

# Feedback Control of SPS E-Cloud/TMCI Instabilities

## LARP DOE Review July 2012

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# SPS Ecloud/TMCI Instability R&D Effort

- Stabilize Ecloud and TMCI effects via GHz bandwidth feedback
- Proton Machines, Ecloud driven instability - impacts SPS as high-current LHC injector ( applicable also to LHC,PS)
  - Photoelectrons from synchrotron radiation - attracted to positive beam
  - Single bunch effect - head-tail ( two stream) instability
- TMCI - Instability from degenerate transverse mode coupling - may impact high current SPS role as LHC injector
- Multi-lab effort - coordination on
  - Non-linear Simulation codes (LBL - CERN - SLAC)
  - Dynamics models/feedback models (SLAC - LBL-CERN-Stanford STAR lab)
  - Machine measurements- SPS MD (CERN - SLAC - LBL)
  - Kicker models and simulations ( LNF-INFN,LBL, SLAC)
  - Hardware technology development (SLAC,)
- Complementary to coatings, grooves, etc. for Ecloud control
- Also addresses TMCI , allows operational flexibility
- LARP feedback program provides novel beam diagnostics in conjunction with technology development

# Progress July 2011 - June 2012

## ● Project activity

- Ecloud/TMCI Modeling, dynamics estimation, feedback simulation efforts
- Dynamics analysis techniques to quantify nonlinear unstable oscillators
- MD results July/August 2011, November 2011, April 2012 (Instability Dynamics, Pickup and Kicker studies)
- Hardware efforts (4 GS/sec. synchronized excitation, 1 GHz power amps to tunnel)

## ● Research directions

- Simulations - numeric nonlinear PIC, simplified linear models
- Machine measurements - understand required bandwidth, validate simulations
- Simplified feedback models - what sort of control is feasible? Robustness?
- Development of 4 GS/sec. processing channel technology
- Kicker Structures
  - Research effort to investigate useful 1 - 2 GHz Bandwidth Transverse Kicker
  - Array of  $1/4 \lambda$  Striplines? Periodic slotline? Overdamped Cavity?
- Near-term plans (Summer 2012 MD, models, 4 GS/sec. demo system) - Response to [Chamonix emphasis](#), [SPS 2013 shutdown](#)

# Organization and People - Some welcome new faces

- SLAC J. Fox (50%), C. Rivetta(50%), J. Olsen, J. Dusatko(30%), M. Pivi(20%)
- J. Cesaratto ( Toohig Fellow)
- K. Li ( CERN Marie Curie Fellow)
- Ozhan Turgut, K. Pollock ( Stanford Graduate Students )
- CERN - W. Hoefle, B. Salvant, U. Wehrle
  - SPS/LHC Transverse Feedback
  - MD planning and MD measurements
  - TMCI simulations and measurements
- LBL J-L Vay, M. Furman, Z. Paret, R. Secondo, S. De Santis
  - Kicker study, Ecloud Simulation effort (WARP), Pickup Equalizer
- LNF-INFN F. Marcellini, S. Gallo, M. Zobov, A. Drago
  - Kicker study, Impedance estimates



J. D. Fox

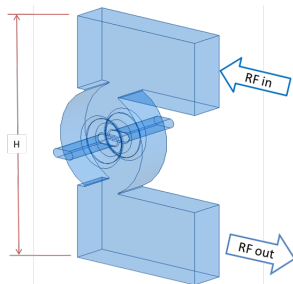
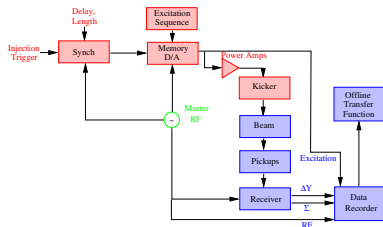
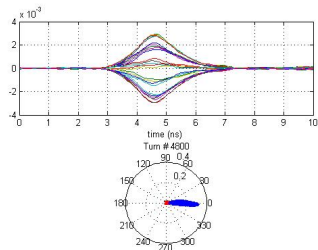


LARP DOE Review July 2012



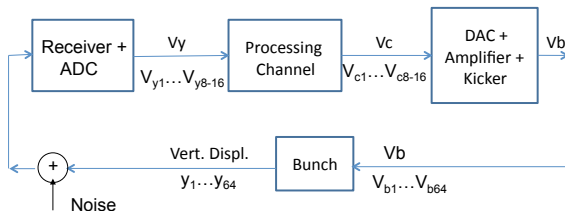


# Recent efforts and Recent progress



# Macro - Particle Simulation Codes : Realistic Feedback

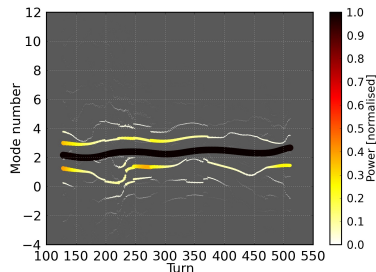
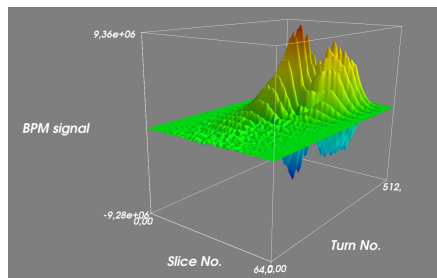
Add realistic blocks representing feedback system



- Receiver, processing channel, amplifier, kicker include frequency response, signal limits and noise.
  - Each block is modeled in the code by a matrix representing the frequency response
  - $[V_{b1} \dots V_{b64}]^T = M_{PWR} [V_{c1} \dots V_{c16}]^T$  (DAC+Ampl.+Kicker)
- Include the main limitations in the feedback channel due to the hardware.

