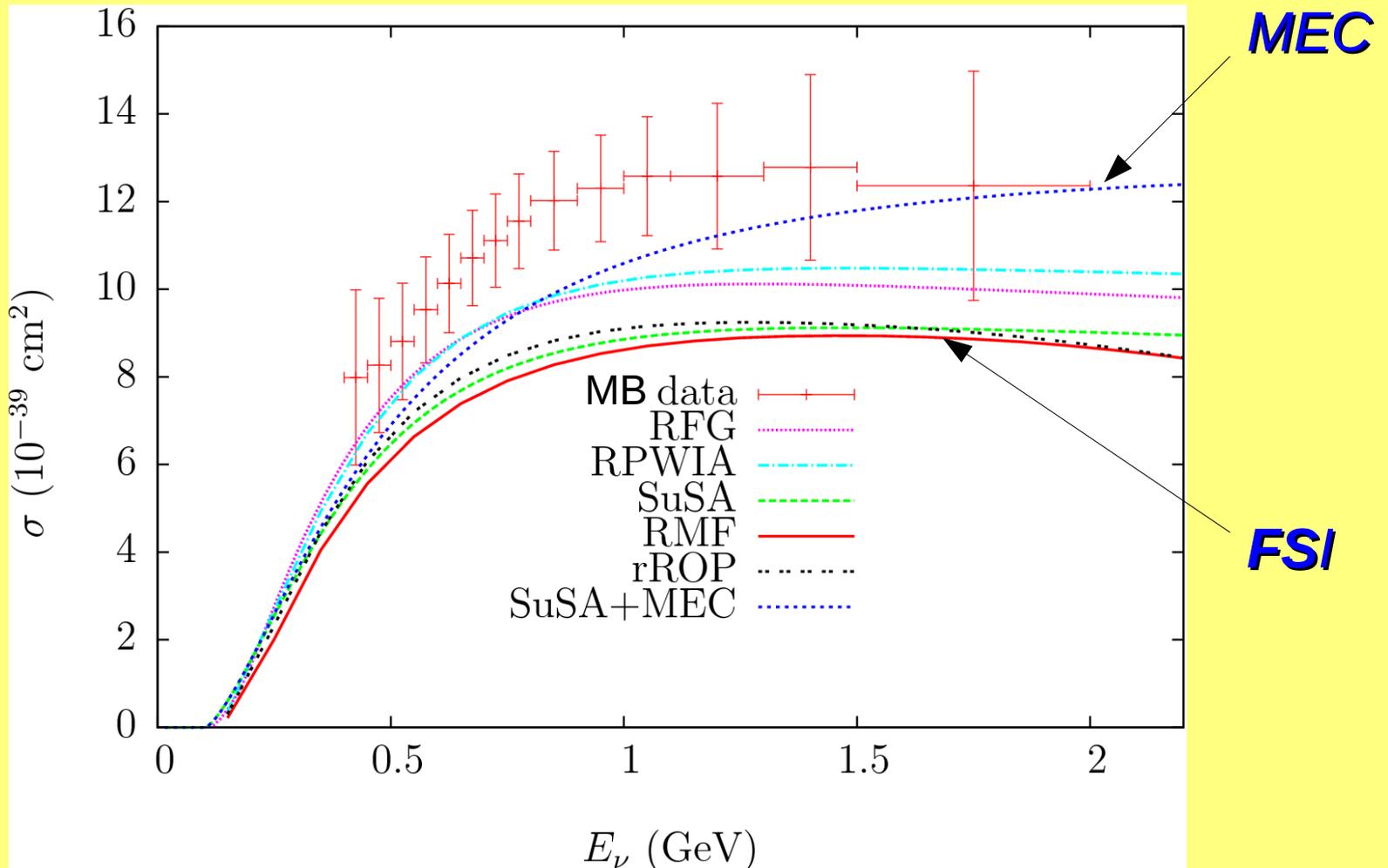


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