



# Direct and Indirect BSM searches at Neutrino Experiments

Neutrino Theory Network Workshop

June 21-23, 2022

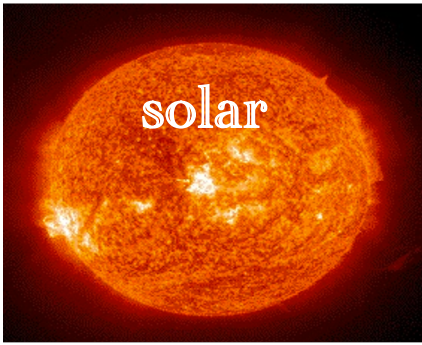
Zahra Tabrizi

Neutrino Theory Network fellow

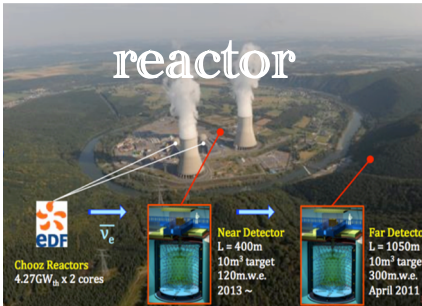


Northwestern  
University

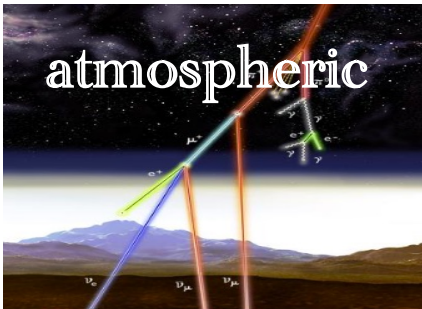
# Status of Neutrino Physics in 2022



Super-Kamiokande, Borexino, SNO



MBL: Daya Bay, RENO, Double Chooz  
LBL: KamLAND



IceCube, Super-Kamiokande



T2K, MINOS, NOvA

mixing angles:

$$\sin^2 \theta_{12} @ 4\%$$

$$\sin^2 \theta_{13} @ 3\%$$

$$\sin^2 \theta_{23} @ 3\%$$

mass squared differences:

$$\Delta m_{21}^2 @ 3\%$$

$$|\Delta m_{31}^2| @ 1\%$$

Future: DUNE, T2HK, JUNO



- Increase the precision
- CP-phase?
- Mass hierarchy?

Also:

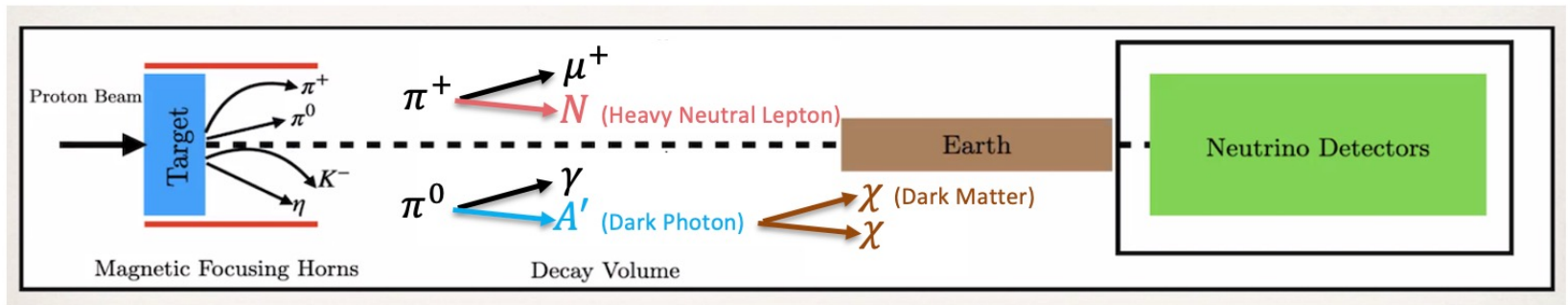
Mass scale? Dirac or Majorana?  
Sterile?

# Questions:

- How can we systematically use different neutrino experiments for BSM searches?
- How can we connect results to other particle physics experiments?
- Can neutrino experiments probe compelling new physics beyond the reach of high energy colliders?

# Neutrino Experiments as Dark Sector factories!

## 1) Direct Production of New Physics



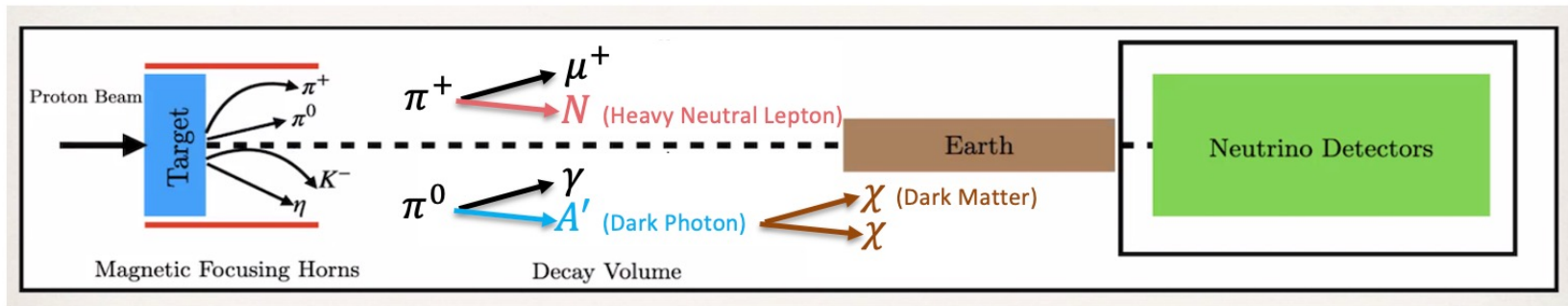
Credit: Kevin Kelly

The huge fluxes of neutrinos and photos can be used for BSM searches



# Neutrino Experiments as Dark Sector factories!

## 1) Direct Production of New Physics

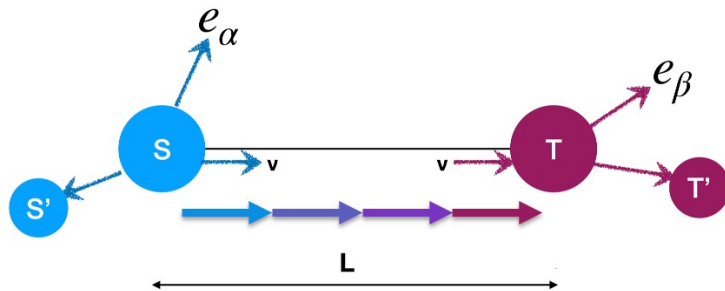


Credit: Kevin Kelly

The huge fluxes of neutrinos and photos can be used for BSM searches

## How about “Heavy” New Physics?

## 2) Affect Neutrino Interactions: Indirect Search



Observable: rate of detected events

$$\sim (\text{flux}) \times (\text{det. cross section}) \times (\text{oscillation})$$

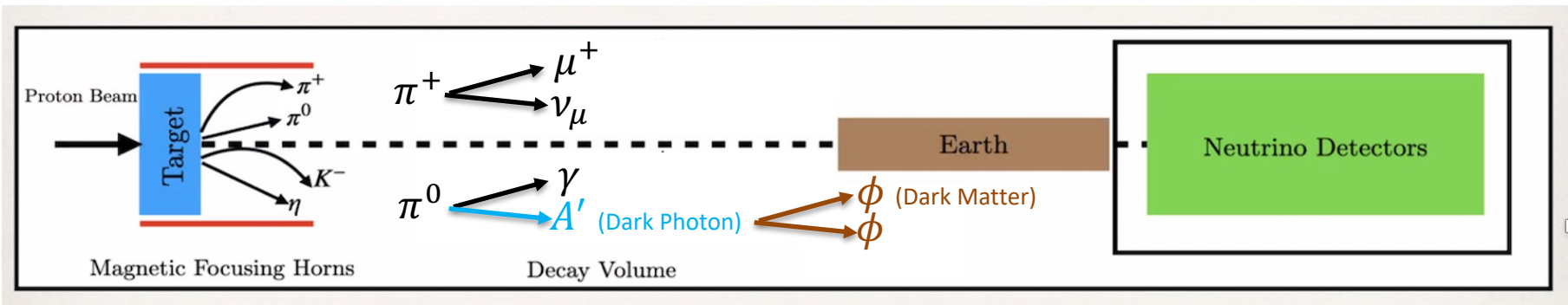


# Outline

- 1) Direct Search of Dark Sectors:
  - Light Dark Matter
  - Axion-Like Particles
- 2) Indirect Search-EFT:
  - Why EFT?
  - EFT at FASERv
  - EFT at DUNE?
- Conclusion



# Light Dark Matter

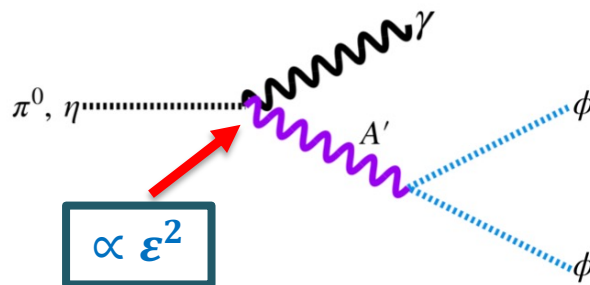


Photons at the target kinetically produce Dark Photons, which decay into dark matter:

$$\mathcal{L} \supset -\frac{\varepsilon}{2} F^{\mu\nu} F'_{\mu\nu} + \frac{M_{A'}^2}{2} A'_\mu A'^\mu + |D_\mu \phi|^2 - M_\phi^2 |\phi|^2$$

$$D_\mu = \partial_\mu - i g_D A'_\mu, \quad g_D = \sqrt{4\pi\alpha_D}$$

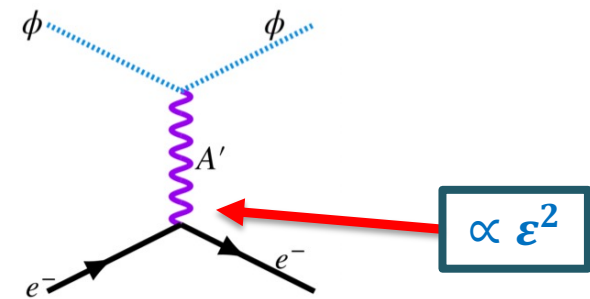
DM production



De Romeri, Kelly, Machado, PRD (2019)

(also Beam bremsstrahlung  
and Resonance production )

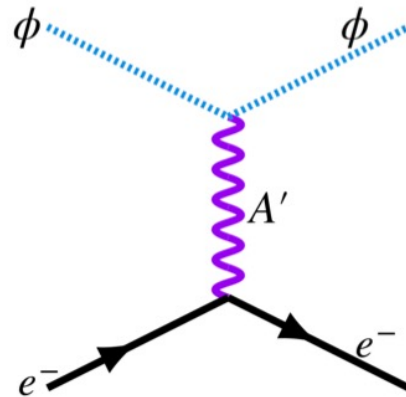
DM detection



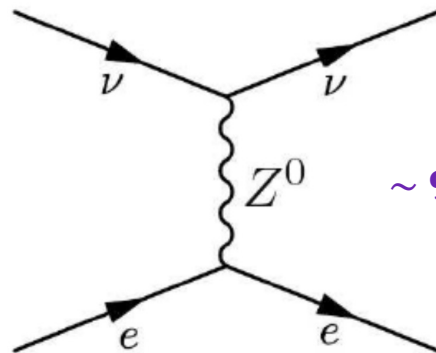
$$\text{DM event rate} \sim \varepsilon^4 \alpha_D$$

# Light Dark Matter

DM signal: elastic scattering on electrons



But so do neutrinos!

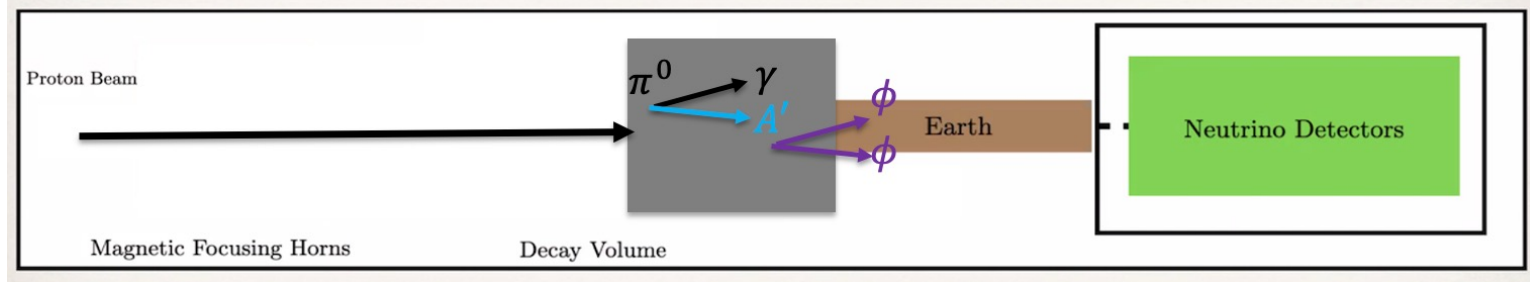


$\sim 9,400 \nu - e$  events / year!

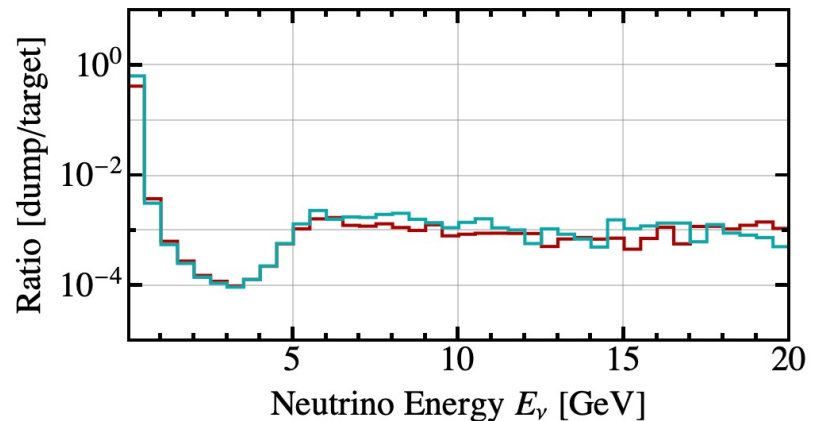
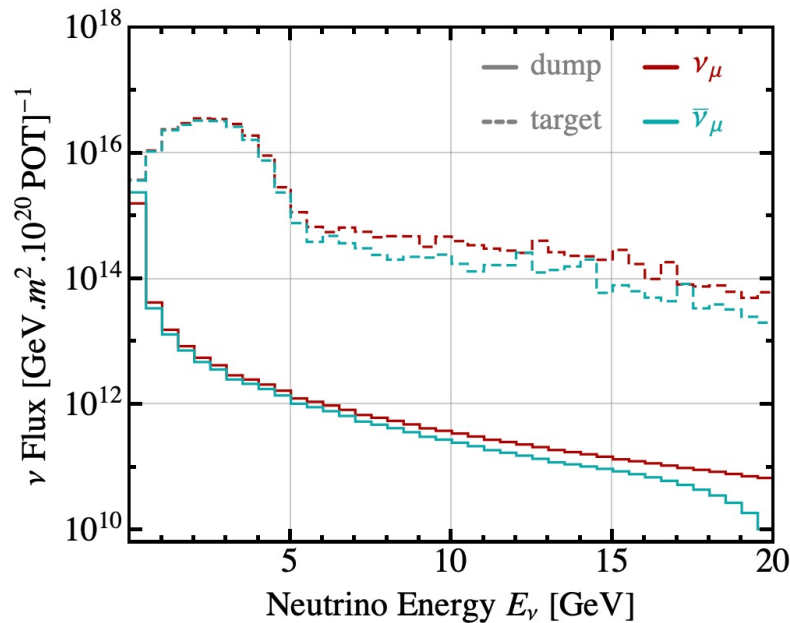
How can we get rid of neutrinos in a neutrino detector?