# HeadTail study - Ecloud driven instability of SPS

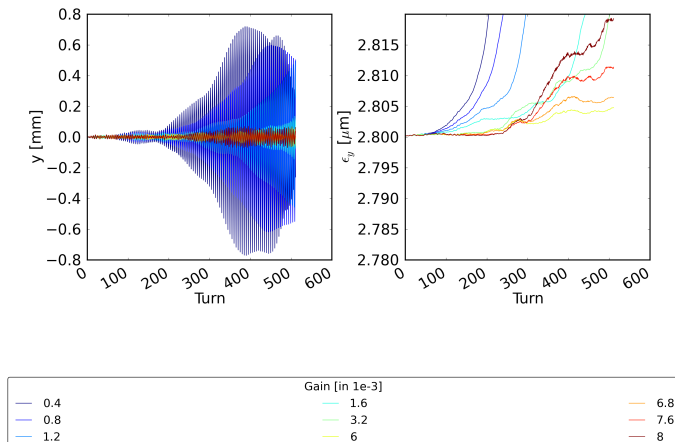
Ecloud instability,  $10^{11}$  protons/bunch,  $\rho_e \approx 6 \times 10^{11} e^- m^{-3}$



- Clear coherent motion above the instability threshold
- The mode evolution reveals the presence of predominantly modes  $\{0, -1, -2\}$  (shifted)

# HeadTail - simplified feedback model, 200 MHz Kicker

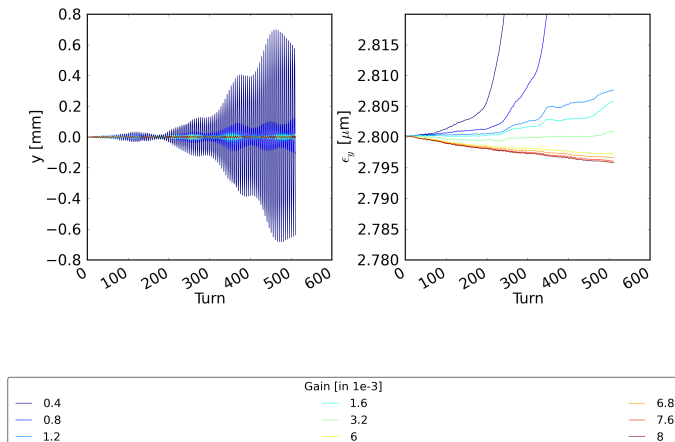
Ecloud instability,  $10^{11}$  protons/bunch,  $\rho_e \approx 6 \times 10^{11} \text{ e}^- \text{ m}^{-3}$



- Motion is unstable at all gain settings

# HeadTail - simplified feedback model, 500 MHz Kicker

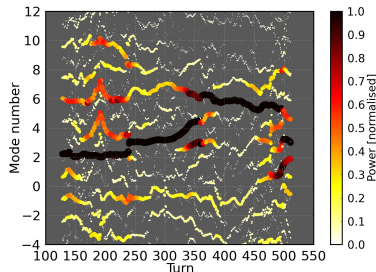
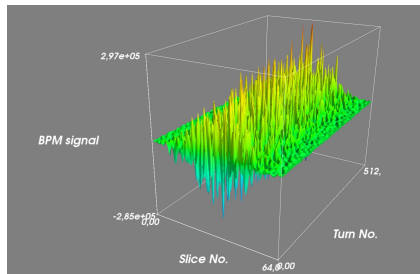
Ecloud instability,  $10^{11}$  protons/bunch,  $\rho_e \approx 6 \times 10^{11} e^- m^{-3}$



- Motion is stable for gain  $>$  threshold

# HeadTail study - simplified feedback, 500 MHz Kicker

Ecloud instability,  $10^{11}$  protons/bunch,  $\rho_e \approx 6 \times 10^{11} e^- m^{-3}$

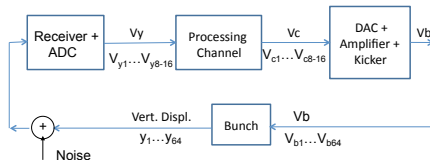


- Clear damping of the coherent motion
- Remaining power is distributed over modes {2,6}
- Nonlinear system, difficult to quantify margins

# Progress in Simulation Models

- Significant efforts, including feedback to WARP, Head-Tail and CMAD
- Significant progress, especially in understanding numeric noise in models, impact on feedback noise model
- Still needs realistic channel noise study, sets power amp requirements
- Still needs more quantitative study of kicker bandwidth requirements
- Head-tail offers path to evaluate TMCI and feedback methods
- Critical to validate simulations against MD data
- Continued progress on linear system estimation methods

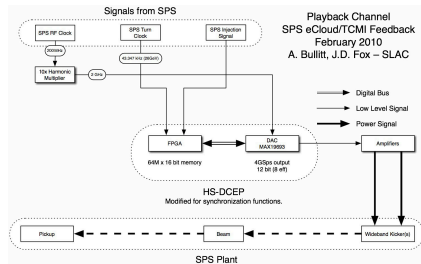
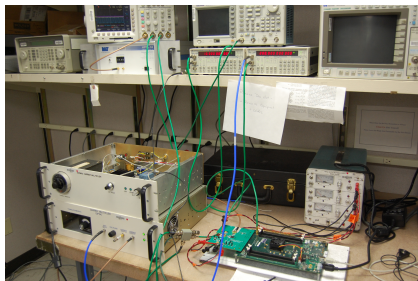
Add realistic components to the feedback channel -  
CMAD / HeadTail / Warp



- Bunch is sampled in z using 64-80 samples (equal charge - equal distance)
- Receiver, processing channel, amplifier, kicker include frequency response, signal limits and noise.
- Processing channel can operate from 1 to 64 samples to model different sampling rates.