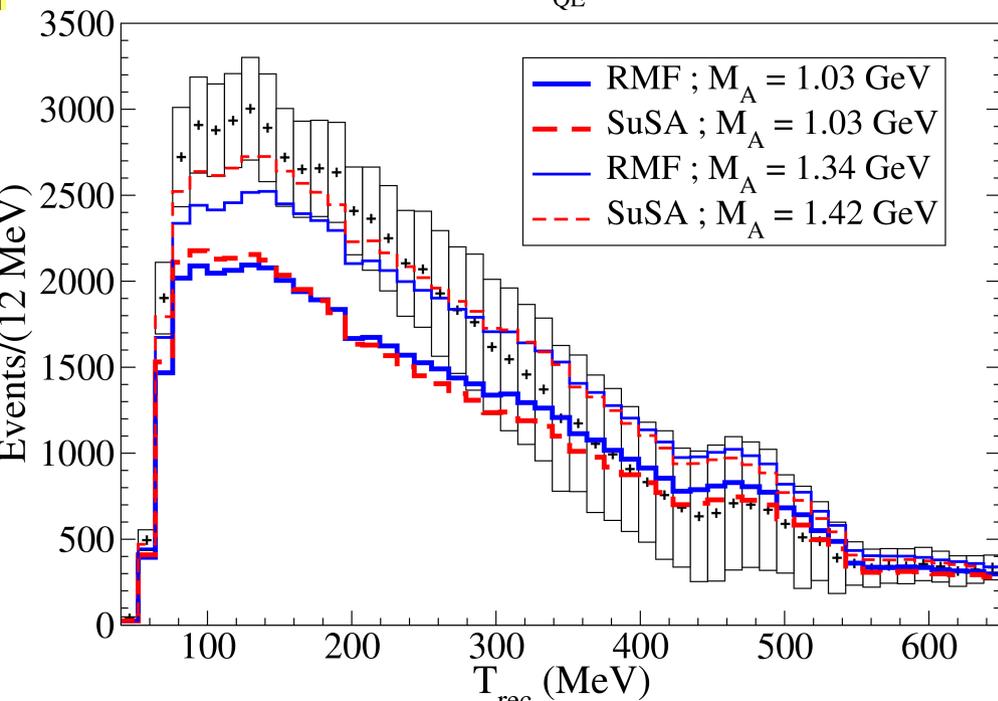
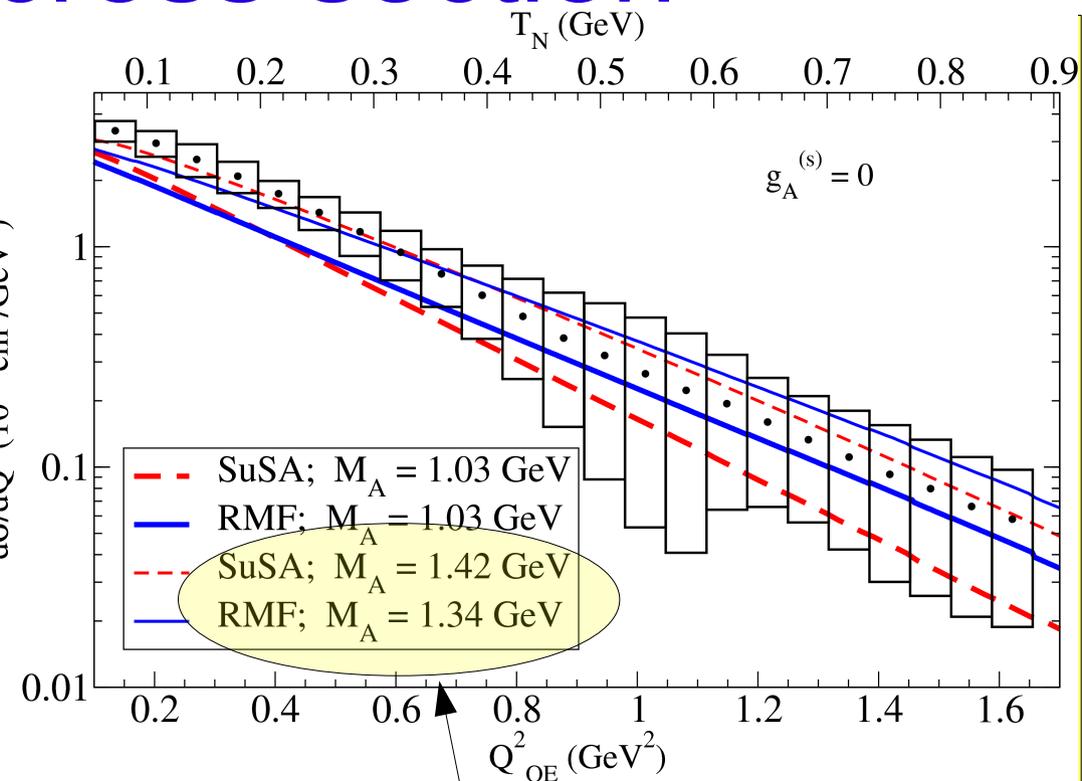
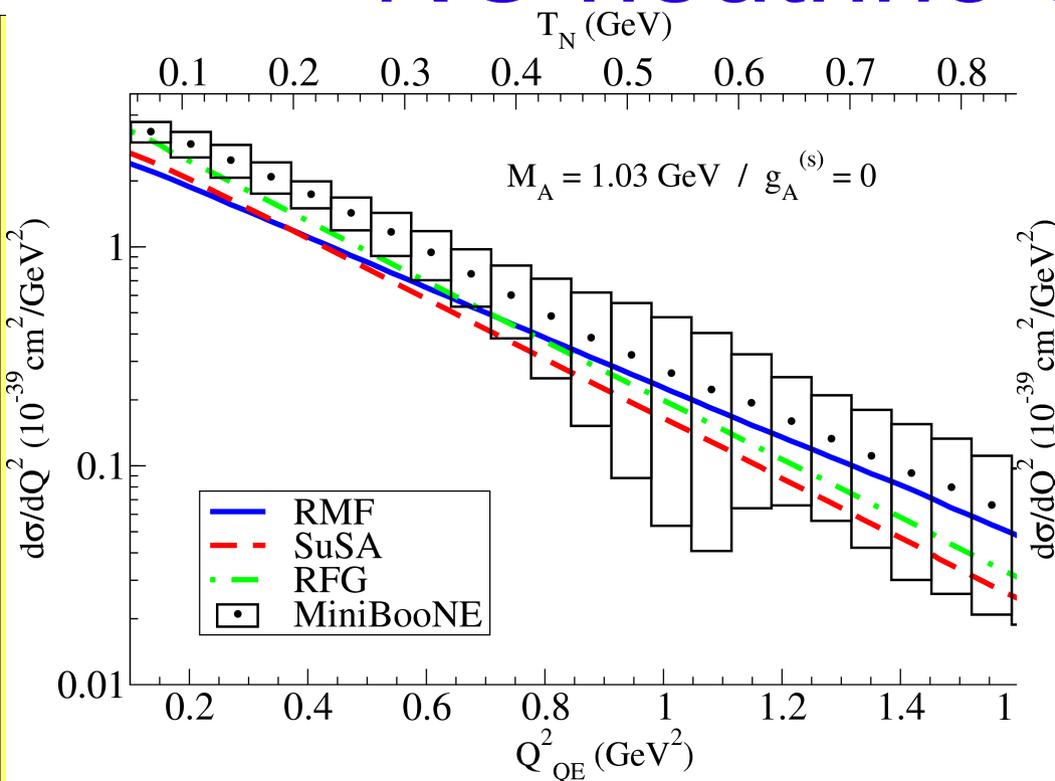
**FSI**