# Light Dark Matter---Target-less DUNE

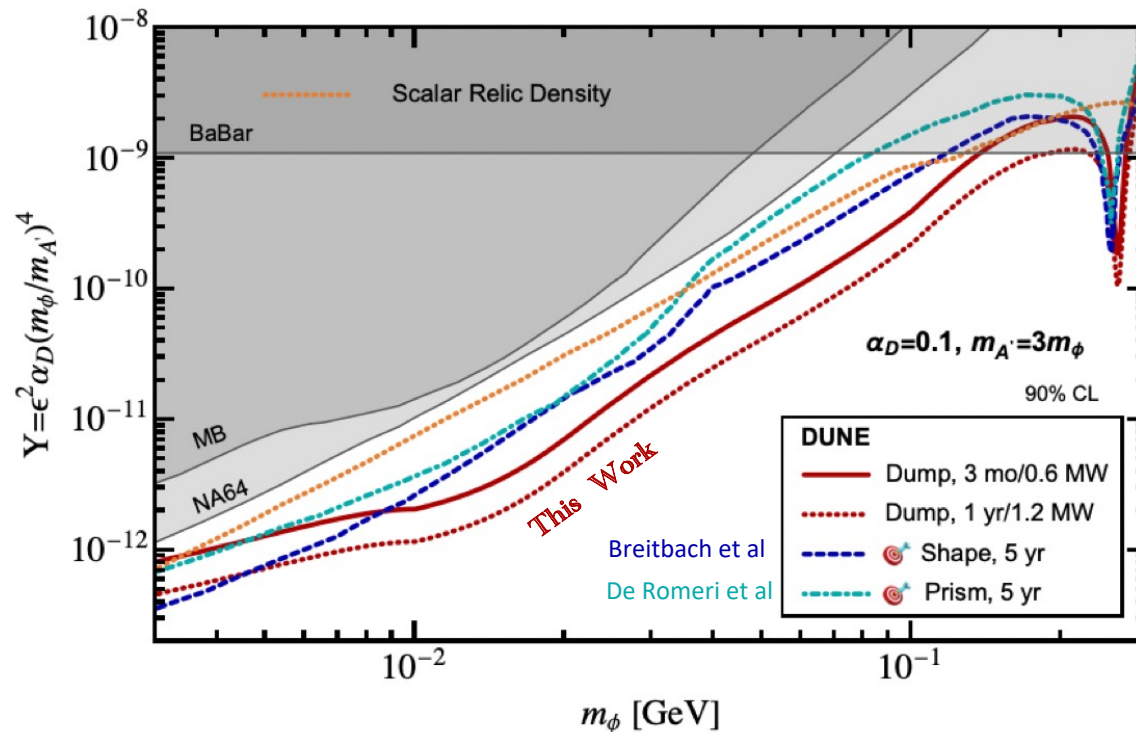


- Impinging protons directly to the dump area;
- Shorter distance between the source point and the detector  $\rightarrow$  more DM signal;
- Charged mesons absorbed in the Al beam dump before decay;
- The  $\nu$  flux decreases by 3 orders of magnitude  $\rightarrow$  Only 0.5  $\nu$ -e background in 3 mo-0.6 MW!



Bhattacharai, Brdar, Dutta, Jang, Kim, Shoemaker, [ZI](#), Thompson, Yu  
arXiv: 2206.06380

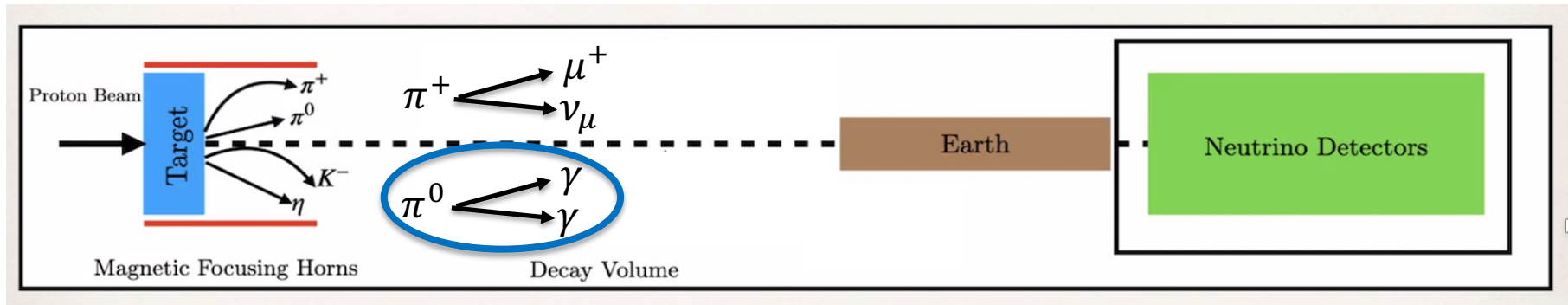
# Light Dark Matter---Target-less DUNE



Bhattacharai, Brdar, Dutta, Jang, Kim, Shoemaker, [ZT](#), Thompson, Yu  
arXiv: 2206.06380

Target-less DUNE can probe the parameter space  
for thermal relic DM in only 3 months!

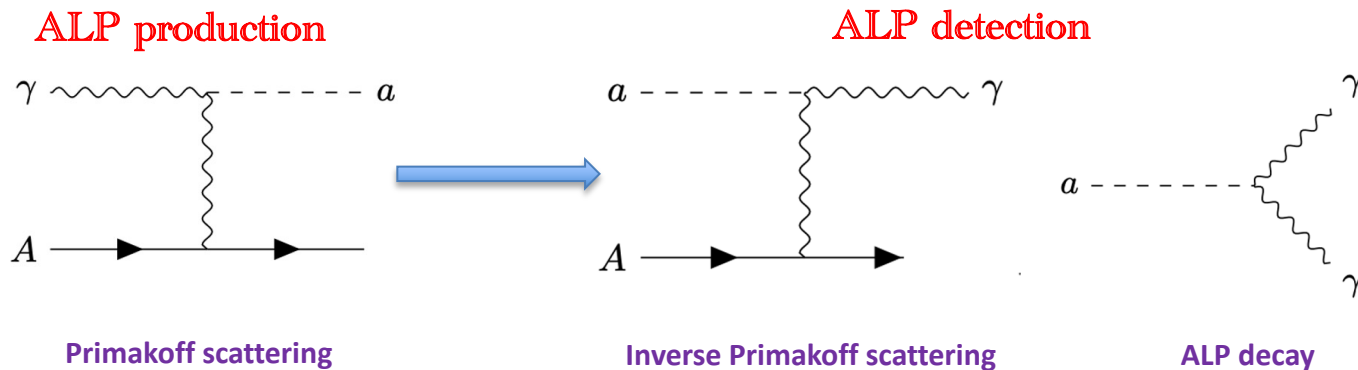
# ALPs at Neutrino Experiments



Credit: Kevin Kelly

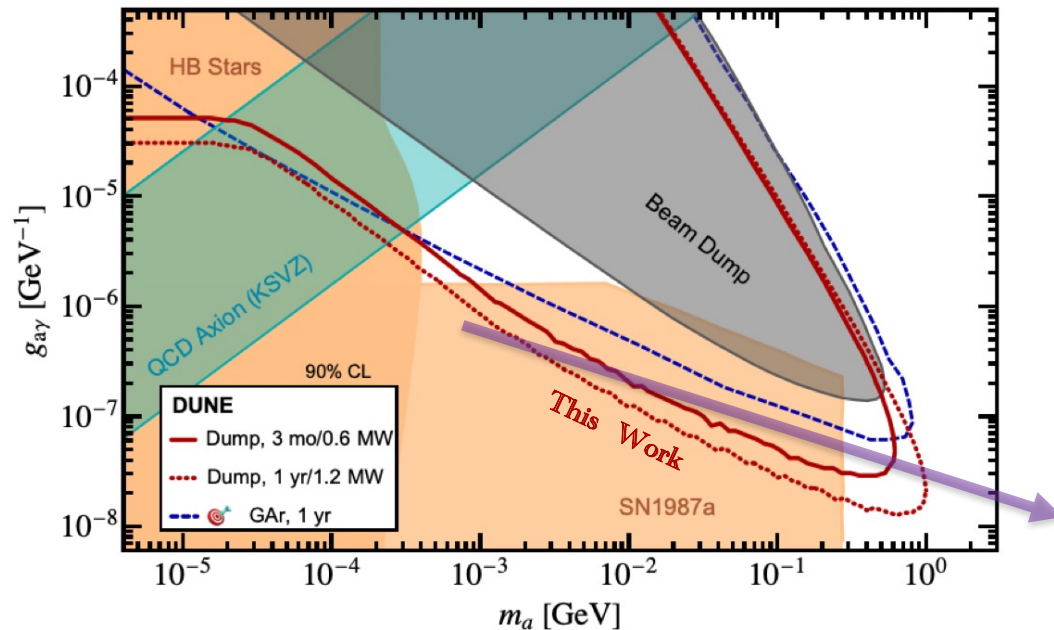
## Using photons to produce ALPs:

$$\mathcal{L}_{a\gamma\gamma} \supset -\frac{1}{4}g_{a\gamma\gamma}aF_{\mu\nu}\tilde{F}^{\mu\nu}$$





# ALPs at Neutrino Experiments



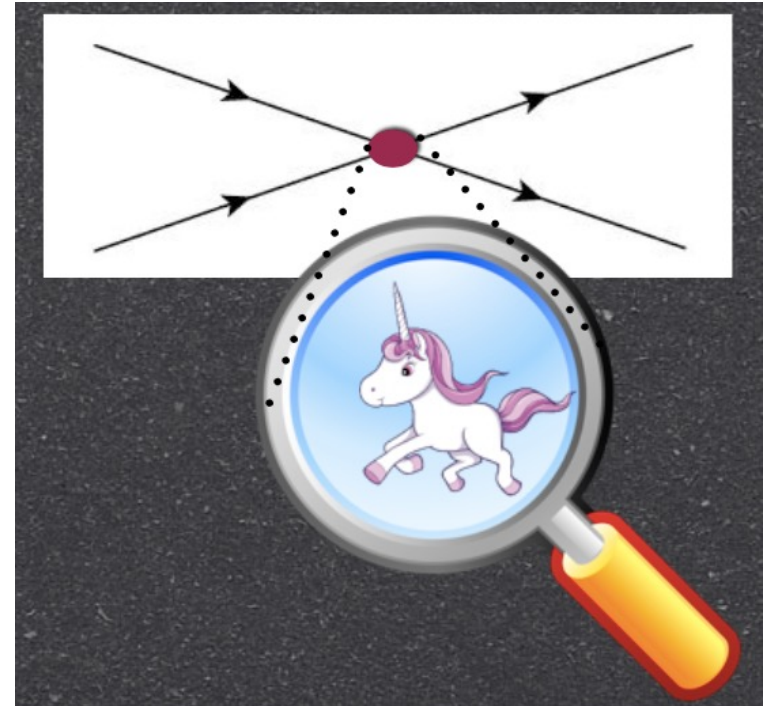
- The only lab-based constraints!
- Can probe QCD-axion
- 3 months target-less DUNE can do better than 1 yr GAr

Brdar, Dutta, Jang, Kim, Shoemaker, ZT, Thompson, Yu  
PRL (2021)

Bhattacharai, Brdar, Dutta, Jang, Kim, Shoemaker, ZT, Thompson, Yu  
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# Outline

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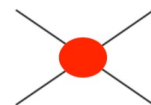
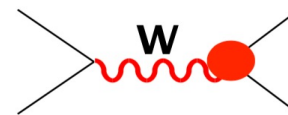
# EFT ladder

SMEFT: minimal EFT above the weak scale

$$\mathcal{L}_{\text{SMEFT}} = \mathcal{L}_{\text{SM}} + \mathcal{L}_{D=5} + \boxed{\mathcal{L}_{D=6}}$$

Known SM  
Lagrangian

Gives neutrino  
Masses



$$O_{lq}^{(3)} = (\bar{l}\gamma^\mu\sigma^a l)(\bar{q}\gamma_\mu\sigma^a q)$$

$$O_{qde} = (\bar{l}e)(\bar{d}q) + \text{h.c.}$$

$$O_{lq} = (\bar{l}_a e)\epsilon^{ab}(\bar{q}_b u) + \text{h.c.}$$

$$O_{lq}^t = (\bar{l}_a \sigma^{\mu\nu} e)\epsilon^{ab}(\bar{q}_b \sigma_{\mu\nu} u) + \text{h.c.}$$

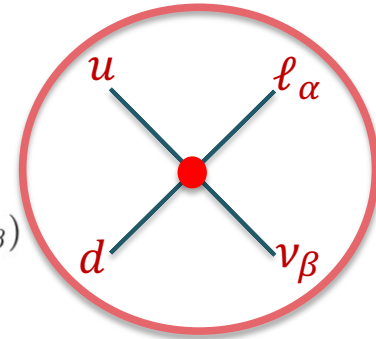
- Colliders
- CLFV

# EFT ladder

WEFT: Effective Lagrangian defined at a low scale  $\mu \sim 2 \text{ GeV}$

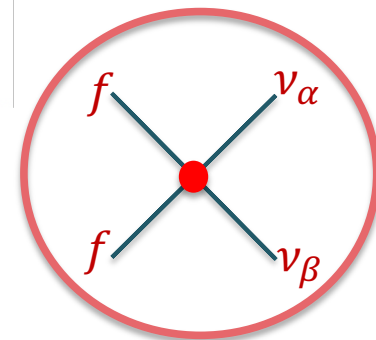
- CC: New left/right handed, (pseudo)scalar and tensor interactions

$$\mathcal{L}_{\text{WEFT}} \supset -\frac{2V_{ud}}{v^2} \left\{ [1 + \epsilon_L]_{\alpha\beta} (\bar{u}\gamma^\mu P_L d)(\bar{\ell}_\alpha \gamma_\mu P_L \nu_\beta) \right. \\ + [\epsilon_R]_{\alpha\beta} (\bar{u}\gamma^\mu P_R d)(\bar{\ell}_\alpha \gamma_\mu P_L \nu_\beta) \\ + \frac{1}{2} [\epsilon_S]_{\alpha\beta} (\bar{u}d)(\bar{\ell}_\alpha P_L \nu_\beta) - \frac{1}{2} [\epsilon_P]_{\alpha\beta} (\bar{u}\gamma_5 d)(\bar{\ell}_\alpha P_L \nu_\beta) \\ \left. + \frac{1}{4} [\hat{\epsilon}_T]_{\alpha\beta} (\bar{u}\sigma^{\mu\nu} P_L d)(\bar{\ell}_\alpha \sigma_{\mu\nu} P_L \nu_\beta) + \text{h.c.} \right\}$$