# SPS Excitation MD 2011 and 2012

- Past MD efforts look at unstable beam - very complex dynamics
  - Plan - Drive beam below threshold - look at dynamics as currents increase
  - Drive selected bunch via existing pickup, observe response
  - Validate numeric codes against machine data
  - Important test bed for full-scale back end at 4 GS/sec.
  - Lots of detailed hardware and software to develop and get ready to do the measurements
  - Develop time and frequency domain analysis tools

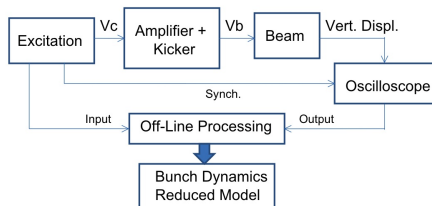




# Driven Beam Motion MD Experiments July/August, November 2011 and April 2012

## Goal: Drive individual sections of the bunch - Estimate Models

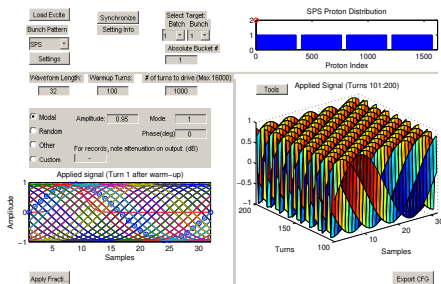
- Excitation - Power Stage - Vertical displacement measurement.
- Estimate bunch reduced dynamical model in open loop- Below e-cloud instability threshold. Increase currents and study dynamics change
- Compare MD results to macro-particle simulation codes



- Drive individually different areas of the bunch (Excitation - Amplifier - Kicker)
- Measure with scope the receiver signals  $\Delta - \Sigma$ . Estimate vertical displacement for different sections of the bunch.
- Based on Input-Output signals, estimate bunch reduced model.

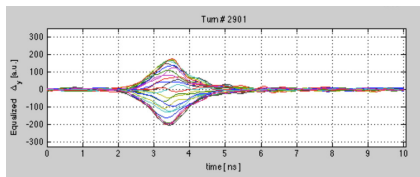
# System Development for MD studies

- 4 GS/sec bunch-synchronized random excitation system with GUI
- Broadband 80W 20 - 1000 MHz amplifiers
  - Not ideal, useful for MD studies
  - Chassis, couplers, remote control for tunnel hardware
- Hardware equalizer for real-time front end

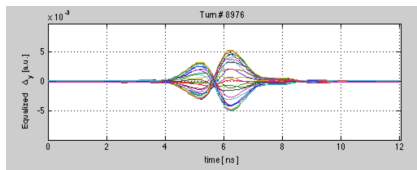


## Example Results from 2011/2012 Excitation studies

- We excite the single bunch ( stable) beam from our amplifier array
- Study motion via pickup array, receiver system, digitize at 40 GS/sec.
- Movies ( time domain), and Spectrograms ( frequency domain)
  - Driven from Synthesizer at betatron frequency
  - Driven from Excitation system at mode 0, mode 1, etc. frequencies
  - Excitation files can be sines, band-limited random noise, chirps, etc. for 15,000 turns



Barycentric driven motion

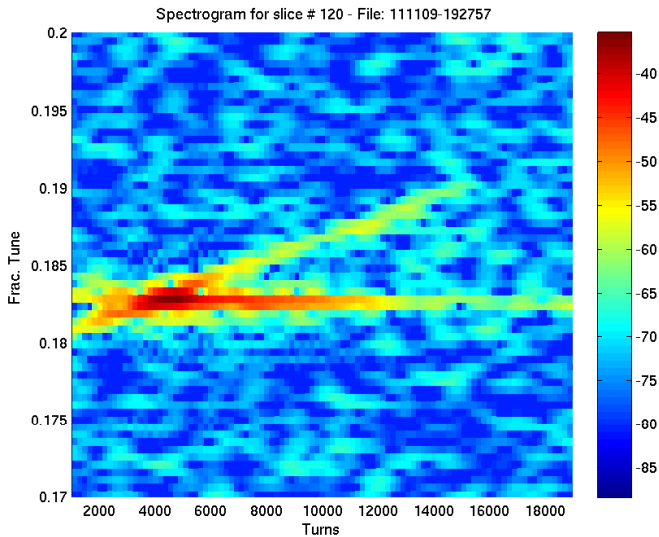


Head-tail driven motion

## Movies, spectrograms from Excitation studies

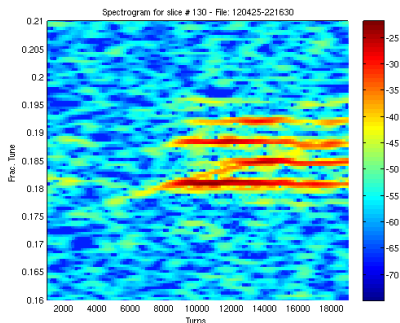
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# Movies, spectrograms from Excitation studies