# Total CC cross section



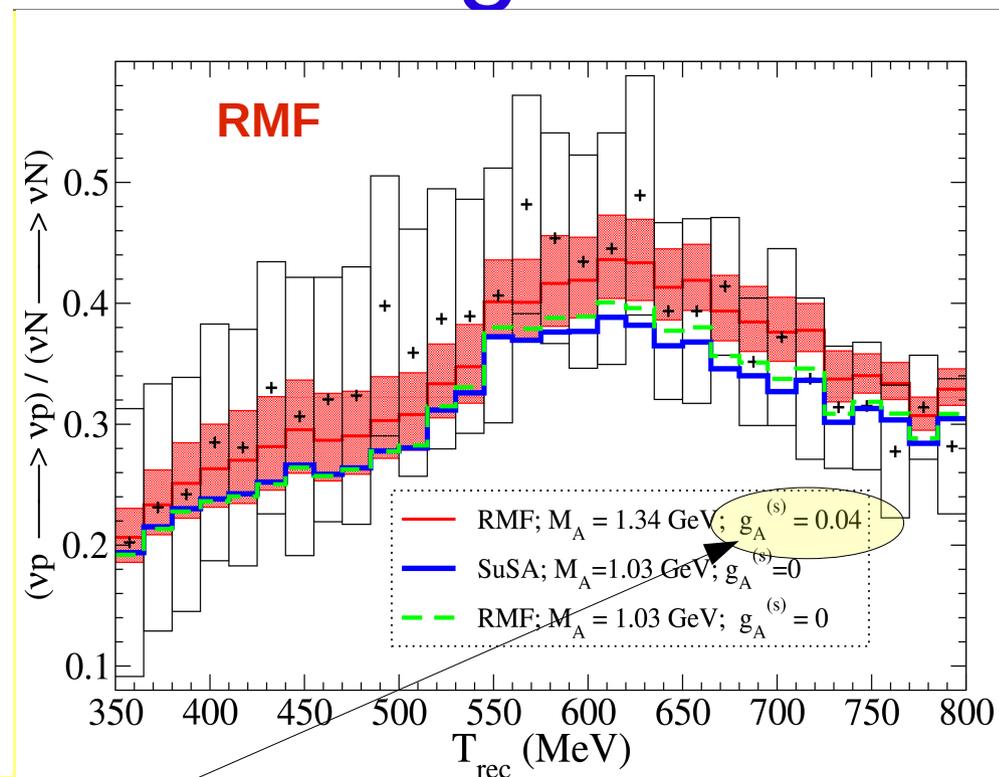
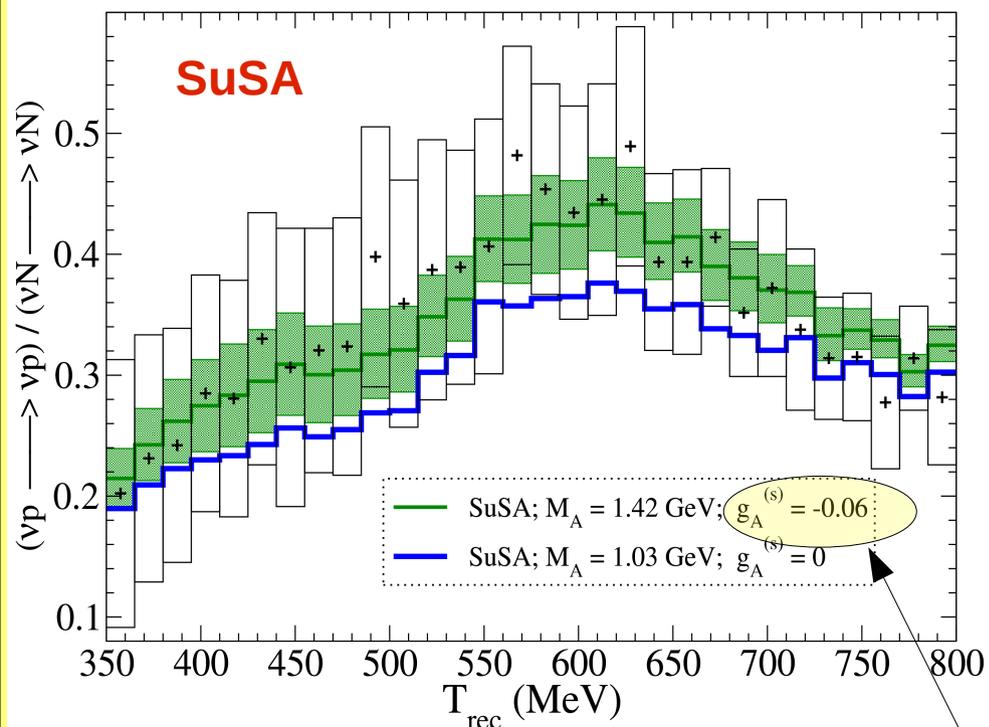
# Results for NCQE neutrino scattering