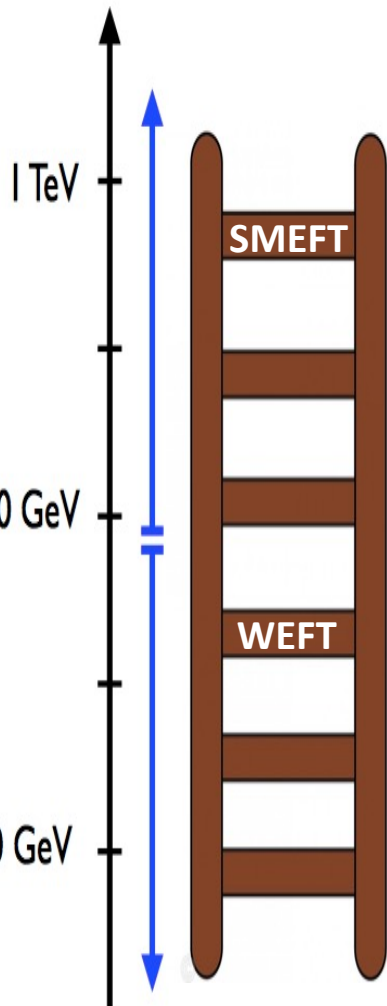


- NC: New left and right handed interactions

$$\mathcal{L}_{\text{WEFT}} \supset -\frac{2}{v^2} \epsilon_{\alpha\beta}^{fX} (\bar{\nu}_\alpha \gamma^\mu P_L \nu_\beta) (\bar{f} \gamma_\mu P_X f)$$



- Neutrino experiments
- Hadron Decays
- $\beta$ -decays



# Why EFT?

- One consistent framework to probe different aspects of particle interactions;
- Constraints from different low/high experiments can be meaningfully compared;
- Results can be translated into specific new physics models;
- We can probe very heavy particles, often beyond the reach of present colliders, by precisely measuring low-energy observables;

**What's the place of neutrino experiments in this program?**

# EFT at neutrino experiments

We proposed a systematic approach to neutrino oscillations in the SMEFT framework!

Falkowski, González-Alonso, ZL, JHEP (2020)

$$U_{\text{PMNS}} \parallel \begin{bmatrix} \nu_e & \nu_\mu & \nu_\tau \\ \nu_1 & \nu_2 & \nu_3 \end{bmatrix}$$

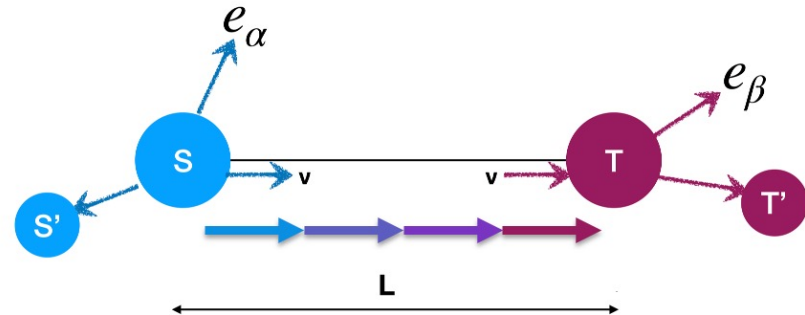
depend on the kinematic and spin variables

$$\mathcal{M}_{\alpha k}^P = U_{\alpha k}^* A_L^P + \sum_X [\epsilon_X U]_{\alpha k}^* A_X^P$$

$$\mathcal{M}_{\beta k}^D = U_{\beta k} A_L^D + \sum_X [\epsilon_X U]_{\beta k} A_X^D$$

$$\sigma^{\text{Total}} = \sigma^{\text{SM}} + \epsilon_X \sigma^{\text{Int}} + \epsilon_X^2 \sigma^{\text{NP}} \sim \sigma^{\text{SM}} (1 + \epsilon_X d_{XL} + \epsilon_X^2 d_{XX})$$

$$\phi^{\text{Total}} = \phi^{\text{SM}} + \epsilon_X \phi^{\text{Int}} + \epsilon_X^2 \phi^{\text{NP}} \sim \phi^{\text{SM}} (1 + \epsilon_X p_{XL} + \epsilon_X^2 p_{XX})$$



Observable: rate of detected events

$\sim (\text{flux}) \times (\text{det. cross section}) \times (\text{oscillation})$

CC EFT

NC EFT

Can the new interactions “enhance” the SM cross section/flux?

# Pion decay

## Production

Falkowski, González-Alonso, ZT, JHEP (2020)

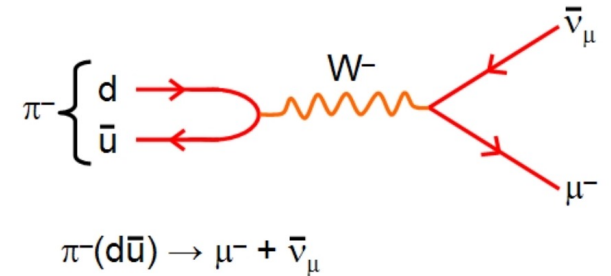
Due to the pseudoscalar nature of the pion, it is sensitive only to axial ( $\epsilon_L$ - $\epsilon_R$ ) and pseudo-scalar ( $\epsilon_P$ ) interactions.

$$p_{LL} = -p_{RL} = 1, \quad p_{PL} = -p_{PR} = -\frac{m_\pi^2}{m_\mu(m_u + m_d)},$$

$$p_{RR} = 1, \quad p_{PP} = \frac{m_\pi^4}{m_\mu^2(m_u + m_d)^2}.$$

$\sim -27$

$\sim 700!$



- Larger  $p_{XY} \Rightarrow$  smaller  $\epsilon$ !

$$\phi^{Total} \sim \phi^{SM}(1 + \epsilon_X p_{XL} + \epsilon_X^2 p_{XX})$$

$$\langle 0 | \bar{d} \gamma^\mu \gamma_5 u | \pi^+(p_\pi) \rangle = i p_\pi^\mu f_\pi$$

$$\langle 0 | \bar{d} \gamma_5 u | \pi^+(p_\pi) \rangle = -i \frac{m_\pi^2}{m_u + m_d} f_\pi$$

Huge overall flux  
normalization for pion decay!

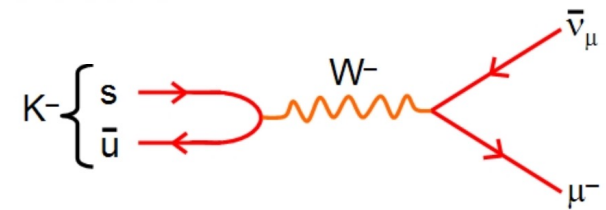
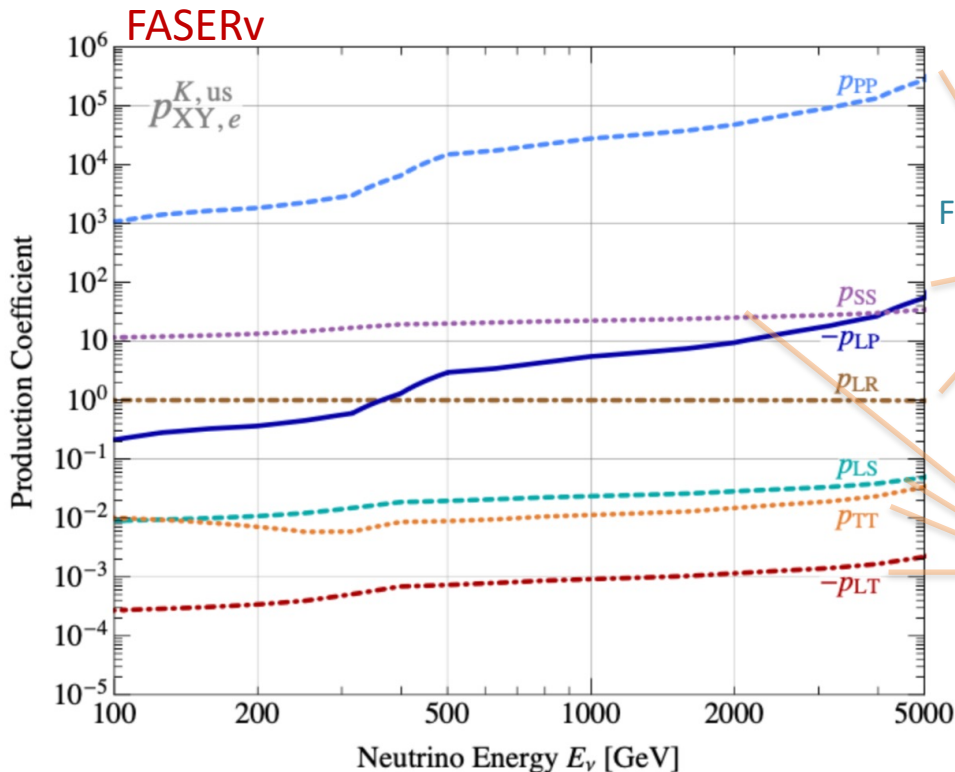


# kaon decay

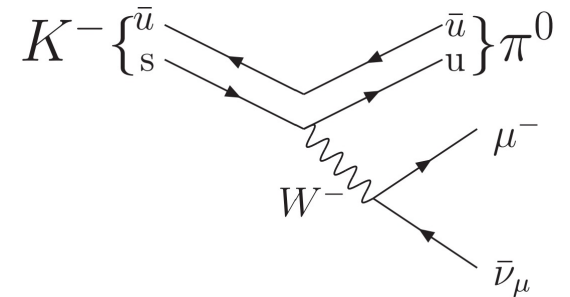
## Production

Falkowski, González-Alonso, Kopp, Soreq, [ZT](#), JHEP (2021)

Both 2-body and 3-body kaon decays contribute:



$$K^-(s\bar{u}) \rightarrow \mu^- + \bar{\nu}_\mu$$



Depends on energy distribution of  $K^\pm$ ,  $K_L$  or  $K_S$  at each experiments

$$\langle \pi^- | \bar{s} \gamma^\mu u | K^0 \rangle = P^\mu f_+(q^2) + q^\mu f_-(q^2),$$

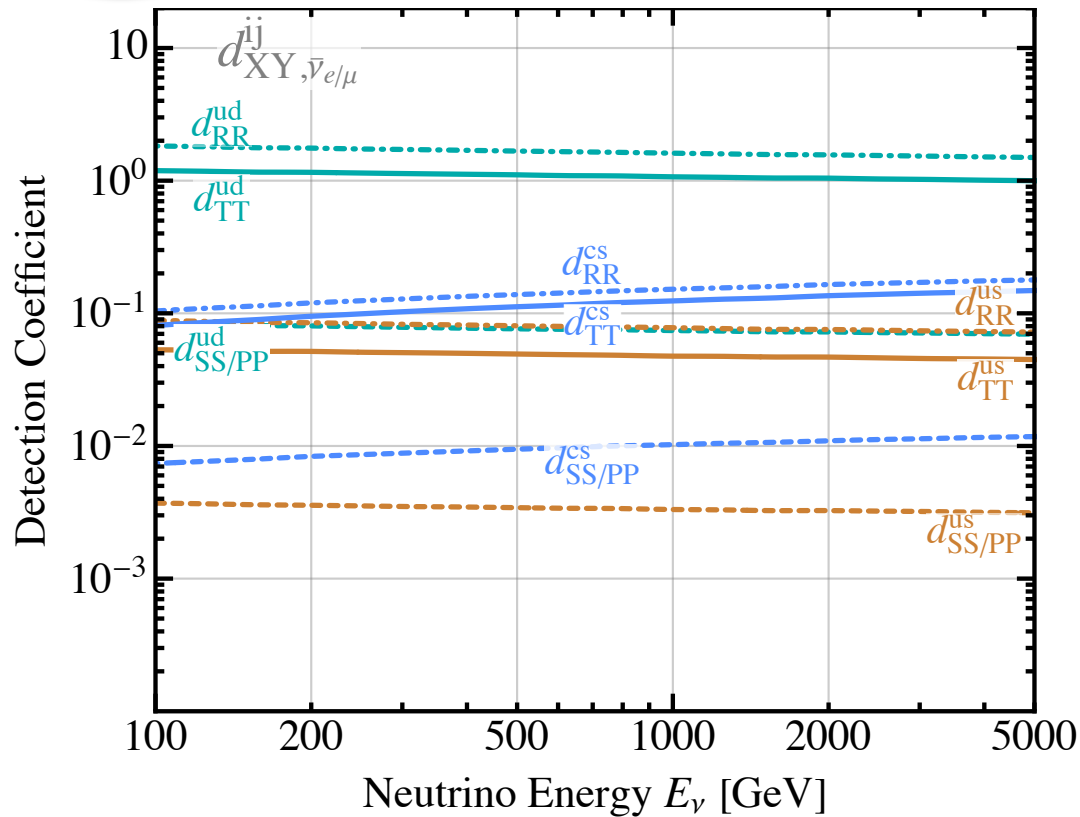
$$\langle \pi^- | \bar{s} u | K^0 \rangle = -\frac{m_K^2 - m_\pi^2}{m_s - m_u} f_0(q^2),$$

$$\langle \pi^- | \bar{s} \sigma^{\mu\nu} u | K^0 \rangle = i \frac{p_K^\mu p_\pi^\nu - p_\pi^\mu p_K^\nu}{m_K} B_T(q^2),$$

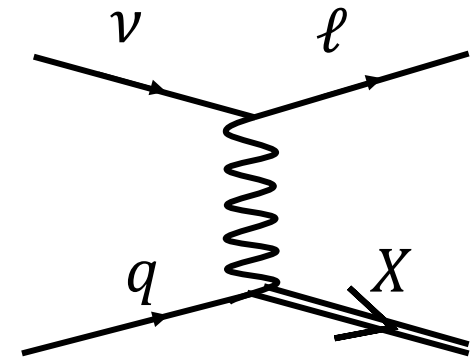
DIS

# Detection

Falkowski, González-Alonso, Kopp, Soreq, ZT, JHEP (2021)



## Deep Inelastic Scattering



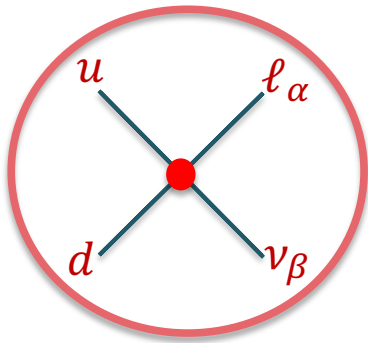
$$\sigma^{Total} \sim \sigma^{SM} (1 + \epsilon_X d_{XL} + \epsilon_X^2 d_{XX})$$

$\epsilon_X^2$  is more important than  $\epsilon_X$ !