# Excitation MD July/August, Nov 2011 and April 2012

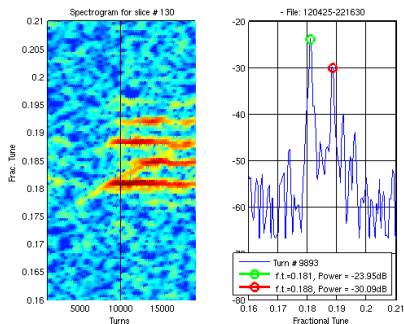
- Excitation methods ( chirps, random, selected modes)
- Chromaticity 0, on the verge of instability



- Spectrogram of beam motion for a slice in the tail of the beam
- In looking at particular turns, we can see the relative amplitudes of the excited modes
- In this example, we see the excitation of 4 modes, from  $\nu_{\beta_y}$  to  $\nu_{\beta_y} + 3\nu_s$

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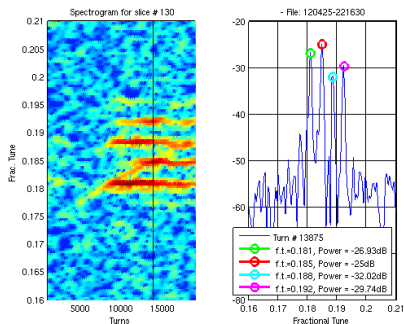
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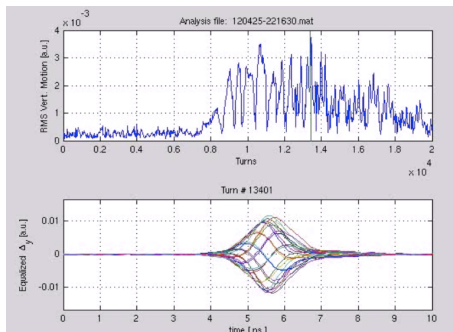
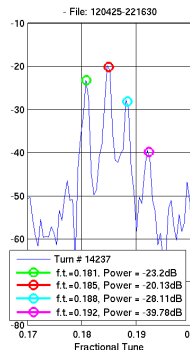
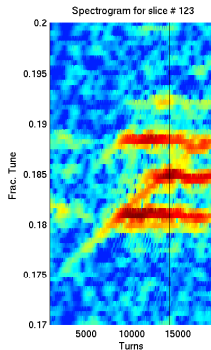


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# Chirp excitation in Frequency and time domain

- same data, two complementary analysis methods
  - Excitation methods ( chirps, random, selected modes)
  - ability to clearly excite through mode 4
  - watch the movie, too!



## Excitation of multiple beam modes - time domain

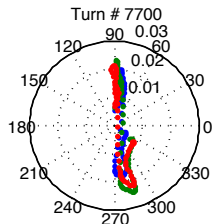
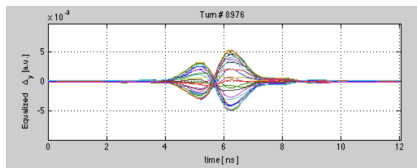
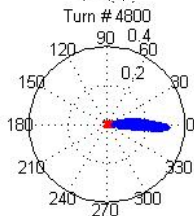
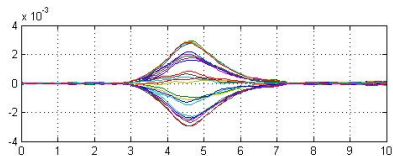
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## Excitation of multiple beam modes - frequency domain

Play

# Vector (Modal) Analysis of Beam Motion J. Cesaratto

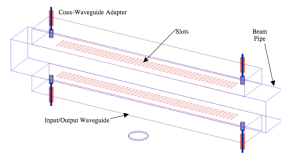
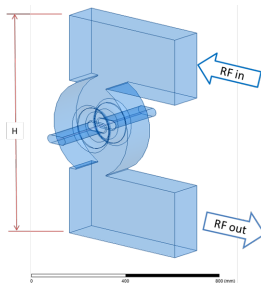
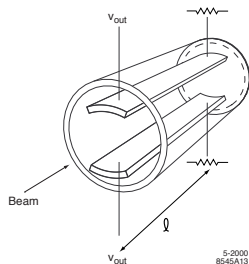
- We excite the beam from our amplifier array
- Study motion via pickup array, receiver system, digitize at 40 GS/sec.
- Plot slice phase at modal frequency



Barycentric mode 0 motion

# Kicker Options Design Study

- LNF-INFN, LBL and SLAC Collaboration. Excellent progress 2012
- Goals - evaluate 3 possible options
  - Stripline (Arrays? Tapered? Staggered in Frequency?)
  - Overdamped Cavity ( transverse mode)
  - Slot and meander line ( similar to stochastic cooling kickers)
- Based on requirements from feedback simulations, shunt impedance, overall complexity - select path for fab



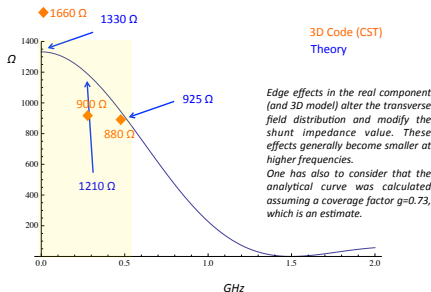
# Goals of the SPS kicker study

- Establish a baseline for implementation of a vertical kicker system in the super proton synchrotron (SPS)
- Application to control electron cloud (ecloud) and transverse mode coupling instability (TMCI)
- Review and determine the capabilities of several possible implementations with the criteria:
  - Shunt impedance
  - Beam broadband impedance
  - Bandwidth
  - Heating issues
  - Fabrication complexity & complications
  - Vacuum chamber compatibility
  - Ease of coupling to external amplifiers
- Provide CERN with an evaluation and recommendation of which kicker technologies to use for fabrication.