# NC neutrino cross section



Best fit of axial mass at  $g_A^{(s)}=0$   
 in SuSA and RMF

# NC p/N ratio: axial strangeness



Best fits of  $g_A^{(s)}$  at fixed  $M_A$

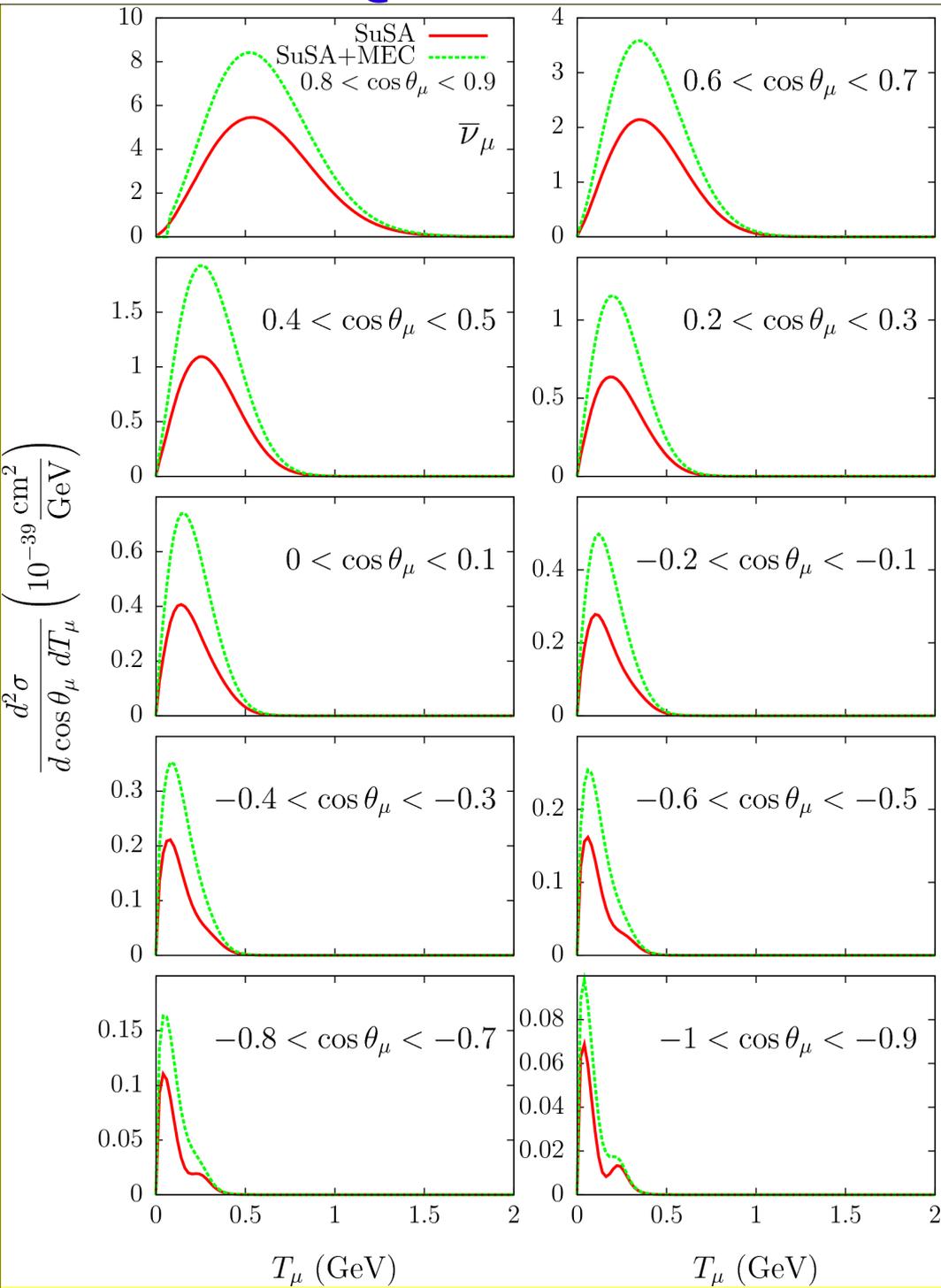
$$g_A^{(s)} = -0.06 \pm 0.31 \quad \text{SuSA} \quad (\chi^2/\text{DOF} = 31.3/29)$$

$$g_A^{(s)} = +0.04 \pm 0.28 \quad \text{RMF} \quad (\chi^2/\text{DOF} = 33.6/29)$$