# EFT at FASER $\nu$

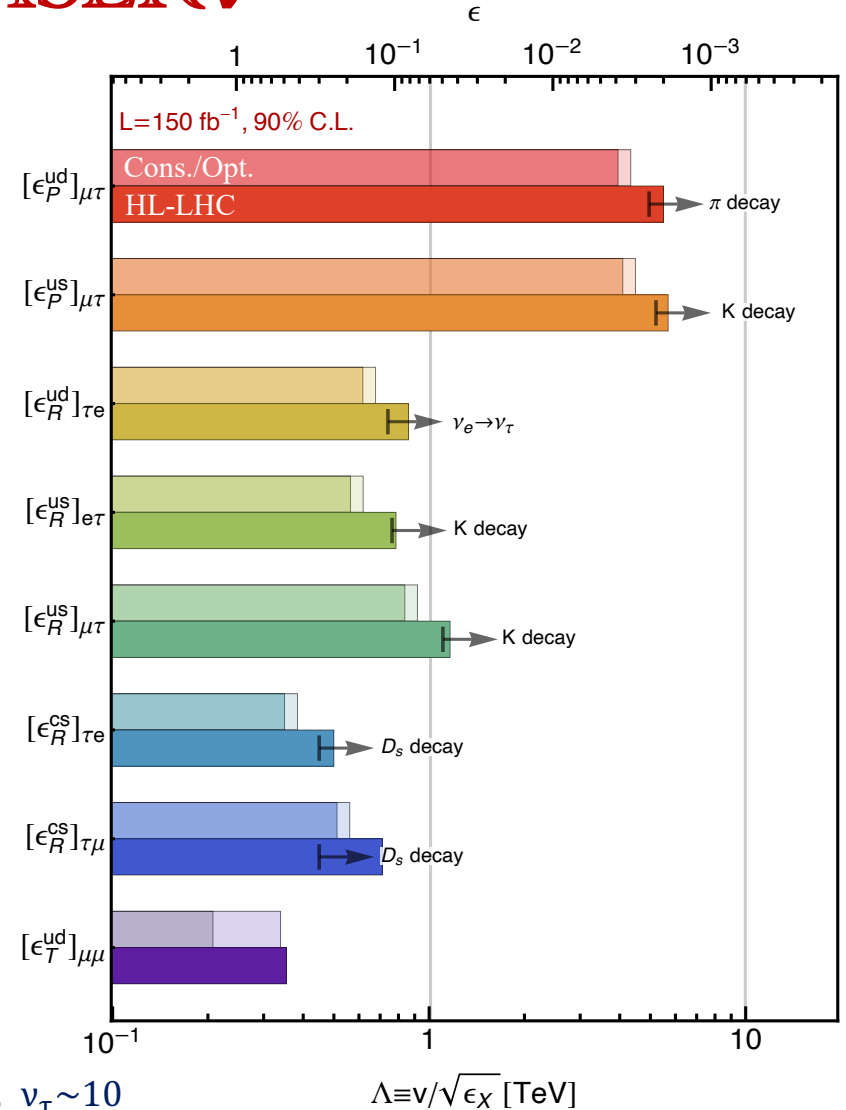
Falkowski, González-Alonso, Kopp, Soreq, ZT, JHEP (2021)

- **FASER $\nu$** : colored bars
- Top: Conservative/Optimistic flux uncertainties
- Bottom: High luminosity LHC



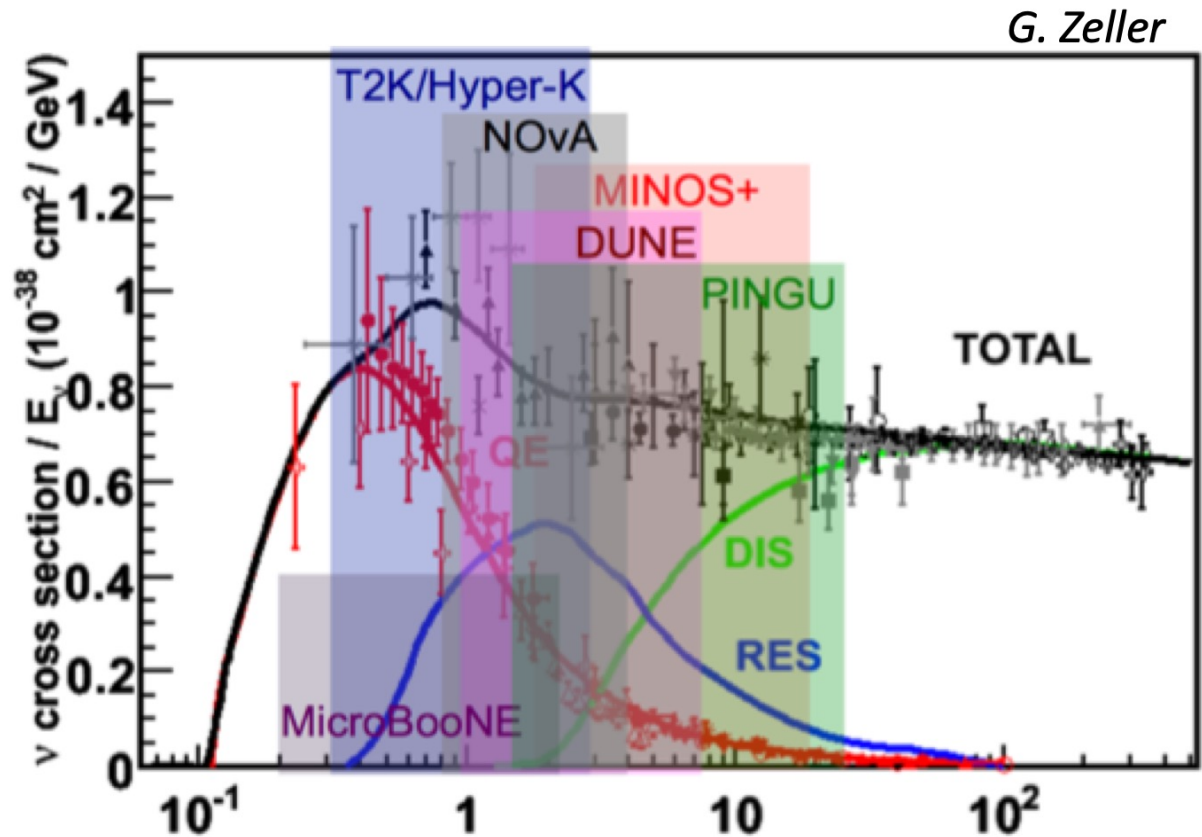
- Neutrino detectors can identify flavor: 81 operators at FASER $\nu$
- New physics reach at multi-TeV
- Complementary or dominant constraints

- Results are statistics dominated:  $\nu_e \sim 1000$ ,  $\nu_\mu \sim 5000$ ,  $\nu_\tau \sim 10$
- Optimistic systematic uncertainties: 5% on  $\nu_e$ , 10% on  $\nu_\mu$ , 15% on  $\nu_\tau$
- Conservative systematic uncertainties: 30% on  $\nu_e$ , 40% on  $\nu_\mu$ , 50% on  $\nu_\tau$



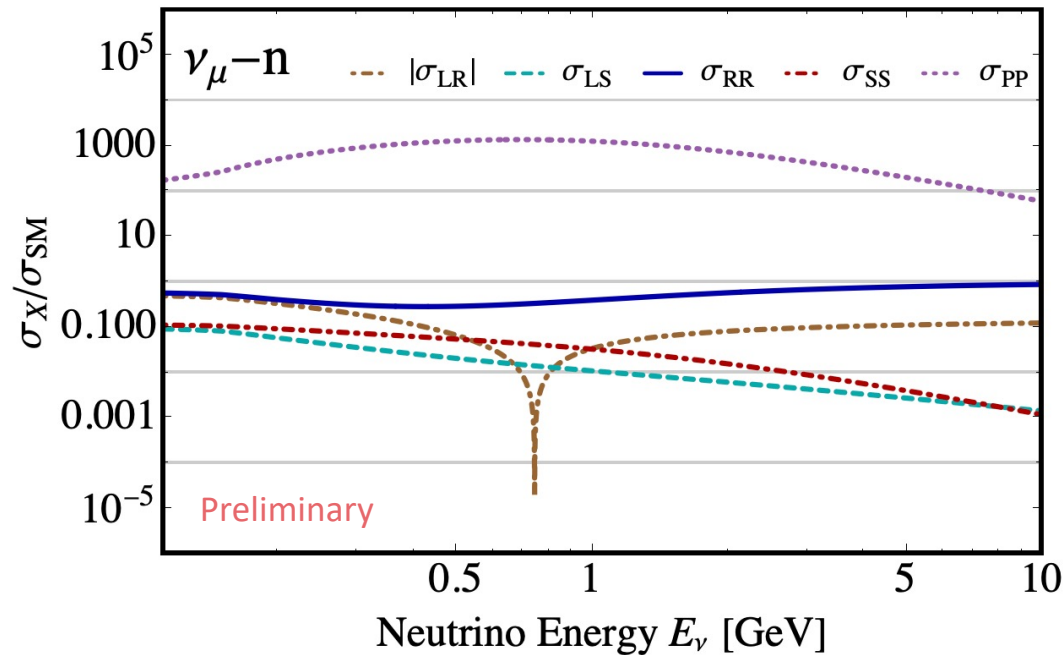
# Long Baseline Accelerator Experiments

- 0.1-10 GeV energy range: cross section is much more involved!



J.A. Formaggio, G. Zeller, Reviews of Modern Physics, 84 (2012)

# Quasi-Elastic scattering at the nucleon level

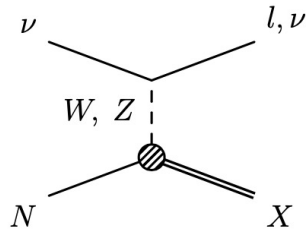


- $10^3$  times x-section enhancement
- Much higher statistics

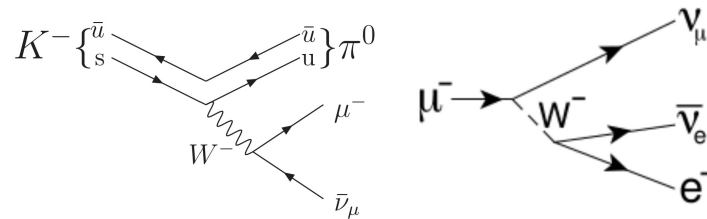
Kopp, Rocco, ZT, in preparation

Can neutrino experiments have access  
to new physics at 100 TeV scale?

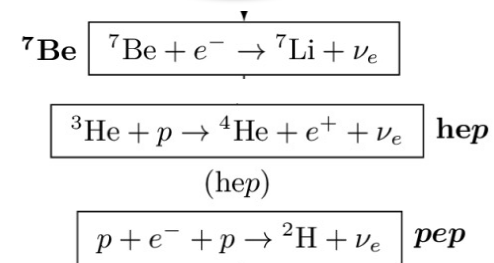
DIS: FASERv



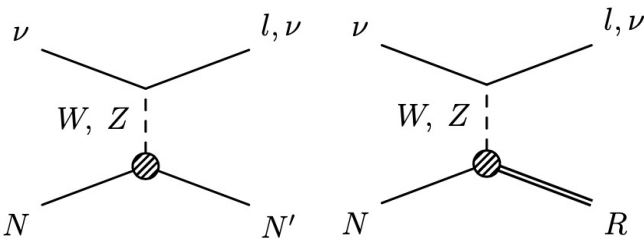
Kaon/Muon decay:  
ISODAR, KDAR



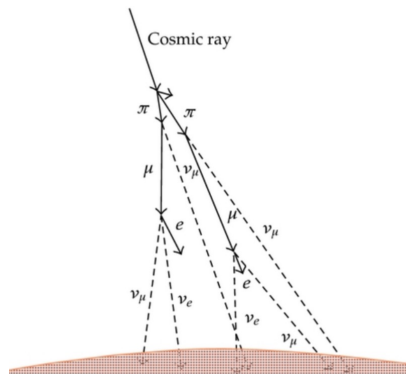
Solar neutrinos:  
Borexino



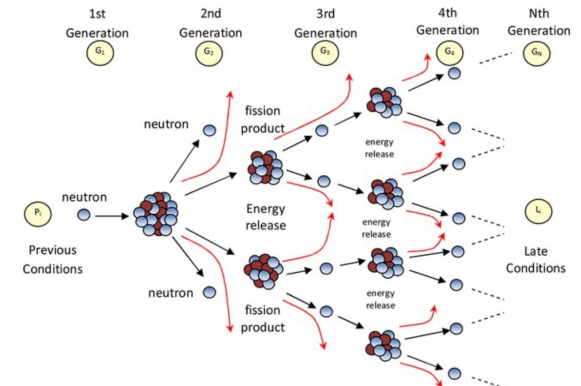
QE,  
Resonances:  
MINOS, NOvA,  
DUNE



Atmospheric  
Neutrinos:  
IceCube



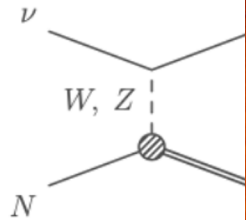
Beta decay and  
IBD: Reactor  
Experiments





DIS: FASERν

Solar  
neutrinos:  
Borexino

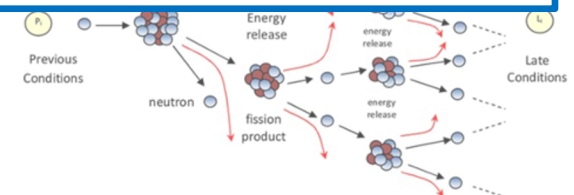
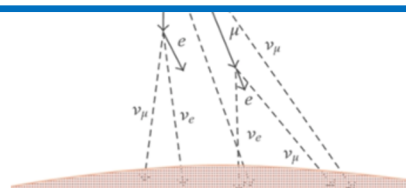
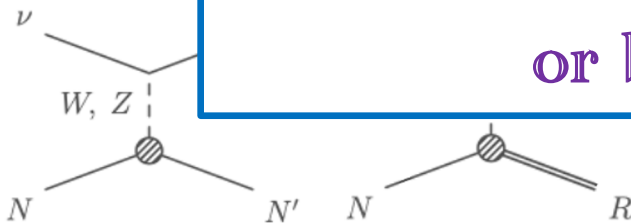


IceCube

beta decay and  
IBD: Reactor  
Experiments

QE,  
Resonances:  
MINOS, NOvA  
DUNE

Neutrino experiments give us a powerful tool to search for new physics, either by direct production or by precision measurements!