# Kicker Options - Ideas from S. De Santis and Z. Paret

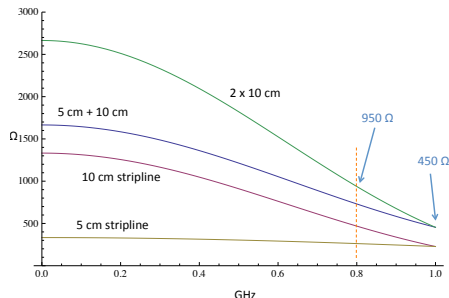
- Study of multiple striplines for bandwidth and overall shunt impedance
- RF models and estimates

## 10-cm Stripline



S. De Santis April 18, 2012

## Two Striplines (10 and 5 cm)

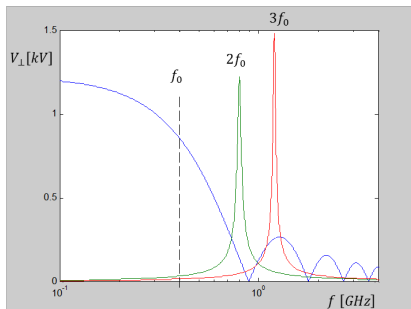


S. De Santis April 18, 2012

# Kicker Options - Idea from S. Gallo

- Use 25 ns interval between bunches, have kicker with 20 ns fill time
- High shunt impedance, requires more complex off-diagonal processing, input and output data at different rates

	Kicker #1	Kicker #2	Kicker #3
Type	Stripline	Cavity, TM110 defl. mode	Cavity, TM110 defl. mode
3-dB bandwidth	DC – 400 MHz	$800 \pm 16$ MHz	$1200 \pm 16$ MHz
Length	17 cm	15 cm	10 cm
Filling time	0.6 ns	10 ns	10 ns
$Q_L$	---	25	38
Shunt Impedance	$\approx 1.5$ k $\Omega$ (@ DC)	$\approx 1.5$ k $\Omega$ (@ 800 MHz)	$\approx 2.2$ k $\Omega$ (@ 1200 MHz)

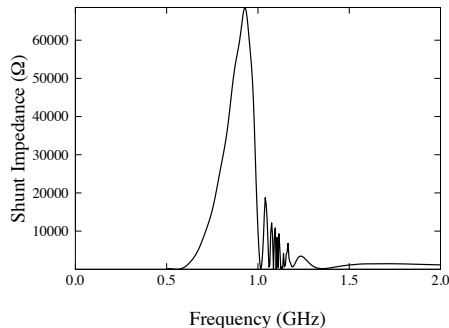
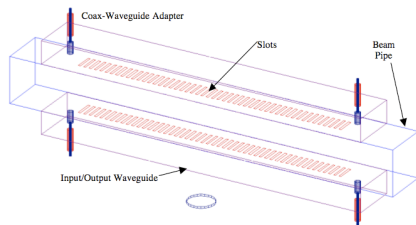


Assuming that each kicker is powered by a 1 kW source covering the entire device bandwidth, the resulting transverse voltage transferred to the beam as a function of the frequency is shown in the following plot.



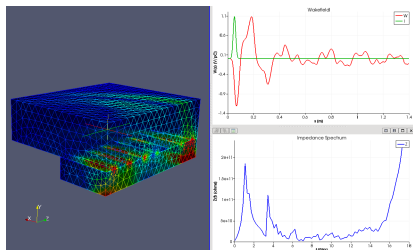
# Kicker Options - Idea from J. Cesaratto

- similar to stochastic cooling kickers
- wideband - ( longitudinal Impedance estimate in progress by M. Zobov)



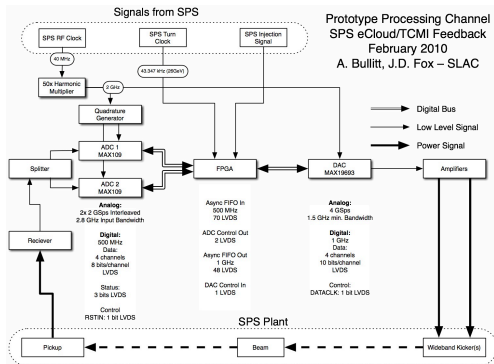
# Model of slotted-type kicker for the SPS

- Evaluation of a new high bandwidth kicker using a slotted structure as modeled in ACE3P
- Broadband impedance calculations are important for these structures
- Plan to expand into a full scale model, to determine feasibility as a wide band kicker



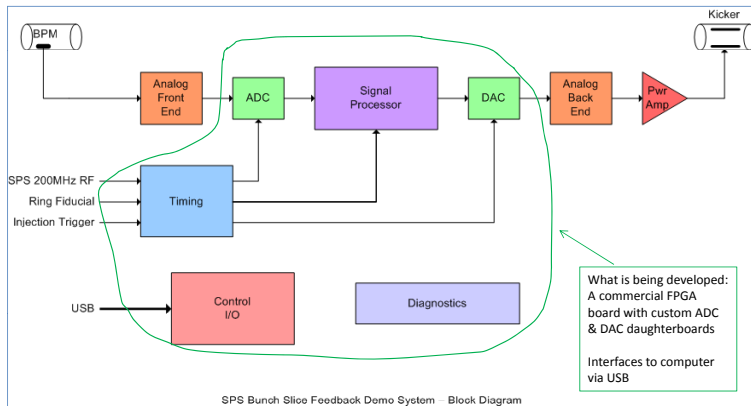
- simplified slotline 1/4 scale model
- On left are fields excited by the beam
- Plotted to the right are the wakefields and impedance