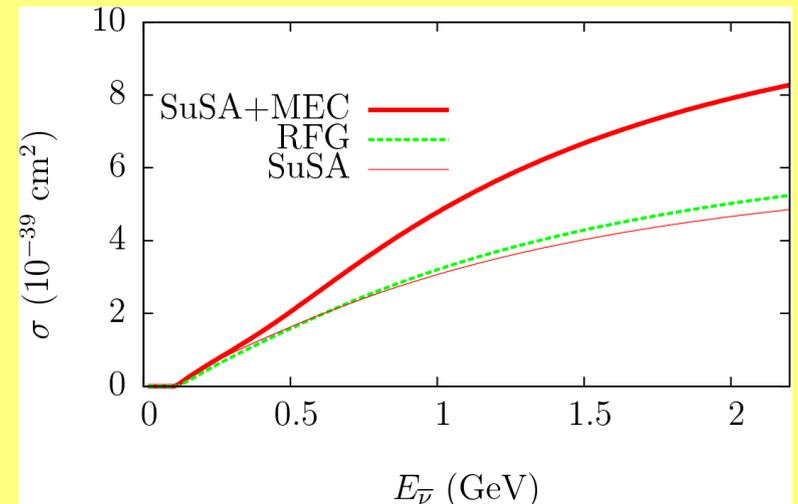
The dependence upon the nuclear model is essentially canceled in the ratio

# Predictions for antineutrino scattering

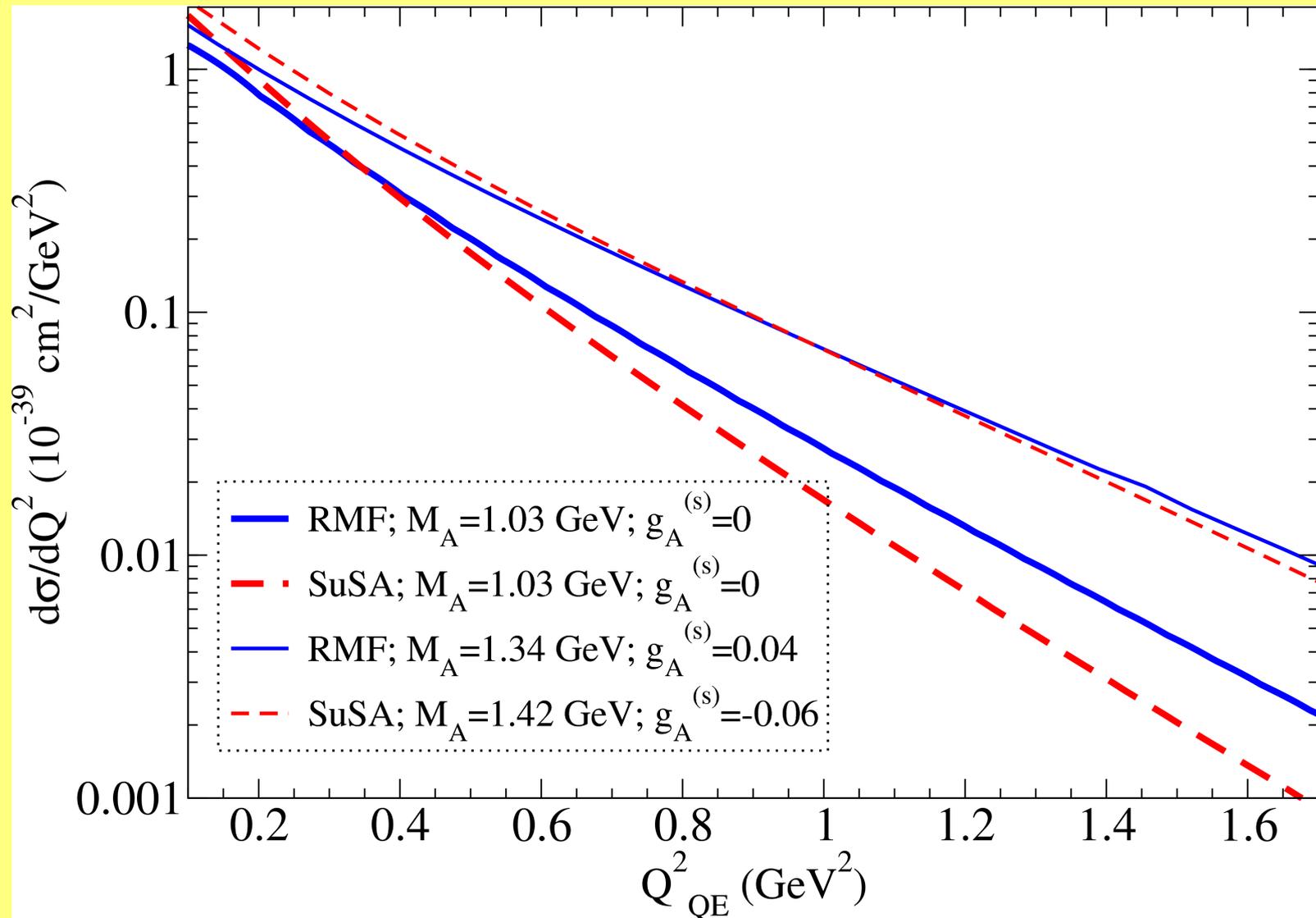
# CCQE antineutrino cross section



The effects of MEC in the present model are found to be very important and significantly larger than for neutrino scattering



# NCQE antineutrino cross section



# Summary

The SuperScaling approach to neutrino scattering:

- agrees by construction with electron scattering data in a wide range of kinematics;
- it can be applied to all nuclei (II kind scaling);
- the superscaling function is phenomenological, but it is well reproduced by the relativistic mean field model.
- It is based on some assumptions:
  1. The superscaling function is extracted from longitudinal data and the approach assumes  $f_L = f_T = f_{T'}$  (true in some, but not all, microscopic models)
  2. Superscaling violations are not accounted for and must be added (MEC)
- Application to the CCQE process leads to cross sections lower than the MiniBooNE data
- Addition of 2p2h MEC diagrams improves the agreement but still misses the data at higher scattering angles and lower muon energies (however, the axial MEC are missing)
- Application to the NCQE process gives results lower than the MiniBooNE data at low  $Q^2$  (but no MEC in the present model)
- Improvements of the model (in progress):
  1. Inclusion of axial MEC in the 2p2h sector
  2. Inclusion of correlations associated to MEC, necessary to preserve gauge invariance