# Conclusion:

- New generation of neutrino experiments are being built to answer many unknowns in the neutrino sectors;
- We can use the near detectors to directly search for dark sector (e.g.: ALPs, light DM, etc.);
- For several BSM models, near detectors give the best constraints;
- We can probe very heavy particles, often beyond the reach of present colliders, by precisely measuring low-energy observables using the EFT formalism;
- Unlike other probes (meson decays, ATLAS and CMS analyses, etc.) neutrino experiments have the unique capability to identify the neutrino flavor. This is crucial complementary information in case excesses are found elsewhere in the future;
- Future directions: Systematic model-independent global analyses of new physics in neutrino oscillation experiments with:
  - i) Power counting of EFT effects;
  - ii) Extraction of oscillation parameters in presence of general new physics;
  - iii) Comparison between the sensitivity of oscillation and other experiments.

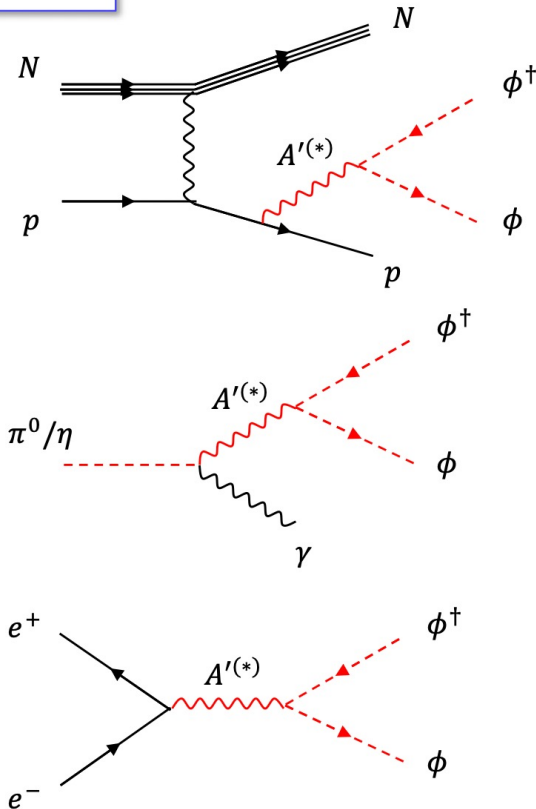


Thanks for your attention

# Back up Slides

# Production and Detection of Dark Matter

## DM production

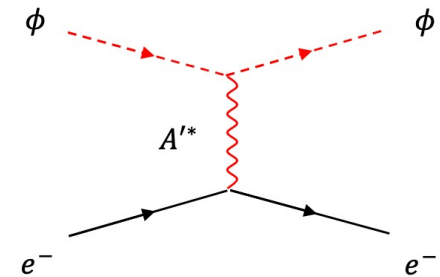


Beam bremsstrahlung

Neutral meson decays

Resonance production

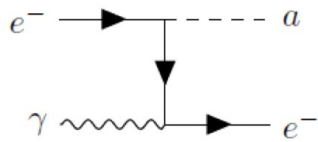
## DM detection



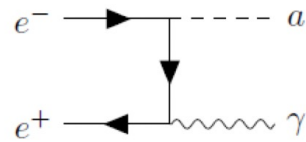
Elastic scattering with an electron

# Production and Detection of ALPs

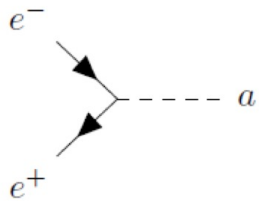
## ALP production



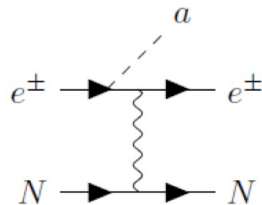
Compton



Associated production

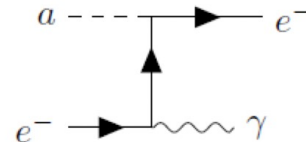


Resonant production

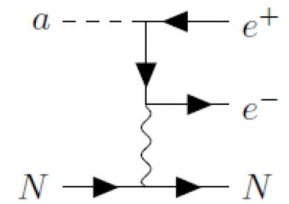


ALP-bremsstrahlung

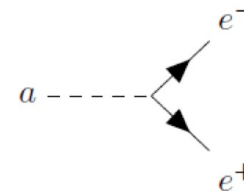
## ALP detection



Inverse Compton



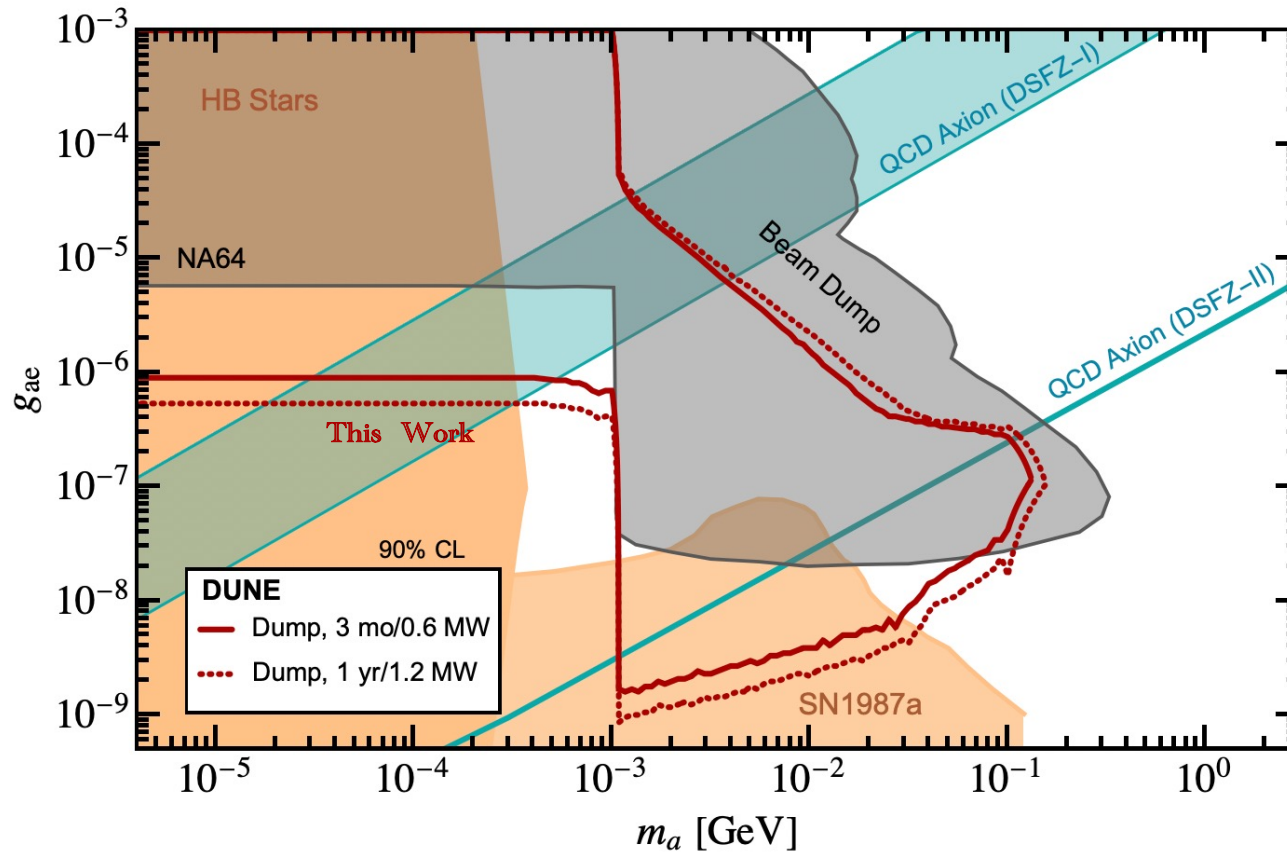
External pair conversion



Di-lepton decay



# ALPs at Neutrino Experiments



Bhattacharai, Brdar, Dutta, Jang, Kim, Shoemaker, [ZT](#), Thompson, Yu  
arXiv: 2206.06380

# WEFT Power Counting

- Dim-6:  $\frac{\Delta R}{R_{SM}} = c \epsilon_X^2$
- Dim-7: Cannot interfere with the SM amplitudes, suppressed!  
*Liao et al, JHEP 08 (2020) 162*
- Dim-8:  $\frac{\Delta R}{R_{SM}} = \sqrt{c} \epsilon_8 E^2 / v^2$

# WEFT-SMEFT Matching:

SMEFT:

+

$$\begin{aligned} \mathcal{L} \supset & \frac{g_{L,0}g_{Y,0}}{\sqrt{g_{L,0}^2 + g_{Y,0}^2}} A_\mu \sum_f Q_f (\bar{e}_I \bar{\sigma}_\mu e_I + e_I^c \sigma_\mu \bar{e}_I^c) \\ & + \left[ \frac{[g_L^{We}]_{IJ}}{\sqrt{2}} W_\mu^+ \bar{\nu}_I \bar{\sigma}_\mu e_J + W_\mu^+ \frac{[g_L^{Wq}]_{IJ}}{\sqrt{2}} \bar{u}_I \bar{\sigma}_\mu d_J + \frac{[g_R^{Wq}]_{IJ}}{\sqrt{2}} W_\mu^+ u_I^c \bar{\sigma}_\mu \bar{d}_J^c + \text{h.c.} \right] \\ & + Z_\mu \sum_{f=u,d,e,\nu} [g_L^{Zf}]_{IJ} \bar{f}_I \bar{\sigma}_\mu f_J + Z_\mu \sum_{f=u,d,e} [g_R^{Zf}]_{IJ} f_I^c \bar{\sigma}_\mu \bar{f}_J^c. \end{aligned}$$

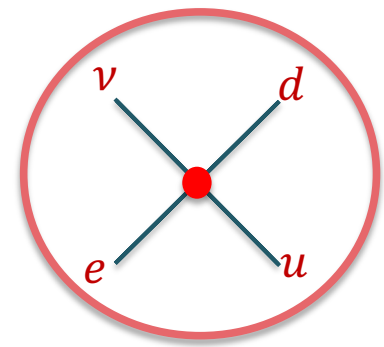
Chirality conserving ( $I, J = 1, 2, 3$ )	Chirality violating ( $I, J = 1, 2, 3$ )	One flavor ( $I = 1, 2, 3$ )	Two flavors ( $I < J = 1, 2, 3$ )
$[O_{\ell q}]_{IIJJ} = (\bar{\ell}_I \bar{\sigma}_\mu \ell_I)(\bar{q}_J \bar{\sigma}^\mu q_J)$ $[O_{\ell q}^{(3)}]_{IIJJ} = (\bar{\ell}_I \bar{\sigma}_\mu \sigma^i \ell_I)(\bar{q}_J \bar{\sigma}^\mu \sigma^i q_J)$ $[O_{\ell u}]_{IIJJ} = (\bar{\ell}_I \bar{\sigma}_\mu \ell_I)(u_J^c \sigma^\mu \bar{u}_J^c)$ $[O_{\ell d}]_{IIJJ} = (\bar{\ell}_I \bar{\sigma}_\mu \ell_I)(d_J^c \sigma^\mu \bar{d}_J^c)$ $[O_{eq}]_{IIJJ} = (e_I^c \sigma_\mu \bar{e}_I^c)(\bar{q}_J \bar{\sigma}^\mu q_J)$ $[O_{eu}]_{IIJJ} = (e_I^c \sigma_\mu \bar{e}_I^c)(u_J^c \sigma^\mu \bar{u}_J^c)$ $[O_{ed}]_{IIJJ} = (e_I^c \sigma_\mu \bar{e}_I^c)(d_J^c \sigma^\mu \bar{d}_J^c)$	$[O_{\ell eq}]_{IIJJ} = (\bar{\ell}_I^j \bar{e}_I^c) \epsilon_{jk} (\bar{q}_J^k \bar{u}_J^c)$ $[O_{\ell eq}^{(3)}]_{IIJJ} = (\bar{\ell}_I^j \bar{\sigma}_{\mu\nu} \bar{e}_I^c) \epsilon_{jk} (\bar{q}_J^k \bar{\sigma}_{\mu\nu} \bar{u}_J^c)$ $[O_{\ell eq}]_{IIJJ} = (\bar{\ell}_I^j \bar{e}_I^c) (d_J^c q_J^j)$	$[O_{\ell\ell}]_{IIII} = \frac{1}{2} (\bar{\ell}_I \bar{\sigma}_\mu \ell_I) (\bar{\ell}_I \bar{\sigma}^\mu \ell_I)$ $[O_{\ell e}]_{IIII} = (\bar{\ell}_I \bar{\sigma}_\mu \ell_I) (e_I^c \sigma^\mu \bar{e}_I^c)$ $[O_{ee}]_{IIII} = \frac{1}{2} (e_I^c \sigma_\mu \bar{e}_I^c) (e_I^c \sigma^\mu \bar{e}_I^c)$	$[O_{\ell\ell}]_{IIJJ} = (\bar{\ell}_I \bar{\sigma}_\mu \ell_I) (\bar{\ell}_J \bar{\sigma}^\mu \ell_J)$ $[O_{\ell\ell}]_{IJJI} = (\bar{\ell}_I \bar{\sigma}_\mu \ell_J) (\bar{\ell}_J \bar{\sigma}^\mu \ell_I)$ $[O_{\ell e}]_{IIJJ} = (\bar{\ell}_I \bar{\sigma}_\mu \ell_I) (e_J^c \sigma^\mu \bar{e}_J^c)$ $[O_{\ell e}]_{JJII} = (\bar{\ell}_J \bar{\sigma}_\mu \ell_J) (e_I^c \sigma^\mu \bar{e}_I^c)$ $[O_{\ell e}]_{IJJI} = (\bar{\ell}_I \bar{\sigma}_\mu \ell_J) (e_J^c \sigma^\mu \bar{e}_I^c)$ $[O_{ee}]_{IIJJ} = (e_I^c \sigma_\mu \bar{e}_I^c) (e_J^c \sigma^\mu \bar{e}_J^c)$

WEFT:

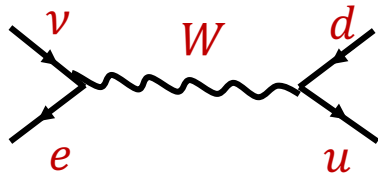
$$\begin{aligned} \mathcal{L}_{\text{eff}} \supset & -\frac{2\tilde{V}_{ud}}{v^2} \left[ \left( 1 + \bar{\epsilon}_L^{de_J} \right) (\bar{e}_J \bar{\sigma}_\mu \nu_J) (\bar{u} \bar{\sigma}^\mu d) + \epsilon_R^{de} (\bar{e}_J \bar{\sigma}_\mu \nu_J) (u^c \sigma^\mu \bar{d}^c) \right. \\ & \left. + \frac{\epsilon_S^{de_J} + \epsilon_P^{de_J}}{2} (e_J^c \nu_J) (u^c d) + \frac{\epsilon_S^{de_J} - \epsilon_P^{de_J}}{2} (e_J^c \nu_J) (\bar{u} \bar{d}^c) + \epsilon_T^{de_J} (e_J^c \sigma_{\mu\nu} \nu_J) (u^c \sigma_{\mu\nu} d) + \text{h.c.} \right] \end{aligned}$$



# Specific New Physics Models

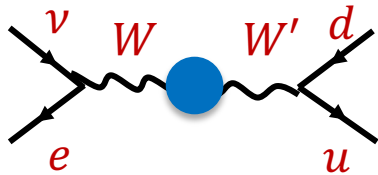


$\epsilon_L$ : measures deviations of the W boson to quarks and leptons, compared to the SM prediction



$$-\frac{g_{\nu e}^W g_{ud}^W}{4m_W^2} V_{ud} \bar{e} \gamma_\mu (1 - \gamma_5) \nu_e \cdot \bar{u} \gamma^\mu (1 - \gamma_5) d$$

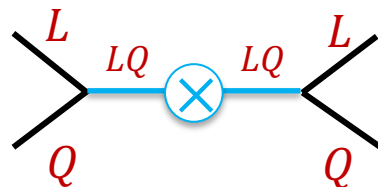
$\epsilon_R$ : left-right symmetric  $SU(3)_C \times SU(2)_L \times SU(2)_R \times U(1)_X$  models introduce new charged vector bosons  $W'$  coupling to right-handed quarks



$$\bar{e} \gamma_\mu (1 - \gamma_5) \nu_e \cdot \bar{u} \gamma^\mu (1 + \gamma_5) d$$

$$\epsilon_R \sim \frac{m_W^2}{m_{W'}^2}$$

$\epsilon_{S,P,T}$ : In leptoquark models, new scalar particles couple to both quarks and leptons



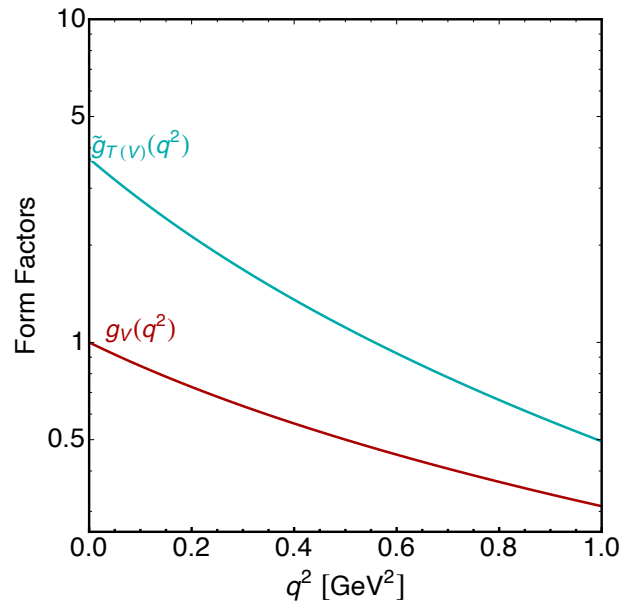
$$(LQ)(LQ)$$

$$\epsilon_{S,P,T} \sim \frac{v^2}{m_{LQ}^2}$$

# QE matrix elements at the nucleon level

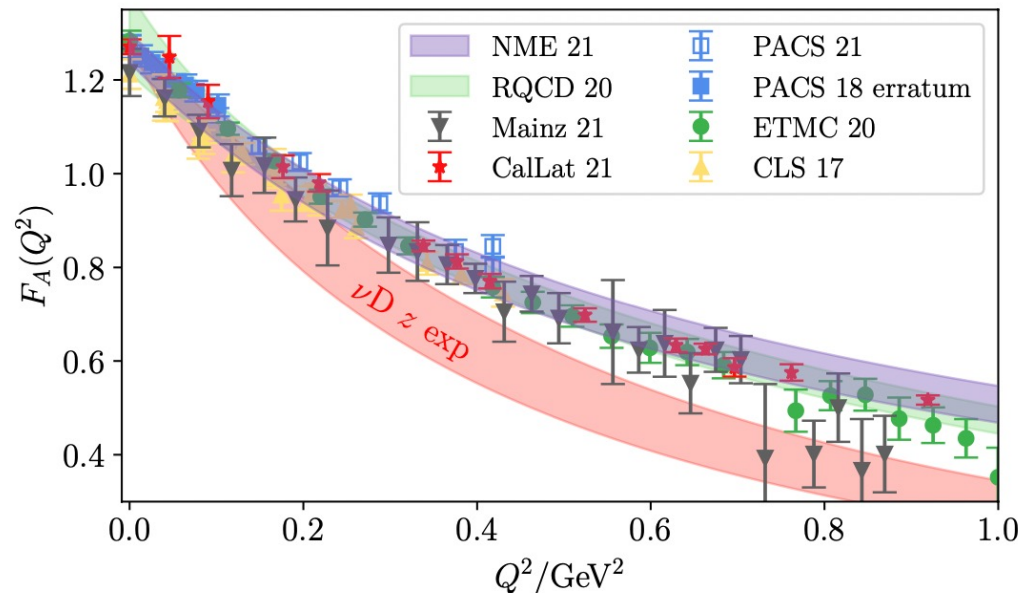
$$\langle p(p_p) | \bar{u} \gamma_\mu d | n(p_n) \rangle = \bar{u}_p(p_p) \left[ g_V(q^2) \gamma_\mu - i \frac{\tilde{g}_{T(V)}(q^2)}{2M_N} \sigma_{\mu\nu} q^\nu + \cancel{\frac{\tilde{g}_S(q^2)}{2M_N} q_\mu} \right] u_n(p_n)$$

$$\langle p(p_p) | \bar{u} \gamma_\mu \gamma_5 d | n(p_n) \rangle = \bar{u}_p(p_p) \left[ g_A(q^2) \gamma_\mu - i \frac{\tilde{g}_{T(A)}(q^2)}{2M_N} \sigma_{\mu\nu} q^\nu + \frac{\tilde{g}_P(q^2)}{2M_N} q_\mu \right] \gamma_5 u_n(p_n)$$



constrained by eN scattering

Kopp, Rocco, ZT, in preparation



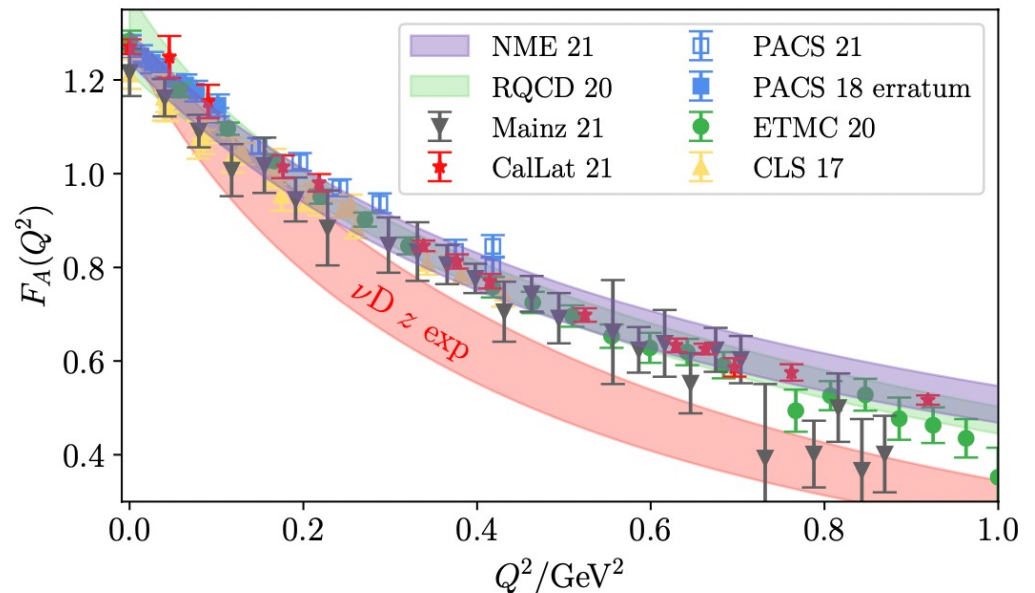
poorly constrained by expt.

Meyer et al, 2201.01839

# QE matrix elements at the nucleon level

$$\langle p(p_p) | \bar{u} \gamma_\mu d | n(p_n) \rangle = \bar{u}_p(p_p) \left[ g_V(q^2) \gamma_\mu - i \frac{\tilde{g}_{T(V)}(q^2)}{2M_N} \sigma_{\mu\nu} q^\nu + \cancel{\frac{\tilde{g}_S(q^2)}{2M_N} q_\mu} \right] u_n(p_n)$$

$$\langle p(p_p) | \bar{u} \gamma_\mu \gamma_5 d | n(p_n) \rangle = \bar{u}_p(p_p) \left[ g_A(q^2) \gamma_\mu - i \frac{\tilde{g}_{T(A)}(q^2)}{2M_N} \sigma_{\mu\nu} q^\nu + \frac{\tilde{g}_P(q^2)}{2M_N} q_\mu \right] \gamma_5 u_n(p_n)$$



poorly constrained by expt.

Meyer et al, 2201.01839

# QE matrix elements at the nucleon level

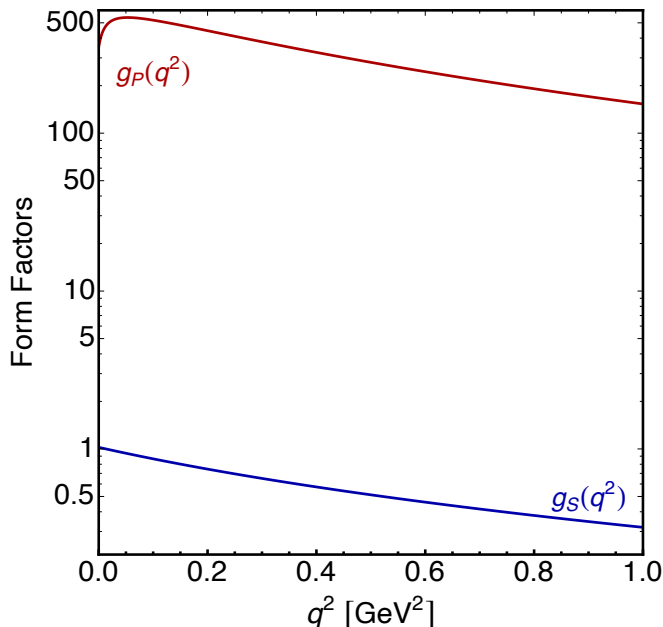
$$\begin{aligned}
 \langle p(p_p) | \bar{u} d | n(p_n) \rangle &= g_S(q^2) \bar{u}_p(p_p) u_n(p_n) \\
 \langle p(p_p) | \bar{u} \gamma_5 d | n(p_n) \rangle &= g_P(q^2) \bar{u}_p(p_p) \gamma_5 u_n(p_n) \\
 \langle p(p_p) | \bar{u} \sigma_{\mu\nu} d | n(p_n) \rangle &= \bar{u}_p(p_p) \left[ g_T(q^2) \sigma_{\mu\nu} + g_T^{(1)}(q^2) (q_\mu \gamma_\nu - q_\nu \gamma_\mu) \right. \\
 &\quad \left. + g_T^{(2)}(q^2) (q_\mu P_\nu - q_\nu P_\mu) + g_T^{(3)}(q^2) (\gamma_\mu \not{q} \gamma_\nu - \gamma_\nu \not{q} \gamma_\mu) \right] u_n(p_n)
 \end{aligned}$$

- conservation of the vector current (CVC):

$$g_S(q^2) = \frac{\delta M_N^{\text{QCD}}}{\delta m_q} g_V(q^2) + \frac{q^2/2\bar{M}_N}{\delta m_q} \tilde{g}_S(q^2)$$

- partial conservation of the axial current (PCAC):

$$g_P(q^2) = \frac{\bar{M}_N}{\bar{m}_q} g_A(q^2) + \frac{q^2/2\bar{M}_N}{(2\bar{m}_q)} \tilde{g}_P(q^2)$$

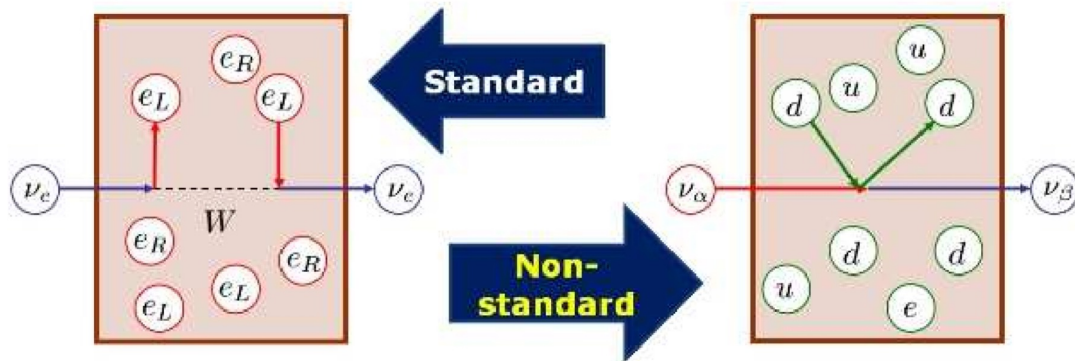


- We need axial form factor for NP as well
- Much larger statistics
- Large pseudo-scalar form factor (no  $q/M$  suppression)
- Different energy scale compare to beta decay experiments

Kopp, Rocco, ZL, in preparation

# QM-NSI Description

Neutrinos are not pure flavor states:



Standard NSI approach

NSI parameters

$$|\nu_\alpha^s\rangle = \frac{1}{N_\alpha^s} \left[ |\nu_\alpha\rangle + \sum_{\gamma=e,\mu,\tau} \epsilon_{\alpha\gamma}^s |\nu_\gamma\rangle \right]$$

$$\langle \nu_\beta^d | = \frac{1}{N_\beta^d} \left[ \langle \nu_\beta | + \sum_{\gamma=e,\mu,\tau} \langle \nu_\gamma | \epsilon_{\gamma\beta}^d \right]$$

Rotation of flavor states at the source

Rotation of flavor states at the detector

Normalization

# QM-NSI Description

Neutrinos are not pure flavor states:

$$|\nu_\alpha^s\rangle = \frac{(1 + \epsilon^s)_{\alpha\gamma}}{N_\alpha^s} |\nu_\gamma\rangle, \quad \langle \nu_\beta^d | = \langle \nu_\gamma | \frac{(1 + \epsilon^d)_{\gamma\beta}}{N_\beta^d}$$

Observable: rate of detected events

$\sim (\text{flux}) \times (\text{det. cross section}) \times (\text{oscillation})$

$$R_{\alpha\beta}^{\text{QM}} = \Phi_\alpha^{\text{SM}} \sigma_\beta^{\text{SM}} \sum_{k,l} e^{-i \frac{L \Delta m_{kl}^2}{2E_\nu}} [x_s]_{\alpha k} [x_s]_{\alpha l}^* [x_d]_{\beta k} [x_d]_{\beta l}^*$$

$$x_s \equiv (1 + \epsilon^s) U^* \quad \& \quad x_d \equiv (1 + \epsilon^d)^T U$$

Falkowski, González-Alonso, [ZT](#), JHEP (2019)

# QM-NSI Description

- Can one “validate” QM-NSI approach from the QFT results?
- If yes, relation between NSI parameters and Lagrangian (EFT) parameters?
- Does the matching hold at all orders in perturbation?