# 4 Gs/sec. 1 stack SPS feedback channel



- Proof-of-principle channel for closed loop tests in SPS before the 2013 shutdown, using existing kicker and excitation system
- Reconfigurable processing - evaluate processing algorithms
- Technical formalism similar to 500 MS/sec feedback at PEP-II, KEKB, DAFNE

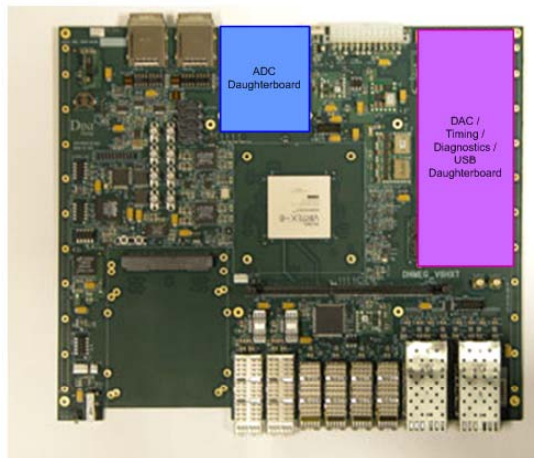
# Proof of Principle processing



# Prototype using FPGA evaluation board

## Implementation Details:

- Developing Two Daughterboards:  
DAC Board & ADC Board
- DAC board also contains circuitry for Timing, Diagnostics and USB interface
- This flavor of FPGA is optimized for high-speed serial I/O, but still contains enough DSP resources (864 slices) for our requirements
- Has up to 16GB of DDR3 memory
- Has two high-speed I/O connectors for fitting daughterboards onto



# Ongoing Technical Areas via LARP Feedback Program

- Low-noise transverse coordinate receivers and pickup techniques
  - ( Noise floor sets limits on damped beam motion and influences equilibrium emittance)
- High speed A/D and D/A subsystems for 4 - 8 GS/sec sampling rates
- High-speed DSP architectures consistent with 4 GS/sec sampling rates for full SPS implementation
- Wideband 20 - 1000 MHz RF power amplifiers, with acceptable phase response
- Master Oscillator, Timing system to synchronise to the SPS RF system, control sampling
- Diagnostic and beam instrumentation techniques to optimize feedback parameters and understand system effectiveness

# Ecloud/TMCI Progress

- Kicker design/estimation effort
  - Significant progress, welcome contributions from LBL, LNF-INFN and SLAC.
  - Important Milestone - recommendation of geometry for CERN fab, SPS installation
- Continued development SPS 4 GS/sec. vertical excitation system
  - System with 4 85W 20-1000 MHz amplifier array, excitation system
  - Used for MD measurements Summer 2011, Nov 2011, April 2012
  - Increasingly sophisticated analysis codes
  - Results show ability to excite through mode 4, value of beam diagnostic tool
- Understand Ecloud/TMCI dynamics via MD data, reduced models and numeric simulations
  - Extraction of system dynamics, development of reduced (linear) coupled-oscillator model for feedback design estimation
  - Inclusion of feedback models in WARP, CMAD and Head-Tail codes
- Design progress - 4 GS/sec processing demonstration prototype
  - FPGA platform, with D/A and A/D daughtercards in fab
  - Builds on existing timing and amplifier system for proof of principle tests

# Research Goals 2012 and Beyond

- Technology R&D - specification of wideband feedback technical components
- Technical Analysis of options, specification of control requirements
  - Single bunch control ( wideband, vertical plane) - Required bandwidth?
  - Control Algorithm - complexity? Flexibility? Machine diagnostic techniques?
  - Fundamental R&D in kickers, pickups - technology demonstration in SPS
- Develop proof of principle processing system, evaluate with machine requirements
- System Design proposal and technical implementation/construction plan
- Plans 2012-2013
  - Develop a technology small-scale prototype, develop wideband kicker
  - Functionality to test feedback techniques on a subset of bunches, evaluate options
  - Excellent Ph.D. material ( accelerator physics, nonlinear control), can support several students
- We will learn from a limited "quick prototype" at the SPS
- Can then confidently design a true operational system for SPS.





- J. Cesaratto, et al *Excitation of Intra-bunch Vertical Motion in the SPS - Implications for Feedback Control of Ecloud and TMCI Instabilities* Proceedings IPAC12
- S. De Santis, et al *Study of a Wideband Feedback Kicker for the SPS* Proceedings IPAC12
- M. Venturini, et al *Analysis of Numerical Noise in Particle-In-Cell Simulations of Single-Bunch Transverse Instabilities and Feedback in the CERN SPS* Proceedings IPAC12
- C. Rivetta, et al *Feedback System Design Techniques for Control of Intra-bunch Instabilities at the SPS* Proceedings IPAC12
- C. Rivetta, et al *Reduced Mathematical Model of Transverse Intra-bunch Dynamics* Proceedings IPAC12
- J. Fox et al *A 4 GS/s Synchronized Vertical Excitation System for SPS Studies - Steps Toward Wideband Feedback* Proceedings IPAC12
- M. Pivi, et al *Simulation Code Implementation to Include Models of a Novel Single-bunch Instability Feedback System and Intra-beam Scattering* Proceedings IPAC12
- T. Mastorides, et al, *Radio frequency noise effects on the CERN Large Hadron Collider beam diffusion*, PRST-AB 14,092802 (2011)
- T. Mastorides, et al, *Studies of RF Induced Bunch Lengthening at the LHC*, Proceedings PAC 11, NY
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# Wideband Intra-Bunch Feedback - General Considerations

The Feedback System has to stabilize the bunch due to E-cloud or TMCI, for all operating conditions of the machine.