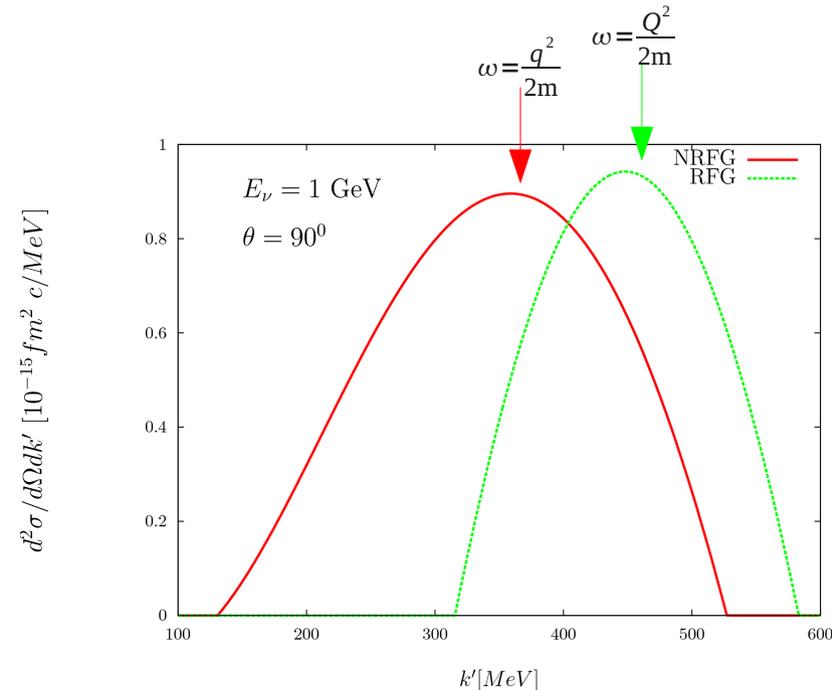
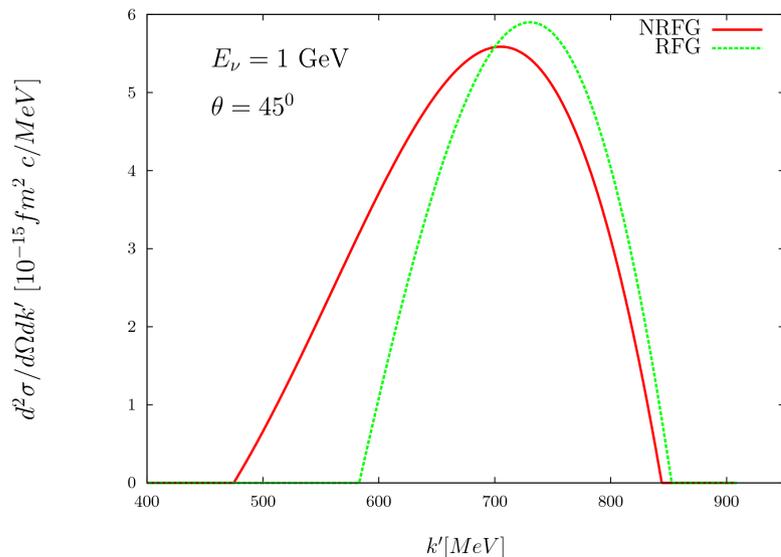
# Backup Slides

# Relativistic effects

Reliable nuclear modeling in the 1 GeV region cannot neglect relativistic effects

1. Relativistic **Kinematics**:  $E_p = m + p^2/(2m) \longrightarrow E_p = \sqrt{p^2 + m^2}$
2. Relativistic Current **Operators**: Dirac spinors and  $\gamma$ -matrices
3. Relativistic treatment of the **nuclear dynamics**

All these effects are **large** at the typical neutrino energies of current experiments ( $E_\nu \sim 1$ -few GeV)



# Expressions for the $\pi$ -exchange currents

$$J_{(2)}^\mu = J_{(s)}^\mu + J_{(\pi)}^\mu + J_{(\Delta)}^\mu$$

“Seagull”:

$$J_{(s)}^\mu(p'_1, p'_2; p_1, p_2) = \frac{f^2}{m_\pi^2} i \epsilon_{3ab} \bar{u}(p'_1) \tau_a \gamma_5 \gamma^\nu K_{1\nu} u(p_1) \frac{F_1^\nu}{K_1^2 - m_\pi^2} \bar{u}(p'_2) \tau_b \gamma_5 \gamma^\mu u(p_2) + (1 \leftrightarrow 2)$$

“Pion-in-flight”:

$$J_{(\pi)}^\mu(p'_1, p'_2; p_1, p_2) = \frac{f^2}{m_\pi^2} i \epsilon_{3ab} \bar{u}(p'_1) \tau_a \gamma_5 \gamma^\nu K_{1\nu} u(p_1) \frac{F_\pi (K_1 - K_2)^\mu}{(K_1^2 - m_\pi^2)(K_2^2 - m_\pi^2)} \bar{u}(p'_2) \tau_b \gamma_5 \gamma^\rho K_{2\rho} u(p_2)$$