# QM-NSI Description

- Can one “validate” QM-NSI approach from the QFT results? **Yes...**
- If yes, relation between NSI parameters and Lagrangian (EFT) parameters?
- Does the matching hold at all orders in perturbation? **No...**

Observable is the same, we can match the two  
(only at the linear level)

$$\epsilon_{\alpha\beta}^s = \sum_X p_{XL}[\epsilon_X]_{\alpha\beta}^*, \quad \epsilon_{\beta\alpha}^d = \sum_X d_{XL}[\epsilon_X]_{\alpha\beta}$$

Falkowski, González-Alonso, [ZT](#), JHEP (2019)

# Comparing QM and QFT

Only at the linear order:

Falkowski, González-Alonso, ZT, JHEP (2019)

Neutrino Process	NSI Matching with EFT
$\nu_e$ produced in beta decay	$\epsilon_{e\beta}^s = [\epsilon_L]_{e\beta}^* - [\epsilon_R]_{e\beta}^* - \frac{g_T}{g_A} \frac{m_e}{f_T(E_\nu)} [\epsilon_T]_{e\beta}^*$
$\nu_e$ detected in inverse beta decay	$\epsilon_{\beta e}^d = [\epsilon_L]_{e\beta} + \frac{1-3g_A^2}{1+3g_A^2} [\epsilon_R]_{e\beta} - \frac{m_e}{E_\nu - \Delta} \left( \frac{g_S}{1+3g_A^2} [\epsilon_S]_{e\beta} - \frac{3g_A g_T}{1+3g_A^2} [\epsilon_T]_{e\beta} \right)$
$\nu_\mu$ produced in pion decay	$\epsilon_{\mu\beta}^s = [\epsilon_L]_{\mu\beta}^* - [\epsilon_R]_{\mu\beta}^* - \frac{m_\pi^2}{m_\mu(m_u + m_d)} [\epsilon_P]_{\mu\beta}^*$

- Different NP interactions appear at the source or detection simultaneously
- Some of the  $p_{\text{XL}}/d_{\text{XL}}$  coefficients depend on the neutrino energy
- There are chiral enhancements in some cases

These correlations, energy dependence etc. cannot be seen in the traditional QM approach.

# Comparing QM and QFT

Beyond the linear order in new physics parameters, the NSI formula matches the  
(correct) one derived in the EFT  
only if the **consistency condition** is satisfied

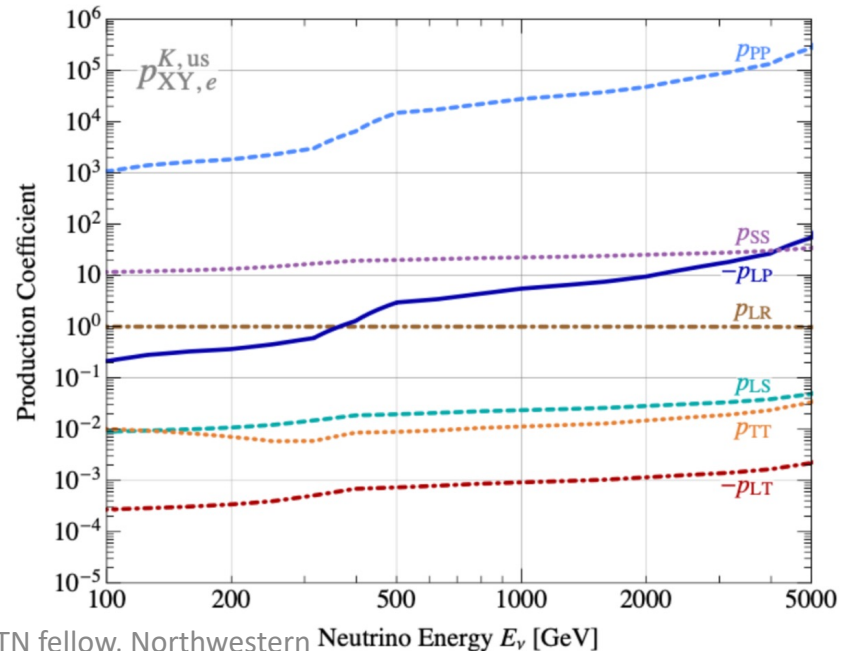
$$p_{XL}p_{YL}^* = p_{XY}, \quad d_{XL}d_{YL}^* = d_{XY}$$

This is always satisfied for new physics correcting V-A interactions only as  $p_{LL} = d_{LL} = 1$  by definition

However for non-V-A new physics the consistency condition is not satisfied in general

Falkowski, González-Alonso, ZT, JHEP (2019)

$$p_{XY} \equiv \frac{\int d\Pi_{P'} A_X^P \bar{A}_Y^P}{\int d\Pi_{P'} |A_L^P|^2}, \quad d_{XY} \equiv \frac{\int d\Pi_D A_X^D \bar{A}_Y^D}{\int d\Pi_D |A_L^D|^2}.$$

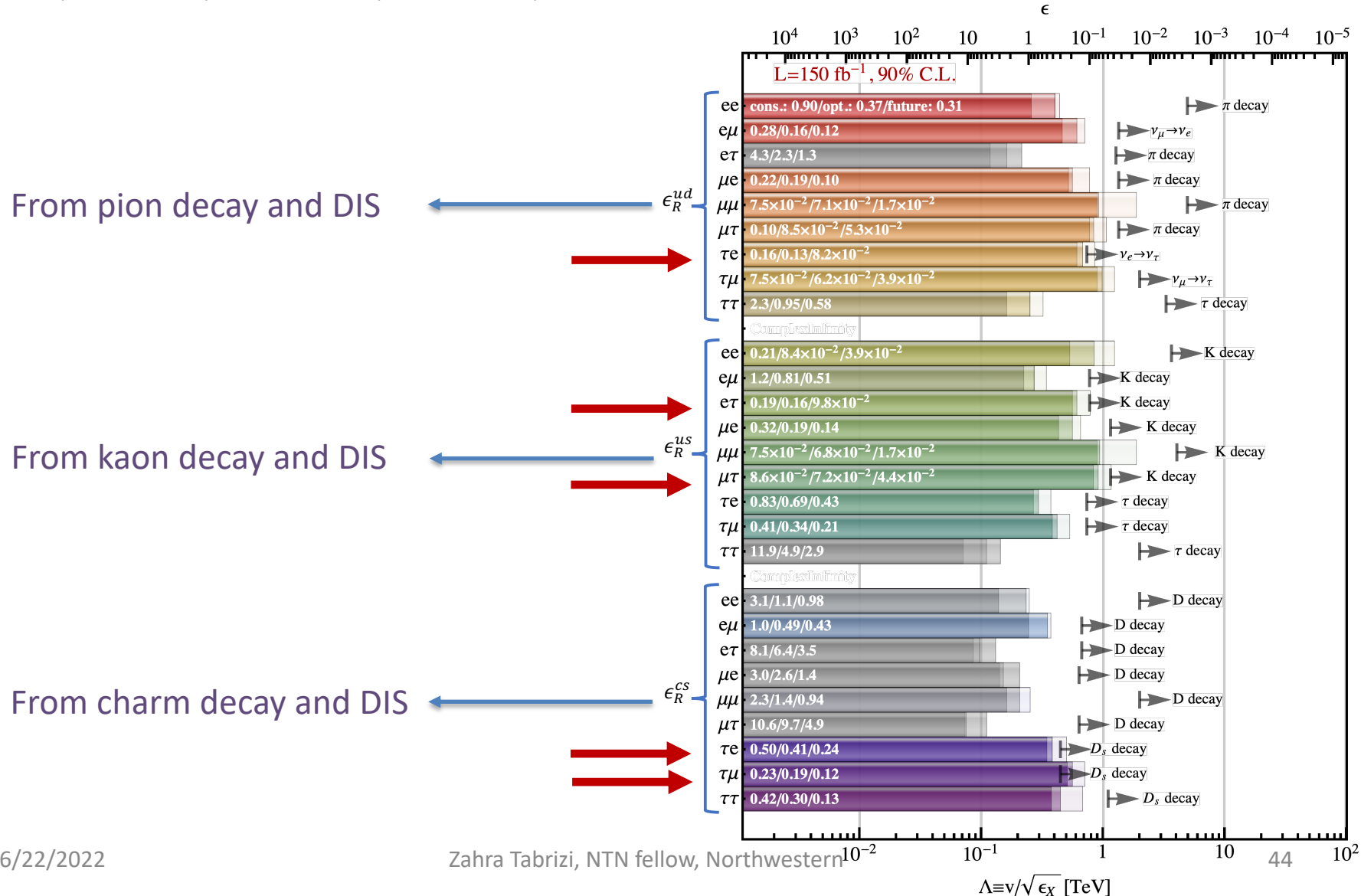


# RESULTS

## Turning on one interaction at a time: Right handed

A. Falkowski, M. González-Alonso, J. Kopp, Y. Soreq, [JHEP 10 \(2021\) 086](#)

Optimistic (5%, 10%, 15%) and Pessimistic (30%, 40%, 50%), uncertainties on electron muon and tau neutrinos

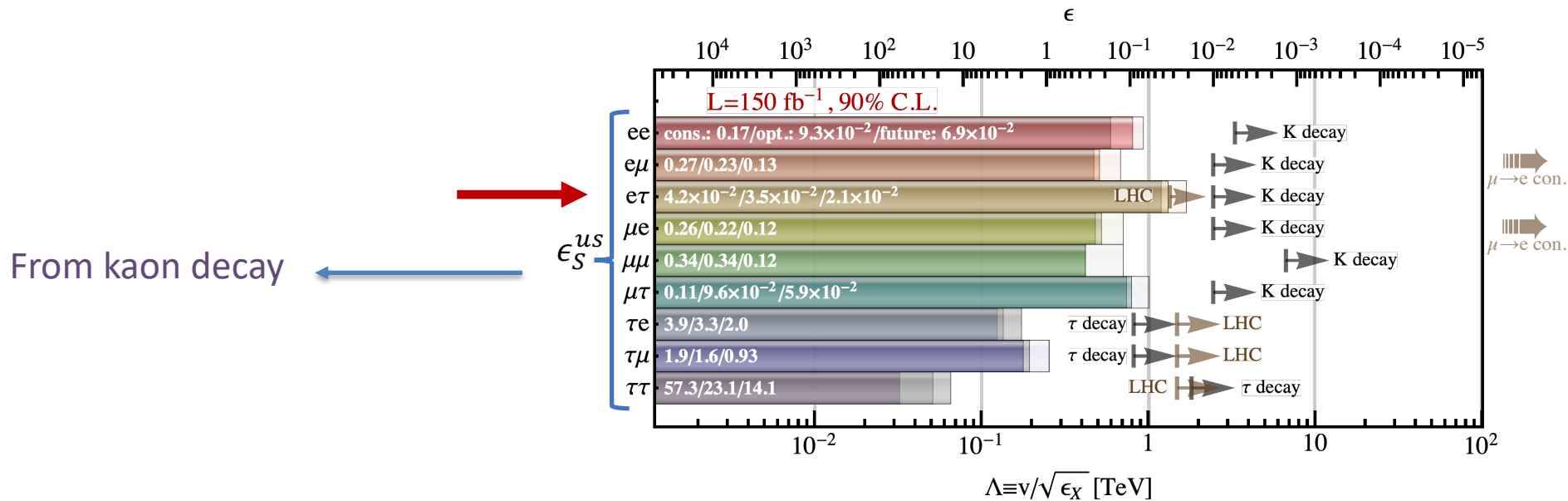


# RESULTS

## Turning on one interaction at a time: Scalar

A. Falkowski, M. González-Alonso, J. Kopp, Y. Soreq, ZT  
JHEP 10 (2021) 086

Optimistic (5%, 10%, 15%) and Pessimistic (30%, 40%, 50%), uncertainties on electron muon and tau neutrinos