- unstable system- minimum gain required for stability
- E-cloud - Beam Dynamics changes with operating conditions of the machine, cycle - feedback filter bandwidth required for stability
- Beam dynamics is nonlinear ( tunes, resonant frequencies, growth rates, modal patterns change dynamically in operation)
- Beam Signals - vertical information must be separated from longitudinal/horizontal signals, spurious beam signals and propagating modes in vacuum chamber
- Design must minimize noise injected by the feedback channel to the beam
- Receiver sensitivity vs. bandwidth? Horizontal/Vertical isolation?
- What sorts of Pickups and Kickers are appropriate? Scale of required amplifier power?
- Saturation effects? Impact of injection transients?
- Trade-offs in partitioning - overall design must optimize individual functions

# Extensions from existing 500 MS/sec. architectures

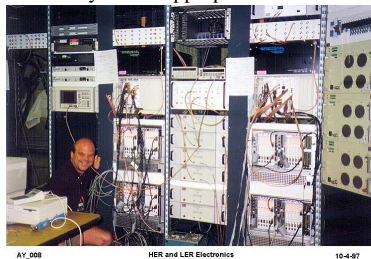
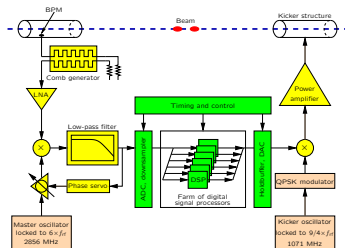
example/existing bunch-by-bunch feedback (PEP-II, KEKB, ALS, etc.)

- Diagonal controller formalism
- Maximum loop gain from loop stability and group delay limits
- Maximum achievable instability damping from receiver noise floor limits

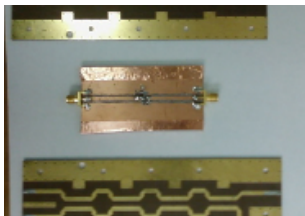
Electron-cloud effects act within a bunch (effectively a single-bunch instability) and also along a bunch train (coupling near neighbor bunches)

SPS and LHC needs may drive new processing schemes and architectures

Existing Bunch-by-bunch (e/g diagonal controller) approaches may not be appropriate



# Hardware Equalizer



- Pickup response distorts beam signals
- Long cables also have nonlinear phase response
- Existing software equalizer used in matlab data processing
- we need a real-time ( hardware) equalizer for processing channel
- Started by R. Secondo, now K. Pollock

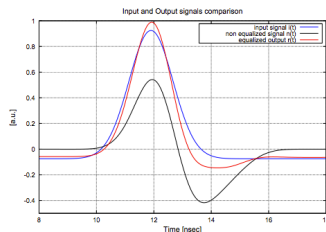


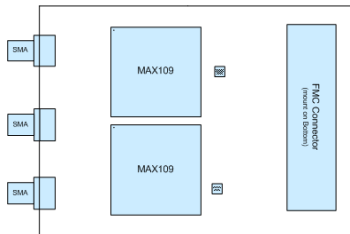
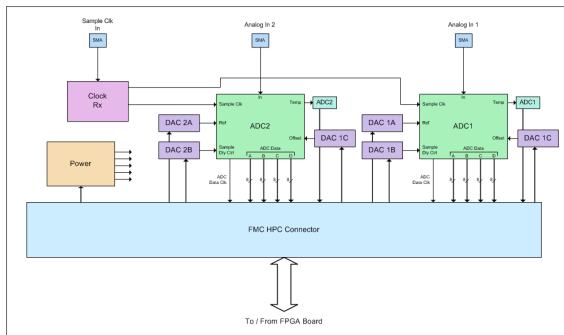
Figure 8: The input signal  $i(t)$ , non-equalized signal  $n(t)$  and equalized output  $r(t)$  using in the model a polynomial  $P(s)$  with the values reported in Table 1.



# 4 GS/sec ADC sampling

## ADC Daughterboard:

- Uses two Maxim MAX109 2.2GSa/s 8-bit ADCs in interleaved mode to get 4GSa/s sampling
- Receives external sample clock
- Delay for interleaved samples is generated externally
- Has fine delay vernier control for sample aperture (32ps max)
- Adjustable reference level
- Adjustable input offset compensation

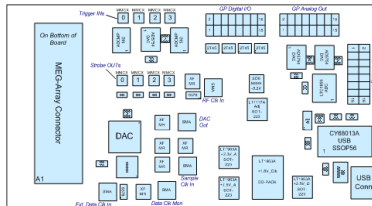
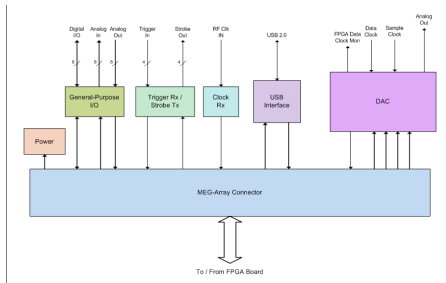




# 4 GS/sec D/A daughtercard with synchronization and timing functions

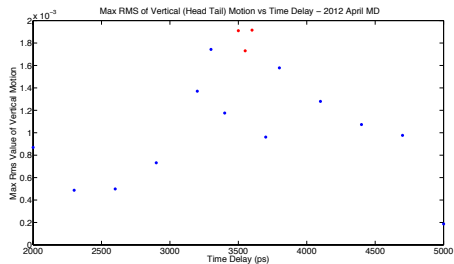
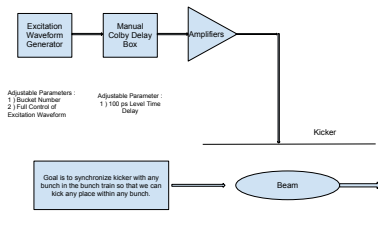
## DAC Daughterboard:

- Uses Maxim MAX19693 12-bit 4 GSa/s DAC
- Rx's External 2GHz sample clock
- Also Rx's RF clock and External Data Clocks
- Has output monitor for FPGA data clock
- DAC board also contains circuitry for Timing, Diagnostics and USB interface:
  - USB 2.0 Interface
  - (4) High-Speed Trigger Inputs
  - (4) High Speed Strobe Outputs
  - (8) General-Purpose Digital I/O
  - (4) Slow ADC Channels
  - (4) Slow DAC Channels



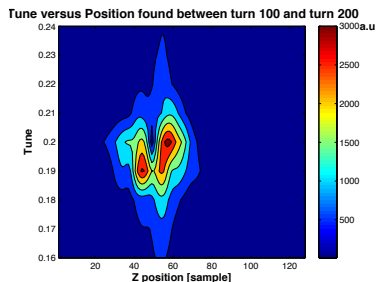
# Progress - Techniques to time a selected bunch and position ( O. Turgut)

- We excite the beam from our amplifier array
- To control the modes excited, we must have precision in excitation timing
- an off-time Mode 0 excitation will excite mode -1, 1
  - methods to repeatably position the kick, time the system
  - methods to maximize the effective kick applied to the beam

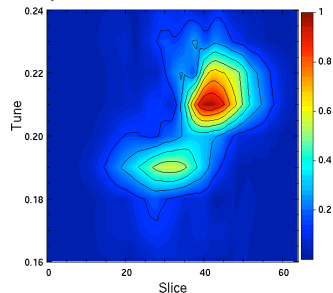


# Feedback design and Estimation - value of models

- Value of nonlinear time-domain simulation models (HeadTail, WARP, CMAD)
  - Incorporate ecloud physics, impedance models
  - Well-developed and understood in accelerator community
  - Difficult to estimate limits of feedback methods - requires many simulations
  - Does not offer transfer function or frequency-domain closed loop response
  - Difficult to understand impact of feedback noise, due to numeric noise in simulation



MD data June 2009



WARP simulation

# Analysis of Ecloud simulations and Ecloud MD data

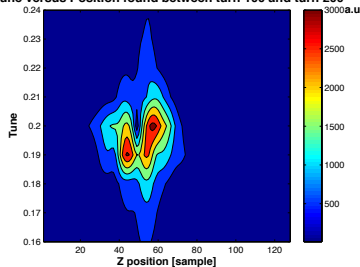
## ● Observations

- tune shifts within bunch due to Ecloud, bursting, positions of unstable bunches
- information in SUM signal
- frequencies within bunch - estimated bandwidth of instability signal, correction signal
- Growth rates of eigenmodes - initial fits and stability observations

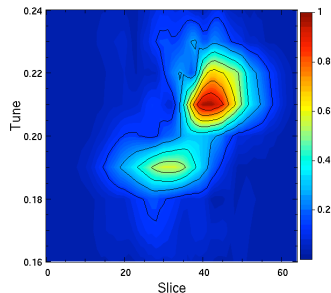
## ● Simulations - access to all the beam data. What effects are not included?

## ● Machine measurements - what can we measure? with what resolution? What beam conditions?

Tune versus Position found between turn 100 and turn 200



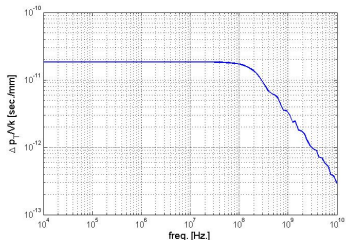
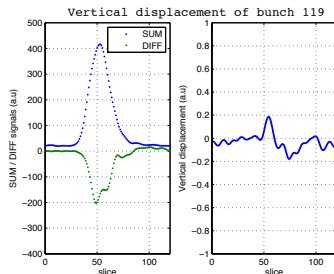
MD data June 2009



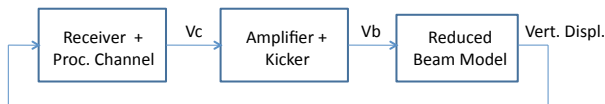
WARP simulation

# SPS Studies 2009, 2010, 2011, 2012

- Open-Loop unstable beam measurements
- Vertical Instability develops within 100 turns.  
Time domain ,frequency domain studies  
1E11 p/bunch
- Use this technique to compare models, MD data - extract beam dynamics necessary to design feedback. Roughly 25 slices (250 ps) between displacement maxima and minima
- Spring/summer 2010 - develop 4 Gs/sec. excitation system, drive tapered pickup as kicker
  - pickups and receiver studies
  - Noise, transverse resolution
  - 25 microns rms at 0.5E11 (vertical)
- Beam Excitation studies, stable beam
  - Develop excitation system with synchronized oscillators
  - Use 20 - 1000 MHz amplifier array, with 200 MHz bandwidth kicker
  - Study internal modes, look for dynamics change as currents



# Closed-Loop feedback around the Reduced Model



- Use the reduced model, with realistic feedback delays and design a simple FIR controller
- Each slice has an independent controller
  - This example 5 tap filter has broad bandwidth - little separation of horizontal and vertical tunes
- But what would it do with the beam? How can we estimate performance?