“ $\Delta$ -MEC”:

$$J_{(\Delta)}^\mu(p'_1, p'_2; p_1, p_2) = \frac{f_{\pi N \Delta} f}{m_\pi^2} \bar{u}(p'_1) T_a^\mu(1) \gamma_5 u(p_1) \frac{1}{K_2^2 - m_\pi^2} \bar{u}(p'_2) \tau_a \gamma_5 \gamma^\nu K_{2\nu} u(p_2) + (1 \leftrightarrow 2)$$

$$T_a^\mu(1) = K_{2\alpha} \Theta^{\alpha\beta} G_{\beta\rho}^\Delta (H_1 + Q) S_f^{\rho\mu}(H_1) T_a T_3 + T_3 T_a S_b^{\rho\mu}(P'_1) G_{\rho\beta}^\Delta (P'_1 - Q) \Theta^{\beta\alpha} K_{2\alpha}$$

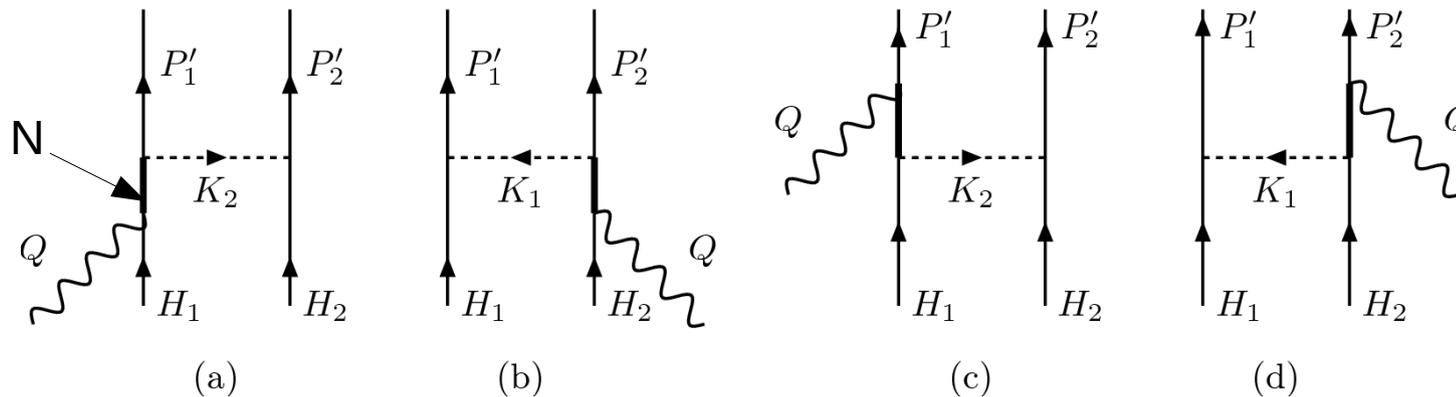
$$\Theta^{\alpha\beta} = g^{\alpha\beta} - \frac{1}{4} \gamma^\alpha \gamma^\beta$$

Rarita-Schwinger propagator

Forward and backward  $\Delta$ -electroexcitation tensors

# Correlation Currents

In order to preserve **gauge invariance correlation diagrams**, where the virtual boson attaches to one of two interacting nucleons, must be also considered:



The total two-body current is conserved:

$$\partial^\mu J_{\mu}^{(2)} = 0$$

Correlation currents contribute to **both longitudinal and transverse** channels.

# Relativistic Impulse Approximation Models

**RIA**: Scattering off a nucleus  $\Rightarrow$  Incoherent sum of single-nucleon scattering processes

Nuclear current  $\Rightarrow$  One-body operator

$$J_N^\mu(\omega, q) = \int d\vec{p} \bar{\Psi}_F(\vec{p} + \vec{q}) J_N^\mu \Psi_B(\vec{p})$$

- 1) Relativistic Mean Field Model - RMF
- 2) Semi-relativistic Shell Model - RSM
- 4) Relativistic Green's Function - RGF

In the **RMF** approach

$\Psi_B$ : bound nucleon w.f.  $\Rightarrow$  Relativistic Mean Field (strong S and V potentials)

$\Psi_F$ : ejected nucleon w.f.  $\Rightarrow$  Final State Interaction, treated in different approaches:

RPWIA: relativistic plane wave (no FSI)

rROP: real relativistic optical potential

RMF: uses the **same** RMF employed for the initial state

# Relativistic Fermi Gas and super-scaling

- The nucleus is a collection of free nucleons described by Dirac spinors  $u(p,s)$
- The only correlations between nucleons are the Pauli correlations
- **Lorentz covariance** and **Gauge invariance** exactly fulfilled