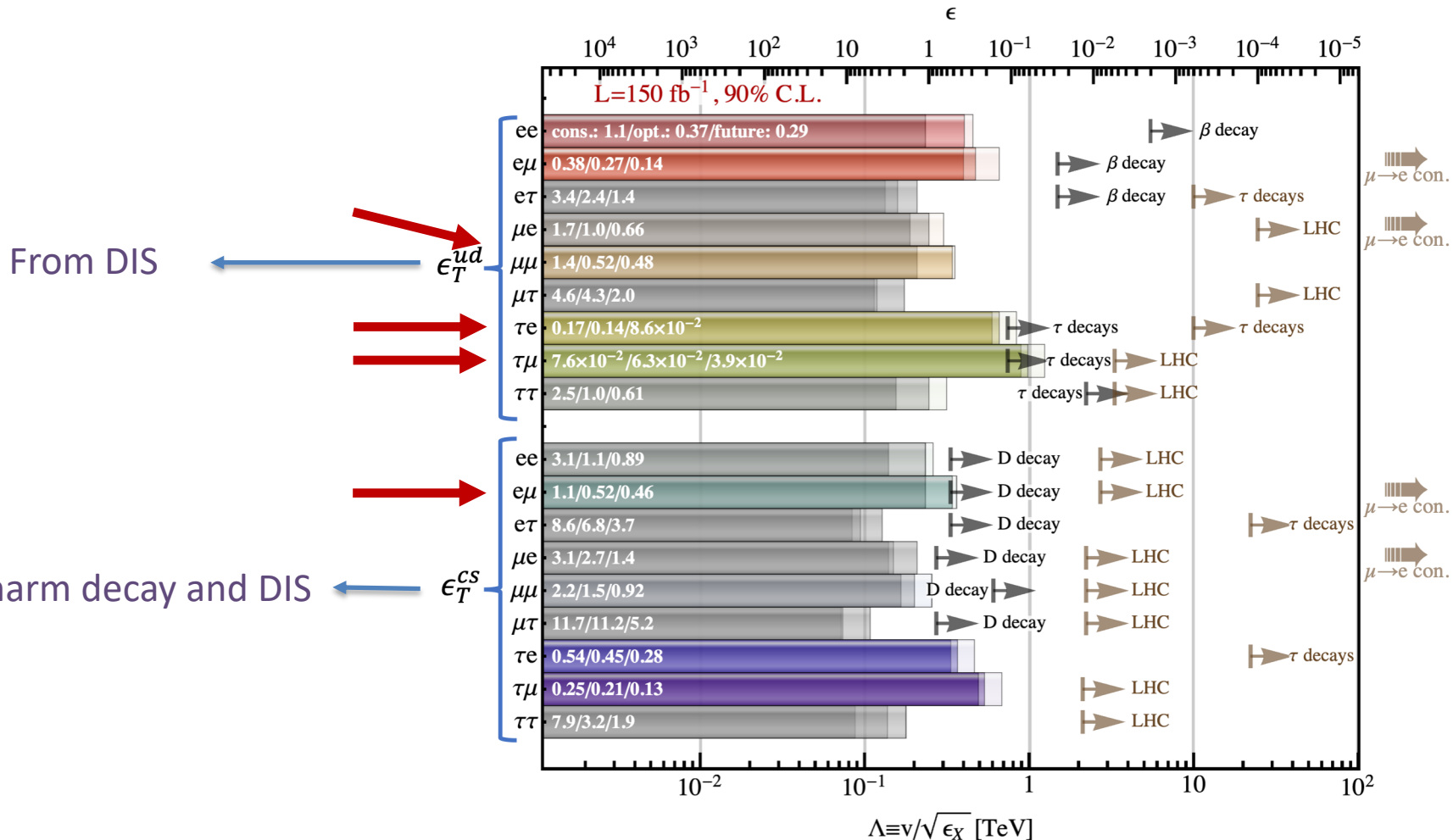


# RESULTS

## Turning on one interaction at a time: Tensor

A. Falkowski, M. González-Alonso, J. Kopp, Y. Soreq, ZT  
JHEP 10 (2021) 086

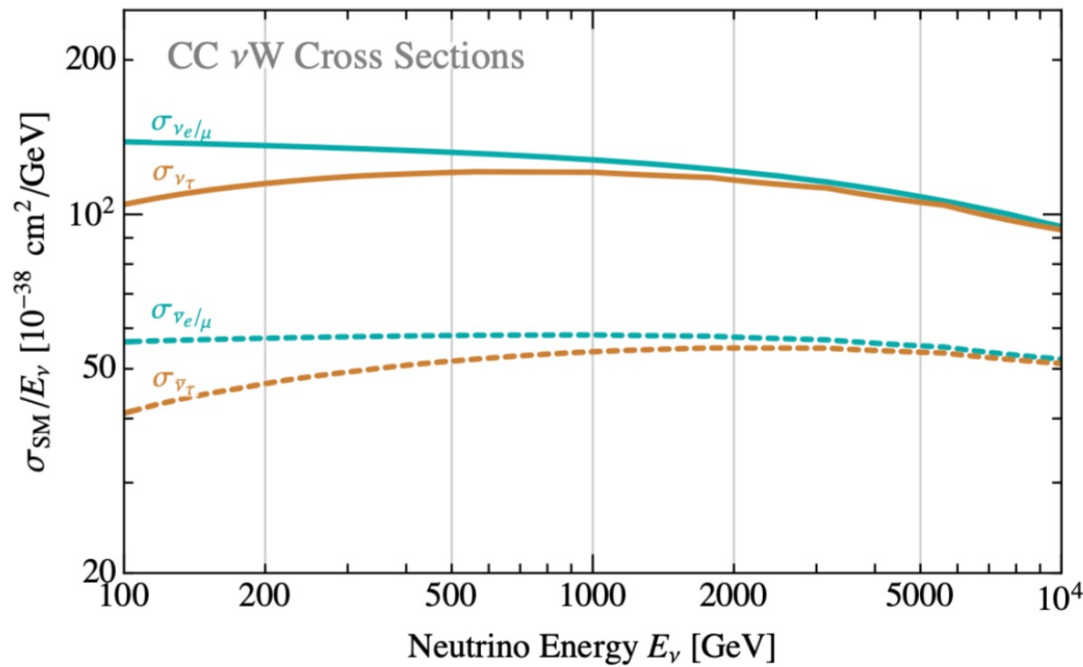
Optimistic (5%, 10%, 15%) and Pessimistic (30%, 40%, 50%), uncertainties on electron muon and tau neutrinos



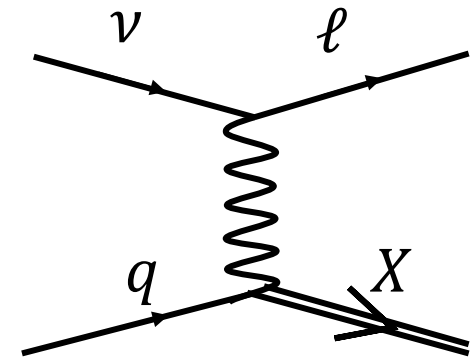
DIS

## Detection

Falkowski, González-Alonso, Kopp, Soreq, ZT, JHEP (2021)



## Deep Inelastic Scattering



DIS detection, easy to include NP  
(compared to QE and Resonances)



Both 2-body and 3-body kaon decays contribute:

$$f_-(q^2) = \frac{m_K^2 - m_\pi^2}{q^2} \left( f_0(q^2) - f_+(q^2) \right), \quad (\text{A.9})$$

from which it also follows that  $f_0(0) = f_+(0)$ . For the independent form factors  $f_+(q^2)$ ,  $f_0(q^2)$  we adopt the FlaviaNet dispersive parameterization [\[92\]](#):

$$\begin{aligned} f_+(q^2) &= f_+(0) + \Lambda_+ \frac{q^2}{m_\pi^2} + \mathcal{O}(q^4), \\ f_0(q^2) &= f_+(0) + (\log C - G(0)) \frac{m_\pi^2}{m_K^2 - m_\pi^2} \frac{q^2}{m_\pi^2} + \mathcal{O}(q^4), \end{aligned} \quad (\text{A.10})$$

where  $G(0) = 0.0398(44)$  is calculated theoretically, and  $\Lambda_+ = 0.02422(116)$  as well as  $\log C = 0.1998(138)$  are obtained on the lattice [\[93\]](#). The  $N_f = 2 + 1 + 1$  value of  $f_+(0)$  according to FLAG'19 is  $f_+(0) = 0.9706(27)$  [\[53\]](#). For the tensor form factor we use the parameterization

$$B_T(q^2) \approx B_T(0) (1 - s_T^{K\pi} q^2), \quad (\text{A.11})$$

with  $B_T(0)/f_+(0) = 0.68(3)$  and  $s_T^{K\pi} = 1.10(14) \text{ GeV}^{-2}$  [\[94\]](#).

# EFT at FASERv

A. Falkowski, M. González-Alonso, J. Kopp, Y. Soreq, [ZT JHEP 10 \(2021\) 086](#)

FASERv

Flavor Experiments

Colliders

## Neutrino experiments:

- Many more operators can be probed (81 at FASERv)

## Low energy:

- Independent of the underlying high-energy theory

## High-Energy:

- SMEFT is the underlying theory
- Bounds are less robust

Bounds shown in bold face have been calculated in this work

Coupling	Low energy (WEFT)		High energy / CLFV (SMEFT)	
	90 % CL bound	process	90 % CL bound	process
$[\epsilon_P^{ud}]_{ee}$	<b><math>4.6 \times 10^{-7}</math></b>	$\Gamma_{\pi \rightarrow e\nu} / \Gamma_{\pi \rightarrow \mu\nu}$	<b><math>2.0 \times 10^{-8}</math></b>	$\mu \rightarrow e$ conversion
$[\epsilon_P^{ud}]_{e\mu}$	$7.3 \times 10^{-6}$	$\Gamma_{\pi \rightarrow e\nu} / \Gamma_{\pi \rightarrow \mu\nu}$ [7]	$2.5 \times 10^{-3}$	LHC [64]
$[\epsilon_P^{ud}]_{e\tau}$	$7.3 \times 10^{-6}$	$\Gamma_{\pi \rightarrow e\nu} / \Gamma_{\pi \rightarrow \mu\nu}$ [7]	<b><math>2.0 \times 10^{-8}</math></b>	$\mu \rightarrow e$ conversion
$[\epsilon_P^{ud}]_{\mu e}$	<b><math>2.6 \times 10^{-3}</math></b>	$\Gamma_{\pi \rightarrow e\nu} / \Gamma_{\pi \rightarrow \mu\nu}$		
$[\epsilon_P^{ud}]_{\mu\mu}$	<b><math>9.4 \times 10^{-5}</math></b>	$\Gamma_{\pi \rightarrow e\nu} / \Gamma_{\pi \rightarrow \mu\nu}$		
$[\epsilon_P^{ud}]_{\mu\tau}$	<b><math>2.6 \times 10^{-3}</math></b>	$\Gamma_{\pi \rightarrow e\nu} / \Gamma_{\pi \rightarrow \mu\nu}$		
$[\epsilon_P^{ud}]_{\tau e}$	<b><math>9.0 \times 10^{-2}</math></b>	$\Gamma_{\tau \rightarrow \pi\nu}$	$5.8 \times 10^{-3(*)} / 4.4 \times 10^{-4}$	LHC [65] / $\tau$ decay [64]
$[\epsilon_P^{ud}]_{\tau\mu}$	<b><math>9.0 \times 10^{-2}</math></b>	$\Gamma_{\tau \rightarrow \pi\nu}$	$5.8 \times 10^{-3(*)}$	LHC [65]
$[\epsilon_P^{ud}]_{\tau\tau}$	$8.4 \times 10^{-3}$	$\tau$ -decay [65]	$5.8 \times 10^{-3(*)}$	LHC [65]
$[\epsilon_P^{us}]_{ee}$	<b><math>1.1 \times 10^{-6}</math></b>	$\Gamma_{K \rightarrow e\nu} / \Gamma_{K \rightarrow \mu\nu}$	<b><math>6.2 \times 10^{-7}</math></b>	$\mu \rightarrow e$ conversion
$[\epsilon_P^{us}]_{e\mu}$	<b><math>2.1 \times 10^{-5}</math></b>	$\Gamma_{K \rightarrow e\nu} / \Gamma_{K \rightarrow \mu\nu}$	$7.1 \times 10^{-2}$	LHC [64]
$[\epsilon_P^{us}]_{e\tau}$	<b><math>2.1 \times 10^{-5}</math></b>	$\Gamma_{K \rightarrow e\nu} / \Gamma_{K \rightarrow \mu\nu}$	<b><math>6.2 \times 10^{-7}</math></b>	$\mu \rightarrow e$ conversion
$[\epsilon_P^{us}]_{\mu e}$	<b><math>2.3 \times 10^{-3}</math></b>	$\Gamma_{K \rightarrow e\nu} / \Gamma_{K \rightarrow \mu\nu}$		
$[\epsilon_P^{us}]_{\mu\mu}$	<b><math>2.2 \times 10^{-4}</math></b>	$\Gamma_{K \rightarrow e\nu} / \Gamma_{K \rightarrow \mu\nu}$		
$[\epsilon_P^{us}]_{\mu\tau}$	<b><math>2.3 \times 10^{-3}</math></b>	$\Gamma_{K \rightarrow e\nu} / \Gamma_{K \rightarrow \mu\nu}$		
$[\epsilon_P^{us}]_{\tau e}$	<b><math>6.4 \times 10^{-2}</math></b>	$\Gamma_{\tau \rightarrow K\nu} / \Gamma_{K \rightarrow \mu\nu}$	$3.1 \times 10^{-2(*)} / 8.1 \times 10^{-2}$	LHC (data [66]) / $\tau$ -decay [64]
$[\epsilon_P^{us}]_{\tau\mu}$	<b><math>6.4 \times 10^{-2}</math></b>	$\Gamma_{\tau \rightarrow K\nu} / \Gamma_{K \rightarrow \mu\nu}$	<b><math>3.1 \times 10^{-2(*)}</math></b>	LHC (data [66])
$[\epsilon_P^{us}]_{\tau\tau}$	$1.3 \times 10^{-2}$	$\tau$ -decay [67]	<b><math>3.1 \times 10^{-2(*)}</math></b>	LHC (data [66])
$[\epsilon_P^{cs}]_{ee}$	<b><math>4.8 \times 10^{-3}</math></b>	$\Gamma_{D_s \rightarrow e\nu}$	$1.3 \times 10^{-2}$	LHC [68]
$[\epsilon_P^{cs}]_{e\mu}$	<b><math>4.6 \times 10^{-3}</math></b>	$\Gamma_{D_s \rightarrow e\nu}$	$1.3 \times 10^{-2} / 2.7 \times 10^{-6}$	LHC [68] / $\mu \rightarrow e$ conversion
$[\epsilon_P^{cs}]_{e\tau}$	<b><math>4.6 \times 10^{-3}</math></b>	$\Gamma_{D_s \rightarrow e\nu}$	$1.3 \times 10^{-2} / 1.9 \times 10^{-2}$	LHC / $\tau$ -decays [64, 68]
$[\epsilon_P^{cs}]_{\mu e}$	<b><math>8.9 \times 10^{-3}</math></b>	$\Gamma_{D_s \rightarrow \mu\nu}$	$2.0 \times 10^{-2} / 2.7 \times 10^{-6}$	LHC [68] / $\mu \rightarrow e$ conversion
$[\epsilon_P^{cs}]_{\mu\mu}$	<b><math>1.0 \times 10^{-3}</math></b>	$\Gamma_{D_s \rightarrow \mu\nu}$	$2.0 \times 10^{-2}$	LHC [68]
$[\epsilon_P^{cs}]_{\mu\tau}$	<b><math>8.9 \times 10^{-3}</math></b>	$\Gamma_{D_s \rightarrow \mu\nu}$	$2.0 \times 10^{-2}$	LHC [68]
$[\epsilon_P^{cs}]_{\tau e}$	<b><math>2.0 \times 10^{-1}</math></b>	$\Gamma_{D_s \rightarrow \tau\nu}$	$1.6 \times 10^{-2} / 1.9 \times 10^{-2}$	LHC / $\tau$ -decays [64]
$[\epsilon_P^{cs}]_{\tau\mu}$	<b><math>2.0 \times 10^{-1}</math></b>	$\Gamma_{D_s \rightarrow \tau\nu}$	$2.5 \times 10^{-2}$	LHC [68]
$[\epsilon_P^{cs}]_{\tau\tau}$	<b><math>3.2 \times 10^{-2}</math></b>	$\Gamma_{D_s \rightarrow \tau\nu}$	$2.5 \times 10^{-2}$	LHC [68]