Response functions:  $R_K(\kappa, \lambda) = G_K(\kappa, \lambda) f_{RFG}(\psi)$

dimensionless variables  
 $\lambda = \frac{\omega}{2m_N}, \kappa = \frac{q}{2m_N}, \tau = \kappa^2 - \lambda^2$

$$\psi(\lambda, \tau) = \frac{1}{\sqrt{\xi_F}} \frac{\lambda - \tau}{\sqrt{\tau(1+\lambda) + \kappa(1+\tau)}}$$

single-nucleon functions

“super-scaling” function (universal)

$$f_{RFG}(\psi) = \frac{3}{4} (1 - \psi^2) \theta(1 - \psi^2)$$

$\psi = 0 \rightarrow QEP$

**RFG scaling variable**       $\xi_F$  Fermi kinetic energy

$k_F$  Fermi momentum

Two parameters:  $E_s$  energy shift (typically  $\sim 20$  MeV):  $\omega \rightarrow \omega' = \omega - E_s$   
 fixed by fitting the position and the width of the QEP.  $\psi \rightarrow \psi' = \psi(\lambda', \tau')$

parabola

The RFG predicts that if the nuclear cross section is divided by the single nucleon one and plotted versus  $\psi$  for different values of the momentum transfer  $q$  and  $k_F$ , the result is

1) independent of  $q$ : scaling of first kind

2) independent of  $k_F$ : scaling of second kind  $\longrightarrow$

**The RFG exactly super-scales**  
**All scaling functions (L,T,T') are equal**

# Scaling in the Delta region

- 1) subtract the QE contribution obtained from Superscaling hypothesis

$$\left[ \frac{d^2 \sigma}{d\omega d\Omega} \right]_{\Delta'} = \left[ \frac{d^2 \sigma}{d\omega d\Omega} \right]_{\text{exp}} - \left[ \frac{d^2 \sigma}{d\omega d\Omega} \right]_{\text{QE}}$$

- 2) divide by the elementary  $N \rightarrow \Delta$  cross section

$$F_{\Delta'} = \frac{\left[ \frac{d^2 \sigma}{d\omega d\Omega} \right]_{\Delta'}}{\sigma_M (v_L G_L^\Delta + v_T G_T^\Delta)}$$

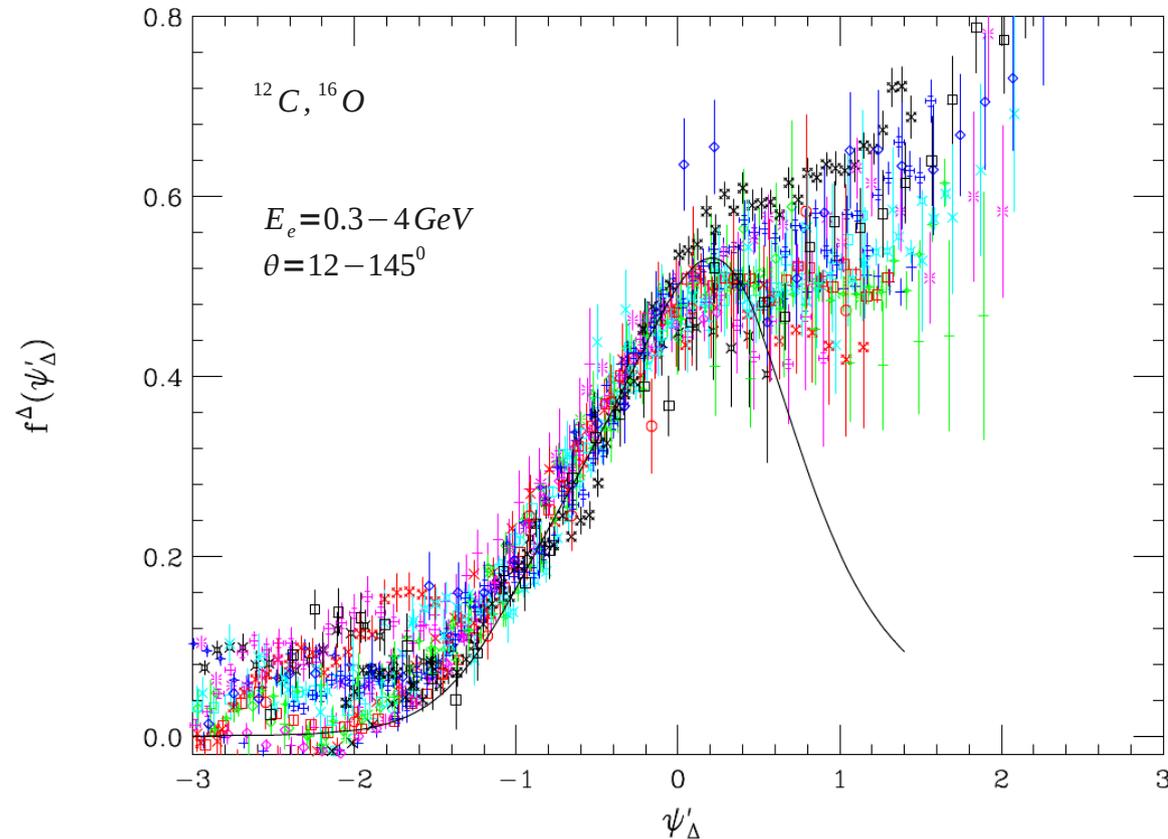
- 3) multiply by the Fermi momentum

$$f_{\Delta'} = k_F F_{\Delta'}$$

- 4) plot versus the appropriate scaling variable

$$\psi_\Delta = \psi(q\rho, \omega\rho)$$

$$\rho = 1 + \frac{1}{4\tau} (m_\Delta^2/m_N^2 - 1) \quad \text{inelasticity}$$



Amaro, Barbaro, Caballero, Donnelly, Molinari, Sick, PRC71 (2005)

This approach can work only at  $\Psi_\Delta < 0$ , since at  $\Psi_\Delta > 0$  other resonances and the tail of DIS contribute